

In this section we compute the ordinary cohomology and the compactly supported cohomology of  $\mathbb{R}^n$ .

#### 4.1 The Poincaré Lemma for de Rham Cohomology

Let  $\pi : \mathbb{R}^n \times \mathbb{R} \rightarrow \mathbb{R}^n$  be the projection on the first factor and  $s : \mathbb{R}^n \rightarrow \mathbb{R}^n \times \mathbb{R}, x \mapsto (x, 0)$  be the zero section.

Trivially,  $s^* \circ \pi^* = 1$ . We now to prove  $\pi^* \circ s^*$  is the identity in cohomology  $H^*(\mathbb{R}^n \times \mathbb{R})$ . It is enough to find a map  $K$  on  $\Omega^*(\mathbb{R}^n \times \mathbb{R})$  such that

$$1 - \pi^* \circ s^* = \pm(d \circ K \pm K \circ d)$$

Easy to find that  $dK \pm Kd$  maps closed forms to exact forms and therefore induces zero in cohomology. Such a  $K$  is called a homotopy operator. if it exists, we say that  $\pi^* \circ s^*$  is chain homotopic to the identity.

Note that the homotopy operator  $K$  decreases the degree by 1.

We will use  $df$  as we define before (  $\sum_{i=1}^n \frac{\partial f}{\partial x_i} dx_i$  ) and  $\int g$  for  $\int g(x, t) dt$ .

Every form on  $\mathbb{R}^n \times \mathbb{R}$  is uniquely a linear combination of the following two types of forms:

- (1)  $(\pi^* \phi)f(x, t),$
- (2)  $(\pi^* \phi)f(x, t)dt$

where  $\phi$  is a form on the base  $\mathbb{R}^n$ .

We define  $K : \Omega^q(\mathbb{R}^n \times \mathbb{R}) \rightarrow \Omega^{q-1}(\mathbb{R}^n \times \mathbb{R})$  by

- (1)  $(\pi^* \phi)f(x, t) \mapsto 0,$
- (2)  $(\pi^* \phi)f(x, t)dt \mapsto (\pi^* \phi) \int_0^t f.$

Let's check  $K$  is indeed a homotopy operator.

On the forms of type (1):

$$\begin{aligned}
\omega &= (\pi^* \phi) \cdot f(x, t), \quad \deg \omega = q \\
(1 - \pi^* \circ s^*)\omega &= (\pi^* \phi) \cdot f(x, t) - \pi^* \phi \cdot f(x, 0), \\
(dK - Kd)\omega &= -Kd\omega = -K \left( (d\pi^* \phi) f + (-1)^q \pi^* \phi (df + \frac{\partial f}{\partial t} dt) \right) \\
&= (-1)^{q-1} \pi^* \phi \int_0^t \frac{\partial f}{\partial t} = (-1)^{q-1} \pi^* \phi (f(x, t) - f(x, 0))
\end{aligned}$$

Thus,

$$(1 - \pi^* \circ s^*)\omega = (-1)^{q-1} (dK - Kd)\omega$$

On forms of type (2),

$$\begin{aligned}
\omega &= (\pi^* \phi) f(x, t) dt, \quad \deg \omega = q \\
d\omega &= (\pi^* d\phi) f dt + (-1)^{q-1} (\pi^* \phi) df dt. \\
(1 - \pi^* s^*)\omega &= \omega \text{ because } s^*(dt) = 0 \\
Kd\omega &= (\pi^* d\phi) \int_0^t f + (-1)^{q-1} (\pi^* \phi) \int_0^t df \\
dK\omega &= (\pi^* d\phi) \int_0^t f + (-1)^{q-1} (\pi^* \phi) \left[ d \int_0^t f + f dt \right].
\end{aligned}$$

Thus

$$(1 - \pi^* \circ s^*)\omega = (-1)^{q-1} (dK - Kd)\omega$$

In this case,

$$1 - \pi^* \circ s^* = (-1)^{q-1} (dK - Kd) \quad \text{on } \Omega^q(\mathbb{R}^n \times \mathbb{R})$$

This proves

**Proposition 4.1.** *The maps  $H^*(\mathbb{R}^n \times \mathbb{R}) \hookrightarrow H^*(\mathbb{R}^n)$  are isomorphisms.*

**Corollary 4.1.1** (Poincaré Lemma).

$$H^*(\mathbb{R}^n) = H^*(point) = \begin{cases} \mathbb{R} & \text{in dimension 0} \\ 0 & \text{elsewhere} \end{cases}$$

Similarly, we can show that  $H^*(\mathbb{R}^n \times \mathbb{R}) \simeq H^*(\mathbb{R}^n)$  is an isomorphism via  $\pi^*$  and  $s^*$  defined before.

