

Advanced Quantum Mechanics: Fall 2017

Final Exam: Brief Solutions

NOTE: Sentences in *italic fonts* are questions to be answered.

Possibly Useful facts:

- $\epsilon^{abc} \equiv \begin{cases} +1, & abc = xyz, \text{ or } yzx, \text{ or } zxy; \\ -1, & abc = zyx, \text{ or } xzy, \text{ or } yxz; \\ 0, & \text{otherwise.} \end{cases} \quad \epsilon^{abc} = \epsilon^{bca} = \epsilon^{cab} = \epsilon^{-acb} = \epsilon^{-bac} = \epsilon^{-cba}.$
 $\delta_{ab} \equiv \begin{cases} 1, & a = b; \\ 0, & a \neq b. \end{cases} \quad \sum_c \epsilon^{abc} \epsilon^{cdf} = \delta_{ad} \delta_{bf} - \delta_{af} \delta_{bd}.$
- Some Taylor expansions: $\exp(x) = \sum_{n=0}^{\infty} \frac{x^n}{n!} = 1 + x + \frac{x^2}{2} + \frac{x^3}{6} + O(x^4),$
 $\sqrt{1+x} = 1 + \frac{1}{2}x - \frac{1}{8}x^2 + O(x^3), \quad \frac{1}{\sqrt{1+x}} = 1 - \frac{1}{2}x + \frac{3}{8}x^2 + O(x^3).$
- Baker-Hausdorff formula: $\exp(\hat{A}) \cdot \hat{B} \cdot \exp(-\hat{A}) = \hat{B} + \underbrace{\sum_{n=1}^{+\infty} \frac{1}{n!} [\hat{A}, [\hat{A}, \dots [\hat{A}, \hat{B}] \dots]]}_{n\text{-fold commutator}}.$
- Spin (angular momentum) operators satisfy $[\hat{S}_a, \hat{S}_b] = i \sum_c \epsilon^{abc} \hat{S}_c.$
 Ladder operators $\hat{S}_{\pm} \equiv \hat{S}_x \pm i \hat{S}_y$, and $[\hat{S}_z, \hat{S}_{\pm}] = \pm \hat{S}_{\pm}$, and
 $\hat{S}_{\pm} |S, S_z = m\rangle = \sqrt{(S \mp m)(S \pm m + 1)} |S, S_z = m \pm 1\rangle.$
- $\hat{\mathbf{S}}_i \cdot \hat{\mathbf{S}}_j \equiv \hat{S}_{iz} \hat{S}_{jz} + \hat{S}_{ix} \hat{S}_{jx} + \hat{S}_{iy} \hat{S}_{jy} = \hat{S}_{iz} \hat{S}_{jz} + \frac{1}{2}(\hat{S}_{i+} \hat{S}_{j-} + \hat{S}_{i-} \hat{S}_{j+}).$
- Spin-1/2: $\hat{S}_a = \sigma_a/2$ under the \hat{S}_z eigenbasis, for $a = x, y, z$.
 The Pauli matrices σ_a are $\sigma_x = \begin{pmatrix} 0 & 1 \\ 1 & 0 \end{pmatrix}$, $\sigma_y = \begin{pmatrix} 0 & -i \\ i & 0 \end{pmatrix}$, $\sigma_z = \begin{pmatrix} 1 & 0 \\ 0 & -1 \end{pmatrix}$. $\{\sigma_a, \sigma_b\} = 2\delta_{ab} \mathbb{1}.$
 $\exp(-i\theta \mathbf{n} \cdot \boldsymbol{\sigma}) = \cos(\theta) \mathbb{1} - i \sin(\theta) (\mathbf{n} \cdot \boldsymbol{\sigma})$, for unit-length 3-component real vector \mathbf{n} .
 (here $\mathbf{n} \cdot \boldsymbol{\sigma} \equiv n_x \sigma_x + n_y \sigma_y + n_z \sigma_z$)
- Spin-1: $\hat{S}_x = \frac{1}{\sqrt{2}} \begin{pmatrix} 0 & 1 & 0 \\ 1 & 0 & 1 \\ 0 & 1 & 0 \end{pmatrix}$, $\hat{S}_y = \frac{1}{\sqrt{2}} \begin{pmatrix} 0 & -i & 0 \\ i & 0 & -i \\ 0 & i & 0 \end{pmatrix}$, $\hat{S}_z = \begin{pmatrix} 1 & 0 & 0 \\ 0 & 0 & 0 \\ 0 & 0 & -1 \end{pmatrix}$, under the \hat{S}_z eigenbasis.
- The D_4 group: $\{(C_4)^{(n \bmod 4)} (\sigma_s)^{(m \bmod 2)} | C_4^4 = \sigma_s^2 = C_4 \sigma_s C_4 \sigma_s = \mathbb{1}\}.$
 8 elements, 5 conjugacy classes,
 $\{\mathbb{1}\}, \{C_4, C_4^3\}, \{C_4^2\}, \{\sigma_s, C_4^2 \sigma_s\},$ and $\{C_4 \sigma_s \equiv \sigma_d, C_4^3 \sigma_s\}.$
 Character table for irreducible representations (irrep)
 $\Gamma_{1,2,3,4,5}$ is given on the right,

	1	$2C_4$	C_4^2	$2\sigma_s$	$2\sigma_d$
Γ_1	1	1	1	1	1
Γ_2	1	1	1	-1	-1
Γ_3	1	-1	1	1	-1
Γ_4	1	-1	1	-1	1
Γ_5	2	0	-2	0	0

Problem 1. (20 points) Consider two spin-1 moments, $\hat{\mathbf{S}}_1$ and $\hat{\mathbf{S}}_2$. They satisfy $[\hat{S}_{i,a}, \hat{S}_{j,b}] = \delta_{i,j} \sum_c i\epsilon^{abc} \hat{S}_{i,c}$ (here a, b, c label x, y, z components), and $\hat{\mathbf{S}}_1^2 = \hat{\mathbf{S}}_2^2 = 1 \cdot (1 + 1) = 2$. A complete orthonormal basis for the 9-dimensional Hilbert space is the \hat{S}_z basis, $|s_1, s_2\rangle$. Here $s_i = 1, 0, -1$ are eigenvalues of $\hat{S}_{i,z}$ for $i = 1, 2$ respectively. The matrix elements of $\hat{S}_{i,a}$ for $i = 1, 2$ and $a = x, y, z$ are given on page 1.

(a) (10pts) Write down the eigenvalues and normalized eigenstates (in terms of \hat{S}_z basis) of $\hat{H}_0 = -J \cdot \hat{\mathbf{S}}_1 \cdot \hat{\mathbf{S}}_2$. Here $J > 0$. [Hint: \hat{H}_0 is related to $(\hat{\mathbf{S}}_1 + \hat{\mathbf{S}}_2)^2$]

(b) (10pts) The full Hamiltonian is $\hat{H} = \hat{H}_0 + D \cdot [(\hat{S}_{1,z})^2 + (\hat{S}_{2,z})^2]$. D is a real “small” parameter. Solve the energy eigenvalue(s) of the ground state(s) of \hat{H} to second order of D . [Hint: the unperturbed ground states of \hat{H}_0 are degenerate, but you may not need to use degenerate perturbation theory due to some symmetry]

Solution. This is similar to homework #6 Problem 1.

(a) This is the same as homework #6 Problem 1(a).

Define “total spin” $\hat{\mathbf{S}}_{1+2} = \hat{\mathbf{S}}_1 + \hat{\mathbf{S}}_2$. It’s easy to check that different components of $\hat{\mathbf{S}}_{1+2}$ satisfy the commutation relations of angular momentum, and $[\hat{\mathbf{S}}_{1+2}^2, \hat{S}_{1+2,z}] = 0$. Define ladder operators $\hat{S}_{1+2,\pm} = \hat{S}_{1,\pm} + \hat{S}_{2,\pm}$.

