

# **This is the Project Title**

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## **MInf Project (Part 1) Report**

Master of Informatics  
School of Informatics  
University of Edinburgh

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## Abstract

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## Acknowledgements

Acknowledgements go here.

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# Chapter 1

## Introduction

The document structure should include:

- The title page in the format used above.
- An optional acknowledgements page.
- The table of contents.
- The report text divided into chapters as appropriate.
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### 1.1 Using Sections

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# Chapter 2

## Introduction

The *Chatting about data* project aims to explore the potentials of using chatbot technologies to empower users by increasing their understanding of their own health through data. The project is split between different areas of human health: sleep, mental health, and nutrition. The latter provides an interesting variety of challenges that have been explored in academic and clinical trials, but never for the purpose of a comprehensive, general purpose consumer application. This might be an explanation of why its still not common to discuss your dietary habits with your favourite personal assistant, regardless of how ubiquitous the technology has become in the last decade. But while the trend of consumer voice assistants has been developing products as all-round helpful tools, which do not specialise in any one task, the lack of a clear winner in the space of textual digital assistants has resulted in the proliferation of programs with smaller scope. Known as chatbots, they usually specialize in accomplishing a small set of specific tasks, akin to the app model of the early smartphone era, and are similarly distributed through a centralized platform. Thus, just like food logging, a facet of the growing quantified self movement, has exploded with the smartphone, it also falls well within the scope of existing chatbots. And just as the smartphone has not completely solved food logging, with adoption still relatively low compared to tracking other vitals and abandonment being high, the relatively unexplored area of natural language assistants in the context of *m-health* still presents several unsolved problems. A nutritional assistant must contain two essential features: the storage of foods consumed by the user, and the retrieval of such information for the purposes of informing dietary choices. There is a large variation in how the two are accomplished among various existing solutions, and the aim of our project is proposing an implementation that, using a Natural Language interface and the ubiquity and capabilities of modern messaging platform, makes interactions easier and more engaging.

Our contributions to the field are a first integration of a chatbot for text and picture food logging, and a comparative experiment between the chatbot and traditional diet tracking app on a similar demographic.



# Chapter 3

## Background

### 3.1 The chatbot revolution

Much has been said about how the rapid reduction in cost of the semiconductor has, in the last 60 years, changed the world in a significant way. The rapid spread of inexpensive and energy efficient computers, networks, and storage facilities, has revolutionised how we access information, exchange goods and services, and communicate with one another. The diffusion of the smartphone, specifically, has brought forth an explosion in the amount of information generated globally, with more than 4.9 billions users, with adults in the United Kingdom spending an average of 2 hours per day interacting with their phones, browsing the web, using applications, generating tracking data, and chatting. The latter usage in particular is one of the most popular, with 42% of mobile users [18] being active social media users. The top downloaded messaging applications, as of January 2018, are Messenger and Whatsapp (1.3 billion active users each) and Wechat (980 million) [17].

Besides keeping up with friends and professional contacts, business transactions are also conducted through chat, either arranging sale and delivery of goods, or for customer assistance, the former being much more prevalent in Asia, where most medium and large size companies, as well as some smaller ones, have a WeChat presence (conversational commerce). Increasingly, many of these transactions are being automated through the deployment of chatbots (bots), an evolution of classic conversational interfaces that have become popular in the last decade for commercial and entertainment applications [28]. The most popular bot platform outside China is Facebook Messenger, which introduced the functionality to developers in April 2016[12], and it has since taken off with more than 200,000 bots on the platform as of December 2017[11]. The development of Voice Assistants such as Google Assistant, Siri, Cortana, Alexa, or open-sourced Mycroft, have also pushed the deployment of conversational interfaces, and to some extent through the chat medium, with all the mentioned agents providing some form of textual input. Besides the marketing pushes, the use of chatbots has increased thanks to advances in Natural Language Processing (NLP) and Natural Language Understanding (NLU).

While early chatbot implementations relied on simple pattern matching rules based

on recognition of specific words (entity recognition) or parts-of-speech (POS), most of today's chatbot frameworks can leverage large corpora to apply machine learning algorithm, such as Intent analysis. Conversation can follow a slot or flow model: the latter is a hardcoded scripted flow diagram that guides the user through a preset conversation; the former specifies "slots" that contain some data the developer is interested in, and the chatbot will use NLP techniques to fill the slots from conversations with the user. Responses are typically pre-written and matched to an intent, but advances in deep learning are opening up possibilities for generative models, which create the answer from scratch[34]. Particularly successful can be combinations of several approaches, such as Serban, 2017's use of reinforcement learning to combine the approach of a generative deep learning model and a template-based retrieval model[50]. Critical to the success of the chatbot is a good context management system, to ensure that a multi-turn conversation isn't disjointed and that previously entered information remains available to the chatbot. All of this functionality is implemented by a growing variety of open source and commercial tools available today [36]

From a service provider's perspective, the advantage of using a chatbot instead of a human to provide customer service or present content is clear: over the long term, the cost of development is small compared to the number of salaries that would have to be paid to maintain the same amount of concurrent conversations. The centralization of services under a single interface to same extents also addresses the phenomena of "app fatigue": smartphone owners are no longer installing new apps, and when they do retention rates are abysmal [3]. Users' main motivations is that using chatbots makes them more productive compared to going through an app or long webpage to find information, as well as the possibility to customize the reply based on their own interest. [24]. This might be a symptom of increasingly shorter attention spans in younger generations[56], which also explain why a synchronous form of communication such as chat might be perceived more productive than an asynchronous medium such as email, and is reflected in users' preference on the chatbot's personality [40]. To a lesser extent, people also use chatbots for entertainment value and because they benefit from the social aspect, but some of the interest might only be attributed to the novelty value.

Given the need of chatbots to be used productively, user needs will cause significant consequences for the field of Human-Computer Interaction (HCI): new paradigms of interface design will have to be invented, and novel approaches to combine different types of outputs will be possible [32]. Undoubtedly, it provides a great advantage to the less tech-savvy, who might have trouble understanding the user interfaces of many bespoke applications, but will already be familiar to the "unifying" chat window, to the point that, if the chatbot application is aware of enough information about its users, it might be able to create personalised interaction tailored to their preferences, and by doing that alleviate the growing digital divide that some segments of the population experience because of the implicit biases of some tech workers [?].

