

# Formalisation of Ground Resolution and CDCL in Isabelle/HOL

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April 25, 2020



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# Chapter 1

## Definition of Entailment

This chapter defines various form of entailment.

end

### 1.1 Partial Herbrand Interpretation

```
theory Partial-Herbrand-Interpretation
  imports
    Weidenbach-Book-Base.WB-List-More
    Ordered-Resolution-Prover.Clausal-Logic
begin
```

#### 1.1.1 More Literals

The following lemma is very useful when in the goal appears an axioms like  $- L = K$ : this lemma allows the simplifier to rewrite L.

```
lemma in-image-uminus-uminus:  $\langle a \in \text{uminus } 'A \longleftrightarrow -a \in A \rangle$  for  $a :: \langle 'v \text{ literal} \rangle$ 
  using uminus-lit-swap by auto
```

```
lemma uminus-lit-swap:  $- a = b \longleftrightarrow (a :: 'a \text{ literal}) = - b$ 
  by auto
```

```
lemma atm-of-notin-atms-of-iff:  $\langle \text{atm-of } L \notin \text{atms-of } C' \longleftrightarrow L \notin \# C' \wedge -L \notin \# C' \rangle$  for  $L C'$ 
  by (cases L) (auto simp: atm-iff-pos-or-neg-lit)
```

```
lemma atm-of-notin-atms-of-iff-Pos-Neg:
   $\langle L \notin \text{atms-of } C' \longleftrightarrow \text{Pos } L \notin \# C' \wedge \text{Neg } L \notin \# C' \rangle$  for  $L C'$ 
  by (auto simp: atm-iff-pos-or-neg-lit)
```

```
lemma atms-of-uminus[simp]:  $\langle \text{atms-of } (\text{uminus } '\# C) = \text{atms-of } C \rangle$ 
  by (auto simp: atms-of-def image-image)
```

```
lemma distinct-mset-atm-ofD:
   $\langle \text{distinct-mset } (\text{atm-of } '\# \text{ mset } xc) \implies \text{distinct } xc \rangle$ 
  by (induction xc) auto
```

```
lemma atms-of-cong-set-mset:
   $\langle \text{set-mset } D = \text{set-mset } D' \implies \text{atms-of } D = \text{atms-of } D' \rangle$ 
  by (auto simp: atms-of-def)
```

**lemma** *lit-in-set-iff-atm*:

$\langle NO-MATCH (Pos\ x)\ l \implies NO-MATCH (Neg\ x)\ l \implies$   
 $l \in M \longleftrightarrow (\exists l'. (l = Pos\ l' \wedge Pos\ l' \in M) \vee (l = Neg\ l' \wedge Neg\ l' \in M)) \rangle$   
**by** (*cases l*) *auto*

We define here entailment by a set of literals. This is an Herbrand interpretation, but not the same as used for the resolution prover. Both has different properties. One key difference is that such a set can be inconsistent (i.e. containing both  $L$  and  $\neg L$ ).

Satisfiability is defined by the existence of a total and consistent model.

**lemma** *lit-eq-Neg-Pos-iff*:

$\langle x \neq Neg\ (atm-of\ x) \longleftrightarrow is-pos\ x \rangle$   
 $\langle x \neq Pos\ (atm-of\ x) \longleftrightarrow is-neg\ x \rangle$   
 $\langle \neg x \neq Neg\ (atm-of\ x) \longleftrightarrow is-neg\ x \rangle$   
 $\langle \neg x \neq Pos\ (atm-of\ x) \longleftrightarrow is-pos\ x \rangle$   
 $\langle Neg\ (atm-of\ x) \neq x \longleftrightarrow is-pos\ x \rangle$   
 $\langle Pos\ (atm-of\ x) \neq x \longleftrightarrow is-neg\ x \rangle$   
 $\langle Neg\ (atm-of\ x) \neq \neg x \longleftrightarrow is-neg\ x \rangle$   
 $\langle Pos\ (atm-of\ x) \neq \neg x \longleftrightarrow is-pos\ x \rangle$   
**by** (*cases x; auto; fail*) $+$

### 1.1.2 Clauses

Clauses are set of literals or (finite) multisets of literals.

**type-synonym** *'v clause-set* = *'v clause set*

**type-synonym** *'v clauses* = *'v clause multiset*

**lemma** *is-neg-neg-not-is-neg*:  $is-neg\ (\neg\ L) \longleftrightarrow \neg\ is-neg\ L$

**by** (*cases L*) *auto*

### 1.1.3 Partial Interpretations

**type-synonym** *'a partial-interp* = *'a literal set*

**definition** *true-lit* :: *'a partial-interp*  $\Rightarrow$  *'a literal*  $\Rightarrow$  *bool* (**infix**  $\models_l$  50) **where**

$I \models_l L \longleftrightarrow L \in I$

**declare** *true-lit-def*[*simp*]

### Consistency

**definition** *consistent-interp* :: *'a literal set*  $\Rightarrow$  *bool* **where**

*consistent-interp*  $I \longleftrightarrow (\forall L. \neg(L \in I \wedge \neg L \in I))$

**lemma** *consistent-interp-empty*[*simp*]:

*consistent-interp*  $\{\}$  **unfolding** *consistent-interp-def* **by** *auto*

**lemma** *consistent-interp-single*[*simp*]:

*consistent-interp*  $\{L\}$  **unfolding** *consistent-interp-def* **by** *auto*

**lemma** *Ex-consistent-interp*:  $\langle Ex\ consistent-interp \rangle$

**by** (*auto simp: consistent-interp-def*)

**lemma** *consistent-interp-subset*:

**assumes**

$A \subseteq B$  **and**

*consistent-interp B*  
**shows** *consistent-interp A*  
**using** *assms unfolding consistent-interp-def* **by** *auto*

**lemma** *consistent-interp-change-insert*:  
 $a \notin A \implies -a \notin A \implies \text{consistent-interp } (\text{insert } (-a) A) \longleftrightarrow \text{consistent-interp } (\text{insert } a A)$   
**unfolding** *consistent-interp-def* **by** *fastforce*

**lemma** *consistent-interp-insert-pos[simp]*:  
 $a \notin A \implies \text{consistent-interp } (\text{insert } a A) \longleftrightarrow \text{consistent-interp } A \wedge -a \notin A$   
**unfolding** *consistent-interp-def* **by** *auto*

**lemma** *consistent-interp-insert-not-in*:  
 $\text{consistent-interp } A \implies a \notin A \implies -a \notin A \implies \text{consistent-interp } (\text{insert } a A)$   
**unfolding** *consistent-interp-def* **by** *auto*

**lemma** *consistent-interp-unionD*:  $\langle \text{consistent-interp } (I \cup I') \implies \text{consistent-interp } I \rangle$   
**unfolding** *consistent-interp-def* **by** *auto*

**lemma** *consistent-interp-insert-iff*:  
 $\langle \text{consistent-interp } (\text{insert } L C) \longleftrightarrow \text{consistent-interp } C \wedge -L \notin C \rangle$   
**by** (*metis consistent-interp-def consistent-interp-insert-pos insert-absorb*)

**lemma** (*in -*) *distinct-consistent-distinct-atm*:  
 $\langle \text{distinct } M \implies \text{consistent-interp } (\text{set } M) \implies \text{distinct-mset } (\text{atm-of } \# \text{ mset } M) \rangle$   
**by** (*induction M*) (*auto simp: atm-of-eq-atm-of*)

## Atoms

We define here various lifting of *atm-of* (applied to a single literal) to set and multisets of literals.

**definition** *atms-of-ms* :: *'a clause set  $\Rightarrow$  'a set* **where**  
 $\text{atms-of-ms } \psi s = \bigcup (\text{atms-of } \psi s)$

**lemma** *atms-of-mmltiset[simp]*:  
 $\text{atms-of } (\text{mset } a) = \text{atm-of } \text{'set } a$   
**by** (*induct a*) *auto*

**lemma** *atms-of-ms-mset-unfold*:  
 $\text{atms-of-ms } (\text{mset } \text{' } b) = (\bigcup x \in b. \text{atm-of } \text{'set } x)$   
**unfolding** *atms-of-ms-def* **by** *simp*

**definition** *atms-of-s* :: *'a literal set  $\Rightarrow$  'a set* **where**  
 $\text{atms-of-s } C = \text{atm-of } \text{' } C$

**lemma** *atms-of-ms-empty-set[simp]*:  
 $\text{atms-of-ms } \{\} = \{\}$   
**unfolding** *atms-of-ms-def* **by** *auto*

**lemma** *atms-of-ms-mempty[simp]*:  
 $\text{atms-of-ms } \{\{\#\}\} = \{\}$   
**unfolding** *atms-of-ms-def* **by** *auto*

**lemma** *atms-of-ms-mono*:

$A \subseteq B \implies \text{atms-of-ms } A \subseteq \text{atms-of-ms } B$   
**unfolding** *atms-of-ms-def* **by** *auto*

**lemma** *atms-of-ms-finite[simp]*:  
 $\text{finite } \psi \implies \text{finite } (\text{atms-of-ms } \psi)$   
**unfolding** *atms-of-ms-def* **by** *auto*

**lemma** *atms-of-ms-union[simp]*:  
 $\text{atms-of-ms } (\psi \cup \chi) = \text{atms-of-ms } \psi \cup \text{atms-of-ms } \chi$   
**unfolding** *atms-of-ms-def* **by** *auto*

**lemma** *atms-of-ms-insert[simp]*:  
 $\text{atms-of-ms } (\text{insert } \psi \chi) = \text{atms-of } \psi \cup \text{atms-of-ms } \chi$   
**unfolding** *atms-of-ms-def* **by** *auto*

**lemma** *atms-of-ms-singleton[simp]*:  $\text{atms-of-ms } \{L\} = \text{atms-of } L$   
**unfolding** *atms-of-ms-def* **by** *auto*

**lemma** *atms-of-atms-of-ms-mono[simp]*:  
 $A \in \psi \implies \text{atms-of } A \subseteq \text{atms-of-ms } \psi$   
**unfolding** *atms-of-ms-def* **by** *fastforce*

**lemma** *atms-of-ms-remove-incl*:  
**shows**  $\text{atms-of-ms } (\text{Set.remove } a \ \psi) \subseteq \text{atms-of-ms } \psi$   
**unfolding** *atms-of-ms-def* **by** *auto*

**lemma** *atms-of-ms-remove-subset*:  
 $\text{atms-of-ms } (\varphi - \psi) \subseteq \text{atms-of-ms } \varphi$   
**unfolding** *atms-of-ms-def* **by** *auto*

**lemma** *finite-atms-of-ms-remove-subset[simp]*:  
 $\text{finite } (\text{atms-of-ms } A) \implies \text{finite } (\text{atms-of-ms } (A - C))$   
**using** *atms-of-ms-remove-subset[of A C] finite-subset* **by** *blast*

**lemma** *atms-of-ms-empty-iff*:  
 $\text{atms-of-ms } A = \{\} \iff A = \{\{\#\}\} \vee A = \{\}$   
**apply** (*rule iffI*)  
**apply** (*metis (no-types, lifting) atms-empty-iff-empty atms-of-atms-of-ms-mono insert-absorb singleton-iff singleton-insert-inj-eq' subsetI subset-empty*)  
**apply** (*auto; fail*)  
**done**

**lemma** *in-implies-atm-of-on-atms-of-ms*:  
**assumes**  $L \in\# C$  **and**  $C \in N$   
**shows**  $\text{atm-of } L \in \text{atms-of-ms } N$   
**using** *atms-of-atms-of-ms-mono[of C N] assms* **by** (*simp add: atm-of-lit-in-atms-of subset-iff*)

**lemma** *in-plus-implies-atm-of-on-atms-of-ms*:  
**assumes**  $C + \{\#L\} \in N$   
**shows**  $\text{atm-of } L \in \text{atms-of-ms } N$   
**using** *in-implies-atm-of-on-atms-of-ms[of - C + {\#L}] assms* **by** *auto*

**lemma** *in-m-in-literals*:  
**assumes**  $\text{add-mset } A \ D \in \psi$   
**shows**  $\text{atm-of } A \in \text{atms-of-ms } \psi$   
**using** *assms* **by** (*auto dest: atms-of-atms-of-ms-mono*)



**lemma** *atms-of-s-union*[simp]:  
 $atms-of-s (Ia \cup Ib) = atms-of-s Ia \cup atms-of-s Ib$   
**unfolding** *atms-of-s-def* **by** *auto*

**lemma** *atms-of-s-single*[simp]:  
 $atms-of-s \{L\} = \{atm-of L\}$   
**unfolding** *atms-of-s-def* **by** *auto*

**lemma** *atms-of-s-insert*[simp]:  
 $atms-of-s (insert L Ib) = \{atm-of L\} \cup atms-of-s Ib$   
**unfolding** *atms-of-s-def* **by** *auto*

**lemma** *in-atms-of-s-decomp*[iff]:  
 $P \in atms-of-s I \longleftrightarrow (Pos P \in I \vee Neg P \in I) \text{ (is } ?P \longleftrightarrow ?Q)$

**proof**

**assume**  $?P$

**then show**  $?Q$  **unfolding** *atms-of-s-def* **by** (*metis image-iff literal.exhaust-sel*)

**next**

**assume**  $?Q$

**then show**  $?P$  **unfolding** *atms-of-s-def* **by** *force*

**qed**

**lemma** *atm-of-in-atm-of-set-in-uminus*:  
 $atm-of L' \in atm-of 'B \implies L' \in B \vee - L' \in B$   
**using** *atms-of-s-def* **by** (*cases L'*) *fastforce+*

**lemma** *finite-atms-of-s*[simp]:  
 $\langle finite M \implies finite (atms-of-s M) \rangle$   
**by** (*auto simp: atms-of-s-def*)

**lemma**

*atms-of-s-empty* [simp]:

$\langle atms-of-s \{\} = \{\} \rangle$  **and**

*atms-of-s-empty-iff*[simp]:

$\langle atms-of-s x = \{\} \longleftrightarrow x = \{\} \rangle$

**by** (*auto simp: atms-of-s-def*)

## Totality

**definition** *total-over-set* :: 'a *partial-interp*  $\Rightarrow$  'a *set*  $\Rightarrow$  bool **where**  
 $total-over-set I S = (\forall l \in S. Pos l \in I \vee Neg l \in I)$

**definition** *total-over-m* :: 'a *literal set*  $\Rightarrow$  'a *clause set*  $\Rightarrow$  bool **where**  
 $total-over-m I \psi s = total-over-set I (atms-of-ms \psi s)$

**lemma** *total-over-set-empty*[simp]:  
 $total-over-set I \{\}$   
**unfolding** *total-over-set-def* **by** *auto*

**lemma** *total-over-m-empty*[simp]:  
 $total-over-m I \{\}$   
**unfolding** *total-over-m-def* **by** *auto*

**lemma** *total-over-set-single*[iff]:  
 $total-over-set I \{L\} \longleftrightarrow (Pos L \in I \vee Neg L \in I)$

**unfolding** *total-over-set-def* **by** *auto*

**lemma** *total-over-set-insert*[*iff*]:  
 $total-over-set\ I\ (insert\ L\ Ls) \longleftrightarrow ((Pos\ L \in I \vee Neg\ L \in I) \wedge total-over-set\ I\ Ls)$   
**unfolding** *total-over-set-def* **by** *auto*

**lemma** *total-over-set-union*[*iff*]:  
 $total-over-set\ I\ (Ls \cup Ls') \longleftrightarrow (total-over-set\ I\ Ls \wedge total-over-set\ I\ Ls')$   
**unfolding** *total-over-set-def* **by** *auto*

**lemma** *total-over-m-subset*:  
 $A \subseteq B \implies total-over-m\ I\ B \implies total-over-m\ I\ A$   
**using** *atms-of-ms-mono*[*of A*] **unfolding** *total-over-m-def* *total-over-set-def* **by** *auto*

**lemma** *total-over-m-sum*[*iff*]:  
**shows**  $total-over-m\ I\ \{C + D\} \longleftrightarrow (total-over-m\ I\ \{C\} \wedge total-over-m\ I\ \{D\})$   
**unfolding** *total-over-m-def* *total-over-set-def* **by** *auto*

**lemma** *total-over-m-union*[*iff*]:  
 $total-over-m\ I\ (A \cup B) \longleftrightarrow (total-over-m\ I\ A \wedge total-over-m\ I\ B)$   
**unfolding** *total-over-m-def* *total-over-set-def* **by** *auto*

**lemma** *total-over-m-insert*[*iff*]:  
 $total-over-m\ I\ (insert\ a\ A) \longleftrightarrow (total-over-set\ I\ (atms-of\ a) \wedge total-over-m\ I\ A)$   
**unfolding** *total-over-m-def* *total-over-set-def* **by** *fastforce*

**lemma** *total-over-m-extension*:  
**fixes**  $I :: 'v\ literal\ set$  **and**  $A :: 'v\ clause-set$   
**assumes** *total*:  $total-over-m\ I\ A$   
**shows**  $\exists I'. total-over-m\ (I \cup I')\ (A \cup B)$   
 $\wedge (\forall x \in I'. atm-of\ x \in atms-of-ms\ B \wedge atm-of\ x \notin atms-of-ms\ A)$   
**proof** –  
**let**  $?I' = \{Pos\ v \mid v. v \in atms-of-ms\ B \wedge v \notin atms-of-ms\ A\}$   
**have**  $\forall x \in ?I'. atm-of\ x \in atms-of-ms\ B \wedge atm-of\ x \notin atms-of-ms\ A$  **by** *auto*  
**moreover have**  $total-over-m\ (I \cup ?I')\ (A \cup B)$   
**using** *total* **unfolding** *total-over-m-def* *total-over-set-def* **by** *auto*  
**ultimately show** *?thesis* **by** *blast*  
**qed**

**lemma** *total-over-m-consistent-extension*:  
**fixes**  $I :: 'v\ literal\ set$  **and**  $A :: 'v\ clause-set$   
**assumes**  
 $total$ :  $total-over-m\ I\ A$  **and**  
 $cons$ :  $consistent-interp\ I$   
**shows**  $\exists I'. total-over-m\ (I \cup I')\ (A \cup B)$   
 $\wedge (\forall x \in I'. atm-of\ x \in atms-of-ms\ B \wedge atm-of\ x \notin atms-of-ms\ A) \wedge consistent-interp\ (I \cup I')$   
**proof** –  
**let**  $?I' = \{Pos\ v \mid v. v \in atms-of-ms\ B \wedge v \notin atms-of-ms\ A \wedge Pos\ v \notin I \wedge Neg\ v \notin I\}$   
**have**  $\forall x \in ?I'. atm-of\ x \in atms-of-ms\ B \wedge atm-of\ x \notin atms-of-ms\ A$  **by** *auto*  
**moreover have**  $total-over-m\ (I \cup ?I')\ (A \cup B)$   
**using** *total* **unfolding** *total-over-m-def* *total-over-set-def* **by** *auto*  
**moreover have**  $consistent-interp\ (I \cup ?I')$   
**using** *cons* **unfolding** *consistent-interp-def* **by** (*intro allI*) (*rename-tac L, case-tac L, auto*)  
**ultimately show** *?thesis* **by** *blast*  
**qed**

**lemma** *total-over-set-atms-of-m[simp]*:  
*total-over-set Ia (atms-of-s Ia)*  
**unfolding** *total-over-set-def atms-of-s-def* **by** (*metis image-iff literal.exhaust-sel*)

**lemma** *total-over-set-literal-defined*:  
**assumes** *add-mset A D ∈ ψs*  
**and** *total-over-set I (atms-of-ms ψs)*  
**shows**  $A \in I \vee -A \in I$   
**using** *assms unfolding total-over-set-def* **by** (*metis (no-types) Neg-atm-of-iff in-m-in-literals literal.collapse(1) uminus-Neg uminus-Pos*)

**lemma** *tot-over-m-remove*:  
**assumes** *total-over-m (I ∪ {L}) {ψ}*  
**and**  $L: L \notin \# \psi \text{ } -L \notin \# \psi$   
**shows** *total-over-m I {ψ}*  
**unfolding** *total-over-m-def total-over-set-def*

**proof**  
**fix** *l*  
**assume**  $l: l \in \text{atms-of-ms } \{\psi\}$   
**then have**  $\text{Pos } l \in I \vee \text{Neg } l \in I \vee l = \text{atm-of } L$   
**using** *assms unfolding total-over-m-def total-over-set-def* **by** *auto*  
**moreover have**  $\text{atm-of } L \notin \text{atms-of-ms } \{\psi\}$   
**proof** (*rule ccontr*)  
**assume**  $\neg ?thesis$   
**then have**  $\text{atm-of } L \in \text{atms-of } \psi$  **by** *auto*  
**then have**  $\text{Pos } (\text{atm-of } L) \in \# \psi \vee \text{Neg } (\text{atm-of } L) \in \# \psi$   
**using** *atm-imp-pos-or-neg-lit* **by** *metis*  
**then have**  $L \in \# \psi \vee -L \in \# \psi$  **by** (*cases L*) *auto*  
**then show** *False* **using** *L* **by** *auto*  
**qed**  
**ultimately show**  $\text{Pos } l \in I \vee \text{Neg } l \in I$  **using** *l* **by** *metis*  
**qed**

**lemma** *total-union*:  
**assumes** *total-over-m I ψ*  
**shows** *total-over-m (I ∪ I') ψ*  
**using** *assms unfolding total-over-m-def total-over-set-def* **by** *auto*

**lemma** *total-union-2*:  
**assumes** *total-over-m I ψ*  
**and** *total-over-m I' ψ'*  
**shows** *total-over-m (I ∪ I') (ψ ∪ ψ')*  
**using** *assms unfolding total-over-m-def total-over-set-def* **by** *auto*

**lemma** *total-over-m-alt-def*:  $\langle \text{total-over-m } I \ S \longleftrightarrow \text{atms-of-ms } S \subseteq \text{atms-of-s } I \rangle$   
**by** (*auto simp: total-over-m-def total-over-set-def*)

**lemma** *total-over-set-alt-def*:  $\langle \text{total-over-set } M \ A \longleftrightarrow A \subseteq \text{atms-of-s } M \rangle$   
**by** (*auto simp: total-over-set-def*)

## Interpretations

**definition** *true-cls* :: *'a partial-interp*  $\Rightarrow$  *'a clause*  $\Rightarrow$  *bool* (*infix*  $\models$  50) **where**  
 $I \models C \longleftrightarrow (\exists L \in \# C. I \models_l L)$

**lemma** *true-cls-empty[iff]*:  $\neg I \models \{\#\}$

**unfolding** *true-cls-def* **by** *auto*

**lemma** *true-cls-singleton*[*iff*]:  $I \models \{\#L\# \} \longleftrightarrow I \models_l L$   
**unfolding** *true-cls-def* **by** (*auto split:if-split-asm*)

**lemma** *true-cls-add-mset*[*iff*]:  $I \models \text{add-mset } a \ D \longleftrightarrow a \in I \vee I \models D$   
**unfolding** *true-cls-def* **by** *auto*

**lemma** *true-cls-union*[*iff*]:  $I \models C + D \longleftrightarrow I \models C \vee I \models D$   
**unfolding** *true-cls-def* **by** *auto*

**lemma** *true-cls-mono-set-mset*:  $\text{set-mset } C \subseteq \text{set-mset } D \implies I \models C \implies I \models D$   
**unfolding** *true-cls-def subset-eq Bex-def* **by** *metis*

**lemma** *true-cls-mono-leD*[*dest*]:  $A \subseteq\# B \implies I \models A \implies I \models B$   
**unfolding** *true-cls-def* **by** *auto*

**lemma**  
**assumes**  $I \models \psi$   
**shows**  
*true-cls-union-increase*[*simp*]:  $I \cup I' \models \psi$  **and**  
*true-cls-union-increase'*[*simp*]:  $I' \cup I \models \psi$   
**using** *assms* **unfolding** *true-cls-def* **by** *auto*

**lemma** *true-cls-mono-set-mset-l*:  
**assumes**  $A \models \psi$   
**and**  $A \subseteq B$   
**shows**  $B \models \psi$   
**using** *assms* **unfolding** *true-cls-def* **by** *auto*

**lemma** *true-cls-replicate-mset*[*iff*]:  $I \models \text{replicate-mset } n \ L \longleftrightarrow n \neq 0 \wedge I \models_l L$   
**by** (*induct n*) *auto*

**lemma** *true-cls-empty-entails*[*iff*]:  $\neg \{\} \models N$   
**by** (*auto simp add: true-cls-def*)

**lemma** *true-cls-not-in-remove*:  
**assumes**  $L \notin\# \chi$  **and**  $I \cup \{L\} \models \chi$   
**shows**  $I \models \chi$   
**using** *assms* **unfolding** *true-cls-def* **by** *auto*

**definition** *true-clss* :: '*a partial-interp*  $\Rightarrow$  '*a clause-set*  $\Rightarrow$  *bool* (**infix**  $\models_s$  50) **where**  
 $I \models_s CC \longleftrightarrow (\forall C \in CC. I \models C)$

**lemma** *true-clss-empty*[*simp*]:  $I \models_s \{\}$   
**unfolding** *true-clss-def* **by** *blast*

**lemma** *true-clss-singleton*[*iff*]:  $I \models_s \{C\} \longleftrightarrow I \models C$   
**unfolding** *true-clss-def* **by** *blast*

**lemma** *true-clss-empty-entails-empty*[*iff*]:  $\{\} \models_s N \longleftrightarrow N = \{\}$   
**unfolding** *true-clss-def* **by** (*auto simp add: true-cls-def*)

**lemma** *true-cls-insert-l* [*simp*]:  
 $M \models A \implies \text{insert } L \ M \models A$   
**unfolding** *true-cls-def* **by** *auto*

**lemma** *true-clss-union*[*iff*]:  $I \models_s CC \cup DD \longleftrightarrow I \models_s CC \wedge I \models_s DD$   
**unfolding** *true-clss-def* **by** *blast*

**lemma** *true-clss-insert*[*iff*]:  $I \models_s \text{insert } C \ DD \longleftrightarrow I \models C \wedge I \models_s DD$   
**unfolding** *true-clss-def* **by** *blast*

**lemma** *true-clss-mono*:  $DD \subseteq CC \implies I \models_s CC \implies I \models_s DD$   
**unfolding** *true-clss-def* **by** *blast*

**lemma** *true-clss-union-increase*[*simp*]:  
**assumes**  $I \models_s \psi$   
**shows**  $I \cup I' \models_s \psi$   
**using** *assms* **unfolding** *true-clss-def* **by** *auto*

**lemma** *true-clss-union-increase'*[*simp*]:  
**assumes**  $I' \models_s \psi$   
**shows**  $I \cup I' \models_s \psi$   
**using** *assms* **by** (*auto simp add: true-clss-def*)

**lemma** *true-clss-commute-l*:  
 $(I \cup I' \models_s \psi) \longleftrightarrow (I' \cup I \models_s \psi)$   
**by** (*simp add: Un-commute*)

**lemma** *model-remove*[*simp*]:  $I \models_s N \implies I \models_s \text{Set.remove } a \ N$   
**by** (*simp add: true-clss-def*)

**lemma** *model-remove-minus*[*simp*]:  $I \models_s N \implies I \models_s N - A$   
**by** (*simp add: true-clss-def*)

**lemma** *notin-vars-union-true-clss-true-clss*:  
**assumes**  $\forall x \in I'. \text{atm-of } x \notin \text{atms-of-ms } A$   
**and**  $\text{atms-of } L \subseteq \text{atms-of-ms } A$   
**and**  $I \cup I' \models L$   
**shows**  $I \models L$   
**using** *assms* **unfolding** *true-clss-def true-lit-def Bex-def*  
**by** (*metis Un-iff atm-of-lit-in-atms-of contra-subsetD*)

**lemma** *notin-vars-union-true-clss-true-clss*:  
**assumes**  $\forall x \in I'. \text{atm-of } x \notin \text{atms-of-ms } A$   
**and**  $\text{atms-of-ms } L \subseteq \text{atms-of-ms } A$   
**and**  $I \cup I' \models_s L$   
**shows**  $I \models_s L$   
**using** *assms* **unfolding** *true-clss-def true-lit-def Ball-def*  
**by** (*meson atms-of-atms-of-ms-mono notin-vars-union-true-clss-true-clss subset-trans*)

**lemma** *true-clss-def-set-mset-eq*:  
 $\langle \text{set-mset } A = \text{set-mset } B \implies I \models A \longleftrightarrow I \models B \rangle$   
**by** (*auto simp: true-clss-def*)

**lemma** *true-clss-add-mset-strict*:  $\langle I \models \text{add-mset } L \ C \longleftrightarrow L \in I \vee I \models (\text{removeAll-mset } L \ C) \rangle$   
**using** *true-clss-mono-set-mset*[*of* ( $\langle \text{removeAll-mset } L \ C \rangle \ C \ I$ )]  
**apply** (*cases*  $\langle L \in \# \ C \rangle$ )  
**apply** (*auto dest: multi-member-split simp: removeAll-notin*)  
**apply** (*metis (mono-tags, lifting) in-multiset-minus-notin-snd in-replicate-mset true-clss-def true-lit-def*)  
**done**

## Satisfiability

**definition** *satisfiable* :: 'a clause set  $\Rightarrow$  bool **where**  
*satisfiable*  $CC \longleftrightarrow (\exists I. (I \models_s CC \wedge \text{consistent-interp } I \wedge \text{total-over-m } I \ CC))$

