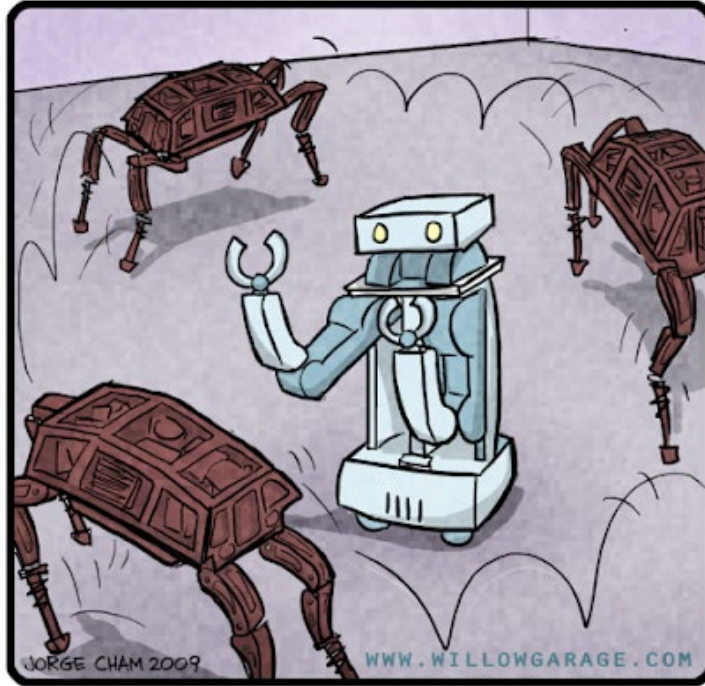


R.O.B.O.T. Comics



"SIT, BOY, SIT! SIT, I SAY,
SI... OH, FORGET IT."

CS 4649/7649 Robot Intelligence: Planning

Constraints II: CSP Methods & Complexity

Slides adapted from:
16.410 Brian Williams
Dechter 1991
Russell and Norvig AIMA

CS 4649/7649 – Asst. Prof. Matthew Gombolay

Assignments

- Due Tuesday, 1/25
 - Read Ch. 11
- Due Thursday, 1/27
 - PSet32 due at 11:59 PM EST
- Due Tuesday, 2/01
 - Reading TBD

(Recap) Constraint Satisfaction Problems (CSP)

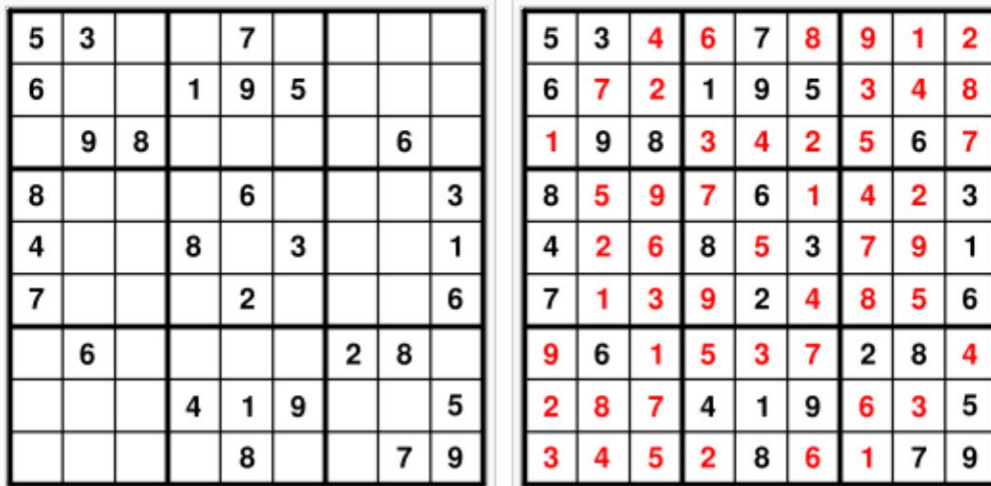
Input: A CSP is a 3-tuple (i.e., triple) $\langle V, D, C \rangle$ where:

- V is a set of variables V_i
- D is a set of variable domains,
 - The domain of variable V_i is denoted D_i
- C is the set of constraints on assignments to V
 - Each constraint $C_j = \langle S_j, R_j \rangle$ specifies allowed variable assignments
 - S_j , the constraint's scope, is a subset of variables V
 - R_j , the constraint's relation, is a set of assignments to S_j

Output: A full assignment to V from elements of D such that all constraints C are satisfied.

Constraint Modeling (Programming) Languages

Features: Declarative specification of the problem that separates the formulation and the search strategy.



The image displays two 9x9 Sudoku grids side-by-side. The left grid is a puzzle with some numbers filled in, and the right grid shows the same puzzle with the solution numbers marked in red.

Left Grid: A sudoku puzzle...

5	3			7				
6			1	9	5			
	9	8					6	
8				6				3
4			8		3			1
7				2				6
	6					2	8	
			4	1	9			5
				8			7	9

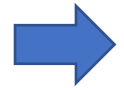
Right Grid: ...and its solution numbers marked in red

5	3	4	6	7	8	9	1	2
6	7	2	1	9	5	3	4	8
1	9	8	3	4	2	5	6	7
8	5	9	7	6	1	4	2	3
4	2	6	8	5	3	7	9	1
7	1	3	9	2	4	8	5	6
9	6	1	5	3	7	2	8	4
2	8	7	4	1	9	6	3	5
3	4	5	2	8	6	1	7	9

Sudoku puzzle (left) and solutions (right)

Source: <http://www.comp.nus.edu.sg/cs1101x/3.ca/labs/07s1/lab7/img/>

Outline



- Analysis of constraint propagation
- Solving CSPs using Search

What is the Complexity of AC-1

AC-1 (CSP)

Input: $CSP = \langle X, D, C \rangle$

Output: CSP' , the largest arc-consistent subset of CSP

1. WHILE (domains are being changed)
2. FOR every $C_{ij} \in C$
3. Revise(x_i, x_j)
4. Revise(x_j, x_i)
5. ENDFOR
6. ENDWHILE

Assume:

- There are n variables
- Domains are of size at most k
- There are e binary constraints

What is the Complexity of AC-1?

Assume:

- There are n variables
- Domains are of size at most $k = \max_i |D_i|$
- There are e binary constraints

Which is the correct complexity?

1. $O(k^2)$
2. $O(enk^2)$
3. $O(enk^3)$
4. $O(nek)$

What is the Complexity of AC-1?

AC-1 (CSP)

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AC-1 (CSP)

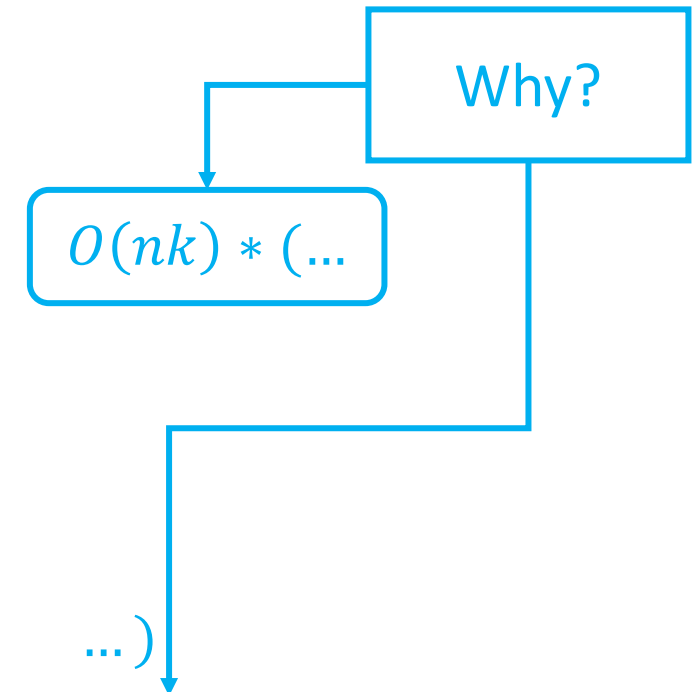
Input: $\text{CSP} = \langle X, D, C \rangle$

Output: CSP' , the largest arc-consistent subset of CSP

1. **WHILE** (domains are being changed)
2. **FOR** every $C_{ij} \in C$
3. Revise(x_i, x_j)
4. Revise(x_j, x_i)
5. **ENDFOR**
6. **ENDWHILE**

Assume:

- There are n variables
- Domains are of size at most k
- There are e binary constraints



Proof Sketch [By Deduction]:

1. Line 1 only iterates if we deleted something from a domain
2. The number of possible domain's we could modify is n
3. The number of possible domain changes we could make to each domain is less than or equal to k
4. Therefore, we iterate at most nk times

What is the Complexity of AC-1?

AC-1 (CSP)

Input: $\text{CSP} = \langle X, D, C \rangle$

Output: CSP' , the largest arc-consistent subset of CSP

```
1.  WHILE (domains are being changed)            $O(nk) * (...$ 
2.      FOR every  $C_{ij} \in C$                     $O(e) * (...$ 
3.          Revise( $x_i, x_j$ )
4.          Revise( $x_j, x_i$ )
5.      ENDFOR                                      $...)$ 
6.  ENDWHILE                                        $...)$ 
```

Assume:

- There are n variables
- Domains are of size at most k
- There are e binary constraints

What is the Complexity of AC-1?

AC-1 (CSP)

Input: $CSP = \langle X, D, C \rangle$

Output: CSP' , the largest arc-consistent subset of CSP

1. WHILE (domains are being changed)

2. FOR every $C_{ij} \in C$

3. Revise(x_i, x_j)

4. Revise(x_j, x_i)

5. ENDFOR

6. ENDWHILE

What is the complexity of
REVISE(,)?

$O(nk) * (...)$

$O(e) * (...)$

$...)$

$...)$

Assume:

- There are n variables
- Domains are of size at most k
- There are e binary constraints

Revise: A directed arc consistency procedure

Revise(x_i, x_j)

Input: Variables x_i and x_j with domains D_i and D_j and constraint relation R_{ij}

Output: Pruned D_i such that x_i is directed arc-consistent relative to x_j

1. FOR each $a_i \in D_i$
2. IF there is no $a_j \in D_j$ such that $\langle a_i, a_j \rangle \in R_{ij}$ THEN
3. Delete a_i from D_i
4. ENDIF
5. ENDFOR

Revise: A directed arc consistency procedure

Revise(x_i, x_j)

Input: Variables x_i and x_j with domains D_i and D_j and constraint relation R_{ij}

Output: Pruned D_i such that x_i is directed arc-consistent relative to x_j

1. FOR each $a_i \in D_i$ $O(k) * (...$
2. IF there is no $a_j \in D_j$ such that $\langle a_i, a_j \rangle \in R_{ij}$ THEN
3. Delete a_i from D_i
4. ENDIF
5. ENDFOR ...)

Revise: A directed arc consistency procedure

Revise(x_i, x_j)

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2. IF there is no $a_j \in D_j$ such that $\langle a_i, a_j \rangle \in R_{ij}$ THEN	$O(k) * (...)$
3. Delete a_i from D_i	
4. ENDIF	$...)$
5. ENDFOR	$...)$

Complexity of Revise():

$$= O(k^2)$$

What is the Complexity of AC-1?

