

# Formalisation of Ground Resolution and CDCL in Isabelle/HOL

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<b>theory</b> <i>CDCL-W-Optimal-Model</i>		
<b>imports</b> <i>CDCL.CDCL-W-Abstract-State HOL-Library.Extended-Nat Weidenbach-Book-Base.Explorer</i>		
<b>begin</b>		

## 0.1 CDCL Extensions

A counter-example for the original version from the book has been found (see below). There is no simple fix, except taking complete models.

Based on Dominik Zimmer's thesis, we later reduced the problem of finding partial models to finding total models. We later switched to the more elegant dual rail encoding (thanks to the reviewer).

### 0.1.1 Optimisations

**notation** *image-mset* (**infixr** '# 90')

The initial version was supposed to work on partial models directly. I found a counterexample while writing the proof:

**Nitpicking 0.1.**

**Christoph's book draft 0.1.**  $(M; N; U; k; \top; O) \Rightarrow^{Propagate}$

$(ML^{C \vee L}; N; U; k; \top; O)$

provided  $C \vee L \in (N \cup U)$ ,  $M \models \neg C$ ,  $L$  is undefined in  $M$ .

$(M; N; U; k; \top; O) \Rightarrow^{Decide} (ML^{k+1}; N; U; k+1; \top; O)$

provided  $L$  is undefined in  $M$ , contained in  $N$ .

$(M; N; U; k; \top; O) \Rightarrow^{ConflSat} (M; N; U; k; D; O)$

provided  $D \in (N \cup U)$  and  $M \models \neg D$ .

$(M; N; U; k; \top; O) \Rightarrow^{ConflOpt} (M; N; U; k; \neg M; O)$

provided  $O \neq \epsilon$  and  $\text{cost}(M) \geq \text{cost}(O)$ .

$(ML^{C \vee L}; N; U; k; D; O) \Rightarrow^{Skip} (M; N; U; k; D; O)$

provided  $D \notin \{\top, \perp\}$  and  $\neg L$  does not occur in  $D$ .

$(ML^{C \vee L}; N; U; k; D \vee \neg(L); O) \Rightarrow^{Resolve} (M; N; U; k; D \vee C; O)$

provided  $D$  is of level  $k$ .

$(M_1 K^{i+1} M_2; N; U; k; D \vee L; O) \Rightarrow^{Backtrack} (M_1 L^{D \vee L}; N; U \cup \{D \vee L\}; i; \top; O)$

provided  $L$  is of level  $k$  and  $D$  is of level  $i$ .

$(M; N; U; k; \top; O) \Rightarrow^{Improve} (M; N; U; k; \top; M)$

provided  $M \models N$  and  $O = \epsilon$  or  $\text{cost}(M) < \text{cost}(O)$ .

This calculus does not always find the model with minimum cost. Take for example the following cost function:

$$\text{cost} : \begin{cases} P \rightarrow 3 \\ \neg P \rightarrow 1 \\ Q \rightarrow 1 \\ \neg Q \rightarrow 1 \end{cases}$$

and the clauses  $N = \{P \vee Q\}$ . We can then do the following transitions:

$(\epsilon, N, \emptyset, \top, \infty)$

$\Rightarrow^{Decide} (P^1, N, \emptyset, \top, \infty)$

$\Rightarrow^{Improve} (P^1, N, \emptyset, \top, (P, 3))$

$\Rightarrow^{conflOpt} (P^1, N, \emptyset, \neg P, (P, 3))$

$\Rightarrow^{backtrack} (\neg P^{\neg P}, N, \{\neg P\}, \top, (P, 3))$

$\Rightarrow^{propagate} (\neg P^{\neg P} Q^{P \vee Q}, N, \{\neg P\}, \top, (P, 3))$

$\Rightarrow^{improve} (\neg P^{\neg P} Q^{P \vee Q}, N, \{\neg P\}, \top, (\neg P Q, 2))$

$\Rightarrow^{conflOpt} (\neg P^{\neg P} Q^{P \vee Q}, N, \{\neg P\}, P \vee \neg Q, (\neg P Q, 2))$

$\Rightarrow^{resolve} (\neg P^{\neg P}, N, \{\neg P\}, P, (\neg P Q, 2))$

$\Rightarrow^{resolve} (\epsilon, N, \{\neg P\}, \perp, (\neg P Q, 3))$

However, the optimal model is  $Q$ .

The idea of the proof (explained of the example of the optimising CDCL) is the following:

1. We start with a calculus OCDCL on  $(M, N, U, D, Op)$ .

2. This extended to a state  $(M, N + \text{all-models-of-higher-cost}, U, D, Op)$ .
3. Each transition step of OCDCL is mapped to a step in CDCL over the abstract state. The abstract set of clauses might be unsatisfiable, but we only use it to prove the invariants on the state. Only adding clause cannot be mapped to a transition over the abstract state, but adding clauses does not break the invariants (as long as the additional clauses do not contain duplicate literals).
4. The last proofs are done over CDCLOpt.

We abstract about how the optimisation is done in the locale below: We define a calculus *cdcl-bnb* (for branch-and-bounds). It is parametrised by how the conflicting clauses are generated and the improvement criterion.

We later instantiate it with the optimisation calculus from Weidenbach's book.

### Helper libraries

**lemma** (in  $-$ ) *Neg-atm-of-itself-uminus-iff*:  $\langle \text{Neg } (\text{atm-of } xa) \neq - xa \longleftrightarrow \text{is-neg } xa \rangle$   
 $\langle \text{proof} \rangle$

**lemma** (in  $-$ ) *Pos-atm-of-itself-uminus-iff*:  $\langle \text{Pos } (\text{atm-of } xa) \neq - xa \longleftrightarrow \text{is-pos } xa \rangle$   
 $\langle \text{proof} \rangle$

**definition** *model-on* ::  $\langle 'v \text{ partial-interp} \Rightarrow 'v \text{ clauses} \Rightarrow \text{bool} \rangle$  **where**  
 $\langle \text{model-on } I \ N \longleftrightarrow \text{consistent-interp } I \wedge \text{atm-of } 'I \subseteq \text{atms-of-mm } N \rangle$

### CDCL BNB

**locale** *conflict-driven-clause-learning-with-adding-init-clause-cost<sub>W</sub>-no-state* =  
*state<sub>W</sub>-no-state*  
*state-eq state*  
— functions for the state:  
— access functions:  
*trail init-clss learned-clss conflicting*  
— changing state:  
*cons-trail tl-trail add-learned-cls remove-cls*  
*update-conflicting*  
— get state:  
*init-state*  
**for**  
*state-eq* ::  $'st \Rightarrow 'st \Rightarrow \text{bool}$  (**infix**  $\sim 50$ ) **and**  
*state* ::  $'st \Rightarrow ('v, 'v \text{ clause}) \text{ ann-lits} \times 'v \text{ clauses} \times 'v \text{ clauses} \times 'v \text{ clause option} \times 'a \times 'b$  **and**  
*trail* ::  $'st \Rightarrow ('v, 'v \text{ clause}) \text{ ann-lits}$  **and**  
*init-clss* ::  $'st \Rightarrow 'v \text{ clauses}$  **and**  
*learned-clss* ::  $'st \Rightarrow 'v \text{ clauses}$  **and**  
*conflicting* ::  $'st \Rightarrow 'v \text{ clause option}$  **and**  
  
*cons-trail* ::  $('v, 'v \text{ clause}) \text{ ann-lit} \Rightarrow 'st \Rightarrow 'st$  **and**  
*tl-trail* ::  $'st \Rightarrow 'st$  **and**  
*add-learned-cls* ::  $'v \text{ clause} \Rightarrow 'st \Rightarrow 'st$  **and**  
*remove-cls* ::  $'v \text{ clause} \Rightarrow 'st \Rightarrow 'st$  **and**  
*update-conflicting* ::  $'v \text{ clause option} \Rightarrow 'st \Rightarrow 'st$  **and**

```

    init-state :: 'v clauses  $\Rightarrow$  'st +
fixes
    update-weight-information :: ('v, 'v clause) ann-lits  $\Rightarrow$  'st  $\Rightarrow$  'st and
    is-improving-int :: ('v, 'v clause) ann-lits  $\Rightarrow$  ('v, 'v clause) ann-lits  $\Rightarrow$  'v clauses  $\Rightarrow$  'a  $\Rightarrow$  bool and
    conflicting-clauses :: 'v clauses  $\Rightarrow$  'a  $\Rightarrow$  'v clauses and
    weight :: 'st  $\Rightarrow$  'a
begin

abbreviation is-improving where
     $\langle is-improving\ M\ M'\ S \equiv is-improving-int\ M\ M'\ (init-clss\ S)\ (weight\ S) \rangle$ 

definition additional-info' :: 'st  $\Rightarrow$  'b where
    additional-info' S = ( $\lambda(-, -, -, -, -, D). D$ ). D (state S)

definition conflicting-clss :: 'st  $\Rightarrow$  'v literal multiset multiset where
     $\langle conflicting-clss\ S = conflicting-clauses\ (init-clss\ S)\ (weight\ S) \rangle$ 

definition abs-state
    :: 'st  $\Rightarrow$  ('v, 'v clause) ann-lit list  $\times$  'v clauses  $\times$  'v clauses  $\times$  'v clause option
where
     $\langle abs-state\ S = (trail\ S,\ init-clss\ S + conflicting-clss\ S,\ learned-clss\ S,$ 
      conflicting S)  $\rangle$ 

end

locale conflict-driven-clause-learning-with-adding-init-clause-costW-ops =
  conflict-driven-clause-learning-with-adding-init-clause-costW-no-state
  state-eq state
  — functions for the state:
  — access functions:
  trail init-clss learned-clss conflicting
  — changing state:
  cons-trail tl-trail add-learned-cls remove-cls
  update-conflicting

  — get state:
  init-state
  — Adding a clause:
  update-weight-information is-improving-int conflicting-clauses weight
for
  state-eq :: 'st  $\Rightarrow$  'st  $\Rightarrow$  bool (infix  $\sim 50$ ) and
  state :: 'st  $\Rightarrow$  ('v, 'v clause) ann-lits  $\times$  'v clauses  $\times$  'v clauses  $\times$  'v clause option  $\times$ 
    'a  $\times$  'b and
  trail :: 'st  $\Rightarrow$  ('v, 'v clause) ann-lits and
  init-clss :: 'st  $\Rightarrow$  'v clauses and
  learned-clss :: 'st  $\Rightarrow$  'v clauses and
  conflicting :: 'st  $\Rightarrow$  'v clause option and

  cons-trail :: ('v, 'v clause) ann-lit  $\Rightarrow$  'st  $\Rightarrow$  'st and
  tl-trail :: 'st  $\Rightarrow$  'st and
  add-learned-cls :: 'v clause  $\Rightarrow$  'st  $\Rightarrow$  'st and
  remove-cls :: 'v clause  $\Rightarrow$  'st  $\Rightarrow$  'st and
  update-conflicting :: 'v clause option  $\Rightarrow$  'st  $\Rightarrow$  'st and

  init-state :: 'v clauses  $\Rightarrow$  'st and
  update-weight-information :: ('v, 'v clause) ann-lits  $\Rightarrow$  'st  $\Rightarrow$  'st and

```

*is-improving-int* :: (*'v*, *'v clause*) *ann-lits*  $\Rightarrow$  (*'v*, *'v clause*) *ann-lits*  $\Rightarrow$  *'v clauses*  $\Rightarrow$   
*'a*  $\Rightarrow$  *bool* **and**  
*conflicting-clauses* :: *'v clauses*  $\Rightarrow$  *'a*  $\Rightarrow$  *'v clauses* **and**  
*weight* ::  $\langle 'st \Rightarrow 'a \rangle +$   
**assumes**  
*state-prop'*:  
 $\langle \text{state } S = (\text{trail } S, \text{init-clss } S, \text{learned-clss } S, \text{conflicting } S, \text{weight } S, \text{additional-info } S) \rangle$   
**and**  
*update-weight-information*:  
 $\langle \text{state } S = (M, N, U, C, w, \text{other}) \Rightarrow$   
 $\exists w'. \text{state } (\text{update-weight-information } T S) = (M, N, U, C, w', \text{other}) \rangle$  **and**  
*atms-of-conflicting-clss*:  
 $\langle \text{atms-of-mm } (\text{conflicting-clss } S) \subseteq \text{atms-of-mm } (\text{init-clss } S) \rangle$  **and**  
*distinct-mset-mset-conflicting-clss*:  
 $\langle \text{distinct-mset-mset } (\text{conflicting-clss } S) \rangle$  **and**  
*conflicting-clss-update-weight-information-mono*:  
 $\langle \text{cdcl}_W\text{-restart-mset.cdcl}_W\text{-all-struct-inv } (\text{abs-state } S) \Rightarrow \text{is-improving } M M' S \Rightarrow$   
 $\text{conflicting-clss } S \subseteq \# \text{conflicting-clss } (\text{update-weight-information } M' S) \rangle$   
**and**  
*conflicting-clss-update-weight-information-in*:  
 $\langle \text{is-improving } M M' S \Rightarrow \text{negate-ann-lits } M' \in \# \text{conflicting-clss } (\text{update-weight-information } M' S) \rangle$   
**begin**

**sublocale** *conflict-driven-clause-learning<sub>W</sub>* **where**

*state-eq* = *state-eq* **and**  
*state* = *state* **and**  
*trail* = *trail* **and**  
*init-clss* = *init-clss* **and**  
*learned-clss* = *learned-clss* **and**  
*conflicting* = *conflicting* **and**  
*cons-trail* = *cons-trail* **and**  
*tl-trail* = *tl-trail* **and**  
*add-learned-clss* = *add-learned-clss* **and**  
*remove-clss* = *remove-clss* **and**  
*update-conflicting* = *update-conflicting* **and**  
*init-state* = *init-state*  
 $\langle \text{proof} \rangle$

**declare** *reduce-trail-to-skip-beginning*[*simp*]

**lemma** *state-eq-weight*[*state-simp*, *simp*]:  $\langle S \sim T \Rightarrow \text{weight } S = \text{weight } T \rangle$   
 $\langle \text{proof} \rangle$

**lemma** *conflicting-clause-state-eq*[*state-simp*, *simp*]:  
 $\langle S \sim T \Rightarrow \text{conflicting-clss } S = \text{conflicting-clss } T \rangle$   
 $\langle \text{proof} \rangle$

**lemma**

*weight-cons-trail*[*simp*]:  
 $\langle \text{weight } (\text{cons-trail } L S) = \text{weight } S \rangle$  **and**  
*weight-update-conflicting*[*simp*]:  
 $\langle \text{weight } (\text{update-conflicting } C S) = \text{weight } S \rangle$  **and**  
*weight-tl-trail*[*simp*]:  
 $\langle \text{weight } (\text{tl-trail } S) = \text{weight } S \rangle$  **and**

*weight-add-learned-cls*[simp]:  
 ⟨weight (add-learned-cls D S) = weight S⟩  
 ⟨proof⟩

**lemma** *update-weight-information-simp*[simp]:  
 ⟨trail (update-weight-information C S) = trail S⟩  
 ⟨init-clss (update-weight-information C S) = init-clss S⟩  
 ⟨learned-clss (update-weight-information C S) = learned-clss S⟩  
 ⟨clauses (update-weight-information C S) = clauses S⟩  
 ⟨backtrack-lvl (update-weight-information C S) = backtrack-lvl S⟩  
 ⟨conflicting (update-weight-information C S) = conflicting S⟩  
 ⟨proof⟩

**lemma**  
*conflicting-clss-cons-trail*[simp]: ⟨conflicting-clss (cons-trail K S) = conflicting-clss S⟩ **and**  
*conflicting-clss-tl-trail*[simp]: ⟨conflicting-clss (tl-trail S) = conflicting-clss S⟩ **and**  
*conflicting-clss-add-learned-cls*[simp]:  
 ⟨conflicting-clss (add-learned-cls D S) = conflicting-clss S⟩ **and**  
*conflicting-clss-update-conflicting*[simp]:  
 ⟨conflicting-clss (update-conflicting E S) = conflicting-clss S⟩  
 ⟨proof⟩

**inductive** *conflict-opt* :: 'st ⇒ 'st ⇒ bool **for** S T :: 'st **where**  
*conflict-opt-rule*:  
 ⟨conflict-opt S T⟩  
**if**  
 ⟨negate-ann-lits (trail S) ∈# conflicting-clss S⟩  
 ⟨conflicting S = None⟩  
 ⟨T ∼ update-conflicting (Some (negate-ann-lits (trail S))) S⟩

**inductive-cases** *conflict-optE*: ⟨conflict-opt S T⟩

**inductive** *improvev* :: 'st ⇒ 'st ⇒ bool **for** S :: 'st **where**  
*improve-rule*:  
 ⟨improvev S T⟩  
**if**  
 ⟨is-improving (trail S) M' S⟩ **and**  
 ⟨conflicting S = None⟩ **and**  
 ⟨T ∼ update-weight-information M' S⟩

**inductive-cases** *improveE*: ⟨improvev S T⟩

**lemma** *invs-update-weight-information*[simp]:  
 ⟨no-strange-atm (update-weight-information C S) = (no-strange-atm S)⟩  
 ⟨cdcl<sub>W</sub>-M-level-inv (update-weight-information C S) = cdcl<sub>W</sub>-M-level-inv S⟩  
 ⟨distinct-cdcl<sub>W</sub>-state (update-weight-information C S) = distinct-cdcl<sub>W</sub>-state S⟩  
 ⟨cdcl<sub>W</sub>-conflicting (update-weight-information C S) = cdcl<sub>W</sub>-conflicting S⟩  
 ⟨cdcl<sub>W</sub>-learned-clause (update-weight-information C S) = cdcl<sub>W</sub>-learned-clause S⟩  
 ⟨proof⟩

**lemma** *conflict-opt-cdcl<sub>W</sub>-all-struct-inv*:  
**assumes** ⟨conflict-opt S T⟩ **and**  
 inv: ⟨cdcl<sub>W</sub>-restart-mset.cdcl<sub>W</sub>-all-struct-inv (abs-state S)⟩  
**shows** ⟨cdcl<sub>W</sub>-restart-mset.cdcl<sub>W</sub>-all-struct-inv (abs-state T)⟩  
 ⟨proof⟩



**lemma** *reduce-trail-to-update-weight-information*[simp]:

$\langle \text{trail } (\text{reduce-trail-to } M \ (\text{update-weight-information } M' \ S)) = \text{trail } (\text{reduce-trail-to } M \ S) \rangle$   
 $\langle \text{proof} \rangle$

**lemma** *additional-info-weight-additional-info'*:  $\langle \text{additional-info } S = (\text{weight } S, \text{additional-info}' \ S) \rangle$

$\langle \text{proof} \rangle$

**lemma**

*weight-reduce-trail-to*[simp]:  $\langle \text{weight } (\text{reduce-trail-to } M \ S) = \text{weight } S \rangle$  **and**  
*additional-info'-reduce-trail-to*[simp]:  $\langle \text{additional-info}' (\text{reduce-trail-to } M \ S) = \text{additional-info}' \ S \rangle$   
 $\langle \text{proof} \rangle$

**lemma** *conflicting-clss-reduce-trail-to*[simp]:  $\langle \text{conflicting-clss } (\text{reduce-trail-to } M \ S) = \text{conflicting-clss } S \rangle$

$\langle \text{proof} \rangle$

**lemma** *improve-cdcl<sub>W</sub>-all-struct-inv*:

**assumes**  $\langle \text{improvep } S \ T \rangle$  **and**

$\text{inv: } \langle \text{cdcl}_W\text{-restart-mset.cdcl}_W\text{-all-struct-inv } (\text{abs-state } S) \rangle$

**shows**  $\langle \text{cdcl}_W\text{-restart-mset.cdcl}_W\text{-all-struct-inv } (\text{abs-state } T) \rangle$

$\langle \text{proof} \rangle$

*cdcl<sub>W</sub>-restart-mset.cdcl<sub>W</sub>-stgy-invariant* is too restrictive: *cdcl<sub>W</sub>-restart-mset.no-smaller-conf* is needed but does not hold (at least, if cannot ensure that conflicts are found as soon as possible).

**lemma** *improve-no-smaller-conflict*:

**assumes**  $\langle \text{improvep } S \ T \rangle$  **and**

$\langle \text{no-smaller-conf } S \rangle$

**shows**  $\langle \text{no-smaller-conf } T \rangle$  **and**  $\langle \text{conflict-is-false-with-level } T \rangle$

$\langle \text{proof} \rangle$

**lemma** *conflict-opt-no-smaller-conflict*:

**assumes**  $\langle \text{conflict-opt } S \ T \rangle$  **and**

$\langle \text{no-smaller-conf } S \rangle$

**shows**  $\langle \text{no-smaller-conf } T \rangle$  **and**  $\langle \text{conflict-is-false-with-level } T \rangle$

$\langle \text{proof} \rangle$

**fun** *no-conf-prop-impr* **where**

$\langle \text{no-conf-prop-impr } S \longleftrightarrow$

$\text{no-step propagate } S \wedge \text{no-step conflict } S \rangle$

We use a slightly generalised form of backtrack to make conflict clause minimisation possible.

**inductive** *obacktrack* ::  $'st \Rightarrow 'st \Rightarrow \text{bool}$  **for**  $S :: 'st$  **where**

*obacktrack-rule*:  $\langle$

$\text{conflicting } S = \text{Some } (\text{add-mset } L \ D) \implies$

$(\text{Decided } K \ \# \ M1, \ M2) \in \text{set } (\text{get-all-ann-decomposition } (\text{trail } S)) \implies$

$\text{get-level } (\text{trail } S) \ L = \text{backtrack-lvl } S \implies$

$\text{get-level } (\text{trail } S) \ L = \text{get-maximum-level } (\text{trail } S) \ (\text{add-mset } L \ D') \implies$

$\text{get-maximum-level } (\text{trail } S) \ D' \equiv i \implies$

$\text{get-level } (\text{trail } S) \ K = i + 1 \implies$

$D' \subseteq \# \ D \implies$

$\text{clauses } S + \text{conflicting-clss } S \models_{\text{pm}} \text{add-mset } L \ D' \implies$

$T \sim \text{cons-trail } (\text{Propagated } L \ (\text{add-mset } L \ D'))$

$(\text{reduce-trail-to } M1$

$(\text{add-learned-cls } (\text{add-mset } L \ D')$

$(\text{update-conflicting } \text{None } S))) \implies$

$\text{obacktrack } S \ T \rangle$

**inductive-cases** *obacktrackE*:  $\langle \text{obacktrack } S \ T \rangle$

**inductive** *cdcl-bnb-bj* ::  $'st \Rightarrow 'st \Rightarrow \text{bool}$  **where**

*skip*:  $\text{skip } S \ S' \Longrightarrow \text{cdcl-bnb-bj } S \ S' \mid$

*resolve*:  $\text{resolve } S \ S' \Longrightarrow \text{cdcl-bnb-bj } S \ S' \mid$

*backtrack*:  $\text{obacktrack } S \ S' \Longrightarrow \text{cdcl-bnb-bj } S \ S'$

**inductive-cases** *cdcl-bnb-bjE*:  $\text{cdcl-bnb-bj } S \ T$

**inductive** *ocdcl<sub>W</sub>-o* ::  $'st \Rightarrow 'st \Rightarrow \text{bool}$  **for**  $S :: 'st$  **where**

*decide*:  $\text{decide } S \ S' \Longrightarrow \text{ocdcl}_W\text{-o } S \ S' \mid$

*bj*:  $\text{cdcl-bnb-bj } S \ S' \Longrightarrow \text{ocdcl}_W\text{-o } S \ S'$

**inductive** *cdcl-bnb* ::  $\langle 'st \Rightarrow 'st \Rightarrow \text{bool} \rangle$  **for**  $S :: 'st$  **where**

*cdcl-conflict*:  $\text{conflict } S \ S' \Longrightarrow \text{cdcl-bnb } S \ S' \mid$

*cdcl-propagate*:  $\text{propagate } S \ S' \Longrightarrow \text{cdcl-bnb } S \ S' \mid$

*cdcl-improve*:  $\text{improvep } S \ S' \Longrightarrow \text{cdcl-bnb } S \ S' \mid$

*cdcl-conflict-opt*:  $\text{conflict-opt } S \ S' \Longrightarrow \text{cdcl-bnb } S \ S' \mid$

*cdcl-other'*:  $\text{ocdcl}_W\text{-o } S \ S' \Longrightarrow \text{cdcl-bnb } S \ S'$

**inductive** *cdcl-bnb-stgy* ::  $\langle 'st \Rightarrow 'st \Rightarrow \text{bool} \rangle$  **for**  $S :: 'st$  **where**

*cdcl-bnb-conflict*:  $\text{conflict } S \ S' \Longrightarrow \text{cdcl-bnb-stgy } S \ S' \mid$

*cdcl-bnb-propagate*:  $\text{propagate } S \ S' \Longrightarrow \text{cdcl-bnb-stgy } S \ S' \mid$

*cdcl-bnb-improve*:  $\text{improvep } S \ S' \Longrightarrow \text{cdcl-bnb-stgy } S \ S' \mid$

*cdcl-bnb-conflict-opt*:  $\text{conflict-opt } S \ S' \Longrightarrow \text{cdcl-bnb-stgy } S \ S' \mid$

*cdcl-bnb-other'*:  $\text{ocdcl}_W\text{-o } S \ S' \Longrightarrow \text{no-conflict-prop-impr } S \Longrightarrow \text{cdcl-bnb-stgy } S \ S'$

**lemma** *ocdcl<sub>W</sub>-o-induct*[consumes 1, case-names *decide skip resolve backtrack*]:

**fixes**  $S :: 'st$

**assumes** *cdcl<sub>W</sub>-restart*:  $\text{ocdcl}_W\text{-o } S \ T$  **and**

*decideH*:  $\bigwedge L \ T. \text{conflicting } S = \text{None} \Longrightarrow \text{undefined-lit } (\text{trail } S) \ L \Longrightarrow$

$\text{atm-of } L \in \text{atms-of-mm } (\text{init-clss } S) \Longrightarrow$

$T \sim \text{cons-trail } (\text{Decided } L) \ S \Longrightarrow$

$P \ S \ T$  **and**

*skipH*:  $\bigwedge L \ C' \ M \ E \ T.$

$\text{trail } S = \text{Propagated } L \ C' \ \# \ M \Longrightarrow$

$\text{conflicting } S = \text{Some } E \Longrightarrow$

$-L \notin \# \ E \Longrightarrow E \neq \{\#\} \Longrightarrow$

$T \sim \text{tl-trail } S \Longrightarrow$

$P \ S \ T$  **and**

*resolveH*:  $\bigwedge L \ E \ M \ D \ T.$

$\text{trail } S = \text{Propagated } L \ E \ \# \ M \Longrightarrow$

$L \in \# \ E \Longrightarrow$

$\text{hd-trail } S = \text{Propagated } L \ E \Longrightarrow$

$\text{conflicting } S = \text{Some } D \Longrightarrow$

$-L \in \# \ D \Longrightarrow$

$\text{get-maximum-level } (\text{trail } S) \ ((\text{remove1-mset } (-L) \ D)) = \text{backtrack-lvl } S \Longrightarrow$

$T \sim \text{update-conflicting}$

$(\text{Some } (\text{resolve-clss } L \ D \ E)) \ (\text{tl-trail } S) \Longrightarrow$

$P \ S \ T$  **and**

*backtrackH*:  $\bigwedge L \ D \ K \ i \ M1 \ M2 \ T \ D'.$

$\text{conflicting } S = \text{Some } (\text{add-mset } L \ D) \Longrightarrow$

$(\text{Decided } K \ \# \ M1, \ M2) \in \text{set } (\text{get-all-ann-decomposition } (\text{trail } S)) \Longrightarrow$

$\text{get-level } (\text{trail } S) \ L = \text{backtrack-lvl } S \Longrightarrow$

$\text{get-level } (\text{trail } S) \ L = \text{get-maximum-level } (\text{trail } S) \ (\text{add-mset } L \ D') \Longrightarrow$

$get\_maximum\_level\ (trail\ S)\ D' \equiv i \implies$   
 $get\_level\ (trail\ S)\ K = i+1 \implies$   
 $D' \subseteq \# D \implies$   
 $clauses\ S + conflicting\_clss\ S \models_{pm} add\_mset\ L\ D' \implies$   
 $T \sim cons\_trail\ (Propagated\ L\ (add\_mset\ L\ D'))$   
 $(reduce\_trail\_to\ M1$   
 $(add\_learned\_cls\ (add\_mset\ L\ D')$   
 $(update\_conflicting\ None\ S))) \implies$   
 $P\ S\ T$   
**shows**  $P\ S\ T$   
 $\langle proof \rangle$

**lemma** *obacktrack-backtrackg*:  $\langle obacktrack\ S\ T \implies backtrackg\ S\ T \rangle$   
 $\langle proof \rangle$

## Plugging into normal CDCL

**lemma** *cdcl-bnb-no-more-init-clss*:  
 $\langle cdcl\_bnb\ S\ S' \implies init\_clss\ S = init\_clss\ S' \rangle$   
 $\langle proof \rangle$

**lemma** *rtrancpl-cdcl-bnb-no-more-init-clss*:  
 $\langle cdcl\_bnb^{**}\ S\ S' \implies init\_clss\ S = init\_clss\ S' \rangle$   
 $\langle proof \rangle$

**lemma** *conflict-opt-conflict*:  
 $\langle conflict\_opt\ S\ T \implies cdcl_W\text{-restart-mset.conflict}\ (abs\_state\ S)\ (abs\_state\ T) \rangle$   
 $\langle proof \rangle$

**lemma** *conflict-conflict*:  
 $\langle conflict\ S\ T \implies cdcl_W\text{-restart-mset.conflict}\ (abs\_state\ S)\ (abs\_state\ T) \rangle$   
 $\langle proof \rangle$

**lemma** *propagate-propagate*:  
 $\langle propagate\ S\ T \implies cdcl_W\text{-restart-mset.propagate}\ (abs\_state\ S)\ (abs\_state\ T) \rangle$   
 $\langle proof \rangle$

**lemma** *decide-decide*:  
 $\langle decide\ S\ T \implies cdcl_W\text{-restart-mset.decide}\ (abs\_state\ S)\ (abs\_state\ T) \rangle$   
 $\langle proof \rangle$

**lemma** *skip-skip*:  
 $\langle skip\ S\ T \implies cdcl_W\text{-restart-mset.skip}\ (abs\_state\ S)\ (abs\_state\ T) \rangle$   
 $\langle proof \rangle$

**lemma** *resolve-resolve*:  
 $\langle resolve\ S\ T \implies cdcl_W\text{-restart-mset.resolve}\ (abs\_state\ S)\ (abs\_state\ T) \rangle$   
 $\langle proof \rangle$

**lemma** *backtrack-backtrack*:  
 $\langle obacktrack\ S\ T \implies cdcl_W\text{-restart-mset.backtrack}\ (abs\_state\ S)\ (abs\_state\ T) \rangle$   
 $\langle proof \rangle$

**lemma** *ocdcl\_W-o-all-rules-induct*[consumes 1, case-names decide backtrack skip resolve]:  
**fixes**  $S\ T :: 'st$

**assumes**  
 $ocdcl_W-o\ S\ T$  **and**  
 $\bigwedge T. decide\ S\ T \implies P\ S\ T$  **and**  
 $\bigwedge T. obacktrack\ S\ T \implies P\ S\ T$  **and**  
 $\bigwedge T. skip\ S\ T \implies P\ S\ T$  **and**  
 $\bigwedge T. resolve\ S\ T \implies P\ S\ T$   
**shows**  $P\ S\ T$   
 $\langle proof \rangle$

**lemma**  $cdcl_W-o-cdcl_W-o$ :  
 $\langle ocdcl_W-o\ S\ S' \implies cdcl_W-restart-mset.cdcl_W-o\ (abs-state\ S)\ (abs-state\ S') \rangle$   
 $\langle proof \rangle$

**lemma**  $cdcl-bnb-stgy-all-struct-inv$ :  
**assumes**  $\langle cdcl-bnb\ S\ T \rangle$  **and**  $\langle cdcl_W-restart-mset.cdcl_W-all-struct-inv\ (abs-state\ S) \rangle$   
**shows**  $\langle cdcl_W-restart-mset.cdcl_W-all-struct-inv\ (abs-state\ T) \rangle$   
 $\langle proof \rangle$

**lemma**  $rtrancpl-cdcl-bnb-stgy-all-struct-inv$ :  
**assumes**  $\langle cdcl-bnb^{**}\ S\ T \rangle$  **and**  $\langle cdcl_W-restart-mset.cdcl_W-all-struct-inv\ (abs-state\ S) \rangle$   
**shows**  $\langle cdcl_W-restart-mset.cdcl_W-all-struct-inv\ (abs-state\ T) \rangle$   
 $\langle proof \rangle$

**definition**  $cdcl-bnb-struct-invs :: \langle 'st \Rightarrow bool \rangle$  **where**  
 $\langle cdcl-bnb-struct-invs\ S \longleftrightarrow$   
 $atms-of-mm\ (conflicting-cls\ S) \subseteq atms-of-mm\ (init-cls\ S) \rangle$

**lemma**  $cdcl-bnb-cdcl-bnb-struct-invs$ :  
 $\langle cdcl-bnb\ S\ T \implies cdcl-bnb-struct-invs\ S \implies cdcl-bnb-struct-invs\ T \rangle$   
 $\langle proof \rangle$

**lemma**  $rtrancpl-cdcl-bnb-cdcl-bnb-struct-invs$ :  
 $\langle cdcl-bnb^{**}\ S\ T \implies cdcl-bnb-struct-invs\ S \implies cdcl-bnb-struct-invs\ T \rangle$   
 $\langle proof \rangle$

**lemma**  $cdcl-bnb-stgy-cdcl-bnb$ :  $\langle cdcl-bnb-stgy\ S\ T \implies cdcl-bnb\ S\ T \rangle$   
 $\langle proof \rangle$

**lemma**  $rtrancpl-cdcl-bnb-stgy-cdcl-bnb$ :  $\langle cdcl-bnb-stgy^{**}\ S\ T \implies cdcl-bnb^{**}\ S\ T \rangle$   
 $\langle proof \rangle$

The following does *not* hold, because we cannot guarantee the absence of conflict of smaller level after *improve* and *conflict-opt*.

