

# Simple Games

Maria Serna

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- 1 Simple Games
- 2 Problems on simple games

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  - $N$  is a set of players,
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  - $\mathcal{L} = \mathcal{P}(N) \setminus \mathcal{W}$  is the set of *losing coalitions*.

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- Members of  $N = \{1, \dots, n\}$  are called *players* or *voters*.  
Any set of voters is called a *coalition*
  - $N$  is the *grand coalition*
  - $\emptyset$  is the *null coalition*
  - the subsets of  $N$  that are in  $\mathcal{W}$  are the *winning coalitions*
  - A subset of  $N$  that is not in  $\mathcal{W}$  is a *losing coalition*.

# Simple games: Representation

Due to monotonicity, any one of the following families of coalitions define a simple game on a set of players  $N$ :

- *winning coalitions*  $\mathcal{W}$ .
- *losing coalitions*  $\mathcal{L}$ .
- *minimal winning coalitions*  $\mathcal{W}^m$   
$$\mathcal{W}^m = \{X \in \mathcal{W}; \forall Z \in \mathcal{W}, Z \not\subseteq X\}$$
- *maximal losing coalitions*  $\mathcal{L}^M$   
$$\mathcal{L}^M = \{X \in \mathcal{L}; \forall Z \in \mathcal{L}, X \not\subseteq Z\}$$

This provides us with many representation forms for simple games.

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- WVG can be represented by a tuple of integers  $(q; w_1, \dots, w_n)$ .

as **any weighted game admits such an integer realization**,  
[Carreras and Freixas, Math. Soc.Sci., 1996]

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Both are simple games

- A simple game  $\Gamma$  is a **vector weighted voting game** if there are WVGs  $\Gamma_1, \dots, \Gamma_k$ , for some  $k \geq 1$ , so that  $\Gamma = \Gamma_1 \cap \dots \cap \Gamma_k$ .

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- Assume it is given by  $(q; w_1, w_2, w_3, w_4)$ .
- We have  $w_1 + w_2 \geq q$  and  $w_3 + w_4 \geq q$ .
- Thus  $\max\{w_1, w_2\} \geq q/2$  and  $\max\{w_3, w_4\} \geq q/2$ ,
- So,  $\max\{w_1, w_2\} + \max\{w_3, w_4\} \geq q$  which cannot be.



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Any set that is not contained in  $C$  wins!
  - The intersection of the above games describes  $\Gamma$ .  
A winning coalition cannot be a subset of any losing coalition.
- The **dimension** of a simple games is the minimum number of VWGs that allows its representation as VWVG

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## A representation as WVGs

The game  $\Gamma$  with  $N = \{1, 2, 3, 4\}$  where the minimal winning coalitions are the sets  $\{1, 2\}$  and  $\{3, 4\}$  is not a WVG.

- The maximal losing coalitions are  $\{\{1, 3\}, \{1, 4\}, \{2, 3\}, \{2, 4\}\}$
- This gives four WVG, according to the previous construction

$$\Gamma = [1; 0, 1, 0, 1] \cap [1; 0, 1, 1, 0] \cap [1; 1, 0, 0, 1] \cap [1; 1, 0, 1, 0].$$

# Input representations

- Simple Games  
 $(N, \mathcal{W})$ : extensive winning,  $(N, \mathcal{W}^m)$ : minimal winning  
 $(N, \mathcal{L})$ : extensive losing,  $(N, \mathcal{L}^M)$  maximal losing  
 $(N, C)$ : monotone circuit winning  
 $(N, F)$ : monotone formula winning,
- Weighted voting games:  $(q; w_1, \dots, w_n)$
- Vector weighted voting games:  
 $(q_1; w_1^1, \dots, w_n^1), \dots, (q_k; w_1^k, \dots, w_n^k)$

All numbers are integers

# The core of simple games

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- It is standard to assume that the grand coalition forms, even if the simple game is not superadditive.
- A player is a **veto player** if  $v(C) = 0$ , for any  $C \subseteq N \setminus \{i\}$ .
- Ex: Consider the unanimity game  $(N, v)$  where  $v(C) = 0$ , if  $C \neq N$  and  $v(N) = 1$ .

The game indeed is a simple game and can be described in (minimal) winning form by  $(N, \{N\})$ .

In the unanimity game all players are veto players.

