

# Final Exam (Quantum Statistical Physics; 2015-2016 Fall Sem.; @PKU)

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## I. NOTATION

$k_F$ : Fermi wavelength,  $c$ : speed of light,  $e$ : eletron charge (positive;  $-e$  is the electron charge).  $m$ : electron mass.  $\hbar$ : Plank constant. A step function  $\theta(x)$  is defined as

$$\theta(x) = \begin{cases} 1 & (x > 0) \\ 0 & (x < 0) \end{cases} \quad (1)$$

## II. PROBLEM.1

### A. Problem.1A

Consider a following Lagrangian for longitudinal phonon in a solid;

$$\mathcal{L}_{\text{phonon}} = \int d\mathbf{x}^3 \left[ \rho_m \frac{\partial d_i}{\partial t} \frac{\partial d_i}{\partial t} - B \frac{\partial d_i}{\partial x_j} \frac{\partial d_i}{\partial x_j} \right]. \quad (2)$$

with the rotation free condition on the displacement field  $\mathbf{d}(\mathbf{x}, t)$ ;

$$\nabla \times \mathbf{d} = 0. \quad (3)$$

$B$  denotes the bulk modulus and  $\rho_m$  denotes the mass density of nuclues ions;  $\rho_m \equiv M/V$  ( $M$ : total mass of all ions,  $V$ : total volume of the system). **Second-quantize** the displacement field; **obtain** the corresponding Hamiltonian which takes a quadratic form of a boson field;  $\sum_{\alpha} \hbar \omega_{\alpha} b_{\alpha}^{\dagger} b_{\alpha}$  and **express** the displacement field  $\mathbf{d}(\mathbf{x})$  in terms of the boson field ( $b_{\alpha}$  and  $b_{\alpha}^{\dagger}$ ). **Explain** what is the Debye frequency  $\hbar \omega_D$ ?

### B. Problem.IB

In the jellium model (the simplest model for metal), a periodic lattice of postively charged nucleus ions is treated as uniformly-distributed postively-charged ‘background’. Quantum dynamics of the background is described by that of the displacement field  $\mathbf{d}(\mathbf{x}, t)$ . The divergence of the displacement field is propotional to extra postive charge density;

$$\rho_b(\mathbf{x}) = Ze\rho_0(1 - \nabla \cdot \mathbf{d}(\mathbf{x})) \equiv Ze\rho_0 + \delta\rho_b(\mathbf{x}).$$

where  $\rho_0$  stands for the uniform density of the ions;  $\rho_0 \equiv N/V$  ( $N$ : the number of nucleus ions).  $Ze$  is the strength of the positive charge carried by each nucleus ion. Electron and nucleus are coupled with each other via the Coulombic interaction,

$$\mathcal{H}_{\text{el-p}} = \int d^3\mathbf{x} \int d^3\mathbf{x}' \frac{\rho_e(\mathbf{x})\delta\rho_b(\mathbf{x}')}{|\mathbf{x} - \mathbf{x}'|} \equiv \int d^3\mathbf{x} \rho_e(\mathbf{x})\delta\phi_b(\mathbf{x}), \quad (4)$$

$$\delta\phi_b(\mathbf{x}) \equiv \int d^3\mathbf{x}' \frac{\delta\rho_b(\mathbf{x}')}{|\mathbf{x} - \mathbf{x}'|}. \quad (5)$$

$$(6)$$

Here an electron density  $\rho_e(\mathbf{x})$  being given as

$$\rho_e(\mathbf{x}) = -e\psi_{\alpha}^{\dagger}(\mathbf{x})\psi_{\alpha}(\mathbf{x}) \quad (7)$$

with  $\alpha = \pm \frac{1}{2}$  (spin-1/2). In Eq. (4), the coupling between the electron density and the uniform background ( $Ze\rho_0$ ) is excluded due to the charge neutrality. Correspondingly, we will employ the following hamiltonian for electrons;

$$\begin{aligned}\mathcal{H}_{\text{el}} &= \sum_{\mathbf{k}, \alpha} \frac{\hbar^2 k^2}{2m} a_{\mathbf{k}, \alpha}^\dagger a_{\mathbf{k}, \alpha} + \frac{e^2}{2V} \sum_{\alpha, \alpha'} \sum_{\mathbf{k}, \mathbf{k}'} \sum_{\mathbf{q} \neq 0} \frac{4\pi}{q^2} a_{\mathbf{k}+\mathbf{q}, \alpha}^\dagger a_{\mathbf{k}'-\mathbf{q}, \alpha'}^\dagger a_{\mathbf{k}', \alpha'} a_{\mathbf{k}, \alpha} \\ &\equiv \sum_{\mathbf{k}, \alpha} \epsilon_{\mathbf{k}} a_{\mathbf{k}, \alpha}^\dagger a_{\mathbf{k}, \alpha} + \frac{1}{2V} \sum_{\alpha, \alpha'} \sum_{\mathbf{k}, \mathbf{k}'} \sum_{\mathbf{q} \neq 0} V_c(q) a_{\mathbf{k}+\mathbf{q}, \alpha}^\dagger a_{\mathbf{k}'-\mathbf{q}, \alpha'}^\dagger a_{\mathbf{k}', \alpha'} a_{\mathbf{k}, \alpha}.\end{aligned}\quad (8)$$

Here the electron creation operator in eq. (7) is related with its Fourier transform  $a_{\mathbf{k}, \alpha}^\dagger$  as

$$\psi_\alpha^\dagger(\mathbf{x}) = \frac{1}{\sqrt{V}} \sum_{\mathbf{k}} e^{-i\mathbf{k}\mathbf{x}} a_{\mathbf{k}, \alpha}^\dagger.$$

