

# Formalisation of Ground Resolution and CDCL in Isabelle/HOL

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<b>theory</b> <i>CDCL-W-Optimal-Model</i>		
<b>imports</b> <i>CDCL.CDCL-W-Abstract-State HOL–Library.Extended-Nat Weidenbach-Book-Base.Explorer</i>		
<b>begin</b>		

## 0.1 CDCL Extensions

A counter-example for the original version from the book has been found (see below). There is no simple fix, except taking complete models.

Based on Dominik Zimmer’s thesis, we later reduced the problem of finding partial models to finding total models. We later switched to the more elegant dual rail encoding (thanks to the reviewer).

### 0.1.1 Optimisations

**notation** *image-mset* (**infixr** ‘# 90’)

The initial version was supposed to work on partial models directly. I found a counterexample while writing the proof:

**Nitpicking 0.1.**

**Christoph's book draft 0.1.**  $(M; N; U; k; \top; O) \Rightarrow^{Propagate}$

$(ML^{C \vee L}; N; U; k; \top; O)$

provided  $C \vee L \in (N \cup U)$ ,  $M \models \neg C$ ,  $L$  is undefined in  $M$ .

$(M; N; U; k; \top; O) \Rightarrow^{Decide} (ML^{k+1}; N; U; k+1; \top; O)$

provided  $L$  is undefined in  $M$ , contained in  $N$ .

$(M; N; U; k; \top; O) \Rightarrow^{ConflSat} (M; N; U; k; D; O)$

provided  $D \in (N \cup U)$  and  $M \models \neg D$ .

$(M; N; U; k; \top; O) \Rightarrow^{ConflOpt} (M; N; U; k; \neg M; O)$

provided  $O \neq \epsilon$  and  $\text{cost}(M) \geq \text{cost}(O)$ .

$(ML^{C \vee L}; N; U; k; D; O) \Rightarrow^{Skip} (M; N; U; k; D; O)$

provided  $D \notin \{\top, \perp\}$  and  $\neg L$  does not occur in  $D$ .

$(ML^{C \vee L}; N; U; k; D \vee \neg(L); O) \Rightarrow^{Resolve} (M; N; U; k; D \vee C; O)$

provided  $D$  is of level  $k$ .

$(M_1 K^{i+1} M_2; N; U; k; D \vee L; O) \Rightarrow^{Backtrack} (M_1 L^{D \vee L}; N; U \cup \{D \vee L\}; i; \top; O)$

provided  $L$  is of level  $k$  and  $D$  is of level  $i$ .

$(M; N; U; k; \top; O) \Rightarrow^{Improve} (M; N; U; k; \top; M)$

provided  $M \models N$  and  $O = \epsilon$  or  $\text{cost}(M) < \text{cost}(O)$ .

This calculus does not always find the model with minimum cost. Take for example the following cost function:

$$\text{cost} : \begin{cases} P \rightarrow 3 \\ \neg P \rightarrow 1 \\ Q \rightarrow 1 \\ \neg Q \rightarrow 1 \end{cases}$$

and the clauses  $N = \{P \vee Q\}$ . We can then do the following transitions:

$(\epsilon, N, \emptyset, \top, \infty)$

$\Rightarrow^{Decide} (P^1, N, \emptyset, \top, \infty)$

$\Rightarrow^{Improve} (P^1, N, \emptyset, \top, (P, 3))$

$\Rightarrow^{conflOpt} (P^1, N, \emptyset, \neg P, (P, 3))$

$\Rightarrow^{backtrack} (\neg P^{\neg P}, N, \{\neg P\}, \top, (P, 3))$

$\Rightarrow^{propagate} (\neg P^{\neg P} Q^{P \vee Q}, N, \{\neg P\}, \top, (P, 3))$

$\Rightarrow^{improve} (\neg P^{\neg P} Q^{P \vee Q}, N, \{\neg P\}, \top, (\neg P Q, 2))$

$\Rightarrow^{conflOpt} (\neg P^{\neg P} Q^{P \vee Q}, N, \{\neg P\}, P \vee \neg Q, (\neg P Q, 2))$

$\Rightarrow^{resolve} (\neg P^{\neg P}, N, \{\neg P\}, P, (\neg P Q, 2))$

$\Rightarrow^{resolve} (\epsilon, N, \{\neg P\}, \perp, (\neg P Q, 3))$

However, the optimal model is  $Q$ .

The idea of the proof (explained of the example of the optimising CDCL) is the following:

1. We start with a calculus OCDCL on  $(M, N, U, D, Op)$ .

2. This extended to a state  $(M, N + \text{all-models-of-higher-cost}, U, D, Op)$ .
3. Each transition step of OCDCL is mapped to a step in CDCL over the abstract state. The abstract set of clauses might be unsatisfiable, but we only use it to prove the invariants on the state. Only adding clause cannot be mapped to a transition over the abstract state, but adding clauses does not break the invariants (as long as the additional clauses do not contain duplicate literals).
4. The last proofs are done over CDCLopt.

We abstract about how the optimisation is done in the locale below: We define a calculus *cdcl-bnb* (for branch-and-bounds). It is parametrised by how the conflicting clauses are generated and the improvement criterion.

We later instantiate it with the optimisation calculus from Weidenbach's book.

## Helper libraries

**lemma** (in  $-$ ) *Neg-atm-of-itself-uminus-iff*:  $\langle \text{Neg } (\text{atm-of } xa) \neq - xa \longleftrightarrow \text{is-neg } xa \rangle$   
**by** (cases *xa*) *auto*

**lemma** (in  $-$ ) *Pos-atm-of-itself-uminus-iff*:  $\langle \text{Pos } (\text{atm-of } xa) \neq - xa \longleftrightarrow \text{is-pos } xa \rangle$   
**by** (cases *xa*) *auto*

**definition** *model-on* ::  $\langle 'v \text{ partial-interp} \Rightarrow 'v \text{ clauses} \Rightarrow \text{bool} \rangle$  **where**  
 $\langle \text{model-on } I \ N \longleftrightarrow \text{consistent-interp } I \wedge \text{atm-of } 'I \subseteq \text{atms-of-mm } N \rangle$

## CDCL BNB

**locale** *conflict-driven-clause-learning-with-adding-init-clause-cost<sub>W</sub>-no-state* =  
*state<sub>W</sub>-no-state*  
*state-eq state*  
— functions for the state:  
— access functions:  
*trail init-clss learned-clss conflicting*  
— changing state:  
*cons-trail tl-trail add-learned-cls remove-cls*  
*update-conflicting*  
— get state:  
*init-state*  
**for**  
*state-eq* ::  $'st \Rightarrow 'st \Rightarrow \text{bool}$  (**infix**  $\sim 50$ ) **and**  
*state* ::  $'st \Rightarrow ('v, 'v \text{ clause}) \text{ ann-lits} \times 'v \text{ clauses} \times 'v \text{ clauses} \times 'v \text{ clause option} \times 'a \times 'b$  **and**  
*trail* ::  $'st \Rightarrow ('v, 'v \text{ clause}) \text{ ann-lits}$  **and**  
*init-clss* ::  $'st \Rightarrow 'v \text{ clauses}$  **and**  
*learned-clss* ::  $'st \Rightarrow 'v \text{ clauses}$  **and**  
*conflicting* ::  $'st \Rightarrow 'v \text{ clause option}$  **and**  
  
*cons-trail* ::  $('v, 'v \text{ clause}) \text{ ann-lit} \Rightarrow 'st \Rightarrow 'st$  **and**  
*tl-trail* ::  $'st \Rightarrow 'st$  **and**  
*add-learned-cls* ::  $'v \text{ clause} \Rightarrow 'st \Rightarrow 'st$  **and**  
*remove-cls* ::  $'v \text{ clause} \Rightarrow 'st \Rightarrow 'st$  **and**  
*update-conflicting* ::  $'v \text{ clause option} \Rightarrow 'st \Rightarrow 'st$  **and**

```

    init-state :: 'v clauses  $\Rightarrow$  'st +
fixes
    update-weight-information :: ('v, 'v clause) ann-lits  $\Rightarrow$  'st  $\Rightarrow$  'st and
    is-improving-int :: ('v, 'v clause) ann-lits  $\Rightarrow$  ('v, 'v clause) ann-lits  $\Rightarrow$  'v clauses  $\Rightarrow$  'a  $\Rightarrow$  bool and
    conflicting-clauses :: 'v clauses  $\Rightarrow$  'a  $\Rightarrow$  'v clauses and
    weight :: 'st  $\Rightarrow$  'a
begin

abbreviation is-improving where
     $\langle is-improving\ M\ M'\ S \equiv is-improving-int\ M\ M'\ (init-clss\ S)\ (weight\ S) \rangle$ 

definition additional-info' :: 'st  $\Rightarrow$  'b where
    additional-info' S = ( $\lambda(-, -, -, -, -, D). D$ ). D (state S)

definition conflicting-clss :: 'st  $\Rightarrow$  'v literal multiset multiset where
     $\langle conflicting-clss\ S = conflicting-clauses\ (init-clss\ S)\ (weight\ S) \rangle$ 

definition abs-state
    :: 'st  $\Rightarrow$  ('v, 'v clause) ann-lit list  $\times$  'v clauses  $\times$  'v clauses  $\times$  'v clause option
where
     $\langle abs-state\ S = (trail\ S,\ init-clss\ S + conflicting-clss\ S,\ learned-clss\ S,$ 
      conflicting S)  $\rangle$ 

end

locale conflict-driven-clause-learning-with-adding-init-clause-costW-ops =
    conflict-driven-clause-learning-with-adding-init-clause-costW-no-state
    state-eq state
    — functions for the state:
    — access functions:
    trail init-clss learned-clss conflicting
    — changing state:
    cons-trail tl-trail add-learned-cls remove-cls
    update-conflicting

    — get state:
    init-state
    — Adding a clause:
    update-weight-information is-improving-int conflicting-clauses weight
for
    state-eq :: 'st  $\Rightarrow$  'st  $\Rightarrow$  bool (infix  $\sim 50$ ) and
    state :: 'st  $\Rightarrow$  ('v, 'v clause) ann-lits  $\times$  'v clauses  $\times$  'v clauses  $\times$  'v clause option  $\times$ 
      'a  $\times$  'b and
    trail :: 'st  $\Rightarrow$  ('v, 'v clause) ann-lits and
    init-clss :: 'st  $\Rightarrow$  'v clauses and
    learned-clss :: 'st  $\Rightarrow$  'v clauses and
    conflicting :: 'st  $\Rightarrow$  'v clause option and

    cons-trail :: ('v, 'v clause) ann-lit  $\Rightarrow$  'st  $\Rightarrow$  'st and
    tl-trail :: 'st  $\Rightarrow$  'st and
    add-learned-cls :: 'v clause  $\Rightarrow$  'st  $\Rightarrow$  'st and
    remove-cls :: 'v clause  $\Rightarrow$  'st  $\Rightarrow$  'st and
    update-conflicting :: 'v clause option  $\Rightarrow$  'st  $\Rightarrow$  'st and

    init-state :: 'v clauses  $\Rightarrow$  'st and
    update-weight-information :: ('v, 'v clause) ann-lits  $\Rightarrow$  'st  $\Rightarrow$  'st and

```

```

is-improving-int :: ('v, 'v clause) ann-lits  $\Rightarrow$  ('v, 'v clause) ann-lits  $\Rightarrow$  'v clauses  $\Rightarrow$ 
  'a  $\Rightarrow$  bool and
conflicting-clauses :: 'v clauses  $\Rightarrow$  'a  $\Rightarrow$  'v clauses and
weight :: 'st  $\Rightarrow$  'a +
assumes
state-prop':
  'state S = (trail S, init-clss S, learned-clss S, conflicting S, weight S, additional-info' S)'
and
update-weight-information:
  'state S = (M, N, U, C, w, other)  $\Rightarrow$ 
     $\exists$  w'. state (update-weight-information T S) = (M, N, U, C, w', other)' and
atms-of-conflicting-clss:
  'atms-of-mm (conflicting-clss S)  $\subseteq$  atms-of-mm (init-clss S)' and
distinct-mset-mset-conflicting-clss:
  'distinct-mset-mset (conflicting-clss S)' and
conflicting-clss-update-weight-information-mono:
  'cdclW-restart-mset.cdclW-all-struct-inv (abs-state S)  $\Rightarrow$  is-improving M M' S  $\Rightarrow$ 
    conflicting-clss S  $\subseteq$  # conflicting-clss (update-weight-information M' S)'
and
conflicting-clss-update-weight-information-in:
  'is-improving M M' S  $\Rightarrow$       negate-ann-lits M'  $\in$  # conflicting-clss (update-weight-information
M' S)'
begin

sublocale conflict-driven-clause-learningW where
  state-eq = state-eq and
  state = state and
  trail = trail and
  init-clss = init-clss and
  learned-clss = learned-clss and
  conflicting = conflicting and
  cons-trail = cons-trail and
  tl-trail = tl-trail and
  add-learned-cls = add-learned-cls and
  remove-cls = remove-cls and
  update-conflicting = update-conflicting and
  init-state = init-state
apply unfold-locales
unfolding additional-info'-def additional-info-def by (auto simp: state-prop')

declare reduce-trail-to-skip-beginning[simp]

lemma state-eq-weight[state-simp, simp]: 'S  $\sim$  T  $\Rightarrow$  weight S = weight T'
apply (drule state-eq-state)
apply (subst (asm) state-prop')
apply (subst (asm) state-prop')
by simp

lemma conflicting-clause-state-eq[state-simp, simp]:
  'S  $\sim$  T  $\Rightarrow$  conflicting-clss S = conflicting-clss T'
unfolding conflicting-clss-def by auto

lemma
weight-cons-trail[simp]:
  'weight (cons-trail L S) = weight S' and

```

*weight-update-conflicting*[simp]:  
 ⟨weight (update-conflicting C S) = weight S⟩ and  
*weight-tl-trail*[simp]:  
 ⟨weight (tl-trail S) = weight S⟩ and  
*weight-add-learned-cl*[simp]:  
 ⟨weight (add-learned-cl D S) = weight S⟩  
**using** cons-trail[of S - - L] update-conflicting[of S] tl-trail[of S] add-learned-cls[of S]  
**by** (auto simp: state-prop<sup>^</sup>)

**lemma** *update-weight-information-simp*[simp]:  
 ⟨trail (update-weight-information C S) = trail S⟩  
 ⟨init-clss (update-weight-information C S) = init-clss S⟩  
 ⟨learned-clss (update-weight-information C S) = learned-clss S⟩  
 ⟨clauses (update-weight-information C S) = clauses S⟩  
 ⟨backtrack-lvl (update-weight-information C S) = backtrack-lvl S⟩  
 ⟨conflicting (update-weight-information C S) = conflicting S⟩  
**using** update-weight-information[of S] **unfolding** clauses-def  
**by** (subst (asm) state-prop', subst (asm) state-prop'; force)+

**lemma**  
*conflicting-clss-cons-trail*[simp]: ⟨conflicting-clss (cons-trail K S) = conflicting-clss S⟩ and  
*conflicting-clss-tl-trail*[simp]: ⟨conflicting-clss (tl-trail S) = conflicting-clss S⟩ and  
*conflicting-clss-add-learned-cl*[simp]:  
 ⟨conflicting-clss (add-learned-cl D S) = conflicting-clss S⟩ and  
*conflicting-clss-update-conflicting*[simp]:  
 ⟨conflicting-clss (update-conflicting E S) = conflicting-clss S⟩  
**unfolding** conflicting-clss-def **by** auto

**inductive** *conflict-opt* :: 'st ⇒ 'st ⇒ bool **for** S T :: 'st **where**  
*conflict-opt-rule*:  
 ⟨conflict-opt S T⟩  
**if**  
 ⟨negate-ann-lits (trail S) ∈# conflicting-clss S⟩  
 ⟨conflicting S = None⟩  
 ⟨T ∼ update-conflicting (Some (negate-ann-lits (trail S))) S⟩

**inductive-cases** *conflict-optE*: ⟨conflict-opt S T⟩

**inductive** *improvev* :: 'st ⇒ 'st ⇒ bool **for** S :: 'st **where**  
*improve-rule*:  
 ⟨improvev S T⟩  
**if**  
 ⟨is-improving (trail S) M' S⟩ and  
 ⟨conflicting S = None⟩ and  
 ⟨T ∼ update-weight-information M' S⟩

**inductive-cases** *improveE*: ⟨improvev S T⟩

**lemma** *invs-update-weight-information*[simp]:  
 ⟨no-strange-atm (update-weight-information C S) = (no-strange-atm S)⟩  
 ⟨cdcl<sub>W</sub>-M-level-inv (update-weight-information C S) = cdcl<sub>W</sub>-M-level-inv S⟩  
 ⟨distinct-cdcl<sub>W</sub>-state (update-weight-information C S) = distinct-cdcl<sub>W</sub>-state S⟩  
 ⟨cdcl<sub>W</sub>-conflicting (update-weight-information C S) = cdcl<sub>W</sub>-conflicting S⟩  
 ⟨cdcl<sub>W</sub>-learned-clause (update-weight-information C S) = cdcl<sub>W</sub>-learned-clause S⟩  
**unfolding** no-strange-atm-def cdcl<sub>W</sub>-M-level-inv-def distinct-cdcl<sub>W</sub>-state-def cdcl<sub>W</sub>-conflicting-def  
 cdcl<sub>W</sub>-learned-clause-alt-def cdcl<sub>W</sub>-all-struct-inv-def **by** auto



**lemma** *conflict-opt-cdcl<sub>W</sub>-all-struct-inv*:  
**assumes**  $\langle \text{conflict-opt } S \ T \rangle$  **and**  
*inv*:  $\langle \text{cdcl}_W\text{-restart-mset.cdcl}_W\text{-all-struct-inv } (\text{abs-state } S) \rangle$   
**shows**  $\langle \text{cdcl}_W\text{-restart-mset.cdcl}_W\text{-all-struct-inv } (\text{abs-state } T) \rangle$   
**using** *assms* *atms-of-conflicting-clss*[of *T*] *atms-of-conflicting-clss*[of *S*]  
**apply** (*induction rule*: *conflict-opt.cases*)  
**by** (*auto simp add*: *cdcl<sub>W</sub>-restart-mset.no-strange-atm-def*  
*cdcl<sub>W</sub>-restart-mset.cdcl<sub>W</sub>-M-level-inv-def*  
*cdcl<sub>W</sub>-restart-mset.distinct-cdcl<sub>W</sub>-state-def cdcl<sub>W</sub>-restart-mset.cdcl<sub>W</sub>-conflicting-def*  
*cdcl<sub>W</sub>-restart-mset.cdcl<sub>W</sub>-learned-clause-alt-def cdcl<sub>W</sub>-restart-mset.cdcl<sub>W</sub>-all-struct-inv-def*  
*true-annots-true-clss-def-iff-negation-in-model*  
*in-negate-trial-iff cdcl<sub>W</sub>-restart-mset-state cdcl<sub>W</sub>-restart-mset.clauses-def*  
*distinct-mset-mset-conflicting-clss abs-state-def*  
*intro!*: *true-clss-clss-in*)

**lemma** *reduce-trail-to-update-weight-information*[*simp*]:  
 $\langle \text{trail } (\text{reduce-trail-to } M \ (\text{update-weight-information } M' \ S)) = \text{trail } (\text{reduce-trail-to } M \ S) \rangle$   
**unfolding** *trail-reduce-trail-to-drop* **by** *auto*

**lemma** *additional-info-weight-additional-info'*:  $\langle \text{additional-info } S = (\text{weight } S, \text{additional-info}' \ S) \rangle$   
**using** *state-prop*[of *S*] *state-prop'*[of *S*] **by** *auto*

**lemma**  
*weight-reduce-trail-to*[*simp*]:  $\langle \text{weight } (\text{reduce-trail-to } M \ S) = \text{weight } S \rangle$  **and**  
*additional-info'-reduce-trail-to*[*simp*]:  $\langle \text{additional-info}' (\text{reduce-trail-to } M \ S) = \text{additional-info}' \ S \rangle$   
**using** *additional-info-reduce-trail-to*[of *M S*] **unfolding** *additional-info-weight-additional-info'*  
**by** *auto*

**lemma** *conflicting-clss-reduce-trail-to*[*simp*]:  $\langle \text{conflicting-clss } (\text{reduce-trail-to } M \ S) = \text{conflicting-clss } S \rangle$   
**unfolding** *conflicting-clss-def* **by** *auto*

**lemma** *improve-cdcl<sub>W</sub>-all-struct-inv*:  
**assumes**  $\langle \text{improvep } S \ T \rangle$  **and**  
*inv*:  $\langle \text{cdcl}_W\text{-restart-mset.cdcl}_W\text{-all-struct-inv } (\text{abs-state } S) \rangle$   
**shows**  $\langle \text{cdcl}_W\text{-restart-mset.cdcl}_W\text{-all-struct-inv } (\text{abs-state } T) \rangle$   
**using** *assms* *atms-of-conflicting-clss*[of *T*] *atms-of-conflicting-clss*[of *S*]  
**proof** (*induction rule*: *improvep.cases*)  
**case** (*improve-rule* *M' T*)  
**moreover**  
**have** *all-decomposition-implies*  
 $(\text{set-mset } (\text{init-clss } S) \cup \text{set-mset } (\text{conflicting-clss } S) \cup \text{set-mset } (\text{learned-clss } S))$   
 $(\text{get-all-ann-decomposition } (\text{trail } S)) \implies$   
*all-decomposition-implies*  
 $(\text{set-mset } (\text{init-clss } S) \cup \text{set-mset } (\text{conflicting-clss } (\text{update-weight-information } M' \ S)) \cup$   
 $\text{set-mset } (\text{learned-clss } S))$   
 $(\text{get-all-ann-decomposition } (\text{trail } S))$   
**apply** (*rule all-decomposition-implies-mono*)  
**using** *improve-rule conflicting-clss-update-weight-information-mono*[of *S*  $\langle \text{trail } S \rangle \ M'$ ] *inv*  
**by** (*auto dest*: *multi-member-split*)  
**ultimately show** *?case*  
**using** *conflicting-clss-update-weight-information-mono*[of *S*  $\langle \text{trail } S \rangle \ M'$ ]  
**by** (*auto 6 2 simp add*: *cdcl<sub>W</sub>-restart-mset.no-strange-atm-def*  
*cdcl<sub>W</sub>-restart-mset.cdcl<sub>W</sub>-M-level-inv-def*  
*cdcl<sub>W</sub>-restart-mset.distinct-cdcl<sub>W</sub>-state-def cdcl<sub>W</sub>-restart-mset.cdcl<sub>W</sub>-conflicting-def*  
*cdcl<sub>W</sub>-restart-mset.cdcl<sub>W</sub>-learned-clause-alt-def cdcl<sub>W</sub>-restart-mset.cdcl<sub>W</sub>-all-struct-inv-def*)

*true-annots-true-cls-def-iff-negation-in-model*  
*in-negate-trial-iff cdcl<sub>W</sub>-restart-mset-state cdcl<sub>W</sub>-restart-mset.clauses-def*  
*image-Un distinct-mset-mset-conflicting-clss abs-state-def*  
*simp del: append-assoc*  
*dest: no-dup-appendD consistent-interp-unionD)*

qed

*cdcl<sub>W</sub>-restart-mset.cdcl<sub>W</sub>-stgy-invariant* is too restrictive: *cdcl<sub>W</sub>-restart-mset.no-smaller-confl* is needed but does not hold(at least, if cannot ensure that conflicts are found as soon as possible).

**lemma** *improve-no-smaller-conflict:*

**assumes**  $\langle \text{improvep } S \ T \rangle$  **and**  
 $\langle \text{no-smaller-confl } S \rangle$   
**shows**  $\langle \text{no-smaller-confl } T \rangle$  **and**  $\langle \text{conflict-is-false-with-level } T \rangle$   
**using** *assms apply (induction rule: improvep.induct)*  
**unfolding** *cdcl<sub>W</sub>-restart-mset.cdcl<sub>W</sub>-stgy-invariant-def*  
**by** (*auto simp: cdcl<sub>W</sub>-restart-mset-state no-smaller-confl-def cdcl<sub>W</sub>-restart-mset.clauses-def*  
*exists-lit-max-level-in-negate-ann-lits*)

**lemma** *conflict-opt-no-smaller-conflict:*

**assumes**  $\langle \text{conflict-opt } S \ T \rangle$  **and**  
 $\langle \text{no-smaller-confl } S \rangle$   
**shows**  $\langle \text{no-smaller-confl } T \rangle$  **and**  $\langle \text{conflict-is-false-with-level } T \rangle$   
**using** *assms by (induction rule: conflict-opt.induct)*  
*(auto simp: cdcl<sub>W</sub>-restart-mset-state no-smaller-confl-def cdcl<sub>W</sub>-restart-mset.clauses-def*  
*exists-lit-max-level-in-negate-ann-lits cdcl<sub>W</sub>-restart-mset.cdcl<sub>W</sub>-stgy-invariant-def)*

**fun** *no-confl-prop-impr* **where**

$\langle \text{no-confl-prop-impr } S \longleftrightarrow$   
 $\text{no-step propagate } S \wedge \text{no-step conflict } S \rangle$

We use a slightly generalised form of backtrack to make conflict clause minimisation possible.

**inductive** *obacktrack* :: '*st*  $\Rightarrow$  '*st*  $\Rightarrow$  bool **for** *S* :: '*st* **where**

*obacktrack-rule:*  $\langle$   
 $\text{conflicting } S = \text{Some } (\text{add-mset } L \ D) \Rightarrow$   
 $(\text{Decided } K \ \# \ M1, \ M2) \in \text{set } (\text{get-all-ann-decomposition } (\text{trail } S)) \Rightarrow$   
 $\text{get-level } (\text{trail } S) \ L = \text{backtrack-lvl } S \Rightarrow$   
 $\text{get-level } (\text{trail } S) \ L = \text{get-maximum-level } (\text{trail } S) \ (\text{add-mset } L \ D') \Rightarrow$   
 $\text{get-maximum-level } (\text{trail } S) \ D' \equiv i \Rightarrow$   
 $\text{get-level } (\text{trail } S) \ K = i + 1 \Rightarrow$   
 $D' \subseteq \# \ D \Rightarrow$   
 $\text{clauses } S + \text{conflicting-clss } S \models_{pm} \text{add-mset } L \ D' \Rightarrow$   
 $T \sim \text{cons-trail } (\text{Propagated } L \ (\text{add-mset } L \ D'))$   
 $(\text{reduce-trail-to } M1$   
 $(\text{add-learned-cls } (\text{add-mset } L \ D')$   
 $(\text{update-conflicting } \text{None } S))) \Rightarrow$   
 $\text{obacktrack } S \ T \rangle$

**inductive-cases** *obacktrackE*:  $\langle \text{obacktrack } S \ T \rangle$

**inductive** *cdcl-bnb-bj* :: '*st*  $\Rightarrow$  '*st*  $\Rightarrow$  bool **where**

*skip:*  $\text{skip } S \ S' \Rightarrow \text{cdcl-bnb-bj } S \ S' \mid$   
*resolve:*  $\text{resolve } S \ S' \Rightarrow \text{cdcl-bnb-bj } S \ S' \mid$   
*backtrack:*  $\text{obacktrack } S \ S' \Rightarrow \text{cdcl-bnb-bj } S \ S'$

**inductive-cases** *cdcl-bnb-bjE*:  $\text{cdcl-bnb-bj } S \ T$

**inductive**  $ocdcl_W-o :: 'st \Rightarrow 'st \Rightarrow bool$  **for**  $S :: 'st$  **where**  
*decide*:  $decide\ S\ S' \Longrightarrow ocdcl_W-o\ S\ S' \mid$   
*bj*:  $cdcl-bnb-bj\ S\ S' \Longrightarrow ocdcl_W-o\ S\ S'$

**inductive**  $cdcl-bnb :: 'st \Rightarrow 'st \Rightarrow bool$  **for**  $S :: 'st$  **where**  
*cdcl-conflict*:  $conflict\ S\ S' \Longrightarrow cdcl-bnb\ S\ S' \mid$   
*cdcl-propagate*:  $propagate\ S\ S' \Longrightarrow cdcl-bnb\ S\ S' \mid$   
*cdcl-improve*:  $improvep\ S\ S' \Longrightarrow cdcl-bnb\ S\ S' \mid$   
*cdcl-conflict-opt*:  $conflict-opt\ S\ S' \Longrightarrow cdcl-bnb\ S\ S' \mid$   
*cdcl-other'*:  $ocdcl_W-o\ S\ S' \Longrightarrow cdcl-bnb\ S\ S'$

**inductive**  $cdcl-bnb-stgy :: 'st \Rightarrow 'st \Rightarrow bool$  **for**  $S :: 'st$  **where**  
*cdcl-bnb-conflict*:  $conflict\ S\ S' \Longrightarrow cdcl-bnb-stgy\ S\ S' \mid$   
*cdcl-bnb-propagate*:  $propagate\ S\ S' \Longrightarrow cdcl-bnb-stgy\ S\ S' \mid$   
*cdcl-bnb-improve*:  $improvep\ S\ S' \Longrightarrow cdcl-bnb-stgy\ S\ S' \mid$   
*cdcl-bnb-conflict-opt*:  $conflict-opt\ S\ S' \Longrightarrow cdcl-bnb-stgy\ S\ S' \mid$   
*cdcl-bnb-other'*:  $ocdcl_W-o\ S\ S' \Longrightarrow no-conflict-prop-impr\ S \Longrightarrow cdcl-bnb-stgy\ S\ S'$

**lemma**  $ocdcl_W-o-induct[consumes\ 1, case-names\ decide\ skip\ resolve\ backtrack]$ :

**fixes**  $S :: 'st$

**assumes**  $cdcl_W-restart$ :  $ocdcl_W-o\ S\ T$  **and**

*decideH*:  $\bigwedge L\ T. conflicting\ S = None \Longrightarrow undefined-lit\ (trail\ S)\ L \Longrightarrow$   
 $atm-of\ L \in atms-of-mm\ (init-clss\ S) \Longrightarrow$   
 $T \sim cons-trail\ (Decided\ L)\ S \Longrightarrow$

$P\ S\ T$  **and**

*skipH*:  $\bigwedge L\ C'\ M\ E\ T.$

$trail\ S = Propagated\ L\ C'\ \# M \Longrightarrow$   
 $conflicting\ S = Some\ E \Longrightarrow$   
 $-L \notin \# E \Longrightarrow E \neq \{\#\} \Longrightarrow$   
 $T \sim tl-trail\ S \Longrightarrow$

$P\ S\ T$  **and**

*resolveH*:  $\bigwedge L\ E\ M\ D\ T.$

$trail\ S = Propagated\ L\ E\ \# M \Longrightarrow$   
 $L \in \# E \Longrightarrow$   
 $hd-trail\ S = Propagated\ L\ E \Longrightarrow$   
 $conflicting\ S = Some\ D \Longrightarrow$   
 $-L \in \# D \Longrightarrow$   
 $get-maximum-level\ (trail\ S)\ ((remove1-mset\ (-L)\ D)) = backtrack-lvl\ S \Longrightarrow$   
 $T \sim update-conflicting$   
 $(Some\ (resolve-cls\ L\ D\ E))\ (tl-trail\ S) \Longrightarrow$

