## Bitonic Sort: Parallel Analysis

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## 1 Pseudocode

```
Algorithm 1: sortBitonic(r, P, n, A, d, b)
  Data: r is the process's rank;
  P is the number of processes (power of 2);
  n is the number of local keys (same on all processes);
   A is the array of keys;
  d is True if sorting forward, False if sorting backward;
   b is True if A is bitonic on input.
1 if P = 1 then
      if b then
         /* local sort when input is bitonic, O(n \log_2 n)
                                                                                    */
         sortLocalBitonic(n, A, d)
      else
         /* local sort with no special condition, O(n \log_2 n)
                                                                                    */
         /* (but we expect slower than sortLocalBitonic())
                                                                                    */
         sortLocal(n, A, d)
6 else
      if not b then
         /* Make A bitonic, sort half forward, half backward
                                                                                    */
         /* \otimes is exclusive or
                                                                                    */
         \operatorname{sortBitonic}(r \mod P/2, P/2, n, A, d \otimes (r \geq P/2), \operatorname{False})
8
      /* Swap splits keys into lesser and greater halves
                                                                                    */
      /* (or greater and lesser if backward direction)
                                                                                    */
      swapBitonic(r, P, n, A, d)
      /* Recursive sort of distributed bitonic sequence
                                                                                    */
10
      sortBitonic(r \mod P/2, P/2, n, A, d, True)
```

```
Algorithm 2: swapBitonic(r, P, n, A, d)
  Data: r is the process's rank;
  P is the number of processes (power of 2);
  n is the number of local keys (same on all processes);
  A is the array of keys;
  d is True if sorting forward, False if sorting backward.
  /* Communicating partner in the other half
                                                                                                    */
ı q \leftarrow r + P/2 \mod P
\mathbf{2} \ A_{\text{recv}} \leftarrow \texttt{Sendrecv}(A, n, q)
  /* \otimes is exclusive or
                                                                                                    */
з if (r < q) \otimes d then
      /* Swap to get lesser value
                                                                                                    */
      for 0 \le i < n do
          A[i] \leftarrow \min\{A[i], A_{\text{recv}}[i]\}
6 else
      /* Swap to get greater value
                                                                                                    */
      for 0 \le i < n \ do
          A[i] \leftarrow \max\{A[i], A_{\text{recv}}[i]\}
8
```

## 2 Runtime analysis

We want an expression for  $T_b(n, p)$ , the runtime of parallel bitonic sort in terms of n, the number of local keys, and P, the number of processes.

We will first get  $\tilde{T}_b(n, p)$ , the runtime of parallel sort when the input is bitonic (b is True). In this case

$$\tilde{T}_b(n,1) = T_{lb}(n) := T_{\mathtt{sortLocalBitonic}}(n) \in O(n \log_2 n).$$

Then, the recursive case:

$$\tilde{T}_b(n,P) = (T_{sb}(n,P) := T_{\text{swapBitonic}}(n,P)) + \tilde{T}_b(n,P/2).$$

We will assume that network congestion is not a problem so that  $T_{sb}(n, P)$  is independent of P,  $T_{sb}(n)$ . Ignoring for a moment the cost of local operations in swapBitonic (the loops at lines 4 and 7), the cost is at least the cost of a Sendrecv of n keys. In the parameters of the LogGP model, the cost of a Sendrecv should be

$$T_{sb}(n) \ge L + 2o + G(kn - 1),$$

where L is the network latency, o is the overhead of starting or finishing a communication, G is the inverse of the network bandwidth (i.e., G has units of s/B), and k is the number of bytes per key (8, in our case).

Expanding the recursion, we get

$$\tilde{T}_b(n, P) = \underbrace{T_{sb}(n) + \dots + T_{sb}(n)}_{\times \log_2 P} + \tilde{T}_b(n, 1)$$
$$= T_{sb}(n) \log_2 P + T_{lb}(n).$$

Now we solve for the full runtime  $T_b(n, P)$  when the inputs are not bitonic (b is False), the default case.

