# PAC Checker

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#### Abstract

Abstract—Generating and checking proof certificates is important to increase the trust in automated reasoning tools. In recent years formal verification using computer algebra became more important and is heavily used in automated circuit verification. An existing proof format which covers algebraic reasoning and allows efficient proof checking is the practical algebraic calculus. In this development, we present the verified checker Pastèque that is obtained by synthesis via the Refinement Framework.

This is the formalization going with our FMCAD'20 tool presentation [1].

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# 1 Duplicate Free Multisets

 $\langle proof \rangle$ 

Duplicate free multisets are isomorphic to finite sets, but it can be useful to reason about duplication to speak about intermediate execution steps in the refinements.

```
lemma distinct-mset-remdups-mset-id: \langle distinct\text{-mset } C \Longrightarrow remdups\text{-mset } C = C \rangle
\langle proof \rangle

lemma notin-add-mset-remdups-mset:
\langle a \notin \# A \Longrightarrow add\text{-mset } a \ (remdups\text{-mset } A) = remdups\text{-mset } (add\text{-mset } a \ A) \rangle
\langle proof \rangle

lemma distinct-mset-image-mset:
\langle distinct\text{-mset } (image\text{-mset } f \ (mset \ xs)) \longleftrightarrow distinct \ (map \ f \ xs) \rangle
```

 $\mathbf{lemma}\ \mathit{distinct\text{-}mset\text{-}mono:}\ \langle D' \subseteq \#\ D \Longrightarrow \mathit{distinct\text{-}mset}\ D \Longrightarrow \mathit{distinct\text{-}mset}\ D' \rangle$ 

```
\langle proof \rangle
lemma distinct-mset-mono-strict: \langle D' \subset \# D \implies distinct-mset D \implies distinct-mset D' \rangle
   \langle proof \rangle
lemma distinct-set-mset-eq-iff:
  \mathbf{assumes} \ \langle \mathit{distinct\text{-}mset} \ M \rangle \ \langle \mathit{distinct\text{-}mset} \ N \rangle
  shows \langle set\text{-}mset\ M=set\text{-}mset\ N\longleftrightarrow M=N\rangle
  \langle proof \rangle
lemma distinct-mset-union2:
   \langle distinct\text{-}mset\ (A+B) \Longrightarrow distinct\text{-}mset\ B \rangle
   \langle proof \rangle
lemma distinct-mset-mset-set: \( distinct-mset \( (mset-set A) \)
   \langle proof \rangle
lemma distinct-mset-inter-remdups-mset:
  assumes dist: \langle distinct\text{-}mset | A \rangle
  shows \langle A \cap \# \ remdups\text{-}mset \ B = A \cap \# \ B \rangle
\langle proof \rangle
lemma finite-mset-set-inter:
   \langle finite \ A \Longrightarrow finite \ B \Longrightarrow mset\text{-set} \ (A \cap B) = mset\text{-set} \ A \cap \# \ mset\text{-set} \ B \rangle
   \langle proof \rangle
\mathbf{lemma}\ \mathit{removeAll-notin:}\ \langle a\notin \#\ A\Longrightarrow \mathit{removeAll-mset}\ a\ A=A\rangle
   \langle proof \rangle
lemma same-mset-distinct-iff:
  \langle mset \ M = mset \ M' \Longrightarrow distinct \ M \longleftrightarrow distinct \ M' \rangle
  \langle proof \rangle
1.1
           More Lists
lemma in-set-conv-iff:
  \langle x \in set \ (take \ n \ xs) \longleftrightarrow (\exists \ i < n. \ i < length \ xs \land xs \ ! \ i = x) \rangle
  \langle proof \rangle
lemma in-set-take-conv-nth:
  \langle x \in set \ (take \ n \ xs) \longleftrightarrow (\exists \ m < min \ n \ (length \ xs). \ xs \ ! \ m = x) \rangle
  \langle proof \rangle
lemma in\text{-}set\text{-}remove1D:
  \langle a \in set \ (remove1 \ x \ xs) \Longrightarrow a \in set \ xs \rangle
   \langle proof \rangle
```

# Generic Multiset

**lemma** mset-drop- $upto: \langle mset \ (drop \ a \ N) = \{ \#N! i. \ i \in \# \ mset$ - $set \ \{ a.. < length \ N \} \# \} \rangle$  $\langle proof \rangle$ 

#### Other 1.3

I believe this should be added to the simplifier by default...

```
lemma Collect-eq-comp': \langle \{(x, y). \ P \ x \ y\} \ O \ \{(c, a). \ c = f \ a\} = \{(x, a). \ P \ x \ (f \ a)\} \rangle \langle proof \rangle
```

end

theory WB-Sort

 $\mathbf{imports}\ \textit{Refine-Imperative-HOL.IICF}\ \textit{HOL-Library.Rewrite}\ \textit{Duplicate-Free-Multiset}$   $\mathbf{begin}$ 

This a complete copy-paste of the IsaFoL version because sharing is too hard.

Every element between lo and hi can be chosen as pivot element.

```
definition choose-pivot :: \langle ('b \Rightarrow 'b \Rightarrow bool) \Rightarrow ('a \Rightarrow 'b) \Rightarrow 'a \ list \Rightarrow nat \Rightarrow nat \Rightarrow nat \ nres \rangle where \langle choose\text{-pivot} - - - - lo \ hi = SPEC(\lambda k. \ k \geq lo \land k \leq hi) \rangle
```

The element at index p partitions the subarray lo..hi. This means that every element

```
definition is Partition-wrt :: \langle ('b \Rightarrow 'b \Rightarrow bool) \Rightarrow 'b \ list \Rightarrow nat \Rightarrow nat \Rightarrow nat \Rightarrow bool \rangle where \langle is Partition\text{-}wrt \ R \ xs \ lo \ hi \ p \equiv (\forall \ i. \ i \geq lo \ \land \ i  p \ \land \ j \leq hi \longrightarrow R \ (xs!p) \ (xs!p)) \rangle
```

lemma is Partition-wrt I:

```
 \begin{array}{l} ((\bigwedge \ i. \ \llbracket i \geq lo; \ i  p; \ j \leq hi \rrbracket) \Longrightarrow R \ (xs!p) \ (xs!j)) \Longrightarrow isPartition-wrt \ R \ xs \ lo \ hi \ p) \\ \langle proof \rangle \end{array}
```

```
definition isPartition :: \langle 'a :: order \ list \Rightarrow nat \Rightarrow nat \Rightarrow nat \Rightarrow bool \rangle where \langle isPartition \ xs \ lo \ hi \ p \equiv isPartition \ wrt \ (\leq) \ xs \ lo \ hi \ p \rangle
```

**abbreviation** is Partition-map ::  $\langle ('b \Rightarrow 'b \Rightarrow bool) \Rightarrow ('a \Rightarrow 'b) \Rightarrow 'a \ list \Rightarrow nat \Rightarrow nat \Rightarrow bool \rangle$  where

```
\langle isPartition\text{-}map\ R\ h\ xs\ i\ j\ k \equiv isPartition\text{-}wrt\ (\lambda a\ b.\ R\ (h\ a)\ (h\ b))\ xs\ i\ j\ k \rangle
```

**lemma** isPartition-map-def':

```
\langle lo \leq p \Longrightarrow p \leq hi \Longrightarrow hi < length \ xs \Longrightarrow isPartition-map \ R \ h \ xs \ lo \ hi \ p = isPartition-wrt \ R \ (map \ h \ xs) \ lo \ hi \ p \rangle
\langle proof \rangle
```

Example: 6 is the pivot element (with index 4); 7::'a is equal to the length xs-1.

```
lemma \langle isPartition [0,5,3,4,6,9,8,10::nat] 0 7 4 \rangle \langle proof \rangle
```

```
definition sublist :: \langle 'a \ list \Rightarrow nat \Rightarrow nat \Rightarrow 'a \ list \rangle where \langle sublist \ xs \ i \ j \equiv take \ (Suc \ j - i) \ (drop \ i \ xs) \rangle
```

lemma take- $Suc\theta$ :

```
\begin{array}{l} l \neq [] \implies take \; (Suc \; 0) \; l = [l!0] \\ 0 < length \; l \implies take \; (Suc \; 0) \; l = [l!0] \\ Suc \; n \leq length \; l \implies take \; (Suc \; 0) \; l = [l!0] \\ \langle proof \rangle \end{array}
```

```
lemma sublist-single: \langle i < length \ xs \Longrightarrow sublist \ xs \ i \ i = [xs!i] \rangle  \langle proof \rangle
```

```
lemma insert-eq: (insert a \ b = b \cup \{a\})
   \langle proof \rangle
lemma sublist-nth: \langle \llbracket lo \leq hi; hi < length \ xs; \ k+lo \leq hi \rrbracket \implies (sublist \ xs \ lo \ hi)!k = xs!(lo+k)\rangle
   \langle proof \rangle
lemma sublist-length: \langle [i \le j; j < length \ xs] \implies length \ (sublist \ xs \ i \ j) = 1 + j - i \rangle
   \langle proof \rangle
\textbf{lemma} \ \textit{sublist-not-empty:} \ \langle \llbracket i \leq j; \ j < \textit{length} \ \textit{xs}; \ \textit{xs} \neq [] \rrbracket \implies \textit{sublist} \ \textit{xs} \ i \ j \neq [] \rangle
lemma sublist-app: \langle [i1 \le i2; i2 \le i3] \implies sublist xs i1 i2 @ sublist xs (Suc i2) i3 = sublist xs i1 i3)
   \langle proof \rangle
\langle sorted\text{-}sublist\text{-}wrt\ R\ xs\ lo\ hi = sorted\text{-}wrt\ R\ (sublist\ xs\ lo\ hi) \rangle
definition sorted-sublist :: \langle 'a :: linorder \ list \Rightarrow nat \Rightarrow nat \Rightarrow bool \rangle where
   \langle sorted\text{-}sublist \ xs \ lo \ hi = sorted\text{-}sublist\text{-}wrt \ (\leq) \ xs \ lo \ hi \rangle
abbreviation sorted-sublist-map :: ((b \Rightarrow b \Rightarrow bool) \Rightarrow (a \Rightarrow b) \Rightarrow a \text{ list } \Rightarrow a \text{ nat } \Rightarrow bool)
  \langle sorted-sublist-map R h xs lo hi \equiv sorted-sublist-wrt (\lambda a \ b. \ R \ (h \ a) \ (h \ b)) xs lo hi \rangle
lemma sorted-sublist-map-def':
   \langle lo < length \ xs \Longrightarrow sorted-sublist-map R \ h \ xs \ lo \ hi \equiv sorted-sublist-wrt R \ (map \ h \ xs) \ lo \ hi \rangle
   \langle proof \rangle
lemma sorted-sublist-wrt-refl: \langle i < length \ xs \Longrightarrow sorted-sublist-wrt R xs i i \rangle
   \langle proof \rangle
lemma sorted-sublist-refl: \langle i < length \ xs \Longrightarrow sorted-sublist xs \ i \ i \rangle
lemma sublist-map: \langle sublist \ (map \ f \ xs) \ i \ j = map \ f \ (sublist \ xs \ i \ j) \rangle
   \langle proof \rangle
lemma take-set: \langle j \leq length \ xs \Longrightarrow x \in set \ (take \ j \ xs) \equiv (\exists \ k. \ k < j \land xs!k = x) \rangle
lemma drop-set: \langle j \leq length \ xs \Longrightarrow x \in set \ (drop \ j \ xs) \equiv (\exists \ k. \ j \leq k \land k < length \ xs \land xs! k = x) \rangle
   \langle proof \rangle
lemma sublist-el: (i \le j \Longrightarrow j < length \ xs \Longrightarrow x \in set \ (sublist \ xs \ i \ j) \equiv (\exists \ k. \ k < Suc \ j-i \land xs!(i+k)=x)
   \langle proof \rangle
lemma sublist-el': \langle i \leq j \Longrightarrow j < length \ xs \Longrightarrow x \in set \ (sublist \ xs \ i \ j) \equiv (\exists \ k. \ i \leq k \land k \leq j \land xs! k = x) \rangle
```

```
lemma sublist-lt: \langle hi < lo \Longrightarrow sublist \ xs \ lo \ hi = [] \rangle
   \langle proof \rangle
lemma nat-le-eq-or-lt: \langle (a :: nat) \leq b = (a = b \lor a < b) \rangle
   \langle proof \rangle
lemma sorted-sublist-wrt-le: \langle hi \leq lo \Longrightarrow hi < length \ xs \Longrightarrow sorted-sublist-wrt \ R \ xs \ lo \ hi \rangle
   \langle proof \rangle
Elements in a sorted sublists are actually sorted
lemma sorted-sublist-wrt-nth-le:
  assumes \langle sorted-sublist-wrt R xs lo hi \rangle and \langle lo \leq hi \rangle and \langle hi < length | xs \rangle and
     \langle lo \leq i \rangle and \langle i < j \rangle and \langle j \leq hi \rangle
  shows \langle R(xs!i)(xs!j)\rangle
\langle proof \rangle
We can make the assumption i < j weaker if we have a reflexivie relation.
lemma sorted-sublist-wrt-nth-le':
  assumes ref: \langle \bigwedge x. R x x \rangle
     and \langle sorted\text{-}sublist\text{-}wrt \ R \ xs \ lo \ hi \rangle and \langle lo \leq hi \rangle and \langle hi < length \ xs \rangle
     and \langle lo \leq i \rangle and \langle i \leq j \rangle and \langle j \leq hi \rangle
  shows \langle R (xs!i) (xs!j) \rangle
\langle proof \rangle
lemma sorted-sublist-le: \langle hi \leq lo \Longrightarrow hi < length \ xs \Longrightarrow sorted-sublist \ xs \ lo \ hi \rangle
   \langle proof \rangle
lemma sorted-sublist-map-le: \langle hi \leq lo \Longrightarrow hi < length \ xs \Longrightarrow sorted-sublist-map \ R \ h \ xs \ lo \ hi \rangle
lemma sublist-cons: (lo < hi \Longrightarrow hi < length \ xs \Longrightarrow sublist \ xs \ lo \ hi = xs!lo \ \# \ sublist \ xs \ (Suc \ lo) \ hi)
   \langle proof \rangle
lemma sorted-sublist-wrt-cons':
   (sorted\text{-}sublist\text{-}wrt\ R\ xs\ (lo+1)\ hi \Longrightarrow lo \leq hi \Longrightarrow hi < length\ xs \Longrightarrow (\forall j.\ lo < j \land j \leq hi \longrightarrow R\ (xs!lo))
(xs!j)) \Longrightarrow sorted-sublist-wrt\ R\ xs\ lo\ hi
  \langle proof \rangle
lemma sorted-sublist-wrt-cons:
  assumes trans: \langle (\bigwedge x \ y \ z. \ [\![ R \ x \ y; \ R \ y \ z ]\!] \Longrightarrow R \ x \ z \rangle \rangle and
     \langle sorted\text{-}sublist\text{-}wrt\ R\ xs\ (lo+1)\ hi \rangle and
     \langle lo \leq hi \rangle and \langle hi < length \ xs \rangle and \langle R \ (xs!lo) \ (xs!(lo+1)) \rangle
  shows \langle sorted\text{-}sublist\text{-}wrt\ R\ xs\ lo\ hi \rangle
\langle proof \rangle
lemma sorted-sublist-map-cons:
   \langle (\bigwedge x \ y \ z. \ \llbracket R \ (h \ x) \ (h \ y); \ R \ (h \ y) \ (h \ z) \rrbracket \Longrightarrow R \ (h \ x) \ (h \ z)) \Longrightarrow
     sorted-sublist-map R h xs (lo+1) hi \Longrightarrow lo \le hi \Longrightarrow hi < length xs \Longrightarrow R (h (xs!lo)) (h (xs!(lo+1)))
```

```
\implies sorted-sublist-map R h xs lo hi\rangle
  \langle proof \rangle
lemma sublist-snoc: (lo < hi \Longrightarrow hi < length \ xs \Longrightarrow sublist \ xs \ lo \ hi = sublist \ xs \ lo \ (hi-1) @ [xs!hi])
  \langle proof \rangle
lemma sorted-sublist-wrt-snoc':
  \langle sorted\text{-}sublist\text{-}wrt \ R \ xs \ lo \ (hi-1) \implies lo \leq hi \implies hi < length \ xs \implies (\forall j. \ lo \leq j \land j < hi \longrightarrow R \ (xs!j)
(xs!hi)) \Longrightarrow sorted-sublist-wrt R xs lo hi
  \langle proof \rangle
lemma sorted-sublist-wrt-snoc:
  assumes trans: \langle (\bigwedge x \ y \ z. \ [R \ x \ y; \ R \ y \ z]] \Longrightarrow R \ x \ z \rangle and
    \langle sorted\text{-}sublist\text{-}wrt\ R\ xs\ lo\ (hi-1) \rangle and
    \langle lo \leq hi \rangle and \langle hi < length \ xs \rangle and \langle (R \ (xs!(hi-1)) \ (xs!hi)) \rangle
  shows (sorted-sublist-wrt R xs lo hi)
\langle proof \rangle
lemma sublist-split: (lo \le hi \Longrightarrow lo 
(p+1) hi = sublist xs lo hi
  \langle proof \rangle
lemma sublist-split-part: (lo \le hi \Longrightarrow lo 
xs!p \# sublist xs (p+1) hi = sublist xs lo hi
  \langle proof \rangle
A property for partitions (we always assume that R is transitive.
\mathbf{lemma}\ is Partition\text{-}wrt\text{-}trans:
\langle (\bigwedge x \ y \ z. \ [R \ x \ y; \ R \ y \ z]] \Longrightarrow R \ x \ z) \Longrightarrow
  isPartition\text{-}wrt\ R\ xs\ lo\ hi\ p \Longrightarrow
  (\forall i j. lo \leq i \land i 
  \langle proof \rangle
lemma isPartition-map-trans:
\langle (\bigwedge x \ y \ z. \ \llbracket R \ (h \ x) \ (h \ y); R \ (h \ y) \ (h \ z) \rrbracket \Longrightarrow R \ (h \ x) \ (h \ z)) \Longrightarrow
  hi < length xs \Longrightarrow
  isPartition-map R h xs lo hi p \Longrightarrow
  (\forall i j. lo \leq i \land i 
  \langle proof \rangle
lemma merge-sorted-wrt-partitions-between':
  \langle lo \leq hi \Longrightarrow lo 
    isPartition\text{-}wrt R xs lo hi p \Longrightarrow
    sorted-sublist-wrt R xs lo (p-1) \Longrightarrow sorted-sublist-wrt R xs (p+1) hi \Longrightarrow
    (\forall \, i \, j. \, \, lo \leq i \, \wedge \, i 
    sorted-sublist-wrt\ R\ xs\ lo\ hi\rangle
  \langle proof \rangle
\mathbf{lemma}\ \textit{merge-sorted-wrt-partitions-between}:
  \langle (\bigwedge x y z. [R x y; R y z] \Longrightarrow R x z) \Longrightarrow
    isPartition\text{-}wrt\ R\ xs\ lo\ hi\ p \Longrightarrow
    sorted-sublist-wrt R xs lo (p-1) \Longrightarrow sorted-sublist-wrt R xs (p+1) hi \Longrightarrow
```

```
lo \leq hi \Longrightarrow hi < length \ xs \Longrightarrow lo < p \Longrightarrow p < hi \Longrightarrow hi < length \ xs \Longrightarrow sorted-sublist-wrt \ R \ xs \ lo \ hi \rangle \langle proof 
angle
```

The main theorem to merge sorted lists

```
{\bf lemma}\ \textit{merge-sorted-wrt-partitions}:
```

```
\langle isPartition\text{-}wrt\ R\ xs\ lo\ hi\ p\Longrightarrow sorted\text{-}sublist\text{-}wrt\ R\ xs\ lo\ (p\ -\ Suc\ 0)\Longrightarrow sorted\text{-}sublist\text{-}wrt\ R\ xs\ (Suc\ p)\ hi\Longrightarrow lo\ \leq\ hi\Longrightarrow lo\ \leq\ p\Longrightarrow\ p\ \leq\ hi\Longrightarrow hi\ <\ length\ xs\Longrightarrow (\forall\ i\ j.\ lo\ \leq\ i\ \wedge\ i\ <\ p\ \wedge\ p\ <\ j\ \wedge\ j\ \leq\ hi\longrightarrow R\ (xs!i)\ (xs!j))\Longrightarrow sorted\text{-}sublist\text{-}wrt\ R\ xs\ lo\ hi\rangle } \langle proof \rangle
```

 ${\bf theorem}\ \textit{merge-sorted-map-partitions}:$ 

```
\langle (\bigwedge x \ y \ z. \ [R\ (h\ x)\ (h\ y); R\ (h\ y)\ (h\ z)]] \Longrightarrow R\ (h\ x)\ (h\ z)) \Longrightarrow isPartition-map\ R\ h\ xs\ lo\ hi\ p \Longrightarrow sorted-sublist-map\ R\ h\ xs\ (suc\ p)\ hi \Longrightarrow lo \le hi \Longrightarrow lo \le p \Longrightarrow p \le hi \Longrightarrow hi < length\ xs \Longrightarrow sorted-sublist-map\ R\ h\ xs\ lo\ hi \rangle \langle proof \rangle
```

#### **lemma** partition-wrt-extend:

```
 \begin{array}{l} (isPartition\text{-}wrt \ R \ xs \ lo' \ hi' \ p \Longrightarrow \\ hi < length \ xs \Longrightarrow \\ lo \leq lo' \Longrightarrow lo' \leq hi \Longrightarrow hi' \leq hi \Longrightarrow \\ lo' \leq p \Longrightarrow p \leq hi' \Longrightarrow \\ (\bigwedge i. \ lo \leq i \Longrightarrow i < lo' \Longrightarrow R \ (xs!i) \ (xs!p)) \Longrightarrow \\ (\bigwedge j. \ hi' < j \Longrightarrow j \leq hi \Longrightarrow R \ (xs!p) \ (xs!j)) \Longrightarrow \\ isPartition\text{-}wrt \ R \ xs \ lo \ hi \ p \rangle \\ \langle proof \rangle \\ \end{array}
```

#### **lemma** partition-map-extend:

```
 \begin{array}{l} (isPartition\text{-}map\ R\ h\ xs\ lo'\ hi'\ p\Longrightarrow \\ hi< length\ xs\Longrightarrow \\ lo\leq lo'\Longrightarrow lo'\leq hi\Longrightarrow hi'\leq hi\Longrightarrow \\ lo'\leq p\Longrightarrow p\leq hi'\Longrightarrow \\ (\bigwedge\ i.\ lo\leq i\Longrightarrow i< lo'\Longrightarrow R\ (h\ (xs!i))\ (h\ (xs!p)))\Longrightarrow \\ (\bigwedge\ j.\ hi'< j\Longrightarrow j\leq hi\Longrightarrow R\ (h\ (xs!p))\ (h\ (xs!j)))\Longrightarrow \\ isPartition\text{-}map\ R\ h\ xs\ lo\ hi\ p} \\ \langle proof \rangle \end{array}
```

#### ${f lemma}\ is Partition-empty:$

```
\langle (\bigwedge j. \ [lo < j; j \le hi]] \Longrightarrow R \ (xs ! lo) \ (xs ! j)) \Longrightarrow isPartition-wrt \ R \ xs \ lo \ hi \ lo \rangle \ \langle proof \rangle
```

#### lemma take-ext:

```
\langle (\forall i < k. \ xs'! i = xs! i) \implies k < length \ xs \implies k < length \ xs' \implies take \ k \ xs' = take \ k \ xs \rangle
\langle proof \rangle
```

```
lemma drop-ext':
   \langle (\forall i. \ i \geq k \land i < length \ xs \longrightarrow xs'! i = xs!i) \Longrightarrow
   0 < k \implies xs \neq [] \implies — These corner cases will be dealt with in the next lemma
   length xs' = length xs \Longrightarrow
    drop \ k \ xs' = drop \ k \ xs
   \langle proof \rangle
lemma drop-ext:
\langle (\forall i. \ i \geq k \land i < length \ xs \longrightarrow xs'! i = xs!i) \Longrightarrow
    length xs' = length xs \Longrightarrow
    drop \ k \ xs' = drop \ k \ xs
   \langle proof \rangle
lemma sublist-ext':
   \langle (\forall i. lo \leq i \land i \leq hi \longrightarrow xs'! i = xs!i) \Longrightarrow
   length xs' = length xs \Longrightarrow
   lo \leq hi \Longrightarrow Suc \ hi < length \ xs \Longrightarrow
   sublist xs' lo hi = sublist xs lo hi
   \langle proof \rangle
lemma lt-Suc: \langle (a < b) = (Suc \ a = b \lor Suc \ a < b) \rangle
   \langle proof \rangle
lemma sublist-until-end-eq-drop: (Suc\ hi = length\ xs \Longrightarrow sublist\ xs\ lo\ hi = drop\ lo\ xs)
   \langle proof \rangle
lemma sublist-ext:
   \langle (\forall i. lo \leq i \land i \leq hi \longrightarrow xs'! i = xs!i) \Longrightarrow
   length xs' = length xs \Longrightarrow
   lo \leq hi \Longrightarrow hi < length \ xs \Longrightarrow
   sublist xs' lo hi = sublist xs lo hi
   \langle proof \rangle
lemma sorted-wrt-lower-sublist-still-sorted:
  assumes \langle sorted\text{-}sublist\text{-}wrt \ R \ xs \ lo \ (lo' - Suc \ \theta) \rangle and
     \langle lo \leq lo' \rangle and \langle lo' < length | xs \rangle and
     \langle (\forall i. lo \leq i \land i < lo' \longrightarrow xs'! i = xs! i) \rangle and \langle length \ xs' = length \ xs \rangle
  shows \langle sorted-sublist-wrt R xs' lo (lo' - Suc \ \theta) \rangle
\langle proof \rangle
lemma sorted-map-lower-sublist-still-sorted:
  assumes \langle sorted-sublist-map R h xs lo (lo' - Suc \theta) \rangle and
     \langle lo \leq lo' \rangle and \langle lo' < length | xs \rangle and
     \langle (\forall i. lo \leq i \land i < lo' \longrightarrow xs'! i = xs! i) \rangle and \langle length xs' = length xs \rangle
  shows \langle sorted\text{-}sublist\text{-}map \ R \ h \ xs' \ lo \ (lo' - Suc \ \theta) \rangle
   \langle proof \rangle
\mathbf{lemma}\ sorted\text{-}wrt\text{-}upper\text{-}sublist\text{-}still\text{-}sorted\text{:}
  assumes \langle sorted\text{-}sublist\text{-}wrt \ R \ xs \ (hi'+1) \ hi \rangle and
     \langle lo \leq lo' \rangle and \langle hi < length | xs \rangle and
     shows \langle sorted\text{-}sublist\text{-}wrt \ R \ xs' \ (hi'+1) \ hi \rangle
```

```
\langle proof \rangle
\mathbf{lemma}\ sorted-map-upper-sublist-still-sorted:
         assumes \langle sorted\text{-}sublist\text{-}map\ R\ h\ xs\ (hi'+1)\ hi \rangle and
                 \langle lo \leq lo' \rangle and \langle hi < length | xs \rangle and
                 \forall j. \ hi' < j \land j \le hi \longrightarrow xs'! j = xs! j \rangle \text{ and } \langle length \ xs' = length \ xs \rangle
         shows \langle sorted\text{-}sublist\text{-}map\ R\ h\ xs'\ (hi'+1)\ hi \rangle
          \langle proof \rangle
The specification of the partition function
definition partition-spec :: ((b \Rightarrow b \Rightarrow bool) \Rightarrow (a \Rightarrow b) \Rightarrow a \text{ list} \Rightarrow nat \Rightarrow a \text{ list} \Rightarrow a \text{
bool where
          \langle partition\text{-}spec\ R\ h\ xs\ lo\ hi\ xs'\ p \equiv
                 mset \ xs' = mset \ xs \land — The list is a permutation
                 is Partition-map R h xs' lo hi p \land— We have a valid partition on the resulting list
                 lo \leq p \wedge p \leq hi \wedge— The partition index is in bounds
              (\forall i. i < lo \longrightarrow xs'! i = xs!i) \land (\forall i. hi < i \land i < length xs' \longrightarrow xs'! i = xs!i) \rightarrow \text{Everything else is unchanged.}
lemma in-set-take-conv-nth:
          \langle x \in set \ (take \ n \ xs) \longleftrightarrow (\exists \ m < min \ n \ (length \ xs). \ xs \ ! \ m = x) \rangle
          \langle proof \rangle
lemma mset-drop-upto: \langle mset \ (drop \ a \ N) = \{ \#N! i. \ i \in \# \ mset-set \ \{ a.. < length \ N \} \# \} \rangle
{f lemma}\ mathias:
         assumes
                                   Perm: \langle mset \ xs' = mset \ xs \rangle
                 and I: \langle lo \leq i \rangle \langle i \leq hi \rangle \langle xs'! i = x \rangle
                 and Bounds: \langle hi < length \ xs \rangle
                 and Fix: \langle \bigwedge i. i < lo \implies xs'! i = xs! i \rangle \langle \bigwedge j. \llbracket hi < j; j < length xs \rrbracket \implies xs'! j = xs! j \rangle
```

If we fix the left and right rest of two permutated lists, then the sublists are also permutations.

But we only need that the sets are equal.

**shows**  $\langle \exists j. lo \leq j \wedge j \leq hi \wedge xs! j = x \rangle$ 

 $\langle proof \rangle$ 

```
lemma mset-sublist-incl:

assumes Perm: (mset \ xs' = mset \ xs)
and Fix: (\land i. i < lo \implies xs'! i = xs! i) (\land j. \llbracket hi < j; \ j < length \ xs \rrbracket \implies xs'! j = xs! j)
and bounds: (lo \le hi) (hi < length \ xs)
shows (set \ (sublist \ xs' \ lo \ hi) \subseteq set \ (sublist \ xs \ lo \ hi))
\langle proof \rangle
lemma mset-sublist-eq:
assumes (mset \ xs' = mset \ xs)
and (\land i. i < lo \implies xs'! i = xs! i)
and (\land j. \llbracket hi < j; \ j < length \ xs \rrbracket \implies xs'! j = xs! j)
and bounds: (lo \le hi) (hi < length \ xs)
shows (set \ (sublist \ xs' \ lo \ hi) = set \ (sublist \ xs \ lo \ hi))
```

Our abstract recursive quicksort procedure. We abstract over a partition procedure.

```
definition quicksort :: \langle ('b \Rightarrow 'b \Rightarrow bool) \Rightarrow ('a \Rightarrow 'b) \Rightarrow nat \times nat \times 'a \ list \Rightarrow 'a \ list \ nres \rangle where \langle quicksort \ R \ h = (\lambda(lo,hi,xs0), \ do \ \{
RECT \ (\lambda f \ (lo,hi,xs), \ do \ \{
ASSERT(lo \leq hi \wedge hi < length \ xs \wedge mset \ xs = mset \ xs0); \ -\text{Premise for a partition function}
(xs, \ p) \leftarrow SPEC(uncurry \ (partition\text{-}spec \ R \ h \ xs \ lo \ hi)); \ -\text{Abstract partition function}
ASSERT(mset \ xs = mset \ xs0);
xs \leftarrow (if \ p-1 \leq lo \ then \ RETURN \ xs \ else \ f \ (lo, \ p-1, \ xs));
ASSERT(mset \ xs = mset \ xs0);
if \ hi \leq p+1 \ then \ RETURN \ xs \ else \ f \ (p+1, \ hi, \ xs)
\}) \ (lo,hi,xs0)
```

As premise for quicksor, we only need that the indices are ok.

```
definition quicksort-pre :: ('b \Rightarrow 'b \Rightarrow bool) \Rightarrow ('a \Rightarrow 'b) \Rightarrow 'a \ list \Rightarrow \ nat \Rightarrow nat \Rightarrow 'a \ list \Rightarrow bool) where
```

 $\langle quicksort\text{-}pre\ R\ h\ xs0\ lo\ hi\ xs \equiv lo \leq hi\ \land\ hi < length\ xs\ \land\ mset\ xs = mset\ xs0 \rangle$ 

**definition**  $quicksort\text{-}post:: ('b \Rightarrow 'b \Rightarrow bool) \Rightarrow ('a \Rightarrow 'b) \Rightarrow nat \Rightarrow nat \Rightarrow 'a \ list \Rightarrow 'a \ list \Rightarrow bool)$  where

```
(quicksort\text{-}post\ R\ h\ lo\ hi\ xs\ xs' \equiv mset\ xs' = mset\ xs \land sorted\text{-}sublist\text{-}map\ R\ h\ xs'\ lo\ hi\ \land (\forall\ i.\ i< lo\ \longrightarrow\ xs'!i=xs!i) \land (\forall\ j.\ hi< j\land j< length\ xs\ \longrightarrow\ xs'!j=xs!j)
```

#### Convert Pure to HOL

#### lemma quicksort-postI:

```
\langle [mset \ xs' = mset \ xs; \ sorted-sublist-map \ R \ h \ xs' \ lo \ hi; \ (\bigwedge \ i. \ [i < lo]] \implies xs'!i = xs!i); \ (\bigwedge \ j. \ [hi < j; j < length \ xs]] \implies xs'!j = xs!j)] \implies quicksort-post \ R \ h \ lo \ hi \ xs \ xs' \land \langle proof \rangle
```

The first case for the correctness proof of (abstract) quicksort: We assume that we called the partition function, and we have  $p - (1::'a) \le lo$  and  $hi \le p + (1::'a)$ .

```
lemma quicksort-correct-case1:
```

```
assumes trans: \langle \bigwedge x \ y \ z. \ \llbracket R \ (h \ x) \ (h \ y); \ R \ (h \ y) \ (h \ z) \rrbracket \Longrightarrow R \ (h \ x) \ (h \ z) \rangle and lin: \langle \bigwedge x \ y. \ x \neq y \Longrightarrow R \ (h \ x) \ (h \ y) \lor R \ (h \ y) \ (h \ x) \rangle and pre: \langle quicksort\text{-}pre \ R \ h \ xs0 \ lo \ hi \ xs \rangle and part: \langle partition\text{-}spec \ R \ h \ xs \ lo \ hi \ xs' \ p \rangle and ifs: \langle p-1 \le lo \rangle \ \langle hi \le p+1 \rangle shows \langle quicksort\text{-}post \ R \ h \ lo \ hi \ xs \ xs' \rangle \langle proof \rangle
```

In the second case, we have to show that the precondition still holds for (p+1, hi, x') after the partition.

lemma quicksort-correct-case2:

```
assumes
```

```
pre: \langle quicksort\text{-}pre\ R\ h\ xs0\ lo\ hi\ xs \rangle and part: \langle partition\text{-}spec\ R\ h\ xs\ lo\ hi\ xs'\ p \rangle and ifs: \langle \neg\ hi \leq p+1 \rangle shows \langle quicksort\text{-}pre\ R\ h\ xs0\ (Suc\ p)\ hi\ xs' \rangle \langle proof \rangle
```

```
lemma quicksort-post-set:
   assumes \(\langle quicksort\text{-post} \ R \ h \ lo \ hi \ xs \ xs' \)
       and bounds: \langle lo \leq hi \rangle \langle hi < length \ xs \rangle
   shows \langle set (sublist xs' lo hi) = set (sublist xs lo hi) \rangle
\langle proof \rangle
In the third case, we have run quicksort recursively on (p+1, hi, xs') after the partition, with
hi \le p+1 and p-1 \le lo.
\mathbf{lemma} \ \mathit{quicksort\text{-}correct\text{-}case3} \colon
   assumes trans: (\land x \ y \ z) \ [R \ (h \ x) \ (h \ y); \ R \ (h \ y) \ (h \ z)] \Longrightarrow R \ (h \ x) \ (h \ z) \ and \ lin: (\land x \ y \ x \neq y \Longrightarrow x \neq y)
R(h x)(h y) \vee R(h y)(h x)
       and pre: \langle quicksort\text{-}pre\ R\ h\ xs0\ lo\ hi\ xs \rangle
       and part: (partition-spec R h xs lo hi xs' p)
       and ifs: \langle p - Suc \ 0 \le lo \rangle \langle \neg hi \le Suc \ p \rangle
       and IH1': \langle quicksort\text{-}post\ R\ h\ (Suc\ p)\ hi\ xs'\ xs'' \rangle
   shows \langle quicksort\text{-post } R \text{ } h \text{ } lo \text{ } hi \text{ } xs \text{ } xs'' \rangle
\langle proof \rangle
In the 4th case, we have to show that the premise holds for (lo, p - (1::'b), xs'), in case \neg p
(1::'a) \leq lo
Analogous to case 2.
lemma quicksort-correct-case4:
   assumes
              pre: \(\langle quicksort\text{-pre} \ R \ h \ xs0 \ lo \ hi \ xs\)
       and part: (partition-spec R h xs lo hi xs' p)
       and ifs: \langle \neg p - Suc \theta \leq lo \rangle
   shows \langle quicksort\text{-}pre\ R\ h\ xs0\ lo\ (p\text{-}Suc\ 0)\ xs' \rangle
\langle proof \rangle
In the 5th case, we have run quicksort recursively on (lo, p-1, xs').
lemma quicksort-correct-case5:
   assumes trans: ( \land x \ y \ z. \ \llbracket R \ (h \ x) \ (h \ y); \ R \ (h \ y) \ (h \ z) \rrbracket \Longrightarrow R \ (h \ x) \ (h \ z) \land \text{and } lin: ( \land x \ y. \ x \neq y \Longrightarrow R \ (h \ x) \ (h \ z) \land \text{and } lin: ( \land x \ y. \ x \neq y \Longrightarrow R \ (h \ x) \ (h \ z) \land \text{and } lin: ( \land x \ y. \ x \neq y \Longrightarrow R \ (h \ x) \ (h \ z) \land \text{and } lin: ( \land x \ y. \ x \neq y \Longrightarrow R \ (h \ x) \ (h \ z) \land \text{and } lin: ( \land x \ y. \ x \neq y \Longrightarrow R \ (h \ x) \ (h \ z) \land \text{and } lin: ( \land x \ y. \ x \neq y \Longrightarrow R \ (h \ x) \ (h \ z) \land \text{and } lin: ( \land x \ y. \ x \neq y \Longrightarrow R \ (h \ x) \ (h \ z) \land \text{and } lin: ( \land x \ y. \ x \neq y \Longrightarrow R \ (h \ x) \ (h \ z) \land \text{and } lin: ( \land x \ y. \ x \neq y \Longrightarrow R \ (h \ x) \ (h \ z) \land \text{and } lin: ( \land x \ y. \ x \neq y \Longrightarrow R \ (h \ x) \ (h \ z) \land \text{and } lin: ( \land x \ y. \ x \neq y \Longrightarrow R \ (h \ x) \ (h \ z) \land \text{and } lin: ( \land x \ y. \ x \neq y \Longrightarrow R \ (h \ x) \ (h \ z) \land \text{and } lin: ( \land x \ y. \ x \neq y \Longrightarrow R \ (h \ x) \ (h \ z) \land \text{and } lin: ( \land x \ y. \ x \neq y \Longrightarrow R \ (h \ x) \ (h \ z) \land \text{and } lin: ( \land x \ y. \ x \neq y \Longrightarrow R \ (h \ x) \ (h \ x) \land \text{and } lin: ( \land x \ y. \ x \neq y \Longrightarrow R \ (h \ x) \ (h \ x) \land \text{and } lin: ( \land x \ y. \ x \neq y \Longrightarrow R \ (h \ x) )
R(h x)(h y) \vee R(h y)(h x)
       and pre: \( quicksort-pre R \ h \ xs0 \ lo \ hi \ xs \)
       and part: (partition-spec R h xs lo hi xs' p)
       and ifs: \langle \neg p - Suc \ \theta \leq lo \rangle \langle hi \leq Suc \ p \rangle
       and IH1': \langle quicksort\text{-}post\ R\ h\ lo\ (p-Suc\ \theta)\ xs'\ xs'' \rangle
   shows \langle quicksort\text{-post } R \text{ } h \text{ } lo \text{ } hi \text{ } xs \text{ } xs'' \rangle
\langle proof \rangle
In the 6th case, we have run quicksort recursively on (lo, p-1, xs'). We show the precondition
on the second call on (p+1, hi, xs")
lemma quicksort-correct-case \theta:
   assumes
              pre: (quicksort-pre R h xs0 lo hi xs)
       and part: (partition-spec R h xs lo hi xs' p)
       and ifs: \langle \neg p - Suc \ 0 \le lo \rangle \langle \neg hi \le Suc \ p \rangle
       and IH1: \langle quicksort\text{-}post\ R\ h\ lo\ (p-Suc\ \theta)\ xs'\ xs'' \rangle
   shows \langle quicksort\text{-}pre\ R\ h\ xs\theta\ (Suc\ p)\ hi\ xs'' \rangle
\langle proof \rangle
```

In the 7th (and last) case, we have run quicksort recursively on (lo, p-1, xs'). We show the postcondition on the second call on (p+1, hi, xs")

```
lemma quicksort-correct-case7:

assumes trans: \langle \bigwedge x \ y \ z . \ \llbracket R \ (h \ x) \ (h \ y); \ R \ (h \ y) \ (h \ z) \rrbracket \Longrightarrow R \ (h \ x) \ (h \ z) \rangle and lin: \langle \bigwedge x \ y . \ x \neq y \Longrightarrow R \ (h \ x) \ (h \ y) \lor R \ (h \ y) \ (h \ x) \rangle
and pre: \langle quicksort-pre \ R \ h \ xs0 \ lo \ hi \ xs' \ p \rangle
and pre: \langle quicksort-pre \ R \ h \ xs \ lo \ hi \ xs' \ p \rangle
and pre: \langle quicksort-pre \ R \ h \ lo \ (p - Suc \ 0) \ xs' \ xs'' \rangle
and pre: \langle quicksort-pre \ R \ h \ lo \ (p - Suc \ 0) \ xs' \ xs'' \rangle
and pre: \langle quicksort-pre \ R \ h \ (Suc \ p) \ hi \ xs'' \ xs'' \rangle
```

We can now show the correctness of the abstract quicksort procedure, using the refinement framework and the above case lemmas.

```
lemma quicksort-correct:
```

 $\langle proof \rangle$ 

**shows** \(\langle quicksort-post \, R \, h \, lo \, hi \, xs \''\'\)

```
assumes trans: \langle \bigwedge x \ y \ z. [\![R \ (h \ x) \ (h \ y); \ R \ (h \ y) \ (h \ z)]\!] \Longrightarrow R \ (h \ x) \ (h \ z) \rangle and lin: \langle \bigwedge x \ y . \ x \ne y \Longrightarrow R \ (h \ x) \ (h \ y) \lor R \ (h \ y) \ (h \ x) \rangle and Pre: \langle lo0 \le hi0 \rangle \langle hi0 < length \ xs0 \rangle shows \langle quicksort \ R \ h \ (lo0,hi0,xs0) \le \Downarrow Id \ (SPEC(\lambda xs. \ quicksort-post \ R \ h \ lo0 \ hi0 \ xs0 \ xs)) \rangle \langle proof \rangle
```

```
definition partition-main-inv :: ((b \Rightarrow b \Rightarrow bool) \Rightarrow (a \Rightarrow b) \Rightarrow nat \Rightarrow nat \Rightarrow a \text{ list} \Rightarrow (nat \times nat \times a \text{ list}) \Rightarrow bool) where (\text{partition-main-inv } R \text{ h lo hi } xs0 \text{ p} \equiv case \text{ p of } (i,j,xs) \Rightarrow j < length \text{ } xs \land j \leq hi \land i < length \text{ } xs \land lo \leq i \land i \leq j \land mset \text{ } xs = mset \text{ } xs0 \land (\forall k. k \geq lo \land k < i \longrightarrow R \text{ } (h \text{ } (xs!k)) \text{ } (h \text{ } (xs!hi))) \land \text{— All elements from } lo \text{ to } i - (1::'c) \text{ are smaller than the pivot} (\forall k. k \geq i \land k < j \longrightarrow R \text{ } (h \text{ } (xs!hi)) \text{ } (h \text{ } (xs!k))) \land \text{— All elements from } i \text{ to } j - (1::'c) \text{ are greater than the pivot} (\forall k. k \leq lo \longrightarrow xs!k = xs0!k) \land \text{— Everything below } lo \text{ is unchanged} (\forall k. k \geq j \land k < length \text{ } xs \longrightarrow xs!k = xs0!k) \text{— All elements from } j \text{ are unchanged (including everyting above } hi)
```