**Corollary 4.1.2.** (*Homotopy Axiom for de Rham cohomology*) *Homotopic maps induce the same map in cohomology.*

证明. Let  $f = F \circ s_1, g = F \circ s_0$ , where  $s_0$  and  $s_1 : M \rightarrow M \times \mathbb{R}$  are the 0-section and 1-section.

Then  $f^* = (F \circ s_1)^* = s_1^* \circ F^*, g^* = (F \circ s_0)^* = s_0^* \circ F^*$ .

Since  $s_1^*$  and  $s_0^*$  both invert  $\pi^*$  in  $H^*(\mathbb{R}^n \times \mathbb{R})$ , they are equal. Hence

$$f^* = g^*$$

□

Two manifolds  $M$  and  $N$  are said to have the same homotopy type in the  $C^\infty$  sense if there are  $C^\infty$  maps  $f : M \rightarrow N$  and  $g : N \rightarrow M$  such that  $g \circ f$  and  $f \circ g$  are  $C^\infty$  homotopic to the identity on  $M$  and  $N$  respectively. A manifold having the homotopy type of a point is said to be contratible.

**Corollary 4.1.3.** *Two manifolds with the same homotopy type have the same de Rham cohomology.*

If  $i : A \subset M$  is the inclusion  $r : M \rightarrow A$  is a map which restrict to the identity on  $A$ , then  $r$  is called a retraction of  $M$  onto  $A$ . Equivalently,  $r \circ i : A \rightarrow A$  is the identity. If in addition  $i \circ r : M \rightarrow M$  is homotopic to the identity on  $M$ , then  $r$  is said to be a deformation retraction of  $M$  onto  $A$ . In this case we have:

**Corollary 4.1.4.** *If  $A$  is a deformation retract of  $M$ , then  $A$  and  $M$  have the same homotopy type.*

**Exercise 4.2.** Show that  $r : \mathbb{R}^2 - \{0\} \rightarrow S^1$  given by  $r(x) = \frac{x}{||x||}$  is a deformation retraction.

**Exercise 4.3.** The cohomology of the  $n$ -sphere  $S^n$ . Cover  $S^n$  by two open sets  $U$  and  $V$  where  $U \cap V$  is diffeomorphic to  $S^{n-1} \times \mathbb{R}$ . Using the Mayer Vietoris sequence, show that

$$H^*(S^n) = \begin{cases} \mathbb{R} & \text{in dimensions } 0, n \\ 0 & \text{otherwise} \end{cases}$$

**Exercise 4.3.1** Volume form on a sphere. Let  $S^n(r)$  be the sphere of radius  $r$

$$x_1^2 + x_2^2 + \cdots + x_{n+1}^2 = r^2$$

in  $\mathbb{R}^{n+1}$ , and let

$$\omega = \frac{1}{r} \sum_{i=1}^{n+1} (-1)^{i-1} dx_1 \cdots \widehat{dx_i} \cdots dx_{n+1}$$

- Compute the integral  $\int_{S^n} \omega$  and conclude that  $\omega$  is not exact.
- Regarding  $r$  as a function on  $\mathbb{R}^{n+1} - 0$ , show that  $(dr) \cdot \omega = dx_1 \cdots dx_{n+1}$ . Thus  $\omega$  is the Euclidean volume form on the sphere  $S^n(r)$ .

