## Advanced Quantum Mechanics: Fall 2017 Solution to Midterm Exam

NOTE: Problems start on page 2. Answer the questions in italic fonts. Possibly useful facts:

- Pauli matrices:  $\sigma_0 = \mathbb{1}_{2\times 2}, \ \sigma_1 = \begin{pmatrix} 0 & 1 \\ 1 & 0 \end{pmatrix}, \ \sigma_2 = \begin{pmatrix} 0 & -\mathrm{i} \\ \mathrm{i} & 0 \end{pmatrix}, \ \sigma_3 = \begin{pmatrix} 1 & 0 \\ 0 & -1 \end{pmatrix}.$   $\sigma_1\sigma_2 = \mathrm{i}\sigma_3 = -\sigma_2\sigma_1, \ \sigma_2\sigma_3 = \mathrm{i}\sigma_1 = -\sigma_3\sigma_2, \ \sigma_3\sigma_1 = \mathrm{i}\sigma_2 = -\sigma_1\sigma_3, \ \sigma_1^2 = \sigma_2^2 = \sigma_3^3 = \sigma_0.$ So  $\sigma_{1,2,3}$  mutually anti-commute,  $\{\sigma_1, \sigma_2\} = \{\sigma_2, \sigma_3\} = \{\sigma_3, \sigma_1\} = 0,$  and  $[\sigma_1, \sigma_2] = 2\mathrm{i}\sigma_3, \ [\sigma_2, \sigma_3] = 2\mathrm{i}\sigma_1, \ [\sigma_3, \sigma_1] = 2\mathrm{i}\sigma_2.$
- Some Taylor expansions:  $\exp(x) = \sum_{n=0}^{+\infty} \frac{x^n}{n!}, \quad \sin(x) = \sum_{n=0}^{+\infty} (-1)^n \frac{x^{2n+1}}{(2n+1)!}, \quad \cos(x) = \sum_{n=0}^{+\infty} (-1)^n \frac{x^{2n}}{(2n)!}.$
- Baker-Hausdorff formula:  $\exp(\hat{A}) \cdot \hat{B} \cdot \exp(-\hat{A}) = \hat{B} + \sum_{n=1}^{+\infty} \frac{1}{n!} \underbrace{[\hat{A}, [\hat{A}, \dots [\hat{A}, \hat{B}] \dots]]}_{n\text{-fold commutator}} \hat{B} \dots]$
- If  $[\hat{A}, \hat{B}]$  is a c-number, then  $\exp(\hat{A} + \hat{B}) = \exp(\hat{A}) \exp(\hat{B}) \exp(-\frac{1}{2}[\hat{A}, \hat{B}])$ .
- 1D harmonic oscillator:  $\hat{H}_0 = \frac{\hat{p}^2}{2m} + \frac{m\omega^2}{2}\hat{x}^2 = -\frac{\hbar^2}{2m}\frac{\partial^2}{\partial x^2} + \frac{m\omega^2}{2}x^2$ . Here  $\hat{x}$  is position operator,  $\hat{p}$  is momentum operator,  $[\hat{x},\hat{p}] = i\hbar$ , and in position representation  $\hat{p} = -i\hbar\frac{\partial}{\partial x}$ . Define  $\hat{b} = \sqrt{\frac{m\omega}{2\hbar}}(\hat{x} + i\frac{1}{m\omega}\hat{p}) = \sqrt{\frac{m\omega}{2\hbar}}(x + \frac{\hbar}{m\omega}\frac{\partial}{\partial x})$ . Then  $[\hat{b},\hat{b}^{\dagger}] = 1$  and  $\hat{H}_0 = \hbar\omega\,(\hat{b}^{\dagger}\hat{b} + \frac{1}{2})$ . It has a unique ground state  $|0\rangle$  with  $\hat{b}|0\rangle = 0$ , and excited states  $|n\rangle \equiv \frac{1}{\sqrt{n!}}(\hat{b}^{\dagger})^n|0\rangle$  with energy  $E_n = (n + \frac{1}{2})\hbar\omega$ . These states  $|n\rangle$  can be viewed as occupation basis of a single boson mode.
- Creation & annihilation operators:  $\hat{\psi}^{\dagger}$  "creates" a particle in single particle state  $|\psi\rangle$ ;  $\hat{\psi}$  "destroys" a particle in single particle state  $|\psi\rangle$ ;  $\hat{\psi}^{\dagger}$  is hermitian conjugate of  $\hat{\psi}$ .
  - Given complete orthonormal basis  $|e_i\rangle$  of single particle states, one set of complete orthonormal basis for the Fock space is the *occupation basis*  $|n_1, n_2, ...\rangle = \frac{1}{\sqrt{n_1!}} (\hat{e}_1^{\dagger})^{n_1} \frac{1}{\sqrt{n_2!}} (\hat{e}_2^{\dagger})^{n_2} \cdots |\text{vac}\rangle$ . Here  $|\text{vac}\rangle$  is the particle "vacuum".  $\hat{e}_i^{\dagger}$  are creation operators for state  $|e_i\rangle$ . For bosons,  $[\hat{e}_i, \hat{e}_j^{\dagger}] = \delta_{i,j}$ ; for fermions,  $\{\hat{e}_i, \hat{e}_j^{\dagger}\} = \delta_{i,j}$ .
  - $-\left[\hat{e}_{i}^{\dagger}\hat{e}_{j},\,\hat{e}_{k}^{\dagger}\right]=\delta_{j,k}\hat{e}_{i}^{\dagger},\,\mathrm{for\ both\ bosons\ and\ fermions}.$

Problem 1. (10 points)

A 2-dimensional Hilbert space basis  $|1\rangle$  and  $|2\rangle$ , with overlaps  $|1\rangle = |2\rangle = 1$  and  $\langle 1|2\rangle = -\frac{2}{3}$ . A linear operator  $\hat{A}$  is defined by  $\hat{A}|1\rangle = 3|1\rangle + |2\rangle$  and  $\hat{A}|2\rangle = |1\rangle + 3|2\rangle$ .

- (a) (5pts) Is  $\hat{A}$  a hermitian operator? Is  $\hat{A}$  a unitary operator?
- (b) (5pts) Solve the eigenvalues and normalized eigenstates of  $\hat{A}$ .

**Solution:** This is similar to Homework #1 Problem 1(c).

(a)  $\hat{A}$  is hermitian, but not unitary.

Method #1: use definitions,

$$\hat{A}|i\rangle = \sum_{j} |j\rangle A_{ji}$$
, where  $A_{ji}$  is the 2 × 2 matrix  $\begin{pmatrix} 3 & 1 \\ 1 & 3 \end{pmatrix}$ .

$$\hat{A}|i\rangle = \sum_{j} |j\rangle A_{ji}$$
, where  $A_{ji}$  is the  $2 \times 2$  matrix  $\begin{pmatrix} 3 & 1 \\ 1 & 3 \end{pmatrix}$ .

Define  $G_{ij} = \langle i|j\rangle$ , which is the  $2 \times 2$  matrix  $\begin{pmatrix} 1 & -2/3 \\ -2/3 & 1 \end{pmatrix}$ .