$\hat{H}_0 = -\frac{J}{2}(\hat{\mathbf{S}}_1 + \hat{\mathbf{S}}_2)^2 + \frac{J}{2}(\hat{\mathbf{S}}_1)^2 + \frac{J}{2}(\hat{\mathbf{S}}_2)^2 = -\frac{J}{2}(\hat{\mathbf{S}}_1 + \hat{\mathbf{S}}_2)^2 + \frac{J}{2} \cdot 1 \cdot (1 + 1) + \frac{J}{2} \cdot 1 \cdot (1 + 1) = -\frac{J}{2}(\hat{\mathbf{S}}_1 + \hat{\mathbf{S}}_2)^2 + 2J$. So the eigenstates of \hat{H}_0 can be chosen as the eigenstates of $\hat{\mathbf{S}}_{1+2}^2$ and $\hat{S}_{1+2,z}$, $|S_{1+2}, S_{1+2,z}\rangle$, with $S_{1+2} = 0$ or 1 or 2 , and $S_{1+2,z} = -S_{1+2}, -S_{1+2} + 1, \dots, S_{1+2}$. $|S_{1+2}, S_{1+2,z}\rangle$ are linear combinations of $|s_1, s_2\rangle$ with $s_1 + s_2 = S_{1+2,z}$.

For each S_{1+2} , first solve $|S_{1+2}, S_{1+2,z} = S_{1+2}\rangle$ in terms of \hat{S}_z basis by the fact that $\hat{S}_{1+2,+}|S_{1+2}, S_{1+2,z} = S_{1+2}\rangle = 0$, then use the lowering ladder operator to generate other $S_{1+2,z}$ states.

$S_{1+2} = 2$ states are eigenstates of \hat{H}_0 with eigenvalue $-\frac{J}{2} \cdot 2 \cdot 3 + 2J = -J$,
 $|S_{1+2} = 2, S_{1+2,z} = 2\rangle = |1, 1\rangle$;
 $|S_{1+2} = 2, S_{1+2,z} = 1\rangle = \frac{S_{1+2,-}}{\sqrt{4}}|S_{1+2} = 2, S_{1+2,z} = 2\rangle = \frac{1}{\sqrt{2}}(|0, 1\rangle + |1, 0\rangle)$;
 $|S_{1+2} = 2, S_{1+2,z} = 0\rangle = \frac{S_{1+2,-}}{\sqrt{6}}|S_{1+2} = 2, S_{1+2,z} = 1\rangle = \frac{1}{\sqrt{6}}(|-1, 1\rangle + 2|0, 0\rangle + |1, -1\rangle)$;
 $|S_{1+2} = 2, S_{1+2,z} = -1\rangle = \frac{S_{1+2,-}}{\sqrt{6}}|S_{1+2} = 2, S_{1+2,z} = 0\rangle = \frac{1}{\sqrt{2}}(|-1, 0\rangle + |0, -1\rangle)$;
 $|S_{1+2} = 2, S_{1+2,z} = -2\rangle = \frac{S_{1+2,-}}{\sqrt{4}}|S_{1+2} = 2, S_{1+2,z} = -1\rangle = |-1, -1\rangle$.

Suppose $|S_{1+2} = 1, S_{1+2,z} = 1\rangle = c_1|1, 0\rangle + c_2|0, 1\rangle$, then by $0 = \hat{S}_{1+2,+}|S_{1+2} = 1, S_{1+2,z} = 1\rangle = (\hat{S}_{1,+} + \hat{S}_{2,+})(c_1|1, 0\rangle + c_2|0, 1\rangle) = \sqrt{2}(c_1 + c_2)|1, 1\rangle$, we have $c_2 = -c_1$. The normalized state $|S_{1+2} = 1, S_{1+2,z} = 1\rangle = \frac{1}{\sqrt{2}}(|1, 0\rangle - |0, 1\rangle)$.

$$\begin{aligned} & S_{1+2} = 1 \text{ states are eigenstates of } \hat{H}_0 \text{ with eigenvalue } -\frac{J}{2} \cdot 1 \cdot 2 + 2J = J, \\ & |S_{1+2} = 1, S_{1+2,z} = 1\rangle = \frac{1}{\sqrt{2}}(|1, 0\rangle - |0, 1\rangle); \\ & |S_{1+2} = 1, S_{1+2,z} = 0\rangle = \frac{S_{1+2,-}}{\sqrt{2}}|S_{1+2} = 1, S_{1+2,z} = 1\rangle = \frac{1}{\sqrt{2}}(|1, -1\rangle - |0, -1\rangle); \\ & |S_{1+2} = 1, S_{1+2,z} = -1\rangle = \frac{S_{1+2,-}}{\sqrt{2}}|S_{1+2} = 1, S_{1+2,z} = 0\rangle = \frac{1}{\sqrt{2}}(|0, -1\rangle - |-1, 0\rangle). \end{aligned}$$

Suppose $|S_{1+2} = 0, S_{1+2,z} = 0\rangle = c_1|1, -1\rangle + c_2|0, 0\rangle + c_3|-1, 0\rangle$, then by $0 = \hat{S}_{1+2,+}|S_{1+2} = 0, S_{1+2,z} = 0\rangle = (\hat{S}_{1,+} + \hat{S}_{2,+})(c_1|1, -1\rangle + c_2|0, 0\rangle + c_3|-1, 0\rangle) = \sqrt{2}(c_1 + c_2)|1, 0\rangle + \sqrt{2}(c_2 + c_3)|0, 1\rangle$, we have $c_2 = -c_1$ and $c_3 = -c_2$. The normalized state $|S_{1+2} = 0, S_{1+2,z} = 0\rangle = \frac{1}{\sqrt{3}}(|1, -1\rangle - |0, 0\rangle + |-1, 1\rangle)$.

$$\begin{aligned} & S_{1+2} = 0 \text{ states are eigenstates of } \hat{H}_0 \text{ with eigenvalue } -\frac{J}{2} \cdot 0 \cdot 1 + 2J = 2J, \\ & |S_{1+2} = 0, S_{1+2,z} = 0\rangle = \frac{1}{\sqrt{3}}(|1, -1\rangle - |0, 0\rangle + |-1, 1\rangle). \end{aligned}$$

(b). This is similar to homework #6 Problem 1(b).

The full Hamiltonian \hat{H} commutes with $\hat{S}_{1+2,z} = \hat{S}_{1,z} + \hat{S}_{2,z}$. Because $\hat{H}_0 = (-\frac{J}{2}\hat{\mathbf{S}}_{1+2}^2 + \text{constant})$ commutes with each component of $\hat{\mathbf{S}}_{1+2}$, and the perturbation $D \cdot (\hat{S}_{1,z}^2 + \hat{S}_{2,z}^2)$ commutes with both $\hat{S}_{1,z}$ and $\hat{S}_{2,z}$ according to the commutation relation given in main text of this problem.

The $\hat{S}_{1+2,z} = 2$ subspace has basis $|\hat{S}_{1+2} = 2, \hat{S}_{1+2,z} = 2\rangle$.

The $\hat{S}_{1+2,z} = 1$ subspace has basis $(|\hat{S}_{1+2} = 2, \hat{S}_{1+2,z} = 1\rangle, |\hat{S}_{1+2} = 1, \hat{S}_{1+2,z} = 1\rangle)$.

The $\hat{S}_{1+2,z} = 0$ subspace has basis $(|\hat{S}_{1+2} = 2, \hat{S}_{1+2,z} = 0\rangle, |\hat{S}_{1+2} = 1, \hat{S}_{1+2,z} = 0\rangle, |\hat{S}_{1+2} = 0, \hat{S}_{1+2,z} = 0\rangle)$.

The $\hat{S}_{1+2,z} = -1$ subspace has basis $(|\hat{S}_{1+2} = 2, \hat{S}_{1+2,z} = -1\rangle, |\hat{S}_{1+2} = 1, \hat{S}_{1+2,z} = -1\rangle)$.

The $\hat{S}_{1+2,z} = -2$ subspace has basis $|\hat{S}_{1+2} = 2, \hat{S}_{1+2,z} = -2\rangle$.