From (a) we obtain an explicit formula for the generator of the top cohomology of  $S^n$ . For example, the generator of  $H^2(S^2)$  is represented by

$$\sigma = \frac{1}{4\pi} (x_1 dx_2 dx_3 - x_2 dx_1 dx_3 + x_3 dx_1 dx_2)$$

## 4.2 The Poincaré Lemma for Compactly Supported Cohomology

The computation of the compactly supported cohomology  $H^*(\mathbb{R}^n)$  is again by induction; we will show that there is an isomorphism

$$H_c^{*+1}(\mathbb{R}^n \times \mathbb{R}) \simeq H_c^*(\mathbb{R}^n)$$

But the dimension is shifted by one.

More generally consider the projection  $\pi : M \times \mathbb{R} \rightarrow M$ . Pull back of a form necessarily has no compact support, However, there is a push-forward map  $\pi_* : \Omega_c^*(M \times \mathbb{R}) \rightarrow \Omega_c^*(M)$ , called integration along fiber, defined as follows. First note that a compactly supported form on  $M \times \mathbb{R}$  is a linear combination of two types of forms:

- (1)  $(\pi^* \phi) f(x, t)$ ,
- (2)  $(\pi^* \phi) f(x, t) dt$

where  $\phi$  is a form on the base (not necessarily with compact support), and  $f(x, t)$  is a function with compact support. We define  $\pi_*$  by

$$\begin{aligned} (1) \quad & (\pi^* \phi) f(x, t) \mapsto 0, \\ (2) \quad & (\pi^* \phi) f(x, t) dt \mapsto \phi \int_{-\infty}^{\infty} f(x, t) dt. \end{aligned} \tag{4.4}$$

**Exercise 4.5**  $d\pi_* = \pi_* d$ ; in other words,  $\pi_*$  is a chain map.

By the exercise  $\pi_*$  induce a map in cohomology  $\pi_* : H_c^* \rightarrow H_c^{*-1}$ . To produce a map in the reverse direction, let  $e = e(t)dt$  be a compactly supported 1-form on  $\mathbb{R}$  with total integral 1 and define

$$e_* : \Omega_c^*(M) \rightarrow \Omega_c^{*+1}(M \times \mathbb{R})$$

by

$$\phi \mapsto (\pi^* \phi) \wedge e$$

The map  $e_*$  clearly commutes with  $d$ , so it also induces a map in cohomology. And  $\pi_* \circ e_* = 1$  on  $\Omega_c^*(\mathbb{R}^n)$  is trivial. Now we shall produce a homotopy operator  $K$  between 1 and  $e_* \circ \pi_*$ ; then it will follow that  $e_* \circ \pi_* = 1$  in cohomology.

To streamline the notation, write  $\phi$  for  $\pi^* \phi$  (in right place) and  $\int f$  for  $\int f(x, t) dt$ . Then  $K : \Omega_c^*(M \times \mathbb{R}) \rightarrow \Omega_c^{*-1}(M \times \mathbb{R})$  is defined by

$$\begin{aligned} (1) \quad & \phi \cdot f \mapsto 0, \\ (2) \quad & \phi \cdot f dt \mapsto \phi \int_{-\infty}^t f - \phi A(t) \int_{-\infty}^{\infty} f \quad \text{where } A(t) = \int_{-\infty}^t e \end{aligned}$$

**Proposition 4.6.**  $1 - e_* \pi_* = (-1)^{q-1} (dK - Kd)$  on  $\Omega_c^q(M \times \mathbb{R})$

证明. On forms of type (1), assuming  $\deg \phi = q$ , we have:

$$\begin{aligned} (1 - e_* \pi_*) \phi f &= \phi f \\ (dK - Kd) \phi f &= -K(d\phi f + (-1)^q \phi df + (-1)^q \phi \frac{\partial f}{\partial t} dt) \\ &= (-1)^{q-1} \phi f \quad \text{Here } \int_{-\infty}^{\infty} \frac{\partial f}{\partial t} = f(x, +\infty) - f(x, -\infty) = 0 \end{aligned}$$