**lemma**  $cdcl-bnb-all-stgy-inv$ :  
**assumes**  $\langle cdcl-bnb\ S\ T \rangle$  **and**  $\langle cdcl_W-restart-mset.cdcl_W-all-struct-inv\ (abs-state\ S) \rangle$  **and**  
 $\langle cdcl_W-restart-mset.cdcl_W-stgy-invariant\ (abs-state\ S) \rangle$   
**shows**  $\langle cdcl_W-restart-mset.cdcl_W-stgy-invariant\ (abs-state\ T) \rangle$   
 $\langle proof \rangle$

**lemma**  $skip-conflict-is-false-with-level$ :  
**assumes**  $\langle skip\ S\ T \rangle$  **and**  
 $struct-inv: \langle cdcl_W-restart-mset.cdcl_W-all-struct-inv\ (abs-state\ S) \rangle$  **and**  
 $confl-inv: \langle conflict-is-false-with-level\ S \rangle$   
**shows**  $\langle conflict-is-false-with-level\ T \rangle$   
 $\langle proof \rangle$

**lemma** *propagate-conflict-is-false-with-level*:  
**assumes**  $\langle \text{propagate } S \ T \rangle$  **and**  
 $\text{struct-inv: } \langle \text{cdcl}_W\text{-restart-mset.cdcl}_W\text{-all-struct-inv } (\text{abs-state } S) \rangle$  **and**  
 $\text{confl-inv: } \langle \text{conflict-is-false-with-level } S \rangle$   
**shows**  $\langle \text{conflict-is-false-with-level } T \rangle$   
 $\langle \text{proof} \rangle$

**lemma** *cdcl<sub>W</sub>-o-conflict-is-false-with-level*:  
**assumes**  $\langle \text{cdcl}_W\text{-o } S \ T \rangle$  **and**  
 $\text{struct-inv: } \langle \text{cdcl}_W\text{-restart-mset.cdcl}_W\text{-all-struct-inv } (\text{abs-state } S) \rangle$  **and**  
 $\text{confl-inv: } \langle \text{conflict-is-false-with-level } S \rangle$   
**shows**  $\langle \text{conflict-is-false-with-level } T \rangle$   
 $\langle \text{proof} \rangle$

**lemma** *cdcl<sub>W</sub>-o-no-smaller-confl*:  
**assumes**  $\langle \text{cdcl}_W\text{-o } S \ T \rangle$  **and**  
 $\text{struct-inv: } \langle \text{cdcl}_W\text{-restart-mset.cdcl}_W\text{-all-struct-inv } (\text{abs-state } S) \rangle$  **and**  
 $\text{confl-inv: } \langle \text{no-smaller-confl } S \rangle$  **and**  
 $\text{lev: } \langle \text{conflict-is-false-with-level } S \rangle$  **and**  
 $\text{n-s: } \langle \text{no-confl-prop-impr } S \rangle$   
**shows**  $\langle \text{no-smaller-confl } T \rangle$   
 $\langle \text{proof} \rangle$

**declare** *cdcl<sub>W</sub>-restart-mset.conflict-is-false-with-level-def* [simp del]

**lemma** *improve-conflict-is-false-with-level*:  
**assumes**  $\langle \text{improvep } S \ T \rangle$  **and**  $\langle \text{conflict-is-false-with-level } S \rangle$   
**shows**  $\langle \text{conflict-is-false-with-level } T \rangle$   
 $\langle \text{proof} \rangle$

**declare** *conflict-is-false-with-level-def*[simp del]

**lemma** *trail-trail* [simp]:  
 $\langle \text{CDCL-W-Abstract-State.trail } (\text{abs-state } S) = \text{trail } S \rangle$   
 $\langle \text{proof} \rangle$

**lemma** [simp]:  
 $\langle \text{CDCL-W-Abstract-State.trail } (\text{cdcl}_W\text{-restart-mset.reduce-trail-to } M \ (\text{abs-state } S)) =$   
 $\text{trail } (\text{reduce-trail-to } M \ S) \rangle$   
 $\langle \text{proof} \rangle$

**lemma** [simp]:  
 $\langle \text{CDCL-W-Abstract-State.trail } (\text{cdcl}_W\text{-restart-mset.reduce-trail-to } M \ (\text{abs-state } S)) =$   
 $\text{trail } (\text{reduce-trail-to } M \ S) \rangle$   
 $\langle \text{proof} \rangle$

**lemma** *cdcl<sub>W</sub>-M-level-inv-cdcl<sub>W</sub>-M-level-inv*[iff]:  
 $\langle \text{cdcl}_W\text{-restart-mset.cdcl}_W\text{-M-level-inv } (\text{abs-state } S) = \text{cdcl}_W\text{-M-level-inv } S \rangle$   
 $\langle \text{proof} \rangle$

**lemma** *obacktrack-state-eq-compatible*:  
**assumes**  
 $\text{bt: } \text{obacktrack } S \ T$  **and**  
 $\text{SS': } S \sim S'$  **and**  
 $\text{TT': } T \sim T'$   
**shows**  $\text{obacktrack } S' \ T'$

$\langle \text{proof} \rangle$

**lemma** *ocdcl<sub>W</sub>-o-no-smaller-confl-inv:*

**fixes**  $S S' :: 'st$

**assumes**

*ocdcl<sub>W</sub>-o*  $S S'$  **and**

*n-s: no-step conflict*  $S$  **and**

*lev: cdcl<sub>W</sub>-restart-mset.cdcl<sub>W</sub>-all-struct-inv* (*abs-state*  $S$ ) **and**

*max-lev: conflict-is-false-with-level*  $S$  **and**

*smaller: no-smaller-confl*  $S$

**shows** *no-smaller-confl*  $S'$

$\langle \text{proof} \rangle$

**lemma** *cdcl-bnb-stgy-no-smaller-confl:*

**assumes**  $\langle \text{cdcl-bnb-stgy } S T \rangle$  **and**

$\langle \text{cdcl}_W\text{-restart-mset.cdcl}_W\text{-all-struct-inv (abs-state } S) \rangle$  **and**

$\langle \text{no-smaller-confl } S \rangle$  **and**

$\langle \text{conflict-is-false-with-level } S \rangle$

**shows**  $\langle \text{no-smaller-confl } T \rangle$

$\langle \text{proof} \rangle$

**lemma** *ocdcl<sub>W</sub>-o-conflict-is-false-with-level-inv:*

**assumes**

*ocdcl<sub>W</sub>-o*  $S S'$  **and**

*lev: cdcl<sub>W</sub>-restart-mset.cdcl<sub>W</sub>-all-struct-inv* (*abs-state*  $S$ ) **and**

*confl-inv: conflict-is-false-with-level*  $S$

**shows** *conflict-is-false-with-level*  $S'$

$\langle \text{proof} \rangle$

**lemma** *cdcl-bnb-stgy-conflict-is-false-with-level:*

**assumes**  $\langle \text{cdcl-bnb-stgy } S T \rangle$  **and**

$\langle \text{cdcl}_W\text{-restart-mset.cdcl}_W\text{-all-struct-inv (abs-state } S) \rangle$  **and**

$\langle \text{no-smaller-confl } S \rangle$  **and**

$\langle \text{conflict-is-false-with-level } S \rangle$

**shows**  $\langle \text{conflict-is-false-with-level } T \rangle$

$\langle \text{proof} \rangle$

**lemma** *decided-cons-eq-append-decide-cons:*  $\langle \text{Decided } L \# MM = M' @ \text{Decided } K \# M \longleftrightarrow$

$(M' \neq [] \wedge \text{hd } M' = \text{Decided } L \wedge MM = \text{tl } M' @ \text{Decided } K \# M) \vee$

$(M' = [] \wedge L = K \wedge MM = M) \rangle$

$\langle \text{proof} \rangle$

**lemma** *either-all-false-or-earliest-decomposition:*

**shows**  $\langle (\forall K K'. L = K' @ K \longrightarrow \neg P K) \vee$

$(\exists L' L''. L = L'' @ L' \wedge P L' \wedge (\forall K K'. L' = K' @ K \longrightarrow K' \neq [] \longrightarrow \neg P K)) \rangle$

$\langle \text{proof} \rangle$

**lemma** *trail-is-improving-Ex-improve:*

**assumes** *confl:*  $\langle \text{conflicting } S = \text{None} \rangle$  **and**

*imp:*  $\langle \text{is-improving (trail } S) M' S \rangle$

**shows**  $\langle \text{Ex (improvep } S) \rangle$

$\langle \text{proof} \rangle$

**definition** *cdcl-bnb-stgy-inv*  $:: 'st \Rightarrow \text{bool}$  **where**

$\langle \text{cdcl-bnb-stgy-inv } S \longleftrightarrow \text{conflict-is-false-with-level } S \wedge \text{no-smaller-confl } S \rangle$

**lemma** *cdcl-bnb-stgy-invD*:

**shows**  $\langle \text{cdcl-bnb-stgy-inv } S \longleftrightarrow \text{cdcl}_W\text{-stgy-invariant } S \rangle$

$\langle \text{proof} \rangle$

**lemma** *cdcl-bnb-stgy-stgy-inv*:

$\langle \text{cdcl-bnb-stgy } S \ T \implies \text{cdcl}_W\text{-restart-mset.cdcl}_W\text{-all-struct-inv } (\text{abs-state } S) \implies$

$\text{cdcl-bnb-stgy-inv } S \implies \text{cdcl-bnb-stgy-inv } T \rangle$

$\langle \text{proof} \rangle$

**lemma** *rtrancp-cdcl-bnb-stgy-stgy-inv*:

$\langle \text{cdcl-bnb-stgy}^{**} S \ T \implies \text{cdcl}_W\text{-restart-mset.cdcl}_W\text{-all-struct-inv } (\text{abs-state } S) \implies$

$\text{cdcl-bnb-stgy-inv } S \implies \text{cdcl-bnb-stgy-inv } T \rangle$

$\langle \text{proof} \rangle$

**lemma** *learned-clss-learned-clss[simp]*:

$\langle \text{CDCL-W-Abstract-State.learned-clss } (\text{abs-state } S) = \text{learned-clss } S \rangle$

$\langle \text{proof} \rangle$

**lemma** *state-eq-init-clss-abs-state[state-simp, simp]*:

$\langle S \sim T \implies \text{CDCL-W-Abstract-State.init-clss } (\text{abs-state } S) = \text{CDCL-W-Abstract-State.init-clss } (\text{abs-state } T) \rangle$

$\langle \text{proof} \rangle$

**lemma**

*init-clss-abs-state-update-conflicting[simp]*:

$\langle \text{CDCL-W-Abstract-State.init-clss } (\text{abs-state } (\text{update-conflicting } (\text{Some } D) S)) =$   
 $\text{CDCL-W-Abstract-State.init-clss } (\text{abs-state } S) \rangle$  **and**

*init-clss-abs-state-cons-trail[simp]*:

$\langle \text{CDCL-W-Abstract-State.init-clss } (\text{abs-state } (\text{cons-trail } K S)) =$   
 $\text{CDCL-W-Abstract-State.init-clss } (\text{abs-state } S) \rangle$

$\langle \text{proof} \rangle$

**lemma** *cdcl-bnb-cdcl<sub>W</sub>-learned-clauses-entailed-by-init*:

**assumes**

$\langle \text{cdcl-bnb } S \ T \rangle$  **and**

*entailed*:  $\langle \text{cdcl}_W\text{-restart-mset.cdcl}_W\text{-learned-clauses-entailed-by-init } (\text{abs-state } S) \rangle$  **and**

*all-struct*:  $\langle \text{cdcl}_W\text{-restart-mset.cdcl}_W\text{-all-struct-inv } (\text{abs-state } S) \rangle$

**shows**  $\langle \text{cdcl}_W\text{-restart-mset.cdcl}_W\text{-learned-clauses-entailed-by-init } (\text{abs-state } T) \rangle$

$\langle \text{proof} \rangle$

**lemma** *rtrancp-cdcl-bnb-cdcl<sub>W</sub>-learned-clauses-entailed-by-init*:

**assumes**

$\langle \text{cdcl-bnb}^{**} S \ T \rangle$  **and**

*entailed*:  $\langle \text{cdcl}_W\text{-restart-mset.cdcl}_W\text{-learned-clauses-entailed-by-init } (\text{abs-state } S) \rangle$  **and**

*all-struct*:  $\langle \text{cdcl}_W\text{-restart-mset.cdcl}_W\text{-all-struct-inv } (\text{abs-state } S) \rangle$

**shows**  $\langle \text{cdcl}_W\text{-restart-mset.cdcl}_W\text{-learned-clauses-entailed-by-init } (\text{abs-state } T) \rangle$

$\langle \text{proof} \rangle$

**lemma** *atms-of-init-clss-conflicting-clss2[simp]*:

$\langle \text{atms-of-mm } (\text{init-clss } S) \cup \text{atms-of-mm } (\text{conflicting-clss } S) = \text{atms-of-mm } (\text{init-clss } S) \rangle$

$\langle \text{proof} \rangle$

**lemma** *no-strange-atm-no-strange-atm[simp]*:

$\langle \text{cdcl}_W\text{-restart-mset.no-strange-atm } (\text{abs-state } S) = \text{no-strange-atm } S \rangle$

$\langle \text{proof} \rangle$

**lemma** *cdcl<sub>W</sub>-conflicting-cdcl<sub>W</sub>-conflicting[simp]*:  
 $\langle \text{cdcl}_W\text{-restart-mset.cdcl}_W\text{-conflicting } (\text{abs-state } S) = \text{cdcl}_W\text{-conflicting } S \rangle$   
 $\langle \text{proof} \rangle$

**lemma** *distinct-cdcl<sub>W</sub>-state-distinct-cdcl<sub>W</sub>-state*:  
 $\langle \text{cdcl}_W\text{-restart-mset.distinct-cdcl}_W\text{-state } (\text{abs-state } S) \implies \text{distinct-cdcl}_W\text{-state } S \rangle$   
 $\langle \text{proof} \rangle$

**lemma** *conflicting-abs-state-conflicting[simp]*:  
 $\langle \text{CDCL-W-Abstract-State.conflicting } (\text{abs-state } S) = \text{conflicting } S \rangle$  **and**  
*clauses-abs-state[simp]*:  
 $\langle \text{cdcl}_W\text{-restart-mset.clauses } (\text{abs-state } S) = \text{clauses } S + \text{conflicting-clss } S \rangle$  **and**  
*abs-state-tl-trail[simp]*:  
 $\langle \text{abs-state } (\text{tl-trail } S) = \text{CDCL-W-Abstract-State.tl-trail } (\text{abs-state } S) \rangle$  **and**  
*abs-state-add-learned-cls[simp]*:  
 $\langle \text{abs-state } (\text{add-learned-cls } C S) = \text{CDCL-W-Abstract-State.add-learned-cls } C (\text{abs-state } S) \rangle$  **and**  
*abs-state-update-conflicting[simp]*:  
 $\langle \text{abs-state } (\text{update-conflicting } D S) = \text{CDCL-W-Abstract-State.update-conflicting } D (\text{abs-state } S) \rangle$   
 $\langle \text{proof} \rangle$

**lemma** *sim-abs-state-simp*:  $\langle S \sim T \implies \text{abs-state } S = \text{abs-state } T \rangle$   
 $\langle \text{proof} \rangle$

**lemma** *abs-state-cons-trail[simp]*:  
 $\langle \text{abs-state } (\text{cons-trail } K S) = \text{CDCL-W-Abstract-State.cons-trail } K (\text{abs-state } S) \rangle$  **and**  
*abs-state-reduce-trail-to[simp]*:  
 $\langle \text{abs-state } (\text{reduce-trail-to } M S) = \text{cdcl}_W\text{-restart-mset.reduce-trail-to } M (\text{abs-state } S) \rangle$   
 $\langle \text{proof} \rangle$

**lemma** *obacktrack-imp-backtrack*:  
 $\langle \text{obacktrack } S T \implies \text{cdcl}_W\text{-restart-mset.backtrack } (\text{abs-state } S) (\text{abs-state } T) \rangle$   
 $\langle \text{proof} \rangle$

**lemma** *backtrack-imp-obacktrack*:  
 $\langle \text{cdcl}_W\text{-restart-mset.backtrack } (\text{abs-state } S) T \implies \text{Ex } (\text{obacktrack } S) \rangle$   
 $\langle \text{proof} \rangle$

**lemma** *cdcl<sub>W</sub>-same-weight*:  $\langle \text{cdcl}_W S U \implies \text{weight } S = \text{weight } U \rangle$   
 $\langle \text{proof} \rangle$

**lemma** *ocdcl<sub>W</sub>-o-same-weight*:  $\langle \text{ocdcl}_W\text{-o } S U \implies \text{weight } S = \text{weight } U \rangle$   
 $\langle \text{proof} \rangle$

This is a proof artefact: it is easier to reason on *improvep* when the set of initial clauses is fixed (here by  $N$ ). The next theorem shows that the conclusion is equivalent to not fixing the set of clauses.

**lemma** *wf-cdcl-bnb*:  
**assumes** *improve*:  $\langle \bigwedge S T. \text{improvep } S T \implies \text{init-clss } S = N \implies (\nu (\text{weight } T), \nu (\text{weight } S)) \in R \rangle$   
**and**  
*wf-R*:  $\langle \text{wf } R \rangle$   
**shows**  $\langle \text{wf } \{ (T, S). \text{cdcl}_W\text{-restart-mset.cdcl}_W\text{-all-struct-inv } (\text{abs-state } S) \wedge \text{cdcl-bnb } S T \wedge \text{init-clss } S = N \} \rangle$   
 $\langle \text{is } \langle \text{wf } ?A \rangle \rangle$   
 $\langle \text{proof} \rangle$



**corollary** *wf-cdcl-bnb-fixed-iff*:

**shows**  $\langle (\forall N. \text{wf } \{(T, S). \text{cdcl}_W\text{-restart-mset.cdcl}_W\text{-all-struct-inv } (\text{abs-state } S) \wedge \text{cdcl-bnb } S \ T \wedge \text{init-clss } S = N\}) \longleftrightarrow$   
 $\text{wf } \{(T, S). \text{cdcl}_W\text{-restart-mset.cdcl}_W\text{-all-struct-inv } (\text{abs-state } S) \wedge \text{cdcl-bnb } S \ T\} \rangle$   
**(is**  $\langle (\forall N. \text{wf } (?A \ N)) \longleftrightarrow \text{wf } ?B \rangle$ )

$\langle \text{proof} \rangle$

The following is a slightly more restricted version of the theorem, because it makes it possible to add some specific invariant, which can be useful when the proof of the decreasing is complicated.

**lemma** *wf-cdcl-bnb-with-additional-inv*:

**assumes** *improve*:  $\langle \bigwedge S \ T. \text{improvep } S \ T \implies P \ S \implies \text{init-clss } S = N \implies (\nu (\text{weight } T), \nu (\text{weight } S)) \in R \rangle$  **and**

*wf-R*:  $\langle \text{wf } R \rangle$  **and**

$\langle \bigwedge S \ T. \text{cdcl-bnb } S \ T \implies P \ S \implies \text{init-clss } S = N \implies \text{cdcl}_W\text{-restart-mset.cdcl}_W\text{-all-struct-inv } (\text{abs-state } S) \implies P \ T \rangle$

**shows**  $\langle \text{wf } \{(T, S). \text{cdcl}_W\text{-restart-mset.cdcl}_W\text{-all-struct-inv } (\text{abs-state } S) \wedge \text{cdcl-bnb } S \ T \wedge P \ S \wedge \text{init-clss } S = N\} \rangle$

**(is**  $\langle \text{wf } ?A \rangle$ )

$\langle \text{proof} \rangle$

**lemma** *conflict-is-false-with-level-abs-iff*:

$\langle \text{cdcl}_W\text{-restart-mset.conflict-is-false-with-level } (\text{abs-state } S) \longleftrightarrow \text{conflict-is-false-with-level } S \rangle$

$\langle \text{proof} \rangle$

**lemma** *decide-abs-state-decide*:

$\langle \text{cdcl}_W\text{-restart-mset.decide } (\text{abs-state } S) \ T \implies \text{cdcl-bnb-struct-invs } S \implies \text{Ex}(\text{decide } S) \rangle$

$\langle \text{proof} \rangle$

**lemma** *cdcl-bnb-no-conflicting-clss-cdcl<sub>W</sub>*:

**assumes**  $\langle \text{cdcl-bnb } S \ T \rangle$  **and**  $\langle \text{conflicting-clss } T = \{\#\} \rangle$

**shows**  $\langle \text{cdcl}_W\text{-restart-mset.cdcl}_W (\text{abs-state } S) (\text{abs-state } T) \wedge \text{conflicting-clss } S = \{\#\} \rangle$

$\langle \text{proof} \rangle$

**lemma** *rtrancp-cdcl-bnb-no-conflicting-clss-cdcl<sub>W</sub>*:

**assumes**  $\langle \text{cdcl-bnb}^{**} S \ T \rangle$  **and**  $\langle \text{conflicting-clss } T = \{\#\} \rangle$

**shows**  $\langle \text{cdcl}_W\text{-restart-mset.cdcl}_W^{**} (\text{abs-state } S) (\text{abs-state } T) \wedge \text{conflicting-clss } S = \{\#\} \rangle$

$\langle \text{proof} \rangle$

**lemma** *conflict-abs-ex-conflict-no-conflicting*:

**assumes**  $\langle \text{cdcl}_W\text{-restart-mset.conflict } (\text{abs-state } S) \ T \rangle$  **and**  $\langle \text{conflicting-clss } S = \{\#\} \rangle$

**shows**  $\langle \exists T. \text{conflict } S \ T \rangle$

$\langle \text{proof} \rangle$

**lemma** *propagate-abs-ex-propagate-no-conflicting*:

**assumes**  $\langle \text{cdcl}_W\text{-restart-mset.propagate } (\text{abs-state } S) \ T \rangle$  **and**  $\langle \text{conflicting-clss } S = \{\#\} \rangle$

**shows**  $\langle \exists T. \text{propagate } S \ T \rangle$

$\langle \text{proof} \rangle$

**lemma** *cdcl-bnb-stgy-no-conflicting-clss-cdcl<sub>W</sub>-stgy*:

**assumes**  $\langle \text{cdcl-bnb-stgy } S \ T \rangle$  **and**  $\langle \text{conflicting-clss } T = \{\#\} \rangle$

**shows**  $\langle \text{cdcl}_W\text{-restart-mset.cdcl}_W\text{-stgy } (\text{abs-state } S) (\text{abs-state } T) \rangle$

$\langle \text{proof} \rangle$

**lemma** *rtrancp-cdcl-bnb-stgy-no-conflicting-clss-cdcl<sub>W</sub>-stgy*:  
**assumes**  $\langle \text{cdcl-bnb-stgy}^{**} S T \rangle$  **and**  $\langle \text{conflicting-clss } T = \{\#\} \rangle$   
**shows**  $\langle \text{cdcl}_W\text{-restart-mset.cdcl}_W\text{-stgy}^{**} (\text{abs-state } S) (\text{abs-state } T) \rangle$   
 $\langle \text{proof} \rangle$

**context**

**assumes** *can-always-improve*:  
 $\langle \bigwedge S. \text{trail } S \models_{\text{asm}} \text{clauses } S \implies \text{no-step conflict-opt } S \implies$   
 $\text{conflicting } S = \text{None} \implies$   
 $\text{cdcl}_W\text{-restart-mset.cdcl}_W\text{-all-struct-inv } (\text{abs-state } S) \implies$   
 $\text{total-over-m } (\text{lits-of-l } (\text{trail } S)) (\text{set-mset } (\text{clauses } S)) \implies \text{Ex } (\text{improvep } S) \rangle$

**begin**

The following theorems states a non-obvious (and slightly subtle) property: The fact that there is no conflicting cannot be shown without additional assumption. However, the assumption that every model leads to an improvements implies that we end up with a conflict.

**lemma** *no-step-cdcl-bnb-cdcl<sub>W</sub>*:  
**assumes**  
 $n\text{-s}: \langle \text{no-step cdcl-bnb } S \rangle$  **and**  
 $\text{struct-inv}: \langle \text{cdcl}_W\text{-restart-mset.cdcl}_W\text{-all-struct-inv } (\text{abs-state } S) \rangle$   
**shows**  $\langle \text{no-step cdcl}_W\text{-restart-mset.cdcl}_W (\text{abs-state } S) \rangle$   
 $\langle \text{proof} \rangle$

**lemma** *no-step-cdcl-bnb-stgy*:  
**assumes**  
 $n\text{-s}: \langle \text{no-step cdcl-bnb } S \rangle$  **and**  
 $\text{all-struct}: \langle \text{cdcl}_W\text{-restart-mset.cdcl}_W\text{-all-struct-inv } (\text{abs-state } S) \rangle$  **and**  
 $\text{stgy-inv}: \langle \text{cdcl-bnb-stgy-inv } S \rangle$   
**shows**  $\langle \text{conflicting } S = \text{None} \vee \text{conflicting } S = \text{Some } \{\#\} \rangle$   
 $\langle \text{proof} \rangle$

**lemma** *no-step-cdcl-bnb-stgy-empty-conflict*:  
**assumes**  
 $n\text{-s}: \langle \text{no-step cdcl-bnb } S \rangle$  **and**  
 $\text{all-struct}: \langle \text{cdcl}_W\text{-restart-mset.cdcl}_W\text{-all-struct-inv } (\text{abs-state } S) \rangle$  **and**  
 $\text{stgy-inv}: \langle \text{cdcl-bnb-stgy-inv } S \rangle$   
**shows**  $\langle \text{conflicting } S = \text{Some } \{\#\} \rangle$   
 $\langle \text{proof} \rangle$

**lemma** *full-cdcl-bnb-stgy-no-conflicting-clss-unsat*:  
**assumes**  
 $\text{full}: \langle \text{full cdcl-bnb-stgy } S T \rangle$  **and**  
 $\text{all-struct}: \langle \text{cdcl}_W\text{-restart-mset.cdcl}_W\text{-all-struct-inv } (\text{abs-state } S) \rangle$  **and**  
 $\text{stgy-inv}: \langle \text{cdcl-bnb-stgy-inv } S \rangle$  **and**  
 $\text{ent-init}: \langle \text{cdcl}_W\text{-restart-mset.cdcl}_W\text{-learned-clauses-entailed-by-init } (\text{abs-state } S) \rangle$  **and**  
 $[\text{simp}]: \langle \text{conflicting-clss } T = \{\#\} \rangle$   
**shows**  $\langle \text{unsatisfiable } (\text{set-mset } (\text{init-clss } S)) \rangle$   
 $\langle \text{proof} \rangle$

**lemma** *ocdcl<sub>W</sub>-o-no-smaller-propa*:  
**assumes**  $\langle \text{ocdcl}_W\text{-o } S T \rangle$  **and**  
 $\text{inv}: \langle \text{cdcl}_W\text{-restart-mset.cdcl}_W\text{-all-struct-inv } (\text{abs-state } S) \rangle$  **and**

*smaller-propa*:  $\langle \text{no-smaller-propa } S \rangle$  **and**  
*n-s*:  $\langle \text{no-confl-prop-impr } S \rangle$   
**shows**  $\langle \text{no-smaller-propa } T \rangle$   
 $\langle \text{proof} \rangle$

**lemma** *ocdcl<sub>W</sub>-no-smaller-propa*:  
**assumes**  $\langle \text{cdcl-bnb-stgy } S \ T \rangle$  **and**  
*inv*:  $\langle \text{cdcl}_W\text{-restart-mset.cdcl}_W\text{-all-struct-inv } (\text{abs-state } S) \rangle$  **and**  
*smaller-propa*:  $\langle \text{no-smaller-propa } S \rangle$  **and**  
*n-s*:  $\langle \text{no-confl-prop-impr } S \rangle$   
**shows**  $\langle \text{no-smaller-propa } T \rangle$   
 $\langle \text{proof} \rangle$

Unfortunately, we cannot reuse the proof we have already done.

**lemma** *ocdcl<sub>W</sub>-no-relearning*:  
**assumes**  $\langle \text{cdcl-bnb-stgy } S \ T \rangle$  **and**  
*inv*:  $\langle \text{cdcl}_W\text{-restart-mset.cdcl}_W\text{-all-struct-inv } (\text{abs-state } S) \rangle$  **and**  
*smaller-propa*:  $\langle \text{no-smaller-propa } S \rangle$  **and**  
*n-s*:  $\langle \text{no-confl-prop-impr } S \rangle$  **and**  
*dist*:  $\langle \text{distinct-mset } (\text{clauses } S) \rangle$   
**shows**  $\langle \text{distinct-mset } (\text{clauses } T) \rangle$   
 $\langle \text{proof} \rangle$

**lemma** *full-cdcl-bnb-stgy-unsat*:  
**assumes**  
*st*:  $\langle \text{full cdcl-bnb-stgy } S \ T \rangle$  **and**  
*all-struct*:  $\langle \text{cdcl}_W\text{-restart-mset.cdcl}_W\text{-all-struct-inv } (\text{abs-state } S) \rangle$  **and**  
*opt-struct*:  $\langle \text{cdcl-bnb-struct-invs } S \rangle$  **and**  
*stgy-inv*:  $\langle \text{cdcl-bnb-stgy-inv } S \rangle$   
**shows**  
 $\langle \text{unsatisfiable } (\text{set-mset } (\text{clauses } T + \text{conflicting-clss } T)) \rangle$   
 $\langle \text{proof} \rangle$

**end**

**lemma** *cdcl-bnb-reasons-in-clauses*:  
 $\langle \text{cdcl-bnb } S \ T \implies \text{reasons-in-clauses } S \implies \text{reasons-in-clauses } T \rangle$   
 $\langle \text{proof} \rangle$

**end**

## OCDCL

This locale includes only the assumption we make on the weight function.

**locale** *ocdcl-weight* =  
**fixes**  
 $\varrho :: \langle 'v \text{ clause} \implies 'a :: \{\text{linorder}\} \rangle$   
**assumes**  
 $\varrho\text{-mono}: \langle \text{distinct-mset } B \implies A \subseteq \# B \implies \varrho A \leq \varrho B \rangle$   
**begin**

**lemma**  *$\varrho$ -empty-simp[simp]*:  
**assumes**  $\langle \text{consistent-interp } (\text{set-mset } A) \rangle \langle \text{distinct-mset } A \rangle$   
**shows**  $\langle \varrho A \geq \varrho \{\#\} \rangle \langle \neg \varrho A < \varrho \{\#\} \rangle \langle \varrho A \leq \varrho \{\#\} \longleftrightarrow \varrho A = \varrho \{\#\} \rangle$

$\langle proof \rangle$

**end**

This is one of the version of the weight functions used by Christoph Weidenbach.

**locale** *ocdcl-weight-WB* =

**fixes**

$\nu :: \langle 'v \text{ literal} \Rightarrow \text{nat} \rangle$

**begin**

**definition**  $\varrho :: \langle 'v \text{ clause} \Rightarrow \text{nat} \rangle$  **where**

$\langle \varrho M = (\sum A \in \# M. \nu A) \rangle$

**sublocale** *ocdcl-weight*  $\varrho$

$\langle proof \rangle$

**end**

The following datatype is equivalent to *'a option*. However, it has the opposite ordering. Therefore, I decided to use a different type instead of have a second order which conflicts with `~~/src/HOL/Library/Option_ord.thy`.

