

# Formalisation of Ground Resolution and CDCL in Isabelle/HOL

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<b>theory</b> <i>CDCL-W-BnB</i>		
<b>imports</b> <i>CDCL.CDCL-W-Abstract-State</i>		
<b>begin</b>		

## 0.1 CDCL Extensions

A counter-example for the original version from the book has been found (see below). There is no simple fix, except taking complete models.

Based on Dominik Zimmer's thesis, we later reduced the problem of finding partial models to finding total models. We later switched to the more elegant dual rail encoding (thanks to the reviewer).

### 0.1.1 Optimisations

**notation** *image-mset* (**infixr**  $\langle \text{'\#'} \rangle$  90)

The initial version was supposed to work on partial models directly. I found a counterexample while writing the proof:

**Nitpicking 0.1.**

**Christoph's book draft 0.1.**  $(M; N; U; k; \top; O) \Rightarrow^{Propagate}$

$(ML^{C \vee L}; N; U; k; \top; O)$

provided  $C \vee L \in (N \cup U)$ ,  $M \models \neg C$ ,  $L$  is undefined in  $M$ .

$(M; N; U; k; \top; O) \Rightarrow^{Decide} (ML^{k+1}; N; U; k+1; \top; O)$

provided  $L$  is undefined in  $M$ , contained in  $N$ .

$(M; N; U; k; \top; O) \Rightarrow^{ConflSat} (M; N; U; k; D; O)$

provided  $D \in (N \cup U)$  and  $M \models \neg D$ .

$(M; N; U; k; \top; O) \Rightarrow^{ConflOpt} (M; N; U; k; \neg M; O)$

provided  $O \neq \epsilon$  and  $\text{cost}(M) \geq \text{cost}(O)$ .

$(ML^{C \vee L}; N; U; k; D; O) \Rightarrow^{Skip} (M; N; U; k; D; O)$

provided  $D \notin \{\top, \perp\}$  and  $\neg L$  does not occur in  $D$ .

$(ML^{C \vee L}; N; U; k; D \vee \neg(L); O) \Rightarrow^{Resolve} (M; N; U; k; D \vee C; O)$

provided  $D$  is of level  $k$ .

$(M_1 K^{i+1} M_2; N; U; k; D \vee L; O) \Rightarrow^{Backtrack} (M_1 L^{D \vee L}; N; U \cup \{D \vee L\}; i; \top; O)$

provided  $L$  is of level  $k$  and  $D$  is of level  $i$ .

$(M; N; U; k; \top; O) \Rightarrow^{Improve} (M; N; U; k; \top; M)$

provided  $M \models N$  and  $O = \epsilon$  or  $\text{cost}(M) < \text{cost}(O)$ .

This calculus does not always find the model with minimum cost. Take for example the following cost function:

$$\text{cost} : \begin{cases} P \rightarrow 3 \\ \neg P \rightarrow 1 \\ Q \rightarrow 1 \\ \neg Q \rightarrow 1 \end{cases}$$

and the clauses  $N = \{P \vee Q\}$ . We can then do the following transitions:

$(\epsilon, N, \emptyset, \top, \infty)$

$\Rightarrow^{Decide} (P^1, N, \emptyset, \top, \infty)$

$\Rightarrow^{Improve} (P^1, N, \emptyset, \top, (P, 3))$

$\Rightarrow^{conflOpt} (P^1, N, \emptyset, \neg P, (P, 3))$

$\Rightarrow^{backtrack} (\neg P^{\neg P}, N, \{\neg P\}, \top, (P, 3))$

$\Rightarrow^{propagate} (\neg P^{\neg P} Q^{P \vee Q}, N, \{\neg P\}, \top, (P, 3))$

$\Rightarrow^{improve} (\neg P^{\neg P} Q^{P \vee Q}, N, \{\neg P\}, \top, (\neg P Q, 2))$

$\Rightarrow^{conflOpt} (\neg P^{\neg P} Q^{P \vee Q}, N, \{\neg P\}, P \vee \neg Q, (\neg P Q, 2))$

$\Rightarrow^{resolve} (\neg P^{\neg P}, N, \{\neg P\}, P, (\neg P Q, 2))$

$\Rightarrow^{resolve} (\epsilon, N, \{\neg P\}, \perp, (\neg P Q, 3))$

However, the optimal model is  $Q$ .

The idea of the proof (explained of the example of the optimising CDCL) is the following:

1. We start with a calculus OCDCL on  $(M, N, U, D, Op)$ .

2. This extended to a state  $(M, N + \text{all-models-of-higher-cost}, U, D, Op)$ .
3. Each transition step of OCDCL is mapped to a step in CDCL over the abstract state. The abstract set of clauses might be unsatisfiable, but we only use it to prove the invariants on the state. Only adding clause cannot be mapped to a transition over the abstract state, but adding clauses does not break the invariants (as long as the additional clauses do not contain duplicate literals).
4. The last proofs are done over CDCLopt.

We abstract about how the optimisation is done in the locale below: We define a calculus *cdcl-bnb* (for branch-and-bounds). It is parametrised by how the conflicting clauses are generated and the improvement criterion.

We later instantiate it with the optimisation calculus from Weidenbach's book.

## Helper libraries

**definition** *model-on* ::  $\langle 'v \text{ partial-interp} \Rightarrow 'v \text{ clauses} \Rightarrow \text{bool} \rangle$  **where**  
 $\langle \text{model-on } I \ N \longleftrightarrow \text{consistent-interp } I \wedge \text{atm-of } 'I \subseteq \text{atms-of-mm } N \rangle$

## CDCL BNB

**locale** *conflict-driven-clause-learning-with-adding-init-clause-bnb<sub>W</sub>-no-state* =  
*state<sub>W</sub>-no-state*  
*state-eq state*  
 — functions for the state:  
 — access functions:  
*trail init-clss learned-clss conflicting*  
 — changing state:  
*cons-trail tl-trail add-learned-cls remove-cls*  
*update-conflicting*  
 — get state:  
*init-state*  
**for**  
*state-eq* ::  $\langle 'st \Rightarrow 'st \Rightarrow \text{bool} \rangle$  (**infix**  $\langle \sim \rangle$  50) **and**  
*state* ::  $\langle 'st \Rightarrow ('v, 'v \text{ clause}) \text{ ann-lits} \times 'v \text{ clauses} \times 'v \text{ clauses} \times 'v \text{ clause option} \times 'a \times 'b \rangle$  **and**  
*trail* ::  $\langle 'st \Rightarrow ('v, 'v \text{ clause}) \text{ ann-lits} \rangle$  **and**  
*init-clss* ::  $\langle 'st \Rightarrow 'v \text{ clauses} \rangle$  **and**  
*learned-clss* ::  $\langle 'st \Rightarrow 'v \text{ clauses} \rangle$  **and**  
*conflicting* ::  $\langle 'st \Rightarrow 'v \text{ clause option} \rangle$  **and**  
  
*cons-trail* ::  $\langle ('v, 'v \text{ clause}) \text{ ann-lit} \Rightarrow 'st \Rightarrow 'st \rangle$  **and**  
*tl-trail* ::  $\langle 'st \Rightarrow 'st \rangle$  **and**  
*add-learned-cls* ::  $\langle 'v \text{ clause} \Rightarrow 'st \Rightarrow 'st \rangle$  **and**  
*remove-cls* ::  $\langle 'v \text{ clause} \Rightarrow 'st \Rightarrow 'st \rangle$  **and**  
*update-conflicting* ::  $\langle 'v \text{ clause option} \Rightarrow 'st \Rightarrow 'st \rangle$  **and**  
  
*init-state* ::  $\langle 'v \text{ clauses} \Rightarrow 'st \rangle +$   
**fixes**  
*update-weight-information* ::  $\langle ('v, 'v \text{ clause}) \text{ ann-lits} \Rightarrow 'st \Rightarrow 'st \rangle$  **and**  
*is-improving-int* ::  $\langle ('v, 'v \text{ clause}) \text{ ann-lits} \Rightarrow ('v, 'v \text{ clause}) \text{ ann-lits} \Rightarrow 'v \text{ clauses} \Rightarrow 'a \Rightarrow \text{bool} \rangle$  **and**  
*conflicting-clauses* ::  $\langle 'v \text{ clauses} \Rightarrow 'a \Rightarrow 'v \text{ clauses} \rangle$  **and**  
*weight* ::  $\langle 'st \Rightarrow 'a \rangle$

**begin**

**abbreviation** *is-improving where*

$\langle is-improving\ M\ M'\ S \equiv is-improving-int\ M\ M'\ (init-clss\ S)\ (weight\ S) \rangle$

**definition** *additional-info' :: 'st  $\Rightarrow$  'b where*

$\langle additional-info'\ S = (\lambda(-, -, -, -, D). D)\ (state\ S) \rangle$

**definition** *conflicting-clss :: 'st  $\Rightarrow$  'v literal multiset multiset where*

$\langle conflicting-clss\ S = conflicting-clauses\ (init-clss\ S)\ (weight\ S) \rangle$

While it would more be natural to add an sublocale with the extended version clause set, this actually causes a loop in the hierarchy structure (although with different parameters). Therefore, adding theorems (e.g. defining an inductive predicate) causes a loop.

**definition** *abs-state*

$:: 'st \Rightarrow ('v, 'v\ clause)\ ann-lit\ list \times 'v\ clauses \times 'v\ clauses \times 'v\ clause\ option$

**where**

$\langle abs-state\ S = (trail\ S, init-clss\ S + conflicting-clss\ S, learned-clss\ S, conflicting\ S) \rangle$

**end**

**locale** *conflict-driven-clause-learning-with-adding-init-clause-bnb<sub>W</sub>-ops =*

*conflict-driven-clause-learning-with-adding-init-clause-bnb<sub>W</sub>-no-state*  
*state-eq state*

— functions for the state:

— access functions:

*trail init-clss learned-clss conflicting*

— changing state:

*cons-trail tl-trail add-learned-cls remove-cls*

*update-conflicting*

— get state:

*init-state*

— Adding a clause:

*update-weight-information is-improving-int conflicting-clauses weight*

**for**

*state-eq :: 'st  $\Rightarrow$  'st  $\Rightarrow$  bool (infix  $\langle \sim \rangle$  50) and*

*state :: 'st  $\Rightarrow$  ('v, 'v clause) ann-lits  $\times$  'v clauses  $\times$  'v clauses  $\times$  'v clause option  $\times$  'a  $\times$  'b and*

*trail :: 'st  $\Rightarrow$  ('v, 'v clause) ann-lits and*

*init-clss :: 'st  $\Rightarrow$  'v clauses and*

*learned-clss :: 'st  $\Rightarrow$  'v clauses and*

*conflicting :: 'st  $\Rightarrow$  'v clause option and*

*cons-trail :: ('v, 'v clause) ann-lit  $\Rightarrow$  'st  $\Rightarrow$  'st and*

*tl-trail :: 'st  $\Rightarrow$  'st and*

*add-learned-cls :: 'v clause  $\Rightarrow$  'st  $\Rightarrow$  'st and*

*remove-cls :: 'v clause  $\Rightarrow$  'st  $\Rightarrow$  'st and*

*update-conflicting :: 'v clause option  $\Rightarrow$  'st  $\Rightarrow$  'st and*

*init-state :: 'v clauses  $\Rightarrow$  'st and*

*update-weight-information :: ('v, 'v clause) ann-lits  $\Rightarrow$  'st  $\Rightarrow$  'st and*

*is-improving-int :: ('v, 'v clause) ann-lits  $\Rightarrow$  ('v, 'v clause) ann-lits  $\Rightarrow$  'v clauses  $\Rightarrow$  'a  $\Rightarrow$  bool and*

```

conflicting-clauses :: ⟨'v clauses ⇒ 'a ⇒ 'v clauses⟩ and
weight :: ⟨'st ⇒ 'a⟩ +
assumes
state-prop':
  ⟨state S = (trail S, init-clss S, learned-clss S, conflicting S, weight S, additional-info' S)⟩
and
update-weight-information:
  ⟨state S = (M, N, U, C, w, other) ⇒
    ∃ w'. state (update-weight-information T S) = (M, N, U, C, w', other)⟩ and
atms-of-conflicting-clss:
  ⟨atms-of-mm (conflicting-clss S) ⊆ atms-of-mm (init-clss S)⟩ and
distinct-mset-mset-conflicting-clss:
  ⟨distinct-mset-mset (conflicting-clss S)⟩ and
conflicting-clss-update-weight-information-mono:
  ⟨cdclW-restart-mset.cdclW-all-struct-inv (abs-state S) ⇒ is-improving M M' S ⇒
    conflicting-clss S ⊆# conflicting-clss (update-weight-information M' S)⟩
and
conflicting-clss-update-weight-information-in:
  ⟨is-improving M M' S ⇒
    negate-ann-lits M' ∈# conflicting-clss (update-weight-information M' S)⟩
begin

```

**Conversion to CDCL** sublocale *conflict-driven-clause-learning<sub>W</sub>* where

```

state-eq = state-eq and
state = state and
trail = trail and
init-clss = init-clss and
learned-clss = learned-clss and
conflicting = conflicting and
cons-trail = cons-trail and
tl-trail = tl-trail and
add-learned-clss = add-learned-clss and
remove-clss = remove-clss and
update-conflicting = update-conflicting and
init-state = init-state
apply unfold-locales
unfolding additional-info'-def additional-info-def by (auto simp: state-prop')

```

**Overall simplification on states** declare *reduce-trail-to-skip-beginning*[simp]

```

lemma state-eq-weight[state-simp, simp]: ⟨S ~ T ⇒ weight S = weight T⟩
  apply (drule state-eq-state)
  apply (subst (asm) state-prop')+
  by simp

```

```

lemma conflicting-clause-state-eq[state-simp, simp]:
  ⟨S ~ T ⇒ conflicting-clss S = conflicting-clss T⟩
  unfolding conflicting-clss-def by auto

```

```

lemma
  weight-cons-trail[simp]:
    ⟨weight (cons-trail L S) = weight S⟩ and
  weight-update-conflicting[simp]:
    ⟨weight (update-conflicting C S) = weight S⟩ and

```

*weight-tl-trail*[simp]:  
 ⟨weight (tl-trail S) = weight S⟩ and  
*weight-add-learned-cls*[simp]:  
 ⟨weight (add-learned-cls D S) = weight S⟩  
**using** cons-trail[of S - - L] update-conflicting[of S] tl-trail[of S] add-learned-cls[of S]  
**by** (auto simp: state-prop')

**lemma** *update-weight-information-simp*[simp]:  
 ⟨trail (update-weight-information C S) = trail S⟩  
 ⟨init-clss (update-weight-information C S) = init-clss S⟩  
 ⟨learned-clss (update-weight-information C S) = learned-clss S⟩  
 ⟨clauses (update-weight-information C S) = clauses S⟩  
 ⟨backtrack-lvl (update-weight-information C S) = backtrack-lvl S⟩  
 ⟨conflicting (update-weight-information C S) = conflicting S⟩  
**using** update-weight-information[of S] **unfolding** clauses-def  
**by** (subst (asm) state-prop', subst (asm) state-prop'; force)+

**lemma**  
*conflicting-clss-cons-trail*[simp]: ⟨conflicting-clss (cons-trail K S) = conflicting-clss S⟩ and  
*conflicting-clss-tl-trail*[simp]: ⟨conflicting-clss (tl-trail S) = conflicting-clss S⟩ and  
*conflicting-clss-add-learned-cls*[simp]:  
 ⟨conflicting-clss (add-learned-cls D S) = conflicting-clss S⟩ and  
*conflicting-clss-update-conflicting*[simp]:  
 ⟨conflicting-clss (update-conflicting E S) = conflicting-clss S⟩  
**unfolding** conflicting-clss-def **by** auto

**lemma** *conflicting-abs-state-conflicting*[simp]:  
 ⟨CDCL-W-Abstract-State.conflicting (abs-state S) = conflicting S⟩ and  
*clauses-abs-state*[simp]:  
 ⟨cdcl<sub>W</sub>-restart-mset.clauses (abs-state S) = clauses S + conflicting-clss S⟩ and  
*abs-state-tl-trail*[simp]:  
 ⟨abs-state (tl-trail S) = CDCL-W-Abstract-State.tl-trail (abs-state S)⟩ and  
*abs-state-add-learned-cls*[simp]:  
 ⟨abs-state (add-learned-cls C S) = CDCL-W-Abstract-State.add-learned-cls C (abs-state S)⟩ and  
*abs-state-update-conflicting*[simp]:  
 ⟨abs-state (update-conflicting D S) = CDCL-W-Abstract-State.update-conflicting D (abs-state S)⟩  
**by** (auto simp: conflicting.simps abs-state-def cdcl<sub>W</sub>-restart-mset.clauses-def  
 init-clss.simps learned-clss.simps clauses-def tl-trail.simps  
 add-learned-cls.simps update-conflicting.simps)

**lemma** *sim-abs-state-simp*: ⟨S ~ T ⟹ abs-state S = abs-state T⟩  
**by** (auto simp: abs-state-def)

**lemma** *reduce-trail-to-update-weight-information*[simp]:  
 ⟨trail (reduce-trail-to M (update-weight-information M' S)) = trail (reduce-trail-to M S)⟩  
**unfolding** trail-reduce-trail-to-drop **by** auto

**lemma** *additional-info-weight-additional-info'*: ⟨additional-info S = (weight S, additional-info' S)⟩  
**using** state-prop[of S] state-prop'[of S] **by** auto

**lemma**  
*weight-reduce-trail-to*[simp]: ⟨weight (reduce-trail-to M S) = weight S⟩ and  
*additional-info'-reduce-trail-to*[simp]: ⟨additional-info' (reduce-trail-to M S) = additional-info' S⟩  
**using** additional-info-reduce-trail-to[of M S] **unfolding** additional-info-weight-additional-info'  
**by** auto



**lemma** *conflicting-clss-reduce-trail-to*[simp]:  
 $\langle \text{conflicting-clss } (\text{reduce-trail-to } M \ S) = \text{conflicting-clss } S \rangle$   
**unfolding** *conflicting-clss-def* **by** *auto*

**lemma** *trail-trail* [simp]:  
 $\langle \text{CDCL-}W\text{-Abstract-State.trail } (\text{abs-state } S) = \text{trail } S \rangle$   
**by** (*auto simp: abs-state-def cdcl<sub>W</sub>-restart-mset-state*)

**lemma** [simp]:  
 $\langle \text{CDCL-}W\text{-Abstract-State.trail } (\text{cdcl}_W\text{-restart-mset.reduce-trail-to } M \ (\text{abs-state } S)) = \text{trail } (\text{reduce-trail-to } M \ S) \rangle$   
**by** (*auto simp: trail-reduce-trail-to-drop cdcl<sub>W</sub>-restart-mset.trail-reduce-trail-to-drop*)

**lemma** *abs-state-cons-trail*[simp]:  
 $\langle \text{abs-state } (\text{cons-trail } K \ S) = \text{CDCL-}W\text{-Abstract-State.cons-trail } K \ (\text{abs-state } S) \rangle$  **and**  
*abs-state-reduce-trail-to*[simp]:  
 $\langle \text{abs-state } (\text{reduce-trail-to } M \ S) = \text{cdcl}_W\text{-restart-mset.reduce-trail-to } M \ (\text{abs-state } S) \rangle$   
**subgoal by** (*auto simp: abs-state-def cons-trail.simps*)  
**subgoal by** (*induction rule: reduce-trail-to-induct*)  
*(auto simp: reduce-trail-to.simps cdcl<sub>W</sub>-restart-mset.reduce-trail-to.simps)*  
**done**

**lemma** *learned-clss-learned-clss*[simp]:  
 $\langle \text{CDCL-}W\text{-Abstract-State.learned-clss } (\text{abs-state } S) = \text{learned-clss } S \rangle$   
**by** (*auto simp: abs-state-def cdcl<sub>W</sub>-restart-mset-state*)

**lemma** *state-eq-init-clss-abs-state*[state-simp, simp]:  
 $\langle S \sim T \implies \text{CDCL-}W\text{-Abstract-State.init-clss } (\text{abs-state } S) = \text{CDCL-}W\text{-Abstract-State.init-clss } (\text{abs-state } T) \rangle$   
**by** (*auto simp: abs-state-def cdcl<sub>W</sub>-restart-mset-state*)

**lemma**  
*init-clss-abs-state-update-conflicting*[simp]:  
 $\langle \text{CDCL-}W\text{-Abstract-State.init-clss } (\text{abs-state } (\text{update-conflicting } (\text{Some } D) \ S)) = \text{CDCL-}W\text{-Abstract-State.init-clss } (\text{abs-state } S) \rangle$  **and**  
*init-clss-abs-state-cons-trail*[simp]:  
 $\langle \text{CDCL-}W\text{-Abstract-State.init-clss } (\text{abs-state } (\text{cons-trail } K \ S)) = \text{CDCL-}W\text{-Abstract-State.init-clss } (\text{abs-state } S) \rangle$   
**by** (*auto simp: abs-state-def cdcl<sub>W</sub>-restart-mset-state*)

**CDCL with branch-and-bound** **inductive** *conflict-opt* ::  $\langle 'st \Rightarrow 'st \Rightarrow \text{bool} \rangle$  **for**  $S \ T :: 'st$  **where**  
*conflict-opt-rule*:

$\langle \text{conflict-opt } S \ T \rangle$   
**if**  
 $\langle \text{negate-ann-lits } (\text{trail } S) \in \# \text{ conflicting-clss } S \rangle$   
 $\langle \text{conflicting } S = \text{None} \rangle$   
 $\langle T \sim \text{update-conflicting } (\text{Some } (\text{negate-ann-lits } (\text{trail } S))) \ S \rangle$

**inductive-cases** *conflict-optE*:  $\langle \text{conflict-opt } S \ T \rangle$

**inductive** *improvep* ::  $\langle 'st \Rightarrow 'st \Rightarrow \text{bool} \rangle$  **for**  $S :: 'st$  **where**  
*improve-rule*:

$\langle \text{improvep } S \ T \rangle$   
**if**

$\langle \text{is-improving } (\text{trail } S) \ M' \ S \rangle$  **and**  
 $\langle \text{conflicting } S = \text{None} \rangle$  **and**  
 $\langle T \sim \text{update-weight-information } M' \ S \rangle$

**inductive-cases** *improveE*:  $\langle \text{improvep } S \ T \rangle$

**lemma** *invs-update-weight-information[simp]*:

$\langle \text{no-strange-atm } (\text{update-weight-information } C \ S) = (\text{no-strange-atm } S) \rangle$   
 $\langle \text{cdcl}_W\text{-M-level-inv } (\text{update-weight-information } C \ S) = \text{cdcl}_W\text{-M-level-inv } S \rangle$   
 $\langle \text{distinct-cdcl}_W\text{-state } (\text{update-weight-information } C \ S) = \text{distinct-cdcl}_W\text{-state } S \rangle$   
 $\langle \text{cdcl}_W\text{-conflicting } (\text{update-weight-information } C \ S) = \text{cdcl}_W\text{-conflicting } S \rangle$   
 $\langle \text{cdcl}_W\text{-learned-clause } (\text{update-weight-information } C \ S) = \text{cdcl}_W\text{-learned-clause } S \rangle$   
**unfolding** *no-strange-atm-def cdcl<sub>W</sub>-M-level-inv-def distinct-cdcl<sub>W</sub>-state-def cdcl<sub>W</sub>-conflicting-def cdcl<sub>W</sub>-learned-clause-alt-def cdcl<sub>W</sub>-all-struct-inv-def* **by** *auto*

**lemma** *conflict-opt-cdcl<sub>W</sub>-all-struct-inv*:

**assumes**  $\langle \text{conflict-opt } S \ T \rangle$  **and**  
 $\text{inv: } \langle \text{cdcl}_W\text{-restart-mset.cdcl}_W\text{-all-struct-inv } (\text{abs-state } S) \rangle$   
**shows**  $\langle \text{cdcl}_W\text{-restart-mset.cdcl}_W\text{-all-struct-inv } (\text{abs-state } T) \rangle$   
**using** *assms atms-of-conflicting-clss[of T] atms-of-conflicting-clss[of S]*  
**by** (*induction rule: conflict-opt.cases*)  
*(auto simp add: cdcl<sub>W</sub>-restart-mset.no-strange-atm-def cdcl<sub>W</sub>-restart-mset.cdcl<sub>W</sub>-M-level-inv-def cdcl<sub>W</sub>-restart-mset.distinct-cdcl<sub>W</sub>-state-def cdcl<sub>W</sub>-restart-mset.cdcl<sub>W</sub>-conflicting-def cdcl<sub>W</sub>-restart-mset.cdcl<sub>W</sub>-learned-clause-alt-def cdcl<sub>W</sub>-restart-mset.cdcl<sub>W</sub>-all-struct-inv-def true-annots-true-clss-def-iff-negation-in-model in-negate-trial-iff cdcl<sub>W</sub>-restart-mset-state cdcl<sub>W</sub>-restart-mset.clauses-def distinct-mset-mset-conflicting-clss abs-state-def intro!: true-clss-clss-in)*

**lemma** *improve-cdcl<sub>W</sub>-all-struct-inv*:

**assumes**  $\langle \text{improvep } S \ T \rangle$  **and**  
 $\text{inv: } \langle \text{cdcl}_W\text{-restart-mset.cdcl}_W\text{-all-struct-inv } (\text{abs-state } S) \rangle$   
**shows**  $\langle \text{cdcl}_W\text{-restart-mset.cdcl}_W\text{-all-struct-inv } (\text{abs-state } T) \rangle$   
**using** *assms atms-of-conflicting-clss[of T] atms-of-conflicting-clss[of S]*  
**proof** (*induction rule: improvep.cases*)  
**case** (*improve-rule M' T*)  
**moreover have**  $\langle \text{all-decomposition-implies} \ (\text{set-mset } (\text{init-clss } S) \cup \text{set-mset } (\text{conflicting-clss } S) \cup \text{set-mset } (\text{learned-clss } S)) \ (\text{get-all-ann-decomposition } (\text{trail } S)) \implies \text{all-decomposition-implies} \ (\text{set-mset } (\text{init-clss } S) \cup \text{set-mset } (\text{conflicting-clss } (\text{update-weight-information } M' \ S)) \cup \text{set-mset } (\text{learned-clss } S)) \ (\text{get-all-ann-decomposition } (\text{trail } S)) \rangle$   
**apply** (*rule all-decomposition-implies-mono*)  
**using** *improve-rule conflicting-clss-update-weight-information-mono[of S  $\langle \text{trail } S \rangle M'$ ]* **inv**  
**by** (*auto dest: multi-member-split*)  
**ultimately show** *?case*  
**using** *conflicting-clss-update-weight-information-mono[of S  $\langle \text{trail } S \rangle M'$ ]*  
**by** (*auto 6 2 simp add: cdcl<sub>W</sub>-restart-mset.no-strange-atm-def cdcl<sub>W</sub>-restart-mset.cdcl<sub>W</sub>-M-level-inv-def cdcl<sub>W</sub>-restart-mset.distinct-cdcl<sub>W</sub>-state-def cdcl<sub>W</sub>-restart-mset.cdcl<sub>W</sub>-conflicting-def cdcl<sub>W</sub>-restart-mset.cdcl<sub>W</sub>-learned-clause-alt-def cdcl<sub>W</sub>-restart-mset.cdcl<sub>W</sub>-all-struct-inv-def true-annots-true-clss-def-iff-negation-in-model in-negate-trial-iff cdcl<sub>W</sub>-restart-mset-state cdcl<sub>W</sub>-restart-mset.clauses-def image-Un distinct-mset-mset-conflicting-clss abs-state-def*)

$\text{simp del: append-assoc}$   
 $\text{dest: no-dup-appendD consistent-interp-unionD}$   
**qed**

$\text{cdcl}_W\text{-restart-mset.cdcl}_W\text{-stgy-invariant}$  is too restrictive:  $\text{cdcl}_W\text{-restart-mset.no-smaller-confl}$  is needed but does not hold (at least, if cannot ensure that conflicts are found as soon as possible).

**lemma**  $\text{improve-no-smaller-conflict}$ :  
**assumes**  $\langle \text{improvep } S \ T \rangle$  **and**  
 $\langle \text{no-smaller-confl } S \rangle$   
**shows**  $\langle \text{no-smaller-confl } T \rangle$  **and**  $\langle \text{conflict-is-false-with-level } T \rangle$   
**using**  $\text{assms apply (induction rule: improvep.induct)}$   
**unfolding**  $\text{cdcl}_W\text{-restart-mset.cdcl}_W\text{-stgy-invariant-def}$   
**by**  $(\text{auto simp: cdcl}_W\text{-restart-mset-state no-smaller-confl-def cdcl}_W\text{-restart-mset.clauses-def exists-lit-max-level-in-negate-ann-lits})$

**lemma**  $\text{conflict-opt-no-smaller-conflict}$ :  
**assumes**  $\langle \text{conflict-opt } S \ T \rangle$  **and**  
 $\langle \text{no-smaller-confl } S \rangle$   
**shows**  $\langle \text{no-smaller-confl } T \rangle$  **and**  $\langle \text{conflict-is-false-with-level } T \rangle$   
**using**  $\text{assms by (induction rule: conflict-opt.induct)}$   
 $(\text{auto simp: cdcl}_W\text{-restart-mset-state no-smaller-confl-def cdcl}_W\text{-restart-mset.clauses-def exists-lit-max-level-in-negate-ann-lits cdcl}_W\text{-restart-mset.cdcl}_W\text{-stgy-invariant-def})$

**fun**  $\text{no-confl-prop-impr}$  **where**  
 $\langle \text{no-confl-prop-impr } S \longleftrightarrow$   
 $\text{no-step propagate } S \wedge \text{no-step conflict } S \rangle$

We use a slightly generalised form of backtrack to make conflict clause minimisation possible.

**inductive**  $\text{obacktrack} :: \langle 'st \Rightarrow 'st \Rightarrow \text{bool} \rangle$  **for**  $S :: 'st$  **where**  
 $\text{obacktrack-rule: } \langle$   
 $\text{conflicting } S = \text{Some (add-mset } L \ D) \Rightarrow$   
 $(\text{Decided } K \ \# \ M1, \ M2) \in \text{set (get-all-ann-decomposition (trail } S)) \Rightarrow$   
 $\text{get-level (trail } S) \ L = \text{backtrack-lvl } S \Rightarrow$   
 $\text{get-level (trail } S) \ L = \text{get-maximum-level (trail } S) \ (\text{add-mset } L \ D') \Rightarrow$   
 $\text{get-maximum-level (trail } S) \ D' \equiv i \Rightarrow$   
 $\text{get-level (trail } S) \ K = i + 1 \Rightarrow$   
 $D' \subseteq \# \ D \Rightarrow$   
 $\text{clauses } S + \text{conflicting-clss } S \models_{pm} \text{add-mset } L \ D' \Rightarrow$   
 $T \sim \text{cons-trail (Propagated } L \ (\text{add-mset } L \ D'))$   
 $(\text{reduce-trail-to } M1$   
 $(\text{add-learned-cls (add-mset } L \ D')$   
 $(\text{update-conflicting None } S))) \Rightarrow$   
 $\text{obacktrack } S \ T \rangle$

**inductive-cases**  $\text{obacktrackE: } \langle \text{obacktrack } S \ T \rangle$

**inductive**  $\text{cdcl-bnb-bj} :: \langle 'st \Rightarrow 'st \Rightarrow \text{bool} \rangle$  **where**  
 $\text{skip: } \langle \text{skip } S \ S' \Rightarrow \text{cdcl-bnb-bj } S \ S' \rangle \mid$   
 $\text{resolve: } \langle \text{resolve } S \ S' \Rightarrow \text{cdcl-bnb-bj } S \ S' \rangle \mid$   
 $\text{backtrack: } \langle \text{obacktrack } S \ S' \Rightarrow \text{cdcl-bnb-bj } S \ S' \rangle$

**inductive-cases**  $\text{cdcl-bnb-bjE: } \langle \text{cdcl-bnb-bj } S \ T \rangle$

**inductive**  $\text{ocdcl}_W\text{-o} :: \langle 'st \Rightarrow 'st \Rightarrow \text{bool} \rangle$  **for**  $S :: 'st$  **where**  
 $\text{decide: } \langle \text{decide } S \ S' \Rightarrow \text{ocdcl}_W\text{-o } S \ S' \rangle \mid$

*bj*:  $\langle \text{cdcl-bnb-bj } S \ S' \implies \text{ocdcl}_W\text{-o } S \ S' \rangle$

**inductive** *cdcl-bnb* ::  $\langle 'st \Rightarrow 'st \Rightarrow \text{bool} \rangle$  **for** *S* ::  $'st$  **where**

*cdcl-conflict*:  $\langle \text{conflict } S \ S' \implies \text{cdcl-bnb } S \ S' \rangle \mid$   
*cdcl-propagate*:  $\langle \text{propagate } S \ S' \implies \text{cdcl-bnb } S \ S' \rangle \mid$   
*cdcl-improve*:  $\langle \text{improvep } S \ S' \implies \text{cdcl-bnb } S \ S' \rangle \mid$   
*cdcl-conflict-opt*:  $\langle \text{conflict-opt } S \ S' \implies \text{cdcl-bnb } S \ S' \rangle \mid$   
*cdcl-other'*:  $\langle \text{ocdcl}_W\text{-o } S \ S' \implies \text{cdcl-bnb } S \ S' \rangle$

**inductive** *cdcl-bnb-stgy* ::  $\langle 'st \Rightarrow 'st \Rightarrow \text{bool} \rangle$  **for** *S* ::  $'st$  **where**

*cdcl-bnb-conflict*:  $\langle \text{conflict } S \ S' \implies \text{cdcl-bnb-stgy } S \ S' \rangle \mid$   
*cdcl-bnb-propagate*:  $\langle \text{propagate } S \ S' \implies \text{cdcl-bnb-stgy } S \ S' \rangle \mid$   
*cdcl-bnb-improve*:  $\langle \text{improvep } S \ S' \implies \text{cdcl-bnb-stgy } S \ S' \rangle \mid$   
*cdcl-bnb-conflict-opt*:  $\langle \text{conflict-opt } S \ S' \implies \text{cdcl-bnb-stgy } S \ S' \rangle \mid$   
*cdcl-bnb-other'*:  $\langle \text{ocdcl}_W\text{-o } S \ S' \implies \text{no-conflict-prop-impr } S \implies \text{cdcl-bnb-stgy } S \ S' \rangle$

**lemma** *ocdcl<sub>W</sub>-o-induct*[consumes 1, case-names decide skip resolve backtrack]:

**fixes** *S* ::  $'st$

**assumes** *cdcl<sub>W</sub>-restart*:  $\langle \text{ocdcl}_W\text{-o } S \ T \rangle$  **and**

*decideH*:  $\bigwedge L \ T. \text{conflicting } S = \text{None} \implies \text{undefined-lit } (\text{trail } S) \ L \implies$

$\text{atm-of } L \in \text{atms-of-mm } (\text{init-clss } S) \implies$

$T \sim \text{cons-trail } (\text{Decided } L) \ S \implies$

$P \ S \ T$  **and**

*skipH*:  $\bigwedge L \ C' \ M \ E \ T.$

$\text{trail } S = \text{Propagated } L \ C' \ \# \ M \implies$

$\text{conflicting } S = \text{Some } E \implies$

$-L \notin \# \ E \implies E \neq \{\#\} \implies$

$T \sim \text{tl-trail } S \implies$

$P \ S \ T$  **and**

*resolveH*:  $\bigwedge L \ E \ M \ D \ T.$

$\text{trail } S = \text{Propagated } L \ E \ \# \ M \implies$

$L \in \# \ E \implies$

$\text{hd-trail } S = \text{Propagated } L \ E \implies$

$\text{conflicting } S = \text{Some } D \implies$

$-L \in \# \ D \implies$

$\text{get-maximum-level } (\text{trail } S) \ ((\text{remove1-mset } (-L) \ D)) = \text{backtrack-lvl } S \implies$

$T \sim \text{update-conflicting}$

$(\text{Some } (\text{resolve-clss } L \ D \ E)) \ (\text{tl-trail } S) \implies$

$P \ S \ T$  **and**

*backtrackH*:  $\bigwedge L \ D \ K \ i \ M1 \ M2 \ T \ D'.$

$\text{conflicting } S = \text{Some } (\text{add-mset } L \ D) \implies$

$(\text{Decided } K \ \# \ M1, \ M2) \in \text{set } (\text{get-all-ann-decomposition } (\text{trail } S)) \implies$

$\text{get-level } (\text{trail } S) \ L = \text{backtrack-lvl } S \implies$

$\text{get-level } (\text{trail } S) \ L = \text{get-maximum-level } (\text{trail } S) \ (\text{add-mset } L \ D') \implies$

$\text{get-maximum-level } (\text{trail } S) \ D' \equiv i \implies$

$\text{get-level } (\text{trail } S) \ K = i+1 \implies$

$D' \subseteq \# \ D \implies$

$\text{clauses } S + \text{conflicting-clss } S \models_{\text{pm}} \text{add-mset } L \ D' \implies$

$T \sim \text{cons-trail } (\text{Propagated } L \ (\text{add-mset } L \ D'))$

$(\text{reduce-trail-to } M1$

$(\text{add-learned-clss } (\text{add-mset } L \ D')$

$(\text{update-conflicting } \text{None } S))) \implies$

$P \ S \ T$

**shows**  $\langle P \ S \ T \rangle$

**using** *cdcl<sub>W</sub>-restart* **apply** (*induct* *T* rule: *ocdcl<sub>W</sub>-o.induct*)

**subgoal using** *assms*(2) **by** (*auto elim: decideE; fail*)

```

subgoal apply (elim cdcl-bnb-bjE skipE resolveE obacktrackE)
  apply (frule skipH; simp; fail)
  apply (cases ⟨trail S⟩; auto elim!: resolveE intro!: resolveH; fail)
  apply (frule backtrackH; simp; fail)
  done
done

```

```

lemma obacktrack-backtrackg: ⟨obacktrack S T  $\implies$  backtrackg S T⟩
  unfolding obacktrack.simps backtrackg.simps
  by blast

```

## Plugging into normal CDCL

```

lemma cdcl-bnb-no-more-init-clss:
  ⟨cdcl-bnb S S'  $\implies$  init-clss S = init-clss S'⟩
  by (induction rule: cdcl-bnb.cases)
    (auto simp: improvep.simps conflict.simps propagate.simps
      conflict-opt.simps occlw-o.simps obacktrack.simps skip.simps resolve.simps cdcl-bnb-bj.simps
      decide.simps)

```

```

lemma rtracp-cdcl-bnb-no-more-init-clss:
  ⟨cdcl-bnb** S S'  $\implies$  init-clss S = init-clss S'⟩
  by (induction rule: rtracp-induct)
    (auto dest: cdcl-bnb-no-more-init-clss)

```

```

lemma conflict-opt-conflict:
  ⟨conflict-opt S T  $\implies$  cdclw-restart-mset.conflict (abs-state S) (abs-state T)⟩
  by (induction rule: conflict-opt.cases)
    (auto intro!: cdclw-restart-mset.conflict-rule[of - ⟨negate-ann-lits (trail S)⟩]
      simp: cdclw-restart-mset.clauses-def cdclw-restart-mset-state
      true-annots-true-cls-def-iff-negation-in-model abs-state-def
      in-negate-trial-iff)

```

```

lemma conflict-conflict:
  ⟨conflict S T  $\implies$  cdclw-restart-mset.conflict (abs-state S) (abs-state T)⟩
  by (induction rule: conflict.cases)
    (auto intro!: cdclw-restart-mset.conflict-rule
      simp: clauses-def cdclw-restart-mset.clauses-def cdclw-restart-mset-state
      true-annots-true-cls-def-iff-negation-in-model abs-state-def
      in-negate-trial-iff)

```

```

lemma propagate-propagate:
  ⟨propagate S T  $\implies$  cdclw-restart-mset.propagate (abs-state S) (abs-state T)⟩
  by (induction rule: propagate.cases)
    (auto intro!: cdclw-restart-mset.propagate-rule
      simp: clauses-def cdclw-restart-mset.clauses-def cdclw-restart-mset-state
      true-annots-true-cls-def-iff-negation-in-model abs-state-def
      in-negate-trial-iff)

```

```

lemma decide-decide:
  ⟨decide S T  $\implies$  cdclw-restart-mset.decide (abs-state S) (abs-state T)⟩
  by (induction rule: decide.cases)
    (auto intro!: cdclw-restart-mset.decide-rule
      simp: clauses-def cdclw-restart-mset.clauses-def cdclw-restart-mset-state
      true-annots-true-cls-def-iff-negation-in-model abs-state-def)

```

*in-negate-trial-iff*)

**lemma** *skip-skip*:

⟨*skip*  $S\ T \implies \text{cdcl}_W\text{-restart-mset.skip (abs-state } S) \text{ (abs-state } T)$ ⟩  
**by** (*induction rule*: *skip.cases*)  
 (auto *intro!*: *cdcl<sub>W</sub>-restart-mset.skip-rule*  
*simp*: *clauses-def cdcl<sub>W</sub>-restart-mset.clauses-def cdcl<sub>W</sub>-restart-mset-state*  
*true-annots-true-cls-def-iff-negation-in-model abs-state-def*  
*in-negate-trial-iff*)

**lemma** *resolve-resolve*:

⟨*resolve*  $S\ T \implies \text{cdcl}_W\text{-restart-mset.resolve (abs-state } S) \text{ (abs-state } T)$ ⟩  
**by** (*induction rule*: *resolve.cases*)  
 (auto *intro!*: *cdcl<sub>W</sub>-restart-mset.resolve-rule*  
*simp*: *clauses-def cdcl<sub>W</sub>-restart-mset.clauses-def cdcl<sub>W</sub>-restart-mset-state*  
*true-annots-true-cls-def-iff-negation-in-model abs-state-def*  
*in-negate-trial-iff*)

**lemma** *backtrack-backtrack*:

⟨*obacktrack*  $S\ T \implies \text{cdcl}_W\text{-restart-mset.backtrack (abs-state } S) \text{ (abs-state } T)$ ⟩

**proof** (*induction rule*: *obacktrack.cases*)

**case** (*obacktrack-rule*  $L\ D\ K\ M1\ M2\ D'\ i\ T$ )

**have**  $H$ :  $\langle \text{set-mset (init-clss } S) \cup \text{set-mset (learned-clss } S) \rangle$   
 $\subseteq \text{set-mset (init-clss } S) \cup \text{set-mset (conflicting-clss } S) \cup \text{set-mset (learned-clss } S) \rangle$   
**by** *auto*

**have** [*simp*]:  $\langle \text{cdcl}_W\text{-restart-mset.reduce-trail-to } M1$   
 $(\text{trail } S, \text{init-clss } S + \text{conflicting-clss } S, \text{add-mset } D \text{ (learned-clss } S), \text{None}) =$   
 $(M1, \text{init-clss } S + \text{conflicting-clss } S, \text{add-mset } D \text{ (learned-clss } S), \text{None}) \rangle$  **for**  $D$   
**using** *obacktrack-rule* **by** (auto *simp* *add*: *cdcl<sub>W</sub>-restart-mset.reduce-trail-to*  
*cdcl<sub>W</sub>-restart-mset-state*)

**show** ?*case*

**using** *obacktrack-rule*

**by** (auto *intro!*: *cdcl<sub>W</sub>-restart-mset.backtrack.intros*  
*simp*: *cdcl<sub>W</sub>-restart-mset-state abs-state-def clauses-def cdcl<sub>W</sub>-restart-mset.clauses-def*  
*ac-simps*)

**qed**

**lemma** *ocdcl<sub>W</sub>-o-all-rules-induct*[*consumes 1, case-names decide backtrack skip resolve*]:

**fixes**  $S\ T :: 'st$

**assumes**

⟨*ocdcl<sub>W</sub>-o*  $S\ T$ ⟩ **and**  
 ⟨ $\bigwedge T. \text{decide } S\ T \implies P\ S\ T$ ⟩ **and**  
 ⟨ $\bigwedge T. \text{obacktrack } S\ T \implies P\ S\ T$ ⟩ **and**  
 ⟨ $\bigwedge T. \text{skip } S\ T \implies P\ S\ T$ ⟩ **and**  
 ⟨ $\bigwedge T. \text{resolve } S\ T \implies P\ S\ T$ ⟩

**shows** ⟨ $P\ S\ T$ ⟩

**using** *assms* **by** (*induct*  $T$  *rule*: *ocdcl<sub>W</sub>-o.induct*) (auto *simp*: *cdcl-bnb-bj.simps*)

**lemma** *cdcl<sub>W</sub>-o-cdcl<sub>W</sub>-o*:

⟨*ocdcl<sub>W</sub>-o*  $S\ S' \implies \text{cdcl}_W\text{-restart-mset.cdcl}_W\text{-o (abs-state } S) \text{ (abs-state } S')$ ⟩

**apply** (*induction rule*: *ocdcl<sub>W</sub>-o-all-rules-induct*)

**apply** (*simp* *add*: *cdcl<sub>W</sub>-restart-mset.cdcl<sub>W</sub>-o.simps decide-decide; fail*)

**apply** (*blast* *dest*: *backtrack-backtrack*)

**apply** (*blast* *dest*: *skip-skip*)

**by** (*blast* *dest*: *resolve-resolve*)

**lemma** *cdcl-bnb-stgy-all-struct-inv*:  
**assumes**  $\langle \text{cdcl-bnb } S \ T \rangle$  **and**  $\langle \text{cdcl}_W\text{-restart-mset.cdcl}_W\text{-all-struct-inv } (\text{abs-state } S) \rangle$   
**shows**  $\langle \text{cdcl}_W\text{-restart-mset.cdcl}_W\text{-all-struct-inv } (\text{abs-state } T) \rangle$   
**using** *assms*  
**proof** (*induction rule: cdcl-bnb.cases*)  
**case** (*cdcl-conflict*  $S'$ )  
**then show** *?case*  
**by** (*blast dest: conflict-conflict cdcl<sub>W</sub>-restart-mset.cdcl<sub>W</sub>-stgy.intros*  
*intro: cdcl<sub>W</sub>-restart-mset.cdcl<sub>W</sub>-stgy-cdcl<sub>W</sub>-all-struct-inv*)  
**next**  
**case** (*cdcl-propagate*  $S'$ )  
**then show** *?case*  
**by** (*blast dest: propagate-propagate cdcl<sub>W</sub>-restart-mset.cdcl<sub>W</sub>-stgy.intros*  
*intro: cdcl<sub>W</sub>-restart-mset.cdcl<sub>W</sub>-stgy-cdcl<sub>W</sub>-all-struct-inv*)  
**next**  
**case** (*cdcl-improve*  $S'$ )  
**then show** *?case*  
**using** *improve-cdcl<sub>W</sub>-all-struct-inv* **by** *blast*  
**next**  
**case** (*cdcl-conflict-opt*  $S'$ )  
**then show** *?case*  
**using** *conflict-opt-cdcl<sub>W</sub>-all-struct-inv* **by** *blast*  
**next**  
**case** (*cdcl-other'*  $S'$ )  
**then show** *?case*  
**by** (*meson cdcl<sub>W</sub>-restart-mset.cdcl<sub>W</sub>-all-struct-inv-inv cdcl<sub>W</sub>-restart-mset.other cdcl<sub>W</sub>-o-cdcl<sub>W</sub>-o*)  
**qed**

**lemma** *rtranclp-cdcl-bnb-stgy-all-struct-inv*:  
**assumes**  $\langle \text{cdcl-bnb}^{**} S \ T \rangle$  **and**  $\langle \text{cdcl}_W\text{-restart-mset.cdcl}_W\text{-all-struct-inv } (\text{abs-state } S) \rangle$   
**shows**  $\langle \text{cdcl}_W\text{-restart-mset.cdcl}_W\text{-all-struct-inv } (\text{abs-state } T) \rangle$   
**using** *assms* **by** *induction (auto dest: cdcl-bnb-stgy-all-struct-inv)*

**lemma** *cdcl-bnb-stgy-cdcl<sub>W</sub>-or-improve*:  
**assumes**  $\langle \text{cdcl-bnb } S \ T \rangle$  **and**  $\langle \text{cdcl}_W\text{-restart-mset.cdcl}_W\text{-all-struct-inv } (\text{abs-state } S) \rangle$   
**shows**  $\langle (\lambda S \ T. \text{cdcl}_W\text{-restart-mset.cdcl}_W (\text{abs-state } S) (\text{abs-state } T) \vee \text{improvep } S \ T) S \ T \rangle$   
**using** *assms*  
**apply** (*induction rule: cdcl-bnb.cases*)  
**apply** (*auto dest!: propagate-propagate conflict-conflict*  
*intro: cdcl<sub>W</sub>-restart-mset.cdcl<sub>W</sub>.intros simp add: cdcl<sub>W</sub>-restart-mset.W-conflict conflict-opt-conflict*  
*cdcl<sub>W</sub>-o-cdcl<sub>W</sub>-o cdcl<sub>W</sub>-restart-mset.W-other*)  
**done**

**lemma** *rtranclp-cdcl-bnb-stgy-cdcl<sub>W</sub>-or-improve*:  
**assumes**  $\langle \text{rtranclp cdcl-bnb } S \ T \rangle$  **and**  $\langle \text{cdcl}_W\text{-restart-mset.cdcl}_W\text{-all-struct-inv } (\text{abs-state } S) \rangle$   
**shows**  $\langle (\lambda S \ T. \text{cdcl}_W\text{-restart-mset.cdcl}_W (\text{abs-state } S) (\text{abs-state } T) \vee \text{improvep } S \ T)^{**} S \ T \rangle$   
**using** *assms*  
**apply** (*induction rule: rtranclp-induct*)  
**subgoal by** *auto*  
**subgoal for**  $T \ U$   
**using** *cdcl-bnb-stgy-cdcl<sub>W</sub>-or-improve[of T U] rtranclp-cdcl-bnb-stgy-all-struct-inv[of S T]*  
**by** (*smt rtranclp-unfold tranclp-unfold-end*)  
**done**

**lemma** *eq-diff-subset-iff*:  $\langle A = B + (A - B) \longleftrightarrow B \subseteq \# A \rangle$

**by** (*metis mset-subset-eq-add-left subset-mset.add-diff-inverse*)

**lemma** *cdcl-bnb-conflicting-clss-mono*:

$\langle \text{cdcl\_bnb } S \ T \implies \text{cdcl}_W\text{-restart-mset.cdcl}_W\text{-all-struct-inv } (\text{abs-state } S) \implies$   
 $\text{conflicting-clss } S \subseteq \# \text{ conflicting-clss } T \rangle$

**by** (*auto simp: cdcl-bnb.simps ocdcl<sub>W</sub>-o.simps improvep.simps cdcl-bnb-bj.simps*  
*obacktrack.simps conflict-opt.simps conflicting-clss-update-weight-information-mono elim!: rulesE*)

**lemma** *cdcl-or-improve-cdclD*:

**assumes**  $\langle \text{cdcl}_W\text{-restart-mset.cdcl}_W\text{-all-struct-inv } (\text{abs-state } S) \rangle$  **and**

$\langle \text{cdcl\_bnb } S \ T \rangle$

**shows**  $\exists N.$

$\text{cdcl}_W\text{-restart-mset.cdcl}_W^{**} (\text{trail } S, \text{init-clss } S + N, \text{learned-clss } S, \text{conflicting } S) (\text{abs-state } T) \wedge$   
 $\text{CDCL-}W\text{-Abstract-State.init-clss } (\text{abs-state } T) = \text{init-clss } S + N$

**proof** –

**have** *inv-T*:  $\langle \text{cdcl}_W\text{-restart-mset.cdcl}_W\text{-all-struct-inv } (\text{abs-state } T) \rangle$

**using** *assms(1) assms(2) cdcl-bnb-stgy-all-struct-inv* **by** *blast*

**consider**

$\langle \text{improvep } S \ T \rangle \mid$

$\langle \text{cdcl}_W\text{-restart-mset.cdcl}_W (\text{abs-state } S) (\text{abs-state } T) \rangle$

**using** *cdcl-bnb-stgy-cdcl<sub>W</sub>-or-improve[of S T] assms* **by** *blast*

**then show** *?thesis*

**proof** *cases*

**case** 1

**then show** *?thesis*

**using** *assms cdcl-bnb-stgy-cdcl<sub>W</sub>-or-improve[of S T]*

**unfolding** *abs-state-def cdcl-bnb-no-more-init-clss[of S T, OF assms(2)]*

**by** (*auto simp: improvep.simps cdcl<sub>W</sub>-restart-mset-state eq-diff-subset-iff*)

**next**

**case** 2

**let**  $?S' = \langle (\text{trail } S, \text{init-clss } S + (\text{conflicting-clss } S) + (\text{conflicting-clss } T - \text{conflicting-clss } S),$   
 $\text{learned-clss } S, \text{conflicting } S) \rangle$

**let**  $?S'' = \langle (\text{trail } S, \text{init-clss } S + \text{conflicting-clss } T, \text{learned-clss } S, \text{conflicting } S) \rangle$

**let**  $?T' = \langle (\text{trail } T, \text{init-clss } T + (\text{conflicting-clss } T) + (\text{conflicting-clss } T - \text{conflicting-clss } S),$   
 $\text{learned-clss } T, \text{conflicting } T) \rangle$

**have** *subs*:  $\langle \text{conflicting-clss } S \subseteq \# \text{ conflicting-clss } T \rangle$

**using** *cdcl-bnb-conflicting-clss-mono[of S T] assms* **by** *fast*

**then have** *H[simp]*:  $\langle \text{set-mset } (\text{conflicting-clss } T + (\text{conflicting-clss } T -$   
 $\text{conflicting-clss } S)) = \text{set-mset } (\text{conflicting-clss } T) \rangle$

**apply** (*auto simp flip: multiset-diff-union-assoc[OF subs]*)

**apply** (*subst (asm) multiset-diff-union-assoc[OF subs] set-mset-union*)**+**

**apply** (*auto dest: in-diffD*)

**apply** (*subst multiset-diff-union-assoc[OF subs] set-mset-union*)**+**

**apply** (*auto dest: in-diffD*)

**done**

**have** *[simp]*:  $\langle \text{set-mset } (\text{init-clss } T + \text{conflicting-clss } T + \text{conflicting-clss } T -$   
 $\text{conflicting-clss } S) = \text{set-mset } (\text{init-clss } T + \text{conflicting-clss } T) \rangle$

**by** (*subst multiset-diff-union-assoc, (rule subs)*)

(*simp only: H ac-simps, subst set-mset-union, subst H, simp*)

**have**  $\langle \text{cdcl}_W\text{-restart-mset.cdcl}_W\text{-all-struct-inv } ?T' \rangle$

**by** (*rule cdcl<sub>W</sub>-restart-mset.cdcl<sub>W</sub>-all-struct-inv-clauses-cong[OF inv-T]*)

(*auto simp: cdcl<sub>W</sub>-restart-mset-state eq-diff-subset-iff abs-state-def subs*)

**then have**  $\langle \text{cdcl}_W\text{-restart-mset.cdcl}_W \ ?S' \ ?T' \rangle$

**using** 2 *cdcl<sub>W</sub>-restart-mset.cdcl<sub>W</sub>-enlarge-clauses*[of  $\langle \text{abs-state } S \rangle \langle \text{abs-state } T \rangle ?S' \langle \text{conflicting-clss}$



$T - \text{conflicting-clss } S \rangle \langle \{ \# \} \rangle]$   
 by (auto simp:  $\text{cdcl}_W\text{-restart-mset-state abs-state-def subs}$ )  
 then have  $\langle \text{cdcl}_W\text{-restart-mset.cdcl}_W \ ?S'' \ (\text{abs-state } T) \rangle$   
 using  $\text{cdcl}_W\text{-restart-mset.cdcl}_W\text{-clauses-cong}[of \ \langle ?S' \ ?T' \ ?S'' \rangle]$   
 $\text{cdcl}_W\text{-restart-mset.cdcl}_W\text{-learned-clss-mono}[of \ \langle ?S' \ ?T' \rangle]$   
 $\text{cdcl}_W\text{-restart-mset.cdcl}_W\text{-restart-init-clss}[OF \ \text{cdcl}_W\text{-restart-mset.cdcl}_W\text{-cdcl}_W\text{-restart}, of \ \langle ?S' \ ?T' \rangle]$   
 unfolding  $\text{abs-state-def cdcl-bnb-no-more-init-clss}[of \ S \ T, OF \ \text{assms}(2)]$   
 by (auto simp:  $\text{cdcl}_W\text{-restart-mset-state abs-state-def subs}$ )  
  
 then show ?thesis  
 by (auto intro!:  $\text{exI}[of \ - \ \langle \text{conflicting-clss } T \rangle]$  simp:  $\text{abs-state-def init-clss.simps}$   
 $\text{cdcl-bnb-no-more-init-clss}[of \ S \ T, OF \ \text{assms}(2)]$ )  
 qed  
 qed  
  
 lemma  $\text{rtrancpl-cdcl-or-improve-cdclD}$ :  
 assumes  $\langle \text{cdcl}_W\text{-restart-mset.cdcl}_W\text{-all-struct-inv} \ (\text{abs-state } S) \rangle$  and  
 $\langle \text{cdcl-bnb}^{**} \ S \ T \rangle$   
 shows  $\exists N.$   
 $\text{cdcl}_W\text{-restart-mset.cdcl}_W^{**} \ (\text{trail } S, \text{init-clss } S + N, \text{learned-clss } S, \text{conflicting } S) \ (\text{abs-state } T) \wedge$   
 $\text{CDCL-}W\text{-Abstract-State.init-clss} \ (\text{abs-state } T) = \text{init-clss } S + N$   
 using  $\text{assms}(2,1)$   
 proof (induction rule:  $\text{rtrancpl-induct}$ )  
 case base  
 then show ?case by (auto intro!:  $\text{exI}[of \ - \ \langle \{ \# \} \rangle]$  simp:  $\text{abs-state-def init-clss.simps}$ )  
 next  
 case (step  $T \ U$ )  
 then obtain  $N$  where  
 $\text{st}: \langle \text{cdcl}_W\text{-restart-mset.cdcl}_W^{**} \ (\text{trail } S, \text{init-clss } S + N, \text{learned-clss } S, \text{conflicting } S) \ (\text{abs-state } T) \rangle$  and  
 $\text{eq}: \langle \text{CDCL-}W\text{-Abstract-State.init-clss} \ (\text{abs-state } T) = \text{init-clss } S + N \rangle$   
 by auto  
 obtain  $N'$  where  
 $\text{st}': \langle \text{cdcl}_W\text{-restart-mset.cdcl}_W^{**} \ (\text{trail } T, \text{init-clss } T + N', \text{learned-clss } T, \text{conflicting } T) \ (\text{abs-state } U) \rangle$  and  
 $\text{eq}': \langle \text{CDCL-}W\text{-Abstract-State.init-clss} \ (\text{abs-state } U) = \text{init-clss } T + N' \rangle$   
 using  $\text{cdcl-or-improve-cdclD}[of \ T \ U]$   $\text{rtrancpl-cdcl-bnb-stgy-all-struct-inv}[of \ S \ T]$  step  
 by (auto simp:  $\text{cdcl}_W\text{-restart-mset-state}$ )  
 have  $\text{inv-T}: \langle \text{cdcl}_W\text{-restart-mset.cdcl}_W\text{-all-struct-inv} \ (\text{abs-state } T) \rangle$   
 using  $\text{rtrancpl-cdcl-bnb-stgy-all-struct-inv step.hyps}(1)$  step.prem by blast  
 have [simp]:  $\langle \text{init-clss } S = \text{init-clss } T \rangle \langle \text{init-clss } T = \text{init-clss } U \rangle$   
 using  $\text{rtrancpl-cdcl-bnb-no-more-init-clss}[OF \ \text{step}(1)]$   $\text{cdcl-bnb-no-more-init-clss}[OF \ \text{step}(2)]$   
 by fast+  
 then have  $\langle N \subseteq \# \ N' \rangle$   
 using  $\text{eq eq' inv-T cdcl-bnb-conflicting-clss-mono}[of \ T \ U]$  step  
 by (auto simp:  $\text{abs-state-def init-clss.simps}$ )  
  
 let  $?S = \langle (\text{trail } S, \text{init-clss } S + N, \text{learned-clss } S, \text{conflicting } S) \rangle$   
 let  $?S' = \langle (\text{trail } S, (\text{init-clss } S + N) + (N' - N), \text{learned-clss } S, \text{conflicting } S) \rangle$   
 let  $?T' = \langle (\text{trail } T, \text{init-clss } T + (\text{conflicting-clss } T) + (N' - N), \text{learned-clss } T, \text{conflicting } T) \rangle$   
 have  $\langle \text{cdcl}_W\text{-restart-mset.cdcl}_W^{**} \ ?S' \ ?T' \rangle$   
 using  $\text{st eq cdcl}_W\text{-restart-mset.rtrancpl-cdcl}_W\text{-enlarge-clauses}[of \ ?S' \ ?S \ \langle N' - N \rangle \ \langle \{ \# \} \rangle \ (\text{abs-state } T)]$   
 by (auto simp:  $\text{cdcl}_W\text{-restart-mset-state abs-state-def}$ )  
 moreover have  $\langle \text{init-clss } T + (\text{conflicting-clss } T) + (N' - N) = \text{init-clss } T + N' \rangle$   
 using  $\text{eq eq' } \langle N \subseteq \# \ N' \rangle$

by (auto simp: abs-state-def init-clss.simps)

ultimately have  
 $\langle \text{cdcl}_W\text{-restart-mset.cdcl}_W^{**} (\text{trail } S, \text{init-clss } S + N', \text{learned-clss } S, \text{conflicting } S) \text{ (abs-state } U) \rangle$   
 using  $\text{eq}' \text{ st}' \langle N \subseteq \# N' \rangle$  unfolding abs-state-def  
 by auto  
 then show ?case  
 using  $\text{eq}' \text{ st}'$  by (auto intro!: exI[of -  $N'$ ])  
 qed

**definition**  $\text{cdcl-bnb-struct-invs} :: \langle 'st \Rightarrow \text{bool} \rangle$  **where**  
 $\langle \text{cdcl-bnb-struct-invs } S \longleftrightarrow \text{atms-of-mm } (\text{conflicting-clss } S) \subseteq \text{atms-of-mm } (\text{init-clss } S) \rangle$

**lemma**  $\text{cdcl-bnb-cdcl-bnb-struct-invs}$ :  
 $\langle \text{cdcl-bnb } S \text{ } T \Longrightarrow \text{cdcl-bnb-struct-invs } S \Longrightarrow \text{cdcl-bnb-struct-invs } T \rangle$   
 using  $\text{atms-of-conflicting-clss}[of \langle \text{update-weight-information - } S \rangle]$  **apply** –  
**by** (induction rule:  $\text{cdcl-bnb.induct}$ )  
 (force simp: improvep.simps conflict.simps propagate.simps  
 conflict-opt.simps  $\text{ocdcl}_W\text{-o.simps}$  obacktrack.simps skip.simps resolve.simps  
 $\text{cdcl-bnb-bj.simps}$  decide.simps  $\text{cdcl-bnb-struct-invs-def}$ ) +

**lemma**  $\text{rtrancpl-cdcl-bnb-cdcl-bnb-struct-invs}$ :  
 $\langle \text{cdcl-bnb}^{**} S \text{ } T \Longrightarrow \text{cdcl-bnb-struct-invs } S \Longrightarrow \text{cdcl-bnb-struct-invs } T \rangle$   
**by** (induction rule:  $\text{rtrancpl-induct}$ ) (auto dest:  $\text{cdcl-bnb-cdcl-bnb-struct-invs}$ )

**lemma**  $\text{cdcl-bnb-stgy-cdcl-bnb}$ :  $\langle \text{cdcl-bnb-stgy } S \text{ } T \Longrightarrow \text{cdcl-bnb } S \text{ } T \rangle$   
**by** (auto simp:  $\text{cdcl-bnb-stgy.simps}$  intro:  $\text{cdcl-bnb.intros}$ )

**lemma**  $\text{rtrancpl-cdcl-bnb-stgy-cdcl-bnb}$ :  $\langle \text{cdcl-bnb-stgy}^{**} S \text{ } T \Longrightarrow \text{cdcl-bnb}^{**} S \text{ } T \rangle$   
**by** (induction rule:  $\text{rtrancpl-induct}$ )  
 (auto dest:  $\text{cdcl-bnb-stgy-cdcl-bnb}$ )

The following does *not* hold, because we cannot guarantee the absence of conflict of smaller level after *improve* and *conflict-opt*.

**lemma**  $\text{cdcl-bnb-all-stgy-inv}$ :  
**assumes**  $\langle \text{cdcl-bnb } S \text{ } T \rangle$  **and**  $\langle \text{cdcl}_W\text{-restart-mset.cdcl}_W\text{-all-struct-inv (abs-state } S) \rangle$  **and**  
 $\langle \text{cdcl}_W\text{-restart-mset.cdcl}_W\text{-stgy-invariant (abs-state } S) \rangle$   
**shows**  $\langle \text{cdcl}_W\text{-restart-mset.cdcl}_W\text{-stgy-invariant (abs-state } T) \rangle$   
**oops**

**lemma**  $\text{skip-conflict-is-false-with-level}$ :  
**assumes**  $\langle \text{skip } S \text{ } T \rangle$  **and**  
 $\text{struct-inv: } \langle \text{cdcl}_W\text{-restart-mset.cdcl}_W\text{-all-struct-inv (abs-state } S) \rangle$  **and**  
 $\text{confl-inv: } \langle \text{conflict-is-false-with-level } S \rangle$   
**shows**  $\langle \text{conflict-is-false-with-level } T \rangle$   
**using** *assms*  
**proof** *induction*  
**case** (*skip-rule*  $L \text{ } C' \text{ } M \text{ } D \text{ } T$ ) **note**  $\text{tr-S} = \text{this}(1)$  **and**  $D = \text{this}(2)$  **and**  $T = \text{this}(5)$   
**have** *conflicting*:  $\langle \text{cdcl}_W\text{-conflicting } S \rangle$  **and**  
 $\text{lev: } \langle \text{cdcl}_W\text{-M-level-inv } S \rangle$   
**using** *struct-inv* **unfolding**  $\text{cdcl}_W\text{-conflicting-def}$   $\text{cdcl}_W\text{-restart-mset.cdcl}_W\text{-all-struct-inv-def}$   
 $\text{cdcl}_W\text{-M-level-inv-def}$   $\text{cdcl}_W\text{-restart-mset.cdcl}_W\text{-conflicting-def}$   
 $\text{cdcl}_W\text{-restart-mset.cdcl}_W\text{-M-level-inv-def}$   
**by** (auto simp: abs-state-def  $\text{cdcl}_W\text{-restart-mset-state}$ )

**obtain**  $La$  **where**  
 $\langle La \in \# D \rangle$  **and**  
 $\langle \text{get-level } (Propagated\ L\ C' \# M) \ La = \text{backtrack-lvl } S \rangle$   
**using**  $\text{skip-rule}\ \text{confl-inv}$  **by**  $\text{auto}$   
**moreover** {  
**have**  $\langle \text{atm-of } La \neq \text{atm-of } L \rangle$   
**proof** ( $\text{rule}\ ccontr$ )  
**assume**  $\langle \neg ?thesis \rangle$   
**then have**  $La: \langle La = L \rangle$  **using**  $\langle La \in \# D \rangle \langle \neg L \notin \# D \rangle$   
**by** ( $\text{auto}\ \text{simp}\ \text{add:}\ \text{atm-of-eq-atm-of}$ )  
**have**  $\langle Propagated\ L\ C' \# M \models_{as} CNot\ D \rangle$   
**using**  $\text{conflicting}\ tr\text{-}S\ D$  **unfolding**  $\text{cdcl}_W\text{-conflicting-def}$  **by**  $\text{auto}$   
**then have**  $\langle \neg L \in \text{lits-of-l } M \rangle$   
**using**  $\langle La \in \# D \rangle$   $\text{in-}CNot\text{-implies-uminus}(2)[\text{of } L\ D\ \langle Propagated\ L\ C' \# M \rangle]$  **unfolding**  $La$   
**by**  $\text{auto}$   
**then show**  $False$  **using**  $\text{lev}\ tr\text{-}S$  **unfolding**  $\text{cdcl}_W\text{-}M\text{-level-inv-def}$   $\text{consistent-interp-def}$  **by**  $\text{auto}$   
**qed**  
**then have**  $\langle \text{get-level } (Propagated\ L\ C' \# M) \ La = \text{get-level } M\ La \rangle$  **by**  $\text{auto}$   
**}**  
**ultimately show**  $?case$  **using**  $D\ tr\text{-}S\ T$  **by**  $\text{auto}$   
**qed**

**lemma**  $\text{propagate-conflict-is-false-with-level}$ :  
**assumes**  $\langle \text{propagate } S\ T \rangle$  **and**  
 $\text{struct-inv: } \langle \text{cdcl}_W\text{-restart-mset.cdcl}_W\text{-all-struct-inv } (abs\text{-state } S) \rangle$  **and**  
 $\text{confl-inv: } \langle \text{conflict-is-false-with-level } S \rangle$   
**shows**  $\langle \text{conflict-is-false-with-level } T \rangle$   
**using**  $\text{assms}$  **by** ( $\text{induction rule: propagate.induct}$ )  $\text{auto}$

**lemma**  $\text{cdcl}_W\text{-o-conflict-is-false-with-level}$ :  
**assumes**  $\langle \text{cdcl}_W\text{-o } S\ T \rangle$  **and**  
 $\text{struct-inv: } \langle \text{cdcl}_W\text{-restart-mset.cdcl}_W\text{-all-struct-inv } (abs\text{-state } S) \rangle$  **and**  
 $\text{confl-inv: } \langle \text{conflict-is-false-with-level } S \rangle$   
**shows**  $\langle \text{conflict-is-false-with-level } T \rangle$   
**apply** ( $\text{rule}\ \text{cdcl}_W\text{-o-conflict-is-false-with-level-inv}[\text{of } S\ T]$ )  
**subgoal using**  $\text{assms}$  **by**  $\text{auto}$   
**subgoal using**  $\text{struct-inv}$  **unfolding**  $\text{cdcl}_W\text{-restart-mset.cdcl}_W\text{-all-struct-inv-def}$   
 $\text{cdcl}_W\text{-}M\text{-level-inv-def}\ \text{cdcl}_W\text{-restart-mset.cdcl}_W\text{-}M\text{-level-inv-def}$   
**by** ( $\text{auto}\ \text{simp: abs-state-def}\ \text{cdcl}_W\text{-restart-mset-state}$ )  
**subgoal using**  $\text{assms}$  **by**  $\text{auto}$   
**subgoal using**  $\text{struct-inv}$  **unfolding**  $\text{distinct-cdcl}_W\text{-state-def}$   
 $\text{cdcl}_W\text{-restart-mset.cdcl}_W\text{-all-struct-inv-def}\ \text{cdcl}_W\text{-restart-mset.distinct-cdcl}_W\text{-state-def}$   
**by** ( $\text{auto}\ \text{simp: abs-state-def}\ \text{cdcl}_W\text{-restart-mset-state}$ )  
**subgoal using**  $\text{struct-inv}$  **unfolding**  $\text{cdcl}_W\text{-conflicting-def}$   
 $\text{cdcl}_W\text{-restart-mset.cdcl}_W\text{-all-struct-inv-def}\ \text{cdcl}_W\text{-restart-mset.cdcl}_W\text{-conflicting-def}$   
**by** ( $\text{auto}\ \text{simp: abs-state-def}\ \text{cdcl}_W\text{-restart-mset-state}$ )  
**done**

**lemma**  $\text{cdcl}_W\text{-o-no-smaller-confl}$ :  
**assumes**  $\langle \text{cdcl}_W\text{-o } S\ T \rangle$  **and**  
 $\text{struct-inv: } \langle \text{cdcl}_W\text{-restart-mset.cdcl}_W\text{-all-struct-inv } (abs\text{-state } S) \rangle$  **and**  
 $\text{confl-inv: } \langle \text{no-smaller-confl } S \rangle$  **and**  
 $\text{lev: } \langle \text{conflict-is-false-with-level } S \rangle$  **and**  
 $n\text{-s: } \langle \text{no-confl-prop-impr } S \rangle$   
**shows**  $\langle \text{no-smaller-confl } T \rangle$   
**apply** ( $\text{rule}\ \text{cdcl}_W\text{-o-no-smaller-confl-inv}[\text{of } S\ T]$ )

```

subgoal using assms by (auto dest!:cdclW-o-cdclW-o)[]
subgoal using n-s by auto
subgoal using struct-inv unfolding cdclW-restart-mset.cdclW-all-struct-inv-def
  cdclW-M-level-inv-def cdclW-restart-mset.cdclW-M-level-inv-def
  by (auto simp: abs-state-def cdclW-restart-mset-state)
subgoal using lev by fast
subgoal using confl-inv unfolding distinct-cdclW-state-def
  cdclW-restart-mset.cdclW-all-struct-inv-def cdclW-restart-mset.distinct-cdclW-state-def
  cdclW-restart-mset.no-smaller-confl-def
  by (auto simp: abs-state-def cdclW-restart-mset-state clauses-def)
done

```

```

declare cdclW-restart-mset.conflict-is-false-with-level-def [simp del]

```

```

lemma improve-conflict-is-false-with-level:
  assumes  $\langle \text{improvep } S \ T \rangle$  and  $\langle \text{conflict-is-false-with-level } S \rangle$ 
  shows  $\langle \text{conflict-is-false-with-level } T \rangle$ 
  using assms
  by induction (auto simp: cdclW-restart-mset.conflict-is-false-with-level-def
    abs-state-def cdclW-restart-mset-state in-negate-trial-iff Bex-def negate-ann-lits-empty-iff
    intro!: exI[of -  $\langle \neg \text{lit-of } (\text{hd } M) \rangle$ ])

```

```

declare conflict-is-false-with-level-def[simp del]

```

```

lemma cdclW-M-level-inv-cdclW-M-level-inv[iff]:
   $\langle \text{cdcl}_W\text{-restart-mset.cdcl}_W\text{-M-level-inv } (\text{abs-state } S) = \text{cdcl}_W\text{-M-level-inv } S \rangle$ 
  by (auto simp: cdclW-restart-mset.cdclW-M-level-inv-def
    cdclW-M-level-inv-def cdclW-restart-mset-state)

```

```

lemma obacktrack-state-eq-compatible:

```

```

  assumes
    bt:  $\langle \text{obacktrack } S \ T \rangle$  and
    SS':  $\langle S \sim S' \rangle$  and
    TT':  $\langle T \sim T' \rangle$ 

```

```

  shows  $\langle \text{obacktrack } S' \ T' \rangle$ 

```

```

proof -

```

```

  obtain D L K i M1 M2 D' where

```

```

    conf:  $\langle \text{conflicting } S = \text{Some } (\text{add-mset } L \ D) \rangle$  and
    decomp:  $\langle (\text{Decided } K \ \# \ M1, \ M2) \in \text{set } (\text{get-all-ann-decomposition } (\text{trail } S)) \rangle$  and
    lev:  $\langle \text{get-level } (\text{trail } S) \ L = \text{backtrack-lvl } S \rangle$  and
    max:  $\langle \text{get-level } (\text{trail } S) \ L = \text{get-maximum-level } (\text{trail } S) \ (\text{add-mset } L \ D') \rangle$  and
    max-D:  $\langle \text{get-maximum-level } (\text{trail } S) \ D' \equiv i \rangle$  and
    lev-K:  $\langle \text{get-level } (\text{trail } S) \ K = \text{Suc } i \rangle$  and
    D'-D:  $\langle D' \subseteq\# D \rangle$  and
    NU-DL:  $\langle \text{clauses } S + \text{conflicting-clss } S \models_{\text{pm}} \text{add-mset } L \ D' \rangle$  and
    T:  $T \sim \text{cons-trail } (\text{Propagated } L \ (\text{add-mset } L \ D'))$ 
    (reduce-trail-to M1
      (add-learned-cls (add-mset L D')
        (update-conflicting None S)))

```

```

  using bt by (elim obacktrackE) force

```

```

  let ?D =  $\langle \text{add-mset } L \ D \rangle$ 

```

```

  let ?D' =  $\langle \text{add-mset } L \ D' \rangle$ 

```

```

  have D':  $\langle \text{conflicting } S' = \text{Some } ?D \rangle$ 

```

```

    using SS' conf by (cases  $\langle \text{conflicting } S' \rangle$ ) auto

```

```

  have T'-S:  $T' \sim \text{cons-trail } (\text{Propagated } L \ ?D')$ 

```

```

    (reduce-trail-to M1 (add-learned-cls ?D'
      (update-conflicting None S)))
  using T TT' state-eq-sym state-eq-trans by blast
have T': T' ~ cons-trail (Propagated L ?D')
  (reduce-trail-to M1 (add-learned-cls ?D'
    (update-conflicting None S')))
  apply (rule state-eq-trans[OF T'-S])
  by (auto simp: cons-trail-state-eq reduce-trail-to-state-eq add-learned-cls-state-eq
    update-conflicting-state-eq SS')
show ?thesis
  apply (rule obacktrack-rule[of - L D K M1 M2 D' i])
  subgoal by (rule D')
  subgoal using TT' decomp SS' by auto
  subgoal using lev TT' SS' by auto
  subgoal using max TT' SS' by auto
  subgoal using max-D TT' SS' by auto
  subgoal using lev-K TT' SS' by auto
  subgoal by (rule D'-D)
  subgoal using NU-DL TT' SS' by auto
  subgoal by (rule T')
done
qed

lemma ocdclW-o-no-smaller-conflict-inv:
  fixes S S' :: 'st
  assumes
    ⟨ocdclW-o S S'⟩ and
    n-s: ⟨no-step conflict S⟩ and
    lev: ⟨cdclW-restart-mset.cdclW-all-struct-inv (abs-state S)⟩ and
    max-lev: ⟨conflict-is-false-with-level S⟩ and
    smaller: ⟨no-smaller-conflict S⟩
  shows ⟨no-smaller-conflict S'⟩
  using assms(1,2) unfolding no-smaller-conflict-def
proof (induct rule: ocdclW-o-induct)
case (decide L T) note confl = this(1) and undef = this(2) and T = this(4)
have [simp]: ⟨clauses T = clauses S⟩
  using T undef by auto
show ?case
proof (intro allI impI)
fix M'' K M' Da
assume ⟨trail T = M'' @ Decided K # M'⟩ and D: ⟨Da ∈ # local.clauses T⟩
then have trail S = tl M'' @ Decided K # M'
  ∨ (M'' = [] ∧ Decided K # M' = Decided L # trail S)
  using T undef by (cases M'') auto
moreover {
  assume ⟨trail S = tl M'' @ Decided K # M'⟩
  then have ⟨¬M' |=as CNot Da⟩
    using D T undef confl smaller unfolding no-smaller-conflict-def smaller by fastforce
}
moreover {
  assume ⟨Decided K # M' = Decided L # trail S⟩
  then have ⟨¬M' |=as CNot Da⟩ using smaller D confl T n-s by (auto simp: conflict.simps)
}
ultimately show ⟨¬M' |=as CNot Da⟩ by fast
qed
next

```

```

case resolve
then show ?case using smaller max-lev unfolding no-smaller-conflict-def by auto
next
case skip
then show ?case using smaller max-lev unfolding no-smaller-conflict-def by auto
next
case (backtrack L D K i M1 M2 T D') note conflict = this(1) and decomp = this(2) and
  T = this(9)
obtain c where M: (trail S = c @ M2 @ Decided K # M1)
  using decomp by auto

show ?case
proof (intro allI impI)
  fix M ia K' M' Da
  assume (trail T = M' @ Decided K' # M)
  then have (M1 = tl M' @ Decided K' # M)
    using T decomp lev by (cases M') (auto simp: cdclW-M-level-inv-decomp)
  let ?D' = (add-mset L D')
  let ?S' = (cons-trail (Propagated L ?D')
    (reduce-trail-to M1 (add-learned-cls ?D' (update-conflicting None S))))
  assume D: (Da ∈ # clauses T)
  moreover {
    assume (Da ∈ # clauses S)
    then have (¬M ⊨as CNot Da) using (M1 = tl M' @ Decided K' # M) M conflict smaller
      unfolding no-smaller-conflict-def by auto
  }
  moreover {
    assume Da: (Da = add-mset L D')
    have (¬M ⊨as CNot Da)
    proof (rule ccontr)
      assume (¬ ?thesis)
      then have (¬L ∈ lits-of-l M)
        unfolding Da by (simp add: in-CNot-implies-uminus(2))
      then have (¬L ∈ lits-of-l (Propagated L D # M1))
        using UnI2 (M1 = tl M' @ Decided K' # M)
        by auto
      moreover {
        have (obacktrack S ?S')
          using obacktrack-rule[OF backtrack.hyps(1-8) T] obacktrack-state-eq-compatible[of S T S] T
          by force
        then have (cdcl-bnb S ?S')
          by (auto dest!: cdcl-bnb-bj.intros ocdclW-o.intros intro: cdcl-bnb.intros)
        then have (cdclW-restart-mset.cdclW-all-struct-inv (abs-state ?S'))
          using cdcl-bnb-stgy-all-struct-inv[of S, OF - lev] by fast
        then have (cdclW-restart-mset.cdclW-M-level-inv (abs-state ?S'))
          by (auto simp: cdclW-restart-mset.cdclW-all-struct-inv-def)
        then have (no-dup (Propagated L D # M1))
          using decomp lev unfolding cdclW-restart-mset.cdclW-M-level-inv-def by auto
      }
      ultimately show False
        using Decided-Propagated-in-iff-in-lits-of-l defined-lit-map
        by (auto simp: no-dup-def)
    qed
  }
  ultimately show (¬M ⊨as CNot Da)
    using T decomp lev unfolding cdclW-M-level-inv-def by fastforce

```

qed  
qed

**lemma** *cdcl-bnb-stgy-no-smaller-confl*:

**assumes**  $\langle \text{cdcl\_bnb\_stgy } S \ T \rangle$  **and**  
 $\langle \text{cdcl}_W\text{-restart-mset.cdcl}_W\text{-all-struct-inv (abs-state } S) \rangle$  **and**  
 $\langle \text{no-smaller-confl } S \rangle$  **and**  
 $\langle \text{conflict-is-false-with-level } S \rangle$   
**shows**  $\langle \text{no-smaller-confl } T \rangle$

**using** *assms*

**proof** (*induction rule: cdcl-bnb-stgy.cases*)

**case**  $\langle \text{cdcl\_bnb\_other}' S' \rangle$

**show** *?case*

**by** (*rule ocdcl<sub>W</sub>-o-no-smaller-confl-inv*)

(*use cdcl-bnb-other' in <auto simp: cdcl<sub>W</sub>-restart-mset.cdcl<sub>W</sub>-all-struct-inv-def>*)

**qed** (*auto intro: conflict-no-smaller-confl-inv propagate-no-smaller-confl-inv;*

*auto simp: no-smaller-confl-def improvep.simps conflict-opt.simps*)**+**

**lemma** *ocdcl<sub>W</sub>-o-conflict-is-false-with-level-inv*:

**assumes**

$\langle \text{ocdcl}_W\text{-o } S \ S' \rangle$  **and**

*lev*:  $\langle \text{cdcl}_W\text{-restart-mset.cdcl}_W\text{-all-struct-inv (abs-state } S) \rangle$  **and**

*confl-inv*:  $\langle \text{conflict-is-false-with-level } S \rangle$

**shows**  $\langle \text{conflict-is-false-with-level } S' \rangle$

**using** *assms(1,2)*

**proof** (*induct rule: ocdcl<sub>W</sub>-o-induct*)

**case**  $\langle \text{resolve } L \ C \ M \ D \ T \rangle$  **note** *tr-S = this(1)* **and** *confl = this(4)* **and** *LD = this(5)* **and** *T = this(7)*

**have**  $\langle \text{resolve } S \ T \rangle$

**using** *resolve.intros[of S L C D T]* *resolve*

**by** *auto*

**then have**  $\langle \text{cdcl}_W\text{-restart-mset.resolve (abs-state } S) \text{ (abs-state } T) \rangle$

**by** (*simp add: resolve-resolve*)

**moreover have**  $\langle \text{cdcl}_W\text{-restart-mset.conflict-is-false-with-level (abs-state } S) \rangle$

**using** *confl-inv*

**by** (*auto simp: cdcl<sub>W</sub>-restart-mset.conflict-is-false-with-level-def*

*conflict-is-false-with-level-def abs-state-def cdcl<sub>W</sub>-restart-mset-state*)

**ultimately have**  $\langle \text{cdcl}_W\text{-restart-mset.conflict-is-false-with-level (abs-state } T) \rangle$

**using** *cdcl<sub>W</sub>-restart-mset.cdcl<sub>W</sub>-o-conflict-is-false-with-level-inv[of <abs-state S> <abs-state T>]*

*lev confl-inv unfolding cdcl<sub>W</sub>-restart-mset.cdcl<sub>W</sub>-all-struct-inv-def*

**by** (*auto dest!: cdcl<sub>W</sub>-restart-mset.cdcl<sub>W</sub>-o.intros*

*cdcl<sub>W</sub>-restart-mset.cdcl<sub>W</sub>-bj.intros*)

**then show** *<?case>*

**by** (*auto simp: cdcl<sub>W</sub>-restart-mset.conflict-is-false-with-level-def*

*conflict-is-false-with-level-def abs-state-def cdcl<sub>W</sub>-restart-mset-state*)

**next**

**case**  $\langle \text{skip } L \ C' \ M \ D \ T \rangle$  **note** *tr-S = this(1)* **and** *D = this(2)* **and** *T = this(5)*

**have**  $\langle \text{cdcl}_W\text{-restart-mset.skip (abs-state } S) \text{ (abs-state } T) \rangle$

**using** *skip.intros[of S L C' M D T]* *skip by (simp add: skip-skip)*

**moreover have**  $\langle \text{cdcl}_W\text{-restart-mset.conflict-is-false-with-level (abs-state } S) \rangle$

**using** *confl-inv*

**by** (*auto simp: cdcl<sub>W</sub>-restart-mset.conflict-is-false-with-level-def*

*conflict-is-false-with-level-def abs-state-def cdcl<sub>W</sub>-restart-mset-state*)

**ultimately have**  $\langle \text{cdcl}_W\text{-restart-mset.conflict-is-false-with-level (abs-state } T) \rangle$

**using** *cdcl<sub>W</sub>-restart-mset.cdcl<sub>W</sub>-o-conflict-is-false-with-level-inv[of <abs-state S> <abs-state T>]*

```

    lev confl-inv unfolding cdclW-restart-mset.cdclW-all-struct-inv-def
  by (auto dest!: cdclW-restart-mset.cdclW-o.intros cdclW-restart-mset.cdclW-bj.intros)
then show (?case)
  by (auto simp: cdclW-restart-mset.conflict-is-false-with-level-def
    conflict-is-false-with-level-def abs-state-def cdclW-restart-mset-state)
next
case backtrack
then show ?case
  by (auto split: if-split-asm simp: cdclW-M-level-inv-decomp lev conflict-is-false-with-level-def)
qed (auto simp: conflict-is-false-with-level-def)

```

**lemma** *cdcl-bnb-stgy-conflict-is-false-with-level:*

```

assumes ⟨cdcl-bnb-stgy S T⟩ and
  ⟨cdclW-restart-mset.cdclW-all-struct-inv (abs-state S)⟩ and
  ⟨no-smaller-conf S⟩ and
  ⟨conflict-is-false-with-level S⟩
shows ⟨conflict-is-false-with-level T⟩
using assms
proof (induction rule: cdcl-bnb-stgy.cases)
case (cdcl-bnb-conflict S')
then show ?case
  using conflict-conflict-is-false-with-level
  by (auto simp: cdclW-restart-mset.cdclW-all-struct-inv-def)
next
case (cdcl-bnb-propagate S')
then show ?case
  using propagate-conflict-is-false-with-level
  by (auto simp: cdclW-restart-mset.cdclW-all-struct-inv-def)
next
case (cdcl-bnb-improve S')
then show ?case
  using improve-conflict-is-false-with-level by blast
next
case (cdcl-bnb-conflict-opt S')
then show ?case
  using conflict-opt-no-smaller-conflict(2) by blast
next
case (cdcl-bnb-other' S')
show ?case
  apply (rule ocdclW-o-conflict-is-false-with-level-inv)
  using cdcl-bnb-other' by (auto simp: cdclW-restart-mset.cdclW-all-struct-inv-def)
qed

```

**lemma** *decided-cons-eq-append-decide-cons:*  $\langle \text{Decided } L \# MM = M' @ \text{Decided } K \# M \longleftrightarrow$   
 $(M' \neq [] \wedge \text{hd } M' = \text{Decided } L \wedge MM = \text{tl } M' @ \text{Decided } K \# M) \vee$   
 $(M' = [] \wedge L = K \wedge MM = M) \rangle$   
**by** (cases *M'*) *auto*

**lemma** *either-all-false-or-earliest-decomposition:*

```

shows  $\langle (\forall K K'. L = K' @ K \longrightarrow \neg P K) \vee$   

 $(\exists L' L''. L = L'' @ L' \wedge P L' \wedge (\forall K K'. L' = K' @ K \longrightarrow K' \neq [] \longrightarrow \neg P K)) \rangle$ 
apply (induction L)
subgoal by auto
subgoal for a
  by (metis append-Cons append-Nil list.sel(3) tl-append2)

```



done

**lemma** *trail-is-improving-Ex-improve*:  
**assumes** *conf*:  $\langle \text{conflicting } S = \text{None} \rangle$  **and**  
*imp*:  $\langle \text{is-improving } (\text{trail } S) \ M' \ S \rangle$   
**shows**  $\langle \text{Ex } (\text{improvep } S) \rangle$   
**using** *assms*  
**by** (*auto simp: improvep.simps intro!: exI*)

**definition** *cdcl-bnb-stgy-inv* ::  $\langle 'st \Rightarrow \text{bool} \rangle$  **where**  
 $\langle \text{cdcl-bnb-stgy-inv } S \longleftrightarrow \text{conflict-is-false-with-level } S \wedge \text{no-smaller-conf } S \rangle$

**lemma** *cdcl-bnb-stgy-invD*:  
**shows**  $\langle \text{cdcl-bnb-stgy-inv } S \longleftrightarrow \text{cdcl}_W\text{-stgy-invariant } S \rangle$   
**unfolding** *cdcl<sub>W</sub>-stgy-invariant-def cdcl-bnb-stgy-inv-def*  
**by** *auto*

**lemma** *cdcl-bnb-stgy-stgy-inv*:  
 $\langle \text{cdcl-bnb-stgy } S \ T \Longrightarrow \text{cdcl}_W\text{-restart-mset.cdcl}_W\text{-all-struct-inv } (\text{abs-state } S) \Longrightarrow$   
 $\text{cdcl-bnb-stgy-inv } S \Longrightarrow \text{cdcl-bnb-stgy-inv } T \rangle$   
**using** *cdcl<sub>W</sub>-stgy-cdcl<sub>W</sub>-stgy-invariant[of S T]*  
*cdcl-bnb-stgy-conflict-is-false-with-level cdcl-bnb-stgy-no-smaller-conf*  
**unfolding** *cdcl-bnb-stgy-inv-def*  
**by** *blast*

**lemma** *rtranclp-cdcl-bnb-stgy-stgy-inv*:  
 $\langle \text{cdcl-bnb-stgy}^* S \ T \Longrightarrow \text{cdcl}_W\text{-restart-mset.cdcl}_W\text{-all-struct-inv } (\text{abs-state } S) \Longrightarrow$   
 $\text{cdcl-bnb-stgy-inv } S \Longrightarrow \text{cdcl-bnb-stgy-inv } T \rangle$   
**apply** (*induction rule: rtranclp-induct*)  
**subgoal by** *auto*  
**subgoal for** *T U*  
**using** *cdcl-bnb-stgy-stgy-inv rtranclp-cdcl-bnb-stgy-all-struct-inv*  
*rtranclp-cdcl-bnb-stgy-cdcl-bnb* **by** *blast*  
**done**

**lemma** *cdcl-bnb-cdcl<sub>W</sub>-learned-clauses-entailed-by-init*:  
**assumes**  
 $\langle \text{cdcl-bnb } S \ T \rangle$  **and**  
*entailed*:  $\langle \text{cdcl}_W\text{-restart-mset.cdcl}_W\text{-learned-clauses-entailed-by-init } (\text{abs-state } S) \rangle$  **and**  
*all-struct*:  $\langle \text{cdcl}_W\text{-restart-mset.cdcl}_W\text{-all-struct-inv } (\text{abs-state } S) \rangle$   
**shows**  $\langle \text{cdcl}_W\text{-restart-mset.cdcl}_W\text{-learned-clauses-entailed-by-init } (\text{abs-state } T) \rangle$   
**using** *assms(1)*  
**proof** (*induction rule: cdcl-bnb.cases*)  
**case** (*cdcl-conflict S'*)  
**then show** *?case*  
**using** *entailed*  
**by** (*auto simp: cdcl<sub>W</sub>-restart-mset.cdcl<sub>W</sub>-learned-clauses-entailed-by-init-def*  
*elim!: conflictE*)  
**next**  
**case** (*cdcl-propagate S'*)  
**then show** *?case*  
**using** *entailed*  
**by** (*auto simp: cdcl<sub>W</sub>-restart-mset.cdcl<sub>W</sub>-learned-clauses-entailed-by-init-def*  
*elim!: propagateE*)  
**next**  
**case** (*cdcl-improve S'*)

**moreover have**  $\langle \text{set-mset } (CDCL\text{-}W\text{-Abstract-State.init-clss } (abs\text{-state } S)) \subseteq$   
 $\text{set-mset } (CDCL\text{-}W\text{-Abstract-State.init-clss } (abs\text{-state } (update\text{-weight-information } M' S))) \rangle$   
**if**  $\langle is\text{-improving } M M' S \rangle$  **for**  $M M'$   
**using** *that conflicting-clss-update-weight-information-mono*[*OF all-struct*]  
**by** (*auto simp: abs-state-def cdcl<sub>W</sub>-restart-mset-state*)  
**ultimately show** *?case*  
**using** *entailed*  
**by** (*fastforce simp: cdcl<sub>W</sub>-restart-mset.cdcl<sub>W</sub>-learned-clauses-entailed-by-init-def*  
*elim!: improveE intro: true-clss-clss-subsetI*)  
**next**  
**case** (*cdcl-other' S'*) **note**  $T = this(1)$  **and**  $o = this(2)$   
**show** *?case*  
**apply** (*rule cdcl<sub>W</sub>-restart-mset.cdcl<sub>W</sub>-learned-clauses-entailed*[*of (abs-state S)*])  
**subgoal using**  $o$  **unfolding**  $T$  **by** (*blast dest: cdcl<sub>W</sub>-o-cdcl<sub>W</sub>-o cdcl<sub>W</sub>-restart-mset.other*)  
**subgoal using** *all-struct* **unfolding** *cdcl<sub>W</sub>-restart-mset.cdcl<sub>W</sub>-all-struct-inv-def* **by** *fast*  
**subgoal using** *entailed* **by** *fast*  
**done**  
**next**  
**case** (*cdcl-conflict-opt S'*)  
**then show** *?case*  
**using** *entailed*  
**by** (*auto simp: cdcl<sub>W</sub>-restart-mset.cdcl<sub>W</sub>-learned-clauses-entailed-by-init-def*  
*elim!: conflict-optE*)  
**qed**

**lemma** *rtranclp-cdcl-bnb-cdcl<sub>W</sub>-learned-clauses-entailed-by-init:*  
**assumes**  
 $\langle cdcl\text{-bnb}^{**} S T \rangle$  **and**  
 $\langle \text{entailed: } (cdcl\text{-}W\text{-restart-mset.cdcl}_W\text{-learned-clauses-entailed-by-init } (abs\text{-state } S)) \rangle$  **and**  
 $\langle \text{all-struct: } (cdcl\text{-}W\text{-restart-mset.cdcl}_W\text{-all-struct-inv } (abs\text{-state } S)) \rangle$   
**shows**  $\langle cdcl\text{-}W\text{-restart-mset.cdcl}_W\text{-learned-clauses-entailed-by-init } (abs\text{-state } T) \rangle$   
**using** *assms* **by** (*induction rule: rtranclp-induct*)  
*(auto intro: cdcl-bnb-cdcl<sub>W</sub>-learned-clauses-entailed-by-init*  
*rtranclp-cdcl-bnb-stgy-all-struct-inv)*

**lemma** *atms-of-init-clss-conflicting-clss2*[*simp*]:  
 $\langle \text{atms-of-mm } (init\text{-clss } S) \cup \text{atms-of-mm } (conflicting\text{-clss } S) = \text{atms-of-mm } (init\text{-clss } S) \rangle$   
**using** *atms-of-conflicting-clss*[*of S*] **by** *blast*

**lemma** *no-strange-atm-no-strange-atm*[*simp*]:  
 $\langle cdcl\text{-}W\text{-restart-mset.no-strange-atm } (abs\text{-state } S) = \text{no-strange-atm } S \rangle$   
**using** *atms-of-conflicting-clss*[*of S*]  
**unfolding** *cdcl<sub>W</sub>-restart-mset.no-strange-atm-def no-strange-atm-def*  
**by** (*auto simp: abs-state-def cdcl<sub>W</sub>-restart-mset-state*)

**lemma** *cdcl<sub>W</sub>-conflicting-cdcl<sub>W</sub>-conflicting*[*simp*]:  
 $\langle cdcl\text{-}W\text{-restart-mset.cdcl}_W\text{-conflicting } (abs\text{-state } S) = cdcl\text{-}W\text{-conflicting } S \rangle$   
**unfolding** *cdcl<sub>W</sub>-restart-mset.cdcl<sub>W</sub>-conflicting-def cdcl<sub>W</sub>-conflicting-def*  
**by** (*auto simp: abs-state-def cdcl<sub>W</sub>-restart-mset-state*)

**lemma** *distinct-cdcl<sub>W</sub>-state-distinct-cdcl<sub>W</sub>-state:*  
 $\langle cdcl\text{-}W\text{-restart-mset.distinct-cdcl}_W\text{-state } (abs\text{-state } S) \implies \text{distinct-cdcl}_W\text{-state } S \rangle$   
**unfolding** *cdcl<sub>W</sub>-restart-mset.distinct-cdcl<sub>W</sub>-state-def distinct-cdcl<sub>W</sub>-state-def*  
**by** (*auto simp: abs-state-def cdcl<sub>W</sub>-restart-mset-state*)

**lemma** *obacktrack-imp-backtrack:*

$\langle \text{obacktrack } S \ T \implies \text{cdcl}_W\text{-restart-mset.backtrack } (\text{abs-state } S) \ (\text{abs-state } T) \rangle$   
**by** (*elim obacktrackE*, *rule-tac D=D and L=L and K=K in cdcl<sub>W</sub>-restart-mset.backtrack.intros*)  
*(auto elim!: obacktrackE simp: cdcl<sub>W</sub>-restart-mset.backtrack.simps sim-abs-state-simp)*

**lemma** *backtrack-imp-obacktrack*:

$\langle \text{cdcl}_W\text{-restart-mset.backtrack } (\text{abs-state } S) \ T \implies \text{Ex } (\text{obacktrack } S) \rangle$   
**by** (*elim cdcl<sub>W</sub>-restart-mset.backtrackE*, *rule exI*,  
*rule-tac D=D and L=L and K=K in obacktrack.intros*)  
*(auto simp: cdcl<sub>W</sub>-restart-mset.backtrack.simps obacktrack.simps)*

**lemma** *cdcl<sub>W</sub>-same-weight*:  $\langle \text{cdcl}_W \ S \ U \implies \text{weight } S = \text{weight } U \rangle$

**by** (*induction rule: cdcl<sub>W</sub>.induct*)  
*(auto simp: improvep.simps cdcl<sub>W</sub>.simps*  
*propagate.simps sim-abs-state-simp abs-state-def cdcl<sub>W</sub>-restart-mset-state*  
*clauses-def conflict.simps cdcl<sub>W</sub>-o.simps decide.simps cdcl<sub>W</sub>-bj.simps*  
*skip.simps resolve.simps backtrack.simps)*

**lemma** *ocdcl<sub>W</sub>-o-same-weight*:  $\langle \text{ocdcl}_W\text{-o } S \ U \implies \text{weight } S = \text{weight } U \rangle$

**by** (*induction rule: ocdcl<sub>W</sub>-o.induct*)  
*(auto simp: improvep.simps cdcl<sub>W</sub>.simps cdcl-bnb-bj.simps*  
*propagate.simps sim-abs-state-simp abs-state-def cdcl<sub>W</sub>-restart-mset-state*  
*clauses-def conflict.simps cdcl<sub>W</sub>-o.simps decide.simps cdcl<sub>W</sub>-bj.simps*  
*skip.simps resolve.simps obacktrack.simps)*

This is a proof artefact: it is easier to reason on *improvep* when the set of initial clauses is fixed (here by  $N$ ). The next theorem shows that the conclusion is equivalent to not fixing the set of clauses.

