Manipulation

Maria Serna

Fall 2019



- Strategy-proofness
- 2 Manipulation
- Some manipulable rules

Strategy-proofness

 Would any of the voting rules rules have reporting their preferences truthfully?

Strategy-proofness

- Would any of the voting rules rules have reporting their preferences truthfully?
- Assume \succ is a preference profile so that \succ_i is the true preferences of voter i.

Strategy-proofness

- Would any of the voting rules rules have reporting their preferences truthfully?
- Assume \succ is a preference profile so that \succ_i is the true preferences of voter i.
- A voting rule F is strategy-proof if for every preference profile $\succ' = (\succ_{-i}, \succ'_{i})$, it is not the case that $F(\succ') \succ_{i} F(\succ)$

Borda with true preferences

Borda with true preferences

Ν	1	2	3
	b	b	а
	а	а	b
	С	С	С
	d	d	d

• Borda with true preferences

Ν	1	2	3
	b	b	а
	а	а	b
	С	С	С
	d	d	d



• Borda with true preferences

N	1	2	3
	b	b	а
	а	а	b
	С	С	С
	d	d	d

Winner b

• Voter 3 can make a win by

Borda with true preferences

N	1	2	3
	b	b	а
	а	а	b
	С	С	С
	d	d	d

Winner b

• Voter 3 can make a win by

N	1	2	3
	b	b	a
	a	а	С
	С	С	d
	d	d	b



Borda with true preferences

N	1	2	3
	b	b	а
	а	а	b
	С	С	С
	d	d	d

Winner b

• Voter 3 can make a win by

Ν	1	2	3
	b	b	а
	а	а	С
	С	С	d
	d	d	b



Borda with true preferences

N	1	2	3
	b	b	а
	а	а	b
	С	С	С
	d	d	d

Winner

• Voter 3 can make a win by

N	1	2	3
	b	b	а
	а	а	С
	С	С	d
	d	d	b

Winner a

Any of the rules we saw is strategy-proof?

• Are there strategy-proof rules?

- Are there strategy-proof rules?
- Dictatorial voting rule

- Are there strategy-proof rules?
- Dictatorial voting rule
 - The winner is always the most preferred alternative of voter 1

- Are there strategy-proof rules?
- Dictatorial voting rule
 - The winner is always the most preferred alternative of voter 1
- Constant voting rule

- Are there strategy-proof rules?
- Dictatorial voting rule
 - The winner is always the most preferred alternative of voter 1
- Constant voting rule
 - The winner is always the same

- Are there strategy-proof rules?
- Dictatorial voting rule
 - The winner is always the most preferred alternative of voter 1
- Constant voting rule
 - The winner is always the same
- Yes, but not very satisfactory!

• Consider the following three properties:

- Consider the following three properties:
- Strategy-proof: No voter has an incentive to misreport true preferences.

- Consider the following three properties:
- Strategy-proof: No voter has an incentive to misreport true preferences.
- Onto: Every alternative can win under some preference profile.

- Consider the following three properties:
- Strategy-proof: No voter has an incentive to misreport true preferences.
- Onto: Every alternative can win under some preference profile.
- Non-dictatorial: There is no voter i such that $F(\succ)$ is always the top alternative for voter i.

Theorem

For $m \ge 3$, no deterministic social choice function can be strategyproof, onto, and nondictatorial simultaneously.

Theorem

For $m \ge 3$, no deterministic social choice function can be strategyproof, onto, and nondictatorial simultaneously. \odot

Theorem

For $m \geq 3$, no deterministic social choice function can be strategyproof, onto, and nondictatorial simultaneously. \odot In \odot words,

$\mathsf{Theorem}$

For $m \ge 3$, no deterministic social choice function can be strategyproof, onto, and nondictatorial simultaneously. \odot

In \bigcirc words, for $m \ge 3$, any deterministic social choice function must be at least one of the following:

$\mathsf{Theorem}$

For $m \ge 3$, no deterministic social choice function can be strategyproof, onto, and nondictatorial simultaneously. \odot

In \bigcirc words, for $m \ge 3$, any deterministic social choice function must be at least one of the following:

- dictatorial: there exists a single fixed voter whose most-preferred alternative is chosen for every profile;
- imposing: there is at least one alternative that does not win under any profile;
- manipulable (i.e., not strategyproof).