Consider two generic states,  $|a\rangle = \sum_{i} a_{i} |i\rangle$  and  $|b\rangle = \sum_{i} b_{i} |i\rangle$ , and consider the following two inner products.

$$(\hat{A}|a\rangle, |b\rangle) = (\sum_{j,i} |j\rangle A_{ji}a_i, \sum_k |k\rangle b_k) = \sum_{i,j,k} a_i^* A_{ji}^* G_{jk}b_k = \vec{a}^\dagger \cdot (A^\dagger \cdot G) \cdot \vec{b}.$$

$$(|a\rangle, \hat{A}|b\rangle) = (\sum_{i} |i\rangle a_{i}, \sum_{j,k} |j\rangle A_{jk}b_{k}) = \sum_{i,j,k} a_{i}^{*}G_{ij}A_{jk}b_{k} = \vec{a}^{\dagger} \cdot (G \cdot A) \cdot \vec{b}.$$

Here  $\vec{a}$  and  $\vec{b}$  are column vectors with  $a_i$  and  $b_i$  as elements, respectively.

It is easy to check that  $A = 3\sigma_0 + \sigma_1$  and  $G = \sigma_0 - \frac{2}{3}\sigma_1$  satisfy  $A^{\dagger} \cdot G = G \cdot A = \frac{7}{3}\sigma_0 - \sigma_1$ , therefore for any states  $|a\rangle$  and  $|b\rangle$ ,  $(\hat{A}|a\rangle, |b\rangle) = (|a\rangle, \hat{A}|b\rangle)$ .

By the definition of hermitian conjugate of operators, this shows  $\hat{A} = \hat{A}^{\dagger}$ .

Equivalent condition #1: If  $\langle a|\hat{A}|a\rangle$  is real for any state  $|a\rangle$ , then  $\hat{A}$  is real. Here  $\langle a|\hat{A}|a\rangle = \vec{a}^{\dagger} \cdot (G \cdot A) \cdot \vec{a} = \vec{a}^{\dagger} \cdot (\frac{7}{3}\sigma_0 - \sigma_1) \cdot \vec{a} = \frac{7}{3}(|a_1|^2 + |a_2|^2) - (a_1^*a_2 + a_2^*a_1), \text{ is always real.}$ 

Equivalent condition #2: The above condition is equivalent to  $\langle i|\hat{A}|j\rangle = G \cdot A$  is a hermitian matrix. Here  $G \cdot A = \frac{7}{3}\sigma_0 - \sigma_1$  is a hermitian matrix.

To check whether  $\hat{A}$  is unitary, we need to check whether  $(\hat{A}|i\rangle, \hat{A}|j\rangle)$  equals to  $\langle i|j\rangle$  for any pair of basis states  $|i\rangle$  and  $|j\rangle$ .

But  $(\hat{A}|1\rangle, \hat{A}|1\rangle = (3|1\rangle + |2\rangle, 3|1\rangle + |2\rangle) = 9 \cdot 1 + 3 \cdot (-\frac{2}{3}) + 3 \cdot (-\frac{2}{3}) + 1 \cdot 1 = 6$  $\neq \langle 1|1\rangle$ . Therefore  $\hat{A}$  is not unitary.

Equivalent condition #1: If the inner product  $(\hat{A}|a\rangle, \hat{A}|a\rangle) = (|a\rangle, |a\rangle)$  for any state  $|a\rangle$ , then  $\hat{A}$  is unitary. This condition is  $\vec{a}^{\dagger} \cdot (A^{\dagger} \cdot G \cdot A) \cdot \vec{a} = \vec{a}^{\dagger} \cdot G \cdot \vec{a}$  for any complex vector  $\vec{a}$ , or equivalently,  $A^{\dagger} \cdot G \cdot A = G$ . Here  $A^{\dagger} \cdot G \cdot A = 6\sigma_0 - \frac{2}{3}\sigma_1 \neq G = \sigma_0 - \frac{2}{3}\sigma_1$ .

Equivalent condition #2: If  $\hat{A}^{\dagger}\hat{A} = 1$ , then  $\hat{A}$  is unitary.

Knowing that  $\hat{A}$  is hermitian, this condition is  $\hat{A}^2 = \hat{\mathbb{1}}$ , since  $\hat{A}^2 | i \rangle = \sum_k \sum_j |k\rangle A_{kj} A_{ji}$ , this condition is equivalent to  $A \cdot A = \mathbb{1}$ . But here  $A \cdot A = 10\sigma_0 + 6\sigma_1 \neq \mathbb{1} = \sigma_0$ .

Method #2: change to complete orthonormal basis.

The  $\langle i|j\rangle$  values and definition of  $\hat{A}$  are invariant if we exchange the two basis  $|1\rangle$  and  $|2\rangle$ .

This suggests to use the symmetric and anti-symmetric combinations,  $|1\rangle + |2\rangle$  and  $|1\rangle - |2\rangle$ , as new basis. They are indeed orthogonal to each other.

Normalize them:  $(|1\rangle + |2\rangle, |1\rangle + |2\rangle) = 1 + (-\frac{2}{3}) + (-\frac{2}{3}) + 1 = \frac{2}{3}$ 

$$(|1\rangle - |2\rangle, |1\rangle - |2\rangle) = 1 - (-\frac{2}{3}) - (-\frac{2}{3}) + 1 = \frac{10}{3}.$$

We get the complete orthonormal basis,  $|+\rangle \equiv \sqrt{\frac{3}{2}}(|1\rangle + |2\rangle)$  and  $|-\rangle \equiv \sqrt{\frac{3}{10}}(|1\rangle - |2\rangle)$ .

The action of  $\hat{A}$  on this basis is  $\hat{A}|+\rangle = 4|+\rangle$  and  $\hat{A}|-\rangle = 2|-\rangle$ . Namely the matrix representation of  $\hat{A}$  under this complete orthonormal basis is  $\begin{pmatrix} 4 & 0 \\ 0 & 2 \end{pmatrix}$ . This is obviously a hermitian matrix but is not a unitary matrix. Therefore  $\hat{A}$  is hermitian, but not unitary

hermitian matrix, but is not a unitary matrix. Therefore  $\hat{A}$  is hermitian, but not unitary.

(b) The method #2 of (a) already produces the answer for (b).

 $\hat{A}$  has eigenvalue 4 with normalized eigenstate  $\sqrt{\frac{3}{2}}(|1\rangle+|2\rangle);$  and eigenvalue 2 with normalized eigenstate  $\sqrt{\frac{3}{10}}(|1\rangle-|2\rangle).$ 

The eigenvalues and un-normalized eigenvectors can also be obtained from the eigenvalues and eigenvectors of the  $A_{ij}$  matrix,  $\begin{pmatrix} 3 & 1 \\ 1 & 3 \end{pmatrix}$ , defined in method #1 of (a).

Problem 2. (50 points)

Consider the 1D harmonic oscillator  $\hat{H}_0$  defined on page 1.

