

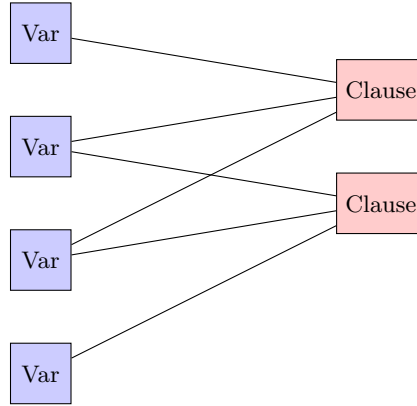
**Homework 1**

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- **Collaborators:** I finish this homework by myself.
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**Problem 1.** (a) Reduce from the instance of MAX-E3SAT-6.



Variables  $x_i$  have  $\sigma(x_i) \in \{0, 1\}$  and Clauses  $c_i = x_{j_i}^1 \wedge x_{j_i}^2 \wedge x_{j_i}^3$  have  $\sigma(c_i) \in [7]$  to represent the state of  $c_i$ .

Therefore, constraint is naturally induced.

In the instance of MAX-E3SAT, the ratio of  $|U|$  and  $|V|$  is 2. So this is a regular Label-Cover Game for  $K = 2, L = 7$  and  $|V| = 2|U|$ .

In the lecture we have proved that this is an instance of  $\text{MAX-LC}_{1,1-\epsilon}$  for some  $\epsilon$ .

So  $\text{MAX-LC}_{1,1-\epsilon}$  is NP-Hard.

(b) We actually can construct another graph induced by (a).

We add  $\bar{x}_i$  to the graph in (a) and add the induced constraints from  $c_i$  contains variable  $x_i$  to  $\bar{x}_i$ .

Here the Label-Cover Game is regular and symmetric.

Then for the  $\text{MAX-E3SAT-6}_{1,1-\epsilon}$  instance, the completeness is trivial.

Now we prove the soundness. That's because, if  $\text{OPT}_{\text{MAX-E3SAT-6}} \leq 1 - \epsilon$ , consider any  $\sigma : U \rightarrow \{0, 1\}, V \rightarrow [7]$ . At least  $(1 - \epsilon)|V|$  clauses are not satisfied by  $\sigma|_U$ . For each clause, there exists at least one variable  $x_i/\bar{x}_i$  such that do not satisfy the constraint.

So Verifier rejects with probability at least  $(1 - \epsilon)|V|/2|V| = (1 - \epsilon)/2$ . So the soundness property holds if we set  $\epsilon' = \frac{1+\epsilon}{2}$ .

So we prove that  $\text{GAP-LC}(K, L)_{1,1-\epsilon}$  is NP-Hard for some  $\epsilon$  and  $K, L$  even if the graph is regular and symmetric.

By Raz' Paralled Repetition Theorem, we can reduce an instance of  $\text{GAP} - \text{LC}(K, L)_{1, \delta}$  to the instance of  $\text{GAP} - \text{LC}_{1, \exp(-\Omega(\frac{\delta^3 t}{\log t}))}$ . Therefore, we finally prove that for any  $\eta > 0$ , there exists  $K, L$  such that  $\text{GAP} - \text{LP}(K, L)_{1, \eta}$  is NP-Hard.

**Problem 2.** (a) For a regular Label-Cover problem  $G = (U, V, E)$  that every veritce in  $U$  matches  $k$  vertices in  $V$ ,  $|U| = |V| = n$ , consider the  $k$ -uniform hypergraph  $H = (V', E')$  where  $V' = E$  and  $k$ -tuples are all  $[(u, v_1), (u, v_2), \dots, (u, v_k)]$  for  $(u, v_i) \in E$ .  $[L']$  now represents the value of  $(u, v_i)$ , i.e.  $[L'] = [L] \times [K]$ .  $[K] = [k + 1]$ .