Under these basis choices, \hat{H}_0 is diagonal with diagonal elements being the eigenvalues in (a). The matrix elements of the perturbation term can be computed using the result of (a) about the $|S_{1+2}, S_{1+2,z}\rangle$ states. For example, $D \cdot (\hat{S}_{1,z}^2 + \hat{S}_{2,z}^2)|S_{1+2} = 2, S_{1+2,z} = 0\rangle$
 $= D \cdot \frac{1}{\sqrt{6}}((1^2 + (-1)^2) \cdot |1, -1\rangle + (0^2 + 0^2) \cdot |0, 0\rangle + ((-1)^2 + 1^2) \cdot |-1, 1\rangle) = \frac{2D}{\sqrt{6}}(|1, -1\rangle + |-1, 1\rangle)$
 $= \frac{2D}{3}|S_{1+2} = 2, S_{1+2,z} = 0\rangle + \frac{2\sqrt{2}D}{3}|S_{1+2} = 0, S_{1+2,z} = 0\rangle$

The Hamiltonian matrices and (approximate) ground state energy in each subspace are given in the following table,

$S_{1+2,z}$	$\hat{H}_0 + \text{perturbation}$	ground state energy
2	$(-J) + (2D)$	$= -J + 2D$
1	$\begin{pmatrix} -J & 0 \\ 0 & J \end{pmatrix} + \begin{pmatrix} D & 0 \\ 0 & D \end{pmatrix}$	$= -J + D$
0	$\begin{pmatrix} -J & 0 & 0 \\ 0 & J & 0 \\ 0 & 0 & 2J \end{pmatrix} + \begin{pmatrix} \frac{2D}{3} & 0 & \frac{2\sqrt{2}D}{3} \\ 0 & 2D & 0 \\ \frac{2\sqrt{2}D}{3} & 0 & \frac{4D}{3} \end{pmatrix}$	$\approx -J + \frac{2D}{3} + \frac{(2\sqrt{2}D/3) \cdot (\sqrt{2}D/3)}{(-J) - 2J} = -J + \frac{2D}{3} - \frac{8D^2}{27}$
-1	$\begin{pmatrix} -J & 0 \\ 0 & J \end{pmatrix} + \begin{pmatrix} D & 0 \\ 0 & D \end{pmatrix}$	$= -J + D$
-2	$(-J) + (2D)$	$= -J + 2D$

The exact ground state energy in the $\hat{S}_{1+2,z} = 0$ space can be obtained by diagonalization of the 2×2 matrix $\begin{pmatrix} -J + \frac{2D}{3} & \frac{2\sqrt{2}D}{3} \\ \frac{2\sqrt{2}D}{3} & 2J + \frac{4D}{3} \end{pmatrix} = (\frac{J}{2} + D)\sigma_0 + \frac{2\sqrt{2}D}{3}\sigma_1 + (-\frac{3J}{2} - \frac{D}{3})\sigma_3$, and is $(\frac{J}{2} + D) - \sqrt{(\frac{2\sqrt{2}D}{3})^2 + (-\frac{3J}{2} - \frac{D}{3})^2} = (\frac{J}{2} + D) - (\frac{3J}{2} + \frac{D}{3}) \cdot \sqrt{1 + \frac{8D^2/9}{(3J/2 + D/3)^2}}$
 $\approx (\frac{J}{2} + D) - (\frac{3J}{2} + \frac{D}{3}) \cdot (1 + \frac{1}{2} \cdot \frac{8D^2/9}{9J^2/4}) \approx -J + \frac{2D}{3} - \frac{8D^2}{27J}$.

Problem 2. (30 points). Consider two fermion modes with annihilation operators denoted by \hat{f}_i for $i = 1, 2$, satisfying $\{\hat{f}_i, \hat{f}_j^\dagger\} = \delta_{i,j}$ and $\{\hat{f}_i, \hat{f}_j\} = 0$. Denote the normalized vacuum state by $|\text{vac}\rangle$. The occupation basis states are $|\psi_0\rangle \equiv |\text{vac}\rangle$, $|\psi_1\rangle \equiv \hat{f}_1^\dagger |\text{vac}\rangle$, $|\psi_2\rangle \equiv \hat{f}_2^\dagger |\text{vac}\rangle$, and $|\psi_3\rangle \equiv \hat{f}_1^\dagger \hat{f}_2^\dagger |\text{vac}\rangle$. Let $\hat{H}_0 = E \cdot (\hat{n}_1 + \hat{n}_2)$, where E is a positive constant, $\hat{n}_i \equiv \hat{f}_i^\dagger \hat{f}_i$ are occupation number operators. Consider the Hamiltonian $\hat{H} = \hat{H}_0 + \Delta \cdot (\hat{f}_1^\dagger \hat{f}_2^\dagger + \hat{f}_2 \hat{f}_1 + \hat{f}_1^\dagger \hat{f}_2^\dagger \hat{f}_2 \hat{f}_1)$, where Δ is a real “small” parameter,

(a) (15pts) At time $t = 0$, set the initial state to $|\psi(t=0)\rangle = |\psi_0\rangle = |\text{vac}\rangle$. Evolve this state by \hat{H} , namely $i\hbar \frac{d}{dt} |\psi(t)\rangle = \hat{H} |\psi(t)\rangle$. Solve the transition probability to $|\psi_i\rangle$ state over time t , namely $|\langle \psi_i | \psi(t) \rangle|^2$, for $i = 1, 2, 3$, to lowest non-trivial order of Δ . [Hint: use the interaction picture; note that \hat{H} preserves particle number parity, decompose the Fock space into even- and odd-particle-number subspaces]

(b) (10pts) *Solve all eigenvalues of \hat{H} up to cubic order of Δ . [Hint: you don't have to use perturbation theory]*

(c) (5pts) *Solve the transition probabilities defined in (a) exactly. Expand to lowest non-trivial order of Δ and compare with (a). [Hint: some facts on page 1 may be useful]*

Solution.

Rearrange the basis to $(|\psi_0\rangle, |\psi_3\rangle, |\psi_1\rangle, |\psi_2\rangle)$.

\hat{H} is the following block-diagonal matrix,
$$\begin{pmatrix} 0 & 0 & 0 & 0 \\ 0 & 2E & 0 & 0 \\ 0 & 0 & E & 0 \\ 0 & 0 & 0 & E \end{pmatrix} + \begin{pmatrix} 0 & \Delta & 0 & 0 \\ \Delta & \Delta & 0 & 0 \\ 0 & 0 & 0 & 0 \\ 0 & 0 & 0 & 0 \end{pmatrix}.$$

Because it preserves total-particle-number parity, so does not have matrix element between even-particle-number states $(|\psi_{0,3}\rangle)$ and odd-particle-number states $(|\psi_{1,2}\rangle)$.

The steps for computing these matrix elements are omitted here.

(a). Because \hat{H} is independent of time, $|\psi(t)\rangle = \exp(-\frac{i}{\hbar}\hat{H} \cdot t)|\psi(t=0)\rangle$.

Use the interaction picture, define $\hat{U}_I(t) = \exp(\frac{i}{\hbar}\hat{H}_0 \cdot t) \cdot \exp(-\frac{i}{\hbar}\hat{H} \cdot t)$. Then $i\hbar \frac{d}{dt}\hat{U}_I(t) = \hat{V}_I(t)\hat{U}_I(t)$, where $\hat{V}_I(t) \equiv \exp(\frac{i}{\hbar}\hat{H}_0 \cdot t) \cdot \hat{V}_S \cdot \exp(-\frac{i}{\hbar}\hat{H}_0 \cdot t)$, and the Schrödinger picture perturbation operator \hat{V}_S is $\hat{V}_S \equiv \Delta \cdot (\hat{f}_1^\dagger \hat{f}_2^\dagger + \hat{f}_2 \hat{f}_1 + \hat{f}_1^\dagger \hat{f}_2^\dagger \hat{f}_2 \hat{f}_1)$. The Dyson series form of \hat{U}_I is $\hat{U}_I(t) = \mathbb{1} + \frac{-i}{\hbar} \int_0^t dt_1 \hat{V}_I(t_1) + (\frac{-i}{\hbar})^2 \int_0^t dt_1 \int_0^{t_1} dt_2 \hat{V}_I(t_1) \hat{V}_I(t_2) + \dots$

Note that $|\psi_i\rangle$ are eigenstates of \hat{H}_0 with eigenvalue $E_i = 0, E, E, 2E$ for $i = 1, 2, 3, 4$ respectively. Then $|\langle\psi_i|\psi(t)\rangle|^2 = |\langle\psi_i|e^{-i\hat{H}_0 \cdot t/\hbar}\hat{U}_I(t)|\psi_0\rangle|^2 = |\langle\psi_i|e^{-iE_i \cdot t/\hbar}\hat{U}_I(t)|\psi_0\rangle|^2 = |\langle\psi_i|\hat{U}_I(t)|\psi_0\rangle|^2$.

Under the $(|\psi_0\rangle, |\psi_3\rangle, |\psi_1\rangle, |\psi_2\rangle)$ basis, $\hat{V}_I(t)$ is
$$\begin{pmatrix} 0 & \Delta e^{-\frac{i}{\hbar}2E \cdot t} & 0 & 0 \\ \Delta e^{\frac{i}{\hbar}2E \cdot t} & \Delta & 0 & 0 \\ 0 & 0 & 0 & 0 \\ 0 & 0 & 0 & 0 \end{pmatrix}.$$

Combine this with the Dyson series form of \hat{U}_I , it is easy to see that $\langle\psi_1|\hat{U}_I(t)|\psi_0\rangle = \langle\psi_2|\hat{U}_I(t)|\psi_0\rangle = 0$ to all orders of perturbation, because $\langle\psi_{1,2}|\hat{V}_I(t) = 0$.