- (a) (10pts) Let  $\hat{H}' = \hat{H}_0 f \cdot \hat{x}$ , where f is a real constant.  $\hat{H}'$  is related to  $\hat{H}_0$  by  $\hat{U} \cdot \hat{H}_0 \cdot \hat{U}^{\dagger} = \hat{H}' + c$ . Here c is a real constant,  $\hat{U} = \exp(-iX\hat{p} iP\hat{x})$  is a unitary operator with real parameters X and P. Solve X and P and c in terms of  $f, m, \omega, \hbar$ .
- (b) (10pts) Denote the normalized ground state of  $\hat{H}'$  by  $|0'\rangle$ . Evaluate  $\langle 0'|\hat{x}|0'\rangle$  and  $\langle 0'|\hat{p}|0'\rangle$ . [Hint: result of (a) may help.]
- (c) (10pts) At t=0, let the state  $|\psi(t=0)\rangle = |0'\rangle$ , evolve this state under  $\hat{H}_0$ , namely  $|\psi(t)\rangle = \exp(-\frac{\mathrm{i}}{\hbar}\hat{H}_0 \cdot t)|\psi(t=0)\rangle$ . Evaluate  $\langle \psi(t)|\hat{x}|\psi(t)\rangle$  and  $\langle \psi(t)|\hat{p}|\psi(t)\rangle$ . [Hint: you can use either Schrödinger or Heisenberg picture.]
- (d) (5pts) Further evaluate  $\langle \psi(t)|\hat{x}^2|\psi(t)\rangle$  and  $\langle \psi(t)|\hat{p}^2|\psi(t)\rangle$  for  $|\psi(t)\rangle$  defined in (c). Check that the uncertainty relation for  $\hat{x}$  and  $\hat{p}$  is always satisfied. [Hint: it'll be most efficient to use the Schrödinger picture and knowledge about boson coherent states.]
- (e) (10pts) Define two Hermitian operators:  $\hat{O}_1 = m^2 \omega^2 \hat{x}^2 \hat{p}^2$ ,  $\hat{O}_2 = m\omega(\hat{x}\hat{p} + \hat{p}\hat{x})$ . Their Heisenberg picture under  $\hat{H}_0$  are  $\hat{O}_{i,H}(t) = \exp(\frac{i}{\hbar}\hat{H}_0 \cdot t) \cdot \hat{O}_i \cdot \exp(-\frac{i}{\hbar}\hat{H}_0 \cdot t)$ . Write down the Heisenberg equations of motion,  $\frac{d}{dt}\hat{O}_{i,H}(t) = \dots$  for i = 1, 2. The right-hand side of these equations should be expressed in terms of  $\hat{O}_{j,H}(t)$  with j = 1, 2.
  - (f) (5pts) Solve the equations in (e). Namely solve  $\hat{O}_{i,H}(t)$  in terms of  $\hat{O}_{j,H}(t=0)$ .

**Solution:** This is similar to Homework #3 Problem 1(d)(e).

(a) By the Baker-Hausdorff formula,

$$\begin{split} \hat{U}\hat{x}\hat{U}^{\dagger} &= \hat{x} + [-\mathrm{i}X\hat{p} - \mathrm{i}P\hat{x},\hat{x}] + \dots = \hat{x} + (-\mathrm{i}X)(-\mathrm{i}\hbar) + 0 + \dots = \hat{x} - X\hbar, \text{ and} \\ \hat{U}\hat{p}\hat{U}^{\dagger} &= \hat{p} + [-\mathrm{i}X\hat{p} - \mathrm{i}P\hat{x},\hat{p}] + \dots = \hat{p} + (-\mathrm{i}P)(\mathrm{i}\hbar) + 0 + \dots = \hat{p} + P\hbar. \\ &\quad \text{Then } \hat{U} \cdot \hat{H}_0 \cdot \hat{U}^{\dagger} &= \frac{1}{2m}(\hat{U}\hat{p}\hat{U}^{\dagger})^2 + \frac{m\omega^2}{2}(\hat{U}\hat{x}\hat{U}^{\dagger})^2 = \frac{1}{2m}(\hat{p} + P\hbar)^2 + \frac{m\omega^2}{2}(\hat{x} - X\hbar)^2. \\ &\quad \text{Compare this with } \hat{H}' &= \frac{1}{2m}\hat{p}^2 + \frac{m\omega^2}{2}\hat{x}^2 - f \cdot \hat{x} = \frac{1}{2m}\hat{p}^2 + \frac{m\omega^2}{2}(\hat{x} - \frac{f}{m\omega^2})^2 - \frac{f^2}{2m\omega^2}, \text{ we get} \\ &\quad X &= \frac{f}{m\omega^2\hbar}, \ P = 0, \ c = \frac{f^2}{2m\omega^2}. \end{split}$$

(b) According to (a),  $\hat{U}\hat{H}_0\hat{U}^{\dagger} = \hat{H}' + c$ , there is one-to-one correspondence between the

eigenstates of  $\hat{H}_0$  and  $\hat{H}'$ :

if  $\hat{H}_0|n\rangle = E_n|n\rangle$ , then  $\hat{H}' \cdot \hat{U}|n\rangle = (\hat{U}\hat{H}_0\hat{U}^{\dagger} - c) \cdot \hat{U}|n\rangle = \hat{U}\hat{H}_0|n\rangle - c\hat{U}|n\rangle = (E_n - c) \cdot \hat{U}|n\rangle$ ; conversely, if  $\hat{H}'|n'\rangle = E'_n|n'\rangle$ , then  $\hat{H}_0 \cdot \hat{U}^{\dagger}|n'\rangle = (E'_n + c) \cdot \hat{U}^{\dagger}|n'\rangle$ .

The ground state of  $\hat{H}'$  is  $\hat{U}|0\rangle$  where  $|0\rangle$  is the ground state of  $\hat{H}_0$ .

$$\langle 0'|\hat{x}|0'\rangle = \langle 0|\hat{U}^{\dagger}\hat{x}\hat{U}|0\rangle, \ \langle 0'|\hat{p}|0'\rangle = \langle 0|\hat{U}^{\dagger}\hat{p}\hat{U}|0\rangle.$$

Similar to the calculations in (a),  $\hat{U}^{\dagger}\hat{x}\hat{U} = \hat{x} + X\hbar = \hat{x} + \frac{f}{m\omega}$ ,  $\hat{U}^{\dagger}\hat{p}\hat{U} = \hat{p} - P\hbar = \hat{p}$ .

In the ground state  $|0\rangle$  of  $\hat{H}_0$ ,  $\langle 0|\hat{x}|0\rangle = 0$  and  $\langle 0|\hat{p}|0\rangle = 0$ .

This can be seen from  $\hat{x} = \sqrt{\frac{\hbar}{2m\omega}}(\hat{b} + \hat{b}^{\dagger})$ , and  $\hat{p} = -i\sqrt{\frac{\hbar m\omega}{2}}(\hat{b} - \hat{b}^{\dagger})$ , and  $\langle 0|\hat{b}|0\rangle = \langle 0|\hat{b}^{\dagger}|0\rangle^* = 0$ .

Therefore  $\langle 0'|\hat{x}|0'\rangle = \langle 0|(\hat{x} + \frac{f}{m\omega^2})|0\rangle = \frac{f}{m\omega^2}, \ \langle 0'|\hat{p}|0'\rangle = \langle 0|\hat{p}|0\rangle = 0.$ 

(c) Method #1: Schrödinger picture.

$$|0'\rangle = \exp(-\mathrm{i} \tfrac{f}{m\omega^2\hbar} \hat{p})|0\rangle = \exp[-\tfrac{f}{m\omega^2\hbar} \sqrt{\tfrac{\hbar m\omega}{2}} (\hat{b} - \hat{b}^\dagger)]|0\rangle.$$

For notation simplicity, define  $z = \frac{f}{m\omega^2\hbar} \sqrt{\frac{\hbar m\omega}{2}}$ , then  $|0'\rangle = \exp(-z^*\hat{b} + z\hat{b}^{\dagger})|0\rangle$ 

$$=e^{-|z|^2/2}\exp(z\hat{b}^\dagger)\exp(-z\hat{b})|0\rangle = e^{-|z|^2/2}\exp(z\hat{b}^\dagger)|0\rangle \text{ is a boson coherent state}.$$