The main part of the partition function. The pivot is assumed to be the last element. This is exactly the "Lomuto partition scheme" partition function from Wikipedia.

```
definition partition-main :: \langle ('b \Rightarrow 'b \Rightarrow bool) \Rightarrow ('a \Rightarrow 'b) \Rightarrow nat \Rightarrow nat \Rightarrow 'a \ list \Rightarrow ('a \ list \times nat) \ nres \ where
```

```
 \begin{array}{l} \textit{(partition-main \ R \ h \ lo \ hi \ xs0 = do \ \{ \\ ASSERT(hi < length \ xs0); \\ \textit{(pivot} \leftarrow RETURN \ (h \ (xs0 \ ! \ hi)); \\ \textit{(i,j,xs)} \leftarrow \textit{WHILE}_T^{\textit{partition-main-inv} \ R \ h \ lo \ hi \ xs0} \quad - \text{We loop from } j = lo \ \text{to} \ j = hi - (1::'c). \\ \textit{($\lambda(i,j,xs)$. } j < hi) \\ \textit{($\lambda(i,j,xs)$. } do \ \{ \\ ASSERT(i < length \ xs \land j < length \ xs); \\ \textit{if } R \ (h \ (xs!j)) \ \textit{pivot} \\ \textit{then } RETURN \ (i+1,\ j+1,\ swap \ xs \ i\ j) \\ \textit{else } RETURN \ (i, \ j+1,\ xs) \\ \}) \\ \textit{(lo, lo, xs0)}; \ - \text{i and j are both initialized to lo} \end{array}
```

```
ASSERT(i < length \ xs \land j = hi \land lo \leq i \land hi < length \ xs \land mset \ xs = mset \ xs0);
    RETURN (swap xs i hi, i)
  }>
lemma partition-main-correct:
  assumes bounds: \langle hi < length \ xs \rangle \ \langle lo \leq hi \rangle and
    trans: \langle \bigwedge x \ y \ z. \ \llbracket R \ (h \ x) \ (h \ y); \ R \ (h \ y) \ (h \ z) \rrbracket \Longrightarrow R \ (h \ x) \ (h \ z) \Rightarrow \mathbf{and} \ lin: \langle \bigwedge x \ y. \ R \ (h \ x) \ (h \ y) \lor R
(h y) (h x)
  shows \langle partition\text{-}main\ R\ h\ lo\ hi\ xs \leq SPEC(\lambda(xs',\ p).\ mset\ xs = mset\ xs'\ \land
     lo \leq p \land p \leq hi \land isPartition\text{-}map \ R \ h \ xs' \ lo \ hi \ p \land (\forall \ i. \ i < lo \longrightarrow xs'! i = xs! i) \land (\forall \ i. \ hi < i \land i < length)
xs' \longrightarrow xs'! i = xs! i)\rangle
\langle proof \rangle
definition partition-between :: ((b \Rightarrow b \Rightarrow bool) \Rightarrow (a \Rightarrow b) \Rightarrow nat \Rightarrow nat \Rightarrow a \text{ list} \Rightarrow (a \text{ list} \times nat)
  \langle partition\text{-}between \ R \ h \ lo \ hi \ xs0 = do \ \{
    ASSERT(hi < length xs0 \land lo \leq hi);
    k \leftarrow choose\text{-}pivot \ R \ h \ xs0 \ lo \ hi; — choice of pivot
    ASSERT(k < length xs\theta);
     xs \leftarrow RETURN \ (swap \ xs0 \ k \ hi); — move the pivot to the last position, before we start the actual
loop
    ASSERT(length \ xs = length \ xs0);
    partition-main R h lo hi xs
  }>
lemma partition-between-correct:
  assumes \langle hi < length \ xs \rangle and \langle lo \leq hi \rangle and
  \langle \bigwedge x \ y \ z. \ \llbracket R \ (h \ x) \ (h \ y); \ R \ (h \ y) \ (h \ z) \rrbracket \Longrightarrow R \ (h \ x) \ (h \ z) \rangle \ \text{and} \ \langle \bigwedge x \ y. \ R \ (h \ x) \ (h \ y) \ \lor R \ (h \ y) \ (h \ x) \rangle
  shows (partition-between R h lo hi xs \leq SPEC(uncurry\ (partition\text{-}spec\ R\ h\ xs\ lo\ hi)))
\langle proof \rangle
We use the median of the first, the middle, and the last element.
definition choose-pivot3 where
  \langle choose\text{-}pivot3 \ R \ h \ xs \ lo \ (hi::nat) = do \ \{
     ASSERT(lo < length xs);
    ASSERT(hi < length xs);
    let k' = (hi - lo) div 2;
    let k = lo + k';
    ASSERT(k < length xs);
    let \ start = h \ (xs \ ! \ lo);
    let \ mid = h \ (xs \ ! \ k);
    let \ end = h \ (xs \ ! \ hi);
    if (R \ start \ mid \land R \ mid \ end) \lor (R \ end \ mid \land R \ mid \ start) \ then \ RETURN \ k
    else if (R \ start \ end \ \land R \ end \ mid) \lor (R \ mid \ end \ \land R \ end \ start) \ then RETURN hi
     else RETURN lo
}
— We only have to show that this procedure yields a valid index between lo and hi.
\mathbf{lemma}\ choose	ext{-}pivot3	ext{-}choose	ext{-}pivot:
  assumes \langle lo < length \ xs \rangle \ \langle hi < length \ xs \rangle \ \langle hi \geq lo \rangle
  shows \langle choose\text{-}pivot3 \ R \ h \ xs \ lo \ hi < \downarrow Id \ (choose\text{-}pivot \ R \ h \ xs \ lo \ hi) \rangle
```

```
\langle proof \rangle
```

The refined partion function: We use the above pivot function and fold instead of non-deterministic iteration.

```
definition partition-between-ref
    (b \Rightarrow b \Rightarrow bool) \Rightarrow (a \Rightarrow b) \Rightarrow nat \Rightarrow nat \Rightarrow a \text{ list} \Rightarrow (a \text{ list} \times nat) \text{ nrest}
      \langle partition\text{-}between\text{-}ref\ R\ h\ lo\ hi\ xs0=do\ \{
           ASSERT(hi < length xs0 \land hi < length xs0 \land lo \leq hi);
          k \leftarrow choose\text{-}pivot3 \ R \ h \ xs0 \ lo \ hi; — choice of pivot
          ASSERT(k < length xs\theta);
           xs \leftarrow RETURN \ (swap \ xs0 \ k \ hi); — move the pivot to the last position, before we start the actual
          ASSERT(length \ xs = length \ xs0);
          partition-main R h lo hi xs
lemma partition-main-ref':
      \langle partition\text{-}main\ R\ h\ lo\ hi\ xs
          \leq \downarrow ((\lambda \ a \ b \ c \ d. \ Id) \ a \ b \ c \ d) \ (partition-main \ R \ h \ lo \ hi \ xs) \rangle
      \langle proof \rangle
lemma Down-id-eq:
      \langle \Downarrow Id \ x = x \rangle
      \langle proof \rangle
\mathbf{lemma}\ partition\text{-}between\text{-}ref\text{-}partition\text{-}between:
      \langle partition\text{-}between\text{-}ref \ R \ h \ lo \ hi \ xs \leq (partition\text{-}between \ R \ h \ lo \ hi \ xs) \rangle
\langle proof \rangle
Technical lemma for sepref
lemma partition-between-ref-partition-between':
      \langle (uncurry2 \ (partition-between - ref \ R \ h), \ uncurry2 \ (partition-between \ R \ h) \rangle \in
           (\textit{nat-rel} \times_r \textit{nat-rel}) \times_r \langle \textit{Id} \rangle \textit{list-rel} \rightarrow_f \langle \langle \textit{Id} \rangle \textit{list-rel} \times_r \textit{nat-rel} \rangle \textit{nres-rel} \rangle
      \langle proof \rangle
Example instantiation for pivot
definition choose-pivot3-impl where
      \langle choose\text{-}pivot3\text{-}impl=choose\text{-}pivot3 \ (\leq) \ id \rangle
lemma partition-between-ref-correct:
    assumes trans: ( \land x \ y \ z. \ \llbracket R \ (h \ x) \ (h \ y); \ R \ (h \ y) \ (h \ z) \rrbracket \Longrightarrow R \ (h \ x) \ (h \ z) \land and \ lin: ( \land x \ y. \ R \ (h \ x) \ (h \ z) \land and \ lin: ( \land x \ y. \ R \ (h \ x) \ (h \ x) \land (h \ x) \land
y) \vee R (h y) (h x)
          and bounds: \langle hi < length \ xs \rangle \ \langle lo \leq hi \rangle
     shows \langle partition\text{-}between\text{-}ref \ R \ h \ lo \ hi \ xs < SPEC \ (uncurry \ (partition\text{-}spec \ R \ h \ xs \ lo \ hi)) \rangle
\langle proof \rangle
Refined quicksort algorithm: We use the refined partition function.
definition quicksort-ref :: \langle - \Rightarrow - \Rightarrow nat \times nat \times 'a \ list \Rightarrow 'a \ list \ nres \rangle where
\langle quicksort\text{-ref }R | h = (\lambda(lo,hi,xs\theta)).
      do \{
```

```
RECT (\lambda f (lo,hi,xs). do {
       ASSERT(lo \leq hi \wedge hi < length \ xs0 \wedge mset \ xs = mset \ xs0);
       (xs, p) \leftarrow partition-between-ref R h lo hi xs; — This is the refined partition function. Note that we
need the premises (trans, lin, bounds) here.
       ASSERT(mset \ xs = mset \ xs0 \ \land \ p \ge lo \ \land \ p < length \ xs0);
       xs \leftarrow (if \ p-1 \leq lo \ then \ RETURN \ xs \ else \ f \ (lo, \ p-1, \ xs));
       ASSERT(mset \ xs = mset \ xs\theta);
       if hi \le p+1 then RETURN us else f(p+1, hi, us)
    \}) (lo,hi,xs\theta)
  })>
lemma fref-to-Down-curry2:
   \langle (uncurry2\ f,\ uncurry2\ g) \in [P]_f\ A \to \langle B \rangle nres-rel \Longrightarrow
      (\bigwedge x \ x' \ y \ y' \ z \ z'. \ P \ ((x', y'), z') \Longrightarrow (((x, y), z), ((x', y'), z')) \in A \Longrightarrow
           f x y z \leq \Downarrow B (g x' y' z')
   \langle proof \rangle
lemma fref-to-Down-curry:
   \langle (f, g) \in [P]_f \ A \to \langle B \rangle nres-rel \Longrightarrow
      (\bigwedge x \ x' \ . \ P \ x' \Longrightarrow (x, x') \in A \Longrightarrow
           f x \leq \Downarrow B (g x') \rangle
   \langle proof \rangle
lemma quicksort-ref-quicksort:
  assumes bounds: \langle hi < length \ xs \rangle \ \langle lo \leq hi \rangle and
     trans: \langle \bigwedge x \ y \ z. \ \llbracket R \ (h \ x) \ (h \ y); \ R \ (h \ y) \ (h \ z) \rrbracket \Longrightarrow R \ (h \ x) \ (h \ z)  and lin: \langle \bigwedge x \ y. \ R \ (h \ x) \ (h \ y) \ \lor R
  shows \langle quicksort\text{-}ref\ R\ h\ x\theta \leq \Downarrow Id\ (quicksort\ R\ h\ x\theta) \rangle
definition full-quicksort where
   \langle full\text{-quicksort}\ R\ h\ xs \equiv if\ xs = []\ then\ RETURN\ xs\ else\ quicksort\ R\ h\ (0,\ length\ xs-1,\ xs) \rangle
definition full-quicksort-ref where
   \langle full-quicksort-ref R \ h \ xs \equiv
     if List.null xs then RETURN xs
     else quicksort-ref R h (0, length xs - 1, xs)
definition full-quicksort-impl :: \langle nat \ list \Rightarrow nat \ list \ nres \rangle where
   \langle full\text{-}quicksort\text{-}impl\ xs = full\text{-}quicksort\text{-}ref\ (\leq)\ id\ xs \rangle
lemma full-quicksort-ref-full-quicksort:
  \textbf{assumes} \ \textit{trans}: \langle \bigwedge \ x \ y \ z. \ \llbracket R \ (h \ x) \ (h \ y); \ R \ (h \ y) \ (h \ z) \rrbracket \Longrightarrow R \ (h \ x) \ (h \ z) \rangle \ \textbf{and} \ \textit{lin}: \langle \bigwedge x \ y. \ R \ (h \ x) \ (h \ x) \rangle \rangle
y) \vee R (h y) (h x)
  \mathbf{shows} \ (\mathit{full-quicksort-ref}\ R\ h,\ \mathit{full-quicksort}\ R\ h) \in
             \langle Id \rangle list\text{-}rel \rightarrow_f \langle \langle Id \rangle list\text{-}rel \rangle nres\text{-}rel \rangle
\langle proof \rangle
lemma sublist-entire:
   \langle sublist \ xs \ \theta \ (length \ xs - 1) = xs \rangle
   \langle proof \rangle
```

```
\mathbf{lemma}\ sorted\text{-}sublist\text{-}wrt\text{-}entire\text{:}
  assumes \langle sorted\text{-}sublist\text{-}wrt \ R \ xs \ 0 \ (length \ xs - 1) \rangle
  shows \langle sorted\text{-}wrt \ R \ xs \rangle
\langle proof \rangle
{f lemma}\ sorted-sublist-map-entire:
  assumes \langle sorted\text{-}sublist\text{-}map \ R \ h \ xs \ 0 \ (length \ xs - 1) \rangle
  shows \langle sorted\text{-}wrt\ (\lambda\ x\ y.\ R\ (h\ x)\ (h\ y))\ xs \rangle
\langle proof \rangle
Final correctness lemma
theorem full-quicksort-correct-sorted:
  assumes
     trans: (\bigwedge x \ y \ z) \ [R \ (h \ x) \ (h \ y); \ R \ (h \ y) \ (h \ z)] \Longrightarrow R \ (h \ x) \ (h \ z) and lin: (\bigwedge x \ y) \ x \ne y \Longrightarrow R \ (h \ x)
(h y) \vee R (h y) (h x)
  shows \langle full-quicksort R h xs \leq \bigcup Id (SPEC(\lambda xs'. mset xs' = mset xs \land sorted-wrt (\lambda x y. R (h x) (h x))
y)) xs'))
\langle proof \rangle
lemma full-quicksort-correct:
  assumes
     trans: \langle \bigwedge x \ y \ z . \ \llbracket R \ (h \ x) \ (h \ y); \ R \ (h \ y) \ (h \ z) \rrbracket \Longrightarrow R \ (h \ x) \ (h \ z) \rangle and
     lin: \langle \bigwedge x \ y. \ R \ (h \ x) \ (h \ y) \lor R \ (h \ y) \ (h \ x) \rangle
  shows \langle full\text{-}quicksort\ R\ h\ xs \leq \Downarrow\ Id\ (SPEC(\lambda xs'.\ mset\ xs' = mset\ xs)) \rangle
  \langle proof \rangle
end
theory More-Loops
imports
  Refine-Monadic.Refine-While
  Refine-Monadic.Refine-Foreach
  HOL-Library.Rewrite
begin
```

### 1.4 More Theorem about Loops

Most theorem below have a counterpart in the Refinement Framework that is weaker (by missing assertions for example that are critical for code generation).

```
lemma Down\text{-}id\text{-}eq\text{:} \langle \psi Id \ x = x \rangle \langle proof \rangle
lemma while\text{-}upt\text{-}while\text{-}direct1\text{:} b \geq a \Longrightarrow do \ \{ (-,\sigma) \leftarrow WHILE_T \ (FOREACH\text{-}cond \ c) \ (\lambda x. \ do \ \{ASSERT \ (FOREACH\text{-}cond \ c \ x); \ FOREACH\text{-}body \ f \ x\} \} ([a..<b],\sigma); RETURN \ \sigma \} \leq do \ \{ (-,\sigma) \leftarrow WHILE_T \ (\lambda(i,x). \ i < b \land c \ x) \ (\lambda(i,x). \ do \ \{ASSERT \ (i < b); \ \sigma' \leftarrow f \ i \ x; \ RETURN \ (i+1,\sigma') \} \} (a,\sigma);
```

```
RETURN \sigma
   \langle proof \rangle
\mathbf{lemma} \ \mathit{while-upt-while-direct2} \colon
  b \geq a \Longrightarrow
  do \{
     (-,\sigma) \leftarrow WHILE_T \ (FOREACH\text{-}cond \ c) \ (\lambda x. \ do \ \{ASSERT \ (FOREACH\text{-}cond \ c \ x); \ FOREACH\text{-}body \}
f(x)
       ([a..< b], \sigma);
     RETURN \sigma
  \} \geq do \{
    (-,\sigma) \leftarrow WHILE_T \ (\lambda(i,x).\ i < b \land c\ x) \ (\lambda(i,x).\ do\ \{ASSERT\ (i < b);\ \sigma' \leftarrow f\ i\ x;\ RETURN\ (i+1,\sigma')\}
     RETURN \sigma
  \langle proof \rangle
{f lemma} while-upt-while-direct:
  b \ge a \Longrightarrow
  do \{
     (-,\sigma) \leftarrow WHILE_T \ (FOREACH\text{-}cond \ c) \ (\lambda x. \ do \ \{ASSERT \ (FOREACH\text{-}cond \ c \ x); \ FOREACH\text{-}body \}
f(x)
       ([a..< b], \sigma);
     RETURN \sigma
  \} = do \{
    (-,\sigma) \leftarrow WHILE_T \ (\lambda(i,x).\ i < b \land c\ x) \ (\lambda(i,x).\ do\ \{ASSERT\ (i < b);\ \sigma' \leftarrow f\ i\ x;\ RETURN\ (i+1,\sigma')\}
\}) (a,\sigma);
     RETURN \sigma
  \langle proof \rangle
lemma while-nfoldli:
     (-,\sigma) \leftarrow WHILE_T \ (FOREACH\text{-}cond \ c) \ (\lambda x. \ do \ \{ASSERT \ (FOREACH\text{-}cond \ c \ x); \ FOREACH\text{-}body \}
f x}) (l,\sigma);
     RETURN \sigma
  \} \leq n fold li \ l \ c \ f \ \sigma
  \langle proof \rangle
lemma nfoldli-while: nfoldli lcf\sigma
           (WHILE_T^I)
              (FOREACH-cond c) (\lambda x. do {ASSERT (FOREACH-cond c x); FOREACH-body f x}) (l, \sigma)
            (\lambda(-, \sigma). RETURN \sigma))
\langle proof \rangle
lemma while-eq-nfoldli: do {
     (-,\sigma) \leftarrow WHILE_T \ (FOREACH\text{-}cond \ c) \ (\lambda x. \ do \ \{ASSERT \ (FOREACH\text{-}cond \ c \ x); \ FOREACH\text{-}body \}
f x}) (l,\sigma);
     RETURN \ \sigma
   \} = n fold li \ l \ c \ f \ \sigma
  \langle proof \rangle
```

 $\quad \mathbf{end} \quad$ 

```
\label{theory PAC-More-Poly} \textbf{imports} \ HOL-Library. Poly-Mapping} \ HOL-Algebra. Polynomials \ Polynomials. MPoly-Type-Class \\ \ HOL-Algebra. Module \\ \ HOL-Library. Countable-Set \\ \textbf{begin}
```

#### 2 Libraries

### 2.1 More Polynomials

Here are more theorems on polynomials. Most of these facts are extremely trivial and should probably be generalised and moved to the Isabelle distribution.

```
lemma Const_0-add:
  \langle Const_0 \ (a + b) = Const_0 \ a + Const_0 \ b \rangle
   \langle proof \rangle
{f lemma} Const-mult:
  \langle Const \ (a * b) = Const \ a * Const \ b \rangle
   \langle proof \rangle
lemma Const_0-mult:
   \langle Const_0 \ (a * b) = Const_0 \ a * Const_0 \ b \rangle
lemma Const0[simp]:
   \langle Const \ \theta = \theta \rangle
   \langle proof \rangle
lemma (in -) Const-uminus[simp]:
   \langle Const (-n) = - Const n \rangle
   \langle proof \rangle
lemma [simp]: \langle Const_0 | \theta = \theta \rangle
  \langle MPoly \ \theta = \theta \rangle
  \langle proof \rangle
lemma Const-add:
   \langle Const (a + b) = Const a + Const b \rangle
   \langle proof \rangle
instance mpoly :: (comm-semiring-1) comm-semiring-1
   \langle proof \rangle
lemma degree-uminus[simp]:
   \langle degree (-A) \ x' = degree \ A \ x' \rangle
   \langle proof \rangle
lemma degree-sum-notin:
  \langle x' \notin vars \ B \Longrightarrow degree \ (A + B) \ x' = degree \ A \ x' \rangle
  \langle proof \rangle
{f lemma} degree-notin-vars:
   \langle x \notin (vars \ B) \Longrightarrow degree \ (B :: 'a :: \{monoid-add\} \ mpoly) \ x = 0 \rangle
```

```
\langle proof \rangle
lemma not-in-vars-coeff\theta:
   \langle x \notin vars \ p \Longrightarrow MPoly\text{-}Type.coeff \ p \ (monomial \ (Suc \ \theta) \ x) = \theta \rangle
  \langle proof \rangle
lemma keys-mapping-sum-add:
   \langle finite \ A \Longrightarrow keys \ (mapping-of \ (\sum v \in A. \ f \ v)) \subseteq \bigcup (keys \ `mapping-of \ `f \ `UNIV) \rangle
   \langle proof \rangle
lemma vars-sum-vars-union:
  \mathbf{fixes}\ f:: \langle int\ mpoly \Rightarrow int\ mpoly \rangle
  assumes \langle finite \{v. f v \neq 0\} \rangle
  \mathbf{shows} \ \langle \mathit{vars} \ (\sum v \mid f \ v \neq \ \theta. \ f \ v * \ v) \subseteq \bigcup \left(\mathit{vars} \ ` \{v. \ f \ v \neq \ \theta\}\right) \cup \bigcup \left(\mathit{vars} \ `f \ ` \{v. \ f \ v \neq \ \theta\}\right) \rangle
     (\mathbf{is} \langle ?A \subseteq ?B \rangle)
\langle proof \rangle
lemma vars-in-right-only:
  x \in vars \ q \Longrightarrow x \notin vars \ p \Longrightarrow x \in vars \ (p+q)
  \langle proof \rangle
lemma [simp]:
  \langle vars \ \theta = \{\} \rangle
   \langle proof \rangle
lemma vars-Un-nointer:
   \langle keys \; (mapping \text{-} of \; p) \; \cap \; keys \; (mapping \text{-} of \; q) = \{\} \Longrightarrow vars \; (p + q) = vars \; p \; \cup \; vars \; q \}
   \langle proof \rangle
lemmas [simp] = zero-mpoly.rep-eq
lemma polynomial-sum-monoms:
  \mathbf{fixes} \ p :: \langle 'a :: \{ comm{-}monoid{-}add, cancel{-}comm{-}monoid{-}add \} \ mpoly \rangle
  shows
      \langle p = (\sum x \in keys \ (mapping\text{-}of \ p). \ MPoly\text{-}Type.monom \ x \ (MPoly\text{-}Type.coeff \ p \ x)) \rangle
      \langle keys \; (mapping\text{-}of \; p) \subseteq I \Longrightarrow finite \; I \Longrightarrow p = (\sum x \in I. \; MPoly\text{-}Type.monom \; x \; (MPoly\text{-}Type.coeff \; p)
x))\rangle
\langle proof \rangle
lemma vars-mult-monom:
  fixes p :: \langle int \ mpoly \rangle
  shows \langle vars\ (p*(monom\ (monomial\ (Suc\ 0)\ x')\ 1)) = (if\ p=0\ then\ \{\}\ else\ insert\ x'\ (vars\ p)) \rangle
\langle proof \rangle
lemma in-mapping-mult-single:
 \langle x \in (\lambda x. \ lookup \ x \ x') 'keys (A * (Var_0 \ x' :: (nat \Rightarrow_0 \ nat) \Rightarrow_0 \ 'b :: \{monoid-mult, zero-neq-one, semiring-0\})
     x > 0 \land x - 1 \in (\lambda x. lookup \ x \ x') \ `keys (A)
  \langle proof \rangle
lemma Max-Suc-Suc-Max:
   \langle finite \ A \Longrightarrow A \neq \{\} \Longrightarrow Max \ (insert \ 0 \ (Suc \ `A)) =
```

```
Suc\ (Max\ (insert\ 0\ A))
   \langle proof \rangle
lemma [simp]:
   \langle keys \ (Var_0 \ x' :: ('a \Rightarrow_0 \ nat) \Rightarrow_0 'b :: \{zero-neq-one\} \rangle = \{Poly-Mapping.single \ x' \ 1\} \rangle
   \langle proof \rangle
lemma degree-mult-Var:
   \langle degree \ (A * Var \ x') \ x' = (if \ A = 0 \ then \ 0 \ else \ Suc \ (degree \ A \ x')) \rangle for A :: \langle int \ mpoly \rangle
   \langle proof \rangle
\mathbf{lemma}\ \mathit{degree-mult-Var'}:
  \langle degree\ (Var\ x'*A)\ x'=(if\ A=0\ then\ 0\ else\ Suc\ (degree\ A\ x'))\rangle for A::\langle int\ mpoly\rangle
 \langle proof \rangle
lemma degree-add-max:
  \langle degree \ (A + B) \ x \leq max \ (degree \ A \ x) \ (degree \ B \ x) \rangle
   \langle proof \rangle
lemma degree-times-le:
   \langle degree \ (A * B) \ x \leq degree \ A \ x + degree \ B \ x \rangle
   \langle proof \rangle
lemma monomial-inj:
  monomial c = monomial (d::'b::zero-neg-one) t \longleftrightarrow (c = 0 \land d = 0) \lor (c = d \land s = t)
   \langle proof \rangle
lemma MPoly-monomial-power':
  \langle MPoly \; (monomial \; 1 \; x') \; \widehat{\phantom{a}} \; (n+1) = \; MPoly \; (monomial \; (1) \; (((\lambda x. \; x + x') \; \widehat{\phantom{a}} \; n) \; x')) \rangle
  \langle proof \rangle
lemma MPoly-monomial-power:
  \langle n > 0 \Longrightarrow MPoly \ (monomial \ 1 \ x') \ \widehat{\ } \ (n) = MPoly \ (monomial \ (1) \ (((\lambda x. \ x + x') \ \widehat{\ } \ (n-1)) \ x')) \rangle
  \langle proof \rangle
lemma vars-uminus[simp]:
  \langle vars (-p) = vars p \rangle
  \langle proof \rangle
lemma coeff-uminus[simp]:
   \langle MPoly\text{-}Type.coeff\ (-p)\ x = -MPoly\text{-}Type.coeff\ p\ x \rangle
  \langle proof \rangle
definition decrease-key::'a \Rightarrow ('a \Rightarrow_0 'b):{monoid-add, minus,one}) \Rightarrow ('a \Rightarrow_0 'b) where
  decrease-key k0 f = Abs-poly-mapping (\lambda k. if k = k0 \wedge lookup f k \neq 0 then lookup f k - 1 else lookup
f(k)
lemma remove-key-lookup:
   lookup \ (decrease-key \ k0 \ f) \ k = (if \ k = k0 \ \land \ lookup \ f \ k \neq 0 \ then \ lookup \ f \ k - 1 \ else \ lookup \ f \ k)
   \langle proof \rangle
```

 ${f lemma}\ polynomial ext{-}split ext{-}on ext{-}var:$ 

```
fixes p :: \langle 'a :: \{ comm-monoid-add, cancel-comm-monoid-add, semiring-0, comm-semiring-1 \} \ mpoly \rangle
     obtains q r where
          \langle p = monom \ (monomial \ (Suc \ \theta) \ x') \ 1 * q + r \rangle and
          \langle x' \notin vars \ r \rangle
\langle proof \rangle
lemma polynomial-split-on-var2:
    \mathbf{fixes} \ p :: \langle int \ mpoly \rangle
    assumes \langle x' \notin vars s \rangle
    obtains q r where
          \langle p = (monom\ (monomial\ (Suc\ 0)\ x')\ 1 - s) * q + r \rangle and
          \langle x' \notin vars \ r \rangle
\langle proof \rangle
\mathbf{lemma} \ polynomial\text{-}split\text{-}on\text{-}var\text{-}diff\text{-}sq2:}
 fixes p :: \langle int \ mpoly \rangle
    obtains q r s where
         \langle p = monom \ (monomial \ (Suc \ \theta) \ x') \ 1 * q + r + s * (monom \ (monomial \ (Suc \ \theta) \ x') \ 1^2 - monom \ (monomial \ (Suc \ \theta) \ x') \ 1^2 - monom \ (monomial \ (Suc \ \theta) \ x') \ 1^2 - monom \ (monomial \ (Suc \ \theta) \ x') \ 1^2 - monom \ (monomial \ (Suc \ \theta) \ x') \ 1^2 - monom \ (monomial \ (Suc \ \theta) \ x') \ 1^2 - monom \ (monomial \ (Suc \ \theta) \ x') \ 1^2 - monom \ (monomial \ (Suc \ \theta) \ x') \ 1^2 - monom \ (monomial \ (Suc \ \theta) \ x') \ 1^2 - monom \ (monomial \ (Suc \ \theta) \ x') \ 1^2 - monom \ (monomial \ (Suc \ \theta) \ x') \ 1^2 - monom \ (monomial \ (Suc \ \theta) \ x') \ 1^2 - monom \ (monomial \ (Suc \ \theta) \ x') \ 1^2 - monom \ (monomial \ (Suc \ \theta) \ x') \ 1^2 - monom \ (monomial \ (Suc \ \theta) \ x') \ 1^2 - monom \ (monomial \ (Suc \ \theta) \ x') \ 1^2 - monom \ (monomial \ (Suc \ \theta) \ x') \ 1^2 - monom \ (monomial \ (Suc \ \theta) \ x') \ 1^2 - monom \ (monomial \ (Suc \ \theta) \ x') \ 1^2 - monom \ (monomial \ (Suc \ \theta) \ x') \ 1^2 - monom \ (monomial \ (Suc \ \theta) \ x') \ 1^2 - monom \ (monomial \ (Suc \ \theta) \ x') \ 1^2 - monom \ (monomial \ (Suc \ \theta) \ x') \ 1^2 - monom \ (monomial \ (Suc \ \theta) \ x') \ 1^2 - monom \ (monomial \ (Suc \ \theta) \ x') \ 1^2 - monom \ (monomial \ (Suc \ \theta) \ x') \ 1^2 - monom \ (monomial \ (Suc \ \theta) \ x') \ 1^2 - monom \ (monomial \ (Suc \ \theta) \ x') \ 1^2 - monom \ (monomial \ (Suc \ \theta) \ x') \ 1^2 - monom \ (monomial \ (Suc \ \theta) \ x') \ 1^2 - monom \ (monomial \ (Suc \ \theta) \ x') \ 1^2 - monom \ (monomial \ (Suc \ \theta) \ x') \ 1^2 - monom \ (monomial \ (Suc \ \theta) \ x') \ 1^2 - monom \ (monomial \ (Suc \ \theta) \ x') \ 1^2 - monom \ (monomial \ (Suc \ \theta) \ x') \ 1^2 - monom \ (monomial \ (Suc \ \theta) \ x') \ 1^2 - monom \ (monomial \ (Suc \ \theta) \ x') \ 1^2 - monom \ (monomial \ (Suc \ \theta) \ x') \ 1^2 - monom \ (monomial \ (Suc \ \theta) \ x') \ 1^2 - monom \ (monomial \ (Suc \ \theta) \ x') \ 1^2 - monom \ (monomial \ (Suc \ \theta) \ x') \ 1^2 - monom \ (monomial \ (Suc \ \theta) \ x') \ 1^2 - monom \ (monomial \ (Suc \ \theta) \ x') \ 1^2 - monom \ (monomial \ (Suc \ \theta) \ x') \ 1^2 - monom \ (monomial \ (Suc \ \theta) \ x') \ 1^2 - mon
(monomial\ (Suc\ 0)\ x')\ 1) and
         \langle x' \notin vars \ r \rangle and
          \langle x' \notin vars q \rangle
\langle proof \rangle
lemma polynomial-decomp-alien-var:
    fixes q \ A \ b :: \langle int \ mpoly \rangle
    assumes
          q: \langle q = A * (monom (monomial (Suc 0) x') 1) + b \rangle and
          x: \langle x' \notin vars \ q \rangle \langle x' \notin vars \ b \rangle
    shows
          \langle A = \theta \rangle and
          \langle q = b \rangle
\langle proof \rangle
\mathbf{lemma}\ polynomial\text{-}decomp\text{-}alien\text{-}var2:
    fixes q \ A \ b :: \langle int \ mpoly \rangle
          q: \langle q = A * (monom (monomial (Suc 0) x') 1 + p) + b \rangle and
          x: \langle x' \notin vars \ q \rangle \langle x' \notin vars \ b \rangle \langle x' \notin vars \ p \rangle
    shows
          \langle A = \theta \rangle and
          \langle q = b \rangle
\langle proof \rangle
lemma vars-unE: (x \in vars \ (a * b) \Longrightarrow (x \in vars \ a \Longrightarrow thesis) \Longrightarrow (x \in vars \ b \Longrightarrow thesis) \Longrightarrow thesis)
        \langle proof \rangle
lemma in-keys-minusI1:
     assumes t \in keys \ p and t \notin keys \ q
    shows t \in keys (p - q)
     \langle proof \rangle
lemma in-keys-minusI2:
    fixes t :: \langle 'a \rangle and q :: \langle 'a \Rightarrow_0 'b :: \{cancel-comm-monoid-add, group-add\} \rangle
```

```
assumes t \in keys \ q \ \text{and} \ t \notin keys \ p
  shows t \in keys (p - q)
  \langle proof \rangle
lemma in-vars-addE:
  (x \in vars\ (p+q) \Longrightarrow (x \in vars\ p \Longrightarrow thesis) \Longrightarrow (x \in vars\ q \Longrightarrow thesis) \Longrightarrow thesis)
  \langle proof \rangle
lemma lookup-monomial-If:
  \langle lookup \ (monomial \ v \ k) = (\lambda k'. \ if \ k = k' \ then \ v \ else \ 0) \rangle
  \langle proof \rangle
lemma vars-mult-Var:
  \langle vars (Var \ x * p) = (if \ p = 0 \ then \ \{\} \ else \ insert \ x \ (vars \ p) \} \ for \ p :: \langle int \ mpoly \rangle
lemma keys-mult-monomial:
  (keys \ (monomial \ (n :: int) \ k * mapping-of \ a) = (if \ n = 0 \ then \ \{\} \ else \ ((+) \ k) \ `keys \ (mapping-of \ a)))
\langle proof \rangle
lemma vars-mult-Const:
  \langle vars \ (Const \ n * a) = (if \ n = 0 \ then \ \{\} \ else \ vars \ a) \rangle \ \mathbf{for} \ a :: \langle int \ mpoly \rangle
  \langle proof \rangle
lemma coeff-minus: coeff p m - coeff q m = coeff (p-q) m
  \langle proof \rangle
lemma Const-1-eq-1: (Const\ (1 :: int) = (1 :: int\ mpoly))
  \langle proof \rangle
lemma [simp]:
  \langle vars (1 :: int mpoly) = \{\} \rangle
  \langle proof \rangle
2.2
         More Ideals
  fixes A :: \langle (('x \Rightarrow_0 nat) \Rightarrow_0 'a :: comm-ring-1) set \rangle
  \mathbf{assumes} \ \langle p \in ideal \ A \rangle
  shows \langle p * q \in ideal \ A \rangle
  \langle proof \rangle
The following theorem is very close to More-Modules.ideal (insert ?a ?S) = \{x. \exists k. x - k *
?a \in More-Modules.ideal ?S, except that it is more useful if we need to take an element of
More-Modules.ideal (insert a S).
\mathbf{lemma}\ \mathit{ideal}\text{-}\mathit{insert'}:
  \langle More-Modules.ideal\ (insert\ a\ S) = \{y.\ \exists\ x\ k.\ y = x + k*a \land x \in More-Modules.ideal\ S\} \rangle
    \langle proof \rangle
lemma ideal-mult-right-in:
  \langle a \in ideal \ A \Longrightarrow a * b \in More-Modules.ideal \ A \rangle
  \langle proof \rangle
```

```
\mathbf{lemma}\ \mathit{ideal-mult-right-in2}\colon
  \langle a \in ideal \; A \Longrightarrow b * a \in \mathit{More\text{-}Modules}.ideal \; A \rangle
  \langle proof \rangle
lemma [simp]: \langle vars \ (Var \ x :: 'a :: \{zero-neq-one\} \ mpoly) = \{x\} \rangle
  \langle proof \rangle
\mathbf{lemma}\ \mathit{vars-minus-Var-subset}\colon
  (vars (p' - Var x :: 'a :: \{ab\text{-}group\text{-}add, one, zero\text{-}neq\text{-}one\} \ mpoly) \subseteq \mathcal{V} \Longrightarrow vars \ p' \subseteq insert \ x \ \mathcal{V})
  \langle proof \rangle
\mathbf{lemma}\ \mathit{vars-add-Var-subset}\colon
  (vars\ (p' + Var\ x :: 'a :: \{ab\text{-}group\text{-}add, one, zero\text{-}neq\text{-}one\}\ mpoly) \subseteq \mathcal{V} \Longrightarrow vars\ p' \subseteq insert\ x\ \mathcal{V})
  \langle proof \rangle
lemma coeff-monomila-in-varsD:
  \langle coeff \ p \ (monomial \ (Suc \ \theta) \ x) \neq \theta \Longrightarrow x \in vars \ (p :: int \ mpoly) \rangle
  \langle proof \rangle
lemma (in -) coeff-MPoly-monomila[simp]:
  \langle Const \ (MPoly\text{-}Type.coeff \ (MPoly \ (monomial \ a \ m)) \ m) = Const \ a \rangle
  \langle proof \rangle
end
theory PAC-Specification
  imports PAC-More-Poly
begin
        Specification of the PAC checker
3
3.1
          Ideals
type-synonym int-poly = \langle int mpoly \rangle
definition polynomial-bool :: (int-poly set) where
  \langle polynomial\text{-}bool = (\lambda c. \ Var \ c \ \widehat{2} - Var \ c) \ (UNIV)
definition pac-ideal where
  \langle pac\text{-}ideal \ A \equiv ideal \ (A \cup polynomial\text{-}bool) \rangle
lemma X2-X-in-pac-ideal:
  \langle Var \ c \ \widehat{\ } 2 - Var \ c \in pac\text{-}ideal \ A \rangle
  \langle proof \rangle
lemma pac-idealI1 [intro]:
  \langle p \in A \Longrightarrow p \in pac\text{-}ideal \ A \rangle
  \langle proof \rangle
lemma pac-idealI2[intro]:
  \langle p \in ideal \ A \Longrightarrow p \in pac\text{-}ideal \ A \rangle
  \langle proof \rangle
lemma pac-idealI3[intro]:
  \langle p \in ideal \ A \Longrightarrow p*q \in pac-ideal \ A \rangle
```

```
\langle proof \rangle
lemma pac-ideal-Xsq2-iff:
  \langle Var\ c \ \widehat{\ } 2 \in pac\text{-}ideal\ A \longleftrightarrow Var\ c \in pac\text{-}ideal\ A \rangle
  \langle proof \rangle
lemma diff-in-polynomial-bool-pac-idealI:
   assumes a1: p \in pac\text{-}ideal A
   assumes a2: p - p' \in More-Modules.ideal polynomial-bool
   shows \langle p' \in pac\text{-}ideal \ A \rangle
 \langle proof \rangle
\mathbf{lemma} \ \textit{diff-in-polynomial-bool-pac-idealI2} :
   assumes a1: p \in A
   assumes a2: p - p' \in More-Modules.ideal polynomial-bool
   shows \langle p' \in pac\text{-}ideal \ A \rangle
    \langle proof \rangle
lemma pac-ideal-alt-def:
  \langle pac\text{-}ideal \ A = ideal \ (A \cup ideal \ polynomial\text{-}bool) \rangle
  \langle proof \rangle
The equality on ideals is restricted to polynomials whose variable appear in the set of ideals.
The function restrict sets:
definition restricted-ideal-to where
  \langle restricted\text{-}ideal\text{-}to\ B\ A=\{p\in A.\ vars\ p\subseteq B\}\rangle
abbreviation restricted-ideal-to<sub>I</sub> where
  \langle restricted\text{-}ideal\text{-}to_I \ B \ A \equiv restricted\text{-}ideal\text{-}to \ B \ (pac\text{-}ideal \ (set\text{-}mset \ A)) \rangle
abbreviation restricted-ideal-to_V where
  \langle restricted\text{-}ideal\text{-}to_V | B \equiv restricted\text{-}ideal\text{-}to (\bigcup (vars `set\text{-}mset B)) \rangle
abbreviation restricted-ideal-to_{VI} where
  \langle restricted-ideal-to_{VI} \mid B \mid A \equiv restricted-ideal-to \mid ( \mid (vars 'set-mset \mid B) ) \mid (pac-ideal (set-mset \mid A)) \rangle
lemma restricted-idealI:
  \langle p \in pac\text{-}ideal \ (set\text{-}mset \ A) \Longrightarrow vars \ p \subseteq C \Longrightarrow p \in restricted\text{-}ideal\text{-}to_I \ C \ A \rangle
  \langle proof \rangle
lemma pac-ideal-insert-already-in:
  \langle pq \in pac\text{-}ideal \ (set\text{-}mset \ A) \Longrightarrow pac\text{-}ideal \ (insert \ pq \ (set\text{-}mset \ A)) = pac\text{-}ideal \ (set\text{-}mset \ A) \rangle
  \langle proof \rangle
lemma pac-ideal-add:
  \langle p \in \# A \Longrightarrow q \in \# A \Longrightarrow p + q \in pac\text{-}ideal (set\text{-}mset A) \rangle
  \langle proof \rangle
lemma pac-ideal-mult:
  \langle p \in \# A \Longrightarrow p * q \in pac\text{-}ideal (set\text{-}mset A) \rangle
  \langle proof \rangle
lemma pac-ideal-mono:
  \langle A \subseteq B \Longrightarrow pac\text{-}ideal \ A \subseteq pac\text{-}ideal \ B \rangle
  \langle proof \rangle
```

#### 3.2 PAC Format

The PAC format contains three kind of steps:

- add that adds up two polynomials that are known.
- mult that multiply a known polynomial with another one.
- del that removes a polynomial that cannot be reused anymore.

