

Formalisation of Ground Resolution and CDCL in Isabelle/HOL

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theory <i>CDCL-W-Optimal-Model</i>		
imports <i>CDCL.CDCL-W-Abstract-State HOL–Library.Extended-Nat Weidenbach-Book-Base.Explorer</i>		
begin		

0.1 CDCL Extensions

A counter-example for the original version from the book has been found (see below). There is no simple fix, except taking complete models.

Based on Dominik Zimmer’s thesis, we later reduced the problem of finding partial models to finding total models. We later switched to the more elegant dual rail encoding (thanks to the reviewer).

0.1.1 Optimisations

notation *image-mset* (**infixr** ‘# 90’)

The initial version was supposed to work on partial models directly. I found a counterexample while writing the proof:

Nitpicking 0.1.

Christoph's book draft 0.1. $(M; N; U; k; \top; O) \Rightarrow^{Propagate} (ML^{C \vee L}; N; U; k; \top; O)$
provided $C \vee L \in (N \cup U)$, $M \models \neg C$, L is undefined in M .

$(M; N; U; k; \top; O) \Rightarrow^{Decide} (ML^{k+1}; N; U; k+1; \top; O)$
provided L is undefined in M , contained in N .

$(M; N; U; k; \top; O) \Rightarrow^{ConflSat} (M; N; U; k; D; O)$
provided $D \in (N \cup U)$ and $M \models \neg D$.

$(M; N; U; k; \top; O) \Rightarrow^{ConflOpt} (M; N; U; k; \neg M; O)$
provided $O \neq \epsilon$ and $\text{cost}(M) \geq \text{cost}(O)$.

$(ML^{C \vee L}; N; U; k; D; O) \Rightarrow^{Skip} (M; N; U; k; D; O)$
provided $D \notin \{\top, \perp\}$ and $\neg L$ does not occur in D .

$(ML^{C \vee L}; N; U; k; D \vee \neg(L); O) \Rightarrow^{Resolve} (M; N; U; k; D \vee C; O)$
provided D is of level k .

$(M_1 K^{i+1} M_2; N; U; k; D \vee L; O) \Rightarrow^{Backtrack} (M_1 L^{D \vee L}; N; U \cup \{D \vee L\}; i; \top; O)$
provided L is of level k and D is of level i .

$(M; N; U; k; \top; O) \Rightarrow^{Improve} (M; N; U; k; \top; M)$
provided $M \models N$ and $O = \epsilon$ or $\text{cost}(M) < \text{cost}(O)$.

This calculus does not always find the model with minimum cost. Take for example the following cost function:

$$\text{cost} : \begin{cases} P \rightarrow 3 \\ \neg P \rightarrow 1 \\ Q \rightarrow 1 \\ \neg Q \rightarrow 1 \end{cases}$$

and the clauses $N = \{P \vee Q\}$. We can then do the following transitions:

$(\epsilon, N, \emptyset, \top, \infty)$
 $\Rightarrow^{Decide} (P^1, N, \emptyset, \top, \infty)$
 $\Rightarrow^{Improve} (P^1, N, \emptyset, \top, (P, 3))$
 $\Rightarrow^{conflOpt} (P^1, N, \emptyset, \neg P, (P, 3))$
 $\Rightarrow^{backtrack} (\neg P^{\neg P}, N, \{\neg P\}, \top, (P, 3))$
 $\Rightarrow^{propagate} (\neg P^{\neg P} Q^{P \vee Q}, N, \{\neg P\}, \top, (P, 3))$
 $\Rightarrow^{improve} (\neg P^{\neg P} Q^{P \vee Q}, N, \{\neg P\}, \top, (\neg P Q, 2))$
 $\Rightarrow^{conflOpt} (\neg P^{\neg P} Q^{P \vee Q}, N, \{\neg P\}, P \vee \neg Q, (\neg P Q, 2))$
 $\Rightarrow^{resolve} (\neg P^{\neg P}, N, \{\neg P\}, P, (\neg P Q, 2))$
 $\Rightarrow^{resolve} (\epsilon, N, \{\neg P\}, \perp, (\neg P Q, 3))$

However, the optimal model is Q .

The idea of the proof (explained of the example of the optimising CDCL) is the following:

1. We start with a calculus OCDCL on (M, N, U, D, Op) .

2. This extended to a state $(M, N + \text{all-models-of-higher-cost}, U, D, Op)$.
3. Each transition step of OCDCL is mapped to a step in CDCL over the abstract state. The abstract set of clauses might be unsatisfiable, but we only use it to prove the invariants on the state. Only adding clause cannot be mapped to a transition over the abstract state, but adding clauses does not break the invariants (as long as the additional clauses do not contain duplicate literals).
4. The last proofs are done over CDCLopt.

We abstract about how the optimisation is done in the locale below: We define a calculus *cdcl-bnb* (for branch-and-bounds). It is parametrised by how the conflicting clauses are generated and the improvement criterion.

We later instantiate it with the optimisation calculus from Weidenbach's book.

Helper libraries

lemma (in $-$) *Neg-atm-of-itself-uminus-iff*: $\langle \text{Neg } (\text{atm-of } xa) \neq - xa \longleftrightarrow \text{is-neg } xa \rangle$
 $\langle \text{proof} \rangle$

lemma (in $-$) *Pos-atm-of-itself-uminus-iff*: $\langle \text{Pos } (\text{atm-of } xa) \neq - xa \longleftrightarrow \text{is-pos } xa \rangle$
 $\langle \text{proof} \rangle$

definition *model-on* :: $\langle 'v \text{ partial-interp} \Rightarrow 'v \text{ clauses} \Rightarrow \text{bool} \rangle$ **where**
 $\langle \text{model-on } I \ N \longleftrightarrow \text{consistent-interp } I \wedge \text{atm-of } 'I \subseteq \text{atms-of-mm } N \rangle$

CDCL BNB

locale *conflict-driven-clause-learning-with-adding-init-clause-cost_W-no-state* =
state_W-no-state
state-eq state
— functions for the state:
— access functions:
trail init-clss learned-clss conflicting
— changing state:
cons-trail tl-trail add-learned-cls remove-cls
update-conflicting
— get state:
init-state
for
state-eq :: $'st \Rightarrow 'st \Rightarrow \text{bool}$ (**infix** ~ 50) **and**
state :: $'st \Rightarrow ('v, 'v \text{ clause}) \text{ ann-lits} \times 'v \text{ clauses} \times 'v \text{ clauses} \times 'v \text{ clause option} \times 'a \times 'b$ **and**
trail :: $'st \Rightarrow ('v, 'v \text{ clause}) \text{ ann-lits}$ **and**
init-clss :: $'st \Rightarrow 'v \text{ clauses}$ **and**
learned-clss :: $'st \Rightarrow 'v \text{ clauses}$ **and**
conflicting :: $'st \Rightarrow 'v \text{ clause option}$ **and**

cons-trail :: $('v, 'v \text{ clause}) \text{ ann-lit} \Rightarrow 'st \Rightarrow 'st$ **and**
tl-trail :: $'st \Rightarrow 'st$ **and**
add-learned-cls :: $'v \text{ clause} \Rightarrow 'st \Rightarrow 'st$ **and**
remove-cls :: $'v \text{ clause} \Rightarrow 'st \Rightarrow 'st$ **and**
update-conflicting :: $'v \text{ clause option} \Rightarrow 'st \Rightarrow 'st$ **and**

```

    init-state :: 'v clauses  $\Rightarrow$  'st +
fixes
    update-weight-information :: ('v, 'v clause) ann-lits  $\Rightarrow$  'st  $\Rightarrow$  'st and
    is-improving-int :: ('v, 'v clause) ann-lits  $\Rightarrow$  ('v, 'v clause) ann-lits  $\Rightarrow$  'v clauses  $\Rightarrow$  'a  $\Rightarrow$  bool and
    conflicting-clauses :: 'v clauses  $\Rightarrow$  'a  $\Rightarrow$  'v clauses and
    weight :: 'st  $\Rightarrow$  'a
begin

abbreviation is-improving where
     $\langle is-improving\ M\ M'\ S \equiv is-improving-int\ M\ M'\ (init-clss\ S)\ (weight\ S) \rangle$ 

definition additional-info' :: 'st  $\Rightarrow$  'b where
    additional-info' S = ( $\lambda(-, -, -, -, -, D). D$ ). D (state S)

definition conflicting-clss :: 'st  $\Rightarrow$  'v literal multiset multiset where
     $\langle conflicting-clss\ S = conflicting-clauses\ (init-clss\ S)\ (weight\ S) \rangle$ 

definition abs-state
    :: 'st  $\Rightarrow$  ('v, 'v clause) ann-lit list  $\times$  'v clauses  $\times$  'v clauses  $\times$  'v clause option
where
     $\langle abs-state\ S = (trail\ S,\ init-clss\ S + conflicting-clss\ S,\ learned-clss\ S,$ 
      conflicting S)  $\rangle$ 

end

locale conflict-driven-clause-learning-with-adding-init-clause-costW-ops =
    conflict-driven-clause-learning-with-adding-init-clause-costW-no-state
    state-eq state
    — functions for the state:
    — access functions:
    trail init-clss learned-clss conflicting
    — changing state:
    cons-trail tl-trail add-learned-cls remove-cls
    update-conflicting

    — get state:
    init-state
    — Adding a clause:
    update-weight-information is-improving-int conflicting-clauses weight
for
    state-eq :: 'st  $\Rightarrow$  'st  $\Rightarrow$  bool (infix  $\sim 50$ ) and
    state :: 'st  $\Rightarrow$  ('v, 'v clause) ann-lits  $\times$  'v clauses  $\times$  'v clauses  $\times$  'v clause option  $\times$ 
      'a  $\times$  'b and
    trail :: 'st  $\Rightarrow$  ('v, 'v clause) ann-lits and
    init-clss :: 'st  $\Rightarrow$  'v clauses and
    learned-clss :: 'st  $\Rightarrow$  'v clauses and
    conflicting :: 'st  $\Rightarrow$  'v clause option and

    cons-trail :: ('v, 'v clause) ann-lit  $\Rightarrow$  'st  $\Rightarrow$  'st and
    tl-trail :: 'st  $\Rightarrow$  'st and
    add-learned-cls :: 'v clause  $\Rightarrow$  'st  $\Rightarrow$  'st and
    remove-cls :: 'v clause  $\Rightarrow$  'st  $\Rightarrow$  'st and
    update-conflicting :: 'v clause option  $\Rightarrow$  'st  $\Rightarrow$  'st and

    init-state :: 'v clauses  $\Rightarrow$  'st and
    update-weight-information :: ('v, 'v clause) ann-lits  $\Rightarrow$  'st  $\Rightarrow$  'st and

```

$is-improving-int :: ('v, 'v\ clause) \text{ ann-lits} \Rightarrow ('v, 'v\ clause) \text{ ann-lits} \Rightarrow 'v\ clauses \Rightarrow$
 $'a \Rightarrow \text{bool} \text{ and}$
 $conflicting-clauses :: 'v\ clauses \Rightarrow 'a \Rightarrow 'v\ clauses \text{ and}$
 $weight :: \langle 'st \Rightarrow 'a \rangle +$
assumes
 $state-prop'$:
 $\langle state\ S = (trail\ S, init-clss\ S, learned-clss\ S, conflicting\ S, weight\ S, additional-info'\ S) \rangle$
and
 $update-weight-information$:
 $\langle state\ S = (M, N, U, C, w, other) \Rightarrow$
 $\exists w'. state\ (update-weight-information\ T\ S) = (M, N, U, C, w', other) \rangle \text{ and}$
 $atms-of-conflicting-clss$:
 $\langle atms-of-mm\ (conflicting-clss\ S) \subseteq atms-of-mm\ (init-clss\ S) \rangle \text{ and}$
 $distinct-mset-mset-conflicting-clss$:
 $\langle distinct-mset-mset\ (conflicting-clss\ S) \rangle \text{ and}$
 $conflicting-clss-update-weight-information-mono$:
 $\langle cdcl_W\text{-restart-mset.cdcl}_W\text{-all-struct-inv}\ (abs-state\ S) \Rightarrow is-improving\ M\ M'\ S \Rightarrow$
 $conflicting-clss\ S \subseteq \# \text{ conflicting-clss}\ (update-weight-information\ M'\ S) \rangle$
and
 $conflicting-clss-update-weight-information-in$:
 $\langle is-improving\ M\ M'\ S \Rightarrow \neg \text{negate-ann-lits}\ M' \in \# \text{ conflicting-clss}\ (update-weight-information$
 $M'\ S) \rangle$
begin

sublocale $conflict-driven-clause-learning_W$ **where**

$state-eq = state-eq \text{ and}$
 $state = state \text{ and}$
 $trail = trail \text{ and}$
 $init-clss = init-clss \text{ and}$
 $learned-clss = learned-clss \text{ and}$
 $conflicting = conflicting \text{ and}$
 $cons-trail = cons-trail \text{ and}$
 $tl-trail = tl-trail \text{ and}$
 $add-learned-clss = add-learned-clss \text{ and}$
 $remove-clss = remove-clss \text{ and}$
 $update-conflicting = update-conflicting \text{ and}$
 $init-state = init-state$
 $\langle proof \rangle$

declare $reduce-trail-to-skip-beginning[simp]$

lemma $state-eq-weight[state-simp, simp]$: $\langle S \sim T \Rightarrow weight\ S = weight\ T \rangle$
 $\langle proof \rangle$

lemma $conflicting-clause-state-eq[state-simp, simp]$:
 $\langle S \sim T \Rightarrow conflicting-clss\ S = conflicting-clss\ T \rangle$
 $\langle proof \rangle$

lemma
 $weight-cons-trail[simp]$:
 $\langle weight\ (cons-trail\ L\ S) = weight\ S \rangle \text{ and}$
 $weight-update-conflicting[simp]$:
 $\langle weight\ (update-conflicting\ C\ S) = weight\ S \rangle \text{ and}$
 $weight-tl-trail[simp]$:
 $\langle weight\ (tl-trail\ S) = weight\ S \rangle \text{ and}$

weight-add-learned-cls[simp]:
 ⟨weight (add-learned-cls D S) = weight S⟩
 ⟨proof⟩

lemma *update-weight-information-simp*[simp]:
 ⟨trail (update-weight-information C S) = trail S⟩
 ⟨init-clss (update-weight-information C S) = init-clss S⟩
 ⟨learned-clss (update-weight-information C S) = learned-clss S⟩
 ⟨clauses (update-weight-information C S) = clauses S⟩
 ⟨backtrack-lvl (update-weight-information C S) = backtrack-lvl S⟩
 ⟨conflicting (update-weight-information C S) = conflicting S⟩
 ⟨proof⟩

lemma
conflicting-clss-cons-trail[simp]: ⟨conflicting-clss (cons-trail K S) = conflicting-clss S⟩ **and**
conflicting-clss-tl-trail[simp]: ⟨conflicting-clss (tl-trail S) = conflicting-clss S⟩ **and**
conflicting-clss-add-learned-cls[simp]:
 ⟨conflicting-clss (add-learned-cls D S) = conflicting-clss S⟩ **and**
conflicting-clss-update-conflicting[simp]:
 ⟨conflicting-clss (update-conflicting E S) = conflicting-clss S⟩
 ⟨proof⟩

inductive *conflict-opt* :: 'st ⇒ 'st ⇒ bool **for** S T :: 'st **where**
conflict-opt-rule:
 ⟨conflict-opt S T⟩
if
 ⟨negate-ann-lits (trail S) ∈# conflicting-clss S⟩
 ⟨conflicting S = None⟩
 ⟨T ∼ update-conflicting (Some (negate-ann-lits (trail S))) S⟩

inductive-cases *conflict-optE*: ⟨conflict-opt S T⟩

inductive *improvev* :: 'st ⇒ 'st ⇒ bool **for** S :: 'st **where**
improve-rule:
 ⟨improvev S T⟩
if
 ⟨is-improving (trail S) M' S⟩ **and**
 ⟨conflicting S = None⟩ **and**
 ⟨T ∼ update-weight-information M' S⟩

inductive-cases *improveE*: ⟨improvev S T⟩

lemma *invs-update-weight-information*[simp]:
 ⟨no-strange-atm (update-weight-information C S) = (no-strange-atm S)⟩
 ⟨cdcl_W-M-level-inv (update-weight-information C S) = cdcl_W-M-level-inv S⟩
 ⟨distinct-cdcl_W-state (update-weight-information C S) = distinct-cdcl_W-state S⟩
 ⟨cdcl_W-conflicting (update-weight-information C S) = cdcl_W-conflicting S⟩
 ⟨cdcl_W-learned-clause (update-weight-information C S) = cdcl_W-learned-clause S⟩
 ⟨proof⟩

lemma *conflict-opt-cdcl_W-all-struct-inv*:
assumes ⟨conflict-opt S T⟩ **and**
 inv: ⟨cdcl_W-restart-mset.cdcl_W-all-struct-inv (abs-state S)⟩
shows ⟨cdcl_W-restart-mset.cdcl_W-all-struct-inv (abs-state T)⟩
 ⟨proof⟩

lemma *reduce-trail-to-update-weight-information*[simp]:

$\langle \text{trail } (\text{reduce-trail-to } M \ (\text{update-weight-information } M' \ S)) = \text{trail } (\text{reduce-trail-to } M \ S) \rangle$
 $\langle \text{proof} \rangle$

lemma *additional-info-weight-additional-info'*: $\langle \text{additional-info } S = (\text{weight } S, \text{additional-info}' \ S) \rangle$

$\langle \text{proof} \rangle$

lemma

weight-reduce-trail-to[simp]: $\langle \text{weight } (\text{reduce-trail-to } M \ S) = \text{weight } S \rangle$ **and**
additional-info'-reduce-trail-to[simp]: $\langle \text{additional-info}' (\text{reduce-trail-to } M \ S) = \text{additional-info}' \ S \rangle$
 $\langle \text{proof} \rangle$

lemma *conflicting-clss-reduce-trail-to*[simp]: $\langle \text{conflicting-clss } (\text{reduce-trail-to } M \ S) = \text{conflicting-clss } S \rangle$

$\langle \text{proof} \rangle$

lemma *improve-cdcl_W-all-struct-inv*:

assumes $\langle \text{improvep } S \ T \rangle$ **and**

$\text{inv: } \langle \text{cdcl}_W\text{-restart-mset.cdcl}_W\text{-all-struct-inv } (\text{abs-state } S) \rangle$

shows $\langle \text{cdcl}_W\text{-restart-mset.cdcl}_W\text{-all-struct-inv } (\text{abs-state } T) \rangle$

$\langle \text{proof} \rangle$

cdcl_W-restart-mset.cdcl_W-stgy-invariant is too restrictive: *cdcl_W-restart-mset.no-smaller-conf* is needed but does not hold (at least, if cannot ensure that conflicts are found as soon as possible).

lemma *improve-no-smaller-conflict*:

assumes $\langle \text{improvep } S \ T \rangle$ **and**

$\langle \text{no-smaller-conf } S \rangle$

shows $\langle \text{no-smaller-conf } T \rangle$ **and** $\langle \text{conflict-is-false-with-level } T \rangle$

$\langle \text{proof} \rangle$

lemma *conflict-opt-no-smaller-conflict*:

assumes $\langle \text{conflict-opt } S \ T \rangle$ **and**

$\langle \text{no-smaller-conf } S \rangle$

shows $\langle \text{no-smaller-conf } T \rangle$ **and** $\langle \text{conflict-is-false-with-level } T \rangle$

$\langle \text{proof} \rangle$

fun *no-conf-prop-impr* **where**

$\langle \text{no-conf-prop-impr } S \longleftrightarrow$

$\text{no-step propagate } S \wedge \text{no-step conflict } S \rangle$

We use a slightly generalised form of backtrack to make conflict clause minimisation possible.

inductive *obacktrack* :: $'st \Rightarrow 'st \Rightarrow \text{bool}$ **for** $S :: 'st$ **where**

obacktrack-rule: \langle

$\text{conflicting } S = \text{Some } (\text{add-mset } L \ D) \implies$

$(\text{Decided } K \ \# \ M1, \ M2) \in \text{set } (\text{get-all-ann-decomposition } (\text{trail } S)) \implies$

$\text{get-level } (\text{trail } S) \ L = \text{backtrack-lvl } S \implies$

$\text{get-level } (\text{trail } S) \ L = \text{get-maximum-level } (\text{trail } S) \ (\text{add-mset } L \ D') \implies$

$\text{get-maximum-level } (\text{trail } S) \ D' \equiv i \implies$

$\text{get-level } (\text{trail } S) \ K = i + 1 \implies$

$D' \subseteq \# \ D \implies$

$\text{clauses } S + \text{conflicting-clss } S \models_{\text{pm}} \text{add-mset } L \ D' \implies$

$T \sim \text{cons-trail } (\text{Propagated } L \ (\text{add-mset } L \ D'))$

$(\text{reduce-trail-to } M1$

$(\text{add-learned-cls } (\text{add-mset } L \ D')$

$(\text{update-conflicting } \text{None } S))) \implies$

$\text{obacktrack } S \ T \rangle$

inductive-cases *obacktrackE*: $\langle \text{obacktrack } S \ T \rangle$

inductive *cdcl-bnb-bj* :: $'st \Rightarrow 'st \Rightarrow \text{bool}$ **where**

skip: $\text{skip } S \ S' \Longrightarrow \text{cdcl-bnb-bj } S \ S' \mid$

resolve: $\text{resolve } S \ S' \Longrightarrow \text{cdcl-bnb-bj } S \ S' \mid$

backtrack: $\text{obacktrack } S \ S' \Longrightarrow \text{cdcl-bnb-bj } S \ S'$

inductive-cases *cdcl-bnb-bjE*: $\text{cdcl-bnb-bj } S \ T$

inductive *ocdcl_W-o* :: $'st \Rightarrow 'st \Rightarrow \text{bool}$ **for** $S :: 'st$ **where**

decide: $\text{decide } S \ S' \Longrightarrow \text{ocdcl}_W\text{-o } S \ S' \mid$

bj: $\text{cdcl-bnb-bj } S \ S' \Longrightarrow \text{ocdcl}_W\text{-o } S \ S'$

inductive *cdcl-bnb* :: $\langle 'st \Rightarrow 'st \Rightarrow \text{bool} \rangle$ **for** $S :: 'st$ **where**

cdcl-conflict: $\text{conflict } S \ S' \Longrightarrow \text{cdcl-bnb } S \ S' \mid$

cdcl-propagate: $\text{propagate } S \ S' \Longrightarrow \text{cdcl-bnb } S \ S' \mid$

cdcl-improve: $\text{improvep } S \ S' \Longrightarrow \text{cdcl-bnb } S \ S' \mid$

cdcl-conflict-opt: $\text{conflict-opt } S \ S' \Longrightarrow \text{cdcl-bnb } S \ S' \mid$

cdcl-other': $\text{ocdcl}_W\text{-o } S \ S' \Longrightarrow \text{cdcl-bnb } S \ S'$

inductive *cdcl-bnb-stgy* :: $\langle 'st \Rightarrow 'st \Rightarrow \text{bool} \rangle$ **for** $S :: 'st$ **where**

cdcl-bnb-conflict: $\text{conflict } S \ S' \Longrightarrow \text{cdcl-bnb-stgy } S \ S' \mid$

cdcl-bnb-propagate: $\text{propagate } S \ S' \Longrightarrow \text{cdcl-bnb-stgy } S \ S' \mid$

cdcl-bnb-improve: $\text{improvep } S \ S' \Longrightarrow \text{cdcl-bnb-stgy } S \ S' \mid$

cdcl-bnb-conflict-opt: $\text{conflict-opt } S \ S' \Longrightarrow \text{cdcl-bnb-stgy } S \ S' \mid$

cdcl-bnb-other': $\text{ocdcl}_W\text{-o } S \ S' \Longrightarrow \text{no-conflict-prop-impr } S \Longrightarrow \text{cdcl-bnb-stgy } S \ S'$

lemma *ocdcl_W-o-induct*[consumes 1, case-names *decide skip resolve backtrack*]:

fixes $S :: 'st$

assumes *cdcl_W-restart*: $\text{ocdcl}_W\text{-o } S \ T$ **and**

decideH: $\bigwedge L \ T. \text{conflicting } S = \text{None} \Longrightarrow \text{undefined-lit } (\text{trail } S) \ L \Longrightarrow$

$\text{atm-of } L \in \text{atms-of-mm } (\text{init-clss } S) \Longrightarrow$

$T \sim \text{cons-trail } (\text{Decided } L) \ S \Longrightarrow$

$P \ S \ T$ **and**

skipH: $\bigwedge L \ C' \ M \ E \ T.$

$\text{trail } S = \text{Propagated } L \ C' \ \# \ M \Longrightarrow$

$\text{conflicting } S = \text{Some } E \Longrightarrow$

$-L \notin \# \ E \Longrightarrow E \neq \{\#\} \Longrightarrow$

$T \sim \text{tl-trail } S \Longrightarrow$

$P \ S \ T$ **and**

resolveH: $\bigwedge L \ E \ M \ D \ T.$

$\text{trail } S = \text{Propagated } L \ E \ \# \ M \Longrightarrow$

$L \in \# \ E \Longrightarrow$

$\text{hd-trail } S = \text{Propagated } L \ E \Longrightarrow$

$\text{conflicting } S = \text{Some } D \Longrightarrow$

$-L \in \# \ D \Longrightarrow$

$\text{get-maximum-level } (\text{trail } S) \ ((\text{remove1-mset } (-L) \ D)) = \text{backtrack-lvl } S \Longrightarrow$

$T \sim \text{update-conflicting}$

$(\text{Some } (\text{resolve-cls } L \ D \ E)) \ (\text{tl-trail } S) \Longrightarrow$

$P \ S \ T$ **and**

backtrackH: $\bigwedge L \ D \ K \ i \ M1 \ M2 \ T \ D'.$

$\text{conflicting } S = \text{Some } (\text{add-mset } L \ D) \Longrightarrow$

$(\text{Decided } K \ \# \ M1, \ M2) \in \text{set } (\text{get-all-ann-decomposition } (\text{trail } S)) \Longrightarrow$

$\text{get-level } (\text{trail } S) \ L = \text{backtrack-lvl } S \Longrightarrow$

$\text{get-level } (\text{trail } S) \ L = \text{get-maximum-level } (\text{trail } S) \ (\text{add-mset } L \ D') \Longrightarrow$

$get_maximum_level\ (trail\ S)\ D' \equiv i \implies$
 $get_level\ (trail\ S)\ K = i+1 \implies$
 $D' \subseteq \# D \implies$
 $clauses\ S + conflicting_clss\ S \models_{pm} add_mset\ L\ D' \implies$
 $T \sim cons_trail\ (Propagated\ L\ (add_mset\ L\ D'))$
 $(reduce_trail_to\ M1$
 $(add_learned_cls\ (add_mset\ L\ D')$
 $(update_conflicting\ None\ S))) \implies$
 $P\ S\ T$
shows $P\ S\ T$
 $\langle proof \rangle$

lemma *obacktrack-backtrackg*: $\langle obacktrack\ S\ T \implies backtrackg\ S\ T \rangle$
 $\langle proof \rangle$

Plugging into normal CDCL

lemma *cdcl-bnb-no-more-init-clss*:
 $\langle cdcl_bnb\ S\ S' \implies init_clss\ S = init_clss\ S' \rangle$
 $\langle proof \rangle$

lemma *rtrancpl-cdcl-bnb-no-more-init-clss*:
 $\langle cdcl_bnb^{**}\ S\ S' \implies init_clss\ S = init_clss\ S' \rangle$
 $\langle proof \rangle$

lemma *conflict-opt-conflict*:
 $\langle conflict_opt\ S\ T \implies cdcl_W_restart_mset.conflict\ (abs_state\ S)\ (abs_state\ T) \rangle$
 $\langle proof \rangle$

lemma *conflict-conflict*:
 $\langle conflict\ S\ T \implies cdcl_W_restart_mset.conflict\ (abs_state\ S)\ (abs_state\ T) \rangle$
 $\langle proof \rangle$

lemma *propagate-propagate*:
 $\langle propagate\ S\ T \implies cdcl_W_restart_mset.propagate\ (abs_state\ S)\ (abs_state\ T) \rangle$
 $\langle proof \rangle$

lemma *decide-decide*:
 $\langle decide\ S\ T \implies cdcl_W_restart_mset.decide\ (abs_state\ S)\ (abs_state\ T) \rangle$
 $\langle proof \rangle$

lemma *skip-skip*:
 $\langle skip\ S\ T \implies cdcl_W_restart_mset.skip\ (abs_state\ S)\ (abs_state\ T) \rangle$
 $\langle proof \rangle$

lemma *resolve-resolve*:
 $\langle resolve\ S\ T \implies cdcl_W_restart_mset.resolve\ (abs_state\ S)\ (abs_state\ T) \rangle$
 $\langle proof \rangle$

lemma *backtrack-backtrack*:
 $\langle obacktrack\ S\ T \implies cdcl_W_restart_mset.backtrack\ (abs_state\ S)\ (abs_state\ T) \rangle$
 $\langle proof \rangle$

lemma *ocdcl_W-o-all-rules-induct*[consumes 1, case-names decide backtrack skip resolve]:
fixes $S\ T :: 'st$

assumes
 $ocdcl_W-o\ S\ T$ **and**
 $\bigwedge T. decide\ S\ T \implies P\ S\ T$ **and**
 $\bigwedge T. obacktrack\ S\ T \implies P\ S\ T$ **and**
 $\bigwedge T. skip\ S\ T \implies P\ S\ T$ **and**
 $\bigwedge T. resolve\ S\ T \implies P\ S\ T$
shows $P\ S\ T$
 $\langle proof \rangle$

lemma $cdcl_W-o-cdcl_W-o$:
 $\langle ocdcl_W-o\ S\ S' \implies cdcl_W-restart-mset.cdcl_W-o\ (abs-state\ S)\ (abs-state\ S') \rangle$
 $\langle proof \rangle$

lemma $cdcl-bnb-stgy-all-struct-inv$:
assumes $\langle cdcl-bnb\ S\ T \rangle$ **and** $\langle cdcl_W-restart-mset.cdcl_W-all-struct-inv\ (abs-state\ S) \rangle$
shows $\langle cdcl_W-restart-mset.cdcl_W-all-struct-inv\ (abs-state\ T) \rangle$
 $\langle proof \rangle$

lemma $rtrancpl-cdcl-bnb-stgy-all-struct-inv$:
assumes $\langle cdcl-bnb^{**}\ S\ T \rangle$ **and** $\langle cdcl_W-restart-mset.cdcl_W-all-struct-inv\ (abs-state\ S) \rangle$
shows $\langle cdcl_W-restart-mset.cdcl_W-all-struct-inv\ (abs-state\ T) \rangle$
 $\langle proof \rangle$

definition $cdcl-bnb-struct-invs :: \langle 'st \Rightarrow bool \rangle$ **where**
 $\langle cdcl-bnb-struct-invs\ S \longleftrightarrow$
 $atms-of-mm\ (conflicting-clss\ S) \subseteq atms-of-mm\ (init-clss\ S) \rangle$

lemma $cdcl-bnb-cdcl-bnb-struct-invs$:
 $\langle cdcl-bnb\ S\ T \implies cdcl-bnb-struct-invs\ S \implies cdcl-bnb-struct-invs\ T \rangle$
 $\langle proof \rangle$

lemma $rtrancpl-cdcl-bnb-cdcl-bnb-struct-invs$:
 $\langle cdcl-bnb^{**}\ S\ T \implies cdcl-bnb-struct-invs\ S \implies cdcl-bnb-struct-invs\ T \rangle$
 $\langle proof \rangle$

lemma $cdcl-bnb-stgy-cdcl-bnb$: $\langle cdcl-bnb-stgy\ S\ T \implies cdcl-bnb\ S\ T \rangle$
 $\langle proof \rangle$

lemma $rtrancpl-cdcl-bnb-stgy-cdcl-bnb$: $\langle cdcl-bnb-stgy^{**}\ S\ T \implies cdcl-bnb^{**}\ S\ T \rangle$
 $\langle proof \rangle$