## 3.2 Advances in mHealth

Since the earliest days of chatbots, such as Eliza, which was modelled after a Rogerian psychotherapist [55], it's been clear that conversational agent can have significant impact on health. Its application however remained limited, for once because of the restriction at the time of having to use a teletype, but mostly because the still primitive state of the technology made freeform chatting, and crucially the ability to understand anything about your mental health, impossible. More recently, the development of cheap sensors and widespread connectivity through smartphones has spurred a growing sector of m-health applications. From the 2000s, mobile phone use made patient - doctor communication quicker and more frequent, as well as enabling some initial forms of monitoring and providing a hub for Body Area Network including different medical sensors [45]. The 2010s have seen further developments along these uses, as well as the advance of "Artificial Intelligent" applications, provided by vast quantities of data collected through mobile devices, as well as advances of other Computer Science and Engineering disciplines such as image recognition, virtual reality, robotics, drones, 3D-printing, and the Internet of Things (IoT) being applied to the medical field [46]. The vast quantities of data being collected have helped to advance the state of the art on several medicinal application - but they also provide a valuable monetisable resource, both from a perspective of Big Data analysis, and for the number of for-profit advertising companies that will sell medical information to marketers and insurance companies [53], as well as hackers for resale on the black market and for purposes of identity theft [57]. The sensitive nature of this kind of information makes it difficult to operate without breaking patient confidentiality, since even if anonymised medical records can be re-identified by correlating with outside sources [52], and even large experienced medical institution and data collection companies can breach existing regulations [15].

Smartphone and wearable devices users have also been collecting their own personal information, giving birth to the idea of the Quantified Self, or Personal Informatics., Much of the Quantified Self movement is based on the idea that the automation of data gathering will lead into greater insight and improve our own health and behaviours by reflecting and evaluating our past experiences. Rivera and Pelayo, 2012 [49] propose a framework necessary for self tracking app, based on the three activities of tracking cues, triggering and recall. Tracking can be done through software logging or hardware sensors. Triggering can be active, when the user is prompted to reflect in a suitable context, or passive, where the information is simply displayed in a location the user can observe and notice significant changes. Recalling can be aided through different techniques, which usually involve a considerable amount of post-processing and enhanced by access to large datasets. There is still much work that could be done in the area of contextualization, by associating the collected data with other sources, and data fusion, comparing your own data with independent self or peer reporting, as well as better data visualization in attractive and intuitive ways.

One example where the quantified self phenomenon can have a real impact is preventive medicine, promoting healthy lifestyle to alleviate future medical issues. Diet, in particular, has been shown to benefit from open ended food-logging more than other methodologies [23]. While Turner-McGrievy, 2013 [?] found little advantage

in replacing a paper diet tracking system with a mobile application, Personal Activity trackers in their study did receive an advantage; this leads to speculation that the lack of improvement in the first group might have been caused by the diet tracker's UX; and in fact, using the My Meal Mate app over a 6-month trial, Carter 2013, [?] reported increased adherence, usage, convenience, social usability, and overall satisfaction compared to traditional diet tracking.

Good examples of currently active commercial quantified self apps for fitness and nutrition are *My Fitness Pal* [10] and Google Fit [?], whose designs have been shown [51] to prioritize continuance intention (the willingness to continue using the app), usability qualities such as directness, informativeness, learnability, efficiency and simplicity; user value features such as satisfaction, customer need, attachment, pleasure and sociability. The usability of these interfaces, which include both a textual input and a barcode scanner for commercial products, has increased the number of DIY food loggers [21]; however, there is still some friction to a seamless logging experience [?], which might be bridged by the use of a chatbot interface.

Chatbots have been speculated to provide a useful tool as a behavioural intervention technology, used to complement human practitioners in reaching a larger number of users and automate personalised messages [33]. Experimental and clinical trials using simpler informational chatbots have been made in various medical fields, such as counselling [25], mental health intervention [31] and sexual health information [?], generally providing positive results, or at least giving indication that the medium might be used to address the specific issues. Among the more successful experiments in Behavioural Health Intervention, the MobileCoach open source platform [13] was originally designed as a text messaging based system, which did some parsing on the back-end but mostly relied on practitioner's interventions. It has now been redesigned as a full fledged online chat platform, which was perceived positively in clinical trials where participants treated for obesity interacted with a chatbot that exhibited a distinct personality [38].

One successful attempt at making a dietary tracking chatbot was Forksy [9], but there are no statistics on its usage, and development seems to have stopped. Forksy is very effective on getting users to log their diet, but seems to have no "smart" features.

### 3.3 Making a smart chatbot application

As researchers in many other fields in Computer Science are realising in the last decade, the collection of large quantities of data can have other uses besides record keeping, by leveraging the booming fields of machine learning and data science, and could eventually lead to make a truly useful chatbot to replace or complement professional dietitians in a larger measure.

Ever since Richards, 1902 [48], attempts have been made to algorithmically use food composition values to maximise food value per money spent. However, as Richards herself notes, "we know too little of the effect on digestibility, of cooking, and of the combination of two or more foods in one dish, or at one meal, to permit of very close calculation".

Even a century later, nutritional science still struggles to establish criteria to categorise

any one food as “good” or “bad”, because of the large number of nutrients that make up each food [54]

To achieve a truly smart dietary assistant, we should be able, given a vast amount of information about our users, their habits and goals, to recommend an effective strategy to achieve the latter by analysing their choices in the former. As Gregori, 2017 [34] describes, the architecture of a chatbot requires four components: a frontend, a knowledge base, a backend and a corpus. While there are many tools that can be used for chatbot frontend and backend, finding an appropriate corpus and generating a knowledge base are domain specific tasks, with far less options available.

