

# Formalisation of Ground Resolution and CDCL in Isabelle/HOL

Mathias Fleury and Jasmin Blanchette

April 24, 2020



# Contents

<b>1</b>	<b>Definition of Entailment</b>	<b>5</b>
1.1	Partial Herbrand Interpretation . . . . .	5
1.1.1	More Literals . . . . .	5
1.1.2	Clauses . . . . .	6
1.1.3	Partial Interpretations . . . . .	6
1.1.4	Subsumptions . . . . .	20
1.1.5	Removing Duplicates . . . . .	21
1.1.6	Set of all Simple Clauses . . . . .	21
1.1.7	Experiment: Expressing the Entailments as Locales . . . . .	22
1.1.8	Entailment to be extended . . . . .	23
1.2	Partial Annotated Herbrand Interpretation . . . . .	24
1.2.1	Decided Literals . . . . .	24
1.2.2	Backtracking . . . . .	29
1.2.3	Decomposition with respect to the First Decided Literals . . . . .	30
1.2.4	Negation of a Clause . . . . .	34
1.2.5	Other . . . . .	37
1.2.6	Extending Entailments to multisets . . . . .	39
1.2.7	More Lemmas . . . . .	40
1.2.8	Negation of annotated clauses . . . . .	40
1.3	Bridging of total and partial Herbrand interpretation . . . . .	42
<b>2</b>	<b>Normalisation</b>	<b>45</b>
2.1	Logics . . . . .	45
2.1.1	Definition and Abstraction . . . . .	45
2.1.2	Properties of the Abstraction . . . . .	46
2.1.3	Subformulas and Properties . . . . .	48
2.1.4	Positions . . . . .	50
2.2	Semantics over the Syntax . . . . .	51



# Chapter 1

## Definition of Entailment

This chapter defines various form of entailment.

end

### 1.1 Partial Herbrand Interpretation

```
theory Partial-Herbrand-Interpretation
  imports
    Weidenbach-Book-Base.WB-List-More
    Ordered-Resolution-Prover.Clausal-Logic
begin
```

#### 1.1.1 More Literals

The following lemma is very useful when in the goal appears an axioms like  $- L = K$ : this lemma allows the simplifier to rewrite L.

**lemma** *in-image-uminus-uminus*:  $\langle a \in \text{uminus } 'A \longleftrightarrow -a \in A \rangle$  **for**  $a :: \langle 'v \text{ literal} \rangle$   
*<proof>*

**lemma** *uminus-lit-swap*:  $- a = b \longleftrightarrow (a :: 'a \text{ literal}) = - b$   
*<proof>*

**lemma** *atm-of-notin-atms-of-iff*:  $\langle \text{atm-of } L \notin \text{atms-of } C' \longleftrightarrow L \notin \# C' \wedge -L \notin \# C' \rangle$  **for**  $L C'$   
*<proof>*

**lemma** *atm-of-notin-atms-of-iff-Pos-Neg*:  
 $\langle L \notin \text{atms-of } C' \longleftrightarrow \text{Pos } L \notin \# C' \wedge \text{Neg } L \notin \# C' \rangle$  **for**  $L C'$   
*<proof>*

**lemma** *atms-of-uminus[simp]*:  $\langle \text{atms-of } (\text{uminus } '\# C) = \text{atms-of } C \rangle$   
*<proof>*

**lemma** *distinct-mset-atm-ofD*:  
 $\langle \text{distinct-mset } (\text{atm-of } '\# \text{ mset } xc) \implies \text{distinct } xc \rangle$   
*<proof>*

**lemma** *atms-of-cong-set-mset*:  
 $\langle \text{set-mset } D = \text{set-mset } D' \implies \text{atms-of } D = \text{atms-of } D' \rangle$   
*<proof>*

**lemma** *lit-in-set-iff-atm*:

$\langle \text{NO-MATCH } (Pos\ x)\ l \implies \text{NO-MATCH } (Neg\ x)\ l \implies$   
 $l \in M \longleftrightarrow (\exists l'. (l = Pos\ l' \wedge Pos\ l' \in M) \vee (l = Neg\ l' \wedge Neg\ l' \in M)) \rangle$   
 $\langle \text{proof} \rangle$

We define here entailment by a set of literals. This is an Herbrand interpretation, but not the same as used for the resolution prover. Both has different properties. One key difference is that such a set can be inconsistent (i.e. containing both  $L$  and  $\neg L$ ).

Satisfiability is defined by the existence of a total and consistent model.

**lemma** *lit-eq-Neg-Pos-iff*:

$\langle x \neq Neg\ (atm-of\ x) \longleftrightarrow is-pos\ x \rangle$   
 $\langle x \neq Pos\ (atm-of\ x) \longleftrightarrow is-neg\ x \rangle$   
 $\langle \neg x \neq Neg\ (atm-of\ x) \longleftrightarrow is-neg\ x \rangle$   
 $\langle \neg x \neq Pos\ (atm-of\ x) \longleftrightarrow is-pos\ x \rangle$   
 $\langle Neg\ (atm-of\ x) \neq x \longleftrightarrow is-pos\ x \rangle$   
 $\langle Pos\ (atm-of\ x) \neq x \longleftrightarrow is-neg\ x \rangle$   
 $\langle Neg\ (atm-of\ x) \neq \neg x \longleftrightarrow is-neg\ x \rangle$   
 $\langle Pos\ (atm-of\ x) \neq \neg x \longleftrightarrow is-pos\ x \rangle$   
 $\langle \text{proof} \rangle$

### 1.1.2 Clauses

Clauses are set of literals or (finite) multisets of literals.

**type-synonym** *'v clause-set* = *'v clause set*

**type-synonym** *'v clauses* = *'v clause multiset*

**lemma** *is-neg-neg-not-is-neg*:  $is-neg\ (\neg\ L) \longleftrightarrow \neg\ is-neg\ L$   
 $\langle \text{proof} \rangle$

### 1.1.3 Partial Interpretations

**type-synonym** *'a partial-interp* = *'a literal set*

**definition** *true-lit* :: *'a partial-interp*  $\Rightarrow$  *'a literal*  $\Rightarrow$  *bool* (**infix**  $\models_l$  50) **where**  
 $I \models_l L \longleftrightarrow L \in I$

**declare** *true-lit-def*[*simp*]

### Consistency

**definition** *consistent-interp* :: *'a literal set*  $\Rightarrow$  *bool* **where**  
 $consistent-interp\ I \longleftrightarrow (\forall L. \neg(L \in I \wedge \neg L \in I))$

**lemma** *consistent-interp-empty*[*simp*]:  
 $consistent-interp\ \{\}$   $\langle \text{proof} \rangle$

**lemma** *consistent-interp-single*[*simp*]:  
 $consistent-interp\ \{L\}$   $\langle \text{proof} \rangle$

**lemma** *Ex-consistent-interp*:  $\langle \text{Ex consistent-interp} \rangle$   
 $\langle \text{proof} \rangle$

**lemma** *consistent-interp-subset*:

**assumes**

$A \subseteq B$  **and**

*consistent-interp B*  
**shows** *consistent-interp A*  
 ⟨proof⟩

**lemma** *consistent-interp-change-insert*:  
 $a \notin A \implies -a \notin A \implies \text{consistent-interp } (\text{insert } (-a) A) \longleftrightarrow \text{consistent-interp } (\text{insert } a A)$   
 ⟨proof⟩

**lemma** *consistent-interp-insert-pos[simp]*:  
 $a \notin A \implies \text{consistent-interp } (\text{insert } a A) \longleftrightarrow \text{consistent-interp } A \wedge -a \notin A$   
 ⟨proof⟩

**lemma** *consistent-interp-insert-not-in*:  
 $\text{consistent-interp } A \implies a \notin A \implies -a \notin A \implies \text{consistent-interp } (\text{insert } a A)$   
 ⟨proof⟩

**lemma** *consistent-interp-unionD*:  $\langle \text{consistent-interp } (I \cup I') \implies \text{consistent-interp } I \rangle$   
 ⟨proof⟩

**lemma** *consistent-interp-insert-iff*:  
 $\langle \text{consistent-interp } (\text{insert } L C) \longleftrightarrow \text{consistent-interp } C \wedge -L \notin C \rangle$   
 ⟨proof⟩

**lemma** (**in**  $-$ ) *distinct-consistent-distinct-atm*:  
 $\langle \text{distinct } M \implies \text{consistent-interp } (\text{set } M) \implies \text{distinct-mset } (\text{atm-of } \# \text{ mset } M) \rangle$   
 ⟨proof⟩

## Atoms

We define here various lifting of *atm-of* (applied to a single literal) to set and multisets of literals.

**definition** *atms-of-ms* :: 'a clause set  $\Rightarrow$  'a set **where**  
 $\text{atms-of-ms } \psi s = \bigcup (\text{atms-of } \psi s)$

**lemma** *atms-of-mmltiset[simp]*:  
 $\text{atms-of } (\text{mset } a) = \text{atm-of } \text{'set } a$   
 ⟨proof⟩

**lemma** *atms-of-ms-mset-unfold*:  
 $\text{atms-of-ms } (\text{mset } \text{' } b) = (\bigcup_{x \in b. \text{atm-of } \text{'set } x}$   
 ⟨proof⟩

**definition** *atms-of-s* :: 'a literal set  $\Rightarrow$  'a set **where**  
 $\text{atms-of-s } C = \text{atm-of } \text{' } C$

**lemma** *atms-of-ms-empty-set[simp]*:  
 $\text{atms-of-ms } \{\} = \{\}$   
 ⟨proof⟩

**lemma** *atms-of-ms-mempty[simp]*:  
 $\text{atms-of-ms } \{\{\#\}\} = \{\}$   
 ⟨proof⟩

**lemma** *atms-of-ms-mono*:

$A \subseteq B \implies \text{atms-of-ms } A \subseteq \text{atms-of-ms } B$   
 $\langle \text{proof} \rangle$

**lemma** *atms-of-ms-finite[simp]*:  
 $\text{finite } \psi s \implies \text{finite } (\text{atms-of-ms } \psi s)$   
 $\langle \text{proof} \rangle$

**lemma** *atms-of-ms-union[simp]*:  
 $\text{atms-of-ms } (\psi s \cup \chi s) = \text{atms-of-ms } \psi s \cup \text{atms-of-ms } \chi s$   
 $\langle \text{proof} \rangle$

**lemma** *atms-of-ms-insert[simp]*:  
 $\text{atms-of-ms } (\text{insert } \psi s \chi s) = \text{atms-of-ms } \psi s \cup \text{atms-of-ms } \chi s$   
 $\langle \text{proof} \rangle$

**lemma** *atms-of-ms-singleton[simp]*:  $\text{atms-of-ms } \{L\} = \text{atms-of-ms } L$   
 $\langle \text{proof} \rangle$

**lemma** *atms-of-atms-of-ms-mono[simp]*:  
 $A \in \psi \implies \text{atms-of-ms } A \subseteq \text{atms-of-ms } \psi$   
 $\langle \text{proof} \rangle$

**lemma** *atms-of-ms-remove-incl*:  
**shows**  $\text{atms-of-ms } (\text{Set.remove } a \psi) \subseteq \text{atms-of-ms } \psi$   
 $\langle \text{proof} \rangle$

**lemma** *atms-of-ms-remove-subset*:  
 $\text{atms-of-ms } (\varphi - \psi) \subseteq \text{atms-of-ms } \varphi$   
 $\langle \text{proof} \rangle$

**lemma** *finite-atms-of-ms-remove-subset[simp]*:  
 $\text{finite } (\text{atms-of-ms } A) \implies \text{finite } (\text{atms-of-ms } (A - C))$   
 $\langle \text{proof} \rangle$

**lemma** *atms-of-ms-empty-iff*:  
 $\text{atms-of-ms } A = \{\} \iff A = \{\{\#\}\} \vee A = \{\}$   
 $\langle \text{proof} \rangle$

**lemma** *in-implies-atm-of-on-atms-of-ms*:  
**assumes**  $L \in \# C$  **and**  $C \in N$   
**shows**  $\text{atm-of } L \in \text{atms-of-ms } N$   
 $\langle \text{proof} \rangle$

**lemma** *in-plus-implies-atm-of-on-atms-of-ms*:  
**assumes**  $C + \{\#L\} \in N$   
**shows**  $\text{atm-of } L \in \text{atms-of-ms } N$   
 $\langle \text{proof} \rangle$

**lemma** *in-m-in-literals*:  
**assumes**  $\text{add-mset } A D \in \psi s$   
**shows**  $\text{atm-of } A \in \text{atms-of-ms } \psi s$   
 $\langle \text{proof} \rangle$

**lemma** *atms-of-s-union[simp]*:  
 $\text{atms-of-s } (Ia \cup Ib) = \text{atms-of-s } Ia \cup \text{atms-of-s } Ib$   
 $\langle \text{proof} \rangle$



**lemma** *atms-of-s-single*[simp]:  
 $\text{atms-of-s } \{L\} = \{\text{atm-of } L\}$   
 $\langle \text{proof} \rangle$

**lemma** *atms-of-s-insert*[simp]:  
 $\text{atms-of-s } (\text{insert } L \text{ } Ib) = \{\text{atm-of } L\} \cup \text{atms-of-s } Ib$   
 $\langle \text{proof} \rangle$

**lemma** *in-atms-of-s-decomp*[iff]:  
 $P \in \text{atms-of-s } I \longleftrightarrow (\text{Pos } P \in I \vee \text{Neg } P \in I) \text{ (is } ?P \longleftrightarrow ?Q)$   
 $\langle \text{proof} \rangle$

**lemma** *atm-of-in-atm-of-set-in-uminus*:  
 $\text{atm-of } L' \in \text{atm-of } 'B \implies L' \in B \vee - L' \in B$   
 $\langle \text{proof} \rangle$

**lemma** *finite-atms-of-s*[simp]:  
 $\langle \text{finite } M \implies \text{finite } (\text{atms-of-s } M) \rangle$   
 $\langle \text{proof} \rangle$

**lemma**  
 $\text{atms-of-s-empty } [simp]:$   
 $\langle \text{atms-of-s } \{\} = \{\} \rangle \text{ and}$   
 $\text{atms-of-s-empty-iff}[simp]:$   
 $\langle \text{atms-of-s } x = \{\} \longleftrightarrow x = \{\} \rangle$   
 $\langle \text{proof} \rangle$

## Totality

**definition** *total-over-set* :: 'a partial-interp  $\Rightarrow$  'a set  $\Rightarrow$  bool **where**  
 $\text{total-over-set } I \text{ } S = (\forall l \in S. \text{Pos } l \in I \vee \text{Neg } l \in I)$

**definition** *total-over-m* :: 'a literal set  $\Rightarrow$  'a clause set  $\Rightarrow$  bool **where**  
 $\text{total-over-m } I \text{ } \psi s = \text{total-over-set } I \text{ } (\text{atms-of-ms } \psi s)$

**lemma** *total-over-set-empty*[simp]:  
 $\text{total-over-set } I \text{ } \{\}$   
 $\langle \text{proof} \rangle$

**lemma** *total-over-m-empty*[simp]:  
 $\text{total-over-m } I \text{ } \{\}$   
 $\langle \text{proof} \rangle$

**lemma** *total-over-set-single*[iff]:  
 $\text{total-over-set } I \text{ } \{L\} \longleftrightarrow (\text{Pos } L \in I \vee \text{Neg } L \in I)$   
 $\langle \text{proof} \rangle$

**lemma** *total-over-set-insert*[iff]:  
 $\text{total-over-set } I \text{ } (\text{insert } L \text{ } Ls) \longleftrightarrow ((\text{Pos } L \in I \vee \text{Neg } L \in I) \wedge \text{total-over-set } I \text{ } Ls)$   
 $\langle \text{proof} \rangle$

**lemma** *total-over-set-union*[iff]:  
 $\text{total-over-set } I \text{ } (Ls \cup Ls') \longleftrightarrow (\text{total-over-set } I \text{ } Ls \wedge \text{total-over-set } I \text{ } Ls')$   
 $\langle \text{proof} \rangle$

**lemma** *total-over-m-subset*:

$A \subseteq B \implies \text{total-over-m } I B \implies \text{total-over-m } I A$   
 $\langle \text{proof} \rangle$

**lemma** *total-over-m-sum*[iff]:

**shows**  $\text{total-over-m } I \{C + D\} \longleftrightarrow (\text{total-over-m } I \{C\} \wedge \text{total-over-m } I \{D\})$   
 $\langle \text{proof} \rangle$

**lemma** *total-over-m-union*[iff]:

$\text{total-over-m } I (A \cup B) \longleftrightarrow (\text{total-over-m } I A \wedge \text{total-over-m } I B)$   
 $\langle \text{proof} \rangle$

**lemma** *total-over-m-insert*[iff]:

$\text{total-over-m } I (\text{insert } a A) \longleftrightarrow (\text{total-over-set } I (\text{atms-of } a) \wedge \text{total-over-m } I A)$   
 $\langle \text{proof} \rangle$

**lemma** *total-over-m-extension*:

**fixes**  $I :: 'v \text{ literal set}$  **and**  $A :: 'v \text{ clause-set}$

**assumes**  $\text{total}: \text{total-over-m } I A$

**shows**  $\exists I'. \text{total-over-m } (I \cup I') (A \cup B)$

$\wedge (\forall x \in I'. \text{atm-of } x \in \text{atms-of-ms } B \wedge \text{atm-of } x \notin \text{atms-of-ms } A)$

$\langle \text{proof} \rangle$

**lemma** *total-over-m-consistent-extension*:

**fixes**  $I :: 'v \text{ literal set}$  **and**  $A :: 'v \text{ clause-set}$

**assumes**

$\text{total}: \text{total-over-m } I A$  **and**

$\text{cons}: \text{consistent-interp } I$

**shows**  $\exists I'. \text{total-over-m } (I \cup I') (A \cup B)$

$\wedge (\forall x \in I'. \text{atm-of } x \in \text{atms-of-ms } B \wedge \text{atm-of } x \notin \text{atms-of-ms } A) \wedge \text{consistent-interp } (I \cup I')$

$\langle \text{proof} \rangle$

**lemma** *total-over-set-atms-of-m*[simp]:

$\text{total-over-set } I a (\text{atms-of-s } I a)$

$\langle \text{proof} \rangle$

**lemma** *total-over-set-literal-defined*:

**assumes**  $\text{add-mset } A D \in \psi s$

**and**  $\text{total-over-set } I (\text{atms-of-ms } \psi s)$

**shows**  $A \in I \vee -A \in I$

$\langle \text{proof} \rangle$

**lemma** *tot-over-m-remove*:

**assumes**  $\text{total-over-m } (I \cup \{L\}) \{\psi\}$

**and**  $L: L \notin \# \psi \text{ } -L \notin \# \psi$

**shows**  $\text{total-over-m } I \{\psi\}$

$\langle \text{proof} \rangle$

**lemma** *total-union*:

**assumes**  $\text{total-over-m } I \psi$

**shows**  $\text{total-over-m } (I \cup I') \psi$

$\langle \text{proof} \rangle$

**lemma** *total-union-2*:

**assumes**  $\text{total-over-m } I \psi$

**and**  $\text{total-over-m } I' \psi'$

**shows** *total-over-m* ( $I \cup I'$ ) ( $\psi \cup \psi'$ )  
 $\langle \text{proof} \rangle$

**lemma** *total-over-m-alt-def*:  $\langle \text{total-over-m } I \ S \longleftrightarrow \text{atms-of-ms } S \subseteq \text{atms-of-s } I \rangle$   
 $\langle \text{proof} \rangle$