In metals, characteristic time scale of phonon dynamics is usually much slower than that of electron dynamics ( $\hbar\omega_D \ll \epsilon_F$ ). Thus, the positive charge induced by finite displacement  $\delta\rho_b(\mathbf{r})$  is immediately screened by ‘fast’ electrons. As a result, an electron density  $\rho_e(\mathbf{x})$  is coupled not only with  $\delta\rho_b(\mathbf{x})$  but also with the screening electrons induced by finite  $\delta\rho_b(\mathbf{x})$ , say  $\delta\rho_e(\mathbf{x})$ ;

$$\mathcal{H}_{\text{el-ph}} \rightarrow \mathcal{H}'_{\text{el-p}} = \int d^3\mathbf{x} \int d^3\mathbf{x}' \frac{\rho_e(\mathbf{x})\delta\rho_b(\mathbf{x}')}{|\mathbf{x}-\mathbf{x}'|} + \int d^3\mathbf{x} \int d^3\mathbf{x}' \frac{\rho_e(\mathbf{x})\delta\rho_e(\mathbf{x}')}{|\mathbf{x}-\mathbf{x}'|} \equiv \int d^3\mathbf{x} \rho_e(\mathbf{x})\delta\phi_{\text{eff}}(\mathbf{x}). \quad (9)$$

with

$$\delta\phi_{\text{eff}}(\mathbf{x}) \equiv \delta\phi_b(\mathbf{x}) + \int d\mathbf{x}' \frac{\delta\rho_e(\mathbf{x}')}{|\mathbf{x}-\mathbf{x}'|}. \quad (10)$$

The induced electron density can be evaluated from the linear response theory;

$$\delta\rho_e(\mathbf{x}) = \frac{e^2}{\hbar} \int d\mathbf{x}' \int_{-\infty}^{\infty} dt' D^R(\mathbf{x}, t; \mathbf{x}', t') \phi_b(\mathbf{x}') = \frac{e^2}{\hbar} \int \frac{d^3\mathbf{k}}{(2\pi)^3} e^{i\mathbf{k}\mathbf{x}} D^R(\mathbf{k}, \omega = 0) \delta\phi_b(\mathbf{k}).$$

where  $D^R(\mathbf{x}, t; \mathbf{x}', t')$  denotes the retarded density-density correlation function and  $D^R(\mathbf{k}, \omega)$  is its Fourier transform;

$$iD^R(\mathbf{x}, t; \mathbf{x}', t') = \theta(t - t') \frac{\langle \Psi_0 | [\tilde{\rho}_H(\mathbf{x}, t), \tilde{\rho}_H(\mathbf{x}', t')] | \Psi_0 \rangle}{\langle \Psi_0 | \Psi_0 \rangle}. \quad (11)$$

with

$$\tilde{\rho}_H(\mathbf{x}, t) \equiv e^{i\frac{\mathcal{H}t}{\hbar}} \psi_\alpha^\dagger(\mathbf{x}) \psi_\alpha(\mathbf{x}) e^{-i\frac{\mathcal{H}t}{\hbar}} - \frac{\langle \Psi_0 | \psi_\alpha^\dagger(\mathbf{x}) \psi_\alpha(\mathbf{x}) | \Psi_0 \rangle}{\langle \Psi_0 | \Psi_0 \rangle}, \quad (12)$$

and

$$D^R(\mathbf{k}, \omega) \equiv \int d^3\mathbf{x} \int dt e^{-i\mathbf{k}\mathbf{x} + i\omega t} D^R(\mathbf{x}, t; \mathbf{0}, 0). \quad (13)$$

$|\Psi_0\rangle$  is the ground state wavefunction of  $\mathcal{H}_{\text{el}}$  in eq. (8).  $\delta\phi_b(\mathbf{k})$  is a Fourier transform of  $\delta\phi_b(\mathbf{x})$  introduced in eq. (5). With this in mind, the Fourier transform of  $\delta\phi_{\text{eff}}(\mathbf{x})$  is given by

$$\delta\phi_{\text{eff}}(\mathbf{k}) = \delta\phi_b(\mathbf{k}) + \frac{1}{\hbar} D^R(\mathbf{k}, \omega = 0) V_c(k) \delta\phi_b(\mathbf{k}) \equiv V_{\text{eff}}(\mathbf{k}) \delta\phi_b(\mathbf{k}), \quad (14)$$

with  $V_c(k) \equiv \frac{4\pi e^2}{k^2}$  and

$$V_{\text{eff}}(\mathbf{k}) \equiv \frac{4\pi}{k^2} \left( 1 + \frac{1}{\hbar} D^R(\mathbf{k}, \omega = 0) V_c(k) \right). \quad (15)$$

With  $V_{\text{eff}}(\mathbf{x}) \equiv \int \frac{d\mathbf{k}}{(2\pi)^3} e^{i\mathbf{k}\mathbf{x}} V_{\text{eff}}(\mathbf{k})$ , we have the following from eqs. (9,14)

$$\mathcal{H}'_{\text{el-ph}} = \int d^3\mathbf{x} d^3\mathbf{x}' \rho_e(\mathbf{x}) V_{\text{eff}}(\mathbf{x} - \mathbf{x}') \delta\rho_b(\mathbf{x}'). \quad (16)$$

Using the Lehmann representation, the Fourier-series of the retarded function are related with those of the time-ordered function;

$$\text{Re}D^R(\mathbf{k}, \omega) = \text{Re}D(\mathbf{k}, \omega) \quad (17)$$

$$\text{Im}D^R(\mathbf{k}, \omega) = \text{sgn}\omega \text{Im}D(\mathbf{k}, \omega), \quad (18)$$

where the time-ordered density-density correlation function is defined as

$$iD(\mathbf{x}, t; \mathbf{x}', t') = \frac{\langle \Psi_0 | T \{ \tilde{\rho}_H(\mathbf{x}, t) \tilde{\rho}_H(\mathbf{x}', t') \} | \Psi_0 \rangle}{\langle \Psi_0 | \Psi_0 \rangle}. \quad (19)$$

with

$$D(\mathbf{k}, \omega) \equiv \int d^3\mathbf{x} \int dt e^{-i\mathbf{k}\mathbf{x} + i\omega t} D(\mathbf{x}, t; \mathbf{0}, 0). \quad (20)$$