AC-1 (CSP)

Input: $CSP = \langle X, D, C \rangle$

Output: CSP' , the largest arc-consistent subset of CSP

1. **WHILE** (domains are being changed)

2. **FOR every** $C_{ij} \in C$

3. Revise(x_i, x_j)

4. Revise(x_j, x_i)

5. **ENDFOR**

6. **ENDWHILE**

What is the complexity of
REVISE(,)?

$O(nk) * (...)$

$O(e)$

$...)$

$...)$

Assume:

- There are n variables
- Domains are of size at most k
- There are e binary constraints

What is the Complexity of AC-1

AC-1 (CSP)

Input: $\text{CSP} = \langle X, D, C \rangle$

Output: CSP' , the largest arc-consistent subset of CSP

```
1.  WHILE (domains are being changed)            $O(nk) * (...)$ 
2.      FOR every  $C_{ij} \in C$                     $O(e) * (...)$ 
3.          Revise( $x_i, x_j$ )                      $(O(k^2)$ 
4.          Revise( $x_j, x_i$ )                      $+O(k^2))$ 
5.      ENDFOR                                    $...)$ 
6.  ENDWHILE                                     $...)$ 
```

Complexity of AC-1?

$$= O(nk * e * k^2)$$

$$= (enk^3)$$

What is the Complexity of AC-1

Assume:

- There are n variables
- Domains are of size at most k
- There are e binary constraints

Which is the correct complexity?

1. $O(k^2)$
2. $O(enk^2)$
3. $O(enk^3)$
4. $O(nek)$

Full Arc-Consistency via AC-3 (Waltz CP)

AC-3 (CSP)

Input: $\text{CSP} = \langle X, D, C \rangle$

Output: CSP' , the largest arc-consistent subset of CSP

1. FOR every $C_{ij} \in C$
2. $Q \leftarrow Q \cup \{\langle x_i, x_j \rangle, \langle x_j, x_i \rangle\}$
3. ENDFOR
4. While $Q \neq \emptyset$
5. Select and delete arc $\langle x_i, x_j \rangle$ from Q
6. Revise(x_i, x_j)
7. IF Revise(x_i, x_j) caused a change to D_i
8. $Q \leftarrow Q \cup \{\langle x_k, x_i \rangle \mid k \neq i, k \neq j\}$
9. ENDIF
10. ENDWHILE

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10. ENDWHILE

$O(e) +$

Iterations of while loop determined by # of times line 7 is TRUE (as well as e , k , and n).

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Iterations of while loop determined by # of times line 7 is TRUE (as well as e , k , and n).

$O(k^2)$

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$O(e) + \dots$

Iterations of while loop determined by # of times line 7 is TRUE (as well as e , k , and n).

$O(k^2)$

$* O(ek)$

Why?

PSet 3

Complexity of AC-3?

$$= O(e + ek * k^2) = O(ek^3)$$

Is arc consistency sound and complete?

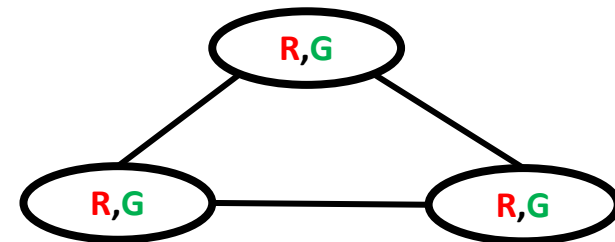
An arc consistent solution selects a value for every variable from its arc consistent domain.

Soundness: All solutions to the CSP are arc consistent solutions?

- Yes
- No

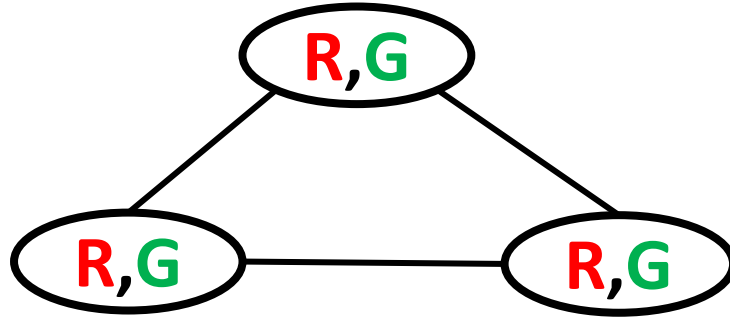
Completeness: All arc-consistent solutions are solutions to the CSP?

- Yes
- No

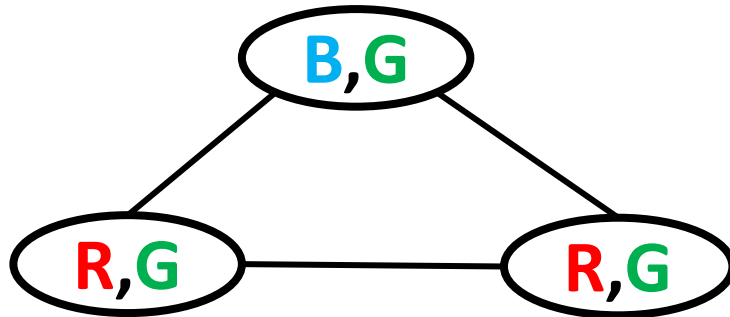


Incomplete: Arc consistency doesn't rule out all infeasible solutions

*Graph
Coloring
Problem*



Arc consistent, but no solutions



Arc consistent, but 2 solutions, not 8.

B, R, G
B, G, R

To solve CSPs, we combine

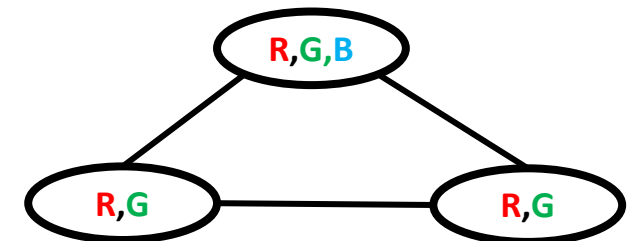
1. Arc consistency (constraint propagation),
 - Eliminates values that are shown locally to not be a part of any solution
2. Search
 - Explores consequences of committing to particular assignments
 - Methods incorporating search:
 - Standard Search
 - Backtrack Search (BT)
 - BT with Forward Checking (FC)
 - Dynamic Variable Ordering (DVO)
 - Iterative Repair
 - Back jumping (BJ)

To solve CSPs, we combine

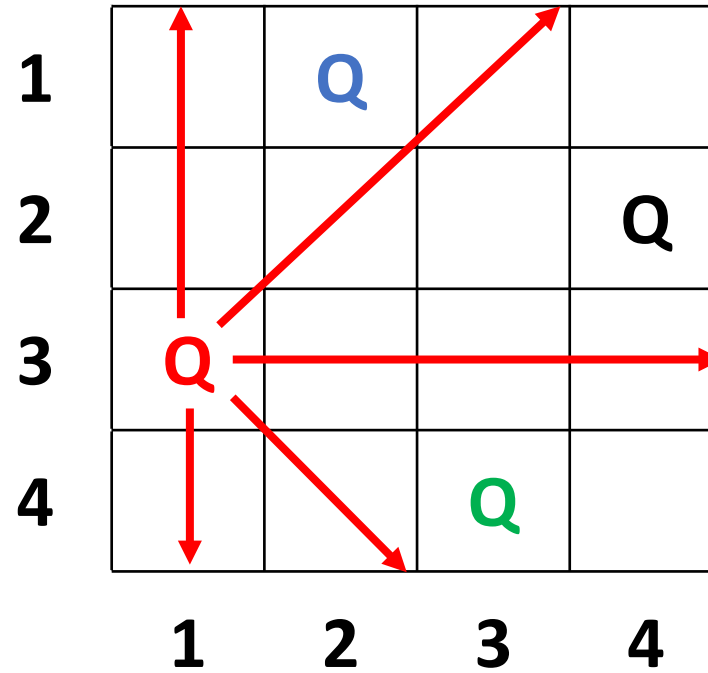
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Solving CSPs using Generic Search

- State
 - Partial assignment to variables, made thus far.
- Initial State
 - No assignment.
- Operator
 - Creates new assignment ($X_i = v_{ij}$)
 - Select any unassigned variable X_i
 - Select any one of its domain values v_{ij}
 - Child extends parent assignments with new.
- Goal Test
 - All variables are assigned.
 - All constraints are satisfied.
- Branching factor?
 - Sum of domain size of all variables $O(|V||D|)$
- Performance?
 - Exponential in the branching factor $O\left((|V||D|)^{|V||D|}\right)$



Search Performance on N Queens



- **Standard Search**
- **Backtracking**

// A handful of queens
// About 15 queens

Solving CSPs with Standard Search

- Standard Search:
 - Children select any value for any variable [$O(|V|*|d|)$].
 - Test complete assignments for consistency against CSP.
- Observations:
 1. The order in which variables are assigned does not change the solution.
 - Many paths denote the same solution, ($|V|!$),
→ Expand only one path (i.e., use one variable ordering).
 2. We can identify a dead end before we assign all variables.
 - Extensions to inconsistent partial assignments are always inconsistent
→ Check consistency after each assignment

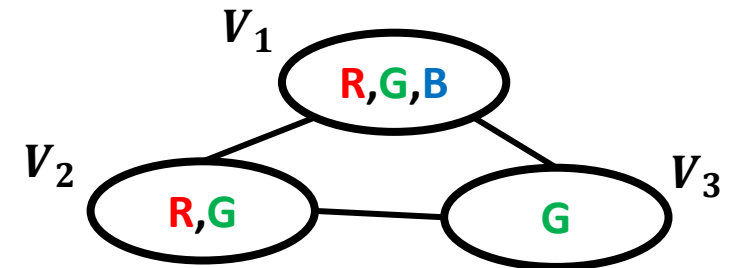
Backtrack Search (BT)

1. Expand assignments of **one variable** at each step.
2. Pursue **depth first**.
3. Check **consistency** after **each expansion**, and backup.



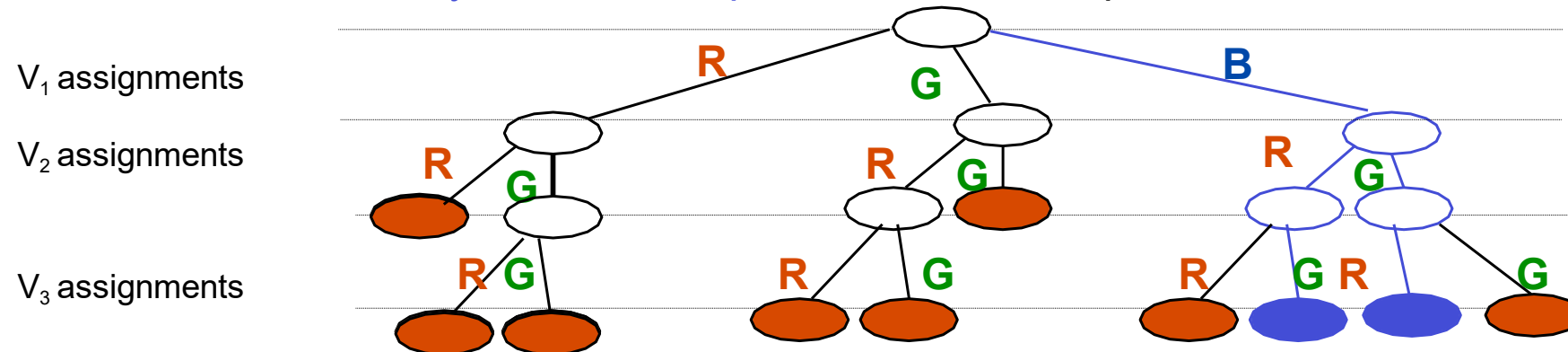
**Preselect order
of variables to
assign**

**Assign
designated
variable**



Backtrack Search (BT)

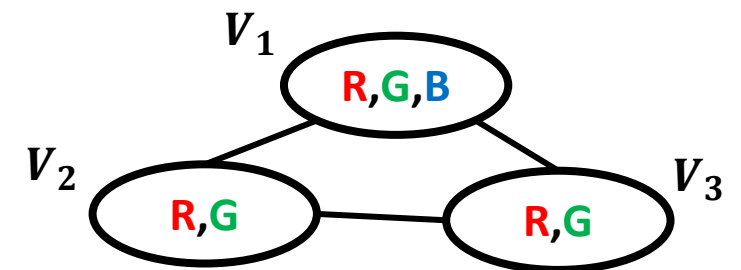
1. Expand assignments of **one variable** at each step.
2. Pursue **depth first**.
3. Check **consistency** after **each expansion**, and backup.