To model the simplification that happens, we add the  $p - p' \in polynomial\text{-}bool$  stating that p and p' are equivalent.

```
and p' are equivalent.

type-synonym pac-st = \langle (nat \ set \times int-poly \ multiset) \rangle
```

```
inductive PAC\text{-}Format :: \langle pac\text{-}st \Rightarrow pac\text{-}st \Rightarrow bool \rangle where
add:
   \langle PAC\text{-}Format\ (\mathcal{V},\ A)\ (\mathcal{V},\ add\text{-}mset\ p'\ A) \rangle
if
     \langle p \in \# \ A \rangle \ \langle q \in \# \ A \rangle
    \langle p+q-p' \in ideal\ polynomial-bool \rangle
     \langle vars \ p' \subseteq \mathcal{V} \rangle \mid
   \langle PAC\text{-}Format\ (\mathcal{V},\ A)\ (\mathcal{V},\ add\text{-}mset\ p'\ A) \rangle
if
     \langle p \in \# A \rangle
     \langle p*q - p' \in ideal \ polynomial-bool \rangle
    \langle vars \ p' \subseteq \mathcal{V} \rangle
     \langle vars \ q \subseteq \mathcal{V} \rangle \mid
     \langle p \in \# A \Longrightarrow PAC\text{-}Format (\mathcal{V}, A) (\mathcal{V}, A - \{\#p\#\}) \rangle
extend-pos:
   \langle PAC\text{-}Format\ (\mathcal{V},\ A)\ (\mathcal{V}\cup \{x'\in vars\ (-Var\ x+p').\ x'\notin \mathcal{V}\},\ add\text{-}mset\ (-Var\ x+p')\ A\rangle \rangle
      \langle (p')^2 - p' \in ideal \ polynomial-bool \rangle
      \langle vars\ p'\subseteq \mathcal{V}\rangle
      \langle x \notin \mathcal{V} \rangle
```

In the PAC format above, we have a technical condition on the normalisation:  $vars \ p' \subseteq vars \ (p+q)$  is here to ensure that we don't normalise  $\theta$  to  $(Var \ x)^2 - Var \ x$  for a new variable x. This is completely obvious for the normalisation processe we have in mind when we write the specification, but we must add it explicitly because we are too general.

```
assumes
\langle PAC\text{-}Format\ (\mathcal{V},\ A)\ (\mathcal{V}',\ A')\rangle \text{ and }
cases:
\langle \bigwedge p\ q\ p'\ A\ \mathcal{V}.\ p\in\#\ A\Longrightarrow q\in\#\ A\Longrightarrow p+q-p'\in ideal\ polynomial\text{-}bool\Longrightarrow vars\ p'\subseteq\mathcal{V}\Longrightarrow P
\mathcal{V}\ A\ \mathcal{V}\ (add\text{-}mset\ p'\ A)\rangle
\langle \bigwedge p\ q\ p'\ A\ \mathcal{V}.\ p\in\#\ A\Longrightarrow p*q-p'\in ideal\ polynomial\text{-}bool\Longrightarrow vars\ p'\subseteq\mathcal{V}\Longrightarrow vars\ q\subseteq\mathcal{V}\Longrightarrow P\ \mathcal{V}\ A\ \mathcal{V}\ (add\text{-}mset\ p'\ A)\rangle
\langle \bigwedge p\ A\ \mathcal{V}.\ p\in\#\ A\Longrightarrow P\ \mathcal{V}\ A\ \mathcal{V}\ (A-\{\#p\#\})\rangle
\langle \bigwedge p'\ x\ r.
```

```
(p')^2 - (p') \in ideal \ polynomial-bool \implies vars \ p' \subseteq \mathcal{V} \implies
        x \notin \mathcal{V} \Longrightarrow P \mathcal{V} A (\mathcal{V} \cup \{x' \in vars (p' - Var x). x' \notin \mathcal{V}\}) (add-mset (p' - Var x) A)
    \langle P \ \mathcal{V} \ A \ \mathcal{V}' \ A' \rangle
\langle proof \rangle
```

The theorem below (based on the proof ideal by Manuel Kauers) is the correctness theorem of extensions. Remark that the assumption  $vars q \subseteq \mathcal{V}$  is only used to show that  $x' \notin vars q$ .

```
\mathbf{lemma} extensions-are-safe:
  assumes \langle x' \in vars \ p \rangle and
     x': \langle x' \notin \mathcal{V} \rangle and
     \langle \bigcup \ (\mathit{vars} \ `\mathit{set-mset} \ A) \subseteq \mathcal{V} \rangle \ \mathbf{and}
     p\text{-}x\text{-}coeff: (coeff p (monomial (Suc 0) x') = 1) and
     vars - q : \langle vars \ q \subseteq \mathcal{V} \rangle and
     q: \langle q \in More\text{-}Modules.ideal (insert p (set\text{-}mset A \cup polynomial\text{-}bool)) \rangle and
     leading: \langle x' \notin vars (p - Var x') \rangle and
     diff: \langle (Var \ x' - p)^2 - (Var \ x' - p) \in More-Modules.ideal \ polynomial-booly
     \langle q \in More\text{-}Modules.ideal (set\text{-}mset A \cup polynomial\text{-}bool) \rangle
\langle proof \rangle
lemma extensions-are-safe-uminus:
  assumes \langle x' \in vars \ p \rangle and
     x': \langle x' \notin \mathcal{V} \rangle and
     \langle \bigcup (vars \cdot set\text{-}mset A) \subseteq \mathcal{V} \rangle and
     p-x-coeff: \langle coeff \ p \ (monomial \ (Suc \ \theta) \ x') = -1 \rangle and
     vars-q: \langle vars \ q \subseteq \mathcal{V} \rangle and
     q: (q \in More\text{-}Modules.ideal (insert p (set\text{-}mset A \cup polynomial\text{-}bool)))  and
     leading: \langle x' \notin vars (p + Var x') \rangle and
     diff: \langle (Var \ x' + p) \widehat{\ } 2 - (Var \ x' + p) \in More-Modules.ideal \ polynomial-booly
  shows
     \langle q \in More\text{-}Modules.ideal (set\text{-}mset A \cup polynomial\text{-}bool) \rangle
\langle proof \rangle
This is the correctness theorem of a PAC step: no polynomials are added to the ideal.
lemma vars-subst-in-left-only:
   \langle x \notin vars \ p \Longrightarrow x \in vars \ (p - Var \ x) \rangle \ \mathbf{for} \ p :: \langle int \ mpoly \rangle
   \langle proof \rangle
lemma vars-subst-in-left-only-diff-iff:
   \langle x \notin vars \ p \Longrightarrow vars \ (p - Var \ x) = insert \ x \ (vars \ p) \rangle  for p :: \langle int \ mpoly \rangle
   \langle proof \rangle
lemma vars-subst-in-left-only-iff:
   \langle x \notin vars \ p \Longrightarrow vars \ (p + Var \ x) = insert \ x \ (vars \ p) \rangle \ \mathbf{for} \ p :: \langle int \ mpoly \rangle
   \langle proof \rangle
lemma coeff-add-right-notin:
   (x \notin vars \ p \Longrightarrow MPoly\text{-}Type.coeff \ (Var \ x - p) \ (monomial \ (Suc \ \theta) \ x) = 1)
   \langle proof \rangle
lemma coeff-add-left-notin:
   \langle x \notin vars \ p \Longrightarrow MPoly\text{-}Type.coeff \ (p - Var \ x) \ (monomial \ (Suc \ \theta) \ x) = -1 \rangle for p::\langle int \ mpoly \rangle
   \langle proof \rangle
```

```
lemma ideal-insert-polynomial-bool-swap: (r - s \in ideal \ polynomial-bool \Longrightarrow
 More-Modules.ideal\ (insert\ r\ (A\cup polynomial-bool)) = More-Modules.ideal\ (insert\ s\ (A\cup polynomial-bool))
  \langle proof \rangle
\mathbf{lemma}\ \mathit{PAC-Format-subset-ideal}:
  (PAC\text{-}Format\ (\mathcal{V},\ A)\ (\mathcal{V}',\ B) \Longrightarrow \bigcup (vars\ `set\text{-}mset\ A) \subseteq \mathcal{V} \Longrightarrow
      restricted-ideal-to<sub>I</sub> V B \subseteq restricted-ideal-to<sub>I</sub> V A \wedge V \subseteq V' \wedge \bigcup (vars \cdot set\text{-mset } B) \subseteq V'
  \langle proof \rangle
In general, if deletions are disallowed, then the stronger B = pac\text{-}ideal\ A holds.
lemma restricted-ideal-to-restricted-ideal-to<sub>I</sub>D:
  \langle restricted\text{-}ideal\text{-}to \ \mathcal{V} \ (set\text{-}mset \ A) \subseteq restricted\text{-}ideal\text{-}to_I \ \mathcal{V} \ A \rangle
   \langle proof \rangle
lemma rtranclp-PAC-Format-subset-ideal:
  (rtranclp\ PAC\text{-}Format\ (\mathcal{V},\ A)\ (\mathcal{V}',\ B) \Longrightarrow \bigcup (vars\ `set\text{-}mset\ A) \subseteq \mathcal{V} \Longrightarrow
      restricted-ideal-to<sub>I</sub> V B \subseteq restricted-ideal-to<sub>I</sub> V A \land V \subseteq V' \land \bigcup (vars `set-mset B) \subseteq V'
  \langle proof \rangle
end
theory Finite-Map-Multiset
imports\ HOL-Library.Finite-Map\ Duplicate-Free-Multiset
begin
notation image-mset (infixr '# 90)
        Finite maps and multisets
4
          Finite sets and multisets
4.1
abbreviation mset-fset :: \langle 'a \ fset \Rightarrow 'a \ multiset \rangle where
  \langle mset\text{-}fset \ N \equiv mset\text{-}set \ (fset \ N) \rangle
definition fset-mset :: \langle 'a \ multiset \Rightarrow 'a \ fset \rangle where
  \langle fset\text{-}mset \ N \equiv Abs\text{-}fset \ (set\text{-}mset \ N) \rangle
lemma fset-mset-mset-fset: \langle fset-mset (mset-fset N) = N \rangle
  \langle proof \rangle
lemma mset-fset-fset-mset[simp]:
  \langle mset\text{-}fset \ (fset\text{-}mset \ N) = remdups\text{-}mset \ N \rangle
  \langle proof \rangle
lemma in-mset-fset-fmember[simp]: \langle x \in \# \text{ mset-fset } N \longleftrightarrow x \mid \in \mid N \rangle
  \langle proof \rangle
lemma in-fset-mset-mset[simp]: \langle x \mid \in \mid fset\text{-mset } N \longleftrightarrow x \in \# N \rangle
  \langle proof \rangle
```

### 4.2 Finite map and multisets

Roughly the same as ran and dom, but with duplication in the content (unlike their finite sets counterpart) while still working on finite domains (unlike a function mapping). Remark that dom-m (the keys) does not contain duplicates, but we keep for symmetry (and for easier use of multiset operators as in the definition of ran-m).

```
definition dom-m where
  \langle dom\text{-}m \ N = mset\text{-}fset \ (fmdom \ N) \rangle
definition ran-m where
  \langle ran\text{-}m \ N = the '\# fmlookup \ N '\# dom\text{-}m \ N \rangle
lemma dom\text{-}m\text{-}fmdrop[simp]: \langle dom\text{-}m \ (fmdrop \ C \ N) = remove 1\text{-}mset \ C \ (dom\text{-}m \ N) \rangle
  \langle proof \rangle
lemma dom\text{-}m\text{-}fmdrop\text{-}All: \langle dom\text{-}m \ (fmdrop \ C \ N) = removeAll\text{-}mset \ C \ (dom\text{-}m \ N) \rangle
lemma dom\text{-}m\text{-}fmupd[simp]: \langle dom\text{-}m \ (fmupd \ k \ C \ N) = add\text{-}mset \ k \ (remove1\text{-}mset \ k \ (dom\text{-}m \ N)) \rangle
  \langle proof \rangle
lemma distinct-mset-dom: \langle distinct-mset (dom-m N) \rangle
  \langle proof \rangle
lemma in-dom-m-lookup-iff: (C \in \# dom-m \ N' \longleftrightarrow fmlookup \ N' \ C \neq None)
  \langle proof \rangle
lemma in-dom-in-ran-m[simp]: \langle i \in \# \text{ dom-m } N \Longrightarrow \text{ the (fmlookup } N \text{ i)} \in \# \text{ ran-m } N \rangle
  \langle proof \rangle
lemma fmupd-same[simp]:
  \langle x1 \in \# dom - m \ x1aa \Longrightarrow fmupd \ x1 \ (the \ (fmlookup \ x1aa \ x1)) \ x1aa = x1aa \rangle
  \langle proof \rangle
lemma ran-m-fmempty[simp]: \langle ran-m fmempty = \{\#\} \rangle and
    dom\text{-}m\text{-}fmempty[simp]: \langle dom\text{-}m|fmempty = \{\#\} \rangle
  \langle proof \rangle
lemma fmrestrict-set-fmupd:
  (a \in xs \Longrightarrow fmrestrict\text{-set } xs \ (fmupd \ a \ C \ N) = fmupd \ a \ C \ (fmrestrict\text{-set } xs \ N))
  \langle a \notin xs \Longrightarrow fmrestrict\text{-set } xs \ (fmupd \ a \ C \ N) = fmrestrict\text{-set } xs \ N \rangle
  \langle proof \rangle
lemma fset-fmdom-fmrestrict-set:
  \langle fset\ (fmdom\ (fmrestrict\text{-}set\ xs\ N)) = fset\ (fmdom\ N) \cap xs \rangle
  \langle proof \rangle
lemma dom-m-fmrestrict-set: \langle dom-m \ (fmrestrict-set \ (set \ xs) \ N) = mset \ xs \cap \# \ dom-m \ N \rangle
lemma dom-m-fmrestrict-set': (dom-m (fmrestrict-set xs N) = mset-set (xs \cap set-mset (dom-m N)))
  \langle proof \rangle
lemma indom-mI: \langle fmlookup \ m \ x = Some \ y \Longrightarrow x \in \# \ dom-m \ m \rangle
  \langle proof \rangle
```

```
\mathbf{lemma}\ \mathit{fmupd-fmdrop-id}:
  assumes \langle k \mid \in \mid fmdom \ N' \rangle
  shows \langle fmupd \ k \ (the \ (fmlookup \ N' \ k)) \ (fmdrop \ k \ N') = N' \rangle
\langle proof \rangle
lemma fm-member-split: \langle k \mid \in \mid fmdom \ N' \Longrightarrow \exists \ N'' \ v. \ N' = fmupd \ k \ v \ N'' \land the \ (fmlookup \ N' \ k) = v
     k \not\in \mid fmdom \ N'' \rangle
   \langle proof \rangle
lemma \langle fmdrop \ k \ (fmupd \ k \ va \ N'') = fmdrop \ k \ N'' \rangle
   \langle proof \rangle
lemma fmap-ext-fmdom:
    \langle (\mathit{fmdom}\ N = \mathit{fmdom}\ N') \Longrightarrow (\bigwedge\ x.\ x \mid \in \mid \mathit{fmdom}\ N \Longrightarrow \mathit{fmlookup}\ N\ x = \mathit{fmlookup}\ N'\ x) \Longrightarrow 
         N = N'
   \langle proof \rangle
{\bf lemma}\ fmrestrict\text{-}set\text{-}insert\text{-}in:
   \langle xa \in fset \ (fmdom \ N) \Longrightarrow
     fmrestrict\text{-set}\ (insert\ xa\ l1)\ N=fmupd\ xa\ (the\ (fmlookup\ N\ xa))\ (fmrestrict\text{-set}\ l1\ N)
   \langle proof \rangle
\mathbf{lemma}\ fmrestrict\text{-}set\text{-}insert\text{-}notin:
   \langle xa \notin fset \ (fmdom \ N) \Longrightarrow
     fmrestrict-set (insert xa l1) N = fmrestrict-set l1 N
   \langle proof \rangle
lemma fmrestrict-set-insert-in-dom-m[simp]:
   \langle xa \in \# dom\text{-}m \ N \Longrightarrow
     fmrestrict-set (insert xa\ l1) N = fmupd\ xa\ (the\ (fmlookup\ N\ xa))\ (fmrestrict-set l1\ N)
lemma fmrestrict-set-insert-notin-dom-m[simp]:
   \langle xa \notin \# \ dom\text{-}m \ N \Longrightarrow
     fmrestrict-set (insert xa l1) N = fmrestrict-set l1 N
   \langle proof \rangle
\mathbf{lemma} \ \mathit{fmlookup\text{-}restrict\text{-}set\text{-}id\text{:}} \ \langle \mathit{fset} \ (\mathit{fmdom} \ N) \subseteq A \Longrightarrow \mathit{fmrestrict\text{-}set} \ A \ N = N \rangle
   \langle proof \rangle
lemma fmlookup-restrict-set-id': \langle set\text{-mset} \ (dom\text{-m} \ N) \subseteq A \Longrightarrow fmrestrict\text{-set} \ A \ N = N \rangle
\mathbf{lemma}\ ran-m-maps to-upd:
  assumes
     NC: \langle C \in \# \ dom\text{-}m \ N \rangle
  shows \langle ran\text{-}m \ (fmupd \ C \ C' \ N) =
           add-mset C' (remove1-mset (the (fmlookup N C)) (ran-m N))
\langle proof \rangle
lemma ran-m-mapsto-upd-notin:
  assumes NC: \langle C \notin \# dom\text{-}m N \rangle
  \mathbf{shows} \ \langle \mathit{ran-m} \ (\mathit{fmupd} \ C \ C' \ N) = \mathit{add-mset} \ C' \ (\mathit{ran-m} \ N) \rangle
```

```
\langle proof \rangle
lemma image-mset-If-eq-notin:
   \langle C \notin \# A \Longrightarrow \{ \# f \ (if \ x = C \ then \ a \ x \ else \ b \ x). \ x \in \# A \# \} = \{ \# f(b \ x). \ x \in \# A \ \# \} \}
  \langle proof \rangle
lemma filter-mset-cong2:
  (\bigwedge x.\ x \in \#\ M \Longrightarrow f\ x = g\ x) \Longrightarrow M = N \Longrightarrow \mathit{filter-mset}\ f\ M = \mathit{filter-mset}\ g\ N
  \langle proof \rangle
lemma ran-m-fmdrop:
  (C \in \# dom - m \ N \Longrightarrow ran - m \ (fmdrop \ C \ N) = remove 1 - mset \ (the \ (fmlookup \ N \ C)) \ (ran - m \ N))
  \langle proof \rangle
lemma ran-m-fmdrop-notin:
  \langle C \notin \# dom\text{-}m \ N \Longrightarrow ran\text{-}m \ (fmdrop \ C \ N) = ran\text{-}m \ N \rangle
  \langle proof \rangle
lemma ran-m-fmdrop-If:
  \langle ran-m \ (fmdrop \ C \ N) = (if \ C \in \# \ dom-m \ N \ then \ remove 1-mset \ (the \ (fmlookup \ N \ C)) \ (ran-m \ N) \ else
ran-m N)
  \langle proof \rangle
lemma dom-m-empty-iff[iff]:
  \langle dom\text{-}m \ NU = \{\#\} \longleftrightarrow NU = fmempty \rangle
  \langle proof \rangle
end
theory PAC-Map-Rel
  imports
     Refine	ext{-}Imperative	ext{-}HOL.IICF\ Finite	ext{-}Map	ext{-}Multiset
begin
```

# 5 Hash-Map for finite mappings

This function declares hash-maps for (a, b) fmap, that are nicer to use especially here where everything is finite.

```
everything is finite.  \begin{split} & \textbf{definition} \ \textit{fmap-rel} \ \textbf{where} \\ & [\textit{to-relAPP}]: \\ & \textit{fmap-rel} \ \textit{K} \ \textit{V} \equiv \{(m1, \, m2). \\ & (\forall i \ j. \ i \mid \in \mid \textit{fmdom} \ m2 \longrightarrow (j, \, i) \in \textit{K} \longrightarrow (\textit{the} \ (\textit{fmlookup} \ m1 \ j), \, \textit{the} \ (\textit{fmlookup} \ m2 \ i)) \in \textit{V}) \ \land \\ & \textit{fset} \ (\textit{fmdom} \ m1) \subseteq \textit{Domain} \ \textit{K} \land \textit{fset} \ (\textit{fmdom} \ m2) \subseteq \textit{Range} \ \textit{K} \land \\ & (\forall i \ j. \ (i, \, j) \in \textit{K} \longrightarrow j \mid \in \mid \textit{fmdom} \ m2 \longleftrightarrow i \mid \in \mid \textit{fmdom} \ m1) \} \end{split}   \begin{split} & \textbf{lemma} \ \textit{fmap-rel-alt-def}: \\ & (\langle \textit{K}, \ \textit{V} \rangle \textit{fmap-rel} \equiv \\ & \{(m1, \, m2). \\ & (\forall i \ j. \ i \in \# \ dom-m \ m2 \longrightarrow \\ & (j, \, i) \in \textit{K} \longrightarrow (\textit{the} \ (\textit{fmlookup} \ m1 \ j), \, \textit{the} \ (\textit{fmlookup} \ m2 \ i)) \in \textit{V}) \ \land \\ & \textit{fset} \ (\textit{fmdom} \ m1) \subseteq \textit{Domain} \ \textit{K} \land \\ & \textit{fset} \ (\textit{fmdom} \ m2) \subseteq \textit{Range} \ \textit{K} \land \\ & (\forall i \ j. \ (i, \, j) \in \textit{K} \longrightarrow (j \in \# \ dom-m \ m2) = (i \in \# \ dom-m \ m1)) \} \end{split}
```

```
\langle proof \rangle
lemma fmap-rel-empty1-simp[simp]:
  (fmempty,m) \in \langle K,V \rangle fmap-rel \longleftrightarrow m = fmempty
  \langle proof \rangle
lemma fmap-rel-empty2-simp[simp]:
  (m,fmempty) \in \langle K, V \rangle fmap-rel \longleftrightarrow m=fmempty
  \langle proof \rangle
sepref-decl-intf ('k,'v) f-map is ('k, 'v) fmap
lemma [synth-rules]: [INTF-OF-REL K TYPE('k); INTF-OF-REL V TYPE('v)]
  \implies INTF-OF-REL\ (\langle K,V\rangle fmap-rel)\ TYPE(('k,'v)\ f-map)\ \langle proof\rangle
5.1
          Operations
  sepref-decl-op fmap-empty: fmempty :: \langle K, V \rangle fmap-rel \langle proof \rangle
  sepref-decl-op fmap-is-empty: (=) fmempty:: \langle K, V \ranglefmap-rel \rightarrow bool-rel
     \langle proof \rangle
\mathbf{lemma}\ \mathit{fmap-rel-fmupd-fmap-rel}\colon
  \langle (A, B) \in \langle K, R \rangle fmap\text{-rel} \Longrightarrow (p, p') \in K \Longrightarrow (q, q') \in R \Longrightarrow
   (fmupd\ p\ q\ A,\ fmupd\ p'\ q'\ B) \in \langle K,\ R \rangle fmap-rel \rangle
  if single-valued K single-valued (K^{-1})
  \langle proof \rangle
  sepref-decl-op fmap-update: fmupd :: K \to V \to \langle K, V \rangle fmap-rel \to \langle K, V \rangle fmap-rel
    where single-valued K single-valued (K^{-1})
    \langle proof \rangle
\mathbf{lemma}\ \mathit{fmap-rel-fmdrop-fmap-rel}:
  \langle (A, B) \in \langle K, R \rangle fmap\text{-rel} \Longrightarrow (p, p') \in K \Longrightarrow
   (fmdrop \ p \ A, fmdrop \ p' \ B) \in \langle K, R \rangle fmap-rel \rangle
  if single-valued K single-valued (K^{-1})
  \langle proof \rangle
  sepref-decl-op fmap-delete: fmdrop :: K \to \langle K, V \ranglefmap-rel \to \langle K, V \ranglefmap-rel
    where single-valued K single-valued (K^{-1})
    \langle proof \rangle
  lemma fmap-rel-nat-the-fmlookup[intro]:
    \langle (A, B) \in \langle S, R \rangle fmap\text{-rel} \Longrightarrow (p, p') \in S \Longrightarrow p' \in \# dom\text{-m } B \Longrightarrow
      (the (fmlookup A p), the (fmlookup B p')) \in R
     \langle proof \rangle
  lemma fmap-rel-in-dom-iff:
     \langle (aa, a'a) \in \langle K, V \rangle fmap\text{-}rel \Longrightarrow
    (a, a') \in K \Longrightarrow
    a' \in \# dom - m \ a'a \longleftrightarrow
```

 $a \in \# dom\text{-}m \ aa$ 

```
\langle proof \rangle
  lemma fmap-rel-fmlookup-rel:
     \langle (a, a') \in K \Longrightarrow (aa, a'a) \in \langle K, V \rangle fmap\text{-rel} \Longrightarrow
          (fmlookup\ aa\ a,\ fmlookup\ a'a\ a') \in \langle V \rangle option-rel \rangle
     \langle proof \rangle
  sepref-decl-op fmap-lookup: fmlookup :: \langle K, V \ranglefmap-rel \rightarrow K \rightarrow \langle V \rangle option-rel
     \langle proof \rangle
  lemma in-fdom-alt: k \in \#dom-m \ m \longleftrightarrow \neg is-None \ (fmlookup \ m \ k)
     \langle proof \rangle
  sepref-decl-op fmap-contains-key: \lambda k m. k \in \#dom-m m :: K \to \langle K, V \rangle fmap-rel \to bool-rel
     \langle proof \rangle
5.2
         Patterns
lemma pat-fmap-empty[pat-rules]: fmempty \equiv op-fmap-empty \langle proof \rangle
lemma pat-map-is-empty[pat-rules]:
  (=) $m$fmempty \equiv op-fmap-is-empty$m
  (=) \$fmempty\$m \equiv op\text{-}fmap\text{-}is\text{-}empty\$m
  (=) \$(dom-m\$m)\$\{\#\} \equiv op-fmap-is-empty\$m
  (=) ${#}$(dom-m$m) \equiv op-fmap-is-empty$m
  \langle proof \rangle
\mathbf{lemma} \ op\text{-}map\text{-}contains\text{-}key[pat\text{-}rules]\text{:}
  (\in \#)  $ k  $ (dom-m\$m) \equiv op-fmap-contains-key\$'k\$'m
   \langle proof \rangle
5.3
          Mapping to Normal Hashmaps
abbreviation map\text{-}of\text{-}fmap :: \langle ('k \Rightarrow 'v \ option) \Rightarrow ('k, 'v) \ fmap \rangle where
\langle map\text{-}of\text{-}fmap \ h \equiv Abs\text{-}fmap \ h \rangle
definition map-fmap-rel where
  \langle map\text{-}fmap\text{-}rel = br \ map\text{-}of\text{-}fmap \ (\lambda a. \ finite \ (dom \ a)) \rangle
lemma fmdrop-set-None:
  \langle (op\text{-}map\text{-}delete, fmdrop) \in Id \rightarrow map\text{-}fmap\text{-}rel \rightarrow map\text{-}fmap\text{-}rel \rangle
  \langle proof \rangle
lemma map-upd-fmupd:
  \langle (op\text{-}map\text{-}update, fmupd) \in Id \rightarrow Id \rightarrow map\text{-}fmap\text{-}rel \rightarrow map\text{-}fmap\text{-}rel \rangle
  \langle proof \rangle
Technically op-map-lookup has the arguments in the wrong direction.
definition fmlookup' where
  [simp]: \langle fmlookup' A \ k = fmlookup \ k \ A \rangle
lemma [def-pat-rules]:
  \langle ((\in \#)\$k\$(dom-m\$A)) \equiv Not\$(is-None\$(fmlookup'\$k\$A)) \rangle
  \langle proof \rangle
```

```
\mathbf{lemma}\ op\text{-}map\text{-}lookup\text{-}fmlookup:
  \langle (op\text{-}map\text{-}lookup, fmlookup') \in Id \rightarrow map\text{-}fmap\text{-}rel \rightarrow \langle Id \rangle option\text{-}rel \rangle
  \langle proof \rangle
abbreviation hm-fmap-assn where
  \langle hm\text{-}fmap\text{-}assn\ K\ V \equiv hr\text{-}comp\ (hm.assn\ K\ V)\ map\text{-}fmap\text{-}rel \rangle
lemmas fmap-delete-hnr [sepref-fr-rules] =
   hm.delete-hnr[FCOMP\ fmdrop-set-None]
lemmas fmap-update-hnr [sepref-fr-rules] =
   hm.update-hnr[FCOMP\ map-upd-fmupd]
lemmas fmap-lookup-hnr [sepref-fr-rules] =
   hm.lookup-hnr[FCOMP\ op-map-lookup-fmlookup]
\mathbf{lemma}\ \mathit{fmempty\text{-}empty}\colon
 \langle (uncurry0 \ (RETURN \ op-map-empty), \ uncurry0 \ (RETURN \ fmempty)) \in unit-rel \rightarrow_f \langle map-fmap-rel \rangle nres-rel \rangle
  \langle proof \rangle
lemmas [sepref-fr-rules] =
  hm.empty-hnr[FCOMP fmempty-empty, unfolded op-fmap-empty-def[symmetric]]
abbreviation iam-fmap-assn where
  \langle iam\text{-}fmap\text{-}assn\ K\ V \equiv hr\text{-}comp\ (iam.assn\ K\ V)\ map\text{-}fmap\text{-}rel \rangle
lemmas iam-fmap-delete-hnr [sepref-fr-rules] =
   iam.delete-hnr[FCOMP\ fmdrop-set-None]
lemmas iam-ffmap-update-hnr [sepref-fr-rules] =
   iam.update-hnr[FCOMP\ map-upd-fmupd]
lemmas iam-ffmap-lookup-hnr [sepref-fr-rules] =
   iam.lookup-hnr[FCOMP\ op-map-lookup-fmlookup]
definition op-iam-fmap-empty where
  \langle op\text{-}iam\text{-}fmap\text{-}empty \rangle = fmempty \rangle
\mathbf{lemma}\ iam\text{-}fmempty\text{-}empty\text{:}
   \langle (uncurry0 \ (RETURN \ op-map-empty), \ uncurry0 \ (RETURN \ op-iam-fmap-empty)) \in unit-rel \rightarrow_f
\langle map\text{-}fmap\text{-}rel \rangle nres\text{-}rel \rangle
  \langle proof \rangle
lemmas [sepref-fr-rules] =
  iam.empty-hnr[FCOMP fmempty-empty, unfolded op-iam-fmap-empty-def[symmetric]]
definition upper-bound-on-dom where
  \langle upper-bound-on-dom \ A = SPEC(\lambda n. \ \forall \ i \in \#(dom-m \ A). \ i < n) \rangle
lemma [sepref-fr-rules]:
```

# 6 Checker Algorithm

 ${\bf definition}\ PAC\text{-}checker\text{-}specification\text{-}spec$ 

In this level of refinement, we define the first level of the implementation of the checker, both with the specification as on ideals and the first version of the loop.