Within the ring diagram approximation, the Fourier series of the time-ordered correlation function is given by

$$\frac{1}{\hbar}D(\mathbf{k}, \omega) = \frac{\frac{1}{\hbar}D_{(r)}^*(\mathbf{k}, \omega)}{1 - \frac{1}{\hbar}D_{(r)}^*(\mathbf{k}, \omega)V_c(k)} \equiv \frac{\Pi_{(0)}(\mathbf{k}, \omega)}{1 - \Pi_{(0)}(\mathbf{k}, \omega)V_c(k)} \quad (21)$$

The bare polarization part  $\Pi_0(\mathbf{k}, \omega) \equiv \frac{1}{\hbar}D_{(r)}^*(\mathbf{k}, \omega)$  is given by the non-interacting single-particle Green's function in eqs. (73,74). Using eqs. (75,76), **calculate**

$$A \equiv V_{\text{eff}}(\mathbf{k} = \mathbf{0}). \quad (22)$$

Due to the screening effect,  $V_{\text{eff}}(\mathbf{x})$  becomes a short-range interaction; for simplicity, assume that  $V_{\text{eff}}(\mathbf{x})$  takes a form of the delta function,

$$V_{\text{eff}}(\mathbf{x} - \mathbf{x}') = A\delta^3(\mathbf{x} - \mathbf{x}').$$

The effective electron-phonon coupling Hamiltonian is then given by

$$\mathcal{H}' = A \int d^3\mathbf{x} \int d^3\mathbf{x}' \rho_e(\mathbf{x}) \delta\rho_b(\mathbf{x}). \quad (23)$$

According to the BCS theory of superconductivity, the critical temperature of superconductivity can be evaluated as

$$k_B T_c \simeq 1.13 \hbar \omega_D \exp \left[ - \frac{1}{N(0)g} \right] \quad (24)$$

where  $\hbar \omega_D$  is the Debye frequency,  $N(0)$  is the density of states of the metal at the Fermi level and  $g$  is the square of the electron-phonon coupling strength;

$$g = \gamma^2$$

$$\gamma \equiv \frac{Ze^2}{c} A \left( \frac{\rho_0}{M} \right)^{\frac{1}{2}}. \quad (25)$$

where  $c$  is the velocity of longitudinal phonon. **Explain** why  $T_c$  of BCS superconductors is usually higher in those metals with lighter nucleus ions.

### III. PROBLEM II

#### A. problem IIA

Suppose that a magnetic impurity spin is introduced into a non-interacting electron gas;

$$\mathcal{H} = \mathcal{H}_0 + \mathcal{H}_1 \quad (26)$$

$$\mathcal{H}_0 = \sum_{\alpha=\uparrow,\downarrow} \int d\mathbf{x}^3 \psi_{\alpha}^{\dagger}(\mathbf{x}) \left( -\frac{\hbar^2 \nabla^2}{2m} \right) \psi_{\alpha}(\mathbf{x}), \quad (27)$$

$$\mathcal{H}_1 = J \sum_{\mu=x,y,z} \mathbf{s}_{\mu}(\mathbf{x}_i) S_{\mu}(\mathbf{x}_i), \quad (28)$$

$$2\mathbf{s}_{\mu}(\mathbf{x}) \equiv \sum_{\alpha,\beta=\uparrow,\downarrow} \psi_{\alpha}^{\dagger}(\mathbf{x}) [\boldsymbol{\sigma}_{\mu}]_{\alpha,\beta} \psi_{\beta}(\mathbf{x}) \quad (29)$$

where  $\mathbf{x}_i$  stands for the position of the magnetic impurity, and  $\boldsymbol{\sigma}_{\mu}$  denotes the 2 by 2 Pauli matrices ( $\mu = x, y, z$ ). We assumed that the electron spin density and the impurity spin interact with each other via a short-range potential (a delta function; ‘point-contact’ interaction). Regarding the impurity spin as an external perturbation, one can expect that the impurity spin induces spin polarisation in an electron gas. Based on the linear response theory, **show** that the induced spin density is given as follows (use eq. (75) in ‘Hint for the Problem III’),

$$\langle \mathbf{s}_{\mu}(\mathbf{x}) \rangle = JV(\mathbf{x} - \mathbf{x}_i) S_{\mu}(\mathbf{x}_i). \quad (30)$$

$$V(\mathbf{y}) \equiv -\frac{mk_F}{8\pi^2\hbar^2} \int \frac{d^3\mathbf{k}}{(2\pi)^3} e^{i\mathbf{k}\cdot\mathbf{y}} \left\{ 1 + \frac{1-x^2}{2x} \ln \left| \frac{1+x}{1-x} \right| \right\} \Big|_{x=\frac{|\mathbf{k}|}{2k_F}}. \quad (31)$$

#### B. problem IIB

Consider that two magnetic impurity spins are introduced at  $\mathbf{x}_1$  and  $\mathbf{x}_2$  respectively. The non-interacting electron gas is spin polarized by the impurity spin at  $\mathbf{x}_1$ ,

$$\langle \mathbf{s}(\mathbf{x}) \rangle = JV(\mathbf{x} - \mathbf{x}_1) \mathbf{S}(\mathbf{x}_1). \quad (32)$$

Such a spin polarization will interact with the other impurity spin at  $\mathbf{x}_2$  via the short-range potential. Thus, the effective interaction between these two impurity spins can be calculated as;

$$\mathcal{H}_{\text{RKKY}} = J^2 V(\mathbf{x}_1 - \mathbf{x}_2) \mathbf{S}(\mathbf{x}_1) \cdot \mathbf{S}(\mathbf{x}_2). \quad (33)$$