# The core of simple games

## Theorem

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*A simple game has non-empty core iff it has a veto player.*

- If  $\Gamma$  has a veto player  $i$ .
  - Consider the payoff  $x_i = 1$  and  $x_j = 0$ , for  $j \neq i$
  - For  $C$  with  $i \in C$ ,  $v(C) = 1$  and  $x(C) = 1$ .
  - For  $C$  with  $i \notin C$ ,  $v(C) = 0$  and  $x(C) = 0$ .
  - Thus,  $x$  is in the core.
- If  $\Gamma$  does not have a veto player and non-empty core.
  - Consider a payoff  $x$  that is in the core.
  - $x(N) = v(N) = 1$ , so there exists  $i$  with  $x_i > 0$ .
  - So,  $x(N \setminus \{i\}) < 1$ . But,  $v(N \setminus \{i\}) = 1$  as  $i$  is not a veto player.
  - Thus,  $x$  is not in the core.

# Is the core empty?

- Determining if the core is empty or not can be done by checking for every player whether it is a veto player or not.
- For this it is enough to check whether  $v(N \setminus \{i\}) = 0$ .
- For reasonable  $v$ , polynomial time computable, this can be done in poly time



## Shapley value and Banzhaf index

- Player  $i$  is **pivotal** for coalition  $C$  if  $v(C) = 1$  and  $v(C \setminus \{i\}) = 0$ .
- The sum counts those the terms for which the player is pivota.

$$\varphi_i(\Gamma) = \frac{1}{n!} |\{i \text{ is pivotal for } S_\pi(i)\}|$$

- $\varphi_i(\Gamma)$  is the probability that player  $i$  turns a losing coalition into a winning one.
- The Banzhaf value gives the probability of this fact over **random** coalitions.  
Players in  $N \setminus \{i\}$  select to be or not in the coalition tossing a fair coin.

1 Simple Games

**2 Problems on simple games**

# Problems on simple games

In general we state a property  $P$ , for simple games, and consider the associated decision problem which has the form:

*Name:* IsP

*Input:* A simple game/WVG/VWVG  $\Gamma$

*Question:* Does  $\Gamma$  satisfy property  $P$ ?

# Four properties

A simple game  $(N, \mathcal{W})$  is

- **strong** if  $S \notin \mathcal{W}$  implies  $N \setminus S \in \mathcal{W}$ .
- **proper** if  $S \in \mathcal{W}$  implies  $N \setminus S \notin \mathcal{W}$ .
- a **weighted voting game**.
- a **vector weighted voting game**.

# IsStrong: Simple Games

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# IsStrong: Simple Games

$\Gamma$  is **strong** if  $S \notin \mathcal{W}$  implies  $N \setminus S \in \mathcal{W}$

## Theorem

*The ISSTRONG problem, when  $\Gamma$  is given in explicit winning or losing form or in maximal losing form can be solved in polynomial time.*

- First observe that, given a family of subsets  $F$ , we can check, for any set in  $F$ , whether its complement is not in  $F$  in polynomial time.
- Therefore, the ISSTRONG problem, when the input is given in explicit winning or losing form is polynomial time solvable.

# IsStrong: Simple Games losing forms

$\Gamma$  is **strong** if  $S \notin W$  implies  $N \setminus S \in W$

- A simple game is not strong iff

$$\exists S \subseteq N : S \in \mathcal{L} \wedge N \setminus S \in \mathcal{L}$$



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which is equivalent to

$$\exists S \subseteq N : \exists L_1, L_2 \in \mathcal{L}^M : S \subseteq L_1 \wedge N \setminus S \subseteq L_2$$

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- which is equivalent to there are two maximal losing coalitions  $L_1$  and  $L_2$  such that  $L_1 \cup L_2 = N$ .

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- This can be checked in polynomial time, given  $\mathcal{L}^M$ .



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$\Gamma$  is **strong** if  $S \notin \mathcal{W}$  implies  $N \setminus S \in \mathcal{W}$

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- The property can be expressed as

$$\forall S [(S \in \mathcal{W}) \text{ or } (S \notin \mathcal{W} \text{ and } N \setminus S \in \mathcal{W})]$$

- Observe that the property  $S \in \mathcal{W}$  can be checked in polynomial time given  $S$  and  $\mathcal{W}^m$ .
- Thus the problem belongs to coNP.

## IsStrong: minimal winning forms

- We provide a polynomial time reduction from the complement of the NP-complete **set splitting** problem.
- An instance of the **set splitting problem** is a collection  $C$  of subsets of a finite set  $N$ . The question is whether it is possible to partition  $N$  into two subsets  $P$  and  $N \setminus P$  such that no subset in  $C$  is entirely contained in either  $P$  or  $N \setminus P$ .

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- We have to decide whether  $P \subseteq N$  exists such that

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We associate to a set splitting instance  $(N, C)$  the simple game in explicit minimal winning form  $(N, C^m)$ .



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- This implies  $S \not\subseteq P$  and  $S \not\subseteq N \setminus P$ , for any  $S \in C$  since any set in  $C$  contains a set in  $C^m$ .
- Therefore,  $(N, C)$  has a set splitting iff  $(N, C^m)$  is not proper.