So  $1 - e_*\pi_* = (-1)^{q-1}(dK - Kd)$

On forms of type(2), now assuming  $\deg \phi = q - 1$ , we have

$$\begin{aligned}
(1 - e_*\pi_*)\phi f dt &= \phi f dt - \phi\left(\int_{-\infty}^{\infty} f\right)e \\
(dK)(\phi f dt) &= (d\phi) \int_{-\infty}^t f + (-1)^{q-1}\phi d\left(\int_{-\infty}^t f\right) + (-1)^{q-1}\phi f dt \\
&\quad - (d\phi)A(t) \int_{-\infty}^{\infty} f - (-1)^{q-1}\phi \left[ e \int_{-\infty}^{\infty} f + A(t)\left(\int_{-\infty}^{\infty} df\right) \right] \\
(Kd)(\phi f dt) &= K((d\phi)f dt + (-1)^{q-1}\phi df dt) \\
&= (d\phi) \int_{-\infty}^t f - (d\phi)A(t) \int_{-\infty}^{\infty} f + (-1)^{q-1} \left[ \phi\left(\int_{-\infty}^t df\right) - \phi A(t)\left(\int_{-\infty}^{\infty} df\right) \right]
\end{aligned}$$

So

$$(dK - Kd)\phi f dt = (-1)^{q-1}[\phi f dt - \phi\left(\int_{-\infty}^{\infty} f\right)e]$$

and the formula again holds.  $\square$

This conclude the proof of the folowing

**Proposition 4.7.** *The maps*

$$H_c^*(M \times \mathbb{R}) \rightleftharpoons H_c^{*-1}(M)$$

*are isomorphisms.*

**Corollary 4.7.1** (Poincaré Lemma for Compact Supports).

$$H_c^*(\mathbb{R}^n) = \begin{cases} \mathbb{R} & \text{in dimension } n \\ 0 & \text{otherwise} \end{cases}$$

Here the isomorphism  $H_c^*(\mathbb{R}^n) \simeq \mathbb{R}$  is given by iterated  $\pi_*$ , i.e. by integration over  $\mathbb{R}^n$ . To determine a generator for  $H_c^n(\mathbb{R})$ , we start with the constant function 1 on a point and iterated with  $e_*$ . So a generator for  $H_C^*(\mathbb{R}^n)$  is a bump n-form  $\alpha(x) dx_1 \cdots dx_n = 1$  with

$$\int_{\mathbb{R}^n} \alpha(x) dx_1 \cdots dx_n = 1$$

The support of  $\alpha$  can be made as small as we like.

**Remark 1.** It shows that the compactly supported cohomology is not invariant under homotopy equivalence, although it is of course invariant under diffeomorphisms.

**Exercise 4.8.** Compute the cohomology groups  $H^*(M)$  and  $H_c^*(M)$  of the open Möbius strip  $M$ .

### 4.3 The degree of a Proper Map

对光滑流形  $X$ , 记  $C^\infty(X)$  为所有光滑函数  $f: X \rightarrow \mathbb{R}$  构成的实数向量空间.

**Definition 4.3.1** (切空间). 设  $X$  是光滑流形, 设  $x \in X$ . 则

- $X$  在  $x$  处的切向量是指一个线性函数

$$v: C^\infty(X) \rightarrow \mathbb{R},$$

满足对任意  $f, g \in C^\infty(X)$ , 有 Leibniz 法则

$$v(fg) = f(x) \cdot v(g) + g(x) \cdot v(f).$$

这里, 我们把  $v(f)$  理解成函数  $f$  在点  $x$  处沿着向量  $v$  的方向导数.

- $X$  在  $x$  处的切空间  $T_x X$  是  $X$  在  $x$  处的所有切向量构成的向量空间.

对 Euclid 空间的切映射

**Definition 4.3.2.** 设  $m, n$  是自然数,  $U \subset \mathbb{R}^m$  和  $V \subset \mathbb{R}^n$  是开集,  $f: U \rightarrow V$  是连续可微映射. 设  $x \in U$ . 则  $f$  在  $x$  处的切映射是指切空间之间的线性映射

$$df_x: T_x U \longrightarrow T_{f(x)} V,$$

由 Jacobi 矩阵

$$\begin{pmatrix} \frac{\partial f^1}{\partial x^1}(x) & \cdots & \frac{\partial f^1}{\partial x^m}(x) \\ \vdots & & \vdots \\ \frac{\partial f^n}{\partial x^1}(x) & \cdots & \frac{\partial f^n}{\partial x^m}(x) \end{pmatrix}$$

给出. 这里  $f^1, \dots, f^n$  是  $f$  的各分量, 而  $x^1, \dots, x^m$  是  $U$  上的坐标. 我们自然地将  $T_x U$  与  $\mathbb{R}^m$  等同起来, 将  $T_{f(x)} V$  与  $\mathbb{R}^n$  等同起来.