**datatype** *'a optimal-model* = *Not-Found* | *is-found: Found* (*the-optimal: 'a*)

**instantiation** *optimal-model* :: (*ord*) *ord*

**begin**

**fun** *less-optimal-model* ::  $\langle 'a :: \text{ord } \text{optimal-model} \Rightarrow 'a \text{ optimal-model} \Rightarrow \text{bool} \rangle$  **where**

$\langle \text{less-optimal-model } \text{Not-Found } - = \text{False} \rangle$

|  $\langle \text{less-optimal-model } (\text{Found } -) \text{ Not-Found} \longleftrightarrow \text{True} \rangle$

|  $\langle \text{less-optimal-model } (\text{Found } a) (\text{Found } b) \longleftrightarrow a < b \rangle$

**fun** *less-eq-optimal-model* ::  $\langle 'a :: \text{ord } \text{optimal-model} \Rightarrow 'a \text{ optimal-model} \Rightarrow \text{bool} \rangle$  **where**

$\langle \text{less-eq-optimal-model } \text{Not-Found } \text{Not-Found} = \text{True} \rangle$

|  $\langle \text{less-eq-optimal-model } \text{Not-Found } (\text{Found } -) = \text{False} \rangle$

|  $\langle \text{less-eq-optimal-model } (\text{Found } -) \text{ Not-Found} \longleftrightarrow \text{True} \rangle$

|  $\langle \text{less-eq-optimal-model } (\text{Found } a) (\text{Found } b) \longleftrightarrow a \leq b \rangle$

**instance**

$\langle proof \rangle$

**end**

**instance** *optimal-model* :: (*preorder*) *preorder*

$\langle proof \rangle$

**instance** *optimal-model* :: (*order*) *order*

$\langle proof \rangle$

**instance** *optimal-model* :: (*linorder*) *linorder*

$\langle proof \rangle$

**instantiation** *optimal-model* :: (*wellorder*) *wellorder*

**begin**

**lemma** *wf-less-optimal-model*: *wf*  $\{(M :: 'a \text{ optimal-model}, N). M < N\}$

$\langle proof \rangle$

**instance**  $\langle proof \rangle$

**end**

**locale** *conflict-driven-clause-learning<sub>W</sub>-optimal-weight* =

*conflict-driven-clause-learning<sub>W</sub>*

*state-eq*

*state*

— functions for the state:

— access functions:

*trail init-clss learned-clss conflicting*

— changing state:

*cons-trail tl-trail add-learned-cls remove-cls*

*update-conflicting*

— get state:

*init-state* +

*ocdcl-weight*  $\rho$

**for**

*state-eq* ::  $'st \Rightarrow 'st \Rightarrow \text{bool}$  (**infix**  $\sim 50$ ) **and**

*state* ::  $'st \Rightarrow ('v, 'v \text{ clause}) \text{ ann-lits} \times 'v \text{ clauses} \times 'v \text{ clauses} \times 'v \text{ clause option} \times$   
 $'v \text{ clause option} \times 'b$  **and**

*trail* ::  $'st \Rightarrow ('v, 'v \text{ clause}) \text{ ann-lits}$  **and**

*init-clss* ::  $'st \Rightarrow 'v \text{ clauses}$  **and**

*learned-clss* ::  $'st \Rightarrow 'v \text{ clauses}$  **and**

*conflicting* ::  $'st \Rightarrow 'v \text{ clause option}$  **and**

*cons-trail* ::  $('v, 'v \text{ clause}) \text{ ann-lit} \Rightarrow 'st \Rightarrow 'st$  **and**

*tl-trail* ::  $'st \Rightarrow 'st$  **and**

*add-learned-cls* ::  $'v \text{ clause} \Rightarrow 'st \Rightarrow 'st$  **and**

*remove-cls* ::  $'v \text{ clause} \Rightarrow 'st \Rightarrow 'st$  **and**

*update-conflicting* ::  $'v \text{ clause option} \Rightarrow 'st \Rightarrow 'st$  **and**

*init-state* ::  $'v \text{ clauses} \Rightarrow 'st$  **and**

$\rho$  ::  $\langle 'v \text{ clause} \Rightarrow 'a :: \{\text{linorder}\} \rangle$  +

**fixes**

*update-additional-info* ::  $\langle 'v \text{ clause option} \times 'b \Rightarrow 'st \Rightarrow 'st \rangle$

**assumes**

*update-additional-info*:

$\langle \text{state } S = (M, N, U, C, K) \implies \text{state } (\text{update-additional-info } K' S) = (M, N, U, C, K') \rangle$  **and**

*weight-init-state*:

$\langle \bigwedge N :: 'v \text{ clauses. } \text{fst } (\text{additional-info } (\text{init-state } N)) = \text{None} \rangle$

**begin**

**definition** *update-weight-information* ::  $\langle ('v, 'v \text{ clause}) \text{ ann-lits} \Rightarrow 'st \Rightarrow 'st \rangle$  **where**

$\langle \text{update-weight-information } M S =$

$\text{update-additional-info } (\text{Some } (\text{lit-of } \# \text{ mset } M), \text{snd } (\text{additional-info } S)) S \rangle$

**lemma**

*trail-update-additional-info[simp]*:  $\langle \text{trail } (\text{update-additional-info } w S) = \text{trail } S \rangle$  **and**

*init-clss-update-additional-info[simp]*:

$\langle \text{init-clss } (\text{update-additional-info } w S) = \text{init-clss } S \rangle$  **and**

*learned-clss-update-additional-info[simp]*:

$\langle \text{learned-clss } (\text{update-additional-info } w S) = \text{learned-clss } S \rangle$  **and**

*backtrack-lvl-update-additional-info[simp]*:

$\langle \text{backtrack-lvl } (\text{update-additional-info } w S) = \text{backtrack-lvl } S \rangle$  **and**

*conflicting-update-additional-info[simp]*:

$\langle \text{conflicting } (\text{update-additional-info } w \ S) = \text{conflicting } S \rangle$  **and**  
 $\text{clauses-update-additional-info}[simp]:$   
 $\langle \text{clauses } (\text{update-additional-info } w \ S) = \text{clauses } S \rangle$   
 $\langle \text{proof} \rangle$

**lemma**

$\text{trail-update-weight-information}[simp]:$   
 $\langle \text{trail } (\text{update-weight-information } w \ S) = \text{trail } S \rangle$  **and**  
 $\text{init-clss-update-weight-information}[simp]:$   
 $\langle \text{init-clss } (\text{update-weight-information } w \ S) = \text{init-clss } S \rangle$  **and**  
 $\text{learned-clss-update-weight-information}[simp]:$   
 $\langle \text{learned-clss } (\text{update-weight-information } w \ S) = \text{learned-clss } S \rangle$  **and**  
 $\text{backtrack-lvl-update-weight-information}[simp]:$   
 $\langle \text{backtrack-lvl } (\text{update-weight-information } w \ S) = \text{backtrack-lvl } S \rangle$  **and**  
 $\text{conflicting-update-weight-information}[simp]:$   
 $\langle \text{conflicting } (\text{update-weight-information } w \ S) = \text{conflicting } S \rangle$  **and**  
 $\text{clauses-update-weight-information}[simp]:$   
 $\langle \text{clauses } (\text{update-weight-information } w \ S) = \text{clauses } S \rangle$   
 $\langle \text{proof} \rangle$

**definition** *weight* **where**

$\langle \text{weight } S = \text{fst } (\text{additional-info } S) \rangle$

**lemma**

$\text{additional-info-update-additional-info}[simp]:$   
 $\text{additional-info } (\text{update-additional-info } w \ S) = w$   
 $\langle \text{proof} \rangle$

**lemma**

$\text{weight-cons-trail2}[simp]: \langle \text{weight } (\text{cons-trail } L \ S) = \text{weight } S \rangle$  **and**  
 $\text{clss-tl-trail2}[simp]: \text{weight } (\text{tl-trail } S) = \text{weight } S$  **and**  
 $\text{weight-add-learned-clss-unfolded}: \text{weight } (\text{add-learned-clss } U \ S) = \text{weight } S$   
**and**  
 $\text{weight-update-conflicting2}[simp]: \text{weight } (\text{update-conflicting } D \ S) = \text{weight } S$  **and**  
 $\text{weight-remove-clss2}[simp]: \text{weight } (\text{remove-clss } C \ S) = \text{weight } S$  **and**  
 $\text{weight-add-learned-clss2}[simp]: \text{weight } (\text{add-learned-clss } C \ S) = \text{weight } S$  **and**  
 $\text{weight-update-weight-information2}[simp]: \text{weight } (\text{update-weight-information } M \ S) = \text{Some } (\text{lit-of } \# \text{ mset } M)$   
 $\langle \text{proof} \rangle$

**abbreviation**  $\varrho' :: \langle 'v \text{ clause option} \Rightarrow 'a \text{ optimal-model} \rangle$  **where**

$\langle \varrho' \ w \equiv (\text{case } w \text{ of } \text{None} \Rightarrow \text{Not-Found} \mid \text{Some } w \Rightarrow \text{Found } (\varrho \ w)) \rangle$

**definition** *is-improving-int*

$:: ('v, 'v \text{ clause}) \text{ ann-lits} \Rightarrow ('v, 'v \text{ clause}) \text{ ann-lits} \Rightarrow 'v \text{ clauses} \Rightarrow 'v \text{ clause option} \Rightarrow \text{bool}$

**where**

$\langle \text{is-improving-int } M \ M' \ N \ w \longleftrightarrow \text{Found } (\varrho \ (\text{lit-of } \# \text{ mset } M')) < \varrho' \ w \wedge$   
 $M' \models \text{asm } N \wedge \text{no-dup } M' \wedge$   
 $\text{lit-of } \# \text{ mset } M' \in \text{simple-clss } (\text{atms-of-mm } N) \wedge$   
 $\text{total-over-m } (\text{lits-of-l } M') \ (\text{set-mset } N) \wedge$   
 $(\forall M'. \text{total-over-m } (\text{lits-of-l } M') \ (\text{set-mset } N) \longrightarrow \text{mset } M \subseteq \# \text{ mset } M' \longrightarrow$   
 $\text{lit-of } \# \text{ mset } M' \in \text{simple-clss } (\text{atms-of-mm } N) \longrightarrow$

$$\varrho (\text{lit-of } \# \text{ mset } M) = \varrho (\text{lit-of } \# \text{ mset } M)$$

**definition** *too-heavy-clauses*

$\because \langle 'v \text{ clauses} \Rightarrow 'v \text{ clause option} \Rightarrow 'v \text{ clauses} \rangle$

**where**

$\langle \text{too-heavy-clauses } M \ w = \{ \#p\text{Neg } C \mid C \in \# \text{ mset-set } (\text{simple-clss } (\text{atms-of-mm } M)). \varrho' w \leq \text{Found } (\varrho C) \# \} \rangle$

**definition** *conflicting-clauses*

$\because \langle 'v \text{ clauses} \Rightarrow 'v \text{ clause option} \Rightarrow 'v \text{ clauses} \rangle$

**where**

$\langle \text{conflicting-clauses } N \ w = \{ \#C \in \# \text{ mset-set } (\text{simple-clss } (\text{atms-of-mm } N)). \text{too-heavy-clauses } N \ w \models_{pm} C \# \} \rangle$

**lemma** *too-heavy-clauses-conflicting-clauses:*

$\langle C \in \# \text{ too-heavy-clauses } M \ w \implies C \in \# \text{ conflicting-clauses } M \ w \rangle$

$\langle \text{proof} \rangle$

**lemma** *too-heavy-clauses-contains-itself:*

$\langle M \in \text{simple-clss } (\text{atms-of-mm } N) \implies p\text{Neg } M \in \# \text{ too-heavy-clauses } N \ (\text{Some } M) \rangle$

$\langle \text{proof} \rangle$

**lemma** *too-heavy-clause-None[simp]:*  $\langle \text{too-heavy-clauses } M \ \text{None} = \{ \# \} \rangle$

$\langle \text{proof} \rangle$

**lemma** *atms-of-mm-too-heavy-clauses-le:*

$\langle \text{atms-of-mm } (\text{too-heavy-clauses } M \ I) \subseteq \text{atms-of-mm } M \rangle$

$\langle \text{proof} \rangle$

**lemma**

*atms-too-heavy-clauses-None:*

$\langle \text{atms-of-mm } (\text{too-heavy-clauses } M \ \text{None}) = \{ \} \rangle$  **and**

*atms-too-heavy-clauses-Some:*

$\langle \text{atms-of } w \subseteq \text{atms-of-mm } M \implies \text{distinct-mset } w \implies \neg \text{tautology } w \implies \text{atms-of-mm } (\text{too-heavy-clauses } M \ (\text{Some } w)) = \text{atms-of-mm } M \rangle$

$\langle \text{proof} \rangle$

**lemma** *entails-too-heavy-clauses-too-heavy-clauses:*

**assumes**

$\langle \text{consistent-interp } I \rangle$  **and**

$\text{tot}: \langle \text{total-over-m } I \ (\text{set-mset } (\text{too-heavy-clauses } M \ w)) \rangle$  **and**

$\langle I \models_m \text{too-heavy-clauses } M \ w \rangle$  **and**

$w: \langle w \neq \text{None} \implies \text{atms-of } (the \ w) \subseteq \text{atms-of-mm } M \rangle$

$\langle w \neq \text{None} \implies \neg \text{tautology } (the \ w) \rangle$

$\langle w \neq \text{None} \implies \text{distinct-mset } (the \ w) \rangle$

**shows**  $\langle I \models_m \text{conflicting-clauses } M \ w \rangle$

$\langle \text{proof} \rangle$

**sublocale** *conflict-driven-clause-learning<sub>w</sub>*

**where**

$\text{state-eq} = \text{state-eq}$  **and**

$\text{state} = \text{state}$  **and**

$\text{trail} = \text{trail}$  **and**

$\text{init-clss} = \text{init-clss}$  **and**

$\text{learned-clss} = \text{learned-clss}$  **and**

$\text{conflicting} = \text{conflicting}$  **and**

*cons-trail* = *cons-trail* **and**  
*tl-trail* = *tl-trail* **and**  
*add-learned-cls* = *add-learned-cls* **and**  
*remove-cls* = *remove-cls* **and**  
*update-conflicting* = *update-conflicting* **and**  
*init-state* = *init-state*  
 ⟨proof⟩

**sublocale** *conflict-driven-clause-learning-with-adding-init-clause-cost<sub>W</sub>-no-state*  
**where**

*state* = *state* **and**  
*trail* = *trail* **and**  
*init-clss* = *init-clss* **and**  
*learned-clss* = *learned-clss* **and**  
*conflicting* = *conflicting* **and**  
*cons-trail* = *cons-trail* **and**  
*tl-trail* = *tl-trail* **and**  
*add-learned-cls* = *add-learned-cls* **and**  
*remove-cls* = *remove-cls* **and**  
*update-conflicting* = *update-conflicting* **and**  
*init-state* = *init-state* **and**  
*weight* = *weight* **and**  
*update-weight-information* = *update-weight-information* **and**  
*is-improving-int* = *is-improving-int* **and**  
*conflicting-clauses* = *conflicting-clauses*  
 ⟨proof⟩

**lemma** *state-additional-info'*:

⟨*state* *S* = (*trail* *S*, *init-clss* *S*, *learned-clss* *S*, *conflicting* *S*, *weight* *S*, *additional-info'* *S*)

⟨proof⟩

**lemma** *state-update-weight-information*:

⟨*state* *S* = (*M*, *N*, *U*, *C*, *w*, *other*)  $\implies$   
 $\exists w'. \text{state } (\text{update-weight-information } T \text{ } S) = (M, N, U, C, w', \text{other})$ ⟩  
 ⟨proof⟩

**lemma** *conflicting-clss-incl-init-clss*:

⟨*atms-of-mm* (*conflicting-clss* *S*)  $\subseteq$  *atms-of-mm* (*init-clss* *S*)

⟨proof⟩

**lemma** *distinct-mset-mset-conflicting-clss2*: ⟨*distinct-mset-mset* (*conflicting-clss* *S*)

⟨proof⟩

**lemma** *too-heavy-clauses-mono*:

⟨ $\varrho \ a \succ \varrho \ (\text{lit-of } \# \text{ mset } M) \implies \text{too-heavy-clauses } N \ (\text{Some } a) \subseteq \#$   
 $\text{too-heavy-clauses } N \ (\text{Some } (\text{lit-of } \# \text{ mset } M))$ ⟩  
 ⟨proof⟩

**lemma** *is-improving-conflicting-clss-update-weight-information*: ⟨*is-improving* *M* *M'* *S*  $\implies$

$\text{conflicting-clss } S \subseteq \# \text{ conflicting-clss } (\text{update-weight-information } M' \text{ } S)$ ⟩  
 ⟨proof⟩

**lemma** *conflicting-clss-update-weight-information-in2*:

**assumes** ⟨*is-improving* *M* *M'* *S*⟩

**shows** ⟨*negate-ann-lits* *M'*  $\in \# \text{ conflicting-clss } (\text{update-weight-information } M' \text{ } S)$ ⟩

⟨proof⟩



**lemma** *atms-of-init-clss-conflicting-clss[simp]*:

$\langle \text{atms-of-mm } (\text{init-clss } S) \cup \text{atms-of-mm } (\text{conflicting-clss } S) = \text{atms-of-mm } (\text{init-clss } S) \rangle$   
 $\langle \text{proof} \rangle$

**lemma** *lit-of-trail-in-simple-clss*:  $\langle \text{cdcl}_W\text{-restart-mset.cdcl}_W\text{-all-struct-inv } (\text{abs-state } S) \implies$

$\text{lit-of } \# \text{ mset } (\text{trail } S) \in \text{simple-clss } (\text{atms-of-mm } (\text{init-clss } S)) \rangle$

$\langle \text{proof} \rangle$

**lemma** *pNeg-lit-of-trail-in-simple-clss*:  $\langle \text{cdcl}_W\text{-restart-mset.cdcl}_W\text{-all-struct-inv } (\text{abs-state } S) \implies$

$\text{pNeg } (\text{lit-of } \# \text{ mset } (\text{trail } S)) \in \text{simple-clss } (\text{atms-of-mm } (\text{init-clss } S)) \rangle$

$\langle \text{proof} \rangle$

**lemma** *conflict-clss-update-weight-no-alien*:

$\langle \text{atms-of-mm } (\text{conflicting-clss } (\text{update-weight-information } M \ S))$   
 $\subseteq \text{atms-of-mm } (\text{init-clss } S) \rangle$

$\langle \text{proof} \rangle$

**sublocale** *state<sub>W</sub>-no-state*

**where**

*state* = *state* **and**  
*trail* = *trail* **and**  
*init-clss* = *init-clss* **and**  
*learned-clss* = *learned-clss* **and**  
*conflicting* = *conflicting* **and**  
*cons-trail* = *cons-trail* **and**  
*tl-trail* = *tl-trail* **and**  
*add-learned-clss* = *add-learned-clss* **and**  
*remove-clss* = *remove-clss* **and**  
*update-conflicting* = *update-conflicting* **and**  
*init-state* = *init-state*

$\langle \text{proof} \rangle$

**sublocale** *state<sub>W</sub>-no-state*

**where**

*state-eq* = *state-eq* **and**  
*state* = *state* **and**  
*trail* = *trail* **and**  
*init-clss* = *init-clss* **and**  
*learned-clss* = *learned-clss* **and**  
*conflicting* = *conflicting* **and**  
*cons-trail* = *cons-trail* **and**  
*tl-trail* = *tl-trail* **and**  
*add-learned-clss* = *add-learned-clss* **and**  
*remove-clss* = *remove-clss* **and**  
*update-conflicting* = *update-conflicting* **and**  
*init-state* = *init-state*

$\langle \text{proof} \rangle$

**sublocale** *conflict-driven-clause-learning<sub>W</sub>*

**where**

*state-eq* = *state-eq* **and**  
*state* = *state* **and**  
*trail* = *trail* **and**  
*init-clss* = *init-clss* **and**  
*learned-clss* = *learned-clss* **and**

*conflicting* = *conflicting* **and**  
*cons-trail* = *cons-trail* **and**  
*tl-trail* = *tl-trail* **and**  
*add-learned-cls* = *add-learned-cls* **and**  
*remove-cls* = *remove-cls* **and**  
*update-conflicting* = *update-conflicting* **and**  
*init-state* = *init-state*  
 ⟨proof⟩

**sublocale** *conflict-driven-clause-learning-with-adding-init-clause-cost<sub>W</sub>-ops*  
**where**

*state* = *state* **and**  
*trail* = *trail* **and**  
*init-clss* = *init-clss* **and**  
*learned-clss* = *learned-clss* **and**  
*conflicting* = *conflicting* **and**  
*cons-trail* = *cons-trail* **and**  
*tl-trail* = *tl-trail* **and**  
*add-learned-cls* = *add-learned-cls* **and**  
*remove-cls* = *remove-cls* **and**  
*update-conflicting* = *update-conflicting* **and**  
*init-state* = *init-state* **and**  
*weight* = *weight* **and**  
*update-weight-information* = *update-weight-information* **and**  
*is-improving-int* = *is-improving-int* **and**  
*conflicting-clauses* = *conflicting-clauses*  
 ⟨proof⟩

**lemma** *wf-cdcl-bnb-fixed*:

⟨wf {(T, S). cdcl<sub>W</sub>-restart-mset.cdcl<sub>W</sub>-all-struct-inv (abs-state S) ∧ cdcl-bnb S T  
 ∧ init-clss S = N}⟩  
 ⟨proof⟩

**lemma** *wf-cdcl-bnb2*:

⟨wf {(T, S). cdcl<sub>W</sub>-restart-mset.cdcl<sub>W</sub>-all-struct-inv (abs-state S)  
 ∧ cdcl-bnb S T}⟩  
 ⟨proof⟩

**lemma** *not-entailed-too-heavy-clauses-ge*:

⟨C ∈ simple-clss (atms-of-mm N) ⇒ ¬ too-heavy-clauses N w ⊨<sub>pm</sub> pNeg C ⇒ ¬Found (q C) ≥ q'  
 w)⟩  
 ⟨proof⟩

**lemma** *pNeg-simple-clss-iff[simp]*:

⟨pNeg C ∈ simple-clss N ⇔ C ∈ simple-clss N⟩  
 ⟨proof⟩

**lemma** *can-always-improve*:

**assumes**  
*ent*: ⟨trail S ⊨<sub>asm</sub> clauses S⟩ **and**  
*total*: ⟨total-over-m (lits-of-l (trail S)) (set-mset (clauses S))⟩ **and**  
*n-s*: ⟨no-step conflict-opt S⟩ **and**  
*conf*: ⟨conflicting S = None⟩ **and**  
*all-struct*: ⟨cdcl<sub>W</sub>-restart-mset.cdcl<sub>W</sub>-all-struct-inv (abs-state S)⟩  
**shows** ⟨Ex (improvep S)⟩  
 ⟨proof⟩

**lemma** *no-step-cdcl-bnb-stgy-empty-conflict2*:

**assumes**

*n-s*:  $\langle \text{no-step cdcl-bnb } S \rangle$  **and**

*all-struct*:  $\langle \text{cdcl}_W\text{-restart-mset.cdcl}_W\text{-all-struct-inv (abs-state } S) \rangle$  **and**

*stgy-inv*:  $\langle \text{cdcl-bnb-stgy-inv } S \rangle$

**shows**  $\langle \text{conflicting } S = \text{Some } \{\#\} \rangle$

$\langle \text{proof} \rangle$

**lemma** *cdcl-bnb-larger-still-larger*:

**assumes**

$\langle \text{cdcl-bnb } S \ T \rangle$

**shows**  $\langle \varrho' (\text{weight } S) \geq \varrho' (\text{weight } T) \rangle$

$\langle \text{proof} \rangle$

**lemma** *obacktrack-model-still-model*:

**assumes**

$\langle \text{obacktrack } S \ T \rangle$  **and**

*all-struct*:  $\langle \text{cdcl}_W\text{-restart-mset.cdcl}_W\text{-all-struct-inv (abs-state } S) \rangle$  **and**

*ent*:  $\langle \text{set-mset } I \models_{\text{sm}} \text{clauses } S \rangle$   $\langle \text{set-mset } I \models_{\text{sm}} \text{conflicting-clss } S \rangle$  **and**

*dist*:  $\langle \text{distinct-mset } I \rangle$  **and**

*cons*:  $\langle \text{consistent-interp (set-mset } I) \rangle$  **and**

*tot*:  $\langle \text{atms-of } I = \text{atms-of-mm (init-clss } S) \rangle$  **and**

*opt-struct*:  $\langle \text{cdcl-bnb-struct-invs } S \rangle$  **and**

*le*:  $\langle \text{Found } (\varrho \ I) < \varrho' (\text{weight } T) \rangle$

**shows**

$\langle \text{set-mset } I \models_{\text{sm}} \text{clauses } T \wedge \text{set-mset } I \models_{\text{sm}} \text{conflicting-clss } T \rangle$

$\langle \text{proof} \rangle$

**lemma** *entails-too-heavy-clauses-if-le*:

**assumes**

*dist*:  $\langle \text{distinct-mset } I \rangle$  **and**

*cons*:  $\langle \text{consistent-interp (set-mset } I) \rangle$  **and**

*tot*:  $\langle \text{atms-of } I = \text{atms-of-mm } N \rangle$  **and**

*le*:  $\langle \text{Found } (\varrho \ I) < \varrho' (\text{Some } M') \rangle$

**shows**

$\langle \text{set-mset } I \models_m \text{too-heavy-clauses } N (\text{Some } M') \rangle$

$\langle \text{proof} \rangle$

**lemma** *entails-conflicting-clauses-if-le*:

**fixes**  $M''$

**defines**  $\langle M' \equiv \text{lit-of } \# \text{ mset } M'' \rangle$

**assumes**

*dist*:  $\langle \text{distinct-mset } I \rangle$  **and**

*cons*:  $\langle \text{consistent-interp (set-mset } I) \rangle$  **and**

*tot*:  $\langle \text{atms-of } I = \text{atms-of-mm } N \rangle$  **and**

*le*:  $\langle \text{Found } (\varrho \ I) < \varrho' (\text{Some } M') \rangle$  **and**

$\langle \text{is-improving-int } M \ M'' \ N \ w \rangle$

**shows**

$\langle \text{set-mset } I \models_m \text{conflicting-clauses } N (\text{weight (update-weight-information } M'' \ S)) \rangle$

$\langle \text{proof} \rangle$

**lemma** *improve-model-still-model*:

**assumes**

$\langle \text{improvep } S \ T \rangle$  **and**  
 $\text{all-struct: } \langle \text{cdcl}_W\text{-restart-mset.cdcl}_W\text{-all-struct-inv } (\text{abs-state } S) \rangle$  **and**  
 $\text{ent: } \langle \text{set-mset } I \models_{sm} \text{clauses } S \rangle \ \langle \text{set-mset } I \models_{sm} \text{conflicting-clss } S \rangle$  **and**  
 $\text{dist: } \langle \text{distinct-mset } I \rangle$  **and**  
 $\text{cons: } \langle \text{consistent-interp } (\text{set-mset } I) \rangle$  **and**  
 $\text{tot: } \langle \text{atms-of } I = \text{atms-of-mm } (\text{init-clss } S) \rangle$  **and**  
 $\text{opt-struct: } \langle \text{cdcl-bnb-struct-invs } S \rangle$  **and**  
 $\text{le: } \langle \text{Found } (\varrho \ I) < \varrho' \ (\text{weight } T) \rangle$

**shows**

$\langle \text{set-mset } I \models_{sm} \text{clauses } T \wedge \text{set-mset } I \models_{sm} \text{conflicting-clss } T \rangle$   
 $\langle \text{proof} \rangle$

**lemma** *cdcl-bnb-still-model:*

**assumes**

$\langle \text{cdcl-bnb } S \ T \rangle$  **and**  
 $\text{all-struct: } \langle \text{cdcl}_W\text{-restart-mset.cdcl}_W\text{-all-struct-inv } (\text{abs-state } S) \rangle$  **and**  
 $\text{ent: } \langle \text{set-mset } I \models_{sm} \text{clauses } S \rangle \ \langle \text{set-mset } I \models_{sm} \text{conflicting-clss } S \rangle$  **and**  
 $\text{dist: } \langle \text{distinct-mset } I \rangle$  **and**  
 $\text{cons: } \langle \text{consistent-interp } (\text{set-mset } I) \rangle$  **and**  
 $\text{tot: } \langle \text{atms-of } I = \text{atms-of-mm } (\text{init-clss } S) \rangle$  **and**  
 $\text{opt-struct: } \langle \text{cdcl-bnb-struct-invs } S \rangle$

**shows**

$\langle \text{set-mset } I \models_{sm} \text{clauses } T \wedge \text{set-mset } I \models_{sm} \text{conflicting-clss } T \rangle \vee \text{Found } (\varrho \ I) \geq \varrho' \ (\text{weight } T) \rangle$   
 $\langle \text{proof} \rangle$

**lemma** *rtrancpl-cdcl-bnb-still-model:*

**assumes**

$\text{st: } \langle \text{cdcl-bnb}^{**} \ S \ T \rangle$  **and**  
 $\text{all-struct: } \langle \text{cdcl}_W\text{-restart-mset.cdcl}_W\text{-all-struct-inv } (\text{abs-state } S) \rangle$  **and**  
 $\text{ent: } \langle (\text{set-mset } I \models_{sm} \text{clauses } S \wedge \text{set-mset } I \models_{sm} \text{conflicting-clss } S) \vee \text{Found } (\varrho \ I) \geq \varrho' \ (\text{weight } S) \rangle$  **and**  
 $\text{dist: } \langle \text{distinct-mset } I \rangle$  **and**  
 $\text{cons: } \langle \text{consistent-interp } (\text{set-mset } I) \rangle$  **and**  
 $\text{tot: } \langle \text{atms-of } I = \text{atms-of-mm } (\text{init-clss } S) \rangle$  **and**  
 $\text{opt-struct: } \langle \text{cdcl-bnb-struct-invs } S \rangle$

**shows**

$\langle (\text{set-mset } I \models_{sm} \text{clauses } T \wedge \text{set-mset } I \models_{sm} \text{conflicting-clss } T) \vee \text{Found } (\varrho \ I) \geq \varrho' \ (\text{weight } T) \rangle$   
 $\langle \text{proof} \rangle$

**lemma** *full-cdcl-bnb-stgy-larger-or-equal-weight:*

**assumes**

$\text{st: } \langle \text{full cdcl-bnb-stgy } S \ T \rangle$  **and**  
 $\text{all-struct: } \langle \text{cdcl}_W\text{-restart-mset.cdcl}_W\text{-all-struct-inv } (\text{abs-state } S) \rangle$  **and**  
 $\text{ent: } \langle (\text{set-mset } I \models_{sm} \text{clauses } S \wedge \text{set-mset } I \models_{sm} \text{conflicting-clss } S) \vee \text{Found } (\varrho \ I) \geq \varrho' \ (\text{weight } S) \rangle$  **and**  
 $\text{dist: } \langle \text{distinct-mset } I \rangle$  **and**  
 $\text{cons: } \langle \text{consistent-interp } (\text{set-mset } I) \rangle$  **and**  
 $\text{tot: } \langle \text{atms-of } I = \text{atms-of-mm } (\text{init-clss } S) \rangle$  **and**  
 $\text{opt-struct: } \langle \text{cdcl-bnb-struct-invs } S \rangle$  **and**  
 $\text{stgy-inv: } \langle \text{cdcl-bnb-stgy-inv } S \rangle$

**shows**

$\langle \text{Found } (\varrho \ I) \geq \varrho' \ (\text{weight } T) \rangle$  **and**  
 $\langle \text{unsatisfiable } (\text{set-mset } (\text{clauses } T + \text{conflicting-clss } T)) \rangle$   
 $\langle \text{proof} \rangle$

**lemma** *full-cdcl-bnb-stgy-unsat2*:

**assumes**

*st*:  $\langle \text{full cdcl-bnb-stgy } S \ T \rangle$  **and**

*all-struct*:  $\langle \text{cdcl}_W\text{-restart-mset.cdcl}_W\text{-all-struct-inv } (\text{abs-state } S) \rangle$  **and**

*opt-struct*:  $\langle \text{cdcl-bnb-struct-invs } S \rangle$  **and**

*stgy-inv*:  $\langle \text{cdcl-bnb-stgy-inv } S \rangle$

**shows**

$\langle \text{unsatisfiable } (\text{set-mset } (\text{clauses } T + \text{conflicting-clss } T)) \rangle$

$\langle \text{proof} \rangle$

**lemma** *weight-init-state2[simp]*:  $\langle \text{weight } (\text{init-state } S) = \text{None} \rangle$  **and**

*conflicting-clss-init-state[simp]*:

$\langle \text{conflicting-clss } (\text{init-state } N) = \{\#\} \rangle$

$\langle \text{proof} \rangle$

First part of Theorem 2.15.6 of Weidenbach's book

**lemma** *full-cdcl-bnb-stgy-no-conflicting-clause-unsat*:

**assumes**

*st*:  $\langle \text{full cdcl-bnb-stgy } S \ T \rangle$  **and**

*all-struct*:  $\langle \text{cdcl}_W\text{-restart-mset.cdcl}_W\text{-all-struct-inv } (\text{abs-state } S) \rangle$  **and**

*opt-struct*:  $\langle \text{cdcl-bnb-struct-invs } S \rangle$  **and**

*stgy-inv*:  $\langle \text{cdcl-bnb-stgy-inv } S \rangle$  **and**

*[simp]*:  $\langle \text{weight } T = \text{None} \rangle$  **and**

*ent*:  $\langle \text{cdcl}_W\text{-learned-clauses-entailed-by-init } S \rangle$

**shows**  $\langle \text{unsatisfiable } (\text{set-mset } (\text{init-clss } S)) \rangle$

$\langle \text{proof} \rangle$

**definition** *annotation-is-model* **where**

$\langle \text{annotation-is-model } S \longleftrightarrow$

$(\text{weight } S \neq \text{None} \longrightarrow (\text{set-mset } (\text{the } (\text{weight } S))) \models_{\text{sm}} \text{init-clss } S \wedge$

$\text{consistent-interp } (\text{set-mset } (\text{the } (\text{weight } S))) \wedge$

$\text{atms-of } (\text{the } (\text{weight } S)) \subseteq \text{atms-of-mm } (\text{init-clss } S) \wedge$

$\text{total-over-m } (\text{set-mset } (\text{the } (\text{weight } S))) (\text{set-mset } (\text{init-clss } S)) \wedge$

$\text{distinct-mset } (\text{the } (\text{weight } S))) \rangle$

**lemma** *cdcl-bnb-annotation-is-model*:

**assumes**

$\langle \text{cdcl-bnb } S \ T \rangle$  **and**

$\langle \text{cdcl}_W\text{-restart-mset.cdcl}_W\text{-all-struct-inv } (\text{abs-state } S) \rangle$  **and**

$\langle \text{annotation-is-model } S \rangle$

**shows**  $\langle \text{annotation-is-model } T \rangle$

$\langle \text{proof} \rangle$

**lemma** *rtrancpl-cdcl-bnb-annotation-is-model*:

$\langle \text{cdcl-bnb}^{**} S \ T \implies \text{cdcl}_W\text{-restart-mset.cdcl}_W\text{-all-struct-inv } (\text{abs-state } S) \implies$

$\text{annotation-is-model } S \implies \text{annotation-is-model } T \rangle$

$\langle \text{proof} \rangle$

Theorem 2.15.6 of Weidenbach's book

**theorem** *full-cdcl-bnb-stgy-no-conflicting-clause-from-init-state*:

**assumes**

*st*:  $\langle \text{full cdcl-bnb-stgy } (\text{init-state } N) \ T \rangle$  **and**

*dist*:  $\langle \text{distinct-mset-mset } N \rangle$

**shows**

$\langle \text{weight } T = \text{None} \implies \text{unsatisfiable } (\text{set-mset } N) \rangle$  **and**

$\langle \text{weight } T \neq \text{None} \implies \text{consistent-interp } (\text{set-mset } (\text{the } (\text{weight } T))) \wedge$   
 $\text{atms-of } (\text{the } (\text{weight } T)) \subseteq \text{atms-of-mm } N \wedge \text{set-mset } (\text{the } (\text{weight } T)) \models_{sm} N \wedge$   
 $\text{total-over-m } (\text{set-mset } (\text{the } (\text{weight } T))) (\text{set-mset } N) \wedge$   
 $\text{distinct-mset } (\text{the } (\text{weight } T)) \rangle \text{ and}$   
 $\langle \text{distinct-mset } I \implies \text{consistent-interp } (\text{set-mset } I) \implies \text{atms-of } I = \text{atms-of-mm } N \implies$   
 $\text{set-mset } I \models_{sm} N \implies \text{Found } (\varrho I) \geq \varrho' (\text{weight } T) \rangle$   
 $\langle \text{proof} \rangle$

**lemma** *pruned-clause-in-conflicting-clss:*

**assumes**

$ge: \langle \bigwedge M'. \text{total-over-m } (\text{set-mset } (\text{mset } (M @ M'))) (\text{set-mset } (\text{init-clss } S)) \implies$   
 $\text{distinct-mset } (\text{atm-of } \# \text{ mset } (M @ M')) \implies$   
 $\text{consistent-interp } (\text{set-mset } (\text{mset } (M @ M'))) \implies$   
 $\text{Found } (\varrho (\text{mset } (M @ M'))) \geq \varrho' (\text{weight } S) \rangle \text{ and}$   
 $atm: \langle \text{atms-of } (\text{mset } M) \subseteq \text{atms-of-mm } (\text{init-clss } S) \rangle \text{ and}$   
 $dist: \langle \text{distinct } M \rangle \text{ and}$   
 $cons: \langle \text{consistent-interp } (\text{set } M) \rangle$

**shows**  $\langle pNeg (\text{mset } M) \in \# \text{ conflicting-clss } S \rangle$

$\langle \text{proof} \rangle$

## Alternative versions

### Calculus with simple Improve rule

To make sure that the paper version of the correct, we restrict the previous calculus to exactly the rules that are on paper.