Even for a restricted dietary task such as reducing fats consumption, expert systems will be based off a set of handcrafted rules [47]. While the medical community has made efforts to solidify their field into knowledge bases, there are no prevailing standards to read and interpret them, and although some efforts have been made to use knowledge graph representation to power a symptoms identifier chatbot [?], there doesn't seem to be a canonical dietary knowledge base. Current commercial apps use a combined approach of total calorie counts and macro/micro nutrient percentages, but this approach is often insufficient to initiate healthy behaviours [?]. Despite the criticism for the occasional sensationalism, the emerging field of dietary epidemiology advocates a holistic approach to nutrition studies, by taking into account genetic, lifestyle and metabolic information as much as dietary records, making the mere tracking in sufficient to draw anything but the most casual inferences on the users' health [?]. But until this branch of the field develops enough to provide us with effective personalised nutrition (some recent startups would like us to believe that's already the case [16]), it's possible to use a more restricted approach based on recognising unbalanced diets from the lack or excess of certain key nutrients, abstracting the mechanics of quantifying exact measures from the users by providing more immediate advice through food recommendation. Data analysis techniques on food composition can be used to draw networks of complementary foods (foods that together fulfil nutritional needs) [37], which could be used to give suggestions based on what users have already eaten. There are plenty of choices for nutritional value composition datasets[], and free or commercial APIs []

Success in activity tracking is influenced by demographics, with older and lower income subjects having lower rates of initial activation and retention [44]. This problem may be caused by the bespoke user interface each fitness tracker comes with, a problem which might be alleviated by using a universal chat interface.

Popular fitness tracking apps often providing social networking functionalities, which have helped participants achieve their fitness goal through a combination of competition with their peers and social accountability [26]. Gamification has also proven useful [42], and so have financial involvement, but only when profiled as a loss and not for modest gains [41]. One company who successfully integrated diet tracking with monetary incentives and social accountability was Gym-Pact (later Pact app), which rewarded users for tracking their calories, eating enough fruits and vegetables, and exercising, but took money from them if they didn't. The app reached a sufficiently large number of participants [39] to sustain itself for several years, and a high percentage of users was frequently able to achieve their goals (but their business model wasn't profitable enough, and they closed in Summer 2017).

## 3.4 Image recognition

Most messaging apps today come with media functionality integrated; in particular, it's easy to take pictures and send them as a message from within the app itself. A diet tracking chatbot might benefit from the users' ability to take pictures and instantaneously receive feedback on their nutritional value. While this as to the best of our knowledge never been attempted within a chatbot interface, photographic diet diary have complemented food logging for many years, both in a traditional paper form to aid recollection[], and more recently electronically. Classically, portion size estimation required placing a fiducial marker, an object with a distinctively recognisable pattern, in the frame of the image, as to be able to fit a geometrical model on the entire picture [19]. A slight twist on this has been spun by Smartplate, a startup that uses a distinctively shaped plate to implement image based food tracking []

A different approach was used by Google research with the Im2Calories Android app [43]. Besides using a convolutional network based off a newly collected MultiLabel dataset to classify what the food in the picture is, different CNNs are also used to segment images and to estimate their 3D volumetry. This allows the app to assign calorie counts to images that contain different foods in the same plate, and to have more precise estimation of size. Unfortunately, neither the app nor the datasets have been publicly released. More recently, small startups like Calorie Mama[5] and Bitesnap[4], as well as Samsung's digital assistant Bixby[?] have also implemented similar functionality, although it's still not clear how their models were trained, or how effective they are.

Among social media users, especially on the Instagram photo sharing platform, it's common to photograph images of aesthetically pleasing food. While this does by no mean provide an exhaustive nutritional history, it can be used as a further automation to save users from having to manually log their meals and extract nutritional information, as well as potentially another avenue to establish social accountability to log healthy food [?]. We will still have to use computer vision algorithms on this data, because Instagram tags are unreliable in identifying the content of the picture due to the large number of slang-related false positives [?].



# Chapter 4

## Implementation

### 4.1 Design

As a dialogue agent, a chatbot needs to be designed with conversation between agent and user in mind, with each turn in the exchange serving a function of supplying or retrieving information from the system. To determine what kind of interactions the users and the chatbot should have, we started our design phase using the Botmock tool to draft some conversation graphs. One consideration when determining the tonality of our conversation sketches was determining what personality the chatbot should exhibit. Since chatbots users have shown to appreciate the fun aspect of designed chatbot personalities [40], we tried to imbue our conversations with some colloquial aspects, like using slang, calling the user names, and using emojis. Additionally, we added some level of randomisation to the stock responses we would give, to make sure the chatbot didn't feel repetitive, especially for frequent expressions.

To establish a level of familiarity, rather than fetching a user's name from the client's account information, we initiate our first conversation by asking for it. The rest of the introductory messages just describe the chatbot's functionalities. Besides asking for help, users have three main available actions they can take: tell the chatbot what they are eating, send a picture of food, and ask the chatbot to recap what they had on a specific date. If the user doesn't specify a quantity or measurement to their meal, the chatbot will ask for details. To avoid bogging down the user with measuring their portions, and since we mostly want them to think about their diet in general terms, we encourage to use relative rather than absolute quantities (more, less or same as usual). We hope that using these measurements we will be able to maintain longer term user engagement, without sacrificing understanding of how much food is being consumed. Once we have this information, we send it through the Nutritionix API, to find out the nutritional content of that meal.

Sending a picture to the chatbot triggers a call to the *clarifai* vision API, to understand what the contents of the image is, using their food model. *clarifai* returns a list of guesses for a picture, and their confidence value. If only one guess has confidence above the arbitrary threshold of 97%, we save the food in the picture in our database; in any other case, we present the user with a list of the top three guesses as interactive

buttons, so we can store the correct value in the database.

### 4.1.1 Nutritional analysis

Once the user starts logging details about their food consumption, we will need to start analysing what they are eating to give them advice. Lacking comprehensive nutritional knowledge, the best we can do is crafting some elementary heuristics.

The food retrieval intent queries the chatbot's database for all foods stored on a certain date. To aid user's reflection, we provide some basic data analysis, specifically on the food's quantity: if there are more than 10 foods logged, with at least one having been consumed in large quantities and either less than two thirds consumed in small quantities or more than two thirds consumed in large quantities, we warn the user they might be overeating. Conversely, if they have logged less than 3 items of food, or if more than 2/3 of their meals come in a small quantity, we warn the user about undereating. If they are asking about the current date, they might just not have had time to log all their food; in that case, we only send a warning if the current time is past 10 PM.