**Show** that

$$V(\mathbf{x}_1 - \mathbf{x}_2) = \frac{mk_F}{\hbar^2} \left( \frac{k_F}{\pi} \right)^3 \left\{ \frac{\cos(2k_F|\mathbf{x}_1 - \mathbf{x}_2|)}{(2k_F|\mathbf{x}_1 - \mathbf{x}_2|)^3} - \frac{\sin(2k_F|\mathbf{x}_1 - \mathbf{x}_2|)}{(2k_F|\mathbf{x}_1 - \mathbf{x}_2|)^4} \right\} \quad (34)$$

#### C. problem IIC

Consider that the non-interacting electron gas is under the magnetic field (Zeeman magnetic field  $H_z$ );

$$\mathcal{H}_0 = \sum_{\alpha=\uparrow,\downarrow} \int d\mathbf{x}^3 \psi_{\alpha}^{\dagger}(\mathbf{x}) \left( -\frac{\hbar^2 \nabla^2}{2m} + h_z [\sigma_z]_{\alpha\alpha} \right) \psi_{\alpha}(\mathbf{x}), \quad (35)$$

where  $h_z \equiv \frac{1}{2}g\mu_B H_z$ ,  $\mu_B$  is the Bohr magneton and  $g$  is called as the  $g$ -factor ( $\mathcal{H}_1$  is same as above). Since the system possesses the U(1) spin rotational symmetry around the  $z$ -direction, the effective spin-exchange interaction between magnetic impurity spins respects the same U(1) symmetry;

$$\mathcal{H}_{\text{RKKY}} = J^2 V_{ZZ}(\mathbf{x}_1 - \mathbf{x}_2) S_z(\mathbf{x}_1) S_z(\mathbf{x}_2) + J^2 V_{XY}(\mathbf{x}_1 - \mathbf{x}_2) (S_x(\mathbf{x}_1) S_x(\mathbf{x}_2) + S_y(\mathbf{x}_1) S_y(\mathbf{x}_2)). \quad (36)$$

**Explain** how  $V_{ZZ}(\mathbf{x}_1 - \mathbf{x}_2)$  depends on the Zeeman magnetic field  $h_z$ .

#### IV. PROBLEM III

##### A. Problem IIIA

Suppose an electron-electron interaction potential comprises of spin-independent and spin-dependent parts;

$$\mathcal{H} = \mathcal{H}_0 + \mathcal{H}_1 \quad (37)$$

$$\mathcal{H}_0 = \sum_{\alpha=\uparrow,\downarrow} \int d\mathbf{x}^3 \psi_{\alpha}^{\dagger}(\mathbf{x}) \left( -\frac{\hbar^2 \nabla^2}{2m} \right) \psi_{\alpha}(\mathbf{x}), \quad (38)$$

$$\mathcal{H}_1 = \frac{1}{2} \int d^3\mathbf{x} d^3\mathbf{x}' V_{\alpha\beta,\gamma\delta}(\mathbf{x} - \mathbf{x}') \psi_{\alpha}^{\dagger}(\mathbf{x}) \psi_{\gamma}^{\dagger}(\mathbf{x}') \psi_{\delta}(\mathbf{x}') \psi_{\beta}(\mathbf{x}), \quad (39)$$

$$V_{\alpha\beta,\gamma\delta}(\mathbf{x} - \mathbf{x}') = V_0(\mathbf{x} - \mathbf{x}') \delta_{\alpha\beta} \delta_{\gamma\delta} + V_1(\mathbf{x} - \mathbf{x}') [\boldsymbol{\sigma}_{\mu}]_{\alpha\beta} [\boldsymbol{\sigma}_{\mu}]_{\gamma\delta}. \quad (40)$$

The summation over the repeated spin indices ( $\alpha, \beta, \gamma, \delta = \uparrow, \downarrow$ ) were and will be omitted henceforth unless dictated otherwise. The same convention for the repeated spin component indices  $\mu, \nu = x, y, z$ . Suppose that the system is subjected under an impulsive scalar potential field;

$$\mathcal{H}_{\text{ext}} = \int d^3\mathbf{x} \rho(\mathbf{x}) \phi^{\text{ex}}(\mathbf{x}, t) \quad (41)$$

with

$$\rho(\mathbf{x}) \equiv \psi_{\alpha}^{\dagger}(\mathbf{x}) \psi_{\alpha}(\mathbf{x}), \quad \phi^{\text{ex}}(\mathbf{x}, t) = e^{i\mathbf{q}\mathbf{x}} \phi \delta(t), \quad (42)$$

the induced charge density is given by the retarded density correlation function;

$$\langle \rho(\mathbf{x}, t) \rangle = \frac{1}{\hbar} \int_{-\infty}^{+\infty} dt' d^3\mathbf{x}' D^R(\mathbf{x}, t; \mathbf{x}', t') \phi^{\text{ex}}(\mathbf{x}', t'), \quad (43)$$

with eqs.(11,12,13). Using the Lehmann representation, one can relate the Fourier series of the retarded function with the corresponding time-ordered correlation function as in eqs. (17,18,19,20). **Show** that, within the ring approximation, the Fourier series of the time-ordered density correlation function (eqs. (19,20)) is given by

$$\frac{1}{\hbar} D(\mathbf{k}, \omega) = \frac{\frac{1}{\hbar} D_{(r)}^{\star}(\mathbf{k}, \omega)}{1 - \frac{1}{\hbar} D_{(r)}^{\star}(\mathbf{k}, \omega) V_0(\mathbf{k})}. \quad (44)$$

$V_0(\mathbf{k})$  is the Fourier series of spin-independent interaction potential;

$$V_0(\mathbf{k}) = \int d^3\mathbf{x} e^{-i\mathbf{k}\mathbf{x}} V_0(\mathbf{x})$$

The bare polarization part  $\frac{1}{\hbar} D_{(r)}^{\star}(\mathbf{k}, \omega)$  is given by the non-interacting single-particle Green's function as

$$\frac{1}{\hbar} D_{(r)}^{\star}(\mathbf{k}, \omega) = -\frac{2i}{\hbar} \int \frac{d^3\mathbf{k}'}{(2\pi)^3} \int \frac{d\omega'}{2\pi} G^0(\mathbf{k} + \mathbf{k}', \omega + \omega') G^0(\mathbf{k}', \omega'), \quad (45)$$

$$G^0(\mathbf{k}, \omega) = \frac{\theta(|\mathbf{k}| - k_F)}{\omega - \omega_{\mathbf{k}} + i\eta} + \frac{\theta(k_F - |\mathbf{k}|)}{\omega - \omega_{\mathbf{k}} - i\eta}, \quad (46)$$

with  $\hbar\omega_{\mathbf{k}} = \frac{\hbar^2 \mathbf{k}^2}{2m}$  and infinitesimally small positive  $\eta$ .