$\mathsf{Theorem}$

For $m \ge 3$, no deterministic social choice function can be strategyproof, onto, and nondictatorial simultaneously. \odot

In \bigcirc words, for $m \ge 3$, any deterministic social choice function must be at least one of the following:

- dictatorial: there exists a single fixed voter whose most-preferred alternative is chosen for every profile;
- imposing: there is at least one alternative that does not win under any profile;
- manipulable (i.e., not strategyproof).

The first two properties are not acceptable in most voting settings. So, we need to assume that the voters have an incentive to misreport true preferences.

• (Bartholdi III et al., Social Choice and Welfare, 1998)

- (Bartholdi III et al., Social Choice and Welfare, 1998)
- As we cannot prevent a voting rule from being manipulable, this may not be a significant concern as long as determining how to manipulate it is computationally prohibitive.

- (Bartholdi III et al., Social Choice and Welfare, 1998)
- As we cannot prevent a voting rule from being manipulable, this may not be a significant concern as long as determining how to manipulate it is computationally prohibitive.
- Maybe the rule is manipulable, but it is NP-hard to find a successful manipulation.

- (Bartholdi III et al., Social Choice and Welfare, 1998)
- As we cannot prevent a voting rule from being manipulable, this may not be a significant concern as long as determining how to manipulate it is computationally prohibitive.
- Maybe the rule is manipulable, but it is NP-hard to find a successful manipulation.
 - For once NP-hardness can be good!!

- Strategy-proofness
- 2 Manipulation
- 3 Some manipulable rules

Manipulation Problem

We consider the simplest version of manipulation.

Manipulation Problem

We consider the simplest version of manipulation.

Name: F-MANIPULATION

Input: A preference profile \succ for all but one player, and a

preferred candidate a.

Question: Is there a preference order P so that, in system

F under the joint preference profile a wins?

Manipulation Problem

We consider the simplest version of manipulation.

Name: F-MANIPULATION

Input: A preference profile \succ for all but one player, and a

preferred candidate a.

Question: Is there a preference order P so that, in system

F under the joint preference profile a wins?

 The problem belongs to NP provided F is computable in polynomial time.

Manipulation Problem

We consider the simplest version of manipulation.

Name: F-Manipulation

Input: A preference profile \succ for all but one player, and a

preferred candidate a.

Question: Is there a preference order P so that, in system

F under the joint preference profile a wins?

- The problem belongs to NP provided F is computable in polynomial time.
- For plurality, this problem is computationally trivial:
- The only sensible manipulation is to put a as your most preferred candidate!



A voting rule satisfies the BTT conditions if

 Given a preference profile a winner can be computed in polynomial time.

- Given a preference profile a winner can be computed in polynomial time.
- Fix a preference profile for all the players but one.

- Given a preference profile a winner can be computed in polynomial time.
- Fix a preference profile for all the players but one.
- for every preference order P and every alternative a, a score S(P,a) can be defined so that it is,

- Given a preference profile a winner can be computed in polynomial time.
- Fix a preference profile for all the players but one.
- for every preference order P and every alternative a, a score S(P,a) can be defined so that it is,
 - Responsive: the candidate with the largest score wins (in the voting under the joint profile)

- Given a preference profile a winner can be computed in polynomial time.
- Fix a preference profile for all the players but one.
- for every preference order P and every alternative a, a score S(P,a) can be defined so that it is,
 - Responsive: the candidate with the largest score wins (in the voting under the joint profile)
 - Monotone: for any two preference orders P and P' and for any candidate a, if for each voter i, $\{b \mid a P b\} \subseteq \{b \mid a P' b\}$, then $S(P, a) \leq S(P', a)$.

Input: Preference profile for all other voters and a candidate c.

- Input: Preference profile for all other voters and a candidate c.
- Output: A preference order, that together with the input ensures that c wins, or claim that such order does not exist.