**Preselect order
of variables to
assign**

**Assign
designated
variable**

**Backup at
inconsistent
assignment**



Procedure Backtracking

Input: A constraint network $R = \langle X, D, C \rangle$

Output: A solution, or notification that the network is inconsistent.

```
i ← 1; ai = {}  
D'i ← Di;  
while 1 ≤ i ≤ n  
    instantiate xi ← Select-Value();  
    if xi is null  
        i ← i - 1;  
    else  
        i ← i + 1;  
        D'i ← Di;  
end while  
if i = 0  
    return "inconsistent"  
else  
    return  $\vec{a_i}$ , the instantiated values of {xi, ..., xn}  
end procedure
```

Initialize variable counter, assignments

Copy domain of first variable.

Add to assignments a_i

No value was returned,
then backtrack

Else step forward and

Copy domain of next variable

Procedure Select-Value()

Output: A value in D'_i consistent with a_{i-1} , or null, if none.

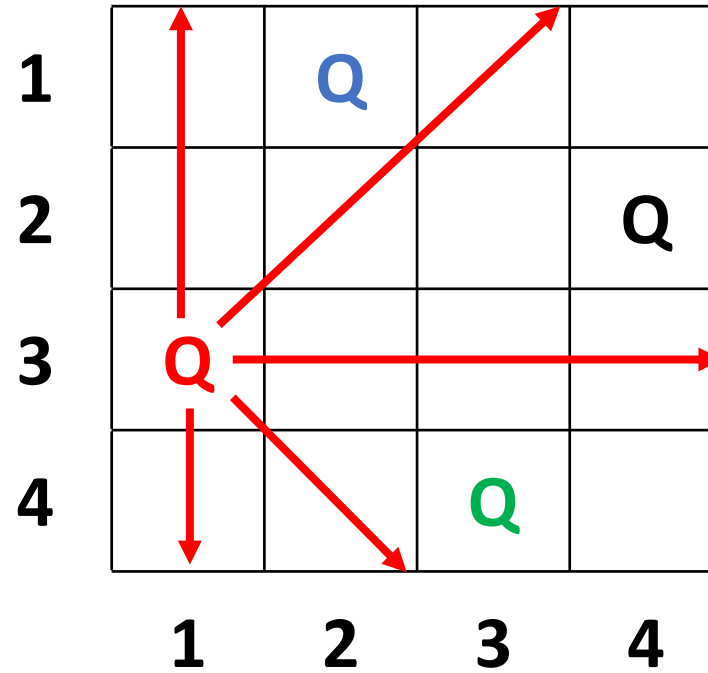
```
while  $D'_i$  is not empty
    select an arbitrary element  $a \in D'_i$  and remove  $a$  from  $D'_i$ 
    if consistent( $a_{i-1}, x_i = a$ )
        return  $a$ ;
end while
return null                //no consistent value
end procedure
```

Constraint Processing,

by R. Dechter

pgs 123-127

Search Performance on N Queens

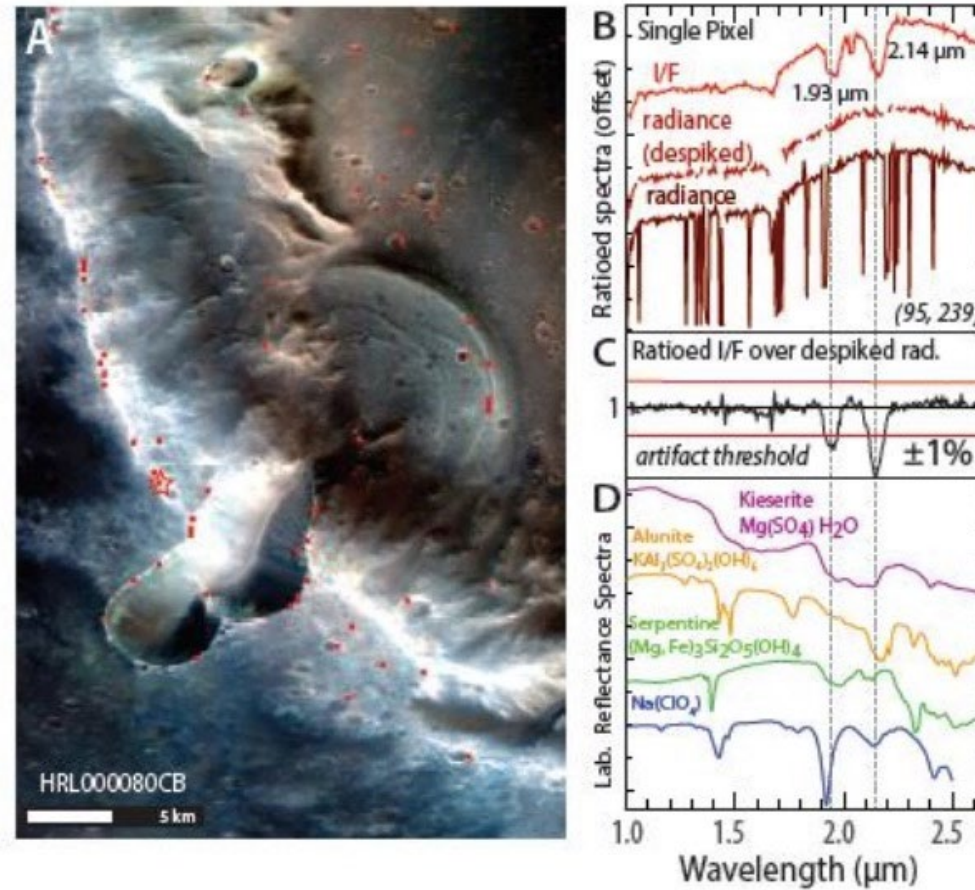


- **Standard Search**
- **Backtracking**

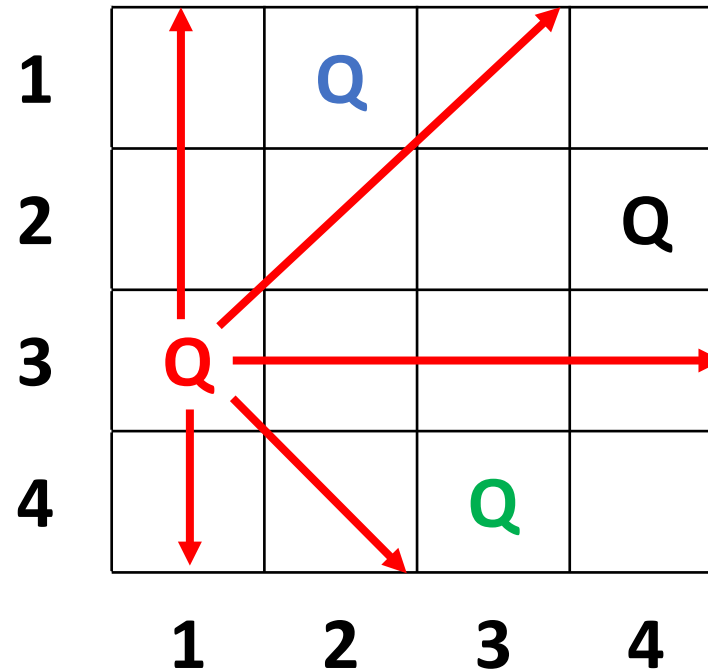
// A handful of queens

// About 15 queens

Mid-lecture break



Search Performance on N Queens



- Standard Search
- Backtracking
- **BT with Forward Checking**

// A handful of queens

// About 15 queens

// About 30 queens

Combining Backtracking and Limited Constraint Propagation

Initially: Prune domains using constraint propagation (optional) Loop:

- If complete consistent assignment, then return it, Else...
- Choose unassigned variable.
- Choose assignment from variable's pruned domain.
- Prune (some) domains using Revise (i.e., arc-consistency).
- If a domain has no remaining elements, then backtrack.

Question: Full propagation is $O(ek^3)$, how much propagation should we do?

Very little (except for big problems)

Forward Checking (FC)

- Check arc consistency ONLY for arcs that terminate on the new assignment [$O(e k)$ total].

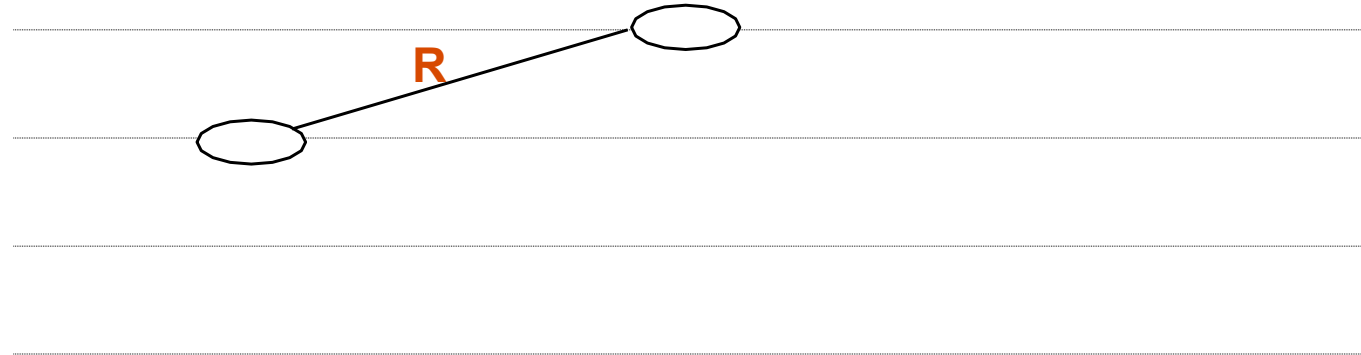
Backtracking with Forward Checking

2. After selecting each assignment, remove any values of neighboring domains that are inconsistent with the new assignment.