##### B. Problem IIIB

Without loss of generality, we can assume that  $V_0(\mathbf{k})$  is real-valued ( $V_0(\mathbf{x}) = V_0(-\mathbf{x})$ ). The Fourier series of the retarded function is immediately obtained from eqs. (17,18) as;

$$\frac{1}{\hbar} D^R(\mathbf{k}, \omega) = \frac{\frac{1}{\hbar} D_{(r)}^{\star,R}(\mathbf{k}, \omega)}{1 - \frac{1}{\hbar} D_{(r)}^{\star,R}(\mathbf{k}, \omega) V_0(\mathbf{k})}, \quad (47)$$

with

$$\text{Re}D_{(r)}^{\star,R}(\mathbf{k}, \omega) = \text{Re}D_{(r)}^{\star}(\mathbf{k}, \omega), \quad (48)$$

$$\text{Im}D_{(r)}^{\star,R}(\mathbf{k}, \omega) = \text{sign}(\omega)\text{Im}D_{(r)}^{\star}(\mathbf{k}, \omega). \quad (49)$$

**Show** that, within this approximation, the spin density induced by the impulsive magnetic field eq. (41) is given by

$$\langle \rho(\mathbf{x}, t) \rangle \propto \phi e^{i\mathbf{q}\mathbf{x} - i\Omega_{\mathbf{q}}t - \gamma_{\mathbf{q}}t} \quad (50)$$

where  $\Omega_{\mathbf{q}}$  and  $\gamma_{\mathbf{q}}$  are obtained as a pole of eq. (47);

$$1 = V_0(\mathbf{q}) \frac{1}{\hbar} D_{(r)}^{\star,R}(\mathbf{q}, \Omega_{\mathbf{q}} - i\gamma_{\mathbf{q}}). \quad (51)$$

In the long wavelength limit ( $|\mathbf{q}| \rightarrow 0$ ), we can assume that  $\Omega_{\mathbf{q}}$  thus determined is porportional to  $|\mathbf{q}|$ ;

$$\Omega_{\mathbf{q}} = c_c |\mathbf{q}|. \quad (52)$$

**Show** that, in this limit,  $\gamma_{\mathbf{q}} = 0$  when  $\frac{mc_c}{\hbar k_F} > 1$ . Assuming further that  $\frac{mc_c}{\hbar k_F} > 1$  and  $V_0(\mathbf{q} = 0) > 0$ , **derive** an expression for  $c_c$  in the following two limiting cases;

$$V_0(\mathbf{q} = 0) \ll \frac{\pi^2 \hbar^2}{mk_F} \quad (\text{weak coupling}) \quad (53)$$

$$V_0(\mathbf{q} = 0) \gg \frac{\pi^2 \hbar^2}{mk_F} \quad (\text{strong coupling}) \quad (54)$$

### C. problem IIIC

Consider that the system is under the Zeeman field (the other parts of the Hamiltonian is same as above);

$$\mathcal{H}_0 = \sum_{\alpha=\uparrow,\downarrow} \int d\mathbf{x}^3 \psi_{\alpha}^{\dagger}(\mathbf{x}) \left( -\frac{\hbar^2 \nabla^2}{2m} + h_z [\sigma_z]_{\alpha\alpha} \right) \psi_{\alpha}(\mathbf{x}), \quad (55)$$

The time-ordered density correlation function comprises of four contributions;

$$iD(\mathbf{x}, t; \mathbf{x}', t') = iD_{\uparrow\uparrow}(\cdots) + iD_{\uparrow\downarrow}(\cdots) + iD_{\downarrow\uparrow}(\cdots) + iD_{\downarrow\downarrow}(\cdots). \quad (56)$$

$iD_{\alpha\beta}(\cdots)$  with  $\alpha, \beta = \uparrow, \downarrow$  are defined as follows

$$iD_{\alpha\beta}(\mathbf{x}, t; \mathbf{x}', t') = \frac{\langle \Psi_0 | T \{ \tilde{\rho}_{H,\alpha}(\mathbf{x}, t) \tilde{\rho}_{H,\beta}(\mathbf{x}', t') \} | \Psi_0 \rangle}{\langle \Psi_0 | \Psi_0 \rangle} \quad (57)$$

and

$$\tilde{\rho}_{H,\alpha}(\mathbf{x}, t) \equiv e^{i\frac{\mathcal{H}t}{\hbar}} \psi_{\alpha}^{\dagger}(\mathbf{x}) \psi_{\alpha}(\mathbf{x}) e^{-i\frac{\mathcal{H}t}{\hbar}} - \frac{\langle \Psi_0 | \psi_{\alpha}^{\dagger}(\mathbf{x}) \psi_{\alpha}(\mathbf{x}) | \Psi_0 \rangle}{\langle \Psi_0 | \Psi_0 \rangle} \quad (58)$$

for  $\alpha = \uparrow, \downarrow$  respectively (the summation over  $\alpha$  is not assumed above). **Show** that the Dyson equation for these four generally takes the following form in the moment space;