**lemma** *satisfiable-single[simp]*:  
*satisfiable*  $\{\{\#L\#\}\}$   
**unfolding** *satisfiable-def* **by** *fastforce*

**lemma** *satisfiable-empty[simp]*: *satisfiable*  $\{\}$   
**by** (*auto simp: satisfiable-def Ex-consistent-interp*)

**abbreviation** *unsatisfiable* :: 'a clause set  $\Rightarrow$  bool **where**  
*unsatisfiable*  $CC \equiv \neg \text{satisfiable } CC$

**lemma** *satisfiable-decreasing*:  
**assumes** *satisfiable*  $(\psi \cup \psi')$   
**shows** *satisfiable*  $\psi$   
**using** *assms total-over-m-union* **unfolding** *satisfiable-def* **by** *blast*

**lemma** *satisfiable-def-min*:  
*satisfiable*  $CC$   
 $\longleftrightarrow (\exists I. I \models_s CC \wedge \text{consistent-interp } I \wedge \text{total-over-m } I \ CC \wedge \text{atm-of } I = \text{atms-of-ms } CC)$   
**(is ?sat  $\longleftrightarrow$  ?B)**

**proof**

**assume**  $?B$  **then show**  $?sat$  **by** (*auto simp add: satisfiable-def*)

**next**

**assume**  $?sat$

**then obtain**  $I$  **where**

$I \models_s CC$  **and**

$\text{cons: consistent-interp } I$  **and**

$\text{tot: total-over-m } I \ CC$

**unfolding** *satisfiable-def* **by** *auto*

**let**  $?I = \{P. P \in I \wedge \text{atm-of } P \in \text{atms-of-ms } CC\}$

**have**  $I \models_s CC$

**using**  $I \models_s CC$  *in-implies-atm-of-on-atms-of-ms* **unfolding** *true-clss-def Ball-def true-cls-def*

*Bex-def true-lit-def*

**by** *blast*

**moreover have**  $\text{cons: consistent-interp } ?I$

**using**  $\text{cons}$  **unfolding** *consistent-interp-def* **by** *auto*

**moreover have**  $\text{total-over-m } ?I \ CC$

**using**  $\text{tot}$  **unfolding** *total-over-m-def total-over-set-def* **by** *auto*

**moreover**

**have**  $\text{atms-CC-incl: atms-of-ms } CC \subseteq \text{atm-of } I$

**using**  $\text{tot}$  **unfolding** *total-over-m-def total-over-set-def atms-of-ms-def*

**by** (*auto simp add: atms-of-def atms-of-s-def[symmetric]*)

**have**  $\text{atm-of } ?I = \text{atms-of-ms } CC$

**using**  $\text{atms-CC-incl}$  **unfolding** *atms-of-ms-def* **by** *force*

**ultimately show**  $?B$  **by** *auto*

**qed**

**lemma** *satisfiable-carac*:

$(\exists I. \text{consistent-interp } I \wedge I \models_s \varphi) \longleftrightarrow \text{satisfiable } \varphi$  **(is  $(\exists I. ?Q \ I) \longleftrightarrow ?S$ )**

**proof**

```

assume ?S
then show  $\exists I. ?Q I$  unfolding satisfiable-def by auto
next
assume  $\exists I. ?Q I$ 
then obtain  $I$  where cons: consistent-interp I and  $I \models_s \varphi$  by metis
let  $?I' = \{Pos\ v \mid v. v \notin \text{atms-of-}s\ I \wedge v \in \text{atms-of-}ms\ \varphi\}$ 
have consistent-interp  $(I \cup ?I')$ 
  using cons unfolding consistent-interp-def by (intro allI) (rename-tac L, case-tac L, auto)
moreover have total-over-m  $(I \cup ?I')\ \varphi$ 
  unfolding total-over-m-def total-over-set-def by auto
moreover have  $I \cup ?I' \models_s \varphi$ 
  using  $I$  unfolding Ball-def true-clss-def true-cls-def by auto
ultimately show ?S unfolding satisfiable-def by blast
qed

```

```

lemma satisfiable-carac'[simp]: consistent-interp I  $\implies$  I  $\models_s \varphi \implies$  satisfiable  $\varphi$ 
  using satisfiable-carac by metis

```

```

lemma unsatisfiable-mono:
   $\langle N \subseteq N' \implies \text{unsatisfiable } N \implies \text{unsatisfiable } N' \rangle$ 
  by (metis (full-types) satisfiable-decreasing subset-Un-eq)

```

## Entailment for Multisets of Clauses

```

definition true-cls-mset :: 'a partial-interp  $\Rightarrow$  'a clause multiset  $\Rightarrow$  bool (infix  $\models_m$  50) where
   $I \models_m CC \longleftrightarrow (\forall C \in \# CC. I \models C)$ 

```

```

lemma true-cls-mset-empty[simp]: I  $\models_m \{\#\}$ 
  unfolding true-cls-mset-def by auto

```

```

lemma true-cls-mset-singleton[iff]: I  $\models_m \{\# C \#\} \longleftrightarrow I \models C$ 
  unfolding true-cls-mset-def by (auto split: if-split-asm)

```

```

lemma true-cls-mset-union[iff]: I  $\models_m CC + DD \longleftrightarrow I \models_m CC \wedge I \models_m DD$ 
  unfolding true-cls-mset-def by fastforce

```

```

lemma true-cls-mset-add-mset[iff]: I  $\models_m \text{add-mset } C\ CC \longleftrightarrow I \models C \wedge I \models_m CC$ 
  unfolding true-cls-mset-def by auto

```

```

lemma true-cls-mset-image-mset[iff]: I  $\models_m \text{image-mset } f\ A \longleftrightarrow (\forall x \in \# A. I \models f\ x)$ 
  unfolding true-cls-mset-def by fastforce

```

```

lemma true-cls-mset-mono: set-mset DD  $\subseteq$  set-mset CC  $\implies$  I  $\models_m CC \implies$  I  $\models_m DD$ 
  unfolding true-cls-mset-def subset-iff by auto

```

```

lemma true-clss-set-mset[iff]: I  $\models_s \text{set-mset } CC \longleftrightarrow I \models_m CC$ 
  unfolding true-clss-def true-cls-mset-def by auto

```

```

lemma true-cls-mset-increasing-r[simp]:
   $I \models_m CC \implies I \cup J \models_m CC$ 
  unfolding true-cls-mset-def by auto

```

```

theorem true-cls-remove-unused:
  assumes  $I \models \psi$ 
  shows  $\{v \in I. \text{atm-of } v \in \text{atms-of } \psi\} \models \psi$ 
  using assms unfolding true-cls-def atms-of-def by auto

```

**theorem** *true-clss-remove-unused*:  
**assumes**  $I \models_s \psi$   
**shows**  $\{v \in I. \text{atm-of } v \in \text{atms-of-ms } \psi\} \models_s \psi$   
**unfolding** *true-clss-def atms-of-def Ball-def*  
**proof** (*intro allI impI*)  
**fix**  $x$   
**assume**  $x \in \psi$   
**then have**  $I \models x$   
**using** *assms unfolding true-clss-def atms-of-def Ball-def* **by** *auto*  
  
**then have**  $\{v \in I. \text{atm-of } v \in \text{atms-of } x\} \models x$   
**by** (*simp only: true-clss-remove-unused[of I]*)  
**moreover have**  $\{v \in I. \text{atm-of } v \in \text{atms-of } x\} \subseteq \{v \in I. \text{atm-of } v \in \text{atms-of-ms } \psi\}$   
**using**  $\langle x \in \psi \rangle$  **by** (*auto simp add: atms-of-ms-def*)  
**ultimately show**  $\{v \in I. \text{atm-of } v \in \text{atms-of-ms } \psi\} \models x$   
**using** *true-clss-mono-set-mset-l* **by** *blast*  
**qed**

A simple application of the previous theorem:

**lemma** *true-clss-union-decrease*:  
**assumes**  $II': I \cup I' \models \psi$   
**and**  $H: \forall v \in I'. \text{atm-of } v \notin \text{atms-of } \psi$   
**shows**  $I \models \psi$   
**proof** –  
**let**  $?I = \{v \in I \cup I'. \text{atm-of } v \in \text{atms-of } \psi\}$   
**have**  $?I \models \psi$  **using** *true-clss-remove-unused II'* **by** *blast*  
**moreover have**  $?I \subseteq I$  **using**  $H$  **by** *auto*  
**ultimately show** *?thesis* **using** *true-clss-mono-set-mset-l* **by** *blast*  
**qed**

**lemma** *multiset-not-empty*:  
**assumes**  $M \neq \{\#\}$   
**and**  $x \in\# M$   
**shows**  $\exists A. x = \text{Pos } A \vee x = \text{Neg } A$   
**using** *assms literal.exhaust-sel* **by** *blast*

**lemma** *atms-of-ms-empty*:  
**fixes**  $\psi :: 'v \text{ clause-set}$   
**assumes**  $\text{atms-of-ms } \psi = \{\}$   
**shows**  $\psi = \{\} \vee \psi = \{\{\#\}\}$   
**using** *assms* **by** (*auto simp add: atms-of-ms-def*)

**lemma** *consistent-interp-disjoint*:  
**assumes** *consI: consistent-interp I*  
**and** *disj: atms-of-s A  $\cap$  atms-of-s I =  $\{\}$*   
**and** *consA: consistent-interp A*  
**shows** *consistent-interp (A  $\cup$  I)*  
**proof** (*rule ccontr*)  
**assume**  $\neg ?thesis$   
**moreover have**  $\bigwedge L. \neg (L \in A \wedge \neg L \in I)$   
**using** *disj unfolding atms-of-s-def* **by** (*auto simp add: rev-image-eqI*)  
**ultimately show** *False*  
**using** *consA consI unfolding consistent-interp-def* **by** (*metis (full-types) Un-iff literal.exhaust-sel uminus-Neg uminus-Pos*)  
**qed**



**lemma** *total-remove-unused*:  
**assumes** *total-over-m*  $I \ \psi$   
**shows** *total-over-m*  $\{v \in I. \text{atm-of } v \in \text{atms-of-ms } \psi\} \ \psi$   
**using** *assms* **unfolding** *total-over-m-def* *total-over-set-def*  
**by** (*metis* (*lifting*) *literal.sel*(1,2) *mem-Collect-eq*)

**lemma** *true-cls-remove-hd-if-notin-vars*:  
**assumes** *insert*  $a \ M' \models D$   
**and** *atm-of*  $a \notin \text{atms-of } D$   
**shows**  $M' \models D$   
**using** *assms* **by** (*auto simp add: atm-of-lit-in-atms-of true-cls-def*)

**lemma** *total-over-set-atm-of*:  
**fixes**  $I :: 'v \text{ partial-interp}$  **and**  $K :: 'v \text{ set}$   
**shows** *total-over-set*  $I \ K \longleftrightarrow (\forall l \in K. l \in (\text{atm-of } 'I))$   
**unfolding** *total-over-set-def* **by** (*metis* *atms-of-s-def* *in-atms-of-s-decomp*)

**lemma** *true-cls-mset-true-clss-iff*:  
 $\langle \text{finite } C \implies I \models_m \text{mset-set } C \longleftrightarrow I \models_s C \rangle$   
 $\langle I \models_m D \longleftrightarrow I \models_s \text{set-mset } D \rangle$   
**by** (*auto simp: true-clss-def true-cls-mset-def Ball-def*  
*dest: multi-member-split*)

## Tautologies

We define tautologies as clause entailed by every total model and show later that is equivalent to containing a literal and its negation.

**definition** *tautology* ( $\psi :: 'v \text{ clause}$ )  $\equiv \forall I. \text{total-over-set } I \ (\text{atms-of } \psi) \longrightarrow I \models \psi$

**lemma** *tautology-Pos-Neg[intro]*:  
**assumes** *Pos*  $p \in\# A$  **and** *Neg*  $p \in\# A$   
**shows** *tautology*  $A$   
**using** *assms* **unfolding** *tautology-def* *total-over-set-def* *true-cls-def* *Bex-def*  
**by** (*meson* *atm-iff-pos-or-neg-lit* *true-lit-def*)

**lemma** *tautology-minus[simp]*:  
**assumes**  $L \in\# A$  **and**  $\neg L \in\# A$   
**shows** *tautology*  $A$   
**by** (*metis* *assms* *literal.exhaust* *tautology-Pos-Neg* *uminus-Neg* *uminus-Pos*)

**lemma** *tautology-exists-Pos-Neg*:  
**assumes** *tautology*  $\psi$   
**shows**  $\exists p. \text{Pos } p \in\# \psi \wedge \text{Neg } p \in\# \psi$   
**proof** (*rule ccontr*)  
**assume**  $p: \neg (\exists p. \text{Pos } p \in\# \psi \wedge \text{Neg } p \in\# \psi)$   
**let**  $?I = \{-L \mid L. L \in\# \psi\}$   
**have** *total-over-set*  $?I \ (\text{atms-of } \psi)$   
**unfolding** *total-over-set-def* **using** *atm-imp-pos-or-neg-lit* **by** *force*  
**moreover** **have**  $\neg ?I \models \psi$   
**unfolding** *true-cls-def* *true-lit-def* *Bex-def* **apply** *clarify*  
**using**  $p$  **by** (*rename-tac*  $x \ L$ , *case-tac*  $L$ ) *fastforce* +  
**ultimately** **show** *False* **using** *assms* **unfolding** *tautology-def* **by** *auto*  
**qed**

**lemma** *tautology-decomp*:  
 $\text{tautology } \psi \longleftrightarrow (\exists p. \text{Pos } p \in \# \psi \wedge \text{Neg } p \in \# \psi)$   
**using** *tautology-exists-Pos-Neg* **by** *auto*

**lemma** *tautology-union-add-iff[simp]*:  
 $\langle \text{tautology } (A \cup \# B) \longleftrightarrow \text{tautology } (A + B) \rangle$   
**by** (*auto simp: tautology-decomp*)

**lemma** *tautology-add-mset-union-add-iff[simp]*:  
 $\langle \text{tautology } (\text{add-mset } L (A \cup \# B)) \longleftrightarrow \text{tautology } (\text{add-mset } L (A + B)) \rangle$   
**by** (*auto simp: tautology-decomp*)

**lemma** *not-tautology-minus*:  
 $\langle \neg \text{tautology } A \implies \neg \text{tautology } (A - B) \rangle$   
**by** (*auto simp: tautology-decomp dest: in-diffD*)

**lemma** *tautology-false[simp]*:  $\neg \text{tautology } \{\#\}$   
**unfolding** *tautology-def* **by** *auto*

**lemma** *tautology-add-mset*:  
 $\text{tautology } (\text{add-mset } a L) \longleftrightarrow \text{tautology } L \vee -a \in \# L$   
**unfolding** *tautology-decomp* **by** (*cases a*) *auto*

**lemma** *tautology-single[simp]*:  $\langle \neg \text{tautology } \{\#L\# \} \rangle$   
**by** (*simp add: tautology-add-mset*)

**lemma** *tautology-union*:  
 $\langle \text{tautology } (A + B) \longleftrightarrow \text{tautology } A \vee \text{tautology } B \vee (\exists a. a \in \# A \wedge -a \in \# B) \rangle$   
**by** (*metis tautology-decomp tautology-minus uminus-Neg uminus-Pos union-iff*)

**lemma**  
 $\text{tautology-poss}[simp]: \langle \neg \text{tautology } (\text{poss } A) \rangle$  **and**  
 $\text{tautology-negs}[simp]: \langle \neg \text{tautology } (\text{negs } A) \rangle$   
**by** (*auto simp: tautology-decomp*)

**lemma** *tautology-uminus[simp]*:  
 $\langle \text{tautology } (\text{uminus } \# w) \longleftrightarrow \text{tautology } w \rangle$   
**by** (*auto 5 5 simp: tautology-decomp add-mset-eq-add-mset eq-commute[of <Pos -> <->]<br>eq-commute[of <Neg -> <->]<br>simp flip: uminus-lit-swap<br>dest!: multi-member-split*)

**lemma** *minus-interp-tautology*:  
**assumes**  $\{-L \mid L. L \in \# \chi\} \models \chi$   
**shows** *tautology*  $\chi$   
**proof** –  
**obtain**  $L$  **where**  $L \in \# \chi \wedge -L \in \# \chi$   
**using** *assms unfolding true-cls-def* **by** *auto*  
**then show** *?thesis* **using** *tautology-decomp literal.exhaust uminus-Neg uminus-Pos* **by** *metis*  
**qed**

**lemma** *remove-literal-in-model-tautology*:  
**assumes**  $I \cup \{\text{Pos } P\} \models \varphi$   
**and**  $I \cup \{\text{Neg } P\} \models \varphi$   
**shows**  $I \models \varphi \vee \text{tautology } \varphi$   
**using** *assms unfolding true-cls-def* **by** *auto*

**lemma** *tautology-imp-tautology*:  
**fixes**  $\chi \chi' :: 'v \text{ clause}$   
**assumes**  $\forall I. \text{total-over-m } I \{ \chi \} \longrightarrow I \models \chi \longrightarrow I \models \chi'$  **and** *tautology*  $\chi$   
**shows** *tautology*  $\chi'$  **unfolding** *tautology-def*  
**proof** (*intro allI HOL.impI*)  
**fix**  $I :: 'v \text{ literal set}$   
**assume** *totI*: *total-over-set*  $I$  (*atms-of*  $\chi'$ )  
**let**  $?I' = \{ \text{Pos } v \mid v. v \in \text{atms-of } \chi \wedge v \notin \text{atms-of-s } I \}$   
**have** *totI'*: *total-over-m*  $(I \cup ?I')$   $\{ \chi \}$  **unfolding** *total-over-m-def* *total-over-set-def* **by** *auto*  
**then have**  $\chi: I \cup ?I' \models \chi$  **using** *assms*(2) **unfolding** *total-over-m-def* *tautology-def* **by** *simp*  
**then have**  $I \cup (?I' - I) \models \chi'$  **using** *assms*(1) *totI'* **by** *auto*  
**moreover have**  $\bigwedge L. L \in \# \chi' \implies L \notin ?I'$   
**using** *totI* **unfolding** *total-over-set-def* **by** (*auto dest: pos-lit-in-atms-of*)  
**ultimately show**  $I \models \chi'$  **unfolding** *true-cls-def* **by** *auto*  
**qed**

**lemma** *not-tautology-mono*:  $\langle D' \subseteq \# D \implies \neg \text{tautology } D \implies \neg \text{tautology } D' \rangle$   
**by** (*meson tautology-imp-tautology true-cls-add-mset true-cls-mono-leD*)

**lemma** *tautology-decomp'*:  
 $\langle \text{tautology } C \longleftrightarrow (\exists L. L \in \# C \wedge \neg L \in \# C) \rangle$   
**unfolding** *tautology-decomp*  
**apply** *auto*  
**apply** (*case-tac*  $L$ )  
**apply** *auto*  
**done**

**lemma** *consistent-interp-tautology*:  
 $\langle \text{consistent-interp } (\text{set } M') \longleftrightarrow \neg \text{tautology } (\text{mset } M') \rangle$   
**by** (*auto simp: consistent-interp-def tautology-decomp lit-in-set-iff-atm*)

**lemma** *consistent-interp-tautology-mset-set*:  
 $\langle \text{finite } x \implies \text{consistent-interp } x \longleftrightarrow \neg \text{tautology } (\text{mset-set } x) \rangle$   
**using** *ex-mset*[*of*  $\langle \text{mset-set } x \rangle$ ]  
**by** (*auto simp: consistent-interp-tautology eq-commute*[*of*  $\langle \text{mset } \cdot \rangle$ ] *mset-set-eq-mset-iff* *mset-set-set*)

**lemma** *tautology-distinct-atm-iff*:  
 $\langle \text{distinct-mset } C \implies \text{tautology } C \longleftrightarrow \neg \text{distinct-mset } (\text{atm-of } \# C) \rangle$   
**by** (*induction*  $C$ )  
*(auto simp: tautology-add-mset atm-of-eq-atm-of*  
*dest: multi-member-split)*

**lemma** *not-tautology-minusD*:  
 $\langle \text{tautology } (A - B) \implies \text{tautology } A \rangle$   
**by** (*auto simp: tautology-decomp dest: in-diffD*)

## Entailment for clauses and propositions

We also need entailment of clauses by other clauses.

**definition** *true-cls-cls* ::  $'a \text{ clause} \Rightarrow 'a \text{ clause} \Rightarrow \text{bool}$  (**infix**  $\models_f$  49) **where**  
 $\psi \models_f \chi \longleftrightarrow (\forall I. \text{total-over-m } I (\{ \psi \} \cup \{ \chi \}) \longrightarrow \text{consistent-interp } I \longrightarrow I \models \psi \longrightarrow I \models \chi)$

**definition** *true-cls-clss* ::  $'a \text{ clause} \Rightarrow 'a \text{ clause-set} \Rightarrow \text{bool}$  (**infix**  $\models_{fs}$  49) **where**  
 $\psi \models_{fs} \chi \longleftrightarrow (\forall I. \text{total-over-m } I (\{ \psi \} \cup \chi) \longrightarrow \text{consistent-interp } I \longrightarrow I \models \psi \longrightarrow I \models_s \chi)$

**definition** *true-clss-cl* :: 'a clause-set  $\Rightarrow$  'a clause  $\Rightarrow$  bool (**infix**  $\models_p$  49) **where**  
 $N \models_p \chi \longleftrightarrow (\forall I. \text{total-over-}m\ I\ (N \cup \{\chi\}) \longrightarrow \text{consistent-interp}\ I \longrightarrow I \models_s N \longrightarrow I \models \chi)$

**definition** *true-clss-clss* :: 'a clause-set  $\Rightarrow$  'a clause-set  $\Rightarrow$  bool (**infix**  $\models_{ps}$  49) **where**  
 $N \models_{ps} N' \longleftrightarrow (\forall I. \text{total-over-}m\ I\ (N \cup N') \longrightarrow \text{consistent-interp}\ I \longrightarrow I \models_s N \longrightarrow I \models_s N')$

**lemma** *true-clss-cl-refl[simp]*:  
 $A \models_f A$   
**unfolding** *true-clss-cl-def* **by** *auto*

**lemma** *true-clss-cl-empty-empty[iff]*:  
 $\langle \{\} \models_p \{\# \rangle \longleftrightarrow \text{False}$   
**unfolding** *true-clss-cl-def consistent-interp-def* **by** *auto*

**lemma** *true-clss-cl-insert-l[simp]*:  
 $a \models_f C \implies \text{insert } a\ A \models_p C$   
**unfolding** *true-clss-cl-def true-clss-cl-def true-clss-def* **by** *fastforce*

**lemma** *true-clss-cl-empty[iff]*:  
 $N \models_{fs} \{\}$   
**unfolding** *true-clss-clss-def* **by** *auto*

**lemma** *true-prop-true-clause[iff]*:  
 $\{\varphi\} \models_p \psi \longleftrightarrow \varphi \models_f \psi$   
**unfolding** *true-clss-cl-def true-clss-cl-def* **by** *auto*

**lemma** *true-clss-clss-true-clss-cl[iff]*:  
 $N \models_{ps} \{\psi\} \longleftrightarrow N \models_p \psi$   
**unfolding** *true-clss-clss-def true-clss-cl-def* **by** *auto*

**lemma** *true-clss-clss-true-clss-clss[iff]*:  
 $\{\chi\} \models_{ps} \psi \longleftrightarrow \chi \models_{fs} \psi$   
**unfolding** *true-clss-clss-def true-clss-clss-def* **by** *auto*

**lemma** *true-clss-clss-empty[simp]*:  
 $N \models_{ps} \{\}$   
**unfolding** *true-clss-clss-def* **by** *auto*

**lemma** *true-clss-cl-subset*:  
 $A \subseteq B \implies A \models_p CC \implies B \models_p CC$   
**unfolding** *true-clss-cl-def total-over-m-union* **by** (*simp add: total-over-m-subset true-clss-mono*)

This version of  $\llbracket ?A \subseteq ?B; ?A \models_p ?CC \rrbracket \implies ?B \models_p ?CC$  is useful as intro rule.

**lemma** (**in**  $-$ ) *true-clss-cl-subsetI*:  $\langle I \models_p A \implies I \subseteq I' \implies I' \models_p A \rangle$   
**by** (*simp add: true-clss-cl-subset*)

**lemma** *true-clss-cl-mono-l[simp]*:  
 $A \models_p CC \implies A \cup B \models_p CC$   
**by** (*auto intro: true-clss-cl-subset*)

**lemma** *true-clss-cl-mono-l2[simp]*:  
 $B \models_p CC \implies A \cup B \models_p CC$   
**by** (*auto intro: true-clss-cl-subset*)

**lemma** *true-clss-cl-mono-r[simp]*:

$A \models_p CC \implies A \models_p CC + CC'$   
**unfolding** *true-clss-clss-def total-over-m-union total-over-m-sum* **by** *blast*

**lemma** *true-clss-clss-mono-r'[simp]*:  
 $A \models_p CC' \implies A \models_p CC + CC'$   
**unfolding** *true-clss-clss-def total-over-m-union total-over-m-sum* **by** *blast*

**lemma** *true-clss-clss-mono-add-mset[simp]*:  
 $A \models_p CC \implies A \models_p \text{add-mset } L \ CC$   
**using** *true-clss-clss-mono-r[of A CC add-mset L {\#}]* **by** *simp*

**lemma** *true-clss-clss-union-l[simp]*:  
 $A \models_{ps} CC \implies A \cup B \models_{ps} CC$   
**unfolding** *true-clss-clss-def total-over-m-union* **by** *fastforce*

**lemma** *true-clss-clss-union-l-r[simp]*:  
 $B \models_{ps} CC \implies A \cup B \models_{ps} CC$   
**unfolding** *true-clss-clss-def total-over-m-union* **by** *fastforce*

**lemma** *true-clss-clss-in[simp]*:  
 $CC \in A \implies A \models_p CC$   
**unfolding** *true-clss-clss-def true-clss-def total-over-m-union* **by** *fastforce*

**lemma** *true-clss-clss-insert-l[simp]*:  
 $A \models_p C \implies \text{insert } a \ A \models_p C$   
**unfolding** *true-clss-clss-def true-clss-def* **using** *total-over-m-union*  
**by** (*metis Un-iff insert-is-Un sup commute*)

**lemma** *true-clss-clss-insert-l[simp]*:  
 $A \models_{ps} C \implies \text{insert } a \ A \models_{ps} C$   
**unfolding** *true-clss-clss-def true-clss-clss-def true-clss-def* **by** *blast*

**lemma** *true-clss-clss-union-and[iff]*:  
 $A \models_{ps} C \cup D \longleftrightarrow (A \models_{ps} C \wedge A \models_{ps} D)$

**proof**  
{  
  **fix**  $A \ C \ D :: 'a \ \text{clause-set}$   
  **assume**  $A: A \models_{ps} C \cup D$   
  **have**  $A \models_{ps} C$   
    **unfolding** *true-clss-clss-def true-clss-clss-def insert-def total-over-m-insert*  
  **proof** (*intro allI impI*)  
    **fix**  $I$   
    **assume**  
      *totAC: total-over-m I (A ∪ C) and*  
      *cons: consistent-interp I and*  
      *I: I ⊨s A*  
    **then have** *tot: total-over-m I A and tot': total-over-m I C* **by** *auto*  
    **obtain**  $I'$  **where**  
      *tot': total-over-m (I ∪ I') (A ∪ C ∪ D) and*  
      *cons': consistent-interp (I ∪ I') and*  
      *H: ∀x∈I'. atm-of x ∈ atms-of-ms D ∧ atm-of x ∉ atms-of-ms (A ∪ C)*  
      **using** *total-over-m-consistent-extension[OF - cons, of A ∪ C] tot tot'* **by** *blast*  
    **moreover have**  $I \cup I' \models_s A$  **using**  $I$  **by** *simp*  
    **ultimately have**  $I \cup I' \models_s C \cup D$  **using**  $A$  **unfolding** *true-clss-clss-def* **by** *auto*  
    **then have**  $I \cup I' \models_s C \cup D$  **by** *auto*  
    **then show**  $I \models_s C$  **using** *notin-vars-union-true-clss-true-clss[of I'] H* **by** *auto*

```

    qed
  } note H = this
  assume A  $\models_{ps}$  C  $\cup$  D
  then show A  $\models_{ps}$  C  $\wedge$  A  $\models_{ps}$  D using H[of A] Un-commute[of C D] by metis
next
  assume A  $\models_{ps}$  C  $\wedge$  A  $\models_{ps}$  D
  then show A  $\models_{ps}$  C  $\cup$  D
    unfolding true-clss-clss-def by auto
qed

```

```

lemma true-clss-clss-insert[iff]:
  A  $\models_{ps}$  insert L Ls  $\longleftrightarrow$  (A  $\models_p$  L  $\wedge$  A  $\models_{ps}$  Ls)
  using true-clss-clss-union-and[of A {L} Ls] by auto

```

```

lemma true-clss-clss-subset:
  A  $\subseteq$  B  $\implies$  A  $\models_{ps}$  CC  $\implies$  B  $\models_{ps}$  CC
  by (metis subset-Un-eq true-clss-clss-union-l)

```

Better suited as intro rule:

```

lemma true-clss-clss-subsetI:
  A  $\models_{ps}$  CC  $\implies$  A  $\subseteq$  B  $\implies$  B  $\models_{ps}$  CC
  by (metis subset-Un-eq true-clss-clss-union-l)

