

Formalisation of Ground Resolution and CDCL in Isabelle/HOL

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begin		

Chapter 1

Normalisation

We define here the normalisation from formula towards conjunctive and disjunctive normal form, including normalisation towards multiset of multisets to represent CNF.

1.1 Logics

In this section we define the syntax of the formula and an abstraction over it to have simpler proofs. After that we define some properties like subformula and rewriting.

1.1.1 Definition and Abstraction

The propositional logic is defined inductively. The type parameter is the type of the variables.

datatype $'v \text{ propo} =$
 $FT \mid FF \mid FVar\ 'v \mid FNot\ 'v \text{ propo} \mid FAnd\ 'v \text{ propo}\ 'v \text{ propo} \mid FOr\ 'v \text{ propo}\ 'v \text{ propo}$
 $\mid FImp\ 'v \text{ propo}\ 'v \text{ propo} \mid FEq\ 'v \text{ propo}\ 'v \text{ propo}$

We do not define any notation for the formula, to distinguish properly between the formulas and Isabelle's logic.

To ease the proofs, we will write the the formula on a homogeneous manner, namely a connecting argument and a list of arguments.

datatype $'v \text{ connective} = CT \mid CF \mid CVar\ 'v \mid CNot \mid CAnd \mid COr \mid CImp \mid CEq$

abbreviation $nullary\text{-}connective \equiv \{CF\} \cup \{CT\} \cup \{CVar\ x \mid x. True\}$

definition $binary\text{-}connectives \equiv \{CAnd, COr, CImp, CEq\}$

We define our own induction principal: instead of distinguishing every constructor, we group them by arity.

lemma $propo\text{-}induct\text{-}arity[case\text{-}names\ nullary\ unary\ binary]:$

fixes $\varphi\ \psi :: 'v \text{ propo}$
assumes $nullary: \bigwedge \varphi\ x. \varphi = FF \vee \varphi = FT \vee \varphi = FVar\ x \implies P\ \varphi$
and $unary: \bigwedge \psi. P\ \psi \implies P\ (FNot\ \psi)$
and $binary: \bigwedge \varphi\ \psi1\ \psi2. P\ \psi1 \implies P\ \psi2 \implies \varphi = FAnd\ \psi1\ \psi2 \vee \varphi = FOr\ \psi1\ \psi2 \vee \varphi = FImp\ \psi1\ \psi2$
 $\vee \varphi = FEq\ \psi1\ \psi2 \implies P\ \varphi$
shows $P\ \psi$
 $\langle proof \rangle$

The function *conn* is the interpretation of our representation (connective and list of arguments). We define any thing that has no sense to be false

```
fun conn :: 'v connective  $\Rightarrow$  'v propo list  $\Rightarrow$  'v propo where
conn CT [] = FT |
conn CF [] = FF |
conn (CVar v) [] = FVar v |
conn CNot [ $\varphi$ ] = FNot  $\varphi$  |
conn CAnd ( $\varphi$  # [ $\psi$ ]) = FAnd  $\varphi$   $\psi$  |
conn COr ( $\varphi$  # [ $\psi$ ]) = FOr  $\varphi$   $\psi$  |
conn CImp ( $\varphi$  # [ $\psi$ ]) = FImp  $\varphi$   $\psi$  |
conn CEq ( $\varphi$  # [ $\psi$ ]) = FEq  $\varphi$   $\psi$  |
conn - - = FF
```

We will often use case distinction, based on the arity of the '*v connective*, thus we define our own splitting principle.

```
lemma connective-cases-arity[case-names nullary binary unary]:
assumes nullary:  $\bigwedge x. c = CT \vee c = CF \vee c = CVar x \implies P$ 
and binary:  $c \in \text{binary-connectives} \implies P$ 
and unary:  $c = CNot \implies P$ 
shows P
<proof>
```

```
lemma connective-cases-arity-2[case-names nullary unary binary]:
assumes nullary:  $c \in \text{nullary-connective} \implies P$ 
and unary:  $c = CNot \implies P$ 
and binary:  $c \in \text{binary-connectives} \implies P$ 
shows P
<proof>
```

Our previous definition is not necessary correct (connective and list of arguments), so we define an inductive predicate.

```
inductive wf-conn :: 'v connective  $\Rightarrow$  'v propo list  $\Rightarrow$  bool for c :: 'v connective where
wf-conn-nullary[simp]:  $(c = CT \vee c = CF \vee c = CVar v) \implies \text{wf-conn } c []$  |
wf-conn-unary[simp]:  $c = CNot \implies \text{wf-conn } c [\psi]$  |
wf-conn-binary[simp]:  $c \in \text{binary-connectives} \implies \text{wf-conn } c (\psi \# \psi' \# [])$ 
thm wf-conn.induct
```

```
lemma wf-conn-induct[consumes 1, case-names CT CF CVar CNot COr CAnd CImp CEq]:
assumes wf-conn c x and
 $\bigwedge v. c = CT \implies P []$  and
 $\bigwedge v. c = CF \implies P []$  and
 $\bigwedge v. c = CVar v \implies P []$  and
 $\bigwedge \psi. c = CNot \implies P [\psi]$  and
 $\bigwedge \psi \psi'. c = COr \implies P [\psi, \psi']$  and
 $\bigwedge \psi \psi'. c = CAnd \implies P [\psi, \psi']$  and
 $\bigwedge \psi \psi'. c = CImp \implies P [\psi, \psi']$  and
 $\bigwedge \psi \psi'. c = CEq \implies P [\psi, \psi']$ 
shows P x
<proof>
```

1.1.2 Properties of the Abstraction

First we can define simplification rules.

```
lemma wf-conn-conn[simp]:
```

$wf\text{-}conn\ CT\ l \implies conn\ CT\ l = FT$
 $wf\text{-}conn\ CF\ l \implies conn\ CF\ l = FF$
 $wf\text{-}conn\ (CVar\ x)\ l \implies conn\ (CVar\ x)\ l = FVar\ x$
 $\langle proof \rangle$

lemma *wf-conn-list-decomp[simp]*:

$wf\text{-}conn\ CT\ l \longleftrightarrow l = []$
 $wf\text{-}conn\ CF\ l \longleftrightarrow l = []$
 $wf\text{-}conn\ (CVar\ x)\ l \longleftrightarrow l = []$
 $wf\text{-}conn\ CNot\ (\xi\ @\ \varphi\ \# \ \xi') \longleftrightarrow \xi = [] \wedge \xi' = []$
 $\langle proof \rangle$

lemma *wf-conn-list*:

$wf\text{-}conn\ c\ l \implies conn\ c\ l = FT \longleftrightarrow (c = CT \wedge l = [])$
 $wf\text{-}conn\ c\ l \implies conn\ c\ l = FF \longleftrightarrow (c = CF \wedge l = [])$
 $wf\text{-}conn\ c\ l \implies conn\ c\ l = FVar\ x \longleftrightarrow (c = CVar\ x \wedge l = [])$
 $wf\text{-}conn\ c\ l \implies conn\ c\ l = FAnd\ a\ b \longleftrightarrow (c = CAnd \wedge l = a\ \# \ b\ \# \ [])$
 $wf\text{-}conn\ c\ l \implies conn\ c\ l = FOr\ a\ b \longleftrightarrow (c = COr \wedge l = a\ \# \ b\ \# \ [])$
 $wf\text{-}conn\ c\ l \implies conn\ c\ l = FEq\ a\ b \longleftrightarrow (c = CEq \wedge l = a\ \# \ b\ \# \ [])$
 $wf\text{-}conn\ c\ l \implies conn\ c\ l = FImp\ a\ b \longleftrightarrow (c = CImp \wedge l = a\ \# \ b\ \# \ [])$
 $wf\text{-}conn\ c\ l \implies conn\ c\ l = FNot\ a \longleftrightarrow (c = CNot \wedge l = a\ \# \ [])$
 $\langle proof \rangle$

In the binary connective cases, we will often decompose the list of arguments (of length 2) into two elements.

lemma *list-length2-decomp*: $length\ l = 2 \implies (\exists\ a\ b.\ l = a\ \# \ b\ \# \ [])$

$\langle proof \rangle$

wf-conn for binary operators means that there are two arguments.

lemma *wf-conn-bin-list-length*:

fixes $l :: 'v\ propo\ list$
assumes $conn: c \in binary\text{-}connectives$
shows $length\ l = 2 \longleftrightarrow wf\text{-}conn\ c\ l$

$\langle proof \rangle$

lemma *wf-conn-not-list-length[iff]*:

fixes $l :: 'v\ propo\ list$
shows $wf\text{-}conn\ CNot\ l \longleftrightarrow length\ l = 1$

$\langle proof \rangle$

Decomposing the Not into an element is moreover very useful.

lemma *wf-conn-Not-decomp*:

fixes $l :: 'v\ propo\ list$ **and** $a :: 'v$
assumes $corr: wf\text{-}conn\ CNot\ l$
shows $\exists\ a.\ l = [a]$

$\langle proof \rangle$

The *wf-conn* remains correct if the length of list does not change. This lemma is very useful when we do one rewriting step

lemma *wf-conn-no-arity-change*:

$length\ l = length\ l' \implies wf\text{-}conn\ c\ l \longleftrightarrow wf\text{-}conn\ c\ l'$

$\langle proof \rangle$

lemma *wf-conn-no-arity-change-helper*:
 $\text{length } (\xi @ \varphi \# \xi') = \text{length } (\xi @ \varphi' \# \xi')$
 $\langle \text{proof} \rangle$

The injectivity of *conn* is useful to prove equality of the connectives and the lists.

lemma *conn-inj-not*:
assumes *correct*: *wf-conn* *c* *l*
and *conn*: *conn* *c* *l* = *FNot* ψ
shows *c* = *CNot* **and** *l* = [ψ]
 $\langle \text{proof} \rangle$

lemma *conn-inj*:
fixes *c* *ca* :: '*v* *connective* **and** *l* ψ s :: '*v* *propo* *list*
assumes *corr*: *wf-conn* *ca* *l*
and *corr'*: *wf-conn* *c* ψ s
and *eq*: *conn* *ca* *l* = *conn* *c* ψ s
shows *ca* = *c* \wedge ψ s = *l*
 $\langle \text{proof} \rangle$

1.1.3 Subformulas and Properties

A characterization using sub-formulas is interesting for rewriting: we will define our relation on the sub-term level, and then lift the rewriting on the term-level. So the rewriting takes place on a subformula.

inductive *subformula* :: '*v* *propo* \Rightarrow '*v* *propo* \Rightarrow *bool* (**infix** \preceq 45) **for** φ **where**
subformula-refl[simp]: $\varphi \preceq \varphi$ |
subformula-into-subformula: $\psi \in \text{set } l \implies \text{wf-conn } c \ l \implies \varphi \preceq \psi \implies \varphi \preceq \text{conn } c \ l$

On the *subformula-into-subformula*, we can see why we use our *conn* representation: one case is enough to express the subformulas property instead of listing all the cases.

This is an example of a property related to subformulas.

lemma *subformula-in-subformula-not*:
shows *b*: *FNot* $\varphi \preceq \psi \implies \varphi \preceq \psi$
 $\langle \text{proof} \rangle$

lemma *subformula-in-binary-conn*:
assumes *conn*: *c* \in *binary-connectives*
shows $f \preceq \text{conn } c \ [f, g]$
and $g \preceq \text{conn } c \ [f, g]$
 $\langle \text{proof} \rangle$

lemma *subformula-trans*:
 $\psi \preceq \psi' \implies \varphi \preceq \psi \implies \varphi \preceq \psi'$
 $\langle \text{proof} \rangle$

lemma *subformula-leaf*:
fixes $\varphi \ \psi$:: '*v* *propo*
assumes *incl*: $\varphi \preceq \psi$
and *simple*: $\psi = \text{FT} \vee \psi = \text{FF} \vee \psi = \text{FVar } x$
shows $\varphi = \psi$
 $\langle \text{proof} \rangle$

lemma *subformula-not-incl-eq*:

assumes $\varphi \preceq \text{conn } c \ l$
and $\text{wf-conn } c \ l$
and $\forall \psi. \psi \in \text{set } l \longrightarrow \neg \varphi \preceq \psi$
shows $\varphi = \text{conn } c \ l$
 $\langle \text{proof} \rangle$

lemma *wf-subformula-conn-cases*:

$\text{wf-conn } c \ l \implies \varphi \preceq \text{conn } c \ l \longleftrightarrow (\varphi = \text{conn } c \ l \vee (\exists \psi. \psi \in \text{set } l \wedge \varphi \preceq \psi))$
 $\langle \text{proof} \rangle$

lemma *subformula-decomp-explicit[simp]*:

$\varphi \preceq \text{FAnd } \psi \ \psi' \longleftrightarrow (\varphi = \text{FAnd } \psi \ \psi' \vee \varphi \preceq \psi \vee \varphi \preceq \psi') \text{ (is ?P FAnd)}$
 $\varphi \preceq \text{FOr } \psi \ \psi' \longleftrightarrow (\varphi = \text{FOr } \psi \ \psi' \vee \varphi \preceq \psi \vee \varphi \preceq \psi')$
 $\varphi \preceq \text{FEq } \psi \ \psi' \longleftrightarrow (\varphi = \text{FEq } \psi \ \psi' \vee \varphi \preceq \psi \vee \varphi \preceq \psi')$
 $\varphi \preceq \text{FImp } \psi \ \psi' \longleftrightarrow (\varphi = \text{FImp } \psi \ \psi' \vee \varphi \preceq \psi \vee \varphi \preceq \psi')$
 $\langle \text{proof} \rangle$

lemma *wf-conn-helper-facts[iff]*:

$\text{wf-conn } \text{CNot } [\varphi]$
 $\text{wf-conn } \text{CT } []$
 $\text{wf-conn } \text{CF } []$
 $\text{wf-conn } (\text{CVar } x) []$
 $\text{wf-conn } \text{CAnd } [\varphi, \psi]$
 $\text{wf-conn } \text{COr } [\varphi, \psi]$
 $\text{wf-conn } \text{CImp } [\varphi, \psi]$
 $\text{wf-conn } \text{CEq } [\varphi, \psi]$
 $\langle \text{proof} \rangle$

lemma *exists-c-conn*: $\exists \ c \ l. \varphi = \text{conn } c \ l \wedge \text{wf-conn } c \ l$

$\langle \text{proof} \rangle$

lemma *subformula-conn-decomp[simp]*:

assumes $\text{wf: wf-conn } c \ l$
shows $\varphi \preceq \text{conn } c \ l \longleftrightarrow (\varphi = \text{conn } c \ l \vee (\exists \psi \in \text{set } l. \varphi \preceq \psi)) \text{ (is ?A } \longleftrightarrow ?B)$
 $\langle \text{proof} \rangle$

lemma *subformula-leaf-explicit[simp]*:

$\varphi \preceq \text{FT} \longleftrightarrow \varphi = \text{FT}$
 $\varphi \preceq \text{FF} \longleftrightarrow \varphi = \text{FF}$
 $\varphi \preceq \text{FVar } x \longleftrightarrow \varphi = \text{FVar } x$
 $\langle \text{proof} \rangle$

The variables inside the formula gives precisely the variables that are needed for the formula.

primrec *vars-of-prop*:: $'v \text{ propo} \Rightarrow 'v \text{ set}$ **where**

$\text{vars-of-prop } \text{FT} = \{\}$ |
 $\text{vars-of-prop } \text{FF} = \{\}$ |
 $\text{vars-of-prop } (\text{FVar } x) = \{x\}$ |
 $\text{vars-of-prop } (\text{FNot } \varphi) = \text{vars-of-prop } \varphi$ |
 $\text{vars-of-prop } (\text{FAnd } \varphi \ \psi) = \text{vars-of-prop } \varphi \cup \text{vars-of-prop } \psi$ |
 $\text{vars-of-prop } (\text{FOr } \varphi \ \psi) = \text{vars-of-prop } \varphi \cup \text{vars-of-prop } \psi$ |
 $\text{vars-of-prop } (\text{FImp } \varphi \ \psi) = \text{vars-of-prop } \varphi \cup \text{vars-of-prop } \psi$ |
 $\text{vars-of-prop } (\text{FEq } \varphi \ \psi) = \text{vars-of-prop } \varphi \cup \text{vars-of-prop } \psi$

lemma *vars-of-prop-incl-conn*:

fixes $\xi \ \xi' :: 'v \text{ propo list}$ **and** $\psi :: 'v \text{ propo}$ **and** $c :: 'v \text{ connective}$
assumes $\text{corr: wf-conn } c \ l$ **and** $\text{incl: } \psi \in \text{set } l$

shows $\text{vars-of-prop } \psi \subseteq \text{vars-of-prop } (\text{conn } c \ l)$
 $\langle \text{proof} \rangle$

The set of variables is compatible with the subformula order.

lemma *subformula-vars-of-prop*:

$\varphi \preceq \psi \implies \text{vars-of-prop } \varphi \subseteq \text{vars-of-prop } \psi$
 $\langle \text{proof} \rangle$

1.1.4 Positions

Instead of 1 or 2 we use L or R

datatype $\text{sign} = L \mid R$

We use nil instead of ε .

fun $\text{pos} :: 'v \text{ propo} \Rightarrow \text{sign list set}$ **where**

$\text{pos } FF = \{\square\} \mid$
 $\text{pos } FT = \{\square\} \mid$
 $\text{pos } (FVar \ x) = \{\square\} \mid$
 $\text{pos } (FAnd \ \varphi \ \psi) = \{\square\} \cup \{L \ \# \ p \mid p. p \in \text{pos } \varphi\} \cup \{R \ \# \ p \mid p. p \in \text{pos } \psi\} \mid$
 $\text{pos } (FOr \ \varphi \ \psi) = \{\square\} \cup \{L \ \# \ p \mid p. p \in \text{pos } \varphi\} \cup \{R \ \# \ p \mid p. p \in \text{pos } \psi\} \mid$
 $\text{pos } (FEq \ \varphi \ \psi) = \{\square\} \cup \{L \ \# \ p \mid p. p \in \text{pos } \varphi\} \cup \{R \ \# \ p \mid p. p \in \text{pos } \psi\} \mid$
 $\text{pos } (FImp \ \varphi \ \psi) = \{\square\} \cup \{L \ \# \ p \mid p. p \in \text{pos } \varphi\} \cup \{R \ \# \ p \mid p. p \in \text{pos } \psi\} \mid$
 $\text{pos } (FNot \ \varphi) = \{\square\} \cup \{L \ \# \ p \mid p. p \in \text{pos } \varphi\}$

lemma *finite-pos*: $\text{finite } (\text{pos } \varphi)$

$\langle \text{proof} \rangle$

lemma *finite-inj-comp-set*:

fixes $s :: 'v \text{ set}$
assumes $\text{finite}: \text{finite } s$
and $\text{inj}: \text{inj } f$
shows $\text{card } (\{f \ p \mid p. p \in s\}) = \text{card } s$
 $\langle \text{proof} \rangle$

lemma *cons-inject*:

$\text{inj } ((\#) \ s)$
 $\langle \text{proof} \rangle$

lemma *finite-insert-nil-cons*:

$\text{finite } s \implies \text{card } (\text{insert } \square \ \{L \ \# \ p \mid p. p \in s\}) = 1 + \text{card } \{L \ \# \ p \mid p. p \in s\}$
 $\langle \text{proof} \rangle$

lemma *card-not[simp]*:

$\text{card } (\text{pos } (FNot \ \varphi)) = 1 + \text{card } (\text{pos } \varphi)$
 $\langle \text{proof} \rangle$

lemma *card-seperate*:

assumes $\text{finite } s1$ **and** $\text{finite } s2$
shows $\text{card } (\{L \ \# \ p \mid p. p \in s1\} \cup \{R \ \# \ p \mid p. p \in s2\}) = \text{card } (\{L \ \# \ p \mid p. p \in s1\})$
 $+ \text{card } (\{R \ \# \ p \mid p. p \in s2\})$ (**is** $\text{card } (?L \cup ?R) = \text{card } ?L + \text{card } ?R$)
 $\langle \text{proof} \rangle$

definition *prop-size* **where** $\text{prop-size } \varphi = \text{card } (\text{pos } \varphi)$

lemma *prop-size-vars-of-prop*:

fixes $\varphi :: 'v \text{ propo}$

shows $\text{card } (\text{vars-of-prop } \varphi) \leq \text{prop-size } \varphi$

$\langle \text{proof} \rangle$

value *pos* (*FImp* (*FAnd* (*FVar* *P*) (*FVar* *Q*)) (*FOr* (*FVar* *P*) (*FVar* *Q*)))

inductive *path-to* :: *sign list* \Rightarrow *'v propo* \Rightarrow *'v propo* \Rightarrow *bool* **where**

path-to-refl[*intro*]: *path-to* [] $\varphi \varphi$ |

path-to-l: $c \in \text{binary-connectives} \vee c = \text{CNot} \implies \text{wf-conn } c (\varphi \# l) \implies \text{path-to } p \varphi \varphi' \implies$

path-to (*L* # *p*) (*conn* *c* ($\varphi \# l$)) φ' |

path-to-r: $c \in \text{binary-connectives} \implies \text{wf-conn } c (\psi \# \varphi \# []) \implies \text{path-to } p \varphi \varphi' \implies$

path-to (*R* # *p*) (*conn* *c* ($\psi \# \varphi \# []$)) φ'

There is a deep link between subformulas and pathes: a (correct) path leads to a subformula and a subformula is associated to a given path.

lemma *path-to-subformula*:

path-to *p* $\varphi \varphi' \implies \varphi' \preceq \varphi$

$\langle \text{proof} \rangle$

lemma *subformula-path-exists*:

fixes $\varphi \varphi' :: 'v \text{ propo}$

shows $\varphi' \preceq \varphi \implies \exists p. \text{path-to } p \varphi \varphi'$