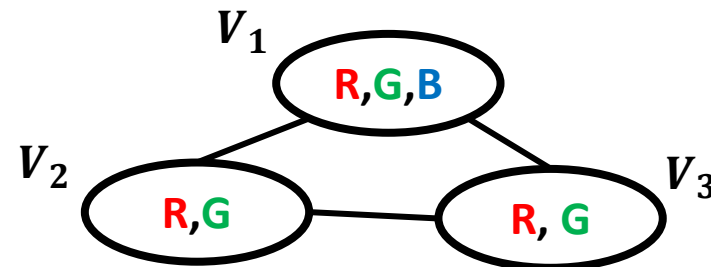
V_1 assignments

V_2 assignments

V_3 assignments



1. Perform initial pruning.



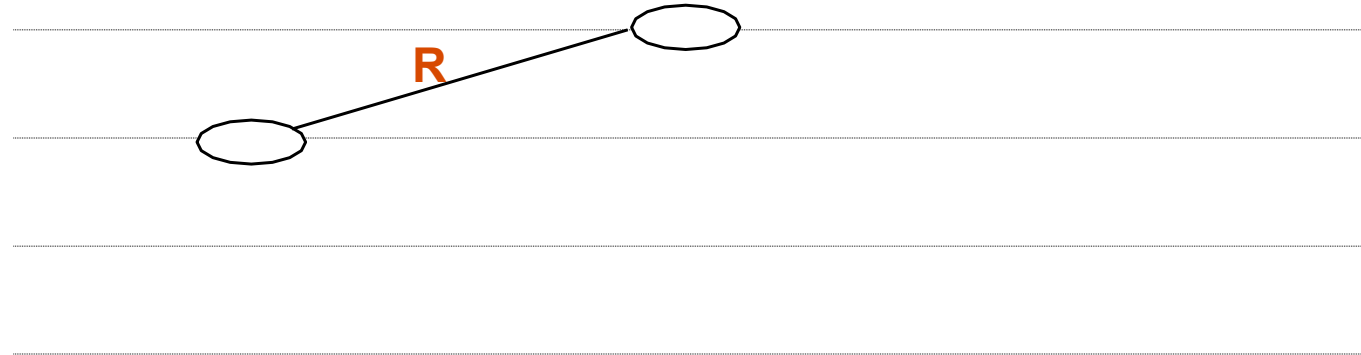
Backtracking with Forward Checking

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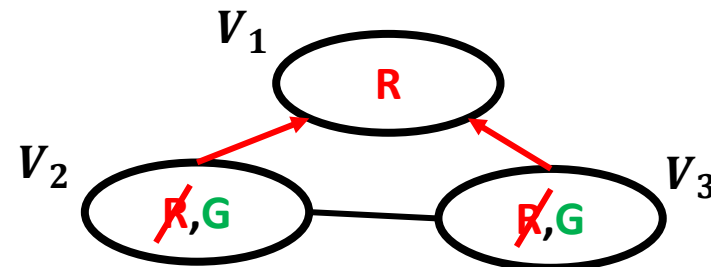
V_1 assignments

V_2 assignments

V_3 assignments



1. Perform initial pruning.



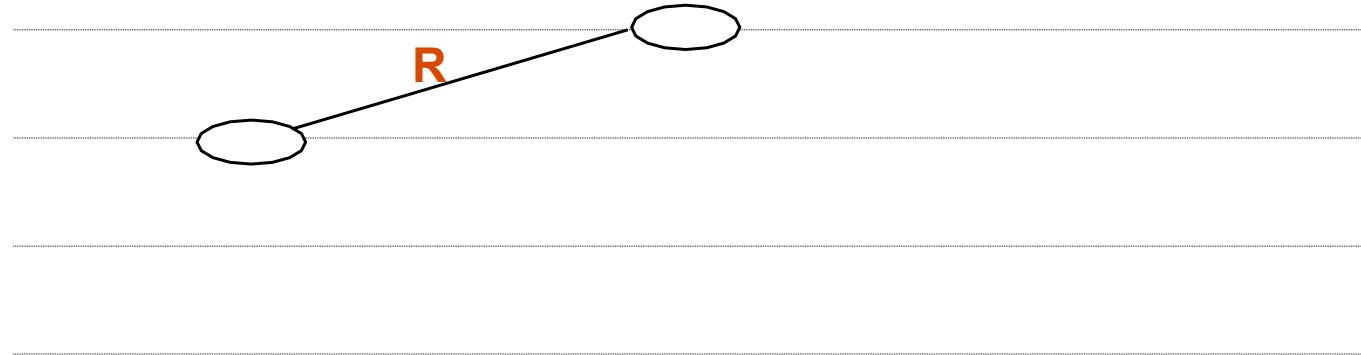
Backtracking with Forward Checking

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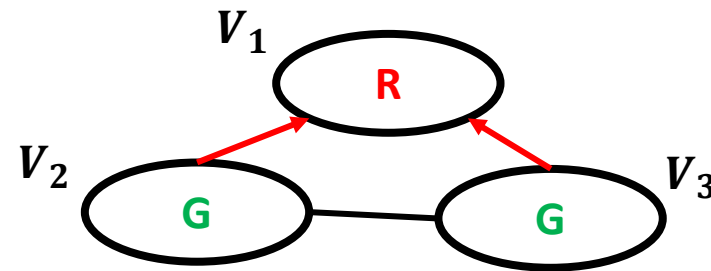
V_1 assignments

V_2 assignments

V_3 assignments

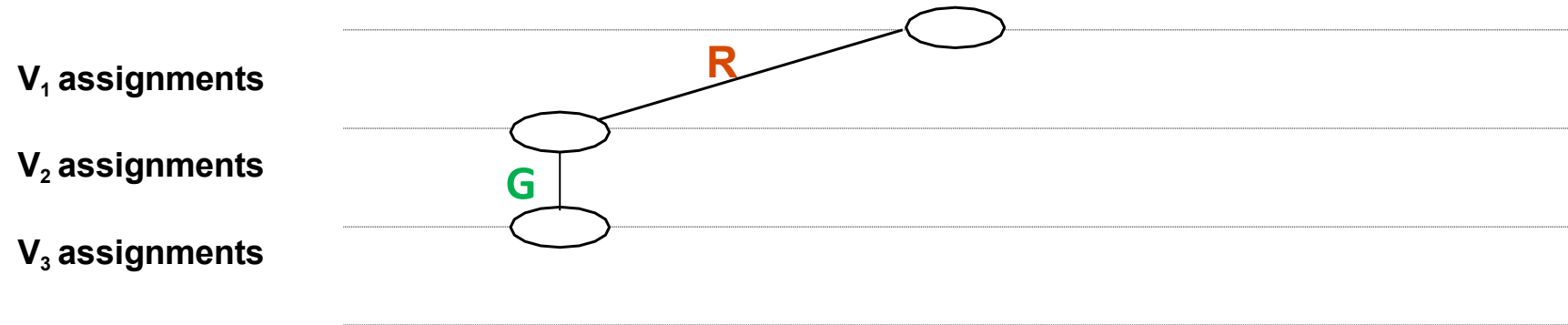


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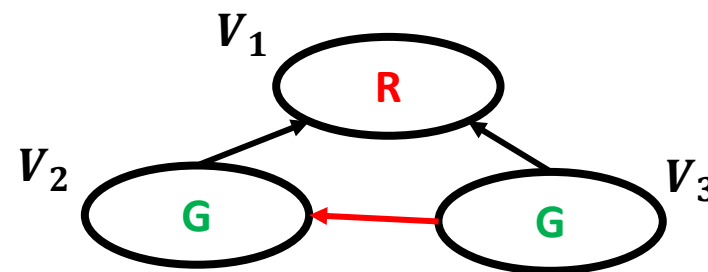


Backtracking with Forward Checking

2. After selecting each assignment, remove any values of neighboring domains that are inconsistent with the new assignment.



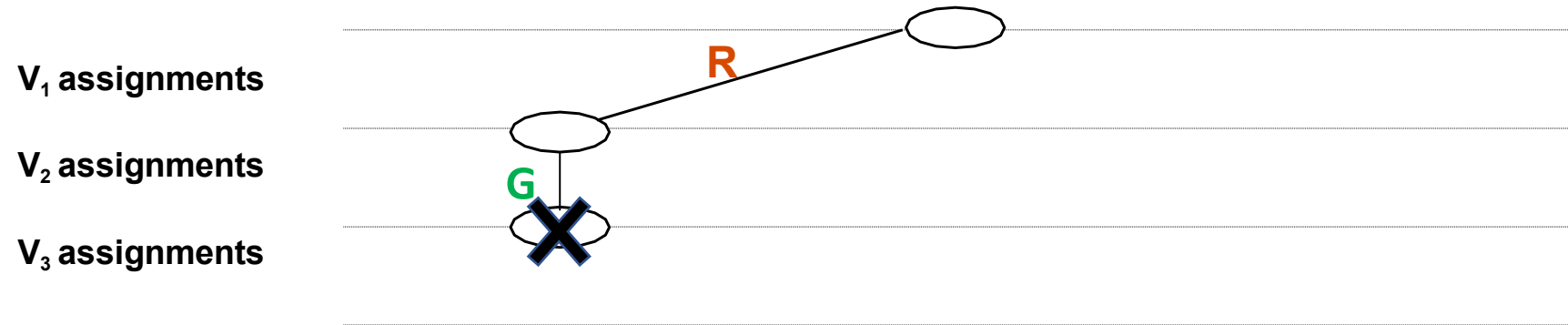
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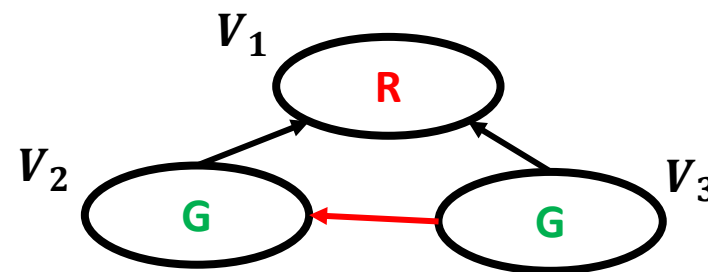
Note: No need to check new assignment against previous assignments

Backtracking with Forward Checking

2. After selecting each assignment, remove any values of neighboring domains that are inconsistent with the new assignment.



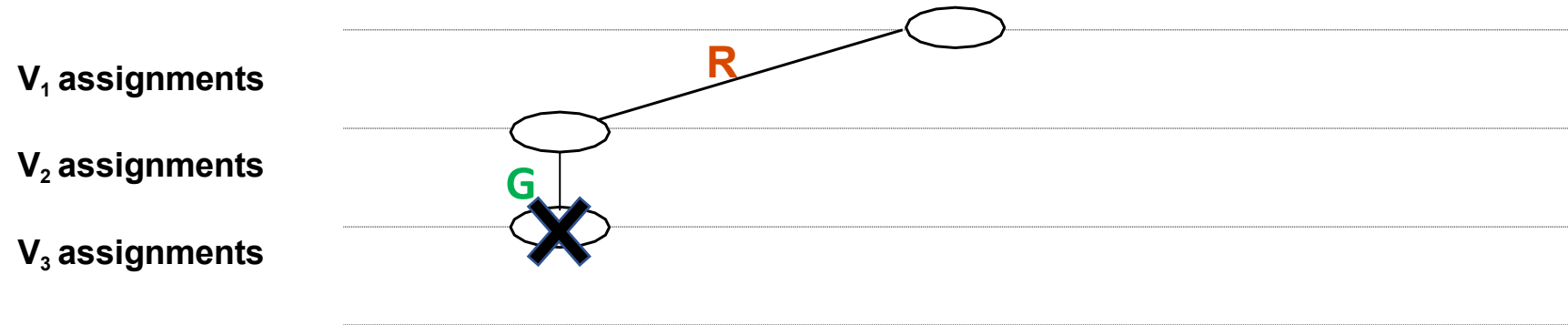
1. Perform initial pruning.