Denote boson coherent states  $e^{-|z|^2/2} \exp(z\hat{b}^{\dagger})|0\rangle$  by  $|z\rangle$  hereafter.

$$|\psi(t)\rangle = \exp(-\frac{i}{\hbar}\hat{H}_0 \cdot t)|0'\rangle = \exp(-\frac{i}{\hbar}\hat{H}_0 \cdot t) \cdot e^{-|z|^2/2} \exp(z\hat{b}^{\dagger}) \cdot \exp(\frac{i}{\hbar}\hat{H}_0 \cdot t) \cdot \exp(-\frac{i}{\hbar}\hat{H}_0 \cdot t)|0\rangle$$

$$= e^{-|z|^2/2} \exp\left[z \cdot \exp(-\frac{i}{\hbar}\hat{H}_0 \cdot t) \cdot \hat{b}^{\dagger} \cdot \exp(\frac{i}{\hbar}\hat{H}_0 \cdot t)\right] \cdot e^{-\frac{i}{\hbar}E_0 \cdot t}|0\rangle. \text{ Here } E_0 \text{ is the ground state energy of } \hat{H}_0.$$

From  $\hat{H}_0 = \hbar\omega \cdot (\hat{b}^{\dagger}\hat{b} + \frac{1}{2})$ , the commutator  $\left[-\frac{i}{\hbar}\hat{H}_0 \cdot t, \hat{b}^{\dagger}\right] = -i\omega t \cdot \hat{b}$ , then by the Baker-Hausdorff formula,  $\exp(-\frac{i}{\hbar}\hat{H}_0 \cdot t) \cdot \hat{b}^{\dagger} \cdot \exp(\frac{i}{\hbar}\hat{H}_0 \cdot t) = \sum_{n=0}^{\infty} \frac{(-i\omega t)^n}{n!} \hat{b}^{\dagger} = e^{-i\omega t} \hat{b}^{\dagger}$ .

 $|\psi(t)\rangle = e^{-|z|^2/2} \cdot \exp(ze^{-\mathrm{i}\omega t}\hat{b}^\dagger) \cdot e^{-\frac{\mathrm{i}}{\hbar}E_0 \cdot t}|0\rangle = e^{-\frac{\mathrm{i}}{\hbar}E_0 \cdot t}|ze^{-\mathrm{i}\omega t}\rangle, \text{ is still a boson coherent state}.$ 

Then 
$$\langle \psi(t)|\hat{b}|\psi(t)\rangle = ze^{-i\omega t}$$
,  $\langle \psi(t)|\hat{b}^{\dagger}|\psi(t)\rangle = z^*e^{i\omega t}$ .

Finally

$$\begin{split} \langle \psi(t) | \hat{x} | \psi(t) \rangle &= \langle \psi(t) | \sqrt{\frac{\hbar}{2m\omega}} (\hat{b} + \hat{b}^\dagger) | \psi(t) \rangle = \sqrt{\frac{\hbar}{2m\omega}} (z e^{-\mathrm{i}\omega t} + z^* e^{\mathrm{i}\omega t}) = \frac{f}{m\omega^2} \cos(\omega t), \\ \langle \psi(t) | \hat{p} | \psi(t) \rangle &= \langle \psi(t) | -\mathrm{i}\sqrt{\frac{\hbar m\omega}{2}} (\hat{b} - \hat{b}^\dagger) | \psi(t) \rangle = \sqrt{\frac{\hbar m\omega}{2}} (-\mathrm{i}z e^{-\mathrm{i}\omega t} + \mathrm{i}z^* e^{\mathrm{i}\omega t}) = -\frac{f}{\omega} \sin(\omega t), \end{split}$$

Method #2: Heisenberg picture.

Define the Heisenberg picture operators  $\hat{x}_H(t) = \exp(\frac{i}{\hbar}\hat{H}_0 \cdot t) \cdot \hat{x} \cdot \exp(-\frac{i}{\hbar}\hat{H}_0 \cdot t)$ , and  $\hat{p}_H(t) = \exp(\frac{i}{\hbar}\hat{H}_0 \cdot t) \cdot \hat{p} \cdot \exp(-\frac{i}{\hbar}\hat{H}_0 \cdot t)$ .

They satisfy  $[\hat{x}_H(t), \hat{p}_H(t)] = i\hbar$ . And the Heisenberg picture of  $\hat{H}_0$  is simply  $\hat{H}_{0,H}(t) =$ 

$$\frac{1}{2m}[\hat{p}_H(t)]^2 + \frac{m\omega^2}{2}[\hat{x}_H(t)]^2.$$

The Heisenberg equations of motion for  $\hat{x}_H$  and  $\hat{p}_H$  are

$$\frac{d}{dt}\hat{x}_H(t) = \frac{i}{\hbar}[\hat{H}_{0,H}(t), \hat{x}_H(t)] = \frac{1}{m}\hat{p}_H(t), \text{ and } \frac{d}{dt}\hat{p}_H(t) = \frac{i}{\hbar}[\hat{H}_{0,H}(t), \hat{p}_H(t)] = -m\omega^2\hat{x}_H(t).$$

The solution to these equations is

$$\hat{x}_H(t) = \hat{x}_H(t=0)\cos(\omega t) + \frac{1}{m\omega}\hat{p}_H(t=0)\sin(\omega t) = \hat{x}\cos(\omega t) + \frac{1}{m\omega}\hat{p}\sin(\omega t),$$

$$\hat{p}_H(t) = \hat{p}_H(t=0)\cos(\omega t) - m\omega \hat{x}_H(t=0)\sin(\omega t) = \hat{p}\cos(\omega t) - m\omega \hat{x}\sin(\omega t).$$

Finally,

$$\langle \psi(t)|\hat{x}|\psi(t)\rangle = \langle \psi(t=0)\hat{x}_H(t)|\psi(t=0)\rangle = \langle 0'|[\hat{x}\cos(\omega t) + \frac{1}{m\omega}\hat{p}\sin(\omega t)]|0'\rangle = \frac{f}{m\omega^2}\cos(\omega t),$$
and 
$$\langle \psi(t)|\hat{p}|\psi(t)\rangle = \langle \psi(t=0)\hat{p}_H(t)|\psi(t=0)\rangle = \langle 0'|[\hat{p}\cos(\omega t) - m\omega\hat{x}\sin(\omega t)]|0'\rangle$$

$$= -m\omega\frac{f}{m\omega^2}\sin(\omega t) = -\frac{f}{\omega}\sin(\omega t).$$

(d) According to the method #1 of (c),  $|\psi(t)\rangle = e^{-\frac{i}{\hbar}E_0 \cdot t}|ze^{-i\omega t}\rangle$  is a boson coherent state,  $\hat{b}|\psi(t)\rangle = ze^{-i\omega t}|\psi(t)\rangle$  with  $z = \frac{f}{m\omega^2\hbar}\sqrt{\frac{\hbar m\omega}{2}}$ .  $\hat{x}^2 = \frac{\hbar}{2m\omega}(\hat{b} + \hat{b}^\dagger)^2 = \frac{\hbar}{2m\omega}[\hat{b}^2 + (\hat{b}^\dagger)^2 + 2\hat{b}^\dagger\hat{b} + 1].$   $\hat{p}^2 = -\frac{\hbar m\omega}{2}(\hat{b} - \hat{b}^\dagger)^2 = \frac{\hbar m\omega}{2}[-\hat{b}^2 - (\hat{b}^\dagger)^2 + 2\hat{b}^\dagger\hat{b} + 1].$ 