# 6.1 Specification

```
datatype status =
  is-failed: FAILED
  is-success: SUCCESS |
  is-found: FOUND
lemma is-success-alt-def:
  \langle is\text{-}success\ a \longleftrightarrow a = SUCCESS \rangle
  \langle proof \rangle
datatype ('a, 'b, 'lbls) pac-step =
  Add (pac-src1: 'lbls) (pac-src2: 'lbls) (new-id: 'lbls) (pac-res: 'a) |
  Mult (pac-src1: 'lbls) (pac-mult: 'a) (new-id: 'lbls) (pac-res: 'a) |
  Extension (new-id: 'lbls) (new-var: 'b) (pac-res: 'a)
  Del (pac-src1: 'lbls)
type-synonym pac\text{-}state = \langle (nat \ set \times int\text{-}poly \ multiset) \rangle
definition PAC-checker-specification
  :: \langle int\text{-poly} \Rightarrow int\text{-poly multiset} \Rightarrow (status \times nat set \times int\text{-poly multiset}) \ nres \rangle
where
  \langle PAC\text{-}checker\text{-}specification spec } A = SPEC(\lambda(b, \mathcal{V}, B)).
        (\neg is\text{-failed }b \longrightarrow restricted\text{-}ideal\text{-}to_I \ (\bigcup (vars \ `set\text{-}mset \ A) \cup vars \ spec) \ B \subseteq restricted\text{-}ideal\text{-}to_I
(\bigcup (vars 'set-mset A) \cup vars spec) A) \land
       (is\text{-}found\ b \longrightarrow spec \in pac\text{-}ideal\ (set\text{-}mset\ A)))
```

```
:: \langle int\text{-}poly \Rightarrow pac\text{-}state \Rightarrow (status \times pac\text{-}state) \Rightarrow bool \rangle
where
    \langle PAC\text{-}checker\text{-}specification\text{-}spec} \ spec = (\lambda(\mathcal{V}, A) \ (b, B). \ (\neg is\text{-}failed \ b \longrightarrow \bigcup (vars \ `set\text{-}mset \ A) \subseteq \mathcal{V}) \ \land
                (is\text{-}success\ b \longrightarrow PAC\text{-}Format^{**}\ (\mathcal{V},\ A)\ B)\ \land
                (is	ext{-}found\ b \longrightarrow PAC	ext{-}Format^{**}\ (\mathcal{V},\ A)\ B \land spec \in pac	ext{-}ideal\ (set	ext{-}mset\ A)))
abbreviation PAC-checker-specification2
    :: \  \, \langle int\text{-poly} \Rightarrow (nat \ set \times int\text{-poly} \ multiset) \Rightarrow (status \times (nat \ set \times int\text{-poly} \ multiset)) \ nres \rangle
where
     \langle PAC\text{-}checker\text{-}specification2|spec|A \equiv SPEC(PAC\text{-}checker\text{-}specification\text{-}}spec|spec|A) \rangle
\mathbf{definition}\ PAC\text{-}checker\text{-}specification\text{-}step\text{-}spec
    :: \langle pac\text{-}state \Rightarrow int\text{-}poly \Rightarrow pac\text{-}state \Rightarrow (status \times pac\text{-}state) \Rightarrow bool \rangle
where
     \langle PAC\text{-}checker\text{-}specification\text{-}step\text{-}spec = (\lambda(\mathcal{V}_0, A_0) \ spec \ (\mathcal{V}, A) \ (b, B).
                (is\text{-}success\ b\longrightarrow
                    \bigcup (vars 'set-mset A_0) \subseteq \mathcal{V}_0 \wedge
                       \bigcup (\mathit{vars} \ '\mathit{set-mset} \ A) \subseteq \mathcal{V} \ \land \ \mathit{PAC-Format}^{**} \ (\mathcal{V}_0, \ A_0) \ (\mathcal{V}, \ A) \ \land \ \mathit{PAC-Format}^{**} \ (\mathcal{V}, \ A) \ B) \ \land \ A \cap (\mathcal{V}_0, A_0) \ (\mathcal{V}, A_0) \ (\mathcal{
                (is-found b \longrightarrow
                       \bigcup (vars 'set-mset A_0) \subseteq \mathcal{V}_0 \wedge
                       \bigcup (vars \ `set-mset \ A) \subseteq \mathcal{V} \land PAC-Format^{**} \ (\mathcal{V}_0, \ A_0) \ (\mathcal{V}, \ A) \land PAC-Format^{**} \ (\mathcal{V}, \ A) \ B \land A
                     spec \in pac\text{-}ideal (set\text{-}mset A_0))\rangle
abbreviation PAC-checker-specification-step2
    :: \langle pac\text{-}state \Rightarrow int\text{-}poly \Rightarrow pac\text{-}state \Rightarrow (status \times pac\text{-}state) \ nres \rangle
where
     \langle PAC\text{-}checker\text{-}specification\text{-}step2\ A_0\ spec\ A \equiv SPEC(PAC\text{-}checker\text{-}specification\text{-}step\text{-}spec\ A_0\ spec\ A) \rangle
definition normalize-poly-spec :: \langle - \rangle where
     \langle normalize\text{-}poly\text{-}spec \ p = SPEC \ (\lambda r. \ p - r \in ideal \ polynomial\text{-}bool \land vars \ r \subseteq vars \ p) \rangle
lemma normalize-poly-spec-alt-def:
     \langle normalize\text{-poly-spec }p=SPEC\ (\lambda r.\ r-p\in ideal\ polynomial\text{-bool}\ \wedge\ vars\ r\subseteq vars\ p) \rangle
     \langle proof \rangle
definition mult-poly-spec :: (int \ mpoly \Rightarrow int \ mpoly \Rightarrow int \ mpoly \ nres) where
     \langle mult\text{-poly-spec } p | q = SPEC \ (\lambda r. \ p * q - r \in ideal \ polynomial\text{-bool}) \rangle
definition check-add :: \langle (nat, int mpoly) | fmap \Rightarrow nat set \Rightarrow nat \Rightarrow nat \Rightarrow int mpoly \Rightarrow bool
nres where
     \langle check\text{-}add \ A \ \mathcal{V} \ p \ q \ i \ r =
           SPEC(\lambda b.\ b \longrightarrow p \in \#\ dom\text{-}m\ A \land q \in \#\ dom\text{-}m\ A \land i \notin \#\ dom\text{-}m\ A \land vars\ r \subseteq V \land
                            the (fmlookup\ A\ p) + the\ (fmlookup\ A\ q) - r \in\ ideal\ polynomial-bool)
definition check-mult :: \langle (nat, int mpoly) | fmap \Rightarrow nat set \Rightarrow nat \Rightarrow int mpoly \Rightarrow nat \Rightarrow int mpoly \Rightarrow
bool nres where
    \langle check\text{-mult } A \ \mathcal{V} \ p \ q \ i \ r =
            SPEC(\lambda b.\ b \longrightarrow p \in \#\ dom-m\ A \land i \notin \#\ dom-m\ A \land vars\ q \subseteq V \land vars\ r \subseteq V \land
                            the (fmlookup\ A\ p)*q-r\in ideal\ polynomial-bool)
definition check-extension :: ((nat, int mpoly) fmap \Rightarrow nat set \Rightarrow nat \Rightarrow int mpoly \Rightarrow (bool)
nres where
    \langle check\text{-}extension \ A \ \mathcal{V} \ i \ v \ p =
```

```
SPEC(\lambda b.\ b \longrightarrow (i \notin \#\ dom - m\ A \land
      (v \notin \mathcal{V} \wedge
             (p+Var\ v)^2-(p+Var\ v)\in ideal\ polynomial\text{-}bool\ \land
              vars\ (p+Var\ v)\subseteq \mathcal{V}))\rangle
fun merge-status where
  \langle merge\text{-}status (FAILED) - = FAILED \rangle
  \langle merge\text{-}status - (FAILED) = FAILED \rangle
  \langle merge\text{-}status \ FOUND \ - = FOUND \rangle
  \langle merge\text{-}status - FOUND = FOUND \rangle
  \langle merge\text{-}status - - = SUCCESS \rangle
type-synonym fpac\text{-}step = \langle nat \ set \times (nat, \ int\text{-}poly) \ fmap \rangle
definition check-del :: \langle (nat, int mpoly) | fmap \Rightarrow nat \Rightarrow bool nres \rangle where
  \langle check\text{-}del\ A\ p =
      SPEC(\lambda b.\ b \longrightarrow True)
6.2
          Algorithm
definition PAC-checker-step
  :: (int\text{-}poly \Rightarrow (status \times fpac\text{-}step) \Rightarrow (int\text{-}poly, nat, nat) pac\text{-}step \Rightarrow
    (status \times fpac\text{-}step) \ nres
where
  \langle PAC\text{-}checker\text{-}step = (\lambda spec \ (stat, \ (V, \ A)) \ st. \ case \ st \ of \ (V, \ A) \rangle
      Add - - - \Rightarrow
        do \{
          r \leftarrow normalize\text{-poly-spec (pac-res st)};
         eq \leftarrow check\text{-}add \ A \ V \ (pac\text{-}src1 \ st) \ (pac\text{-}src2 \ st) \ (new\text{-}id \ st) \ r;
         st' \leftarrow SPEC(\lambda st'. (\neg is\text{-}failed st' \land is\text{-}found st' \longrightarrow r - spec \in ideal polynomial\text{-}bool));
         then RETURN (merge-status stat st',
           V, fmupd (new-id st) r A)
         else RETURN (FAILED, (V, A))
   Del - \Rightarrow
        do \{
         eq \leftarrow check-del\ A\ (pac-src1\ st);
         then RETURN (stat, (V, fmdrop (pac-src1 st) A))
         else RETURN (FAILED, (V, A))
   | Mult - - - \Rightarrow
        do \{
          r \leftarrow normalize\text{-}poly\text{-}spec (pac\text{-}res st);
          q \leftarrow normalize\text{-}poly\text{-}spec (pac\text{-}mult st);
         eq \leftarrow check\text{-mult } A \ \mathcal{V} \ (pac\text{-}src1 \ st) \ q \ (new\text{-}id \ st) \ r;
         st' \leftarrow SPEC(\lambda st'. (\neg is\text{-}failed st' \land is\text{-}found st' \longrightarrow r - spec \in ideal polynomial\text{-}bool));
         if eq
         then RETURN (merge-status stat st',
           \mathcal{V}, fmupd (new-id st) r A)
         else RETURN (FAILED, (V, A))
   \mid Extension - - - \Rightarrow
        do \{
          r \leftarrow \textit{normalize-poly-spec (pac-res st - Var (new-var st))};
```

```
(eq) \leftarrow check\text{-}extension \ A \ \mathcal{V} \ (new\text{-}id\ st) \ (new\text{-}var\ st) \ r;
          if eq
          then do {
           RETURN (stat,
            insert (new-var st) V, fmupd (new-id st) (r) A)
          else RETURN (FAILED, (V, A))
 )>
definition polys-rel :: \langle ((nat, int mpoly)fmap \times -) set \rangle where
\langle polys\text{-}rel = \{(A, B). B = (ran\text{-}m A)\}\rangle
definition polys-rel-full :: \langle ((nat\ set \times (nat,\ int\ mpoly)fmap) \times -)\ set \rangle where
  \langle polys\text{-rel-full} = \{((\mathcal{V}, A), (\mathcal{V}', B)). (A, B) \in polys\text{-rel} \land \mathcal{V} = \mathcal{V}'\} \rangle
\mathbf{lemma}\ polys\text{-}rel\text{-}update\text{-}remove:
  \langle x13 \notin \#dom\text{-}m \ A \Longrightarrow x11 \in \#dom\text{-}m \ A \Longrightarrow x12 \in \#dom\text{-}m \ A \Longrightarrow x11 \neq x12 \Longrightarrow (A,B) \in polys\text{-}rel
   (fmupd\ x13\ r\ (fmdrop\ x11\ (fmdrop\ x12\ A)),
          add-mset\ r\ B - \{\#the\ (fmlookup\ A\ x11),\ the\ (fmlookup\ A\ x12)\#\})
         \in polys\text{-}rel
  \langle x13 \notin \#dom\text{-}m \ A \Longrightarrow x11 \in \#dom\text{-}m \ A \Longrightarrow (A,B) \in polys\text{-}rel \Longrightarrow
   (fmupd\ x13\ r\ (fmdrop\ x11\ A), add-mset\ r\ B - \{\#the\ (fmlookup\ A\ x11)\#\})
         \in polys\text{-}rel
  \langle x13 \notin \#dom\text{-}m \ A \Longrightarrow (A,B) \in polys\text{-}rel \Longrightarrow
   (fmupd\ x13\ r\ A,\ add\text{-}mset\ r\ B) \in polys\text{-}rel
  \langle x13 \in \#dom\text{-}m \ A \Longrightarrow (A,B) \in polys\text{-}rel \Longrightarrow
   (fmdrop \ x13 \ A, \ remove1\text{-}mset \ (the \ (fmlookup \ A \ x13)) \ B) \in polys\text{-}rel)
  \langle proof \rangle
lemma polys-rel-in-dom-inD:
  \langle (A, B) \in polys\text{-}rel \Longrightarrow
     x12 \in \# dom\text{-}m A \Longrightarrow
     the (fmlookup\ A\ x12) \in \#\ B
  \langle proof \rangle
lemma PAC-Format-add-and-remove:
  \langle r - x14 \in More\text{-}Modules.ideal\ polynomial\text{-}bool \Longrightarrow
        (A, B) \in polys\text{-}rel \Longrightarrow
         x12 \in \# dom\text{-}m A \Longrightarrow
        x13 \notin \# dom\text{-}m A \Longrightarrow
        vars \ r \subseteq \mathcal{V} \Longrightarrow
         2 * the (fmlookup \ A \ x12) - r \in More-Modules.ideal \ polynomial-bool \Longrightarrow
        PAC\text{-}Format^{**} (V, B) (V, remove1\text{-}mset (the (fmlookup\ A\ x12)) (add\text{-}mset\ r\ B))
   \langle r - x14 \in More\text{-}Modules.ideal\ polynomial\text{-}bool \Longrightarrow
        (A, B) \in polys\text{-}rel \Longrightarrow
         the (fmlookup\ A\ x11) + the\ (fmlookup\ A\ x12) - r \in More-Modules.ideal\ polynomial-bool \Longrightarrow
        x11 \in \# dom\text{-}m A \Longrightarrow
        x12 \in \# dom\text{-}m A \Longrightarrow
        vars \ r \subseteq \mathcal{V} \Longrightarrow
         PAC\text{-}Format^{**} (\mathcal{V}, B) (\mathcal{V}, add\text{-}mset \ r \ B)
    \langle r - x14 \in More\text{-}Modules.ideal\ polynomial\text{-}bool \Longrightarrow
        (A, B) \in polys\text{-}rel \Longrightarrow
        x11 \in \# dom\text{-}m A \Longrightarrow
        x12 \in \# dom\text{-}m A \Longrightarrow
```

```
the (fmlookup\ A\ x11) + the\ (fmlookup\ A\ x12) - r \in More-Modules.ideal\ polynomial-bool \Longrightarrow
         vars \ r \subseteq \mathcal{V} \Longrightarrow
         x11 \neq x12 \Longrightarrow
        PAC\text{-}Format^{**} (\mathcal{V}, B)
          (V, add\text{-}mset\ r\ B - \{\#the\ (fmlookup\ A\ x11),\ the\ (fmlookup\ A\ x12)\#\})
    \langle (A, B) \in polys\text{-}rel \Longrightarrow
         r - x34 \in More\text{-}Modules.ideal\ polynomial\text{-}bool \Longrightarrow
        x11 \in \# dom\text{-}m A \Longrightarrow
         the (fmlookup A x11) * x32 - r \in More-Modules.ideal polynomial-bool \Longrightarrow
         vars \ x32 \subseteq \mathcal{V} \Longrightarrow
         vars \ r \subseteq \mathcal{V} \Longrightarrow
         PAC\text{-}Format^{**} (V, B) (V, add\text{-}mset r B)
    \langle (A, B) \in polys\text{-}rel \Longrightarrow
         r - x34 \in More-Modules.ideal polynomial-bool \Longrightarrow
        x11 \in \# dom\text{-}m A \Longrightarrow
         the (fmlookup A x11) * x32 - r \in More-Modules.ideal polynomial-bool \Longrightarrow
         vars \ x32 \subseteq \mathcal{V} \Longrightarrow
         vars \ r \subseteq \mathcal{V} \Longrightarrow
         PAC\text{-}Format^{**}(V, B)(V, remove1\text{-}mset (the (fmlookup A x11)) (add-mset r B))
  \langle (A, B) \in polys\text{-}rel \Longrightarrow
        x12 \in \# dom\text{-}m A \Longrightarrow
         PAC\text{-}Format^{**} (V, B) (V, remove1\text{-}mset (the (fmlookup A x12)) B)
   \langle (A, B) \in polys\text{-}rel \Longrightarrow
        (p' + Var x)^2 - (p' + Var x) \in ideal \ polynomial - bool \Longrightarrow
        x \notin \mathcal{V} \Longrightarrow
        x \notin vars(p' + Var x) \Longrightarrow
         vars(p' + Var x) \subseteq \mathcal{V} \Longrightarrow
         PAC\text{-}Format^{**} (\mathcal{V}, B)
           (insert \ x \ \mathcal{V}, \ add\text{-}mset \ p' \ B)
    \langle proof \rangle
abbreviation status-rel :: \langle (status \times status) \ set \rangle where
  \langle status\text{-}rel \equiv Id \rangle
lemma is-merge-status[simp]:
  \langle is-failed (merge-status a st') \longleftrightarrow is-failed a \vee is-failed st'
  \langle is-found (merge-status a st') \longleftrightarrow \neg is-failed a \land \neg is-failed st' \land (is-found a \lor is-found st')
  \langle is\text{-}success \ (merge\text{-}status \ a \ st') \longleftrightarrow (is\text{-}success \ a \ \land \ is\text{-}success \ st') \rangle
  \langle proof \rangle
lemma status-rel-merge-status:
  (merge\text{-}status\ a\ b,\ SUCCESS) \notin status\text{-}rel \longleftrightarrow
     (a = FAILED) \lor (b = FAILED) \lor
     a = FOUND \lor (b = FOUND)
  \langle proof \rangle
lemma Ex-status-iff:
  \langle (\exists a. P a) \longleftrightarrow P SUCCESS \lor P FOUND \lor (P (FAILED)) \rangle
  \langle proof \rangle
lemma is-failed-alt-def:
  \langle is-failed st' \longleftrightarrow \neg is-success st' \land \neg is-found st' \rangle
  \langle proof \rangle
```

```
lemma merge-status-eq-iff[simp]:
  \langle merge\text{-}status\ a\ SUCCESS = SUCCESS \longleftrightarrow a = SUCCESS \rangle
  \langle merge\text{-}status\ a\ SUCCESS = FOUND \longleftrightarrow a = FOUND \rangle
  \langle merge\text{-}status \ SUCCESS \ a = SUCCESS \longleftrightarrow a = SUCCESS \rangle
  \langle merge\text{-}status \ SUCCESS \ a = FOUND \longleftrightarrow a = FOUND \rangle
  \langle merge\text{-}status \ SUCCESS \ a = FAILED \longleftrightarrow a = FAILED \rangle
  \langle merge\text{-status } a \ SUCCESS = FAILED \longleftrightarrow a = FAILED \rangle
  \langle merge\text{-}status \ FOUND \ a = FAILED \longleftrightarrow a = FAILED \rangle
  \langle merge\text{-}status\ a\ FOUND = FAILED \longleftrightarrow a = FAILED \rangle
  \langle merge\text{-}status\ a\ FOUND = SUCCESS \longleftrightarrow False \rangle
  \langle merge\text{-}status\ a\ b = FOUND \longleftrightarrow (a = FOUND \lor b = FOUND) \land (a \ne FAILED \land b \ne FAILED) \rangle
  \langle proof \rangle
lemma fmdrop\text{-}irrelevant: \langle x11 \notin \# dom\text{-}m | A \Longrightarrow fmdrop | x11 | A = A \rangle
  \langle proof \rangle
lemma PAC-checker-step-PAC-checker-specification2:
  fixes a :: \langle status \rangle
  assumes AB: \langle ((\mathcal{V}, A), (\mathcal{V}_B, B)) \in polys\text{-}rel\text{-}full \rangle and
     \langle \neg is\text{-}failed \ a \rangle \ \mathbf{and}
     [simp,intro]: \langle a = FOUND \Longrightarrow spec \in pac\text{-}ideal \ (set\text{-}mset \ A_0) \rangle and
     A_0B: \langle PAC\text{-}Format^{**} \ (\mathcal{V}_0, A_0) \ (\mathcal{V}, B) \rangle and
     spec_0: \langle vars \ spec \subseteq \mathcal{V}_0 \rangle and
     vars-A_0: \langle \bigcup (vars \cdot set-mset A_0) \subseteq \mathcal{V}_0 \rangle
 shows \langle PAC\text{-}checker\text{-}step\ spec\ (a,(\mathcal{V},A))\ st \leq \downarrow (status\text{-}rel\times_r\ polys\text{-}rel\text{-}full)\ (PAC\text{-}checker\text{-}specification\text{-}step2)
(\mathcal{V}_0, A_0) spec (\mathcal{V}, B)
\langle proof \rangle
definition PAC-checker
  :: (int\text{-}poly \Rightarrow fpac\text{-}step \Rightarrow status \Rightarrow (int\text{-}poly, nat, nat) pac\text{-}step list \Rightarrow
     (status \times fpac\text{-}step) \ nres \rangle
  \langle PAC\text{-}checker\ spec\ A\ b\ st=do\ \{
     (S, -) \leftarrow WHILE_T
         (\lambda((b::status, A::fpac-step), st). \neg is-failed b \land st \neq [])
         (\lambda((bA), st). do \{
            ASSERT(st \neq []);
            S \leftarrow PAC\text{-}checker\text{-}step\ spec\ (bA)\ (hd\ st);
            RETURN (S, tl st)
          })
       ((b, A), st);
     RETURN \ S
lemma PAC-checker-specification-spec-trans:
  \langle PAC\text{-}checker\text{-}specification\text{-}spec spec } A \ (st, x2) \Longrightarrow
     PAC-checker-specification-step-spec A spec x2 (st', x1a) \Longrightarrow
     PAC-checker-specification-spec spec A (st', x1a)
 \langle proof \rangle
lemma RES-SPEC-eq:
  \langle RES \ \Phi = SPEC(\lambda P. \ P \in \Phi) \rangle
  \langle proof \rangle
```

```
lemma is-failed-is-success-completeD:
  \langle \neg is\text{-}failed \ x \Longrightarrow \neg is\text{-}success \ x \Longrightarrow is\text{-}found \ x \rangle
  \langle proof \rangle
lemma PAC-checker-PAC-checker-specification2:
  \langle (A, B) \in polys\text{-}rel\text{-}full \Longrightarrow
     \neg is-failed a \Longrightarrow
    (a = FOUND \Longrightarrow spec \in pac\text{-}ideal (set\text{-}mset (snd B))) \Longrightarrow
    \bigcup (vars \cdot set\text{-}mset (ran\text{-}m (snd A))) \subseteq fst B \Longrightarrow
    vars\ spec \subseteq fst\ B \Longrightarrow
  PAC-checker spec A a st \leq \downarrow (status\text{-rel} \times_r polys\text{-rel-full}) (PAC-checker-specification 2 \text{ spec } B)
  \langle proof \rangle
definition remap-polys-polynomial-bool :: (int mpoly \Rightarrow nat set \Rightarrow (nat, int-poly) fmap \Rightarrow (status \times
fpac-step) nres where
\langle remap-polys-polynomial-bool\ spec = (\lambda V\ A.
   SPEC(\lambda(st, \mathcal{V}', A'). (\neg is\text{-}failed st \longrightarrow
       dom\text{-}m \ A = dom\text{-}m \ A' \land
       (\forall i \in \# dom\text{-}m \ A. \ the \ (fmlookup \ A \ i) - the \ (fmlookup \ A' \ i) \in ideal \ polynomial\text{-}bool) \ \land
       \bigcup (vars 'set-mset (ran-m A)) \subseteq \mathcal{V}' \land
       \bigcup (vars 'set-mset (ran-m A')) \subseteq \mathcal{V}') \land
    (st = FOUND \longrightarrow spec \in \# ran-m A')))
definition remap-polys-change-all: \langle int \ mpoly \Rightarrow nat \ set \Rightarrow (nat, int-poly) \ fmap \Rightarrow (status \times fpac-step)
nres where
\langle remap-polys-change-all\ spec = (\lambda V\ A.\ SPEC\ (\lambda(st, V', A').
    (\neg is\text{-}failed\ st\ -
       pac\text{-}ideal\ (set\text{-}mset\ (ran\text{-}m\ A)) = pac\text{-}ideal\ (set\text{-}mset\ (ran\text{-}m\ A')) \land
       \bigcup (vars \cdot set\text{-}mset (ran\text{-}m A)) \subseteq \mathcal{V}' \land
       \bigcup (vars 'set-mset (ran-m A')) \subseteq \mathcal{V}') \land
    (st = FOUND \longrightarrow spec \in \# ran-m A')))
lemma fmap-eq-dom-iff:
  (A = A' \longleftrightarrow dom - m \ A = dom - m \ A' \land (\forall i \in \# \ dom - m \ A. \ the \ (fmlookup \ A \ i) = the \ (fmlookup \ A' \ i))
  \langle proof \rangle
lemma ideal-remap-incl:
  \langle finite \ A' \Longrightarrow (\forall \ a' \in A'. \ \exists \ a \in A. \ a-a' \in B) \Longrightarrow ideal \ (A' \cup B) \subseteq ideal \ (A \cup B) \rangle
  \langle proof \rangle
lemma pac-ideal-remap-eq:
  \langle dom\text{-}m \ b = dom\text{-}m \ ba \Longrightarrow
        \forall i \in \#dom\text{-}m \ ba.
            the (fmlookup \ b \ i) - the (fmlookup \ ba \ i)
            \in More-Modules.ideal polynomial-bool \Longrightarrow
      pac-ideal\ ((\lambda x.\ the\ (fmlookup\ b\ x))\ 'set-mset\ (dom-m\ ba)) = pac-ideal\ ((\lambda x.\ the\ (fmlookup\ ba\ x))\ '
set-mset (dom-m ba))>
  \langle proof \rangle
lemma remap-polys-polynomial-bool-remap-polys-change-all:
  (remap-polys-polynomial-bool\ spec\ V\ A \le remap-polys-change-all\ spec\ V\ A)
  \langle proof \rangle
```

```
definition remap-polys :: \langle int \ mpoly \Rightarrow nat \ set \Rightarrow (nat, \ int-poly) \ fmap \Rightarrow (status \times fpac-step) \ nres \rangle
where
     \langle remap-polys\ spec = (\lambda V\ A.\ do \{
       dom \leftarrow SPEC(\lambda dom.\ set\text{-mset}\ (dom\text{-}m\ A) \subseteq dom \land finite\ dom);
       failed \leftarrow SPEC(\lambda - :: bool. True);
        if failed
        then do {
               RETURN (FAILED, V, fmempty)
        else do {
            (b, N) \leftarrow FOREACH dom
                 (\lambda i \ (b, \ \mathcal{V}, \ A').
                         if i \in \# dom\text{-}m A
                         then do {
                           p \leftarrow SPEC(\lambda p. \ the \ (fmlookup \ A \ i) - p \in ideal \ polynomial-bool \land vars \ p \subseteq vars \ (the \ (fmlookup \ A \ i) - p \in ideal \ polynomial-bool \land vars \ p \subseteq vars \ (the \ (fmlookup \ A \ i) - p \in ideal \ polynomial-bool \land vars \ p \subseteq vars \ (the \ (fmlookup \ A \ i) - p \in ideal \ polynomial-bool \land vars \ p \subseteq vars \ (the \ (fmlookup \ A \ i) - p \in ideal \ polynomial-bool \land vars \ p \subseteq vars \ (the \ (fmlookup \ A \ i) - p \in ideal \ polynomial-bool \land vars \ p \subseteq vars \ (the \ (fmlookup \ A \ i) - p \in ideal \ polynomial-bool \land vars \ p \subseteq vars \ (the \ (fmlookup \ A \ i) - p \in ideal \ polynomial-bool \land vars \ p \subseteq vars \ (the \ (fmlookup \ A \ i) - p \in ideal \ polynomial-bool \land vars \ p \subseteq vars \ (the \ (fmlookup \ A \ i) - p \in ideal \ polynomial-bool \land vars \ p \subseteq vars \ (the \ (fmlookup \ A \ i) - p \in ideal \ polynomial-bool \land vars \ p \subseteq vars \ (the \ (fmlookup \ A \ i) - p \in ideal \ polynomial-bool \land vars \ p \subseteq vars \ (the \ (fmlookup \ A \ i) - p \in ideal \ polynomial-bool \land vars \ p \subseteq vars \ (the \ (fmlookup \ A \ i) - p \in ideal \ polynomial-bool \land vars \ p \subseteq vars \ (the \ (fmlookup \ A \ i) - p \in ideal \ polynomial-bool \land vars \ p \subseteq vars \ (the \ (fmlookup \ A \ i) - p \in ideal \ polynomial-bool \land vars \ p \subseteq vars \ (the \ (fmlookup \ A \ i) - p \in ideal \ polynomial-bool \land vars \ p \subseteq vars \ (the \ (fmlookup \ A \ i) - p \in ideal \ polynomial-bool \land vars \ p \subseteq vars \ (the \ (fmlookup \ A \ i) - p \in ideal \ polynomial-bool \land vars \ p \subseteq vars \ (the \ (fmlookup \ A \ i) - p \in ideal \ polynomial-bool \land vars \ p \subseteq vars \ (the \ (fmlookup \ A \ i) - p \in ideal \ polynomial-bool \land vars \ p \subseteq vars \ (the \ (fmlookup \ A \ i) - p \in ideal \ polynomial-bool \land vars \ p \subseteq vars \ (the \ (fmlookup \ A \ i) - p \in ideal \ polynomial-bool \land vars \ p \subseteq vars \ (the \ (fmlookup \ A \ i) - p \subseteq vars \ (the \ (fmlookup \ A \ i) - p \subseteq vars \ (the \ (fmlookup \ A \ i) - p \subseteq vars \ (the \ (fmlookup \ A \ i) - p \subseteq vars \ (the \ (fmlookup \ A \ i) - p \subseteq vars \ (the \ (fmlookup \ A \ i) - p \subseteq vars \ (the \ (fmlookup \ A \ i) - p \subseteq vars \ (the \ (
A(i));
                               eq \leftarrow SPEC(\lambda eq. \ eq \longrightarrow p = spec);
                              \mathcal{V} \leftarrow SPEC(\lambda \mathcal{V}', \mathcal{V} \cup vars \ (the \ (fmlookup \ A \ i)) \subseteq \mathcal{V}');
                              RETURN(b \lor eq, V, fmupd i p A')
                         } else RETURN (b, V, A'))
                  (False, V, fmempty);
                  RETURN (if b then FOUND else SUCCESS, N)
       })>
lemma remap-polys-spec:
     \langle remap-polys\ spec\ \mathcal{V}\ A\leq remap-polys-polynomial-bool\ spec\ \mathcal{V}\ A\rangle
     \langle proof \rangle
6.3
                    Full Checker
definition full-checker
    :: (int\text{-poly}) \Rightarrow (nat, int\text{-poly}) \text{ fmap} \Rightarrow (int\text{-poly}, nat, nat) \text{ pac-step list} \Rightarrow (status \times -) \text{ nres})
     \langle full\text{-}checker\ spec0\ A\ pac=do\ \{
            spec \leftarrow normalize\text{-}poly\text{-}spec \ spec0;
            (st, \mathcal{V}, A) \leftarrow remap-polys-change-all\ spec\ \{\}\ A;
            if is-failed st then
            RETURN (st, V, A)
            else do {
                 \mathcal{V} \leftarrow SPEC(\lambda \mathcal{V}'. \ \mathcal{V} \cup vars \ spec0 \subseteq \mathcal{V}');
                  PAC-checker spec (V, A) st pac
          }
}>
{f lemma}\ restricted	ext{-}ideal	ext{-}to	ext{-}mono:
     \langle restricted\text{-}ideal\text{-}to_I \ \mathcal{V} \ I \subseteq restricted\text{-}ideal\text{-}to_I \ \mathcal{V}' \ J \Longrightarrow
    \mathcal{U} \subseteq \mathcal{V} \Longrightarrow
       restricted-ideal-to_I \ \mathcal{U} \ I \subseteq restricted-ideal-to_I \ \mathcal{U} \ J \rangle
     \langle proof \rangle
lemma full-checker-spec:
     assumes \langle (A, A') \in polys\text{-}rel \rangle
     shows
               \langle full\text{-}checker\ spec\ A\ pac \leq \emptyset \{((st,\ G),\ (st',\ G')).\ (st,\ st') \in status\text{-}rel\ \land
```

```
(st \neq FAILED \longrightarrow (G, G') \in polys\text{-}rel\text{-}full)\}
(PAC\text{-}checker\text{-}specification spec } (A')) \land (proof) \land (proof
```

# 7 Polynomials of strings

Isabelle's definition of polynomials only work with variables of type *nat*. Therefore, we introduce a version that uses strings.

### 7.1 Polynomials and Variables

```
 \begin{array}{l} \textbf{lemma} \ poly\text{-}embed\text{-}EX\text{:} \\ (\exists \ \varphi. \ bij \ (\varphi :: string \Rightarrow nat)) \\ \langle proof \rangle \end{array}
```

Using a multiset instead of a list has some advantage from an abstract point of view. First, we can have monomials that appear several times and the coefficient can also be zero. Basically, we can represent un-normalised polynomials, which is very useful to talk about intermediate states in our program.

```
type-synonym \ term-poly = \langle string \ multiset \rangle
type-synonym mset-polynomial =
  \langle (term\text{-}poly*int) | multiset \rangle
definition normalized-poly :: \langle mset-polynomial \Rightarrow bool \rangle where
  \langle normalized\text{-}poly\ p\longleftrightarrow
      distinct-mset (fst '\# p) \land
      0 \notin \# snd ' \# p
lemma normalized-poly-simps[simp]:
  \langle normalized\text{-}poly \ \{\#\} \rangle
  (normalized\text{-}poly\ (add\text{-}mset\ t\ p)\longleftrightarrow snd\ t\neq 0\ \land
     fst \ t \notin \# \ fst \ '\# \ p \land normalized\text{-poly} \ p \land
  \langle proof \rangle
\mathbf{lemma}\ normalized\text{-}poly\text{-}mono:
  \langle normalized\text{-}poly \ B \Longrightarrow A \subseteq \# \ B \Longrightarrow normalized\text{-}poly \ A \rangle
  \langle proof \rangle
definition mult-poly-by-monom :: \langle term-poly * int \Rightarrow mset-polynomial \Rightarrow mset-polynomial \rangle where
  \langle mult-poly-by-monom = (\lambda ys \ q. \ image-mset \ (\lambda xs. \ (fst \ xs + fst \ ys, \ snd \ ys * snd \ xs)) \ q) \rangle
```

```
\textbf{definition} \ \textit{mult-poly-raw} :: \langle \textit{mset-polynomial} \Rightarrow \textit{mset-polynomial} \Rightarrow \textit{mset-polynomial} \rangle \ \textbf{where}
  \langle mult\text{-}poly\text{-}raw \ p \ q =
    (sum\text{-}mset\ ((\lambda y.\ mult\text{-}poly\text{-}by\text{-}monom\ y\ q)\ '\#\ p))
definition remove-powers :: \langle mset\text{-polynomial} \rangle \Rightarrow mset\text{-polynomial} \rangle where
  \langle remove\text{-}powers \ xs = image\text{-}mset \ (apfst \ remdups\text{-}mset) \ xs \rangle
definition all-vars-mset :: \langle mset-polynomial \Rightarrow string multiset \rangle where
  \langle all\text{-}vars\text{-}mset\ p = \bigcup \#\ (fst\ '\#\ p) \rangle
abbreviation all-vars :: \langle mset\text{-polynomial} \Rightarrow string \ set \rangle where
  \langle all\text{-}vars \ p \equiv set\text{-}mset \ (all\text{-}vars\text{-}mset \ p) \rangle
definition add-to-coefficient :: \langle - \Rightarrow mset-polynomial \Rightarrow mset-polynomial \rangle where
  \langle add\text{-}to\text{-}coefficient = (\lambda(a, n) \ b. \ \{\#(a', -) \in \# \ b. \ a' \neq a\#\} + (add\text{-}to\text{-}coefficient) \}
               (if \ n + sum - mset \ (snd '\# \{\#(a', -) \in \# \ b. \ a' = a\#\}) = 0 \ then \ \{\#\}
                  else \{\#(a, n + sum\text{-mset } (snd '\# \{\#(a', -) \in \# b. a' = a\#\}))\#\}))
definition normalize-poly :: \langle mset-polynomial \Rightarrow mset-polynomial \rangle where
  \langle normalize\text{-}poly \ p = fold\text{-}mset \ add\text{-}to\text{-}coefficient \ \{\#\} \ p \rangle
lemma add-to-coefficient-simps:
  (n + sum\text{-}mset (snd '\# \{\#(a', -) \in \# b. a' = a\#\}) \neq 0 \Longrightarrow
    add-to-coefficient (a, n) b = \{\#(a', -) \in \# b. \ a' \neq a\#\} + b
               \{\#(a, n + sum\text{-}mset (snd '\# \{\#(a', -) \in \# b. a' = a\#\}))\#\}
  (n + sum\text{-}mset (snd '\# \{\#(a', -) \in \# b. a' = a\#\}) = 0 \Longrightarrow
    add-to-coefficient (a, n) b = \{\#(a', -) \in \# b. \ a' \neq a\#\} \} and
  add-to-coefficient-simps-If:
  (add\text{-}to\text{-}coefficient\ (a,\ n)\ b=\{\#(a',\ \text{-})\in\#\ b.\ a'\neq a\#\}+
               (if \ n + sum\text{-mset} \ (snd '\# \{\#(a', -) \in \# \ b. \ a' = a\#\}) = 0 \ then \{\#\}
                  else \{\#(a, n + sum\text{-mset } (snd '\# \{\#(a', -) \in \# b. a' = a\#\}))\#\})
  \langle proof \rangle
interpretation comp-fun-commute (add-to-coefficient)
\langle proof \rangle
lemma normalized-poly-normalize-poly[simp]:
  \langle normalized\text{-}poly \ (normalize\text{-}poly \ p) \rangle
  \langle proof \rangle
7.2
          Addition
inductive add-poly-p:: \langle mset-polynomial \times mset-polynomial \times mset-polynomial \times
mset-polynomial \times mset-polynomial \Rightarrow bool \land where
add-new-coeff-r:
     \langle add\text{-}poly\text{-}p\ (p,\ add\text{-}mset\ x\ q,\ r)\ (p,\ q,\ add\text{-}mset\ x\ r)\rangle\ |
add-new-coeff-l:
    \langle add\text{-}poly\text{-}p \ (add\text{-}mset \ x \ p, \ q, \ r) \ (p, \ q, \ add\text{-}mset \ x \ r) \rangle \mid
add-same-coeff-l:
     \langle add-poly-p (add-mset (x, n) p, q, add-mset (x, m) r) (p, q, add-mset (x, n + m) r) \rangle
add-same-coeff-r:
```

 $\langle add\text{-poly-}p\ (p,\ add\text{-mset}\ (x,\ n)\ q,\ add\text{-mset}\ (x,\ m)\ r)\ (p,\ q,\ add\text{-mset}\ (x,\ n+m)\ r)\rangle$ 

rem-0-coeff:

```
\langle add\text{-}poly\text{-}p\ (p,\ q,\ add\text{-}mset\ (x,\ \theta)\ r)\ (p,\ q,\ r)\rangle
inductive-cases add-poly-pE: \langle add-poly-p S T \rangle
lemmas add-poly-p-induct =
      add-poly-p.induct[split-format(complete)]
lemma add-poly-p-empty-l:
      \langle add - poly - p^{**} (p, q, r) (\{\#\}, q, p + r) \rangle
      \langle proof \rangle
\mathbf{lemma}\ add-poly-p-empty-r:
      \langle add - poly - p^{**} (p, q, r) (p, \{\#\}, q + r) \rangle
lemma add-poly-p-sym:
       (\textit{add-poly-p}\ (\textit{p},\ \textit{q},\ \textit{r})\ (\textit{p}',\ \textit{q}',\ \textit{r}') \longleftrightarrow \textit{add-poly-p}\ (\textit{q},\ \textit{p},\ \textit{r})\ (\textit{q}',\ \textit{p}',\ \textit{r}') ) 
lemma wf-if-measure-in-wf:
      \langle wf R \Longrightarrow (\bigwedge a \ b. \ (a, \ b) \in S \Longrightarrow (\nu \ a, \ \nu \ b) \in R) \Longrightarrow wf S \rangle
      \langle proof \rangle
lemma lexn-n:
      \langle n > 0 \Longrightarrow (x \# xs, y \# ys) \in lexn \ r \ n \longleftrightarrow
      (length \ xs = n-1 \land length \ ys = n-1) \land ((x, y) \in r \lor (x = y \land (xs, ys) \in lexn \ r \ (n-1)))
      \langle proof \rangle
lemma wf-add-poly-p:
      \langle wf \{(x, y). \ add\text{-poly-p} \ y \ x \} \rangle
      \langle proof \rangle
lemma mult-poly-by-monom-simps[simp]:
     \langle mult\text{-poly-by-monom } t \ \{\#\} = \{\#\} \rangle
     \langle mult\text{-poly-by-monom}\ t\ (ps+qs)=\ mult\text{-poly-by-monom}\ t\ ps+mult\text{-poly-by-monom}\ t\ qs \rangle
    (mult-poly-by-monom\ a\ (add-mset\ p\ ps)=add-mset\ (fst\ a+fst\ p,\ snd\ a*snd\ p)\ (mult-poly-by-monom\ pst)
(a ps)
\langle proof \rangle
inductive \ mult-poly-p :: \langle mset-polynomial \Rightarrow mset-polynomial \times mset-polynomial \Rightarrow mset-polynomial \rangle
\times mset\text{-}polynomial \Rightarrow bool
    for q :: mset-polynomial where
mult-step:
          \langle mult-poly-p \ (add-mset \ (xs, \ n) \ p, \ r) \ (p, \ (\lambda(ys, \ m). \ (remdups-mset \ (xs+ys), \ n*m)) \ '\# \ q+r) \rangle
lemmas mult-poly-p-induct = mult-poly-p.induct[split-format(complete)]
7.3
                      Normalisation
inductive normalize-poly-p :: \langle mset\text{-polynomial} \Rightarrow mset\text{-polynomial} \Rightarrow bool \rangle where
rem-0-coeff[simp, intro]:
          \langle normalize\text{-poly-p} \ p \ q \Longrightarrow normalize\text{-poly-p} \ (add\text{-mset} \ (xs, \ \theta) \ p) \ q \rangle
merge-dup-coeff[simp, intro]:
           \langle normalize\text{-}poly\text{-}p \mid p \mid q \implies normalize\text{-}poly\text{-}p \mid (add\text{-}mset \mid (xs, \mid m) \mid (add\text{-}mset \mid (xs, \mid n) \mid p)) \mid (add\text{-}mset \mid (xs, \mid m) \mid (add\text{-}mset \mid (xs, \mid n) \mid p)) \mid (add\text{-}mset \mid (xs, \mid n) \mid p) \mid (add\text{-}
m + n) |q\rangle\rangle
```

```
same[simp, intro]:
     \langle normalize\text{-}poly\text{-}p \mid p \mid p \rangle
keep\text{-}coeff[simp, intro]:
     \langle normalize\text{-poly-}p \ p \ q \Longrightarrow normalize\text{-poly-}p \ (add\text{-mset} \ x \ p) \ (add\text{-mset} \ x \ q) \rangle
7.4
           Correctness
This locales maps string polynomials to real polynomials.
locale poly-embed =
  fixes \varphi :: \langle string \Rightarrow nat \rangle
  assumes \varphi-inj: \langle inj \varphi \rangle
begin
definition poly-of-vars :: term-poly \Rightarrow ('a :: {comm-semiring-1}) mpoly where
  \langle poly\text{-}of\text{-}vars \ xs = fold\text{-}mset \ (\lambda a \ b. \ Var \ (\varphi \ a) * b) \ (1 :: 'a \ mpoly) \ xs \rangle
lemma poly-of-vars-simps[simp]:
  shows
     \langle poly\text{-}of\text{-}vars\ (add\text{-}mset\ x\ xs) = Var\ (\varphi\ x) * (poly\text{-}of\text{-}vars\ xs :: ('a :: \{comm\text{-}semiring\text{-}1\})\ mpoly) \} (is
?A) and
     \langle poly\text{-}of\text{-}vars\ (xs+ys) = poly\text{-}of\text{-}vars\ xs*(poly\text{-}of\text{-}vars\ ys:: ('a:: \{comm\text{-}semiring\text{-}1\})\ mpoly)\rangle (is
?B)
\langle proof \rangle
definition mononom-of-vars where
  \langle mononom\text{-}of\text{-}vars \equiv (\lambda(xs, n). (+) (Const \ n * poly\text{-}of\text{-}vars \ xs)) \rangle
interpretation comp-fun-commute (mononom-of-vars)
  \langle proof \rangle
lemma [simp]:
  \langle poly\text{-}of\text{-}vars \ \{\#\} = 1 \rangle
  \langle proof \rangle
lemma mononom-of-vars-add[simp]:
  \langle NO\text{-}MATCH \ 0 \ b \implies mononom\text{-}of\text{-}vars \ xs \ b = Const \ (snd \ xs) * poly\text{-}of\text{-}vars \ (fst \ xs) + b \rangle
  \langle proof \rangle
\textbf{definition} \ \textit{polynomial-of-mset} :: \langle \textit{mset-polynomial} \Rightarrow \neg \rangle \ \textbf{where}
  \langle polynomial\text{-}of\text{-}mset \ p = sum\text{-}mset \ (mononom\text{-}of\text{-}vars '\# p) \ 0 \rangle
lemma polynomial-of-mset-append[simp]:
  \langle polynomial\text{-}of\text{-}mset\ (xs+ys) = polynomial\text{-}of\text{-}mset\ xs+polynomial\text{-}of\text{-}mset\ ys \rangle
  \langle proof \rangle
lemma polynomial-of-mset-Cons[simp]:
  \langle polynomial\text{-}of\text{-}mset \ (add\text{-}mset \ x \ ys) = Const \ (snd \ x) * poly\text{-}of\text{-}vars \ (fst \ x) + polynomial\text{-}of\text{-}mset \ ys \rangle
lemma polynomial-of-mset-empty[simp]:
  \langle polynomial\text{-}of\text{-}mset \ \{\#\} = 0 \rangle
```

**lemma** polynomial-of-mset-mult-poly-by-monom[simp]:

 $\langle proof \rangle$ 

```
\langle polynomial\text{-}of\text{-}mset \ (mult\text{-}poly\text{-}by\text{-}monom \ x \ ys) =
       (Const\ (snd\ x)*poly-of-vars\ (fst\ x)*polynomial-of-mset\ ys)
 \langle proof \rangle
lemma polynomial-of-mset-mult-poly-raw[simp]:
  \langle polynomial - of-mset \ (mult-poly-raw \ xs \ ys) = polynomial - of-mset \ xs * polynomial - of-mset \ ys \rangle
  \langle proof \rangle
lemma polynomial-of-mset-uminus:
  (polynomial-of-mset \{\#case\ x\ of\ (a,\ b) \Rightarrow (a,\ -b).\ x \in \#za\#\} =
     - polynomial-of-mset za>
  \langle proof \rangle
lemma X2-X-polynomial-bool-mult-in:
  (Var(x1) * (Var(x1) * p) - Var(x1) * p \in More-Modules.ideal polynomial-bool)
  \langle proof \rangle
lemma polynomial-of-list-remove-powers-polynomial-bool:
  \langle (polynomial - of - mset \ xs) - polynomial - of - mset \ (remove - powers \ xs) \in ideal \ polynomial - book
\langle proof \rangle
\mathbf{lemma}\ add\text{-}poly\text{-}p\text{-}polynomial\text{-}of\text{-}mset:
  \langle add\text{-}poly\text{-}p\ (p,\ q,\ r)\ (p',\ q',\ r') \Longrightarrow
    polynomial-of-mset r + (polynomial-of-mset p + polynomial-of-mset q) =
    polynomial-of-mset r' + (polynomial-of-mset p' + polynomial-of-mset q')
  \langle proof \rangle
lemma rtranclp-add-poly-p-polynomial-of-mset:
  \langle add\text{-}poly\text{-}p^{**} \ (p, q, r) \ (p', q', r') \Longrightarrow
    polynomial-of-mset r + (polynomial-of-mset p + polynomial-of-mset q) =
    polynomial-of-mset r' + (polynomial-of-mset p' + polynomial-of-mset q')
  \langle proof \rangle
lemma rtranclp-add-poly-p-polynomial-of-mset-full:
  (add\text{-}poly\text{-}p^{**} (p, q, \{\#\}) (\{\#\}, \{\#\}, r') \Longrightarrow
    polynomial-of-mset r' = (polynomial-of-mset p + polynomial-of-mset q)
  \langle proof \rangle
\mathbf{lemma}\ \textit{poly-of-vars-remdups-mset}\colon
  \langle poly\text{-}of\text{-}vars \ (remdups\text{-}mset \ (xs)) - (poly\text{-}of\text{-}vars \ xs)
    \in More\text{-}Modules.ideal\ polynomial\text{-}bool \rangle
  \langle proof \rangle
\mathbf{lemma}\ \textit{polynomial-of-mset-mult-map} :
  ⟨polynomial-of-mset
     \{\#case\ x\ of\ (ys,\ n)\Rightarrow (remdups-mset\ (ys+xs),\ n*m).\ x\in \#q\#\}
    Const \ m * (poly-of-vars \ xs * polynomial-of-mset \ q)
    \in More-Modules.ideal polynomial-bool
  (is \langle ?P \ q \in \neg \rangle)
\langle proof \rangle
```

 $\mathbf{lemma}\ \mathit{mult-poly-p-mult-ideal} :$ 

```
\langle mult\text{-poly-}p \ q \ (p, r) \ (p', r') \Longrightarrow
              (polynomial\text{-}of\text{-}mset\ p'*polynomial\text{-}of\text{-}mset\ q+polynomial\text{-}of\text{-}mset\ r')-(polynomial\text{-}of\text{-}mset\ p*
polynomial-of-mset q + polynomial-of-mset r)
                  \in ideal \ polynomial-bool
\langle proof \rangle
\mathbf{lemma}\ rtranclp\text{-}mult\text{-}poly\text{-}p\text{-}mult\text{-}ideal\text{:}
      \langle (mult\text{-}poly\text{-}p\ q)^{**}\ (p,\ r)\ (p',\ r') \Longrightarrow
              (polynomial\text{-}of\text{-}mset\ p'*polynomial\text{-}of\text{-}mset\ q+polynomial\text{-}of\text{-}mset\ r')-(polynomial\text{-}of\text{-}mset\ p*polynomial\text{-}of\text{-}mset\ p*polynomial\text{-}of\text{-}mse
polynomial-of-mset q + polynomial-of-mset r)
                  \in ideal \ polynomial-bool \rangle
      \langle proof \rangle
lemma rtranclp-mult-poly-p-mult-ideal-final:
      \langle (mult\text{-}poly\text{-}p\ q)^{**}\ (p, \{\#\})\ (\{\#\}, r) \Longrightarrow
          (polynomial-of-mset\ r)-(polynomial-of-mset\ p*polynomial-of-mset\ q)
                  \in ideal \ polynomial-bool
      \langle proof \rangle
\mathbf{lemma}\ normalize\text{-}poly\text{-}p\text{-}poly\text{-}of\text{-}mset:
      \langle normalize\text{-poly-p} \ p \ q \Longrightarrow polynomial\text{-of-mset} \ p = polynomial\text{-of-mset} \ q \rangle
      \langle proof \rangle
lemma rtranclp-normalize-poly-p-poly-of-mset:
      \langle normalize\text{-}poly\text{-}p^{**} \mid p \mid q \implies polynomial\text{-}of\text{-}mset \mid p = polynomial\text{-}of\text{-}mset \mid q \rangle
      \langle proof \rangle
end
It would be nice to have the property in the other direction too, but this requires a deep dive
into the definitions of polynomials.
locale poly-embed-bij = poly-embed +
     fixes VN
     assumes \varphi-bij: \langle bij-betw \varphi \ V \ N \rangle
begin
definition \varphi' :: \langle nat \Rightarrow string \rangle where
     \langle \varphi' = the\text{-}inv\text{-}into \ V \ \varphi \rangle
lemma \varphi' - \varphi[simp]:
     \langle x \in V \Longrightarrow \varphi'(\varphi x) = x \rangle
     \langle proof \rangle
lemma \varphi-\varphi'[simp]:
     \langle x \in N \Longrightarrow \varphi (\varphi' x) = x \rangle
     \langle proof \rangle
end
end
theory PAC-Polynomials-Term
     imports PAC-Polynomials
          Refine	ext{-}Imperative	ext{-}HOL.IICF
```

### 8 Terms

We define some helper functions.

#### 8.1 Ordering

```
lemma fref-to-Down-curry-left:
  fixes f:: \langle 'a \Rightarrow 'b \Rightarrow 'c \ nres \rangle and
     A::\langle (('a \times 'b) \times 'd) \ set \rangle
     \langle (uncurry f, g) \in [P]_f A \rightarrow \langle B \rangle nres-rel \Longrightarrow
        (\bigwedge a\ b\ x'.\ P\ x'\Longrightarrow ((a,\ b),\ x')\in A\Longrightarrow f\ a\ b\leq \Downarrow B\ (g\ x'))
   \langle proof \rangle
\mathbf{lemma}\ \mathit{fref-to-Down-curry-right}\colon
  fixes g:: \langle 'a \Rightarrow 'b \Rightarrow 'c \ nres \rangle and f:: \langle 'd \Rightarrow -nres \rangle and
     A::\langle ('d \times ('a \times 'b)) \ set \rangle
  shows
     \langle (f, uncurry \ g) \in [P]_f \ A \to \langle B \rangle nres-rel \Longrightarrow
        (\land a \ b \ x'. \ P \ (a,b) \Longrightarrow (x', (a,b)) \in A \Longrightarrow f \ x' \leq \Downarrow B \ (g \ a \ b))
   \langle proof \rangle
type-synonym \ term-poly-list = \langle string \ list \rangle
type-synonym llist-polynomial = \langle (term-poly-list \times int) \ list \rangle
We instantiate the characters with typeclass linorder to be able to talk abourt sorted and so
on.
definition less\text{-}eq\text{-}char :: \langle char \Rightarrow char \Rightarrow bool \rangle where
   \langle less-eq\text{-}char \ c \ d = (((of\text{-}char \ c) :: nat) \leq of\text{-}char \ d) \rangle
definition less\text{-}char :: \langle char \Rightarrow char \Rightarrow bool \rangle where
   \langle less\text{-}char \ c \ d = (((of\text{-}char \ c) :: nat) < of\text{-}char \ d) \rangle
global-interpretation char: linorder less-eq-char less-char
   \langle proof \rangle
abbreviation less-than-char :: \langle (char \times char) \ set \rangle where
   \langle less-than-char \equiv p2rel\ less-char \rangle
lemma less-than-char-def:
   \langle (x,y) \in less\text{-}than\text{-}char \longleftrightarrow less\text{-}char \ x \ y \rangle
   \langle proof \rangle
lemma trans-less-than-char[simp]:
     \langle trans\ less-than-char \rangle and
  irrefl-less-than-char:
     \langle irrefl\ less-than-char 
angle\ {f and}
   antisym-less-than-char:
     \langle antisym\ less-than-char \rangle
   \langle proof \rangle
```

### 8.2 Polynomials

```
definition var\text{-}order\text{-}rel :: \langle (string \times string) \ set \rangle where
   \langle var\text{-}order\text{-}rel \equiv lexord \ less\text{-}than\text{-}char \rangle
abbreviation var-order :: \langle string \Rightarrow string \Rightarrow bool \rangle where
   \langle var\text{-}order \equiv rel2p \ var\text{-}order\text{-}rel \rangle
abbreviation term-order-rel :: \langle (term-poly-list \times term-poly-list \rangle set \rangle where
   \langle term\text{-}order\text{-}rel \equiv lexord \ var\text{-}order\text{-}rel \rangle
abbreviation term\text{-}order :: \langle term\text{-}poly\text{-}list \Rightarrow term\text{-}poly\text{-}list \Rightarrow bool \rangle where
   \langle term\text{-}order \equiv rel2p \ term\text{-}order\text{-}rel \rangle
definition term-poly-list-rel :: \langle (term-poly-list \times term-poly) set \rangle where
   \langle term\text{-}poly\text{-}list\text{-}rel = \{(xs, ys).
      ys = mset \ xs \land
       distinct \ xs \ \land
      sorted-wrt (rel2p \ var-order-rel) \ xs}
definition unsorted-term-poly-list-rel :: \langle (term-poly-list \times term-poly) set \rangle where
   \langle unsorted\text{-}term\text{-}poly\text{-}list\text{-}rel = \{(xs, ys).
      ys = mset \ xs \land distinct \ xs \}
definition poly-list-rel :: \langle - \Rightarrow (('a \times int) | list \times mset\text{-polynomial}) | set \rangle where
   \langle poly\text{-}list\text{-}rel\ R = \{(xs,\ ys).
      (xs, ys) \in \langle R \times_r int\text{-rel} \rangle list\text{-rel } O \ list\text{-mset-rel } \wedge
      0 \notin \# snd ' \# ys \}
definition sorted-poly-list-rel-wrt :: \langle ('a \Rightarrow 'a \Rightarrow bool) \rangle
       \Rightarrow ('a \times string multiset) set \Rightarrow (('a \times int) list \times mset-polynomial) set \wedge where
   \langle sorted\text{-}poly\text{-}list\text{-}rel\text{-}wrt\ S\ R = \{(xs,\ ys).
      (xs, ys) \in \langle R \times_r int\text{-rel} \rangle list\text{-rel } O \ list\text{-mset-rel } \wedge
      sorted-wrt S (map fst xs) \land
      distinct (map fst xs) \land
      0 \notin \# snd ' \# ys \}
abbreviation sorted-poly-list-rel where
   \langle sorted\text{-}poly\text{-}list\text{-}rel\ R \equiv sorted\text{-}poly\text{-}list\text{-}rel\text{-}wrt\ R\ term\text{-}poly\text{-}list\text{-}rel} \rangle
abbreviation sorted-poly-rel where
   \langle sorted\text{-}poly\text{-}rel \equiv sorted\text{-}poly\text{-}list\text{-}rel \ term\text{-}order \rangle
definition sorted-repeat-poly-list-rel-wrt :: \langle ('a \Rightarrow 'a \Rightarrow bool) \rangle
       \Rightarrow ('a \times string multiset) set \Rightarrow (('a \times int) list \times mset-polynomial) set \times \mathbf{where}
   \langle sorted\text{-}repeat\text{-}poly\text{-}list\text{-}rel\text{-}wrt\ S\ R = \{(xs,\ ys).\ 
      (xs, ys) \in \langle R \times_r int\text{-rel} \rangle list\text{-rel } O \ list\text{-mset-rel } \wedge
      sorted-wrt S (map fst xs) \land
      0 \notin \# snd ' \# ys \}
abbreviation sorted-repeat-poly-list-rel where
   \langle sorted\text{-}repeat\text{-}poly\text{-}list\text{-}rel\ R \equiv sorted\text{-}repeat\text{-}poly\text{-}list\text{-}rel\text{-}wrt\ R\ term\text{-}poly\text{-}list\text{-}rel} \rangle
abbreviation sorted-repeat-poly-rel where
   \langle sorted\_repeat\_poly\_rel \equiv sorted\_repeat\_poly\_list\_rel \ (rel2p \ (Id \cup lexord \ var\_order\_rel)) \rangle
```

```
abbreviation unsorted-poly-rel where
   \langle unsorted\text{-}poly\text{-}rel \equiv poly\text{-}list\text{-}rel \ term\text{-}poly\text{-}list\text{-}rel \rangle
lemma sorted-poly-list-rel-empty-l[simp]:
   \langle ([], s') \in sorted\text{-}poly\text{-}list\text{-}rel\text{-}wrt \ S \ T \longleftrightarrow s' = \{\#\} \rangle
   \langle proof \rangle
definition fully-unsorted-poly-list-rel :: \langle - \Rightarrow (('a \times int) \ list \times mset\text{-polynomial}) \ set \rangle where
   \langle fully\text{-}unsorted\text{-}poly\text{-}list\text{-}rel\ R = \{(xs,\ ys).
       (xs, ys) \in \langle R \times_r int\text{-rel} \rangle list\text{-rel } O \ list\text{-mset-rel} \rangle
abbreviation fully-unsorted-poly-rel where
   \langle fully\text{-}unsorted\text{-}poly\text{-}rel \equiv fully\text{-}unsorted\text{-}poly\text{-}list\text{-}rel \ unsorted\text{-}term\text{-}poly\text{-}list\text{-}rel \rangle}
lemma fully-unsorted-poly-list-rel-empty-iff[simp]:
   \langle (p, \{\#\}) \in fully\text{-}unsorted\text{-}poly\text{-}list\text{-}rel\ R \longleftrightarrow p = [] \rangle
   \langle ([], p') \in fully\text{-}unsorted\text{-}poly\text{-}list\text{-}rel\ R \longleftrightarrow p' = \{\#\} \rangle
   \langle proof \rangle
definition poly-list-rel-with 0:: \langle - \Rightarrow (('a \times int) \ list \times mset\text{-polynomial}) \ set \rangle where
   \langle poly\text{-}list\text{-}rel\text{-}with0 \ R = \{(xs, ys).
       (xs, ys) \in \langle R \times_r int\text{-rel} \rangle list\text{-rel } O \ list\text{-mset-rel} \rangle
abbreviation unsorted-poly-rel-with\theta where
   \langle unsorted\text{-}poly\text{-}rel\text{-}with0 \equiv fully\text{-}unsorted\text{-}poly\text{-}list\text{-}rel \ term\text{-}poly\text{-}list\text{-}rel\rangle
lemma poly-list-rel-with 0-empty-iff [simp]:
   \langle (p, \{\#\}) \in poly\text{-}list\text{-}rel\text{-}with0 \ R \longleftrightarrow p = [] \rangle
   \langle ([], p') \in poly\text{-}list\text{-}rel\text{-}with0 \ R \longleftrightarrow p' = \{\#\} \rangle
   \langle proof \rangle
definition sorted-repeat-poly-list-rel-with 0-wrt :: \langle ('a \Rightarrow 'a \Rightarrow bool) \rangle
       \Rightarrow ('a \times string multiset) set \Rightarrow (('a \times int) list \times mset-polynomial) set \times \textbf{where}
   \langle sorted\text{-}repeat\text{-}poly\text{-}list\text{-}rel\text{-}with0\text{-}wrt\ S\ R=\{(xs,\ ys).
       (xs, ys) \in \langle R \times_r int\text{-}rel \rangle list\text{-}rel O list\text{-}mset\text{-}rel \wedge
       sorted-wrt S (map fst xs) \}
abbreviation sorted-repeat-poly-list-rel-with \theta where
   \langle sorted\text{-}repeat\text{-}poly\text{-}list\text{-}rel\text{-}with0} \ R \equiv sorted\text{-}repeat\text{-}poly\text{-}list\text{-}rel\text{-}with0\text{-}wrt} \ R \ term\text{-}poly\text{-}list\text{-}rel\rangle
abbreviation sorted-repeat-poly-rel-with\theta where
   \langle sorted\text{-}repeat\text{-}poly\text{-}rel\text{-}with0} \equiv sorted\text{-}repeat\text{-}poly\text{-}list\text{-}rel\text{-}with0} \ (rel2p\ (Id\ \cup\ lexord\ var\text{-}order\text{-}rel)) \rangle
lemma term-poly-list-relD:
   \langle (xs, ys) \in term\text{-poly-list-rel} \implies distinct \ xs \rangle
   \langle (xs, ys) \in term\text{-poly-list-rel} \Longrightarrow ys = mset \ xs \rangle
   \langle (xs, ys) \in term\text{-poly-list-rel} \implies sorted\text{-wrt} \ (rel2p \ var\text{-order-rel}) \ xs \rangle
   \langle (xs, ys) \in term\text{-poly-list-rel} \Longrightarrow sorted\text{-wrt} \ (rel2p \ (Id \cup var\text{-order-rel})) \ xs \rangle
   \langle proof \rangle
```

```
end
theory PAC-Polynomials-Operations
imports PAC-Polynomials-Term PAC-Checker-Specification
begin
```

## 9 Polynomials as Lists

 $\langle nonzero\text{-}coeffs\ a \longleftrightarrow 0 \notin \#\ snd '\#\ a \rangle$ 

#### 9.1 Addition

In this section, we refine the polynomials to list. These lists will be used in our checker to represent the polynomials and execute operations.