The maps are defined as: For the labeling  $\sigma : [V] \rightarrow [L] \times [K]$ ,  $\sigma(u, v_i) = (l, k)$ . If  $\pi_{(u, v_i)}(k) = l$  is matching in Labek-Cover problem, then we let  $\pi_e^i(\sigma(u, v_i)) = k + 1$ . Otherwise, if  $(l, k)$  does not satisfy the constraint, then we let  $\pi_e^i(\sigma(l, k)) = i$ .

So the constraint is weakly satisfied iff at least two edges in the  $k$ -tuples are satisfied in the constraint before. Also, the constraint is strongly satisfied iff all edges in the  $k$ -tuples are satisfied.

Completeness is trivial since if there is some label in the Label-Cover Game satisfy all constraint, then it can be naturally induced in the hypergraph.

Soundness is because: Assume  $\text{OPT} \geq \epsilon$  in  $k$ -ary-Consistent-Labeling problem. Then we choose all edges  $(u_i, v_j)$  that are satisfied in the Label-Cover Game, denoted as  $S$ . There are at least  $2\epsilon n$  edges. Now we label each  $u_i, v_j$  one by one.

Since the graph  $G$  is regular, at most  $2k - 1$  edges in  $S$  have common vertice with an edge in  $S$ .

So each time we choose an arbitrary  $e = (u, v) \in S$ , label it with the label in  $k$ -ary-Consistent-Labeling and then we remove those edges in  $S$  who intersects with  $e$ .

In the end, for those vertices that have not been labeled yet, label it randomly.

Then at least  $\frac{2\epsilon n}{2k} = \frac{\epsilon}{k}n$  edges are satisfied in Label-Cover-Game.

Therefore  $\text{OPT} \geq \frac{\epsilon}{k}$  for Label-Cover Game.

As a result, if  $\text{OPT} \leq \eta$  in Label-Cover Game, then  $\text{OPT} \leq k\eta$  in  $k$ -ary-Consistent-Labeling problem.

Since  $\text{MAX} - \text{LC}_{1, \eta}$  is NP-Hard, to distinguish instance with strong value 1 and weak value less than  $k\eta$   $k$ -ary-Consistent-Labeling problem is NP-Hard  $\forall \eta > 0$ .

Here we end the proof.

(b)

**Problem 3.** Consider all values  $d(r, v) \pmod{\frac{1}{2}}$ . They divide  $[0, \frac{1}{2})$  into  $|V| + 1$  pieces of interval.(including the interval  $[v, v]$  if exists) If we choose  $\theta$  in each interval, edges that will be removed are the same, so the cost is the same.

As a result, we can try  $\theta$  in each interval and find the minimum cost. This will be less than  $2\text{OPT}$ .

**Problem 4.** (a) If a connected component has diameter at most  $k$  in the  $(10, 0.1, 1, 1)$ -expandar  $G$ , we prove that it has at most  $10^k$  vertices.

By induction,  $k = 1$  is trivial. Assume  $k - 1$  holds for it. Assume subgraph  $G'$  has the maximum number of vertices. There isn't any vertex in  $G'$  that has distance less than  $k - 1$  with each vertex in  $G'$  and also connects with

other vertex  $u$  outside. Otherwise,  $u$  can be added to  $g'$ , which causes contradiction with the maximum property. Then for  $k$ , any vertex in the graph with diameter  $k - 1$  has degree 10 so at most  $10^k$  vertices are connected to the graph. Since any vertex beyond  $G'$  has distance larger than  $k$  with some vertices in  $G'$  as we proved before, the expanded graph has at most  $10^k$  vertices.

So each connected component has at most  $10^{1/2 \log_{10} n} = n^{1/2}$  vertices in this problem. As  $n$  large enough,  $n^{1/2} < 0.1n$ . For those connected components  $S_1, \dots, S_k$ , removed edges are

$$|\partial S_1 \cup \partial S_2 \cup \dots \partial S_k| = \frac{1}{2} \sum_{t=1}^k |\partial S_t| \geq \frac{1.01}{2} \sum_{t=1}^k |S_t| > 0.5n$$

So we must have deleted  $\Omega(n)$  edges.