The following does *not* hold, because we cannot guarantee the absence of conflict of smaller level after *improve* and *conflict-opt*.

lemma $cdcl-bnb-all-stgy-inv$:
assumes $\langle cdcl-bnb\ S\ T \rangle$ **and** $\langle cdcl_W-restart-mset.cdcl_W-all-struct-inv\ (abs-state\ S) \rangle$ **and**
 $\langle cdcl_W-restart-mset.cdcl_W-stgy-invariant\ (abs-state\ S) \rangle$
shows $\langle cdcl_W-restart-mset.cdcl_W-stgy-invariant\ (abs-state\ T) \rangle$
 $\langle proof \rangle$

lemma $skip-conflict-is-false-with-level$:
assumes $\langle skip\ S\ T \rangle$ **and**
 $struct-inv: \langle cdcl_W-restart-mset.cdcl_W-all-struct-inv\ (abs-state\ S) \rangle$ **and**
 $confl-inv: \langle conflict-is-false-with-level\ S \rangle$
shows $\langle conflict-is-false-with-level\ T \rangle$
 $\langle proof \rangle$

lemma *propagate-conflict-is-false-with-level*:
assumes $\langle \text{propagate } S \ T \rangle$ **and**
 $\text{struct-inv}: \langle \text{cdcl}_W\text{-restart-mset.cdcl}_W\text{-all-struct-inv } (\text{abs-state } S) \rangle$ **and**
 $\text{confl-inv}: \langle \text{conflict-is-false-with-level } S \rangle$
shows $\langle \text{conflict-is-false-with-level } T \rangle$
 $\langle \text{proof} \rangle$

lemma *cdcl_W-o-conflict-is-false-with-level*:
assumes $\langle \text{cdcl}_W\text{-o } S \ T \rangle$ **and**
 $\text{struct-inv}: \langle \text{cdcl}_W\text{-restart-mset.cdcl}_W\text{-all-struct-inv } (\text{abs-state } S) \rangle$ **and**
 $\text{confl-inv}: \langle \text{conflict-is-false-with-level } S \rangle$
shows $\langle \text{conflict-is-false-with-level } T \rangle$
 $\langle \text{proof} \rangle$

lemma *cdcl_W-o-no-smaller-confl*:
assumes $\langle \text{cdcl}_W\text{-o } S \ T \rangle$ **and**
 $\text{struct-inv}: \langle \text{cdcl}_W\text{-restart-mset.cdcl}_W\text{-all-struct-inv } (\text{abs-state } S) \rangle$ **and**
 $\text{confl-inv}: \langle \text{no-smaller-confl } S \rangle$ **and**
 $\text{lev}: \langle \text{conflict-is-false-with-level } S \rangle$ **and**
 $\text{n-s}: \langle \text{no-confl-prop-impr } S \rangle$
shows $\langle \text{no-smaller-confl } T \rangle$
 $\langle \text{proof} \rangle$

declare *cdcl_W-restart-mset.conflict-is-false-with-level-def* [simp del]

lemma *improve-conflict-is-false-with-level*:
assumes $\langle \text{improvep } S \ T \rangle$ **and** $\langle \text{conflict-is-false-with-level } S \rangle$
shows $\langle \text{conflict-is-false-with-level } T \rangle$
 $\langle \text{proof} \rangle$

declare *conflict-is-false-with-level-def*[simp del]

lemma *trail-trail* [simp]:
 $\langle \text{CDCL-W-Abstract-State.trail } (\text{abs-state } S) = \text{trail } S \rangle$
 $\langle \text{proof} \rangle$

lemma [simp]:
 $\langle \text{CDCL-W-Abstract-State.trail } (\text{cdcl}_W\text{-restart-mset.reduce-trail-to } M \ (\text{abs-state } S)) =$
 $\text{trail } (\text{reduce-trail-to } M \ S) \rangle$
 $\langle \text{proof} \rangle$

lemma [simp]:
 $\langle \text{CDCL-W-Abstract-State.trail } (\text{cdcl}_W\text{-restart-mset.reduce-trail-to } M \ (\text{abs-state } S)) =$
 $\text{trail } (\text{reduce-trail-to } M \ S) \rangle$
 $\langle \text{proof} \rangle$

lemma *cdcl_W-M-level-inv-cdcl_W-M-level-inv*[iff]:
 $\langle \text{cdcl}_W\text{-restart-mset.cdcl}_W\text{-M-level-inv } (\text{abs-state } S) = \text{cdcl}_W\text{-M-level-inv } S \rangle$
 $\langle \text{proof} \rangle$

lemma *obacktrack-state-eq-compatible*:
assumes
 $\text{bt}: \text{obacktrack } S \ T$ **and**
 $\text{SS}': S \sim S'$ **and**
 $\text{TT}': T \sim T'$
shows $\text{obacktrack } S' \ T'$

$\langle \text{proof} \rangle$

lemma *ocdcl_W-o-no-smaller-confl-inv:*

fixes $S S' :: 'st$

assumes

ocdcl_W-o $S S'$ **and**

n-s: no-step conflict S **and**

lev: cdcl_W-restart-mset.cdcl_W-all-struct-inv (*abs-state* S) **and**

max-lev: conflict-is-false-with-level S **and**

smaller: no-smaller-confl S

shows *no-smaller-confl* S'

$\langle \text{proof} \rangle$

lemma *cdcl-bnb-stgy-no-smaller-confl:*

assumes $\langle \text{cdcl-bnb-stgy } S T \rangle$ **and**

$\langle \text{cdcl}_W\text{-restart-mset.cdcl}_W\text{-all-struct-inv (abs-state } S) \rangle$ **and**

$\langle \text{no-smaller-confl } S \rangle$ **and**

$\langle \text{conflict-is-false-with-level } S \rangle$

shows $\langle \text{no-smaller-confl } T \rangle$

$\langle \text{proof} \rangle$

lemma *ocdcl_W-o-conflict-is-false-with-level-inv:*

assumes

ocdcl_W-o $S S'$ **and**

lev: cdcl_W-restart-mset.cdcl_W-all-struct-inv (*abs-state* S) **and**

confl-inv: conflict-is-false-with-level S

shows *conflict-is-false-with-level* S'

$\langle \text{proof} \rangle$

lemma *cdcl-bnb-stgy-conflict-is-false-with-level:*

assumes $\langle \text{cdcl-bnb-stgy } S T \rangle$ **and**

$\langle \text{cdcl}_W\text{-restart-mset.cdcl}_W\text{-all-struct-inv (abs-state } S) \rangle$ **and**

$\langle \text{no-smaller-confl } S \rangle$ **and**

$\langle \text{conflict-is-false-with-level } S \rangle$

shows $\langle \text{conflict-is-false-with-level } T \rangle$

$\langle \text{proof} \rangle$

lemma *decided-cons-eq-append-decide-cons:* $\langle \text{Decided } L \# MM = M' @ \text{Decided } K \# M \longleftrightarrow$

$(M' \neq [] \wedge \text{hd } M' = \text{Decided } L \wedge MM = \text{tl } M' @ \text{Decided } K \# M) \vee$

$(M' = [] \wedge L = K \wedge MM = M) \rangle$

$\langle \text{proof} \rangle$

lemma *either-all-false-or-earliest-decomposition:*

shows $\langle (\forall K K'. L = K' @ K \longrightarrow \neg P K) \vee$

$(\exists L' L''. L = L'' @ L' \wedge P L' \wedge (\forall K K'. L' = K' @ K \longrightarrow K' \neq [] \longrightarrow \neg P K)) \rangle$

$\langle \text{proof} \rangle$

lemma *trail-is-improving-Ex-improve:*

assumes *confl:* $\langle \text{conflicting } S = \text{None} \rangle$ **and**

imp: $\langle \text{is-improving (trail } S) M' S \rangle$

shows $\langle \text{Ex (improvep } S) \rangle$

$\langle \text{proof} \rangle$

definition *cdcl-bnb-stgy-inv* $:: 'st \Rightarrow \text{bool}$ **where**

$\langle \text{cdcl-bnb-stgy-inv } S \longleftrightarrow \text{conflict-is-false-with-level } S \wedge \text{no-smaller-confl } S \rangle$

lemma *cdcl-bnb-stgy-invD*:

shows $\langle \text{cdcl-bnb-stgy-inv } S \longleftrightarrow \text{cdcl}_W\text{-stgy-invariant } S \rangle$

$\langle \text{proof} \rangle$

lemma *cdcl-bnb-stgy-stgy-inv*:

$\langle \text{cdcl-bnb-stgy } S \ T \implies \text{cdcl}_W\text{-restart-mset.cdcl}_W\text{-all-struct-inv } (\text{abs-state } S) \implies$

$\text{cdcl-bnb-stgy-inv } S \implies \text{cdcl-bnb-stgy-inv } T \rangle$

$\langle \text{proof} \rangle$

lemma *rtrancp-cdcl-bnb-stgy-stgy-inv*:

$\langle \text{cdcl-bnb-stgy}^{**} S \ T \implies \text{cdcl}_W\text{-restart-mset.cdcl}_W\text{-all-struct-inv } (\text{abs-state } S) \implies$

$\text{cdcl-bnb-stgy-inv } S \implies \text{cdcl-bnb-stgy-inv } T \rangle$

$\langle \text{proof} \rangle$

lemma *learned-clss-learned-clss[simp]*:

$\langle \text{CDCL-W-Abstract-State.learned-clss } (\text{abs-state } S) = \text{learned-clss } S \rangle$

$\langle \text{proof} \rangle$

lemma *state-eq-init-clss-abs-state[state-simp, simp]*:

$\langle S \sim T \implies \text{CDCL-W-Abstract-State.init-clss } (\text{abs-state } S) = \text{CDCL-W-Abstract-State.init-clss } (\text{abs-state } T) \rangle$

$\langle \text{proof} \rangle$

lemma

init-clss-abs-state-update-conflicting[simp]:

$\langle \text{CDCL-W-Abstract-State.init-clss } (\text{abs-state } (\text{update-conflicting } (\text{Some } D) S)) =$
 $\text{CDCL-W-Abstract-State.init-clss } (\text{abs-state } S) \rangle$ **and**

init-clss-abs-state-cons-trail[simp]:

$\langle \text{CDCL-W-Abstract-State.init-clss } (\text{abs-state } (\text{cons-trail } K S)) =$
 $\text{CDCL-W-Abstract-State.init-clss } (\text{abs-state } S) \rangle$

$\langle \text{proof} \rangle$

lemma *cdcl-bnb-cdcl_W-learned-clauses-entailed-by-init*:

assumes

$\langle \text{cdcl-bnb } S \ T \rangle$ **and**

entailed: $\langle \text{cdcl}_W\text{-restart-mset.cdcl}_W\text{-learned-clauses-entailed-by-init } (\text{abs-state } S) \rangle$ **and**

all-struct: $\langle \text{cdcl}_W\text{-restart-mset.cdcl}_W\text{-all-struct-inv } (\text{abs-state } S) \rangle$

shows $\langle \text{cdcl}_W\text{-restart-mset.cdcl}_W\text{-learned-clauses-entailed-by-init } (\text{abs-state } T) \rangle$

$\langle \text{proof} \rangle$

lemma *rtrancp-cdcl-bnb-cdcl_W-learned-clauses-entailed-by-init*:

assumes

$\langle \text{cdcl-bnb}^{**} S \ T \rangle$ **and**

entailed: $\langle \text{cdcl}_W\text{-restart-mset.cdcl}_W\text{-learned-clauses-entailed-by-init } (\text{abs-state } S) \rangle$ **and**

all-struct: $\langle \text{cdcl}_W\text{-restart-mset.cdcl}_W\text{-all-struct-inv } (\text{abs-state } S) \rangle$

shows $\langle \text{cdcl}_W\text{-restart-mset.cdcl}_W\text{-learned-clauses-entailed-by-init } (\text{abs-state } T) \rangle$

$\langle \text{proof} \rangle$

lemma *atms-of-init-clss-conflicting-clss2[simp]*:

$\langle \text{atms-of-mm } (\text{init-clss } S) \cup \text{atms-of-mm } (\text{conflicting-clss } S) = \text{atms-of-mm } (\text{init-clss } S) \rangle$

$\langle \text{proof} \rangle$

lemma *no-strange-atm-no-strange-atm[simp]*:

$\langle \text{cdcl}_W\text{-restart-mset.no-strange-atm } (\text{abs-state } S) = \text{no-strange-atm } S \rangle$

$\langle \text{proof} \rangle$

lemma *cdcl_W-conflicting-cdcl_W-conflicting[simp]*:
 $\langle \text{cdcl}_W\text{-restart-mset.cdcl}_W\text{-conflicting (abs-state } S) = \text{cdcl}_W\text{-conflicting } S \rangle$
 $\langle \text{proof} \rangle$

lemma *distinct-cdcl_W-state-distinct-cdcl_W-state*:
 $\langle \text{cdcl}_W\text{-restart-mset.distinct-cdcl}_W\text{-state (abs-state } S) \implies \text{distinct-cdcl}_W\text{-state } S \rangle$
 $\langle \text{proof} \rangle$

lemma *conflicting-abs-state-conflicting[simp]*:
 $\langle \text{CDCL-W-Abstract-State.conflicting (abs-state } S) = \text{conflicting } S \rangle$ **and**
clauses-abs-state[simp]:
 $\langle \text{cdcl}_W\text{-restart-mset.clauses (abs-state } S) = \text{clauses } S + \text{conflicting-clss } S \rangle$ **and**
abs-state-tl-trail[simp]:
 $\langle \text{abs-state (tl-trail } S) = \text{CDCL-W-Abstract-State.tl-trail (abs-state } S) \rangle$ **and**
abs-state-add-learned-cls[simp]:
 $\langle \text{abs-state (add-learned-cls } C \text{ } S) = \text{CDCL-W-Abstract-State.add-learned-cls } C \text{ (abs-state } S) \rangle$ **and**
abs-state-update-conflicting[simp]:
 $\langle \text{abs-state (update-conflicting } D \text{ } S) = \text{CDCL-W-Abstract-State.update-conflicting } D \text{ (abs-state } S) \rangle$
 $\langle \text{proof} \rangle$

lemma *sim-abs-state-simp*: $\langle S \sim T \implies \text{abs-state } S = \text{abs-state } T \rangle$
 $\langle \text{proof} \rangle$

lemma *abs-state-cons-trail[simp]*:
 $\langle \text{abs-state (cons-trail } K \text{ } S) = \text{CDCL-W-Abstract-State.cons-trail } K \text{ (abs-state } S) \rangle$ **and**
abs-state-reduce-trail-to[simp]:
 $\langle \text{abs-state (reduce-trail-to } M \text{ } S) = \text{cdcl}_W\text{-restart-mset.reduce-trail-to } M \text{ (abs-state } S) \rangle$
 $\langle \text{proof} \rangle$

lemma *obacktrack-imp-backtrack*:
 $\langle \text{obacktrack } S \text{ } T \implies \text{cdcl}_W\text{-restart-mset.backtrack (abs-state } S) \text{ (abs-state } T) \rangle$
 $\langle \text{proof} \rangle$

lemma *backtrack-imp-obacktrack*:
 $\langle \text{cdcl}_W\text{-restart-mset.backtrack (abs-state } S) \text{ } T \implies \text{Ex (obacktrack } S) \rangle$
 $\langle \text{proof} \rangle$

lemma *cdcl_W-same-weight*: $\langle \text{cdcl}_W \text{ } S \text{ } U \implies \text{weight } S = \text{weight } U \rangle$
 $\langle \text{proof} \rangle$

lemma *ocdcl_W-o-same-weight*: $\langle \text{ocdcl}_W\text{-o } S \text{ } U \implies \text{weight } S = \text{weight } U \rangle$
 $\langle \text{proof} \rangle$

This is a proof artefact: it is easier to reason on *improvep* when the set of initial clauses is fixed (here by N). The next theorem shows that the conclusion is equivalent to not fixing the set of clauses.

lemma *wf-cdcl-bnb*:
assumes *improve*: $\langle \bigwedge S \text{ } T. \text{improvep } S \text{ } T \implies \text{init-clss } S = N \implies (\nu (\text{weight } T), \nu (\text{weight } S)) \in R \rangle$
and
wf-R: $\langle \text{wf } R \rangle$
shows $\langle \text{wf } \{(T, S). \text{cdcl}_W\text{-restart-mset.cdcl}_W\text{-all-struct-inv (abs-state } S) \wedge \text{cdcl-bnb } S \text{ } T \wedge \text{init-clss } S = N\} \rangle$
 $\langle \text{is (wf ?A)} \rangle$
 $\langle \text{proof} \rangle$

corollary *wf-cdcl-bnb-fixed-iff*:

shows $\langle (\forall N. \text{wf } \{(T, S). \text{cdcl}_W\text{-restart-mset.cdcl}_W\text{-all-struct-inv } (\text{abs-state } S) \wedge \text{cdcl-bnb } S \ T \wedge \text{init-clss } S = N\}) \longleftrightarrow$
 $\text{wf } \{(T, S). \text{cdcl}_W\text{-restart-mset.cdcl}_W\text{-all-struct-inv } (\text{abs-state } S) \wedge \text{cdcl-bnb } S \ T\} \rangle$
(is $\langle (\forall N. \text{wf } (?A \ N)) \longleftrightarrow \text{wf } ?B \rangle$)

$\langle \text{proof} \rangle$

The following is a slightly more restricted version of the theorem, because it makes it possible to add some specific invariant, which can be useful when the proof of the decreasing is complicated.

lemma *wf-cdcl-bnb-with-additional-inv*:

assumes *improve*: $\langle \bigwedge S \ T. \text{improvep } S \ T \implies P \ S \implies \text{init-clss } S = N \implies (\nu (\text{weight } T), \nu (\text{weight } S)) \in R \rangle$ **and**

wf-R: $\langle \text{wf } R \rangle$ **and**

$\langle \bigwedge S \ T. \text{cdcl-bnb } S \ T \implies P \ S \implies \text{init-clss } S = N \implies \text{cdcl}_W\text{-restart-mset.cdcl}_W\text{-all-struct-inv } (\text{abs-state } S) \implies P \ T \rangle$

shows $\langle \text{wf } \{(T, S). \text{cdcl}_W\text{-restart-mset.cdcl}_W\text{-all-struct-inv } (\text{abs-state } S) \wedge \text{cdcl-bnb } S \ T \wedge P \ S \wedge \text{init-clss } S = N\} \rangle$

(is $\langle \text{wf } ?A \rangle$)

$\langle \text{proof} \rangle$

lemma *conflict-is-false-with-level-abs-iff*:

$\langle \text{cdcl}_W\text{-restart-mset.conflict-is-false-with-level } (\text{abs-state } S) \longleftrightarrow \text{conflict-is-false-with-level } S \rangle$

$\langle \text{proof} \rangle$

lemma *decide-abs-state-decide*:

$\langle \text{cdcl}_W\text{-restart-mset.decide } (\text{abs-state } S) \ T \implies \text{cdcl-bnb-struct-invs } S \implies \text{Ex}(\text{decide } S) \rangle$

$\langle \text{proof} \rangle$

lemma *cdcl-bnb-no-conflicting-clss-cdcl_W*:

assumes $\langle \text{cdcl-bnb } S \ T \rangle$ **and** $\langle \text{conflicting-clss } T = \{\#\} \rangle$

shows $\langle \text{cdcl}_W\text{-restart-mset.cdcl}_W (\text{abs-state } S) (\text{abs-state } T) \wedge \text{conflicting-clss } S = \{\#\} \rangle$

$\langle \text{proof} \rangle$

lemma *rtrancp-cdcl-bnb-no-conflicting-clss-cdcl_W*:

assumes $\langle \text{cdcl-bnb}^{**} S \ T \rangle$ **and** $\langle \text{conflicting-clss } T = \{\#\} \rangle$

shows $\langle \text{cdcl}_W\text{-restart-mset.cdcl}_W^{**} (\text{abs-state } S) (\text{abs-state } T) \wedge \text{conflicting-clss } S = \{\#\} \rangle$

$\langle \text{proof} \rangle$

lemma *conflict-abs-ex-conflict-no-conflicting*:

assumes $\langle \text{cdcl}_W\text{-restart-mset.conflict } (\text{abs-state } S) \ T \rangle$ **and** $\langle \text{conflicting-clss } S = \{\#\} \rangle$

shows $\langle \exists T. \text{conflict } S \ T \rangle$

$\langle \text{proof} \rangle$

lemma *propagate-abs-ex-propagate-no-conflicting*:

assumes $\langle \text{cdcl}_W\text{-restart-mset.propagate } (\text{abs-state } S) \ T \rangle$ **and** $\langle \text{conflicting-clss } S = \{\#\} \rangle$

shows $\langle \exists T. \text{propagate } S \ T \rangle$

$\langle \text{proof} \rangle$

lemma *cdcl-bnb-stgy-no-conflicting-clss-cdcl_W-stgy*:

assumes $\langle \text{cdcl-bnb-stgy } S \ T \rangle$ **and** $\langle \text{conflicting-clss } T = \{\#\} \rangle$

shows $\langle \text{cdcl}_W\text{-restart-mset.cdcl}_W\text{-stgy } (\text{abs-state } S) (\text{abs-state } T) \rangle$

$\langle \text{proof} \rangle$

lemma *rtrancp-cdcl-bnb-stgy-no-conflicting-clss-cdcl_W-stgy*:
assumes $\langle \text{cdcl-bnb-stgy}^{**} S T \rangle$ **and** $\langle \text{conflicting-clss } T = \{\#\} \rangle$
shows $\langle \text{cdcl}_W\text{-restart-mset.cdcl}_W\text{-stgy}^{**} (\text{abs-state } S) (\text{abs-state } T) \rangle$
 $\langle \text{proof} \rangle$

context

assumes *can-always-improve*:
 $\langle \bigwedge S. \text{trail } S \models_{\text{asm}} \text{clauses } S \implies \text{no-step conflict-opt } S \implies$
 $\text{conflicting } S = \text{None} \implies$
 $\text{cdcl}_W\text{-restart-mset.cdcl}_W\text{-all-struct-inv } (\text{abs-state } S) \implies$
 $\text{total-over-m } (\text{lits-of-l } (\text{trail } S)) (\text{set-mset } (\text{clauses } S)) \implies \text{Ex } (\text{improvep } S) \rangle$

begin

The following theorems states a non-obvious (and slightly subtle) property: The fact that there is no conflicting cannot be shown without additional assumption. However, the assumption that every model leads to an improvements implies that we end up with a conflict.

lemma *no-step-cdcl-bnb-cdcl_W*:
assumes
 $n\text{-s}: \langle \text{no-step cdcl-bnb } S \rangle$ **and**
 $\text{struct-inv}: \langle \text{cdcl}_W\text{-restart-mset.cdcl}_W\text{-all-struct-inv } (\text{abs-state } S) \rangle$
shows $\langle \text{no-step cdcl}_W\text{-restart-mset.cdcl}_W (\text{abs-state } S) \rangle$
 $\langle \text{proof} \rangle$

lemma *no-step-cdcl-bnb-stgy*:
assumes
 $n\text{-s}: \langle \text{no-step cdcl-bnb } S \rangle$ **and**
 $\text{all-struct}: \langle \text{cdcl}_W\text{-restart-mset.cdcl}_W\text{-all-struct-inv } (\text{abs-state } S) \rangle$ **and**
 $\text{stgy-inv}: \langle \text{cdcl-bnb-stgy-inv } S \rangle$
shows $\langle \text{conflicting } S = \text{None} \vee \text{conflicting } S = \text{Some } \{\#\} \rangle$
 $\langle \text{proof} \rangle$

lemma *no-step-cdcl-bnb-stgy-empty-conflict*:
assumes
 $n\text{-s}: \langle \text{no-step cdcl-bnb } S \rangle$ **and**
 $\text{all-struct}: \langle \text{cdcl}_W\text{-restart-mset.cdcl}_W\text{-all-struct-inv } (\text{abs-state } S) \rangle$ **and**
 $\text{stgy-inv}: \langle \text{cdcl-bnb-stgy-inv } S \rangle$
shows $\langle \text{conflicting } S = \text{Some } \{\#\} \rangle$
 $\langle \text{proof} \rangle$

lemma *full-cdcl-bnb-stgy-no-conflicting-clss-unsat*:
assumes
 $\text{full}: \langle \text{full cdcl-bnb-stgy } S T \rangle$ **and**
 $\text{all-struct}: \langle \text{cdcl}_W\text{-restart-mset.cdcl}_W\text{-all-struct-inv } (\text{abs-state } S) \rangle$ **and**
 $\text{stgy-inv}: \langle \text{cdcl-bnb-stgy-inv } S \rangle$ **and**
 $\text{ent-init}: \langle \text{cdcl}_W\text{-restart-mset.cdcl}_W\text{-learned-clauses-entailed-by-init } (\text{abs-state } S) \rangle$ **and**
 $[\text{simp}]: \langle \text{conflicting-clss } T = \{\#\} \rangle$
shows $\langle \text{unsatisfiable } (\text{set-mset } (\text{init-clss } S)) \rangle$
 $\langle \text{proof} \rangle$

lemma *ocdcl_W-o-no-smaller-propa*:
assumes $\langle \text{ocdcl}_W\text{-o } S T \rangle$ **and**
 $\text{inv}: \langle \text{cdcl}_W\text{-restart-mset.cdcl}_W\text{-all-struct-inv } (\text{abs-state } S) \rangle$ **and**

smaller-propa: $\langle \text{no-smaller-propa } S \rangle$ **and**
n-s: $\langle \text{no-confl-prop-impr } S \rangle$
shows $\langle \text{no-smaller-propa } T \rangle$
 $\langle \text{proof} \rangle$

lemma *ocdcl_W-no-smaller-propa*:
assumes $\langle \text{cdcl-bnb-stgy } S \ T \rangle$ **and**
inv: $\langle \text{cdcl}_W\text{-restart-mset.cdcl}_W\text{-all-struct-inv } (\text{abs-state } S) \rangle$ **and**
smaller-propa: $\langle \text{no-smaller-propa } S \rangle$ **and**
n-s: $\langle \text{no-confl-prop-impr } S \rangle$
shows $\langle \text{no-smaller-propa } T \rangle$
 $\langle \text{proof} \rangle$

Unfortunately, we cannot reuse the proof we have already done.

lemma *ocdcl_W-no-relearning*:
assumes $\langle \text{cdcl-bnb-stgy } S \ T \rangle$ **and**
inv: $\langle \text{cdcl}_W\text{-restart-mset.cdcl}_W\text{-all-struct-inv } (\text{abs-state } S) \rangle$ **and**
smaller-propa: $\langle \text{no-smaller-propa } S \rangle$ **and**
n-s: $\langle \text{no-confl-prop-impr } S \rangle$ **and**
dist: $\langle \text{distinct-mset } (\text{clauses } S) \rangle$
shows $\langle \text{distinct-mset } (\text{clauses } T) \rangle$
 $\langle \text{proof} \rangle$

lemma *full-cdcl-bnb-stgy-unsat*:
assumes
st: $\langle \text{full cdcl-bnb-stgy } S \ T \rangle$ **and**
all-struct: $\langle \text{cdcl}_W\text{-restart-mset.cdcl}_W\text{-all-struct-inv } (\text{abs-state } S) \rangle$ **and**
opt-struct: $\langle \text{cdcl-bnb-struct-invs } S \rangle$ **and**
stgy-inv: $\langle \text{cdcl-bnb-stgy-inv } S \rangle$
shows
 $\langle \text{unsatisfiable } (\text{set-mset } (\text{clauses } T + \text{conflicting-clss } T)) \rangle$
 $\langle \text{proof} \rangle$

end

lemma *cdcl-bnb-reasons-in-clauses*:
 $\langle \text{cdcl-bnb } S \ T \implies \text{reasons-in-clauses } S \implies \text{reasons-in-clauses } T \rangle$
 $\langle \text{proof} \rangle$

end

OCDCL

This locale includes only the assumption we make on the weight function.

locale *ocdcl-weight* =
fixes
 $\varrho :: \langle 'v \text{ clause} \implies 'a :: \{\text{linorder}\} \rangle$
assumes
 $\varrho\text{-mono}: \langle \text{distinct-mset } B \implies A \subseteq \# B \implies \varrho A \leq \varrho B \rangle$
begin

lemma *ϱ -empty-simp[simp]*:
assumes $\langle \text{consistent-interp } (\text{set-mset } A) \rangle \langle \text{distinct-mset } A \rangle$
shows $\langle \varrho A \geq \varrho \{\#\} \rangle \langle \neg \varrho A < \varrho \{\#\} \rangle \langle \varrho A \leq \varrho \{\#\} \longleftrightarrow \varrho A = \varrho \{\#\} \rangle$

$\langle proof \rangle$

end

This is one of the version of the weight functions used by Christoph Weidenbach.

locale *ocdcl-weight-WB* =

fixes

$\nu :: \langle 'v \text{ literal} \Rightarrow \text{nat} \rangle$

begin

definition $\varrho :: \langle 'v \text{ clause} \Rightarrow \text{nat} \rangle$ **where**

$\langle \varrho M = (\sum A \in \# M. \nu A) \rangle$

sublocale *ocdcl-weight* ϱ

$\langle proof \rangle$

end

The following datatype is equivalent to *'a option*. However, it has the opposite ordering. Therefore, I decided to use a different type instead of have a second order which conflicts with `~~/src/HOL/Library/Option_ord.thy`.

datatype *'a optimal-model* = *Not-Found* | *is-found: Found* (*the-optimal: 'a*)

instantiation *optimal-model* :: (*ord*) *ord*

begin

fun *less-optimal-model* :: $\langle 'a :: \text{ord } \text{optimal-model} \Rightarrow 'a \text{ optimal-model} \Rightarrow \text{bool} \rangle$ **where**

$\langle \text{less-optimal-model } \text{Not-Found } - = \text{False} \rangle$

| $\langle \text{less-optimal-model } (\text{Found } -) \text{ Not-Found} \longleftrightarrow \text{True} \rangle$

| $\langle \text{less-optimal-model } (\text{Found } a) (\text{Found } b) \longleftrightarrow a < b \rangle$

fun *less-eq-optimal-model* :: $\langle 'a :: \text{ord } \text{optimal-model} \Rightarrow 'a \text{ optimal-model} \Rightarrow \text{bool} \rangle$ **where**

$\langle \text{less-eq-optimal-model } \text{Not-Found } \text{Not-Found} = \text{True} \rangle$

| $\langle \text{less-eq-optimal-model } \text{Not-Found } (\text{Found } -) = \text{False} \rangle$

| $\langle \text{less-eq-optimal-model } (\text{Found } -) \text{ Not-Found} \longleftrightarrow \text{True} \rangle$

| $\langle \text{less-eq-optimal-model } (\text{Found } a) (\text{Found } b) \longleftrightarrow a \leq b \rangle$

instance

$\langle proof \rangle$

end

instance *optimal-model* :: (*preorder*) *preorder*

$\langle proof \rangle$

instance *optimal-model* :: (*order*) *order*

$\langle proof \rangle$

instance *optimal-model* :: (*linorder*) *linorder*

$\langle proof \rangle$

instantiation *optimal-model* :: (*wellorder*) *wellorder*

begin

lemma *wf-less-optimal-model*: *wf* $\{(M :: 'a \text{ optimal-model}, N). M < N\}$