There is one *key* difference between the list representation and the usual representation: in the former, coefficients can be zero and monomials can appear several times. This makes it easier to reason on intermediate representation where this has not yet been sanitized.

**fun** add-poly-l' ::  $\langle llist$ - $polynomial \times llist$ - $polynomial \Rightarrow llist$ - $polynomial \rangle$  **where** 

```
\langle add - poly - l'(p, []) = p \rangle
  \langle add\text{-}poly\text{-}l'\left([],\ q\right)=q\rangle
  \langle add\text{-}poly\text{-}l'((xs, n) \# p, (ys, m) \# q) =
            (if xs = ys then if n + m = 0 then add-poly-l' (p, q) else
                  let pq = add-poly-l'(p, q) in
                  ((xs, n + m) \# pq)
            else if (xs, ys) \in term\text{-}order\text{-}rel
              then
                  let pq = add-poly-l'(p, (ys, m) \# q) in
                  ((xs, n) \# pq)
            else
                  let pq = add-poly-l' ((xs, n) \# p, q) in
                  ((ys, m) \# pq)
            )>
definition add-poly-l:: \langle llist-polynomial \times llist-polynomial \Rightarrow llist-polynomial nres \rangle where
  \langle add-poly-l = REC_T
      (\lambda add\text{-}poly\text{-}l\ (p,\ q).
        case (p,q) of
          (p, []) \Rightarrow RETURN p
        |([], q) \Rightarrow RETURN q
        |((xs, n) \# p, (ys, m) \# q) \Rightarrow
            (if xs = ys then if n + m = 0 then add-poly-l (p, q) else
                 pq \leftarrow add-poly-l(p, q);
                  RETURN ((xs, n + m) \# pq)
            else if (xs, ys) \in term\text{-}order\text{-}rel
              then do {
                 pq \leftarrow add\text{-}poly\text{-}l\ (p,\ (ys,\ m)\ \#\ q);
                  RETURN ((xs, n) \# pq)
            else do {
                 pq \leftarrow add-poly-l((xs, n) \# p, q);
                  RETURN ((ys, m) \# pq)
            }))>
definition nonzero-coeffs where
```

```
lemma nonzero-coeffs-simps[simp]:
    \langle nonzero\text{-}coeffs \{\#\} \rangle
    (nonzero-coeffs\ (add-mset\ (xs,\ n)\ a)\longleftrightarrow nonzero-coeffs\ a\land n\neq 0)
    \langle proof \rangle
lemma nonzero-coeffsD:
    \langle nonzero\text{-}coeffs\ a \Longrightarrow (x,\ n) \in \#\ a \Longrightarrow n \neq 0 \rangle
    \langle proof \rangle
lemma sorted-poly-list-rel-ConsD:
    ((ys, n) \# p, a) \in sorted-poly-list-rel S \Longrightarrow (p, remove 1 - mset (mset ys, n) a) \in sorted-poly-list-rel S \Longrightarrow (p, remove 1 - mset (mset ys, n) a) \in sorted-poly-list-rel S \Longrightarrow (p, remove 1 - mset (mset ys, n) a) \in sorted-poly-list-rel S \Longrightarrow (p, remove 1 - mset (mset ys, n) a) \in sorted-poly-list-rel S \Longrightarrow (p, remove 1 - mset (mset ys, n) a) \in sorted-poly-list-rel S \Longrightarrow (p, remove 1 - mset (mset ys, n) a) \in sorted-poly-list-rel S \Longrightarrow (p, remove 1 - mset (mset ys, n) a) \in sorted-poly-list-rel S \Longrightarrow (p, remove 1 - mset (mset ys, n) a) \in sorted-poly-list-rel S \Longrightarrow (p, remove 1 - mset (mset ys, n) a) \in sorted-poly-list-rel S \Longrightarrow (p, remove 1 - mset (mset ys, n) a) \in sorted-poly-list-rel S \Longrightarrow (p, remove 1 - mset (mset ys, n) a) \in sorted-poly-list-rel S \Longrightarrow (p, remove 1 - mset (mset ys, n) a) \in sorted-poly-list-rel S \Longrightarrow (p, remove 1 - mset (mset ys, n) a) \in sorted-poly-list-rel S \Longrightarrow (p, remove 1 - mset (mset ys, n) a) \in sorted-poly-list-rel S \Longrightarrow (p, remove 1 - mset (mset ys, n) a) \in sorted-poly-list-rel S \Longrightarrow (p, remove 1 - mset (mset ys, n) a) \in sorted-poly-list-rel S \Longrightarrow (p, remove 1 - mset (mset ys, n) a) \in sorted-poly-list-rel S \Longrightarrow (p, remove 1 - mset (mset ys, n) a) \in sorted-poly-list-rel S \Longrightarrow (p, remove 1 - mset (mset ys, n) a) \in sorted-poly-list-rel S \Longrightarrow (p, remove 1 - mset (mset ys, n) a) \in sorted-poly-list-rel S \Longrightarrow (p, remove 1 - mset (mset ys, n) a) \in sorted-poly-list-rel S \Longrightarrow (p, remove 1 - mset (mset ys, n) a)
        (mset\ ys,\ n) \in \#\ a \land (\forall\ x \in set\ p.\ S\ ys\ (fst\ x)) \land sorted\text{-}wrt\ (rel2p\ var\text{-}order\text{-}rel)\ ys \land
         distinct ys \land ys \notin set (map \ fst \ p) \land n \neq 0 \land nonzero-coeffs \ a
    \langle proof \rangle
lemma sorted-poly-list-rel-Cons-iff:
     <((ys,\ n)\ \#\ p,\ a)\in \mathit{sorted-poly-list-rel}\ S\longleftrightarrow (p,\ \mathit{remove1-mset}\ (\mathit{mset}\ \mathit{ys},\ n)\ a)\in \mathit{sorted-poly-list-rel}\ S
        (mset\ ys,\ n) \in \#\ a \land (\forall\ x \in set\ p.\ S\ ys\ (fst\ x)) \land sorted\text{-}wrt\ (rel2p\ var\text{-}order\text{-}rel)\ ys\ \land
        distinct ys \land ys \notin set (map \ fst \ p) \land n \neq 0 \land nonzero\text{-}coeffs \ a
    \langle proof \rangle
lemma sorted-repeat-poly-list-rel-ConsD:
  \langle ((ys, n) \# p, a) \in sorted\text{-}repeat\text{-}poly\text{-}list\text{-}rel\ S} \Longrightarrow (p, remove1\text{-}mset\ (mset\ ys,\ n)\ a) \in sorted\text{-}repeat\text{-}poly\text{-}list\text{-}rel\ S}
S \wedge
        (mset\ ys,\ n) \in \#\ a \land (\forall\ x \in set\ p.\ S\ ys\ (fst\ x)) \land sorted\text{-}wrt\ (rel2p\ var\text{-}order\text{-}rel)\ ys\ \land
        distinct \ ys \land n \neq 0 \land nonzero\text{-}coeffs \ a
    \langle proof \rangle
lemma sorted-repeat-poly-list-rel-Cons-iff:
   ((ys, n) \# p, a) \in sorted\text{-}repeat\text{-}poly\text{-}list\text{-}rel\ S} \longleftrightarrow (p, remove 1\text{-}mset\ (mset\ ys,\ n)\ a) \in sorted\text{-}repeat\text{-}poly\text{-}list\text{-}rel\ S}
S \wedge
        (mset\ ys,\ n) \in \#\ a \land (\forall\ x \in set\ p.\ S\ ys\ (fst\ x)) \land sorted\text{-}wrt\ (rel2p\ var\text{-}order\text{-}rel)\ ys \land
         distinct \ ys \land n \neq 0 \land nonzero\text{-}coeffs \ a
    \langle proof \rangle
lemma add-poly-p-add-mset-sum-\theta:
      \langle n + m = 0 \Longrightarrow add\text{-}poly\text{-}p^{**} (A, Aa, \{\#\}) (\{\#\}, \{\#\}, r) \Longrightarrow
                      add-poly-p^{**}
                        (add\text{-}mset\ (mset\ ys,\ n)\ A,\ add\text{-}mset\ (mset\ ys,\ m)\ Aa,\ \{\#\})
                        (\{\#\}, \{\#\}, r)
    \langle proof \rangle
lemma monoms-add-poly-l'D:
    \langle (aa, ba) \in set \ (add\text{-}poly\text{-}l'\ x) \Longrightarrow aa \in fst \ `set \ (fst\ x) \lor aa \in fst \ `set \ (snd\ x) \rangle
    \langle proof \rangle
lemma add-poly-p-add-to-result:
    \langle add\text{-}poly\text{-}p^{**} \ (A, B, r) \ (A', B', r') \Longrightarrow
              add-poly-p^{**}
```

```
(A, B, p + r) (A', B', p + r')
   \langle proof \rangle
\mathbf{lemma}\ add-poly-p-add-mset-comb:
   \langle add\text{-}poly\text{-}p^{**} \ (A, Aa, \{\#\}) \ (\{\#\}, \{\#\}, r) \Longrightarrow
         add-poly-p^{**}
          (add\text{-}mset\ (xs,\ n)\ A,\ Aa,\ \{\#\})
          (\{\#\}, \{\#\}, add\text{-}mset (xs, n) r)
   \langle proof \rangle
lemma add-poly-p-add-mset-comb2:
    (add\text{-}poly\text{-}p^{**}\ (A,\ Aa,\ \{\#\})\ (\{\#\},\ \{\#\},\ r) \Longrightarrow 
         add-poly-p^*
          (add\text{-}mset\ (ys,\ n)\ A,\ add\text{-}mset\ (ys,\ m)\ Aa,\ \{\#\})
          (\{\#\}, \{\#\}, add\text{-mset } (ys, n + m) r)
   \langle proof \rangle
lemma add-poly-p-add-mset-comb3:
   \langle add\text{-}poly\text{-}p^{**} \ (A,\ Aa,\ \{\#\})\ (\{\#\},\ \{\#\},\ r) \Longrightarrow
         add-poly-p^{**}
          (A, add\text{-}mset (ys, m) Aa, \{\#\})
          (\{\#\}, \{\#\}, add\text{-}mset (ys, m) r)
   \langle proof \rangle
lemma total-on-lexord:
   \langle Relation.total\text{-}on\ UNIV\ R \Longrightarrow Relation.total\text{-}on\ UNIV\ (lexord\ R) \rangle
   \langle proof \rangle
lemma antisym-lexord:
   \langle antisym \ R \Longrightarrow irrefl \ R \Longrightarrow antisym \ (lexord \ R) \rangle
   \langle proof \rangle
lemma less-than-char-linear:
   \langle (a, b) \in less\text{-}than\text{-}char \vee
              a = b \lor (b, a) \in less-than-char
   \langle proof \rangle
\mathbf{lemma}\ total\text{-}on\text{-}lexord\text{-}less\text{-}than\text{-}char\text{-}linear:}
   \langle xs \neq ys \Longrightarrow (xs, ys) \notin lexord (lexord less-than-char) \longleftrightarrow
         (ys, xs) \in lexord (lexord less-than-char)
    \langle proof \rangle
lemma sorted-poly-list-rel-nonzeroD:
   \langle (p, r) \in sorted\text{-}poly\text{-}list\text{-}rel\ term\text{-}order \Longrightarrow
         nonzero-coeffs (r)
   \langle (p, r) \in sorted\text{-}poly\text{-}list\text{-}rel \ (rel2p \ (lexord \ (lexord \ less\text{-}than\text{-}char))) \Longrightarrow
         nonzero-coeffs (r)
   \langle proof \rangle
lemma add-poly-l'-add-poly-p:
  assumes \langle (pq, pq') \in sorted\text{-}poly\text{-}rel \times_r sorted\text{-}poly\text{-}rel \rangle
  shows \forall \exists r. (add\text{-}poly\text{-}l' pq, r) \in sorted\text{-}poly\text{-}rel \land
                               add\text{-}poly\text{-}p^{**}\ (\textit{fst pq'},\ \textit{snd pq'},\ \{\#\})\ (\{\#\},\ \{\#\},\ r)^{\setminus}
```

```
\langle proof \rangle
\mathbf{lemma}\ add-poly-l-add-poly:
  \langle add\text{-}poly\text{-}l \ x = RETURN \ (add\text{-}poly\text{-}l' \ x) \rangle
  \langle proof \rangle
lemma add-poly-l-spec:
  (add\text{-}poly\text{-}l, uncurry (\lambda p \ q. SPEC(\lambda r. add\text{-}poly\text{-}p^{**} (p, q, \{\#\}) (\{\#\}, \{\#\}, r)))) \in
    sorted-poly-rel \times_r sorted-poly-rel \rightarrow_f \langle sorted-poly-rel\rangle nres-rel\rangle
  \langle proof \rangle
definition sort-poly-spec :: \langle llist-polynomial \Rightarrow llist-polynomial nres \rangle where
\langle sort\text{-}poly\text{-}spec \ p =
  SPEC(\lambda p'. mset \ p = mset \ p' \land sorted-wrt \ (rel2p \ (Id \cup term-order-rel)) \ (map \ fst \ p'))
lemma sort-poly-spec-id:
  assumes \langle (p, p') \in unsorted\text{-}poly\text{-}rel \rangle
  shows \langle sort\text{-}poly\text{-}spec \ p \leq \downarrow \rangle  (sorted-repeat-poly-rel) (RETURN p')
\langle proof \rangle
9.2
          Multiplication
fun mult-monoms :: \langle term-poly-list \Rightarrow term-poly-list \Rightarrow term-poly-list \rangle where
  \langle mult\text{-}monoms\ p\ []=p\rangle\ |
  \langle mult\text{-}monoms \mid p = p \rangle \mid
  \langle mult\text{-}monoms\ (x\ \#\ p)\ (y\ \#\ q) =
    (if x = y then x \# mult-monoms p \neq q
      else if (x, y) \in var\text{-}order\text{-}rel then } x \# mult\text{-}monoms } p (y \# q)
       else y \# mult\text{-}monoms (x \# p) | q \rangle
lemma term-poly-list-rel-empty-iff[simp]:
  \langle ([], q') \in term\text{-poly-list-rel} \longleftrightarrow q' = \{\#\} \rangle
  \langle proof \rangle
lemma term-poly-list-rel-Cons-iff:
  \langle (y \# p, p') \in term\text{-poly-list-rel} \longleftrightarrow
    (p, remove1\text{-}mset\ y\ p') \in term\text{-}poly\text{-}list\text{-}rel\ \land
    (\forall x \in \#mset \ p. \ (y, \ x) \in var\text{-}order\text{-}rel)
  \langle proof \rangle
lemma var-order-rel-antisym[simp]:
  \langle (y, y) \notin var\text{-}order\text{-}rel \rangle
  \langle proof \rangle
lemma term-poly-list-rel-remdups-mset:
  \langle (p, p') \in term\text{-}poly\text{-}list\text{-}rel \Longrightarrow
    (p, remdups-mset p') \in term-poly-list-rel
  \langle proof \rangle
lemma \ var-notin-notin-mult-monomsD:
  \langle y \in set \ (mult\text{-}monoms \ p \ q) \Longrightarrow y \in set \ p \lor y \in set \ q \rangle
  \langle proof \rangle
```

**lemma** term-poly-list-rel-set-mset:

```
\langle (p, q) \in term\text{-poly-list-rel} \implies set \ p = set\text{-mset} \ q \rangle
   \langle proof \rangle
lemma mult-monoms-spec:
 \langle (mult-monoms, (\lambda a\ b.\ remdups-mset\ (a+b))) \in term-poly-list-rel \to term-poly-list-rel \to term-poly-list-rel \rangle
   \langle proof \rangle
definition mult-monomials :: \langle term\text{-poly-list} \times int \Rightarrow term\text{-poly-list} \times int \Rightarrow term\text{-poly-list} \times int \rangle where
   \langle mult\text{-}monomials = (\lambda(x, a) \ (y, b). \ (mult\text{-}monoms \ x \ y, \ a * b)) \rangle
definition mult-poly-raw :: \langle llist-polynomial \Rightarrow llist-polynomial \Rightarrow llist-polynomial \rangle where
   \langle mult\text{-poly-raw } p | q = foldl \ (\lambda b \ x. \ map \ (mult\text{-monomials } x) \ q \ @ \ b) \ [] \ p \rangle
fun map-append where
  \langle map\text{-}append \ f \ b \ [] = b \rangle
  \langle map\text{-}append\ f\ b\ (x\ \#\ xs) = f\ x\ \#\ map\text{-}append\ f\ b\ xs \rangle
lemma map-append-alt-def:
   \langle map\text{-}append \ f \ b \ xs = map \ f \ xs \ @ \ b \rangle
   \langle proof \rangle
lemma foldl-append-empty:
   \langle NO\text{-}MATCH \mid xs \Longrightarrow foldl \ (\lambda b \ x. \ f \ x @ b) \ xs \ p = foldl \ (\lambda b \ x. \ f \ x @ b) \ \mid p @ xs \rangle
   \langle proof \rangle
lemma poly-list-rel-empty-iff[simp]:
   \langle ([], r) \in poly\text{-}list\text{-}rel\ R \longleftrightarrow r = \{\#\} \rangle
   \langle proof \rangle
lemma mult-poly-raw-simp[simp]:
   \langle mult\text{-}poly\text{-}raw \mid q = \mid \rangle
   \langle mult\text{-poly-raw} \ (x \ \# \ p) \ q = mult\text{-poly-raw} \ p \ q \ @ \ map \ (mult\text{-monomials} \ x) \ q \rangle
   \langle proof \rangle
lemma sorted-poly-list-relD:
   \langle (q, q') \in sorted\text{-poly-list-rel } R \Longrightarrow q' = (\lambda(a, b), (mset a, b)) \text{ '} \# mset q \rangle
  \langle proof \rangle
lemma list-all2-in-set-ExD:
   \langle list-all 2 \ R \ p \ q \Longrightarrow x \in set \ p \Longrightarrow \exists \ y \in set \ q. \ R \ x \ y \rangle
   \langle proof \rangle
inductive-cases mult-poly-p-elim: \langle mult-poly-p \ q \ (A, \ r) \ (B, \ r') \rangle
{f lemma}\ mult-poly-p-add-mset-same:
   \langle (mult\text{-poly-}p\ q')^{**}\ (A,\ r)\ (B,\ r') \Longrightarrow (mult\text{-poly-}p\ q')^{**}\ (add\text{-mset}\ x\ A,\ r)\ (add\text{-mset}\ x\ B,\ r') \rangle
   \langle proof \rangle
lemma mult-poly-raw-mult-poly-p:
  assumes \langle (p, p') \in sorted\text{-}poly\text{-}rel \rangle and \langle (q, q') \in sorted\text{-}poly\text{-}rel \rangle
  shows (\exists r. (mult\text{-}poly\text{-}raw \ p \ q, \ r) \in unsorted\text{-}poly\text{-}rel \land (mult\text{-}poly\text{-}p \ q')^{**} \ (p', \{\#\}) \ (\{\#\}, \ r))
\langle proof \rangle
```

```
fun merge-coeffs :: \langle llist-polynomial \Rightarrow llist-polynomial \rangle where
   \langle merge\text{-}coeffs \mid | = \mid | \rangle
   \langle merge\text{-}coeffs \ [(xs, \ n)] = [(xs, \ n)] \rangle \ |
   \langle merge\text{-}coeffs ((xs, n) \# (ys, m) \# p) =
     then if n + m \neq 0 then merge-coeffs ((xs, n + m) \# p) else merge-coeffs p
     else (xs, n) \# merge\text{-}coeffs ((ys, m) \# p))
abbreviation (in -)mononoms :: \langle llist\text{-polynomial} \Rightarrow term\text{-poly-list set} \rangle where
   \langle mononoms \ p \equiv fst \ `set \ p \rangle
lemma fst-normalize-polynomial-subset:
   \langle mononoms \ (merge-coeffs \ p) \subseteq mononoms \ p \rangle
   \langle proof \rangle
lemma fst-normalize-polynomial-subsetD:
   \langle (a, b) \in set \ (merge-coeffs \ p) \Longrightarrow a \in mononoms \ p \rangle
   \langle proof \rangle
lemma distinct-merge-coeffs:
  assumes \langle sorted\text{-}wrt \ R \ (map \ fst \ xs) \rangle and \langle transp \ R \rangle \langle antisymp \ R \rangle
  shows (distinct (map fst (merge-coeffs xs)))
   \langle proof \rangle
lemma in-set-merge-coeffsD:
   \langle (a, b) \in set \ (merge-coeffs \ p) \Longrightarrow \exists \ b. \ (a, b) \in set \ p \rangle
   \langle proof \rangle
{\bf lemma}\ rtranclp{-}normalize{-}poly{-}add{-}mset:
   \langle normalize\text{-}poly\text{-}p^{**} \mid A \mid r \Longrightarrow normalize\text{-}poly\text{-}p^{**} \mid (add\text{-}mset \mid x \mid A) \mid (add\text{-}mset \mid x \mid r) \rangle
   \langle proof \rangle
\mathbf{lemma} \ \mathit{nonzero\text{-}coeffs\text{-}diff}\colon
   \langle nonzero\text{-}coeffs \ A \Longrightarrow nonzero\text{-}coeffs \ (A - B) \rangle
   \langle proof \rangle
\mathbf{lemma} \ \mathit{merge-coeffs-is-normalize-poly-p} :
  \langle (xs, ys) \in sorted\text{-}repeat\text{-}poly\text{-}rel \Longrightarrow \exists r. \ (merge\text{-}coeffs\ xs,\ r) \in sorted\text{-}poly\text{-}rel \land normalize\text{-}poly\text{-}p^{**}\ ys
r\rangle
  \langle proof \rangle
           Normalisation
9.3
definition normalize-poly where
  \langle normalize\text{-}poly \ p = do \ \{
      p \leftarrow sort\text{-}poly\text{-}spec p;
      RETURN (merge-coeffs p)
definition sort-coeff :: \langle string \ list \Rightarrow string \ list \ nres \rangle where
\langle sort\text{-}coeff\ ys = SPEC(\lambda xs.\ mset\ xs = mset\ ys \land sorted\text{-}wrt\ (rel2p\ (Id \cup var\text{-}order\text{-}rel))\ xs \rangle
lemma distinct-var-order-Id-var-order:
   \langle distinct \ a \Longrightarrow sorted\text{-}wrt \ (rel2p \ (Id \cup var\text{-}order\text{-}rel)) \ a \Longrightarrow
```

```
sorted-wrt var-order a>
     \langle proof \rangle
definition sort-all-coeffs :: \langle llist-polynomial \Rightarrow llist-polynomial nres \rangle where
\langle sort-all-coeffs \ xs = monadic-nfoldli \ xs \ (\lambda-. \ RETURN \ True) \ (\lambda(a, n) \ b. \ do \ \{a \leftarrow sort-coeff \ a; \ RETURN \ True\}
((a, n) \# b))
lemma sort-all-coeffs-gen:
    assumes \langle (\forall xs \in mononoms \ xs'. \ sorted\text{-}wrt \ (rel2p \ (var\text{-}order\text{-}rel)) \ xs) \rangle and
        \forall x \in mononoms (xs @ xs'). distinct x
    shows (monadic-nfoldli xs (\lambda-. RETURN True) (\lambda(a, n) b. do {a \leftarrow sort\text{-}coeff} a; RETURN ((a, n)
\# b)\}) xs' \leq
           \Downarrow Id (SPEC(\lambda ys. map (\lambda(a,b). (mset a, b)) (rev xs @ xs') = map (\lambda(a,b). (mset a, b)) (ys) \land (specified a, b) (specified a, b) = map (\lambda(a,b). (mset a, b)) (specified a, b) = map (\lambda(a,b). (mset a, b)) (specified a, b) = map (\lambda(a,b). (mset a, b)) (specified a, b) = map (\lambda(a,b). (mset a, b)) (specified a, b) = map (\lambda(a,b). (mset a, b)) (specified a, b) = map (\lambda(a,b). (mset a, b)) (specified a, b) = map (\lambda(a,b). (mset a, b)) (specified a, b) = map (\lambda(a,b). (mset a, b)) (specified a, b) = map (\lambda(a,b). (mset a, b)) (specified a, b) = map (\lambda(a,b). (mset a, b)) (specified a, b) = map (\lambda(a,b). (mset a, b)) (specified a, b) = map (\lambda(a,b). (mset a, b)) (specified a, b) = map (\lambda(a,b). (mset a, b)) (specified a, b) = map (\lambda(a,b). (mset a, b)) (specified a, b) = map (\lambda(a,b). (mset a, b)) (specified a, b) = map (\lambda(a,b). (mset a, b)) (specified a, b) = map (\lambda(a,b). (mset a, b)) (specified a, b) = map (\lambda(a,b). (mset a, b)) (specified a, b) = map (\lambda(a,b). (mset a, b)) (specified a, b) = map (\lambda(a,b). (mset a, b)) (specified a, b) = map (\lambda(a,b). (mset a, b)) (specified a, b) = map (\lambda(a,b). (mset a, b)) (specified a, b) = map (\lambda(a,b). (mset a, b)) (specified a, b) = map (\lambda(a,b). (mset a, b)) (specified a, b) = map (\lambda(a,b). (mset a, b)) (specified a, b) = map (\lambda(a,b). (mset a, b)) (specified a, b) = map (\lambda(a,b). (mset a, b)) (specified a, b) = map (\lambda(a,b). (mset a, b)) (specified a, b) = map (\lambda(a,b). (mset a, b)) (specified a, b) = map (\lambda(a,b). (mset a, b)) (specified a, b) = map (\lambda(a,b). (mset a, b)) (specified a, b) = map (\lambda(a,b). (mset a, b)) (specified a, b) = map (\lambda(a,b). (mset a, b)) (specified a, b) = map (\lambda(a,b). (mset a, b)) (specified a, b) = map (\lambda(a,b). (mset a, b)) (specified a, b) = map (\lambda(a,b). (mset a, b)) (specified a, b) = map (\lambda(a,b). (mset a, b)) (specified a, b) = map (\lambda(a,b). (mset a, b)) (specified a, b) = map (\lambda(a,b). (mset a, b)) (specified a, b) = map (\lambda(a,b). (mset a, b)) (specified a, b) = map (\lambda(a,b). (mset a, b)) (specified a, b) = map (\lambda(a,b). (mset a, b)) (specified a, b) = map (\lambda(a,b). (mset a, b))
           (\forall xs \in mononoms \ ys. \ sorted-wrt \ (rel2p \ (var-order-rel)) \ xs)))
     \langle proof \rangle
definition shuffle-coefficients where
     (shuffle-coefficients\ xs = (SPEC(\lambda ys.\ map\ (\lambda(a,b).\ (mset\ a,\ b))\ (rev\ xs) = map\ (\lambda(a,b).\ (mset\ a,\ b))
ys \wedge
           (\forall xs \in mononoms \ ys. \ sorted-wrt \ (rel2p \ (var-order-rel)) \ xs)))
lemma sort-all-coeffs:
     \forall x \in mononoms \ xs. \ distinct \ x \Longrightarrow
    sort-all-coeffs xs \leq \downarrow Id (shuffle-coefficients xs)
     \langle proof \rangle
\mathbf{lemma}\ unsorted\text{-}term\text{-}poly\text{-}list\text{-}rel\text{-}mset:
     \langle (ys, aa) \in unsorted\text{-}term\text{-}poly\text{-}list\text{-}rel \implies mset \ ys = aa \rangle
     \langle proof \rangle
lemma RETURN-map-alt-def:
     \langle RETURN \ o \ (map \ f) =
        REC_T (\lambda g xs.
             case xs of
                 [] \Rightarrow RETURN []
             | x \# xs \Rightarrow do \{xs \leftarrow g xs; RETURN (f x \# xs)\} \rangle
     \langle proof \rangle
{\bf lemma}\ fully-unsorted-poly-rel-Cons-iff:
     \langle ((ys, n) \# p, a) \in fully\text{-}unsorted\text{-}poly\text{-}rel \longleftrightarrow
        (p, remove1\text{-}mset (mset ys, n) a) \in fully\text{-}unsorted\text{-}poly\text{-}rel \land
        (mset\ ys,\ n) \in \#\ a \land distinct\ ys)
     \langle proof \rangle
\mathbf{lemma}\ map\text{-}mset\text{-}unsorted\text{-}term\text{-}poly\text{-}list\text{-}rel\text{:}
     \langle (\bigwedge a. \ a \in mononoms \ s \Longrightarrow distinct \ a) \Longrightarrow \forall \ x \in mononoms \ s. \ distinct \ x \Longrightarrow
        (\forall xs \in mononoms \ s. \ sorted-wrt \ (rel2p \ (Id \cup var-order-rel)) \ xs) \Longrightarrow
        (s, map (\lambda(a, y), (mset a, y)) s)
                      \in \langle term\text{-}poly\text{-}list\text{-}rel \times_r int\text{-}rel \rangle list\text{-}rel \rangle
     \langle proof \rangle
lemma list-rel-unsorted-term-poly-list-relD:
     \langle (p, y) \in \langle unsorted\text{-}term\text{-}poly\text{-}list\text{-}rel \times_r int\text{-}rel \rangle list\text{-}rel \Longrightarrow
      mset\ y = (\lambda(a,\ y).\ (mset\ a,\ y)) '# mset\ p \land (\forall\ x \in mononoms\ p.\ distinct\ x)
```

```
\langle proof \rangle
lemma shuffle-terms-distinct-iff:
  assumes \langle map \ (\lambda(a, y). \ (mset \ a, y)) \ p = map \ (\lambda(a, y). \ (mset \ a, y)) \ s \rangle
  shows \langle (\forall x \in set \ p. \ distinct \ (fst \ x)) \longleftrightarrow (\forall x \in set \ s. \ distinct \ (fst \ x)) \rangle
\langle proof \rangle
lemma
   \langle (p, y) \in \langle unsorted\text{-}term\text{-}poly\text{-}list\text{-}rel \times_r int\text{-}rel \rangle list\text{-}rel \Longrightarrow
         (a, b) \in set \ p \Longrightarrow distinct \ a
    \langle proof \rangle
\mathbf{lemma} \ \textit{sort-all-coeffs-unsorted-poly-rel-with0}:
  assumes \langle (p, p') \in fully\text{-}unsorted\text{-}poly\text{-}rel \rangle
  shows \langle sort\text{-}all\text{-}coeffs \ p \le \Downarrow (unsorted\text{-}poly\text{-}rel\text{-}with0}) \ (RETURN \ p') \rangle
\langle proof \rangle
lemma sort-poly-spec-id':
  assumes \langle (p, p') \in unsorted\text{-}poly\text{-}rel\text{-}with0 \rangle
  shows \langle sort\text{-}poly\text{-}spec \ p \leq \downarrow \ (sorted\text{-}repeat\text{-}poly\text{-}rel\text{-}with0}) \ (RETURN \ p') \rangle
\langle proof \rangle
\mathbf{fun} \ \mathit{merge-coeffs0} \ :: \ \langle \mathit{llist-polynomial} \ \Rightarrow \ \mathit{llist-polynomial} \rangle \ \mathbf{where}
   \langle merge\text{-}coeffs0 \mid | = | \rangle \rangle
   \langle merge\text{-}coeffs\theta \ [(xs, n)] = (if \ n = \theta \ then \ [] \ else \ [(xs, n)]) \rangle
   \langle merge\text{-}coeffs\theta \ ((xs, n) \# (ys, m) \# p) =
     (if xs = ys)
     then if n + m \neq 0 then merge-coeffs0 ((xs, n + m) # p) else merge-coeffs0 p
     else if n = 0 then merge-coeffs0 ((ys, m) # p)
        else(xs, n) \# merge\text{-}coeffs0 ((ys, m) \# p))
\mathbf{lemma}\ sorted\text{-}repeat\text{-}poly\text{-}list\text{-}rel\text{-}with0\text{-}wrt\text{-}ConsD\text{:}}
   \langle ((ys, n) \# p, a) \in sorted\text{-}repeat\text{-}poly\text{-}list\text{-}rel\text{-}with0\text{-}wrt\ S\ term\text{-}poly\text{-}list\text{-}rel\ \Longrightarrow}
       (p, \textit{remove1-mset} \; (\textit{mset} \; \textit{ys}, \; n) \; a) \in \textit{sorted-repeat-poly-list-rel-with0-wrt} \; S \; \textit{term-poly-list-rel} \; \land \\
      (mset\ ys,\ n) \in \#\ a \land (\forall\ x \in set\ p.\ S\ ys\ (fst\ x)) \land sorted\text{-}wrt\ (rel2p\ var\text{-}order\text{-}rel)\ ys \land
      distinct ys>
   \langle proof \rangle
lemma sorted-repeat-poly-list-rel-with0-wrtl-Cons-iff:
   ((ys, n) \# p, a) \in sorted\text{-}repeat\text{-}poly\text{-}list\text{-}rel\text{-}with0\text{-}wrt } S \text{ } term\text{-}poly\text{-}list\text{-}rel \longleftrightarrow
     (p, remove 1-mset \ (mset \ ys, \ n) \ a) \in sorted-repeat-poly-list-rel-with 0-wrt \ S \ term-poly-list-rel \ \land
     (mset\ ys,\ n) \in \#\ a \land (\forall\ x \in set\ p.\ S\ ys\ (fst\ x)) \land sorted\text{-}wrt\ (rel2p\ var\text{-}order\text{-}rel)\ ys \land
      distinct ys>
   \langle proof \rangle
lemma fst-normalize0-polynomial-subsetD:
   \langle (a, b) \in set \ (merge-coeffs0 \ p) \Longrightarrow a \in mononoms \ p \rangle
   \langle proof \rangle
\mathbf{lemma} \ \textit{in-set-merge-coeffs0D}:
   \langle (a, b) \in set \ (merge\text{-}coeffs0 \ p) \Longrightarrow \exists \ b. \ (a, b) \in set \ p \rangle
   \langle proof \rangle
```

```
\mathbf{lemma} \ \mathit{merge-coeffs0-is-normalize-poly-p} :
 \langle (xs, ys) \in sorted\text{-}repeat\text{-}poly\text{-}rel\text{-}with0} \Longrightarrow \exists r. (merge\text{-}coeffs0 \ xs, r) \in sorted\text{-}poly\text{-}rel \land normalize\text{-}poly\text{-}p^{**}
ys \mid r \rangle
  \langle proof \rangle
definition full-normalize-poly where
  \langle full\text{-}normalize\text{-}poly\ p=do\ \{
      p \leftarrow sort\text{-}all\text{-}coeffs p;
      p \leftarrow sort\text{-}poly\text{-}spec p;
      RETURN (merge-coeffs0 p)
  }>
fun sorted-remdups where
  \langle sorted\text{-}remdups \ (x \# y \# zs) =
     (if \ x = y \ then \ sorted-remdups \ (y \# zs) \ else \ x \# \ sorted-remdups \ (y \# zs)) \ |
  \langle sorted\text{-}remdups \ zs = zs \rangle
lemma set-sorted-remdups[simp]:
  \langle set \ (sorted\text{-}remdups \ xs) = set \ xs \rangle
  \langle proof \rangle
lemma distinct-sorted-remdups:
  (sorted\text{-}wrt\ R\ xs \Longrightarrow transp\ R \Longrightarrow Restricted\text{-}Predicates.total\text{-}on\ R\ UNIV \Longrightarrow
     antisymp R \Longrightarrow distinct (sorted-remdups xs)
  \langle proof \rangle
lemma full-normalize-poly-normalize-poly-p:
  assumes \langle (p, p') \in fully\text{-}unsorted\text{-}poly\text{-}rel \rangle
  shows \langle full\text{-}normalize\text{-}poly\ p \leq \downarrow (sorted\text{-}poly\text{-}rel)\ (SPEC\ (\lambda r.\ normalize\text{-}poly\text{-}p^{**}\ p'\ r)) \rangle
  (\mathbf{is} \ \langle ?A \leq \Downarrow \ ?R \ ?B \rangle)
\langle proof \rangle
definition mult-poly-full :: \langle - \rangle where
\langle mult\text{-}poly\text{-}full\ p\ q=do\ \{
  let pq = mult-poly-raw p q;
  normalize-poly pq
lemma normalize-poly-normalize-poly-p:
  assumes \langle (p, p') \in unsorted\text{-}poly\text{-}rel \rangle
  shows \langle normalize\text{-poly } p \leq \downarrow (sorted\text{-poly-rel}) (SPEC (\lambda r. normalize\text{-poly-}p^{**} p' r)) \rangle
\langle proof \rangle
          Multiplication and normalisation
definition mult-poly-p' :: \langle - \rangle where
\langle mult\text{-}poly\text{-}p'|p'|q'=do {
  pq \leftarrow SPEC(\lambda r. (mult-poly-p \ q')^{**} \ (p', \{\#\}) \ (\{\#\}, \ r));
  SPEC\ (\lambda r.\ normalize\text{-}poly\text{-}p^{**}\ pq\ r)
}>
lemma unsorted-poly-rel-fully-unsorted-poly-rel:
  \langle unsorted\text{-}poly\text{-}rel \subseteq fully\text{-}unsorted\text{-}poly\text{-}rel \rangle
\langle proof \rangle
```

```
lemma mult-poly-full-mult-poly-p':
  assumes \langle (p, p') \in sorted\text{-}poly\text{-}rel \rangle \langle (q, q') \in sorted\text{-}poly\text{-}rel \rangle
  shows \langle mult\text{-}poly\text{-}full\ p\ q \leq \downarrow (sorted\text{-}poly\text{-}rel)\ (mult\text{-}poly\text{-}p'\ p'\ q') \rangle
   \langle proof \rangle
definition add-poly-spec :: \langle - \rangle where
\langle add\text{-poly-spec } p | q = SPEC \ (\lambda r. \ p + q - r \in ideal \ polynomial\text{-bool}) \rangle
definition add-poly-p' :: \langle - \rangle where
\langle add\text{-}poly\text{-}p' \ p \ q = SPEC(\lambda r. \ add\text{-}poly\text{-}p^{**} \ (p, \ q, \ \{\#\}) \ (\{\#\}, \ \{\#\}, \ r)) \rangle
lemma add-poly-l-add-poly-p':
  assumes \langle (p, p') \in sorted\text{-}poly\text{-}rel \rangle \langle (q, q') \in sorted\text{-}poly\text{-}rel \rangle
  shows \langle add\text{-}poly\text{-}l\ (p,\ q) \leq \Downarrow (sorted\text{-}poly\text{-}rel)\ (add\text{-}poly\text{-}p'\ p'\ q') \rangle
  \langle proof \rangle
9.5
           Correctness
context poly-embed
begin
definition mset-poly-rel where
   \langle mset\text{-poly-rel} = \{(a, b). \ b = polynomial\text{-of-mset } a\} \rangle
definition var-rel where
  \langle var\text{-}rel = br \varphi (\lambda \text{-}. True) \rangle
lemma normalize-poly-p-normalize-poly-spec:
   \langle (p, p') \in mset\text{-}poly\text{-}rel \Longrightarrow
     SPEC\ (\lambda r.\ normalize\text{-poly-}p^{**}\ p\ r) \leq \Downarrow mset\text{-poly-}rel\ (normalize\text{-poly-}spec\ p') \rangle
   \langle proof \rangle
lemma mult-poly-p'-mult-poly-spec:
   \langle (p, p') \in mset\text{-poly-rel} \Longrightarrow (q, q') \in mset\text{-poly-rel} \Longrightarrow
   mult-poly-p' p q \le \Downarrow mset-poly-rel (mult-poly-spec p' q')\rangle
   \langle proof \rangle
lemma add-poly-p'-add-poly-spec:
   \langle (p, p') \in mset\text{-poly-rel} \Longrightarrow (q, q') \in mset\text{-poly-rel} \Longrightarrow
   add-poly-p' p q \le \Downarrow mset-poly-rel (add-poly-spec p' q')
   \langle proof \rangle
end
definition weak-equality-l :: \langle llist-polynomial \Rightarrow llist-polynomial \Rightarrow bool \ nres \rangle where
   \langle weak\text{-}equality\text{-}l \ p \ q = RETURN \ (p = q) \rangle
definition weak-equality :: \langle int \ mpoly \Rightarrow int \ mpoly \Rightarrow bool \ nres \rangle where
   \langle weak\text{-}equality \ p \ q = SPEC \ (\lambda r. \ r \longrightarrow p = q) \rangle
definition weak-equality-spec :: \langle mset-polynomial \Rightarrow mset-polynomial \Rightarrow bool \ nres \rangle where
   \langle weak\text{-}equality\text{-}spec\ p\ q=SPEC\ (\lambda r.\ r\longrightarrow p=q) \rangle
```

```
\mathbf{lemma}\ \textit{term-poly-list-rel-same-rightD}:
  \langle (a, aa) \in term\text{-poly-list-rel} \Longrightarrow (a, ab) \in term\text{-poly-list-rel} \Longrightarrow aa = ab \rangle
     \langle proof \rangle
lemma list-rel-term-poly-list-rel-same-rightD:
  \langle (xa, y) \in \langle term\text{-}poly\text{-}list\text{-}rel \times_r int\text{-}rel \rangle list\text{-}rel \Longrightarrow
   (xa, ya) \in \langle term\text{-}poly\text{-}list\text{-}rel \times_r int\text{-}rel \rangle list\text{-}rel \Longrightarrow
     y = ya
  \langle proof \rangle
lemma weak-equality-l-weak-equality-spec:
  \langle (uncurry\ weak-equality-l,\ uncurry\ weak-equality-spec) \in
     sorted-poly-rel \times_r sorted-poly-rel \rightarrow_f \langle bool\text{-rel} \rangle nres-rel
  \langle proof \rangle
end
theory PAC-Checker
  imports PAC-Polynomials-Operations
     PAC	ext{-}Checker	ext{-}Specification
     PAC-Map-Rel
     Show.Show
     Show.Show-Instances
begin
```

# 10 Executable Checker

In this layer we finally refine the checker to executable code.

