

机器学习

Lecture 7

KNN

一、 距离度量

Definition 1 (欧式距离).

$$d(\mathbf{x}, \mathbf{y}) = \|\mathbf{x} - \mathbf{y}\|^2$$

Definition 2 (曼哈顿距离).

$$d(\mathbf{x}, \mathbf{y}) = \|\mathbf{x} - \mathbf{y}\|_1$$

Definition 3 (切比雪夫距离).

$$d(\mathbf{x}, \mathbf{y}) = \|\mathbf{x} - \mathbf{y}\|_\infty$$

Definition 4 (闵可夫斯基距离).

$$d(\mathbf{x}, \mathbf{y}) = \|\mathbf{x} - \mathbf{y}\|_p$$

二、 算法

KNN 没有训练过程，只是将数据集储存起来，每次预测的时候遍历数据集进行预测。

Algorithm 1 KNN

Input: 数据集 $D = \{x_i, y_i\}_{i=1}^m$; 新的样本点 $y; k$

- 1: **for** $i = 1, 2, \dots, m$ **do**
 - 2: **Compute** $d_i = d(x, y)$
 - 3: **end for**
 - 4: 对 d_i 进行排序
 - 5: 选择前 k 个样本点，以 k 个样本点中频次最高的类作为 y 的类别
-

1. 代码实现

```
1 from numpy.linalg import norm
2 import numpy as np
3 from tqdm import tqdm
4 import matplotlib.pyplot as plt
5
6
7 class KNN():
```

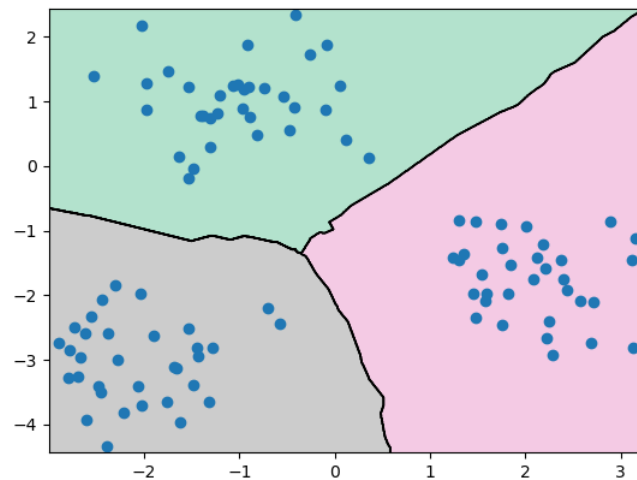


图 1: KNN 决策边界

```
8  def __init__(self, X, y, k):
9      self.X = X
10     self.y = y
11     self.k = k
12     self.m = self.X.shape[0]
13     self.n = self.X.shape[1]
14
15     def predict(self, x):
16         dists = []
17         for i in range(self.m):
18             dists.append([self.dist(x, self.X[i, :]), self.y[i]])
19         dists = np.array(dists)
20         idx = np.argsort(dists[:, 0])
21         points = dists[idx[:self.k]]
22         counts = np.bincount(np.array(points[:, 1], dtype=np.int32))
23         counts = np.argmax(counts)
24         return counts
25
26     def dist(self, x, y):
27         return norm(x - y, ord=2)
28
29     def plot_decision_boundaries(self, resolution=1000):
30         '''
31         绘制决策边界
32         :param resolution: 网格密度
33         :param iteration: 迭代次数
34         :return:
35         '''
36         mins = self.X.min(axis=0) - 0.1
37         maxs = self.X.max(axis=0) + 0.1
```

```
38     xx, yy = np.meshgrid(np.linspace(mins[0], maxs[0], resolution),
39                           np.linspace(mins[1], maxs[1], resolution))
40     grid = np.c_[xx.ravel(), yy.ravel()]
41     predict = []
42     for i in tqdm(grid):
43         predict.append(self.predict(i))
44     predict = np.array(predict)
45     predict = predict.reshape(xx.shape)
46     # print(predict)
47
48     plt.contourf(predict, extent=(mins[0], maxs[0], mins[1], maxs[1]),
49                 cmap='Pastel2')
50
51     plt.contour(predict, extent=(mins[0], maxs[0], mins[1], maxs[1]),
52                linewidths=1, colors='k')
53
54     plt.scatter(self.X[:, 0], self.X[:, 1])
55     plt.savefig('KNN.png')
56     plt.close()
```