$\langle \text{proof} \rangle$

fun *replace-at* :: *sign list* \Rightarrow *'v propo* \Rightarrow *'v propo* \Rightarrow *'v propo* **where**

replace-at [] - $\psi = \psi$ |

replace-at (*L* # *l*) (*FAnd* $\varphi \varphi'$) $\psi = \text{FAnd } (\text{replace-at } l \varphi \psi) \varphi'$ |

replace-at (*R* # *l*) (*FAnd* $\varphi \varphi'$) $\psi = \text{FAnd } \varphi (\text{replace-at } l \varphi' \psi)$ |

replace-at (*L* # *l*) (*FOr* $\varphi \varphi'$) $\psi = \text{FOr } (\text{replace-at } l \varphi \psi) \varphi'$ |

replace-at (*R* # *l*) (*FOr* $\varphi \varphi'$) $\psi = \text{FOr } \varphi (\text{replace-at } l \varphi' \psi)$ |

replace-at (*L* # *l*) (*FEq* $\varphi \varphi'$) $\psi = \text{FEq } (\text{replace-at } l \varphi \psi) \varphi'$ |

replace-at (*R* # *l*) (*FEq* $\varphi \varphi'$) $\psi = \text{FEq } \varphi (\text{replace-at } l \varphi' \psi)$ |

replace-at (*L* # *l*) (*FImp* $\varphi \varphi'$) $\psi = \text{FImp } (\text{replace-at } l \varphi \psi) \varphi'$ |

replace-at (*R* # *l*) (*FImp* $\varphi \varphi'$) $\psi = \text{FImp } \varphi (\text{replace-at } l \varphi' \psi)$ |

replace-at (*L* # *l*) (*FNot* φ) $\psi = \text{FNot } (\text{replace-at } l \varphi \psi)$

1.2 Semantics over the Syntax

Given the syntax defined above, we define a semantics, by defining an evaluation function *eval*. This function is the bridge between the logic as we define it here and the built-in logic of Isabelle.

fun *eval* :: (*'v* \Rightarrow *bool*) \Rightarrow *'v propo* \Rightarrow *bool* (**infix** \models 50) **where**

$\mathcal{A} \models \text{FT} = \text{True}$ |

$\mathcal{A} \models \text{FF} = \text{False}$ |

$\mathcal{A} \models \text{FVar } v = (\mathcal{A} \ v)$ |

$\mathcal{A} \models \text{FNot } \varphi = (\neg(\mathcal{A} \models \varphi))$ |

$\mathcal{A} \models \text{FAnd } \varphi_1 \varphi_2 = (\mathcal{A} \models \varphi_1 \wedge \mathcal{A} \models \varphi_2)$ |

$\mathcal{A} \models \text{FOr } \varphi_1 \varphi_2 = (\mathcal{A} \models \varphi_1 \vee \mathcal{A} \models \varphi_2)$ |

$\mathcal{A} \models \text{FImp } \varphi_1 \varphi_2 = (\mathcal{A} \models \varphi_1 \longrightarrow \mathcal{A} \models \varphi_2)$ |

$\mathcal{A} \models \text{FEq } \varphi_1 \varphi_2 = (\mathcal{A} \models \varphi_1 \longleftrightarrow \mathcal{A} \models \varphi_2)$

definition *evalf* (**infix** \models_f 50) **where**

evalf $\varphi \psi = (\forall A. A \models \varphi \longrightarrow A \models \psi)$

The deduction rule is in the book. And the proof looks like to the one of the book.

theorem *deduction-theorem*:

$\varphi \models^f \psi \longleftrightarrow (\forall A. A \models FImp \varphi \psi)$
 $\langle proof \rangle$

A shorter proof:

lemma $\varphi \models^f \psi \longleftrightarrow (\forall A. A \models FImp \varphi \psi)$
 $\langle proof \rangle$

definition *same-over-set*:: $('v \Rightarrow bool) \Rightarrow ('v \Rightarrow bool) \Rightarrow 'v \text{ set} \Rightarrow bool$ **where**
same-over-set $A B S = (\forall c \in S. A c = B c)$

If two mapping A and B have the same value over the variables, then the same formula are satisfiable.

lemma *same-over-set-eval*:

assumes *same-over-set* $A B$ (*vars-of-prop* φ)
shows $A \models \varphi \longleftrightarrow B \models \varphi$
 $\langle proof \rangle$

end

theory *Prop-Abstract-Transformation*

imports *Prop-Logic Weidenbach-Book-Base.Wellfounded-More*

begin

This file is devoted to abstract properties of the transformations, like consistency preservation and lifting from terms to proposition.

1.3 Rewrite Systems and Properties

1.3.1 Lifting of Rewrite Rules

We can lift a rewrite relation r over a full formula: the relation r works on terms, while *propo-rew-step* works on formulas.

inductive *propo-rew-step* :: $('v \text{ propo} \Rightarrow 'v \text{ propo} \Rightarrow bool) \Rightarrow 'v \text{ propo} \Rightarrow 'v \text{ propo} \Rightarrow bool$
for $r :: 'v \text{ propo} \Rightarrow 'v \text{ propo} \Rightarrow bool$ **where**
global-rel: $r \varphi \psi \implies \text{propo-rew-step } r \varphi \psi$ |
propo-rew-one-step-lift: $\text{propo-rew-step } r \varphi \varphi' \implies \text{wf-conn } c (\psi s @ \varphi \# \psi s') \implies \text{propo-rew-step } r (\text{conn } c (\psi s @ \varphi \# \psi s')) (\text{conn } c (\psi s @ \varphi' \# \psi s'))$

Here is a more precise link between the lifting and the subformulas: if a rewriting takes place between φ and φ' , then there are two subformulas ψ in φ and ψ' in φ' , ψ' is the result of the rewriting of r on ψ .

This lemma is only a health condition:

lemma *propo-rew-step-subformula-imp*:

shows $\text{propo-rew-step } r \varphi \varphi' \implies \exists \psi \psi'. \psi \preceq \varphi \wedge \psi' \preceq \varphi' \wedge r \psi \psi'$
 $\langle proof \rangle$

The converse is moreover true: if there is a ψ and ψ' , then every formula φ containing ψ , can be rewritten into a formula φ' , such that it contains ψ' .

lemma *propo-rew-step-subformula-rec*:

fixes $\psi \ \psi' \ \varphi :: 'v \text{ propo}$
shows $\psi \preceq \varphi \implies r \ \psi \ \psi' \implies (\exists \varphi'. \ \psi' \preceq \varphi' \wedge \text{propo-rew-step } r \ \varphi \ \varphi')$
 $\langle \text{proof} \rangle$

lemma *propo-rew-step-subformula*:
 $(\exists \psi \ \psi'. \ \psi \preceq \varphi \wedge r \ \psi \ \psi') \longleftrightarrow (\exists \varphi'. \ \text{propo-rew-step } r \ \varphi \ \varphi')$
 $\langle \text{proof} \rangle$

lemma *consistency-decompose-into-list*:
assumes $\text{wf}: \text{wf-conn } c \ l$ **and** $\text{wf}': \text{wf-conn } c \ l'$
and $\text{same}: \forall n. \ A \models l \ ! \ n \longleftrightarrow (A \models l' \ ! \ n)$
shows $A \models \text{conn } c \ l \longleftrightarrow A \models \text{conn } c \ l'$
 $\langle \text{proof} \rangle$

Relation between *propo-rew-step* and the rewriting we have seen before: *propo-rew-step* $r \ \varphi \ \varphi'$ means that we rewrite ψ inside φ (ie at a path p) into ψ' .

lemma *propo-rew-step-rewrite*:
fixes $\varphi \ \varphi' :: 'v \text{ propo}$ **and** $r :: 'v \text{ propo} \Rightarrow 'v \text{ propo} \Rightarrow \text{bool}$
assumes *propo-rew-step* $r \ \varphi \ \varphi'$
shows $\exists \psi \ \psi' \ p. \ r \ \psi \ \psi' \wedge \text{path-to } p \ \varphi \ \psi \wedge \text{replace-at } p \ \varphi \ \psi' = \varphi'$
 $\langle \text{proof} \rangle$

1.3.2 Consistency Preservation

We define *preserve-models*: it means that a relation preserves consistency.

definition *preserve-models* **where**
 $\text{preserve-models } r \longleftrightarrow (\forall \varphi \ \psi. \ r \ \varphi \ \psi \longrightarrow (\forall A. \ A \models \varphi \longleftrightarrow A \models \psi))$

lemma *propo-rew-step-preservers-val-explicit*:
 $\text{propo-rew-step } r \ \varphi \ \psi \implies \text{preserve-models } r \implies \text{propo-rew-step } r \ \varphi \ \psi \implies (\forall A. \ A \models \varphi \longleftrightarrow A \models \psi)$
 $\langle \text{proof} \rangle$

lemma *propo-rew-step-preservers-val'*:
assumes *preserve-models* r
shows *preserve-models* $(\text{propo-rew-step } r)$
 $\langle \text{proof} \rangle$

lemma *preserve-models-OO[intro]*:
 $\text{preserve-models } f \implies \text{preserve-models } g \implies \text{preserve-models } (f \text{ OO } g)$
 $\langle \text{proof} \rangle$

lemma *star-consistency-preservation-explicit*:
assumes $(\text{propo-rew-step } r)^\wedge_{**} \ \varphi \ \psi$ **and** *preserve-models* r
shows $\forall A. \ A \models \varphi \longleftrightarrow A \models \psi$
 $\langle \text{proof} \rangle$

lemma *star-consistency-preservation*:
 $\text{preserve-models } r \implies \text{preserve-models } (\text{propo-rew-step } r)^\wedge_{**}$
 $\langle \text{proof} \rangle$

1.3.3 Full Lifting

In the previous a relation was lifted to a formula, now we define the relation such it is applied as long as possible. The definition is thus simply: it can be derived and nothing more can be derived.

lemma *full-ropo-rew-step-preservers-val*[simp]:
 $\text{preserve-models } r \implies \text{preserve-models } (\text{full } (\text{propo-rew-step } r))$
 <proof>

lemma *full-propo-rew-step-subformula*:
 $\text{full } (\text{propo-rew-step } r) \ \varphi' \varphi \implies \neg(\exists \psi \ \psi'. \ \psi \preceq \varphi \wedge r \ \psi \ \psi')$
 <proof>

1.4 Transformation testing

1.4.1 Definition and first Properties

To prove correctness of our transformation, we create a *all-subformula-st* predicate. It tests recursively all subformulas. At each step, the actual formula is tested. The aim of this *test-symb* function is to test locally some properties of the formulas (i.e. at the level of the connective or at first level). This allows a clause description between the rewrite relation and the *test-symb*

definition *all-subformula-st* :: ('a propo \Rightarrow bool) \Rightarrow 'a propo \Rightarrow bool **where**
all-subformula-st test-symb $\varphi \equiv \forall \psi. \ \psi \preceq \varphi \longrightarrow \text{test-symb } \psi$

lemma *test-symb-imp-all-subformula-st*[simp]:
 $\text{test-symb } FT \implies \text{all-subformula-st test-symb } FT$
 $\text{test-symb } FF \implies \text{all-subformula-st test-symb } FF$
 $\text{test-symb } (FVar \ x) \implies \text{all-subformula-st test-symb } (FVar \ x)$
 <proof>

lemma *all-subformula-st-test-symb-true-phi*:
 $\text{all-subformula-st test-symb } \varphi \implies \text{test-symb } \varphi$
 <proof>

lemma *all-subformula-st-decomp-imp*:
 $\text{wf-conn } c \ l \implies (\text{test-symb } (\text{conn } c \ l) \wedge (\forall \varphi \in \text{set } l. \ \text{all-subformula-st test-symb } \varphi))$
 $\implies \text{all-subformula-st test-symb } (\text{conn } c \ l)$
 <proof>

To ease the finding of proofs, we give some explicit theorem about the decomposition.

lemma *all-subformula-st-decomp-rec*:
 $\text{all-subformula-st test-symb } (\text{conn } c \ l) \implies \text{wf-conn } c \ l$
 $\implies (\text{test-symb } (\text{conn } c \ l) \wedge (\forall \varphi \in \text{set } l. \ \text{all-subformula-st test-symb } \varphi))$
 <proof>

lemma *all-subformula-st-decomp*:
fixes $c :: 'v \text{ connective}$ **and** $l :: 'v \text{ propo list}$
assumes $\text{wf-conn } c \ l$
shows $\text{all-subformula-st test-symb } (\text{conn } c \ l)$
 $\longleftrightarrow (\text{test-symb } (\text{conn } c \ l) \wedge (\forall \varphi \in \text{set } l. \ \text{all-subformula-st test-symb } \varphi))$
 <proof>

lemma *helper-fact*: $c \in \text{binary-connectives} \longleftrightarrow (c = COr \vee c = CAnd \vee c = CEq \vee c = CImp)$
 ⟨proof⟩

lemma *all-subformula-st-decomp-explicit[simp]*:

fixes $\varphi \psi :: 'v \text{ propo}$

shows *all-subformula-st test-symb* (FAnd $\varphi \psi$)

$\longleftrightarrow (\text{test-symb } (FAnd \varphi \psi) \wedge \text{all-subformula-st test-symb } \varphi \wedge \text{all-subformula-st test-symb } \psi)$

and *all-subformula-st test-symb* (FOr $\varphi \psi$)

$\longleftrightarrow (\text{test-symb } (FOr \varphi \psi) \wedge \text{all-subformula-st test-symb } \varphi \wedge \text{all-subformula-st test-symb } \psi)$

and *all-subformula-st test-symb* (FNot φ)

$\longleftrightarrow (\text{test-symb } (FNot \varphi) \wedge \text{all-subformula-st test-symb } \varphi)$

and *all-subformula-st test-symb* (FEq $\varphi \psi$)

$\longleftrightarrow (\text{test-symb } (FEq \varphi \psi) \wedge \text{all-subformula-st test-symb } \varphi \wedge \text{all-subformula-st test-symb } \psi)$

and *all-subformula-st test-symb* (FImp $\varphi \psi$)

$\longleftrightarrow (\text{test-symb } (FImp \varphi \psi) \wedge \text{all-subformula-st test-symb } \varphi \wedge \text{all-subformula-st test-symb } \psi)$

⟨proof⟩

As *all-subformula-st* tests recursively, the function is true on every subformula.

lemma *subformula-all-subformula-st*:

$\psi \preceq \varphi \implies \text{all-subformula-st test-symb } \varphi \implies \text{all-subformula-st test-symb } \psi$

⟨proof⟩

The following theorem *no-test-symb-step-exists* shows the link between the *test-symb* function and the corresponding rewrite relation *r*: if we assume that if every time *test-symb* is true, then a *r* can be applied, finally as long as $\neg \text{all-subformula-st test-symb } \varphi$, then something can be rewritten in φ .

lemma *no-test-symb-step-exists*:

fixes $r :: 'v \text{ propo} \Rightarrow 'v \text{ propo} \Rightarrow \text{bool}$ **and** *test-symb* :: $'v \text{ propo} \Rightarrow \text{bool}$ **and** $x :: 'v$

and $\varphi :: 'v \text{ propo}$

assumes

test-symb-false-nullary: $\forall x. \text{test-symb } FF \wedge \text{test-symb } FT \wedge \text{test-symb } (FVar x)$ **and**

$\forall \varphi'. \varphi' \preceq \varphi \longrightarrow (\neg \text{test-symb } \varphi') \longrightarrow (\exists \psi. r \varphi' \psi)$ **and**

$\neg \text{all-subformula-st test-symb } \varphi$

shows $\exists \psi \psi'. \psi \preceq \varphi \wedge r \psi \psi'$

⟨proof⟩

1.4.2 Invariant conservation

If two rewrite relation are independant (or at least independant enough), then the property characterizing the first relation *all-subformula-st test-symb* remains true. The next show the same property, with changes in the assumptions.

The assumption $\forall \varphi' \psi. \varphi' \preceq \Phi \longrightarrow r \varphi' \psi \longrightarrow \text{all-subformula-st test-symb } \varphi' \longrightarrow \text{all-subformula-st test-symb } \psi$ means that rewriting with *r* does not mess up the property we want to preserve locally.

The previous assumption is not enough to go from *r* to *propo-rew-step r*: we have to add the assumption that rewriting inside does not mess up the term: $\forall c \xi \varphi \xi' \varphi'. \varphi \preceq \Phi \longrightarrow \text{propo-rew-step } r \varphi \varphi' \longrightarrow \text{wf-conn } c (\xi @ \varphi \# \xi') \longrightarrow \text{test-symb } (\text{conn } c (\xi @ \varphi \# \xi')) \longrightarrow \text{test-symb } \varphi' \longrightarrow \text{test-symb } (\text{conn } c (\xi @ \varphi' \# \xi'))$

Invariant while lifting of the Rewriting Relation

The condition $\varphi \preceq \Phi$ (that will be used with $\Phi = \varphi$ most of the time) is here to ensure that the recursive conditions on Φ will moreover hold for the subterm we are rewriting. For example if

there is no equivalence symbol in Φ , we do not have to care about equivalence symbols in the two previous assumptions.

lemma *propo-rew-step-inv-stay'*:

fixes $r:: 'v \text{ propo} \Rightarrow 'v \text{ propo} \Rightarrow \text{bool}$ **and** $\text{test-symb}:: 'v \text{ propo} \Rightarrow \text{bool}$ **and** $x:: 'v$
and $\varphi \psi \Phi:: 'v \text{ propo}$
assumes $H: \forall \varphi' \psi. \varphi' \preceq \Phi \longrightarrow r \varphi' \psi \longrightarrow \text{all-subformula-st test-symb } \varphi'$
 $\longrightarrow \text{all-subformula-st test-symb } \psi$
and $H': \forall (c:: 'v \text{ connective}) \xi \varphi \xi' \varphi'. \varphi \preceq \Phi \longrightarrow \text{propo-rew-step } r \varphi \varphi'$
 $\longrightarrow \text{wf-conn } c (\xi @ \varphi \# \xi') \longrightarrow \text{test-symb } (\text{conn } c (\xi @ \varphi \# \xi')) \longrightarrow \text{test-symb } \varphi'$
 $\longrightarrow \text{test-symb } (\text{conn } c (\xi @ \varphi' \# \xi'))$ **and**
 $\text{propo-rew-step } r \varphi \psi$ **and**
 $\varphi \preceq \Phi$ **and**
 $\text{all-subformula-st test-symb } \varphi$
shows $\text{all-subformula-st test-symb } \psi$
 $\langle \text{proof} \rangle$

The need for $\varphi \preceq \Phi$ is not always necessary, hence we moreover have a version without inclusion.

lemma *propo-rew-step-inv-stay*:

fixes $r:: 'v \text{ propo} \Rightarrow 'v \text{ propo} \Rightarrow \text{bool}$ **and** $\text{test-symb}:: 'v \text{ propo} \Rightarrow \text{bool}$ **and** $x:: 'v$
and $\varphi \psi:: 'v \text{ propo}$
assumes
 $H: \forall \varphi' \psi. r \varphi' \psi \longrightarrow \text{all-subformula-st test-symb } \varphi' \longrightarrow \text{all-subformula-st test-symb } \psi$ **and**
 $H': \forall (c:: 'v \text{ connective}) \xi \varphi \xi' \varphi'. \text{wf-conn } c (\xi @ \varphi \# \xi') \longrightarrow \text{test-symb } (\text{conn } c (\xi @ \varphi \# \xi'))$
 $\longrightarrow \text{test-symb } \varphi' \longrightarrow \text{test-symb } (\text{conn } c (\xi @ \varphi' \# \xi'))$ **and**
 $\text{propo-rew-step } r \varphi \psi$ **and**
 $\text{all-subformula-st test-symb } \varphi$
shows $\text{all-subformula-st test-symb } \psi$
 $\langle \text{proof} \rangle$

The lemmas can be lifted to *propo-rew-step* r^\perp instead of *propo-rew-step*

Invariant after all Rewriting

lemma *full-propo-rew-step-inv-stay-with-inc*:

fixes $r:: 'v \text{ propo} \Rightarrow 'v \text{ propo} \Rightarrow \text{bool}$ **and** $\text{test-symb}:: 'v \text{ propo} \Rightarrow \text{bool}$ **and** $x:: 'v$
and $\varphi \psi:: 'v \text{ propo}$
assumes
 $H: \forall \varphi \psi. \text{propo-rew-step } r \varphi \psi \longrightarrow \text{all-subformula-st test-symb } \varphi$
 $\longrightarrow \text{all-subformula-st test-symb } \psi$ **and**
 $H': \forall (c:: 'v \text{ connective}) \xi \varphi \xi' \varphi'. \varphi \preceq \Phi \longrightarrow \text{propo-rew-step } r \varphi \varphi'$
 $\longrightarrow \text{wf-conn } c (\xi @ \varphi \# \xi') \longrightarrow \text{test-symb } (\text{conn } c (\xi @ \varphi \# \xi')) \longrightarrow \text{test-symb } \varphi'$
 $\longrightarrow \text{test-symb } (\text{conn } c (\xi @ \varphi' \# \xi'))$ **and**
 $\varphi \preceq \Phi$ **and**
 $\text{full: full } (\text{propo-rew-step } r) \varphi \psi$ **and**
 $\text{init: all-subformula-st test-symb } \varphi$
shows $\text{all-subformula-st test-symb } \psi$
 $\langle \text{proof} \rangle$

lemma *full-propo-rew-step-inv-stay'*:

fixes $r:: 'v \text{ propo} \Rightarrow 'v \text{ propo} \Rightarrow \text{bool}$ **and** $\text{test-symb}:: 'v \text{ propo} \Rightarrow \text{bool}$ **and** $x:: 'v$
and $\varphi \psi:: 'v \text{ propo}$
assumes
 $H: \forall \varphi \psi. \text{propo-rew-step } r \varphi \psi \longrightarrow \text{all-subformula-st test-symb } \varphi$
 $\longrightarrow \text{all-subformula-st test-symb } \psi$ **and**
 $H': \forall (c:: 'v \text{ connective}) \xi \varphi \xi' \varphi'. \text{propo-rew-step } r \varphi \varphi' \longrightarrow \text{wf-conn } c (\xi @ \varphi \# \xi')$

$\longrightarrow \text{test-symb } (\text{conn } c \ (\xi @ \varphi \# \xi')) \longrightarrow \text{test-symb } \varphi' \longrightarrow \text{test-symb } (\text{conn } c \ (\xi @ \varphi' \# \xi'))$ **and**
full: *full* (*propo-rew-step* *r*) $\varphi \ \psi$ **and**
init: *all-subformula-st test-symb* φ
shows *all-subformula-st test-symb* ψ
 <proof>

lemma *full-propo-rew-step-inv-stay*:

fixes *r*:: '*v propo* \Rightarrow '*v propo* \Rightarrow *bool* **and** *test-symb*:: '*v propo* \Rightarrow *bool* **and** *x*:: '*v*
and $\varphi \ \psi$:: '*v propo*
assumes
H: $\forall \varphi \ \psi. \ r \ \varphi \ \psi \longrightarrow \text{all-subformula-st test-symb } \varphi \longrightarrow \text{all-subformula-st test-symb } \psi$ **and**
H': $\forall (c:: 'v \text{ connective}) \ \xi \ \varphi \ \xi' \ \varphi'. \ \text{wf-conn } c \ (\xi @ \varphi \# \xi') \longrightarrow \text{test-symb } (\text{conn } c \ (\xi @ \varphi \# \xi'))$
 $\longrightarrow \text{test-symb } \varphi' \longrightarrow \text{test-symb } (\text{conn } c \ (\xi @ \varphi' \# \xi'))$ **and**
full: *full* (*propo-rew-step* *r*) $\varphi \ \psi$ **and**
init: *all-subformula-st test-symb* φ
shows *all-subformula-st test-symb* ψ
 <proof>

lemma *full-propo-rew-step-inv-stay-conn*:

fixes *r*:: '*v propo* \Rightarrow '*v propo* \Rightarrow *bool* **and** *test-symb*:: '*v propo* \Rightarrow *bool* **and** *x*:: '*v*
and $\varphi \ \psi$:: '*v propo*
assumes
H: $\forall \varphi \ \psi. \ r \ \varphi \ \psi \longrightarrow \text{all-subformula-st test-symb } \varphi \longrightarrow \text{all-subformula-st test-symb } \psi$ **and**
H': $\forall (c:: 'v \text{ connective}) \ l \ l'. \ \text{wf-conn } c \ l \longrightarrow \text{wf-conn } c \ l'$
 $\longrightarrow (\text{test-symb } (\text{conn } c \ l) \longleftrightarrow \text{test-symb } (\text{conn } c \ l'))$ **and**
full: *full* (*propo-rew-step* *r*) $\varphi \ \psi$ **and**
init: *all-subformula-st test-symb* φ
shows *all-subformula-st test-symb* ψ
 <proof>

end

theory *Prop-Normalisation*

imports *Prop-Logic Prop-Abstract-Transformation Nested-Multisets-Ordinals.Multiset-More*

begin

Given the previous definition about abstract rewriting and theorem about them, we now have the detailed rule making the transformation into CNF/DNF.