$$\begin{aligned} \frac{1}{\hbar} \begin{pmatrix} D_{\uparrow\uparrow}(\mathbf{k}, \omega) & D_{\uparrow\downarrow}(\mathbf{k}, \omega) \\ D_{\downarrow\uparrow}(\mathbf{k}, \omega) & D_{\downarrow\downarrow}(\mathbf{k}, \omega) \end{pmatrix} &= \frac{1}{\hbar} \begin{pmatrix} D_{\uparrow\uparrow}^{\star}(\mathbf{k}, \omega) & D_{\uparrow\downarrow}^{\star}(\mathbf{k}, \omega) \\ D_{\downarrow\uparrow}^{\star}(\mathbf{k}, \omega) & D_{\downarrow\downarrow}^{\star}(\mathbf{k}, \omega) \end{pmatrix} \\ &+ \frac{1}{\hbar^2} \begin{pmatrix} D_{\uparrow\uparrow}^{\star}(\mathbf{k}, \omega) & D_{\uparrow\downarrow}^{\star}(\mathbf{k}, \omega) \\ D_{\downarrow\uparrow}^{\star}(\mathbf{k}, \omega) & D_{\downarrow\downarrow}^{\star}(\mathbf{k}, \omega) \end{pmatrix} \begin{pmatrix} V_0 + V_1 & V_0 - V_1 \\ V_0 - V_1 & V_0 + V_1 \end{pmatrix} \begin{pmatrix} D_{\uparrow\uparrow}(\mathbf{k}, \omega) & D_{\uparrow\downarrow}(\mathbf{k}, \omega) \\ D_{\downarrow\uparrow}(\mathbf{k}, \omega) & D_{\downarrow\downarrow}(\mathbf{k}, \omega) \end{pmatrix}. \end{aligned} \quad (59)$$

where  $V_0 \pm V_1 \equiv V_0(\mathbf{k}) \pm V_1(\mathbf{k})$  and  $D_{\alpha\beta}^{\star}(\mathbf{k}, \omega)$  ( $\alpha, \beta = \uparrow, \downarrow$ ) denotes the proper part of the density correlation function.

Within the ring diagram approximation, one may approximate the proper part by its lowest order in the interactions;

$$\frac{1}{\hbar} \begin{pmatrix} D_{\uparrow\uparrow}^{\star}(\mathbf{k}, \omega) & D_{\uparrow\downarrow}^{\star}(\mathbf{k}, \omega) \\ D_{\downarrow\uparrow}^{\star}(\mathbf{k}, \omega) & D_{\downarrow\downarrow}^{\star}(\mathbf{k}, \omega) \end{pmatrix} = \begin{pmatrix} \Pi_{(0),\uparrow}(\mathbf{k}, \omega) & 0 \\ 0 & \Pi_{(0),\downarrow}(\mathbf{k}, \omega) \end{pmatrix} + \mathcal{O}(V_0, V_1).$$

where

$$\Pi_{(0),\alpha}(\mathbf{k}, \omega) = -\frac{i}{\hbar} \int \frac{d^3\mathbf{k}'}{(2\pi)^3} \int \frac{d\omega'}{2\pi} G^0(\mathbf{k} + \mathbf{k}', \omega + \omega', \alpha) G^0(\mathbf{k}', \omega', \alpha), \quad (60)$$

$$G^0(\mathbf{k}, \omega, \alpha) = \frac{\theta(|\mathbf{k}| - k_{F,\alpha})}{\omega - \omega_{\mathbf{k}} - (\sigma_z)_{\alpha\alpha} h_z + i\eta} + \frac{\theta(k_{F,\alpha} - |\mathbf{k}|)}{\omega - \omega_{\mathbf{k}} - (\sigma_z)_{\alpha\alpha} h_z - i\eta}, \quad (61)$$

with  $\alpha = \uparrow, \downarrow$  respectively.  $k_{F,\alpha}$  denotes the Fermi momentum for  $\alpha$ -spin in the presence of the Zeeman field;

$$\frac{\hbar^2 k_{F,\uparrow}^2}{2m} + h_z = \frac{\hbar^2 k_{F,\downarrow}^2}{2m} - h_z \equiv \mu. \quad (62)$$

**Show** that  $\Pi_{0,\alpha}(\mathbf{k}, \omega)$  thus introduced takes exactly the same form as  $\Pi_0(\mathbf{k}, \omega)$  with  $k_F$  being replaced by  $k_{F,\alpha}$  and the overall factor 2 being dropped. Solving eq. (59), **show** that  $D^T(\mathbf{k}, \omega)$  is given by the following,

$$D^T(\mathbf{k}, \omega) = \frac{\hbar(\Pi_{(0),\uparrow} + \Pi_{(0),\downarrow} - 4\Pi_{(0),\uparrow}\Pi_{(0),\downarrow}V_1)}{1 - (\Pi_{(0),\uparrow} + \Pi_{(0),\downarrow})(V_0 + V_1) + 4\Pi_{(0),\uparrow}\Pi_{(0),\downarrow}V_0V_1} \quad (63)$$

Noting that  $V_0(\mathbf{k})$  and  $V_1(\mathbf{k})$  are real-valued, one obtain the retarded density correlation function as

$$D^R(\mathbf{k}, \omega) = \frac{\hbar(\Pi_{(0),\uparrow}^R + \Pi_{(0),\downarrow}^R - 4\Pi_{(0),\uparrow}^R\Pi_{(0),\downarrow}^RV_1)}{1 - (\Pi_{(0),\uparrow}^R + \Pi_{(0),\downarrow}^R)(V_0 + V_1) + 4\Pi_{(0),\uparrow}^R\Pi_{(0),\downarrow}^RV_0V_1} \quad (64)$$

with

$$\begin{aligned} \text{Re}\Pi_{(0),\alpha}^R(\mathbf{k}, \omega) &= \text{Re}\Pi_{(0),\alpha}(\mathbf{k}, \omega), \\ \text{Im}\Pi_{(0),\alpha}^R(\mathbf{k}, \omega) &= \text{sgn}\omega \text{Im}\Pi_{(0),\alpha}(\mathbf{k}, \omega). \end{aligned}$$