**inductive** *pruning* ::  $\langle 'st \Rightarrow 'st \Rightarrow \text{bool} \rangle$  **where**

*pruning-rule:*

$\langle \text{pruning } S T \rangle$

**if**

$\langle \bigwedge M'. \text{total-over-m } (\text{set-mset } (\text{mset } (\text{map lit-of } (\text{trail } S) @ M'))) (\text{set-mset } (\text{init-clss } S)) \implies$   
 $\text{distinct-mset } (\text{atm-of } \# \text{ mset } (\text{map lit-of } (\text{trail } S) @ M')) \implies$   
 $\text{consistent-interp } (\text{set-mset } (\text{mset } (\text{map lit-of } (\text{trail } S) @ M'))) \implies$   
 $\varrho' (\text{weight } S) \leq \text{Found } (\varrho (\text{mset } (\text{map lit-of } (\text{trail } S) @ M')))) \rangle$   
 $\langle \text{conflicting } S = \text{None} \rangle$   
 $\langle T \sim \text{update-conflicting } (\text{Some } (\text{negate-ann-lits } (\text{trail } S))) S \rangle$

**inductive** *oconflict-opt* ::  $\langle 'st \Rightarrow 'st \Rightarrow \text{bool} \rangle$  **for**  $S T :: 'st$  **where**

*oconflict-opt-rule:*

$\langle \text{oconflict-opt } S T \rangle$

**if**

$\langle \text{Found } (\varrho (\text{lit-of } \# \text{ mset } (\text{trail } S))) \geq \varrho' (\text{weight } S) \rangle$   
 $\langle \text{conflicting } S = \text{None} \rangle$   
 $\langle T \sim \text{update-conflicting } (\text{Some } (\text{negate-ann-lits } (\text{trail } S))) S \rangle$

**inductive** *improve* ::  $\langle 'st \Rightarrow 'st \Rightarrow \text{bool} \rangle$  **for**  $S T :: 'st$  **where**

*improve-rule:*

$\langle \text{improve } S T \rangle$

**if**

$\langle \text{total-over-m } (\text{lits-of-l } (\text{trail } S)) (\text{set-mset } (\text{init-clss } S)) \rangle$   
 $\langle \text{Found } (\varrho (\text{lit-of } \# \text{ mset } (\text{trail } S))) < \varrho' (\text{weight } S) \rangle$   
 $\langle \text{trail } S \models_{asm} \text{init-clss } S \rangle$   
 $\langle \text{conflicting } S = \text{None} \rangle$   
 $\langle T \sim \text{update-weight-information } (\text{trail } S) S \rangle$

This is the basic version of the calculus:

**inductive**  $ocdcl_w :: \langle 'st \Rightarrow 'st \Rightarrow bool \rangle$  **for**  $S :: 'st$  **where**

$ocdcl\_conflict: conflict\ S\ S' \Longrightarrow ocdcl_w\ S\ S' \mid$   
 $ocdcl\_propagate: propagate\ S\ S' \Longrightarrow ocdcl_w\ S\ S' \mid$   
 $ocdcl\_improve: improve\ S\ S' \Longrightarrow ocdcl_w\ S\ S' \mid$   
 $ocdcl\_conflict\_opt: oconflict\_opt\ S\ S' \Longrightarrow ocdcl_w\ S\ S' \mid$   
 $ocdcl\_other': ocdcl_W-o\ S\ S' \Longrightarrow ocdcl_w\ S\ S' \mid$   
 $ocdcl\_pruning: pruning\ S\ S' \Longrightarrow ocdcl_w\ S\ S'$

**inductive**  $ocdcl_w\_stgy :: \langle 'st \Rightarrow 'st \Rightarrow bool \rangle$  **for**  $S :: 'st$  **where**

$ocdcl_w\_conflict: conflict\ S\ S' \Longrightarrow ocdcl_w\_stgy\ S\ S' \mid$   
 $ocdcl_w\_propagate: propagate\ S\ S' \Longrightarrow ocdcl_w\_stgy\ S\ S' \mid$   
 $ocdcl_w\_improve: improve\ S\ S' \Longrightarrow ocdcl_w\_stgy\ S\ S' \mid$   
 $ocdcl_w\_conflict\_opt: conflict\_opt\ S\ S' \Longrightarrow ocdcl_w\_stgy\ S\ S' \mid$   
 $ocdcl_w\_other': ocdcl_W-o\ S\ S' \Longrightarrow no\_conflict\_prop\_impr\ S \Longrightarrow ocdcl_w\_stgy\ S\ S'$

**lemma**  $pruning\_conflict\_opt:$

**assumes**  $ocdcl\_pruning: \langle pruning\ S\ T \rangle$  **and**  
 $inv: \langle cdcl_W-restart-mset.cdcl_W-all-struct-inv\ (abs-state\ S) \rangle$   
**shows**  $\langle conflict\_opt\ S\ T \rangle$

$\langle proof \rangle$

**lemma**  $ocdcl\_conflict\_opt\_conflict\_opt:$

**assumes**  $ocdcl\_pruning: \langle oconflict\_opt\ S\ T \rangle$  **and**  
 $inv: \langle cdcl_W-restart-mset.cdcl_W-all-struct-inv\ (abs-state\ S) \rangle$   
**shows**  $\langle conflict\_opt\ S\ T \rangle$

$\langle proof \rangle$

**lemma**  $improve\_improvep:$

**assumes**  $imp: \langle improve\ S\ T \rangle$  **and**  
 $inv: \langle cdcl_W-restart-mset.cdcl_W-all-struct-inv\ (abs-state\ S) \rangle$   
**shows**  $\langle improvep\ S\ T \rangle$

$\langle proof \rangle$

**lemma**  $ocdcl_w-cdcl-bnb:$

**assumes**  $\langle ocdcl_w\ S\ T \rangle$  **and**  
 $inv: \langle cdcl_W-restart-mset.cdcl_W-all-struct-inv\ (abs-state\ S) \rangle$   
**shows**  $\langle cdcl-bnb\ S\ T \rangle$

$\langle proof \rangle$

**lemma**  $ocdcl_w\_stgy\_cdcl-bnb-stgy:$

**assumes**  $\langle ocdcl_w\_stgy\ S\ T \rangle$  **and**  
 $inv: \langle cdcl_W-restart-mset.cdcl_W-all-struct-inv\ (abs-state\ S) \rangle$   
**shows**  $\langle cdcl-bnb-stgy\ S\ T \rangle$

$\langle proof \rangle$

**lemma**  $rtrancp\_ocdcl_w\_stgy\_rtrancp\_cdcl-bnb-stgy:$

**assumes**  $\langle ocdcl_w\_stgy^{**}\ S\ T \rangle$  **and**  
 $inv: \langle cdcl_W-restart-mset.cdcl_W-all-struct-inv\ (abs-state\ S) \rangle$   
**shows**  $\langle cdcl-bnb-stgy^{**}\ S\ T \rangle$

$\langle proof \rangle$

**lemma**  $no\_step\_ocdcl_w\_no\_step\_cdcl-bnb:$

**assumes**  $\langle no\_step\ ocdcl_w\ S \rangle$  **and**  
 $inv: \langle cdcl_W-restart-mset.cdcl_W-all-struct-inv\ (abs-state\ S) \rangle$

**shows**  $\langle \text{no-step cdcl-bnb } S \rangle$   
 $\langle \text{proof} \rangle$

**lemma** *all-struct-init-state-distinct-iff*:  
 $\langle \text{cdcl}_W\text{-restart-mset.cdcl}_W\text{-all-struct-inv (abs-state (init-state } N)) \longleftrightarrow$   
 $\text{distinct-mset-mset } N \rangle$   
 $\langle \text{proof} \rangle$

**lemma** *no-step-ocdcl<sub>w</sub>-stgy-no-step-cdcl-bnb-stgy*:  
**assumes**  $\langle \text{no-step ocdcl}_w\text{-stgy } S \rangle$  **and**  
 $\text{inv: } \langle \text{cdcl}_W\text{-restart-mset.cdcl}_W\text{-all-struct-inv (abs-state } S) \rangle$   
**shows**  $\langle \text{no-step cdcl-bnb-stgy } S \rangle$   
 $\langle \text{proof} \rangle$

**lemma** *full-ocdcl<sub>w</sub>-stgy-full-cdcl-bnb-stgy*:  
**assumes**  $\langle \text{full ocdcl}_w\text{-stgy } S \ T \rangle$  **and**  
 $\text{inv: } \langle \text{cdcl}_W\text{-restart-mset.cdcl}_W\text{-all-struct-inv (abs-state } S) \rangle$   
**shows**  $\langle \text{full cdcl-bnb-stgy } S \ T \rangle$   
 $\langle \text{proof} \rangle$

**corollary** *full-ocdcl<sub>w</sub>-stgy-no-conflicting-clause-from-init-state*:  
**assumes**  
 $\text{st: } \langle \text{full ocdcl}_w\text{-stgy (init-state } N) \ T \rangle$  **and**  
 $\text{dist: } \langle \text{distinct-mset-mset } N \rangle$   
**shows**  
 $\langle \text{weight } T = \text{None} \implies \text{unsatisfiable (set-mset } N) \rangle$  **and**  
 $\langle \text{weight } T \neq \text{None} \implies \text{model-on (set-mset (the (weight } T))) \ N \wedge \text{set-mset (the (weight } T))} \models_{sm} N$   
 $\wedge$   
 $\langle \text{distinct-mset (the (weight } T))} \rangle$  **and**  
 $\langle \text{distinct-mset } I \implies \text{consistent-interp (set-mset } I) \implies \text{atms-of } I = \text{atms-of-mm } N \implies$   
 $\text{set-mset } I \models_{sm} N \implies \text{Found } (\varrho \ I) \geq \varrho' \ (\text{weight } T) \rangle$   
 $\langle \text{proof} \rangle$

**lemma** *wf-ocdcl<sub>w</sub>*:  
 $\langle \text{wf } \{(T, S). \text{cdcl}_W\text{-restart-mset.cdcl}_W\text{-all-struct-inv (abs-state } S) \wedge \text{ocdcl}_w \ S \ T\} \rangle$   
 $\langle \text{proof} \rangle$

## Calculus with generalised Improve rule

Now a version with the more general improve rule:

**inductive** *ocdcl<sub>w</sub>-p* ::  $\langle 'st \Rightarrow 'st \Rightarrow \text{bool} \rangle$  **for**  $S :: 'st$  **where**  
*ocdcl-conflict*:  $\text{conflict } S \ S' \implies \text{ocdcl}_w\text{-p } S \ S' \mid$   
*ocdcl-propagate*:  $\text{propagate } S \ S' \implies \text{ocdcl}_w\text{-p } S \ S' \mid$   
*ocdcl-improve*:  $\text{improvep } S \ S' \implies \text{ocdcl}_w\text{-p } S \ S' \mid$   
*ocdcl-conflict-opt*:  $\text{oconflict-opt } S \ S' \implies \text{ocdcl}_w\text{-p } S \ S' \mid$   
*ocdcl-other'*:  $\text{ocdcl}_W\text{-o } S \ S' \implies \text{ocdcl}_w\text{-p } S \ S' \mid$   
*ocdcl-pruning*:  $\text{pruning } S \ S' \implies \text{ocdcl}_w\text{-p } S \ S'$

**inductive** *ocdcl<sub>w</sub>-p-stgy* ::  $\langle 'st \Rightarrow 'st \Rightarrow \text{bool} \rangle$  **for**  $S :: 'st$  **where**  
*ocdcl<sub>w</sub>-p-conflict*:  $\text{conflict } S \ S' \implies \text{ocdcl}_w\text{-p-stgy } S \ S' \mid$   
*ocdcl<sub>w</sub>-p-propagate*:  $\text{propagate } S \ S' \implies \text{ocdcl}_w\text{-p-stgy } S \ S' \mid$   
*ocdcl<sub>w</sub>-p-improve*:  $\text{improvep } S \ S' \implies \text{ocdcl}_w\text{-p-stgy } S \ S' \mid$   
*ocdcl<sub>w</sub>-p-conflict-opt*:  $\text{conflict-opt } S \ S' \implies \text{ocdcl}_w\text{-p-stgy } S \ S' \mid$



*ocdcl<sub>w</sub>-p-pruning*:  $\text{pruning } S S' \implies \text{ocdcl}_w\text{-p-stgy } S S' \mid$   
*ocdcl<sub>w</sub>-p-other'*:  $\text{ocdcl}_W\text{-o } S S' \implies \text{no-conflict-prop-impr } S \implies \text{ocdcl}_w\text{-p-stgy } S S'$

**lemma** *ocdcl<sub>w</sub>-p-cdcl-bnb*:

**assumes**  $\langle \text{ocdcl}_w\text{-p } S T \rangle$  **and**

*inv*:  $\langle \text{cdcl}_W\text{-restart-mset.cdcl}_W\text{-all-struct-inv } (\text{abs-state } S) \rangle$

**shows**  $\langle \text{cdcl-bnb } S T \rangle$

$\langle \text{proof} \rangle$

**lemma** *ocdcl<sub>w</sub>-p-stgy-cdcl-bnb-stgy*:

**assumes**  $\langle \text{ocdcl}_w\text{-p-stgy } S T \rangle$  **and**

*inv*:  $\langle \text{cdcl}_W\text{-restart-mset.cdcl}_W\text{-all-struct-inv } (\text{abs-state } S) \rangle$

**shows**  $\langle \text{cdcl-bnb-stgy } S T \rangle$

$\langle \text{proof} \rangle$

**lemma** *rtrancp-ocdcl<sub>w</sub>-p-stgy-rtrancp-cdcl-bnb-stgy*:

**assumes**  $\langle \text{ocdcl}_w\text{-p-stgy}^{**} S T \rangle$  **and**

*inv*:  $\langle \text{cdcl}_W\text{-restart-mset.cdcl}_W\text{-all-struct-inv } (\text{abs-state } S) \rangle$

**shows**  $\langle \text{cdcl-bnb-stgy}^{**} S T \rangle$

$\langle \text{proof} \rangle$

**lemma** *no-step-ocdcl<sub>w</sub>-p-no-step-cdcl-bnb*:

**assumes**  $\langle \text{no-step ocdcl}_w\text{-p } S \rangle$  **and**

*inv*:  $\langle \text{cdcl}_W\text{-restart-mset.cdcl}_W\text{-all-struct-inv } (\text{abs-state } S) \rangle$

**shows**  $\langle \text{no-step cdcl-bnb } S \rangle$

$\langle \text{proof} \rangle$

**lemma** *no-step-ocdcl<sub>w</sub>-p-stgy-no-step-cdcl-bnb-stgy*:

**assumes**  $\langle \text{no-step ocdcl}_w\text{-p-stgy } S \rangle$  **and**

*inv*:  $\langle \text{cdcl}_W\text{-restart-mset.cdcl}_W\text{-all-struct-inv } (\text{abs-state } S) \rangle$

**shows**  $\langle \text{no-step cdcl-bnb-stgy } S \rangle$

$\langle \text{proof} \rangle$

**lemma** *full-ocdcl<sub>w</sub>-p-stgy-full-cdcl-bnb-stgy*:

**assumes**  $\langle \text{full ocdcl}_w\text{-p-stgy } S T \rangle$  **and**

*inv*:  $\langle \text{cdcl}_W\text{-restart-mset.cdcl}_W\text{-all-struct-inv } (\text{abs-state } S) \rangle$

**shows**  $\langle \text{full cdcl-bnb-stgy } S T \rangle$

$\langle \text{proof} \rangle$

**corollary** *full-ocdcl<sub>w</sub>-p-stgy-no-conflicting-clause-from-init-state*:

**assumes**

*st*:  $\langle \text{full ocdcl}_w\text{-p-stgy } (\text{init-state } N) T \rangle$  **and**

*dist*:  $\langle \text{distinct-mset-mset } N \rangle$

**shows**

$\langle \text{weight } T = \text{None} \implies \text{unsatisfiable } (\text{set-mset } N) \rangle$  **and**

$\langle \text{weight } T \neq \text{None} \implies \text{model-on } (\text{set-mset } (\text{the } (\text{weight } T))) N \wedge \text{set-mset } (\text{the } (\text{weight } T)) \models_{sm} N$

$\wedge$

$\langle \text{distinct-mset } (\text{the } (\text{weight } T))) \rangle$  **and**

$\langle \text{distinct-mset } I \implies \text{consistent-interp } (\text{set-mset } I) \implies \text{atms-of } I = \text{atms-of-mm } N \implies$

$\text{set-mset } I \models_{sm} N \implies \text{Found } (\varrho I) \geq \varrho' (\text{weight } T) \rangle$

$\langle \text{proof} \rangle$

**lemma** *cdcl-bnb-stgy-no-smaller-propa*:

$\langle \text{cdcl-bnb-stgy } S T \implies \text{cdcl}_W\text{-restart-mset.cdcl}_W\text{-all-struct-inv } (\text{abs-state } S) \implies$

*no-smaller-propa S*  $\implies$  *no-smaller-propa T*  
 $\langle \text{proof} \rangle$

**lemma** *rtrancp-cdcl-bnb-stgy-no-smaller-propa*:

$\langle \text{cdcl-bnb-stgy}^{**} S T \implies \text{cdcl}_W\text{-restart-mset.cdcl}_W\text{-all-struct-inv (abs-state S)} \implies$   
*no-smaller-propa S*  $\implies$  *no-smaller-propa T*  
 $\langle \text{proof} \rangle$

**lemma** *wf-ocdcl<sub>w</sub>-p*:

$\langle \text{wf } \{(T, S). \text{cdcl}_W\text{-restart-mset.cdcl}_W\text{-all-struct-inv (abs-state S)} \wedge \text{ocdcl}_w\text{-p S T}\} \rangle$   
 $\langle \text{proof} \rangle$

**end**

**end**

**theory** *CDCL-W-Partial-Encoding*

**imports** *CDCL-W-Optimal-Model*

**begin**

### 0.1.2 Encoding of partial SAT into total SAT

As a way to make sure we don't reuse theorems names:

**interpretation** *test: conflict-driven-clause-learning<sub>W</sub>-optimal-weight* **where**

*state-eq* =  $\langle (=) \rangle$  **and**  
*state* = *id* **and**  
*trail* =  $\langle \lambda(M, N, U, D, W). M \rangle$  **and**  
*init-clss* =  $\langle \lambda(M, N, U, D, W). N \rangle$  **and**  
*learned-clss* =  $\langle \lambda(M, N, U, D, W). U \rangle$  **and**  
*conflicting* =  $\langle \lambda(M, N, U, D, W). D \rangle$  **and**  
*cons-trail* =  $\langle \lambda K (M, N, U, D, W). (K \# M, N, U, D, W) \rangle$  **and**  
*tl-trail* =  $\langle \lambda(M, N, U, D, W). (tl M, N, U, D, W) \rangle$  **and**  
*add-learned-cls* =  $\langle \lambda C (M, N, U, D, W). (M, N, \text{add-mset } C U, D, W) \rangle$  **and**  
*remove-cls* =  $\langle \lambda C (M, N, U, D, W). (M, \text{removeAll-mset } C N, \text{removeAll-mset } C U, D, W) \rangle$  **and**  
*update-conflicting* =  $\langle \lambda C (M, N, U, -, W). (M, N, U, C, W) \rangle$  **and**  
*init-state* =  $\langle \lambda N. ([], N, \{\#\}, \text{None}, \text{None}, ()) \rangle$  **and**  
*g* =  $\langle \lambda -. 0 \rangle$  **and**  
*update-additional-info* =  $\langle \lambda W (M, N, U, D, -, -). (M, N, U, D, W) \rangle$   
 $\langle \text{proof} \rangle$

We here formalise the encoding from a formula to another formula from which we will use to derive the optimal partial model.

While the proofs are still inspired by Dominic Zimmer's upcoming bachelor thesis, we now use the dual rail encoding, which is more elegant than the solution found by Christoph to solve the problem.

The intended meaning is the following:

- $\Sigma$  is the set of all variables
- $\Delta\Sigma$  is the set of all variables with a (possibly non-zero) weight: These are the variable that needs to be replaced during encoding, but it does not matter if the weight 0.

**locale** *optimal-encoding-opt* = *conflict-driven-clause-learning<sub>W</sub>-optimal-weight*  
*state-eq*

```

state
— functions for the state:
— access functions:
trail init-clss learned-clss conflicting
— changing state:
cons-trail tl-trail add-learned-cls remove-cls
update-conflicting

— get state:
init-state
 $\varrho$ 
update-additional-info
for
state-eq :: 'st  $\Rightarrow$  'st  $\Rightarrow$  bool (infix ~ 50) and
state :: 'st  $\Rightarrow$  ('v, 'v clause) ann-lits  $\times$  'v clauses  $\times$  'v clauses  $\times$  'v clause option  $\times$ 
      'v clause option  $\times$  'b and
trail :: 'st  $\Rightarrow$  ('v, 'v clause) ann-lits and
init-clss :: 'st  $\Rightarrow$  'v clauses and
learned-clss :: 'st  $\Rightarrow$  'v clauses and
conflicting :: 'st  $\Rightarrow$  'v clause option and

cons-trail :: ('v, 'v clause) ann-lit  $\Rightarrow$  'st  $\Rightarrow$  'st and
tl-trail :: 'st  $\Rightarrow$  'st and
add-learned-cls :: 'v clause  $\Rightarrow$  'st  $\Rightarrow$  'st and
remove-cls :: 'v clause  $\Rightarrow$  'st  $\Rightarrow$  'st and
update-conflicting :: 'v clause option  $\Rightarrow$  'st  $\Rightarrow$  'st and

init-state :: 'v clauses  $\Rightarrow$  'st and
 $\varrho$  :: ('v clause  $\Rightarrow$  'a :: {linorder}) and
update-additional-info :: ('v clause option  $\times$  'b  $\Rightarrow$  'st  $\Rightarrow$  'st) +
fixes  $\Sigma \Delta\Sigma$  :: ('v set) and
new-vars :: ('v  $\Rightarrow$  'v  $\times$  'v)
begin

abbreviation replacement-pos :: ('v  $\Rightarrow$  'v)  $((-)^{\mapsto^1} 100)$  where
  replacement-pos A  $\equiv$  fst (new-vars A)

abbreviation replacement-neg :: ('v  $\Rightarrow$  'v)  $((-)^{\mapsto^0} 100)$  where
  replacement-neg A  $\equiv$  snd (new-vars A)

fun encode-lit where
  encode-lit (Pos A) = (if A  $\in \Delta\Sigma$  then Pos (replacement-pos A) else Pos A) |
  encode-lit (Neg A) = (if A  $\in \Delta\Sigma$  then Pos (replacement-neg A) else Neg A)

lemma encode-lit-alt-def:
  encode-lit A = (if atm-of A  $\in \Delta\Sigma$ 
    then Pos (if is-pos A then replacement-pos (atm-of A) else replacement-neg (atm-of A))
    else A)
  proof

definition encode-clause :: ('v clause  $\Rightarrow$  'v clause) where
  encode-clause C = encode-lit '# C'

lemma encode-clause-simp[simp]:
  encode-clause {#} = {#}

```

$\langle \text{encode-clause } (\text{add-mset } A \ C) = \text{add-mset } (\text{encode-lit } A) \ (\text{encode-clause } C) \rangle$   
 $\langle \text{encode-clause } (C + D) = \text{encode-clause } C + \text{encode-clause } D \rangle$   
 $\langle \text{proof} \rangle$

**definition**  $\text{encode-clauses} :: \langle 'v \text{ clauses} \Rightarrow 'v \text{ clauses} \rangle$  **where**  
 $\langle \text{encode-clauses } C = \text{encode-clause } \# \ C \rangle$

**lemma**  $\text{encode-clauses-simp}[\text{simp}]$ :  
 $\langle \text{encode-clauses } \{\# \} = \{\# \} \rangle$   
 $\langle \text{encode-clauses } (\text{add-mset } A \ C) = \text{add-mset } (\text{encode-clause } A) \ (\text{encode-clauses } C) \rangle$   
 $\langle \text{encode-clauses } (C + D) = \text{encode-clauses } C + \text{encode-clauses } D \rangle$   
 $\langle \text{proof} \rangle$

**definition**  $\text{additional-constraint} :: \langle 'v \Rightarrow 'v \text{ clauses} \rangle$  **where**  
 $\langle \text{additional-constraint } A =$   
 $\quad \{\# \{ \# \text{Neg } (A^{\mapsto 1}), \text{Neg } (A^{\mapsto 0}) \# \} \# \} \rangle$

**definition**  $\text{additional-constraints} :: \langle 'v \text{ clauses} \rangle$  **where**  
 $\langle \text{additional-constraints} = \bigcup \# (\text{additional-constraint } \# \ (\text{mset-set } \Delta\Sigma)) \rangle$

**definition**  $\text{penc} :: \langle 'v \text{ clauses} \Rightarrow 'v \text{ clauses} \rangle$  **where**  
 $\langle \text{penc } N = \text{encode-clauses } N + \text{additional-constraints} \rangle$

**lemma**  $\text{size-encode-clauses}[\text{simp}]$ :  $\langle \text{size } (\text{encode-clauses } N) = \text{size } N \rangle$   
 $\langle \text{proof} \rangle$

**lemma**  $\text{size-penc}$ :  
 $\langle \text{size } (\text{penc } N) = \text{size } N + \text{card } \Delta\Sigma \rangle$   
 $\langle \text{proof} \rangle$

**lemma**  $\text{atms-of-mm-additional-constraints}$ :  $\langle \text{finite } \Delta\Sigma \implies$   
 $\text{atms-of-mm additional-constraints} = \text{replacement-pos } \# \ \Delta\Sigma \cup \text{replacement-neg } \# \ \Delta\Sigma \rangle$   
 $\langle \text{proof} \rangle$

**lemma**  $\text{atms-of-mm-encode-clause-subset}$ :  
 $\langle \text{atms-of-mm } (\text{encode-clauses } N) \subseteq (\text{atms-of-mm } N - \Delta\Sigma) \cup \text{replacement-pos } \# \ \{A \in \Delta\Sigma. A \in$   
 $\text{atms-of-mm } N\}$   
 $\quad \cup \text{replacement-neg } \# \ \{A \in \Delta\Sigma. A \in \text{atms-of-mm } N\} \rangle$   
 $\langle \text{proof} \rangle$

In every meaningful application of the theorem below, we have  $\Delta\Sigma \subseteq \text{atms-of-mm } N$ .

**lemma**  $\text{atms-of-mm-penc-subset}$ :  $\langle \text{finite } \Delta\Sigma \implies$   
 $\text{atms-of-mm } (\text{penc } N) \subseteq \text{atms-of-mm } N \cup \text{replacement-pos } \# \ \Delta\Sigma$   
 $\quad \cup \text{replacement-neg } \# \ \Delta\Sigma \cup \Delta\Sigma \rangle$   
 $\langle \text{proof} \rangle$

**lemma**  $\text{atms-of-mm-encode-clause-subset2}$ :  $\langle \text{finite } \Delta\Sigma \implies \Delta\Sigma \subseteq \text{atms-of-mm } N \implies$   
 $\text{atms-of-mm } N \subseteq \text{atms-of-mm } (\text{encode-clauses } N) \cup \Delta\Sigma \rangle$   
 $\langle \text{proof} \rangle$

**lemma**  $\text{atms-of-mm-penc-subset2}$ :  $\langle \text{finite } \Delta\Sigma \implies \Delta\Sigma \subseteq \text{atms-of-mm } N \implies$   
 $\text{atms-of-mm } (\text{penc } N) = (\text{atms-of-mm } N - \Delta\Sigma) \cup \text{replacement-pos } \# \ \Delta\Sigma \cup \text{replacement-neg } \# \ \Delta\Sigma \rangle$   
 $\langle \text{proof} \rangle$

**theorem**  $\text{card-atms-of-mm-penc}$ :  
**assumes**  $\langle \text{finite } \Delta\Sigma \rangle$  **and**  $\langle \Delta\Sigma \subseteq \text{atms-of-mm } N \rangle$

**shows**  $\langle \text{card } (\text{atms-of-mm } (\text{penc } N)) \leq \text{card } (\text{atms-of-mm } N - \Delta\Sigma) + 2 * \text{card } \Delta\Sigma \rangle$  **(is**  $\langle ?A \leq ?B \rangle$ )  
 $\langle \text{proof} \rangle$

**definition**  $\text{postp} :: \langle 'v \text{ partial-interp} \Rightarrow 'v \text{ partial-interp} \rangle$  **where**

$\langle \text{postp } I =$   
 $\{A \in I. \text{atm-of } A \notin \Delta\Sigma \wedge \text{atm-of } A \in \Sigma\} \cup \text{Pos } ' \{A. A \in \Delta\Sigma \wedge \text{Pos } (\text{replacement-pos } A) \in I\}$   
 $\cup \text{Neg } ' \{A. A \in \Delta\Sigma \wedge \text{Pos } (\text{replacement-neg } A) \in I \wedge \text{Pos } (\text{replacement-pos } A) \notin I\} \rangle$

**lemma**  $\text{preprocess-clss-model-additional-variables2}$ :

**assumes**

$\langle \text{atm-of } A \in \Sigma - \Delta\Sigma \rangle$

**shows**

$\langle A \in \text{postp } I \longleftrightarrow A \in I \rangle$  **(is**  $\langle ?A \rangle$ )

$\langle \text{proof} \rangle$

**lemma**  $\text{encode-clause-iff}$ :

**assumes**

$\langle \bigwedge A. A \in \Delta\Sigma \implies \text{Pos } A \in I \longleftrightarrow \text{Pos } (\text{replacement-pos } A) \in I \rangle$

$\langle \bigwedge A. A \in \Delta\Sigma \implies \text{Neg } A \in I \longleftrightarrow \text{Pos } (\text{replacement-neg } A) \in I \rangle$

**shows**  $\langle I \models \text{encode-clause } C \longleftrightarrow I \models C \rangle$

$\langle \text{proof} \rangle$

**lemma**  $\text{encode-clauses-iff}$ :

**assumes**

$\langle \bigwedge A. A \in \Delta\Sigma \implies \text{Pos } A \in I \longleftrightarrow \text{Pos } (\text{replacement-pos } A) \in I \rangle$

$\langle \bigwedge A. A \in \Delta\Sigma \implies \text{Neg } A \in I \longleftrightarrow \text{Pos } (\text{replacement-neg } A) \in I \rangle$

**shows**  $\langle I \models_m \text{encode-clauses } C \longleftrightarrow I \models_m C \rangle$

$\langle \text{proof} \rangle$

**definition**  $\Sigma_{\text{add}}$  **where**

$\langle \Sigma_{\text{add}} = \text{replacement-pos } ' \Delta\Sigma \cup \text{replacement-neg } ' \Delta\Sigma \rangle$

**definition**  $\text{upostp} :: \langle 'v \text{ partial-interp} \Rightarrow 'v \text{ partial-interp} \rangle$  **where**

$\langle \text{upostp } I =$   
 $\text{Neg } ' \{A \in \Sigma. A \notin \Delta\Sigma \wedge \text{Pos } A \notin I \wedge \text{Neg } A \notin I\}$   
 $\cup \{A \in I. \text{atm-of } A \in \Sigma \wedge \text{atm-of } A \notin \Delta\Sigma\}$   
 $\cup \text{Pos } ' \text{replacement-pos } ' \{A \in \Delta\Sigma. \text{Pos } A \in I\}$   
 $\cup \text{Neg } ' \text{replacement-pos } ' \{A \in \Delta\Sigma. \text{Pos } A \notin I\}$   
 $\cup \text{Pos } ' \text{replacement-neg } ' \{A \in \Delta\Sigma. \text{Neg } A \in I\}$   
 $\cup \text{Neg } ' \text{replacement-neg } ' \{A \in \Delta\Sigma. \text{Neg } A \notin I\} \rangle$

**lemma**  $\text{atm-of-upostp-subset}$ :

$\langle \text{atm-of } ' (\text{upostp } I) \subseteq$   
 $(\text{atm-of } ' I - \Delta\Sigma) \cup \text{replacement-pos } ' \Delta\Sigma \cup$   
 $\text{replacement-neg } ' \Delta\Sigma \cup \Sigma \rangle$