To lowest non-trivial order, $\langle\psi_3|\hat{U}_I(t)|\psi_0\rangle \approx 0 + \frac{-i}{\hbar} \int_0^t \langle\psi_3|\hat{V}_I(t_1)|\psi_0\rangle dt_1 = 0 + \frac{-i}{\hbar} \int_0^t dt_1 \Delta e^{\frac{i}{\hbar}2E \cdot t_1} = -\frac{\Delta}{2E} \cdot (e^{\frac{i}{\hbar}2E \cdot t} - 1) = -i e^{\frac{i}{\hbar}E \cdot t} \frac{\Delta}{E} \sin(\frac{E \cdot t}{\hbar})$.

Finally $|\langle\psi_1|\psi(t)\rangle|^2 = 0$, $|\langle\psi_2|\psi(t)\rangle|^2 = 0$, $|\langle\psi_3|\psi(t)\rangle|^2 \approx [\frac{\Delta}{E} \sin(\frac{E \cdot t}{\hbar})]^2$.

(b) From the matrix form of \hat{H} , it is easy to see that $|\psi_1\rangle$ and $|\psi_2\rangle$ are already eigenstates of perturbed Hamiltonian \hat{H} with eigenvalue E .

In the subspace spanned by $(|\psi_0\rangle, |\psi_3\rangle)$, \hat{H} is a 2×2 matrix, $\begin{pmatrix} 0 & 0 \\ 0 & 2E \end{pmatrix} + \begin{pmatrix} 0 & \Delta \\ \Delta & \Delta \end{pmatrix}$
 $(E + \frac{\Delta}{2})\sigma_0 + (-E - \frac{\Delta}{2})\sigma_3 + \Delta\sigma_1$. Perturbation theory can be used, but the exact eigenvalues can also be easily computed. The eigenvalues are [see homework #1 Problem 4(a)],
 $(E + \frac{\Delta}{2}) \pm \sqrt{(-E - \frac{\Delta}{2})^2 + \Delta^2} = (E + \frac{\Delta}{2}) \pm (E + \frac{\Delta}{2})\sqrt{1 + \frac{\Delta^2}{(E+\Delta/2)^2}}$
 $\approx (E + \frac{\Delta}{2}) \pm (E + \frac{\Delta}{2}) \cdot [1 + \frac{1}{2} \cdot \frac{\Delta^2}{(E+\Delta/2)^2} + O(\Delta^4)]$
 $\approx (E + \frac{\Delta}{2}) \pm \left[(E + \frac{\Delta}{2}) + \frac{1}{2} \frac{\Delta^2}{E} (1 - \frac{\Delta}{2E}) \right] + O(\Delta^4)$,
where $O(\Delta^4)$ means terms of 4th or higher orders.

You can also use $\sqrt{1+x} \approx 1 + \frac{x}{2} - \frac{x^2}{8} + \frac{x^3}{16} + O(x^4)$ to do the expansion,
 $\sqrt{(-E - \frac{\Delta}{2})^2 + \Delta^2} = E \cdot \sqrt{1 + (\frac{\Delta}{E} + \frac{5}{4} \frac{\Delta^2}{E^2})}$
 $\approx E \cdot \left[1 + \frac{1}{2} \cdot (\frac{\Delta}{E} + \frac{5}{4} \frac{\Delta^2}{E^2}) - \frac{1}{8} \cdot (\frac{\Delta}{E} + \frac{5}{4} \frac{\Delta^2}{E^2})^2 + \frac{1}{16} \cdot (\frac{\Delta}{E} + \frac{5}{4} \frac{\Delta^2}{E^2})^3 \right] + O(\Delta^4)$
 $\approx E \cdot \left[1 + (\frac{1}{2} \frac{\Delta}{E} + \frac{5}{8} \frac{\Delta^2}{E^2}) - (\frac{1}{8} \frac{\Delta^2}{E^2} + \frac{5}{16} \frac{\Delta^3}{E^3}) + \frac{1}{16} \frac{\Delta^3}{E^3} \right] + O(\Delta^4)$
 $= E + \frac{\Delta}{2} + \frac{\Delta^2}{2E} - \frac{\Delta^3}{4E^2} + O(\Delta^4)$.

Finally, the approximate eigenvalues are E , E , $-\frac{\Delta^2}{2E} + \frac{\Delta^3}{4E^2}$, and $2E + \Delta + \frac{\Delta^2}{2E} - \frac{\Delta^3}{4E^2}$.

(c) The time-evolution operator $\exp(-\frac{i}{\hbar} \hat{H} \cdot t)$ can be computed exactly.

Under the previous basis, it has the following block diagonal form,

$$\begin{pmatrix} \exp \left[-\frac{i}{\hbar} \begin{pmatrix} 0 & \Delta \\ \Delta & 2E + \Delta \end{pmatrix} \cdot t \right] & 0_{2 \times 2} \\ 0_{2 \times 2} & e^{-\frac{i}{\hbar} E \cdot t} \mathbb{1}_{2 \times 2} \end{pmatrix}.$$

Then obviously $\langle \psi_{1,2} | \exp(-\frac{i}{\hbar} \hat{H} \cdot t) | \psi_0 \rangle = 0$.

Use the facts on page 1 [also in homework #1 Problem 4(b)],
 $\exp \left[-\frac{i}{\hbar} \begin{pmatrix} 0 & \Delta \\ \Delta & 2E + \Delta \end{pmatrix} \cdot t \right] = \exp \left[-i \frac{t}{\hbar} \left((E + \frac{\Delta}{2})\sigma_0 + (-E - \frac{\Delta}{2})\sigma_3 + \Delta\sigma_1 \right) \right]$
 $= e^{-i \frac{(E+\Delta/2)}{\hbar} t} \cdot [\cos(\omega \cdot t) \sigma_0 - i \sin(\omega \cdot t) (\frac{-E-\Delta/2}{\omega} \sigma_3 + \frac{\Delta}{\omega} \sigma_1)],$

where $\omega = \sqrt{(-E - \Delta/2)^2 + \Delta^2} = \sqrt{E^2 + E\Delta + 5\Delta^2/4}$.

Therefore $\langle \psi_3 | \exp(-\frac{i}{\hbar} \hat{H} \cdot t) | \psi_0 \rangle = -e^{-i \frac{(E+\Delta/2)}{\hbar} t} \cdot i \sin(\omega \cdot t) \cdot \frac{\Delta}{\omega}$.

Finally the exact transition probabilities are

$$|\langle \psi_1 | \psi(t) \rangle|^2 = 0, |\langle \psi_2 | \psi(t) \rangle|^2 = 0, |\langle \psi_3 | \psi(t) \rangle|^2 = \left[\frac{\Delta}{\omega} \sin(\omega \cdot t) \right]^2.$$

To lowest order approximation $\omega \approx E$, this reduces to the result of (a).

Problem 4. (40 points) Consider four spin-1/2 moments, labeled by subscripts i with $i = 1, 2, 3, 4$ respectively. Denote the tensor product of \hat{S}_z eigenbasis by $|s_1, s_2, s_3, s_4\rangle$, where $s_i = \pm\frac{1}{2}$ is the eigenvalue of $\hat{S}_{i,z}$. For simplicity, denote $s_i = +\frac{1}{2}$ by \uparrow , and $s_i = -\frac{1}{2}$ by \downarrow . The commutation relation of the spin operators is $[\hat{S}_{i,a}, \hat{S}_{j,b}] = \delta_{i,j} \mathbf{i} \sum_c \epsilon^{abc} \hat{S}_{i,c}$.

(a). (4pts) Show that the following four hermitian operators mutually commute, $(\hat{\mathbf{S}}_1 + \hat{\mathbf{S}}_2 + \hat{\mathbf{S}}_3 + \hat{\mathbf{S}}_4)^2$, $(\hat{S}_{1,z} + \hat{S}_{2,z} + \hat{S}_{3,z} + \hat{S}_{4,z})$, $(\hat{\mathbf{S}}_1 + \hat{\mathbf{S}}_3)^2$, $(\hat{\mathbf{S}}_2 + \hat{\mathbf{S}}_4)^2$.