1.5 Rewrite Rules

The idea of Christoph Weidenbach's book is to remove gradually the operators: first equivalencies, then implication, after that the unused true/false and finally the reorganizing the or/and. We will prove each transformation separately.

1.5.1 Elimination of the Equivalences

The first transformation consists in removing every equivalence symbol.

inductive *elim-equiv* :: '*v propo* \Rightarrow '*v propo* \Rightarrow *bool* **where**
elim-equiv[*simp*]: *elim-equiv* (*FEq* $\varphi \ \psi$) (*FAnd* (*FImp* $\varphi \ \psi$) (*FImp* $\psi \ \varphi$))

lemma *elim-equiv-transformation-consistent*:

$A \models \text{FEq } \varphi \ \psi \longleftrightarrow A \models \text{FAnd } (\text{FImp } \varphi \ \psi) (\text{FImp } \psi \ \varphi)$

$\langle \text{proof} \rangle$

lemma *elim-equiv-explicit*: $\text{elim-equiv } \varphi \ \psi \implies \forall A. A \models \varphi \iff A \models \psi$
 $\langle \text{proof} \rangle$

lemma *elim-equiv-consistent*: *preserve-models elim-equiv*
 $\langle \text{proof} \rangle$

lemma *elimEquiv-lifted-consistant*:
preserve-models (full (propo-rew-step elim-equiv))
 $\langle \text{proof} \rangle$

This function ensures that there is no equivalencies left in the formula tested by *no-equiv-symb*.

fun *no-equiv-symb* :: 'v propo \Rightarrow bool **where**
no-equiv-symb (FEq -) = False |
no-equiv-symb - = True

Given the definition of *no-equiv-symb*, it does not depend on the formula, but only on the connective used.

lemma *no-equiv-symb-conn-characterization[simp]*:
fixes $c :: 'v \text{ connective}$ **and** $l :: 'v \text{ propo list}$
assumes $\text{wf}: \text{wf-conn } c \ l$
shows $\text{no-equiv-symb } (\text{conn } c \ l) \iff c \neq \text{CEq}$
 $\langle \text{proof} \rangle$

definition *no-equiv* **where** *no-equiv* = *all-subformula-st no-equiv-symb*

lemma *no-equiv-eq[simp]*:
fixes $\varphi \ \psi :: 'v \text{ propo}$
shows
 $\neg \text{no-equiv } (\text{FEq } \varphi \ \psi)$
 $\text{no-equiv } FT$
 $\text{no-equiv } FF$
 $\langle \text{proof} \rangle$

The following lemma helps to reconstruct *no-equiv* expressions: this representation is easier to use than the set definition.

lemma *all-subformula-st-decomp-explicit-no-equiv[iff]*:
fixes $\varphi \ \psi :: 'v \text{ propo}$
shows
 $\text{no-equiv } (\text{FNot } \varphi) \iff \text{no-equiv } \varphi$
 $\text{no-equiv } (\text{FAnd } \varphi \ \psi) \iff (\text{no-equiv } \varphi \wedge \text{no-equiv } \psi)$
 $\text{no-equiv } (\text{FOr } \varphi \ \psi) \iff (\text{no-equiv } \varphi \wedge \text{no-equiv } \psi)$
 $\text{no-equiv } (\text{FImp } \varphi \ \psi) \iff (\text{no-equiv } \varphi \wedge \text{no-equiv } \psi)$
 $\langle \text{proof} \rangle$

A theorem to show the link between the rewrite relation *elim-equiv* and the function *no-equiv-symb*. This theorem is one of the assumption we need to characterize the transformation.

lemma *no-equiv-elim-equiv-step*:
fixes $\varphi :: 'v \text{ propo}$
assumes *no-equiv*: $\neg \text{no-equiv } \varphi$
shows $\exists \psi \ \psi'. \psi \preceq \varphi \wedge \text{elim-equiv } \psi \ \psi'$
 $\langle \text{proof} \rangle$

Given all the previous theorem and the characterization, once we have rewritten everything, there is no equivalence symbol any more.

lemma *no-equiv-full-propo-rew-step-elim-equiv*:
 $\text{full } (\text{propo-rew-step elim-equiv}) \varphi \psi \implies \text{no-equiv } \psi$
 $\langle \text{proof} \rangle$

1.5.2 Eliminate Implication

After that, we can eliminate the implication symbols.

inductive *elim-imp* :: 'v propo \Rightarrow 'v propo \Rightarrow bool **where**
 $[\text{simp}]: \text{elim-imp } (F\text{Imp } \varphi \psi) (F\text{Or } (F\text{Not } \varphi) \psi)$

lemma *elim-imp-transformation-consistent*:
 $A \models F\text{Imp } \varphi \psi \longleftrightarrow A \models F\text{Or } (F\text{Not } \varphi) \psi$
 $\langle \text{proof} \rangle$

lemma *elim-imp-explicit*: $\text{elim-imp } \varphi \psi \implies \forall A. A \models \varphi \longleftrightarrow A \models \psi$
 $\langle \text{proof} \rangle$

lemma *elim-imp-consistent*: *preserve-models elim-imp*
 $\langle \text{proof} \rangle$

lemma *elim-imp-lifted-consistant*:
 $\text{preserve-models } (\text{full } (\text{propo-rew-step elim-imp}))$
 $\langle \text{proof} \rangle$

fun *no-imp-symb* **where**
 $\text{no-imp-symb } (F\text{Imp } -) = \text{False} \mid$
 $\text{no-imp-symb } - = \text{True}$

lemma *no-imp-symb-conn-characterization*:
 $\text{wf-conn } c \ l \implies \text{no-imp-symb } (\text{conn } c \ l) \longleftrightarrow c \neq C\text{Imp}$
 $\langle \text{proof} \rangle$

definition *no-imp* **where** $\text{no-imp} \equiv \text{all-subformula-st no-imp-symb}$
declare $\text{no-imp-def}[\text{simp}]$

lemma *no-imp-Imp[simp]*:
 $\neg \text{no-imp } (F\text{Imp } \varphi \psi)$
 $\text{no-imp } FT$
 $\text{no-imp } FF$
 $\langle \text{proof} \rangle$

lemma *all-subformula-st-decomp-explicit-imp[simp]*:
fixes $\varphi \psi :: 'v \text{ propo}$
shows
 $\text{no-imp } (F\text{Not } \varphi) \longleftrightarrow \text{no-imp } \varphi$
 $\text{no-imp } (F\text{And } \varphi \psi) \longleftrightarrow (\text{no-imp } \varphi \wedge \text{no-imp } \psi)$
 $\text{no-imp } (F\text{Or } \varphi \psi) \longleftrightarrow (\text{no-imp } \varphi \wedge \text{no-imp } \psi)$
 $\langle \text{proof} \rangle$

Invariant of the *elim-imp* transformation

lemma *elim-imp-no-equiv*:
 $\text{elim-imp } \varphi \psi \implies \text{no-equiv } \varphi \implies \text{no-equiv } \psi$

$\langle \text{proof} \rangle$

lemma *elim-imp-inv*:

fixes $\varphi \ \psi :: 'v \ \text{propo}$

assumes *full* (*propo-rew-step elim-imp*) $\varphi \ \psi$ **and** *no-equiv* φ

shows *no-equiv* ψ

$\langle \text{proof} \rangle$

lemma *no-no-imp-elim-imp-step-exists*:

fixes $\varphi :: 'v \ \text{propo}$

assumes *no-equiv*: $\neg \text{no-imp } \varphi$

shows $\exists \psi \ \psi'. \ \psi \preceq \varphi \wedge \text{elim-imp } \psi \ \psi'$

$\langle \text{proof} \rangle$

lemma *no-imp-full-propo-rew-step-elim-imp*: *full* (*propo-rew-step elim-imp*) $\varphi \ \psi \implies \text{no-imp } \psi$

$\langle \text{proof} \rangle$

1.5.3 Eliminate all the True and False in the formula

Contrary to the book, we have to give the transformation and the “commutative” transformation. The latter is implicit in the book.

inductive *elimTB* **where**

ElimTB1: *elimTB* (*FAnd* $\varphi \ FT$) $\varphi \mid$

ElimTB1': *elimTB* (*FAnd* $FT \ \varphi$) $\varphi \mid$

ElimTB2: *elimTB* (*FAnd* $\varphi \ FF$) $FF \mid$

ElimTB2': *elimTB* (*FAnd* $FF \ \varphi$) $FF \mid$

ElimTB3: *elimTB* (*FOr* $\varphi \ FT$) $FT \mid$

ElimTB3': *elimTB* (*FOr* $FT \ \varphi$) $FT \mid$

ElimTB4: *elimTB* (*FOr* $\varphi \ FF$) $\varphi \mid$

ElimTB4': *elimTB* (*FOr* $FF \ \varphi$) $\varphi \mid$

ElimTB5: *elimTB* (*FNot* FT) $FF \mid$

ElimTB6: *elimTB* (*FNot* FF) FT

lemma *elimTB-consistent*: *preserve-models elimTB*

$\langle \text{proof} \rangle$

inductive *no-T-F-symb* :: $'v \ \text{propo} \Rightarrow \text{bool}$ **where**

no-T-F-symb-comp: $c \neq CF \implies c \neq CT \implies \text{wf-conn } c \ l \implies (\forall \varphi \in \text{set } l. \ \varphi \neq FT \wedge \varphi \neq FF) \implies \text{no-T-F-symb } (\text{conn } c \ l)$

lemma *wf-conn-no-T-F-symb-iff[simp]*:

wf-conn $c \ \psi s \implies$

no-T-F-symb (*conn* $c \ \psi s$) $\longleftrightarrow (c \neq CF \wedge c \neq CT \wedge (\forall \psi \in \text{set } \psi s. \ \psi \neq FF \wedge \psi \neq FT))$

$\langle \text{proof} \rangle$

lemma *wf-conn-no-T-F-symb-iff-explicit[simp]*:

no-T-F-symb (*FAnd* $\varphi \ \psi$) $\longleftrightarrow (\forall \chi \in \text{set } [\varphi, \psi]. \ \chi \neq FF \wedge \chi \neq FT)$

no-T-F-symb (*FOr* $\varphi \ \psi$) $\longleftrightarrow (\forall \chi \in \text{set } [\varphi, \psi]. \ \chi \neq FF \wedge \chi \neq FT)$

no-T-F-symb (*FEq* $\varphi \ \psi$) $\longleftrightarrow (\forall \chi \in \text{set } [\varphi, \psi]. \ \chi \neq FF \wedge \chi \neq FT)$

no-T-F-symb (*FImp* φ ψ) $\longleftrightarrow (\forall \chi \in \text{set } [\varphi, \psi]. \chi \neq FF \wedge \chi \neq FT)$
 $\langle \text{proof} \rangle$

lemma *no-T-F-symb-false*[*simp*]:
fixes $c :: 'v$ *connective*
shows
 $\neg \text{no-T-F-symb } (FT :: 'v \text{ propo})$
 $\neg \text{no-T-F-symb } (FF :: 'v \text{ propo})$
 $\langle \text{proof} \rangle$

lemma *no-T-F-symb-bool*[*simp*]:
fixes $x :: 'v$
shows *no-T-F-symb* (*FVar* x)
 $\langle \text{proof} \rangle$

lemma *no-T-F-symb-fnot-imp*:
 $\neg \text{no-T-F-symb } (FNot \varphi) \implies \varphi = FT \vee \varphi = FF$
 $\langle \text{proof} \rangle$

lemma *no-T-F-symb-fnot*[*simp*]:
 $\text{no-T-F-symb } (FNot \varphi) \longleftrightarrow \neg(\varphi = FT \vee \varphi = FF)$
 $\langle \text{proof} \rangle$

Actually it is not possible to remove every *FT* and *FF*: if the formula is equal to true or false, we can not remove it.

inductive *no-T-F-symb-except-toplevel* **where**
no-T-F-symb-except-toplevel-true[*simp*]: *no-T-F-symb-except-toplevel* *FT* |
no-T-F-symb-except-toplevel-false[*simp*]: *no-T-F-symb-except-toplevel* *FF* |
noTrue-no-T-F-symb-except-toplevel[*simp*]: *no-T-F-symb* $\varphi \implies \text{no-T-F-symb-except-toplevel } \varphi$

lemma *no-T-F-symb-except-toplevel-bool*:
fixes $x :: 'v$
shows *no-T-F-symb-except-toplevel* (*FVar* x)
 $\langle \text{proof} \rangle$

lemma *no-T-F-symb-except-toplevel-not-decom*:
 $\varphi \neq FT \implies \varphi \neq FF \implies \text{no-T-F-symb-except-toplevel } (FNot \varphi)$
 $\langle \text{proof} \rangle$

lemma *no-T-F-symb-except-toplevel-bin-decom*:
fixes $\varphi \psi :: 'v \text{ propo}$
assumes $\varphi \neq FT$ **and** $\varphi \neq FF$ **and** $\psi \neq FT$ **and** $\psi \neq FF$
and $c \in \text{binary-connectives}$
shows *no-T-F-symb-except-toplevel* (*conn* c $[\varphi, \psi]$)
 $\langle \text{proof} \rangle$

lemma *no-T-F-symb-except-toplevel-if-is-a-true-false*:
fixes $l :: 'v \text{ propo list}$ **and** $c :: 'v \text{ connective}$
assumes *corr*: *wf-conn* c l
and $FT \in \text{set } l \vee FF \in \text{set } l$
shows $\neg \text{no-T-F-symb-except-toplevel } (\text{conn } c \ l)$
 $\langle \text{proof} \rangle$

lemma *no-T-F-symb-except-top-level-false-example[simp]*:
fixes $\varphi \psi :: 'v \text{ propo}$
assumes $\varphi = FT \vee \psi = FT \vee \varphi = FF \vee \psi = FF$
shows
 $\neg \text{no-T-F-symb-except-toplevel } (FAnd \ \varphi \ \psi)$
 $\neg \text{no-T-F-symb-except-toplevel } (FOr \ \varphi \ \psi)$
 $\neg \text{no-T-F-symb-except-toplevel } (FImp \ \varphi \ \psi)$
 $\neg \text{no-T-F-symb-except-toplevel } (FEq \ \varphi \ \psi)$
 $\langle \text{proof} \rangle$

lemma *no-T-F-symb-except-top-level-false-not[simp]*:
fixes $\varphi \psi :: 'v \text{ propo}$
assumes $\varphi = FT \vee \varphi = FF$
shows
 $\neg \text{no-T-F-symb-except-toplevel } (FNot \ \varphi)$
 $\langle \text{proof} \rangle$

This is the local extension of *no-T-F-symb-except-toplevel*.

definition *no-T-F-except-top-level* **where**
 $\text{no-T-F-except-top-level} \equiv \text{all-subformula-st no-T-F-symb-except-toplevel}$

This is another property we will use. While this version might seem to be the one we want to prove, it is not since *FT* can not be reduced.

definition *no-T-F* **where**
 $\text{no-T-F} \equiv \text{all-subformula-st no-T-F-symb}$

lemma *no-T-F-except-top-level-false*:
fixes $l :: 'v \text{ propo list}$ **and** $c :: 'v \text{ connective}$
assumes $\text{wf-conn } c \ l$
and $FT \in \text{set } l \vee FF \in \text{set } l$
shows $\neg \text{no-T-F-except-top-level } (\text{conn } c \ l)$
 $\langle \text{proof} \rangle$

lemma *no-T-F-except-top-level-false-example[simp]*:
fixes $\varphi \psi :: 'v \text{ propo}$
assumes $\varphi = FT \vee \psi = FT \vee \varphi = FF \vee \psi = FF$
shows
 $\neg \text{no-T-F-except-top-level } (FAnd \ \varphi \ \psi)$
 $\neg \text{no-T-F-except-top-level } (FOr \ \varphi \ \psi)$
 $\neg \text{no-T-F-except-top-level } (FEq \ \varphi \ \psi)$
 $\neg \text{no-T-F-except-top-level } (FImp \ \varphi \ \psi)$
 $\langle \text{proof} \rangle$

lemma *no-T-F-symb-except-toplevel-no-T-F-symb*:
 $\text{no-T-F-symb-except-toplevel } \varphi \implies \varphi \neq FF \implies \varphi \neq FT \implies \text{no-T-F-symb } \varphi$
 $\langle \text{proof} \rangle$

The two following lemmas give the precise link between the two definitions.

lemma *no-T-F-symb-except-toplevel-all-subformula-st-no-T-F-symb*:
 $\text{no-T-F-except-top-level } \varphi \implies \varphi \neq FF \implies \varphi \neq FT \implies \text{no-T-F } \varphi$
 $\langle \text{proof} \rangle$

lemma *no-T-F-no-T-F-except-top-level*:
 $\text{no-T-F } \varphi \implies \text{no-T-F-except-top-level } \varphi$

$\langle \text{proof} \rangle$

lemma *no-T-F-except-top-level-simp*[simp]: *no-T-F-except-top-level FF no-T-F-except-top-level FT*
 $\langle \text{proof} \rangle$

lemma *no-T-F-no-T-F-except-top-level'*[simp]:
no-T-F-except-top-level $\varphi \longleftrightarrow (\varphi = FF \vee \varphi = FT \vee \text{no-T-F } \varphi)$
 $\langle \text{proof} \rangle$

lemma *no-T-F-bin-decomp*[simp]:
assumes *c*: *c* \in *binary-connectives*
shows *no-T-F (conn c [φ , ψ]) $\longleftrightarrow (\text{no-T-F } \varphi \wedge \text{no-T-F } \psi)$*
 $\langle \text{proof} \rangle$

lemma *no-T-F-bin-decomp-expanded*[simp]:
assumes *c*: *c* = *CAnd* \vee *c* = *COr* \vee *c* = *CEq* \vee *c* = *CImp*
shows *no-T-F (conn c [φ , ψ]) $\longleftrightarrow (\text{no-T-F } \varphi \wedge \text{no-T-F } \psi)$*
 $\langle \text{proof} \rangle$

lemma *no-T-F-comp-expanded-explicit*[simp]:
fixes $\varphi \psi :: 'v \text{ propo}$
shows
no-T-F (FAnd $\varphi \psi$) $\longleftrightarrow (\text{no-T-F } \varphi \wedge \text{no-T-F } \psi)$
no-T-F (FOr $\varphi \psi$) $\longleftrightarrow (\text{no-T-F } \varphi \wedge \text{no-T-F } \psi)$
no-T-F (FEq $\varphi \psi$) $\longleftrightarrow (\text{no-T-F } \varphi \wedge \text{no-T-F } \psi)$
no-T-F (FImp $\varphi \psi$) $\longleftrightarrow (\text{no-T-F } \varphi \wedge \text{no-T-F } \psi)$
 $\langle \text{proof} \rangle$

lemma *no-T-F-comp-not*[simp]:
fixes $\varphi \psi :: 'v \text{ propo}$
shows *no-T-F (FNot φ) $\longleftrightarrow \text{no-T-F } \varphi$*
 $\langle \text{proof} \rangle$

lemma *no-T-F-decomp*:
fixes $\varphi \psi :: 'v \text{ propo}$
assumes φ : *no-T-F (FAnd $\varphi \psi$) \vee no-T-F (FOr $\varphi \psi$) \vee no-T-F (FEq $\varphi \psi$) \vee no-T-F (FImp $\varphi \psi$)
shows *no-T-F ψ and no-T-F φ*
 $\langle \text{proof} \rangle$*

lemma *no-T-F-decomp-not*:
fixes $\varphi :: 'v \text{ propo}$
assumes φ : *no-T-F (FNot φ)*
shows *no-T-F φ*
 $\langle \text{proof} \rangle$

lemma *no-T-F-symb-except-toplevel-step-exists*:
fixes $\varphi \psi :: 'v \text{ propo}$
assumes *no-equiv φ and no-imp φ*
shows $\psi \preceq \varphi \implies \neg \text{no-T-F-symb-except-toplevel } \psi \implies \exists \psi'. \text{elimTB } \psi \psi'$
 $\langle \text{proof} \rangle$

lemma *no-T-F-except-top-level-rew*:
fixes $\varphi :: 'v \text{ propo}$
assumes *noTB*: $\neg \text{no-T-F-except-top-level } \varphi$ **and** *no-equiv*: *no-equiv φ and no-imp*: *no-imp φ*
shows $\exists \psi \psi'. \psi \preceq \varphi \wedge \text{elimTB } \psi \psi'$
 $\langle \text{proof} \rangle$

lemma *elimTB-inv*:

fixes $\varphi \psi :: 'v \text{ propo}$
assumes *full (propo-rew-step elimTB) $\varphi \psi$*
and *no-equiv φ and no-imp φ*
shows *no-equiv ψ and no-imp ψ*