Finally

$$\begin{split} \langle \psi(t) | \hat{x}^2 | \psi(t) \rangle &= \tfrac{\hbar}{2m\omega} [z^2 e^{-2\mathrm{i}\omega t} + (z^*)^2 e^{2\mathrm{i}\omega t} + 2|z|^2 + 1] = \tfrac{\hbar}{2m\omega} [(ze^{-\mathrm{i}\omega t} + z^* e^{\mathrm{i}\omega t})^2 + 1] \\ &= [\tfrac{f}{m\omega^2} \cos(\omega t)]^2 + \tfrac{\hbar}{2m\omega}, \text{ and} \\ \langle \psi(t) | \hat{p}^2 | \psi(t) \rangle &= \tfrac{\hbar m\omega}{2} [-z^2 e^{-2\mathrm{i}\omega t} - (z^*)^2 e^{2\mathrm{i}\omega t} + 2|z|^2 + 1] = \tfrac{\hbar m\omega}{2} [-(ze^{-\mathrm{i}\omega t} - z^* e^{\mathrm{i}\omega t})^2 + 1] \\ &= [\tfrac{f}{\omega} \sin(\omega t)]^2 + \tfrac{\hbar m\omega}{2}. \end{split}$$

Combine these with the result of (c), the variance of  $\hat{x}$  and  $\hat{p}$  under state  $|\psi(t)\rangle$  are  $\langle x^2 \rangle - \langle x \rangle^2 = \frac{\hbar}{2m\omega}$  and  $\langle p^2 \rangle - \langle p \rangle^2 = \frac{\hbar m\omega}{2}$ , independent of time, and satisfy the uncertainty relation  $(\langle x^2 \rangle - \langle x \rangle^2)(\langle p^2 \rangle - \langle p \rangle^2) \geq \frac{\hbar^2}{4}$ .

(e). 
$$\frac{d}{dt}\hat{O}_{1,H}(t) = 2\omega\hat{O}_{2,H}(t)$$
, and  $\frac{d}{dt}\hat{O}_{2,H}(t) = -2\omega\hat{O}_{1,H}(t)$ .

Method #1: use the Heisenberg equations of motion,  $\frac{\mathrm{d}}{\mathrm{d}t}\hat{O}_H(t) = \frac{\mathrm{i}}{\hbar}[\hat{H}_{0,H}(t),\hat{O}_H(t)]$ , and compute the commutators using  $[\hat{A}\hat{B},\hat{C}\hat{D}] = \hat{A}[\hat{B},\hat{C}]\hat{D} + [\hat{A},\hat{C}]\hat{B}\hat{D} + \hat{C}\hat{A}[\hat{B},\hat{D}] + \hat{C}[\hat{A},\hat{D}]\hat{B}$  and  $[\hat{x}_H(t),\hat{p}_H(t)] = \mathrm{i}\hbar$ .

Method #2: use the Heisenberg equations of motion for  $\hat{x}_H$  and  $\hat{p}_H$  in method #2 of (c).  $\frac{\mathrm{d}}{\mathrm{d}t}\hat{x}_H = \frac{1}{m}\hat{p}_H$ , and  $\frac{\mathrm{d}}{\mathrm{d}t}\hat{p}_H = -m\omega^2\hat{x}_H$ .

For notation simplicity, the argument t for Heisenberg picture operators are omitted here.

$$\begin{split} &\frac{\mathrm{d}}{\mathrm{d}t}(m^2\omega^2\hat{x}_H^2-\hat{p}_H^2)=m^2\omega^2(\frac{\mathrm{d}}{\mathrm{d}t}\hat{x}_H\cdot\hat{x}_H+\hat{x}_H\cdot\frac{\mathrm{d}}{\mathrm{d}t}\hat{x}_H)-(\frac{\mathrm{d}}{\mathrm{d}t}\hat{p}_H\cdot\hat{p}_H+\hat{p}_H\cdot\frac{\mathrm{d}}{\mathrm{d}t}\hat{p}_H)\\ &=m^2\omega^2\cdot\frac{1}{m}(\hat{p}_H\hat{x}_H+\hat{x}_H\hat{p}_H)-(-m\omega^2)(\hat{x}_H\hat{p}_H+\hat{p}_H\hat{x}_H)=2\omega\cdot m\omega(\hat{x}_H\hat{p}_H+\hat{p}_H\hat{x}_H)\\ &\frac{\mathrm{d}}{\mathrm{d}t}[(m\omega(\hat{x}_H\hat{p}_H+\hat{p}_H\hat{x}_H)]=m\omega(\frac{\mathrm{d}}{\mathrm{d}t}\hat{x}_H\cdot\hat{p}_H+\hat{x}_H\cdot\frac{\mathrm{d}}{\mathrm{d}t}\hat{p}_H+\frac{\mathrm{d}}{\mathrm{d}t}\hat{p}_H\cdot\hat{x}_H+\hat{p}_H\cdot\frac{\mathrm{d}}{\mathrm{d}t}\hat{x}_H)\\ &=m\omega(\frac{1}{m}\hat{p}_H\cdot\hat{p}_H-m\omega^2\hat{x}_H\cdot\hat{x}_H-m\omega^2\hat{x}_H\cdot\hat{x}_H+\frac{1}{m}\hat{p}_H\cdot\hat{p}_H)=-2\omega\cdot(m^2\omega^2\hat{x}_H^2-\hat{p}^2) \end{split}$$

(f). The solution is

$$\hat{O}_{1,H}(t) = \hat{O}_{1,H}(t=0)\cos(2\omega t) + \hat{O}_{2,H}(t=0)\sin(2\omega t),$$

$$\hat{O}_{2,H}(t) = \hat{O}_{2,H}(t=0)\cos(2\omega t) - \hat{O}_{1,H}(t=0)\sin(2\omega t).$$

Method #1: write the equations in (e) as 
$$\frac{d}{dt}\begin{pmatrix} \hat{O}_{1,H} \\ \hat{O}_{2,H} \end{pmatrix} = \begin{pmatrix} 0 & 2\omega \\ -2\omega & 0 \end{pmatrix} \cdot \begin{pmatrix} \hat{O}_{1,H} \\ \hat{O}_{2,H} \end{pmatrix}$$
.

The solution is  $\begin{pmatrix} \hat{O}_{1,H} \\ \hat{O}_{2,H} \end{pmatrix} = \exp \begin{bmatrix} \begin{pmatrix} 0 & 2\omega \\ -2\omega & 0 \end{pmatrix} \cdot t \end{bmatrix} \cdot \begin{pmatrix} \hat{O}_{1,H}(t=0) \\ \hat{O}_{2,H}(t=0) \end{pmatrix}$ .

$$\exp \begin{bmatrix} \begin{pmatrix} 0 & 2\omega t \\ -2\omega t & 0 \end{pmatrix} \end{bmatrix} = \exp [i \cdot (2\omega t) \cdot \sigma_2] = \cos(2\omega t) \sigma_0 + i \sin(2\omega t) \sigma_2 = \begin{pmatrix} \cos(2\omega t) & \sin(2\omega t) \\ -\sin(2\omega t) & \cos(2\omega t) \end{pmatrix}.$$

[Check Homework #1 Problem 4(b)]

One can also first diagonalize the  $2 \times 2$  matrix  $\begin{pmatrix} 0 & 2\omega t \\ -2\omega t & 0 \end{pmatrix}$ . Or equivalently consider  $\frac{\mathrm{d}}{\mathrm{d}t}(\hat{O}_{1,H} \pm \mathrm{i}\hat{O}_{2,H}) = \pm (2\omega \mathrm{i}) \cdot (\hat{O}_{1,H} \pm \mathrm{i}\hat{O}_{2,H})$ , whose solution is  $(\hat{O}_{1,H} \pm \mathrm{i}\hat{O}_{2,H}) = e^{\pm 2\omega t \mathrm{i}}[\hat{O}_{1,H}(t=0) \pm \mathrm{i}\hat{O}_{2,H}(t=0)]$ .