## IsProper: winning forms

$\Gamma$  is **proper** if  $S \in \mathcal{W}$  implies  $N \setminus S \notin \mathcal{W}$ .

### Theorem

*The ISPROPER problem, when the game is given in explicit winning or losing form or in minimal winning form, can be solved in polynomial time.*

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### Theorem

*The ISPROPER problem, when the game is given in explicit winning or losing form or in minimal winning form, can be solved in polynomial time.*

- As before, given a family of subsets  $F$ , we can check, for any set in  $F$ , whether its complement is not in  $F$  in polynomial time.

Taking into account the definitions, the ISPROPER problem is polynomial time solvable for the explicit forms



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- Which can be checked in polynomial time when  $\mathcal{W}^m$  is given.

## IsProper: maximal losing form

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- A game is *not proper* iff

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- Therefore ISPROPER belongs to coNP.

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To show that the problem is also coNP-hard we provide a reduction from the IsStrong problem for games given in extensive minimal winning form.



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- If a family  $C$  of subsets of  $N$  is minimal then the family  $\{N \setminus L : L \in C\}$  is maximal.
- Given a game  $\Gamma = (N, \mathcal{W}^m)$ , in minimal winning form, we construct the game  $\Gamma' = (N, \{N \setminus L : L \in \mathcal{W}^m\})$  in maximal losing form.
- Which can be obtained in polynomial time.

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- Which can be obtained in polynomial time.
- Besides,  $\Gamma$  is strong iff  $\Gamma'$  is proper.

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*Question:* Is there  $S \subseteq \{1, \dots, n\}$  for which

$$\sum_{i \in S} x_i = \sum_{i \notin S} x_i.$$

Observe that, for any instance of the PARTITION problem in which the sum of the  $n$  input numbers is odd, the answer must be NO.

# Weighted voting games

## Theorem

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*The ISSTRONG and the ISPROPER problems, when the input is described by an integer realization of a weighted game  $(q; w)$ , are coNP-complete.*

- From the definitions of strong, proper it is straightforward to show that both problems belong to coNP.
- Observe that the weighted game with integer representation  $(2; 1, 1, 1)$  is both proper and strong.

# Hardness

We transform an instance  $x = (x_1, \dots, x_n)$  of PARTITION into a realization of a weighted game according to the following schema

$$f(x) = \begin{cases} (q(x); x) & \text{when } x_1 + \dots + x_n \text{ is even,} \\ (2; 1, 1, 1) & \text{otherwise.} \end{cases}$$

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- Function  $f$  can be computed in polynomial time provided  $q$  does.
- Independently of  $q$ , when  $x_1 + \dots + x_n$  is *odd*,  $x$  is a NO input for partition, but  $f(x)$  is a YES instance of ISSTRONG or ISPROPER.

# IsStrong

Assume that  $x_1 + \cdots + x_n$  is even.

Let  $s = (x_1 + \cdots + x_n)/2$  and  $N = \{1, \dots, n\}$ .

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- If there is  $S \subset N$  such that  $\sum_{i \in S} x_i = s$ , then  $\sum_{i \notin S} x_i = s$ , thus both  $S$  and  $N \setminus S$  are losing coalitions and  $f(x)$  is not strong.

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- If  $S$  and  $N \setminus S$  are losing coalitions in  $f(x)$ .  
If  $\sum_{i \in S} x_i < s$  then  $\sum_{i \notin S} x_i \geq s + 1$ ,  $N \setminus S$  should be winning.  
Thus  $\sum_{i \in S} x_i = \sum_{i \notin S} x_i = s$ , and there exists a partition of  $x$ .

# IsProper

Assume that  $x_1 + \cdots + x_n$  is even.

Let  $s = (x_1 + \cdots + x_n)/2$  and  $N = \{1, \dots, n\}$ .

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# IsProper

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- If there is  $S \subset N$  such that  $\sum_{i \in S} x_i = s$ , then  $\sum_{i \notin S} x_i = s$ , thus both  $S$  and  $N \setminus S$  are winning coalitions and  $f(x)$  is not proper.

# IsProper

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- If there is  $S \subset N$  such that  $\sum_{i \in S} x_i = s$ , then  $\sum_{i \notin S} x_i = s$ , thus both  $S$  and  $N \setminus S$  are winning coalitions and  $f(x)$  is not proper.
- When  $f(x)$  is not proper

$$\exists S \subseteq N : \sum_{i \in S} x_i \geq s \wedge \sum_{i \notin S} x_i \geq s,$$

and thus  $\sum_{i \in S} x_i = s$ .