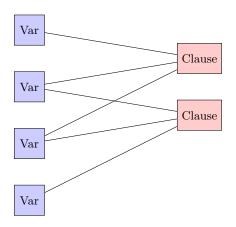
## Homework 1

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• Collaborators: I finish this homework by myself.

## **Problem 1.** (a) Reduce from the instance of MAX-E3SAT-6.



Variables  $x_i$  have  $\sigma(x_i) \in \{0, 1\}$  and Clauses  $c_i = x_{j_i}^1 \wedge x_{j_i}^2 \wedge x_{j_i}^3$  have  $\sigma(c_i) \in [7]$  to represent the state of  $c_i$ . Therefore, constraint is naturally induced.

In the instance of MAX-E3SAT, the radio of |U| and |V| is 2. So this is a regular Label-Cover Game for K=2, L=7 and |V|=2|U|.

In the lecture we have proved that this is an instance of MAX –  $LC_{1,1-\epsilon}$  for some  $\epsilon$ .

So  $MAX - LC_{1,1-\epsilon}$  is NP-Hard.

(b) We actually can construct another graph induced by (a).

We add  $\bar{x}_i$  to the graph in (a) and add the induced constraints from  $c_i$  contains variable  $x_i$  to  $\bar{x}_i$ .

Here the Label-Cover Game is regular and symmetric.

Then for the MAX – E3SAT –  $6_{1,1-\epsilon}$  instance, the completeness is trivial.

Now we prove the soundness. That's because, if  $OPT_{MAX-E3SAT-6} \leq 1 - \epsilon$ , consider any  $\sigma : U \to \{0,1\}, V \to [7]$ . At least  $(1 - \epsilon)|V|$  clauses are not satisfied by  $\sigma|_U$ . For each clause, there exists at least one variable  $x_i/\bar{x}_i$  such that do not satisfy the constraint.

So Verifier rejects with probability at least  $(1 - \epsilon)|V|/2|V| = (1 - \epsilon)/2$ . So the soundness property holds if we set  $\epsilon' = \frac{1+\epsilon}{2}$ .

So we prove that  $GAP - LC(K, L)_{1,1-\epsilon}$  is NP-Hard for some  $\epsilon$  and K, L even if the graph is regular and symmetric.

By Raz' Paralled Repetition Theorem, we can reduce an instance of GAP –  $LC(K, L)_{1,\delta}$  to the instance of GAP –  $LC_{1,\exp(-\Omega(\frac{\delta^3 t}{\log t}))}$ . Therefore, we finally prove that for any  $\eta > 0$ , there exists K, L such that GAP –  $LP(K, L)_{1,\eta}$  is NP-Hard.

**Problem 2.** (a) For a regular Label-Cover problem G = (U, V, E) that every veritce in U matches k vertices in V, |U| = |V| = n, consider the k-uniform hypergraph H = (V', E') where V' = E and k-tuples are all  $[(u, v_1), (u, v_2), \cdots, (u, v_k)]$  for  $(u, v_i) \in E$ . [L'] now represents the value of  $(u, v_i)$ , i.e.  $[L'] = [L] \times [K]$ . [K] = [k+1].

The maps are defined as: For the labeling  $\sigma: [V] \to [L] \times [K]$ ,  $\sigma(u, v_i) = (l, k)$ . If  $\pi_{(u, v_i)}(k) = l$  is matching in Labek-Cover problem, then we let  $\pi_e^i(\sigma(u, v_i)) = k + 1$ . Otherwise, if (l, k) does not satisfy the constraint, then we let  $\pi_e^i(\sigma(l, k)) = i$ .

So the constraint is weakly satisfied iff at least two edges in the k-tuples are satisfied in the constraint before. Also, the constraint is strongly satisfied iff all edges in the k-tuples are satisfied.

Completeness is trivial since if there is some label in the Label-Cover Game satisfy all constraint, then it can be naturally induced in the hypergraph.

Soundness is because: Assume OPT  $\geq \epsilon$  in k-ary-Consistent-Labeling problem. Then we choose all edges  $(u_i, v_j)$  that are satisfied in the Label-Cover Game, denoted as S. There are at least  $2\epsilon n$  edges. Now we label each  $u_i, v_j$  one by one.

Since the graph G is regular, at most 2k-1 edges in S have common vertice with an edge in S.

So each time we choose an arbitrary  $e = (u, v) \in S$ , label it with the label in k-ary-Consistent-Labeling and then we remove those edges in S who intersects with e.

In the end, for those vertices that have not been labeled yet, label it randomly.

Then at least  $\frac{2\epsilon n}{2k} = \frac{\epsilon}{k}n$  edges are satisfied in Label-Cover-Game.

Therefore  $OPT \ge \frac{\epsilon}{k}$  for Label-Cover Game.

As a result, if  $OPT \leq \eta$  in Label-Cover Game, then  $OPT \leq k\eta$  in k-ary-Consistent-Labeling problem.