Method #2: In fact these can be obtained without using the equations of motion in (e). Use  $\hat{x}_H = \hat{x}\cos(\omega t) + \frac{1}{m\omega}\hat{p}\sin(\omega t)$ , and  $\hat{p}_H = \hat{p}\cos(\omega t) - m\omega\hat{x}\sin(\omega t)$ . Then  $\hat{O}_{1,H} = m^2\omega^2\hat{x}_H^2 - \hat{p}_H^2 = m^2\omega^2[\hat{x}\cos(\omega t) + \frac{1}{m\omega}\hat{p}\sin(\omega t)]^2 - [\hat{p}\cos(\omega t) - m\omega\hat{x}\sin(\omega t)]^2$  $= (m^2\omega^2\hat{x}^2 - \hat{p}^2) \cdot [\cos(\omega t)^2 - \sin(\omega t)^2] + m\omega(\hat{x}\hat{p} + \hat{p}\hat{x}) \cdot 2\cos(\omega t)\sin(\omega t), \text{ and }$  $\hat{O}_{2,H} = m\omega(\hat{x}_H\hat{p}_H + \hat{p}_H\hat{x}_H) = m\omega \cdot \left\{ [\hat{x}\cos(\omega t) + \frac{1}{m\omega}\hat{p}\sin(\omega t)] \cdot [\hat{p}\cos(\omega t) - m\omega\hat{x}\sin(\omega t)] + [\hat{p}\cos(\omega t) - m\omega\hat{x}\sin(\omega t)] \cdot [\hat{x}\cos(\omega t) + \frac{1}{m\omega}\hat{p}\sin(\omega t)] \right\}$  $= (m^2\omega^2\hat{x}^2 - \hat{p}^2) \cdot [-2\cos(\omega t)\sin(\omega t)] + m\omega(\hat{x}\hat{p} + \hat{p}\hat{x}) \cdot [\cos(\omega t)^2 - \sin(\omega t)^2].$ 

## **Problem 3**. (40 points)

The single fermion Hilbert space has complete orthonormal basis  $|1\rangle$  and  $|2\rangle$ . Denote the

corresponding creation operators by  $\hat{f}_1^{\dagger}$  and  $\hat{f}_2^{\dagger}$ . Denote the fermion vacuum state by  $|\text{vac}\rangle$ . Then  $\hat{f}_i|\text{vac}\rangle = 0$ , and  $|i\rangle = \hat{f}_i^{\dagger}|\text{vac}\rangle$  for i = 1, 2 respectively, and  $\{\hat{f}_i, \hat{f}_j^{\dagger}\} = \delta_{i,j}$ .

- (a) (5pts) Write down a complete orthonormal basis for the entire Fock space.
- (b) (5pts) Define four "Majorana fermion" operators,  $\hat{\eta}_1 \equiv (\hat{f}_1 + \hat{f}_1^{\dagger})$ ,  $\hat{\eta}_2 \equiv -i(\hat{f}_1 \hat{f}_1^{\dagger})$ ,  $\hat{\eta}_3 \equiv (\hat{f}_2 + \hat{f}_2^{\dagger})$ ,  $\hat{\eta}_4 \equiv -i(\hat{f}_2 \hat{f}_2^{\dagger})$ . They are obviously hermitian. Check the anti-commutation relations  $\{\hat{\eta}_i, \hat{\eta}_j\} = 2\delta_{i,j} = \begin{cases} 0, & \text{if } i \neq j; \\ 2, & \text{if } i = j. \end{cases}$  [Hint: use the multi-linearity of anti-commutators. Only  $i \leq j$  cases need to be checked.]
- (c) (10pts) Under the basis in (a), write down the matrix representations for each  $\hat{\eta}_i$  operator. [Hint: first compute the matrices for  $\hat{f}_{1,2}$ , then  $\hat{f}_{1,2}^{\dagger}$  are just hermitan conjugates; for later convenience it may help to write these results as tensor products of Pauli matrices.]
- (d) (5pts) Define hermitian operators  $\hat{L}_x = i\hat{\eta}_3\hat{\eta}_4$ ,  $\hat{L}_y = i\hat{\eta}_4\hat{\eta}_2$ , and  $\hat{L}_z = i\hat{\eta}_2\hat{\eta}_3$ . Compute their commutators  $[\hat{L}_a, \hat{L}_b]$  for a, b = x, y, z and  $a \neq b$ , express the results in terms of  $\hat{L}_{x,y,z}$ . Check that  $\hat{L}_x^2 = \hat{L}_y^2 = \hat{L}_z^2 = 1$ . [Hint: use the anti-commutation relations in (b). Only three combinations of a, b need to be considered.]
  - (e) (5pts) Solve the eigenvalues and normalized eigenstates of  $\hat{L}_x$  in the entire Fock space.
  - (f) (5pts) Solve the eigenvalues and normalized eigenstates of  $\hat{L}_z$  in the entire Fock space.
- (g) (5pts) Compute  $\exp(i\theta \hat{L}_z) \cdot (c_1 \hat{L}_x + c_2 \hat{L}_y + c_3 \hat{L}_z) \cdot \exp(-i\theta \hat{L}_z)$ , where  $\theta$  and  $c_{1,2,3}$  are c-numbers. The result should be a finite degree polynomial of  $\hat{L}_{x,y,z}$ . [Hint: either use the Baker-Hausdorff formula and the result of (d), or expand and compute  $\exp(i\theta \hat{L}_z)$  explicitly.]

## Solution:

(a) Complete orthonormal basis can be chosen as the occupation basis,

$$|\text{vac}\rangle \equiv |n_1 = 0, n_2 = 0\rangle, \ \hat{f}_1^{\dagger}|\text{vac}\rangle \equiv |n_1 = 1, n_2 = 0\rangle, \ \hat{f}_2^{\dagger}|\text{vac}\rangle \equiv |n_1 = 0, n_2 = 1\rangle, \ \hat{f}_1^{\dagger}\hat{f}_2^{\dagger}|\text{vac}\rangle \equiv |n_1 = 1, n_2 = 1\rangle.$$

Other choices are possible, but this choice (sequence) will be convenient later.

(b) Use 
$$\{\hat{f}_i, \hat{f}_j\} = 0$$
,  $\{\hat{f}_i, \hat{f}_j^{\dagger}\} = \delta_{i,j}$ .  
 $\{\eta_1, \eta_1\} = \{\hat{f}_1 + \hat{f}_1^{\dagger}, \hat{f}_1 + \hat{f}_1^{\dagger}\} = 0 + \{\hat{f}_1, \hat{f}_1^{\dagger}\} + \{\hat{f}_1^{\dagger}, \hat{f}_1\} + 0 = 2$ ,  
 $\{\eta_2, \eta_2\} = -\{\hat{f}_1 - \hat{f}_1^{\dagger}, \hat{f}_1 - \hat{f}_1^{\dagger}\} = -0 + \{\hat{f}_1, \hat{f}_1^{\dagger}\} + \{\hat{f}_1^{\dagger}, \hat{f}_1\} - 0 = 2$ ,  
similarly,  $\{\eta_3, \eta_3\} = \{\eta_4, \eta_4\} = \{\hat{f}_2, \hat{f}_2^{\dagger}\} + \{\hat{f}_2^{\dagger}, \hat{f}_2\} = 2$ .