Now we set the pair  $(s_i, t_i)$  to be all  $(u, v)$  where  $u, v \in G$  and distance between  $u$  and  $v$  is  $k$ .

Then for any possible connected component in multicut, vertices  $u, v$  in it have distance is less than  $k$ .

For a  $(10, 0.1, 1.1)$ -expander graph, by (a) we removed at least  $\frac{1}{2}n$  if  $k = \frac{1}{2} \log_{10} n$ .

However, in LP case, we can set  $x_e = \frac{1}{k}$  for any edge  $e$ . Then the cost will be

$$\frac{1}{k} \cdot |E| = \frac{5}{k} |V| = \frac{5n}{k}$$

So the integral gap is  $\Omega(\log n)$ .

**Problem 5.** (a)

$$\begin{aligned} \mathbb{E}(\text{cut value}) &= \sum_{(i,j) \in E} \omega_{ij} \cdot \frac{\arccos \langle v_i, v_j \rangle}{\pi} \\ &= \sum_{(i,j) \in E} \omega_{ij} - \sum_{(i,j) \in E} \frac{\frac{\pi}{2} + \arcsin \langle v_i, v_j \rangle}{\pi} \\ &\geq \sum_{(i,j) \in E} \omega_{ij} - \beta \cdot \sum_{(i,j) \in E} \omega_{ij} \sqrt{\frac{1 + \langle v_i, v_j \rangle}{2}} \\ &\geq \sum_{(i,j) \in E} \omega_{ij} - \beta \left( \sum_{(i,j) \in E} \omega_{ij} \frac{1 + \langle v_i, v_j \rangle}{2} \right)^{1/2} \left( \sum_{(i,j) \in E} \omega_{ij} \right)^{1/2} \\ &= 1 - \beta(1 - \text{SDP})^{1/2} \\ &\geq 1 - \beta(1 - \text{OPT})^{1/2} \end{aligned}$$

where  $\beta = \sup_{\alpha \in (-1, 1)} \frac{\frac{\pi}{2} + \arcsin \alpha}{\sqrt{1 + \alpha}} < +\infty$  by L'hospital rule.

Therefore, it is a  $1 - \epsilon$  vs.  $1 - \beta\sqrt{\epsilon}$  search algorithm.

(b)

Similar to max-cut. If we set  $\mathbb{F}_2 = \{\pm 1\}$ , then

$$\frac{1 - bx_i x_j}{2} = \begin{cases} 1 & x_i \oplus x_j = b \\ 0 & x_i \oplus x_j \neq b \end{cases}$$

where  $1 \oplus 1 = -1 \oplus -1 = -1, 1 \oplus -1 = 1 \oplus 1 = 1$ .

So the problem is to maximize the objective

$$\sum_{(i,j) \in E} \omega_{ij} \frac{1 - b_{ij} x_i x_j}{2}$$

Similarly, we set the SDP relaxation:

$$\min \sum_{(i,j) \in E} \omega_{ij} \frac{1 - b_{ij} \langle v_i, v_j \rangle}{2}$$

conditioned on  $\|v_i\| = 1$ .

After finding a minimum, we design a randomize algorithm as follows:

Uniformly sample  $\vec{r} \sim S^{n-1}$ .

Set  $x_i = \text{sgn} \langle \vec{r}, \vec{v}_i \rangle$ .