**lemma** *wf-cdcl-bnb*:

**assumes** *improve*:  $\langle \bigwedge S \ T. \text{improvep } S \ T \implies \text{init-clss } S = N \implies (\nu (\text{weight } T), \nu (\text{weight } S)) \in R \rangle$   
**and**

*wf-R*:  $\langle \text{wf } R \rangle$

**shows**  $\langle \text{wf } \{(T, S). \text{cdcl}_W\text{-restart-mset.cdcl}_W\text{-all-struct-inv } (\text{abs-state } S) \wedge \text{cdcl-bnb } S \ T \wedge$   
 $\text{init-clss } S = N\} \rangle$

**(is**  $\langle \text{wf } ?A \rangle$ **)**

**proof** –

**let**  $?R = \langle \{(T, S). (\nu (\text{weight } T), \nu (\text{weight } S)) \in R\} \rangle$

**have**  $\langle \text{wf } \{(T, S). \text{cdcl}_W\text{-restart-mset.cdcl}_W\text{-all-struct-inv } S \wedge \text{cdcl}_W\text{-restart-mset.cdcl}_W \ S \ T\} \rangle$

**by** (*rule cdcl<sub>W</sub>-restart-mset.wf-cdcl<sub>W</sub>*)

**from** *wf-if-measure-f[OF this, of abs-state]*

**have** *wf*:  $\langle \text{wf } \{(T, S). \text{cdcl}_W\text{-restart-mset.cdcl}_W\text{-all-struct-inv } (\text{abs-state } S) \wedge$   
 $\text{cdcl}_W\text{-restart-mset.cdcl}_W (\text{abs-state } S) (\text{abs-state } T) \wedge \text{weight } S = \text{weight } T\} \rangle$

**(is**  $\langle \text{wf } ?CDCL \rangle$ **)**

**by** (*rule wf-subset*) *auto*

**have**  $\langle \text{wf } (?R \cup ?CDCL) \rangle$

**apply** (*rule wf-union-compatible*)

**subgoal by** (*rule wf-if-measure-f[OF wf-R, of  $\langle \lambda x. \nu (\text{weight } x) \rangle$ ]*)

**subgoal by** (*rule wf*)

**subgoal by** (*auto simp: cdcl<sub>W</sub>-same-weight*)

**done**

**moreover have**  $\langle ?A \subseteq ?R \cup ?CDCL \rangle$

**by** (*auto dest: cdcl<sub>W</sub>.intros cdcl<sub>W</sub>-restart-mset.W-propagate cdcl<sub>W</sub>-restart-mset.W-other*  
*conflict-conflict propagate-propagate decide-decide improve conflict-opt-conflict*  
*cdcl<sub>W</sub>-o-cdcl<sub>W</sub>-o cdcl<sub>W</sub>-restart-mset.W-conflict W-conflict cdcl<sub>W</sub>-o.intros cdcl<sub>W</sub>.intros*)

```

      cdclW-o-cdclW-o
      simp: cdclW-same-weight cdcl-bnb.simps ocdclW-o-same-weight
      elim: conflict-optE)
ultimately show ?thesis
  by (rule wf-subset)
qed

corollary wf-cdcl-bnb-fixed-iff:
  shows  $\langle (\forall N. \text{wf } \{(T, S). \text{cdcl}_W\text{-restart-mset.cdcl}_W\text{-all-struct-inv } (\text{abs-state } S) \wedge \text{cdcl-bnb } S \ T \wedge \text{init-clss } S = N\}) \longleftrightarrow$ 
 $\text{wf } \{(T, S). \text{cdcl}_W\text{-restart-mset.cdcl}_W\text{-all-struct-inv } (\text{abs-state } S) \wedge \text{cdcl-bnb } S \ T\}$ 
 $\rangle$ 
  (is  $\langle (\forall N. \text{wf } (?A \ N)) \longleftrightarrow \text{wf } ?B \rangle$ )
proof
  assume  $\langle \text{wf } ?B \rangle$ 
  then show  $\langle \forall N. \text{wf } (?A \ N) \rangle$ 
    by (intro allI, rule wf-subset) auto
next
  assume  $\langle \forall N. \text{wf } (?A \ N) \rangle$ 
  show  $\langle \text{wf } ?B \rangle$ 
    unfolding wf-iff-no-infinite-down-chain
  proof
    assume  $\langle \exists f. \forall i. (f \ (\text{Suc } i), f \ i) \in ?B \rangle$ 
    then obtain  $f$  where  $f: \langle (f \ (\text{Suc } i), f \ i) \in ?B \rangle$  for  $i$ 
      by blast
    then have  $\langle \text{cdcl}_W\text{-restart-mset.cdcl}_W\text{-all-struct-inv } (\text{abs-state } (f \ n)) \rangle$  for  $n$ 
      by (induction  $n$ ) auto
    with  $f$  have  $st: \langle \text{cdcl-bnb}^{**} (f \ 0) (f \ n) \rangle$  for  $n$ 
      apply (induction  $n$ )
      subgoal by auto
      subgoal by (subst rtrancpl-unfold, subst trancpl-unfold-end)
        auto
      done
    let  $?N = \langle \text{init-clss } (f \ 0) \rangle$ 
    have  $N: \langle \text{init-clss } (f \ n) = ?N \rangle$  for  $n$ 
      using  $st[of \ n]$  by (auto dest: rtrancpl-cdcl-bnb-no-more-init-clss)
    have  $\langle (f \ (\text{Suc } i), f \ i) \in ?A \ ?N \rangle$  for  $i$ 
      using  $f \ N$  by auto
    with  $\langle \forall N. \text{wf } (?A \ N) \rangle$  show False
      unfolding wf-iff-no-infinite-down-chain by blast
  qed
qed

```

The following is a slightly more restricted version of the theorem, because it makes it possible to add some specific invariant, which can be useful when the proof of the decreasing is complicated.

**lemma** *wf-cdcl-bnb-with-additional-inv*:

**assumes** *improve*:  $\langle \bigwedge S \ T. \text{improvep } S \ T \implies P \ S \implies \text{init-clss } S = N \implies (\nu \ (\text{weight } T), \nu \ (\text{weight } S)) \in R \rangle$  **and**

*wf-R*:  $\langle \text{wf } R \rangle$  **and**

$\langle \bigwedge S \ T. \text{cdcl-bnb } S \ T \implies P \ S \implies \text{init-clss } S = N \implies \text{cdcl}_W\text{-restart-mset.cdcl}_W\text{-all-struct-inv } (\text{abs-state } S) \implies P \ T \rangle$

**shows**  $\langle \text{wf } \{(T, S). \text{cdcl}_W\text{-restart-mset.cdcl}_W\text{-all-struct-inv } (\text{abs-state } S) \wedge \text{cdcl-bnb } S \ T \wedge P \ S \wedge \text{init-clss } S = N\} \rangle$

(is  $\langle \text{wf } ?A \rangle$ )

**proof** –

let  $?R = \langle \{(T, S). (\nu \ (\text{weight } T), \nu \ (\text{weight } S)) \in R\} \rangle$

**have**  $\langle wf \{ (T, S). \text{cdcl}_W\text{-restart-mset.cdcl}_W\text{-all-struct-inv } S \wedge \text{cdcl}_W\text{-restart-mset.cdcl}_W S T \} \rangle$   
**by** (rule *cdcl<sub>W</sub>-restart-mset.wf-cdcl<sub>W</sub>*)  
**from** *wf-if-measure-f[OF this, of abs-state]*  
**have** *wf*:  $\langle wf \{ (T, S). \text{cdcl}_W\text{-restart-mset.cdcl}_W\text{-all-struct-inv } (\text{abs-state } S) \wedge$   
 $\text{cdcl}_W\text{-restart-mset.cdcl}_W (\text{abs-state } S) (\text{abs-state } T) \wedge \text{weight } S = \text{weight } T \} \rangle$   
**(is**  $\langle wf ?CDCL \rangle$ **)**  
**by** (rule *wf-subset*) *auto*  
**have**  $\langle wf ( ?R \cup ?CDCL) \rangle$   
**apply** (rule *wf-union-compatible*)  
**subgoal by** (rule *wf-if-measure-f[OF wf-R, of  $\langle \lambda x. \nu (\text{weight } x) \rangle$ ]*)  
**subgoal by** (rule *wf*)  
**subgoal by** (auto *simp: cdcl<sub>W</sub>-same-weight*)  
**done**

**moreover have**  $\langle ?A \subseteq ?R \cup ?CDCL \rangle$   
**using** *assms(3) cdcl-bnb.intros(3)*  
**by** (auto *dest: cdcl<sub>W</sub>.intros cdcl<sub>W</sub>-restart-mset.W-propagate cdcl<sub>W</sub>-restart-mset.W-other*  
*conflict-conflict propagate-propagate decide-decide improve conflict-opt-conflict*  
*cdcl<sub>W</sub>-o-cdcl<sub>W</sub>-o cdcl<sub>W</sub>-restart-mset.W-conflict W-conflict cdcl<sub>W</sub>-o.intros cdcl<sub>W</sub>.intros*  
*cdcl<sub>W</sub>-o-cdcl<sub>W</sub>-o*  
*simp: cdcl<sub>W</sub>-same-weight cdcl-bnb.simps ocdcl<sub>W</sub>-o-same-weight*  
*elim: conflict-optE*)  
**ultimately show** *?thesis*  
**by** (rule *wf-subset*)  
**qed**

**lemma** *conflict-is-false-with-level-abs-iff*:  
 $\langle \text{cdcl}_W\text{-restart-mset.conflict-is-false-with-level } (\text{abs-state } S) \longleftrightarrow$   
 $\text{conflict-is-false-with-level } S \rangle$   
**by** (auto *simp: cdcl<sub>W</sub>-restart-mset.conflict-is-false-with-level-def*  
*conflict-is-false-with-level-def*)

**lemma** *decide-abs-state-decide*:  
 $\langle \text{cdcl}_W\text{-restart-mset.decide } (\text{abs-state } S) T \implies \text{cdcl-bnb-struct-invs } S \implies \text{Ex}(\text{decide } S) \rangle$   
**apply** (cases rule: *cdcl<sub>W</sub>-restart-mset.decide.cases, assumption*)  
**subgoal for** *L*  
**apply** (rule *exI*)  
**apply** (rule *decide.intros[of - L]*)  
**by** (auto *simp: cdcl-bnb-struct-invs-def abs-state-def cdcl<sub>W</sub>-restart-mset-state*)  
**done**

**lemma** *cdcl-bnb-no-conflicting-clss-cdcl<sub>W</sub>*:  
**assumes**  $\langle \text{cdcl-bnb } S T \rangle$  **and**  $\langle \text{conflicting-clss } T = \{ \# \} \rangle$   
**shows**  $\langle \text{cdcl}_W\text{-restart-mset.cdcl}_W (\text{abs-state } S) (\text{abs-state } T) \wedge \text{conflicting-clss } S = \{ \# \} \rangle$   
**using** *assms*  
**by** (auto *simp: cdcl-bnb.simps conflict-opt.simps improvep.simps ocdcl<sub>W</sub>-o.simps*  
*cdcl-bnb-bj.simps*  
*dest: conflict-conflict propagate-propagate decide-decide skip-skip resolve-resolve*  
*backtrack-backtrack*  
*intro: cdcl<sub>W</sub>-restart-mset.W-conflict cdcl<sub>W</sub>-restart-mset.W-propagate cdcl<sub>W</sub>-restart-mset.W-other*  
*dest: conflicting-clss-update-weight-information-in*  
*elim: conflictE propagateE decideE skipE resolveE improveE obacktrackE*)

**lemma** *rtrancpl-cdcl-bnb-no-conflicting-clss-cdcl<sub>W</sub>*:  
**assumes**  $\langle \text{cdcl-bnb}^{**} S T \rangle$  **and**  $\langle \text{conflicting-clss } T = \{ \# \} \rangle$

**shows**  $\langle \text{cdcl}_W\text{-restart-mset.cdcl}_W^{**} (\text{abs-state } S) (\text{abs-state } T) \wedge \text{conflicting-clss } S = \{\#\} \rangle$   
**using** *assms*  
**by** (*induction rule: rtrancpl-induct*)  
*(fastforce dest: cdcl-bnb-no-conflicting-clss-cdcl<sub>W</sub>)*+

**lemma** *conflict-abs-ex-conflict-no-conflicting*:

**assumes**  $\langle \text{cdcl}_W\text{-restart-mset.conflict } (\text{abs-state } S) T \rangle$  **and**  $\langle \text{conflicting-clss } S = \{\#\} \rangle$   
**shows**  $\langle \exists T. \text{conflict } S T \rangle$   
**using** *assms* **by** (*auto simp: conflict.simps cdcl<sub>W</sub>-restart-mset.conflict.simps abs-state-def*  
*cdcl<sub>W</sub>-restart-mset-state clauses-def cdcl<sub>W</sub>-restart-mset.clauses-def*)

**lemma** *propagate-abs-ex-propagate-no-conflicting*:

**assumes**  $\langle \text{cdcl}_W\text{-restart-mset.propagate } (\text{abs-state } S) T \rangle$  **and**  $\langle \text{conflicting-clss } S = \{\#\} \rangle$   
**shows**  $\langle \exists T. \text{propagate } S T \rangle$   
**using** *assms* **by** (*auto simp: propagate.simps cdcl<sub>W</sub>-restart-mset.propagate.simps abs-state-def*  
*cdcl<sub>W</sub>-restart-mset-state clauses-def cdcl<sub>W</sub>-restart-mset.clauses-def*)

**lemma** *cdcl-bnb-stgy-no-conflicting-clss-cdcl<sub>W</sub>-stgy*:

**assumes**  $\langle \text{cdcl-bnb-stgy } S T \rangle$  **and**  $\langle \text{conflicting-clss } T = \{\#\} \rangle$   
**shows**  $\langle \text{cdcl}_W\text{-restart-mset.cdcl}_W\text{-stgy } (\text{abs-state } S) (\text{abs-state } T) \rangle$

**proof** –

**have**  $\langle \text{conflicting-clss } S = \{\#\} \rangle$   
**using** *cdcl-bnb-no-conflicting-clss-cdcl<sub>W</sub>[of S T] assms*  
**by** (*auto dest: cdcl-bnb-stgy-cdcl-bnb*)  
**then show** *?thesis*  
**using** *assms*  
**by** (*auto 7 5 simp: cdcl-bnb-stgy.simps conflict-opt.simps ocdcl<sub>W</sub>-o.simps*  
*cdcl-bnb-bj.simps*  
*dest: conflict-conflict propagate-propagate decide-decide skip-skip resolve-resolve*  
*backtrack-backtrack*  
*dest: cdcl<sub>W</sub>-restart-mset.cdcl<sub>W</sub>-stgy.intros cdcl<sub>W</sub>-restart-mset.cdcl<sub>W</sub>-o.intros*  
*dest: conflicting-clss-update-weight-information-in*  
*conflict-abs-ex-conflict-no-conflicting*  
*propagate-abs-ex-propagate-no-conflicting*  
*intro: cdcl<sub>W</sub>-restart-mset.cdcl<sub>W</sub>-stgy.intros(3)*  
*elim: improveE*)

**qed**

**lemma** *rtrancpl-cdcl-bnb-stgy-no-conflicting-clss-cdcl<sub>W</sub>-stgy*:

**assumes**  $\langle \text{cdcl-bnb-stgy}^{**} S T \rangle$  **and**  $\langle \text{conflicting-clss } T = \{\#\} \rangle$   
**shows**  $\langle \text{cdcl}_W\text{-restart-mset.cdcl}_W\text{-stgy}^{**} (\text{abs-state } S) (\text{abs-state } T) \rangle$   
**using** *assms* **apply** (*induction rule: rtrancpl-induct*)  
**subgoal by** *auto*  
**subgoal for** *T U*  
**using** *cdcl-bnb-no-conflicting-clss-cdcl<sub>W</sub>[of T U, OF cdcl-bnb-stgy-cdcl-bnb]*  
**by** (*auto dest: cdcl-bnb-stgy-no-conflicting-clss-cdcl<sub>W</sub>-stgy*)  
**done**

**context**

**assumes** *can-always-improve*:

$\langle \bigwedge S. \text{trail } S \models_{\text{asm}} \text{clauses } S \implies \text{no-step conflict-opt } S \implies$   
 $\text{conflicting } S = \text{None} \implies$   
 $\text{cdcl}_W\text{-restart-mset.cdcl}_W\text{-all-struct-inv } (\text{abs-state } S) \implies$   
 $\text{total-over-m } (\text{lits-of-l } (\text{trail } S)) (\text{set-mset } (\text{clauses } S)) \implies \text{Ex } (\text{improvep } S) \rangle$

**begin**

The following theorems states a non-obvious (and slightly subtle) property: The fact that there is no conflicting cannot be shown without additional assumption. However, the assumption that every model leads to an improvements implies that we end up with a conflict.

**lemma** *no-step-cdcl-bnb-cdcl<sub>W</sub>*:

**assumes**

*ns*:  $\langle \text{no-step cdcl-bnb } S \rangle$  **and**

*struct-invs*:  $\langle \text{cdcl}_W\text{-restart-mset.cdcl}_W\text{-all-struct-inv (abs-state } S) \rangle$

**shows**  $\langle \text{no-step cdcl}_W\text{-restart-mset.cdcl}_W \text{ (abs-state } S) \rangle$

**proof** –

**have** *ns-confl*:  $\langle \text{no-step skip } S \rangle \langle \text{no-step resolve } S \rangle \langle \text{no-step obacktrack } S \rangle$  **and**

*ns-nc*:  $\langle \text{no-step conflict } S \rangle \langle \text{no-step propagate } S \rangle \langle \text{no-step improvep } S \rangle \langle \text{no-step conflict-opt } S \rangle$   
 $\langle \text{no-step decide } S \rangle$

**using** *ns*

**by** (*auto simp*: *cdcl-bnb.simps ocdcl<sub>W</sub>-o.simps cdcl-bnb-bj.simps*)

**have** *alien*:  $\langle \text{cdcl}_W\text{-restart-mset.no-strange-atm (abs-state } S) \rangle$

**using** *struct-invs* **unfolding** *cdcl<sub>W</sub>-restart-mset.cdcl<sub>W</sub>-all-struct-inv-def* **by** *fast+*

**have** *False* **if** *st*:  $\langle \exists T. \text{cdcl}_W\text{-restart-mset.cdcl}_W \text{ (abs-state } S) \ T \rangle$

**proof** (*cases*  $\langle \text{conflicting } S = \text{None} \rangle$ )

**case** *True*

**have**  $\langle \text{total-over-m (lits-of-l (trail } S)) \text{ (set-mset (init-clss } S))} \rangle$

**using** *ns-nc True* **apply** – **apply** (*rule ccontr*)

**by** (*force simp*: *decide.simps total-over-m-def total-over-set-def*  
*Decided-Propagated-in-iff-in-lits-of-l*)

**then have** *tot*:  $\langle \text{total-over-m (lits-of-l (trail } S)) \text{ (set-mset (clauses } S))} \rangle$

**using** *alien* **unfolding** *cdcl<sub>W</sub>-restart-mset.no-strange-atm-def*

**by** (*auto simp*: *total-over-set-atm-of total-over-m-def clauses-def*  
*abs-state-def init-clss.simps learned-clss.simps trail.simps*)

**then have**  $\langle \text{trail } S \models_{\text{asm}} \text{clauses } S \rangle$

**using** *ns-nc True* **unfolding** *true-annots-def* **apply** –  
**apply** *clarify*

**subgoal for** *C*

**using** *all-variables-defined-not-imply-cnot*[*of C*  $\langle \text{trail } S \rangle$ ]

**by** (*fastforce simp*: *conflict.simps total-over-set-atm-of*  
*dest: multi-member-split*)

**done**

**from** *can-always-improve*[*OF this*] **have**  $\langle \text{False} \rangle$

**using** *ns-nc True struct-invs tot* **by** *blast*

**then show**  $\langle ?thesis \rangle$

**by** *blast*

**next**

**case** *False*

**have** *nss*:  $\langle \text{no-step cdcl}_W\text{-restart-mset.skip (abs-state } S) \rangle$

$\langle \text{no-step cdcl}_W\text{-restart-mset.resolve (abs-state } S) \rangle$

$\langle \text{no-step cdcl}_W\text{-restart-mset.backtrack (abs-state } S) \rangle$

**using** *ns-confl* **by** (*force simp*: *cdcl<sub>W</sub>-restart-mset.skip.simps skip.simps*  
*cdcl<sub>W</sub>-restart-mset.resolve.simps resolve.simps*  
*dest: backtrack-imp-obacktrack*)**+**

**then show**  $\langle ?thesis \rangle$

**using** *that False* **by** (*auto simp*: *cdcl<sub>W</sub>-restart-mset.cdcl<sub>W</sub>.simps*  
*cdcl<sub>W</sub>-restart-mset.propagate.simps cdcl<sub>W</sub>-restart-mset.conflict.simps*  
*cdcl<sub>W</sub>-restart-mset.cdcl<sub>W</sub>-o.simps cdcl<sub>W</sub>-restart-mset.decide.simps*  
*cdcl<sub>W</sub>-restart-mset.cdcl<sub>W</sub>-bj.simps*)

**qed**

**then show**  $\langle ?thesis \rangle$  **by** *blast*

qed

**lemma** *no-step-cdcl-bnb-stgy*:

**assumes**

*n-s*:  $\langle \text{no-step cdcl-bnb } S \rangle$  **and**

*all-struct*:  $\langle \text{cdcl}_W\text{-restart-mset.cdcl}_W\text{-all-struct-inv } (\text{abs-state } S) \rangle$  **and**

*stgy-inv*:  $\langle \text{cdcl-bnb-stgy-inv } S \rangle$

**shows**  $\langle \text{conflicting } S = \text{None} \vee \text{conflicting } S = \text{Some } \{\#\} \rangle$

**proof** (*rule ccontr*)

**assume**  $\langle \neg ?thesis \rangle$

**then obtain** *D* **where**  $\langle \text{conflicting } S = \text{Some } D \rangle$  **and**  $\langle D \neq \{\#\} \rangle$

**by** *auto*

**moreover have**  $\langle \text{no-step cdcl}_W\text{-restart-mset.cdcl}_W\text{-stgy } (\text{abs-state } S) \rangle$

**using** *no-step-cdcl-bnb-cdcl<sub>W</sub>[OF n-s all-struct]*

*cdcl<sub>W</sub>-restart-mset.cdcl<sub>W</sub>-stgy-cdcl<sub>W</sub>* **by** *blast*

**moreover have** *le*:  $\langle \text{cdcl}_W\text{-restart-mset.cdcl}_W\text{-learned-clause } (\text{abs-state } S) \rangle$

**using** *all-struct unfolding cdcl<sub>W</sub>-restart-mset.cdcl<sub>W</sub>-all-struct-inv-def* **by** *fast*

**ultimately show** *False*

**using** *cdcl<sub>W</sub>-restart-mset.conflicting-no-false-can-do-step[of (abs-state S) all-struct stgy-inv le*

*unfolding cdcl<sub>W</sub>-restart-mset.cdcl<sub>W</sub>-all-struct-inv-def cdcl-bnb-stgy-inv-def*

**by** (*force dest: distinct-cdcl<sub>W</sub>-state-distinct-cdcl<sub>W</sub>-state*

*simp: conflict-is-false-with-level-abs-iff*)

qed

**lemma** *no-step-cdcl-bnb-stgy-empty-conflict*:

**assumes**

*n-s*:  $\langle \text{no-step cdcl-bnb } S \rangle$  **and**

*all-struct*:  $\langle \text{cdcl}_W\text{-restart-mset.cdcl}_W\text{-all-struct-inv } (\text{abs-state } S) \rangle$  **and**

*stgy-inv*:  $\langle \text{cdcl-bnb-stgy-inv } S \rangle$

**shows**  $\langle \text{conflicting } S = \text{Some } \{\#\} \rangle$

**proof** (*rule ccontr*)

**assume** *H*:  $\langle \neg ?thesis \rangle$

**have** *all-struct'*:  $\langle \text{cdcl}_W\text{-restart-mset.cdcl}_W\text{-all-struct-inv } (\text{abs-state } S) \rangle$

**by** (*simp add: all-struct*)

**have** *le*:  $\langle \text{cdcl}_W\text{-restart-mset.cdcl}_W\text{-learned-clause } (\text{abs-state } S) \rangle$

**using** *all-struct*

**unfolding** *cdcl<sub>W</sub>-restart-mset.cdcl<sub>W</sub>-all-struct-inv-def cdcl-bnb-stgy-inv-def*

**by** *auto*

**have**  $\langle \text{conflicting } S = \text{None} \vee \text{conflicting } S = \text{Some } \{\#\} \rangle$

**using** *no-step-cdcl-bnb-stgy[OF n-s all-struct' stgy-inv]* .

**then have** *confl*:  $\langle \text{conflicting } S = \text{None} \rangle$

**using** *H* **by** *blast*

**have**  $\langle \text{no-step cdcl}_W\text{-restart-mset.cdcl}_W\text{-stgy } (\text{abs-state } S) \rangle$

**using** *no-step-cdcl-bnb-cdcl<sub>W</sub>[OF n-s all-struct]*

*cdcl<sub>W</sub>-restart-mset.cdcl<sub>W</sub>-stgy-cdcl<sub>W</sub>* **by** *blast*

**then have** *entail*:  $\langle \text{trail } S \models_{\text{asm}} \text{clauses } S \rangle$

**using** *confl cdcl<sub>W</sub>-restart-mset.cdcl<sub>W</sub>-stgy-final-state-conclusive2[of (abs-state S)*

*all-struct stgy-inv le*

**unfolding** *cdcl<sub>W</sub>-restart-mset.cdcl<sub>W</sub>-all-struct-inv-def cdcl-bnb-stgy-inv-def*

**by** (*auto simp: conflict-is-false-with-level-abs-iff*)

**have**  $\langle \text{total-over-m } (\text{lits-of-l } (\text{trail } S)) \text{ (set-mset (clauses } S)) \rangle$

**using** *cdcl<sub>W</sub>-restart-mset.no-step-cdcl<sub>W</sub>-total[OF no-step-cdcl-bnb-cdcl<sub>W</sub>, of S] all-struct n-s confl*

**unfolding** *cdcl<sub>W</sub>-restart-mset.cdcl<sub>W</sub>-all-struct-inv-def*

**by** *auto*

**with** *can-always-improve entail confl all-struct*



show  $\langle \text{False} \rangle$   
 using  $n\text{-s}$  by (auto simp: cdcl-bnb.simps)  
 qed

lemma full-cdcl-bnb-stgy-no-conflicting-clss-unsat:

assumes

full:  $\langle \text{full cdcl-bnb-stgy } S \ T \rangle$  and

all-struct:  $\langle \text{cdcl}_W\text{-restart-mset.cdcl}_W\text{-all-struct-inv (abs-state } S) \rangle$  and

stgy-inv:  $\langle \text{cdcl-bnb-stgy-inv } S \rangle$  and

ent-init:  $\langle \text{cdcl}_W\text{-restart-mset.cdcl}_W\text{-learned-clauses-entailed-by-init (abs-state } S) \rangle$  and

[simp]:  $\langle \text{conflicting-clss } T = \{\#\} \rangle$

shows  $\langle \text{unsatisfiable (set-mset (init-clss } S)) \rangle$

proof –

have ns:  $\langle \text{no-step cdcl-bnb-stgy } T \rangle$  and

st:  $\langle \text{cdcl-bnb-stgy}^{**} S \ T \rangle$  and

st':  $\langle \text{cdcl-bnb}^{**} S \ T \rangle$  and

ns':  $\langle \text{no-step cdcl-bnb } T \rangle$

using full unfolding full-def apply (blast dest: rtranclp-cdcl-bnb-stgy-cdcl-bnb)+

using full unfolding full-def

by (metis cdcl-bnb.simps cdcl-bnb-conflict cdcl-bnb-conflict-opt cdcl-bnb-improve  
 cdcl-bnb-other' cdcl-bnb-propagate no-conf-prop-impr.elims(3))

have struct-T:  $\langle \text{cdcl}_W\text{-restart-mset.cdcl}_W\text{-all-struct-inv (abs-state } T) \rangle$

using rtranclp-cdcl-bnb-stgy-all-struct-inv[OF st' all-struct] .

have [simp]:  $\langle \text{conflicting-clss } S = \{\#\} \rangle$

using rtranclp-cdcl-bnb-no-conflicting-clss-cdcl\_W[OF st'] by auto

have  $\langle \text{cdcl}_W\text{-restart-mset.cdcl}_W\text{-stgy}^{**} (\text{abs-state } S) (\text{abs-state } T) \rangle$

using rtranclp-cdcl-bnb-stgy-no-conflicting-clss-cdcl\_W-stgy[OF st] by auto

then have  $\langle \text{full cdcl}_W\text{-restart-mset.cdcl}_W\text{-stgy (abs-state } S) (\text{abs-state } T) \rangle$

using no-step-cdcl-bnb-cdcl\_W[OF ns' struct-T] unfolding full-def

by (auto dest: cdcl\_W-restart-mset.cdcl\_W-stgy-cdcl\_W)

moreover have  $\langle \text{cdcl}_W\text{-restart-mset.no-smaller-conf (state-butlast } S) \rangle$

using stgy-inv ent-init

unfolding cdcl\_W-restart-mset.cdcl\_W-all-struct-inv-def conflict-is-false-with-level-abs-iff

cdcl-bnb-stgy-inv-def conflict-is-false-with-level-abs-iff

cdcl\_W-restart-mset.cdcl\_W-stgy-invariant-def

by (auto simp: abs-state-def cdcl\_W-restart-mset-state cdcl-bnb-stgy-inv-def

no-smaller-conf-def cdcl\_W-restart-mset.no-smaller-conf-def clauses-def

cdcl\_W-restart-mset.clauses-def)

ultimately have  $\text{conflicting } T = \text{Some } \{\#\} \wedge \text{unsatisfiable (set-mset (init-clss } S))$

$\vee \text{conflicting } T = \text{None} \wedge \text{trail } T \models_{\text{asm}} \text{init-clss } S$

using cdcl\_W-restart-mset.full-cdcl\_W-stgy-inv-normal-form[of  $\langle \text{abs-state } S \rangle \langle \text{abs-state } T \rangle$ ] all-struct  
 stgy-inv ent-init

unfolding cdcl\_W-restart-mset.cdcl\_W-all-struct-inv-def conflict-is-false-with-level-abs-iff

cdcl-bnb-stgy-inv-def conflict-is-false-with-level-abs-iff

cdcl\_W-restart-mset.cdcl\_W-stgy-invariant-def

by (auto simp: abs-state-def cdcl\_W-restart-mset-state cdcl-bnb-stgy-inv-def)

moreover have  $\langle \text{cdcl-bnb-stgy-inv } T \rangle$

using rtranclp-cdcl-bnb-stgy-stgy-inv[OF st all-struct stgy-inv] .

ultimately show  $\langle ?thesis \rangle$

using no-step-cdcl-bnb-stgy-empty-conflict[OF ns' struct-T] by auto

qed

lemma ocdcl\_W-o-no-smaller-propa:

assumes  $\langle \text{ocdcl}_W\text{-o } S \ T \rangle$  and

```

  inv:  $\langle \text{cdcl}_W\text{-restart-mset.cdcl}_W\text{-all-struct-inv } (\text{abs-state } S) \rangle$  and
  smaller-propa:  $\langle \text{no-smaller-propa } S \rangle$  and
  n-s:  $\langle \text{no-confl-prop-impr } S \rangle$ 
shows  $\langle \text{no-smaller-propa } T \rangle$ 
using assms(1)
proof cases
case decide
show ?thesis
  unfolding no-smaller-propa-def
proof clarify
fix M K M' D L
assume
  tr:  $\langle \text{trail } T = M' @ \text{Decided } K \# M \rangle$  and
  D:  $\langle D + \{\#L\} \in \# \text{ clauses } T \rangle$  and
  undef:  $\langle \text{undefined-lit } M L \rangle$  and
  M:  $\langle M \models_{\text{as}} \text{CNot } D \rangle$ 
then have  $\langle \text{Ex } (\text{propagate } S) \rangle$ 
  apply (cases M')
  using propagate-rule[of S  $\langle D + \{\#L\} \rangle$  L  $\langle \text{cons-trail } (\text{Propagated } L (D + \{\#L\})) S \rangle$ ]
  smaller-propa decide
  by (auto simp: no-smaller-propa-def elim!: rulesE)
then show False
  using n-s unfolding no-confl-prop-impr.simps by blast
qed
next
case bj
then show ?thesis
proof cases
case skip
then show ?thesis
  using assms no-smaller-propa-tl[of S T]
  by (auto simp: cdcl-bnb-bj.simps ocdclW-o.simps obacktrack.simps elim!: rulesE)
next
case resolve
then show ?thesis
  using assms no-smaller-propa-tl[of S T]
  by (auto simp: cdcl-bnb-bj.simps ocdclW-o.simps obacktrack.simps elim!: rulesE)
next
case backtrack
have inv-T:  $\langle \text{cdcl}_W\text{-restart-mset.cdcl}_W\text{-all-struct-inv } (\text{abs-state } T) \rangle$ 
  using cdclW-restart-mset.cdclW-stgy-cdclW-all-struct-inv inv assms(1)
  using cdcl-bnb-stgy-all-struct-inv cdcl-other' by blast
obtain D D' ::  $\langle 'v \text{ clause} \rangle$  and K L ::  $\langle 'v \text{ literal} \rangle$  and
  M1 M2 ::  $\langle ('v, 'v \text{ clause}) \text{ ann-lit list} \rangle$  and i :: nat where
 $\langle \text{conflicting } S = \text{Some } (\text{add-mset } L D) \rangle$  and
  decomp:  $\langle (\text{Decided } K \# M1, M2) \in \text{set } (\text{get-all-ann-decomposition } (\text{trail } S)) \rangle$  and
 $\langle \text{get-level } (\text{trail } S) L = \text{backtrack-lvl } S \rangle$  and
 $\langle \text{get-level } (\text{trail } S) L = \text{get-maximum-level } (\text{trail } S) (\text{add-mset } L D') \rangle$  and
  i:  $\langle \text{get-maximum-level } (\text{trail } S) D' \equiv i \rangle$  and
  lev-K:  $\langle \text{get-level } (\text{trail } S) K = i + 1 \rangle$  and
  D-D':  $\langle D' \subseteq \# D \rangle$  and
  T:  $T \sim \text{cons-trail } (\text{Propagated } L (\text{add-mset } L D'))$ 
  (reduce-trail-to M1
    (add-learned-cls (add-mset L D')
      (update-conflicting None S)))
using backtrack by (auto elim!: obacktrackE)

```

```

let ?D' = ⟨add-mset L D'⟩
have [simp]: ⟨trail (reduce-trail-to M1 S) = M1⟩
  using decomp by auto
obtain M'' c where M'': ⟨trail S = M'' @ tl (trail T)⟩ and c: ⟨M'' = c @ M2 @ [Decided K]⟩
  using decomp T by auto
have M1: ⟨M1 = tl (trail T)⟩ and tr-T: ⟨trail T = Propagated L ?D' # M1⟩
  using decomp T by auto
have lev-inv: ⟨cdclW-restart-mset.cdclW-M-level-inv (abs-state S)⟩
  using inv unfolding cdclW-restart-mset.cdclW-all-struct-inv-def by auto
then have lev-inv-T: ⟨cdclW-restart-mset.cdclW-M-level-inv (abs-state T)⟩
  using inv-T unfolding cdclW-restart-mset.cdclW-all-struct-inv-def by auto
have n-d: ⟨no-dup (trail S)⟩
  using lev-inv unfolding cdclW-restart-mset.cdclW-M-level-inv-def
  by (auto simp: abs-state-def trail.simps)
have n-d-T: ⟨no-dup (trail T)⟩
  using lev-inv-T unfolding cdclW-restart-mset.cdclW-M-level-inv-def
  by (auto simp: abs-state-def trail.simps)

have i-lvl: ⟨i = backtrack-lvl T⟩
  using no-dup-append-in-atm-notin[of ⟨c @ M2⟩ ⟨Decided K # tl (trail T)⟩ K]
  n-d lev-K unfolding c M'' by (auto simp: image-Un tr-T)

from backtrack show ?thesis
  unfolding no-smaller-propa-def
proof clarify
  fix M K' M' E' L'
  assume
    tr: ⟨trail T = M' @ Decided K' # M⟩ and
    E: ⟨E' + {#L'#} ∈ # clauses T⟩ and
    undef: ⟨undefined-lit M L'⟩ and
    M: ⟨M ⊨as CNot E'⟩
  have False if D: ⟨add-mset L D' = add-mset L' E'⟩ and M-D: ⟨M ⊨as CNot E'⟩
  proof -
    have ⟨i ≠ 0⟩
      using i-lvl tr T by auto
    moreover {
      have ⟨M1 ⊨as CNot D'⟩
        using inv-T tr-T unfolding cdclW-restart-mset.cdclW-all-struct-inv-def
        cdclW-restart-mset.cdclW-conflicting-def
        by (force simp: abs-state-def trail.simps conflicting.simps)
      then have ⟨get-maximum-level M1 D' = i⟩
        using T i n-d D-D' unfolding M'' tr-T
        by (subst (asm) get-maximum-level-skip-beginning)
        (auto dest: defined-lit-no-dupD dest!: true-annots-CNot-definedD) }
    ultimately obtain L-max where
      L-max-in: ⟨L-max ∈ # D'⟩ and
      lev-L-max: ⟨get-level M1 L-max = i⟩
      using i get-maximum-level-exists-lit-of-max-level[of D' M1]
      by (cases D') auto
    have count-dec-M: ⟨count-decided M < i⟩
      using T i-lvl unfolding tr by auto
    have ⟨- L-max ∉ lits-of-l M⟩
    proof (rule ccontr)
      assume ⟨¬ ?thesis⟩
      then have ⟨undefined-lit (M' @ [Decided K]) L-max⟩
        using n-d-T unfolding tr

```

```

    by (auto dest: in-lits-of-l-defined-litD dest: defined-lit-no-dupD simp: atm-of-eq-atm-of)
  then have ⟨get-level (tl M' @ Decided K' # M) L-max < i⟩
    apply (subst get-level-skip)
    apply (cases M'; auto simp add: atm-of-eq-atm-of lits-of-def; fail)
    using count-dec-M count-decided-ge-get-level[of M L-max] by auto
  then show False
    using lev-L-max tr unfolding tr-T by (auto simp: propagated-cons-eq-append-decide-cons)
qed
moreover have ⟨- L ∉ lits-of-l M⟩
proof (rule ccontr)
  define MM where MM = tl M'
  assume ⟨¬ ?thesis⟩
  then have ⟨- L ∉ lits-of-l (M' @ [Decided K'])⟩
    using n-d-T unfolding tr by (auto simp: lits-of-def no-dup-def)
  have ⟨undefined-lit (M' @ [Decided K']) L⟩
    apply (rule no-dup-uminus-append-in-atm-notin)
    using n-d-T ⟨¬ - L ∉ lits-of-l M⟩ unfolding tr by auto
  moreover have ⟨M' = Propagated L ?D' # MM⟩
    using tr-T MM-def by (metis hd-Cons-tl propagated-cons-eq-append-decide-cons tr)
  ultimately show False
    by simp
qed
moreover have ⟨L-max ∈# D' ∨ L ∈# D'⟩
  using D L-max-in by (auto split: if-splits)
ultimately show False
  using M-D D by (auto simp: true-annots-true-clss true-clss-def add-mset-eq-add-mset)
qed
then show False
  using M'' smaller-propa tr undef M T E
  by (cases M') (auto simp: no-smaller-propa-def trivial-add-mset-remove-iff elim!: rulesE)
qed
qed
qed

```

```

lemma ocdclW-no-smaller-propa:
  assumes ⟨cdcl-bnb-stgy S T⟩ and
    inv: ⟨cdclW-restart-mset.cdclW-all-struct-inv (abs-state S)⟩ and
    smaller-propa: ⟨no-smaller-propa S⟩ and
    n-s: ⟨no-confl-prop-impr S⟩
  shows ⟨no-smaller-propa T⟩
  using assms
  apply (cases)
  subgoal by (auto)
  subgoal by (auto)
  subgoal by (auto elim!: improveE simp: no-smaller-propa-def)
  subgoal by (auto elim!: conflict-optE simp: no-smaller-propa-def)
  subgoal using ocdclW-o-no-smaller-propa by fast
done

```

Unfortunately, we cannot reuse the proof we have already done.

```

lemma ocdclW-no-relearning:
  assumes ⟨cdcl-bnb-stgy S T⟩ and
    inv: ⟨cdclW-restart-mset.cdclW-all-struct-inv (abs-state S)⟩ and
    smaller-propa: ⟨no-smaller-propa S⟩ and
    n-s: ⟨no-confl-prop-impr S⟩ and
    dist: ⟨distinct-mset (clauses S)⟩

```

```

shows ⟨distinct-mset (clauses T)⟩
using assms(1)
proof cases
  case cdcl-bnb-conflict
  then show ?thesis using dist by (auto elim: rulesE)
next
  case cdcl-bnb-propagate
  then show ?thesis using dist by (auto elim: rulesE)
next
  case cdcl-bnb-improve
  then show ?thesis using dist by (auto elim: improveE)
next
  case cdcl-bnb-conflict-opt
  then show ?thesis using dist by (auto elim: conflict-optE)
next
  case cdcl-bnb-other'
  then show ?thesis
proof cases
  case decide
  then show ?thesis using dist by (auto elim: rulesE)
next
  case bj
  then show ?thesis
proof cases
  case skip
  then show ?thesis using dist by (auto elim: rulesE)
next
  case resolve
  then show ?thesis using dist by (auto elim: rulesE)
next
  case backtrack
  have smaller-propa: ⟨ $\bigwedge M K M' D L.$ 
    trail  $S = M' @ Decided K \# M \implies$ 
     $D + \{\#L\} \in \# \text{ clauses } S \implies \text{undefined-lit } M L \implies \neg M \models_{as} CNot D$ ⟩
  using smaller-propa unfolding no-smaller-propa-def by fast
  have inv: ⟨cdclW-restart-mset.cdclW-all-struct-inv (abs-state T)⟩
  using inv
  using cdclW-restart-mset.cdclW-stgy-cdclW-all-struct-inv inv assms(1)
  using cdcl-bnb-stgy-all-struct-inv cdcl-other' backtrack ocdclW-o.intros
  cdcl-bnb-bj.intros
  by blast
  then have n-d: ⟨no-dup (trail T)⟩ and
  ent: ⟨ $\bigwedge L \text{ mark } a b.$ 
     $a @ \text{Propagated } L \text{ mark} \# b = \text{trail } T \implies$ 
     $b \models_{as} CNot (\text{remove1-mset } L \text{ mark}) \wedge L \in \# \text{ mark}$ ⟩
  unfolding cdclW-restart-mset.cdclW-M-level-inv-def
  cdclW-restart-mset.cdclW-all-struct-inv-def
  cdclW-restart-mset.cdclW-conflicting-def
  by (auto simp: abs-state-def trail.simps)
show ?thesis
proof (rule ccontr)
  assume H: ⟨ $\neg ?thesis$ ⟩
  obtain D D' :: ⟨'v clause⟩ and K L :: ⟨'v literal⟩ and
  M1 M2 :: ⟨('v, 'v clause) ann-lit list⟩ and i :: nat where
  ⟨conflicting  $S = \text{Some } (\text{add-mset } L D)$ ⟩ and
  decomp: ⟨( $Decided K \# M1, M2$ ) ∈ set (get-all-ann-decomposition (trail S))⟩ and

```

```

    ⟨get-level (trail S) L = backtrack-lvl S⟩ and
    ⟨get-level (trail S) L = get-maximum-level (trail S) (add-mset L D')⟩ and
    i: ⟨get-maximum-level (trail S) D' ≡ i⟩ and
    lev-K: ⟨get-level (trail S) K = i + 1⟩ and
    D-D': ⟨D' ⊆# D⟩ and
    T: T ~ cons-trail (Propagated L (add-mset L D'))
      (reduce-trail-to M1
        (add-learned-cls (add-mset L D')
          (update-conflicting None S)))
    using backtrack by (auto elim!: obacktrackE)
  from H T dist have LD': ⟨add-mset L D' ∈# clauses S⟩
    by auto
  have ⟨¬M1 ⊨as CNot D'⟩
    using get-all-ann-decomposition-exists-prepend[OF decomp] apply (elim exE)
    by (rule smaller-propa[of ⟨- @ M2⟩ K M1 D' L])
      (use n-d T decomp LD' in auto)
  moreover have ⟨M1 ⊨as CNot D'⟩
    using ent[of ⟨[]⟩ L ⟨add-mset L D' M1⟩ T decomp] by auto
  ultimately show False
..
qed
qed
qed
qed

```

**lemma full-cdcl-bnb-stgy-unsat:**

**assumes**

*st*: ⟨full cdcl-bnb-stgy S T⟩ and

*all-struct*: ⟨cdcl<sub>W</sub>-restart-mset.cdcl<sub>W</sub>-all-struct-inv (abs-state S)⟩ and

*opt-struct*: ⟨cdcl-bnb-struct-invs S⟩ and

*stgy-inv*: ⟨cdcl-bnb-stgy-inv S⟩

**shows**

⟨unsatisfiable (set-mset (clauses T + conflicting-cls T))⟩

**proof** –

**have** *ns*: ⟨no-step cdcl-bnb-stgy T⟩ and

*st*: ⟨cdcl-bnb-stgy\*\* S T⟩ and

*st'*: ⟨cdcl-bnb\*\* S T⟩

**using** *st* **unfolding** full-def **by** (auto intro: rtrancpl-cdcl-bnb-stgy-cdcl-bnb)

**have** *ns'*: ⟨no-step cdcl-bnb T⟩

**by** (meson cdcl-bnb.cases cdcl-bnb-stgy.simps no-conf-prop-impr.elims(3) *ns*)

**have** *struct-T*: ⟨cdcl<sub>W</sub>-restart-mset.cdcl<sub>W</sub>-all-struct-inv (abs-state T)⟩

**using** rtrancpl-cdcl-bnb-stgy-all-struct-inv[OF *st'* all-struct] .

**have** *stgy-T*: ⟨cdcl-bnb-stgy-inv T⟩

**using** rtrancpl-cdcl-bnb-stgy-stgy-inv[OF *st* all-struct *stgy-inv*] .

**have** *confl*: ⟨conflicting T = Some {#}⟩

**using** no-step-cdcl-bnb-stgy-empty-conflict[OF *ns'* *struct-T* *stgy-T*] .

**have** ⟨cdcl<sub>W</sub>-restart-mset.cdcl<sub>W</sub>-learned-clause (abs-state T)⟩ and

*alien*: ⟨cdcl<sub>W</sub>-restart-mset.no-strange-atm (abs-state T)⟩

**using** *struct-T* **unfolding** cdcl<sub>W</sub>-restart-mset.cdcl<sub>W</sub>-all-struct-inv-def **by** fast+

**then** **have** *ent'*: ⟨set-mset (clauses T + conflicting-cls T) ⊨<sub>p</sub> {#}⟩

**using** *confl* **unfolding** cdcl<sub>W</sub>-restart-mset.cdcl<sub>W</sub>-learned-clause-alt-def

**by** auto

**then** **show** ⟨unsatisfiable (set-mset (clauses T + conflicting-cls T))⟩

**unfolding** true-clss-cls-def satisfiable-def **by** auto

qed

end

**lemma** *cdcl-bnb-reasons-in-clauses*:

⟨*cdcl-bnb* *S T* ⟹ *reasons-in-clauses S* ⟹ *reasons-in-clauses T*⟩  
**by** (*auto simp*: *cdcl-bnb.simps reasons-in-clauses-def ocdcl<sub>W</sub>-o.simps*  
    *cdcl-bnb-bj.simps get-all-mark-of-propagated-tl-proped*  
    *elim!*: *rulesE improveE conflict-optE obacktrackE*  
    *dest!*: *in-set-tlD get-all-ann-decomposition-exists-prepend*)

**lemma** *cdcl-bnb-pow2-n-learned-clauses*:

**assumes** ⟨*distinct-mset-mset N*⟩  
    ⟨*cdcl-bnb*\*\* (*init-state N*) *T*⟩  
**shows** ⟨*size (learned-clss T)* ≤ 2<sup>^</sup> (*card (atms-of-mm N)*)⟩

**proof** –

**have** *H*: ⟨*cdcl<sub>W</sub>-restart-mset.cdcl<sub>W</sub>-all-struct-inv (abs-state (init-state N))*⟩  
**using** *assms apply* (*auto simp*: *cdcl<sub>W</sub>-restart-mset.cdcl<sub>W</sub>-all-struct-inv-def*  
    *cdcl<sub>W</sub>-restart-mset.distinct-cdcl<sub>W</sub>-state-def cdcl<sub>W</sub>-restart-mset.cdcl<sub>W</sub>-learned-clause-def*  
    *cdcl<sub>W</sub>-restart-mset.reasons-in-clauses-def*)  
**using** *assms by* (*auto simp*: *cdcl<sub>W</sub>-restart-mset.cdcl<sub>W</sub>-all-struct-inv-def*  
    *distinct-mset-mset-conflicting-clss*  
    *cdcl<sub>W</sub>-restart-mset.distinct-cdcl<sub>W</sub>-state-def abs-state-def init-clss.simps*)  
**then obtain** *Na* **where** *Na*: ⟨ *cdcl<sub>W</sub>-restart-mset.cdcl<sub>W</sub>\*\**  
    (*trail (init-state N), init-clss (init-state N) + Na,*  
    *learned-clss (init-state N), conflicting (init-state N)*)  
    (*abs-state T*) ∧  
    *CDCL-W-Abstract-State.init-clss (abs-state T) = init-clss (init-state N) + Na*⟩  
**using** *rtranclp-cdcl-or-improve-cdclD*[*OF H assms(2)*] **by** *auto*  
**moreover have** ⟨*cdcl<sub>W</sub>-restart-mset.cdcl<sub>W</sub>-all-struct-inv ([], N + Na, {#}, None)*⟩  
**using** *assms Na rtranclp-cdcl-bnb-no-more-init-clss*[*OF assms(2)*]  
**apply** (*auto simp*: *cdcl<sub>W</sub>-restart-mset.cdcl<sub>W</sub>-all-struct-inv-def*  
    *cdcl<sub>W</sub>-restart-mset.distinct-cdcl<sub>W</sub>-state-def cdcl<sub>W</sub>-restart-mset.cdcl<sub>W</sub>-learned-clause-def*  
    *cdcl<sub>W</sub>-restart-mset.reasons-in-clauses-def*)  
**using** *assms by* (*auto simp*: *cdcl<sub>W</sub>-restart-mset.cdcl<sub>W</sub>-all-struct-inv-def cdcl<sub>W</sub>-restart-mset-state*  
    *distinct-mset-mset-conflicting-clss cdcl<sub>W</sub>-restart-mset.no-strange-atm-def cdcl<sub>W</sub>-restart-mset.cdcl<sub>W</sub>-M-level-inv-def*  
    *cdcl<sub>W</sub>-restart-mset.cdcl<sub>W</sub>-conflicting-def*  
    *cdcl<sub>W</sub>-restart-mset.distinct-cdcl<sub>W</sub>-state-def abs-state-def init-clss.simps*)  
**ultimately show** *?thesis*  
**using** *rtranclp-cdcl-bnb-no-more-init-clss*[*OF assms(2)*]  
    *cdcl<sub>W</sub>-restart-mset.cdcl-pow2-n-learned-clauses2*[*of* ⟨*N + Na*⟩ ⟨*abs-state T*⟩]  
**by** (*auto simp*: *init-state.simps abs-state-def cdcl<sub>W</sub>-restart-mset-state*)

qed

end

end

**theory** *CDCL-W-Optimal-Model*

**imports** *CDCL-W-BnB HOL–Library.Extended-Nat*

**begin**

## OCDCL

The following datatype is equivalent to *'a option*. However, it has the opposite ordering. Therefore, I decided to use a different type instead of have a second order which conflicts with `~~/src/HOL/Library/Option_ord.thy`.

**datatype** *'a optimal-model* = *Not-Found* | *is-found: Found* (*the-optimal: 'a*)

**instantiation** *optimal-model* :: (*ord*) *ord*

**begin**

**fun** *less-optimal-model* :: *'a* :: *ord* *optimal-model*  $\Rightarrow$  *'a optimal-model*  $\Rightarrow$  *bool* **where**

$\langle \text{less-optimal-model } \text{Not-Found } - = \text{False} \rangle$

|  $\langle \text{less-optimal-model } (\text{Found } -) \text{ Not-Found } \longleftrightarrow \text{True} \rangle$

|  $\langle \text{less-optimal-model } (\text{Found } a) (\text{Found } b) \longleftrightarrow a < b \rangle$

**fun** *less-eq-optimal-model* :: *'a* :: *ord* *optimal-model*  $\Rightarrow$  *'a optimal-model*  $\Rightarrow$  *bool* **where**

$\langle \text{less-eq-optimal-model } \text{Not-Found } \text{Not-Found} = \text{True} \rangle$

|  $\langle \text{less-eq-optimal-model } \text{Not-Found } (\text{Found } -) = \text{False} \rangle$

|  $\langle \text{less-eq-optimal-model } (\text{Found } -) \text{ Not-Found } \longleftrightarrow \text{True} \rangle$

|  $\langle \text{less-eq-optimal-model } (\text{Found } a) (\text{Found } b) \longleftrightarrow a \leq b \rangle$

**instance**

**by** *standard*

**end**

**instance** *optimal-model* :: (*preorder*) *preorder*

**apply** *standard*

**subgoal for** *a b*

**by** (*cases a; cases b*) (*auto simp: less-le-not-le*)

**subgoal for** *a*

**by** (*cases a*) *auto*

**subgoal for** *a b c*

**by** (*cases a; cases b; cases c*) (*auto dest: order-trans*)

**done**

**instance** *optimal-model* :: (*order*) *order*

**apply** *standard*

**subgoal for** *a b*

**by** (*cases a; cases b*) (*auto simp: less-le-not-le*)

**done**

**instance** *optimal-model* :: (*linorder*) *linorder*

**apply** *standard*

**subgoal for** *a b*

**by** (*cases a; cases b*) (*auto simp: less-le-not-le*)

**done**

**instantiation** *optimal-model* :: (*wellorder*) *wellorder*

**begin**

**lemma** *wf-less-optimal-model*:  $\langle \text{wf } \{(M :: 'a \text{ optimal-model}, N). M < N\} \rangle$

**proof** –

**have** 1:  $\langle \{(M :: 'a \text{ optimal-model}, N). M < N\} =$

$\text{map-prod } \text{Found } \text{Found } ' \{(M :: 'a, N). M < N\} \cup$

$\{(a, b). a \neq \text{Not-Found} \wedge b = \text{Not-Found}\} \rangle$  (**is**  $\langle ?A = ?B \cup ?C \rangle$ )



```

  apply (auto simp: image-iff)
  apply (case-tac a; case-tac b)
  apply auto
  apply (case-tac a)
  apply auto
  done
have [simp]: ⟨inj Found⟩
  by (auto simp: inj-on-def)
have ⟨wf ?B⟩
  by (rule wf-map-prod-image) (auto intro: wf)
moreover have ⟨wf ?C⟩
  by (rule wfI-pf) auto
ultimately show ⟨wf (?A)⟩
  unfolding 1
  by (rule wf-Un) (auto)
qed

instance by standard (metis CollectI split-conv wf-def wf-less-optimal-model)

end

```

This locale includes only the assumption we make on the weight function.

```

locale ocdcl-weight =
  fixes
     $\varrho :: \langle 'v \text{ clause} \Rightarrow 'a :: \{\text{linorder}\} \rangle$ 
  assumes
     $\varrho\text{-mono}: \langle \text{distinct-mset } B \Longrightarrow A \subseteq\# B \Longrightarrow \varrho A \leq \varrho B \rangle$ 
begin

lemma  $\varrho\text{-empty-simp}[simp]$ :
  assumes ⟨consistent-interp (set-mset A)⟩ ⟨distinct-mset A⟩
  shows  $\langle \varrho A \geq \varrho \{\#\} \rangle \langle \neg \varrho A < \varrho \{\#\} \rangle \langle \varrho A \leq \varrho \{\#\} \longleftrightarrow \varrho A = \varrho \{\#\} \rangle$ 
  using  $\varrho\text{-mono}[of A \ \langle \{\#\} \rangle]$  assms
  by auto

abbreviation  $\varrho' :: \langle 'v \text{ clause option} \Rightarrow 'a \text{ optimal-model} \rangle$  where
   $\langle \varrho' w \equiv (\text{case } w \text{ of None} \Rightarrow \text{Not-Found} \mid \text{Some } w \Rightarrow \text{Found } (\varrho w)) \rangle$ 

```

```

definition is-improving-int
  :: ⟨'v literal, 'v literal, 'b⟩ annotated-lits  $\Rightarrow$  ⟨'v literal, 'v literal, 'b⟩ annotated-lits  $\Rightarrow$  'v clauses  $\Rightarrow$ 
    'v clause option  $\Rightarrow$  bool

```

```

where
   $\langle \text{is-improving-int } M M' N w \longleftrightarrow \text{Found } (\varrho (\text{lit-of } \# \text{ mset } M')) < \varrho' w \wedge$ 
     $M' \models_{asm} N \wedge \text{no-dup } M' \wedge$ 
     $\text{lit-of } \# \text{ mset } M' \in \text{simple-clss } (\text{atms-of-mm } N) \wedge$ 
     $\text{total-over-m } (\text{lits-of-l } M') (\text{set-mset } N) \wedge$ 
     $(\forall M'. \text{total-over-m } (\text{lits-of-l } M') (\text{set-mset } N) \longrightarrow \text{mset } M \subseteq\# \text{mset } M' \longrightarrow$ 
     $\text{lit-of } \# \text{ mset } M' \in \text{simple-clss } (\text{atms-of-mm } N) \longrightarrow$ 
     $\varrho (\text{lit-of } \# \text{ mset } M') = \varrho (\text{lit-of } \# \text{ mset } M)) \rangle$ 

```

```

definition too-heavy-clauses
  :: ⟨'v clauses  $\Rightarrow$  'v clause option  $\Rightarrow$  'v clauses⟩
where
   $\langle \text{too-heavy-clauses } M w =$ 
     $\{\#pNeg C \mid C \in\# \text{mset-set } (\text{simple-clss } (\text{atms-of-mm } M)). \varrho' w \leq \text{Found } (\varrho C)\#\} \rangle$ 

```

**definition** *conflicting-clauses*

$:: \langle 'v \text{ clauses} \Rightarrow 'v \text{ clause option} \Rightarrow 'v \text{ clauses} \rangle$

**where**

$\langle \text{conflicting-clauses } N \ w = \{ \#C \in \# \text{ mset-set } (\text{simple-clss } (\text{atms-of-mm } N)). \text{ too-heavy-clauses } N \ w \models_{pm} C \# \} \rangle$

**lemma** *too-heavy-clauses-conflicting-clauses:*

$\langle C \in \# \text{ too-heavy-clauses } M \ w \implies C \in \# \text{ conflicting-clauses } M \ w \rangle$

**by** (auto simp: conflicting-clauses-def too-heavy-clauses-def simple-clss-finite)

**lemma** *too-heavy-clauses-contains-itself:*

$\langle M \in \text{simple-clss } (\text{atms-of-mm } N) \implies pNeg \ M \in \# \text{ too-heavy-clauses } N \ (\text{Some } M) \rangle$

**by** (auto simp: too-heavy-clauses-def simple-clss-finite)

**lemma** *too-heavy-clause-None[simp]:*  $\langle \text{too-heavy-clauses } M \ \text{None} = \{ \# \} \rangle$

**by** (auto simp: too-heavy-clauses-def)

**lemma** *atms-of-mm-too-heavy-clauses-le:*

$\langle \text{atms-of-mm } (\text{too-heavy-clauses } M \ I) \subseteq \text{atms-of-mm } M \rangle$

**by** (auto simp: too-heavy-clauses-def atms-of-ms-def simple-clss-finite dest: simple-clssE)

**lemma**

*atms-too-heavy-clauses-None:*

$\langle \text{atms-of-mm } (\text{too-heavy-clauses } M \ \text{None}) = \{ \} \rangle$  **and**

*atms-too-heavy-clauses-Some:*

$\langle \text{atms-of } w \subseteq \text{atms-of-mm } M \implies \text{distinct-mset } w \implies \neg \text{tautology } w \implies \text{atms-of-mm } (\text{too-heavy-clauses } M \ (\text{Some } w)) = \text{atms-of-mm } M \rangle$

**proof** –

**show**  $\langle \text{atms-of-mm } (\text{too-heavy-clauses } M \ \text{None}) = \{ \} \rangle$

**by** (auto simp: too-heavy-clauses-def)

**assume** *atms:*  $\langle \text{atms-of } w \subseteq \text{atms-of-mm } M \rangle$  **and**

*dist:*  $\langle \text{distinct-mset } w \rangle$  **and**

*taut:*  $\langle \neg \text{tautology } w \rangle$

**have**  $\langle \text{atms-of-mm } (\text{too-heavy-clauses } M \ (\text{Some } w)) \subseteq \text{atms-of-mm } M \rangle$

**by** (auto simp: too-heavy-clauses-def atms-of-ms-def simple-clss-finite)

(auto simp: simple-clss-def)

**let**  $?w = \langle w + Neg \ \{ \# \{ x \in \# \text{ mset-set } (\text{atms-of-mm } M). \ x \notin \text{atms-of } w \# \} \} \rangle$

**have** [simp]:  $\langle \text{inj-on } Neg \ A \rangle$  **for** *A*

**by** (auto simp: inj-on-def)

**have** *dist:*  $\langle \text{distinct-mset } ?w \rangle$

**using** *dist*

**by** (auto simp: distinct-mset-add distinct-image-mset-inj distinct-mset-mset-set uminus-lit-swap disjunct-not-in dest: multi-member-split)

**moreover** **have** *not-tauto:*  $\langle \neg \text{tautology } ?w \rangle$

**by** (auto simp: tautology-union taut uminus-lit-swap dest: multi-member-split)

**ultimately** **have**  $\langle ?w \in (\text{simple-clss } (\text{atms-of-mm } M)) \rangle$

**using** *atms* **by** (auto simp: simple-clss-def)

**moreover** **have**  $\langle \varrho \ ?w \geq \varrho \ w \rangle$

**by** (rule  $\varrho$ -mono) (use *dist not-tauto* **in** (auto simp: consistent-interp-tautology-mset-set tautology-decomp))

**ultimately** **have**  $\langle pNeg \ ?w \in \# \text{ too-heavy-clauses } M \ (\text{Some } w) \rangle$

**by** (auto simp: too-heavy-clauses-def simple-clss-finite)

**then** **have**  $\langle \text{atms-of-mm } M \subseteq \text{atms-of-mm } (\text{too-heavy-clauses } M \ (\text{Some } w)) \rangle$

**by** (auto dest!: multi-member-split)

**then** **show**  $\langle \text{atms-of-mm } (\text{too-heavy-clauses } M \ (\text{Some } w)) = \text{atms-of-mm } M \rangle$

**using**  $\langle \text{atms-of-mm } (\text{too-heavy-clauses } M \ (\text{Some } w)) \subseteq \text{atms-of-mm } M \rangle$  **by** blast

**qed**

**lemma** *entails-too-heavy-clauses-too-heavy-clauses:*

**assumes**

$\langle \text{consistent-interp } I \rangle$  **and**

$\text{tot}: \langle \text{total-over-}m \ I \ (\text{set-mset} \ (\text{too-heavy-clauses } M \ w)) \rangle$  **and**

$\langle I \models_m \text{too-heavy-clauses } M \ w \rangle$  **and**

$w: \langle w \neq \text{None} \implies \text{atms-of} \ (\text{the } w) \subseteq \text{atms-of-mm } M \rangle$

$\langle w \neq \text{None} \implies \neg \text{tautology} \ (\text{the } w) \rangle$

$\langle w \neq \text{None} \implies \text{distinct-mset} \ (\text{the } w) \rangle$

**shows**  $\langle I \models_m \text{conflicting-clauses } M \ w \rangle$

**proof** (*cases*  $w$ )

**case** *None*

**have** [*simp*]:  $\langle \{x \in \text{simple-clss} \ (\text{atms-of-mm } M). \text{tautology } x\} = \{\}\rangle$

**by** (*auto dest: simple-clssE*)

**show** *?thesis*

**using** *None by (auto simp: conflicting-clauses-def true-clss-cls-tautology-iff simple-clss-finite)*

**next**

**case**  $w': (\text{Some } w')$

**have**  $\langle x \in \# \text{mset-set} \ (\text{simple-clss} \ (\text{atms-of-mm } M)) \implies \text{total-over-set } I \ (\text{atms-of } x) \rangle$  **for**  $x$

**using** *tot w atms-too-heavy-clauses-Some[of w' M] unfolding w'*

**by** (*auto simp: total-over-m-def simple-clss-finite total-over-set-alt-def dest!: simple-clssE*)

**then show** *?thesis*

**using** *assms*

**by** (*subst true-clss-mset-def*)

(*auto simp: conflicting-clauses-def true-clss-clss-def dest!: spec[of - I]*)

**qed**

**lemma** *not-entailed-too-heavy-clauses-ge:*

$\langle C \in \text{simple-clss} \ (\text{atms-of-mm } N) \implies \neg \text{too-heavy-clauses } N \ w \models_{pm} p\text{Neg } C \implies \neg \text{Found} \ (\varrho \ C) \geq \varrho' \ w \rangle$

**using** *true-clss-clss-in[of (pNeg C) (set-mset (too-heavy-clauses N w))]*  
*too-heavy-clauses-contains-itself*

**by** (*auto simp: too-heavy-clauses-def simple-clss-finite image-iff*)

**lemma** *conflicting-clss-incl-init-clauses:*

$\langle \text{atms-of-mm} \ (\text{conflicting-clauses } N \ w) \subseteq \text{atms-of-mm} \ (N) \rangle$

**unfolding** *conflicting-clauses-def*

**apply** (*auto simp: simple-clss-finite*)

**by** (*auto simp: simple-clss-def atms-of-ms-def split: if-splits*)

**lemma** *distinct-mset-mset-conflicting-clss2:*  $\langle \text{distinct-mset-mset} \ (\text{conflicting-clauses } N \ w) \rangle$

**unfolding** *conflicting-clauses-def distinct-mset-set-def*

**apply** (*auto simp: simple-clss-finite*)

**by** (*auto simp: simple-clss-def*)

**lemma** *too-heavy-clauses-mono:*

$\langle \varrho \ a > \varrho \ (\text{lit-of } \# \text{mset } M) \implies \text{too-heavy-clauses } N \ (\text{Some } a) \subseteq \# \text{too-heavy-clauses } N \ (\text{Some } (\text{lit-of } \# \text{mset } M)) \rangle$

**by** (*auto simp: too-heavy-clauses-def multiset-filter-mono2 intro!: multiset-filter-mono image-mset-subseteq-mono*)

**lemma** *is-improving-conflicting-clss-update-weight-information:*  $\langle \text{is-improving-int } M \ M' \ N \ w \implies$

$\text{conflicting-clauses } N \ w \subseteq \# \text{ conflicting-clauses } N \ (\text{Some } (\text{lit-of } \text{'\# mset } M'))$   
**using** *too-heavy-clauses-mono*[of  $M'$   $\langle \text{the } w \rangle \langle N \rangle$ ]  
**by** (*cases*  $\langle w \rangle$ )  
 (*auto simp: is-improving-int-def conflicting-clauses-def multiset-filter-mono2*  
*intro!: image-mset-subseteq-mono*  
*intro: true-clss-clss-subset*  
*dest: simple-clssE*)

**lemma** *conflicting-clss-update-weight-information-in2*:  
**assumes**  $\langle \text{is-improving-int } M \ M' \ N \ w \rangle$   
**shows**  $\langle \text{negate-ann-lits } M' \in \# \text{ conflicting-clauses } N \ (\text{Some } (\text{lit-of } \text{'\# mset } M')) \rangle$   
**using** *assms apply* (*auto simp: simple-clss-finite*  
*conflicting-clauses-def is-improving-int-def*)  
**by** (*auto simp: is-improving-int-def conflicting-clauses-def multiset-filter-mono2 simple-clss-def*  
*lits-of-def negate-ann-lits-pNeg-lit-of image-iff dest: total-over-m-atms-incl*  
*intro!: true-clss-clss-in too-heavy-clauses-contains-itself*)

**lemma** *atms-of-init-clss-conflicting-clauses'[simp]*:  
 $\langle \text{atms-of-mm } N \cup \text{atms-of-mm } (\text{conflicting-clauses } N \ S) = \text{atms-of-mm } N \rangle$   
**using** *conflicting-clss-incl-init-clauses*[of  $N$ ] **by** *blast*

**lemma** *entails-too-heavy-clauses-if-le*:

**assumes**  
*dist*:  $\langle \text{distinct-mset } I \rangle$  **and**  
*cons*:  $\langle \text{consistent-interp } (\text{set-mset } I) \rangle$  **and**  
*tot*:  $\langle \text{atms-of } I = \text{atms-of-mm } N \rangle$  **and**  
*le*:  $\langle \text{Found } (\varrho \ I) < \varrho' \ (\text{Some } M') \rangle$   
**shows**  
 $\langle \text{set-mset } I \models_m \text{too-heavy-clauses } N \ (\text{Some } M') \rangle$

**proof** –

**show**  $\langle \text{set-mset } I \models_m \text{too-heavy-clauses } N \ (\text{Some } M') \rangle$   
**unfolding** *true-clss-mset-def*

**proof**

**fix**  $C$

**assume**  $\langle C \in \# \text{too-heavy-clauses } N \ (\text{Some } M') \rangle$

**then obtain**  $x$  **where**

[*simp*]:  $\langle C = pNeg \ x \rangle$  **and**

$x$ :  $\langle x \in \text{simple-clss } (\text{atms-of-mm } N) \rangle$  **and**

*we*:  $\langle \varrho \ M' \leq \varrho \ x \rangle$

**unfolding** *too-heavy-clauses-def*

**by** (*auto simp: simple-clss-finite*)

**then have**  $\langle x \neq I \rangle$

**using** *le* **by** *auto*

**then have**  $\langle \text{set-mset } x \neq \text{set-mset } I \rangle$

**using** *distinct-set-mset-eq-iff*[of  $x \ I$ ] *x dist*

**by** (*auto simp: simple-clss-def*)

**then have**  $\langle \exists a. ((a \in \# x \wedge a \notin \# I) \vee (a \in \# I \wedge a \notin \# x)) \rangle$

**by** *auto*

**moreover have** *not-incl*:  $\langle \neg \text{set-mset } x \subseteq \text{set-mset } I \rangle$

**using**  $\varrho$ -*mono*[of  $I \ \langle x \rangle$ ] *we le distinct-set-mset-eq-iff*[of  $x \ I$ ] *simple-clssE*[OF  $x$ ]  
*dist cons*

**by** *auto*

**moreover have**  $\langle x \neq \{\#\} \rangle$

**using** *we le cons dist not-incl* **by** *auto*

**ultimately obtain**  $L$  **where**

$L$ - $x$ :  $\langle L \in \# x \rangle$  **and**

```

  ⟨L ∉ # I⟩
  by auto
  moreover have ⟨atms-of x ⊆ atms-of I⟩
    using simple-clssE[OF x] tot atm-iff-pos-or-neg-lit[of a I] atm-iff-pos-or-neg-lit[of a x]
    by (auto dest!: multi-member-split)
  ultimately have ⟨¬L ∈ # I⟩
    using tot simple-clssE[OF x] atm-of-notin-atms-of-iff by auto
  then show ⟨set-mset I ⊨ C⟩
    using L-x by (auto simp: simple-clss-finite pNeg-def dest!: multi-member-split)
qed
qed

```

**lemma** *entails-conflicting-clauses-if-le:*

**fixes**  $M''$

**defines**  $\langle M' \equiv \text{lit-of } \# \text{ mset } M'' \rangle$

**assumes**

*dist:*  $\langle \text{distinct-mset } I \rangle$  **and**

*cons:*  $\langle \text{consistent-interp } (\text{set-mset } I) \rangle$  **and**

*tot:*  $\langle \text{atms-of } I = \text{atms-of-mm } N \rangle$  **and**

*le:*  $\langle \text{Found } (\varrho I) < \varrho' (\text{Some } M') \rangle$  **and**

$\langle \text{is-improving-int } M M'' N w \rangle$

**shows**

$\langle \text{set-mset } I \models_m \text{conflicting-clauses } N (\text{Some } (\text{lit-of } \# \text{ mset } M'')) \rangle$

**apply** (rule *entails-too-heavy-clauses-too-heavy-clauses*[OF *cons*])

**subgoal**

**using** *assms unfolding is-improving-int-def*

**by** (auto simp: *total-over-m-alt-def M'-def atms-of-def lit-in-set-iff-atm*  
*atms-too-heavy-clauses-Some eq-commute*[of -  $\langle \text{atms-of-mm } N \rangle$ ]  
*dest: multi-member-split dest!: simple-clssE*)

**by** (use *assms entails-too-heavy-clauses-if-le*[OF *assms*(2–5)] **in**

$\langle \text{auto simp: } M'\text{-def lits-of-def image-image is-improving-int-def dest!: simple-clssE} \rangle$ )

**end**

**locale** *conflict-driven-clause-learning<sub>W</sub>-optimal-weight* =

*conflict-driven-clause-learning<sub>W</sub>*

*state-eq*

*state*

— functions for the state:

— access functions:

*trail init-clss learned-clss conflicting*

— changing state:

*cons-trail tl-trail add-learned-cls remove-cls*

*update-conflicting*

— get state:

*init-state* +

*ocdcl-weight*  $\varrho$

**for**

*state-eq* ::  $\langle 'st \Rightarrow 'st \Rightarrow \text{bool} \rangle$  (**infix**  $\langle \sim \rangle$  50) **and**

*state* ::  $\langle 'st \Rightarrow ('v, 'v \text{ clause}) \text{ ann-lits} \times 'v \text{ clauses} \times 'v \text{ clauses} \times 'v \text{ clause option} \times 'v \text{ clause option} \times 'b \rangle$  **and**

*trail* ::  $\langle 'st \Rightarrow ('v, 'v \text{ clause}) \text{ ann-lits} \rangle$  **and**

*init-clss* ::  $\langle 'st \Rightarrow 'v \text{ clauses} \rangle$  **and**

*learned-clss* ::  $\langle 'st \Rightarrow 'v \text{ clauses} \rangle$  **and**

*conflicting* ::  $\langle 'st \Rightarrow 'v \text{ clause option} \rangle$  **and**

```

cons-trail :: ⟨('v, 'v clause) ann-lit ⇒ 'st ⇒ 'st⟩ and
tl-trail :: ⟨'st ⇒ 'st⟩ and
add-learned-cls :: ⟨'v clause ⇒ 'st ⇒ 'st⟩ and
remove-cls :: ⟨'v clause ⇒ 'st ⇒ 'st⟩ and
update-conflicting :: ⟨'v clause option ⇒ 'st ⇒ 'st⟩ and
init-state :: ⟨'v clauses ⇒ 'st⟩ and
g :: ⟨'v clause ⇒ 'a :: {linorder}⟩ +
fixes
  update-additional-info :: ⟨'v clause option × 'b ⇒ 'st ⇒ 'st⟩
assumes
  update-additional-info:
    ⟨state S = (M, N, U, C, K) ⇒ state (update-additional-info K' S) = (M, N, U, C, K')⟩ and
  weight-init-state:
    ⟨∧N :: 'v clauses. fst (additional-info (init-state N)) = None⟩
begin

```

**definition** *update-weight-information* :: ⟨('v, 'v clause) ann-lits ⇒ 'st ⇒ 'st⟩ **where**  
 ⟨update-weight-information M S =  
 update-additional-info (Some (lit-of '# mset M), snd (additional-info S)) S⟩

**lemma**

```

trail-update-additional-info[simp]: trail (update-additional-info w S) = trail S and
init-clss-update-additional-info[simp]:
  ⟨init-clss (update-additional-info w S) = init-clss S⟩ and
learned-clss-update-additional-info[simp]:
  ⟨learned-clss (update-additional-info w S) = learned-clss S⟩ and
backtrack-lvl-update-additional-info[simp]:
  ⟨backtrack-lvl (update-additional-info w S) = backtrack-lvl S⟩ and
conflicting-update-additional-info[simp]:
  ⟨conflicting (update-additional-info w S) = conflicting S⟩ and
clauses-update-additional-info[simp]:
  ⟨clauses (update-additional-info w S) = clauses S⟩
using update-additional-info[of S] unfolding clauses-def
by (subst (asm) state-prop; subst (asm) state-prop; auto; fail)+

```

**lemma**

```

trail-update-weight-information[simp]:
  ⟨trail (update-weight-information w S) = trail S⟩ and
init-clss-update-weight-information[simp]:
  ⟨init-clss (update-weight-information w S) = init-clss S⟩ and
learned-clss-update-weight-information[simp]:
  ⟨learned-clss (update-weight-information w S) = learned-clss S⟩ and
backtrack-lvl-update-weight-information[simp]:
  ⟨backtrack-lvl (update-weight-information w S) = backtrack-lvl S⟩ and
conflicting-update-weight-information[simp]:
  ⟨conflicting (update-weight-information w S) = conflicting S⟩ and
clauses-update-weight-information[simp]:
  ⟨clauses (update-weight-information w S) = clauses S⟩
using update-additional-info[of S] unfolding update-weight-information-def by auto

```

**definition** *weight* :: ⟨'st ⇒ 'v clause option⟩ **where**  
 ⟨weight S = fst (additional-info S)⟩

**lemma**

```

additional-info-update-additional-info[simp]:

```

$\langle \text{additional-info } (\text{update-additional-info } w \ S) = w \rangle$   
**unfolding** *additional-info-def* **using** *update-additional-info*[of *S*]  
**by** (cases  $\langle \text{state } S \rangle$ ; auto; fail)+

**lemma**

*weight-cons-trail2*[simp]:  $\langle \text{weight } (\text{cons-trail } L \ S) = \text{weight } S \rangle$  **and**  
*clss-tl-trail2*[simp]:  $\langle \text{weight } (\text{tl-trail } S) = \text{weight } S \rangle$  **and**  
*weight-add-learned-clss-unfolded*:  
 $\langle \text{weight } (\text{add-learned-clss } U \ S) = \text{weight } S \rangle$   
**and**  
*weight-update-conflicting2*[simp]:  $\langle \text{weight } (\text{update-conflicting } D \ S) = \text{weight } S \rangle$  **and**  
*weight-remove-clss2*[simp]:  
 $\langle \text{weight } (\text{remove-clss } C \ S) = \text{weight } S \rangle$  **and**  
*weight-add-learned-clss2*[simp]:  
 $\langle \text{weight } (\text{add-learned-clss } C \ S) = \text{weight } S \rangle$  **and**  
*weight-update-weight-information2*[simp]:  
 $\langle \text{weight } (\text{update-weight-information } M \ S) = \text{Some } (\text{lit-of } \# \text{ mset } M) \rangle$   
**by** (auto simp: *update-weight-information-def* *weight-def*)

**sublocale** *conflict-driven-clause-learning-with-adding-init-clause-bnb<sub>W</sub>-no-state*

**where**

$\text{state} = \text{state}$  **and**  
 $\text{trail} = \text{trail}$  **and**  
 $\text{init-clss} = \text{init-clss}$  **and**  
 $\text{learned-clss} = \text{learned-clss}$  **and**  
 $\text{conflicting} = \text{conflicting}$  **and**  
 $\text{cons-trail} = \text{cons-trail}$  **and**  
 $\text{tl-trail} = \text{tl-trail}$  **and**  
 $\text{add-learned-clss} = \text{add-learned-clss}$  **and**  
 $\text{remove-clss} = \text{remove-clss}$  **and**  
 $\text{update-conflicting} = \text{update-conflicting}$  **and**  
 $\text{init-state} = \text{init-state}$  **and**  
 $\text{weight} = \text{weight}$  **and**  
 $\text{update-weight-information} = \text{update-weight-information}$  **and**  
 $\text{is-improving-int} = \text{is-improving-int}$  **and**  
 $\text{conflicting-clauses} = \text{conflicting-clauses}$   
**by** *unfold-locales*

**lemma** *state-additional-info'*:

$\langle \text{state } S = (\text{trail } S, \text{init-clss } S, \text{learned-clss } S, \text{conflicting } S, \text{weight } S, \text{additional-info'} \ S) \rangle$   
**unfolding** *additional-info'-def* **by** (cases  $\langle \text{state } S \rangle$ ; auto simp: *state-prop* *weight-def*)

**lemma** *state-update-weight-information*:

$\langle \text{state } S = (M, N, U, C, w, \text{other}) \implies$   
 $\exists w'. \text{state } (\text{update-weight-information } T \ S) = (M, N, U, C, w', \text{other}) \rangle$   
**unfolding** *update-weight-information-def* **by** (cases  $\langle \text{state } S \rangle$ ; auto simp: *state-prop* *weight-def*)

**lemma** *atms-of-init-clss-conflicting-clauses*[simp]:

$\langle \text{atms-of-mm } (\text{init-clss } S) \cup \text{atms-of-mm } (\text{conflicting-clss } S) = \text{atms-of-mm } (\text{init-clss } S) \rangle$   
**using** *conflicting-clss-incl-init-clauses*[of  $\langle (\text{init-clss } S) \rangle$ ] **unfolding** *conflicting-clss-def* **by** *blast*

**lemma** *lit-of-trail-in-simple-clss*:  $\langle \text{cdcl}_W\text{-restart-mset.cdcl}_W\text{-all-struct-inv } (\text{abs-state } S) \implies$

$\text{lit-of } \# \text{ mset } (\text{trail } S) \in \text{simple-clss } (\text{atms-of-mm } (\text{init-clss } S)) \rangle$

**unfolding** *cdcl<sub>W</sub>-restart-mset.cdcl<sub>W</sub>-all-struct-inv-def* *abs-state-def*  
*cdcl<sub>W</sub>-restart-mset.cdcl<sub>W</sub>-M-level-inv-def* *cdcl<sub>W</sub>-restart-mset.no-strange-atm-def*

**by** (auto simp: simple-clss-def cdcl<sub>W</sub>-restart-mset-state atms-of-def pNeg-def lits-of-def  
dest: no-dup-not-tautology no-dup-distinct)

**lemma** pNeg-lit-of-trail-in-simple-clss:  $\langle \text{cdcl}_W\text{-restart-mset.cdcl}_W\text{-all-struct-inv (abs-state } S) \Rightarrow$   
 $\text{pNeg (lit-of ‘\# mset (trail } S) \rangle \in \text{simple-clss (atms-of-mm (init-clss } S)) \rangle$

**unfolding** cdcl<sub>W</sub>-restart-mset.cdcl<sub>W</sub>-all-struct-inv-def abs-state-def  
cdcl<sub>W</sub>-restart-mset.cdcl<sub>W</sub>-M-level-inv-def cdcl<sub>W</sub>-restart-mset.no-strange-atm-def

**by** (auto simp: simple-clss-def cdcl<sub>W</sub>-restart-mset-state atms-of-def pNeg-def lits-of-def  
dest: no-dup-not-tautology-uminus no-dup-distinct-uminus)

**lemma** conflict-clss-update-weight-no-alien:

$\langle \text{atms-of-mm (conflicting-clss (update-weight-information } M \text{ } S))$   
 $\subseteq \text{atms-of-mm (init-clss } S) \rangle$

**by** (auto simp: conflicting-clss-def conflicting-clauses-def atms-of-ms-def  
cdcl<sub>W</sub>-restart-mset-state simple-clss-finite  
dest: simple-clssE)

**sublocale** state<sub>W</sub>-no-state

**where**

state = state **and**  
trail = trail **and**  
init-clss = init-clss **and**  
learned-clss = learned-clss **and**  
conflicting = conflicting **and**  
cons-trail = cons-trail **and**  
tl-trail = tl-trail **and**  
add-learned-clss = add-learned-clss **and**  
remove-clss = remove-clss **and**  
update-conflicting = update-conflicting **and**  
init-state = init-state

**by** unfold-locales

**sublocale** state<sub>W</sub>-no-state

**where**

state-eq = state-eq **and**  
state = state **and**  
trail = trail **and**  
init-clss = init-clss **and**  
learned-clss = learned-clss **and**  
conflicting = conflicting **and**  
cons-trail = cons-trail **and**  
tl-trail = tl-trail **and**  
add-learned-clss = add-learned-clss **and**  
remove-clss = remove-clss **and**  
update-conflicting = update-conflicting **and**  
init-state = init-state

**by** unfold-locales

**sublocale** conflict-driven-clause-learning<sub>W</sub>

**where**

state-eq = state-eq **and**  
state = state **and**  
trail = trail **and**  
init-clss = init-clss **and**  
learned-clss = learned-clss **and**  
conflicting = conflicting **and**



$cons\_trail = cons\_trail$  **and**  
 $tl\_trail = tl\_trail$  **and**  
 $add\_learned\_cls = add\_learned\_cls$  **and**  
 $remove\_cls = remove\_cls$  **and**  
 $update\_conflicting = update\_conflicting$  **and**  
 $init\_state = init\_state$   
**by** *unfold-locales*

**lemma** *is-improving-conflicting-clss-update-weight-information'*:  $\langle is-improving\ M\ M'\ S \implies$   
 $conflicting-clss\ S \subseteq \# \ conflicting-clss\ (update-weight-information\ M'\ S) \rangle$   
**using** *is-improving-conflicting-clss-update-weight-information*[*of*  $M\ M'\ \langle init-clss\ S \rangle \langle weight\ S \rangle$ ]  
**unfolding** *conflicting-clss-def*  
**by** *auto*

**lemma** *conflicting-clss-update-weight-information-in2'*:  
**assumes**  $\langle is-improving\ M\ M'\ S \rangle$   
**shows**  $\langle negate-ann-lits\ M' \in \# \ conflicting-clss\ (update-weight-information\ M'\ S) \rangle$   
**using** *conflicting-clss-update-weight-information-in2*[*of*  $M\ M'\ \langle init-clss\ S \rangle \langle weight\ S \rangle$ ] *assms*  
**unfolding** *conflicting-clss-def*  
**by** *auto*

**sublocale** *conflict-driven-clause-learning-with-adding-init-clause-bnb<sub>W</sub>-ops*

**where**

$state = state$  **and**  
 $trail = trail$  **and**  
 $init-clss = init-clss$  **and**  
 $learned-clss = learned-clss$  **and**  
 $conflicting = conflicting$  **and**  
 $cons-trail = cons-trail$  **and**  
 $tl-trail = tl-trail$  **and**  
 $add-learned-cls = add-learned-cls$  **and**  
 $remove-cls = remove-cls$  **and**  
 $update-conflicting = update-conflicting$  **and**  
 $init-state = init-state$  **and**  
 $weight = weight$  **and**  
 $update-weight-information = update-weight-information$  **and**  
 $is-improving-int = is-improving-int$  **and**  
 $conflicting-clauses = conflicting-clauses$

**apply** *unfold-locales*

**subgoal** **by** (*rule* *state-additional-info*)

**subgoal** **by** (*rule* *state-update-weight-information*)

**subgoal** **unfolding** *conflicting-clss-def* **by** (*rule* *conflicting-clss-incl-init-clauses*)

**subgoal** **unfolding** *conflicting-clss-def* **by** (*rule* *distinct-mset-mset-conflicting-clss2*)

**subgoal** **by** (*rule* *is-improving-conflicting-clss-update-weight-information'*)

**subgoal** **by** (*rule* *conflicting-clss-update-weight-information-in2'*; *assumption*)

**done**

**lemma** *wf-cdcl-bnb-fixed*:

$\langle wf\ \{(T, S). \ cdcl_W-restart-mset.cdcl_W-all-struct-inv\ (abs-state\ S) \wedge cdcl-bnb\ S\ T$   
 $\wedge init-clss\ S = N\} \rangle$

**apply** (*rule* *wf-cdcl-bnb*[*of*  $N\ id\ \langle \{(I', I). I' \neq None \wedge$   
 $(the\ I') \in simple-clss\ (atms-of-mm\ N) \wedge (\varrho'\ I', \varrho'\ I) \in \{(j, i). j < i\}\} \rangle$ ])

**subgoal** **for**  $S\ T$

**by** (*cases*  $\langle weight\ S \rangle$ ; *cases*  $\langle weight\ T \rangle$ )

(*auto simp: improvep.simps is-improving-int-def split: enat.splits*)