Since MAX – LC<sub>1, $\eta$ </sub> is NP-Hard, to distingish instance with strong value 1 and weak value less than  $k\eta$  k-ary-Consistent-Labeling problem is NP-Hard  $\forall \eta > 0$ .

Here we end the proof.

(b)

**Problem 3.** Consider all values  $d(r, v) \pmod{\frac{1}{2}}$ . They divide  $[0, \frac{1}{2})$  into |V| + 1 pieces of interval.(including the interval [v, v] if exists) If we choose  $\theta$  in each interval, edges that will be removed are the same, so the cost is the same.

As a result, we can try  $\theta$  in each interval and find the minimum cost. This will be less than 2OPT.

**Problem 4.** (a) If a connected component has diameter at most k in the (10, 0.1, 1, 1)-expandar G, we prove that it has at most  $10^k$  vertices.

By induction, k = 1 is trivial. Assume k - 1 holds for it. Assume subgraph G' has the maxmimum number of vertices. There isn't any vertex in G' that has distance less than k - 1 with each vertex in G' and also connects with

other vertex u outside. Otherwise, u can be added to g', which causes contradiction with the maximum property. Then for k, any vertex in the graph with diameter k-1 has degree 10 so at most  $10^k$  vertices are connected to the graph. Since any vertex beyond G' has distance larger than k with some vertices in G' as we proved before, the expanded graph has at most  $10^k$  vertices.

So each connected component has at most  $10^{1/2\log_{10}n} = n^{1/2}$  vertices in this problem. As n large enough,  $n^{1/2} < 0.1n$ . For those connected components  $S_1, \dots, S_k$ , removed edges are

$$|\partial S_1 \cup \partial S_2 \cup \dots \partial S_k| = \frac{1}{2} \sum_{t=1}^k |\partial S_t| \ge \frac{1.01}{2} \sum_{t=1}^k |S_t| > 0.5n$$

So we must have deleted  $\Omega(n)$  edges.

Now we set the pair  $(s_i, t_i)$  to be all (u, v) where  $u, v \in G$  and distance between u and v is k.

Then for any possible connected component in multicut, vertices u, v in it have distance is less than k.

For a (10, 0.1, 1.1)-expandar graph, by (a) we removed at least  $\frac{1}{2}n$  if  $k = \frac{1}{2}\log_{10}n$ .

However, in LP case, we can set  $x_e = \frac{1}{k}$  for any edge e. Then the cost will be

$$\frac{1}{k} \cdot |E| = \frac{5}{k}|V| = \frac{5n}{k}$$

So the integral gap is  $\Omega(\log n)$ .

## Problem 5. (a)

$$\mathbb{E}(\text{cut value}) = \sum_{(i,j)\in E} \omega_{ij} \cdot \frac{\arccos\langle v_i, v_j \rangle}{\pi}$$

$$= \sum_{(i,j)\in E} \omega_{ij} - \sum_{(i,j)\in E} \frac{\frac{\pi}{2} + \arcsin\langle v_i, v_j \rangle}{\pi}$$

$$\geq \sum_{(i,j)\in E} \omega_{ij} - \beta \cdot \sum_{(i,j)\in E} \omega_{ij} \sqrt{\frac{1 + \langle v_i, v_j \rangle}{2}}$$

$$\geq \sum_{(i,j)\in E} \omega_{ij} - \beta \left(\sum_{(i,j)\in E} \omega_{ij} \frac{1 + \langle v_i, v_j \rangle}{2}\right)^{1/2} \left(\sum_{(i,j)\in E} \omega_{ij}\right)^{1/2}$$

$$= 1 - \beta (1 - \text{SDP})^{1/2}$$

$$\geq 1 - \beta (1 - \text{OPT})^{1/2}$$

where  $\beta=\sup_{\alpha\in(-1,1)}\frac{\frac{\pi}{2}+\arcsin\alpha}{\sqrt{1+\alpha}}<+\infty$  by L'hospital rule.

Therefore, it is a  $1 - \epsilon$  vs.  $1 - \beta \sqrt{\epsilon}$  search algorithm.

(b)

Similar to max-cut. If we set  $\mathbb{F}_2 = \{\pm 1\}$ , then

$$\frac{1 - bx_i x_j}{2} = \begin{cases} 1 & x_i \oplus x_j = b \\ 0 & x_i \oplus x_j \neq b \end{cases}$$

where  $1 \oplus 1 = -1 \oplus -1 = -1, 1 \oplus -1 = 1 \oplus 1 = 1$ .

So the problem is to maximize the objective

$$\sum_{(i,j)\in E} \omega_{ij} \frac{1 - b_{ij} x_i x_j}{2}$$

Similarly, we set the SDP relaxation:

$$\min \sum_{(i,j)\in E} \omega_{ij} \frac{1 - b_{ij} \langle v_i, v_j \rangle}{2}$$

conditioned on  $||v_i|| = 1$ .