It is also easy to see that  $\{\eta_1, \eta_3\} = \{\eta_1, \eta_4\} = \{\eta_2, \eta_3\} = \{\eta_2, \eta_4\} = 0$ , because each term in the expansion involves creation/annihilation operators of different fermion modes.

$$\{\eta_1, \eta_2\} = -i\hat{f}_1 + \hat{f}_1^{\dagger}, \hat{f}_1 - \hat{f}_1^{\dagger}\} = -i(0 - \{\hat{f}_1, \hat{f}_1^{\dagger}\} + \{\hat{f}_1^{\dagger}, \hat{f}_1\} - 0) = 0,$$
  
similarly  $\{\eta_3, \eta_4\} = -i(0 - \{\hat{f}_2, \hat{f}_2^{\dagger}\} + \{\hat{f}_2^{\dagger}, \hat{f}_2\} - 0) = 0.$ 

(c) Denote the complete orthonormal basis in (a) as  $|e_i\rangle$  with i=1,2,3,4, the matrix element  $O_{ji} \equiv \langle e_j | \hat{O} | e_i \rangle$  of an operator  $\hat{O}$  can be obtained by  $\hat{O} | e_i \rangle = \sum_j |e_j\rangle \cdot O_{ji}$ .

$$\hat{f}_{1} \text{ is } \begin{pmatrix} 0 & 1 & 0 & 0 \\ 0 & 0 & 0 & 0 \\ 0 & 0 & 0 & 1 \\ 0 & 0 & 0 & 0 \end{pmatrix}, \text{ because } \hat{f}_{1}|0,0\rangle = 0, \ \hat{f}_{1}|1,0\rangle = |0,0\rangle, \ \hat{f}_{1}|0,1\rangle = 0, \ \hat{f}_{1}|1,1\rangle = |0,1\rangle.$$

$$\hat{f}_{2} \text{ is } \begin{pmatrix} 0 & 0 & 1 & 0 \\ 0 & 0 & 0 & -1 \\ 0 & 0 & 0 & 0 \\ 0 & 0 & 0 & 0 \end{pmatrix}, \text{ because } \hat{f}_{2}|0,0\rangle = 0, \ \hat{f}_{2}|1,0\rangle = 0, \ \hat{f}_{2}|0,1\rangle = |0,0\rangle, \ \hat{f}_{2}|1,1\rangle = -|1,0\rangle.$$

Be careful about the minus sign here.

$$\hat{\eta}_{1} \text{ is } \begin{pmatrix} 0 & 1 & 0 & 0 \\ 1 & 0 & 0 & 0 \\ 0 & 0 & 0 & 1 \\ 0 & 0 & 1 & 0 \end{pmatrix} = \sigma_{0} \otimes \sigma_{1}, \ \hat{\eta}_{2} \text{ is } \begin{pmatrix} 0 & -i & 0 & 0 \\ i & 0 & 0 & 0 \\ 0 & 0 & 0 & -i \\ 0 & 0 & i & 0 \end{pmatrix} = \sigma_{0} \otimes \sigma_{2},$$

$$\hat{\eta}_{3} \text{ is } \begin{pmatrix} 0 & 0 & 1 & 0 \\ 0 & 0 & 0 & -1 \\ 1 & 0 & 0 & 0 \\ 0 & -1 & 0 & 0 \end{pmatrix} = \sigma_{1} \otimes \sigma_{3}, \ \hat{\eta}_{4} \text{ is } \begin{pmatrix} 0 & 0 & -i & 0 \\ 0 & 0 & 0 & i \\ i & 0 & 0 & 0 \\ 0 & -i & 0 & 0 \end{pmatrix} = \sigma_{2} \otimes \sigma_{3}.$$

(d) Method #1: use the anti-commutation relations in (a).

Note that  $\hat{\eta}_i^2 = 1$ , and  $\hat{\eta}_i \hat{\eta}_j = -\hat{\eta}_j \hat{\eta}_i$  if  $i \neq j$ .

$$\hat{L}_x \hat{L}_y = -\hat{\eta}_3 \hat{\eta}_4 \hat{\eta}_4 \hat{\eta}_2 = -\hat{\eta}_3 \hat{\eta}_2 = \hat{\eta}_2 \hat{\eta}_3 = -i \hat{L}_z.$$

$$\hat{L}_y \hat{L}_x = -\hat{\eta}_4 \hat{\eta}_2 \hat{\eta}_3 \hat{\eta}_4 = -\hat{\eta}_2 \hat{\eta}_4 \hat{\eta}_4 \hat{\eta}_3 = -\hat{\eta}_2 \hat{\eta}_3 = i \hat{L}_z.$$

Therefore  $[\hat{L}_x, \hat{L}_y] = -2i\hat{L}_z$ . By cyclic permutation of subscripts 2, 3, 4 of  $\hat{\eta}$ , we have  $[\hat{L}_y, \hat{L}_z] = -2i\hat{L}_x$ , and  $[\hat{L}_z, \hat{L}_x] = -2i\hat{L}_y$ .

 $\hat{L}_x^2 = -\hat{\eta}_3\hat{\eta}_4\hat{\eta}_3\hat{\eta}_4 = \hat{\eta}_3\hat{\eta}_4\hat{\eta}_4\hat{\eta}_3 = \hat{\eta}_3\hat{\eta}_3 = 1$ , and by cyclic permutation of subscripts 2, 3, 4 of  $\hat{\eta}$ , we have  $\hat{L}_y^2 = \hat{L}_z^2 = 1$ .

Method #2: use the result of (c),

The matrix form of  $\hat{L}_{x,y,z}$  under the basis of (a) are

$$\hat{L}_x \colon \mathrm{i}(\sigma_1 \otimes \sigma_3) \cdot (\sigma_2 \otimes \sigma_3) = \mathrm{i}(\sigma_1 \sigma_2 \otimes \sigma_3 \sigma_3) = -\sigma_3 \otimes \sigma_0;$$

$$\hat{L}_y \colon i(\sigma_2 \otimes \sigma_3) \cdot (\sigma_0 \otimes \sigma_2) = i(\sigma_2 \sigma_0 \otimes \sigma_3 \sigma_2) = \sigma_2 \otimes \sigma_1;$$

$$\hat{L}_z \colon i(\sigma_0 \otimes \sigma_2) \cdot (\sigma_1 \otimes \sigma_3) = i(\sigma_0 \sigma_1 \otimes \sigma_2 \sigma_3) = -\sigma_1 \otimes \sigma_1.$$

One can then use the multiplication rules for Pauli matrices to produce their commutation relations.

(e) From the result of (c),  $\hat{L}_x$  is  $(-\sigma_3 \otimes \sigma_0)$  under the basis of (a), which is already diagonal.

In fact  $\hat{L}_x = 2\hat{f}_2^{\dagger}\hat{f}_2 - 1$ , according to the definition of  $\hat{\eta}_i$ .