对流形的切映射

**Definition 4.3.3.** 设  $X, Y$  是光滑流形,  $f: X \rightarrow Y$  是光滑映射. 设  $x \in X$ . 则  $f$  在  $x$  处的切映射是指切空间之间的线性映射

$$\begin{aligned} df_x: T_x X &\longrightarrow T_{f(x)} Y, \\ v &\longmapsto df_x(v), \end{aligned}$$

这里  $df_x(v)$  定义如下: 对任何  $g \in C^\infty(Y)$ , 有

$$df_x(v)(g) = v(g \circ f).$$

更一般地, 若  $X, Y$  是微分流形  $C^1$  流形, 而  $f$  是连续可微映射  $C^1$  映射, 则上述定义仍然有效, 只需将  $g \in C^\infty(Y)$  换成  $g \in C^1(Y)$ .

**Theorem 4.9** (the Inverse Function theorem). *There is a neighborhood around a regular point such that  $f$  is local diffeomorphism on it.*

A map is proper if the inverse image of every compact set is compact.

Let  $f: \mathbb{R}^n \rightarrow \mathbb{R}^n$  be a proper map. Then the pullback  $f^*: H_c^*(\mathbb{R}^n) \rightarrow H_c^*(\mathbb{R}^n)$  is defined. It carries a generator of  $H_c^*(\mathbb{R}^n)$  to some multiple of the generator. Then the multiple is defined to be the degree. If  $\alpha$  is a generator of  $H_c^*(\mathbb{R}^n)$ ,

$$\deg f = \int_{\mathbb{R}^n} f^* \alpha$$

We now prove it to be an integer.

Recall the critical point of a smooth map  $f: \mathbb{R}^n \rightarrow \mathbb{R}^n$  is a point  $p$  where the differential  $(f_*)_p: T_p \mathbb{R}^n \rightarrow T_{f(p)} \mathbb{R}^n$  is not surjective, and a critical value is the image of a critical point. A point of  $\mathbb{R}^n$  which is not a critical value is called a regular value.

**Theorem 4.10** (Sard's theorem for  $\mathbb{R}^n$ ). *The set of critical values of a smooth map  $f: \mathbb{R}^m \rightarrow \mathbb{R}^n$  has measure zero in  $\mathbb{R}^n$  for any integers  $m$  and  $n$ .*

**Proposition 4.11.** *Let  $f: \mathbb{R}^n \rightarrow \mathbb{R}^n$  be a proper map. If  $f$  is not surjective, then it has degree 0.*



证明. Since the image of a proper map is closed (use each infinite subset of the compact set has a limit point), if  $f$  misses a point  $q$ , then it must miss some neighborhood  $U$  of  $q$ . Choose a bump  $n$ -form  $\alpha$  whose supported lies in  $U$ . Then  $f^*\alpha \equiv 0$  so that  $\deg f = 0$   $\square$

Now we just need to look at surjective proper maps from  $\mathbb{R}^n$  to  $\mathbb{R}^n$ . By Sard's theorem, we can pick one regular value  $q$ . By the inverse function theorem, around any point in the pre-image of  $q$ ,  $f$  is a local diffeomorphism. It implies the  $f^{-1}(q)$  is a discrete set of points and hence a finite set. Choose a generator  $\alpha$  whose support is localized near  $q$ . Then  $f^*\alpha$  is a  $n$ -form whose support is localized near the points of  $f^{-1}(q)$ . As  $f^*$  is a local diffeomorphism, then the integral of  $f^*$  is  $\pm \int \alpha = \pm 1$ . Thus

$$\int_{\mathbb{R}^n} = \sum_{f^{-1}(q)} \pm 1$$

Moreover, it shows that the number of the points, counted with multiplicity  $\pm 1$ , in the inverse image of any regular value is the same.