One example of food category that is often praised by nutritionists for its high nutritional value [] is leafy green vegetables. To demonstrate the capabilities of chatbots as nutritional advisers, we handcrafted a list of leafy greens, which we check every meal for. If we don't observe the user eating any of these foods in 2 days, we prod the user with a reminder. Prodding in a chatbot context requires finding a very delicate balance: it can be too infrequent, making it less useful for users who actually want to be reminded; but if too frequent, it might soon become annoying. For example, the Forksy nutritional chatbot [] sends a reminder to log a meal for every single one (at least 3 a day). This causes the bot to feel overbearing, and it might actually drive the user away (Forksy actually implements an interesting solution by asking how long before the next reminder, but it still gives no option to deactivate reminders completely). Our solution is staggering the no usage messages at increasing intervals, first after day one, then on day two, four, seven and fifteen, to maximise our chances of getting a forgetful user to start messaging again, without being too annoying if they choose not to. Larger amount of data analysis might be used in the future to determine when, based on current dietary habits or external inputs, the notification might be more effective in nudging the user into resuming logging or eating vegetables, and eventually provide an overall more active prompting system that takes into account correct dietary practices.

## Architecture

Our chatbot's architecture is composed of a natural language interface in Google Dialogflow, hooking up to an Express.js server running a Heroku instance, storing data on a hosted MongoDB, and retrieving other information from external APIs. The agent itself is presented through a Facebook Messenger bot, which we chose for its popularity.

## Dialogflow concepts

Today there are several Natural Language Understanding platform specifically designed to create chatbots. Our choice fell on *Google Dialogflow* (at the time *API.AI*), because of its ease of integrations with most popular messaging platform, ease of development and solid NLP functionality. A Dialogflow agent is set up with a library of patterns, *intents*, that use example sentences or templates to parse inputs to the chatbot. Templates include parameters whose types are called *entities*, some of which are already defined by Dialogflow, but new ones can also be added by the programmer. One of the standout capabilities of Dialogflow is that a parameter can be marked as required by the intent, so if the initial utterance does not contain it, the chatbot will prompt the user to specify the new parameter. To maintain the flow of conversation, an intent can be followed by another, based on what the user replies, with a context object which stores all parameters passed from the original intent down to all its followups. Each intent can trigger an immediate response, as defined on the Dialogflow console, or it can trigger an *Action* to be fulfilled by the *Webhook*. *Actions* are functions held on the server that can access the parameters of the current intent, execute their own logic, call external APIs etc. They usually are triggered as a POST request to the webhook's address, with the request JSON object as its body, and the HTTP response determines the behaviour of the chatbot, either by replying with more text, sending media or rich text, or triggering an *event*, which executes an intent just as one of the triggering sentences had been sent by the user. Small talk intents are also defined as part of Dialogflow to take care of common sentences unrelated to the chatbot's domain, and Dialogflow's machine learning engine can be interacted with through a Training console (still in Beta at the time of writing), which can be used to correct intent and parameter recognition (and indirectly, to gain insight into how the engine's model classifies new text).

Our chatbot defines the following intents:

- *First interaction* is the initial intent, triggered by the Facebook Messenger "Get Started" button or with the "Greetings" keyword for debugging purposes. This asks the user what their name is, and is followed up by

*Name save* which waits for a name to be given, and saves it to the database

*Name confirmed* replies with a welcome explanation message

- *Food log - text* is triggered when the user adds a meal to the log. It takes required parameters of food (as a list), quantities, and optional parameters of meal name and date
- *Send food pic* waits for a Facebook Messenger Media object (a picture in our case) to trigger an action which runs image recognition. If no unique match is found,

*Send food pic - no* is triggered by the webhook whenever there is no clear candidate for classification of a picture

*Clarify food pic* recognises user's sending a clarification for what the food was through a Facebook Messenger button. To make sure the button's message

matches this intent and not the generic food logging, a `messenger_button` token is appended to the message payload

*Send food pic - yes* is launched whenever a picture is classified correctly, and just triggers the *Analyse food pic* intent

*Analyse food pic* takes the food content found in a picture received from the user, analyses and stores the result into a database

- *Help* matches a request for help with a few reminders of the chatbot's functionalities
- *Date retrieval* is used to query for past food logs, taking just a date as a parameter. For logging purposes, with removal functionality to be implemented in the future,

*Date retrieval - false* will recognise when the user declares the food log for that day to be incorrect

- *What's my name* is mainly a debugging intent, to check if the chatbot has managed to successfully save the name for the current user in the database
- *Sinkhole* is used for training purposes to redirect all the intents that were misclassified

Our chatbot defines the following entities:

- *meal* enumerates four different meals: breakfast, lunch, dinner and snacks
- *quantity* contains a list of all the different measurement units for food
- *meal-quantities* combines quantities with quantifiers (a, some, integers etc.)
- *approximate-quantifier*
- *date-ext* extends the `@sys.date` object with today and tomorrow

## Backend

By default, the Dialogflow interface includes a small inline editor to implement some simple webhook logic. While the web interface is limiting for creating a backend of the complexity required, it's easy to export this example code to Google Cloud Functions [6], Google's serverless cloud function service, and their own database, storage and analytics tool, Firebase. The sample code consists of an Express.js [8] web server, which listens to POST requests to the `/webhook` route to the server, which will be sent from Dialogflow, and provides helper functions to craft the appropriate response as a JSON object, which will trigger a reply through the chat client. The largest portion of the code is the action-handlers dictionary, which associates a different function to any of the Dialogflow intents.

We started developing our webhook from this starting example in GCF, but we soon realised that a core requirement of our design, the ability to call up external APIs, was not possible under the free tier of Google Cloud. Thus, it was necessary to find a replacement. Some options that were considered were Apache OpenWhisk [2], Captain Duck Duck [22], Amazon Web Service Lambda or Elastic Beanstalk [?], Dokku [7], 1backend [1]. In the end, Heroku was selected as a solution because of its many productivity advantages. Among the many alternative the popular Platform as a Service (PaaS) solutions offer, we took into consideration the mature tooling, the easy to use deployment infrastructure, which consist of simply pushing the code to a version controlled repository, the automatic inclusion of a free domain name, and simple (but barebone) scheduling functionality. Heroku offers both a free and a paid tier; for our purposes of creating a prototype, the free tier offers all required functionality; however, it would not be sufficient to power the chatbot infrastructure in a production setting, as there are limits to free users' capabilities, most notably a temporary suspension (and prolonged wake up times) after an hour of inactivity. Having moved to a full PaaS implementation, we were able to expand our program from a single file to several modules, which was necessary to avoid a large unwieldy single file, and allows us to compartmentalize between different types of functionality.