$\langle \text{proof} \rangle$

**inductive**  $\text{odecide} :: \langle 'st \Rightarrow 'st \Rightarrow \text{bool} \rangle$  **where**

$\text{odecide-noweight}: \langle \text{odecide } S \ T \rangle$

**if**

$\langle \text{conflicting } S = \text{None} \rangle$  **and**

$\langle \text{undefined-lit } (\text{trail } S) \ L \rangle$  **and**

$\langle \text{atm-of } L \in \text{atms-of-mm } (\text{init-clss } S) \rangle$  **and**

$\langle T \sim \text{cons-trail } (\text{Decided } L) \ S \rangle$  **and**

```

  ⟨atm-of  $L \in \Sigma - \Delta\Sigma$ ⟩ |
  odecide-replacement-pos: ⟨odecide  $S T$ ⟩
if
  ⟨conflicting  $S = \text{None}$ ⟩ and
  ⟨undefined-lit (trail  $S$ ) (Pos (replacement-pos  $L$ ))⟩ and
  ⟨ $T \sim \text{cons-trail}$  (Decided (Pos (replacement-pos  $L$ )))  $S$ ⟩ and
  ⟨ $L \in \Delta\Sigma$ ⟩ |
  odecide-replacement-neg: ⟨odecide  $S T$ ⟩
if
  ⟨conflicting  $S = \text{None}$ ⟩ and
  ⟨undefined-lit (trail  $S$ ) (Pos (replacement-neg  $L$ ))⟩ and
  ⟨ $T \sim \text{cons-trail}$  (Decided (Pos (replacement-neg  $L$ )))  $S$ ⟩ and
  ⟨ $L \in \Delta\Sigma$ ⟩

inductive-cases odecideE: ⟨odecide  $S T$ ⟩

definition no-new-lonely-clause :: ⟨'v clause  $\Rightarrow$  bool⟩ where
  ⟨no-new-lonely-clause  $C \longleftrightarrow$ 
    ( $\forall L \in \Delta\Sigma. L \in \text{atms-of } C \longrightarrow$ 
      Neg (replacement-pos  $L$ )  $\in\# C \vee$  Neg (replacement-neg  $L$ )  $\in\# C \vee C \in\# \text{additional-constraint}$ 
       $L$ )⟩

definition lonely-weighted-lit-decided where
  ⟨lonely-weighted-lit-decided  $S \longleftrightarrow$ 
    ( $\forall L \in \Delta\Sigma. \text{Decided (Pos } L) \notin \text{set (trail } S) \wedge \text{Decided (Neg } L) \notin \text{set (trail } S)$ )⟩

end

locale optimal-encoding = optimal-encoding-opt
  state-eq
  state
  — functions for the state:
  — access functions:
  trail init-clss learned-clss conflicting
  — changing state:
  cons-trail tl-trail add-learned-cls remove-cls
  update-conflicting

  — get state:
  init-state
  0
  update-additional-info
   $\Sigma \Delta\Sigma$ 
  new-vars
for
  state-eq :: 'st  $\Rightarrow$  'st  $\Rightarrow$  bool (infix  $\sim 50$ ) and
  state :: 'st  $\Rightarrow$  ('v, 'v clause) ann-lits  $\times$  'v clauses  $\times$  'v clauses  $\times$  'v clause option  $\times$ 
    'v clause option  $\times$  'b and
  trail :: 'st  $\Rightarrow$  ('v, 'v clause) ann-lits and
  init-clss :: 'st  $\Rightarrow$  'v clauses and
  learned-clss :: 'st  $\Rightarrow$  'v clauses and
  conflicting :: 'st  $\Rightarrow$  'v clause option and
  cons-trail :: ('v, 'v clause) ann-lit  $\Rightarrow$  'st  $\Rightarrow$  'st and
  tl-trail :: 'st  $\Rightarrow$  'st and
  add-learned-cls :: 'v clause  $\Rightarrow$  'st  $\Rightarrow$  'st and

```

$remove\_cls :: 'v \text{ clause} \Rightarrow 'st \Rightarrow 'st \text{ and}$   
 $update\_conflicting :: 'v \text{ clause option} \Rightarrow 'st \Rightarrow 'st \text{ and}$   
  
 $init\_state :: 'v \text{ clauses} \Rightarrow 'st \text{ and}$   
 $\varrho :: \langle 'v \text{ clause} \Rightarrow 'a :: \{linorder\} \rangle \text{ and}$   
 $update\_additional\_info :: \langle 'v \text{ clause option} \times 'b \Rightarrow 'st \Rightarrow 'st \rangle \text{ and}$   
 $\Sigma \Delta\Sigma :: \langle 'v \text{ set} \rangle \text{ and}$   
 $new\_vars :: \langle 'v \Rightarrow 'v \times 'v \rangle +$   
**assumes**  
 $finite\_Sigma:$   
 $\langle finite \Delta\Sigma \rangle \text{ and}$   
 $\Delta\Sigma\_Sigma:$   
 $\langle \Delta\Sigma \subseteq \Sigma \rangle \text{ and}$   
 $new\_vars\_pos:$   
 $\langle A \in \Delta\Sigma \implies replacement\_pos A \notin \Sigma \rangle \text{ and}$   
 $new\_vars\_neg:$   
 $\langle A \in \Delta\Sigma \implies replacement\_neg A \notin \Sigma \rangle \text{ and}$   
 $new\_vars\_dist:$   
 $\langle inj\_on \ replacement\_pos \Delta\Sigma \rangle$   
 $\langle inj\_on \ replacement\_neg \Delta\Sigma \rangle$   
 $\langle replacement\_pos \text{ ' } \Delta\Sigma \cap replacement\_neg \text{ ' } \Delta\Sigma = \{\} \rangle \text{ and}$   
 $\Sigma\_no\_weight:$   
 $\langle atm\_of \ C \in \Sigma - \Delta\Sigma \implies \varrho (add\_mset \ C \ M) = \varrho \ M \rangle$

**begin**

**lemma** *new-vars-dist2:*

$\langle A \in \Delta\Sigma \implies B \in \Delta\Sigma \implies A \neq B \implies replacement\_pos A \neq replacement\_pos B \rangle$   
 $\langle A \in \Delta\Sigma \implies B \in \Delta\Sigma \implies A \neq B \implies replacement\_neg A \neq replacement\_neg B \rangle$   
 $\langle A \in \Delta\Sigma \implies B \in \Delta\Sigma \implies replacement\_neg A \neq replacement\_pos B \rangle$   
 $\langle proof \rangle$

**lemma** *consistent-interp-postp:*

$\langle consistent\_interp \ I \implies consistent\_interp \ (postp \ I) \rangle$   
 $\langle proof \rangle$

The reverse of the previous theorem does not hold due to the filtering on the variables of  $\Delta\Sigma$ . One example of version that holds:

**lemma**

**assumes**  $\langle A \in \Delta\Sigma \rangle$   
**shows**  $\langle consistent\_interp \ (postp \ \{Pos \ A, \ Neg \ A\}) \rangle \text{ and}$   
 $\langle \neg consistent\_interp \ \{Pos \ A, \ Neg \ A\} \rangle$   
 $\langle proof \rangle$

Some more restricted version of the reverse hold, like:

**lemma** *consistent-interp-postp-iff:*

$\langle atm\_of \text{ ' } I \subseteq \Sigma - \Delta\Sigma \implies consistent\_interp \ I \longleftrightarrow consistent\_interp \ (postp \ I) \rangle$   
 $\langle proof \rangle$

**lemma** *new-vars-different-iff[simp]:*

$\langle A \neq x^{\mapsto 1} \rangle$   
 $\langle A \neq x^{\mapsto 0} \rangle$   
 $\langle x^{\mapsto 1} \neq A \rangle$   
 $\langle x^{\mapsto 0} \neq A \rangle$   
 $\langle A^{\mapsto 0} \neq x^{\mapsto 1} \rangle$

$\langle A^{\mapsto 1} \neq x^{\mapsto 0} \rangle$   
 $\langle A^{\mapsto 0} = x^{\mapsto 0} \longleftrightarrow A = x \rangle$   
 $\langle A^{\mapsto 1} = x^{\mapsto 1} \longleftrightarrow A = x \rangle$   
 $\langle (A^{\mapsto 1}) \notin \Sigma \rangle$   
 $\langle (A^{\mapsto 0}) \notin \Sigma \rangle$   
 $\langle (A^{\mapsto 1}) \notin \Delta\Sigma \rangle$   
 $\langle (A^{\mapsto 0}) \notin \Delta\Sigma \rangle$  **if**  $\langle A \in \Delta\Sigma \rangle$   $\langle x \in \Delta\Sigma \rangle$  **for**  $A \ x$   
 $\langle \text{proof} \rangle$

**lemma** *consistent-interp-upostp*:

$\langle \text{consistent-interp } I \implies \text{consistent-interp } (\text{upostp } I) \rangle$   
 $\langle \text{proof} \rangle$

**lemma** *atm-of-upostp-subset2*:

$\langle \text{atm-of } 'I \subseteq \Sigma \implies \text{replacement-pos } ' \Delta\Sigma \cup$   
 $\text{replacement-neg } ' \Delta\Sigma \cup (\Sigma - \Delta\Sigma) \subseteq \text{atm-of } ' (\text{upostp } I) \rangle$   
 $\langle \text{proof} \rangle$

**lemma**  $\Delta\Sigma$ -notin-upost[simp]:

$\langle y \in \Delta\Sigma \implies \text{Neg } y \notin \text{upostp } I \rangle$   
 $\langle y \in \Delta\Sigma \implies \text{Pos } y \notin \text{upostp } I \rangle$   
 $\langle \text{proof} \rangle$

**lemma** *penc-ent-upostp*:

**assumes**  $\Sigma: \langle \text{atms-of-mm } N = \Sigma \rangle$  **and**  
 $\text{sat}: \langle I \models_{\text{sm}} N \rangle$  **and**  
 $\text{cons}: \langle \text{consistent-interp } I \rangle$  **and**  
 $\text{atm}: \langle \text{atm-of } 'I \subseteq \text{atms-of-mm } N \rangle$   
**shows**  $\langle \text{upostp } I \models_m \text{penc } N \rangle$   
 $\langle \text{proof} \rangle$

**lemma** *satisfiable-penc*:

**assumes**  $\Sigma: \langle \text{atms-of-mm } N = \Sigma \rangle$  **and**  
 $\text{sat}: \langle \text{satisfiable } (\text{set-mset } N) \rangle$   
**shows**  $\langle \text{satisfiable } (\text{set-mset } (\text{penc } N)) \rangle$   
 $\langle \text{proof} \rangle$

**lemma** *penc-ent-postp*:

**assumes**  $\Sigma: \langle \text{atms-of-mm } N = \Sigma \rangle$  **and**  
 $\text{sat}: \langle I \models_{\text{sm}} \text{penc } N \rangle$  **and**  
 $\text{cons}: \langle \text{consistent-interp } I \rangle$   
**shows**  $\langle \text{postp } I \models_m N \rangle$   
 $\langle \text{proof} \rangle$

**lemma** *satisfiable-penc-satisfiable*:

**assumes**  $\Sigma: \langle \text{atms-of-mm } N = \Sigma \rangle$  **and**  
 $\text{sat}: \langle \text{satisfiable } (\text{set-mset } (\text{penc } N)) \rangle$   
**shows**  $\langle \text{satisfiable } (\text{set-mset } N) \rangle$   
 $\langle \text{proof} \rangle$

**lemma** *satisfiable-penc-iff*:

**assumes**  $\Sigma: \langle \text{atms-of-mm } N = \Sigma \rangle$   
**shows**  $\langle \text{satisfiable } (\text{set-mset } (\text{penc } N)) \longleftrightarrow \text{satisfiable } (\text{set-mset } N) \rangle$   
 $\langle \text{proof} \rangle$



**abbreviation**  $\varrho_e\text{-filter} :: \langle 'v \text{ literal multiset} \Rightarrow 'v \text{ literal multiset} \rangle$  **where**  
 $\langle \varrho_e\text{-filter } M \equiv \{ \#L \in \# \text{ poss } (mset\text{-set } \Delta\Sigma). \text{ Pos } (atm\text{-of } L^{\mapsto 1}) \in \# M\# \} +$   
 $\{ \#L \in \# \text{ negs } (mset\text{-set } \Delta\Sigma). \text{ Pos } (atm\text{-of } L^{\mapsto 0}) \in \# M\# \} \rangle$

**definition**  $\varrho_e :: \langle 'v \text{ literal multiset} \Rightarrow 'a :: \{ \text{linorder} \} \rangle$  **where**  
 $\langle \varrho_e M = \varrho (\varrho_e\text{-filter } M) \rangle$

**lemma**  $\varrho_e\text{-mono}$ :  $\langle \text{distinct-mset } B \Longrightarrow A \subseteq \# B \Longrightarrow \varrho_e A \leq \varrho_e B \rangle$   
 $\langle \text{proof} \rangle$

**interpretation**  $\text{enc-weight-opt}$ :  $\text{conflict-driven-clause-learning}_W\text{-optimal-weight}$  **where**  
 $\text{state-eq} = \text{state-eq}$  **and**  
 $\text{state} = \text{state}$  **and**  
 $\text{trail} = \text{trail}$  **and**  
 $\text{init-clss} = \text{init-clss}$  **and**  
 $\text{learned-clss} = \text{learned-clss}$  **and**  
 $\text{conflicting} = \text{conflicting}$  **and**  
 $\text{cons-trail} = \text{cons-trail}$  **and**  
 $\text{tl-trail} = \text{tl-trail}$  **and**  
 $\text{add-learned-cl} = \text{add-learned-cl}$  **and**  
 $\text{remove-cl} = \text{remove-cl}$  **and**  
 $\text{update-conflicting} = \text{update-conflicting}$  **and**  
 $\text{init-state} = \text{init-state}$  **and**  
 $\varrho = \varrho_e$  **and**  
 $\text{update-additional-info} = \text{update-additional-info}$   
 $\langle \text{proof} \rangle$

**lemma**  $\Sigma\text{-no-weight-}\varrho_e$ :  $\langle atm\text{-of } C \in \Sigma - \Delta\Sigma \Longrightarrow \varrho_e (\text{add-mset } C M) = \varrho_e M \rangle$   
 $\langle \text{proof} \rangle$

**lemma**  $\varrho\text{-cancel-notin-}\Delta\Sigma$ :  
 $\langle (\bigwedge x. x \in \# M \Longrightarrow atm\text{-of } x \in \Sigma - \Delta\Sigma) \Longrightarrow \varrho (M + M') = \varrho M' \rangle$   
 $\langle \text{proof} \rangle$

**lemma**  $\varrho\text{-mono2}$ :  
 $\langle \text{consistent-interp } (set\text{-mset } M') \Longrightarrow \text{distinct-mset } M' \Longrightarrow$   
 $(\bigwedge A. A \in \# M \Longrightarrow atm\text{-of } A \in \Sigma) \Longrightarrow (\bigwedge A. A \in \# M' \Longrightarrow atm\text{-of } A \in \Sigma) \Longrightarrow$   
 $\{ \#A \in \# M. atm\text{-of } A \in \Delta\Sigma\# \} \subseteq \# \{ \#A \in \# M'. atm\text{-of } A \in \Delta\Sigma\# \} \Longrightarrow \varrho M \leq \varrho M' \rangle$   
 $\langle \text{proof} \rangle$

**lemma**  $\text{finite-upostp}$ :  $\langle \text{finite } I \Longrightarrow \text{finite } \Sigma \Longrightarrow \text{finite } (upostp I) \rangle$   
 $\langle \text{proof} \rangle$

**declare**  $\text{finite-}\Sigma[\text{simp}]$

**lemma**  $\text{consistent-interp-unionI}$ :

$\langle \text{consistent-interp } A \Longrightarrow \text{consistent-interp } B \Longrightarrow (\bigwedge a. a \in A \Longrightarrow -a \notin B) \Longrightarrow (\bigwedge a. a \in B \Longrightarrow -a \notin A) \Longrightarrow$   
 $\text{consistent-interp } (A \cup B) \rangle$   
 $\langle \text{proof} \rangle$

**lemma**  $\text{consistent-interp-poss}$ :  $\langle \text{consistent-interp } (\text{Pos } 'A) \rangle$  **and**  
 $\text{consistent-interp-negs}$ :  $\langle \text{consistent-interp } (\text{Neg } 'A) \rangle$   
 $\langle \text{proof} \rangle$

**lemma**  $\varrho_e$ -upostp- $\varrho$ :  
**assumes**  $[simp]$ :  $\langle finite \ \Sigma \rangle$  **and**  
 $\langle finite \ I \rangle$  **and**  
 $cons$ :  $\langle consistent\_interp \ I \rangle$  **and**  
 $I$ - $\Sigma$ :  $\langle atm\_of \ 'I \subseteq \Sigma \rangle$   
**shows**  $\langle \varrho_e \ (mset\_set \ (upostp \ I)) = \varrho \ (mset\_set \ I) \rangle$  (**is**  $\langle ?A = ?B \rangle$ )  
 $\langle proof \rangle$

**lemma** *encode-lit-eq-iff*:  
 $\langle atm\_of \ x \in \Sigma \implies atm\_of \ y \in \Sigma \implies encode\_lit \ x = encode\_lit \ y \longleftrightarrow x = y \rangle$   
 $\langle proof \rangle$

**lemma** *distinct-mset-encode-clause-iff*:  
 $\langle atms\_of \ N \subseteq \Sigma \implies distinct\_mset \ (encode\_clause \ N) \longleftrightarrow distinct\_mset \ N \rangle$   
 $\langle proof \rangle$

**lemma** *distinct-mset-encodes-clause-iff*:  
 $\langle atms\_of\_mm \ N \subseteq \Sigma \implies distinct\_mset\_mset \ (encode\_clauses \ N) \longleftrightarrow distinct\_mset\_mset \ N \rangle$   
 $\langle proof \rangle$

**lemma** *distinct-additional-constraints[simp]*:  
 $\langle distinct\_mset\_mset \ additional\_constraints \rangle$   
 $\langle proof \rangle$

**lemma** *distinct-mset-penc*:  
 $\langle atms\_of\_mm \ N \subseteq \Sigma \implies distinct\_mset\_mset \ (penc \ N) \longleftrightarrow distinct\_mset\_mset \ N \rangle$   
 $\langle proof \rangle$

**lemma** *finite-postp*:  $\langle finite \ I \implies finite \ (postp \ I) \rangle$   
 $\langle proof \rangle$

**theorem** *full-encoding-OCDCCL-correctness*:  
**assumes**  
 $st$ :  $\langle full \ enc\_weight\_opt.cdcl\_bnb\_stgy \ (init\_state \ (penc \ N)) \ T \rangle$  **and**  
 $dist$ :  $\langle distinct\_mset\_mset \ N \rangle$  **and**  
 $atms$ :  $\langle atms\_of\_mm \ N = \Sigma \rangle$   
**shows**  
 $\langle weight \ T = None \implies unsatisfiable \ (set\_mset \ N) \rangle$  **and**  
 $\langle weight \ T \neq None \implies postp \ (set\_mset \ (the \ (weight \ T))) \models_{sm} N \rangle$   
 $\langle weight \ T \neq None \implies distinct\_mset \ I \implies consistent\_interp \ (set\_mset \ I) \implies$   
 $atms\_of \ I \subseteq atms\_of\_mm \ N \implies set\_mset \ I \models_{sm} N \implies$   
 $\varrho \ I \geq \varrho \ (mset\_set \ (postp \ (set\_mset \ (the \ (weight \ T)))) \rangle$   
 $\langle weight \ T \neq None \implies \varrho_e \ (the \ (enc\_weight\_opt.weight \ T)) =$   
 $\varrho \ (mset\_set \ (postp \ (set\_mset \ (the \ (enc\_weight\_opt.weight \ T)))) \rangle$   
 $\langle proof \rangle$

**inductive**  $ocdcl_W$ -o-r ::  $'st \Rightarrow 'st \Rightarrow bool$  **for**  $S :: 'st$  **where**  
 $decide$ :  $odecide \ S \ S' \implies ocdcl_W$ -o-r  $S \ S' \mid$   
 $bj$ :  $enc\_weight\_opt.cdcl\_bnb\_bj \ S \ S' \implies ocdcl_W$ -o-r  $S \ S'$

**inductive**  $cdcl\_bnb$ -r ::  $'st \Rightarrow 'st \Rightarrow bool$  **for**  $S :: 'st$  **where**  
 $cdcl\_conflict$ :  $conflict \ S \ S' \implies cdcl\_bnb$ -r  $S \ S' \mid$   
 $cdcl\_propagate$ :  $propagate \ S \ S' \implies cdcl\_bnb$ -r  $S \ S' \mid$   
 $cdcl\_improve$ :  $enc\_weight\_opt.improvep \ S \ S' \implies cdcl\_bnb$ -r  $S \ S' \mid$

*cdcl-conflict-opt*:  $\text{enc-weight-opt.conflict-opt } S \ S' \implies \text{cdcl-bnb-r } S \ S' \mid$   
*cdcl-o'*:  $\text{ocdcl}_W\text{-o-r } S \ S' \implies \text{cdcl-bnb-r } S \ S'$

**inductive** *cdcl-bnb-r-stgy* ::  $\langle 'st \Rightarrow 'st \Rightarrow \text{bool} \rangle$  **for**  $S :: 'st$  **where**  
*cdcl-bnb-r-conflict*:  $\text{conflict } S \ S' \implies \text{cdcl-bnb-r-stgy } S \ S' \mid$   
*cdcl-bnb-r-propagate*:  $\text{propagate } S \ S' \implies \text{cdcl-bnb-r-stgy } S \ S' \mid$   
*cdcl-bnb-r-improve*:  $\text{enc-weight-opt.improvep } S \ S' \implies \text{cdcl-bnb-r-stgy } S \ S' \mid$   
*cdcl-bnb-r-conflict-opt*:  $\text{enc-weight-opt.conflict-opt } S \ S' \implies \text{cdcl-bnb-r-stgy } S \ S' \mid$   
*cdcl-bnb-r-other'*:  $\text{ocdcl}_W\text{-o-r } S \ S' \implies \text{no-conflict-prop-impr } S \implies \text{cdcl-bnb-r-stgy } S \ S'$

**lemma** *ocdcl<sub>W</sub>-o-r-cases*[consumes 1, case-names odecode obacktrack skip resolve]:

**assumes**  
 $\langle \text{ocdcl}_W\text{-o-r } S \ T \rangle$   
 $\langle \text{odecide } S \ T \implies P \ T \rangle$   
 $\langle \text{enc-weight-opt.obacktrack } S \ T \implies P \ T \rangle$   
 $\langle \text{skip } S \ T \implies P \ T \rangle$   
 $\langle \text{resolve } S \ T \implies P \ T \rangle$   
**shows**  $\langle P \ T \rangle$   
 $\langle \text{proof} \rangle$

**context**

**fixes**  $S :: 'st$   
**assumes**  $S\text{-}\Sigma$ :  $\langle \text{atms-of-mm } (\text{init-cls } S) = (\Sigma - \Delta\Sigma) \cup \text{replacement-pos } \Delta\Sigma \cup \text{replacement-neg } \Delta\Sigma \rangle$

**begin**

**lemma** *odecide-decide*:

$\langle \text{odecide } S \ T \implies \text{decide } S \ T \rangle$   
 $\langle \text{proof} \rangle$

**lemma** *ocdcl<sub>W</sub>-o-r-ocdcl<sub>W</sub>-o*:

$\langle \text{ocdcl}_W\text{-o-r } S \ T \implies \text{enc-weight-opt.ocdcl}_W\text{-o } S \ T \rangle$   
 $\langle \text{proof} \rangle$

**lemma** *cdcl-bnb-r-cdcl-bnb*:

$\langle \text{cdcl-bnb-r } S \ T \implies \text{enc-weight-opt.cdcl-bnb } S \ T \rangle$   
 $\langle \text{proof} \rangle$

**lemma** *cdcl-bnb-r-stgy-cdcl-bnb-stgy*:

$\langle \text{cdcl-bnb-r-stgy } S \ T \implies \text{enc-weight-opt.cdcl-bnb-stgy } S \ T \rangle$   
 $\langle \text{proof} \rangle$

**end**

**context**

**fixes**  $S :: 'st$   
**assumes**  $S\text{-}\Sigma$ :  $\langle \text{atms-of-mm } (\text{init-cls } S) = (\Sigma - \Delta\Sigma) \cup \text{replacement-pos } \Delta\Sigma \cup \text{replacement-neg } \Delta\Sigma \rangle$

**begin**

**lemma** *rtrancpl-cdcl-bnb-r-cdcl-bnb*:

$\langle \text{cdcl-bnb-r}^{**} S \ T \implies \text{enc-weight-opt.cdcl-bnb}^{**} S \ T \rangle$   
 $\langle \text{proof} \rangle$

**lemma** *rtrancpl-cdcl-bnb-r-stgy-cdcl-bnb-stgy*:

$\langle \text{cdcl-bnb-r-stgy}^{**} S T \implies \text{enc-weight-opt.cdcl-bnb-stgy}^{**} S T \rangle$   
 $\langle \text{proof} \rangle$

**lemma** *rtrancpl-cdcl-bnb-r-all-struct-inv*:

$\langle \text{cdcl-bnb-r}^{**} S T \implies$   
 $\text{cdcl}_W\text{-restart-mset.cdcl}_W\text{-all-struct-inv (enc-weight-opt.abs-state } S) \implies$   
 $\text{cdcl}_W\text{-restart-mset.cdcl}_W\text{-all-struct-inv (enc-weight-opt.abs-state } T) \rangle$   
 $\langle \text{proof} \rangle$

**lemma** *rtrancpl-cdcl-bnb-r-stgy-all-struct-inv*:

$\langle \text{cdcl-bnb-r-stgy}^{**} S T \implies$   
 $\text{cdcl}_W\text{-restart-mset.cdcl}_W\text{-all-struct-inv (enc-weight-opt.abs-state } S) \implies$   
 $\text{cdcl}_W\text{-restart-mset.cdcl}_W\text{-all-struct-inv (enc-weight-opt.abs-state } T) \rangle$   
 $\langle \text{proof} \rangle$

**end**

**lemma** *total-entails-iff-no-conflict*:

**assumes**  $\langle \text{atms-of-mm } N \subseteq \text{atm-of } I \rangle$  **and**  $\langle \text{consistent-interp } I \rangle$   
**shows**  $\langle I \models_{sm} N \longleftrightarrow (\forall C \in \# N. \neg I \models_s C \text{Not } C) \rangle$   
 $\langle \text{proof} \rangle$

**lemma** *no-step-cdcl-bnb-r-stgy-no-step-cdcl-bnb-stgy*:

**assumes**  
 $N$ :  $\langle \text{init-clss } S = \text{penc } N \rangle$  **and**  
 $\Sigma$ :  $\langle \text{atms-of-mm } N = \Sigma \rangle$  **and**  
 $n$ -d:  $\langle \text{no-dup (trail } S) \rangle$  **and**  
 $tr$ -alien:  $\langle \text{atm-of } I \text{ lits-of-l (trail } S) \subseteq \Sigma \cup \text{replacement-pos } I \Delta \Sigma \cup \text{replacement-neg } I \Delta \Sigma \rangle$   
**shows**  
 $\langle \text{no-step cdcl-bnb-r-stgy } S \longleftrightarrow \text{no-step enc-weight-opt.cdcl-bnb-stgy } S \rangle$  (**is**  $\langle ?A \longleftrightarrow ?B \rangle$ )  
 $\langle \text{proof} \rangle$

**lemma** *cdcl-bnb-r-stgy-init-clss*:

$\langle \text{cdcl-bnb-r-stgy } S T \implies \text{init-clss } S = \text{init-clss } T \rangle$   
 $\langle \text{proof} \rangle$

**lemma** *rtrancpl-cdcl-bnb-r-stgy-init-clss*:

$\langle \text{cdcl-bnb-r-stgy}^{**} S T \implies \text{init-clss } S = \text{init-clss } T \rangle$   
 $\langle \text{proof} \rangle$

**lemma** [*simp*]:

$\langle \text{enc-weight-opt.abs-state (init-state } N) = \text{abs-state (init-state } N) \rangle$   
 $\langle \text{proof} \rangle$

**corollary**

**assumes**  
 $\Sigma$ :  $\langle \text{atms-of-mm } N = \Sigma \rangle$  **and**  $dist$ :  $\langle \text{distinct-mset-mset } N \rangle$  **and**  
 $\langle \text{full cdcl-bnb-r-stgy (init-state (penc } N)) } T \rangle$   
**shows**  
 $\langle \text{full enc-weight-opt.cdcl-bnb-stgy (init-state (penc } N)) } T \rangle$   
 $\langle \text{proof} \rangle$

**lemma** *Neg-in-lits-of-l-definedD*:

$\langle \text{Neg } A \in \text{lits-of-l } M \implies \text{defined-lit } M (\text{Pos } A) \rangle$

⟨proof⟩

**lemma** *propagation-one-lit-of-same-lvl*:

**assumes**

⟨*cdcl<sub>W</sub>-restart-mset.cdcl<sub>W</sub>-all-struct-inv* (*abs-state S*)⟩ **and**

⟨*no-smaller-propa S*⟩ **and**

⟨*Propagated L E ∈ set (trail S)*⟩ **and**

*rea*: ⟨*reasons-in-clauses S*⟩ **and**

*nempty*: ⟨*E - {#L#} ≠ {#}*⟩

**shows**

⟨ $\exists L' \in \# \ E - \{ \#L\# \}. \text{get-level } (\text{trail } S) \ L = \text{get-level } (\text{trail } S) \ L'$ ⟩

⟨proof⟩

**lemma** *simple-backtrack-obacktrack*:

⟨*simple-backtrack S T* ⟹ *cdcl<sub>W</sub>-restart-mset.cdcl<sub>W</sub>-all-struct-inv* (*enc-weight-opt.abs-state S*) ⟹  
*enc-weight-opt.obacktrack S T*⟩

⟨proof⟩

**end**

**interpretation** *test-real: optimal-encoding-opt* **where**

*state-eq* = ⟨(=)⟩ **and**

*state* = *id* **and**

*trail* = ⟨ $\lambda(M, N, U, D, W). M$ ⟩ **and**

*init-clss* = ⟨ $\lambda(M, N, U, D, W). N$ ⟩ **and**

*learned-clss* = ⟨ $\lambda(M, N, U, D, W). U$ ⟩ **and**

*conflicting* = ⟨ $\lambda(M, N, U, D, W). D$ ⟩ **and**

*cons-trail* = ⟨ $\lambda K (M, N, U, D, W). (K \# M, N, U, D, W)$ ⟩ **and**

*tl-trail* = ⟨ $\lambda(M, N, U, D, W). (tl \ M, N, U, D, W)$ ⟩ **and**

*add-learned-cls* = ⟨ $\lambda C (M, N, U, D, W). (M, N, \text{add-mset } C \ U, D, W)$ ⟩ **and**

*remove-cls* = ⟨ $\lambda C (M, N, U, D, W). (M, \text{removeAll-mset } C \ N, \text{removeAll-mset } C \ U, D, W)$ ⟩ **and**

*update-conflicting* = ⟨ $\lambda C (M, N, U, -, W). (M, N, U, C, W)$ ⟩ **and**

*init-state* = ⟨ $\lambda N. ([], N, \{ \# \}, \text{None}, \text{None}, ())$ ⟩ **and**

*q* = ⟨ $\lambda -. (0::\text{real})$ ⟩ **and**

*update-additional-info* = ⟨ $\lambda W (M, N, U, D, -, -). (M, N, U, D, W)$ ⟩ **and**

$\Sigma = \{ 1..(100::\text{nat}) \}$  **and**

$\Delta\Sigma = \{ 1..(50::\text{nat}) \}$  **and**

*new-vars* = ⟨ $\lambda n. (200 + 2*n, 200 + 2*n+1)$ ⟩

⟨proof⟩

**lemma** *mult3-inj*:

⟨ $2 * A = \text{Suc } (2 * Aa) \longleftrightarrow \text{False}$ ⟩ **for** *A Aa::nat*

⟨proof⟩

**interpretation** *test-real: optimal-encoding-opt* **where**

*state-eq* = ⟨(=)⟩ **and**

*state* = *id* **and**

*trail* = ⟨ $\lambda(M, N, U, D, W). M$ ⟩ **and**

*init-clss* = ⟨ $\lambda(M, N, U, D, W). N$ ⟩ **and**

*learned-clss* = ⟨ $\lambda(M, N, U, D, W). U$ ⟩ **and**

*conflicting* = ⟨ $\lambda(M, N, U, D, W). D$ ⟩ **and**

*cons-trail* = ⟨ $\lambda K (M, N, U, D, W). (K \# M, N, U, D, W)$ ⟩ **and**

*tl-trail* = ⟨ $\lambda(M, N, U, D, W). (tl \ M, N, U, D, W)$ ⟩ **and**

*add-learned-cls* = ⟨ $\lambda C (M, N, U, D, W). (M, N, \text{add-mset } C \ U, D, W)$ ⟩ **and**

*remove-cls* = ⟨ $\lambda C (M, N, U, D, W). (M, \text{removeAll-mset } C \ N, \text{removeAll-mset } C \ U, D, W)$ ⟩ **and**

*update-conflicting* =  $\langle \lambda C (M, N, U, -, W). (M, N, U, C, W) \rangle$  **and**  
*init-state* =  $\langle \lambda N. ([], N, \{\#\}, None, None, ()) \rangle$  **and**  
*q* =  $\langle \lambda -. (0::real) \rangle$  **and**  
*update-additional-info* =  $\langle \lambda W (M, N, U, D, -, -). (M, N, U, D, W) \rangle$  **and**  
 $\Sigma = \langle \{1..(100::nat)\} \rangle$  **and**  
 $\Delta\Sigma = \langle \{1..(50::nat)\} \rangle$  **and**  
*new-vars* =  $\langle \lambda n. (200 + 2*n, 200 + 2*n+1) \rangle$   
 $\langle proof \rangle$

**interpretation** *test-nat: optimal-encoding-opt* **where**

*state-eq* =  $\langle (=) \rangle$  **and**  
*state* = *id* **and**  
*trail* =  $\langle \lambda (M, N, U, D, W). M \rangle$  **and**  
*init-clss* =  $\langle \lambda (M, N, U, D, W). N \rangle$  **and**  
*learned-clss* =  $\langle \lambda (M, N, U, D, W). U \rangle$  **and**  
*conflicting* =  $\langle \lambda (M, N, U, D, W). D \rangle$  **and**  
*cons-trail* =  $\langle \lambda K (M, N, U, D, W). (K \# M, N, U, D, W) \rangle$  **and**  
*tl-trail* =  $\langle \lambda (M, N, U, D, W). (tl\ M, N, U, D, W) \rangle$  **and**  
*add-learned-cl* =  $\langle \lambda C (M, N, U, D, W). (M, N, add-mset\ C\ U, D, W) \rangle$  **and**  
*remove-cl* =  $\langle \lambda C (M, N, U, D, W). (M, removeAll-mset\ C\ N, removeAll-mset\ C\ U, D, W) \rangle$  **and**  
*update-conflicting* =  $\langle \lambda C (M, N, U, -, W). (M, N, U, C, W) \rangle$  **and**  
*init-state* =  $\langle \lambda N. ([], N, \{\#\}, None, None, ()) \rangle$  **and**  
*q* =  $\langle \lambda -. (0::nat) \rangle$  **and**  
*update-additional-info* =  $\langle \lambda W (M, N, U, D, -, -). (M, N, U, D, W) \rangle$  **and**  
 $\Sigma = \langle \{1..(100::nat)\} \rangle$  **and**  
 $\Delta\Sigma = \langle \{1..(50::nat)\} \rangle$  **and**  
*new-vars* =  $\langle \lambda n. (200 + 2*n, 200 + 2*n+1) \rangle$   
 $\langle proof \rangle$

**interpretation** *test-nat: optimal-encoding* **where**

*state-eq* =  $\langle (=) \rangle$  **and**  
*state* = *id* **and**  
*trail* =  $\langle \lambda (M, N, U, D, W). M \rangle$  **and**  
*init-clss* =  $\langle \lambda (M, N, U, D, W). N \rangle$  **and**  
*learned-clss* =  $\langle \lambda (M, N, U, D, W). U \rangle$  **and**  
*conflicting* =  $\langle \lambda (M, N, U, D, W). D \rangle$  **and**  
*cons-trail* =  $\langle \lambda K (M, N, U, D, W). (K \# M, N, U, D, W) \rangle$  **and**  
*tl-trail* =  $\langle \lambda (M, N, U, D, W). (tl\ M, N, U, D, W) \rangle$  **and**  
*add-learned-cl* =  $\langle \lambda C (M, N, U, D, W). (M, N, add-mset\ C\ U, D, W) \rangle$  **and**  
*remove-cl* =  $\langle \lambda C (M, N, U, D, W). (M, removeAll-mset\ C\ N, removeAll-mset\ C\ U, D, W) \rangle$  **and**  
*update-conflicting* =  $\langle \lambda C (M, N, U, -, W). (M, N, U, C, W) \rangle$  **and**  
*init-state* =  $\langle \lambda N. ([], N, \{\#\}, None, None, ()) \rangle$  **and**  
*q* =  $\langle \lambda -. (0::nat) \rangle$  **and**  
*update-additional-info* =  $\langle \lambda W (M, N, U, D, -, -). (M, N, U, D, W) \rangle$  **and**  
 $\Sigma = \langle \{1..(100::nat)\} \rangle$  **and**  
 $\Delta\Sigma = \langle \{1..(50::nat)\} \rangle$  **and**  
*new-vars* =  $\langle \lambda n. (200 + 2*n, 200 + 2*n+1) \rangle$   
 $\langle proof \rangle$

**end**

**theory** *CDCL-W-MaxSAT*

**imports** *CDCL-W-Optimal-Model*

**begin**

### 0.1.3 Partial MAX-SAT

**definition** *weight-on-clauses* **where**

$\langle \text{weight-on-clauses } N_S \varrho I = (\sum C \in \# (\text{filter-mset } (\lambda C. I \models C) N_S). \varrho C) \rangle$

**definition** *atms-exactly-m* ::  $\langle 'v \text{ partial-interp} \Rightarrow 'v \text{ clauses} \Rightarrow \text{bool} \rangle$  **where**

$\langle \text{atms-exactly-m } I N \longleftrightarrow$   
 $\text{total-over-m } I (\text{set-mset } N) \wedge$   
 $\text{atms-of-s } I \subseteq \text{atms-of-mm } N \rangle$

Partial in the name refers to the fact that not all clauses are soft clauses, not to the fact that we consider partial models.