$\langle proof \rangle$

```

instance ⟨proof⟩

end

locale conflict-driven-clause-learningW-optimal-weight =
  conflict-driven-clause-learningW
  state-eq
  state
  — functions for the state:
  — access functions:
  trail init-clss learned-clss conflicting
  — changing state:
  cons-trail tl-trail add-learned-cls remove-cls
  update-conflicting
  — get state:
  init-state +
  ocdcl-weight ρ
for
  state-eq :: 'st ⇒ 'st ⇒ bool (infix ~ 50) and
  state :: 'st ⇒ ('v, 'v clause) ann-lits × 'v clauses × 'v clauses × 'v clause option ×
    'v clause option × 'b and
  trail :: 'st ⇒ ('v, 'v clause) ann-lits and
  init-clss :: 'st ⇒ 'v clauses and
  learned-clss :: 'st ⇒ 'v clauses and
  conflicting :: 'st ⇒ 'v clause option and

  cons-trail :: ('v, 'v clause) ann-lit ⇒ 'st ⇒ 'st and
  tl-trail :: 'st ⇒ 'st and
  add-learned-cls :: 'v clause ⇒ 'st ⇒ 'st and
  remove-cls :: 'v clause ⇒ 'st ⇒ 'st and
  update-conflicting :: 'v clause option ⇒ 'st ⇒ 'st and
  init-state :: 'v clauses ⇒ 'st and
  ρ :: ⟨'v clause ⇒ 'a :: {linorder}⟩ +
fixes
  update-additional-info :: ⟨'v clause option × 'b ⇒ 'st ⇒ 'st⟩
assumes
  update-additional-info:
  ⟨state S = (M, N, U, C, K) ⇒ state (update-additional-info K' S) = (M, N, U, C, K')⟩ and
  weight-init-state:
  ⟨∧ N :: 'v clauses. fst (additional-info (init-state N)) = None⟩
begin

definition update-weight-information :: ⟨('v, 'v clause) ann-lits ⇒ 'st ⇒ 'st⟩ where
  ⟨update-weight-information M S =
    update-additional-info (Some (lit-of '# mset M), snd (additional-info S)) S⟩

lemma
  trail-update-additional-info[simp]: ⟨trail (update-additional-info w S) = trail S⟩ and
  init-clss-update-additional-info[simp]:
  ⟨init-clss (update-additional-info w S) = init-clss S⟩ and
  learned-clss-update-additional-info[simp]:
  ⟨learned-clss (update-additional-info w S) = learned-clss S⟩ and
  backtrack-lvl-update-additional-info[simp]:
  ⟨backtrack-lvl (update-additional-info w S) = backtrack-lvl S⟩ and
  conflicting-update-additional-info[simp]:

```

$\langle \text{conflicting } (\text{update-additional-info } w \ S) = \text{conflicting } S \rangle$ **and**
 $\text{clauses-update-additional-info}[simp]:$
 $\langle \text{clauses } (\text{update-additional-info } w \ S) = \text{clauses } S \rangle$
 $\langle \text{proof} \rangle$

lemma

$\text{trail-update-weight-information}[simp]:$
 $\langle \text{trail } (\text{update-weight-information } w \ S) = \text{trail } S \rangle$ **and**
 $\text{init-clss-update-weight-information}[simp]:$
 $\langle \text{init-clss } (\text{update-weight-information } w \ S) = \text{init-clss } S \rangle$ **and**
 $\text{learned-clss-update-weight-information}[simp]:$
 $\langle \text{learned-clss } (\text{update-weight-information } w \ S) = \text{learned-clss } S \rangle$ **and**
 $\text{backtrack-lvl-update-weight-information}[simp]:$
 $\langle \text{backtrack-lvl } (\text{update-weight-information } w \ S) = \text{backtrack-lvl } S \rangle$ **and**
 $\text{conflicting-update-weight-information}[simp]:$
 $\langle \text{conflicting } (\text{update-weight-information } w \ S) = \text{conflicting } S \rangle$ **and**
 $\text{clauses-update-weight-information}[simp]:$
 $\langle \text{clauses } (\text{update-weight-information } w \ S) = \text{clauses } S \rangle$
 $\langle \text{proof} \rangle$

definition *weight* **where**

$\langle \text{weight } S = \text{fst } (\text{additional-info } S) \rangle$

lemma

$\text{additional-info-update-additional-info}[simp]:$
 $\text{additional-info } (\text{update-additional-info } w \ S) = w$
 $\langle \text{proof} \rangle$

lemma

$\text{weight-cons-trail2}[simp]: \langle \text{weight } (\text{cons-trail } L \ S) = \text{weight } S \rangle$ **and**
 $\text{clss-tl-trail2}[simp]: \text{weight } (\text{tl-trail } S) = \text{weight } S$ **and**
 $\text{weight-add-learned-clss-unfolded}:$
 $\text{weight } (\text{add-learned-clss } U \ S) = \text{weight } S$
and
 $\text{weight-update-conflicting2}[simp]: \text{weight } (\text{update-conflicting } D \ S) = \text{weight } S$ **and**
 $\text{weight-remove-clss2}[simp]:$
 $\text{weight } (\text{remove-clss } C \ S) = \text{weight } S$ **and**
 $\text{weight-add-learned-clss2}[simp]:$
 $\text{weight } (\text{add-learned-clss } C \ S) = \text{weight } S$ **and**
 $\text{weight-update-weight-information2}[simp]:$
 $\text{weight } (\text{update-weight-information } M \ S) = \text{Some } (\text{lit-of } \# \ \text{mset } M)$
 $\langle \text{proof} \rangle$

abbreviation $\varrho' :: \langle 'v \ \text{clause option} \Rightarrow 'a \ \text{optimal-model} \rangle$ **where**

$\langle \varrho' \ w \equiv (\text{case } w \ \text{of } \text{None} \Rightarrow \text{Not-Found} \mid \text{Some } w \Rightarrow \text{Found } (\varrho \ w)) \rangle$

definition *is-improving-int*

$:: ('v, 'v \ \text{clause}) \ \text{ann-lits} \Rightarrow ('v, 'v \ \text{clause}) \ \text{ann-lits} \Rightarrow 'v \ \text{clauses} \Rightarrow$
 $'v \ \text{clause option} \Rightarrow \text{bool}$

where

$\langle \text{is-improving-int } M \ M' \ N \ w \longleftrightarrow \text{Found } (\varrho \ (\text{lit-of } \# \ \text{mset } M')) < \varrho' \ w \wedge$
 $M' \models \text{asm } N \wedge \text{no-dup } M' \wedge$
 $\text{lit-of } \# \ \text{mset } M' \in \text{simple-clss } (\text{atms-of-mm } N) \wedge$
 $\text{total-over-m } (\text{lits-of-l } M') \ (\text{set-mset } N) \wedge$
 $(\forall M'. \text{total-over-m } (\text{lits-of-l } M') \ (\text{set-mset } N) \longrightarrow \text{mset } M \subseteq \# \ \text{mset } M' \longrightarrow$
 $\text{lit-of } \# \ \text{mset } M' \in \text{simple-clss } (\text{atms-of-mm } N) \longrightarrow$

$$\varrho (\text{lit-of } \# \text{ mset } M) = \varrho (\text{lit-of } \# \text{ mset } M)$$

definition *too-heavy-clauses*

$\because \langle 'v \text{ clauses} \Rightarrow 'v \text{ clause option} \Rightarrow 'v \text{ clauses} \rangle$

where

$\langle \text{too-heavy-clauses } M \ w = \{ \#p\text{Neg } C \mid C \in \# \text{ mset-set } (\text{simple-clss } (\text{atms-of-mm } M)). \varrho' w \leq \text{Found } (\varrho C) \# \} \rangle$

definition *conflicting-clauses*

$\because \langle 'v \text{ clauses} \Rightarrow 'v \text{ clause option} \Rightarrow 'v \text{ clauses} \rangle$

where

$\langle \text{conflicting-clauses } N \ w = \{ \#C \in \# \text{ mset-set } (\text{simple-clss } (\text{atms-of-mm } N)). \text{too-heavy-clauses } N \ w \models_{pm} C \# \} \rangle$

lemma *too-heavy-clauses-conflicting-clauses:*

$\langle C \in \# \text{ too-heavy-clauses } M \ w \implies C \in \# \text{ conflicting-clauses } M \ w \rangle$
 $\langle \text{proof} \rangle$

lemma *too-heavy-clauses-contains-itself:*

$\langle M \in \text{simple-clss } (\text{atms-of-mm } N) \implies p\text{Neg } M \in \# \text{ too-heavy-clauses } N \ (\text{Some } M) \rangle$
 $\langle \text{proof} \rangle$

lemma *too-heavy-clause-None[simp]:* $\langle \text{too-heavy-clauses } M \ \text{None} = \{ \# \} \rangle$

$\langle \text{proof} \rangle$

lemma *atms-of-mm-too-heavy-clauses-le:*

$\langle \text{atms-of-mm } (\text{too-heavy-clauses } M \ I) \subseteq \text{atms-of-mm } M \rangle$
 $\langle \text{proof} \rangle$

lemma

atms-too-heavy-clauses-None:

$\langle \text{atms-of-mm } (\text{too-heavy-clauses } M \ \text{None}) = \{ \} \rangle$ **and**

atms-too-heavy-clauses-Some:

$\langle \text{atms-of } w \subseteq \text{atms-of-mm } M \implies \text{distinct-mset } w \implies \neg \text{tautology } w \implies \text{atms-of-mm } (\text{too-heavy-clauses } M \ (\text{Some } w)) = \text{atms-of-mm } M \rangle$

$\langle \text{proof} \rangle$

lemma *entails-too-heavy-clauses-too-heavy-clauses:*

assumes

$\langle \text{consistent-interp } I \rangle$ **and**

$\text{tot}: \langle \text{total-over-m } I \ (\text{set-mset } (\text{too-heavy-clauses } M \ w)) \rangle$ **and**

$\langle I \models_m \text{too-heavy-clauses } M \ w \rangle$ **and**

$w: \langle w \neq \text{None} \implies \text{atms-of } (the \ w) \subseteq \text{atms-of-mm } M \rangle$

$\langle w \neq \text{None} \implies \neg \text{tautology } (the \ w) \rangle$

$\langle w \neq \text{None} \implies \text{distinct-mset } (the \ w) \rangle$

shows $\langle I \models_m \text{conflicting-clauses } M \ w \rangle$

$\langle \text{proof} \rangle$

sublocale *conflict-driven-clause-learning_w*

where

$\text{state-eq} = \text{state-eq}$ **and**

$\text{state} = \text{state}$ **and**

$\text{trail} = \text{trail}$ **and**

$\text{init-clss} = \text{init-clss}$ **and**

$\text{learned-clss} = \text{learned-clss}$ **and**

$\text{conflicting} = \text{conflicting}$ **and**

cons-trail = *cons-trail* **and**
tl-trail = *tl-trail* **and**
add-learned-cls = *add-learned-cls* **and**
remove-cls = *remove-cls* **and**
update-conflicting = *update-conflicting* **and**
init-state = *init-state*
 ⟨proof⟩

sublocale *conflict-driven-clause-learning-with-adding-init-clause-cost_W-no-state*
where

state = *state* **and**
trail = *trail* **and**
init-clss = *init-clss* **and**
learned-clss = *learned-clss* **and**
conflicting = *conflicting* **and**
cons-trail = *cons-trail* **and**
tl-trail = *tl-trail* **and**
add-learned-cls = *add-learned-cls* **and**
remove-cls = *remove-cls* **and**
update-conflicting = *update-conflicting* **and**
init-state = *init-state* **and**
weight = *weight* **and**
update-weight-information = *update-weight-information* **and**
is-improving-int = *is-improving-int* **and**
conflicting-clauses = *conflicting-clauses*
 ⟨proof⟩

lemma *state-additional-info'*:

⟨*state* *S* = (*trail* *S*, *init-clss* *S*, *learned-clss* *S*, *conflicting* *S*, *weight* *S*, *additional-info'* *S*)

⟨proof⟩

lemma *state-update-weight-information*:

⟨*state* *S* = (*M*, *N*, *U*, *C*, *w*, *other*) \implies
 $\exists w'$. *state* (*update-weight-information* *T* *S*) = (*M*, *N*, *U*, *C*, *w'*, *other*)

⟨proof⟩

lemma *conflicting-clss-incl-init-clss*:

⟨*atms-of-mm* (*conflicting-clss* *S*) \subseteq *atms-of-mm* (*init-clss* *S*)

⟨proof⟩

lemma *distinct-mset-mset-conflicting-clss2*: ⟨*distinct-mset-mset* (*conflicting-clss* *S*)

⟨proof⟩

lemma *too-heavy-clauses-mono*:

⟨ ϱ *a* > ϱ (*lit-of* ‘# *mset* *M*) \implies *too-heavy-clauses* *N* (*Some* *a*) \subseteq #
too-heavy-clauses *N* (*Some* (*lit-of* ‘# *mset* *M*))

⟨proof⟩

lemma *is-improving-conflicting-clss-update-weight-information*: ⟨*is-improving* *M* *M'* *S* \implies

conflicting-clss *S* \subseteq # *conflicting-clss* (*update-weight-information* *M'* *S*)

⟨proof⟩

lemma *conflicting-clss-update-weight-information-in2*:

assumes ⟨*is-improving* *M* *M'* *S*⟩

shows ⟨*negate-ann-lits* *M'* \in # *conflicting-clss* (*update-weight-information* *M'* *S*)

⟨proof⟩

lemma *atms-of-init-clss-conflicting-clss[simp]*:

$\langle \text{atms-of-mm } (\text{init-clss } S) \cup \text{atms-of-mm } (\text{conflicting-clss } S) = \text{atms-of-mm } (\text{init-clss } S) \rangle$
 $\langle \text{proof} \rangle$

lemma *lit-of-trail-in-simple-clss*: $\langle \text{cdcl}_W\text{-restart-mset.cdcl}_W\text{-all-struct-inv } (\text{abs-state } S) \implies$

$\text{lit-of } \# \text{ mset } (\text{trail } S) \in \text{simple-clss } (\text{atms-of-mm } (\text{init-clss } S)) \rangle$
 $\langle \text{proof} \rangle$

lemma *pNeg-lit-of-trail-in-simple-clss*: $\langle \text{cdcl}_W\text{-restart-mset.cdcl}_W\text{-all-struct-inv } (\text{abs-state } S) \implies$

$\text{pNeg } (\text{lit-of } \# \text{ mset } (\text{trail } S)) \in \text{simple-clss } (\text{atms-of-mm } (\text{init-clss } S)) \rangle$
 $\langle \text{proof} \rangle$

lemma *conflict-clss-update-weight-no-alien*:

$\langle \text{atms-of-mm } (\text{conflicting-clss } (\text{update-weight-information } M \ S))$
 $\subseteq \text{atms-of-mm } (\text{init-clss } S) \rangle$
 $\langle \text{proof} \rangle$

sublocale *state_W-no-state*

where

state = *state* **and**
trail = *trail* **and**
init-clss = *init-clss* **and**
learned-clss = *learned-clss* **and**
conflicting = *conflicting* **and**
cons-trail = *cons-trail* **and**
tl-trail = *tl-trail* **and**
add-learned-clss = *add-learned-clss* **and**
remove-clss = *remove-clss* **and**
update-conflicting = *update-conflicting* **and**
init-state = *init-state*
 $\langle \text{proof} \rangle$

sublocale *state_W-no-state*

where

state-eq = *state-eq* **and**
state = *state* **and**
trail = *trail* **and**
init-clss = *init-clss* **and**
learned-clss = *learned-clss* **and**
conflicting = *conflicting* **and**
cons-trail = *cons-trail* **and**
tl-trail = *tl-trail* **and**
add-learned-clss = *add-learned-clss* **and**
remove-clss = *remove-clss* **and**
update-conflicting = *update-conflicting* **and**
init-state = *init-state*
 $\langle \text{proof} \rangle$

sublocale *conflict-driven-clause-learning_W*

where

state-eq = *state-eq* **and**
state = *state* **and**
trail = *trail* **and**
init-clss = *init-clss* **and**
learned-clss = *learned-clss* **and**

conflicting = *conflicting* **and**
cons-trail = *cons-trail* **and**
tl-trail = *tl-trail* **and**
add-learned-cls = *add-learned-cls* **and**
remove-cls = *remove-cls* **and**
update-conflicting = *update-conflicting* **and**
init-state = *init-state*
 ⟨proof⟩

sublocale *conflict-driven-clause-learning-with-adding-init-clause-cost_W-ops*
where

state = *state* **and**
trail = *trail* **and**
init-clss = *init-clss* **and**
learned-clss = *learned-clss* **and**
conflicting = *conflicting* **and**
cons-trail = *cons-trail* **and**
tl-trail = *tl-trail* **and**
add-learned-cls = *add-learned-cls* **and**
remove-cls = *remove-cls* **and**
update-conflicting = *update-conflicting* **and**
init-state = *init-state* **and**
weight = *weight* **and**
update-weight-information = *update-weight-information* **and**
is-improving-int = *is-improving-int* **and**
conflicting-clauses = *conflicting-clauses*
 ⟨proof⟩

lemma *wf-cdcl-bnb-fixed*:

⟨wf {(T, S). *cdcl_W-restart-mset.cdcl_W-all-struct-inv* (*abs-state* S) ∧ *cdcl-bnb* S T
 ∧ *init-clss* S = N}⟩
 ⟨proof⟩

lemma *wf-cdcl-bnb2*:

⟨wf {(T, S). *cdcl_W-restart-mset.cdcl_W-all-struct-inv* (*abs-state* S)
 ∧ *cdcl-bnb* S T}⟩
 ⟨proof⟩

lemma *not-entailed-too-heavy-clauses-ge*:

⟨C ∈ *simple-clss* (*atms-of-mm* N) ⇒ ¬ *too-heavy-clauses* N w ⊨_{pm} pNeg C ⇒ ¬Found (q C) ≥ q'
 w)⟩
 ⟨proof⟩

lemma *pNeg-simple-clss-iff[simp]*:

⟨pNeg C ∈ *simple-clss* N ⇔ C ∈ *simple-clss* N⟩
 ⟨proof⟩

lemma *can-always-improve*:

assumes
ent: ⟨*trail* S ⊨_{asm} *clauses* S⟩ **and**
total: ⟨*total-over-m* (*lits-of-l* (*trail* S)) (*set-mset* (*clauses* S))⟩ **and**
n-s: ⟨*no-step conflict-opt* S⟩ **and**
conf: ⟨*conflicting* S = None⟩ **and**
all-struct: ⟨*cdcl_W-restart-mset.cdcl_W-all-struct-inv* (*abs-state* S)⟩
shows ⟨Ex (*improvep* S)⟩
 ⟨proof⟩

lemma *no-step-cdcl-bnb-stgy-empty-conflict2*:

assumes

n-s: $\langle \text{no-step cdcl-bnb } S \rangle$ **and**

all-struct: $\langle \text{cdcl}_W\text{-restart-mset.cdcl}_W\text{-all-struct-inv (abs-state } S) \rangle$ **and**

stgy-inv: $\langle \text{cdcl-bnb-stgy-inv } S \rangle$

shows $\langle \text{conflicting } S = \text{Some } \{\#\} \rangle$

$\langle \text{proof} \rangle$

lemma *cdcl-bnb-larger-still-larger*:

assumes

$\langle \text{cdcl-bnb } S \ T \rangle$

shows $\langle \varrho' (\text{weight } S) \geq \varrho' (\text{weight } T) \rangle$

$\langle \text{proof} \rangle$

lemma *obacktrack-model-still-model*:

assumes

$\langle \text{obacktrack } S \ T \rangle$ **and**

all-struct: $\langle \text{cdcl}_W\text{-restart-mset.cdcl}_W\text{-all-struct-inv (abs-state } S) \rangle$ **and**

ent: $\langle \text{set-mset } I \models_{\text{sm}} \text{clauses } S \rangle$ $\langle \text{set-mset } I \models_{\text{sm}} \text{conflicting-clss } S \rangle$ **and**

dist: $\langle \text{distinct-mset } I \rangle$ **and**

cons: $\langle \text{consistent-interp (set-mset } I) \rangle$ **and**

tot: $\langle \text{atms-of } I = \text{atms-of-mm (init-clss } S) \rangle$ **and**

opt-struct: $\langle \text{cdcl-bnb-struct-invs } S \rangle$ **and**

le: $\langle \text{Found } (\varrho \ I) < \varrho' (\text{weight } T) \rangle$

shows

$\langle \text{set-mset } I \models_{\text{sm}} \text{clauses } T \wedge \text{set-mset } I \models_{\text{sm}} \text{conflicting-clss } T \rangle$

$\langle \text{proof} \rangle$

lemma *entails-too-heavy-clauses-if-le*:

assumes

dist: $\langle \text{distinct-mset } I \rangle$ **and**

cons: $\langle \text{consistent-interp (set-mset } I) \rangle$ **and**

tot: $\langle \text{atms-of } I = \text{atms-of-mm } N \rangle$ **and**

le: $\langle \text{Found } (\varrho \ I) < \varrho' (\text{Some } M') \rangle$

shows

$\langle \text{set-mset } I \models_m \text{too-heavy-clauses } N (\text{Some } M') \rangle$

$\langle \text{proof} \rangle$

lemma *entails-conflicting-clauses-if-le*:

fixes M''

defines $\langle M' \equiv \text{lit-of } \# \text{ mset } M'' \rangle$

assumes

dist: $\langle \text{distinct-mset } I \rangle$ **and**

cons: $\langle \text{consistent-interp (set-mset } I) \rangle$ **and**

tot: $\langle \text{atms-of } I = \text{atms-of-mm } N \rangle$ **and**

le: $\langle \text{Found } (\varrho \ I) < \varrho' (\text{Some } M') \rangle$ **and**

$\langle \text{is-improving-int } M \ M'' \ N \ w \rangle$

shows

$\langle \text{set-mset } I \models_m \text{conflicting-clauses } N (\text{weight (update-weight-information } M'' \ S)) \rangle$

$\langle \text{proof} \rangle$

lemma *improve-model-still-model*:

assumes

$\langle \text{improvep } S \ T \rangle$ **and**
 $\text{all-struct: } \langle \text{cdcl}_W\text{-restart-mset.cdcl}_W\text{-all-struct-inv } (\text{abs-state } S) \rangle$ **and**
 $\text{ent: } \langle \text{set-mset } I \models_{sm} \text{clauses } S \rangle \ \langle \text{set-mset } I \models_{sm} \text{conflicting-clss } S \rangle$ **and**
 $\text{dist: } \langle \text{distinct-mset } I \rangle$ **and**
 $\text{cons: } \langle \text{consistent-interp } (\text{set-mset } I) \rangle$ **and**
 $\text{tot: } \langle \text{atms-of } I = \text{atms-of-mm } (\text{init-clss } S) \rangle$ **and**
 $\text{opt-struct: } \langle \text{cdcl-bnb-struct-invs } S \rangle$ **and**
 $\text{le: } \langle \text{Found } (\varrho \ I) < \varrho' \ (\text{weight } T) \rangle$

shows

$\langle \text{set-mset } I \models_{sm} \text{clauses } T \wedge \text{set-mset } I \models_{sm} \text{conflicting-clss } T \rangle$
 $\langle \text{proof} \rangle$

lemma *cdcl-bnb-still-model:*

assumes

$\langle \text{cdcl-bnb } S \ T \rangle$ **and**
 $\text{all-struct: } \langle \text{cdcl}_W\text{-restart-mset.cdcl}_W\text{-all-struct-inv } (\text{abs-state } S) \rangle$ **and**
 $\text{ent: } \langle \text{set-mset } I \models_{sm} \text{clauses } S \rangle \ \langle \text{set-mset } I \models_{sm} \text{conflicting-clss } S \rangle$ **and**
 $\text{dist: } \langle \text{distinct-mset } I \rangle$ **and**
 $\text{cons: } \langle \text{consistent-interp } (\text{set-mset } I) \rangle$ **and**
 $\text{tot: } \langle \text{atms-of } I = \text{atms-of-mm } (\text{init-clss } S) \rangle$ **and**
 $\text{opt-struct: } \langle \text{cdcl-bnb-struct-invs } S \rangle$

shows

$\langle \text{set-mset } I \models_{sm} \text{clauses } T \wedge \text{set-mset } I \models_{sm} \text{conflicting-clss } T \rangle \vee \text{Found } (\varrho \ I) \geq \varrho' \ (\text{weight } T) \rangle$
 $\langle \text{proof} \rangle$

lemma *rtrancpl-cdcl-bnb-still-model:*

assumes

$\text{st: } \langle \text{cdcl-bnb}^{**} \ S \ T \rangle$ **and**
 $\text{all-struct: } \langle \text{cdcl}_W\text{-restart-mset.cdcl}_W\text{-all-struct-inv } (\text{abs-state } S) \rangle$ **and**
 $\text{ent: } \langle (\text{set-mset } I \models_{sm} \text{clauses } S \wedge \text{set-mset } I \models_{sm} \text{conflicting-clss } S) \vee \text{Found } (\varrho \ I) \geq \varrho' \ (\text{weight } S) \rangle$ **and**
 $\text{dist: } \langle \text{distinct-mset } I \rangle$ **and**
 $\text{cons: } \langle \text{consistent-interp } (\text{set-mset } I) \rangle$ **and**
 $\text{tot: } \langle \text{atms-of } I = \text{atms-of-mm } (\text{init-clss } S) \rangle$ **and**
 $\text{opt-struct: } \langle \text{cdcl-bnb-struct-invs } S \rangle$

shows

$\langle (\text{set-mset } I \models_{sm} \text{clauses } T \wedge \text{set-mset } I \models_{sm} \text{conflicting-clss } T) \vee \text{Found } (\varrho \ I) \geq \varrho' \ (\text{weight } T) \rangle$
 $\langle \text{proof} \rangle$

lemma *full-cdcl-bnb-stgy-larger-or-equal-weight:*

assumes

$\text{st: } \langle \text{full cdcl-bnb-stgy } S \ T \rangle$ **and**
 $\text{all-struct: } \langle \text{cdcl}_W\text{-restart-mset.cdcl}_W\text{-all-struct-inv } (\text{abs-state } S) \rangle$ **and**
 $\text{ent: } \langle (\text{set-mset } I \models_{sm} \text{clauses } S \wedge \text{set-mset } I \models_{sm} \text{conflicting-clss } S) \vee \text{Found } (\varrho \ I) \geq \varrho' \ (\text{weight } S) \rangle$ **and**
 $\text{dist: } \langle \text{distinct-mset } I \rangle$ **and**
 $\text{cons: } \langle \text{consistent-interp } (\text{set-mset } I) \rangle$ **and**
 $\text{tot: } \langle \text{atms-of } I = \text{atms-of-mm } (\text{init-clss } S) \rangle$ **and**
 $\text{opt-struct: } \langle \text{cdcl-bnb-struct-invs } S \rangle$ **and**
 $\text{stgy-inv: } \langle \text{cdcl-bnb-stgy-inv } S \rangle$

shows

$\langle \text{Found } (\varrho \ I) \geq \varrho' \ (\text{weight } T) \rangle$ **and**
 $\langle \text{unsatisfiable } (\text{set-mset } (\text{clauses } T + \text{conflicting-clss } T)) \rangle$
 $\langle \text{proof} \rangle$

lemma *full-cdcl-bnb-stgy-unsat2*:

assumes

st: $\langle \text{full cdcl-bnb-stgy } S \ T \rangle$ **and**

all-struct: $\langle \text{cdcl}_W\text{-restart-mset.cdcl}_W\text{-all-struct-inv } (\text{abs-state } S) \rangle$ **and**

opt-struct: $\langle \text{cdcl-bnb-struct-invs } S \rangle$ **and**

stgy-inv: $\langle \text{cdcl-bnb-stgy-inv } S \rangle$

shows

$\langle \text{unsatisfiable } (\text{set-mset } (\text{clauses } T + \text{conflicting-clss } T)) \rangle$

$\langle \text{proof} \rangle$

lemma *weight-init-state2[simp]*: $\langle \text{weight } (\text{init-state } S) = \text{None} \rangle$ **and**

conflicting-clss-init-state[simp]:

$\langle \text{conflicting-clss } (\text{init-state } N) = \{\#\} \rangle$

$\langle \text{proof} \rangle$

First part of Theorem 2.15.6 of Weidenbach's book

lemma *full-cdcl-bnb-stgy-no-conflicting-clause-unsat*:

assumes

st: $\langle \text{full cdcl-bnb-stgy } S \ T \rangle$ **and**

all-struct: $\langle \text{cdcl}_W\text{-restart-mset.cdcl}_W\text{-all-struct-inv } (\text{abs-state } S) \rangle$ **and**

opt-struct: $\langle \text{cdcl-bnb-struct-invs } S \rangle$ **and**

stgy-inv: $\langle \text{cdcl-bnb-stgy-inv } S \rangle$ **and**

[simp]: $\langle \text{weight } T = \text{None} \rangle$ **and**

ent: $\langle \text{cdcl}_W\text{-learned-clauses-entailed-by-init } S \rangle$

shows $\langle \text{unsatisfiable } (\text{set-mset } (\text{init-clss } S)) \rangle$

$\langle \text{proof} \rangle$

definition *annotation-is-model* **where**

$\langle \text{annotation-is-model } S \longleftrightarrow$

$(\text{weight } S \neq \text{None} \longrightarrow (\text{set-mset } (\text{the } (\text{weight } S))) \models_{\text{sm}} \text{init-clss } S \wedge$

$\text{consistent-interp } (\text{set-mset } (\text{the } (\text{weight } S)))) \wedge$

$\text{atms-of } (\text{the } (\text{weight } S)) \subseteq \text{atms-of-mm } (\text{init-clss } S) \wedge$

$\text{total-over-m } (\text{set-mset } (\text{the } (\text{weight } S))) (\text{set-mset } (\text{init-clss } S)) \wedge$

$\text{distinct-mset } (\text{the } (\text{weight } S)))) \rangle$

lemma *cdcl-bnb-annotation-is-model*:

assumes

$\langle \text{cdcl-bnb } S \ T \rangle$ **and**

$\langle \text{cdcl}_W\text{-restart-mset.cdcl}_W\text{-all-struct-inv } (\text{abs-state } S) \rangle$ **and**

$\langle \text{annotation-is-model } S \rangle$

shows $\langle \text{annotation-is-model } T \rangle$

$\langle \text{proof} \rangle$

lemma *rtrancpl-cdcl-bnb-annotation-is-model*:

$\langle \text{cdcl-bnb}^{**} S \ T \implies \text{cdcl}_W\text{-restart-mset.cdcl}_W\text{-all-struct-inv } (\text{abs-state } S) \implies$

$\text{annotation-is-model } S \implies \text{annotation-is-model } T \rangle$

$\langle \text{proof} \rangle$

Theorem 2.15.6 of Weidenbach's book

theorem *full-cdcl-bnb-stgy-no-conflicting-clause-from-init-state*:

assumes

st: $\langle \text{full cdcl-bnb-stgy } (\text{init-state } N) \ T \rangle$ **and**

dist: $\langle \text{distinct-mset-mset } N \rangle$

shows

$\langle \text{weight } T = \text{None} \implies \text{unsatisfiable } (\text{set-mset } N) \rangle$ **and**

$\langle \text{weight } T \neq \text{None} \implies \text{consistent-interp } (\text{set-mset } (\text{the } (\text{weight } T))) \wedge$
 $\text{atms-of } (\text{the } (\text{weight } T)) \subseteq \text{atms-of-mm } N \wedge \text{set-mset } (\text{the } (\text{weight } T)) \models_{sm} N \wedge$
 $\text{total-over-m } (\text{set-mset } (\text{the } (\text{weight } T))) (\text{set-mset } N) \wedge$
 $\text{distinct-mset } (\text{the } (\text{weight } T)) \rangle \text{ and}$
 $\langle \text{distinct-mset } I \implies \text{consistent-interp } (\text{set-mset } I) \implies \text{atms-of } I = \text{atms-of-mm } N \implies$
 $\text{set-mset } I \models_{sm} N \implies \text{Found } (\varrho I) \geq \varrho' (\text{weight } T) \rangle$
 $\langle \text{proof} \rangle$

lemma *pruned-clause-in-conflicting-clss:*

assumes

$ge: \langle \bigwedge M'. \text{total-over-m } (\text{set-mset } (\text{mset } (M @ M'))) (\text{set-mset } (\text{init-clss } S)) \implies$
 $\text{distinct-mset } (\text{atm-of } \# \text{ mset } (M @ M')) \implies$
 $\text{consistent-interp } (\text{set-mset } (\text{mset } (M @ M'))) \implies$
 $\text{Found } (\varrho (\text{mset } (M @ M'))) \geq \varrho' (\text{weight } S) \rangle \text{ and}$
 $atm: \langle \text{atms-of } (\text{mset } M) \subseteq \text{atms-of-mm } (\text{init-clss } S) \rangle \text{ and}$
 $dist: \langle \text{distinct } M \rangle \text{ and}$
 $cons: \langle \text{consistent-interp } (\text{set } M) \rangle$

shows $\langle pNeg (\text{mset } M) \in \# \text{ conflicting-clss } S \rangle$

$\langle \text{proof} \rangle$

Alternative versions

Calculus with simple Improve rule

To make sure that the paper version of the correct, we restrict the previous calculus to exactly the rules that are on paper.