- *index.js* is the main function for the Express server, it runs in a loop waiting to receive any requests, and serves a response for any predefined URLs. On a POST request to */webhook*, it will read the request body to find the action's name and parameters, and calls a function as defined in the *actionHandlers* dictionary. Most intents match one-to-one to an inline function in this object, although some are defined in an external module
- *messenger.js* contains output functions to send a reply to the user, either going through the Dialogflow API, or directly through the user's Facebook Messenger account (necessary for sending a message on a predetermined schedule, without a user initiating the conversation)
- *picture.js* handles all intents related to pictures, from querying the *clarifai* to handling incorrect or imprecise guesses.
- *mongo.js* abstracts some of the low level database, like connecting to the database and querying the username
- *analysis.js* deals with all food related activity, like querying the Nutritionix API and analyse users' dietary records
- *strings.js* contains all messages the chatbot will send to the user, all collected in one file for ease of editing
- *worker.js* defines a set of functions to run periodically, which will verify some information about each of the chatbot users, and send an appropriate message

The latter module, unlike all the others, doesn't export any of its functions, but it's run every day at 8 AM GMT by the Heroku scheduler add-on.

#### 4.1.1.1 Database

We store important data from the chatbot on a MongoDB database free instance on mlab.com. The database consist of a single collection, users, with a unique document for each user. Besides containing identifying information such as a unique Dialogflow ID, a session ID to initiate messenger conversations and a name, we also store a list of meals, objects containing a list of food items, their quantities, a date and an optional meal name (lunch, dinner, breakfast or snacks). Finally, we have a counter object, which stores values for the number of days since the user has logged any food, the number of days since the user has had any green leaf vegetables, and the total number of days. These are used to determine whether a reminder should be sent to that specific user about any of those issues (the total count reminder is used to ask for feedback about our experiment after three days, to collect some data while participants are still engaged with the chatbot).

## 4.2 Failures

While we have developed and delivered a fully functional chatbot, there are many features that we would have liked to have but could not implement for lack of time. Other features were started, but couldn't be completed.

### Instagram failure

A unique feature of our chatbot design was its integration with Instagram. If a user had an account on the social network, we would have asked them during the onboarding procedure to log into their account to generate a unique access key, which we would later have used to crawl their image history for food pictures. We developed the onboarding dialogue sequence, giving the user a choice on whether to add an account or not, and we registered an Instagram developer account and an application to generate unique login URL. If a user logged in through this link, they would have been redirected to a custom address on our server where the access token would have been saved to their account.

We completed our implementation of this first phase, and successfully tested the token generation on our own account. But as we were developing the crawler implementation, our application was suspended for Terms of Service violation. Speaking with a Facebook inc. engineer, they speculated that the reason for the ban was that the picture retrieval API is only intended for building alternative clients, making our idea infeasible. An alternative with a more permissive API is Flickr, but we didn't explore the possibility of using this smaller social network.

### 4.2.1 Defining a food entity

The Dialogflow predefined entities do not include one for food. This is in fact a non-trivial problem, because enumerating all possible food requires knowing about both “raw” ingredients, and commercial food which might be referred to as their brand name or with a specific product denomination. We therefore tried to handcraft our own food corpus, in the hope it would provide sufficient coverage. To collect food entities, we first used the Open Food Facts database [27]. Besides being freely accessible, this option was selected because of the large number of entries, 374259, the presence of generic food identifiers associated with commercial product for 59383 of the entries, and a nutritional approved health rating on a A to F scale. Moreover, besides a raw data export, the service provides an experimental JSON API For online queries. The raw database was exported as a MongoDB [14] object, in bson format. After having created an empty *openfooddata* table, using the *mongoimport* command we copy the contents of this object in the new database. Then, through the mongo console, we run command

```
var cursor = db.products.find(
  { $and: [
    { $or: [
      { 'generic_name' : { $ne: "" , '$exists': true
        ↪ } },
      { 'generic_name_en' : { $ne: "" , '$exists':
        ↪ true } }
    ] },
    { "countries" : { $regex: 'en|UK|United States|
      ↪ Canada' } }
  ] }
)

var cursor = db.products.find({ $and: [{ $or: [{ "" : { $ne:
  ↪ ", '$exists': true } }, { "generic_name_en": { $ne: ", '
  ↪ $exists': true } } ] }, { "countries" : { $regex: 'en|UK|
  ↪ United States|Canada' } } ] })
while (myCursor.hasNext()) {
  printjson(myCursor.next());
}
```

Unfortunately, this produced only 795 food item which were indexed as being listed in English, and had a proper name, and manual testing resulted in several misses. Subsequently, we found an online corpus of British raw food at LanguaL.org [(1316 items), but it wasn’t sufficient for common queries either.

In the end, we decided to compromise, deeming missing on a less common food being logged more harmful than false positives. Our final approach was marking the food parameters as a *sys.any* entity, which is equivalent to a wildcard match. This is fine for a more structured intent, where the user prefaces tier logged food with an action verb (“I ate”, “I had” etc), but these aren’t sufficient to capture the full range of inputs,

as some user will also just say the name of the food. To catch those cases, we had to add an intent case which just matches `sys.any`, but that obviously has the unwanted consequence of also catching utterances that neither the intent nor the smalltalk module catch.

## Classifying food

Just like our handcrafted leafy green vegetable set, we would have liked the capability of automatically recognise whenever food belonged to a certain food category (meat, fish, nuts etc), which we would have used to create a set of simple heuristics to detect missing categories from the user's diet.

The apparently simple task of associating the name of a food to a category is deceptively complex; even humans assign multiple categories to the same food (e.g. fruit or snack for Apples), and thinking about a certain food as belonging to a certain category will influence their beliefs in regard to its nutritional properties [35]. Our naive attempt to classify food according to its category was to cluster it based on its nutritional values: ideally, similar kind of foods would have ended up being classified in the same clusters ("high in sugar", "high in protein", "low in vitamins" etc) and manual inspection of classified data could have been used to assign an intuitive category to each cluster.