(b). (16pts) Suppose the four operators in (a) have the following simultaneous eigenvalues, $S(S+1)$, S_z , $S_{1+3}(S_{1+3}+1)$, $S_{2+4}(S_{2+4}+1)$, respectively. Find the possible combinations of these “quantum numbers”, and the normalized eigenstates $|S, S_z, S_{1+3}, S_{2+4}\rangle$ in terms of \hat{S}_z basis. [Hint: add the spins ‘1’ and ‘3’ first, solve $|S_{1+3}, S_{1+3,z}\rangle$ in terms of $|s_1, s_3\rangle$; add the spins ‘2’ and ‘4’ in similar fashion; then make linear combinations of $|S_{1+3}, S_{1+3,z}\rangle |S_{2+4}, S_{2+4,z}\rangle$, the results of some previous problem may help]

(c). (4pts) Solve the eigenvalues of $\hat{H} = \hat{\mathbf{S}}_1 \cdot \hat{\mathbf{S}}_2 + \hat{\mathbf{S}}_2 \cdot \hat{\mathbf{S}}_3 + \hat{\mathbf{S}}_3 \cdot \hat{\mathbf{S}}_4 + \hat{\mathbf{S}}_4 \cdot \hat{\mathbf{S}}_1$. [Hint: try to relate \hat{H} with the operators in (a), then use the result of (b)]

(d). (16pts) Consider the D_4 group (see page 1) generated by $C_4 : |s_1, s_2, s_3, s_4\rangle \mapsto |s_4, s_1, s_2, s_3\rangle$; and $\sigma_s : |s_1, s_2, s_3, s_4\rangle \mapsto |s_1, s_4, s_3, s_2\rangle$. The D_4 group elements commute with the total spin operators $\sum_{i=1}^4 \hat{\mathbf{S}}_i$. We can construct complete orthonormal basis states of D_4 irreducible representations(irrep), labeled by $|S, S_z, (\Gamma_i, j)\rangle$. S and S_z are defined in (b). $i = 1, 2, 3, 4, 5$ label the type of irrep. $j = 1, 2$ for the 2-dimensional Γ_5 irrep, label the two basis states of a Γ_5 irrep; and $j = 1$ (can be omitted) for other 1-dimensional irrep. Under the action of group generators, these states transform as $C_4 : |S, S_z, (\Gamma_i, j)\rangle \mapsto \sum_{j'} |S, S_z, (\Gamma_i, j')\rangle \cdot [R_{\Gamma_i}(C_4)]_{j',j}$; and $\sigma_s : |S, S_z, (\Gamma_i, j)\rangle \mapsto \sum_{j'} |S, S_z, (\Gamma_i, j')\rangle \cdot [R_{\Gamma_i}(\sigma_s)]_{j',j}$. Here $R_{\Gamma_i}(g)$ is the representation matrix (1×1 for $\Gamma_{1,2,3,4}$, 2×2 for Γ_5) for group element g . Construct these $|S, S_z, (\Gamma_i, j)\rangle$ states in terms of \hat{S}_z eigenbasis. And write down the representation matrices $R_{\Gamma_i}(C_4)$ and $R_{\Gamma_i}(\sigma_s)$ under these basis. [Hint:

method #1: use the basis in (b), figure out how they transform under the group generators, and (if necessary) make linear combinations to form irreducible represen-

tations, $|S, S_z, (\Gamma_i, j)\rangle = \sum_{S_{1+3}} \sum_{S_{2+4}} |S, S_z, S_{1+3}, S_{2+4}\rangle \langle S, S_z, S_{1+3}, S_{2+4} | S, S_z, (\Gamma_i, j)\rangle$, because the D_4 group elements commute with ladder operators, the coefficients $\langle S, S_z, S_{1+3}, S_{2+4} | S, S_z, (\Gamma_i, j)\rangle$ is independent of S_z , so you only need to work out these coefficients for highest $S_z = S$ by *e.g.* “projection operator”;

method #2: use the “projection operator” on the $|s_1, s_2, s_3, s_4\rangle$ basis directly in each total S_z subspace, and compare the results to (b) to figure out the S quantum number, you may need to make linear combinations of states with the same S_z and the same irrep]

Solution.

(a). Define $\hat{\mathbf{S}}_{1+3} = \hat{\mathbf{S}}_1 + \hat{\mathbf{S}}_3$, $\hat{\mathbf{S}}_{2+4} = \hat{\mathbf{S}}_2 + \hat{\mathbf{S}}_4$, and $\hat{\mathbf{S}} = \hat{\mathbf{S}}_1 + \hat{\mathbf{S}}_2 + \hat{\mathbf{S}}_3 + \hat{\mathbf{S}}_4 = \hat{\mathbf{S}}_{1+3} + \hat{\mathbf{S}}_{2+4}$.

The fact that these four operators commute has been used in the “addition of angular momentum”.

Components of $\hat{\mathbf{S}}$ satisfy the commutation relation of angular momentum, $[\hat{S}_a, \hat{S}_b] = i \sum_c \epsilon^{abc} \hat{S}_c$. Then $[\hat{\mathbf{S}}^2, \hat{S}_z] = [\hat{S}_x^2 + \hat{S}_y^2, \hat{S}_z] + 0 = [\hat{S}_x, \hat{S}_z] \hat{S}_x + \hat{S}_x [\hat{S}_x, \hat{S}_z] + [\hat{S}_y, \hat{S}_z] \hat{S}_y + \hat{S}_y [\hat{S}_y, \hat{S}_z] = -i \hat{S}_y \cdot \hat{S}_x + \hat{S}_x \cdot (-i \hat{S}_y) + i \hat{S}_x \cdot \hat{S}_y + \hat{S}_y \cdot i \hat{S}_x = 0$.

Similarly we have $[\hat{\mathbf{S}}_{1+3}^2, \hat{S}_{1+3,a}] = 0$ and $[\hat{\mathbf{S}}_{2+4}^2, \hat{S}_{2+4,a}] = 0$, for $a = x, y, z$.

It is obvious that $[\hat{\mathbf{S}}_{1+3}^2, \hat{\mathbf{S}}_{2+4}^2] = 0$, and $[\hat{\mathbf{S}}_{2+4}^2, \hat{S}_{1+3,a}] = 0$ and $[\hat{\mathbf{S}}_{1+3}^2, \hat{S}_{2+4,a}] = 0$ for $a = x, y, z$. Because the two operators in the commutator do not share spin operators of the same spin.

Then $[\hat{\mathbf{S}}_{2+4}^2, \hat{S}_z] = [\hat{\mathbf{S}}_{2+4}^2, \hat{S}_{1+3,z} + \hat{S}_{2+4,z}] = 0$, and $[\hat{\mathbf{S}}_{1+3}^2, \hat{S}_z] = [\hat{\mathbf{S}}_{1+3}^2, \hat{S}_{1+3,z} + \hat{S}_{2+4,z}] = 0$.

Finally $\hat{\mathbf{S}}_{1+3} \cdot \hat{\mathbf{S}}_{2+4} = \sum_a \hat{S}_{1+3,a} \cdot \hat{S}_{2+4,a}$ commutes with $\hat{\mathbf{S}}_{1+3}^2$ and $\hat{\mathbf{S}}_{2+4}^2$, because the factors $\hat{S}_{1+3,a}$ and $\hat{S}_{2+4,a}$ commute with $\hat{\mathbf{S}}_{1+3}^2$ and $\hat{\mathbf{S}}_{2+4}^2$. And consider $\hat{\mathbf{S}}^2 = \hat{\mathbf{S}}_{1+3}^2 + \hat{\mathbf{S}}_{2+4}^2 + 2\hat{\mathbf{S}}_{1+3} \cdot \hat{\mathbf{S}}_{2+4}$. We have $[\hat{\mathbf{S}}^2, \hat{\mathbf{S}}_{1+3}^2] = 0$, and $[\hat{\mathbf{S}}^2, \hat{\mathbf{S}}_{2+4}^2] = 0$.