#### 10.1 Definitions

Compared to the previous layer, we add an error message when an error is discovered. We do not attempt to prove anything on the error message (neither that there really is an error, nor that the error message is correct).

```
Extended error message datatype 'a code-status = is-cfailed: CFAILED (the-error: 'a) | CSUCCESS | is-cfound: CFOUND
```

In the following function, we merge errors. We will never merge an error message with an another error message; hence we do not attempt to concatenate error messages.

```
fun merge-cstatus where
```

```
\langle merge\text{-}cstatus \; (CFAILED \; a) \; -= \; CFAILED \; a \rangle \; | \\ \langle merge\text{-}cstatus \; - \; (CFAILED \; a) \; = \; CFAILED \; a \rangle \; | \\ \langle merge\text{-}cstatus \; CFOUND \; -= \; CFOUND \rangle \; | \\ \langle merge\text{-}cstatus \; - \; CFOUND \; = \; CFOUND \rangle \; | \\ \langle merge\text{-}cstatus \; -- \; = \; CSUCCESS \rangle \\ \\ \mathbf{definition} \; code\text{-}status\text{-}status\text{-}rel \; :: \; \langle ('a \; code\text{-}status \; \times \; status) \; set \rangle \; \mathbf{where} \; \\ \langle code\text{-}status\text{-}status\text{-}rel \; = \; \{ (CFOUND, \; FOUND), \; (CSUCCESS, \; SUCCESS) \} \; \cup \; \{ (CFAILED \; a, \; FAILED) | \; a. \; True \} \rangle
```

```
lemma in\text{-}code\text{-}status\text{-}rel\text{-}iff[simp]:
  \langle (CFOUND, b) \in code\text{-}status\text{-}status\text{-}rel \longleftrightarrow b = FOUND \rangle
  \langle (a, FOUND) \in code\text{-}status\text{-}status\text{-}rel \longleftrightarrow a = CFOUND \rangle
  \langle (CSUCCESS, b) \in code\text{-status-status-rel} \longleftrightarrow b = SUCCESS \rangle
  \langle (a, SUCCESS) \in code\text{-status-status-rel} \longleftrightarrow a = CSUCCESS \rangle
  \langle (a, FAILED) \in code\text{-status-status-rel} \longleftrightarrow is\text{-cfailed } a \rangle
  \langle (CFAILED \ C, \ b) \in code\text{-status-status-rel} \longleftrightarrow b = FAILED \rangle
  \langle proof \rangle
Refinement relation fun pac-step-rel-raw :: (('olbl \times 'lbl) \ set \Rightarrow ('a \times 'b) \ set \Rightarrow ('c \times 'd) \ set \Rightarrow
('a, 'c, 'olbl) \ pac\text{-}step \Rightarrow ('b, 'd, 'lbl) \ pac\text{-}step \Rightarrow bool \ \mathbf{where}
\langle pac\text{-}step\text{-}rel\text{-}raw \ R1 \ R2 \ R3 \ (Add \ p1 \ p2 \ i \ r) \ (Add \ p1' \ p2' \ i' \ r') \longleftrightarrow
   (p1, p1') \in R1 \land (p2, p2') \in R1 \land (i, i') \in R1 \land
    (r, r') \in R2
\langle pac\text{-}step\text{-}rel\text{-}raw \ R1 \ R2 \ R3 \ (Mult \ p1 \ p2 \ i \ r) \ (Mult \ p1' \ p2' \ i' \ r') \longleftrightarrow
   (p1, p1') \in R1 \land (p2, p2') \in R2 \land (i, i') \in R1 \land
    (r, r') \in R2
\langle pac\text{-}step\text{-}rel\text{-}raw \ R1 \ R2 \ R3 \ (Del \ p1) \ (Del \ p1') \longleftrightarrow
    (p1, p1') \in R1
\langle pac\text{-step-rel-raw }R1 \; R2 \; R3 \; (Extension \; i \; x \; p1) \; (Extension \; j \; x' \; p1') \longleftrightarrow
    (i, j) \in R1 \land (x, x') \in R3 \land (p1, p1') \in R2
\langle pac\text{-}step\text{-}rel\text{-}raw \ R1 \ R2 \ R3 \ - \ - \longleftrightarrow False \rangle
fun pac-step-rel-assn :: ('olbl \Rightarrow 'lbl \Rightarrow assn) \Rightarrow ('a \Rightarrow 'b \Rightarrow assn) \Rightarrow ('c \Rightarrow 'd \Rightarrow assn) \Rightarrow ('a, 'c, 'olbl)
pac\text{-}step \Rightarrow ('b, 'd, 'lbl) \ pac\text{-}step \Rightarrow assnee where
\langle pac\text{-}step\text{-}rel\text{-}assn\ R1\ R2\ R3\ (Add\ p1\ p2\ i\ r)\ (Add\ p1'\ p2'\ i'\ r') =
    R1 p1 p1' * R1 p2 p2' * R1 i i' *
    R2 r r'
\langle pac\text{-}step\text{-}rel\text{-}assn\ R1\ R2\ R3\ (Mult\ p1\ p2\ i\ r)\ (Mult\ p1'\ p2'\ i'\ r') =
    R1 \ p1 \ p1' * R2 \ p2 \ p2' * R1 \ i \ i' *
    R2 r r'
\langle pac\text{-}step\text{-}rel\text{-}assn\ R1\ R2\ R3\ (Del\ p1)\ (Del\ p1') =
    R1 p1 p1'
\langle pac\text{-}step\text{-}rel\text{-}assn\ R1\ R2\ R3\ (Extension\ i\ x\ p1)\ (Extension\ i'\ x'\ p1') =
    R1 i i' * R3 x x' * R2 p1 p1'
\langle pac\text{-}step\text{-}rel\text{-}assn\ R1\ R2\ -\ -\ -\ =\ false \rangle
lemma pac-step-rel-assn-alt-def:
  \langle pac\text{-}step\text{-}rel\text{-}assn\ R1\ R2\ R3\ x\ y = (
  case (x, y) of
       (Add \ p1 \ p2 \ i \ r, \ Add \ p1' \ p2' \ i' \ r') \Rightarrow
          R1 p1 p1' * R1 p2 p2' * R1 i i' * R2 r r'
     | (Mult \ p1 \ p2 \ i \ r, Mult \ p1' \ p2' \ i' \ r') \Rightarrow
          R1 p1 p1' * R2 p2 p2' * R1 i i' * R2 r r'
     |(Del p1, Del p1') \Rightarrow R1 p1 p1'
     (Extension \ i \ x \ p1, \ Extension \ i' \ x' \ p1') \Rightarrow R1 \ i \ i' * R3 \ x \ x' * R2 \ p1 \ p1'
     | - \Rightarrow false
     )>
     \langle proof \rangle
Addition checking definition error-msg where
```

definition error-msq-notin-dom-err where

 $\langle error-msg \ i \ msg = CFAILED \ ("s \ CHECKING \ failed \ at \ line " @ show \ i @ " with \ error " @ msg) \rangle$ 

```
⟨error-msg-notin-dom-err = " notin domain"⟩
definition error-msg-notin-dom :: \langle nat \Rightarrow string \rangle where
        \langle error-msg-notin-dom\ i=show\ i\ @\ error-msg-notin-dom-err \rangle
definition error-msg-reused-dom where
       \langle error\text{-}msg\text{-}reused\text{-}dom\ i=show\ i\ @\ ''\ already\ in\ domain'' \rangle
definition error-msg-not-equal-dom where
       \langle error-msg-not-equal-dom\ p\ q\ pq\ r=show\ p\ @\ ''+"\ @\ show\ q\ @\ ''="\ @\ show\ pq\ @\ ''\ not\ equal''
@ show r
\textbf{definition} \ check-not-equal-dom-err :: (llist-polynomial \Rightarrow llist-polynomial \Rightarrow llist
\Rightarrow string nres where
      \langle check\text{-}not\text{-}equal\text{-}dom\text{-}err \ p \ q \ pq \ r = SPEC \ (\lambda\text{-}. \ True) \rangle
definition vars-llist :: \langle llist-polynomial \Rightarrow string set \rangle where
\langle vars\text{-}llist \ xs = \bigcup (set 'fst 'set xs) \rangle
definition check-addition-l:: (-\Rightarrow -\Rightarrow string \ set \Rightarrow nat \Rightarrow nat \Rightarrow nat \Rightarrow llist-polynomial \Rightarrow string
code-status nres where
\langle check\text{-}addition\text{-}l\ spec\ A\ V\ p\ q\ i\ r=do\ \{
           let b = p \in \# dom\text{-}m \ A \land q \in \# dom\text{-}m \ A \land i \notin \# dom\text{-}m \ A \land vars\text{-}llist \ r \subseteq \mathcal{V};
           if \neg b
             then RETURN (error-msg i ((if p \notin \# dom-m A then error-msg-notin-dom p else []) @ (if q \notin \#
dom-m A then error-msq-notin-dom p else []) @
                      (if \ i \in \# \ dom-m \ A \ then \ error-msg-reused-dom \ p \ else \ [])))
            else do {
                  ASSERT (p \in \# dom - m A);
                  let p = the (fmlookup A p);
                  ASSERT (q \in \# dom - m A);
                  let q = the (fmlookup A q);
                  pq \leftarrow add-poly-l(p, q);
                  b \leftarrow weak-equality-l pq r;
                  b' \leftarrow weak-equality-l \ r \ spec;
                  if\ b\ then\ (if\ b'\ then\ RETURN\ CFOUND\ else\ RETURN\ CSUCCESS)
                   else do {
                          c \leftarrow check\text{-}not\text{-}equal\text{-}dom\text{-}err\ p\ q\ pq\ r;}
                         RETURN (error-msg \ i \ c)
           }
}>
Multiplication checking definition check-mult-l-dom-err :: (bool \Rightarrow nat \Rightarrow bool \Rightarrow nat \Rightarrow string
nres where
       \langle check\text{-mult-}l\text{-}dom\text{-}err \ p\text{-}notin \ p \ i\text{-}already \ i = SPEC \ (\lambda\text{-}. \ True) \rangle
\textbf{definition} \ check-mult-l-mult-err :: (llist-polynomial \Rightarrow llist-polynomial \Rightarrow llist-p
\Rightarrow string nres where
      \langle check\text{-}mult\text{-}l\text{-}mult\text{-}err \ p \ q \ pq \ r = SPEC \ (\lambda\text{-}. \ True) \rangle
```

```
definition check-mult-l:: (-\Rightarrow -\Rightarrow -\Rightarrow nat \Rightarrow llist-polynomial \Rightarrow nat \Rightarrow llist-polynomial \Rightarrow string
code-status nres where
\langle check\text{-mult-}l \ spec \ A \ V \ p \ q \ i \ r = do \ \{
    let b = p \in \# dom\text{-}m \ A \land i \notin \# dom\text{-}m \ A \land vars\text{-}llist \ q \subseteq V \land vars\text{-}llist \ r \subseteq V;
     if \neg b
    then do {
       c \leftarrow check\text{-mult-}l\text{-}dom\text{-}err\ (p \notin \#\ dom\text{-}m\ A)\ p\ (i \in \#\ dom\text{-}m\ A)\ i;
       RETURN (error-msg \ i \ c)
     else do {
        ASSERT (p \in \# dom - m A);
        let p = the (fmlookup A p);
        pq \leftarrow mult\text{-}poly\text{-}full \ p \ q;
        b \leftarrow weak-equality-l pq r;
        b' \leftarrow weak-equality-l \ r \ spec;
        if b then (if b' then RETURN CFOUND else RETURN CSUCCESS) else do {
           c \leftarrow \textit{check-mult-l-mult-err} \ p \ q \ pq \ r;
           RETURN (error-msq i c)
        }
  }>
Deletion checking definition check-del-l :: \langle - \Rightarrow - \Rightarrow nat \Rightarrow string \ code-status \ nres \rangle where
\langle check\text{-}del\text{-}l \ spec \ A \ p = RETURN \ CSUCCESS \rangle
Extension checking definition check-extension-l-dom-err :: \langle nat \Rightarrow string \ nres \rangle where
  \langle check\text{-}extension\text{-}l\text{-}dom\text{-}err \ p = SPEC \ (\lambda\text{-}. \ True) \rangle
definition check-extension-l-no-new-var-err:: (llist-polynomial <math>\Rightarrow string nres) where
  \langle check\text{-}extension\text{-}l\text{-}no\text{-}new\text{-}var\text{-}err \ p = SPEC \ (\lambda\text{-}. \ True) \rangle
definition check-extension-l-new-var-multiple-err: \langle strinq \Rightarrow llist-polynomial \Rightarrow strinq \ nres \rangle where
  \langle check\text{-}extension\text{-}l\text{-}new\text{-}var\text{-}multiple\text{-}err\ v\ p=SPEC\ (\lambda\text{-}.\ True) \rangle
definition check-extension-l-side-cond-err
  :: \langle string \Rightarrow llist\text{-}polynomial \Rightarrow llist\text{-}polynomial \Rightarrow string \ nres \rangle
where
  \langle check\text{-}extension\text{-}l\text{-}side\text{-}cond\text{-}err\ v\ p\ p'\ q = SPEC\ (\lambda\text{-}.\ True) \rangle
definition check-extension-l
  :: \langle - \Rightarrow - \Rightarrow string \ set \Rightarrow nat \Rightarrow string \Rightarrow llist-polynomial \Rightarrow (string \ code-status) \ nres \rangle
\langle check\text{-}extension\text{-}l \ spec \ A \ V \ i \ v \ p = do \ \{ \}
  let b = i \notin \# dom\text{-}m \ A \land v \notin \mathcal{V} \land ([v], -1) \in set \ p;
  if \neg b
  then do {
    c \leftarrow check\text{-}extension\text{-}l\text{-}dom\text{-}err i;
     RETURN (error-msg i c)
  } else do {
       let p' = remove1 ([v], -1) p;
       let b = vars-llist p' \subseteq \mathcal{V};
       if \neg b
       then do {
         c \leftarrow check-extension-l-new-var-multiple-err v p';
```

```
RETURN (error-msg i c)
       else do {
          p2 \leftarrow mult\text{-}poly\text{-}full \ p' \ p';
          let p' = map (\lambda(a,b), (a,-b)) p';
          q \leftarrow add-poly-l(p2, p');
          eq \leftarrow weak\text{-}equality\text{-}l \ q \ [];
          if eq then do {
             RETURN (CSUCCESS)
          } else do {
           c \leftarrow check\text{-}extension\text{-}l\text{-}side\text{-}cond\text{-}err\ v\ p\ p'\ q;
            RETURN (error-msg i c)
      }
    }
  }
lemma check-extension-alt-def:
  \langle check\text{-}extension \ A \ \mathcal{V} \ i \ v \ p \geq do \ \{
    b \leftarrow SPEC(\lambda b. \ b \longrightarrow i \notin \# \ dom - m \ A \land v \notin V);
    then RETURN (False)
    else\ do\ \{
          p' \leftarrow RETURN (p + Var v);
          b \leftarrow SPEC(\lambda b. \ b \longrightarrow vars \ p' \subseteq \mathcal{V});
          if \neg b
          then RETURN (False)
          else do {
             pq \leftarrow mult\text{-}poly\text{-}spec \ p' \ p';
             let p' = - p';
             p \leftarrow add-poly-spec pq p';
             eq \leftarrow weak\text{-}equality \ p \ \theta;
             if eq then RETURN(True)
             else RETURN (False)
\langle proof \rangle
lemma RES-RES-RETURN-RES: \langle RES | A \rangle = (\lambda T. RES (f T)) = RES (\bigcup (f A)) \rangle
  \langle proof \rangle
\mathbf{lemma}\ \mathit{check-add-alt-def}\colon
  \langle check\text{-}add\ A\ \mathcal{V}\ p\ q\ i\ r\geq
      b \leftarrow SPEC(\lambda b. \ b \longrightarrow p \in \# \ dom - m \ A \land q \in \# \ dom - m \ A \land i \notin \# \ dom - m \ A \land vars \ r \subseteq \mathcal{V});
      if \neg b
      then\ RETURN\ False
      else do {
        ASSERT (p \in \# dom - m A);
        let p = the (fmlookup A p);
        ASSERT (q \in \# dom - m A);
```

```
let q = the (fmlookup A q);
        pq \leftarrow add-poly-spec p \neq q;
        eq \leftarrow weak\text{-}equality pq r;
        RETURN eq
  } (is \langle -   ?A \rangle)
\langle proof \rangle
lemma check-mult-alt-def:
  \langle check\text{-mult } A \ \mathcal{V} \ p \ q \ i \ r \geq
      b \leftarrow SPEC(\lambda b. \ b \longrightarrow p \in \# \ dom\text{-}m \ A \land i \notin \# \ dom\text{-}m \ A \land vars \ q \subseteq V \ \land vars \ r \subseteq V);
      if \neg b
      then\ RETURN\ False
      else do {
        ASSERT (p \in \# dom - m A);
        let p = the (fmlookup A p);
        pq \leftarrow mult\text{-}poly\text{-}spec \ p \ q;
        p \leftarrow weak-equality pq r;
        RETURN p
  }>
  \langle proof \rangle
primrec insort-key-rel :: ('b \Rightarrow 'b \Rightarrow bool) \Rightarrow 'b \Rightarrow 'b list \Rightarrow 'b list where
insort-key-rel f x [] = [x] |
insort-key-rel f x (y \# ys) =
  (if f x y then (x#y#ys) else y#(insort-key-rel f x ys))
lemma set-insort-key-rel[simp]: \langle set (insort-key-rel R \times xs) = insert \times \langle set \times s \rangle \rangle
  \langle proof \rangle
lemma sorted-wrt-insort-key-rel:
   \langle total\text{-}on \ R \ (insert \ x \ (set \ xs)) \Longrightarrow transp \ R \Longrightarrow reflp \ R \Longrightarrow
    sorted-wrt R xs \Longrightarrow sorted-wrt R (insort-key-rel R x xs)
  \langle proof \rangle
lemma sorted-wrt-insort-key-rel2:
   \langle total\text{-}on \ R \ (insert \ x \ (set \ xs)) \Longrightarrow transp \ R \Longrightarrow x \notin set \ xs \Longrightarrow
    sorted-wrt R xs \Longrightarrow sorted-wrt R (insort-key-rel R x xs)
  \langle proof \rangle
Step checking definition PAC-checker-l-step:: \langle - \Rightarrow string \ code\text{-status} \times string \ set \times - \Rightarrow (llist\text{-polynomial},
string, nat) pac-step \Rightarrow \rightarrow \mathbf{where}
  \langle PAC\text{-}checker\text{-}l\text{-}step = (\lambda spec \ (st', \mathcal{V}, A) \ st. \ case \ st \ of \ )
      Add - - - \Rightarrow
        do \{
          r \leftarrow full-normalize-poly (pac-res st);
         eq \leftarrow check-addition-l\ spec\ A\ V\ (pac-src1\ st)\ (pac-src2\ st)\ (new-id\ st)\ r;
         let - = eq;
         if \neg is-cfailed eq
         then RETURN (merge-cstatus st' eq,
           V, fmupd (new-id st) r A)
         else RETURN (eq, V, A)
   }
```

```
\mid Del - \Rightarrow
        do \{
         eq \leftarrow check\text{-}del\text{-}l \ spec \ A \ (pac\text{-}src1 \ st);
         let - = eq;
         if \neg is-cfailed eq
         then RETURN (merge-cstatus st' eq, V, fmdrop (pac-src1 st) A)
         else RETURN (eq. V, A)
   Mult - - - \Rightarrow
        do \{
          r \leftarrow full-normalize-poly (pac-res st);
          q \leftarrow full-normalize-poly (pac-mult st);
         eq \leftarrow check\text{-mult-}l \ spec \ A \ \mathcal{V} \ (pac\text{-}src1 \ st) \ q \ (new\text{-}id \ st) \ r;
         let - = eq;
         if \neg is\text{-}cfailed eq
         then RETURN (merge-cstatus st' eq,
           V, fmupd (new-id st) r A)
         else RETURN (eq, V, A)
   \mid Extension - - - \Rightarrow
        do \{
          r \leftarrow full-normalize-poly (([new-var st], -1) # (pac-res st));
         (eq) \leftarrow check\text{-}extension\text{-}l \ spec \ A \ V \ (new\text{-}id \ st) \ (new\text{-}var \ st) \ r;
         if \neg is\text{-}cfailed eq
         then do {
           RETURN (st',
             insert\ (new\ var\ st)\ \mathcal{V},\ fmupd\ (new\ id\ st)\ r\ A)\}
         else RETURN (eq. V, A)
   }
 )>
lemma pac-step-rel-raw-def:
  \langle \langle K, V, R \rangle \ pac\text{-}step\text{-}rel\text{-}raw = pac\text{-}step\text{-}rel\text{-}raw \ K \ V \ R \rangle
  \langle proof \rangle
definition mononoms-equal-up-to-reorder where
  \langle mononoms\text{-}equal\text{-}up\text{-}to\text{-}reorder \ xs \ ys \longleftrightarrow
     map (\lambda(a, b), (mset a, b)) xs = map (\lambda(a, b), (mset a, b)) ys
 definition normalize-poly-l where
  \langle normalize\text{-poly-}l \ p = SPEC \ (\lambda p'.
     normalize-poly-p^{**} ((\lambda(a, b). (mset a, b)) '# mset p) ((\lambda(a, b). (mset a, b)) '# mset p') \wedge
     0 \notin \# snd ' \# mset p' \land
     sorted-wrt (rel2p\ (term-order-rel \times_r\ int-rel)) p' \wedge
     (\forall x \in mononoms \ p'. \ sorted-wrt \ (rel2p \ var-order-rel) \ x))
definition remap-polys-l-dom-err :: (string nres) where
  \langle remap-polys-l-dom-err = SPEC \ (\lambda-. \ True) \rangle
definition remap-polys-l::(llist-polynomial) \Rightarrow string set \Rightarrow (nat, llist-polynomial) fmap <math>\Rightarrow
   (-code\text{-}status \times string \ set \times (nat, \ llist\text{-}polynomial) \ fmap) \ nres \ \mathbf{where}
  \langle remap-polys-l \ spec = (\lambda V \ A. \ do \{
```

```
dom \leftarrow SPEC(\lambda dom. \ set\text{-mset} \ (dom\text{-m} \ A) \subseteq dom \land finite \ dom);
   failed \leftarrow SPEC(\lambda - :: bool. True);
   if failed
   then do {
       c \leftarrow remap-polys-l-dom-err;
       RETURN (error-msg (0 :: nat) c, V, fmempty)
   else do {
     (b, \mathcal{V}, A) \leftarrow FOREACH\ dom
        (\lambda i \ (b, \mathcal{V}, A').
           if i \in \# dom\text{-}m A
           then do {
              p \leftarrow full-normalize-poly (the (fmlookup A i));
              eq \leftarrow weak\text{-}equality\text{-}l \ p \ spec;
              \mathcal{V} \leftarrow RETURN(\mathcal{V} \cup vars\text{-llist (the (fmlookup A i)))};
              RETURN(b \lor eq, V, fmupd i p A')
           } else RETURN (b, V, A')
        (False, \mathcal{V}, fmempty);
      RETURN (if b then CFOUND else CSUCCESS, V, A)
 }})>
definition PAC-checker-l where
  \langle PAC\text{-}checker\text{-}l \ spec \ A \ b \ st = do \ \{
    (S, -) \leftarrow WHILE_T
        (\lambda((b, A), n). \neg is\text{-cfailed } b \land n \neq [])
        (\lambda((bA), n). do \{
           ASSERT(n \neq []);
           S \leftarrow PAC-checker-l-step spec bA (hd n);
           RETURN (S, tl n)
         })
       ((b, A), st);
    RETURN\ S
  }>
10.2
            Correctness
We now enter the locale to reason about polynomials directly.
context poly-embed
begin
abbreviation pac-step-rel where
  \langle pac\text{-}step\text{-}rel \equiv p2rel \ (\langle Id, fully\text{-}unsorted\text{-}poly\text{-}rel \ O \ mset\text{-}poly\text{-}rel, \ var\text{-}rel \rangle \ pac\text{-}step\text{-}rel\text{-}raw \rangle)
abbreviation fmap-polys-rel where
  \langle fmap-polys-rel \equiv \langle nat-rel, sorted-poly-rel | O mset-poly-rel \rangle fmap-rel \rangle
lemma
  \langle normalize\text{-}poly\text{-}p \ s0 \ s \Longrightarrow
         (s\theta, p) \in mset\text{-}poly\text{-}rel \Longrightarrow
         (s, p) \in mset\text{-poly-rel}
  \langle proof \rangle
lemma vars-poly-of-vars:
  \langle \mathit{vars}\ (\mathit{poly-of\text{-}vars}\ a :: int\ \mathit{mpoly}) \subseteq (\varphi\ `\mathit{set\text{-}mset}\ a) \rangle
  \langle proof \rangle
```

```
\mathbf{lemma}\ \mathit{vars-polynomial-of-mset}\colon
      (vars\ (polynomial-of\text{-}mset\ za)\subseteq\bigcup\ (image\ \varphi\ `(set\text{-}mset\ o\ fst)\ `set\text{-}mset\ za))
      \langle proof \rangle
\mathbf{lemma}\ \mathit{fully-unsorted-poly-rel-vars-subset-vars-llist}:
      \langle (A, B) \in fully\text{-}unsorted\text{-}poly\text{-}rel \ O \ mset\text{-}poly\text{-}rel \Longrightarrow vars \ B \subseteq \varphi \text{ '} vars\text{-}llist \ A \rangle
      \langle proof \rangle
lemma fully-unsorted-poly-rel-extend-vars:
      \langle (A, B) \in fully\text{-}unsorted\text{-}poly\text{-}rel \ O \ mset\text{-}poly\text{-}rel \Longrightarrow
      (x1c, x1a) \in \langle var\text{-}rel \rangle set\text{-}rel \Longrightarrow
         RETURN (x1c \cup vars-llist A)
           \leq \Downarrow (\langle var\text{-}rel \rangle set\text{-}rel)
                   (SPEC ((\subseteq) (x1a \cup vars (B))))
      \langle proof \rangle
lemma remap-polys-l-remap-polys:
      assumes
           AB: \langle (A, B) \in \langle nat\text{-rel}, fully\text{-unsorted-poly-rel} | O \text{ mset-poly-rel} \rangle fmap\text{-rel} \rangle and
           spec: \langle (spec, spec') \in sorted\text{-}poly\text{-}rel \ O \ mset\text{-}poly\text{-}rel \rangle and
            V: \langle (\mathcal{V}, \mathcal{V}') \in \langle var\text{-}rel \rangle set\text{-}rel \rangle
      shows \langle remap\text{-}polys\text{-}l \ spec \ \mathcal{V} \ A \le
              \Downarrow (code\text{-}status\text{-}rel \times_r \langle var\text{-}rel \rangle set\text{-}rel \times_r fmap\text{-}polys\text{-}rel) (remap\text{-}polys spec' \mathcal{V}' B) \rangle
      (is \langle - \leq \Downarrow ?R \rightarrow )
\langle proof \rangle
lemma fref-to-Down-curry:
      \langle (uncurry f, uncurry g) \in [P]_f A \rightarrow \langle B \rangle nres-rel \Longrightarrow
              (\bigwedge x \ x' \ y \ y'. \ P \ (x', \ y') \Longrightarrow ((x, \ y), \ (x', \ y')) \in A \Longrightarrow f \ x \ y \le \Downarrow B \ (g \ x' \ y')) \land (x', \ y') \land (x
      \langle proof \rangle
lemma weak-equality-spec-weak-equality:
      \langle (p, p') \in mset\text{-}poly\text{-}rel \Longrightarrow
           (r, r') \in mset\text{-}poly\text{-}rel \Longrightarrow
            weak-equality-spec p r < weak-equality p' r'
      \langle proof \rangle
lemma weak-equality-l-weak-equality-l'[refine]:
      \langle weak\text{-}equality\text{-}l \ p \ q \leq \Downarrow \ bool\text{-}rel \ (weak\text{-}equality \ p' \ q') \rangle
      if \langle (p, p') \in sorted\text{-poly-rel} \ O \ mset\text{-poly-rel} \rangle
           \langle (q, q') \in sorted\text{-}poly\text{-}rel \ O \ mset\text{-}poly\text{-}rel \rangle
      for p p' q q'
      \langle proof \rangle
lemma error-msg-ne-SUCCES[iff]:
      \langle error-msq \ i \ m \neq CSUCCESS \rangle
      \langle error-msg \ i \ m \neq CFOUND \rangle
      \langle is\text{-}cfailed (error\text{-}msg \ i \ m) \rangle
      \langle \neg is\text{-}cfound \ (error\text{-}msg \ i \ m) \rangle
      \langle proof \rangle
```

 $\mathbf{lemma}\ sorted\text{-}poly\text{-}rel\text{-}vars\text{-}llist\text{:}$ 

```
\langle (r, r') \in sorted\text{-}poly\text{-}rel \ O \ mset\text{-}poly\text{-}rel \Longrightarrow
    vars \ r' \subseteq \varphi  ' vars-llist r
   \langle proof \rangle
lemma check-addition-l-check-add:
   assumes \langle (A, B) \in fmap\text{-}poly\text{-}rel \rangle and \langle (r, r') \in sorted\text{-}poly\text{-}rel \rangle O(mset\text{-}poly\text{-}rel \rangle)
      \langle (p, p') \in Id \rangle \langle (q, q') \in Id \rangle \langle (i, i') \in nat\text{-rel} \rangle
      \langle (\mathcal{V}', \mathcal{V}) \in \langle var\text{-}rel \rangle set\text{-}rel \rangle
  shows
      \langle check\text{-}addition\text{-}l \ spec \ A \ \mathcal{V}' \ p \ q \ i \ r \leq \downarrow \{(st, b). \ (\neg is\text{-}cfailed \ st \longleftrightarrow b) \ \land \}
          (is\text{-}cfound\ st \longrightarrow spec = r)\}\ (check\text{-}add\ B\ V\ p'\ q'\ i'\ r')
\langle proof \rangle
lemma check-del-l-check-del:
   (A, B) \in fmap\text{-}polys\text{-}rel \Longrightarrow (x3, x3a) \in Id \Longrightarrow check\text{-}del\text{-}l \ spec \ A \ (pac\text{-}src1 \ (Del \ x3))
      \leq \downarrow \{(st, b), (\neg is\text{-cfailed } st \longleftrightarrow b) \land (b \longrightarrow st = CSUCCESS)\} (check\text{-del } B (pac\text{-src1} (Del x3a))) \rangle
   \langle proof \rangle
\mathbf{lemma}\ \mathit{check-mult-l-check-mult}\colon
   assumes \langle (A, B) \in fmap\text{-polys-rel} \rangle and \langle (r, r') \in sorted\text{-poly-rel} \rangle on O(R) and
      \langle (q, q') \in sorted\text{-}poly\text{-}rel \ O \ mset\text{-}poly\text{-}rel \rangle
      \langle (p, p') \in Id \rangle \langle (i, i') \in nat\text{-}rel \rangle \langle (\mathcal{V}, \mathcal{V}') \in \langle var\text{-}rel \rangle set\text{-}rel \rangle
   shows
      \langle check\text{-mult-}l \ spec \ A \ \mathcal{V} \ p \ q \ i \ r \leq \downarrow \{(st, b). \ (\neg is\text{-}cfailed \ st \longleftrightarrow b) \land \}
          (is\text{-}cfound\ st \longrightarrow spec = r)\}\ (check\text{-}mult\ B\ \mathcal{V}'\ p'\ q'\ i'\ r')
\langle proof \rangle
lemma normalize-poly-normalize-poly-spec:
  assumes \langle (r, t) \in unsorted\text{-}poly\text{-}rel \ O \ mset\text{-}poly\text{-}rel \rangle
  shows
      \langle normalize\text{-poly }r \leq \downarrow (sorted\text{-poly-rel }O \text{ }mset\text{-poly-rel}) \text{ }(normalize\text{-poly-spec }t) \rangle
\langle proof \rangle
lemma remove1-list-rel:
   \langle (xs, ys) \in \langle R \rangle \ list-rel \Longrightarrow
   (a, b) \in R \Longrightarrow
   IS-RIGHT-UNIQUE R \Longrightarrow
   IS\text{-}LEFT\text{-}UNIQUE R \Longrightarrow
   (remove1 \ a \ xs, \ remove1 \ b \ ys) \in \langle R \rangle list-rel \rangle
   \langle proof \rangle
lemma remove1-list-rel2:
   \langle (xs, ys) \in \langle R \rangle \ list-rel \Longrightarrow
   (a, b) \in R \Longrightarrow
   (\bigwedge c. (a, c) \in R \Longrightarrow c = b) \Longrightarrow
   (\bigwedge c. (c, b) \in R \Longrightarrow c = a) \Longrightarrow
   (remove1 \ a \ xs, \ remove1 \ b \ ys) \in \langle R \rangle list-rel \rangle
   \langle proof \rangle
lemma remove1-sorted-poly-rel-mset-poly-rel:
      \langle (r, r') \in sorted\text{-poly-rel} \ O \ mset\text{-poly-rel} \rangle and
      \langle ([a], 1) \in set r \rangle
```

```
shows
             \langle (remove1 \ ([a], 1) \ r, r' - Var \ (\varphi \ a)) \rangle
                                   \in \mathit{sorted-poly-rel} \ O \ \mathit{mset-poly-rel} \rangle
\langle proof \rangle
lemma remove1-sorted-poly-rel-mset-poly-rel-minus:
             \langle (r, r') \in sorted\text{-poly-rel} \ O \ mset\text{-poly-rel} \rangle and
             \langle ([a], -1) \in set \ r \rangle
      shows
             \langle (remove1 \ ([a], -1) \ r, r' + Var \ (\varphi \ a)) \rangle
                                   \in \mathit{sorted-poly-rel} \ O \ \mathit{mset-poly-rel} \rangle
\langle proof \rangle
lemma insert-var-rel-set-rel:
       \langle (\mathcal{V}, \mathcal{V}') \in \langle var\text{-}rel \rangle set\text{-}rel \Longrightarrow
       (yb, x2) \in var\text{-rel} \Longrightarrow
       (insert yb V, insert x2 V') \in \langle var\text{-rel} \rangle set\text{-rel} \rangle
        \langle proof \rangle
lemma var-rel-set-rel-iff:
        \langle (\mathcal{V}, \mathcal{V}') \in \langle var\text{-}rel \rangle set\text{-}rel \Longrightarrow
       (yb, x2) \in var\text{-rel} \Longrightarrow
       yb \in \mathcal{V} \longleftrightarrow x2 \in \mathcal{V}'
        \langle proof \rangle
lemma check-extension-l-check-extension:
       assumes \langle (A, B) \in fmap\text{-polys-rel} \rangle and \langle (r, r') \in sorted\text{-poly-rel} O \text{ mset-poly-rel} \rangle and
             \langle (i,\,i') \in \mathit{nat-rel} \rangle \ \langle (\mathcal{V},\,\mathcal{V}') \in \langle \mathit{var-rel} \rangle \mathit{set-rel} \rangle \ \langle (x,\,x') \in \mathit{var-rel} \rangle
      shows
             \langle check\text{-}extension\text{-}l \ spec \ A \ V \ i \ x \ r \leq
                     \Downarrow \{((st), (b)).
                           (\neg is\text{-}cfailed\ st\longleftrightarrow b)\ \land
                         (is\text{-}cfound\ st \longrightarrow spec = r)\}\ (check\text{-}extension\ B\ V'\ i'\ x'\ r')
\langle proof \rangle
\mathbf{lemma}\ \mathit{full-normalize-poly-diff-ideal}:
      assumes \langle (p, p') \in fully\text{-}unsorted\text{-}poly\text{-}rel \ O \ mset\text{-}poly\text{-}rel \rangle
      shows
             \langle full\text{-}normalize\text{-}poly p
             \leq \Downarrow (sorted\text{-}poly\text{-}rel \ O \ mset\text{-}poly\text{-}rel)
                         (normalize\text{-}poly\text{-}spec\ p')
\langle proof \rangle
lemma insort-key-rel-decomp:
          (\exists ys \ zs. \ xs = ys \ @ \ zs \land insort\text{-}key\text{-}rel \ R \ x \ xs = ys \ @ \ x \ \# \ zs)
        \langle proof \rangle
lemma list-rel-append-same-length:
           \langle length \ xs = length \ xs' \Longrightarrow (xs @ ys, \ xs' @ ys') \in \langle R \rangle list\text{-rel} \longleftrightarrow (xs, \ xs') \in \langle R \rangle list\text{-rel} \land (ys, \ ys') \in \langle R \rangle list\text{-rel} \land (ys, \ ys') \in \langle R \rangle list\text{-rel} \land (ys, \ ys') \in \langle R \rangle list\text{-rel} \land (ys, \ ys') \in \langle R \rangle list\text{-rel} \land (ys, \ ys') \in \langle R \rangle list\text{-rel} \land (ys, \ ys') \in \langle R \rangle list\text{-rel} \land (ys, \ ys') \in \langle R \rangle list\text{-rel} \land (ys, \ ys') \in \langle R \rangle list\text{-rel} \land (ys, \ ys') \in \langle R \rangle list\text{-rel} \land (ys, \ ys') \in \langle R \rangle list\text{-rel} \land (ys, \ ys') \in \langle R \rangle list\text{-rel} \land (ys, \ ys') \in \langle R \rangle list\text{-rel} \land (ys, \ ys') \in \langle R \rangle list\text{-rel} \land (ys, \ ys') \in \langle R \rangle list\text{-rel} \land (ys, \ ys') \in \langle R \rangle list\text{-rel} \land (ys, \ ys') \in \langle R \rangle list\text{-rel} \land (ys, \ ys') \in \langle R \rangle list\text{-rel} \land (ys, \ ys') \in \langle R \rangle list\text{-rel} \land (ys, \ ys') \in \langle R \rangle list\text{-rel} \land (ys, \ ys') \in \langle R \rangle list\text{-rel} \land (ys, \ ys') \in \langle R \rangle list\text{-rel} \land (ys, \ ys') \in \langle R \rangle list\text{-rel} \land (ys, \ ys') \in \langle R \rangle list\text{-rel} \land (ys, \ ys') \in \langle R \rangle list\text{-rel} \land (ys, \ ys') \in \langle R \rangle list\text{-rel} \land (ys, \ ys') \in \langle R \rangle list\text{-rel} \land (ys, \ ys') \in \langle R \rangle list\text{-rel} \land (ys, \ ys') \in \langle R \rangle list\text{-rel} \land (ys, \ ys') \in \langle R \rangle list\text{-rel} \land (ys, \ ys') \in \langle R \rangle list\text{-rel} \land (ys, \ ys') \in \langle R \rangle list\text{-rel} \land (ys, \ ys') \in \langle R \rangle list\text{-rel} \land (ys, \ ys') \in \langle R \rangle list\text{-rel} \land (ys, \ ys') \in \langle R \rangle list\text{-rel} \land (ys, \ ys') \in \langle R \rangle list\text{-rel} \land (ys, \ ys') \in \langle R \rangle list\text{-rel} \land (ys, \ ys') \in \langle R \rangle list\text{-rel} \land (ys, \ ys') \in \langle R \rangle list\text{-rel} \land (ys, \ ys') \in \langle R \rangle list\text{-rel} \land (ys, \ ys') \in \langle R \rangle list\text{-rel} \land (ys, \ ys') \in \langle R \rangle list\text{-rel} \land (ys, \ ys') \in \langle R \rangle list\text{-rel} \land (ys, \ ys') \in \langle R \rangle list\text{-rel} \land (ys, \ ys') \in \langle R \rangle list\text{-rel} \land (ys, \ ys') \in \langle R \rangle list\text{-rel} \land (ys, \ ys') \in \langle R \rangle list\text{-rel} \land (ys, \ ys') \in \langle R \rangle list\text{-rel} \land (ys, \ ys') \in \langle R \rangle list\text{-rel} \land (ys, \ ys') \in \langle R \rangle list\text{-rel} \land (ys, \ ys') \in \langle R \rangle list\text{-rel} \land (ys, \ ys') \in \langle R \rangle list\text{-rel} \land (ys, \ ys') \in \langle R \rangle list\text{-rel} \land (ys, \ ys') \in \langle R \rangle list\text{-rel} \land (ys, \ ys') \in \langle R \rangle list\text{-rel} \land (ys, \ ys') \in \langle R \rangle list\text{-rel} \land (ys, \ ys') \in \langle R \rangle list\text{-rel} \land (ys, \ ys') \in \langle R \rangle list\text{-rel
\langle R \rangle list\text{-rel} \rangle
```

```
\langle proof \rangle
lemma term-poly-list-rel-list-relD: \langle (ys, cs) \in \langle term\text{-poly-list-rel} \times_r int\text{-rel} \rangle list-rel \Longrightarrow
           cs = map (\lambda(a, y). (mset a, y)) ys
   \langle proof \rangle
lemma term-poly-list-rel-single: \langle ([x32], \{\#x32\#\}) \in term\text{-poly-list-rel} \rangle
   \langle proof \rangle
\mathbf{lemma}\ unsorted\text{-}poly\text{-}rel\text{-}list\text{-}rel\text{-}uminus:}
    \langle (map\ (\lambda(a,\ b).\ (a,\ -\ b))\ r,\ yc)\rangle
          \in \langle unsorted\text{-}term\text{-}poly\text{-}list\text{-}rel \times_r int\text{-}rel \rangle list\text{-}rel \Longrightarrow
          (r, map (\lambda(a, b). (a, -b)) yc)
          \in \langle unsorted\text{-}term\text{-}poly\text{-}list\text{-}rel \times_r int\text{-}rel \rangle list\text{-}rel \rangle
   \langle proof \rangle
lemma mset-poly-rel-minus: \langle \{\#(a, b)\#\}, v' \rangle \in mset-poly-rel \Longrightarrow
          (mset\ yc,\ r') \in mset\text{-poly-rel} \Longrightarrow
          (r, yc)
          \in \langle unsorted\text{-}term\text{-}poly\text{-}list\text{-}rel \times_r int\text{-}rel \rangle list\text{-}rel \Longrightarrow
          (add\text{-}mset\ (a,\ b)\ (mset\ yc),
           v' + r'
          \in mset\text{-}poly\text{-}rel
   \langle proof \rangle
lemma fully-unsorted-poly-rel-diff:
    \langle ([v], v') \in fully\text{-}unsorted\text{-}poly\text{-}rel \ O \ mset\text{-}poly\text{-}rel \Longrightarrow
    (r, r') \in fully-unsorted-poly-rel O mset-poly-rel \Longrightarrow
      (v \# r,
       v' + r'
      \in \mathit{fully}\text{-}\mathit{unsorted}\text{-}\mathit{poly}\text{-}\mathit{rel}\ O\ \mathit{mset}\text{-}\mathit{poly}\text{-}\mathit{rel}\rangle
   \langle proof \rangle
lemma PAC-checker-l-step-PAC-checker-step:
   assumes
      \langle (Ast, Bst) \in code\text{-status-status-rel} \times_r \langle var\text{-rel} \rangle set\text{-rel} \times_r fmap\text{-polys-rel} \rangle and
      \langle (st, st') \in pac\text{-}step\text{-}rel \rangle and
      spec: \langle (spec, spec') \in sorted\text{-}poly\text{-}rel \ O \ mset\text{-}poly\text{-}rel \rangle
        \langle PAC\text{-}checker\text{-}l\text{-}step \ spec \ Ast \ st \leq \downarrow \downarrow (code\text{-}status\text{-}status\text{-}rel \times_r \ \langle var\text{-}rel \rangle set\text{-}rel \times_r \ fmap\text{-}polys\text{-}rel)
(PAC-checker-step spec' Bst st')
\langle proof \rangle
lemma code-status-status-rel-discrim-iff:
   \langle (x1a, x1c) \in code\text{-status-status-rel} \implies is\text{-cfailed } x1a \longleftrightarrow is\text{-failed } x1c \rangle
   \langle (x1a, x1c) \in code\text{-}status\text{-}status\text{-}rel \implies is\text{-}cfound x1a \longleftrightarrow is\text{-}found x1c} \rangle
   \langle proof \rangle
lemma PAC-checker-l-PAC-checker:
   assumes
      \langle (A, B) \in \langle var\text{-}rel \rangle set\text{-}rel \times_r fmap\text{-}polys\text{-}rel \rangle and
      \langle (st, st') \in \langle pac\text{-}step\text{-}rel \rangle list\text{-}rel \rangle and
      \langle (spec, spec') \in sorted\text{-}poly\text{-}rel \ O \ mset\text{-}poly\text{-}rel \rangle and
      \langle (b, b') \in code\text{-}status\text{-}rel \rangle
```