**inductive** *partial-max-sat* ::  $\langle 'v \text{ clauses} \Rightarrow 'v \text{ clauses} \Rightarrow ('v \text{ clause} \Rightarrow \text{nat}) \Rightarrow$

$'v \text{ partial-interp option} \Rightarrow \text{bool} \rangle$  **where**

*partial-max-sat*:

$\langle \text{partial-max-sat } N_H N_S \varrho (\text{Some } I) \rangle$

**if**

$\langle I \models_{sm} N_H \rangle$  **and**

$\langle \text{atms-exactly-m } I ((N_H + N_S)) \rangle$  **and**

$\langle \text{consistent-interp } I \rangle$  **and**

$\langle \bigwedge I'. \text{consistent-interp } I' \implies \text{atms-exactly-m } I' (N_H + N_S) \implies I' \models_{sm} N_H \implies$   
 $\text{weight-on-clauses } N_S \varrho I' \leq \text{weight-on-clauses } N_S \varrho I \mid$

*partial-max-unsat*:

$\langle \text{partial-max-sat } N_H N_S \varrho \text{None} \rangle$

**if**

$\langle \text{unsatisfiable } (\text{set-mset } N_H) \rangle$

**inductive** *partial-min-sat* ::  $\langle 'v \text{ clauses} \Rightarrow 'v \text{ clauses} \Rightarrow ('v \text{ clause} \Rightarrow \text{nat}) \Rightarrow$

$'v \text{ partial-interp option} \Rightarrow \text{bool} \rangle$  **where**

*partial-min-sat*:

$\langle \text{partial-min-sat } N_H N_S \varrho (\text{Some } I) \rangle$

**if**

$\langle I \models_{sm} N_H \rangle$  **and**

$\langle \text{atms-exactly-m } I (N_H + N_S) \rangle$  **and**

$\langle \text{consistent-interp } I \rangle$  **and**

$\langle \bigwedge I'. \text{consistent-interp } I' \implies \text{atms-exactly-m } I' (N_H + N_S) \implies I' \models_{sm} N_H \implies$   
 $\text{weight-on-clauses } N_S \varrho I' \geq \text{weight-on-clauses } N_S \varrho I \mid$

*partial-min-unsat*:

$\langle \text{partial-min-sat } N_H N_S \varrho \text{None} \rangle$

**if**

$\langle \text{unsatisfiable } (\text{set-mset } N_H) \rangle$

**lemma** *atms-exactly-m-finite*:

**assumes**  $\langle \text{atms-exactly-m } I N \rangle$

**shows**  $\langle \text{finite } I \rangle$

$\langle \text{proof} \rangle$

**lemma**

**fixes**  $N_H :: \langle 'v \text{ clauses} \rangle$

**assumes**  $\langle \text{satisfiable } (\text{set-mset } N_H) \rangle$

**shows** *sat-partial-max-sat*:  $\langle \exists I. \text{partial-max-sat } N_H N_S \varrho (\text{Some } I) \rangle$  **and**

*sat-partial-min-sat*:  $\langle \exists I. \text{partial-min-sat } N_H N_S \varrho (\text{Some } I) \rangle$

$\langle \text{proof} \rangle$

**inductive** *weight-sat*

$\vdash \langle 'v \text{ clauses} \Rightarrow ('v \text{ literal multiset} \Rightarrow 'a :: \text{linorder}) \Rightarrow 'v \text{ literal multiset option} \Rightarrow \text{bool} \rangle$   
**where**  
 $\text{weight-sat}:$   
 $\langle \text{weight-sat } N \ \varrho \ (\text{Some } I) \rangle$   
**if**  
 $\langle \text{set-mset } I \models_{sm} N \rangle$  **and**  
 $\langle \text{atms-exactly-m } (\text{set-mset } I) \ N \rangle$  **and**  
 $\langle \text{consistent-interp } (\text{set-mset } I) \rangle$  **and**  
 $\langle \text{distinct-mset } I \rangle$   
 $\langle \bigwedge I'. \text{consistent-interp } (\text{set-mset } I') \Rightarrow \text{atms-exactly-m } (\text{set-mset } I') \ N \Rightarrow \text{distinct-mset } I' \Rightarrow \text{set-mset } I' \models_{sm} N \Rightarrow \varrho \ I' \geq \varrho \ I \rangle$  |  
 $\text{partial-max-unsat}:$   
 $\langle \text{weight-sat } N \ \varrho \ \text{None} \rangle$   
**if**  
 $\langle \text{unsatisfiable } (\text{set-mset } N) \rangle$

**lemma**  $\text{partial-max-sat-is-weight-sat}:$   
**fixes**  $\text{additional-atm} :: \langle 'v \text{ clause} \Rightarrow 'v \rangle$  **and**  
 $\varrho :: \langle 'v \text{ clause} \Rightarrow \text{nat} \rangle$  **and**  
 $N_S :: \langle 'v \text{ clauses} \rangle$   
**defines**  
 $\langle \varrho' \equiv (\lambda C. \text{sum-mset } ((\lambda L. \text{if } L \in \text{Pos } ' \text{additional-atm } ' \text{set-mset } N_S \text{ then count } N_S \ (\text{SOME } C. L = \text{Pos } (\text{additional-atm } C) \wedge C \in \# N_S) * \varrho \ (\text{SOME } C. L = \text{Pos } (\text{additional-atm } C) \wedge C \in \# N_S) \text{ else } 0) \ ' \# C)) \rangle$   
**assumes**  
 $\text{add}: \langle \bigwedge C. C \in \# N_S \Rightarrow \text{additional-atm } C \notin \text{atms-of-mm } (N_H + N_S) \rangle$   
 $\langle \bigwedge C \ D. C \in \# N_S \Rightarrow D \in \# N_S \Rightarrow \text{additional-atm } C = \text{additional-atm } D \longleftrightarrow C = D \rangle$  **and**  
 $w: \langle \text{weight-sat } (N_H + (\lambda C. \text{add-mset } (\text{Pos } (\text{additional-atm } C)) \ C) \ ' \# N_S) \ \varrho' \ (\text{Some } I) \rangle$   
**shows**  
 $\langle \text{partial-max-sat } N_H \ N_S \ \varrho \ (\text{Some } \{L \in \text{set-mset } I. \text{atm-of } L \in \text{atms-of-mm } (N_H + N_S)\}) \rangle$   
 $\langle \text{proof} \rangle$

**lemma**  $\text{sum-mset-cong}:$   
 $\langle (\bigwedge a. a \in \# A \Rightarrow f \ a = g \ a) \Rightarrow (\sum a \in \# A. f \ a) = (\sum a \in \# A. g \ a) \rangle$   
 $\langle \text{proof} \rangle$

**lemma**  $\text{partial-max-sat-is-weight-sat-distinct}:$   
**fixes**  $\text{additional-atm} :: \langle 'v \text{ clause} \Rightarrow 'v \rangle$  **and**  
 $\varrho :: \langle 'v \text{ clause} \Rightarrow \text{nat} \rangle$  **and**  
 $N_S :: \langle 'v \text{ clauses} \rangle$   
**defines**  
 $\langle \varrho' \equiv (\lambda C. \text{sum-mset } ((\lambda L. \text{if } L \in \text{Pos } ' \text{additional-atm } ' \text{set-mset } N_S \text{ then } \varrho \ (\text{SOME } C. L = \text{Pos } (\text{additional-atm } C) \wedge C \in \# N_S) \text{ else } 0) \ ' \# C)) \rangle$   
**assumes**  
 $\langle \text{distinct-mset } N_S \rangle$  **and** — This is implicit on paper  
 $\text{add}: \langle \bigwedge C. C \in \# N_S \Rightarrow \text{additional-atm } C \notin \text{atms-of-mm } (N_H + N_S) \rangle$   
 $\langle \bigwedge C \ D. C \in \# N_S \Rightarrow D \in \# N_S \Rightarrow \text{additional-atm } C = \text{additional-atm } D \longleftrightarrow C = D \rangle$  **and**  
 $w: \langle \text{weight-sat } (N_H + (\lambda C. \text{add-mset } (\text{Pos } (\text{additional-atm } C)) \ C) \ ' \# N_S) \ \varrho' \ (\text{Some } I) \rangle$   
**shows**  
 $\langle \text{partial-max-sat } N_H \ N_S \ \varrho \ (\text{Some } \{L \in \text{set-mset } I. \text{atm-of } L \in \text{atms-of-mm } (N_H + N_S)\}) \rangle$   
 $\langle \text{proof} \rangle$



**lemma** *atms-exactly-m-alt-def*:

$\langle \text{atms-exactly-m } (\text{set-mset } y) \ N \longleftrightarrow \text{atms-of } y \subseteq \text{atms-of-mm } N \wedge$   
 $\text{total-over-m } (\text{set-mset } y) \ (\text{set-mset } N) \rangle$   
 $\langle \text{proof} \rangle$

**lemma** *atms-exactly-m-alt-def2*:

$\langle \text{atms-exactly-m } (\text{set-mset } y) \ N \longleftrightarrow \text{atms-of } y = \text{atms-of-mm } N \rangle$   
 $\langle \text{proof} \rangle$

**lemma** (in *conflict-driven-clause-learning<sub>W</sub>-optimal-weight*) *full-cdcl-bnb-stgy-weight-sat*:

$\langle \text{full cdcl-bnb-stgy } (\text{init-state } N) \ T \implies \text{distinct-mset-mset } N \implies \text{weight-sat } N \ \varrho \ (\text{weight } T) \rangle$   
 $\langle \text{proof} \rangle$

**end**

**theory** *CDCL-W-Partial-Optimal-Model*

**imports** *CDCL-W-Partial-Encoding*

**begin**

**lemma** *isabelle-should-do-that-automatically*:  $\langle \text{Suc } (a - \text{Suc } 0) = a \longleftrightarrow a \geq 1 \rangle$

$\langle \text{proof} \rangle$

**lemma** (in *conflict-driven-clause-learning<sub>W</sub>-optimal-weight*)

*conflict-opt-state-eq-compatible*:

$\langle \text{conflict-opt } S \ T \implies S \sim S' \implies T \sim T' \implies \text{conflict-opt } S' \ T' \rangle$   
 $\langle \text{proof} \rangle$

**context** *optimal-encoding*

**begin**

**definition** *base-atm* ::  $\langle 'v \Rightarrow 'v \rangle$  **where**

$\langle \text{base-atm } L = (\text{if } L \in \Sigma - \Delta\Sigma \text{ then } L \text{ else}$   
 $\text{if } L \in \text{replacement-neg } \Delta\Sigma \text{ then } (\text{SOME } K. (K \in \Delta\Sigma \wedge L = \text{replacement-neg } K))$   
 $\text{else } (\text{SOME } K. (K \in \Delta\Sigma \wedge L = \text{replacement-pos } K))) \rangle$

**lemma** *normalize-lit-Some-simp[simp]*:  $\langle (\text{SOME } K. K \in \Delta\Sigma \wedge (L^{\mapsto 0} = K^{\mapsto 0})) = L \rangle$  **if**  $\langle L \in \Delta\Sigma \rangle$  **for**  $K$

$\langle \text{proof} \rangle$

**lemma** *base-atm-simps1[simp]*:

$\langle L \in \Sigma \implies L \notin \Delta\Sigma \implies \text{base-atm } L = L \rangle$   
 $\langle \text{proof} \rangle$

**lemma** *base-atm-simps2[simp]*:

$\langle L \in (\Sigma - \Delta\Sigma) \cup \text{replacement-neg } \Delta\Sigma \cup \text{replacement-pos } \Delta\Sigma \implies$   
 $K \in \Sigma \implies K \notin \Delta\Sigma \implies L \in \Sigma \implies K = \text{base-atm } L \longleftrightarrow L = K \rangle$   
 $\langle \text{proof} \rangle$

**lemma** *base-atm-simps3[simp]*:

$\langle L \in \Sigma - \Delta\Sigma \implies \text{base-atm } L \in \Sigma \rangle$   
 $\langle L \in \text{replacement-neg } \Delta\Sigma \cup \text{replacement-pos } \Delta\Sigma \implies \text{base-atm } L \in \Delta\Sigma \rangle$   
 $\langle \text{proof} \rangle$

**lemma** *base-atm-simps4[simp]*:

$\langle L \in \Delta\Sigma \implies \text{base-atm } (\text{replacement-pos } L) = L \rangle$   
 $\langle L \in \Delta\Sigma \implies \text{base-atm } (\text{replacement-neg } L) = L \rangle$

$\langle \text{proof} \rangle$

**fun** *normalize-lit* ::  $\langle 'v \text{ literal} \Rightarrow 'v \text{ literal} \rangle$  **where**  
 $\langle \text{normalize-lit } (\text{Pos } L) =$   
 $\quad (\text{if } L \in \text{replacement-neg } ' \Delta\Sigma$   
 $\quad \quad \text{then Neg } (\text{replacement-pos } (\text{SOME } K. (K \in \Delta\Sigma \wedge L = \text{replacement-neg } K)))$   
 $\quad \quad \text{else Pos } L) \rangle \mid$   
 $\langle \text{normalize-lit } (\text{Neg } L) =$   
 $\quad (\text{if } L \in \text{replacement-neg } ' \Delta\Sigma$   
 $\quad \quad \text{then Pos } (\text{replacement-pos } (\text{SOME } K. K \in \Delta\Sigma \wedge L = \text{replacement-neg } K))$   
 $\quad \quad \text{else Neg } L) \rangle$

**abbreviation** *normalize-clause* ::  $\langle 'v \text{ clause} \Rightarrow 'v \text{ clause} \rangle$  **where**  
 $\langle \text{normalize-clause } C \equiv \text{normalize-lit } ' \# C \rangle$

**lemma** *normalize-lit[simp]*:  
 $\langle L \in \Sigma - \Delta\Sigma \implies \text{normalize-lit } (\text{Pos } L) = (\text{Pos } L) \rangle$   
 $\langle L \in \Sigma - \Delta\Sigma \implies \text{normalize-lit } (\text{Neg } L) = (\text{Neg } L) \rangle$   
 $\langle L \in \Delta\Sigma \implies \text{normalize-lit } (\text{Pos } (\text{replacement-neg } L)) = \text{Neg } (\text{replacement-pos } L) \rangle$   
 $\langle L \in \Delta\Sigma \implies \text{normalize-lit } (\text{Neg } (\text{replacement-neg } L)) = \text{Pos } (\text{replacement-pos } L) \rangle$   
 $\langle \text{proof} \rangle$

**definition** *all-clauses-literals* ::  $\langle 'v \text{ list} \rangle$  **where**  
 $\langle \text{all-clauses-literals} =$   
 $\quad (\text{SOME } xs. \text{mset } xs = \text{mset-set } ((\Sigma - \Delta\Sigma) \cup \text{replacement-neg } ' \Delta\Sigma \cup \text{replacement-pos } ' \Delta\Sigma)) \rangle$

**datatype** *(in -)*  $'c \text{ search-depth}$  =  
 $\text{sd-is-zero: SD-ZERO } (\text{the-search-depth: } 'c) \mid$   
 $\text{sd-is-one: SD-ONE } (\text{the-search-depth: } 'c) \mid$   
 $\text{sd-is-two: SD-TWO } (\text{the-search-depth: } 'c)$

**abbreviation** *(in -)* *un-hide-sd* ::  $\langle 'a \text{ search-depth list} \Rightarrow 'a \text{ list} \rangle$  **where**  
 $\langle \text{un-hide-sd} \equiv \text{map } \text{the-search-depth} \rangle$

**fun** *nat-of-search-deph* ::  $\langle 'c \text{ search-depth} \Rightarrow \text{nat} \rangle$  **where**  
 $\langle \text{nat-of-search-deph } (\text{SD-ZERO } -) = 0 \rangle \mid$   
 $\langle \text{nat-of-search-deph } (\text{SD-ONE } -) = 1 \rangle \mid$   
 $\langle \text{nat-of-search-deph } (\text{SD-TWO } -) = 2 \rangle$

**definition** *opposite-var* **where**  
 $\langle \text{opposite-var } L = (\text{if } L \in \text{replacement-pos } ' \Delta\Sigma \text{ then replacement-neg } (\text{base-atm } L)$   
 $\quad \text{else replacement-pos } (\text{base-atm } L)) \rangle$

**lemma** *opposite-var-replacement-if[simp]*:  
 $\langle L \in (\text{replacement-neg } ' \Delta\Sigma \cup \text{replacement-pos } ' \Delta\Sigma) \implies A \in \Delta\Sigma \implies$   
 $\quad \text{opposite-var } L = \text{replacement-pos } A \longleftrightarrow L = \text{replacement-neg } A \rangle$   
 $\langle L \in (\text{replacement-neg } ' \Delta\Sigma \cup \text{replacement-pos } ' \Delta\Sigma) \implies A \in \Delta\Sigma \implies$   
 $\quad \text{opposite-var } L = \text{replacement-neg } A \longleftrightarrow L = \text{replacement-pos } A \rangle$   
 $\langle A \in \Delta\Sigma \implies \text{opposite-var } (\text{replacement-pos } A) = \text{replacement-neg } A \rangle$   
 $\langle A \in \Delta\Sigma \implies \text{opposite-var } (\text{replacement-neg } A) = \text{replacement-pos } A \rangle$

$\langle \text{proof} \rangle$

**context**

**assumes**  $[simp]$ :  $\langle \text{finite } \Sigma \rangle$

**begin**

**lemma** *all-clauses-literals*:

$\langle \text{mset all-clauses-literals} = \text{mset-set } ((\Sigma - \Delta\Sigma) \cup \text{replacement-neg } ' \Delta\Sigma \cup \text{replacement-pos } ' \Delta\Sigma) \rangle$

$\langle \text{distinct all-clauses-literals} \rangle$

$\langle \text{set all-clauses-literals} = ((\Sigma - \Delta\Sigma) \cup \text{replacement-neg } ' \Delta\Sigma \cup \text{replacement-pos } ' \Delta\Sigma) \rangle$

$\langle \text{proof} \rangle$

**definition** *unset-literals-in- $\Sigma$*  **where**

$\langle \text{unset-literals-in-}\Sigma \ M \ L \longleftrightarrow \text{undefined-lit } M \ (\text{Pos } L) \wedge L \in \Sigma - \Delta\Sigma \rangle$

**definition** *full-unset-literals-in- $\Delta\Sigma$*  **where**

$\langle \text{full-unset-literals-in-}\Delta\Sigma \ M \ L \longleftrightarrow$

$\text{undefined-lit } M \ (\text{Pos } L) \wedge L \notin \Sigma - \Delta\Sigma \wedge \text{undefined-lit } M \ (\text{Pos } (\text{opposite-var } L)) \wedge$   
 $L \in \text{replacement-pos } ' \Delta\Sigma \rangle$

**definition** *full-unset-literals-in- $\Delta\Sigma'$*  **where**

$\langle \text{full-unset-literals-in-}\Delta\Sigma' \ M \ L \longleftrightarrow$

$\text{undefined-lit } M \ (\text{Pos } L) \wedge L \notin \Sigma - \Delta\Sigma \wedge \text{undefined-lit } M \ (\text{Pos } (\text{opposite-var } L)) \wedge$   
 $L \in \text{replacement-neg } ' \Delta\Sigma \rangle$

**definition** *half-unset-literals-in- $\Delta\Sigma$*  **where**

$\langle \text{half-unset-literals-in-}\Delta\Sigma \ M \ L \longleftrightarrow$

$\text{undefined-lit } M \ (\text{Pos } L) \wedge L \notin \Sigma - \Delta\Sigma \wedge \text{defined-lit } M \ (\text{Pos } (\text{opposite-var } L)) \rangle$

**definition** *sorted-unadded-literals* ::  $\langle ('v, 'v \text{ clause}) \text{ ann-lits} \Rightarrow 'v \text{ list} \rangle$  **where**

$\langle \text{sorted-unadded-literals } M =$

$(\text{let}$

$M0 = \text{filter } (\text{full-unset-literals-in-}\Delta\Sigma' \ M) \ \text{all-clauses-literals};$

$\text{— weight is } 0$

$M1 = \text{filter } (\text{unset-literals-in-}\Sigma \ M) \ \text{all-clauses-literals};$

$\text{— weight is } 2$

$M2 = \text{filter } (\text{full-unset-literals-in-}\Delta\Sigma \ M) \ \text{all-clauses-literals};$

$\text{— weight is } 2$

$M3 = \text{filter } (\text{half-unset-literals-in-}\Delta\Sigma \ M) \ \text{all-clauses-literals}$

$\text{— weight is } 1$

$\text{in}$

$M0 \ @ \ M3 \ @ \ M1 \ @ \ M2) \rangle$

**definition** *complete-trail* ::  $\langle ('v, 'v \text{ clause}) \text{ ann-lits} \Rightarrow ('v, 'v \text{ clause}) \text{ ann-lits} \rangle$  **where**

$\langle \text{complete-trail } M =$

$(\text{map } (\text{Decided } o \ \text{Pos}) \ (\text{sorted-unadded-literals } M) \ @ \ M) \rangle$

**lemma** *in-sorted-unadded-literals-undefD*:

$\langle \text{atm-of } (\text{lit-of } l) \in \text{set } (\text{sorted-unadded-literals } M) \implies l \notin \text{set } M \rangle$

$\langle \text{atm-of } (l') \in \text{set } (\text{sorted-unadded-literals } M) \implies \text{undefined-lit } M \ l' \rangle$

$\langle xa \in \text{set } (\text{sorted-unadded-literals } M) \implies \text{lit-of } x = \text{Neg } xa \implies x \notin \text{set } M \rangle$  **and**

$\text{set-sorted-unadded-literals}[simp]:$

$\langle \text{set } (\text{sorted-unadded-literals } M) =$

$\text{Set.filter } (\lambda L. \text{undefined-lit } M \ (\text{Pos } L)) \ (\text{set all-clauses-literals}) \rangle$

$\langle \text{proof} \rangle$

**lemma** [simp]:

$\langle \text{full-unset-literals-in-}\Delta\Sigma \ [] = (\lambda L. L \in \text{replacement-pos } \Delta\Sigma) \rangle$   
 $\langle \text{full-unset-literals-in-}\Delta\Sigma' \ [] = (\lambda L. L \in \text{replacement-neg } \Delta\Sigma) \rangle$   
 $\langle \text{half-unset-literals-in-}\Delta\Sigma \ [] = (\lambda L. \text{False}) \rangle$   
 $\langle \text{unset-literals-in-}\Sigma \ [] = (\lambda L. L \in \Sigma - \Delta\Sigma) \rangle$   
 $\langle \text{proof} \rangle$

**lemma** filter-disjount-union:

$\langle (\bigwedge x. x \in \text{set } xs \implies P\ x \implies \neg Q\ x) \implies$   
 $\text{length } (\text{filter } P\ xs) + \text{length } (\text{filter } Q\ xs) =$   
 $\text{length } (\text{filter } (\lambda x. P\ x \vee Q\ x)\ xs) \rangle$   
 $\langle \text{proof} \rangle$

**lemma** length-sorted-unadded-literals-empty[simp]:

$\langle \text{length } (\text{sorted-unadded-literals } []) = \text{length all-clauses-literals} \rangle$   
 $\langle \text{proof} \rangle$

**lemma** sorted-unadded-literals-Cons-notin-all-clauses-literals[simp]:

**assumes**  
 $\langle \text{atm-of } (\text{lit-of } K) \notin \text{set all-clauses-literals} \rangle$   
**shows**  
 $\langle \text{sorted-unadded-literals } (K \# M) = \text{sorted-unadded-literals } M \rangle$   
 $\langle \text{proof} \rangle$

**lemma** sorted-unadded-literals-cong:

**assumes**  $\langle \bigwedge L. L \in \text{set all-clauses-literals} \implies \text{defined-lit } M\ (\text{Pos } L) = \text{defined-lit } M'\ (\text{Pos } L) \rangle$   
**shows**  $\langle \text{sorted-unadded-literals } M = \text{sorted-unadded-literals } M' \rangle$   
 $\langle \text{proof} \rangle$

**lemma** sorted-unadded-literals-Cons-already-set[simp]:

**assumes**  
 $\langle \text{defined-lit } M\ (\text{lit-of } K) \rangle$   
**shows**  
 $\langle \text{sorted-unadded-literals } (K \# M) = \text{sorted-unadded-literals } M \rangle$   
 $\langle \text{proof} \rangle$

**lemma** distinct-sorted-unadded-literals[simp]:

$\langle \text{distinct } (\text{sorted-unadded-literals } M) \rangle$   
 $\langle \text{proof} \rangle$

**lemma** Collect-req-remove1:

$\langle \{a \in A. a \neq b \wedge P\ a\} = (\text{if } P\ b \text{ then } \text{Set.remove } b\ \{a \in A. P\ a\} \text{ else } \{a \in A. P\ a\}) \rangle$  **and**  
**Collect-req-remove2:**  
 $\langle \{a \in A. b \neq a \wedge P\ a\} = (\text{if } P\ b \text{ then } \text{Set.remove } b\ \{a \in A. P\ a\} \text{ else } \{a \in A. P\ a\}) \rangle$   
 $\langle \text{proof} \rangle$

**lemma** card-remove:

$\langle \text{card } (\text{Set.remove } a\ A) = (\text{if } a \in A \text{ then } \text{card } A - 1 \text{ else } \text{card } A) \rangle$   
 $\langle \text{proof} \rangle$

**lemma** sorted-unadded-literals-cons-in-undef[simp]:

$\langle \text{undefined-lit } M\ (\text{lit-of } K) \implies$   
 $\text{atm-of } (\text{lit-of } K) \in \text{set all-clauses-literals} \implies$   
 $\text{Suc } (\text{length } (\text{sorted-unadded-literals } (K \# M))) =$   
 $\text{length } (\text{sorted-unadded-literals } M) \rangle$

⟨proof⟩

**lemma** *no-dup-complete-trail*[simp]:  
 ⟨no-dup (complete-trail M) ⟷ no-dup M⟩  
 ⟨proof⟩

**lemma** *tautology-complete-trail*[simp]:  
 ⟨tautology (lit-of '# mset (complete-trail M)) ⟷ tautology (lit-of '# mset M)⟩  
 ⟨proof⟩

**lemma** *atms-of-complete-trail*:  
 ⟨atms-of (lit-of '# mset (complete-trail M)) =  
 atms-of (lit-of '# mset M) ∪ (Σ − ΔΣ) ∪ replacement-neg ' ΔΣ ∪ replacement-pos ' ΔΣ⟩  
 ⟨proof⟩

**fun** *depth-lit-of* :: ⟨('v, -) ann-lit ⇒ ('v, -) ann-lit search-depth⟩ **where**  
 ⟨depth-lit-of (Decided L) = SD-TWO (Decided L)⟩ |  
 ⟨depth-lit-of (Propagated L C) = SD-ZERO (Propagated L C)⟩

**fun** *depth-lit-of-additional-fst* :: ⟨('v, -) ann-lit ⇒ ('v, -) ann-lit search-depth⟩ **where**  
 ⟨depth-lit-of-additional-fst (Decided L) = SD-ONE (Decided L)⟩ |  
 ⟨depth-lit-of-additional-fst (Propagated L C) = SD-ZERO (Propagated L C)⟩

**fun** *depth-lit-of-additional-snd* :: ⟨('v, -) ann-lit ⇒ ('v, -) ann-lit search-depth list⟩ **where**  
 ⟨depth-lit-of-additional-snd (Decided L) = [SD-ONE (Decided L)]⟩ |  
 ⟨depth-lit-of-additional-snd (Propagated L C) = []⟩

This function is suprisingly complicated to get right. Remember that the last set element is at the beginning of the list

**fun** *remove-dup-information-raw* :: ⟨('v, -) ann-lits ⇒ ('v, -) ann-lit search-depth list⟩ **where**  
 ⟨remove-dup-information-raw [] = []⟩ |  
 ⟨remove-dup-information-raw (L # M) =  
 (if atm-of (lit-of L) ∈ Σ − ΔΣ then depth-lit-of L # remove-dup-information-raw M  
 else if defined-lit (M) (Pos (opposite-var (atm-of (lit-of L))))  
 then if Decided (Pos (opposite-var (atm-of (lit-of L)))) ∈ set (M)  
 then remove-dup-information-raw M  
 else depth-lit-of-additional-fst L # remove-dup-information-raw M  
 else depth-lit-of-additional-snd L @ remove-dup-information-raw M)⟩

**definition** *remove-dup-information* **where**  
 ⟨remove-dup-information xs = un-hide-sd (remove-dup-information-raw xs)⟩

**lemma** [simp]: ⟨the-search-depth (depth-lit-of L) = L⟩  
 ⟨proof⟩

**lemma** *length-complete-trail*[simp]: ⟨length (complete-trail []) = length all-clauses-literals⟩  
 ⟨proof⟩

**lemma** *distinct-count-list-if*: ⟨distinct xs ⟹ count-list xs x = (if x ∈ set xs then 1 else 0)⟩  
 ⟨proof⟩

**lemma** *length-complete-trail-Cons*:  
 ⟨no-dup (K # M) ⟹  
 length (complete-trail (K # M)) =

$\langle \text{if atm-of (lit-of } K) \in \text{set all-clauses-literals then } 0 \text{ else } 1 \rangle + \text{length (complete-trail } M) \rangle$   
 $\langle \text{proof} \rangle$

**lemma** *length-complete-trail-eq*:

$\langle \text{no-dup } M \implies \text{atm-of ' (lits-of-l } M) \subseteq \text{set all-clauses-literals} \implies$   
 $\text{length (complete-trail } M) = \text{length all-clauses-literals} \rangle$   
 $\langle \text{proof} \rangle$

**lemma** *in-set-all-clauses-literals-simp[simp]*:

$\langle \text{atm-of } L \in \Sigma - \Delta\Sigma \implies \text{atm-of } L \in \text{set all-clauses-literals} \rangle$   
 $\langle K \in \Delta\Sigma \implies \text{replacement-pos } K \in \text{set all-clauses-literals} \rangle$   
 $\langle K \in \Delta\Sigma \implies \text{replacement-neg } K \in \text{set all-clauses-literals} \rangle$   
 $\langle \text{proof} \rangle$

**lemma** *[simp]*:

$\langle \text{remove-dup-information } [] = [] \rangle$   
 $\langle \text{proof} \rangle$

**lemma** *atm-of-remove-dup-information*:

$\langle \text{atm-of ' (lits-of-l } M) \subseteq \text{set all-clauses-literals} \implies$   
 $\text{atm-of ' (lits-of-l (remove-dup-information } M)) \subseteq \text{set all-clauses-literals} \rangle$   
 $\langle \text{proof} \rangle$

**primrec** *remove-dup-information-raw2* ::  $\langle ('v, -) \text{ ann-lits} \Rightarrow ('v, -) \text{ ann-lits} \Rightarrow$

$(('v, -) \text{ ann-lit search-depth list}) \text{ where}$   
 $\langle \text{remove-dup-information-raw2 } M' [] = [] \rangle \mid$   
 $\langle \text{remove-dup-information-raw2 } M' (L \# M) =$   
 $(\text{if atm-of (lit-of } L) \in \Sigma - \Delta\Sigma \text{ then depth-lit-of } L \# \text{remove-dup-information-raw2 } M' M$   
 $\text{else if defined-lit } (M @ M') (\text{Pos (opposite-var (atm-of (lit-of } L))))$   
 $\text{then if Decided (Pos (opposite-var (atm-of (lit-of } L)))) \in \text{set } (M @ M')$   
 $\text{then remove-dup-information-raw2 } M' M$   
 $\text{else depth-lit-of-additional-fst } L \# \text{remove-dup-information-raw2 } M' M$   
 $\text{else depth-lit-of-additional-snd } L @ \text{remove-dup-information-raw2 } M' M) \rangle$

**lemma** *remove-dup-information-raw2-Nil[simp]*:

$\langle \text{remove-dup-information-raw2 } [] M = \text{remove-dup-information-raw } M \rangle$   
 $\langle \text{proof} \rangle$

This can be useful as *simp*, but I am not certain (yet), because the RHS does not look simpler than the LHS.