(b) Compose $|S_{1+3}, S_{1+3,z}\rangle$ states in terms of $|s_1, s_3\rangle$. This is the same as homework #5 Problem 1(a). S_{1+3} can be 1 or 0, $\frac{1}{2} \otimes \frac{1}{2} = \mathbf{1} \oplus \mathbf{0}$.

$$|S_{1+3} = 1, S_{1+3,z} = 1\rangle = |\uparrow\uparrow\rangle,$$

$$|S_{1+3} = 1, S_{1+3,z} = 0\rangle = \frac{1}{\sqrt{2}}(|\uparrow\downarrow\rangle + |\downarrow\uparrow\rangle),$$

$$|S_{1+3} = 1, S_{1+3,z} = -1\rangle = |\downarrow\downarrow\rangle.$$

$$|S_{1+3} = 0, S_{1+3,z} = 0\rangle = \frac{1}{\sqrt{2}}(|\uparrow\downarrow\rangle - |\downarrow\uparrow\rangle).$$

The $|S_{2+4}, S_{2+4,z}\rangle$ states in terms of $|s_2, s_4\rangle$ have exactly the same form. Finally compose $|S, S_z, S_{1+3}, S_{2+4}\rangle$ in terms of $|S_{1+3}, S_{1+3,z}\rangle |S_{2+4}, S_{2+4,z}\rangle$. Note $|s_1, s_3\rangle |s_2, s_4\rangle = |s_1, s_2, s_3, s_4\rangle$.

If $S_{1+3} = 0$ and $S_{2+4} = 0$, then S must be 0,

$$\begin{aligned} |S = 0, S_z = 0, S_{1+3} = 0, S_{2+4} = 0\rangle &= |S_{1+3} = 0, S_{1+3,z} = 0\rangle |S_{2+4} = 0, S_{2+4,z} = 0\rangle \\ &= \frac{1}{2}(|\uparrow\uparrow\downarrow\downarrow\rangle - |\uparrow\downarrow\downarrow\uparrow\rangle - |\downarrow\uparrow\uparrow\downarrow\rangle + |\downarrow\downarrow\uparrow\uparrow\rangle). \end{aligned}$$

If $S_{1+3} = 1$ and $S_{2+4} = 0$, then S must be 1,

$$\begin{aligned} |S = 1, S_z = 1, S_{1+3} = 1, S_{2+4} = 0\rangle &= |S_{1+3} = 1, S_{1+3,z} = 1\rangle |S_{2+4} = 0, S_{2+4,z} = 0\rangle \\ &= \frac{1}{\sqrt{2}}(|\uparrow\uparrow\uparrow\downarrow\rangle - |\uparrow\downarrow\uparrow\uparrow\rangle), \\ |S = 1, S_z = 0, S_{1+3} = 1, S_{2+4} = 0\rangle &= |S_{1+3} = 1, S_{1+3,z} = 0\rangle |S_{2+4} = 0, S_{2+4,z} = 0\rangle \\ &= \frac{1}{2}(|\uparrow\uparrow\downarrow\downarrow\rangle - |\uparrow\downarrow\downarrow\uparrow\rangle + |\downarrow\uparrow\uparrow\downarrow\rangle - |\downarrow\downarrow\uparrow\uparrow\rangle), \\ |S = 1, S_z = -1, S_{1+3} = 1, S_{2+4} = 0\rangle &= |S_{1+3} = 1, S_{1+3,z} = -1\rangle |S_{2+4} = 0, S_{2+4,z} = 0\rangle \\ &= \frac{1}{\sqrt{2}}(|\downarrow\uparrow\downarrow\downarrow\rangle - |\downarrow\downarrow\downarrow\uparrow\rangle). \end{aligned}$$

If $S_{1+3} = 0$ and $S_{2+4} = 1$, then S must be 1,

$$\begin{aligned} |S = 1, S_z = 1, S_{1+3} = 0, S_{2+4} = 1\rangle &= |S_{1+3} = 0, S_{1+3,z} = 0\rangle |S_{2+4} = 1, S_{2+4,z} = 1\rangle \\ &= \frac{1}{\sqrt{2}}(|\uparrow\uparrow\downarrow\uparrow\rangle - |\downarrow\uparrow\uparrow\uparrow\rangle), \\ |S = 1, S_z = 0, S_{1+3} = 0, S_{2+4} = 1\rangle &= |S_{1+3} = 0, S_{1+3,z} = 0\rangle |S_{2+4} = 1, S_{2+4,z} = 0\rangle \\ &= \frac{1}{2}(|\uparrow\uparrow\downarrow\downarrow\rangle + |\uparrow\downarrow\downarrow\uparrow\rangle - |\downarrow\uparrow\uparrow\downarrow\rangle - |\downarrow\downarrow\uparrow\uparrow\rangle), \\ |S = 1, S_z = -1, S_{1+3} = 0, S_{2+4} = 1\rangle &= |S_{1+3} = 0, S_{1+3,z} = 0\rangle |S_{2+4} = 1, S_{2+4,z} = -1\rangle \\ &= \frac{1}{\sqrt{2}}(|\uparrow\downarrow\downarrow\downarrow\rangle - |\downarrow\downarrow\uparrow\downarrow\rangle). \end{aligned}$$

If $\hat{S}_{1+3} = 1$ and $S_{2+4} = 1$, then S can be 2 or 1 or 0, this part is exactly the same as problem 1(a), just replace the $|s_1, s_2\rangle$ basis there by $|S_{1+3}, S_{1+3,z} = s_1\rangle |S_{2+4}, S_{2+4,z} = s_2\rangle$,

$$\begin{aligned} |S = 2, S_z = 2, S_{1+3} = 1, S_{2+4} = 1\rangle &= |\uparrow\uparrow\uparrow\uparrow\rangle, \\ |S = 2, S_z = 1, S_{1+3} = 1, S_{2+4} = 1\rangle &= \frac{1}{2}(|\uparrow\uparrow\downarrow\uparrow\rangle + |\downarrow\uparrow\uparrow\uparrow\rangle + |\uparrow\uparrow\uparrow\downarrow\rangle + |\uparrow\downarrow\uparrow\uparrow\rangle), \\ |S = 2, S_z = 0, S_{1+3} = 1, S_{2+4} = 1\rangle &= \frac{1}{\sqrt{6}}(|\downarrow\uparrow\downarrow\uparrow\rangle + |\uparrow\uparrow\downarrow\downarrow\rangle + |\uparrow\downarrow\downarrow\uparrow\rangle + |\downarrow\uparrow\uparrow\downarrow\rangle + |\downarrow\downarrow\uparrow\uparrow\rangle + |\uparrow\downarrow\uparrow\downarrow\rangle), \\ |S = 2, S_z = 1, S_{1+3} = -1, S_{2+4} = 1\rangle &= \frac{1}{2}(|\downarrow\uparrow\downarrow\downarrow\rangle + |\downarrow\downarrow\downarrow\uparrow\rangle + |\uparrow\downarrow\downarrow\downarrow\rangle + |\downarrow\downarrow\uparrow\downarrow\rangle), \\ |S = 2, S_z = -2, S_{1+3} = 1, S_{2+4} = 1\rangle &= |\downarrow\downarrow\downarrow\downarrow\rangle. \end{aligned}$$

$$\begin{aligned} |S = 1, S_z = 1, S_{1+3} = 1, S_{2+4} = 1\rangle &= \frac{1}{2}(|\uparrow\uparrow\uparrow\downarrow\rangle + |\uparrow\downarrow\uparrow\uparrow\rangle - |\uparrow\uparrow\downarrow\uparrow\rangle - |\downarrow\uparrow\uparrow\uparrow\rangle), \\ |S = 1, S_z = 0, S_{1+3} = 1, S_{2+4} = 1\rangle &= \frac{1}{\sqrt{2}}(|\uparrow\downarrow\uparrow\downarrow\rangle - |\downarrow\uparrow\downarrow\uparrow\rangle), \\ |S = 1, S_z = -1, S_{1+3} = 1, S_{2+4} = 1\rangle &= \frac{1}{2}(|\uparrow\downarrow\downarrow\downarrow\rangle + |\downarrow\downarrow\uparrow\downarrow\rangle - |\downarrow\uparrow\downarrow\downarrow\rangle - |\downarrow\downarrow\uparrow\uparrow\rangle), \end{aligned}$$

$$\begin{aligned} |S = 0, S_z = 0, S_{1+3} = 1, S_{2+4} = 1\rangle &= \frac{1}{2\sqrt{3}}(2|\uparrow\downarrow\uparrow\downarrow\rangle - |\uparrow\uparrow\downarrow\downarrow\rangle - |\uparrow\downarrow\downarrow\uparrow\rangle - |\downarrow\uparrow\uparrow\downarrow\rangle - |\downarrow\downarrow\uparrow\uparrow\rangle + 2|\downarrow\uparrow\downarrow\uparrow\rangle). \end{aligned}$$

$$(c) \hat{H} = (\hat{\mathbf{S}}_1 + \hat{\mathbf{S}}_3) \cdot (\hat{\mathbf{S}}_2 + \hat{\mathbf{S}}_4) = \frac{1}{2}[(\hat{\mathbf{S}}_1 + \hat{\mathbf{S}}_2 + \hat{\mathbf{S}}_3 + \hat{\mathbf{S}}_4)^2 - (\hat{\mathbf{S}}_1 + \hat{\mathbf{S}}_3)^2 - (\hat{\mathbf{S}}_2 + \hat{\mathbf{S}}_4)^2].$$

Therefore the $|S, S_z, S_{1+3}, S_{2+4}\rangle$ states in (b) are eigenstates of \hat{H} with eigenvalues $\frac{1}{2}[S(S+1) - S_{1+3}(S_{1+3}+1) - S_{2+4}(S_{2+4}+1)]$. These are summarized in the following table,

S	S_z	S_{1+3}	S_{2+4}	H eigenvalue
0	0,	0	0	0
1	1, 0, -1,	1	0	0
1	1, 0, -1,	0	1	0
2	2, 1, 0, -1, -2,	1	1	1
1	1, 0, -1,	1	1	-1
0	0,	1	1	-2

(d) This is similar to homework #5 Problem 1(c).