```

```

lemma union-trus-clss-clss[simp]: A  $\cup$  B  $\models_{ps}$  B
  unfolding true-clss-clss-def by auto

```

```

lemma true-clss-clss-remove[simp]:
  A  $\models_{ps}$  B  $\implies$  A  $\models_{ps}$  B - C
  by (metis Un-Diff-Int true-clss-clss-union-and)

```

```

lemma true-clss-clss-subsetE:
  N  $\models_{ps}$  B  $\implies$  A  $\subseteq$  B  $\implies$  N  $\models_{ps}$  A
  by (metis sup.orderE true-clss-clss-union-and)

```

```

lemma true-clss-clss-in-imp-true-clss-clss:
  assumes N  $\models_{ps}$  U
  and A  $\in$  U
  shows N  $\models_p$  A
  using assms mk-disjoint-insert by fastforce

```

```

lemma all-in-true-clss-clss:  $\forall x \in B. x \in A \implies A \models_{ps} B$ 
  unfolding true-clss-clss-def true-clss-def by auto

```

```

lemma true-clss-clss-left-right:
  assumes A  $\models_{ps}$  B
  and A  $\cup$  B  $\models_{ps}$  M
  shows A  $\models_{ps}$  M  $\cup$  B
  using assms unfolding true-clss-clss-def by auto

```

```

lemma true-clss-clss-generalise-true-clss-clss:
  A  $\cup$  C  $\models_{ps}$  D  $\implies$  B  $\models_{ps}$  C  $\implies$  A  $\cup$  B  $\models_{ps}$  D
proof -
  assume a1: A  $\cup$  C  $\models_{ps}$  D
  assume B  $\models_{ps}$  C
  then have f2:  $\bigwedge M. M \cup B \models_{ps} C$ 
    by (meson true-clss-clss-union-l-r)

```

have  $\bigwedge M. C \cup (M \cup A) \models_{ps} D$   
 using *a1* by (*simp add: Un-commute sup-left-commute*)  
 then show *?thesis*  
 using *f2* by (*metis (no-types) Un-commute true-clss-clss-left-right true-clss-clss-union-and*)  
 qed

**lemma** *true-clss-clss-or-true-clss-clss-or-not-true-clss-clss-or:*

assumes  $D: N \models_p \text{add-mset } (-L) \ D$   
 and  $C: N \models_p \text{add-mset } L \ C$   
 shows  $N \models_p D + C$   
 unfolding *true-clss-clss-def*  
**proof** (*intro allI impI*)  
 fix  $I$   
 assume  
*tot: total-over-m*  $I (N \cup \{D + C\})$  and  
*consistent-interp*  $I$  and  
 $I \models_s N$   
 {  
 assume  $L: L \in I \vee -L \in I$   
 then have *total-over-m*  $I \{D + \{\#- L\#\}\}$   
 using *tot* by (*cases L*) *auto*  
 then have  $I \models D + \{\#- L\#\}$  using  $D \langle I \models_s N \rangle \text{ tot } \langle \text{consistent-interp } I \rangle$   
 unfolding *true-clss-clss-def* by *auto*  
 moreover  
 have *total-over-m*  $I \{C + \{\#L\#\}\}$   
 using  $L \text{ tot}$  by (*cases L*) *auto*  
 then have  $I \models C + \{\#L\#\}$   
 using  $C \langle I \models_s N \rangle \text{ tot } \langle \text{consistent-interp } I \rangle$  unfolding *true-clss-clss-def* by *auto*  
 ultimately have  $I \models D + C$  using  $\langle \text{consistent-interp } I \rangle \text{ consistent-interp-def}$  by *fastforce*  
 }  
 moreover {  
 assume  $L: L \notin I \wedge -L \notin I$   
 let  $?I' = I \cup \{L\}$   
 have *consistent-interp*  $?I'$  using  $L \langle \text{consistent-interp } I \rangle$  by *auto*  
 moreover have *total-over-m*  $?I' \{\text{add-mset } (-L) \ D\}$   
 using *tot* unfolding *total-over-m-def total-over-set-def* by (*auto simp add: atms-of-def*)  
 moreover have *total-over-m*  $?I' N$  using *tot* using *total-union* by *blast*  
 moreover have  $?I' \models_s N$  using  $\langle I \models_s N \rangle$  using *true-clss-union-increase* by *blast*  
 ultimately have  $?I' \models \text{add-mset } (-L) \ D$   
 using  $D$  unfolding *true-clss-clss-def* by *blast*  
 then have  $?I' \models D$  using  $L$  by *auto*  
 moreover  
 have *total-over-set*  $I (\text{atms-of } (D + C))$  using *tot* by *auto*  
 then have  $L \notin \# D \wedge -L \notin \# D$   
 using  $L$  unfolding *total-over-set-def atms-of-def* by (*cases L*) *force+*  
 ultimately have  $I \models D + C$  unfolding *true-clss-clss-def* by *auto*  
 }  
 ultimately show  $I \models D + C$  by *blast*  
 qed

**lemma** *true-clss-union-mset[iff]:*  $I \models C \cup \# D \longleftrightarrow I \models C \vee I \models D$   
 unfolding *true-clss-clss-def* by *force*

**lemma** *true-clss-clss-sup-iff-add:*  $N \models_p C \cup \# D \longleftrightarrow N \models_p C + D$   
 by (*auto simp: true-clss-clss-def*)

**lemma** *true-clss-cls-union-mset-true-clss-cls-or-not-true-clss-cls-or*:

**assumes**

$D: N \models_p \text{add-mset } (\neg L) \ D$  **and**

$C: N \models_p \text{add-mset } L \ C$

**shows**  $N \models_p D \cup\# C$

**using** *true-clss-cls-or-true-clss-cls-or-not-true-clss-cls-or*[*OF assms*]

**by** (*subst true-clss-cls-sup-iff-add*)

**lemma** *true-clss-cls-tautology-iff*:

$\langle \{ \} \models_p a \longleftrightarrow \text{tautology } a \rangle$  (**is**  $\langle ?A \longleftrightarrow ?B \rangle$ )

**proof**

**assume**  $?A$

**then have**  $H: \langle \text{total-over-set } I \ (\text{atms-of } a) \implies \text{consistent-interp } I \implies I \models a \rangle$  **for**  $I$

**by** (*auto simp: true-clss-cls-def tautology-decomp add-mset-eq-add-mset*  
*dest!: multi-member-split*)

**show**  $?B$

**unfolding** *tautology-def*

**proof** (*intro allI impI*)

**fix**  $I$

**assume** *tot*:  $\langle \text{total-over-set } I \ (\text{atms-of } a) \rangle$

**let**  $?Iinter = \langle I \cap \text{uminus } 'I \rangle$

**let**  $?I = \langle I - ?Iinter \cup \text{Pos } ' \text{atm-of } ' ?Iinter \rangle$

**have**  $\langle \text{total-over-set } ?I \ (\text{atms-of } a) \rangle$

**using** *tot* **by** (*force simp: total-over-set-def image-image Clausal-Logic.uminus-lit-swap*  
*simp: image-iff*)

**moreover have**  $\langle \text{consistent-interp } ?I \rangle$

**unfolding** *consistent-interp-def image-iff*

**apply** *clarify*

**subgoal for**  $L$

**apply** (*cases L*)

**apply** (*auto simp: consistent-interp-def uminus-lit-swap image-iff*)

**apply** (*case-tac xa; auto; fail*)**+**

**done**

**done**

**ultimately have**  $\langle ?I \models a \rangle$

**using**  $H[?I]$  **by** *fast*

**moreover have**  $\langle ?I \subseteq I \rangle$

**apply** (*rule*)

**subgoal for**  $x$  **by** (*cases x; auto; rename-tac xb; case-tac xb; auto*)

**done**

**ultimately show**  $\langle I \models a \rangle$

**by** (*blast intro: true-clss-mono-set-mset-l*)

**qed**

**next**

**assume**  $?B$

**then show**  $\langle ?A \rangle$

**by** (*auto simp: true-clss-cls-def tautology-decomp add-mset-eq-add-mset*  
*dest!: multi-member-split*)

**qed**

**lemma** *true-clss-mset-empty-iff*[*simp*]:  $\langle \{ \} \models_m C \longleftrightarrow C = \{ \# \} \rangle$

**by** (*cases C*) *auto*

**lemma** *true-clss-mono-left*:

$\langle I \models_s A \implies I \subseteq J \implies J \models_s A \rangle$



by (metis sup.orderE true-clss-union-increase')

**lemma** true-clss-remove-alien:

$\langle I \models N \longleftrightarrow \{L. L \in I \wedge \text{atm-of } L \in \text{atms-of } N\} \models N \rangle$

by (auto simp: true-clss-def dest: multi-member-split)

**lemma** true-clss-remove-alien:

$\langle I \models_s N \longleftrightarrow \{L. L \in I \wedge \text{atm-of } L \in \text{atms-of-ms } N\} \models_s N \rangle$

by (auto simp: true-clss-def true-clss-def in-implies-atm-of-on-atms-of-ms  
dest: multi-member-split)

**lemma** true-clss-alt-def:

$\langle N \models_p \chi \longleftrightarrow$

$(\forall I. \text{atms-of-s } I = \text{atms-of-ms } (N \cup \{\chi\}) \longrightarrow \text{consistent-interp } I \longrightarrow I \models_s N \longrightarrow I \models \chi) \rangle$

apply (rule iffI)

subgoal

unfolding total-over-set-alt-def true-clss-clss-def total-over-m-alt-def

by auto

subgoal

unfolding total-over-set-alt-def true-clss-clss-def total-over-m-alt-def

apply (intro conjI impI allI)

subgoal for I

using consistent-interp-subset[of  $\langle \{L \in I. \text{atm-of } L \in \text{atms-of-ms } (N \cup \{\chi\}) \rangle I$ ]

true-clss-mono-left[of  $\langle \{L \in I. \text{atm-of } L \in \text{atms-of-ms } N \rangle N$

$\langle \{L \in I. \text{atm-of } L \in \text{atms-of-ms } (N \cup \{\chi\}) \rangle$ ]

true-clss-remove-alien[of I N]

by (drule-tac x =  $\langle \{L \in I. \text{atm-of } L \in \text{atms-of-ms } (N \cup \{\chi\}) \rangle$  in spec)

(auto dest: true-clss-mono-set-mset-l)

done

done

**lemma** true-clss-alt-def2:

assumes  $\langle \neg \text{tautology } \chi \rangle$

shows  $\langle N \models_p \chi \longleftrightarrow (\forall I. \text{atms-of-s } I = \text{atms-of-ms } N \longrightarrow \text{consistent-interp } I \longrightarrow I \models_s N \longrightarrow I \models \chi) \rangle$  (is  $\langle ?A \longleftrightarrow ?B \rangle$ )

proof (rule iffI)

assume ?A

then have H:

$\langle \bigwedge I. \text{atms-of-ms } (N \cup \{\chi\}) \subseteq \text{atms-of-s } I \longrightarrow$

$\text{consistent-interp } I \longrightarrow I \models_s N \longrightarrow I \models \chi \rangle$

unfolding total-over-set-alt-def total-over-m-alt-def true-clss-clss-def by blast

show ?B

unfolding total-over-set-alt-def total-over-m-alt-def true-clss-clss-def

proof (intro conjI impI allI)

fix I ::  $\langle \text{'a literal set} \rangle$

assume

atms:  $\langle \text{atms-of-s } I = \text{atms-of-ms } N \rangle$  and

cons:  $\langle \text{consistent-interp } I \rangle$  and

$\langle I \models_s N \rangle$

let ?I1 =  $\langle I \cup \text{uminus } \langle \{L \in \text{set-mset } \chi. \text{atm-of } L \notin \text{atms-of-s } I \} \rangle$

have  $\langle \text{atms-of-ms } (N \cup \{\chi\}) \subseteq \text{atms-of-s } ?I1 \rangle$

by (auto simp add: atms in-image-uminus-uminus atm-iff-pos-or-neg-lit)

moreover have  $\langle \text{consistent-interp } ?I1 \rangle$

using cons assms by (auto simp: consistent-interp-def)

(rename-tac x; case-tac x; auto; fail)+

moreover have  $\langle ?I1 \models_s N \rangle$

```

    using  $\langle I \models_s N \rangle$  by auto
  ultimately have  $\langle ?I1 \models \chi \rangle$ 
    using  $H[?I1]$  by auto
  then show  $\langle I \models \chi \rangle$ 
    using assms by (auto simp: true-cls-def)
qed
next
assume  $?B$ 
show  $?A$ 
  unfolding total-over-m-alt-def true-clss-alt-def
proof (intro conjI impI allI)
  fix  $I :: \langle 'a \text{ literal set} \rangle$ 
  assume
    atms:  $\langle \text{atms-of-s } I = \text{atms-of-ms } (N \cup \{\chi\}) \rangle$  and
    cons:  $\langle \text{consistent-interp } I \rangle$  and
     $\langle I \models_s N \rangle$ 
  let  $?I1 = \langle \{L \in I. \text{atm-of } L \in \text{atms-of-ms } N\} \rangle$ 
  have  $\langle \text{atms-of-s } ?I1 = \text{atms-of-ms } N \rangle$ 
    using atms by (auto simp add: in-image-uminus-uminus atm-iff-pos-or-neg-lit)
  moreover have  $\langle \text{consistent-interp } ?I1 \rangle$ 
    using cons assms by (auto simp: consistent-interp-def)
  moreover have  $\langle ?I1 \models_s N \rangle$ 
    using  $\langle I \models_s N \rangle$  by (subst (asm) true-clss-remove-alien)
  ultimately have  $\langle ?I1 \models \chi \rangle$ 
    using  $\langle ?B \rangle$  by auto
  then show  $\langle I \models \chi \rangle$ 
    using assms by (auto simp: true-cls-def)
qed
qed

lemma true-clss-restrict-iff:
  assumes  $\langle \neg \text{tautology } \chi \rangle$ 
  shows  $\langle N \models_p \chi \longleftrightarrow N \models_p \{\#L \in \# \chi. \text{atm-of } L \in \text{atms-of-ms } N \# \} \rangle$  (is  $\langle ?A \longleftrightarrow ?B \rangle$ )
  apply (subst true-clss-alt-def2[OF assms])
  apply (subst true-clss-alt-def2)
  subgoal using not-tautology-mono[OF - assms] by (auto dest: not-tautology-minus)
  apply (rule HOL.iff-allI)
  apply (auto 5 5 simp: true-cls-def atms-of-s-def dest!: multi-member-split)
done

```

This is a slightly restrictive theorem, that encompasses most useful cases. The assumption  $\neg \text{tautology } C$  can be removed if the model  $I$  is total over the clause.

```

lemma true-clss-cls-true-clss-true-cls:
  assumes  $\langle N \models_p C \rangle$ 
     $\langle I \models_s N \rangle$  and
    cons:  $\langle \text{consistent-interp } I \rangle$  and
    tauto:  $\langle \neg \text{tautology } C \rangle$ 
  shows  $\langle I \models C \rangle$ 
proof -
  let  $?I = \langle I \cup \text{uminus } \{ \{L \in \text{set-mset } C. \text{atm-of } L \notin \text{atms-of-s } I\} \} \rangle$ 
  let  $?I2 = \langle ?I \cup \text{Pos } \{ \{L \in \text{atms-of-ms } N. L \notin \text{atms-of-s } ?I\} \} \rangle$ 
  have  $\langle \text{total-over-m } ?I2 (N \cup \{C\}) \rangle$ 
    by (auto simp: total-over-m-alt-def atms-of-def in-image-uminus-uminus
      dest!: multi-member-split)
  moreover have  $\langle \text{consistent-interp } ?I2 \rangle$ 
    using cons tauto unfolding consistent-interp-def

```

```

  apply (intro allI)
  apply (case-tac L)
  by (auto simp: uminus-lit-swap eq-commute[of ⟨Pos → ⟨− →⟩⟩
    eq-commute[of ⟨Neg → ⟨− →⟩⟩])
  moreover have ⟨?I2 ⊨s N⟩
  using ⟨I ⊨s N⟩ by auto
  ultimately have ⟨?I2 ⊨ C⟩
  using assms(1) unfolding true-clss-cls-def by fast
  then show ?thesis
  using tauto
  by (subst (asm) true-cls-remove-alien)
    (auto simp: true-cls-def in-image-uminus-uminus)
qed

```

### 1.1.4 Subsumptions

**lemma** *subsumption-total-over-m*:

```

  assumes  $A \subseteq\# B$ 
  shows  $\text{total-over-m } I \{B\} \implies \text{total-over-m } I \{A\}$ 
  using assms unfolding subset-mset-def total-over-m-def total-over-set-def
  by (auto simp add: mset-subset-eq-exists-conv)

```

**lemma** *atms-of-replicate-mset-replicate-mset-uminus[simp]*:

```

  atms-of (D − replicate-mset (count D L) L − replicate-mset (count D (−L)) (−L))
= atms-of D − {atm-of L}
  by (auto simp: atm-of-eq-atm-of atms-of-def in-diff-count dest: in-diffD)

```

**lemma** *subsumption-chained*:

```

  assumes
     $\forall I. \text{total-over-m } I \{D\} \longrightarrow I \models D \longrightarrow I \models \varphi$  and
     $C \subseteq\# D$ 
  shows  $(\forall I. \text{total-over-m } I \{C\} \longrightarrow I \models C \longrightarrow I \models \varphi) \vee \text{tautology } \varphi$ 
  using assms

```

**proof** (induct card {Pos v | v. v ∈ atms-of D ∧ v ∉ atms-of C} arbitrary: D  
rule: nat-less-induct-case)

```

  case 0 note n = this(1) and H = this(2) and incl = this(3)
  then have atms-of D ⊆ atms-of C by auto
  then have  $\forall I. \text{total-over-m } I \{C\} \longrightarrow \text{total-over-m } I \{D\}$ 
    unfolding total-over-m-def total-over-set-def by auto
  moreover have  $\forall I. I \models C \longrightarrow I \models D$  using incl true-cls-mono-leD by blast
  ultimately show ?case using H by auto

```

**next**

```

  case (Suc n D) note IH = this(1) and card = this(2) and H = this(3) and incl = this(4)
  let ?atms = {Pos v | v. v ∈ atms-of D ∧ v ∉ atms-of C}
  have finite ?atms by auto
  then obtain L where L: L ∈ ?atms
    using card by (metis (no-types, lifting) Collect-empty-eq card-0-eq mem-Collect-eq
      nat.simps(3))
  let ?D' = D − replicate-mset (count D L) L − replicate-mset (count D (−L)) (−L)
  have atms-of-D: atms-of-ms {D} ⊆ atms-of-ms {?D'} ∪ {atm-of L}
    using atms-of-replicate-mset-replicate-mset-uminus by force

```

```

{
  fix I
  assume total-over-m I {?D'}
  then have tot: total-over-m (I ∪ {L}) {D}

```

```

unfolding total-over-m-def total-over-set-def using atms-of-D by auto

assume IDL: I ⊨ ?D'
then have insert L I ⊨ D unfolding true-cls-def by (fastforce dest: in-diffD)
then have insert L I ⊨ φ using H tot by auto

moreover
  have tot': total-over-m (I ∪ {-L}) {D}
    using tot unfolding total-over-m-def total-over-set-def by auto
  have I ∪ {-L} ⊨ D using IDL unfolding true-cls-def by (force dest: in-diffD)
  then have I ∪ {-L} ⊨ φ using H tot' by auto
ultimately have I ⊨ φ ∨ tautology φ
  using L remove-literal-in-model-tautology by force
} note H' = this

have L ∉# C and -L ∉# C using L atm-iff-pos-or-neg-lit by force+
then have C-in-D': C ⊆# ?D' using C ⊆# D by (auto simp: subseteq-mset-def not-in-iff)
have card {Pos v | v. v ∈ atms-of ?D' ∧ v ∉ atms-of C} <
  card {Pos v | v. v ∈ atms-of D ∧ v ∉ atms-of C}
  using L unfolding atms-of-replicate-mset-replicate-mset-uminus[of D L]
  by (auto intro!: psubset-card-mono)
then show ?case
  using IH C-in-D' H' unfolding card[symmetric] by blast
qed

```

### 1.1.5 Removing Duplicates

```

lemma tautology-remdups-mset[iff]:
  tautology (remdups-mset C) ⟷ tautology C
  unfolding tautology-decomp by auto

lemma atms-of-remdups-mset[simp]: atms-of (remdups-mset C) = atms-of C
  unfolding atms-of-def by auto

lemma true-cls-remdups-mset[iff]: I ⊨ remdups-mset C ⟷ I ⊨ C
  unfolding true-cls-def by auto

lemma true-clss-cls-remdups-mset[iff]: A ⊨p remdups-mset C ⟷ A ⊨p C
  unfolding true-clss-cls-def total-over-m-def by auto

```

### 1.1.6 Set of all Simple Clauses

A simple clause with respect to a set of atoms is such that

1. its atoms are included in the considered set of atoms;
2. it is not a tautology;
3. it does not contains duplicate literals.

It corresponds to the clauses that cannot be simplified away in a calculus without considering the other clauses.

**definition** *simple-clss :: 'v set ⇒ 'v clause set* **where**  
*simple-clss atms = {C. atms-of C ⊆ atms ∧ ¬tautology C ∧ distinct-mset C}*

```

lemma simple-clss-empty[simp]:
  simple-clss {} = {{#}}
  unfolding simple-clss-def by auto

lemma simple-clss-insert:
  assumes  $l \notin \text{atms}$ 
  shows simple-clss (insert  $l$  atms) =
    ((+) {#Pos  $l$ #}) ‘ (simple-clss atms)
     $\cup$  ((+) {#Neg  $l$ #}) ‘ (simple-clss atms)
     $\cup$  simple-clss atms(is ? $I$  = ? $U$ )
proof (standard; standard)
  fix  $C$ 
  assume  $C \in ?I$ 
  then have
    atms: atms-of  $C \subseteq \text{insert } l \text{ atms}$  and
    taut:  $\neg \text{tautology } C$  and
    dist: distinct-mset  $C$ 
    unfolding simple-clss-def by auto
  have  $H$ :  $\bigwedge x. x \in \# C \implies \text{atm-of } x \in \text{insert } l \text{ atms}$ 
    using atm-of-lit-in-atms-of atms by blast
  consider
    (Add)  $L$  where  $L \in \# C$  and  $L = \text{Neg } l \vee L = \text{Pos } l$ 
    | (No)  $\text{Pos } l \notin \# C$   $\text{Neg } l \notin \# C$ 
    by auto
  then show  $C \in ?U$ 
  proof cases
    case Add
    then have LCL:  $L \notin \# C - \{\#L\# \}$ 
      using dist unfolding distinct-mset-def by (auto simp: not-in-iff)
    have LC:  $-L \notin \# C$ 
      using taut Add by auto
    obtain aa :: 'a where
       $f4$ : ( $aa \in \text{atms-of } (\text{remove1-mset } L \ C) \implies aa \in \text{atms} \implies \text{atms-of } (\text{remove1-mset } L \ C) \subseteq \text{atms}$ 
      by (meson subset-iff)
    obtain ll :: 'a literal where
       $aa \notin \text{atm-of } \text{'set-mset } (\text{remove1-mset } L \ C) \vee aa = \text{atm-of } ll \wedge ll \in \# \text{remove1-mset } L \ C$ 
      by blast
    then have atms-of ( $C - \{\#L\# \}$ )  $\subseteq \text{atms}$ 
      using  $f4$  Add LCL LC H unfolding atms-of-def by (metis H in-diffD insertE literal.exhaust-sel uminus-Neg uminus-Pos)
    moreover have  $\neg \text{tautology } (C - \{\#L\# \})$ 
      using taut by (metis Add(1) insert-DiffM tautology-add-mset)
    moreover have distinct-mset ( $C - \{\#L\# \}$ )
      using dist by auto
    ultimately have ( $C - \{\#L\# \}$ )  $\in \text{simple-clss atms}$ 
      using Add unfolding simple-clss-def by auto
    moreover have  $C = \{\#L\# \} + (C - \{\#L\# \})$ 
      using Add by (auto simp: multiset-eq-iff)
    ultimately show ?thesis using Add by blast
  next
  case No
  then have  $C \in \text{simple-clss atms}$ 
    using taut atms dist unfolding simple-clss-def
    by (auto simp: atm-iff-pos-or-neg-lit split: if-split-asm dest!: H)
  then show ?thesis by blast
qed

```

```

next
  fix C
  assume C ∈ ?U
  then consider
    (Add) L C' where C = {#L#} + C' and C' ∈ simple-clss atms and
      L = Pos l ∨ L = Neg l
    | (No) C ∈ simple-clss atms
  by auto
  then show C ∈ ?I
  proof cases
    case No
    then show ?thesis unfolding simple-clss-def by auto
  next
    case (Add L C') note C' = this(1) and C = this(2) and L = this(3)
    then have
      atms: atms-of C' ⊆ atms and
      taut: ¬tautology C' and
      dist: distinct-mset C'
    unfolding simple-clss-def by auto
    have atms-of C ⊆ insert l atms
      using atms C' L by auto
    moreover have ¬ tautology C
      using taut C' L assms atms by (metis union-mset-add-mset-left add.left-neutral
        neg-lit-in-atms-of pos-lit-in-atms-of subsetCE tautology-add-mset
        uminus-Neg uminus-Pos)
    moreover have distinct-mset C
      using dist C' L by (metis union-mset-add-mset-left add.left-neutral assms atms
        distinct-mset-add-mset neg-lit-in-atms-of pos-lit-in-atms-of subsetCE)
    ultimately show ?thesis unfolding simple-clss-def by blast
  qed
qed

lemma simple-clss-finite:
  fixes atms :: 'v set
  assumes finite atms
  shows finite (simple-clss atms)
  using assms by (induction rule: finite-induct) (auto simp: simple-clss-insert)

lemma simple-clssE:
  assumes
    x ∈ simple-clss atms
  shows atms-of x ⊆ atms ∧ ¬tautology x ∧ distinct-mset x
  using assms unfolding simple-clss-def by auto

lemma cls-in-simple-clss:
  shows {#} ∈ simple-clss s
  unfolding simple-clss-def by auto

lemma simple-clss-card:
  fixes atms :: 'v set
  assumes finite atms
  shows card (simple-clss atms) ≤ (3::nat) ^ (card atms)
  using assms
proof (induct atms rule: finite-induct)
  case empty
  then show ?case by auto

```

```

next
case (insert l C) note fin = this(1) and l = this(2) and IH = this(3)
have notin:
   $\wedge C'. \text{add-mset } (\text{Pos } l) \ C' \notin \text{simple-clss } C$ 
   $\wedge C'. \text{add-mset } (\text{Neg } l) \ C' \notin \text{simple-clss } C$ 
  using l unfolding simple-clss-def by auto
have H:  $\wedge C' D. \{\# \text{Pos } l\} + C' = \{\# \text{Neg } l\} + D \implies D \in \text{simple-clss } C \implies \text{False}$ 
proof -
  fix C' D
  assume C'D:  $\{\# \text{Pos } l\} + C' = \{\# \text{Neg } l\} + D$  and D:  $D \in \text{simple-clss } C$ 
  then have Pos l  $\in \#$  D
    by (auto simp: add-mset-eq-add-mset-ne)
  then have l  $\in$  atms-of D
    by (simp add: atm-iff-pos-or-neg-lit)
  then show False using D l unfolding simple-clss-def by auto
qed
let ?P = ((+)  $\{\# \text{Pos } l\}$ ) ' (simple-clss C)
let ?N = ((+)  $\{\# \text{Neg } l\}$ ) ' (simple-clss C)
let ?O = simple-clss C
have card (?P  $\cup$  ?N  $\cup$  ?O) = card (?P  $\cup$  ?N) + card ?O
  apply (subst card-Un-disjoint)
  using l fin by (auto simp: simple-clss-finite notin)
moreover have card (?P  $\cup$  ?N) = card ?P + card ?N
  apply (subst card-Un-disjoint)
  using l fin H by (auto simp: simple-clss-finite notin)
moreover
  have card ?P = card ?O
    using inj-on-iff-eq-card[of ?O (+)  $\{\# \text{Pos } l\}$ ]
    by (auto simp: fin simple-clss-finite inj-on-def)
  moreover have card ?N = card ?O
    using inj-on-iff-eq-card[of ?O (+)  $\{\# \text{Neg } l\}$ ]
    by (auto simp: fin simple-clss-finite inj-on-def)
  moreover have  $(3::\text{nat}) \wedge \text{card } (\text{insert } l \ C) = 3 \wedge (\text{card } C) + 3 \wedge (\text{card } C) + 3 \wedge (\text{card } C)$ 
    using l by (simp add: fin mult-2-right numeral-3-eq-3)
  ultimately show ?case using IH l by (auto simp: simple-clss-insert)
qed

lemma simple-clss-mono:
  assumes incl:  $\text{atms} \subseteq \text{atms}'$ 
  shows simple-clss  $\text{atms} \subseteq \text{simple-clss } \text{atms}'$ 
  using assms unfolding simple-clss-def by auto

lemma distinct-mset-not-tautology-implies-in-simple-clss:
  assumes distinct-mset  $\chi$  and  $\neg \text{tautology } \chi$ 
  shows  $\chi \in \text{simple-clss } (\text{atms-of } \chi)$ 
  using assms unfolding simple-clss-def by auto

lemma simplified-in-simple-clss:
  assumes distinct-mset-set  $\psi$  and  $\forall \chi \in \psi. \neg \text{tautology } \chi$ 
  shows  $\psi \subseteq \text{simple-clss } (\text{atms-of-ms } \psi)$ 
  using assms unfolding simple-clss-def
  by (auto simp: distinct-mset-set-def atms-of-ms-def)

lemma simple-clss-element-mono:
   $\langle x \in \text{simple-clss } A \implies y \subseteq \# x \implies y \in \text{simple-clss } A \rangle$ 
  by (auto simp: simple-clss-def atms-of-def intro: distinct-mset-mono)

```

*dest: not-tautology-mono)*

### 1.1.7 Experiment: Expressing the Entailments as Locales

```

locale entail =
  fixes entail :: 'a set  $\Rightarrow$  'b  $\Rightarrow$  bool (infix  $\models_e$  50)
  assumes entail-insert[simp]:  $I \neq \{\}$   $\Rightarrow$   $\text{insert } L \ I \models_e x \longleftrightarrow \{L\} \models_e x \vee I \models_e x$ 
  assumes entail-union[simp]:  $I \models_e A \Rightarrow I \cup I' \models_e A$ 
begin

definition entails :: 'a set  $\Rightarrow$  'b set  $\Rightarrow$  bool (infix  $\models_{es}$  50) where
   $I \models_{es} A \longleftrightarrow (\forall a \in A. I \models_e a)$ 

lemma entails-empty[simp]:
   $I \models_{es} \{\}$ 
  unfolding entails-def by auto

lemma entails-single[iff]:
   $I \models_{es} \{a\} \longleftrightarrow I \models_e a$ 
  unfolding entails-def by auto

lemma entails-insert-l[simp]:
   $M \models_{es} A \Rightarrow \text{insert } L \ M \models_{es} A$ 
  unfolding entails-def by (metis Un-commute entail-union insert-is-Un)

lemma entails-union[iff]:  $I \models_{es} CC \cup DD \longleftrightarrow I \models_{es} CC \wedge I \models_{es} DD$ 
  unfolding entails-def by blast

lemma entails-insert[iff]:  $I \models_{es} \text{insert } C \ DD \longleftrightarrow I \models_e C \wedge I \models_{es} DD$ 
  unfolding entails-def by blast

lemma entails-insert-mono:  $DD \subseteq CC \Rightarrow I \models_{es} CC \Rightarrow I \models_{es} DD$ 
  unfolding entails-def by blast

lemma entails-union-increase[simp]:
  assumes  $I \models_{es} \psi$ 
  shows  $I \cup I' \models_{es} \psi$ 
  using assms unfolding entails-def by auto

lemma true-clss-commute-l:
   $I \cup I' \models_{es} \psi \longleftrightarrow I' \cup I \models_{es} \psi$ 
  by (simp add: Un-commute)

lemma entails-remove[simp]:  $I \models_{es} N \Rightarrow I \models_{es} \text{Set.remove } a \ N$ 
  by (simp add: entails-def)

lemma entails-remove-minus[simp]:  $I \models_{es} N \Rightarrow I \models_{es} N - A$ 
  by (simp add: entails-def)

end

interpretation true-cls: entail true-cls
  by standard (auto simp add: true-cls-def)

```



### 1.1.8 Entailment to be extended

In some cases we want a more general version of entailment to have for example  $\{\} \models \{\#L, -L\# \}$ . This is useful when the model we are building might not be total (the literal  $L$  might have been definitely removed from the set of clauses), but we still want to have a property of entailment considering that theses removed literals are not important.