$\langle \text{proof} \rangle$

lemma *elimTB-full-propo-rew-step*:

fixes $\varphi \psi :: 'v \text{ propo}$
assumes *no-equiv φ and no-imp φ and full (propo-rew-step elimTB) $\varphi \psi$*
shows *no-T-F-except-top-level ψ*

$\langle \text{proof} \rangle$

1.5.4 PushNeg

Push the negation inside the formula, until the literal.

inductive *pushNeg* **where**

PushNeg1[simp]: pushNeg (FNot (FAnd $\varphi \psi$)) (FOr (FNot φ) (FNot ψ)) |
PushNeg2[simp]: pushNeg (FNot (FOr $\varphi \psi$)) (FAnd (FNot φ) (FNot ψ)) |
PushNeg3[simp]: pushNeg (FNot (FNot φ)) φ

lemma *pushNeg-transformation-consistent*:

$A \models \text{FNot (FAnd } \varphi \psi) \longleftrightarrow A \models (\text{FOr (FNot } \varphi) (\text{FNot } \psi))$
 $A \models \text{FNot (FOr } \varphi \psi) \longleftrightarrow A \models (\text{FAnd (FNot } \varphi) (\text{FNot } \psi))$
 $A \models \text{FNot (FNot } \varphi) \longleftrightarrow A \models \varphi$

$\langle \text{proof} \rangle$

lemma *pushNeg-explicit*: $\text{pushNeg } \varphi \psi \implies \forall A. A \models \varphi \longleftrightarrow A \models \psi$

$\langle \text{proof} \rangle$

lemma *pushNeg-consistent: preserve-models pushNeg*

$\langle \text{proof} \rangle$

lemma *pushNeg-lifted-consistant*:

preserve-models (full (propo-rew-step pushNeg))

$\langle \text{proof} \rangle$

fun *simple* **where**

simple FT = True |
simple FF = True |
simple (FVar -) = True |
simple - = False

lemma *simple-decomp*:

simple $\varphi \longleftrightarrow (\varphi = \text{FT} \vee \varphi = \text{FF} \vee (\exists x. \varphi = \text{FVar } x))$

$\langle \text{proof} \rangle$

lemma *subformula-conn-decomp-simple*:

fixes $\varphi \psi :: 'v \text{ propo}$
assumes *s: simple ψ*
shows $\varphi \preceq \text{FNot } \psi \longleftrightarrow (\varphi = \text{FNot } \psi \vee \varphi = \psi)$

$\langle \text{proof} \rangle$

lemma *subformula-conn-decomp-explicit*[simp]:

fixes $\varphi :: 'v \text{ propo}$ **and** $x :: 'v$

shows

$\varphi \preceq \text{FNot } FT \longleftrightarrow (\varphi = \text{FNot } FT \vee \varphi = FT)$

$\varphi \preceq \text{FNot } FF \longleftrightarrow (\varphi = \text{FNot } FF \vee \varphi = FF)$

$\varphi \preceq \text{FNot } (\text{FVar } x) \longleftrightarrow (\varphi = \text{FNot } (\text{FVar } x) \vee \varphi = \text{FVar } x)$

$\langle \text{proof} \rangle$

fun *simple-not-symb* **where**

simple-not-symb ($\text{FNot } \varphi$) = (*simple* φ) |

simple-not-symb - = *True*

definition *simple-not* **where**

simple-not = *all-subformula-st simple-not-symb*

declare *simple-not-def*[simp]

lemma *simple-not-Not*[simp]:

$\neg \text{simple-not } (\text{FNot } (\text{FAnd } \varphi \ \psi))$

$\neg \text{simple-not } (\text{FNot } (\text{FOr } \varphi \ \psi))$

$\langle \text{proof} \rangle$

lemma *simple-not-step-exists*:

fixes $\varphi \ \psi :: 'v \text{ propo}$

assumes *no-equiv* φ **and** *no-imp* φ

shows $\psi \preceq \varphi \implies \neg \text{simple-not-symb } \psi \implies \exists \psi'. \text{pushNeg } \psi \ \psi'$

$\langle \text{proof} \rangle$

lemma *simple-not-rew*:

fixes $\varphi :: 'v \text{ propo}$

assumes *noTB*: $\neg \text{simple-not } \varphi$ **and** *no-equiv*: *no-equiv* φ **and** *no-imp*: *no-imp* φ

shows $\exists \psi \ \psi'. \psi \preceq \varphi \wedge \text{pushNeg } \psi \ \psi'$

$\langle \text{proof} \rangle$

lemma *no-T-F-except-top-level-pushNeg1*:

no-T-F-except-top-level ($\text{FNot } (\text{FAnd } \varphi \ \psi)$) \implies *no-T-F-except-top-level* ($\text{FOr } (\text{FNot } \varphi) (\text{FNot } \psi)$)

$\langle \text{proof} \rangle$

lemma *no-T-F-except-top-level-pushNeg2*:

no-T-F-except-top-level ($\text{FNot } (\text{FOr } \varphi \ \psi)$) \implies *no-T-F-except-top-level* ($\text{FAnd } (\text{FNot } \varphi) (\text{FNot } \psi)$)

$\langle \text{proof} \rangle$

lemma *no-T-F-symb-pushNeg*:

no-T-F-symb ($\text{FOr } (\text{FNot } \varphi') (\text{FNot } \psi')$)

no-T-F-symb ($\text{FAnd } (\text{FNot } \varphi') (\text{FNot } \psi')$)

no-T-F-symb ($\text{FNot } (\text{FNot } \varphi')$)

$\langle \text{proof} \rangle$

lemma *propo-rew-step-pushNeg-no-T-F-symb*:

propo-rew-step pushNeg $\varphi \ \psi \implies$ *no-T-F-except-top-level* $\varphi \implies$ *no-T-F-symb* $\varphi \implies$ *no-T-F-symb* ψ

$\langle \text{proof} \rangle$

lemma *propo-rew-step-pushNeg-no-T-F*:

propo-rew-step pushNeg $\varphi \ \psi \implies$ *no-T-F* $\varphi \implies$ *no-T-F* ψ

$\langle \text{proof} \rangle$

lemma *pushNeg-inv*:

fixes $\varphi \psi :: 'v \text{ propo}$

assumes *full* (*propo-rew-step* *pushNeg*) $\varphi \psi$

and *no-equiv* φ **and** *no-imp* φ **and** *no-T-F-except-top-level* φ

shows *no-equiv* ψ **and** *no-imp* ψ **and** *no-T-F-except-top-level* ψ

$\langle \text{proof} \rangle$

lemma *pushNeg-full-propo-rew-step*:

fixes $\varphi \psi :: 'v \text{ propo}$

assumes

no-equiv φ **and**

no-imp φ **and**

full (*propo-rew-step* *pushNeg*) $\varphi \psi$ **and**

no-T-F-except-top-level φ

shows *simple-not* ψ

$\langle \text{proof} \rangle$

1.5.5 Push Inside

inductive *push-conn-inside* :: $'v \text{ connective} \Rightarrow 'v \text{ connective} \Rightarrow 'v \text{ propo} \Rightarrow 'v \text{ propo} \Rightarrow \text{bool}$

for $c c' :: 'v \text{ connective}$ **where**

push-conn-inside-l[simp]: $c = CAnd \vee c = COr \Longrightarrow c' = CAnd \vee c' = COr$

$\Longrightarrow \text{push-conn-inside } c c' (\text{conn } c [\text{conn } c' [\varphi 1, \varphi 2], \psi])$

$(\text{conn } c' [\text{conn } c [\varphi 1, \psi], \text{conn } c [\varphi 2, \psi]]) \mid$

push-conn-inside-r[simp]: $c = CAnd \vee c = COr \Longrightarrow c' = CAnd \vee c' = COr$

$\Longrightarrow \text{push-conn-inside } c c' (\text{conn } c [\psi, \text{conn } c' [\varphi 1, \varphi 2]])$

$(\text{conn } c' [\text{conn } c [\psi, \varphi 1], \text{conn } c [\psi, \varphi 2]])$

lemma *push-conn-inside-explicit*: $\text{push-conn-inside } c c' \varphi \psi \Longrightarrow \forall A. A \models \varphi \longleftrightarrow A \models \psi$

$\langle \text{proof} \rangle$

lemma *push-conn-inside-consistent*: *preserve-models* (*push-conn-inside* $c c'$)

$\langle \text{proof} \rangle$

lemma *propo-rew-step-push-conn-inside[simp]*:

$\neg \text{propo-rew-step } (\text{push-conn-inside } c c') FT \psi \neg \text{propo-rew-step } (\text{push-conn-inside } c c') FF \psi$

$\langle \text{proof} \rangle$

inductive *not-c-in-c'-symb*:: $'v \text{ connective} \Rightarrow 'v \text{ connective} \Rightarrow 'v \text{ propo} \Rightarrow \text{bool}$ **for** $c c'$ **where**

not-c-in-c'-symb-l[simp]: $\text{wf-conn } c [\text{conn } c' [\varphi, \varphi'], \psi] \Longrightarrow \text{wf-conn } c' [\varphi, \varphi']$

$\Longrightarrow \text{not-c-in-c'-symb } c c' (\text{conn } c [\text{conn } c' [\varphi, \varphi'], \psi]) \mid$

not-c-in-c'-symb-r[simp]: $\text{wf-conn } c [\psi, \text{conn } c' [\varphi, \varphi']] \Longrightarrow \text{wf-conn } c' [\varphi, \varphi']$

$\Longrightarrow \text{not-c-in-c'-symb } c c' (\text{conn } c [\psi, \text{conn } c' [\varphi, \varphi']])$

abbreviation *c-in-c'-symb* $c c' \varphi \equiv \neg \text{not-c-in-c'-symb } c c' \varphi$

lemma *c-in-c'-symb-simp*:

$\text{not-c-in-c'-symb } c c' \xi \Longrightarrow \xi = FF \vee \xi = FT \vee \xi = FVar x \vee \xi = FNot FF \vee \xi = FNot FT$

$\vee \xi = FNot (FVar x) \Longrightarrow \text{False}$

$\langle \text{proof} \rangle$

lemma *c-in-c'-symb-simp'[simp]:*

$\neg \text{not-c-in-c'-symb } c \ c' \ FF$
 $\neg \text{not-c-in-c'-symb } c \ c' \ FT$
 $\neg \text{not-c-in-c'-symb } c \ c' \ (FVar \ x)$
 $\neg \text{not-c-in-c'-symb } c \ c' \ (FNot \ FF)$
 $\neg \text{not-c-in-c'-symb } c \ c' \ (FNot \ FT)$
 $\neg \text{not-c-in-c'-symb } c \ c' \ (FNot \ (FVar \ x))$
 $\langle \text{proof} \rangle$

definition *c-in-c'-only where*

c-in-c'-only $c \ c' \equiv \text{all-subformula-st } (c\text{-in-c'-symb } c \ c')$

lemma *c-in-c'-only-simp[simp]:*

c-in-c'-only $c \ c' \ FF$
c-in-c'-only $c \ c' \ FT$
c-in-c'-only $c \ c' \ (FVar \ x)$
c-in-c'-only $c \ c' \ (FNot \ FF)$
c-in-c'-only $c \ c' \ (FNot \ FT)$
c-in-c'-only $c \ c' \ (FNot \ (FVar \ x))$
 $\langle \text{proof} \rangle$

lemma *not-c-in-c'-symb-commute:*

$\text{not-c-in-c'-symb } c \ c' \ \xi \implies \text{wf-conn } c \ [\varphi, \psi] \implies \xi = \text{conn } c \ [\varphi, \psi]$
 $\implies \text{not-c-in-c'-symb } c \ c' \ (\text{conn } c \ [\psi, \varphi])$

$\langle \text{proof} \rangle$

lemma *not-c-in-c'-symb-commute':*

$\text{wf-conn } c \ [\varphi, \psi] \implies c\text{-in-c'-symb } c \ c' \ (\text{conn } c \ [\varphi, \psi]) \longleftrightarrow c\text{-in-c'-symb } c \ c' \ (\text{conn } c \ [\psi, \varphi])$
 $\langle \text{proof} \rangle$

lemma *not-c-in-c'-comm:*

assumes *wf*: $\text{wf-conn } c \ [\varphi, \psi]$
shows $c\text{-in-c'-only } c \ c' \ (\text{conn } c \ [\varphi, \psi]) \longleftrightarrow c\text{-in-c'-only } c \ c' \ (\text{conn } c \ [\psi, \varphi])$ (**is** $?A \longleftrightarrow ?B$)
 $\langle \text{proof} \rangle$

lemma *not-c-in-c'-simp[simp]:*

fixes $\varphi1 \ \varphi2 \ \psi :: 'v \ \text{propo}$ **and** $x :: 'v$
shows
 $c\text{-in-c'-symb } c \ c' \ FT$
 $c\text{-in-c'-symb } c \ c' \ FF$
 $c\text{-in-c'-symb } c \ c' \ (FVar \ x)$
 $\text{wf-conn } c \ [\text{conn } c' \ [\varphi1, \varphi2], \psi] \implies \text{wf-conn } c' \ [\varphi1, \varphi2]$
 $\implies \neg c\text{-in-c'-only } c \ c' \ (\text{conn } c \ [\text{conn } c' \ [\varphi1, \varphi2], \psi])$
 $\langle \text{proof} \rangle$

lemma *c-in-c'-symb-not[simp]:*

fixes $c \ c' :: 'v \ \text{connective}$ **and** $\psi :: 'v \ \text{propo}$
shows $c\text{-in-c'-symb } c \ c' \ (FNot \ \psi)$
 $\langle \text{proof} \rangle$

lemma *c-in-c'-symb-step-exists:*

fixes $\varphi :: 'v \ \text{propo}$
assumes $c: c = CAnd \vee c = COr$ **and** $c': c' = CAnd \vee c' = COr$

shows $\psi \preceq \varphi \implies \neg c\text{-in-}c'\text{-symb } c \ c' \ \psi \implies \exists \psi'. \text{push-conn-inside } c \ c' \ \psi \ \psi'$
 <proof>

lemma *c-in-c'-symb-rew*:

fixes $\varphi :: 'v \text{ propo}$

assumes *noTB*: $\neg c\text{-in-}c'\text{-only } c \ c' \ \varphi$

and $c: c = CAnd \vee c = COr$ **and** $c': c' = CAnd \vee c' = COr$

shows $\exists \psi \ \psi'. \psi \preceq \varphi \wedge \text{push-conn-inside } c \ c' \ \psi \ \psi'$

<proof>

lemma *push-conn-insidec-in-c'-symb-no-T-F*:

fixes $\varphi \ \psi :: 'v \text{ propo}$

shows *propo-rew-step* (*push-conn-inside* $c \ c'$) $\varphi \ \psi \implies \text{no-T-F } \varphi \implies \text{no-T-F } \psi$

<proof>

lemma *simple-propo-rew-step-push-conn-inside-inv*:

propo-rew-step (*push-conn-inside* $c \ c'$) $\varphi \ \psi \implies \text{simple } \varphi \implies \text{simple } \psi$

<proof>

lemma *simple-propo-rew-step-inv-push-conn-inside-simple-not*:

fixes $c \ c' :: 'v \text{ connective}$ **and** $\varphi \ \psi :: 'v \text{ propo}$

shows *propo-rew-step* (*push-conn-inside* $c \ c'$) $\varphi \ \psi \implies \text{simple-not } \varphi \implies \text{simple-not } \psi$

<proof>

lemma *propo-rew-step-push-conn-inside-simple-not*:

fixes $\varphi \ \varphi' :: 'v \text{ propo}$ **and** $\xi \ \xi' :: 'v \text{ propo list}$ **and** $c :: 'v \text{ connective}$

assumes

propo-rew-step (*push-conn-inside* $c \ c'$) $\varphi \ \varphi'$ **and**

wf-conn $c \ (\xi @ \varphi \# \xi')$ **and**

simple-not-symb (*conn* $c \ (\xi @ \varphi \# \xi')$) **and**

simple-not-symb φ'

shows *simple-not-symb* (*conn* $c \ (\xi @ \varphi' \# \xi')$)

<proof>

lemma *push-conn-inside-not-true-false*:

push-conn-inside $c \ c' \ \varphi \ \psi \implies \psi \neq FT \wedge \psi \neq FF$

<proof>

lemma *push-conn-inside-inv*:

fixes $\varphi \ \psi :: 'v \text{ propo}$

assumes *full* (*propo-rew-step* (*push-conn-inside* $c \ c'$)) $\varphi \ \psi$

and *no-equiv* φ **and** *no-imp* φ **and** *no-T-F-except-top-level* φ **and** *simple-not* φ

shows *no-equiv* ψ **and** *no-imp* ψ **and** *no-T-F-except-top-level* ψ **and** *simple-not* ψ

<proof>

lemma *push-conn-inside-full-propo-rew-step*:

fixes $\varphi \ \psi :: 'v \text{ propo}$

assumes

no-equiv φ **and**

no-imp φ **and**

full (*propo-rew-step* (*push-conn-inside* $c \ c'$)) $\varphi \ \psi$ **and**

no-T-F-except-top-level φ **and**

simple-not φ **and**
 $c = CAnd \vee c = COr$ **and**
 $c' = CAnd \vee c' = COr$
shows *c-in-c'-only* c c' ψ
 $\langle proof \rangle$

Only one type of connective in the formula (+ not)

inductive *only-c-inside-symb* :: '*v* connective \Rightarrow '*v* propo \Rightarrow bool **for** $c ::$ '*v* connective **where**
simple-only-c-inside[*simp*]: *simple* $\varphi \Rightarrow$ *only-c-inside-symb* c φ |
simple-cnot-only-c-inside[*simp*]: *simple* $\varphi \Rightarrow$ *only-c-inside-symb* c (*FNot* φ) |
only-c-inside-into-only-c-inside: *wf-conn* c $l \Rightarrow$ *only-c-inside-symb* c (*conn* c l)

lemma *only-c-inside-symb-simp*[*simp*]:
only-c-inside-symb c *FF* *only-c-inside-symb* c *FT* *only-c-inside-symb* c (*FVar* x) $\langle proof \rangle$

definition *only-c-inside* **where** *only-c-inside* $c =$ *all-subformula-st* (*only-c-inside-symb* c)

lemma *only-c-inside-symb-decomp*:
only-c-inside-symb c $\psi \longleftrightarrow$ (*simple* ψ
 $\vee (\exists \varphi'. \psi = FNot \varphi' \wedge \text{simple } \varphi')$
 $\vee (\exists l. \psi = \text{conn } c \ l \wedge \text{wf-conn } c \ l))$
 $\langle proof \rangle$

lemma *only-c-inside-symb-decomp-not*[*simp*]:
fixes $c ::$ '*v* connective
assumes $c: c \neq CNot$
shows *only-c-inside-symb* c (*FNot* ψ) \longleftrightarrow *simple* ψ
 $\langle proof \rangle$

lemma *only-c-inside-decomp-not*[*simp*]:
assumes $c: c \neq CNot$
shows *only-c-inside* c (*FNot* ψ) \longleftrightarrow *simple* ψ
 $\langle proof \rangle$

lemma *only-c-inside-decomp*:
only-c-inside c $\varphi \longleftrightarrow$
 $(\forall \psi. \psi \preceq \varphi \longrightarrow (\text{simple } \psi \vee (\exists \varphi'. \psi = FNot \varphi' \wedge \text{simple } \varphi') \vee (\exists l. \psi = \text{conn } c \ l \wedge \text{wf-conn } c \ l)))$
 $\langle proof \rangle$

lemma *only-c-inside-c-c'-false*:
fixes $c \ c' ::$ '*v* connective **and** $l ::$ '*v* propo list **and** $\varphi ::$ '*v* propo
assumes $cc': c \neq c'$ **and** $c: c = CAnd \vee c = COr$ **and** $c': c' = CAnd \vee c' = COr$
and *only*: *only-c-inside* c φ **and** *incl*: *conn* $c' \ l \preceq \varphi$ **and** *wf*: *wf-conn* $c' \ l$
shows *False*
 $\langle proof \rangle$

lemma *only-c-inside-implies-c-in-c'-symb*:
assumes $\delta: c \neq c'$ **and** $c: c = CAnd \vee c = COr$ **and** $c': c' = CAnd \vee c' = COr$
shows *only-c-inside* c $\varphi \Rightarrow$ *c-in-c'-symb* c $c' \ \varphi$
 $\langle proof \rangle$

lemma *c-in-c'-symb-decomp-level1*:
fixes $l :: 'v \text{ propo list}$ **and** $c \ c' \ ca :: 'v \text{ connective}$
shows $\text{wf-conn } ca \ l \implies ca \neq c \implies c\text{-in-}c'\text{-symb } c \ c' (\text{conn } ca \ l)$
 $\langle \text{proof} \rangle$

lemma *only-c-inside-implies-c-in-c'-only*:
assumes $\delta: c \neq c' \text{ and } c: c = CAnd \vee c = COr \text{ and } c': c' = CAnd \vee c' = COr$
shows $\text{only-c-inside } c \ \varphi \implies c\text{-in-}c'\text{-only } c \ c' \ \varphi$
 $\langle \text{proof} \rangle$

lemma *c-in-c'-symb-c-implies-only-c-inside*:
assumes $\delta: c = CAnd \vee c = COr \ c' = CAnd \vee c' = COr \ c \neq c' \text{ and } \text{wf}: \text{wf-conn } c \ [\varphi, \psi]$
and *inv*: $\text{no-equiv } (\text{conn } c \ l) \ \text{no-imp } (\text{conn } c \ l) \ \text{simple-not } (\text{conn } c \ l)$
shows $\text{wf-conn } c \ l \implies c\text{-in-}c'\text{-only } c \ c' (\text{conn } c \ l) \implies (\forall \psi \in \text{set } l. \text{only-c-inside } c \ \psi)$
 $\langle \text{proof} \rangle$