Use the method #1 in the Hint, and the following hint given during the exam.

Because the D_4 group elements commute with $\hat{\mathbf{S}}$ operators, and in particular commute with the ladder operators. The action of group elements do not change S and S_z quantum numbers, but may change S_{1+3} and S_{2+4} .

If the action of group element g on highest $S_z = S$ states is

$$|S, S_z = S, S_{1+3}, S_{2+4}\rangle \mapsto \sum_{S'_{1+3}, S'_{2+4}} |S, S_z = S, S'_{1+3}, S'_{2+4}\rangle \cdot [R(g)]_{(S'_{1+3}, S'_{2+4}), (S_{1+3}, S_{2+4})},$$

where $R(g)$ is the representation matrix the combination (S'_{1+3}, S'_{2+4}) is the row index and (S_{1+3}, S_{2+4}) is the column index, then this representation matrix is independent of S_z ,

$$|S, S_z, S_{1+3}, S_{2+4}\rangle \mapsto \sum_{S'_{1+3}, S'_{2+4}} |S, S_z, S'_{1+3}, S'_{2+4}\rangle \cdot [R(g)]_{(S'_{1+3}, S'_{2+4}), (S_{1+3}, S_{2+4})}.$$

Because S_z can be changed by application of lowering ladder operator $\hat{S}_- = \sum_{i=1}^4 \hat{S}_{i,-}$.

So we only need to work out the $R(g)$ matrices for each subspace with certain S and $S_z = S$. This can be done by the definition of C_4 and σ_s in the $|s_1, s_2, s_3, s_4\rangle$ basis, and the result of (b). The results are

basis	$R(C_4)$	$R(\sigma_s)$
$ S = 0, S_z = 0, S_{1+3} = 0, S_{2+4} = 0\rangle$	(-1)	(-1)
$(S = 1, S_z = 1, S_{1+3} = 1, S_{2+4} = 0\rangle, S = 1, S_z = 1, S_{1+3} = 0, S_{2+4} = 1\rangle)$	$\begin{pmatrix} 0 & 1 \\ -1 & 0 \end{pmatrix}$	$\begin{pmatrix} -1 & 0 \\ 0 & 1 \end{pmatrix}$
$ S = 0, S_z = 0, S_{1+3} = 1, S_{2+4} = 1\rangle$	(1)	(1)
$ S = 1, S_z = 1, S_{1+3} = 1, S_{2+4} = 1\rangle$	(-1)	(1)
$ S = 2, S_z = 2, S_{1+3} = 1, S_{2+4} = 1\rangle$	(1)	(1)

These are already irreducible representations. So the $|S, S_z, (\Gamma_i, j)\rangle$ basis are

$ S, S_z, (\Gamma_i, j)\rangle$ states	in terms of $ S, S_z, S_{1+3}, S_{2+4}\rangle$	$R(C_4)$	$R(\sigma_s)$
$ S = 0, S_z, (\Gamma_4, j = 1)\rangle$	$ S = 0, S_z, S_{1+3} = 0, S_{2+4} = 0\rangle$	(-1)	(-1)
$ S = 1, S_z, (\Gamma_5, j = 1)\rangle$	$ S = 1, S_z, S_{1+3} = 1, S_{2+4} = 0\rangle$	$\begin{pmatrix} 0 & 1 \\ -1 & 0 \end{pmatrix}$	$\begin{pmatrix} -1 & 0 \\ 0 & 1 \end{pmatrix}$
$ S = 1, S_z, (\Gamma_5, j = 2)\rangle$	$ S = 1, S_z, S_{1+3} = 0, S_{2+4} = 1\rangle$		
$ S = 0, S_z, (\Gamma_1, j = 1)\rangle$	$ S = 0, S_z, S_{1+3} = 1, S_{2+4} = 1\rangle$	(1)	(1)
$ S = 1, S_z, (\Gamma_3, j = 1)\rangle$	$ S = 1, S_z, S_{1+3} = 1, S_{2+4} = 1\rangle$	(-1)	(1)
$ S = 2, S_z, (\Gamma_1, j = 1)\rangle$	$ S = 2, S_z, S_{1+3} = 1, S_{2+4} = 1\rangle$	(1)	(1)

Here S_z can be $-S, -S+1, \dots, S$.

The choice of Γ_5 basis are of course not unique.

If you use method #2 in the Hint, you will eventually get the same result.

But the “projection operator” results for Γ_1 irrep in the $S_z = 0$ subspace are

$$\begin{aligned}
& \frac{1}{2}(|\uparrow\uparrow\downarrow\downarrow\rangle + |\uparrow\downarrow\downarrow\uparrow\rangle + |\downarrow\uparrow\uparrow\downarrow\rangle + |\downarrow\downarrow\uparrow\uparrow\rangle) \\
&= \sqrt{\frac{2}{3}}|S = 2, S_z = 0, S_{1+3} = 1, S_{2+4} = 1\rangle - \sqrt{\frac{1}{3}}|S = 0, S_z = 0, S_{1+3} = 1, S_{2+4} = 1\rangle, \text{ and} \\
& \frac{1}{\sqrt{2}}(|\uparrow\downarrow\uparrow\downarrow\rangle + |\downarrow\uparrow\downarrow\uparrow\rangle) \\
&= \sqrt{\frac{1}{3}}|S = 2, S_z = 0, S_{1+3} = 1, S_{2+4} = 1\rangle + \sqrt{\frac{2}{3}}|S = 0, S_z = 0, S_{1+3} = 1, S_{2+4} = 1\rangle.
\end{aligned}$$

You need to make linear combinations of these to get total- S eigenstates.

Problem 5. (10 points) (“Heisenberg chain”) Consider N spin-1/2 moments labeled by subscripts i with $i = 0, 1, \dots, (N-1)$. Here N is a large integer. The 1D Heisenberg model Hamiltonian is $\hat{H} = -J \sum_{i=0}^{N-1} (\hat{\mathbf{S}}_i \cdot \hat{\mathbf{S}}_{i+1} - \frac{1}{4})$. Here we assume periodic boundary condition, so $\hat{\mathbf{S}}_N$ is actually $\hat{\mathbf{S}}_0$. From $\hat{\mathbf{S}}_i \cdot \hat{\mathbf{S}}_{i+1} = \hat{S}_{i,z} \hat{S}_{i+1,z} + \frac{1}{2}(\hat{S}_{i,+} \hat{S}_{i+1,-} + \hat{S}_{i,-} \hat{S}_{i+1,+})$, it is easy to see that the fully polarized state, $|\downarrow\downarrow \dots \downarrow\rangle$, is an eigenstate of \hat{H} with eigenvalue (0) . Label the other \hat{S}_z eigenstates by the positions of \uparrow , for example $|x\rangle \equiv \hat{S}_{x,+} |\downarrow\downarrow \dots \downarrow\rangle$ has one \uparrow at position $x = 0, 1, \dots, (N-1)$.

The lattice translation, $\hat{T} : |s_1, s_2, \dots, s_N\rangle \mapsto |s_N, s_1, \dots, s_{N-2}\rangle$, $\hat{\mathbf{S}}_i \mapsto \hat{\mathbf{S}}_{i+1}$, is a symmetry of \hat{H} , $[\hat{H}, \hat{T}] = 0$. Note that $\hat{T}^N = \hat{\mathbb{1}}$, so the irreps of translation group are $R_k(\hat{T}) = e^{-i \frac{2\pi}{N} k}$ for integer k (modulo N). For the $|x\rangle$ states, $\hat{T}|x\rangle = |(x+1) \bmod N\rangle$.