We can given a model  $I$  consider all the natural extensions:  $C$  is entailed by an extended  $I$ , if for all total extension of  $I$ , this model entails  $C$ .

**definition** *true-clss-ext* :: 'a literal set  $\Rightarrow$  'a clause set  $\Rightarrow$  bool (**infix**  $\models_{\text{sext}}$  49)

**where**

$I \models_{\text{sext}} N \iff (\forall J. I \subseteq J \longrightarrow \text{consistent-interp } J \longrightarrow \text{total-over-m } J \ N \longrightarrow J \models_s N)$

**lemma** *true-clss-imp-true-clss-ext*:

$I \models_s N \implies I \models_{\text{sext}} N$

**unfolding** *true-clss-ext-def* **by** (*metis sup.orderE true-clss-union-increase*)

**lemma** *true-clss-ext-decrease-right-remove-r*:

**assumes**  $I \models_{\text{sext}} N$

**shows**  $I \models_{\text{sext}} N - \{C\}$

**unfolding** *true-clss-ext-def*

**proof** (*intro allI impI*)

**fix**  $J$

**assume**

$I \subseteq J$  **and**

*cons*: *consistent-interp*  $J$  **and**

*tot*: *total-over-m*  $J$  ( $N - \{C\}$ )

**let**  $?J = J \cup \{Pos \ (atm\text{-}of \ P) \mid P. P \in \# \ C \wedge atm\text{-}of \ P \notin atm\text{-}of \ ' \ J\}$

**have**  $I \subseteq ?J$  **using**  $\langle I \subseteq J \rangle$  **by** *auto*

**moreover have** *consistent-interp*  $?J$

**using** *cons* **unfolding** *consistent-interp-def* **apply** (*intro allI*)

**by** (*rename-tac L, case-tac L*) (*fastforce simp add: image-iff*)**+**

**moreover have** *total-over-m*  $?J \ N$

**using** *tot* **unfolding** *total-over-m-def total-over-set-def atms-of-ms-def*

**apply** *clarify*

**apply** (*rename-tac l a, case-tac a*  $\in N - \{C\}$ )

**apply** (*auto; fail*)

**using** *atms-of-s-def atm-of-in-atm-of-set-iff-in-set-or-uminus-in-set*

**by** (*fastforce simp: atms-of-def*)

**ultimately have**  $?J \models_s N$

**using** *assms* **unfolding** *true-clss-ext-def* **by** *blast*

**then have**  $?J \models_s N - \{C\}$  **by** *auto*

**have**  $\{v \in ?J. atm\text{-}of \ v \in atms\text{-}of\text{-}ms \ (N - \{C\})\} \subseteq J$

**using** *tot* **unfolding** *total-over-m-def total-over-set-def*

**by** (*auto intro!: rev-image-eqI*)

**then show**  $J \models_s N - \{C\}$

**using** *true-clss-remove-unused*[*OF*  $\langle ?J \models_s N - \{C\} \rangle$ ] **unfolding** *true-clss-def*

**by** (*meson true-clss-mono-set-mset-l*)

**qed**

**lemma** *consistent-true-clss-ext-satisfiable*:

**assumes** *consistent-interp*  $I$  **and**  $I \models_{\text{sext}} A$

**shows** *satisfiable*  $A$

**by** (*metis Un-empty-left assms satisfiable-carac subset-Un-eq sup.left-idem*

*total-over-m-consistent-extension total-over-m-empty true-clss-ext-def*)

```

lemma not-consistent-true-clss-ext:
  assumes  $\neg \text{consistent-interp } I$ 
  shows  $I \models_{\text{sext}} A$ 
  by (meson assms consistent-interp-subset true-clss-ext-def)

lemma inj-on-Pos:  $\langle \text{inj-on Pos } A \rangle$  and
  inj-on-Neg:  $\langle \text{inj-on Neg } A \rangle$ 
  by (auto simp: inj-on-def)

lemma inj-on-uminus-lit:  $\langle \text{inj-on uminus } A \rangle$  for  $A :: \langle 'a \text{ literal set} \rangle$ 
  by (auto simp: inj-on-def)

end

```

## 1.2 Partial Annotated Herbrand Interpretation

We here define decided literals (that will be used in both DPLL and CDCL) and the entailment corresponding to it.

```

theory Partial-Annotated-Herbrand-Interpretation
imports
  Partial-Herbrand-Interpretation
begin

```

### 1.2.1 Decided Literals

#### Definition

```

datatype  $\langle 'v, 'w, 'mark \rangle \text{ annotated-lit} =$ 
  is-decided: Decided (lit-dec:  $'v$ ) |
  is-proped: Propagated (lit-prop:  $'w$ ) (mark-of:  $'mark$ )

type-synonym  $\langle 'v, 'w, 'mark \rangle \text{ annotated-lits} = \langle \langle 'v, 'w, 'mark \rangle \text{ annotated-lit list} \rangle$ 
type-synonym  $\langle 'v, 'mark \rangle \text{ ann-lit} = \langle ('v \text{ literal}, 'v \text{ literal}, 'mark) \text{ annotated-lit} \rangle$ 

```

```

lemma ann-lit-list-induct[case-names Nil Decided Propagated]:
  assumes
     $\langle P [] \rangle$  and
     $\langle \bigwedge L \text{ xs. } P \text{ xs} \implies P (\text{Decided } L \# \text{ xs}) \rangle$  and
     $\langle \bigwedge L m \text{ xs. } P \text{ xs} \implies P (\text{Propagated } L m \# \text{ xs}) \rangle$ 
  shows  $\langle P \text{ xs} \rangle$ 
  using assms apply (induction xs, simp)
  by (rename-tac a xs, case-tac a) auto

```

```

lemma is-decided-ex-Decided:
   $\langle \text{is-decided } L \implies (\bigwedge K. L = \text{Decided } K \implies P) \implies P \rangle$ 
  by (cases L) auto

```

```

lemma is-propedE:  $\langle \text{is-proped } L \implies (\bigwedge K C. L = \text{Propagated } K C \implies P) \implies P \rangle$ 
  by (cases L) auto

```

```

lemma is-decided-no-proped-iff:  $\langle \text{is-decided } L \longleftrightarrow \neg \text{is-proped } L \rangle$ 
  by (cases L) auto

```

**lemma** *not-is-decidedE*:  
 $\langle \neg \text{is-decided } E \implies (\bigwedge K \ C. \ E = \text{Propagated } K \ C \implies \text{thesis}) \implies \text{thesis} \rangle$   
**by** (cases E) auto

**type-synonym** (*'v, 'm*) *ann-lits* = (*'v, 'm*) *ann-lit list*

**fun** *lit-of* :: (*'a, 'a, 'mark*) *annotated-lit*  $\Rightarrow$  *'a* **where**  
 $\langle \text{lit-of } (\text{Decided } L) = L \rangle \mid$   
 $\langle \text{lit-of } (\text{Propagated } L \ -) = L \rangle$

**definition** *lits-of* :: (*'a, 'b*) *ann-lit set*  $\Rightarrow$  *'a literal set* **where**  
 $\langle \text{lits-of } Ls = \text{lit-of } 'Ls \rangle$

**abbreviation** *lits-of-l* :: (*'a, 'b*) *ann-lits*  $\Rightarrow$  *'a literal set* **where**  
 $\langle \text{lits-of-l } Ls \equiv \text{lits-of } (\text{set } Ls) \rangle$

**lemma** *lits-of-l-empty[simp]*:  
 $\langle \text{lits-of } \{\} = \{\} \rangle$   
**unfolding** *lits-of-def* **by** auto

**lemma** *lits-of-insert[simp]*:  
 $\langle \text{lits-of } (\text{insert } L \ Ls) = \text{insert } (\text{lit-of } L) (\text{lits-of } Ls) \rangle$   
**unfolding** *lits-of-def* **by** auto

**lemma** *lits-of-l-Un[simp]*:  
 $\langle \text{lits-of } (l \cup l') = \text{lits-of } l \cup \text{lits-of } l' \rangle$   
**unfolding** *lits-of-def* **by** auto

**lemma** *finite-lits-of-def[simp]*:  
 $\langle \text{finite } (\text{lits-of-l } L) \rangle$   
**unfolding** *lits-of-def* **by** auto

**abbreviation** *unmark* **where**  
 $\langle \text{unmark} \equiv (\lambda a. \ \{\# \text{lit-of } a \# \}) \rangle$

**abbreviation** *unmark-s* **where**  
 $\langle \text{unmark-s } M \equiv \text{unmark } 'M \rangle$

**abbreviation** *unmark-l* **where**  
 $\langle \text{unmark-l } M \equiv \text{unmark-s } (\text{set } M) \rangle$

**lemma** *atms-of-ms-lambda-lit-of-is-atm-of-lit-of[simp]*:  
 $\langle \text{atms-of-ms } (\text{unmark-l } M') = \text{atm-of } ' \text{lits-of-l } M' \rangle$   
**unfolding** *atms-of-ms-def lits-of-def* **by** auto

**lemma** *lits-of-l-empty-is-empty[iff]*:  
 $\langle \text{lits-of-l } M = \{\} \longleftrightarrow M = [] \rangle$   
**by** (induct M) (auto simp: *lits-of-def*)

**lemma** *in-unmark-l-in-lits-of-l-iff*:  $\langle \{\# L \# \} \in \text{unmark-l } M \longleftrightarrow L \in \text{lits-of-l } M \rangle$   
**by** (induction M) auto

**lemma** *atm-lit-of-set-lits-of-l*:  
 $\langle \lambda l. \ \text{atm-of } (\text{lit-of } l) \rangle ' \text{set } xs = \text{atm-of } ' \text{lits-of-l } xs$   
**unfolding** *lits-of-def* **by** auto

## Entailment

**definition** *true-annot* ::  $\langle ('a, 'm) \text{ ann-lits} \Rightarrow 'a \text{ clause} \Rightarrow \text{bool} \rangle$  (**infix**  $\models_a$  49) **where**  
 $\langle I \models_a C \longleftrightarrow (\text{lits-of-l } I) \models C \rangle$

**definition** *true-annots* ::  $\langle ('a, 'm) \text{ ann-lits} \Rightarrow 'a \text{ clause-set} \Rightarrow \text{bool} \rangle$  (**infix**  $\models_{as}$  49) **where**  
 $\langle I \models_{as} CC \longleftrightarrow (\forall C \in CC. I \models_a C) \rangle$

**lemma** *true-annot-empty-model*[simp]:  
 $\langle \neg[] \models_a \psi \rangle$   
**unfolding** *true-annot-def true-cls-def* **by** *simp*

**lemma** *true-annot-empty*[simp]:  
 $\langle \neg I \models_a \{\#\} \rangle$   
**unfolding** *true-annot-def true-cls-def* **by** *simp*

**lemma** *empty-true-annots-def*[iff]:  
 $\langle [] \models_{as} \psi \longleftrightarrow \psi = \{\} \rangle$   
**unfolding** *true-annots-def* **by** *auto*

**lemma** *true-annots-empty*[simp]:  
 $\langle I \models_{as} \{\} \rangle$   
**unfolding** *true-annots-def* **by** *auto*

**lemma** *true-annots-single-true-annot*[iff]:  
 $\langle I \models_{as} \{C\} \longleftrightarrow I \models_a C \rangle$   
**unfolding** *true-annots-def* **by** *auto*

**lemma** *true-annot-insert-l*[simp]:  
 $\langle M \models_a A \Longrightarrow L \# M \models_a A \rangle$   
**unfolding** *true-annot-def* **by** *auto*

**lemma** *true-annots-insert-l* [simp]:  
 $\langle M \models_{as} A \Longrightarrow L \# M \models_{as} A \rangle$   
**unfolding** *true-annots-def* **by** *auto*

**lemma** *true-annots-union*[iff]:  
 $\langle M \models_{as} A \cup B \longleftrightarrow (M \models_{as} A \wedge M \models_{as} B) \rangle$   
**unfolding** *true-annots-def* **by** *auto*

**lemma** *true-annots-insert*[iff]:  
 $\langle M \models_{as} \text{insert } a \ A \longleftrightarrow (M \models_a a \wedge M \models_{as} A) \rangle$   
**unfolding** *true-annots-def* **by** *auto*

**lemma** *true-annot-append-l*:  
 $\langle M \models_a A \Longrightarrow M' @ M \models_a A \rangle$   
**unfolding** *true-annot-def* **by** *auto*

**lemma** *true-annots-append-l*:  
 $\langle M \models_{as} A \Longrightarrow M' @ M \models_{as} A \rangle$   
**unfolding** *true-annots-def* **by** (*auto simp: true-annot-append-l*)

Link between  $\models_{as}$  and  $\models_s$ :

**lemma** *true-annots-true-cls*:  
 $\langle I \models_{as} CC \longleftrightarrow \text{lits-of-l } I \models_s CC \rangle$   
**unfolding** *true-annots-def Ball-def true-annot-def true-clss-def* **by** *auto*

**lemma** *in-lit-of-true-annot*:

$\langle a \in \text{lits-of-l } M \longleftrightarrow M \models_a \{\#a\# \} \rangle$   
**unfolding** *true-annot-def lits-of-def* **by** *auto*

**lemma** *true-annot-lit-of-notin-skip*:

$\langle L \# M \models_a A \implies \text{lit-of } L \notin \# A \implies M \models_a A \rangle$   
**unfolding** *true-annot-def true-clss-def* **by** *auto*

**lemma** *true-clss-singleton-lit-of-implies-incl*:

$\langle I \models_s \text{unmark-l } MLs \implies \text{lits-of-l } MLs \subseteq I \rangle$   
**unfolding** *true-clss-def lits-of-def* **by** *auto*

**lemma** *true-annot-true-clss-clss*:

$\langle MLs \models_a \psi \implies \text{set } (\text{map unmark } MLs) \models_p \psi \rangle$   
**unfolding** *true-annot-def true-clss-clss-def true-clss-def*  
**by** (*auto dest: true-clss-singleton-lit-of-implies-incl*)

**lemma** *true-annots-true-clss-clss*:

$\langle MLs \models_{as} \psi \implies \text{set } (\text{map unmark } MLs) \models_{ps} \psi \rangle$   
**by** (*auto*  
*dest: true-clss-singleton-lit-of-implies-incl*  
*simp add: true-clss-def true-annots-def true-annot-def lits-of-def true-clss-def*  
*true-clss-clss-def*)

**lemma** *true-annots-decided-true-clss[iff]*:

$\langle \text{map Decided } M \models_{as} N \longleftrightarrow \text{set } M \models_s N \rangle$

**proof** –

**have** \*:  $\langle \text{lit-of 'Decided ' set } M = \text{set } M \rangle$  **unfolding** *lits-of-def* **by** *force*  
**show** ?thesis **by** (*simp add: true-annots-true-clss \* lits-of-def*)

**qed**

**lemma** *true-annot-singleton[iff]*:  $\langle M \models_a \{\#L\# \} \longleftrightarrow L \in \text{lits-of-l } M \rangle$

**unfolding** *true-annot-def lits-of-def* **by** *auto*

**lemma** *true-annots-true-clss-clss*:

$\langle A \models_{as} \Psi \implies \text{unmark-l } A \models_{ps} \Psi \rangle$   
**unfolding** *true-clss-clss-def true-annots-def true-clss-def*  
**by** (*auto dest!: true-clss-singleton-lit-of-implies-incl*  
*simp: lits-of-def true-annot-def true-clss-def*)

**lemma** *true-annot-commute*:

$\langle M @ M' \models_a D \longleftrightarrow M' @ M \models_a D \rangle$   
**unfolding** *true-annot-def* **by** (*simp add: Un-commute*)

**lemma** *true-annots-commute*:

$\langle M @ M' \models_{as} D \longleftrightarrow M' @ M \models_{as} D \rangle$   
**unfolding** *true-annots-def* **by** (*auto simp: true-annot-commute*)

**lemma** *true-annot-mono[dest]*:

$\langle \text{set } I \subseteq \text{set } I' \implies I \models_a N \implies I' \models_a N \rangle$   
**using** *true-clss-mono-set-mset-l* **unfolding** *true-annot-def lits-of-def*  
**by** (*metis (no-types) Un-commute Un-upper1 image-Un sup.orderE*)

**lemma** *true-annots-mono*:

$\langle \text{set } I \subseteq \text{set } I' \implies I \models_{as} N \implies I' \models_{as} N \rangle$

**unfolding** *true-annots-def* **by** *auto*

## Defined and Undefined Literals

We introduce the functions *defined-lit* and *undefined-lit* to know whether a literal is defined with respect to a list of decided literals (aka a trail in most cases).

Remark that *undefined* already exists and is a completely different Isabelle function.

**definition** *defined-lit* ::  $\langle ('a \text{ literal}, 'a \text{ literal}, 'm) \text{ annotated-lits} \Rightarrow 'a \text{ literal} \Rightarrow \text{bool} \rangle$

**where**

$\langle \text{defined-lit } I \ L \longleftrightarrow (Decided \ L \in \text{set } I) \vee (\exists P. \text{Propagated } L \ P \in \text{set } I) \vee (Decided \ (-L) \in \text{set } I) \vee (\exists P. \text{Propagated } (-L) \ P \in \text{set } I) \rangle$

**abbreviation** *undefined-lit* ::  $\langle ('a \text{ literal}, 'a \text{ literal}, 'm) \text{ annotated-lits} \Rightarrow 'a \text{ literal} \Rightarrow \text{bool} \rangle$

**where**  $\langle \text{undefined-lit } I \ L \equiv \neg \text{defined-lit } I \ L \rangle$

**lemma** *defined-lit-rev[simp]*:

$\langle \text{defined-lit } (\text{rev } M) \ L \longleftrightarrow \text{defined-lit } M \ L \rangle$

**unfolding** *defined-lit-def* **by** *auto*

**lemma** *atm-imp-decided-or-proped*:

**assumes**  $\langle x \in \text{set } I \rangle$

**shows**

$\langle (Decided \ (- \text{lit-of } x) \in \text{set } I) \vee (Decided \ (\text{lit-of } x) \in \text{set } I) \vee (\exists l. \text{Propagated } (- \text{lit-of } x) \ l \in \text{set } I) \vee (\exists l. \text{Propagated } (\text{lit-of } x) \ l \in \text{set } I) \rangle$

**using** *assms* **by** (*metis* (*full-types*) *lit-of.elims*)

**lemma** *literal-is-lit-of-decided*:

**assumes**  $\langle L = \text{lit-of } x \rangle$

**shows**  $\langle (x = Decided \ L) \vee (\exists l'. x = \text{Propagated } L \ l') \rangle$

**using** *assms* **by** (*cases* *x*) *auto*

**lemma** *true-annot-iff-decided-or-true-lit*:

$\langle \text{defined-lit } I \ L \longleftrightarrow (\text{lits-of-l } I \models L \vee \text{lits-of-l } I \models -L) \rangle$

**unfolding** *defined-lit-def* **by** (*auto* *simp* *add: lits-of-def rev-image-eqI dest!: literal-is-lit-of-decided*)

**lemma** *consistent-inter-true-annots-satisfiable*:

$\langle \text{consistent-interp } (\text{lits-of-l } I) \Longrightarrow I \models_{\text{as}} N \Longrightarrow \text{satisfiable } N \rangle$

**by** (*simp* *add: true-annots-true-cls*)

**lemma** *defined-lit-map*:

$\langle \text{defined-lit } Ls \ L \longleftrightarrow \text{atm-of } L \in (\lambda l. \text{atm-of } (\text{lit-of } l)) \text{ 'set } Ls \rangle$

**unfolding** *defined-lit-def* **apply** (*rule iffI*)

**using** *image-iff* **apply** *fastforce*

**by** (*fastforce* *simp* *add: atm-of-eq-atm-of dest: atm-imp-decided-or-proped*)

**lemma** *defined-lit-uminus[iff]*:

$\langle \text{defined-lit } I \ (-L) \longleftrightarrow \text{defined-lit } I \ L \rangle$

**unfolding** *defined-lit-def* **by** *auto*

**lemma** *Decided-Propagated-in-iff-in-lits-of-l*:

$\langle \text{defined-lit } I \ L \longleftrightarrow (L \in \text{lits-of-l } I \vee -L \in \text{lits-of-l } I) \rangle$

**unfolding** *lits-of-def* **by** (*metis* *lits-of-def true-annot-iff-decided-or-true-lit true-lit-def*)

**lemma** *consistent-add-undefined-lit-consistent*[simp]:  
**assumes**  
 $\langle \text{consistent-interp } (\text{lits-of-l } Ls) \rangle$  **and**  
 $\langle \text{undefined-lit } Ls \ L \rangle$   
**shows**  $\langle \text{consistent-interp } (\text{insert } L \ (\text{lits-of-l } Ls)) \rangle$   
**using** *assms unfolding consistent-interp-def* **by** (auto simp: *Decided-Propagated-in-iff-in-lits-of-l*)

**lemma** *decided-empty*[simp]:  
 $\langle \neg \text{defined-lit } [] \ L \rangle$   
**unfolding** *defined-lit-def* **by** *simp*

**lemma** *undefined-lit-single*[iff]:  
 $\langle \text{defined-lit } [L] \ K \longleftrightarrow \text{atm-of } (\text{lit-of } L) = \text{atm-of } K \rangle$   
**by** (auto simp: *defined-lit-map*)

**lemma** *undefined-lit-cons*[iff]:  
 $\langle \text{undefined-lit } (L \# M) \ K \longleftrightarrow \text{atm-of } (\text{lit-of } L) \neq \text{atm-of } K \wedge \text{undefined-lit } M \ K \rangle$   
**by** (auto simp: *defined-lit-map*)

**lemma** *undefined-lit-append*[iff]:  
 $\langle \text{undefined-lit } (M @ M') \ K \longleftrightarrow \text{undefined-lit } M \ K \wedge \text{undefined-lit } M' \ K \rangle$   
**by** (auto simp: *defined-lit-map*)

**lemma** *defined-lit-cons*:  
 $\langle \text{defined-lit } (L \# M) \ K \longleftrightarrow \text{atm-of } (\text{lit-of } L) = \text{atm-of } K \vee \text{defined-lit } M \ K \rangle$   
**by** (auto simp: *defined-lit-map*)

**lemma** *defined-lit-append*:  
 $\langle \text{defined-lit } (M @ M') \ K \longleftrightarrow \text{defined-lit } M \ K \vee \text{defined-lit } M' \ K \rangle$   
**by** (auto simp: *defined-lit-map*)

**lemma** *in-lits-of-l-defined-litD*:  $\langle L\text{-max} \in \text{lits-of-l } M \implies \text{defined-lit } M \ L\text{-max} \rangle$   
**by** (auto simp: *Decided-Propagated-in-iff-in-lits-of-l*)

**lemma** *undefined-notin*:  $\langle \text{undefined-lit } M \ (\text{lit-of } x) \implies x \notin \text{set } M \rangle$  **for**  $x \ M$   
**by** (metis *in-lits-of-l-defined-litD insert-iff lits-of-insert mk-disjoint-insert*)

**lemma** *uminus-lits-of-l-definedD*:  
 $\langle -x \in \text{lits-of-l } M \implies \text{defined-lit } M \ x \rangle$   
**by** (simp add: *Decided-Propagated-in-iff-in-lits-of-l*)

**lemma** *defined-lit-Neg-Pos-iff*:  
 $\langle \text{defined-lit } M \ (\text{Neg } A) \longleftrightarrow \text{defined-lit } M \ (\text{Pos } A) \rangle$   
**by** (simp add: *defined-lit-map*)

**lemma** *defined-lit-Pos-atm-iff*[simp]:  
 $\langle \text{defined-lit } M1 \ (\text{Pos } (\text{atm-of } x)) \longleftrightarrow \text{defined-lit } M1 \ x \rangle$   
**by** (cases  $x$ ) (auto simp: *defined-lit-Neg-Pos-iff*)

**lemma** *defined-lit-mono*:  
 $\langle \text{defined-lit } M2 \ L \implies \text{set } M2 \subseteq \text{set } M3 \implies \text{defined-lit } M3 \ L \rangle$   
**by** (auto simp: *Decided-Propagated-in-iff-in-lits-of-l*)

**lemma** *defined-lit-nth*:  
 $\langle n < \text{length } M2 \implies \text{defined-lit } M2 \ (\text{lit-of } (M2 ! n)) \rangle$

by (auto simp: Decided-Propagated-in-iff-in-lits-of-l lits-of-def)

### 1.2.2 Backtracking

**fun** *backtrack-split* ::  $\langle ('a, 'v, 'm) \text{ annotated-lits} \Rightarrow ('a, 'v, 'm) \text{ annotated-lits} \times ('a, 'v, 'm) \text{ annotated-lits} \rangle$  **where**  
 $\langle \text{backtrack-split } [] = ([], []) \rangle$  |  
 $\langle \text{backtrack-split } (\text{Propagated } L \ P \ \# \ \text{mlits}) = \text{apfst } ((\#) (\text{Propagated } L \ P)) (\text{backtrack-split } \text{mlits}) \rangle$  |  
 $\langle \text{backtrack-split } (\text{Decided } L \ \# \ \text{mlits}) = ([], \text{Decided } L \ \# \ \text{mlits}) \rangle$

**lemma** *backtrack-split-fst-not-decided*:  $\langle a \in \text{set } (\text{fst } (\text{backtrack-split } l)) \implies \neg \text{is-decided } a \rangle$   
 by (induct l rule: ann-lit-list-induct) auto

**lemma** *backtrack-split-snd-hd-decided*:  
 $\langle \text{snd } (\text{backtrack-split } l) \neq [] \implies \text{is-decided } (\text{hd } (\text{snd } (\text{backtrack-split } l))) \rangle$   
 by (induct l rule: ann-lit-list-induct) auto

**lemma** *backtrack-split-list-eq[simp]*:  
 $\langle \text{fst } (\text{backtrack-split } l) @ (\text{snd } (\text{backtrack-split } l)) = l \rangle$   
 by (induct l rule: ann-lit-list-induct) auto

**lemma** *backtrack-snd-empty-not-decided*:  
 $\langle \text{backtrack-split } M = (M'', []) \implies \forall l \in \text{set } M. \neg \text{is-decided } l \rangle$   
 by (metis append-Nil2 backtrack-split-fst-not-decided backtrack-split-list-eq snd-conv)

**lemma** *backtrack-split-some-is-decided-then-snd-has-hd*:  
 $\langle \exists l \in \text{set } M. \text{is-decided } l \implies \exists M' \ L' \ M''. \text{backtrack-split } M = (M', L' \ \# \ M'') \rangle$   
 by (metis backtrack-snd-empty-not-decided list.exhaust prod.collapse)

Another characterisation of the result of *backtrack-split*. This view allows some simpler proofs, since *takeWhile* and *dropWhile* are highly automated:

**lemma** *backtrack-split-takeWhile-dropWhile*:  
 $\langle \text{backtrack-split } M = (\text{takeWhile } (\text{Not } o \text{ is-decided}) \ M, \text{dropWhile } (\text{Not } o \text{ is-decided}) \ M) \rangle$   
 by (induction M rule: ann-lit-list-induct) auto

### 1.2.3 Decomposition with respect to the First Decided Literals

In this section we define a function that returns a decomposition with the first decided literal. This function is useful to define the backtracking of DPLL.