inductive *pruning* :: $\langle 'st \Rightarrow 'st \Rightarrow \text{bool} \rangle$ **where**

pruning-rule:

$\langle \text{pruning } S T \rangle$

if

$\langle \bigwedge M'. \text{total-over-m } (\text{set-mset } (\text{mset } (\text{map lit-of } (\text{trail } S) @ M'))) (\text{set-mset } (\text{init-clss } S)) \implies$
 $\text{distinct-mset } (\text{atm-of } \# \text{ mset } (\text{map lit-of } (\text{trail } S) @ M')) \implies$
 $\text{consistent-interp } (\text{set-mset } (\text{mset } (\text{map lit-of } (\text{trail } S) @ M'))) \implies$
 $\varrho' (\text{weight } S) \leq \text{Found } (\varrho (\text{mset } (\text{map lit-of } (\text{trail } S) @ M')))) \rangle$
 $\langle \text{conflicting } S = \text{None} \rangle$
 $\langle T \sim \text{update-conflicting } (\text{Some } (\text{negate-ann-lits } (\text{trail } S))) S \rangle$

inductive *oconflict-opt* :: $\langle 'st \Rightarrow 'st \Rightarrow \text{bool} \rangle$ **for** $S T :: 'st$ **where**

oconflict-opt-rule:

$\langle \text{oconflict-opt } S T \rangle$

if

$\langle \text{Found } (\varrho (\text{lit-of } \# \text{ mset } (\text{trail } S))) \geq \varrho' (\text{weight } S) \rangle$
 $\langle \text{conflicting } S = \text{None} \rangle$
 $\langle T \sim \text{update-conflicting } (\text{Some } (\text{negate-ann-lits } (\text{trail } S))) S \rangle$

inductive *improve* :: $\langle 'st \Rightarrow 'st \Rightarrow \text{bool} \rangle$ **for** $S T :: 'st$ **where**

improve-rule:

$\langle \text{improve } S T \rangle$

if

$\langle \text{total-over-m } (\text{lits-of-l } (\text{trail } S)) (\text{set-mset } (\text{init-clss } S)) \rangle$
 $\langle \text{Found } (\varrho (\text{lit-of } \# \text{ mset } (\text{trail } S))) < \varrho' (\text{weight } S) \rangle$
 $\langle \text{trail } S \models_{asm} \text{init-clss } S \rangle$
 $\langle \text{conflicting } S = \text{None} \rangle$
 $\langle T \sim \text{update-weight-information } (\text{trail } S) S \rangle$

This is the basic version of the calculus:

inductive $ocdcl_w :: \langle 'st \Rightarrow 'st \Rightarrow bool \rangle$ **for** $S :: 'st$ **where**

$ocdcl_conflict$: $conflict\ S\ S' \Longrightarrow ocdcl_w\ S\ S' \mid$
 $ocdcl_propagate$: $propagate\ S\ S' \Longrightarrow ocdcl_w\ S\ S' \mid$
 $ocdcl_improve$: $improve\ S\ S' \Longrightarrow ocdcl_w\ S\ S' \mid$
 $ocdcl_conflict_opt$: $oconflict_opt\ S\ S' \Longrightarrow ocdcl_w\ S\ S' \mid$
 $ocdcl_other'$: $ocdcl_W-o\ S\ S' \Longrightarrow ocdcl_w\ S\ S' \mid$
 $ocdcl_pruning$: $pruning\ S\ S' \Longrightarrow ocdcl_w\ S\ S'$

inductive $ocdcl_w_stgy :: \langle 'st \Rightarrow 'st \Rightarrow bool \rangle$ **for** $S :: 'st$ **where**

$ocdcl_w_conflict$: $conflict\ S\ S' \Longrightarrow ocdcl_w_stgy\ S\ S' \mid$
 $ocdcl_w_propagate$: $propagate\ S\ S' \Longrightarrow ocdcl_w_stgy\ S\ S' \mid$
 $ocdcl_w_improve$: $improve\ S\ S' \Longrightarrow ocdcl_w_stgy\ S\ S' \mid$
 $ocdcl_w_conflict_opt$: $conflict_opt\ S\ S' \Longrightarrow ocdcl_w_stgy\ S\ S' \mid$
 $ocdcl_w_other'$: $ocdcl_W-o\ S\ S' \Longrightarrow no_conflict_prop_impr\ S \Longrightarrow ocdcl_w_stgy\ S\ S'$

lemma $pruning_conflict_opt$:

assumes $ocdcl_pruning$: $\langle pruning\ S\ T \rangle$ **and**
 inv : $\langle cdcl_W-restart-mset.cdcl_W-all-struct-inv\ (abs-state\ S) \rangle$
shows $\langle conflict_opt\ S\ T \rangle$

$\langle proof \rangle$

lemma $ocdcl_conflict_opt_conflict_opt$:

assumes $ocdcl_pruning$: $\langle oconflict_opt\ S\ T \rangle$ **and**
 inv : $\langle cdcl_W-restart-mset.cdcl_W-all-struct-inv\ (abs-state\ S) \rangle$
shows $\langle conflict_opt\ S\ T \rangle$

$\langle proof \rangle$

lemma $improve_improvep$:

assumes imp : $\langle improve\ S\ T \rangle$ **and**
 inv : $\langle cdcl_W-restart-mset.cdcl_W-all-struct-inv\ (abs-state\ S) \rangle$
shows $\langle improvep\ S\ T \rangle$

$\langle proof \rangle$

lemma $ocdcl_w_cdcl_bnb$:

assumes $\langle ocdcl_w\ S\ T \rangle$ **and**
 inv : $\langle cdcl_W-restart-mset.cdcl_W-all-struct-inv\ (abs-state\ S) \rangle$
shows $\langle cdcl_bnb\ S\ T \rangle$

$\langle proof \rangle$

lemma $ocdcl_w_stgy_cdcl_bnb_stgy$:

assumes $\langle ocdcl_w_stgy\ S\ T \rangle$ **and**
 inv : $\langle cdcl_W-restart-mset.cdcl_W-all-struct-inv\ (abs-state\ S) \rangle$
shows $\langle cdcl_bnb_stgy\ S\ T \rangle$

$\langle proof \rangle$

lemma $rtrancp_ocdcl_w_stgy_rtrancp_cdcl_bnb_stgy$:

assumes $\langle ocdcl_w_stgy^{**}\ S\ T \rangle$ **and**
 inv : $\langle cdcl_W-restart-mset.cdcl_W-all-struct-inv\ (abs-state\ S) \rangle$
shows $\langle cdcl_bnb_stgy^{**}\ S\ T \rangle$

$\langle proof \rangle$

lemma $no_step_ocdcl_w_no_step_cdcl_bnb$:

assumes $\langle no_step\ ocdcl_w\ S \rangle$ **and**
 inv : $\langle cdcl_W-restart-mset.cdcl_W-all-struct-inv\ (abs-state\ S) \rangle$

shows $\langle \text{no-step cdcl-bnb } S \rangle$
 $\langle \text{proof} \rangle$

lemma *all-struct-init-state-distinct-iff*:
 $\langle \text{cdcl}_W\text{-restart-mset.cdcl}_W\text{-all-struct-inv (abs-state (init-state } N)) \longleftrightarrow$
 $\text{distinct-mset-mset } N \rangle$
 $\langle \text{proof} \rangle$

lemma *no-step-ocdcl_w-stgy-no-step-cdcl-bnb-stgy*:
assumes $\langle \text{no-step ocdcl}_w\text{-stgy } S \rangle$ **and**
 $\text{inv: } \langle \text{cdcl}_W\text{-restart-mset.cdcl}_W\text{-all-struct-inv (abs-state } S) \rangle$
shows $\langle \text{no-step cdcl-bnb-stgy } S \rangle$
 $\langle \text{proof} \rangle$

lemma *full-ocdcl_w-stgy-full-cdcl-bnb-stgy*:
assumes $\langle \text{full ocdcl}_w\text{-stgy } S \ T \rangle$ **and**
 $\text{inv: } \langle \text{cdcl}_W\text{-restart-mset.cdcl}_W\text{-all-struct-inv (abs-state } S) \rangle$
shows $\langle \text{full cdcl-bnb-stgy } S \ T \rangle$
 $\langle \text{proof} \rangle$

corollary *full-ocdcl_w-stgy-no-conflicting-clause-from-init-state*:
assumes
 $\text{st: } \langle \text{full ocdcl}_w\text{-stgy (init-state } N) \ T \rangle$ **and**
 $\text{dist: } \langle \text{distinct-mset-mset } N \rangle$
shows
 $\langle \text{weight } T = \text{None} \implies \text{unsatisfiable (set-mset } N) \rangle$ **and**
 $\langle \text{weight } T \neq \text{None} \implies \text{model-on (set-mset (the (weight } T))) \ N \wedge \text{set-mset (the (weight } T))} \models_{sm} N$
 \wedge
 $\langle \text{distinct-mset (the (weight } T))} \rangle$ **and**
 $\langle \text{distinct-mset } I \implies \text{consistent-interp (set-mset } I) \implies \text{atms-of } I = \text{atms-of-mm } N \implies$
 $\text{set-mset } I \models_{sm} N \implies \text{Found } (\varrho \ I) \geq \varrho' \ (\text{weight } T) \rangle$
 $\langle \text{proof} \rangle$

lemma *wf-ocdcl_w*:
 $\langle \text{wf } \{(T, S). \text{cdcl}_W\text{-restart-mset.cdcl}_W\text{-all-struct-inv (abs-state } S) \wedge \text{ocdcl}_w \ S \ T\} \rangle$
 $\langle \text{proof} \rangle$

Calculus with generalised Improve rule

Now a version with the more general improve rule:

inductive *ocdcl_w-p* :: $\langle 'st \Rightarrow 'st \Rightarrow \text{bool} \rangle$ **for** $S :: 'st$ **where**
ocdcl-conflict: $\text{conflict } S \ S' \implies \text{ocdcl}_w\text{-p } S \ S' \mid$
ocdcl-propagate: $\text{propagate } S \ S' \implies \text{ocdcl}_w\text{-p } S \ S' \mid$
ocdcl-improve: $\text{improvep } S \ S' \implies \text{ocdcl}_w\text{-p } S \ S' \mid$
ocdcl-conflict-opt: $\text{oconflict-opt } S \ S' \implies \text{ocdcl}_w\text{-p } S \ S' \mid$
ocdcl-other': $\text{ocdcl}_W\text{-o } S \ S' \implies \text{ocdcl}_w\text{-p } S \ S' \mid$
ocdcl-pruning: $\text{pruning } S \ S' \implies \text{ocdcl}_w\text{-p } S \ S'$

inductive *ocdcl_w-p-stgy* :: $\langle 'st \Rightarrow 'st \Rightarrow \text{bool} \rangle$ **for** $S :: 'st$ **where**
ocdcl_w-p-conflict: $\text{conflict } S \ S' \implies \text{ocdcl}_w\text{-p-stgy } S \ S' \mid$
ocdcl_w-p-propagate: $\text{propagate } S \ S' \implies \text{ocdcl}_w\text{-p-stgy } S \ S' \mid$
ocdcl_w-p-improve: $\text{improvep } S \ S' \implies \text{ocdcl}_w\text{-p-stgy } S \ S' \mid$
ocdcl_w-p-conflict-opt: $\text{conflict-opt } S \ S' \implies \text{ocdcl}_w\text{-p-stgy } S \ S' \mid$

ocdcl_w-p-pruning: $\text{pruning } S S' \implies \text{ocdcl}_w\text{-p-stgy } S S' \mid$
ocdcl_w-p-other': $\text{ocdcl}_W\text{-o } S S' \implies \text{no-confli-prop-impr } S \implies \text{ocdcl}_w\text{-p-stgy } S S'$

lemma *ocdcl_w-p-cdcl-bnb*:

assumes $\langle \text{ocdcl}_w\text{-p } S T \rangle$ **and**

inv: $\langle \text{cdcl}_W\text{-restart-mset.cdcl}_W\text{-all-struct-inv } (\text{abs-state } S) \rangle$

shows $\langle \text{cdcl-bnb } S T \rangle$

$\langle \text{proof} \rangle$

lemma *ocdcl_w-p-stgy-cdcl-bnb-stgy*:

assumes $\langle \text{ocdcl}_w\text{-p-stgy } S T \rangle$ **and**

inv: $\langle \text{cdcl}_W\text{-restart-mset.cdcl}_W\text{-all-struct-inv } (\text{abs-state } S) \rangle$

shows $\langle \text{cdcl-bnb-stgy } S T \rangle$

$\langle \text{proof} \rangle$

lemma *rtrancpl-ocdcl_w-p-stgy-rtrancpl-cdcl-bnb-stgy*:

assumes $\langle \text{ocdcl}_w\text{-p-stgy}^{**} S T \rangle$ **and**

inv: $\langle \text{cdcl}_W\text{-restart-mset.cdcl}_W\text{-all-struct-inv } (\text{abs-state } S) \rangle$

shows $\langle \text{cdcl-bnb-stgy}^{**} S T \rangle$

$\langle \text{proof} \rangle$

lemma *no-step-ocdcl_w-p-no-step-cdcl-bnb*:

assumes $\langle \text{no-step ocdcl}_w\text{-p } S \rangle$ **and**

inv: $\langle \text{cdcl}_W\text{-restart-mset.cdcl}_W\text{-all-struct-inv } (\text{abs-state } S) \rangle$

shows $\langle \text{no-step cdcl-bnb } S \rangle$

$\langle \text{proof} \rangle$

lemma *no-step-ocdcl_w-p-stgy-no-step-cdcl-bnb-stgy*:

assumes $\langle \text{no-step ocdcl}_w\text{-p-stgy } S \rangle$ **and**

inv: $\langle \text{cdcl}_W\text{-restart-mset.cdcl}_W\text{-all-struct-inv } (\text{abs-state } S) \rangle$

shows $\langle \text{no-step cdcl-bnb-stgy } S \rangle$

$\langle \text{proof} \rangle$

lemma *full-ocdcl_w-p-stgy-full-cdcl-bnb-stgy*:

assumes $\langle \text{full ocdcl}_w\text{-p-stgy } S T \rangle$ **and**

inv: $\langle \text{cdcl}_W\text{-restart-mset.cdcl}_W\text{-all-struct-inv } (\text{abs-state } S) \rangle$

shows $\langle \text{full cdcl-bnb-stgy } S T \rangle$

$\langle \text{proof} \rangle$

corollary *full-ocdcl_w-p-stgy-no-conflicting-clause-from-init-state*:

assumes

st: $\langle \text{full ocdcl}_w\text{-p-stgy } (\text{init-state } N) T \rangle$ **and**

dist: $\langle \text{distinct-mset-mset } N \rangle$

shows

$\langle \text{weight } T = \text{None} \implies \text{unsatisfiable } (\text{set-mset } N) \rangle$ **and**

$\langle \text{weight } T \neq \text{None} \implies \text{model-on } (\text{set-mset } (\text{the } (\text{weight } T))) N \wedge \text{set-mset } (\text{the } (\text{weight } T)) \models_{sm} N$

\wedge

$\langle \text{distinct-mset } (\text{the } (\text{weight } T)) \rangle$ **and**

$\langle \text{distinct-mset } I \implies \text{consistent-interp } (\text{set-mset } I) \implies \text{atms-of } I = \text{atms-of-mm } N \implies$

$\text{set-mset } I \models_{sm} N \implies \text{Found } (\varrho I) \geq \varrho' (\text{weight } T) \rangle$

$\langle \text{proof} \rangle$

lemma *cdcl-bnb-stgy-no-smaller-propa*:

$\langle \text{cdcl-bnb-stgy } S T \implies \text{cdcl}_W\text{-restart-mset.cdcl}_W\text{-all-struct-inv } (\text{abs-state } S) \implies$

no-smaller-propa S \implies *no-smaller-propa T*
 $\langle \text{proof} \rangle$

lemma *rtrancp-cdcl-bnb-stgy-no-smaller-propa*:

$\langle \text{cdcl-bnb-stgy}^{**} S T \implies \text{cdcl}_W\text{-restart-mset.cdcl}_W\text{-all-struct-inv (abs-state S)} \implies$
no-smaller-propa S \implies *no-smaller-propa T*
 $\langle \text{proof} \rangle$

lemma *wf-ocdcl_w-p*:

$\langle \text{wf } \{(T, S). \text{cdcl}_W\text{-restart-mset.cdcl}_W\text{-all-struct-inv (abs-state S)} \wedge \text{ocdcl}_w\text{-p S T}\} \rangle$
 $\langle \text{proof} \rangle$

end

end

theory *CDCL-W-Partial-Encoding*

imports *CDCL-W-Optimal-Model*

begin

0.1.2 Encoding of partial SAT into total SAT

As a way to make sure we don't reuse theorems names:

interpretation *test: conflict-driven-clause-learning_W-optimal-weight* **where**

state-eq = $\langle (=) \rangle$ **and**
state = *id* **and**
trail = $\langle \lambda(M, N, U, D, W). M \rangle$ **and**
init-clss = $\langle \lambda(M, N, U, D, W). N \rangle$ **and**
learned-clss = $\langle \lambda(M, N, U, D, W). U \rangle$ **and**
conflicting = $\langle \lambda(M, N, U, D, W). D \rangle$ **and**
cons-trail = $\langle \lambda K (M, N, U, D, W). (K \# M, N, U, D, W) \rangle$ **and**
tl-trail = $\langle \lambda(M, N, U, D, W). (tl M, N, U, D, W) \rangle$ **and**
add-learned-cls = $\langle \lambda C (M, N, U, D, W). (M, N, \text{add-mset } C U, D, W) \rangle$ **and**
remove-cls = $\langle \lambda C (M, N, U, D, W). (M, \text{removeAll-mset } C N, \text{removeAll-mset } C U, D, W) \rangle$ **and**
update-conflicting = $\langle \lambda C (M, N, U, -, W). (M, N, U, C, W) \rangle$ **and**
init-state = $\langle \lambda N. ([], N, \{\#\}, \text{None}, \text{None}, ()) \rangle$ **and**
g = $\langle \lambda -. 0 \rangle$ **and**
update-additional-info = $\langle \lambda W (M, N, U, D, -, -). (M, N, U, D, W) \rangle$
 $\langle \text{proof} \rangle$

We here formalise the encoding from a formula to another formula from which we will use to derive the optimal partial model.

While the proofs are still inspired by Dominic Zimmer's upcoming bachelor thesis, we now use the dual rail encoding, which is more elegant than the solution found by Christoph to solve the problem.

The intended meaning is the following:

- Σ is the set of all variables
- $\Delta\Sigma$ is the set of all variables with a (possibly non-zero) weight: These are the variable that needs to be replaced during encoding, but it does not matter if the weight 0.

locale *optimal-encoding-opt* = *conflict-driven-clause-learning_W-optimal-weight*
state-eq

```

state
— functions for the state:
— access functions:
trail init-clss learned-clss conflicting
— changing state:
cons-trail tl-trail add-learned-cls remove-cls
update-conflicting

— get state:
init-state
 $\varrho$ 
update-additional-info
for
state-eq :: 'st  $\Rightarrow$  'st  $\Rightarrow$  bool (infix ~ 50) and
state :: 'st  $\Rightarrow$  ('v, 'v clause) ann-lits  $\times$  'v clauses  $\times$  'v clauses  $\times$  'v clause option  $\times$ 
      'v clause option  $\times$  'b and
trail :: 'st  $\Rightarrow$  ('v, 'v clause) ann-lits and
init-clss :: 'st  $\Rightarrow$  'v clauses and
learned-clss :: 'st  $\Rightarrow$  'v clauses and
conflicting :: 'st  $\Rightarrow$  'v clause option and

cons-trail :: ('v, 'v clause) ann-lit  $\Rightarrow$  'st  $\Rightarrow$  'st and
tl-trail :: 'st  $\Rightarrow$  'st and
add-learned-cls :: 'v clause  $\Rightarrow$  'st  $\Rightarrow$  'st and
remove-cls :: 'v clause  $\Rightarrow$  'st  $\Rightarrow$  'st and
update-conflicting :: 'v clause option  $\Rightarrow$  'st  $\Rightarrow$  'st and

init-state :: 'v clauses  $\Rightarrow$  'st and
 $\varrho$  :: ('v clause  $\Rightarrow$  'a :: {linorder}) and
update-additional-info :: ('v clause option  $\times$  'b  $\Rightarrow$  'st  $\Rightarrow$  'st) +
fixes  $\Sigma \Delta\Sigma$  :: ('v set) and
new-vars :: ('v  $\Rightarrow$  'v  $\times$  'v)
begin

abbreviation replacement-pos :: ('v  $\Rightarrow$  'v)  $((-)^{\mapsto^1} 100)$  where
  replacement-pos A  $\equiv$  fst (new-vars A)

abbreviation replacement-neg :: ('v  $\Rightarrow$  'v)  $((-)^{\mapsto^0} 100)$  where
  replacement-neg A  $\equiv$  snd (new-vars A)

fun encode-lit where
  encode-lit (Pos A) = (if A  $\in \Delta\Sigma$  then Pos (replacement-pos A) else Pos A) |
  encode-lit (Neg A) = (if A  $\in \Delta\Sigma$  then Pos (replacement-neg A) else Neg A)

lemma encode-lit-alt-def:
  encode-lit A = (if atm-of A  $\in \Delta\Sigma$ 
    then Pos (if is-pos A then replacement-pos (atm-of A) else replacement-neg (atm-of A))
    else A)
  proof

definition encode-clause :: ('v clause  $\Rightarrow$  'v clause) where
  encode-clause C = encode-lit '# C'

lemma encode-clause-simp[simp]:
  encode-clause {#} = {#}

```

$\langle \text{encode-clause } (\text{add-mset } A \ C) = \text{add-mset } (\text{encode-lit } A) \ (\text{encode-clause } C) \rangle$
 $\langle \text{encode-clause } (C + D) = \text{encode-clause } C + \text{encode-clause } D \rangle$
 $\langle \text{proof} \rangle$

definition *encode-clauses* :: $\langle 'v \text{ clauses} \Rightarrow 'v \text{ clauses} \rangle$ **where**
 $\langle \text{encode-clauses } C = \text{encode-clause } \# \ C \rangle$

lemma *encode-clauses-simp*[simp]:
 $\langle \text{encode-clauses } \{ \# \} = \{ \# \} \rangle$
 $\langle \text{encode-clauses } (\text{add-mset } A \ C) = \text{add-mset } (\text{encode-clause } A) \ (\text{encode-clauses } C) \rangle$
 $\langle \text{encode-clauses } (C + D) = \text{encode-clauses } C + \text{encode-clauses } D \rangle$
 $\langle \text{proof} \rangle$

definition *additional-constraint* :: $\langle 'v \Rightarrow 'v \text{ clauses} \rangle$ **where**
 $\langle \text{additional-constraint } A =$
 $\{ \# \{ \# \text{Neg } (A^{\mapsto 1}), \text{Neg } (A^{\mapsto 0}) \# \} \# \} \rangle$

definition *additional-constraints* :: $\langle 'v \text{ clauses} \rangle$ **where**
 $\langle \text{additional-constraints} = \bigcup \# (\text{additional-constraint } \# \ (\text{mset-set } \Delta\Sigma)) \rangle$

definition *penc* :: $\langle 'v \text{ clauses} \Rightarrow 'v \text{ clauses} \rangle$ **where**
 $\langle \text{penc } N = \text{encode-clauses } N + \text{additional-constraints} \rangle$

lemma *size-encode-clauses*[simp]: $\langle \text{size } (\text{encode-clauses } N) = \text{size } N \rangle$
 $\langle \text{proof} \rangle$

lemma *size-penc*:
 $\langle \text{size } (\text{penc } N) = \text{size } N + \text{card } \Delta\Sigma \rangle$
 $\langle \text{proof} \rangle$

lemma *atms-of-mm-additional-constraints*: $\langle \text{finite } \Delta\Sigma \implies$
 $\text{atms-of-mm additional-constraints} = \text{replacement-pos } \# \ \Delta\Sigma \cup \text{replacement-neg } \# \ \Delta\Sigma \rangle$
 $\langle \text{proof} \rangle$

lemma *atms-of-mm-encode-clause-subset*:
 $\langle \text{atms-of-mm } (\text{encode-clauses } N) \subseteq (\text{atms-of-mm } N - \Delta\Sigma) \cup \text{replacement-pos } \# \ \{ A \in \Delta\Sigma. A \in$
 $\text{atms-of-mm } N \}$
 $\cup \text{replacement-neg } \# \ \{ A \in \Delta\Sigma. A \in \text{atms-of-mm } N \} \rangle$
 $\langle \text{proof} \rangle$

In every meaningful application of the theorem below, we have $\Delta\Sigma \subseteq \text{atms-of-mm } N$.

lemma *atms-of-mm-penc-subset*: $\langle \text{finite } \Delta\Sigma \implies$
 $\text{atms-of-mm } (\text{penc } N) \subseteq \text{atms-of-mm } N \cup \text{replacement-pos } \# \ \Delta\Sigma$
 $\cup \text{replacement-neg } \# \ \Delta\Sigma \cup \Delta\Sigma \rangle$
 $\langle \text{proof} \rangle$

lemma *atms-of-mm-encode-clause-subset2*: $\langle \text{finite } \Delta\Sigma \implies \Delta\Sigma \subseteq \text{atms-of-mm } N \implies$
 $\text{atms-of-mm } N \subseteq \text{atms-of-mm } (\text{encode-clauses } N) \cup \Delta\Sigma \rangle$
 $\langle \text{proof} \rangle$

lemma *atms-of-mm-penc-subset2*: $\langle \text{finite } \Delta\Sigma \implies \Delta\Sigma \subseteq \text{atms-of-mm } N \implies$
 $\text{atms-of-mm } (\text{penc } N) = (\text{atms-of-mm } N - \Delta\Sigma) \cup \text{replacement-pos } \# \ \Delta\Sigma \cup \text{replacement-neg } \# \ \Delta\Sigma \rangle$
 $\langle \text{proof} \rangle$

theorem *card-atms-of-mm-penc*:
assumes $\langle \text{finite } \Delta\Sigma \rangle$ **and** $\langle \Delta\Sigma \subseteq \text{atms-of-mm } N \rangle$

shows $\langle \text{card } (\text{atms-of-mm } (\text{penc } N)) \leq \text{card } (\text{atms-of-mm } N - \Delta\Sigma) + 2 * \text{card } \Delta\Sigma \rangle$ **(is** $\langle ?A \leq ?B \rangle$)
 $\langle \text{proof} \rangle$

definition $\text{postp} :: \langle 'v \text{ partial-interp} \Rightarrow 'v \text{ partial-interp} \rangle$ **where**

$\langle \text{postp } I =$
 $\{A \in I. \text{atm-of } A \notin \Delta\Sigma \wedge \text{atm-of } A \in \Sigma\} \cup \text{Pos } ' \{A. A \in \Delta\Sigma \wedge \text{Pos } (\text{replacement-pos } A) \in I\}$
 $\cup \text{Neg } ' \{A. A \in \Delta\Sigma \wedge \text{Pos } (\text{replacement-neg } A) \in I \wedge \text{Pos } (\text{replacement-pos } A) \notin I\} \rangle$

lemma $\text{preprocess-clss-model-additional-variables2}$:

assumes

$\langle \text{atm-of } A \in \Sigma - \Delta\Sigma \rangle$

shows

$\langle A \in \text{postp } I \longleftrightarrow A \in I \rangle$ **(is** $\langle ?A \rangle$)

$\langle \text{proof} \rangle$

lemma encode-clause-iff :

assumes

$\langle \bigwedge A. A \in \Delta\Sigma \implies \text{Pos } A \in I \longleftrightarrow \text{Pos } (\text{replacement-pos } A) \in I \rangle$

$\langle \bigwedge A. A \in \Delta\Sigma \implies \text{Neg } A \in I \longleftrightarrow \text{Pos } (\text{replacement-neg } A) \in I \rangle$

shows $\langle I \models \text{encode-clause } C \longleftrightarrow I \models C \rangle$

$\langle \text{proof} \rangle$

lemma $\text{encode-clauses-iff}$:

assumes

$\langle \bigwedge A. A \in \Delta\Sigma \implies \text{Pos } A \in I \longleftrightarrow \text{Pos } (\text{replacement-pos } A) \in I \rangle$

$\langle \bigwedge A. A \in \Delta\Sigma \implies \text{Neg } A \in I \longleftrightarrow \text{Pos } (\text{replacement-neg } A) \in I \rangle$

shows $\langle I \models_m \text{encode-clauses } C \longleftrightarrow I \models_m C \rangle$

$\langle \text{proof} \rangle$

definition Σ_{add} **where**

$\langle \Sigma_{\text{add}} = \text{replacement-pos } ' \Delta\Sigma \cup \text{replacement-neg } ' \Delta\Sigma \rangle$

definition $\text{upostp} :: \langle 'v \text{ partial-interp} \Rightarrow 'v \text{ partial-interp} \rangle$ **where**

$\langle \text{upostp } I =$
 $\text{Neg } ' \{A \in \Sigma. A \notin \Delta\Sigma \wedge \text{Pos } A \notin I \wedge \text{Neg } A \notin I\}$
 $\cup \{A \in I. \text{atm-of } A \in \Sigma \wedge \text{atm-of } A \notin \Delta\Sigma\}$
 $\cup \text{Pos } ' \text{replacement-pos } ' \{A \in \Delta\Sigma. \text{Pos } A \in I\}$
 $\cup \text{Neg } ' \text{replacement-pos } ' \{A \in \Delta\Sigma. \text{Pos } A \notin I\}$
 $\cup \text{Pos } ' \text{replacement-neg } ' \{A \in \Delta\Sigma. \text{Neg } A \in I\}$
 $\cup \text{Neg } ' \text{replacement-neg } ' \{A \in \Delta\Sigma. \text{Neg } A \notin I\} \rangle$

lemma $\text{atm-of-upostp-subset}$:

$\langle \text{atm-of } ' (\text{upostp } I) \subseteq$
 $(\text{atm-of } ' I - \Delta\Sigma) \cup \text{replacement-pos } ' \Delta\Sigma \cup$
 $\text{replacement-neg } ' \Delta\Sigma \cup \Sigma \rangle$

$\langle \text{proof} \rangle$

inductive $\text{odecide} :: \langle 'st \Rightarrow 'st \Rightarrow \text{bool} \rangle$ **where**

$\text{odecide-noweight}: \langle \text{odecide } S \ T \rangle$

if

$\langle \text{conflicting } S = \text{None} \rangle$ **and**

$\langle \text{undefined-lit } (\text{trail } S) \ L \rangle$ **and**

$\langle \text{atm-of } L \in \text{atms-of-mm } (\text{init-clss } S) \rangle$ **and**