The k-means clustering is used to group points into n-dimensional space into a predetermined k clusters, by iteratively computing the cluster each point belongs to based on a distance metric, until cluster membership becomes stable. While our vector space was 250-dimensional, the number of distinct nutritional values identified by the USDA nutritional database, it's not trivial to determine the value of  $k$ . If we had had a distinctive number of food groups we wanted to obtain (like, for instance, the 10 categories identified by the Australian National Nutrition Survey of 1995 [?]), we could have used that as  $k$ , but obviously any kind of foods that belonged to a cluster we haven't considered would have been incorrectly classified. Napoleon, 2011 [?, ?] describes an algorithm to both select a value  $k$ , and to reduce the dimensionality of our data set, which allows us to reduce computation by eliminating nutrients that don't contribute significantly to classification. This was necessary, as the size of our training data was too small compared to the dimension of each data point, so any unsupervised learning method would fail to give us any interesting result []

Calculated clusterings for the training set, any subsequent food the user logged would have been classified based on its distance from the calculated cluster centres. We built a training dataset by fetching from the Nutritionix API the nutritional values of the 1316 foods in the LanguaL.org dataset, minus the 213 foods Nutritionix had no nutritional value on record for. The Nutritionix API returns a list of values of type (ID, quantity), where the ID Corresponds to nutritional values as identified by USDA. We passed the data to a custom node script, using the *mljs* library [], to expand each food's value into a 250-dimensional vector, and perform dimensionality reduction using PCA[29], find good starting cluster centres, and executes k-means clustering on the entire dataset using the *clusterfck* library [?]. The cluster centres are then stored to a file, with the intention of them being read by the application for classifying new food.



All attempts at classification, however, were disastrous. All food seems to cluster around a small number of highly centralised centres, with most clusters receiving less than a dozen foods, a couple in the low hundreds, and the remaining thousands grouping around the same cluster. This result is corroborated by Kim, 2015 [37]’s finding that foods of similar origins do not necessarily cluster together when grouping based on raw nutritional values. Their proposed network classification and metrics of nutritional fitness do offer a potential method to recommend complementary meals for the chatbot, but deriving the full network from the provided dataset would have required a significant amount of time.

Ignoring nutrient counts, alternative classification methods could be usage of word embeddings in recipes <https://github.com/altosaar/food2vec>. While this might be a good way to find if two foods are culinarily related, it does not necessarily satisfy the property of nutritional equivalence, which is what we’d ultimately like the chatbot to do. Eftimov, 2017 [30] developed an automatic classification method for European standard food classification system FoodEx2, which doesn’t only list composition value, but also chemical contaminants, food consumption and pesticide residuals. Their algorithm goes through a classified learning phase and a probabilistic natural language extraction phase for description, which achieves a good accuracy of 89%, but the resulting classification categories are too broad to be useful.



# Chapter 5

## Evaluation

As with all software artefacts that have a user facing component, testing can be lead both on a technical level and on a usability level. Since the chatbot infrastructure contains many different components, it will be necessary to conduct some testing on the robustness of each.

### 5.1 Testing

Throughout the whole implementation, particular care was taken to follow software engineering best practices. Because JavaScript is a dynamically typed language, we lack a compiler's check for code correctness. As a consequence, we have to run code to find out if it works. To avoid deploying a broken commit to the server, we wrote a git pre-commit hook to test if the program doesn't crash immediately, and to pass a linter to catch any syntax error in the code:

```
npm start > /dev/null &
sleep 5
if `eslint *.js` && [[ -n `pidof -k node` ]] ; then
    echo "Pass linter and npm doesn't crash"
    exit 0
else
    pkill node
    exit 1
fi
```

While this was a good tool to statically catch errors, some bugs would only emerge through dynamic testing. While the *testmybot* unit test library [] looked like a promising solution for establishing a routine of test-driven development, it soon was evident that the Facebook hooks for the underlying Botium library [] are still not mature enough to be used in production. Therefore, rather than having a collection of sample conversations we could feed *testmybot* to determine whether any new code change would break any of the responses we had been getting before, we had to resort to

manually testing each new feature, by messaging the chatbot from a personal Facebook account, repeating the same script for each different functionality we had previously implemented. Most debugging information was printed to the Heroku server logs through the *console.log* JavaScript function.

## 5.2 Evaluation

For our evaluation, we ran an experiment giving out the chatbot to 11 university students, all within ages of 20 to 25 and at least moderately physically active, to use for a week. As a control group, another 9 university students were prescribed to use the MyFitnessPal app for the same duration. All users were recruited through Facebook chat or in person, and all were given the OK to start the evaluation on the same day after having read and signed a consent form describing the experiment and the tester's role in it. In retrospect, having a more gradual rollout might have helped with spotting the first bugs sooner, and giving us a chance to fix the underlying issues without compromising the platform for every other user. As it was, while we identified several issues and features that would have been immediately easy to add, we did not push most of the modification to avoid breaking existing users' workflows. Unfortunately Facebook does not allow to have a separate testing and production environments until the application goes through a first review process, which we couldn't afford to spend time going through.

### 5.2.1 Record keeping

Since this was our first usage of the chatbot outside our own testing, we expected to encounter a variety of bugs and phrasings that it had never encountered from us. We set up a detailed logging function for all error case, printing the user ID as well so as to be able to reconstruct the causes at a later stage. We could also access a complete record of all communication through the Facebook app console, as well as having a list of intents identified and how the parameters were matched from the Dialogflow agent. While having this much access gave us some great insight into what might be affecting faulty behaviours, it was also concerning how we could read the conversations in their entirety, and while Dialogflow allows to deactivate the logging, there was no way of doing that through Facebook. And even if there was, it would be trivially easy to still log everything through the server.

### 5.2.2 Experiment description - survey, in person interview

To initiate the experiment, the chatbot users' Facebook profiles were added as testers through the Facebook developer console. They were then sent a link to the chatbot's Facebook page, where they could press a clearly visible button to start chatting. This would open a chat window, where they had the option of pressing a button to get started before being taken through their first conversation. Users were given no indication on

how to proceed, except for the chatbot's introductory message. Over the course of the evaluation, users sent us some questions (never through the chatbot) on what they could do with it.

The MyFitnessPal testers were asked to give feedback a week after the evaluation started; the bot testers were sent feedback forms after 9 days. The surveys sent to both testers were built using the Google Forms online tool. Most questions were similar to both questionnaires, with some variation when it came to input methods and displays dependent on the app. In compliance with the consent form, none of the questions were made compulsory, and the survey was made anonymous. The questionnaire asked some background information on the participant, to establish levels of fitness and computer literacy, thoughts on nutrition and previous dietary and food tracking histories. Testers were then asked their opinion on the usability, utility, pleasantness of the entire platform they were evaluating, as well as for each specific functionality, and if they had any feedback on things they would have liked to see. Some answers were multiple choices, checkboxes or Likert scales, but most were open text input to allow the participant to give a full explanation of the reasoning behind their answer

### 5.2.2.1 Survey Response

7 participants responded to the My Fitness Pal survey within the first day, and 9 to the chatbot specific survey. While some questions were answered by all the participants who took the survey, none of the open ended questions were answered by all, sometimes with around half the respondents ignoring one question (??Verify??).