**subgoal**

```

apply (rule wf-finite-segments)
subgoal by (auto simp: irreft-def)
subgoal
  apply (auto simp: irreft-def trans-def intro: less-trans[of ⟨Found →⟩ ⟨Found →⟩])
  apply (rule less-trans[of ⟨Found →⟩ ⟨Found →⟩])
  apply auto
  done
subgoal for x
  by (subgoal-tac ⟨{y. (y, x)
    ∈ {(I', I). I' ≠ None ∧ the I' ∈ simple-clss (atms-of-mm N) ∧
      (ϱ' I', ϱ' I) ∈ {(j, i). j < i}} =
    Some ' {y. (y, x) ∈ {(I', I).
      I' ∈ simple-clss (atms-of-mm N) ∧
      (ϱ' (Some I'), ϱ' I) ∈ {(j, i). j < i}}}}⟩)
    (auto simp: finite-image-iff intro: finite-subset[OF - simple-clss-finite[of ⟨atms-of-mm N⟩]]))
  done
done

lemma wf-cdcl-bnb2:
  ⟨wf {(T, S). cdclW-restart-mset.cdclW-all-struct-inv (abs-state S)
    ∧ cdcl-bnb S T}⟩
  by (subst wf-cdcl-bnb-fixed-iff[symmetric]) (intro allI, rule wf-cdcl-bnb-fixed)

lemma can-always-improve:
assumes
  ent: ⟨trail S ⊨asm clauses S⟩ and
  total: ⟨total-over-m (lits-of-l (trail S)) (set-mset (clauses S))⟩ and
  n-s: ⟨no-step conflict-opt S⟩ and
  confl[simp]: ⟨conflicting S = None⟩ and
  all-struct: ⟨cdclW-restart-mset.cdclW-all-struct-inv (abs-state S)⟩
shows ⟨Ex (improvep S)⟩
proof -
have H: ⟨(lit-of '# mset (trail S)) ∈# mset-set (simple-clss (atms-of-mm (init-clss S)))⟩
  ⟨(lit-of '# mset (trail S)) ∈ simple-clss (atms-of-mm (init-clss S))⟩
  ⟨no-dup (trail S)⟩
apply (subst finite-set-mset-mset-set[OF simple-clss-finite])
using all-struct by (auto simp: simple-clss-def cdclW-restart-mset.cdclW-all-struct-inv-def
  no-strange-atm-def atms-of-def lits-of-def image-image
  cdclW-M-level-inv-def clauses-def
  dest: no-dup-not-tautology no-dup-distinct)
then have le: ⟨Found (ϱ (lit-of '# mset (trail S))) < ϱ' (weight S)⟩
using n-s total
by (auto simp: conflict-opt.simps conflicting-clss-def lits-of-def
  conflicting-clauses-def clauses-def negate-ann-lits-pNeg-lit-of simple-clss-finite
  dest: not-entailed-too-heavy-clauses-ge)
have tr: ⟨trail S ⊨asm init-clss S⟩
using ent by (auto simp: clauses-def)
have tot': ⟨total-over-m (lits-of-l (trail S)) (set-mset (init-clss S))⟩
using total all-struct by (auto simp: total-over-m-def total-over-set-def
  cdclW-all-struct-inv-def clauses-def no-strange-atm-def)
have M': ⟨ϱ (lit-of '# mset M') = ϱ (lit-of '# mset (trail S))⟩
  if ⟨total-over-m (lits-of-l M') (set-mset (init-clss S))⟩ and
  incl: ⟨mset (trail S) ⊆# mset M'⟩ and
  ⟨lit-of '# mset M' ∈ simple-clss (atms-of-mm (init-clss S))⟩
for M'
proof -

```

```

have [simp]:  $\langle \text{lits-of-l } M' = \text{set-mset } (\text{lit-of } \# \text{ mset } M') \rangle$ 
  by (auto simp: lits-of-def)
obtain A where A:  $\langle \text{mset } M' = A + \text{mset } (\text{trail } S) \rangle$ 
  using incl by (auto simp: mset-subset-eq-exists-conv)
have M':  $\langle \text{lits-of-l } M' = \text{lit-of } \# \text{ set-mset } A \cup \text{lits-of-l } (\text{trail } S) \rangle$ 
  unfolding lits-of-def
  by (metis A image-Un set-mset-mset set-mset-union)
have  $\langle \text{mset } M' = \text{mset } (\text{trail } S) \rangle$ 
  using that tot' total unfolding A total-over-m-alt-def
  apply (case-tac A)
  apply (auto simp: A simple-cls-def distinct-mset-add M' image-Un
    tautology-union mset-inter-empty-set-mset atms-of-def atms-of-s-def
    atm-of-in-atm-of-set-iff-in-set-or-uminus-in-set image-image
    tautology-add-mset)
  by (metis (no-types, lifting) atm-of-in-atm-of-set-iff-in-set-or-uminus-in-set
    subsetCE lits-of-def)
then show ?thesis
  using total by auto
qed
have  $\langle \text{is-improving } (\text{trail } S) (\text{trail } S) S \rangle$ 
  if  $\langle \text{Found } (\varrho (\text{lit-of } \# \text{ mset } (\text{trail } S))) < \varrho' (\text{weight } S) \rangle$ 
  using that total H confl tr tot' M' unfolding is-improving-int-def lits-of-def by fast
then show  $\langle \text{Ex } (\text{improvep } S) \rangle$ 
  using improvep.intros[of S  $\langle \text{trail } S \rangle$   $\langle \text{update-weight-information } (\text{trail } S) S \rangle$ ] le confl by fast
qed

```

**lemma** *no-step-cdcl-bnb-stgy-empty-conflict2:*  
**assumes**  
 $\langle n\text{-s: } \langle \text{no-step cdcl-bnb } S \rangle \text{ and}$   
 $\langle \text{all-struct: } \langle \text{cdcl}_W\text{-restart-mset.cdcl}_W\text{-all-struct-inv } (\text{abs-state } S) \rangle \text{ and}$   
 $\langle \text{stgy-inv: } \langle \text{cdcl-bnb-stgy-inv } S \rangle$   
**shows**  $\langle \text{conflicting } S = \text{Some } \{ \# \} \rangle$   
**by** (rule no-step-cdcl-bnb-stgy-empty-conflict[OF can-always-improve assms])

**lemma** *cdcl-bnb-larger-still-larger:*  
**assumes**  
 $\langle \text{cdcl-bnb } S T \rangle$   
**shows**  $\langle \varrho' (\text{weight } S) \geq \varrho' (\text{weight } T) \rangle$   
**using** assms **apply** (cases rule: cdcl-bnb.cases)  
**by** (auto simp: improvep.simps is-improving-int-def conflict-opt.simps ocdcl<sub>W</sub>-o.simps  
 cdcl-bnb-bj.simps skip.simps resolve.simps obacktrack.simps elim: rulesE)

**lemma** *obacktrack-model-still-model:*  
**assumes**  
 $\langle \text{obacktrack } S T \rangle$  **and**  
 $\langle \text{all-struct: } \langle \text{cdcl}_W\text{-restart-mset.cdcl}_W\text{-all-struct-inv } (\text{abs-state } S) \rangle \text{ and}$   
 $\langle \text{ent: } \langle \text{set-mset } I \models_{\text{sm}} \text{clauses } S \rangle \langle \text{set-mset } I \models_{\text{sm}} \text{conflicting-clss } S \rangle \text{ and}$   
 $\langle \text{dist: } \langle \text{distinct-mset } I \rangle \text{ and}$   
 $\langle \text{cons: } \langle \text{consistent-interp } (\text{set-mset } I) \rangle \text{ and}$   
 $\langle \text{tot: } \langle \text{atms-of } I = \text{atms-of-mm } (\text{init-clss } S) \rangle \text{ and}$   
 $\langle \text{opt-struct: } \langle \text{cdcl-bnb-struct-invs } S \rangle \text{ and}$   
 $\langle \text{le: } \langle \text{Found } (\varrho I) < \varrho' (\text{weight } T) \rangle$   
**shows**  
 $\langle \text{set-mset } I \models_{\text{sm}} \text{clauses } T \wedge \text{set-mset } I \models_{\text{sm}} \text{conflicting-clss } T \rangle$   
**using** assms(1)

**proof** (*cases rule: obacktrack.cases*)  
**case** (*obacktrack-rule L D K M1 M2 D' i*) **note**  $\text{confl} = \text{this}(1)$  **and**  $\text{DD}' = \text{this}(7)$  **and**  
 $\text{cls-L-D}' = \text{this}(8)$  **and**  $T = \text{this}(9)$   
**have**  $H: \langle \text{total-over-m } I \text{ (set-mset (clauses } S + \text{ conflicting-clss } S) \cup \{\text{add-mset } L \text{ D}'\}) \Rightarrow$   
 $\text{consistent-interp } I \Rightarrow$   
 $I \models_{\text{sm}} \text{clauses } S + \text{ conflicting-clss } S \Rightarrow I \models \text{add-mset } L \text{ D}' \rangle$  **for**  $I$   
**using**  $\text{cls-L-D}'$   
**unfolding**  $\text{true-clss-clss-def}$   
**by**  $\text{blast}$   
**have**  $\text{alien}: \langle \text{cdcl}_W\text{-restart-mset.no-strange-atm (abs-state } S) \rangle$   
**using**  $\text{all-struct unfolding cdcl}_W\text{-restart-mset.cdcl}_W\text{-all-struct-inv-def}$   
**by**  $\text{fast+}$   
**have**  $\langle \text{total-over-m (set-mset } I) \text{ (set-mset (init-clss } S)) \rangle$   
**using**  $\text{tot[symmetric]}$   
**by** ( $\text{auto simp: total-over-m-def total-over-set-def atm-iff-pos-or-neg-lit}$ )  
  
**then have**  $1: \langle \text{total-over-m (set-mset } I) \text{ (set-mset (clauses } S + \text{ conflicting-clss } S) \cup$   
 $\{\text{add-mset } L \text{ D}'\}) \rangle$   
**using**  $\text{alien } T \text{ confl tot DD' opt-struct}$   
**unfolding**  $\text{cdcl}_W\text{-restart-mset.no-strange-atm-def total-over-m-def total-over-set-def}$   
**apply** ( $\text{auto simp: cdcl}_W\text{-restart-mset-state abs-state-def atms-of-def clauses-def}$   
 $\text{cdcl-bnb-struct-invs-def dest: multi-member-split}$ )  
**by**  $\text{blast}$   
**have**  $2: \langle \text{set-mset } I \models_{\text{sm}} \text{ conflicting-clss } S \rangle$   
**using**  $\text{tot cons ent}(2)$  **by**  $\text{auto}$   
**have**  $\langle \text{set-mset } I \models \text{add-mset } L \text{ D}' \rangle$   
**using**  $H[\text{OF } 1 \text{ cons}] \text{ } 2 \text{ ent}$  **by**  $\text{auto}$   
**then show**  $?thesis$   
**using**  $\text{ent obacktrack-rule } 2$  **by**  $\text{auto}$   
**qed**

**lemma** *entails-conflicting-clauses-if-le'*:  
**fixes**  $M''$   
**defines**  $\langle M' \equiv \text{lit-of } \# \text{ mset } M'' \rangle$   
**assumes**  
 $\text{dist}: \langle \text{distinct-mset } I \rangle$  **and**  
 $\text{cons}: \langle \text{consistent-interp (set-mset } I) \rangle$  **and**  
 $\text{tot}: \langle \text{atms-of } I = \text{atms-of-mm (init-clss } S) \rangle$  **and**  
 $\text{le}: \langle \text{Found } (\varrho \text{ } I) < \varrho' \text{ (Some } M') \rangle$  **and**  
 $\langle \text{is-improving } M \text{ } M'' \text{ } S \rangle$  **and**  
 $\langle N = \text{init-clss } S \rangle$   
**shows**  
 $\langle \text{set-mset } I \models_m \text{ conflicting-clauses } N \text{ (weight (update-weight-information } M'' \text{ } S)) \rangle$   
**using**  $\text{entails-conflicting-clauses-if-le}[\text{OF assms}(2-6)[\text{unfolded } M'\text{-def}]] \text{ assms}(7)$   
**unfolding**  $\text{conflicting-clss-def}$  **by**  $\text{auto}$

**lemma** *improve-model-still-model*:  
**assumes**  
 $\langle \text{impropev } S \text{ } T \rangle$  **and**  
 $\text{all-struct}: \langle \text{cdcl}_W\text{-restart-mset.cdcl}_W\text{-all-struct-inv (abs-state } S) \rangle$  **and**  
 $\text{ent}: \langle \text{set-mset } I \models_{\text{sm}} \text{ clauses } S \rangle \langle \text{set-mset } I \models_{\text{sm}} \text{ conflicting-clss } S \rangle$  **and**  
 $\text{dist}: \langle \text{distinct-mset } I \rangle$  **and**  
 $\text{cons}: \langle \text{consistent-interp (set-mset } I) \rangle$  **and**  
 $\text{tot}: \langle \text{atms-of } I = \text{atms-of-mm (init-clss } S) \rangle$  **and**  
 $\text{opt-struct}: \langle \text{cdcl-bnb-struct-invs } S \rangle$  **and**

$le: \langle \text{Found } (\varrho I) < \varrho' (\text{weight } T) \rangle$   
**shows**  
 $\langle \text{set-mset } I \models_{sm} \text{clauses } T \wedge \text{set-mset } I \models_{sm} \text{conflicting-clss } T \rangle$   
**using** *assms*(1)  
**proof** (*cases rule: improvep.cases*)  
**case** (*improve-rule*  $M'$ ) **note**  $imp = \text{this}(1)$  **and**  $confl = \text{this}(2)$  **and**  $T = \text{this}(3)$   
**have** *alien*:  $\langle \text{cdcl}_W\text{-restart-mset.no-strange-atm } (\text{abs-state } S) \rangle$  **and**  
 $lev: \langle \text{cdcl}_W\text{-restart-mset.cdcl}_W\text{-M-level-inv } (\text{abs-state } S) \rangle$   
**using** *all-struct unfolding*  $\text{cdcl}_W\text{-restart-mset.cdcl}_W\text{-all-struct-inv-def}$   
**by** *fast+*  
**then have** *atm-trail*:  $\langle \text{atms-of } (\text{lit-of } \# \text{ mset } (\text{trail } S)) \subseteq \text{atms-of-mm } (\text{init-clss } S) \rangle$   
**using** *alien by* (*auto simp: no-strange-atm-def lits-of-def atms-of-def*)  
**have** *dist2*:  $\langle \text{distinct-mset } (\text{lit-of } \# \text{ mset } (\text{trail } S)) \rangle$  **and**  
 $\text{taut2}: \langle \neg \text{tautology } (\text{lit-of } \# \text{ mset } (\text{trail } S)) \rangle$   
**using** *lev unfolding*  $\text{cdcl}_W\text{-restart-mset.cdcl}_W\text{-M-level-inv-def}$   
**by** (*auto dest: no-dup-distinct no-dup-not-tautology*)  
**have** *tot2*:  $\langle \text{total-over-m } (\text{set-mset } I) (\text{set-mset } (\text{init-clss } S)) \rangle$   
**using** *tot[symmetric]*  
**by** (*auto simp: total-over-m-def total-over-set-def atm-iff-pos-or-neg-lit*)  
**have** *atm-trail*:  $\langle \text{atms-of } (\text{lit-of } \# \text{ mset } M') \subseteq \text{atms-of-mm } (\text{init-clss } S) \rangle$  **and**  
 $\text{dist2}: \langle \text{distinct-mset } (\text{lit-of } \# \text{ mset } M') \rangle$  **and**  
 $\text{taut2}: \langle \neg \text{tautology } (\text{lit-of } \# \text{ mset } M') \rangle$   
**using** *imp by* (*auto simp: no-strange-atm-def lits-of-def atms-of-def is-improving-int-def simple-clss-def*)  
  
**have** *tot2*:  $\langle \text{total-over-m } (\text{set-mset } I) (\text{set-mset } (\text{init-clss } S)) \rangle$   
**using** *tot[symmetric]*  
**by** (*auto simp: total-over-m-def total-over-set-def atm-iff-pos-or-neg-lit*)  
**have**  
 $\langle \text{set-mset } I \models_m \text{conflicting-clauses } (\text{init-clss } S) (\text{weight } (\text{update-weight-information } M' S)) \rangle$   
**apply** (*rule entails-conflicting-clauses-if-le'[unfolding conflicting-clss-def]*)  
**using**  $T \text{ dist cons tot le imp by } (\text{auto intro!: })$   
**then have**  $\langle \text{set-mset } I \models_m \text{conflicting-clss } (\text{update-weight-information } M' S) \rangle$   
**by** (*auto simp: update-weight-information-def conflicting-clss-def*)  
**then show** *?thesis*  
**using** *ent improve-rule T by auto*  
**qed**

**lemma** *cdcl-bnb-still-model*:

**assumes**  
 $\langle \text{cdcl-bnb } S T \rangle$  **and**  
 $\text{all-struct}: \langle \text{cdcl}_W\text{-restart-mset.cdcl}_W\text{-all-struct-inv } (\text{abs-state } S) \rangle$  **and**  
 $\text{ent}: \langle \text{set-mset } I \models_{sm} \text{clauses } S \rangle \langle \text{set-mset } I \models_{sm} \text{conflicting-clss } S \rangle$  **and**  
 $\text{dist}: \langle \text{distinct-mset } I \rangle$  **and**  
 $\text{cons}: \langle \text{consistent-interp } (\text{set-mset } I) \rangle$  **and**  
 $\text{tot}: \langle \text{atms-of } I = \text{atms-of-mm } (\text{init-clss } S) \rangle$  **and**  
 $\text{opt-struct}: \langle \text{cdcl-bnb-struct-invs } S \rangle$   
**shows**  
 $\langle (\text{set-mset } I \models_{sm} \text{clauses } T \wedge \text{set-mset } I \models_{sm} \text{conflicting-clss } T) \vee \text{Found } (\varrho I) \geq \varrho' (\text{weight } T) \rangle$   
**using** *assms*  
**proof** (*cases rule: cdcl-bnb.cases*)  
**case** *cdcl-improve*  
**from** *improve-model-still-model*[*OF this all-struct ent dist cons tot opt-struct*]  
**show** *?thesis*  
**by** (*auto simp: improvep.simps*)  
**next**

```

case cdcl-other'
then show ?thesis
proof (induction rule: ocdclW-o-all-rules-induct)
  case (backtrack T)
  from obacktrack-model-still-model[OF this all-struct ent dist cons tot opt-struct]
  show ?case
  by auto
qed (use ent in <auto elim: rulesE>)
qed (auto simp: conflict-opt.simps elim: rulesE)

lemma rtrancpl-cdcl-bnb-still-model:
assumes
  st: <cdcl-bnb** S T> and
  all-struct: <cdclW-restart-mset.cdclW-all-struct-inv (abs-state S)> and
  ent: <(set-mset I  $\models_{sm}$  clauses S  $\wedge$  set-mset I  $\models_{sm}$  conflicting-cls S)  $\vee$  Found ( $\varrho$  I)  $\geq$   $\varrho'$  (weight S)> and
  dist: <distinct-mset I> and
  cons: <consistent-interp (set-mset I)> and
  tot: <atms-of I = atms-of-mm (init-cls S)> and
  opt-struct: <cdcl-bnb-struct-invs S>
shows
  <(set-mset I  $\models_{sm}$  clauses T  $\wedge$  set-mset I  $\models_{sm}$  conflicting-cls T)  $\vee$  Found ( $\varrho$  I)  $\geq$   $\varrho'$  (weight T)>
using st
proof (induction rule: rtrancpl-induct)
case base
then show ?case
  using ent by auto
next
case (step T U) note star = this(1) and st = this(2) and IH = this(3)
have 1: <cdclW-restart-mset.cdclW-all-struct-inv (abs-state T)>
  using rtrancpl-cdcl-bnb-stgy-all-struct-inv[OF star all-struct] .

have 2: <cdcl-bnb-struct-invs T>
  using rtrancpl-cdcl-bnb-cdcl-bnb-struct-invs[OF star opt-struct] .
have 3: <atms-of I = atms-of-mm (init-cls T)>
  using tot rtrancpl-cdcl-bnb-no-more-init-cls[OF star] by auto
show ?case
  using cdcl-bnb-still-model[OF st 1 - - dist cons 3 2] IH
  cdcl-bnb-larger-still-larger[OF st]
  by auto
qed

lemma full-cdcl-bnb-stgy-larger-or-equal-weight:
assumes
  st: <full cdcl-bnb-stgy S T> and
  all-struct: <cdclW-restart-mset.cdclW-all-struct-inv (abs-state S)> and
  ent: <(set-mset I  $\models_{sm}$  clauses S  $\wedge$  set-mset I  $\models_{sm}$  conflicting-cls S)  $\vee$  Found ( $\varrho$  I)  $\geq$   $\varrho'$  (weight S)> and
  dist: <distinct-mset I> and
  cons: <consistent-interp (set-mset I)> and
  tot: <atms-of I = atms-of-mm (init-cls S)> and
  opt-struct: <cdcl-bnb-struct-invs S> and
  stgy-inv: <cdcl-bnb-stgy-inv S>
shows
  <Found ( $\varrho$  I)  $\geq$   $\varrho'$  (weight T)> and
  <unsatisfiable (set-mset (clauses T + conflicting-cls T))>

```

**proof** –

**have**  $ns$ :  $\langle no\text{-}step\ cdcl\text{-}bnb\text{-}stgy\ T \rangle$  **and**  
 $st$ :  $\langle cdcl\text{-}bnb\text{-}stgy^{**}\ S\ T \rangle$  **and**  
 $st'$ :  $\langle cdcl\text{-}bnb^{**}\ S\ T \rangle$   
**using**  $st$  **unfolding**  $full\text{-}def$  **by**  $(auto\ intro: rtrancpl\text{-}cdcl\text{-}bnb\text{-}stgy\text{-}cdcl\text{-}bnb)$   
**have**  $ns'$ :  $\langle no\text{-}step\ cdcl\text{-}bnb\ T \rangle$   
**by**  $(meson\ cdcl\text{-}bnb.cases\ cdcl\text{-}bnb\text{-}stgy.simps\ no\text{-}confl\text{-}prop\text{-}impr.elims(3)\ ns)$   
**have**  $struct\text{-}T$ :  $\langle cdcl_W\text{-}restart\text{-}mset.cdcl_W\text{-}all\text{-}struct\text{-}inv\ (abs\text{-}state\ T) \rangle$   
**using**  $rtrancpl\text{-}cdcl\text{-}bnb\text{-}stgy\text{-}all\text{-}struct\text{-}inv[OF\ st'\ all\text{-}struct]$  .  
**have**  $stgy\text{-}T$ :  $\langle cdcl\text{-}bnb\text{-}stgy\text{-}inv\ T \rangle$   
**using**  $rtrancpl\text{-}cdcl\text{-}bnb\text{-}stgy\text{-}stgy\text{-}inv[OF\ st\ all\text{-}struct\ stgy\text{-}inv]$  .  
**have**  $confl$ :  $\langle conflicting\ T = Some\ \{\#\} \rangle$   
**using**  $no\text{-}step\text{-}cdcl\text{-}bnb\text{-}stgy\text{-}empty\text{-}conflict2[OF\ ns'\ struct\text{-}T\ stgy\text{-}T]$  .  
  
**have**  $\langle cdcl_W\text{-}restart\text{-}mset.cdcl_W\text{-}learned\text{-}clause\ (abs\text{-}state\ T) \rangle$  **and**  
 $alien$ :  $\langle cdcl_W\text{-}restart\text{-}mset.no\text{-}strange\text{-}atm\ (abs\text{-}state\ T) \rangle$   
**using**  $struct\text{-}T$  **unfolding**  $cdcl_W\text{-}restart\text{-}mset.cdcl_W\text{-}all\text{-}struct\text{-}inv\text{-}def$  **by**  $fast+$   
**then have**  $ent'$ :  $\langle set\text{-}mset\ (clauses\ T + conflicting\text{-}clss\ T) \models_p\ \{\#\} \rangle$   
**using**  $confl$  **unfolding**  $cdcl_W\text{-}restart\text{-}mset.cdcl_W\text{-}learned\text{-}clause\text{-}alt\text{-}def$   
**by**  $auto$   
**have**  $atms\text{-}eq$ :  $\langle atms\text{-}of\ I \cup atms\text{-}of\text{-}mm\ (conflicting\text{-}clss\ T) = atms\text{-}of\text{-}mm\ (init\text{-}clss\ T) \rangle$   
**using**  $tot[symmetric]\ atms\text{-}of\text{-}conflicting\text{-}clss[of\ T]\ alien$   
**unfolding**  $rtrancpl\text{-}cdcl\text{-}bnb\text{-}no\text{-}more\text{-}init\text{-}clss[OF\ st']\ cdcl_W\text{-}restart\text{-}mset.no\text{-}strange\text{-}atm\text{-}def$   
**by**  $(auto\ simp: clauses\text{-}def\ total\text{-}over\text{-}m\text{-}def\ total\text{-}over\text{-}set\text{-}def\ atm\text{-}iff\text{-}pos\text{-}or\text{-}neg\text{-}lit\ abs\text{-}state\text{-}def\ cdcl_W\text{-}restart\text{-}mset\text{-}state)$

**have**  $\langle \neg (set\text{-}mset\ I \models_{sm}\ clauses\ T + conflicting\text{-}clss\ T) \rangle$

**proof**

**assume**  $ent''$ :  $\langle set\text{-}mset\ I \models_{sm}\ clauses\ T + conflicting\text{-}clss\ T \rangle$   
**moreover have**  $\langle total\text{-}over\text{-}m\ (set\text{-}mset\ I)\ (set\text{-}mset\ (clauses\ T + conflicting\text{-}clss\ T)) \rangle$   
**using**  $tot[symmetric]\ atms\text{-}of\text{-}conflicting\text{-}clss[of\ T]\ alien$   
**unfolding**  $rtrancpl\text{-}cdcl\text{-}bnb\text{-}no\text{-}more\text{-}init\text{-}clss[OF\ st']\ cdcl_W\text{-}restart\text{-}mset.no\text{-}strange\text{-}atm\text{-}def$   
**by**  $(auto\ simp: clauses\text{-}def\ total\text{-}over\text{-}m\text{-}def\ total\text{-}over\text{-}set\text{-}def\ atm\text{-}iff\text{-}pos\text{-}or\text{-}neg\text{-}lit\ abs\text{-}state\text{-}def\ cdcl_W\text{-}restart\text{-}mset\text{-}state\ atms\text{-}eq)$

**then show**  $False$

**using**  $ent'$   $cons\ ent''$  **unfolding**  $true\text{-}clss\text{-}cls\text{-}def$  **by**  $auto$

**qed**

**then show**  $\langle \varrho' (weight\ T) \leq Found\ (\varrho\ I) \rangle$

**using**  $rtrancpl\text{-}cdcl\text{-}bnb\text{-}still\text{-}model[OF\ st'\ all\text{-}struct\ ent\ dist\ cons\ tot\ opt\text{-}struct]$

**by**  $auto$

**show**  $\langle unsatisfiable\ (set\text{-}mset\ (clauses\ T + conflicting\text{-}clss\ T)) \rangle$

**proof**

**assume**  $\langle satisfiable\ (set\text{-}mset\ (clauses\ T + conflicting\text{-}clss\ T)) \rangle$

**then obtain**  $I$  **where**

$ent''$ :  $\langle I \models_{sm}\ clauses\ T + conflicting\text{-}clss\ T \rangle$  **and**

$tot$ :  $\langle total\text{-}over\text{-}m\ I\ (set\text{-}mset\ (clauses\ T + conflicting\text{-}clss\ T)) \rangle$  **and**

$\langle consistent\text{-}interp\ I \rangle$

**unfolding**  $satisfiable\text{-}def$

**by**  $blast$

**then show**  $\langle False \rangle$

**using**  $ent'$   $cons$  **unfolding**  $true\text{-}clss\text{-}cls\text{-}def$  **by**  $auto$

**qed**

**qed**

**lemma** *full-cdcl-bnb-stgy-unsat2*:  
**assumes**  
  *st*:  $\langle \text{full cdcl-bnb-stgy } S \ T \rangle$  **and**  
  *all-struct*:  $\langle \text{cdcl}_W\text{-restart-mset.cdcl}_W\text{-all-struct-inv } (\text{abs-state } S) \rangle$  **and**  
  *opt-struct*:  $\langle \text{cdcl-bnb-struct-invs } S \rangle$  **and**  
  *stgy-inv*:  $\langle \text{cdcl-bnb-stgy-inv } S \rangle$   
**shows**  
   $\langle \text{unsatisfiable } (\text{set-mset } (\text{clauses } T + \text{conflicting-clss } T)) \rangle$   
**proof** –  
  **have** *ns*:  $\langle \text{no-step cdcl-bnb-stgy } T \rangle$  **and**  
  *st*:  $\langle \text{cdcl-bnb-stgy}^{**} S \ T \rangle$  **and**  
  *st'*:  $\langle \text{cdcl-bnb}^{**} S \ T \rangle$   
  **using** *st* **unfolding** *full-def* **by** (*auto intro: rtranclp-cdcl-bnb-stgy-cdcl-bnb*)  
  **have** *ns'*:  $\langle \text{no-step cdcl-bnb } T \rangle$   
  **by** (*meson cdcl-bnb.cases cdcl-bnb-stgy.simps no-conf-prop-impr.elims(3) ns*)  
  **have** *struct-T*:  $\langle \text{cdcl}_W\text{-restart-mset.cdcl}_W\text{-all-struct-inv } (\text{abs-state } T) \rangle$   
  **using** *rtranclp-cdcl-bnb-stgy-all-struct-inv*[*OF st' all-struct*] .  
  **have** *stgy-T*:  $\langle \text{cdcl-bnb-stgy-inv } T \rangle$   
  **using** *rtranclp-cdcl-bnb-stgy-stgy-inv*[*OF st all-struct stgy-inv*] .  
  **have** *confl*:  $\langle \text{conflicting } T = \text{Some } \{\#\} \rangle$   
  **using** *no-step-cdcl-bnb-stgy-empty-conflict2*[*OF ns' struct-T stgy-T*] .  
  
  **have**  $\langle \text{cdcl}_W\text{-restart-mset.cdcl}_W\text{-learned-clause } (\text{abs-state } T) \rangle$  **and**  
  *alien*:  $\langle \text{cdcl}_W\text{-restart-mset.no-strange-atm } (\text{abs-state } T) \rangle$   
  **using** *struct-T* **unfolding** *cdcl\_W-restart-mset.cdcl\_W-all-struct-inv-def* **by** *fast+*  
  **then have** *ent'*:  $\langle \text{set-mset } (\text{clauses } T + \text{conflicting-clss } T) \models_p \{\#\} \rangle$   
  **using** *confl* **unfolding** *cdcl\_W-restart-mset.cdcl\_W-learned-clause-alt-def*  
  **by** *auto*  
  
  **show**  $\langle \text{unsatisfiable } (\text{set-mset } (\text{clauses } T + \text{conflicting-clss } T)) \rangle$   
  **proof**  
  **assume**  $\langle \text{satisfiable } (\text{set-mset } (\text{clauses } T + \text{conflicting-clss } T)) \rangle$   
  **then obtain** *I* **where**  
  *ent''*:  $\langle I \models_{sm} \text{clauses } T + \text{conflicting-clss } T \rangle$  **and**  
  *tot*:  $\langle \text{total-over-m } I \ (\text{set-mset } (\text{clauses } T + \text{conflicting-clss } T)) \rangle$  **and**  
   $\langle \text{consistent-interp } I \rangle$   
  **unfolding** *satisfiable-def*  
  **by** *blast*  
  **then show**  $\langle \text{False} \rangle$   
  **using** *ent'* **unfolding** *true-clss-clss-def* **by** *auto*  
  **qed**  
**qed**  
  
**lemma** *weight-init-state2[simp]*:  $\langle \text{weight } (\text{init-state } S) = \text{None} \rangle$  **and**  
   $\langle \text{conflicting-clss-init-state[simp]} : \text{conflicting-clss } (\text{init-state } N) = \{\#\} \rangle$   
**unfolding** *weight-def conflicting-clss-def conflicting-clauses-def*  
**by** (*auto simp: weight-init-state true-clss-clss-true-clss-clss-iff simple-clss-finite*  
  *filter-mset-empty-conv mset-set-empty-iff dest: simple-clssE*)

First part of Theorem 2.15.6 of Weidenbach's book

**lemma** *full-cdcl-bnb-stgy-no-conflicting-clause-unsat*:  
**assumes**  
  *st*:  $\langle \text{full cdcl-bnb-stgy } S \ T \rangle$  **and**  
  *all-struct*:  $\langle \text{cdcl}_W\text{-restart-mset.cdcl}_W\text{-all-struct-inv } (\text{abs-state } S) \rangle$  **and**  
  *opt-struct*:  $\langle \text{cdcl-bnb-struct-invs } S \rangle$  **and**



*stgy-inv*:  $\langle \text{cdcl-bnb-stgy-inv } S \rangle$  **and**  
*[simp]*:  $\langle \text{weight } T = \text{None} \rangle$  **and**  
*ent*:  $\langle \text{cdcl}_W\text{-learned-clauses-entailed-by-init } S \rangle$   
**shows**  $\langle \text{unsatisfiable } (\text{set-mset } (\text{init-clss } S)) \rangle$   
**proof** –  
**have**  $\langle \text{cdcl}_W\text{-restart-mset.cdcl}_W\text{-learned-clauses-entailed-by-init } (\text{abs-state } S) \rangle$  **and**  
 $\langle \text{conflicting-clss } T = \{\#\} \rangle$   
**using** *ent* **by** (auto *simp*: *cdcl<sub>W</sub>-restart-mset.cdcl<sub>W</sub>-learned-clauses-entailed-by-init-def*  
*cdcl<sub>W</sub>-learned-clauses-entailed-by-init-def* *abs-state-def* *cdcl<sub>W</sub>-restart-mset-state*  
*conflicting-clss-def* *conflicting-clauses-def* *true-clss-cls-tautology-iff* *simple-clss-finite*  
*filter-mset-empty-conv* *mset-set-empty-iff* *dest*: *simple-clssE*)  
**then show** ?thesis  
**using** *full-cdcl-bnb-stgy-no-conflicting-clss-unsat*[*OF* - *st all-struct*  
*stgy-inv*] **by** (auto *simp*: *can-always-improve*)  
**qed**

**definition** *annotation-is-model* **where**

$\langle \text{annotation-is-model } S \longleftrightarrow$   
 $(\text{weight } S \neq \text{None} \longrightarrow (\text{set-mset } (\text{the } (\text{weight } S))) \models_{\text{sm}} \text{init-clss } S \wedge$   
 $\text{consistent-interp } (\text{set-mset } (\text{the } (\text{weight } S))) \wedge$   
 $\text{atms-of } (\text{the } (\text{weight } S)) \subseteq \text{atms-of-mm } (\text{init-clss } S) \wedge$   
 $\text{total-over-m } (\text{set-mset } (\text{the } (\text{weight } S))) (\text{set-mset } (\text{init-clss } S)) \wedge$   
 $\text{distinct-mset } (\text{the } (\text{weight } S)))) \rangle$

**lemma** *cdcl-bnb-annotation-is-model*:

**assumes**  
 $\langle \text{cdcl-bnb } S \ T \rangle$  **and**  
 $\langle \text{cdcl}_W\text{-restart-mset.cdcl}_W\text{-all-struct-inv } (\text{abs-state } S) \rangle$  **and**  
 $\langle \text{annotation-is-model } S \rangle$   
**shows**  $\langle \text{annotation-is-model } T \rangle$

**proof** –  
**have** [*simp*]:  $\langle \text{atms-of } (\text{lit-of } \# \text{ mset } M) = \text{atm-of } \text{lit-of } \text{set } M \rangle$  **for** *M*  
**by** (auto *simp*: *atms-of-def*)  
**have**  $\langle \text{consistent-interp } (\text{lits-of-l } (\text{trail } S)) \wedge$   
 $\text{atm-of } \text{lits-of-l } (\text{trail } S) \subseteq \text{atms-of-mm } (\text{init-clss } S) \wedge$   
 $\text{distinct-mset } (\text{lit-of } \# \text{ mset } (\text{trail } S)) \rangle$   
**using** *assms*(2) **by** (auto *simp*: *cdcl<sub>W</sub>-restart-mset.cdcl<sub>W</sub>-all-struct-inv-def*  
*abs-state-def* *cdcl<sub>W</sub>-restart-mset-state* *cdcl<sub>W</sub>-restart-mset.no-strange-atm-def*  
*cdcl<sub>W</sub>-restart-mset.cdcl<sub>W</sub>-M-level-inv-def*  
*dest*: *no-dup-distinct*)  
**with** *assms*(1,3)  
**show** ?thesis  
**apply** (*cases rule*: *cdcl-bnb.cases*)  
**subgoal**  
**by** (auto *simp*: *conflict.simps annotation-is-model-def*)  
**subgoal**  
**by** (auto *simp*: *propagate.simps annotation-is-model-def*)  
**subgoal**  
**by** (*force simp*: *annotation-is-model-def* *true-annots-true-clss* *lits-of-def*  
*improvep.simps is-improving-int-def* *image-Un* *image-image* *simple-clss-def*  
*consistent-interp-tautology-mset-set*  
*dest*!: *consistent-interp-unionD* *intro*: *distinct-mset-union2*)  
**subgoal**  
**by** (auto *simp*: *annotation-is-model-def* *conflict-opt.simps*)  
**subgoal**  
**by** (auto *simp*: *annotation-is-model-def*)

```

    ocdclW-o.simps cdcl-bnb-bj.simps obacktrack.simps
    skip.simps resolve.simps decide.simps)
done
qed

lemma rtrancpl-cdcl-bnb-annotation-is-model:
  ⟨cdcl-bnb** S T ⟹ cdclW-restart-mset.cdclW-all-struct-inv (abs-state S) ⟹
    annotation-is-model S ⟹ annotation-is-model T⟩
by (induction rule: rtrancpl-induct)
  (auto simp: cdcl-bnb-annotation-is-model rtrancpl-cdcl-bnb-stgy-all-struct-inv)

```

Theorem 2.15.6 of Weidenbach's book

**theorem** full-cdcl-bnb-stgy-no-conflicting-clause-from-init-state:

**assumes**

*st*: ⟨full cdcl-bnb-stgy (init-state N) T⟩ **and**

*dist*: ⟨distinct-mset-mset N⟩

**shows**

⟨weight T = None ⟹ unsatisfiable (set-mset N)⟩ (**is** ⟨?B ⟹ ?A⟩) **and**  
 ⟨weight T ≠ None ⟹ consistent-interp (set-mset (the (weight T))) ∧  
 atms-of (the (weight T)) ⊆ atms-of-mm N ∧ set-mset (the (weight T)) ⊨<sub>sm</sub> N ∧  
 total-over-m (set-mset (the (weight T))) (set-mset N) ∧  
 distinct-mset (the (weight T))⟩ **and**  
 ⟨distinct-mset I ⟹ consistent-interp (set-mset I) ⟹ atms-of I = atms-of-mm N ⟹  
 set-mset I ⊨<sub>sm</sub> N ⟹ Found (ρ I) ≥ ρ' (weight T)⟩

**proof** –

let ?S = ⟨init-state N⟩

**have** ⟨distinct-mset C⟩ **if** ⟨C ∈# N⟩ **for** C

using *dist* that **by** (auto simp: distinct-mset-set-def dest: multi-member-split)

**then have** *dist*: ⟨distinct-mset-mset N⟩

**by** (auto simp: distinct-mset-set-def)

**then have** [*simp*]: ⟨cdcl<sub>W</sub>-restart-mset.cdcl<sub>W</sub>-all-struct-inv ([], N, {#}, None)⟩

**unfolding** init-state.simps[symmetric]

**by** (auto simp: cdcl<sub>W</sub>-restart-mset.cdcl<sub>W</sub>-all-struct-inv-def)

**moreover have** [*iff*]: ⟨cdcl-bnb-struct-invs ?S⟩ **and** [*simp*]: ⟨cdcl-bnb-stgy-inv ?S⟩

**by** (auto simp: cdcl-bnb-struct-invs-def conflict-is-false-with-level-def cdcl-bnb-stgy-inv-def)

**moreover have** *ent*: ⟨cdcl<sub>W</sub>-learned-clauses-entailed-by-init ?S⟩

**by** (auto simp: cdcl<sub>W</sub>-learned-clauses-entailed-by-init-def)

**moreover have** [*simp*]: ⟨cdcl<sub>W</sub>-restart-mset.cdcl<sub>W</sub>-all-struct-inv (abs-state (init-state N))⟩

**unfolding** CDCL-W-Abstract-State.init-state.simps abs-state-def

**by** auto

**ultimately show** ⟨weight T = None ⟹ unsatisfiable (set-mset N)⟩

using full-cdcl-bnb-stgy-no-conflicting-clause-unsat[OF *st*]

**by** auto

**have** ⟨annotation-is-model ?S⟩

**by** (auto simp: annotation-is-model-def)

**then have** ⟨annotation-is-model T⟩

using rtrancpl-cdcl-bnb-annotation-is-model[of ?S T] *st*

**unfolding** full-def **by** (auto dest: rtrancpl-cdcl-bnb-stgy-cdcl-bnb)

**moreover have** ⟨init-clss T = N⟩

using rtrancpl-cdcl-bnb-no-more-init-clss[of ?S T] *st*

**unfolding** full-def **by** (auto dest: rtrancpl-cdcl-bnb-stgy-cdcl-bnb)

**ultimately show** ⟨weight T ≠ None ⟹ consistent-interp (set-mset (the (weight T))) ∧

atms-of (the (weight T)) ⊆ atms-of-mm N ∧ set-mset (the (weight T)) ⊨<sub>sm</sub> N ∧

total-over-m (set-mset (the (weight T))) (set-mset N) ∧

distinct-mset (the (weight T))⟩

**by** (auto simp: annotation-is-model-def)

**show**  $\langle \text{distinct-mset } I \implies \text{consistent-interp } (\text{set-mset } I) \implies \text{atms-of } I = \text{atms-of-mm } N \implies \text{set-mset } I \models_{sm} N \implies \text{Found } (\varrho \ I) \geq \varrho' \ (\text{weight } T) \rangle$   
**using** *full-cdcl-bnb-stgy-larger-or-equal-weight*[of ?S T I] **st** **unfolding** *full-def*  
**by** *auto*  
**qed**

**lemma** *pruned-clause-in-conflicting-clss*:

**assumes**

*ge*:  $\langle \bigwedge M'. \text{total-over-m } (\text{set-mset } (\text{mset } (M @ M'))) (\text{set-mset } (\text{init-clss } S)) \implies \text{distinct-mset } (\text{atm-of } \# \text{ mset } (M @ M')) \implies \text{consistent-interp } (\text{set-mset } (\text{mset } (M @ M'))) \implies \text{Found } (\varrho \ (\text{mset } (M @ M'))) \geq \varrho' \ (\text{weight } S) \rangle$  **and**  
*atm*:  $\langle \text{atms-of } (\text{mset } M) \subseteq \text{atms-of-mm } (\text{init-clss } S) \rangle$  **and**  
*dist*:  $\langle \text{distinct } M \rangle$  **and**  
*cons*:  $\langle \text{consistent-interp } (\text{set } M) \rangle$

**shows**  $\langle \text{pNeg } (\text{mset } M) \in \# \text{ conflicting-clss } S \rangle$

**proof** –

**have** *0*:  $\langle \text{pNeg } o \text{ mset } o ((@) \ M) \rangle \{M'\}$

$\text{distinct-mset } (\text{atm-of } \# \text{ mset } (M @ M')) \wedge \text{consistent-interp } (\text{set-mset } (\text{mset } (M @ M'))) \wedge \text{atms-of-s } (\text{set } (M @ M')) \subseteq (\text{atms-of-mm } (\text{init-clss } S)) \wedge \text{card } (\text{atms-of-mm } (\text{init-clss } S)) = n + \text{card } (\text{atms-of } (\text{mset } (M @ M')) \} \subseteq \text{set-mset } (\text{conflicting-clss } S) \rangle$  **(is**  $\langle - \ ?A \ n \subseteq ?H \rangle$  **for** *n*

**proof** (*induction n*)

**case** *0*

**show** *?case*

**proof** *clarify*

**fix** *x* ::  $\langle 'v \text{ literal multiset} \rangle$  **and** *xa* ::  $\langle 'v \text{ literal multiset} \rangle$  **and**

*xb* ::  $\langle 'v \text{ literal list} \rangle$  **and** *xc* ::  $\langle 'v \text{ literal list} \rangle$

**assume**

*dist*:  $\langle \text{distinct-mset } (\text{atm-of } \# \text{ mset } (M @ xc)) \rangle$  **and**  
*cons*:  $\langle \text{consistent-interp } (\text{set-mset } (\text{mset } (M @ xc))) \rangle$  **and**  
*atm*:  $\langle \text{atms-of-s } (\text{set } (M @ xc)) \subseteq \text{atms-of-mm } (\text{init-clss } S) \rangle$  **and**  
*0*:  $\langle \text{card } (\text{atms-of-mm } (\text{init-clss } S)) = 0 + \text{card } (\text{atms-of } (\text{mset } (M @ xc))) \rangle$

**have** *D*[*dest*]:

$\langle A \in \text{set } M \implies A \notin \text{set } xc \rangle \langle A \in \text{set } M \implies \neg A \notin \text{set } xc \rangle$

**for** *A*

**using** *dist multi-member-split*[of *A*  $\langle \text{mset } M \rangle$ ] *multi-member-split*[of  $\langle \neg A \rangle \langle \text{mset } xc \rangle$ ]  
*multi-member-split*[of  $\langle \neg A \rangle \langle \text{mset } M \rangle$ ] *multi-member-split*[of  $\langle A \rangle \langle \text{mset } xc \rangle$ ]

**by** (*auto simp: atm-of-in-atm-of-set-iff-in-set-or-uminus-in-set*)

**have** *dist2*:  $\langle \text{distinct } xc \rangle \langle \text{distinct-mset } (\text{atm-of } \# \text{ mset } xc) \rangle$

$\langle \text{distinct-mset } (\text{mset } M + \text{mset } xc) \rangle$

**using** *dist distinct-mset-atm-ofD*[OF *dist*]

**unfolding** *mset-append*[*symmetric*] *distinct-mset-mset-distinct*

**by** (*auto dest: distinct-mset-union2 distinct-mset-atm-ofD*)

**have** *eq*:  $\langle \text{card } (\text{atms-of-s } (\text{set } M) \cup \text{atms-of-s } (\text{set } xc)) =$

$\text{card } (\text{atms-of-s } (\text{set } M)) + \text{card } (\text{atms-of-s } (\text{set } xc)) \rangle$

**by** (*subst card-Un-Int*) *auto*

**let** *?M* =  $\langle M @ xc \rangle$

**have** *H1*:  $\langle \text{atms-of-s } (\text{set } ?M) = \text{atms-of-mm } (\text{init-clss } S) \rangle$

**using** *eq atm card-mono*[OF - *atm*] *card-subset-eq*[OF - *atm*] *0*

**by** (*auto simp: atms-of-s-def image-Un*)

**moreover have** *tot2*:  $\langle \text{total-over-m } (\text{set } ?M) (\text{set-mset } (\text{init-clss } S)) \rangle$

**using** *H1* **by** (*auto simp: total-over-m-def total-over-set-def lit-in-set-iff-atm*)

**moreover have**  $\langle \neg \text{tautology } (\text{mset } ?M) \rangle$

```

    using cons unfolding consistent-interp-tautology[symmetric]
    by auto
ultimately have ⟨mset ?M ∈ simple-clss (atms-of-mm (init-clss S))⟩
  using dist atm cons H1 dist2
  by (auto simp: simple-clss-def consistent-interp-tautology atms-of-s-def)
moreover have tot2: ⟨total-over-m (set ?M) (set-mset (init-clss S))⟩
  using H1 by (auto simp: total-over-m-def total-over-set-def lit-in-set-iff-atm)
ultimately show ⟨(pNeg ∘ mset ∘ (@) M) xc ∈# conflicting-clss S⟩
  using ge[of ⟨xc⟩] dist 0 cons card-mono[OF - atm] tot2 cons
  by (auto simp: conflicting-clss-def too-heavy-clauses-def simple-clss-finite
    intro!: too-heavy-clauses-conflicting-clauses imageI)
qed
next
case (Suc n) note IH = this(1)
let ?H = ⟨?A n⟩
show ?case
proof clarify
  fix x :: ⟨'v literal multiset⟩ and xa :: ⟨'v literal multiset⟩ and
    xb :: ⟨'v literal list⟩ and xc :: ⟨'v literal list⟩
  assume
    dist: ⟨distinct-mset (atm-of '# mset (M @ xc))⟩ and
    cons: ⟨consistent-interp (set-mset (mset (M @ xc)))⟩ and
    atm': ⟨atms-of-s (set (M @ xc)) ⊆ atms-of-mm (init-clss S)⟩ and
    0: ⟨card (atms-of-mm (init-clss S)) = Suc n + card (atms-of (mset (M @ xc)))⟩
  then obtain a where
    a: ⟨a ∈ atms-of-mm (init-clss S)⟩ and
    a-notin: ⟨a ∉ atms-of-s (set (M @ xc))⟩
  by (metis Suc-n-not-le-n add-Suc-shift atms-of-mmset atms-of-s-def le-add2
    subsetI subset-antisym)
  have dist2: ⟨distinct xc⟩ ⟨distinct-mset (atm-of '# mset xc)⟩
    ⟨distinct-mset (mset M + mset xc)⟩
    using dist distinct-mset-atm-ofD[OF dist]
    unfolding mset-append[symmetric] distinct-mset-mset-distinct
    by (auto dest: distinct-mset-union2 distinct-mset-atm-ofD)
  let ?xc1 = ⟨Pos a # xc⟩
  let ?xc2 = ⟨Neg a # xc⟩
  have ⟨?xc1 ∈ ?H⟩
    using dist cons atm' 0 dist2 a-notin a
    by (auto simp: distinct-mset-add mset-inter-empty-set-mset
      lit-in-set-iff-atm card-insert-if)
  from set-mp[OF IH imageI[OF this]]
  have 1: ⟨too-heavy-clauses (init-clss S) (weight S) ⊨pm add-mset (-(Pos a)) (pNeg (mset (M @
xc)))⟩
    unfolding conflicting-clss-def unfolding conflicting-clauses-def
    by (auto simp: pNeg-simps)
  have ⟨?xc2 ∈ ?H⟩
    using dist cons atm' 0 dist2 a-notin a
    by (auto simp: distinct-mset-add mset-inter-empty-set-mset
      lit-in-set-iff-atm card-insert-if)
  from set-mp[OF IH imageI[OF this]]
  have 2: ⟨too-heavy-clauses (init-clss S) (weight S) ⊨pm add-mset (Pos a) (pNeg (mset (M @ xc)))⟩
    unfolding conflicting-clss-def unfolding conflicting-clauses-def
    by (auto simp: pNeg-simps)

  have ⟨¬tautology (mset (M @ xc))⟩
    using cons unfolding consistent-interp-tautology[symmetric]

```

```

    by auto
  then have  $\neg \text{tautology } (pNeg \ (mset \ M) + pNeg \ (mset \ xc))$ 
    unfolding mset-append[symmetric] pNeg-simps[symmetric]
    by (auto simp del: mset-append)
  then have  $\langle pNeg \ (mset \ M) + pNeg \ (mset \ xc) \in \text{simple-clss } (\text{atms-of-mm } (\text{init-clss } S)) \rangle$ 
    using atm' dist2 by (auto simp: simple-clss-def atms-of-s-def simp flip: pNeg-simps)
  then show  $\langle (pNeg \circ mset \circ (@) \ M) \ xc \in \# \ \text{conflicting-clss } S \rangle$ 
    using true-clss-cls-or-true-clss-cls-or-not-true-clss-cls-or[OF 1 2] apply -
    unfolding conflicting-clss-def conflicting-clauses-def
    by (subst (asm) true-clss-cls-remdups-mset[symmetric])
      (auto simp: simple-clss-finite pNeg-simps intro: true-clss-cls-cong-set-mset
        simp del: true-clss-cls-remdups-mset)
qed
qed
have  $\langle [] \in \{M'\}$ 
  distinct-mset (atm-of '# mset (M @ M'))  $\wedge$ 
  consistent-interp (set-mset (mset (M @ M')))  $\wedge$ 
  atms-of-s (set (M @ M'))  $\subseteq$  atms-of-mm (init-clss S)  $\wedge$ 
  card (atms-of-mm (init-clss S)) =
  card (atms-of-mm (init-clss S)) - card (atms-of (mset M)) +
  card (atms-of (mset (M @ M'))))  $\rangle$ 
  using card-mono[OF - assms(2)] assms by (auto dest: card-mono distinct-consistent-distinct-atm)

from set-mp[OF 0 imageI[OF this]]
show  $\langle pNeg \ (mset \ M) \in \# \ \text{conflicting-clss } S \rangle$ 
  by auto
qed

end

end
theory OCDCL
  imports CDCL-W-Optimal-Model
begin

```

## Alternative versions

We instantiate our more general rules with exactly the rule from Christoph's OCDCL with either versions of improve.

## Weights

This one is the version of the weight functions used by Christoph Weidenbach. However, we have decided to not instantiate the calculus with this weight function, because it only a slight restriction.

```

locale ocdcl-weight-WB =
  fixes
     $\nu :: \langle 'v \text{ literal} \Rightarrow \text{nat} \rangle$ 
begin

```

```

definition  $\varrho :: \langle 'v \text{ clause} \Rightarrow \text{nat} \rangle$  where
   $\langle \varrho \ M = (\sum A \in \# \ M. \nu \ A) \rangle$ 

```

```

sublocale ocdcl-weight  $\varrho$ 

```

by (unfold-locales)  
(auto simp:  $\varrho$ -def sum-image-mset-mono)

end

## Calculus with simple Improve rule

context conflict-driven-clause-learning<sub>w</sub>-optimal-weight  
begin

To make sure that the paper version of the correct, we restrict the previous calculus to exactly the rules that are on paper.

inductive pruning ::  $\langle 'st \Rightarrow 'st \Rightarrow bool \rangle$  where

pruning-rule:

$\langle \text{pruning } S \ T \rangle$

if

$\langle \bigwedge M'. \text{total-over-m } (\text{set-mset } (\text{mset } (\text{map lit-of } (\text{trail } S) \ @ \ M'))) \ (\text{set-mset } (\text{init-clss } S)) \implies$   
 $\text{distinct-mset } (\text{atm-of } \# \ \text{mset } (\text{map lit-of } (\text{trail } S) \ @ \ M'))) \implies$   
 $\text{consistent-interp } (\text{set-mset } (\text{mset } (\text{map lit-of } (\text{trail } S) \ @ \ M'))) \implies$   
 $\varrho' (\text{weight } S) \leq \text{Found } (\varrho (\text{mset } (\text{map lit-of } (\text{trail } S) \ @ \ M')))) \rangle$   
 $\langle \text{conflicting } S = \text{None} \rangle$   
 $\langle T \sim \text{update-conflicting } (\text{Some } (\text{negate-ann-lits } (\text{trail } S))) \ S \rangle$

inductive oconflict-opt ::  $\langle 'st \Rightarrow 'st \Rightarrow bool \rangle$  for  $S \ T :: 'st$  where

oconflict-opt-rule:

$\langle \text{oconflict-opt } S \ T \rangle$

if

$\langle \text{Found } (\varrho (\text{lit-of } \# \ \text{mset } (\text{trail } S))) \geq \varrho' (\text{weight } S) \rangle$   
 $\langle \text{conflicting } S = \text{None} \rangle$   
 $\langle T \sim \text{update-conflicting } (\text{Some } (\text{negate-ann-lits } (\text{trail } S))) \ S \rangle$

inductive improve ::  $\langle 'st \Rightarrow 'st \Rightarrow bool \rangle$  for  $S \ T :: 'st$  where

improve-rule:

$\langle \text{improve } S \ T \rangle$

if

$\langle \text{total-over-m } (\text{lits-of-l } (\text{trail } S)) \ (\text{set-mset } (\text{init-clss } S)) \rangle$   
 $\langle \text{Found } (\varrho (\text{lit-of } \# \ \text{mset } (\text{trail } S))) < \varrho' (\text{weight } S) \rangle$   
 $\langle \text{trail } S \models_{\text{asm}} \text{init-clss } S \rangle$   
 $\langle \text{conflicting } S = \text{None} \rangle$   
 $\langle T \sim \text{update-weight-information } (\text{trail } S) \ S \rangle$

This is the basic version of the calculus:

inductive ocdcl<sub>w</sub> ::  $\langle 'st \Rightarrow 'st \Rightarrow bool \rangle$  for  $S :: 'st$  where

ocdcl-conflict:  $\langle \text{conflict } S \ S' \implies \text{ocdcl}_w \ S \ S' \rangle \mid$   
ocdcl-propagate:  $\langle \text{propagate } S \ S' \implies \text{ocdcl}_w \ S \ S' \rangle \mid$   
ocdcl-improve:  $\langle \text{improve } S \ S' \implies \text{ocdcl}_w \ S \ S' \rangle \mid$   
ocdcl-conflict-opt:  $\langle \text{oconflict-opt } S \ S' \implies \text{ocdcl}_w \ S \ S' \rangle \mid$   
ocdcl-other':  $\langle \text{ocdcl}_{W-o} \ S \ S' \implies \text{ocdcl}_w \ S \ S' \rangle \mid$   
ocdcl-pruning:  $\langle \text{pruning } S \ S' \implies \text{ocdcl}_w \ S \ S' \rangle$

inductive ocdcl<sub>w</sub>-stgy ::  $\langle 'st \Rightarrow 'st \Rightarrow bool \rangle$  for  $S :: 'st$  where

ocdcl<sub>w</sub>-conflict:  $\langle \text{conflict } S \ S' \implies \text{ocdcl}_w\text{-stgy } S \ S' \rangle \mid$   
ocdcl<sub>w</sub>-propagate:  $\langle \text{propagate } S \ S' \implies \text{ocdcl}_w\text{-stgy } S \ S' \rangle \mid$   
ocdcl<sub>w</sub>-improve:  $\langle \text{improve } S \ S' \implies \text{ocdcl}_w\text{-stgy } S \ S' \rangle \mid$   
ocdcl<sub>w</sub>-conflict-opt:  $\langle \text{conflict-opt } S \ S' \implies \text{ocdcl}_w\text{-stgy } S \ S' \rangle \mid$

$ocdcl_w\text{-other}' : \langle ocdcl_W\text{-o } S \ S' \implies \text{no-conflict-prop-impr } S \implies ocdcl_w\text{-stgy } S \ S' \rangle$

**lemma** *pruning-conflict-opt*:

**assumes** *ocdcl-pruning*:  $\langle \text{pruning } S \ T \rangle$  **and**

*inv*:  $\langle cdcl_W\text{-restart-mset}.cdcl_W\text{-all-struct-inv } (\text{abs-state } S) \rangle$

**shows**  $\langle \text{conflict-opt } S \ T \rangle$

**proof** –

**have** *le*:

$\langle \bigwedge M'. \text{total-over-m } (\text{set-mset } (\text{mset } (\text{map lit-of } (\text{trail } S) \ @ \ M'))) \implies$   
 $(\text{set-mset } (\text{init-clss } S)) \implies$   
 $\text{distinct-mset } (\text{atm-of } \# \text{ mset } (\text{map lit-of } (\text{trail } S) \ @ \ M')) \implies$   
 $\text{consistent-interp } (\text{set-mset } (\text{mset } (\text{map lit-of } (\text{trail } S) \ @ \ M'))) \implies$   
 $\varrho' (\text{weight } S) \leq \text{Found } (\varrho (\text{mset } (\text{map lit-of } (\text{trail } S) \ @ \ M')))) \rangle$

**using** *ocdcl-pruning* **by** (*auto simp: pruning.simps*)

**have** *alien*:  $\langle cdcl_W\text{-restart-mset}.no\text{-strange-atm } (\text{abs-state } S) \rangle$  **and**

*lev*:  $\langle cdcl_W\text{-restart-mset}.cdcl_W\text{-M-level-inv } (\text{abs-state } S) \rangle$

**using** *inv* **unfolding** *cdcl\_W-restart-mset.cdcl\_W-all-struct-inv-def*

**by** *fast+*

**have** *incl*:  $\langle \text{atms-of } (\text{mset } (\text{map lit-of } (\text{trail } S))) \subseteq \text{atms-of-mm } (\text{init-clss } S) \rangle$

**using** *alien* **unfolding** *cdcl\_W-restart-mset.no-strange-atm-def*

**by** (*auto simp: abs-state-def cdcl\_W-restart-mset-state lits-of-def image-image atms-of-def*)

**have** *dist*:  $\langle \text{distinct } (\text{map lit-of } (\text{trail } S)) \rangle$  **and**

*cons*:  $\langle \text{consistent-interp } (\text{set } (\text{map lit-of } (\text{trail } S))) \rangle$

**using** *lev* **unfolding** *cdcl\_W-restart-mset.cdcl\_W-M-level-inv-def*

**by** (*auto simp: abs-state-def cdcl\_W-restart-mset-state lits-of-def image-image atms-of-def*  
*dest: no-dup-map-lit-of*)

**have**  $\langle \text{negate-ann-lits } (\text{trail } S) \in \# \text{ conflicting-clss } S \rangle$

**unfolding** *negate-ann-lits-pNeg-lit-of comp-def mset-map[symmetric]*

**by** (*rule pruned-clause-in-conflicting-clss[OF le incl dist cons]*) *fast+*

**then show**  $\langle \text{conflict-opt } S \ T \rangle$

**by** (*rule conflict-opt.intros*) (*use ocdcl-pruning in (auto simp: pruning.simps)*)

**qed**

**lemma** *ocdcl-conflict-opt-conflict-opt*:

**assumes** *ocdcl-pruning*:  $\langle \text{occonflict-opt } S \ T \rangle$  **and**

*inv*:  $\langle cdcl_W\text{-restart-mset}.cdcl_W\text{-all-struct-inv } (\text{abs-state } S) \rangle$

**shows**  $\langle \text{conflict-opt } S \ T \rangle$

**proof** –

**have** *alien*:  $\langle cdcl_W\text{-restart-mset}.no\text{-strange-atm } (\text{abs-state } S) \rangle$  **and**

*lev*:  $\langle cdcl_W\text{-restart-mset}.cdcl_W\text{-M-level-inv } (\text{abs-state } S) \rangle$

**using** *inv* **unfolding** *cdcl\_W-restart-mset.cdcl\_W-all-struct-inv-def*

**by** *fast+*

**have** *incl*:  $\langle \text{atms-of } (\text{lit-of } \# \text{ mset } (\text{trail } S)) \subseteq \text{atms-of-mm } (\text{init-clss } S) \rangle$

**using** *alien* **unfolding** *cdcl\_W-restart-mset.no-strange-atm-def*

**by** (*auto simp: abs-state-def cdcl\_W-restart-mset-state lits-of-def image-image atms-of-def*)

**have** *dist*:  $\langle \text{distinct-mset } (\text{lit-of } \# \text{ mset } (\text{trail } S)) \rangle$  **and**

*cons*:  $\langle \text{consistent-interp } (\text{set } (\text{map lit-of } (\text{trail } S))) \rangle$  **and**

*tauto*:  $\langle \neg \text{tautology } (\text{lit-of } \# \text{ mset } (\text{trail } S)) \rangle$

**using** *lev* **unfolding** *cdcl\_W-restart-mset.cdcl\_W-M-level-inv-def*

**by** (*auto simp: abs-state-def cdcl\_W-restart-mset-state lits-of-def image-image atms-of-def*  
*dest: no-dup-map-lit-of no-dup-distinct no-dup-not-tautology*)

**have**  $\langle \text{lit-of } \# \text{ mset } (\text{trail } S) \in \text{simple-clss } (\text{atms-of-mm } (\text{init-clss } S)) \rangle$

**using** *dist incl tauto* **by** (*auto simp: simple-clss-def*)

**then have** *simple*:  $\langle (\text{lit-of } \# \text{ mset } (\text{trail } S))$

$\in \{a. a \in \# \text{ mset-set } (\text{simple-clss } (\text{atms-of-mm } (\text{init-clss } S))) \} \wedge$

$\varrho' (\text{weight } S) \leq \text{Found } (\varrho a) \rangle$

```

    using ocdcl-pruning by (auto simp: simple-clss-finite oconflict-opt.simps)
  have ⟨negate-ann-lits (trail S) ∈# conflicting-clss S⟩
    unfolding negate-ann-lits-pNeg-lit-of comp-def conflicting-clss-def
    by (rule too-heavy-clauses-conflicting-clauses)
    (use simple in ⟨auto simp: too-heavy-clauses-def oconflict-opt.simps⟩)
  then show ⟨conflict-opt S T⟩
    apply (rule conflict-opt.intros)
    subgoal using ocdcl-pruning by (auto simp: oconflict-opt.simps)
    subgoal using ocdcl-pruning by (auto simp: oconflict-opt.simps)
    done
qed

```

**lemma** *improve-improvep*:

```

  assumes imp: ⟨improve S T⟩ and
    inv: ⟨cdclW-restart-mset.cdclW-all-struct-inv (abs-state S)⟩
  shows ⟨improvep S T⟩

```

**proof** –

```

  have alien: ⟨cdclW-restart-mset.no-strange-atm (abs-state S)⟩ and
    lev: ⟨cdclW-restart-mset.cdclW-M-level-inv (abs-state S)⟩
    using inv unfolding cdclW-restart-mset.cdclW-all-struct-inv-def
    by fast+
  have incl: ⟨atms-of (lit-of ‘# mset (trail S)’) ⊆ atms-of-mm (init-clss S)⟩
    using alien unfolding cdclW-restart-mset.no-strange-atm-def
    by (auto simp: abs-state-def cdclW-restart-mset-state lits-of-def image-image atms-of-def)
  have dist: ⟨distinct-mset (lit-of ‘# mset (trail S)’)⟩ and
    cons: ⟨consistent-interp (set (map lit-of (trail S)))⟩ and
    tauto: ⟨¬tautology (lit-of ‘# mset (trail S)’)⟩ and
    nd: ⟨no-dup (trail S)⟩
    using lev unfolding cdclW-restart-mset.cdclW-M-level-inv-def
    by (auto simp: abs-state-def cdclW-restart-mset-state lits-of-def image-image atms-of-def
      dest: no-dup-map-lit-of no-dup-distinct no-dup-not-tautology)
  have ⟨lit-of ‘# mset (trail S) ∈ simple-clss (atms-of-mm (init-clss S))⟩
    using dist incl tauto by (auto simp: simple-clss-def)
  have tot': ⟨total-over-m (lits-of-l (trail S)) (set-mset (init-clss S))⟩ and
    confl: ⟨conflicting S = None⟩ and
    T: ⟨T ∼ update-weight-information (trail S) S⟩
    using imp nd by (auto simp: is-improving-int-def improve.simps)
  have M': ⟨∅ (lit-of ‘# mset M') = ∅ (lit-of ‘# mset (trail S)')⟩
    if ⟨total-over-m (lits-of-l M') (set-mset (init-clss S))⟩ and
    incl: ⟨mset (trail S) ⊆# mset M'⟩ and
    ⟨lit-of ‘# mset M' ∈ simple-clss (atms-of-mm (init-clss S))⟩
    for M'

```

**proof** –

```

  have [simp]: ⟨lits-of-l M' = set-mset (lit-of ‘# mset M')⟩
    by (auto simp: lits-of-def)
  obtain A where A: ⟨mset M' = A + mset (trail S)⟩
    using incl by (auto simp: mset-subset-eq-exists-conv)
  have M': ⟨lits-of-l M' = lit-of ‘set-mset A ∪ lits-of-l (trail S)’⟩
    unfolding lits-of-def
    by (metis A image-Un set-mset-mset set-mset-union)
  have ⟨mset M' = mset (trail S)⟩
    using that tot' unfolding A total-over-m-alt-def
    apply (case-tac A)
    apply (auto simp: A simple-clss-def distinct-mset-add M' image-Un
      tautology-union mset-inter-empty-set-mset atms-of-def atms-of-s-def)

```



```

      atm-of-in-atm-of-set-iff-in-set-or-uminus-in-set image-image
      tautology-add-mset)
    by (metis (no-types, lifting) atm-of-in-atm-of-set-iff-in-set-or-uminus-in-set
        lits-of-def subsetCE)
  then show ?thesis
    by auto
qed

have ⟨lit-of ‘# mset (trail S) ∈ simple-clss (atms-of-mm (init-clss S))⟩
  using tauto dist incl by (auto simp: simple-clss-def)
then have improving: ⟨is-improving (trail S) (trail S) S⟩ and
  ⟨total-over-m (lits-of-l (trail S)) (set-mset (init-clss S))⟩
  using imp nd by (auto simp: is-improving-int-def improve.simps intro: M')

show ⟨improvep S T⟩
  by (rule improvep.intros[OF improving confl T])
qed

lemma ocdclw-cdcl-bnb:
  assumes ⟨ocdclw S T⟩ and
    inv: ⟨cdclW-restart-mset.cdclW-all-struct-inv (abs-state S)⟩
  shows ⟨cdcl-bnb S T⟩
  using assms by (cases) (auto intro: cdcl-bnb.intros dest: pruning-conflict-opt
    ocdcl-conflict-opt-conflict-opt improve-improvep)

lemma ocdclw-stgy-cdcl-bnb-stgy:
  assumes ⟨ocdclw-stgy S T⟩ and
    inv: ⟨cdclW-restart-mset.cdclW-all-struct-inv (abs-state S)⟩
  shows ⟨cdcl-bnb-stgy S T⟩
  using assms by (cases)
    (auto intro: cdcl-bnb-stgy.intros dest: pruning-conflict-opt improve-improvep)

lemma rtrancpl-ocdclw-stgy-rtrancpl-cdcl-bnb-stgy:
  assumes ⟨ocdclw-stgy** S T⟩ and
    inv: ⟨cdclW-restart-mset.cdclW-all-struct-inv (abs-state S)⟩
  shows ⟨cdcl-bnb-stgy** S T⟩
  using assms
  by (induction rule: rtrancpl-induct)
    (auto dest: rtrancpl-cdcl-bnb-stgy-all-struct-inv[OF rtrancpl-cdcl-bnb-stgy-cdcl-bnb]
      ocdclw-stgy-cdcl-bnb-stgy)

lemma no-step-ocdclw-no-step-cdcl-bnb:
  assumes ⟨no-step ocdclw S⟩ and
    inv: ⟨cdclW-restart-mset.cdclW-all-struct-inv (abs-state S)⟩
  shows ⟨no-step cdcl-bnb S⟩
proof —
  have
    nsc: ⟨no-step conflict S⟩ and
    nsp: ⟨no-step propagate S⟩ and
    nsi: ⟨no-step improve S⟩ and
    nsco: ⟨no-step oconflict-opt S⟩ and
    nso: ⟨no-step ocdclW-o S⟩ and
    nspr: ⟨no-step pruning S⟩
  using assms(1) by (auto simp: cdcl-bnb.simps ocdclw.simps)
  have alien: ⟨cdclW-restart-mset.no-strange-atm (abs-state S)⟩ and

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lev:  $\langle \text{cdcl}_W\text{-restart-mset.cdcl}_W\text{-M-level-inv (abs-state } S) \rangle$ 
using inv unfolding  $\text{cdcl}_W\text{-restart-mset.cdcl}_W\text{-all-struct-inv-def}$ 
by fast+
have incl:  $\langle \text{atms-of (lit-of '# mset (trail } S))} \subseteq \text{atms-of-mm (init-clss } S) \rangle$ 
using alien unfolding  $\text{cdcl}_W\text{-restart-mset.no-strange-atm-def}$ 
by (auto simp:  $\text{abs-state-def cdcl}_W\text{-restart-mset-state lits-of-def image-image atms-of-def}$ )
have dist:  $\langle \text{distinct-mset (lit-of '# mset (trail } S))} \rangle$  and
cons:  $\langle \text{consistent-interp (set (map lit-of (trail } S)))} \rangle$  and
tauto:  $\langle \neg \text{tautology (lit-of '# mset (trail } S))} \rangle$  and
n-d:  $\langle \text{no-dup (trail } S) \rangle$ 
using lev unfolding  $\text{cdcl}_W\text{-restart-mset.cdcl}_W\text{-M-level-inv-def}$ 
by (auto simp:  $\text{abs-state-def cdcl}_W\text{-restart-mset-state lits-of-def image-image atms-of-def}$ 
dest:  $\text{no-dup-map-lit-of no-dup-distinct no-dup-not-tautology}$ )

have nsip: False if imp:  $\langle \text{improvep } S \ S' \rangle$  for  $S'$ 
proof –
obtain  $M'$  where
  [simp]:  $\langle \text{conflicting } S = \text{None} \rangle$  and
  is-improving:
     $\langle \bigwedge M'. \text{total-over-m (lits-of-l } M') \text{ (set-mset (init-clss } S))} \longrightarrow$ 
       $\text{mset (trail } S) \subseteq \# \text{ mset } M' \longrightarrow$ 
       $\text{lit-of '# mset } M' \in \text{simple-clss (atms-of-mm (init-clss } S))} \longrightarrow$ 
       $\varrho \text{ (lit-of '# mset } M') = \varrho \text{ (lit-of '# mset (trail } S))} \rangle$  and
     $S': \langle S' \sim \text{update-weight-information } M' \ S \rangle$ 
using imp by (auto simp:  $\text{improvep.simps is-improving-int-def}$ )
have 1:  $\langle \neg \varrho' \text{ (weight } S) \leq \text{Found } (\varrho \text{ (lit-of '# mset (trail } S)))} \rangle$ 
using nsco
by (auto simp:  $\text{is-improving-int-def oconflict-opt.simps}$ )
have 2:  $\langle \text{total-over-m (lits-of-l (trail } S)) \text{ (set-mset (init-clss } S))} \rangle$ 
proof (rule ccontr)
  assume  $\langle \neg ?thesis \rangle$ 
  then obtain  $A$  where
     $\langle A \in \text{atms-of-mm (init-clss } S) \rangle$  and
     $\langle A \notin \text{atms-of-s (lits-of-l (trail } S))} \rangle$ 
by (auto simp:  $\text{total-over-m-def total-over-set-def}$ )
  then show  $\langle \text{False} \rangle$ 
    using decide-rule[of  $S$  ( $\text{Pos } A$ ), OF - - - state-eq-ref] nso
    by (auto simp:  $\text{Decided-Propagated-in-iff-in-lits-of-l ocdcl}_W\text{-o.simps}$ )
qed
have 3:  $\langle \text{trail } S \models_{\text{asm}} \text{init-clss } S \rangle$ 
unfolding true-annots-def
proof clarify
  fix  $C$ 
  assume  $C: \langle C \in \# \text{ init-clss } S \rangle$ 
  have  $\langle \text{total-over-m (lits-of-l (trail } S)) \{C\} \rangle$ 
    using 2  $C$  by (auto dest!: multi-member-split)
  moreover have  $\langle \neg \text{trail } S \models_{\text{as}} C \text{Not } C \rangle$ 
    using  $C$  nsc conflict-rule[of  $S$   $C$ , OF - - - state-eq-ref]
    by (auto simp:  $\text{clauses-def dest!$ : multi-member-split)
  ultimately show  $\langle \text{trail } S \models_a C \rangle$ 
    using total-not-CNot[of  $\langle \text{lits-of-l (trail } S) \rangle$   $C$ ] unfolding true-annots-true-cls true-annot-def
    by auto
qed
have 4:  $\langle \text{lit-of '# mset (trail } S) \in \text{simple-clss (atms-of-mm (init-clss } S))} \rangle$ 
using tauto cons incl dist by (auto simp: simple-clss-def)
have  $\langle \text{improve } S \text{ (update-weight-information (trail } S) \ S) \rangle$ 

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    by (rule improve.intros[OF 2 - 3]) (use 1 2 in auto)
  then show False
    using nsi by auto
qed
moreover have False if ⟨conflict-opt S S'⟩ for S'
proof -
  have [simp]: ⟨conflicting S = None⟩
    using that by (auto simp: conflict-opt.simps)
  have 1: ⟨¬ ρ' (weight S) ≤ Found (ρ (lit-of '# mset (trail S)))⟩
    using nsco
    by (auto simp: is-improving-int-def oconflict-opt.simps)
  have 2: ⟨total-over-m (lits-of-l (trail S)) (set-mset (init-clss S))⟩
  proof (rule ccontr)
    assume ⟨¬ ?thesis⟩
    then obtain A where
      ⟨A ∈ atms-of-mm (init-clss S)⟩ and
      ⟨A ∉ atms-of-s (lits-of-l (trail S))⟩
    by (auto simp: total-over-m-def total-over-set-def)
    then show ⟨False⟩
      using decide-rule[of S ⟨Pos A⟩, OF - - - state-eq-ref] nso
      by (auto simp: Decided-Propagated-in-iff-in-lits-of-l ocdclw-o.simps)
  qed
  have 3: ⟨trail S ⊨asm init-clss S⟩
    unfolding true-annots-def
  proof clarify
    fix C
    assume C: ⟨C ∈ # init-clss S⟩
    have ⟨total-over-m (lits-of-l (trail S)) {C}⟩
      using 2 C by (auto dest!: multi-member-split)
    moreover have ⟨¬ trail S ⊨as CNot C⟩
      using C nsc conflict-rule[of S C, OF - - - state-eq-ref]
      by (auto simp: clauses-def dest!: multi-member-split)
    ultimately show ⟨trail S ⊨a C⟩
      using total-not-CNot[of ⟨lits-of-l (trail S)⟩ C] unfolding true-annots-true-clss true-annot-def
      by auto
  qed
  have 4: ⟨lit-of '# mset (trail S) ∈ simple-clss (atms-of-mm (init-clss S))⟩
    using tauto cons incl dist by (auto simp: simple-clss-def)

  have [intro]: ⟨ρ (lit-of '# mset M') = ρ (lit-of '# mset (trail S))⟩
  if
    ⟨lit-of '# mset (trail S) ∈ simple-clss (atms-of-mm (init-clss S))⟩ and
    ⟨atms-of (lit-of '# mset (trail S)) ⊆ atms-of-mm (init-clss S)⟩ and
    ⟨no-dup (trail S)⟩ and
    ⟨total-over-m (lits-of-l M') (set-mset (init-clss S))⟩ and
    incl: ⟨mset (trail S) ⊆ # mset M'⟩ and
    ⟨lit-of '# mset M' ∈ simple-clss (atms-of-mm (init-clss S))⟩
  for M' :: ⟨('v literal, 'v literal, 'v literal multiset) annotated-lit list⟩
  proof -
    have [simp]: ⟨lits-of-l M' = set-mset (lit-of '# mset M')⟩
      by (auto simp: lits-of-def)
    obtain A where A: ⟨mset M' = A + mset (trail S)⟩
      using incl by (auto simp: mset-subset-eq-exists-conv)
    have M': ⟨lits-of-l M' = lit-of ' set-mset A ∪ lits-of-l (trail S)⟩
      unfolding lits-of-def
      by (metis A image-Un set-mset-mset set-mset-union)
  qed

```

```

have  $\langle mset\ M' = mset\ (trail\ S) \rangle$ 
  using that 2 unfolding A total-over-m-alt-def
  apply (case-tac A)
  apply (auto simp: A simple-clss-def distinct-mset-add M' image-Un
    tautology-union mset-inter-empty-set-mset atms-of-def atms-of-s-def
    atm-of-in-atm-of-set-iff-in-set-or-uminus-in-set image-image
    tautology-add-mset)
  by (metis (no-types, lifting) atm-of-in-atm-of-set-iff-in-set-or-uminus-in-set
    lits-of-def subsetCE)
then show ?thesis
  using 2 by auto
qed
have imp:  $\langle is-improving\ (trail\ S)\ (trail\ S)\ S \rangle$ 
  using 1 2 3 4 incl n-d unfolding is-improving-int-def
  by (auto simp: oconflict-opt.simps)

show  $\langle False \rangle$ 
  using trail-is-improving-Ex-improve[of S, OF - imp] nsip
  by auto
qed
ultimately show ?thesis
  using nsc nsp nsi nsco nso nsp nspr
  by (auto simp: cdcl-bnb.simps)
qed

lemma all-struct-init-state-distinct-iff:
 $\langle cdcl_W\text{-restart-mset}.cdcl_W\text{-all-struct-inv}\ (abs\text{-state}\ (init\text{-state}\ N)) \longleftrightarrow$ 
 $distinct\text{-mset-mset}\ N \rangle$ 
unfolding init-state.simps[symmetric]
by (auto simp: cdcl_W-restart-mset.cdcl_W-all-struct-inv-def
  cdcl_W-restart-mset.distinct-cdcl_W-state-def
  cdcl_W-restart-mset.no-strange-atm-def
  cdcl_W-restart-mset.cdcl_W-M-level-inv-def
  cdcl_W-restart-mset.cdcl_W-conflicting-def
  cdcl_W-restart-mset.cdcl_W-learned-clause-alt-def
  abs-state-def cdcl_W-restart-mset-state)

lemma no-step-ocdcl_w-stgy-no-step-cdcl-bnb-stgy:
assumes  $\langle no\text{-step}\ ocdcl_w\text{-stgy}\ S \rangle$  and
  inv:  $\langle cdcl_W\text{-restart-mset}.cdcl_W\text{-all-struct-inv}\ (abs\text{-state}\ S) \rangle$ 
shows  $\langle no\text{-step}\ cdcl\text{-bnb-stgy}\ S \rangle$ 
using assms no-step-ocdcl_w-no-step-cdcl-bnb[of S]
by (auto simp: ocdcl_w-stgy.simps ocdcl_w.simps cdcl-bnb.simps cdcl-bnb-stgy.simps
  dest: ocdcl-conflict-opt-conflict-opt pruning-conflict-opt)

lemma full-ocdcl_w-stgy-full-cdcl-bnb-stgy:
assumes  $\langle full\ ocdcl_w\text{-stgy}\ S\ T \rangle$  and
  inv:  $\langle cdcl_W\text{-restart-mset}.cdcl_W\text{-all-struct-inv}\ (abs\text{-state}\ S) \rangle$ 
shows  $\langle full\ cdcl\text{-bnb-stgy}\ S\ T \rangle$ 
using assms rtrancpl-ocdcl_w-stgy-rtrancpl-cdcl-bnb-stgy[of S T]
  no-step-ocdcl_w-stgy-no-step-cdcl-bnb-stgy[of T]
unfolding full-def
by (auto dest: rtrancpl-cdcl-bnb-stgy-all-struct-inv[OF rtrancpl-cdcl-bnb-stgy-cdcl-bnb])

corollary full-ocdcl_w-stgy-no-conflicting-clause-from-init-state:
assumes

```

$st: \langle \text{full ocdcl}_w\text{-stgy } (\text{init-state } N) \ T \rangle$  **and**  
 $dist: \langle \text{distinct-mset-mset } N \rangle$   
**shows**  
 $\langle \text{weight } T = \text{None} \implies \text{unsatisfiable } (\text{set-mset } N) \rangle$  **and**  
 $\langle \text{weight } T \neq \text{None} \implies \text{model-on } (\text{set-mset } (\text{the } (\text{weight } T)))) \ N \wedge \text{set-mset } (\text{the } (\text{weight } T)) \models_{sm} N$   
 $\wedge$   
 $\langle \text{distinct-mset } (\text{the } (\text{weight } T)) \rangle$  **and**  
 $\langle \text{distinct-mset } I \implies \text{consistent-interp } (\text{set-mset } I) \implies \text{atms-of } I = \text{atms-of-mm } N \implies$   
 $\text{set-mset } I \models_{sm} N \implies \text{Found } (\varrho \ I) \geq \varrho' \ (\text{weight } T) \rangle$   
**using**  $\text{full-cdcl-bnb-stgy-no-conflicting-clause-from-init-state}[of \ N \ T,$   
 $OF \ \text{full-ocdcl}_w\text{-stgy-full-cdcl-bnb-stgy}[OF \ st] \ dist] \ dist$   
**by**  $(\text{auto simp: all-struct-init-state-distinct-iff model-on-def}$   
 $dest: \text{multi-member-split})$

**lemma**  $wf\text{-ocdcl}_w$ :  
 $\langle wf \ \{(T, S). \text{cdcl}_W\text{-restart-mset.cdcl}_W\text{-all-struct-inv } (\text{abs-state } S)$   
 $\wedge \text{ocdcl}_w \ S \ T\} \rangle$   
**by**  $(\text{rule wf-subset}[OF \ wf\text{-cdcl-bnb2}]) \ (\text{auto dest: ocdcl}_w\text{-cdcl-bnb})$

## Calculus with generalised Improve rule

Now a version with the more general improve rule:

**inductive**  $\text{ocdcl}_w\text{-p} :: \langle 'st \Rightarrow 'st \Rightarrow \text{bool} \rangle$  **for**  $S :: 'st$  **where**

$\text{ocdcl-conflict}: \langle \text{conflict } S \ S' \implies \text{ocdcl}_w\text{-p } S \ S' \rangle \mid$   
 $\text{ocdcl-propagate}: \langle \text{propagate } S \ S' \implies \text{ocdcl}_w\text{-p } S \ S' \rangle \mid$   
 $\text{ocdcl-improve}: \langle \text{improvep } S \ S' \implies \text{ocdcl}_w\text{-p } S \ S' \rangle \mid$   
 $\text{ocdcl-conflict-opt}: \langle \text{oconflict-opt } S \ S' \implies \text{ocdcl}_w\text{-p } S \ S' \rangle \mid$   
 $\text{ocdcl-other}': \langle \text{ocdcl}_W\text{-o } S \ S' \implies \text{ocdcl}_w\text{-p } S \ S' \rangle \mid$   
 $\text{ocdcl-pruning}: \langle \text{pruning } S \ S' \implies \text{ocdcl}_w\text{-p } S \ S' \rangle$

**inductive**  $\text{ocdcl}_w\text{-p-stgy} :: \langle 'st \Rightarrow 'st \Rightarrow \text{bool} \rangle$  **for**  $S :: 'st$  **where**

$\text{ocdcl}_w\text{-p-conflict}: \langle \text{conflict } S \ S' \implies \text{ocdcl}_w\text{-p-stgy } S \ S' \rangle \mid$   
 $\text{ocdcl}_w\text{-p-propagate}: \langle \text{propagate } S \ S' \implies \text{ocdcl}_w\text{-p-stgy } S \ S' \rangle \mid$   
 $\text{ocdcl}_w\text{-p-improve}: \langle \text{improvep } S \ S' \implies \text{ocdcl}_w\text{-p-stgy } S \ S' \rangle \mid$   
 $\text{ocdcl}_w\text{-p-conflict-opt}: \langle \text{conflict-opt } S \ S' \implies \text{ocdcl}_w\text{-p-stgy } S \ S' \rangle \mid$   
 $\text{ocdcl}_w\text{-p-pruning}: \langle \text{pruning } S \ S' \implies \text{ocdcl}_w\text{-p-stgy } S \ S' \rangle \mid$   
 $\text{ocdcl}_w\text{-p-other}': \langle \text{ocdcl}_W\text{-o } S \ S' \implies \text{no-conflict-prop-impr } S \implies \text{ocdcl}_w\text{-p-stgy } S \ S' \rangle$

**lemma**  $\text{ocdcl}_w\text{-p-cdcl-bnb}$ :

**assumes**  $\langle \text{ocdcl}_w\text{-p } S \ T \rangle$  **and**

$inv: \langle \text{cdcl}_W\text{-restart-mset.cdcl}_W\text{-all-struct-inv } (\text{abs-state } S) \rangle$

**shows**  $\langle \text{cdcl-bnb } S \ T \rangle$

**using**  $\text{assms}$  **by**  $(\text{cases}) \ (\text{auto intro: cdcl-bnb.intros dest: pruning-conflict-opt ocdcl-conflict-opt-conflict-opt})$

**lemma**  $\text{ocdcl}_w\text{-p-stgy-cdcl-bnb-stgy}$ :

**assumes**  $\langle \text{ocdcl}_w\text{-p-stgy } S \ T \rangle$  **and**

$inv: \langle \text{cdcl}_W\text{-restart-mset.cdcl}_W\text{-all-struct-inv } (\text{abs-state } S) \rangle$

**shows**  $\langle \text{cdcl-bnb-stgy } S \ T \rangle$

**using**  $\text{assms}$  **by**  $(\text{cases}) \ (\text{auto intro: cdcl-bnb-stgy.intros dest: pruning-conflict-opt})$

**lemma**  $\text{rtrancp-ocdcl}_w\text{-p-stgy-rtrancp-cdcl-bnb-stgy}$ :

**assumes**  $\langle \text{ocdcl}_w\text{-p-stgy}^{**} \ S \ T \rangle$  **and**

$inv: \langle cdcl_W\text{-restart-mset}.cdcl_W\text{-all-struct-inv } (abs\text{-state } S) \rangle$   
**shows**  $\langle cdcl\text{-bnb-stgy}^{**} S T \rangle$   
**using** *assms*  
**by** (*induction rule: rtrancpl-induct*)  
 $(auto\ dest: rtrancpl\text{-}cdcl\text{-bnb-stgy}\text{-all-struct-inv}[OF\ rtrancpl\text{-}cdcl\text{-bnb-stgy}\text{-}cdcl\text{-bnb}]$   
 $ocdcl_w\text{-p-stgy}\text{-}cdcl\text{-bnb-stgy})$

**lemma** *no-step-ocdcl<sub>w</sub>-p-no-step-cdcl-bnb*:  
**assumes**  $\langle no\text{-step } ocdcl_w\text{-p } S \rangle$  **and**  
 $inv: \langle cdcl_W\text{-restart-mset}.cdcl_W\text{-all-struct-inv } (abs\text{-state } S) \rangle$   
**shows**  $\langle no\text{-step } cdcl\text{-bnb } S \rangle$

**proof** –  
**have**  
 $nsc: \langle no\text{-step } conflict\ S \rangle$  **and**  
 $nsp: \langle no\text{-step } propagate\ S \rangle$  **and**  
 $nsi: \langle no\text{-step } improvep\ S \rangle$  **and**  
 $nsco: \langle no\text{-step } oconflict\text{-opt } S \rangle$  **and**  
 $nso: \langle no\text{-step } ocdcl_W\text{-o } S \rangle$  **and**  
 $nspr: \langle no\text{-step } pruning\ S \rangle$   
**using** *assms(1)* **by** (*auto simp: cdcl-bnb.simps ocdcl<sub>w</sub>-p.simps*)  
**have** *alien*:  $\langle cdcl_W\text{-restart-mset}.no\text{-strange-atm } (abs\text{-state } S) \rangle$  **and**  
 $lev: \langle cdcl_W\text{-restart-mset}.cdcl_W\text{-M-level-inv } (abs\text{-state } S) \rangle$   
**using** *inv unfolding cdcl<sub>W</sub>-restart-mset.cdcl<sub>W</sub>-all-struct-inv-def*  
**by** *fast+*  
**have** *incl*:  $\langle atms\text{-of } (lit\text{-of } \# \text{ mset } (trail\ S)) \subseteq atms\text{-of-mm } (init\text{-class } S) \rangle$   
**using** *alien unfolding cdcl<sub>W</sub>-restart-mset.no-strange-atm-def*  
**by** (*auto simp: abs-state-def cdcl<sub>W</sub>-restart-mset-state lits-of-def image-image atms-of-def*)  
**have** *dist*:  $\langle distinct\text{-mset } (lit\text{-of } \# \text{ mset } (trail\ S)) \rangle$  **and**  
 $cons: \langle consistent\text{-interp } (set\ (map\ lit\text{-of } (trail\ S))) \rangle$  **and**  
 $tauto: \langle \neg \text{tautology } (lit\text{-of } \# \text{ mset } (trail\ S)) \rangle$  **and**  
 $n\text{-d}: \langle no\text{-dup } (trail\ S) \rangle$   
**using** *lev unfolding cdcl<sub>W</sub>-restart-mset.cdcl<sub>W</sub>-M-level-inv-def*  
**by** (*auto simp: abs-state-def cdcl<sub>W</sub>-restart-mset-state lits-of-def image-image atms-of-def*  
 $dest: no\text{-dup-map-lit-of no-dup-distinct no-dup-not-tautology}$ )

**have** *False* **if**  $\langle conflict\text{-opt } S S' \rangle$  **for**  $S'$

**proof** –  
**have** [*simp*]:  $\langle conflicting\ S = None \rangle$   
**using** *that* **by** (*auto simp: conflict-opt.simps*)  
**have** 1:  $\langle \neg \varrho' \text{ (weight } S) \leq Found\ (\varrho \text{ (lit-of } \# \text{ mset } (trail\ S))) \rangle$   
**using** *nsco*  
**by** (*auto simp: is-improving-int-def oconflict-opt.simps*)  
**have** 2:  $\langle total\text{-over-m } (lits\text{-of-l } (trail\ S)) \text{ (set-mset } (init\text{-class } S)) \rangle$   
**proof** (*rule ccontr*)  
**assume**  $\langle \neg ?thesis \rangle$   
**then obtain** *A* **where**  
 $\langle A \in atms\text{-of-mm } (init\text{-class } S) \rangle$  **and**  
 $\langle A \notin atms\text{-of-s } (lits\text{-of-l } (trail\ S)) \rangle$   
**by** (*auto simp: total-over-m-def total-over-set-def*)  
**then show** *False*  
**using** *decide-rule[of S (Pos A), OF - - - state-eq-ref] nso*  
**by** (*auto simp: Decided-Propagated-in-iff-in-lits-of-l ocdcl<sub>W</sub>-o.simps*)  
**qed**  
**have** 3:  $\langle trail\ S \models_{asm} init\text{-class } S \rangle$   
**unfolding** *true-annots-def*  
**proof** *clarify*

```

fix C
assume C:  $\langle C \in \# \text{ init-clss } S \rangle$ 
have  $\langle \text{total-over-m } (\text{lits-of-l } (\text{trail } S)) \{C\} \rangle$ 
  using 2 C by (auto dest!: multi-member-split)
moreover have  $\langle \neg \text{trail } S \models_{as} CNot \ C \rangle$ 
  using C nsc conflict-rule[of S C, OF - - state-eq-ref]
  by (auto simp: clauses-def dest!: multi-member-split)
ultimately show  $\langle \text{trail } S \models_a C \rangle$ 
  using total-not-CNot[of  $\langle \text{lits-of-l } (\text{trail } S) \rangle$  C] unfolding true-annots-true-cls true-annot-def
  by auto
qed
have 4:  $\langle \text{lit-of } \# \text{ mset } (\text{trail } S) \in \text{simple-clss } (\text{atms-of-mm } (\text{init-clss } S)) \rangle$ 
  using tauto cons incl dist by (auto simp: simple-clss-def)

have [intro]:  $\langle \varrho (\text{lit-of } \# \text{ mset } M') = \varrho (\text{lit-of } \# \text{ mset } (\text{trail } S)) \rangle$ 
  if
     $\langle \text{lit-of } \# \text{ mset } (\text{trail } S) \in \text{simple-clss } (\text{atms-of-mm } (\text{init-clss } S)) \rangle$  and
     $\langle \text{atms-of } (\text{lit-of } \# \text{ mset } (\text{trail } S)) \subseteq \text{atms-of-mm } (\text{init-clss } S) \rangle$  and
     $\langle \text{no-dup } (\text{trail } S) \rangle$  and
     $\langle \text{total-over-m } (\text{lits-of-l } M') (\text{set-mset } (\text{init-clss } S)) \rangle$  and
    incl:  $\langle \text{mset } (\text{trail } S) \subseteq \# \text{ mset } M' \rangle$  and
     $\langle \text{lit-of } \# \text{ mset } M' \in \text{simple-clss } (\text{atms-of-mm } (\text{init-clss } S)) \rangle$ 
  for  $M' :: \langle ('v \text{ literal}, 'v \text{ literal}, 'v \text{ literal multiset}) \text{ annotated-lit list} \rangle$ 
proof -
  have [simp]:  $\langle \text{lits-of-l } M' = \text{set-mset } (\text{lit-of } \# \text{ mset } M') \rangle$ 
    by (auto simp: lits-of-def)
  obtain A where A:  $\langle \text{mset } M' = A + \text{mset } (\text{trail } S) \rangle$ 
    using incl by (auto simp: mset-subset-eq-exists-conv)
  have M':  $\langle \text{lits-of-l } M' = \text{lit-of } \# \text{ set-mset } A \cup \text{lits-of-l } (\text{trail } S) \rangle$ 
    unfolding lits-of-def
    by (metis A image-Un set-mset-mset set-mset-union)
  have  $\langle \text{mset } M' = \text{mset } (\text{trail } S) \rangle$ 
    using that 2 unfolding A total-over-m-alt-def
    apply (case-tac A)
    apply (auto simp: A simple-clss-def distinct-mset-add M' image-Un
      tautology-union mset-inter-empty-set-mset atms-of-def atms-of-s-def
      atm-of-in-atm-of-set-iff-in-set-or-uminus-in-set image-image
      tautology-add-mset)
    by (metis (no-types, lifting) atm-of-in-atm-of-set-iff-in-set-or-uminus-in-set
      lits-of-def subsetCE)
  then show ?thesis
    using 2 by auto
qed
have imp:  $\langle \text{is-improving } (\text{trail } S) (\text{trail } S) S \rangle$ 
  using 1 2 3 4 incl n-d unfolding is-improving-int-def
  by (auto simp: oconflict-opt.simps)

show  $\langle \text{False} \rangle$ 
  using trail-is-improving-Ex-improve[of S, OF - imp] nsi by auto
qed
then show ?thesis
  using nsc nsp nsi nsco nso nsp nspr
  by (auto simp: cdcl-bnb.simps)
qed

lemma no-step-ocdclw-p-stgy-no-step-cdcl-bnb-stgy:

```

**assumes**  $\langle \text{no-step ocdcl}_w\text{-p-stgy } S \rangle$  **and**  
 $\text{inv: } \langle \text{cdcl}_W\text{-restart-mset.cdcl}_W\text{-all-struct-inv (abs-state } S) \rangle$   
**shows**  $\langle \text{no-step cdcl-bnb-stgy } S \rangle$   
**using** *assms no-step-ocdcl<sub>w</sub>-p-no-step-cdcl-bnb[of S]*  
**by** (*auto simp: ocdcl<sub>w</sub>-p-stgy.simps ocdcl<sub>w</sub>-p.simps*  
*cdcl-bnb.simps cdcl-bnb-stgy.simps*)

**lemma** *full-ocdcl<sub>w</sub>-p-stgy-full-cdcl-bnb-stgy:*

**assumes**  $\langle \text{full ocdcl}_w\text{-p-stgy } S \ T \rangle$  **and**  
 $\text{inv: } \langle \text{cdcl}_W\text{-restart-mset.cdcl}_W\text{-all-struct-inv (abs-state } S) \rangle$   
**shows**  $\langle \text{full cdcl-bnb-stgy } S \ T \rangle$   
**using** *assms rtrancpl-ocdcl<sub>w</sub>-p-stgy-rtrancpl-cdcl-bnb-stgy[of S T]*  
*no-step-ocdcl<sub>w</sub>-p-stgy-no-step-cdcl-bnb-stgy[of T]*  
**unfolding** *full-def*  
**by** (*auto dest: rtrancpl-cdcl-bnb-stgy-all-struct-inv[OF rtrancpl-cdcl-bnb-stgy-cdcl-bnb]*)

**corollary** *full-ocdcl<sub>w</sub>-p-stgy-no-conflicting-clause-from-init-state:*

**assumes**  
 $\text{st: } \langle \text{full ocdcl}_w\text{-p-stgy (init-state } N) \ T \rangle$  **and**  
 $\text{dist: } \langle \text{distinct-mset-mset } N \rangle$   
**shows**  
 $\langle \text{weight } T = \text{None} \implies \text{unsatisfiable (set-mset } N) \rangle$  **and**  
 $\langle \text{weight } T \neq \text{None} \implies \text{model-on (set-mset (the (weight } T))) \ N \wedge \text{set-mset (the (weight } T))} \models_{sm} N$   
 $\wedge$   
 $\langle \text{distinct-mset (the (weight } T))} \rangle$  **and**  
 $\langle \text{distinct-mset } I \implies \text{consistent-interp (set-mset } I) \implies \text{atms-of } I = \text{atms-of-mm } N \implies$   
 $\text{set-mset } I \models_{sm} N \implies \text{Found } (\varrho \ I) \geq \varrho' \ (\text{weight } T) \rangle$   
**using** *full-cdcl-bnb-stgy-no-conflicting-clause-from-init-state[of N T,*  
*OF full-ocdcl<sub>w</sub>-p-stgy-full-cdcl-bnb-stgy[OF st] dist] dist*  
**by** (*auto simp: all-struct-init-state-distinct-iff model-on-def*  
*dest: multi-member-split*)

**lemma** *cdcl-bnb-stgy-no-smaller-propa:*

$\langle \text{cdcl-bnb-stgy } S \ T \implies \text{cdcl}_W\text{-restart-mset.cdcl}_W\text{-all-struct-inv (abs-state } S) \implies$   
 $\text{no-smaller-propa } S \implies \text{no-smaller-propa } T \rangle$   
**apply** (*induction rule: cdcl-bnb-stgy.induct*)  
**subgoal**  
**by** (*auto simp: no-smaller-propa-def propagated-cons-eq-append-decide-cons conflict.simps*)  
**subgoal**  
**by** (*auto simp: no-smaller-propa-def propagated-cons-eq-append-decide-cons*  
*propagate.simps no-smaller-propa-tl elim!: rulesE*)  
**subgoal**  
**by** (*auto simp: no-smaller-propa-def propagated-cons-eq-append-decide-cons*  
*improvep.simps elim!: rulesE*)  
**subgoal**  
**by** (*auto simp: no-smaller-propa-def propagated-cons-eq-append-decide-cons*  
*conflict-opt.simps no-smaller-propa-tl elim!: rulesE*)  
**subgoal for**  $T$   
**apply** (*cases rule: ocdcl<sub>w</sub>-o.cases, assumption; thin-tac (ocdcl<sub>w</sub>-o S T)*)  
**subgoal**  
**using** *decide-no-smaller-step[of S T]* **unfolding** *no-conf-prop-impr.simps* **by** *auto*  
**subgoal**  
**apply** (*cases rule: cdcl-bnb-bj.cases, assumption; thin-tac (cdcl-bnb-bj S T)*)  
**subgoal**  
**by** (*use no-smaller-propa-tl[of S T] in (auto elim: rulesE)*)



```

subgoal
  by (use no-smaller-propa-tl[of S T] in ⟨auto elim: rulesE⟩)
subgoal
  using backtrackg-no-smaller-propa[OF obacktrack-backtrackg, of S T]
  unfolding cdclW-restart-mset.cdclW-all-struct-inv-def
    cdclW-restart-mset.cdclW-M-level-inv-def cdclW-restart-mset.cdclW-conflicting-def
  by (auto elim: obacktrackE)
done
done
done

```

**lemma** *rtrancpl-cdcl-bnb-stgy-no-smaller-propa*:  
 ⟨*cdcl-bnb-stgy*<sup>\*</sup> *S T*  $\implies$  *cdcl<sub>W</sub>-restart-mset.cdcl<sub>W</sub>-all-struct-inv* (*abs-state S*)  $\implies$   
*no-smaller-propa S*  $\implies$  *no-smaller-propa T*⟩  
 by (induction rule: *rtrancpl-induct*)  
 (use *rtrancpl-cdcl-bnb-stgy-all-struct-inv*  
*rtrancpl-cdcl-bnb-stgy-cdcl-bnb* in ⟨force intro: *cdcl-bnb-stgy-no-smaller-propa*⟩)+

**lemma** *wf-ocdcl<sub>w</sub>-p*:  
 ⟨wf {(*T, S*). *cdcl<sub>W</sub>-restart-mset.cdcl<sub>W</sub>-all-struct-inv* (*abs-state S*)  
 $\wedge$  *ocdcl<sub>w</sub>-p S T*}⟩  
 by (rule *wf-subset*[OF *wf-cdcl-bnb2*]) (auto dest: *ocdcl<sub>w</sub>-p-cdcl-bnb*)

end

end

**theory** *CDCL-W-Partial-Encoding*  
 imports *CDCL-W-Optimal-Model*  
 begin

**lemma** *consistent-interp-unionI*:  
 ⟨*consistent-interp A*  $\implies$  *consistent-interp B*  $\implies$  ( $\bigwedge a. a \in A \implies -a \notin B$ )  $\implies$  ( $\bigwedge a. a \in B \implies -a \notin A$ )  $\implies$   
*consistent-interp (A  $\cup$  B)*⟩  
 by (auto simp: *consistent-interp-def*)

**lemma** *consistent-interp-poss*: ⟨*consistent-interp (Pos ‘A)*⟩ and  
*consistent-interp-negs*: ⟨*consistent-interp (Neg ‘A)*⟩  
 by (auto simp: *consistent-interp-def*)

**lemma** *Neg-in-lits-of-l-definedD*:  
 ⟨*Neg A*  $\in$  *lits-of-l M*  $\implies$  *defined-lit M (Pos A)*⟩  
 by (simp add: *Decided-Propagated-in-iff-in-lits-of-l*)

### 0.1.2 Encoding of partial SAT into total SAT

As a way to make sure we don’t reuse theorems names:

**interpretation** *test: conflict-driven-clause-learning<sub>W</sub>-optimal-weight* **where**  
*state-eq* = ⟨(=)⟩ and  
*state* = *id* and  
*trail* = ⟨ $\lambda(M, N, U, D, W). M$ ⟩ and  
*init-clss* = ⟨ $\lambda(M, N, U, D, W). N$ ⟩ and  
*learned-clss* = ⟨ $\lambda(M, N, U, D, W). U$ ⟩ and  
*conflicting* = ⟨ $\lambda(M, N, U, D, W). D$ ⟩ and

$cons-trail = \langle \lambda K (M, N, U, D, W). (K \# M, N, U, D, W) \rangle$  **and**  
 $tl-trail = \langle \lambda (M, N, U, D, W). (tl\ M, N, U, D, W) \rangle$  **and**  
 $add-learned-cls = \langle \lambda C (M, N, U, D, W). (M, N, add-mset\ C\ U, D, W) \rangle$  **and**  
 $remove-cls = \langle \lambda C (M, N, U, D, W). (M, removeAll-mset\ C\ N, removeAll-mset\ C\ U, D, W) \rangle$  **and**  
 $update-conflicting = \langle \lambda C (M, N, U, -, W). (M, N, U, C, W) \rangle$  **and**  
 $init-state = \langle \lambda N. ([], N, \{\#\}, None, None, ()) \rangle$  **and**  
 $\varrho = \langle \lambda -. 0 \rangle$  **and**  
 $update-additional-info = \langle \lambda W (M, N, U, D, -, -). (M, N, U, D, W) \rangle$   
**by**  $unfold-locales\ (auto\ simp: state_W-ops.additional-info-def)$

We here formalise the encoding from a formula to another formula from which we will use to derive the optimal partial model.

