

Homework # 3, to be submitted on Canvas by 11:59pm on Fri, Feb 6th.

Plan for the next 2 classes (Feb 3 and 5): Canonical Forms of Linear Transformations (12.2-12.3 in DF; Lectures 6-8 from Spring 21 and Lecture 10-12 from Spring 10).

Note on hints: Some hints are given at the end of the assignment, each on a separate page. Problems (or parts of problems) for which a hint is available at the end are marked with *.

Problem 1. Let $R = \mathbb{R}[x]$, $F = R^3$ (the standard 3-dimensional R -module) and N the R -submodule of F generated by $(1-x, 1, 0)$, $(-2, 4-x, 0)$ and $(1, -5, -x)$.

- (a) Find compatible bases for F and N , that is, bases satisfying the conclusion of the compatible bases theorem (AKA submodule structure theorem). **Note:** an algorithm for computing such bases is given in Lecture 8 from Spring 2010.
- (b) Describe the quotient module F/N in IF and ED forms.

Problem 2. Let R be a PID. For an R -module M denote by $d(M)$ the minimal number of generators of M .

- (a) Prove that if M is a finitely generated R -module and N is a submodule of M , then $d(N) \leq d(M)$
- (b) Let $a \in R$ be a nonzero non-unit. Find (with proof) the number of submodules of R/aR in terms of the prime decomposition of a

Problem 3:

- (a)* Let R be a PID, M be a finitely generated R -module and $R/a_1R \oplus \dots \oplus R/a_mR \oplus R^s$ its invariant factor decomposition, that is, a_1, \dots, a_m are nonzero non-units and $a_1 | a_2 | \dots | a_m$. Prove that

$$d(M) = m + s.$$

Warning: It is not true in general that $d(P \oplus Q) = d(P) + d(Q)$.

- (b) Again let R be a PID. Let F be a free R -module of rank n with basis e_1, \dots, e_n , let N be the submodule of F generated by some elements $v_1, \dots, v_n \in F$, and let $A \in \text{Mat}_n(F)$ be the matrix such that

$$\begin{pmatrix} v_1 \\ \vdots \\ v_n \end{pmatrix} = A \begin{pmatrix} e_1 \\ \vdots \\ e_n \end{pmatrix}$$

Find a simple condition on the entries of A which holds if and only if $d(F/N) = n$.

Problem 4. DF, Problem 2, page 469. By definition, the rank of an R -module is the smallest number of linearly independent elements.

Problem 5: Let R be a PID, M a finitely generated free R -module and N a submodule of M . Prove that the following are equivalent:

- (1) any basis of N can be extended to a basis of M ;
- (2) some basis of N can be extended to a basis of M ;
- (3) M/N is free;
- (4) M/N is torsion-free.

Problem 6: Given a commutative ring R with 1 and R -module V and W , define $\text{Hom}_R(V, W)$ to be the set of R -module homomorphisms from V to W . This is an R -module with addition defined by $(f+g)(v) = f(v)+g(v)$ for all $f, g \in \text{Hom}_R(V, W)$ and $v \in V$ and scalar action defined by $(rf)(v) = f(rv)$ for all $f \in \text{Hom}(V, W)$, $v \in V$ and $r \in R$. The module $V^* = \text{Hom}_R(V, R)$ is called the dual module of V .

- (1) Let V and W be as above. Show that there is a natural homomorphism $\varphi : V^* \otimes_R W \rightarrow \text{Hom}_R(V, W)$ such that $(\varphi(f \otimes w))(v) = f(v)w$ for all $f \in V^*$, $v \in V$ and $w \in W$.
- (2) Assume that W is a finitely generated free R -module. Prove that φ is an isomorphism. **Hint:** Problem 2 from HW#1 is relevant.
- (3) Give examples showing that φ need not be surjective if either W is not free or W is free but not finitely generated.
- (4) (bonus) Now give an example where φ is not injective.

Hint for 3(a): Let p be a prime dividing a_1 . How is M related to $M' = (R/pR)^{m+s}$ and what is $d(M')$ (and why)?