Participants seemed to be distributed similarly across the two trials, with chatbot users being slightly more proficient with computers, as well as being more aware of their fitness levels. Similar splits were evident in the proportions of participants who had dieted before, with around three quarters of participants citing a good current health or skepticism with established diet, and some chatbot users using laziness as a motivation. The minority of users who had dieted chose to do so because of environmental, athletic or health-related issues, but did not maintain dieting after reaching their goals, or because of commitment issues. Among both groups, about half the participants consistently had 3 meals per day, with some having a variable number of meals and no participants consuming less than two; our chatbot users however in general snacked less than My Fitness Pal users (there's a possibility that these answers might have been influenced by the experiment, even if participants were encouraged to think about their behaviour before; the fact that My Fitness Pal presents snack as a distinctly separate category, and the chatbot doesn't, might have affected responses to this question).

About half of the participants reported having tracked their diet before, either keeping a food diary, memorizing their meal, or, the majority of respondents, using My Fitness Pal, and the majority of previous trackers also kept a record of their snacks.

For unclear reasons, more than half the respondents skipped the section about their dietary makeup, but for who did fill it in, definitions of "balanced diet" varied significantly: while a majority named a variation of having a correct proportion of Protein, Carbohydrates and Fats, with some allowing for vitamins and minerals as well, others named calories as a main concern, reducing some unhealthy food groups and increas-

ing others, or avoiding stressing about their diet and making sure to have what made them feel good. Only half of the respondents consider their diet to be balanced, including all those who planned their meals in advanced, and most respondents tend to cook their own meals, eat out or do both things in equal measure.

My Fitness Pal user found the app on average more useful than chatbot user, although the latter was rated as generally more pleasant to use. The food diary, the macronutrient breakdown graph and the remaining calorie counter were all generally considered clear and useful, with the graph being the most pleasant feedback. For input, the majority of users preferred scanning the barcode of the meal they were having, although for some the kind of food they were eating was a factor, and people who tended to cook their own food preferred text entries. All users had some issues with finding the food they wanted using text entry, but no one complained about the method being too slow; barcode scanning seemed to perform better, with only some users reporting difficulties identifying a barcode or matching the correct item in the database. By contrast, chatbot users generally found the feedback useless, or insufficient. Chatting was highly preferred as an input method, although several participants didn't find it understood their queries well enough, and some were annoyed by the prompts for size. Some users who took pictures for input found it wasn't accurate, but the larger problem for the feature seemed to be people who weren't aware of the functionality.

Retention rates were much higher for My Fitness Pal users, with the largest missed meals estimated to be 5, and some user logging all their meals; chatbot users, instead, were much less active, with one person logging almost every meal, and everyone else estimating having missed between 5 and 20. In both cases, the leading cause of missing a meal log was lack of time or forgetfulness, with some chatbot users finding input methods cumbersome or lack of interest because the feedback didn't seem useful. As a consequence, almost all chatbot testers did not log their meals on several days. Half of the users report having received a reminder the day after, with the other saying they didn't (which might be explainable by the fact that, while everyone received a reminder, it wasn't necessarily after a day of inactivity). The reminders were generally found to be useful, and mostly made the users log their food on the day, and one user even expressed a desire to receive more frequent prompts to avoid forgetting more meals. My Fitness Pal also provided a reminder functionality, but it's off by default. All users who turned it on got a reminder, but it didn't make them use the app after.

One stark difference in response between chatbot and app user was on preference between noting their food records with absolute measurement (number of portions or unit of measurement plus numerical value). My Fitness Pal users overwhelmingly declared a preference for absolute value metrics, because of the need to calculate precise calorie counts that the app provides, and as a more reliable comparison method to standard recommended portion sizes. The majority of chatbot users instead indicated a preference for relative values, because it's easier not to have to constantly measure portions. Despite the fact that the utility of a food diary comes from the ability to look back on previous meals, only a third of the chatbot users, and just over half the app users took advantage of this feature at a later date, and those who did reported the information presented to them to be accurate, but unhelpful; in fact, about half of the chatbot users and two thirds of the app user don't think using the meal log has given them a better idea of how they eat.

Overall, most participants did not think that logging their food had helped them to eat better, although for many users that was because they already are happy with their diet. Those that registered a positive impact mentioned that having a better oversight on their food trends did prove helpful for them, and My Fitness Pal user specified sugar tracking and suggested recipes as useful features, although some comments also pointed out that the paid version of the app could have been more useful. However,  $\frac{2}{3}$  of chatbot users found that they had become more “mindful” about their diet by using the chatbot, as opposed to less than half of the My Fitness Pal users.

Expectations for the chatbot were high for some users who were hoping for *[a] good AI* which would be talkative and give them active reminders and regular feedback; some were just looking for a more convenient way to log their food; but most participants did not expect much from it. Needless to say, the former group were disappointed by our implementation, with the natural language parsing of quantities, repetitive replies and image recognition capabilities being particularly frustrating. At the same time, users appreciated the ability to choose input method, and some found the chatbot’s personality less annoying than they expected. My Fitness Pal tester also were expecting ease of use, a complete database, and a tool that would prompt small change in their behaviours by highlighting trends that were needed to be changed. Most of these were met by participants, although the majority of American commercial products in the database was deemed a problem.

When asked if they would continue to log their meals after the evaluation period, participants on both platforms were mostly uninterested, either because they didn’t find it useful enough, or because logging took too much time, and in the case of the chatbot, they perceived the product development as not being ready enough for regular usage. However, some users who seemed to have benefited from its usage were willing to continue using, or at least consider it in case of future more rigorous dieting, and one My Fitness Pal user was convinced to resume their paper food diary.

About half the My Fitness Pal users enabled fitness tracking functions, which seemed generally well received, although there were some concerns to how accurate their estimations were, and how useful it is to simply subtract exercise from calorie intake from a nutritional standpoint. Participants who did not use the feature were potentially interested, but the interface wasn’t easy to understand, and there were perceived barriers to entry such as downloading a separate companion app or owning a smartwatch to better track calorie expenditure.