While the proofs are still inspired by Dominic Zimmer's upcoming bachelor thesis, we now use the dual rail encoding, which is more elegant than the solution found by Christoph to solve the problem.

The intended meaning is the following:

- $\Sigma$  is the set of all variables
- $\Delta\Sigma$  is the set of all variables with a (possibly non-zero) weight: These are the variable that needs to be replaced during encoding, but it does not matter if the weight 0.

**locale**  $optimal-encoding-opt-ops =$

**fixes**  $\Sigma\ \Delta\Sigma :: \langle 'v\ set \rangle$  **and**

$new-vars :: \langle 'v \Rightarrow 'v \times 'v \rangle$

**begin**

**abbreviation**  $replacement-pos :: \langle 'v \Rightarrow 'v \rangle (\langle (-)^{\mapsto 1} \rangle\ 100)$  **where**

$\langle replacement-pos\ A \equiv fst\ (new-vars\ A) \rangle$

**abbreviation**  $replacement-neg :: \langle 'v \Rightarrow 'v \rangle (\langle (-)^{\mapsto 0} \rangle\ 100)$  **where**

$\langle replacement-neg\ A \equiv snd\ (new-vars\ A) \rangle$

**fun**  $encode-lit$  **where**

$\langle encode-lit\ (Pos\ A) = (if\ A \in \Delta\Sigma\ then\ Pos\ (replacement-pos\ A)\ else\ Pos\ A) \rangle$  |

$\langle encode-lit\ (Neg\ A) = (if\ A \in \Delta\Sigma\ then\ Pos\ (replacement-neg\ A)\ else\ Neg\ A) \rangle$

**lemma**  $encode-lit-alt-def:$

$\langle encode-lit\ A = (if\ atm-of\ A \in \Delta\Sigma$

$then\ Pos\ (if\ is-pos\ A\ then\ replacement-pos\ (atm-of\ A)\ else\ replacement-neg\ (atm-of\ A))$   
 $else\ A) \rangle$

**by**  $(cases\ A)\ auto$

**definition**  $encode-clause :: \langle 'v\ clause \Rightarrow 'v\ clause \rangle$  **where**

$\langle encode-clause\ C = encode-lit\ \#\ C \rangle$

**lemma**  $encode-clause-simp[simp]:$

$\langle encode-clause\ \{\#\} = \{\#\} \rangle$

$\langle encode-clause\ (add-mset\ A\ C) = add-mset\ (encode-lit\ A)\ (encode-clause\ C) \rangle$

$\langle encode-clause\ (C + D) = encode-clause\ C + encode-clause\ D \rangle$

**by**  $(auto\ simp: encode-clause-def)$

**definition**  $encode-clauses :: \langle 'v\ clauses \Rightarrow 'v\ clauses \rangle$  **where**

$\langle \text{encode-clauses } C = \text{encode-clause } \# C \rangle$

**lemma** *encode-clauses-simp*[simp]:

$\langle \text{encode-clauses } \{\# \} = \{\# \} \rangle$   
 $\langle \text{encode-clauses } (\text{add-mset } A \ C) = \text{add-mset } (\text{encode-clause } A) (\text{encode-clauses } C) \rangle$   
 $\langle \text{encode-clauses } (C + D) = \text{encode-clauses } C + \text{encode-clauses } D \rangle$   
**by** (auto simp: encode-clauses-def)

**definition** *additional-constraint* ::  $\langle 'v \Rightarrow 'v \text{ clauses} \rangle$  **where**

$\langle \text{additional-constraint } A =$   
 $\{\# \{\# \text{Neg } (A^{\mapsto 1}), \text{Neg } (A^{\mapsto 0}) \# \} \# \} \rangle$

**definition** *additional-constraints* ::  $\langle 'v \text{ clauses} \rangle$  **where**

$\langle \text{additional-constraints} = \bigcup \# (\text{additional-constraint } \# (\text{mset-set } \Delta \Sigma)) \rangle$

**definition** *penc* ::  $\langle 'v \text{ clauses} \Rightarrow 'v \text{ clauses} \rangle$  **where**

$\langle \text{penc } N = \text{encode-clauses } N + \text{additional-constraints} \rangle$

**lemma** *size-encode-clauses*[simp]:  $\langle \text{size } (\text{encode-clauses } N) = \text{size } N \rangle$

**by** (auto simp: encode-clauses-def)

**lemma** *size-penc*:

$\langle \text{size } (\text{penc } N) = \text{size } N + \text{card } \Delta \Sigma \rangle$   
**by** (auto simp: penc-def additional-constraints-def  
additional-constraint-def size-Union-mset-image-mset)

**lemma** *atms-of-mm-additional-constraints*:  $\langle \text{finite } \Delta \Sigma \implies$

$\text{atms-of-mm additional-constraints} = \text{replacement-pos } \# \Delta \Sigma \cup \text{replacement-neg } \# \Delta \Sigma \rangle$   
**by** (auto simp: additional-constraints-def additional-constraint-def atms-of-ms-def)

**lemma** *atms-of-mm-encode-clause-subset*:

$\langle \text{atms-of-mm } (\text{encode-clauses } N) \subseteq (\text{atms-of-mm } N - \Delta \Sigma) \cup \text{replacement-pos } \# \{A \in \Delta \Sigma. A \in$   
 $\text{atms-of-mm } N\}$   
 $\cup \text{replacement-neg } \# \{A \in \Delta \Sigma. A \in \text{atms-of-mm } N\} \rangle$   
**by** (auto simp: encode-clauses-def encode-lit-alt-def atms-of-ms-def atms-of-def  
encode-clause-def split: if\_splits  
dest!: multi-member-split[of - N])

In every meaningful application of the theorem below, we have  $\Delta \Sigma \subseteq \text{atms-of-mm } N$ .

**lemma** *atms-of-mm-penc-subset*:  $\langle \text{finite } \Delta \Sigma \implies$

$\text{atms-of-mm } (\text{penc } N) \subseteq \text{atms-of-mm } N \cup \text{replacement-pos } \# \Delta \Sigma$   
 $\cup \text{replacement-neg } \# \Delta \Sigma \cup \Delta \Sigma \rangle$   
**using** atms-of-mm-encode-clause-subset[of N]  
**by** (auto simp: penc-def atms-of-mm-additional-constraints)

**lemma** *atms-of-mm-encode-clause-subset2*:  $\langle \text{finite } \Delta \Sigma \implies \Delta \Sigma \subseteq \text{atms-of-mm } N \implies$

$\text{atms-of-mm } N \subseteq \text{atms-of-mm } (\text{encode-clauses } N) \cup \Delta \Sigma \rangle$   
**by** (auto simp: encode-clauses-def encode-lit-alt-def atms-of-ms-def atms-of-def  
encode-clause-def split: if\_splits  
dest!: multi-member-split[of - N])

**lemma** *atms-of-mm-penc-subset2*:  $\langle \text{finite } \Delta \Sigma \implies \Delta \Sigma \subseteq \text{atms-of-mm } N \implies$

$\text{atms-of-mm } (\text{penc } N) = (\text{atms-of-mm } N - \Delta \Sigma) \cup \text{replacement-pos } \# \Delta \Sigma \cup \text{replacement-neg } \# \Delta \Sigma \rangle$   
**using** atms-of-mm-encode-clause-subset[of N] atms-of-mm-encode-clause-subset2[of N]  
**by** (auto simp: penc-def atms-of-mm-additional-constraints)

**theorem** *card-atms-of-mm-penc:*

**assumes**  $\langle \text{finite } \Delta\Sigma \rangle$  **and**  $\langle \Delta\Sigma \subseteq \text{atms-of-mm } N \rangle$

**shows**  $\langle \text{card } (\text{atms-of-mm } (\text{penc } N)) \leq \text{card } (\text{atms-of-mm } N - \Delta\Sigma) + 2 * \text{card } \Delta\Sigma \rangle$  **(is**  $\langle ?A \leq ?B \rangle$ )

**proof** –

**have**  $\langle ?A = \text{card}$

$((\text{atms-of-mm } N - \Delta\Sigma) \cup \text{replacement-pos } \Delta\Sigma \cup$   
 $\text{replacement-neg } \Delta\Sigma) \rangle$  **(is**  $\langle - = \text{card } (?W \cup ?X \cup ?Y) \rangle$ )

**using** *arg-cong*[*OF* *atms-of-mm-penc-subset2*[*of* *N*], *of* *card*] *assms* *card-Un-le*

**by** *auto*

**also have**  $\langle \dots \leq \text{card } (?W \cup ?X) + \text{card } ?Y \rangle$

**using** *card-Un-le*[*of*  $\langle ?W \cup ?X \rangle$  *?Y*] **by** *auto*

**also have**  $\langle \dots \leq \text{card } ?W + \text{card } ?X + \text{card } ?Y \rangle$

**using** *card-Un-le*[*of*  $\langle ?W \rangle$  *?X*] **by** *auto*

**also have**  $\langle \dots \leq \text{card } (\text{atms-of-mm } N - \Delta\Sigma) + 2 * \text{card } \Delta\Sigma \rangle$

**using** *card-mono*[*of*  $\langle \text{atms-of-mm } N \rangle$   $\langle \Delta\Sigma \rangle$ ] *assms*

*card-image-le*[*of*  $\Delta\Sigma$  *replacement-pos*] *card-image-le*[*of*  $\Delta\Sigma$  *replacement-neg*]

**by** *auto*

**finally show** *?thesis* .

**qed**

**definition** *postp* ::  $\langle 'v \text{ partial-interp} \Rightarrow 'v \text{ partial-interp} \rangle$  **where**

$\langle \text{postp } I =$

$\{A \in I. \text{atm-of } A \notin \Delta\Sigma \wedge \text{atm-of } A \in \Sigma\} \cup \text{Pos } \{A. A \in \Delta\Sigma \wedge \text{Pos } (\text{replacement-pos } A) \in I\}$   
 $\cup \text{Neg } \{A. A \in \Delta\Sigma \wedge \text{Pos } (\text{replacement-neg } A) \in I \wedge \text{Pos } (\text{replacement-pos } A) \notin I\}$

**lemma** *preprocess-clss-model-additional-variables2:*

**assumes**

$\langle \text{atm-of } A \in \Sigma - \Delta\Sigma \rangle$

**shows**

$\langle A \in \text{postp } I \longleftrightarrow A \in I \rangle$  **(is** *?A*)

**proof** –

**show** *?A*

**using** *assms*

**by** (*auto simp: postp-def*)

**qed**

**lemma** *encode-clause-iff:*

**assumes**

$\langle \bigwedge A. A \in \Delta\Sigma \implies \text{Pos } A \in I \longleftrightarrow \text{Pos } (\text{replacement-pos } A) \in I \rangle$

$\langle \bigwedge A. A \in \Delta\Sigma \implies \text{Neg } A \in I \longleftrightarrow \text{Pos } (\text{replacement-neg } A) \in I \rangle$

**shows**  $\langle I \models \text{encode-clause } C \longleftrightarrow I \models C \rangle$

**using** *assms*

**apply** (*induction* *C*)

**subgoal by** *auto*

**subgoal for** *A C*

**by** (*cases* *A*)

(*auto simp: encode-clause-def encode-lit-alt-def split: if-splits*)

**done**

**lemma** *encode-clauses-iff:*

**assumes**

$\langle \bigwedge A. A \in \Delta\Sigma \implies \text{Pos } A \in I \longleftrightarrow \text{Pos } (\text{replacement-pos } A) \in I \rangle$

$\langle \bigwedge A. A \in \Delta\Sigma \implies \text{Neg } A \in I \longleftrightarrow \text{Pos } (\text{replacement-neg } A) \in I \rangle$

**shows**  $\langle I \models_m \text{encode-clauses } C \longleftrightarrow I \models_m C \rangle$

**using** *encode-clause-iff*[*OF* *assms*]

**by** (*auto simp: encode-clauses-def true-cls-mset-def*)

**definition**  $\Sigma_{add}$  **where**

$\langle \Sigma_{add} = \text{replacement-pos} \text{ ' } \Delta\Sigma \cup \text{replacement-neg} \text{ ' } \Delta\Sigma \rangle$

**definition**  $\text{upostp} :: \langle 'v \text{ partial-interp} \Rightarrow 'v \text{ partial-interp} \rangle$  **where**

$\langle \text{upostp } I =$   
 $\text{Neg} \text{ ' } \{A \in \Sigma. A \notin \Delta\Sigma \wedge \text{Pos } A \notin I \wedge \text{Neg } A \notin I\}$   
 $\cup \{A \in I. \text{atm-of } A \in \Sigma \wedge \text{atm-of } A \notin \Delta\Sigma\}$   
 $\cup \text{Pos} \text{ ' replacement-pos ' } \{A \in \Delta\Sigma. \text{Pos } A \in I\}$   
 $\cup \text{Neg} \text{ ' replacement-pos ' } \{A \in \Delta\Sigma. \text{Pos } A \notin I\}$   
 $\cup \text{Pos} \text{ ' replacement-neg ' } \{A \in \Delta\Sigma. \text{Neg } A \in I\}$   
 $\cup \text{Neg} \text{ ' replacement-neg ' } \{A \in \Delta\Sigma. \text{Neg } A \notin I\} \rangle$

**lemma**  $\text{atm-of-upostp-subset}$ :

$\langle \text{atm-of} \text{ ' } (\text{upostp } I) \subseteq$   
 $(\text{atm-of} \text{ ' } I - \Delta\Sigma) \cup \text{replacement-pos} \text{ ' } \Delta\Sigma \cup$   
 $\text{replacement-neg} \text{ ' } \Delta\Sigma \cup \Sigma \rangle$

**by**  $(\text{auto simp: upostp-def image-Un})$

**end**

**locale**  $\text{optimal-encoding-opt} = \text{conflict-driven-clause-learning}_W\text{-optimal-weight}$

$\text{state-eq}$

$\text{state}$

— functions for the state:

— access functions:

$\text{trail init-clss learned-clss conflicting}$

— changing state:

$\text{cons-trail tl-trail add-learned-cls remove-cls}$

$\text{update-conflicting}$

— get state:

$\text{init-state } \rho$

$\text{update-additional-info} +$

$\text{optimal-encoding-opt-ops } \Sigma \Delta\Sigma \text{ new-vars}$

**for**

$\text{state-eq} :: \langle 'st \Rightarrow 'st \Rightarrow \text{bool} \rangle$  **(infix**  $\langle \sim \rangle$  **50)** **and**

$\text{state} :: 'st \Rightarrow ('v, 'v \text{ clause}) \text{ ann-lits} \times 'v \text{ clauses} \times 'v \text{ clauses} \times 'v \text{ clause option} \times$   
 $'v \text{ clause option} \times 'b$  **and**

$\text{trail} :: \langle 'st \Rightarrow ('v, 'v \text{ clause}) \text{ ann-lits} \rangle$  **and**

$\text{init-clss} :: \langle 'st \Rightarrow 'v \text{ clauses} \rangle$  **and**

$\text{learned-clss} :: \langle 'st \Rightarrow 'v \text{ clauses} \rangle$  **and**

$\text{conflicting} :: \langle 'st \Rightarrow 'v \text{ clause option} \rangle$  **and**

$\text{cons-trail} :: \langle ('v, 'v \text{ clause}) \text{ ann-lit} \Rightarrow 'st \Rightarrow 'st \rangle$  **and**

$\text{tl-trail} :: \langle 'st \Rightarrow 'st \rangle$  **and**

$\text{add-learned-cls} :: \langle 'v \text{ clause} \Rightarrow 'st \Rightarrow 'st \rangle$  **and**

$\text{remove-cls} :: \langle 'v \text{ clause} \Rightarrow 'st \Rightarrow 'st \rangle$  **and**

$\text{update-conflicting} :: \langle 'v \text{ clause option} \Rightarrow 'st \Rightarrow 'st \rangle$  **and**

$\text{init-state} :: \langle 'v \text{ clauses} \Rightarrow 'st \rangle$  **and**

$\text{update-additional-info} :: \langle 'v \text{ clause option} \times 'b \Rightarrow 'st \Rightarrow 'st \rangle$  **and**

$\Sigma \Delta\Sigma :: \langle 'v \text{ set} \rangle$  **and**

$\rho :: \langle 'v \text{ clause} \Rightarrow 'a :: \{\text{linorder}\} \rangle$  and  
 $\text{new-vars} :: \langle 'v \Rightarrow 'v \times 'v \rangle$   
**begin**

**inductive**  $\text{odecide} :: \langle 'st \Rightarrow 'st \Rightarrow \text{bool} \rangle$  **where**  
 $\text{odecide-noweight} :: \langle \text{odecide } S \ T \rangle$   
**if**  
 $\langle \text{conflicting } S = \text{None} \rangle$  **and**  
 $\langle \text{undefined-lit } (\text{trail } S) \ L \rangle$  **and**  
 $\langle \text{atm-of } L \in \text{atms-of-mm } (\text{init-clss } S) \rangle$  **and**  
 $\langle T \sim \text{cons-trail } (\text{Decided } L) \ S \rangle$  **and**  
 $\langle \text{atm-of } L \in \Sigma - \Delta\Sigma \rangle \mid$   
 $\text{odecide-replacement-pos} :: \langle \text{odecide } S \ T \rangle$   
**if**  
 $\langle \text{conflicting } S = \text{None} \rangle$  **and**  
 $\langle \text{undefined-lit } (\text{trail } S) \ (\text{Pos } (\text{replacement-pos } L)) \rangle$  **and**  
 $\langle T \sim \text{cons-trail } (\text{Decided } (\text{Pos } (\text{replacement-pos } L))) \ S \rangle$  **and**  
 $\langle L \in \Delta\Sigma \rangle \mid$   
 $\text{odecide-replacement-neg} :: \langle \text{odecide } S \ T \rangle$   
**if**  
 $\langle \text{conflicting } S = \text{None} \rangle$  **and**  
 $\langle \text{undefined-lit } (\text{trail } S) \ (\text{Pos } (\text{replacement-neg } L)) \rangle$  **and**  
 $\langle T \sim \text{cons-trail } (\text{Decided } (\text{Pos } (\text{replacement-neg } L))) \ S \rangle$  **and**  
 $\langle L \in \Delta\Sigma \rangle$

**inductive-cases**  $\text{odecideE} :: \langle \text{odecide } S \ T \rangle$

**definition**  $\text{no-new-lonely-clause} :: \langle 'v \text{ clause} \Rightarrow \text{bool} \rangle$  **where**  
 $\langle \text{no-new-lonely-clause } C \longleftrightarrow$   
 $(\forall L \in \Delta\Sigma. L \in \text{atms-of } C \longrightarrow$   
 $\text{Neg } (\text{replacement-pos } L) \in \# C \vee \text{Neg } (\text{replacement-neg } L) \in \# C \vee C \in \# \text{ additional-constraint}$   
 $L) \rangle$

**definition**  $\text{lonely-weighted-lit-decided}$  **where**  
 $\langle \text{lonely-weighted-lit-decided } S \longleftrightarrow$   
 $(\forall L \in \Delta\Sigma. \text{Decided } (\text{Pos } L) \notin \text{set } (\text{trail } S) \wedge \text{Decided } (\text{Neg } L) \notin \text{set } (\text{trail } S)) \rangle$

**end**

**locale**  $\text{optimal-encoding-ops} = \text{optimal-encoding-opt-ops}$   
 $\Sigma \ \Delta\Sigma$   
 $\text{new-vars} +$   
 $\text{ocdcl-weight } \rho$   
**for**  
 $\Sigma \ \Delta\Sigma :: \langle 'v \text{ set} \rangle$  **and**  
 $\text{new-vars} :: \langle 'v \Rightarrow 'v \times 'v \rangle$  **and**  
 $\rho :: \langle 'v \text{ clause} \Rightarrow 'a :: \{\text{linorder}\} \rangle +$   
**assumes**  
 $\text{finite-}\Sigma:$   
 $\langle \text{finite } \Delta\Sigma \rangle$  **and**  
 $\Delta\Sigma\text{-}\Sigma:$   
 $\langle \Delta\Sigma \subseteq \Sigma \rangle$  **and**  
 $\text{new-vars-pos}:$   
 $\langle A \in \Delta\Sigma \implies \text{replacement-pos } A \notin \Sigma \rangle$  **and**  
 $\text{new-vars-neg}:$

$\langle A \in \Delta\Sigma \implies \text{replacement-neg } A \notin \Sigma \rangle$  and  
*new-vars-dist*:  
 $\langle \text{inj-on replacement-pos } \Delta\Sigma \rangle$   
 $\langle \text{inj-on replacement-neg } \Delta\Sigma \rangle$   
 $\langle \text{replacement-pos } \Delta\Sigma \cap \text{replacement-neg } \Delta\Sigma = \{\} \rangle$  and  
 $\Sigma\text{-no-weight}$ :  
 $\langle \text{atm-of } C \in \Sigma - \Delta\Sigma \implies \varrho(\text{add-mset } C \ M) = \varrho \ M \rangle$   
**begin**

**lemma** *new-vars-dist2*:

$\langle A \in \Delta\Sigma \implies B \in \Delta\Sigma \implies A \neq B \implies \text{replacement-pos } A \neq \text{replacement-pos } B \rangle$   
 $\langle A \in \Delta\Sigma \implies B \in \Delta\Sigma \implies A \neq B \implies \text{replacement-neg } A \neq \text{replacement-neg } B \rangle$   
 $\langle A \in \Delta\Sigma \implies B \in \Delta\Sigma \implies \text{replacement-neg } A \neq \text{replacement-pos } B \rangle$   
**using** *new-vars-dist* **unfolding** *inj-on-def* **apply** *blast*  
**using** *new-vars-dist* **unfolding** *inj-on-def* **apply** *blast*  
**using** *new-vars-dist* **unfolding** *inj-on-def* **apply** *blast*  
**done**

**lemma** *consistent-interp-postp*:

$\langle \text{consistent-interp } I \implies \text{consistent-interp } (\text{postp } I) \rangle$   
**by** (*auto simp: consistent-interp-def postp-def uminus-lit-swap*)

The reverse of the previous theorem does not hold due to the filtering on the variables of  $\Delta\Sigma$ .  
One example of version that holds:

**lemma**

**assumes**  $\langle A \in \Delta\Sigma \rangle$   
**shows**  $\langle \text{consistent-interp } (\text{postp } \{ \text{Pos } A, \text{Neg } A \}) \rangle$  and  
 $\langle \neg \text{consistent-interp } \{ \text{Pos } A, \text{Neg } A \} \rangle$   
**using** *assms*  $\Delta\Sigma\text{-}\Sigma$   
**by** (*auto simp: consistent-interp-def postp-def uminus-lit-swap*)

Some more restricted version of the reverse hold, like:

**lemma** *consistent-interp-postp-iff*:

$\langle \text{atm-of } I \subseteq \Sigma - \Delta\Sigma \implies \text{consistent-interp } I \longleftrightarrow \text{consistent-interp } (\text{postp } I) \rangle$   
**by** (*auto simp: consistent-interp-def postp-def uminus-lit-swap*)

**lemma** *new-vars-different-iff[simp]*:

$\langle A \neq x^{\mapsto 1} \rangle$   
 $\langle A \neq x^{\mapsto 0} \rangle$   
 $\langle x^{\mapsto 1} \neq A \rangle$   
 $\langle x^{\mapsto 0} \neq A \rangle$   
 $\langle A^{\mapsto 0} \neq x^{\mapsto 1} \rangle$   
 $\langle A^{\mapsto 1} \neq x^{\mapsto 0} \rangle$   
 $\langle A^{\mapsto 0} = x^{\mapsto 0} \longleftrightarrow A = x \rangle$   
 $\langle A^{\mapsto 1} = x^{\mapsto 1} \longleftrightarrow A = x \rangle$   
 $\langle (A^{\mapsto 1}) \notin \Sigma \rangle$   
 $\langle (A^{\mapsto 0}) \notin \Sigma \rangle$   
 $\langle (A^{\mapsto 1}) \notin \Delta\Sigma \rangle$   
 $\langle (A^{\mapsto 0}) \notin \Delta\Sigma \rangle$  **if**  $\langle A \in \Delta\Sigma \rangle$   $\langle x \in \Delta\Sigma \rangle$  **for**  $A \ x$   
**using**  $\Delta\Sigma\text{-}\Sigma$  *new-vars-pos*[*of*  $x$ ] *new-vars-pos*[*of*  $A$ ] *new-vars-neg*[*of*  $x$ ] *new-vars-neg*[*of*  $A$ ]  
*new-vars-neg* *new-vars-dist2*[*of*  $A \ x$ ] *new-vars-dist2*[*of*  $x \ A$ ] *that*  
**by** (*cases*  $\langle A = x \rangle$ ; *fastforce simp: comp-def; fail*)**+**

**lemma** *consistent-interp-upostp*:

$\langle \text{consistent-interp } I \implies \text{consistent-interp } (\text{upostp } I) \rangle$

using  $\Delta\Sigma\text{-}\Sigma$   
 by (auto simp: consistent-interp-def upostp-def uminus-lit-swap)

**lemma** atm-of-upostp-subset2:  
 $\langle \text{atm-of } 'I \subseteq \Sigma \implies \text{replacement-pos } ' \Delta\Sigma \cup$   
 $\text{replacement-neg } ' \Delta\Sigma \cup (\Sigma - \Delta\Sigma) \subseteq \text{atm-of } ' (\text{upostp } I) \rangle$   
 apply (auto simp: upostp-def image-Un image-image)  
 apply (metis (mono-tags, lifting) imageI literal.sel(1) mem-Collect-eq)  
 apply (metis (mono-tags, lifting) imageI literal.sel(2) mem-Collect-eq)  
 done

**lemma**  $\Delta\Sigma\text{-notin-upost}[simp]$ :  
 $\langle y \in \Delta\Sigma \implies \text{Neg } y \notin \text{upostp } I \rangle$   
 $\langle y \in \Delta\Sigma \implies \text{Pos } y \notin \text{upostp } I \rangle$   
 using  $\Delta\Sigma\text{-}\Sigma$  by (auto simp: upostp-def)

**lemma** penc-ent-upostp:  
 assumes  $\Sigma: \langle \text{atms-of-mm } N = \Sigma \rangle$  and  
 sat:  $\langle I \models_{sm} N \rangle$  and  
 cons:  $\langle \text{consistent-interp } I \rangle$  and  
 atm:  $\langle \text{atm-of } 'I \subseteq \text{atms-of-mm } N \rangle$   
 shows  $\langle \text{upostp } I \models_m \text{penc } N \rangle$   
**proof** –  
 have [iff]:  $\langle \text{Pos } (A^{\mapsto 0}) \notin I \rangle \langle \text{Pos } (A^{\mapsto 1}) \notin I \rangle$   
 $\langle \text{Neg } (A^{\mapsto 0}) \notin I \rangle \langle \text{Neg } (A^{\mapsto 1}) \notin I \rangle$  if  $\langle A \in \Delta\Sigma \rangle$  for  $A$   
 using atm new-vars-neg[of  $A$ ] new-vars-pos[of  $A$ ] that  
 unfolding  $\Sigma$  by force+  
 have enc:  $\langle \text{upostp } I \models_m \text{encode-clauses } N \rangle$   
 unfolding true-cls-mset-def  
**proof**  
 fix  $C$   
 assume  $\langle C \in \# \text{encode-clauses } N \rangle$   
 then obtain  $C'$  where  
 $\langle C' \in \# N \rangle$  and  
 $\langle C = \text{encode-clause } C' \rangle$   
 by (auto simp: encode-clauses-def)  
 then obtain  $A$  where  
 $\langle A \in \# C' \rangle$  and  
 $\langle A \in I \rangle$   
 using sat  
 by (auto simp: true-cls-def  
 dest!: multi-member-split[of  $- N$ ])  
 moreover have  $\langle \text{atm-of } A \in \Sigma - \Delta\Sigma \vee \text{atm-of } A \in \Delta\Sigma \rangle$   
 using atm  $\langle A \in I \rangle$  unfolding  $\Sigma$  by blast  
 ultimately have  $\langle \text{encode-lit } A \in \text{upostp } I \rangle$   
 by (auto simp: encode-lit-alt-def upostp-def)  
 then show  $\langle \text{upostp } I \models C \rangle$   
 using  $\langle A \in \# C' \rangle$   
 unfolding  $\langle C = \text{encode-clause } C' \rangle$   
 by (auto simp: encode-clause-def dest: multi-member-split)  
**qed**  
 have [iff]:  $\langle \text{Pos } (y^{\mapsto 1}) \notin \text{upostp } I \longleftrightarrow \text{Neg } (y^{\mapsto 1}) \in \text{upostp } I \rangle$   
 $\langle \text{Pos } (y^{\mapsto 0}) \notin \text{upostp } I \longleftrightarrow \text{Neg } (y^{\mapsto 0}) \in \text{upostp } I \rangle$   
 if  $\langle y \in \Delta\Sigma \rangle$  for  $y$



```

    using that
    by (cases ⟨Pos y ∈ I⟩; auto simp: upostp-def image-image; fail)+
have H:
  ⟨Neg (y↦0) ∉ upostp I ⟹ Neg (y↦1) ∈ upostp I⟩
  if ⟨y ∈ ΔΣ⟩ for y
  using that cons ΔΣ-Σ unfolding upostp-def consistent-interp-def
  by (cases ⟨Pos y ∈ I⟩) (auto simp: image-image)
have [dest]: ⟨Neg A ∈ upostp I ⟹ Pos A ∉ upostp I⟩
  ⟨Pos A ∈ upostp I ⟹ Neg A ∉ upostp I⟩ for A
  using consistent-interp-upostp[OF cons]
  by (auto simp: consistent-interp-def)

have add: ⟨upostp I ⊨m additional-constraints⟩
  using finite-Σ H
  by (auto simp: additional-constraints-def true-cls-mset-def additional-constraint-def)

show ⟨upostp I ⊨m penc N⟩
  using enc add unfolding penc-def by auto
qed

lemma penc-ent-postp:
  assumes Σ: ⟨atms-of-mm N = Σ⟩ and
    sat: ⟨I ⊨sm penc N⟩ and
    cons: ⟨consistent-interp I⟩
  shows ⟨postp I ⊨m N⟩
proof -
  have enc: ⟨I ⊨m encode-clauses N⟩ and ⟨I ⊨m additional-constraints⟩
    using sat unfolding penc-def
    by auto
  have [dest]: ⟨Pos (x2↦0) ∈ I ⟹ Neg (x2↦1) ∈ I⟩ if ⟨x2 ∈ ΔΣ⟩ for x2
    using ⟨I ⊨m additional-constraints⟩ that cons
    multi-member-split[of x2 ⟨mset-set ΔΣ⟩] finite-Σ
    unfolding additional-constraints-def additional-constraint-def
    consistent-interp-def
    by (auto simp: true-cls-mset-def)
  have [dest]: ⟨Pos (x2↦0) ∈ I ⟹ Pos (x2↦1) ∉ I⟩ if ⟨x2 ∈ ΔΣ⟩ for x2
    using that cons
    unfolding consistent-interp-def
    by auto

show ⟨postp I ⊨m N⟩
  unfolding true-cls-mset-def
proof
  fix C
  assume ⟨C ∈# N⟩
  then have ⟨I ⊨ encode-clause C⟩
    using enc by (auto dest!: multi-member-split)
  then show ⟨postp I ⊨ C⟩
    unfolding true-cls-def
    using cons finite-Σ sat
    preprocess-clss-model-additional-variables2[of - I]
    Σ ⟨C ∈# N⟩ in-m-in-literals
    apply (auto simp: encode-clause-def postp-def encode-lit-alt-def
      split: if-splits
      dest!: multi-member-split[of - C])
    using image-iff apply fastforce

```

```

    apply (case-tac xa; auto)
    apply auto
    done

qed
qed

lemma satisfiable-penc-satisfiable:
  assumes  $\Sigma: \langle \text{atms-of-mm } N = \Sigma \rangle$  and
    sat:  $\langle \text{satisfiable } (\text{set-mset } (\text{penc } N)) \rangle$ 
  shows  $\langle \text{satisfiable } (\text{set-mset } N) \rangle$ 
  using assms apply (subst (asm) satisfiable-def)
  apply clarify
  subgoal for I
    using penc-ent-postp[OF  $\Sigma$ , of I] consistent-interp-postp[of I]
    by auto
  done

lemma satisfiable-penc:
  assumes  $\Sigma: \langle \text{atms-of-mm } N = \Sigma \rangle$  and
    sat:  $\langle \text{satisfiable } (\text{set-mset } N) \rangle$ 
  shows  $\langle \text{satisfiable } (\text{set-mset } (\text{penc } N)) \rangle$ 
  using assms
  apply (subst (asm) satisfiable-def-min)
  apply clarify
  subgoal for I
    using penc-ent-upostp[of N I] consistent-interp-upostp[of I]
    by auto
  done

lemma satisfiable-penc-iff:
  assumes  $\Sigma: \langle \text{atms-of-mm } N = \Sigma \rangle$ 
  shows  $\langle \text{satisfiable } (\text{set-mset } (\text{penc } N)) \longleftrightarrow \text{satisfiable } (\text{set-mset } N) \rangle$ 
  using assms satisfiable-penc satisfiable-penc-satisfiable by blast

abbreviation  $\varrho_e\text{-filter} :: \langle 'v \text{ literal multiset} \Rightarrow 'v \text{ literal multiset} \rangle$  where
   $\langle \varrho_e\text{-filter } M \equiv \{ \#L \in \# \text{ poss } (\text{mset-set } \Delta\Sigma). \text{ Pos } (\text{atm-of } L \mapsto^1) \in \# M \# \} +$ 
     $\{ \#L \in \# \text{ negs } (\text{mset-set } \Delta\Sigma). \text{ Pos } (\text{atm-of } L \mapsto^0) \in \# M \# \} \rangle$ 

lemma finite-upostp:  $\langle \text{finite } I \implies \text{finite } \Sigma \implies \text{finite } (\text{upostp } I) \rangle$ 
  using finite- $\Sigma$   $\Delta\Sigma$ - $\Sigma$ 
  by (auto simp: upostp-def)

declare finite- $\Sigma$ [simp]

lemma encode-lit-eq-iff:
   $\langle \text{atm-of } x \in \Sigma \implies \text{atm-of } y \in \Sigma \implies \text{encode-lit } x = \text{encode-lit } y \longleftrightarrow x = y \rangle$ 
  by (cases x; cases y) (auto simp: encode-lit-alt-def atm-of-eq-atm-of)

lemma distinct-mset-encode-clause-iff:
   $\langle \text{atms-of } N \subseteq \Sigma \implies \text{distinct-mset } (\text{encode-clause } N) \longleftrightarrow \text{distinct-mset } N \rangle$ 
  by (induction N)
    (auto simp: encode-clause-def encode-lit-eq-iff
      dest!: multi-member-split)

```

**lemma** *distinct-mset-encodes-clause-iff*:  
 $\langle \text{atms-of-mm } N \subseteq \Sigma \implies \text{distinct-mset-mset } (\text{encode-clauses } N) \longleftrightarrow \text{distinct-mset-mset } N \rangle$   
**by** (*induction*  $N$ )  
 (*auto simp: encode-clauses-def distinct-mset-encode-clause-iff*)

**lemma** *distinct-additional-constraints[simp]*:  
 $\langle \text{distinct-mset-mset additional-constraints} \rangle$   
**by** (*auto simp: additional-constraints-def additional-constraint-def distinct-mset-set-def*)

**lemma** *distinct-mset-penc*:  
 $\langle \text{atms-of-mm } N \subseteq \Sigma \implies \text{distinct-mset-mset } (\text{penc } N) \longleftrightarrow \text{distinct-mset-mset } N \rangle$   
**by** (*auto simp: penc-def distinct-mset-encodes-clause-iff*)

**lemma** *finite-postp*:  $\langle \text{finite } I \implies \text{finite } (\text{postp } I) \rangle$   
**by** (*auto simp: postp-def*)

**lemma** *total-entails-iff-no-conflict*:  
**assumes**  $\langle \text{atms-of-mm } N \subseteq \text{atm-of } 'I \rangle$  **and**  $\langle \text{consistent-interp } I \rangle$   
**shows**  $\langle I \models_{sm} N \longleftrightarrow (\forall C \in \# N. \neg I \models_s C \text{Not } C) \rangle$   
**apply** *rule*  
**subgoal**  
**using** *assms* **by** (*auto dest!: multi-member-split simp: consistent-CNot-not*)  
**subgoal**  
**by** (*smt assms(1) atms-of-atms-of-ms-mono atms-of-ms-CNot-atms-of atms-of-ms-insert atms-of-ms-mono atms-of-s-def empty-iff subset-iff sup.orderE total-not-true-clb-true-clss-CNot total-over-m-alt-def true-clss-def*)  
**done**

**definition**  $\varrho_e :: \langle 'v \text{ literal multiset} \Rightarrow 'a :: \{\text{linorder}\} \rangle$  **where**  
 $\langle \varrho_e M = \varrho (\varrho_e\text{-filter } M) \rangle$

**lemma**  $\Sigma\text{-no-weight-}\varrho_e$ :  $\langle \text{atm-of } C \in \Sigma - \Delta\Sigma \implies \varrho_e (\text{add-mset } C M) = \varrho_e M \rangle$   
**using**  $\Sigma\text{-no-weight}[of C \langle \varrho_e\text{-filter } M \rangle]$   
**apply** (*auto simp:  $\varrho_e$ -def finite- $\Sigma$  image-mset-mset-set inj-on-Neg inj-on-Pos*)  
**by** (*smt Collect-cong image-iff literal.sel(1) literal.sel(2) new-vars-neg new-vars-pos*)

**lemma**  $\varrho\text{-cancel-notin-}\Delta\Sigma$ :  
 $\langle (\bigwedge x. x \in \# M \implies \text{atm-of } x \in \Sigma - \Delta\Sigma) \implies \varrho (M + M') = \varrho M' \rangle$   
**by** (*induction*  $M$ ) (*auto simp:  $\Sigma\text{-no-weight}$* )

**lemma**  $\varrho\text{-mono2}$ :  
 $\langle \text{consistent-interp } (\text{set-mset } M') \implies \text{distinct-mset } M' \implies$   
 $(\bigwedge A. A \in \# M \implies \text{atm-of } A \in \Sigma) \implies (\bigwedge A. A \in \# M' \implies \text{atm-of } A \in \Sigma) \implies$   
 $\{\#A \in \# M. \text{atm-of } A \in \Delta\Sigma\# \} \subseteq \# \{\#A \in \# M'. \text{atm-of } A \in \Delta\Sigma\# \} \implies \varrho M \leq \varrho M' \rangle$   
**apply** (*subst (2) multiset-partition[of -  $\langle \lambda A. \text{atm-of } A \notin \Delta\Sigma \rangle]$* )  
**apply** (*subst multiset-partition[of -  $\langle \lambda A. \text{atm-of } A \notin \Delta\Sigma \rangle]$* )  
**apply** (*subst  $\varrho\text{-cancel-notin-}\Delta\Sigma$* )  
**subgoal by** *auto*  
**apply** (*subst  $\varrho\text{-cancel-notin-}\Delta\Sigma$* )  
**subgoal by** *auto*  
**by** (*auto intro!:  $\varrho\text{-mono}$  intro: consistent-interp-subset intro!: distinct-mset-mono[of -  $M'$ ]*)

```

lemma  $\varrho_e$ -mono:  $\langle \text{distinct-mset } B \implies A \subseteq\# B \implies \varrho_e A \leq \varrho_e B \rangle$ 
  unfolding  $\varrho_e$ -def
  apply (rule  $\varrho$ -mono)
  subgoal
    by (subst distinct-mset-add)
      (auto simp: distinct-image-mset-inj distinct-mset-filter distinct-mset-mset-set inj-on-Pos
        mset-inter-empty-set-mset image-mset-mset-set inj-on-Neg)
  subgoal
    by (rule subset-mset.add-mono; rule filter-mset-mono-subset) auto
  done

lemma  $\varrho_e$ -upostp- $\varrho$ :
  assumes [simp]:  $\langle \text{finite } \Sigma \rangle$  and
     $\langle \text{finite } I \rangle$  and
    cons:  $\langle \text{consistent-interp } I \rangle$  and
    I- $\Sigma$ :  $\langle \text{atm-of } 'I \subseteq \Sigma \rangle$ 
  shows  $\langle \varrho_e (\text{mset-set } (\text{upostp } I)) = \varrho (\text{mset-set } I) \rangle$  (is  $\langle ?A = ?B \rangle$ )
proof –
  have [simp]:  $\langle \text{finite } I \rangle$ 
    using assms by auto
  have [simp]:  $\langle \text{mset-set } \{x \in I. \text{atm-of } x \in \Sigma \wedge \text{atm-of } x \notin \text{replacement-pos } ' \Delta\Sigma \wedge \text{atm-of } x \notin \text{replacement-neg } ' \Delta\Sigma \} = \text{mset-set } I \rangle$ 
    using I- $\Sigma$  by auto
  have [simp]:  $\langle \text{finite } \{A \in \Delta\Sigma. P A\} \rangle$  for  $P$ 
    by (rule finite-subset[of -  $\Delta\Sigma$ ])
    (use  $\Delta\Sigma$ - $\Sigma$  finite- $\Sigma$  in auto)
  have [dest]:  $\langle xa \in \Delta\Sigma \implies \text{Pos } (xa^{\mapsto 1}) \in \text{upostp } I \implies \text{Pos } (xa^{\mapsto 0}) \in \text{upostp } I \implies \text{False} \rangle$  for  $xa$ 
    using cons unfolding penc-def
    by (auto simp: additional-constraint-def additional-constraints-def
      true-cls-mset-def consistent-interp-def upostp-def)
  have  $\langle ?A \leq ?B \rangle$ 
    using assms  $\Delta\Sigma$ - $\Sigma$  apply –
    unfolding  $\varrho_e$ -def filter-filter-mset
    apply (rule  $\varrho$ -mono2)
    subgoal using cons by auto
    subgoal using distinct-mset-mset-set by auto
    subgoal by auto
    subgoal by auto
    apply (rule filter-mset-mono-subset)
    subgoal
      by (subst distinct-subseteq-iff[symmetric])
      (auto simp: upostp-def simp: image-mset-mset-set inj-on-Neg inj-on-Pos
        distinct-mset-add mset-inter-empty-set-mset distinct-mset-mset-set)
    subgoal for  $x$ 
      by (cases  $\langle x \in I \rangle$ ; cases  $x$ ) (auto simp: upostp-def)
    done
  moreover have  $\langle ?B \leq ?A \rangle$ 
    using assms  $\Delta\Sigma$ - $\Sigma$  apply –
    unfolding  $\varrho_e$ -def filter-filter-mset
    apply (rule  $\varrho$ -mono2)
    subgoal using cons by (auto intro:
      intro: consistent-interp-subset[of -  $\langle \text{Pos } ' \Delta\Sigma \rangle$ ])

```

```

intro: consistent-interp-subset[of - ⟨Neg ‘  $\Delta\Sigma$ ⟩]
intro!: consistent-interp-unionI
simp: consistent-interp-upostp finite-upostp consistent-interp-poss
      consistent-interp-negs)
subgoal by (auto
  simp: distinct-mset-mset-set distinct-mset-add image-mset-mset-set inj-on-Pos inj-on-Neg
        mset-inter-empty-set-mset)
subgoal by auto
subgoal by auto
apply (auto simp: image-mset-mset-set inj-on-Neg inj-on-Pos)
  apply (subst distinct-subseteq-iff[symmetric])
apply (auto simp: distinct-mset-mset-set distinct-mset-add image-mset-mset-set inj-on-Pos inj-on-Neg
  mset-inter-empty-set-mset finite-upostp)
  apply (metis image-eqI literal.exhaust-sel)
apply (auto simp: upostp-def image-image)
apply (metis (mono-tags, lifting) imageI literal.collapse(1) literal.collapse(2) mem-Collect-eq)
apply (metis (mono-tags, lifting) imageI literal.collapse(1) literal.collapse(2) mem-Collect-eq)
apply (metis (mono-tags, lifting) imageI literal.collapse(1) literal.collapse(2) mem-Collect-eq)
done
ultimately show ?thesis
  by simp
qed

end

```

```

locale optimal-encoding = optimal-encoding-opt
  state-eq
  state
  — functions for the state:
  — access functions:
  trail init-clss learned-clss conflicting
  — changing state:
  cons-trail tl-trail add-learned-cls remove-cls
  update-conflicting

  — get state:
  init-state
  update-additional-info
   $\Sigma$   $\Delta\Sigma$ 
   $\varrho$ 
  new-vars +
  optimal-encoding-ops
   $\Sigma$   $\Delta\Sigma$ 
  new-vars  $\varrho$ 
for
  state-eq :: ⟨'st ⇒ 'st ⇒ bool⟩ (infix ⟨~⟩ 50) and
  state :: 'st ⇒ ('v, 'v clause) ann-lits × 'v clauses × 'v clauses × 'v clause option ×
    'v clause option × 'b and
  trail :: ⟨'st ⇒ ('v, 'v clause) ann-lits⟩ and
  init-clss :: ⟨'st ⇒ 'v clauses⟩ and
  learned-clss :: ⟨'st ⇒ 'v clauses⟩ and
  conflicting :: ⟨'st ⇒ 'v clause option⟩ and
  cons-trail :: ⟨('v, 'v clause) ann-lit ⇒ 'st ⇒ 'st⟩ and
  tl-trail :: ⟨'st ⇒ 'st⟩ and
  add-learned-cls :: ⟨'v clause ⇒ 'st ⇒ 'st⟩ and
  remove-cls :: ⟨'v clause ⇒ 'st ⇒ 'st⟩ and

```

*update-conflicting* ::  $\langle 'v \text{ clause option} \Rightarrow 'st \Rightarrow 'st \rangle$  **and**  
*init-state* ::  $\langle 'v \text{ clauses} \Rightarrow 'st \rangle$  **and**  
 $\varrho :: \langle 'v \text{ clause} \Rightarrow 'a :: \{ \text{linorder} \} \rangle$  **and**  
*update-additional-info* ::  $\langle 'v \text{ clause option} \times 'b \Rightarrow 'st \Rightarrow 'st \rangle$  **and**  
 $\Sigma \Delta \Sigma :: \langle 'v \text{ set} \rangle$  **and**  
*new-vars* ::  $\langle 'v \Rightarrow 'v \times 'v \rangle$   
**begin**

**interpretation** *enc-weight-opt: conflict-driven-clause-learning<sub>W</sub>-optimal-weight* **where**

*state-eq* = *state-eq* **and**  
*state* = *state* **and**  
*trail* = *trail* **and**  
*init-clss* = *init-clss* **and**  
*learned-clss* = *learned-clss* **and**  
*conflicting* = *conflicting* **and**  
*cons-trail* = *cons-trail* **and**  
*tl-trail* = *tl-trail* **and**  
*add-learned-cl* = *add-learned-cl* **and**  
*remove-cl* = *remove-cl* **and**  
*update-conflicting* = *update-conflicting* **and**  
*init-state* = *init-state* **and**  
 $\varrho = \varrho_e$  **and**  
*update-additional-info* = *update-additional-info*  
**apply** *unfold-locales*  
**subgoal by** (*rule*  $\varrho_e\text{-mono}$ )  
**subgoal using** *update-additional-info* **by** *fast*  
**subgoal using** *weight-init-state* **by** *fast*  
**done**

**theorem** *full-encoding-OCDCCL-correctness:*

**assumes**  
*st*:  $\langle \text{full enc-weight-opt.cdcl-bnb-stgy } (\text{init-state } (\text{penc } N)) \ T \rangle$  **and**  
*dist*:  $\langle \text{distinct-mset-mset } N \rangle$  **and**  
*atms*:  $\langle \text{atms-of-mm } N = \Sigma \rangle$   
**shows**  
 $\langle \text{weight } T = \text{None} \implies \text{unsatisfiable } (\text{set-mset } N) \rangle$  **and**  
 $\langle \text{weight } T \neq \text{None} \implies \text{postp } (\text{set-mset } (\text{the } (\text{weight } T))) \models_{sm} N \rangle$   
 $\langle \text{weight } T \neq \text{None} \implies \text{distinct-mset } I \implies \text{consistent-interp } (\text{set-mset } I) \implies$   
 $\text{atms-of } I \subseteq \text{atms-of-mm } N \implies \text{set-mset } I \models_{sm} N \implies$   
 $\varrho \ I \geq \varrho \ (\text{mset-set } (\text{postp } (\text{set-mset } (\text{the } (\text{weight } T)))) \rangle$   
 $\langle \text{weight } T \neq \text{None} \implies \varrho_e \ (\text{the } (\text{enc-weight-opt.weight } T)) =$   
 $\varrho \ (\text{mset-set } (\text{postp } (\text{set-mset } (\text{the } (\text{enc-weight-opt.weight } T)))) \rangle$

**proof** –

**let**  $?N = \langle \text{penc } N \rangle$   
**have**  $\langle \text{distinct-mset-mset } (\text{penc } N) \rangle$   
**by** (*subst distinct-mset-penc*)  
*(use dist atms in auto)*  
**then have**  
*unsat*:  $\langle \text{weight } T = \text{None} \implies \text{unsatisfiable } (\text{set-mset } ?N) \rangle$  **and**  
*model*:  $\langle \text{weight } T \neq \text{None} \implies \text{consistent-interp } (\text{set-mset } (\text{the } (\text{weight } T))) \wedge$   
 $\text{atms-of } (\text{the } (\text{weight } T)) \subseteq \text{atms-of-mm } ?N \wedge \text{set-mset } (\text{the } (\text{weight } T)) \models_{sm} ?N \wedge$   
 $\text{distinct-mset } (\text{the } (\text{weight } T)) \rangle$  **and**  
*opt*:  $\langle \text{distinct-mset } I \implies \text{consistent-interp } (\text{set-mset } I) \implies \text{atms-of } I = \text{atms-of-mm } ?N \implies$   
 $\text{set-mset } I \models_{sm} ?N \implies \text{Found } (\varrho_e \ I) \geq \text{enc-weight-opt.}\varrho' \ (\text{weight } T) \rangle$

```

for I
  using enc-weight-opt.full-cdcl-bnb-stgy-no-conflicting-clause-from-init-state[of
    ⟨penc N⟩ T, OF st]
  by fast+

show ⟨unsatisfiable (set-mset N)⟩ if ⟨weight T = None⟩
  using unsat[OF that] satisfiable-penc[OF atms] by blast
let ?K = ⟨postp (set-mset (the (weight T)))⟩
show ⟨?K ⊨sm N⟩ if ⟨weight T ≠ None⟩
  using penc-ent-postp[OF atms, of ⟨set-mset (the (weight T))⟩] model[OF that]
  by auto

assume Some: ⟨weight T ≠ None⟩
have Some': ⟨enc-weight-opt.weight T ≠ None⟩
  using Some by auto
have cons-K: ⟨consistent-interp ?K⟩
  using model Some by (auto simp: consistent-interp-postp)
define J where ⟨J = the (weight T)⟩
then have [simp]: ⟨weight T = Some J⟩ ⟨enc-weight-opt.weight T = Some J⟩
  using Some by auto
have ⟨set-mset J ⊨sm additional-constraints⟩
  using model by (auto simp: penc-def)
then have H: ⟨x ∈ ΔΣ ⟹ Neg (replacement-pos x) ∈# J ∨ Neg (replacement-neg x) ∈# J⟩ and
  [dest]: ⟨Pos (xa→1) ∈# J ⟹ Pos (xa→0) ∈# J ⟹ xa ∈ ΔΣ ⟹ False⟩ for x xa
  using model
  apply (auto simp: additional-constraints-def additional-constraint-def true-clss-def
    consistent-interp-def)
  by (metis uminus-Pos)
have cons-f: ⟨consistent-interp (set-mset (ρe-filter (the (weight T))))⟩
  using model
  by (auto simp: postp-def ρe-def Σadd-def conj-disj-distribR
    consistent-interp-poss
    consistent-interp-negs
    mset-set-Union intro!: consistent-interp-unionI
    intro: consistent-interp-subset distinct-mset-mset-set
    consistent-interp-subset[of - ⟨Pos ‘ ΔΣ⟩]
    consistent-interp-subset[of - ⟨Neg ‘ ΔΣ⟩])
have dist-f: ⟨distinct-mset ((ρe-filter (the (weight T))))⟩
  using model
  by (auto simp: postp-def simp: image-mset-mset-set inj-on-Neg inj-on-Pos
    distinct-mset-add mset-inter-empty-set-mset distinct-mset-mset-set)

have ⟨enc-weight-opt.ρ' (weight T) ≤ Found (ρ (mset-set ?K))⟩
  using Some'
  apply auto
  unfolding ρe-def
  apply (rule ρ-mono2)
  subgoal
    using model Some' by (auto simp: finite-postp consistent-interp-postp)
  subgoal by (auto simp: distinct-mset-mset-set)
  subgoal using atms dist model[OF Some] atms ΔΣ-Σ by (auto simp: postp-def)
  subgoal using atms dist model[OF Some] atms ΔΣ-Σ by (auto simp: postp-def)
  subgoal
    apply (subst distinct-subseteq-iff[symmetric])
    using dist model[OF Some] H
    by (force simp: filter-filter-mset consistent-interp-def postp-def)

```

```

      image-mset-mset-set inj-on-Neg inj-on-Pos finite-postp
      distinct-mset-add mset-inter-empty-set-mset distinct-mset-mset-set
      intro: distinct-mset-mono[of - ⟨the (enc-weight-opt.weight T)⟩]+
    done
  moreover {
    have ⟨ $\varrho$  (mset-set ?K) ≤  $\varrho_e$  (the (weight T))⟩
      unfolding  $\varrho_e$ -def
      apply (rule  $\varrho$ -mono2)
      subgoal by (rule cons-f)
      subgoal by (rule dist-f)
      subgoal using atms dist model[OF Some] atms  $\Delta\Sigma$ - $\Sigma$  by (auto simp: postp-def)
      subgoal using atms dist model[OF Some] atms  $\Delta\Sigma$ - $\Sigma$  by (auto simp: postp-def)
      subgoal
        by (subst distinct-subseteq-iff[symmetric])
        (auto simp: postp-def simp: image-mset-mset-set inj-on-Neg inj-on-Pos
          distinct-mset-add mset-inter-empty-set-mset distinct-mset-mset-set)
      done
    then have ⟨Found ( $\varrho$  (mset-set ?K)) ≤ enc-weight-opt. $\varrho'$  (weight T)⟩
      using Some by auto
  } note le = this
  ultimately show ⟨ $\varrho_e$  (the (weight T)) = ( $\varrho$  (mset-set ?K))⟩
    using Some' by auto

show ⟨ $\varrho$  I ≥  $\varrho$  (mset-set ?K)⟩
  if dist: ⟨distinct-mset I⟩ and
    cons: ⟨consistent-interp (set-mset I)⟩ and
    atm: ⟨atms-of I ⊆ atms-of-mm N⟩ and
    I-N: ⟨set-mset I ⊢sm N⟩
proof -
  let ?I = ⟨mset-set (upostp (set-mset I))⟩
  have [simp]: ⟨finite (upostp (set-mset I))⟩
    by (rule finite-upostp)
    (use atms in auto)
  then have I: ⟨set-mset ?I = upostp (set-mset I)⟩
    by auto
  have ⟨set-mset ?I ⊢m ?N⟩
    unfolding I
    by (rule penc-ent-upostp[OF atms I-N cons])
    (use atm in ⟨auto dest: multi-member-split⟩)
  moreover have ⟨distinct-mset ?I⟩
    by (rule distinct-mset-mset-set)
  moreover {
    have A: ⟨atms-of (mset-set (upostp (set-mset I))) = atm-of ‘ (upostp (set-mset I))⟩
      ⟨atm-of ‘ set-mset I = atms-of I⟩
      by (auto simp: atms-of-def)
    have ⟨atms-of ?I = atms-of-mm ?N⟩
      apply (subst atms-of-mm-penc-subset2[OF finite- $\Sigma$ ])
      subgoal using  $\Delta\Sigma$ - $\Sigma$  atms by auto
      subgoal
        using atm-of-upostp-subset[of ⟨set-mset I⟩] atm-of-upostp-subset2[of ⟨set-mset I⟩] atm
        unfolding atms A
        by (auto simp: upostp-def)
      done
  }
  moreover have cons': ⟨consistent-interp (set-mset ?I)⟩
    using cons unfolding I by (rule consistent-interp-upostp)

```



```

ultimately have ⟨Found (ρe ?I) ≥ enc-weight-opt.ρ' (weight T)⟩
  using opt[of ?I] by auto
moreover {
  have ⟨ρe ?I = ρ (mset-set (set-mset I))⟩
    by (rule ρe-upostp-ρ)
    (use ΔΣ-Σ atms atm cons in ⟨auto dest: multi-member-split⟩)
  then have ⟨ρe ?I = ρ I⟩
    by (subst (asm) distinct-mset-set-mset-ident)
    (use atms dist in auto)
}
ultimately have ⟨Found (ρ I) ≥ enc-weight-opt.ρ' (weight T)⟩
  using Some'
  by auto
moreover {
  have ⟨ρe (mset-set ?K) ≤ ρe (mset-set (set-mset (the (weight T))))⟩
    unfolding ρe-def
    apply (rule ρ-mono2)
    subgoal using cons-f by auto
    subgoal using dist-f by auto
    subgoal using atms dist model[OF Some] atms ΔΣ-Σ by (auto simp: postp-def)
    subgoal using atms dist model[OF Some] atms ΔΣ-Σ by (auto simp: postp-def)
    subgoal
      by (subst distinct-subseteq-iff[symmetric])
      (auto simp: postp-def simp: image-mset-mset-set inj-on-Neg inj-on-Pos
        distinct-mset-add mset-inter-empty-set-mset distinct-mset-mset-set)
    done
  then have ⟨Found (ρe (mset-set ?K)) ≤ enc-weight-opt.ρ' (weight T)⟩
    apply (subst (asm) distinct-mset-set-mset-ident)
    apply (use atms dist model[OF Some] in auto; fail)[]
    using Some' by auto
}
moreover have ⟨ρe (mset-set ?K) ≤ ρ (mset-set ?K)⟩
  unfolding ρe-def
  apply (rule ρ-mono2)
  subgoal
    using model Some' by (auto simp: finite-postp consistent-interp-postp)
  subgoal by (auto simp: distinct-mset-mset-set)
  subgoal using atms dist model[OF Some] atms ΔΣ-Σ by (auto simp: postp-def)
  subgoal using atms dist model[OF Some] atms ΔΣ-Σ by (auto simp: postp-def)
  subgoal
    by (subst distinct-subseteq-iff[symmetric])
    (auto simp: postp-def simp: image-mset-mset-set inj-on-Neg inj-on-Pos
      distinct-mset-add mset-inter-empty-set-mset distinct-mset-mset-set)
  done
ultimately show ?thesis
  using Some' le by auto
qed
qed

```

**theorem** *full-encoding-OCDCL-complexity:*

**assumes**

*st*: ⟨full enc-weight-opt.cdcl-bnb-stgy (init-state (penc N)) T⟩ **and**

*dist*: ⟨distinct-mset-mset N⟩ **and**

*atms*: ⟨atms-of-mm N = Σ⟩

**shows** ⟨size (learned-cls T) ≤ 2<sup>^</sup> (card (atms-of-mm N - ΔΣ)) \* 4<sup>^</sup> (card ΔΣ)⟩

**proof** –

```

have [simp]: ⟨finite Σ⟩
  unfolding atms[symmetric]
  by auto
have [simp]: ⟨card (atms-of-mm N - ΔΣ ∪ replacement-pos ‘ ΔΣ ∪ replacement-neg ‘ ΔΣ) =
  card (atms-of-mm N - ΔΣ) + card ( replacement-pos ‘ ΔΣ) + card (replacement-neg ‘ ΔΣ)⟩
  by (subst card-Un-disjoint; auto simp: atms)+
have [simp]: ⟨card (replacement-pos ‘ ΔΣ) = card ΔΣ⟩ ⟨card (replacement-neg ‘ ΔΣ) = card ΔΣ⟩
  by (auto intro!: card-image simp: inj-on-def)

show ?thesis
  apply (rule order-trans[OF enc-weight-opt.cdcl-bnb-pow2-n-learned-clauses[of ⟨penc N⟩]])
  using assms ΔΣ-Σ monoid-mult-class.power-mult[of ⟨2 :: nat⟩ ⟨2 :: nat⟩ ⟨card ΔΣ⟩, unfolded mult-2]
  by (auto simp: full-def distinct-mset-penc monoid-mult-class.power-add
    enc-weight-opt.rtrancp-cdcl-bnb-stgy-cdcl-bnb atms-of-mm-penc-subset2)
qed

inductive ocdclW-o-r :: ⟨'st ⇒ 'st ⇒ bool⟩ for S :: 'st where
  decide: ⟨odecide S S' ⇒ ocdclW-o-r S S'⟩ |
  bj: ⟨enc-weight-opt.cdcl-bnb-bj S S' ⇒ ocdclW-o-r S S'⟩

inductive cdcl-bnb-r :: ⟨'st ⇒ 'st ⇒ bool⟩ for S :: 'st where
  cdcl-conflict: ⟨conflict S S' ⇒ cdcl-bnb-r S S'⟩ |
  cdcl-propagate: ⟨propagate S S' ⇒ cdcl-bnb-r S S'⟩ |
  cdcl-improve: ⟨enc-weight-opt.improvep S S' ⇒ cdcl-bnb-r S S'⟩ |
  cdcl-conflict-opt: ⟨enc-weight-opt.conflict-opt S S' ⇒ cdcl-bnb-r S S'⟩ |
  cdcl-o': ⟨ocdclW-o-r S S' ⇒ cdcl-bnb-r S S'⟩

inductive cdcl-bnb-r-stgy :: ⟨'st ⇒ 'st ⇒ bool⟩ for S :: 'st where
  cdcl-bnb-r-conflict: ⟨conflict S S' ⇒ cdcl-bnb-r-stgy S S'⟩ |
  cdcl-bnb-r-propagate: ⟨propagate S S' ⇒ cdcl-bnb-r-stgy S S'⟩ |
  cdcl-bnb-r-improve: ⟨enc-weight-opt.improvep S S' ⇒ cdcl-bnb-r-stgy S S'⟩ |
  cdcl-bnb-r-conflict-opt: ⟨enc-weight-opt.conflict-opt S S' ⇒ cdcl-bnb-r-stgy S S'⟩ |
  cdcl-bnb-r-other': ⟨ocdclW-o-r S S' ⇒ no-conf-prop-impr S ⇒ cdcl-bnb-r-stgy S S'⟩

lemma ocdclW-o-r-cases[consumes 1, case-names odecode obacktrack skip resolve]:
  assumes
    ⟨ocdclW-o-r S T⟩
    ⟨odecide S T ⇒ P T⟩
    ⟨enc-weight-opt.obacktrack S T ⇒ P T⟩
    ⟨skip S T ⇒ P T⟩
    ⟨resolve S T ⇒ P T⟩
  shows ⟨P T⟩
  using assms by (auto simp: ocdclW-o-r.simps enc-weight-opt.cdcl-bnb-bj.simps)

context
  fixes S :: 'st
  assumes S-Σ: ⟨atms-of-mm (init-cls S) = (Σ - ΔΣ) ∪ replacement-pos ‘ ΔΣ
    ∪ replacement-neg ‘ ΔΣ⟩
begin

lemma odecode-decide:
  ⟨odecide S T ⇒ decide S T⟩
  apply (elim odecodeE)
  subgoal for L
    by (rule decide.intros[of S ⟨L⟩]) auto
  subgoal for L


```

```

  by (rule decide.intros[of S ⟨Pos (L→1)⟩]) (use S-Σ ΔΣ-Σ in auto)
subgoal for L
  by (rule decide.intros[of S ⟨Pos (L→0)⟩]) (use S-Σ ΔΣ-Σ in auto)
done

```

```

lemma ocdclW-o-r-ocdclW-o:
  ⟨ocdclW-o-r S T ⟹ enc-weight-opt.ocdclW-o S T⟩
using S-Σ by (auto simp: ocdclW-o-r.simps enc-weight-opt.ocdclW-o.simps
  dest: odecide-decide)

```

```

lemma cdcl-bnb-r-cdcl-bnb:
  ⟨cdcl-bnb-r S T ⟹ enc-weight-opt.cdcl-bnb S T⟩
using S-Σ by (auto simp: cdcl-bnb-r.simps enc-weight-opt.cdcl-bnb.simps
  dest: ocdclW-o-r-ocdclW-o)

```

```

lemma cdcl-bnb-r-stgy-cdcl-bnb-stgy:
  ⟨cdcl-bnb-r-stgy S T ⟹ enc-weight-opt.cdcl-bnb-stgy S T⟩
using S-Σ by (auto simp: cdcl-bnb-r-stgy.simps enc-weight-opt.cdcl-bnb-stgy.simps
  dest: ocdclW-o-r-ocdclW-o)

```

end

```

context
  fixes S :: 'st
  assumes S-Σ: ⟨atms-of-mm (init-clss S) = (Σ - ΔΣ) ∪ replacement-pos ‘ ΔΣ
    ∪ replacement-neg ‘ ΔΣ⟩
begin

```

```

lemma rtrancpl-cdcl-bnb-r-cdcl-bnb:
  ⟨cdcl-bnb-r** S T ⟹ enc-weight-opt.cdcl-bnb** S T⟩
apply (induction rule: rtrancpl-induct)
subgoal by auto
subgoal for T U
  using S-Σ enc-weight-opt.rtrancpl-cdcl-bnb-no-more-init-clss[of S T]
  by (auto dest: cdcl-bnb-r-cdcl-bnb)
done

```

```

lemma rtrancpl-cdcl-bnb-r-stgy-cdcl-bnb-stgy:
  ⟨cdcl-bnb-r-stgy** S T ⟹ enc-weight-opt.cdcl-bnb-stgy** S T⟩
apply (induction rule: rtrancpl-induct)
subgoal by auto
subgoal for T U
  using S-Σ
  enc-weight-opt.rtrancpl-cdcl-bnb-no-more-init-clss[of S T,
    OF enc-weight-opt.rtrancpl-cdcl-bnb-stgy-cdcl-bnb]
  by (auto dest: cdcl-bnb-r-stgy-cdcl-bnb-stgy)
done

```

```

lemma rtrancpl-cdcl-bnb-r-all-struct-inv:
  ⟨cdcl-bnb-r** S T ⟹
    cdclW-restart-mset.cdclW-all-struct-inv (enc-weight-opt.abs-state S) ⟹
    cdclW-restart-mset.cdclW-all-struct-inv (enc-weight-opt.abs-state T)⟩
using rtrancpl-cdcl-bnb-r-cdcl-bnb[of T]

```

*enc-weight-opt.rtrancpl-cdcl-bnb-stgy-all-struct-inv* **by** *blast*

**lemma** *rtrancpl-cdcl-bnb-r-stgy-all-struct-inv*:

$\langle \text{cdcl-bnb-r-stgy}^* S T \implies$   
 $\text{cdcl}_W\text{-restart-mset.cdcl}_W\text{-all-struct-inv } (\text{enc-weight-opt.abs-state } S) \implies$   
 $\text{cdcl}_W\text{-restart-mset.cdcl}_W\text{-all-struct-inv } (\text{enc-weight-opt.abs-state } T) \rangle$   
**using** *rtrancpl-cdcl-bnb-r-stgy-cdcl-bnb-stgy*[of *T*]  
*enc-weight-opt.rtrancpl-cdcl-bnb-stgy-all-struct-inv*[of *S T*]  
*enc-weight-opt.rtrancpl-cdcl-bnb-stgy-cdcl-bnb*[of *S T*]  
**by** *auto*

**end**

**lemma** *no-step-cdcl-bnb-r-stgy-no-step-cdcl-bnb-stgy*:

**assumes**  
*N*:  $\langle \text{init-clss } S = \text{penc } N \rangle$  **and**  
 $\Sigma$ :  $\langle \text{atms-of-mm } N = \Sigma \rangle$  **and**  
*n-d*:  $\langle \text{no-dup } (\text{trail } S) \rangle$  **and**  
*tr-alien*:  $\langle \text{atm-of } \text{' lits-of-l } (\text{trail } S) \subseteq \Sigma \cup \text{replacement-pos } \text{' } \Delta\Sigma \cup \text{replacement-neg } \text{' } \Delta\Sigma \rangle$   
**shows**  
 $\langle \text{no-step cdcl-bnb-r-stgy } S \longleftrightarrow \text{no-step enc-weight-opt.cdcl-bnb-stgy } S \rangle$  (**is**  $\langle ?A \longleftrightarrow ?B \rangle$ )

**proof**

**assume** *?B*  
**then show**  $\langle ?A \rangle$   
**using** *N cdcl-bnb-r-stgy-cdcl-bnb-stgy*[of *S*] *atms-of-mm-encode-clause-subset*[of *N*]  
*atms-of-mm-encode-clause-subset2*[of *N*] *finite-Σ ΔΣ-Σ*  
*atms-of-mm-penc-subset2*[of *N*]  
**by** (*auto simp: Σ*)

**next**

**assume** *?A*  
**then have**  
*nsd*:  $\langle \text{no-step odecide } S \rangle$  **and**  
*nsp*:  $\langle \text{no-step propagate } S \rangle$  **and**  
*nsc*:  $\langle \text{no-step conflict } S \rangle$  **and**  
*nsi*:  $\langle \text{no-step enc-weight-opt.improvep } S \rangle$  **and**  
*nsco*:  $\langle \text{no-step enc-weight-opt.conflict-opt } S \rangle$   
**by** (*auto simp: cdcl-bnb-r-stgy.simps occl<sub>W</sub>-o-r.simps*)  
**have**  
*nsi'*:  $\langle \bigwedge M'. \text{conflicting } S = \text{None} \implies \neg \text{enc-weight-opt.is-improving } (\text{trail } S) M' S \rangle$  **and**  
*nsco'*:  $\langle \text{conflicting } S = \text{None} \implies \text{negate-ann-lits } (\text{trail } S) \notin \# \text{enc-weight-opt.conflicting-clss } S \rangle$   
**using** *nsi nsco unfolding enc-weight-opt.improvep.simps enc-weight-opt.conflict-opt.simps*  
**by** *auto*

**have** *N-Σ*:  $\langle \text{atms-of-mm } (\text{penc } N) =$   
 $(\Sigma - \Delta\Sigma) \cup \text{replacement-pos } \text{' } \Delta\Sigma \cup \text{replacement-neg } \text{' } \Delta\Sigma \rangle$   
**using** *N Σ cdcl-bnb-r-stgy-cdcl-bnb-stgy*[of *S*] *atms-of-mm-encode-clause-subset*[of *N*]  
*atms-of-mm-encode-clause-subset2*[of *N*] *finite-Σ ΔΣ-Σ*  
*atms-of-mm-penc-subset2*[of *N*]  
**by** *auto*

**have** *False* **if** *dec*:  $\langle \text{decide } S T \rangle$  **for** *T*

**proof** –

**obtain** *L* **where**  
 $[simp]$ :  $\langle \text{conflicting } S = \text{None} \rangle$  **and**  
*undef*:  $\langle \text{undefined-lit } (\text{trail } S) L \rangle$  **and**  
*L*:  $\langle \text{atm-of } L \in \text{atms-of-mm } (\text{init-clss } S) \rangle$  **and**  
*T*:  $\langle T \sim \text{cons-trail } (\text{Decided } L) S \rangle$   
**using** *dec unfolding decide.simps*

```

    by auto
  have 1:  $\langle \text{atm-of } L \notin \Sigma - \Delta\Sigma \rangle$ 
    using nsd L undef by (fastforce simp: odecide.simps N  $\Sigma$ )
  have 2: False if L:  $\langle \text{atm-of } L \in \text{replacement-pos } ' \Delta\Sigma \cup$ 
    replacement-neg  $' \Delta\Sigma \rangle$ 
  proof -
    obtain A where
       $\langle A \in \Delta\Sigma \rangle$  and
       $\langle \text{atm-of } L = \text{replacement-pos } A \vee \text{atm-of } L = \text{replacement-neg } A \rangle$  and
       $\langle A \in \Sigma \rangle$ 
    using L  $\Delta\Sigma$ - $\Sigma$  by auto
  then show False
    using nsd L undef T N- $\Sigma$ 
    using odecide.intros(2-)[of S  $\langle A \rangle$ ]
    unfolding N  $\Sigma$ 
    by (cases L) (auto 6 5 simp: defined-lit-Neg-Pos-iff  $\Sigma$ )
qed
have defined-replacement-pos:  $\langle \text{defined-lit } (\text{trail } S) (\text{Pos } (\text{replacement-pos } L)) \rangle$ 
  if  $\langle L \in \Delta\Sigma \rangle$  for L
  using nsd that  $\Delta\Sigma$ - $\Sigma$  odecide.intros(2-)[of S  $\langle L \rangle$ ] by (auto simp: N  $\Sigma$  N- $\Sigma$ )
have defined-all:  $\langle \text{defined-lit } (\text{trail } S) L \rangle$ 
  if  $\langle \text{atm-of } L \in \Sigma - \Delta\Sigma \rangle$  for L
  using nsd that  $\Delta\Sigma$ - $\Sigma$  odecide.intros(1)[of S  $\langle L \rangle$ ] by (force simp: N  $\Sigma$  N- $\Sigma$ )
have defined-replacement-neg:  $\langle \text{defined-lit } (\text{trail } S) (\text{Pos } (\text{replacement-neg } L)) \rangle$ 
  if  $\langle L \in \Delta\Sigma \rangle$  for L
  using nsd that  $\Delta\Sigma$ - $\Sigma$  odecide.intros(2-)[of S  $\langle L \rangle$ ] by (force simp: N  $\Sigma$  N- $\Sigma$ )
have [simp]:  $\langle \{A \in \Delta\Sigma. A \in \Sigma\} = \Delta\Sigma \rangle$ 
  using  $\Delta\Sigma$ - $\Sigma$  by auto
have atms-tr':  $\langle \Sigma - \Delta\Sigma \cup \text{replacement-pos } ' \Delta\Sigma \cup \text{replacement-neg } ' \Delta\Sigma \subseteq$ 
  atm-of  $' (\text{lits-of-l } (\text{trail } S)) \rangle$ 
  using N  $\Sigma$  cdcl-bnb-r-stgy-cdcl-bnb-stgy[of S] atms-of-mm-encode-clause-subset[of N]
  atms-of-mm-encode-clause-subset2[of N, OF finite- $\Sigma$ ]  $\Delta\Sigma$ - $\Sigma$ 
  defined-replacement-pos defined-replacement-neg defined-all
  unfolding N  $\Sigma$  N- $\Sigma$ 
  apply (auto simp: Decided-Propagated-in-iff-in-lits-of-l)
  apply (metis image-eqI literal.sel(1) literal.sel(2) uminus-Pos)
  apply (metis image-eqI literal.sel(1) literal.sel(2))
  apply (metis image-eqI literal.sel(1) literal.sel(2))
  done
then have atms-tr:  $\langle \text{atms-of-mm } (\text{encode-clauses } N) \subseteq \text{atm-of } ' (\text{lits-of-l } (\text{trail } S)) \rangle$ 
  using N atms-of-mm-encode-clause-subset[of N]
  atms-of-mm-encode-clause-subset2[of N, OF finite- $\Sigma$ ]  $\Delta\Sigma$ - $\Sigma$ 
  unfolding N  $\Sigma$  N- $\Sigma$   $\langle \{A \in \Delta\Sigma. A \in \Sigma\} = \Delta\Sigma \rangle$ 
  by (meson order-trans)
show False
  by (metis L N N- $\Sigma$  atm-lit-of-set-lits-of-l
    atms-tr' defined-lit-map subsetCE undef)
qed
then show ?B
  using  $\langle ?A \rangle$ 
  by (auto simp: cdcl-bnb-r-stgy.simps enc-weight-opt.cdcl-bnb-stgy.simps
    ocdclW-o-r.simps enc-weight-opt.ocdclW-o.simps)
qed

```

**lemma** *cdcl-bnb-r-stgy-init-cls*:  
 $\langle \text{cdcl-bnb-r-stgy } S \ T \implies \text{init-cls } S = \text{init-cls } T \rangle$

by (auto simp: cdcl-bnb-r-stgy.simps ocdcl<sub>W</sub>-o-r.simps enc-weight-opt.cdcl-bnb-bj.simps  
 elim: conflictE propagateE enc-weight-opt.improveE enc-weight-opt.conflict-optE  
 odecideE skipE resolveE enc-weight-opt.obacktrackE)

**lemma** rtrancpl-cdcl-bnb-r-stgy-init-clss:

⟨cdcl-bnb-r-stgy\*\*  $S \ T \implies \text{init-clss } S = \text{init-clss } T$ ⟩

by (induction rule: rtrancpl-induct)(auto simp: dest: cdcl-bnb-r-stgy-init-clss)

**lemma** [simp]:

⟨enc-weight-opt.abs-state (init-state  $N$ ) = abs-state (init-state  $N$ )⟩

by (auto simp: enc-weight-opt.abs-state-def abs-state-def)

**corollary**

**assumes**

$\Sigma$ : ⟨atms-of-mm  $N = \Sigma$ ⟩ **and** dist: ⟨distinct-mset-mset  $N$ ⟩ **and**

⟨full cdcl-bnb-r-stgy (init-state (penc  $N$ ))  $T$ ⟩

**shows**

⟨full enc-weight-opt.cdcl-bnb-stgy (init-state (penc  $N$ ))  $T$ ⟩

**proof** –

**have** [simp]: ⟨atms-of-mm (CDCL-W-Abstract-State.init-clss (enc-weight-opt.abs-state  $T$ )) =  
 atms-of-mm (init-clss  $T$ )⟩

**by** (auto simp: enc-weight-opt.abs-state-def init-clss.simps)

**let**  $?S = \langle \text{init-state (penc } N) \rangle$

**have**

$st$ : ⟨cdcl-bnb-r-stgy\*\*  $?S \ T$ ⟩ **and**

$ns$ : ⟨no-step cdcl-bnb-r-stgy  $T$ ⟩

**using** assms **unfolding** full-def **by**metis+

**have**  $st'$ : ⟨enc-weight-opt.cdcl-bnb-stgy\*\*  $?S \ T$ ⟩

**by** (rule rtrancpl-cdcl-bnb-r-stgy-cdcl-bnb-stgy[OF -  $st$ ])

(use atms-of-mm-penc-subset2[of  $N$ ] finite- $\Sigma$   $\Delta\Sigma$ - $\Sigma$   $\Sigma$  **in** auto)

**have** [simp]:

⟨CDCL-W-Abstract-State.init-clss (abs-state (init-state (penc  $N$ ))) =  
 (penc  $N$ )⟩

**by** (auto simp: abs-state-def init-clss.simps)

**have** [iff]: ⟨cdcl<sub>W</sub>-restart-mset.cdcl<sub>W</sub>-all-struct-inv (abs-state  $?S$ )⟩

**using** dist distinct-mset-penc[of  $N$ ]

**by** (auto simp: cdcl<sub>W</sub>-restart-mset.cdcl<sub>W</sub>-all-struct-inv-def

cdcl<sub>W</sub>-restart-mset.distinct-cdcl<sub>W</sub>-state-def  $\Sigma$

cdcl<sub>W</sub>-restart-mset.cdcl<sub>W</sub>-learned-clause-alt-def)

**have** ⟨cdcl<sub>W</sub>-restart-mset.cdcl<sub>W</sub>-all-struct-inv (enc-weight-opt.abs-state  $T$ )⟩

**using** enc-weight-opt.rtrancpl-cdcl-bnb-stgy-all-struct-inv[of  $?S \ T$ ]

enc-weight-opt.rtrancpl-cdcl-bnb-stgy-cdcl-bnb[OF  $st'$ ]

**by** auto

**then have** alien: ⟨cdcl<sub>W</sub>-restart-mset.no-strange-atm (enc-weight-opt.abs-state  $T$ )⟩ **and**

lev: ⟨cdcl<sub>W</sub>-restart-mset.cdcl<sub>W</sub>-M-level-inv (enc-weight-opt.abs-state  $T$ )⟩

**unfolding** cdcl<sub>W</sub>-restart-mset.cdcl<sub>W</sub>-all-struct-inv-def

**by** fast+

**have** [simp]: ⟨init-clss  $T = \text{penc } N$ ⟩

**using** rtrancpl-cdcl-bnb-r-stgy-init-clss[OF  $st$ ] **by** auto

**have** ⟨no-step enc-weight-opt.cdcl-bnb-stgy  $T$ ⟩

**by** (rule no-step-cdcl-bnb-r-stgy-no-step-cdcl-bnb-stgy[THEN iffD1, of -  $N$ , OF - - - ns])

(use alien atms-of-mm-penc-subset2[of  $N$ ] finite- $\Sigma$   $\Delta\Sigma$ - $\Sigma$  lev

**in** (auto simp: cdcl<sub>W</sub>-restart-mset.no-strange-atm-def  $\Sigma$

cdcl<sub>W</sub>-restart-mset.cdcl<sub>W</sub>-M-level-inv-def))

**then show** ⟨full enc-weight-opt.cdcl-bnb-stgy (init-state (penc  $N$ ))  $T$ ⟩

```

    using st' unfolding full-def
    by auto
qed

lemma propagation-one-lit-of-same-lvl:
  assumes
    ⟨cdclW-restart-mset.cdclW-all-struct-inv (abs-state S)⟩ and
    ⟨no-smaller-propa S⟩ and
    ⟨Propagated L E ∈ set (trail S)⟩ and
    rea: ⟨reasons-in-clauses S⟩ and
    nempty: ⟨E - {#L#} ≠ {#}⟩
  shows
    ⟨∃ L' ∈# E - {#L#}. get-level (trail S) L = get-level (trail S) L'⟩
proof (rule ccontr)
  assume H: ⟨¬thesis⟩
  have ns: ⟨∧ M K M' D L.
    trail S = M' @ Decided K # M ⟹
    D + {#L#} ∈# clauses S ⟹ undefined-lit M L ⟹ ¬ M ⊨as CNot D⟩ and
    n-d: ⟨no-dup (trail S)⟩
  using assms unfolding no-smaller-propa-def
    cdclW-restart-mset.cdclW-all-struct-inv-def
    cdclW-restart-mset.cdclW-M-level-inv-def
  by auto
  obtain M1 M2 where M2: ⟨trail S = M2 @ Propagated L E # M1⟩
  using assms by (auto dest!: split-list)

  have ⟨∧ L mark a b.
    a @ Propagated L mark # b = trail S ⟹
    b ⊨as CNot (remove1-mset L mark) ∧ L ∈# mark⟩ and
    ⟨set (get-all-mark-of-propagated (trail S)) ⊆ set-mset (clauses S)⟩
  using assms unfolding cdclW-restart-mset.cdclW-all-struct-inv-def
    cdclW-restart-mset.cdclW-conflicting-def
    reasons-in-clauses-def
  by auto
  from this(1)[OF M2[symmetric]] this(2)
  have ⟨M1 ⊨as CNot (remove1-mset L E)⟩ and ⟨L ∈# E⟩ and ⟨E ∈# clauses S⟩
  by (auto simp: M2)
  then have lev-le:
    ⟨L' ∈# E - {#L#} ⟹ get-level (trail S) L > get-level (trail S) L'⟩ and
    ⟨trail S ⊨as CNot (remove1-mset L E)⟩ for L'
  using H n-d defined-lit-no-dupD(1)[of M1 - M2]
    count-decided-ge-get-level[of M1 L]
  by (auto simp: M2 get-level-append-if get-level-cons-if
    Decided-Propagated-in-iff-in-lits-of-l atm-of-eq-atm-of
    true-annots-append-l
    dest!: multi-member-split)
  define i where i = get-level (trail S) L - 1
  have ⟨i < local.backtrack-lvl S⟩ and ⟨get-level (trail S) L ≥ 1⟩
    ⟨get-level (trail S) L > i⟩ and
    i2: ⟨get-level (trail S) L = Suc i⟩
  using lev-le nempty count-decided-ge-get-level[of ⟨trail S⟩ L] i-def
  by (cases ⟨E - {#L#}⟩; force)+
  from backtrack-ex-decomp[OF n-d this(1)] obtain M3 M4 K where
    decomp: ⟨(Decided K # M3, M4) ∈ set (get-all-ann-decomposition (trail S))⟩ and
    lev-K: ⟨get-level (trail S) K = Suc i⟩
  by blast

```

**then obtain  $M5$  where**  
*tr*:  $\langle \text{trail } S = (M5 \text{ @ } M4) \text{ @ } \text{Decided } K \# M3 \rangle$   
**by auto**  
**define  $M4'$  where  $\langle M4' = M5 \text{ @ } M4 \rangle$**   
**have  $\langle \text{undefined-lit } M3 \text{ } L \rangle$**   
**using  $n\text{-d}$   $\langle \text{get-level } (\text{trail } S) \text{ } L > i \rangle \text{ lev-}K$**   
**count-decided-ge-get-level[ $\text{of } M3 \text{ } L$ ] unfolding  $\text{tr } M4'\text{-def[symmetric]}$**   
**by (auto simp: get-level-append-if get-level-cons-if**  
**atm-of-eq-atm-of**  
**split: if-splits dest: defined-lit-no-dupD)**  
**moreover have  $\langle M3 \models_{\text{as}} \text{CNot } (\text{remove1-mset } L \text{ } E) \rangle$**   
**using  $\langle \text{trail } S \models_{\text{as}} \text{CNot } (\text{remove1-mset } L \text{ } E) \rangle \text{ lev-}K \text{ } n\text{-d}$**   
**unfolding true-annot-def true-annot-def**  
**apply clarsimp**  
**subgoal for  $L'$**   
**using  $\text{lev-le[of } \langle \neg L' \rangle \text{ lev-le[of } \langle L' \rangle \text{ lev-}K$**   
**unfolding  $i2$**   
**unfolding  $\text{tr } M4'\text{-def[symmetric]}$**   
**by (auto simp: get-level-append-if get-level-cons-if**  
**atm-of-eq-atm-of if-distrib if-distribR Decided-Propagated-in-iff-in-lits-of-l**  
**split: if-splits dest: defined-lit-no-dupD**  
**dest!: multi-member-split)**  
**done**  
**ultimately show  $\text{False}$**   
**using  $\text{ns[OF tr, of } \langle \text{remove1-mset } L \text{ } E \rangle L \rangle \langle E \in \# \text{ clauses } S \rangle \langle L \in \# E \rangle$**   
**by auto**  
**qed**

**lemma simple-backtrack-obacktrack:**

$\langle \text{simple-backtrack } S \text{ } T \implies \text{cdcl}_W\text{-restart-mset.cdcl}_W\text{-all-struct-inv } (\text{enc-weight-opt.abs-state } S) \implies$   
 $\text{enc-weight-opt.obacktrack } S \text{ } T \rangle$   
**unfolding  $\text{cdcl}_W\text{-restart-mset.cdcl}_W\text{-all-struct-inv-def}$**   
 **$\text{cdcl}_W\text{-restart-mset.cdcl}_W\text{-conflicting-def}$**   
 **$\text{cdcl}_W\text{-restart-mset.cdcl}_W\text{-learned-clause-alt-def}$**   
**apply (auto simp: simple-backtrack.simps**  
**enc-weight-opt.obacktrack.simps)**  
**apply (rule-tac  $x=L$  in  $\text{exI}$ )**  
**apply (rule-tac  $x=D$  in  $\text{exI}$ )**  
**apply auto**  
**apply (rule-tac  $x=K$  in  $\text{exI}$ )**  
**apply (rule-tac  $x=M1$  in  $\text{exI}$ )**  
**apply auto**  
**apply (rule-tac  $x=D$  in  $\text{exI}$ )**  
**apply (auto simp:)**  
**done**

**end**

**interpretation test-real: optimal-encoding-opt where**

$\text{state-eq} = \langle (=) \rangle$  **and**  
 $\text{state} = \text{id}$  **and**  
 $\text{trail} = \langle \lambda(M, N, U, D, W). M \rangle$  **and**  
 $\text{init-clss} = \langle \lambda(M, N, U, D, W). N \rangle$  **and**  
 $\text{learned-clss} = \langle \lambda(M, N, U, D, W). U \rangle$  **and**  
 $\text{conflicting} = \langle \lambda(M, N, U, D, W). D \rangle$  **and**



$cons-trail = \langle \lambda K (M, N, U, D, W). (K \# M, N, U, D, W) \rangle$  **and**  
 $tl-trail = \langle \lambda (M, N, U, D, W). (tl\ M, N, U, D, W) \rangle$  **and**  
 $add-learned-cls = \langle \lambda C (M, N, U, D, W). (M, N, add-mset\ C\ U, D, W) \rangle$  **and**  
 $remove-cls = \langle \lambda C (M, N, U, D, W). (M, removeAll-mset\ C\ N, removeAll-mset\ C\ U, D, W) \rangle$  **and**  
 $update-conflicting = \langle \lambda C (M, N, U, -, W). (M, N, U, C, W) \rangle$  **and**  
 $init-state = \langle \lambda N. ([], N, \{\#\}, None, None, ()) \rangle$  **and**  
 $\varrho = \langle \lambda -. (0::real) \rangle$  **and**  
 $update-additional-info = \langle \lambda W (M, N, U, D, -, -). (M, N, U, D, W) \rangle$  **and**  
 $\Sigma = \langle \{1..(100::nat)\} \rangle$  **and**  
 $\Delta\Sigma = \langle \{1..(50::nat)\} \rangle$  **and**  
 $new-vars = \langle \lambda n. (200 + 2*n, 200 + 2*n+1) \rangle$   
**by** *unfold-locales*

**lemma** *mult3-inj*:

$\langle 2 * A = Suc\ (2 * Aa) \longleftrightarrow False \rangle$  **for**  $A\ Aa::nat$   
**by** *presburger+*

**interpretation** *test-real: optimal-encoding where*

$state-eq = \langle (=) \rangle$  **and**  
 $state = id$  **and**  
 $trail = \langle \lambda (M, N, U, D, W). M \rangle$  **and**  
 $init-clss = \langle \lambda (M, N, U, D, W). N \rangle$  **and**  
 $learned-clss = \langle \lambda (M, N, U, D, W). U \rangle$  **and**  
 $conflicting = \langle \lambda (M, N, U, D, W). D \rangle$  **and**  
 $cons-trail = \langle \lambda K (M, N, U, D, W). (K \# M, N, U, D, W) \rangle$  **and**  
 $tl-trail = \langle \lambda (M, N, U, D, W). (tl\ M, N, U, D, W) \rangle$  **and**  
 $add-learned-cls = \langle \lambda C (M, N, U, D, W). (M, N, add-mset\ C\ U, D, W) \rangle$  **and**  
 $remove-cls = \langle \lambda C (M, N, U, D, W). (M, removeAll-mset\ C\ N, removeAll-mset\ C\ U, D, W) \rangle$  **and**  
 $update-conflicting = \langle \lambda C (M, N, U, -, W). (M, N, U, C, W) \rangle$  **and**  
 $init-state = \langle \lambda N. ([], N, \{\#\}, None, None, ()) \rangle$  **and**  
 $\varrho = \langle \lambda -. (0::real) \rangle$  **and**  
 $update-additional-info = \langle \lambda W (M, N, U, D, -, -). (M, N, U, D, W) \rangle$  **and**  
 $\Sigma = \langle \{1..(100::nat)\} \rangle$  **and**  
 $\Delta\Sigma = \langle \{1..(50::nat)\} \rangle$  **and**  
 $new-vars = \langle \lambda n. (200 + 2*n, 200 + 2*n+1) \rangle$   
**by** *unfold-locales (auto simp: inj-on-def mult3-inj)*

**interpretation** *test-nat: optimal-encoding-opt where*

$state-eq = \langle (=) \rangle$  **and**  
 $state = id$  **and**  
 $trail = \langle \lambda (M, N, U, D, W). M \rangle$  **and**  
 $init-clss = \langle \lambda (M, N, U, D, W). N \rangle$  **and**  
 $learned-clss = \langle \lambda (M, N, U, D, W). U \rangle$  **and**  
 $conflicting = \langle \lambda (M, N, U, D, W). D \rangle$  **and**  
 $cons-trail = \langle \lambda K (M, N, U, D, W). (K \# M, N, U, D, W) \rangle$  **and**  
 $tl-trail = \langle \lambda (M, N, U, D, W). (tl\ M, N, U, D, W) \rangle$  **and**  
 $add-learned-cls = \langle \lambda C (M, N, U, D, W). (M, N, add-mset\ C\ U, D, W) \rangle$  **and**  
 $remove-cls = \langle \lambda C (M, N, U, D, W). (M, removeAll-mset\ C\ N, removeAll-mset\ C\ U, D, W) \rangle$  **and**  
 $update-conflicting = \langle \lambda C (M, N, U, -, W). (M, N, U, C, W) \rangle$  **and**  
 $init-state = \langle \lambda N. ([], N, \{\#\}, None, None, ()) \rangle$  **and**  
 $\varrho = \langle \lambda -. (0::nat) \rangle$  **and**  
 $update-additional-info = \langle \lambda W (M, N, U, D, -, -). (M, N, U, D, W) \rangle$  **and**  
 $\Sigma = \langle \{1..(100::nat)\} \rangle$  **and**  
 $\Delta\Sigma = \langle \{1..(50::nat)\} \rangle$  **and**  
 $new-vars = \langle \lambda n. (200 + 2*n, 200 + 2*n+1) \rangle$   
**by** *unfold-locales*

**interpretation** *test-nat: optimal-encoding* **where**

*state-eq* =  $\langle (=) \rangle$  **and**  
*state* = *id* **and**  
*trail* =  $\langle \lambda(M, N, U, D, W). M \rangle$  **and**  
*init-clss* =  $\langle \lambda(M, N, U, D, W). N \rangle$  **and**  
*learned-clss* =  $\langle \lambda(M, N, U, D, W). U \rangle$  **and**  
*conflicting* =  $\langle \lambda(M, N, U, D, W). D \rangle$  **and**  
*cons-trail* =  $\langle \lambda K (M, N, U, D, W). (K \# M, N, U, D, W) \rangle$  **and**  
*tl-trail* =  $\langle \lambda(M, N, U, D, W). (tl\ M, N, U, D, W) \rangle$  **and**  
*add-learned-cl* =  $\langle \lambda C (M, N, U, D, W). (M, N, add\ mset\ C\ U, D, W) \rangle$  **and**  
*remove-cl* =  $\langle \lambda C (M, N, U, D, W). (M, removeAll\ mset\ C\ N, removeAll\ mset\ C\ U, D, W) \rangle$  **and**  
*update-conflicting* =  $\langle \lambda C (M, N, U, -, W). (M, N, U, C, W) \rangle$  **and**  
*init-state* =  $\langle \lambda N. ([], N, \{\#\}, None, None, ()) \rangle$  **and**  
*g* =  $\langle \lambda -. (0::nat) \rangle$  **and**  
*update-additional-info* =  $\langle \lambda W (M, N, U, D, -, -). (M, N, U, D, W) \rangle$  **and**  
 $\Sigma = \langle \{1..(100::nat)\} \rangle$  **and**  
 $\Delta\Sigma = \langle \{1..(50::nat)\} \rangle$  **and**  
*new-vars* =  $\langle \lambda n. (200 + 2*n, 200 + 2*n+1) \rangle$   
**by** *unfold-locales (auto simp: inj-on-def mult3-inj)*

**end**

**theory** *CDCL-W-MaxSAT*

**imports** *CDCL-W-Optimal-Model*

**begin**

### 0.1.3 Partial MAX-SAT

**definition** *weight-on-clauses* **where**

$\langle weight\ on\ clauses\ N_S\ g\ I = (\sum C \in \# (filter\ mset\ (\lambda C. I \models C)\ N_S). g\ C) \rangle$

**definition** *atms-exactly-m* ::  $\langle 'v\ partial\ interp \Rightarrow 'v\ clauses \Rightarrow bool \rangle$  **where**

$\langle atms\ exactly\ m\ I\ N \longleftrightarrow$   
 $total\ over\ m\ I\ (set\ mset\ N) \wedge$   
 $atms\ of\ s\ I \subseteq atms\ of\ mm\ N \rangle$

Partial in the name refers to the fact that not all clauses are soft clauses, not to the fact that we consider partial models.