Then

$$\begin{aligned} \mathbb{E}(\text{cut value}) &= \sum_{(i,j) \in E} \omega_{ij} \cdot \frac{\arccos b_{ij} \langle v_i, v_j \rangle}{\pi} \\ &= \sum_{(i,j) \in E} \omega_{ij} - \sum_{(i,j) \in E} \frac{\frac{\pi}{2} + \arcsin b_{ij} \langle v_i, v_j \rangle}{\pi} \\ &\geq \sum_{(i,j) \in E} \omega_{ij} - \beta \cdot \sum_{(i,j) \in E} \omega_{ij} \sqrt{\frac{1 + b_{ij} \langle v_i, v_j \rangle}{2}} \\ &\geq \sum_{(i,j) \in E} \omega_{ij} - \beta \left( \sum_{(i,j) \in E} \omega_{ij} \frac{1 + b_{ij} \langle v_i, v_j \rangle}{2} \right)^{1/2} \left( \sum_{(i,j) \in E} \omega_{ij} \right)^{1/2} \\ &= 1 - \beta(1 - \text{SDP})^{1/2} \\ &\geq 1 - \beta(1 - \text{OPT})^{1/2} \end{aligned}$$

where  $\beta = \sup_{\alpha \in (-1,1)} \frac{\frac{\pi}{2} + \arcsin \alpha}{\sqrt{1+\alpha}} < +\infty$  by L'hospital rule.

Therefore, it is a  $1 - \epsilon$  vs.  $1 - \beta\sqrt{\epsilon}$  search algorithm.

**Problem 6.** For an arbitrary graph  $G = (V, E)$ ,  $\epsilon > 0$ , WLOG we assume  $\sum_{(i,j) \in E} \omega(i, j) = 1$ . Let

$d_i = \max_{(i,j) \in E} \left\lceil \frac{\omega(v_i, v_j)}{\epsilon} \right\rceil$ . We can construct a graph  $G' = (V', E')$  with  $|V'| \leq \lceil \frac{1}{\epsilon} \rceil |V|$  by split each  $v_i$  into  $v_{i,1}, v_{i,2}, \dots, v_{i,d_i}$  and if  $(i, j) \in E$ , connects  $v_{i,t}$  and  $v_{j,t}$  equipped with weight  $\epsilon$ .

Add another  $|V'|^2 - |V'|$  vertices to the graph  $G'$  and we can use the algorithm to find an  $\alpha$ -approximating solution for  $G'$  in  $f(|V'|^2, \frac{|E'|}{|V'|^2}) = \text{poly}(|V'|^2) = \text{poly}(|V'|)$ .

Use an algorithm to strengthen this solution:

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for  $\exists(i, t)$  s.t.  $\sum_{j \in [|V'|]} \epsilon \cdot \mathbf{1}[(i, t), (j, t)) \in E', x_{i,t} x_{j,t} < 0] < \sum_{j \in [|V'|]} \epsilon \cdot \mathbf{1}[(i, t), (j, t)) \in E', x_{i,t} x_{j,t} > 0]$  do
     $x_i \leftarrow -x_i$ 
end for

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Since each time in the loop will be larger than the previous result of at least  $\epsilon$ , the algorithm will eventually terminate in  $O(|E'|/\epsilon)$ .

Now for each  $j, t, t \geq 2$ , we have

$$\sum_{i \in [V], \omega(i,j) \geq t} \frac{1 - x_{j,t} x_{i,1}}{2} \geq \sum_{i \in [V], \omega(i,j) \geq t} \frac{1 + x_{j,t} x_{i,1}}{2} \Rightarrow x_{j,t} \sum_{i \in [V], \omega(i,j) \geq t} x_{i,1} \leq 0$$

Now we use another algorithm to find a feasible solution in  $G$  as follows: set  $x_i = x_{i,1}$  for each vertex  $v_i$ , *i.e.* we let  $v_i$  be in the same set of  $v_{i,1}$ .