- Input: Preference profile for all other voters and a candidate c.
- Output: A preference order, that together with the input ensures that c wins, or claim that such order does not exist.
- Initialization: Place c at the top of the preference order

- Input: Preference profile for all other voters and a candidate c.
- Output: A preference order, that together with the input ensures that c wins, or claim that such order does not exist.
- Initialization: Place c at the top of the preference order
- Iterative step: While there are unassigned candidates:

- Input: Preference profile for all other voters and a candidate c.
- Output: A preference order, that together with the input ensures that c wins, or claim that such order does not exist.
- Initialization: Place c at the top of the preference order
- Iterative step: While there are unassigned candidates:
 Determine whether a candidate b can be placed in the next lower position (independent of remaining choices) without preventing c from winning.

- Input: Preference profile for all other voters and a candidate c.
- Output: A preference order, that together with the input ensures that c wins, or claim that such order does not exist.
- Initialization: Place c at the top of the preference order
- Iterative step: While there are unassigned candidates:
 Determine whether a candidate b can be placed in the next lower position (independent of remaining choices) without preventing c from winning.
 - If so, place *b* in the next position, otherwise terminate claiming that order does not exists.

Theorem

For any voting rule F satisfying the BTT conditions, G-Man solves the F-Manipulation problem.

Theorem

For any voting rule F satisfying the BTT conditions, G-Man solves the F-Manipulation problem.

Proof.

Theorem

For any voting rule F satisfying the BTT conditions, G-Man solves the F-Manipulation problem.

Proof.

 If Greedy-Manipulation succeeds, it constructs a preference order that guarantees that under the joint profile c wins.

Theorem

For any voting rule F satisfying the BTT conditions, G-Man solves the F-Manipulation problem.

Proof.

- If Greedy-Manipulation succeeds, it constructs a preference order that guarantees that under the joint profile *c* wins.
- Assume that such an order exists and that Greedy-Manipulation terminates without providing an ordering. Let us reach a contradiction.

```
Proof (cont).
```

Proof (cont).

• Let P' be a preference order that will make c win.

- Let P' be a preference order that will make c win.
- Let U be the set of unassigned candidates after the execution of G-Man.

- Let P' be a preference order that will make c win.
- Let U be the set of unassigned candidates after the execution of G-Man.
- Let $u \in U$ be the candidate with highest score under P'.

- Let P' be a preference order that will make c win.
- Let U be the set of unassigned candidates after the execution of G-Man.
- Let $u \in U$ be the candidate with highest score under P'.
- Consider any completion *P* of the preference order started by G-Man that places *u* in the first unassigned place.

```
Proof (cont).
```

Proof (cont).

• By responsiveness, $S(P',c) \geq S(P',u)$

- By responsiveness, $S(P',c) \ge S(P',u)$
- By selection of u, $\{a \mid u P' a\} \subseteq \{a \mid u P a\}$.

- By responsiveness, $S(P',c) \ge S(P',u)$
- By selection of u, $\{a \mid u \mid P' \mid a\} \subseteq \{a \mid u \mid P \mid a\}$. So, by monotonicity

- By responsiveness, $S(P',c) \geq S(P',u)$
- By selection of u, $\{a \mid u \mid P' \mid a\} \subseteq \{a \mid u \mid P \mid a\}$. So, by monotonicity $S(P', u) \geq S(P, u)$

- By responsiveness, $S(P',c) \geq S(P',u)$
- By selection of u, $\{a \mid u \mid P' \mid a\} \subseteq \{a \mid u \mid P \mid a\}$. So, by monotonicity $S(P', u) \ge S(P, u)$
- By initialization $S(P, c) \ge S(P', c)$.

- By responsiveness, $S(P',c) \geq S(P',u)$
- By selection of u, $\{a \mid u \mid P' \mid a\} \subseteq \{a \mid u \mid P \mid a\}$. So, by monotonicity $S(P', u) \ge S(P, u)$
- By initialization $S(P, c) \ge S(P', c)$.
- So, $S(P, c) \ge S(P, u)$.