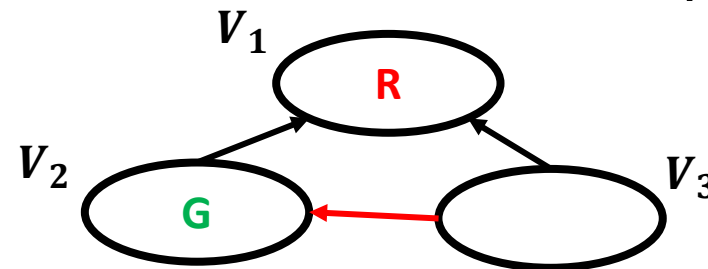
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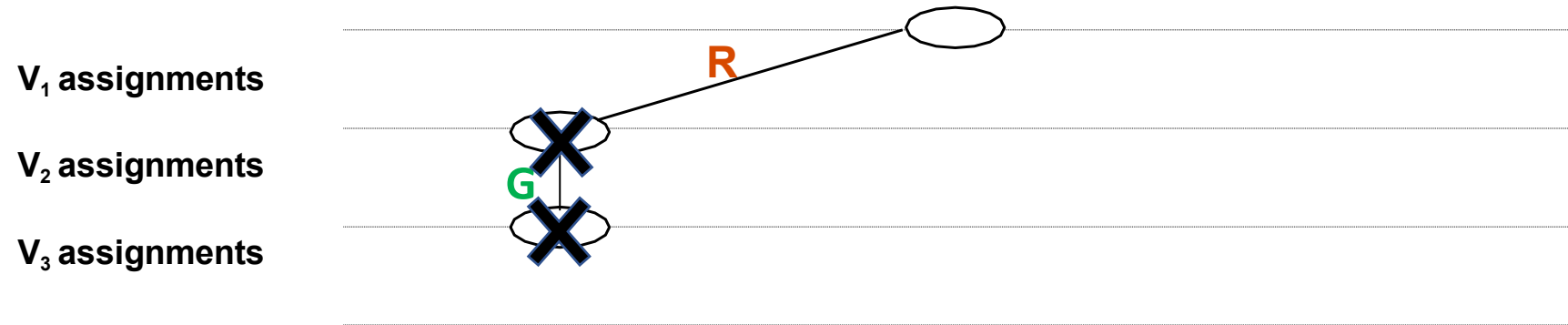
3. We have a conflict whenever a domain becomes empty.
→ Backtrack



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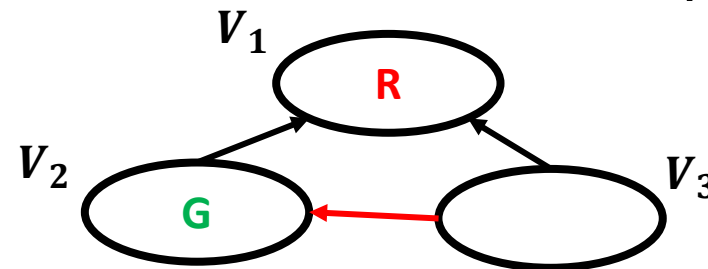
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Backtracking with Forward Checking

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V_1 assignments

V_2 assignments

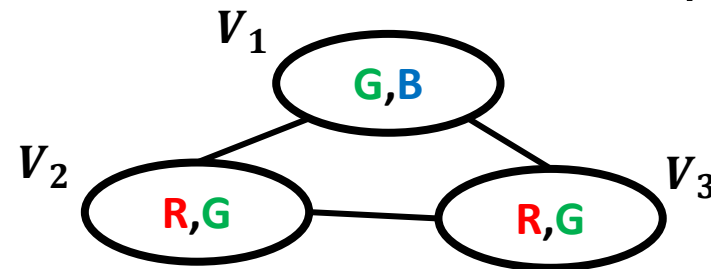
V_3 assignments

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→ Backtrack

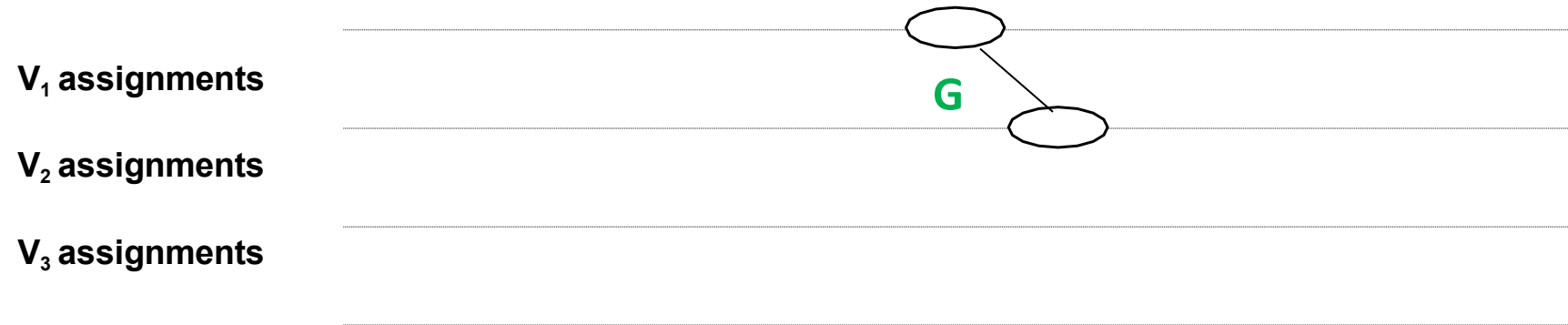
→ Restore Domains

1. Perform initial pruning.



Backtracking with Forward Checking

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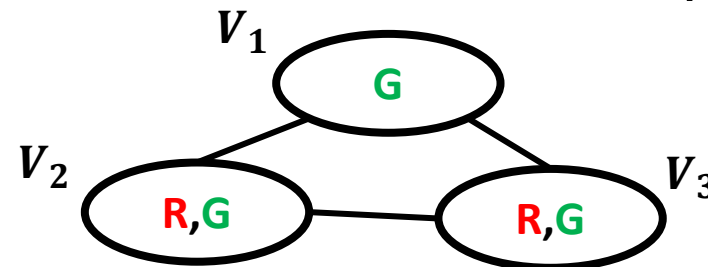


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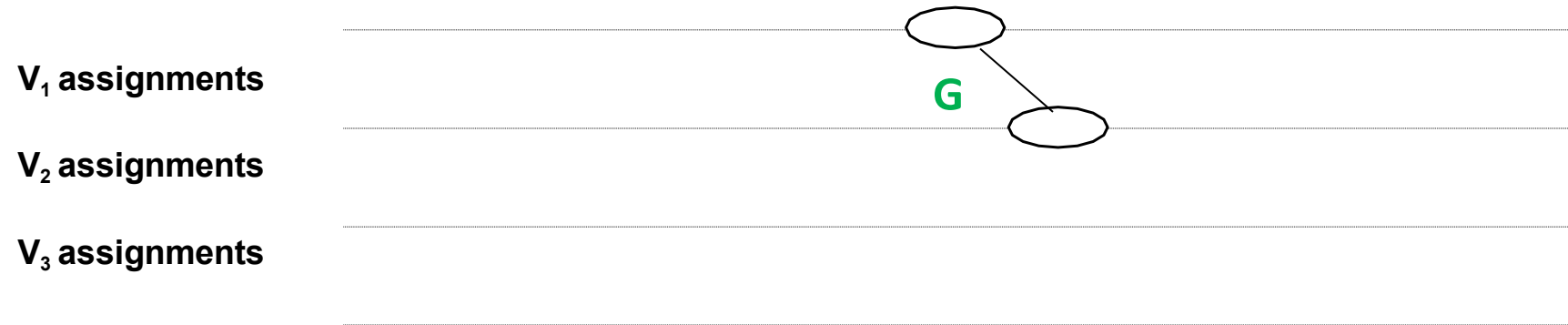
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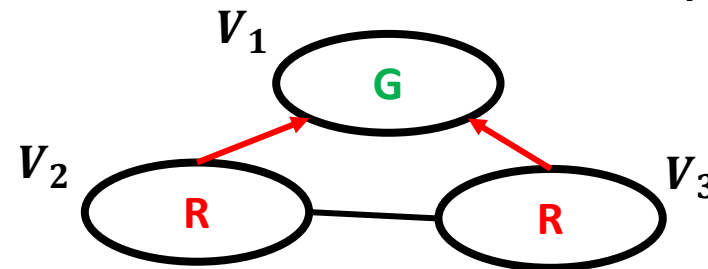


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→ Backtrack

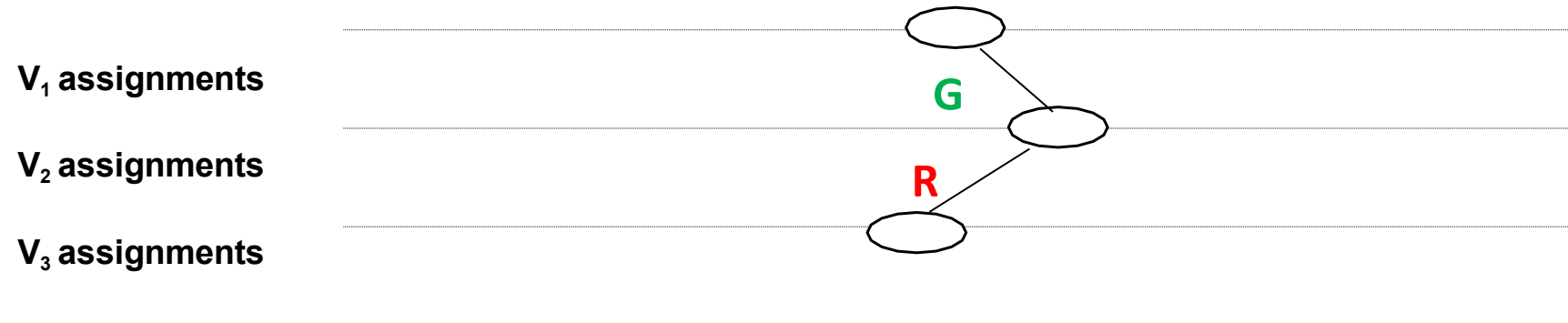
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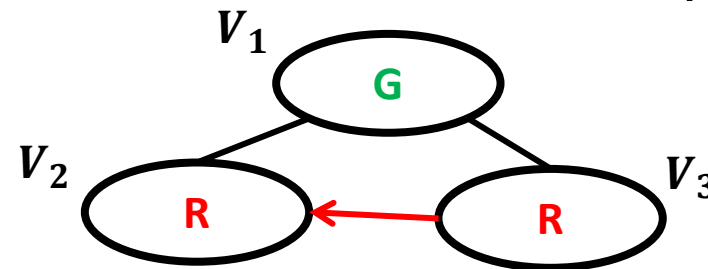