#### Definition

The pattern *get-all-ann-decomposition*  $[] = [([], [])]$  is necessary otherwise, we can call the *hd* function in the other pattern.

**fun** *get-all-ann-decomposition* ::  $\langle ('a, 'b, 'm) \text{ annotated-lits} \Rightarrow (('a, 'b, 'm) \text{ annotated-lits} \times ('a, 'b, 'm) \text{ annotated-lits}) \text{ list} \rangle$  **where**  
 $\langle \text{get-all-ann-decomposition } (\text{Decided } L \ \# \ Ls) =$   
 $(\text{Decided } L \ \# \ Ls, []) \ \# \ \text{get-all-ann-decomposition } Ls \rangle$  |  
 $\langle \text{get-all-ann-decomposition } (\text{Propagated } L \ P \ \# \ Ls) =$   
 $(\text{apsnd } ((\#) (\text{Propagated } L \ P)) (\text{hd } (\text{get-all-ann-decomposition } Ls)))$   
 $\ \# \ \text{tl } (\text{get-all-ann-decomposition } Ls) \rangle$  |  
 $\langle \text{get-all-ann-decomposition } [] = [([], [])] \rangle$

**value**  $\langle \text{get-all-ann-decomposition } [\text{Propagated } A5 \ B5, \text{Decided } C4, \text{Propagated } A3 \ B3],$



*Propagated A2 B2, Decided C1, Propagated A0 B0*⟩

Now we can prove several simple properties about the function.

**lemma** *get-all-ann-decomposition-never-empty*[iff]:  
 ⟨*get-all-ann-decomposition*  $M = [] \longleftrightarrow \text{False}$ ⟩  
**by** (induct  $M$ , simp) (rename-tac  $a$   $xs$ , case-tac  $a$ , auto)

**lemma** *get-all-ann-decomposition-never-empty-sym*[iff]:  
 ⟨ $[] = \text{get-all-ann-decomposition } M \longleftrightarrow \text{False}$ ⟩  
**using** *get-all-ann-decomposition-never-empty*[of  $M$ ] **by** *presburger*

**lemma** *get-all-ann-decomposition-decomp*:  
 ⟨ $\text{hd } (\text{get-all-ann-decomposition } S) = (a, c) \implies S = c @ a$ ⟩  
**proof** (induct  $S$  arbitrary:  $a$   $c$ )  
 case Nil  
 then show ?case **by** simp  
**next**  
 case (Cons  $x$   $A$ )  
 then show ?case **by** (cases  $x$ ; cases ⟨ $\text{hd } (\text{get-all-ann-decomposition } A)$ ⟩) auto  
**qed**

**lemma** *get-all-ann-decomposition-backtrack-split*:  
 ⟨ $\text{backtrack-split } S = (M, M') \longleftrightarrow \text{hd } (\text{get-all-ann-decomposition } S) = (M', M)$ ⟩  
**proof** (induction  $S$  arbitrary:  $M$   $M'$ )  
 case Nil  
 then show ?case **by** auto  
**next**  
 case (Cons  $a$   $S$ )  
 then show ?case **using** *backtrack-split-takeWhile-dropWhile* **by** (cases  $a$ ) force+  
**qed**

**lemma** *get-all-ann-decomposition-Nil-backtrack-split-snd-Nil*:  
 ⟨ $\text{get-all-ann-decomposition } S = [([], A)] \implies \text{snd } (\text{backtrack-split } S) = []$ ⟩  
**by** (simp add: *get-all-ann-decomposition-backtrack-split sndI*)

This functions says that the first element is either empty or starts with a decided element of the list.

**lemma** *get-all-ann-decomposition-length-1-fst-empty-or-length-1*:  
**assumes** ⟨ $\text{get-all-ann-decomposition } M = (a, b) \# []$ ⟩  
**shows** ⟨ $a = [] \vee (\text{length } a = 1 \wedge \text{is-decided } (\text{hd } a) \wedge \text{hd } a \in \text{set } M)$ ⟩  
**using** *assms*  
**proof** (induct  $M$  arbitrary:  $a$   $b$  rule: *ann-lit-list-induct*)  
 case Nil **then show** ?case **by** simp  
**next**  
 case (Decided  $L$  mark)  
 then show ?case **by** simp  
**next**  
 case (Propagated  $L$  mark  $M$ )  
 then show ?case **by** (cases ⟨ $\text{get-all-ann-decomposition } M$ ⟩) force+  
**qed**

**lemma** *get-all-ann-decomposition-fst-empty-or-hd-in-M*:  
**assumes** ⟨ $\text{get-all-ann-decomposition } M = (a, b) \# l$ ⟩  
**shows** ⟨ $a = [] \vee (\text{is-decided } (\text{hd } a) \wedge \text{hd } a \in \text{set } M)$ ⟩  
**using** *assms*

```

proof (induct M arbitrary: a b rule: ann-lit-list-induct)
  case Nil
  then show ?case by auto
next
  case (Decided L ann xs)
  then show ?case by auto
next
  case (Propagated L m xs) note IH = this(1) and d = this(2)
  then show ?case
    using IH[of ⟨fst (hd (get-all-ann-decomposition xs))⟩ ⟨snd (hd (get-all-ann-decomposition xs))⟩]
    by (cases ⟨get-all-ann-decomposition xs⟩; cases a) auto
qed

```

```

lemma get-all-ann-decomposition-snd-not-decided:
  assumes ⟨(a, b) ∈ set (get-all-ann-decomposition M)⟩
  and ⟨L ∈ set b⟩
  shows ⟨¬is-decided L⟩
  using assms apply (induct M arbitrary: a b rule: ann-lit-list-induct, simp)
  by (rename-tac L' xs a b, case-tac ⟨get-all-ann-decomposition xs⟩; fastforce)+

```

```

lemma tl-get-all-ann-decomposition-skip-some:
  assumes ⟨x ∈ set (tl (get-all-ann-decomposition M1))⟩
  shows ⟨x ∈ set (tl (get-all-ann-decomposition (M0 @ M1)))⟩
  using assms
  by (induct M0 rule: ann-lit-list-induct)
    (auto simp add: list.set-sel(2))

```

```

lemma hd-get-all-ann-decomposition-skip-some:
  assumes ⟨(x, y) = hd (get-all-ann-decomposition M1)⟩
  shows ⟨(x, y) ∈ set (get-all-ann-decomposition (M0 @ Decided K # M1))⟩
  using assms

```

```

proof (induction M0 rule: ann-lit-list-induct)
  case Nil
  then show ?case by auto
next
  case (Decided L M0)
  then show ?case by auto
next
  case (Propagated L C M0) note xy = this(1)[OF this(2-)] and hd = this(2)
  then show ?case
    by (cases ⟨get-all-ann-decomposition (M0 @ Decided K # M1)⟩)
      (auto dest!: get-all-ann-decomposition-decomp
        arg-cong[of ⟨get-all-ann-decomposition -⟩ - hd])
qed

```

```

lemma in-get-all-ann-decomposition-in-get-all-ann-decomposition-prepend:
  ⟨(a, b) ∈ set (get-all-ann-decomposition M') ⟹
  ∃ b'. (a, b' @ b) ∈ set (get-all-ann-decomposition (M @ M'))⟩
  apply (induction M rule: ann-lit-list-induct)
  apply (metis append-Nil)
  apply auto[]
  by (rename-tac L' m xs, case-tac ⟨get-all-ann-decomposition (xs @ M')⟩) auto

```

```

lemma in-get-all-ann-decomposition-decided-or-empty:
  assumes ⟨(a, b) ∈ set (get-all-ann-decomposition M)⟩
  shows ⟨a = [] ∨ (is-decided (hd a))⟩

```

```

using assms
proof (induct M arbitrary: a b rule: ann-lit-list-induct)
  case Nil then show ?case by simp
next
  case (Decided l M)
  then show ?case by auto
next
  case (Propagated l mark M)
  then show ?case by (cases (get-all-ann-decomposition M)) force+
qed

lemma get-all-ann-decomposition-remove-undecided-length:
  assumes  $\forall l \in \text{set } M'. \neg \text{is-decided } l$ 
  shows  $\langle \text{length (get-all-ann-decomposition (M' @ M''))} = \text{length (get-all-ann-decomposition M'')} \rangle$ 
  using assms by (induct M' arbitrary: M'' rule: ann-lit-list-induct) auto

lemma get-all-ann-decomposition-not-is-decided-length:
  assumes  $\forall l \in \text{set } M'. \neg \text{is-decided } l$ 
  shows  $\langle 1 + \text{length (get-all-ann-decomposition (Propagated (-L) P \# M))} = \text{length (get-all-ann-decomposition (M' @ Decided L \# M))} \rangle$ 
  using assms get-all-ann-decomposition-remove-undecided-length by fastforce

lemma get-all-ann-decomposition-last-choice:
  assumes  $\langle \text{tl (get-all-ann-decomposition (M' @ Decided L \# M))} \neq [] \rangle$ 
  and  $\forall l \in \text{set } M'. \neg \text{is-decided } l$ 
  and  $\langle \text{hd (tl (get-all-ann-decomposition (M' @ Decided L \# M)))} = (M0', M0) \rangle$ 
  shows  $\langle \text{hd (get-all-ann-decomposition (Propagated (-L) P \# M))} = (M0', \text{Propagated } (-L) P \# M0) \rangle$ 
  using assms by (induct M' rule: ann-lit-list-induct) auto

lemma get-all-ann-decomposition-except-last-choice-equal:
  assumes  $\forall l \in \text{set } M'. \neg \text{is-decided } l$ 
  shows  $\langle \text{tl (get-all-ann-decomposition (Propagated (-L) P \# M))} = \text{tl (tl (get-all-ann-decomposition (M' @ Decided L \# M)))} \rangle$ 
  using assms by (induct M' rule: ann-lit-list-induct) auto

lemma get-all-ann-decomposition-hd-hd:
  assumes  $\langle \text{get-all-ann-decomposition } Ls = (M, C) \# (M0, M0') \# l \rangle$ 
  shows  $\langle \text{tl } M = M0' @ M0 \wedge \text{is-decided (hd } M) \rangle$ 
  using assms
proof (induct Ls arbitrary: M C M0 M0' l)
  case Nil
  then show ?case by simp
next
  case (Cons a Ls M C M0 M0' l)
  note IH = this(1) and g = this(2)
  { fix L ann level
    assume a:  $\langle a = \text{Decided } L \rangle$ 
    have  $\langle Ls = M0' @ M0 \rangle$ 
    using g a by (force intro: get-all-ann-decomposition-decomp)
    then have  $\langle \text{tl } M = M0' @ M0 \wedge \text{is-decided (hd } M) \rangle$  using g a by auto
  }
  moreover {
    fix L P
    assume a:  $\langle a = \text{Propagated } L P \rangle$ 
    have  $\langle \text{tl } M = M0' @ M0 \wedge \text{is-decided (hd } M) \rangle$ 
    using IH Cons.premis unfolding a by (cases (get-all-ann-decomposition Ls)) auto
  }

```

```

}
ultimately show ?case by (cases a) auto
qed

```

```

lemma get-all-ann-decomposition-exists-prepend[dest]:
  assumes  $\langle (a, b) \in \text{set } (\text{get-all-ann-decomposition } M) \rangle$ 
  shows  $\langle \exists c. M = c @ b @ a \rangle$ 
  using assms apply (induct M rule: ann-lit-list-induct)
  apply simp
  by (rename-tac L' xs, case-tac  $\langle \text{get-all-ann-decomposition } xs \rangle$ ;
    auto dest!: arg-cong[of  $\langle \text{get-all-ann-decomposition } - \rangle - \text{hd}$ ]
    get-all-ann-decomposition-decomp)+

```

```

lemma get-all-ann-decomposition-incl:
  assumes  $\langle (a, b) \in \text{set } (\text{get-all-ann-decomposition } M) \rangle$ 
  shows  $\langle \text{set } b \subseteq \text{set } M \rangle$  and  $\langle \text{set } a \subseteq \text{set } M \rangle$ 
  using assms get-all-ann-decomposition-exists-prepend by fastforce+

```

```

lemma get-all-ann-decomposition-exists-prepend':
  assumes  $\langle (a, b) \in \text{set } (\text{get-all-ann-decomposition } M) \rangle$ 
  obtains c where  $\langle M = c @ b @ a \rangle$ 
  using assms apply (induct M rule: ann-lit-list-induct)
  apply auto[1]
  by (rename-tac L' xs, case-tac  $\langle \text{hd } (\text{get-all-ann-decomposition } xs) \rangle$ ,
    auto dest!: get-all-ann-decomposition-decomp simp add: list.set-sel(2))+

```

```

lemma union-in-get-all-ann-decomposition-is-subset:
  assumes  $\langle (a, b) \in \text{set } (\text{get-all-ann-decomposition } M) \rangle$ 
  shows  $\langle \text{set } a \cup \text{set } b \subseteq \text{set } M \rangle$ 
  using assms by force

```

```

lemma Decided-cons-in-get-all-ann-decomposition-append-Decided-cons:
   $\langle \exists c''. (\text{Decided } K \# c, c'') \in \text{set } (\text{get-all-ann-decomposition } (c' @ \text{Decided } K \# c)) \rangle$ 
  apply (induction c' rule: ann-lit-list-induct)
  apply auto[2]
  apply (rename-tac L xs,
    case-tac  $\langle \text{hd } (\text{get-all-ann-decomposition } (xs @ \text{Decided } K \# c)) \rangle$ )
  apply (case-tac  $\langle \text{get-all-ann-decomposition } (xs @ \text{Decided } K \# c) \rangle$ )
  by auto

```

```

lemma fst-get-all-ann-decomposition-prepend-not-decided:
  assumes  $\langle \forall m \in \text{set } MS. \neg \text{is-decided } m \rangle$ 
  shows  $\langle \text{set } (\text{map fst } (\text{get-all-ann-decomposition } M))$ 
     $= \text{set } (\text{map fst } (\text{get-all-ann-decomposition } (MS @ M))) \rangle$ 
  using assms apply (induction MS rule: ann-lit-list-induct)
  apply auto[2]
  by (rename-tac L m xs; case-tac  $\langle \text{get-all-ann-decomposition } (xs @ M) \rangle$ ) simp-all

```

```

lemma no-decision-get-all-ann-decomposition:
   $\langle \forall l \in \text{set } M. \neg \text{is-decided } l \implies \text{get-all-ann-decomposition } M = [([], M)] \rangle$ 
  by (induction M rule: ann-lit-list-induct) auto

```

## Entailment of the Propagated by the Decided Literal

```

lemma get-all-ann-decomposition-snd-union:
   $\langle \text{set } M = \bigcup (\text{set 'snd ' set } (\text{get-all-ann-decomposition } M)) \cup \{L \mid L. \text{is-decided } L \wedge L \in \text{set } M\} \rangle$ 

```

```

  (is (?M M = ?U M ∪ ?Ls M))
proof (induct M rule: ann-lit-list-induct)
  case Nil
  then show ?case by simp
next
  case (Decided L M) note IH = this(1)
  then have ⟨Decided L ∈ ?Ls (Decided L # M)⟩ by auto
  moreover have ⟨?U (Decided L # M) = ?U M⟩ by auto
  moreover have ⟨?M M = ?U M ∪ ?Ls M⟩ using IH by auto
  ultimately show ?case by auto
next
  case (Propagated L m M)
  then show ?case by (cases ⟨(get-all-ann-decomposition M)⟩) auto
qed

```

**definition** *all-decomposition-implies* :: ⟨'a clause set  
 $\Rightarrow ((\text{'a}, \text{'m}) \text{ ann-lits} \times (\text{'a}, \text{'m}) \text{ ann-lits}) \text{ list} \Rightarrow \text{bool})$  where  
 $\langle \text{all-decomposition-implies } N \ S \longleftrightarrow (\forall (Ls, \text{seen}) \in \text{set } S. \text{ unmark-l } Ls \cup N \models_{ps} \text{ unmark-l seen}) \rangle$

**lemma** *all-decomposition-implies-empty*[iff]:  
 $\langle \text{all-decomposition-implies } N \ [] \rangle$  **unfolding** *all-decomposition-implies-def* by auto

**lemma** *all-decomposition-implies-single*[iff]:  
 $\langle \text{all-decomposition-implies } N \ [(Ls, \text{seen})] \longleftrightarrow \text{unmark-l } Ls \cup N \models_{ps} \text{ unmark-l seen} \rangle$   
**unfolding** *all-decomposition-implies-def* by auto

**lemma** *all-decomposition-implies-append*[iff]:  
 $\langle \text{all-decomposition-implies } N \ (S \ @ \ S') \longleftrightarrow (\text{all-decomposition-implies } N \ S \wedge \text{all-decomposition-implies } N \ S') \rangle$   
**unfolding** *all-decomposition-implies-def* by auto

**lemma** *all-decomposition-implies-cons-pair*[iff]:  
 $\langle \text{all-decomposition-implies } N \ ((Ls, \text{seen}) \ # \ S') \longleftrightarrow (\text{all-decomposition-implies } N \ [(Ls, \text{seen})] \wedge \text{all-decomposition-implies } N \ S') \rangle$   
**unfolding** *all-decomposition-implies-def* by auto

**lemma** *all-decomposition-implies-cons-single*[iff]:  
 $\langle \text{all-decomposition-implies } N \ (l \ # \ S') \longleftrightarrow (\text{unmark-l } (\text{fst } l) \cup N \models_{ps} \text{ unmark-l } (\text{snd } l) \wedge \text{all-decomposition-implies } N \ S') \rangle$   
**unfolding** *all-decomposition-implies-def* by auto

**lemma** *all-decomposition-implies-trail-is-implied*:  
**assumes**  $\langle \text{all-decomposition-implies } N \ (\text{get-all-ann-decomposition } M) \rangle$   
**shows**  $\langle N \cup \{\text{unmark } L \mid L. \text{ is-decided } L \wedge L \in \text{set } M\} \models_{ps} \text{ unmark } ' \bigcup (\text{set } ' \text{ snd } ' \text{ set } (\text{get-all-ann-decomposition } M)) \rangle$   
**using** *assms*  
**proof** (induct ⟨length (get-all-ann-decomposition M)⟩ arbitrary: M)  
 case 0  
 then show ?case by auto  
next  
 case (Suc n) note IH = this(1) and length = this(2) and decomp = this(3)  
 consider  
 (le1) ⟨length (get-all-ann-decomposition M) ≤ 1⟩

```

| (gt1) ⟨length (get-all-ann-decomposition M) > 1⟩
by arith
then show ?case
proof cases
  case le1
  then obtain a b where g: ⟨get-all-ann-decomposition M = (a, b) # []⟩
    by (cases ⟨get-all-ann-decomposition M⟩) auto
  moreover {
    assume ⟨a = []⟩
    then have ?thesis using Suc.prem1 g by auto
  }
  moreover {
    assume l: ⟨length a = 1⟩ and m: ⟨is-decided (hd a)⟩ and hd: ⟨hd a ∈ set M⟩
    then have ⟨unmark (hd a) ∈ {unmark L | L. is-decided L ∧ L ∈ set M}⟩ by auto
    then have H: ⟨unmark-l a ∪ N ⊆ N ∪ {unmark L | L. is-decided L ∧ L ∈ set M}⟩
      using l by (cases a) auto
    have f1: ⟨unmark-l a ∪ N ⊢ps unmark-l b⟩
      using decomp unfolding all-decomposition-implies-def g by simp
    have ?thesis
      apply (rule true-clss-clss-subset) using f1 H g by auto
  }
  ultimately show ?thesis
    using get-all-ann-decomposition-length-1-fst-empty-or-length-1 by blast
next
case gt1
then obtain Ls0 seen0 M' where
  Ls0: ⟨get-all-ann-decomposition M = (Ls0, seen0) # get-all-ann-decomposition M'⟩ and
  length': ⟨length (get-all-ann-decomposition M') = n⟩ and
  M'-in-M: ⟨set M' ⊆ set M⟩
  using length by (induct M rule: ann-lit-list-induct) (auto simp: subset-insertI2)
let ?d = ⟨⋃ (set 'snd ' set (get-all-ann-decomposition M'))⟩
let ?unM = ⟨{unmark L | L. is-decided L ∧ L ∈ set M}⟩
let ?unM' = ⟨{unmark L | L. is-decided L ∧ L ∈ set M'}⟩
{
  assume ⟨n = 0⟩
  then have ⟨get-all-ann-decomposition M' = []⟩ using length' by auto
  then have ?thesis using Suc.prem1 unfolding all-decomposition-implies-def Ls0 by auto
}
moreover {
  assume n: ⟨n > 0⟩
  then obtain Ls1 seen1 l where
    Ls1: ⟨get-all-ann-decomposition M' = (Ls1, seen1) # l⟩
    using length' by (induct M' rule: ann-lit-list-induct) auto

  have ⟨all-decomposition-implies N (get-all-ann-decomposition M')⟩
    using decomp unfolding Ls0 by auto
  then have N: ⟨N ∪ ?unM' ⊢ps unmark-s ?d⟩
    using IH length' by auto
  have l: ⟨N ∪ ?unM' ⊆ N ∪ ?unM⟩
    using M'-in-M by auto
  from true-clss-clss-subset[OF this N]
  have ΨN: ⟨N ∪ ?unM ⊢ps unmark-s ?d⟩ by auto
  have ⟨is-decided (hd Ls0)⟩ and LS: ⟨tl Ls0 = seen1 @ Ls1⟩
    using get-all-ann-decomposition-hd-hd[of M] unfolding Ls0 Ls1 by auto

  have LSM: ⟨seen1 @ Ls1 = M'⟩ using get-all-ann-decomposition-decomp[of M] Ls1 by auto

```

```

have M': ⟨set M' = ?d ∪ {L | L. is-decided L ∧ L ∈ set M'}⟩
  using get-all-ann-decomposition-snd-union by auto

{
  assume ⟨Ls0 ≠ []⟩
  then have ⟨hd Ls0 ∈ set M⟩
    using get-all-ann-decomposition-fst-empty-or-hd-in-M Ls0 by blast
  then have ⟨N ∪ ?unM ⊨ps unmark (hd Ls0)⟩
    using ⟨is-decided (hd Ls0)⟩ by (metis (mono-tags, lifting) UnCI mem-Collect-eq
      true-clss-clss-in)
} note hd-Ls0 = this

have l: ⟨unmark ' (?d ∪ {L | L. is-decided L ∧ L ∈ set M'}) = unmark-s ?d ∪ ?unM'⟩
  by auto
have ⟨N ∪ ?unM' ⊨ps unmark ' (?d ∪ {L | L. is-decided L ∧ L ∈ set M'})⟩
  unfolding l using N by (auto simp: all-in-true-clss-clss)
then have t: ⟨N ∪ ?unM' ⊨ps unmark-l (tl Ls0)⟩
  using M' unfolding LS LSM by auto
then have ⟨N ∪ ?unM ⊨ps unmark-l (tl Ls0)⟩
  using M'-in-M true-clss-clss-subset[OF - t, of ⟨N ∪ ?unM⟩] by auto
then have ⟨N ∪ ?unM ⊨ps unmark-l Ls0⟩
  using hd-Ls0 by (cases Ls0) auto

moreover have ⟨unmark-l Ls0 ∪ N ⊨ps unmark-l seen0⟩
  using decomp unfolding Ls0 by simp
moreover have ⟨ $\bigwedge M$  Ma. (M::'a clause set) ∪ Ma ⊨ps M⟩
  by (simp add: all-in-true-clss-clss)
ultimately have Ψ: ⟨N ∪ ?unM ⊨ps unmark-l seen0⟩
  by (meson true-clss-clss-left-right true-clss-clss-union-and true-clss-clss-union-l-r)

moreover have ⟨unmark ' (set seen0 ∪ ?d) = unmark-l seen0 ∪ unmark-s ?d⟩
  by auto
ultimately have ?thesis using ΨN unfolding Ls0 by simp
}
qed

```

**lemma** *all-decomposition-implies-propagated-lits-are-implied:*  
**assumes** ⟨all-decomposition-implies N (get-all-ann-decomposition M)⟩  
**shows** ⟨N ∪ {unmark L | L. is-decided L ∧ L ∈ set M} ⊨<sub>ps</sub> unmark-l M⟩  
 (is ⟨?I ⊨<sub>ps</sub> ?A⟩)

**proof** –

```

have ⟨?I ⊨ps unmark-s {L | L. is-decided L ∧ L ∈ set M}⟩
  by (auto intro: all-in-true-clss-clss)
moreover have ⟨?I ⊨ps unmark '  $\bigcup$  (set ' snd ' set (get-all-ann-decomposition M))⟩
  using all-decomposition-implies-trail-is-implied assms by blast
ultimately have ⟨N ∪ {unmark m | m. is-decided m ∧ m ∈ set M}
  ⊨ps unmark '  $\bigcup$  (set ' snd ' set (get-all-ann-decomposition M))
  ∪ unmark ' {m | m. is-decided m ∧ m ∈ set M}⟩
  by blast
then show ?thesis
  by (metis (no-types) get-all-ann-decomposition-snd-union[of M] image-Un)
qed

```

**lemma** *all-decomposition-implies-insert-single:*

$\langle \text{all-decomposition-implies } N M \implies \text{all-decomposition-implies } (\text{insert } C N) M \rangle$   
**unfolding** *all-decomposition-implies-def* **by** *auto*

**lemma** *all-decomposition-implies-union*:

$\langle \text{all-decomposition-implies } N M \implies \text{all-decomposition-implies } (N \cup N') M \rangle$   
**unfolding** *all-decomposition-implies-def sup.assoc[symmetric]* **by** *(auto intro: true-clss-clss-union-l)*

**lemma** *all-decomposition-implies-mono*:

$\langle N \subseteq N' \implies \text{all-decomposition-implies } N M \implies \text{all-decomposition-implies } N' M \rangle$   
**by** *(metis all-decomposition-implies-union le-iff-sup)*

**lemma** *all-decomposition-implies-mono-right*:

$\langle \text{all-decomposition-implies } I (\text{get-all-ann-decomposition } (M' @ M)) \implies$   
 $\text{all-decomposition-implies } I (\text{get-all-ann-decomposition } M) \rangle$   
**apply** *(induction M' arbitrary: M rule: ann-lit-list-induct)*  
**subgoal by** *auto*  
**subgoal by** *auto*  
**subgoal for**  $L C M' M$   
**by** *(cases (get-all-ann-decomposition (M' @ M)) auto)*  
**done**

#### 1.2.4 Negation of a Clause

We define the negation of a *'a clause*: it converts a single clause to a set of clauses, where each clause is a single literal (whose negation is in the original clause).