- By responsiveness, $S(P',c) \geq S(P',u)$
- By selection of u, $\{a \mid u \mid P' \mid a\} \subseteq \{a \mid u \mid P \mid a\}$. So, by monotonicity $S(P', u) \ge S(P, u)$
- By initialization $S(P, c) \ge S(P', c)$.
- So, $S(P, c) \ge S(P, u)$.
- But G-Man did not assign u, so S(P, c) < S(P, u) and we get the contradiction.

Corollary

For any voting rule F satisfying the BTT conditions, and for which the scoring rule can be computed in polynomial time G-Man solves the F-Manipulation problem in polynomial time.

By monotonicity, it should be possible to computer the score to of the alternative ranked "first" among a set of unranked alternatives

- Strategy-proofness
- 2 Manipulation
- 3 Some manipulable rules

Plurality

Plurality

- Each voter awards one point to her top alternative
- Alternative with the most point wins

- Each voter awards one point to her top alternative
- Alternative with the most point wins
- Let p_c be the plurality vote, for alternative c, among all voters except the manipulator.
- $S(P,c) = p_c + 1$ if $|\{a \mid c \mid P \mid a\}| = m 1$, else p_c .

- Each voter awards one point to her top alternative
- Alternative with the most point wins
- Let p_c be the plurality vote, for alternative c, among all voters except the manipulator.
- $S(P,c) = p_c + 1$ if $|\{a \mid c \mid P \mid a\}| = m 1$, else p_c .
- S(P, a) coincides with the plurality vote under the joint profile, so we get responsiveness.

- Each voter awards one point to her top alternative
- Alternative with the most point wins
- Let p_c be the plurality vote, for alternative c, among all voters except the manipulator.
- $S(P,c) = p_c + 1$ if $|\{a \mid c \mid P \mid a\}| = m 1$, else p_c .
- S(P, a) coincides with the plurality vote under the joint profile, so we get responsiveness.
- If $\{b \mid a \ P \ b\} \subseteq \{b \mid a \ P' \ b\}$, plurality vote cannot decrease. So we get monotonicity.

- Each voter awards one point to her top alternative
- Alternative with the most point wins
- Let p_c be the plurality vote, for alternative c, among all voters except the manipulator.
- $S(P,c) = p_c + 1$ if $|\{a \mid c \mid P \mid a\}| = m 1$, else p_c .
- S(P, a) coincides with the plurality vote under the joint profile, so we get responsiveness.
- If $\{b \mid a \ P \ b\} \subseteq \{b \mid a \ P' \ b\}$, plurality vote cannot decrease. So we get monotonicity.
- Both, plurality vote and score can be computed in polynomial time.



- Each voter awards one point to her top alternative
- Alternative with the most point wins
- Let p_c be the plurality vote, for alternative c, among all voters except the manipulator.
- $S(P,c) = p_c + 1$ if $|\{a \mid c \mid P \mid a\}| = m 1$, else p_c .
- S(P, a) coincides with the plurality vote under the joint profile, so we get responsiveness.
- If $\{b \mid a \ P \ b\} \subseteq \{b \mid a \ P' \ b\}$, plurality vote cannot decrease. So we get monotonicity.
- Both, plurality vote and score can be computed in polynomial time.
- Plurality is polynomial time manipulable.



- Each voter awards m k points to its rank k candidate.
- The candidate with the most points (positional score) wins.

- Each voter awards m k points to its rank k candidate.
- The candidate with the most points (positional score) wins.
- Let p_c be the positional score for candidate c among all voters except the manipulator.
- $S(P,c) = p_c + |\{a \mid c \mid P \mid a\}|.$

- Each voter awards m k points to its rank k candidate.
- The candidate with the most points (positional score) wins.
- Let p_c be the positional score for candidate c among all voters except the manipulator.
- $S(P,c) = p_c + |\{a \mid c \mid P \mid a\}|.$
- S(P, a) coincides with the positional score in the joint profile, so we get responsiveness.

- Each voter awards m k points to its rank k candidate.
- The candidate with the most points (positional score) wins.
- Let p_c be the positional score for candidate c among all voters except the manipulator.
- $S(P,c) = p_c + |\{a \mid c \mid P \mid a\}|.$
- S(P, a) coincides with the positional score in the joint profile, so we get responsiveness.
- If $\{b \mid a \ P \ b\} \subseteq \{b \mid a \ P' \ b\}$, the positional score cannot decrease. So we get monotonicity.