**inductive** *partial-max-sat* ::  $\langle 'v\ clauses \Rightarrow 'v\ clauses \Rightarrow ('v\ clause \Rightarrow nat) \Rightarrow$

$'v\ partial\ interp\ option \Rightarrow bool \rangle$  **where**

*partial-max-sat*:

$\langle partial\ max\ sat\ N_H\ N_S\ g\ (Some\ I) \rangle$

**if**

$\langle I \models_{sm} N_H \rangle$  **and**

$\langle atms\ exactly\ m\ I\ ((N_H + N_S)) \rangle$  **and**

$\langle consistent\ interp\ I \rangle$  **and**

$\langle \bigwedge I'. consistent\ interp\ I' \implies atms\ exactly\ m\ I'\ (N_H + N_S) \implies I' \models_{sm} N_H \implies$

$weight\ on\ clauses\ N_S\ g\ I' \leq weight\ on\ clauses\ N_S\ g\ I \rangle$  |

*partial-max-unsat*:

$\langle partial\ max\ sat\ N_H\ N_S\ g\ None \rangle$

**if**

$\langle unsatisfiable\ (set\ mset\ N_H) \rangle$

**inductive** *partial-min-sat* ::  $\langle 'v\ clauses \Rightarrow 'v\ clauses \Rightarrow ('v\ clause \Rightarrow nat) \Rightarrow$

$'v\ partial\ interp\ option \Rightarrow bool \rangle$  **where**

*partial-min-sat:*  
 $\langle \text{partial-min-sat } N_H \ N_S \ \varrho \ (\text{Some } I) \rangle$   
**if**  
 $\langle I \models_{sm} N_H \rangle$  **and**  
 $\langle \text{atms-exactly-m } I \ (N_H + N_S) \rangle$  **and**  
 $\langle \text{consistent-interp } I \rangle$  **and**  
 $\langle \bigwedge I'. \text{consistent-interp } I' \implies \text{atms-exactly-m } I' \ (N_H + N_S) \implies I' \models_{sm} N_H \implies$   
 $\text{weight-on-clauses } N_S \ \varrho \ I' \geq \text{weight-on-clauses } N_S \ \varrho \ I \rangle$  |  
*partial-min-unsat:*  
 $\langle \text{partial-min-sat } N_H \ N_S \ \varrho \ \text{None} \rangle$   
**if**  
 $\langle \text{unsatisfiable } (\text{set-mset } N_H) \rangle$

**lemma** *atms-exactly-m-finite:*  
**assumes**  $\langle \text{atms-exactly-m } I \ N \rangle$   
**shows**  $\langle \text{finite } I \rangle$   
**proof** –  
**have**  $\langle I \subseteq \text{Pos } '(\text{atms-of-mm } N) \cup \text{Neg } '(\text{atms-of-mm } N) \rangle$   
**using** *assms* **by** (*force simp: total-over-m-def atms-exactly-m-def lit-in-set-iff-atm*  
*atms-of-s-def*)  
**from** *finite-subset[OF this]* **show** *?thesis* **by** *auto*  
**qed**

**lemma**  
**fixes**  $N_H :: \langle 'v \text{ clauses} \rangle$   
**assumes**  $\langle \text{satisfiable } (\text{set-mset } N_H) \rangle$   
**shows** *sat-partial-max-sat:*  $\langle \exists I. \text{partial-max-sat } N_H \ N_S \ \varrho \ (\text{Some } I) \rangle$  **and**  
*sat-partial-min-sat:*  $\langle \exists I. \text{partial-min-sat } N_H \ N_S \ \varrho \ (\text{Some } I) \rangle$   
**proof** –  
**let**  $?Is = \langle \{I. \text{atms-exactly-m } I \ ((N_H + N_S)) \wedge \text{consistent-interp } I \wedge$   
 $I \models_{sm} N_H \} \rangle$   
**let**  $?Is' = \langle \{I. \text{atms-exactly-m } I \ ((N_H + N_S)) \wedge \text{consistent-interp } I \wedge$   
 $I \models_{sm} N_H \wedge \text{finite } I \} \rangle$   
**have**  $Is: \langle ?Is = ?Is' \rangle$   
**by** (*auto simp: atms-of-s-def atms-exactly-m-finite*)  
**have**  $\langle ?Is' \subseteq \text{set-mset } ' \text{simple-clss } (\text{atms-of-mm } (N_H + N_S)) \rangle$   
**apply** *rule*  
**unfolding** *image-iff*  
**by** (*rule-tac x = 'mset-set x' in bexI*)  
 $(\text{auto simp: simple-clss-def atms-exactly-m-def image-iff}$   
 $\text{atms-of-s-def atms-of-def distinct-mset-mset-set consistent-interp-tautology-mset-set})$   
**from** *finite-subset[OF this]* **have**  $\text{fin}: \langle \text{finite } ?Is \rangle$  **unfolding**  $Is$   
**by** (*auto simp: simple-clss-finite*)  
**then have**  $\text{fin}': \langle \text{finite } (\text{weight-on-clauses } N_S \ \varrho \ ' ?Is) \rangle$   
**by** *auto*  
**define**  $\varrho I$  **where**  
 $\langle \varrho I = \text{Min } (\text{weight-on-clauses } N_S \ \varrho \ ' ?Is) \rangle$   
**have** *nempty:*  $\langle ?Is \neq \{\} \rangle$   
**proof** –  
**obtain**  $I$  **where**  $I:$   
 $\langle \text{total-over-m } I \ (\text{set-mset } N_H) \rangle$   
 $\langle I \models_{sm} N_H \rangle$   
 $\langle \text{consistent-interp } I \rangle$   
 $\langle \text{atms-of-s } I \subseteq \text{atms-of-mm } N_H \rangle$   
**using** *assms* **unfolding** *satisfiable-def-min atms-exactly-m-def*

```

    by (auto simp: atms-of-s-def atm-of-def total-over-m-def)
  let ?I = ⟨I ∪ Pos ‘ {x ∈ atms-of-mm N_S. x ∉ atm-of ‘ I}⟩
  have ⟨?I ∈ ?Is⟩
    using I
    by (auto simp: atms-exactly-m-def total-over-m-alt-def image-iff
        lit-in-set-iff-atm)
    (auto simp: consistent-interp-def uminus-lit-swap)
  then show ?thesis
    by blast
qed
have ⟨ρI ∈ weight-on-clauses N_S ρ ‘ ?Is⟩
  unfolding ρI-def
  by (rule Min-in[OF fin']) (use nempty in auto)
then obtain I :: ⟨'v partial-interp⟩ where
  ⟨weight-on-clauses N_S ρ I = ρI⟩ and
  ⟨I ∈ ?Is⟩
  by blast
then have H: ⟨consistent-interp I' ⟹ atms-exactly-m I' (N_H + N_S) ⟹ I' ⊨sm N_H ⟹
  weight-on-clauses N_S ρ I' ≥ weight-on-clauses N_S ρ I⟩ for I'
  using Min-le[OF fin', of ⟨weight-on-clauses N_S ρ I'⟩]
  unfolding ρI-def[symmetric]
  by auto
then have ⟨partial-min-sat N_H N_S ρ (Some I)⟩
  apply –
  by (rule partial-min-sat)
  (use fin ⟨I ∈ ?Is⟩ in ⟨auto simp: atms-exactly-m-finite⟩)
then show ⟨∃ I. partial-min-sat N_H N_S ρ (Some I)⟩
  by fast

define ρI where
  ⟨ρI = Max (weight-on-clauses N_S ρ ‘ ?Is)⟩
have ⟨ρI ∈ weight-on-clauses N_S ρ ‘ ?Is⟩
  unfolding ρI-def
  by (rule Max-in[OF fin']) (use nempty in auto)
then obtain I :: ⟨'v partial-interp⟩ where
  ⟨weight-on-clauses N_S ρ I = ρI⟩ and
  ⟨I ∈ ?Is⟩
  by blast
then have H: ⟨consistent-interp I' ⟹ atms-exactly-m I' (N_H + N_S) ⟹ I' ⊨m N_H ⟹
  weight-on-clauses N_S ρ I' ≤ weight-on-clauses N_S ρ I⟩ for I'
  using Max-ge[OF fin', of ⟨weight-on-clauses N_S ρ I'⟩]
  unfolding ρI-def[symmetric]
  by auto
then have ⟨partial-max-sat N_H N_S ρ (Some I)⟩
  apply –
  by (rule partial-max-sat)
  (use fin ⟨I ∈ ?Is⟩ in ⟨auto simp: atms-exactly-m-finite
    consistent-interp-tautology-mset-set⟩)
then show ⟨∃ I. partial-max-sat N_H N_S ρ (Some I)⟩
  by fast
qed

inductive weight-sat
  :: ⟨'v clauses ⟹ ⟨'v literal multiset ⟹ 'a :: linorder ⟹
    'v literal multiset option ⟹ bool⟩
where

```

*weight-sat:*  
 $\langle \text{weight-sat } N \ \varrho \ (\text{Some } I) \rangle$   
**if**  
 $\langle \text{set-mset } I \models_{sm} N \rangle$  **and**  
 $\langle \text{atms-exactly-m } (\text{set-mset } I) \ N \rangle$  **and**  
 $\langle \text{consistent-interp } (\text{set-mset } I) \rangle$  **and**  
 $\langle \text{distinct-mset } I \rangle$   
 $\langle \bigwedge I'. \text{consistent-interp } (\text{set-mset } I') \implies \text{atms-exactly-m } (\text{set-mset } I') \ N \implies \text{distinct-mset } I' \implies$   
 $\text{set-mset } I' \models_{sm} N \implies \varrho \ I' \geq \varrho \ I \rangle$  |  
*partial-max-unsat:*  
 $\langle \text{weight-sat } N \ \varrho \ \text{None} \rangle$   
**if**  
 $\langle \text{unsatisfiable } (\text{set-mset } N) \rangle$

**lemma** *partial-max-sat-is-weight-sat:*  
**fixes** *additional-atm* ::  $\langle 'v \text{ clause} \Rightarrow 'v \rangle$  **and**  
 $\varrho$  ::  $\langle 'v \text{ clause} \Rightarrow \text{nat} \rangle$  **and**  
 $N_S$  ::  $\langle 'v \text{ clauses} \rangle$   
**defines**  
 $\langle \varrho' \equiv (\lambda C. \text{sum-mset}$   
 $((\lambda L. \text{if } L \in \text{Pos } ' \text{additional-atm } ' \text{set-mset } N_S$   
 $\text{then count } N_S \ (\text{SOME } C. L = \text{Pos } (\text{additional-atm } C) \wedge C \in \# \ N_S)$   
 $\ * \ \varrho \ (\text{SOME } C. L = \text{Pos } (\text{additional-atm } C) \wedge C \in \# \ N_S)$   
 $\text{else } 0) \ ' \# \ C)) \rangle$   
**assumes**  
 $\text{add: } \langle \bigwedge C. C \in \# \ N_S \implies \text{additional-atm } C \notin \text{atms-of-mm } (N_H + N_S) \rangle$   
 $\langle \bigwedge C \ D. C \in \# \ N_S \implies D \in \# \ N_S \implies \text{additional-atm } C = \text{additional-atm } D \iff C = D \rangle$  **and**  
 $w: \langle \text{weight-sat } (N_H + (\lambda C. \text{add-mset } (\text{Pos } (\text{additional-atm } C)) \ C) \ ' \# \ N_S) \ \varrho' \ (\text{Some } I) \rangle$   
**shows**  
 $\langle \text{partial-max-sat } N_H \ N_S \ \varrho \ (\text{Some } \{L \in \text{set-mset } I. \text{atm-of } L \in \text{atms-of-mm } (N_H + N_S)\}) \rangle$

**proof** –  
**define**  $N$  **where**  $\langle N \equiv N_H + (\lambda C. \text{add-mset } (\text{Pos } (\text{additional-atm } C)) \ C) \ ' \# \ N_S \rangle$   
**define**  $cl\text{-of}$  **where**  $\langle cl\text{-of } L = (\text{SOME } C. L = \text{Pos } (\text{additional-atm } C) \wedge C \in \# \ N_S) \rangle$  **for**  $L$   
**from**  $w$   
**have**  
 $ent: \langle \text{set-mset } I \models_{sm} N \rangle$  **and**  
 $bi: \langle \text{atms-exactly-m } (\text{set-mset } I) \ N \rangle$  **and**  
 $cons: \langle \text{consistent-interp } (\text{set-mset } I) \rangle$  **and**  
 $dist: \langle \text{distinct-mset } I \rangle$  **and**  
 $weight: \langle \bigwedge I'. \text{consistent-interp } (\text{set-mset } I') \implies \text{atms-exactly-m } (\text{set-mset } I') \ N \implies$   
 $\text{distinct-mset } I' \implies \text{set-mset } I' \models_{sm} N \implies \varrho' \ I' \geq \varrho' \ I \rangle$   
**unfolding**  $N\text{-def}[\text{symmetric}]$   
**by**  $(\text{auto simp: weight-sat.simps})$   
**let**  $?I = \langle \{L. L \in \# \ I \wedge \text{atm-of } L \in \text{atms-of-mm } (N_H + N_S)\} \rangle$   
**have**  $ent'$ :  $\langle \text{set-mset } I \models_{sm} N_H \rangle$   
**using**  $ent$  **unfolding**  $\text{true-clss-restrict}$   
**by**  $(\text{auto simp: } N\text{-def})$   
**then have**  $ent'$ :  $\langle ?I \models_{sm} N_H \rangle$   
**apply**  $(\text{subst } (asm) \ \text{true-clss-restrict}[\text{symmetric}])$   
**apply**  $(\text{rule true-clss-mono-left, assumption})$   
**apply**  $\text{auto}$   
**done**  
**have**  $[simp]: \langle \text{atms-of-ms } ((\lambda C. \text{add-mset } (\text{Pos } (\text{additional-atm } C)) \ C) \ ' \text{set-mset } N_S) =$   
 $\text{additional-atm } ' \text{set-mset } N_S \cup \text{atms-of-ms } (\text{set-mset } N_S) \rangle$   
**by**  $(\text{auto simp: atms-of-ms-def})$   
**have**  $bi'$ :  $\langle \text{atms-exactly-m } ?I \ (N_H + N_S) \rangle$

```

using bi
by (auto simp: atms-exactly-m-def total-over-m-def total-over-set-def
    atms-of-s-def N-def)
have cons':  $\langle \text{consistent-interp } ?I \rangle$ 
  using cons by (auto simp: consistent-interp-def)
have [simp]:  $\langle \text{cl-of } (\text{Pos } (\text{additional-atm } xb)) = xb \rangle$ 
  if  $\langle xb \in \# N_S \rangle$  for xb
  using someI[of  $\langle \lambda C. \text{additional-atm } xb = \text{additional-atm } C \rangle xb$ ] add that
  unfolding cl-of-def
  by auto

let  $?I = \{L. L \in \# I \wedge \text{atm-of } L \in \text{atms-of-mm } (N_H + N_S)\} \cup \text{Pos } \langle \text{additional-atm } \langle \{C \in \text{set-mset}$ 
 $N_S. \neg \text{set-mset } I \models C \rangle$ 
 $\cup \text{Neg } \langle \text{additional-atm } \langle \{C \in \text{set-mset } N_S. \text{set-mset } I \models C \rangle \rangle$ 
have  $\langle \text{consistent-interp } ?I \rangle$ 
  using cons add by (auto simp: consistent-interp-def
    atms-exactly-m-def uminus-lit-swap
    dest: add)
moreover have  $\langle \text{atms-exactly-m } ?I N \rangle$ 
  using bi
  by (auto simp: N-def atms-exactly-m-def total-over-m-def
    total-over-set-def image-image)
moreover have  $\langle ?I \models_{sm} N \rangle$ 
  using ent by (auto simp: N-def true-cls-def image-image
    atm-of-lit-in-atms-of true-cls-def
    dest!: multi-member-split)
moreover have  $\langle \text{set-mset } (\text{mset-set } ?I) = ?I \rangle$  and fin:  $\langle \text{finite } ?I \rangle$ 
  by (auto simp: atms-exactly-m-finite)
moreover have  $\langle \text{distinct-mset } (\text{mset-set } ?I) \rangle$ 
  by (auto simp: distinct-mset-mset-set)
ultimately have  $\langle \varrho' (\text{mset-set } ?I) \geq \varrho' I \rangle$ 
  using weight[of  $\langle \text{mset-set } ?I \rangle$ ]
  by argo
moreover have  $\langle \varrho' (\text{mset-set } ?I) \leq \varrho' I \rangle$ 
  using ent
  by (auto simp: \varrho'-def sum-mset-inter-restrict[symmetric] mset-set-subset-iff N-def
    intro!: sum-image-mset-mono
    dest!: multi-member-split)
ultimately have I-I:  $\langle \varrho' (\text{mset-set } ?I) = \varrho' I \rangle$ 
  by linarith

have min:  $\langle \text{weight-on-clauses } N_S \varrho I' \rangle$ 
 $\leq \text{weight-on-clauses } N_S \varrho \{L. L \in \# I \wedge \text{atm-of } L \in \text{atms-of-mm } (N_H + N_S)\}$ 
if
  cons:  $\langle \text{consistent-interp } I' \rangle$  and
  bit:  $\langle \text{atms-exactly-m } I' (N_H + N_S) \rangle$  and
  I':  $\langle I' \models_{sm} N_H \rangle$ 
for I'
proof –
let  $?I' = \langle I' \cup \text{Pos } \langle \text{additional-atm } \langle \{C \in \text{set-mset } N_S. \neg I' \models C \rangle$ 
 $\cup \text{Neg } \langle \text{additional-atm } \langle \{C \in \text{set-mset } N_S. I' \models C \rangle \rangle$ 
have  $\langle \text{consistent-interp } ?I' \rangle$ 
  using cons bit add by (auto simp: consistent-interp-def
    atms-exactly-m-def uminus-lit-swap
    dest: add)
moreover have  $\langle \text{atms-exactly-m } ?I' N \rangle$ 

```

```

using bit
by (auto simp: N-def atms-exactly-m-def total-over-m-def
      total-over-set-def image-image)
moreover have  $\langle ?I' \models_{sm} N \rangle$ 
  using I' by (auto simp: N-def true-clss-def image-image
    dest!: multi-member-split)
moreover have  $\langle \text{set-mset } (mset\text{-set } ?I') = ?I' \rangle$  and fin: finite ?I'
  using bit by (auto simp: atms-exactly-m-finite)
moreover have  $\langle \text{distinct-mset } (mset\text{-set } ?I') \rangle$ 
  by (auto simp: distinct-mset-mset-set)
ultimately have  $I'-I: \langle \varrho' (mset\text{-set } ?I') \geq \varrho' I \rangle$ 
  using weight[of mset-set ?I']
  by argo
have inj:  $\langle \text{inj-on cl-of } (I' \cap (\lambda x. \text{Pos } (\text{additional-atm } x)) \text{ 'set-mset } N_S) \rangle$  for I'
  using add by (auto simp: inj-on-def)

have we:  $\langle \text{weight-on-clauses } N_S \varrho I' = \text{sum-mset } (\varrho \text{ '# } N_S) -$ 
   $\text{sum-mset } (\varrho \text{ '# filter-mset } (\text{Not } \circ (\models) I') N_S) \rangle$  for I'
  unfolding weight-on-clauses-def
  apply (subst (3) multiset-partition[of - (models I')])
  unfolding image-mset-union sum-mset.union
  by (auto simp: comp-def)
have H:  $\langle \text{sum-mset}$ 
   $(\varrho \text{ '#}$ 
   $\text{filter-mset } (\text{Not } \circ (\models) \{L. L \in \# I \wedge \text{atm-of } L \in \text{atms-of-mm } (N_H + N_S)\})$ 
   $N_S) = \varrho' I \rangle$ 
  unfolding I-I[symmetric] unfolding  $\varrho'\text{-def cl-of-def[symmetric]}$ 
  sum-mset-sum-count if-distrib
  apply (auto simp: sum-mset-sum-count image-image simp flip: sum.inter-restrict
    cong: if-cong)
  apply (subst comm-monoid-add-class.sum.reindex-cong[symmetric, of cl-of, OF - refl])
  apply ((use inj in auto; fail)+)[2]
  apply (rule sum.cong)
  apply auto[]
  using inj[of set-mset I] set-mset I models N assms(2)
  apply (auto dest!: multi-member-split simp: N-def image-Int
    atm-of-lit-in-atms-of true-clss-def)[]
  using add apply (auto simp: true-clss-def)
  done
have  $\langle (\sum x \in (I' \cup (\lambda x. \text{Pos } (\text{additional-atm } x)) \text{ ' } \{C. C \in \# N_S \wedge \neg I' \models C\} \cup$ 
   $(\lambda x. \text{Neg } (\text{additional-atm } x)) \text{ ' } \{C. C \in \# N_S \wedge I' \models C\}) \cap$ 
   $(\lambda x. \text{Pos } (\text{additional-atm } x)) \text{ ' set-mset } N_S.$ 
   $\text{count } N_S (\text{cl-of } x) * \varrho (\text{cl-of } x))$ 
   $\leq (\sum A \in \{a. a \in \# N_S \wedge \neg I' \models a\}. \text{count } N_S A * \varrho A) \rangle$ 
  apply (subst comm-monoid-add-class.sum.reindex-cong[symmetric, of cl-of, OF - refl])
  apply ((use inj in auto; fail)+)[2]
  apply (rule ordered-comm-monoid-add-class.sum-mono2)
  using that add by (auto dest: simp: N-def
    atms-exactly-m-def)
then have  $\langle \text{sum-mset } (\varrho \text{ '# filter-mset } (\text{Not } \circ (\models) I') N_S) \geq \varrho' (mset\text{-set } ?I') \rangle$ 
  using fin unfolding cl-of-def[symmetric]  $\varrho'\text{-def}$ 
  by (auto simp: \varrho'\text{-def}
    simp add: sum-mset-sum-count image-image simp flip: sum.inter-restrict)
then have  $\langle \varrho' I \leq \text{sum-mset } (\varrho \text{ '# filter-mset } (\text{Not } \circ (\models) I') N_S) \rangle$ 
  using I'-I by auto
then show ?thesis

```

```

    unfolding we H I-I apply -
    by auto
qed

show ?thesis
  apply (rule partial-max-sat.intros)
  subgoal using ent' by auto
  subgoal using bi' by fast
  subgoal using cons' by fast
  subgoal for I'
    by (rule min)
  done
qed

lemma sum-mset-cong:
   $\langle (\bigwedge a. a \in \# A \implies f a = g a) \implies (\sum a \in \# A. f a) = (\sum a \in \# A. g a) \rangle$ 
  by (induction A) auto

lemma partial-max-sat-is-weight-sat-distinct:
  fixes additional-atm :: 'v clause  $\Rightarrow$  'v' and
     $\varrho :: \langle 'v \text{ clause} \Rightarrow \text{nat} \rangle$  and
     $N_S :: \langle 'v \text{ clauses} \rangle$ 
  defines
     $\langle \varrho' \equiv (\lambda C. \text{sum-mset}$ 
       $((\lambda L. \text{if } L \in \text{Pos } \text{'additional-atm' } \text{'set-mset } N_S$ 
         $\text{then } \varrho (\text{SOME } C. L = \text{Pos } (\text{additional-atm } C) \wedge C \in \# N_S)$ 
         $\text{else } 0) \text{'\# } C)) \rangle$ 
  assumes
     $\langle \text{distinct-mset } N_S \rangle$  and — This is implicit on paper
    add:  $\langle \bigwedge C. C \in \# N_S \implies \text{additional-atm } C \notin \text{atms-of-mm } (N_H + N_S) \rangle$ 
     $\langle \bigwedge C D. C \in \# N_S \implies D \in \# N_S \implies \text{additional-atm } C = \text{additional-atm } D \longleftrightarrow C = D \rangle$  and
    w:  $\langle \text{weight-sat } (N_H + (\lambda C. \text{add-mset } (\text{Pos } (\text{additional-atm } C)) C) \text{'\# } N_S) \varrho' (\text{Some } I) \rangle$ 
  shows
     $\langle \text{partial-max-sat } N_H N_S \varrho (\text{Some } \{L \in \text{set-mset } I. \text{atm-of } L \in \text{atms-of-mm } (N_H + N_S)\}) \rangle$ 
proof -
  define cl-of where  $\langle \text{cl-of } L = (\text{SOME } C. L = \text{Pos } (\text{additional-atm } C) \wedge C \in \# N_S) \rangle$  for L
  have [simp]:  $\langle \text{cl-of } (\text{Pos } (\text{additional-atm } xb)) = xb \rangle$ 
  if  $\langle xb \in \# N_S \rangle$  for xb
  using someI[of  $\langle \lambda C. \text{additional-atm } xb = \text{additional-atm } C \rangle$  xb] add that
  unfolding cl-of-def
  by auto
  have  $\varrho'$ :  $\langle \varrho' = (\lambda C. \sum L \in \# C. \text{if } L \in \text{Pos } \text{'additional-atm' } \text{'set-mset } N_S$ 
    then count  $N_S$ 
       $(\text{SOME } C. L = \text{Pos } (\text{additional-atm } C) \wedge C \in \# N_S) *$ 
       $\varrho (\text{SOME } C. L = \text{Pos } (\text{additional-atm } C) \wedge C \in \# N_S)$ 
       $\text{else } 0) \rangle$ 
  unfolding cl-of-def[symmetric]  $\varrho'$ -def
  using assms(2,4) by (auto intro!: ext sum-mset-cong simp:  $\varrho'$ -def not-in-iff dest!: multi-member-split)
  show ?thesis
    apply (rule partial-max-sat-is-weight-sat[where additional-atm=additional-atm])
    subgoal by (rule assms(3))
    subgoal by (rule assms(4))
    subgoal unfolding  $\varrho'$ [symmetric] by (rule assms(5))
  done
qed

```



```

lemma atms-exactly-m-alt-def:
  ⟨atms-exactly-m (set-mset y) N ⟷ atms-of y ⊆ atms-of-mm N ∧
    total-over-m (set-mset y) (set-mset N)⟩
  by (auto simp: atms-exactly-m-def atms-of-s-def atms-of-def
    atms-of-ms-def dest!: multi-member-split)

lemma atms-exactly-m-alt-def2:
  ⟨atms-exactly-m (set-mset y) N ⟷ atms-of y = atms-of-mm N⟩
  by (metis atms-of-def atms-of-s-def atms-exactly-m-alt-def equalityI order-refl total-over-m-def
    total-over-set-alt-def)

lemma (in conflict-driven-clause-learningW-optimal-weight) full-cdcl-bnb-stgy-weight-sat:
  ⟨full cdcl-bnb-stgy (init-state N) T ⟹ distinct-mset-mset N ⟹ weight-sat N ρ (weight T)⟩
  using full-cdcl-bnb-stgy-no-conflicting-clause-from-init-state[of N T]
  apply (cases ⟨weight T = None⟩)
  subgoal
    by (auto intro!: weight-sat.intros(2))
  subgoal premises p
    using p(1-4,6)
    apply (clarsimp simp only:)
    apply (rule weight-sat.intros(1))
    subgoal by auto
    subgoal by (auto simp: atms-exactly-m-alt-def)
    subgoal by auto
    subgoal by auto
    subgoal for J I'
      using p(5)[of I'] by (auto simp: atms-exactly-m-alt-def2)
    done
  done

end
theory CDCL-W-Partial-Optimal-Model
  imports CDCL-W-Partial-Encoding
begin
lemma isabelle-should-do-that-automatically: ⟨Suc (a - Suc 0) = a ⟷ a ≥ 1⟩
  by auto

lemma (in conflict-driven-clause-learningW-optimal-weight)
  conflict-opt-state-eq-compatible:
  ⟨conflict-opt S T ⟹ S ∼ S' ⟹ T ∼ T' ⟹ conflict-opt S' T'⟩
  using state-eq-trans[of T' T]
    ⟨update-conflicting (Some (negate-ann-lits (trail S'))) S⟩
  using state-eq-trans[of T]
    ⟨update-conflicting (Some (negate-ann-lits (trail S'))) S⟩
    ⟨update-conflicting (Some (negate-ann-lits (trail S'))) S'⟩
  update-conflicting-state-eq[of S S' ⟨Some {#}⟩]
  apply (auto simp: conflict-opt.simps state-eq-sym)
  using reduce-trail-to-state-eq state-eq-trans update-conflicting-state-eq by blast

context optimal-encoding
begin

definition base-atm :: ⟨'v ⇒ 'v⟩ where
  ⟨base-atm L = (if L ∈ Σ - ΔΣ then L else
    if L ∈ replacement-neg 'ΔΣ then (SOME K. (K ∈ ΔΣ ∧ L = replacement-neg K))

```

*else* (*SOME*  $K$ . ( $K \in \Delta\Sigma \wedge L = \text{replacement-pos } K$ )))

**lemma** *normalize-lit-Some-simp*[*simp*]:  $\langle (\text{SOME } K. K \in \Delta\Sigma \wedge (L^{\mapsto 0} = K^{\mapsto 0})) = L \rangle$  **if**  $\langle L \in \Delta\Sigma \rangle$  **for**  $K$

**by** (*rule some1-equality*) (*use that in auto*)

**lemma** *base-atm-simps1*[*simp*]:

$\langle L \in \Sigma \implies L \notin \Delta\Sigma \implies \text{base-atm } L = L \rangle$

**by** (*auto simp: base-atm-def*)

**lemma** *base-atm-simps2*[*simp*]:

$\langle L \in (\Sigma - \Delta\Sigma) \cup \text{replacement-neg } ' \Delta\Sigma \cup \text{replacement-pos } ' \Delta\Sigma \implies$   
 $K \in \Sigma \implies K \notin \Delta\Sigma \implies L \in \Sigma \implies K = \text{base-atm } L \longleftrightarrow L = K \rangle$

**by** (*auto simp: base-atm-def*)

**lemma** *base-atm-simps3*[*simp*]:

$\langle L \in \Sigma - \Delta\Sigma \implies \text{base-atm } L \in \Sigma \rangle$

$\langle L \in \text{replacement-neg } ' \Delta\Sigma \cup \text{replacement-pos } ' \Delta\Sigma \implies \text{base-atm } L \in \Delta\Sigma \rangle$

**apply** (*auto simp: base-atm-def*)

**by** (*metis (mono-tags, lifting) tft-some*)

**lemma** *base-atm-simps4*[*simp*]:

$\langle L \in \Delta\Sigma \implies \text{base-atm } (\text{replacement-pos } L) = L \rangle$

$\langle L \in \Delta\Sigma \implies \text{base-atm } (\text{replacement-neg } L) = L \rangle$

**by** (*auto simp: base-atm-def*)

**fun** *normalize-lit* ::  $\langle 'v \text{ literal} \Rightarrow 'v \text{ literal} \rangle$  **where**

$\langle \text{normalize-lit } (\text{Pos } L) =$

$(\text{if } L \in \text{replacement-neg } ' \Delta\Sigma$

$\text{then Neg } (\text{replacement-pos } (\text{SOME } K. (K \in \Delta\Sigma \wedge L = \text{replacement-neg } K)))$

$\text{else Pos } L) \rangle$  |

$\langle \text{normalize-lit } (\text{Neg } L) =$

$(\text{if } L \in \text{replacement-neg } ' \Delta\Sigma$

$\text{then Pos } (\text{replacement-pos } (\text{SOME } K. K \in \Delta\Sigma \wedge L = \text{replacement-neg } K))$

$\text{else Neg } L) \rangle$

**abbreviation** *normalize-clause* ::  $\langle 'v \text{ clause} \Rightarrow 'v \text{ clause} \rangle$  **where**

$\langle \text{normalize-clause } C \equiv \text{normalize-lit } ' \# C \rangle$

**lemma** *normalize-lit*[*simp*]:

$\langle L \in \Sigma - \Delta\Sigma \implies \text{normalize-lit } (\text{Pos } L) = (\text{Pos } L) \rangle$

$\langle L \in \Sigma - \Delta\Sigma \implies \text{normalize-lit } (\text{Neg } L) = (\text{Neg } L) \rangle$

$\langle L \in \Delta\Sigma \implies \text{normalize-lit } (\text{Pos } (\text{replacement-neg } L)) = \text{Neg } (\text{replacement-pos } L) \rangle$

$\langle L \in \Delta\Sigma \implies \text{normalize-lit } (\text{Neg } (\text{replacement-neg } L)) = \text{Pos } (\text{replacement-pos } L) \rangle$

**by** *auto*

**definition** *all-clauses-literals* ::  $\langle 'v \text{ list} \rangle$  **where**

$\langle \text{all-clauses-literals} =$

$(\text{SOME } xs. \text{mset } xs = \text{mset-set } ((\Sigma - \Delta\Sigma) \cup \text{replacement-neg } ' \Delta\Sigma \cup \text{replacement-pos } ' \Delta\Sigma)) \rangle$

**datatype** (*in*  $-$ )  $'c \text{ search-depth} =$

$sd\text{-is-zero} : SD\text{-ZERO } (the\text{-search-depth: } 'c) \mid$   
 $sd\text{-is-one} : SD\text{-ONE } (the\text{-search-depth: } 'c) \mid$   
 $sd\text{-is-two} : SD\text{-TWO } (the\text{-search-depth: } 'c)$

**abbreviation** (in  $-$ )  $un\text{-hide-sd} :: \langle 'a \text{ search-depth list} \Rightarrow 'a \text{ list} \rangle$  **where**  
 $\langle un\text{-hide-sd} \equiv map \text{ the-search-depth} \rangle$

**fun**  $nat\text{-of-search-deph} :: \langle 'c \text{ search-depth} \Rightarrow nat \rangle$  **where**  
 $\langle nat\text{-of-search-deph } (SD\text{-ZERO } -) = 0 \rangle \mid$   
 $\langle nat\text{-of-search-deph } (SD\text{-ONE } -) = 1 \rangle \mid$   
 $\langle nat\text{-of-search-deph } (SD\text{-TWO } -) = 2 \rangle$

**definition**  $opposite\text{-var}$  **where**

$\langle opposite\text{-var } L = (if \ L \in replacement\text{-pos } ' \Delta\Sigma \text{ then } replacement\text{-neg } (base\text{-atm } L)$   
 $\text{ else } replacement\text{-pos } (base\text{-atm } L)) \rangle$

**lemma**  $opposite\text{-var-replacement-if}[simp]$ :

$\langle L \in (replacement\text{-neg } ' \Delta\Sigma \cup replacement\text{-pos } ' \Delta\Sigma) \implies A \in \Delta\Sigma \implies$   
 $opposite\text{-var } L = replacement\text{-pos } A \longleftrightarrow L = replacement\text{-neg } A \rangle$   
 $\langle L \in (replacement\text{-neg } ' \Delta\Sigma \cup replacement\text{-pos } ' \Delta\Sigma) \implies A \in \Delta\Sigma \implies$   
 $opposite\text{-var } L = replacement\text{-neg } A \longleftrightarrow L = replacement\text{-pos } A \rangle$   
 $\langle A \in \Delta\Sigma \implies opposite\text{-var } (replacement\text{-pos } A) = replacement\text{-neg } A \rangle$   
 $\langle A \in \Delta\Sigma \implies opposite\text{-var } (replacement\text{-neg } A) = replacement\text{-pos } A \rangle$   
**by** (auto simp: opposite-var-def)

**context**

**assumes** [simp]:  $\langle finite \ \Sigma \rangle$

**begin**

**lemma**  $all\text{-clauses-literals}$ :

$\langle mset \ all\text{-clauses-literals} = mset\text{-set } ((\Sigma - \Delta\Sigma) \cup replacement\text{-neg } ' \Delta\Sigma \cup replacement\text{-pos } ' \Delta\Sigma) \rangle$   
 $\langle distinct \ all\text{-clauses-literals} \rangle$   
 $\langle set \ all\text{-clauses-literals} = ((\Sigma - \Delta\Sigma) \cup replacement\text{-neg } ' \Delta\Sigma \cup replacement\text{-pos } ' \Delta\Sigma) \rangle$

**proof**  $-$

**let**  $?A = \langle mset\text{-set } ((\Sigma - \Delta\Sigma) \cup replacement\text{-neg } ' \Delta\Sigma \cup$   
 $replacement\text{-pos } ' \Delta\Sigma) \rangle$

**show** 1:  $\langle mset \ all\text{-clauses-literals} = ?A \rangle$

**using** someI[of  $\langle \lambda xs. mset \ xs = ?A \rangle$ ]

$finite\text{-}\Sigma \ ex\text{-}mset$ [of  $?A$ ]

**unfolding**  $all\text{-clauses-literals-def}$ [symmetric]

**by** metis

**show** 2:  $\langle distinct \ all\text{-clauses-literals} \rangle$

**using** someI[of  $\langle \lambda xs. mset \ xs = ?A \rangle$ ]

$finite\text{-}\Sigma \ ex\text{-}mset$ [of  $?A$ ]

**unfolding**  $all\text{-clauses-literals-def}$ [symmetric]

**by** (metis distinct-mset-mset-set distinct-mset-mset-distinct)

**show** 3:  $\langle set \ all\text{-clauses-literals} = ((\Sigma - \Delta\Sigma) \cup replacement\text{-neg } ' \Delta\Sigma \cup replacement\text{-pos } ' \Delta\Sigma) \rangle$

**using** arg-cong[OF 1, of set-mset]  $finite\text{-}\Sigma$

**by** simp

**qed**

**definition**  $unset\text{-literals-in-}\Sigma$  **where**

$\langle unset\text{-literals-in-}\Sigma \ M \ L \longleftrightarrow undefined\text{-lit } M \ (Pos \ L) \wedge L \in \Sigma - \Delta\Sigma \rangle$

**definition**  $full\text{-unset-literals-in-}\Delta\Sigma$  **where**

$\langle \text{full-unset-literals-in-}\Delta\Sigma \ M \ L \longleftrightarrow$   
 $\text{undefined-lit } M \ (Pos \ L) \wedge L \notin \Sigma - \Delta\Sigma \wedge \text{undefined-lit } M \ (Pos \ (\text{opposite-var } L)) \wedge$   
 $L \in \text{replacement-pos } ' \Delta\Sigma \rangle$

**definition** *full-unset-literals-in- $\Delta\Sigma'$*  where

$\langle \text{full-unset-literals-in-}\Delta\Sigma' \ M \ L \longleftrightarrow$   
 $\text{undefined-lit } M \ (Pos \ L) \wedge L \notin \Sigma - \Delta\Sigma \wedge \text{undefined-lit } M \ (Pos \ (\text{opposite-var } L)) \wedge$   
 $L \in \text{replacement-neg } ' \Delta\Sigma \rangle$

**definition** *half-unset-literals-in- $\Delta\Sigma$*  where

$\langle \text{half-unset-literals-in-}\Delta\Sigma \ M \ L \longleftrightarrow$   
 $\text{undefined-lit } M \ (Pos \ L) \wedge L \notin \Sigma - \Delta\Sigma \wedge \text{defined-lit } M \ (Pos \ (\text{opposite-var } L)) \rangle$

**definition** *sorted-unadded-literals* ::  $\langle ('v, 'v \text{ clause}) \text{ ann-lits} \Rightarrow 'v \text{ list} \rangle$  where

$\langle \text{sorted-unadded-literals } M =$   
 $(\text{let}$   
 $\quad M0 = \text{filter } (\text{full-unset-literals-in-}\Delta\Sigma' \ M) \ \text{all-clauses-literals};$   
 $\quad \text{— weight is 0}$   
 $\quad M1 = \text{filter } (\text{unset-literals-in-}\Sigma \ M) \ \text{all-clauses-literals};$   
 $\quad \text{— weight is 2}$   
 $\quad M2 = \text{filter } (\text{full-unset-literals-in-}\Delta\Sigma \ M) \ \text{all-clauses-literals};$   
 $\quad \text{— weight is 2}$   
 $\quad M3 = \text{filter } (\text{half-unset-literals-in-}\Delta\Sigma \ M) \ \text{all-clauses-literals}$   
 $\quad \text{— weight is 1}$   
 $\text{in}$   
 $\quad M0 \ @ \ M3 \ @ \ M1 \ @ \ M2) \rangle$

**definition** *complete-trail* ::  $\langle ('v, 'v \text{ clause}) \text{ ann-lits} \Rightarrow ('v, 'v \text{ clause}) \text{ ann-lits} \rangle$  where

$\langle \text{complete-trail } M =$   
 $(\text{map } (\text{Decided } o \ Pos) \ (\text{sorted-unadded-literals } M) \ @ \ M) \rangle$

**lemma** *in-sorted-unadded-literals-undefD*:

$\langle \text{atm-of } (\text{lit-of } l) \in \text{set } (\text{sorted-unadded-literals } M) \implies l \notin \text{set } M \rangle$   
 $\langle \text{atm-of } (l') \in \text{set } (\text{sorted-unadded-literals } M) \implies \text{undefined-lit } M \ l' \rangle$   
 $\langle xa \in \text{set } (\text{sorted-unadded-literals } M) \implies \text{lit-of } x = \text{Neg } xa \implies x \notin \text{set } M \rangle$  and  
 $\text{set-sorted-unadded-literals}[\text{simp}]$ :  
 $\langle \text{set } (\text{sorted-unadded-literals } M) =$   
 $\quad \text{Set.filter } (\lambda L. \text{undefined-lit } M \ (Pos \ L)) \ (\text{set all-clauses-literals}) \rangle$   
**by** (auto simp: sorted-unadded-literals-def undefined-notin all-clauses-literals(1,2)  
defined-lit-Neg-Pos-iff half-unset-literals-in- $\Delta\Sigma$ -def full-unset-literals-in- $\Delta\Sigma$ -def  
unset-literals-in- $\Sigma$ -def Let-def full-unset-literals-in- $\Delta\Sigma'$ -def  
all-clauses-literals(3))

**lemma** [simp]:

$\langle \text{full-unset-literals-in-}\Delta\Sigma \ [] = (\lambda L. L \in \text{replacement-pos } ' \Delta\Sigma) \rangle$   
 $\langle \text{full-unset-literals-in-}\Delta\Sigma' \ [] = (\lambda L. L \in \text{replacement-neg } ' \Delta\Sigma) \rangle$   
 $\langle \text{half-unset-literals-in-}\Delta\Sigma \ [] = (\lambda L. \text{False}) \rangle$   
 $\langle \text{unset-literals-in-}\Sigma \ [] = (\lambda L. L \in \Sigma - \Delta\Sigma) \rangle$   
**by** (auto simp: full-unset-literals-in- $\Delta\Sigma$ -def  
unset-literals-in- $\Sigma$ -def full-unset-literals-in- $\Delta\Sigma'$ -def  
half-unset-literals-in- $\Delta\Sigma$ -def intro!: ext)

**lemma** *filter-disjount-union*:

$\langle (\bigwedge x. x \in \text{set } xs \implies P \ x \implies \neg Q \ x) \implies$   
 $\text{length } (\text{filter } P \ xs) + \text{length } (\text{filter } Q \ xs) =$   
 $\text{length } (\text{filter } (\lambda x. P \ x \vee Q \ x) \ xs) \rangle$

```

by (induction xs) auto
lemma length-sorted-unadded-literals-empty[simp]:
  ⟨length (sorted-unadded-literals []) = length all-clauses-literals⟩
  apply (auto simp: sorted-unadded-literals-def sum-length-filter-compl
    Let-def ac-simps filter-disjount-union)
  apply (subst filter-disjount-union)
  apply auto
  apply (subst filter-disjount-union)
  apply auto
  by (metis (no-types, lifting) Diff-iff UnE all-clauses-literals(3) filter-True)

lemma sorted-unadded-literals-Cons-notin-all-clauses-literals[simp]:
  assumes
    ⟨atm-of (lit-of K) ∉ set all-clauses-literals⟩
  shows
    ⟨sorted-unadded-literals (K # M) = sorted-unadded-literals M⟩
proof -
  have [simp]: ⟨filter (full-unset-literals-in-ΔΣ' (K # M))
    all-clauses-literals =
    filter (full-unset-literals-in-ΔΣ' M)
    all-clauses-literals⟩
    ⟨filter (full-unset-literals-in-ΔΣ (K # M))
    all-clauses-literals =
    filter (full-unset-literals-in-ΔΣ M)
    all-clauses-literals⟩
    ⟨filter (half-unset-literals-in-ΔΣ (K # M))
    all-clauses-literals =
    filter (half-unset-literals-in-ΔΣ M)
    all-clauses-literals⟩
    ⟨filter (unset-literals-in-Σ (K # M)) all-clauses-literals =
    filter (unset-literals-in-Σ M) all-clauses-literals⟩
  using assms unfolding full-unset-literals-in-ΔΣ'-def full-unset-literals-in-ΔΣ-def
    half-unset-literals-in-ΔΣ-def unset-literals-in-Σ-def
  by (auto simp: sorted-unadded-literals-def undefined-notin all-clauses-literals(1,2)
    defined-lit-Neg-Pos-iff all-clauses-literals(3) defined-lit-cons
    intro!: ext filter-cong)

show ?thesis
  by (auto simp: undefined-notin all-clauses-literals(1,2)
    defined-lit-Neg-Pos-iff all-clauses-literals(3) sorted-unadded-literals-def)
qed

```

```

lemma sorted-unadded-literals-cong:
  assumes ⟨∧ L. L ∈ set all-clauses-literals ⟹ defined-lit M (Pos L) = defined-lit M' (Pos L)⟩
  shows ⟨sorted-unadded-literals M = sorted-unadded-literals M'⟩
proof -
  have [simp]: ⟨filter (full-unset-literals-in-ΔΣ' (M))
    all-clauses-literals =
    filter (full-unset-literals-in-ΔΣ' M')
    all-clauses-literals⟩
    ⟨filter (full-unset-literals-in-ΔΣ (M))
    all-clauses-literals =
    filter (full-unset-literals-in-ΔΣ M')
    all-clauses-literals⟩
    ⟨filter (half-unset-literals-in-ΔΣ (M))
    all-clauses-literals =
    filter (half-unset-literals-in-ΔΣ M')
    all-clauses-literals⟩

```

```

      filter (half-unset-literals-in- $\Delta\Sigma$   $M'$ )
      all-clauses-literals)
    (filter (unset-literals-in- $\Sigma$  ( $M$ )) all-clauses-literals =
      filter (unset-literals-in- $\Sigma$   $M'$ ) all-clauses-literals)
  using assms unfolding full-unset-literals-in- $\Delta\Sigma'$ -def full-unset-literals-in- $\Delta\Sigma$ -def
    half-unset-literals-in- $\Delta\Sigma$ -def unset-literals-in- $\Sigma$ -def
  by (auto simp: sorted-unadded-literals-def undefined-notin all-clauses-literals(1,2)
    defined-lit-Neg-Pos-iff all-clauses-literals(3) defined-lit-cons
    intro!: ext filter-cong)

show ?thesis
  by (auto simp: undefined-notin all-clauses-literals(1,2)
    defined-lit-Neg-Pos-iff all-clauses-literals(3) sorted-unadded-literals-def)

qed

lemma sorted-unadded-literals-Cons-already-set[simp]:
  assumes
    (defined-lit  $M$  (lit-of  $K$ ))
  shows
    (sorted-unadded-literals ( $K \# M$ ) = sorted-unadded-literals  $M$ )
  by (rule sorted-unadded-literals-cong)
    (use assms in (auto simp: defined-lit-cons))

lemma distinct-sorted-unadded-literals[simp]:
  (distinct (sorted-unadded-literals  $M$ ))
  unfolding half-unset-literals-in- $\Delta\Sigma$ -def
    full-unset-literals-in- $\Delta\Sigma$ -def unset-literals-in- $\Sigma$ -def
    sorted-unadded-literals-def
    full-unset-literals-in- $\Delta\Sigma'$ -def
  by (auto simp: sorted-unadded-literals-def all-clauses-literals(1,2))

lemma Collect-req-remove1:
  ( { $a \in A. a \neq b \wedge P a$ } = (if  $P b$  then Set.remove  $b$  { $a \in A. P a$ } else { $a \in A. P a$ }) ) and
  Collect-req-remove2:
  ( { $a \in A. b \neq a \wedge P a$ } = (if  $P b$  then Set.remove  $b$  { $a \in A. P a$ } else { $a \in A. P a$ }) )
  by auto

lemma card-remove:
  (card (Set.remove  $a$   $A$ ) = (if  $a \in A$  then card  $A - 1$  else card  $A$ ))
  apply (auto simp: Set.remove-def)
  by (metis Diff-empty One-nat-def card-Diff-insert card-infinite empty-iff
    finite-Diff-insert gr-implies-not0 neq0-conv zero-less-diff)

lemma sorted-unadded-literals-cons-in-undef[simp]:
  (undefined-lit  $M$  (lit-of  $K$ )  $\implies$ 
    atm-of (lit-of  $K$ )  $\in$  set all-clauses-literals  $\implies$ 
    Suc (length (sorted-unadded-literals ( $K \# M$ ))) =
    length (sorted-unadded-literals  $M$ ))
  by (auto simp flip: distinct-card simp: Set.filter-def Collect-req-remove2
    card-remove isabelle-should-do-that-automatically
    card-gt-0-iff simp flip: less-eq-Suc-le)

```

**lemma** *no-dup-complete-trail*[simp]:

$\langle \text{no-dup } (\text{complete-trail } M) \longleftrightarrow \text{no-dup } M \rangle$

**by** (auto simp: complete-trail-def no-dup-def comp-def all-clauses-literals(1,2)  
undefined-notin)

**lemma** *tautology-complete-trail*[simp]:

$\langle \text{tautology } (\text{lit-of } \# \text{ mset } (\text{complete-trail } M)) \longleftrightarrow \text{tautology } (\text{lit-of } \# \text{ mset } M) \rangle$

**by** (auto simp: complete-trail-def tautology-decomp' comp-def all-clauses-literals  
undefined-notin uminus-lit-swap defined-lit-Neg-Pos-iff  
simp flip: defined-lit-Neg-Pos-iff)

**lemma** *atms-of-complete-trail*:

$\langle \text{atms-of } (\text{lit-of } \# \text{ mset } (\text{complete-trail } M)) =$

$\text{atms-of } (\text{lit-of } \# \text{ mset } M) \cup (\Sigma - \Delta\Sigma) \cup \text{replacement-neg } \Delta\Sigma \cup \text{replacement-pos } \Delta\Sigma \rangle$

**by** (auto simp add: complete-trail-def all-clauses-literals  
image-image image-Un atms-of-def defined-lit-map)

**fun** *depth-lit-of* ::  $\langle ('v, -) \text{ ann-lit} \Rightarrow ('v, -) \text{ ann-lit search-depth} \rangle$  **where**

$\langle \text{depth-lit-of } (\text{Decided } L) = \text{SD-TWO } (\text{Decided } L) \rangle \mid$

$\langle \text{depth-lit-of } (\text{Propagated } L \ C) = \text{SD-ZERO } (\text{Propagated } L \ C) \rangle$

**fun** *depth-lit-of-additional-fst* ::  $\langle ('v, -) \text{ ann-lit} \Rightarrow ('v, -) \text{ ann-lit search-depth} \rangle$  **where**

$\langle \text{depth-lit-of-additional-fst } (\text{Decided } L) = \text{SD-ONE } (\text{Decided } L) \rangle \mid$

$\langle \text{depth-lit-of-additional-fst } (\text{Propagated } L \ C) = \text{SD-ZERO } (\text{Propagated } L \ C) \rangle$

**fun** *depth-lit-of-additional-snd* ::  $\langle ('v, -) \text{ ann-lit} \Rightarrow ('v, -) \text{ ann-lit search-depth list} \rangle$  **where**

$\langle \text{depth-lit-of-additional-snd } (\text{Decided } L) = [\text{SD-ONE } (\text{Decided } L)] \rangle \mid$

$\langle \text{depth-lit-of-additional-snd } (\text{Propagated } L \ C) = [] \rangle$

This function is suprisingly complicated to get right. Remember that the last set element is at the beginning of the list

**fun** *remove-dup-information-raw* ::  $\langle ('v, -) \text{ ann-lits} \Rightarrow ('v, -) \text{ ann-lit search-depth list} \rangle$  **where**

$\langle \text{remove-dup-information-raw } [] = [] \rangle \mid$

$\langle \text{remove-dup-information-raw } (L \# M) =$

$(\text{if atm-of } (\text{lit-of } L) \in \Sigma - \Delta\Sigma \text{ then depth-lit-of } L \# \text{ remove-dup-information-raw } M$

$\text{else if defined-lit } (M) (\text{Pos } (\text{opposite-var } (\text{atm-of } (\text{lit-of } L))))$

$\text{then if Decided } (\text{Pos } (\text{opposite-var } (\text{atm-of } (\text{lit-of } L)))) \in \text{set } (M)$

$\text{then remove-dup-information-raw } M$

$\text{else depth-lit-of-additional-fst } L \# \text{ remove-dup-information-raw } M$

$\text{else depth-lit-of-additional-snd } L \ @ \ \text{remove-dup-information-raw } M) \rangle$

**definition** *remove-dup-information* **where**

$\langle \text{remove-dup-information } xs = \text{un-hide-sd } (\text{remove-dup-information-raw } xs) \rangle$

**lemma** [simp]:  $\langle \text{the-search-depth } (\text{depth-lit-of } L) = L \rangle$

**by** (cases L) auto

**lemma** *length-complete-trail*[simp]:  $\langle \text{length } (\text{complete-trail } []) = \text{length all-clauses-literals} \rangle$

**unfolding** complete-trail-def

**by** (auto simp: sum-length-filter-compl)

**lemma** *distinct-count-list-if*:  $\langle \text{distinct } xs \implies \text{count-list } xs \ x = (\text{if } x \in \text{set } xs \text{ then } 1 \text{ else } 0) \rangle$

**by** (induction xs) auto

**lemma** *length-complete-trail-Cons*:

$\langle \text{no-dup } (K \# M) \implies$   
 $\text{length } (\text{complete-trail } (K \# M)) =$   
 $(\text{if } \text{atm-of } (\text{lit-of } K) \in \text{set all-clauses-literals} \text{ then } 0 \text{ else } 1) + \text{length } (\text{complete-trail } M) \rangle$   
**unfolding** complete-trail-def **by** auto

**lemma** length-complete-trail-eq:

$\langle \text{no-dup } M \implies \text{atm-of } ' (\text{lits-of-l } M) \subseteq \text{set all-clauses-literals} \implies$   
 $\text{length } (\text{complete-trail } M) = \text{length all-clauses-literals} \rangle$   
**by** (induction M rule: ann-lit-list-induct) (auto simp: length-complete-trail-Cons)

**lemma** in-set-all-clauses-literals-simp[simp]:

$\langle \text{atm-of } L \in \Sigma - \Delta\Sigma \implies \text{atm-of } L \in \text{set all-clauses-literals} \rangle$   
 $\langle K \in \Delta\Sigma \implies \text{replacement-pos } K \in \text{set all-clauses-literals} \rangle$   
 $\langle K \in \Delta\Sigma \implies \text{replacement-neg } K \in \text{set all-clauses-literals} \rangle$   
**by** (auto simp: all-clauses-literals)

**lemma** [simp]:

$\langle \text{remove-dup-information } [] = [] \rangle$   
**by** (auto simp: remove-dup-information-def)

**lemma** atm-of-remove-dup-information:

$\langle \text{atm-of } ' (\text{lits-of-l } M) \subseteq \text{set all-clauses-literals} \implies$   
 $\text{atm-of } ' (\text{lits-of-l } (\text{remove-dup-information } M)) \subseteq \text{set all-clauses-literals} \rangle$   
**unfolding** remove-dup-information-def  
**apply** (induction M rule: ann-lit-list-induct)  
**apply** (auto simp: Decided-Propagated-in-iff-in-lits-of-l lits-of-def image-image)  
**done**

**primrec** remove-dup-information-row2 ::  $\langle ('v, -) \text{ ann-lits} \Rightarrow ('v, -) \text{ ann-lits} \Rightarrow$

$('v, -) \text{ ann-lit search-depth list} \rangle$  **where**  
 $\langle \text{remove-dup-information-row2 } M' [] = [] \rangle$  |  
 $\langle \text{remove-dup-information-row2 } M' (L \# M) =$   
 $(\text{if } \text{atm-of } (\text{lit-of } L) \in \Sigma - \Delta\Sigma \text{ then } \text{depth-lit-of } L \# \text{remove-dup-information-row2 } M' M$   
 $\text{else if } \text{defined-lit } (M @ M') (\text{Pos } (\text{opposite-var } (\text{atm-of } (\text{lit-of } L))))$   
 $\text{then if } \text{Decided } (\text{Pos } (\text{opposite-var } (\text{atm-of } (\text{lit-of } L)))) \in \text{set } (M @ M')$   
 $\text{then } \text{remove-dup-information-row2 } M' M$   
 $\text{else } \text{depth-lit-of-additional-fst } L \# \text{remove-dup-information-row2 } M' M$   
 $\text{else } \text{depth-lit-of-additional-snd } L @ \text{remove-dup-information-row2 } M' M) \rangle$

**lemma** remove-dup-information-row2-Nil[simp]:

$\langle \text{remove-dup-information-row2 } [] M = \text{remove-dup-information-row } M \rangle$   
**by** (induction M) auto

This can be useful as simp, but I am not certain (yet), because the RHS does not look simpler than the LHS.

**lemma** remove-dup-information-row-cons:

$\langle \text{remove-dup-information-row } (L \# M2) =$   
 $\text{remove-dup-information-row2 } M2 [L] @$   
 $\text{remove-dup-information-row } M2 \rangle$   
**by** (auto simp: defined-lit-append)

**lemma** remove-dup-information-row-append:

$\langle \text{remove-dup-information-row } (M1 @ M2) =$   
 $\text{remove-dup-information-row2 } M2 M1 @$



$\text{remove-dup-information-raw } M2\rangle$   
**by** (*induction*  $M1$ )  
 (*auto simp: defined-lit-append*)

**lemma** *remove-dup-information-raw-append2*:  
 $\langle \text{remove-dup-information-raw2 } M (M1 @ M2) =$   
 $\text{remove-dup-information-raw2 } (M @ M2) M1 @$   
 $\text{remove-dup-information-raw2 } M M2 \rangle$   
**by** (*induction*  $M1$ )  
 (*auto simp: defined-lit-append*)

**lemma** *remove-dup-information-subset*:  $\langle \text{mset } (\text{remove-dup-information } M) \subseteq \# \text{ mset } M \rangle$   
**unfolding** *remove-dup-information-def*  
**apply** (*induction*  $M$  *rule: ann-lit-list-induct*) **apply** *auto*  
**apply** (*metis add-mset-remove-trivial diff-subset-eq-self subset-mset.dual-order.trans*) +  
**done**

**lemma** *no-dup-subsetD*:  $\langle \text{no-dup } M \implies \text{mset } M' \subseteq \# \text{ mset } M \implies \text{no-dup } M' \rangle$   
**unfolding** *no-dup-def distinct-mset-mset-distinct[symmetric] mset-map*  
**apply** (*drule image-mset-subseteq-mono[of - -  $\langle \text{atm-of } o \text{ lit-of } \rangle$ ]*)  
**apply** (*drule distinct-mset-mono*)  
**apply** *auto*  
**done**

**lemma** *no-dup-remove-dup-information*:  
 $\langle \text{no-dup } M \implies \text{no-dup } (\text{remove-dup-information } M) \rangle$   
**using** *no-dup-subsetD[OF - remove-dup-information-subset]* **by** *blast*

**lemma** *atm-of-complete-trail*:  
 $\langle \text{atm-of } ' ( \text{lits-of-l } M ) \subseteq \text{set all-clauses-literals} \implies$   
 $\text{atm-of } ' ( \text{lits-of-l } (\text{complete-trail } M) ) = \text{set all-clauses-literals} \rangle$   
**unfolding** *complete-trail-def* **by** (*auto simp: lits-of-def image-image image-Un defined-lit-map*)

**lemmas** [*simp del*] =  
*remove-dup-information-raw.simps*  
*remove-dup-information-raw2.simps*

**lemmas** [*simp*] =  
*remove-dup-information-raw-append*  
*remove-dup-information-raw-cons*  
*remove-dup-information-raw-append2*

**definition** *truncate-trail* ::  $\langle ('v, -) \text{ ann-lits} \Rightarrow - \rangle$  **where**  
 $\langle \text{truncate-trail } M \equiv$   
 $(\text{snd } (\text{backtrack-split } M)) \rangle$

**definition** *ocdcl-score* ::  $\langle ('v, -) \text{ ann-lits} \Rightarrow - \rangle$  **where**  
 $\langle \text{ocdcl-score } M =$   
 $\text{rev } (\text{map nat-of-search-deph } (\text{remove-dup-information-raw } (\text{complete-trail } (\text{truncate-trail } M)))) \rangle$

**interpretation** *enc-weight-opt*: *conflict-driven-clause-learning<sub>W</sub>-optimal-weight* **where**  
*state-eq* = *state-eq* **and**  
*state* = *state* **and**

*trail* = *trail* **and**  
*init-clss* = *init-clss* **and**  
*learned-clss* = *learned-clss* **and**  
*conflicting* = *conflicting* **and**  
*cons-trail* = *cons-trail* **and**  
*tl-trail* = *tl-trail* **and**  
*add-learned-cls* = *add-learned-cls* **and**  
*remove-cls* = *remove-cls* **and**  
*update-conflicting* = *update-conflicting* **and**  
*init-state* = *init-state* **and**  
 $\varrho = \varrho_e$  **and**  
*update-additional-info* = *update-additional-info*  
**apply** *unfold-locales*  
**subgoal by** (*rule*  $\varrho_e$ -*mono*)  
**subgoal using** *update-additional-info* **by** *fast*  
**subgoal using** *weight-init-state* **by** *fast*  
**done**

**lemma**

$\langle (a, b) \in \text{lern less-than } n \implies (b, c) \in \text{lern less-than } n \vee b = c \implies (a, c) \in \text{lern less-than } n \rangle$   
 $\langle (a, b) \in \text{lern less-than } n \implies (b, c) \in \text{lern less-than } n \vee b = c \implies (a, c) \in \text{lern less-than } n \rangle$   
**apply** (*auto intro*: )  
**apply** (*meson lern-transI trans-def trans-less-than*)+  
**done**

**lemma** *truncate-trail-Prop[simp]*:

$\langle \text{truncate-trail } (\text{Propagated } L \ E \ \# \ S) = \text{truncate-trail } (S) \rangle$   
**by** (*auto simp: truncate-trail-def*)

**lemma** *ocdcl-score-Prop[simp]*:

$\langle \text{ocdcl-score } (\text{Propagated } L \ E \ \# \ S) = \text{ocdcl-score } (S) \rangle$   
**by** (*auto simp: ocdcl-score-def truncate-trail-def*)

**lemma** *remove-dup-information-raw2-undefined-Σ*:

$\langle \text{distinct } xs \implies$   
 $(\bigwedge L. L \in \text{set } xs \implies \text{undefined-lit } M \ (\text{Pos } L) \implies L \in \Sigma \implies \text{undefined-lit } MM \ (\text{Pos } L)) \implies$   
 $\text{remove-dup-information-raw2 } MM$   
 $(\text{map } (\text{Decided } \circ \text{Pos})$   
 $(\text{filter } (\text{unset-literals-in-}\Sigma \ M)$   
 $xs)) =$   
 $\text{map } (SD-TWO \circ \text{Decided } \circ \text{Pos})$   
 $(\text{filter } (\text{unset-literals-in-}\Sigma \ M)$   
 $xs)) \rangle$   
**by** (*induction xs*)  
*(auto simp: remove-dup-information-raw2.simps*  
*unset-literals-in-Σ-def)*

**lemma** *defined-lit-map-Decided-pos*:

$\langle \text{defined-lit } (\text{map } (\text{Decided } \circ \text{Pos}) \ M) \ L \longleftrightarrow \text{atm-of } L \in \text{set } M \rangle$   
**by** (*induction M*) (*auto simp: defined-lit-cons*)

**lemma** *remove-dup-information-raw2-full-undefined-Σ*:

$\langle \text{distinct } xs \implies \text{set } xs \subseteq \text{set all-clauses-literals} \implies$   
 $(\bigwedge L. L \in \text{set } xs \implies \text{undefined-lit } M \ (\text{Pos } L) \implies L \notin \Sigma - \Delta\Sigma \implies$   
 $\text{undefined-lit } M \ (\text{Pos } (\text{opposite-var } L)) \implies L \in \text{replacement-pos } ' \Delta\Sigma \implies$   
 $\text{undefined-lit } MM \ (\text{Pos } (\text{opposite-var } L))) \implies$

```

remove-dup-information-raw2 MM
  (map (Decided  $\circ$  Pos)
    (filter (full-unset-literals-in- $\Delta\Sigma$  M)
      xs)) =
map (SD-ONE  $\circ$  Decided  $\circ$  Pos)
  (filter (full-unset-literals-in- $\Delta\Sigma$  M)
    xs)
unfolding all-clauses-literals
apply (induction xs)
subgoal
  by (simp-all add: remove-dup-information-raw2.simps)
subgoal premises p for L xs
  using p(1-3) p(4)[of L] p(4)
  by (clarsimp simp add: remove-dup-information-raw2.simps
    defined-lit-map-Decided-pos
    full-unset-literals-in- $\Delta\Sigma$ -def defined-lit-append)
done

```

```

lemma full-unset-literals-in- $\Delta\Sigma$ -notin[simp]:
   $\langle La \in \Sigma \implies \text{full-unset-literals-in-}\Delta\Sigma \ M \ La \longleftrightarrow \text{False} \rangle$ 
   $\langle La \in \Sigma \implies \text{full-unset-literals-in-}\Delta\Sigma' \ M \ La \longleftrightarrow \text{False} \rangle$ 
apply (metis (mono-tags) full-unset-literals-in- $\Delta\Sigma$ -def
  image-iff new-vars-pos)
by (simp add: full-unset-literals-in- $\Delta\Sigma'$ -def image-iff)

```

```

lemma Decided-in-definedD:  $\langle \text{Decided } K \in \text{set } M \implies \text{defined-lit } M \ K \rangle$ 
by (simp add: defined-lit-def)

```

```

lemma full-unset-literals-in- $\Delta\Sigma'$ -full-unset-literals-in- $\Delta\Sigma$ :
   $\langle L \in \text{replacement-pos } ' \Delta\Sigma \cup \text{replacement-neg } ' \Delta\Sigma \implies$ 
     $\text{full-unset-literals-in-}\Delta\Sigma' \ M \ (\text{opposite-var } L) \longleftrightarrow \text{full-unset-literals-in-}\Delta\Sigma \ M \ L \rangle$ 
by (auto simp: full-unset-literals-in- $\Delta\Sigma'$ -def full-unset-literals-in- $\Delta\Sigma$ -def
  opposite-var-def)

```

```

lemma remove-dup-information-raw2-full-unset-literals-in- $\Delta\Sigma'$ :
   $\langle (\bigwedge L. L \in \text{set } (\text{filter } (\text{full-unset-literals-in-}\Delta\Sigma' \ M) \ xs) \implies \text{Decided } (\text{Pos } (\text{opposite-var } L)) \in \text{set } M') \implies$ 
     $\text{set } xs \subseteq \text{set all-clauses-literals} \implies$ 
    (remove-dup-information-raw2
      M'
      (map (Decided  $\circ$  Pos)
        (filter (full-unset-literals-in- $\Delta\Sigma'$  (M))
          xs))) = [] \rangle
supply [[goals-limit=1]]
apply (induction xs)
subgoal by (auto simp: remove-dup-information-raw2.simps)
subgoal premises p for L xs
  using p
  by (force simp add: remove-dup-information-raw2.simps
    full-unset-literals-in- $\Delta\Sigma'$ -full-unset-literals-in- $\Delta\Sigma$ 
    all-clauses-literals
    defined-lit-map-Decided-pos defined-lit-append image-iff
    dest: Decided-in-definedD)
done

```

**lemma**

```

fixes  $M :: \langle ('v, -) \text{ ann-lits} \rangle$  and  $L :: \langle ('v, -) \text{ ann-lit} \rangle$ 
defines  $\langle n1 \equiv \text{map nat-of-search-deph (remove-dup-information-raw (complete-trail (L \# M)))} \rangle$  and
 $\langle n2 \equiv \text{map nat-of-search-deph (remove-dup-information-raw (complete-trail M))} \rangle$ 
assumes
   $\text{lits: } \langle \text{atm-of } ' (\text{lits-of-l (L \# M)}) \subseteq \text{set all-clauses-literals} \rangle$  and
   $\text{undef: } \langle \text{undefined-lit M (lit-of L)} \rangle$ 
shows
   $\langle (\text{rev } n1, \text{rev } n2) \in \text{lexn less-than } n \vee n1 = n2 \rangle$ 
proof –
  show ?thesis
  using lits
  apply (auto simp: n1-def n2-def complete-trail-def prepend-same-lexn)
  apply (auto simp: sorted-unadded-literals-def
    remove-dup-information-raw2.simps all-clauses-literals(2) defined-lit-map-Decided-pos
    remove-dup-information-raw2-undefined- $\Sigma$ )
  subgoal
    apply (subst remove-dup-information-raw2-undefined- $\Sigma$ )
    apply (simp-all add: all-clauses-literals(2) defined-lit-map-Decided-pos
      remove-dup-information-raw2-undefined- $\Sigma$ )
    apply (subst remove-dup-information-raw2-full-undefined- $\Sigma$ )
    apply (auto simp: all-clauses-literals(2))
    apply (subst remove-dup-information-raw2-full-unset-literals-in- $\Delta\Sigma'$ )
    apply (auto simp: full-unset-literals-in- $\Delta\Sigma'$ -full-unset-literals-in- $\Delta\Sigma$ )[2]
  oops
lemma
  defines  $\langle n \equiv \text{card } \Sigma \rangle$ 
  assumes
     $\langle \text{init-clss } S = \text{penc } N \rangle$  and
     $\langle \text{enc-weight-opt.cdcl-bnb-stgy } S \ T \rangle$  and
     $\text{struct: } \langle \text{cdcl}_W\text{-restart-mset.cdcl}_W\text{-all-struct-inv (enc-weight-opt.abs-state } S) \rangle$  and
     $\text{smaller-propa: } \langle \text{no-smaller-propa } S \rangle$  and
     $\text{smaller-conf: } \langle \text{cdcl-bnb-stgy-inv } S \rangle$ 
  shows  $\langle (\text{ocdcl-score (trail } T), \text{ocdcl-score (trail } S)) \in \text{lexn less-than } n \vee$ 
 $\text{ocdcl-score (trail } T) = \text{ocdcl-score (trail } S) \rangle$ 
  using assms(3)
proof (cases)
  case cdcl-bnb-conflict
  then show ?thesis by (auto elim!: rulesE)
next
  case cdcl-bnb-propagate
  then show ?thesis
    by (auto elim!: rulesE)
next
  case cdcl-bnb-improve
  then show ?thesis
    by (auto elim!: enc-weight-opt.improveE)
next
  case cdcl-bnb-conflict-opt
  then show ?thesis
    by (auto elim!: enc-weight-opt.conflict-optE)
next
  case cdcl-bnb-other'
  then show ?thesis
proof cases
  case bj
  then show ?thesis

```

```

proof cases
  case skip
    then show ?thesis by (auto elim!: rulesE)
  next
    case resolve
      then show ?thesis by (cases (trail S) (auto elim!: rulesE))
  next
    case backtrack
      then obtain M1 M2 :: ⟨'v, 'v clause⟩ ann-lits and K L :: ⟨'v literal⟩ and
        D D' :: ⟨'v clause⟩ where
        conf: ⟨conflicting S = Some (add-mset L D)⟩ and
        decomp: ⟨(Decided K # M1, M2) ∈ set (get-all-ann-decomposition (trail S))⟩ and
        ⟨get-maximum-level (trail S) (add-mset L D') = local.backtrack-lvl S⟩ and
        ⟨get-level (trail S) L = local.backtrack-lvl S⟩ and
        lev-K: ⟨get-level (trail S) K = Suc (get-maximum-level (trail S) D')⟩ and
        D'-D: ⟨D' ⊆# D⟩ and
        ⟨set-mset (clauses S) ∪ set-mset (enc-weight-opt.conflicting-clss S) ⊨p
          add-mset L D'⟩ and
        T: ⟨T ~
          cons-trail (Propagated L (add-mset L D'))
          (reduce-trail-to M1
            (add-learned-cls (add-mset L D') (update-conflicting None S)))
          by (auto simp: enc-weight-opt.obacktrack.simps)
          have
            tr-D: ⟨trail S ⊨as CNot (add-mset L D)⟩ and
            ⟨distinct-mset (add-mset L D)⟩ and
            ⟨cdclW-restart-mset.cdclW-M-level-inv (abs-state S)⟩ and
            n-d: ⟨no-dup (trail S)⟩
            using struct conf
          unfolding cdclW-restart-mset.cdclW-all-struct-inv-def
            cdclW-restart-mset.cdclW-conflicting-def
            cdclW-restart-mset.distinct-cdclW-state-def
            cdclW-restart-mset.cdclW-M-level-inv-def
          by auto
          have tr-D': ⟨trail S ⊨as CNot (add-mset L D')⟩
          using D'-D tr-D
        by (auto simp: true-annots-true-clss-def-iff-negation-in-model)
        have ⟨trail S ⊨as CNot D' ⟹ trail S ⊨as CNot (normalize2 D')⟩
          if ⟨get-maximum-level (trail S) D' < backtrack-lvl S⟩
          for D'
      oops
end

```

**interpretation** *enc-weight-opt*: *conflict-driven-clause-learning<sub>W</sub>-optimal-weight* **where**

```

  state-eq = state-eq and
  state = state and
  trail = trail and
  init-clss = init-clss and
  learned-clss = learned-clss and
  conflicting = conflicting and
  cons-trail = cons-trail and
  tl-trail = tl-trail and
  add-learned-clss = add-learned-clss and
  remove-clss = remove-clss and

```

update-conflicting = update-conflicting and  
 init-state = init-state and  
 $\varrho = \varrho_e$  and  
 update-additional-info = update-additional-info  
 apply unfold-locales  
 subgoal by (rule  $\varrho_e$ -mono)  
 subgoal using update-additional-info by fast  
 subgoal using weight-init-state by fast  
 done

**inductive** simple-backtrack-conflict-opt ::  $\langle 'st \Rightarrow 'st \Rightarrow bool \rangle$  where

$\langle \text{simple-backtrack-conflict-opt } S \ T \rangle$

if

$\langle \text{backtrack-split } (\text{trail } S) = (M2, \text{Decided } K \ \# \ M1) \rangle$  and  
 $\langle \text{negate-ann-lits } (\text{trail } S) \in \# \ \text{enc-weight-opt.conflicting-clss } S \rangle$  and  
 $\langle \text{conflicting } S = \text{None} \rangle$  and  
 $\langle T \sim \text{cons-trail } (\text{Propagated } (-K) \ (\text{DECO-clause } (\text{trail } S)))$   
 $\ (\text{add-learned-cls } (\text{DECO-clause } (\text{trail } S)) \ (\text{reduce-trail-to } M1 \ S)) \rangle$

**inductive-cases** simple-backtrack-conflict-optE:  $\langle \text{simple-backtrack-conflict-opt } S \ T \rangle$

**lemma** simple-backtrack-conflict-opt-conflict-analysis:

**assumes**  $\langle \text{simple-backtrack-conflict-opt } S \ U \rangle$  and

$\text{inv: } \langle \text{cdcl}_W\text{-restart-mset.cdcl}_W\text{-all-struct-inv } (\text{enc-weight-opt.abs-state } S) \rangle$

**shows**  $\langle \exists T \ T'. \ \text{enc-weight-opt.conflict-opt } S \ T \wedge \text{resolve}^{**} \ T \ T' \wedge \text{enc-weight-opt.obacktrack } T' \ U \rangle$

$\wedge \text{enc-weight-opt.obacktrack } T' \ U \rangle$

**using** assms

**proof** (cases rule: simple-backtrack-conflict-opt.cases)

**case** (1  $M2 \ K \ M1$ )

**have** tr:  $\langle \text{trail } S = M2 \ @ \ \text{Decided } K \ \# \ M1 \rangle$

**using** 1 backtrack-split-list-eq[of  $\langle \text{trail } S \rangle$ ]

**by** auto

**let** ?S =  $\langle \text{update-conflicting } (\text{Some } (\text{negate-ann-lits } (\text{trail } S))) \ S \rangle$

**have**  $\langle \text{enc-weight-opt.conflict-opt } S \ ?S \rangle$

**by** (rule enc-weight-opt.conflict-opt.intros[OF 1(2,3)]) auto

**let** ?T =  $\langle \lambda n. \ \text{update-conflicting}$

$\ (\text{Some } (\text{negate-ann-lits } (\text{drop } n \ (\text{trail } S))))$

$\ (\text{reduce-trail-to } (\text{drop } n \ (\text{trail } S)) \ S) \rangle$

**have** proped-M2:  $\langle \text{is-proped } (M2 \ ! \ n) \rangle$  **if**  $\langle n < \text{length } M2 \rangle$  **for**  $n$

**using** that 1(1) nth-length-takeWhile[of  $\langle \text{Not } \circ \ \text{is-decided} \rangle \ \langle \text{trail } S \rangle$ ]

length-takeWhile-le[of  $\langle \text{Not } \circ \ \text{is-decided} \rangle \ \langle \text{trail } S \rangle$ ]

**unfolding** backtrack-split-takeWhile-dropWhile

**apply** auto

**by** (metis annotated-lit.exhaust-disc comp-apply nth-mem set-takeWhileD)

**have** is-dec-M2[simp]:  $\langle \text{filter-mset is-decided } (\text{mset } M2) = \{\#\} \rangle$

**using** 1(1) nth-length-takeWhile[of  $\langle \text{Not } \circ \ \text{is-decided} \rangle \ \langle \text{trail } S \rangle$ ]

length-takeWhile-le[of  $\langle \text{Not } \circ \ \text{is-decided} \rangle \ \langle \text{trail } S \rangle$ ]

**unfolding** backtrack-split-takeWhile-dropWhile

**apply** (auto simp: filter-mset-empty-conv)

**by** (metis annotated-lit.exhaust-disc comp-apply nth-mem set-takeWhileD)

**have** n-d:  $\langle \text{no-dup } (\text{trail } S) \rangle$  **and**

le:  $\langle \text{cdcl}_W\text{-restart-mset.cdcl}_W\text{-conflicting } (\text{enc-weight-opt.abs-state } S) \rangle$  **and**

dist:  $\langle \text{cdcl}_W\text{-restart-mset.distinct-cdcl}_W\text{-state } (\text{enc-weight-opt.abs-state } S) \rangle$  **and**

decomp-imp:  $\langle \text{all-decomposition-implies-m } (\text{clauses } S + (\text{enc-weight-opt.conflicting-clss } S))$

$\ (\text{get-all-ann-decomposition } (\text{trail } S)) \rangle$  **and**

```

learned: ⟨cdclW-restart-mset.cdclW-learned-clause (enc-weight-opt.abs-state S)⟩
using inv
unfolding cdclW-restart-mset.cdclW-all-struct-inv-def
          cdclW-restart-mset.cdclW-M-level-inv-def
by auto
then have [simp]: ⟨K ≠ lit-of (M2 ! n)⟩ if ⟨n < length M2⟩ for n
  using that unfolding tr
  by (auto simp: defined-lit-nth)
have n-d-n: ⟨no-dup (drop n M2 @ Decided K # M1)⟩ for n
  using n-d unfolding tr
  by (subst (asm) append-take-drop-id[symmetric, of - n])
    (auto simp del: append-take-drop-id dest: no-dup-appendD)
have mark-dist: ⟨distinct-mset (mark-of (M2!n))⟩ if ⟨n < length M2⟩ for n
  using dist that proped-M2[OF that] nth-mem[OF that]
  unfolding cdclW-restart-mset.distinct-cdclW-state-def tr
  by (cases ⟨M2!n⟩) (auto simp: tr)

have [simp]: ⟨undefined-lit (drop n M2) K⟩ for n
  using n-d defined-lit-mono[of ⟨drop n M2⟩ K M2]
  unfolding tr
  by (auto simp: set-drop-subset)
from this[of 0] have [simp]: ⟨undefined-lit M2 K⟩
  by auto
have [simp]: ⟨count-decided (drop n M2) = 0⟩ for n
  apply (subst count-decided-0-iff)
  using 1(1) nth-length-takeWhile[of ⟨Not ∘ is-decided⟩ ⟨trail S⟩]
  length-takeWhile-le[of ⟨Not ∘ is-decided⟩ ⟨trail S⟩]
  unfolding backtrack-split-takeWhile-dropWhile
  by (auto simp: dest!: in-set-dropD set-takeWhileD)
from this[of 0] have [simp]: ⟨count-decided M2 = 0⟩ by simp
have proped: ⟨ $\bigwedge L$  mark a b.
  a @ Propagated L mark # b = trail S  $\longrightarrow$ 
  b  $\models$  as CNot (remove1-mset L mark)  $\wedge$  L  $\in$  # mark⟩
  using le
  unfolding cdclW-restart-mset.cdclW-conflicting-def
  by auto
have mark: ⟨drop (Suc n) M2 @ Decided K # M1  $\models$  as
  CNot (mark-of (M2 ! n) - unmark (M2 ! n))  $\wedge$ 
  lit-of (M2 ! n)  $\in$  # mark-of (M2 ! n)⟩
  if ⟨n < length M2⟩ for n
  using proped-M2[OF that] that
    append-take-drop-id[of n M2, unfolded Cons-nth-drop-Suc[OF that, symmetric]]
    proped[of ⟨take n M2⟩ ⟨lit-of (M2 ! n)⟩ ⟨mark-of (M2 ! n)⟩]
    ⟨drop (Suc n) M2 @ Decided K # M1⟩
  unfolding tr by (cases ⟨M2!n⟩) auto
have confl: ⟨enc-weight-opt.conflict-opt S ?S⟩
  by (rule enc-weight-opt.conflict-opt.intros) (use 1 in auto)
have res: ⟨resolve** ?S (?T n)⟩ if ⟨n ≤ length M2⟩ for n
  using that unfolding tr
proof (induction n)
  case 0
  then show ?case
    using get-all-ann-decomposition-backtrack-split[THEN iffD1, OF 1(1)]
    1
    by (cases ⟨get-all-ann-decomposition (trail S)⟩) (auto simp: tr)
next