$P\ S\ T$  **and**

*backtrackH*:  $\bigwedge L\ D\ K\ i\ M1\ M2\ T\ D'.$

$conflicting\ S = Some\ (add-mset\ L\ D) \Longrightarrow$   
 $(Decided\ K\ \# M1, M2) \in set\ (get-all-ann-decomposition\ (trail\ S)) \Longrightarrow$   
 $get-level\ (trail\ S)\ L = backtrack-lvl\ S \Longrightarrow$   
 $get-level\ (trail\ S)\ L = get-maximum-level\ (trail\ S)\ (add-mset\ L\ D') \Longrightarrow$   
 $get-maximum-level\ (trail\ S)\ D' \equiv i \Longrightarrow$   
 $get-level\ (trail\ S)\ K = i+1 \Longrightarrow$

$D' \subseteq \# D \Longrightarrow$

$clauses\ S + conflicting-clss\ S \models pm\ add-mset\ L\ D' \Longrightarrow$

$T \sim cons-trail\ (Propagated\ L\ (add-mset\ L\ D'))$

$(reduce-trail-to\ M1$

$(add-learned-clss\ (add-mset\ L\ D')$

$(update-conflicting\ None\ S))) \Longrightarrow$

$P\ S\ T$

```

shows P S T
using cdclW-restart apply (induct T rule: ocdclW-o.induct)
subgoal using assms(2) by (auto elim: decideE; fail)
subgoal apply (elim cdcl-bnb-bjE skipE resolveE obacktrackE)
  apply (frule skipH; simp; fail)
  apply (cases trail S; auto elim!: resolveE intro!: resolveH; fail)
  apply (frule backtrackH; simp; fail)
done
done

```

```

lemma obacktrack-backtrackg: (obacktrack S T  $\implies$  backtrackg S T)
  unfolding obacktrack.simps backtrackg.simps
  by blast

```

## Plugging into normal CDCL

```

lemma cdcl-bnb-no-more-init-clss:
  (cdcl-bnb S S'  $\implies$  init-clss S = init-clss S')
  by (induction rule: cdcl-bnb.cases)
    (auto simp: improvep.simps conflict.simps propagate.simps
      conflict-opt.simps ocdclW-o.simps obacktrack.simps skip.simps resolve.simps cdcl-bnb-bj.simps
      decide.simps)

```

```

lemma rtrancpl-cdcl-bnb-no-more-init-clss:
  (cdcl-bnb** S S'  $\implies$  init-clss S = init-clss S')
  by (induction rule: rtrancpl-induct)
    (auto dest: cdcl-bnb-no-more-init-clss)

```

```

lemma conflict-opt-conflict:
  (conflict-opt S T  $\implies$  cdclW-restart-mset.conflict (abs-state S) (abs-state T))
  by (induction rule: conflict-opt.cases)
    (auto intro!: cdclW-restart-mset.conflict-rule[of - (negate-ann-lits (trail S))]
      simp: cdclW-restart-mset.clauses-def cdclW-restart-mset-state
      true-annots-true-cls-def-iff-negation-in-model abs-state-def
      in-negate-trial-iff)

```

```

lemma conflict-conflict:
  (conflict S T  $\implies$  cdclW-restart-mset.conflict (abs-state S) (abs-state T))
  by (induction rule: conflict.cases)
    (auto intro!: cdclW-restart-mset.conflict-rule
      simp: clauses-def cdclW-restart-mset.clauses-def cdclW-restart-mset-state
      true-annots-true-cls-def-iff-negation-in-model abs-state-def
      in-negate-trial-iff)

```

```

lemma propagate-propagate:
  (propagate S T  $\implies$  cdclW-restart-mset.propagate (abs-state S) (abs-state T))
  by (induction rule: propagate.cases)
    (auto intro!: cdclW-restart-mset.propagate-rule
      simp: clauses-def cdclW-restart-mset.clauses-def cdclW-restart-mset-state
      true-annots-true-cls-def-iff-negation-in-model abs-state-def
      in-negate-trial-iff)

```

```

lemma decide-decide:
  (decide S T  $\implies$  cdclW-restart-mset.decide (abs-state S) (abs-state T))
  by (induction rule: decide.cases)

```

(*auto intro!*: *cdcl<sub>W</sub>-restart-mset.decide-rule*  
*simp*: *clauses-def cdcl<sub>W</sub>-restart-mset.clauses-def cdcl<sub>W</sub>-restart-mset-state*  
*true-annots-true-cls-def-iff-negation-in-model abs-state-def*  
*in-negate-trial-iff*)

**lemma** *skip-skip*:

⟨*skip S T* ⟹ *cdcl<sub>W</sub>-restart-mset.skip (abs-state S) (abs-state T)*⟩  
**by** (*induction rule: skip.cases*)  
(*auto intro!*: *cdcl<sub>W</sub>-restart-mset.skip-rule*  
*simp*: *clauses-def cdcl<sub>W</sub>-restart-mset.clauses-def cdcl<sub>W</sub>-restart-mset-state*  
*true-annots-true-cls-def-iff-negation-in-model abs-state-def*  
*in-negate-trial-iff*)

**lemma** *resolve-resolve*:

⟨*resolve S T* ⟹ *cdcl<sub>W</sub>-restart-mset.resolve (abs-state S) (abs-state T)*⟩  
**by** (*induction rule: resolve.cases*)  
(*auto intro!*: *cdcl<sub>W</sub>-restart-mset.resolve-rule*  
*simp*: *clauses-def cdcl<sub>W</sub>-restart-mset.clauses-def cdcl<sub>W</sub>-restart-mset-state*  
*true-annots-true-cls-def-iff-negation-in-model abs-state-def*  
*in-negate-trial-iff*)

**lemma** *backtrack-backtrack*:

⟨*obacktrack S T* ⟹ *cdcl<sub>W</sub>-restart-mset.backtrack (abs-state S) (abs-state T)*⟩

**proof** (*induction rule: obacktrack.cases*)

**case** (*obacktrack-rule L D K M1 M2 D' i T*)

**have** *H*: *set-mset (init-clss S) ∪ set-mset (learned-clss S)*

⊆ *set-mset (init-clss S) ∪ set-mset (conflicting-clss S) ∪ set-mset (learned-clss S)*

**by** *auto*

**have** [*simp*]: *cdcl<sub>W</sub>-restart-mset.reduce-trail-to M1*

(*trail S, init-clss S + conflicting-clss S, add-mset D (learned-clss S), None*) =

(*M1, init-clss S + conflicting-clss S, add-mset D (learned-clss S), None*) **for** *D*

**using** *obacktrack-rule* **by** (*auto simp add: cdcl<sub>W</sub>-restart-mset.reduce-trail-to*  
*cdcl<sub>W</sub>-restart-mset-state*)

**show** *?case*

**using** *obacktrack-rule*

**by** (*auto intro!*: *cdcl<sub>W</sub>-restart-mset.backtrack.intros*

*simp: cdcl<sub>W</sub>-restart-mset-state abs-state-def clauses-def cdcl<sub>W</sub>-restart-mset.clauses-def*  
*ac-simps*)

**qed**

**lemma** *ocdcl<sub>W</sub>-o-all-rules-induct*[*consumes 1, case-names decide backtrack skip resolve*]:

**fixes** *S T* :: 'st

**assumes**

*ocdcl<sub>W</sub>-o S T* **and**

⋀ *T. decide S T* ⟹ *P S T* **and**

⋀ *T. obacktrack S T* ⟹ *P S T* **and**

⋀ *T. skip S T* ⟹ *P S T* **and**

⋀ *T. resolve S T* ⟹ *P S T*

**shows** *P S T*

**using** *assms* **by** (*induct T rule: ocdcl<sub>W</sub>-o.induct*) (*auto simp: cdcl-bnb-bj.simps*)

**lemma** *cdcl<sub>W</sub>-o-cdcl<sub>W</sub>-o*:

⟨*ocdcl<sub>W</sub>-o S S'* ⟹ *cdcl<sub>W</sub>-restart-mset.cdcl<sub>W</sub>-o (abs-state S) (abs-state S')*⟩

**apply** (*induction rule: ocdcl<sub>W</sub>-o-all-rules-induct*)

**apply** (*simp add: cdcl<sub>W</sub>-restart-mset.cdcl<sub>W</sub>-o.simps decide-decide; fail*)

**apply** (*blast dest: backtrack-backtrack*)

**apply** (*blast dest: skip-skip*)  
**by** (*blast dest: resolve-resolve*)

**lemma** *cdcl-bnb-stgy-all-struct-inv*:  
**assumes**  $\langle \text{cdcl-bnb } S \ T \rangle$  **and**  $\langle \text{cdcl}_W\text{-restart-mset.cdcl}_W\text{-all-struct-inv } (\text{abs-state } S) \rangle$   
**shows**  $\langle \text{cdcl}_W\text{-restart-mset.cdcl}_W\text{-all-struct-inv } (\text{abs-state } T) \rangle$   
**using** *assms*  
**proof** (*induction rule: cdcl-bnb.cases*)  
**case** (*cdcl-conflict*  $S'$ )  
**then show**  $?case$   
**by** (*blast dest: conflict-conflict cdcl<sub>W</sub>-restart-mset.cdcl<sub>W</sub>-stgy.intros*  
*intro: cdcl<sub>W</sub>-restart-mset.cdcl<sub>W</sub>-stgy-cdcl<sub>W</sub>-all-struct-inv*)  
**next**  
**case** (*cdcl-propagate*  $S'$ )  
**then show**  $?case$   
**by** (*blast dest: propagate-propagate cdcl<sub>W</sub>-restart-mset.cdcl<sub>W</sub>-stgy.intros*  
*intro: cdcl<sub>W</sub>-restart-mset.cdcl<sub>W</sub>-stgy-cdcl<sub>W</sub>-all-struct-inv*)  
**next**  
**case** (*cdcl-improve*  $S'$ )  
**then show**  $?case$   
**using** *improve-cdcl<sub>W</sub>-all-struct-inv* **by** *blast*  
**next**  
**case** (*cdcl-conflict-opt*  $S'$ )  
**then show**  $?case$   
**using** *conflict-opt-cdcl<sub>W</sub>-all-struct-inv* **by** *blast*  
**next**  
**case** (*cdcl-other'*  $S'$ )  
**then show**  $?case$   
**by** (*meson cdcl<sub>W</sub>-restart-mset.cdcl<sub>W</sub>-all-struct-inv-inv cdcl<sub>W</sub>-restart-mset.other cdcl<sub>W</sub>-o-cdcl<sub>W</sub>-o*)  
**qed**

**lemma** *rtrancpl-cdcl-bnb-stgy-all-struct-inv*:  
**assumes**  $\langle \text{cdcl-bnb}^{**} S \ T \rangle$  **and**  $\langle \text{cdcl}_W\text{-restart-mset.cdcl}_W\text{-all-struct-inv } (\text{abs-state } S) \rangle$   
**shows**  $\langle \text{cdcl}_W\text{-restart-mset.cdcl}_W\text{-all-struct-inv } (\text{abs-state } T) \rangle$   
**using** *assms* **by** *induction (auto dest: cdcl-bnb-stgy-all-struct-inv)*

**definition** *cdcl-bnb-struct-invs* ::  $\langle 'st \Rightarrow \text{bool} \rangle$  **where**  
 $\langle \text{cdcl-bnb-struct-invs } S \longleftrightarrow$   
 $\text{atms-of-mm } (\text{conflicting-clss } S) \subseteq \text{atms-of-mm } (\text{init-clss } S) \rangle$

**lemma** *cdcl-bnb-cdcl-bnb-struct-invs*:  
 $\langle \text{cdcl-bnb } S \ T \implies \text{cdcl-bnb-struct-invs } S \implies \text{cdcl-bnb-struct-invs } T \rangle$   
**using** *atms-of-conflicting-clss*[*of (update-weight-information - S)*] **apply** –  
**by** (*induction rule: cdcl-bnb.induct*)  
*(force simp: improvep.simps conflict.simps propagate.simps*  
*conflict-opt.simps occl<sub>W</sub>-o.simps obacktrack.simps skip.simps resolve.simps*  
*cdcl-bnb-bj.simps decide.simps cdcl-bnb-struct-invs-def)+*

**lemma** *rtrancpl-cdcl-bnb-cdcl-bnb-struct-invs*:  
 $\langle \text{cdcl-bnb}^{**} S \ T \implies \text{cdcl-bnb-struct-invs } S \implies \text{cdcl-bnb-struct-invs } T \rangle$   
**by** (*induction rule: rtrancpl-induct*) (*auto dest: cdcl-bnb-cdcl-bnb-struct-invs*)

**lemma** *cdcl-bnb-stgy-cdcl-bnb*:  $\langle \text{cdcl-bnb-stgy } S \ T \implies \text{cdcl-bnb } S \ T \rangle$   
**by** (*auto simp: cdcl-bnb-stgy.simps intro: cdcl-bnb.intros*)

**lemma** *rtrancpl-cdcl-bnb-stgy-cdcl-bnb*:  $\langle \text{cdcl-bnb-stgy}^{**} S \ T \implies \text{cdcl-bnb}^{**} S \ T \rangle$

by (induction rule: rtrancpl-induct)  
(auto dest: cdcl-bnb-stgy-cdcl-bnb)

The following does *not* hold, because we cannot guarantee the absence of conflict of smaller level after *improve* and *conflict-opt*.

**lemma** *cdcl-bnb-all-stgy-inv*:

**assumes**  $\langle \text{cdcl-bnb } S \ T \rangle$  **and**  $\langle \text{cdcl}_W\text{-restart-mset.cdcl}_W\text{-all-struct-inv (abs-state } S) \rangle$  **and**  
 $\langle \text{cdcl}_W\text{-restart-mset.cdcl}_W\text{-stgy-invariant (abs-state } S) \rangle$   
**shows**  $\langle \text{cdcl}_W\text{-restart-mset.cdcl}_W\text{-stgy-invariant (abs-state } T) \rangle$   
**oops**

**lemma** *skip-conflict-is-false-with-level*:

**assumes**  $\langle \text{skip } S \ T \rangle$  **and**  
 $\text{struct-inv: } \langle \text{cdcl}_W\text{-restart-mset.cdcl}_W\text{-all-struct-inv (abs-state } S) \rangle$  **and**  
 $\text{confl-inv: } \langle \text{conflict-is-false-with-level } S \rangle$   
**shows**  $\langle \text{conflict-is-false-with-level } T \rangle$   
**using** *assms*

**proof** *induction*

**case** (*skip-rule*  $L \ C' \ M \ D \ T$ ) **note**  $\text{tr-}S = \text{this}(1)$  **and**  $D = \text{this}(2)$  **and**  $T = \text{this}(5)$

**have** *conflicting*:  $\langle \text{cdcl}_W\text{-conflicting } S \rangle$  **and**

*lev*:  $\text{cdcl}_W\text{-}M\text{-level-inv } S$

**using** *struct-inv* **unfolding**  $\text{cdcl}_W\text{-conflicting-def cdcl}_W\text{-restart-mset.cdcl}_W\text{-all-struct-inv-def}$   
 $\text{cdcl}_W\text{-}M\text{-level-inv-def cdcl}_W\text{-restart-mset.cdcl}_W\text{-conflicting-def}$   
 $\text{cdcl}_W\text{-restart-mset.cdcl}_W\text{-}M\text{-level-inv-def}$

**by** (*auto simp*:  $\text{abs-state-def cdcl}_W\text{-restart-mset-state}$ )

**obtain**  $L_a$  **where**

$L_a \in \# \ D$  **and**

$\text{get-level (Propagated } L \ C' \ \# \ M) \ L_a = \text{backtrack-lvl } S$

**using** *skip-rule confl-inv* **by** *auto*

**moreover** {

**have**  $\text{atm-of } L_a \neq \text{atm-of } L$

**proof** (*rule ccontr*)

**assume**  $\neg \text{?thesis}$

**then have**  $L_a: L_a = L$  **using**  $\langle L_a \in \# \ D \rangle \langle \neg \ L \notin \# \ D \rangle$

**by** (*auto simp add*:  $\text{atm-of-eq-atm-of}$ )

**have**  $\text{Propagated } L \ C' \ \# \ M \models_{\text{as}} \text{CNot } D$

**using** *conflicting tr-S D* **unfolding**  $\text{cdcl}_W\text{-conflicting-def}$  **by** *auto*

**then have**  $\neg L \in \text{lits-of-l } M$

**using**  $\langle L_a \in \# \ D \rangle$  *in-CNot-implies-uminus(2)[of L D Propagated L C' # M]* **unfolding**  $L_a$

**by** *auto*

**then show** *False* **using** *lev tr-S* **unfolding**  $\text{cdcl}_W\text{-}M\text{-level-inv-def consistent-interp-def}$  **by** *auto*

**qed**

**then have**  $\text{get-level (Propagated } L \ C' \ \# \ M) \ L_a = \text{get-level } M \ L_a$  **by** *auto*

}

**ultimately show** *?case* **using**  $D \ \text{tr-}S \ T$  **by** *auto*

**qed**

**lemma** *propagate-conflict-is-false-with-level*:

**assumes**  $\langle \text{propagate } S \ T \rangle$  **and**

$\text{struct-inv: } \langle \text{cdcl}_W\text{-restart-mset.cdcl}_W\text{-all-struct-inv (abs-state } S) \rangle$  **and**

$\text{confl-inv: } \langle \text{conflict-is-false-with-level } S \rangle$

**shows**  $\langle \text{conflict-is-false-with-level } T \rangle$

**using** *assms* **by** (*induction rule: propagate.induct*) *auto*

**lemma** *cdcl<sub>W</sub>-o-conflict-is-false-with-level*:

**assumes**  $\langle \text{cdcl}_W\text{-o } S \ T \rangle$  **and**

*struct-inv*:  $\langle \text{cdcl}_W\text{-restart-mset.cdcl}_W\text{-all-struct-inv (abs-state } S) \rangle$  **and**  
*confl-inv*:  $\langle \text{conflict-is-false-with-level } S \rangle$   
**shows**  $\langle \text{conflict-is-false-with-level } T \rangle$   
**apply** (rule *cdcl<sub>W</sub>-o-conflict-is-false-with-level-inv*[of *S T*])  
**subgoal using** *assms* **by** *auto*  
**subgoal using** *struct-inv* **unfolding** *cdcl<sub>W</sub>-restart-mset.cdcl<sub>W</sub>-all-struct-inv-def*  
*cdcl<sub>W</sub>-M-level-inv-def cdcl<sub>W</sub>-restart-mset.cdcl<sub>W</sub>-M-level-inv-def*  
**by** (*auto simp: abs-state-def cdcl<sub>W</sub>-restart-mset-state*)  
**subgoal using** *assms* **by** *auto*  
**subgoal using** *struct-inv* **unfolding** *distinct-cdcl<sub>W</sub>-state-def*  
*cdcl<sub>W</sub>-restart-mset.cdcl<sub>W</sub>-all-struct-inv-def cdcl<sub>W</sub>-restart-mset.distinct-cdcl<sub>W</sub>-state-def*  
**by** (*auto simp: abs-state-def cdcl<sub>W</sub>-restart-mset-state*)  
**subgoal using** *struct-inv* **unfolding** *cdcl<sub>W</sub>-conflicting-def*  
*cdcl<sub>W</sub>-restart-mset.cdcl<sub>W</sub>-all-struct-inv-def cdcl<sub>W</sub>-restart-mset.cdcl<sub>W</sub>-conflicting-def*  
**by** (*auto simp: abs-state-def cdcl<sub>W</sub>-restart-mset-state*)  
**done**

**lemma** *cdcl<sub>W</sub>-o-no-smaller-confl*:

**assumes**  $\langle \text{cdcl}_W\text{-o } S \text{ } T \rangle$  **and**  
*struct-inv*:  $\langle \text{cdcl}_W\text{-restart-mset.cdcl}_W\text{-all-struct-inv (abs-state } S) \rangle$  **and**  
*confl-inv*:  $\langle \text{no-smaller-confl } S \rangle$  **and**  
*lev*:  $\langle \text{conflict-is-false-with-level } S \rangle$  **and**  
*n-s*:  $\langle \text{no-confl-prop-impr } S \rangle$   
**shows**  $\langle \text{no-smaller-confl } T \rangle$   
**apply** (rule *cdcl<sub>W</sub>-o-no-smaller-confl-inv*[of *S T*])  
**subgoal using** *assms* **by** (*auto dest!: cdcl<sub>W</sub>-o-cdcl<sub>W</sub>-o*)[]  
**subgoal using** *n-s* **by** *auto*  
**subgoal using** *struct-inv* **unfolding** *cdcl<sub>W</sub>-restart-mset.cdcl<sub>W</sub>-all-struct-inv-def*  
*cdcl<sub>W</sub>-M-level-inv-def cdcl<sub>W</sub>-restart-mset.cdcl<sub>W</sub>-M-level-inv-def*  
**by** (*auto simp: abs-state-def cdcl<sub>W</sub>-restart-mset-state*)  
**subgoal using** *lev* **by** *fast*  
**subgoal using** *confl-inv* **unfolding** *distinct-cdcl<sub>W</sub>-state-def*  
*cdcl<sub>W</sub>-restart-mset.cdcl<sub>W</sub>-all-struct-inv-def cdcl<sub>W</sub>-restart-mset.distinct-cdcl<sub>W</sub>-state-def*  
*cdcl<sub>W</sub>-restart-mset.no-smaller-confl-def*  
**by** (*auto simp: abs-state-def cdcl<sub>W</sub>-restart-mset-state clauses-def*)  
**done**

**declare** *cdcl<sub>W</sub>-restart-mset.conflict-is-false-with-level-def* [*simp del*]

**lemma** *improve-conflict-is-false-with-level*:

**assumes**  $\langle \text{improvep } S \text{ } T \rangle$  **and**  $\langle \text{conflict-is-false-with-level } S \rangle$   
**shows**  $\langle \text{conflict-is-false-with-level } T \rangle$   
**using** *assms*  
**proof** *induction*  
**case** (*improve-rule T*)  
**then show** ?*case*  
**by** (*auto simp: cdcl<sub>W</sub>-restart-mset.conflict-is-false-with-level-def*  
*abs-state-def cdcl<sub>W</sub>-restart-mset-state in-negate-trial-iff Bex-def negate-ann-lits-empty-iff*  
*intro!: exI[of - (lit-of (hd M))]*)  
**qed**

**declare** *conflict-is-false-with-level-def*[*simp del*]

**lemma** *trail-trail* [*simp*]:

$\langle \text{CDCL-W-Abstract-State.trail (abs-state } S) = \text{trail } S \rangle$   
**by** (*auto simp: abs-state-def cdcl<sub>W</sub>-restart-mset-state*)



**lemma** [simp]:  
 $\langle \text{CDCL-W-Abstract-State.trail } (\text{cdcl}_W\text{-restart-mset.reduce-trail-to } M \text{ (abs-state } S)) = \text{trail } (\text{reduce-trail-to } M \text{ } S) \rangle$   
**by** (auto simp: trail-reduce-trail-to-drop  
 cdcl<sub>W</sub>-restart-mset.trail-reduce-trail-to-drop)

**lemma** [simp]:  
 $\langle \text{CDCL-W-Abstract-State.trail } (\text{cdcl}_W\text{-restart-mset.reduce-trail-to } M \text{ (abs-state } S)) = \text{trail } (\text{reduce-trail-to } M \text{ } S) \rangle$   
**by** (auto simp: trail-reduce-trail-to-drop  
 cdcl<sub>W</sub>-restart-mset.trail-reduce-trail-to-drop)

**lemma** cdcl<sub>W</sub>-M-level-inv-cdcl<sub>W</sub>-M-level-inv[iff]:  
 $\langle \text{cdcl}_W\text{-restart-mset.cdcl}_W\text{-M-level-inv (abs-state } S) = \text{cdcl}_W\text{-M-level-inv } S \rangle$   
**by** (auto simp: cdcl<sub>W</sub>-restart-mset.cdcl<sub>W</sub>-M-level-inv-def  
 cdcl<sub>W</sub>-M-level-inv-def cdcl<sub>W</sub>-restart-mset-state)

**lemma** obacktrack-state-eq-compatible:

**assumes**

*bt*: obacktrack *S T* **and**

*SS'*: *S* ~ *S'* **and**

*TT'*: *T* ~ *T'*

**shows** obacktrack *S' T'*

**proof** –

**obtain** *D L K i M1 M2 D'* **where**

*conf*: conflicting *S* = Some (add-mset *L D*) **and**

*decomp*: (Decided *K* # *M1*, *M2*) ∈ set (get-all-ann-decomposition (trail *S*)) **and**

*lev*: get-level (trail *S*) *L* = backtrack-lvl *S* **and**

*max*: get-level (trail *S*) *L* = get-maximum-level (trail *S*) (add-mset *L D'*) **and**

*max-D*: get-maximum-level (trail *S*) *D'* ≡ *i* **and**

*lev-K*: get-level (trail *S*) *K* = Suc *i* **and**

*D'-D*:  $\langle D' \subseteq \# D \rangle$  **and**

*NU-DL*:  $\langle \text{clauses } S + \text{conflicting-clss } S \models_{\text{pm}} \text{add-mset } L \text{ } D' \rangle$  **and**

*T*: *T* ~ cons-trail (Propagated *L* (add-mset *L D'*))

(reduce-trail-to *M1*

(add-learned-cls (add-mset *L D'*)

(update-conflicting None *S*)))

**using** *bt* **by** (elim obacktrackE) force

**let** ?*D* =  $\langle \text{add-mset } L \text{ } D \rangle$

**let** ?*D'* =  $\langle \text{add-mset } L \text{ } D' \rangle$

**have** *D'*: conflicting *S'* = Some ?*D*

**using** *SS'* *conf* **by** (cases conflicting *S'*) auto

**have** *T'-S*: *T'* ~ cons-trail (Propagated *L* ?*D'*)

(reduce-trail-to *M1* (add-learned-cls ?*D'*

(update-conflicting None *S*)))

**using** *T TT'* state-eq-sym state-eq-trans **by** blast

**have** *T'*: *T'* ~ cons-trail (Propagated *L* ?*D'*)

(reduce-trail-to *M1* (add-learned-cls ?*D'*

(update-conflicting None *S*)))

**apply** (rule state-eq-trans[OF *T'-S*])

**by** (auto simp: cons-trail-state-eq reduce-trail-to-state-eq add-learned-cls-state-eq

update-conflicting-state-eq *SS'*)

**show** ?thesis

**apply** (rule obacktrack-rule[of - *L D K M1 M2 D' i*])

```

subgoal by (rule D')
subgoal using TT' decomp SS' by auto
subgoal using lev TT' SS' by auto
subgoal using max TT' SS' by auto
subgoal using max-D TT' SS' by auto
subgoal using lev-K TT' SS' by auto
subgoal by (rule D'-D)
subgoal using NU-DL TT' SS' by auto
subgoal by (rule T')
done
qed

lemma ocdclW-o-no-smaller-conflict-inv:
  fixes S S' :: 'st
  assumes
    ocdclW-o S S' and
    n-s: no-step conflict S and
    lev: cdclW-restart-mset.cdclW-all-struct-inv (abs-state S) and
    max-lev: conflict-is-false-with-level S and
    smaller: no-smaller-conflict S
  shows no-smaller-conflict S'
  using assms(1,2) unfolding no-smaller-conflict-def
proof (induct rule: ocdclW-o-induct)
  case (decide L T) note confl = this(1) and undef = this(2) and T = this(4)
  have [simp]: clauses T = clauses S
    using T undef by auto
  show ?case
proof (intro allI impI)
  fix M'' K M' Da
  assume trail T = M'' @ Decided K # M' and D: Da ∈ # local.clauses T
  then have trail S = tl M'' @ Decided K # M'
    ∨ (M'' = [] ∧ Decided K # M' = Decided L # trail S)
    using T undef by (cases M'') auto
  moreover {
    assume trail S = tl M'' @ Decided K # M'
    then have ¬M' ⊨as CNot Da
      using D T undef confl smaller unfolding no-smaller-conflict-def smaller by fastforce
  }
  moreover {
    assume Decided K # M' = Decided L # trail S
    then have ¬M' ⊨as CNot Da using smaller D confl T n-s by (auto simp: conflict.simps)
  }
  ultimately show ¬M' ⊨as CNot Da by fast
qed
next
  case resolve
  then show ?case using smaller max-lev unfolding no-smaller-conflict-def by auto
next
  case skip
  then show ?case using smaller max-lev unfolding no-smaller-conflict-def by auto
next
  case (backtrack L D K i M1 M2 T D') note confl = this(1) and decomp = this(2) and
    T = this(9)
  obtain c where M: trail S = c @ M2 @ Decided K # M1
    using decomp by auto

```

```

show ?case
proof (intro allI impI)
  fix M ia K' M' Da
  assume trail T = M' @ Decided K' # M
  then have M1 = tl M' @ Decided K' # M
    using T decomp lev by (cases M') (auto simp: cdclW-M-level-inv-decomp)
  let ?D' = ⟨add-mset L D'⟩
  let ?S' = (cons-trail (Propagated L ?D')
    (reduce-trail-to M1 (add-learned-cls ?D' (update-conflicting None S))))
  assume D: Da ∈# clauses T
  moreover{
    assume Da ∈# clauses S
    then have ¬M ⊨as CNot Da using ⟨M1 = tl M' @ Decided K' # M⟩ M confl smaller
      unfolding no-smaller-confl-def by auto
  }
  moreover {
    assume Da: Da = add-mset L D'
    have ¬M ⊨as CNot Da
    proof (rule ccontr)
      assume ¬ ?thesis
      then have -L ∈ lits-of-l M
        unfolding Da by (simp add: in-CNot-implies-uminus(2))
      then have -L ∈ lits-of-l (Propagated L D # M1)
        using UnI2 ⟨M1 = tl M' @ Decided K' # M⟩
        by auto
      moreover {
        have backtrack S ?S'
          using backtrack-rule[OF backtrack.hyps(1-8) T] backtrack-state-eq-compatible[of S T S] T
          by force
        then have ⟨cdcl-bnb S ?S'⟩
          by (auto dest!: cdcl-bnb-bj.intros ocdclW-o.intros intro: cdcl-bnb.intros)
        then have ⟨cdclW-restart-mset.cdclW-all-struct-inv (abs-state ?S')⟩
          using cdcl-bnb-stgy-all-struct-inv[of S, OF - lev] by fast
        then have cdclW-restart-mset.cdclW-M-level-inv (abs-state ?S')
          by (auto simp: cdclW-restart-mset.cdclW-all-struct-inv-def)
        then have no-dup (Propagated L D # M1)
          using decomp lev unfolding cdclW-restart-mset.cdclW-M-level-inv-def by auto
      }
      ultimately show False
        using Decided-Propagated-in-iff-in-lits-of-l defined-lit-map
        by (auto simp: no-dup-def)
    qed
  }
  ultimately show ¬M ⊨as CNot Da
    using T decomp lev unfolding cdclW-M-level-inv-def by fastforce
  qed
}
ultimately show ¬M ⊨as CNot Da
  using T decomp lev unfolding cdclW-M-level-inv-def by fastforce
qed