shows

```
\langle PAC\text{-}checker\text{-}l \ spec \ A \ b \ st \leq \downarrow (code\text{-}status\text{-}rel \times_r \langle var\text{-}rel \rangle set\text{-}rel \times_r fmap\text{-}polys\text{-}rel) (PAC\text{-}checker\text{-}l \ spec \ A \ b \ st \leq \downarrow (code\text{-}status\text{-}status\text{-}rel \times_r \langle var\text{-}rel \rangle set\text{-}rel \times_r fmap\text{-}polys\text{-}rel) (PAC\text{-}checker\text{-}l \ spec \ A \ b \ st \leq \downarrow (code\text{-}status\text{-}status\text{-}rel \times_r \langle var\text{-}rel \rangle set\text{-}rel \times_r fmap\text{-}polys\text{-}rel) (PAC\text{-}checker)
spec' B b' st')
\langle proof \rangle
end
lemma less-than-char-of-char[code-unfold]:
   \langle (x, y) \in less\text{-}than\text{-}char \longleftrightarrow (of\text{-}char \ x :: nat) < of\text{-}char \ y \rangle
   \langle proof \rangle
lemmas [code] =
   add-poly-l'.simps[unfolded var-order-rel-def]
export-code add-poly-l' in SML module-name test
definition full-checker-l
   :: \langle llist\text{-polynomial} \Rightarrow (nat, llist\text{-polynomial}) \text{ fmap } \Rightarrow (\text{-, string, nat}) \text{ pac-step list} \Rightarrow
      (string\ code\text{-}status\ \times\ -)\ nres \rangle
where
   \langle full\text{-}checker\text{-}l\ spec\ A\ st=do\ \{
      spec' \leftarrow full-normalize-poly spec;
      (b, \mathcal{V}, A) \leftarrow remap-polys-l \ spec' \{\} \ A;
      if\ is\mbox{-}cfailed\ b
      then RETURN (b, \mathcal{V}, A)
      else do {
         let \mathcal{V} = \mathcal{V} \cup vars-llist spec;
         PAC-checker-l spec'(V, A) b st
   }
context poly-embed
begin
term normalize-poly-spec
thm full-normalize-poly-diff-ideal[unfolded normalize-poly-spec-def[symmetric]]
abbreviation unsorted-fmap-polys-rel where
   \langle unsorted\text{-}fmap\text{-}polys\text{-}rel \equiv \langle nat\text{-}rel, fully\text{-}unsorted\text{-}poly\text{-}rel \ O \ mset\text{-}poly\text{-}rel \rangle fmap\text{-}rel \rangle
\mathbf{lemma}\ \mathit{full-checker-l-full-checker}\colon
 assumes
      \langle (A, B) \in unsorted\text{-}fmap\text{-}polys\text{-}rel \rangle and
      \langle (st, st') \in \langle pac\text{-}step\text{-}rel \rangle list\text{-}rel \rangle and
      \langle (spec, spec') \in fully\text{-}unsorted\text{-}poly\text{-}rel \ O \ mset\text{-}poly\text{-}rel \rangle
  shows
     \langle full\text{-}checker\text{-}l \ spec \ A \ st \leq \downarrow \ (code\text{-}status\text{-}status\text{-}rel \times_r \ \langle var\text{-}rel \rangle set\text{-}rel \times_r \ fmap-polys\text{-}rel) \ (full\text{-}checker)
spec' B st')
\langle proof \rangle
lemma full-checker-l-full-checker':
   (uncurry2\ full-checker-l,\ uncurry2\ full-checker) \in
   ((fully\text{-}unsorted\text{-}poly\text{-}rel\ O\ mset\text{-}poly\text{-}rel) \times_r unsorted\text{-}fmap\text{-}polys\text{-}rel) \times_r \langle pac\text{-}step\text{-}rel \rangle list\text{-}rel \rightarrow_f
```

```
\langle (code\text{-}status\text{-}rel \times_r \langle var\text{-}rel \rangle set\text{-}rel \times_r fmap\text{-}polys\text{-}rel) \rangle nres\text{-}rel \rangle
  \langle proof \rangle
end
definition remap-polys-l2::(llist-polynomial) \Rightarrow string set \Rightarrow (nat, llist-polynomial) <math>fmap \Rightarrow -nres(llist-polynomial)
  \langle remap-polys-l2 \ spec = (\lambda V \ A. \ do \{
   n \leftarrow upper-bound-on-dom\ A;
   b \leftarrow RETURN \ (n \geq 2^{6}4);
   if b
   then do {
     c \leftarrow remap\text{-}polys\text{-}l\text{-}dom\text{-}err;
     RETURN (error-msg (0 :: nat) c, V, fmempty)
   else do {
       (b, \mathcal{V}, A) \leftarrow nfoldli([0..< n])(\lambda -. True)
       (\lambda i \ (b, \mathcal{V}, A').
           if i \in \# dom\text{-}m A
           then do {
             ASSERT(fmlookup\ A\ i \neq None);
             p \leftarrow full-normalize-poly (the (fmlookup A i));
             eq \leftarrow weak-equality-l p spec;
             V \leftarrow RETURN \ (V \cup vars-llist \ (the \ (fmlookup \ A \ i)));
             RETURN(b \vee eq, \mathcal{V}, fmupd \ i \ p \ A')
          } else RETURN (b, V, A')
       (False, V, fmempty);
     RETURN (if b then CFOUND else CSUCCESS, V, A)
 })>
lemma remap-polys-l2-remap-polys-l:
  \langle remap-polys-l2 \ spec \ \mathcal{V} \ A \leq \Downarrow \ Id \ (remap-polys-l \ spec \ \mathcal{V} \ A) \rangle
\langle proof \rangle
end
theory PAC-Checker-Relation
  imports PAC-Checker WB-Sort Native-Word. Uint 64
begin
11
          Various Refinement Relations
When writing this, it was not possible to share the definition with the IsaSAT version.
definition uint64-nat-rel :: (uint64 \times nat) set where
 \langle uint64\text{-}nat\text{-}rel = br \ nat\text{-}of\text{-}uint64 \ (\lambda\text{-}. \ True) \rangle
abbreviation uint64-nat-assn where
  \langle uint64-nat-assn \equiv pure \ uint64-nat-rel \rangle
\mathbf{instantiation}\ \mathit{uint32}\ ::\ \mathit{hashable}
```

**definition**  $hashcode\text{-}uint32 :: \langle uint32 \Rightarrow uint32 \rangle$  where

begin

```
\langle hashcode\text{-}uint32 \ n = n \rangle
definition def-hashmap-size-uint32 :: \langle uint32 | itself \Rightarrow nat \rangle where
     \langle def-hashmap-size-uint32 = (\lambda-. 16)\rangle
     — same as nat
instance
     \langle proof \rangle
end
instantiation uint64 :: hashable
definition hashcode\text{-}uint64 :: \langle uint64 \Rightarrow uint32 \rangle where
     \langle hashcode\text{-}uint64 \mid n = (uint32\text{-}of\text{-}nat \mid (nat\text{-}of\text{-}uint64 \mid ((n) \mid AND \mid ((2 :: uint64) \mid 32 \mid -1)))) \rangle
definition def-hashmap-size-uint64 :: \langle uint64 | itself \Rightarrow nat \rangle where
     \langle def-hashmap-size-uint6\not= (\lambda-. 16)\rangle
     — same as nat
instance
     \langle proof \rangle
end
lemma word-nat-of-uint64-Rep-inject[simp]: (nat\text{-}of\text{-}uint64\ ai=nat\text{-}of\text{-}uint64\ bi\longleftrightarrow ai=bi)
     \langle proof \rangle
instance uint64 :: heap
     \langle proof \rangle
instance \ uint 64 :: semiring-numeral
lemma nat-of-uint64-012[simp]: \langle nat-of-uint64 \theta = \theta \rangle \langle nat-of-uint64 \theta = \theta \rangle \langle nat-of-uint64 \theta = \theta \rangle
     \langle proof \rangle
definition uint64-of-nat-conv where
     [simp]: \langle uint64\text{-}of\text{-}nat\text{-}conv\ (x::nat) = x \rangle
lemma less-upper-bintrunc-id: (n < 2 \hat{b} \implies n \ge 0 \implies bintrunc |b| | n = n)
     \langle proof \rangle
lemma nat-of-uint64-uint64-of-nat-id: (n < 2^64 \implies nat-of-uint64 (uint64-of-nat n) = n
     \langle proof \rangle
lemma [sepref-fr-rules]:
   (\textit{return o uint64-of-nat}, \textit{RETURN o uint64-of-nat-conv}) \in [\lambda a. \ a < 2 \ \hat{\ \ } 64]_a \ \textit{nat-assn^k} \rightarrow \textit{uint64-nat-assn^k}) = (\text{nat-assn^k}) + (\text
definition string\text{-}rel :: \langle (String.literal \times string) \text{ } set \rangle \text{ } \mathbf{where}
     \langle string\text{-}rel = \{(x, y). \ y = String.explode \ x\} \rangle
abbreviation string-assn :: (string \Rightarrow String.literal \Rightarrow assn) where
     \langle string\text{-}assn \equiv pure \ string\text{-}rel \rangle
lemma eq-string-eq:
     \langle ((=), (=)) \in string\text{-}rel \rightarrow string\text{-}rel \rightarrow bool\text{-}rel \rangle
  \langle proof \rangle
```

```
lemmas eq-string-eq-hnr =
    eq-string-eq[sepref-import-param]
definition string2-rel :: \langle (string \times string) \ set \rangle where
   \langle string2\text{-}rel \equiv \langle Id \rangle list\text{-}rel \rangle
abbreviation string2-assn :: \langle string \Rightarrow string \Rightarrow assn \rangle where
   \langle string2\text{-}assn \equiv pure \ string2\text{-}rel \rangle
{\bf abbreviation}\ \mathit{monom-rel}\ {\bf where}
  \langle monom\text{-}rel \equiv \langle string\text{-}rel \rangle list\text{-}rel \rangle
abbreviation monom-assn where
   \langle monom\text{-}assn \equiv list\text{-}assn \ string\text{-}assn \rangle
{\bf abbreviation}\ \mathit{monomial-rel}\ {\bf where}
   \langle monomial\text{-rel} \equiv monom\text{-rel} \times_r int\text{-rel} \rangle
abbreviation monomial-assn where
   \langle monomial\text{-}assn \equiv monom\text{-}assn \times_a int\text{-}assn \rangle
abbreviation poly-rel where
   \langle poly\text{-}rel \equiv \langle monomial\text{-}rel \rangle list\text{-}rel \rangle
abbreviation poly-assn where
   \langle poly\text{-}assn \equiv list\text{-}assn \ monomial\text{-}assn \rangle
lemma poly-assn-alt-def:
   \langle poly\text{-}assn = pure \ poly\text{-}rel \rangle
   \langle proof \rangle
abbreviation polys-assn where
   \langle polys\text{-}assn \equiv hm\text{-}fmap\text{-}assn \ uint64\text{-}nat\text{-}assn \ poly\text{-}assn \rangle
\mathbf{lemma}\ string\text{-}rel\text{-}string\text{-}assn\text{:}
   \langle (\uparrow ((c, a) \in string\text{-}rel)) = string\text{-}assn \ a \ c \rangle
   \langle proof \rangle
\mathbf{lemma}\ single\text{-}valued\text{-}string\text{-}rel\text{:}
    \langle single\text{-}valued\ string\text{-}rel \rangle
    \langle proof \rangle
\mathbf{lemma}\ \mathit{IS-LEFT-UNIQUE-string-rel}:
    \langle IS\text{-}LEFT\text{-}UNIQUE\ string\text{-}rel\rangle
    \langle proof \rangle
\mathbf{lemma}\ \mathit{IS-RIGHT-UNIQUE-string-rel}\colon
    \langle IS\text{-}RIGHT\text{-}UNIQUE\ string\text{-}rel \rangle
    \langle proof \rangle
lemma single-valued-monom-rel: (single-valued monom-rel)
```

 $\mathbf{lemma}\ single\text{-}valued\text{-}monomial\text{-}rel\text{:}$ 

```
\langle single\text{-}valued\ monomial\text{-}rel \rangle
  \langle proof \rangle
\mathbf{lemma} \ single\text{-}valued\text{-}monom\text{-}rel' : \langle \mathit{IS-LEFT-UNIQUE} \ monom\text{-}rel \rangle
  \langle proof \rangle
lemma single-valued-monomial-rel':
  \langle IS\text{-}LEFT\text{-}UNIQUE\ monomial\text{-}rel \rangle
  \langle proof \rangle
lemma [safe-constraint-rules]:
  \langle Sepref-Constraints.CONSTRAINT\ single-valued\ string-rel \rangle
  \langle Sepref-Constraints. CONSTRAINT\ IS-LEFT-UNIQUE\ string-rel \rangle
  \langle proof \rangle
lemma eq-string-monom-hnr[sepref-fr-rules]:
 (uncurry\ (return\ oo\ (=)),\ uncurry\ (RETURN\ oo\ (=))) \in monom-assn^k *_a monom-assn^k \to_a bool-assn)
  \langle proof \rangle
definition term-order-rel' where
  [simp]: \langle term\text{-}order\text{-}rel' \ x \ y = ((x, y) \in term\text{-}order\text{-}rel) \rangle
lemma term-order-rel[def-pat-rules]:
  \langle (\in) \$(x,y) \$ term - order - rel \equiv term - order - rel' \$ x \$ y \rangle
  \langle proof \rangle
lemma term-order-rel-alt-def:
  \langle term\text{-}order\text{-}rel = lexord (p2rel char.lexordp) \rangle
  \langle proof \rangle
instantiation \ char :: linorder
  definition less-char where [symmetric, simp]: less-char = PAC-Polynomials-Term.less-char
  definition less-eq-char where [symmetric, simp]: less-eq-char = PAC-Polynomials-Term.less-eq-char
instance
  \langle proof \rangle
end
instantiation list :: (linorder) linorder
begin
  definition less-list where less-list = lexordp (<)
  definition less-eq-list where less-eq-list = lexordp-eq
instance
  \langle proof \rangle
end
lemma term-order-rel'-alt-def-lexord:
    \langle term\text{-}order\text{-}rel' \ x \ y = ord\text{-}class.lexordp \ x \ y \rangle and
```

```
term-order-rel'-alt-def:
     \langle term\text{-}order\text{-}rel' \ x \ y \longleftrightarrow x < y \rangle
\langle proof \rangle
lemma list-rel-list-rel-order-iff:
  assumes \langle (a, b) \in \langle string\text{-}rel \rangle list\text{-}rel \rangle \langle (a', b') \in \langle string\text{-}rel \rangle list\text{-}rel \rangle
  shows \langle a < a' \longleftrightarrow b < b' \rangle
\langle proof \rangle
lemma string-rel-le[sepref-import-param]:
  shows \langle ((<), (<)) \in \langle string\text{-}rel \rangle list\text{-}rel \rightarrow \langle string\text{-}rel \rangle list\text{-}rel \rightarrow bool\text{-}rel \rangle
  \langle proof \rangle
lemma [sepref-import-param]:
  \mathbf{assumes} \ \langle CONSTRAINT \ IS\text{-}LEFT\text{-}UNIQUE \ R \rangle \ \langle CONSTRAINT \ IS\text{-}RIGHT\text{-}UNIQUE \ R \rangle
  shows \langle (remove1, remove1) \in R \rightarrow \langle R \rangle list-rel \rightarrow \langle R \rangle list-rel \rangle
  \langle proof \rangle
instantiation pac\text{-}step :: (heap, heap, heap) heap
begin
instance
\langle proof \rangle
end
end
theory PAC-Checker-Init
  imports PAC-Checker WB-Sort PAC-Checker-Relation
begin
```

### 12 Initial Normalisation of Polynomials

### 12.1 Sorting

Adapted from the theory HOL-ex.MergeSort by Tobias. We did not change much, but we refine it to executable code and try to improve efficiency.

```
fun merge :: - \Rightarrow 'a \ list \Rightarrow 'a \ list where

merge \ f \ (x\#xs) \ (y\#ys) =
(if \ f \ x \ y \ then \ x \# merge \ f \ xs \ (y\#ys) \ else \ y \# merge \ f \ (x\#xs) \ ys)
| \ merge \ f \ xs \ | = xs
| \ merge \ f \ | \ ys = ys
| \ lemma \ mset-merge \ [simp]:
mset \ (merge \ f \ xs \ ys) = mset \ xs + mset \ ys
\langle proof \rangle
| \ lemma \ set-merge \ [simp]:
set \ (merge \ f \ xs \ ys) = set \ xs \cup set \ ys
\langle proof \rangle
```

```
lemma sorted-merge:
  transp \ f \Longrightarrow (\bigwedge x \ y. \ f \ x \ y \lor f \ y \ x) \Longrightarrow
   sorted\text{-}wrt\ f\ (merge\ f\ xs\ ys) \longleftrightarrow sorted\text{-}wrt\ f\ xs\ \land\ sorted\text{-}wrt\ f\ ys
  \langle proof \rangle
fun msort :: - \Rightarrow 'a \ list \Rightarrow 'a \ list
where
  msort f [] = []
| msort f [x] = [x]
\mid msort f xs = merge f
                        (msort\ f\ (take\ (size\ xs\ div\ 2)\ xs))
                        (msort\ f\ (drop\ (size\ xs\ div\ 2)\ xs))
fun swap-ternary :: \langle -\Rightarrow nat \Rightarrow nat \Rightarrow ('a \times 'a \times 'a) \Rightarrow ('a \times 'a \times 'a) \rangle where
  \langle swap\text{-}ternary f m n \rangle =
    (if (m = 0 \land n = 1))
    then (\lambda(a, b, c)) if f(a, b, b, c)
      else (b,a,c)
    else if (m = 0 \land n = 2)
    then (\lambda(a, b, c)). if f(a, c) then (a, b, c)
      else (c,b,a)
    else if (m = 1 \land n = 2)
    then (\lambda(a, b, c). if f b c then (a, b, c)
      else (a,c,b)
    else (\lambda(a, b, c), (a,b,c))
fun msort2 :: - \Rightarrow 'a \ list \Rightarrow 'a \ list
where
  msort2 f [] = []
 msort2 f [x] = [x]
|msort2 f[x,y] = (if f x y then [x,y] else [y,x])
| msort2 f xs = merge f
                        (msort\ f\ (take\ (size\ xs\ div\ 2)\ xs))
                        (msort f (drop (size xs div 2) xs))
lemmas [code del] =
  msort2.simps
declare msort2.simps[simp del]
lemmas [code] =
  msort2.simps[unfolded\ swap-ternary.simps,\ simplified]
declare msort2.simps[simp]
lemma msort-msort2:
  fixes xs :: \langle 'a :: linorder \ list \rangle
  shows \langle msort \ (\leq) \ xs = msort2 \ (\leq) \ xs \rangle
  \langle proof \rangle
lemma sorted-msort:
  transp \ f \Longrightarrow (\bigwedge x \ y. \ f \ x \ y \lor f \ y \ x) \Longrightarrow
   sorted-wrt f (msort f xs)
  \langle proof \rangle
```

```
lemma mset\text{-}msort[simp]: mset (msort f xs) = mset xs \langle proof \rangle
```

### 12.2 Sorting applied to monomials

```
lemma merge-coeffs-alt-def:
  \langle (RETURN \ o \ merge-coeffs) \ p =
   REC_T(\lambda f p.
     (case p of
        [] \Rightarrow RETURN []
     | [-] => RETURN p
     \mid ((xs, n) \# (ys, m) \# p) \Rightarrow
       (if xs = ys)
       then if n + m \neq 0 then f((xs, n + m) \# p) else f p
        else do \{p \leftarrow f ((ys, m) \# p); RETURN ((xs, n) \# p)\}))
  \langle proof \rangle
\mathbf{lemma}\ \mathit{hn}	ext{-}\mathit{invalid}	ext{-}\mathit{recover}:
  \langle is\text{-pure } R \Longrightarrow hn\text{-invalid } R = (\lambda x \ y. \ R \ x \ y * true) \rangle
  \langle is\text{-pure } R \Longrightarrow invalid\text{-}assn \ R = (\lambda x \ y. \ R \ x \ y * true) \rangle
  \langle proof \rangle
lemma safe-poly-vars:
  shows
    [safe-constraint-rules]:
       is-pure (poly-assn) and
    [safe-constraint-rules]:
       is-pure (monom-assn) and
    [safe-constraint-rules]:
       is-pure (monomial-assn) and
    [safe-constraint-rules]:
       is\text{-}pure\ string\text{-}assn
  \langle proof \rangle
{\bf lemma}\ invalid\text{-}assn\text{-}distrib:
  (invalid-assn\ monom-assn\ 	imes_a\ invalid-assn\ int-assn=invalid-assn\ (monom-assn\ 	imes_a\ int-assn))
    \langle proof \rangle
lemma WTF-RF-recover:
  \forall hn\text{-}ctxt \ (invalid\text{-}assn \ monom\text{-}assn \ \times_a \ invalid\text{-}assn \ int\text{-}assn) \ xb
       hn\text{-}ctxt\ monomial\text{-}assn\ xb\ x'a \Longrightarrow_t
       hn-ctxt (monomial-assn) xb x'a
  \langle proof \rangle
lemma WTF-RF:
  \land hn\text{-}ctxt \ (invalid\text{-}assn \ monom\text{-}assn \ \times_a \ invalid\text{-}assn \ int\text{-}assn) \ xb \ x'a \ *
        (hn\text{-}invalid\ poly\text{-}assn\ la\ l'a*hn\text{-}invalid\ int\text{-}assn\ a2'\ a2*
         hn-invalid monom-assn a1' a1 *
         hn-invalid poly-assn l l' *
         hn-invalid monomial-assn\ xa\ x' *
         hn-invalid poly-assn ax px) \Longrightarrow_t
        hn-ctxt (monomial-assn) xb x'a *
        hn-ctxt poly-assn
```

```
\begin{array}{c} la\ l'a\ *\\ hn\text{-}ctxt\ poly\text{-}assn\ l\ l'\ *\\ (hn\text{-}invalid\ int\text{-}assn\ a2'\ a2\ *\\ hn\text{-}invalid\ monomial\text{-}assn\ xa\ x'\ *\\ hn\text{-}invalid\ monomial\text{-}assn\ xa\ x'\ *\\ hn\text{-}invalid\ poly\text{-}assn\ ax\ px)\rangle\\ \langle hn\text{-}ctxt\ (invalid\text{-}assn\ monom\text{-}assn\ \times_a\ invalid\text{-}assn\ int\text{-}assn)\ xa\ x'\ *\\ (hn\text{-}ctxt\ poly\text{-}assn\ l\ l'\ *\ hn\text{-}invalid\ poly\text{-}assn\ ax\ px)\Longrightarrow_t\\ hn\text{-}ctxt\ (monomial\text{-}assn)\ xa\ x'\ *\\ hn\text{-}ctxt\ poly\text{-}assn\ ax\ px\ *\\ emp\rangle\\ \langle proof\rangle \end{array}
```

The refinement frameword is completely lost here when synthesizing the constants – it does not understant what is pure (actually everything) and what must be destroyed.

```
sepref-definition merge-coeffs-impl
  is (RETURN o merge-coeffs)
  :: \langle poly\text{-}assn^d \rightarrow_a poly\text{-}assn \rangle
  \langle proof \rangle
definition full-quicksort-poly where
  \langle full\text{-}quicksort\text{-}poly = full\text{-}quicksort\text{-}ref \ (\lambda x\ y.\ x = y \lor (x,\ y) \in term\text{-}order\text{-}rel)\ fst \rangle
lemma down-eq-id-list-rel: \langle \psi(\langle Id \rangle list-rel) | x = x \rangle
  \langle proof \rangle
definition quicksort\text{-}poly:: \langle nat \Rightarrow nat \Rightarrow llist\text{-}polynomial \Rightarrow (llist\text{-}polynomial) nres \rangle where
  \langle quicksort\text{-}poly\ x\ y\ z = quicksort\text{-}ref\ (\leq)\ fst\ (x,\ y,\ z) \rangle
term partition-between-ref
definition partition-between-poly :: \langle nat \Rightarrow nat \Rightarrow llist-polynomial \Rightarrow (llist-polynomial \times nat) nres
  \langle partition\text{-}between\text{-}poly = partition\text{-}between\text{-}ref (\leq) fst \rangle
definition partition-main-poly :: \langle nat \Rightarrow nat \Rightarrow llist-polynomial \Rightarrow (llist-polynomial \times nat) nres \rangle where
  \langle partition\text{-}main\text{-}poly = partition\text{-}main (\leq) fst \rangle
lemma string-list-trans:
  \langle (xa :: char \ list \ list, \ ya) \in lexord \ (lexord \ \{(x, \ y). \ x < y\}) \Longrightarrow
  (ya, z) \in lexord (lexord \{(x, y). x < y\}) \Longrightarrow
     (xa, z) \in lexord (lexord \{(x, y). x < y\})
  \langle proof \rangle
lemma full-quicksort-sort-poly-spec:
  \langle (full-quicksort-poly, sort-poly-spec) \in \langle Id \rangle list-rel \rightarrow_f \langle \langle Id \rangle list-rel \rangle nres-rel \rangle
\langle proof \rangle
```

## 12.3 Lifting to polynomials

```
\begin{array}{ll} \textbf{definition} \ \textit{merge-sort-poly} :: \langle - \rangle \ \textbf{where} \\ \langle \textit{merge-sort-poly} = \textit{msort} \ (\lambda a \ b. \ \textit{fst} \ a \leq \textit{fst} \ b) \rangle \end{array}
```

**definition**  $merge-monoms-poly :: \langle - \rangle$  where

```
\langle merge\text{-}monoms\text{-}poly = msort \ (\leq) \rangle
definition merge\text{-}poly :: \langle - \rangle where
\langle merge\text{-}poly = merge \ (\lambda a \ b. \ fst \ a \leq fst \ b) \rangle
definition merge-monoms :: (-) where
\langle merge\text{-}monoms = merge (\leq) \rangle
\textbf{definition} \ \textit{msort-poly-impl} :: \langle (\textit{String.literal list} \times \textit{int}) \ \textit{list} \Rightarrow \neg \rangle \ \textbf{where}
\langle msort\text{-}poly\text{-}impl = msort \ (\lambda a \ b. \ fst \ a \leq fst \ b) \rangle
\textbf{definition} \ \textit{msort-monoms-impl} :: \langle (\textit{String.literal list}) \Rightarrow \neg \rangle \ \textbf{where}
\langle msort\text{-}monoms\text{-}impl = msort \ (\leq) \rangle
lemma msort-poly-impl-alt-def:
  \langle msort\text{-}poly\text{-}impl \ xs =
     (case xs of
        [] \Rightarrow []
      |[a] \Rightarrow [a]
      | [a,b] \Rightarrow if fst \ a \leq fst \ b \ then \ [a,b]else \ [b,a]
      |xs \Rightarrow merge\text{-}poly
                              (msort\text{-}poly\text{-}impl\ (take\ ((length\ xs)\ div\ 2)\ xs))
                              (msort\text{-}poly\text{-}impl\ (drop\ ((length\ xs)\ div\ 2)\ xs)))
    \langle proof \rangle
lemma le-term-order-rel':
  \langle (\leq) = (\lambda x \ y. \ x = y \lor term-order-rel' \ x \ y) \rangle
   \langle proof \rangle
fun lexord-eq where
  \langle lexord-eq [] - = True \rangle
   (lexord-eq (x \# xs) (y \# ys) = (x < y \lor (x = y \land lexord-eq xs ys)))
  \langle lexord\text{-}eq\text{--}=False \rangle
lemma [simp]:
   \langle lexord-eq [] [] = True \rangle
  \langle lexord\text{-}eq \ (a \# b) [] = False \rangle
  \langle lexord-eq \mid \mid (a \# b) = True \rangle
  \langle proof \rangle
lemma var-order-rel':
  \langle (\leq) = (\lambda x \ y. \ x = y \lor (x,y) \in var\text{-}order\text{-}rel) \rangle
   \langle proof \rangle
lemma var-order-rel":
   \langle (x,y) \in var\text{-}order\text{-}rel \longleftrightarrow x < y \rangle
  \langle proof \rangle
lemma lexord-eq-alt-def1:
   \langle a \leq b = lexord-eq \ a \ b \rangle for a \ b :: \langle String.literal \ list \rangle
   \langle proof \rangle
lemma lexord-eq-alt-def2:
   \langle (RETURN \ oo \ lexord-eq) \ xs \ ys =
```

```
REC_T (\lambda f (xs, ys).
          case (xs, ys) of
              ([], -) \Rightarrow RETURN True
           |(x \# xs, y \# ys) \Rightarrow
               if \ x < y \ then \ RETURN \ True
               else if x = y then f(xs, ys) else RETURN False
          | - \Rightarrow RETURN \ False)
          (xs, ys)
  \langle proof \rangle
definition var-order' where
  [simp]: \langle var\text{-}order' = var\text{-}order \rangle
lemma var-order-rel[def-pat-rules]:
  \langle (\in) \$(x,y) \$ var\text{-}order\text{-}rel \equiv var\text{-}order' \$ x \$ y \rangle
  \langle proof \rangle
lemma var-order-rel-alt-def:
  \langle var\text{-}order\text{-}rel=p2rel\ char.lexordp \rangle
  \langle proof \rangle
\mathbf{lemma}\ \mathit{var-order-rel-var-order}:
  \langle (x, y) \in var\text{-}order\text{-}rel \longleftrightarrow var\text{-}order \ x \ y \rangle
  \langle proof \rangle
\mathbf{lemma}\ var\text{-}order\text{-}string\text{-}le[sepref\text{-}import\text{-}param]:
  \langle ((<), var\text{-}order') \in string\text{-}rel \rightarrow string\text{-}rel \rightarrow bool\text{-}rel \rangle
  \langle proof \rangle
lemma [sepref-import-param]:
  \langle (\ (\leq),\ (\leq)) \in monom-rel \to monom-rel \to bool-rel \rangle
  \langle proof \rangle
\mathbf{lemma} \; [\mathit{sepref-import-param}] :
  \langle (\ (<),\ (<)) \in string\text{-}rel \rightarrow string\text{-}rel \rightarrow bool\text{-}rel \rangle
  \langle proof \rangle
lemma [sepref-import-param]:
  \langle (\ (\leq),\ (\leq)) \in string\text{-}rel \rightarrow string\text{-}rel \rightarrow bool\text{-}rel \rangle
  \langle proof \rangle
sepref-register lexord-eq
sepref-definition lexord-eq-term
  is \(\text{uncurry}\) (RETURN oo \(\text{lexord-eq}\)\)
  :: \langle monom\text{-}assn^k *_a monom\text{-}assn^k \rightarrow_a bool\text{-}assn \rangle
  \langle proof \rangle
declare lexord-eq-term.refine[sepref-fr-rules]
lemmas [code del] = msort-poly-impl-def msort-monoms-impl-def
lemmas [code] =
  msort\text{-}poly\text{-}impl\text{-}def[unfolded\ lexord\text{-}eq\text{-}alt\text{-}def1[abs\text{-}def]]}
  msort-monoms-impl-def[unfolded msort-msort2]
```

```
{f lemma} term	ext{-}order	ext{-}rel	ext{-}trans:
            (a, aa) \in term\text{-}order\text{-}rel \Longrightarrow
         (aa, ab) \in term\text{-}order\text{-}rel \Longrightarrow (a, ab) \in term\text{-}order\text{-}rel 
  \langle proof \rangle
lemma merge-sort-poly-sort-poly-spec:
   \langle (RETURN\ o\ merge-sort-poly,\ sort-poly-spec) \in \langle Id \rangle list-rel \rightarrow_f \langle \langle Id \rangle list-rel \rangle nres-rel \rangle
   \langle proof \rangle
lemma msort-alt-def:
   \langle RETURN\ o\ (msort\ f) =
      REC_T (\lambda g \ xs.
          case xs of
             [] \Rightarrow RETURN []
           | [x] \Rightarrow RETURN[x]
          | \rightarrow do \{
              a \leftarrow g \ (take \ (size \ xs \ div \ 2) \ xs);
              b \leftarrow g \ (drop \ (size \ xs \ div \ 2) \ xs);
              RETURN \ (merge \ f \ a \ b)\})
   \langle proof \rangle
lemma monomial-rel-order-map:
   \langle (x, a, b) \in monomial\text{-rel} \Longrightarrow
         (y, aa, bb) \in monomial\text{-rel} \Longrightarrow
        fst \ x \leq fst \ y \longleftrightarrow a \leq aa
   \langle proof \rangle
lemma step-rewrite-pure:
  fixes K :: \langle ('olbl \times 'lbl) \ set \rangle
  shows
     \langle pure\ (p2rel\ (\langle K,\ V,\ R\rangle pac-step-rel-raw)) = pac-step-rel-assn\ (pure\ K)\ (pure\ V)\ (pure\ R)\rangle
     \langle monomial\text{-}assn = pure \ (monom\text{-}rel \times_r int\text{-}rel) \rangle and
     \langle poly\text{-}assn = pure \ (\langle monom\text{-}rel \times_r int\text{-}rel \rangle list\text{-}rel) \rangle
   \langle proof \rangle
\mathbf{lemma}\ safe\text{-}pac\text{-}step\text{-}rel\text{-}assn[safe\text{-}constraint\text{-}rules]} :
   is-pure K \Longrightarrow is-pure V \Longrightarrow is-pure R \Longrightarrow is-pure (pac-step-rel-assn K \ V \ R)
   \langle proof \rangle
lemma merge-poly-merge-poly:
  (merge-poly, merge-poly)
   \in \mathit{poly-rel} \rightarrow \mathit{poly-rel} \rightarrow \mathit{poly-rel} \rangle
    \langle proof \rangle
lemmas [fcomp-norm-unfold] =
  poly-assn-list[symmetric]
  step-rewrite-pure(1)
lemma merge-poly-merge-poly2:
   \langle (a, b) \in poly\text{-}rel \Longrightarrow (a', b') \in poly\text{-}rel \Longrightarrow
     (merge-poly\ a\ a',\ merge-poly\ b\ b') \in poly-rel
```

```
\langle proof \rangle
lemma list-rel-takeD:
  \langle (a, b) \in \langle R \rangle list\text{-rel} \Longrightarrow (n, n') \in Id \Longrightarrow (take \ n \ a, \ take \ n' \ b) \in \langle R \rangle list\text{-rel} \rangle
  \langle proof \rangle
lemma list-rel-dropD:
  \langle (a, b) \in \langle R \rangle list\text{-rel} \Longrightarrow (n, n') \in Id \Longrightarrow (drop \ n \ a, drop \ n' \ b) \in \langle R \rangle list\text{-rel} \rangle
  \langle proof \rangle
lemma merge-sort-poly[sepref-import-param]:
  \langle (msort\text{-}poly\text{-}impl, merge\text{-}sort\text{-}poly) \rangle
   \in poly\text{-}rel \rightarrow poly\text{-}rel
    \langle proof \rangle
lemmas [sepref-fr-rules] = merge-sort-poly[FCOMP merge-sort-poly-sort-poly-spec]
{f sepref-definition}\ partition{-main-poly-impl}
  is \langle uncurry2 \ partition-main-poly \rangle
  :: (nat-assn^k *_a nat-assn^k *_a poly-assn^k \rightarrow_a prod-assn poly-assn nat-assn))
  \langle proof \rangle
declare partition-main-poly-impl.refine[sepref-fr-rules]
sepref-definition partition-between-poly-impl
  is \(\lambda uncurry 2\) partition-between-poly\(\rangle\)
  :: \langle nat\text{-}assn^k *_a nat\text{-}assn^k *_a poly\text{-}assn^k \rightarrow_a prod\text{-}assn poly\text{-}assn nat\text{-}assn \rangle
  \langle proof \rangle
declare partition-between-poly-impl.refine[sepref-fr-rules]
sepref-definition quicksort-poly-impl
  \textbf{is} \ \langle uncurry 2 \ quicksort\text{-}poly \rangle
  :: \langle nat\text{-}assn^k *_a nat\text{-}assn^k *_a poly\text{-}assn^k \rightarrow_a poly\text{-}assn \rangle
  \langle proof \rangle
lemmas [sepref-fr-rules] = quicksort-poly-impl.refine
sepref-register quicksort-poly
sepref-definition full-quicksort-poly-impl
  is \langle full\text{-}quicksort\text{-}poly \rangle
  :: \langle poly\text{-}assn^k \rightarrow_a poly\text{-}assn \rangle
  \langle proof \rangle
lemmas sort-poly-spec-hnr =
  full-quicksort-poly-impl.refine[FCOMP full-quicksort-sort-poly-spec]
declare merge-coeffs-impl.refine[sepref-fr-rules]
sepref-definition normalize-poly-impl
  is (normalize-poly)
  :: \langle poly\text{-}assn^k \rightarrow_a poly\text{-}assn \rangle
```

```
\langle \mathit{proof} 
angle declare
```

**declare** normalize-poly-impl.refine[sepref-fr-rules]

```
definition full-quicksort-vars where
     \langle full\text{-}quicksort\text{-}vars = full\text{-}quicksort\text{-}ref \ (\lambda x \ y. \ x = y \lor (x, y) \in var\text{-}order\text{-}rel) \ id \rangle
definition quicksort-vars:: \langle nat \Rightarrow nat \Rightarrow string \ list \Rightarrow \langle string \ list \rangle nres where
     \langle quicksort\text{-}vars\ x\ y\ z = quicksort\text{-}ref\ (\leq)\ id\ (x,\ y,\ z) \rangle
definition partition-between-vars :: \langle nat \Rightarrow nat \Rightarrow string \ list \Rightarrow (string \ list \times nat) \ nres \rangle where
     \langle partition-between-vars = partition-between-ref (<) id \rangle
definition partition-main-vars :: \langle nat \Rightarrow nat \Rightarrow string \ list \Rightarrow (string \ list \times nat) \ nres \rangle where
     \langle partition-main-vars = partition-main (<) id \rangle
\mathbf{lemma}\ total\text{-}on\text{-}lexord\text{-}less\text{-}than\text{-}char\text{-}linear2:
     \langle xs \neq ys \Longrightarrow (xs, ys) \notin lexord (less-than-char) \longleftrightarrow
                 (ys, xs) \in lexord \ less-than-char
        \langle proof \rangle
lemma string-trans:
     \langle (xa, ya) \in lexord \{(x::char, y::char). \ x < y\} \Longrightarrow
     (ya, z) \in lexord \{(x::char, y::char). x < y\} \Longrightarrow
     (xa, z) \in lexord \{(x::char, y::char). x < y\}
     \langle proof \rangle
lemma full-quicksort-sort-vars-spec:
     \langle (full\text{-}quicksort\text{-}vars, sort\text{-}coeff) \in \langle Id \rangle list\text{-}rel \rightarrow_f \langle \langle Id \rangle list\text{-}rel \rangle nres\text{-}rel \rangle
\langle proof \rangle
sepref-definition partition-main-vars-impl
    is \langle uncurry2 \ partition-main-vars \rangle
    :: (nat-assn^k \stackrel{\cdot}{*}_a \ nat-assn^k *_a \ (monom-assn)^k \rightarrow_a prod-assn \ (monom-assn) \ nat-assn^k \rightarrow_a prod-assn \ (monom-assn) \ (monom-
     \langle proof \rangle
declare partition-main-vars-impl.refine[sepref-fr-rules]
\mathbf{sepref-definition}\ partition	entum{-}between	entum{-}vars	entum{-}impl
    is \(\lambda uncurry 2\) partition-between-vars\(\rangle\)
    :: (nat\text{-}assn^k *_a nat\text{-}assn^k *_a monom\text{-}assn^k \rightarrow_a prod\text{-}assn monom\text{-}assn nat\text{-}assn))
declare partition-between-vars-impl.refine[sepref-fr-rules]
sepref-definition quicksort-vars-impl
    is \langle uncurry2 \ quicksort\text{-}vars \rangle
     :: \langle nat\text{-}assn^k *_a nat\text{-}assn^k *_a monom\text{-}assn^k \rightarrow_a monom\text{-}assn \rangle
```