This result will not be less than the previous result. That's because, suffices to prove that

$$\sum_{((i,t),(j,k)) \in E'} \frac{1 - x_{i,1} x_{j,1}}{2} \geq \sum_{((i,t),(j,k)) \in E'} \frac{1 - x_{i,t} x_{j,k}}{2}$$

which is equivalent to

$$\begin{aligned} \sum_{((i,t),(j,k)) \in E'} x_{i,t} x_{j,k} &\geq \sum_{((i,t),(j,k)) \in E'} x_{i,1} x_{j,1} \\ \Leftrightarrow \sum_{(i,j) \in E} x_{i,1} \sum_{t=1}^{\omega(i,j)} x_{j,t} &\geq \sum_{i,j} x_{i,1} \sum_{t=1}^{\omega(i,j)} x_{j,1} \\ \Leftrightarrow \sum_{(j,t)} (x_{j,t} - x_{j,1}) \sum_{i: \omega(i,j) \geq t} x_{i,1} &\geq 0 \end{aligned}$$

**Problem 7.** hyperplane cuts  $\frac{\alpha}{\pi}$  edges in  $G_d$  with angle  $\alpha$ .

Then totally, hyperplane cuts

$$\frac{\int_{\arccos \rho^*}^{\pi} \sin^{d-2} \alpha \frac{\alpha}{\pi} d\alpha}{\int_{\arccos \rho^*}^{\pi} \sin^{d-2} \alpha d\alpha} < \frac{\int_{\arccos \rho^*}^{\pi} (\pi - \alpha)^{(d-2)/2} \frac{\alpha}{\pi} d\alpha}{\int_{\arccos \rho^*}^{\pi} (\pi - \alpha)^{(d-2)/2} d\alpha} = \frac{\arccos \rho^*}{\pi} + O\left(\frac{1}{d}\right)$$

The first inequality is because  $\frac{\sin \alpha}{\sin \beta} > \frac{\sqrt{\pi - \alpha}}{\sqrt{\pi - \beta}}$  if  $\alpha < \beta$ . Thus the probability of  $\alpha$  in the left is less than the probability of  $\beta$  in the right if  $\alpha < \beta$ .

**Problem 8.**  $f : \{\pm 1\}^n \rightarrow \mathbb{R}$  is a linear combination of function  $f : \{\pm 1\}^n \rightarrow \{\pm 1\}$ , which can be written in the form of linear combination of Fourier base functions:

$$f(x) = \sum_{S \subseteq [n]} \hat{f}(S) \chi_S(x)$$

where  $\chi_S(x) = \prod_{i \in S} x_i$  is a multilinear polynomial.

So it is expressible as a multilinear polynomial.

The uniqueness is because, if there is some multilinear polynomial  $g$  such that  $g(x) = f(x), \forall x \in \{\pm 1\}^n$ . Then using Parseval's Theorem we obtain that

$$\sum_{S \subseteq [n]} (\hat{f}(S) - \hat{g}(S))^2 = \mathbb{E}_{\vec{x} \sim \{\pm 1\}^n} (f(\vec{x}) - g(\vec{x}))^2 = 0$$

So  $f - g = \sum_{S \subset [n]} (\hat{f} - \hat{g})(S) \chi_S = 0$ .

**Problem 9.**

$$\langle f, g \rangle = \left\langle \sum_{S \subset [n]} \hat{f}(S) \chi_S, \sum_{S \subset [n]} \hat{g}(S) \chi_S \right\rangle = \sum_{S_1, S_2 \subset [n]} \hat{f}(S_1) \hat{g}(S_2) \langle \chi_{S_1}, \chi_{S_2} \rangle = \sum_{S \subset [n]} \hat{f}(S) \hat{g}(S)$$

However, if we let  $f = \chi_{\{x\}}, g = \chi_{\{y\}}, h = \chi_{\{x,y\}}$ , then

$$\mathbb{E}_t \chi_{\{x\}}(t) \chi_{\{y\}}(t) \chi_{\{x,y\}}(t) = \mathbb{E}_t t_x^2 t_y^2$$

But

$$\hat{f}(S) \hat{g}(S) \hat{h}(S) \equiv 0, \forall S \subset [n]$$

due to they are Fourier basis.