**lemma** *total-over-set-alt-def*:  $\langle \text{total-over-set } M \ A \longleftrightarrow A \subseteq \text{atms-of-s } M \rangle$   
 $\langle \text{proof} \rangle$

## Interpretations

**definition** *true-cls* :: 'a *partial-interp*  $\Rightarrow$  'a *clause*  $\Rightarrow$  bool (**infix**  $\models$  50) **where**  
 $I \models C \longleftrightarrow (\exists L \in \# \ C. \ I \models_l L)$

**lemma** *true-cls-empty*[*iff*]:  $\neg I \models \{\#\}$   
 $\langle \text{proof} \rangle$

**lemma** *true-cls-singleton*[*iff*]:  $I \models \{\#L\# \} \longleftrightarrow I \models_l L$   
 $\langle \text{proof} \rangle$

**lemma** *true-cls-add-mset*[*iff*]:  $I \models \text{add-mset } a \ D \longleftrightarrow a \in I \vee I \models D$   
 $\langle \text{proof} \rangle$

**lemma** *true-cls-union*[*iff*]:  $I \models C + D \longleftrightarrow I \models C \vee I \models D$   
 $\langle \text{proof} \rangle$

**lemma** *true-cls-mono-set-mset*:  $\text{set-mset } C \subseteq \text{set-mset } D \Longrightarrow I \models C \Longrightarrow I \models D$   
 $\langle \text{proof} \rangle$

**lemma** *true-cls-mono-leD*[*dest*]:  $A \subseteq \# \ B \Longrightarrow I \models A \Longrightarrow I \models B$   
 $\langle \text{proof} \rangle$

**lemma**  
**assumes**  $I \models \psi$   
**shows**  
*true-cls-union-increase*[*simp*]:  $I \cup I' \models \psi$  **and**  
*true-cls-union-increase'*[*simp*]:  $I' \cup I \models \psi$   
 $\langle \text{proof} \rangle$

**lemma** *true-cls-mono-set-mset-l*:  
**assumes**  $A \models \psi$   
**and**  $A \subseteq B$   
**shows**  $B \models \psi$   
 $\langle \text{proof} \rangle$

**lemma** *true-cls-replicate-mset*[*iff*]:  $I \models \text{replicate-mset } n \ L \longleftrightarrow n \neq 0 \wedge I \models_l L$   
 $\langle \text{proof} \rangle$

**lemma** *true-cls-empty-entails*[*iff*]:  $\neg \{\} \models N$   
 $\langle \text{proof} \rangle$

**lemma** *true-cls-not-in-remove*:  
**assumes**  $L \notin \# \ \chi$  **and**  $I \cup \{L\} \models \chi$   
**shows**  $I \models \chi$   
 $\langle \text{proof} \rangle$

**definition** *true-clss* :: 'a partial-interp  $\Rightarrow$  'a clause-set  $\Rightarrow$  bool (**infix**  $\models_s$  50) **where**  
 $I \models_s CC \longleftrightarrow (\forall C \in CC. I \models C)$

**lemma** *true-clss-empty[simp]*:  $I \models_s \{\}$   
 $\langle proof \rangle$

**lemma** *true-clss-singleton[iff]*:  $I \models_s \{C\} \longleftrightarrow I \models C$   
 $\langle proof \rangle$

**lemma** *true-clss-empty-entails-empty[iff]*:  $\{\} \models_s N \longleftrightarrow N = \{\}$   
 $\langle proof \rangle$

**lemma** *true-clss-insert-l [simp]*:  
 $M \models A \implies insert\ L\ M \models A$   
 $\langle proof \rangle$

**lemma** *true-clss-union[iff]*:  $I \models_s CC \cup DD \longleftrightarrow I \models_s CC \wedge I \models_s DD$   
 $\langle proof \rangle$

**lemma** *true-clss-insert[iff]*:  $I \models_s insert\ C\ DD \longleftrightarrow I \models C \wedge I \models_s DD$   
 $\langle proof \rangle$

**lemma** *true-clss-mono*:  $DD \subseteq CC \implies I \models_s CC \implies I \models_s DD$   
 $\langle proof \rangle$

**lemma** *true-clss-union-increase[simp]*:  
**assumes**  $I \models_s \psi$   
**shows**  $I \cup I' \models_s \psi$   
 $\langle proof \rangle$

**lemma** *true-clss-union-increase'[simp]*:  
**assumes**  $I' \models_s \psi$   
**shows**  $I \cup I' \models_s \psi$   
 $\langle proof \rangle$

**lemma** *true-clss-commute-l*:  
 $(I \cup I' \models_s \psi) \longleftrightarrow (I' \cup I \models_s \psi)$   
 $\langle proof \rangle$

**lemma** *model-remove[simp]*:  $I \models_s N \implies I \models_s Set.remove\ a\ N$   
 $\langle proof \rangle$

**lemma** *model-remove-minus[simp]*:  $I \models_s N \implies I \models_s N - A$   
 $\langle proof \rangle$

**lemma** *notin-vars-union-true-clss-true-clss*:  
**assumes**  $\forall x \in I'. atm-of\ x \notin atms-of-ms\ A$   
**and**  $atms-of\ L \subseteq atms-of-ms\ A$   
**and**  $I \cup I' \models L$   
**shows**  $I \models L$   
 $\langle proof \rangle$

**lemma** *notin-vars-union-true-clss-true-clss*:  
**assumes**  $\forall x \in I'. atm-of\ x \notin atms-of-ms\ A$   
**and**  $atms-of-ms\ L \subseteq atms-of-ms\ A$   
**and**  $I \cup I' \models_s L$

**shows**  $I \models_s L$   
 $\langle \text{proof} \rangle$

**lemma** *true-cls-def-set-mset-eq*:  
 $\langle \text{set-mset } A = \text{set-mset } B \implies I \models A \longleftrightarrow I \models B \rangle$   
 $\langle \text{proof} \rangle$

**lemma** *true-cls-add-mset-strict*:  $\langle I \models \text{add-mset } L \ C \longleftrightarrow L \in I \vee I \models (\text{removeAll-mset } L \ C) \rangle$   
 $\langle \text{proof} \rangle$

## Satisfiability

**definition** *satisfiable* :: 'a clause set  $\Rightarrow$  bool **where**  
*satisfiable*  $CC \longleftrightarrow (\exists I. (I \models_s CC \wedge \text{consistent-interp } I \wedge \text{total-over-m } I \ CC))$

**lemma** *satisfiable-single[simp]*:  
*satisfiable*  $\{\{\#L\#\}\}$   
 $\langle \text{proof} \rangle$

**lemma** *satisfiable-empty[simp]*:  $\langle \text{satisfiable } \{\} \rangle$   
 $\langle \text{proof} \rangle$

**abbreviation** *unsatisfiable* :: 'a clause set  $\Rightarrow$  bool **where**  
*unsatisfiable*  $CC \equiv \neg \text{satisfiable } CC$

**lemma** *satisfiable-decreasing*:  
**assumes** *satisfiable*  $(\psi \cup \psi')$   
**shows** *satisfiable*  $\psi$   
 $\langle \text{proof} \rangle$

**lemma** *satisfiable-def-min*:  
*satisfiable*  $CC$   
 $\longleftrightarrow (\exists I. I \models_s CC \wedge \text{consistent-interp } I \wedge \text{total-over-m } I \ CC \wedge \text{atm-of } I = \text{atms-of-ms } CC)$   
 $(\text{is } ?\text{sat} \longleftrightarrow ?B)$   
 $\langle \text{proof} \rangle$

**lemma** *satisfiable-carac*:  
 $(\exists I. \text{consistent-interp } I \wedge I \models_s \varphi) \longleftrightarrow \text{satisfiable } \varphi$  **(is**  $(\exists I. ?Q \ I) \longleftrightarrow ?S)$   
 $\langle \text{proof} \rangle$

**lemma** *satisfiable-carac'[simp]*:  $\text{consistent-interp } I \implies I \models_s \varphi \implies \text{satisfiable } \varphi$   
 $\langle \text{proof} \rangle$

**lemma** *unsatisfiable-mono*:  
 $\langle N \subseteq N' \implies \text{unsatisfiable } N \implies \text{unsatisfiable } N' \rangle$   
 $\langle \text{proof} \rangle$

## Entailment for Multisets of Clauses

**definition** *true-cls-mset* :: 'a partial-interp  $\Rightarrow$  'a clause multiset  $\Rightarrow$  bool **(infix  $\models_m$  50)** **where**  
 $I \models_m CC \longleftrightarrow (\forall C \in \# \ CC. I \models C)$

**lemma** *true-cls-mset-empty[simp]*:  $I \models_m \{\#\}$   
 $\langle \text{proof} \rangle$

**lemma** *true-cls-mset-singleton[iff]*:  $I \models_m \{\#C\#\} \longleftrightarrow I \models C$

$\langle proof \rangle$

**lemma** *true-cls-mset-union*[*iff*]:  $I \models_m CC + DD \longleftrightarrow I \models_m CC \wedge I \models_m DD$

$\langle proof \rangle$

**lemma** *true-cls-mset-add-mset*[*iff*]:  $I \models_m add\text{-}mset\ C\ CC \longleftrightarrow I \models C \wedge I \models_m CC$

$\langle proof \rangle$

**lemma** *true-cls-mset-image-mset*[*iff*]:  $I \models_m image\text{-}mset\ f\ A \longleftrightarrow (\forall x \in \# A. I \models f\ x)$

$\langle proof \rangle$

**lemma** *true-cls-mset-mono*:  $set\text{-}mset\ DD \subseteq set\text{-}mset\ CC \implies I \models_m CC \implies I \models_m DD$

$\langle proof \rangle$

**lemma** *true-clss-set-mset*[*iff*]:  $I \models_s set\text{-}mset\ CC \longleftrightarrow I \models_m CC$

$\langle proof \rangle$

**lemma** *true-cls-mset-increasing-r*[*simp*]:

$I \models_m CC \implies I \cup J \models_m CC$

$\langle proof \rangle$

**theorem** *true-cls-remove-unused*:

**assumes**  $I \models \psi$

**shows**  $\{v \in I. atm\text{-}of\ v \in atms\text{-}of\ \psi\} \models \psi$

$\langle proof \rangle$

**theorem** *true-clss-remove-unused*:

**assumes**  $I \models_s \psi$

**shows**  $\{v \in I. atm\text{-}of\ v \in atms\text{-}of\text{-}ms\ \psi\} \models_s \psi$

$\langle proof \rangle$

A simple application of the previous theorem:

**lemma** *true-clss-union-decrease*:

**assumes**  $II'$ :  $I \cup I' \models \psi$

**and**  $H$ :  $\forall v \in I'. atm\text{-}of\ v \notin atms\text{-}of\ \psi$

**shows**  $I \models \psi$

$\langle proof \rangle$

**lemma** *multiset-not-empty*:

**assumes**  $M \neq \{\#\}$

**and**  $x \in \# M$

**shows**  $\exists A. x = Pos\ A \vee x = Neg\ A$

$\langle proof \rangle$

**lemma** *atms-of-ms-empty*:

**fixes**  $\psi :: 'v\ clause\text{-}set$

**assumes**  $atms\text{-}of\text{-}ms\ \psi = \{\}$

**shows**  $\psi = \{\} \vee \psi = \{\{\#\}\}$

$\langle proof \rangle$

**lemma** *consistent-interp-disjoint*:

**assumes**  $consI$ :  $consistent\text{-}interp\ I$

**and**  $disj$ :  $atms\text{-}of\text{-}s\ A \cap atms\text{-}of\text{-}s\ I = \{\}$

**and**  $consA$ :  $consistent\text{-}interp\ A$

**shows**  $consistent\text{-}interp\ (A \cup I)$

$\langle proof \rangle$

**lemma** *total-remove-unused*:  
**assumes** *total-over-m*  $I \psi$   
**shows** *total-over-m*  $\{v \in I. \text{atm-of } v \in \text{atms-of-ms } \psi\} \psi$   
 $\langle \text{proof} \rangle$

**lemma** *true-cls-remove-hd-if-notin-vars*:  
**assumes** *insert*  $a \ M' \models D$   
**and** *atm-of*  $a \notin \text{atms-of } D$   
**shows**  $M' \models D$   
 $\langle \text{proof} \rangle$

**lemma** *total-over-set-atm-of*:  
**fixes**  $I :: 'v \text{ partial-interp}$  **and**  $K :: 'v \text{ set}$   
**shows** *total-over-set*  $I \ K \longleftrightarrow (\forall l \in K. l \in (\text{atm-of } 'I))$   
 $\langle \text{proof} \rangle$

**lemma** *true-cls-mset-true-clss-iff*:  
 $\langle \text{finite } C \implies I \models_m \text{mset-set } C \longleftrightarrow I \models_s C \rangle$   
 $\langle I \models_m D \longleftrightarrow I \models_s \text{set-mset } D \rangle$   
 $\langle \text{proof} \rangle$

## Tautologies

We define tautologies as clause entailed by every total model and show later that is equivalent to containing a literal and its negation.

**definition** *tautology*  $(\psi :: 'v \text{ clause}) \equiv \forall I. \text{total-over-set } I (\text{atms-of } \psi) \longrightarrow I \models \psi$

**lemma** *tautology-Pos-Neg[intro]*:  
**assumes**  $\text{Pos } p \in \# A$  **and**  $\text{Neg } p \in \# A$   
**shows** *tautology*  $A$   
 $\langle \text{proof} \rangle$

**lemma** *tautology-minus[simp]*:  
**assumes**  $L \in \# A$  **and**  $-L \in \# A$   
**shows** *tautology*  $A$   
 $\langle \text{proof} \rangle$

**lemma** *tautology-exists-Pos-Neg*:  
**assumes** *tautology*  $\psi$   
**shows**  $\exists p. \text{Pos } p \in \# \psi \wedge \text{Neg } p \in \# \psi$   
 $\langle \text{proof} \rangle$

**lemma** *tautology-decomp*:  
 $\text{tautology } \psi \longleftrightarrow (\exists p. \text{Pos } p \in \# \psi \wedge \text{Neg } p \in \# \psi)$   
 $\langle \text{proof} \rangle$

**lemma** *tautology-union-add-iff[simp]*:  
 $\langle \text{tautology } (A \cup \# B) \longleftrightarrow \text{tautology } (A + B) \rangle$   
 $\langle \text{proof} \rangle$

**lemma** *tautology-add-mset-union-add-iff[simp]*:  
 $\langle \text{tautology } (\text{add-mset } L (A \cup \# B)) \longleftrightarrow \text{tautology } (\text{add-mset } L (A + B)) \rangle$   
 $\langle \text{proof} \rangle$

**lemma** *not-tautology-minus*:

$\langle \neg \text{tautology } A \implies \neg \text{tautology } (A - B) \rangle$   
 $\langle \text{proof} \rangle$

**lemma** *tautology-false[simp]*:  $\neg \text{tautology } \{\#\}$   
 $\langle \text{proof} \rangle$

**lemma** *tautology-add-mset*:  
 $\text{tautology } (\text{add-mset } a \ L) \longleftrightarrow \text{tautology } L \vee -a \in\# \ L$   
 $\langle \text{proof} \rangle$

**lemma** *tautology-single[simp]*:  $\langle \neg \text{tautology } \{\#L\# \} \rangle$   
 $\langle \text{proof} \rangle$

**lemma** *tautology-union*:  
 $\langle \text{tautology } (A + B) \longleftrightarrow \text{tautology } A \vee \text{tautology } B \vee (\exists a. a \in\# \ A \wedge -a \in\# \ B) \rangle$   
 $\langle \text{proof} \rangle$

**lemma**  
 $\text{tautology-poss[simp]}$ :  $\langle \neg \text{tautology } (\text{poss } A) \rangle$  **and**  
 $\text{tautology-negs[simp]}$ :  $\langle \neg \text{tautology } (\text{negs } A) \rangle$   
 $\langle \text{proof} \rangle$

**lemma** *tautology-uminus[simp]*:  
 $\langle \text{tautology } (\text{uminus } \# \ w) \longleftrightarrow \text{tautology } w \rangle$   
 $\langle \text{proof} \rangle$

**lemma** *minus-interp-tautology*:  
**assumes**  $\{-L \mid L. L \in\# \ \chi\} \models \chi$   
**shows**  $\text{tautology } \chi$   
 $\langle \text{proof} \rangle$

**lemma** *remove-literal-in-model-tautology*:  
**assumes**  $I \cup \{\text{Pos } P\} \models \varphi$   
**and**  $I \cup \{\text{Neg } P\} \models \varphi$   
**shows**  $I \models \varphi \vee \text{tautology } \varphi$   
 $\langle \text{proof} \rangle$

**lemma** *tautology-imp-tautology*:  
**fixes**  $\chi \ \chi' :: 'v \text{ clause}$   
**assumes**  $\forall I. \text{total-over-m } I \ \{\chi\} \longrightarrow I \models \chi \longrightarrow I \models \chi'$  **and**  $\text{tautology } \chi$   
**shows**  $\text{tautology } \chi' \ \langle \text{proof} \rangle$

**lemma** *not-tautology-mono*:  $\langle D' \subseteq\# \ D \implies \neg \text{tautology } D \implies \neg \text{tautology } D' \rangle$   
 $\langle \text{proof} \rangle$

**lemma** *tautology-decomp'*:  
 $\langle \text{tautology } C \longleftrightarrow (\exists L. L \in\# \ C \wedge -L \in\# \ C) \rangle$   
 $\langle \text{proof} \rangle$

**lemma** *consistent-interp-tautology*:  
 $\langle \text{consistent-interp } (\text{set } M') \longleftrightarrow \neg \text{tautology } (\text{mset } M') \rangle$   
 $\langle \text{proof} \rangle$

**lemma** *consistent-interp-tautology-mset-set*:  
 $\langle \text{finite } x \implies \text{consistent-interp } x \longleftrightarrow \neg \text{tautology } (\text{mset-set } x) \rangle$   
 $\langle \text{proof} \rangle$



**lemma** *tautology-distinct-atm-iff*:

$\langle \text{distinct-mset } C \implies \text{tautology } C \longleftrightarrow \neg \text{distinct-mset } (\text{atm-of } \# \ C) \rangle$   
 $\langle \text{proof} \rangle$

**lemma** *not-tautology-minusD*:

$\langle \text{tautology } (A - B) \implies \text{tautology } A \rangle$   
 $\langle \text{proof} \rangle$

## Entailment for clauses and propositions

We also need entailment of clauses by other clauses.