The base case:

$$T_b(n,1) = T_l(n) := T_{\mathtt{sortLocal}}(n) \in O(n \log_2 n).$$

The recursive case:

$$T_b(n, P) = T_b(n, P/2) + \tilde{T}_b(n, P)$$

$$= \underbrace{\tilde{T}_b(n, P) + \tilde{T}_b(n, P/2) + \dots + \tilde{T}_b(n, 2)}_{\times \log_2 P} + T_b(n, 1).$$

We insert our expression for  $\tilde{T}_b(n, P)$ , group like terms, and use the property of logarithms to turn  $\log_2 P/K$  into  $\log_2 P - \log_2 K$ :

$$T_{b}(n, P) = T_{sb}(n)(\log_{2} P + \log_{2} P/2 + \dots + \log_{2} 2) + T_{lb}(n)(\underbrace{1 + 1 + \dots + 1}_{\times \log_{2} P}) + T_{b}(n, 1)$$

$$= T_{sb}(n)(\underbrace{\log_{2} P + \log_{2} P + \dots + \log_{2} P}_{\times \log_{2} P}) - \underbrace{T_{sb}(n)(0 + 1 + \dots + (\log_{2} P - 1))}_{\times \log_{2} P} + T_{lb}(n)(\underbrace{1 + 1 + \dots + 1}_{\times \log_{2} P}) + T_{lb}(n, 1)$$

$$= T_{sb}(n)\left(\log_{2}^{2} P - \sum_{i=1}^{\log_{2} P - 1} i\right) + T_{lb}(n)\log_{2} P + T_{l}(n)$$

$$= T_{sb}(n)(\log_{2}^{2} P - \frac{1}{2}(\log_{2} P - 1)\log_{2} P) + T_{lb}(n)\log_{2} P + T_{l}(n)$$

$$= \frac{1}{2}T_{sb}(n)(\log_{2}^{2} P + \log_{2} P) + T_{lb}(n)\log_{2} P + T_{l}(n).$$

We now have a runtime expression for the full sortBitonic() function in terms of its components that are simpler to analyze/measure on their own: sortLocal(), sortLocalBitonic(), and swapBitonic(), which we take to be  $O(n \log n)$ ,  $O(n \log n)$  and O(n), respectively. If we trust our models of the runtimes of those components, then our model lets us:

- Predict the performance of sortBitonic().
- Verify and validate the performance of sortBitonic(). If our measured times do not match the model, the implementation is wrong or the model is wrong (e.g., our pseudocode omits something critical).
- Speculatively compare the performance of other sort implementations to sortBitonic().

If we don't already have good models of the component algorithms, we can use the functional form of  $T_b(n, P)$  to define an empirical model.

## 3 Empirical Model

First, let us approximate the components with some as yet undetermined coefficients:

$$T_{sb}(n) \approx C_{sb}^{0} + C_{sb}^{1} n,$$
  

$$T_{lb}(n) \approx C_{lb} n \log_{2} n,$$
  

$$T_{l}(n) \approx C_{l} n \log_{2} n.$$

[We include the constant term  $C_{sb}^0$  because we think network latency may be significant in the case of small n.]

Expanding  $T_b(n, P)$ :

$$T_b(n, P) \approx \frac{1}{2}(C_{sb}^0 + C_{sb}^1 n)(\log_2^2 P + \log_2 P) + C_{lb} n \log_2 n \log_2 P + C_l n \log_2 n.$$

Now we could measure the runtime of sortBitonic(n, P) for a large number of (n, P) pairs, and do least-squares fit for the coefficients, and use a statistical measure of goodness of fit to decide if our four-coefficient model is useful.

We can also isolate some of the coefficient with subsets of the parameter space. If P = 1, then  $C_l$  (the coefficient for the local sort) is the only one that has an effect, so we can estimate  $C_l$  in isolation. If N = 1, then we can estimate  $(C_{sb}^0 + C_{sb}^1)$  (the minimum cost of performing swapBitonic()).