```

**lemma** *cdcl-bnb-stgy-no-smaller-confl*:

```

assumes ⟨cdcl-bnb-stgy S T⟩ and
  ⟨cdclW-restart-mset.cdclW-all-struct-inv (abs-state S)⟩ and
  ⟨no-smaller-confl S⟩ and
  ⟨conflict-is-false-with-level S⟩
shows ⟨no-smaller-confl T⟩
using assms
proof (induction rule: cdcl-bnb-stgy.cases)

```

```

case (cdcl-bnb-conflict S')
then show ?case
  using conflict-no-smaller-conf-inv by blast
next
case (cdcl-bnb-propagate S')
then show ?case
  using propagate-no-smaller-conf-inv by blast
next
case (cdcl-bnb-improve S')
then show ?case
  by (auto simp: no-smaller-conf-def improvep.simps)
next
case (cdcl-bnb-conflict-opt S')
then show ?case
  by (auto simp: no-smaller-conf-def conflict-opt.simps)
next
case (cdcl-bnb-other' S')
show ?case
  apply (rule ocdclW-o-no-smaller-conf-inv)
  using cdcl-bnb-other' by (auto simp: cdclW-restart-mset.cdclW-all-struct-inv-def)
qed

lemma ocdclW-o-conflict-is-false-with-level-inv:
  assumes
    ocdclW-o S S' and
    lev: cdclW-restart-mset.cdclW-all-struct-inv (abs-state S) and
    confl-inv: conflict-is-false-with-level S
  shows conflict-is-false-with-level S'
  using assms(1,2)
proof (induct rule: ocdclW-o-induct)
  case (resolve L C M D T) note tr-S = this(1) and confl = this(4) and LD = this(5) and T =
  this(7)

  have ⟨resolve S T⟩
    using resolve.intros[of S L C D T] resolve
    by auto
  then have ⟨cdclW-restart-mset.resolve (abs-state S) (abs-state T)⟩
    by (simp add: resolve-resolve)
  moreover have ⟨cdclW-restart-mset.conflict-is-false-with-level (abs-state S)⟩
    using confl-inv
    by (auto simp: cdclW-restart-mset.conflict-is-false-with-level-def
      conflict-is-false-with-level-def abs-state-def cdclW-restart-mset-state)
  ultimately have ⟨cdclW-restart-mset.conflict-is-false-with-level (abs-state T)⟩
    using cdclW-restart-mset.cdclW-o-conflict-is-false-with-level-inv[of ⟨abs-state S⟩ ⟨abs-state T⟩]
    lev confl-inv unfolding cdclW-restart-mset.cdclW-all-struct-inv-def
    by (auto dest!: cdclW-restart-mset.cdclW-o.intros
      cdclW-restart-mset.cdclW-bj.intros)
  then show ⟨?case⟩
    by (auto simp: cdclW-restart-mset.conflict-is-false-with-level-def
      conflict-is-false-with-level-def abs-state-def cdclW-restart-mset-state)
next
case (skip L C' M D T) note tr-S = this(1) and D = this(2) and T = this(5)
have ⟨skip S T⟩
  using skip.intros[of S L C' M D T] skip
  by auto
then have ⟨cdclW-restart-mset.skip (abs-state S) (abs-state T)⟩

```

```

  by (simp add: skip-skip)
moreover have ⟨cdclW-restart-mset.conflict-is-false-with-level (abs-state S)⟩
  using confl-inv
  by (auto simp: cdclW-restart-mset.conflict-is-false-with-level-def
    conflict-is-false-with-level-def abs-state-def cdclW-restart-mset-state)
ultimately have ⟨cdclW-restart-mset.conflict-is-false-with-level (abs-state T)⟩
  using cdclW-restart-mset.cdclW-o-conflict-is-false-with-level-inv[of ⟨abs-state S⟩ ⟨abs-state T⟩]
  lev confl-inv unfolding cdclW-restart-mset.cdclW-all-struct-inv-def
  by (auto dest!: cdclW-restart-mset.cdclW-o.intros
    cdclW-restart-mset.cdclW-bj.intros)
then show ⟨?case⟩
  by (auto simp: cdclW-restart-mset.conflict-is-false-with-level-def
    conflict-is-false-with-level-def abs-state-def cdclW-restart-mset-state)
next
case backtrack
then show ?case
  by (auto split: if-split-asm simp: cdclW-M-level-inv-decomp lev conflict-is-false-with-level-def)
qed (auto simp: conflict-is-false-with-level-def)

```

```

lemma cdcl-bnb-stgy-conflict-is-false-with-level:
  assumes ⟨cdcl-bnb-stgy S T⟩ and
    ⟨cdclW-restart-mset.cdclW-all-struct-inv (abs-state S)⟩ and
    ⟨no-smaller-confl S⟩ and
    ⟨conflict-is-false-with-level S⟩
  shows ⟨conflict-is-false-with-level T⟩
  using assms
proof (induction rule: cdcl-bnb-stgy.cases)
case (cdcl-bnb-conflict S')
then show ?case
  using conflict-conflict-is-false-with-level
  by (auto simp: cdclW-restart-mset.cdclW-all-struct-inv-def)
next
case (cdcl-bnb-propagate S')
then show ?case
  using propagate-conflict-is-false-with-level
  by (auto simp: cdclW-restart-mset.cdclW-all-struct-inv-def)
next
case (cdcl-bnb-improve S')
then show ?case
  using improve-conflict-is-false-with-level by blast
next
case (cdcl-bnb-conflict-opt S')
then show ?case
  using conflict-opt-no-smaller-conflict(2) by blast
next
case (cdcl-bnb-other' S')
show ?case
  apply (rule ocdclW-o-conflict-is-false-with-level-inv)
  using cdcl-bnb-other' by (auto simp: cdclW-restart-mset.cdclW-all-struct-inv-def)
qed

```

```

lemma decided-cons-eq-append-decide-cons: ⟨Decided L # MM = M' @ Decided K # M ⟷
  (M' ≠ [] ∧ hd M' = Decided L ∧ MM = tl M' @ Decided K # M) ∨
  (M' = [] ∧ L = K ∧ MM = M)⟩
  by (cases M') auto

```

**lemma** *either-all-false-or-earliest-decomposition*:

**shows**  $\langle (\forall K K'. L = K' @ K \longrightarrow \neg P K) \vee$   
 $(\exists L' L''. L = L'' @ L' \wedge P L' \wedge (\forall K K'. L' = K' @ K \longrightarrow K' \neq [] \longrightarrow \neg P K)) \rangle$   
**apply** (*induction L*)  
**subgoal by** *auto*  
**subgoal for** *a*  
**by** (*metis append-Cons append-Nil list.sel(3) tl-append2*)  
**done**

**lemma** *trail-is-improving-Ex-improve*:

**assumes** *conf*:  $\langle \text{conflicting } S = \text{None} \rangle$  **and**  
*imp*:  $\langle \text{is-improving } (\text{trail } S) M' S \rangle$   
**shows**  $\langle \text{Ex } (\text{improvep } S) \rangle$   
**using** *assms*  
**by** (*auto simp: improvep.simps intro!: exI*)

**definition** *cdcl-bnb-stgy-inv* :: *'st*  $\Rightarrow$  *bool* **where**

$\langle \text{cdcl-bnb-stgy-inv } S \longleftrightarrow \text{conflict-is-false-with-level } S \wedge \text{no-smaller-conf } S \rangle$

**lemma** *cdcl-bnb-stgy-invD*:

**shows**  $\langle \text{cdcl-bnb-stgy-inv } S \longleftrightarrow \text{cdcl}_W\text{-stgy-invariant } S \rangle$   
**unfolding** *cdcl<sub>W</sub>-stgy-invariant-def cdcl-bnb-stgy-inv-def*  
**by** *auto*

**lemma** *cdcl-bnb-stgy-stgy-inv*:

$\langle \text{cdcl-bnb-stgy } S T \Longrightarrow \text{cdcl}_W\text{-restart-mset.cdcl}_W\text{-all-struct-inv } (\text{abs-state } S) \Longrightarrow$   
 $\text{cdcl-bnb-stgy-inv } S \Longrightarrow \text{cdcl-bnb-stgy-inv } T \rangle$   
**using** *cdcl<sub>W</sub>-stgy-cdcl<sub>W</sub>-stgy-invariant[of S T]*  
*cdcl-bnb-stgy-conflict-is-false-with-level cdcl-bnb-stgy-no-smaller-conf*  
**unfolding** *cdcl-bnb-stgy-inv-def*  
**by** *blast*

**lemma** *rtranclp-cdcl-bnb-stgy-stgy-inv*:

$\langle \text{cdcl-bnb-stgy}^* S T \Longrightarrow \text{cdcl}_W\text{-restart-mset.cdcl}_W\text{-all-struct-inv } (\text{abs-state } S) \Longrightarrow$   
 $\text{cdcl-bnb-stgy-inv } S \Longrightarrow \text{cdcl-bnb-stgy-inv } T \rangle$   
**apply** (*induction rule: rtranclp-induct*)  
**subgoal by** *auto*  
**subgoal for** *T U*  
**using** *cdcl-bnb-stgy-stgy-inv rtranclp-cdcl-bnb-stgy-all-struct-inv*  
*rtranclp-cdcl-bnb-stgy-cdcl-bnb* **by** *blast*  
**done**

**lemma** *learned-clss-learned-clss[simp]*:

$\langle \text{CDCL-W-Abstract-State.learned-clss } (\text{abs-state } S) = \text{learned-clss } S \rangle$   
**by** (*auto simp: abs-state-def cdcl<sub>W</sub>-restart-mset-state*)

**lemma** *state-eq-init-clss-abs-state[state-simp, simp]*:

$\langle S \sim T \Longrightarrow \text{CDCL-W-Abstract-State.init-clss } (\text{abs-state } S) = \text{CDCL-W-Abstract-State.init-clss } (\text{abs-state } T) \rangle$   
**by** (*auto simp: abs-state-def cdcl<sub>W</sub>-restart-mset-state*)

**lemma**

*init-clss-abs-state-update-conflicting[simp]*:  
 $\langle \text{CDCL-W-Abstract-State.init-clss } (\text{abs-state } (\text{update-conflicting } (\text{Some } D) S)) =$   
 $\text{CDCL-W-Abstract-State.init-clss } (\text{abs-state } S) \rangle$  **and**

```

init-clss-abs-state-cons-trail[simp]:
  ⟨CDCL-W-Abstract-State.init-clss (abs-state (cons-trail K S)) =
    CDCL-W-Abstract-State.init-clss (abs-state S)⟩
by (auto simp: abs-state-def cdclW-restart-mset-state)

lemma cdcl-bnb-cdclW-learned-clauses-entailed-by-init:
  assumes
    ⟨cdcl-bnb S T⟩ and
    entailed: ⟨cdclW-restart-mset.cdclW-learned-clauses-entailed-by-init (abs-state S)⟩ and
    all-struct: ⟨cdclW-restart-mset.cdclW-all-struct-inv (abs-state S)⟩
  shows ⟨cdclW-restart-mset.cdclW-learned-clauses-entailed-by-init (abs-state T)⟩
  using assms(1)
proof (induction rule: cdcl-bnb.cases)
  case (cdcl-conflict S')
  then show ?case
    using entailed
    by (auto simp: cdclW-restart-mset.cdclW-learned-clauses-entailed-by-init-def
      elim!: conflictE)
next
  case (cdcl-propagate S')
  then show ?case
    using entailed
    by (auto simp: cdclW-restart-mset.cdclW-learned-clauses-entailed-by-init-def
      elim!: propagateE)
next
  case (cdcl-improve S')
  moreover have ⟨set-mset (CDCL-W-Abstract-State.init-clss (abs-state S)) ⊆
    set-mset (CDCL-W-Abstract-State.init-clss (abs-state (update-weight-information M' S)))⟩
    if ⟨is-improving M M' S⟩ for M M'
  using that conflicting-clss-update-weight-information-mono[OF all-struct]
  by (auto simp: abs-state-def cdclW-restart-mset-state)
  ultimately show ?case
    using entailed
    by (fastforce simp: cdclW-restart-mset.cdclW-learned-clauses-entailed-by-init-def
      elim!: improveE intro: true-clss-clss-subsetI)
next
  case (cdcl-other' S') note T = this(1) and o = this(2)
  show ?case
    apply (rule cdclW-restart-mset.cdclW-learned-clauses-entailed[of ⟨abs-state S⟩])
    subgoal
      using o unfolding T by (blast dest: cdclW-o-cdclW-o cdclW-restart-mset.other)
    subgoal using all-struct unfolding cdclW-restart-mset.cdclW-all-struct-inv-def by fast
    subgoal using entailed by fast
  done
next
  case (cdcl-conflict-opt S')
  then show ?case
    using entailed
    by (auto simp: cdclW-restart-mset.cdclW-learned-clauses-entailed-by-init-def
      elim!: conflict-optE)
qed

lemma rtrancp-cdcl-bnb-cdclW-learned-clauses-entailed-by-init:
  assumes
    ⟨cdcl-bnb** S T⟩ and
    entailed: ⟨cdclW-restart-mset.cdclW-learned-clauses-entailed-by-init (abs-state S)⟩ and

```

$\langle \text{all-struct: } \langle \text{cdcl}_W\text{-restart-mset.cdcl}_W\text{-all-struct-inv } (\text{abs-state } S) \rangle$   
**shows**  $\langle \text{cdcl}_W\text{-restart-mset.cdcl}_W\text{-learned-clauses-entailed-by-init } (\text{abs-state } T) \rangle$   
**using** *assms*  
**by** (*induction rule: rtrancpl-induct*)  
 (*auto intro: cdcl-bnb-cdcl<sub>W</sub>-learned-clauses-entailed-by-init*  
*rtrancpl-cdcl-bnb-stgy-all-struct-inv*)

**lemma** *atms-of-init-clss-conflicting-clss2[simp]*:  
 $\langle \text{atms-of-mm } (\text{init-clss } S) \cup \text{atms-of-mm } (\text{conflicting-clss } S) = \text{atms-of-mm } (\text{init-clss } S) \rangle$   
**using** *atms-of-conflicting-clss[of S]* **by** *blast*

**lemma** *no-strange-atm-no-strange-atm[simp]*:  
 $\langle \text{cdcl}_W\text{-restart-mset.no-strange-atm } (\text{abs-state } S) = \text{no-strange-atm } S \rangle$   
**using** *atms-of-conflicting-clss[of S]*  
**unfolding** *cdcl<sub>W</sub>-restart-mset.no-strange-atm-def no-strange-atm-def*  
**by** (*auto simp: abs-state-def cdcl<sub>W</sub>-restart-mset-state*)

**lemma** *cdcl<sub>W</sub>-conflicting-cdcl<sub>W</sub>-conflicting[simp]*:  
 $\langle \text{cdcl}_W\text{-restart-mset.cdcl}_W\text{-conflicting } (\text{abs-state } S) = \text{cdcl}_W\text{-conflicting } S \rangle$   
**unfolding** *cdcl<sub>W</sub>-restart-mset.cdcl<sub>W</sub>-conflicting-def cdcl<sub>W</sub>-conflicting-def*  
**by** (*auto simp: abs-state-def cdcl<sub>W</sub>-restart-mset-state*)

**lemma** *distinct-cdcl<sub>W</sub>-state-distinct-cdcl<sub>W</sub>-state*:  
 $\langle \text{cdcl}_W\text{-restart-mset.distinct-cdcl}_W\text{-state } (\text{abs-state } S) \implies \text{distinct-cdcl}_W\text{-state } S \rangle$   
**unfolding** *cdcl<sub>W</sub>-restart-mset.distinct-cdcl<sub>W</sub>-state-def distinct-cdcl<sub>W</sub>-state-def*  
**by** (*auto simp: abs-state-def cdcl<sub>W</sub>-restart-mset-state*)

**lemma** *conflicting-abs-state-conflicting[simp]*:  
 $\langle \text{CDCL-W-Abstract-State.conflicting } (\text{abs-state } S) = \text{conflicting } S \rangle$  **and**  
*clauses-abs-state[simp]*:  
 $\langle \text{cdcl}_W\text{-restart-mset.clauses } (\text{abs-state } S) = \text{clauses } S + \text{conflicting-clss } S \rangle$  **and**  
*abs-state-tl-trail[simp]*:  
 $\langle \text{abs-state } (\text{tl-trail } S) = \text{CDCL-W-Abstract-State.tl-trail } (\text{abs-state } S) \rangle$  **and**  
*abs-state-add-learned-cls[simp]*:  
 $\langle \text{abs-state } (\text{add-learned-cls } C S) = \text{CDCL-W-Abstract-State.add-learned-cls } C (\text{abs-state } S) \rangle$  **and**  
*abs-state-update-conflicting[simp]*:  
 $\langle \text{abs-state } (\text{update-conflicting } D S) = \text{CDCL-W-Abstract-State.update-conflicting } D (\text{abs-state } S) \rangle$   
**by** (*auto simp: conflicting.simps abs-state-def cdcl<sub>W</sub>-restart-mset.clauses-def*  
*init-clss.simps learned-clss.simps clauses-def tl-trail.simps*  
*add-learned-cls.simps update-conflicting.simps*)

**lemma** *sim-abs-state-simp*:  $\langle S \sim T \implies \text{abs-state } S = \text{abs-state } T \rangle$   
**by** (*auto simp: abs-state-def*)

**lemma** *abs-state-cons-trail[simp]*:  
 $\langle \text{abs-state } (\text{cons-trail } K S) = \text{CDCL-W-Abstract-State.cons-trail } K (\text{abs-state } S) \rangle$  **and**  
*abs-state-reduce-trail-to[simp]*:  
 $\langle \text{abs-state } (\text{reduce-trail-to } M S) = \text{cdcl}_W\text{-restart-mset.reduce-trail-to } M (\text{abs-state } S) \rangle$   
**subgoal by** (*auto simp: abs-state-def cons-trail.simps*)  
**subgoal by** (*induction rule: reduce-trail-to-induct*)  
 (*auto simp: reduce-trail-to.simps cdcl<sub>W</sub>-restart-mset.reduce-trail-to.simps*)  
**done**

**lemma** *obacktrack-imp-backtrack*:  
 $\langle \text{obacktrack } S T \implies \text{cdcl}_W\text{-restart-mset.backtrack } (\text{abs-state } S) (\text{abs-state } T) \rangle$   
**by** (*elim obacktrackE, rule-tac D=D and L=L and K=K in cdcl<sub>W</sub>-restart-mset.backtrack.intros*)



(*auto elim!*: *obacktrackE simp: cdcl<sub>W</sub>-restart-mset.backtrack.simps sim-abs-state-simp*)

**lemma** *backtrack-imp-obacktrack*:

⟨*cdcl<sub>W</sub>-restart-mset.backtrack (abs-state S) T* ⟹ *Ex (obacktrack S)*⟩

**by** (*elim cdcl<sub>W</sub>-restart-mset.backtrackE, rule exI,*  
*rule-tac D=D and L=L and K=K in obacktrack.intros*)

(*auto simp: cdcl<sub>W</sub>-restart-mset.backtrack.simps obacktrack.simps*)

**lemma** *cdcl<sub>W</sub>-same-weight*: ⟨*cdcl<sub>W</sub> S U* ⟹ *weight S = weight U*⟩

**by** (*induction rule: cdcl<sub>W</sub>.induct*)

(*auto simp: improvep.simps cdcl<sub>W</sub>.simps*  
*propagate.simps sim-abs-state-simp abs-state-def cdcl<sub>W</sub>-restart-mset-state*  
*clauses-def conflict.simps cdcl<sub>W</sub>-o.simps decide.simps cdcl<sub>W</sub>-bj.simps*  
*skip.simps resolve.simps backtrack.simps*)

**lemma** *ocdcl<sub>W</sub>-o-same-weight*: ⟨*ocdcl<sub>W</sub>-o S U* ⟹ *weight S = weight U*⟩

**by** (*induction rule: ocdcl<sub>W</sub>-o.induct*)

(*auto simp: improvep.simps cdcl<sub>W</sub>.simps cdcl-bnb-bj.simps*  
*propagate.simps sim-abs-state-simp abs-state-def cdcl<sub>W</sub>-restart-mset-state*  
*clauses-def conflict.simps cdcl<sub>W</sub>-o.simps decide.simps cdcl<sub>W</sub>-bj.simps*  
*skip.simps resolve.simps obacktrack.simps*)

This is a proof artefact: it is easier to reason on *improvep* when the set of initial clauses is fixed (here by *N*). The next theorem shows that the conclusion is equivalent to not fixing the set of clauses.

**lemma** *wf-cdcl-bnb*:

**assumes** *improve*: ⟨ $\bigwedge S T. \text{improvep } S T \implies \text{init-clss } S = N \implies (\nu (\text{weight } T), \nu (\text{weight } S)) \in R$

**and**

*wf-R*: ⟨*wf R*⟩

**shows** ⟨*wf* { $(T, S). \text{cdcl}_W\text{-restart-mset.cdcl}_W\text{-all-struct-inv (abs-state } S) \wedge \text{cdcl-bnb } S T \wedge$   
*init-clss } S = N*⟩

(**is** ⟨*wf ?A*⟩)

**proof** –

**let** *?R* = ⟨ $\{(T, S). (\nu (\text{weight } T), \nu (\text{weight } S)) \in R\}$ ⟩

**have** ⟨*wf* { $(T, S). \text{cdcl}_W\text{-restart-mset.cdcl}_W\text{-all-struct-inv } S \wedge \text{cdcl}_W\text{-restart-mset.cdcl}_W S T$ ⟩

**by** (*rule cdcl<sub>W</sub>-restart-mset.wf-cdcl<sub>W</sub>*)

**from** *wf-if-measure-f[OF this, of abs-state]*

**have** *wf*: ⟨*wf* { $(T, S). \text{cdcl}_W\text{-restart-mset.cdcl}_W\text{-all-struct-inv (abs-state } S) \wedge$   
*cdcl<sub>W</sub>-restart-mset.cdcl<sub>W</sub> (abs-state } S) (abs-state } T) \wedge \text{weight } S = \text{weight } T⟩*

(**is** ⟨*wf ?CDCL*⟩)

**by** (*rule wf-subset*) *auto*

**have** ⟨*wf* (*?R*  $\cup$  *?CDCL*)⟩

**apply** (*rule wf-union-compatible*)

**subgoal by** (*rule wf-if-measure-f[OF wf-R, of*  $\langle \lambda x. \nu (\text{weight } x) \rangle$ *])*

**subgoal by** (*rule wf*)

**subgoal by** (*auto simp: cdcl<sub>W</sub>-same-weight*)

**done**

**moreover have** ⟨*?A*  $\subseteq$  *?R*  $\cup$  *?CDCL*⟩

**by** (*auto dest: cdcl<sub>W</sub>.intros cdcl<sub>W</sub>-restart-mset.W-propagate cdcl<sub>W</sub>-restart-mset.W-other*  
*conflict-conflict propagate-propagate decide-decide improve conflict-opt-conflict*  
*cdcl<sub>W</sub>-o-cdcl<sub>W</sub>-o cdcl<sub>W</sub>-restart-mset.W-conflict W-conflict cdcl<sub>W</sub>-o.intros cdcl<sub>W</sub>.intros*  
*cdcl<sub>W</sub>-o-cdcl<sub>W</sub>-o*  
*simp: cdcl<sub>W</sub>-same-weight cdcl-bnb.simps ocdcl<sub>W</sub>-o-same-weight*)

```

      elim: conflict-optE)
ultimately show ?thesis
  by (rule wf-subset)
qed

corollary wf-cdcl-bnb-fixed-iff:
  shows  $\langle (\forall N. \text{wf } \{(T, S). \text{cdcl}_W\text{-restart-mset.cdcl}_W\text{-all-struct-inv } (\text{abs-state } S) \wedge \text{cdcl-bnb } S \ T$ 
     $\wedge \text{init-clss } S = N\}) \longleftrightarrow$ 
     $\text{wf } \{(T, S). \text{cdcl}_W\text{-restart-mset.cdcl}_W\text{-all-struct-inv } (\text{abs-state } S) \wedge \text{cdcl-bnb } S \ T\}$ 
     $\rangle$ 
    (is  $\langle (\forall N. \text{wf } (?A \ N)) \longleftrightarrow \text{wf } ?B \rangle$ )
proof
  assume  $\langle \text{wf } ?B \rangle$ 
  then show  $\langle \forall N. \text{wf } (?A \ N) \rangle$ 
    by (intro allI, rule wf-subset) auto
next
  assume  $\langle \forall N. \text{wf } (?A \ N) \rangle$ 
  show  $\langle \text{wf } ?B \rangle$ 
    unfolding wf-iff-no-infinite-down-chain
  proof
    assume  $\langle \exists f. \forall i. (f \ (\text{Suc } i), f \ i) \in ?B \rangle$ 
    then obtain  $f$  where  $f: \langle (f \ (\text{Suc } i), f \ i) \in ?B \rangle$  for  $i$ 
      by blast
    then have  $\langle \text{cdcl}_W\text{-restart-mset.cdcl}_W\text{-all-struct-inv } (\text{abs-state } (f \ n)) \rangle$  for  $n$ 
      by (induction n) auto
    with  $f$  have  $st: \langle \text{cdcl-bnb}^{**} (f \ 0) (f \ n) \rangle$  for  $n$ 
      apply (induction n)
      subgoal by auto
      subgoal by (subst rtrancpl-unfold, subst trancpl-unfold-end)
        auto
      done
    let  $?N = \langle \text{init-clss } (f \ 0) \rangle$ 
    have  $N: \langle \text{init-clss } (f \ n) = ?N \rangle$  for  $n$ 
      using  $st[of \ n]$  by (auto dest: rtrancpl-cdcl-bnb-no-more-init-clss)
    have  $\langle (f \ (\text{Suc } i), f \ i) \in ?A \ ?N \rangle$  for  $i$ 
      using  $f \ N$  by auto
    with  $\langle \forall N. \text{wf } (?A \ N) \rangle$  show False
      unfolding wf-iff-no-infinite-down-chain by blast
  qed
qed

```

The following is a slightly more restricted version of the theorem, because it makes it possible to add some specific invariant, which can be useful when the proof of the decreasing is complicated.