**definition** *true-cls-cls* :: 'a clause  $\Rightarrow$  'a clause  $\Rightarrow$  bool (**infix**  $\models_f$  49) **where**

$\psi \models_f \chi \longleftrightarrow (\forall I. \text{total-over-m } I (\{\psi\} \cup \{\chi\}) \longrightarrow \text{consistent-interp } I \longrightarrow I \models \psi \longrightarrow I \models \chi)$

**definition** *true-cls-clss* :: 'a clause  $\Rightarrow$  'a clause-set  $\Rightarrow$  bool (**infix**  $\models_{fs}$  49) **where**

$\psi \models_{fs} \chi \longleftrightarrow (\forall I. \text{total-over-m } I (\{\psi\} \cup \chi) \longrightarrow \text{consistent-interp } I \longrightarrow I \models \psi \longrightarrow I \models \chi)$

**definition** *true-clss-cls* :: 'a clause-set  $\Rightarrow$  'a clause  $\Rightarrow$  bool (**infix**  $\models_p$  49) **where**

$N \models_p \chi \longleftrightarrow (\forall I. \text{total-over-m } I (N \cup \{\chi\}) \longrightarrow \text{consistent-interp } I \longrightarrow I \models_s N \longrightarrow I \models \chi)$

**definition** *true-clss-clss* :: 'a clause-set  $\Rightarrow$  'a clause-set  $\Rightarrow$  bool (**infix**  $\models_{ps}$  49) **where**

$N \models_{ps} N' \longleftrightarrow (\forall I. \text{total-over-m } I (N \cup N') \longrightarrow \text{consistent-interp } I \longrightarrow I \models_s N \longrightarrow I \models_s N')$

**lemma** *true-cls-cls-refl[simp]*:

$A \models_f A$   
 $\langle \text{proof} \rangle$

**lemma** *true-clss-cls-empty-empty[iff]*:

$\langle \{\} \models_p \{\# \} \longleftrightarrow \text{False} \rangle$   
 $\langle \text{proof} \rangle$

**lemma** *true-cls-cls-insert-l[simp]*:

$a \models_f C \implies \text{insert } a \ A \models_p C$   
 $\langle \text{proof} \rangle$

**lemma** *true-cls-clss-empty[iff]*:

$N \models_{fs} \{\}$   
 $\langle \text{proof} \rangle$

**lemma** *true-prop-true-clause[iff]*:

$\{\varphi\} \models_p \psi \longleftrightarrow \varphi \models_f \psi$   
 $\langle \text{proof} \rangle$

**lemma** *true-clss-clss-true-clss-cls[iff]*:

$N \models_{ps} \{\psi\} \longleftrightarrow N \models_p \psi$   
 $\langle \text{proof} \rangle$

**lemma** *true-clss-clss-true-cls-clss[iff]*:

$\{\chi\} \models_{ps} \psi \longleftrightarrow \chi \models_{fs} \psi$   
 $\langle \text{proof} \rangle$

**lemma** *true-clss-clss-empty[simp]*:

$N \models_{ps} \{\}$   
 $\langle \text{proof} \rangle$

**lemma** *true-clss-cls-subset*:

$$A \subseteq B \implies A \models_p CC \implies B \models_p CC$$

*<proof>*

This version of  $\llbracket ?A \subseteq ?B; ?A \models_p ?CC \rrbracket \implies ?B \models_p ?CC$  is useful as intro rule.

**lemma** (*in -*)*true-clss-cls-subsetI*:  $\langle I \models_p A \implies I \subseteq I' \implies I' \models_p A \rangle$   
*<proof>*

**lemma** *true-clss-cs-mono-l[simp]*:

$$A \models_p CC \implies A \cup B \models_p CC$$

*<proof>*

**lemma** *true-clss-cs-mono-l2[simp]*:

$$B \models_p CC \implies A \cup B \models_p CC$$

*<proof>*

**lemma** *true-clss-cls-mono-r[simp]*:

$$A \models_p CC \implies A \models_p CC + CC'$$

*<proof>*

**lemma** *true-clss-cls-mono-r'[simp]*:

$$A \models_p CC' \implies A \models_p CC + CC'$$

*<proof>*

**lemma** *true-clss-cls-mono-add-mset[simp]*:

$$A \models_p CC \implies A \models_p \text{add-mset } L \text{ } CC$$

*<proof>*

**lemma** *true-clss-clss-union-l[simp]*:

$$A \models_{ps} CC \implies A \cup B \models_{ps} CC$$

*<proof>*

**lemma** *true-clss-clss-union-l-r[simp]*:

$$B \models_{ps} CC \implies A \cup B \models_{ps} CC$$

*<proof>*

**lemma** *true-clss-cls-in[simp]*:

$$CC \in A \implies A \models_p CC$$

*<proof>*

**lemma** *true-clss-cls-insert-l[simp]*:

$$A \models_p C \implies \text{insert } a \text{ } A \models_p C$$

*<proof>*

**lemma** *true-clss-clss-insert-l[simp]*:

$$A \models_{ps} C \implies \text{insert } a \text{ } A \models_{ps} C$$

*<proof>*

**lemma** *true-clss-clss-union-and[iff]*:

$$A \models_{ps} C \cup D \longleftrightarrow (A \models_{ps} C \wedge A \models_{ps} D)$$

*<proof>*

**lemma** *true-clss-clss-insert[iff]*:

$$A \models_{ps} \text{insert } L \text{ } Ls \longleftrightarrow (A \models_p L \wedge A \models_{ps} Ls)$$

*<proof>*

**lemma** *true-clss-clss-subset*:

$A \subseteq B \implies A \models_{ps} CC \implies B \models_{ps} CC$   
 $\langle proof \rangle$

Better suited as intro rule:

**lemma** *true-clss-clss-subsetI*:

$A \models_{ps} CC \implies A \subseteq B \implies B \models_{ps} CC$   
 $\langle proof \rangle$

**lemma** *union-trus-clss-clss[simp]*:  $A \cup B \models_{ps} B$

$\langle proof \rangle$

**lemma** *true-clss-clss-remove[simp]*:

$A \models_{ps} B \implies A \models_{ps} B - C$   
 $\langle proof \rangle$

**lemma** *true-clss-clss-subsetE*:

$N \models_{ps} B \implies A \subseteq B \implies N \models_{ps} A$   
 $\langle proof \rangle$

**lemma** *true-clss-clss-in-imp-true-clss-clss*:

**assumes**  $N \models_{ps} U$   
**and**  $A \in U$   
**shows**  $N \models_p A$   
 $\langle proof \rangle$

**lemma** *all-in-true-clss-clss*:  $\forall x \in B. x \in A \implies A \models_{ps} B$

$\langle proof \rangle$

**lemma** *true-clss-clss-left-right*:

**assumes**  $A \models_{ps} B$   
**and**  $A \cup B \models_{ps} M$   
**shows**  $A \models_{ps} M \cup B$   
 $\langle proof \rangle$

**lemma** *true-clss-clss-generalise-true-clss-clss*:

$A \cup C \models_{ps} D \implies B \models_{ps} C \implies A \cup B \models_{ps} D$   
 $\langle proof \rangle$

**lemma** *true-clss-clss-or-true-clss-clss-or-not-true-clss-clss-or*:

**assumes**  $D: N \models_p \text{add-mset } (-L) \ D$   
**and**  $C: N \models_p \text{add-mset } L \ C$   
**shows**  $N \models_p D + C$   
 $\langle proof \rangle$

**lemma** *true-clss-clss-union-mset[iff]*:  $I \models C \cup \# D \longleftrightarrow I \models C \vee I \models D$

$\langle proof \rangle$

**lemma** *true-clss-clss-sup-iff-add*:  $N \models_p C \cup \# D \longleftrightarrow N \models_p C + D$

$\langle proof \rangle$

**lemma** *true-clss-clss-union-mset-true-clss-clss-or-not-true-clss-clss-or*:

**assumes**  
 $D: N \models_p \text{add-mset } (-L) \ D$  **and**  
 $C: N \models_p \text{add-mset } L \ C$

**shows**  $N \models_p D \cup \# C$   
 $\langle \text{proof} \rangle$

**lemma** *true-clss-cls-tautology-iff*:  
 $\langle \{ \} \models_p a \longleftrightarrow \text{tautology } a \rangle$  (**is**  $\langle ?A \longleftrightarrow ?B \rangle$ )  
 $\langle \text{proof} \rangle$

**lemma** *true-clss-mset-empty-iff[simp]*:  $\langle \{ \} \models_m C \longleftrightarrow C = \{ \# \} \rangle$   
 $\langle \text{proof} \rangle$

**lemma** *true-clss-mono-left*:  
 $\langle I \models_s A \implies I \subseteq J \implies J \models_s A \rangle$   
 $\langle \text{proof} \rangle$

**lemma** *true-clss-remove-alien*:  
 $\langle I \models N \longleftrightarrow \{ L. L \in I \wedge \text{atm-of } L \in \text{atms-of } N \} \models N \rangle$   
 $\langle \text{proof} \rangle$

**lemma** *true-clss-remove-alien*:  
 $\langle I \models_s N \longleftrightarrow \{ L. L \in I \wedge \text{atm-of } L \in \text{atms-of-ms } N \} \models_s N \rangle$   
 $\langle \text{proof} \rangle$

**lemma** *true-clss-alt-def*:  
 $\langle N \models_p \chi \longleftrightarrow$   
 $(\forall I. \text{atms-of-s } I = \text{atms-of-ms } (N \cup \{ \chi \}) \longrightarrow \text{consistent-interp } I \longrightarrow I \models_s N \longrightarrow I \models \chi) \rangle$   
 $\langle \text{proof} \rangle$

**lemma** *true-clss-alt-def2*:  
**assumes**  $\langle \neg \text{tautology } \chi \rangle$   
**shows**  $\langle N \models_p \chi \longleftrightarrow (\forall I. \text{atms-of-s } I = \text{atms-of-ms } N \longrightarrow \text{consistent-interp } I \longrightarrow I \models_s N \longrightarrow I \models \chi) \rangle$  (**is**  $\langle ?A \longleftrightarrow ?B \rangle$ )  
 $\langle \text{proof} \rangle$

**lemma** *true-clss-restrict-iff*:  
**assumes**  $\langle \neg \text{tautology } \chi \rangle$   
**shows**  $\langle N \models_p \chi \longleftrightarrow N \models_p \{ \# L \in \# \chi. \text{atm-of } L \in \text{atms-of-ms } N \# \} \rangle$  (**is**  $\langle ?A \longleftrightarrow ?B \rangle$ )  
 $\langle \text{proof} \rangle$

This is a slightly restrictive theorem, that encompasses most useful cases. The assumption  $\neg \text{tautology } C$  can be removed if the model  $I$  is total over the clause.

**lemma** *true-clss-cls-true-clss-true-clss*:  
**assumes**  $\langle N \models_p C \rangle$   
 $\langle I \models_s N \rangle$  **and**  
 $\text{cons: } \langle \text{consistent-interp } I \rangle$  **and**  
 $\text{tauto: } \langle \neg \text{tautology } C \rangle$   
**shows**  $\langle I \models C \rangle$   
 $\langle \text{proof} \rangle$

#### 1.1.4 Subsumptions

**lemma** *subsumption-total-over-m*:  
**assumes**  $A \subseteq \# B$   
**shows**  $\text{total-over-m } I \{ B \} \implies \text{total-over-m } I \{ A \}$   
 $\langle \text{proof} \rangle$

**lemma** *atms-of-replicate-mset-replicate-mset-uminus[simp]*:  

$$\text{atms-of } (D - \text{replicate-mset } (\text{count } D \ L) \ L - \text{replicate-mset } (\text{count } D \ (-L)) \ (-L))$$

$$= \text{atms-of } D - \{\text{atm-of } L\}$$
 $\langle \text{proof} \rangle$

**lemma** *subsumption-chained*:

**assumes**

$\forall I. \text{total-over-m } I \ \{D\} \longrightarrow I \models D \longrightarrow I \models \varphi$  **and**

$C \subseteq\# D$

**shows**  $(\forall I. \text{total-over-m } I \ \{C\} \longrightarrow I \models C \longrightarrow I \models \varphi) \vee \text{tautology } \varphi$

$\langle \text{proof} \rangle$

### 1.1.5 Removing Duplicates

**lemma** *tautology-remdups-mset[iff]*:

$\text{tautology } (\text{remdups-mset } C) \longleftrightarrow \text{tautology } C$

$\langle \text{proof} \rangle$

**lemma** *atms-of-remdups-mset[simp]*:  $\text{atms-of } (\text{remdups-mset } C) = \text{atms-of } C$

$\langle \text{proof} \rangle$

**lemma** *true-cls-remdups-mset[iff]*:  $I \models \text{remdups-mset } C \longleftrightarrow I \models C$

$\langle \text{proof} \rangle$

**lemma** *true-clss-cls-remdups-mset[iff]*:  $A \models_p \text{remdups-mset } C \longleftrightarrow A \models_p C$

$\langle \text{proof} \rangle$

### 1.1.6 Set of all Simple Clauses

A simple clause with respect to a set of atoms is such that

1. its atoms are included in the considered set of atoms;
2. it is not a tautology;
3. it does not contains duplicate literals.

It corresponds to the clauses that cannot be simplified away in a calculus without considering the other clauses.

**definition** *simple-clss* ::  $'v \text{ set} \Rightarrow 'v \text{ clause set}$  **where**

$\text{simple-clss } \text{atms} = \{C. \text{atms-of } C \subseteq \text{atms} \wedge \neg \text{tautology } C \wedge \text{distinct-mset } C\}$

**lemma** *simple-clss-empty[simp]*:

$\text{simple-clss } \{\} = \{\{\#\}\}$

$\langle \text{proof} \rangle$

**lemma** *simple-clss-insert*:

**assumes**  $l \notin \text{atms}$

**shows**  $\text{simple-clss } (\text{insert } l \ \text{atms}) =$

$((+) \ \{\#\text{Pos } l\# \}) \ ' (\text{simple-clss } \text{atms})$

$\cup ((+) \ \{\#\text{Neg } l\# \}) \ ' (\text{simple-clss } \text{atms})$

$\cup \text{simple-clss } \text{atms}(\text{is } ?I = ?U)$

$\langle \text{proof} \rangle$

**lemma** *simple-clss-finite*:

```

fixes atms :: 'v set
assumes finite atms
shows finite (simple-cls atms)
⟨proof⟩

```

```

lemma simple-clsE:
assumes
  x ∈ simple-cls atms
shows atms-of x ⊆ atms ∧ ¬tautology x ∧ distinct-mset x
⟨proof⟩

```

```

lemma cls-in-simple-cls:
shows  $\{\#\} \in \text{simple-cls } s$ 
⟨proof⟩

```

```

lemma simple-cls-card:
fixes atms :: 'v set
assumes finite atms
shows  $\text{card (simple-cls atms)} \leq (3::\text{nat}) \wedge (\text{card atms})$ 
⟨proof⟩

```

```

lemma simple-cls-mono:
assumes incl: atms ⊆ atms'
shows simple-cls atms ⊆ simple-cls atms'
⟨proof⟩

```

```

lemma distinct-mset-not-tautology-implies-in-simple-cls:
assumes distinct-mset χ and ¬tautology χ
shows χ ∈ simple-cls (atms-of χ)
⟨proof⟩

```

```

lemma simplified-in-simple-cls:
assumes distinct-mset-set ψ and  $\forall \chi \in \psi. \neg \text{tautology } \chi$ 
shows ψ ⊆ simple-cls (atms-of-ms ψ)
⟨proof⟩

```

```

lemma simple-cls-element-mono:
 $\langle x \in \text{simple-cls } A \implies y \subseteq \# x \implies y \in \text{simple-cls } A \rangle$ 
⟨proof⟩

```

### 1.1.7 Experiment: Expressing the Entailments as Locales

```

locale entail =
  fixes entail :: 'a set  $\Rightarrow$  'b  $\Rightarrow$  bool (infix  $\models_e$  50)
  assumes entail-insert[simp]:  $I \neq \{\} \implies \text{insert } L \ I \models_e x \longleftrightarrow \{L\} \models_e x \vee I \models_e x$ 
  assumes entail-union[simp]:  $I \models_e A \implies I \cup I' \models_e A$ 
begin

```

```

definition entails :: 'a set  $\Rightarrow$  'b set  $\Rightarrow$  bool (infix  $\models_{es}$  50) where
   $I \models_{es} A \longleftrightarrow (\forall a \in A. I \models_e a)$ 

```

```

lemma entails-empty[simp]:
   $I \models_{es} \{\}$ 
⟨proof⟩

```

```

lemma entails-single[iff]:

```

$I \models_{es} \{a\} \longleftrightarrow I \models_e a$   
 $\langle proof \rangle$

**lemma** *entails-insert-l[simp]*:  
 $M \models_{es} A \implies insert\ L\ M \models_{es} A$   
 $\langle proof \rangle$

**lemma** *entails-union[iff]*:  $I \models_{es} CC \cup DD \longleftrightarrow I \models_{es} CC \wedge I \models_{es} DD$   
 $\langle proof \rangle$

**lemma** *entails-insert[iff]*:  $I \models_{es} insert\ C\ DD \longleftrightarrow I \models_e C \wedge I \models_{es} DD$   
 $\langle proof \rangle$

**lemma** *entails-insert-mono*:  $DD \subseteq CC \implies I \models_{es} CC \implies I \models_{es} DD$   
 $\langle proof \rangle$

**lemma** *entails-union-increase[simp]*:  
**assumes**  $I \models_{es} \psi$   
**shows**  $I \cup I' \models_{es} \psi$   
 $\langle proof \rangle$

**lemma** *true-clss-commute-l*:  
 $I \cup I' \models_{es} \psi \longleftrightarrow I' \cup I \models_{es} \psi$   
 $\langle proof \rangle$

**lemma** *entails-remove[simp]*:  $I \models_{es} N \implies I \models_{es} Set.remove\ a\ N$   
 $\langle proof \rangle$

**lemma** *entails-remove-minus[simp]*:  $I \models_{es} N \implies I \models_{es} N - A$   
 $\langle proof \rangle$

**end**

**interpretation** *true-clss*: *entail true-clss*  
 $\langle proof \rangle$

### 1.1.8 Entailment to be extended

In some cases we want a more general version of entailment to have for example  $\{\} \models \{\#L, -L\#\}$ . This is useful when the model we are building might not be total (the literal  $L$  might have been definitely removed from the set of clauses), but we still want to have a property of entailment considering that theses removed literals are not important.

We can given a model  $I$  consider all the natural extensions:  $C$  is entailed by an extended  $I$ , if for all total extension of  $I$ , this model entails  $C$ .

**definition** *true-clss-ext* :: 'a literal set  $\Rightarrow$  'a clause set  $\Rightarrow$  bool (**infix**  $\models_{sext}$  49)

**where**

$I \models_{sext} N \longleftrightarrow (\forall J. I \subseteq J \longrightarrow consistent\_interp\ J \longrightarrow total\_over\_m\ J\ N \longrightarrow J \models_s N)$

**lemma** *true-clss-imp-true-clss-ext*:  
 $I \models_s N \implies I \models_{sext} N$   
 $\langle proof \rangle$

**lemma** *true-clss-ext-decrease-right-remove-r*:  
**assumes**  $I \models_{sext} N$

**shows**  $I \models_{\text{sext}} N - \{C\}$   
 $\langle \text{proof} \rangle$

**lemma** *consistent-true-clss-ext-satisfiable*:  
**assumes** *consistent-interp*  $I$  **and**  $I \models_{\text{sext}} A$   
**shows** *satisfiable*  $A$   
 $\langle \text{proof} \rangle$

**lemma** *not-consistent-true-clss-ext*:  
**assumes**  $\neg \text{consistent-interp } I$   
**shows**  $I \models_{\text{sext}} A$   
 $\langle \text{proof} \rangle$

**lemma** *inj-on-Pos*:  $\langle \text{inj-on Pos } A \rangle$  **and**  
*inj-on-Neg*:  $\langle \text{inj-on Neg } A \rangle$   
 $\langle \text{proof} \rangle$

**lemma** *inj-on-uminus-lit*:  $\langle \text{inj-on uminus } A \rangle$  **for**  $A :: \langle 'a \text{ literal set} \rangle$   
 $\langle \text{proof} \rangle$

**end**

## 1.2 Partial Annotated Herbrand Interpretation

We here define decided literals (that will be used in both DPLL and CDCL) and the entailment corresponding to it.