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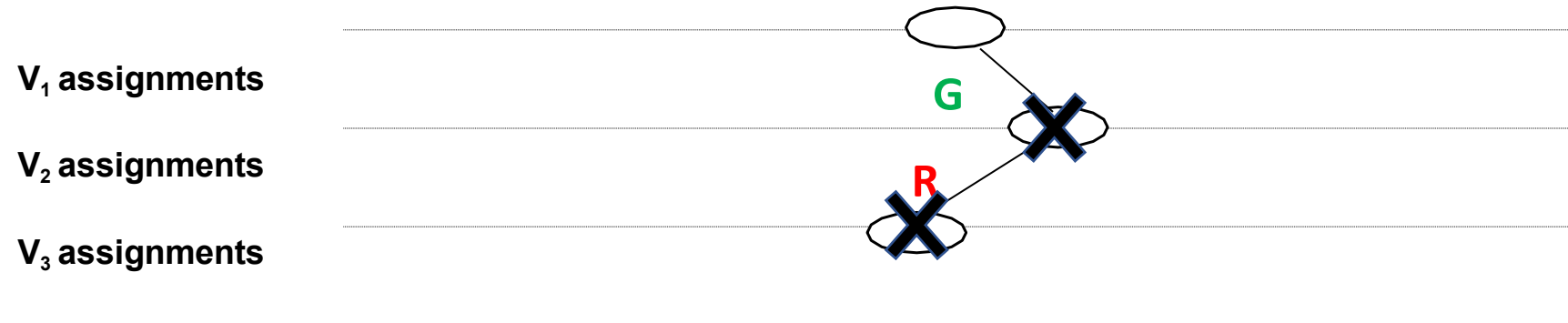
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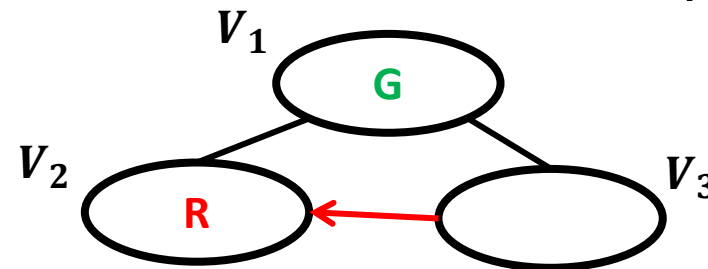


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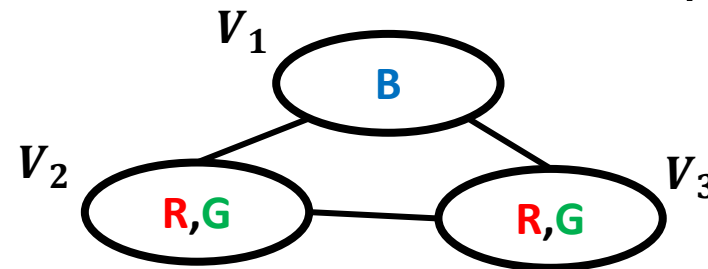


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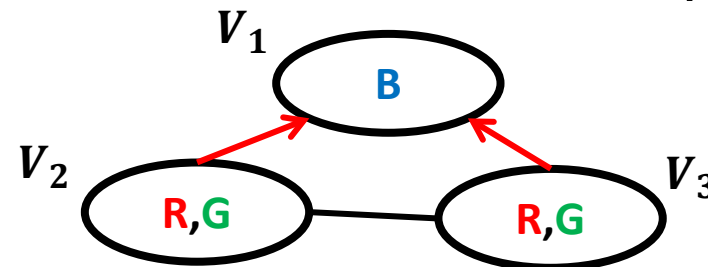


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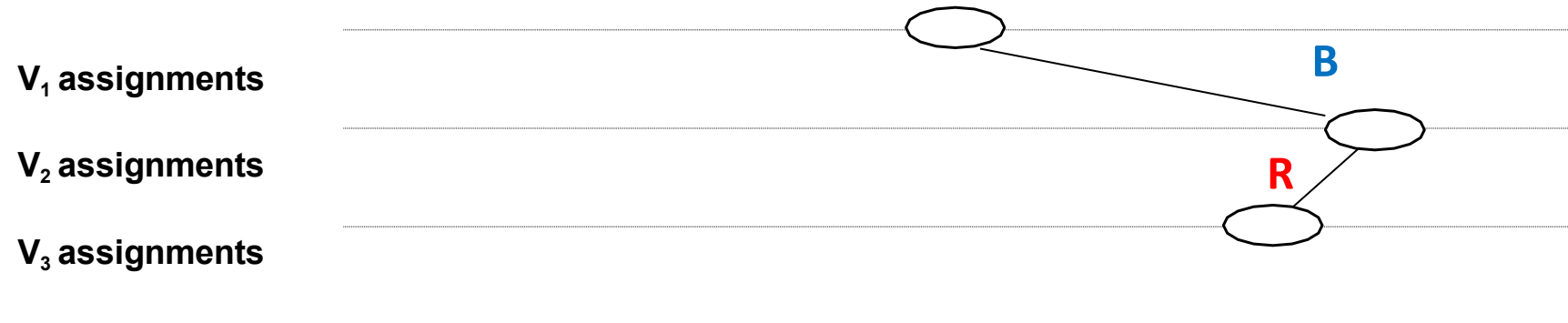
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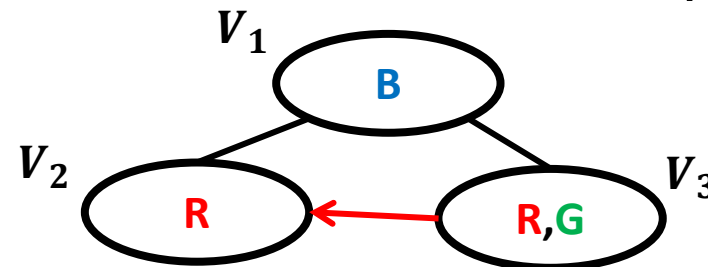


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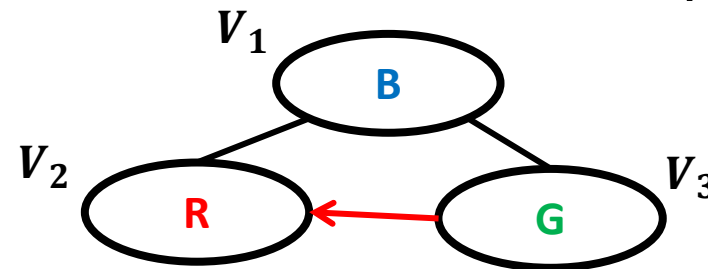


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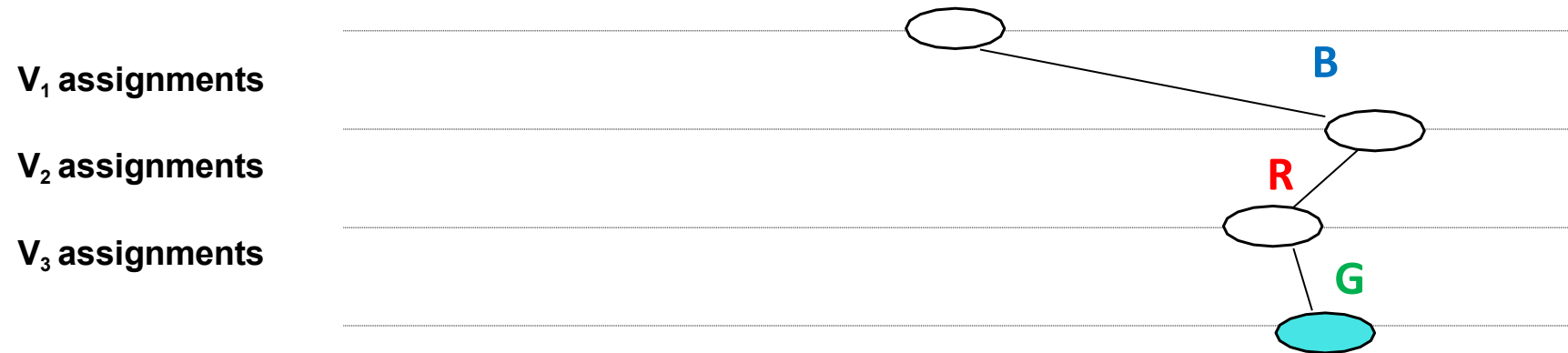
→ Restore Domains

1. Perform initial pruning.



Backtracking with Forward Checking

2. After selecting each assignment, remove any values of neighboring domains that are inconsistent with the new assignment.

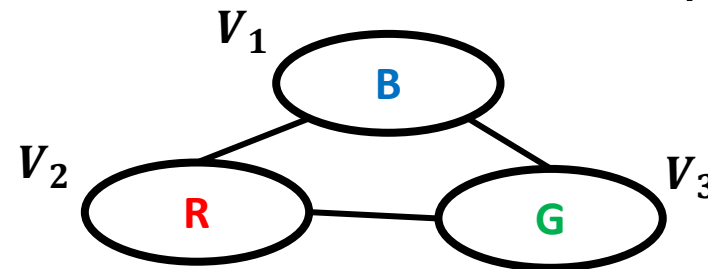


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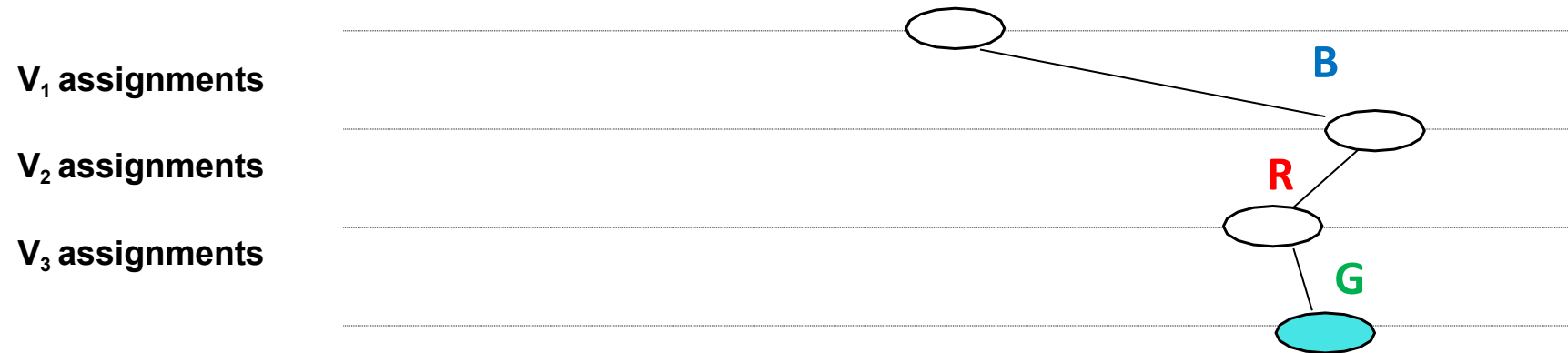
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Solution!

Backtracking with Forward Checking

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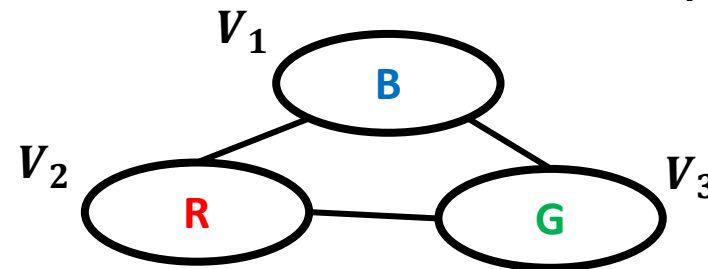


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BT-FC is generally **faster** than pure **BT** because it **avoids rediscovering inconsistencies**.

Procedure Backtrack-Forward-Checking(x, D, C)

Input: A constraint network $R = \langle X, D, C \rangle$

Output: A solution, or notification the network is inconsistent.

Note: Maintains n domain copies D' for resetting, one for each search level i .