Testers of the app suggested they would have liked to have dedicated fruit and vegetable counters, automatic exercise calorie calculations and personalized recipe suggestions based on a specific ingredient or past meals and goals. For the chatbot, suggestions included pointing out a food’s recommended amount, more reminders, especially around 5-a-day tracking, retroactively adding past meals, adding more variation to the automatic replies to make them less boring, and better onboarding functionality. As part of the survey participants were also asked if they thought that the information they were uploading was being kept safe, and if they thought it was an important concern. Most participants were actually concerned about their dietary records being exposed, with some particularly concerned with being judged because of their diet, while others didn’t think food records were a particularly sensitive topic, and anonymising dietary data could be used to benefit medical research organisations. Users of the chatbot gen-

erally considered their information to be secured, and while one participant specified “I know its developer takes security seriously”, another identified that platform issues were a problem “I mean it’s on facebook so not really.”. On the other hand, My Fitness Pal users were more concerned or unsure whether their information was safe or not, and with good reason: two days after the study completed, the app’s parent company *Under Armour* publicly announced it had been a victim in one of the largest ever leaks of user pertional information [?].



# Chapter 6

## Discussion

### 6.1 Chatbot performance

The nature of chatbot development is one of predicting what a user will say; this is already a hard job for any large software development effort, making it incredibly difficult for a single person team. Testing on our own account, using phrasings we knew the chatbot would recognise, was very different from deploying it in the wild, and experiencing all the different ways participants in the study tried to express the same concepts.

Observing how the users were interacting with the chatbot made it clear that our design choice of minimising false negatives by using the @sys.any entity to capture food wasn't particularly successful. Users would often try to interact with the chatbot for non functional conversation, such as greeting it, thanking it about one of its encouragements to keep logging food, complaining about a mistake or simply acknowledging a previous message. In those cases, if the intent-triggered conversation had already been terminated, the new message would be incorrectly identified as food, without a quantity, and a clarifying message to specify the portion size would be fired.

This also caused the intent recognition model to have several false positives, misidentifying stop words as food within a message that also contained valid food words. Fortunately, this kind of false positive turned out to be less relevant, because the user would already have to identify some portion sizes, so they wouldn't notice misidentification issues, and the recognised food was only stored in the database if matching with some record in the *Nutritionix* API. But while the API did provide a safeguard against incorrect food identification, it also showed issues by misidentifying real food, either by catching only part of the word (cupcake was flagged as cake mix in one instance), or sometime inexplicably (Long island ice teas were stored in the database more than once, without the user having logged them). The ability to list several different food items within a single message, as well as users' habit of splitting up different elements of the same meal between different messages, also caused difficulties in matching quantity to food, with Dialogflow's models simply not being advanced enough to catch the many subtleties of word ordering. This was another cause for the size clarification message to be presented after a quantity had already been given.

Nice! Could you tell me how much you had, or if it's more, less, or about the same as you usually do?

The same as I usually do

Delicious!

Indeed

Nice! Could you tell me how much you had, or if it's more, less, or about the same as you usually do?

Lunch is half a bowl of chilli and a slice of bread with butter

Nice! Could you tell me how much you had, or if it's more, less, or about the same as you usually do?

I already did robot dude!

Nice! Could you tell me how much you had, or if it's more, less, or about the same as you usually do?

The *clarifai* API rarely conformed to our ideal parameter of a single identification over the 97% confidence threshold; however, among the top three choices, there was often a good candidate the user could choose to select. When confronted with no suitable choice, if the user tried to clarify what the food was, the chatbot appeared to recover gracefully, while actually logging the clarification as a new meal.

A considerable effect on how the chatbot performed with users was also due to operational issues. After obtaining consent to participate in the experiment, users were provided with a URL they could open through the Facebook Messenger app or in the browser. Before it became clear that individual users had to be added as testers through the Facebook developer console, the first users who were given a URL did not receive any reply to their messages until they were added. While it was explained to them that this was not an issue with the chatbot but a temporary account management problem, it might have contributed to the perception that the chatbot was buggy. In fact, even after testing initiated correctly, there were some uncaught bugs that users could easily spot, which betrayed the fact that they were conversing with an automaton. For many of the chatbots' interactions, responses were selected randomly from a predefined list; over time, the user would exhaust all variants for a response, and since these were selected randomly sometimes would have the exact same responses given to them in quick succession. Sometimes, the database would fail silently when accessing the user name, so a message would be sent to the user containing "undefined" rather than their name. Perhaps most grievously, an uncaught exception in the logic of "worker.js" caused the entire periodic reminder script to fail after having sent messages to the first user. Because the first user was our test account, we kept receiving reminders through the evaluation period, which caused us to believe everything was behaving correctly

until the 6th day of the experiment. Since this was a very important feature we were interested in evaluating, we decided to push a fix in production, and to extend the trial by two more days to verify its effects. The fix was not perfect, causing the no log reminder to fire up for every user, even if they had just logged their food the night before. However, while this might have been annoying for these users, it proved highly effective, causing every single user to log at least a meal on the day and after, including those who had only tested it on the first day and given up after. The leafy greens reminder was also subjected to a similar bug, firing for every user just before the no log reminder. Unlike the latter, most users didn't seem to react to the suggestion, and while some registered the message, it's not clear whether users failed to modify their behaviours because the greens reminder encourages a more difficult change, or simply because they only noticed one of the reminders. The second explanation is not unlikely, given the fact that our testers seem to ignore larger body of text while messaging, like the introductory welcome message which explained the chatbot's capabilities, causing users who weren't given an explanation before the experiment to be unaware of some functionality.

## 6.2 Survey results

Outside the survey During user evaluation, features were pointed out: clear record if incorrect food, add food on different date; users wanted more frequent reminders; didn't realise pictures capability, or lookback on food; reminders didn't work for the first 6 days, but when they were turned on 100% of users went back in the same morning, and most sent a message after as well; bad interaction with follow ups

Features we want: recurring meals, GIFS, challenges

Through the implementation, it became evident how little privacy a chatbot user can expect. No e2e encryption, data shared among chat provider, nlp tools, databases, cloud hosting and analytics



# Chapter 7

## Conclusion

Security of chatbots is bleak but important: medical information need to be GDPR and HIPAA compliant, but no major chatbot provider bot offers a chatbot API and e2e encryption [20]

Our hackish heuristic should be tested out over a longer period, or they should receive a more systematic approach



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