$\langle T \sim \text{cons-trail } (\text{Decided } L) \ S \rangle$ **and**

```

  ⟨atm-of  $L \in \Sigma - \Delta\Sigma$ ⟩ |
  odecide-replacement-pos: ⟨odecide  $S \ T$ ⟩
if
  ⟨conflicting  $S = \text{None}$ ⟩ and
  ⟨undefined-lit (trail  $S$ ) (Pos (replacement-pos  $L$ ))⟩ and
  ⟨ $T \sim \text{cons-trail (Decided (Pos (replacement-pos  $L$ ))) } S$ ⟩ and
  ⟨ $L \in \Delta\Sigma$ ⟩ |
  odecide-replacement-neg: ⟨odecide  $S \ T$ ⟩
if
  ⟨conflicting  $S = \text{None}$ ⟩ and
  ⟨undefined-lit (trail  $S$ ) (Pos (replacement-neg  $L$ ))⟩ and
  ⟨ $T \sim \text{cons-trail (Decided (Pos (replacement-neg  $L$ ))) } S$ ⟩ and
  ⟨ $L \in \Delta\Sigma$ ⟩

inductive-cases odecideE: ⟨odecide  $S \ T$ ⟩

definition no-new-lonely-clause :: ⟨'v clause  $\Rightarrow$  bool⟩ where
  ⟨no-new-lonely-clause  $C \longleftrightarrow$ 
    ( $\forall L \in \Delta\Sigma. L \in \text{atms-of } C \longrightarrow$ 
      Neg (replacement-pos  $L$ )  $\in\# C \vee$  Neg (replacement-neg  $L$ )  $\in\# C \vee C \in\# \text{additional-constraint}$ 
       $L$ )⟩

definition lonely-weighted-lit-decided where
  ⟨lonely-weighted-lit-decided  $S \longleftrightarrow$ 
    ( $\forall L \in \Delta\Sigma. \text{Decided (Pos } L) \notin \text{set (trail } S) \wedge \text{Decided (Neg } L) \notin \text{set (trail } S)$ )⟩

end

locale optimal-encoding = optimal-encoding-opt
  state-eq
  state
  — functions for the state:
  — access functions:
  trail init-clss learned-clss conflicting
  — changing state:
  cons-trail tl-trail add-learned-cls remove-cls
  update-conflicting

  — get state:
  init-state
   $\varnothing$ 
  update-additional-info
   $\Sigma \ \Delta\Sigma$ 
  new-vars
for
  state-eq :: 'st  $\Rightarrow$  'st  $\Rightarrow$  bool (infix  $\sim 50$ ) and
  state :: 'st  $\Rightarrow$  ('v, 'v clause) ann-lits  $\times$  'v clauses  $\times$  'v clauses  $\times$  'v clause option  $\times$ 
    'v clause option  $\times$  'b and
  trail :: 'st  $\Rightarrow$  ('v, 'v clause) ann-lits and
  init-clss :: 'st  $\Rightarrow$  'v clauses and
  learned-clss :: 'st  $\Rightarrow$  'v clauses and
  conflicting :: 'st  $\Rightarrow$  'v clause option and
  cons-trail :: ('v, 'v clause) ann-lit  $\Rightarrow$  'st  $\Rightarrow$  'st and
  tl-trail :: 'st  $\Rightarrow$  'st and
  add-learned-cls :: 'v clause  $\Rightarrow$  'st  $\Rightarrow$  'st and

```

$remove_cls :: 'v \text{ clause} \Rightarrow 'st \Rightarrow 'st \text{ and}$
 $update_conflicting :: 'v \text{ clause option} \Rightarrow 'st \Rightarrow 'st \text{ and}$

 $init_state :: 'v \text{ clauses} \Rightarrow 'st \text{ and}$
 $\varrho :: \langle 'v \text{ clause} \Rightarrow 'a :: \{linorder\} \rangle \text{ and}$
 $update_additional_info :: \langle 'v \text{ clause option} \times 'b \Rightarrow 'st \Rightarrow 'st \rangle \text{ and}$
 $\Sigma \Delta\Sigma :: \langle 'v \text{ set} \rangle \text{ and}$
 $new_vars :: \langle 'v \Rightarrow 'v \times 'v \rangle +$
assumes
 $finite_Sigma:$
 $\langle finite \Delta\Sigma \rangle \text{ and}$
 $\Delta\Sigma_Sigma:$
 $\langle \Delta\Sigma \subseteq \Sigma \rangle \text{ and}$
 $new_vars_pos:$
 $\langle A \in \Delta\Sigma \implies replacement_pos A \notin \Sigma \rangle \text{ and}$
 $new_vars_neg:$
 $\langle A \in \Delta\Sigma \implies replacement_neg A \notin \Sigma \rangle \text{ and}$
 $new_vars_dist:$
 $\langle inj_on \ replacement_pos \Delta\Sigma \rangle$
 $\langle inj_on \ replacement_neg \Delta\Sigma \rangle$
 $\langle replacement_pos \text{ ‘ } \Delta\Sigma \cap replacement_neg \text{ ‘ } \Delta\Sigma = \{\} \rangle \text{ and}$
 $\Sigma_no_weight:$
 $\langle atm_of \ C \in \Sigma - \Delta\Sigma \implies \varrho (add_mset \ C \ M) = \varrho \ M \rangle$

begin

lemma *new-vars-dist2:*

$\langle A \in \Delta\Sigma \implies B \in \Delta\Sigma \implies A \neq B \implies replacement_pos A \neq replacement_pos B \rangle$
 $\langle A \in \Delta\Sigma \implies B \in \Delta\Sigma \implies A \neq B \implies replacement_neg A \neq replacement_neg B \rangle$
 $\langle A \in \Delta\Sigma \implies B \in \Delta\Sigma \implies replacement_neg A \neq replacement_pos B \rangle$
 $\langle proof \rangle$

lemma *consistent-interp-postp:*

$\langle consistent_interp \ I \implies consistent_interp \ (postp \ I) \rangle$
 $\langle proof \rangle$

The reverse of the previous theorem does not hold due to the filtering on the variables of $\Delta\Sigma$. One example of version that holds:

lemma

assumes $\langle A \in \Delta\Sigma \rangle$
shows $\langle consistent_interp \ (postp \ \{Pos \ A, \ Neg \ A\}) \rangle \text{ and}$
 $\langle \neg consistent_interp \ \{Pos \ A, \ Neg \ A\} \rangle$
 $\langle proof \rangle$

Some more restricted version of the reverse hold, like:

lemma *consistent-interp-postp-iff:*

$\langle atm_of \text{ ‘ } I \subseteq \Sigma - \Delta\Sigma \implies consistent_interp \ I \longleftrightarrow consistent_interp \ (postp \ I) \rangle$
 $\langle proof \rangle$

lemma *new-vars-different-iff[simp]:*

$\langle A \neq x^{\mapsto 1} \rangle$
 $\langle A \neq x^{\mapsto 0} \rangle$
 $\langle x^{\mapsto 1} \neq A \rangle$
 $\langle x^{\mapsto 0} \neq A \rangle$
 $\langle A^{\mapsto 0} \neq x^{\mapsto 1} \rangle$

$\langle A^{\mapsto 1} \neq x^{\mapsto 0} \rangle$
 $\langle A^{\mapsto 0} = x^{\mapsto 0} \longleftrightarrow A = x \rangle$
 $\langle A^{\mapsto 1} = x^{\mapsto 1} \longleftrightarrow A = x \rangle$
 $\langle (A^{\mapsto 1}) \notin \Sigma \rangle$
 $\langle (A^{\mapsto 0}) \notin \Sigma \rangle$
 $\langle (A^{\mapsto 1}) \notin \Delta\Sigma \rangle$
 $\langle (A^{\mapsto 0}) \notin \Delta\Sigma \rangle$ **if** $\langle A \in \Delta\Sigma \rangle$ $\langle x \in \Delta\Sigma \rangle$ **for** $A \ x$
 $\langle \text{proof} \rangle$

lemma *consistent-interp-upostp*:

$\langle \text{consistent-interp } I \implies \text{consistent-interp } (\text{upostp } I) \rangle$
 $\langle \text{proof} \rangle$

lemma *atm-of-upostp-subset2*:

$\langle \text{atm-of } 'I \subseteq \Sigma \implies \text{replacement-pos } ' \Delta\Sigma \cup$
 $\text{replacement-neg } ' \Delta\Sigma \cup (\Sigma - \Delta\Sigma) \subseteq \text{atm-of } ' (\text{upostp } I) \rangle$
 $\langle \text{proof} \rangle$

lemma $\Delta\Sigma$ -notin-upost[simp]:

$\langle y \in \Delta\Sigma \implies \text{Neg } y \notin \text{upostp } I \rangle$
 $\langle y \in \Delta\Sigma \implies \text{Pos } y \notin \text{upostp } I \rangle$
 $\langle \text{proof} \rangle$

lemma *penc-ent-upostp*:

assumes $\Sigma: \langle \text{atms-of-mm } N = \Sigma \rangle$ **and**
 $\text{sat}: \langle I \models_{sm} N \rangle$ **and**
 $\text{cons}: \langle \text{consistent-interp } I \rangle$ **and**
 $\text{atm}: \langle \text{atm-of } 'I \subseteq \text{atms-of-mm } N \rangle$
shows $\langle \text{upostp } I \models_m \text{penc } N \rangle$
 $\langle \text{proof} \rangle$

lemma *satisfiable-penc*:

assumes $\Sigma: \langle \text{atms-of-mm } N = \Sigma \rangle$ **and**
 $\text{sat}: \langle \text{satisfiable } (\text{set-mset } N) \rangle$
shows $\langle \text{satisfiable } (\text{set-mset } (\text{penc } N)) \rangle$
 $\langle \text{proof} \rangle$

lemma *penc-ent-postp*:

assumes $\Sigma: \langle \text{atms-of-mm } N = \Sigma \rangle$ **and**
 $\text{sat}: \langle I \models_{sm} \text{penc } N \rangle$ **and**
 $\text{cons}: \langle \text{consistent-interp } I \rangle$
shows $\langle \text{postp } I \models_m N \rangle$
 $\langle \text{proof} \rangle$

lemma *satisfiable-penc-satisfiable*:

assumes $\Sigma: \langle \text{atms-of-mm } N = \Sigma \rangle$ **and**
 $\text{sat}: \langle \text{satisfiable } (\text{set-mset } (\text{penc } N)) \rangle$
shows $\langle \text{satisfiable } (\text{set-mset } N) \rangle$
 $\langle \text{proof} \rangle$

lemma *satisfiable-penc-iff*:

assumes $\Sigma: \langle \text{atms-of-mm } N = \Sigma \rangle$
shows $\langle \text{satisfiable } (\text{set-mset } (\text{penc } N)) \longleftrightarrow \text{satisfiable } (\text{set-mset } N) \rangle$
 $\langle \text{proof} \rangle$

abbreviation $\varrho_e\text{-filter} :: \langle 'v \text{ literal multiset} \Rightarrow 'v \text{ literal multiset} \rangle$ **where**
 $\langle \varrho_e\text{-filter } M \equiv \{ \#L \in \# \text{ poss } (\text{mset-set } \Delta\Sigma). \text{ Pos } (\text{atm-of } L^{\mapsto 1}) \in \# M\# \} +$
 $\{ \#L \in \# \text{ negs } (\text{mset-set } \Delta\Sigma). \text{ Pos } (\text{atm-of } L^{\mapsto 0}) \in \# M\# \} \rangle$

definition $\varrho_e :: \langle 'v \text{ literal multiset} \Rightarrow 'a :: \{ \text{linorder} \} \rangle$ **where**
 $\langle \varrho_e M = \varrho (\varrho_e\text{-filter } M) \rangle$

lemma $\varrho_e\text{-mono}$: $\langle \text{distinct-mset } B \Longrightarrow A \subseteq \# B \Longrightarrow \varrho_e A \leq \varrho_e B \rangle$
 $\langle \text{proof} \rangle$

interpretation enc-weight-opt : $\text{conflict-driven-clause-learning}_W\text{-optimal-weight}$ **where**
 $\text{state-eq} = \text{state-eq}$ **and**
 $\text{state} = \text{state}$ **and**
 $\text{trail} = \text{trail}$ **and**
 $\text{init-clss} = \text{init-clss}$ **and**
 $\text{learned-clss} = \text{learned-clss}$ **and**
 $\text{conflicting} = \text{conflicting}$ **and**
 $\text{cons-trail} = \text{cons-trail}$ **and**
 $\text{tl-trail} = \text{tl-trail}$ **and**
 $\text{add-learned-cl} = \text{add-learned-cl}$ **and**
 $\text{remove-cl} = \text{remove-cl}$ **and**
 $\text{update-conflicting} = \text{update-conflicting}$ **and**
 $\text{init-state} = \text{init-state}$ **and**
 $\varrho = \varrho_e$ **and**
 $\text{update-additional-info} = \text{update-additional-info}$
 $\langle \text{proof} \rangle$

lemma $\Sigma\text{-no-weight-}\varrho_e$: $\langle \text{atm-of } C \in \Sigma - \Delta\Sigma \Longrightarrow \varrho_e (\text{add-mset } C M) = \varrho_e M \rangle$
 $\langle \text{proof} \rangle$

lemma $\varrho\text{-cancel-notin-}\Delta\Sigma$:
 $\langle (\bigwedge x. x \in \# M \Longrightarrow \text{atm-of } x \in \Sigma - \Delta\Sigma) \Longrightarrow \varrho (M + M') = \varrho M' \rangle$
 $\langle \text{proof} \rangle$

lemma $\varrho\text{-mono2}$:
 $\langle \text{consistent-interp } (\text{set-mset } M') \Longrightarrow \text{distinct-mset } M' \Longrightarrow$
 $(\bigwedge A. A \in \# M \Longrightarrow \text{atm-of } A \in \Sigma) \Longrightarrow (\bigwedge A. A \in \# M' \Longrightarrow \text{atm-of } A \in \Sigma) \Longrightarrow$
 $\{ \#A \in \# M. \text{atm-of } A \in \Delta\Sigma\# \} \subseteq \# \{ \#A \in \# M'. \text{atm-of } A \in \Delta\Sigma\# \} \Longrightarrow \varrho M \leq \varrho M' \rangle$
 $\langle \text{proof} \rangle$

lemma finite-upostp : $\langle \text{finite } I \Longrightarrow \text{finite } \Sigma \Longrightarrow \text{finite } (\text{upostp } I) \rangle$
 $\langle \text{proof} \rangle$

declare $\text{finite-}\Sigma[\text{simp}]$

lemma $\text{consistent-interp-unionI}$:

$\langle \text{consistent-interp } A \Longrightarrow \text{consistent-interp } B \Longrightarrow (\bigwedge a. a \in A \Longrightarrow -a \notin B) \Longrightarrow (\bigwedge a. a \in B \Longrightarrow -a \notin A) \Longrightarrow$
 $\text{consistent-interp } (A \cup B) \rangle$
 $\langle \text{proof} \rangle$

lemma $\text{consistent-interp-poss}$: $\langle \text{consistent-interp } (\text{Pos } 'A) \rangle$ **and**
 $\text{consistent-interp-negs}$: $\langle \text{consistent-interp } (\text{Neg } 'A) \rangle$
 $\langle \text{proof} \rangle$

lemma ϱ_e -upostp- ϱ :
assumes $[simp]$: $\langle \text{finite } \Sigma \rangle$ **and**
 $\langle \text{finite } I \rangle$ **and**
 cons : $\langle \text{consistent-interp } I \rangle$ **and**
 I - Σ : $\langle \text{atm-of } I \subseteq \Sigma \rangle$
shows $\langle \varrho_e (\text{mset-set } (\text{upostp } I)) = \varrho (\text{mset-set } I) \rangle$ (**is** $\langle ?A = ?B \rangle$)
 $\langle \text{proof} \rangle$

lemma *encode-lit-eq-iff*:
 $\langle \text{atm-of } x \in \Sigma \implies \text{atm-of } y \in \Sigma \implies \text{encode-lit } x = \text{encode-lit } y \longleftrightarrow x = y \rangle$
 $\langle \text{proof} \rangle$

lemma *distinct-mset-encode-clause-iff*:
 $\langle \text{atms-of } N \subseteq \Sigma \implies \text{distinct-mset } (\text{encode-clause } N) \longleftrightarrow \text{distinct-mset } N \rangle$
 $\langle \text{proof} \rangle$

lemma *distinct-mset-encodes-clause-iff*:
 $\langle \text{atms-of-mm } N \subseteq \Sigma \implies \text{distinct-mset-mset } (\text{encode-clauses } N) \longleftrightarrow \text{distinct-mset-mset } N \rangle$
 $\langle \text{proof} \rangle$

lemma *distinct-additional-constraints[simp]*:
 $\langle \text{distinct-mset-mset additional-constraints} \rangle$
 $\langle \text{proof} \rangle$

lemma *distinct-mset-penc*:
 $\langle \text{atms-of-mm } N \subseteq \Sigma \implies \text{distinct-mset-mset } (\text{penc } N) \longleftrightarrow \text{distinct-mset-mset } N \rangle$
 $\langle \text{proof} \rangle$

lemma *finite-postp*: $\langle \text{finite } I \implies \text{finite } (\text{postp } I) \rangle$
 $\langle \text{proof} \rangle$

theorem *full-encoding-OCDCI-correctness*:
assumes
 st : $\langle \text{full enc-weight-opt.cdcl-bnb-stgy } (\text{init-state } (\text{penc } N)) \ T \rangle$ **and**
 dist : $\langle \text{distinct-mset-mset } N \rangle$ **and**
 atms : $\langle \text{atms-of-mm } N = \Sigma \rangle$
shows
 $\langle \text{weight } T = \text{None} \implies \text{unsatisfiable } (\text{set-mset } N) \rangle$ **and**
 $\langle \text{weight } T \neq \text{None} \implies \text{postp } (\text{set-mset } (\text{the } (\text{weight } T))) \models_{\text{sm}} N \rangle$
 $\langle \text{weight } T \neq \text{None} \implies \text{distinct-mset } I \implies \text{consistent-interp } (\text{set-mset } I) \implies$
 $\text{atms-of } I \subseteq \text{atms-of-mm } N \implies \text{set-mset } I \models_{\text{sm}} N \implies$
 $\varrho \ I \geq \varrho (\text{mset-set } (\text{postp } (\text{set-mset } (\text{the } (\text{weight } T))))) \rangle$
 $\langle \text{weight } T \neq \text{None} \implies \varrho_e (\text{the } (\text{enc-weight-opt.weight } T)) =$
 $\varrho (\text{mset-set } (\text{postp } (\text{set-mset } (\text{the } (\text{enc-weight-opt.weight } T))))) \rangle$
 $\langle \text{proof} \rangle$

inductive *ocdcl_W-o-r* :: $'st \Rightarrow 'st \Rightarrow \text{bool}$ **for** $S :: 'st$ **where**
 decide : $\text{odecide } S \ S' \implies \text{ocdcl}_{W-o-r} \ S \ S' \mid$
 bj : $\text{enc-weight-opt.cdcl-bnb-bj } S \ S' \implies \text{ocdcl}_{W-o-r} \ S \ S'$

inductive *cdcl-bnb-r* :: $'st \Rightarrow 'st \Rightarrow \text{bool}$ **for** $S :: 'st$ **where**
 cdcl-conflict : $\text{conflict } S \ S' \implies \text{cdcl-bnb-r } S \ S' \mid$
 cdcl-propagate : $\text{propagate } S \ S' \implies \text{cdcl-bnb-r } S \ S' \mid$
 cdcl-improve : $\text{enc-weight-opt.improvep } S \ S' \implies \text{cdcl-bnb-r } S \ S' \mid$

cdcl-conflict-opt: $\text{enc-weight-opt.conflict-opt } S \ S' \implies \text{cdcl-bnb-r } S \ S' \mid$
cdcl-o': $\text{ocdcl}_W\text{-o-r } S \ S' \implies \text{cdcl-bnb-r } S \ S'$

inductive *cdcl-bnb-r-stgy* :: $\langle 'st \Rightarrow 'st \Rightarrow \text{bool} \rangle$ **for** $S :: 'st$ **where**
cdcl-bnb-r-conflict: $\text{conflict } S \ S' \implies \text{cdcl-bnb-r-stgy } S \ S' \mid$
cdcl-bnb-r-propagate: $\text{propagate } S \ S' \implies \text{cdcl-bnb-r-stgy } S \ S' \mid$
cdcl-bnb-r-improve: $\text{enc-weight-opt.improvep } S \ S' \implies \text{cdcl-bnb-r-stgy } S \ S' \mid$
cdcl-bnb-r-conflict-opt: $\text{enc-weight-opt.conflict-opt } S \ S' \implies \text{cdcl-bnb-r-stgy } S \ S' \mid$
cdcl-bnb-r-other': $\text{ocdcl}_W\text{-o-r } S \ S' \implies \text{no-conflict-prop-impr } S \implies \text{cdcl-bnb-r-stgy } S \ S'$

lemma *ocdcl_W-o-r-cases*[consumes 1, case-names odecode obacktrack skip resolve]:

assumes
 $\langle \text{ocdcl}_W\text{-o-r } S \ T \rangle$
 $\langle \text{odecide } S \ T \implies P \ T \rangle$
 $\langle \text{enc-weight-opt.obacktrack } S \ T \implies P \ T \rangle$
 $\langle \text{skip } S \ T \implies P \ T \rangle$
 $\langle \text{resolve } S \ T \implies P \ T \rangle$
shows $\langle P \ T \rangle$
 $\langle \text{proof} \rangle$

context

fixes $S :: 'st$
assumes $S\text{-}\Sigma$: $\langle \text{atms-of-mm } (\text{init-cls } S) = (\Sigma - \Delta\Sigma) \cup \text{replacement-pos } \Delta\Sigma \cup \text{replacement-neg } \Delta\Sigma \rangle$

begin

lemma *odecide-decide*:

$\langle \text{odecide } S \ T \implies \text{decide } S \ T \rangle$
 $\langle \text{proof} \rangle$

lemma *ocdcl_W-o-r-ocdcl_W-o*:

$\langle \text{ocdcl}_W\text{-o-r } S \ T \implies \text{enc-weight-opt.ocdcl}_W\text{-o } S \ T \rangle$
 $\langle \text{proof} \rangle$

lemma *cdcl-bnb-r-cdcl-bnb*:

$\langle \text{cdcl-bnb-r } S \ T \implies \text{enc-weight-opt.cdcl-bnb } S \ T \rangle$
 $\langle \text{proof} \rangle$

lemma *cdcl-bnb-r-stgy-cdcl-bnb-stgy*:

$\langle \text{cdcl-bnb-r-stgy } S \ T \implies \text{enc-weight-opt.cdcl-bnb-stgy } S \ T \rangle$
 $\langle \text{proof} \rangle$

end

context

fixes $S :: 'st$
assumes $S\text{-}\Sigma$: $\langle \text{atms-of-mm } (\text{init-cls } S) = (\Sigma - \Delta\Sigma) \cup \text{replacement-pos } \Delta\Sigma \cup \text{replacement-neg } \Delta\Sigma \rangle$

begin

lemma *rtrancpl-cdcl-bnb-r-cdcl-bnb*:

$\langle \text{cdcl-bnb-r}^{**} S \ T \implies \text{enc-weight-opt.cdcl-bnb}^{**} S \ T \rangle$
 $\langle \text{proof} \rangle$

lemma *rtrancpl-cdcl-bnb-r-stgy-cdcl-bnb-stgy*:

$\langle \text{cdcl-bnb-r-stgy}^{**} S T \implies \text{enc-weight-opt.cdcl-bnb-stgy}^{**} S T \rangle$
 $\langle \text{proof} \rangle$

lemma *rtrancpl-cdcl-bnb-r-all-struct-inv*:

$\langle \text{cdcl-bnb-r}^{**} S T \implies$
 $\text{cdcl}_W\text{-restart-mset.cdcl}_W\text{-all-struct-inv (enc-weight-opt.abs-state } S) \implies$
 $\text{cdcl}_W\text{-restart-mset.cdcl}_W\text{-all-struct-inv (enc-weight-opt.abs-state } T) \rangle$
 $\langle \text{proof} \rangle$

lemma *rtrancpl-cdcl-bnb-r-stgy-all-struct-inv*:

$\langle \text{cdcl-bnb-r-stgy}^{**} S T \implies$
 $\text{cdcl}_W\text{-restart-mset.cdcl}_W\text{-all-struct-inv (enc-weight-opt.abs-state } S) \implies$
 $\text{cdcl}_W\text{-restart-mset.cdcl}_W\text{-all-struct-inv (enc-weight-opt.abs-state } T) \rangle$
 $\langle \text{proof} \rangle$

end

lemma *total-entails-iff-no-conflict*:

assumes $\langle \text{atms-of-mm } N \subseteq \text{atm-of } I \rangle$ **and** $\langle \text{consistent-interp } I \rangle$
shows $\langle I \models_{sm} N \longleftrightarrow (\forall C \in \# N. \neg I \models_s C \text{Not } C) \rangle$
 $\langle \text{proof} \rangle$

lemma *no-step-cdcl-bnb-r-stgy-no-step-cdcl-bnb-stgy*:

assumes
 N : $\langle \text{init-clss } S = \text{penc } N \rangle$ **and**
 Σ : $\langle \text{atms-of-mm } N = \Sigma \rangle$ **and**
 n -d: $\langle \text{no-dup (trail } S) \rangle$ **and**
 tr -alien: $\langle \text{atm-of } I \text{ lits-of-l (trail } S) \subseteq \Sigma \cup \text{replacement-pos } I \Delta \Sigma \cup \text{replacement-neg } I \Delta \Sigma \rangle$
shows
 $\langle \text{no-step cdcl-bnb-r-stgy } S \longleftrightarrow \text{no-step enc-weight-opt.cdcl-bnb-stgy } S \rangle$ (**is** $\langle ?A \longleftrightarrow ?B \rangle$)
 $\langle \text{proof} \rangle$

lemma *cdcl-bnb-r-stgy-init-clss*:

$\langle \text{cdcl-bnb-r-stgy } S T \implies \text{init-clss } S = \text{init-clss } T \rangle$
 $\langle \text{proof} \rangle$

lemma *rtrancpl-cdcl-bnb-r-stgy-init-clss*:

$\langle \text{cdcl-bnb-r-stgy}^{**} S T \implies \text{init-clss } S = \text{init-clss } T \rangle$
 $\langle \text{proof} \rangle$

lemma [*simp*]:

$\langle \text{enc-weight-opt.abs-state (init-state } N) = \text{abs-state (init-state } N) \rangle$
 $\langle \text{proof} \rangle$

corollary

assumes
 Σ : $\langle \text{atms-of-mm } N = \Sigma \rangle$ **and** dist : $\langle \text{distinct-mset-mset } N \rangle$ **and**
 $\langle \text{full cdcl-bnb-r-stgy (init-state (penc } N)) } T \rangle$
shows
 $\langle \text{full enc-weight-opt.cdcl-bnb-stgy (init-state (penc } N)) } T \rangle$
 $\langle \text{proof} \rangle$

lemma *Neg-in-lits-of-l-definedD*:

$\langle \text{Neg } A \in \text{lits-of-l } M \implies \text{defined-lit } M (\text{Pos } A) \rangle$

⟨proof⟩

lemma *propagation-one-lit-of-same-lvl*:

assumes

⟨*cdcl_W-restart-mset.cdcl_W-all-struct-inv* (*abs-state S*)⟩ **and**

⟨*no-smaller-propa S*⟩ **and**

⟨*Propagated L E ∈ set (trail S)*⟩ **and**

rea: ⟨*reasons-in-clauses S*⟩ **and**

nempty: ⟨*E - {#L#} ≠ {#}*⟩

shows

⟨ $\exists L' \in \# \ E - \{ \#L\# \}. \text{get-level } (\text{trail } S) \ L = \text{get-level } (\text{trail } S) \ L'$ ⟩

⟨proof⟩

lemma *simple-backtrack-obacktrack*:

⟨*simple-backtrack S T* ⟹ *cdcl_W-restart-mset.cdcl_W-all-struct-inv* (*enc-weight-opt.abs-state S*) ⟹
enc-weight-opt.obacktrack S T⟩

⟨proof⟩

end

interpretation *test-real: optimal-encoding-opt* **where**

state-eq = ⟨(=)⟩ **and**

state = *id* **and**

trail = ⟨ $\lambda(M, N, U, D, W). M$ ⟩ **and**

init-clss = ⟨ $\lambda(M, N, U, D, W). N$ ⟩ **and**

learned-clss = ⟨ $\lambda(M, N, U, D, W). U$ ⟩ **and**

conflicting = ⟨ $\lambda(M, N, U, D, W). D$ ⟩ **and**

cons-trail = ⟨ $\lambda K (M, N, U, D, W). (K \# M, N, U, D, W)$ ⟩ **and**

tl-trail = ⟨ $\lambda(M, N, U, D, W). (tl \ M, N, U, D, W)$ ⟩ **and**

add-learned-cls = ⟨ $\lambda C (M, N, U, D, W). (M, N, \text{add-mset } C \ U, D, W)$ ⟩ **and**

remove-cls = ⟨ $\lambda C (M, N, U, D, W). (M, \text{removeAll-mset } C \ N, \text{removeAll-mset } C \ U, D, W)$ ⟩ **and**

update-conflicting = ⟨ $\lambda C (M, N, U, -, W). (M, N, U, C, W)$ ⟩ **and**

init-state = ⟨ $\lambda N. ([], N, \{ \# \}, \text{None}, \text{None}, ())$ ⟩ **and**

q = ⟨ $\lambda -. (0::\text{real})$ ⟩ **and**

update-additional-info = ⟨ $\lambda W (M, N, U, D, -, -). (M, N, U, D, W)$ ⟩ **and**

$\Sigma = \{ 1..(100::\text{nat}) \}$ **and**

$\Delta\Sigma = \{ 1..(50::\text{nat}) \}$ **and**

new-vars = ⟨ $\lambda n. (200 + 2*n, 200 + 2*n+1)$ ⟩

⟨proof⟩

lemma *mult3-inj*:

⟨ $2 * A = \text{Suc } (2 * Aa) \longleftrightarrow \text{False}$ ⟩ **for** *A Aa::nat*

⟨proof⟩

interpretation *test-real: optimal-encoding-opt* **where**

state-eq = ⟨(=)⟩ **and**

state = *id* **and**

trail = ⟨ $\lambda(M, N, U, D, W). M$ ⟩ **and**

init-clss = ⟨ $\lambda(M, N, U, D, W). N$ ⟩ **and**

learned-clss = ⟨ $\lambda(M, N, U, D, W). U$ ⟩ **and**

conflicting = ⟨ $\lambda(M, N, U, D, W). D$ ⟩ **and**

cons-trail = ⟨ $\lambda K (M, N, U, D, W). (K \# M, N, U, D, W)$ ⟩ **and**

tl-trail = ⟨ $\lambda(M, N, U, D, W). (tl \ M, N, U, D, W)$ ⟩ **and**

add-learned-cls = ⟨ $\lambda C (M, N, U, D, W). (M, N, \text{add-mset } C \ U, D, W)$ ⟩ **and**

remove-cls = ⟨ $\lambda C (M, N, U, D, W). (M, \text{removeAll-mset } C \ N, \text{removeAll-mset } C \ U, D, W)$ ⟩ **and**

```

update-conflicting =  $\langle \lambda C (M, N, U, -, W). (M, N, U, C, W) \rangle$  and
init-state =  $\langle \lambda N. ([], N, \{\#\}, None, None, ()) \rangle$  and
 $\varrho = \langle \lambda -. (0::real) \rangle$  and
update-additional-info =  $\langle \lambda W (M, N, U, D, -, -). (M, N, U, D, W) \rangle$  and
 $\Sigma = \langle \{1..(100::nat)\} \rangle$  and
 $\Delta\Sigma = \langle \{1..(50::nat)\} \rangle$  and
new-vars =  $\langle \lambda n. (200 + 2*n, 200 + 2*n+1) \rangle$ 
 $\langle proof \rangle$ 