**definition** *CNot* ::  $\langle 'v \text{ clause} \Rightarrow 'v \text{ clause-set} \rangle$  **where**

$\langle CNot \ \psi = \{ \{ \# - L \# \} \mid L. L \in \# \ \psi \} \rangle$

**lemma** *finite-CNot[simp]*:  $\langle \text{finite } (CNot \ C) \rangle$

**by** *(auto simp: CNot-def)*

**lemma** *in-CNot-uminus[iff]*:

**shows**  $\langle \{ \# L \# \} \in CNot \ \psi \longleftrightarrow -L \in \# \ \psi \rangle$

**unfolding** *CNot-def* **by** *force*

**lemma**

**shows**

*CNot-add-mset[simp]*:  $\langle CNot (\text{add-mset } L \ \psi) = \text{insert } \{ \# - L \# \} (CNot \ \psi) \rangle$  **and**

*CNot-empty[simp]*:  $\langle CNot \ \{ \# \} = \{ \} \rangle$  **and**

*CNot-plus[simp]*:  $\langle CNot (A + B) = CNot \ A \cup CNot \ B \rangle$

**unfolding** *CNot-def* **by** *auto*

**lemma** *CNot-eq-empty[iff]*:

$\langle CNot \ D = \{ \} \longleftrightarrow D = \{ \# \} \rangle$

**unfolding** *CNot-def* **by** *(auto simp add: multiset-eqI)*

**lemma** *in-CNot-implies-uminus*:

**assumes**  $\langle L \in \# \ D \rangle$  **and**  $\langle M \models_{as} CNot \ D \rangle$

**shows**  $\langle M \models_a \{ \# - L \# \} \rangle$  **and**  $\langle -L \in \text{lits-of-l } M \rangle$

**using** *assms* **by** *(auto simp: true-annots-def true-annot-def CNot-def)*

**lemma** *CNot-remdups-mset[simp]*:

$\langle CNot (\text{remdups-mset } A) = CNot \ A \rangle$

**unfolding** *CNot-def* **by** *auto*



**lemma** *Ball-CNot-Ball-mset[simp]*:  
 $\langle (\forall x \in CNot\ D. P\ x) \longleftrightarrow (\forall L \in \#\ D. P\ \{\# - L\# \}) \rangle$   
**unfolding** *CNot-def* **by** *auto*

**lemma** *consistent-CNot-not*:  
**assumes**  $\langle consistent\_interp\ I \rangle$   
**shows**  $\langle I \models_s CNot\ \varphi \implies \neg I \models \varphi \rangle$   
**using** *assms* **unfolding** *consistent-interp-def true-clss-def true-cls-def* **by** *auto*

**lemma** *total-not-true-cls-true-clss-CNot*:  
**assumes**  $\langle total\_over\_m\ I\ \{\varphi\} \rangle$  **and**  $\langle \neg I \models \varphi \rangle$   
**shows**  $\langle I \models_s CNot\ \varphi \rangle$   
**using** *assms* **unfolding** *total-over-m-def total-over-set-def true-clss-def true-cls-def CNot-def*  
**apply** *clarify*  
**by**  $(rename\_tac\ x\ L, case\_tac\ L)\ (force\ intro: pos\_lit\_in\_atms\_of\ neg\_lit\_in\_atms\_of) +$

**lemma** *total-not-CNot*:  
**assumes**  $\langle total\_over\_m\ I\ \{\varphi\} \rangle$  **and**  $\langle \neg I \models_s CNot\ \varphi \rangle$   
**shows**  $\langle I \models \varphi \rangle$   
**using** *assms* *total-not-true-cls-true-clss-CNot* **by** *auto*

**lemma** *atms-of-ms-CNot-atms-of[simp]*:  
 $\langle atms\_of\_ms\ (CNot\ C) = atms\_of\ C \rangle$   
**unfolding** *atms-of-ms-def atms-of-def CNot-def* **by** *fastforce*

**lemma** *true-clss-clss-contradiction-true-clss-cls-false*:  
 $\langle C \in D \implies D \models_{ps} CNot\ C \implies D \models_p \{\#\} \rangle$   
**unfolding** *true-clss-clss-def true-clss-cls-def total-over-m-def*  
**by**  $(metis\ Un\_commute\ atms\_of\_empty\ atms\_of\_ms\_CNot\_atms\_of\ atms\_of\_ms\_insert\ atms\_of\_ms\_union\ consistent\_CNot\_not\ insert\_absorb\ sup\_bot.left\_neutral\ true\_clss\_def)$

**lemma** *true-annots-CNot-all-atms-defined*:  
**assumes**  $\langle M \models_{as} CNot\ T \rangle$  **and**  $a1: \langle L \in \#\ T \rangle$   
**shows**  $\langle atm\_of\ L \in atm\_of\ ' lits\_of\_l\ M \rangle$   
**by**  $(metis\ assms\ atm\_of\_uminus\ image\_eqI\ in\_CNot\_implies\_uminus(1)\ true\_annot\_singleton)$

**lemma** *true-annots-CNot-all-uminus-atms-defined*:  
**assumes**  $\langle M \models_{as} CNot\ T \rangle$  **and**  $a1: \langle \neg L \in \#\ T \rangle$   
**shows**  $\langle atm\_of\ L \in atm\_of\ ' lits\_of\_l\ M \rangle$   
**by**  $(metis\ assms\ atm\_of\_uminus\ image\_eqI\ in\_CNot\_implies\_uminus(1)\ true\_annot\_singleton)$

**lemma** *true-clss-clss-false-left-right*:  
**assumes**  $\langle \{\{\#L\#\} \cup B \models_p \{\#\} \rangle$   
**shows**  $\langle B \models_{ps} CNot\ \{\#L\#\} \rangle$   
**unfolding** *true-clss-clss-def true-clss-cls-def*  
**proof**  $(intro\ allI\ impI)$   
**fix** *I*  
**assume**  
 $tot: \langle total\_over\_m\ I\ (B \cup CNot\ \{\#L\#\}) \rangle$  **and**  
 $cons: \langle consistent\_interp\ I \rangle$  **and**  
 $I: \langle I \models_s B \rangle$   
**have**  $\langle total\_over\_m\ I\ (\{\{\#L\#\} \cup B) \rangle$  **using** *tot* **by** *auto*  
**then have**  $\langle \neg I \models_s insert\ \{\#L\#\}\ B \rangle$   
**using** *assms cons* **unfolding** *true-clss-cls-def* **by** *simp*  
**then show**  $\langle I \models_s CNot\ \{\#L\#\} \rangle$   
**using** *tot I* **by**  $(cases\ L)\ auto$

qed

**lemma** *true-annots-true-clss-def-iff-negation-in-model*:

$\langle M \models_{as} CNot\ C \longleftrightarrow (\forall L \in \# \ C. \neg L \in lits\ of\ l\ M) \rangle$

**unfolding** *CNot-def true-annots-true-clss true-clss-def* **by** *auto*

**lemma** *true-clss-def-iff-negation-in-model*:

$\langle M \models_s CNot\ C \longleftrightarrow (\forall l \in \# \ C. \neg l \in M) \rangle$

**by** (*auto simp: CNot-def true-clss-def*)

**lemma** *true-annots-CNot-definedD*:

$\langle M \models_{as} CNot\ C \implies \forall L \in \# \ C. \text{defined-lit}\ M\ L \rangle$

**unfolding** *true-annots-true-clss-def-iff-negation-in-model*

**by** (*auto simp: Decided-Propagated-in-iff-in-lits-of-l*)

**lemma** *true-annot-CNot-diff*:

$\langle I \models_{as} CNot\ C \implies I \models_{as} CNot\ (C - C') \rangle$

**by** (*auto simp: true-annots-true-clss-def-iff-negation-in-model dest: in-diffD*)

**lemma** *CNot-mset-replicate[simp]*:

$\langle CNot\ (mset\ (replicate\ n\ L)) = (if\ n = 0\ then\ \{\}\ else\ \{\#L\}) \rangle$

**by** (*induction n*) *auto*

**lemma** *consistent-CNot-not-tautology*:

$\langle \text{consistent-interp}\ M \implies M \models_s CNot\ D \implies \neg \text{tautology}\ D \rangle$

**by** (*metis atms-of-ms-CNot-atms-of consistent-CNot-not satisfiable-carac' satisfiable-def tautology-def total-over-m-def*)

**lemma** *atms-of-ms-CNot-atms-of-ms*:  $\langle \text{atms-of-ms}\ (CNot\ CC) = \text{atms-of-ms}\ \{CC\} \rangle$

**by** *simp*

**lemma** *total-over-m-CNot-total-over-m[simp]*:

$\langle \text{total-over-m}\ I\ (CNot\ C) = \text{total-over-set}\ I\ (\text{atms-of}\ C) \rangle$

**unfolding** *total-over-m-def total-over-set-def* **by** *auto*

**lemma** *true-clss-clss-plus-CNot*:

**assumes**

*CC-L*:  $\langle A \models_p \text{add-mset}\ L\ CC \rangle$  **and**

*CNot-CC*:  $\langle A \models_{ps} CNot\ CC \rangle$

**shows**  $\langle A \models_p \{\#L\} \rangle$

**unfolding** *true-clss-clss-def true-clss-clss-def CNot-def total-over-m-def*

**proof** (*intro allI impI*)

**fix** *I*

**assume**

*tot*:  $\langle \text{total-over-set}\ I\ (\text{atms-of-ms}\ (A \cup \{\#L\})) \rangle$  **and**

*cons*:  $\langle \text{consistent-interp}\ I \rangle$  **and**

*I*:  $\langle I \models_s A \rangle$

**let** *?I* =  $\langle I \cup \{Pos\ P \mid P. P \in \text{atms-of}\ CC \wedge P \notin \text{atm-of}\ 'I\} \rangle$

**have** *cons'*:  $\langle \text{consistent-interp}\ ?I \rangle$

**using** *cons* **unfolding** *consistent-interp-def*

**by** (*auto simp: uminus-lit-swap atms-of-def rev-image-eqI*)

**have** *I'*:  $\langle ?I \models_s A \rangle$

**using** *I* *true-clss-union-increase* **by** *blast*

**have** *tot-CNot*:  $\langle \text{total-over-m}\ ?I\ (A \cup CNot\ CC) \rangle$

**using** *tot* *atms-of-s-def* **by** (*fastforce simp: total-over-m-def total-over-set-def*)

then have  $\text{tot-}I\text{-}A\text{-}CC\text{-}L$ :  $\langle \text{total-over-}m \text{ ?}I (A \cup \{\text{add-mset } L \text{ } CC\}) \rangle$   
 using  $\text{tot}$  **unfolding**  $\text{total-over-}m\text{-def}$   $\text{total-over-set-atm-of}$  **by**  $\text{auto}$   
 then have  $\langle ?I \models \text{add-mset } L \text{ } CC \rangle$  using  $CC\text{-}L$   $\text{cons}' I'$  **unfolding**  $\text{true-clss-clss-def}$  **by**  $\text{blast}$   
 moreover  
 have  $\langle ?I \models s \text{ } CNot \text{ } CC \rangle$  using  $CNot\text{-}CC$   $\text{cons}' I'$   $\text{tot-}CNot$  **unfolding**  $\text{true-clss-clss-def}$  **by**  $\text{auto}$   
 then have  $\langle \neg A \models p \text{ } CC \rangle$   
 by  $(\text{metis } (\text{no-types, lifting}) I' \text{ atms-of-}ms\text{-}CNot\text{-atms-of-}ms \text{ atms-of-}ms\text{-union } \text{cons}'$   
 $\text{consistent-}CNot\text{-not } \text{tot-}CNot \text{ total-over-}m\text{-def } \text{true-clss-clss-def})$   
 then have  $\langle \neg ?I \models CC \rangle$  using  $\langle ?I \models s \text{ } CNot \text{ } CC \rangle$   $\text{cons}'$   $\text{consistent-}CNot\text{-not}$  **by**  $\text{blast}$   
 ultimately have  $\langle ?I \models \{\#L\# \} \rangle$  **by**  $\text{blast}$   
 then show  $\langle I \models \{\#L\# \} \rangle$   
 by  $(\text{metis } (\text{no-types, lifting}) \text{ atms-of-}ms\text{-union } \text{cons}' \text{ consistent-}CNot\text{-not } \text{tot } \text{total-not-}CNot$   
 $\text{total-over-}m\text{-def } \text{total-over-set-union } \text{true-clss-union-increase})$   
 qed

**lemma**  $\text{true-annot-}CNot\text{-lit-of-notin-skip}$ :

assumes  $LM$ :  $\langle L \# M \models_{as} CNot \text{ } A \rangle$  and  $LA$ :  $\langle \text{lit-of } L \notin \# \text{ } A \rangle \langle \neg \text{lit-of } L \notin \# \text{ } A \rangle$   
 shows  $\langle M \models_{as} CNot \text{ } A \rangle$   
 using  $LM$  **unfolding**  $\text{true-annot-}CNot\text{-def}$   $\text{Ball-def}$   
**proof**  $(\text{intro allI impI})$   
 fix  $l$   
 assume  $H$ :  $\langle \forall x. x \in CNot \text{ } A \longrightarrow L \# M \models_a x \rangle$  and  $l$ :  $\langle l \in CNot \text{ } A \rangle$   
 then have  $\langle L \# M \models_a l \rangle$  **by**  $\text{auto}$   
 then show  $\langle M \models_a l \rangle$  using  $LA$   $l$  **by**  $(\text{cases } L) (\text{auto simp: } CNot\text{-def})$   
 qed

**lemma**  $\text{true-clss-clss-union-false-true-clss-clss-cnot}$ :

$\langle A \cup \{B\} \models_{ps} \{\{\#\}\} \rangle \longleftrightarrow A \models_{ps} CNot \text{ } B$   
 using  $\text{total-not-}CNot$   $\text{consistent-}CNot\text{-not}$  **unfolding**  $\text{total-over-}m\text{-def}$   $\text{true-clss-clss-def}$   
**by**  $\text{fastforce}$

**lemma**  $\text{true-annot-remove-hd-if-notin-vars}$ :

assumes  $\langle a \# M' \models_a D \rangle$  and  $\langle \text{atm-of } (\text{lit-of } a) \notin \text{atms-of } D \rangle$   
 shows  $\langle M' \models_a D \rangle$   
 using  $\text{assms}$   $\text{true-clss-remove-hd-if-notin-vars}$  **unfolding**  $\text{true-annot-def}$  **by**  $\text{auto}$

**lemma**  $\text{true-annot-remove-if-notin-vars}$ :

assumes  $\langle M @ M' \models_a D \rangle$  and  $\langle \forall x \in \text{atms-of } D. x \notin \text{atm-of } \text{' lits-of-}l \text{ } M \rangle$   
 shows  $\langle M' \models_a D \rangle$   
 using  $\text{assms}$  **by**  $(\text{induct } M) (\text{auto dest: } \text{true-annot-remove-hd-if-notin-vars})$

**lemma**  $\text{true-annot-}CNot\text{-remove-if-notin-vars}$ :

assumes  $\langle M @ M' \models_{as} D \rangle$  and  $\langle \forall x \in \text{atms-of-}ms \text{ } D. x \notin \text{atm-of } \text{' lits-of-}l \text{ } M \rangle$   
 shows  $\langle M' \models_{as} D \rangle$  **unfolding**  $\text{true-annot-}CNot\text{-def}$   
 using  $\text{assms}$  **unfolding**  $\text{true-annot-}CNot\text{-def}$   $\text{atms-of-}ms\text{-def}$   
**by**  $(\text{force dest: } \text{true-annot-}CNot\text{-remove-if-notin-vars})$

**lemma**  $\text{all-variables-defined-not-imply-cnot}$ :

assumes  
 $\langle \forall s \in \text{atms-of-}ms \text{ } \{B\}. s \in \text{atm-of } \text{' lits-of-}l \text{ } A \rangle$  and  
 $\langle \neg A \models_a B \rangle$   
 shows  $\langle A \models_{as} CNot \text{ } B \rangle$   
**unfolding**  $\text{true-annot-def}$   $\text{true-annot-}CNot\text{-def}$   $\text{Ball-def}$   $CNot\text{-def}$   $\text{true-lit-def}$   
**proof**  $(\text{clarify, rule ccontr})$   
 fix  $L$

**assume**  $LB: \langle L \in \# B \rangle$  **and**  $L\text{-false}: \langle \neg \text{ lits-of-l } A \models \{\# \} \rangle$  **and**  $L\text{-A}: \langle \neg L \notin \text{ lits-of-l } A \rangle$   
**then have**  $\langle \text{atm-of } L \in \text{atm-of } \text{‘ lits-of-l } A \rangle$   
**using**  $\text{assms}(1)$  **by**  $(\text{simp add: atm-of-lit-in-atms-of lits-of-def})$   
**then have**  $\langle L \in \text{ lits-of-l } A \vee \neg L \in \text{ lits-of-l } A \rangle$   
**using**  $\text{atm-of-in-atm-of-set-iff-in-set-or-uminus-in-set}$  **by**  $\text{metis}$   
**then have**  $\langle L \in \text{ lits-of-l } A \rangle$  **using**  $L\text{-A}$  **by**  $\text{auto}$   
**then show**  $\text{False}$   
**using**  $LB$   $\text{assms}(2)$  **unfolding**  $\text{true-annot-def true-lit-def true-clss-def Bex-def}$   
**by**  $\text{blast}$   
**qed**

**lemma**  $C\text{Not-union-mset}[\text{simp}]$ :  
 $\langle C\text{Not } (A \cup \# B) = C\text{Not } A \cup C\text{Not } B \rangle$   
**unfolding**  $C\text{Not-def}$  **by**  $\text{auto}$

**lemma**  $\text{true-clss-clss-true-clss-clss-true-clss-clss}$ :  
**assumes**  
 $\langle A \models_{ps} \text{unmark-l } M \rangle$  **and**  $\langle M \models_{as} D \rangle$   
**shows**  $\langle A \models_{ps} D \rangle$   
**by**  $(\text{meson assms total-over-m-union true-annots-true-clss true-annots-true-clss-clss}$   
 $\text{true-clss-clss-def true-clss-clss-left-right true-clss-clss-union-and}$   
 $\text{true-clss-clss-union-l-r})$

**lemma**  $\text{true-clss-clss-CNot-true-clss-clss-unsatisfiable}$ :  
**assumes**  $\langle A \models_{ps} C\text{Not } D \rangle$  **and**  $\langle A \models_p D \rangle$   
**shows**  $\langle \text{unsatisfiable } A \rangle$   
**using**  $\text{assms}(2)$  **unfolding**  $\text{true-clss-clss-def true-clss-clss-def satisfiable-def}$   
**by**  $(\text{metis (full-types) Un-absorb Un-empty-right assms}(1) \text{atms-of-empty}$   
 $\text{atms-of-ms-empty-set total-over-m-def total-over-m-insert total-over-m-union}$   
 $\text{true-clss-clss-def true-clss-clss-generalise-true-clss-clss}$   
 $\text{true-clss-clss-true-clss-clss true-clss-clss-union-false-true-clss-clss-cnot})$

**lemma**  $\text{true-clss-clss-neg}$ :  
 $\langle N \models_p I \iff N \cup (\lambda L. \{\# - L\# \}) \text{‘ set-mset } I \models_p \{\# \} \rangle$   
**proof** –  
**have**  $[\text{simp}]: \langle (\lambda L. \{\# - L\# \}) \text{‘ set-mset } I = C\text{Not } I \rangle$  **for**  $I$   
**by**  $(\text{auto simp: CNot-def})$   
**have**  $[\text{iff}]: \langle \text{total-over-m } Ia ((\lambda L. \{\# - L\# \}) \text{‘ set-mset } I) \iff$   
 $\text{total-over-set } Ia (\text{atms-of } I) \rangle$  **for**  $Ia$   
**by**  $(\text{auto simp: total-over-m-def}$   
 $\text{total-over-set-def atms-of-ms-def atms-of-def})$   
**show**  $?thesis$   
**by**  $(\text{auto simp: true-clss-clss-def consistent-CNot-not}$   
 $\text{total-not-CNot})$   
**qed**

**lemma**  $\text{all-decomposition-imply-conflict-DECO-clause}$ :  
**assumes**  $\langle \text{all-decomposition-imply } N (\text{get-all-ann-decomposition } M) \rangle$  **and**  
 $\langle M \models_{as} C\text{Not } C \rangle$  **and**  
 $\langle C \in N \rangle$   
**shows**  $\langle N \models_p (\text{uminus o lit-of}) \text{‘ } \# (\text{filter-mset is-decided } (\text{mset } M)) \rangle$   
 $(\text{is } \langle ?I \models_p ?A \rangle)$   
**proof** –  
**have**  $\langle \{ \text{unmark } m \mid m. \text{is-decided } m \wedge m \in \text{set } M \} =$   
 $\text{unmark-s } \{ L \in \text{set } M. \text{is-decided } L \} \rangle$   
**by**  $\text{auto}$

```

have  $\langle N \cup \text{unmark-s } \{L \in \text{set } M. \text{is-decided } L\} \models_p \{\#\} \rangle$ 
  by (metis (mono-tags, lifting) UnCI
     $\langle \{\text{unmark } m \mid m. \text{is-decided } m \wedge m \in \text{set } M\} = \text{unmark-s } \{L \in \text{set } M. \text{is-decided } L\} \rangle$ 
    all-decomposition-implies-propagated-lits-are-implied assms
    true-clss-clss-contradiction-true-clss-clb-false true-clss-clss-true-clss-clb-true-clss-clss)
then show ?thesis
  apply (subst true-clss-clb-neg)
  by (auto simp: image-image)
qed

```

### 1.2.5 Other

**definition**  $\langle \text{no-dup } L \equiv \text{distinct } (\text{map } (\lambda l. \text{atm-of } (\text{lit-of } l)) L) \rangle$

**lemma** *no-dup-nil[simp]*:

```

 $\langle \text{no-dup } [] \rangle$ 
by (auto simp: no-dup-def)

```

**lemma** *no-dup-cons[simp]*:

```

 $\langle \text{no-dup } (L \# M) \longleftrightarrow \text{undefined-lit } M (\text{lit-of } L) \wedge \text{no-dup } M \rangle$ 
by (auto simp: no-dup-def defined-lit-map)

```

**lemma** *no-dup-append-cons[iff]*:

```

 $\langle \text{no-dup } (M @ L \# M') \longleftrightarrow \text{undefined-lit } (M @ M') (\text{lit-of } L) \wedge \text{no-dup } (M @ M') \rangle$ 
by (auto simp: no-dup-def defined-lit-map)

```

**lemma** *no-dup-append-append-cons[iff]*:

```

 $\langle \text{no-dup } (M0 @ M @ L \# M') \longleftrightarrow \text{undefined-lit } (M0 @ M @ M') (\text{lit-of } L) \wedge \text{no-dup } (M0 @ M @ M') \rangle$ 
by (auto simp: no-dup-def defined-lit-map)

```

**lemma** *no-dup-rev[simp]*:

```

 $\langle \text{no-dup } (\text{rev } M) \longleftrightarrow \text{no-dup } M \rangle$ 
by (auto simp: rev-map[symmetric] no-dup-def)

```

**lemma** *no-dup-appendD*:

```

 $\langle \text{no-dup } (a @ b) \implies \text{no-dup } b \rangle$ 
by (auto simp: no-dup-def)

```

**lemma** *no-dup-appendD1*:

```

 $\langle \text{no-dup } (a @ b) \implies \text{no-dup } a \rangle$ 
by (auto simp: no-dup-def)

```

**lemma** *no-dup-length-eq-card-atm-of-lits-of-l*:

```

assumes  $\langle \text{no-dup } M \rangle$ 
shows  $\langle \text{length } M = \text{card } (\text{atm-of } \text{'lits-of-l } M) \rangle$ 
using assms unfolding lits-of-def by (induct M) (auto simp add: image-image no-dup-def)

```

**lemma** *distinct-consistent-interp*:

```

 $\langle \text{no-dup } M \implies \text{consistent-interp } (\text{lits-of-l } M) \rangle$ 

```

**proof** (*induct M*)

```

case Nil
show ?case by auto

```

**next**

```

case (Cons L M)
then have a1:  $\langle \text{consistent-interp } (\text{lits-of-l } M) \rangle$  by auto

```

**have**  $\langle \text{undefined-lit } M \text{ (lit-of } L) \rangle$   
**using** *Cons.prem*s **by** *auto*  
**then show** *?case*  
**using** *a1* **by** *simp*  
**qed**

**lemma** *same-mset-no-dup-iff*:  
 $\langle \text{mset } M = \text{mset } M' \implies \text{no-dup } M \longleftrightarrow \text{no-dup } M' \rangle$   
**by** (*auto simp: no-dup-def same-mset-distinct-iff*)

**lemma** *distinct-get-all-ann-decomposition-no-dup*:  
**assumes**  $\langle (a, b) \in \text{set } (\text{get-all-ann-decomposition } M) \rangle$   
**and**  $\langle \text{no-dup } M \rangle$   
**shows**  $\langle \text{no-dup } (a @ b) \rangle$   
**using** *assms* **by** (*force simp: no-dup-def*)

**lemma** *true-annots-lit-of-notin-skip*:  
**assumes**  $\langle L \# M \models_{as} \text{CNot } A \rangle$   
**and**  $\langle \neg \text{lit-of } L \notin \# A \rangle$   
**and**  $\langle \text{no-dup } (L \# M) \rangle$   
**shows**  $\langle M \models_{as} \text{CNot } A \rangle$   
**proof** –  
**have**  $\langle \forall l \in \# A. \neg l \in \text{lits-of-l } (L \# M) \rangle$   
**using** *assms(1) in-CNot-implies-uminus(2)* **by** *blast*  
**moreover** {  
**have**  $\langle \text{undefined-lit } M \text{ (lit-of } L) \rangle$   
**using** *assms(3)* **by** *force*  
**then have**  $\langle \neg \text{lit-of } L \notin \text{lits-of-l } M \rangle$   
**by** (*simp add: Decided-Propagated-in-iff-in-lits-of-l*) }  
**ultimately have**  $\langle \forall l \in \# A. \neg l \in \text{lits-of-l } M \rangle$   
**using** *assms(2)* **by** (*metis insert-iff list.simps(15) lits-of-insert uminus-of-uminus-id*)  
**then show** *?thesis* **by** (*auto simp add: true-annots-def*)  
**qed**

**lemma** *no-dup-imp-distinct*:  $\langle \text{no-dup } M \implies \text{distinct } M \rangle$   
**by** (*induction M*) (*auto simp: defined-lit-map*)

**lemma** *no-dup-tlD*:  $\langle \text{no-dup } a \implies \text{no-dup } (\text{tl } a) \rangle$   
**unfolding** *no-dup-def* **by** (*cases a*) *auto*

**lemma** *defined-lit-no-dupD*:  
 $\langle \text{defined-lit } M1 \ L \implies \text{no-dup } (M2 @ M1) \implies \text{undefined-lit } M2 \ L \rangle$   
 $\langle \text{defined-lit } M1 \ L \implies \text{no-dup } (M2' @ M2 @ M1) \implies \text{undefined-lit } M2' \ L \rangle$   
 $\langle \text{defined-lit } M1 \ L \implies \text{no-dup } (M2' @ M2 @ M1) \implies \text{undefined-lit } M2 \ L \rangle$   
**by** (*auto simp: defined-lit-map no-dup-def*)

**lemma** *no-dup-consistentD*:  
 $\langle \text{no-dup } M \implies L \in \text{lits-of-l } M \implies \neg L \notin \text{lits-of-l } M \rangle$   
**using** *consistent-interp-def distinct-consistent-interp* **by** *blast*

**lemma** *no-dup-not-tautology*:  $\langle \text{no-dup } M \implies \neg \text{tautology } (\text{image-mset lit-of } (\text{mset } M)) \rangle$   
**by** (*induction M*) (*auto simp: tautology-add-mset uminus-lit-swap defined-lit-def*  
*dest: atm-imp-decided-or-proped*)

**lemma** *no-dup-distinct*:  $\langle \text{no-dup } M \implies \text{distinct-mset } (\text{image-mset lit-of } (\text{mset } M)) \rangle$   
**by** (*induction M*) (*auto simp: uminus-lit-swap defined-lit-def*)

*dest: atm-imp-decided-or-proped*)

**lemma** *no-dup-not-tautology-uminus*:  $\langle \text{no-dup } M \implies \neg \text{tautology } \{\# \text{lit-of } L. L \in \# \text{ mset } M \# \} \rangle$   
**by** (*induction* *M*) (*auto simp: tautology-add-mset uminus-lit-swap defined-lit-def*  
*dest: atm-imp-decided-or-proped*)

**lemma** *no-dup-distinct-uminus*:  $\langle \text{no-dup } M \implies \text{distinct-mset } \{\# \text{lit-of } L. L \in \# \text{ mset } M \# \} \rangle$   
**by** (*induction* *M*) (*auto simp: uminus-lit-swap defined-lit-def*  
*dest: atm-imp-decided-or-proped*)

**lemma** *no-dup-map-lit-of*:  $\langle \text{no-dup } M \implies \text{distinct } (\text{map lit-of } M) \rangle$   
**apply** (*induction* *M*)  
**apply** (*auto simp: dest: no-dup-imp-distinct*)  
**by** (*meson distinct.simps(2) no-dup-cons no-dup-imp-distinct*)

**lemma** *no-dup-alt-def*:  
 $\langle \text{no-dup } M \iff \text{distinct-mset } \{\# \text{atm-of } (\text{lit-of } x). x \in \# \text{ mset } M \# \} \rangle$   
**by** (*auto simp: no-dup-def simp flip: distinct-mset-mset-distinct*)

**lemma** *no-dup-append-in-atm-notin*:  
**assumes**  $\langle \text{no-dup } (M @ M') \rangle$  **and**  $\langle L \in \text{lits-of-l } M' \rangle$   
**shows**  $\langle \text{undefined-lit } M L \rangle$   
**using** *assms* **by** (*auto simp add: atm-lit-of-set-lits-of-l no-dup-def*  
*defined-lit-map*)

**lemma** *no-dup-uminus-append-in-atm-notin*:  
**assumes**  $\langle \text{no-dup } (M @ M') \rangle$  **and**  $\langle \neg L \in \text{lits-of-l } M' \rangle$   
**shows**  $\langle \text{undefined-lit } M L \rangle$   
**using** *Decided-Propagated-in-iff-in-lits-of-l assms defined-lit-no-dupD(1)* **by** *blast*

## 1.2.6 Extending Entailments to multisets

We have defined previous entailment with respect to sets, but we also need a multiset version depending on the context. The conversion is simple using the function *set-mset* (in this direction, there is no loss of information).

