

Course 5

Pumping Lemma

- Not all languages are regular
- How to decide if a language is regular or not?
- Idea: pump symbols

Example: $L = \{0^n 1^n \mid n \geq 0\}$

Theorem: (Pumping lemma, Bar-Hillel)

Let L be a regular language. $\exists p \in \mathbb{N}$, such that if $w \in L$ with $|w| > p$, then

$w = xyz$, where $0 < |y| \leq p$

and

$xy^iz \in L, \forall i \geq 0$

Proof

L regular $\Rightarrow \exists M = (Q, \Sigma, \delta, q_0, F)$ such that $L = L(M)$

Let $|Q| = p$

If $w \in L(M)$: $(q_0, w) \xrightarrow{*} (q_f, \epsilon)$, $q_f \in F$ } process at least $p+1$ symbols
and }
 $|w| > p$ } p states

$\Rightarrow \exists q_1$ that appear in at least 2 configurations

$(q_0, xyz) \xrightarrow{*} (q_1, yz) \xrightarrow{*} (q_1, z) \xrightarrow{*} (q_f, \epsilon)$, $q_f \in F \Rightarrow 0 \leq |y| \leq p$

Proof (cont)

$$\begin{aligned}(q_0, xy^iz) & \vdash^* (q_1, y^iz) \\ & \vdash^* (q_1, y^{i-1}z) \\ & \vdash^* \dots \\ & \vdash^* (q_1, yz) \\ & \vdash^* (q_1, z) \\ & \vdash^* (q_f, \varepsilon), q_f \in F\end{aligned}$$

So, if $w=xyz \in L$ then $xy^iz \in L$, for all $i>0$

If $i=0$: $(q_0, xz) \vdash^* (q_1, z) \vdash^* (q_f, \varepsilon), q_f \in F$

Example: $L = \{0^n 1^n \mid n \geq 0\}$

Suppose L is regular $\Rightarrow w = xyz = 0^n 1^n$

Consider all possible decomposition \Rightarrow

Case 1. $y = 0^k$

$$xyz = 0^{n-k} 0^k 1^n; xy^i z = 0^{n-k} 0^{ik} 1^n \notin L$$

Case 2. $y = 1^k$

$$xyz = 0^n 1^k 1^{n-k}; xy^i z = 0^n 1^{ik} 1^{n-k} \notin L$$

Case 3. $y = 0^k 1^l$

$$xyz = 0^{n-k} 0^k 1^l 1^{n-l}; xy^i z = 0^{n-k} (0^k 1^l)^i 1^{n-l} \notin L$$

Case 4. $y = 0^k 1^K$

$$xyz = 0^{n-k} 0^k 1^K 1^{n-k}; xy^i z = 0^{n-k} 0^k 1^K 0^k 1^K \dots 1^{n-l} \notin L$$

$\Rightarrow L$ is not regular

Context free grammars (cfg)

Context free grammar (cfg)

- Productions of the form: $A \rightarrow \alpha$, $A \in N$, $\alpha \in (N \cup \Sigma)^*$
- More powerful
- Can model programming language:
 $G = (N, \Sigma, P, S)$ s.t. $L(G) = \text{programming language}$

Syntax tree

Definition: A syntax tree corresponding to a cfg $G = (N, \Sigma, P, S)$ is a tree obtained in the following way:

1. Root is the starting symbol S
2. Nodes $\in N \cup \Sigma$:
 1. Internal nodes $\in N$
 2. Leaves $\in \Sigma$
3. For a node A the descendants in order from left to right are X_1, X_2, \dots, X_n only if $A \rightarrow X_1 X_2 \dots X_n \in P$

Remarks:

- a) Parse tree = syntax tree – result of parsing (syntactic analysis)
- b) Derivation tree – condition 2.2 not satisfied
- c) Abstract syntax tree (AST) \neq syntax tree (semantic analysis)

Syntax tree (cont)

Property: In a cfg $G = (N, \Sigma, P, S)$, $w \in L(G)$ if and only if there exists a syntax tree with frontier w .

Proof: HW

Example: $S \rightarrow aSbS \mid c$; $w = aacbcabc$

Leftmost derivations

$S \Rightarrow aSbS \Rightarrow aaSbSbS \Rightarrow aacbSbS$
 $\Rightarrow aacbcS \Rightarrow aacbcabc$

Rightmost derivations

$S \Rightarrow aSbS \Rightarrow aSbc \Rightarrow aaSbSbc$
 $\Rightarrow aaSbcabc \Rightarrow aacbcabc$

Definition: A cfg $G = (N, \Sigma, P, S)$ is ambiguous if for a $w \in L(G)$ there exists 2 distinct syntax tree with frontier w .

Example:

Parsing (syntax analysis) modeled with cfg:

cfg $G = (N, \Sigma, P, S)$:

- N – nonterminal: syntactical constructions: declaration, statement, expression, a.s.o.
- Σ – terminals; elements of the language: identifiers, constants, reserved words, operators, separators
- P – syntactical rules – expressed in BNF – simple transformation
- S – syntactical construct corresponding to program

THEN

Program syntactical correct $\Leftrightarrow w \in L(G)$

Equivalent transformation of cfg

Unproductive symbols

Definition

A nonterminal A este *unproductive* in a cfg if does not generate any word: $\{w \mid A \Rightarrow^* w, w \in \Sigma^*\} = \emptyset$.