**theory** *Partial-Annotated-Herbrand-Interpretation*  
**imports**  
*Partial-Herbrand-Interpretation*  
**begin**

### 1.2.1 Decided Literals

#### Definition

**datatype**  $\langle 'v, 'w, 'mark \rangle \text{ annotated-lit} =$   
*is-decided*: *Decided* (*lit-dec*:  $'v$ ) |  
*is-proped*: *Propagated* (*lit-prop*:  $'w$ ) (*mark-of*:  $'mark$ )

**type-synonym**  $\langle 'v, 'w, 'mark \rangle \text{ annotated-lits} = \langle \langle 'v, 'w, 'mark \rangle \text{ annotated-lit list} \rangle$

**type-synonym**  $\langle 'v, 'mark \rangle \text{ ann-lit} = \langle \langle 'v \text{ literal}, 'v \text{ literal}, 'mark \rangle \text{ annotated-lit} \rangle$

**lemma** *ann-lit-list-induct*[*case-names Nil Decided Propagated*]:  
**assumes**  
 $\langle P [] \rangle$  **and**  
 $\langle \bigwedge L \text{ xs. } P \text{ xs} \implies P (\text{Decided } L \# \text{ xs}) \rangle$  **and**  
 $\langle \bigwedge L m \text{ xs. } P \text{ xs} \implies P (\text{Propagated } L m \# \text{ xs}) \rangle$   
**shows**  $\langle P \text{ xs} \rangle$   
 $\langle \text{proof} \rangle$

**lemma** *is-decided-ex-Decided*:  
 $\langle \text{is-decided } L \implies (\bigwedge K. L = \text{Decided } K \implies P) \implies P \rangle$   
 $\langle \text{proof} \rangle$



**lemma** *is-propedE*:  $\langle is-proped\ L \implies (\bigwedge K\ C.\ L = Propagated\ K\ C \implies P) \implies P \rangle$   
 $\langle proof \rangle$

**lemma** *is-decided-no-proped-iff*:  $\langle is-decided\ L \longleftrightarrow \neg is-proped\ L \rangle$   
 $\langle proof \rangle$

**lemma** *not-is-decidedE*:  
 $\langle \neg is-decided\ E \implies (\bigwedge K\ C.\ E = Propagated\ K\ C \implies thesis) \implies thesis \rangle$   
 $\langle proof \rangle$

**type-synonym**  $\langle 'v, 'm \rangle\ ann-lits = \langle 'v, 'm \rangle\ ann-lit\ list$

**fun** *lit-of* ::  $\langle ('a, 'a, 'mark)\ annotated-lit \Rightarrow 'a \rangle$  **where**  
 $\langle lit-of\ (Decided\ L) = L \mid$   
 $\langle lit-of\ (Propagated\ L\ -) = L \rangle$

**definition** *lits-of* ::  $\langle ('a, 'b)\ ann-lit\ set \Rightarrow 'a\ literal\ set \rangle$  **where**  
 $\langle lits-of\ Ls = lit-of\ 'Ls \rangle$

**abbreviation** *lits-of-l* ::  $\langle ('a, 'b)\ ann-lits \Rightarrow 'a\ literal\ set \rangle$  **where**  
 $\langle lits-of-l\ Ls \equiv lits-of\ (set\ Ls) \rangle$

**lemma** *lits-of-l-empty[simp]*:  
 $\langle lits-of\ \{\} = \{\} \rangle$   
 $\langle proof \rangle$

**lemma** *lits-of-insert[simp]*:  
 $\langle lits-of\ (insert\ L\ Ls) = insert\ (lit-of\ L)\ (lits-of\ Ls) \rangle$   
 $\langle proof \rangle$

**lemma** *lits-of-l-Un[simp]*:  
 $\langle lits-of\ (l \cup l') = lits-of\ l \cup lits-of\ l' \rangle$   
 $\langle proof \rangle$

**lemma** *finite-lits-of-def[simp]*:  
 $\langle finite\ (lits-of-l\ L) \rangle$   
 $\langle proof \rangle$

**abbreviation** *unmark* **where**  
 $\langle unmark \equiv (\lambda a.\ \{\#lit-of\ a\# \}) \rangle$

**abbreviation** *unmark-s* **where**  
 $\langle unmark-s\ M \equiv unmark\ 'M \rangle$

**abbreviation** *unmark-l* **where**  
 $\langle unmark-l\ M \equiv unmark-s\ (set\ M) \rangle$

**lemma** *atms-of-ms-lambda-lit-of-is-atm-of-lit-of[simp]*:  
 $\langle atms-of-ms\ (unmark-l\ M') = atm-of\ 'lits-of-l\ M' \rangle$   
 $\langle proof \rangle$

**lemma** *lits-of-l-empty-is-empty[iff]*:  
 $\langle lits-of-l\ M = \{\} \longleftrightarrow M = [] \rangle$   
 $\langle proof \rangle$

**lemma** *in-unmark-l-in-lits-of-l-iff*:  $\langle \{ \#L\# \} \in \text{unmark-l } M \longleftrightarrow L \in \text{lits-of-l } M \rangle$   
 $\langle \text{proof} \rangle$

**lemma** *atm-lit-of-set-lits-of-l*:  
 $\langle \lambda l. \text{atm-of } (\text{lit-of } l) \text{ ' set } xs = \text{atm-of ' lits-of-l } xs \rangle$   
 $\langle \text{proof} \rangle$

## Entailment

**definition** *true-annot* ::  $\langle ('a, 'm) \text{ ann-lits} \Rightarrow 'a \text{ clause} \Rightarrow \text{bool} \rangle$  (**infix**  $\models_a$  49) **where**  
 $\langle I \models_a C \longleftrightarrow (\text{lits-of-l } I) \models C \rangle$

**definition** *true-annots* ::  $\langle ('a, 'm) \text{ ann-lits} \Rightarrow 'a \text{ clause-set} \Rightarrow \text{bool} \rangle$  (**infix**  $\models_{as}$  49) **where**  
 $\langle I \models_{as} CC \longleftrightarrow (\forall C \in CC. I \models_a C) \rangle$

**lemma** *true-annot-empty-model[simp]*:  
 $\langle \neg[] \models_a \psi \rangle$   
 $\langle \text{proof} \rangle$

**lemma** *true-annot-empty[simp]*:  
 $\langle \neg I \models_a \{ \# \} \rangle$   
 $\langle \text{proof} \rangle$

**lemma** *empty-true-annots-def[iff]*:  
 $\langle [] \models_{as} \psi \longleftrightarrow \psi = \{ \} \rangle$   
 $\langle \text{proof} \rangle$

**lemma** *true-annots-empty[simp]*:  
 $\langle I \models_{as} \{ \} \rangle$   
 $\langle \text{proof} \rangle$

**lemma** *true-annots-single-true-annot[iff]*:  
 $\langle I \models_{as} \{ C \} \longleftrightarrow I \models_a C \rangle$   
 $\langle \text{proof} \rangle$

**lemma** *true-annot-insert-l[simp]*:  
 $\langle M \models_a A \Longrightarrow L \# M \models_a A \rangle$   
 $\langle \text{proof} \rangle$

**lemma** *true-annots-insert-l [simp]*:  
 $\langle M \models_{as} A \Longrightarrow L \# M \models_{as} A \rangle$   
 $\langle \text{proof} \rangle$

**lemma** *true-annots-union[iff]*:  
 $\langle M \models_{as} A \cup B \longleftrightarrow (M \models_{as} A \wedge M \models_{as} B) \rangle$   
 $\langle \text{proof} \rangle$

**lemma** *true-annots-insert[iff]*:  
 $\langle M \models_{as} \text{insert } a \ A \longleftrightarrow (M \models_a a \wedge M \models_{as} A) \rangle$   
 $\langle \text{proof} \rangle$

**lemma** *true-annot-append-l*:  
 $\langle M \models_a A \Longrightarrow M' @ M \models_a A \rangle$   
 $\langle \text{proof} \rangle$

**lemma** *true-annots-append-l*:

$\langle M \models_{as} A \implies M' @ M \models_{as} A \rangle$   
 $\langle proof \rangle$

Link between  $\models_{as}$  and  $\models_s$ :

**lemma** *true-annots-true-cls*:  
 $\langle I \models_{as} CC \longleftrightarrow lits-of-l\ I \models_s CC \rangle$   
 $\langle proof \rangle$

**lemma** *in-lit-of-true-annot*:  
 $\langle a \in lits-of-l\ M \longleftrightarrow M \models_a \{ \#a\# \} \rangle$   
 $\langle proof \rangle$

**lemma** *true-annot-lit-of-notin-skip*:  
 $\langle L \# M \models_a A \implies lit-of\ L \notin \# A \implies M \models_a A \rangle$   
 $\langle proof \rangle$

**lemma** *true-clss-singleton-lit-of-implies-incl*:  
 $\langle I \models_s unmark-l\ MLs \implies lits-of-l\ MLs \subseteq I \rangle$   
 $\langle proof \rangle$

**lemma** *true-annot-true-clss-cls*:  
 $\langle MLs \models_a \psi \implies set\ (map\ unmark\ MLs) \models_p \psi \rangle$   
 $\langle proof \rangle$

**lemma** *true-annots-true-clss-cls*:  
 $\langle MLs \models_{as} \psi \implies set\ (map\ unmark\ MLs) \models_{ps} \psi \rangle$   
 $\langle proof \rangle$

**lemma** *true-annots-decided-true-cls[iff]*:  
 $\langle map\ Decided\ M \models_{as} N \longleftrightarrow set\ M \models_s N \rangle$   
 $\langle proof \rangle$

**lemma** *true-annot-singleton[iff]*:  $\langle M \models_a \{ \#L\# \} \longleftrightarrow L \in lits-of-l\ M \rangle$   
 $\langle proof \rangle$

**lemma** *true-annots-true-clss-clss*:  
 $\langle A \models_{as} \Psi \implies unmark-l\ A \models_{ps} \Psi \rangle$   
 $\langle proof \rangle$

**lemma** *true-annot-commute*:  
 $\langle M @ M' \models_a D \longleftrightarrow M' @ M \models_a D \rangle$   
 $\langle proof \rangle$

**lemma** *true-annots-commute*:  
 $\langle M @ M' \models_{as} D \longleftrightarrow M' @ M \models_{as} D \rangle$   
 $\langle proof \rangle$

**lemma** *true-annot-mono[dest]*:  
 $\langle set\ I \subseteq set\ I' \implies I \models_a N \implies I' \models_a N \rangle$   
 $\langle proof \rangle$

**lemma** *true-annots-mono*:  
 $\langle set\ I \subseteq set\ I' \implies I \models_{as} N \implies I' \models_{as} N \rangle$   
 $\langle proof \rangle$

## Defined and Undefined Literals

We introduce the functions *defined-lit* and *undefined-lit* to know whether a literal is defined with respect to a list of decided literals (aka a trail in most cases).

Remark that *undefined* already exists and is a completely different Isabelle function.

**definition** *defined-lit* ::  $\langle ('a \text{ literal}, 'a \text{ literal}, 'm) \text{ annotated-lits} \Rightarrow 'a \text{ literal} \Rightarrow \text{bool} \rangle$

**where**

$\langle \text{defined-lit } I \ L \longleftrightarrow (Decided \ L \in \text{set } I) \vee (\exists P. \text{Propagated } L \ P \in \text{set } I) \vee (Decided \ (-L) \in \text{set } I) \vee (\exists P. \text{Propagated } (-L) \ P \in \text{set } I) \rangle$

**abbreviation** *undefined-lit* ::  $\langle ('a \text{ literal}, 'a \text{ literal}, 'm) \text{ annotated-lits} \Rightarrow 'a \text{ literal} \Rightarrow \text{bool} \rangle$

**where**  $\langle \text{undefined-lit } I \ L \equiv \neg \text{defined-lit } I \ L \rangle$

**lemma** *defined-lit-rev[simp]*:

$\langle \text{defined-lit } (\text{rev } M) \ L \longleftrightarrow \text{defined-lit } M \ L \rangle$   
 $\langle \text{proof} \rangle$

**lemma** *atm-imp-decided-or-proped*:

**assumes**  $\langle x \in \text{set } I \rangle$

**shows**

$\langle (Decided \ (- \text{lit-of } x) \in \text{set } I) \vee (Decided \ (\text{lit-of } x) \in \text{set } I) \vee (\exists l. \text{Propagated } (- \text{lit-of } x) \ l \in \text{set } I) \vee (\exists l. \text{Propagated } (\text{lit-of } x) \ l \in \text{set } I) \rangle$   
 $\langle \text{proof} \rangle$

**lemma** *literal-is-lit-of-decided*:

**assumes**  $\langle L = \text{lit-of } x \rangle$

**shows**  $\langle (x = Decided \ L) \vee (\exists l'. x = \text{Propagated } L \ l') \rangle$   
 $\langle \text{proof} \rangle$

**lemma** *true-annot-iff-decided-or-true-lit*:

$\langle \text{defined-lit } I \ L \longleftrightarrow (\text{lits-of-l } I \models L \vee \text{lits-of-l } I \models -L) \rangle$   
 $\langle \text{proof} \rangle$

**lemma** *consistent-inter-true-annots-satisfiable*:

$\langle \text{consistent-interp } (\text{lits-of-l } I) \Longrightarrow I \models_{\text{as}} N \Longrightarrow \text{satisfiable } N \rangle$   
 $\langle \text{proof} \rangle$

**lemma** *defined-lit-map*:

$\langle \text{defined-lit } Ls \ L \longleftrightarrow \text{atm-of } L \in (\lambda l. \text{atm-of } (\text{lit-of } l)) \text{ ' set } Ls \rangle$   
 $\langle \text{proof} \rangle$

**lemma** *defined-lit-uminus[iff]*:

$\langle \text{defined-lit } I \ (-L) \longleftrightarrow \text{defined-lit } I \ L \rangle$   
 $\langle \text{proof} \rangle$

**lemma** *Decided-Propagated-in-iff-in-lits-of-l*:

$\langle \text{defined-lit } I \ L \longleftrightarrow (L \in \text{lits-of-l } I \vee -L \in \text{lits-of-l } I) \rangle$   
 $\langle \text{proof} \rangle$

**lemma** *consistent-add-undefined-lit-consistent[simp]*:

**assumes**

$\langle \text{consistent-interp } (\text{lits-of-l } Ls) \rangle$  **and**  
 $\langle \text{undefined-lit } Ls \ L \rangle$

**shows**  $\langle \text{consistent-interp } (\text{insert } L \text{ (lits-of-l } Ls)) \rangle$   
 $\langle \text{proof} \rangle$

**lemma** *decided-empty[simp]*:  
 $\langle \neg \text{defined-lit } [] \ L \rangle$   
 $\langle \text{proof} \rangle$

**lemma** *undefined-lit-single[iff]*:  
 $\langle \text{defined-lit } [L] \ K \longleftrightarrow \text{atm-of } (\text{lit-of } L) = \text{atm-of } K \rangle$   
 $\langle \text{proof} \rangle$

**lemma** *undefined-lit-cons[iff]*:  
 $\langle \text{undefined-lit } (L \# M) \ K \longleftrightarrow \text{atm-of } (\text{lit-of } L) \neq \text{atm-of } K \wedge \text{undefined-lit } M \ K \rangle$   
 $\langle \text{proof} \rangle$

**lemma** *undefined-lit-append[iff]*:  
 $\langle \text{undefined-lit } (M @ M') \ K \longleftrightarrow \text{undefined-lit } M \ K \wedge \text{undefined-lit } M' \ K \rangle$   
 $\langle \text{proof} \rangle$

**lemma** *defined-lit-cons*:  
 $\langle \text{defined-lit } (L \# M) \ K \longleftrightarrow \text{atm-of } (\text{lit-of } L) = \text{atm-of } K \vee \text{defined-lit } M \ K \rangle$   
 $\langle \text{proof} \rangle$

**lemma** *defined-lit-append*:  
 $\langle \text{defined-lit } (M @ M') \ K \longleftrightarrow \text{defined-lit } M \ K \vee \text{defined-lit } M' \ K \rangle$   
 $\langle \text{proof} \rangle$

**lemma** *in-lits-of-l-defined-litD*:  $\langle L\text{-max} \in \text{lits-of-l } M \implies \text{defined-lit } M \ L\text{-max} \rangle$   
 $\langle \text{proof} \rangle$

**lemma** *undefined-notin*:  $\langle \text{undefined-lit } M \ (\text{lit-of } x) \implies x \notin \text{set } M \rangle$  **for**  $x \ M$   
 $\langle \text{proof} \rangle$

**lemma** *uminus-lits-of-l-definedD*:  
 $\langle -x \in \text{lits-of-l } M \implies \text{defined-lit } M \ x \rangle$   
 $\langle \text{proof} \rangle$

**lemma** *defined-lit-Neg-Pos-iff*:  
 $\langle \text{defined-lit } M \ (\text{Neg } A) \longleftrightarrow \text{defined-lit } M \ (\text{Pos } A) \rangle$   
 $\langle \text{proof} \rangle$

**lemma** *defined-lit-Pos-atm-iff[simp]*:  
 $\langle \text{defined-lit } M1 \ (\text{Pos } (\text{atm-of } x)) \longleftrightarrow \text{defined-lit } M1 \ x \rangle$   
 $\langle \text{proof} \rangle$

**lemma** *defined-lit-mono*:  
 $\langle \text{defined-lit } M2 \ L \implies \text{set } M2 \subseteq \text{set } M3 \implies \text{defined-lit } M3 \ L \rangle$   
 $\langle \text{proof} \rangle$

**lemma** *defined-lit-nth*:  
 $\langle n < \text{length } M2 \implies \text{defined-lit } M2 \ (\text{lit-of } (M2 ! n)) \rangle$   
 $\langle \text{proof} \rangle$

### 1.2.2 Backtracking

**fun** *backtrack-split* ::  $\langle ('a, 'v, 'm) \text{ annotated-lits} \rangle$

$\Rightarrow ('a, 'v, 'm) \text{ annotated-lits} \times ('a, 'v, 'm) \text{ annotated-lits} \rangle$  **where**  
 $\langle \text{backtrack-split } [] = ([], []) \rangle \mid$   
 $\langle \text{backtrack-split } (\text{Propagated } L \ P \ \# \ \text{mlits}) = \text{apfst } ((\#) (\text{Propagated } L \ P)) (\text{backtrack-split } \text{mlits}) \rangle \mid$   
 $\langle \text{backtrack-split } (\text{Decided } L \ \# \ \text{mlits}) = ([], \text{Decided } L \ \# \ \text{mlits}) \rangle$

**lemma** *backtrack-split-fst-not-decided*:  $\langle a \in \text{set } (\text{fst } (\text{backtrack-split } l)) \Rightarrow \neg \text{is-decided } a \rangle$   
 $\langle \text{proof} \rangle$

**lemma** *backtrack-split-snd-hd-decided*:  
 $\langle \text{snd } (\text{backtrack-split } l) \neq [] \Rightarrow \text{is-decided } (\text{hd } (\text{snd } (\text{backtrack-split } l))) \rangle$   
 $\langle \text{proof} \rangle$

**lemma** *backtrack-split-list-eq[simp]*:  
 $\langle \text{fst } (\text{backtrack-split } l) @ (\text{snd } (\text{backtrack-split } l)) = l \rangle$   
 $\langle \text{proof} \rangle$

**lemma** *backtrack-split-snd-empty-not-decided*:  
 $\langle \text{backtrack-split } M = (M'', []) \Rightarrow \forall l \in \text{set } M. \neg \text{is-decided } l \rangle$   
 $\langle \text{proof} \rangle$

**lemma** *backtrack-split-some-is-decided-then-snd-has-hd*:  
 $\langle \exists l \in \text{set } M. \text{is-decided } l \Rightarrow \exists M' \ L' \ M''. \text{backtrack-split } M = (M'', L' \ \# \ M') \rangle$   
 $\langle \text{proof} \rangle$

Another characterisation of the result of *backtrack-split*. This view allows some simpler proofs, since *takeWhile* and *dropWhile* are highly automated:

**lemma** *backtrack-split-takeWhile-dropWhile*:  
 $\langle \text{backtrack-split } M = (\text{takeWhile } (\text{Not } o \text{ is-decided}) \ M, \text{dropWhile } (\text{Not } o \text{ is-decided}) \ M) \rangle$   
 $\langle \text{proof} \rangle$

### 1.2.3 Decomposition with respect to the First Decided Literals

In this section we define a function that returns a decomposition with the first decided literal. This function is useful to define the backtracking of DPLL.