```

```

case (Suc n)
have [simp]:  $\langle \neg \text{Suc } (\text{length } M2 - \text{Suc } n) < \text{length } M2 \longleftrightarrow n = 0 \rangle$ 
  using Suc(2) by auto
have [simp]:  $\langle \text{reduce-trail-to } (\text{drop } (\text{Suc } 0) M2 @ \text{Decided } K \# M1) S = \text{tl-trail } S \rangle$ 
  apply (subst reduce-trail-to.simps)
  using Suc by (auto simp: tr )
have [simp]:  $\langle \text{reduce-trail-to } (M2 ! 0 \# \text{drop } (\text{Suc } 0) M2 @ \text{Decided } K \# M1) S = S \rangle$ 
  apply (subst reduce-trail-to.simps)
  using Suc by (auto simp: tr )
have [simp]:  $\langle (\text{Suc } (\text{length } M1) - (\text{length } M2 - n + (\text{Suc } (\text{length } M1) - (n - \text{length } M2)))) = 0 \rangle$ 
 $\langle (\text{Suc } (\text{length } M2 + \text{length } M1) - (\text{length } M2 - n + (\text{Suc } (\text{length } M1) - (n - \text{length } M2)))) = n \rangle$ 
 $\langle \text{length } M2 - n + (\text{Suc } (\text{length } M1) - (n - \text{length } M2)) = \text{Suc } (\text{length } M2 + \text{length } M1) - n \rangle$ 
  using Suc by auto
have [symmetric,simp]:  $\langle M2 ! n = \text{Propagated } (\text{lit-of } (M2 ! n)) (\text{mark-of } (M2 ! n)) \rangle$ 
  using Suc proped-M2[of n]
  by (cases  $\langle M2 ! n \rangle$ ) (auto simp: tr trail-reduce-trail-to-drop hd-drop-conv-nth
    intro!: resolve.intros)
have  $\langle - \text{lit-of } (M2 ! n) \in \# \text{negate-ann-lits } (\text{drop } n M2 @ \text{Decided } K \# M1) \rangle$ 
  using Suc in-set-dropI[of  $\langle n \rangle$ ]  $\langle \text{map } (\text{uminus o lit-of } M2) n \rangle$ 
  by (simp add: negate-ann-lits-def comp-def drop-map
    del: nth-mem)
moreover have  $\langle \text{get-maximum-level } (\text{drop } n M2 @ \text{Decided } K \# M1) (\text{remove1-mset } (- \text{lit-of } (M2 ! n)) (\text{negate-ann-lits } (\text{drop } n M2 @ \text{Decided } K \# M1))) = \text{Suc } (\text{count-decided } M1) \rangle$ 
  using Suc(2) count-decided-ge-get-maximum-level[of  $\langle \text{drop } n M2 @ \text{Decided } K \# M1 \rangle$ ]
 $\langle (\text{remove1-mset } (- \text{lit-of } (M2 ! n)) (\text{negate-ann-lits } (\text{drop } n M2 @ \text{Decided } K \# M1))) \rangle$ 
  by (auto simp: negate-ann-lits-def tr max-def ac-simps
    remove1-mset-add-mset-If get-maximum-level-add-mset
    split: if-splits)
moreover have  $\langle \text{lit-of } (M2 ! n) \in \# \text{mark-of } (M2 ! n) \rangle$ 
  using mark[of n] Suc by auto
moreover have  $\langle (\text{remove1-mset } (- \text{lit-of } (M2 ! n)) (\text{negate-ann-lits } (\text{drop } n M2 @ \text{Decided } K \# M1))) \cup \# (\text{mark-of } (M2 ! n) - \text{unmark } (M2 ! n))) = \text{negate-ann-lits } (\text{drop } (\text{Suc } n) (\text{trail } S)) \rangle$ 
  apply (rule distinct-set-mset-eq)
  using n-d-n[of n] n-d-n[of  $\langle \text{Suc } n \rangle$ ] no-dup-distinct-mset[OF n-d-n[of n]] Suc
    mark[of n] mark-dist[of n]
  by (auto simp: tr Cons-nth-drop-Suc[symmetric, of n]
    entails-CNot-negate-ann-lits
    dest: in-diffD intro: distinct-mset-minus)
moreover { have 1:  $\langle (\text{tl-trail } (\text{reduce-trail-to } (\text{drop } n M2 @ \text{Decided } K \# M1) S)) \sim (\text{reduce-trail-to } (\text{drop } (\text{Suc } n) M2 @ \text{Decided } K \# M1) S) \rangle$ 
  apply (subst Cons-nth-drop-Suc[symmetric, of n M2])
  subgoal using Suc by (auto simp: tl-trail-update-conflicting)
  subgoal
    apply (rule state-eq-trans)
    apply simp
    apply (cases  $\langle \text{length } (M2 ! n \# \text{drop } (\text{Suc } n) M2 @ \text{Decided } K \# M1) < \text{length } (\text{trail } S) \rangle$ )
    apply (auto simp: tl-trail-reduce-trail-to-cons tr)
    done
  done
have  $\langle \text{update-conflicting } (\text{Some } (\text{negate-ann-lits } (\text{drop } (\text{Suc } n) M2 @ \text{Decided } K \# M1))) \rangle$ 

```



```

(reduce-trail-to (drop (Suc n) M2 @ Decided K # M1) S) ~
update-conflicting
(Some (negate-ann-lits (drop (Suc n) M2 @ Decided K # M1)))
(tl-trail
  (update-conflicting (Some (negate-ann-lits (drop n M2 @ Decided K # M1)))
    (reduce-trail-to (drop n M2 @ Decided K # M1) S)))
apply (rule state-eq-trans)
prefer 2
apply (rule update-conflicting-state-eq)
apply (rule tl-trail-update-conflicting[THEN state-eq-sym[THEN iffD1]])
apply (subst state-eq-sym)
apply (subst update-conflicting-update-conflicting)
apply (rule 1)
by fast }
ultimately have (resolve (?T n) (?T (n+1))) apply -
  apply (rule resolve.intros[of - (lit-of (M2 ! n)) (mark-of (M2 ! n))])
  using Suc
  get-all-ann-decomposition-backtrack-split[THEN iffD1, OF 1(1)]
  in-get-all-ann-decomposition-trail-update-trail[of (Decided K) M1 (M2) (S)]
  by (auto simp: tr trail-reduce-trail-to-drop hd-drop-conv-nth
    intro!: resolve.intros intro: update-conflicting-state-eq)
then show ?case
  using Suc by (auto simp add: tr)
qed

have (get-maximum-level (Decided K # M1) (DECO-clause M1) = get-maximum-level M1 (DECO-clause
M1))
  by (rule get-maximum-level-cong)
  (use n-d in (auto simp: tr get-level-cons-if atm-of-eq-atm-of
    DECO-clause-def Decided-Propagated-in-iff-in-lits-of-l lits-of-def))
also have (... = count-decided M1)
  using n-d unfolding tr apply -
  apply (induction M1 rule: ann-lit-list-induct)
  subgoal by auto
  subgoal for L M1'
    apply (subgoal-tac (∀ La ∈ #DECO-clause M1'. get-level (Decided L # M1') La = get-level M1'
La))
    subgoal
      using count-decided-ge-get-maximum-level[of (M1') (DECO-clause M1')]
      get-maximum-level-cong[of (DECO-clause M1') (Decided L # M1') (M1')]
    by (auto simp: get-maximum-level-add-mset tr atm-of-eq-atm-of
      max-def)
    subgoal
      by (auto simp: DECO-clause-def
        get-level-cons-if atm-of-eq-atm-of Decided-Propagated-in-iff-in-lits-of-l
        lits-of-def)
    done
  subgoal for L C M1'
    apply (subgoal-tac (∀ La ∈ #DECO-clause M1'. get-level (Propagated L C # M1') La = get-level
M1' La))
    subgoal
      using count-decided-ge-get-maximum-level[of (M1') (DECO-clause M1')]
      get-maximum-level-cong[of (DECO-clause M1') (Propagated L C # M1') (M1')]
    by (auto simp: get-maximum-level-add-mset tr atm-of-eq-atm-of
      max-def)
    subgoal

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    by (auto simp: DECO-clause-def
        get-level-cons-if atm-of-eq-atm-of Decided-Propagated-in-iff-in-lits-of-l
        lits-of-def)
  done
done
finally have max: ⟨get-maximum-level (Decided K # M1) (DECO-clause M1) = count-decided M1⟩ .
have ⟨trail S ⊨as CNot (negate-ann-lits (trail S))⟩
  by (auto simp: true-annots-true-cls-def-iff-negation-in-model
      negate-ann-lits-def lits-of-def)
then have ⟨clauses S + (enc-weight-opt.conflicting-clss S) ⊨pm DECO-clause (trail S)⟩
  unfolding DECO-clause-def apply -
  apply (rule all-decomposition-implies-conflict-DECO-clause[OF decomp-imp,
    of ⟨negate-ann-lits (trail S)⟩])
  using 1
  by auto

have neg: ⟨trail S ⊨as CNot (mset (map (uminus o lit-of) (trail S)))⟩
  by (auto simp: true-annots-true-cls-def-iff-negation-in-model
      lits-of-def)
have ent: ⟨clauses S + enc-weight-opt.conflicting-clss S ⊨pm DECO-clause (trail S)⟩
  unfolding DECO-clause-def
  by (rule all-decomposition-implies-conflict-DECO-clause[OF decomp-imp,
    of ⟨mset (map (uminus o lit-of) (trail S))⟩])
  (use neg 1 in ⟨auto simp: negate-ann-lits-def⟩)
have deco: ⟨DECO-clause (M2 @ Decided K # M1) = add-mset (− K) (DECO-clause M1)⟩
  by (auto simp: DECO-clause-def)
have eg: ⟨reduce-trail-to M1 (reduce-trail-to (Decided K # M1) S) ∼
  reduce-trail-to M1 S⟩
  apply (subst reduce-trail-to-compow-tl-trail-le)
  apply (solves ⟨auto simp: tr⟩)
  apply (subst (3) reduce-trail-to-compow-tl-trail-le)
  apply (solves ⟨auto simp: tr⟩)
  apply (auto simp: tr)
  apply (cases ⟨M2 = []⟩)
  apply (auto simp: reduce-trail-to-compow-tl-trail-le reduce-trail-to-compow-tl-trail-eq tr)
  done

have U: ⟨cons-trail (Propagated (− K) (DECO-clause (M2 @ Decided K # M1)))
  (add-learned-cls (DECO-clause (M2 @ Decided K # M1))
  (reduce-trail-to M1 S)) ∼
  cons-trail (Propagated (− K) (add-mset (− K) (DECO-clause M1)))
  (reduce-trail-to M1
  (add-learned-cls (add-mset (− K) (DECO-clause M1))
  (update-conflicting None
  (update-conflicting (Some (add-mset (− K) (negate-ann-lits M1)))
  (reduce-trail-to (Decided K # M1) S))))))⟩
  unfolding deco
  apply (rule cons-trail-state-eq)
  apply (rule state-eq-trans)
  prefer 2
  apply (rule state-eq-sym[THEN iffD1])
  apply (rule reduce-trail-to-add-learned-cls-state-eq)
  apply (solves ⟨auto simp: tr⟩)
  apply (rule add-learned-cls-state-eq)
  apply (rule state-eq-trans)
  prefer 2

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```

apply (rule state-eq-sym[THEN iffD1])
apply (rule reduce-trail-to-update-conflicting-state-eq)
apply (solves ⟨auto simp: tr⟩)
apply (rule state-eq-trans)
prefer 2
apply (rule state-eq-sym[THEN iffD1])
apply (rule update-conflicting-state-eq)
apply (rule reduce-trail-to-update-conflicting-state-eq)
apply (solves ⟨auto simp: tr⟩)
apply (rule state-eq-trans)
prefer 2
apply (rule state-eq-sym[THEN iffD1])
apply (rule update-conflicting-update-conflicting)
apply (rule eg)
apply (rule state-eq-trans)
prefer 2
apply (rule state-eq-sym[THEN iffD1])
apply (rule update-conflicting-itself)
by (use 1 in auto)

have bt: ⟨enc-weight-opt.obacktrack (?T (length M2)) U⟩
apply (rule enc-weight-opt.obacktrack.intros[of - ⟨-K⟩ ⟨negate-ann-lits M1⟩ K M1 ⟨[]⟩
  ⟨DECO-clause M1⟩ ⟨count-decided M1⟩])
subgoal by (auto simp: tr)
subgoal by (auto simp: tr)
subgoal by (auto simp: tr)
subgoal
  using count-decided-ge-get-maximum-level[of ⟨Decided K # M1⟩ ⟨DECO-clause M1⟩]
  by (auto simp: tr get-maximum-level-add-mset max-def)
subgoal using max by (auto simp: tr)
subgoal by (auto simp: tr)
subgoal by (auto simp: DECO-clause-def negate-ann-lits-def
  image-mset-subseteq-mono)
subgoal using ent by (auto simp: tr DECO-clause-def)
subgoal
  apply (rule state-eq-trans [OF 1(4)])
  using 1(4) U by (auto simp: tr)
done

show ?thesis
  using confl res[of ⟨length M2⟩, simplified] bt
  by blast
qed

inductive conflict-opt0 :: ⟨'st ⇒ 'st ⇒ bool⟩ where
  ⟨conflict-opt0 S T⟩
if
  ⟨count-decided (trail S) = 0⟩ and
  ⟨negate-ann-lits (trail S) ∈# enc-weight-opt.conflicting-clss S⟩ and
  ⟨conflicting S = None⟩ and
  ⟨T ∼ update-conflicting (Some {#}) (reduce-trail-to ([] :: ('v, 'v clause) ann-lits) S)⟩

inductive-cases conflict-opt0E: ⟨conflict-opt0 S T⟩

inductive cdcl-dpll-bnb-r :: ⟨'st ⇒ 'st ⇒ bool⟩ for S :: 'st where
  cdcl-conflict: ⟨conflict S S' ⇒ cdcl-dpll-bnb-r S S'⟩ |

```

$\text{cdcl-propagate}: \langle \text{propagate } S \ S' \implies \text{cdcl-dpll-bnb-r } S \ S' \rangle \mid$   
 $\text{cdcl-improve}: \langle \text{enc-weight-opt.improvep } S \ S' \implies \text{cdcl-dpll-bnb-r } S \ S' \rangle \mid$   
 $\text{cdcl-conflict-opt0}: \langle \text{conflict-opt0 } S \ S' \implies \text{cdcl-dpll-bnb-r } S \ S' \rangle \mid$   
 $\text{cdcl-simple-backtrack-conflict-opt}:$   
 $\langle \text{simple-backtrack-conflict-opt } S \ S' \implies \text{cdcl-dpll-bnb-r } S \ S' \rangle \mid$   
 $\text{cdcl-o'}: \langle \text{ocdcl}_W\text{-o-r } S \ S' \implies \text{cdcl-dpll-bnb-r } S \ S' \rangle$

**inductive**  $\text{cdcl-dpll-bnb-r-stgy} :: \langle 'st \Rightarrow 'st \Rightarrow \text{bool} \rangle$  **for**  $S :: 'st$  **where**  
 $\text{cdcl-dpll-bnb-r-conflict}: \langle \text{conflict } S \ S' \implies \text{cdcl-dpll-bnb-r-stgy } S \ S' \rangle \mid$   
 $\text{cdcl-dpll-bnb-r-propagate}: \langle \text{propagate } S \ S' \implies \text{cdcl-dpll-bnb-r-stgy } S \ S' \rangle \mid$   
 $\text{cdcl-dpll-bnb-r-improve}: \langle \text{enc-weight-opt.improvep } S \ S' \implies \text{cdcl-dpll-bnb-r-stgy } S \ S' \rangle \mid$   
 $\text{cdcl-dpll-bnb-r-conflict-opt0}: \langle \text{conflict-opt0 } S \ S' \implies \text{cdcl-dpll-bnb-r-stgy } S \ S' \rangle \mid$   
 $\text{cdcl-dpll-bnb-r-simple-backtrack-conflict-opt}:$   
 $\langle \text{simple-backtrack-conflict-opt } S \ S' \implies \text{cdcl-dpll-bnb-r-stgy } S \ S' \rangle \mid$   
 $\text{cdcl-dpll-bnb-r-other'}: \langle \text{ocdcl}_W\text{-o-r } S \ S' \implies \text{no-conflict-prop-impr } S \implies \text{cdcl-dpll-bnb-r-stgy } S \ S' \rangle$

**lemma**  $\text{no-dup-dropI}:$

$\langle \text{no-dup } M \implies \text{no-dup } (\text{drop } n \ M) \rangle$   
**by** (cases  $\langle n < \text{length } M \rangle$ ) (auto simp: no-dup-def drop-map[symmetric])

**lemma**  $\text{trancpl-resolve-state-eq-compatible}:$

$\langle \text{resolve}^{++} \ S \ T \implies T \sim T' \implies \text{resolve}^{++} \ S \ T' \rangle$   
**apply** (induction arbitrary:  $T'$  rule:  $\text{trancpl-induct}$ )  
**apply** (auto dest:  $\text{resolve-state-eq-compatible}$ )  
**by** (metis  $\text{resolve-state-eq-compatible}$   $\text{state-eq-ref}$   $\text{trancpl-into-rtrancpl}$   $\text{trancpl-unfold-end}$ )

**lemma**  $\text{conflict-opt0-state-eq-compatible}:$

$\langle \text{conflict-opt0 } S \ T \implies S \sim S' \implies T \sim T' \implies \text{conflict-opt0 } S' \ T' \rangle$   
**using**  $\text{state-eq-trans[of } T' \ T]$   
 $\langle \text{update-conflicting } (\text{Some } \{\#\}) \ (\text{reduce-trail-to } ([::('v, 'v \text{ clause}) \text{ ann-lits}) \ S]) \rangle$   
**using**  $\text{state-eq-trans[of } T]$   
 $\langle \text{update-conflicting } (\text{Some } \{\#\}) \ (\text{reduce-trail-to } ([::('v, 'v \text{ clause}) \text{ ann-lits}) \ S]) \rangle$   
 $\langle \text{update-conflicting } (\text{Some } \{\#\}) \ (\text{reduce-trail-to } ([::('v, 'v \text{ clause}) \text{ ann-lits}) \ S']) \rangle$   
 $\text{update-conflicting-state-eq[of } S \ S' \ \langle \text{Some } \{\#\} \rangle]$   
**apply** (auto simp:  $\text{conflict-opt0.simps}$   $\text{state-eq-sym}$ )  
**using**  $\text{reduce-trail-to-state-eq}$   $\text{state-eq-trans}$   $\text{update-conflicting-state-eq}$  **by** blast

**lemma**  $\text{conflict-opt0-conflict-opt}:$

**assumes**  $\langle \text{conflict-opt0 } S \ U \rangle$  **and**  
 $\text{inv}: \langle \text{cdcl}_W\text{-restart-mset.cdcl}_W\text{-all-struct-inv } (\text{enc-weight-opt.abs-state } S) \rangle$   
**shows**  $\langle \exists T. \text{enc-weight-opt.conflict-opt } S \ T \wedge \text{resolve}^{**} \ T \ U \rangle$

**proof** –

**have**

$1: \langle \text{count-decided } (\text{trail } S) = 0 \rangle$  **and**  
 $\text{neg}: \langle \text{negate-ann-lits } (\text{trail } S) \in \# \text{ enc-weight-opt.conflicting-clss } S \rangle$  **and**  
 $\text{confl}: \langle \text{conflicting } S = \text{None} \rangle$  **and**  
 $U: \langle U \sim \text{update-conflicting } (\text{Some } \{\#\}) \ (\text{reduce-trail-to } ([::('v, 'v \text{ clause}) \text{ ann-lits}) \ S]) \rangle$   
**using**  $\text{assms}$  **by** (auto elim:  $\text{conflict-opt0E}$ )  
**let**  $?T = \langle \text{update-conflicting } (\text{Some } (\text{negate-ann-lits } (\text{trail } S))) \ S \rangle$   
**have**  $\text{confl}: \langle \text{enc-weight-opt.conflict-opt } S \ ?T \rangle$   
**using**  $\text{neg confl}$   
**by** (auto simp:  $\text{enc-weight-opt.conflict-opt.simps}$ )  
**let**  $?T = \langle \lambda n. \text{update-conflicting}$   
 $(\text{Some } (\text{negate-ann-lits } (\text{drop } n \ (\text{trail } S))))$   
 $(\text{reduce-trail-to } (\text{drop } n \ (\text{trail } S))) \ S \rangle$

**have** *proped-M2*:  $\langle \text{is-proped } (\text{trail } S \ ! \ n) \rangle$  **if**  $\langle n < \text{length } (\text{trail } S) \rangle$  **for**  $n$   
**using** 1 **that** **by** (*auto simp: count-decided-0-iff is-decided-no-proped-iff*)  
**have** *n-d*:  $\langle \text{no-dup } (\text{trail } S) \rangle$  **and**  
*le*:  $\langle \text{cdcl}_W\text{-restart-mset.cdcl}_W\text{-conflicting } (\text{enc-weight-opt.abs-state } S) \rangle$  **and**  
*dist*:  $\langle \text{cdcl}_W\text{-restart-mset.distinct-cdcl}_W\text{-state } (\text{enc-weight-opt.abs-state } S) \rangle$  **and**  
*decomp-imp*:  $\langle \text{all-decomposition-implies-m } (\text{clauses } S + (\text{enc-weight-opt.conflicting-cls } S))$   
 $(\text{get-all-ann-decomposition } (\text{trail } S)) \rangle$  **and**  
*learned*:  $\langle \text{cdcl}_W\text{-restart-mset.cdcl}_W\text{-learned-clause } (\text{enc-weight-opt.abs-state } S) \rangle$   
**using** *inv*  
**unfolding** *cdcl<sub>W</sub>-restart-mset.cdcl<sub>W</sub>-all-struct-inv-def*  
*cdcl<sub>W</sub>-restart-mset.cdcl<sub>W</sub>-M-level-inv-def*  
**by** *auto*  
**have** *proped*:  $\langle \bigwedge L \text{ mark } a \ b. \ a \ @ \ \text{Propagated } L \text{ mark } \# \ b = \text{trail } S \longrightarrow$   
 $b \models_{\text{as}} \text{CNot } (\text{remove1-mset } L \text{ mark}) \wedge L \in \# \text{ mark} \rangle$   
**using** *le*  
**unfolding** *cdcl<sub>W</sub>-restart-mset.cdcl<sub>W</sub>-conflicting-def*  
**by** *auto*  
**have** [*simp*]:  $\langle \text{count-decided } (\text{drop } n \ (\text{trail } S)) = 0 \rangle$  **for**  $n$   
**using** 1 **unfolding** *count-decided-0-iff*  
**by** (*cases*  $\langle n < \text{length } (\text{trail } S) \rangle$ ) (*auto dest: in-set-dropD*)  
**have** [*simp*]:  $\langle \text{get-maximum-level } (\text{drop } n \ (\text{trail } S)) \ C = 0 \rangle$  **for**  $n \ C$   
**using** *count-decided-ge-get-maximum-level[of drop n (trail S) C]*  
**by** *auto*  
**have** *mark-dist*:  $\langle \text{distinct-mset } (\text{mark-of } (\text{trail } S!n)) \rangle$  **if**  $\langle n < \text{length } (\text{trail } S) \rangle$  **for**  $n$   
**using** *dist that proped-M2[OF that] nth-mem[OF that]*  
**unfolding** *cdcl<sub>W</sub>-restart-mset.distinct-cdcl<sub>W</sub>-state-def*  
**by** (*cases*  $\langle \text{trail } S!n \rangle$ ) *auto*

**have** *res*:  $\langle \text{resolve } (?T \ n) \ (?T \ (\text{Suc } n)) \rangle$  **if**  $\langle n < \text{length } (\text{trail } S) \rangle$  **for**  $n$   
**proof** –  
**define** *L* and *E* **where**  
 $\langle L = \text{lit-of } (\text{trail } S \ ! \ n) \rangle$  **and**  
 $\langle E = \text{mark-of } (\text{trail } S \ ! \ n) \rangle$   
**have**  $\langle \text{hd } (\text{drop } n \ (\text{trail } S)) = \text{Propagated } L \ E \rangle$  **and**  
*tr-Sn*:  $\langle \text{trail } S \ ! \ n = \text{Propagated } L \ E \rangle$   
**using** *proped-M2[OF that]*  
**by** (*cases*  $\langle \text{trail } S \ ! \ n \rangle$ ; *auto simp: that hd-drop-conv-nth L-def E-def; fail*) +  
**have**  $\langle L \in \# \ E \rangle$  **and**  
*ent-E*:  $\langle \text{drop } (\text{Suc } n) \ (\text{trail } S) \models_{\text{as}} \text{CNot } (\text{remove1-mset } L \ E) \rangle$   
**using** *proped* [*of take n (trail S) L E drop (Suc n) (trail S)*]  
*that unfolding tr-Sn[symmetric]*  
**by** (*auto simp: Cons-nth-drop-Suc*)  
**have** 1:  $\langle \text{negate-ann-lits } (\text{drop } (\text{Suc } n) \ (\text{trail } S)) =$   
 $(\text{remove1-mset } (- \ L) \ (\text{negate-ann-lits } (\text{drop } n \ (\text{trail } S)))) \cup \#$   
 $\text{remove1-mset } L \ E \rangle$   
**apply** (*subst distinct-set-mset-eq-iff[symmetric]*)  
**subgoal**  
**using** *n-d* **by** (*auto simp: no-dup-dropI*)  
**subgoal**  
**using** *n-d mark-dist[OF that] unfolding tr-Sn*  
**by** (*auto intro: distinct-mset-mono no-dup-dropI*  
*intro!: distinct-mset-minus*)  
**subgoal**  
**using** *ent-E* **unfolding** *tr-Sn[symmetric]*

```

    by (auto simp: negate-ann-lits-def that
      Cons-nth-drop-Suc[symmetric] L-def lits-of-def
      true-annots-true-cls-def-iff-negation-in-model
      uminus-lit-swap
      dest!: multi-member-split)
  done
have ⟨update-conflicting (Some (negate-ann-lits (drop (Suc n) (trail S))))
  (reduce-trail-to (drop (Suc n) (trail S)) S) ~
  update-conflicting
  (Some
    (remove1-mset (− L) (negate-ann-lits (drop n (trail S))) ∪#
      remove1-mset L E))
  (tl-trail
    (update-conflicting (Some (negate-ann-lits (drop n (trail S))))
      (reduce-trail-to (drop n (trail S)) S)))⟩
unfolding 1[symmetric]
apply (rule state-eq-trans)
prefer 2
apply (rule state-eq-sym[THEN iffD1])
apply (rule update-conflicting-state-eq)
apply (rule tl-trail-update-conflicting)
apply (rule state-eq-trans)
prefer 2
apply (rule state-eq-sym[THEN iffD1])
apply (rule update-conflicting-update-conflicting)
apply (rule state-eq-ref)
apply (rule update-conflicting-state-eq)
using that
by (auto simp: reduce-trail-to-compow-tl-trail funpow-swap1)
moreover have ⟨L ∈# E⟩
  using proped[of ⟨take n (trail S)⟩ L E ⟨drop (Suc n) (trail S)⟩]
  that unfolding tr-Sn[symmetric]
  by (auto simp: Cons-nth-drop-Suc)
moreover have ⟨− L ∈# negate-ann-lits (drop n (trail S))⟩
  by (auto simp: negate-ann-lits-def L-def
    in-set-dropI that)
term ⟨get-maximum-level (drop n (trail S))⟩
ultimately show ?thesis apply −
  by (rule resolve.intros[of − L E])
    (use that in ⟨auto simp: trail-reduce-trail-to-drop
      ⟨hd (drop n (trail S)) = Propagated L E⟩⟩)
qed
have ⟨resolve** (?T 0) (?T n)⟩ if ⟨n ≤ length (trail S)⟩ for n
  using that
  apply (induction n)
  subgoal by auto
  subgoal for n
    using res[of n] by auto
  done
from this[of ⟨length (trail S)⟩] have ⟨resolve** (?T 0) (?T (length (trail S)))⟩
  by auto
moreover have ⟨?T (length (trail S)) ~ U⟩
  apply (rule state-eq-trans)
  prefer 2 apply (rule state-eq-sym[THEN iffD1], rule U)
  by auto
moreover have False if ⟨(?T 0) = (?T (length (trail S)))⟩ and ⟨length (trail S) > 0⟩

```

```

    using arg-cong[OF that(1), of conflicting] that(2)
  by (auto simp: negate-ann-lits-def)
ultimately have  $\langle \text{length } (\text{trail } S) > 0 \longrightarrow \text{resolve}^{**} (?T \ 0) \ U \rangle$ 
  using tranclp-resolve-state-eq-compatible[of  $\langle ?T \ 0 \rangle$ 
     $\langle ?T (\text{length } (\text{trail } S)) \rangle \ U$ ] by (subst (asm) rtranclp-unfold) auto
then have ?thesis if  $\langle \text{length } (\text{trail } S) > 0 \rangle$ 
  using confl that by auto
moreover have ?thesis if  $\langle \text{length } (\text{trail } S) = 0 \rangle$ 
  using that confl U
    enc-weight-opt.conflict-opt-state-eq-compatible[of  $S \ \langle (\text{update-conflicting } (\text{Some } \{\#\}) \ S) \rangle \ S \ U$ ]
  by (auto simp: state-eq-sym)
ultimately show ?thesis
  by blast
qed

```

**lemma** *backtrack-split-some-is-decided-then-snd-has-hd2*:

```

 $\langle \exists l \in \text{set } M. \text{is-decided } l \implies \exists M' \ L' \ M''. \text{backtrack-split } M = (M'', \text{Decided } L' \ \# \ M') \rangle$ 
by (metis backtrack-split-snd-hd-decided backtrack-split-some-is-decided-then-snd-has-hd
  is-decided-def list.distinct(1) list.sel(1) snd-conv)

```

**lemma** *no-step-conflict-opt0-simple-backtrack-conflict-opt*:

```

 $\langle \text{no-step conflict-opt0 } S \implies \text{no-step simple-backtrack-conflict-opt } S \implies$ 
 $\text{no-step enc-weight-opt.conflict-opt } S \rangle$ 
using backtrack-split-some-is-decided-then-snd-has-hd2[of  $\langle \text{trail } S \rangle$ ]
  count-decided-0-iff[of  $\langle \text{trail } S \rangle$ ]
by (fastforce simp: conflict-opt0.simps simple-backtrack-conflict-opt.simps
  enc-weight-opt.conflict-opt.simps
  annotated-lit.is-decided-def)

```

**lemma** *no-step-cdcl-dpll-bnb-r-cdcl-bnb-r*:

```

assumes  $\langle \text{cdcl}_W\text{-restart-mset.cdcl}_W\text{-all-struct-inv } (\text{enc-weight-opt.abs-state } S) \rangle$ 
shows
 $\langle \text{no-step cdcl-dpll-bnb-r } S \longleftrightarrow \text{no-step cdcl-bnb-r } S \rangle \text{ (is } \langle ?A \longleftrightarrow ?B \rangle)$ 

```

**proof**

```

  assume ?A
  show ?B
    using  $\langle ?A \rangle$  no-step-conflict-opt0-simple-backtrack-conflict-opt[of  $S$ ]
    by (auto simp: cdcl-bnb-r.simps
      cdcl-dpll-bnb-r.simps all-conj-distrib)

```

**next**

```

  assume ?B
  show ?A
    using  $\langle ?B \rangle$  simple-backtrack-conflict-opt-conflict-analysis[OF - assms]
    by (auto simp: cdcl-bnb-r.simps cdcl-dpll-bnb-r.simps all-conj-distrib assms
      dest!: conflict-opt0-conflict-opt)

```

**qed**

**lemma** *cdcl-dpll-bnb-r-cdcl-bnb-r*:

```

assumes  $\langle \text{cdcl-dpll-bnb-r } S \ T \rangle$  and
 $\langle \text{cdcl}_W\text{-restart-mset.cdcl}_W\text{-all-struct-inv } (\text{enc-weight-opt.abs-state } S) \rangle$ 
shows  $\langle \text{cdcl-bnb-r}^{**} \ S \ T \rangle$ 
using assms
proof (cases rule: cdcl-dpll-bnb-r.cases)
case cdcl-simple-backtrack-conflict-opt
then obtain  $S1 \ S2$  where

```

```

  <enc-weight-opt.conflict-opt S S1>
  <resolve** S1 S2> and
  <enc-weight-opt.obacktrack S2 T>
  using simple-backtrack-conflict-opt-conflict-analysis[OF - assms(2), of T]
  by auto
then have <cdcl-bnb-r S S1>
  <cdcl-bnb-r** S1 S2>
  <cdcl-bnb-r S2 T>
  using mono-rtrancpl[of resolve enc-weight-opt.cdcl-bnb-bj]
    mono-rtrancpl[of enc-weight-opt.cdcl-bnb-bj ocdclW-o-r]
    mono-rtrancpl[of ocdclW-o-r cdcl-bnb-r]
    ocdclW-o-r.intros enc-weight-opt.cdcl-bnb-bj.resolve
    cdcl-bnb-r.intros
    enc-weight-opt.cdcl-bnb-bj.intros
  by (auto 5 4 dest: cdcl-bnb-r.intros conflict-opt0-conflict-opt)
then show ?thesis
  by auto
next
case cdcl-conflict-opt0
then obtain S1 where
  <enc-weight-opt.conflict-opt S S1>
  <resolve** S1 T>
  using conflict-opt0-conflict-opt[OF - assms(2), of T]
  by auto
then have <cdcl-bnb-r S S1>
  <cdcl-bnb-r** S1 T>
  using mono-rtrancpl[of resolve enc-weight-opt.cdcl-bnb-bj]
    mono-rtrancpl[of enc-weight-opt.cdcl-bnb-bj ocdclW-o-r]
    mono-rtrancpl[of ocdclW-o-r cdcl-bnb-r]
    ocdclW-o-r.intros enc-weight-opt.cdcl-bnb-bj.resolve
    cdcl-bnb-r.intros
    enc-weight-opt.cdcl-bnb-bj.intros
  by (auto 5 4 dest: cdcl-bnb-r.intros conflict-opt0-conflict-opt)
then show ?thesis
  by auto
qed (auto 5 4 dest: cdcl-bnb-r.intros conflict-opt0-conflict-opt simp: assms)

lemma resolve-no-prop-conf: <resolve S T  $\implies$  no-step propagate S  $\wedge$  no-step conflict S>
  by (auto elim!: rulesE)

```

```

lemma cdcl-bnb-r-stgy-res:
  <resolve S T  $\implies$  cdcl-bnb-r-stgy S T>
  using enc-weight-opt.cdcl-bnb-bj.resolve[of S T]
    ocdclW-o-r.intros[of S T]
    cdcl-bnb-r-stgy.intros[of S T]
    resolve-no-prop-conf[of S T]
  by (auto 5 4 dest: cdcl-bnb-r-stgy.intros conflict-opt0-conflict-opt)

```

```

lemma rtrancpl-cdcl-bnb-r-stgy-res:
  <resolve** S T  $\implies$  cdcl-bnb-r-stgy** S T>
  using mono-rtrancpl[of resolve cdcl-bnb-r-stgy]
    cdcl-bnb-r-stgy-res
  by (auto)

```

```

lemma obacktrack-no-prop-conf: <enc-weight-opt.obacktrack S T  $\implies$  no-step propagate S  $\wedge$  no-step conflict S>

```



```

by (auto elim!: rulesE enc-weight-opt.obacktrackE)

lemma cdcl-bnb-r-stgy-bt:
  ⟨enc-weight-opt.obacktrack S T ⟹ cdcl-bnb-r-stgy S T⟩
  using enc-weight-opt.cdcl-bnb-bj.backtrack[of S T]
  ocdclW-o-r.intros[of S T]
  cdcl-bnb-r-stgy.intros[of S T]
  obacktrack-no-prop-conflict[of S T]
by (auto 5 4 dest: cdcl-bnb-r-stgy.intros conflict-opt0-conflict-opt)

lemma cdcl-dpll-bnb-r-stgy-cdcl-bnb-r-stgy:
  assumes ⟨cdcl-dpll-bnb-r-stgy S T⟩ and
    ⟨cdclW-restart-mset.cdclW-all-struct-inv (enc-weight-opt.abs-state S)⟩
  shows ⟨cdcl-bnb-r-stgy** S T⟩
  using assms
proof (cases rule: cdcl-dpll-bnb-r-stgy.cases)
case cdcl-dpll-bnb-r-simple-backtrack-conflict-opt
then obtain S1 S2 where
  ⟨enc-weight-opt.conflict-opt S S1⟩
  ⟨resolve** S1 S2⟩ and
  ⟨enc-weight-opt.obacktrack S2 T⟩
  using simple-backtrack-conflict-opt-conflict-analysis[OF - assms(2), of T]
  by auto
then have ⟨cdcl-bnb-r-stgy S S1⟩
  ⟨cdcl-bnb-r-stgy** S1 S2⟩
  ⟨cdcl-bnb-r-stgy S2 T⟩
  using enc-weight-opt.cdcl-bnb-bj.resolve
  by (auto dest: cdcl-bnb-r-stgy.intros conflict-opt0-conflict-opt
    rtrancpl-cdcl-bnb-r-stgy-res cdcl-bnb-r-stgy-bt)
then show ?thesis
  by auto
next
case cdcl-dpll-bnb-r-conflict-opt0
then obtain S1 where
  ⟨enc-weight-opt.conflict-opt S S1⟩
  ⟨resolve** S1 T⟩
  using conflict-opt0-conflict-opt[OF - assms(2), of T]
  by auto
then have ⟨cdcl-bnb-r-stgy S S1⟩
  ⟨cdcl-bnb-r-stgy** S1 T⟩
  using enc-weight-opt.cdcl-bnb-bj.resolve
  by (auto dest: cdcl-bnb-r-stgy.intros conflict-opt0-conflict-opt
    rtrancpl-cdcl-bnb-r-stgy-res cdcl-bnb-r-stgy-bt)
then show ?thesis
  by auto
qed (auto 5 4 dest: cdcl-bnb-r-stgy.intros conflict-opt0-conflict-opt)

lemma cdcl-bnb-r-stgy-cdcl-bnb-r:
  ⟨cdcl-bnb-r-stgy S T ⟹ cdcl-bnb-r S T⟩
  by (auto simp: cdcl-bnb-r-stgy.simps cdcl-bnb-r.simps)

lemma rtrancpl-cdcl-bnb-r-stgy-cdcl-bnb-r:
  ⟨cdcl-bnb-r-stgy** S T ⟹ cdcl-bnb-r** S T⟩
  by (induction rule: rtrancpl-induct)
  (auto dest: cdcl-bnb-r-stgy-cdcl-bnb-r)

```

```

context
  fixes  $S :: 'st$ 
  assumes  $S\Sigma: \langle \text{atms-of-mm } (\text{init-clss } S) = \Sigma - \Delta\Sigma \cup \text{replacement-pos } ' \Delta\Sigma \cup \text{replacement-neg } ' \Delta\Sigma \rangle$ 
begin
lemma cdcl-dpll-bnb-r-stgy-all-struct-inv:
   $\langle \text{cdcl-dpll-bnb-r-stgy } S \ T \implies$ 
     $\text{cdcl}_W\text{-restart-mset}.\text{cdcl}_W\text{-all-struct-inv } (\text{enc-weight-opt.abs-state } S) \implies$ 
     $\text{cdcl}_W\text{-restart-mset}.\text{cdcl}_W\text{-all-struct-inv } (\text{enc-weight-opt.abs-state } T) \rangle$ 
  using cdcl-dpll-bnb-r-stgy-cdcl-bnb-r-stgy[of  $S \ T$ ]
    rtrancpl-cdcl-bnb-r-all-struct-inv[OF  $S\Sigma$ ]
    rtrancpl-cdcl-bnb-r-stgy-cdcl-bnb-r[of  $S \ T$ ]
  by auto

```

**end**

```

lemma cdcl-bnb-r-stgy-cdcl-dpll-bnb-r-stgy:
   $\langle \text{cdcl-bnb-r-stgy } S \ T \implies \exists T. \text{cdcl-dpll-bnb-r-stgy } S \ T \rangle$ 
  by (meson cdcl-bnb-r-stgy.simps cdcl-dpll-bnb-r-conflict cdcl-dpll-bnb-r-conflict-opt0
    cdcl-dpll-bnb-r-other' cdcl-dpll-bnb-r-propagate cdcl-dpll-bnb-r-simple-backtrack-conflict-opt
    cdcl-dpll-bnb-r-stgy.intros(3) no-step-conflict-opt0-simple-backtrack-conflict-opt)

```

```

context
  fixes  $S :: 'st$ 
  assumes  $S\Sigma: \langle \text{atms-of-mm } (\text{init-clss } S) = \Sigma - \Delta\Sigma \cup \text{replacement-pos } ' \Delta\Sigma \cup \text{replacement-neg } ' \Delta\Sigma \rangle$ 
begin

```

```

lemma rtrancpl-cdcl-dpll-bnb-r-stgy-cdcl-bnb-r:
  assumes  $\langle \text{cdcl-dpll-bnb-r-stgy}^{**} S \ T \rangle$  and
     $\langle \text{cdcl}_W\text{-restart-mset}.\text{cdcl}_W\text{-all-struct-inv } (\text{enc-weight-opt.abs-state } S) \rangle$ 
  shows  $\langle \text{cdcl-bnb-r-stgy}^{**} S \ T \rangle$ 
  using assms
  apply (induction rule: rtrancpl-induct)
  subgoal by auto
  subgoal for  $T \ U$ 
    using cdcl-dpll-bnb-r-stgy-cdcl-bnb-r-stgy[of  $T \ U$ ]
      rtrancpl-cdcl-bnb-r-all-struct-inv[OF  $S\Sigma$ , of  $T$ ]
      rtrancpl-cdcl-bnb-r-stgy-cdcl-bnb-r[of  $S \ T$ ]
    by auto
  done

```

```

lemma rtrancpl-cdcl-dpll-bnb-r-stgy-all-struct-inv:
   $\langle \text{cdcl-dpll-bnb-r-stgy}^{**} S \ T \implies$ 
     $\text{cdcl}_W\text{-restart-mset}.\text{cdcl}_W\text{-all-struct-inv } (\text{enc-weight-opt.abs-state } S) \implies$ 
     $\text{cdcl}_W\text{-restart-mset}.\text{cdcl}_W\text{-all-struct-inv } (\text{enc-weight-opt.abs-state } T) \rangle$ 
  using rtrancpl-cdcl-dpll-bnb-r-stgy-cdcl-bnb-r[of  $T$ ]
    rtrancpl-cdcl-bnb-r-all-struct-inv[OF  $S\Sigma$ , of  $T$ ]
    rtrancpl-cdcl-bnb-r-stgy-cdcl-bnb-r[of  $S \ T$ ]
  by auto

```

```

lemma full-cdcl-dpll-bnb-r-stgy-full-cdcl-bnb-r-stgy:
  assumes  $\langle \text{full cdcl-dpll-bnb-r-stgy } S \ T \rangle$  and
     $\langle \text{cdcl}_W\text{-restart-mset}.\text{cdcl}_W\text{-all-struct-inv } (\text{enc-weight-opt.abs-state } S) \rangle$ 
  shows  $\langle \text{full cdcl-bnb-r-stgy } S \ T \rangle$ 
  using no-step-cdcl-dpll-bnb-r-cdcl-bnb-r[of  $T$ ]
    rtrancpl-cdcl-dpll-bnb-r-stgy-cdcl-bnb-r[of  $T$ ]
    rtrancpl-cdcl-dpll-bnb-r-stgy-all-struct-inv[of  $T$ ] assms

```

$rtrancplp\text{-}cdcl\text{-}bnb\text{-}r\text{-}stgy\text{-}cdcl\text{-}bnb\text{-}r[of\ S\ T]$   
**by** (*auto simp: full-def*)  
 $dest: cdcl\text{-}bnb\text{-}r\text{-}stgy\text{-}cdcl\text{-}bnb\text{-}r\ cdcl\text{-}bnb\text{-}r\text{-}stgy\text{-}cdcl\text{-}dpll\text{-}bnb\text{-}r\text{-}stgy)$   
**end**

**lemma** *replace-pos-neg-not-both-decided-highest-lvl:*

**assumes**  
 $struct: \langle cdcl_W\text{-}restart\text{-}mset.cdcl_W\text{-}all\text{-}struct\text{-}inv\ (enc\text{-}weight\text{-}opt.abs\text{-}state\ S) \rangle$  **and**  
 $smaller\text{-}propa: \langle no\text{-}smaller\text{-}propa\ S \rangle$  **and**  
 $smaller\text{-}confl: \langle no\text{-}smaller\text{-}confl\ S \rangle$  **and**  
 $dec0: \langle Pos\ (A^{\rightarrow 0}) \in lits\text{-}of\text{-}l\ (trail\ S) \rangle$  **and**  
 $dec1: \langle Pos\ (A^{\rightarrow 1}) \in lits\text{-}of\text{-}l\ (trail\ S) \rangle$  **and**  
 $add: \langle additional\text{-}constraints \subseteq \# init\text{-}clss\ S \rangle$  **and**  
 $[simp]: \langle A \in \Delta\Sigma \rangle$   
**shows**  $\langle get\text{-}level\ (trail\ S)\ (Pos\ (A^{\rightarrow 0})) = backtrack\text{-}lvl\ S \wedge$   
 $get\text{-}level\ (trail\ S)\ (Pos\ (A^{\rightarrow 1})) = backtrack\text{-}lvl\ S \rangle$   
**proof** (*rule ccontr*)  
**assume**  $neg: \langle \neg ?thesis \rangle$   
**let**  $?L0 = \langle get\text{-}level\ (trail\ S)\ (Pos\ (A^{\rightarrow 0})) \rangle$   
**let**  $?L1 = \langle get\text{-}level\ (trail\ S)\ (Pos\ (A^{\rightarrow 1})) \rangle$   
**define**  $KL$  **where**  $\langle KL = (if\ ?L0 > ?L1\ then\ (Pos\ (A^{\rightarrow 1}))\ else\ (Pos\ (A^{\rightarrow 0}))) \rangle$   
**define**  $KL'$  **where**  $\langle KL' = (if\ ?L0 > ?L1\ then\ (Pos\ (A^{\rightarrow 0}))\ else\ (Pos\ (A^{\rightarrow 1}))) \rangle$   
**then have**  $\langle get\text{-}level\ (trail\ S)\ KL < backtrack\text{-}lvl\ S \rangle$  **and**  
 $le: \langle ?L0 < backtrack\text{-}lvl\ S \vee ?L1 < backtrack\text{-}lvl\ S \rangle$   
 $\langle ?L0 \leq backtrack\text{-}lvl\ S \wedge ?L1 \leq backtrack\text{-}lvl\ S \rangle$   
**using**  $neg\ count\text{-}decided\text{-}ge\text{-}get\text{-}level[of\ \langle trail\ S \rangle\ \langle Pos\ (A^{\rightarrow 0}) \rangle]$   
 $count\text{-}decided\text{-}ge\text{-}get\text{-}level[of\ \langle trail\ S \rangle\ \langle Pos\ (A^{\rightarrow 1}) \rangle]$   
**unfolding**  $KL\text{-}def$   
**by** *force+*  
  
**have**  $\langle KL \in lits\text{-}of\text{-}l\ (trail\ S) \rangle$   
**using**  $dec1\ dec0$  **by** (*auto simp: KL-def*)  
**have**  $add: \langle additional\text{-}constraint\ A \subseteq \# init\text{-}clss\ S \rangle$   
**using**  $add\ multi\text{-}member\text{-}split[of\ A\ \langle mset\text{-}set\ \Delta\Sigma \rangle]$  **by** (*auto simp: additional\text{-}constraints-def*  
 $subset\text{-}mset.dual\text{-}order.trans$ )  
**have**  $n\text{-}d: \langle no\text{-}dup\ (trail\ S) \rangle$   
**using**  $struct\ unfolding\ cdcl_W\text{-}restart\text{-}mset.cdcl_W\text{-}all\text{-}struct\text{-}inv\text{-}def$   
 $cdcl_W\text{-}restart\text{-}mset.cdcl_W\text{-}M\text{-}level\text{-}inv\text{-}def$   
**by** *auto*  
**have**  $H: \langle \bigwedge M\ K\ M'\ D\ L.$   
 $trail\ S = M' @ Decided\ K \# M \implies$   
 $D + \{\#L\# \} \in \# additional\text{-}constraint\ A \implies undefined\text{-}lit\ M\ L \implies \neg M \models_{as} CNot\ D \rangle$  **and**  
 $H': \langle \bigwedge M\ K\ M'\ D\ L.$   
 $trail\ S = M' @ Decided\ K \# M \implies$   
 $D \in \# additional\text{-}constraint\ A \implies \neg M \models_{as} CNot\ D \rangle$   
**using**  $smaller\text{-}propa\ add\ smaller\text{-}confl\ unfolding\ no\text{-}smaller\text{-}propa\text{-}def\ no\text{-}smaller\text{-}confl\text{-}def\ clauses\text{-}def$   
**by** *auto*  
  
**have**  $L1\text{-}L0: \langle ?L1 = ?L0 \rangle$   
**proof** (*rule ccontr*)  
**assume**  $neg: \langle ?L1 \neq ?L0 \rangle$   
**define**  $i$  **where**  $\langle i \equiv \min\ ?L1\ ?L0 \rangle$   
**obtain**  $K\ M1\ M2$  **where**  
 $decomp: \langle (Decided\ K \# M1, M2) \in set\ (get\text{-}all\text{-}ann\text{-}decomposition\ (trail\ S)) \rangle$  **and**

```

  ⟨get-level (trail S) K = Suc i⟩
  using backtrack-ex-decomp[OF n-d, of i] neg le
  by (cases ⟨?L1 < ?L0⟩) (auto simp: min-def i-def)
have ⟨get-level (trail S) KL ≤ i⟩ and ⟨get-level (trail S) KL' > i⟩
  using neg neg le by (auto simp: KL-def KL'-def i-def)
then have ⟨undefined-lit M1 KL'⟩
  using n-d decomp ⟨get-level (trail S) K = Suc i⟩
    count-decided-ge-get-level[of ⟨M1⟩ KL']
  by (force dest!: get-all-ann-decomposition-exists-prepend
    simp: get-level-append-if get-level-cons-if atm-of-eq-atm-of
dest: defined-lit-no-dupD
split: if-splits)
  moreover have ⟨{#-KL', -KL#} ∈ # additional-constraint A⟩
    using neg by (auto simp: additional-constraint-def KL-def KL'-def)
  moreover have ⟨KL ∈ lits-of-l M1⟩
    using ⟨get-level (trail S) KL ≤ i⟩ ⟨get-level (trail S) K = Suc i⟩
      n-d decomp ⟨KL ∈ lits-of-l (trail S)⟩
      count-decided-ge-get-level[of ⟨M1⟩ KL]
    by (auto dest!: get-all-ann-decomposition-exists-prepend
      simp: get-level-append-if get-level-cons-if atm-of-eq-atm-of
dest: defined-lit-no-dupD in-lits-of-l-defined-litD
split: if-splits)
    ultimately show False
      using H[of - K M1 ⟨{#-KL#}⟩ ⟨-KL'⟩] decomp
      by force
qed

obtain K M1 M2 where
  decomp: ⟨(Decided K # M1, M2) ∈ set (get-all-ann-decomposition (trail S))⟩ and
  lev-K: ⟨get-level (trail S) K = Suc ?L1⟩
  using backtrack-ex-decomp[OF n-d, of ?L1] le
  by (cases ⟨?L1 < ?L0⟩) (auto simp: min-def L1-L0)
then obtain M3 where
  M3: ⟨trail S = M3 @ Decided K # M1⟩
  by auto
then have [simp]: ⟨undefined-lit M3 (Pos (A↦1))⟩ ⟨undefined-lit M3 (Pos (A↦0))⟩
  by (solves ⟨use n-d L1-L0 lev-K M3 in auto⟩)
    (solves ⟨use n-d L1-L0[symmetric] lev-K M3 in auto⟩)
then have [simp]: ⟨Pos (A↦0) ∉ lits-of-l M3⟩ ⟨Pos (A↦1) ∉ lits-of-l M3⟩
  using Decided-Propagated-in-iff-in-lits-of-l by blast+
have ⟨Pos (A↦1) ∈ lits-of-l M1⟩ ⟨Pos (A↦0) ∈ lits-of-l M1⟩
  using n-d L1-L0 lev-K dec0 dec1 Decided-Propagated-in-iff-in-lits-of-l
  by (auto dest!: get-all-ann-decomposition-exists-prepend
    simp: M3 get-level-cons-if
split: if-splits)
then show False
  using H'[of M3 K M1 ⟨{#Neg (A↦0), Neg (A↦1)#}⟩]
  by (auto simp: additional-constraint-def M3)
qed

lemma cdcl-dpll-bnb-r-stgy-clauses-mono:
  ⟨cdcl-dpll-bnb-r-stgy S T ⟹ clauses S ⊆ # clauses T⟩
  by (cases rule: cdcl-dpll-bnb-r-stgy.cases, assumption)
    (auto elim!: rulesE obacktrackE enc-weight-opt.improveE
      conflict-opt0E simple-backtrack-conflict-optE odecideE

```

*enc-weight-opt.obacktrackE*  
*simp: ocdcl<sub>W</sub>-o-r.simps enc-weight-opt.cdcl-bnb-bj.simps)*

**lemma** *rtrancpl-cdcl-dpll-bnb-r-stgy-clauses-mono*:  
 $\langle \text{cdcl-dpll-bnb-r-stgy}^{**} S T \implies \text{clauses } S \subseteq \# \text{ clauses } T \rangle$   
**by** (*induction rule: rtrancpl-induct*) (*auto dest!: cdcl-dpll-bnb-r-stgy-clauses-mono*)

**lemma** *cdcl-dpll-bnb-r-stgy-init-clss-eq*:  
 $\langle \text{cdcl-dpll-bnb-r-stgy } S T \implies \text{init-clss } S = \text{init-clss } T \rangle$   
**by** (*cases rule: cdcl-dpll-bnb-r-stgy.cases, assumption*)  
*(auto elim!: rulesE obacktrackE enc-weight-opt.improveE*  
*conflict-opt0E simple-backtrack-conflict-optE odecideE*  
*enc-weight-opt.obacktrackE*  
*simp: ocdcl<sub>W</sub>-o-r.simps enc-weight-opt.cdcl-bnb-bj.simps)*

**lemma** *rtrancpl-cdcl-dpll-bnb-r-stgy-init-clss-eq*:  
 $\langle \text{cdcl-dpll-bnb-r-stgy}^{**} S T \implies \text{init-clss } S = \text{init-clss } T \rangle$   
**by** (*induction rule: rtrancpl-induct*) (*auto dest!: cdcl-dpll-bnb-r-stgy-init-clss-eq*)

**context**  
**fixes** *S :: 'st and N :: 'v clauses*  
**assumes** *S-Σ: ⟨init-clss S = penc N⟩*  
**begin**

**lemma** *replacement-pos-neg-defined-same-lvl*:  
**assumes**  
*struct: ⟨cdcl<sub>W</sub>-restart-mset.cdcl<sub>W</sub>-all-struct-inv (enc-weight-opt.abs-state S)⟩ and*  
*A: ⟨A ∈ ΔΣ⟩ and*  
*lev: ⟨get-level (trail S) (Pos (replacement-pos A)) < backtrack-lvl S⟩ and*  
*smaller-propa: ⟨no-smaller-propa S⟩ and*  
*smaller-confl: ⟨cdcl-bnb-stgy-inv S⟩*  
**shows**  
 $\langle \text{Pos (replacement-pos A)} \in \text{lits-of-l (trail S)} \implies$   
 $\text{Neg (replacement-neg A)} \in \text{lits-of-l (trail S)} \rangle$   
**proof** –  
**have** *n-d: ⟨no-dup (trail S)⟩*  
**using** *struct*  
**unfolding** *cdcl<sub>W</sub>-restart-mset.cdcl<sub>W</sub>-all-struct-inv-def*  
*cdcl<sub>W</sub>-restart-mset.cdcl<sub>W</sub>-M-level-inv-def*  
**by** *auto*  
**have** *H: ⟨∧ M K M' D L.*  
*trail S = M' @ Decided K # M ⟹*  
*D + {#L#} ∈ # additional-constraint A ⟹ undefined-lit M L ⟹ ¬ M ⊨<sub>as</sub> CNot D⟩ and*  
*H': ⟨∧ M K M' D L.*  
*trail S = M' @ Decided K # M ⟹*  
*D ∈ # additional-constraint A ⟹ ¬ M ⊨<sub>as</sub> CNot D⟩*  
**using** *smaller-propa S-Σ A smaller-confl unfolding no-smaller-propa-def clauses-def penc-def*  
*additional-constraints-def cdcl-bnb-stgy-inv-def no-smaller-confl-def* **by** *fastforce+*

**show**  $\langle \text{Neg (replacement-neg A)} \in \text{lits-of-l (trail S)} \rangle$   
**if** *Pos: ⟨Pos (replacement-pos A) ∈ lits-of-l (trail S)⟩*  
**proof** –  
**obtain** *M1 M2 K* **where**  
 $\langle \text{trail S} = M2 @ \text{Decided K} \# M1 \rangle$  **and**  
 $\langle \text{Pos (replacement-pos A)} \in \text{lits-of-l M1} \rangle$

```

using lev n-d Pos by (force dest!: split-list elim!: is-decided-ex-Decided
  simp: lits-of-def count-decided-def filter-empty-conv)
then show  $\langle \text{Neg } (\text{replacement-neg } A) \in \text{lits-of-l } (\text{trail } S) \rangle$ 
using H[of M2 K M1  $\langle \{\# \text{Neg } (\text{replacement-pos } A) \# \} \rangle \langle \text{Neg } (\text{replacement-neg } A) \rangle]$ 
  H'[of M2 K M1  $\langle \{\# \text{Neg } (\text{replacement-pos } A), \text{Neg } (\text{replacement-neg } A) \# \} \rangle]$ 
by (auto simp: additional-constraint-def Decided-Propagated-in-iff-in-lits-of-l)
qed
qed

```

**lemma** replacement-pos-neg-defined-same-lvl':

```

assumes
  struct:  $\langle \text{cdcl}_W\text{-restart-mset.cdcl}_W\text{-all-struct-inv } (\text{enc-weight-opt.abs-state } S) \rangle$  and
  A:  $\langle A \in \Delta\Sigma \rangle$  and
  lev:  $\langle \text{get-level } (\text{trail } S) (\text{Pos } (\text{replacement-neg } A)) < \text{backtrack-lvl } S \rangle$  and
  smaller-propa:  $\langle \text{no-smaller-propa } S \rangle$  and
  smaller-confl:  $\langle \text{cdcl-bnb-stgy-inv } S \rangle$ 
shows
   $\langle \text{Pos } (\text{replacement-neg } A) \in \text{lits-of-l } (\text{trail } S) \implies$ 
     $\text{Neg } (\text{replacement-pos } A) \in \text{lits-of-l } (\text{trail } S) \rangle$ 
proof –
  have n-d:  $\langle \text{no-dup } (\text{trail } S) \rangle$ 
  using struct
  unfolding cdclW-restart-mset.cdclW-all-struct-inv-def
    cdclW-restart-mset.cdclW-M-level-inv-def
  by auto
  have H:  $\langle \bigwedge M K M' D L.$ 
     $\text{trail } S = M' @ \text{Decided } K \# M \implies$ 
     $D + \{\# L \# \} \in \# \text{ additional-constraint } A \implies \text{undefined-lit } M L \implies \neg M \models_{\text{as}} C\text{Not } D \rangle$  and
  H':  $\langle \bigwedge M K M' D L.$ 
     $\text{trail } S = M' @ \text{Decided } K \# M \implies$ 
     $D \in \# \text{ additional-constraint } A \implies \neg M \models_{\text{as}} C\text{Not } D \rangle$ 
  using smaller-propa S-Σ A smaller-confl unfolding no-smaller-propa-def clauses-def penc-def
    additional-constraints-def cdcl-bnb-stgy-inv-def no-smaller-confl-def by fastforce+

```

```

show  $\langle \text{Neg } (\text{replacement-pos } A) \in \text{lits-of-l } (\text{trail } S) \rangle$ 
if Pos:  $\langle \text{Pos } (\text{replacement-neg } A) \in \text{lits-of-l } (\text{trail } S) \rangle$ 
proof –
  obtain M1 M2 K where
     $\langle \text{trail } S = M2 @ \text{Decided } K \# M1 \rangle$  and
     $\langle \text{Pos } (\text{replacement-neg } A) \in \text{lits-of-l } M1 \rangle$ 
  using lev n-d Pos by (force dest!: split-list elim!: is-decided-ex-Decided
    simp: lits-of-def count-decided-def filter-empty-conv)
  then show  $\langle \text{Neg } (\text{replacement-pos } A) \in \text{lits-of-l } (\text{trail } S) \rangle$ 
  using H[of M2 K M1  $\langle \{\# \text{Neg } (\text{replacement-neg } A) \# \} \rangle \langle \text{Neg } (\text{replacement-pos } A) \rangle]$ 
    H'[of M2 K M1  $\langle \{\# \text{Neg } (\text{replacement-neg } A), \text{Neg } (\text{replacement-pos } A) \# \} \rangle]$ 
  by (auto simp: additional-constraint-def Decided-Propagated-in-iff-in-lits-of-l)
  qed
qed

```

**end**

**definition** all-new-literals ::  $\langle 'v \text{ list} \rangle$  **where**

$\langle \text{all-new-literals} = (\text{SOME } xs. \text{mset } xs = \text{mset-set } (\text{replacement-neg } ' \Delta\Sigma \cup \text{replacement-pos } ' \Delta\Sigma)) \rangle$

**lemma** *set-all-new-literals*[simp]:  
 $\langle \text{set all-new-literals} = (\text{replacement-neg } ' \Delta\Sigma \cup \text{replacement-pos } ' \Delta\Sigma) \rangle$   
**using** *finite- $\Sigma$*  **apply** (*simp add: all-new-literals-def*)  
**apply** (*metis (mono-tags) ex-mset finite-Un finite- $\Sigma$  finite-imageI finite-set-mset-mset-set set-mset-mset someI*)  
**done**

This function is basically resolving the clause with all the additional clauses  $\{\#Neg (L^{\mapsto 1}), Neg (L^{\mapsto 0})\#$ .

**fun** *resolve-with-all-new-literals* ::  $\langle 'v \text{ clause} \Rightarrow 'v \text{ list} \Rightarrow 'v \text{ clause} \rangle$  **where**  
 $\langle \text{resolve-with-all-new-literals } C [] = C \rangle |$   
 $\langle \text{resolve-with-all-new-literals } C (L \# Ls) =$   
 $\quad \text{remdups-mset } (\text{resolve-with-all-new-literals } (\text{if } Pos L \in \# C \text{ then add-mset } (Neg (\text{opposite-var } L))$   
 $(\text{removeAll-mset } (Pos L) C) \text{ else } C) Ls) \rangle$

**abbreviation** *normalize2* **where**  
 $\langle \text{normalize2 } C \equiv \text{resolve-with-all-new-literals } C \text{ all-new-literals} \rangle$

**lemma** *Neg-in-normalize2*[simp]:  $\langle Neg L \in \# C \implies Neg L \in \# \text{resolve-with-all-new-literals } C xs \rangle$   
**by** (*induction arbitrary: C rule: resolve-with-all-new-literals.induct*) *auto*

**lemma** *Pos-in-normalize2D*[dest]:  $\langle Pos L \in \# \text{resolve-with-all-new-literals } C xs \implies Pos L \in \# C \rangle$   
**by** (*induction arbitrary: C rule: resolve-with-all-new-literals.induct*) (*force split: if-splits*)+

**lemma** *opposite-var-involutive*[simp]:  
 $\langle L \in (\text{replacement-neg } ' \Delta\Sigma \cup \text{replacement-pos } ' \Delta\Sigma) \implies \text{opposite-var } (\text{opposite-var } L) = L \rangle$   
**by** (*auto simp: opposite-var-def*)

**lemma** *Neg-in-resolve-with-all-new-literals-Pos-notin*:  
 $\langle L \in (\text{replacement-neg } ' \Delta\Sigma \cup \text{replacement-pos } ' \Delta\Sigma) \implies \text{set } xs \subseteq (\text{replacement-neg } ' \Delta\Sigma \cup$   
 $\text{replacement-pos } ' \Delta\Sigma) \implies$   
 $\quad Pos (\text{opposite-var } L) \notin \# C \implies Neg L \in \# \text{resolve-with-all-new-literals } C xs \longleftrightarrow Neg L \in \# C \rangle$   
**apply** (*induction arbitrary: C rule: resolve-with-all-new-literals.induct*)  
**apply** *clarsimp+*  
**subgoal premises** *p*  
**using** *p(2-)*  
**by** (*auto simp del: Neg-in-normalize2 simp: eq-commute[of - (opposite-var -)]*)  
**done**

**lemma** *Pos-in-normalize2-Neg-notin*[simp]:  
 $\langle L \in (\text{replacement-neg } ' \Delta\Sigma \cup \text{replacement-pos } ' \Delta\Sigma) \implies$   
 $\quad Pos (\text{opposite-var } L) \notin \# C \implies Neg L \in \# \text{normalize2 } C \longleftrightarrow Neg L \in \# C \rangle$   
**by** (*rule Neg-in-resolve-with-all-new-literals-Pos-notin*) (*auto*)

**lemma** *all-negation-deleted*:  
 $\langle L \in \text{set all-new-literals} \implies Pos L \notin \# \text{normalize2 } C \rangle$   
**apply** (*induction arbitrary: C rule: resolve-with-all-new-literals.induct*)  
**subgoal by** *auto*  
**subgoal by** (*auto split: if-splits*)  
**done**

**lemma** *Pos-in-resolve-with-all-new-literals-iff-already-in-or-negation-in*:  
 $\langle L \in \text{set all-new-literals} \implies \text{set } xs \subseteq (\text{replacement-neg } ' \Delta\Sigma \cup \text{replacement-pos } ' \Delta\Sigma) \implies Neg L \in \#$   
 $\text{resolve-with-all-new-literals } C xs \implies$

```

  Neg L ∈# C ∨ Pos (opposite-var L) ∈# C
apply (induction arbitrary: C rule: resolve-with-all-new-literals.induct)
subgoal by auto
subgoal premises p for C La Ls Ca
  using p
  by (auto split: if-splits dest: simp: Neg-in-resolve-with-all-new-literals-Pos-notin)
done

```

```

lemma Pos-in-normalize2-iff-already-in-or-negation-in:
  ⟨L ∈ set all-new-literals ⟹ Neg L ∈# normalize2 C ⟹
    Neg L ∈# C ∨ Pos (opposite-var L) ∈# C⟩
using Pos-in-resolve-with-all-new-literals-iff-already-in-or-negation-in[of L ⟨all-new-literals⟩ C]
by auto

```

This proof makes it hard to measure progress because I currently do not see a way to distinguish between  $\text{add-mset } (A^{\mapsto 1}) C$  and  $\text{add-mset } (A^{\mapsto 1}) (\text{add-mset } (A^{\mapsto 0}) C)$ .

```

lemma
  assumes
    ⟨enc-weight-opt.cdcl-bnb-stgy S T⟩ and
    struct: ⟨cdclW-restart-mset.cdclW-all-struct-inv (enc-weight-opt.abs-state S)⟩ and
    dist: ⟨distinct-mset (normalize-clause '# learned-clss S)⟩ and
    smaller-propa: ⟨no-smaller-propa S⟩ and
    smaller-conf: ⟨cdcl-bnb-stgy-inv S⟩
  shows ⟨distinct-mset (remdups-mset (normalize2 '# learned-clss T))⟩
  using assms(1)
proof (cases)
  case cdcl-bnb-conflict
  then show ?thesis using dist by (auto elim!: rulesE)
next
  case cdcl-bnb-propagate
  then show ?thesis using dist by (auto elim!: rulesE)
next
  case cdcl-bnb-improve
  then show ?thesis using dist by (auto elim!: enc-weight-opt.improveE)
next
  case cdcl-bnb-conflict-opt
  then show ?thesis using dist by (auto elim!: enc-weight-opt.conflict-optE)
next
  case cdcl-bnb-other'
  then show ?thesis
proof cases
  case decide
  then show ?thesis using dist by (auto elim!: rulesE)
next
  case bj
  then show ?thesis
proof cases
  case skip
  then show ?thesis using dist by (auto elim!: rulesE)
next
  case resolve
  then show ?thesis using dist by (auto elim!: rulesE)
next
  case backtrack
  then obtain M1 M2 :: ⟨('v, 'v clause) ann-lits⟩ and K L :: ⟨'v literal⟩ and
    D D' :: ⟨'v clause⟩ where

```



```

conf: ⟨conflicting S = Some (add-mset L D)⟩ and
decomp: ⟨(Decided K # M1, M2) ∈ set (get-all-ann-decomposition (trail S))⟩ and
⟨get-maximum-level (trail S) (add-mset L D') = local.backtrack-lvl S⟩ and
⟨get-level (trail S) L = local.backtrack-lvl S⟩ and
lev-K: ⟨get-level (trail S) K = Suc (get-maximum-level (trail S) D')⟩ and
D'-D: ⟨D' ⊆# D⟩ and
⟨set-mset (clauses S) ∪ set-mset (enc-weight-opt.conflicting-clss S) ⊨p
  add-mset L D'⟩ and
T: ⟨T ∼
  cons-trail (Propagated L (add-mset L D'))
  (reduce-trail-to M1
    (add-learned-cls (add-mset L D') (update-conflicting None S)))
    by (auto simp: enc-weight-opt.obacktrack.simps)
  have
    tr-D: ⟨trail S ⊨as CNot (add-mset L D)⟩ and
    ⟨distinct-mset (add-mset L D)⟩ and
  ⟨cdclW-restart-mset.cdclW-M-level-inv (abs-state S)⟩ and
  n-d: ⟨no-dup (trail S)⟩
    using struct confl
  unfolding cdclW-restart-mset.cdclW-all-struct-inv-def
    cdclW-restart-mset.cdclW-conflicting-def
    cdclW-restart-mset.distinct-cdclW-state-def
    cdclW-restart-mset.cdclW-M-level-inv-def
  by auto
  have tr-D': ⟨trail S ⊨as CNot (add-mset L D')⟩
    using D'-D tr-D
  by (auto simp: true-annots-true-clss-def-iff-negation-in-model)
  have ⟨trail S ⊨as CNot D' ⟹ trail S ⊨as CNot (normalize2 D')⟩
    if ⟨get-maximum-level (trail S) D' < backtrack-lvl S⟩
    for D'
oops
find-theorems get-level Pos Neg

end

end
theory CDCL-W-Covering-Models
  imports CDCL-W-Optimal-Model
begin

```

## 0.2 Covering Models

I am only interested in the extension of CDCL to find covering models, not in the required subsequent extraction of the minimal covering models.

**type-synonym**  $'v$  cov =  $\langle 'v$  literal multiset multiset

**lemma** *true-clss-clss-in-subssuming*:

⟨C' ⊆# C ⟹ C' ∈ N ⟹ N ⊨<sub>p</sub> C⟩

by (metis subset-mset.le-iff-add true-clss-clss-in true-clss-clss-mono-r)

**locale** *covering-models* =

fixes

q ::  $\langle 'v \Rightarrow \text{bool} \rangle$

**begin**

**definition** *model-is-dominated* ::  $\langle 'v \text{ literal multiset} \Rightarrow 'v \text{ literal multiset} \Rightarrow \text{bool} \rangle$  **where**  
 $\langle \text{model-is-dominated } M M' \longleftrightarrow$   
 $\text{filter-mset } (\lambda L. \text{is-pos } L \wedge \varrho (\text{atm-of } L)) M \subseteq \# \text{filter-mset } (\lambda L. \text{is-pos } L \wedge \varrho (\text{atm-of } L)) M' \rangle$

**lemma** *model-is-dominated-refl*:  $\langle \text{model-is-dominated } I I \rangle$   
**by** (auto simp: model-is-dominated-def)

**lemma** *model-is-dominated-trans*:  
 $\langle \text{model-is-dominated } I J \Longrightarrow \text{model-is-dominated } J K \Longrightarrow \text{model-is-dominated } I K \rangle$   
**by** (auto simp: model-is-dominated-def)

**definition** *is-dominating* ::  $\langle 'v \text{ literal multiset multiset} \Rightarrow 'v \text{ literal multiset} \Rightarrow \text{bool} \rangle$  **where**  
 $\langle \text{is-dominating } \mathcal{M} I \longleftrightarrow (\exists M \in \# \mathcal{M}. \exists J. I \subseteq \# J \wedge \text{model-is-dominated } J M) \rangle$

**lemma**  
*is-dominating-in*:  
 $\langle I \in \# \mathcal{M} \Longrightarrow \text{is-dominating } \mathcal{M} I \rangle$  **and**  
*is-dominating-mono*:  
 $\langle \text{is-dominating } \mathcal{M} I \Longrightarrow \text{set-mset } \mathcal{M} \subseteq \text{set-mset } \mathcal{M}' \Longrightarrow \text{is-dominating } \mathcal{M}' I \rangle$  **and**  
*is-dominating-mono-model*:  
 $\langle \text{is-dominating } \mathcal{M} I \Longrightarrow I' \subseteq \# I \Longrightarrow \text{is-dominating } \mathcal{M} I' \rangle$   
**using** multiset-filter-mono[*of*  $I' I \langle \lambda L. \text{is-pos } L \wedge \varrho (\text{atm-of } L) \rangle$ ]  
**by** (auto 5 5 simp: is-dominating-def model-is-dominated-def  
dest!: multi-member-split)

**lemma** *is-dominating-add-mset*:  
 $\langle \text{is-dominating } (\text{add-mset } x \mathcal{M}) I \longleftrightarrow$   
 $\text{is-dominating } \mathcal{M} I \vee (\exists J. I \subseteq \# J \wedge \text{model-is-dominated } J x) \rangle$   
**by** (auto simp: is-dominating-def)

**definition** *is-improving-int*  
::  $\langle ('v, 'v \text{ clause}) \text{ ann-lits} \Rightarrow ('v, 'v \text{ clause}) \text{ ann-lits} \Rightarrow 'v \text{ clauses} \Rightarrow 'v \text{ cov} \Rightarrow \text{bool} \rangle$   
**where**  
 $\langle \text{is-improving-int } M M' N \mathcal{M} \longleftrightarrow$   
 $M = M' \wedge (\forall I \in \# \mathcal{M}. \neg \text{model-is-dominated } (\text{lit-of } \# \text{ mset } M) I) \wedge$   
 $\text{total-over-m } (\text{lits-of-l } M) (\text{set-mset } N) \wedge$   
 $\text{lit-of } \# \text{ mset } M \in \text{simple-clss } (\text{atms-of-mm } N) \wedge$   
 $\text{lit-of } \# \text{ mset } M \notin \# \mathcal{M} \wedge$   
 $M \models_{\text{asm}} N \wedge$   
 $\text{no-dup } M \rangle$

This criteria is a bit more general than Weidenbach's version.

**abbreviation** *conflicting-clauses-ent* **where**  
 $\langle \text{conflicting-clauses-ent } N \mathcal{M} \equiv$   
 $\{ \#p\text{Neg } \{ \#L \in \# x. \varrho (\text{atm-of } L) \# \}. \}$   
 $x \in \# \text{filter-mset } (\lambda x. \text{is-dominating } \mathcal{M} x \wedge \text{atms-of } x = \text{atms-of-mm } N)$   
 $(\text{mset-set } (\text{simple-clss } (\text{atms-of-mm } N))) \# \} + N \rangle$

**definition** *conflicting-clauses*  
::  $\langle 'v \text{ clauses} \Rightarrow 'v \text{ cov} \Rightarrow 'v \text{ clauses} \rangle$   
**where**  
 $\langle \text{conflicting-clauses } N \mathcal{M} =$   
 $\{ \#C \in \# \text{mset-set } (\text{simple-clss } (\text{atms-of-mm } N)).$   
 $\text{conflicting-clauses-ent } N \mathcal{M} \models_{\text{pm}} C \# \} \rangle$

**lemma** *conflicting-clauses-insert*:

**assumes**  $\langle M \in \text{simple-clss} (\text{atms-of-mm } N) \rangle$  **and**  $\langle \text{atms-of } M = \text{atms-of-mm } N \rangle$

**shows**  $\langle p\text{Neg } M \in \# \text{ conflicting-clauses } N (\text{add-mset } M w) \rangle$

**using** *assms true-clss-cls-in-susbsuming*[of  $\langle p\text{Neg } \{\#L \in \# M. \varrho (\text{atm-of } L) \# \} \rangle$   
 $\langle p\text{Neg } M \rangle \langle \text{set-mset} (\text{conflicting-clauses-ent } N (\text{add-mset } M w)) \rangle]$

*is-dominating-in*

**by** (*auto simp: conflicting-clauses-def simple-clss-finite*  
*pNeg-def image-mset-subseteq-mono*)

**lemma** *is-dominating-in-conflicting-clauses*:

**assumes**  $\langle \text{is-dominating } \mathcal{M} I \rangle$  **and**

*atm*:  $\langle \text{atms-of-s} (\text{set-mset } I) = \text{atms-of-mm } N \rangle$  **and**

$\langle \text{set-mset } I \models_m N \rangle$  **and**

$\langle \text{consistent-interp} (\text{set-mset } I) \rangle$  **and**

$\langle \neg \text{tautology } I \rangle$  **and**

$\langle \text{distinct-mset } I \rangle$

**shows**

$\langle p\text{Neg } I \in \# \text{ conflicting-clauses } N \mathcal{M} \rangle$

**proof** —

**have** *simpI*:  $\langle I \in \text{simple-clss} (\text{atms-of-mm } N) \rangle$

**using** *assms* **by** (*auto simp: simple-clss-def atms-of-s-def atms-of-def*)

**obtain**  $I' J$  **where**  $\langle J \in \# \mathcal{M} \rangle$  **and**  $\langle \text{model-is-dominated } I' J \rangle$  **and**  $\langle I \subseteq \# I' \rangle$

**using** *assms(1)* **unfolding** *is-dominating-def*

**by** *auto*

**then have**  $\langle I \in \{x \in \text{simple-clss} (\text{atms-of-mm } N). \langle \text{is-dominating } A x \vee (\exists Ja. x \subseteq \# Ja \wedge \text{model-is-dominated } Ja J) \rangle \wedge \text{atms-of } x = \text{atms-of-mm } N \} \rangle$

**using** *assms(1) atm*

**by** (*auto simp: conflicting-clauses-def simple-clss-finite simpI atms-of-def*  
*pNeg-mono true-clss-cls-in-susbsuming is-dominating-add-mset atms-of-s-def*  
*dest!: multi-member-split*)

**then show** *?thesis*

**using** *assms(1)*

**by** (*auto simp: conflicting-clauses-def simple-clss-finite simpI*  
*pNeg-mono is-dominating-add-mset*  
*dest!: multi-member-split*  
*intro!: true-clss-cls-in-susbsuming[of  $\langle (\lambda x. p\text{Neg } \{\#L \in \# x. \varrho (\text{atm-of } L) \# \}) I \rangle]$* )

**qed**

**end**

**locale** *conflict-driven-clause-learning<sub>W</sub>-covering-models* =

*conflict-driven-clause-learning<sub>W</sub>*

*state-eq*

*state*

— functions for the state:

— access functions:

*trail init-clss learned-clss conflicting*

— changing state:

*cons-trail tl-trail add-learned-cls remove-cls*

*update-conflicting*

— get state:

*init-state* +

*covering-models*  $\varrho$

**for**

```

state-eq :: ⟨'st ⇒ 'st ⇒ bool⟩ (infix ⟨~⟩ 50) and
state :: 'st ⇒ ('v, 'v clause) ann-lits × 'v clauses × 'v clauses × 'v clause option ×
  'v cov × 'b and
trail :: ⟨'st ⇒ ('v, 'v clause) ann-lits⟩ and
init-clss :: ⟨'st ⇒ 'v clauses⟩ and
learned-clss :: ⟨'st ⇒ 'v clauses⟩ and
conflicting :: ⟨'st ⇒ 'v clause option⟩ and

cons-trail :: ⟨('v, 'v clause) ann-lit ⇒ 'st ⇒ 'st⟩ and
tl-trail :: ⟨'st ⇒ 'st⟩ and
add-learned-clss :: ⟨'v clause ⇒ 'st ⇒ 'st⟩ and
remove-clss :: ⟨'v clause ⇒ 'st ⇒ 'st⟩ and
update-conflicting :: ⟨'v clause option ⇒ 'st ⇒ 'st⟩ and
init-state :: ⟨'v clauses ⇒ 'st⟩ and
q :: ⟨'v ⇒ bool⟩ +
fixes
  update-additional-info :: ⟨'v cov × 'b ⇒ 'st ⇒ 'st⟩
assumes
  update-additional-info:
    ⟨state S = (M, N, U, C, M) ⇒ state (update-additional-info K' S) = (M, N, U, C, K')⟩ and
  weight-init-state:
    ⟨ $\bigwedge N :: 'v \text{ clauses. } \text{fst } (\text{additional-info } (\text{init-state } N)) = \{\#\}$ ⟩
begin

definition update-weight-information :: ⟨('v, 'v clause) ann-lits ⇒ 'st ⇒ 'st⟩ where
  ⟨update-weight-information M S =
    update-additional-info (add-mset (lit-of '# mset M) (fst (additional-info S)), snd (additional-info
S)) S⟩

lemma
  trail-update-additional-info[simp]: ⟨trail (update-additional-info w S) = trail S⟩ and
  init-clss-update-additional-info[simp]:
    ⟨init-clss (update-additional-info w S) = init-clss S⟩ and
  learned-clss-update-additional-info[simp]:
    ⟨learned-clss (update-additional-info w S) = learned-clss S⟩ and
  backtrack-lvl-update-additional-info[simp]:
    ⟨backtrack-lvl (update-additional-info w S) = backtrack-lvl S⟩ and
  conflicting-update-additional-info[simp]:
    ⟨conflicting (update-additional-info w S) = conflicting S⟩ and
  clauses-update-additional-info[simp]:
    ⟨clauses (update-additional-info w S) = clauses S⟩
using update-additional-info[of S] unfolding clauses-def
by (subst (asm) state-prop; subst (asm) state-prop; auto; fail)+

lemma
  trail-update-weight-information[simp]:
    ⟨trail (update-weight-information w S) = trail S⟩ and
  init-clss-update-weight-information[simp]:
    ⟨init-clss (update-weight-information w S) = init-clss S⟩ and
  learned-clss-update-weight-information[simp]:
    ⟨learned-clss (update-weight-information w S) = learned-clss S⟩ and
  backtrack-lvl-update-weight-information[simp]:
    ⟨backtrack-lvl (update-weight-information w S) = backtrack-lvl S⟩ and
  conflicting-update-weight-information[simp]:
    ⟨conflicting (update-weight-information w S) = conflicting S⟩ and
  clauses-update-weight-information[simp]:

```

$\langle \text{clauses } (\text{update-weight-information } w \ S) = \text{clauses } S \rangle$   
**using** *update-additional-info*[of *S*] **unfolding** *update-weight-information-def* **by** *auto*

**definition** *covering* ::  $\langle 'st \Rightarrow 'v \text{ cov} \rangle$  **where**  
 $\langle \text{covering } S = \text{fst } (\text{additional-info } S) \rangle$

**lemma**

*additional-info-update-additional-info*[*simp*]:  
 $\langle \text{additional-info } (\text{update-additional-info } w \ S) = w \rangle$   
**unfolding** *additional-info-def* **using** *update-additional-info*[of *S*]  
**by** (*cases*  $\langle \text{state } S \rangle$ ; *auto*; *fail*)+

**lemma**

*covering-cons-trail2*[*simp*]:  $\langle \text{covering } (\text{cons-trail } L \ S) = \text{covering } S \rangle$  **and**  
*clss-tl-trail2*[*simp*]:  $\langle \text{covering } (\text{tl-trail } S) = \text{covering } S \rangle$  **and**  
*covering-add-learned-cls-unfolded*:  
 $\langle \text{covering } (\text{add-learned-cls } U \ S) = \text{covering } S \rangle$   
**and**  
*covering-update-conflicting2*[*simp*]:  $\langle \text{covering } (\text{update-conflicting } D \ S) = \text{covering } S \rangle$  **and**  
*covering-remove-cls2*[*simp*]:  
 $\langle \text{covering } (\text{remove-cls } C \ S) = \text{covering } S \rangle$  **and**  
*covering-add-learned-cls2*[*simp*]:  
 $\langle \text{covering } (\text{add-learned-cls } C \ S) = \text{covering } S \rangle$  **and**  
*covering-update-covering-information2*[*simp*]:  
 $\langle \text{covering } (\text{update-weight-information } M \ S) = \text{add-mset } (\text{lit-of } \# \text{ mset } M) (\text{covering } S) \rangle$   
**by** (*auto simp: update-weight-information-def covering-def*)

**sublocale** *conflict-driven-clause-learning<sub>W</sub>* **where**

*state-eq* = *state-eq* **and**  
*state* = *state* **and**  
*trail* = *trail* **and**  
*init-clss* = *init-clss* **and**  
*learned-clss* = *learned-clss* **and**  
*conflicting* = *conflicting* **and**  
*cons-trail* = *cons-trail* **and**  
*tl-trail* = *tl-trail* **and**  
*add-learned-cls* = *add-learned-cls* **and**  
*remove-cls* = *remove-cls* **and**  
*update-conflicting* = *update-conflicting* **and**  
*init-state* = *init-state*  
**by** *unfold-locales*

**sublocale** *conflict-driven-clause-learning-with-adding-init-clause-bnb<sub>W</sub>-no-state* **where**

*state* = *state* **and**  
*trail* = *trail* **and**  
*init-clss* = *init-clss* **and**  
*learned-clss* = *learned-clss* **and**  
*conflicting* = *conflicting* **and**  
*cons-trail* = *cons-trail* **and**  
*tl-trail* = *tl-trail* **and**  
*add-learned-cls* = *add-learned-cls* **and**  
*remove-cls* = *remove-cls* **and**  
*update-conflicting* = *update-conflicting* **and**

*init-state* = *init-state* **and**  
*weight* = *covering* **and**  
*update-weight-information* = *update-weight-information* **and**  
*is-improving-int* = *is-improving-int* **and**  
*conflicting-clauses* = *conflicting-clauses*  
**by** *unfold-locales*

**lemma** *state-additional-info2'*:

$\langle \text{state } S = (\text{trail } S, \text{init-clss } S, \text{learned-clss } S, \text{conflicting } S, \text{covering } S, \text{additional-info}' S) \rangle$   
**unfolding** *additional-info'-def* **by** (cases  $\langle \text{state } S \rangle$ ; auto simp: *state-prop covering-def*)

**lemma** *state-update-weight-information*:

$\langle \text{state } S = (M, N, U, C, w, \text{other}) \rangle \implies$   
 $\exists w'. \text{state } (\text{update-weight-information } T S) = (M, N, U, C, w', \text{other})$   
**unfolding** *update-weight-information-def* **by** (cases  $\langle \text{state } S \rangle$ ; auto simp: *state-prop covering-def*)

**lemma** *conflicting-clss-incl-init-clss*:

$\langle \text{atms-of-mm } (\text{conflicting-clss } S) \subseteq \text{atms-of-mm } (\text{init-clss } S) \rangle$   
**unfolding** *conflicting-clss-def conflicting-clauses-def*  
**apply** (auto simp: *simple-clss-finite*)  
**by** (auto simp: *simple-clss-def atms-of-ms-def split: if-splits*)

**lemma** *conflict-clss-update-weight-no-alien*:

$\langle \text{atms-of-mm } (\text{conflicting-clss } (\text{update-weight-information } M S))$   
 $\subseteq \text{atms-of-mm } (\text{init-clss } S) \rangle$   
**by** (auto simp: *conflicting-clss-def conflicting-clauses-def atms-of-ms-def*  
*cdcl<sub>W</sub>-restart-mset-state simple-clss-finite*  
*dest: simple-clssE*)

**lemma** *distinct-mset-mset-conflicting-clss2*:  $\langle \text{distinct-mset-mset } (\text{conflicting-clss } S) \rangle$

**unfolding** *conflicting-clss-def conflicting-clauses-def distinct-mset-set-def*  
**apply** (auto simp: *simple-clss-finite*)  
**by** (auto simp: *simple-clss-def*)

**lemma** *total-over-m-atms-incl*:

**assumes**  $\langle \text{total-over-m } M (\text{set-mset } N) \rangle$   
**shows**  
 $\langle x \in \text{atms-of-mm } N \implies x \in \text{atms-of-s } M \rangle$   
**by** (meson *assms contra-subsetD total-over-m-alt-def*)

**lemma** *negate-ann-lits-simple-clss-iff[iff]*:

$\langle \text{negate-ann-lits } M \in \text{simple-clss } N \longleftrightarrow \text{lit-of } \# \text{ mset } M \in \text{simple-clss } N \rangle$   
**unfolding** *negate-ann-lits-def*  
**by** (subst *uminus-simple-clss-iff[symmetric]*) auto

**lemma** *conflicting-clss-update-weight-information-in2*:

**assumes**  $\langle \text{is-improving } M M' S \rangle$   
**shows**  $\langle \text{negate-ann-lits } M' \in \# \text{ conflicting-clss } (\text{update-weight-information } M' S) \rangle$

**proof** –

**have**  
 $[simp]: \langle M' = M \rangle$  **and**  
 $\langle \forall I \in \# \text{covering } S. \neg \text{model-is-dominated } (\text{lit-of } \# \text{ mset } M) I \rangle$  **and**  
 $\text{tot}: \langle \text{total-over-m } (\text{lits-of-l } M) (\text{set-mset } (\text{init-clss } S)) \rangle$  **and**

*simpI*:  $\langle \text{lit-of } \# \text{ mset } M \in \text{simple-clss } (\text{atms-of-mm } (\text{init-clss } S)) \rangle$  and  
 $\langle \text{lit-of } \# \text{ mset } M \notin \# \text{ covering } S \rangle$  and  
 $\langle \text{no-dup } M \rangle$  and  
 $\langle M \models_{\text{asm}} \text{init-clss } S \rangle$   
**using** *assms unfolding is-improving-int-def by auto*  
**have**  $\langle \text{pNeg } \{ \#L \in \# \text{ lit-of } \# \text{ mset } M. \varrho (\text{atm-of } L) \# \} \in (\lambda x. \text{pNeg } \{ \#L \in \# x. \varrho (\text{atm-of } L) \# \}) \text{ ' } \{ x \in \text{simple-clss } (\text{atms-of-mm } (\text{init-clss } S)) \}. \text{is-dominating } (\text{add-mset } (\text{lit-of } \# \text{ mset } M) (\text{covering } S)) x \} \rangle$   
**using** *is-dominating-in*[of  $\langle \text{lit-of } \# \text{ mset } M \rangle$   $\langle \text{add-mset } (\text{lit-of } \# \text{ mset } M) (\text{covering } S) \rangle$ ]  
**by** (*auto simp: simple-clss-finite multiset-filter-mono2 pNeg-mono conflicting-clauses-def conflicting-clss-def is-improving-int-def simpI*)  
**moreover have**  $\langle \text{atms-of } (\text{lit-of } \# \text{ mset } M) = \text{atms-of-mm } (\text{init-clss } S) \rangle$   
**using** *tot simpI*  
**by** (*auto simp: simple-clss-finite multiset-filter-mono2 pNeg-mono conflicting-clauses-def conflicting-clss-def is-improving-int-def total-over-m-alt-def atms-of-s-def lits-of-def image-image atms-of-def simple-clss-def*)  
**ultimately have**  $\langle (\exists x. x \in \text{simple-clss } (\text{atms-of-mm } (\text{init-clss } S)) \wedge \text{is-dominating } (\text{add-mset } (\text{lit-of } \# \text{ mset } M) (\text{covering } S)) x \wedge \text{atms-of } x = \text{atms-of-mm } (\text{init-clss } S) \wedge \text{pNeg } \{ \#L \in \# \text{ lit-of } \# \text{ mset } M. \varrho (\text{atm-of } L) \# \} = \text{pNeg } \{ \#L \in \# x. \varrho (\text{atm-of } L) \# \}) \rangle$   
**by** (*auto intro: exI*[of -  $\langle \text{lit-of } \# \text{ mset } M \rangle$ ] *simp add: simpI is-dominating-in*)  
**then show** *?thesis*  
**using** *is-dominating-in true-clss-cls-in-susbsuming*[of  $\langle \text{pNeg } \{ \#L \in \# \text{ lit-of } \# \text{ mset } M. \varrho (\text{atm-of } L) \# \} \rangle$   $\langle \text{pNeg } (\text{lit-of } \# \text{ mset } M) \rangle$   $\langle \text{set-mset } (\text{conflicting-clauses-ent } (\text{init-clss } S) (\text{covering } (\text{update-weight-information } M' S))) \rangle$ ]  
**by** (*auto simp: simple-clss-finite multiset-filter-mono2 simpI conflicting-clauses-def conflicting-clss-def pNeg-mono negate-ann-lits-pNeg-lit-of image-iff image-mset-subseteq-mono*)  
**qed**