**lemma** *remove-dup-information-raw-cons*:

$\langle \text{remove-dup-information-raw } (L \# M2) =$   
 $\text{remove-dup-information-raw2 } M2 [L] @$   
 $\text{remove-dup-information-raw } M2 \rangle$   
 $\langle \text{proof} \rangle$

**lemma** *remove-dup-information-raw-append*:

$\langle \text{remove-dup-information-raw } (M1 @ M2) =$   
 $\text{remove-dup-information-raw2 } M2 M1 @$   
 $\text{remove-dup-information-raw } M2 \rangle$   
 $\langle \text{proof} \rangle$

**lemma** *remove-dup-information-raw-append2*:

$\langle \text{remove-dup-information-raw2 } M (M1 @ M2) =$   
 $\text{remove-dup-information-raw2 } (M @ M2) M1 @$   
 $\text{remove-dup-information-raw2 } M M2 \rangle$   
 $\langle \text{proof} \rangle$

**lemma** *remove-dup-information-subset*:  $\langle \text{mset } (\text{remove-dup-information } M) \subseteq \# \text{ mset } M \rangle$   
 $\langle \text{proof} \rangle$

**lemma** *no-dup-subsetD*:  $\langle \text{no-dup } M \implies \text{mset } M' \subseteq \# \text{ mset } M \implies \text{no-dup } M' \rangle$   
 $\langle \text{proof} \rangle$

**lemma** *no-dup-remove-dup-information*:  
 $\langle \text{no-dup } M \implies \text{no-dup } (\text{remove-dup-information } M) \rangle$   
 $\langle \text{proof} \rangle$

**lemma** *atm-of-complete-trail*:  
 $\langle \text{atm-of } ' (\text{lits-of-l } M) \subseteq \text{set all-clauses-literals} \implies$   
 $\text{atm-of } ' (\text{lits-of-l } (\text{complete-trail } M)) = \text{set all-clauses-literals} \rangle$   
 $\langle \text{proof} \rangle$

**lemmas** [*simp del*] =  
*remove-dup-information-raw.simps*  
*remove-dup-information-raw2.simps*

**lemmas** [*simp*] =  
*remove-dup-information-raw-append*  
*remove-dup-information-raw-cons*  
*remove-dup-information-raw-append2*

**definition** *truncate-trail* ::  $\langle ('v, -) \text{ ann-lits} \Rightarrow - \rangle$  **where**  
 $\langle \text{truncate-trail } M \equiv$   
 $(\text{snd } (\text{backtrack-split } M)) \rangle$

**definition** *ocdcl-score* ::  $\langle ('v, -) \text{ ann-lits} \Rightarrow - \rangle$  **where**  
 $\langle \text{ocdcl-score } M =$   
 $\text{rev } (\text{map nat-of-search-deph } (\text{remove-dup-information-raw } (\text{complete-trail } (\text{truncate-trail } M)))) \rangle$

**interpretation** *enc-weight-opt*: *conflict-driven-clause-learning<sub>W</sub>-optimal-weight* **where**  
*state-eq* = *state-eq* **and**  
*state* = *state* **and**  
*trail* = *trail* **and**  
*init-clss* = *init-clss* **and**  
*learned-clss* = *learned-clss* **and**  
*conflicting* = *conflicting* **and**  
*cons-trail* = *cons-trail* **and**  
*tl-trail* = *tl-trail* **and**  
*add-learned-cls* = *add-learned-cls* **and**  
*remove-cls* = *remove-cls* **and**  
*update-conflicting* = *update-conflicting* **and**  
*init-state* = *init-state* **and**  
 $\varrho = \varrho_e$  **and**  
*update-additional-info* = *update-additional-info*  
 $\langle \text{proof} \rangle$

**lemma**

$\langle (a, b) \in \text{lexn less-than } n \implies (b, c) \in \text{lexn less-than } n \vee b = c \implies (a, c) \in \text{lexn less-than } n \rangle$   
 $\langle (a, b) \in \text{lexn less-than } n \implies (b, c) \in \text{lexn less-than } n \vee b = c \implies (a, c) \in \text{lexn less-than } n \rangle$   
 $\langle \text{proof} \rangle$

**lemma** *truncate-trail-Prop[simp]*:

$\langle \text{truncate-trail } (\text{Propagated } L \ E \ \# \ S) = \text{truncate-trail } (S) \rangle$   
 $\langle \text{proof} \rangle$

**lemma** *ocdcl-score-Prop[simp]*:

$\langle \text{ocdcl-score } (\text{Propagated } L \ E \ \# \ S) = \text{ocdcl-score } (S) \rangle$   
 $\langle \text{proof} \rangle$

**lemma** *remove-dup-information-raw2-undefined-Σ*:

$\langle \text{distinct } xs \implies$   
 $(\bigwedge L. L \in \text{set } xs \implies \text{undefined-lit } M \ (\text{Pos } L) \implies L \in \Sigma \implies \text{undefined-lit } MM \ (\text{Pos } L)) \implies$   
 $\text{remove-dup-information-raw2 } MM$   
 $(\text{map } (\text{Decided } \circ \text{Pos})$   
 $(\text{filter } (\text{unset-literals-in-}\Sigma \ M$   
 $xs)) =$   
 $\text{map } (\text{SD-TWO } \circ \text{Decided } \circ \text{Pos})$   
 $(\text{filter } (\text{unset-literals-in-}\Sigma \ M$   
 $xs)) \rangle$   
 $\langle \text{proof} \rangle$

**lemma** *defined-lit-map-Decided-pos*:

$\langle \text{defined-lit } (\text{map } (\text{Decided } \circ \text{Pos}) \ M) \ L \longleftrightarrow \text{atm-of } L \in \text{set } M \rangle$   
 $\langle \text{proof} \rangle$

**lemma** *remove-dup-information-raw2-full-undefined-Σ*:

$\langle \text{distinct } xs \implies \text{set } xs \subseteq \text{set all-clauses-literals} \implies$   
 $(\bigwedge L. L \in \text{set } xs \implies \text{undefined-lit } M \ (\text{Pos } L) \implies L \notin \Sigma - \Delta\Sigma \implies$   
 $\text{undefined-lit } M \ (\text{Pos } (\text{opposite-var } L)) \implies L \in \text{replacement-pos } \Delta\Sigma \implies$   
 $\text{undefined-lit } MM \ (\text{Pos } (\text{opposite-var } L))) \implies$   
 $\text{remove-dup-information-raw2 } MM$   
 $(\text{map } (\text{Decided } \circ \text{Pos})$   
 $(\text{filter } (\text{full-unset-literals-in-}\Delta\Sigma \ M$   
 $xs)) =$   
 $\text{map } (\text{SD-ONE } \circ \text{Decided } \circ \text{Pos})$   
 $(\text{filter } (\text{full-unset-literals-in-}\Delta\Sigma \ M$   
 $xs)) \rangle$   
 $\langle \text{proof} \rangle$

**lemma** *full-unset-literals-in-ΔΣ-notin[simp]*:

$\langle La \in \Sigma \implies \text{full-unset-literals-in-}\Delta\Sigma \ M \ La \longleftrightarrow \text{False} \rangle$   
 $\langle La \in \Sigma \implies \text{full-unset-literals-in-}\Delta\Sigma' \ M \ La \longleftrightarrow \text{False} \rangle$   
 $\langle \text{proof} \rangle$

**lemma** *Decided-in-definedD*:  $\langle \text{Decided } K \in \text{set } M \implies \text{defined-lit } M \ K \rangle$

$\langle \text{proof} \rangle$

**lemma** *full-unset-literals-in-ΔΣ'-full-unset-literals-in-ΔΣ*:

$\langle L \in \text{replacement-pos } \Delta\Sigma \cup \text{replacement-neg } \Delta\Sigma \implies$   
 $\text{full-unset-literals-in-}\Delta\Sigma' \ M \ (\text{opposite-var } L) \longleftrightarrow \text{full-unset-literals-in-}\Delta\Sigma \ M \ L \rangle$   
 $\langle \text{proof} \rangle$



**lemma** *remove-dup-information-raw2-full-unset-literals-in- $\Delta\Sigma'$* :  
 $\langle (\bigwedge L. L \in \text{set } (\text{filter } (\text{full-unset-literals-in-}\Delta\Sigma' M) \text{ } xs) \implies \text{Decided } (\text{Pos } (\text{opposite-var } L)) \in \text{set } M') \implies$   
 $\text{set } xs \subseteq \text{set all-clauses-literals} \implies$   
 $(\text{remove-dup-information-raw2}$   
 $\quad M'$   
 $\quad (\text{map } (\text{Decided } \circ \text{Pos})$   
 $\quad (\text{filter } (\text{full-unset-literals-in-}\Delta\Sigma' (M))$   
 $\quad \quad xs))) = [] \rangle$   
 $\langle \text{proof} \rangle$

**lemma**  
**fixes**  $M :: \langle ('v, -) \text{ ann-lits} \rangle$  **and**  $L :: \langle ('v, -) \text{ ann-lit} \rangle$   
**defines**  $\langle n1 \equiv \text{map nat-of-search-deph } (\text{remove-dup-information-raw } (\text{complete-trail } (L \# M))) \rangle$  **and**  
 $\langle n2 \equiv \text{map nat-of-search-deph } (\text{remove-dup-information-raw } (\text{complete-trail } M)) \rangle$   
**assumes**  
 $\text{lits: } \langle \text{atm-of } ' (\text{lits-of-l } (L \# M)) \subseteq \text{set all-clauses-literals} \rangle$  **and**  
 $\text{undef: } \langle \text{undefined-lit } M \text{ (lit-of } L) \rangle$   
**shows**  
 $\langle (\text{rev } n1, \text{rev } n2) \in \text{lexn less-than } n \vee n1 = n2 \rangle$

$\langle \text{proof} \rangle$

**lemma**

**defines**  $\langle n \equiv \text{card } \Sigma \rangle$   
**assumes**  
 $\langle \text{init-clss } S = \text{penc } N \rangle$  **and**  
 $\langle \text{enc-weight-opt.cdcl-bnb-stgy } S \text{ } T \rangle$  **and**  
 $\text{struct: } \langle \text{cdcl}_W\text{-restart-mset.cdcl}_W\text{-all-struct-inv } (\text{enc-weight-opt.abs-state } S) \rangle$  **and**  
 $\text{smaller-propa: } \langle \text{no-smaller-propa } S \rangle$  **and**  
 $\text{smaller-conf: } \langle \text{cdcl-bnb-stgy-inv } S \rangle$   
**shows**  $\langle (\text{ocdcl-score } (\text{trail } T), \text{ocdcl-score } (\text{trail } S)) \in \text{lexn less-than } n \vee$   
 $\text{ocdcl-score } (\text{trail } T) = \text{ocdcl-score } (\text{trail } S) \rangle$   
 $\langle \text{proof} \rangle$

**end**

**interpretation** *enc-weight-opt: conflict-driven-clause-learning<sub>W</sub>-optimal-weight* **where**  
 $\text{state-eq} = \text{state-eq}$  **and**  
 $\text{state} = \text{state}$  **and**  
 $\text{trail} = \text{trail}$  **and**  
 $\text{init-clss} = \text{init-clss}$  **and**  
 $\text{learned-clss} = \text{learned-clss}$  **and**  
 $\text{conflicting} = \text{conflicting}$  **and**  
 $\text{cons-trail} = \text{cons-trail}$  **and**  
 $\text{tl-trail} = \text{tl-trail}$  **and**  
 $\text{add-learned-cls} = \text{add-learned-cls}$  **and**  
 $\text{remove-cls} = \text{remove-cls}$  **and**  
 $\text{update-conflicting} = \text{update-conflicting}$  **and**  
 $\text{init-state} = \text{init-state}$  **and**  
 $\varrho = \varrho_e$  **and**  
 $\text{update-additional-info} = \text{update-additional-info}$   
 $\langle \text{proof} \rangle$

**inductive** *simple-backtrack-conflict-opt*  $:: \langle 'st \Rightarrow 'st \Rightarrow \text{bool} \rangle$  **where**  
 $\langle \text{simple-backtrack-conflict-opt } S \text{ } T \rangle$   
**if**

$\langle \text{backtrack-split } (\text{trail } S) = (M2, \text{Decided } K \# M1) \rangle$  and  
 $\langle \text{negate-ann-lits } (\text{trail } S) \in \# \text{ enc-weight-opt.conflicting-clss } S \rangle$  and  
 $\langle \text{conflicting } S = \text{None} \rangle$  and  
 $\langle T \sim \text{cons-trail } (\text{Propagated } (-K) (\text{DECO-clause } (\text{trail } S)))$   
 $(\text{add-learned-cls } (\text{DECO-clause } (\text{trail } S)) (\text{reduce-trail-to } M1 S)) \rangle$

**inductive-cases** *simple-backtrack-conflict-optE*:  $\langle \text{simple-backtrack-conflict-opt } S T \rangle$

**lemma** *simple-backtrack-conflict-opt-conflict-analysis*:

**assumes**  $\langle \text{simple-backtrack-conflict-opt } S U \rangle$  and  
 $\text{inv: } \langle \text{cdcl}_W\text{-restart-mset.cdcl}_W\text{-all-struct-inv } (\text{enc-weight-opt.abs-state } S) \rangle$   
**shows**  $\langle \exists T T'. \text{enc-weight-opt.conflict-opt } S T \wedge \text{resolve}^{**} T T'$   
 $\wedge \text{enc-weight-opt.obacktrack } T' U \rangle$   
 $\langle \text{proof} \rangle$

**inductive** *conflict-opt0* ::  $\langle 'st \Rightarrow 'st \Rightarrow \text{bool} \rangle$  **where**

$\langle \text{conflict-opt0 } S T \rangle$

**if**

$\langle \text{count-decided } (\text{trail } S) = 0 \rangle$  and  
 $\langle \text{negate-ann-lits } (\text{trail } S) \in \# \text{ enc-weight-opt.conflicting-clss } S \rangle$  and  
 $\langle \text{conflicting } S = \text{None} \rangle$  and  
 $\langle T \sim \text{update-conflicting } (\text{Some } \{\#\}) (\text{reduce-trail-to } ([ ] :: ('v, 'v \text{ clause}) \text{ ann-lits } S)) \rangle$

**inductive-cases** *conflict-opt0E*:  $\langle \text{conflict-opt0 } S T \rangle$

**inductive** *cdcl-dpll-bnb-r* ::  $\langle 'st \Rightarrow 'st \Rightarrow \text{bool} \rangle$  **for**  $S :: 'st$  **where**

$\text{cdcl-conflict: } \text{conflict } S S' \implies \text{cdcl-dpll-bnb-r } S S' \mid$   
 $\text{cdcl-propagate: } \text{propagate } S S' \implies \text{cdcl-dpll-bnb-r } S S' \mid$   
 $\text{cdcl-improve: } \text{enc-weight-opt.improvep } S S' \implies \text{cdcl-dpll-bnb-r } S S' \mid$   
 $\text{cdcl-conflict-opt0: } \text{conflict-opt0 } S S' \implies \text{cdcl-dpll-bnb-r } S S' \mid$   
 $\text{cdcl-simple-backtrack-conflict-opt:}$   
 $\langle \text{simple-backtrack-conflict-opt } S S' \implies \text{cdcl-dpll-bnb-r } S S' \rangle \mid$   
 $\text{cdcl-o': } \text{ocdcl}_W\text{-o-r } S S' \implies \text{cdcl-dpll-bnb-r } S S'$

**inductive** *cdcl-dpll-bnb-r-stgy* ::  $\langle 'st \Rightarrow 'st \Rightarrow \text{bool} \rangle$  **for**  $S :: 'st$  **where**

$\text{cdcl-dpll-bnb-r-conflict: } \text{conflict } S S' \implies \text{cdcl-dpll-bnb-r-stgy } S S' \mid$   
 $\text{cdcl-dpll-bnb-r-propagate: } \text{propagate } S S' \implies \text{cdcl-dpll-bnb-r-stgy } S S' \mid$   
 $\text{cdcl-dpll-bnb-r-improve: } \text{enc-weight-opt.improvep } S S' \implies \text{cdcl-dpll-bnb-r-stgy } S S' \mid$   
 $\text{cdcl-dpll-bnb-r-conflict-opt0: } \text{conflict-opt0 } S S' \implies \text{cdcl-dpll-bnb-r-stgy } S S' \mid$   
 $\text{cdcl-dpll-bnb-r-simple-backtrack-conflict-opt:}$   
 $\langle \text{simple-backtrack-conflict-opt } S S' \implies \text{cdcl-dpll-bnb-r-stgy } S S' \rangle \mid$   
 $\text{cdcl-dpll-bnb-r-other': } \text{ocdcl}_W\text{-o-r } S S' \implies \text{no-conflict-prop-impr } S \implies \text{cdcl-dpll-bnb-r-stgy } S S'$

**lemma** *no-dup-dropI*:

$\langle \text{no-dup } M \implies \text{no-dup } (\text{drop } n M) \rangle$   
 $\langle \text{proof} \rangle$

**lemma** *tracplp-resolve-state-eq-compatible*:

$\langle \text{resolve}^{++} S T \implies T \sim T' \implies \text{resolve}^{++} S T' \rangle$   
 $\langle \text{proof} \rangle$

**lemma** *conflict-opt0-state-eq-compatible*:

$\langle \text{conflict-opt0 } S T \implies S \sim S' \implies T \sim T' \implies \text{conflict-opt0 } S' T' \rangle$   
 $\langle \text{proof} \rangle$

**lemma** *conflict-opt0-conflict-opt*:

**assumes**  $\langle \text{conflict-opt0 } S \ U \rangle$  **and**

*inv*:  $\langle \text{cdcl}_W\text{-restart-mset.cdcl}_W\text{-all-struct-inv } (\text{enc-weight-opt.abs-state } S) \rangle$

**shows**  $\langle \exists T. \text{enc-weight-opt.conflict-opt } S \ T \wedge \text{resolve}^{**} \ T \ U \rangle$

$\langle \text{proof} \rangle$

**lemma** *backtrack-split-some-is-decided-then-snd-has-hd2*:

$\langle \exists l \in \text{set } M. \text{is-decided } l \implies \exists M' \ L' \ M''. \text{backtrack-split } M = (M'', \text{Decided } L' \ \# \ M') \rangle$

$\langle \text{proof} \rangle$

**lemma** *no-step-conflict-opt0-simple-backtrack-conflict-opt*:

$\langle \text{no-step conflict-opt0 } S \implies \text{no-step simple-backtrack-conflict-opt } S \implies$

$\text{no-step enc-weight-opt.conflict-opt } S \rangle$

$\langle \text{proof} \rangle$

**lemma** *no-step-cdcl-dpll-bnb-r-cdcl-bnb-r*:

**assumes**  $\langle \text{cdcl}_W\text{-restart-mset.cdcl}_W\text{-all-struct-inv } (\text{enc-weight-opt.abs-state } S) \rangle$

**shows**

$\langle \text{no-step cdcl-dpll-bnb-r } S \longleftrightarrow \text{no-step cdcl-bnb-r } S \rangle$  (**is**  $\langle ?A \longleftrightarrow ?B \rangle$ )

$\langle \text{proof} \rangle$

**lemma** *cdcl-dpll-bnb-r-cdcl-bnb-r*:

**assumes**  $\langle \text{cdcl-dpll-bnb-r } S \ T \rangle$  **and**

$\langle \text{cdcl}_W\text{-restart-mset.cdcl}_W\text{-all-struct-inv } (\text{enc-weight-opt.abs-state } S) \rangle$

**shows**  $\langle \text{cdcl-bnb-r}^{**} \ S \ T \rangle$

$\langle \text{proof} \rangle$

**lemma** *resolve-no-prop-confli*:  $\langle \text{resolve } S \ T \implies \text{no-step propagate } S \wedge \text{no-step conflict } S \rangle$

$\langle \text{proof} \rangle$

**lemma** *cdcl-bnb-r-stgy-res*:

$\langle \text{resolve } S \ T \implies \text{cdcl-bnb-r-stgy } S \ T \rangle$

$\langle \text{proof} \rangle$

**lemma** *rtranclp-cdcl-bnb-r-stgy-res*:

$\langle \text{resolve}^{**} \ S \ T \implies \text{cdcl-bnb-r-stgy}^{**} \ S \ T \rangle$

$\langle \text{proof} \rangle$

**lemma** *obacktrack-no-prop-confli*:  $\langle \text{enc-weight-opt.obacktrack } S \ T \implies \text{no-step propagate } S \wedge \text{no-step conflict } S \rangle$

$\langle \text{proof} \rangle$

**lemma** *cdcl-bnb-r-stgy-bt*:

$\langle \text{enc-weight-opt.obacktrack } S \ T \implies \text{cdcl-bnb-r-stgy } S \ T \rangle$

$\langle \text{proof} \rangle$

**lemma** *cdcl-dpll-bnb-r-stgy-cdcl-bnb-r-stgy*:

**assumes**  $\langle \text{cdcl-dpll-bnb-r-stgy } S \ T \rangle$  **and**

$\langle \text{cdcl}_W\text{-restart-mset.cdcl}_W\text{-all-struct-inv } (\text{enc-weight-opt.abs-state } S) \rangle$

**shows**  $\langle \text{cdcl-bnb-r-stgy}^{**} \ S \ T \rangle$

$\langle \text{proof} \rangle$

**lemma** *cdcl-bnb-r-stgy-cdcl-bnb-r*:

$\langle \text{cdcl-bnb-r-stgy } S \ T \implies \text{cdcl-bnb-r } S \ T \rangle$

$\langle \text{proof} \rangle$

**lemma** *rtrancpl-cdcl-bnb-r-stgy-cdcl-bnb-r*:  
 $\langle \text{cdcl-bnb-r-stgy}^{**} S T \implies \text{cdcl-bnb-r}^{**} S T \rangle$   
 $\langle \text{proof} \rangle$

**context**

**fixes**  $S :: 'st$

**assumes**  $S\text{-}\Sigma$ :  $\langle \text{atms-of-mm} (\text{init-clss } S) = \Sigma - \Delta\Sigma \cup \text{replacement-pos } \Delta\Sigma \cup \text{replacement-neg } \Delta\Sigma \rangle$

**begin**

**lemma** *cdcl-dpll-bnb-r-stgy-all-struct-inv*:

$\langle \text{cdcl-dpll-bnb-r-stgy } S T \implies$   
 $\text{cdcl}_W\text{-restart-mset.cdcl}_W\text{-all-struct-inv } (\text{enc-weight-opt.abs-state } S) \implies$   
 $\text{cdcl}_W\text{-restart-mset.cdcl}_W\text{-all-struct-inv } (\text{enc-weight-opt.abs-state } T) \rangle$   
 $\langle \text{proof} \rangle$

**end**

**lemma** *cdcl-bnb-r-stgy-cdcl-dpll-bnb-r-stgy*:

$\langle \text{cdcl-bnb-r-stgy } S T \implies \exists T. \text{cdcl-dpll-bnb-r-stgy } S T \rangle$   
 $\langle \text{proof} \rangle$

**context**

**fixes**  $S :: 'st$

**assumes**  $S\text{-}\Sigma$ :  $\langle \text{atms-of-mm} (\text{init-clss } S) = \Sigma - \Delta\Sigma \cup \text{replacement-pos } \Delta\Sigma \cup \text{replacement-neg } \Delta\Sigma \rangle$

**begin**

**lemma** *rtrancpl-cdcl-dpll-bnb-r-stgy-cdcl-bnb-r*:

**assumes**  $\langle \text{cdcl-dpll-bnb-r-stgy}^{**} S T \rangle$  **and**  
 $\langle \text{cdcl}_W\text{-restart-mset.cdcl}_W\text{-all-struct-inv } (\text{enc-weight-opt.abs-state } S) \rangle$   
**shows**  $\langle \text{cdcl-bnb-r-stgy}^{**} S T \rangle$   
 $\langle \text{proof} \rangle$

**lemma** *rtrancpl-cdcl-dpll-bnb-r-stgy-all-struct-inv*:

$\langle \text{cdcl-dpll-bnb-r-stgy}^{**} S T \implies$   
 $\text{cdcl}_W\text{-restart-mset.cdcl}_W\text{-all-struct-inv } (\text{enc-weight-opt.abs-state } S) \implies$   
 $\text{cdcl}_W\text{-restart-mset.cdcl}_W\text{-all-struct-inv } (\text{enc-weight-opt.abs-state } T) \rangle$   
 $\langle \text{proof} \rangle$

**lemma** *full-cdcl-dpll-bnb-r-stgy-full-cdcl-bnb-r-stgy*:

**assumes**  $\langle \text{full cdcl-dpll-bnb-r-stgy } S T \rangle$  **and**  
 $\langle \text{cdcl}_W\text{-restart-mset.cdcl}_W\text{-all-struct-inv } (\text{enc-weight-opt.abs-state } S) \rangle$   
**shows**  $\langle \text{full cdcl-bnb-r-stgy } S T \rangle$   
 $\langle \text{proof} \rangle$

**end**

**lemma** *replace-pos-neg-not-both-decided-highest-lvl*:

**assumes**

*struct*:  $\langle \text{cdcl}_W\text{-restart-mset.cdcl}_W\text{-all-struct-inv } (\text{enc-weight-opt.abs-state } S) \rangle$  **and**  
*smaller-propa*:  $\langle \text{no-smaller-propa } S \rangle$  **and**  
*smaller-confl*:  $\langle \text{no-smaller-confl } S \rangle$  **and**  
*dec0*:  $\langle \text{Pos } (A^{\rightarrow 0}) \in \text{lits-of-l } (\text{trail } S) \rangle$  **and**  
*dec1*:  $\langle \text{Pos } (A^{\rightarrow 1}) \in \text{lits-of-l } (\text{trail } S) \rangle$  **and**  
*add*:  $\langle \text{additional-constraints } \subseteq \# \text{ init-clss } S \rangle$  **and**  
*[simp]*:  $\langle A \in \Delta\Sigma \rangle$

**shows**  $\langle \text{get-level } (\text{trail } S) \text{ (Pos } (A^{\mapsto 0})) = \text{backtrack-lvl } S \wedge$   
 $\text{get-level } (\text{trail } S) \text{ (Pos } (A^{\mapsto 1})) = \text{backtrack-lvl } S \rangle$   
 $\langle \text{proof} \rangle$

**lemma** *cdcl-dpll-bnb-r-stgy-clauses-mono*:  
 $\langle \text{cdcl-dpll-bnb-r-stgy } S \text{ } T \implies \text{clauses } S \subseteq \# \text{ clauses } T \rangle$   
 $\langle \text{proof} \rangle$

**lemma** *rtrancp-cdcl-dpll-bnb-r-stgy-clauses-mono*:  
 $\langle \text{cdcl-dpll-bnb-r-stgy}^{**} S \text{ } T \implies \text{clauses } S \subseteq \# \text{ clauses } T \rangle$   
 $\langle \text{proof} \rangle$

**lemma** *cdcl-dpll-bnb-r-stgy-init-clss-eq*:  
 $\langle \text{cdcl-dpll-bnb-r-stgy } S \text{ } T \implies \text{init-clss } S = \text{init-clss } T \rangle$   
 $\langle \text{proof} \rangle$

**lemma** *rtrancp-cdcl-dpll-bnb-r-stgy-init-clss-eq*:  
 $\langle \text{cdcl-dpll-bnb-r-stgy}^{**} S \text{ } T \implies \text{init-clss } S = \text{init-clss } T \rangle$   
 $\langle \text{proof} \rangle$

**context**

**fixes**  $S :: 'st$  **and**  $N :: \langle 'v \text{ clauses} \rangle$

**assumes**  $S \cdot \Sigma: \langle \text{init-clss } S = \text{penc } N \rangle$

**begin**

**lemma** *replacement-pos-neg-defined-same-lvl*:

**assumes**

*struct*:  $\langle \text{cdcl}_W\text{-restart-mset.cdcl}_W\text{-all-struct-inv } (\text{enc-weight-opt.abs-state } S) \rangle$  **and**

$A: \langle A \in \Delta \Sigma \rangle$  **and**

*lev*:  $\langle \text{get-level } (\text{trail } S) \text{ (Pos } (\text{replacement-pos } A)) < \text{backtrack-lvl } S \rangle$  **and**

*smaller-propa*:  $\langle \text{no-smaller-propa } S \rangle$  **and**

*smaller-conf*:  $\langle \text{cdcl-bnb-stgy-inv } S \rangle$

**shows**

$\langle \text{Pos } (\text{replacement-pos } A) \in \text{lits-of-l } (\text{trail } S) \implies$

$\text{Neg } (\text{replacement-neg } A) \in \text{lits-of-l } (\text{trail } S) \rangle$

$\langle \text{proof} \rangle$

**lemma** *replacement-pos-neg-defined-same-lvl'*:

**assumes**

*struct*:  $\langle \text{cdcl}_W\text{-restart-mset.cdcl}_W\text{-all-struct-inv } (\text{enc-weight-opt.abs-state } S) \rangle$  **and**

$A: \langle A \in \Delta \Sigma \rangle$  **and**

*lev*:  $\langle \text{get-level } (\text{trail } S) \text{ (Pos } (\text{replacement-neg } A)) < \text{backtrack-lvl } S \rangle$  **and**

*smaller-propa*:  $\langle \text{no-smaller-propa } S \rangle$  **and**

*smaller-conf*:  $\langle \text{cdcl-bnb-stgy-inv } S \rangle$

**shows**

$\langle \text{Pos } (\text{replacement-neg } A) \in \text{lits-of-l } (\text{trail } S) \implies$

$\text{Neg } (\text{replacement-pos } A) \in \text{lits-of-l } (\text{trail } S) \rangle$

$\langle \text{proof} \rangle$

**end**

**definition** *all-new-literals*  $:: \langle 'v \text{ list} \rangle$  **where**

$\langle \text{all-new-literals} = (\text{SOME } xs. \text{mset } xs = \text{mset-set } (\text{replacement-neg } ' \Delta\Sigma \cup \text{replacement-pos } ' \Delta\Sigma)) \rangle$

**lemma** *set-all-new-literals[simp]*:

$\langle \text{set all-new-literals} = (\text{replacement-neg } ' \Delta\Sigma \cup \text{replacement-pos } ' \Delta\Sigma) \rangle$   
 $\langle \text{proof} \rangle$

This function is basically resolving the clause with all the additional clauses  $\{\#Neg (L^{\mapsto 1}), Neg (L^{\mapsto 0})\#$ .