After finding a minimum, we design a randomize algorithm as follows:

Uniformly sample  $\vec{r} \sim S^{n-1}$ .

Set  $x_i = \operatorname{sgn} \langle \vec{r}, \vec{v}_i \rangle$ .

Then

$$\mathbb{E}(\text{cut value}) = \sum_{(i,j)\in E} \omega_{ij} \cdot \frac{\arccos b_{ij} \langle v_i, v_j \rangle}{\pi}$$

$$= \sum_{(i,j)\in E} \omega_{ij} - \sum_{(i,j)\in E} \frac{\frac{\pi}{2} + \arcsin b_{ij} \langle v_i, v_j \rangle}{\pi}$$

$$\geq \sum_{(i,j)\in E} \omega_{ij} - \beta \cdot \sum_{(i,j)\in E} \omega_{ij} \sqrt{\frac{1 + b_{ij} \langle v_i, v_j \rangle}{2}}$$

$$\geq \sum_{(i,j)\in E} \omega_{ij} - \beta \left( \sum_{(i,j)\in E} \omega_{ij} \frac{1 + b_{ij} \langle v_i, v_j \rangle}{2} \right)^{1/2} \left( \sum_{(i,j)\in E} \omega_{ij} \right)^{1/2}$$

$$= 1 - \beta (1 - \text{SDP})^{1/2}$$

$$\geq 1 - \beta (1 - \text{OPT})^{1/2}$$

where  $\beta = \sup_{\alpha \in (-1,1)} \frac{\frac{\pi}{2} + \arcsin \alpha}{\sqrt{1+\alpha}} < +\infty$  by L'hospital rule.

Therefore, it is a  $1 - \epsilon$  vs.  $1 - \beta \sqrt{\epsilon}$  search algorithm.

## Problem 6.

**Problem 7.** hyperplane cuts  $\frac{\alpha}{\pi}$  edges in  $G_d$  with angle  $\alpha$ .

Then totally, hyperplane cuts

$$\frac{\int_{\arccos \rho^*}^{\pi} \sin^{d-2} \alpha \frac{\alpha}{\pi} d\alpha}{\int_{\arccos \rho^*}^{\pi} \sin^{d-2} \alpha d\alpha} < \frac{\int_{\arccos \rho^*}^{\pi} (\pi - \alpha)^{(d-2)/2} \frac{\alpha}{\pi} d\alpha}{\int_{\arccos \rho^*}^{\pi} (\pi - \alpha)^{(d-2)/2} d\alpha} = \frac{\arccos \rho^*}{\pi} + O(\frac{1}{d})$$

The first inequality is because  $\frac{\sin \alpha}{\sin \beta} > \frac{\sqrt{\pi - \alpha}}{\sqrt{\pi - \beta}}$  if  $\alpha < \beta$ . Thus the probability of  $\alpha$  in the left is less than the probability of  $\beta$  in the right if  $\alpha < \beta$ .

**Problem 8.**  $f: \{\pm 1\}^n \to \mathbb{R}$  is a linear combination of function  $f: \{\pm 1\}^n \to \{\pm 1\}$ , which can be written in the form

of linear combination of Fourier base functions:

$$f(x) = \sum_{S \subset [n]} \hat{f}(S) \chi_S(x)$$

where  $\chi_S(x) = \prod_{i \in S} x_i$  is a multilinear polynomial. So it is expressible as a multilinear polynomial.

The uniqueness is because, if there is some multilinear polynoimal g such that  $g(x) = f(x), \forall x \in \{\pm 1\}$ . Then using Parserval's Theorem we obtain that

$$\sum_{S \subset [n]} (\hat{f} - g)(S)^2 = \mathbb{E}_{\vec{x} \sim \{\pm 1\}^n} (f(\vec{x}) - g(\vec{x}))^2 = 0$$

So 
$$f - g = \sum_{S \subset [n]} (\hat{f} - g)(S) \chi_S = 0.$$

Problem 9.

$$\langle f, g \rangle = \left\langle \sum_{S \subset [n]} \hat{f}(S) \chi_S, \sum_{S \subset [n]} \hat{f}(S) \chi_S \right\rangle = \sum_{S_1, S_2 \subset [n]} \hat{f}(S_1) \hat{g}(S_2) \left\langle \chi_{S_1}, \chi_{S_2} \right\rangle = \sum_{S \subset [n]} \hat{f}(S) \hat{g}(S)$$

However, if we let  $f=\chi_{\{x\}}, g=\chi_{\{y\}}, h=\chi_{\{x,y\}},$  then

$$\mathbb{E}_{\vec{t}}\chi_{\{x\}}(t)\chi_{\{y\}}(t)\chi_{\{x,y\}}(t) = \mathbb{E}_{\vec{t}}t_x^2t_y^2$$

But

$$\hat{f}(S)\hat{g}(S)\hat{h}(S) \equiv 0, \forall S \subset [n]$$

due to they are Fourier basis.