Push Conjunction

definition *pushConj* **where** $\text{pushConj} = \text{push-conn-inside } CAnd \ COr$

lemma *pushConj-consistent: preserve-models pushConj*
 $\langle \text{proof} \rangle$

definition *and-in-or-symb* **where** $\text{and-in-or-symb} = c\text{-in-}c'\text{-symb } CAnd \ COr$

definition *and-in-or-only* **where**
 $\text{and-in-or-only} = \text{all-subformula-st } (c\text{-in-}c'\text{-symb } CAnd \ COr)$

lemma *pushConj-inv*:
fixes $\varphi \ \psi :: 'v \text{ propo}$
assumes *full* $(\text{propo-rew-step } \text{pushConj}) \ \varphi \ \psi$
and *no-equiv* φ **and** *no-imp* φ **and** *no-T-F-except-top-level* φ **and** *simple-not* φ
shows *no-equiv* ψ **and** *no-imp* ψ **and** *no-T-F-except-top-level* ψ **and** *simple-not* ψ
 $\langle \text{proof} \rangle$

lemma *pushConj-full-propo-rew-step*:
fixes $\varphi \ \psi :: 'v \text{ propo}$
assumes
no-equiv φ **and**
no-imp φ **and**
full $(\text{propo-rew-step } \text{pushConj}) \ \varphi \ \psi$ **and**
no-T-F-except-top-level φ **and**
simple-not φ
shows *and-in-or-only* ψ
 $\langle \text{proof} \rangle$

Push Disjunction

definition *pushDisj* **where** $\text{pushDisj} = \text{push-conn-inside } COr \ CAnd$

lemma *pushDisj-consistent: preserve-models pushDisj*
 $\langle \text{proof} \rangle$

definition *or-in-and-symb* **where** *or-in-and-symb* = *c-in-c'-symb* *COr* *CAnd*

definition *or-in-and-only* **where**

or-in-and-only = *all-subformula-st* (*c-in-c'-symb* *COr* *CAnd*)

lemma *not-or-in-and-only-or-and[simp]*:
 $\sim \text{or-in-and-only } (FOr \ (FAnd \ \psi1 \ \psi2) \ \varphi')$
 $\langle \text{proof} \rangle$

lemma *pushDisj-inv*:
fixes $\varphi \ \psi :: 'v \text{ propo}$
assumes *full* (*propo-rew-step* *pushDisj*) $\varphi \ \psi$
and *no-equiv* φ **and** *no-imp* φ **and** *no-T-F-except-top-level* φ **and** *simple-not* φ
shows *no-equiv* ψ **and** *no-imp* ψ **and** *no-T-F-except-top-level* ψ **and** *simple-not* ψ
 $\langle \text{proof} \rangle$

lemma *pushDisj-full-propo-rew-step*:
fixes $\varphi \ \psi :: 'v \text{ propo}$
assumes
no-equiv φ **and**
no-imp φ **and**
full (*propo-rew-step* *pushDisj*) $\varphi \ \psi$ **and**
no-T-F-except-top-level φ **and**
simple-not φ
shows *or-in-and-only* ψ
 $\langle \text{proof} \rangle$

1.6 The Full Transformations

1.6.1 Abstract Definition

The normal form is a super group of groups

inductive *grouped-by* :: *'a* *connective* \Rightarrow *'a* *propo* \Rightarrow *bool* **for** *c* **where**
simple-is-grouped[simp]: *simple* $\varphi \Rightarrow$ *grouped-by* *c* φ |
simple-not-is-grouped[simp]: *simple* $\varphi \Rightarrow$ *grouped-by* *c* (*FNot* φ) |
connected-is-group[simp]: *grouped-by* *c* $\varphi \Rightarrow$ *grouped-by* *c* $\psi \Rightarrow$ *wf-conn* *c* $[\varphi, \psi]$
 \Rightarrow *grouped-by* *c* (*conn* *c* $[\varphi, \psi]$)

lemma *simple-clause[simp]*:
grouped-by *c* *FT*
grouped-by *c* *FF*
grouped-by *c* (*FVar* *x*)
grouped-by *c* (*FNot* *FT*)
grouped-by *c* (*FNot* *FF*)
grouped-by *c* (*FNot* (*FVar* *x*))
 $\langle \text{proof} \rangle$

lemma *only-c-inside-symb-c-eq-c'*:
only-c-inside-symb *c* (*conn* *c'* $[\varphi1, \varphi2]$) \Rightarrow $c' = CAnd \vee c' = COr \Rightarrow$ *wf-conn* *c'* $[\varphi1, \varphi2]$
 $\Rightarrow c' = c$
 $\langle \text{proof} \rangle$

lemma *only-c-inside-c-eq-c'*:

only-c-inside c (*conn* c' [$\varphi 1$, $\varphi 2$]) $\implies c' = CAnd \vee c' = COr \implies wf\text{-}conn\ c' [\varphi 1, \varphi 2] \implies c = c'$
 $\langle proof \rangle$

lemma *only-c-inside-imp-grouped-by*:

assumes $c: c \neq CNot$ **and** $c': c' = CAnd \vee c' = COr$

shows *only-c-inside* $c\ \varphi \implies grouped\text{-}by\ c\ \varphi$ (**is** $?O\ \varphi \implies ?G\ \varphi$)

$\langle proof \rangle$

lemma *grouped-by-false*:

grouped-by c (*conn* c' [φ , ψ]) $\implies c \neq c' \implies wf\text{-}conn\ c' [\varphi, \psi] \implies False$

$\langle proof \rangle$

Then the CNF form is a conjunction of clauses: every clause is in CNF form and two formulas in CNF form can be related by an and.

inductive *super-grouped-by*: 'a *connective* \Rightarrow 'a *connective* \Rightarrow 'a *propo* \Rightarrow bool **for** $c\ c'$ **where**

grouped-is-super-grouped[*simp*]: *grouped-by* $c\ \varphi \implies super\text{-}grouped\text{-}by\ c\ c'\ \varphi$ |

connected-is-super-group: *super-grouped-by* $c\ c'\ \varphi \implies super\text{-}grouped\text{-}by\ c\ c'\ \psi \implies wf\text{-}conn\ c\ [\varphi, \psi]$
 $\implies super\text{-}grouped\text{-}by\ c\ c'\ (conn\ c' [\varphi, \psi])$

lemma *simple-cnf*[*simp*]:

super-grouped-by $c\ c'\ FT$

super-grouped-by $c\ c'\ FF$

super-grouped-by $c\ c'\ (FVar\ x)$

super-grouped-by $c\ c'\ (FNot\ FT)$

super-grouped-by $c\ c'\ (FNot\ FF)$

super-grouped-by $c\ c'\ (FNot\ (FVar\ x))$

$\langle proof \rangle$

lemma *c-in-c'-only-super-grouped-by*:

assumes $c: c = CAnd \vee c = COr$ **and** $c': c' = CAnd \vee c' = COr$ **and** $cc': c \neq c'$

shows *no-equiv* $\varphi \implies no\text{-}imp\ \varphi \implies simple\text{-}not\ \varphi \implies c\text{-in-}c'\text{-only}\ c\ c'\ \varphi$

$\implies super\text{-}grouped\text{-}by\ c\ c'\ \varphi$

(**is** $?NE\ \varphi \implies ?NI\ \varphi \implies ?SN\ \varphi \implies ?C\ \varphi \implies ?S\ \varphi$)

$\langle proof \rangle$

1.6.2 Conjunctive Normal Form

Definition

definition *is-conj-with-TF* **where** *is-conj-with-TF* == *super-grouped-by* $COr\ CAnd$

lemma *or-in-and-only-conjunction-in-disj*:

shows *no-equiv* $\varphi \implies no\text{-}imp\ \varphi \implies simple\text{-}not\ \varphi \implies or\text{-in-and-only}\ \varphi \implies is\text{-conj-with-TF}\ \varphi$

$\langle proof \rangle$

definition *is-cnf* **where**

is-cnf $\varphi \equiv is\text{-conj-with-TF}\ \varphi \wedge no\text{-T-F-except-top-level}\ \varphi$

Full CNF transformation

The full CNF transformation consists simply in chaining all the transformation defined before.

definition *cnf-rew* **where** *cnf-rew* =

(*full* (*propo-rew-step* *elim-equiv*)) *OO*

(*full* (*propo-rew-step* *elim-imp*)) *OO*

$(full\ (propo\text{-}rew\text{-}step\ elimTB))\ OO$
 $(full\ (propo\text{-}rew\text{-}step\ pushNeg))\ OO$
 $(full\ (propo\text{-}rew\text{-}step\ pushDisj))$

lemma *cnf-rew-equivalent: preserve-models cnf-rew*
 $\langle proof \rangle$

lemma *cnf-rew-is-cnf: cnf-rew $\varphi\ \varphi' \implies is\text{-}cnf\ \varphi'$*
 $\langle proof \rangle$

1.6.3 Disjunctive Normal Form

Definition

definition *is-disj-with-TF where is-disj-with-TF \equiv super-grouped-by CAnd COr*

lemma *and-in-or-only-conjunction-in-disj:*

shows *no-equiv $\varphi \implies no\text{-}imp\ \varphi \implies simple\text{-}not\ \varphi \implies and\text{-}in\text{-}or\text{-}only\ \varphi \implies is\text{-}disj\text{-}with\text{-}TF\ \varphi$*
 $\langle proof \rangle$

definition *is-dnf :: 'a propo \Rightarrow bool where*
is-dnf $\varphi \longleftrightarrow is\text{-}disj\text{-}with\text{-}TF\ \varphi \wedge no\text{-}T\text{-}F\text{-}except\text{-}top\text{-}level\ \varphi$

Full DNF transform

The full DNF transformation consists simply in chaining all the transformation defined before.

definition *dnf-rew where dnf-rew \equiv*
 $(full\ (propo\text{-}rew\text{-}step\ elim\text{-}equiv))\ OO$
 $(full\ (propo\text{-}rew\text{-}step\ elim\text{-}imp))\ OO$
 $(full\ (propo\text{-}rew\text{-}step\ elimTB))\ OO$
 $(full\ (propo\text{-}rew\text{-}step\ pushNeg))\ OO$
 $(full\ (propo\text{-}rew\text{-}step\ pushConj))$

lemma *dnf-rew-consistent: preserve-models dnf-rew*
 $\langle proof \rangle$

theorem *dnf-transformation-correction:*

dnf-rew $\varphi\ \varphi' \implies is\text{-}dnf\ \varphi'$
 $\langle proof \rangle$

1.7 More aggressive simplifications: Removing true and false at the beginning

1.7.1 Transformation

We should remove *FT* and *FF* at the beginning and not in the middle of the algorithm. To do this, we have to use more rules (one for each connective):

inductive *elimTBFull where*

ElimTBFull1[simp]: elimTBFull (FAnd $\varphi\ FT$) φ |
*ElimTBFull1'[simp]: elimTBFull (FAnd *FT* φ) φ |*

*ElimTBFull2[simp]: elimTBFull (FAnd $\varphi\ FF$) *FF* |*
*ElimTBFull2'[simp]: elimTBFull (FAnd *FF* φ) *FF* |*

$ElimTBFull3[simp]: elimTBFull (FOr \varphi FT) FT \mid$
 $ElimTBFull3'[simp]: elimTBFull (FOr FT \varphi) FT \mid$

$ElimTBFull4[simp]: elimTBFull (FOr \varphi FF) \varphi \mid$
 $ElimTBFull4'[simp]: elimTBFull (FOr FF \varphi) \varphi \mid$

$ElimTBFull5[simp]: elimTBFull (FNot FT) FF \mid$
 $ElimTBFull5'[simp]: elimTBFull (FNot FF) FT \mid$

$ElimTBFull6-l[simp]: elimTBFull (FImp FT \varphi) \varphi \mid$
 $ElimTBFull6-l'[simp]: elimTBFull (FImp FF \varphi) FT \mid$
 $ElimTBFull6-r[simp]: elimTBFull (FImp \varphi FT) FT \mid$
 $ElimTBFull6-r'[simp]: elimTBFull (FImp \varphi FF) (FNot \varphi) \mid$

$ElimTBFull7-l[simp]: elimTBFull (FEq FT \varphi) \varphi \mid$
 $ElimTBFull7-l'[simp]: elimTBFull (FEq FF \varphi) (FNot \varphi) \mid$
 $ElimTBFull7-r[simp]: elimTBFull (FEq \varphi FT) \varphi \mid$
 $ElimTBFull7-r'[simp]: elimTBFull (FEq \varphi FF) (FNot \varphi) \mid$

The transformation is still consistent.

lemma *elimTBFull-consistent: preserve-models elimTBFull*
 $\langle proof \rangle$

Contrary to the theorem *no-T-F-symb-except-toplevel-step-exists*, we do not need the assumption *no-equiv* φ and *no-imp* φ , since our transformation is more general.

lemma *no-T-F-symb-except-toplevel-step-exists'*:

fixes $\varphi :: 'v \text{ propo}$

shows $\psi \preceq \varphi \implies \neg \text{no-T-F-symb-except-toplevel } \psi \implies \exists \psi'. \text{elimTBFull } \psi \psi'$

$\langle proof \rangle$

The same applies here. We do not need the assumption, but the deep link between $\neg \text{no-T-F-except-top-level}$ φ and the existence of a rewriting step, still exists.

lemma *no-T-F-except-top-level-rew'*:

fixes $\varphi :: 'v \text{ propo}$

assumes *noTB*: $\neg \text{no-T-F-except-top-level } \varphi$

shows $\exists \psi \psi'. \psi \preceq \varphi \wedge \text{elimTBFull } \psi \psi'$

$\langle proof \rangle$

lemma *elimTBFull-full-propo-rew-step*:

fixes $\varphi \psi :: 'v \text{ propo}$

assumes *full* (*propo-rew-step elimTBFull*) $\varphi \psi$

shows *no-T-F-except-top-level* ψ

$\langle proof \rangle$

1.7.2 More invariants

As the aim is to use the transformation as the first transformation, we have to show some more invariants for *elim-equiv* and *elim-imp*. For the other transformation, we have already proven it.

lemma *propo-rew-step-ElimEquiv-no-T-F*: *propo-rew-step elim-equiv* $\varphi \psi \implies \text{no-T-F } \varphi \implies \text{no-T-F } \psi$
 $\langle proof \rangle$

lemma *elim-equiv-inv'*:
fixes $\varphi \psi :: 'v \text{ propo}$
assumes *full (propo-rew-step elim-equiv) $\varphi \psi$ and no-T-F-except-top-level φ*
shows *no-T-F-except-top-level ψ*
 $\langle \text{proof} \rangle$

lemma *propo-rew-step-ElimImp-no-T-F*: *propo-rew-step elim-imp $\varphi \psi \implies \text{no-T-F } \varphi \implies \text{no-T-F } \psi$*
 $\langle \text{proof} \rangle$

lemma *elim-imp-inv'*:
fixes $\varphi \psi :: 'v \text{ propo}$
assumes *full (propo-rew-step elim-imp) $\varphi \psi$ and no-T-F-except-top-level φ*
shows *no-T-F-except-top-level ψ*
 $\langle \text{proof} \rangle$

1.7.3 The new CNF and DNF transformation

The transformation is the same as before, but the order is not the same.

definition *dnf-rew' :: 'a propo \Rightarrow 'a propo \Rightarrow bool where*
dnf-rew' =

(full (propo-rew-step elimTBFULL)) OO
(full (propo-rew-step elim-equiv)) OO
(full (propo-rew-step elim-imp)) OO
(full (propo-rew-step pushNeg)) OO
(full (propo-rew-step pushConj))

lemma *dnf-rew'-consistent: preserve-models dnf-rew'*
 $\langle \text{proof} \rangle$

theorem *cnf-transformation-correction:*
dnf-rew' $\varphi \varphi' \implies \text{is-dnf } \varphi'$
 $\langle \text{proof} \rangle$

Given all the lemmas before the CNF transformation is easy to prove:

definition *cnf-rew' :: 'a propo \Rightarrow 'a propo \Rightarrow bool where*
cnf-rew' =

(full (propo-rew-step elimTBFULL)) OO
(full (propo-rew-step elim-equiv)) OO
(full (propo-rew-step elim-imp)) OO
(full (propo-rew-step pushNeg)) OO
(full (propo-rew-step pushDisj))

lemma *cnf-rew'-consistent: preserve-models cnf-rew'*
 $\langle \text{proof} \rangle$

theorem *cnf'-transformation-correction:*
cnf-rew' $\varphi \varphi' \implies \text{is-cnf } \varphi'$
 $\langle \text{proof} \rangle$

end

theory *Prop-Logic-Multiset*

imports *Nested-Multisets-Ordinals.Multiset-More Prop-Normalisation*

1.8 Link with Multiset Version

1.8.1 Transformation to Multiset

fun *mset-of-conj* :: 'a propo \Rightarrow 'a literal multiset **where**
mset-of-conj (*FOr* φ ψ) = *mset-of-conj* φ + *mset-of-conj* ψ |
mset-of-conj (*FVar* v) = {# *Pos v* #} |
mset-of-conj (*FNot* (*FVar* v)) = {# *Neg v* #} |
mset-of-conj *FF* = {#}

fun *mset-of-formula* :: 'a propo \Rightarrow 'a literal multiset set **where**
mset-of-formula (*FAnd* φ ψ) = *mset-of-formula* φ \cup *mset-of-formula* ψ |
mset-of-formula (*FOr* φ ψ) = {*mset-of-conj* (*FOr* φ ψ)} |
mset-of-formula (*FVar* ψ) = {*mset-of-conj* (*FVar* ψ)} |
mset-of-formula (*FNot* ψ) = {*mset-of-conj* (*FNot* ψ)} |
mset-of-formula *FF* = {{#}} |
mset-of-formula *FT* = {}

1.8.2 Equisatisfiability of the two Versions

lemma *is-conj-with-TF-FNot*:

is-conj-with-TF (*FNot* φ) \longleftrightarrow ($\exists v. \varphi = \textit{FVar } v \vee \varphi = \textit{FF} \vee \varphi = \textit{FT}$)
 $\langle \textit{proof} \rangle$

lemma *grouped-by-COr-FNot*:

grouped-by COr (*FNot* φ) \longleftrightarrow ($\exists v. \varphi = \textit{FVar } v \vee \varphi = \textit{FF} \vee \varphi = \textit{FT}$)
 $\langle \textit{proof} \rangle$

lemma

shows *no-T-F-FF[simp]*: $\neg \textit{no-T-F FF}$ **and**
no-T-F-FT[simp]: $\neg \textit{no-T-F FT}$
 $\langle \textit{proof} \rangle$

lemma *grouped-by-CAnd-FAnd*:

grouped-by CAnd (*FAnd* $\varphi 1$ $\varphi 2$) \longleftrightarrow *grouped-by CAnd* $\varphi 1 \wedge$ *grouped-by CAnd* $\varphi 2$
 $\langle \textit{proof} \rangle$

lemma *grouped-by-COr-FOr*:

grouped-by COr (*FOr* $\varphi 1$ $\varphi 2$) \longleftrightarrow *grouped-by COr* $\varphi 1 \wedge$ *grouped-by COr* $\varphi 2$
 $\langle \textit{proof} \rangle$

lemma *grouped-by-COr-FAnd[simp]*: \neg *grouped-by COr* (*FAnd* $\varphi 1$ $\varphi 2$)

$\langle \textit{proof} \rangle$

lemma *grouped-by-COr-FEq[simp]*: \neg *grouped-by COr* (*FEq* $\varphi 1$ $\varphi 2$)

$\langle \textit{proof} \rangle$

lemma [*simp*]: \neg *grouped-by COr* (*FImp* φ ψ)

$\langle \textit{proof} \rangle$

lemma [*simp*]: \neg *is-conj-with-TF* (*FImp* φ ψ)

$\langle \textit{proof} \rangle$

lemma *[simp]: \neg is-conj-with-TF (FEq φ ψ)*
 $\langle \text{proof} \rangle$

lemma *is-conj-with-TF-Fand:*
 $\text{is-conj-with-TF (FAnd } \varphi 1 \ \varphi 2) \implies \text{is-conj-with-TF } \varphi 1 \ \wedge \ \text{is-conj-with-TF } \varphi 2$
 $\langle \text{proof} \rangle$

lemma *is-conj-with-TF-FOr:*
 $\text{is-conj-with-TF (FOr } \varphi 1 \ \varphi 2) \implies \text{grouped-by COr } \varphi 1 \ \wedge \ \text{grouped-by COr } \varphi 2$
 $\langle \text{proof} \rangle$

lemma *grouped-by-COr-mset-of-formula:*
 $\text{grouped-by COr } \varphi \implies \text{mset-of-formula } \varphi = (\text{if } \varphi = \text{FT then } \{\} \text{ else } \{\text{mset-of-conj } \varphi\})$
 $\langle \text{proof} \rangle$

When a formula is in CNF form, then there is equisatisfiability between the multiset version and the CNF form. Remark that the definition for the entailment are slightly different: (\models) uses a function assigning *True* or *False*, while (\models_s) uses a set where being in the list means entailment of a literal.

theorem *cnf-eval-true-cls:*
fixes $\varphi :: 'v \text{ propo}$
assumes $\text{is-cnf } \varphi$
shows $\text{eval } A \ \varphi \longleftrightarrow \text{Partial-Herbrand-Interpretation.true-cls } (\{\text{Pos } v \mid v. A \ v\} \cup \{\text{Neg } v \mid v. \neg A \ v\})$
 $(\text{mset-of-formula } \varphi)$
 $\langle \text{proof} \rangle$

function *formula-of-mset :: 'a clause \Rightarrow 'a propo where*
 $\langle \text{formula-of-mset } \varphi =$
 $(\text{if } \varphi = \{\#\} \text{ then FF}$
 else
 $\text{let } v = (\text{SOME } v. v \in \# \ \varphi);$
 $v' = (\text{if is-pos } v \text{ then FVar (atm-of } v) \text{ else FNot (FVar (atm-of } v))) \text{ in}$
 $\text{if remove1-mset } v \ \varphi = \{\#\} \text{ then } v'$
 $\text{else FOr } v' (\text{formula-of-mset (remove1-mset } v \ \varphi))) \rangle$
 $\langle \text{proof} \rangle$

termination
 $\langle \text{proof} \rangle$

lemma *formula-of-mset-empty[simp]: $\langle \text{formula-of-mset } \{\#\} = \text{FF} \rangle$*
 $\langle \text{proof} \rangle$

lemma *formula-of-mset-empty-iff[iff]: $\langle \text{formula-of-mset } \varphi = \text{FF} \longleftrightarrow \varphi = \{\#\} \rangle$*
 $\langle \text{proof} \rangle$

declare *formula-of-mset.simps[simp del]*

function *formula-of-msets :: 'a literal multiset set \Rightarrow 'a propo where*
 $\langle \text{formula-of-msets } \varphi s =$
 $(\text{if } \varphi s = \{\} \vee \text{infinite } \varphi s \text{ then FT}$
 else
 $\text{let } v = (\text{SOME } v. v \in \varphi s);$
 $v' = \text{formula-of-mset } v \text{ in}$
 $\text{if } \varphi s - \{v\} = \{\} \text{ then } v'$
 $\text{else FAnd } v' (\text{formula-of-msets } (\varphi s - \{v\}))) \rangle$