- Each voter awards m k points to its rank k candidate.
- The candidate with the most points (positional score) wins.
- Let p_c be the positional score for candidate c among all voters except the manipulator.
- $S(P,c) = p_c + |\{a \mid c \mid P \mid a\}|.$
- S(P, a) coincides with the positional score in the joint profile, so we get responsiveness.
- If $\{b \mid a \ P \ b\} \subseteq \{b \mid a \ P' \ b\}$, the positional score cannot decrease. So we get monotonicity.
- Both, plurality vote and the score can be computed in polynomial time.



- Each voter awards m k points to its rank k candidate.
- The candidate with the most points (positional score) wins.
- Let p_c be the positional score for candidate c among all voters except the manipulator.
- $S(P,c) = p_c + |\{a \mid c \mid P \mid a\}|.$
- S(P, a) coincides with the positional score in the joint profile, so we get responsiveness.
- If $\{b \mid a \ P \ b\} \subseteq \{b \mid a \ P' \ b\}$, the positional score cannot decrease. So we get monotonicity.
- Both, plurality vote and the score can be computed in polynomial time.
- Plurality is polynomial time manipulable.



• x beats y in a pairwise election if a strict majority of voters prefer x to y.

- x beats y in a pairwise election if a strict majority of voters prefer x to y.
- Copeland
 - Score(x) = #alternatives x beats in pairwise elections
 - elect x* with the maximum score
- S(P,c) = Score(c) in the joint profile

- x beats y in a pairwise election if a strict majority of voters prefer x to y.
- Copeland
 - Score(x) = #alternatives x beats in pairwise elections
 - elect x* with the maximum score
- S(P, c) = Score(c) in the joint profile
- S(P, a) coincides with the Score in the joint profile, so we get responsiveness.

- x beats y in a pairwise election if a strict majority of voters prefer x to y.
- Copeland
 - Score(x) = #alternatives x beats in pairwise elections
 - elect x* with the maximum score
- S(P, c) = Score(c) in the joint profile
- S(P, a) coincides with the Score in the joint profile, so we get responsiveness.
- If $\{b \mid a \ P \ b\} \subseteq \{b \mid a \ P' \ b\}$, the score cannot decrease. So we get monotonicity.

- x beats y in a pairwise election if a strict majority of voters prefer x to y.
- Copeland
 - Score(x) = #alternatives x beats in pairwise elections
 - elect x* with the maximum score
- S(P, c) = Score(c) in the joint profile
- S(P, a) coincides with the Score in the joint profile, so we get responsiveness.
- If $\{b \mid a \ P \ b\} \subseteq \{b \mid a \ P' \ b\}$, the score cannot decrease. So we get monotonicity.
- Both, Copeland vote and the score can be computed in polynomial time.



- x beats y in a pairwise election if a strict majority of voters prefer x to y.
- Copeland
 - Score(x) = #alternatives x beats in pairwise elections
 - elect x* with the maximum score
- S(P, c) = Score(c) in the joint profile
- S(P, a) coincides with the Score in the joint profile, so we get responsiveness.
- If $\{b \mid a \ P \ b\} \subseteq \{b \mid a \ P' \ b\}$, the score cannot decrease. So we get monotonicity.
- Both, Copeland vote and the score can be computed in polynomial time.
- Copeland is polynomial time manipulable.



Maximin

Maximin

- Maximin
 - $Score(x) = min_y n_{x \succ y}$
 - elect x* with the maximum score
- Working in a similar way, Maximin is polynomial time manipulable.

STV

• Single Transferable Vote (STV): Plurality with multiple rounds

STV

- Single Transferable Vote (STV): Plurality with multiple rounds
- m-1 rounds.
- In each round, the alternative with the least plurality votes is eliminated.
- The selected alternative is the standing one.

STV

- Single Transferable Vote (STV): Plurality with multiple rounds
- m-1 rounds.
- In each round, the alternative with the least plurality votes is eliminated.
- The selected alternative is the standing one.
- STV-Manipulation is NP-hard (Bartholdi III, Social Choice and Welfare, 1991)