```

interpretation *test-nat: optimal-encoding-opt* where

```

state-eq =  $\langle (=) \rangle$  and
state = id and
trail =  $\langle \lambda (M, N, U, D, W). M \rangle$  and
init-clss =  $\langle \lambda (M, N, U, D, W). N \rangle$  and
learned-clss =  $\langle \lambda (M, N, U, D, W). U \rangle$  and
conflicting =  $\langle \lambda (M, N, U, D, W). D \rangle$  and
cons-trail =  $\langle \lambda K (M, N, U, D, W). (K \# M, N, U, D, W) \rangle$  and
tl-trail =  $\langle \lambda (M, N, U, D, W). (tl\ M, N, U, D, W) \rangle$  and
add-learned-cls =  $\langle \lambda C (M, N, U, D, W). (M, N, add-mset\ C\ U, D, W) \rangle$  and
remove-cls =  $\langle \lambda C (M, N, U, D, W). (M, removeAll-mset\ C\ N, removeAll-mset\ C\ U, D, W) \rangle$  and
update-conflicting =  $\langle \lambda C (M, N, U, -, W). (M, N, U, C, W) \rangle$  and
init-state =  $\langle \lambda N. ([], N, \{\#\}, None, None, ()) \rangle$  and
 $\varrho = \langle \lambda -. (0::nat) \rangle$  and
update-additional-info =  $\langle \lambda W (M, N, U, D, -, -). (M, N, U, D, W) \rangle$  and
 $\Sigma = \langle \{1..(100::nat)\} \rangle$  and
 $\Delta\Sigma = \langle \{1..(50::nat)\} \rangle$  and
new-vars =  $\langle \lambda n. (200 + 2*n, 200 + 2*n+1) \rangle$ 
 $\langle proof \rangle$ 

```

interpretation *test-nat: optimal-encoding* where

```

state-eq =  $\langle (=) \rangle$  and
state = id and
trail =  $\langle \lambda (M, N, U, D, W). M \rangle$  and
init-clss =  $\langle \lambda (M, N, U, D, W). N \rangle$  and
learned-clss =  $\langle \lambda (M, N, U, D, W). U \rangle$  and
conflicting =  $\langle \lambda (M, N, U, D, W). D \rangle$  and
cons-trail =  $\langle \lambda K (M, N, U, D, W). (K \# M, N, U, D, W) \rangle$  and
tl-trail =  $\langle \lambda (M, N, U, D, W). (tl\ M, N, U, D, W) \rangle$  and
add-learned-cls =  $\langle \lambda C (M, N, U, D, W). (M, N, add-mset\ C\ U, D, W) \rangle$  and
remove-cls =  $\langle \lambda C (M, N, U, D, W). (M, removeAll-mset\ C\ N, removeAll-mset\ C\ U, D, W) \rangle$  and
update-conflicting =  $\langle \lambda C (M, N, U, -, W). (M, N, U, C, W) \rangle$  and
init-state =  $\langle \lambda N. ([], N, \{\#\}, None, None, ()) \rangle$  and
 $\varrho = \langle \lambda -. (0::nat) \rangle$  and
update-additional-info =  $\langle \lambda W (M, N, U, D, -, -). (M, N, U, D, W) \rangle$  and
 $\Sigma = \langle \{1..(100::nat)\} \rangle$  and
 $\Delta\Sigma = \langle \{1..(50::nat)\} \rangle$  and
new-vars =  $\langle \lambda n. (200 + 2*n, 200 + 2*n+1) \rangle$ 
 $\langle proof \rangle$ 

```

end

theory *CDCL-W-MaxSAT*

imports *CDCL-W-Optimal-Model*

begin

0.1.3 Partial MAX-SAT

definition *weight-on-clauses* **where**

$\langle \text{weight-on-clauses } N_S \varrho I = (\sum C \in \# (\text{filter-mset } (\lambda C. I \models C) N_S). \varrho C) \rangle$

definition *atms-exactly-m* :: $\langle 'v \text{ partial-interp} \Rightarrow 'v \text{ clauses} \Rightarrow \text{bool} \rangle$ **where**

$\langle \text{atms-exactly-m } I N \longleftrightarrow$
 $\text{total-over-m } I (\text{set-mset } N) \wedge$
 $\text{atms-of-s } I \subseteq \text{atms-of-mm } N \rangle$

Partial in the name refers to the fact that not all clauses are soft clauses, not to the fact that we consider partial models.

inductive *partial-max-sat* :: $\langle 'v \text{ clauses} \Rightarrow 'v \text{ clauses} \Rightarrow ('v \text{ clause} \Rightarrow \text{nat}) \Rightarrow$

$'v \text{ partial-interp option} \Rightarrow \text{bool} \rangle$ **where**

partial-max-sat:

$\langle \text{partial-max-sat } N_H N_S \varrho (\text{Some } I) \rangle$

if

$\langle I \models_{sm} N_H \rangle$ **and**

$\langle \text{atms-exactly-m } I ((N_H + N_S)) \rangle$ **and**

$\langle \text{consistent-interp } I \rangle$ **and**

$\langle \bigwedge I'. \text{consistent-interp } I' \implies \text{atms-exactly-m } I' (N_H + N_S) \implies I' \models_{sm} N_H \implies$
 $\text{weight-on-clauses } N_S \varrho I' \leq \text{weight-on-clauses } N_S \varrho I \mid$

partial-max-unsat:

$\langle \text{partial-max-sat } N_H N_S \varrho \text{None} \rangle$

if

$\langle \text{unsatisfiable } (\text{set-mset } N_H) \rangle$

inductive *partial-min-sat* :: $\langle 'v \text{ clauses} \Rightarrow 'v \text{ clauses} \Rightarrow ('v \text{ clause} \Rightarrow \text{nat}) \Rightarrow$

$'v \text{ partial-interp option} \Rightarrow \text{bool} \rangle$ **where**

partial-min-sat:

$\langle \text{partial-min-sat } N_H N_S \varrho (\text{Some } I) \rangle$

if

$\langle I \models_{sm} N_H \rangle$ **and**

$\langle \text{atms-exactly-m } I (N_H + N_S) \rangle$ **and**

$\langle \text{consistent-interp } I \rangle$ **and**

$\langle \bigwedge I'. \text{consistent-interp } I' \implies \text{atms-exactly-m } I' (N_H + N_S) \implies I' \models_{sm} N_H \implies$
 $\text{weight-on-clauses } N_S \varrho I' \geq \text{weight-on-clauses } N_S \varrho I \mid$

partial-min-unsat:

$\langle \text{partial-min-sat } N_H N_S \varrho \text{None} \rangle$

if

$\langle \text{unsatisfiable } (\text{set-mset } N_H) \rangle$

lemma *atms-exactly-m-finite*:

assumes $\langle \text{atms-exactly-m } I N \rangle$

shows $\langle \text{finite } I \rangle$

$\langle \text{proof} \rangle$

lemma

fixes $N_H :: \langle 'v \text{ clauses} \rangle$

assumes $\langle \text{satisfiable } (\text{set-mset } N_H) \rangle$

shows *sat-partial-max-sat*: $\langle \exists I. \text{partial-max-sat } N_H N_S \varrho (\text{Some } I) \rangle$ **and**

sat-partial-min-sat: $\langle \exists I. \text{partial-min-sat } N_H N_S \varrho (\text{Some } I) \rangle$

$\langle \text{proof} \rangle$

inductive *weight-sat*

$\vdash \langle 'v \text{ clauses} \Rightarrow ('v \text{ literal multiset} \Rightarrow 'a :: \text{linorder}) \Rightarrow$
 $\quad 'v \text{ literal multiset option} \Rightarrow \text{bool} \rangle$
where
 weight-sat:
 $\langle \text{weight-sat } N \ \varrho \ (\text{Some } I) \rangle$
if
 $\langle \text{set-mset } I \models_{sm} N \rangle$ **and**
 $\langle \text{atms-exactly-m } (\text{set-mset } I) \ N \rangle$ **and**
 $\langle \text{consistent-interp } (\text{set-mset } I) \rangle$ **and**
 $\langle \text{distinct-mset } I \rangle$
 $\langle \bigwedge I'. \text{consistent-interp } (\text{set-mset } I') \implies \text{atms-exactly-m } (\text{set-mset } I') \ N \implies \text{distinct-mset } I' \implies$
 $\quad \text{set-mset } I' \models_{sm} N \implies \varrho \ I' \geq \varrho \ I \rangle \mid$
 $\text{partial-max-unsat:}$
 $\langle \text{weight-sat } N \ \varrho \ \text{None} \rangle$
if
 $\langle \text{unsatisfiable } (\text{set-mset } N) \rangle$

lemma *partial-max-sat-is-weight-sat:*
fixes $\text{additional-atm} :: 'v \text{ clause} \Rightarrow 'v$ **and**
 $\varrho :: 'v \text{ clause} \Rightarrow \text{nat}$ **and**
 $N_S :: 'v \text{ clauses}$
defines
 $\langle \varrho' \equiv (\lambda C. \text{sum-mset}$
 $\quad ((\lambda L. \text{if } L \in \text{Pos } ' \text{additional-atm } ' \text{set-mset } N_S$
 $\quad \text{then count } N_S \ (\text{SOME } C. L = \text{Pos } (\text{additional-atm } C) \wedge C \in \# N_S)$
 $\quad * \varrho \ (\text{SOME } C. L = \text{Pos } (\text{additional-atm } C) \wedge C \in \# N_S)$
 $\quad \text{else } 0) \ ' \# C)) \rangle$
assumes
 $\text{add: } \langle \bigwedge C. C \in \# N_S \implies \text{additional-atm } C \notin \text{atms-of-mm } (N_H + N_S) \rangle$
 $\langle \bigwedge C \ D. C \in \# N_S \implies D \in \# N_S \implies \text{additional-atm } C = \text{additional-atm } D \longleftrightarrow C = D \rangle$ **and**
 $w: \langle \text{weight-sat } (N_H + (\lambda C. \text{add-mset } (\text{Pos } (\text{additional-atm } C)) \ C) \ ' \# N_S) \ \varrho' \ (\text{Some } I) \rangle$
shows
 $\langle \text{partial-max-sat } N_H \ N_S \ \varrho \ (\text{Some } \{L \in \text{set-mset } I. \text{atm-of } L \in \text{atms-of-mm } (N_H + N_S)\}) \rangle$
 $\langle \text{proof} \rangle$

lemma *sum-mset-cong:*
 $\langle (\bigwedge a. a \in \# A \implies f \ a = g \ a) \implies (\sum a \in \# A. f \ a) = (\sum a \in \# A. g \ a) \rangle$
 $\langle \text{proof} \rangle$

lemma *partial-max-sat-is-weight-sat-distinct:*
fixes $\text{additional-atm} :: 'v \text{ clause} \Rightarrow 'v$ **and**
 $\varrho :: 'v \text{ clause} \Rightarrow \text{nat}$ **and**
 $N_S :: 'v \text{ clauses}$
defines
 $\langle \varrho' \equiv (\lambda C. \text{sum-mset}$
 $\quad ((\lambda L. \text{if } L \in \text{Pos } ' \text{additional-atm } ' \text{set-mset } N_S$
 $\quad \text{then } \varrho \ (\text{SOME } C. L = \text{Pos } (\text{additional-atm } C) \wedge C \in \# N_S)$
 $\quad \text{else } 0) \ ' \# C)) \rangle$
assumes
 $\langle \text{distinct-mset } N_S \rangle$ **and** — This is implicit on paper
 $\text{add: } \langle \bigwedge C. C \in \# N_S \implies \text{additional-atm } C \notin \text{atms-of-mm } (N_H + N_S) \rangle$
 $\langle \bigwedge C \ D. C \in \# N_S \implies D \in \# N_S \implies \text{additional-atm } C = \text{additional-atm } D \longleftrightarrow C = D \rangle$ **and**
 $w: \langle \text{weight-sat } (N_H + (\lambda C. \text{add-mset } (\text{Pos } (\text{additional-atm } C)) \ C) \ ' \# N_S) \ \varrho' \ (\text{Some } I) \rangle$
shows
 $\langle \text{partial-max-sat } N_H \ N_S \ \varrho \ (\text{Some } \{L \in \text{set-mset } I. \text{atm-of } L \in \text{atms-of-mm } (N_H + N_S)\}) \rangle$
 $\langle \text{proof} \rangle$

lemma *atms-exactly-m-alt-def*:

$\langle \text{atms-exactly-m } (\text{set-mset } y) \ N \longleftrightarrow \text{atms-of } y \subseteq \text{atms-of-mm } N \wedge$
 $\text{total-over-m } (\text{set-mset } y) \ (\text{set-mset } N) \rangle$
 $\langle \text{proof} \rangle$

lemma *atms-exactly-m-alt-def2*:

$\langle \text{atms-exactly-m } (\text{set-mset } y) \ N \longleftrightarrow \text{atms-of } y = \text{atms-of-mm } N \rangle$
 $\langle \text{proof} \rangle$

lemma (in *conflict-driven-clause-learning_W-optimal-weight*) *full-cdcl-bnb-stgy-weight-sat*:

$\langle \text{full cdcl-bnb-stgy } (\text{init-state } N) \ T \implies \text{distinct-mset-mset } N \implies \text{weight-sat } N \ \varrho \ (\text{weight } T) \rangle$
 $\langle \text{proof} \rangle$

end

theory *CDCL-W-Partial-Optimal-Model*

imports *CDCL-W-Partial-Encoding*

begin

lemma *isabelle-should-do-that-automatically*: $\langle \text{Suc } (a - \text{Suc } 0) = a \longleftrightarrow a \geq 1 \rangle$

$\langle \text{proof} \rangle$

lemma (in *conflict-driven-clause-learning_W-optimal-weight*)

conflict-opt-state-eq-compatible:

$\langle \text{conflict-opt } S \ T \implies S \sim S' \implies T \sim T' \implies \text{conflict-opt } S' \ T' \rangle$
 $\langle \text{proof} \rangle$

context *optimal-encoding*

begin

definition *base-atm* :: $\langle 'v \Rightarrow 'v \rangle$ **where**

$\langle \text{base-atm } L = (\text{if } L \in \Sigma - \Delta\Sigma \text{ then } L \text{ else}$
 $\text{if } L \in \text{replacement-neg } \Delta\Sigma \text{ then } (\text{SOME } K. (K \in \Delta\Sigma \wedge L = \text{replacement-neg } K))$
 $\text{else } (\text{SOME } K. (K \in \Delta\Sigma \wedge L = \text{replacement-pos } K))) \rangle$

lemma *normalize-lit-Some-simp[simp]*: $\langle (\text{SOME } K. K \in \Delta\Sigma \wedge (L^{\mapsto 0} = K^{\mapsto 0})) = L \rangle$ **if** $\langle L \in \Delta\Sigma \rangle$ **for** K

$\langle \text{proof} \rangle$

lemma *base-atm-simps1[simp]*:

$\langle L \in \Sigma \implies L \notin \Delta\Sigma \implies \text{base-atm } L = L \rangle$
 $\langle \text{proof} \rangle$

lemma *base-atm-simps2[simp]*:

$\langle L \in (\Sigma - \Delta\Sigma) \cup \text{replacement-neg } \Delta\Sigma \cup \text{replacement-pos } \Delta\Sigma \implies$
 $K \in \Sigma \implies K \notin \Delta\Sigma \implies L \in \Sigma \implies K = \text{base-atm } L \longleftrightarrow L = K \rangle$
 $\langle \text{proof} \rangle$

lemma *base-atm-simps3[simp]*:

$\langle L \in \Sigma - \Delta\Sigma \implies \text{base-atm } L \in \Sigma \rangle$
 $\langle L \in \text{replacement-neg } \Delta\Sigma \cup \text{replacement-pos } \Delta\Sigma \implies \text{base-atm } L \in \Delta\Sigma \rangle$
 $\langle \text{proof} \rangle$

lemma *base-atm-simps4[simp]*:

$\langle L \in \Delta\Sigma \implies \text{base-atm } (\text{replacement-pos } L) = L \rangle$
 $\langle L \in \Delta\Sigma \implies \text{base-atm } (\text{replacement-neg } L) = L \rangle$

$\langle \text{proof} \rangle$

fun *normalize-lit* :: $\langle 'v \text{ literal} \Rightarrow 'v \text{ literal} \rangle$ **where**
 $\langle \text{normalize-lit } (\text{Pos } L) =$
 $\quad (\text{if } L \in \text{replacement-neg } ' \Delta\Sigma$
 $\quad \quad \text{then Neg } (\text{replacement-pos } (\text{SOME } K. (K \in \Delta\Sigma \wedge L = \text{replacement-neg } K)))$
 $\quad \quad \text{else Pos } L) \rangle \mid$
 $\langle \text{normalize-lit } (\text{Neg } L) =$
 $\quad (\text{if } L \in \text{replacement-neg } ' \Delta\Sigma$
 $\quad \quad \text{then Pos } (\text{replacement-pos } (\text{SOME } K. K \in \Delta\Sigma \wedge L = \text{replacement-neg } K))$
 $\quad \quad \text{else Neg } L) \rangle$

abbreviation *normalize-clause* :: $\langle 'v \text{ clause} \Rightarrow 'v \text{ clause} \rangle$ **where**
 $\langle \text{normalize-clause } C \equiv \text{normalize-lit } ' \# C \rangle$

lemma *normalize-lit[simp]*:
 $\langle L \in \Sigma - \Delta\Sigma \implies \text{normalize-lit } (\text{Pos } L) = (\text{Pos } L) \rangle$
 $\langle L \in \Sigma - \Delta\Sigma \implies \text{normalize-lit } (\text{Neg } L) = (\text{Neg } L) \rangle$
 $\langle L \in \Delta\Sigma \implies \text{normalize-lit } (\text{Pos } (\text{replacement-neg } L)) = \text{Neg } (\text{replacement-pos } L) \rangle$
 $\langle L \in \Delta\Sigma \implies \text{normalize-lit } (\text{Neg } (\text{replacement-neg } L)) = \text{Pos } (\text{replacement-pos } L) \rangle$
 $\langle \text{proof} \rangle$

definition *all-clauses-literals* :: $\langle 'v \text{ list} \rangle$ **where**
 $\langle \text{all-clauses-literals} =$
 $\quad (\text{SOME } xs. \text{mset } xs = \text{mset-set } ((\Sigma - \Delta\Sigma) \cup \text{replacement-neg } ' \Delta\Sigma \cup \text{replacement-pos } ' \Delta\Sigma)) \rangle$

datatype (in $-$) *'c search-depth* =
 $\text{sd-is-zero: SD-ZERO } (\text{the-search-depth: } 'c) \mid$
 $\text{sd-is-one: SD-ONE } (\text{the-search-depth: } 'c) \mid$
 $\text{sd-is-two: SD-TWO } (\text{the-search-depth: } 'c)$

abbreviation (in $-$) *un-hide-sd* :: $\langle 'a \text{ search-depth list} \Rightarrow 'a \text{ list} \rangle$ **where**
 $\langle \text{un-hide-sd} \equiv \text{map } \text{the-search-depth} \rangle$

fun *nat-of-search-deph* :: $\langle 'c \text{ search-depth} \Rightarrow \text{nat} \rangle$ **where**
 $\langle \text{nat-of-search-deph } (\text{SD-ZERO } -) = 0 \rangle \mid$
 $\langle \text{nat-of-search-deph } (\text{SD-ONE } -) = 1 \rangle \mid$
 $\langle \text{nat-of-search-deph } (\text{SD-TWO } -) = 2 \rangle$

definition *opposite-var* **where**
 $\langle \text{opposite-var } L = (\text{if } L \in \text{replacement-pos } ' \Delta\Sigma \text{ then replacement-neg } (\text{base-atm } L)$
 $\quad \text{else replacement-pos } (\text{base-atm } L)) \rangle$

lemma *opposite-var-replacement-if[simp]*:
 $\langle L \in (\text{replacement-neg } ' \Delta\Sigma \cup \text{replacement-pos } ' \Delta\Sigma) \implies A \in \Delta\Sigma \implies$
 $\quad \text{opposite-var } L = \text{replacement-pos } A \longleftrightarrow L = \text{replacement-neg } A \rangle$
 $\langle L \in (\text{replacement-neg } ' \Delta\Sigma \cup \text{replacement-pos } ' \Delta\Sigma) \implies A \in \Delta\Sigma \implies$
 $\quad \text{opposite-var } L = \text{replacement-neg } A \longleftrightarrow L = \text{replacement-pos } A \rangle$
 $\langle A \in \Delta\Sigma \implies \text{opposite-var } (\text{replacement-pos } A) = \text{replacement-neg } A \rangle$
 $\langle A \in \Delta\Sigma \implies \text{opposite-var } (\text{replacement-neg } A) = \text{replacement-pos } A \rangle$

$\langle \text{proof} \rangle$

context

assumes $[simp]$: $\langle \text{finite } \Sigma \rangle$

begin

lemma *all-clauses-literals*:

$\langle \text{mset all-clauses-literals} = \text{mset-set } ((\Sigma - \Delta\Sigma) \cup \text{replacement-neg } ' \Delta\Sigma \cup \text{replacement-pos } ' \Delta\Sigma) \rangle$

$\langle \text{distinct all-clauses-literals} \rangle$

$\langle \text{set all-clauses-literals} = ((\Sigma - \Delta\Sigma) \cup \text{replacement-neg } ' \Delta\Sigma \cup \text{replacement-pos } ' \Delta\Sigma) \rangle$

$\langle \text{proof} \rangle$

definition *unset-literals-in- Σ* **where**

$\langle \text{unset-literals-in-}\Sigma \ M \ L \longleftrightarrow \text{undefined-lit } M \ (\text{Pos } L) \wedge L \in \Sigma - \Delta\Sigma \rangle$

definition *full-unset-literals-in- $\Delta\Sigma$* **where**

$\langle \text{full-unset-literals-in-}\Delta\Sigma \ M \ L \longleftrightarrow$

$\text{undefined-lit } M \ (\text{Pos } L) \wedge L \notin \Sigma - \Delta\Sigma \wedge \text{undefined-lit } M \ (\text{Pos } (\text{opposite-var } L)) \wedge$
 $L \in \text{replacement-pos } ' \Delta\Sigma \rangle$

definition *full-unset-literals-in- $\Delta\Sigma'$* **where**

$\langle \text{full-unset-literals-in-}\Delta\Sigma' \ M \ L \longleftrightarrow$

$\text{undefined-lit } M \ (\text{Pos } L) \wedge L \notin \Sigma - \Delta\Sigma \wedge \text{undefined-lit } M \ (\text{Pos } (\text{opposite-var } L)) \wedge$
 $L \in \text{replacement-neg } ' \Delta\Sigma \rangle$

definition *half-unset-literals-in- $\Delta\Sigma$* **where**

$\langle \text{half-unset-literals-in-}\Delta\Sigma \ M \ L \longleftrightarrow$

$\text{undefined-lit } M \ (\text{Pos } L) \wedge L \notin \Sigma - \Delta\Sigma \wedge \text{defined-lit } M \ (\text{Pos } (\text{opposite-var } L)) \rangle$

definition *sorted-unadded-literals* :: $\langle ('v, 'v \text{ clause}) \text{ ann-lits} \Rightarrow 'v \text{ list} \rangle$ **where**

$\langle \text{sorted-unadded-literals } M =$

$(\text{let}$

$M0 = \text{filter } (\text{full-unset-literals-in-}\Delta\Sigma' \ M) \ \text{all-clauses-literals};$

$\text{— weight is } 0$

$M1 = \text{filter } (\text{unset-literals-in-}\Sigma \ M) \ \text{all-clauses-literals};$

$\text{— weight is } 2$

$M2 = \text{filter } (\text{full-unset-literals-in-}\Delta\Sigma \ M) \ \text{all-clauses-literals};$

$\text{— weight is } 2$

$M3 = \text{filter } (\text{half-unset-literals-in-}\Delta\Sigma \ M) \ \text{all-clauses-literals}$

$\text{— weight is } 1$

in

$M0 \ @ \ M3 \ @ \ M1 \ @ \ M2) \rangle$

definition *complete-trail* :: $\langle ('v, 'v \text{ clause}) \text{ ann-lits} \Rightarrow ('v, 'v \text{ clause}) \text{ ann-lits} \rangle$ **where**

$\langle \text{complete-trail } M =$

$(\text{map } (\text{Decided } o \ \text{Pos}) \ (\text{sorted-unadded-literals } M) \ @ \ M) \rangle$

lemma *in-sorted-unadded-literals-undefD*:

$\langle \text{atm-of } (\text{lit-of } l) \in \text{set } (\text{sorted-unadded-literals } M) \implies l \notin \text{set } M \rangle$

$\langle \text{atm-of } (l') \in \text{set } (\text{sorted-unadded-literals } M) \implies \text{undefined-lit } M \ l' \rangle$

$\langle xa \in \text{set } (\text{sorted-unadded-literals } M) \implies \text{lit-of } x = \text{Neg } xa \implies x \notin \text{set } M \rangle$ **and**

$\text{set-sorted-unadded-literals}[simp]:$

$\langle \text{set } (\text{sorted-unadded-literals } M) =$

$\text{Set.filter } (\lambda L. \text{undefined-lit } M \ (\text{Pos } L)) \ (\text{set all-clauses-literals}) \rangle$

$\langle \text{proof} \rangle$

lemma *[simp]*:

$\langle \text{full-unset-literals-in-}\Delta\Sigma \ [] = (\lambda L. L \in \text{replacement-pos } ' \Delta\Sigma) \rangle$
 $\langle \text{full-unset-literals-in-}\Delta\Sigma' \ [] = (\lambda L. L \in \text{replacement-neg } ' \Delta\Sigma) \rangle$
 $\langle \text{half-unset-literals-in-}\Delta\Sigma \ [] = (\lambda L. \text{False}) \rangle$
 $\langle \text{unset-literals-in-}\Sigma \ [] = (\lambda L. L \in \Sigma - \Delta\Sigma) \rangle$
 $\langle \text{proof} \rangle$

lemma *filter-disjount-union*:

$\langle (\bigwedge x. x \in \text{set } xs \implies P \ x \implies \neg Q \ x) \implies$
 $\text{length } (\text{filter } P \ xs) + \text{length } (\text{filter } Q \ xs) =$
 $\text{length } (\text{filter } (\lambda x. P \ x \vee Q \ x) \ xs) \rangle$
 $\langle \text{proof} \rangle$

lemma *length-sorted-unadded-literals-empty**[simp]*:

$\langle \text{length } (\text{sorted-unadded-literals } []) = \text{length all-clauses-literals} \rangle$
 $\langle \text{proof} \rangle$

lemma *sorted-unadded-literals-Cons-notin-all-clauses-literals**[simp]*:

assumes
 $\langle \text{atm-of } (\text{lit-of } K) \notin \text{set all-clauses-literals} \rangle$
shows
 $\langle \text{sorted-unadded-literals } (K \# M) = \text{sorted-unadded-literals } M \rangle$
 $\langle \text{proof} \rangle$

lemma *sorted-unadded-literals-cong*:

assumes $\langle \bigwedge L. L \in \text{set all-clauses-literals} \implies \text{defined-lit } M \ (\text{Pos } L) = \text{defined-lit } M' \ (\text{Pos } L) \rangle$
shows $\langle \text{sorted-unadded-literals } M = \text{sorted-unadded-literals } M' \rangle$
 $\langle \text{proof} \rangle$

lemma *sorted-unadded-literals-Cons-already-set**[simp]*:

assumes
 $\langle \text{defined-lit } M \ (\text{lit-of } K) \rangle$
shows
 $\langle \text{sorted-unadded-literals } (K \# M) = \text{sorted-unadded-literals } M \rangle$
 $\langle \text{proof} \rangle$

lemma *distinct-sorted-unadded-literals**[simp]*:

$\langle \text{distinct } (\text{sorted-unadded-literals } M) \rangle$
 $\langle \text{proof} \rangle$

lemma *Collect-req-remove1*:

$\langle \{a \in A. a \neq b \wedge P \ a\} = (\text{if } P \ b \text{ then } \text{Set.remove } b \ \{a \in A. P \ a\} \text{ else } \{a \in A. P \ a\}) \rangle$ **and**
Collect-req-remove2:
 $\langle \{a \in A. b \neq a \wedge P \ a\} = (\text{if } P \ b \text{ then } \text{Set.remove } b \ \{a \in A. P \ a\} \text{ else } \{a \in A. P \ a\}) \rangle$
 $\langle \text{proof} \rangle$

lemma *card-remove*:

$\langle \text{card } (\text{Set.remove } a \ A) = (\text{if } a \in A \text{ then } \text{card } A - 1 \text{ else } \text{card } A) \rangle$
 $\langle \text{proof} \rangle$

lemma *sorted-unadded-literals-cons-in-undef**[simp]*:

$\langle \text{undefined-lit } M \ (\text{lit-of } K) \implies$
 $\text{atm-of } (\text{lit-of } K) \in \text{set all-clauses-literals} \implies$
 $\text{Suc } (\text{length } (\text{sorted-unadded-literals } (K \# M))) =$
 $\text{length } (\text{sorted-unadded-literals } M) \rangle$

⟨proof⟩

lemma *no-dup-complete-trail*[simp]:
 ⟨no-dup (complete-trail M) ⟷ no-dup M⟩
 ⟨proof⟩

lemma *tautology-complete-trail*[simp]:
 ⟨tautology (lit-of '# mset (complete-trail M)) ⟷ tautology (lit-of '# mset M)⟩
 ⟨proof⟩

lemma *atms-of-complete-trail*:
 ⟨atms-of (lit-of '# mset (complete-trail M)) =
 atms-of (lit-of '# mset M) ∪ (Σ − ΔΣ) ∪ replacement-neg ' ΔΣ ∪ replacement-pos ' ΔΣ⟩
 ⟨proof⟩

fun *depth-lit-of* :: ⟨('v, -) ann-lit ⇒ ('v, -) ann-lit search-depth⟩ **where**
 ⟨depth-lit-of (Decided L) = SD-TWO (Decided L)⟩ |
 ⟨depth-lit-of (Propagated L C) = SD-ZERO (Propagated L C)⟩

fun *depth-lit-of-additional-fst* :: ⟨('v, -) ann-lit ⇒ ('v, -) ann-lit search-depth⟩ **where**
 ⟨depth-lit-of-additional-fst (Decided L) = SD-ONE (Decided L)⟩ |
 ⟨depth-lit-of-additional-fst (Propagated L C) = SD-ZERO (Propagated L C)⟩

fun *depth-lit-of-additional-snd* :: ⟨('v, -) ann-lit ⇒ ('v, -) ann-lit search-depth list⟩ **where**
 ⟨depth-lit-of-additional-snd (Decided L) = [SD-ONE (Decided L)]⟩ |
 ⟨depth-lit-of-additional-snd (Propagated L C) = []⟩

This function is suprisingly complicated to get right. Remember that the last set element is at the beginning of the list

fun *remove-dup-information-raw* :: ⟨('v, -) ann-lits ⇒ ('v, -) ann-lit search-depth list⟩ **where**
 ⟨remove-dup-information-raw [] = []⟩ |
 ⟨remove-dup-information-raw (L # M) =
 (if atm-of (lit-of L) ∈ Σ − ΔΣ then depth-lit-of L # remove-dup-information-raw M
 else if defined-lit (M) (Pos (opposite-var (atm-of (lit-of L))))
 then if Decided (Pos (opposite-var (atm-of (lit-of L)))) ∈ set (M)
 then remove-dup-information-raw M
 else depth-lit-of-additional-fst L # remove-dup-information-raw M
 else depth-lit-of-additional-snd L @ remove-dup-information-raw M)⟩

definition *remove-dup-information* **where**
 ⟨remove-dup-information xs = un-hide-sd (remove-dup-information-raw xs)⟩

lemma [simp]: ⟨the-search-depth (depth-lit-of L) = L⟩
 ⟨proof⟩

lemma *length-complete-trail*[simp]: ⟨length (complete-trail []) = length all-clauses-literals⟩
 ⟨proof⟩

lemma *distinct-count-list-if*: ⟨distinct xs ⟹ count-list xs x = (if x ∈ set xs then 1 else 0)⟩
 ⟨proof⟩

lemma *length-complete-trail-Cons*:
 ⟨no-dup (K # M) ⟹
 length (complete-trail (K # M)) =