#### Definition

The pattern *get-all-ann-decomposition*  $[] = [([], [])]$  is necessary otherwise, we can call the *hd* function in the other pattern.

**fun** *get-all-ann-decomposition* ::  $\langle ('a, 'b, 'm) \text{ annotated-lits} \Rightarrow (('a, 'b, 'm) \text{ annotated-lits} \times ('a, 'b, 'm) \text{ annotated-lits}) \text{ list} \rangle$  **where**  
 $\langle \text{get-all-ann-decomposition } (\text{Decided } L \ \# \ Ls) =$   
 $\quad (\text{Decided } L \ \# \ Ls, []) \ \# \ \text{get-all-ann-decomposition } Ls \rangle \mid$   
 $\langle \text{get-all-ann-decomposition } (\text{Propagated } L \ P \ \# \ Ls) =$   
 $\quad (\text{apsnd } ((\#) (\text{Propagated } L \ P)) (\text{hd } (\text{get-all-ann-decomposition } Ls)))$   
 $\quad \ \# \ \text{tl } (\text{get-all-ann-decomposition } Ls) \rangle \mid$   
 $\langle \text{get-all-ann-decomposition } [] = [([], [])] \rangle$

**value**  $\langle \text{get-all-ann-decomposition } [\text{Propagated } A5 \ B5, \text{Decided } C4, \text{Propagated } A3 \ B3,$   
 $\quad \text{Propagated } A2 \ B2, \text{Decided } C1, \text{Propagated } A0 \ B0] \rangle$

Now we can prove several simple properties about the function.

**lemma** *get-all-ann-decomposition-never-empty[iff]*:  
 $\langle \text{get-all-ann-decomposition } M = [] \iff \text{False} \rangle$

$\langle \text{proof} \rangle$

**lemma** *get-all-ann-decomposition-never-empty-sym*[iff]:

$\langle [] = \text{get-all-ann-decomposition } M \longleftrightarrow \text{False} \rangle$

$\langle \text{proof} \rangle$

**lemma** *get-all-ann-decomposition-decomp*:

$\langle \text{hd } (\text{get-all-ann-decomposition } S) = (a, c) \implies S = c @ a \rangle$

$\langle \text{proof} \rangle$

**lemma** *get-all-ann-decomposition-backtrack-split*:

$\langle \text{backtrack-split } S = (M, M') \longleftrightarrow \text{hd } (\text{get-all-ann-decomposition } S) = (M', M) \rangle$

$\langle \text{proof} \rangle$

**lemma** *get-all-ann-decomposition-Nil-backtrack-split-snd-Nil*:

$\langle \text{get-all-ann-decomposition } S = [([], A)] \implies \text{snd } (\text{backtrack-split } S) = [] \rangle$

$\langle \text{proof} \rangle$

This function says that the first element is either empty or starts with a decided element of the list.

**lemma** *get-all-ann-decomposition-length-1-fst-empty-or-length-1*:

**assumes**  $\langle \text{get-all-ann-decomposition } M = (a, b) \# [] \rangle$

**shows**  $\langle a = [] \vee (\text{length } a = 1 \wedge \text{is-decided } (\text{hd } a) \wedge \text{hd } a \in \text{set } M) \rangle$

$\langle \text{proof} \rangle$

**lemma** *get-all-ann-decomposition-fst-empty-or-hd-in-M*:

**assumes**  $\langle \text{get-all-ann-decomposition } M = (a, b) \# l \rangle$

**shows**  $\langle a = [] \vee (\text{is-decided } (\text{hd } a) \wedge \text{hd } a \in \text{set } M) \rangle$

$\langle \text{proof} \rangle$

**lemma** *get-all-ann-decomposition-snd-not-decided*:

**assumes**  $\langle (a, b) \in \text{set } (\text{get-all-ann-decomposition } M) \rangle$

**and**  $\langle L \in \text{set } b \rangle$

**shows**  $\langle \neg \text{is-decided } L \rangle$

$\langle \text{proof} \rangle$

**lemma** *tl-get-all-ann-decomposition-skip-some*:

**assumes**  $\langle x \in \text{set } (\text{tl } (\text{get-all-ann-decomposition } M1)) \rangle$

**shows**  $\langle x \in \text{set } (\text{tl } (\text{get-all-ann-decomposition } (M0 @ M1))) \rangle$

$\langle \text{proof} \rangle$

**lemma** *hd-get-all-ann-decomposition-skip-some*:

**assumes**  $\langle (x, y) = \text{hd } (\text{get-all-ann-decomposition } M1) \rangle$

**shows**  $\langle (x, y) \in \text{set } (\text{get-all-ann-decomposition } (M0 @ \text{Decided } K \# M1)) \rangle$

$\langle \text{proof} \rangle$

**lemma** *in-get-all-ann-decomposition-in-get-all-ann-decomposition-prepend*:

$\langle (a, b) \in \text{set } (\text{get-all-ann-decomposition } M') \implies$

$\exists b'. (a, b' @ b) \in \text{set } (\text{get-all-ann-decomposition } (M @ M')) \rangle$

$\langle \text{proof} \rangle$

**lemma** *in-get-all-ann-decomposition-decided-or-empty*:

**assumes**  $\langle (a, b) \in \text{set } (\text{get-all-ann-decomposition } M) \rangle$

**shows**  $\langle a = [] \vee (\text{is-decided } (\text{hd } a)) \rangle$

$\langle \text{proof} \rangle$

**lemma** *get-all-ann-decomposition-remove-undecided-length:*

**assumes**  $\langle \forall l \in \text{set } M'. \neg \text{is-decided } l \rangle$

**shows**  $\langle \text{length } (\text{get-all-ann-decomposition } (M' @ M'')) = \text{length } (\text{get-all-ann-decomposition } M'') \rangle$

$\langle \text{proof} \rangle$

**lemma** *get-all-ann-decomposition-not-is-decided-length:*

**assumes**  $\langle \forall l \in \text{set } M'. \neg \text{is-decided } l \rangle$

**shows**  $\langle 1 + \text{length } (\text{get-all-ann-decomposition } (\text{Propagated } (-L) P \# M))$

$= \text{length } (\text{get-all-ann-decomposition } (M' @ \text{Decided } L \# M)) \rangle$

$\langle \text{proof} \rangle$

**lemma** *get-all-ann-decomposition-last-choice:*

**assumes**  $\langle \text{tl } (\text{get-all-ann-decomposition } (M' @ \text{Decided } L \# M)) \neq [] \rangle$

**and**  $\langle \forall l \in \text{set } M'. \neg \text{is-decided } l \rangle$

**and**  $\langle \text{hd } (\text{tl } (\text{get-all-ann-decomposition } (M' @ \text{Decided } L \# M))) = (M0', M0) \rangle$

**shows**  $\langle \text{hd } (\text{get-all-ann-decomposition } (\text{Propagated } (-L) P \# M)) = (M0', \text{Propagated } (-L) P \# M0) \rangle$

$\langle \text{proof} \rangle$

**lemma** *get-all-ann-decomposition-except-last-choice-equal:*

**assumes**  $\langle \forall l \in \text{set } M'. \neg \text{is-decided } l \rangle$

**shows**  $\langle \text{tl } (\text{get-all-ann-decomposition } (\text{Propagated } (-L) P \# M))$

$= \text{tl } (\text{tl } (\text{get-all-ann-decomposition } (M' @ \text{Decided } L \# M))) \rangle$

$\langle \text{proof} \rangle$

**lemma** *get-all-ann-decomposition-hd-hd:*

**assumes**  $\langle \text{get-all-ann-decomposition } Ls = (M, C) \# (M0, M0') \# l \rangle$

**shows**  $\langle \text{tl } M = M0' @ M0 \wedge \text{is-decided } (\text{hd } M) \rangle$

$\langle \text{proof} \rangle$

**lemma** *get-all-ann-decomposition-exists-prepend[dest]:*

**assumes**  $\langle (a, b) \in \text{set } (\text{get-all-ann-decomposition } M) \rangle$

**shows**  $\langle \exists c. M = c @ b @ a \rangle$

$\langle \text{proof} \rangle$

**lemma** *get-all-ann-decomposition-incl:*

**assumes**  $\langle (a, b) \in \text{set } (\text{get-all-ann-decomposition } M) \rangle$

**shows**  $\langle \text{set } b \subseteq \text{set } M \rangle$  **and**  $\langle \text{set } a \subseteq \text{set } M \rangle$

$\langle \text{proof} \rangle$

**lemma** *get-all-ann-decomposition-exists-prepend':*

**assumes**  $\langle (a, b) \in \text{set } (\text{get-all-ann-decomposition } M) \rangle$

**obtains**  $c$  **where**  $\langle M = c @ b @ a \rangle$

$\langle \text{proof} \rangle$

**lemma** *union-in-get-all-ann-decomposition-is-subset:*

**assumes**  $\langle (a, b) \in \text{set } (\text{get-all-ann-decomposition } M) \rangle$

**shows**  $\langle \text{set } a \cup \text{set } b \subseteq \text{set } M \rangle$

$\langle \text{proof} \rangle$

**lemma** *Decided-cons-in-get-all-ann-decomposition-append-Decided-cons:*

$\langle \exists c''. (\text{Decided } K \# c, c'') \in \text{set } (\text{get-all-ann-decomposition } (c' @ \text{Decided } K \# c)) \rangle$

$\langle \text{proof} \rangle$

**lemma** *fst-get-all-ann-decomposition-prepend-not-decided:*

**assumes**  $\langle \forall m \in \text{set } MS. \neg \text{is-decided } m \rangle$



**shows**  $\langle \text{set } (\text{map fst } (\text{get-all-ann-decomposition } M))$   
 $= \text{set } (\text{map fst } (\text{get-all-ann-decomposition } (MS @ M))) \rangle$   
 $\langle \text{proof} \rangle$

**lemma** *no-decision-get-all-ann-decomposition*:

$\langle \forall l \in \text{set } M. \neg \text{is-decided } l \implies \text{get-all-ann-decomposition } M = [([], M)] \rangle$   
 $\langle \text{proof} \rangle$

## Entailment of the Propagated by the Decided Literal

**lemma** *get-all-ann-decomposition-snd-union*:

$\langle \text{set } M = \bigcup (\text{set } ' \text{snd } ' \text{set } (\text{get-all-ann-decomposition } M)) \cup \{L \mid L. \text{is-decided } L \wedge L \in \text{set } M\} \rangle$   
 $\langle \text{is } (?M \ M = ?U \ M \cup ?Ls \ M) \rangle$   
 $\langle \text{proof} \rangle$

**definition** *all-decomposition-implies* ::  $\langle 'a \text{ clause set}$

$\implies ((('a, 'm) \text{ ann-lits} \times ('a, 'm) \text{ ann-lits}) \text{ list} \implies \text{bool})$  **where**

$\langle \text{all-decomposition-implies } N \ S \longleftrightarrow (\forall (Ls, \text{seen}) \in \text{set } S. \text{unmark-l } Ls \cup N \models_{ps} \text{unmark-l seen}) \rangle$

**lemma** *all-decomposition-implies-empty*[iff]:

$\langle \text{all-decomposition-implies } N \ [] \rangle \langle \text{proof} \rangle$

**lemma** *all-decomposition-implies-single*[iff]:

$\langle \text{all-decomposition-implies } N \ [(Ls, \text{seen})] \longleftrightarrow \text{unmark-l } Ls \cup N \models_{ps} \text{unmark-l seen} \rangle$   
 $\langle \text{proof} \rangle$

**lemma** *all-decomposition-implies-append*[iff]:

$\langle \text{all-decomposition-implies } N \ (S @ S') \longleftrightarrow (\text{all-decomposition-implies } N \ S \wedge \text{all-decomposition-implies } N \ S') \rangle$   
 $\langle \text{proof} \rangle$

**lemma** *all-decomposition-implies-cons-pair*[iff]:

$\langle \text{all-decomposition-implies } N \ ((Ls, \text{seen}) \# S') \longleftrightarrow (\text{all-decomposition-implies } N \ [(Ls, \text{seen})] \wedge \text{all-decomposition-implies } N \ S') \rangle$   
 $\langle \text{proof} \rangle$

**lemma** *all-decomposition-implies-cons-single*[iff]:

$\langle \text{all-decomposition-implies } N \ (l \# S') \longleftrightarrow$   
 $(\text{unmark-l } (\text{fst } l) \cup N \models_{ps} \text{unmark-l } (\text{snd } l) \wedge$   
 $\text{all-decomposition-implies } N \ S') \rangle$   
 $\langle \text{proof} \rangle$

**lemma** *all-decomposition-implies-trail-is-implied*:

**assumes**  $\langle \text{all-decomposition-implies } N \ (\text{get-all-ann-decomposition } M) \rangle$

**shows**  $\langle N \cup \{\text{unmark } L \mid L. \text{is-decided } L \wedge L \in \text{set } M\}$

$\models_{ps} \text{unmark } ' \bigcup (\text{set } ' \text{snd } ' \text{set } (\text{get-all-ann-decomposition } M)) \rangle$

$\langle \text{proof} \rangle$

**lemma** *all-decomposition-implies-propagated-lits-are-implied*:

**assumes**  $\langle \text{all-decomposition-implies } N \ (\text{get-all-ann-decomposition } M) \rangle$

**shows**  $\langle N \cup \{\text{unmark } L \mid L. \text{is-decided } L \wedge L \in \text{set } M\} \models_{ps} \text{unmark-l } M \rangle$

$\langle \text{is } (?I \models_{ps} ?A) \rangle$

$\langle \text{proof} \rangle$

**lemma** *all-decomposition-implies-insert-single*:

$\langle \text{all-decomposition-implies } N \ M \implies \text{all-decomposition-implies } (\text{insert } C \ N) \ M \rangle$   
 $\langle \text{proof} \rangle$

**lemma** *all-decomposition-implies-union*:

$\langle \text{all-decomposition-implies } N \ M \implies \text{all-decomposition-implies } (N \cup N') \ M \rangle$   
 $\langle \text{proof} \rangle$

**lemma** *all-decomposition-implies-mono*:

$\langle N \subseteq N' \implies \text{all-decomposition-implies } N \ M \implies \text{all-decomposition-implies } N' \ M \rangle$   
 $\langle \text{proof} \rangle$

**lemma** *all-decomposition-implies-mono-right*:

$\langle \text{all-decomposition-implies } I \ (\text{get-all-ann-decomposition } (M' @ M)) \implies$   
 $\text{all-decomposition-implies } I \ (\text{get-all-ann-decomposition } M) \rangle$   
 $\langle \text{proof} \rangle$

#### 1.2.4 Negation of a Clause

We define the negation of a *'a clause*: it converts a single clause to a set of clauses, where each clause is a single literal (whose negation is in the original clause).