**lemma** *wf-cdcl-bnb-with-additional-inv*:

```

  assumes improve:  $\langle \bigwedge S \ T. \text{improvep } S \ T \implies P \ S \implies \text{init-clss } S = N \implies (\nu \ (\text{weight } T), \nu \ (\text{weight } S)) \in R \rangle$  and
    wf-R:  $\langle \text{wf } R \rangle$  and
     $\langle \bigwedge S \ T. \text{cdcl-bnb } S \ T \implies P \ S \implies \text{init-clss } S = N \implies \text{cdcl}_W\text{-restart-mset.cdcl}_W\text{-all-struct-inv } (\text{abs-state } S) \implies P \ T \rangle$ 
  shows  $\langle \text{wf } \{(T, S). \text{cdcl}_W\text{-restart-mset.cdcl}_W\text{-all-struct-inv } (\text{abs-state } S) \wedge \text{cdcl-bnb } S \ T \wedge P \ S \wedge$ 
     $\text{init-clss } S = N\} \rangle$ 
    (is  $\langle \text{wf } ?A \rangle$ )
proof -
  let  $?R = \langle \{(T, S). (\nu \ (\text{weight } T), \nu \ (\text{weight } S)) \in R\} \rangle$ 

  have  $\langle \text{wf } \{(T, S). \text{cdcl}_W\text{-restart-mset.cdcl}_W\text{-all-struct-inv } S \wedge \text{cdcl}_W\text{-restart-mset.cdcl}_W \ S \ T\} \rangle$ 
    by (rule cdcl_W-restart-mset.wf-cdcl_W)

```

```

from wf-if-measure-f[OF this, of abs-state]
have wf: ⟨wf {(T, S). cdclW-restart-mset.cdclW-all-struct-inv (abs-state S) ∧
  cdclW-restart-mset.cdclW (abs-state S) (abs-state T) ∧ weight S = weight T}⟩
  (is ⟨wf ?CDCL⟩)
  by (rule wf-subset) auto
have ⟨wf (?R ∪ ?CDCL)⟩
  apply (rule wf-union-compatible)
  subgoal by (rule wf-if-measure-f[OF wf-R, of ⟨λx. ν (weight x)⟩])
  subgoal by (rule wf)
  subgoal by (auto simp: cdclW-same-weight)
  done

moreover have ⟨?A ⊆ ?R ∪ ?CDCL⟩
  using assms(3) cdcl-bnb.intros(3)
  by (auto dest: cdclW.intros cdclW-restart-mset.W-propagate cdclW-restart-mset.W-other
    conflict-conflict propagate-propagate decide-decide improve conflict-opt-conflict
    cdclW-o-cdclW-o cdclW-restart-mset.W-conflict W-conflict cdclW-o.intros cdclW.intros
    cdclW-o-cdclW-o
    simp: cdclW-same-weight cdcl-bnb.simps ocdclW-o-same-weight
    elim: conflict-optE)
  ultimately show ?thesis
  by (rule wf-subset)
qed

```

```

lemma conflict-is-false-with-level-abs-iff:
  ⟨cdclW-restart-mset.conflict-is-false-with-level (abs-state S) ⟷
    conflict-is-false-with-level S⟩
  by (auto simp: cdclW-restart-mset.conflict-is-false-with-level-def
    conflict-is-false-with-level-def)

```

```

lemma decide-abs-state-decide:
  ⟨cdclW-restart-mset.decide (abs-state S) T ⟹ cdcl-bnb-struct-invs S ⟹ Ex(decide S)⟩
  apply (cases rule: cdclW-restart-mset.decide.cases, assumption)
  subgoal for L
    apply (rule exI)
    apply (rule decide.intros[of - L])
    by (auto simp: cdcl-bnb-struct-invs-def abs-state-def cdclW-restart-mset-state)
  done

```

```

lemma cdcl-bnb-no-conflicting-clss-cdclW:
  assumes ⟨cdcl-bnb S T⟩ and ⟨conflicting-clss T = {#}⟩
  shows ⟨cdclW-restart-mset.cdclW (abs-state S) (abs-state T) ∧ conflicting-clss S = {#}⟩
  using assms
  by (auto simp: cdcl-bnb.simps conflict-opt.simps improvep.simps ocdclW-o.simps
    cdcl-bnb-bj.simps
    dest: conflict-conflict propagate-propagate decide-decide skip-skip resolve-resolve
    backtrack-backtrack
    intro: cdclW-restart-mset.W-conflict cdclW-restart-mset.W-propagate cdclW-restart-mset.W-other
    dest: conflicting-clss-update-weight-information-in
    elim: conflictE propagateE decideE skipE resolveE improveE obacktrackE)

```

```

lemma rtrancp-cdcl-bnb-no-conflicting-clss-cdclW:
  assumes ⟨cdcl-bnb** S T⟩ and ⟨conflicting-clss T = {#}⟩
  shows ⟨cdclW-restart-mset.cdclW** (abs-state S) (abs-state T) ∧ conflicting-clss S = {#}⟩
  using assms

```

by (induction rule: rtrancpl-induct)  
 (fastforce dest: cdcl-bnb-no-conflicting-clss-cdcl<sub>W</sub>)+

**lemma** *conflict-abs-ex-conflict-no-conflicting*:

**assumes**  $\langle \text{cdcl}_W\text{-restart-mset.conflict } (\text{abs-state } S) \ T \rangle$  **and**  $\langle \text{conflicting-clss } S = \{\#\} \rangle$   
**shows**  $\langle \exists T. \text{conflict } S \ T \rangle$   
**using** *assms* **by** (auto simp: conflict.simps cdcl<sub>W</sub>-restart-mset.conflict.simps abs-state-def  
 cdcl<sub>W</sub>-restart-mset-state clauses-def cdcl<sub>W</sub>-restart-mset.clauses-def)

**lemma** *propagate-abs-ex-propagate-no-conflicting*:

**assumes**  $\langle \text{cdcl}_W\text{-restart-mset.propagate } (\text{abs-state } S) \ T \rangle$  **and**  $\langle \text{conflicting-clss } S = \{\#\} \rangle$   
**shows**  $\langle \exists T. \text{propagate } S \ T \rangle$   
**using** *assms* **by** (auto simp: propagate.simps cdcl<sub>W</sub>-restart-mset.propagate.simps abs-state-def  
 cdcl<sub>W</sub>-restart-mset-state clauses-def cdcl<sub>W</sub>-restart-mset.clauses-def)

**lemma** *cdcl-bnb-stgy-no-conflicting-clss-cdcl<sub>W</sub>-stgy*:

**assumes**  $\langle \text{cdcl-bnb-stgy } S \ T \rangle$  **and**  $\langle \text{conflicting-clss } T = \{\#\} \rangle$   
**shows**  $\langle \text{cdcl}_W\text{-restart-mset.cdcl}_W\text{-stgy } (\text{abs-state } S) \ (\text{abs-state } T) \rangle$

**proof** –

**have**  $\langle \text{conflicting-clss } S = \{\#\} \rangle$   
**using** cdcl-bnb-no-conflicting-clss-cdcl<sub>W</sub>[of *S T*] *assms*  
**by** (auto dest: cdcl-bnb-stgy-cdcl-bnb)  
**then show** ?thesis  
**using** *assms*  
**by** (auto 7 5 simp: cdcl-bnb-stgy.simps conflict-opt.simps ocdcl<sub>W</sub>-o.simps  
 cdcl-bnb-bj.simps  
 dest: conflict-conflict propagate-propagate decide-decide skip-skip resolve-resolve  
 backtrack-backtrack  
 dest: cdcl<sub>W</sub>-restart-mset.cdcl<sub>W</sub>-stgy.intros cdcl<sub>W</sub>-restart-mset.cdcl<sub>W</sub>-o.intros  
 dest: conflicting-clss-update-weight-information-in  
 conflict-abs-ex-conflict-no-conflicting  
 propagate-abs-ex-propagate-no-conflicting  
 intro: cdcl<sub>W</sub>-restart-mset.cdcl<sub>W</sub>-stgy.intros(3)  
 elim: improveE)

**qed**

**lemma** *rtrancpl-cdcl-bnb-stgy-no-conflicting-clss-cdcl<sub>W</sub>-stgy*:

**assumes**  $\langle \text{cdcl-bnb-stgy}^{**} S \ T \rangle$  **and**  $\langle \text{conflicting-clss } T = \{\#\} \rangle$   
**shows**  $\langle \text{cdcl}_W\text{-restart-mset.cdcl}_W\text{-stgy}^{**} (\text{abs-state } S) \ (\text{abs-state } T) \rangle$   
**using** *assms* **apply** (induction rule: rtrancpl-induct)  
**subgoal by** auto  
**subgoal for** *T U*  
**using** cdcl-bnb-no-conflicting-clss-cdcl<sub>W</sub>[of *T U*, OF cdcl-bnb-stgy-cdcl-bnb]  
**by** (auto dest: cdcl-bnb-stgy-no-conflicting-clss-cdcl<sub>W</sub>-stgy)  
**done**

**context**

**assumes** *can-always-improve*:  
 $\langle \bigwedge S. \text{trail } S \models_{\text{asm}} \text{clauses } S \implies \text{no-step conflict-opt } S \implies$   
 $\text{conflicting } S = \text{None} \implies$   
 $\text{cdcl}_W\text{-restart-mset.cdcl}_W\text{-all-struct-inv } (\text{abs-state } S) \implies$   
 $\text{total-over-}m \ (\text{lits-of-}l \ (\text{trail } S)) \ (\text{set-mset } (\text{clauses } S)) \implies \text{Ex } (\text{improvep } S) \rangle$

**begin**

The following theorems states a non-obvious (and slightly subtle) property: The fact that there

is no conflicting cannot be shown without additional assumption. However, the assumption that every model leads to an improvements implies that we end up with a conflict.

**lemma** *no-step-cdcl-bnb-cdcl<sub>W</sub>*:

**assumes**

*ns*:  $\langle \text{no-step cdcl-bnb } S \rangle$  **and**

*struct-invs*:  $\langle \text{cdcl}_W\text{-restart-mset.cdcl}_W\text{-all-struct-inv (abs-state } S) \rangle$

**shows**  $\langle \text{no-step cdcl}_W\text{-restart-mset.cdcl}_W \text{ (abs-state } S) \rangle$

**proof** –

**have** *ns-conf*:  $\langle \text{no-step skip } S \rangle \langle \text{no-step resolve } S \rangle \langle \text{no-step obacktrack } S \rangle$  **and**

*ns-nc*:  $\langle \text{no-step conflict } S \rangle \langle \text{no-step propagate } S \rangle \langle \text{no-step improvep } S \rangle \langle \text{no-step conflict-opt } S \rangle$   
 $\langle \text{no-step decide } S \rangle$

**using** *ns*

**by** (*auto simp*: *cdcl-bnb.simps ocdcl<sub>W</sub>-o.simps cdcl-bnb-bj.simps*)

**have** *alien*:  $\langle \text{cdcl}_W\text{-restart-mset.no-strange-atm (abs-state } S) \rangle$

**using** *struct-invs* **unfolding** *cdcl<sub>W</sub>-restart-mset.cdcl<sub>W</sub>-all-struct-inv-def* **by** *fast+*

**have** *False* **if** *st*:  $\langle \exists T. \text{cdcl}_W\text{-restart-mset.cdcl}_W \text{ (abs-state } S) \ T \rangle$

**proof** (*cases*  $\langle \text{conflicting } S = \text{None} \rangle$ )

**case** *True*

**have**  $\langle \text{total-over-m (lits-of-l (trail } S)) \text{ (set-mset (init-clss } S))} \rangle$

**using** *ns-nc True apply – apply* (*rule ccontr*)

**by** (*force simp*: *decide.simps total-over-m-def total-over-set-def*  
*Decided-Propagated-in-iff-in-lits-of-l*)

**then have** *tot*:  $\langle \text{total-over-m (lits-of-l (trail } S)) \text{ (set-mset (clauses } S))} \rangle$

**using** *alien unfolding cdcl<sub>W</sub>-restart-mset.no-strange-atm-def*

**by** (*auto simp*: *total-over-set-atm-of total-over-m-def clauses-def*  
*abs-state-def init-clss.simps learned-clss.simps trail.simps*)

**then have**  $\langle \text{trail } S \models_{\text{asm}} \text{clauses } S \rangle$

**using** *ns-nc True unfolding true-annots-def apply –*

*apply clarify*

**subgoal for** *C*

**using** *all-variables-defined-not-imply-cnot*[*of C*  $\langle \text{trail } S \rangle$ ]

**by** (*fastforce simp*: *conflict.simps total-over-set-atm-of*  
*dest: multi-member-split*)

**done**

**from** *can-always-improve*[*OF this*] **have**  $\langle \text{False} \rangle$

**using** *ns-nc True struct-invs tot by blast*

**then show**  $\langle ?thesis \rangle$

**by** *blast*

**next**

**case** *False*

**have** *nss*:  $\langle \text{no-step cdcl}_W\text{-restart-mset.skip (abs-state } S) \rangle$

$\langle \text{no-step cdcl}_W\text{-restart-mset.resolve (abs-state } S) \rangle$

$\langle \text{no-step cdcl}_W\text{-restart-mset.backtrack (abs-state } S) \rangle$

**using** *ns-conf* **by** (*force simp*: *cdcl<sub>W</sub>-restart-mset.skip.simps skip.simps*  
*cdcl<sub>W</sub>-restart-mset.resolve.simps resolve.simps*  
*dest: backtrack-imp-obacktrack*)**+**

**then show**  $\langle ?thesis \rangle$

**using** *that False by* (*auto simp*: *cdcl<sub>W</sub>-restart-mset.cdcl<sub>W</sub>.simps*  
*cdcl<sub>W</sub>-restart-mset.propagate.simps cdcl<sub>W</sub>-restart-mset.conflict.simps*  
*cdcl<sub>W</sub>-restart-mset.cdcl<sub>W</sub>-o.simps cdcl<sub>W</sub>-restart-mset.decide.simps*  
*cdcl<sub>W</sub>-restart-mset.cdcl<sub>W</sub>-bj.simps*)

**qed**

**then show**  $\langle ?thesis \rangle$  **by** *blast*

**qed**

**lemma** *no-step-cdcl-bnb-stgy*:

**assumes**

*n-s*:  $\langle \text{no-step cdcl-bnb } S \rangle$  **and**

*all-struct*:  $\langle \text{cdcl}_W\text{-restart-mset.cdcl}_W\text{-all-struct-inv } (\text{abs-state } S) \rangle$  **and**

*stgy-inv*:  $\langle \text{cdcl-bnb-stgy-inv } S \rangle$

**shows**  $\langle \text{conflicting } S = \text{None} \vee \text{conflicting } S = \text{Some } \{\#\} \rangle$

**proof** (rule *ccontr*)

**assume**  $\langle \neg ?thesis \rangle$

**then obtain** *D* **where**  $\langle \text{conflicting } S = \text{Some } D \rangle$  **and**  $\langle D \neq \{\#\} \rangle$

**by** *auto*

**moreover have**  $\langle \text{no-step cdcl}_W\text{-restart-mset.cdcl}_W\text{-stgy } (\text{abs-state } S) \rangle$

**using** *no-step-cdcl-bnb-cdcl<sub>W</sub>[OF n-s all-struct]*

*cdcl<sub>W</sub>-restart-mset.cdcl<sub>W</sub>-stgy-cdcl<sub>W</sub>* **by** *blast*

**moreover have** *le*:  $\langle \text{cdcl}_W\text{-restart-mset.cdcl}_W\text{-learned-clause } (\text{abs-state } S) \rangle$

**using** *all-struct unfolding cdcl<sub>W</sub>-restart-mset.cdcl<sub>W</sub>-all-struct-inv-def* **by** *fast*

**ultimately show** *False*

**using** *cdcl<sub>W</sub>-restart-mset.conflicting-no-false-can-do-step[of (abs-state S) all-struct stgy-inv le*

*unfolding cdcl<sub>W</sub>-restart-mset.cdcl<sub>W</sub>-all-struct-inv-def cdcl-bnb-stgy-inv-def*

**by** (*force dest: distinct-cdcl<sub>W</sub>-state-distinct-cdcl<sub>W</sub>-state*

*simp: conflict-is-false-with-level-abs-iff*)

**qed**

**lemma** *no-step-cdcl-bnb-stgy-empty-conflict*:

**assumes**

*n-s*:  $\langle \text{no-step cdcl-bnb } S \rangle$  **and**

*all-struct*:  $\langle \text{cdcl}_W\text{-restart-mset.cdcl}_W\text{-all-struct-inv } (\text{abs-state } S) \rangle$  **and**

*stgy-inv*:  $\langle \text{cdcl-bnb-stgy-inv } S \rangle$

**shows**  $\langle \text{conflicting } S = \text{Some } \{\#\} \rangle$

**proof** (rule *ccontr*)

**assume** *H*:  $\langle \neg ?thesis \rangle$

**have** *all-struct'*:  $\langle \text{cdcl}_W\text{-restart-mset.cdcl}_W\text{-all-struct-inv } (\text{abs-state } S) \rangle$

**by** (*simp add: all-struct*)

**have** *le*:  $\langle \text{cdcl}_W\text{-restart-mset.cdcl}_W\text{-learned-clause } (\text{abs-state } S) \rangle$

**using** *all-struct*

**unfolding** *cdcl<sub>W</sub>-restart-mset.cdcl<sub>W</sub>-all-struct-inv-def cdcl-bnb-stgy-inv-def*

**by** *auto*

**have**  $\langle \text{conflicting } S = \text{None} \vee \text{conflicting } S = \text{Some } \{\#\} \rangle$

**using** *no-step-cdcl-bnb-stgy[OF n-s all-struct' stgy-inv]* .

**then have** *confl*:  $\langle \text{conflicting } S = \text{None} \rangle$

**using** *H* **by** *blast*

**have**  $\langle \text{no-step cdcl}_W\text{-restart-mset.cdcl}_W\text{-stgy } (\text{abs-state } S) \rangle$

**using** *no-step-cdcl-bnb-cdcl<sub>W</sub>[OF n-s all-struct]*

*cdcl<sub>W</sub>-restart-mset.cdcl<sub>W</sub>-stgy-cdcl<sub>W</sub>* **by** *blast*

**then have** *entail*:  $\langle \text{trail } S \models_{\text{asm}} \text{clauses } S \rangle$

**using** *confl cdcl<sub>W</sub>-restart-mset.cdcl<sub>W</sub>-stgy-final-state-conclusive2[of (abs-state S)*

*all-struct stgy-inv le*

**unfolding** *cdcl<sub>W</sub>-restart-mset.cdcl<sub>W</sub>-all-struct-inv-def cdcl-bnb-stgy-inv-def*

**by** (*auto simp: conflict-is-false-with-level-abs-iff*)

**have**  $\langle \text{total-over-m } (\text{lits-of-l } (\text{trail } S)) \text{ (set-mset (clauses } S)) \rangle$

**using** *cdcl<sub>W</sub>-restart-mset.no-step-cdcl<sub>W</sub>-total[OF no-step-cdcl-bnb-cdcl<sub>W</sub>, of S] all-struct n-s confl*

**unfolding** *cdcl<sub>W</sub>-restart-mset.cdcl<sub>W</sub>-all-struct-inv-def*

**by** *auto*

**with** *can-always-improve entail confl all-struct*

**show**  $\langle \text{False} \rangle$

using  $n-s$  by (auto simp: cdcl-bnb.simps)  
qed

lemma full-cdcl-bnb-stgy-no-conflicting-clss-unsat:

assumes

full:  $\langle \text{full cdcl-bnb-stgy } S \ T \rangle$  and

all-struct:  $\langle \text{cdcl}_W\text{-restart-mset.cdcl}_W\text{-all-struct-inv } (\text{abs-state } S) \rangle$  and

stgy-inv:  $\langle \text{cdcl-bnb-stgy-inv } S \rangle$  and

ent-init:  $\langle \text{cdcl}_W\text{-restart-mset.cdcl}_W\text{-learned-clauses-entailed-by-init } (\text{abs-state } S) \rangle$  and

[simp]:  $\langle \text{conflicting-clss } T = \{\#\} \rangle$

shows  $\langle \text{unsatisfiable } (\text{set-mset } (\text{init-clss } S)) \rangle$

proof –

have ns: no-step cdcl-bnb-stgy  $T$  and

st: cdcl-bnb-stgy\*\*  $S \ T$  and

st': cdcl-bnb\*\*  $S \ T$  and

ns':  $\langle \text{no-step cdcl-bnb } T \rangle$

using full unfolding full-def apply (blast dest: rtranclp-cdcl-bnb-stgy-cdcl-bnb)+

using full unfolding full-def

by (metis cdcl-bnb.simps cdcl-bnb-conflict cdcl-bnb-conflict-opt cdcl-bnb-improve  
cdcl-bnb-other' cdcl-bnb-propagate no-conf-prop-impr.elims(3))

have struct-T:  $\langle \text{cdcl}_W\text{-restart-mset.cdcl}_W\text{-all-struct-inv } (\text{abs-state } T) \rangle$

using rtranclp-cdcl-bnb-stgy-all-struct-inv[OF st' all-struct] .

have [simp]:  $\langle \text{conflicting-clss } S = \{\#\} \rangle$

using rtranclp-cdcl-bnb-no-conflicting-clss-cdcl<sub>W</sub>[OF st'] by auto

have  $\langle \text{cdcl}_W\text{-restart-mset.cdcl}_W\text{-stgy** } (\text{abs-state } S) \ (\text{abs-state } T) \rangle$

using rtranclp-cdcl-bnb-stgy-no-conflicting-clss-cdcl<sub>W</sub>-stgy[OF st] by auto

then have  $\langle \text{full cdcl}_W\text{-restart-mset.cdcl}_W\text{-stgy } (\text{abs-state } S) \ (\text{abs-state } T) \rangle$

using no-step-cdcl-bnb-cdcl<sub>W</sub>[OF ns' struct-T] unfolding full-def

by (auto dest: cdcl<sub>W</sub>-restart-mset.cdcl<sub>W</sub>-stgy-cdcl<sub>W</sub>)

moreover have  $\langle \text{cdcl}_W\text{-restart-mset.no-smaller-conf } (\text{state-butlast } S) \rangle$

using stgy-inv ent-init

unfolding cdcl<sub>W</sub>-restart-mset.cdcl<sub>W</sub>-all-struct-inv-def conflict-is-false-with-level-abs-iff

cdcl-bnb-stgy-inv-def conflict-is-false-with-level-abs-iff

cdcl<sub>W</sub>-restart-mset.cdcl<sub>W</sub>-stgy-invariant-def

by (auto simp: abs-state-def cdcl<sub>W</sub>-restart-mset-state cdcl-bnb-stgy-inv-def

no-smaller-conf-def cdcl<sub>W</sub>-restart-mset.no-smaller-conf-def clauses-def

cdcl<sub>W</sub>-restart-mset.clauses-def)

ultimately have conflicting  $T = \text{Some } \{\#\} \wedge \text{unsatisfiable } (\text{set-mset } (\text{init-clss } S))$

$\vee$  conflicting  $T = \text{None} \wedge \text{trail } T \models_{\text{asm}} \text{init-clss } S$

using cdcl<sub>W</sub>-restart-mset.full-cdcl<sub>W</sub>-stgy-inv-normal-form[of  $\langle \text{abs-state } S \rangle \langle \text{abs-state } T \rangle$ ] all-struct  
stgy-inv ent-init

unfolding cdcl<sub>W</sub>-restart-mset.cdcl<sub>W</sub>-all-struct-inv-def conflict-is-false-with-level-abs-iff

cdcl-bnb-stgy-inv-def conflict-is-false-with-level-abs-iff

cdcl<sub>W</sub>-restart-mset.cdcl<sub>W</sub>-stgy-invariant-def

by (auto simp: abs-state-def cdcl<sub>W</sub>-restart-mset-state cdcl-bnb-stgy-inv-def)

moreover have  $\langle \text{cdcl-bnb-stgy-inv } T \rangle$

using rtranclp-cdcl-bnb-stgy-stgy-inv[OF st all-struct stgy-inv] .

ultimately show  $\langle ?thesis \rangle$

using no-step-cdcl-bnb-stgy-empty-conflict[OF ns' struct-T] by auto

qed

lemma ocdcl<sub>W</sub>-o-no-smaller-propa:

assumes  $\langle \text{ocdcl}_W\text{-o } S \ T \rangle$  and

inv:  $\langle \text{cdcl}_W\text{-restart-mset.cdcl}_W\text{-all-struct-inv } (\text{abs-state } S) \rangle$  and

```

    smaller-propa: ⟨no-smaller-propa S⟩ and
    n-s: ⟨no-confl-prop-impr S⟩
  shows ⟨no-smaller-propa T⟩
  using assms(1)
proof (cases)
  case decide
  show ?thesis
    unfolding no-smaller-propa-def
  proof clarify
    fix M K M' D L
    assume
      tr: ⟨trail T = M' @ Decided K # M⟩ and
      D: ⟨D + {#L#} ∈ # clauses T⟩ and
      undef: ⟨undefined-lit M L⟩ and
      M: ⟨M ⊨as CNot D⟩
    then have Ex (propagate S)
    apply (cases M')
    using propagate-rule[of S D + {#L#} L cons-trail (Propagated L (D + {#L#})) S]
      smaller-propa decide
    by (auto simp: no-smaller-propa-def elim!: rulesE)
    then show False
    using n-s unfolding no-confl-prop-impr.simps by blast
  qed
next
  case bj
  then show ?thesis
proof cases
  case skip
  then show ?thesis
    using assms no-smaller-propa-tl[of S T]
    by (auto simp: cdcl-bnb-bj.simps ocdclW-o.simps obacktrack.simps
      resolve.simps
      elim!: rulesE)
next
  case resolve
  then show ?thesis
    using assms no-smaller-propa-tl[of S T]
    by (auto simp: cdcl-bnb-bj.simps ocdclW-o.simps obacktrack.simps
      resolve.simps
      elim!: rulesE)
next
  case backtrack
  have inv-T: cdclW-restart-mset.cdclW-all-struct-inv (abs-state T)
  using cdclW-restart-mset.cdclW-stgy-cdclW-all-struct-inv inv assms(1)
  using cdcl-bnb-stgy-all-struct-inv cdcl-other' by blast
  obtain D D' :: 'v clause and K L :: 'v literal and
    M1 M2 :: ('v, 'v clause) ann-lit list and i :: nat where
    conflicting S = Some (add-mset L D) and
    decomp: (Decided K # M1, M2) ∈ set (get-all-ann-decomposition (trail S)) and
    get-level (trail S) L = backtrack-lvl S and
    get-level (trail S) L = get-maximum-level (trail S) (add-mset L D') and
    i: get-maximum-level (trail S) D' ≡ i and
    lev-K: get-level (trail S) K = i + 1 and
    D-D': ⟨D' ⊆# D⟩ and
    T: T ∼ cons-trail (Propagated L (add-mset L D'))
    (reduce-trail-to M1

```



```

      (add-learned-cls (add-mset L D'))
      (update-conflicting None S)))
  using backtrack by (auto elim!: obacktrackE)
let ?D' = ⟨add-mset L D'⟩
have [simp]: trail (reduce-trail-to M1 S) = M1
  using decomp by auto
obtain M'' c where M'': trail S = M'' @ tl (trail T) and c: ⟨M'' = c @ M2 @ [Decided K]⟩
  using decomp T by auto
have M1: M1 = tl (trail T) and tr-T: trail T = Propagated L ?D' # M1
  using decomp T by auto
have lev-inv: cdclW-restart-mset.cdclW-M-level-inv (abs-state S)
  using inv unfolding cdclW-restart-mset.cdclW-all-struct-inv-def by auto
then have lev-inv-T: cdclW-restart-mset.cdclW-M-level-inv (abs-state T)
  using inv-T unfolding cdclW-restart-mset.cdclW-all-struct-inv-def by auto
have n-d: no-dup (trail S)
  using lev-inv unfolding cdclW-restart-mset.cdclW-M-level-inv-def
  by (auto simp: abs-state-def trail.simps)
have n-d-T: no-dup (trail T)
  using lev-inv-T unfolding cdclW-restart-mset.cdclW-M-level-inv-def
  by (auto simp: abs-state-def trail.simps)

have i-lvl: ⟨i = backtrack-lvl T⟩
  using no-dup-append-in-atm-notin[of ⟨c @ M2⟩ ⟨Decided K # tl (trail T)⟩ K]
  n-d lev-K unfolding c M'' by (auto simp: image-Un tr-T)

from backtrack show ?thesis
  unfolding no-smaller-propa-def
proof clarify
  fix M K' M' E' L'
  assume
    tr: ⟨trail T = M' @ Decided K' # M⟩ and
    E: ⟨E' + {#L'#} ∈# clauses T⟩ and
    undef: ⟨undefined-lit M L'⟩ and
    M: ⟨M ⊨as CNot E'⟩
  have False if D: ⟨add-mset L D' = add-mset L' E'⟩ and M-D: ⟨M ⊨as CNot E'⟩
  proof -
    have ⟨i ≠ 0⟩
      using i-lvl tr T by auto
    moreover {
      have M1 ⊨as CNot D'
        using inv-T tr-T unfolding cdclW-restart-mset.cdclW-all-struct-inv-def
        cdclW-restart-mset.cdclW-conflicting-def
        by (force simp: abs-state-def trail.simps conflicting.simps)
      then have get-maximum-level M1 D' = i
        using T i n-d D-D' unfolding M'' tr-T
        by (subst (asm) get-maximum-level-skip-beginning)
        (auto dest: defined-lit-no-dupD dest!: true-annots-CNot-definedD) }
    ultimately obtain L-max where
      L-max-in: L-max ∈# D' and
      lev-L-max: get-level M1 L-max = i
      using i get-maximum-level-exists-lit-of-max-level[of D' M1]
      by (cases D') auto
    have count-dec-M: count-decided M < i
      using T i-lvl unfolding tr by auto
    have - L-max ∉ lits-of-l M
    proof (rule ccontr)

```

```

assume  $\langle \neg \text{?thesis} \rangle$ 
then have  $\langle \text{undefined-lit } (M' @ [\text{Decided } K]) \text{ } L\text{-max} \rangle$ 
  using  $n\text{-d-}T$  unfolding  $tr$ 
  by  $(\text{auto dest: in-lits-of-l-defined-litD dest: defined-lit-no-dupD simp: atm-of-eq-atm-of})$ 
then have  $\langle \text{get-level } (tl M' @ \text{Decided } K' \# M) \text{ } L\text{-max} < i \rangle$ 
  apply  $(\text{subst get-level-skip})$ 
  apply  $(\text{cases } M'; \text{auto simp add: atm-of-eq-atm-of lits-of-def; fail})$ 
  using  $\text{count-dec-}M \text{ count-decided-ge-get-level[of } M \text{ } L\text{-max]}$  by  $\text{auto}$ 
then show  $\text{False}$ 
  using  $\text{lev-}L\text{-max } tr$  unfolding  $tr\text{-}T$  by  $(\text{auto simp: propagated-cons-eq-append-decide-cons})$ 
qed
moreover have  $\neg L \in \text{lits-of-}l \text{ } M$ 
proof  $(\text{rule ccontr})$ 
  define  $MM$  where  $\langle MM = tl M' \rangle$ 
  assume  $\langle \neg \text{?thesis} \rangle$ 
  then have  $\langle \neg L \in \text{lits-of-}l (M' @ [\text{Decided } K]) \rangle$ 
    using  $n\text{-d-}T$  unfolding  $tr$  by  $(\text{auto simp: lits-of-def no-dup-def})$ 
  have  $\langle \text{undefined-lit } (M' @ [\text{Decided } K]) \text{ } L \rangle$ 
    apply  $(\text{rule no-dup-uminus-append-in-atm-notin})$ 
    using  $n\text{-d-}T \langle \neg \neg L \in \text{lits-of-}l M \rangle$  unfolding  $tr$  by  $\text{auto}$ 
  moreover have  $M' = \text{Propagated } L \text{ } ?D' \# MM$ 
    using  $tr\text{-}T \text{ } MM\text{-def}$  by  $(\text{metis hd-Cons-tl propagated-cons-eq-append-decide-cons } tr)$ 
  ultimately show  $\text{False}$ 
    by  $\text{simp}$ 
qed
moreover have  $L\text{-max} \in \# D' \vee L \in \# D'$ 
  using  $D \text{ } L\text{-max-in}$  by  $(\text{auto split: if-splits})$ 
ultimately show  $\text{False}$ 
  using  $M\text{-}D \text{ } D$  by  $(\text{auto simp: true-annots-true-clss true-clss-def add-mset-eq-add-mset})$ 
qed
then show  $\text{False}$ 
  using  $M'' \text{ smaller-propa } tr \text{ undef } M \text{ } T \text{ } E$ 
  by  $(\text{cases } M') (\text{auto simp: no-smaller-propa-def trivial-add-mset-remove-iff elim!: rulesE})$ 
qed
qed
qed

```

**lemma**  $\text{ocdcl}_W\text{-no-smaller-propa}$ :

**assumes**  $\langle \text{cdcl-bnb-stgy } S \text{ } T \rangle$  **and**

$\text{inv: } \langle \text{cdcl}_W\text{-restart-mset.cdcl}_W\text{-all-struct-inv } (\text{abs-state } S) \rangle$  **and**

$\text{smaller-propa: } \langle \text{no-smaller-propa } S \rangle$  **and**

$\text{n-s: } \langle \text{no-conflict-prop-impr } S \rangle$

**shows**  $\langle \text{no-smaller-propa } T \rangle$

**using**  $\text{assms}$

**apply**  $(\text{cases})$

**subgoal by**  $(\text{auto})$

**subgoal by**  $(\text{auto})$

**subgoal by**  $(\text{auto elim!: improveE simp: no-smaller-propa-def})$

**subgoal by**  $(\text{auto elim!: conflict-optE simp: no-smaller-propa-def})$

**subgoal using**  $\text{ocdcl}_W\text{-o-no-smaller-propa}$  **by**  $\text{fast}$

**done**

Unfortunately, we cannot reuse the proof we have already done.