**fun** *resolve-with-all-new-literals* ::  $\langle 'v \text{ clause} \Rightarrow 'v \text{ list} \Rightarrow 'v \text{ clause} \rangle$  **where**

$\langle \text{resolve-with-all-new-literals } C [] = C \rangle$  |  
 $\langle \text{resolve-with-all-new-literals } C (L \# Ls) =$   
 $\quad \text{remdups-mset } (\text{resolve-with-all-new-literals } (\text{if Pos } L \in \# C \text{ then add-mset } (Neg (\text{opposite-var } L))$   
 $\quad (\text{removeAll-mset } (Pos L) C) \text{ else } C) Ls \rangle$

**abbreviation** *normalize2* **where**

$\langle \text{normalize2 } C \equiv \text{resolve-with-all-new-literals } C \text{ all-new-literals} \rangle$

**lemma** *Neg-in-normalize2[simp]*:  $\langle Neg L \in \# C \implies Neg L \in \# \text{resolve-with-all-new-literals } C xs \rangle$   
 $\langle \text{proof} \rangle$

**lemma** *Pos-in-normalize2D[dest]*:  $\langle Pos L \in \# \text{resolve-with-all-new-literals } C xs \implies Pos L \in \# C \rangle$   
 $\langle \text{proof} \rangle$

**lemma** *opposite-var-involutive[simp]*:

$\langle L \in (\text{replacement-neg } ' \Delta\Sigma \cup \text{replacement-pos } ' \Delta\Sigma) \implies \text{opposite-var } (\text{opposite-var } L) = L \rangle$   
 $\langle \text{proof} \rangle$

**lemma** *Neg-in-resolve-with-all-new-literals-Pos-notin*:

$\langle L \in (\text{replacement-neg } ' \Delta\Sigma \cup \text{replacement-pos } ' \Delta\Sigma) \implies \text{set } xs \subseteq (\text{replacement-neg } ' \Delta\Sigma \cup$   
 $\text{replacement-pos } ' \Delta\Sigma) \implies$   
 $\quad Pos (\text{opposite-var } L) \notin \# C \implies Neg L \in \# \text{resolve-with-all-new-literals } C xs \longleftrightarrow Neg L \in \# C \rangle$   
 $\langle \text{proof} \rangle$

**lemma** *Pos-in-normalize2-Neg-notin[simp]*:

$\langle L \in (\text{replacement-neg } ' \Delta\Sigma \cup \text{replacement-pos } ' \Delta\Sigma) \implies$   
 $\quad Pos (\text{opposite-var } L) \notin \# C \implies Neg L \in \# \text{normalize2 } C \longleftrightarrow Neg L \in \# C \rangle$   
 $\langle \text{proof} \rangle$

**lemma** *all-negation-deleted*:

$\langle L \in \text{set all-new-literals} \implies Pos L \notin \# \text{normalize2 } C \rangle$   
 $\langle \text{proof} \rangle$

**lemma** *Pos-in-resolve-with-all-new-literals-iff-already-in-or-negation-in*:

$\langle L \in \text{set all-new-literals} \implies \text{set } xs \subseteq (\text{replacement-neg } ' \Delta\Sigma \cup \text{replacement-pos } ' \Delta\Sigma) \implies Neg L \in \#$   
 $\text{resolve-with-all-new-literals } C xs \implies$   
 $\quad Neg L \in \# C \vee Pos (\text{opposite-var } L) \in \# C \rangle$   
 $\langle \text{proof} \rangle$

**lemma** *Pos-in-normalize2-iff-already-in-or-negation-in*:

$\langle L \in \text{set all-new-literals} \implies Neg L \in \# \text{normalize2 } C \implies$   
 $\quad Neg L \in \# C \vee Pos (\text{opposite-var } L) \in \# C \rangle$   
 $\langle \text{proof} \rangle$

This proof makes it hard to measure progress because I currently do not see a way to distinguish

between  $\text{add-mset } (A^{\mapsto 1}) \ C$  and  $\text{add-mset } (A^{\mapsto 1}) (\text{add-mset } (A^{\mapsto 0}) \ C)$ .

**lemma**

**assumes**

$\langle \text{enc-weight-opt.cdcl-bnb-stgy } S \ T \rangle$  **and**

$\text{struct: } \langle \text{cdcl}_W\text{-restart-mset.cdcl}_W\text{-all-struct-inv } (\text{enc-weight-opt.abs-state } S) \rangle$  **and**

$\text{dist: } \langle \text{distinct-mset } (\text{normalize-clause } \text{'\# learned-clss } S) \rangle$  **and**

$\text{smaller-propa: } \langle \text{no-smaller-propa } S \rangle$  **and**

$\text{smaller-confl: } \langle \text{cdcl-bnb-stgy-inv } S \rangle$

**shows**  $\langle \text{distinct-mset } (\text{remdups-mset } (\text{normalize2 } \text{'\# learned-clss } T)) \rangle$

$\langle \text{proof} \rangle$

**find-theorems**  $\text{get-level Pos Neg}$

**end**

**end**

**theory** *CDCL-W-Covering-Models*

**imports** *CDCL-W-Optimal-Model*

**begin**

## 0.2 Covering Models

I am only interested in the extension of CDCL to find covering mdoels, not in the required subsequent extraction of the minimal covering models.

**type-synonym**  $\text{'v cov} = \langle \text{'v literal multiset multiset} \rangle$

**lemma** *true-clss-clss-in-susbsuming:*

$\langle C' \subseteq \# \ C \implies C' \in N \implies N \models_p C \rangle$

$\langle \text{proof} \rangle$

**locale** *covering-models* =

**fixes**

$\varrho :: \langle \text{'v} \implies \text{bool} \rangle$

**begin**

**definition** *model-is-dominated* ::  $\langle \text{'v literal multiset} \implies \text{'v literal multiset} \implies \text{bool} \rangle$  **where**

$\langle \text{model-is-dominated } M \ M' \longleftrightarrow$

$\text{filter-mset } (\lambda L. \text{is-pos } L \wedge \varrho (\text{atm-of } L)) \ M \subseteq \# \text{filter-mset } (\lambda L. \text{is-pos } L \wedge \varrho (\text{atm-of } L)) \ M' \rangle$

**lemma** *model-is-dominated-refl:*  $\langle \text{model-is-dominated } I \ I \rangle$

$\langle \text{proof} \rangle$

**lemma** *model-is-dominated-trans:*

$\langle \text{model-is-dominated } I \ J \implies \text{model-is-dominated } J \ K \implies \text{model-is-dominated } I \ K \rangle$

$\langle \text{proof} \rangle$

**definition** *is-dominating* ::  $\langle \text{'v literal multiset multiset} \implies \text{'v literal multiset} \implies \text{bool} \rangle$  **where**

$\langle \text{is-dominating } \mathcal{M} \ I \longleftrightarrow (\exists M \in \# \mathcal{M}. \exists J. I \subseteq \# \ J \wedge \text{model-is-dominated } J \ M) \rangle$

**lemma**

*is-dominating-in:*

$\langle I \in \# \ \mathcal{M} \implies \text{is-dominating } \mathcal{M} \ I \rangle$  **and**

*is-dominating-mono:*

$\langle \text{is-dominating } \mathcal{M} \ I \implies \text{set-mset } \mathcal{M} \subseteq \text{set-mset } \mathcal{M}' \implies \text{is-dominating } \mathcal{M}' \ I \rangle$  **and**

*is-dominating-mono-model:*

$\langle \text{is-dominating } \mathcal{M} \ I \implies I' \subseteq \# \ I \implies \text{is-dominating } \mathcal{M} \ I \rangle$   
 $\langle \text{proof} \rangle$

**lemma** *is-dominating-add-mset:*

$\langle \text{is-dominating } (\text{add-mset } x \ \mathcal{M}) \ I \longleftrightarrow$   
 $\text{is-dominating } \mathcal{M} \ I \vee (\exists J. I \subseteq \# \ J \wedge \text{model-is-dominated } J \ x) \rangle$   
 $\langle \text{proof} \rangle$

**definition** *is-improving-int*

$:: \langle ('v, 'v \text{ clause}) \text{ ann-lits} \implies ('v, 'v \text{ clause}) \text{ ann-lits} \implies 'v \text{ clauses} \implies 'v \text{ cov} \implies \text{bool} \rangle$

**where**

$\langle \text{is-improving-int } M \ M' \ N \ \mathcal{M} \longleftrightarrow$   
 $M = M' \wedge (\forall I \in \# \ \mathcal{M}. \neg \text{model-is-dominated } (\text{lit-of } \# \ \text{mset } M) \ I) \wedge$   
 $\text{total-over-m } (\text{lits-of-l } M) \ (\text{set-mset } N) \wedge$   
 $\text{lit-of } \# \ \text{mset } M \in \text{simple-clss } (\text{atms-of-mm } N) \wedge$   
 $\text{lit-of } \# \ \text{mset } M \notin \# \ \mathcal{M} \wedge$   
 $M \models_{\text{asm}} N \wedge$   
 $\text{no-dup } M \rangle$

This criteria is a bit more general than Weidenbach's version.

**abbreviation** *conflicting-clauses-ent* **where**

$\langle \text{conflicting-clauses-ent } N \ \mathcal{M} \equiv$   
 $\{ \# \text{pNeg } \{ \# L \in \# \ x. \ \varrho \ (\text{atm-of } L) \# \}. \}$   
 $x \in \# \ \text{filter-mset } (\lambda x. \text{is-dominating } \mathcal{M} \ x \wedge \text{atms-of } x = \text{atms-of-mm } N)$   
 $(\text{mset-set } (\text{simple-clss } (\text{atms-of-mm } N))) \# \} + N \rangle$

**definition** *conflicting-clauses*

$:: \langle 'v \text{ clauses} \implies 'v \text{ cov} \implies 'v \text{ clauses} \rangle$

**where**

$\langle \text{conflicting-clauses } N \ \mathcal{M} =$   
 $\{ \# C \in \# \ \text{mset-set } (\text{simple-clss } (\text{atms-of-mm } N)) \}. \}$   
 $\text{conflicting-clauses-ent } N \ \mathcal{M} \models_{\text{pm}} C \# \rangle$

**lemma** *conflicting-clauses-insert:*

**assumes**  $\langle M \in \text{simple-clss } (\text{atms-of-mm } N) \rangle$  **and**  $\langle \text{atms-of } M = \text{atms-of-mm } N \rangle$   
**shows**  $\langle \text{pNeg } M \in \# \ \text{conflicting-clauses } N \ (\text{add-mset } M \ w) \rangle$   
 $\langle \text{proof} \rangle$

**lemma** *is-dominating-in-conflicting-clauses:*

**assumes**  $\langle \text{is-dominating } \mathcal{M} \ I \rangle$  **and**  
 $\text{atm: } \langle \text{atms-of-s } (\text{set-mset } I) = \text{atms-of-mm } N \rangle$  **and**  
 $\langle \text{set-mset } I \models_m N \rangle$  **and**  
 $\langle \text{consistent-interp } (\text{set-mset } I) \rangle$  **and**  
 $\langle \neg \text{tautology } I \rangle$  **and**  
 $\langle \text{distinct-mset } I \rangle$

**shows**

$\langle \text{pNeg } I \in \# \ \text{conflicting-clauses } N \ \mathcal{M} \rangle$

$\langle \text{proof} \rangle$

**end**

**locale** *conflict-driven-clause-learning<sub>W</sub>-covering-models* =

*conflict-driven-clause-learning<sub>W</sub>*  
*state-eq*  
*state*



— functions for the state:  
 — access functions:  
*trail init-clss learned-clss conflicting*  
 — changing state:  
*cons-trail tl-trail add-learned-cls remove-cls*  
*update-conflicting*  
 — get state:  
*init-state* +  
*covering-models*  $\varrho$   
**for**  
*state-eq* :: '*st*  $\Rightarrow$  '*st*  $\Rightarrow$  bool (**infix**  $\sim 50$ ) **and**  
*state* :: '*st*  $\Rightarrow$  ('*v*, '*v* clause) ann-lits  $\times$  '*v* clauses  $\times$  '*v* clauses  $\times$  '*v* clause option  $\times$   
'*v* cov  $\times$  '*b* **and**  
*trail* :: '*st*  $\Rightarrow$  ('*v*, '*v* clause) ann-lits **and**  
*init-clss* :: '*st*  $\Rightarrow$  '*v* clauses **and**  
*learned-clss* :: '*st*  $\Rightarrow$  '*v* clauses **and**  
*conflicting* :: '*st*  $\Rightarrow$  '*v* clause option **and**  
  
*cons-trail* :: ('*v*, '*v* clause) ann-lit  $\Rightarrow$  '*st*  $\Rightarrow$  '*st* **and**  
*tl-trail* :: '*st*  $\Rightarrow$  '*st* **and**  
*add-learned-cls* :: '*v* clause  $\Rightarrow$  '*st*  $\Rightarrow$  '*st* **and**  
*remove-cls* :: '*v* clause  $\Rightarrow$  '*st*  $\Rightarrow$  '*st* **and**  
*update-conflicting* :: '*v* clause option  $\Rightarrow$  '*st*  $\Rightarrow$  '*st* **and**  
*init-state* :: '*v* clauses  $\Rightarrow$  '*st* **and**  
*q* :: '<'v  $\Rightarrow$  bool> +  
**fixes**  
*update-additional-info* :: '<'v cov  $\times$  '*b*  $\Rightarrow$  '*st*  $\Rightarrow$  '*st*>  
**assumes**  
*update-additional-info*:  
<state *S* = (*M*, *N*, *U*, *C*, *M*)  $\Longrightarrow$  state (update-additional-info *K'* *S*) = (*M*, *N*, *U*, *C*, *K'*)> **and**  
*weight-init-state*:  
< $\bigwedge N ::$  '*v* clauses. *fst* (additional-info (init-state *N*)) = {#}>  
**begin**  
  
**definition** *update-weight-information* :: '<'v, '*v* clause> ann-lits  $\Rightarrow$  '*st*  $\Rightarrow$  '*st*> **where**  
<update-weight-information *M* *S* =

*update-additional-info* (add-mset (lit-of '# mset *M*) (fst (additional-info *S*)), snd (additional-info  
*S*)) *S*>

**lemma**

*trail-update-additional-info[simp]*: <trail (update-additional-info *w* *S*) = trail *S*> **and**  
*init-clss-update-additional-info[simp]*:  
<init-clss (update-additional-info *w* *S*) = init-clss *S*> **and**  
*learned-clss-update-additional-info[simp]*:  
<learned-clss (update-additional-info *w* *S*) = learned-clss *S*> **and**  
*backtrack-lvl-update-additional-info[simp]*:  
<backtrack-lvl (update-additional-info *w* *S*) = backtrack-lvl *S*> **and**  
*conflicting-update-additional-info[simp]*:  
<conflicting (update-additional-info *w* *S*) = conflicting *S*> **and**  
*clauses-update-additional-info[simp]*:  
<clauses (update-additional-info *w* *S*) = clauses *S*>  
<proof>

**lemma**

*trail-update-weight-information[simp]*:  
<trail (update-weight-information *w* *S*) = trail *S*> **and**

*init-clss-update-weight-information*[simp]:  
 ⟨*init-clss* (*update-weight-information* *w* *S*) = *init-clss* *S*⟩ **and**  
*learned-clss-update-weight-information*[simp]:  
 ⟨*learned-clss* (*update-weight-information* *w* *S*) = *learned-clss* *S*⟩ **and**  
*backtrack-lvl-update-weight-information*[simp]:  
 ⟨*backtrack-lvl* (*update-weight-information* *w* *S*) = *backtrack-lvl* *S*⟩ **and**  
*conflicting-update-weight-information*[simp]:  
 ⟨*conflicting* (*update-weight-information* *w* *S*) = *conflicting* *S*⟩ **and**  
*clauses-update-weight-information*[simp]:  
 ⟨*clauses* (*update-weight-information* *w* *S*) = *clauses* *S*⟩  
 ⟨*proof*⟩

**definition** *covering* :: ⟨'st ⇒ 'v cov⟩ **where**  
 ⟨*covering* *S* = fst (additional-info *S*)⟩

**lemma**

*additional-info-update-additional-info*[simp]:  
*additional-info* (*update-additional-info* *w* *S*) = *w*  
 ⟨*proof*⟩

**lemma**

*covering-cons-trail2*[simp]: ⟨*covering* (*cons-trail* *L* *S*) = *covering* *S*⟩ **and**  
*clss-tl-trail2*[simp]: *covering* (*tl-trail* *S*) = *covering* *S* **and**  
*covering-add-learned-cls-unfolded*:  
*covering* (*add-learned-cls* *U* *S*) = *covering* *S*  
**and**  
*covering-update-conflicting2*[simp]: *covering* (*update-conflicting* *D* *S*) = *covering* *S* **and**  
*covering-remove-cls2*[simp]:  
*covering* (*remove-cls* *C* *S*) = *covering* *S* **and**  
*covering-add-learned-cls2*[simp]:  
*covering* (*add-learned-cls* *C* *S*) = *covering* *S* **and**  
*covering-update-covering-information2*[simp]:  
*covering* (*update-weight-information* *M* *S*) = *add-mset* (*lit-of* '# mset *M*) (*covering* *S*)  
 ⟨*proof*⟩

**sublocale** *conflict-driven-clause-learning<sub>W</sub>* **where**

*state-eq* = *state-eq* **and**  
*state* = *state* **and**  
*trail* = *trail* **and**  
*init-clss* = *init-clss* **and**  
*learned-clss* = *learned-clss* **and**  
*conflicting* = *conflicting* **and**  
*cons-trail* = *cons-trail* **and**  
*tl-trail* = *tl-trail* **and**  
*add-learned-cls* = *add-learned-cls* **and**  
*remove-cls* = *remove-cls* **and**  
*update-conflicting* = *update-conflicting* **and**  
*init-state* = *init-state*  
 ⟨*proof*⟩

**sublocale** *conflict-driven-clause-learning-with-adding-init-clause-cost<sub>W</sub>-no-state*  
**where**

*state* = *state* **and**  
*trail* = *trail* **and**

*init-clss* = *init-clss* **and**  
*learned-clss* = *learned-clss* **and**  
*conflicting* = *conflicting* **and**  
*cons-trail* = *cons-trail* **and**  
*tl-trail* = *tl-trail* **and**  
*add-learned-clss* = *add-learned-clss* **and**  
*remove-clss* = *remove-clss* **and**  
*update-conflicting* = *update-conflicting* **and**  
*init-state* = *init-state* **and**  
*weight* = *covering* **and**  
*update-weight-information* = *update-weight-information* **and**  
*is-improving-int* = *is-improving-int* **and**  
*conflicting-clauses* = *conflicting-clauses*  
 ⟨proof⟩

**lemma** *state-additional-info2'*:

⟨state  $S = (\text{trail } S, \text{init-clss } S, \text{learned-clss } S, \text{conflicting } S, \text{covering } S, \text{additional-info}' S)$ ⟩  
 ⟨proof⟩

**lemma** *state-update-weight-information*:

⟨state  $S = (M, N, U, C, w, \text{other}) \implies$   
 $\exists w'. \text{state } (\text{update-weight-information } T S) = (M, N, U, C, w', \text{other})$ ⟩  
 ⟨proof⟩

**lemma** *conflicting-clss-incl-init-clss*:

⟨atms-of-mm (*conflicting-clss*  $S$ )  $\subseteq$  atms-of-mm (*init-clss*  $S$ )⟩  
 ⟨proof⟩

**lemma** *conflict-clss-update-weight-no-alien*:

⟨atms-of-mm (*conflicting-clss* (*update-weight-information*  $M S$ ))  
 $\subseteq$  atms-of-mm (*init-clss*  $S$ )⟩  
 ⟨proof⟩

**lemma** *distinct-mset-mset-conflicting-clss2*: ⟨distinct-mset-mset (*conflicting-clss*  $S$ )⟩

⟨proof⟩

**lemma** *total-over-m-atms-incl*:

**assumes** ⟨total-over-m  $M$  (set-mset  $N$ )⟩  
**shows**  
 ⟨ $x \in \text{atms-of-mm } N \implies x \in \text{atms-of-s } M$ ⟩  
 ⟨proof⟩

**lemma** *negate-ann-lits-simple-clss-iff[iff]*:

⟨negate-ann-lits  $M \in \text{simple-clss } N \longleftrightarrow \text{lit-of } \# \text{ mset } M \in \text{simple-clss } N$ ⟩  
 ⟨proof⟩

**lemma** *conflicting-clss-update-weight-information-in2*:

**assumes** ⟨is-improving  $M M' S$ ⟩  
**shows** ⟨negate-ann-lits  $M' \in \# \text{ conflicting-clss } (\text{update-weight-information } M' S)$ ⟩  
 ⟨proof⟩

**lemma** *is-improving-conflicting-clss-update-weight-information*: ⟨is-improving  $M M' S \implies$

*conflicting-clss*  $S \subseteq \# \text{ conflicting-clss } (\text{update-weight-information } M' S)$ ⟩

$\langle \text{proof} \rangle$

**sublocale** *state<sub>W</sub>-no-state*

**where**

*state* = *state* **and**  
*trail* = *trail* **and**  
*init-clss* = *init-clss* **and**  
*learned-clss* = *learned-clss* **and**  
*conflicting* = *conflicting* **and**  
*cons-trail* = *cons-trail* **and**  
*tl-trail* = *tl-trail* **and**  
*add-learned-cls* = *add-learned-cls* **and**  
*remove-cls* = *remove-cls* **and**  
*update-conflicting* = *update-conflicting* **and**  
*init-state* = *init-state*

$\langle \text{proof} \rangle$

**sublocale** *state<sub>W</sub>-no-state* **where**

*state-eq* = *state-eq* **and**  
*state* = *state* **and**  
*trail* = *trail* **and**  
*init-clss* = *init-clss* **and**  
*learned-clss* = *learned-clss* **and**  
*conflicting* = *conflicting* **and**  
*cons-trail* = *cons-trail* **and**  
*tl-trail* = *tl-trail* **and**  
*add-learned-cls* = *add-learned-cls* **and**  
*remove-cls* = *remove-cls* **and**  
*update-conflicting* = *update-conflicting* **and**  
*init-state* = *init-state*

$\langle \text{proof} \rangle$

**sublocale** *conflict-driven-clause-learning<sub>W</sub>* **where**

*state-eq* = *state-eq* **and**  
*state* = *state* **and**  
*trail* = *trail* **and**  
*init-clss* = *init-clss* **and**  
*learned-clss* = *learned-clss* **and**  
*conflicting* = *conflicting* **and**  
*cons-trail* = *cons-trail* **and**  
*tl-trail* = *tl-trail* **and**  
*add-learned-cls* = *add-learned-cls* **and**  
*remove-cls* = *remove-cls* **and**  
*update-conflicting* = *update-conflicting* **and**  
*init-state* = *init-state*

$\langle \text{proof} \rangle$

**sublocale** *conflict-driven-clause-learning-with-adding-init-clause-cost<sub>W</sub>-ops*

**where**

*state* = *state* **and**  
*trail* = *trail* **and**  
*init-clss* = *init-clss* **and**  
*learned-clss* = *learned-clss* **and**  
*conflicting* = *conflicting* **and**  
*cons-trail* = *cons-trail* **and**  
*tl-trail* = *tl-trail* **and**

*add-learned-cls* = *add-learned-cls* **and**  
*remove-cls* = *remove-cls* **and**  
*update-conflicting* = *update-conflicting* **and**  
*init-state* = *init-state* **and**  
*weight* = *covering* **and**  
*update-weight-information* = *update-weight-information* **and**  
*is-improving-int* = *is-improving-int* **and**  
*conflicting-clauses* = *conflicting-clauses*  
 ⟨*proof*⟩

**definition** *covering-simple-clss* **where**

⟨*covering-simple-clss* *N S*  $\longleftrightarrow$  (*set-mset* (*covering* *S*)  $\subseteq$  *simple-clss* (*atms-of-mm* *N*))  $\wedge$   
*distinct-mset* (*covering* *S*)  $\wedge$   
 $(\forall M \in \# \text{ covering } S. \text{ total-over-m } (\text{set-mset } M) (\text{set-mset } N))$ ⟩

**lemma** [*simp*]: ⟨*covering* (*init-state* *N*) = {#}⟩  
 ⟨*proof*⟩

**lemma** ⟨*covering-simple-clss* *N* (*init-state* *N*)⟩  
 ⟨*proof*⟩

**lemma** *cdcl-bnb-covering-simple-clss*:

⟨*cdcl-bnb* *S T*  $\implies$  *init-clss* *S* = *N*  $\implies$  *covering-simple-clss* *N S*  $\implies$  *covering-simple-clss* *N T*⟩  
 ⟨*proof*⟩

**lemma** *rtranclp-cdcl-bnb-covering-simple-clss*:

⟨*cdcl-bnb*<sup>\*\*</sup> *S T*  $\implies$  *init-clss* *S* = *N*  $\implies$  *covering-simple-clss* *N S*  $\implies$  *covering-simple-clss* *N T*⟩  
 ⟨*proof*⟩

**lemma** *wf-cdcl-bnb-fixed*:

⟨*wf* {(*T*, *S*). *cdcl<sub>W</sub>-restart-mset.cdcl<sub>W</sub>-all-struct-inv* (*abs-state* *S*)  $\wedge$  *cdcl-bnb* *S T*  
 $\wedge$  *covering-simple-clss* *N S*  $\wedge$  *init-clss* *S* = *N*}⟩  
 ⟨*proof*⟩

**lemma** *can-always-improve*:

**assumes**

*ent*: ⟨*trail* *S*  $\models_{asm}$  *clauses* *S*⟩ **and**  
*total*: ⟨*total-over-m* (*lits-of-l* (*trail* *S*)) (*set-mset* (*clauses* *S*))⟩ **and**  
*n-s*: ⟨*no-step conflict-opt* *S*⟩ **and**  
*confl*: ⟨*conflicting* *S* = *None*⟩ **and**  
*all-struct*: ⟨*cdcl<sub>W</sub>-restart-mset.cdcl<sub>W</sub>-all-struct-inv* (*abs-state* *S*)⟩

**shows** ⟨*Ex* (*improvep* *S*)⟩

⟨*proof*⟩

**lemma** *exists-model-with-true-lit-entails-conflicting*:

**assumes**

*L-I*: ⟨*Pos* *L*  $\in$  *I*⟩ **and**  
*L*: ⟨*q* *L*⟩ **and**  
*L-in*: ⟨*L*  $\in$  *atms-of-mm* (*init-clss* *S*)⟩ **and**  
*ent*: ⟨*I*  $\models_m$  *init-clss* *S*⟩ **and**  
*cons*: ⟨*consistent-interp* *I*⟩ **and**  
*total*: ⟨*total-over-m* *I* (*set-mset* *N*)⟩ **and**  
*no-L*: ⟨ $\neg(\exists J \in \# \text{ covering } S. \text{ Pos } L \in \# J)$ ⟩ **and**  
*cov*: ⟨*covering-simple-clss* *N S*⟩ **and**  
*NS*: ⟨*atms-of-mm* *N* = *atms-of-mm* (*init-clss* *S*)⟩

**shows**  $\langle I \models_m \text{conflicting-clss } S \rangle$  **and**  
 $\langle I \models_m \text{CDCL-}W\text{-Abstract-State.init-clss } (\text{abs-state } S) \rangle$   
 $\langle \text{proof} \rangle$

**lemma** *exists-model-with-true-lit-still-model*:

**assumes**

$L\text{-}I$ :  $\langle \text{Pos } L \in I \rangle$  **and**

$L$ :  $\langle \varrho L \rangle$  **and**

$L\text{-in}$ :  $\langle L \in \text{atms-of-mm } (\text{init-clss } S) \rangle$  **and**

$\text{ent}$ :  $\langle I \models_m \text{init-clss } S \rangle$  **and**

$\text{cons}$ :  $\langle \text{consistent-interp } I \rangle$  **and**

$\text{total}$ :  $\langle \text{total-over-m } I \text{ (set-mset } N) \rangle$  **and**

$\text{cdcl}$ :  $\langle \text{cdcl-bnb } S T \rangle$  **and**

$\text{no-}L\text{-}T$ :  $\langle \neg(\exists J \in \# \text{ covering } T. \text{Pos } L \in \# J) \rangle$  **and**

$\text{cov}$ :  $\langle \text{covering-simple-clss } N S \rangle$  **and**

$NS$ :  $\langle \text{atms-of-mm } N = \text{atms-of-mm } (\text{init-clss } S) \rangle$

**shows**  $\langle I \models_m \text{CDCL-}W\text{-Abstract-State.init-clss } (\text{abs-state } T) \rangle$

$\langle \text{proof} \rangle$

**lemma** *rtrancp-exists-model-with-true-lit-still-model*:

**assumes**

$L\text{-}I$ :  $\langle \text{Pos } L \in I \rangle$  **and**

$L$ :  $\langle \varrho L \rangle$  **and**

$L\text{-in}$ :  $\langle L \in \text{atms-of-mm } (\text{init-clss } S) \rangle$  **and**

$\text{ent}$ :  $\langle I \models_m \text{init-clss } S \rangle$  **and**

$\text{cons}$ :  $\langle \text{consistent-interp } I \rangle$  **and**

$\text{total}$ :  $\langle \text{total-over-m } I \text{ (set-mset } N) \rangle$  **and**

$\text{cdcl}$ :  $\langle \text{cdcl-bnb}^{**} S T \rangle$  **and**

$\text{cov}$ :  $\langle \text{covering-simple-clss } N S \rangle$  **and**

$\langle N = \text{init-clss } S \rangle$

**shows**  $\langle I \models_m \text{CDCL-}W\text{-Abstract-State.init-clss } (\text{abs-state } T) \vee (\exists J \in \# \text{ covering } T. \text{Pos } L \in \# J) \rangle$

$\langle \text{proof} \rangle$

**lemma** *is-dominating-nil[simp]*:  $\langle \neg \text{is-dominating } \{\#\} x \rangle$

$\langle \text{proof} \rangle$

**lemma** *atms-of-conflicting-clss-init-state*:

$\langle \text{atms-of-mm } (\text{conflicting-clss } (\text{init-state } N)) \subseteq \text{atms-of-mm } N \rangle$

$\langle \text{proof} \rangle$

**lemma** *no-step-cdcl-bnb-stgy-empty-conflict2*:

**assumes**

$n\text{-s}$ :  $\langle \text{no-step cdcl-bnb } S \rangle$  **and**

$\text{all-struct}$ :  $\langle \text{cdcl}_W\text{-restart-mset.cdcl}_W\text{-all-struct-inv } (\text{abs-state } S) \rangle$  **and**

$\text{stgy-inv}$ :  $\langle \text{cdcl-bnb-stgy-inv } S \rangle$

**shows**  $\langle \text{conflicting } S = \text{Some } \{\#\} \rangle$

$\langle \text{proof} \rangle$

**theorem** *cdclcm-correctness*:

**assumes**

$\text{full}$ :  $\langle \text{full cdcl-bnb-stgy } (\text{init-state } N) T \rangle$  **and**

$\text{dist}$ :  $\langle \text{distinct-mset-mset } N \rangle$

**shows**

$\langle \text{Pos } L \in I \implies \varrho L \implies L \in \text{atms-of-mm } N \implies \text{total-over-m } I \text{ (set-mset } N) \implies \text{consistent-interp } I \implies I \models_m N \implies$

$\exists J \in \# \text{ covering } T. \text{ Pos } L \in \# J$   
 $\langle \text{proof} \rangle$

**end**

Now we instantiate the previous with  $\lambda\cdot. \text{True}$ : This means that we aim at making all variables that appears at least ones true.

**global-interpretation** *cover-all-vars: covering-models*  $\langle \lambda\cdot. \text{True} \rangle$   
 $\langle \text{proof} \rangle$

**context** *conflict-driven-clause-learning<sub>W</sub>-covering-models*  
**begin**

**interpretation** *cover-all-vars: conflict-driven-clause-learning<sub>W</sub>-covering-models* **where**

$\varrho = \langle \lambda\cdot::'v. \text{True} \rangle$  **and**  
 $\text{state} = \text{state}$  **and**  
 $\text{trail} = \text{trail}$  **and**  
 $\text{init-clss} = \text{init-clss}$  **and**  
 $\text{learned-clss} = \text{learned-clss}$  **and**  
 $\text{conflicting} = \text{conflicting}$  **and**  
 $\text{cons-trail} = \text{cons-trail}$  **and**  
 $\text{tl-trail} = \text{tl-trail}$  **and**  
 $\text{add-learned-clss} = \text{add-learned-clss}$  **and**  
 $\text{remove-clss} = \text{remove-clss}$  **and**  
 $\text{update-conflicting} = \text{update-conflicting}$  **and**  
 $\text{init-state} = \text{init-state}$   
 $\langle \text{proof} \rangle$

**lemma**

$\langle \text{cover-all-vars.model-is-dominated } M M' \longleftrightarrow$   
 $\text{filter-mset } (\lambda L. \text{is-pos } L) M \subseteq \# \text{filter-mset } (\lambda L. \text{is-pos } L) M' \rangle$   
 $\langle \text{proof} \rangle$

**lemma**

$\langle \text{cover-all-vars.conflicting-clauses } N \mathcal{M} =$   
 $\{ \# C \in \# (\text{mset-set } (\text{simple-clss } (\text{atms-of-mm } N))) .$   
 $(pNeg \text{ '}$   
 $\{ a. a \in \# \text{mset-set } (\text{simple-clss } (\text{atms-of-mm } N)) \wedge$   
 $(\exists M \in \# \mathcal{M}. \exists J. a \subseteq \# J \wedge \text{cover-all-vars.model-is-dominated } J M) \wedge$   
 $\text{atms-of } a = \text{atms-of-mm } N \} \cup$   
 $\text{set-mset } N) \models_p C \# \} \rangle$   
 $\langle \text{proof} \rangle$

**theorem** *cdclcm-correctness-all-vars:*

**assumes**

*full*:  $\langle \text{full cover-all-vars.cdcl-bnb-stgy } (\text{init-state } N) T \rangle$  **and**

*dist*:  $\langle \text{distinct-mset-mset } N \rangle$

**shows**

$\langle \text{Pos } L \in I \implies L \in \text{atms-of-mm } N \implies \text{total-over-m } I (\text{set-mset } N) \implies \text{consistent-interp } I \implies I$   
 $\models_m N \implies$   
 $\exists J \in \# \text{ covering } T. \text{ Pos } L \in \# J \rangle$   
 $\langle \text{proof} \rangle$

**end**

**end**