**definition** *CNot* ::  $\langle 'v \text{ clause} \Rightarrow 'v \text{ clause-set} \rangle$  **where**

$\langle CNot \ \psi = \{ \{ \# - L \# \} \mid L. L \in \# \ \psi \} \rangle$

**lemma** *finite-CNot[simp]*:  $\langle \text{finite } (CNot \ C) \rangle$

$\langle \text{proof} \rangle$

**lemma** *in-CNot-uminus[iff]*:

**shows**  $\langle \{ \# L \# \} \in CNot \ \psi \longleftrightarrow -L \in \# \ \psi \rangle$   
 $\langle \text{proof} \rangle$

**lemma**

**shows**

*CNot-add-mset[simp]*:  $\langle CNot \ (\text{add-mset } L \ \psi) = \text{insert } \{ \# - L \# \} \ (CNot \ \psi) \rangle$  **and**

*CNot-empty[simp]*:  $\langle CNot \ \{ \# \} = \{ \} \rangle$  **and**

*CNot-plus[simp]*:  $\langle CNot \ (A + B) = CNot \ A \cup CNot \ B \rangle$

$\langle \text{proof} \rangle$

**lemma** *CNot-eq-empty[iff]*:

$\langle CNot \ D = \{ \} \longleftrightarrow D = \{ \# \} \rangle$   
 $\langle \text{proof} \rangle$

**lemma** *in-CNot-implies-uminus*:

**assumes**  $\langle L \in \# \ D \rangle$  **and**  $\langle M \models_{as} CNot \ D \rangle$

**shows**  $\langle M \models_a \{ \# - L \# \} \rangle$  **and**  $\langle -L \in \text{lits-of-l } M \rangle$

$\langle \text{proof} \rangle$

**lemma** *CNot-remdups-mset[simp]*:

$\langle CNot \ (\text{remdups-mset } A) = CNot \ A \rangle$   
 $\langle \text{proof} \rangle$

**lemma** *Ball-CNot-Ball-mset[simp]*:

$\langle (\forall x \in CNot \ D. P \ x) \longleftrightarrow (\forall L \in \# \ D. P \ \{ \# - L \# \}) \rangle$   
 $\langle \text{proof} \rangle$

**lemma** *consistent-CNot-not*:

**assumes**  $\langle \text{consistent-interp } I \rangle$   
**shows**  $\langle I \models_s \text{CNot } \varphi \implies \neg I \models \varphi \rangle$   
 $\langle \text{proof} \rangle$

**lemma** *total-not-true-cls-true-cls-CNot*:

**assumes**  $\langle \text{total-over-}m \ I \ \{\varphi\} \rangle$  **and**  $\langle \neg I \models \varphi \rangle$   
**shows**  $\langle I \models_s \text{CNot } \varphi \rangle$   
 $\langle \text{proof} \rangle$

**lemma** *total-not-CNot*:

**assumes**  $\langle \text{total-over-}m \ I \ \{\varphi\} \rangle$  **and**  $\langle \neg I \models_s \text{CNot } \varphi \rangle$   
**shows**  $\langle I \models \varphi \rangle$   
 $\langle \text{proof} \rangle$

**lemma** *atms-of-ms-CNot-atms-of[simp]*:

$\langle \text{atms-of-ms } (\text{CNot } C) = \text{atms-of } C \rangle$   
 $\langle \text{proof} \rangle$

**lemma** *true-cls-cls-contradiction-true-cls-cls-false*:

$\langle C \in D \implies D \models_{ps} \text{CNot } C \implies D \models_p \{\#\} \rangle$   
 $\langle \text{proof} \rangle$

**lemma** *true-annots-CNot-all-atms-defined*:

**assumes**  $\langle M \models_{as} \text{CNot } T \rangle$  **and**  $a1: \langle L \in\# \ T \rangle$   
**shows**  $\langle \text{atm-of } L \in \text{atm-of } ' \text{ lits-of-}l \ M \rangle$   
 $\langle \text{proof} \rangle$

**lemma** *true-annots-CNot-all-uminus-atms-defined*:

**assumes**  $\langle M \models_{as} \text{CNot } T \rangle$  **and**  $a1: \langle \neg L \in\# \ T \rangle$   
**shows**  $\langle \text{atm-of } L \in \text{atm-of } ' \text{ lits-of-}l \ M \rangle$   
 $\langle \text{proof} \rangle$

**lemma** *true-cls-cls-false-left-right*:

**assumes**  $\langle \{\{\#L\#\}\} \cup B \models_p \{\#\} \rangle$   
**shows**  $\langle B \models_{ps} \text{CNot } \{\#L\#\} \rangle$   
 $\langle \text{proof} \rangle$

**lemma** *true-annots-true-cls-def-iff-negation-in-model*:

$\langle M \models_{as} \text{CNot } C \longleftrightarrow (\forall L \in\# \ C. \neg L \in \text{lits-of-}l \ M) \rangle$   
 $\langle \text{proof} \rangle$

**lemma** *true-cls-def-iff-negation-in-model*:

$\langle M \models_s \text{CNot } C \longleftrightarrow (\forall l \in\# \ C. \neg l \in M) \rangle$   
 $\langle \text{proof} \rangle$

**lemma** *true-annots-CNot-definedD*:

$\langle M \models_{as} \text{CNot } C \implies \forall L \in\# \ C. \text{defined-lit } M \ L \rangle$   
 $\langle \text{proof} \rangle$

**lemma** *true-annot-CNot-diff*:

$\langle I \models_{as} \text{CNot } C \implies I \models_{as} \text{CNot } (C - C') \rangle$   
 $\langle \text{proof} \rangle$

**lemma** *CNot-mset-replicate[simp]*:

$\langle CNot (mset (replicate n L)) = (if\ n = 0\ then\ \{\}\ else\ \{\{\#-L\#\}\}) \rangle$   
 $\langle proof \rangle$

**lemma** *consistent-CNot-not-tautology*:

$\langle consistent\_interp\ M \implies M \models_s CNot\ D \implies \neg tautology\ D \rangle$   
 $\langle proof \rangle$

**lemma** *atms-of-ms-CNot-atms-of-ms*:  $\langle atms\_of\_ms\ (CNot\ CC) = atms\_of\_ms\ \{CC\} \rangle$

$\langle proof \rangle$

**lemma** *total-over-m-CNot-toal-over-m[simp]*:

$\langle total\_over\_m\ I\ (CNot\ C) = total\_over\_set\ I\ (atms\_of\ C) \rangle$   
 $\langle proof \rangle$

**lemma** *true-clss-clss-plus-CNot*:

**assumes**

$CC-L$ :  $\langle A \models_p add\_mset\ L\ CC \rangle$  **and**

$CNot-CC$ :  $\langle A \models_{ps} CNot\ CC \rangle$

**shows**  $\langle A \models_p \{\#L\#\} \rangle$

$\langle proof \rangle$

**lemma** *true-annots-CNot-lit-of-notin-skip*:

**assumes**  $LM$ :  $\langle L \# M \models_{as} CNot\ A \rangle$  **and**  $LA$ :  $\langle lit\_of\ L \notin \# A \rangle \langle \neg lit\_of\ L \notin \# A \rangle$

**shows**  $\langle M \models_{as} CNot\ A \rangle$

$\langle proof \rangle$

**lemma** *true-clss-clss-union-false-true-clss-clss-cnot*:

$\langle A \cup \{B\} \models_{ps} \{\{\#\}\} \longleftrightarrow A \models_{ps} CNot\ B \rangle$

$\langle proof \rangle$

**lemma** *true-annot-remove-hd-if-notin-vars*:

**assumes**  $\langle a \# M' \models_a D \rangle$  **and**  $\langle atm\_of\ (lit\_of\ a) \notin atms\_of\ D \rangle$

**shows**  $\langle M' \models_a D \rangle$

$\langle proof \rangle$

**lemma** *true-annot-remove-if-notin-vars*:

**assumes**  $\langle M @ M' \models_a D \rangle$  **and**  $\langle \forall x \in atms\_of\ D. x \notin atm\_of\ ' lits\_of\_l\ M \rangle$

**shows**  $\langle M' \models_a D \rangle$

$\langle proof \rangle$

**lemma** *true-annots-remove-if-notin-vars*:

**assumes**  $\langle M @ M' \models_{as} D \rangle$  **and**  $\langle \forall x \in atms\_of\_ms\ D. x \notin atm\_of\ ' lits\_of\_l\ M \rangle$

**shows**  $\langle M' \models_{as} D \rangle$   $\langle proof \rangle$

**lemma** *all-variables-defined-not-imply-cnot*:

**assumes**

$\langle \forall s \in atms\_of\_ms\ \{B\}. s \in atm\_of\ ' lits\_of\_l\ A \rangle$  **and**

$\langle \neg A \models_a B \rangle$

**shows**  $\langle A \models_{as} CNot\ B \rangle$

$\langle proof \rangle$

**lemma** *CNot-union-mset[simp]*:

$\langle CNot\ (A \cup \# B) = CNot\ A \cup CNot\ B \rangle$

$\langle proof \rangle$

**lemma** *true-clss-clss-true-clss-clss-true-clss-clss*:

**assumes**  
 $\langle A \models_{ps} \text{unmark-}l\ M \rangle$  **and**  $\langle M \models_{as} D \rangle$   
**shows**  $\langle A \models_{ps} D \rangle$   
 $\langle \text{proof} \rangle$

**lemma** *true-clss-clss-CNot-true-clss-clss-unsatisfiable:*

**assumes**  $\langle A \models_{ps} C\text{Not}\ D \rangle$  **and**  $\langle A \models_p D \rangle$   
**shows**  $\langle \text{unsatisfiable}\ A \rangle$   
 $\langle \text{proof} \rangle$

**lemma** *true-clss-clss-neg:*

$\langle N \models_p I \longleftrightarrow N \cup (\lambda L. \{\# - L\# \})\ \text{'set-mset}\ I \models_p \{\#\} \rangle$   
 $\langle \text{proof} \rangle$

**lemma** *all-decomposition-implies-conflict-DECO-clause:*

**assumes**  $\langle \text{all-decomposition-implies}\ N\ (\text{get-all-ann-decomposition}\ M) \rangle$  **and**  
 $\langle M \models_{as} C\text{Not}\ C \rangle$  **and**  
 $\langle C \in N \rangle$   
**shows**  $\langle N \models_p (\text{uminus}\ o\ \text{lit-of})\ \text{'}\#\ (\text{filter-mset is-decided}\ (\text{mset}\ M)) \rangle$   
 $\langle \text{is}\ \langle ?I \models_p\ ?A \rangle \rangle$   
 $\langle \text{proof} \rangle$

### 1.2.5 Other

**definition**  $\langle \text{no-dup}\ L \equiv \text{distinct}\ (\text{map}\ (\lambda l. \text{atm-of}\ (\text{lit-of}\ l))\ L) \rangle$

**lemma** *no-dup-nil[simp]:*

$\langle \text{no-dup}\ [] \rangle$   
 $\langle \text{proof} \rangle$

**lemma** *no-dup-cons[simp]:*

$\langle \text{no-dup}\ (L \# M) \longleftrightarrow \text{undefined-lit}\ M\ (\text{lit-of}\ L) \wedge \text{no-dup}\ M \rangle$   
 $\langle \text{proof} \rangle$

**lemma** *no-dup-append-cons[iff]:*

$\langle \text{no-dup}\ (M @ L \# M') \longleftrightarrow \text{undefined-lit}\ (M @ M')\ (\text{lit-of}\ L) \wedge \text{no-dup}\ (M @ M') \rangle$   
 $\langle \text{proof} \rangle$

**lemma** *no-dup-append-append-cons[iff]:*

$\langle \text{no-dup}\ (M0 @ M @ L \# M') \longleftrightarrow \text{undefined-lit}\ (M0 @ M @ M')\ (\text{lit-of}\ L) \wedge \text{no-dup}\ (M0 @ M @ M') \rangle$   
 $\langle \text{proof} \rangle$

**lemma** *no-dup-rev[simp]:*

$\langle \text{no-dup}\ (\text{rev}\ M) \longleftrightarrow \text{no-dup}\ M \rangle$   
 $\langle \text{proof} \rangle$

**lemma** *no-dup-appendD:*

$\langle \text{no-dup}\ (a @ b) \implies \text{no-dup}\ b \rangle$   
 $\langle \text{proof} \rangle$

**lemma** *no-dup-appendD1:*

$\langle \text{no-dup}\ (a @ b) \implies \text{no-dup}\ a \rangle$   
 $\langle \text{proof} \rangle$

**lemma** *no-dup-length-eq-card-atm-of-lits-of-l:*

**assumes**  $\langle \text{no-dup } M \rangle$   
**shows**  $\langle \text{length } M = \text{card } (\text{atm-of } \text{' } \text{ lits-of-l } M) \rangle$   
 $\langle \text{proof} \rangle$

**lemma** *distinct-consistent-interp*:  
 $\langle \text{no-dup } M \implies \text{consistent-interp } (\text{lits-of-l } M) \rangle$   
 $\langle \text{proof} \rangle$

**lemma** *same-mset-no-dup-iff*:  
 $\langle \text{mset } M = \text{mset } M' \implies \text{no-dup } M \longleftrightarrow \text{no-dup } M' \rangle$   
 $\langle \text{proof} \rangle$

**lemma** *distinct-get-all-ann-decomposition-no-dup*:  
**assumes**  $\langle (a, b) \in \text{set } (\text{get-all-ann-decomposition } M) \rangle$   
**and**  $\langle \text{no-dup } M \rangle$   
**shows**  $\langle \text{no-dup } (a @ b) \rangle$   
 $\langle \text{proof} \rangle$

**lemma** *true-annots-lit-of-notin-skip*:  
**assumes**  $\langle L \# M \models_{\text{as}} \text{CNot } A \rangle$   
**and**  $\langle \neg \text{lit-of } L \notin \# A \rangle$   
**and**  $\langle \text{no-dup } (L \# M) \rangle$   
**shows**  $\langle M \models_{\text{as}} \text{CNot } A \rangle$   
 $\langle \text{proof} \rangle$

**lemma** *no-dup-imp-distinct*:  $\langle \text{no-dup } M \implies \text{distinct } M \rangle$   
 $\langle \text{proof} \rangle$

**lemma** *no-dup-tlD*:  $\langle \text{no-dup } a \implies \text{no-dup } (\text{tl } a) \rangle$   
 $\langle \text{proof} \rangle$

**lemma** *defined-lit-no-dupD*:  
 $\langle \text{defined-lit } M1 \ L \implies \text{no-dup } (M2 @ M1) \implies \text{undefined-lit } M2 \ L \rangle$   
 $\langle \text{defined-lit } M1 \ L \implies \text{no-dup } (M2' @ M2 @ M1) \implies \text{undefined-lit } M2' \ L \rangle$   
 $\langle \text{defined-lit } M1 \ L \implies \text{no-dup } (M2' @ M2 @ M1) \implies \text{undefined-lit } M2 \ L \rangle$   
 $\langle \text{proof} \rangle$

**lemma** *no-dup-consistentD*:  
 $\langle \text{no-dup } M \implies L \in \text{lits-of-l } M \implies \neg L \notin \text{lits-of-l } M \rangle$   
 $\langle \text{proof} \rangle$

**lemma** *no-dup-not-tautology*:  $\langle \text{no-dup } M \implies \neg \text{tautology } (\text{image-mset lit-of } (\text{mset } M)) \rangle$   
 $\langle \text{proof} \rangle$

**lemma** *no-dup-distinct*:  $\langle \text{no-dup } M \implies \text{distinct-mset } (\text{image-mset lit-of } (\text{mset } M)) \rangle$   
 $\langle \text{proof} \rangle$

**lemma** *no-dup-not-tautology-uminus*:  $\langle \text{no-dup } M \implies \neg \text{tautology } \{ \# \neg \text{lit-of } L. L \in \# \text{mset } M \# \} \rangle$   
 $\langle \text{proof} \rangle$

**lemma** *no-dup-distinct-uminus*:  $\langle \text{no-dup } M \implies \text{distinct-mset } \{ \# \neg \text{lit-of } L. L \in \# \text{mset } M \# \} \rangle$   
 $\langle \text{proof} \rangle$

**lemma** *no-dup-map-lit-of*:  $\langle \text{no-dup } M \implies \text{distinct } (\text{map lit-of } M) \rangle$   
 $\langle \text{proof} \rangle$

**lemma** *no-dup-alt-def*:

$\langle \text{no-dup } M \longleftrightarrow \text{distinct-mset } \{\# \text{atm-of } (\text{lit-of } x). x \in \# \text{ mset } M \# \} \rangle$   
 $\langle \text{proof} \rangle$

**lemma** *no-dup-append-in-atm-notin*:

**assumes**  $\langle \text{no-dup } (M @ M') \rangle$  **and**  $\langle L \in \text{lits-of-l } M' \rangle$   
**shows**  $\langle \text{undefined-lit } M L \rangle$   
 $\langle \text{proof} \rangle$

**lemma** *no-dup-uminus-append-in-atm-notin*:

**assumes**  $\langle \text{no-dup } (M @ M') \rangle$  **and**  $\langle -L \in \text{lits-of-l } M' \rangle$   
**shows**  $\langle \text{undefined-lit } M L \rangle$   
 $\langle \text{proof} \rangle$

## 1.2.6 Extending Entailments to multisets

We have defined previous entailment with respect to sets, but we also need a multiset version depending on the context. The conversion is simple using the function *set-mset* (in this direction, there is no loss of information).

**abbreviation** *true-annots-mset* (**infix**  $\models_{asm}$  50) **where**

$\langle I \models_{asm} C \equiv I \models_{as} (\text{set-mset } C) \rangle$

**abbreviation** *true-clss-clss-m* ::  $\langle 'v \text{ clause multiset} \Rightarrow 'v \text{ clause multiset} \Rightarrow \text{bool} \rangle$  (**infix**  $\models_{psm}$  50)

**where**

$\langle I \models_{psm} C \equiv \text{set-mset } I \models_{ps} (\text{set-mset } C) \rangle$

Analog of theorem *true-clss-clss-subsetE*

**lemma** *true-clss-clssm-subsetE*:  $\langle N \models_{psm} B \Longrightarrow A \subseteq \# B \Longrightarrow N \models_{psm} A \rangle$

$\langle \text{proof} \rangle$

**abbreviation** *true-clss-clss-m* ::  $\langle 'a \text{ clause multiset} \Rightarrow 'a \text{ clause} \Rightarrow \text{bool} \rangle$  (**infix**  $\models_{pm}$  50) **where**

$\langle I \models_{pm} C \equiv \text{set-mset } I \models_p C \rangle$

**abbreviation** *distinct-mset-mset* ::  $\langle 'a \text{ multiset multiset} \Rightarrow \text{bool} \rangle$  **where**

$\langle \text{distinct-mset-mset } \Sigma \equiv \text{distinct-mset-set } (\text{set-mset } \Sigma) \rangle$

**abbreviation** *all-decomposition-implies-m* **where**

$\langle \text{all-decomposition-implies-m } A B \equiv \text{all-decomposition-implies } (\text{set-mset } A) B \rangle$

**abbreviation** *atms-of-mm* ::  $\langle 'a \text{ clause multiset} \Rightarrow 'a \text{ set} \rangle$  **where**

$\langle \text{atms-of-mm } U \equiv \text{atms-of-ms } (\text{set-mset } U) \rangle$

Other definition using  $\bigcup \#$

**lemma** *atms-of-mm-alt-def*:  $\langle \text{atms-of-mm } U = \text{set-mset } (\bigcup \# (\text{image-mset } (\text{image-mset } \text{atm-of}) U)) \rangle$

$\langle \text{proof} \rangle$

**abbreviation** *true-clss-m* ::  $\langle 'a \text{ partial-interp} \Rightarrow 'a \text{ clause multiset} \Rightarrow \text{bool} \rangle$  (**infix**  $\models_{sm}$  50) **where**

$\langle I \models_{sm} C \equiv I \models_s \text{set-mset } C \rangle$

**abbreviation** *true-clss-ext-m* (**infix**  $\models_{sextm}$  49) **where**

$\langle I \models_{sextm} C \equiv I \models_{sext} \text{set-mset } C \rangle$

**lemma** *true-clss-clss-cong-set-mset*:

$\langle N \models_{pm} D \Longrightarrow \text{set-mset } D = \text{set-mset } D' \Longrightarrow N \models_{pm} D' \rangle$

$\langle \text{proof} \rangle$

### 1.2.7 More Lemmas

**lemma** *no-dup-cannot-not-lit-and-uminus*:

$\langle \text{no-dup } M \implies - \text{ lit-of } xa = \text{ lit-of } x \implies x \in \text{set } M \implies xa \notin \text{set } M \rangle$   
 $\langle \text{proof} \rangle$

**lemma** *atms-of-ms-single-atm-of[simp]*:

$\langle \text{atms-of-ms } \{\text{unmark } L \mid L. P L\} = \text{atm-of } \{ \text{lit-of } L \mid L. P L \} \rangle$   
 $\langle \text{proof} \rangle$

**lemma** *true-clss-mset-restrict*:

$\langle \{L \in I. \text{atm-of } L \in \text{atms-of-mm } N\} \models_m N \longleftrightarrow I \models_m N \rangle$   
 $\langle \text{proof} \rangle$

**lemma** *true-clss-restrict*:

$\langle \{L \in I. \text{atm-of } L \in \text{atms-of-mm } N\} \models_{sm} N \longleftrightarrow I \models_{sm} N \rangle$   
 $\langle \text{proof} \rangle$