(a) (5pts) Show that the “ferromagnetic spin-wave” states $|\psi_p\rangle = \sum_{x=0}^{N-1} e^{ip \cdot x} |x\rangle$ is an eigenstate of \hat{H} , where $p = \frac{2\pi}{N} k$ and k is an integer. Solve the eigenvalues as a function of p

(“the spin-wave dispersion”). [Hint: first figure out the action result of \hat{H} on the $|x\rangle$ basis, then apply \hat{H} on $|\psi_p\rangle$, check that the result is proportional to $|\psi_p\rangle$]

(b) (5pts) (DIFFICULT) (“Bethe ansatz”) For the space with two \uparrow s, the basis are $|x, y\rangle \equiv \hat{S}_{x,+}\hat{S}_{y,+}|\downarrow\downarrow\ldots\downarrow\rangle$ for $0 \leq x < y \leq (N-1)$. Consider a special case of the “Bethe ansatz”, $|\psi_{p,-p}\rangle = \sum_{x,y,x<y} (e^{ipx}e^{i(-p)y} + e^{i\theta}e^{i(-p)x}e^{ip y})|x, y\rangle$. Solve the real parameters p and θ for this state to be an eigenstate of \hat{H} , and find the energy eigenvalue. [Hint: apply \hat{H} on this state, be careful about the “collision” case $y = x + 1$; and be careful about the “boundary condition” at $x = 0$ or $y = (N-1)$, which is equivalent to the fact that $|\psi_{p,-p}\rangle$ is an eigenstate of \hat{T}]

Solution.

(a) Consider the action of $(\hat{\mathbf{S}}_i \cdot \hat{\mathbf{S}}_{i+1} - \frac{1}{4}) = (\hat{S}_{i,z}\hat{S}_{i+1,z} - \frac{1}{4}) + \frac{1}{2}(\hat{S}_{i,+}\hat{S}_{i+1,-} + \hat{S}_{i,-}\hat{S}_{i+1,+})$ on the $|x\rangle$ basis:

if $i \neq x$ and $(i+1) \neq x \pmod N$, the result is $((-\frac{1}{2}) \cdot (-\frac{1}{2}) - \frac{1}{4})|x\rangle + 0 + 0 = 0$;

if $i = x$, the result is $((\frac{1}{2}) \cdot (-\frac{1}{2}) - \frac{1}{4})|x\rangle + 0 + \frac{1}{2}|x+1\rangle = \frac{1}{2}(-|x\rangle + |x+1\rangle)$;

if $i+1 = x \pmod N$, the result is $((-\frac{1}{2}) \cdot (\frac{1}{2}) - \frac{1}{4})|x\rangle + \frac{1}{2}|x-1\rangle + 0 = \frac{1}{2}(-|x\rangle + |x-1\rangle)$.

Therefore $\hat{H}|x\rangle = \frac{J}{2}(2|x\rangle - |x-1\rangle - |x+1\rangle)$.

Here the positions $(x-1)$, $(x+1)$ should be understood with implicit modulo N .

If we view the \uparrow as a particle, \hat{H} produces “hoppings” of this particle to neighboring sites.

$$\begin{aligned} \hat{H}|\psi_p\rangle &= \sum_{x=0}^{N-1} e^{ipx} \frac{J}{2}(2|x\rangle - |(x-1) \pmod N\rangle - |(x+1) \pmod N\rangle) \\ &= J|\psi_p\rangle - \frac{J}{2} \sum_{x'=0}^{N-1} e^{ip(x'+1 \pmod N)}|x'\rangle - \frac{J}{2} \sum_{x''=0}^{N-1} e^{ip(x''-1 \pmod N)}|x''\rangle, \end{aligned}$$

here the dummy variables $x' = (x-1) \pmod N$, $x'' = (x+1) \pmod N$. Because $p = \frac{2\pi}{N}k$ with integer k , $e^{ip(x \pmod N)} = e^{ipx}$. Therefore $\hat{H}|\psi_p\rangle = (J - \frac{J}{2}e^{ip} - \frac{J}{2}e^{-ip})|\psi_p\rangle$.

The energy eigenvalues is $E_p = J \cdot [1 - \cos(p)]$.

(b) Similar to (a), we have the following action result of \hat{H} on $|x, y\rangle$ states:

if $x \neq y-1$ and $x \neq (y+1) \pmod N$, $\hat{H}|x, y\rangle$

$$= 2J|x, y\rangle - \frac{J}{2}(|x-1, y\rangle + |x+1, y\rangle + |x, y-1\rangle + |x, y+1\rangle);$$

if $x = y-1$, $\hat{H}|x, y\rangle = J|x, y\rangle - \frac{J}{2}(|x-1, y\rangle + |x, y+1\rangle)$;

if $x = y+1 \pmod N$, $\hat{H}|x, y\rangle = J|x, y\rangle - \frac{J}{2}(|x+1, y\rangle + |x, y-1\rangle)$.

This is two “hardcore” particles’ hopping (they cannot occupy the same site).

Define $\psi(x, y) = e^{ipx}e^{-ipy} + e^{i\theta}e^{-ipx}e^{ipy}$ for $0 \leq x < y \leq N-1$, and $\psi(y, x) = \psi(x, y)$, then $|\psi_{p,-p}\rangle = \sum_{x,y,x<y} \psi(x, y)|x, y\rangle$.

For the case of $0 < x < x+1 < y < N-1$, we have

$$\langle x, y | \hat{H} | \psi_{p,-p} \rangle = 2J\psi(x, y) + \frac{J}{2}[\psi(x-1, y) + \psi(x+1, y) + \psi(x, y-1) + \psi(x, y+1)],$$

plug in the formula of $\psi(x, y)$, this is

$$= [2J - J \cdot (e^{-ip} + e^{ip})] \cdot \psi(x, y).$$

Therefore, if $|\psi_{p,-p}\rangle$ is an eigenstate of \hat{H} , the energy eigenvalue must be $2J \cdot [1 - \cos(p)]$.

Consider the case of $0 < x < x+1 = y < N-1$,

$$\begin{aligned} \langle x, x+1 | \hat{H} | \psi_{p,-p} \rangle &= J\psi(x, x+1) - \frac{J}{2}[\psi(x-1, x+1) + \psi(x, x+2)] \\ &= J \cdot (e^{-ip} + e^{i\theta}e^{ip}) - \frac{J}{2} \cdot (e^{-2ip} + e^{i\theta}e^{2ip}) \cdot 2, \end{aligned}$$

this should be $2J \cdot [1 - \cos(p)] \cdot \psi(x, x+1) = 2J \cdot [1 - \cos(p)] \cdot (e^{-ip} + e^{i\theta}e^{ip})$.

From this we can solve $e^{i\theta} = e^{-ip}$. So we can choose $\theta = -p$.

Consider the case of $0 = x < x+1 < y < N-1$,

$$\langle 0, y | \hat{H} | \psi_{p,-p} \rangle = 2J\psi(0, y) - \frac{J}{2}[\psi(y, N-1) + \psi(1, y) + \psi(0, y-1) + \psi(0, y+1)],$$

for this to be equal to $2J \cdot [1 - \cos(p)] \cdot \psi(0, y)$

$$= 2J\psi(0, y) - \frac{J}{2}[\psi(-1, y) + \psi(1, y) + \psi(0, y-1) + \psi(0, y+1)],$$

we must have $\psi(y, N-1) = e^{ipy}e^{-ip(N-1)} + e^{i\theta}e^{-ipy}e^{ip(N-1)}$

$$= \psi(-1, y) = e^{-ip}e^{-ipy} + e^{i\theta}e^{ip}e^{ipy}, \text{ for all } 1 < y < N-1.$$

Therefore $e^{i\theta}e^{ipN} = 1$, together with $\theta = -p$ we have $e^{ip(N-1)} = 1$.

Finally,

$$E_{p,-p} = 2J \cdot [1 - \cos(p)],$$

$$\theta = -p,$$

$$p = \frac{2\pi}{N-1}k \text{ with integer } k.$$

Note: as a check, consider $N = 4$ case:

$$-\frac{E_p}{J} + 1 = \cos(\frac{2\pi}{4}k) = 1 \text{ or } 0 \text{ or } -1, \text{ and}$$

$$-\frac{E_{p,-p}}{J} + 1 = 2\cos(\frac{2\pi}{3}k) - 1 = 1 \text{ or } -2.$$

These are some of the eigenvalues in problem 3(c).