**lemma**  $\text{ocdcl}_W\text{-no-relearning}$ :

**assumes**  $\langle \text{cdcl-bnb-stgy } S \text{ } T \rangle$  **and**

$\text{inv: } \langle \text{cdcl}_W\text{-restart-mset.cdcl}_W\text{-all-struct-inv } (\text{abs-state } S) \rangle$  **and**

```

    smaller-propa: ⟨no-smaller-propa S⟩ and
    n-s: ⟨no-confl-prop-impr S⟩ and
    dist: ⟨distinct-mset (clauses S)⟩
  shows ⟨distinct-mset (clauses T)⟩
  using assms(1)
proof cases
  case cdcl-bnb-conflict
  then show ?thesis using dist by (auto elim: rulesE)
next
  case cdcl-bnb-propagate
  then show ?thesis using dist by (auto elim: rulesE)
next
  case cdcl-bnb-improve
  then show ?thesis using dist by (auto elim: improveE)
next
  case cdcl-bnb-conflict-opt
  then show ?thesis using dist by (auto elim: conflict-optE)
next
  case cdcl-bnb-other'
  then show ?thesis
proof cases
  case decide
  then show ?thesis using dist by (auto elim: rulesE)
next
  case bj
  then show ?thesis
proof cases
  case skip
  then show ?thesis using dist by (auto elim: rulesE)
next
  case resolve
  then show ?thesis using dist by (auto elim: rulesE)
next
  case backtrack
  have smaller-propa: ⟨ $\bigwedge M K M' D L.$ 
    trail  $S = M' @ Decided K \# M \implies$ 
     $D + \{\#L\} \in \# clauses S \implies undefined-lit M L \implies \neg M \models_{as} CNot D$ ⟩
  using smaller-propa unfolding no-smaller-propa-def by fast
  have inv: ⟨cdclW-restart-mset.cdclW-all-struct-inv (abs-state T)⟩
  using inv
  using cdclW-restart-mset.cdclW-stgy-cdclW-all-struct-inv inv assms(1)
  using cdcl-bnb-stgy-all-struct-inv cdcl-other' backtrack ocdclW-o.intros
  cdcl-bnb-bj.intros
  by blast
  then have n-d: ⟨no-dup (trail T)⟩ and
  ent: ⟨ $\bigwedge L$  mark  $a b.$ 
     $a @ Propagated L \text{ mark} \# b = trail T \implies$ 
     $b \models_{as} CNot (remove1-mset L \text{ mark}) \wedge L \in \# \text{ mark}$ ⟩
  unfolding cdclW-restart-mset.cdclW-M-level-inv-def
  cdclW-restart-mset.cdclW-all-struct-inv-def
  cdclW-restart-mset.cdclW-conflicting-def
  by (auto simp: abs-state-def trail.simps)
show ?thesis
proof (rule ccontr)
  assume H: ⟨ $\neg ?thesis$ ⟩
  obtain D D' :: 'v clause and K L :: 'v literal and

```

```

M1 M2 :: ('v, 'v clause) ann-lit list and i :: nat where
conflicting S = Some (add-mset L D) and
decomp: (Decided K # M1, M2) ∈ set (get-all-ann-decomposition (trail S)) and
get-level (trail S) L = backtrack-lvl S and
get-level (trail S) L = get-maximum-level (trail S) (add-mset L D') and
i: get-maximum-level (trail S) D' ≡ i and
lev-K: get-level (trail S) K = i + 1 and
D-D': ⟨D' ⊆# D⟩ and
T: T ~ cons-trail (Propagated L (add-mset L D'))
(reduce-trail-to M1
(add-learned-cls (add-mset L D')
(update-conflicting None S)))
using backtrack by (auto elim!: obacktrackE)
from H T dist have LD': ⟨add-mset L D' ∈# clauses S⟩
by auto
have ⟨¬M1 ⊨as CNot D'⟩
using get-all-ann-decomposition-exists-prepend[OF decomp] apply (elim exE)
by (rule smaller-propa[of ⟨- @ M2⟩ K M1 D' L])
(use n-d T decomp LD' in auto)
moreover have ⟨M1 ⊨as CNot D'⟩
using ent[of ⟨[]⟩ L ⟨add-mset L D'⟩ M1] T decomp by auto
ultimately show False
..
qed
qed
qed
qed

```

**lemma full-cdcl-bnb-stgy-unsat:**

**assumes**

*st*: ⟨full cdcl-bnb-stgy S T⟩ and

*all-struct*: ⟨cdcl<sub>W</sub>-restart-mset.cdcl<sub>W</sub>-all-struct-inv (abs-state S)⟩ and

*opt-struct*: ⟨cdcl-bnb-struct-invs S⟩ and

*stgy-inv*: ⟨cdcl-bnb-stgy-inv S⟩

**shows**

⟨unsatisfiable (set-mset (clauses T + conflicting-clss T))⟩

**proof** –

**have** *ns*: ⟨no-step cdcl-bnb-stgy T⟩ and

*st*: ⟨cdcl-bnb-stgy\*\* S T⟩ and

*st'*: ⟨cdcl-bnb\*\* S T⟩

**using** *st* **unfolding** *full-def* **by** (auto intro: rtrancpl-cdcl-bnb-stgy-cdcl-bnb)

**have** *ns'*: ⟨no-step cdcl-bnb T⟩

**by** (meson cdcl-bnb.cases cdcl-bnb-stgy.simps no-confl-prop-impr.elims(3) *ns*)

**have** *struct-T*: ⟨cdcl<sub>W</sub>-restart-mset.cdcl<sub>W</sub>-all-struct-inv (abs-state T)⟩

**using** rtrancpl-cdcl-bnb-stgy-all-struct-inv[OF *st'* *all-struct*] .

**have** *stgy-T*: ⟨cdcl-bnb-stgy-inv T⟩

**using** rtrancpl-cdcl-bnb-stgy-stgy-inv[OF *st* *all-struct* *stgy-inv*] .

**have** *confl*: ⟨conflicting T = Some {#}⟩

**using** no-step-cdcl-bnb-stgy-empty-conflict[OF *ns'* *struct-T* *stgy-T*] .

**have** ⟨cdcl<sub>W</sub>-restart-mset.cdcl<sub>W</sub>-learned-clause (abs-state T)⟩ and

*alien*: ⟨cdcl<sub>W</sub>-restart-mset.no-strange-atm (abs-state T)⟩

**using** *struct-T* **unfolding** cdcl<sub>W</sub>-restart-mset.cdcl<sub>W</sub>-all-struct-inv-def **by** fast+

**then have** *ent'*: ⟨set-mset (clauses T + conflicting-clss T) ⊨<sub>p</sub> {#}⟩

**using** *confl* **unfolding** cdcl<sub>W</sub>-restart-mset.cdcl<sub>W</sub>-learned-clause-alt-def

```

by auto

show  $\langle \text{unsatisfiable } (\text{set-mset } (\text{clauses } T + \text{conflicting-clss } T)) \rangle$ 
proof
  assume  $\langle \text{satisfiable } (\text{set-mset } (\text{clauses } T + \text{conflicting-clss } T)) \rangle$ 
  then obtain  $I$  where
     $\text{ent}' : \langle I \models_{sm} \text{clauses } T + \text{conflicting-clss } T \rangle$  and
     $\text{tot} : \langle \text{total-over-m } I (\text{set-mset } (\text{clauses } T + \text{conflicting-clss } T)) \rangle$  and
     $\langle \text{consistent-interp } I \rangle$ 
  unfolding  $\text{satisfiable-def}$ 
  by blast
  then show  $\langle \text{False} \rangle$ 
  using  $\text{ent}'$ 
  unfolding  $\text{true-clss-clss-def}$  by auto
qed
qed

end

lemma  $\text{cdcl-bnb-reasons-in-clauses}$ :
 $\langle \text{cdcl-bnb } S \ T \implies \text{reasons-in-clauses } S \implies \text{reasons-in-clauses } T \rangle$ 
by (auto simp:  $\text{cdcl-bnb.simps}$   $\text{reasons-in-clauses-def}$   $\text{ocdcl}_W\text{-o.simps}$ 
 $\text{cdcl-bnb-bj.simps}$   $\text{get-all-mark-of-propagated-tl-proped}$ 
 $\text{elim!}$ :  $\text{rulesE}$   $\text{improveE}$   $\text{conflict-optE}$   $\text{obacktrackE}$ 
 $\text{dest!}$ :  $\text{in-set-tlD}$ 
 $\text{dest!}$ :  $\text{get-all-ann-decomposition-exists-prepend}$ )

end

```

## OCDCL

This locale includes only the assumption we make on the weight function.

```

locale  $\text{ocdcl-weight} =$ 
  fixes
     $\varrho :: \langle 'v \text{ clause} \Rightarrow 'a :: \{\text{linorder}\} \rangle$ 
  assumes
     $\varrho\text{-mono} : \langle \text{distinct-mset } B \implies A \subseteq_{\#} B \implies \varrho A \leq \varrho B \rangle$ 
begin

lemma  $\varrho\text{-empty-simp}[\text{simp}]$ :
  assumes  $\langle \text{consistent-interp } (\text{set-mset } A) \rangle \langle \text{distinct-mset } A \rangle$ 
  shows  $\langle \varrho A \geq \varrho \{\#\} \rangle \langle \neg \varrho A < \varrho \{\#\} \rangle \langle \varrho A \leq \varrho \{\#\} \longleftrightarrow \varrho A = \varrho \{\#\} \rangle$ 
  using  $\varrho\text{-mono}[\text{of } A \ \langle \{\#\} \rangle]$   $\text{assms}$ 
  by auto

end

```

This is one of the version of the weight functions used by Christoph Weidenbach.

```

locale  $\text{ocdcl-weight-WB} =$ 
  fixes
     $\nu :: \langle 'v \text{ literal} \Rightarrow \text{nat} \rangle$ 
begin

definition  $\varrho :: \langle 'v \text{ clause} \Rightarrow \text{nat} \rangle$  where
 $\langle \varrho M = (\sum A \in_{\#} M. \nu A) \rangle$ 

```

```

sublocale ocdcl-weight  $\varrho$ 
  by (unfold-locales)
    (auto simp:  $\varrho$ -def sum-image-mset-mono)

```

```

end

```

The following datatype is equivalent to *'a option*. However, it has the opposite ordering. Therefore, I decided to use a different type instead of have a second order which conflicts with `~~/src/HOL/Library/Option_ord.thy`.

```

datatype 'a optimal-model = Not-Found | is-found: Found (the-optimal: 'a)

```

```

instantiation optimal-model :: (ord) ord

```

```

begin

```

```

  fun less-optimal-model :: ⟨'a :: ord optimal-model  $\Rightarrow$  'a optimal-model  $\Rightarrow$  bool⟩ where
    ⟨less-optimal-model Not-Found - = False⟩
  | ⟨less-optimal-model (Found -) Not-Found  $\longleftrightarrow$  True⟩
  | ⟨less-optimal-model (Found a) (Found b)  $\longleftrightarrow$  a < b⟩

```

```

fun less-eq-optimal-model :: ⟨'a :: ord optimal-model  $\Rightarrow$  'a optimal-model  $\Rightarrow$  bool⟩ where

```

```

    ⟨less-eq-optimal-model Not-Found Not-Found = True⟩
  | ⟨less-eq-optimal-model Not-Found (Found -) = False⟩
  | ⟨less-eq-optimal-model (Found -) Not-Found  $\longleftrightarrow$  True⟩
  | ⟨less-eq-optimal-model (Found a) (Found b)  $\longleftrightarrow$  a  $\leq$  b⟩

```

```

instance

```

```

  by standard

```

```

end

```

```

instance optimal-model :: (preorder) preorder

```

```

  apply standard

```

```

  subgoal for a b

```

```

    by (cases a; cases b) (auto simp: less-le-not-le)

```

```

  subgoal for a

```

```

    by (cases a) auto

```

```

  subgoal for a b c

```

```

    by (cases a; cases b; cases c) (auto dest: order-trans)

```

```

  done

```

```

instance optimal-model :: (order) order

```

```

  apply standard

```

```

  subgoal for a b

```

```

    by (cases a; cases b) (auto simp: less-le-not-le)

```

```

  done

```

```

instance optimal-model :: (linorder) linorder

```

```

  apply standard

```

```

  subgoal for a b

```

```

    by (cases a; cases b) (auto simp: less-le-not-le)

```

```

  done

```

```

instantiation optimal-model :: (wellorder) wellorder

```

```

begin

```

**lemma** *wf-less-optimal-model*: wf  $\{(M :: 'a \text{ optimal-model}, N). M < N\}$

**proof** –

**have** 1:  $\langle \{(M :: 'a \text{ optimal-model}, N). M < N\} =$   
 $\text{map-prod Found Found } \langle \{(M :: 'a, N). M < N\} \cup$   
 $\{(a, b). a \neq \text{Not-Found} \wedge b = \text{Not-Found}\} \rangle \text{ (is } \langle ?A = ?B \cup ?C \rangle)$   
**apply** (auto simp: image-iff)  
**apply** (case-tac a; case-tac b)  
**apply** auto  
**apply** (case-tac a)  
**apply** auto  
**done**  
**have** [simp]:  $\langle \text{inj Found} \rangle$   
**by** (auto simp: inj-on-def)  
**have**  $\langle \text{wf } ?B \rangle$   
**by** (rule wf-map-prod-image) (auto intro: wf)  
**moreover have**  $\langle \text{wf } ?C \rangle$   
**by** (rule wfI-pf) auto  
**ultimately show**  $\langle \text{wf } (?A) \rangle$   
**unfolding** 1  
**by** (rule wf-Un) (auto)

**qed**

**instance by standard** (metis CollectI split-conv wf-def wf-less-optimal-model)

**end**

**locale** *conflict-driven-clause-learning<sub>W</sub>-optimal-weight* =

*conflict-driven-clause-learning<sub>W</sub>*

*state-eq*

*state*

— functions for the state:

— access functions:

*trail init-clss learned-clss conflicting*

— changing state:

*cons-trail tl-trail add-learned-cls remove-cls*

*update-conflicting*

— get state:

*init-state* +

*ocdcl-weight* *ρ*

**for**

*state-eq* ::  $'st \Rightarrow 'st \Rightarrow \text{bool}$  (**infix**  $\sim 50$ ) **and**

*state* ::  $'st \Rightarrow ('v, 'v \text{ clause}) \text{ ann-lits} \times 'v \text{ clauses} \times 'v \text{ clauses} \times 'v \text{ clause option} \times$   
 $'v \text{ clause option} \times 'b$  **and**

*trail* ::  $'st \Rightarrow ('v, 'v \text{ clause}) \text{ ann-lits}$  **and**

*init-clss* ::  $'st \Rightarrow 'v \text{ clauses}$  **and**

*learned-clss* ::  $'st \Rightarrow 'v \text{ clauses}$  **and**

*conflicting* ::  $'st \Rightarrow 'v \text{ clause option}$  **and**

*cons-trail* ::  $('v, 'v \text{ clause}) \text{ ann-lit} \Rightarrow 'st \Rightarrow 'st$  **and**

*tl-trail* ::  $'st \Rightarrow 'st$  **and**

*add-learned-cls* ::  $'v \text{ clause} \Rightarrow 'st \Rightarrow 'st$  **and**

*remove-cls* ::  $'v \text{ clause} \Rightarrow 'st \Rightarrow 'st$  **and**

*update-conflicting* ::  $'v \text{ clause option} \Rightarrow 'st \Rightarrow 'st$  **and**

*init-state* ::  $'v \text{ clauses} \Rightarrow 'st$  **and**

*ρ* ::  $\langle 'v \text{ clause} \Rightarrow 'a :: \{\text{linorder}\} \rangle$  +

**fixes**

$update\_additional\_info :: \langle 'v \text{ clause option} \times 'b \Rightarrow 'st \Rightarrow 'st \rangle$   
**assumes**  
 $update\_additional\_info:$   
 $\langle state\ S = (M, N, U, C, K) \implies state\ (update\_additional\_info\ K'\ S) = (M, N, U, C, K') \rangle$  **and**  
 $weight\_init\_state:$   
 $\langle \bigwedge N :: 'v \text{ clauses. } fst\ (additional\_info\ (init\_state\ N)) = None \rangle$   
**begin**

**definition**  $update\_weight\_information :: \langle ('v, 'v \text{ clause})\ ann\_lits \Rightarrow 'st \Rightarrow 'st \rangle$  **where**  
 $\langle update\_weight\_information\ M\ S =$   
 $update\_additional\_info\ (Some\ (lit\_of\ '#\ mset\ M),\ snd\ (additional\_info\ S))\ S \rangle$

**lemma**

$trail\_update\_additional\_info[simp]: \langle trail\ (update\_additional\_info\ w\ S) = trail\ S \rangle$  **and**  
 $init\_clss\_update\_additional\_info[simp]:$   
 $\langle init\_clss\ (update\_additional\_info\ w\ S) = init\_clss\ S \rangle$  **and**  
 $learned\_clss\_update\_additional\_info[simp]:$   
 $\langle learned\_clss\ (update\_additional\_info\ w\ S) = learned\_clss\ S \rangle$  **and**  
 $backtrack\_lvl\_update\_additional\_info[simp]:$   
 $\langle backtrack\_lvl\ (update\_additional\_info\ w\ S) = backtrack\_lvl\ S \rangle$  **and**  
 $conflicting\_update\_additional\_info[simp]:$   
 $\langle conflicting\ (update\_additional\_info\ w\ S) = conflicting\ S \rangle$  **and**  
 $clauses\_update\_additional\_info[simp]:$   
 $\langle clauses\ (update\_additional\_info\ w\ S) = clauses\ S \rangle$   
**using**  $update\_additional\_info[of\ S]$  **unfolding**  $clauses\_def$   
**by**  $(subst\ (asm)\ state\_prop; subst\ (asm)\ state\_prop; auto; fail)+$

**lemma**

$trail\_update\_weight\_information[simp]:$   
 $\langle trail\ (update\_weight\_information\ w\ S) = trail\ S \rangle$  **and**  
 $init\_clss\_update\_weight\_information[simp]:$   
 $\langle init\_clss\ (update\_weight\_information\ w\ S) = init\_clss\ S \rangle$  **and**  
 $learned\_clss\_update\_weight\_information[simp]:$   
 $\langle learned\_clss\ (update\_weight\_information\ w\ S) = learned\_clss\ S \rangle$  **and**  
 $backtrack\_lvl\_update\_weight\_information[simp]:$   
 $\langle backtrack\_lvl\ (update\_weight\_information\ w\ S) = backtrack\_lvl\ S \rangle$  **and**  
 $conflicting\_update\_weight\_information[simp]:$   
 $\langle conflicting\ (update\_weight\_information\ w\ S) = conflicting\ S \rangle$  **and**  
 $clauses\_update\_weight\_information[simp]:$   
 $\langle clauses\ (update\_weight\_information\ w\ S) = clauses\ S \rangle$   
**using**  $update\_additional\_info[of\ S]$  **unfolding**  $update\_weight\_information\_def$  **by**  $auto$

**definition**  $weight$  **where**

$\langle weight\ S = fst\ (additional\_info\ S) \rangle$

**lemma**

$additional\_info\_update\_additional\_info[simp]:$   
 $additional\_info\ (update\_additional\_info\ w\ S) = w$   
**unfolding**  $additional\_info\_def$  **using**  $update\_additional\_info[of\ S]$   
**by**  $(cases\ \langle state\ S \rangle; auto; fail)+$

**lemma**

$weight\_cons\_trail2[simp]: \langle weight\ (cons\_trail\ L\ S) = weight\ S \rangle$  **and**  
 $clss\_tl\_trail2[simp]: \langle weight\ (tl\_trail\ S) = weight\ S \rangle$  **and**  
 $weight\_add\_learned\_cls\_unfolded:$   
 $weight\ (add\_learned\_cls\ U\ S) = weight\ S$



**and**  
*weight-update-conflicting2*[simp]:  $\text{weight } (\text{update-conflicting } D \ S) = \text{weight } S$  **and**  
*weight-remove-cls2*[simp]:  
 $\text{weight } (\text{remove-cls } C \ S) = \text{weight } S$  **and**  
*weight-add-learned-cls2*[simp]:  
 $\text{weight } (\text{add-learned-cls } C \ S) = \text{weight } S$  **and**  
*weight-update-weight-information2*[simp]:  
 $\text{weight } (\text{update-weight-information } M \ S) = \text{Some } (\text{lit-of } \# \ \text{mset } M)$   
**by** (auto simp: update-weight-information-def weight-def)

**abbreviation**  $\varrho' :: \langle 'v \text{ clause option} \Rightarrow 'a \text{ optimal-model} \rangle$  **where**  
 $\langle \varrho' \ w \equiv (\text{case } w \text{ of } \text{None} \Rightarrow \text{Not-Found} \mid \text{Some } w \Rightarrow \text{Found } (\varrho \ w)) \rangle$

**definition** *is-improving-int*  
 $:: ('v, 'v \text{ clause}) \text{ ann-lits} \Rightarrow ('v, 'v \text{ clause}) \text{ ann-lits} \Rightarrow 'v \text{ clauses} \Rightarrow$   
 $'v \text{ clause option} \Rightarrow \text{bool}$

**where**

$\langle \text{is-improving-int } M \ M' \ N \ w \longleftrightarrow \text{Found } (\varrho \ (\text{lit-of } \# \ \text{mset } M')) < \varrho' \ w \wedge$   
 $M' \models_{\text{asm}} N \wedge \text{no-dup } M' \wedge$   
 $\text{lit-of } \# \ \text{mset } M' \in \text{simple-clss } (\text{atms-of-mm } N) \wedge$   
 $\text{total-over-m } (\text{lits-of-l } M') \ (\text{set-mset } N) \wedge$   
 $(\forall M'. \text{total-over-m } (\text{lits-of-l } M') \ (\text{set-mset } N) \longrightarrow \text{mset } M \subseteq \# \ \text{mset } M' \longrightarrow$   
 $\text{lit-of } \# \ \text{mset } M' \in \text{simple-clss } (\text{atms-of-mm } N) \longrightarrow$   
 $\varrho \ (\text{lit-of } \# \ \text{mset } M') = \varrho \ (\text{lit-of } \# \ \text{mset } M)) \rangle$

**definition** *too-heavy-clauses*

$:: ('v \text{ clauses} \Rightarrow 'v \text{ clause option} \Rightarrow 'v \text{ clauses})$

**where**

$\langle \text{too-heavy-clauses } M \ w =$   
 $\{ \#p\text{Neg } C \mid C \in \# \ \text{mset-set } (\text{simple-clss } (\text{atms-of-mm } M)). \varrho' \ w \leq \text{Found } (\varrho \ C) \# \} \rangle$

**definition** *conflicting-clauses*

$:: ('v \text{ clauses} \Rightarrow 'v \text{ clause option} \Rightarrow 'v \text{ clauses})$

**where**

$\langle \text{conflicting-clauses } N \ w =$   
 $\{ \#C \in \# \ \text{mset-set } (\text{simple-clss } (\text{atms-of-mm } N)). \text{too-heavy-clauses } N \ w \models_{\text{pm}} C \# \} \rangle$

**lemma** *too-heavy-clauses-conflicting-clauses:*

$\langle C \in \# \ \text{too-heavy-clauses } M \ w \implies C \in \# \ \text{conflicting-clauses } M \ w \rangle$

**by** (auto simp: conflicting-clauses-def too-heavy-clauses-def simple-clss-finite)

**lemma** *too-heavy-clauses-contains-itself:*

$\langle M \in \text{simple-clss } (\text{atms-of-mm } N) \implies p\text{Neg } M \in \# \ \text{too-heavy-clauses } N \ (\text{Some } M) \rangle$

**by** (auto simp: too-heavy-clauses-def simple-clss-finite)

**lemma** *too-heavy-clause-None*[simp]:  $\langle \text{too-heavy-clauses } M \ \text{None} = \{ \# \} \rangle$

**by** (auto simp: too-heavy-clauses-def)

**lemma** *atms-of-mm-too-heavy-clauses-le:*

$\langle \text{atms-of-mm } (\text{too-heavy-clauses } M \ I) \subseteq \text{atms-of-mm } M \rangle$

**by** (auto simp: too-heavy-clauses-def atms-of-mm-def simple-clss-finite dest: simple-clssE)

**lemma**

*atms-too-heavy-clauses-None:*

$\langle \text{atms-of-mm } (\text{too-heavy-clauses } M \ \text{None}) = \{ \} \rangle$  **and**

$\langle \text{atms-too-heavy-clauses-Some} \rangle$   
 $\langle \text{atms-of } w \subseteq \text{atms-of-mm } M \implies \text{distinct-mset } w \implies \neg \text{tautology } w \implies \text{atms-of-mm } (\text{too-heavy-clauses } M (\text{Some } w)) = \text{atms-of-mm } M \rangle$   
**proof** –  
**show**  $\langle \text{atms-of-mm } (\text{too-heavy-clauses } M \text{ None}) = \{\} \rangle$   
**by** (auto simp: too-heavy-clauses-def)  
**assume**  $\text{atms}: \langle \text{atms-of } w \subseteq \text{atms-of-mm } M \rangle$  **and**  
 $\text{dist}: \langle \text{distinct-mset } w \rangle$  **and**  
 $\text{taut}: \langle \neg \text{tautology } w \rangle$   
**have**  $\langle \text{atms-of-mm } (\text{too-heavy-clauses } M (\text{Some } w)) \subseteq \text{atms-of-mm } M \rangle$   
**by** (auto simp: too-heavy-clauses-def atms-of-ms-def simple-clss-finite)  
(auto simp: simple-clss-def)  
**let**  $?w = \langle w + \text{Neg } \{ \#x \in \# \text{ mset-set } (\text{atms-of-mm } M). x \notin \text{atms-of } w \# \} \rangle$   
**have** [simp]:  $\langle \text{inj-on Neg } A \rangle$  **for**  $A$   
**by** (auto simp: inj-on-def)  
**have** [simp]:  $\langle \text{distinct-mset } (\text{uminus } \{ \# w \}) \rangle$   
**by** (subst distinct-image-mset-inj)  
(auto simp: dist inj-on-def)  
**have**  $\text{dist}: \langle \text{distinct-mset } ?w \rangle$   
**using**  $\text{dist}$   
**by** (auto simp: distinct-mset-add distinct-image-mset-inj distinct-mset-mset-set uminus-lit-swap  
disjunct-not-in dest: multi-member-split)  
**moreover have**  $\text{not-tauto}: \langle \neg \text{tautology } ?w \rangle$   
**by** (auto simp: tautology-union taut uminus-lit-swap dest: multi-member-split)  
**ultimately have**  $\langle ?w \in (\text{simple-clss } (\text{atms-of-mm } M)) \rangle$   
**using**  $\text{atms}$  **by** (auto simp: simple-clss-def)  
**moreover have**  $\langle \varrho ?w \geq \varrho w \rangle$   
**by** (rule  $\varrho$ -mono) (use  $\text{dist not-tauto}$  **in** (auto simp: consistent-interp-tautology-mset-set tautology-decomp))  
**ultimately have**  $\langle \text{pNeg } ?w \in \# \text{ too-heavy-clauses } M (\text{Some } w) \rangle$   
**by** (auto simp: too-heavy-clauses-def simple-clss-finite)  
**then have**  $\langle \text{atms-of-mm } M \subseteq \text{atms-of-mm } (\text{too-heavy-clauses } M (\text{Some } w)) \rangle$   
**by** (auto dest!: multi-member-split)  
**then show**  $\langle \text{atms-of-mm } (\text{too-heavy-clauses } M (\text{Some } w)) = \text{atms-of-mm } M \rangle$   
**using**  $\langle \text{atms-of-mm } (\text{too-heavy-clauses } M (\text{Some } w)) \subseteq \text{atms-of-mm } M \rangle$  **by** blast  
**qed**

**lemma** *entails-too-heavy-clauses-too-heavy-clauses:*

**assumes**  
 $\langle \text{consistent-interp } I \rangle$  **and**  
 $\text{tot}: \langle \text{total-over-m } I (\text{set-mset } (\text{too-heavy-clauses } M w)) \rangle$  **and**  
 $\langle I \models_m \text{too-heavy-clauses } M w \rangle$  **and**  
 $w: \langle w \neq \text{None} \implies \text{atms-of } (\text{the } w) \subseteq \text{atms-of-mm } M \rangle$   
 $\langle w \neq \text{None} \implies \neg \text{tautology } (\text{the } w) \rangle$   
 $\langle w \neq \text{None} \implies \text{distinct-mset } (\text{the } w) \rangle$   
**shows**  $\langle I \models_m \text{conflicting-clauses } M w \rangle$   
**proof** (cases  $w$ )  
**case**  $\text{None}$   
**have** [simp]:  $\langle \{x \in \text{simple-clss } (\text{atms-of-mm } M). \text{tautology } x\} = \{\} \rangle$   
**by** (auto dest: simple-clssE)  
**show**  $?thesis$   
**using**  $\text{None}$  **by** (auto simp: conflicting-clauses-def true-clss-clss-tautology-iff  
simple-clss-finite)  
**next**  
**case**  $w': (\text{Some } w')$   
**have**  $\langle x \in \# \text{ mset-set } (\text{simple-clss } (\text{atms-of-mm } M)) \implies \text{total-over-set } I (\text{atms-of } x) \rangle$  **for**  $x$   
**using**  $\text{tot } w$   $\text{atms-too-heavy-clauses-Some}$  [of  $w' M$ ] **unfolding**  $w'$

```

    by (auto simp: total-over-m-def simple-clss-finite total-over-set-alt-def
        dest!: simple-clssE)
  then show ?thesis
    using assms
    by (subst true-clss-mset-def)
      (auto simp: conflicting-clauses-def true-clss-clss-def
        dest!: spec[of - I])
qed

```

**sublocale** *conflict-driven-clause-learning<sub>W</sub>*

```

where
  state-eq = state-eq and
  state = state and
  trail = trail and
  init-clss = init-clss and
  learned-clss = learned-clss and
  conflicting = conflicting and
  cons-trail = cons-trail and
  tl-trail = tl-trail and
  add-learned-clss = add-learned-clss and
  remove-clss = remove-clss and
  update-conflicting = update-conflicting and
  init-state = init-state
by unfold-locales

```

**sublocale** *conflict-driven-clause-learning-with-adding-init-clause-cost<sub>W</sub>-no-state*

```

where
  state = state and
  trail = trail and
  init-clss = init-clss and
  learned-clss = learned-clss and
  conflicting = conflicting and
  cons-trail = cons-trail and
  tl-trail = tl-trail and
  add-learned-clss = add-learned-clss and
  remove-clss = remove-clss and
  update-conflicting = update-conflicting and
  init-state = init-state and
  weight = weight and
  update-weight-information = update-weight-information and
  is-improving-int = is-improving-int and
  conflicting-clauses = conflicting-clauses
by unfold-locales

```

**lemma** *state-additional-info'*:

```

⟨state S = (trail S, init-clss S, learned-clss S, conflicting S, weight S, additional-info' S)⟩
unfolding additional-info'-def by (cases ⟨state S⟩; auto simp: state-prop weight-def)

```

**lemma** *state-update-weight-information*:

```

⟨state S = (M, N, U, C, w, other)⟩ ⇒
  ∃ w'. state (update-weight-information T S) = (M, N, U, C, w', other)
unfolding update-weight-information-def by (cases ⟨state S⟩; auto simp: state-prop weight-def)

```

**lemma** *conflicting-clss-incl-init-clss*:

```

⟨atms-of-mm (conflicting-clss S) ⊆ atms-of-mm (init-clss S)⟩
unfolding conflicting-clss-def conflicting-clauses-def

```

**apply** (auto simp: simple-clss-finite)  
**by** (auto simp: simple-clss-def atms-of-ms-def split: if-splits)

**lemma** *distinct-mset-mset-conflicting-clss2*:  $\langle \text{distinct-mset-mset } (\text{conflicting-clss } S) \rangle$   
**unfolding** *conflicting-clss-def conflicting-clauses-def distinct-mset-set-def*  
**apply** (auto simp: simple-clss-finite)  
**by** (auto simp: simple-clss-def)