**lemma** *total-over-m-atms-incl*:

**assumes**  $\langle \text{total-over-m } M \text{ (set-mset } N) \rangle$   
**shows**  
 $\langle x \in \text{atms-of-mm } N \implies x \in \text{atms-of-s } M \rangle$   
 $\langle \text{proof} \rangle$

**lemma** *true-clss-restrict-iff*:

**assumes**  $\langle \neg \text{tautology } \chi \rangle$   
**shows**  $\langle N \models_p \chi \longleftrightarrow N \models_p \{ \#L \in \# \chi. \text{atm-of } L \in \text{atms-of-ms } N \# \} \rangle$  (**is**  $\langle ?A \longleftrightarrow ?B \rangle$ )  
 $\langle \text{proof} \rangle$

### 1.2.8 Negation of annotated clauses

**definition** *negate-ann-lits* ::  $\langle ('v \text{ literal}, 'v \text{ literal}, 'mark) \text{ annotated-lits} \Rightarrow 'v \text{ literal multiset} \rangle$  **where**  
 $\langle \text{negate-ann-lits } M = (\lambda L. - \text{lit-of } L) \text{ `# mset } M \rangle$

**lemma** *negate-ann-lits-empty[simp]*:  $\langle \text{negate-ann-lits } [] = \{ \# \} \rangle$   
 $\langle \text{proof} \rangle$

**lemma** *entails-CNot-negate-ann-lits*:

$\langle M \models_{as} C \text{Not } D \longleftrightarrow \text{set-mset } D \subseteq \text{set-mset } (\text{negate-ann-lits } M) \rangle$   
 $\langle \text{proof} \rangle$

Pointwise negation of a clause:

**definition** *pNeg* ::  $\langle 'v \text{ clause} \Rightarrow 'v \text{ clause} \rangle$  **where**

$\langle pNeg C = \{ \# - D. D \in \# C \# \} \rangle$

**lemma** *pNeg-simps*:

$\langle pNeg (\text{add-mset } A C) = \text{add-mset } (-A) (pNeg C) \rangle$   
 $\langle pNeg (C + D) = pNeg C + pNeg D \rangle$   
 $\langle \text{proof} \rangle$

**lemma** *atms-of-pNeg[simp]*:  $\langle \text{atms-of } (pNeg C) = \text{atms-of } C \rangle$

$\langle \text{proof} \rangle$

**lemma** *negate-ann-lits-pNeg-lit-of*:  $\langle \text{negate-ann-lits} = pNeg \circ \text{image-mset lit-of} \circ \text{mset} \rangle$

$\langle \text{proof} \rangle$



**lemma** *negate-ann-lits-empty-iff*:  $\langle \text{negate-ann-lits } M \neq \{\#\} \longleftrightarrow M \neq [] \rangle$   
 $\langle \text{proof} \rangle$

**lemma** *atms-of-negate-ann-lits[simp]*:  $\langle \text{atms-of } (\text{negate-ann-lits } M) = \text{atm-of } '(\text{lits-of-l } M) \rangle$   
 $\langle \text{proof} \rangle$

**lemma** *tautology-pNeg[simp]*:  
 $\langle \text{tautology } (p\text{Neg } C) \longleftrightarrow \text{tautology } C \rangle$   
 $\langle \text{proof} \rangle$

**lemma** *pNeg-convolution[simp]*:  
 $\langle p\text{Neg } (p\text{Neg } C) = C \rangle$   
 $\langle \text{proof} \rangle$

**lemma** *pNeg-minus[simp]*:  $\langle p\text{Neg } (A - B) = p\text{Neg } A - p\text{Neg } B \rangle$   
 $\langle \text{proof} \rangle$

**lemma** *pNeg-empty[simp]*:  $\langle p\text{Neg } \{\#\} = \{\#\} \rangle$   
 $\langle \text{proof} \rangle$

**lemma** *pNeg-replicate-mset[simp]*:  $\langle p\text{Neg } (\text{replicate-mset } n \ L) = \text{replicate-mset } n \ (-L) \rangle$   
 $\langle \text{proof} \rangle$

**lemma** *distinct-mset-pNeg-iff[iff]*:  $\langle \text{distinct-mset } (p\text{Neg } x) \longleftrightarrow \text{distinct-mset } x \rangle$   
 $\langle \text{proof} \rangle$

**lemma** *pNeg-simple-clss-iff[simp]*:  
 $\langle p\text{Neg } M \in \text{simple-clss } N \longleftrightarrow M \in \text{simple-clss } N \rangle$   
 $\langle \text{proof} \rangle$

**lemma** *atms-of-ms-pNeg[simp]*:  $\langle \text{atms-of-ms } (p\text{Neg } 'N) = \text{atms-of-ms } N \rangle$   
 $\langle \text{proof} \rangle$

**definition** *DECO-clause* ::  $\langle ('v, 'a) \text{ ann-lits} \Rightarrow 'v \text{ clause} \rangle$  **where**  
 $\langle \text{DECO-clause } M = (\text{uminus } o \text{ lit-of}) \ ' \# (\text{filter-mset is-decided } (\text{mset } M)) \rangle$

**lemma**  
*DECO-clause-cons-Decide[simp]*:  
 $\langle \text{DECO-clause } (\text{Decided } L \ \# \ M) = \text{add-mset } (-L) (\text{DECO-clause } M) \rangle$  **and**  
*DECO-clause-cons-Proped[simp]*:  
 $\langle \text{DECO-clause } (\text{Propagated } L \ C \ \# \ M) = \text{DECO-clause } M \rangle$   
 $\langle \text{proof} \rangle$

**lemma** *no-dup-distinct-mset[intro!]*:  
**assumes** *n-d*:  $\langle \text{no-dup } M \rangle$   
**shows**  $\langle \text{distinct-mset } (\text{negate-ann-lits } M) \rangle$   
 $\langle \text{proof} \rangle$

**lemma** *in-negate-trial-iff*:  $\langle L \in \# \text{ negate-ann-lits } M \longleftrightarrow - \ L \in \text{lits-of-l } M \rangle$   
 $\langle \text{proof} \rangle$

**lemma** *negate-ann-lits-cons[simp]*:  
 $\langle \text{negate-ann-lits } (L \ \# \ M) = \text{add-mset } (- \text{ lit-of } L) (\text{negate-ann-lits } M) \rangle$   
 $\langle \text{proof} \rangle$

**lemma** *uminus-simple-clss-iff[simp]*:  
 $\langle \text{uminus } \# M \in \text{simple-clss } N \longleftrightarrow M \in \text{simple-clss } N \rangle$   
 $\langle \text{proof} \rangle$

**lemma** *pNeg-mono*:  $\langle C \subseteq \# C' \implies \text{pNeg } C \subseteq \# \text{pNeg } C' \rangle$   
 $\langle \text{proof} \rangle$

**end**

**theory** *Partial-And-Total-Herbrand-Interpretation*

**imports** *Partial-Herbrand-Interpretation*

*Ordered-Resolution-Prover.Herbrand-Interpretation*

**begin**

### 1.3 Bridging of total and partial Herbrand interpretation

This theory has mostly be written as a sanity check between the two entailment notion.

**definition** *partial-model-of* ::  $\langle 'a \text{ interp} \Rightarrow 'a \text{ partial-interp} \rangle$  **where**  
 $\langle \text{partial-model-of } I = \text{Pos } \{ I \cup \text{Neg } \{ x. x \notin I \} \} \rangle$

**definition** *total-model-of* ::  $\langle 'a \text{ partial-interp} \Rightarrow 'a \text{ interp} \rangle$  **where**  
 $\langle \text{total-model-of } I = \{ x. \text{Pos } x \in I \} \rangle$

**lemma** *total-over-set-partial-model-of*:  
 $\langle \text{total-over-set } (\text{partial-model-of } I) \text{ UNIV} \rangle$   
 $\langle \text{proof} \rangle$

**lemma** *consistent-interp-partial-model-of*:  
 $\langle \text{consistent-interp } (\text{partial-model-of } I) \rangle$   
 $\langle \text{proof} \rangle$

**lemma** *consistent-interp-alt-def*:  
 $\langle \text{consistent-interp } I \longleftrightarrow (\forall L. \neg(\text{Pos } L \in I \wedge \text{Neg } L \in I)) \rangle$   
 $\langle \text{proof} \rangle$

**context**

**fixes**  $I :: \langle 'a \text{ partial-interp} \rangle$

**assumes** *cons*:  $\langle \text{consistent-interp } I \rangle$

**begin**

**lemma** *partial-implies-total-true-clss-total-model-of*:  
**assumes**  $\langle \text{Partial-Herbrand-Interpretation.true-clss } I \ C \rangle$   
**shows**  $\langle \text{Herbrand-Interpretation.true-clss } (\text{total-model-of } I) \ C \rangle$   
 $\langle \text{proof} \rangle$

**lemma** *total-implies-partial-true-clss-total-model-of*:  
**assumes**  $\langle \text{Herbrand-Interpretation.true-clss } (\text{total-model-of } I) \ C \rangle$  **and**  
 $\langle \text{total-over-set } I \ (\text{atms-of } C) \rangle$   
**shows**  $\langle \text{Partial-Herbrand-Interpretation.true-clss } I \ C \rangle$   
 $\langle \text{proof} \rangle$

**lemma** *partial-implies-total-true-clss-total-model-of*:  
**assumes**  $\langle \text{Partial-Herbrand-Interpretation.true-clss } I \ C \rangle$

```

shows  $\langle \text{Herbrand-Interpretation.true-clss } (\text{total-model-of } I) \ C \rangle$ 
 $\langle \text{proof} \rangle$ 

lemma total-implies-partial-true-clss-total-model-of:
assumes  $\langle \text{Herbrand-Interpretation.true-clss } (\text{total-model-of } I) \ C \rangle$  and
 $\langle \text{total-over-m } I \ C \rangle$ 
shows  $\langle \text{Partial-Herbrand-Interpretation.true-clss } I \ C \rangle$ 
 $\langle \text{proof} \rangle$ 

end

lemma total-implies-partial-true-clss-partial-model-of:
assumes  $\langle \text{Herbrand-Interpretation.true-clss } I \ C \rangle$ 
shows  $\langle \text{Partial-Herbrand-Interpretation.true-clss } (\text{partial-model-of } I) \ C \rangle$ 
 $\langle \text{proof} \rangle$ 

lemma total-implies-partial-true-clss-partial-model-of:
assumes  $\langle \text{Herbrand-Interpretation.true-clss } I \ C \rangle$ 
shows  $\langle \text{Partial-Herbrand-Interpretation.true-clss } (\text{partial-model-of } I) \ C \rangle$ 
 $\langle \text{proof} \rangle$ 

lemma partial-total-satisfiable-iff:
 $\langle \text{Partial-Herbrand-Interpretation.satisfiable } N \longleftrightarrow \text{Herbrand-Interpretation.satisfiable } N \rangle$ 
 $\langle \text{proof} \rangle$ 

end
theory Prop-Logic
imports Main
begin

```



## Chapter 2

# Normalisation

We define here the normalisation from formula towards conjunctive and disjunctive normal form, including normalisation towards multiset of multisets to represent CNF.

### 2.1 Logics

In this section we define the syntax of the formula and an abstraction over it to have simpler proofs. After that we define some properties like subformula and rewriting.

#### 2.1.1 Definition and Abstraction

The propositional logic is defined inductively. The type parameter is the type of the variables.

**datatype**  $'v \text{ propo} =$   
 $FT \mid FF \mid FVar\ 'v \mid FNot\ 'v \text{ propo} \mid FAnd\ 'v \text{ propo}\ 'v \text{ propo} \mid FOr\ 'v \text{ propo}\ 'v \text{ propo}$   
 $\mid FImp\ 'v \text{ propo}\ 'v \text{ propo} \mid FEq\ 'v \text{ propo}\ 'v \text{ propo}$

We do not define any notation for the formula, to distinguish properly between the formulas and Isabelle's logic.

To ease the proofs, we will write the the formula on a homogeneous manner, namely a connecting argument and a list of arguments.

**datatype**  $'v \text{ connective} = CT \mid CF \mid CVar\ 'v \mid CNot \mid CAnd \mid COr \mid CImp \mid CEq$

**abbreviation**  $nullary\text{-}connective \equiv \{CF\} \cup \{CT\} \cup \{CVar\ x \mid x. True\}$

**definition**  $binary\text{-}connectives \equiv \{CAnd, COr, CImp, CEq\}$

We define our own induction principal: instead of distinguishing every constructor, we group them by arity.

**lemma**  $propo\text{-}induct\text{-}arity[case\text{-}names\ nullary\ unary\ binary]:$

**fixes**  $\varphi\ \psi :: 'v \text{ propo}$   
**assumes**  $nullary: \bigwedge \varphi\ x. \varphi = FF \vee \varphi = FT \vee \varphi = FVar\ x \implies P\ \varphi$   
**and**  $unary: \bigwedge \psi. P\ \psi \implies P\ (FNot\ \psi)$   
**and**  $binary: \bigwedge \varphi\ \psi1\ \psi2. P\ \psi1 \implies P\ \psi2 \implies \varphi = FAnd\ \psi1\ \psi2 \vee \varphi = FOr\ \psi1\ \psi2 \vee \varphi = FImp\ \psi1\ \psi2$   
 $\vee \varphi = FEq\ \psi1\ \psi2 \implies P\ \varphi$   
**shows**  $P\ \psi$   
 $\langle proof \rangle$

The function *conn* is the interpretation of our representation (connective and list of arguments). We define any thing that has no sense to be false

```
fun conn :: 'v connective  $\Rightarrow$  'v propo list  $\Rightarrow$  'v propo where
conn CT [] = FT |
conn CF [] = FF |
conn (CVar v) [] = FVar v |
conn CNot [ $\varphi$ ] = FNot  $\varphi$  |
conn CAnd ( $\varphi$  # [ $\psi$ ]) = FAnd  $\varphi$   $\psi$  |
conn COr ( $\varphi$  # [ $\psi$ ]) = FOr  $\varphi$   $\psi$  |
conn CImp ( $\varphi$  # [ $\psi$ ]) = FImp  $\varphi$   $\psi$  |
conn CEq ( $\varphi$  # [ $\psi$ ]) = FEq  $\varphi$   $\psi$  |
conn - - = FF
```

We will often use case distinction, based on the arity of the '*v connective*, thus we define our own splitting principle.

```
lemma connective-cases-arity[case-names nullary binary unary]:
assumes nullary:  $\bigwedge x. c = CT \vee c = CF \vee c = CVar x \implies P$ 
and binary:  $c \in \text{binary-connectives} \implies P$ 
and unary:  $c = CNot \implies P$ 
shows P
<proof>
```

```
lemma connective-cases-arity-2[case-names nullary unary binary]:
assumes nullary:  $c \in \text{nullary-connective} \implies P$ 
and unary:  $c = CNot \implies P$ 
and binary:  $c \in \text{binary-connectives} \implies P$ 
shows P
<proof>
```

Our previous definition is not necessary correct (connective and list of arguments), so we define an inductive predicate.

```
inductive wf-conn :: 'v connective  $\Rightarrow$  'v propo list  $\Rightarrow$  bool for c :: 'v connective where
wf-conn-nullary[simp]:  $(c = CT \vee c = CF \vee c = CVar v) \implies \text{wf-conn } c []$  |
wf-conn-unary[simp]:  $c = CNot \implies \text{wf-conn } c [\psi]$  |
wf-conn-binary[simp]:  $c \in \text{binary-connectives} \implies \text{wf-conn } c (\psi \# \psi' \# [])$ 
thm wf-conn.induct
```

```
lemma wf-conn-induct[consumes 1, case-names CT CF CVar CNot COr CAnd CImp CEq]:
assumes wf-conn c x and
 $\bigwedge v. c = CT \implies P []$  and
 $\bigwedge v. c = CF \implies P []$  and
 $\bigwedge v. c = CVar v \implies P []$  and
 $\bigwedge \psi. c = CNot \implies P [\psi]$  and
 $\bigwedge \psi \psi'. c = COr \implies P [\psi, \psi']$  and
 $\bigwedge \psi \psi'. c = CAnd \implies P [\psi, \psi']$  and
 $\bigwedge \psi \psi'. c = CImp \implies P [\psi, \psi']$  and
 $\bigwedge \psi \psi'. c = CEq \implies P [\psi, \psi']$ 
shows P x
<proof>
```

## 2.1.2 Properties of the Abstraction

First we can define simplification rules.

```
lemma wf-conn-conn[simp]:
```

$wf\text{-}conn\ CT\ l \implies conn\ CT\ l = FT$   
 $wf\text{-}conn\ CF\ l \implies conn\ CF\ l = FF$   
 $wf\text{-}conn\ (CVar\ x)\ l \implies conn\ (CVar\ x)\ l = FVar\ x$   
 $\langle proof \rangle$

**lemma** *wf-conn-list-decomp[simp]*:

$wf\text{-}conn\ CT\ l \longleftrightarrow l = []$   
 $wf\text{-}conn\ CF\ l \longleftrightarrow l = []$   
 $wf\text{-}conn\ (CVar\ x)\ l \longleftrightarrow l = []$   
 $wf\text{-}conn\ CNot\ (\xi\ @\ \varphi\ \# \ \xi') \longleftrightarrow \xi = [] \wedge \xi' = []$   
 $\langle proof \rangle$

**lemma** *wf-conn-list*:

$wf\text{-}conn\ c\ l \implies conn\ c\ l = FT \longleftrightarrow (c = CT \wedge l = [])$   
 $wf\text{-}conn\ c\ l \implies conn\ c\ l = FF \longleftrightarrow (c = CF \wedge l = [])$   
 $wf\text{-}conn\ c\ l \implies conn\ c\ l = FVar\ x \longleftrightarrow (c = CVar\ x \wedge l = [])$   
 $wf\text{-}conn\ c\ l \implies conn\ c\ l = FAnd\ a\ b \longleftrightarrow (c = CAnd \wedge l = a\ \# \ b\ \# \ [])$   
 $wf\text{-}conn\ c\ l \implies conn\ c\ l = FOr\ a\ b \longleftrightarrow (c = COr \wedge l = a\ \# \ b\ \# \ [])$   
 $wf\text{-}conn\ c\ l \implies conn\ c\ l = FEq\ a\ b \longleftrightarrow (c = CEq \wedge l = a\ \# \ b\ \# \ [])$   
 $wf\text{-}conn\ c\ l \implies conn\ c\ l = FImp\ a\ b \longleftrightarrow (c = CImp \wedge l = a\ \# \ b\ \# \ [])$   
 $wf\text{-}conn\ c\ l \implies conn\ c\ l = FNot\ a \longleftrightarrow (c = CNot \wedge l = a\ \# \ [])$   
 $\langle proof \rangle$

In the binary connective cases, we will often decompose the list of arguments (of length 2) into two elements.

**lemma** *list-length2-decomp*:  $length\ l = 2 \implies (\exists\ a\ b.\ l = a\ \# \ b\ \# \ [])$

$\langle proof \rangle$

*wf-conn* for binary operators means that there are two arguments.