The occupation basis are normalized eigenstates of  $\hat{L}_x$ .

 $\hat{L}_x$  has

eigenvalue +1 for 
$$|n_1=0,n_2=1\rangle$$
 and  $|n_1=1,n_2=1\rangle$ ;  
and eigenvalue -1 for  $|n_1=0,n_2=0\rangle$  and  $|n_1=1,n_2=0\rangle$ .

(f) From the result of (c),  $\hat{L}_z$  is  $(-\sigma_1 \otimes \sigma_1)$  under the basis of (a).

This is similar to the operator in Homework #1 Problem 5(a), with an additional minus sign.

 $\sigma_1$  has eigenvalue +1 with normalized eigenvector  $\frac{1}{\sqrt{2}}(1,1)^T$ ; and eigenvalue -1 with normalized eigenvector  $\frac{1}{\sqrt{2}}(1,-1)$ .

Therefore  $\hat{L}_z$  has

eigenvalue +1 for normalized eigenvectors  $\frac{1}{\sqrt{2}}(1,1)^T \otimes \frac{1}{\sqrt{2}}(1,-1)^T = \frac{1}{2}(1,-1,1,-1)^T$  and  $\frac{1}{\sqrt{2}}(1,-1)^T \otimes \frac{1}{\sqrt{2}}(1,1)^T = \frac{1}{2}(1,1,-1,-1)^T$ ; and

eigenvalue -1 for normalized eigenvectors  $\frac{1}{\sqrt{2}}(1,1)^T \otimes \frac{1}{\sqrt{2}}(1,1)^T = \frac{1}{2}(1,1,1,1)^T$  and

$$\frac{1}{\sqrt{2}}(1,-1)^T \otimes \frac{1}{\sqrt{2}}(1,-1)^T = \frac{1}{2}(1,-1,-1,1)^T.$$

In fact, the  $4\times 4$  matrix  $(-\sigma_1\otimes\sigma_1)$  is already block-diagonalized, with two copies of  $2\times 2$  diagonal block  $\begin{pmatrix} 0 & -1 \\ -1 & 0 \end{pmatrix}$  for the subspaces spanned by basis  $(|\mathrm{vac}\rangle, \hat{f}_1^\dagger \hat{f}_2^\dagger |\mathrm{vac}\rangle)$  and  $(\hat{f}_1^\dagger |\mathrm{vac}\rangle, \hat{f}_2^\dagger |\mathrm{vac}\rangle)$  respectively. It has eigenvalue -1 for  $\frac{1}{\sqrt{2}}(|\mathrm{vac}\rangle + \hat{f}_1^\dagger \hat{f}_2^\dagger |\mathrm{vac}\rangle)$  and  $\frac{1}{\sqrt{2}}(\hat{f}_1^\dagger |\mathrm{vac}\rangle + \hat{f}_2^\dagger |\mathrm{vac}\rangle)$ ; and eigenvalue +1 for  $\frac{1}{\sqrt{2}}(|\mathrm{vac}\rangle - \hat{f}_1^\dagger \hat{f}_2^\dagger |\mathrm{vac}\rangle)$  and  $\frac{1}{\sqrt{2}}(\hat{f}_1^\dagger |\mathrm{vac}\rangle - \hat{f}_2^\dagger |\mathrm{vac}\rangle)$ .

## (g) Method #1: use Baker-Hausdorff formula.

This problem is the same as Homework #1 Problem 3, if you make the following replacement there,  $\hat{A} \to \frac{1}{2i}\hat{L}_z$ ,  $\hat{B} \to \frac{1}{2i}\hat{L}_x$ ,  $\hat{C} \to \frac{1}{2i}\hat{L}_x$ ,  $\theta \to -2\theta$ ,  $a \to 2ic_3$ ,  $b \to 2ic_1$ ,  $c \to 2ic_2$ .

The result of Homework #1 Problem 3 is  $a\hat{A} + \hat{B}(b\cos\theta + c\sin\theta) + \hat{C}(c\cos\theta - b\sin\theta)$  (check the Solution to Homework #1). Therefore  $\exp(i\theta\hat{L}_z)\cdot(c_1\hat{L}_x+c_2\hat{L}_y+c_3\hat{L}_z)\cdot\exp(-i\theta\hat{L}_z)$   $= c_3\hat{L}_z + \hat{L}_x[c_1\cos(2\theta) - c_2\sin(2\theta)] + \hat{L}_y[c_2\cos(2\theta) + c_1\sin(2\theta)]$ 

Method #2: use the result of (d).

Use 
$$\hat{L}_z^2 = 1$$
 from (d)

$$\exp(i\theta \hat{L}_z) = \sum_{m=0}^{\infty} \frac{(-1)^m}{(2m)!} \theta^{2m} + \sum_{m=0}^{\infty} i \frac{(-1)^m}{(2m+1)!} \theta^{2m+1} \hat{L}_z = \cos\theta + i \sin\theta \hat{L}_z.$$

Further use  $\hat{L}_z\hat{L}_x = -\hat{L}_x\hat{L}_z = -i\hat{L}_y$ , and  $\hat{L}_z\hat{L}_y = -\hat{L}_y\hat{L}_z = i\hat{L}_x$ , we have

$$\exp(i\theta \hat{L}_z) \cdot \hat{L}_x \cdot \exp(-i\theta \hat{L}_z) = (\cos \theta + i \sin \theta \hat{L}_z) \cdot \hat{L}_x \cdot (\cos \theta - i \sin \theta \hat{L}_z)$$

$$= (\cos\theta \hat{L}_x + \sin\theta \hat{L}_y) \cdot (\cos\theta - i\sin\theta \hat{L}_z) = (\cos^2\theta - \sin^2\theta)\hat{L}_x + 2\cos\theta\sin\theta \hat{L}_y$$

$$=\cos(2\theta)\hat{L}_x + \sin(2\theta)\hat{L}_y$$
, and

$$\exp(i\theta \hat{L}_z) \cdot \hat{L}_y \cdot \exp(-i\theta \hat{L}_z) = (\cos \theta + i\sin \theta \hat{L}_z) \cdot \hat{L}_y \cdot (\cos \theta - i\sin \theta \hat{L}_z)$$

$$= (\cos\theta \hat{L}_y - \sin\theta \hat{L}_y) \cdot (\cos\theta - i\sin\theta \hat{L}_z) = (\cos^2\theta - \sin^2\theta)\hat{L}_y - 2\cos\theta\sin\theta \hat{L}_x$$

$$=\cos(2\theta)\hat{L}_y-\sin(2\theta)\hat{L}_x$$
, and obviously

$$\exp(i\theta \hat{L}_z) \cdot \hat{L}_z \cdot \exp(-i\theta \hat{L}_z) = \hat{L}_z.$$

These lead to  $\exp(i\theta \hat{L}_z) \cdot (c_1 \hat{L}_x + c_2 \hat{L}_y + c_3 \hat{L}_z) \cdot \exp(-i\theta \hat{L}_z)$ 

$$= c_1 \cdot [\cos(2\theta)\hat{L}_x + \sin(2\theta)\hat{L}_y] + c_2 \cdot [\cos(2\theta)\hat{L}_y - \sin(2\theta)\hat{L}_x] + c_3\hat{L}_z$$

$$= c_3 \hat{L}_z + \hat{L}_x [c_1 \cos(2\theta) - c_2 \sin(2\theta)] + \hat{L}_y [c_2 \cos(2\theta) + c_1 \sin(2\theta)]$$