1. $D'_i \leftarrow D_i, \forall 1 \leq i \leq n$
2. $i \leftarrow 1; a_i = \{ \}$
3. WHILE $1 \leq i \leq n$
 4. instantiate $x_i \leftarrow \text{Select-Value-FC}()$
 5. IF $x_i = \text{null}$
 6. reset each $D'_k | k \in \{i, \dots, n\}$
 7. $i \leftarrow i - 1$
 8. ELSE
 9. $i \leftarrow i + 1$
10. ENDWHILE
11. IF $i = 0$
 12. RETURN "inconsistent"
13. ELSE
 14. RETURN \vec{a}_i , the instantiated values of $\{x_i, x_{i+1}, \dots, x_n\}$

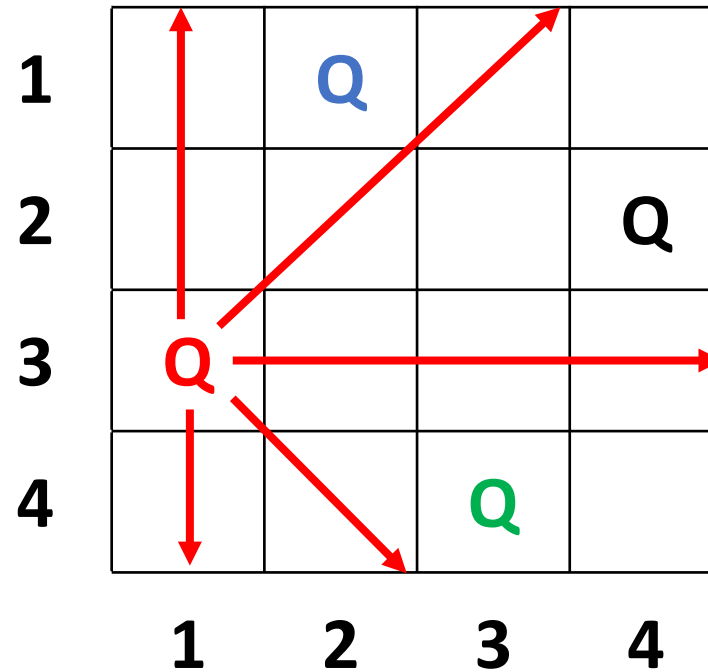
Procedure Select-Value-FC()

Output: A value in D'_i consistent with \vec{a}_{i-1} or null if none.

$O(ek^2)$

```
1. WHILE  $D'_i \neq \emptyset$ 
2.     Pop  $a \in D'_i$ 
3.     FOR all  $k \in \{i + 1, \dots, n\}$ 
4.         FOR all  $b \in D'_k$ 
5.             IF NOT(consistent( $\vec{a}_{i-1}, x_i = a, x_k = b$ ))
6.                 Remove  $b$  from  $D'_k$ 
7.             ENDIF
8.         ENDFOR
9.     ENDFOR
10.    IF  $\exists k \mid D'_k = \emptyset$ 
11.        reset each  $D'_k \mid k \in \{i + 1, \dots, n\}$  to value before  $a$  was selected
12.    ELSE
13.        RETURN  $a$ 
14.    ENDWHILE
15.    RETURN null
```

Search Performance on N Queens



- Standard Search
- Backtracking
- BT with Forward Checking
- Dynamic Variable Ordering

// A handful of queens

// About 15 queens

// About 30 queens

Mid Lecture Break

To solve CSPs, we combine

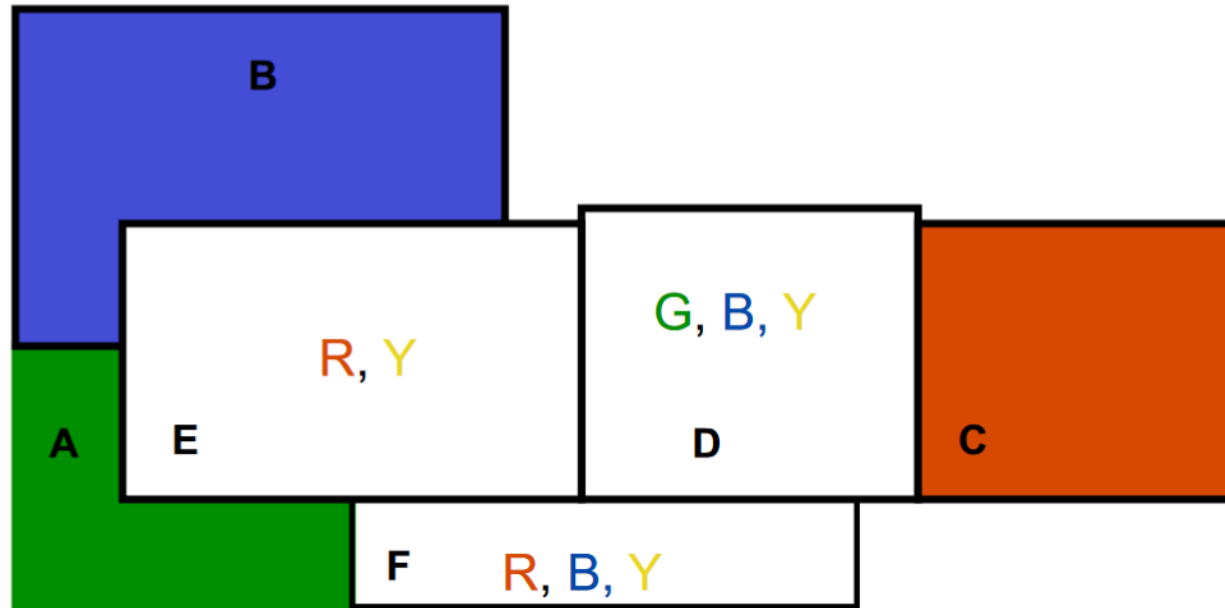
1. Arc consistency (constraint propagation),
 - Eliminates values that are shown locally to not be a part of any solution
2. Search
 - Explores consequences of committing to particular assignments
 - Methods incorporating search:
 - Standard Search
 - Backtrack Search (BT)
 - BT with Forward Checking (FC)
 - Dynamic Variable Ordering (DVO)
 - Iterative Repair
 - Back jumping (BJ)

BT-FC with dynamic ordering

- Traditional backtracking uses a **fixed ordering** over **variables** & **values**.
- Typically better to **choose ordering dynamically** as search proceeds.
- **Most Constrained Variable**
 - When doing forward-checking, **pick variable** with **fewest** legal **values** in domain to assign next → **Minimizes branching** factor.
- **Least Constraining Value**
 - **Choose value** that **rules out** the **smallest number** of **values** in variables **connected** to the **chosen variable** by constraints → **Leaves most options** to finding a satisfying assignment.

Example

Colors: R, G, B, Y



Which country should we color next? E **most-constrained variable** (smallest domain).

What color should we pick for it? **RED** **least-constraining value** (eliminates fewest values from neighboring domains).

Procedure Dynamic-Var-Forward-Checking(x,D,C)

Input: A constraint network $R = \langle X, D, C \rangle$

Output: A solution, or notification the network is inconsistent.

```

 $D'_i \leftarrow D_i, \forall 1 \leq i \leq n$ 
 $i \leftarrow 1; \quad a_i = \{\}$ 
 $s = \min_{i < j \leq n} |D'_j|$ 
 $x_{i+1} \leftarrow x_s$ 
while  $1 \leq i \leq n$ 
    instantiate  $x_i \leftarrow \text{Select-Value-FC}()$ ;
    if  $x_i$  is null
        reset each  $D'_k$  for  $k > i$ , to its value before  $x_i$  was last instantiated;
         $i \leftarrow i - 1$ ;
    else
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        else
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    end while
if  $i = 0$ 
    return "inconsistent"
else
    return  $\vec{a}_i$ , the instantiated values of  $\{x_i, \dots, x_n\}$ 
end procedure

```

Copy all domains

Init variable counter and assignments

Find unassigned variable w smallest domain

Rearrange variables so that x_s follows x_i

Select value (dynamic) and add to assignments, a_i
 No value to assign was returned.

Backtrack

Step forward to x_s

Find unassigned variable w smallest domain

Rearrange variables so that x_s follows x_i

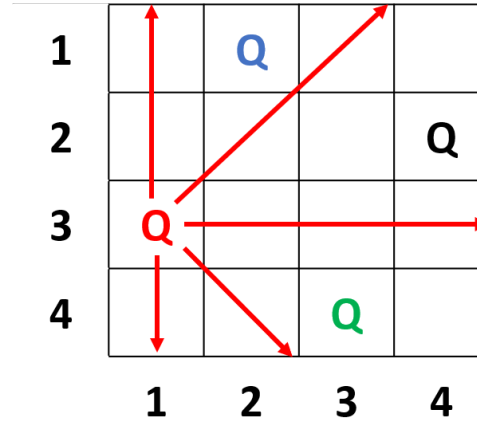
Step forward to x_s

Constraint Processing,

by R. Dechter

pgs 137-140

Search Performance on N Queens



- Standard Search
- Backtracking
- BT with Forward Checking
- **Dynamic Variable Ordering**
- Iterative Repair
- Conflict-directed Back Jumping

// A handful of queens

// About 15 queens

// About 30 queens

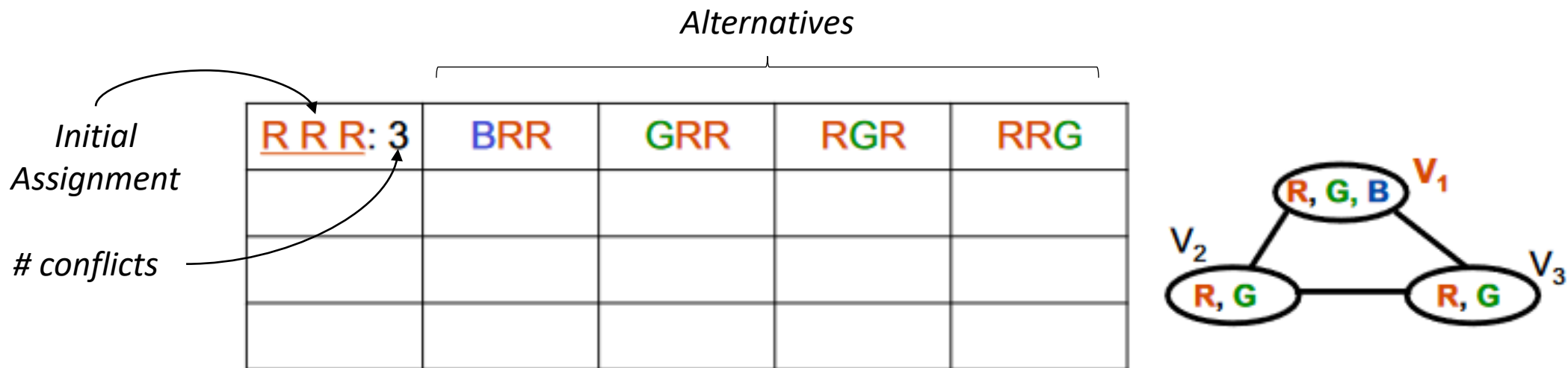
// About 1,000 queens

// About 10,000,000 queens

Iterative Repair (Min-Conflict Heuristic)

1. **Initialize** a candidate solution using a “greedy” heuristic.
 - Gets the candidate “near” a solution
2. Select a **variable** in a conflict and assign it a value that minimizes the number of conflicts (break ties randomly).