**lemma** *too-heavy-clauses-mono*:  
 $\langle \varrho \ a \ > \varrho \ (\text{lit-of } \# \text{ mset } M) \implies \text{too-heavy-clauses } N \ (\text{Some } a) \subseteq \#$   
 $\text{too-heavy-clauses } N \ (\text{Some } (\text{lit-of } \# \text{ mset } M)) \rangle$   
**by** (auto simp: too-heavy-clauses-def multiset-filter-mono2  
intro!: multiset-filter-mono image-mset-subseteq-mono)

**lemma** *is-improving-conflicting-clss-update-weight-information*:  $\langle \text{is-improving } M \ M' \ S \implies$   
 $\text{conflicting-clss } S \subseteq \# \text{ conflicting-clss } (\text{update-weight-information } M' \ S) \rangle$   
**using** *too-heavy-clauses-mono*[of  $M'$   $\langle \text{the } (\text{weight } S) \rangle \langle (\text{init-clss } S) \rangle$ ]  
**by** (cases  $\langle \text{weight } S \rangle$ )  
(auto simp: is-improving-int-def conflicting-clss-def conflicting-clauses-def  
simp: multiset-filter-mono2  
intro!: image-mset-subseteq-mono  
intro: true-clss-cls-subset  
dest: simple-clssE)

**lemma** *conflicting-clss-update-weight-information-in2*:  
**assumes**  $\langle \text{is-improving } M \ M' \ S \rangle$   
**shows**  $\langle \text{negate-ann-lits } M' \in \# \text{ conflicting-clss } (\text{update-weight-information } M' \ S) \rangle$   
**using** *assms* **apply** (auto simp: simple-clss-finite  
conflicting-clauses-def conflicting-clss-def is-improving-int-def)  
**by** (auto simp: is-improving-int-def conflicting-clss-def conflicting-clauses-def  
simp: multiset-filter-mono2 simple-clss-def lits-of-def  
negate-ann-lits-pNeg-lit-of image-iff dest: total-over-m-atms-incl  
intro!: true-clss-cls-in too-heavy-clauses-contains-itself)

**lemma** *atms-of-init-clss-conflicting-clss*[simp]:  
 $\langle \text{atms-of-mm } (\text{init-clss } S) \cup \text{atms-of-mm } (\text{conflicting-clss } S) = \text{atms-of-mm } (\text{init-clss } S) \rangle$   
**using** *conflicting-clss-incl-init-clss*[of  $S$ ] **by** blast

**lemma** *lit-of-trail-in-simple-clss*:  $\langle \text{cdcl}_W\text{-restart-mset.cdcl}_W\text{-all-struct-inv } (\text{abs-state } S) \implies$   
 $\text{lit-of } \# \text{ mset } (\text{trail } S) \in \text{simple-clss } (\text{atms-of-mm } (\text{init-clss } S)) \rangle$   
**unfolding** *cdcl<sub>W</sub>-restart-mset.cdcl<sub>W</sub>-all-struct-inv-def abs-state-def*  
*cdcl<sub>W</sub>-restart-mset.cdcl<sub>W</sub>-M-level-inv-def cdcl<sub>W</sub>-restart-mset.no-strange-atm-def*  
**by** (auto simp: simple-clss-def cdcl<sub>W</sub>-restart-mset-state atms-of-def pNeg-def lits-of-def  
dest: no-dup-not-tautology no-dup-distinct)

**lemma** *pNeg-lit-of-trail-in-simple-clss*:  $\langle \text{cdcl}_W\text{-restart-mset.cdcl}_W\text{-all-struct-inv } (\text{abs-state } S) \implies$   
 $\text{pNeg } (\text{lit-of } \# \text{ mset } (\text{trail } S)) \in \text{simple-clss } (\text{atms-of-mm } (\text{init-clss } S)) \rangle$   
**unfolding** *cdcl<sub>W</sub>-restart-mset.cdcl<sub>W</sub>-all-struct-inv-def abs-state-def*  
*cdcl<sub>W</sub>-restart-mset.cdcl<sub>W</sub>-M-level-inv-def cdcl<sub>W</sub>-restart-mset.no-strange-atm-def*  
**by** (auto simp: simple-clss-def cdcl<sub>W</sub>-restart-mset-state atms-of-def pNeg-def lits-of-def  
dest: no-dup-not-tautology-uminus no-dup-distinct-uminus)

**lemma** *conflict-clss-update-weight-no-alien*:  
 $\langle \text{atms-of-mm } (\text{conflicting-clss } (\text{update-weight-information } M \ S))$   
 $\subseteq \text{atms-of-mm } (\text{init-clss } S) \rangle$   
**by** (auto simp: conflicting-clss-def conflicting-clauses-def atms-of-ms-def)

*cdcl<sub>W</sub>-restart-mset-state simple-clss-finite*  
*dest: simple-clssE)*

**sublocale** *state<sub>W</sub>-no-state*

**where**

*state* = *state* **and**  
*trail* = *trail* **and**  
*init-clss* = *init-clss* **and**  
*learned-clss* = *learned-clss* **and**  
*conflicting* = *conflicting* **and**  
*cons-trail* = *cons-trail* **and**  
*tl-trail* = *tl-trail* **and**  
*add-learned-cls* = *add-learned-cls* **and**  
*remove-cls* = *remove-cls* **and**  
*update-conflicting* = *update-conflicting* **and**  
*init-state* = *init-state*

**by** *unfold-locales*

**sublocale** *state<sub>W</sub>-no-state*

**where**

*state-eq* = *state-eq* **and**  
*state* = *state* **and**  
*trail* = *trail* **and**  
*init-clss* = *init-clss* **and**  
*learned-clss* = *learned-clss* **and**  
*conflicting* = *conflicting* **and**  
*cons-trail* = *cons-trail* **and**  
*tl-trail* = *tl-trail* **and**  
*add-learned-cls* = *add-learned-cls* **and**  
*remove-cls* = *remove-cls* **and**  
*update-conflicting* = *update-conflicting* **and**  
*init-state* = *init-state*

**by** *unfold-locales*

**sublocale** *conflict-driven-clause-learning<sub>W</sub>*

**where**

*state-eq* = *state-eq* **and**  
*state* = *state* **and**  
*trail* = *trail* **and**  
*init-clss* = *init-clss* **and**  
*learned-clss* = *learned-clss* **and**  
*conflicting* = *conflicting* **and**  
*cons-trail* = *cons-trail* **and**  
*tl-trail* = *tl-trail* **and**  
*add-learned-cls* = *add-learned-cls* **and**  
*remove-cls* = *remove-cls* **and**  
*update-conflicting* = *update-conflicting* **and**  
*init-state* = *init-state*

**by** *unfold-locales*

**sublocale** *conflict-driven-clause-learning-with-adding-init-clause-cost<sub>W</sub>-ops*

**where**

*state* = *state* **and**  
*trail* = *trail* **and**  
*init-clss* = *init-clss* **and**  
*learned-clss* = *learned-clss* **and**

*conflicting* = *conflicting* **and**  
*cons-trail* = *cons-trail* **and**  
*tl-trail* = *tl-trail* **and**  
*add-learned-cls* = *add-learned-cls* **and**  
*remove-cls* = *remove-cls* **and**  
*update-conflicting* = *update-conflicting* **and**  
*init-state* = *init-state* **and**  
*weight* = *weight* **and**  
*update-weight-information* = *update-weight-information* **and**  
*is-improving-int* = *is-improving-int* **and**  
*conflicting-clauses* = *conflicting-clauses*  
**apply** *unfold-locales*  
**subgoal by** (*rule state-additional-info'*)  
**subgoal by** (*rule state-update-weight-information*)  
**subgoal by** (*rule conflicting-clss-incl-init-clss*)  
**subgoal by** (*rule distinct-mset-mset-conflicting-clss2*)  
**subgoal by** (*rule is-improving-conflicting-clss-update-weight-information*)  
**subgoal by** (*rule conflicting-clss-update-weight-information-in2*; *assumption*)  
**done**

**lemma** *wf-cdcl-bnb-fixed*:

$\langle wf \{ (T, S). \text{cdcl}_W\text{-restart-mset.cdcl}_W\text{-all-struct-inv } (abs\text{-state } S) \wedge \text{cdcl-bnb } S \ T$   
 $\wedge \text{init-clss } S = N \} \rangle$

**apply** (*rule wf-cdcl-bnb*[*of* *N id*  $\langle \{(I', I). I' \neq \text{None} \wedge$   
 $(the \ I') \in \text{simple-clss } (atms\text{-of-mm } N) \wedge (\varrho' \ I', \varrho' \ I) \in \{(j, i). j < i\}\} \rangle$

**subgoal for** *S T*

**by** (*cases*  $\langle \text{weight } S \rangle$ ; *cases*  $\langle \text{weight } T \rangle$ )  
 $(auto \ \text{simp: improvep.simps is-improving-int-def split: enat.splits})$

**subgoal**

**apply** (*rule wf-finite-segments*)

**subgoal by** (*auto simp: irreft-def*)

**subgoal**

**apply** (*auto simp: irreft-def trans-def intro: less-trans*[*of*  $\langle \text{Found } \rightarrow \rangle \langle \text{Found } \rightarrow \rangle$ ])

**apply** (*rule less-trans*[*of*  $\langle \text{Found } \rightarrow \rangle \langle \text{Found } \rightarrow \rangle$ ])

**apply** *auto*

**done**

**subgoal for** *x*

**by** (*subgoal-tac*  $\langle \{y. (y, x)$

$\in \{(I', I).$

$I' \neq \text{None} \wedge$

$the \ I' \in \text{simple-clss } (atms\text{-of-mm } N) \wedge$

$(\varrho' \ I', \varrho' \ I) \in \{(j, i). j < i\}\} =$

$\text{Some } \langle \{y. (y, x)$

$\in \{(I', I).$

$I' \in \text{simple-clss } (atms\text{-of-mm } N) \wedge$

$(\varrho' \ (\text{Some } I'), \varrho' \ I) \in \{(j, i). j < i\}\}\rangle$ )

$(auto \ \text{simp: finite-image-iff}$

$\text{intro: finite-subset}[OF - \text{simple-clss-finite}[of \ \langle atms\text{-of-mm } N \rangle]])$

**done**

**done**

**lemma** *wf-cdcl-bnb2*:

$\langle wf \{ (T, S). \text{cdcl}_W\text{-restart-mset.cdcl}_W\text{-all-struct-inv } (abs\text{-state } S)$   
 $\wedge \text{cdcl-bnb } S \ T \} \rangle$

**by** (*subst wf-cdcl-bnb-fixed-iff*[*symmetric*]) (*intro allI, rule wf-cdcl-bnb-fixed*)

**lemma** *not-entailed-too-heavy-clauses-ge*:

$\langle C \in \text{simple-clss} (\text{atms-of-mm } N) \implies \neg \text{too-heavy-clauses } N w \models_{pm} pNeg C \implies \neg \text{Found } (\varrho C) \geq \varrho' w \rangle$

**using** *true-clss-cls-in*[of  $\langle pNeg C \rangle$  *set-mset* (*too-heavy-clauses* *N w*)]  
*too-heavy-clauses-contains-itself*  
**by** (*auto simp*: *too-heavy-clauses-def simple-clss-finite image-iff*)

**lemma** *pNeg-simple-clss-iff*[*simp*]:

$\langle pNeg C \in \text{simple-clss } N \longleftrightarrow C \in \text{simple-clss } N \rangle$   
**by** (*auto simp*: *simple-clss-def*)

**lemma** *can-always-improve*:

**assumes**

*ent*:  $\langle \text{trail } S \models_{asm} \text{clauses } S \rangle$  **and**  
*total*:  $\langle \text{total-over-m } (\text{lits-of-l } (\text{trail } S)) (\text{set-mset } (\text{clauses } S)) \rangle$  **and**  
*n-s*:  $\langle \text{no-step conflict-opt } S \rangle$  **and**  
*confl*:  $\langle \text{conflicting } S = \text{None} \rangle$  **and**  
*all-struct*:  $\langle \text{cdcl}_W\text{-restart-mset.cdcl}_W\text{-all-struct-inv } (\text{abs-state } S) \rangle$   
**shows**  $\langle \text{Ex } (\text{improvep } S) \rangle$

**proof** –

**have** *H*:  $\langle (\text{lit-of } \# \text{ mset } (\text{trail } S)) \in \# \text{ mset-set } (\text{simple-clss } (\text{atms-of-mm } (\text{init-clss } S))) \rangle$   
 $\langle (\text{lit-of } \# \text{ mset } (\text{trail } S)) \in \text{simple-clss } (\text{atms-of-mm } (\text{init-clss } S)) \rangle$   
 $\langle \text{no-dup } (\text{trail } S) \rangle$   
**apply** (*subst finite-set-mset-mset-set*[*OF simple-clss-finite*])  
**using** *all-struct* **by** (*auto simp*: *simple-clss-def cdcl<sub>W</sub>-restart-mset.cdcl<sub>W</sub>-all-struct-inv-def no-strange-atm-def atms-of-def lits-of-def image-image cdcl<sub>W</sub>-M-level-inv-def clauses-def dest*: *no-dup-not-tautology no-dup-distinct*)  
**then have** *le*:  $\langle \text{Found } (\varrho (\text{lit-of } \# \text{ mset } (\text{trail } S))) < \varrho' (\text{weight } S) \rangle$   
**using** *n-s confl total*  
**by** (*auto simp*: *conflict-opt.simps conflicting-clss-def lits-of-def conflicting-clauses-def clauses-def negate-ann-lits-pNeg-lit-of image-iff simple-clss-finite subset-iff dest*!: *spec*[of -  $\langle (\text{lit-of } \# \text{ mset } (\text{trail } S)) \rangle$ ] *dest*: *total-over-m-atms-incl true-clss-cls-in too-heavy-clauses-contains-itself dest*: *not-entailed-too-heavy-clauses-ge*)

**have** *tr*:  $\langle \text{trail } S \models_{asm} \text{init-clss } S \rangle$

**using** *ent* **by** (*auto simp*: *clauses-def*)

**have** *tot*:  $\langle \text{total-over-m } (\text{lits-of-l } (\text{trail } S)) (\text{set-mset } (\text{init-clss } S)) \rangle$

**using** *total all-struct* **by** (*auto simp*: *total-over-m-def total-over-set-def cdcl<sub>W</sub>-all-struct-inv-def clauses-def no-strange-atm-def*)

**have** *M'*:  $\langle \varrho (\text{lit-of } \# \text{ mset } M') = \varrho (\text{lit-of } \# \text{ mset } (\text{trail } S)) \rangle$

**if**  $\langle \text{total-over-m } (\text{lits-of-l } M') (\text{set-mset } (\text{init-clss } S)) \rangle$  **and**

*incl*:  $\langle \text{mset } (\text{trail } S) \subseteq \# \text{ mset } M' \rangle$  **and**

$\langle \text{lit-of } \# \text{ mset } M' \in \text{simple-clss } (\text{atms-of-mm } (\text{init-clss } S)) \rangle$

**for** *M'*

**proof** –

**have** [*simp*]:  $\langle \text{lits-of-l } M' = \text{set-mset } (\text{lit-of } \# \text{ mset } M') \rangle$

**by** (*auto simp*: *lits-of-def*)

**obtain** *A* **where** *A*:  $\langle \text{mset } M' = A + \text{mset } (\text{trail } S) \rangle$

**using** *incl* **by** (*auto simp*: *mset-subset-eq-exists-conv*)

**have** *M'*:  $\langle \text{lits-of-l } M' = \text{lit-of } \# \text{ set-mset } A \cup \text{lits-of-l } (\text{trail } S) \rangle$

**unfolding** *lits-of-def*

**by** (*metis A image-Un set-mset-mset set-mset-union*)

**have**  $\langle \text{mset } M' = \text{mset } (\text{trail } S) \rangle$   
**using** *that tot' total unfolding A total-over-m-alt-def*  
**apply** *(case-tac A)*  
**apply** *(auto simp: A simple-clss-def distinct-mset-add M' image-Un*  
*tautology-union mset-inter-empty-set-mset atms-of-def atms-of-s-def*  
*atm-of-in-atm-of-set-iff-in-set-or-uminus-in-set image-image*  
*tautology-add-mset)*  
**by** *(metis (no-types, lifting) atm-of-in-atm-of-set-iff-in-set-or-uminus-in-set*  
*lits-of-def subsetCE)*  
**then show** *?thesis*  
**using** *total by auto*  
**qed**  
**have**  $\langle \text{is-improving } (\text{trail } S) (\text{trail } S) S \rangle$   
**if**  $\langle \text{Found } (\varrho (\text{lit-of } \# \text{ mset } (\text{trail } S))) < \varrho' (\text{weight } S) \rangle$   
**using** *that total H confl tr tot' M' unfolding is-improving-int-def lits-of-def*  
**by** *fast*  
**then show**  $\langle \text{Ex } (\text{improvep } S) \rangle$   
**using** *improvep.intros[of S (trail S) (update-weight-information (trail S) S)] total H confl le*  
**by** *fast*  
**qed**

**lemma** *no-step-cdcl-bnb-stgy-empty-conflict2:*  
**assumes**  
*n-s: (no-step cdcl-bnb S) and*  
*all-struct: (cdcl<sub>W</sub>-restart-mset.cdcl<sub>W</sub>-all-struct-inv (abs-state S)) and*  
*stgy-inv: (cdcl-bnb-stgy-inv S)*  
**shows**  $\langle \text{conflicting } S = \text{Some } \{ \# \} \rangle$   
**by** *(rule no-step-cdcl-bnb-stgy-empty-conflict[OF can-always-improve assms])*

**lemma** *cdcl-bnb-larger-still-larger:*  
**assumes**  
*(cdcl-bnb S T)*  
**shows**  $\langle \varrho' (\text{weight } S) \geq \varrho' (\text{weight } T) \rangle$   
**using** *assms apply (cases rule: cdcl-bnb.cases)*  
**by** *(auto simp: conflict.simps decide.simps propagate.simps improvep.simps is-improving-int-def*  
*conflict-opt.simps ocdcl<sub>W</sub>-o.simps cdcl-bnb-bj.simps skip.simps resolve.simps*  
*obacktrack.simps)*

**lemma** *obacktrack-model-still-model:*  
**assumes**  
*(obacktrack S T) and*  
*all-struct: (cdcl<sub>W</sub>-restart-mset.cdcl<sub>W</sub>-all-struct-inv (abs-state S)) and*  
*ent: (set-mset I  $\models_{sm}$  clauses S) (set-mset I  $\models_{sm}$  conflicting-clss S) and*  
*dist: (distinct-mset I) and*  
*cons: (consistent-interp (set-mset I)) and*  
*tot: (atms-of I = atms-of-mm (init-clss S)) and*  
*opt-struct: (cdcl-bnb-struct-invs S) and*  
*le: (Found ( $\varrho$  I) <  $\varrho'$  (weight T))*  
**shows**  
 $\langle \text{set-mset } I \models_{sm} \text{clauses } T \wedge \text{set-mset } I \models_{sm} \text{conflicting-clss } T \rangle$   
**using** *assms(1)*  
**proof** *(cases rule: obacktrack.cases)*  
**case** *(obacktrack-rule L D K M1 M2 D' i) note* *confl = this(1) and DD' = this(7) and*  
*clss-L-D' = this(8) and T = this(9)*  
**have** *H: (total-over-m I (set-mset (clauses S + conflicting-clss S)  $\cup$  {add-mset L D'})  $\implies$*



```

    consistent-interp  $I \implies$ 
     $I \models_{sm} \text{clauses } S + \text{conflicting-clss } S \implies I \models \text{add-mset } L \ D'$  for  $I$ 
using  $\text{clss-}L\text{-}D'$ 
unfolding  $\text{true-clss-clss-def}$ 
by  $\text{blast}$ 
have  $\text{alien: } \langle \text{cdcl}_W\text{-restart-mset.no-strange-atm } (\text{abs-state } S) \rangle$ 
using  $\text{all-struct unfolding cdcl}_W\text{-restart-mset.cdcl}_W\text{-all-struct-inv-def}$ 
by  $\text{fast+}$ 
have  $\langle \text{total-over-m } (\text{set-mset } I) (\text{set-mset } (\text{init-clss } S)) \rangle$ 
using  $\text{tot[symmetric]}$ 
by  $(\text{auto simp: total-over-m-def total-over-set-def atm-iff-pos-or-neg-lit})$ 

then have  $1: \langle \text{total-over-m } (\text{set-mset } I) (\text{set-mset } (\text{clauses } S + \text{conflicting-clss } S) \cup$ 
     $\{ \text{add-mset } L \ D' \}) \rangle$ 
using  $\text{alien } T \text{ confl tot } DD' \text{ opt-struct}$ 
unfolding  $\text{cdcl}_W\text{-restart-mset.no-strange-atm-def total-over-m-def total-over-set-def}$ 
apply  $(\text{auto simp: cdcl}_W\text{-restart-mset-state abs-state-def atms-of-def clauses-def}$ 
     $\text{cdcl-bnb-struct-invs-def dest: multi-member-split})$ 
by  $\text{blast}$ 
have  $2: \langle \text{set-mset } I \models_{sm} \text{conflicting-clss } S \rangle$ 
using  $\text{tot cons ent}(2)$  by  $\text{auto}$ 
have  $\langle \text{set-mset } I \models \text{add-mset } L \ D' \rangle$ 
using  $H[OF \ 1 \ \text{cons}] \ 2 \ \text{ent}$  by  $\text{auto}$ 
then show  $?thesis$ 
using  $\text{ent obacktrack-rule } 2$  by  $\text{auto}$ 
qed

```

**lemma** *entails-too-heavy-clauses-if-le:*

```

assumes
     $\text{dist: } \langle \text{distinct-mset } I \rangle$  and
     $\text{cons: } \langle \text{consistent-interp } (\text{set-mset } I) \rangle$  and
     $\text{tot: } \langle \text{atms-of } I = \text{atms-of-mm } N \rangle$  and
     $\text{le: } \langle \text{Found } (\varrho \ I) < \varrho' \ (\text{Some } M') \rangle$ 
shows
     $\langle \text{set-mset } I \models_m \text{too-heavy-clauses } N \ (\text{Some } M') \rangle$ 
proof –
show  $\langle \text{set-mset } I \models_m \text{too-heavy-clauses } N \ (\text{Some } M') \rangle$ 
unfolding  $\text{true-clss-mset-def}$ 
proof
fix  $C$ 
assume  $\langle C \in \# \text{too-heavy-clauses } N \ (\text{Some } M') \rangle$ 
then obtain  $x$  where
     $[\text{simp}]: \langle C = pNeg \ x \rangle$  and
     $x: \langle x \in \text{simple-clss } (\text{atms-of-mm } N) \rangle$  and
     $\text{we: } \langle \varrho \ M' \leq \varrho \ x \rangle$ 
unfolding  $\text{too-heavy-clauses-def}$ 
by  $(\text{auto simp: simple-clss-finite})$ 
then have  $\langle x \neq I \rangle$ 
using  $\text{cdcl-bnb-larger-still-larger}[of \ S \ T]$ 
using  $\text{le}$ 
by  $\text{auto}$ 
then have  $\langle \text{set-mset } x \neq \text{set-mset } I \rangle$ 
using  $\text{distinct-set-mset-eq-iff}[of \ x \ I] \ x \ \text{dist}$ 
by  $(\text{auto simp: simple-clss-def})$ 
then have  $\langle \exists a. ((a \in \# x \wedge a \notin \# I) \vee (a \in \# I \wedge a \notin \# x)) \rangle$ 

```

```

  by auto
moreover have not-incl:  $\neg \text{set-mset } x \subseteq \text{set-mset } I$ 
  using  $\varrho$ -mono[of I x] we le distinct-set-mset-eq-iff[of x I] simple-clssE[OF x]
    dist cons
  by auto
moreover have  $x \neq \{\#\}$ 
  using we le cons dist not-incl
  by (cases  $\langle \text{weight } S \rangle$ ) auto
ultimately obtain L where
  L-x:  $\langle L \in\# x \rangle$  and
   $\langle L \notin\# I \rangle$ 
  by auto
moreover have  $\langle \text{atms-of } x \subseteq \text{atms-of } I \rangle$ 
  using simple-clssE[OF x] tot
  atm-iff-pos-or-neg-lit[of a I] atm-iff-pos-or-neg-lit[of a x]
  by (auto dest!: multi-member-split)
ultimately have  $\langle \neg L \in\# I \rangle$ 
  using tot simple-clssE[OF x] atm-of-notin-atms-of-iff
  by auto
then show  $\langle \text{set-mset } I \models C \rangle$ 
  using L-x by (auto simp: simple-clss-finite pNeg-def dest!: multi-member-split)
qed
qed

```

**lemma** *entails-conflicting-clauses-if-le:*

```

fixes M''
defines  $\langle M' \equiv \text{lit-of } \# \text{ mset } M'' \rangle$ 
assumes
  dist:  $\langle \text{distinct-mset } I \rangle$  and
  cons:  $\langle \text{consistent-interp } (\text{set-mset } I) \rangle$  and
  tot:  $\langle \text{atms-of } I = \text{atms-of-mm } N \rangle$  and
  le:  $\langle \text{Found } (\varrho I) < \varrho' (\text{Some } M') \rangle$  and
   $\langle \text{is-improving-int } M M'' N w \rangle$ 
shows
   $\langle \text{set-mset } I \models_m \text{conflicting-clauses } N \text{ (weight (update-weight-information } M'' S)) \rangle$ 
proof -
  show ?thesis
  apply (rule entails-too-heavy-clauses-too-heavy-clauses)
  subgoal using cons by auto
  subgoal
    using assms unfolding is-improving-int-def
    by (auto simp: total-over-m-alt-def M'-def atms-of-def
      atms-too-heavy-clauses-Some eq-commute[of - atms-of-mm N]
      lit-in-set-iff-atm
      dest: multi-member-split
      dest!: simple-clssE)
  subgoal
    using entails-too-heavy-clauses-if-le[OF assms(2-5)]
    by (auto simp: M'-def)
  subgoal
    using assms unfolding is-improving-int-def
    by (auto simp: M'-def lits-of-def image-image
      dest!: simple-clssE)
  subgoal
    using assms unfolding is-improving-int-def

```

```

    by (auto simp: M'-def lits-of-def image-image
        dest!: simple-clssE)
  subgoal
    using assms unfolding is-improving-int-def
    by (auto simp: M'-def lits-of-def image-image
        dest!: simple-clssE)
  done
qed

lemma improve-model-still-model:
  assumes
    ⟨improvep S T⟩ and
    all-struct: ⟨cdclW-restart-mset.cdclW-all-struct-inv (abs-state S)⟩ and
    ent: ⟨set-mset I ⊨sm clauses S⟩ ⟨set-mset I ⊨sm conflicting-clss S⟩ and
    dist: ⟨distinct-mset I⟩ and
    cons: ⟨consistent-interp (set-mset I)⟩ and
    tot: ⟨atms-of I = atms-of-mm (init-clss S)⟩ and
    opt-struct: ⟨cdcl-bnb-struct-invs S⟩ and
    le: ⟨Found (ρ I) < ρ' (weight T)⟩
  shows
    ⟨set-mset I ⊨sm clauses T ∧ set-mset I ⊨sm conflicting-clss T⟩
  using assms(1)
proof (cases rule: improvep.cases)
  case (improve-rule M') note imp = this(1) and confl = this(2) and T = this(3)
  have alien: ⟨cdclW-restart-mset.no-strange-atm (abs-state S)⟩ and
    lev: ⟨cdclW-restart-mset.cdclW-M-level-inv (abs-state S)⟩
    using all-struct unfolding cdclW-restart-mset.cdclW-all-struct-inv-def
    by fast+
  then have atm-trail: ⟨atms-of (lit-of '# mset (trail S)) ⊆ atms-of-mm (init-clss S)⟩
    using alien by (auto simp: no-strange-atm-def lits-of-def atms-of-def)
  have dist2: ⟨distinct-mset (lit-of '# mset (trail S))⟩ and
    taut2: ⟨¬ tautology (lit-of '# mset (trail S))⟩
    using lev unfolding cdclW-restart-mset.cdclW-M-level-inv-def
    by (auto dest: no-dup-distinct no-dup-not-tautology)
  have tot2: ⟨total-over-m (set-mset I) (set-mset (init-clss S))⟩
    using tot[symmetric]
    by (auto simp: total-over-m-def total-over-set-def atm-iff-pos-or-neg-lit)
  have atm-trail: ⟨atms-of (lit-of '# mset M') ⊆ atms-of-mm (init-clss S)⟩ and
    dist2: ⟨distinct-mset (lit-of '# mset M')⟩ and
    taut2: ⟨¬ tautology (lit-of '# mset M')⟩
    using imp by (auto simp: no-strange-atm-def lits-of-def atms-of-def is-improving-int-def
        simple-clss-def)
  have tot2: ⟨total-over-m (set-mset I) (set-mset (init-clss S))⟩
    using tot[symmetric]
    by (auto simp: total-over-m-def total-over-set-def atm-iff-pos-or-neg-lit)
  have
    ⟨set-mset I ⊨m conflicting-clauses (init-clss S) (weight (update-weight-information M' S))⟩
    apply (rule entails-conflicting-clauses-if-le)
    using T dist cons tot le imp by (auto intro!: )
  then have ⟨set-mset I ⊨m conflicting-clss (update-weight-information M' S)⟩
    by (auto simp: update-weight-information-def conflicting-clss-def)
  then show ?thesis
    using ent improve-rule T by auto
qed

```

**lemma** *cdcl-bnb-still-model*:

**assumes**

$\langle \text{cdcl-bnb } S \ T \rangle$  **and**

*all-struct*:  $\langle \text{cdcl}_W\text{-restart-mset}.\text{cdcl}_W\text{-all-struct-inv } (\text{abs-state } S) \rangle$  **and**

*ent*:  $\langle \text{set-mset } I \models_{sm} \text{clauses } S \rangle \langle \text{set-mset } I \models_{sm} \text{conflicting-cls } S \rangle$  **and**

*dist*:  $\langle \text{distinct-mset } I \rangle$  **and**

*cons*:  $\langle \text{consistent-interp } (\text{set-mset } I) \rangle$  **and**

*tot*:  $\langle \text{atms-of } I = \text{atms-of-mm } (\text{init-cls } S) \rangle$  **and**

*opt-struct*:  $\langle \text{cdcl-bnb-struct-invs } S \rangle$

**shows**

$\langle \text{set-mset } I \models_{sm} \text{clauses } T \wedge \text{set-mset } I \models_{sm} \text{conflicting-cls } T \rangle \vee \text{Found } (\varrho \ I) \geq \varrho' \ (\text{weight } T)$

**using** *assms*

**proof** (*cases rule*: *cdcl-bnb.cases*)

**case** *cdcl-conflict*

**then show** *?thesis*

**using** *ent* **by** (*auto simp*: *conflict.simps*)

**next**

**case** *cdcl-propagate*

**then show** *?thesis*

**using** *ent* **by** (*auto simp*: *propagate.simps*)

**next**

**case** *cdcl-conflict-opt*

**then show** *?thesis*

**using** *ent* **by** (*auto simp*: *conflict-opt.simps*)

**next**

**case** *cdcl-improve*

**from** *improve-model-still-model*[*OF this all-struct ent dist cons tot opt-struct*]

**show** *?thesis*

**by** (*auto simp*: *improvep.simps*)

**next**

**case** *cdcl-other'*

**then show** *?thesis*

**proof** (*induction rule*: *ocdcl<sub>W</sub>-o-all-rules-induct*)

**case** (*decide* *T*)

**then show** *?case*

**using** *ent* **by** (*auto simp*: *decide.simps*)

**next**

**case** (*skip* *T*)

**then show** *?case*

**using** *ent* **by** (*auto simp*: *skip.simps*)

**next**

**case** (*resolve* *T*)

**then show** *?case*

**using** *ent* **by** (*auto simp*: *resolve.simps*)

**next**

**case** (*backtrack* *T*)

**from** *obacktrack-model-still-model*[*OF this all-struct ent dist cons tot opt-struct*]

**show** *?case*

**by** *auto*

**qed**

**qed**

**lemma** *rtrancpl-cdcl-bnb-still-model*:

**assumes**

*st*:  $\langle \text{cdcl-bnb}^{**} \ S \ T \rangle$  **and**

$\text{all-struct: } \langle \text{cdcl}_W\text{-restart-mset.cdcl}_W\text{-all-struct-inv (abs-state } S) \rangle \text{ and}$   
 $\text{ent: } \langle (\text{set-mset } I \models_{\text{sm}} \text{clauses } S \wedge \text{set-mset } I \models_{\text{sm}} \text{conflicting-clss } S) \vee \text{Found } (\varrho I) \geq \varrho' (\text{weight } S) \rangle \text{ and}$   
 $\text{dist: } \langle \text{distinct-mset } I \rangle \text{ and}$   
 $\text{cons: } \langle \text{consistent-interp (set-mset } I) \rangle \text{ and}$   
 $\text{tot: } \langle \text{atms-of } I = \text{atms-of-mm (init-clss } S) \rangle \text{ and}$   
 $\text{opt-struct: } \langle \text{cdcl-bnb-struct-invs } S \rangle$   
**shows**  
 $\langle (\text{set-mset } I \models_{\text{sm}} \text{clauses } T \wedge \text{set-mset } I \models_{\text{sm}} \text{conflicting-clss } T) \vee \text{Found } (\varrho I) \geq \varrho' (\text{weight } T) \rangle$   
**using**  $st$   
**proof** (*induction rule: rtrancpl-induct*)  
**case** *base*  
**then show**  $?case$   
**using**  $ent$  **by** *auto*  
**next**  
**case** ( $\text{step } T U$ ) **note**  $star = \text{this}(1)$  **and**  $st = \text{this}(2)$  **and**  $IH = \text{this}(3)$   
**have** 1:  $\langle \text{cdcl}_W\text{-restart-mset.cdcl}_W\text{-all-struct-inv (abs-state } T) \rangle$   
**using**  $\text{rtrancpl-cdcl-bnb-stgy-all-struct-inv}[OF \text{ star all-struct}]$  .  
  