In limit of small  $\omega$  and  $\mathbf{q}$ , the retarded density correlation function has two poles in the complex  $\omega$  plane, say  $\omega = \Omega_{\mathbf{q},1} - i\gamma_{\mathbf{q},1}$  and  $\omega = \Omega_{\mathbf{q},2} - i\gamma_{\mathbf{q},2}$ . They are the zeros of the denominator of the right hand side of eq. (64). To see this, we may regard again that  $\Omega_{\mathbf{q},j}$  becomes proportional to  $|\mathbf{q}|$  in the long wavelength limit ( $|\mathbf{q}| \rightarrow 0$ );

$$\Omega_{\mathbf{q},1} = c_1|\mathbf{q}|, \quad \Omega_{\mathbf{q},2} = c_2|\mathbf{q}|. \quad (65)$$

with  $c_1 > c_2$ . **Show** that, in this limit,  $\gamma_{\mathbf{q},1} = \gamma_{\mathbf{q},2} = 0$  when  $\frac{mc_1}{\hbar k_{F,\uparrow}} > \frac{mc_2}{\hbar k_{F,\uparrow}} > 1$  and  $\frac{mc_1}{\hbar k_{F,\downarrow}} > \frac{mc_2}{\hbar k_{F,\downarrow}} > 1$ . Assuming that  $c_1$  and  $c_2$  is sufficiently large that all these conditions are satisfied, **evaluate**  $c_1$  and  $c_2$  from the dynamic limit of eq. (64);  $\lim_{\omega \rightarrow 0} \lim_{|\mathbf{q}| \rightarrow 0} (V_0(\mathbf{k} = 0)$  and  $V_1(\mathbf{k} = 0)$  are finite positive values).

#### D. Hint for problem IIIA, IIIC

In order to derive eqs. (44,45,46), consider the following function (temporally called ‘connected’ two-point Green’s function);

$$G_{\alpha\beta,\delta\gamma}^{(c)}(x, x'; x+, x'+) \equiv G_{\alpha\beta,\delta\gamma}(x, x'; x+, x'+) - G_{\alpha\delta}(x, x+)G_{\beta\gamma}(x', x'+). \quad (66)$$

where the time-ordered single-particle Green’s function and two-particle Green’s function are defined as usual;

$$iG_{\alpha\beta}(x, x') \equiv \frac{\langle \Psi_0 | T \{ \psi_{H,\alpha}(x) \psi_{H,\beta}^\dagger(x') \} | \Psi_0 \rangle}{\langle \Psi_0 | \Psi_0 \rangle}. \quad (67)$$

$$i^2 G_{\alpha\beta,\delta\gamma}(x_1, x_2, ; x'_1, x'_2) \equiv \frac{\langle \Psi_0 | T \{ \psi_{H,\alpha}(x_1) \psi_{H,\beta}(x_2) \psi_{H,\gamma}^\dagger(x'_2) \psi_{H,\delta}^\dagger(x'_1) \} | \Psi_0 \rangle}{\langle \Psi_0 | \Psi_0 \rangle} \quad (68)$$

$|\Psi_0\rangle$  is the ground state wavefunction of the interacting Hamiltonian  $\mathcal{H}$ .  $x \equiv (\mathbf{x}, t)$ ,  $x' \equiv (\mathbf{x}', t')$ ,  $x+ \equiv (\mathbf{x}, t+)$  and so on. The time-ordered density correlation function is given by this ‘connected’ two-point Green’s function as

$$\begin{aligned} iD_{\alpha\beta}(x, x') &= -G_{\alpha\beta,\alpha\beta}^{(c)}(x, x'; x+, x'+). \\ iD(x, x') &\equiv \sum_{\alpha,\beta} iD_{\alpha\beta}(x, x'). \end{aligned} \quad (69)$$

To derive eq. (44), one can begin with Fourier transform of the following Dyson equation for the ‘connected’ two-point Green’s function;

$$G_{\alpha\beta,\delta\gamma}^{(c)}(x, x'; x+, x'+) = G_{\alpha\beta,\delta\gamma}^{(c),*}(x, x'; x+, x'+) + \frac{i}{\hbar} \int d^4x_1 \int d^4x_2 G_{\alpha\gamma_1,\delta\gamma_2}^{(c),*}(x, x_1; x+, x_1+) V_{\gamma_2\gamma_1,\delta_2\delta_1}(\mathbf{x}_1 - \mathbf{x}_2) \delta(t_1 - t_2) G_{\delta_1\beta,\delta_2\gamma}^{(c)}(x_2, x'; x_2+, x'+). \quad (70)$$

where  $G_{\alpha\beta,\delta\gamma}^{(c),*}(x, x'; x+, x'+)$  denotes the so-called ‘proper part’ of the connected two-point Green’s function. In the ring approximation, we replace this proper part as its bare contribution (lowest order in the interaction potential);

$$G_{\alpha\beta,\delta\gamma}^{(c),*}(x, x'; x+, x'+) = -G_{\alpha\gamma}^0(x, x'+) G_{\beta\delta}^0(x', x+) + \mathcal{O}(V). \quad (71)$$

where  $G_{\alpha\beta}^0(x, x')$  denotes the bare (non-interacting) single-particle Green’s function;

$$iG_{\alpha\beta}^0(x, x') = \frac{\langle \Phi_0 | T \{ \psi_{I,\alpha}(x) \psi_{I,\beta}^\dagger(x') \} | \Phi_0 \rangle}{\langle \Phi_0 | \Phi_0 \rangle} = \delta_{\alpha\beta} \frac{\langle \Phi_0 | T \{ \psi_I(x) \psi_I^\dagger(x') \} | \Phi_0 \rangle}{\langle \Phi_0 | \Phi_0 \rangle} \quad (72)$$

$|\Phi_0\rangle$  is the ground state wavefunction of the non-interacting Hamiltonian  $\mathcal{H}_0$ . The fourier transform of eq. (72) is calculated to be eq. (46). The minus sign in the r.h.s. of eq. (71) comes from an exchange of the fermion field.