**abbreviation** *true-annots-mset* (*infix*  $\models_{asm}$  50) **where**  
 $\langle I \models_{asm} C \equiv I \models_{as} (\text{set-mset } C) \rangle$

**abbreviation** *true-clss-clss-m* ::  $\langle 'v \text{ clause multiset} \Rightarrow 'v \text{ clause multiset} \Rightarrow \text{bool} \rangle$  (*infix*  $\models_{psm}$  50)  
**where**  
 $\langle I \models_{psm} C \equiv \text{set-mset } I \models_{ps} (\text{set-mset } C) \rangle$

Analog of theorem *true-clss-clss-subsetE*

**lemma** *true-clss-clss-subsetE*:  $\langle N \models_{psm} B \implies A \subseteq \# B \implies N \models_{psm} A \rangle$   
**using** *set-mset-mono true-clss-clss-subsetE* **by** *blast*

**abbreviation** *true-clss-clss-m*::  $\langle 'a \text{ clause multiset} \Rightarrow 'a \text{ clause} \Rightarrow \text{bool} \rangle$  (*infix*  $\models_{pm}$  50) **where**  
 $\langle I \models_{pm} C \equiv \text{set-mset } I \models_p C \rangle$

**abbreviation** *distinct-mset-mset* ::  $\langle 'a \text{ multiset multiset} \Rightarrow \text{bool} \rangle$  **where**  
 $\langle \text{distinct-mset-mset } \Sigma \equiv \text{distinct-mset-set } (\text{set-mset } \Sigma) \rangle$

**abbreviation** *all-decomposition-imply-m* **where**  
 $\langle \text{all-decomposition-imply-m } A B \equiv \text{all-decomposition-implies } (\text{set-mset } A) B \rangle$

**abbreviation** *atms-of-mm* ::  $\langle 'a \text{ clause multiset} \Rightarrow 'a \text{ set} \rangle$  **where**  
 $\langle \text{atms-of-mm } U \equiv \text{atms-of-ms } (\text{set-mset } U) \rangle$

Other definition using  $\bigcup \#$

**lemma** *atms-of-mm-alt-def*:  $\langle \text{atms-of-mm } U = \text{set-mset } (\bigcup \# (\text{image-mset } (\text{image-mset } \text{atm-of}) U)) \rangle$   
**unfolding** *atms-of-ms-def* **by** (*auto simp: atms-of-def*)

**abbreviation** *true-clss-m*::  $\langle 'a \text{ partial-interp} \Rightarrow 'a \text{ clause multiset} \Rightarrow \text{bool} \rangle$  (**infix**  $\models_{sm}$  50) **where**  
 $\langle I \models_{sm} C \equiv I \models_s \text{set-mset } C \rangle$

**abbreviation** *true-clss-ext-m* (**infix**  $\models_{sextm}$  49) **where**  
 $\langle I \models_{sextm} C \equiv I \models_{sext} \text{set-mset } C \rangle$

**lemma** *true-clss-cls-cong-set-mset*:  
 $\langle N \models_{pm} D \Longrightarrow \text{set-mset } D = \text{set-mset } D' \Longrightarrow N \models_{pm} D' \rangle$   
**by** (*auto simp add: true-clss-cls-def true-cls-def atms-of-cong-set-mset[of D D']*)

### 1.2.7 More Lemmas

**lemma** *no-dup-cannot-not-lit-and-uminus*:  
 $\langle \text{no-dup } M \Longrightarrow - \text{lit-of } xa = \text{lit-of } x \Longrightarrow x \in \text{set } M \Longrightarrow xa \notin \text{set } M \rangle$   
**by** (*metis atm-of-uminus distinct-map inj-on-eq-iff uminus-not-id' no-dup-def*)

**lemma** *atms-of-ms-single-atm-of[simp]*:  
 $\langle \text{atms-of-ms } \{\text{unmark } L \mid L. P L\} = \text{atm-of } ' \{\text{lit-of } L \mid L. P L\} \rangle$   
**unfolding** *atms-of-ms-def* **by** *force*

**lemma** *true-cls-mset-restrict*:  
 $\langle \{L \in I. \text{atm-of } L \in \text{atms-of-mm } N\} \models_m N \longleftrightarrow I \models_m N \rangle$   
**by** (*auto simp: true-cls-mset-def true-cls-def*  
*dest!: multi-member-split*)

**lemma** *true-clss-restrict*:  
 $\langle \{L \in I. \text{atm-of } L \in \text{atms-of-mm } N\} \models_{sm} N \longleftrightarrow I \models_{sm} N \rangle$   
**by** (*auto simp: true-clss-def true-cls-def*  
*dest!: multi-member-split*)

**lemma** *total-over-m-atms-incl*:  
**assumes**  $\langle \text{total-over-m } M (\text{set-mset } N) \rangle$   
**shows**  
 $\langle x \in \text{atms-of-mm } N \Longrightarrow x \in \text{atms-of-s } M \rangle$   
**by** (*meson assms contra-subsetD total-over-m-alt-def*)

**lemma** *true-clss-restrict-iff*:  
**assumes**  $\langle \neg \text{tautology } \chi \rangle$   
**shows**  $\langle N \models_p \chi \longleftrightarrow N \models_p \{\#L \in \# \chi. \text{atm-of } L \in \text{atms-of-ms } N \# \} \rangle$  (**is**  $\langle ?A \longleftrightarrow ?B \rangle$ )  
**apply** (*subst true-clss-alt-def2[OF assms]*)  
**apply** (*subst true-clss-alt-def2*)  
**subgoal using** *not-tautology-mono[OF - assms]* **by** (*auto dest: not-tautology-minus*)  
**apply** (*rule HOL.iff-allI*)  
**apply** (*auto 5 5 simp: true-cls-def atms-of-s-def dest!: multi-member-split*)  
**done**

### 1.2.8 Negation of annotated clauses

**definition** *negate-ann-lits* ::  $\langle ('v \text{ literal}, 'v \text{ literal}, 'mark) \text{ annotated-lits} \Rightarrow 'v \text{ literal multiset} \rangle$  **where**



$\langle \text{negate-ann-lits } M = (\lambda L. - \text{lit-of } L) \text{ ‘\# mset } M \rangle$

**lemma** *negate-ann-lits-empty[simp]*:  $\langle \text{negate-ann-lits } [] = \{\# \} \rangle$   
**by** (*auto simp: negate-ann-lits-def*)

**lemma** *entails-CNot-negate-ann-lits*:  
 $\langle M \models_{as} CNot D \longleftrightarrow \text{set-mset } D \subseteq \text{set-mset } (\text{negate-ann-lits } M) \rangle$   
**by** (*auto simp: true-annots-true-cls-def-iff-negation-in-model*  
*negate-ann-lits-def lits-of-def uminus-lit-swap*  
*dest!: multi-member-split*)

Pointwise negation of a clause:

**definition** *pNeg* ::  $\langle 'v \text{ clause} \Rightarrow 'v \text{ clause} \rangle$  **where**  
 $\langle pNeg C = \{\# - D. D \in \# C \# \} \rangle$

**lemma** *pNeg-simps*:  
 $\langle pNeg (\text{add-mset } A C) = \text{add-mset } (-A) (pNeg C) \rangle$   
 $\langle pNeg (C + D) = pNeg C + pNeg D \rangle$   
**by** (*auto simp: pNeg-def*)

**lemma** *atms-of-pNeg[simp]*:  $\langle \text{atms-of } (pNeg C) = \text{atms-of } C \rangle$   
**by** (*auto simp: pNeg-def atms-of-def image-image*)

**lemma** *negate-ann-lits-pNeg-lit-of*:  $\langle \text{negate-ann-lits} = pNeg \circ \text{image-mset lit-of} \circ \text{mset} \rangle$   
**by** (*intro ext*) (*auto simp: negate-ann-lits-def pNeg-def*)

**lemma** *negate-ann-lits-empty-iff*:  $\langle \text{negate-ann-lits } M \neq \{\# \} \longleftrightarrow M \neq [] \rangle$   
**by** (*auto simp: negate-ann-lits-def*)

**lemma** *atms-of-negate-ann-lits[simp]*:  $\langle \text{atms-of } (\text{negate-ann-lits } M) = \text{atm-of } ' (\text{lits-of-l } M) \rangle$   
**unfolding** *negate-ann-lits-def lits-of-def atms-of-def* **by** (*auto simp: image-image*)

**lemma** *tautology-pNeg[simp]*:  
 $\langle \text{tautology } (pNeg C) \longleftrightarrow \text{tautology } C \rangle$   
**by** (*auto 5 5 simp: tautology-decomp pNeg-def*  
*uminus-lit-swap add-mset-eq-add-mset eq-commute[of \langle Neg \rightarrow \langle - \rightarrow \rangle \rangle eq-commute[of \langle Pos \rightarrow \langle - \rightarrow \rangle \rangle]*  
*dest!: multi-member-split*)

**lemma** *pNeg-convolution[simp]*:  
 $\langle pNeg (pNeg C) = C \rangle$   
**by** (*auto simp: pNeg-def*)

**lemma** *pNeg-minus[simp]*:  $\langle pNeg (A - B) = pNeg A - pNeg B \rangle$   
**unfolding** *pNeg-def*  
**by** (*subst image-mset-minus-inj-on*) (*auto simp: inj-on-def*)

**lemma** *pNeg-empty[simp]*:  $\langle pNeg \{\# \} = \{\# \} \rangle$   
**unfolding** *pNeg-def*  
**by** (*auto simp: inj-on-def*)

**lemma** *pNeg-replicate-mset[simp]*:  $\langle pNeg (\text{replicate-mset } n L) = \text{replicate-mset } n (-L) \rangle$   
**unfolding** *pNeg-def* **by** *auto*

**lemma** *distinct-mset-pNeg-iff[iff]*:  $\langle \text{distinct-mset } (pNeg x) \longleftrightarrow \text{distinct-mset } x \rangle$   
**unfolding** *pNeg-def*  
**by** (*rule distinct-image-mset-inj*) (*auto simp: inj-on-def*)

**lemma** *pNeg-simple-clss-iff*[simp]:

$\langle pNeg\ M \in simple-clss\ N \longleftrightarrow M \in simple-clss\ N \rangle$

**by** (*auto simp: simple-clss-def*)

**lemma** *atms-of-ms-pNeg*[simp]:  $\langle atms-of-ms\ (pNeg\ 'N) = atms-of-ms\ N \rangle$

**unfolding** *atms-of-ms-def pNeg-def* **by** (*auto simp: image-image atms-of-def*)

**definition** *DECO-clause* ::  $\langle ('v, 'a)\ ann-lits \Rightarrow 'v\ clause \rangle$  **where**

$\langle DECO-clause\ M = (uminus\ o\ lit-of)\ ' \# (filter-mset\ is-decided\ (mset\ M)) \rangle$

**lemma**

*DECO-clause-cons-Decide*[simp]:

$\langle DECO-clause\ (Decided\ L\ \# M) = add-mset\ (-L)\ (DECO-clause\ M) \rangle$  **and**

*DECO-clause-cons-Proped*[simp]:

$\langle DECO-clause\ (Propagated\ L\ C\ \# M) = DECO-clause\ M \rangle$

**by** (*auto simp: DECO-clause-def*)

**lemma** *no-dup-distinct-mset*[intro!]:

**assumes** *n-d*:  $\langle no-dup\ M \rangle$

**shows**  $\langle distinct-mset\ (negate-ann-lits\ M) \rangle$

**unfolding** *negate-ann-lits-def no-dup-def*

**proof** (*subst distinct-image-mset-inj*)

**show**  $\langle inj-on\ (\lambda L. -\ lit-of\ L)\ (set-mset\ (mset\ M)) \rangle$

**unfolding** *inj-on-def Ball-def*

**proof** (*intro allI impI, rule ccontr*)

**fix** *L L'*

**assume**

*L*:  $\langle L \in \# mset\ M \rangle$  **and**

*L'*:  $\langle L' \in \# mset\ M \rangle$  **and**

*lit*:  $\langle -\ lit-of\ L = -\ lit-of\ L' \rangle$  **and**

*LL'*:  $\langle L \neq L' \rangle$

**have**  $\langle atm-of\ (lit-of\ L) = atm-of\ (lit-of\ L') \rangle$

**using** *lit* **by** *auto*

**moreover have**  $\langle atm-of\ (lit-of\ L) \in \# (\lambda l. atm-of\ (lit-of\ l))\ ' \# mset\ M \rangle$

**using** *L* **by** *auto*

**moreover have**  $\langle atm-of\ (lit-of\ L') \in \# (\lambda l. atm-of\ (lit-of\ l))\ ' \# mset\ M \rangle$

**using** *L'* **by** *auto*

**ultimately show** *False*

**using** *assms LL' L L'* **unfolding** *distinct-mset-mset-distinct[symmetric] mset-map no-dup-def*

**apply**  $-$  **apply** (*rule distinct-image-mset-not-equal[of L L'  $\langle \lambda l. atm-of\ (lit-of\ l) \rangle$ ]*)

**by** *auto*

**qed**

**next**

**show**  $\langle distinct-mset\ (mset\ M) \rangle$

**using** *no-dup-imp-distinct[OF n-d]* **by** *simp*

**qed**

**lemma** *in-negate-trial-iff*:  $\langle L \in \# negate-ann-lits\ M \longleftrightarrow -\ L \in lits-of-l\ M \rangle$

**unfolding** *negate-ann-lits-def lits-of-def* **by** (*auto simp: uminus-lit-swap*)

**lemma** *negate-ann-lits-cons*[simp]:

$\langle negate-ann-lits\ (L\ \# M) = add-mset\ (-\ lit-of\ L)\ (negate-ann-lits\ M) \rangle$

**by** (*auto simp: negate-ann-lits-def*)

**lemma** *uminus-simple-clss-iff*[simp]:  
 $\langle \text{uminus } \# M \in \text{simple-clss } N \longleftrightarrow M \in \text{simple-clss } N \rangle$   
**by** (*metis pNeg-simple-clss-iff pNeg-def*)

**lemma** *pNeg-mono*:  $\langle C \subseteq \# C' \implies \text{pNeg } C \subseteq \# \text{pNeg } C' \rangle$   
**by** (*auto simp: image-mset-subseteq-mono pNeg-def*)

**end**

**theory** *Partial-And-Total-Herbrand-Interpretation*

**imports** *Partial-Herbrand-Interpretation*

*Ordered-Resolution-Prover.Herbrand-Interpretation*

**begin**

### 1.3 Bridging of total and partial Herbrand interpretation

This theory has mostly be written as a sanity check between the two entailment notion.

**definition** *partial-model-of* ::  $\langle 'a \text{ interp} \Rightarrow 'a \text{ partial-interp} \rangle$  **where**  
 $\langle \text{partial-model-of } I = \text{Pos } \langle I \cup \text{Neg } \langle \{x. x \notin I\} \rangle \rangle$

**definition** *total-model-of* ::  $\langle 'a \text{ partial-interp} \Rightarrow 'a \text{ interp} \rangle$  **where**  
 $\langle \text{total-model-of } I = \{x. \text{Pos } x \in I\} \rangle$

**lemma** *total-over-set-partial-model-of*:  
 $\langle \text{total-over-set } (\text{partial-model-of } I) \text{ UNIV} \rangle$   
**unfolding** *total-over-set-def*  
**by** (*auto simp: partial-model-of-def*)

**lemma** *consistent-interp-partial-model-of*:  
 $\langle \text{consistent-interp } (\text{partial-model-of } I) \rangle$   
**unfolding** *consistent-interp-def*  
**by** (*auto simp: partial-model-of-def*)

**lemma** *consistent-interp-alt-def*:  
 $\langle \text{consistent-interp } I \longleftrightarrow (\forall L. \neg(\text{Pos } L \in I \wedge \text{Neg } L \in I)) \rangle$   
**unfolding** *consistent-interp-def*  
**by** (*metis literal.exhaust uminus-Neg uminus-of-uminus-id*)

**context**

**fixes** *I* ::  $\langle 'a \text{ partial-interp} \rangle$

**assumes** *cons*:  $\langle \text{consistent-interp } I \rangle$

**begin**

**lemma** *partial-implies-total-true-cls-total-model-of*:  
**assumes**  $\langle \text{Partial-Herbrand-Interpretation.true-cls } I \ C \rangle$   
**shows**  $\langle \text{Herbrand-Interpretation.true-cls } (\text{total-model-of } I) \ C \rangle$   
**using** *assms cons* **apply** –  
**unfolding** *total-model-of-def Partial-Herbrand-Interpretation.true-cls-def*  
*Herbrand-Interpretation.true-cls-def consistent-interp-alt-def*  
**by** (*rule bexE, assumption*)  
*(case-tac x; auto)*

**lemma** *total-implies-partial-true-cls-total-model-of*:  
**assumes**  $\langle \text{Herbrand-Interpretation.true-cls } (\text{total-model-of } I) \ C \rangle$  **and**

```

  ⟨total-over-set I (atms-of C)⟩
shows ⟨Partial-Herbrand-Interpretation.true-cls I C⟩
using assms cons
unfolding total-model-of-def Partial-Herbrand-Interpretation.true-cls-def
  Herbrand-Interpretation.true-cls-def consistent-interp-alt-def
  total-over-m-def total-over-set-def
by (auto simp: atms-of-def dest: multi-member-split)

lemma partial-implies-total-true-clss-total-model-of:
assumes ⟨Partial-Herbrand-Interpretation.true-clss I C⟩
shows ⟨Herbrand-Interpretation.true-clss (total-model-of I) C⟩
using assms cons
unfolding Partial-Herbrand-Interpretation.true-clss-def
  Herbrand-Interpretation.true-clss-def
by (auto simp: partial-implies-total-true-cls-total-model-of)

lemma total-implies-partial-true-clss-total-model-of:
assumes ⟨Herbrand-Interpretation.true-clss (total-model-of I) C⟩ and
  ⟨total-over-m I C⟩
shows ⟨Partial-Herbrand-Interpretation.true-clss I C⟩
using assms cons mk-disjoint-insert
unfolding Partial-Herbrand-Interpretation.true-clss-def
  Herbrand-Interpretation.true-clss-def
  total-over-set-def
by (fastforce simp: total-implies-partial-true-cls-total-model-of
  dest: multi-member-split)

end

lemma total-implies-partial-true-cls-partial-model-of:
assumes ⟨Herbrand-Interpretation.true-cls I C⟩
shows ⟨Partial-Herbrand-Interpretation.true-cls (partial-model-of I) C⟩
using assms apply –
unfolding partial-model-of-def Partial-Herbrand-Interpretation.true-cls-def
  Herbrand-Interpretation.true-cls-def consistent-interp-alt-def
by (rule bexE, assumption)
  (case-tac x; auto)

lemma total-implies-partial-true-clss-partial-model-of:
assumes ⟨Herbrand-Interpretation.true-clss I C⟩
shows ⟨Partial-Herbrand-Interpretation.true-clss (partial-model-of I) C⟩
using assms
unfolding Partial-Herbrand-Interpretation.true-clss-def
  Herbrand-Interpretation.true-clss-def
by (auto simp: total-implies-partial-true-cls-partial-model-of)

lemma partial-total-satisfiable-iff:
  ⟨Partial-Herbrand-Interpretation.satisfiable N ⟷ Herbrand-Interpretation.satisfiable N⟩
by (meson consistent-interp-partial-model-of partial-implies-total-true-clss-total-model-of
  satisfiable-carac total-implies-partial-true-clss-partial-model-of)

end
theory Prop-Logic
imports Main

```

**begin**



## Chapter 2

# Normalisation

We define here the normalisation from formula towards conjunctive and disjunctive normal form, including normalisation towards multiset of multisets to represent CNF.

### 2.1 Logics

In this section we define the syntax of the formula and an abstraction over it to have simpler proofs. After that we define some properties like subformula and rewriting.

#### 2.1.1 Definition and Abstraction

The propositional logic is defined inductively. The type parameter is the type of the variables.

**datatype** *'v propo* =  
 *FT* | *FF* | *FVar* *'v* | *FNot* *'v propo* | *FAnd* *'v propo 'v propo* | *FOR* *'v propo 'v propo*  
 | *FImp* *'v propo 'v propo* | *FEq* *'v propo 'v propo*

We do not define any notation for the formula, to distinguish properly between the formulas and Isabelle's logic.

To ease the proofs, we will write the the formula on a homogeneous manner, namely a connecting argument and a list of arguments.

**datatype** *'v connective* = *CT* | *CF* | *CVar* *'v* | *CNot* | *CAnd* | *COr* | *CImp* | *CEq*

**abbreviation** *nullary-connective*  $\equiv \{CF\} \cup \{CT\} \cup \{CVar\ x \mid x. True\}$

**definition** *binary-connectives*  $\equiv \{CAnd, COr, CImp, CEq\}$

We define our own induction principal: instead of distinguishing every constructor, we group them by arity.

**lemma** *propo-induct-arity*[*case-names nullary unary binary*]:

**fixes**  $\varphi\ \psi :: 'v\ propo$   
 **assumes** *nullary*:  $\bigwedge \varphi\ x. \varphi = FF \vee \varphi = FT \vee \varphi = FVar\ x \implies P\ \varphi$   
 **and** *unary*:  $\bigwedge \psi. P\ \psi \implies P\ (FNot\ \psi)$   
 **and** *binary*:  $\bigwedge \varphi\ \psi1\ \psi2. P\ \psi1 \implies P\ \psi2 \implies \varphi = FAnd\ \psi1\ \psi2 \vee \varphi = FOR\ \psi1\ \psi2 \vee \varphi = FImp\ \psi1\ \psi2$   
  $\vee \varphi = FEq\ \psi1\ \psi2 \implies P\ \varphi$   
 **shows**  $P\ \psi$   
 **apply** (*induct rule: propo.induct*)  
 **using** *assms* **by** *metis+*

The function *conn* is the interpretation of our representation (connective and list of arguments). We define any thing that has no sense to be false

```
fun conn :: 'v connective  $\Rightarrow$  'v propo list  $\Rightarrow$  'v propo where
conn CT [] = FT |
conn CF [] = FF |
conn (CVar v) [] = FVar v |
conn CNot [ $\varphi$ ] = FNot  $\varphi$  |
conn CAnd ( $\varphi$  # [ $\psi$ ]) = FAnd  $\varphi$   $\psi$  |
conn COr ( $\varphi$  # [ $\psi$ ]) = FOr  $\varphi$   $\psi$  |
conn CImp ( $\varphi$  # [ $\psi$ ]) = FImp  $\varphi$   $\psi$  |
conn CEq ( $\varphi$  # [ $\psi$ ]) = FEq  $\varphi$   $\psi$  |
conn - - = FF
```

We will often use case distinction, based on the arity of the '*v connective*, thus we define our own splitting principle.

```
lemma connective-cases-arity[case-names nullary binary unary]:
assumes nullary:  $\bigwedge x. c = CT \vee c = CF \vee c = CVar x \implies P$ 
and binary:  $c \in \text{binary-connectives} \implies P$ 
and unary:  $c = CNot \implies P$ 
shows P
using assms by (cases c) (auto simp: binary-connectives-def)
```

```
lemma connective-cases-arity-2[case-names nullary unary binary]:
assumes nullary:  $c \in \text{nullary-connective} \implies P$ 
and unary:  $c = CNot \implies P$ 
and binary:  $c \in \text{binary-connectives} \implies P$ 
shows P
using assms by (cases c, auto simp add: binary-connectives-def)
```

Our previous definition is not necessary correct (connective and list of arguments), so we define an inductive predicate.

```
inductive wf-conn :: 'v connective  $\Rightarrow$  'v propo list  $\Rightarrow$  bool for c :: 'v connective where
wf-conn-nullary[simp]:  $(c = CT \vee c = CF \vee c = CVar v) \implies \text{wf-conn } c []$  |
wf-conn-unary[simp]:  $c = CNot \implies \text{wf-conn } c [\psi]$  |
wf-conn-binary[simp]:  $c \in \text{binary-connectives} \implies \text{wf-conn } c (\psi \# \psi' \# [])$ 
thm wf-conn.induct
```

```
lemma wf-conn-induct[consumes 1, case-names CT CF CVar CNot COr CAnd CImp CEq]:
assumes wf-conn c x and
 $\bigwedge v. c = CT \implies P []$  and
 $\bigwedge v. c = CF \implies P []$  and
 $\bigwedge v. c = CVar v \implies P []$  and
 $\bigwedge \psi. c = CNot \implies P [\psi]$  and
 $\bigwedge \psi \psi'. c = COr \implies P [\psi, \psi']$  and
 $\bigwedge \psi \psi'. c = CAnd \implies P [\psi, \psi']$  and
 $\bigwedge \psi \psi'. c = CImp \implies P [\psi, \psi']$  and
 $\bigwedge \psi \psi'. c = CEq \implies P [\psi, \psi']$ 
shows P x
using assms by induction (auto simp: binary-connectives-def)
```

### 2.1.2 Properties of the Abstraction

First we can define simplification rules.

```
lemma wf-conn-conn[simp]:
```



```

wf-conn CT l  $\implies$  conn CT l = FT
wf-conn CF l  $\implies$  conn CF l = FF
wf-conn (CVar x) l  $\implies$  conn (CVar x) l = FVar x
apply (simp-all add: wf-conn.simps)
unfolding binary-connectives-def by simp-all

```

```

lemma wf-conn-list-decomp[simp]:
  wf-conn CT l  $\longleftrightarrow$  l = []
  wf-conn CF l  $\longleftrightarrow$  l = []
  wf-conn (CVar x) l  $\longleftrightarrow$  l = []
  wf-conn CNot ( $\xi$  @  $\varphi$  #  $\xi'$ )  $\longleftrightarrow$   $\xi = [] \wedge \xi' = []$ 
apply (simp-all add: wf-conn.simps)
unfolding binary-connectives-def apply simp-all
by (metis append-Nil append-is-Nil-conv list.distinct(1) list.sel(3) tl-append2)

```

```

lemma wf-conn-list:
  wf-conn c l  $\implies$  conn c l = FT  $\longleftrightarrow$  (c = CT  $\wedge$  l = [])
  wf-conn c l  $\implies$  conn c l = FF  $\longleftrightarrow$  (c = CF  $\wedge$  l = [])
  wf-conn c l  $\implies$  conn c l = FVar x  $\longleftrightarrow$  (c = CVar x  $\wedge$  l = [])
  wf-conn c l  $\implies$  conn c l = FAnd a b  $\longleftrightarrow$  (c = CAnd  $\wedge$  l = a # b # [])
  wf-conn c l  $\implies$  conn c l = FOr a b  $\longleftrightarrow$  (c = COr  $\wedge$  l = a # b # [])
  wf-conn c l  $\implies$  conn c l = FEq a b  $\longleftrightarrow$  (c = CEq  $\wedge$  l = a # b # [])
  wf-conn c l  $\implies$  conn c l = FImp a b  $\longleftrightarrow$  (c = CImp  $\wedge$  l = a # b # [])
  wf-conn c l  $\implies$  conn c l = FNot a  $\longleftrightarrow$  (c = CNot  $\wedge$  l = a # [])
apply (induct l rule: wf-conn.induct)
unfolding binary-connectives-def by auto

```

In the binary connective cases, we will often decompose the list of arguments (of length 2) into two elements.

```

lemma list-length2-decomp: length l = 2  $\implies$  ( $\exists$  a b. l = a # b # [])
apply (induct l, auto)
by (rename-tac l, case-tac l, auto)

```

wf-conn for binary operators means that there are two arguments.

```

lemma wf-conn-bin-list-length:
  fixes l :: 'v propo list
  assumes conn: c  $\in$  binary-connectives
  shows length l = 2  $\longleftrightarrow$  wf-conn c l
proof
  assume length l = 2
  then show wf-conn c l using wf-conn-binary list-length2-decomp using conn by metis
next
  assume wf-conn c l
  then show length l = 2 (is ?P l)
  proof (cases rule: wf-conn.induct)
    case wf-conn-nullary
    then show ?P [] using conn binary-connectives-def
    using connective.distinct(11) connective.distinct(13) connective.distinct(9) by blast
  next
    fix  $\psi$  :: 'v propo
    case wf-conn-unary
    then show ?P [ $\psi$ ] using conn binary-connectives-def
    using connective.distinct by blast
  qed

```

```

next
  fix  $\psi \psi' :: 'v \text{ propo}$ 
  show  $?P [\psi, \psi']$  by auto
qed
qed

```

```

lemma wf-conn-not-list-length[iff]:
  fixes  $l :: 'v \text{ propo list}$ 
  shows  $\text{wf-conn } CNot\ l \longleftrightarrow \text{length } l = 1$ 
  apply auto
  apply (metis append-Nil connective.distinct(5,17,27) length-Cons list.size(3) wf-conn.simps
    wf-conn-list-decomp(4))
  by (simp add: length-Suc-conv wf-conn.simps)

```

Decomposing the Not into an element is moreover very useful.

```

lemma wf-conn-Not-decomp:
  fixes  $l :: 'v \text{ propo list}$  and  $a :: 'v$ 
  assumes  $\text{corr}: \text{wf-conn } CNot\ l$ 
  shows  $\exists a. l = [a]$ 
  by (metis (no-types, lifting) One-nat-def Suc-length-conv corr length-0-conv
    wf-conn-not-list-length)

```

The *wf-conn* remains correct if the length of list does not change. This lemma is very useful when we do one rewriting step

```

lemma wf-conn-no-arity-change:
   $\text{length } l = \text{length } l' \implies \text{wf-conn } c\ l \longleftrightarrow \text{wf-conn } c\ l'$ 
proof -
  {
    fix  $l\ l'$ 
    have  $\text{length } l = \text{length } l' \implies \text{wf-conn } c\ l \implies \text{wf-conn } c\ l'$ 
      apply (cases  $c\ l$  rule: wf-conn.induct, auto)
      by (metis wf-conn-bin-list-length)
  }
  then show  $\text{length } l = \text{length } l' \implies \text{wf-conn } c\ l = \text{wf-conn } c\ l'$  by metis
qed

```

```

lemma wf-conn-no-arity-change-helper:
   $\text{length } (\xi @ \varphi \# \xi') = \text{length } (\xi @ \varphi' \# \xi')$ 
  by auto

```

The injectivity of *conn* is useful to prove equality of the connectives and the lists.

```

lemma conn-inj-not:
  assumes  $\text{correct}: \text{wf-conn } c\ l$ 
  and  $\text{conn}: \text{conn } c\ l = FNot\ \psi$ 
  shows  $c = CNot$  and  $l = [\psi]$ 
  apply (cases  $c\ l$  rule: wf-conn.cases)
  using correct conn unfolding binary-connectives-def apply auto
  apply (cases  $c\ l$  rule: wf-conn.cases)
  using correct conn unfolding binary-connectives-def by auto

```

```

lemma conn-inj:
  fixes  $c\ ca :: 'v \text{ connective}$  and  $l\ \psi s :: 'v \text{ propo list}$ 
  assumes  $\text{corr}: \text{wf-conn } ca\ l$ 
  and  $\text{corr}': \text{wf-conn } c\ \psi s$ 

```

```

and eq: conn ca l = conn c  $\psi$ s
shows ca = c  $\wedge$   $\psi$ s = l
using corr
proof (cases ca l rule: wf-conn.cases)
case (wf-conn-nullary v)
then show ca = c  $\wedge$   $\psi$ s = l using assms
by (metis conn.simps(1) conn.simps(2) conn.simps(3) wf-conn-list(1-3))
next
case (wf-conn-unary  $\psi'$ )
then have *: FNot  $\psi'$  = conn c  $\psi$ s using conn-inj-not eq assms by auto
then have c = ca by (metis conn-inj-not(1) corr' wf-conn-unary(2))
moreover have  $\psi$ s = l using * conn-inj-not(2) corr' wf-conn-unary(1) by force
ultimately show ca = c  $\wedge$   $\psi$ s = l by auto
next
case (wf-conn-binary  $\psi'$   $\psi''$ )
then show ca = c  $\wedge$   $\psi$ s = l
using eq corr' unfolding binary-connectives-def apply (cases ca, auto simp add: wf-conn-list)
using wf-conn-list(4-7) corr' by metis+
qed

```

### 2.1.3 Subformulas and Properties

A characterization using sub-formulas is interesting for rewriting: we will define our relation on the sub-term level, and then lift the rewriting on the term-level. So the rewriting takes place on a subformula.