**have** 2:  $\langle \text{cdcl-bnb-struct-invs } T \rangle$   
**using**  $\text{rtrancpl-cdcl-bnb-cdcl-bnb-struct-invs}[OF \text{ star opt-struct}]$  .  
**have** 3:  $\langle \text{atms-of } I = \text{atms-of-mm (init-clss } T) \rangle$   
**using**  $\text{tot rtrancpl-cdcl-bnb-no-more-init-clss}[OF \text{ star}]$  **by** *auto*  
**show**  $?case$   
**using**  $\text{cdcl-bnb-still-model}[OF \text{ st 1 - - dist cons 3 2}]$   $IH$   
 $\text{cdcl-bnb-larger-still-larger}[OF \text{ st}]$   
**by** *auto*  
**qed**

**lemma** *full-cdcl-bnb-stgy-larger-or-equal-weight:*

**assumes**  
 $st: \langle \text{full cdcl-bnb-stgy } S T \rangle \text{ and}$   
 $\text{all-struct: } \langle \text{cdcl}_W\text{-restart-mset.cdcl}_W\text{-all-struct-inv (abs-state } S) \rangle \text{ and}$   
 $\text{ent: } \langle (\text{set-mset } I \models_{\text{sm}} \text{clauses } S \wedge \text{set-mset } I \models_{\text{sm}} \text{conflicting-clss } S) \vee \text{Found } (\varrho I) \geq \varrho' (\text{weight } S) \rangle \text{ and}$   
 $\text{dist: } \langle \text{distinct-mset } I \rangle \text{ and}$   
 $\text{cons: } \langle \text{consistent-interp (set-mset } I) \rangle \text{ and}$   
 $\text{tot: } \langle \text{atms-of } I = \text{atms-of-mm (init-clss } S) \rangle \text{ and}$   
 $\text{opt-struct: } \langle \text{cdcl-bnb-struct-invs } S \rangle \text{ and}$   
 $\text{stgy-inv: } \langle \text{cdcl-bnb-stgy-inv } S \rangle$   
**shows**  
 $\langle \text{Found } (\varrho I) \geq \varrho' (\text{weight } T) \rangle \text{ and}$   
 $\langle \text{unsatisfiable (set-mset (clauses } T + \text{ conflicting-clss } T)) \rangle$   
**proof** –  
**have**  $ns: \langle \text{no-step cdcl-bnb-stgy } T \rangle \text{ and}$   
 $st: \langle \text{cdcl-bnb-stgy}^{**} S T \rangle \text{ and}$   
 $st': \langle \text{cdcl-bnb}^{**} S T \rangle$   
**using**  $st$  **unfolding** *full-def* **by** (*auto intro: rtrancpl-cdcl-bnb-stgy-cdcl-bnb*)  
**have**  $ns': \langle \text{no-step cdcl-bnb } T \rangle$   
**by** (*meson cdcl-bnb.cases cdcl-bnb-stgy.simps no-confl-prop-impr.elims(3) ns*)  
**have**  $\text{struct-T: } \langle \text{cdcl}_W\text{-restart-mset.cdcl}_W\text{-all-struct-inv (abs-state } T) \rangle$   
**using**  $\text{rtrancpl-cdcl-bnb-stgy-all-struct-inv}[OF \text{ st' all-struct}]$  .  
**have**  $\text{stgy-T: } \langle \text{cdcl-bnb-stgy-inv } T \rangle$   
**using**  $\text{rtrancpl-cdcl-bnb-stgy-stgy-inv}[OF \text{ st all-struct stgy-inv}]$  .  
**have**  $\text{confl: } \langle \text{conflicting } T = \text{Some } \{\#\} \rangle$   
**using**  $\text{no-step-cdcl-bnb-stgy-empty-conflict2}[OF \text{ ns' struct-T stgy-T}]$  .

**have**  $\langle \text{cdcl}_W\text{-restart-mset.cdcl}_W\text{-learned-clause } (\text{abs-state } T) \rangle$  **and**  
 $\text{alien: } \langle \text{cdcl}_W\text{-restart-mset.no-strange-atm } (\text{abs-state } T) \rangle$   
**using** *struct-T unfolding* *cdcl<sub>W</sub>-restart-mset.cdcl<sub>W</sub>-all-struct-inv-def* **by** *fast+*  
**then have**  $\text{ent': } \langle \text{set-mset } (\text{clauses } T + \text{conflicting-clss } T) \models_p \{ \# \} \rangle$   
**using** *confl unfolding* *cdcl<sub>W</sub>-restart-mset.cdcl<sub>W</sub>-learned-clause-alt-def*  
**by** *auto*  
**have**  $\text{atms-eq: } \langle \text{atms-of } I \cup \text{atms-of-mm } (\text{conflicting-clss } T) = \text{atms-of-mm } (\text{init-clss } T) \rangle$   
**using** *tot[symmetric] atms-of-conflicting-clss[of T] alien*  
**unfolding** *rtrancpl-cdcl-bnb-no-more-init-clss[OF st'] cdcl<sub>W</sub>-restart-mset.no-strange-atm-def*  
**by** (*auto simp: clauses-def total-over-m-def total-over-set-def atm-iff-pos-or-neg-lit*  
 $\text{abs-state-def cdcl}_W\text{-restart-mset-state}$ )  
  
**have**  $\langle \neg (\text{set-mset } I \models_{sm} \text{clauses } T + \text{conflicting-clss } T) \rangle$   
**proof**  
**assume**  $\text{ent'': } \langle \text{set-mset } I \models_{sm} \text{clauses } T + \text{conflicting-clss } T \rangle$   
**moreover have**  $\langle \text{total-over-m } (\text{set-mset } I) (\text{set-mset } (\text{clauses } T + \text{conflicting-clss } T)) \rangle$   
**using** *tot[symmetric] atms-of-conflicting-clss[of T] alien*  
**unfolding** *rtrancpl-cdcl-bnb-no-more-init-clss[OF st'] cdcl<sub>W</sub>-restart-mset.no-strange-atm-def*  
**by** (*auto simp: clauses-def total-over-m-def total-over-set-def atm-iff-pos-or-neg-lit*  
 $\text{abs-state-def cdcl}_W\text{-restart-mset-state atms-eq}$ )  
**then show**  $\langle \text{False} \rangle$   
**using** *ent' cons ent''*  
**unfolding** *true-clss-cls-def* **by** *auto*  
**qed**  
**then show**  $\langle \varrho' (\text{weight } T) \leq \text{Found } (\varrho I) \rangle$   
**using** *rtrancpl-cdcl-bnb-still-model[OF st' all-struct ent dist cons tot opt-struct]*  
**by** *auto*  
  
**show**  $\langle \text{unsatisfiable } (\text{set-mset } (\text{clauses } T + \text{conflicting-clss } T)) \rangle$   
**proof**  
**assume**  $\langle \text{satisfiable } (\text{set-mset } (\text{clauses } T + \text{conflicting-clss } T)) \rangle$   
**then obtain**  $I$  **where**  
 $\text{ent'': } \langle I \models_{sm} \text{clauses } T + \text{conflicting-clss } T \rangle$  **and**  
 $\text{tot: } \langle \text{total-over-m } I (\text{set-mset } (\text{clauses } T + \text{conflicting-clss } T)) \rangle$  **and**  
 $\langle \text{consistent-interp } I \rangle$   
**unfolding** *satisfiable-def*  
**by** *blast*  
**then show**  $\langle \text{False} \rangle$   
**using** *ent' cons ent''*  
**unfolding** *true-clss-cls-def* **by** *auto*  
**qed**  
**qed**

**lemma** *full-cdcl-bnb-stgy-unsat2:*

**assumes**

$\text{st: } \langle \text{full cdcl-bnb-stgy } S T \rangle$  **and**

$\text{all-struct: } \langle \text{cdcl}_W\text{-restart-mset.cdcl}_W\text{-all-struct-inv } (\text{abs-state } S) \rangle$  **and**

$\text{opt-struct: } \langle \text{cdcl-bnb-struct-invs } S \rangle$  **and**

$\text{stgy-inv: } \langle \text{cdcl-bnb-stgy-inv } S \rangle$

**shows**

$\langle \text{unsatisfiable } (\text{set-mset } (\text{clauses } T + \text{conflicting-clss } T)) \rangle$

**proof** —

**have**  $\text{ns: } \langle \text{no-step cdcl-bnb-stgy } T \rangle$  **and**

$\text{st: } \langle \text{cdcl-bnb-stgy}^{**} S T \rangle$  **and**



$\text{conflicting-clss-def}$   $\text{conflicting-clauses-def}$   $\text{true-clss-cls-tautology-iff}$   $\text{simple-clss-finite}$   
 $\text{filter-mset-empty-conv}$   $\text{mset-set-empty-iff}$   $\text{dest: simple-clssE}$   
**then show**  $?thesis$   
**using**  $\text{full-cdcl-bnb-stgy-no-conflicting-clss-unsat}[OF - st \text{ all-struct}$   
 $\text{stgy-inv}]$  **by**  $(\text{auto simp: can-always-improve})$   
**qed**

**definition**  $\text{annotation-is-model}$  **where**

$\langle \text{annotation-is-model } S \longleftrightarrow$   
 $(\text{weight } S \neq \text{None} \longrightarrow (\text{set-mset } (\text{the } (\text{weight } S))) \models_{sm} \text{init-clss } S \wedge$   
 $\text{consistent-interp } (\text{set-mset } (\text{the } (\text{weight } S))) \wedge$   
 $\text{atms-of } (\text{the } (\text{weight } S)) \subseteq \text{atms-of-mm } (\text{init-clss } S) \wedge$   
 $\text{total-over-m } (\text{set-mset } (\text{the } (\text{weight } S))) (\text{set-mset } (\text{init-clss } S)) \wedge$   
 $\text{distinct-mset } (\text{the } (\text{weight } S))) \rangle$

**lemma**  $\text{cdcl-bnb-annotation-is-model}$ :

**assumes**

$\langle \text{cdcl-bnb } S \ T \rangle$  **and**

$\langle \text{cdcl}_W\text{-restart-mset.cdcl}_W\text{-all-struct-inv } (\text{abs-state } S) \rangle$  **and**

$\langle \text{annotation-is-model } S \rangle$

**shows**  $\langle \text{annotation-is-model } T \rangle$

**proof** –

**have**  $[\text{simp}]: \langle \text{atms-of } (\text{lit-of } \# \text{ mset } M) = \text{atm-of } \text{lit-of } \text{set } M \rangle$  **for**  $M$   
**by**  $(\text{auto simp: atms-of-def})$

**have**  $\langle \text{consistent-interp } (\text{lits-of-l } (\text{trail } S)) \wedge$   
 $\text{atm-of } \text{lits-of-l } (\text{trail } S) \subseteq \text{atms-of-mm } (\text{init-clss } S) \wedge$   
 $\text{distinct-mset } (\text{lit-of } \# \text{ mset } (\text{trail } S)) \rangle$

**using**  $\text{assms}(2)$  **by**  $(\text{auto simp: cdcl}_W\text{-restart-mset.cdcl}_W\text{-all-struct-inv-def}$   
 $\text{abs-state-def cdcl}_W\text{-restart-mset-state cdcl}_W\text{-restart-mset.no-strange-atm-def}$   
 $\text{cdcl}_W\text{-restart-mset.cdcl}_W\text{-M-level-inv-def}$   
 $\text{dest: no-dup-distinct})$

**with**  $\text{assms}(1,3)$

**show**  $?thesis$

**apply**  $(\text{cases rule: cdcl-bnb.cases})$

**subgoal**

**by**  $(\text{auto simp: conflict.simps annotation-is-model-def})$

**subgoal**

**by**  $(\text{auto simp: propagate.simps annotation-is-model-def})$

**subgoal**

**by**  $(\text{force simp: annotation-is-model-def true-annots-true-clss lits-of-def}$   
 $\text{improvep.simps is-improving-int-def image-Un image-image simple-clss-def}$   
 $\text{consistent-interp-tautology-mset-set}$   
 $\text{dest!: consistent-interp-unionD intro: distinct-mset-union2})$

**subgoal**

**by**  $(\text{auto simp: annotation-is-model-def conflict-opt.simps})$

**subgoal**

**by**  $(\text{auto simp: annotation-is-model-def}$   
 $\text{ocdcl}_W\text{-o.simps cdcl-bnb-bj.simps obacktrack.simps}$   
 $\text{skip.simps resolve.simps decide.simps})$

**done**

**qed**

**lemma**  $\text{rtrancpl-cdcl-bnb-annotation-is-model}$ :

$\langle \text{cdcl-bnb}^{**} S \ T \implies \text{cdcl}_W\text{-restart-mset.cdcl}_W\text{-all-struct-inv } (\text{abs-state } S) \implies$   
 $\text{annotation-is-model } S \implies \text{annotation-is-model } T \rangle$

**by**  $(\text{induction rule: rtrancpl-induct})$



(*auto simp: cdcl-bnb-annotation-is-model rtrancpl-cdcl-bnb-stgy-all-struct-inv*)

Theorem 2.15.6 of Weidenbach's book

**theorem** *full-cdcl-bnb-stgy-no-conflicting-clause-from-init-state:*

**assumes**

*st*:  $\langle \text{full-cdcl-bnb-stgy } (\text{init-state } N) \ T \rangle$  **and**  
*dist*:  $\langle \text{distinct-mset-mset } N \rangle$

**shows**

$\langle \text{weight } T = \text{None} \implies \text{unsatisfiable } (\text{set-mset } N) \rangle$  **and**  
 $\langle \text{weight } T \neq \text{None} \implies \text{consistent-interp } (\text{set-mset } (\text{the } (\text{weight } T))) \wedge$   
 $\text{atms-of } (\text{the } (\text{weight } T)) \subseteq \text{atms-of-mm } N \wedge \text{set-mset } (\text{the } (\text{weight } T)) \models_{\text{sm}} N \wedge$   
 $\text{total-over-m } (\text{set-mset } (\text{the } (\text{weight } T))) (\text{set-mset } N) \wedge$   
 $\text{distinct-mset } (\text{the } (\text{weight } T)) \rangle$  **and**  
 $\langle \text{distinct-mset } I \implies \text{consistent-interp } (\text{set-mset } I) \implies \text{atms-of } I = \text{atms-of-mm } N \implies$   
 $\text{set-mset } I \models_{\text{sm}} N \implies \text{Found } (\varrho \ I) \geq \varrho' (\text{weight } T) \rangle$

**proof** –

**let**  $?S = \langle \text{init-state } N \rangle$

**have**  $\langle \text{distinct-mset } C \rangle$  **if**  $\langle C \in \# \ N \rangle$  **for**  $C$

**using** *dist* **that by** (*auto simp: distinct-mset-set-def dest: multi-member-split*)

**then have**  $\langle \text{distinct-mset-mset } N \rangle$

**by** (*auto simp: distinct-mset-set-def*)

**then have** [*simp*]:  $\langle \text{cdcl}_W\text{-restart-mset.cdcl}_W\text{-all-struct-inv } ([], N, \{\#\}, \text{None}) \rangle$

**unfolding** *init-state.simps[symmetric]*

**by** (*auto simp: cdcl<sub>W</sub>-restart-mset.cdcl<sub>W</sub>-all-struct-inv-def*)

**moreover have** [*iff*]:  $\langle \text{cdcl-bnb-struct-invs } ?S \rangle$

**by** (*auto simp: cdcl-bnb-struct-invs-def*)

**moreover have** [*simp*]:  $\langle \text{cdcl-bnb-stgy-inv } ?S \rangle$

**by** (*auto simp: cdcl-bnb-stgy-inv-def conflict-is-false-with-level-def*)

**moreover have** *ent*:  $\langle \text{cdcl}_W\text{-learned-clauses-entailed-by-init } ?S \rangle$

**by** (*auto simp: cdcl<sub>W</sub>-learned-clauses-entailed-by-init-def*)

**moreover have** [*simp*]:  $\langle \text{cdcl}_W\text{-restart-mset.cdcl}_W\text{-all-struct-inv } (\text{abs-state } (\text{init-state } N)) \rangle$

**unfolding** *CDCL-W-Abstract-State.init-state.simps abs-state-def*

**by** *auto*

**ultimately show**  $\langle \text{weight } T = \text{None} \implies \text{unsatisfiable } (\text{set-mset } N) \rangle$

**using** *full-cdcl-bnb-stgy-no-conflicting-clause-unsat[OF st]*

**by** *auto*

**have**  $\langle \text{annotation-is-model } ?S \rangle$

**by** (*auto simp: annotation-is-model-def*)

**then have**  $\langle \text{annotation-is-model } T \rangle$

**using** *rtrancpl-cdcl-bnb-annotation-is-model[of ?S T] st*

**unfolding** *full-def* **by** (*auto dest: rtrancpl-cdcl-bnb-stgy-cdcl-bnb*)

**moreover have**  $\langle \text{init-clss } T = N \rangle$

**using** *rtrancpl-cdcl-bnb-no-more-init-clss[of ?S T] st*

**unfolding** *full-def* **by** (*auto dest: rtrancpl-cdcl-bnb-stgy-cdcl-bnb*)

**ultimately show**  $\langle \text{weight } T \neq \text{None} \implies \text{consistent-interp } (\text{set-mset } (\text{the } (\text{weight } T))) \wedge$

$\text{atms-of } (\text{the } (\text{weight } T)) \subseteq \text{atms-of-mm } N \wedge \text{set-mset } (\text{the } (\text{weight } T)) \models_{\text{sm}} N \wedge$

$\text{total-over-m } (\text{set-mset } (\text{the } (\text{weight } T))) (\text{set-mset } N) \wedge$

$\text{distinct-mset } (\text{the } (\text{weight } T)) \rangle$

**by** (*auto simp: annotation-is-model-def*)

**show**  $\langle \text{distinct-mset } I \implies \text{consistent-interp } (\text{set-mset } I) \implies \text{atms-of } I = \text{atms-of-mm } N \implies$

$\text{set-mset } I \models_{\text{sm}} N \implies \text{Found } (\varrho \ I) \geq \varrho' (\text{weight } T) \rangle$

**using** *full-cdcl-bnb-stgy-larger-or-equal-weight[of ?S T I] st* **unfolding** *full-def*

**by** *auto*

**qed**

**lemma** *pruned-clause-in-conflicting-clss:*

**assumes**

*ge*:  $\langle \bigwedge M'. \text{total-over-m } (\text{set-mset } (\text{mset } (M @ M'))) (\text{set-mset } (\text{init-clss } S)) \implies$   
 $\text{distinct-mset } (\text{atm-of } \# \text{ mset } (M @ M')) \implies$   
 $\text{consistent-interp } (\text{set-mset } (\text{mset } (M @ M'))) \implies$   
 $\text{Found } (\varrho (\text{mset } (M @ M'))) \geq \varrho' (\text{weight } S) \rangle$  **and**  
*atm*:  $\langle \text{atms-of } (\text{mset } M) \subseteq \text{atms-of-mm } (\text{init-clss } S) \rangle$  **and**  
*dist*:  $\langle \text{distinct } M \rangle$  **and**  
*cons*:  $\langle \text{consistent-interp } (\text{set } M) \rangle$

**shows**  $\langle \text{pNeg } (\text{mset } M) \in \# \text{ conflicting-clss } S \rangle$

**proof** –

**have** *0*:  $\langle \text{pNeg } o \text{ mset } o ((@) M) \rangle \{M'\}$

$\text{distinct-mset } (\text{atm-of } \# \text{ mset } (M @ M')) \wedge \text{consistent-interp } (\text{set-mset } (\text{mset } (M @ M'))) \wedge$   
 $\text{atms-of-s } (\text{set } (M @ M')) \subseteq (\text{atms-of-mm } (\text{init-clss } S)) \wedge$   
 $\text{card } (\text{atms-of-mm } (\text{init-clss } S)) = n + \text{card } (\text{atms-of } (\text{mset } (M @ M')) \} \subseteq$   
 $\text{set-mset } (\text{conflicting-clss } S) \rangle$  **for** *n*

**proof** (*induction n*)

**case** *0*

**show** *?case*

**proof** *clarify*

**fix** *x* ::  $\langle 'v \text{ literal multiset} \rangle$  **and** *xa* ::  $\langle 'v \text{ literal multiset} \rangle$  **and**  
*xb* ::  $\langle 'v \text{ literal list} \rangle$  **and** *xc* ::  $\langle 'v \text{ literal list} \rangle$

**assume**

*dist*:  $\langle \text{distinct-mset } (\text{atm-of } \# \text{ mset } (M @ xc)) \rangle$  **and**  
*cons*:  $\langle \text{consistent-interp } (\text{set-mset } (\text{mset } (M @ xc))) \rangle$  **and**  
*atm*:  $\langle \text{atms-of-s } (\text{set } (M @ xc)) \subseteq \text{atms-of-mm } (\text{init-clss } S) \rangle$  **and**  
*0*:  $\langle \text{card } (\text{atms-of-mm } (\text{init-clss } S)) = 0 + \text{card } (\text{atms-of } (\text{mset } (M @ xc))) \rangle$

**have** *D[dest]*:

$\langle A \in \text{set } M \implies A \notin \text{set } xc \rangle$   
 $\langle A \in \text{set } M \implies -A \notin \text{set } xc \rangle$

**for** *A*

**using** *dist multi-member-split*[*of A (mset M)*] *multi-member-split*[*of (-A) (mset xc)*]  
*multi-member-split*[*of (-A) (mset M)*] *multi-member-split*[*of A (mset xc)*]

**by** (*auto simp: atm-of-in-atm-of-set-iff-in-set-or-uminus-in-set*)

**have** *dist2*:  $\langle \text{distinct } xc \rangle \langle \text{distinct-mset } (\text{atm-of } \# \text{ mset } xc) \rangle$

$\langle \text{distinct-mset } (\text{mset } M + \text{mset } xc) \rangle$

**using** *dist distinct-mset-atm-ofD*[*OF dist*]

**unfolding** *mset-append*[*symmetric*] *distinct-mset-mset-distinct*

**by** (*auto dest: distinct-mset-union2 distinct-mset-atm-ofD*)

**have** *eq*:  $\langle \text{card } (\text{atms-of-s } (\text{set } M) \cup \text{atms-of-s } (\text{set } xc)) =$

$\text{card } (\text{atms-of-s } (\text{set } M)) + \text{card } (\text{atms-of-s } (\text{set } xc)) \rangle$

**by** (*subst card-Un-Int*) *auto*

**let** *?M* =  $\langle M @ xc \rangle$

**have** *H1*:  $\langle \text{atms-of-s } (\text{set } ?M) = \text{atms-of-mm } (\text{init-clss } S) \rangle$

**using** *eq atm card-mono*[*OF - atm*] *card-subset-eq*[*OF - atm*] *0*

**by** (*auto simp: atms-of-s-def image-Un*)

**moreover have** *tot2*:  $\langle \text{total-over-m } (\text{set } ?M) (\text{set-mset } (\text{init-clss } S)) \rangle$

**using** *H1*

**by** (*auto simp: total-over-m-def total-over-set-def lit-in-set-iff-atm*)

**moreover have**  $\langle \neg \text{tautology } (\text{mset } ?M) \rangle$

**using** *cons unfolding consistent-interp-tautology*[*symmetric*]

**by** *auto*

**ultimately have**  $\langle \text{mset } ?M \in \text{simple-clss } (\text{atms-of-mm } (\text{init-clss } S)) \rangle$

**using** *dist atm cons H1 dist2*

**by** (*auto simp: simple-clss-def consistent-interp-tautology atms-of-s-def*)

```

moreover have tot2:  $\langle \text{total-over-m } (\text{set } ?M) (\text{set-mset } (\text{init-clss } S)) \rangle$ 
  using H1
  by (auto simp: total-over-m-def total-over-set-def lit-in-set-iff-atm)
ultimately show  $\langle (pNeg \circ mset \circ (@) M) xc \in \# \text{ conflicting-clss } S \rangle$ 
  using ge[of  $\langle xc \rangle$ ] dist 0 cons card-mono[OF - atm] tot2 cons
  by (auto simp: conflicting-clss-def too-heavy-clauses-def
    simple-clss-finite
    intro!: too-heavy-clauses-conflicting-clauses imageI)
qed
next
case (Suc n) note IH = this(1)
let ?H =  $\langle \{M'\}.$ 
  distinct-mset (atm-of  $\langle \# mset (M @ M') \rangle$ )  $\wedge$ 
  consistent-interp (set-mset (mset (M @ M')))  $\wedge$ 
  atms-of-s (set (M @ M'))  $\subseteq$  atms-of-mm (init-clss S)  $\wedge$ 
  card (atms-of-mm (init-clss S)) = n + card (atms-of (mset (M @ M')))  $\rangle$ 
show ?case
proof clarify
  fix x ::  $\langle 'v \text{ literal multiset} \rangle$  and xa ::  $\langle 'v \text{ literal multiset} \rangle$  and
    xb ::  $\langle 'v \text{ literal list} \rangle$  and xc ::  $\langle 'v \text{ literal list} \rangle$ 
  assume
    dist:  $\langle \text{distinct-mset } (\text{atm-of } \langle \# mset (M @ xc) \rangle) \rangle$  and
    cons:  $\langle \text{consistent-interp } (\text{set-mset } (\text{mset } (M @ xc))) \rangle$  and
    atm':  $\langle \text{atms-of-s } (\text{set } (M @ xc)) \subseteq \text{atms-of-mm } (\text{init-clss } S) \rangle$  and
    0:  $\langle \text{card } (\text{atms-of-mm } (\text{init-clss } S)) = \text{Suc } n + \text{card } (\text{atms-of } (\text{mset } (M @ xc))) \rangle$ 
  then obtain a where
    a:  $\langle a \in \text{atms-of-mm } (\text{init-clss } S) \rangle$  and
    a-notin:  $\langle a \notin \text{atms-of-s } (\text{set } (M @ xc)) \rangle$ 
  by (metis Suc-n-not-le-n add-Suc-shift atms-of-mmletiset atms-of-s-def le-add2
    subsetI subset-antisym)
  have dist2:  $\langle \text{distinct } xc \rangle \langle \text{distinct-mset } (\text{atm-of } \langle \# mset xc \rangle) \rangle$ 
     $\langle \text{distinct-mset } (\text{mset } M + \text{mset } xc) \rangle$ 
  using dist distinct-mset-atm-ofD[OF dist]
  unfolding mset-append[symmetric] distinct-mset-mset-distinct
  by (auto dest: distinct-mset-union2 distinct-mset-atm-ofD)
  let ?xc1 =  $\langle \text{Pos } a \# xc \rangle$ 
  let ?xc2 =  $\langle \text{Neg } a \# xc \rangle$ 
  have  $\langle ?xc1 \in ?H \rangle$ 
    using dist cons atm' 0 dist2 a-notin a
    by (auto simp: distinct-mset-add mset-inter-empty-set-mset
      lit-in-set-iff-atm card-insert-if)
  from set-mp[OF IH imageI[OF this]]
  have 1:  $\langle \text{too-heavy-clauses } (\text{init-clss } S) (\text{weight } S) \models_{pm} \text{add-mset } (\neg(\text{Pos } a)) (pNeg (\text{mset } (M @ xc))) \rangle$ 
    unfolding conflicting-clss-def unfolding conflicting-clauses-def
    by (auto simp: pNeg-simps)
  have  $\langle ?xc2 \in ?H \rangle$ 
    using dist cons atm' 0 dist2 a-notin a
    by (auto simp: distinct-mset-add mset-inter-empty-set-mset
      lit-in-set-iff-atm card-insert-if)
  from set-mp[OF IH imageI[OF this]]
  have 2:  $\langle \text{too-heavy-clauses } (\text{init-clss } S) (\text{weight } S) \models_{pm} \text{add-mset } (\text{Pos } a) (pNeg (\text{mset } (M @ xc))) \rangle$ 
    unfolding conflicting-clss-def unfolding conflicting-clauses-def
    by (auto simp: pNeg-simps)

  have  $\langle \neg \text{tautology } (\text{mset } (M @ xc)) \rangle$ 