$\langle \text{proof} \rangle$
termination
 $\langle \text{proof} \rangle$

declare *formula-of-msets.simps*[simp del]

lemma *remove1-mset-empty-iff*:
 $\langle \text{remove1-mset } v \ \varphi = \{\#\} \longleftrightarrow (\varphi = \{\#\} \vee \varphi = \{\#v\#\}) \rangle$
 $\langle \text{proof} \rangle$

definition *fun-of-set* **where**
 $\langle \text{fun-of-set } A \ x = (\text{if } \text{Pos } x \in A \text{ then } \text{True} \text{ else if } \text{Neg } x \in A \text{ then } \text{False} \text{ else } \text{undefined}) \rangle$

lemma *grouped-by-COr-formula-of-mset*: $\langle \text{grouped-by } \text{COr } (\text{formula-of-mset } \varphi) \rangle$
 $\langle \text{proof} \rangle$

lemma *no-T-F-formula-of-mset*: $\langle \text{no-T-F } (\text{formula-of-mset } \varphi) \rangle$ **if** $\langle \text{formula-of-mset } \varphi \neq \text{FF} \rangle$ **for** φ
 $\langle \text{proof} \rangle$

lemma *mset-of-conj-formula-of-mset*[simp]: $\langle \text{mset-of-conj}(\text{formula-of-mset } \varphi) = \varphi \rangle$ **for** φ
 $\langle \text{proof} \rangle$

lemma *mset-of-formula-formula-of-mset* [simp]: $\langle \text{mset-of-formula } (\text{formula-of-mset } \varphi) = \{\varphi\} \rangle$ **for** φ
 $\langle \text{proof} \rangle$

lemma *formula-of-mset-is-cnf*: $\langle \text{is-cnf } (\text{formula-of-mset } \varphi) \rangle$
 $\langle \text{proof} \rangle$

lemma *eval-cls-iff*:
assumes $\langle \text{consistent-interp } A \rangle$ **and** $\langle \text{total-over-set } A \ \text{UNIV} \rangle$
shows $\langle \text{eval } (\text{fun-of-set } A) (\text{formula-of-mset } \varphi) \longleftrightarrow \text{Partial-Herbrand-Interpretation.true-cls } A \ \{\varphi\} \rangle$
 $\langle \text{proof} \rangle$

lemma *is-conj-with-TF-Fand-iff*:
 $\langle \text{is-conj-with-TF } (\text{FAnd } \varphi_1 \ \varphi_2) \longleftrightarrow \text{is-conj-with-TF } \varphi_1 \wedge \text{is-conj-with-TF } \varphi_2 \rangle$
 $\langle \text{proof} \rangle$

lemma *is-CNF-Fand*:
 $\langle \text{is-cnf } (\text{FAnd } \varphi \ \psi) \longleftrightarrow (\text{is-cnf } \varphi \wedge \text{no-T-F } \varphi) \wedge \text{is-cnf } \psi \wedge \text{no-T-F } \psi \rangle$
 $\langle \text{proof} \rangle$

lemma *no-T-F-formula-of-mset-iff*: $\langle \text{no-T-F } (\text{formula-of-mset } \varphi) \longleftrightarrow \varphi \neq \{\#\} \rangle$
 $\langle \text{proof} \rangle$

lemma *no-T-F-formula-of-msets*:
assumes $\langle \text{finite } \varphi \rangle$ **and** $\langle \{\#\} \notin \varphi \rangle$ **and** $\langle \varphi \neq \{\} \rangle$
shows $\langle \text{no-T-F } (\text{formula-of-msets } (\varphi)) \rangle$
 $\langle \text{proof} \rangle$

lemma *is-cnf-formula-of-msets*:
assumes $\langle \text{finite } \varphi \rangle$ **and** $\langle \{\#\} \notin \varphi \rangle$
shows $\langle \text{is-cnf } (\text{formula-of-msets } \varphi) \rangle$
 $\langle \text{proof} \rangle$

lemma *mset-of-formula-formula-of-msets*:
assumes $\langle \text{finite } \varphi \rangle$
shows $\langle \text{mset-of-formula } (\text{formula-of-msets } \varphi) = \varphi \rangle$

$\langle proof \rangle$

lemma

assumes $\langle consistent_interp\ A \rangle$ **and** $\langle total_over_set\ A\ UNIV \rangle$ **and** $\langle finite\ \varphi \rangle$ **and** $\langle \{\#\} \notin \varphi \rangle$

shows $\langle eval\ (fun_of_set\ A)\ (formula_of_msets\ \varphi) \longleftrightarrow Partial_Herbrand_Interpretation.true_class\ A\ \varphi \rangle$

$\langle proof \rangle$

end

theory *Prop-Resolution*

imports *Entailment-Definition.Partial-Herbrand-Interpretation*

Weidenbach-Book-Base.WB-List-More

Weidenbach-Book-Base.Wellfounded-More

begin

Chapter 2

Resolution-based techniques

This chapter contains the formalisation of resolution and superposition.

2.1 Resolution

2.1.1 Simplification Rules

inductive *simplify* :: 'v clause-set \Rightarrow 'v clause-set \Rightarrow bool **for** *N* :: 'v clause set **where**
tautology-deletion:

$\text{add-mset } (\text{Pos } P) (\text{add-mset } (\text{Neg } P) A) \in N \implies \text{simplify } N (N - \{\text{add-mset } (\text{Pos } P) (\text{add-mset } (\text{Neg } P) A)\})$

condensation:

$\text{add-mset } L (\text{add-mset } L A) \in N \implies \text{simplify } N (N - \{\text{add-mset } L (\text{add-mset } L A)\} \cup \{\text{add-mset } L A\})$

subsumption:

$A \in N \implies A \subset\# B \implies B \in N \implies \text{simplify } N (N - \{B\})$

lemma *simplify-preserve-models'*:

fixes *N N'* :: 'v clause-set

assumes *simplify N N'*

and *total-over-m I N*

shows $I \models_s N' \longrightarrow I \models_s N$

<proof>

lemma *simplify-preserve-models*:

fixes *N N'* :: 'v clause-set

assumes *simplify N N'*

and *total-over-m I N*

shows $I \models_s N \longrightarrow I \models_s N'$

<proof>

lemma *simplify-preserve-models''*:

fixes *N N'* :: 'v clause-set

assumes *simplify N N'*

and *total-over-m I N'*

shows $I \models_s N \longrightarrow I \models_s N'$

<proof>

lemma *simplify-preserve-models-eq*:

fixes *N N'* :: 'v clause-set

assumes *simplify N N'*

and *total-over-m* $I\ N$
shows $I \models_s N \longleftrightarrow I \models_s N'$
 $\langle \text{proof} \rangle$

lemma *simplify-preserves-finite*:
assumes *simplify* $\psi\ \psi'$
shows *finite* $\psi \longleftrightarrow \text{finite } \psi'$
 $\langle \text{proof} \rangle$

lemma *rtranclp-simplify-preserves-finite*:
assumes *rtranclp simplify* $\psi\ \psi'$
shows *finite* $\psi \longleftrightarrow \text{finite } \psi'$
 $\langle \text{proof} \rangle$

lemma *simplify-atms-of-ms*:
assumes *simplify* $\psi\ \psi'$
shows *atms-of-ms* $\psi' \subseteq \text{atms-of-ms } \psi$
 $\langle \text{proof} \rangle$

lemma *rtranclp-simplify-atms-of-ms*:
assumes *rtranclp simplify* $\psi\ \psi'$
shows *atms-of-ms* $\psi' \subseteq \text{atms-of-ms } \psi$
 $\langle \text{proof} \rangle$

lemma *factoring-imp-simplify*:
assumes $\{\#L, L\# \} + C \in N$
shows $\exists N'. \text{ simplify } N\ N'$
 $\langle \text{proof} \rangle$

2.1.2 Unconstrained Resolution

type-synonym *'v uncon-state* = *'v clause-set*

inductive *uncon-res* :: *'v uncon-state* \Rightarrow *'v uncon-state* \Rightarrow *bool* **where**

resolution:

$\{\#Pos\ p\#\} + C \in N \Longrightarrow \{\#Neg\ p\#\} + D \in N \Longrightarrow (\text{add-mset } (Pos\ p)\ C, \text{add-mset } (Neg\ P)\ D) \notin$
already-used

$\Longrightarrow \text{uncon-res } N\ (N \cup \{C + D\}) \mid$

factoring: $\{\#L\#\} + \{\#L\#\} + C \in N \Longrightarrow \text{uncon-res } N\ (\text{insert } (\text{add-mset } L\ C)\ N)$

lemma *uncon-res-increasing*:
assumes *uncon-res* $S\ S'$ **and** $\psi \in S$
shows $\psi \in S'$
 $\langle \text{proof} \rangle$

lemma *rtranclp-uncon-inference-increasing*:
assumes *rtranclp uncon-res* $S\ S'$ **and** $\psi \in S$
shows $\psi \in S'$
 $\langle \text{proof} \rangle$

Subsumption

definition *subsumes* :: *'a literal multiset* \Rightarrow *'a literal multiset* \Rightarrow *bool* **where**

subsumes $\chi\ \chi' \longleftrightarrow$

$(\forall I. \text{total-over-m } I\ \{\chi'\} \longrightarrow \text{total-over-m } I\ \{\chi\})$

$\wedge (\forall I. \text{total-over-m } I\ \{\chi\} \longrightarrow I \models \chi \longrightarrow I \models \chi')$

lemma *subsumes-refl[simp]*:

subsumes χ χ
 $\langle \text{proof} \rangle$

lemma *subsumes-subsumption*:

assumes *subsumes* D χ
and $C \subset\# D$ **and** $\neg \text{tautology } \chi$
shows *subsumes* C χ $\langle \text{proof} \rangle$

lemma *subsumes-tautology*:

assumes *subsumes* (*add-mset* (*Pos* P) (*add-mset* (*Neg* P) C)) χ
shows *tautology* χ
 $\langle \text{proof} \rangle$

2.1.3 Inference Rule

type-synonym *'v state* = *'v clause-set* \times (*'v clause* \times *'v clause*) *set*

inductive *inference-clause* :: *'v state* \Rightarrow *'v clause* \times (*'v clause* \times *'v clause*) *set* \Rightarrow *bool*

(**infix** \Rightarrow_{Res} 100) **where**

resolution:

$\{\#Pos\ p\#\} + C \in N \implies \{\#Neg\ p\#\} + D \in N \implies (\{\#Pos\ p\#\} + C, \{\#Neg\ p\#\} + D) \notin$
already-used

$\implies \text{inference-clause } (N, \text{already-used}) (C + D, \text{already-used} \cup \{(\{\#Pos\ p\#\} + C, \{\#Neg\ p\#\} + D)\}) \mid$

factoring: $\{\#L, L\#\} + C \in N \implies \text{inference-clause } (N, \text{already-used}) (C + \{\#L\# \}, \text{already-used})$

inductive *inference* :: *'v state* \Rightarrow *'v state* \Rightarrow *bool* **where**

inference-step: *inference-clause* S (*clause*, *already-used*)

$\implies \text{inference } S$ (*fst* $S \cup \{\text{clause}\}$, *already-used*)

abbreviation *already-used-inv*

:: *'a literal multiset set* \times (*'a literal multiset* \times *'a literal multiset*) *set* \Rightarrow *bool* **where**

already-used-inv state \equiv

$(\forall (A, B) \in \text{snd state}. \exists p. Pos\ p \in\# A \wedge Neg\ p \in\# B \wedge$
 $((\exists \chi \in \text{fst state}. \text{subsumes } \chi ((A - \{\#Pos\ p\#\}) + (B - \{\#Neg\ p\#\})))$
 $\vee \text{tautology } ((A - \{\#Pos\ p\#\}) + (B - \{\#Neg\ p\#\}))))$

lemma *inference-clause-preserves-already-used-inv*:

assumes *inference-clause* S S'

and *already-used-inv* S

shows *already-used-inv* (*fst* $S \cup \{\text{fst } S'\}$, *snd* S')

$\langle \text{proof} \rangle$

lemma *inference-preserves-already-used-inv*:

assumes *inference* S S'

and *already-used-inv* S

shows *already-used-inv* S'

$\langle \text{proof} \rangle$

lemma *rtranclp-inference-preserves-already-used-inv*:

assumes *rtranclp inference* S S'

and *already-used-inv* S

shows *already-used-inv* S'
 $\langle \text{proof} \rangle$

lemma *subsumes-condensation*:
assumes *subsumes* $(C + \{\#L\# \} + \{\#L\# \}) D$
shows *subsumes* $(C + \{\#L\# \}) D$
 $\langle \text{proof} \rangle$

lemma *simplify-preserved-already-used-inv*:
assumes *simplify* $N N'$
and *already-used-inv* $(N, \text{already-used})$
shows *already-used-inv* $(N', \text{already-used})$
 $\langle \text{proof} \rangle$

lemma
factoring-satisfiable: $I \models \text{add-mset } L (\text{add-mset } L C) \longleftrightarrow I \models \text{add-mset } L C$ **and**
resolution-satisfiable:
consistent-interp $I \implies I \models \text{add-mset } (\text{Pos } p) C \implies I \models \text{add-mset } (\text{Neg } p) D \implies I \models C + D$ **and**
factoring-same-vars: $\text{atms-of } (\text{add-mset } L (\text{add-mset } L C)) = \text{atms-of } (\text{add-mset } L C)$
 $\langle \text{proof} \rangle$

lemma *inference-increasing*:
assumes *inference* $S S'$ **and** $\psi \in \text{fst } S$
shows $\psi \in \text{fst } S'$
 $\langle \text{proof} \rangle$

lemma *rtranclp-inference-increasing*:
assumes *rtranclp inference* $S S'$ **and** $\psi \in \text{fst } S$
shows $\psi \in \text{fst } S'$
 $\langle \text{proof} \rangle$

lemma *inference-clause-already-used-increasing*:
assumes *inference-clause* $S S'$
shows $\text{snd } S \subseteq \text{snd } S'$
 $\langle \text{proof} \rangle$

lemma *inference-already-used-increasing*:
assumes *inference* $S S'$
shows $\text{snd } S \subseteq \text{snd } S'$
 $\langle \text{proof} \rangle$

lemma *inference-clause-preserve-models*:
fixes $N N' :: 'v \text{ clause-set}$
assumes *inference-clause* $T T'$
and *total-over-m* $I (\text{fst } T)$
and *consistent*: *consistent-interp* I
shows $I \models_s \text{fst } T \longleftrightarrow I \models_s \text{fst } T \cup \{\text{fst } T'\}$
 $\langle \text{proof} \rangle$

lemma *inference-preserve-models*:
fixes $N N' :: 'v \text{ clause-set}$
assumes *inference* $T T'$
and *total-over-m* $I (\text{fst } T)$

and *consistent*: *consistent-interp* I
shows $I \models_s \text{fst } T \longleftrightarrow I \models_s \text{fst } T'$
 $\langle \text{proof} \rangle$

lemma *inference-clause-preserves-atms-of-ms*:
assumes *inference-clause* $S S'$
shows $\text{atms-of-ms } (\text{fst } (S \cup \{\text{fst } S'\}, \text{snd } S')) \subseteq \text{atms-of-ms } (\text{fst } S)$
 $\langle \text{proof} \rangle$

lemma *inference-preserves-atms-of-ms*:
fixes $N N' :: 'v \text{ clause-set}$
assumes *inference* $T T'$
shows $\text{atms-of-ms } (\text{fst } T') \subseteq \text{atms-of-ms } (\text{fst } T)$
 $\langle \text{proof} \rangle$

lemma *inference-preserves-total*:
fixes $N N' :: 'v \text{ clause-set}$
assumes *inference* $(N, \text{already-used}) (N', \text{already-used}')$
shows $\text{total-over-m } I N \implies \text{total-over-m } I N'$
 $\langle \text{proof} \rangle$

lemma *rtranclp-inference-preserves-total*:
assumes *rtranclp inference* $T T'$
shows $\text{total-over-m } I (\text{fst } T) \implies \text{total-over-m } I (\text{fst } T')$
 $\langle \text{proof} \rangle$

lemma *rtranclp-inference-preserve-models*:
assumes *rtranclp inference* $N N'$
and $\text{total-over-m } I (\text{fst } N)$
and *consistent*: *consistent-interp* I
shows $I \models_s \text{fst } N \longleftrightarrow I \models_s \text{fst } N'$
 $\langle \text{proof} \rangle$

lemma *inference-preserves-finite*:
assumes *inference* $\psi \psi'$ **and** *finite* $(\text{fst } \psi)$
shows *finite* $(\text{fst } \psi')$
 $\langle \text{proof} \rangle$

lemma *inference-clause-preserves-finite-snd*:
assumes *inference-clause* $\psi \psi'$ **and** *finite* $(\text{snd } \psi)$
shows *finite* $(\text{snd } \psi')$
 $\langle \text{proof} \rangle$

lemma *inference-preserves-finite-snd*:
assumes *inference* $\psi \psi'$ **and** *finite* $(\text{snd } \psi)$
shows *finite* $(\text{snd } \psi')$
 $\langle \text{proof} \rangle$

lemma *rtranclp-inference-preserves-finite*:
assumes *rtranclp inference* $\psi \psi'$ **and** *finite* $(\text{fst } \psi)$
shows *finite* $(\text{fst } \psi')$
 $\langle \text{proof} \rangle$

lemma *consistent-interp-insert*:
assumes *consistent-interp I*
and *atm-of P* \notin *atm-of 'I*
shows *consistent-interp (insert P I)*
 \langle *proof* \rangle

lemma *simplify-clause-preserves-sat*:
assumes *simp: simplify ψ ψ'*
and *satisfiable ψ'*
shows *satisfiable ψ*
 \langle *proof* \rangle

lemma *simplify-preserves-unsat*:
assumes *inference ψ ψ'*
shows *satisfiable (fst ψ') \longrightarrow satisfiable (fst ψ)*
 \langle *proof* \rangle

lemma *inference-preserves-unsat*:
assumes *inference** S S'*
shows *satisfiable (fst S') \longrightarrow satisfiable (fst S)*
 \langle *proof* \rangle

datatype *'v sem-tree* = *Node 'v 'v sem-tree 'v sem-tree | Leaf*

fun *sem-tree-size* :: *'v sem-tree \Rightarrow nat* **where**
sem-tree-size Leaf = 0 |
sem-tree-size (Node - ag ad) = 1 + *sem-tree-size ag* + *sem-tree-size ad*

lemma *sem-tree-size[case-names bigger]*:
 $(\bigwedge xs:: 'v \text{ sem-tree. } (\bigwedge ys:: 'v \text{ sem-tree. } \text{sem-tree-size } ys < \text{sem-tree-size } xs \implies P \text{ } ys) \implies P \text{ } xs)$
 $\implies P \text{ } xs$
 \langle *proof* \rangle

fun *partial-interps* :: *'v sem-tree \Rightarrow 'v partial-interp \Rightarrow 'v clause-set \Rightarrow bool* **where**
partial-interps Leaf I ψ = $(\exists \chi. \neg I \models \chi \wedge \chi \in \psi \wedge \text{total-over-m } I \{ \chi \})$ |
partial-interps (Node v ag ad) I ψ \longleftrightarrow
 $(\text{partial-interps } ag (I \cup \{ \text{Pos } v \}) \psi \wedge \text{partial-interps } ad (I \cup \{ \text{Neg } v \}) \psi)$

lemma *simplify-preserve-partial-leaf*:
simplify N N' \implies partial-interps Leaf I N \implies partial-interps Leaf I N'
 \langle *proof* \rangle

lemma *simplify-preserve-partial-tree*:
assumes *simplify N N'*
and *partial-interps t I N*
shows *partial-interps t I N'*
 \langle *proof* \rangle

lemma *inference-preserve-partial-tree*:
assumes *inference S S'*
and *partial-interps t I (fst S)*
shows *partial-interps t I (fst S')*

$\langle \text{proof} \rangle$

lemma *rtranclp-inference-preserve-partial-tree*:

assumes *rtranclp inference* $N N'$

and *partial-interps* $t I$ (*fst* N)

shows *partial-interps* $t I$ (*fst* N')

$\langle \text{proof} \rangle$

function *build-sem-tree* :: $'v :: \text{linorder set} \Rightarrow 'v \text{ clause-set} \Rightarrow 'v \text{ sem-tree}$ **where**

build-sem-tree *atms* $\psi =$

(if *atms* = {} $\vee \neg$ *finite* *atms*

then *Leaf*

else *Node* (*Min* *atms*) (*build-sem-tree* (*Set.remove* (*Min* *atms*) *atms*) ψ)

(*build-sem-tree* (*Set.remove* (*Min* *atms*) *atms*) ψ))

$\langle \text{proof} \rangle$

termination

$\langle \text{proof} \rangle$

declare *build-sem-tree.induct*[*case-names tree*]

lemma *unsatisfiable-empty[simp]*:

\neg *unsatisfiable* {}

$\langle \text{proof} \rangle$

lemma *partial-interps-build-sem-tree-atms-general*:

fixes $\psi :: 'v :: \text{linorder clause-set}$ **and** $p :: 'v \text{ literal list}$

assumes *unsat: unsatisfiable* ψ **and** *finite* ψ **and** *consistent-interp* I

and *finite* *atms*

and *atms-of-ms* $\psi = \text{atms} \cup \text{atms-of-s } I$ **and** $\text{atms} \cap \text{atms-of-s } I = \{\}$

shows *partial-interps* (*build-sem-tree* *atms* ψ) $I \psi$

$\langle \text{proof} \rangle$

lemma *partial-interps-build-sem-tree-atms*:

fixes $\psi :: 'v :: \text{linorder clause-set}$ **and** $p :: 'v \text{ literal list}$

assumes *unsat: unsatisfiable* ψ **and** *finite: finite* ψ

shows *partial-interps* (*build-sem-tree* (*atms-of-ms* ψ) ψ) {} ψ

$\langle \text{proof} \rangle$

lemma *can-decrease-count*:

fixes $\psi'' :: 'v \text{ clause-set} \times ('v \text{ clause} \times 'v \text{ clause} \times 'v) \text{ set}$

assumes *count* $\chi L = n$

and $L \in \# \chi$ **and** $\chi \in \text{fst } \psi$

shows $\exists \psi' \chi'. \text{inference}^{**} \psi \psi' \wedge \chi' \in \text{fst } \psi' \wedge (\forall L. L \in \# \chi \longleftrightarrow L \in \# \chi')$