Algorithm 1: Elimination of unproductive symbols

input: $G = (N, \Sigma, P, S)$

output: $G' = (N', \Sigma, P', S)$, $L(G) = L(G')$

// idea: build N_0, N_1, \dots recursively (until saturation)

step 1: $N_0 = \emptyset$; $i := 1$;

step 2: $N_i = N_{i-1} \cup \{A \mid A \rightarrow \alpha \in P, \alpha \in (N_{i-1} \cup \Sigma)^*\}$

step 3: if $N_i \neq N_{i-1}$ then $i := i + 1$; goto step 2

else $N' = N_i$

step 4: if $S \notin N'$ then $L(G) = \emptyset$

else $P' = \{A \rightarrow \alpha \mid A \rightarrow \alpha \in P \text{ and } A \in N'\}$

Example

$G = (\{S,A,B,C,D\}, \{a,b,c\}, P, S)$

P: $S \rightarrow aA \mid aC$

$A \rightarrow AB$

$B \rightarrow b$

$C \rightarrow aC \mid CD$

$D \rightarrow b$

Inaccessible symbols

Definition

A symbol $X \in N \cup \Sigma$ is *inaccessible* in a cfg if X does not appear in any sentential form: $\forall S \Rightarrow^* \alpha, X \notin \alpha$

Algorithm 2: Elimination of inaccessible symbols

input: $G = (N, \Sigma, P, S)$

output: $G' = (N', \Sigma', P', S)$, $L(G) = L(G')$ and

$\forall X \in N \cup \Sigma \exists \alpha, \beta \in (N' \cup \Sigma')^*$ s.t. $S \Rightarrow_{G'}^* \alpha X \beta$.

step 1: $V_0 = \{S\}$; $i := 1$;

step 2: $V_i = V_{i-1} \cup \{X \mid \exists A \rightarrow \alpha X \beta \in P, A \in V_{i-1}\}$

step 3: if $V_i \neq V_{i-1}$ then $i := i + 1$; goto step 2

else $N' = N \cap V_i$

$\Sigma' = \Sigma \cap V_i$

$P' = \{A \rightarrow \alpha \mid A \rightarrow \alpha \in P, A \in N', \alpha \in (N \cup \Sigma)^*\}$

Example

$G = (\{S,A,B,C,D\}, \{a,b,c,d\}, P, S)$

P: $S \rightarrow aA \mid aC$

$A \rightarrow AB$

$B \rightarrow b$

$C \rightarrow aC \mid bCb$

$D \rightarrow bB \mid d$

ε -productions

Algorithm 3: Elimination of ε -productions

input: cfg $G = (N, \Sigma, P, S)$

output: cfg $G' = (N', \Sigma, P', S')$

step 1: construct $\bar{N} = \{A \mid A \in N, A \Rightarrow^+ \varepsilon\}$

1.a. $N_0 := \{A \mid A \rightarrow \varepsilon \in P\};$

$i := 1;$

1.b. $N_i := N_{i-1} \cup \{A \mid A \rightarrow \alpha \in P, \alpha \in N_{i-1}^*\}$

1.c. **if** $N_i \neq N_{i-1}$ **then** $i := i + 1$; **goto** step 1.b

else $\bar{N} = N_i$

$A \rightarrow BC$

$B \rightarrow \varepsilon$

$C \rightarrow \varepsilon$

Definition

A cfg $G = (N, \Sigma, P, S)$ is without ε -productions if

1. $P \not\ni A \rightarrow \varepsilon$ (ε -productions)

OR

2. $\exists S \rightarrow \varepsilon$ si $S \notin \text{rhs}(p), \forall p \in P$

step 2: Let P' = set of productions built:

2.a. **if** $A \rightarrow \alpha_0 B_1 \alpha_1 B_2 \alpha_2 \dots B_k \alpha_k \in P, k \geq 0$

and for $i := 1, k$ $B_i \in \bar{N}$

and $\alpha_j \notin \bar{N}, j := 0, k$

then add to P' all prod of the form

$A \rightarrow \alpha_0 X_1 \alpha_1 X_2 \alpha_2 \dots X_k \alpha_k$

where X_i is B_i or ε (not $A \rightarrow \varepsilon$)

2.b **if** $S \in N'$ **then** add S' to N' and $S' \rightarrow S \mid \varepsilon$ to P

else $N' := N; S' := S.$

Example

$G = (\{S, A, B\}, \{a, b\}, P, S)$

P: $S \rightarrow aA \mid aAbB$

$A \rightarrow aA \mid B$

$B \rightarrow bB \mid \epsilon$

Single productions

Definition

A production of the form $A \rightarrow B$ is called single production or renaming rule.

Algorithm 4 : Elimination of single productions

Input: cfg G , without ε -productions

Output: G' s.t. $L(G) = L(G')$

For each $A \in N$ build the set $N_A = \{B \mid A \Rightarrow^* B\}$:

1.a. $N_0 := \{A\}$, $i := 1$

1.b. $N_i := N_{i-1} \cup \{C \mid B \rightarrow C \in P \text{ si } B \in N_{i-1}\}$

1.c. **if** $N_i \neq N_{i-1}$ **then** $i := i + 1$ **goto** 1.b.

else $N_A := N_i$

P' : **for** all $A \in N$ **do**

for all $B \in N_A$ **do**

if $B \rightarrow \alpha \in P$ **and not** “single” **then** $A \rightarrow \alpha \in P'$

$G' = (N, \Sigma, P', S)$

Example

$G = (\{E, T, F\}, \{a, (,), +, *\}, P, E)$

P: $E \rightarrow E+T \mid T$

$T \rightarrow T*F \mid F$

$F \rightarrow (E) \mid a$