$\langle \text{if atm-of (lit-of } K) \in \text{set all-clauses-literals then } 0 \text{ else } 1 \rangle + \text{length (complete-trail } M) \rangle$
 $\langle \text{proof} \rangle$

lemma *length-complete-trail-eq*:

$\langle \text{no-dup } M \implies \text{atm-of ' (lits-of-l } M) \subseteq \text{set all-clauses-literals} \implies$
 $\text{length (complete-trail } M) = \text{length all-clauses-literals} \rangle$
 $\langle \text{proof} \rangle$

lemma *in-set-all-clauses-literals-simp[simp]*:

$\langle \text{atm-of } L \in \Sigma - \Delta\Sigma \implies \text{atm-of } L \in \text{set all-clauses-literals} \rangle$
 $\langle K \in \Delta\Sigma \implies \text{replacement-pos } K \in \text{set all-clauses-literals} \rangle$
 $\langle K \in \Delta\Sigma \implies \text{replacement-neg } K \in \text{set all-clauses-literals} \rangle$
 $\langle \text{proof} \rangle$

lemma *[simp]*:

$\langle \text{remove-dup-information } [] = [] \rangle$
 $\langle \text{proof} \rangle$

lemma *atm-of-remove-dup-information*:

$\langle \text{atm-of ' (lits-of-l } M) \subseteq \text{set all-clauses-literals} \implies$
 $\text{atm-of ' (lits-of-l (remove-dup-information } M)) \subseteq \text{set all-clauses-literals} \rangle$
 $\langle \text{proof} \rangle$

primrec *remove-dup-information-raw2* :: $\langle ('v, -) \text{ ann-lits} \Rightarrow ('v, -) \text{ ann-lits} \Rightarrow$

$(('v, -) \text{ ann-lit search-depth list}) \text{ where}$
 $\langle \text{remove-dup-information-raw2 } M' [] = [] \rangle \mid$
 $\langle \text{remove-dup-information-raw2 } M' (L \# M) =$
 $(\text{if atm-of (lit-of } L) \in \Sigma - \Delta\Sigma \text{ then depth-lit-of } L \# \text{remove-dup-information-raw2 } M' M$
 $\text{else if defined-lit } (M @ M') (\text{Pos (opposite-var (atm-of (lit-of } L)))})$
 $\text{then if Decided (Pos (opposite-var (atm-of (lit-of } L)))} \in \text{set } (M @ M')$
 $\text{then remove-dup-information-raw2 } M' M$
 $\text{else depth-lit-of-additional-fst } L \# \text{remove-dup-information-raw2 } M' M$
 $\text{else depth-lit-of-additional-snd } L @ \text{remove-dup-information-raw2 } M' M) \rangle$

lemma *remove-dup-information-raw2-Nil[simp]*:

$\langle \text{remove-dup-information-raw2 } [] M = \text{remove-dup-information-raw } M \rangle$
 $\langle \text{proof} \rangle$

This can be useful as *simp*, but I am not certain (yet), because the RHS does not look simpler than the LHS.

lemma *remove-dup-information-raw-cons*:

$\langle \text{remove-dup-information-raw } (L \# M2) =$
 $\text{remove-dup-information-raw2 } M2 [L] @$
 $\text{remove-dup-information-raw } M2 \rangle$
 $\langle \text{proof} \rangle$

lemma *remove-dup-information-raw-append*:

$\langle \text{remove-dup-information-raw } (M1 @ M2) =$
 $\text{remove-dup-information-raw2 } M2 M1 @$
 $\text{remove-dup-information-raw } M2 \rangle$
 $\langle \text{proof} \rangle$

lemma *remove-dup-information-raw-append2*:

$\langle \text{remove-dup-information-raw2 } M (M1 @ M2) =$
 $\text{remove-dup-information-raw2 } (M @ M2) M1 @$
 $\text{remove-dup-information-raw2 } M M2 \rangle$
 $\langle \text{proof} \rangle$

lemma *remove-dup-information-subset*: $\langle \text{mset } (\text{remove-dup-information } M) \subseteq \# \text{ mset } M \rangle$
 $\langle \text{proof} \rangle$

lemma *no-dup-subsetD*: $\langle \text{no-dup } M \implies \text{mset } M' \subseteq \# \text{ mset } M \implies \text{no-dup } M' \rangle$
 $\langle \text{proof} \rangle$

lemma *no-dup-remove-dup-information*:
 $\langle \text{no-dup } M \implies \text{no-dup } (\text{remove-dup-information } M) \rangle$
 $\langle \text{proof} \rangle$

lemma *atm-of-complete-trail*:
 $\langle \text{atm-of } ' (\text{lits-of-l } M) \subseteq \text{set all-clauses-literals} \implies$
 $\text{atm-of } ' (\text{lits-of-l } (\text{complete-trail } M)) = \text{set all-clauses-literals} \rangle$
 $\langle \text{proof} \rangle$

lemmas [*simp del*] =
remove-dup-information-raw.simps
remove-dup-information-raw2.simps

lemmas [*simp*] =
remove-dup-information-raw-append
remove-dup-information-raw-cons
remove-dup-information-raw-append2

definition *truncate-trail* :: $\langle ('v, -) \text{ ann-lits} \Rightarrow - \rangle$ **where**
 $\langle \text{truncate-trail } M \equiv$
 $(\text{snd } (\text{backtrack-split } M)) \rangle$

definition *ocdcl-score* :: $\langle ('v, -) \text{ ann-lits} \Rightarrow - \rangle$ **where**
 $\langle \text{ocdcl-score } M =$
 $\text{rev } (\text{map nat-of-search-deph } (\text{remove-dup-information-raw } (\text{complete-trail } (\text{truncate-trail } M)))) \rangle$

interpretation *enc-weight-opt*: *conflict-driven-clause-learning_W-optimal-weight* **where**
state-eq = *state-eq* **and**
state = *state* **and**
trail = *trail* **and**
init-clss = *init-clss* **and**
learned-clss = *learned-clss* **and**
conflicting = *conflicting* **and**
cons-trail = *cons-trail* **and**
tl-trail = *tl-trail* **and**
add-learned-cls = *add-learned-cls* **and**
remove-cls = *remove-cls* **and**
update-conflicting = *update-conflicting* **and**
init-state = *init-state* **and**
 $\varrho = \varrho_e$ **and**
update-additional-info = *update-additional-info*
 $\langle \text{proof} \rangle$

lemma

$\langle (a, b) \in \text{lexn less-than } n \implies (b, c) \in \text{lexn less-than } n \vee b = c \implies (a, c) \in \text{lexn less-than } n \rangle$
 $\langle (a, b) \in \text{lexn less-than } n \implies (b, c) \in \text{lexn less-than } n \vee b = c \implies (a, c) \in \text{lexn less-than } n \rangle$
 $\langle \text{proof} \rangle$

lemma *truncate-trail-Prop[simp]*:

$\langle \text{truncate-trail } (\text{Propagated } L \ E \ \# \ S) = \text{truncate-trail } (S) \rangle$
 $\langle \text{proof} \rangle$

lemma *ocdcl-score-Prop[simp]*:

$\langle \text{ocdcl-score } (\text{Propagated } L \ E \ \# \ S) = \text{ocdcl-score } (S) \rangle$
 $\langle \text{proof} \rangle$

lemma *remove-dup-information-raw2-undefined-Σ*:

$\langle \text{distinct } xs \implies$
 $(\bigwedge L. L \in \text{set } xs \implies \text{undefined-lit } M \ (\text{Pos } L) \implies L \in \Sigma \implies \text{undefined-lit } MM \ (\text{Pos } L)) \implies$
 $\text{remove-dup-information-raw2 } MM$
 $(\text{map } (\text{Decided } \circ \text{Pos})$
 $(\text{filter } (\text{unset-literals-in-}\Sigma \ M)$
 $xs)) =$
 $\text{map } (\text{SD-TWO } \circ \text{Decided } \circ \text{Pos})$
 $(\text{filter } (\text{unset-literals-in-}\Sigma \ M)$
 $xs)) \rangle$
 $\langle \text{proof} \rangle$

lemma *defined-lit-map-Decided-pos*:

$\langle \text{defined-lit } (\text{map } (\text{Decided } \circ \text{Pos}) \ M) \ L \longleftrightarrow \text{atm-of } L \in \text{set } M \rangle$
 $\langle \text{proof} \rangle$

lemma *remove-dup-information-raw2-full-undefined-Σ*:

$\langle \text{distinct } xs \implies \text{set } xs \subseteq \text{set all-clauses-literals} \implies$
 $(\bigwedge L. L \in \text{set } xs \implies \text{undefined-lit } M \ (\text{Pos } L) \implies L \notin \Sigma - \Delta\Sigma \implies$
 $\text{undefined-lit } M \ (\text{Pos } (\text{opposite-var } L)) \implies L \in \text{replacement-pos } \Delta\Sigma \implies$
 $\text{undefined-lit } MM \ (\text{Pos } (\text{opposite-var } L))) \implies$
 $\text{remove-dup-information-raw2 } MM$
 $(\text{map } (\text{Decided } \circ \text{Pos})$
 $(\text{filter } (\text{full-unset-literals-in-}\Delta\Sigma \ M)$
 $xs)) =$
 $\text{map } (\text{SD-ONE } \circ \text{Decided } \circ \text{Pos})$
 $(\text{filter } (\text{full-unset-literals-in-}\Delta\Sigma \ M)$
 $xs)) \rangle$
 $\langle \text{proof} \rangle$

lemma *full-unset-literals-in-ΔΣ-notin[simp]*:

$\langle La \in \Sigma \implies \text{full-unset-literals-in-}\Delta\Sigma \ M \ La \longleftrightarrow \text{False} \rangle$
 $\langle La \in \Sigma \implies \text{full-unset-literals-in-}\Delta\Sigma' \ M \ La \longleftrightarrow \text{False} \rangle$
 $\langle \text{proof} \rangle$

lemma *Decided-in-definedD*: $\langle \text{Decided } K \in \text{set } M \implies \text{defined-lit } M \ K \rangle$

$\langle \text{proof} \rangle$

lemma *full-unset-literals-in-ΔΣ'-full-unset-literals-in-ΔΣ*:

$\langle L \in \text{replacement-pos } \Delta\Sigma \cup \text{replacement-neg } \Delta\Sigma \implies$
 $\text{full-unset-literals-in-}\Delta\Sigma' \ M \ (\text{opposite-var } L) \longleftrightarrow \text{full-unset-literals-in-}\Delta\Sigma \ M \ L \rangle$
 $\langle \text{proof} \rangle$

lemma *remove-dup-information-raw2-full-unset-literals-in- $\Delta\Sigma'$* :
 $\langle (\bigwedge L. L \in \text{set } (\text{filter } (\text{full-unset-literals-in-}\Delta\Sigma' M) \text{ } xs) \implies \text{Decided } (\text{Pos } (\text{opposite-var } L)) \in \text{set } M') \implies$
 $\text{set } xs \subseteq \text{set all-clauses-literals} \implies$
 $(\text{remove-dup-information-raw2}$
 $\quad M'$
 $\quad (\text{map } (\text{Decided } \circ \text{Pos})$
 $\quad (\text{filter } (\text{full-unset-literals-in-}\Delta\Sigma' (M))$
 $\quad \quad xs))) = [] \rangle$
 $\langle \text{proof} \rangle$

lemma
fixes $M :: \langle ('v, -) \text{ ann-lits} \rangle$ **and** $L :: \langle ('v, -) \text{ ann-lit} \rangle$
defines $\langle n1 \equiv \text{map nat-of-search-deph } (\text{remove-dup-information-raw } (\text{complete-trail } (L \# M))) \rangle$ **and**
 $\langle n2 \equiv \text{map nat-of-search-deph } (\text{remove-dup-information-raw } (\text{complete-trail } M)) \rangle$
assumes
 $\text{lits: } \langle \text{atm-of } ' (\text{lits-of-l } (L \# M)) \subseteq \text{set all-clauses-literals} \rangle$ **and**
 $\text{undef: } \langle \text{undefined-lit } M \text{ (lit-of } L) \rangle$
shows
 $\langle (\text{rev } n1, \text{rev } n2) \in \text{lexn less-than } n \vee n1 = n2 \rangle$

$\langle \text{proof} \rangle$

lemma

defines $\langle n \equiv \text{card } \Sigma \rangle$
assumes
 $\langle \text{init-clss } S = \text{penc } N \rangle$ **and**
 $\langle \text{enc-weight-opt.cdcl-bnb-stgy } S \text{ } T \rangle$ **and**
 $\text{struct: } \langle \text{cdcl}_W\text{-restart-mset.cdcl}_W\text{-all-struct-inv } (\text{enc-weight-opt.abs-state } S) \rangle$ **and**
 $\text{smaller-propa: } \langle \text{no-smaller-propa } S \rangle$ **and**
 $\text{smaller-conf: } \langle \text{cdcl-bnb-stgy-inv } S \rangle$
shows $\langle (\text{ocdcl-score } (\text{trail } T), \text{ocdcl-score } (\text{trail } S)) \in \text{lexn less-than } n \vee$
 $\text{ocdcl-score } (\text{trail } T) = \text{ocdcl-score } (\text{trail } S) \rangle$
 $\langle \text{proof} \rangle$

end

interpretation *enc-weight-opt: conflict-driven-clause-learning_W-optimal-weight* **where**
 $\text{state-eq} = \text{state-eq}$ **and**
 $\text{state} = \text{state}$ **and**
 $\text{trail} = \text{trail}$ **and**
 $\text{init-clss} = \text{init-clss}$ **and**
 $\text{learned-clss} = \text{learned-clss}$ **and**
 $\text{conflicting} = \text{conflicting}$ **and**
 $\text{cons-trail} = \text{cons-trail}$ **and**
 $\text{tl-trail} = \text{tl-trail}$ **and**
 $\text{add-learned-cls} = \text{add-learned-cls}$ **and**
 $\text{remove-cls} = \text{remove-cls}$ **and**
 $\text{update-conflicting} = \text{update-conflicting}$ **and**
 $\text{init-state} = \text{init-state}$ **and**
 $\varrho = \varrho_e$ **and**
 $\text{update-additional-info} = \text{update-additional-info}$
 $\langle \text{proof} \rangle$

inductive *simple-backtrack-conflict-opt* $:: \langle 'st \Rightarrow 'st \Rightarrow \text{bool} \rangle$ **where**
 $\langle \text{simple-backtrack-conflict-opt } S \text{ } T \rangle$
if

$\langle \text{backtrack-split } (\text{trail } S) = (M2, \text{Decided } K \# M1) \rangle$ and
 $\langle \text{negate-ann-lits } (\text{trail } S) \in \# \text{ enc-weight-opt.conflicting-clss } S \rangle$ and
 $\langle \text{conflicting } S = \text{None} \rangle$ and
 $\langle T \sim \text{cons-trail } (\text{Propagated } (-K) (\text{DECO-clause } (\text{trail } S)))$
 $(\text{add-learned-cls } (\text{DECO-clause } (\text{trail } S)) (\text{reduce-trail-to } M1 S)) \rangle$

inductive-cases *simple-backtrack-conflict-optE*: $\langle \text{simple-backtrack-conflict-opt } S T \rangle$

lemma *simple-backtrack-conflict-opt-conflict-analysis*:

assumes $\langle \text{simple-backtrack-conflict-opt } S U \rangle$ and
 $\text{inv: } \langle \text{cdcl}_W\text{-restart-mset.cdcl}_W\text{-all-struct-inv } (\text{enc-weight-opt.abs-state } S) \rangle$
shows $\langle \exists T T'. \text{enc-weight-opt.conflict-opt } S T \wedge \text{resolve}^{**} T T'$
 $\wedge \text{enc-weight-opt.obacktrack } T' U \rangle$
 $\langle \text{proof} \rangle$

inductive *conflict-opt0* :: $\langle 'st \Rightarrow 'st \Rightarrow \text{bool} \rangle$ **where**

$\langle \text{conflict-opt0 } S T \rangle$

if

$\langle \text{count-decided } (\text{trail } S) = 0 \rangle$ and
 $\langle \text{negate-ann-lits } (\text{trail } S) \in \# \text{ enc-weight-opt.conflicting-clss } S \rangle$ and
 $\langle \text{conflicting } S = \text{None} \rangle$ and
 $\langle T \sim \text{update-conflicting } (\text{Some } \{\#\}) (\text{reduce-trail-to } ([] :: ('v, 'v \text{ clause}) \text{ ann-lits } S)) \rangle$

inductive-cases *conflict-opt0E*: $\langle \text{conflict-opt0 } S T \rangle$

inductive *cdcl-dpll-bnb-r* :: $\langle 'st \Rightarrow 'st \Rightarrow \text{bool} \rangle$ **for** $S :: 'st$ **where**

$\text{cdcl-conflict: } \text{conflict } S S' \implies \text{cdcl-dpll-bnb-r } S S' \mid$
 $\text{cdcl-propagate: } \text{propagate } S S' \implies \text{cdcl-dpll-bnb-r } S S' \mid$
 $\text{cdcl-improve: } \text{enc-weight-opt.improvep } S S' \implies \text{cdcl-dpll-bnb-r } S S' \mid$
 $\text{cdcl-conflict-opt0: } \text{conflict-opt0 } S S' \implies \text{cdcl-dpll-bnb-r } S S' \mid$
 $\text{cdcl-simple-backtrack-conflict-opt:}$
 $\langle \text{simple-backtrack-conflict-opt } S S' \implies \text{cdcl-dpll-bnb-r } S S' \rangle \mid$
 $\text{cdcl-o': } \text{ocdcl}_W\text{-o-r } S S' \implies \text{cdcl-dpll-bnb-r } S S'$

inductive *cdcl-dpll-bnb-r-stgy* :: $\langle 'st \Rightarrow 'st \Rightarrow \text{bool} \rangle$ **for** $S :: 'st$ **where**

$\text{cdcl-dpll-bnb-r-conflict: } \text{conflict } S S' \implies \text{cdcl-dpll-bnb-r-stgy } S S' \mid$
 $\text{cdcl-dpll-bnb-r-propagate: } \text{propagate } S S' \implies \text{cdcl-dpll-bnb-r-stgy } S S' \mid$
 $\text{cdcl-dpll-bnb-r-improve: } \text{enc-weight-opt.improvep } S S' \implies \text{cdcl-dpll-bnb-r-stgy } S S' \mid$
 $\text{cdcl-dpll-bnb-r-conflict-opt0: } \text{conflict-opt0 } S S' \implies \text{cdcl-dpll-bnb-r-stgy } S S' \mid$
 $\text{cdcl-dpll-bnb-r-simple-backtrack-conflict-opt:}$
 $\langle \text{simple-backtrack-conflict-opt } S S' \implies \text{cdcl-dpll-bnb-r-stgy } S S' \rangle \mid$
 $\text{cdcl-dpll-bnb-r-other': } \text{ocdcl}_W\text{-o-r } S S' \implies \text{no-conflict-prop-impr } S \implies \text{cdcl-dpll-bnb-r-stgy } S S'$

lemma *no-dup-dropI*:

$\langle \text{no-dup } M \implies \text{no-dup } (\text{drop } n M) \rangle$
 $\langle \text{proof} \rangle$

lemma *trancpl-resolve-state-eq-compatible*:

$\langle \text{resolve}^{++} S T \implies T \sim T' \implies \text{resolve}^{++} S T' \rangle$
 $\langle \text{proof} \rangle$

lemma *conflict-opt0-state-eq-compatible*:

$\langle \text{conflict-opt0 } S T \implies S \sim S' \implies T \sim T' \implies \text{conflict-opt0 } S' T' \rangle$
 $\langle \text{proof} \rangle$

lemma *conflict-opt0-conflict-opt*:

assumes $\langle \text{conflict-opt0 } S \ U \rangle$ **and**

inv: $\langle \text{cdcl}_W\text{-restart-mset.cdcl}_W\text{-all-struct-inv } (\text{enc-weight-opt.abs-state } S) \rangle$

shows $\langle \exists T. \text{enc-weight-opt.conflict-opt } S \ T \wedge \text{resolve}^{**} T \ U \rangle$

$\langle \text{proof} \rangle$

lemma *backtrack-split-some-is-decided-then-snd-has-hd2*:

$\langle \exists l \in \text{set } M. \text{is-decided } l \implies \exists M' L' M''. \text{backtrack-split } M = (M'', \text{Decided } L' \# M') \rangle$

$\langle \text{proof} \rangle$

lemma *no-step-conflict-opt0-simple-backtrack-conflict-opt*:

$\langle \text{no-step conflict-opt0 } S \implies \text{no-step simple-backtrack-conflict-opt } S \implies$

$\text{no-step enc-weight-opt.conflict-opt } S \rangle$

$\langle \text{proof} \rangle$

lemma *no-step-cdcl-dpll-bnb-r-cdcl-bnb-r*:

assumes $\langle \text{cdcl}_W\text{-restart-mset.cdcl}_W\text{-all-struct-inv } (\text{enc-weight-opt.abs-state } S) \rangle$

shows

$\langle \text{no-step cdcl-dpll-bnb-r } S \longleftrightarrow \text{no-step cdcl-bnb-r } S \rangle$ (**is** $\langle ?A \longleftrightarrow ?B \rangle$)

$\langle \text{proof} \rangle$

lemma *cdcl-dpll-bnb-r-cdcl-bnb-r*:

assumes $\langle \text{cdcl-dpll-bnb-r } S \ T \rangle$ **and**

$\langle \text{cdcl}_W\text{-restart-mset.cdcl}_W\text{-all-struct-inv } (\text{enc-weight-opt.abs-state } S) \rangle$

shows $\langle \text{cdcl-bnb-r}^{**} S \ T \rangle$

$\langle \text{proof} \rangle$

lemma *resolve-no-prop-confli*: $\langle \text{resolve } S \ T \implies \text{no-step propagate } S \wedge \text{no-step conflict } S \rangle$

$\langle \text{proof} \rangle$

lemma *cdcl-bnb-r-stgy-res*:

$\langle \text{resolve } S \ T \implies \text{cdcl-bnb-r-stgy } S \ T \rangle$

$\langle \text{proof} \rangle$

lemma *rtranclp-cdcl-bnb-r-stgy-res*:

$\langle \text{resolve}^{**} S \ T \implies \text{cdcl-bnb-r-stgy}^{**} S \ T \rangle$

$\langle \text{proof} \rangle$

lemma *obacktrack-no-prop-confli*: $\langle \text{enc-weight-opt.obacktrack } S \ T \implies \text{no-step propagate } S \wedge \text{no-step conflict } S \rangle$

$\langle \text{proof} \rangle$

lemma *cdcl-bnb-r-stgy-bt*:

$\langle \text{enc-weight-opt.obacktrack } S \ T \implies \text{cdcl-bnb-r-stgy } S \ T \rangle$

$\langle \text{proof} \rangle$

lemma *cdcl-dpll-bnb-r-stgy-cdcl-bnb-r-stgy*:

assumes $\langle \text{cdcl-dpll-bnb-r-stgy } S \ T \rangle$ **and**

$\langle \text{cdcl}_W\text{-restart-mset.cdcl}_W\text{-all-struct-inv } (\text{enc-weight-opt.abs-state } S) \rangle$

shows $\langle \text{cdcl-bnb-r-stgy}^{**} S \ T \rangle$

$\langle \text{proof} \rangle$

lemma *cdcl-bnb-r-stgy-cdcl-bnb-r*:

$\langle \text{cdcl-bnb-r-stgy } S \ T \implies \text{cdcl-bnb-r } S \ T \rangle$

$\langle \text{proof} \rangle$

lemma *rtrancpl-cdcl-bnb-r-stgy-cdcl-bnb-r*:
 $\langle \text{cdcl-bnb-r-stgy}^{**} S T \implies \text{cdcl-bnb-r}^{**} S T \rangle$
 $\langle \text{proof} \rangle$

context

fixes $S :: 'st$

assumes $S\text{-}\Sigma$: $\langle \text{atms-of-mm} (\text{init-clss } S) = \Sigma - \Delta\Sigma \cup \text{replacement-pos } \Delta\Sigma \cup \text{replacement-neg } \Delta\Sigma \rangle$

begin

lemma *cdcl-dpll-bnb-r-stgy-all-struct-inv*:

$\langle \text{cdcl-dpll-bnb-r-stgy } S T \implies$
 $\text{cdcl}_W\text{-restart-mset.cdcl}_W\text{-all-struct-inv } (\text{enc-weight-opt.abs-state } S) \implies$
 $\text{cdcl}_W\text{-restart-mset.cdcl}_W\text{-all-struct-inv } (\text{enc-weight-opt.abs-state } T) \rangle$
 $\langle \text{proof} \rangle$

end

lemma *cdcl-bnb-r-stgy-cdcl-dpll-bnb-r-stgy*:

$\langle \text{cdcl-bnb-r-stgy } S T \implies \exists T. \text{cdcl-dpll-bnb-r-stgy } S T \rangle$
 $\langle \text{proof} \rangle$

context

fixes $S :: 'st$

assumes $S\text{-}\Sigma$: $\langle \text{atms-of-mm} (\text{init-clss } S) = \Sigma - \Delta\Sigma \cup \text{replacement-pos } \Delta\Sigma \cup \text{replacement-neg } \Delta\Sigma \rangle$

begin

lemma *rtrancpl-cdcl-dpll-bnb-r-stgy-cdcl-bnb-r*:

assumes $\langle \text{cdcl-dpll-bnb-r-stgy}^{**} S T \rangle$ **and**
 $\langle \text{cdcl}_W\text{-restart-mset.cdcl}_W\text{-all-struct-inv } (\text{enc-weight-opt.abs-state } S) \rangle$
shows $\langle \text{cdcl-bnb-r-stgy}^{**} S T \rangle$
 $\langle \text{proof} \rangle$

lemma *rtrancpl-cdcl-dpll-bnb-r-stgy-all-struct-inv*:

$\langle \text{cdcl-dpll-bnb-r-stgy}^{**} S T \implies$
 $\text{cdcl}_W\text{-restart-mset.cdcl}_W\text{-all-struct-inv } (\text{enc-weight-opt.abs-state } S) \implies$
 $\text{cdcl}_W\text{-restart-mset.cdcl}_W\text{-all-struct-inv } (\text{enc-weight-opt.abs-state } T) \rangle$
 $\langle \text{proof} \rangle$

lemma *full-cdcl-dpll-bnb-r-stgy-full-cdcl-bnb-r-stgy*:

assumes $\langle \text{full cdcl-dpll-bnb-r-stgy } S T \rangle$ **and**
 $\langle \text{cdcl}_W\text{-restart-mset.cdcl}_W\text{-all-struct-inv } (\text{enc-weight-opt.abs-state } S) \rangle$
shows $\langle \text{full cdcl-bnb-r-stgy } S T \rangle$
 $\langle \text{proof} \rangle$

end

lemma *replace-pos-neg-not-both-decided-highest-lvl*:

assumes

struct: $\langle \text{cdcl}_W\text{-restart-mset.cdcl}_W\text{-all-struct-inv } (\text{enc-weight-opt.abs-state } S) \rangle$ **and**
smaller-propa: $\langle \text{no-smaller-propa } S \rangle$ **and**
smaller-confl: $\langle \text{no-smaller-confl } S \rangle$ **and**
dec0: $\langle \text{Pos } (A^{\rightarrow 0}) \in \text{lits-of-l } (\text{trail } S) \rangle$ **and**
dec1: $\langle \text{Pos } (A^{\rightarrow 1}) \in \text{lits-of-l } (\text{trail } S) \rangle$ **and**
add: $\langle \text{additional-constraints } \subseteq \# \text{ init-clss } S \rangle$ **and**
 $\langle \text{simp} \rangle$: $\langle A \in \Delta\Sigma \rangle$

shows $\langle \text{get-level } (\text{trail } S) \text{ (Pos } (A^{\mapsto 0})) = \text{backtrack-lvl } S \wedge$
 $\text{get-level } (\text{trail } S) \text{ (Pos } (A^{\mapsto 1})) = \text{backtrack-lvl } S \rangle$
 $\langle \text{proof} \rangle$

lemma *cdcl-dpll-bnb-r-stgy-clauses-mono*:
 $\langle \text{cdcl-dpll-bnb-r-stgy } S \ T \implies \text{clauses } S \subseteq \# \text{ clauses } T \rangle$
 $\langle \text{proof} \rangle$

lemma *rtrancp-cdcl-dpll-bnb-r-stgy-clauses-mono*:
 $\langle \text{cdcl-dpll-bnb-r-stgy}^{**} S \ T \implies \text{clauses } S \subseteq \# \text{ clauses } T \rangle$
 $\langle \text{proof} \rangle$

lemma *cdcl-dpll-bnb-r-stgy-init-clss-eq*:
 $\langle \text{cdcl-dpll-bnb-r-stgy } S \ T \implies \text{init-clss } S = \text{init-clss } T \rangle$
 $\langle \text{proof} \rangle$

lemma *rtrancp-cdcl-dpll-bnb-r-stgy-init-clss-eq*:
 $\langle \text{cdcl-dpll-bnb-r-stgy}^{**} S \ T \implies \text{init-clss } S = \text{init-clss } T \rangle$
 $\langle \text{proof} \rangle$

context

fixes $S :: 'st$ **and** $N :: \langle 'v \text{ clauses} \rangle$

assumes $S \cdot \Sigma: \langle \text{init-clss } S = \text{penc } N \rangle$

begin

lemma *replacement-pos-neg-defined-same-lvl*:

assumes

struct: $\langle \text{cdcl}_W\text{-restart-mset.cdcl}_W\text{-all-struct-inv } (\text{enc-weight-opt.abs-state } S) \rangle$ **and**

$A: \langle A \in \Delta \Sigma \rangle$ **and**

lev: $\langle \text{get-level } (\text{trail } S) \text{ (Pos } (\text{replacement-pos } A)) < \text{backtrack-lvl } S \rangle$ **and**

smaller-propa: $\langle \text{no-smaller-propa } S \rangle$ **and**

smaller-conf: $\langle \text{cdcl-bnb-stgy-inv } S \rangle$

shows

$\langle \text{Pos } (\text{replacement-pos } A) \in \text{lits-of-l } (\text{trail } S) \implies$

$\text{Neg } (\text{replacement-neg } A) \in \text{lits-of-l } (\text{trail } S) \rangle$

$\langle \text{proof} \rangle$

lemma *replacement-pos-neg-defined-same-lvl'*:

assumes

struct: $\langle \text{cdcl}_W\text{-restart-mset.cdcl}_W\text{-all-struct-inv } (\text{enc-weight-opt.abs-state } S) \rangle$ **and**

$A: \langle A \in \Delta \Sigma \rangle$ **and**

lev: $\langle \text{get-level } (\text{trail } S) \text{ (Pos } (\text{replacement-neg } A)) < \text{backtrack-lvl } S \rangle$ **and**

smaller-propa: $\langle \text{no-smaller-propa } S \rangle$ **and**

smaller-conf: $\langle \text{cdcl-bnb-stgy-inv } S \rangle$

shows

$\langle \text{Pos } (\text{replacement-neg } A) \in \text{lits-of-l } (\text{trail } S) \implies$

$\text{Neg } (\text{replacement-pos } A) \in \text{lits-of-l } (\text{trail } S) \rangle$

$\langle \text{proof} \rangle$

end

definition *all-new-literals* $:: \langle 'v \text{ list} \rangle$ **where**

$\langle \text{all-new-literals} = (\text{SOME } xs. \text{mset } xs = \text{mset-set } (\text{replacement-neg } ' \Delta\Sigma \cup \text{replacement-pos } ' \Delta\Sigma)) \rangle$

lemma *set-all-new-literals[simp]*:

$\langle \text{set all-new-literals} = (\text{replacement-neg } ' \Delta\Sigma \cup \text{replacement-pos } ' \Delta\Sigma) \rangle$
 $\langle \text{proof} \rangle$

This function is basically resolving the clause with all the additional clauses $\{\#Neg (L^{\mapsto 1}), Neg (L^{\mapsto 0})\# \}$.

fun *resolve-with-all-new-literals* :: $\langle 'v \text{ clause} \Rightarrow 'v \text{ list} \Rightarrow 'v \text{ clause} \rangle$ **where**

$\langle \text{resolve-with-all-new-literals } C [] = C \rangle$ |
 $\langle \text{resolve-with-all-new-literals } C (L \# Ls) =$
 $\quad \text{remdups-mset } (\text{resolve-with-all-new-literals } (\text{if Pos } L \in \# C \text{ then add-mset } (Neg (\text{opposite-var } L))$
 $\quad (\text{removeAll-mset } (Pos L) C) \text{ else } C) Ls \rangle$

abbreviation *normalize2* **where**

$\langle \text{normalize2 } C \equiv \text{resolve-with-all-new-literals } C \text{ all-new-literals} \rangle$

lemma *Neg-in-normalize2[simp]*: $\langle Neg L \in \# C \implies Neg L \in \# \text{resolve-with-all-new-literals } C xs \rangle$
 $\langle \text{proof} \rangle$

lemma *Pos-in-normalize2D[dest]*: $\langle Pos L \in \# \text{resolve-with-all-new-literals } C xs \implies Pos L \in \# C \rangle$
 $\langle \text{proof} \rangle$

lemma *opposite-var-involutive[simp]*:

$\langle L \in (\text{replacement-neg } ' \Delta\Sigma \cup \text{replacement-pos } ' \Delta\Sigma) \implies \text{opposite-var } (\text{opposite-var } L) = L \rangle$
 $\langle \text{proof} \rangle$

lemma *Neg-in-resolve-with-all-new-literals-Pos-notin*:

$\langle L \in (\text{replacement-neg } ' \Delta\Sigma \cup \text{replacement-pos } ' \Delta\Sigma) \implies \text{set } xs \subseteq (\text{replacement-neg } ' \Delta\Sigma \cup$
 $\text{replacement-pos } ' \Delta\Sigma) \implies$
 $\quad Pos (\text{opposite-var } L) \notin \# C \implies Neg L \in \# \text{resolve-with-all-new-literals } C xs \longleftrightarrow Neg L \in \# C \rangle$
 $\langle \text{proof} \rangle$

lemma *Pos-in-normalize2-Neg-notin[simp]*:

$\langle L \in (\text{replacement-neg } ' \Delta\Sigma \cup \text{replacement-pos } ' \Delta\Sigma) \implies$
 $\quad Pos (\text{opposite-var } L) \notin \# C \implies Neg L \in \# \text{normalize2 } C \longleftrightarrow Neg L \in \# C \rangle$
 $\langle \text{proof} \rangle$

lemma *all-negation-deleted*:

$\langle L \in \text{set all-new-literals} \implies Pos L \notin \# \text{normalize2 } C \rangle$
 $\langle \text{proof} \rangle$

lemma *Pos-in-resolve-with-all-new-literals-iff-already-in-or-negation-in*:

$\langle L \in \text{set all-new-literals} \implies \text{set } xs \subseteq (\text{replacement-neg } ' \Delta\Sigma \cup \text{replacement-pos } ' \Delta\Sigma) \implies Neg L \in \#$
 $\text{resolve-with-all-new-literals } C xs \implies$
 $\quad Neg L \in \# C \vee Pos (\text{opposite-var } L) \in \# C \rangle$
 $\langle \text{proof} \rangle$

lemma *Pos-in-normalize2-iff-already-in-or-negation-in*:

$\langle L \in \text{set all-new-literals} \implies Neg L \in \# \text{normalize2 } C \implies$
 $\quad Neg L \in \# C \vee Pos (\text{opposite-var } L) \in \# C \rangle$
 $\langle \text{proof} \rangle$

This proof makes it hard to measure progress because I currently do not see a way to distinguish

between $\text{add-mset } (A^{\mapsto 1}) \ C$ and $\text{add-mset } (A^{\mapsto 1}) (\text{add-mset } (A^{\mapsto 0}) \ C)$.

lemma

assumes

$\langle \text{enc-weight-opt.cdcl-bnb-stgy } S \ T \rangle$ **and**

$\text{struct: } \langle \text{cdcl}_W\text{-restart-mset.cdcl}_W\text{-all-struct-inv } (\text{enc-weight-opt.abs-state } S) \rangle$ **and**

$\text{dist: } \langle \text{distinct-mset } (\text{normalize-clause } \text{'\# learned-clss } S) \rangle$ **and**

$\text{smaller-propa: } \langle \text{no-smaller-propa } S \rangle$ **and**

$\text{smaller-confl: } \langle \text{cdcl-bnb-stgy-inv } S \rangle$

shows $\langle \text{distinct-mset } (\text{remdups-mset } (\text{normalize2 } \text{'\# learned-clss } T)) \rangle$

$\langle \text{proof} \rangle$

find-theorems get-level Pos Neg

end

end

theory *CDCL-W-Covering-Models*

imports *CDCL-W-Optimal-Model*

begin

0.2 Covering Models

I am only interested in the extension of CDCL to find covering mdoels, not in the required subsequent extraction of the minimal covering models.

type-synonym $\text{'v cov} = \langle \text{'v literal multiset multiset} \rangle$

lemma *true-clss-cls-in-susbsuming:*

$\langle C' \subseteq \# C \implies C' \in N \implies N \models_p C \rangle$

$\langle \text{proof} \rangle$

locale *covering-models* =

fixes

$\varrho :: \langle \text{'v} \Rightarrow \text{bool} \rangle$

begin

definition *model-is-dominated* :: $\langle \text{'v literal multiset} \Rightarrow \text{'v literal multiset} \Rightarrow \text{bool} \rangle$ **where**

$\langle \text{model-is-dominated } M \ M' \longleftrightarrow$

$\text{filter-mset } (\lambda L. \text{is-pos } L \wedge \varrho (\text{atm-of } L)) \ M \subseteq \# \text{filter-mset } (\lambda L. \text{is-pos } L \wedge \varrho (\text{atm-of } L)) \ M' \rangle$

lemma *model-is-dominated-refl:* $\langle \text{model-is-dominated } I \ I \rangle$

$\langle \text{proof} \rangle$

lemma *model-is-dominated-trans:*

$\langle \text{model-is-dominated } I \ J \implies \text{model-is-dominated } J \ K \implies \text{model-is-dominated } I \ K \rangle$

$\langle \text{proof} \rangle$

definition *is-dominating* :: $\langle \text{'v literal multiset multiset} \Rightarrow \text{'v literal multiset} \Rightarrow \text{bool} \rangle$ **where**

$\langle \text{is-dominating } \mathcal{M} \ I \longleftrightarrow (\exists M \in \# \mathcal{M}. \exists J. I \subseteq \# J \wedge \text{model-is-dominated } J \ M) \rangle$

lemma

is-dominating-in:

$\langle I \in \# \mathcal{M} \implies \text{is-dominating } \mathcal{M} \ I \rangle$ **and**

is-dominating-mono:

$\langle \text{is-dominating } \mathcal{M} \ I \implies \text{set-mset } \mathcal{M} \subseteq \text{set-mset } \mathcal{M}' \implies \text{is-dominating } \mathcal{M}' \ I \rangle$ **and**

is-dominating-mono-model:

$\langle \text{is-dominating } \mathcal{M} \ I \implies I' \subseteq \# \ I \implies \text{is-dominating } \mathcal{M} \ I' \rangle$
 $\langle \text{proof} \rangle$

lemma *is-dominating-add-mset:*

$\langle \text{is-dominating } (\text{add-mset } x \ \mathcal{M}) \ I \longleftrightarrow$
 $\text{is-dominating } \mathcal{M} \ I \vee (\exists J. I \subseteq \# \ J \wedge \text{model-is-dominated } J \ x) \rangle$
 $\langle \text{proof} \rangle$

definition *is-improving-int*

$:: \langle ('v, 'v \text{ clause}) \text{ ann-lits} \Rightarrow ('v, 'v \text{ clause}) \text{ ann-lits} \Rightarrow 'v \text{ clauses} \Rightarrow 'v \text{ cov} \Rightarrow \text{bool} \rangle$

where

$\langle \text{is-improving-int } M \ M' \ N \ \mathcal{M} \longleftrightarrow$
 $M = M' \wedge (\forall I \in \# \ \mathcal{M}. \neg \text{model-is-dominated } (\text{lit-of } \# \ \text{mset } M) \ I) \wedge$
 $\text{total-over-m } (\text{lits-of-l } M) \ (\text{set-mset } N) \wedge$
 $\text{lit-of } \# \ \text{mset } M \in \text{simple-clss } (\text{atms-of-mm } N) \wedge$
 $\text{lit-of } \# \ \text{mset } M \notin \# \ \mathcal{M} \wedge$
 $M \models_{\text{asm}} N \wedge$
 $\text{no-dup } M \rangle$

This criteria is a bit more general than Weidenbach's version.

abbreviation *conflicting-clauses-ent* **where**

$\langle \text{conflicting-clauses-ent } N \ \mathcal{M} \equiv$
 $\{ \# \text{pNeg } \{ \# L \in \# \ x. \varrho \ (\text{atm-of } L) \# \}. \}$
 $x \in \# \ \text{filter-mset } (\lambda x. \text{is-dominating } \mathcal{M} \ x \wedge \text{atms-of } x = \text{atms-of-mm } N)$
 $(\text{mset-set } (\text{simple-clss } (\text{atms-of-mm } N))) \# \} + N \rangle$

definition *conflicting-clauses*

$:: \langle 'v \text{ clauses} \Rightarrow 'v \text{ cov} \Rightarrow 'v \text{ clauses} \rangle$

where

$\langle \text{conflicting-clauses } N \ \mathcal{M} =$
 $\{ \# C \in \# \ \text{mset-set } (\text{simple-clss } (\text{atms-of-mm } N)) \}.$
 $\text{conflicting-clauses-ent } N \ \mathcal{M} \models_{\text{pm}} C \# \rangle$

lemma *conflicting-clauses-insert:*

assumes $\langle M \in \text{simple-clss } (\text{atms-of-mm } N) \rangle$ **and** $\langle \text{atms-of } M = \text{atms-of-mm } N \rangle$
shows $\langle \text{pNeg } M \in \# \ \text{conflicting-clauses } N \ (\text{add-mset } M \ w) \rangle$
 $\langle \text{proof} \rangle$

lemma *is-dominating-in-conflicting-clauses:*

assumes $\langle \text{is-dominating } \mathcal{M} \ I \rangle$ **and**
 $\text{atm: } \langle \text{atms-of-s } (\text{set-mset } I) = \text{atms-of-mm } N \rangle$ **and**
 $\langle \text{set-mset } I \models_m N \rangle$ **and**
 $\langle \text{consistent-interp } (\text{set-mset } I) \rangle$ **and**
 $\langle \neg \text{tautology } I \rangle$ **and**
 $\langle \text{distinct-mset } I \rangle$

shows

$\langle \text{pNeg } I \in \# \ \text{conflicting-clauses } N \ \mathcal{M} \rangle$

$\langle \text{proof} \rangle$

end

locale *conflict-driven-clause-learning_W-covering-models* =

conflict-driven-clause-learning_W
state-eq
state

— functions for the state:
 — access functions:
trail init-clss learned-clss conflicting
 — changing state:
cons-trail tl-trail add-learned-clss remove-clss
update-conflicting
 — get state:
init-state +
covering-models ϱ
for
state-eq :: '*st* \Rightarrow '*st* \Rightarrow bool (**infix** ~ 50) **and**
state :: '*st* \Rightarrow ('*v*, '*v* clause) ann-lits \times '*v* clauses \times '*v* clauses \times '*v* clause option \times
 '*v* cov \times '*b* **and**
trail :: '*st* \Rightarrow ('*v*, '*v* clause) ann-lits **and**
init-clss :: '*st* \Rightarrow '*v* clauses **and**
learned-clss :: '*st* \Rightarrow '*v* clauses **and**
conflicting :: '*st* \Rightarrow '*v* clause option **and**

cons-trail :: ('*v*, '*v* clause) ann-lit \Rightarrow '*st* \Rightarrow '*st* **and**
tl-trail :: '*st* \Rightarrow '*st* **and**
add-learned-clss :: '*v* clause \Rightarrow '*st* \Rightarrow '*st* **and**
remove-clss :: '*v* clause \Rightarrow '*st* \Rightarrow '*st* **and**
update-conflicting :: '*v* clause option \Rightarrow '*st* \Rightarrow '*st* **and**
init-state :: '*v* clauses \Rightarrow '*st* **and**
q :: '<'v \Rightarrow bool> +
fixes
update-additional-info :: '<'v cov \times '*b* \Rightarrow '*st* \Rightarrow '*st*>
assumes
update-additional-info:
 <state *S* = (*M*, *N*, *U*, *C*, *M*) \Longrightarrow state (update-additional-info *K'* *S*) = (*M*, *N*, *U*, *C*, *K'*)> **and**
weight-init-state:
 < $\bigwedge N ::$ '*v* clauses. *fst* (additional-info (init-state *N*)) = {#}>
begin

definition *update-weight-information* :: '<'v, '*v* clause> ann-lits \Rightarrow '*st* \Rightarrow '*st*> **where**
 <update-weight-information *M* *S* =

 update-additional-info (add-mset (lit-of '# mset *M*) (fst (additional-info *S*)), snd (additional-info
 S)) *S*>

lemma
trail-update-additional-info[simp]: <trail (update-additional-info *w* *S*) = trail *S*> **and**
init-clss-update-additional-info[simp]:
 <init-clss (update-additional-info *w* *S*) = init-clss *S*> **and**
learned-clss-update-additional-info[simp]:
 <learned-clss (update-additional-info *w* *S*) = learned-clss *S*> **and**
backtrack-lvl-update-additional-info[simp]:
 <backtrack-lvl (update-additional-info *w* *S*) = backtrack-lvl *S*> **and**
conflicting-update-additional-info[simp]:
 <conflicting (update-additional-info *w* *S*) = conflicting *S*> **and**
clauses-update-additional-info[simp]:
 <clauses (update-additional-info *w* *S*) = clauses *S*>
 <proof>

lemma
trail-update-weight-information[simp]:
 <trail (update-weight-information *w* *S*) = trail *S*> **and**

init-clss-update-weight-information[simp]:
 ⟨*init-clss* (*update-weight-information* *w* *S*) = *init-clss* *S*⟩ **and**
learned-clss-update-weight-information[simp]:
 ⟨*learned-clss* (*update-weight-information* *w* *S*) = *learned-clss* *S*⟩ **and**
backtrack-lvl-update-weight-information[simp]:
 ⟨*backtrack-lvl* (*update-weight-information* *w* *S*) = *backtrack-lvl* *S*⟩ **and**
conflicting-update-weight-information[simp]:
 ⟨*conflicting* (*update-weight-information* *w* *S*) = *conflicting* *S*⟩ **and**
clauses-update-weight-information[simp]:
 ⟨*clauses* (*update-weight-information* *w* *S*) = *clauses* *S*⟩
 ⟨*proof*⟩

definition *covering* :: ⟨'st ⇒ 'v cov⟩ **where**
 ⟨*covering* *S* = fst (additional-info *S*)⟩

lemma

additional-info-update-additional-info[simp]:
additional-info (*update-additional-info* *w* *S*) = *w*
 ⟨*proof*⟩

lemma

covering-cons-trail2[simp]: ⟨*covering* (*cons-trail* *L* *S*) = *covering* *S*⟩ **and**
clss-tl-trail2[simp]: *covering* (*tl-trail* *S*) = *covering* *S* **and**
covering-add-learned-cls-unfolded:
covering (*add-learned-cls* *U* *S*) = *covering* *S*
and
covering-update-conflicting2[simp]: *covering* (*update-conflicting* *D* *S*) = *covering* *S* **and**
covering-remove-cls2[simp]:
covering (*remove-cls* *C* *S*) = *covering* *S* **and**
covering-add-learned-cls2[simp]:
covering (*add-learned-cls* *C* *S*) = *covering* *S* **and**
covering-update-covering-information2[simp]:
covering (*update-weight-information* *M* *S*) = *add-mset* (*lit-of* '# mset *M*) (*covering* *S*)
 ⟨*proof*⟩

sublocale *conflict-driven-clause-learning_W* **where**

state-eq = *state-eq* **and**
state = *state* **and**
trail = *trail* **and**
init-clss = *init-clss* **and**
learned-clss = *learned-clss* **and**
conflicting = *conflicting* **and**
cons-trail = *cons-trail* **and**
tl-trail = *tl-trail* **and**
add-learned-cls = *add-learned-cls* **and**
remove-cls = *remove-cls* **and**
update-conflicting = *update-conflicting* **and**
init-state = *init-state*
 ⟨*proof*⟩

sublocale *conflict-driven-clause-learning-with-adding-init-clause-cost_W-no-state*
where

state = *state* **and**
trail = *trail* **and**

init-clss = *init-clss* **and**
learned-clss = *learned-clss* **and**
conflicting = *conflicting* **and**
cons-trail = *cons-trail* **and**
tl-trail = *tl-trail* **and**
add-learned-clss = *add-learned-clss* **and**
remove-clss = *remove-clss* **and**
update-conflicting = *update-conflicting* **and**
init-state = *init-state* **and**
weight = *covering* **and**
update-weight-information = *update-weight-information* **and**
is-improving-int = *is-improving-int* **and**
conflicting-clauses = *conflicting-clauses*
 ⟨proof⟩

lemma *state-additional-info2'*:

⟨state $S = (\text{trail } S, \text{init-clss } S, \text{learned-clss } S, \text{conflicting } S, \text{covering } S, \text{additional-info}' S)$ ⟩
 ⟨proof⟩

lemma *state-update-weight-information*:

⟨state $S = (M, N, U, C, w, \text{other}) \implies$
 $\exists w'. \text{state } (\text{update-weight-information } T S) = (M, N, U, C, w', \text{other})$ ⟩
 ⟨proof⟩

lemma *conflicting-clss-incl-init-clss*:

⟨atms-of-mm (*conflicting-clss* S) \subseteq atms-of-mm (*init-clss* S)⟩
 ⟨proof⟩

lemma *conflict-clss-update-weight-no-alien*:

⟨atms-of-mm (*conflicting-clss* (*update-weight-information* $M S$))
 \subseteq atms-of-mm (*init-clss* S)⟩
 ⟨proof⟩

lemma *distinct-mset-mset-conflicting-clss2*: ⟨distinct-mset-mset (*conflicting-clss* S)⟩

⟨proof⟩

lemma *total-over-m-atms-incl*:

assumes ⟨total-over-m M (set-mset N)⟩
shows
 ⟨ $x \in \text{atms-of-mm } N \implies x \in \text{atms-of-s } M$ ⟩
 ⟨proof⟩

lemma *negate-ann-lits-simple-clss-iff[iff]*:

⟨negate-ann-lits $M \in \text{simple-clss } N \longleftrightarrow \text{lit-of } \# \text{ mset } M \in \text{simple-clss } N$ ⟩
 ⟨proof⟩

lemma *conflicting-clss-update-weight-information-in2*:

assumes ⟨is-improving $M M' S$ ⟩
shows ⟨negate-ann-lits $M' \in \# \text{ conflicting-clss } (\text{update-weight-information } M' S)$ ⟩
 ⟨proof⟩

lemma *is-improving-conflicting-clss-update-weight-information*: ⟨is-improving $M M' S \implies$

$\text{conflicting-clss } S \subseteq \# \text{ conflicting-clss } (\text{update-weight-information } M' S)$ ⟩

$\langle \text{proof} \rangle$

sublocale *state_W-no-state*

where

state = *state* **and**
trail = *trail* **and**
init-clss = *init-clss* **and**
learned-clss = *learned-clss* **and**
conflicting = *conflicting* **and**
cons-trail = *cons-trail* **and**
tl-trail = *tl-trail* **and**
add-learned-cls = *add-learned-cls* **and**
remove-cls = *remove-cls* **and**
update-conflicting = *update-conflicting* **and**
init-state = *init-state*

$\langle \text{proof} \rangle$

sublocale *state_W-no-state* **where**

state-eq = *state-eq* **and**
state = *state* **and**
trail = *trail* **and**
init-clss = *init-clss* **and**
learned-clss = *learned-clss* **and**
conflicting = *conflicting* **and**
cons-trail = *cons-trail* **and**
tl-trail = *tl-trail* **and**
add-learned-cls = *add-learned-cls* **and**
remove-cls = *remove-cls* **and**
update-conflicting = *update-conflicting* **and**
init-state = *init-state*

$\langle \text{proof} \rangle$

sublocale *conflict-driven-clause-learning_W* **where**

state-eq = *state-eq* **and**
state = *state* **and**
trail = *trail* **and**
init-clss = *init-clss* **and**
learned-clss = *learned-clss* **and**
conflicting = *conflicting* **and**
cons-trail = *cons-trail* **and**
tl-trail = *tl-trail* **and**
add-learned-cls = *add-learned-cls* **and**
remove-cls = *remove-cls* **and**
update-conflicting = *update-conflicting* **and**
init-state = *init-state*

$\langle \text{proof} \rangle$

sublocale *conflict-driven-clause-learning-with-adding-init-clause-cost_W-ops*

where

state = *state* **and**
trail = *trail* **and**
init-clss = *init-clss* **and**
learned-clss = *learned-clss* **and**
conflicting = *conflicting* **and**
cons-trail = *cons-trail* **and**
tl-trail = *tl-trail* **and**

add-learned-cl = *add-learned-cl* **and**
remove-cl = *remove-cl* **and**
update-conflicting = *update-conflicting* **and**
init-state = *init-state* **and**
weight = *covering* **and**
update-weight-information = *update-weight-information* **and**
is-improving-int = *is-improving-int* **and**
conflicting-clauses = *conflicting-clauses*
 ⟨*proof*⟩

definition *covering-simple-clss* **where**

⟨*covering-simple-clss* *N S* \longleftrightarrow (*set-mset* (*covering* *S*) \subseteq *simple-clss* (*atms-of-mm* *N*)) \wedge
distinct-mset (*covering* *S*) \wedge
 ($\forall M \in \#$ *covering* *S*. *total-over-m* (*set-mset* *M*) (*set-mset* *N*))⟩

lemma [*simp*]: ⟨*covering* (*init-state* *N*) = {#}⟩
 ⟨*proof*⟩

lemma ⟨*covering-simple-clss* *N* (*init-state* *N*)⟩
 ⟨*proof*⟩

lemma *cdcl-bnb-covering-simple-clss*:

⟨*cdcl-bnb* *S T* \implies *init-clss* *S* = *N* \implies *covering-simple-clss* *N S* \implies *covering-simple-clss* *N T*⟩
 ⟨*proof*⟩

lemma *rtranclp-cdcl-bnb-covering-simple-clss*:

⟨*cdcl-bnb*^{**} *S T* \implies *init-clss* *S* = *N* \implies *covering-simple-clss* *N S* \implies *covering-simple-clss* *N T*⟩
 ⟨*proof*⟩

lemma *wf-cdcl-bnb-fixed*:

⟨*wf* {(*T*, *S*). *cdcl_W-restart-mset.cdcl_W-all-struct-inv* (*abs-state* *S*) \wedge *cdcl-bnb* *S T*
 \wedge *covering-simple-clss* *N S* \wedge *init-clss* *S* = *N*}⟩
 ⟨*proof*⟩

lemma *can-always-improve*:

assumes

ent: ⟨*trail* *S* \models_{asm} *clauses* *S*⟩ **and**
total: ⟨*total-over-m* (*lits-of-l* (*trail* *S*)) (*set-mset* (*clauses* *S*))⟩ **and**
n-s: ⟨*no-step conflict-opt* *S*⟩ **and**
confl: ⟨*conflicting* *S* = *None*⟩ **and**
all-struct: ⟨*cdcl_W-restart-mset.cdcl_W-all-struct-inv* (*abs-state* *S*)⟩

shows ⟨*Ex* (*improvep* *S*)⟩

⟨*proof*⟩

lemma *exists-model-with-true-lit-entails-conflicting*:

assumes

L-I: ⟨*Pos* *L* \in *I*⟩ **and**
L: ⟨*q* *L*⟩ **and**
L-in: ⟨*L* \in *atms-of-mm* (*init-clss* *S*)⟩ **and**
ent: ⟨*I* \models_m *init-clss* *S*⟩ **and**
cons: ⟨*consistent-interp* *I*⟩ **and**
total: ⟨*total-over-m* *I* (*set-mset* *N*)⟩ **and**
no-L: ⟨ $\neg(\exists J \in \#$ *covering* *S*. *Pos* *L* $\in \#$ *J*)⟩ **and**
cov: ⟨*covering-simple-clss* *N S*⟩ **and**
NS: ⟨*atms-of-mm* *N* = *atms-of-mm* (*init-clss* *S*)⟩

shows $\langle I \models_m \text{conflicting-clss } S \rangle$ **and**
 $\langle I \models_m \text{CDCL-}W\text{-Abstract-State.init-clss } (\text{abs-state } S) \rangle$
 $\langle \text{proof} \rangle$

lemma *exists-model-with-true-lit-still-model*:

assumes

$L\text{-}I$: $\langle \text{Pos } L \in I \rangle$ **and**

L : $\langle \varrho L \rangle$ **and**

$L\text{-in}$: $\langle L \in \text{atms-of-mm } (\text{init-clss } S) \rangle$ **and**

ent : $\langle I \models_m \text{init-clss } S \rangle$ **and**

cons : $\langle \text{consistent-interp } I \rangle$ **and**

total : $\langle \text{total-over-m } I \text{ (set-mset } N) \rangle$ **and**

cdcl : $\langle \text{cdcl-bnb } S T \rangle$ **and**

$\text{no-}L\text{-}T$: $\langle \neg(\exists J \in \# \text{ covering } T. \text{Pos } L \in \# J) \rangle$ **and**

cov : $\langle \text{covering-simple-clss } N S \rangle$ **and**

NS : $\langle \text{atms-of-mm } N = \text{atms-of-mm } (\text{init-clss } S) \rangle$

shows $\langle I \models_m \text{CDCL-}W\text{-Abstract-State.init-clss } (\text{abs-state } T) \rangle$

$\langle \text{proof} \rangle$

lemma *rtrancp-exists-model-with-true-lit-still-model*:

assumes

$L\text{-}I$: $\langle \text{Pos } L \in I \rangle$ **and**

L : $\langle \varrho L \rangle$ **and**

$L\text{-in}$: $\langle L \in \text{atms-of-mm } (\text{init-clss } S) \rangle$ **and**

ent : $\langle I \models_m \text{init-clss } S \rangle$ **and**

cons : $\langle \text{consistent-interp } I \rangle$ **and**

total : $\langle \text{total-over-m } I \text{ (set-mset } N) \rangle$ **and**

cdcl : $\langle \text{cdcl-bnb}^{**} S T \rangle$ **and**

cov : $\langle \text{covering-simple-clss } N S \rangle$ **and**

$\langle N = \text{init-clss } S \rangle$

shows $\langle I \models_m \text{CDCL-}W\text{-Abstract-State.init-clss } (\text{abs-state } T) \vee (\exists J \in \# \text{ covering } T. \text{Pos } L \in \# J) \rangle$

$\langle \text{proof} \rangle$

lemma *is-dominating-nil[simp]*: $\langle \neg \text{is-dominating } \{\#\} x \rangle$

$\langle \text{proof} \rangle$

lemma *atms-of-conflicting-clss-init-state*:

$\langle \text{atms-of-mm } (\text{conflicting-clss } (\text{init-state } N)) \subseteq \text{atms-of-mm } N \rangle$

$\langle \text{proof} \rangle$

lemma *no-step-cdcl-bnb-stgy-empty-conflict2*:

assumes

$n\text{-s}$: $\langle \text{no-step cdcl-bnb } S \rangle$ **and**

all-struct : $\langle \text{cdcl}_W\text{-restart-mset.cdcl}_W\text{-all-struct-inv } (\text{abs-state } S) \rangle$ **and**

stgy-inv : $\langle \text{cdcl-bnb-stgy-inv } S \rangle$

shows $\langle \text{conflicting } S = \text{Some } \{\#\} \rangle$

$\langle \text{proof} \rangle$

theorem *cdclcm-correctness*:

assumes

full : $\langle \text{full cdcl-bnb-stgy } (\text{init-state } N) T \rangle$ **and**

dist : $\langle \text{distinct-mset-mset } N \rangle$

shows

$\langle \text{Pos } L \in I \implies \varrho L \implies L \in \text{atms-of-mm } N \implies \text{total-over-m } I \text{ (set-mset } N) \implies \text{consistent-interp } I \implies I \models_m N \implies$

$\exists J \in \# \text{ covering } T. \text{ Pos } L \in \# J$
 $\langle \text{proof} \rangle$

end

Now we instantiate the previous with $\lambda\cdot. \text{True}$: This means that we aim at making all variables that appears at least ones true.

global-interpretation *cover-all-vars: covering-models* $\langle \lambda\cdot. \text{True} \rangle$
 $\langle \text{proof} \rangle$

context *conflict-driven-clause-learning_W-covering-models*
begin

interpretation *cover-all-vars: conflict-driven-clause-learning_W-covering-models* **where**

$\varrho = \langle \lambda\cdot::'v. \text{True} \rangle$ **and**
 $\text{state} = \text{state}$ **and**
 $\text{trail} = \text{trail}$ **and**
 $\text{init-clss} = \text{init-clss}$ **and**
 $\text{learned-clss} = \text{learned-clss}$ **and**
 $\text{conflicting} = \text{conflicting}$ **and**
 $\text{cons-trail} = \text{cons-trail}$ **and**
 $\text{tl-trail} = \text{tl-trail}$ **and**
 $\text{add-learned-clss} = \text{add-learned-clss}$ **and**
 $\text{remove-clss} = \text{remove-clss}$ **and**
 $\text{update-conflicting} = \text{update-conflicting}$ **and**
 $\text{init-state} = \text{init-state}$
 $\langle \text{proof} \rangle$

lemma

$\langle \text{cover-all-vars.model-is-dominated } M M' \longleftrightarrow$
 $\text{filter-mset } (\lambda L. \text{is-pos } L) M \subseteq \# \text{filter-mset } (\lambda L. \text{is-pos } L) M' \rangle$
 $\langle \text{proof} \rangle$

lemma

$\langle \text{cover-all-vars.conflicting-clauses } N \mathcal{M} =$
 $\{ \# C \in \# (\text{mset-set } (\text{simple-clss } (\text{atms-of-mm } N)))$
 $(pNeg$ ‘
 $\{ a. a \in \# \text{mset-set } (\text{simple-clss } (\text{atms-of-mm } N)) \wedge$
 $(\exists M \in \# \mathcal{M}. \exists J. a \subseteq \# J \wedge \text{cover-all-vars.model-is-dominated } J M) \wedge$
 $\text{atms-of } a = \text{atms-of-mm } N \} \cup$
 $\text{set-mset } N) \models_p C \# \} \rangle$
 $\langle \text{proof} \rangle$

theorem *cdclcm-correctness-all-vars:*

assumes

$\text{full}: \langle \text{full cover-all-vars.cdcl-bnb-stgy } (\text{init-state } N) T \rangle$ **and**
 $\text{dist}: \langle \text{distinct-mset-mset } N \rangle$

shows

$\langle \text{Pos } L \in I \implies L \in \text{atms-of-mm } N \implies \text{total-over-m } I (\text{set-mset } N) \implies \text{consistent-interp } I \implies I$
 $\models_m N \implies$
 $\exists J \in \# \text{ covering } T. \text{ Pos } L \in \# J \rangle$
 $\langle \text{proof} \rangle$

end

end