$\wedge \text{count } \chi' L = 1$

$\wedge (\forall \varphi. \varphi \in \text{fst } \psi \longrightarrow \varphi \in \text{fst } \psi')$

$\wedge (I \models \chi \longleftrightarrow I \models \chi')$

$\wedge (\forall I'. \text{total-over-m } I' \{\chi\} \longrightarrow \text{total-over-m } I' \{\chi'\})$

$\langle \text{proof} \rangle$

lemma *can-decrease-tree-size*:

fixes $\psi :: 'v \text{ state}$ **and** $\text{tree} :: 'v \text{ sem-tree}$

assumes *finite* (*fst* ψ) **and** *already-used-inv* ψ

and *partial-interps* $\text{tree } I$ (*fst* ψ)

shows $\exists (\text{tree}' :: 'v \text{ sem-tree}) \psi'. \text{inference}^{**} \psi \psi' \wedge \text{partial-interps } \text{tree}' I$ (*fst* ψ')

$\wedge (\text{sem-tree-size } tree' < \text{sem-tree-size } tree \vee \text{sem-tree-size } tree = 0)$
 $\langle proof \rangle$

lemma *inference-completeness-inv*:

fixes $\psi :: 'v :: \text{linorder state}$

assumes

$unsat: \neg \text{satisfiable } (fst \ \psi)$ **and**

$finite: finite \ (fst \ \psi)$ **and**

$a-u-v: already-used-inv \ \psi$

shows $\exists \psi'. (\text{inference}^{**} \ \psi \ \psi' \wedge \{\#\} \in fst \ \psi')$

$\langle proof \rangle$

lemma *inference-completeness*:

fixes $\psi :: 'v :: \text{linorder state}$

assumes $unsat: \neg \text{satisfiable } (fst \ \psi)$

and $finite: finite \ (fst \ \psi)$

and $snd \ \psi = \{\}$

shows $\exists \psi'. (rtranclp \ \text{inference} \ \psi \ \psi' \wedge \{\#\} \in fst \ \psi')$

$\langle proof \rangle$

lemma *inference-soundness*:

fixes $\psi :: 'v :: \text{linorder state}$

assumes $rtranclp \ \text{inference} \ \psi \ \psi' \text{ and } \{\#\} \in fst \ \psi'$

shows $unsatisfiable \ (fst \ \psi)$

$\langle proof \rangle$

lemma *inference-soundness-and-completeness*:

fixes $\psi :: 'v :: \text{linorder state}$

assumes $finite: finite \ (fst \ \psi)$

and $snd \ \psi = \{\}$

shows $(\exists \psi'. (\text{inference}^{**} \ \psi \ \psi' \wedge \{\#\} \in fst \ \psi')) \longleftrightarrow unsatisfiable \ (fst \ \psi)$

$\langle proof \rangle$

2.1.4 Lemma about the Simplified State

abbreviation $simplified \ \psi \equiv (no\text{-}step \ simplify \ \psi)$

lemma *simplified-count*:

assumes $simp: simplified \ \psi$ **and** $\chi: \chi \in \psi$

shows $count \ \chi \ L \leq 1$

$\langle proof \rangle$

lemma *simplified-no-both*:

assumes $simp: simplified \ \psi$ **and** $\chi: \chi \in \psi$

shows $\neg (L \in \# \ \chi \wedge \neg L \in \# \ \chi)$

$\langle proof \rangle$

lemma *add-mset-Neg-Pos-commute[simp]*:

$add\text{-}mset \ (Neg \ P) \ (add\text{-}mset \ (Pos \ P) \ C) = add\text{-}mset \ (Pos \ P) \ (add\text{-}mset \ (Neg \ P) \ C)$

$\langle proof \rangle$

lemma *simplified-not-tautology*:

assumes $simplified \ \{\psi\}$

shows $\sim \text{tautology} \ \psi$

$\langle proof \rangle$

lemma *simplified-remove*:
assumes *simplified* $\{\psi\}$
shows *simplified* $\{\psi - \{\#l\#\}\}$
 $\langle proof \rangle$

lemma *in-simplified-simplified*:
assumes *simp*: *simplified* ψ **and** *incl*: $\psi' \subseteq \psi$
shows *simplified* ψ'
 $\langle proof \rangle$

lemma *simplified-in*:
assumes *simplified* ψ
and $N \in \psi$
shows *simplified* $\{N\}$
 $\langle proof \rangle$

lemma *subsumes-imp-formula*:
assumes $\psi \leq \# \varphi$
shows $\{\psi\} \models_p \varphi$
 $\langle proof \rangle$

lemma *simplified-imp-distinct-mset-tauto*:
assumes *simp*: *simplified* ψ'
shows *distinct-mset-set* ψ' **and** $\forall \chi \in \psi'. \neg \text{tautology } \chi$
 $\langle proof \rangle$

lemma *simplified-no-more-full1-simplified*:
assumes *simplified* ψ
shows $\neg \text{full1 simplify } \psi \psi'$
 $\langle proof \rangle$

2.1.5 Resolution and Invariants

inductive *resolution* :: $'v \text{ state} \Rightarrow 'v \text{ state} \Rightarrow \text{bool}$ **where**
full1-simp: *full1 simplify* $N N' \Longrightarrow \text{resolution } (N, \text{already-used}) (N', \text{already-used})$ |
inferring: *inference* $(N, \text{already-used}) (N', \text{already-used}') \Longrightarrow \text{simplified } N$
 $\Longrightarrow \text{full simplify } N' N'' \Longrightarrow \text{resolution } (N, \text{already-used}) (N'', \text{already-used}')$

Invariants

lemma *resolution-finite*:
assumes *resolution* $\psi \psi'$ **and** *finite* $(fst \psi)$
shows *finite* $(fst \psi')$
 $\langle proof \rangle$

lemma *rtrancp-resolution-finite*:
assumes *resolution*** $\psi \psi'$ **and** *finite* $(fst \psi)$
shows *finite* $(fst \psi')$
 $\langle proof \rangle$

lemma *resolution-finite-snd*:
assumes *resolution* $\psi \psi'$ **and** *finite* $(snd \psi)$
shows *finite* $(snd \psi')$
 $\langle proof \rangle$

lemma *rtrancp-resolution-finite-snd*:
assumes *resolution*** $\psi \ \psi'$ **and** *finite* (*snd* ψ)
shows *finite* (*snd* ψ')
 \langle *proof* \rangle

lemma *resolution-always-simplified*:
assumes *resolution* $\psi \ \psi'$
shows *simplified* (*fst* ψ')
 \langle *proof* \rangle

lemma *trancp-resolution-always-simplified*:
assumes *trancp resolution* $\psi \ \psi'$
shows *simplified* (*fst* ψ')
 \langle *proof* \rangle

lemma *resolution-atms-of*:
assumes *resolution* $\psi \ \psi'$ **and** *finite* (*fst* ψ)
shows *atms-of-ms* (*fst* ψ') \subseteq *atms-of-ms* (*fst* ψ)
 \langle *proof* \rangle

lemma *rtrancp-resolution-atms-of*:
assumes *resolution*** $\psi \ \psi'$ **and** *finite* (*fst* ψ)
shows *atms-of-ms* (*fst* ψ') \subseteq *atms-of-ms* (*fst* ψ)
 \langle *proof* \rangle

lemma *resolution-include*:
assumes *res: resolution* $\psi \ \psi'$ **and** *finite: finite* (*fst* ψ)
shows *fst* $\psi' \subseteq$ *simple-clss* (*atms-of-ms* (*fst* ψ))
 \langle *proof* \rangle

lemma *rtrancp-resolution-include*:
assumes *res: trancp resolution* $\psi \ \psi'$ **and** *finite: finite* (*fst* ψ)
shows *fst* $\psi' \subseteq$ *simple-clss* (*atms-of-ms* (*fst* ψ))
 \langle *proof* \rangle

abbreviation *already-used-all-simple*
 $:: ('a \text{ literal multiset} \times 'a \text{ literal multiset}) \text{ set} \Rightarrow 'a \text{ set} \Rightarrow \text{bool}$ **where**
already-used-all-simple *already-used* *vars* \equiv
 $(\forall (A, B) \in \text{already-used}. \text{simplified } \{A\} \wedge \text{simplified } \{B\} \wedge \text{atms-of } A \subseteq \text{vars} \wedge \text{atms-of } B \subseteq \text{vars})$

lemma *already-used-all-simple-vars-incl*:
assumes *vars* \subseteq *vars'*
shows *already-used-all-simple* *a* *vars* \implies *already-used-all-simple* *a* *vars'*
 \langle *proof* \rangle

lemma *inference-clause-preserves-already-used-all-simple*:
assumes *inference-clause* $S \ S'$
and *already-used-all-simple* (*snd* S) *vars*
and *simplified* (*fst* S)
and *atms-of-ms* (*fst* S) \subseteq *vars*
shows *already-used-all-simple* (*snd* (*fst* $S \cup \{\text{fst } S'\}$, *snd* S')) *vars*
 \langle *proof* \rangle

lemma *inference-preserves-already-used-all-simple*:
assumes *inference* $S \ S'$
and *already-used-all-simple* (*snd* S) *vars*

and *simplified* (*fst S*)
and *atms-of-ms* (*fst S*) \subseteq *vars*
shows *already-used-all-simple* (*snd S'*) *vars*
 \langle *proof* \rangle

lemma *already-used-all-simple-inv*:
assumes *resolution* *S S'*
and *already-used-all-simple* (*snd S*) *vars*
and *atms-of-ms* (*fst S*) \subseteq *vars*
shows *already-used-all-simple* (*snd S'*) *vars*
 \langle *proof* \rangle

lemma *rtrancpl-already-used-all-simple-inv*:
assumes *resolution*** *S S'*
and *already-used-all-simple* (*snd S*) *vars*
and *atms-of-ms* (*fst S*) \subseteq *vars*
and *finite* (*fst S*)
shows *already-used-all-simple* (*snd S'*) *vars*
 \langle *proof* \rangle

lemma *inference-clause-simplified-already-used-subset*:
assumes *inference-clause* *S S'*
and *simplified* (*fst S*)
shows *snd S* \subset *snd S'*
 \langle *proof* \rangle

lemma *inference-simplified-already-used-subset*:
assumes *inference* *S S'*
and *simplified* (*fst S*)
shows *snd S* \subset *snd S'*
 \langle *proof* \rangle

lemma *resolution-simplified-already-used-subset*:
assumes *resolution* *S S'*
and *simplified* (*fst S*)
shows *snd S* \subset *snd S'*
 \langle *proof* \rangle

lemma *trancpl-resolution-simplified-already-used-subset*:
assumes *trancpl resolution* *S S'*
and *simplified* (*fst S*)
shows *snd S* \subset *snd S'*
 \langle *proof* \rangle

abbreviation *already-used-top vars* \equiv *simple-clss vars* \times *simple-clss vars*

lemma *already-used-all-simple-in-already-used-top*:
assumes *already-used-all-simple* *s vars* **and** *finite vars*
shows *s* \subseteq *already-used-top vars*
 \langle *proof* \rangle

lemma *already-used-top-finite*:
assumes *finite vars*
shows *finite* (*already-used-top vars*)
 \langle *proof* \rangle

lemma *already-used-top-increasing*:
assumes $var \subseteq var'$ **and** *finite* var'
shows *already-used-top* $var \subseteq \text{already-used-top } var'$
 $\langle \text{proof} \rangle$

lemma *already-used-all-simple-finite*:
fixes $s :: ('a \text{ literal multiset} \times 'a \text{ literal multiset}) \text{ set}$ **and** $vars :: 'a \text{ set}$
assumes *already-used-all-simple* $s \text{ vars}$ **and** *finite* $vars$
shows *finite* s
 $\langle \text{proof} \rangle$

abbreviation *card-simple vars* $\psi \equiv \text{card } (\text{already-used-top } vars - \psi)$

lemma *resolution-card-simple-decreasing*:
assumes *res*: *resolution* $\psi \psi'$
and *a-u-s*: *already-used-all-simple* $(\text{snd } \psi) \text{ vars}$
and *finite-v*: *finite* $vars$
and *finite-fst*: *finite* $(\text{fst } \psi)$
and *finite-snd*: *finite* $(\text{snd } \psi)$
and *simp*: *simplified* $(\text{fst } \psi)$
and *atms-of-ms* $(\text{fst } \psi) \subseteq vars$
shows *card-simple vars* $(\text{snd } \psi') < \text{card-simple vars } (\text{snd } \psi)$
 $\langle \text{proof} \rangle$

lemma *trancp-resolution-card-simple-decreasing*:
assumes *trancp resolution* $\psi \psi'$ **and** *finite-fst*: *finite* $(\text{fst } \psi)$
and *already-used-all-simple* $(\text{snd } \psi) \text{ vars}$
and *atms-of-ms* $(\text{fst } \psi) \subseteq vars$
and *finite-v*: *finite* $vars$
and *finite-snd*: *finite* $(\text{snd } \psi)$
and *simplified* $(\text{fst } \psi)$
shows *card-simple vars* $(\text{snd } \psi') < \text{card-simple vars } (\text{snd } \psi)$
 $\langle \text{proof} \rangle$

lemma *trancp-resolution-card-simple-decreasing-2*:
assumes *trancp resolution* $\psi \psi'$
and *finite-fst*: *finite* $(\text{fst } \psi)$
and *empty-snd*: $\text{snd } \psi = \{\}$
and *simplified* $(\text{fst } \psi)$
shows *card-simple* $(\text{atms-of-ms } (\text{fst } \psi)) (\text{snd } \psi') < \text{card-simple } (\text{atms-of-ms } (\text{fst } \psi)) (\text{snd } \psi)$
 $\langle \text{proof} \rangle$

Well-Foundness of the Relation

lemma *wf-simplified-resolution*:
assumes *f-vars*: *finite* $vars$
shows *wf* $\{(y :: 'v :: \text{linorder state}, x). (\text{atms-of-ms } (\text{fst } x) \subseteq vars \wedge \text{simplified } (\text{fst } x) \wedge \text{finite } (\text{snd } x) \wedge \text{finite } (\text{fst } x) \wedge \text{already-used-all-simple } (\text{snd } x) \text{ vars}) \wedge \text{resolution } x y\}$
 $\langle \text{proof} \rangle$

lemma *wf-simplified-resolution'*:
assumes *f-vars*: *finite* $vars$
shows *wf* $\{(y :: 'v :: \text{linorder state}, x). (\text{atms-of-ms } (\text{fst } x) \subseteq vars \wedge \neg \text{simplified } (\text{fst } x) \wedge \text{finite } (\text{snd } x) \wedge \text{finite } (\text{fst } x) \wedge \text{already-used-all-simple } (\text{snd } x) \text{ vars}) \wedge \text{resolution } x y\}$

$\langle \text{proof} \rangle$

lemma *wf-resolution*:

assumes *f-vars*: *finite vars*

shows $\text{wf } (\{(y:: 'v:: \text{linorder state}, x). (\text{atms-of-ms } (\text{fst } x) \subseteq \text{vars} \wedge \text{simplified } (\text{fst } x) \wedge \text{finite } (\text{snd } x) \wedge \text{finite } (\text{fst } x) \wedge \text{already-used-all-simple } (\text{snd } x) \text{ vars}) \wedge \text{resolution } x y\} \cup \{(y, x). (\text{atms-of-ms } (\text{fst } x) \subseteq \text{vars} \wedge \neg \text{simplified } (\text{fst } x) \wedge \text{finite } (\text{snd } x) \wedge \text{finite } (\text{fst } x) \wedge \text{already-used-all-simple } (\text{snd } x) \text{ vars}) \wedge \text{resolution } x y\})$ **(is** $\text{wf } (?R \cup ?S)$)

$\langle \text{proof} \rangle$

lemma *rtranclp-simplify-already-used-inv*:

assumes *simplify*** *S S'*

and *already-used-inv* (*S*, *N*)

shows *already-used-inv* (*S'*, *N*)

$\langle \text{proof} \rangle$

lemma *full1-simplify-already-used-inv*:

assumes *full1 simplify* *S S'*

and *already-used-inv* (*S*, *N*)

shows *already-used-inv* (*S'*, *N*)

$\langle \text{proof} \rangle$

lemma *full-simplify-already-used-inv*:

assumes *full simplify* *S S'*

and *already-used-inv* (*S*, *N*)

shows *already-used-inv* (*S'*, *N*)

$\langle \text{proof} \rangle$

lemma *resolution-already-used-inv*:

assumes *resolution* *S S'*

and *already-used-inv* *S*

shows *already-used-inv* *S'*

$\langle \text{proof} \rangle$

lemma *rtranclp-resolution-already-used-inv*:

assumes *resolution*** *S S'*

and *already-used-inv* *S*

shows *already-used-inv* *S'*

$\langle \text{proof} \rangle$

lemma *rtanclp-simplify-preserves-unsat*:

assumes *simplify*** $\psi \psi'$

shows *satisfiable* $\psi' \longrightarrow \text{satisfiable } \psi$

$\langle \text{proof} \rangle$

lemma *full1-simplify-preserves-unsat*:

assumes *full1 simplify* $\psi \psi'$

shows *satisfiable* $\psi' \longrightarrow \text{satisfiable } \psi$

$\langle \text{proof} \rangle$

lemma *full-simplify-preserves-unsat*:

assumes *full simplify* $\psi \psi'$

shows *satisfiable* $\psi' \longrightarrow \text{satisfiable } \psi$

$\langle \text{proof} \rangle$

lemma *resolution-preserves-unsat*:

assumes *resolution* $\psi \psi'$

shows *satisfiable* (*fst* ψ') \longrightarrow *satisfiable* (*fst* ψ)
 \langle *proof* \rangle

lemma *rtrancp-resolution-preserves-unsat*:
assumes *resolution*** ψ ψ'
shows *satisfiable* (*fst* ψ') \longrightarrow *satisfiable* (*fst* ψ)
 \langle *proof* \rangle

lemma *rtrancp-simplify-preserve-partial-tree*:
assumes *simplify*** N N'
and *partial-interps* t I N
shows *partial-interps* t I N'
 \langle *proof* \rangle

lemma *full1-simplify-preserve-partial-tree*:
assumes *full1 simplify* N N'
and *partial-interps* t I N
shows *partial-interps* t I N'
 \langle *proof* \rangle

lemma *full-simplify-preserve-partial-tree*:
assumes *full simplify* N N'
and *partial-interps* t I N
shows *partial-interps* t I N'
 \langle *proof* \rangle

lemma *resolution-preserve-partial-tree*:
assumes *resolution* S S'
and *partial-interps* t I (*fst* S)
shows *partial-interps* t I (*fst* S')
 \langle *proof* \rangle

lemma *rtrancp-resolution-preserve-partial-tree*:
assumes *resolution*** S S'
and *partial-interps* t I (*fst* S)
shows *partial-interps* t I (*fst* S')
 \langle *proof* \rangle
thm *nat-less-induct nat.induct*

lemma *nat-ge-induct[case-names 0 Suc]*:
assumes P 0
and $\bigwedge n. (\bigwedge m. m < \text{Suc } n \implies P m) \implies P (\text{Suc } n)$
shows P n
 \langle *proof* \rangle

lemma *wf-always-more-step-False*:
assumes *wf* R
shows $(\forall x. \exists z. (z, x) \in R) \implies \text{False}$
 \langle *proof* \rangle

lemma *finite-finite-mset-element-of-mset[simp]*:
assumes *finite* N
shows *finite* $\{f \varphi L \mid \varphi L. \varphi \in N \wedge L \in \# \varphi \wedge P \varphi L\}$
 \langle *proof* \rangle

definition *sum-count-ge-2* :: 'a multiset set \Rightarrow nat (Ξ) **where**
sum-count-ge-2 \equiv *folding.F* ($\lambda\varphi. (+)(\text{sum-mset } \{\#count \varphi L \mid L \in \# \varphi. 2 \leq count \varphi L\# \})$) 0

interpretation *sum-count-ge-2*:

folding $\lambda\varphi. (+)(\text{sum-mset } \{\#count \varphi L \mid L \in \# \varphi. 2 \leq count \varphi L\# \})$ 0

rewrites

folding.F ($\lambda\varphi. (+)(\text{sum-mset } \{\#count \varphi L \mid L \in \# \varphi. 2 \leq count \varphi L\# \})$) 0 = *sum-count-ge-2*

<proof>

lemma *finite-incl-le-setsum*:

finite ($B::'a \text{ multiset set}$) $\Longrightarrow A \subseteq B \Longrightarrow \Xi A \leq \Xi B$

<proof>

lemma *simplify-finite-measure-decrease*:

simplify $N N' \Longrightarrow \text{finite } N \Longrightarrow \text{card } N' + \Xi N' < \text{card } N + \Xi N$

<proof>

lemma *simplify-terminates*:

wf $\{(N', N). \text{finite } N \wedge \text{simplify } N N'\}$

<proof>

lemma *wf-terminates*:

assumes *wf* r

shows $\exists N'. (N', N) \in r^* \wedge (\forall N''. (N'', N') \notin r)$

<proof>

lemma *rtranclp-simplify-terminates*:

assumes *fin*: *finite* N

shows $\exists N'. \text{simplify}^{**} N N' \wedge \text{simplified } N'$

<proof>

lemma *finite-simplified-full1-simp*:

assumes *finite* N

shows $\text{simplified } N \vee (\exists N'. \text{full1 simplify } N N')$

<proof>

lemma *finite-simplified-full-simp*:

assumes *finite* N

shows $\exists N'. \text{full simplify } N N'$

<proof>

lemma *can-decrease-tree-size-resolution*:

fixes $\psi :: 'v \text{ state}$ **and** *tree* :: 'v *sem-tree*

assumes *finite* (*fst* ψ) **and** *already-used-inv* ψ

and *partial-interps tree* I (*fst* ψ)

and *simplified* (*fst* ψ)

shows $\exists (\text{tree}'::'v \text{ sem-tree}) \psi'. \text{resolution}^{**} \psi \psi' \wedge \text{partial-interps tree}' I (\text{fst } \psi')$

$\wedge (\text{sem-tree-size tree}' < \text{sem-tree-size tree} \vee \text{sem-tree-size tree} = 0)$