### E. Hint for problem IIIB,IIIC

With  $h_Z = 0$ , the bare polarization part is given by

$$\Pi_0(\mathbf{k}, \omega) \equiv \frac{1}{\hbar} D_{(r)}^*(\mathbf{k}, \omega) = -\frac{2i}{\hbar} \int \frac{d^3\mathbf{k}'}{(2\pi)^3} \int \frac{d\omega'}{2\pi} G^0(\mathbf{k} + \mathbf{k}', \omega + \omega') G^0(\mathbf{k}', \omega'), \quad (73)$$

$$G^0(\mathbf{k}, \omega) = \frac{\theta(|\mathbf{k}| - k_F)}{\omega - \omega_{\mathbf{k}} + i\eta} + \frac{\theta(k_F - |\mathbf{k}|)}{\omega - \omega_{\mathbf{k}} - i\eta}. \quad (74)$$

With a proper normalization,  $\mathbf{k} \equiv k_F \mathbf{q}$  and  $\omega \equiv \frac{\hbar k_F^2}{m} \nu$ , its real part and imaginary part are calculated as follows,

$$\begin{aligned} \text{Re}\Pi_0(k_F \mathbf{q}, \frac{\hbar k_F^2}{m} \nu) &= \frac{2mk_F}{\hbar^2} \frac{1}{4\pi^2} \times \left\{ -1 + \frac{1}{2q} \left( 1 - \left( \frac{\nu}{q} - \frac{q}{2} \right)^2 \right) \ln \left[ \frac{|\nu - \frac{q^2}{2} + q|}{|\nu - \frac{q^2}{2} - q|} \right] \right. \\ &\quad \left. - \frac{1}{2q} \left( 1 - \left( \frac{\nu}{q} + \frac{q}{2} \right)^2 \right) \ln \left[ \frac{|\nu + \frac{q^2}{2} + q|}{|\nu + \frac{q^2}{2} - q|} \right] \right\} \end{aligned} \quad (75)$$

and

$$\begin{aligned} \text{Im}\Pi_0(k_F \mathbf{q}, \frac{\hbar k_F^2}{m} \nu) &= \begin{cases} -\frac{mk_F}{\hbar^2} \frac{1}{4\pi q} \left[ 1 - \left( \frac{\nu}{q} - \frac{q}{2} \right)^2 \right] & (q > 2 \text{ \& } \frac{q^2}{2} - q \leq \nu \leq \frac{q^2}{2} + q) \text{ or } (q < 2 \text{ \& } -\frac{q^2}{2} + q \leq \nu \leq \frac{q^2}{2} + q) \\ -\frac{mk_F}{\hbar^2} \frac{\nu}{2\pi q} & (q < 2 \text{ \& } 0 \leq \nu \leq -\frac{q^2}{2} + q) \\ 0 & (\text{otherwise}) \end{cases} \end{aligned} \quad (76)$$

### V. PROBLEM IV

In the presence of the external magnetic gauge potential  $\mathbf{A}(\mathbf{x})$ , the BCS Hamiltonian takes the form of

$$\mathcal{H} = \int d^3\mathbf{x} \psi_\alpha^\dagger(\mathbf{x}) \frac{1}{2m} \left( -i\hbar\nabla + \frac{e\mathbf{A}}{c} \right)^2 \psi_\alpha(\mathbf{x}) - \frac{g}{2} \int d^3\mathbf{x} \psi_\alpha^\dagger(\mathbf{x}) \psi_\beta^\dagger(\mathbf{x}) \psi_\beta(\mathbf{x}) \psi_\alpha(\mathbf{x}).$$

**Show** that the continuity equation with  $\mathbf{A} \neq 0$ ;

$$\partial_t \rho(\mathbf{x}) + \nabla \cdot \mathbf{j}^{(0)}(\mathbf{x}) + \nabla \cdot \mathbf{j}^{(1)}(\mathbf{x}) = 0$$



The electron density, paramagnetic and diamagnetic current densities are defined as

$$\begin{aligned}\rho(\mathbf{x}) &\equiv -e\psi_{\alpha}^{\dagger}(\mathbf{x})\psi_{\alpha}(\mathbf{x}) \\ \mathbf{j}_{\mu}^{(0)}(\mathbf{x}) &\equiv \frac{i\hbar e}{2m} \left\{ \psi_{\alpha}^{\dagger}(\mathbf{x})\nabla_{\mu}\psi_{\alpha}(\mathbf{x}) - (\nabla_{\mu}\psi_{\alpha}^{\dagger}(\mathbf{x}))\psi_{\alpha}(\mathbf{x}) \right\} \\ \mathbf{j}_{\mu}^{(1)}(\mathbf{x}) &\equiv -\frac{e^2}{mc}\mathbf{A}_{\mu}(\mathbf{x})\psi_{\alpha}^{\dagger}(\mathbf{x})\psi_{\alpha}(\mathbf{x}),\end{aligned}$$

respectively. Based on the linear response theory at finite temperature, **express** the expectation value of the current density,  $\mathbf{j}(\mathbf{x}) \equiv \mathbf{j}^{(0)}(\mathbf{x}) + \mathbf{j}^{(1)}(\mathbf{x})$ , up to the linear order in the vector potential  $\mathbf{A}(\mathbf{x})$ .

## VI. PROBLEM V

**Derive**  $V_{XY}(\mathbf{x}_1 - \mathbf{x}_2)$  in eq. (36) and **explain** how  $V_{XY}(\mathbf{x}_1 - \mathbf{x}_2)$  depends on  $h_z$ .