#### sepref-register quicksort-vars

 $\mathbf{lemma} \ \mathit{merge-coeffs0-alt-def}\colon$ 

```
lemma le-var-order-rel:
  \langle (\leq) = (\lambda x \ y. \ x = y \lor (x, y) \in var\text{-}order\text{-}rel) \rangle
  \langle proof \rangle
sepref-definition full-quicksort-vars-impl
  is \langle full-quicksort-vars \rangle
  :: \langle monom\text{-}assn^k \rightarrow_a monom\text{-}assn \rangle
  \langle proof \rangle
lemmas sort-vars-spec-hnr =
  full-quicksort-vars-impl.refine[FCOMP full-quicksort-sort-vars-spec]
lemma string-rel-order-map:
  \langle (x, a) \in string\text{-}rel \Longrightarrow
        (y, aa) \in string\text{-}rel \Longrightarrow
        x \leq y \longleftrightarrow a \leq \mathit{aa} \rangle
  \langle proof \rangle
\mathbf{lemma}\ \mathit{merge-monoms-merge-monoms}:
  \langle (merge-monoms, merge-monoms) \in monom-rel \rightarrow monom-rel \rightarrow monom-rel \rangle
   \langle proof \rangle
lemma merge-monoms-merge-monoms2:
  \langle (a, b) \in monom\text{-}rel \Longrightarrow (a', b') \in monom\text{-}rel \Longrightarrow
     (merge-monoms\ a\ a',\ merge-monoms\ b\ b') \in monom-rel
  \langle proof \rangle
lemma msort-monoms-impl:
  (msort-monoms-impl, merge-monoms-poly)
   \in \mathit{monom-rel} \to \mathit{monom-rel} \rangle
   \langle proof \rangle
lemma merge-sort-monoms-sort-monoms-spec:
  \langle (RETURN\ o\ merge-monoms-poly,\ sort-coeff) \in \langle Id \rangle list-rel \rightarrow_f \langle \langle Id \rangle list-rel \rangle nres-rel \rangle
  \langle proof \rangle
sepref-register sort-coeff
lemma [sepref-fr-rules]:
  \langle (return\ o\ msort\text{-}monoms\text{-}impl,\ sort\text{-}coeff) \in monom\text{-}assn^k \rightarrow_a monom\text{-}assn^k \rangle
  \langle proof \rangle
{f sepref-definition}\ sort-all-coeffs-impl
  is (sort-all-coeffs)
  :: \langle poly\text{-}assn^k \rightarrow_a poly\text{-}assn \rangle
  \langle proof \rangle
declare sort-all-coeffs-impl.refine[sepref-fr-rules]
```

```
\langle (RETURN \ o \ merge-coeffs0) \ p =
   REC_T(\lambda f p.
     (case p of
       [] \Rightarrow RETURN []
     |p| = if \ snd \ p = 0 \ then \ RETURN \ |else \ RETURN \ [p]
     \mid ((xs, n) \# (ys, m) \# p) \Rightarrow
      (if xs = ys)
       then if n + m \neq 0 then f((xs, n + m) \# p) else f p
       else if n = 0 then
          do \{p \leftarrow f ((ys, m) \# p);
            RETURN p
       else do \{p \leftarrow f ((ys, m) \# p);
            RETURN ((xs, n) \# p)\})))
    p\rangle
  \langle proof \rangle
Again, Sepref does not understand what is going here.
\textbf{sepref-definition} \ \textit{merge-coeffs0-impl}
  is \langle RETURN\ o\ merge\text{-}coeffs0 \rangle
  :: \langle poly\text{-}assn^k \rightarrow_a poly\text{-}assn \rangle
  \langle proof \rangle
declare merge-coeffs0-impl.refine[sepref-fr-rules]
{\bf sepref-definition} \ \mathit{fully-normalize-poly-impl}
  is \langle full\text{-}normalize\text{-}poly \rangle
  :: \langle poly\text{-}assn^k \rightarrow_a poly\text{-}assn \rangle
  \langle proof \rangle
\mathbf{declare}\ \mathit{fully-normalize-poly-impl.refine}[\mathit{sepref-fr-rules}]
end
theory PAC-Version
 imports Main
begin
This code was taken from IsaFoR and adapted to git.
local-setup (
  let
    val\ version = 2020 - AFP
         trim-line \ (\#1 \ (Isabelle-System.bash-output \ (cd \ \$ISAFOL/ \&\& \ git \ rev-parse --short \ HEAD \ ||
echo\ unknown))) *)
  in
    Local	ext{-} Theory. define
      ((binding \langle version \rangle, NoSyn),
        ((binding \langle version\text{-}def \rangle, []), HOLogic.mk\text{-}literal \ version)) \#> \#2
  end
declare version-def [code]
end
```

```
theory PAC-Checker-Synthesis
imports PAC-Checker WB-Sort PAC-Checker-Relation
PAC-Checker-Init More-Loops PAC-Version
begin
```

## 13 Code Synthesis of the Complete Checker

We here combine refine the full checker, using the initialisation provided in another file.

```
abbreviation vars-assn where
   \langle vars-assn \equiv hs.assn \ string-assn \rangle
fun vars-of-monom-in where
   \langle vars-of-monom-in \mid ] - = True \rangle
  \langle vars\text{-}of\text{-}monom\text{-}in \ (x \# xs) \ V \longleftrightarrow x \in V \land vars\text{-}of\text{-}monom\text{-}in \ xs \ V \rangle
fun vars-of-poly-in where
   \langle vars-of-poly-in \ [] - = True \rangle \ []
  \langle vars-of-poly-in \ ((x, -) \# xs) \ \mathcal{V} \longleftrightarrow vars-of-monom-in \ x \ \mathcal{V} \wedge vars-of-poly-in \ xs \ \mathcal{V} \rangle
lemma vars-of-monom-in-alt-def:
   \langle vars-of-monom-in \ xs \ \mathcal{V} \longleftrightarrow set \ xs \subseteq \mathcal{V} \rangle
   \langle proof \rangle
lemma vars-llist-alt-def:
   \langle vars\text{-}llist \ xs \subseteq \mathcal{V} \longleftrightarrow vars\text{-}of\text{-}poly\text{-}in \ xs \ \mathcal{V} \rangle
   \langle proof \rangle
\mathbf{lemma}\ \textit{vars-of-monom-in-alt-def2}\colon
   \langle vars-of-monom-in \ xs \ \mathcal{V} \longleftrightarrow fold \ (\lambda x \ b. \ b \land x \in \mathcal{V}) \ xs \ True \rangle
   \langle proof \rangle
sepref-definition vars-of-monom-in-impl
  is \(\langle uncurry \) (RETURN oo vars-of-monom-in)\(\rangle \)
  :: \langle (list\text{-}assn\ string\text{-}assn)^k *_a vars\text{-}assn^k \rightarrow_a bool\text{-}assn \rangle
   \langle proof \rangle
declare vars-of-monom-in-impl.refine[sepref-fr-rules]
lemma vars-of-poly-in-alt-def2:
   \langle vars-of-poly-in \ xs \ V \longleftrightarrow fold \ (\lambda(x, -) \ b. \ b \land vars-of-monom-in \ x \ V) \ xs \ True \rangle
   \langle proof \rangle
sepref-definition vars-of-poly-in-impl
  is \(\langle uncurry \) (RETURN oo vars-of-poly-in)\(\rangle \)
  :: \langle (poly\text{-}assn)^k *_a vars\text{-}assn^k \rightarrow_a bool\text{-}assn \rangle
   \langle proof \rangle
declare vars-of-poly-in-impl.refine[sepref-fr-rules]
definition union-vars-monom :: \langle string \ list \Rightarrow string \ set \Rightarrow string \ set \rangle where
\langle union\text{-}vars\text{-}monom \ xs \ \mathcal{V} = fold \ insert \ xs \ \mathcal{V} \rangle
```

```
definition union\text{-}vars\text{-}poly:: (llist\text{-}polynomial <math>\Rightarrow string \ set \Rightarrow string \ set) where
\langle union\text{-}vars\text{-}poly \ xs \ \mathcal{V} = fold \ (\lambda(xs, -) \ \mathcal{V}. \ union\text{-}vars\text{-}monom \ xs \ \mathcal{V}) \ xs \ \mathcal{V} \rangle
lemma union-vars-monom-alt-def:
  \langle union\text{-}vars\text{-}monom \ xs \ \mathcal{V} = \mathcal{V} \cup set \ xs \rangle
  \langle proof \rangle
lemma union-vars-poly-alt-def:
  \langle union\text{-}vars\text{-}poly \ xs \ \mathcal{V} = \mathcal{V} \cup vars\text{-}llist \ xs \rangle
  \langle proof \rangle
{\bf sepref-definition}\ union\text{-}vars\text{-}monom\text{-}impl
  is \(\langle uncurry \) (RETURN oo union-vars-monom)\(\rangle \)
  :: \langle monom\text{-}assn^k *_a vars\text{-}assn^d \rightarrow_a vars\text{-}assn \rangle
declare union-vars-monom-impl.refine[sepref-fr-rules]
{\bf sepref-definition} \ union\hbox{-}vars\hbox{-}poly\hbox{-}impl
  is \langle uncurry \ (RETURN \ oo \ union-vars-poly) \rangle
  :: \langle poly\text{-}assn^k *_a vars\text{-}assn^d \rightarrow_a vars\text{-}assn \rangle
  \langle proof \rangle
declare union-vars-poly-impl.refine[sepref-fr-rules]
hide-const (open) Autoref-Fix-Rel. CONSTRAINT
fun status-assn where
  \langle status-assn - CSUCCESS \ CSUCCESS = emp \rangle
  \langle status\text{-}assn - CFOUND \ CFOUND = emp \rangle
  \langle status\text{-}assn\ R\ (CFAILED\ a)\ (CFAILED\ b)=R\ a\ b\rangle
  \langle status\text{-}assn - - - = false \rangle
\mathbf{lemma}\ \mathit{SUCCESS-hnr}[\mathit{sepref-fr-rules}]:
  \langle (uncurry0 \ (return \ CSUCCESS), uncurry0 \ (RETURN \ CSUCCESS)) \in unit-assn^k \rightarrow_a status-assn \ R \rangle
  \langle proof \rangle
lemma FOUND-hnr[sepref-fr-rules]:
  (uncurry0 \ (return \ CFOUND), \ uncurry0 \ (RETURN \ CFOUND)) \in unit-assn^k \rightarrow_a status-assn \ R)
  \langle proof \rangle
lemma is-success-hnr[sepref-fr-rules]:
  \langle CONSTRAINT is-pure R \Longrightarrow
  ((return\ o\ is\text{-}cfound),\ (RETURN\ o\ is\text{-}cfound)) \in (status\text{-}assn\ R)^k \rightarrow_a bool\text{-}assn)
  \langle proof \rangle
lemma is-cfailed-hnr[sepref-fr-rules]:
  \langle CONSTRAINT is\text{-pure } R \Longrightarrow
  ((return\ o\ is\text{-}cfailed),\ (RETURN\ o\ is\text{-}cfailed)) \in (status\text{-}assn\ R)^k \rightarrow_a bool\text{-}assn R)
  \langle proof \rangle
lemma merge-cstatus-hnr[sepref-fr-rules]:
  \langle CONSTRAINT is-pure R \Longrightarrow
```

```
(uncurry\ (return\ oo\ merge-cstatus),\ uncurry\ (RETURN\ oo\ merge-cstatus)) \in
    (status-assn\ R)^k *_a (status-assn\ R)^k \rightarrow_a status-assn\ R)
sepref-definition add-poly-impl
 is ⟨add-poly-l⟩
 (poly-assn \times_a poly-assn)^k \rightarrow_a poly-assn)
  \langle proof \rangle
declare add-poly-impl.refine[sepref-fr-rules]
sepref-register mult-monomials
lemma mult-monoms-alt-def:
  \langle (RETURN \ oo \ mult-monoms) \ x \ y = REC_T
    (\lambda f (p, q).
      case (p, q) of
        ([], -) \Rightarrow RETURN q
       | (-, []) \Rightarrow RETURN p
       | (x \# p, y \# q) \Rightarrow
        (if x = y then do {
          pq \leftarrow f(p, q);
           RETURN (x \# pq)
        else if (x, y) \in var\text{-}order\text{-}rel
        then do {
          pq \leftarrow f \ (p, \ y \ \# \ q);
          RETURN (x \# pq)}
        else do {
          pq \leftarrow f(x \# p, q);
          RETURN (y \# pq)\}))
     (x, y)
  \langle proof \rangle
sepref-definition mult-monoms-impl
 is \(\lambda uncurry \((RETURN \) oo \(mult-monoms\)\)
 (monom-assn)^k *_a (monom-assn)^k \rightarrow_a (monom-assn)^k
  \langle proof \rangle
declare mult-monoms-impl.refine[sepref-fr-rules]
{\bf sepref-definition}\ \mathit{mult-monomials-impl}
 is \langle uncurry \ (RETURN \ oo \ mult-monomials) \rangle
 :: \langle (monomial\text{-}assn)^k *_a (monomial\text{-}assn)^k \xrightarrow{}_a (monomial\text{-}assn) \rangle
  \langle proof \rangle
lemma map-append-alt-def2:
  \langle (RETURN \ o \ (map-append \ f \ b)) \ xs = REC_T
    (\lambda g \ xs. \ case \ xs \ of \ [] \Rightarrow RETURN \ b
      \mid x \# xs \Rightarrow do \{
           y \leftarrow g \ xs;
           RETURN (f x \# y)
```

}) *xs*>

```
\langle proof \rangle
definition map-append-poly-mult where
  \langle map-append-poly-mult \ x = map-append \ (mult-monomials \ x) \rangle
declare mult-monomials-impl.refine[sepref-fr-rules]
sepref-definition map-append-poly-mult-impl
  is \(\curry2\) (RETURN ooo map-append-poly-mult)\(\cappa\)
  :: \langle monomial-assn^k *_a poly-assn^k *_a poly-assn^k \rightarrow_a poly-assn \rangle
  \langle proof \rangle
\mathbf{declare}\ map-append-poly-mult-impl.refine[sepref-fr-rules]
TODO foldl (\lambda l \ x. \ l \ @ \ [?f \ x]) [] ? l = map \ ?f \ ?l is the worst possible implementation of map!
sepref-definition mult-poly-raw-impl
  is \(\lambda uncurry \((RETURN \) oo \ mult-poly-raw\)\)
  :: \langle poly\text{-}assn^k *_a poly\text{-}assn^k \rightarrow_a poly\text{-}assn \rangle
{\bf declare}\ mult-poly-raw-impl.refine[sepref-fr-rules]
sepref-definition mult-poly-impl
  is \ \langle uncurry \ mult-poly-full \rangle
  :: \langle poly\text{-}assn^k *_a poly\text{-}assn^k \rightarrow_a poly\text{-}assn \rangle
declare mult-poly-impl.refine[sepref-fr-rules]
lemma inverse-monomial:
  \langle monom\text{-}rel^{-1} \times_r int\text{-}rel = (monom\text{-}rel \times_r int\text{-}rel)^{-1} \rangle
  \langle proof \rangle
lemma eq-poly-rel-eq[sepref-import-param]:
  \langle ((=), (=)) \in poly-rel \rightarrow poly-rel \rightarrow bool-rel \rangle
  \langle proof \rangle
sepref-definition weak-equality-l-impl
  \textbf{is} \ \langle uncurry \ weak\text{-}equality\text{-}l\rangle
  :: \langle poly\text{-}assn^k *_a poly\text{-}assn^k \rightarrow_a bool\text{-}assn \rangle
  \langle proof \rangle
declare weak-equality-l-impl.refine[sepref-fr-rules]
\mathbf{sepref-register} add\text{-}poly\text{-}l mult\text{-}poly\text{-}full
abbreviation raw-string-assn :: \langle string \Rightarrow string \Rightarrow assn \rangle where
  \langle raw\text{-}string\text{-}assn \equiv list\text{-}assn id\text{-}assn \rangle
definition show-nat :: \langle nat \Rightarrow string \rangle where
  \langle show-nat \ i = show \ i \rangle
```

**lemma** [sepref-import-param]:

 $\langle (show\text{-}nat, show\text{-}nat) \in nat\text{-}rel \rightarrow \langle Id \rangle list\text{-}rel \rangle$ 

```
\langle proof \rangle
lemma status-assn-pure-conv:
        \langle status-assn\ (id-assn)\ a\ b=id-assn\ a\ b \rangle
        \langle proof \rangle
\mathbf{lemma} \ [\mathit{sepref-fr-rules}] :
        \langle (uncurry3\ (\lambda x\ y.\ return\ oo\ (error-msg-not-equal-dom\ x\ y)),\ uncurry3\ check-not-equal-dom-err) \in
       poly-assn^k *_a poly-assn^k *_a poly-assn^k *_a poly-assn^k \rightarrow_a raw-string-assn >_a 
lemma [sepref-fr-rules]:
        ((return o (error-msg-notin-dom o nat-of-wint64), RETURN o error-msg-notin-dom)
           \in uint64-nat-assn<sup>k</sup> \rightarrow_a raw-string-assn<sup>k</sup>
        ((return o (error-msq-reused-dom o nat-of-uint64), RETURN o error-msq-reused-dom)
                \in uint64-nat-assn^k \rightarrow_a raw-string-assn^k
        (uncurry\ (return\ oo\ (\lambda i.\ error-msg\ (nat-of-uint64\ i))),\ uncurry\ (RETURN\ oo\ error-msg))
               \in uint64-nat-assn^k *_a raw-string-assn^k \rightarrow_a status-assn raw-string-assn^k \rightarrow_a status-assn^k \rightarrow_a status-assn
        (uncurry\ (return\ oo\ error-msg),\ uncurry\ (RETURN\ oo\ error-msg))
           \in nat\text{-}assn^k *_a raw\text{-}string\text{-}assn^k \rightarrow_a status\text{-}assn raw\text{-}string\text{-}assn 
        \langle proof \rangle
sepref-definition check-addition-l-impl
       is \langle uncurry6 \ check-addition-l \rangle
       :: \langle poly\text{-}assn^k *_a polys\text{-}assn^k *_a vars\text{-}assn^k *_a uint64\text{-}nat\text{-}assn^k *_a uint64\text{-}assn^k *_a uint64\text{-}assn
                              uint64-nat-assn<sup>k</sup> *_a poly-assn<sup>k</sup> \rightarrow_a status-assn raw-string-assn<sup>k</sup>
        \langle proof \rangle
declare check-addition-l-impl.refine[sepref-fr-rules]
sepref-register check-mult-l-dom-err
definition check-mult-l-dom-err-impl where
        \langle check\text{-}mult\text{-}l\text{-}dom\text{-}err\text{-}impl\ pd\ p\ ia\ i=
               (if pd then "The polynomial with id" @ show (nat-of-uint64 p) @" was not found" else"") @
               (if ia then "The id of the resulting id " @ show (nat-of-uint64 i) @ " was already given" else "")
definition check-mult-l-mult-err-impl where
        \langle check\text{-}mult\text{-}l\text{-}mult\text{-}err\text{-}impl \ p \ q \ pq \ r =
                "Multiplying " @ show p @ " by " @ show q @ " gives " @ show pq @ " and not " @ show r
lemma [sepref-fr-rules]:
        \langle (uncurry3\ ((\lambda x\ y.\ return\ oo\ (check-mult-l-dom-err-impl\ x\ y))),
          uncurry3 \ (check-mult-l-dom-err)) \in bool-assn^k *_a uint64-nat-assn^k *_a bool-assn^k *_a uint64-nat-assn^k 
\rightarrow_a raw-string-assn
           \langle proof \rangle
lemma [sepref-fr-rules]:
        \langle (uncurry3 \ ((\lambda x \ y. \ return \ oo \ (check-mult-l-mult-err-impl \ x \ y))),
        uncurry \textit{3} \ (\textit{check-mult-l-mult-err})) \in \textit{poly-assn}^k *_{a} \textit{poly-assn}^k *_{a} \textit{poly-assn}^k *_{a} \textit{poly-assn}^k \rightarrow_{a} \textit{raw-string-assn} )
           \langle proof \rangle
```

```
sepref-definition check-mult-l-impl
     is \langle uncurry6 \ check-mult-l \rangle
      :: \langle poly\text{-}assn^k *_a polys\text{-}assn^k *_a vars\text{-}assn^k *_a uint64\text{-}nat\text{-}assn^k *_a poly\text{-}assn^k *_a uint64\text{-}nat\text{-}assn^k *_a vars\text{-}assn^k *_a vars\text{
poly-assn^k \rightarrow_a status-assn\ raw-string-assn
declare check-mult-l-impl.refine[sepref-fr-rules]
definition check\text{-}ext\text{-}l\text{-}dom\text{-}err\text{-}impl :: \langle uint64 \Rightarrow \text{-} \rangle where
      \langle check\text{-}ext\text{-}l\text{-}dom\text{-}err\text{-}impl \ p =
           "There is already a polynomial with index " @ show (nat-of-uint64 p)
lemma [sepref-fr-rules]:
      \langle (((return\ o\ (check-ext-l-dom-err-impl))),
           (check-extension-l-dom-err)) \in uint64-nat-assn^k \rightarrow_a raw-string-assn^k
definition check-extension-l-no-new-var-err-impl :: \langle - \Rightarrow - \rangle where
      \langle check\text{-}extension\text{-}l\text{-}no\text{-}new\text{-}var\text{-}err\text{-}impl \ p = 0
           "No new variable could be found in polynomial " @ show p
lemma [sepref-fr-rules]:
      \langle (((return\ o\ (check-extension-l-no-new-var-err-impl))),
           (check-extension-l-no-new-var-err)) \in poly-assn^k \rightarrow_a raw-string-assn^k
         \langle proof \rangle
definition check-extension-l-side-cond-err-impl :: \langle - \Rightarrow - \rangle where
      \langle check\text{-}extension\text{-}l\text{-}side\text{-}cond\text{-}err\text{-}impl\ v\ p\ r\ s =
           "Error while checking side conditions of extensions polynow, var is " @ show v @
           " polynomial is " @ show p @ "side condition p*p - p = " @ show s @ " and should be 0"
lemma [sepref-fr-rules]:
      \langle ((uncurry3\ (\lambda x\ y.\ return\ oo\ (check-extension-l-side-cond-err-impl\ x\ y))),
          uncurry3 \ (check-extension-l-side-cond-err)) \in string-assn^k *_a poly-assn^k *_a poly-assn^
\rightarrow_a raw-string-assn
         \langle proof \rangle
definition check-extension-l-new-var-multiple-err-impl :: \langle - \Rightarrow - \rangle where
      \langle check\text{-}extension\text{-}l\text{-}new\text{-}var\text{-}multiple\text{-}err\text{-}impl\ v\ p\ =\ }
           "Error while checking side conditions of extensions polynow, var is " @ show v @
           " but it either appears at least once in the polynomial or another new variable is created " @
          show p @ " but should not."
lemma [sepref-fr-rules]:
      \langle ((uncurry\ (return\ oo\ (check-extension-l-new-var-multiple-err-impl))),
           uncurry\ (check-extension-l-new-var-multiple-err)) \in string-assn^k *_a\ poly-assn^k \to_a raw-string-assn^k
         \langle proof \rangle
sepref-register check-extension-l-dom-err fmlookup'
      check-extension-l-side-cond-err check-extension-l-no-new-var-err
      check\text{-}extension\text{-}l\text{-}new\text{-}var\text{-}multiple\text{-}err
definition uminus-poly :: \langle llist-polynomial \Rightarrow llist-polynomial \rangle where
```

```
\langle uminus-poly \ p' = map \ (\lambda(a, b). \ (a, -b)) \ p' \rangle
sepref-register uminus-poly
lemma [sepref-import-param]:
     \langle (map\ (\lambda(a,\ b).\ (a,\ -\ b)),\ uminus-poly) \in poly-rel \rightarrow poly-rel \rangle
     \langle proof \rangle
sepref-register vars-of-poly-in
    weak-equality-l
lemma [safe-constraint-rules]:
     (Sepref-Constraints.CONSTRAINT single-valued (the-pure monomial-assn)) and
     single-valued-the-monomial-assn:
        \langle single\text{-}valued (the\text{-}pure monomial\text{-}assn) \rangle
         \langle single\text{-}valued\ ((the\text{-}pure\ monomial\text{-}assn)^{-1}) \rangle
     \langle proof \rangle
sepref-definition check-extension-l-impl
    is ⟨uncurry5 check-extension-l⟩
    :: \langle poly\text{-}assn^k *_a polys\text{-}assn^k *_a vars\text{-}assn^k *_a vint64\text{-}nat\text{-}assn^k *_a string\text{-}assn^k *_a poly\text{-}assn^k \rightarrow_a vars\text{-}assn^k *_a vars\text{-}assn^
           status-assn raw-string-assn
     \langle proof \rangle
declare check-extension-l-impl.refine[sepref-fr-rules]
sepref-definition check-del-l-impl
    is \langle uncurry2 \ check-del-l \rangle
    :: \langle poly\text{-}assn^k *_a polys\text{-}assn^k *_a uint64\text{-}nat\text{-}assn^k \rightarrow_a status\text{-}assn raw\text{-}string\text{-}assn \rangle
     \langle proof \rangle
lemmas [sepref-fr-rules] = check-del-l-impl.refine
abbreviation pac-step-rel where
     \langle pac\text{-}step\text{-}rel \equiv p2rel \ (\langle Id, \langle monomial\text{-}rel \rangle list\text{-}rel, Id \rangle \ pac\text{-}step\text{-}rel\text{-}raw) \rangle
sepref-register PAC-Polynomials-Operations.normalize-poly
     pac-src1 pac-src2 new-id pac-mult case-pac-step check-mult-l
     check-addition-l check-del-l check-extension-l
lemma pac-step-rel-assn-alt-def2:
     hn-val
                 (p2rel
                      (\langle nat\text{-rel}, poly\text{-rel}, Id :: (string \times -) set \rangle pac\text{-step-rel-raw})) \ b \ bi \rangle
     \langle proof \rangle
lemma is-AddD-import[sepref-fr-rules]:
    assumes \langle CONSTRAINT is-pure K \rangle \langle CONSTRAINT is-pure V \rangle
    shows
         \langle (return\ o\ pac-res,\ RETURN\ o\ pac-res) \in [\lambda x.\ is-Add\ x \lor is-Mult\ x \lor is-Extension\ x]_a
                (pac\text{-}step\text{-}rel\text{-}assn\ K\ V\ R)^k \to V
        \langle (return\ o\ pac\text{-}src1,\ RETURN\ o\ pac\text{-}src1) \in [\lambda x.\ is\text{-}Add\ x\ \lor\ is\text{-}Mult\ x\ \lor\ is\text{-}Del\ x]_a\ (pac\text{-}step\text{-}rel\text{-}assn
(K V R)^k \to K
```

```
(return\ o\ new\text{-}id,\ RETURN\ o\ new\text{-}id) \in [\lambda x.\ is\text{-}Add\ x \lor is\text{-}Mult\ x \lor is\text{-}Extension\ x]_a\ (pac\text{-}step\text{-}rel\text{-}assn)
(K V R)^k \to K
         \langle (return\ o\ is-Add,\ RETURN\ o\ is-Add) \in (pac\text{-}step\text{-}rel\text{-}assn\ K\ V\ R)^k \rightarrow_a bool\text{-}assn \rangle
         (return\ o\ is\text{-}Mult,\ RETURN\ o\ is\text{-}Mult) \in (pac\text{-}step\text{-}rel\text{-}assn\ K\ V\ R)^k \rightarrow_a bool\text{-}assn)
         \langle (return\ o\ is\text{-}Del,\ RETURN\ o\ is\text{-}Del) \in (pac\text{-}step\text{-}rel\text{-}assn\ K\ V\ R)^k \rightarrow_a bool\text{-}assn} \rangle
         \langle (return\ o\ is\text{-}Extension,\ RETURN\ o\ is\text{-}Extension) \in (pac\text{-}step\text{-}rel\text{-}assn\ K\ V\ R)^k \rightarrow_a bool\text{-}assn\rangle
     \langle proof \rangle
lemma [sepref-fr-rules]:
     \langle CONSTRAINT is\text{-pure } K \Longrightarrow
     (return\ o\ pac\text{-}src2,\ RETURN\ o\ pac\text{-}src2) \in [\lambda x.\ is\text{-}Add\ x]_a\ (pac\text{-}step\text{-}rel\text{-}assn\ K\ V\ R)^k \to K)
     \langle CONSTRAINT \ is-pure \ V \Longrightarrow
     (return\ o\ pac-mult,\ RETURN\ o\ pac-mult) \in [\lambda x.\ is-Mult\ x]_a\ (pac-step-rel-assn\ K\ V\ R)^k \to V
     \langle CONSTRAINT is-pure R \Longrightarrow
     (return\ o\ new-var,\ RETURN\ o\ new-var) \in [\lambda x.\ is-Extension\ x]_a\ (pac-step-rel-assn\ K\ V\ R)^k \to R^k
     \langle proof \rangle
lemma is-Mult-lastI:
     \langle \neg is\text{-}Add \ b \Longrightarrow \neg is\text{-}Mult \ b \Longrightarrow \neg is\text{-}Extension \ b \Longrightarrow is\text{-}Del \ b \rangle
     \langle proof \rangle
sepref-register is-cfailed is-Del
definition PAC-checker-l-step':: - where
     \langle PAC\text{-}checker\text{-}l\text{-}step' \ a \ b \ c \ d = PAC\text{-}checker\text{-}l\text{-}step \ a \ (b, c, d) \rangle
lemma PAC-checker-l-step-alt-def:
     \langle PAC\text{-}checker\text{-}l\text{-}step \ a \ bcd \ e = (let \ (b,c,d) = bcd \ in \ PAC\text{-}checker\text{-}l\text{-}step' \ a \ b \ c \ d \ e) \rangle
sepref-decl-intf ('k) acode-status is ('k) code-status
sepref-decl-intf ('k, 'b, 'lbl) apac-step is ('k, 'b, 'lbl) pac-step
sepref-register merge-cstatus full-normalize-poly new-var is-Add
lemma poly-rel-the-pure:
     \langle poly\text{-}rel = the\text{-}pure \ poly\text{-}assn \rangle and
    nat-rel-the-pure:
    \langle nat\text{-}rel = the\text{-}pure \ nat\text{-}assn \rangle and
   WTF-RF: \langle pure \ (the-pure \ nat-assn) = nat-assn \rangle
    \langle proof \rangle
lemma [safe-constraint-rules]:
         \langle CONSTRAINT\ IS\text{-}LEFT\text{-}UNIQUE\ uint64\text{-}nat\text{-}rel \rangle and
     single-valued-uint 64-nat-rel[safe-constraint-rules]:
         \langle CONSTRAINT\ single-valued\ uint 64-nat-rel \rangle
     \langle proof \rangle
sepref-definition check-step-impl
    is \(\lambda uncurry \delta PAC-checker-l-step' \rangle
      :: \langle poly\text{-}assn^k *_a (status\text{-}assn \ raw\text{-}string\text{-}assn)^d *_a vars\text{-}assn^d *_a polys\text{-}assn^d *_a (pac\text{-}step\text{-}rel\text{-}assn)^d *_a vars\text{-}assn^d *_a (pac\text{-}step\text{-}rel\text{-}assn)^d *_a vars\text{-}assn^d *_a (pac\text{-}step\text{-}rel\text{-}assn)^d *_a (pac\text{
(uint64-nat-assn) poly-assn (string-assn :: string \Rightarrow -))^d \rightarrow_a
         status-assn raw-string-assn \times_a vars-assn \times_a polys-assn\rangle
     \langle proof \rangle
```

```
declare check-step-impl.refine[sepref-fr-rules]
sepref-register PAC-checker-l-step PAC-checker-l-step' fully-normalize-poly-impl
definition PAC-checker-l' where
  \langle PAC\text{-}checker\text{-}l' \ p \ V \ A \ status \ steps = PAC\text{-}checker\text{-}l \ p \ (V, \ A) \ status \ steps \rangle
lemma PAC-checker-l-alt-def:
  \langle PAC\text{-}checker\text{-}l \ p \ VA \ status \ steps =
    (let (V, A) = VA in PAC-checker-l' p V A status steps)
  \langle proof \rangle
sepref-definition PAC-checker-l-impl
  is ⟨uncurry₄ PAC-checker-l'⟩
 :: (poly-assn^k *_a vars-assn^d *_a polys-assn^d *_a (status-assn \ raw-string-assn)^d *_a
       (list-assn\ (pac-step-rel-assn\ (uint64-nat-assn)\ poly-assn\ string-assn))^k \rightarrow_a
     status-assn raw-string-assn \times_a vars-assn \times_a polys-assn\rangle
  \langle proof \rangle
declare PAC-checker-l-impl.refine[sepref-fr-rules]
abbreviation polys-assn-input where
  \langle polys\text{-}assn\text{-}input \equiv iam\text{-}fmap\text{-}assn \ nat\text{-}assn \ poly\text{-}assn \rangle
definition remap-polys-l-dom-err-impl :: \langle - \rangle where
  \langle remap-polys-l-dom-err-impl =
    "Error during initialisation. Too many polynomials where provided. If this happens," @
    "please report the example to the authors, because something went wrong during " @
    "code generation (code generation to arrays is likely to be broken)." \rangle
lemma [sepref-fr-rules]:
  \langle ((uncurry0 \ (return \ (remap-polys-l-dom-err-impl))),
    uncurry0 \ (remap-polys-l-dom-err)) \in unit-assn^k \rightarrow_a raw-string-assn^k
   \langle proof \rangle
MLton is not able to optimise the calls to pow.
lemma pow-2-64: \langle (2::nat) \cap 64 = 18446744073709551616 \rangle
  \langle proof \rangle
sepref-register upper-bound-on-dom op-fmap-empty
sepref-definition remap-polys-l-impl
  is \(\langle uncurry 2 \) remap-polys-l2\(\rangle \)
  :: \langle poly\text{-}assn^k *_a vars\text{-}assn^d *_a polys\text{-}assn\text{-}input^d \rightarrow_a
    status-assn raw-string-assn \times_a vars-assn \times_a polys-assn\rangle
  \langle proof \rangle
\mathbf{lemma}\ remap-polys-l2-remap-polys-l:
  \langle (uncurry2\ remap-polys-l2\ ,\ uncurry2\ remap-polys-l) \in (Id \times_r \langle Id \rangle set-rel) \times_r Id \rightarrow_f \langle Id \rangle nres-rel \rangle
  \langle proof \rangle
lemma [sepref-fr-rules]:
   (uncurry2 remap-polys-l-impl,
```

 $uncurry2 \ remap-polys-l) \in poly-assn^k *_a vars-assn^d *_a polys-assn-input^d \rightarrow_a$ 

```
status-assn raw-string-assn \times_a vars-assn \times_a polys-assn(x_a, y_a)
   \langle proof \rangle
sepref-register remap-polys-l
sepref-definition full-checker-l-impl
  \mathbf{is} \ \langle uncurry2 \ full\text{-}checker\text{-}l \rangle
 :: (poly-assn^k *_a polys-assn-input^d *_a (list-assn (pac-step-rel-assn (uint 64-nat-assn) poly-assn string-assn))^k
     status-assn raw-string-assn \times_a vars-assn \times_a polys-assn\rangle
  \langle proof \rangle
sepref-definition PAC-update-impl
  is \(\lambda uncurry2 \) (RETURN ooo fmupd)\(\rangle\)
  :: (nat-assn^k *_a poly-assn^k *_a (polys-assn-input)^d \rightarrow_a polys-assn-input)
sepref-definition PAC-empty-impl
  is \(\lambda uncurry\theta\) \((RETURN \) fmempty\)\)
  :: \langle unit\text{-}assn^k \rightarrow_a polys\text{-}assn\text{-}input \rangle
  \langle proof \rangle
sepref-definition empty-vars-impl
  is \langle uncurry0 \ (RETURN \ \{\}) \rangle
  :: \langle unit\text{-}assn^k \rightarrow_a vars\text{-}assn \rangle
  \langle proof \rangle
```

This is a hack for performance. There is no need to recheck that that a char is valid when working on chars coming from strings... It is not that important in most cases, but in our case the preformance difference is really large.

```
definition unsafe-asciis-of-literal :: (-) where (unsafe-asciis-of-literal xs) definition unsafe-asciis-of-literal' :: (-) where [simp, symmetric, code]: (unsafe-asciis-of-literal' = unsafe-asciis-of-literal' constant unsafe-asciis-of-literal' \rightharpoonup (SML) !(List.map (fn c => let val k = Char.ord c in IntInf.fromInt k end) / o String.explode)
```

Now comes the big and ugly and unsafe hack.

Basically, we try to avoid the conversion to IntInf when calculating the hash. The performance gain is roughly 40%, which is a LOT and definitively something we need to do. We are aware that the SML semantic encourages compilers to optimise conversions, but this does not happen here, corroborating our early observation on the verified SAT solver IsaSAT.x

```
definition raw-explode where [simp]: \langle raw-explode \Rightarrow string.explode \Rightarrow code-printing constant raw-explode \Rightarrow (SML) String.explode definition \langle hashcode-literal' s \equiv foldl \ (\lambda h \ x. \ h * 33 + uint32\text{-of-int (of-char x)}) \ 5381 \ (raw-explode s \geqslant 0
```

```
lemmas [code] =
  hashcode-literal-def [unfolded String.explode-code]
    unsafe-asciis-of-literal-def[symmetric]]
definition uint32-of-char where
  [symmetric, code-unfold]: \langle uint32\text{-of-char } x = uint32\text{-of-int } (int\text{-of-char } x) \rangle
code-printing
  constant uint32-of-char \rightarrow
   (SML) !(Word32.fromInt /o (Char.ord))
lemma [code]: \langle hashcode \ s = hashcode\text{-literal'} \ s \rangle
  \langle proof \rangle
export-code PAC-checker-l-impl PAC-update-impl PAC-empty-impl the-error is-cfailed is-cfound
  int-of-integer Del Add Mult nat-of-integer String.implode remap-polys-l-impl
 fully-normalize-poly-impl union-vars-poly-impl empty-vars-impl
 full-checker-l-impl check-step-impl CSUCCESS
  Extension hashcode-literal' version
 in SML-imp module-name PAC-Checker
 file-prefix checker
We compile the checker, but do not test it on an example.
compile-generated-files -
  external-files
   \langle code/parser.sml \rangle
   \langle code/pasteque.sml \rangle
   \langle code/pasteque.mlb \rangle
  where \langle fn \ dir =>
   let
     val exec = Generated-Files.execute (Path.append dir (Path.basic code));
     val - = exec \langle rename \ file \rangle \ mv \ checker.ML \ checker.sml
     val - =
       exec \langle Compilation \rangle
         (File.bash-path \ path \ \$ISABELLE-MLTON) \ \widehat{} \ \widehat{} \ 
            -const 'MLton.safe false' -verbose 1 -default-type int64 -output pasteque ^
           -codegen\ native\ -inline\ 700\ -cc-opt\ -O3\ pasteque.mlb);
   in () end \rangle
        Correctness theorem
14
context poly-embed
begin
definition full-poly-assn where
  \langle full-poly-assn=hr-comp\ poly-assn\ (fully-unsorted-poly-rel\ O\ mset-poly-rel) \rangle
definition full-poly-input-assn where
  \langle full\text{-}poly\text{-}input\text{-}assn=hr\text{-}comp
       (hr\text{-}comp\ polys\text{-}assn\text{-}input
         (\langle nat\text{-}rel, fully\text{-}unsorted\text{-}poly\text{-}rel \ O \ mset\text{-}poly\text{-}rel \rangle fmap\text{-}rel))
       polys-rel
```

```
definition fully-pac-assn where
   \langle fully-pac-assn=(list-assn)\rangle
           (hr\text{-}comp\ (pac\text{-}step\text{-}rel\text{-}assn\ uint64\text{-}nat\text{-}assn\ poly\text{-}assn\ string\text{-}assn)
              (p2rel
                 (\langle nat\text{-}rel,
                  fully-unsorted-poly-rel O
                  mset-poly-rel, var-relpac-step-rel-rawpac-relpac-step-rel-rawpac-step-rel-rawpac-step-rel-rawpac-step-rel-rawpac-step-rel-rawpac-step-rel-raw
definition code-status-assn where
   \langle code\text{-}status\text{-}assn = hr\text{-}comp \ (status\text{-}assn \ raw\text{-}string\text{-}assn)
                                       code-status-status-rel\rangle
definition full-vars-assn where
   \langle full\text{-}vars\text{-}assn = hr\text{-}comp \ (hs.assn \ string\text{-}assn)
                                          (\langle var\text{-}rel\rangle set\text{-}rel)
lemma polys-rel-full-polys-rel:
   \langle polys\text{-}rel\text{-}full = Id \times_r polys\text{-}rel \rangle
   \langle proof \rangle
definition full-polys-assn :: \langle - \rangle where
\langle full\text{-}polys\text{-}assn = hr\text{-}comp \ (hr\text{-}comp \ polys\text{-}assn
                                          (\langle nat\text{-}rel,
                                           sorted-poly-rel O mset-poly-rel\rangle fmap-rel))
                                       polys-rel>
```

Below is the full correctness theorems. It basically states that:

1. assuming that the input polynomials have no duplicate variables

#### Then:

- 1. if the checker returns CFOUND, the spec is in the ideal and the PAC file is correct
- 2. if the checker returns *CSUCCESS*, the PAC file is correct (but there is no information on the spec, aka checking failed)
- 3. if the checker return *CFAILED err*, then checking failed (and *err might* give you an indication of the error, but the correctness theorem does not say anything about that). The input parameters are:
- 4. the specification polynomial represented as a list
- 5. the input polynomials as hash map (as an array of option polynomial)
- 6. a represention of the PAC proofs.

```
lemma PAC-full-correctness:  \langle (uncurry2 \ full\text{-}checker\text{-}l\text{-}impl, \\ uncurry2 \ (\lambda spec \ A \ -. \ PAC\text{-}checker\text{-}specification \ spec \ A)) \\ \in (full\text{-}poly\text{-}assn)^k *_a \ (full\text{-}poly\text{-}input\text{-}assn)^d *_a \ (fully\text{-}pac\text{-}assn)^k \to_a hr\text{-}comp \\ (code\text{-}status\text{-}assn \times_a \ full\text{-}vars\text{-}assn \times_a \ hr\text{-}comp \ polys\text{-}assn \\ (\langle nat\text{-}rel, \ sorted\text{-}poly\text{-}rel \ O \ mset\text{-}poly\text{-}rel \rangle fmap\text{-}rel)) \\ \{((st, \ G), \ st', \ G'). \\ st = st' \wedge (st \neq FAILED \longrightarrow (G, \ G') \in Id \times_r \ polys\text{-}rel)\} \rangle
```

```
\langle proof \rangle
```

It would be more efficient to move the parsing to Isabelle, as this would be more memory efficient (and also reduce the TCB). But now comes the fun part: It cannot work. A stream (of a file) is consumed by side effects. Assume that this would work. The code could look like:

```
Let (read-file file) f
```

This code is equal to (in the HOL sense of equality): let - = read-file file in Let (read-file file) f However, as an hypothetical read-file changes the underlying stream, we would get the next token. Remark that this is already a weird point of ML compilers. Anyway, I see currently two solutions to this problem:

- 1. The meta-argument: use it only in the Refinement Framework in a setup where copies are disallowed. Basically, this works because we can express the non-duplication constraints on the type level. However, we cannot forbid people from expressing things directly at the HOL level.
- 2. On the target language side, model the stream as the stream and the position. Reading takes two arguments. First, the position to read. Second, the stream (and the current position) to read. If the position to read does not match the current position, return an error. This would fit the correctness theorem of the code generation (roughly "if it terminates without exception, the answer is the same"), but it is still unsatisfactory.

end

```
\begin{array}{l} \textbf{definition} \ \varphi :: \langle string \Rightarrow nat \rangle \ \textbf{where} \\ \langle \varphi = (SOME \ \varphi. \ bij \ \varphi) \rangle \\ \\ \textbf{lemma} \ bij\text{-}\varphi : \langle bij \ \varphi \rangle \\ \langle proof \rangle \\ \\ \textbf{global-interpretation} \ PAC : \ poly\text{-}embed \ \textbf{where} \\ \varphi = \varphi \\ \langle proof \rangle \end{array}
```

The full correctness theorem is  $(uncurry2\ full-checker-l-impl,\ uncurry2\ (\lambda spec\ A\ -.\ PAC-checker-specification\ spec\ A)) \in PAC.full-poly-assn^k *_a\ PAC.full-poly-input-assn^d *_a\ PAC.fully-pac-assn^k \to_a\ hr-comp\ (PAC.code-status-assn\ \times_a\ PAC.full-vars-assn\ \times_a\ hr-comp\ polys-assn\ (\langle nat-rel,\ sorted-poly-rel\ O\ PAC.mset-poly-rel\rangle fmap-rel))\ \{((st,\ G),\ st',\ G').\ st=st'\ \wedge\ (st\neq FAILED\ \longrightarrow\ (G,\ G')\in Id\ \times_r\ polys-rel)\}.$ 

end

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#### References

[1] D. Kaufmann, M. Fleury, and A. Biere. The proof checkers pacheck and pasteque for the practical algebraic calculus. In O. Strichman and A. Ivrii, editors, *Formal Methods in Computer-Aided Design*, *FMCAD 2020*, *September 21-24*, 2020. IEEE, 2020.