<proof>

lemma *resolution-completeness-inv*:

fixes $\psi :: 'v :: \text{linorder state}$

assumes

unsat: $\neg \text{satisfiable}$ (*fst* ψ) **and**

finite: *finite* (*fst* ψ) **and**
a-u-v: *already-used-inv* ψ
shows $\exists \psi'. (\text{resolution}^{**} \psi \psi' \wedge \{\#\} \in \text{fst } \psi')$
 $\langle \text{proof} \rangle$

lemma *resolution-preserves-already-used-inv*:
assumes *resolution* $S S'$
and *already-used-inv* S
shows *already-used-inv* S'
 $\langle \text{proof} \rangle$

lemma *rtrancp-resolution-preserves-already-used-inv*:
assumes *resolution*^{**} $S S'$
and *already-used-inv* S
shows *already-used-inv* S'
 $\langle \text{proof} \rangle$

lemma *resolution-completeness*:
fixes $\psi :: 'v :: \text{linorder state}$
assumes *unsat*: $\neg \text{satisfiable } (\text{fst } \psi)$
and *finite*: *finite* (*fst* ψ)
and *snd* $\psi = \{\}$
shows $\exists \psi'. (\text{resolution}^{**} \psi \psi' \wedge \{\#\} \in \text{fst } \psi')$
 $\langle \text{proof} \rangle$

lemma *rtrancp-preserves-sat*:
assumes *simplify*^{**} $S S'$
and *satisfiable* S
shows *satisfiable* S'
 $\langle \text{proof} \rangle$

lemma *resolution-preserves-sat*:
assumes *resolution* $S S'$
and *satisfiable* (*fst* S)
shows *satisfiable* (*fst* S')
 $\langle \text{proof} \rangle$

lemma *rtrancp-resolution-preserves-sat*:
assumes *resolution*^{**} $S S'$
and *satisfiable* (*fst* S)
shows *satisfiable* (*fst* S')
 $\langle \text{proof} \rangle$

lemma *resolution-soundness*:
fixes $\psi :: 'v :: \text{linorder state}$
assumes *resolution*^{**} $\psi \psi'$ **and** $\{\#\} \in \text{fst } \psi'$
shows *unsatisfiable* (*fst* ψ)
 $\langle \text{proof} \rangle$

lemma *resolution-soundness-and-completeness*:
fixes $\psi :: 'v :: \text{linorder state}$
assumes *finite*: *finite* (*fst* ψ)
and *snd*: *snd* $\psi = \{\}$
shows $(\exists \psi'. (\text{resolution}^{**} \psi \psi' \wedge \{\#\} \in \text{fst } \psi')) \longleftrightarrow \text{unsatisfiable } (\text{fst } \psi)$
 $\langle \text{proof} \rangle$

lemma *simplified-falsity*:

assumes *simp: simplified* ψ
and $\{\#\} \in \psi$
shows $\psi = \{\{\#\}\}$

$\langle proof \rangle$

lemma *simplify-falsity-in-preserved*:

assumes *simplify* $\chi s \chi s'$
and $\{\#\} \in \chi s$
shows $\{\#\} \in \chi s'$

$\langle proof \rangle$

lemma *rtrancp-simplify-falsity-in-preserved*:

assumes *simplify*** $\chi s \chi s'$
and $\{\#\} \in \chi s$
shows $\{\#\} \in \chi s'$

$\langle proof \rangle$

lemma *resolution-falsity-get-falsity-alone*:

assumes *finite* (*fst* ψ)
shows $(\exists \psi'. (\text{resolution}^{**} \psi \psi' \wedge \{\#\} \in \text{fst } \psi')) \longleftrightarrow (\exists a-u-v. \text{resolution}^{**} \psi (\{\{\#\}\}, a-u-v))$
(is $?A \longleftrightarrow ?B$)

$\langle proof \rangle$

theorem *resolution-soundness-and-completeness'*:

fixes $\psi :: 'v :: \text{linorder state}$
assumes
finite: *finite* (*fst* ψ) **and**
snd: *snd* $\psi = \{\}$
shows $(\exists a-u-v. (\text{resolution}^{**} \psi (\{\{\#\}\}, a-u-v))) \longleftrightarrow \text{unsatisfiable } (\text{fst } \psi)$

$\langle proof \rangle$

end

theory *Prop-Superposition*

imports *Entailment-Definition.Partial-Herbrand-Interpretation Ordered-Resolution-Prover.Herbrand-Interpretation*

begin

2.2 Superposition

no-notation *Herbrand-Interpretation.true-cls* (**infix** \models 50)

notation *Herbrand-Interpretation.true-cls* (**infix** \models_h 50)

no-notation *Herbrand-Interpretation.true-clss* (**infix** \models_s 50)

notation *Herbrand-Interpretation.true-clss* (**infix** \models_{hs} 50)

lemma *herbrand-interp-iff-partial-interp-cls*:

$S \models_h C \longleftrightarrow \{Pos\ P|P. P \in S\} \cup \{Neg\ P|P. P \notin S\} \models C$

$\langle proof \rangle$

lemma *herbrand-consistent-interp*:

consistent-interp $(\{Pos\ P|P. P \in S\} \cup \{Neg\ P|P. P \notin S\})$

$\langle proof \rangle$

lemma *herbrand-total-over-set*:

total-over-set ($\{\text{Pos } P \mid P. P \in S\} \cup \{\text{Neg } P \mid P. P \notin S\}$) T
 $\langle \text{proof} \rangle$

lemma *herbrand-total-over-m*:

total-over-m ($\{\text{Pos } P \mid P. P \in S\} \cup \{\text{Neg } P \mid P. P \notin S\}$) T
 $\langle \text{proof} \rangle$

lemma *herbrand-interp-iff-partial-interp-clss*:

$S \models_{hs} C \longleftrightarrow \{\text{Pos } P \mid P. P \in S\} \cup \{\text{Neg } P \mid P. P \notin S\} \models_s C$
 $\langle \text{proof} \rangle$

definition *clss-lt* :: 'a::wellorder clause-set \Rightarrow 'a clause \Rightarrow 'a clause-set **where**
clss-lt N $C = \{D \in N. D < C\}$

notation (*latex output*)

clss-lt ($-\hat{<}^{bsup}>-\hat{<}^{esup}>$)

locale *selection* =

fixes $S :: 'a \text{ clause} \Rightarrow 'a \text{ clause}$

assumes

$S\text{-selects-subseteq}: \bigwedge C. S \ C \leq \# \ C$ **and**

$S\text{-selects-neg-lits}: \bigwedge C \ L. L \in \# \ S \ C \Longrightarrow \text{is-neg } L$

locale *ground-resolution-with-selection* =

selection S **for** $S :: ('a :: \text{wellorder}) \text{ clause} \Rightarrow 'a \text{ clause}$

begin

context

fixes $N :: 'a \text{ clause set}$

begin

We do not create an equivalent of δ , but we directly defined N_C by inlining the definition.

function

production :: 'a clause \Rightarrow 'a interp

where

production $C =$

$\{A. C \in N \wedge C \neq \{\#\} \wedge \text{Max-mset } C = \text{Pos } A \wedge \text{count } C (\text{Pos } A) \leq 1$
 $\wedge \neg (\bigcup D \in \{D. D < C\}. \text{production } D) \models_h C \wedge S \ C = \{\#\}\}$

$\langle \text{proof} \rangle$

termination $\langle \text{proof} \rangle$

declare *production.simps*[*simp del*]

definition *interp* :: 'a clause \Rightarrow 'a interp **where**

interp $C = (\bigcup D \in \{D. D < C\}. \text{production } D)$

lemma *production-unfold*:

production $C = \{A. C \in N \wedge C \neq \{\#\} \wedge \text{Max-mset } C = \text{Pos } A \wedge \text{count } C (\text{Pos } A) \leq 1 \wedge \neg \text{interp}$
 $C \models_h C \wedge S \ C = \{\#\}\}$

$\langle \text{proof} \rangle$

abbreviation *productive* $A \equiv (\text{production } A \neq \{\})$

abbreviation *produces* :: 'a clause \Rightarrow 'a \Rightarrow bool **where**

produces $C \ A \equiv \text{production } C = \{A\}$

lemma *producesD*:

$\text{produces } C \ A \implies C \in N \wedge C \neq \{\#\} \wedge \text{Pos } A = \text{Max-mset } C \wedge \text{count } C \ (\text{Pos } A) \leq 1 \wedge$
 $\neg \text{interp } C \models_h C \wedge S \ C = \{\#\}$
 $\langle \text{proof} \rangle$

lemma *produces C A \implies Pos A $\in \#$ C*

$\langle \text{proof} \rangle$

lemma *interp'-def-in-set*:

$\text{interp } C = (\bigcup D \in \{D \in N. D < C\}. \text{production } D)$
 $\langle \text{proof} \rangle$

lemma *production-iff-produces*:

$\text{produces } D \ A \longleftrightarrow A \in \text{production } D$
 $\langle \text{proof} \rangle$

definition *Interp* :: 'a clause \Rightarrow 'a interp **where**

$\text{Interp } C = \text{interp } C \cup \text{production } C$

lemma

assumes *produces C P*

shows *Interp C \models_h C*

$\langle \text{proof} \rangle$

definition *INTERP* :: 'a interp **where**

$\text{INTERP} = (\bigcup D \in N. \text{production } D)$

lemma *interp-subseteq-Interp[simp]*: $\text{interp } C \subseteq \text{Interp } C$

$\langle \text{proof} \rangle$

lemma *Interp-as-UNION*: $\text{Interp } C = (\bigcup D \in \{D. D \leq C\}. \text{production } D)$

$\langle \text{proof} \rangle$

lemma *productive-not-empty*: $\text{productive } C \implies C \neq \{\#\}$

$\langle \text{proof} \rangle$

lemma *productive-imp-produces-Max-literal*: $\text{productive } C \implies \text{produces } C \ (\text{atm-of } (\text{Max-mset } C))$

$\langle \text{proof} \rangle$

lemma *productive-imp-produces-Max-atom*: $\text{productive } C \implies \text{produces } C \ (\text{Max } (\text{atms-of } C))$

$\langle \text{proof} \rangle$

lemma *produces-imp-Max-literal*: $\text{produces } C \ A \implies A = \text{atm-of } (\text{Max-mset } C)$

$\langle \text{proof} \rangle$

lemma *produces-imp-Max-atom*: $\text{produces } C \ A \implies A = \text{Max } (\text{atms-of } C)$

$\langle \text{proof} \rangle$

lemma *produces-imp-Pos-in-lits*: $\text{produces } C \ A \implies \text{Pos } A \in \# \ C$

$\langle \text{proof} \rangle$

lemma *productive-in-N*: $\text{productive } C \implies C \in N$

$\langle \text{proof} \rangle$

lemma *produces-imp-atms-leq*: $\text{produces } C \ A \implies B \in \text{atms-of } C \implies B \leq A$

$\langle \text{proof} \rangle$

lemma *produces-imp-neg-notin-lits*: $\text{produces } C \ A \implies \text{Neg } A \notin \# \ C$
 $\langle \text{proof} \rangle$

lemma *less-eq-imp-interp-subseteq-interp*: $C \leq D \implies \text{interp } C \subseteq \text{interp } D$
 $\langle \text{proof} \rangle$

lemma *less-eq-imp-interp-subseteq-Interp*: $C \leq D \implies \text{interp } C \subseteq \text{Interp } D$
 $\langle \text{proof} \rangle$

lemma *less-imp-production-subseteq-interp*: $C < D \implies \text{production } C \subseteq \text{interp } D$
 $\langle \text{proof} \rangle$

lemma *less-eq-imp-production-subseteq-Interp*: $C \leq D \implies \text{production } C \subseteq \text{Interp } D$
 $\langle \text{proof} \rangle$

lemma *less-imp-Interp-subseteq-interp*: $C < D \implies \text{Interp } C \subseteq \text{interp } D$
 $\langle \text{proof} \rangle$

lemma *less-eq-imp-Interp-subseteq-Interp*: $C \leq D \implies \text{Interp } C \subseteq \text{Interp } D$
 $\langle \text{proof} \rangle$

lemma *false-Interp-to-true-interp-imp-less-multiset*: $A \notin \text{Interp } C \implies A \in \text{interp } D \implies C < D$
 $\langle \text{proof} \rangle$

lemma *false-interp-to-true-interp-imp-less-multiset*: $A \notin \text{interp } C \implies A \in \text{interp } D \implies C < D$
 $\langle \text{proof} \rangle$

lemma *false-Interp-to-true-Interp-imp-less-multiset*: $A \notin \text{Interp } C \implies A \in \text{Interp } D \implies C < D$
 $\langle \text{proof} \rangle$

lemma *false-interp-to-true-Interp-imp-le-multiset*: $A \notin \text{interp } C \implies A \in \text{Interp } D \implies C \leq D$
 $\langle \text{proof} \rangle$

lemma *interp-subseteq-INTERP*: $\text{interp } C \subseteq \text{INTERP}$
 $\langle \text{proof} \rangle$

lemma *production-subseteq-INTERP*: $\text{production } C \subseteq \text{INTERP}$
 $\langle \text{proof} \rangle$

lemma *Interp-subseteq-INTERP*: $\text{Interp } C \subseteq \text{INTERP}$
 $\langle \text{proof} \rangle$

This lemma corresponds to theorem 2.7.6 page 67 of Weidenbach's book.

lemma *produces-imp-in-interp*:
 assumes *a-in-c*: $\text{Neg } A \in \# \ C$ **and** *d*: $\text{produces } D \ A$
 shows $A \in \text{interp } C$
 $\langle \text{proof} \rangle$

lemma *neg-notin-Interp-not-produce*: $\text{Neg } A \in \# \ C \implies A \notin \text{Interp } D \implies C \leq D \implies \neg \text{produces } D'' \ A$
 $\langle \text{proof} \rangle$

lemma *in-production-imp-produces*: $A \in \text{production } C \implies \text{produces } C \ A$
 $\langle \text{proof} \rangle$

lemma *not-produces-imp-notin-production*: $\neg \text{produces } C \ A \implies A \notin \text{production } C$
 $\langle \text{proof} \rangle$

lemma *not-produces-imp-notin-interp*: $(\bigwedge D. \neg \text{produces } D \ A) \implies A \notin \text{interp } C$
 $\langle \text{proof} \rangle$

The results below corresponds to Lemma 3.4.

Nitpicking 0.1. *If $D = D'$ and D is productive, $I^D \subseteq I_{D'}$ does not hold.*

lemma *true-Interp-imp-general*:

assumes

c-le-d: $C \leq D$ **and**

d-lt-d': $D < D'$ **and**

c-at-d: $\text{Interp } D \models_h C$ **and**

subs: $\text{interp } D' \subseteq (\bigcup C \in CC. \text{production } C)$

shows $(\bigcup C \in CC. \text{production } C) \models_h C$

$\langle \text{proof} \rangle$

lemma *true-Interp-imp-interp*: $C \leq D \implies D < D' \implies \text{Interp } D \models_h C \implies \text{interp } D' \models_h C$
 $\langle \text{proof} \rangle$

lemma *true-Interp-imp-Interp*: $C \leq D \implies D < D' \implies \text{Interp } D \models_h C \implies \text{Interp } D' \models_h C$
 $\langle \text{proof} \rangle$

lemma *true-Interp-imp-INTERP*: $C \leq D \implies \text{Interp } D \models_h C \implies \text{INTERP} \models_h C$
 $\langle \text{proof} \rangle$

lemma *true-interp-imp-general*:

assumes

c-le-d: $C \leq D$ **and**

d-lt-d': $D < D'$ **and**

c-at-d: $\text{interp } D \models_h C$ **and**

subs: $\text{interp } D' \subseteq (\bigcup C \in CC. \text{production } C)$

shows $(\bigcup C \in CC. \text{production } C) \models_h C$

$\langle \text{proof} \rangle$

This lemma corresponds to theorem 2.7.6 page 67 of Weidenbach's book. Here the strict maximality is important

lemma *true-interp-imp-interp*: $C \leq D \implies D < D' \implies \text{interp } D \models_h C \implies \text{interp } D' \models_h C$
 $\langle \text{proof} \rangle$

lemma *true-interp-imp-Interp*: $C \leq D \implies D < D' \implies \text{interp } D \models_h C \implies \text{Interp } D' \models_h C$
 $\langle \text{proof} \rangle$

lemma *true-interp-imp-INTERP*: $C \leq D \implies \text{interp } D \models_h C \implies \text{INTERP} \models_h C$
 $\langle \text{proof} \rangle$

lemma *productive-imp-false-interp*: $\text{productive } C \implies \neg \text{interp } C \models_h C$
 $\langle \text{proof} \rangle$

This lemma corresponds to theorem 2.7.6 page 67 of Weidenbach's book. Here the strict maximality is important

lemma *cls-gt-double-pos-no-production*:
assumes $D: \{\#Pos\ P, Pos\ P\# \} < C$
shows $\neg produces\ C\ P$
 $\langle proof \rangle$

This lemma corresponds to theorem 2.7.6 page 67 of Weidenbach's book.

lemma
assumes $D: C + \{\#Neg\ P\# \} < D$
shows $production\ D \neq \{P\}$
 $\langle proof \rangle$

lemma *in-interp-is-produced*:
assumes $P \in INTERP$
shows $\exists D. D + \{\#Pos\ P\# \} \in N \wedge produces\ (D + \{\#Pos\ P\# \})\ P$
 $\langle proof \rangle$

end
end

2.2.1 We can now define the rules of the calculus

inductive *superposition-rules* :: $'a\ clause \Rightarrow 'a\ clause \Rightarrow 'a\ clause \Rightarrow bool$ **where**
factoring: *superposition-rules* $(C + \{\#Pos\ P\# \} + \{\#Pos\ P\# \})\ B\ (C + \{\#Pos\ P\# \})\ |$
superposition-l: *superposition-rules* $(C_1 + \{\#Pos\ P\# \})\ (C_2 + \{\#Neg\ P\# \})\ (C_1 + C_2)$

inductive *superposition* :: $'a\ clause\text{-}set \Rightarrow 'a\ clause\text{-}set \Rightarrow bool$ **where**
superposition: $A \in N \Longrightarrow B \in N \Longrightarrow superposition\text{-}rules\ A\ B\ C$
 $\Longrightarrow superposition\ N\ (N \cup \{C\})$

definition *abstract-red* :: $'a::wellorder\ clause \Rightarrow 'a\ clause\text{-}set \Rightarrow bool$ **where**
abstract-red $C\ N = (clss\text{-}lt\ N\ C \models_p C)$

lemma *herbrand-true-clss-true-clss-clss-herbrand-true-clss*:
assumes
 $AB: A \models_{hs} B$ **and**
 $BC: B \models_p C$
shows $A \models_h C$
 $\langle proof \rangle$

lemma *abstract-red-subset-mset-abstract-red*:
assumes
 $abstr: abstract\text{-}red\ C\ N$ **and**
 $c\text{-}lt\text{-}d: C \subseteq_{\#} D$
shows $abstract\text{-}red\ D\ N$
 $\langle proof \rangle$

lemma *true-clss-clss-extended*:
assumes
 $A \models_p B$ **and**
 $tot: total\text{-}over\text{-}m\ I\ A$ **and**
 $cons: consistent\text{-}interp\ I$ **and**
 $I\text{-}A: I \models_s A$
shows $I \models B$
 $\langle proof \rangle$

lemma

assumes

$CP: \neg \text{class-}lt\ N\ (\{\#C\# \} + \{\#E\# \}) \models_p \{\#C\# \} + \{\#Neg\ P\# \}$ **and**
 $\text{class-}lt\ N\ (\{\#C\# \} + \{\#E\# \}) \models_p \{\#E\# \} + \{\#Pos\ P\# \} \vee \text{class-}lt\ N\ (\{\#C\# \} + \{\#E\# \}) \models_p$
 $\{\#C\# \} + \{\#Neg\ P\# \}$
shows $\text{class-}lt\ N\ (\{\#C\# \} + \{\#E\# \}) \models_p \{\#E\# \} + \{\#Pos\ P\# \}$

$\langle proof \rangle$

locale *ground-ordered-resolution-with-redundancy* =

ground-resolution-with-selection +

fixes *redundant* :: 'a::wellorder *clause* \Rightarrow 'a *clause-set* \Rightarrow bool

assumes

redundant-iff-abstract: $\text{redundant}\ A\ N \longleftrightarrow \text{abstract-red}\ A\ N$

begin

definition *saturated* :: 'a *clause-set* \Rightarrow bool **where**

$\text{saturated}\ N \longleftrightarrow$

$(\forall A\ B\ C. A \in N \longrightarrow B \in N \longrightarrow \neg \text{redundant}\ A\ N \longrightarrow \neg \text{redundant}\ B\ N \longrightarrow$
 $\text{superposition-rules}\ A\ B\ C \longrightarrow \text{redundant}\ C\ N \vee C \in N)$

lemma (**in** $-$)

assumes $\langle A \models_p C + E \rangle$

shows $\langle A \models_p \text{add-mset}\ L\ C \vee A \models_p \text{add-mset}\ (-L)\ E \rangle$

$\langle proof \rangle$

lemma

assumes

saturated: $\text{saturated}\ N$ **and**

finite: $\text{finite}\ N$ **and**

empty: $\{\#\} \notin N$

shows $\text{INTERP}\ N \models_{hs} N$

$\langle proof \rangle$

end

lemma *tautology-is-redundant*:

assumes *tautology* C

shows $\text{abstract-red}\ C\ N$

$\langle proof \rangle$

lemma *subsumed-is-redundant*:

assumes $AB: A \subset\# B$

and $AN: A \in N$

shows $\text{abstract-red}\ B\ N$

$\langle proof \rangle$

inductive *redundant* :: 'a *clause* \Rightarrow 'a *clause-set* \Rightarrow bool **where**

subsumption: $A \in N \Longrightarrow A \subset\# B \Longrightarrow \text{redundant}\ B\ N$

lemma *redundant-is-redundancy-criterion*:

fixes $A :: 'a :: \text{wellorder}\ \text{clause}$ **and** $N :: 'a :: \text{wellorder}\ \text{clause-set}$

assumes $\text{redundant}\ A\ N$

shows $\text{abstract-red}\ A\ N$

$\langle proof \rangle$

lemma *redundant-mono*:

redundant A N \implies *A* $\subseteq\#$ *B* \implies *redundant B N*
 $\langle proof \rangle$

locale *truc* =
selection S for S :: nat clause \Rightarrow *nat clause*
begin

end

end