**lemma** *wf-conn-bin-list-length*:

**fixes**  $l :: 'v\ propo\ list$   
**assumes**  $conn: c \in binary\text{-}connectives$   
**shows**  $length\ l = 2 \longleftrightarrow wf\text{-}conn\ c\ l$

$\langle proof \rangle$

**lemma** *wf-conn-not-list-length[iff]*:

**fixes**  $l :: 'v\ propo\ list$   
**shows**  $wf\text{-}conn\ CNot\ l \longleftrightarrow length\ l = 1$

$\langle proof \rangle$

Decomposing the Not into an element is moreover very useful.

**lemma** *wf-conn-Not-decomp*:

**fixes**  $l :: 'v\ propo\ list$  **and**  $a :: 'v$   
**assumes**  $corr: wf\text{-}conn\ CNot\ l$   
**shows**  $\exists\ a.\ l = [a]$

$\langle proof \rangle$

The *wf-conn* remains correct if the length of list does not change. This lemma is very useful when we do one rewriting step

**lemma** *wf-conn-no-arity-change*:

$length\ l = length\ l' \implies wf\text{-}conn\ c\ l \longleftrightarrow wf\text{-}conn\ c\ l'$

$\langle proof \rangle$

**lemma** *wf-conn-no-arity-change-helper*:  
 $\text{length } (\xi @ \varphi \# \xi') = \text{length } (\xi @ \varphi' \# \xi')$   
 $\langle \text{proof} \rangle$

The injectivity of *conn* is useful to prove equality of the connectives and the lists.

**lemma** *conn-inj-not*:  
**assumes** *correct*: *wf-conn* *c* *l*  
**and** *conn*: *conn* *c* *l* = *FNot*  $\psi$   
**shows** *c* = *CNot* **and** *l* = [ $\psi$ ]  
 $\langle \text{proof} \rangle$

**lemma** *conn-inj*:  
**fixes** *c* *ca* :: '*v* *connective* **and** *l*  $\psi$  s :: '*v* *propo* *list*  
**assumes** *corr*: *wf-conn* *ca* *l*  
**and** *corr'*: *wf-conn* *c*  $\psi$  s  
**and** *eq*: *conn* *ca* *l* = *conn* *c*  $\psi$  s  
**shows** *ca* = *c*  $\wedge$   $\psi$  s = *l*  
 $\langle \text{proof} \rangle$

### 2.1.3 Subformulas and Properties

A characterization using sub-formulas is interesting for rewriting: we will define our relation on the sub-term level, and then lift the rewriting on the term-level. So the rewriting takes place on a subformula.

**inductive** *subformula* :: '*v* *propo*  $\Rightarrow$  '*v* *propo*  $\Rightarrow$  *bool* (**infix**  $\preceq$  45) **for**  $\varphi$  **where**  
*subformula-refl[simp]*:  $\varphi \preceq \varphi$  |  
*subformula-into-subformula*:  $\psi \in \text{set } l \Rightarrow \text{wf-conn } c \ l \Rightarrow \varphi \preceq \psi \Rightarrow \varphi \preceq \text{conn } c \ l$

On the *subformula-into-subformula*, we can see why we use our *conn* representation: one case is enough to express the subformulas property instead of listing all the cases.

This is an example of a property related to subformulas.

**lemma** *subformula-in-subformula-not*:  
**shows** *b*: *FNot*  $\varphi \preceq \psi \Rightarrow \varphi \preceq \psi$   
 $\langle \text{proof} \rangle$

**lemma** *subformula-in-binary-conn*:  
**assumes** *conn*: *c*  $\in$  *binary-connectives*  
**shows**  $f \preceq \text{conn } c \ [f, g]$   
**and**  $g \preceq \text{conn } c \ [f, g]$   
 $\langle \text{proof} \rangle$

**lemma** *subformula-trans*:  
 $\psi \preceq \psi' \Rightarrow \varphi \preceq \psi \Rightarrow \varphi \preceq \psi'$   
 $\langle \text{proof} \rangle$

**lemma** *subformula-leaf*:  
**fixes**  $\varphi \ \psi$  :: '*v* *propo*  
**assumes** *incl*:  $\varphi \preceq \psi$   
**and** *simple*:  $\psi = FT \vee \psi = FF \vee \psi = FVar \ x$   
**shows**  $\varphi = \psi$   
 $\langle \text{proof} \rangle$

**lemma** *subformula-not-incl-eq*:



**assumes**  $\varphi \preceq \text{conn } c \ l$   
**and**  $\text{wf-conn } c \ l$   
**and**  $\forall \psi. \psi \in \text{set } l \longrightarrow \neg \varphi \preceq \psi$   
**shows**  $\varphi = \text{conn } c \ l$   
 $\langle \text{proof} \rangle$

**lemma** *wf-subformula-conn-cases*:

$\text{wf-conn } c \ l \implies \varphi \preceq \text{conn } c \ l \longleftrightarrow (\varphi = \text{conn } c \ l \vee (\exists \psi. \psi \in \text{set } l \wedge \varphi \preceq \psi))$   
 $\langle \text{proof} \rangle$

**lemma** *subformula-decomp-explicit[simp]*:

$\varphi \preceq \text{FAnd } \psi \ \psi' \longleftrightarrow (\varphi = \text{FAnd } \psi \ \psi' \vee \varphi \preceq \psi \vee \varphi \preceq \psi') \text{ (is ?P FAnd)}$   
 $\varphi \preceq \text{FOr } \psi \ \psi' \longleftrightarrow (\varphi = \text{FOr } \psi \ \psi' \vee \varphi \preceq \psi \vee \varphi \preceq \psi')$   
 $\varphi \preceq \text{FEq } \psi \ \psi' \longleftrightarrow (\varphi = \text{FEq } \psi \ \psi' \vee \varphi \preceq \psi \vee \varphi \preceq \psi')$   
 $\varphi \preceq \text{FImp } \psi \ \psi' \longleftrightarrow (\varphi = \text{FImp } \psi \ \psi' \vee \varphi \preceq \psi \vee \varphi \preceq \psi')$   
 $\langle \text{proof} \rangle$

**lemma** *wf-conn-helper-facts[iff]*:

$\text{wf-conn } \text{CNot } [\varphi]$   
 $\text{wf-conn } \text{CT } []$   
 $\text{wf-conn } \text{CF } []$   
 $\text{wf-conn } (\text{CVar } x) []$   
 $\text{wf-conn } \text{CAnd } [\varphi, \psi]$   
 $\text{wf-conn } \text{COr } [\varphi, \psi]$   
 $\text{wf-conn } \text{CImp } [\varphi, \psi]$   
 $\text{wf-conn } \text{CEq } [\varphi, \psi]$   
 $\langle \text{proof} \rangle$

**lemma** *exists-c-conn*:  $\exists \ c \ l. \varphi = \text{conn } c \ l \wedge \text{wf-conn } c \ l$

$\langle \text{proof} \rangle$

**lemma** *subformula-conn-decomp[simp]*:

**assumes**  $\text{wf: wf-conn } c \ l$   
**shows**  $\varphi \preceq \text{conn } c \ l \longleftrightarrow (\varphi = \text{conn } c \ l \vee (\exists \psi \in \text{set } l. \varphi \preceq \psi)) \text{ (is ?A } \longleftrightarrow ?B)$   
 $\langle \text{proof} \rangle$

**lemma** *subformula-leaf-explicit[simp]*:

$\varphi \preceq \text{FT} \longleftrightarrow \varphi = \text{FT}$   
 $\varphi \preceq \text{FF} \longleftrightarrow \varphi = \text{FF}$   
 $\varphi \preceq \text{FVar } x \longleftrightarrow \varphi = \text{FVar } x$   
 $\langle \text{proof} \rangle$

The variables inside the formula gives precisely the variables that are needed for the formula.

**primrec** *vars-of-prop*::  $'v \text{ propo} \Rightarrow 'v \text{ set}$  **where**

$\text{vars-of-prop } \text{FT} = \{\}$  |  
 $\text{vars-of-prop } \text{FF} = \{\}$  |  
 $\text{vars-of-prop } (\text{FVar } x) = \{x\}$  |  
 $\text{vars-of-prop } (\text{FNot } \varphi) = \text{vars-of-prop } \varphi$  |  
 $\text{vars-of-prop } (\text{FAnd } \varphi \ \psi) = \text{vars-of-prop } \varphi \cup \text{vars-of-prop } \psi$  |  
 $\text{vars-of-prop } (\text{FOr } \varphi \ \psi) = \text{vars-of-prop } \varphi \cup \text{vars-of-prop } \psi$  |  
 $\text{vars-of-prop } (\text{FImp } \varphi \ \psi) = \text{vars-of-prop } \varphi \cup \text{vars-of-prop } \psi$  |  
 $\text{vars-of-prop } (\text{FEq } \varphi \ \psi) = \text{vars-of-prop } \varphi \cup \text{vars-of-prop } \psi$

**lemma** *vars-of-prop-incl-conn*:

**fixes**  $\xi \ \xi' :: 'v \text{ propo list}$  **and**  $\psi :: 'v \text{ propo}$  **and**  $c :: 'v \text{ connective}$   
**assumes**  $\text{corr: wf-conn } c \ l$  **and**  $\text{incl: } \psi \in \text{set } l$

**shows**  $\text{vars-of-prop } \psi \subseteq \text{vars-of-prop } (\text{conn } c \ l)$   
 $\langle \text{proof} \rangle$

The set of variables is compatible with the subformula order.

**lemma** *subformula-vars-of-prop*:

$\varphi \preceq \psi \implies \text{vars-of-prop } \varphi \subseteq \text{vars-of-prop } \psi$   
 $\langle \text{proof} \rangle$

#### 2.1.4 Positions

Instead of 1 or 2 we use  $L$  or  $R$

**datatype**  $\text{sign} = L \mid R$

We use  $\text{nil}$  instead of  $\varepsilon$ .

**fun**  $\text{pos} :: 'v \text{ propo} \Rightarrow \text{sign list set}$  **where**

$\text{pos } FF = \{\square\} \mid$   
 $\text{pos } FT = \{\square\} \mid$   
 $\text{pos } (FVar \ x) = \{\square\} \mid$   
 $\text{pos } (FAnd \ \varphi \ \psi) = \{\square\} \cup \{L \ \# \ p \mid p. p \in \text{pos } \varphi\} \cup \{R \ \# \ p \mid p. p \in \text{pos } \psi\} \mid$   
 $\text{pos } (FOr \ \varphi \ \psi) = \{\square\} \cup \{L \ \# \ p \mid p. p \in \text{pos } \varphi\} \cup \{R \ \# \ p \mid p. p \in \text{pos } \psi\} \mid$   
 $\text{pos } (FEq \ \varphi \ \psi) = \{\square\} \cup \{L \ \# \ p \mid p. p \in \text{pos } \varphi\} \cup \{R \ \# \ p \mid p. p \in \text{pos } \psi\} \mid$   
 $\text{pos } (FImp \ \varphi \ \psi) = \{\square\} \cup \{L \ \# \ p \mid p. p \in \text{pos } \varphi\} \cup \{R \ \# \ p \mid p. p \in \text{pos } \psi\} \mid$   
 $\text{pos } (FNot \ \varphi) = \{\square\} \cup \{L \ \# \ p \mid p. p \in \text{pos } \varphi\}$

**lemma** *finite-pos*:  $\text{finite } (\text{pos } \varphi)$

$\langle \text{proof} \rangle$

**lemma** *finite-inj-comp-set*:

**fixes**  $s :: 'v \text{ set}$   
**assumes**  $\text{finite}: \text{finite } s$   
**and**  $\text{inj}: \text{inj } f$   
**shows**  $\text{card } (\{f \ p \mid p. p \in s\}) = \text{card } s$   
 $\langle \text{proof} \rangle$

**lemma** *cons-inject*:

$\text{inj } ((\#) \ s)$   
 $\langle \text{proof} \rangle$

**lemma** *finite-insert-nil-cons*:

$\text{finite } s \implies \text{card } (\text{insert } \square \ \{L \ \# \ p \mid p. p \in s\}) = 1 + \text{card } \{L \ \# \ p \mid p. p \in s\}$   
 $\langle \text{proof} \rangle$

**lemma** *card-not[simp]*:

$\text{card } (\text{pos } (FNot \ \varphi)) = 1 + \text{card } (\text{pos } \varphi)$   
 $\langle \text{proof} \rangle$

**lemma** *card-seperate*:

**assumes**  $\text{finite } s1$  **and**  $\text{finite } s2$   
**shows**  $\text{card } (\{L \ \# \ p \mid p. p \in s1\} \cup \{R \ \# \ p \mid p. p \in s2\}) = \text{card } (\{L \ \# \ p \mid p. p \in s1\})$   
 $+ \text{card } (\{R \ \# \ p \mid p. p \in s2\})$  (**is**  $\text{card } (?L \cup ?R) = \text{card } ?L + \text{card } ?R$ )  
 $\langle \text{proof} \rangle$

**definition** *prop-size* **where**  $\text{prop-size } \varphi = \text{card } (\text{pos } \varphi)$

**lemma** *prop-size-vars-of-prop*:

**fixes**  $\varphi :: 'v \text{ propo}$

**shows**  $\text{card } (\text{vars-of-prop } \varphi) \leq \text{prop-size } \varphi$

$\langle \text{proof} \rangle$

**value** *pos* (*FImp* (*FAnd* (*FVar* *P*) (*FVar* *Q*)) (*FOr* (*FVar* *P*) (*FVar* *Q*)))

**inductive** *path-to* :: *sign list*  $\Rightarrow$  *'v propo*  $\Rightarrow$  *'v propo*  $\Rightarrow$  *bool* **where**

*path-to-refl*[*intro*]: *path-to* []  $\varphi \varphi$  |

*path-to-l*:  $c \in \text{binary-connectives} \vee c = \text{CNot} \implies \text{wf-conn } c (\varphi \# l) \implies \text{path-to } p \varphi \varphi' \implies$

*path-to* (*L* # *p*) (*conn* *c* ( $\varphi \# l$ ))  $\varphi'$  |

*path-to-r*:  $c \in \text{binary-connectives} \implies \text{wf-conn } c (\psi \# \varphi \# []) \implies \text{path-to } p \varphi \varphi' \implies$

*path-to* (*R* # *p*) (*conn* *c* ( $\psi \# \varphi \# []$ ))  $\varphi'$

There is a deep link between subformulas and pathes: a (correct) path leads to a subformula and a subformula is associated to a given path.

**lemma** *path-to-subformula*:

*path-to* *p*  $\varphi \varphi' \implies \varphi' \preceq \varphi$

$\langle \text{proof} \rangle$

**lemma** *subformula-path-exists*:

**fixes**  $\varphi \varphi' :: 'v \text{ propo}$

**shows**  $\varphi' \preceq \varphi \implies \exists p. \text{path-to } p \varphi \varphi'$

$\langle \text{proof} \rangle$

**fun** *replace-at* :: *sign list*  $\Rightarrow$  *'v propo*  $\Rightarrow$  *'v propo*  $\Rightarrow$  *'v propo* **where**

*replace-at* [] -  $\psi = \psi$  |

*replace-at* (*L* # *l*) (*FAnd*  $\varphi \varphi'$ )  $\psi = \text{FAnd } (\text{replace-at } l \varphi \psi) \varphi'$  |

*replace-at* (*R* # *l*) (*FAnd*  $\varphi \varphi'$ )  $\psi = \text{FAnd } \varphi (\text{replace-at } l \varphi' \psi)$  |

*replace-at* (*L* # *l*) (*FOr*  $\varphi \varphi'$ )  $\psi = \text{FOr } (\text{replace-at } l \varphi \psi) \varphi'$  |

*replace-at* (*R* # *l*) (*FOr*  $\varphi \varphi'$ )  $\psi = \text{FOr } \varphi (\text{replace-at } l \varphi' \psi)$  |

*replace-at* (*L* # *l*) (*FEq*  $\varphi \varphi'$ )  $\psi = \text{FEq } (\text{replace-at } l \varphi \psi) \varphi'$  |

*replace-at* (*R* # *l*) (*FEq*  $\varphi \varphi'$ )  $\psi = \text{FEq } \varphi (\text{replace-at } l \varphi' \psi)$  |

*replace-at* (*L* # *l*) (*FImp*  $\varphi \varphi'$ )  $\psi = \text{FImp } (\text{replace-at } l \varphi \psi) \varphi'$  |

*replace-at* (*R* # *l*) (*FImp*  $\varphi \varphi'$ )  $\psi = \text{FImp } \varphi (\text{replace-at } l \varphi' \psi)$  |

*replace-at* (*L* # *l*) (*FNot*  $\varphi$ )  $\psi = \text{FNot } (\text{replace-at } l \varphi \psi)$

## 2.2 Semantics over the Syntax

Given the syntax defined above, we define a semantics, by defining an evaluation function *eval*. This function is the bridge between the logic as we define it here and the built-in logic of Isabelle.

**fun** *eval* :: (*'v*  $\Rightarrow$  *bool*)  $\Rightarrow$  *'v propo*  $\Rightarrow$  *bool* (**infix**  $\models$  50) **where**

$\mathcal{A} \models \text{FT} = \text{True}$  |

$\mathcal{A} \models \text{FF} = \text{False}$  |

$\mathcal{A} \models \text{FVar } v = (\mathcal{A} \ v)$  |

$\mathcal{A} \models \text{FNot } \varphi = (\neg(\mathcal{A} \models \varphi))$  |

$\mathcal{A} \models \text{FAnd } \varphi_1 \varphi_2 = (\mathcal{A} \models \varphi_1 \wedge \mathcal{A} \models \varphi_2)$  |

$\mathcal{A} \models \text{FOr } \varphi_1 \varphi_2 = (\mathcal{A} \models \varphi_1 \vee \mathcal{A} \models \varphi_2)$  |

$\mathcal{A} \models \text{FImp } \varphi_1 \varphi_2 = (\mathcal{A} \models \varphi_1 \longrightarrow \mathcal{A} \models \varphi_2)$  |

$\mathcal{A} \models \text{FEq } \varphi_1 \varphi_2 = (\mathcal{A} \models \varphi_1 \longleftrightarrow \mathcal{A} \models \varphi_2)$

**definition** *evalf* (**infix**  $\models_f$  50) **where**

*evalf*  $\varphi \psi = (\forall A. A \models \varphi \longrightarrow A \models \psi)$

The deduction rule is in the book. And the proof looks like to the one of the book.

**theorem** *deduction-theorem*:

$\varphi \models^f \psi \longleftrightarrow (\forall A. A \models FImp \varphi \psi)$   
 $\langle proof \rangle$

A shorter proof:

**lemma**  $\varphi \models^f \psi \longleftrightarrow (\forall A. A \models FImp \varphi \psi)$   
 $\langle proof \rangle$

**definition** *same-over-set*::  $(v \Rightarrow bool) \Rightarrow (v \Rightarrow bool) \Rightarrow v \text{ set} \Rightarrow bool$  **where**  
*same-over-set*  $A B S = (\forall c \in S. A c = B c)$

If two mapping  $A$  and  $B$  have the same value over the variables, then the same formula are satisfiable.

**lemma** *same-over-set-eval*:

**assumes** *same-over-set*  $A B$  (*vars-of-prop*  $\varphi$ )  
**shows**  $A \models \varphi \longleftrightarrow B \models \varphi$   
 $\langle proof \rangle$

**end**