The heuristic is used in a local hill-climber (with or without backup)

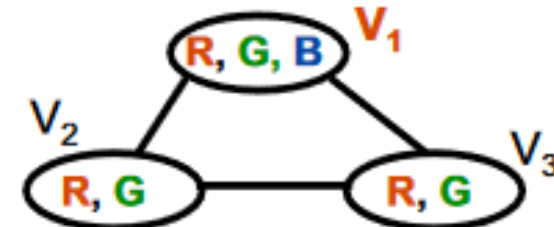


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<u>R</u> R R: 3	BRR	GRR	RGR	RRG
<u>B</u> <u>R</u> R: 1	RRR	GRR	BGR	BRG

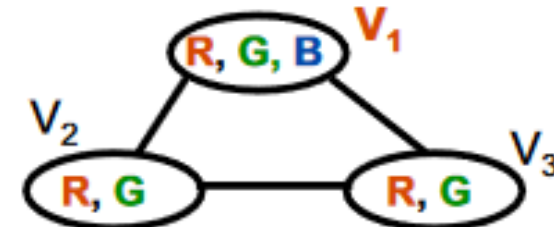


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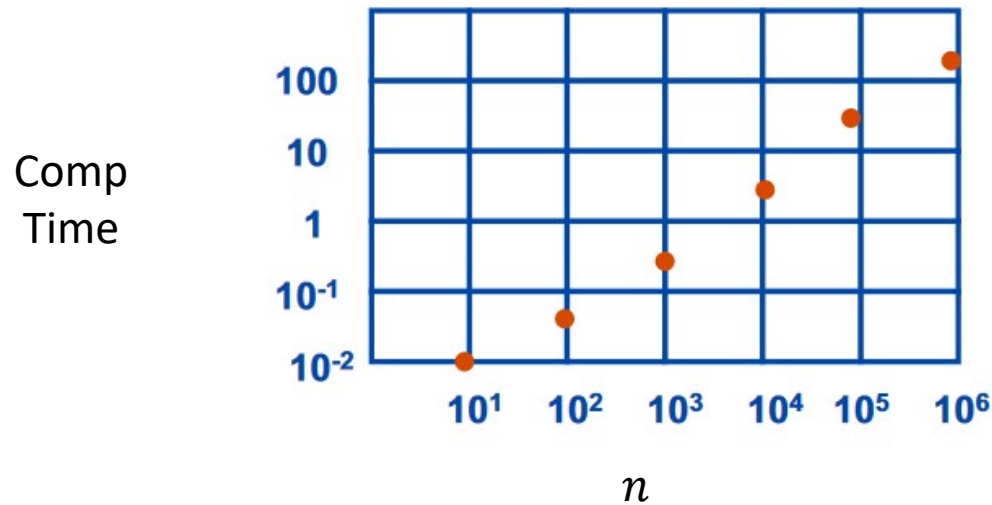
<u>R</u> R R: 3	BRR	GRR	RGR	RRG
B <u>R</u> R: 1	BRR	GRR	BGR	BRG
B G <u>R</u> : 0				



Min-Conflict Heuristic

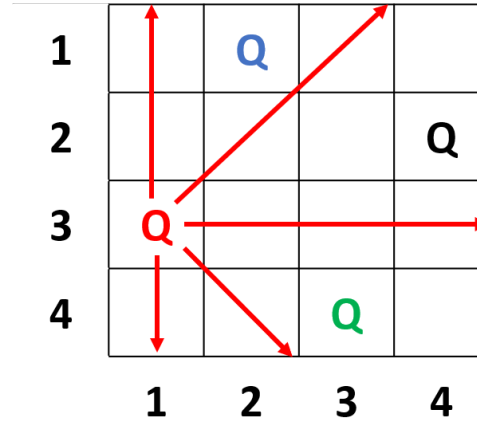
Pure hill climber (without backtracking) gets stuck in local minima:

- Add random moves to attempt to get out of local minima
- Add weights on violated constraints and increase weight every cycle the constraints remains violated



GSAT: Randomized hill climber used to solve propositional logic SATisfiability problems

Search Performance on N Queens



- **Standard Search**
- **Backtracking**
- **BT with Forward Checking**
- **Dynamic Variable Ordering**
- **Iterative Repair**
- **Conflict-directed Back Jumping**

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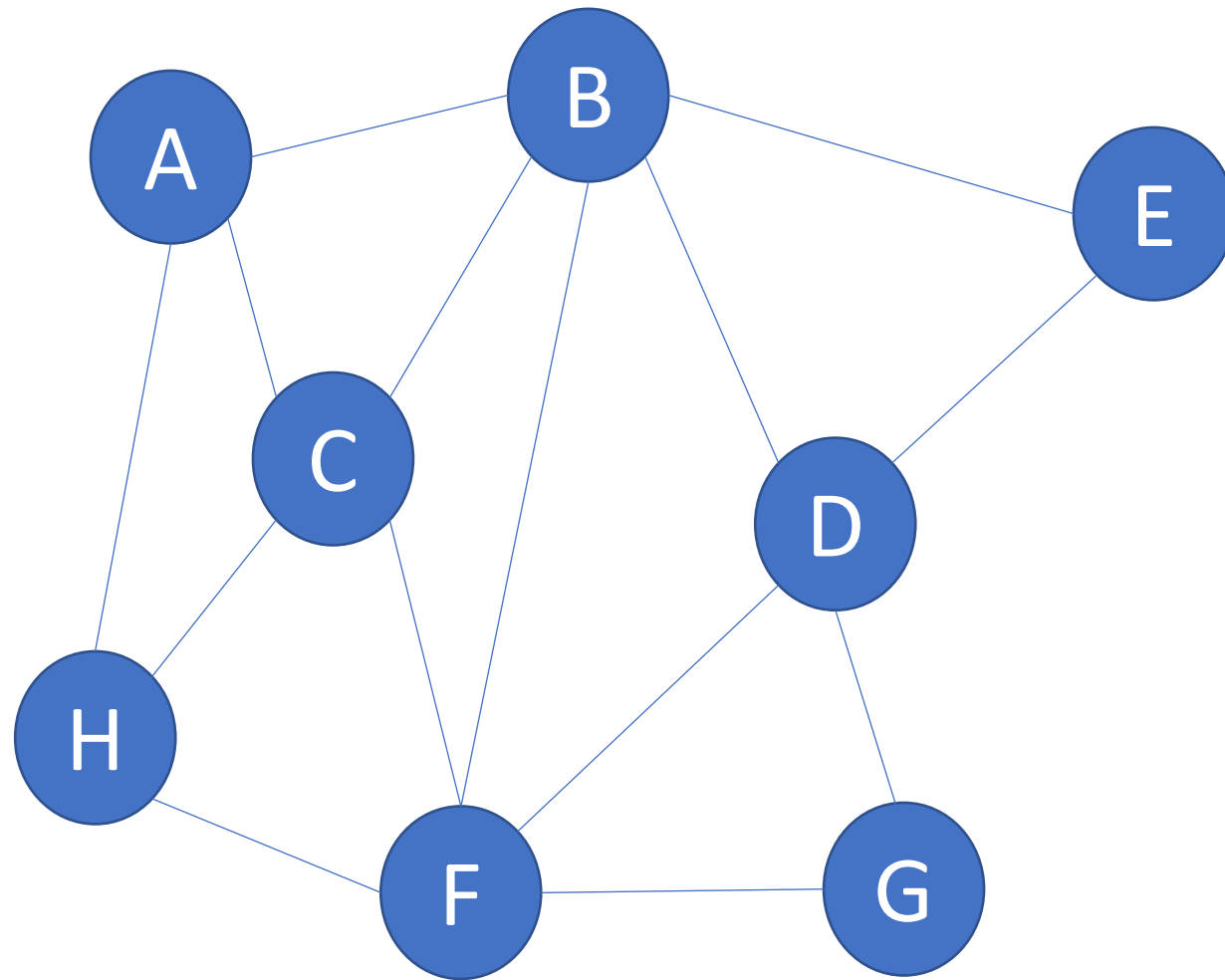
// **About 1,000 queens**

// **About 10,000,000 queens**

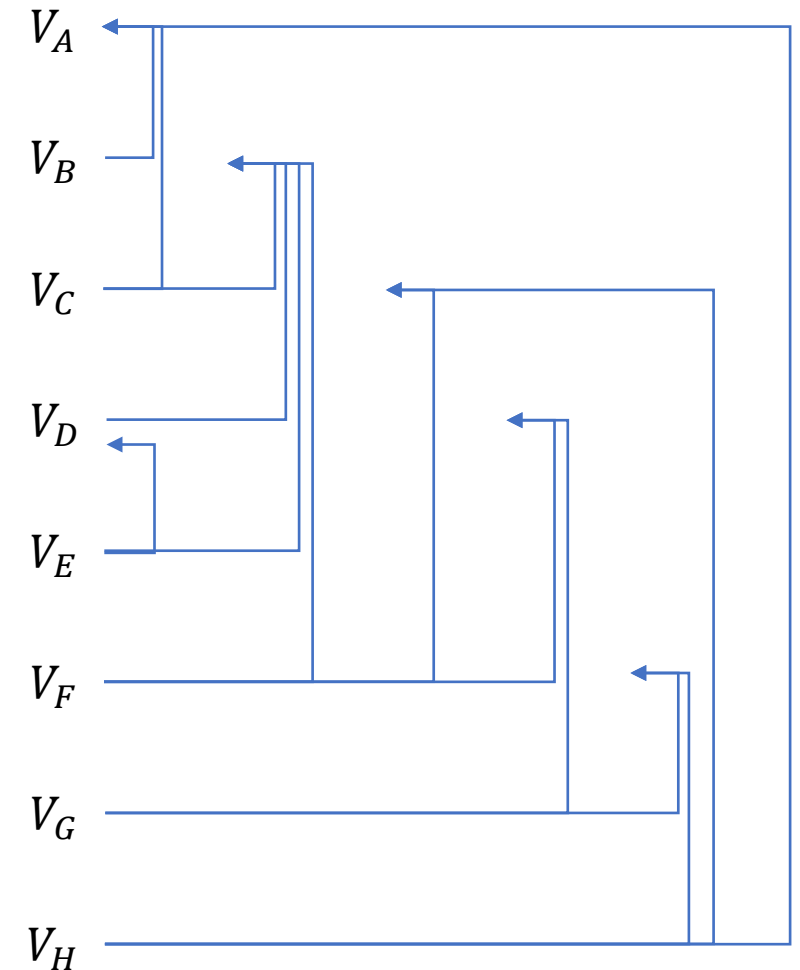
Back Jumping

- **Backtracking:** At dead end, backup to the most recent variable.
- **Backjumping:** At dead end, backup to the most recent variable that eliminated some value in the domain of the dead-end variable.

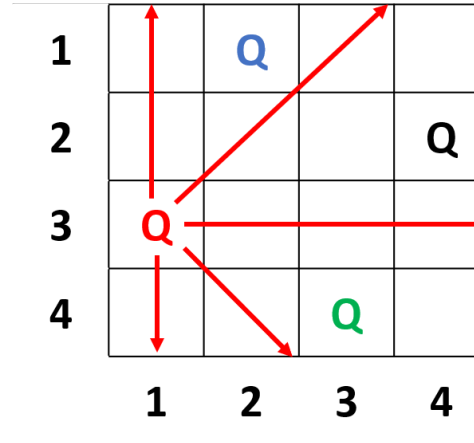
Back Jumping



Variables and Instantiation order
Checking back



Search Performance on N Queens



- **Standard Search**
- **Backtracking**
- **BT with Forward Checking**
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