**inductive** *subformula* :: '*v* *propo*  $\Rightarrow$  '*v* *propo*  $\Rightarrow$  bool (infix  $\preceq$  45) **for**  $\varphi$  **where**  
*subformula-refl*[simp]:  $\varphi \preceq \varphi$  |  
*subformula-into-subformula*:  $\psi \in \text{set } l \Rightarrow \text{wf-conn } c \ l \Rightarrow \varphi \preceq \psi \Rightarrow \varphi \preceq \text{conn } c \ l$

On the *subformula-into-subformula*, we can see why we use our *conn* representation: one case is enough to express the subformulas property instead of listing all the cases.

This is an example of a property related to subformulas.

```

lemma subformula-in-subformula-not:
shows b: FNot  $\varphi \preceq \psi \Rightarrow \varphi \preceq \psi$ 
apply (induct rule: subformula.induct)
using subformula-into-subformula wf-conn-unary subformula-refl list.set-intros(1) subformula-refl
by (fastforce intro: subformula-into-subformula)+

```

```

lemma subformula-in-binary-conn:
assumes conn:  $c \in \text{binary-connectives}$ 
shows  $f \preceq \text{conn } c \ [f, g]$ 
and  $g \preceq \text{conn } c \ [f, g]$ 
proof -
have a: wf-conn c (f# [g]) using conn wf-conn-binary binary-connectives-def by auto
moreover have b:  $f \preceq f$  using subformula-refl by auto
ultimately show  $f \preceq \text{conn } c \ [f, g]$ 
by (metis append-Nil in-set-conv-decomp subformula-into-subformula)
next
have a: wf-conn c ([f] @ [g]) using conn wf-conn-binary binary-connectives-def by auto
moreover have b:  $g \preceq g$  using subformula-refl by auto
ultimately show  $g \preceq \text{conn } c \ [f, g]$  using subformula-into-subformula by force
qed

```

**lemma** *subformula-trans*:

$\psi \preceq \psi' \implies \varphi \preceq \psi \implies \varphi \preceq \psi'$   
**apply** (induct  $\psi'$  rule: subformula.inducts)  
**by** (auto simp: subformula-into-subformula)

**lemma** subformula-leaf:  
**fixes**  $\varphi \psi :: 'v \text{ propo}$   
**assumes** incl:  $\varphi \preceq \psi$   
**and** simple:  $\psi = FT \vee \psi = FF \vee \psi = FVar x$   
**shows**  $\varphi = \psi$   
**using** incl simple  
**by** (induct rule: subformula.induct, auto simp: wf-conn-list)

**lemma** subformula-not-incl-eq:  
**assumes**  $\varphi \preceq \text{conn } c \ l$   
**and** wf-conn  $c \ l$   
**and**  $\forall \psi. \psi \in \text{set } l \longrightarrow \neg \varphi \preceq \psi$   
**shows**  $\varphi = \text{conn } c \ l$   
**using** assms **apply** (induction conn  $c \ l$  rule: subformula.induct, auto)  
**using** conn-inj **by** blast

**lemma** wf-subformula-conn-cases:  
 $\text{wf-conn } c \ l \implies \varphi \preceq \text{conn } c \ l \longleftrightarrow (\varphi = \text{conn } c \ l \vee (\exists \psi. \psi \in \text{set } l \wedge \varphi \preceq \psi))$   
**apply** standard  
**using** subformula-not-incl-eq **apply** metis  
**by** (auto simp add: subformula-into-subformula)

**lemma** subformula-decomp-explicit[simp]:  
 $\varphi \preceq FAnd \ \psi \ \psi' \longleftrightarrow (\varphi = FAnd \ \psi \ \psi' \vee \varphi \preceq \psi \vee \varphi \preceq \psi')$  (is ?P FAnd)  
 $\varphi \preceq FOr \ \psi \ \psi' \longleftrightarrow (\varphi = FOr \ \psi \ \psi' \vee \varphi \preceq \psi \vee \varphi \preceq \psi')$   
 $\varphi \preceq FEq \ \psi \ \psi' \longleftrightarrow (\varphi = FEq \ \psi \ \psi' \vee \varphi \preceq \psi \vee \varphi \preceq \psi')$   
 $\varphi \preceq FImp \ \psi \ \psi' \longleftrightarrow (\varphi = FImp \ \psi \ \psi' \vee \varphi \preceq \psi \vee \varphi \preceq \psi')$

**proof** –

**have** wf-conn CAnd  $[\psi, \psi']$  **by** (simp add: binary-connectives-def)  
**then have**  $\varphi \preceq \text{conn } CAnd \ [\psi, \psi'] \longleftrightarrow$   
 $(\varphi = \text{conn } CAnd \ [\psi, \psi'] \vee (\exists \psi''. \psi'' \in \text{set } [\psi, \psi'] \wedge \varphi \preceq \psi''))$   
**using** wf-subformula-conn-cases **by** metis  
**then show** ?P FAnd **by** auto

**next**

**have** wf-conn COr  $[\psi, \psi']$  **by** (simp add: binary-connectives-def)  
**then have**  $\varphi \preceq \text{conn } COr \ [\psi, \psi'] \longleftrightarrow$   
 $(\varphi = \text{conn } COr \ [\psi, \psi'] \vee (\exists \psi''. \psi'' \in \text{set } [\psi, \psi'] \wedge \varphi \preceq \psi''))$   
**using** wf-subformula-conn-cases **by** metis  
**then show** ?P FOr **by** auto

**next**

**have** wf-conn CEq  $[\psi, \psi']$  **by** (simp add: binary-connectives-def)  
**then have**  $\varphi \preceq \text{conn } CEq \ [\psi, \psi'] \longleftrightarrow$   
 $(\varphi = \text{conn } CEq \ [\psi, \psi'] \vee (\exists \psi''. \psi'' \in \text{set } [\psi, \psi'] \wedge \varphi \preceq \psi''))$   
**using** wf-subformula-conn-cases **by** metis  
**then show** ?P FEq **by** auto

**next**

**have** wf-conn CImp  $[\psi, \psi']$  **by** (simp add: binary-connectives-def)  
**then have**  $\varphi \preceq \text{conn } CImp \ [\psi, \psi'] \longleftrightarrow$   
 $(\varphi = \text{conn } CImp \ [\psi, \psi'] \vee (\exists \psi''. \psi'' \in \text{set } [\psi, \psi'] \wedge \varphi \preceq \psi''))$   
**using** wf-subformula-conn-cases **by** metis  
**then show** ?P FImp **by** auto

**qed**

```

lemma wf-conn-helper-facts[iff]:
  wf-conn CNot [ $\varphi$ ]
  wf-conn CT []
  wf-conn CF []
  wf-conn (CVar x) []
  wf-conn CAnd [ $\varphi$ ,  $\psi$ ]
  wf-conn COr [ $\varphi$ ,  $\psi$ ]
  wf-conn CImp [ $\varphi$ ,  $\psi$ ]
  wf-conn CEq [ $\varphi$ ,  $\psi$ ]
  using wf-conn.intros unfolding binary-connectives-def by fastforce +

lemma exists-c-conn:  $\exists c l. \varphi = \text{conn } c l \wedge \text{wf-conn } c l$ 
  by (cases  $\varphi$ ) force +

lemma subformula-conn-decomp[simp]:
  assumes wf: wf-conn c l
  shows  $\varphi \preceq \text{conn } c l \longleftrightarrow (\varphi = \text{conn } c l \vee (\exists \psi \in \text{set } l. \varphi \preceq \psi))$  (is  $?A \longleftrightarrow ?B$ )
proof (rule iffI)
{
  fix  $\xi$ 
  have  $\varphi \preceq \xi \implies \xi = \text{conn } c l \implies \text{wf-conn } c l \implies \forall x::'a \text{ propo} \in \text{set } l. \neg \varphi \preceq x \implies \varphi = \text{conn } c l$ 
  apply (induct rule: subformula.induct)
  apply simp
  using conn-inj by blast
}
moreover assume  $?A$ 
ultimately show  $?B$  using wf by metis
next
assume  $?B$ 
then show  $\varphi \preceq \text{conn } c l$  using wf wf-subformula-conn-cases by blast
qed

lemma subformula-leaf-explicit[simp]:
   $\varphi \preceq FT \longleftrightarrow \varphi = FT$ 
   $\varphi \preceq FF \longleftrightarrow \varphi = FF$ 
   $\varphi \preceq FVar\ x \longleftrightarrow \varphi = FVar\ x$ 
  apply auto
  using subformula-leaf by metis +

```

The variables inside the formula gives precisely the variables that are needed for the formula.

```

primrec vars-of-prop:: 'v propo  $\Rightarrow$  'v set where
vars-of-prop FT = {} |
vars-of-prop FF = {} |
vars-of-prop (FVar x) = {x} |
vars-of-prop (FNot  $\varphi$ ) = vars-of-prop  $\varphi$  |
vars-of-prop (FAnd  $\varphi$   $\psi$ ) = vars-of-prop  $\varphi \cup \text{vars-of-prop } \psi$  |
vars-of-prop (FOr  $\varphi$   $\psi$ ) = vars-of-prop  $\varphi \cup \text{vars-of-prop } \psi$  |
vars-of-prop (FImp  $\varphi$   $\psi$ ) = vars-of-prop  $\varphi \cup \text{vars-of-prop } \psi$  |
vars-of-prop (FEq  $\varphi$   $\psi$ ) = vars-of-prop  $\varphi \cup \text{vars-of-prop } \psi$ 

```

```

lemma vars-of-prop-incl-conn:
  fixes  $\xi \xi' :: 'v \text{ propo list}$  and  $\psi :: 'v \text{ propo}$  and  $c :: 'v \text{ connective}$ 
  assumes corr: wf-conn c l and incl:  $\psi \in \text{set } l$ 
  shows vars-of-prop  $\psi \subseteq \text{vars-of-prop } (\text{conn } c l)$ 
proof (cases c rule: connective-cases-arity-2)

```

```

case nullary
then have False using corr incl by auto
then show vars-of-prop  $\psi \subseteq \text{vars-of-prop } (\text{conn } c \ l)$  by blast
next
case binary note c = this
then obtain a b where ab:  $l = [a, b]$ 
  using wf-conn-bin-list-length list-length2-decomp corr by metis
then have  $\psi = a \vee \psi = b$  using incl by auto
then show vars-of-prop  $\psi \subseteq \text{vars-of-prop } (\text{conn } c \ l)$ 
  using ab c unfolding binary-connectives-def by auto
next
case unary note c = this
fix  $\varphi :: 'v \text{ propo}$ 
have  $l = [\psi]$  using corr c incl split-list by force
then show vars-of-prop  $\psi \subseteq \text{vars-of-prop } (\text{conn } c \ l)$  using c by auto
qed

```

The set of variables is compatible with the subformula order.

**lemma** *subformula-vars-of-prop*:

```

 $\varphi \preceq \psi \implies \text{vars-of-prop } \varphi \subseteq \text{vars-of-prop } \psi$ 
apply (induct rule: subformula.induct)
apply simp
using vars-of-prop-incl-conn by blast

```

## 2.1.4 Positions

Instead of 1 or 2 we use  $L$  or  $R$

**datatype** *sign* =  $L \mid R$

We use *nil* instead of  $\varepsilon$ .

**fun** *pos* ::  $'v \text{ propo} \Rightarrow \text{sign list set}$  **where**

```

pos FF = {[]} |
pos FT = {[]} |
pos (FVar x) = {[]} |
pos (FAnd  $\varphi \ \psi$ ) = {[]}  $\cup \{ L \ \# \ p \mid p. p \in \text{pos } \varphi \} \cup \{ R \ \# \ p \mid p. p \in \text{pos } \psi \}$  |
pos (FOr  $\varphi \ \psi$ ) = {[]}  $\cup \{ L \ \# \ p \mid p. p \in \text{pos } \varphi \} \cup \{ R \ \# \ p \mid p. p \in \text{pos } \psi \}$  |
pos (FEq  $\varphi \ \psi$ ) = {[]}  $\cup \{ L \ \# \ p \mid p. p \in \text{pos } \varphi \} \cup \{ R \ \# \ p \mid p. p \in \text{pos } \psi \}$  |
pos (FImp  $\varphi \ \psi$ ) = {[]}  $\cup \{ L \ \# \ p \mid p. p \in \text{pos } \varphi \} \cup \{ R \ \# \ p \mid p. p \in \text{pos } \psi \}$  |
pos (FNot  $\varphi$ ) = {[]}  $\cup \{ L \ \# \ p \mid p. p \in \text{pos } \varphi \}$ 

```

**lemma** *finite-pos*: *finite* (*pos*  $\varphi$ )

**by** (*induct*  $\varphi$ , *auto*)

**lemma** *finite-inj-comp-set*:

```

fixes s :: 'v set
assumes finite: finite s
and inj: inj f
shows card ( $\{f \ p \mid p. p \in s\}$ ) = card s
using finite

```

**proof** (*induct* s *rule*: *finite-induct*)

**show** *card*  $\{f \ p \mid p. p \in \{\}\} = \text{card } \{\}$  **by** *auto*

**next**

```

fix x :: 'v and s :: 'v set
assume f: finite s and notin:  $x \notin s$ 
and IH: card  $\{f \ p \mid p. p \in s\} = \text{card } s$ 

```

**have**  $f'$ : *finite*  $\{f\ p \mid p. p \in \text{insert } x\ s\}$  **using**  $f$  **by** *auto*  
**have** *notin'*:  $f\ x \notin \{f\ p \mid p. p \in s\}$  **using** *notin inj injD* **by** *fastforce*  
**have**  $\{f\ p \mid p. p \in \text{insert } x\ s\} = \text{insert } (f\ x)\ \{f\ p \mid p. p \in s\}$  **by** *auto*  
**then have**  $\text{card } \{f\ p \mid p. p \in \text{insert } x\ s\} = 1 + \text{card } \{f\ p \mid p. p \in s\}$   
**using** *finite card-insert-disjoint f' notin'* **by** *auto*  
**moreover have**  $\dots = \text{card } (\text{insert } x\ s)$  **using** *notin f IH* **by** *auto*  
**finally show**  $\text{card } \{f\ p \mid p. p \in \text{insert } x\ s\} = \text{card } (\text{insert } x\ s)$  .  
**qed**

**lemma** *cons-inject*:

*inj ((#) s)*  
**by** (*meson injI list.inject*)

**lemma** *finite-insert-nil-cons*:

*finite s  $\implies$  card (insert [] {L # p | p. p  $\in$  s}) = 1 + card {L # p | p. p  $\in$  s}*  
**using** *card-insert-disjoint* **by** *auto*

**lemma** *cord-not[simp]*:

*card (pos (FNot  $\varphi$ )) = 1 + card (pos  $\varphi$ )*  
**by** (*simp add: cons-inject finite-inj-comp-set finite-pos*)

**lemma** *card-seperate*:

**assumes** *finite s1 and finite s2*  
**shows**  $\text{card } (\{L \# p \mid p. p \in s1\} \cup \{R \# p \mid p. p \in s2\}) = \text{card } (\{L \# p \mid p. p \in s1\})$   
 $+ \text{card } (\{R \# p \mid p. p \in s2\})$  (**is**  $\text{card } (?L \cup ?R) = \text{card } ?L + \text{card } ?R$ )

**proof** –

**have** *finite ?L* **using** *assms* **by** *auto*  
**moreover have** *finite ?R* **using** *assms* **by** *auto*  
**moreover have**  $?L \cap ?R = \{\}$  **by** *blast*  
**ultimately show** *?thesis* **using** *assms card-Un-disjoint* **by** *blast*

**qed**

**definition** *prop-size* **where** *prop-size  $\varphi = \text{card } (\text{pos } \varphi)$*

**lemma** *prop-size-vars-of-prop*:

**fixes**  $\varphi :: 'v\ \text{propo}$   
**shows**  $\text{card } (\text{vars-of-prop } \varphi) \leq \text{prop-size } \varphi$

**unfolding** *prop-size-def* **apply** (*induct  $\varphi$ , auto simp add: cons-inject finite-inj-comp-set finite-pos*)

**proof** –

**fix**  $\varphi1\ \varphi2 :: 'v\ \text{propo}$   
**assume** *IH1: card (vars-of-prop  $\varphi1$ )  $\leq$  card (pos  $\varphi1$ )*  
**and** *IH2: card (vars-of-prop  $\varphi2$ )  $\leq$  card (pos  $\varphi2$ )*  
**let**  $?L = \{L \# p \mid p. p \in \text{pos } \varphi1\}$   
**let**  $?R = \{R \# p \mid p. p \in \text{pos } \varphi2\}$   
**have**  $\text{card } (?L \cup ?R) = \text{card } ?L + \text{card } ?R$   
**using** *card-seperate finite-pos* **by** *blast*  
**moreover have**  $\dots = \text{card } (\text{pos } \varphi1) + \text{card } (\text{pos } \varphi2)$   
**by** (*simp add: cons-inject finite-inj-comp-set finite-pos*)  
**moreover have**  $\dots \geq \text{card } (\text{vars-of-prop } \varphi1) + \text{card } (\text{vars-of-prop } \varphi2)$  **using** *IH1 IH2* **by** *arith*  
**then have**  $\dots \geq \text{card } (\text{vars-of-prop } \varphi1 \cup \text{vars-of-prop } \varphi2)$  **using** *card-Un-le le-trans* **by** *blast*  
**ultimately**  
**show**  $\text{card } (\text{vars-of-prop } \varphi1 \cup \text{vars-of-prop } \varphi2) \leq \text{Suc } (\text{card } (?L \cup ?R))$   
 $\text{card } (\text{vars-of-prop } \varphi1 \cup \text{vars-of-prop } \varphi2) \leq \text{Suc } (\text{card } (?L \cup ?R))$   
 $\text{card } (\text{vars-of-prop } \varphi1 \cup \text{vars-of-prop } \varphi2) \leq \text{Suc } (\text{card } (?L \cup ?R))$

```

      card (vars-of-prop  $\varphi 1 \cup$  vars-of-prop  $\varphi 2$ )  $\leq$  Suc (card (?L  $\cup$  ?R))
    by auto
  qed

```

```

value pos (FImp (FAnd (FVar P) (FVar Q)) (FOr (FVar P) (FVar Q)))

```

```

inductive path-to :: sign list  $\Rightarrow$  'v propo  $\Rightarrow$  'v propo  $\Rightarrow$  bool where
  path-to-refl[intro]: path-to []  $\varphi$   $\varphi$  |
  path-to-l:  $c \in$  binary-connectives  $\vee c = CNot \implies$  wf-conn c ( $\varphi \# l$ )  $\implies$  path-to p  $\varphi$   $\varphi' \implies$ 
    path-to (L#p) (conn c ( $\varphi \# l$ ))  $\varphi'$  |
  path-to-r:  $c \in$  binary-connectives  $\implies$  wf-conn c ( $\psi \# \varphi \# []$ )  $\implies$  path-to p  $\varphi$   $\varphi' \implies$ 
    path-to (R#p) (conn c ( $\psi \# \varphi \# []$ ))  $\varphi'$ 

```

There is a deep link between subformulas and pathes: a (correct) path leads to a subformula and a subformula is associated to a given path.

**lemma** path-to-subformula:

```

  path-to p  $\varphi$   $\varphi' \implies \varphi' \preceq \varphi$ 
apply (induct rule: path-to.induct)
apply simp
apply (metis list.set-intros(1) subformula-into-subformula)
using subformula-trans subformula-in-binary-conn(2) by metis

```

**lemma** subformula-path-exists:

```

  fixes  $\varphi$   $\varphi'$ :: 'v propo
  shows  $\varphi' \preceq \varphi \implies \exists p. \text{path-to } p \varphi \varphi'$ 

```

**proof** (induct rule: subformula.induct)

```

  case subformula-refl
  have path-to []  $\varphi'$   $\varphi'$  by auto
  then show  $\exists p. \text{path-to } p \varphi' \varphi'$  by metis

```

**next**

```

  case (subformula-into-subformula  $\psi$  l c)
  note wf = this(2) and IH = this(4) and  $\psi = \text{this}(1)$ 
  then obtain p where p: path-to p  $\psi$   $\varphi'$  by metis

```

```

  {
    fix x :: 'v
    assume c = CT  $\vee c = CF \vee c = CVar$  x
    then have False using subformula-into-subformula by auto
    then have  $\exists p. \text{path-to } p (\text{conn } c \ l) \varphi'$  by blast
  }

```

**moreover** {

```

  assume c: c = CNot
  then have l = [ $\psi$ ] using wf  $\psi$  wf-conn-Not-decomp by fastforce
  then have path-to (L # p) (conn c l)  $\varphi'$  by (metis c wf-conn-unary p path-to-l)
  then have  $\exists p. \text{path-to } p (\text{conn } c \ l) \varphi'$  by blast

```

}

**moreover** {

```

  assume c: c  $\in$  binary-connectives
  obtain a b where ab: [a, b] = l using subformula-into-subformula c wf-conn-bin-list-length
    list-length2-decomp by metis
  then have a =  $\psi \vee b = \psi$  using  $\psi$  by auto
  then have path-to (L # p) (conn c l)  $\varphi' \vee$  path-to (R # p) (conn c l)  $\varphi'$  using c path-to-l
    path-to-r p ab by (metis wf-conn-binary)
  then have  $\exists p. \text{path-to } p (\text{conn } c \ l) \varphi'$  by blast

```

}

**ultimately show**  $\exists p. \text{path-to } p (\text{conn } c \ l) \varphi'$  **using** connective-cases-arity **by** metis

**qed**



```

fun replace-at :: sign list  $\Rightarrow$  'v propo  $\Rightarrow$  'v propo  $\Rightarrow$  'v propo where
replace-at [] -  $\psi = \psi$  |
replace-at (L # l) (FAnd  $\varphi \varphi'$ )  $\psi = FAnd$  (replace-at l  $\varphi \psi$ )  $\varphi'$  |
replace-at (R # l) (FAnd  $\varphi \varphi'$ )  $\psi = FAnd$   $\varphi$  (replace-at l  $\varphi' \psi$ ) |
replace-at (L # l) (FOr  $\varphi \varphi'$ )  $\psi = FOr$  (replace-at l  $\varphi \psi$ )  $\varphi'$  |
replace-at (R # l) (FOr  $\varphi \varphi'$ )  $\psi = FOr$   $\varphi$  (replace-at l  $\varphi' \psi$ ) |
replace-at (L # l) (FEq  $\varphi \varphi'$ )  $\psi = FEq$  (replace-at l  $\varphi \psi$ )  $\varphi'$  |
replace-at (R # l) (FEq  $\varphi \varphi'$ )  $\psi = FEq$   $\varphi$  (replace-at l  $\varphi' \psi$ ) |
replace-at (L # l) (FImp  $\varphi \varphi'$ )  $\psi = FImp$  (replace-at l  $\varphi \psi$ )  $\varphi'$  |
replace-at (R # l) (FImp  $\varphi \varphi'$ )  $\psi = FImp$   $\varphi$  (replace-at l  $\varphi' \psi$ ) |
replace-at (L # l) (FNot  $\varphi$ )  $\psi = FNot$  (replace-at l  $\varphi \psi$ )

```

## 2.2 Semantics over the Syntax

Given the syntax defined above, we define a semantics, by defining an evaluation function *eval*. This function is the bridge between the logic as we define it here and the built-in logic of Isabelle.

```

fun eval :: ('v  $\Rightarrow$  bool)  $\Rightarrow$  'v propo  $\Rightarrow$  bool (infix  $\models$  50) where
 $\mathcal{A} \models FT = True$  |
 $\mathcal{A} \models FF = False$  |
 $\mathcal{A} \models FVar\ v = (\mathcal{A}\ v)$  |
 $\mathcal{A} \models FNot\ \varphi = (\neg(\mathcal{A} \models \varphi))$  |
 $\mathcal{A} \models FAnd\ \varphi_1\ \varphi_2 = (\mathcal{A} \models \varphi_1 \wedge \mathcal{A} \models \varphi_2)$  |
 $\mathcal{A} \models FOr\ \varphi_1\ \varphi_2 = (\mathcal{A} \models \varphi_1 \vee \mathcal{A} \models \varphi_2)$  |
 $\mathcal{A} \models FImp\ \varphi_1\ \varphi_2 = (\mathcal{A} \models \varphi_1 \longrightarrow \mathcal{A} \models \varphi_2)$  |
 $\mathcal{A} \models FEq\ \varphi_1\ \varphi_2 = (\mathcal{A} \models \varphi_1 \longleftrightarrow \mathcal{A} \models \varphi_2)$ 

```

```

definition evalf (infix  $\models_f$  50) where
evalf  $\varphi \psi = (\forall A. A \models \varphi \longrightarrow A \models \psi)$ 

```

The deduction rule is in the book. And the proof looks like to the one of the book.

**theorem** *deduction-theorem*:

$\varphi \models_f \psi \longleftrightarrow (\forall A. A \models FImp\ \varphi\ \psi)$

**proof**

```

assume H:  $\varphi \models_f \psi$ 
{
  fix A
  have  $A \models FImp\ \varphi\ \psi$ 
  proof (cases  $A \models \varphi$ )
    case True
    then have  $A \models \psi$  using H unfolding evalf-def by metis
    then show  $A \models FImp\ \varphi\ \psi$  by auto
  next
    case False
    then show  $A \models FImp\ \varphi\ \psi$  by auto
  qed
}
then show  $\forall A. A \models FImp\ \varphi\ \psi$  by blast
next
assume A:  $\forall A. A \models FImp\ \varphi\ \psi$ 
show  $\varphi \models_f \psi$ 
proof (rule ccontr)
  assume  $\neg \varphi \models_f \psi$ 
  then obtain A where  $A \models \varphi$  and  $\neg A \models \psi$  using evalf-def by metis

```

```

    then have  $\neg A \models FImp\ \varphi\ \psi$  by auto
    then show False using A by blast
qed

```

A shorter proof:

```

lemma  $\varphi \models_f \psi \longleftrightarrow (\forall A. A \models FImp\ \varphi\ \psi)$ 
  by (simp add: evalf-def)

```

```

definition same-over-set:: ('v  $\Rightarrow$  bool)  $\Rightarrow$  ('v  $\Rightarrow$  bool)  $\Rightarrow$  'v set  $\Rightarrow$  bool where
  same-over-set A B S = ( $\forall c \in S. A\ c = B\ c$ )

```

If two mapping *A* and *B* have the same value over the variables, then the same formula are satisfiable.

```

lemma same-over-set-eval:
  assumes same-over-set A B (vars-of-prop  $\varphi$ )
  shows  $A \models \varphi \longleftrightarrow B \models \varphi$ 
  using assms unfolding same-over-set-def by (induct  $\varphi$ , auto)

end

```