**lemma** *is-improving-conflicting-clss-update-weight-information*:  $\langle \text{is-improving } M M' S \implies \text{conflicting-clss } S \subseteq \# \text{ conflicting-clss } (\text{update-weight-information } M' S) \rangle$   
**by** (*auto simp: is-improving-int-def conflicting-clss-def conflicting-clauses-def simp: multiset-filter-mono2 le-less true-clss-cls-tautology-iff simple-clss-finite is-dominating-add-mset filter-disj-eq image-Un intro!: image-mset-subseteq-mono intro: true-clss-cls-subsetI dest: simple-clssE split: enat.splits*)

**sublocale** *state<sub>w</sub>-no-state*

**where**

*state* = *state* and  
*trail* = *trail* and  
*init-clss* = *init-clss* and  
*learned-clss* = *learned-clss* and  
*conflicting* = *conflicting* and  
*cons-trail* = *cons-trail* and  
*tl-trail* = *tl-trail* and  
*add-learned-cls* = *add-learned-cls* and  
*remove-cls* = *remove-cls* and

*update-conflicting* = *update-conflicting* **and**  
*init-state* = *init-state*  
**by** *unfold-locales*

**sublocale** *state<sub>W</sub>-no-state* **where**

*state-eq* = *state-eq* **and**  
*state* = *state* **and**  
*trail* = *trail* **and**  
*init-clss* = *init-clss* **and**  
*learned-clss* = *learned-clss* **and**  
*conflicting* = *conflicting* **and**  
*cons-trail* = *cons-trail* **and**  
*tl-trail* = *tl-trail* **and**  
*add-learned-cls* = *add-learned-cls* **and**  
*remove-cls* = *remove-cls* **and**  
*update-conflicting* = *update-conflicting* **and**  
*init-state* = *init-state*  
**by** *unfold-locales*

**sublocale** *conflict-driven-clause-learning<sub>W</sub>* **where**

*state-eq* = *state-eq* **and**  
*state* = *state* **and**  
*trail* = *trail* **and**  
*init-clss* = *init-clss* **and**  
*learned-clss* = *learned-clss* **and**  
*conflicting* = *conflicting* **and**  
*cons-trail* = *cons-trail* **and**  
*tl-trail* = *tl-trail* **and**  
*add-learned-cls* = *add-learned-cls* **and**  
*remove-cls* = *remove-cls* **and**  
*update-conflicting* = *update-conflicting* **and**  
*init-state* = *init-state*  
**by** *unfold-locales*

**sublocale** *conflict-driven-clause-learning-with-adding-init-clause-bnb<sub>W</sub>-ops*

**where**

*state* = *state* **and**  
*trail* = *trail* **and**  
*init-clss* = *init-clss* **and**  
*learned-clss* = *learned-clss* **and**  
*conflicting* = *conflicting* **and**  
*cons-trail* = *cons-trail* **and**  
*tl-trail* = *tl-trail* **and**  
*add-learned-cls* = *add-learned-cls* **and**  
*remove-cls* = *remove-cls* **and**  
*update-conflicting* = *update-conflicting* **and**  
*init-state* = *init-state* **and**  
*weight* = *covering* **and**  
*update-weight-information* = *update-weight-information* **and**  
*is-improving-int* = *is-improving-int* **and**  
*conflicting-clauses* = *conflicting-clauses*

**apply** *unfold-locales*

**subgoal** **by** (*rule state-additional-info2*)

**subgoal** **by** (*rule state-update-weight-information*)

**subgoal** **by** (*rule conflicting-clss-incl-init-clss*)

**subgoal** **by** (*rule distinct-mset-mset-conflicting-clss2*)



**subgoal by** (*rule is-improving-conflicting-clss-update-weight-information*)  
**subgoal by** (*rule conflicting-clss-update-weight-information-in2*)  
**done**

**definition** *covering-simple-clss* **where**

$\langle \text{covering-simple-clss } N \ S \longleftrightarrow (\text{set-mset } (\text{covering } S) \subseteq \text{simple-clss } (\text{atms-of-mm } N)) \wedge$   
 $\text{distinct-mset } (\text{covering } S) \wedge$   
 $(\forall M \in \# \text{ covering } S. \text{total-over-m } (\text{set-mset } M) (\text{set-mset } N)) \rangle$

**lemma** [*simp*]:  $\langle \text{covering } (\text{init-state } N) = \{\#\} \rangle$   
**by** (*simp add: covering-def weight-init-state*)

**lemma**  $\langle \text{covering-simple-clss } N \ (\text{init-state } N) \rangle$   
**by** (*auto simp: covering-simple-clss-def*)

**lemma** *cdcl-bnb-covering-simple-clss*:

$\langle \text{cdcl-bnb } S \ T \Longrightarrow \text{init-clss } S = N \Longrightarrow \text{covering-simple-clss } N \ S \Longrightarrow \text{covering-simple-clss } N \ T \rangle$   
**by** (*auto simp: cdcl-bnb.simps covering-simple-clss-def is-improving-int-def*  
*model-is-dominated-refl ocdcl<sub>W</sub>-o.simps cdcl-bnb-bj.simps*  
*lits-of-def*  
*elim!: rulesE improveE conflict-optE obacktrackE*  
*dest!: multi-member-split[of -  $\langle \text{covering } S \rangle$ ]*)

**lemma** *rtranclp-cdcl-bnb-covering-simple-clss*:

$\langle \text{cdcl-bnb}^{**} \ S \ T \Longrightarrow \text{init-clss } S = N \Longrightarrow \text{covering-simple-clss } N \ S \Longrightarrow \text{covering-simple-clss } N \ T \rangle$   
**by** (*induction rule: rtranclp-induct*)  
*(auto simp: cdcl-bnb-covering-simple-clss simp: rtranclp-cdcl-bnb-no-more-init-clss*  
*cdcl-bnb-no-more-init-clss)*

**lemma** *wf-cdcl-bnb-fixed*:

$\langle \text{wf } \{(T, S). \text{cdcl}_W\text{-restart-mset.cdcl}_W\text{-all-struct-inv } (\text{abs-state } S) \wedge \text{cdcl-bnb } S \ T$   
 $\wedge \text{covering-simple-clss } N \ S \wedge \text{init-clss } S = N\} \rangle$   
**apply** (*rule wf-cdcl-bnb-with-additional-inv[of*  
 $\langle \text{covering-simple-clss } N \rangle$   
 $N \text{ id } \{(T, S). (T, S) \in \{(\mathcal{M}', \mathcal{M}). \mathcal{M} \subset \# \mathcal{M}' \wedge \text{distinct-mset } \mathcal{M}'$   
 $\wedge \text{set-mset } \mathcal{M}' \subseteq \text{simple-clss } (\text{atms-of-mm } N)\}\}\}$ *]*)

**subgoal**

**by** (*auto simp: improvep.simps is-improving-int-def covering-simple-clss-def*  
*add-mset-eq-add-mset model-is-dominated-refl*  
*dest!: multi-member-split*)

**subgoal**

**apply** (*rule wf-bounded-set[of -  $\langle \lambda -. \text{simple-clss } (\text{atms-of-mm } N) \rangle \text{ set-mset} \rangle$* )  
**apply** (*auto simp: distinct-mset-subset-iff-remdups[symmetric] simple-clss-finite*  
*simp flip: remdups-mset-def*)  
**by** (*metis distinct-mset-mono distinct-mset-set-mset-ident*)

**subgoal**

**by** (*rule cdcl-bnb-covering-simple-clss*)

**done**

**lemma** *can-always-improve*:

**assumes**

*ent:  $\langle \text{trail } S \models \text{asm clauses } S \rangle$  and*  
*total:  $\langle \text{total-over-m } (\text{lits-of-l } (\text{trail } S)) (\text{set-mset } (\text{clauses } S)) \rangle$  and*  
*n-s:  $\langle \text{no-step conflict-opt } S \rangle$  and*  
*confl:  $\langle \text{conflicting } S = \text{None} \rangle$  and*

```

    all-struct: ⟨cdclW-restart-mset.cdclW-all-struct-inv (abs-state S)⟩
  shows ⟨Ex (improvep S)⟩
proof -
  have ⟨cdclW-restart-mset.cdclW-M-level-inv (abs-state S)⟩ and
    alien: ⟨cdclW-restart-mset.no-strange-atm (abs-state S)⟩
  using all-struct
  unfolding cdclW-restart-mset.cdclW-all-struct-inv-def
  by fast+
  then have n-d: ⟨no-dup (trail S)⟩
  unfolding cdclW-restart-mset.cdclW-M-level-inv-def
  by auto
  have [simp]:
    ⟨atms-of-mm (CDCL-W-Abstract-State.init-clss (abs-state S)) = atms-of-mm (init-clss S)⟩
  unfolding abs-state-def init-clss.simps
  by auto
  let ?M = ⟨lit-of ‘# mset (trail S)⟩
  have trail-simple: ⟨?M ∈ simple-clss (atms-of-mm (init-clss S))⟩
  using n-d alien
  by (auto simp: simple-clss-def cdclW-restart-mset.no-strange-atm-def
    lits-of-def image-image atms-of-def
    dest: distinct-consistent-interp no-dup-not-tautology
    no-dup-distinct)
  then have [simp]: ⟨atms-of ?M = atms-of-mm (init-clss S)⟩
  using total
  by (auto simp: total-over-m-alt-def simple-clss-def atms-of-def image-image
    lits-of-def atms-of-s-def clauses-def)
  then have K: ⟨is-dominating (covering S) ?M ⟹ pNeg {#L ∈# lit-of ‘# mset (trail S). ρ (atm-of
L) #}
    ∈ (λx. pNeg {#L ∈# x. ρ (atm-of L) #}) ‘
    {x ∈ simple-clss (atms-of-mm (init-clss S)).
      is-dominating (covering S) x ∧
      atms-of x = atms-of-mm (init-clss S)}⟩
  by (auto simp: image-iff trail-simple
    intro!: exI[of - ⟨lit-of ‘# mset (trail S)⟩])
  have H: ⟨I ∈# covering S ⟹
    model-is-dominated ?M I ⟹
    pNeg {#L ∈# ?M. ρ (atm-of L) #}
    ∈ (λx. pNeg {#L ∈# x. ρ (atm-of L) #}) ‘
    {x ∈ simple-clss (atms-of-mm (init-clss S)).
      is-dominating (covering S) x}⟩ for I
  using is-dominating-in[of ⟨lit-of ‘# mset M⟩ ⟨add-mset (lit-of ‘# mset M) (covering S)⟩]
    trail-simple
  by (auto 5 5 simp: simple-clss-finite multiset-filter-mono2 pNeg-mono
    conflicting-clauses-def conflicting-clss-def is-improving-int-def
    is-dominating-add-mset filter-disj-eq image-Un
    dest!: multi-member-split)
  have ⟨I ∈# covering S ⟹
    model-is-dominated ?M I ⟹ False⟩ for I
  using n-s confl H[of I] K
  true-clss-cls-in-susbsuming[of ⟨pNeg {#L ∈# ?M. ρ (atm-of L) #}⟩
    ⟨pNeg ?M⟩ ⟨set-mset (conflicting-clauses-ent
      (init-clss S) (covering S))⟩]
  by (auto simp: conflict-opt.simps simple-clss-finite
    conflicting-clss-def conflicting-clauses-def is-dominating-def
    is-dominating-add-mset filter-disj-eq image-Un pNeg-mono
    multiset-filter-mono2 negate-ann-lits-pNeg-lit-of)

```

*intro: trail-simple)*  
**moreover have** *False if*  $\langle \text{lit-of } \# \text{ mset } (\text{trail } S) \in \# \text{ covering } S \rangle$   
**using** *n-s confl that trail-simple by*  $(\text{auto simp: conflict-opt.simps}$   
*conflicting-clauses-insert conflicting-clss-def simple-clss-finite*  
*negate-ann-lits-pNeg-lit-of*  
*dest!: multi-member-split)*  
**ultimately have** *imp: is-improving*  $(\text{trail } S) (\text{trail } S) S \rangle$   
**unfolding** *is-improving-int-def*  
**using** *assms trail-simple n-d by*  $(\text{auto simp: clauses-def})$   
**show** *?thesis*  
**by**  $(\text{rule exI}) (\text{rule improvep.intros}[\text{OF imp confl state-eq-ref}])$   
**qed**

**lemma** *exists-model-with-true-lit-entails-conflicting:*

**assumes**

*L-I:*  $\langle \text{Pos } L \in I \rangle$  **and**

*L:*  $\langle \varrho L \rangle$  **and**

*L-in:*  $\langle L \in \text{atms-of-mm } (\text{init-clss } S) \rangle$  **and**

*ent:*  $\langle I \models_m \text{init-clss } S \rangle$  **and**

*cons:*  $\langle \text{consistent-interp } I \rangle$  **and**

*total:*  $\langle \text{total-over-m } I (\text{set-mset } N) \rangle$  **and**

*no-L:*  $\langle \neg(\exists J \in \# \text{ covering } S. \text{Pos } L \in \# J) \rangle$  **and**

*cov:*  $\langle \text{covering-simple-clss } N S \rangle$  **and**

*NS:*  $\langle \text{atms-of-mm } N = \text{atms-of-mm } (\text{init-clss } S) \rangle$

**shows**  $\langle I \models_m \text{conflicting-clss } S \rangle$  **and**

$\langle I \models_m \text{CDCL-W-Abstract-State.init-clss } (\text{abs-state } S) \rangle$

**proof** –

**show**  $\langle I \models_m \text{conflicting-clss } S \rangle$

**unfolding** *conflicting-clss-def conflicting-clauses-def*

*set-mset-filter true-clss-mset-def*

**proof**

**fix** *C*

**assume**  $\langle C \in \{a. a \in \# \text{mset-set } (\text{simple-clss } (\text{atms-of-mm } (\text{init-clss } S))) \} \wedge$

$\{\#p\text{Neg } \{\#L \in \# x. \varrho (\text{atm-of } L)\#\}.$

$x \in \# \{\#x \in \# \text{mset-set } (\text{simple-clss } (\text{atms-of-mm } (\text{init-clss } S)))\}.$

$\text{is-dominating } (\text{covering } S) x \wedge$

$\text{atms-of } x = \text{atms-of-mm } (\text{init-clss } S)\#\#\} +$

$\text{init-clss } S \models_{pm}$

$a \rangle$

**then have** *simp-C:*  $\langle C \in \text{simple-clss } (\text{atms-of-mm } (\text{init-clss } S)) \rangle$  **and**

*ent-C:*  $\langle (\lambda x. p\text{Neg } \{\#L \in \# x. \varrho (\text{atm-of } L)\#\}) \langle$

$\{x \in \text{simple-clss } (\text{atms-of-mm } (\text{init-clss } S)). \text{is-dominating } (\text{covering } S) x \wedge$

$\text{atms-of } x = \text{atms-of-mm } (\text{init-clss } S)\#\} \cup$

$\text{set-mset } (\text{init-clss } S) \models_p C \rangle$

**by**  $(\text{auto simp: simple-clss-finite})$

**have** *tot-I2:*  $\langle \text{total-over-m } I$

$((\lambda x. p\text{Neg } \{\#L \in \# x. \varrho (\text{atm-of } L)\#\}) \langle$

$\{x \in \text{simple-clss } (\text{atms-of-mm } (\text{init-clss } S)).$

$\text{is-dominating } (\text{covering } S) x \wedge$

$\text{atms-of } x = \text{atms-of-mm } (\text{init-clss } S)\#\} \cup$

$\text{set-mset } (\text{init-clss } S) \cup$

$\{C\} \rangle \longleftrightarrow \text{total-over-m } I (\text{set-mset } N) \rangle$  **for** *I*

**using** *simp-C NS[symmetric]*

**by**  $(\text{auto simp: total-over-m-def total-over-set-def}$

*simple-clss-def atms-of-ms-def atms-of-def pNeg-def*

*dest!: multi-member-split)*

**have**  $\langle I \models s (\lambda x. pNeg \{ \#L \in \# x. \varrho (atm-of L) \# \}) \rangle$  ‘  
 $\{ x \in simple-clss (atms-of-mm (init-clss S)). is-dominating (covering S) x \wedge$   
 $atms-of x = atms-of-mm (init-clss S) \}$   
**unfolding**  $NS[symmetric]$   
 $total-over-m-alt-def true-clss-def$   
**proof**  
**fix**  $D$   
**assume**  $\langle D \in (\lambda x. pNeg \{ \#L \in \# x. \varrho (atm-of L) \# \}) \rangle$  ‘  
 $\{ x \in simple-clss (atms-of-mm N). is-dominating (covering S) x \wedge$   
 $atms-of x = atms-of-mm N \}$   
**then obtain**  $x$  **where**  
 $D: \langle D = pNeg \{ \#L \in \# x. \varrho (atm-of L) \# \} \rangle$  **and**  
 $x: \langle x \in simple-clss (atms-of-mm N) \rangle$  **and**  
 $dom: \langle is-dominating (covering S) x \rangle$  **and**  
 $tot-x: \langle atms-of x = atms-of-mm N \rangle$   
**by**  $auto$   
**then have**  $\langle L \in atms-of x \rangle$   
**using**  $cov L-in no-L$   
**unfolding**  $NS[symmetric]$   
**by**  $(auto simp: true-clss-def is-dominating-def model-is-dominated-def$   
 $covering-simple-clss-def atms-of-def pNeg-def image-image$   
 $total-over-m-alt-def atms-of-s-def$   
 $dest!: multi-member-split)$   
**then have**  $\langle Neg L \in \# x \rangle$   
**using**  $no-L dom L$  **unfolding**  $atm-iff-pos-or-neg-lit$   
**by**  $(auto simp: is-dominating-def model-is-dominated-def insert-subset-eq-iff$   
 $dest!: multi-member-split)$   
**then have**  $\langle Pos L \in \# D \rangle$   
**using**  $L$   
**by**  $(auto simp: pNeg-def image-image D image-iff$   
 $dest!: multi-member-split)$   
**then show**  $\langle I \models D \rangle$   
**using**  $L-I$  **by**  $(auto dest: multi-member-split)$   
**qed**  
**then show**  $\langle I \models C \rangle$   
**using**  $total cons ent-C ent$   
**unfolding**  $true-clss-cls-def tot-I2$   
**by**  $auto$   
**qed**  
**then show**  $I-S: \langle I \models_m CDCL-W-Abstract-State.init-clss (abs-state S) \rangle$   
**using**  $ent$   
**by**  $(auto simp: abs-state-def init-clss.simps)$   
**qed**

**lemma** *exists-model-with-true-lit-still-model:*

**assumes**  
 $L-I: \langle Pos L \in I \rangle$  **and**  
 $L: \langle \varrho L \rangle$  **and**  
 $L-in: \langle L \in atms-of-mm (init-clss S) \rangle$  **and**  
 $ent: \langle I \models_m init-clss S \rangle$  **and**  
 $cons: \langle consistent-interp I \rangle$  **and**  
 $total: \langle total-over-m I (set-mset N) \rangle$  **and**  
 $cdcl: \langle cdcl-bnb S T \rangle$  **and**  
 $no-L-T: \langle \neg (\exists J \in \# covering T. Pos L \in \# J) \rangle$  **and**  
 $cov: \langle covering-simple-clss N S \rangle$  **and**  
 $NS: \langle atms-of-mm N = atms-of-mm (init-clss S) \rangle$

shows  $\langle I \models_m \text{CDCL-}W\text{-Abstract-State.init-clss (abs-state } T) \rangle$

**proof** –

**have**  $\text{no-}L$ :  $\langle \neg(\exists J \in \# \text{ covering } S. \text{ Pos } L \in \# J) \rangle$

**using**  $\text{cdcl no-}L\text{-}T$

**by** (cases) (auto elim!: rulesE improveE conflict-optE obacktrackE  
simp: ocdcl<sub>W</sub>-o.simps cdcl-bnb-bj.simps)

**have**  $I\text{-}S$ :  $\langle I \models_m \text{CDCL-}W\text{-Abstract-State.init-clss (abs-state } S) \rangle$

**by** (rule exists-model-with-true-lit-entails-conflicting[OF assms(1–6) no- $L$  assms(9) NS])

**have**  $I\text{-}T'$ :  $\langle I \models_m \text{conflicting-clss (update-weight-information } M' S) \rangle$

**if**  $T$ :  $\langle T \sim \text{update-weight-information } M' S \rangle$  **for**  $M'$

**unfolding** conflicting-clss-def conflicting-clauses-def  
set-mset-filter true-clss-mset-def

**proof**

**let**  $?T = \langle \text{update-weight-information } M' S \rangle$

**fix**  $C$

**assume**  $\langle C \in \{a. a \in \# \text{ mset-set (simple-clss (atms-of-mm (init-clss ?T)))} \} \wedge$   
 $\{ \#p\text{Neg } \{ \#L \in \# x. \varrho (\text{atm-of } L) \# \} \}.$   
 $x \in \# \{ \#x \in \# \text{ mset-set (simple-clss (atms-of-mm (init-clss ?T)))} \}.$   
 $\text{is-dominating (covering ?} T) x \wedge$   
 $\text{atms-of } x = \text{atms-of-mm (init-clss ?} T) \# \# \} +$   
 $\text{init-clss ?} T \models_{pm}$   
 $a \rangle$

**then have**  $\text{simp-}C$ :  $\langle C \in \text{simple-clss (atms-of-mm (init-clss ?} T) \rangle$  **and**

$\text{ent-}C$ :  $\langle (\lambda x. p\text{Neg } \{ \#L \in \# x. \varrho (\text{atm-of } L) \# \}) ' \langle$   
 $\{ x \in \text{simple-clss (atms-of-mm (init-clss ?} T) \}. \text{is-dominating (covering ?} T) x \wedge$   
 $\text{atms-of } x = \text{atms-of-mm (init-clss ?} T) \} \cup$   
 $\text{set-mset (init-clss ?} T) \models_p C \rangle$

**by** (auto simp: simple-clss-finite)

**have**  $\text{tot-}I2$ :  $\langle \text{total-over-m } I$   
 $(\langle (\lambda x. p\text{Neg } \{ \#L \in \# x. \varrho (\text{atm-of } L) \# \}) ' \langle$   
 $\{ x \in \text{simple-clss (atms-of-mm (init-clss ?} T) \}. \text{is-dominating (covering ?} T) x \wedge$   
 $\text{atms-of } x = \text{atms-of-mm (init-clss ?} T) \} \cup$   
 $\text{set-mset (init-clss ?} T) \cup$   
 $\{ C \} \rangle \longleftrightarrow \text{total-over-m } I (\text{set-mset } N) \rangle$  **for**  $I$

**using**  $\text{simp-}C$  NS[symmetric]

**by** (auto simp: total-over-m-def total-over-set-def  
simple-clss-def atms-of-ms-def atms-of-def pNeg-def

$\text{dest!}.$  multi-member-split)

**have**  $H$ :  $\langle \text{atms-of-mm (init-clss (update-weight-information } M' S)) = \text{atms-of-mm } N \rangle$

**by** (auto simp: NS)

**have**  $\langle I \models_s (\lambda x. p\text{Neg } \{ \#L \in \# x. \varrho (\text{atm-of } L) \# \}) ' \langle$   
 $\{ x \in \text{simple-clss (atms-of-mm (init-clss ?} T) \}. \text{is-dominating (covering ?} T) x \wedge$   
 $\text{atms-of } x = \text{atms-of-mm (init-clss ?} T) \} \rangle$

**unfolding** NS[symmetric]  $H$

$\text{total-over-m-alt-def true-clss-def}$

**proof**

**fix**  $D$

**assume**  $\langle D \in (\lambda x. p\text{Neg } \{ \#L \in \# x. \varrho (\text{atm-of } L) \# \}) ' \langle$   
 $\{ x \in \text{simple-clss (atms-of-mm } N) \}. \text{is-dominating (covering ?} T) x \wedge$   
 $\text{atms-of } x = \text{atms-of-mm } N \} \rangle$

**then obtain**  $x$  **where**

$D$ :  $\langle D = p\text{Neg } \{ \#L \in \# x. \varrho (\text{atm-of } L) \# \} \rangle$  **and**

$x$ :  $\langle x \in \text{simple-clss (atms-of-mm } N) \rangle$  **and**

$\text{dom}$ :  $\langle \text{is-dominating (covering ?} T) x \rangle$  **and**

$\text{tot-}x$ :  $\langle \text{atms-of } x = \text{atms-of-mm } N \rangle$

```

    by auto
  then have  $\langle L \in \text{atms-of } x \rangle$ 
    using cov L-in no-L
unfolding NS[symmetric]
  by (auto simp: true-clss-def is-dominating-def model-is-dominated-def
    covering-simple-clss-def atms-of-def pNeg-def image-image
    total-over-m-alt-def atms-of-s-def
    dest!: multi-member-split)
  then have  $\langle \text{Neg } L \in \# x \rangle$ 
    using no-L-T dom L T unfolding atm-iff-pos-or-neg-lit
by (auto simp: is-dominating-def model-is-dominated-def insert-subset-eq-iff
  dest!: multi-member-split)
  then have  $\langle \text{Pos } L \in \# D \rangle$ 
    using L
  by (auto simp: pNeg-def image-image D image-iff
    dest!: multi-member-split)
  then show  $\langle I \models D \rangle$ 
    using L-I by (auto dest: multi-member-split)
qed
then show  $\langle I \models C \rangle$ 
  using total cons ent-C ent
  unfolding true-clss-clss-def tot-I2
  by auto
qed
show ?thesis
  using cdcl
proof (cases)
  case cdcl-conflict
  then show ?thesis using I-S by (auto elim!: conflictE)
next
  case cdcl-propagate
  then show ?thesis using I-S by (auto elim!: rulesE)
next
  case cdcl-improve
  show ?thesis
    using I-S cdcl-improve I-T'
    by (auto simp: abs-state-def init-clss.simps
      elim!: improveE)
next
  case cdcl-conflict-opt
  then show ?thesis using I-S by (auto elim!: conflict-optE)
next
  case cdcl-other'
  then show ?thesis using I-S by (auto elim!: rulesE obacktrackE simp: ocdclW-o.simps cdcl-bnb-bj.simps)
qed
qed

```

**lemma** *rtrancp-exists-model-with-true-lit-still-model:*

```

assumes
  L-I:  $\langle \text{Pos } L \in I \rangle$  and
  L:  $\langle \varrho L \rangle$  and
  L-in:  $\langle L \in \text{atms-of-mm } (\text{init-clss } S) \rangle$  and
  ent:  $\langle I \models^m \text{init-clss } S \rangle$  and
  cons:  $\langle \text{consistent-interp } I \rangle$  and
  total:  $\langle \text{total-over-m } I \text{ (set-mset } N) \rangle$  and
  cdcl:  $\langle \text{cdcl-bnb}^{**} S T \rangle$  and

```

```

  cov: ⟨covering-simple-clss N S⟩ and
  ⟨N = init-clss S⟩
shows ⟨I ⊨m CDCL-W-Abstract-State.init-clss (abs-state T) ∨ (∃ J ∈ # covering T. Pos L ∈ # J)⟩
using cdcl assms
apply (induction rule: rtranclp-induct)
subgoal using exists-model-with-true-lit-entails-conflicting[of L I S N]
  by auto
subgoal for T U
  apply (rule disjCI)
  apply (rule exists-model-with-true-lit-still-model[OF L-I L - - cons total, of T U])
  by (auto dest: rtranclp-cdcl-bnb-no-more-init-clss
    intro: rtranclp-cdcl-bnb-covering-simple-clss cdcl-bnb-covering-simple-clss)
done

lemma is-dominating-nil[simp]: ⟨¬is-dominating {#} x⟩
  by (auto simp: is-dominating-def)

lemma atms-of-conflicting-clss-init-state:
  ⟨atms-of-mm (conflicting-clss (init-state N)) ⊆ atms-of-mm N⟩
  by (auto simp: conflicting-clss-def conflicting-clauses-def
    atms-of-ms-def simple-clss-finite
    dest!: simple-clssE)

lemma no-step-cdcl-bnb-stgy-empty-conflict2:
  assumes
    n-s: ⟨no-step cdcl-bnb S⟩ and
    all-struct: ⟨cdclW-restart-mset.cdclW-all-struct-inv (abs-state S)⟩ and
    stgy-inv: ⟨cdcl-bnb-stgy-inv S⟩
  shows ⟨conflicting S = Some {#}⟩
  by (rule no-step-cdcl-bnb-stgy-empty-conflict[OF can-always-improve assms])

theorem cdclcm-correctness:
  assumes
    full: ⟨full cdcl-bnb-stgy (init-state N) T⟩ and
    dist: ⟨distinct-mset-mset N⟩
  shows
    ⟨Pos L ∈ I ⟹ ϱ L ⟹ L ∈ atms-of-mm N ⟹ total-over-m I (set-mset N) ⟹ consistent-interp
    I ⟹ I ⊨m N ⟹
    ∃ J ∈ # covering T. Pos L ∈ # J⟩
proof -
  let ?S = ⟨init-state N⟩
  have ns: ⟨no-step cdcl-bnb-stgy T⟩ and
    st: ⟨cdcl-bnb-stgy** ?S T⟩ and
    st': ⟨cdcl-bnb** ?S T⟩
  using full unfolding full-def by (auto intro: rtranclp-cdcl-bnb-stgy-cdcl-bnb)
  have ns': ⟨no-step cdcl-bnb T⟩
  by (meson cdcl-bnb.cases cdcl-bnb-stgy.simps no-confl-prop-impr.elims(3) ns)

  have ⟨distinct-mset C⟩ if ⟨C ∈ # N⟩ for C
    using dist that by (auto simp: distinct-mset-set-def dest: multi-member-split)
  then have dist: ⟨distinct-mset-mset (N)⟩
  by (auto simp: distinct-mset-set-def)
  then have [simp]: ⟨cdclW-restart-mset.cdclW-all-struct-inv ([], N, {#}, None)⟩
  unfolding init-state.simps[symmetric]
  by (auto simp: cdclW-restart-mset.cdclW-all-struct-inv-def)

```

```

have [iff]: ⟨cdcl-bnb-struct-invs ?S⟩
  using atms-of-conflicting-clss-init-state[of N]
  by (auto simp: cdcl-bnb-struct-invs-def)
have stgy-inv: ⟨cdcl-bnb-stgy-inv ?S⟩
  by (auto simp: cdcl-bnb-stgy-inv-def conflict-is-false-with-level-def)
have ent: ⟨cdclW-restart-mset.cdclW-learned-clauses-entailed-by-init (abs-state ?S)⟩
  by (auto simp: cdclW-restart-mset.cdclW-learned-clauses-entailed-by-init-def)
have all-struct: ⟨cdclW-restart-mset.cdclW-all-struct-inv (abs-state (init-state N))⟩
  unfolding CDCL-W-Abstract-State.init-state.simps abs-state-def
  by (auto simp: cdclW-restart-mset.cdclW-all-struct-inv-def dist
    cdclW-restart-mset.no-strange-atm-def cdclW-restart-mset-state
    cdclW-restart-mset.cdclW-M-level-inv-def
    cdclW-restart-mset.distinct-cdclW-state-def
    cdclW-restart-mset.cdclW-conflicting-def distinct-mset-mset-conflicting-clss
    cdclW-restart-mset.cdclW-learned-clause-alt-def)
have cdcl: ⟨cdcl-bnb** ?S T⟩
  using st rtranclp-cdcl-bnb-stgy-cdcl-bnb unfolding full-def by blast
have cov: ⟨covering-simple-clss N ?S⟩
  by (auto simp: covering-simple-clss-def)

have struct-T: ⟨cdclW-restart-mset.cdclW-all-struct-inv (abs-state T)⟩
  using rtranclp-cdcl-bnb-stgy-all-struct-inv[OF st' all-struct] .
have stgy-T: ⟨cdcl-bnb-stgy-inv T⟩
  using rtranclp-cdcl-bnb-stgy-stgy-inv[OF st all-struct stgy-inv] .
have confl: ⟨conflicting T = Some {#}⟩
  using no-step-cdcl-bnb-stgy-empty-conflict2[OF ns' struct-T stgy-T] .
have tot-I: ⟨total-over-m I (set-mset (clauses T + conflicting-clss T)) ⟷
  total-over-m I (set-mset (init-clss T + conflicting-clss T))⟩ for I
  using struct-T atms-of-conflicting-clss[of T]
  unfolding cdclW-restart-mset.cdclW-all-struct-inv-def
    cdclW-restart-mset.cdclW-learned-clause-alt-def satisfiable-def
    cdclW-restart-mset.no-strange-atm-def
  by (auto simp: clauses-def satisfiable-def total-over-m-alt-def
    abs-state-def cdclW-restart-mset-state
    cdclW-restart-mset.clauses-def)
have ⟨unsatisfiable (set-mset (clauses T + conflicting-clss T))⟩
  using full-cdcl-bnb-stgy-unsat[OF - full all-struct - stgy-inv]
  by (auto simp: can-always-improve)
have ⟨cdclW-restart-mset.cdclW-learned-clauses-entailed-by-init
  (abs-state T)⟩
  using rtranclp-cdcl-bnb-cdclW-learned-clauses-entailed-by-init[OF st' ent all-struct] .
then have ⟨init-clss T + conflicting-clss T ⊨pm {#}⟩
  using struct-T confl
  unfolding cdclW-restart-mset.cdclW-all-struct-inv-def
    cdclW-restart-mset.cdclW-learned-clause-alt-def
    cdclW-restart-mset.no-strange-atm-def tot-I
    cdclW-restart-mset.cdclW-learned-clauses-entailed-by-init-def
  by (auto simp: clauses-def abs-state-def cdclW-restart-mset-state
    cdclW-restart-mset.clauses-def
    satisfiable-def dest: true-clss-clss-left-right)
then have unsat: ⟨unsatisfiable (set-mset (init-clss T + conflicting-clss T))⟩
  by (auto simp: clauses-def true-clss-clss-def
    satisfiable-def)

```

```

assume
  L-I: ⟨Pos L ∈ I⟩ and

```



**L:**  $\langle \varrho \ L \rangle$  **and**  
**L-N:**  $\langle L \in \text{atms-of-mm } N \rangle$  **and**  
**tot-I:**  $\langle \text{total-over-m } I \ (\text{set-mset } N) \rangle$  **and**  
**cons:**  $\langle \text{consistent-interp } I \rangle$  **and**  
**I-N:**  $\langle I \models_m N \rangle$   
**show**  $\langle \text{Multiset.Bex } (\text{covering } T) \ ((\in\#) \ (\text{Pos } L)) \rangle$   
**using**  $\text{rtrncpl-exists-model-with-true-lit-still-model}[OF \ L-I \ L \ - \ - \ - \ \text{cdcl}, \text{ of } N] \ L-N$   
 $I-N \ \text{tot-I} \ \text{cons} \ \text{cov} \ \text{unsat}$   
**by**  $(\text{auto simp: abs-state-def cdcl}_W\text{-restart-mset-state})$   
**qed**

**end**

Now we instantiate the previous with  $\lambda\cdot. \text{True}$ : This means that we aim at making all variables that appears at least ones true.

**global-interpretation**  $\text{cover-all-vars: covering-models } \langle \lambda\cdot. \text{True} \rangle$

.

**context**  $\text{conflict-driven-clause-learning}_W\text{-covering-models}$   
**begin**

**interpretation**  $\text{cover-all-vars: conflict-driven-clause-learning}_W\text{-covering-models}$  **where**

$\varrho = \langle \lambda\cdot::'v. \text{True} \rangle$  **and**  
 $\text{state} = \text{state}$  **and**  
 $\text{trail} = \text{trail}$  **and**  
 $\text{init-clss} = \text{init-clss}$  **and**  
 $\text{learned-clss} = \text{learned-clss}$  **and**  
 $\text{conflicting} = \text{conflicting}$  **and**  
 $\text{cons-trail} = \text{cons-trail}$  **and**  
 $\text{tl-trail} = \text{tl-trail}$  **and**  
 $\text{add-learned-clss} = \text{add-learned-clss}$  **and**  
 $\text{remove-clss} = \text{remove-clss}$  **and**  
 $\text{update-conflicting} = \text{update-conflicting}$  **and**  
 $\text{init-state} = \text{init-state}$   
**by**  $\text{standard}$

**lemma**

$\langle \text{cover-all-vars.model-is-dominated } M \ M' \longleftrightarrow$   
 $\text{filter-mset } (\lambda L. \text{is-pos } L) \ M \subseteq\# \text{filter-mset } (\lambda L. \text{is-pos } L) \ M' \rangle$   
**unfolding**  $\text{cover-all-vars.model-is-dominated-def}$   
**by**  $\text{auto}$

**lemma**

$\langle \text{cover-all-vars.conflicting-clauses } N \ \mathcal{M} =$   
 $\{ \# \ C \in\# \ (\text{mset-set } (\text{simple-clss } (\text{atms-of-mm } N)))$   
 $(pNeg \ 'a$   
 $\{ a. a \in\# \ \text{mset-set } (\text{simple-clss } (\text{atms-of-mm } N)) \wedge$   
 $(\exists M \in\# \mathcal{M}. \exists J. a \subseteq\# \ J \wedge \text{cover-all-vars.model-is-dominated } J \ M) \wedge$   
 $\text{atms-of } a = \text{atms-of-mm } N \} \cup$   
 $\text{set-mset } N) \models_p C\# \} \rangle$   
**unfolding**  $\text{cover-all-vars.conflicting-clauses-def}$   
 $\text{cover-all-vars.is-dominating-def}$   
**by**  $\text{auto}$

**theorem**  $\text{cdclcm-correctness-all-vars:}$   
**assumes**

```

    full: ⟨full cover-all-vars.cdcl-bnb-stgy (init-state N) T⟩ and
    dist: ⟨distinct-mset-mset N⟩
  shows
    ⟨Pos L ∈ I ⟹ L ∈ atms-of-mm N ⟹ total-over-m I (set-mset N) ⟹ consistent-interp I ⟹ I
    ⊨m N ⟹
      ∃ J ∈# covering T. Pos L ∈# J⟩
  using cover-all-vars.cdclcm-correctness[OF assms]
  by blast

```

end

end

theory DPLL-W-BnB

imports

CDCL-W-Optimal-Model

CDCL.DPLL-W

begin

```

lemma [simp]: ⟨backtrack-split M1 = (M', L # M) ⟹ is-decided L⟩
  by (metis backtrack-split-snd-hd-decided list.sel(1) list.simps(3) snd-conv)

```

lemma funpow-tl-append-skip-ge:

⟨n ≥ length M' ⟹ ((tl ~ n) (M' @ M)) = (tl ~ (n - length M')) M⟩

apply (induction n arbitrary: M')

subgoal by auto

subgoal for n M'

by (cases M')

(auto simp del: funpow.simps(2) simp: funpow-Suc-right)

done

The following version is more suited than  $\exists l \in \text{set } ?M. \text{is-decided } l \implies \exists M' L' M''. \text{backtrack-split } ?M = (M'', L' \# M')$  for direct use.

lemma backtrack-split-some-is-decided-then-snd-has-hd':

⟨l ∈ set M ⟹ is-decided l ⟹ ∃ M' L' M''. backtrack-split M = (M'', L' # M')⟩

by (metis backtrack-snd-empty-not-decided list.exhaust prod.collapse)

lemma total-over-m-entailed-or-conflict:

shows ⟨total-over-m M N ⟹ M ⊨<sub>s</sub> N ∨ (∃ C ∈ N. M ⊨<sub>s</sub> CNot C)⟩

by (metis Set.set-insert total-not-true-cls-true-clss-CNot total-over-m-empty total-over-m-insert true-clss-def)

The locales on DPLL should eventually be moved to the DPLL theory, but currently it is only a discount version (in particular, we cheat and don't use  $S \sim T$  in the transition system below, even if it would be cleaner to do as we do for CDCL).

locale dpll-ops =

fixes

trail :: ⟨'st ⇒ 'v dpll<sub>W</sub>-ann-lits⟩ and

clauses :: ⟨'st ⇒ 'v clauses⟩ and

tl-trail :: ⟨'st ⇒ 'st⟩ and

cons-trail :: ⟨'v dpll<sub>W</sub>-ann-lit ⇒ 'st ⇒ 'st⟩ and

state-eq :: ⟨'st ⇒ 'st ⇒ bool⟩ (infix ⟨~⟩ 50) and

state :: ⟨'st ⇒ 'v dpll<sub>W</sub>-ann-lits × 'v clauses × 'b⟩

begin

definition additional-info :: ⟨'st ⇒ 'b⟩ where

⟨additional-info S = (λ(M, N, w). w) (state S)⟩

**definition** *reduce-trail-to* ::  $\langle 'v \text{ dpll}_W\text{-ann-lits} \Rightarrow 'st \Rightarrow 'st \rangle$  **where**  
 $\langle \text{reduce-trail-to } M \ S = (\text{tl-trail} \ \widetilde{\sim} \ (\text{length} \ (\text{trail } S) - \text{length } M)) \ S \rangle$

**end**

**locale** *bnb-ops* =

**fixes**

*trail* ::  $\langle 'st \Rightarrow 'v \text{ dpll}_W\text{-ann-lits} \rangle$  **and**

*clauses* ::  $\langle 'st \Rightarrow 'v \text{ clauses} \rangle$  **and**

*tl-trail* ::  $\langle 'st \Rightarrow 'st \rangle$  **and**

*cons-trail* ::  $\langle 'v \text{ dpll}_W\text{-ann-lit} \Rightarrow 'st \Rightarrow 'st \rangle$  **and**

*state-eq* ::  $\langle 'st \Rightarrow 'st \Rightarrow \text{bool} \rangle$  (**infix**  $\langle \sim \rangle$  50) **and**

*state* ::  $\langle 'st \Rightarrow 'v \text{ dpll}_W\text{-ann-lits} \times 'v \text{ clauses} \times 'a \times 'b \rangle$  **and**

*weight* ::  $\langle 'st \Rightarrow 'a \rangle$  **and**

*update-weight-information* ::  $\langle 'v \text{ dpll}_W\text{-ann-lits} \Rightarrow 'st \Rightarrow 'st \rangle$  **and**

*is-improving-int* ::  $\langle 'v \text{ dpll}_W\text{-ann-lits} \Rightarrow 'v \text{ dpll}_W\text{-ann-lits} \Rightarrow 'v \text{ clauses} \Rightarrow 'a \Rightarrow \text{bool} \rangle$  **and**

*conflicting-clauses* ::  $\langle 'v \text{ clauses} \Rightarrow 'a \Rightarrow 'v \text{ clauses} \rangle$

**begin**

**interpretation** *dpll*: *dpll-ops* **where**

*trail* = *trail* **and**

*clauses* = *clauses* **and**

*tl-trail* = *tl-trail* **and**

*cons-trail* = *cons-trail* **and**

*state-eq* = *state-eq* **and**

*state* = *state*

.

**definition** *conflicting-clss* ::  $\langle 'st \Rightarrow 'v \text{ literal multiset multiset} \rangle$  **where**

$\langle \text{conflicting-clss } S = \text{conflicting-clauses} \ (\text{clauses } S) \ (\text{weight } S) \rangle$

**definition** *abs-state* **where**

$\langle \text{abs-state } S = (\text{trail } S, \text{clauses } S + \text{conflicting-clss } S) \rangle$

**abbreviation** *is-improving* **where**

$\langle \text{is-improving } M \ M' \ S \equiv \text{is-improving-int } M \ M' \ (\text{clauses } S) \ (\text{weight } S) \rangle$

**definition** *state'* ::  $\langle 'st \Rightarrow 'v \text{ dpll}_W\text{-ann-lits} \times 'v \text{ clauses} \times 'a \times 'v \text{ clauses} \rangle$  **where**

$\langle \text{state}' \ S = (\text{trail } S, \text{clauses } S, \text{weight } S, \text{conflicting-clss } S) \rangle$

**definition** *additional-info* ::  $\langle 'st \Rightarrow 'b \rangle$  **where**

$\langle \text{additional-info } S = (\lambda(M, N, -, w). \ w) \ (\text{state } S) \rangle$

**end**

**locale** *dpll<sub>W</sub>-state* =

*dpll-ops* *trail* *clauses*

*tl-trail* *cons-trail* *state-eq* *state*

**for**

*trail* ::  $\langle 'st \Rightarrow 'v \text{ dpll}_W\text{-ann-lits} \rangle$  **and**

```

clauses ::  $\langle 'st \Rightarrow 'v \text{ clauses} \rangle$  and
tl-trail ::  $\langle 'st \Rightarrow 'st \rangle$  and
cons-trail ::  $\langle 'v \text{ dpll}_W\text{-ann-lit} \Rightarrow 'st \Rightarrow 'st \rangle$  and
state-eq ::  $\langle 'st \Rightarrow 'st \Rightarrow \text{bool} \rangle$  (infix  $\langle \sim \rangle$  50) and
state ::  $\langle 'st \Rightarrow 'v \text{ dpll}_W\text{-ann-lits} \times 'v \text{ clauses} \times 'b \rangle +$ 
assumes
  state-eq-ref[simp, intro]:  $\langle S \sim S \rangle$  and
  state-eq-sym:  $\langle S \sim T \iff T \sim S \rangle$  and
  state-eq-trans:  $\langle S \sim T \implies T \sim U' \implies S \sim U' \rangle$  and
  state-eq-state:  $\langle S \sim T \implies \text{state } S = \text{state } T \rangle$  and

  cons-trail:
     $\bigwedge S'. \text{state } st = (M, S') \implies$ 
       $\text{state } (\text{cons-trail } L \text{ } st) = (L \# M, S') \text{ and}$ 

  tl-trail:
     $\langle \bigwedge S'. \text{state } st = (M, S') \implies \text{state } (\text{tl-trail } st) = (\text{tl } M, S') \rangle$  and
  state:
     $\langle \text{state } S = (\text{trail } S, \text{clauses } S, \text{additional-info } S) \rangle$ 
begin

lemma [simp]:
   $\langle \text{clauses } (\text{cons-trail } uu \text{ } S) = \text{clauses } S \rangle$ 
   $\langle \text{trail } (\text{cons-trail } uu \text{ } S) = uu \# \text{trail } S \rangle$ 
   $\langle \text{trail } (\text{tl-trail } S) = \text{tl } (\text{trail } S) \rangle$ 
   $\langle \text{clauses } (\text{tl-trail } S) = \text{clauses } (S) \rangle$ 
   $\langle \text{additional-info } (\text{cons-trail } L \text{ } S) = \text{additional-info } S \rangle$ 
   $\langle \text{additional-info } (\text{tl-trail } S) = \text{additional-info } S \rangle$ 
using
  cons-trail[of S]
  tl-trail[of S]
by (auto simp: state)

lemma state-simp[simp]:
   $\langle T \sim S \implies \text{trail } T = \text{trail } S \rangle$ 
   $\langle T \sim S \implies \text{clauses } T = \text{clauses } S \rangle$ 
by (auto dest!: state-eq-state simp: state)

lemma state-tl-trail:  $\langle \text{state } (\text{tl-trail } S) = (\text{tl } (\text{trail } S), \text{clauses } S, \text{additional-info } S) \rangle$ 
by (auto simp: state)

lemma state-tl-trail-comp-pow:  $\langle \text{state } ((\text{tl-trail } \rightsquigarrow n) \text{ } S) = ((\text{tl } \rightsquigarrow n) (\text{trail } S), \text{clauses } S, \text{additional-info } S) \rangle$ 
apply (induction n)
using state apply fastforce
apply (auto simp: state-tl-trail state)[]
done

lemma reduce-trail-to-simps[simp]:
   $\langle \text{backtrack-split } (\text{trail } S) = (M', L \# M) \implies \text{trail } (\text{reduce-trail-to } M \text{ } S) = M \rangle$ 
   $\langle \text{clauses } (\text{reduce-trail-to } M \text{ } S) = \text{clauses } S \rangle$ 
   $\langle \text{additional-info } (\text{reduce-trail-to } M \text{ } S) = \text{additional-info } S \rangle$ 
using state-tl-trail-comp-pow[of  $\langle \text{Suc } (\text{length } M') \rangle S$ ] backtrack-split-list-eq[of  $\langle \text{trail } S \rangle, \text{symmetric}$ ]
unfolding reduce-trail-to-def

```

```

apply (auto simp: state funpow-tl-append-skip-ge)
using state tl-trail-comp-pow apply auto
done

inductive dpll-backtrack :: ⟨'st ⇒ 'st ⇒ bool⟩ where
  ⟨dpll-backtrack S T⟩
if
  ⟨D ∈# clauses S⟩ and
  ⟨trail S ⊨as CNot D⟩ and
  ⟨backtrack-split (trail S) = (M', L # M)⟩ and
  ⟨T ∼cons-trail (Propagated (−lit-of L) ()) (reduce-trail-to M S)⟩

inductive dpll-propagate :: ⟨'st ⇒ 'st ⇒ bool⟩ where
  ⟨dpll-propagate S T⟩
if
  ⟨add-mset L D ∈# clauses S⟩ and
  ⟨trail S ⊨as CNot D⟩ and
  ⟨undefined-lit (trail S) L⟩
  ⟨T ∼ cons-trail (Propagated L ()) S⟩

inductive dpll-decide :: ⟨'st ⇒ 'st ⇒ bool⟩ where
  ⟨dpll-decide S T⟩
if
  ⟨undefined-lit (trail S) L⟩
  ⟨T ∼ cons-trail (Decided L) S⟩
  ⟨atm-of L ∈ atms-of-mm (clauses S)⟩

inductive dpll :: ⟨'st ⇒ 'st ⇒ bool⟩ where
  ⟨dpll S T⟩ if ⟨dpll-decide S T⟩ |
  ⟨dpll S T⟩ if ⟨dpll-propagate S T⟩ |
  ⟨dpll S T⟩ if ⟨dpll-backtrack S T⟩

lemma dpll-is-dpllW:
  ⟨dpll S T ⇒ dpllW (trail S, clauses S) (trail T, clauses T)⟩
apply (induction rule: dpll.induct)
subgoal for S T
  apply (auto simp: dpll.simps dpllW.simps dpll-decide.simps dpll-backtrack.simps dpll-propagate.simps
    dest!: multi-member-split[of - ⟨clauses S⟩])
  done
subgoal for S T
  unfolding dpll.simps dpllW.simps dpll-decide.simps dpll-backtrack.simps dpll-propagate.simps
  by auto
subgoal for S T
  unfolding dpllW.simps dpll-decide.simps dpll-backtrack.simps dpll-propagate.simps
  by (auto simp: state)
done

end

locale bnb =
  bnb-ops trail clauses
  tl-trail cons-trail state-eq state weight update-weight-information is-improving-int conflicting-clauses
for
  weight :: ⟨'st ⇒ 'a⟩ and
  update-weight-information :: ⟨'v dpllW-ann-lits ⇒ 'st ⇒ 'st⟩ and

```

$is-improving-int :: \langle 'v \text{ dpll}_W\text{-ann-lits} \Rightarrow 'v \text{ dpll}_W\text{-ann-lits} \Rightarrow 'v \text{ clauses} \Rightarrow 'a \Rightarrow bool \rangle$  **and**  
 $trail :: \langle 'st \Rightarrow 'v \text{ dpll}_W\text{-ann-lits} \rangle$  **and**  
 $clauses :: \langle 'st \Rightarrow 'v \text{ clauses} \rangle$  **and**  
 $tl-trail :: \langle 'st \Rightarrow 'st \rangle$  **and**  
 $cons-trail :: \langle 'v \text{ dpll}_W\text{-ann-lit} \Rightarrow 'st \Rightarrow 'st \rangle$  **and**  
 $state-eq :: \langle 'st \Rightarrow 'st \Rightarrow bool \rangle$  (**infix**  $\langle \sim \rangle$  50) **and**  
 $conflicting-clauses :: \langle 'v \text{ clauses} \Rightarrow 'a \Rightarrow 'v \text{ clauses} \rangle$  **and**  
 $state :: \langle 'st \Rightarrow 'v \text{ dpll}_W\text{-ann-lits} \times 'v \text{ clauses} \times 'a \times 'b \rangle +$   
**assumes**  
 $state-eq-ref[simp, intro]: \langle S \sim S \rangle$  **and**  
 $state-eq-sym: \langle S \sim T \longleftrightarrow T \sim S \rangle$  **and**  
 $state-eq-trans: \langle S \sim T \Longrightarrow T \sim U' \Longrightarrow S \sim U' \rangle$  **and**  
 $state-eq-state: \langle S \sim T \Longrightarrow state\ S = state\ T \rangle$  **and**  
  
 $cons-trail:$   
 $\bigwedge S'. state\ st = (M, S') \Longrightarrow$   
 $state\ (cons-trail\ L\ st) = (L \# M, S')$  **and**  
  
 $tl-trail:$   
 $\langle \bigwedge S'. state\ st = (M, S') \Longrightarrow state\ (tl-trail\ st) = (tl\ M, S') \rangle$  **and**  
 $update-weight-information:$   
 $\langle state\ S = (M, N, w, oth) \Longrightarrow$   
 $\exists w'. state\ (update-weight-information\ M'\ S) = (M, N, w', oth) \rangle$  **and**  
  
 $conflicting-clss-update-weight-information-mono:$   
 $\langle dpll_W\text{-all-inv}\ (abs-state\ S) \Longrightarrow is-improving\ M\ M'\ S \Longrightarrow$   
 $conflicting-clss\ S \subseteq \# \text{ conflicting-clss}\ (update-weight-information\ M'\ S) \rangle$  **and**  
 $conflicting-clss-update-weight-information-in:$   
 $\langle is-improving\ M\ M'\ S \Longrightarrow negate\text{-ann-lits}\ M' \in \# \text{ conflicting-clss}\ (update-weight-information\ M'$   
 $S) \rangle$  **and**  
 $atms-of-conflicting-clss:$   
 $\langle atms-of-mm\ (\text{conflicting-clss}\ S) \subseteq atms-of-mm\ (\text{clauses}\ S) \rangle$  **and**  
 $state:$   
 $\langle state\ S = (trail\ S, clauses\ S, weight\ S, additional-info\ S) \rangle$   
**begin**  
  
**lemma** [simp]:  $\langle DPLL\text{-}W.\text{clauses}\ (abs-state\ S) = clauses\ S + \text{conflicting-clss}\ S \rangle$   
 $\langle DPLL\text{-}W.\text{trail}\ (abs-state\ S) = trail\ S \rangle$   
**by** (auto simp: abs-state-def)  
  
**lemma** [simp]:  $\langle trail\ (update-weight-information\ M'\ S) = trail\ S \rangle$   
**using** update-weight-information[of S]  
**by** (auto simp: state)  
  
**lemma** [simp]:  
 $\langle clauses\ (update-weight-information\ M'\ S) = clauses\ S \rangle$   
 $\langle weight\ (cons-trail\ uu\ S) = weight\ S \rangle$   
 $\langle clauses\ (cons-trail\ uu\ S) = clauses\ S \rangle$   
 $\langle \text{conflicting-clss}\ (cons-trail\ uu\ S) = \text{conflicting-clss}\ S \rangle$   
 $\langle trail\ (cons-trail\ uu\ S) = uu \# trail\ S \rangle$   
 $\langle trail\ (tl-trail\ S) = tl\ (trail\ S) \rangle$   
 $\langle clauses\ (tl-trail\ S) = clauses\ (S) \rangle$   
 $\langle weight\ (tl-trail\ S) = weight\ (S) \rangle$   
 $\langle \text{conflicting-clss}\ (tl-trail\ S) = \text{conflicting-clss}\ (S) \rangle$   
 $\langle additional-info\ (cons-trail\ L\ S) = additional-info\ S \rangle$

```

⟨additional-info (tl-trail S) = additional-info S⟩
⟨additional-info (update-weight-information M' S) = additional-info S⟩
using update-weight-information[of S]
      cons-trail[of S]
      tl-trail[of S]
by (auto simp: state conflicting-clss-def)

```

```

lemma state-simp[simp]:
  ⟨T ~ S ⟹ trail T = trail S⟩
  ⟨T ~ S ⟹ clauses T = clauses S⟩
  ⟨T ~ S ⟹ weight T = weight S⟩
  ⟨T ~ S ⟹ conflicting-clss T = conflicting-clss S⟩
by (auto dest!: state-eq-state simp: state conflicting-clss-def)

```

**interpretation** dpll: dpll-ops trail clauses tl-trail cons-trail state-eq state

.

```

interpretation dpll: dpllW-state trail clauses tl-trail cons-trail state-eq state
apply standard
apply (auto dest: state-eq-sym[THEN iffD1] intro: state-eq-trans dest: state-eq-state)
apply (auto simp: state cons-trail dpll.additional-info-def)
done

```

```

lemma [simp]:
  ⟨conflicting-clss (dpll.reduce-trail-to M S) = conflicting-clss S⟩
  ⟨weight (dpll.reduce-trail-to M S) = weight S⟩
using dpll.reduce-trail-to-simps(2-)[of M S] state[of S]
unfolding dpll.additional-info-def
apply (auto simp: )
by (smt conflicting-clss-def dpll.reduce-trail-to-simps(2) dpll.state dpll-ops.additional-info-def
      old.prod.inject state)+

```

```

inductive backtrack-opt :: ⟨'st ⇒ 'st ⇒ bool⟩ where
backtrack-opt: backtrack-split (trail S) = (M', L # M) ⟹ is-decided L ⟹ D ∈# conflicting-clss S
  ⟹ trail S ⊨as CNot D
  ⟹ T ~cons-trail (Propagated (-lit-of L) ()) (dpll.reduce-trail-to M S)
  ⟹ backtrack-opt S T

```

In the definition below the  $state' T = (Propagated L () \# trail S, clauses S, weight S, conflicting-clss S)$  are not necessary, but avoids to change the DPLL formalisation with proper locales, as we did for CDCL.

The DPLL calculus looks slightly more general than the CDCL calculus because we can take any conflicting clause from  $conflicting-clss S$ . However, this does not make a difference for the trail, as we backtrack to the last decision independantly of the conflict.

```

inductive dpllW-core :: ⟨'st ⇒ 'st ⇒ bool⟩ for S T where
propagate: ⟨dpll.dpll-propagate S T ⟹ dpllW-core S T⟩ |
decided: ⟨dpll.dpll-decide S T ⟹ dpllW-core S T⟩ |
backtrack: ⟨dpll.dpll-backtrack S T ⟹ dpllW-core S T⟩ |
backtrack-opt: ⟨backtrack-opt S T ⟹ dpllW-core S T⟩

```

**inductive-cases** dpll<sub>W</sub>-coreE: ⟨dpll<sub>W</sub>-core S T⟩

```

inductive dpllW-bound :: ⟨'st ⇒ 'st ⇒ bool⟩ where
update-info:
  ⟨is-improving M M' S ⟹ T ~ (update-weight-information M' S)

```

$\Rightarrow \text{dpll}_W\text{-bound } S \ T\rangle$

**inductive**  $\text{dpll}_W\text{-bnb} :: \langle 'st \Rightarrow 'st \Rightarrow \text{bool} \rangle$  **where**

$\text{dpll}$ :

$\langle \text{dpll}_W\text{-bnb } S \ T \rangle$

**if**  $\langle \text{dpll}_W\text{-core } S \ T \rangle$  |

$\text{bnb}$ :

$\langle \text{dpll}_W\text{-bnb } S \ T \rangle$

**if**  $\langle \text{dpll}_W\text{-bound } S \ T \rangle$

**inductive-cases**  $\text{dpll}_W\text{-bnbE}$ :  $\langle \text{dpll}_W\text{-bnb } S \ T \rangle$

**lemma**  $\text{dpll}_W\text{-core-is-dpll}_W$ :

$\langle \text{dpll}_W\text{-core } S \ T \Rightarrow \text{dpll}_W \ (\text{abs-state } S) \ (\text{abs-state } T) \rangle$

**supply**  $\text{abs-state-def}[\text{simp}] \ \text{state'-def}[\text{simp}]$

**apply** (*induction rule*:  $\text{dpll}_W\text{-core.induct}$ )

**subgoal**

**by** (*auto simp*:  $\text{dpll}_W.\text{simps} \ \text{dpll.dpll-propagate.simps}$ )

**subgoal**

**by** (*auto simp*:  $\text{dpll}_W.\text{simps} \ \text{dpll.dpll-decide.simps}$ )

**subgoal**

**by** (*auto simp*:  $\text{dpll}_W.\text{simps} \ \text{dpll.dpll-backtrack.simps}$ )

**subgoal**

**by** (*auto simp*:  $\text{dpll}_W.\text{simps} \ \text{backtrack-opt.simps}$ )

**done**

**lemma**  $\text{dpll}_W\text{-core-abs-state-all-inv}$ :

$\langle \text{dpll}_W\text{-core } S \ T \Rightarrow \text{dpll}_W\text{-all-inv } (\text{abs-state } S) \Rightarrow \text{dpll}_W\text{-all-inv } (\text{abs-state } T) \rangle$

**by** (*auto dest!*:  $\text{dpll}_W\text{-core-is-dpll}_W$  *intro*:  $\text{dpll}_W\text{-all-inv}$ )

**lemma**  $\text{dpll}_W\text{-core-same-weight}$ :

$\langle \text{dpll}_W\text{-core } S \ T \Rightarrow \text{weight } S = \text{weight } T \rangle$

**supply**  $\text{abs-state-def}[\text{simp}] \ \text{state'-def}[\text{simp}]$

**apply** (*induction rule*:  $\text{dpll}_W\text{-core.induct}$ )

**subgoal**

**by** (*auto simp*:  $\text{dpll}_W.\text{simps} \ \text{dpll.dpll-propagate.simps}$ )

**subgoal**

**by** (*auto simp*:  $\text{dpll}_W.\text{simps} \ \text{dpll.dpll-decide.simps}$ )

**subgoal**

**by** (*auto simp*:  $\text{dpll}_W.\text{simps} \ \text{dpll.dpll-backtrack.simps}$ )

**subgoal**

**by** (*auto simp*:  $\text{dpll}_W.\text{simps} \ \text{backtrack-opt.simps}$ )

**done**

**lemma**  $\text{dpll}_W\text{-bound-trail}$ :

$\langle \text{dpll}_W\text{-bound } S \ T \Rightarrow \text{trail } S = \text{trail } T \rangle$  **and**

$\text{dpll}_W\text{-bound-clauses}$ :

$\langle \text{dpll}_W\text{-bound } S \ T \Rightarrow \text{clauses } S = \text{clauses } T \rangle$  **and**

$\text{dpll}_W\text{-bound-conflicting-clss}$ :

$\langle \text{dpll}_W\text{-bound } S \ T \Rightarrow \text{dpll}_W\text{-all-inv } (\text{abs-state } S) \Rightarrow \text{conflicting-clss } S \subseteq \# \text{ conflicting-clss } T \rangle$

**subgoal**

**by** (*induction rule*:  $\text{dpll}_W\text{-bound.induct}$ )

(*auto simp*:  $\text{dpll}_W\text{-all-inv-def} \ \text{state dest!} : \text{conflicting-clss-update-weight-information-mono}$ )

**subgoal**

**by** (*induction rule*:  $\text{dpll}_W\text{-bound.induct}$ )



```

    (auto simp: dpllW-all-inv-def state dest!: conflicting-clss-update-weight-information-mono)
  subgoal
    by (induction rule: dpllW-bound.induct)
      (auto simp: state conflicting-clss-def
        dest!: conflicting-clss-update-weight-information-mono)
  done

lemma dpllW-bound-abs-state-all-inv:
  ⟨dpllW-bound  $S$   $T \implies$  dpllW-all-inv (abs-state  $S$ )  $\implies$  dpllW-all-inv (abs-state  $T$ )⟩
using dpllW-bound-conflicting-clss[of  $S$   $T$ ] dpllW-bound-clauses[of  $S$   $T$ ]
  atms-of-conflicting-clss[of  $T$ ] atms-of-conflicting-clss[of  $S$ ]
apply (auto simp: dpllW-all-inv-def dpllW-bound-trail lits-of-def image-image
  intro: all-decomposition-implies-mono[OF set-mset-mono] dest: dpllW-bound-conflicting-clss)
by (blast intro: all-decomposition-implies-mono)

lemma dpllW-bnb-abs-state-all-inv:
  ⟨dpllW-bnb  $S$   $T \implies$  dpllW-all-inv (abs-state  $S$ )  $\implies$  dpllW-all-inv (abs-state  $T$ )⟩
by (auto elim!: dpllW-bnb.cases intro: dpllW-bound-abs-state-all-inv dpllW-core-abs-state-all-inv)

lemma rtrancpl-dpllW-bnb-abs-state-all-inv:
  ⟨dpllW-bnb**  $S$   $T \implies$  dpllW-all-inv (abs-state  $S$ )  $\implies$  dpllW-all-inv (abs-state  $T$ )⟩
by (induction rule: rtrancpl-induct)
  (auto simp: dpllW-bnb-abs-state-all-inv)

lemma dpllW-core-clauses:
  ⟨dpllW-core  $S$   $T \implies$  clauses  $S$  = clauses  $T$ ⟩
supply abs-state-def[simp] state'-def[simp]
apply (induction rule: dpllW-core.induct)
subgoal
  by (auto simp: dpllW.simps dpll.dpll-propagate.simps)
subgoal
  by (auto simp: dpllW.simps dpll.dpll-decide.simps)
subgoal
  by (auto simp: dpllW.simps dpll.dpll-backtrack.simps)
subgoal
  by (auto simp: dpllW.simps backtrack-opt.simps)
done

lemma dpllW-bnb-clauses:
  ⟨dpllW-bnb  $S$   $T \implies$  clauses  $S$  = clauses  $T$ ⟩
by (auto elim!: dpllW-bnbE simp: dpllW-bound-clauses dpllW-core-clauses)

lemma rtrancpl-dpllW-bnb-clauses:
  ⟨dpllW-bnb**  $S$   $T \implies$  clauses  $S$  = clauses  $T$ ⟩
by (induction rule: rtrancpl-induct)
  (auto simp: dpllW-bnb-clauses)

lemma atms-of-clauses-conflicting-clss[simp]:
  ⟨atms-of-mm (clauses  $S$ )  $\cup$  atms-of-mm (conflicting-clss  $S$ ) = atms-of-mm (clauses  $S$ )⟩
using atms-of-conflicting-clss[of  $S$ ] by blast

lemma wf-dpllW-bnb-bnb:
assumes improve: ⟨ $\bigwedge S$   $T$ . dpllW-bound  $S$   $T \implies$  clauses  $S$  =  $N \implies$  ( $\nu$  (weight  $T$ ),  $\nu$  (weight  $S$ ))  $\in$   $R$ ⟩ and
  wf- $R$ : ⟨wf  $R$ ⟩

```

**shows**  $\langle wf \{ (T, S). \text{dpll}_W\text{-all-inv } (\text{abs-state } S) \wedge \text{dpll}_W\text{-bnb } S \ T \wedge \text{clauses } S = N \} \rangle$   
**(is**  $\langle wf \ ?A \rangle$   
**proof**  $-$   
**let**  $\ ?R = \langle \{ (T, S). (\nu (\text{weight } T), \nu (\text{weight } S)) \in R \} \rangle$   
  
**have**  $\langle wf \{ (T, S). \text{dpll}_W\text{-all-inv } S \wedge \text{dpll}_W \ S \ T \} \rangle$   
**by**  $(\text{rule } wf\text{-dpll}_W)$   
**from**  $wf\text{-if-measure-f}[OF \ \text{this}, \ \text{of } \text{abs-state}]$   
**have**  $wf: \langle wf \{ (T, S). \text{dpll}_W\text{-all-inv } (\text{abs-state } S) \wedge \text{dpll}_W (\text{abs-state } S) (\text{abs-state } T) \wedge \text{weight } S = \text{weight } T \} \rangle$   
**(is**  $\langle wf \ ?CDCL \rangle$   
**by**  $(\text{rule } wf\text{-subset}) \ \text{auto}$   
**have**  $\langle wf \ (\ ?R \cup \ ?CDCL) \rangle$   
**apply**  $(\text{rule } wf\text{-union-compatible})$   
**subgoal by**  $(\text{rule } wf\text{-if-measure-f}[OF \ wf\text{-}R, \ \text{of } \langle \lambda x. \nu (\text{weight } x) \rangle])$   
**subgoal by**  $(\text{rule } wf)$   
**subgoal by**  $(\text{auto simp: dpll}_W\text{-core-same-weight})$   
**done**  
  
**moreover have**  $\langle ?A \subseteq \ ?R \cup \ ?CDCL \rangle$   
**by**  $(\text{auto elim!: dpll}_W\text{-bnbE dest: dpll}_W\text{-core-abs-state-all-inv dpll}_W\text{-core-is-dpll}_W \text{ simp: dpll}_W\text{-core-same-weight improve})$   
**ultimately show**  $\ ?thesis$   
**by**  $(\text{rule } wf\text{-subset})$   
**qed**

**lemma**  $[simp]:$   
 $\langle \text{weight } ((tl\text{-trail } \sim n) \ S) = \text{weight } S \rangle$   
 $\langle \text{trail } ((tl\text{-trail } \sim n) \ S) = (tl \ \sim n) \ (\text{trail } S) \rangle$   
 $\langle \text{clauses } ((tl\text{-trail } \sim n) \ S) = \text{clauses } S \rangle$   
 $\langle \text{conflicting-clss } ((tl\text{-trail } \sim n) \ S) = \text{conflicting-clss } S \rangle$   
**using**  $\text{dpll.state-tl-trail-comp-pow}[of \ n \ S]$   
**apply**  $(\text{auto simp: state conflicting-clss-def})$   
**apply**  $(metis (\text{mono-tags}, \text{lifting}) \text{Pair-inject dpll.state state})+$   
**done**

**lemma**  $\text{dpll}_W\text{-core-Ex-propagate:}$   
 $\langle \text{add-mset } L \ C \in \# \ \text{clauses } S \implies \text{trail } S \models_{as} C \text{Not } C \implies \text{undefined-lit } (\text{trail } S) \ L \implies \text{Ex } (\text{dpll}_W\text{-core } S) \rangle$  **and**  
 $\text{dpll}_W\text{-core-Ex-decide:}$   
 $\text{undefined-lit } (\text{trail } S) \ L \implies \text{atm-of } L \in \text{atms-of-mm } (\text{clauses } S) \implies \text{Ex } (\text{dpll}_W\text{-core } S)$  **and**  
 $\text{dpll}_W\text{-core-Ex-backtrack: } \text{backtrack-split } (\text{trail } S) = (M', L' \# M) \implies \text{is-decided } L' \implies D \in \# \text{clauses } S \implies$   
 $\text{trail } S \models_{as} C \text{Not } D \implies \text{Ex } (\text{dpll}_W\text{-core } S)$  **and**  
 $\text{dpll}_W\text{-core-Ex-backtrack-opt: } \text{backtrack-split } (\text{trail } S) = (M', L' \# M) \implies \text{is-decided } L' \implies D \in \# \text{conflicting-clss } S$   
 $\implies \text{trail } S \models_{as} C \text{Not } D \implies$   
 $\text{Ex } (\text{dpll}_W\text{-core } S)$   
**subgoal**  
**by**  $(\text{rule } exI[of \ - \ \langle \text{cons-trail } (\text{Propagated } L \ ()) \ S \rangle])$   
 $(\text{fastforce simp: dpll}_W\text{-core.simps state-eq-ref dpll.dpll-propagate.simps})$   
**subgoal**  
**by**  $(\text{rule } exI[of \ - \ \langle \text{cons-trail } (\text{Decided } L) \ S \rangle])$

```

(auto simp: dpllW-core.simps state'-def dpll.dpll-decide.simps dpll.dpll-backtrack.simps
  backtrack-opt.simps dpll.dpll-propagate.simps)
subgoal
  using backtrack-split-list-eq[of ⟨trail S⟩, symmetric] apply -
  apply (rule exI[of - ⟨cons-trail (Propagated (¬lit-of L') ()) (dpll.reduce-trail-to M S)⟩])
  apply (auto simp: dpllW-core.simps state'-def funpow-tl-append-skip-ge
    dpll.dpll-decide.simps dpll.dpll-backtrack.simps backtrack-opt.simps
    dpll.dpll-propagate.simps)
done
subgoal
  using backtrack-split-list-eq[of ⟨trail S⟩, symmetric] apply -
  apply (rule exI[of - ⟨cons-trail (Propagated (¬lit-of L') ()) (dpll.reduce-trail-to M S)⟩])
  apply (auto simp: dpllW-core.simps state'-def funpow-tl-append-skip-ge
    dpll.dpll-decide.simps dpll.dpll-backtrack.simps backtrack-opt.simps
    dpll.dpll-propagate.simps)
done
done

```

Unlike the CDCL case, we do not need assumptions on improve. The reason behind it is that we do not need any strategy on propagation and decisions.

**lemma** *no-step-dpll-bnb-dpll<sub>W</sub>*:

```

assumes
  ns: ⟨no-step dpllW-bnb S⟩ and
  struct-invs: ⟨dpllW-all-inv (abs-state S)⟩
shows ⟨no-step dpllW (abs-state S)⟩
proof -
  have no-decide: ⟨atm-of L ∈ atms-of-mm (clauses S) ⟹
    defined-lit (trail S) L⟩ for L
  using spec[OF ns, of ⟨cons-trail - S⟩]
  apply (fastforce simp: dpllW-bnb.simps total-over-m-def total-over-set-def
    dpllW-core.simps state'-def
    dpll.dpll-decide.simps dpll.dpll-backtrack.simps backtrack-opt.simps
    dpll.dpll-propagate.simps)
  done
  have [intro]: ⟨is-decided L ⟹
    backtrack-split (trail S) = (M', L # M) ⟹
    trail S ⊨as CNot D ⟹ D ∈ # clauses S ⟹ False⟩ for M' L M D
  using dpllW-core-Ex-backtrack[of S M' L M D] ns
  by (auto simp: dpllW-bnb.simps)
  have [intro]: ⟨is-decided L ⟹
    backtrack-split (trail S) = (M', L # M) ⟹
    trail S ⊨as CNot D ⟹ D ∈ # conflicting-clss S ⟹ False⟩ for M' L M D
  using dpllW-core-Ex-backtrack-opt[of S M' L M D] ns
  by (auto simp: dpllW-bnb.simps)
  have tot: ⟨total-over-m (lits-of-l (trail S)) (set-mset (clauses S))⟩
  using no-decide
  by (force simp: total-over-m-def total-over-set-def state'-def
    Decided-Propagated-in-iff-in-lits-of-l)
  have [simp]: ⟨add-mset L C ∈ # clauses S ⟹ defined-lit (trail S) L⟩ for L C
  using no-decide
  by (auto dest!: multi-member-split)
  have [simp]: ⟨add-mset L C ∈ # conflicting-clss S ⟹ defined-lit (trail S) L⟩ for L C
  using no-decide atms-of-conflicting-clss[of S]
  by (auto dest!: multi-member-split)
show ?thesis
  by (auto simp: dpllW.simps no-decide)

```

qed

context

assumes *can-always-improve*:

$\langle \bigwedge S. \text{trail } S \models_{asm} \text{clauses } S \implies (\forall C \in \# \text{ conflicting-clss } S. \neg \text{trail } S \models_{as} CNot\ C) \implies$   
 $\text{dpll}_W\text{-all-inv } (\text{abs-state } S) \implies$   
 $\text{total-over-m } (\text{lits-of-l } (\text{trail } S)) (\text{set-mset } (\text{clauses } S)) \implies \text{Ex } (\text{dpll}_W\text{-bound } S) \rangle$

begin

lemma *no-step-dpll<sub>W</sub>-bnb-conflict*:

assumes

*ns*:  $\langle \text{no-step dpll}_W\text{-bnb } S \rangle$  and

*invs*:  $\langle \text{dpll}_W\text{-all-inv } (\text{abs-state } S) \rangle$

shows  $\langle \exists C \in \# \text{ clauses } S + \text{ conflicting-clss } S. \text{trail } S \models_{as} CNot\ C \rangle$  (is ?A) and

$\langle \text{count-decided } (\text{trail } S) = 0 \rangle$  and

$\langle \text{unsatisfiable } (\text{set-mset } (\text{clauses } S + \text{ conflicting-clss } S)) \rangle$

proof (rule ccontr)

have *no-decide*:  $\langle \text{atm-of } L \in \text{atms-of-mm } (\text{clauses } S) \implies \text{defined-lit } (\text{trail } S)\ L \rangle$  for *L*

using *spec*[*OF ns*, of  $\langle \text{cons-trail } S \rangle$ ]

apply (*fastforce simp*: *dpll<sub>W</sub>-bnb.simps total-over-m-def total-over-set-def*  
*dpll<sub>W</sub>-core.simps state'-def*  
*dpll.dpll-decide.simps dpll.dpll-backtrack.simps backtrack-opt.simps*  
*dpll.dpll-propagate.simps*)

done

have *tot*:  $\langle \text{total-over-m } (\text{lits-of-l } (\text{trail } S)) (\text{set-mset } (\text{clauses } S)) \rangle$

using *no-decide*

by (*force simp*: *total-over-m-def total-over-set-def state'-def*  
*Decided-Propagated-in-iff-in-lits-of-l*)

have *dec0*:  $\langle \text{count-decided } (\text{trail } S) = 0 \rangle$  if *ent*:  $\langle ?A \rangle$

proof –

obtain *C* where

$\langle C \in \# \text{ clauses } S + \text{ conflicting-clss } S \rangle$  and

$\langle \text{trail } S \models_{as} CNot\ C \rangle$

using *ent tot ns invs*

by (*auto simp*: *dpll<sub>W</sub>-bnb.simps*)

then show  $\langle \text{count-decided } (\text{trail } S) = 0 \rangle$

using *ns dpll<sub>W</sub>-core-Ex-backtrack*[of *S* - - - *C*] *dpll<sub>W</sub>-core-Ex-backtrack-opt*[of *S* - - - *C*]

unfolding *count-decided-0-iff*

apply *clarify*

apply (*frule backtrack-split-some-is-decided-then-snd-has-hd'*[of -  $\langle \text{trail } S \rangle$ ], *assumption*)

apply (*auto simp*: *dpll<sub>W</sub>-bnb.simps count-decided-0-iff*)

apply (*metis backtrack-split-snd-hd-decided list.sel*(1) *list.simps*(3) *snd-conv*) +

done

qed

show *A*: *False* if  $\langle \neg ?A \rangle$

proof –

have  $\langle \text{trail } S \models_a C \rangle$  if  $\langle C \in \# \text{ clauses } S + \text{ conflicting-clss } S \rangle$  for *C*

proof –

have  $\langle \neg \text{trail } S \models_{as} CNot\ C \rangle$

using  $\langle \neg ?A \rangle$  that by (*auto dest*!: *multi-member-split*)

then show  $\langle ?thesis \rangle$

using *tot that*

*total-not-true-clss-true-clss-CNot*[of  $\langle \text{lits-of-l } (\text{trail } S) \rangle$  *C*]

apply (*auto simp*: *true-annots-def simp del*: *true-clss-def-iff-negation-in-model dest*!: *multi-member-split*)

```

)
  using true-annot-def apply blast
  using true-annot-def apply blast
  by (metis Decided-Propagated-in-iff-in-lits-of-l atms-of-clauses-conflicting-clss atms-of-ms-union
    in-m-in-literals no-decide set-mset-union that true-annot-def true-clss-add-mset)
qed
then have ⟨trail S ⊨asm clauses S + conflicting-clss S⟩
  by (auto simp: true-annot-def dest!: multi-member-split)
then show ?thesis
  using can-always-improve[of S] ⟨¬?A⟩ tot invs ns by (auto simp: dpllW-bnb.simps)
qed
then show ⟨count-decided (trail S) = 0⟩
  using dec0 by blast
moreover have ?A
  using A by blast
ultimately show ⟨unsatisfiable (set-mset (clauses S + conflicting-clss S))⟩
  using only-propagated-vars-unsat[of ⟨trail S⟩ - ⟨set-mset (clauses S + conflicting-clss S)⟩] invs
  unfolding dpllW-all-inv-def count-decided-0-iff
  by auto
qed

```

end

**inductive** *dpll<sub>W</sub>-core-stgy* :: ⟨'st ⇒ 'st ⇒ bool⟩ **for** *S T* **where**  
*propagate*: ⟨dpll.dpll-propagate *S T* ⇒ dpll<sub>W</sub>-core-stgy *S T*⟩ |  
*decided*: ⟨dpll.dpll-decide *S T* ⇒ no-step dpll.dpll-propagate *S* ⇒ dpll<sub>W</sub>-core-stgy *S T*⟩ |  
*backtrack*: ⟨dpll.dpll-backtrack *S T* ⇒ dpll<sub>W</sub>-core-stgy *S T*⟩ |  
*backtrack-opt*: ⟨backtrack-opt *S T* ⇒ dpll<sub>W</sub>-core-stgy *S T*⟩

**lemma** *dpll<sub>W</sub>-core-stgy-dpll<sub>W</sub>-core*: ⟨dpll<sub>W</sub>-core-stgy *S T* ⇒ dpll<sub>W</sub>-core *S T*⟩  
 by (induction rule: dpll<sub>W</sub>-core-stgy.induct)  
 (auto intro: dpll<sub>W</sub>-core.intros)

**lemma** *rtrancpl-dpll<sub>W</sub>-core-stgy-dpll<sub>W</sub>-core*: ⟨dpll<sub>W</sub>-core-stgy\*\* *S T* ⇒ dpll<sub>W</sub>-core\*\* *S T*⟩  
 by (induction rule: rtrancpl-induct)  
 (auto dest: dpll<sub>W</sub>-core-stgy-dpll<sub>W</sub>-core)

**lemma** *no-step-stgy-iff*: ⟨no-step dpll<sub>W</sub>-core-stgy *S* ⇔ no-step dpll<sub>W</sub>-core *S*⟩  
 by (auto simp: dpll<sub>W</sub>-core-stgy.simps dpll<sub>W</sub>-core.simps)

**lemma** *full-dpll<sub>W</sub>-core-stgy-dpll<sub>W</sub>-core*: ⟨full dpll<sub>W</sub>-core-stgy *S T* ⇒ full dpll<sub>W</sub>-core *S T*⟩  
 unfolding full-def by (simp add: no-step-stgy-iff rtrancpl-dpll<sub>W</sub>-core-stgy-dpll<sub>W</sub>-core)

**lemma** *dpll<sub>W</sub>-core-stgy-clauses*:  
 ⟨dpll<sub>W</sub>-core-stgy *S T* ⇒ clauses *T* = clauses *S*⟩  
 by (induction rule: dpll<sub>W</sub>-core-stgy.induct)  
 (auto simp: dpll.dpll-propagate.simps dpll.dpll-decide.simps dpll.dpll-backtrack.simps  
 backtrack-opt.simps)

**lemma** *rtrancpl-dpll<sub>W</sub>-core-stgy-clauses*:  
 ⟨dpll<sub>W</sub>-core-stgy\*\* *S T* ⇒ clauses *T* = clauses *S*⟩  
 by (induction rule: rtrancpl-induct)  
 (auto dest: dpll<sub>W</sub>-core-stgy-clauses)

```

end

end
theory DPLL-W-Optimal-Model
imports
  DPLL-W-BnB
begin

locale dpllW-state-optimal-weight =
  dpllW-state trail clauses
  tl-trail cons-trail state-eq state +
  ocdcl-weight ρ
for
  trail :: ⟨'st ⇒ 'v dpllW-ann-lits⟩ and
  clauses :: ⟨'st ⇒ 'v clauses⟩ and
  tl-trail :: ⟨'st ⇒ 'st⟩ and
  cons-trail :: ⟨'v dpllW-ann-lit ⇒ 'st ⇒ 'st⟩ and
  state-eq :: ⟨'st ⇒ 'st ⇒ bool⟩ (infix ⟨~⟩ 50) and
  state :: ⟨'st ⇒ 'v dpllW-ann-lits × 'v clauses × 'v clause option × 'b⟩ and
  ρ :: ⟨'v clause ⇒ 'a :: {linorder}⟩ +
fixes
  update-additional-info :: ⟨'v clause option × 'b ⇒ 'st ⇒ 'st⟩
assumes
  update-additional-info:
    ⟨state S = (M, N, K) ⇒ state (update-additional-info K' S) = (M, N, K')⟩
begin

definition update-weight-information :: ⟨('v literal, 'v literal, unit) annotated-lits ⇒ 'st ⇒ 'st⟩ where
  ⟨update-weight-information M S =


update-additional-info (Some (lit-of '## mset M), snd (additional-info S)) S⟩



lemma [simp]:



⟨trail (update-weight-information M' S) = trail S⟩



⟨clauses (update-weight-information M' S) = clauses S⟩



⟨clauses (update-additional-info c S) = clauses S⟩



⟨additional-info (update-additional-info (w, oth) S) = (w, oth)⟩



using update-additional-info[of S] unfolding update-weight-information-def



by (auto simp: state)



lemma state-update-weight-information: ⟨state S = (M, N, w, oth) ⇒



∃ w'. state (update-weight-information M' S) = (M, N, w', oth)⟩



apply (auto simp: state)



apply (auto simp: update-weight-information-def)



done



definition weight where



⟨weight S = fst (additional-info S)⟩



lemma [simp]: ⟨(weight (update-weight-information M' S)) = Some (lit-of '## mset M')⟩



unfolding weight-def by (auto simp: update-weight-information-def)


```

We test here a slightly different decision. In the CDCL version, we renamed *additional-info* from the BNB version to avoid collisions. Here instead of renaming, we add the prefix *bnb.* to every name.

**sublocale** *bnb*: *bnb-ops* **where**

*trail* = *trail* **and**  
*clauses* = *clauses* **and**  
*tl-trail* = *tl-trail* **and**  
*cons-trail* = *cons-trail* **and**  
*state-eq* = *state-eq* **and**  
*state* = *state* **and**  
*weight* = *weight* **and**  
*conflicting-clauses* = *conflicting-clauses* **and**  
*is-improving-int* = *is-improving-int* **and**  
*update-weight-information* = *update-weight-information*  
**by** *unfold-locales*

**lemma** *atms-of-mm-conflicting-clss-incl-init-clauses*:  
 $\langle \text{atms-of-mm } (\text{bnb.conflicting-clss } S) \subseteq \text{atms-of-mm } (\text{clauses } S) \rangle$   
**using** *conflicting-clss-incl-init-clauses*[of  $\langle \text{clauses } S \rangle \langle \text{weight } S \rangle$ ]  
**unfolding** *bnb.conflicting-clss-def*  
**by** *auto*

**lemma** *is-improving-conflicting-clss-update-weight-information*:  $\langle \text{bnb.is-improving } M \ M' \ S \implies$   
 $\text{bnb.conflicting-clss } S \subseteq \# \text{ bnb.conflicting-clss } (\text{update-weight-information } M' \ S) \rangle$   
**using** *is-improving-conflicting-clss-update-weight-information*[of  $M \ M' \ \langle \text{clauses } S \rangle \langle \text{weight } S \rangle$ ]  
**unfolding** *bnb.conflicting-clss-def*  
**by** (*auto simp: update-weight-information-def weight-def*)

**lemma** *conflicting-clss-update-weight-information-in2*:  
**assumes**  $\langle \text{bnb.is-improving } M \ M' \ S \rangle$   
**shows**  $\langle \text{negate-ann-lits } M' \in \# \text{ bnb.conflicting-clss } (\text{update-weight-information } M' \ S) \rangle$   
**using** *conflicting-clss-update-weight-information-in2*[of  $M \ M' \ \langle \text{clauses } S \rangle \langle \text{weight } S \rangle$ ] *assms*  
**unfolding** *bnb.conflicting-clss-def*  
**unfolding** *bnb.conflicting-clss-def*  
**by** (*auto simp: update-weight-information-def weight-def*)

**lemma** *state-additional-info'*:  
 $\langle \text{state } S = (\text{trail } S, \text{clauses } S, \text{weight } S, \text{bnb.additional-info } S) \rangle$   
**unfolding** *additional-info-def* **by** (*cases*  $\langle \text{state } S \rangle$ ; *auto simp: state weight-def bnb.additional-info-def*)

**sublocale** *bnb*: *bnb* **where**  
*trail* = *trail* **and**  
*clauses* = *clauses* **and**  
*tl-trail* = *tl-trail* **and**  
*cons-trail* = *cons-trail* **and**  
*state-eq* = *state-eq* **and**  
*state* = *state* **and**  
*weight* = *weight* **and**  
*conflicting-clauses* = *conflicting-clauses* **and**  
*is-improving-int* = *is-improving-int* **and**  
*update-weight-information* = *update-weight-information*  
**apply** *unfold-locales*  
**subgoal** **by** *auto*  
**subgoal** **by** (*rule state-eq-sym*)  
**subgoal** **by** (*rule state-eq-trans*)  
**subgoal** **by** (*auto dest!: state-eq-state*)  
**subgoal** **by** (*rule cons-trail*)  
**subgoal** **by** (*rule tl-trail*)

subgoal by (rule state-update-weight-information)  
 subgoal by (rule is-improving-conflicting-clss-update-weight-information)  
 subgoal by (rule conflicting-clss-update-weight-information-in2; assumption)  
 subgoal by (rule atms-of-mm-conflicting-clss-incl-init-clauses)  
 subgoal by (rule state-additional-info')  
 done

**lemma** *improve-model-still-model:*

**assumes**

$\langle \text{bnb.dpll}_W\text{-bound } S \ T \rangle$  **and**  
 $\text{all-struct: } \langle \text{dpll}_W\text{-all-inv } (\text{bnb.abs-state } S) \rangle$  **and**  
 $\text{ent: } \langle \text{set-mset } I \models_{\text{sm}} \text{clauses } S \rangle \langle \text{set-mset } I \models_{\text{sm}} \text{bnb.conflicting-clss } S \rangle$  **and**  
 $\text{dist: } \langle \text{distinct-mset } I \rangle$  **and**  
 $\text{cons: } \langle \text{consistent-interp } (\text{set-mset } I) \rangle$  **and**  
 $\text{tot: } \langle \text{atms-of } I = \text{atms-of-mm } (\text{clauses } S) \rangle$  **and**  
 $\text{le: } \langle \text{Found } (\varrho \ I) < \varrho' \ (\text{weight } T) \rangle$

**shows**

$\langle \text{set-mset } I \models_{\text{sm}} \text{clauses } T \wedge \text{set-mset } I \models_{\text{sm}} \text{bnb.conflicting-clss } T \rangle$

**using** *assms(1)*

**proof** (*cases rule: bnb.dpll<sub>W</sub>-bound.cases*)

**case** (*update-info M M'*) **note** *imp = this(1)* **and** *T = this(2)*

**have** *atm-trail:  $\langle \text{atms-of } (\text{lit-of } \# \text{ mset } (\text{trail } S)) \subseteq \text{atms-of-mm } (\text{clauses } S) \rangle$*  **and**

*dist2:  $\langle \text{distinct-mset } (\text{lit-of } \# \text{ mset } (\text{trail } S)) \rangle$*  **and**

*taut2:  $\langle \neg \text{tautology } (\text{lit-of } \# \text{ mset } (\text{trail } S)) \rangle$*

**using** *all-struct unfolding dpll<sub>W</sub>-all-inv-def* **by** (*auto simp: lits-of-def atms-of-def*

*dest: no-dup-distinct no-dup-not-tautology*)

**have** *tot2:  $\langle \text{total-over-m } (\text{set-mset } I) \ (\text{set-mset } (\text{clauses } S)) \rangle$*

**using** *tot[symmetric]*

**by** (*auto simp: total-over-m-def total-over-set-def atm-iff-pos-or-neg-lit*)

**have** *atm-trail:  $\langle \text{atms-of } (\text{lit-of } \# \text{ mset } M') \subseteq \text{atms-of-mm } (\text{clauses } S) \rangle$*  **and**

*dist2:  $\langle \text{distinct-mset } (\text{lit-of } \# \text{ mset } M') \rangle$*  **and**

*taut2:  $\langle \neg \text{tautology } (\text{lit-of } \# \text{ mset } M') \rangle$*

**using** *imp* **by** (*auto simp: lits-of-def atms-of-def is-improving-int-def simple-clss-def*)

**have** *tot2:  $\langle \text{total-over-m } (\text{set-mset } I) \ (\text{set-mset } (\text{clauses } S)) \rangle$*

**using** *tot[symmetric]*

**by** (*auto simp: total-over-m-def total-over-set-def atm-iff-pos-or-neg-lit*)

**have**

$\langle \text{set-mset } I \models_m \text{conflicting-clauses } (\text{clauses } S) \ (\text{weight } (\text{update-weight-information } M' \ S)) \rangle$

**using** *entails-conflicting-clauses-if-le[ $\text{of } I \ \langle \text{clauses } S \rangle \ M' \ M \ \langle \text{weight } S \rangle$ ]*

**using** *T dist cons tot le imp* **by** *auto*

**then have**  $\langle \text{set-mset } I \models_m \text{bnb.conflicting-clss } (\text{update-weight-information } M' \ S) \rangle$

**by** (*auto simp: update-weight-information-def bnb.conflicting-clss-def*)

**then show** *?thesis*

**using** *ent T* **by** (*auto simp: bnb.conflicting-clss-def state*)

**qed**

**lemma** *cdcl-bnb-still-model:*

**assumes**

$\langle \text{bnb.dpll}_W\text{-bnb } S \ T \rangle$  **and**

$\text{all-struct: } \langle \text{dpll}_W\text{-all-inv } (\text{bnb.abs-state } S) \rangle$  **and**

$\text{ent: } \langle \text{set-mset } I \models_{\text{sm}} \text{clauses } S \rangle \langle \text{set-mset } I \models_{\text{sm}} \text{bnb.conflicting-clss } S \rangle$  **and**

$\text{dist: } \langle \text{distinct-mset } I \rangle$  **and**

$\text{cons: } \langle \text{consistent-interp } (\text{set-mset } I) \rangle$  **and**



```

    tot:  $\langle \text{atms-of } I = \text{atms-of-mm } (\text{clauses } S) \rangle$ 
  shows
     $\langle \text{set-mset } I \models_{sm} \text{clauses } T \wedge \text{set-mset } I \models_{sm} \text{bnb.conflicting-clss } T \rangle \vee \text{Found } (\varrho I) \geq \varrho' (\text{weight } T) \rangle$ 
  using assms
proof (induction rule: bnb.dpllW-bnb.induct)
  case (dpll S T)
  then show ?case using ent by (auto elim!: bnb.dpllW-coreE simp: bnb.state'-def
    dpll-decide.simps dpll-backtrack.simps bnb.backtrack-opt.simps
    dpll-propagate.simps)
next
  case (bnb S T)
  then show ?case
    using improve-model-still-model[of S T I] using assms(2-) by auto
qed

```

**lemma** *cdcl-bnb-larger-still-larger:*

```

  assumes
     $\langle \text{bnb.dpll}_W\text{-bnb } S T \rangle$ 
  shows  $\langle \varrho' (\text{weight } S) \geq \varrho' (\text{weight } T) \rangle$ 
  using assms apply (cases rule: bnb.dpllW-bnb.cases)
  by (auto simp: bnb.dpllW-bound.simps is-improving-int-def bnb.dpllW-core-same-weight)

```

**lemma** *rtranclp-cdcl-bnb-still-model:*

```

  assumes
    st:  $\langle \text{bnb.dpll}_W\text{-bnb}^{**} S T \rangle$  and
    all-struct:  $\langle \text{dpll}_W\text{-all-inv } (\text{bnb.abs-state } S) \rangle$  and
    ent:  $\langle \text{set-mset } I \models_{sm} \text{clauses } S \wedge \text{set-mset } I \models_{sm} \text{bnb.conflicting-clss } S \rangle \vee \text{Found } (\varrho I) \geq \varrho' (\text{weight } S) \rangle$  and
    dist:  $\langle \text{distinct-mset } I \rangle$  and
    cons:  $\langle \text{consistent-interp } (\text{set-mset } I) \rangle$  and
    tot:  $\langle \text{atms-of } I = \text{atms-of-mm } (\text{clauses } S) \rangle$ 
  shows
     $\langle \text{set-mset } I \models_{sm} \text{clauses } T \wedge \text{set-mset } I \models_{sm} \text{bnb.conflicting-clss } T \rangle \vee \text{Found } (\varrho I) \geq \varrho' (\text{weight } T) \rangle$ 
  using st
proof (induction rule: rtranclp-induct)
  case base
  then show ?case
    using ent by auto
next
  case (step T U) note star = this(1) and st = this(2) and IH = this(3)
  have 1:  $\langle \text{dpll}_W\text{-all-inv } (\text{bnb.abs-state } T) \rangle$ 
    using bnb.rtranclp-dpllW-bnb-abs-state-all-inv[OF star all-struct] .
  have 3:  $\langle \text{atms-of } I = \text{atms-of-mm } (\text{clauses } T) \rangle$ 
    using bnb.rtranclp-dpllW-bnb-clauses[OF star] tot by auto
  show ?case
    using cdcl-bnb-still-model[OF st 1 - - dist cons 3] IH
    cdcl-bnb-larger-still-larger[OF st]
    by auto
qed

```

**lemma** *simple-clss-entailed-by-too-heavy-in-conflicting:*

```

 $\langle C \in \# \text{mset-set } (\text{simple-clss } (\text{atms-of-mm } (\text{clauses } S))) \rangle \implies$ 
 $\text{too-heavy-clauses } (\text{clauses } S) (\text{weight } S) \models_{pm}$ 
 $(C) \implies C \in \# \text{bnb.conflicting-clss } S$ 

```

by (auto simp: conflicting-clauses-def bnb.conflicting-clss-def)

lemma can-always-improve:

assumes

ent:  $\langle \text{trail } S \models_{\text{asm}} \text{clauses } S \rangle$  and  
total:  $\langle \text{total-over-m } (\text{lits-of-l } (\text{trail } S)) (\text{set-mset } (\text{clauses } S)) \rangle$  and  
n-s:  $\langle (\forall C \in \# \text{ bnb.conflicting-clss } S. \neg \text{trail } S \models_{\text{as}} \text{CNot } C) \rangle$  and  
all-struct:  $\langle \text{dpll}_W\text{-all-inv } (\text{bnb.abs-state } S) \rangle$   
shows  $\langle \text{Ex } (\text{bnb.dpll}_W\text{-bound } S) \rangle$

proof –

have H:  $\langle (\text{lit-of } \# \text{ mset } (\text{trail } S)) \in \# \text{ mset-set } (\text{simple-clss } (\text{atms-of-mm } (\text{clauses } S))) \rangle$   
 $\langle (\text{lit-of } \# \text{ mset } (\text{trail } S)) \in \text{simple-clss } (\text{atms-of-mm } (\text{clauses } S)) \rangle$   
 $\langle \text{no-dup } (\text{trail } S) \rangle$

apply (subst finite-set-mset-mset-set[OF simple-clss-finite])

using all-struct by (auto simp: simple-clss-def  
dpll<sub>W</sub>-all-inv-def atms-of-def lits-of-def image-image clauses-def  
dest: no-dup-not-tautology no-dup-distinct)

moreover have  $\langle \text{trail } S \models_{\text{as}} \text{CNot } (\text{pNeg } (\text{lit-of } \# \text{ mset } (\text{trail } S))) \rangle$

by (auto simp: pNeg-def true-annots-true-clss-def-iff-negation-in-model lits-of-def)

ultimately have le:  $\langle \text{Found } (\varrho (\text{lit-of } \# \text{ mset } (\text{trail } S))) < \varrho' (\text{weight } S) \rangle$

using n-s total not-entailed-too-heavy-clauses-ge[of  $\langle \text{lit-of } \# \text{ mset } (\text{trail } S) \rangle \langle \text{clauses } S \rangle \langle \text{weight } S \rangle$ ]  
simple-clss-entailed-by-too-heavy-in-conflicting[of  $\langle \text{pNeg } (\text{lit-of } \# \text{ mset } (\text{trail } S)) \rangle S]$

by (cases  $\neg \text{too-heavy-clauses } (\text{clauses } S) (\text{weight } S) \models_{\text{pm}}$

$\text{pNeg } (\text{lit-of } \# \text{ mset } (\text{trail } S)))$

(auto simp: lits-of-def

conflicting-clauses-def clauses-def negate-ann-lits-pNeg-lit-of image-iff  
simple-clss-finite subset-iff

dest: bspec[of -  $\langle (\text{lit-of } \# \text{ mset } (\text{trail } S)) \rangle$ ] dest: total-over-m-atms-incl  
true-clss-clss-in too-heavy-clauses-contains-itself

dest!: multi-member-split)

have tr:  $\langle \text{trail } S \models_{\text{asm}} \text{clauses } S \rangle$

using ent by (auto simp: clauses-def)

have tot':  $\langle \text{total-over-m } (\text{lits-of-l } (\text{trail } S)) (\text{set-mset } (\text{clauses } S)) \rangle$

using total all-struct by (auto simp: total-over-m-def total-over-set-def)

have M':  $\langle \varrho (\text{lit-of } \# \text{ mset } M') = \varrho (\text{lit-of } \# \text{ mset } (\text{trail } S)) \rangle$

if  $\langle \text{total-over-m } (\text{lits-of-l } M') (\text{set-mset } (\text{clauses } S)) \rangle$  and

incl:  $\langle \text{mset } (\text{trail } S) \subseteq \# \text{ mset } M' \rangle$  and

$\langle \text{lit-of } \# \text{ mset } M' \in \text{simple-clss } (\text{atms-of-mm } (\text{clauses } S)) \rangle$

for M'

proof –

have [simp]:  $\langle \text{lits-of-l } M' = \text{set-mset } (\text{lit-of } \# \text{ mset } M') \rangle$

by (auto simp: lits-of-def)

obtain A where A:  $\langle \text{mset } M' = A + \text{mset } (\text{trail } S) \rangle$

using incl by (auto simp: mset-subset-eq-exists-conv)

have M':  $\langle \text{lits-of-l } M' = \text{lit-of } \# \text{ set-mset } A \cup \text{lits-of-l } (\text{trail } S) \rangle$

unfolding lits-of-def

by (metis A image-Un set-mset-mset set-mset-union)

have  $\langle \text{mset } M' = \text{mset } (\text{trail } S) \rangle$

using that tot' total unfolding A total-over-m-alt-def

apply (case-tac A)

apply (auto simp: A simple-clss-def distinct-mset-add M' image-Un  
tautology-union mset-inter-empty-set-mset atms-of-def atms-of-s-def  
atm-of-in-atm-of-set-iff-in-set-or-uminus-in-set image-image  
tautology-add-mset)

by (metis (no-types, lifting) atm-of-in-atm-of-set-iff-in-set-or-uminus-in-set

```

      lits-of-def subsetCE)
    then show ?thesis
      using total by auto
    qed
  have ⟨bnb.is-improving (trail S) (trail S) S⟩
  if ⟨Found (ρ (lit-of '# mset (trail S))) < ρ' (weight S)⟩
  using that total H tr tot' M' unfolding is-improving-int-def lits-of-def
  by fast
  then show ?thesis
    using bnb.dpllW-bound.intros[of ⟨trail S⟩ - S ⟨update-weight-information (trail S) S⟩] total H le
    by fast
  qed

```

**lemma** *no-step-dpll<sub>W</sub>-bnb-conflict*:

```

  assumes
    ns: ⟨no-step bnb.dpllW-bnb S⟩ and
    invs: ⟨dpllW-all-inv (bnb.abs-state S)⟩
  shows ⟨∃ C ∈# clauses S + bnb.conflicting-clss S. trail S ⊨as CNot C⟩ (is ?A) and
    ⟨count-decided (trail S) = 0⟩ and
    ⟨unsatisfiable (set-mset (clauses S + bnb.conflicting-clss S))⟩
  apply (rule bnb.no-step-dpllW-bnb-conflict[OF - assms])
  subgoal using can-always-improve by blast
  apply (rule bnb.no-step-dpllW-bnb-conflict[OF - assms])
  subgoal using can-always-improve by blast
  apply (rule bnb.no-step-dpllW-bnb-conflict[OF - assms])
  subgoal using can-always-improve by blast
  done

```

**lemma** *full-cdcl-bnb-stgy-larger-or-equal-weight*:

```

  assumes
    st: ⟨full bnb.dpllW-bnb S T⟩ and
    all-struct: ⟨dpllW-all-inv (bnb.abs-state S)⟩ and
    ent: ⟨(set-mset I ⊨sm clauses S ∧ set-mset I ⊨sm bnb.conflicting-clss S) ∨ Found (ρ I) ≥ ρ' (weight
S)⟩ and
    dist: ⟨distinct-mset I⟩ and
    cons: ⟨consistent-interp (set-mset I)⟩ and
    tot: ⟨atms-of I = atms-of-mm (clauses S)⟩
  shows
    ⟨Found (ρ I) ≥ ρ' (weight T)⟩ and
    ⟨unsatisfiable (set-mset (clauses T + bnb.conflicting-clss T))⟩

```

**proof** –

```

  have ns: ⟨no-step bnb.dpllW-bnb T⟩ and
    st: ⟨bnb.dpllW-bnb** S T⟩
  using st unfolding full-def by (auto intro: )
  have struct-T: ⟨dpllW-all-inv (bnb.abs-state T)⟩
  using bnb.rtranclp-dpllW-bnb-abs-state-all-inv[OF st all-struct] .

```

```

  have atms-eq: ⟨atms-of I ∪ atms-of-mm (bnb.conflicting-clss T) = atms-of-mm (clauses T)⟩
  using atms-of-mm-conflicting-clss-incl-init-clauses[of T]
    bnb.rtranclp-dpllW-bnb-clauses[OF st] tot
  by auto

```

```

  show ⟨unsatisfiable (set-mset (clauses T + bnb.conflicting-clss T))⟩
  using no-step-dpllW-bnb-conflict[of T] ns struct-T
  by fast

```

```

then have  $\langle \neg \text{set-mset } I \models_{sm} \text{clauses } T + \text{bnb.conflicting-clss } T \rangle$ 
  using dist cons by auto
then have  $\langle \text{False} \rangle$  if  $\langle \text{Found } (\varrho I) < \varrho' (\text{weight } T) \rangle$ 
  using ent that rtranclp-cdcl-bnb-still-model[OF st assms(2-)]
    bnb.rtranclp-dpllW-bnb-clauses[OF st] by auto
then show  $\langle \text{Found } (\varrho I) \geq \varrho' (\text{weight } T) \rangle$ 
  by force
qed

```

**end**

**end**

**theory** *DPLL-W-Partial-Encoding*

**imports**

*DPLL-W-Optimal-Model*

*CDCL-W-Partial-Encoding*

**begin**

**context** *optimal-encoding-ops*

**begin**

We use the following list to generate an upper bound of the derived trails by ODPLL: using lists makes it possible to use recursion. Using *inductive-set* does not work, because it is not possible to recurse on the arguments of a predicate.

The idea is similar to an earlier definition of *simple-clss*, although in that case, we went for recursion over the set of literals directly, via a choice in the recursive call.

**definition** *list-new-vars* ::  $\langle 'v \text{ list} \rangle$  **where**  
 $\langle \text{list-new-vars} = (\text{SOME } v. \text{set } v = \Delta\Sigma \wedge \text{distinct } v) \rangle$

**lemma**

*set-list-new-vars*:  $\langle \text{set list-new-vars} = \Delta\Sigma \rangle$  **and**  
*distinct-list-new-vars*:  $\langle \text{distinct list-new-vars} \rangle$  **and**  
*length-list-new-vars*:  $\langle \text{length list-new-vars} = \text{card } \Delta\Sigma \rangle$   
**using** *someI[of  $\langle \lambda v. \text{set } v = \Delta\Sigma \wedge \text{distinct } v \rangle$ ]*  
**unfolding** *list-new-vars-def[symmetric]*  
**using** *finite- $\Sigma$  finite-distinct-list* **apply** *blast*  
**using** *someI[of  $\langle \lambda v. \text{set } v = \Delta\Sigma \wedge \text{distinct } v \rangle$ ]*  
**unfolding** *list-new-vars-def[symmetric]*  
**using** *finite- $\Sigma$  finite-distinct-list* **apply** *blast*  
**using** *someI[of  $\langle \lambda v. \text{set } v = \Delta\Sigma \wedge \text{distinct } v \rangle$ ]*  
**unfolding** *list-new-vars-def[symmetric]*  
**by** (*metis distinct-card finite- $\Sigma$  finite-distinct-list*)

**fun** *all-sound-trails* **where**

$\langle \text{all-sound-trails } [] = \text{simple-clss } (\Sigma - \Delta\Sigma) \rangle$  |  
 $\langle \text{all-sound-trails } (L \# M) =$   
 $\text{all-sound-trails } M \cup \text{add-mset } (\text{Pos } (\text{replacement-pos } L)) \text{ 'all-sound-trails } M$   
 $\cup \text{add-mset } (\text{Pos } (\text{replacement-neg } L)) \text{ 'all-sound-trails } M \rangle$

**lemma** *all-sound-trails-atms*:

$\langle \text{set } xs \subseteq \Delta\Sigma \implies$   
 $C \in \text{all-sound-trails } xs \implies$

```

    atms-of  $C \subseteq \Sigma - \Delta\Sigma \cup \text{replacement-pos } \text{' set } xs \cup \text{replacement-neg } \text{' set } xs$ 
  apply (induction xs arbitrary: C)
  subgoal by (auto simp: simple-clss-def)
  subgoal for x xs C
    apply (auto simp: tautology-add-mset)
    apply blast+
  done
done

```

```

lemma all-sound-trails-distinct-mset:
  ⟨set xs  $\subseteq \Delta\Sigma \implies$  distinct xs  $\implies$ 
     $C \in \text{all-sound-trails } xs \implies$ 
    distinct-mset C⟩
  using all-sound-trails-atms[of xs C]
  apply (induction xs arbitrary: C)
  subgoal by (auto simp: simple-clss-def)
  subgoal for x xs C
    apply clarsimp
    apply (auto simp: tautology-add-mset)
    apply (simp add: all-sound-trails-atms; fail)+
    apply (frule all-sound-trails-atms, assumption)
    apply (auto dest!: multi-member-split simp: subsetD)
    apply (simp add: all-sound-trails-atms; fail)+
    apply (frule all-sound-trails-atms, assumption)
    apply (auto dest!: multi-member-split simp: subsetD)
    apply (simp add: all-sound-trails-atms; fail)+
  done
done

```

```

lemma all-sound-trails-tautology:
  ⟨set xs  $\subseteq \Delta\Sigma \implies$  distinct xs  $\implies$ 
     $C \in \text{all-sound-trails } xs \implies$ 
     $\neg \text{tautology } C$ ⟩
  using all-sound-trails-atms[of xs C]
  apply (induction xs arbitrary: C)
  subgoal by (auto simp: simple-clss-def)
  subgoal for x xs C
    apply clarsimp
    apply (auto simp: tautology-add-mset)
    apply (simp add: all-sound-trails-atms; fail)+
    apply (frule all-sound-trails-atms, assumption)
    apply (auto dest!: multi-member-split simp: subsetD)
    apply (simp add: all-sound-trails-atms; fail)+
    apply (frule all-sound-trails-atms, assumption)
    apply (auto dest!: multi-member-split simp: subsetD)
  done
done

```

```

lemma all-sound-trails-simple-clss:
  ⟨set xs  $\subseteq \Delta\Sigma \implies$  distinct xs  $\implies$ 
     $\text{all-sound-trails } xs \subseteq \text{simple-clss } (\Sigma - \Delta\Sigma \cup \text{replacement-pos } \text{' set } xs \cup \text{replacement-neg } \text{' set } xs)$ ⟩
  using all-sound-trails-tautology[of xs]
    all-sound-trails-distinct-mset[of xs]
    all-sound-trails-atms[of xs]
  by (fastforce simp: simple-clss-def)

```

**lemma** *in-all-sound-trails-inD*:

```

  ⟨set xs ⊆ ΔΣ ⟹ distinct xs ⟹ a ∈ ΔΣ ⟹
    add-mset (Pos (a↦0)) xa ∈ all-sound-trails xs ⟹ a ∈ set xs
  using all-sound-trails-simple-clss[of xs]
  apply (auto simp: simple-clss-def)
  apply (rotate-tac 3)
  apply (frule set-mp, assumption)
  apply auto
done

```

**lemma** *in-all-sound-trails-inD'*:

```

  ⟨set xs ⊆ ΔΣ ⟹ distinct xs ⟹ a ∈ ΔΣ ⟹
    add-mset (Pos (a↦1)) xa ∈ all-sound-trails xs ⟹ a ∈ set xs
  using all-sound-trails-simple-clss[of xs]
  apply (auto simp: simple-clss-def)
  apply (rotate-tac 3)
  apply (frule set-mp, assumption)
  apply auto
done

```

**context**

assumes [simp]: ⟨finite Σ⟩

**begin**

**lemma** *all-sound-trails-finite*[simp]:

```

  ⟨finite (all-sound-trails xs)⟩
  by (induction xs)
    (auto intro!: simple-clss-finite finite-Σ)

```

**lemma** *card-all-sound-trails*:

```

  assumes ⟨set xs ⊆ ΔΣ⟩ and ⟨distinct xs⟩
  shows ⟨card (all-sound-trails xs) = card (simple-clss (Σ - ΔΣ)) * 3length xs⟩
  using assms
  apply (induction xs)
  apply auto
  apply (subst card-Un-disjoint)
  apply (auto simp: add-mset-eq-add-mset dest: in-all-sound-trails-inD)
  apply (subst card-Un-disjoint)
  apply (auto simp: add-mset-eq-add-mset dest: in-all-sound-trails-inD')
  apply (subst card-image)
  apply (auto simp: inj-on-def)
  apply (subst card-image)
  apply (auto simp: inj-on-def)
done

```

**end**

**lemma** *simple-clss-all-sound-trails*: ⟨simple-clss (Σ - ΔΣ) ⊆ all-sound-trails ys⟩

```

  apply (induction ys)
  apply auto
done

```

**lemma** *all-sound-trails-decomp-in*:

```

  assumes
    ⟨C ⊆ ΔΣ⟩ ⟨C' ⊆ ΔΣ⟩ ⟨C ∩ C' = {}⟩ ⟨C ∪ C' ⊆ set xs⟩
    ⟨D ∈ simple-clss (Σ - ΔΣ)⟩

```

```

shows
  ⟨(Pos o replacement-pos) ‘# mset-set C + (Pos o replacement-neg) ‘# mset-set C' + D ∈ all-sound-trails
xs)
  using assms
  apply (induction xs arbitrary: C C' D)
  subgoal
    using simple-clss-all-sound-trails[of ⟨[]⟩]
    by auto
  subgoal premises p for a xs C C' D
    apply (cases ⟨a ∈# mset-set C⟩)
    subgoal
      using p(1)[of ⟨C - {a}⟩ C' D] p(2-)
      finite-subset[OF p(3)]
      apply -
      apply (subgoal-tac ⟨finite C ∧ C - {a} ⊆ ΔΣ ∧ C' ⊆ ΔΣ ∧ (C - {a}) ∩ C' = {} ∧ C - {a} ∪
C' ⊆ set xs⟩)
      defer
      apply (auto simp: disjoint-iff-not-equal finite-subset)[]
      apply (auto dest!: multi-member-split)
      by (simp add: mset-set.remove)
    apply (cases ⟨a ∈# mset-set C'⟩)
    subgoal
      using p(1)[of C ⟨C' - {a}⟩ D] p(2-)
      finite-subset[OF p(3)]
      apply -
      apply (subgoal-tac ⟨finite C ∧ C ⊆ ΔΣ ∧ C' - {a} ⊆ ΔΣ ∧ (C) ∩ (C' - {a}) = {} ∧ C ∪ C' -
{a} ⊆ set xs ∧
C ⊆ set xs ∧ C' - {a} ⊆ set xs⟩)
      defer
      apply (auto simp: disjoint-iff-not-equal finite-subset)[]
      apply (auto dest!: multi-member-split)
      by (simp add: mset-set.remove)
    subgoal
      using p(1)[of C C' D] p(2-)
      finite-subset[OF p(3)]
      apply -
      apply (subgoal-tac ⟨finite C ∧ C ⊆ ΔΣ ∧ C' ⊆ ΔΣ ∧ (C) ∩ (C') = {} ∧ C ∪ C' ⊆ set xs ∧
C ⊆ set xs ∧ C' ⊆ set xs⟩)
      defer
      apply (auto simp: disjoint-iff-not-equal finite-subset)[]
      by (auto dest!: multi-member-split)
    done
  done
done

lemma (in -)image-union-subset-decomp:
  ⟨f ‘ (C) ⊆ A ∪ B ⟷ (∃ A' B'. f ‘ A' ⊆ A ∧ f ‘ B' ⊆ B ∧ C = A' ∪ B' ∧ A' ∩ B' = {})⟩
  apply (rule iffI)
  apply (rule exI[of - ⟨{x ∈ C. f x ∈ A}⟩])
  apply (rule exI[of - ⟨{x ∈ C. f x ∈ B ∧ f x ∉ A}⟩])
  apply auto
  done

lemma in-all-sound-trails:
  assumes
    ⟨∧ L. L ∈ ΔΣ ⟹ Neg (replacement-pos L) ∉# C⟩
    ⟨∧ L. L ∈ ΔΣ ⟹ Neg (replacement-neg L) ∉# C⟩

```

$\langle \bigwedge L. L \in \Delta\Sigma \implies \text{Pos}(\text{replacement-pos } L) \in \# C \implies \text{Pos}(\text{replacement-neg } L) \notin \# C \rangle$   
 $\langle C \in \text{simple-clss } (\Sigma - \Delta\Sigma \cup \text{replacement-pos 'set } xs \cup \text{replacement-neg 'set } xs) \rangle$  **and**  
 $xs: \langle \text{set } xs \subseteq \Delta\Sigma \rangle$   
**shows**  
 $\langle C \in \text{all-sound-trails } xs \rangle$   
**proof** –  
**have**  
 $\text{atms}: \langle \text{atms-of } C \subseteq (\Sigma - \Delta\Sigma \cup \text{replacement-pos 'set } xs \cup \text{replacement-neg 'set } xs) \rangle$  **and**  
 $\text{taut}: \langle \neg \text{tautology } C \rangle$  **and**  
 $\text{dist}: \langle \text{distinct-mset } C \rangle$   
**using** *assms unfolding simple-clss-def*  
**by** *blast+*  
  
**obtain**  $A' B' A'a B''$  **where**  
 $A'a: \langle \text{atm-of ' } A'a \subseteq \Sigma - \Delta\Sigma \rangle$  **and**  
 $B'': \langle \text{atm-of ' } B'' \subseteq \text{replacement-pos 'set } xs \rangle$  **and**  
 $\langle A' = A'a \cup B'' \rangle$  **and**  
 $B': \langle \text{atm-of ' } B' \subseteq \text{replacement-neg 'set } xs \rangle$  **and**  
 $C: \langle \text{set-mset } C = A'a \cup B'' \cup B' \rangle$  **and**  
 $\text{inter}: \langle B'' \cap B' = \{\} \rangle$   
 $\langle A'a \cap B' = \{\} \rangle$   
 $\langle A'a \cap B'' = \{\} \rangle$   
**using** *atms unfolding atms-of-def*  
**apply** (*subst (asm)image-union-subset-decomp*)  
**apply** (*subst (asm)image-union-subset-decomp*)  
**by** (*auto simp: Int-Un-distrib2*)  
  
**have**  $H: \langle f ' A \subseteq B \implies x \in A \implies f x \in B \rangle$  **for**  $x A B f$   
**by** *auto*  
**have** [*simp*]:  $\langle \text{finite } A'a \rangle \langle \text{finite } B'' \rangle \langle \text{finite } B' \rangle$   
**by** (*metis C finite-Un finite-set-mset*)+  
**obtain**  $CB'' CB'$  **where**  
 $CB: \langle CB' \subseteq \text{set } xs \rangle \langle CB'' \subseteq \text{set } xs \rangle$  **and**  
 $\text{decomp}: \langle \text{atm-of ' } B'' = \text{replacement-pos ' } CB'' \rangle$   
 $\langle \text{atm-of ' } B' = \text{replacement-neg ' } CB' \rangle$   
**using**  $B' B''$  **by** (*auto simp: subset-image-iff*)  
**have**  $C: \langle C = \text{mset-set } B'' + \text{mset-set } B' + \text{mset-set } A'a \rangle$   
**using** *inter*  
**apply** (*subst distinct-set-mset-eq-iff[symmetric, OF dist]*)  
**apply** (*auto simp: C distinct-mset-mset-set simp flip: mset-set-Union*)  
**apply** (*subst mset-set-Union[symmetric]*)  
**using** *inter*  
**apply** *auto*  
**apply** (*auto simp: distinct-mset-mset-set*)  
**done**  
**have**  $B'': \langle B'' = (\text{Pos}) ' (\text{atm-of ' } B'') \rangle$   
**using** *assms(1-3) B'' xs A'a B'' unfolding C*  
**apply** (*auto simp:* )  
**apply** (*frule H, assumption*)  
**apply** (*case-tac x*)  
**apply** *auto*  
**apply** (*rule-tac x = replacement-pos A in imageI*)  
**apply** (*auto simp add: rev-image-eqI*)  
**apply** (*frule H, assumption*)



```

  apply (case-tac xb)
  apply auto
  done
have B':  $\langle B' = (Pos) \text{ ' (atm-of ' B') } \rangle$ 
  using assms(1-3) B' xs A'a B' unfolding C
  apply (auto simp: )
  apply (frule H, assumption)
  apply (case-tac x)
  apply auto
  apply (rule-tac x =  $\langle \text{replacement-neg A} \rangle$  in imageI)
  apply (auto simp add: rev-image-eqI)
  apply (frule H, assumption)
  apply (case-tac xb)
  apply auto
  done

have simple:  $\langle \text{mset-set A'a} \in \text{simple-cls} (\Sigma - \Delta\Sigma) \rangle$ 
  using assms A'a
  by (auto simp: simple-cls-def C atms-of-def image-Un tautology-decomp distinct-mset-mset-set)

have [simp]:  $\langle \text{finite (Pos ' replacement-pos ' CB')} \rangle \langle \text{finite (Pos ' replacement-neg ' CB')} \rangle$ 
  using B''  $\langle \text{finite B''} \rangle$  decomp  $\langle \text{finite B'} \rangle$  B' apply auto
  by (meson CB(1) finite- $\Sigma$  finite-imageI finite-subset xs)
show ?thesis
  unfolding C
  apply (subst B'', subst B')
  unfolding decomp image-image
  apply (subst image-mset-mset-set[symmetric])
  subgoal
    using decomp xs B' B'' inter CB
    by (auto simp: C inj-on-def subset-iff)
  apply (subst image-mset-mset-set[symmetric])
  subgoal
    using decomp xs B' B'' inter CB
    by (auto simp: C inj-on-def subset-iff)
  apply (rule all-sound-trails-decomp-in[unfolded comp-def])
    using decomp xs B' B'' inter CB assms(3) simple
    unfolding C
    apply (auto simp: image-image)
    subgoal for x
      apply (subgoal-tac  $\langle x \in \Delta\Sigma \rangle$ )
      using assms(3)[of x]
      apply auto
      by (metis (mono-tags, lifting) B'  $\langle \text{finite (Pos ' replacement-neg ' CB')} \rangle \langle \text{finite B''} \rangle$  decomp(2)
        finite-set-mset-mset-set image-iff)
    done
qed

end

locale dpll-optimal-encoding-opt =
  dpllW-state-optimal-weight trail clauses
  tl-trail cons-trail state-eq state  $\varrho$  update-additional-info +
  optimal-encoding-opt-ops  $\Sigma$   $\Delta\Sigma$  new-vars
  for

```

```

trail :: ⟨'st ⇒ 'v dpllW-ann-lits⟩ and
clauses :: ⟨'st ⇒ 'v clauses⟩ and
tl-trail :: ⟨'st ⇒ 'st⟩ and
cons-trail :: ⟨'v dpllW-ann-lit ⇒ 'st ⇒ 'st⟩ and
state-eq :: ⟨'st ⇒ 'st ⇒ bool⟩ (infix ⟨~⟩ 50) and
state :: ⟨'st ⇒ 'v dpllW-ann-lits × 'v clauses × 'v clause option × 'b⟩ and
update-additional-info :: ⟨'v clause option × 'b ⇒ 'st ⇒ 'st⟩ and
Σ ΔΣ :: ⟨'v set⟩ and
ρ :: ⟨'v clause ⇒ 'a :: {linorder}⟩ and
new-vars :: ⟨'v ⇒ 'v × 'v⟩
begin

end

```

```

locale dpll-optimal-encoding =
  dpll-optimal-encoding-opt trail clauses
  tl-trail cons-trail state-eq state
  update-additional-info Σ ΔΣ ρ new-vars +
  optimal-encoding-ops
  Σ ΔΣ
  new-vars ρ
for
  trail :: ⟨'st ⇒ 'v dpllW-ann-lits⟩ and
  clauses :: ⟨'st ⇒ 'v clauses⟩ and
  tl-trail :: ⟨'st ⇒ 'st⟩ and
  cons-trail :: ⟨'v dpllW-ann-lit ⇒ 'st ⇒ 'st⟩ and
  state-eq :: ⟨'st ⇒ 'st ⇒ bool⟩ (infix ⟨~⟩ 50) and
  state :: ⟨'st ⇒ 'v dpllW-ann-lits × 'v clauses × 'v clause option × 'b⟩ and
  update-additional-info :: ⟨'v clause option × 'b ⇒ 'st ⇒ 'st⟩ and
  Σ ΔΣ :: ⟨'v set⟩ and
  ρ :: ⟨'v clause ⇒ 'a :: {linorder}⟩ and
  new-vars :: ⟨'v ⇒ 'v × 'v⟩
begin

```

```

inductive odecide :: ⟨'st ⇒ 'st ⇒ bool⟩ where
  odecide-noweight: ⟨odecide S T⟩
if
  ⟨undefined-lit (trail S) L⟩ and
  ⟨atm-of L ∈ atms-of-mm (clauses S)⟩ and
  ⟨T ~ cons-trail (Decided L) S⟩ and
  ⟨atm-of L ∈ Σ - ΔΣ⟩ |
  odecide-replacement-pos: ⟨odecide S T⟩
if
  ⟨undefined-lit (trail S) (Pos (replacement-pos L))⟩ and
  ⟨T ~ cons-trail (Decided (Pos (replacement-pos L))) S⟩ and
  ⟨L ∈ ΔΣ⟩ |
  odecide-replacement-neg: ⟨odecide S T⟩
if
  ⟨undefined-lit (trail S) (Pos (replacement-neg L))⟩ and
  ⟨T ~ cons-trail (Decided (Pos (replacement-neg L))) S⟩ and
  ⟨L ∈ ΔΣ⟩

inductive-cases odecideE: ⟨odecide S T⟩

```

**inductive** *dppll-conflict* ::  $\langle 'st \Rightarrow 'st \Rightarrow bool \rangle$  **where**

$\langle dppll\text{-}conflict\ S\ S \rangle$

**if**  $\langle C \in \# \text{ clauses } S \rangle$  **and**

$\langle trail\ S \models_{as} CNot\ C \rangle$

**inductive** *odppll<sub>W</sub>-core-stgy* ::  $\langle 'st \Rightarrow 'st \Rightarrow bool \rangle$  **for** *S T* **where**

*propagate*:  $\langle dppll\text{-}propagate\ S\ T \Longrightarrow odppll_W\text{-}core\text{-}stgy\ S\ T \rangle \mid$

*decided*:  $\langle odecide\ S\ T \Longrightarrow no\text{-}step\ dppll\text{-}propagate\ S \Longrightarrow odppll_W\text{-}core\text{-}stgy\ S\ T \rangle \mid$

*backtrack*:  $\langle dppll\text{-}backtrack\ S\ T \Longrightarrow odppll_W\text{-}core\text{-}stgy\ S\ T \rangle \mid$

*backtrack-opt*:  $\langle bnb.\text{backtrack-opt}\ S\ T \Longrightarrow odppll_W\text{-}core\text{-}stgy\ S\ T \rangle$

**lemma** *odppll<sub>W</sub>-core-stgy-clauses*:

$\langle odppll_W\text{-}core\text{-}stgy\ S\ T \Longrightarrow clauses\ T = clauses\ S \rangle$

**by** (*induction rule*: *odppll<sub>W</sub>-core-stgy.induct*)

(*auto simp*: *dppll-propagate.simps odecide.simps dppll-backtrack.simps*  
*bnb.backtrack-opt.simps*)

**lemma** *rtrancplp-odppll<sub>W</sub>-core-stgy-clauses*:

$\langle odppll_W\text{-}core\text{-}stgy^{**}\ S\ T \Longrightarrow clauses\ T = clauses\ S \rangle$

**by** (*induction rule*: *rtrancplp-induct*)

(*auto dest*: *odppll<sub>W</sub>-core-stgy-clauses*)

**inductive** *odppll<sub>W</sub>-bnb-stgy* ::  $\langle 'st \Rightarrow 'st \Rightarrow bool \rangle$  **for** *S T* :: *'st* **where**

*dppll*:

$\langle odppll_W\text{-}bnb\text{-}stgy\ S\ T \rangle$

**if**  $\langle odppll_W\text{-}core\text{-}stgy\ S\ T \rangle \mid$

*bnb*:

$\langle odppll_W\text{-}bnb\text{-}stgy\ S\ T \rangle$

**if**  $\langle bnb.\text{dppll}_W\text{-}bound\ S\ T \rangle$

**lemma** *odppll<sub>W</sub>-bnb-stgy-clauses*:

$\langle odppll_W\text{-}bnb\text{-}stgy\ S\ T \Longrightarrow clauses\ T = clauses\ S \rangle$

**by** (*induction rule*: *odppll<sub>W</sub>-bnb-stgy.induct*)

(*auto simp*: *bnb.dppll<sub>W</sub>-bound.simps dest*: *odppll<sub>W</sub>-core-stgy-clauses*)

**lemma** *rtrancplp-odppll<sub>W</sub>-bnb-stgy-clauses*:

$\langle odppll_W\text{-}bnb\text{-}stgy^{**}\ S\ T \Longrightarrow clauses\ T = clauses\ S \rangle$

**by** (*induction rule*: *rtrancplp-induct*)

(*auto dest*: *odppll<sub>W</sub>-bnb-stgy-clauses*)

**lemma** *odecide-dppll-decide-iff*:

**assumes**  $\langle clauses\ S = penc\ N \rangle \langle atms\text{-}of\text{-}mm\ N = \Sigma \rangle$

**shows**  $\langle odecide\ S\ T \Longrightarrow dppll\text{-}decide\ S\ T \rangle$

$\langle dppll\text{-}decide\ S\ T \Longrightarrow Ex(odecide\ S) \rangle$

**using** *assms atms-of-mm-penc-subset2*[*of N*]  $\Delta\Sigma\text{-}\Sigma$

**unfolding** *odecide.simps dppll-decide.simps*

**apply** (*auto simp*: *odecide.simps dppll-decide.simps*)

**apply** (*metis defined-lit-Pos-atm-iff state-eq-ref*) +

**done**

**lemma**

**assumes**  $\langle clauses\ S = penc\ N \rangle \langle atms\text{-}of\text{-}mm\ N = \Sigma \rangle$

**shows**

*odppll<sub>W</sub>-core-stgy-dppll<sub>W</sub>-core-stgy*:  $\langle odppll_W\text{-}core\text{-}stgy\ S\ T \Longrightarrow bnb.\text{dppll}_W\text{-}core\text{-}stgy\ S\ T \rangle$

**using** *odecide-dppll-decide-iff*[*OF assms*]

by (auto simp: odpll<sub>W</sub>-core-stgy.simps bnb.dpll<sub>W</sub>-core-stgy.simps)

**lemma**

**assumes**  $\langle \text{clauses } S = \text{penc } N \rangle$   $\langle \text{atms-of-mm } N = \Sigma \rangle$

**shows**

$\text{odpll}_W\text{-bnb-stgy-dpll}_W\text{-bnb-stgy}: \langle \text{odpll}_W\text{-bnb-stgy } S \ T \implies \text{bnb.dpll}_W\text{-bnb } S \ T \rangle$

**using** odecide-dpll-decide-iff[*OF assms*]

**by** (auto simp: odpll<sub>W</sub>-bnb-stgy.simps bnb.dpll<sub>W</sub>-bnb.simps dest: odpll<sub>W</sub>-core-stgy-dpll<sub>W</sub>-core-stgy[*OF assms*]  
 bnb.dpll<sub>W</sub>-core-stgy-dpll<sub>W</sub>-core)

**lemma**

**assumes**  $\langle \text{clauses } S = \text{penc } N \rangle$  **and** [simp]:  $\langle \text{atms-of-mm } N = \Sigma \rangle$

**shows**

$\text{rtrancpl-odpll}_W\text{-bnb-stgy-dpll}_W\text{-bnb-stgy}: \langle \text{odpll}_W\text{-bnb-stgy}^{**} \ S \ T \implies \text{bnb.dpll}_W\text{-bnb}^{**} \ S \ T \rangle$

**using** assms(1) **apply** –

**apply** (induction rule: rtrancpl-induct)

**subgoal by** auto

**subgoal for**  $T \ U$

**using** odpll<sub>W</sub>-bnb-stgy-dpll<sub>W</sub>-bnb-stgy[of  $T \ N \ U$ ] rtrancpl-odpll<sub>W</sub>-bnb-stgy-clauses[of  $S \ T$ ]

**by** auto

**done**

**lemma** no-step-odpll<sub>W</sub>-core-stgy-no-step-dpll<sub>W</sub>-core-stgy:

**assumes**  $\langle \text{clauses } S = \text{penc } N \rangle$  **and** [simp]:  $\langle \text{atms-of-mm } N = \Sigma \rangle$

**shows**

$\langle \text{no-step odpll}_W\text{-core-stgy } S \longleftrightarrow \text{no-step bnb.dpll}_W\text{-core-stgy } S \rangle$

**using** odecide-dpll-decide-iff[of  $S$ , *OF assms*]

**by** (auto simp: odpll<sub>W</sub>-core-stgy.simps bnb.dpll<sub>W</sub>-core-stgy.simps)

**lemma** no-step-odpll<sub>W</sub>-bnb-stgy-no-step-dpll<sub>W</sub>-bnb:

**assumes**  $\langle \text{clauses } S = \text{penc } N \rangle$  **and** [simp]:  $\langle \text{atms-of-mm } N = \Sigma \rangle$

**shows**

$\langle \text{no-step odpll}_W\text{-bnb-stgy } S \longleftrightarrow \text{no-step bnb.dpll}_W\text{-bnb } S \rangle$

**using** no-step-odpll<sub>W</sub>-core-stgy-no-step-dpll<sub>W</sub>-core-stgy[of  $S$ , *OF assms*] bnb.no-step-stgy-iff

**by** (auto simp: odpll<sub>W</sub>-bnb-stgy.simps bnb.dpll<sub>W</sub>-bnb.simps dest: odpll<sub>W</sub>-core-stgy-dpll<sub>W</sub>-core-stgy[*OF assms*]  
 bnb.dpll<sub>W</sub>-core-stgy-dpll<sub>W</sub>-core)

**lemma** full-odpll<sub>W</sub>-core-stgy-full-dpll<sub>W</sub>-core-stgy:

**assumes**  $\langle \text{clauses } S = \text{penc } N \rangle$  **and** [simp]:  $\langle \text{atms-of-mm } N = \Sigma \rangle$

**shows**

$\langle \text{full odpll}_W\text{-bnb-stgy } S \ T \implies \text{full bnb.dpll}_W\text{-bnb } S \ T \rangle$

**using** no-step-odpll<sub>W</sub>-bnb-stgy-no-step-dpll<sub>W</sub>-bnb[of  $T$ , *OF - assms*(2)]

rtrancpl-odpll<sub>W</sub>-bnb-stgy-clauses[of  $S \ T$ , *symmetric, unfolded assms*]

rtrancpl-odpll<sub>W</sub>-bnb-stgy-dpll<sub>W</sub>-bnb-stgy[of  $S \ N \ T$ , *OF assms*]

**by** (auto simp: full-def)

**lemma** decided-cons-eq-append-decide-cons:

$\text{Decided } L \ \# \ Ms = M' \ @ \ \text{Decided } K \ \# \ M \longleftrightarrow$

$(L = K \wedge Ms = M \wedge M' = []) \vee$

$(\text{hd } M' = \text{Decided } L \wedge Ms = \text{tl } M' \ @ \ \text{Decided } K \ \# \ M \wedge M' \neq [])$

**by** (cases  $M'$ )

auto

**lemma** *no-step-dpll-backtrack-iff*:

$\langle \text{no-step dpll-backtrack } S \longleftrightarrow (\text{count-decided } (\text{trail } S) = 0 \vee (\forall C \in \# \text{ clauses } S. \neg \text{trail } S \models_{\text{as}} \text{CNot } C)) \rangle$

**using** *backtrack-snd-empty-not-decided*[of  $\langle \text{trail } S \rangle$ ] *backtrack-split-list-eq*[of  $\langle \text{trail } S \rangle$ , *symmetric*]

**apply** (*cases*  $\langle \text{backtrack-split } (\text{trail } S) \rangle$ ; *cases*  $\langle \text{snd}(\text{backtrack-split } (\text{trail } S)) \rangle$ )

**by** (*auto simp*: *dpll-backtrack.simps* *count-decided-0-iff*)

**lemma** *no-step-dpll-conflict*:

$\langle \text{no-step dpll-conflict } S \longleftrightarrow (\forall C \in \# \text{ clauses } S. \neg \text{trail } S \models_{\text{as}} \text{CNot } C) \rangle$

**by** (*auto simp*: *dpll-conflict.simps*)

**definition** *no-smaller-propa* ::  $\langle 'st \Rightarrow \text{bool} \rangle$  **where**

*no-smaller-propa* ( $S :: 'st$ )  $\longleftrightarrow$

$(\forall M K M' D L. \text{trail } S = M' @ \text{Decided } K \# M \longrightarrow \text{add-mset } L D \in \# \text{ clauses } S \longrightarrow \text{undefined-lit } M L \longrightarrow \neg M \models_{\text{as}} \text{CNot } D)$

**lemma** [*simp*]:  $\langle T \sim S \Longrightarrow \text{no-smaller-propa } T = \text{no-smaller-propa } S \rangle$

**by** (*auto simp*: *no-smaller-propa-def*)

**lemma** *no-smaller-propa-cons-trail*[*simp*]:

$\langle \text{no-smaller-propa } (\text{cons-trail } (\text{Propagated } L C) S) \longleftrightarrow \text{no-smaller-propa } S \rangle$

$\langle \text{no-smaller-propa } (\text{update-weight-information } M' S) \longleftrightarrow \text{no-smaller-propa } S \rangle$

**by** (*force simp*: *no-smaller-propa-def* *cdcl<sub>W</sub>-restart-mset.propagated-cons-eq-append-decide-cons*)<sup>+</sup>

**lemma** *no-smaller-propa-cons-trail-decided*[*simp*]:

$\langle \text{no-smaller-propa } S \Longrightarrow \text{no-smaller-propa } (\text{cons-trail } (\text{Decided } L) S) \longleftrightarrow (\forall L C. \text{add-mset } L C \in \# \text{ clauses } S \longrightarrow \text{undefined-lit } (\text{trail } S) L \longrightarrow \neg \text{trail } S \models_{\text{as}} \text{CNot } C) \rangle$

**by** (*auto simp*: *no-smaller-propa-def* *cdcl<sub>W</sub>-restart-mset.propagated-cons-eq-append-decide-cons* *decided-cons-eq-append-decide-cons*)

**lemma** *no-step-dpll-propagate-iff*:

$\langle \text{no-step dpll-propagate } S \longleftrightarrow (\forall L C. \text{add-mset } L C \in \# \text{ clauses } S \longrightarrow \text{undefined-lit } (\text{trail } S) L \longrightarrow \neg \text{trail } S \models_{\text{as}} \text{CNot } C) \rangle$

**by** (*auto simp*: *dpll-propagate.simps*)

**lemma** *count-decided-0-no-smaller-propa*:  $\langle \text{count-decided } (\text{trail } S) = 0 \Longrightarrow \text{no-smaller-propa } S \rangle$

**by** (*auto simp*: *no-smaller-propa-def*)

**lemma** *no-smaller-propa-backtrack-split*:

$\langle \text{no-smaller-propa } S \Longrightarrow$

$\text{backtrack-split } (\text{trail } S) = (M', L \# M) \Longrightarrow$

$\text{no-smaller-propa } (\text{reduce-trail-to } M S) \rangle$

**using** *backtrack-split-list-eq*[of  $\langle \text{trail } S \rangle$ , *symmetric*]

**by** (*auto simp*: *no-smaller-propa-def*)

**lemma** *odpll<sub>W</sub>-core-stgy-no-smaller-propa*:

$\langle \text{odpll}_W\text{-core-stgy } S T \Longrightarrow \text{no-smaller-propa } S \Longrightarrow \text{no-smaller-propa } T \rangle$

**using** *no-step-dpll-backtrack-iff*[of  $S$ ] **apply**  $-$

**by** (*induction rule*: *odpll<sub>W</sub>-core-stgy.induct*)

(*auto* 5 5 *simp*: *cdcl<sub>W</sub>-restart-mset.propagated-cons-eq-append-decide-cons* *count-decided-0-no-smaller-propa* *dpll-propagate.simps* *dpll-decide.simps* *odecide.simps* *decided-cons-eq-append-decide-cons* *bnb.backtrack-opt.simps* *dpll-backtrack.simps* *no-step-dpll-conflict* *no-smaller-propa-backtrack-split*)

**lemma** *odpll<sub>W</sub>-bound-stgy-no-smaller-propa*:  $\langle \text{bnb.dpll}_W\text{-bound } S T \Longrightarrow \text{no-smaller-propa } S \Longrightarrow \text{no-smaller-propa } T \rangle$

**by** (*auto simp*: *cdcl<sub>W</sub>-restart-mset.propagated-cons-eq-append-decide-cons* *count-decided-0-no-smaller-propa*

*dppl-propagate.simps dppl-decide.simps odecide.simps decided-cons-eq-append-decide-cons bnb.dppl<sub>W</sub>-bound.simps  
 bnb.backtrack-opt.simps dppl-backtrack.simps no-step-dppl-conflict no-smaller-propa-backtrack-split)*

**lemma** *odppl<sub>W</sub>-bnb-stgy-no-smaller-propa:*

*⟨odppl<sub>W</sub>-bnb-stgy S T ⟹ no-smaller-propa S ⟹ no-smaller-propa T⟩*

**by** (*induction rule: odppl<sub>W</sub>-bnb-stgy.induct*)

(*auto simp: odppl<sub>W</sub>-core-stgy-no-smaller-propa odppl<sub>W</sub>-bound-stgy-no-smaller-propa*)

**lemma** *filter-disjount-union:*

*⟨(⋀x. x ∈ set xs ⟹ P x ⟹ ¬Q x) ⟹  
 length (filter P xs) + length (filter Q xs) =  
 length (filter (λx. P x ∨ Q x) xs)⟩*

**by** (*induction xs*) *auto*

**lemma** *Collect-req-remove1:*

*⟨{a ∈ A. a ≠ b ∧ P a} = (if P b then Set.remove b {a ∈ A. P a} else {a ∈ A. P a})⟩ and  
 Collect-req-remove2:*

*⟨{a ∈ A. b ≠ a ∧ P a} = (if P b then Set.remove b {a ∈ A. P a} else {a ∈ A. P a})⟩*

**by** *auto*

**lemma** *card-remove:*

*⟨card (Set.remove a A) = (if a ∈ A then card A - 1 else card A)⟩*

**apply** (*auto simp: Set.remove-def*)

**by** (*metis Diff-empty One-nat-def card-Diff-insert card-infinite empty-iff  
 finite-Diff-insert gr-implies-not0 neq0-conv zero-less-diff*)

**lemma** *isabelle-should-do-that-automatically:* *⟨Suc (a - Suc 0) = a ⟷ a ≥ 1⟩*

**by** *auto*

**lemma** *distinct-count-list-if:* *⟨distinct xs ⟹ count-list xs x = (if x ∈ set xs then 1 else 0)⟩*

**by** (*induction xs*) *auto*

**abbreviation** (*input*) *cut-and-complete-trail :: ⟨'st ⇒ -⟩ where*

*⟨cut-and-complete-trail S ≡ trail S⟩*

**inductive** *odppl<sub>W</sub>-core-stgy-count :: ⟨'st × - ⇒ 'st × - ⇒ bool⟩ where*

*propagate: ⟨dppl-propagate S T ⟹ odppl<sub>W</sub>-core-stgy-count (S, C) (T, C)⟩ |*

*decided: ⟨odecide S T ⟹ no-step dppl-propagate S ⟹ odppl<sub>W</sub>-core-stgy-count (S, C) (T, C)⟩ |*

*backtrack: ⟨dppl-backtrack S T ⟹ odppl<sub>W</sub>-core-stgy-count (S, C) (T, add-mset (cut-and-complete-trail  
 S) C)⟩ |*

*backtrack-opt: ⟨bnb.backtrack-opt S T ⟹ odppl<sub>W</sub>-core-stgy-count (S, C) (T, add-mset (cut-and-complete-trail  
 S) C)⟩*

**inductive** *odppl<sub>W</sub>-bnb-stgy-count :: ⟨'st × - ⇒ 'st × - ⇒ bool⟩ where*

*dppl:*

*⟨odppl<sub>W</sub>-bnb-stgy-count S T⟩*

**if** *⟨odppl<sub>W</sub>-core-stgy-count S T⟩ |*

*bnb:*

*⟨odppl<sub>W</sub>-bnb-stgy-count (S, C) (T, C)⟩*

**if** *⟨bnb.dppl<sub>W</sub>-bound S T⟩*

**lemma** *odppl<sub>W</sub>-core-stgy-countD:*

$\langle \text{odpll}_W\text{-core-stgy-count } S \ T \implies \text{odpll}_W\text{-core-stgy } (\text{fst } S) \ (\text{fst } T) \rangle$   
 $\langle \text{odpll}_W\text{-core-stgy-count } S \ T \implies \text{snd } S \subseteq \# \text{ snd } T \rangle$   
**by** (induction rule:  $\text{odpll}_W\text{-core-stgy-count.induct}$ ; auto intro:  $\text{odpll}_W\text{-core-stgy.intros}$ ) +

**lemma**  $\text{odpll}_W\text{-bnb-stgy-countD}$ :

$\langle \text{odpll}_W\text{-bnb-stgy-count } S \ T \implies \text{odpll}_W\text{-bnb-stgy } (\text{fst } S) \ (\text{fst } T) \rangle$   
 $\langle \text{odpll}_W\text{-bnb-stgy-count } S \ T \implies \text{snd } S \subseteq \# \text{ snd } T \rangle$   
**by** (induction rule:  $\text{odpll}_W\text{-bnb-stgy-count.induct}$ ; auto dest:  $\text{odpll}_W\text{-core-stgy-countD}$  intro:  $\text{odpll}_W\text{-bnb-stgy.intros}$ ) +

**lemma**  $\text{rtrancpl}\text{-odpll}_W\text{-bnb-stgy-countD}$ :

$\langle \text{odpll}_W\text{-bnb-stgy-count}^{**} S \ T \implies \text{odpll}_W\text{-bnb-stgy}^{**} (\text{fst } S) \ (\text{fst } T) \rangle$   
 $\langle \text{odpll}_W\text{-bnb-stgy-count}^{**} S \ T \implies \text{snd } S \subseteq \# \text{ snd } T \rangle$   
**by** (induction rule:  $\text{rtrancpl}\text{-induct}$ ; auto dest:  $\text{odpll}_W\text{-bnb-stgy-countD}$ ) +

**lemmas**  $\text{odpll}_W\text{-core-stgy-count-induct} = \text{odpll}_W\text{-core-stgy-count.induct}$  [of  $\langle (S, n) \rangle \langle (T, m) \rangle$  **for**  $S \ n \ T \ m$ ,  $\text{split-format}(\text{complete})$ ,  $\text{OF dpll-optimal-encoding-axioms}$ ,  
 consumes 1]

**definition**  $\text{conflict-clauses-are-entailed} :: \langle 'st \times - \Rightarrow \text{bool} \rangle$  **where**

$\langle \text{conflict-clauses-are-entailed} =$   
 $(\lambda(S, Cs). \forall C \in \# Cs. (\exists M' K M''. \text{trail } S = M' @ \text{Propagated } K \ () \ \# M \wedge C = M'' @ \text{Decided}$   
 $(-K) \ \# M)) \rangle$

**definition**  $\text{conflict-clauses-are-entailed2} :: \langle 'st \times ('v \text{ literal}, 'v \text{ literal}, \text{unit}) \text{ annotated-lits multiset} \Rightarrow \text{bool} \rangle$  **where**

$\langle \text{conflict-clauses-are-entailed2} =$   
 $(\lambda(S, Cs). \forall C \in \# Cs. \forall C' \in \# \text{remove1-mset } C \ Cs. (\exists L. \text{Decided } L \in \text{set } C \wedge \text{Propagated } (-L) \ ()$   
 $\in \text{set } C') \vee$   
 $(\exists L. \text{Propagated } (L) \ () \in \text{set } C \wedge \text{Decided } (-L) \in \text{set } C')) \rangle$

**lemma**  $\text{propagated-cons-eq-append-propagated-cons}$ :

$\langle \text{Propagated } L \ () \ \# M = M' @ \text{Propagated } K \ () \ \# Ma \longleftrightarrow$   
 $(M' = [] \wedge K = L \wedge M = Ma) \vee$   
 $(M' \neq [] \wedge \text{hd } M' = \text{Propagated } L \ () \wedge M = \text{tl } M' @ \text{Propagated } K \ () \ \# Ma) \rangle$   
**by** (cases  $M'$ )  
 auto

**lemma**  $\text{odpll}_W\text{-core-stgy-count-conflict-clauses-are-entailed}$ :

**assumes**  
 $\langle \text{odpll}_W\text{-core-stgy-count } S \ T \rangle$  **and**  
 $\langle \text{conflict-clauses-are-entailed } S \rangle$   
**shows**  
 $\langle \text{conflict-clauses-are-entailed } T \rangle$   
**using**  $\text{assms}$   
**apply** (induction rule:  $\text{odpll}_W\text{-core-stgy-count.induct}$ )  
**subgoal**  
**apply** (auto simp:  $\text{dpll-propagate.simps}$   $\text{conflict-clauses-are-entailed-def}$   
 $\text{cdcl}_W\text{-restart-mset.propagated-cons-eq-append-decide-cons}$ )  
**by** (metis  $\text{append-Cons}$ )  
**subgoal for**  $S \ T$   
**apply** (auto simp:  $\text{odecide.simps}$   $\text{conflict-clauses-are-entailed-def}$   
 dest!:  $\text{multi-member-split}$  intro:  $\text{exI}$  [of  $- \langle \text{Decided } - \ \# \ - \rangle$ ])  
**by** (metis  $\text{append-Cons}$ ) +  
**subgoal for**  $S \ T \ C$

```

using backtrack-split-list-eq[of ⟨trail S⟩, symmetric]
      backtrack-split-snd-hd-decided[of ⟨trail S⟩]
apply (auto simp: dpll-backtrack.simps conflict-clauses-are-entailed-def
      propagated-cons-eq-append-propagated-cons is-decided-def append-eq-append-conv2
      eq-commute[of - ⟨Propagated - () # -⟩] conj-disj-distribR ex-disj-distrib
      cdclW-restart-mset.propagated-cons-eq-append-decide-cons dpllW-all-inv-def
      dest!: multi-member-split
      simp del: backtrack-split-list-eq
    )
apply (case-tac us)
by force+
subgoal for S T C
using backtrack-split-list-eq[of ⟨trail S⟩, symmetric]
      backtrack-split-snd-hd-decided[of ⟨trail S⟩]
apply (auto simp: bnb.backtrack-opt.simps conflict-clauses-are-entailed-def
      propagated-cons-eq-append-propagated-cons is-decided-def append-eq-append-conv2
      eq-commute[of - ⟨Propagated - () # -⟩] conj-disj-distribR ex-disj-distrib
      cdclW-restart-mset.propagated-cons-eq-append-decide-cons
      dpllW-all-inv-def
      dest!: multi-member-split
      simp del: backtrack-split-list-eq
    )
apply (case-tac us)
by force+
done

```

**lemma** *odpll<sub>W</sub>-bnb-stgy-count-conflict-clauses-are-entailed:*

```

assumes
  ⟨odpllW-bnb-stgy-count S T⟩ and
  ⟨conflict-clauses-are-entailed S⟩
shows
  ⟨conflict-clauses-are-entailed T⟩
using asms odpllW-core-stgy-count-conflict-clauses-are-entailed[of S T]
apply (auto simp: odpllW-bnb-stgy-count.simps)
apply (auto simp: conflict-clauses-are-entailed-def
  bnb.dpllW-bound.simps)
done

```

**lemma** *odpll<sub>W</sub>-core-stgy-count-no-dup-cls:*

```

assumes
  ⟨odpllW-core-stgy-count S T⟩ and
  ⟨∀ C ∈# snd S. no-dup C⟩ and
  invs: ⟨dpllW-all-inv (bnb.abs-state (fst S))⟩
shows
  ⟨∀ C ∈# snd T. no-dup C⟩
using asms
by (induction rule: odpllW-core-stgy-count.induct)
  (auto simp: dpllW-all-inv-def)

```

**lemma** *odpll<sub>W</sub>-bnb-stgy-count-no-dup-cls:*

```

assumes
  ⟨odpllW-bnb-stgy-count S T⟩ and
  ⟨∀ C ∈# snd S. no-dup C⟩ and
  invs: ⟨dpllW-all-inv (bnb.abs-state (fst S))⟩
shows

```



$\langle \forall C \in \# \text{ snd } T. \text{ no-dup } C \rangle$   
**using** *assms*  
**by** (*induction rule: odpll<sub>W</sub>-bnb-stgy-count.induct*)  
 (*auto simp: dpll<sub>W</sub>-all-inv-def*  
*bnb.dpll<sub>W</sub>-bound.simps dest!: odpll<sub>W</sub>-core-stgy-count-no-dup-cls*)  
**lemma** *backtrack-split-conflict-clauses-are-entailed-itself:*  
**assumes**  
 $\langle \text{backtrack-split } (\text{trail } S) = (M', L \# M) \rangle$  **and**  
*invs:  $\langle \text{dpll}_W\text{-all-inv } (\text{bnb.abs-state } S) \rangle$*   
**shows**  $\langle \neg \text{conflict-clauses-are-entailed}$   
 $(S, \text{add-mset } (\text{trail } S) C) \rangle$  (**is**  $\langle \neg ?A \rangle$ )  
**proof**  
**assume**  $?A$   
**then obtain**  $M' K Ma$  **where**  
*tr:  $\langle \text{trail } S = M' @ \text{Propagated } K () \# Ma \rangle$  and*  
 $\langle \text{add-mset } (- K) (\text{lit-of } \# \text{ mset } Ma) \subseteq \#$   
 $\text{add-mset } (\text{lit-of } L) (\text{lit-of } \# \text{ mset } M) \rangle$   
**by** (*clarsimp simp: conflict-clauses-are-entailed-def*)  
  
**then have**  $\langle -K \in \# \text{add-mset } (\text{lit-of } L) (\text{lit-of } \# \text{ mset } M) \rangle$   
**by** (*meson member-add-mset mset-subset-eqD*)  
**then have**  $\langle -K \in \# \text{lit-of } \# \text{ mset } (\text{trail } S) \rangle$   
**using** *backtrack-split-list-eq[ $\langle \text{trail } S \rangle$ , symmetric]* *assms(1)*  
**by** *auto*  
**moreover have**  $\langle K \in \# \text{lit-of } \# \text{ mset } (\text{trail } S) \rangle$   
**by** (*auto simp: tr*)  
**ultimately show** *False* **using** *invs unfolding dpll<sub>W</sub>-all-inv-def*  
**by** (*auto simp add: no-dup-cannot-not-lit-and-uminus uminus-lit-swap*)  
**qed**

**lemma** *odpll<sub>W</sub>-core-stgy-count-distinct-mset:*  
**assumes**  
 $\langle \text{odpll}_W\text{-core-stgy-count } S T \rangle$  **and**  
 $\langle \text{conflict-clauses-are-entailed } S \rangle$  **and**  
 $\langle \text{distinct-mset } (\text{snd } S) \rangle$  **and**  
*invs:  $\langle \text{dpll}_W\text{-all-inv } (\text{bnb.abs-state } (\text{fst } S)) \rangle$*   
**shows**  
 $\langle \text{distinct-mset } (\text{snd } T) \rangle$   
**using** *assms(1,2,3,4) odpll<sub>W</sub>-core-stgy-count-conflict-clauses-are-entailed[OF assms(1,2)]*  
**apply** (*induction rule: odpll<sub>W</sub>-core-stgy-count.induct*)  
**subgoal**  
**by** (*auto simp: dpll-propagate.simps conflict-clauses-are-entailed-def*  
*cdcl<sub>W</sub>-restart-mset.propagated-cons-eq-append-decide-cons*)  
**subgoal**  
**by** (*auto simp:*)  
**subgoal for**  $S T C$   
**by** (*clarsimp simp: dpll-backtrack.simps backtrack-split-conflict-clauses-are-entailed-itself*  
*dest!: multi-member-split*)  
**subgoal for**  $S T C$   
**by** (*clarsimp simp: bnb.backtrack-opt.simps backtrack-split-conflict-clauses-are-entailed-itself*  
*dest!: multi-member-split*)  
**done**

**lemma** *odpll<sub>W</sub>-bnb-stgy-count-distinct-mset*:

**assumes**

⟨*odpll<sub>W</sub>-bnb-stgy-count* *S T*⟩ **and**  
 ⟨*conflict-clauses-are-entailed* *S*⟩ **and**  
 ⟨*distinct-mset* (*snd S*)⟩ **and**  
*invs*: ⟨*dpll<sub>W</sub>-all-inv* (*bnb.abs-state* (*fst S*))⟩

**shows**

⟨*distinct-mset* (*snd T*)⟩

**using** *assms odpll<sub>W</sub>-core-stgy-count-distinct-mset*[*OF* - *assms*(2-), of *T*]

**by** (*auto simp: odpll<sub>W</sub>-bnb-stgy-count.simps*)

**lemma** *odpll<sub>W</sub>-core-stgy-count-conflict-clauses-are-entailed2*:

**assumes**

⟨*odpll<sub>W</sub>-core-stgy-count* *S T*⟩ **and**  
 ⟨*conflict-clauses-are-entailed* *S*⟩ **and**  
 ⟨*conflict-clauses-are-entailed2* *S*⟩ **and**  
 ⟨*distinct-mset* (*snd S*)⟩ **and**  
*invs*: ⟨*dpll<sub>W</sub>-all-inv* (*bnb.abs-state* (*fst S*))⟩

**shows**

⟨*conflict-clauses-are-entailed2* *T*⟩

**using** *assms*

**proof** (*induction rule: odpll<sub>W</sub>-core-stgy-count.induct*)

**case** (*propagate S T C*)

**then show** ?*case*

**by** (*auto simp: dpll-propagate.simps conflict-clauses-are-entailed2-def*)

**next**

**case** (*decided S T C*)

**then show** ?*case*

**by** (*auto simp: dpll-decide.simps conflict-clauses-are-entailed2-def*)

**next**

**case** (*backtrack S T C*) **note** *bt = this(1)* **and** *ent = this(2)* **and** *ent2 = this(3)* **and** *dist = this(4)*  
**and** *invs = this(5)*

**let** ?*M* = ⟨*cut-and-complete-trail* *S*⟩

**have** ⟨*conflict-clauses-are-entailed* (*T*, *add-mset* ?*M C*)⟩ **and**

*dist'*: ⟨*distinct-mset* (*add-mset* ?*M C*)⟩

**using** *odpll<sub>W</sub>-core-stgy-count-conflict-clauses-are-entailed*[*OF* - *ent*, of ⟨(*T*, *add-mset* ?*M C*)⟩]

*odpll<sub>W</sub>-core-stgy-count-distinct-mset*[*OF* - *ent dist invs*, of ⟨(*T*, *add-mset* ?*M C*)⟩]

*bt* **by** (*auto dest!: odpll<sub>W</sub>-core-stgy-count.intros*(3)[of *S T C*])

**obtain** *M1 K M2* **where**

*spl*: ⟨*backtrack-split* (*trail S*) = (*M2*, *Decided K* # *M1*)⟩

**using** *bt backtrack-split-snd-hd-decided*[of ⟨*trail S*⟩]

**by** (*cases* ⟨*hd* (*snd* (*backtrack-split* (*trail S*)))⟩) (*auto simp: dpll-backtrack.simps*)

**have** *has-dec*: ⟨ $\exists l \in \text{set } (\text{trail } S). \text{is-decided } l$ ⟩

**using** *bt apply* (*auto simp: dpll-backtrack.simps*)

**using** *bt count-decided-0-iff no-step-dpll-backtrack-iff* **by** *blast*

**let** ?*P* = ⟨ $\lambda Ca C'. \dots$ ⟩

⟨ $\exists L. \text{Decided } L \in \text{set } Ca \wedge \text{Propagated } (- L) () \in \text{set } C' \rangle \vee$

⟨ $\exists L. \text{Propagated } L () \in \text{set } Ca \wedge \text{Decided } (- L) \in \text{set } C' \rangle$ ⟩

**have** ⟨ $\forall C' \in \# \text{remove1-mset } ?M C. ?P ?M C' \rangle$

**proof**

**fix** *C'*

**assume** ⟨ $C' \in \# \text{remove1-mset } ?M C \rangle$

**then have** ⟨ $C' \in \# C \rangle$  **and** ⟨ $C' \neq ?M \rangle$

```

    using dist' by auto
  then obtain M' L M M'' where
    ⟨trail S = M' @ Propagated L () # M⟩ and
    ⟨C' = M'' @ Decided (− L) # M⟩
    using ent unfolding conflict-clauses-are-entailed-def
    by auto
  then show ⟨?P ?M C'⟩
    using backtrack-split-some-is-decided-then-snd-has-hd[of ⟨trail S⟩, OF has-dec]
      spl backtrack-split-list-eq[of ⟨trail S⟩, symmetric]
    by (clarsimp simp: conj-disj-distribR ex-disj-distrib decided-cons-eq-append-decide-cons
      cdclw-restart-mset.propagated-cons-eq-append-decide-cons propagated-cons-eq-append-propagated-cons
      append-eq-append-conv2)
qed
moreover have H: ⟨?case ⟷ (∀ Ca ∈ #add-mset ?M C.
  ∀ C' ∈ #remove1-mset Ca C. ?P Ca C')⟩
  unfolding conflict-clauses-are-entailed2-def prod.case
  apply (intro conjI iffI impI ballI)
  subgoal for Ca C'
    by (auto dest: multi-member-split dest: in-diffD)
  subgoal for Ca C'
    using dist'
    by (auto 5 3 dest!: multi-member-split[of Ca] dest: in-diffD)
  done
moreover have ⟨(∀ Ca ∈ #C. ∀ C' ∈ #remove1-mset Ca C. ?P Ca C')⟩
  using ent2 unfolding conflict-clauses-are-entailed2-def
  by auto
ultimately show ?case
  unfolding H
  by auto
next
  case (backtrack-opt S T C) note bt = this(1) and ent = this(2) and ent2 = this(3) and dist =
  this(4)
  and invs = this(5)
  let ?M = ⟨cut-and-complete-trail S⟩
  have ⟨conflict-clauses-are-entailed (T, add-mset ?M C)⟩ and
    dist': ⟨distinct-mset (add-mset ?M C)⟩
    using odpllW-core-stgy-count-conflict-clauses-are-entailed[OF - ent, of ⟨(T, add-mset ?M C)⟩]
    odpllW-core-stgy-count-distinct-mset[OF - ent dist invs, of ⟨(T, add-mset ?M C)⟩]
    bt by (auto dest!: odpllW-core-stgy-count.intros(4)[of S T C])

  obtain M1 K M2 where
    spl: ⟨backtrack-split (trail S) = (M2, Decided K # M1)⟩
    using bt backtrack-split-snd-hd-decided[of ⟨trail S⟩]
    by (cases ⟨hd (snd (backtrack-split (trail S)))⟩) (auto simp: bnb.backtrack-opt.simps)
  have has-dec: ⟨∃ l ∈ set (trail S). is-decided l⟩
    using bt apply (auto simp: bnb.backtrack-opt.simps)
    by (metis annotated-lit.disc(1) backtrack-split-list-eq in-set-conv-decomp snd-conv spl)

  let ?P = ⟨λCa C'.
    (∃ L. Decided L ∈ set Ca ∧ Propagated (− L) () ∈ set C') ∨
    (∃ L. Propagated L () ∈ set Ca ∧ Decided (− L) ∈ set C')⟩
  have ⟨∀ C' ∈ #remove1-mset ?M C. ?P ?M C'⟩
  proof
    fix C'
    assume ⟨C' ∈ #remove1-mset ?M C⟩
    then have ⟨C' ∈ #C⟩ and ⟨C' ≠ ?M⟩

```

```

    using dist' by auto
  then obtain M' L M M'' where
    ⟨trail S = M' @ Propagated L () # M⟩ and
    ⟨C' = M'' @ Decided (− L) # M⟩
    using ent unfolding conflict-clauses-are-entailed-def
    by auto
  then show ⟨?P ?M C'⟩
    using backtrack-split-some-is-decided-then-snd-has-hd[of ⟨trail S⟩, OF has-dec]
      spl backtrack-split-list-eq[of ⟨trail S⟩, symmetric]
    by (clarsimp simp: conj-disj-distribR ex-disj-distrib decided-cons-eq-append-decide-cons
      cdclW-restart-mset.propagated-cons-eq-append-decide-cons propagated-cons-eq-append-propagated-cons
      append-eq-append-conv2)
  qed
  moreover have H: ⟨?case ⟷ (∀ Ca ∈ #add-mset ?M C.
    ∀ C' ∈ #remove1-mset Ca C. ?P Ca C')⟩
    unfolding conflict-clauses-are-entailed2-def prod.case
    apply (intro conjI iffI impI ballI)
    subgoal for Ca C'
      by (auto dest: multi-member-split dest: in-diffD)
    subgoal for Ca C'
      using dist'
      by (auto 5 3 dest!: multi-member-split[of Ca] dest: in-diffD)
    done
  moreover have ⟨(∀ Ca ∈ #C. ∀ C' ∈ #remove1-mset Ca C. ?P Ca C')⟩
    using ent2 unfolding conflict-clauses-are-entailed2-def
    by auto
  ultimately show ?case
    unfolding H
    by auto
  qed

```

**lemma** *odpll<sub>W</sub>-bnb-stgy-count-conflict-clauses-are-entailed2*:

```

  assumes
    ⟨odpllW-bnb-stgy-count S T⟩ and
    ⟨conflict-clauses-are-entailed S⟩ and
    ⟨conflict-clauses-are-entailed2 S⟩ and
    ⟨distinct-mset (snd S)⟩ and
    invs: ⟨dpllW-all-inv (bnb.abs-state (fst S))⟩
  shows
    ⟨conflict-clauses-are-entailed2 T⟩
  using assms odpllW-core-stgy-count-conflict-clauses-are-entailed2[of S T]
  apply (auto simp: odpllW-bnb-stgy-count.simps)
  apply (auto simp: conflict-clauses-are-entailed2-def
    bnb.dpllW-bound.simps)
  done

```

**definition** *no-complement-set-lit* :: ⟨'v dpll<sub>W</sub>-ann-lits ⇒ bool⟩ **where**

```

  ⟨no-complement-set-lit M ⟷
    (∀ L ∈ ΔΣ. Decided (Pos (replacement-pos L)) ∈ set M ⟶ Decided (Pos (replacement-neg L)) ∉
      set M) ∧
    (∀ L ∈ ΔΣ. Decided (Neg (replacement-pos L)) ∉ set M) ∧
    (∀ L ∈ ΔΣ. Decided (Neg (replacement-neg L)) ∉ set M) ∧
    atm-of ' lits-of-l M ⊆ Σ − ΔΣ ∪ replacement-pos ' ΔΣ ∪ replacement-neg ' ΔΣ⟩

```

**definition** *no-complement-set-lit-st* :: ⟨'st × 'v dpll<sub>W</sub>-ann-lits multiset ⇒ bool⟩ **where**

$\langle \text{no-complement-set-lit-st} = (\lambda(S, Cs). (\forall C \in \#Cs. \text{no-complement-set-lit } C) \wedge \text{no-complement-set-lit } (\text{trail } S)) \rangle$

**lemma** *backtrack-no-complement-set-lit*:  $\langle \text{no-complement-set-lit } (\text{trail } S) \implies$   
 $\text{backtrack-split } (\text{trail } S) = (M', L \# M) \implies$   
 $\text{no-complement-set-lit } (\text{Propagated } (- \text{ lit-of } L) () \# M) \rangle$   
**using** *backtrack-split-list-eq*[of  $\langle \text{trail } S \rangle$ , *symmetric*]  
**by** (*auto simp: no-complement-set-lit-def*)

**lemma** *odpll<sub>W</sub>-core-stgy-count-no-complement-set-lit-st*:

**assumes**  
 $\langle \text{odpll}_W\text{-core-stgy-count } S \ T \rangle$  **and**  
 $\langle \text{conflict-clauses-are-entailed } S \rangle$  **and**  
 $\langle \text{conflict-clauses-are-entailed2 } S \rangle$  **and**  
 $\langle \text{distinct-mset } (\text{snd } S) \rangle$  **and**  
 $\text{invs: } \langle \text{dpll}_W\text{-all-inv } (\text{bnb.abs-state } (\text{fst } S)) \rangle$  **and**  
 $\langle \text{no-complement-set-lit-st } S \rangle$  **and**  
 $\text{atms: } \langle \text{clauses } (\text{fst } S) = \text{penc } N \rangle \langle \text{atms-of-mm } N = \Sigma \rangle$  **and**  
 $\langle \text{no-smaller-propa } (\text{fst } S) \rangle$

**shows**

$\langle \text{no-complement-set-lit-st } T \rangle$

**using** *assms*

**proof** (*induction rule: odpll<sub>W</sub>-core-stgy-count.induct*)

**case** (*propagate*  $S \ T \ C$ )

**then show** *?case*

**using** *atms-of-mm-penc-subset2*[of  $N$ ]  $\Delta\Sigma\text{-}\Sigma$

**apply** (*auto simp: dpll-propagate.simps no-complement-set-lit-st-def no-complement-set-lit-def*  
 $\text{dpll}_W\text{-all-inv-def dest!: multi-member-split}$ )

**apply** *blast*

**apply** *blast*

**apply** *auto*

**done**

**next**

**case** (*decided*  $S \ T \ C$ )

**have**  $H1$ : *False* **if**  $\langle \text{Decided } (\text{Pos } (L^{\mapsto 0})) \in \text{set } (\text{trail } S) \rangle$

$\langle \text{undefined-lit } (\text{trail } S) (\text{Pos } (L^{\mapsto 1})) \rangle \langle L \in \Delta\Sigma \rangle$  **for**  $L$

**proof** –

**have**  $\langle \{ \# \text{Neg } (L^{\mapsto 0}), \text{Neg } (L^{\mapsto 1}) \# \} \in \# \text{ clauses } S \rangle$

**using** *decided that*

**by** (*fastforce simp: penc-def additional-constraints-def additional-constraint-def*)

**then show** *False*

**using** *decided(2) that*

**apply** (*auto 7 4 simp: dpll-propagate.simps add-mset-eq-add-mset all-conj-distrib*  
 $\text{imp-conjR imp-conjL remove1-mset-empty-iff defined-lit-Neg-Pos-iff lits-of-def}$   
 $\text{dest!: multi-member-split dest: in-lits-of-l-defined-litD}$ )

**apply** (*metis (full-types) image-iff lit-of.simps(1)*)

**apply** *auto*

**apply** (*metis (full-types) image-iff lit-of.simps(1)*)

**done**

**qed**

**have**  $H2$ : *False* **if**  $\langle \text{Decided } (\text{Pos } (L^{\mapsto 1})) \in \text{set } (\text{trail } S) \rangle$

$\langle \text{undefined-lit } (\text{trail } S) (\text{Pos } (L^{\mapsto 0})) \rangle \langle L \in \Delta\Sigma \rangle$  **for**  $L$

**proof** –

**have**  $\langle \{ \# \text{Neg } (L^{\mapsto 0}), \text{Neg } (L^{\mapsto 1}) \# \} \in \# \text{ clauses } S \rangle$

**using** *decided that*

**by** (*fastforce simp: penc-def additional-constraints-def additional-constraint-def*)

```

then show False
  using decided(2) that
  apply (auto 7 4 simp: dpll-propagate.simps add-mset-eq-add-mset all-conj-distrib
    imp-conjR imp-conjL remove1-mset-empty-iff defined-lit-Neg-Pos-iff lits-of-def
    dest!: multi-member-split dest: in-lits-of-l-defined-litD)
  apply (metis (full-types) image-iff lit-of.simps(1))
  apply auto
  apply (metis (full-types) image-iff lit-of.simps(1))
  done
qed
have ⟨?case  $\longleftrightarrow$  no-complement-set-lit (trail T)⟩
  using decided(1,7) unfolding no-complement-set-lit-st-def
  by (auto simp: odecide.simps)
moreover have ⟨no-complement-set-lit (trail T)⟩
proof -
  have H: ⟨L  $\in \Delta\Sigma \implies$ 
    Decided (Pos (L $\mapsto^1$ ))  $\in$  set (trail S)  $\implies$ 
    Decided (Pos (L $\mapsto^0$ ))  $\in$  set (trail S)  $\implies$  False⟩
  ⟨L  $\in \Delta\Sigma \implies$  Decided (Neg (L $\mapsto^1$ ))  $\in$  set (trail S)  $\implies$  False⟩
  ⟨L  $\in \Delta\Sigma \implies$  Decided (Neg (L $\mapsto^0$ ))  $\in$  set (trail S)  $\implies$  False⟩
  ⟨atm-of ‘lits-of-l (trail S)  $\subseteq \Sigma - \Delta\Sigma \cup$  replacement-pos ‘  $\Delta\Sigma \cup$  replacement-neg ‘  $\Delta\Sigma$ ⟩
  for L
  using decided(7) unfolding no-complement-set-lit-st-def no-complement-set-lit-def
  by blast+
  have ⟨L  $\in \Delta\Sigma \implies$ 
    Decided (Pos (L $\mapsto^1$ ))  $\in$  set (trail T)  $\implies$ 
    Decided (Pos (L $\mapsto^0$ ))  $\in$  set (trail T)  $\implies$  False⟩ for L
  using decided(1) H(1)[of L] H1[of L] H2[of L]
  by (auto simp: odecide.simps no-complement-set-lit-def)
  moreover have ⟨L  $\in \Delta\Sigma \implies$  Decided (Neg (L $\mapsto^1$ ))  $\in$  set (trail T)  $\implies$  False⟩ for L
  using decided(1) H(2)[of L]
  by (auto simp: odecide.simps no-complement-set-lit-def)
  moreover have ⟨L  $\in \Delta\Sigma \implies$  Decided (Neg (L $\mapsto^0$ ))  $\in$  set (trail T)  $\implies$  False⟩ for L
  using decided(1) H(3)[of L]
  by (auto simp: odecide.simps no-complement-set-lit-def)
  moreover have ⟨atm-of ‘lits-of-l (trail T)  $\subseteq \Sigma - \Delta\Sigma \cup$  replacement-pos ‘  $\Delta\Sigma \cup$  replacement-neg ‘  $\Delta\Sigma$ ⟩
  using decided(1) H(4)
  by (auto 5 3 simp: odecide.simps no-complement-set-lit-def lits-of-def image-image)

  ultimately show ?thesis
  by (auto simp: no-complement-set-lit-def)
qed
ultimately show ?case
  by fast

next
case (backtrack S T C) note bt = this(1) and ent = this(2) and ent2 = this(3) and dist = this(4)
  and invs = this(6)
show ?case
  using bt invs
  by (auto simp: dpll-backtrack.simps no-complement-set-lit-st-def
    backtrack-no-complement-set-lit)

next
case (backtrack-opt S T C) note bt = this(1) and ent = this(2) and ent2 = this(3) and dist =

```

```

this(4)
  and invs = this(6)
show ?case
  using bt invs
  by (auto simp: bnb.backtrack-opt.simps no-complement-set-lit-st-def
      backtrack-no-complement-set-lit)
qed

```

**lemma** *odpll<sub>W</sub>-bnb-stgy-count-no-complement-set-lit-st*:

```

assumes
  ⟨odpllW-bnb-stgy-count S T⟩ and
  ⟨conflict-clauses-are-entailed S⟩ and
  ⟨conflict-clauses-are-entailed2 S⟩ and
  ⟨distinct-mset (snd S)⟩ and
  invs: ⟨dpllW-all-inv (bnb.abs-state (fst S))⟩ and
  ⟨no-complement-set-lit-st S⟩ and
  atms: ⟨clauses (fst S) = penc N⟩ ⟨atms-of-mm N = Σ⟩ and
  ⟨no-smaller-propa (fst S)⟩
shows
  ⟨no-complement-set-lit-st T⟩
using odpllW-core-stgy-count-no-complement-set-lit-st[of S T, OF - assms(2-)] assms(1,6)
by (auto simp: odpllW-bnb-stgy-count.simps no-complement-set-lit-st-def
    bnb.dpllW-bound.simps)

```

**definition** *stgy-invs* :: ⟨'v clauses ⇒ 'st × - ⇒ bool⟩ **where**

```

⟨stgy-invs N S ⟷
  no-smaller-propa (fst S) ∧
  conflict-clauses-are-entailed S ∧
  conflict-clauses-are-entailed2 S ∧
  distinct-mset (snd S) ∧
  (∀ C ∈# snd S. no-dup C) ∧
  dpllW-all-inv (bnb.abs-state (fst S)) ∧
  no-complement-set-lit-st S ∧
  clauses (fst S) = penc N ∧
  atms-of-mm N = Σ
⟩

```

**lemma** *odpll<sub>W</sub>-bnb-stgy-count-stgy-invs*:

```

assumes
  ⟨odpllW-bnb-stgy-count S T⟩ and
  ⟨stgy-invs N S⟩
shows ⟨stgy-invs N T⟩
using odpllW-bnb-stgy-count-conflict-clauses-are-entailed2[of S T]
  odpllW-bnb-stgy-count-conflict-clauses-are-entailed[of S T]
  odpllW-bnb-stgy-no-smaller-propa[of ⟨fst S⟩ ⟨fst T⟩]
  odpllW-bnb-stgy-countD[of S T]
  odpllW-bnb-stgy-clauses[of ⟨fst S⟩ ⟨fst T⟩]
  odpllW-core-stgy-count-distinct-mset[of S T]
  odpllW-bnb-stgy-count-no-dup-clss[of S T]
  odpllW-bnb-stgy-count-distinct-mset[of S T]
  assms
  odpllW-bnb-stgy-dpllW-bnb-stgy[of ⟨fst S⟩ N ⟨fst T⟩]
  odpllW-bnb-stgy-count-no-complement-set-lit-st[of S T]
using local.bnb.dpllW-bnb-abs-state-all-inv
unfolding stgy-invs-def
by auto

```

```

lemma stgy-invs-size-le:
  assumes  $\langle \text{stgy-invs } N \ S \rangle$ 
  shows  $\langle \text{size } (\text{snd } S) \leq 3 \wedge (\text{card } \Sigma) \rangle$ 
proof -
  have  $\langle \text{no-smaller-propa } (\text{fst } S) \rangle$  and
     $\langle \text{conflict-clauses-are-entailed } S \rangle$  and
     $\text{ent2: } \langle \text{conflict-clauses-are-entailed2 } S \rangle$  and
     $\text{dist: } \langle \text{distinct-mset } (\text{snd } S) \rangle$  and
     $\text{n-d: } \langle (\forall C \in \# \text{ snd } S. \text{no-dup } C) \rangle$  and
     $\langle \text{dpll}_W\text{-all-inv } (\text{bnb.abs-state } (\text{fst } S)) \rangle$  and
     $\text{nc: } \langle \text{no-complement-set-lit-st } S \rangle$  and
     $\Sigma: \langle \text{atms-of-mm } N = \Sigma \rangle$ 
  using assms unfolding stgy-invs-def by fast+

  let  $?f = \langle \text{filter-mset is-decided o mset} \rangle$ 
  have  $\langle \text{distinct-mset } (?f \ \# \ (\text{snd } S)) \rangle$ 
  apply (subst distinct-image-mset-inj)
  subgoal
    using ent2 n-d
    apply (auto simp: conflict-clauses-are-entailed2-def
      inj-on-def add-mset-eq-add-mset dest!: multi-member-split split-list)
    using n-d apply auto
    apply (metis defined-lit-def multiset-partition set-mset-mset union-iff union-single-eq-member) +
    done
  subgoal
    using dist by auto
  done
  have  $H: \langle \text{lit-of } \# \ ?f \ C \in \text{all-sound-trails list-new-vars} \rangle$  if  $\langle C \in \# \ (\text{snd } S) \rangle$  for  $C$ 
  proof -
    have  $\text{nc: } \langle \text{no-complement-set-lit } C \rangle$  and  $\text{n-d: } \langle \text{no-dup } C \rangle$ 
    using nc that n-d unfolding no-complement-set-lit-st-def
    by (auto dest!: multi-member-split)
    have  $\text{taut: } \langle \neg \text{tautology } (\text{lit-of } \# \ \text{mset } C) \rangle$ 
    using n-d no-dup-not-tautology by blast
    have  $\text{taut: } \langle \neg \text{tautology } (\text{lit-of } \# \ ?f \ C) \rangle$ 
    apply (rule not-tautology-mono[OF - taut])
    by (simp add: image-mset-subseteq-mono)
    have  $\text{dist: } \langle \text{distinct-mset } (\text{lit-of } \# \ \text{mset } C) \rangle$ 
    using n-d no-dup-distinct by blast
    have  $\text{dist: } \langle \text{distinct-mset } (\text{lit-of } \# \ ?f \ C) \rangle$ 
    apply (rule distinct-mset-mono[OF - dist])
    by (simp add: image-mset-subseteq-mono)

  show ?thesis
  apply (rule in-all-sound-trails)
  subgoal
    using nc unfolding no-complement-set-lit-def
    by (auto dest!: multi-member-split simp: is-decided-def)
  subgoal
    using nc unfolding no-complement-set-lit-def
    by (auto dest!: multi-member-split simp: is-decided-def)
  subgoal
    using nc unfolding no-complement-set-lit-def
    by (auto dest!: multi-member-split simp: is-decided-def)
  subgoal

```



```

    using nc n-d taut dist unfolding no-complement-set-lit-def set-list-new-vars
    by (auto dest!: multi-member-split simp: set-list-new-vars
        is-decided-def simple-clss-def atms-of-def lits-of-def
        image-image dest!: split-list)
  subgoal
    by (auto simp: set-list-new-vars)
  done
qed
then have incl:  $\langle \text{set-mset } ((\text{image-mset lit-of } o \text{ ?f}) \text{ ' \# (snd S) }) \subseteq \text{all-sound-trails list-new-vars} \rangle$ 
  by auto
have K:  $\langle xs \neq [] \implies \exists y \text{ ys. } xs = y \# \text{ ys} \rangle$  for xs
  by (cases xs) auto
have K2:  $\langle \text{Decided La} \# \text{ zsb} = \text{us} @ \text{Propagated (L) ()} \# \text{ zsa} \longleftrightarrow$ 
   $(\text{us} \neq [] \wedge \text{hd us} = \text{Decided La} \wedge \text{zsb} = \text{tl us} @ \text{Propagated (L) ()} \# \text{ zsa}) \rangle$  for La zsb us L zsa
  apply (cases us)
  apply auto
  done
have inj:  $\langle \text{inj-on } ((\text{' \#}) \text{ lit-of } \circ (\text{filter-mset is-decided } \circ \text{mset}))$ 
   $(\text{set-mset (snd S)}) \rangle$ 
  unfolding inj-on-def
proof (intro ballI impI, rule ccontr)
  fix x y
  assume x:  $\langle x \in \# \text{ snd S} \rangle$  and
  y:  $\langle y \in \# \text{ snd S} \rangle$  and
  eq:  $\langle ((\text{' \#}) \text{ lit-of } \circ (\text{filter-mset is-decided } \circ \text{mset})) x =$ 
     $((\text{' \#}) \text{ lit-of } \circ (\text{filter-mset is-decided } \circ \text{mset})) y \rangle$  and
  neg:  $\langle x \neq y \rangle$ 
  consider
    L where  $\langle \text{Decided L} \in \text{set } x \rangle \langle \text{Propagated } (- \text{ L}) () \in \text{set } y \rangle \mid$ 
    L where  $\langle \text{Decided L} \in \text{set } y \rangle \langle \text{Propagated } (- \text{ L}) () \in \text{set } x \rangle$ 
  using ent2 n-d x y unfolding conflict-clauses-are-entailed2-def
  by (auto dest!: multi-member-split simp: add-mset-eq-add-mset neg)
  then show False
proof cases
  case 1
  show False
    using eq 1(1) multi-member-split[of  $\langle \text{Decided L} \rangle \langle \text{mset } x \rangle$ ]
    apply auto
    by (smt 1(2) lit-of.simps(2) msed-map-invR multiset-partition n-d
        no-dup-cannot-not-lit-and-uminus set-mset-mset union-mset-add-mset-left union-single-eq-member
        y)
  next
  case 2
  show False
    using eq 2 multi-member-split[of  $\langle \text{Decided L} \rangle \langle \text{mset } y \rangle$ ]
    apply auto
    by (smt lit-of.simps(2) msed-map-invR multiset-partition n-d
        no-dup-cannot-not-lit-and-uminus set-mset-mset union-mset-add-mset-left union-single-eq-member
        x)
  qed
qed

have [simp]:  $\langle \text{finite } \Sigma \rangle$ 
  unfolding  $\Sigma[\text{symmetric}]$ 
  by auto
have [simp]:  $\langle \Sigma \cup \Delta\Sigma = \Sigma \rangle$ 

```

```

    using  $\Delta\Sigma$ - $\Sigma$  by blast
  have  $\langle \text{size } (\text{snd } S) = \text{size } (((\text{image-mset lit-of } o \text{ ?f}) \text{ '# } (\text{snd } S))) \rangle$ 
    by auto
  also have  $\langle \dots = \text{card } (\text{set-mset } ((\text{image-mset lit-of } o \text{ ?f}) \text{ '# } (\text{snd } S))) \rangle$ 
    supply [[goals-limit=1]]
    apply (subst distinct-mset-size-eq-card)
    apply (subst distinct-image-mset-inj[OF inj])
    using dist by auto
  also have  $\langle \dots \leq \text{card } (\text{all-sound-trails list-new-vars}) \rangle$ 
    by (rule card-mono[OF - incl]) simp
  also have  $\langle \dots \leq \text{card } (\text{simple-clss } (\Sigma - \Delta\Sigma)) * 3^\wedge \text{card } \Delta\Sigma \rangle$ 
    using card-all-sound-trails[of list-new-vars]
    by (auto simp: set-list-new-vars distinct-list-new-vars
        length-list-new-vars)
  also have  $\langle \dots \leq 3^\wedge \text{card } (\Sigma - \Delta\Sigma) * 3^\wedge \text{card } \Delta\Sigma \rangle$ 
    using simple-clss-card[of  $\langle \Sigma - \Delta\Sigma \rangle$ ]
    unfolding set-list-new-vars distinct-list-new-vars
        length-list-new-vars
    by (auto simp: set-list-new-vars distinct-list-new-vars
        length-list-new-vars)
  also have  $\langle \dots = (3 :: \text{nat})^\wedge (\text{card } \Sigma) \rangle$ 
    unfolding comm-semiring-1-class.semiring-normalization-rules(26)
    by (subst card-Un-disjoint[symmetric])
        auto
  finally show  $\langle \text{size } (\text{snd } S) \leq 3^\wedge \text{card } \Sigma \rangle$ 
    .
qed

```

```

lemma rtrancpl-odpllW-bnb-stgy-count-stgy-invs:  $\langle \text{odpll}_W\text{-bnb-stgy-count}^{**} S T \implies \text{stgy-invs } N S \implies \text{stgy-invs } N T \rangle$ 
  apply (induction rule: rtrancpl-induct)
  apply (auto dest!: odpllW-bnb-stgy-count-stgy-invs)
  done

```

```

theorem
  assumes  $\langle \text{clauses } S = \text{penc } N \rangle \langle \text{atms-of-mm } N = \Sigma \rangle$  and
     $\langle \text{odpll}_W\text{-bnb-stgy-count}^{**} (S, \{\#\}) (T, D) \rangle$  and
     $\text{tr}: \langle \text{trail } S = [] \rangle$ 
  shows  $\langle \text{size } D \leq 3^\wedge (\text{card } \Sigma) \rangle$ 

```

```

proof -
  have  $i: \langle \text{stgy-invs } N (S, \{\#\}) \rangle$ 
    using tr unfolding no-smaller-propa-def
        stgy-invs-def conflict-clauses-are-entailed-def
        conflict-clauses-are-entailed2-def assms(1,2)
        no-complement-set-lit-st-def no-complement-set-lit-def
        dpllW-all-inv-def
    by (auto simp: assms(1))
  show ?thesis
    using rtrancpl-odpllW-bnb-stgy-count-stgy-invs[OF assms(3) i]
        stgy-invs-size-le[of N  $\langle (T, D) \rangle$ ]
    by auto
qed

```

end

end