```

```

    using cons unfolding consistent-interp-tautology[symmetric]
    by auto
  then have  $\neg \text{tautology } (pNeg \ (mset \ M) + pNeg \ (mset \ xc))$ 
    unfolding mset-append[symmetric] pNeg-simps[symmetric]
    by (auto simp del: mset-append)
  then have  $\langle pNeg \ (mset \ M) + pNeg \ (mset \ xc) \in \text{simple-clss } (\text{atms-of-mm } (\text{init-clss } S)) \rangle$ 
    using atm' dist2
    by (auto simp: simple-clss-def atms-of-s-def
        simp flip: pNeg-simps)
  then show  $\langle (pNeg \circ mset \circ (@) \ M) \ xc \in \# \text{ conflicting-clss } S \rangle$ 
    using true-clss-cls-or-true-clss-cls-or-not-true-clss-cls-or[OF 1 2] apply -
    unfolding conflicting-clss-def conflicting-clauses-def
    by (subst (asm) true-clss-cls-remdups-mset[symmetric])
      (auto simp: simple-clss-finite pNeg-simps intro: true-clss-cls-cong-set-mset
        simp del: true-clss-cls-remdups-mset)
qed
qed
have  $\langle [] \in \{M'\}$ 
  distinct-mset (atm-of '# mset (M @ M'))  $\wedge$ 
  consistent-interp (set-mset (mset (M @ M')))  $\wedge$ 
  atms-of-s (set (M @ M'))  $\subseteq$  atms-of-mm (init-clss S)  $\wedge$ 
  card (atms-of-mm (init-clss S)) =
  card (atms-of-mm (init-clss S)) - card (atms-of (mset M)) +
  card (atms-of (mset (M @ M'))))}
  using card-mono[OF - assms(2)] assms by (auto dest: card-mono distinct-consistent-distinct-atm)

from set-mp[OF 0 imageI[OF this]]
show  $\langle pNeg \ (mset \ M) \in \# \text{ conflicting-clss } S \rangle$ 
  by auto
qed

```

## Alternative versions

### Calculus with simple Improve rule

To make sure that the paper version of the correct, we restrict the previous calculus to exactly the rules that are on paper.

```

inductive pruning :: ' $st \Rightarrow 'st \Rightarrow \text{bool}$ ' where
  pruning-rule:
     $\langle \text{pruning } S \ T \rangle$ 
  if
     $\langle \bigwedge M'. \text{total-over-m } (\text{set-mset } (\text{mset } (\text{map lit-of } (\text{trail } S) \ @ \ M'))) \ (\text{set-mset } (\text{init-clss } S)) \Rightarrow$ 
      distinct-mset (atm-of '# mset (map lit-of (trail S) @ M'))  $\Rightarrow$ 
      consistent-interp (set-mset (mset (map lit-of (trail S) @ M')))  $\Rightarrow$ 
       $\varrho' \ (\text{weight } S) \leq \text{Found } (\varrho \ (\text{mset } (\text{map lit-of } (\text{trail } S) \ @ \ M')))$ 
     $\langle \text{conflicting } S = \text{None} \rangle$ 
     $\langle T \sim \text{update-conflicting } (\text{Some } (\text{negate-ann-lits } (\text{trail } S))) \ S \rangle$ 

```

```

inductive oconflict-opt :: ' $st \Rightarrow 'st \Rightarrow \text{bool}$ ' for S T :: 'st' where
  oconflict-opt-rule:
     $\langle \text{oconflict-opt } S \ T \rangle$ 
  if
     $\langle \text{Found } (\varrho \ (\text{lit-of } '# \ \text{mset } (\text{trail } S))) \geq \varrho' \ (\text{weight } S) \rangle$ 
     $\langle \text{conflicting } S = \text{None} \rangle$ 
     $\langle T \sim \text{update-conflicting } (\text{Some } (\text{negate-ann-lits } (\text{trail } S))) \ S \rangle$ 

```

**inductive** *improve* :: 'st  $\Rightarrow$  'st  $\Rightarrow$  bool **for** *S T* :: 'st **where**

*improve-rule*:

$\langle \text{improve } S \ T \rangle$

**if**

$\langle \text{total-over-m } (\text{lits-of-l } (\text{trail } S)) \ (\text{set-mset } (\text{init-clss } S)) \rangle$

$\langle \text{Found } (\varrho \ (\text{lit-of } \# \ \text{mset } (\text{trail } S))) < \varrho' \ (\text{weight } S) \rangle$

$\langle \text{trail } S \models_{\text{asm}} \text{init-clss } S \rangle$

$\langle \text{conflicting } S = \text{None} \rangle$

$\langle T \sim \text{update-weight-information } (\text{trail } S) \ S \rangle$

This is the basic version of the calculus:

**inductive** *ocdcl<sub>w</sub>* :: 'st  $\Rightarrow$  'st  $\Rightarrow$  bool **for** *S* :: 'st **where**

*ocdcl-conflict*:  $\text{conflict } S \ S' \Longrightarrow \text{ocdcl}_w \ S \ S' \mid$

*ocdcl-propagate*:  $\text{propagate } S \ S' \Longrightarrow \text{ocdcl}_w \ S \ S' \mid$

*ocdcl-improve*:  $\text{improve } S \ S' \Longrightarrow \text{ocdcl}_w \ S \ S' \mid$

*ocdcl-conflict-opt*:  $\text{oconflict-opt } S \ S' \Longrightarrow \text{ocdcl}_w \ S \ S' \mid$

*ocdcl-other'*:  $\text{ocdcl}_W\text{-o } S \ S' \Longrightarrow \text{ocdcl}_w \ S \ S' \mid$

*ocdcl-pruning*:  $\text{pruning } S \ S' \Longrightarrow \text{ocdcl}_w \ S \ S'$

**inductive** *ocdcl<sub>w</sub>-stgy* :: 'st  $\Rightarrow$  'st  $\Rightarrow$  bool **for** *S* :: 'st **where**

*ocdcl<sub>w</sub>-conflict*:  $\text{conflict } S \ S' \Longrightarrow \text{ocdcl}_w\text{-stgy } S \ S' \mid$

*ocdcl<sub>w</sub>-propagate*:  $\text{propagate } S \ S' \Longrightarrow \text{ocdcl}_w\text{-stgy } S \ S' \mid$

*ocdcl<sub>w</sub>-improve*:  $\text{improve } S \ S' \Longrightarrow \text{ocdcl}_w\text{-stgy } S \ S' \mid$

*ocdcl<sub>w</sub>-conflict-opt*:  $\text{conflict-opt } S \ S' \Longrightarrow \text{ocdcl}_w\text{-stgy } S \ S' \mid$

*ocdcl<sub>w</sub>-other'*:  $\text{ocdcl}_W\text{-o } S \ S' \Longrightarrow \text{no-conf-prop-impr } S \Longrightarrow \text{ocdcl}_w\text{-stgy } S \ S'$

**lemma** *pruning-conflict-opt*:

**assumes** *ocdcl-pruning*:  $\langle \text{pruning } S \ T \rangle$  **and**

*inv*:  $\langle \text{cdcl}_W\text{-restart-mset.cdcl}_W\text{-all-struct-inv } (\text{abs-state } S) \rangle$

**shows**  $\langle \text{conflict-opt } S \ T \rangle$

**proof** –

**have** *le*:

$\langle \bigwedge M'. \text{total-over-m } (\text{set-mset } (\text{mset } (\text{map lit-of } (\text{trail } S) \ @ \ M'))) \ (\text{set-mset } (\text{init-clss } S)) \Longrightarrow$   
 $\text{distinct-mset } (\text{atm-of } \# \ \text{mset } (\text{map lit-of } (\text{trail } S) \ @ \ M')) \Longrightarrow$   
 $\text{consistent-interp } (\text{set-mset } (\text{mset } (\text{map lit-of } (\text{trail } S) \ @ \ M'))) \Longrightarrow$   
 $\varrho' \ (\text{weight } S) \leq \text{Found } (\varrho \ (\text{mset } (\text{map lit-of } (\text{trail } S) \ @ \ M'))) \rangle$

**using** *ocdcl-pruning* **by** (auto simp: pruning.simps)

**have** *alien*:  $\langle \text{cdcl}_W\text{-restart-mset.no-strange-atm } (\text{abs-state } S) \rangle$  **and**

*lev*:  $\langle \text{cdcl}_W\text{-restart-mset.cdcl}_W\text{-M-level-inv } (\text{abs-state } S) \rangle$

**using** *inv* **unfolding** *cdcl<sub>W</sub>-restart-mset.cdcl<sub>W</sub>-all-struct-inv-def*

**by** *fast+*

**have** *incl*:  $\langle \text{atms-of } (\text{mset } (\text{map lit-of } (\text{trail } S))) \subseteq \text{atms-of-mm } (\text{init-clss } S) \rangle$

**using** *alien* **unfolding** *cdcl<sub>W</sub>-restart-mset.no-strange-atm-def*

**by** (auto simp: abs-state-def cdcl<sub>W</sub>-restart-mset-state lits-of-def image-image atms-of-def)

**have** *dist*:  $\langle \text{distinct } (\text{map lit-of } (\text{trail } S)) \rangle$  **and**

*cons*:  $\langle \text{consistent-interp } (\text{set } (\text{map lit-of } (\text{trail } S))) \rangle$

**using** *lev* **unfolding** *cdcl<sub>W</sub>-restart-mset.cdcl<sub>W</sub>-M-level-inv-def*

**by** (auto simp: abs-state-def cdcl<sub>W</sub>-restart-mset-state lits-of-def image-image atms-of-def  
*dest*: no-dup-map-lit-of)

**have**  $\langle \text{negate-ann-lits } (\text{trail } S) \in \# \ \text{conflicting-clss } S \rangle$

**unfolding** *negate-ann-lits-pNeg-lit-of comp-def mset-map[symmetric]*

**apply** (rule pruned-clause-in-conflicting-clss)

**subgoal using** *le* **by** *fast*

**subgoal using** *incl* **by** *fast*

```

    subgoal using dist by fast
    subgoal using cons by fast
    done
  then show  $\langle \text{conflict-opt } S \ T \rangle$ 
    apply (rule conflict-opt.intros)
    subgoal using ocdcl-pruning by (auto simp: pruning.simps)
    subgoal using ocdcl-pruning by (auto simp: pruning.simps)
    done
qed

lemma ocdcl-conflict-opt-conflict-opt:
  assumes ocdcl-pruning:  $\langle \text{oconflict-opt } S \ T \rangle$  and
    inv:  $\langle \text{cdcl}_W\text{-restart-mset.cdcl}_W\text{-all-struct-inv } (\text{abs-state } S) \rangle$ 
  shows  $\langle \text{conflict-opt } S \ T \rangle$ 
proof -
  have alien:  $\langle \text{cdcl}_W\text{-restart-mset.no-strange-atm } (\text{abs-state } S) \rangle$  and
    lev:  $\langle \text{cdcl}_W\text{-restart-mset.cdcl}_W\text{-M-level-inv } (\text{abs-state } S) \rangle$ 
    using inv unfolding cdcl}_W\text{-restart-mset.cdcl}_W\text{-all-struct-inv-def}
    by fast+
  have incl:  $\langle \text{atms-of } (\text{lit-of } \# \text{ mset } (\text{trail } S)) \subseteq \text{atms-of-mm } (\text{init-clss } S) \rangle$ 
    using alien unfolding cdcl}_W\text{-restart-mset.no-strange-atm-def}
    by (auto simp: abs-state-def cdcl}_W\text{-restart-mset-state lits-of-def image-image atms-of-def)
  have dist:  $\langle \text{distinct-mset } (\text{lit-of } \# \text{ mset } (\text{trail } S)) \rangle$  and
    cons:  $\langle \text{consistent-interp } (\text{set } (\text{map lit-of } (\text{trail } S))) \rangle$  and
    tauto:  $\langle \neg \text{tautology } (\text{lit-of } \# \text{ mset } (\text{trail } S)) \rangle$ 
    using lev unfolding cdcl}_W\text{-restart-mset.cdcl}_W\text{-M-level-inv-def}
    by (auto simp: abs-state-def cdcl}_W\text{-restart-mset-state lits-of-def image-image atms-of-def
      dest: no-dup-map-lit-of no-dup-distinct no-dup-not-tautology)
  have  $\langle \text{lit-of } \# \text{ mset } (\text{trail } S) \in \text{simple-clss } (\text{atms-of-mm } (\text{init-clss } S)) \rangle$ 
    using dist incl tauto by (auto simp: simple-clss-def)
  then have simple:  $\langle (\text{lit-of } \# \text{ mset } (\text{trail } S)) \in \{a. a \in \# \text{ mset-set } (\text{simple-clss } (\text{atms-of-mm } (\text{init-clss } S))) \wedge$ 
     $\varrho' (\text{weight } S) \leq \text{Found } (\varrho a) \} \rangle$ 
    using ocdcl-pruning by (auto simp: simple-clss-finite oconflict-opt.simps)
  have  $\langle \text{negate-ann-lits } (\text{trail } S) \in \# \text{ conflicting-clss } S \rangle$ 
    unfolding negate-ann-lits-pNeg-lit-of comp-def conflicting-clss-def
    by (rule too-heavy-clauses-conflicting-clauses)
    (use simple in (auto simp: too-heavy-clauses-def oconflict-opt.simps))
  then show  $\langle \text{conflict-opt } S \ T \rangle$ 
    apply (rule conflict-opt.intros)
    subgoal using ocdcl-pruning by (auto simp: oconflict-opt.simps)
    subgoal using ocdcl-pruning by (auto simp: oconflict-opt.simps)
    done
qed

```

```

lemma improve-improvep:
  assumes imp:  $\langle \text{improve } S \ T \rangle$  and
    inv:  $\langle \text{cdcl}_W\text{-restart-mset.cdcl}_W\text{-all-struct-inv } (\text{abs-state } S) \rangle$ 
  shows  $\langle \text{improvep } S \ T \rangle$ 
proof -
  have alien:  $\langle \text{cdcl}_W\text{-restart-mset.no-strange-atm } (\text{abs-state } S) \rangle$  and
    lev:  $\langle \text{cdcl}_W\text{-restart-mset.cdcl}_W\text{-M-level-inv } (\text{abs-state } S) \rangle$ 
    using inv unfolding cdcl}_W\text{-restart-mset.cdcl}_W\text{-all-struct-inv-def}
    by fast+
  have incl:  $\langle \text{atms-of } (\text{lit-of } \# \text{ mset } (\text{trail } S)) \subseteq \text{atms-of-mm } (\text{init-clss } S) \rangle$ 

```

```

using alien unfolding cdclW-restart-mset.no-strange-atm-def
by (auto simp: abs-state-def cdclW-restart-mset-state lits-of-def image-image atms-of-def)
have dist:  $\langle \text{distinct-mset } (\text{lit-of } \# \text{ mset } (\text{trail } S)) \rangle$  and
cons:  $\langle \text{consistent-interp } (\text{set } (\text{map lit-of } (\text{trail } S))) \rangle$  and
tauto:  $\langle \neg \text{tautology } (\text{lit-of } \# \text{ mset } (\text{trail } S)) \rangle$  and
nd:  $\langle \text{no-dup } (\text{trail } S) \rangle$ 
using lev unfolding cdclW-restart-mset.cdclW-M-level-inv-def
by (auto simp: abs-state-def cdclW-restart-mset-state lits-of-def image-image atms-of-def
  dest: no-dup-map-lit-of no-dup-distinct no-dup-not-tautology)
have  $\langle \text{lit-of } \# \text{ mset } (\text{trail } S) \in \text{simple-clss } (\text{atms-of-mm } (\text{init-clss } S)) \rangle$ 
using dist incl tauto by (auto simp: simple-clss-def)
have tot':  $\langle \text{total-over-m } (\text{lits-of-l } (\text{trail } S)) (\text{set-mset } (\text{init-clss } S)) \rangle$  and
confl:  $\langle \text{conflicting } S = \text{None} \rangle$  and
T:  $\langle T \sim \text{update-weight-information } (\text{trail } S) S \rangle$ 
using imp nd by (auto simp: is-improving-int-def improve.simps)
have M':  $\langle \varrho (\text{lit-of } \# \text{ mset } M') = \varrho (\text{lit-of } \# \text{ mset } (\text{trail } S)) \rangle$ 
if  $\langle \text{total-over-m } (\text{lits-of-l } M') (\text{set-mset } (\text{init-clss } S)) \rangle$  and
incl:  $\langle \text{mset } (\text{trail } S) \subseteq \# \text{ mset } M' \rangle$  and
 $\langle \text{lit-of } \# \text{ mset } M' \in \text{simple-clss } (\text{atms-of-mm } (\text{init-clss } S)) \rangle$ 
for M'
proof –
have [simp]:  $\langle \text{lits-of-l } M' = \text{set-mset } (\text{lit-of } \# \text{ mset } M') \rangle$ 
by (auto simp: lits-of-def)
obtain A where A:  $\langle \text{mset } M' = A + \text{mset } (\text{trail } S) \rangle$ 
using incl by (auto simp: mset-subset-eq-exists-conv)
have M':  $\langle \text{lits-of-l } M' = \text{lit-of } \# \text{ set-mset } A \cup \text{lits-of-l } (\text{trail } S) \rangle$ 
unfolding lits-of-def
by (metis A image-Un set-mset-mset set-mset-union)
have  $\langle \text{mset } M' = \text{mset } (\text{trail } S) \rangle$ 
using that tot' unfolding A total-over-m-alt-def
apply (case-tac A)
apply (auto simp: A simple-clss-def distinct-mset-add M' image-Un
  tautology-union mset-inter-empty-set-mset atms-of-def atms-of-s-def
  atm-of-in-atm-of-set-iff-in-set-or-uminus-in-set image-image
  tautology-add-mset)
by (metis (no-types, lifting) atm-of-in-atm-of-set-iff-in-set-or-uminus-in-set
  lits-of-def subsetCE)
then show ?thesis
by auto
qed

```

```

have  $\langle \text{lit-of } \# \text{ mset } (\text{trail } S) \in \text{simple-clss } (\text{atms-of-mm } (\text{init-clss } S)) \rangle$ 
using tauto dist incl by (auto simp: simple-clss-def)
then have improving:  $\langle \text{is-improving } (\text{trail } S) (\text{trail } S) S \rangle$  and
 $\langle \text{total-over-m } (\text{lits-of-l } (\text{trail } S)) (\text{set-mset } (\text{init-clss } S)) \rangle$ 
using imp nd by (auto simp: is-improving-int-def improve.simps intro: M')

```

```

show  $\langle \text{improvep } S T \rangle$ 
by (rule improvep.intros[OF improving confl T])
qed

```

```

lemma ocdclw-cdcl-bnb:
assumes  $\langle \text{ocdcl}_w S T \rangle$  and
inv:  $\langle \text{cdcl}_W\text{-restart-mset.cdcl}_W\text{-all-struct-inv } (\text{abs-state } S) \rangle$ 
shows  $\langle \text{cdcl-bnb } S T \rangle$ 
using assms by (cases) (auto intro: cdcl-bnb.intros dest: pruning-conflict-opt)

```

*ocdcl-conflict-opt-conflict-opt improve-improvep*)

**lemma** *ocdcl<sub>w</sub>-stgy-cdcl-bnb-stgy*:  
**assumes**  $\langle \text{ocdcl}_w\text{-stgy } S \ T \rangle$  **and**  
*inv*:  $\langle \text{cdcl}_W\text{-restart-mset.cdcl}_W\text{-all-struct-inv } (\text{abs-state } S) \rangle$   
**shows**  $\langle \text{cdcl-bnb-stgy } S \ T \rangle$   
**using** *assms* **by** (*cases*)  
*(auto intro: cdcl-bnb-stgy.intros dest: pruning-conflict-opt improve-improvep)*

**lemma** *rtrancpl-ocdcl<sub>w</sub>-stgy-rtrancpl-cdcl-bnb-stgy*:  
**assumes**  $\langle \text{ocdcl}_w\text{-stgy}^{**} S \ T \rangle$  **and**  
*inv*:  $\langle \text{cdcl}_W\text{-restart-mset.cdcl}_W\text{-all-struct-inv } (\text{abs-state } S) \rangle$   
**shows**  $\langle \text{cdcl-bnb-stgy}^{**} S \ T \rangle$   
**using** *assms*  
**by** (*induction rule: rtrancpl-induct*)  
*(auto dest: rtrancpl-cdcl-bnb-stgy-all-struct-inv[OF rtrancpl-cdcl-bnb-stgy-cdcl-bnb]*  
*ocdcl<sub>w</sub>-stgy-cdcl-bnb-stgy)*

**lemma** *no-step-ocdcl<sub>w</sub>-no-step-cdcl-bnb*:  
**assumes**  $\langle \text{no-step ocdcl}_w \ S \rangle$  **and**  
*inv*:  $\langle \text{cdcl}_W\text{-restart-mset.cdcl}_W\text{-all-struct-inv } (\text{abs-state } S) \rangle$   
**shows**  $\langle \text{no-step cdcl-bnb } S \rangle$

**proof** –

**have**

*nsc*:  $\langle \text{no-step conflict } S \rangle$  **and**

*nsp*:  $\langle \text{no-step propagate } S \rangle$  **and**

*nsi*:  $\langle \text{no-step improve } S \rangle$  **and**

*nsco*:  $\langle \text{no-step oconflict-opt } S \rangle$  **and**

*nso*:  $\langle \text{no-step ocdcl}_W\text{-o } S \rangle$  **and**

*nspr*:  $\langle \text{no-step pruning } S \rangle$

**using** *assms*(1) **by** (*auto simp: cdcl-bnb.simps ocdcl<sub>w</sub>.simps*)

**have** *alien*:  $\langle \text{cdcl}_W\text{-restart-mset.no-strange-atm } (\text{abs-state } S) \rangle$  **and**

*lev*:  $\langle \text{cdcl}_W\text{-restart-mset.cdcl}_W\text{-M-level-inv } (\text{abs-state } S) \rangle$

**using** *inv* **unfolding** *cdcl<sub>W</sub>-restart-mset.cdcl<sub>W</sub>-all-struct-inv-def*

**by** *fast+*

**have** *incl*:  $\langle \text{atms-of } (\text{lit-of } \# \text{ mset } (\text{trail } S)) \subseteq \text{atms-of-mm } (\text{init-clss } S) \rangle$

**using** *alien* **unfolding** *cdcl<sub>W</sub>-restart-mset.no-strange-atm-def*

**by** (*auto simp: abs-state-def cdcl<sub>W</sub>-restart-mset-state lits-of-def image-image atms-of-def*)

**have** *dist*:  $\langle \text{distinct-mset } (\text{lit-of } \# \text{ mset } (\text{trail } S)) \rangle$  **and**

*cons*:  $\langle \text{consistent-interp } (\text{set } (\text{map lit-of } (\text{trail } S))) \rangle$  **and**

*tauto*:  $\langle \neg \text{tautology } (\text{lit-of } \# \text{ mset } (\text{trail } S)) \rangle$  **and**

*n-d*:  $\langle \text{no-dup } (\text{trail } S) \rangle$

**using** *lev* **unfolding** *cdcl<sub>W</sub>-restart-mset.cdcl<sub>W</sub>-M-level-inv-def*

**by** (*auto simp: abs-state-def cdcl<sub>W</sub>-restart-mset-state lits-of-def image-image atms-of-def*

*dest: no-dup-map-lit-of no-dup-distinct no-dup-not-tautology*)

**have** *nsip*: *False* **if** *imp*:  $\langle \text{improvep } S \ S' \rangle$  **for** *S'*

**proof** –

**obtain** *M'* **where**

[*simp*]:  $\langle \text{conflicting } S = \text{None} \rangle$  **and**

*is-improving*:

$\langle \bigwedge M'. \text{total-over-m } (\text{lits-of-l } M') \ (\text{set-mset } (\text{init-clss } S)) \longrightarrow$

$\text{mset } (\text{trail } S) \subseteq \# \text{ mset } M' \longrightarrow$

$\text{lit-of } \# \text{ mset } M' \in \text{simple-clss } (\text{atms-of-mm } (\text{init-clss } S)) \longrightarrow$

$\varrho \ (\text{lit-of } \# \text{ mset } M') = \varrho \ (\text{lit-of } \# \text{ mset } (\text{trail } S)) \rangle$  **and**



```

  S':  $\langle S' \sim \text{update-weight-information } M' S \rangle$ 
  using imp by (auto simp: improvep.simps is-improving-int-def)
have 1:  $\langle \neg \varrho' (\text{weight } S) \leq \text{Found } (\varrho (\text{lit-of } \# \text{ mset } (\text{trail } S))) \rangle$ 
  using nsco
  by (auto simp: is-improving-int-def oconflict-opt.simps)
have 2:  $\langle \text{total-over-m } (\text{lits-of-l } (\text{trail } S)) (\text{set-mset } (\text{init-clss } S)) \rangle$ 
proof (rule ccontr)
  assume  $\langle \neg ?thesis \rangle$ 
  then obtain A where
     $\langle A \in \text{atms-of-mm } (\text{init-clss } S) \rangle$  and
     $\langle A \notin \text{atms-of-s } (\text{lits-of-l } (\text{trail } S)) \rangle$ 
  by (auto simp: total-over-m-def total-over-set-def)
  then show  $\langle \text{False} \rangle$ 
    using decide-rule[of S  $\langle \text{Pos } A \rangle$ , OF - - - state-eq-ref] nso
    by (auto simp: Decided-Propagated-in-iff-in-lits-of-l ocdclW-o.simps)
qed
have 3:  $\langle \text{trail } S \models_{\text{asm}} \text{init-clss } S \rangle$ 
  unfolding true-annots-def
proof clarify
  fix C
  assume C:  $\langle C \in \# \text{ init-clss } S \rangle$ 
  have  $\langle \text{total-over-m } (\text{lits-of-l } (\text{trail } S)) \{C\} \rangle$ 
    using 2 C by (auto dest!: multi-member-split)
  moreover have  $\langle \neg \text{trail } S \models_{\text{as}} \text{CNot } C \rangle$ 
    using C nsc conflict-rule[of S C, OF - - - state-eq-ref]
    by (auto simp: clauses-def dest!: multi-member-split)
  ultimately show  $\langle \text{trail } S \models_a C \rangle$ 
    using total-not-CNot[of  $\langle \text{lits-of-l } (\text{trail } S) \rangle$  C] unfolding true-annots-true-cls true-annot-def
    by auto
qed
have 4:  $\langle \text{lit-of } \# \text{ mset } (\text{trail } S) \in \text{simple-clss } (\text{atms-of-mm } (\text{init-clss } S)) \rangle$ 
  using tauto cons incl dist by (auto simp: simple-clss-def)
have  $\langle \text{improve } S (\text{update-weight-information } (\text{trail } S) S) \rangle$ 
  by (rule improve.intros[OF 2 - 3]) (use 1 2 in auto)
then show False
  using nsi by auto
qed
moreover have False if  $\langle \text{conflict-opt } S S' \rangle$  for S'
proof -
  have [simp]:  $\langle \text{conflicting } S = \text{None} \rangle$ 
    using that by (auto simp: conflict-opt.simps)
  have 1:  $\langle \neg \varrho' (\text{weight } S) \leq \text{Found } (\varrho (\text{lit-of } \# \text{ mset } (\text{trail } S))) \rangle$ 
    using nsco
    by (auto simp: is-improving-int-def oconflict-opt.simps)
  have 2:  $\langle \text{total-over-m } (\text{lits-of-l } (\text{trail } S)) (\text{set-mset } (\text{init-clss } S)) \rangle$ 
proof (rule ccontr)
  assume  $\langle \neg ?thesis \rangle$ 
  then obtain A where
     $\langle A \in \text{atms-of-mm } (\text{init-clss } S) \rangle$  and
     $\langle A \notin \text{atms-of-s } (\text{lits-of-l } (\text{trail } S)) \rangle$ 
  by (auto simp: total-over-m-def total-over-set-def)
  then show  $\langle \text{False} \rangle$ 
    using decide-rule[of S  $\langle \text{Pos } A \rangle$ , OF - - - state-eq-ref] nso
    by (auto simp: Decided-Propagated-in-iff-in-lits-of-l ocdclW-o.simps)
qed
have 3:  $\langle \text{trail } S \models_{\text{asm}} \text{init-clss } S \rangle$ 

```

```

unfolding true-annots-def
proof clarify
  fix C
  assume C:  $\langle C \in \# \text{ init-clss } S \rangle$ 
  have  $\langle \text{total-over-m } (\text{lits-of-l } (\text{trail } S)) \{C\} \rangle$ 
    using 2 C by (auto dest!: multi-member-split)
  moreover have  $\langle \neg \text{trail } S \models_{as} CNot \ C \rangle$ 
    using C nsc conflict-rule[of S C, OF - - state-eq-ref]
    by (auto simp: clauses-def dest!: multi-member-split)
  ultimately show  $\langle \text{trail } S \models_a C \rangle$ 
    using total-not-CNot[of  $\langle \text{lits-of-l } (\text{trail } S) \rangle$  C] unfolding true-annots-true-cls true-annot-def
    by auto
qed
have 4:  $\langle \text{lit-of } \# \text{ mset } (\text{trail } S) \in \text{simple-clss } (\text{atms-of-mm } (\text{init-clss } S)) \rangle$ 
  using tauto cons incl dist by (auto simp: simple-clss-def)

have [intro]:  $\langle \varrho (\text{lit-of } \# \text{ mset } M') = \varrho (\text{lit-of } \# \text{ mset } (\text{trail } S)) \rangle$ 
  if
     $\langle \text{lit-of } \# \text{ mset } (\text{trail } S) \in \text{simple-clss } (\text{atms-of-mm } (\text{init-clss } S)) \rangle$  and
     $\langle \text{atms-of } (\text{lit-of } \# \text{ mset } (\text{trail } S)) \subseteq \text{atms-of-mm } (\text{init-clss } S) \rangle$  and
     $\langle \text{no-dup } (\text{trail } S) \rangle$  and
     $\langle \text{total-over-m } (\text{lits-of-l } M') (\text{set-mset } (\text{init-clss } S)) \rangle$  and
     $\text{incl: } \langle \text{mset } (\text{trail } S) \subseteq \# \text{ mset } M' \rangle$  and
     $\langle \text{lit-of } \# \text{ mset } M' \in \text{simple-clss } (\text{atms-of-mm } (\text{init-clss } S)) \rangle$ 
  for  $M' :: \langle ('v \text{ literal}, 'v \text{ literal}, 'v \text{ literal multiset}) \text{ annotated-lit list} \rangle$ 
proof -
  have [simp]:  $\langle \text{lits-of-l } M' = \text{set-mset } (\text{lit-of } \# \text{ mset } M') \rangle$ 
    by (auto simp: lits-of-def)
  obtain A where A:  $\langle \text{mset } M' = A + \text{mset } (\text{trail } S) \rangle$ 
    using incl by (auto simp: mset-subset-eq-exists-conv)
  have M':  $\langle \text{lits-of-l } M' = \text{lit-of } \# \text{ set-mset } A \cup \text{lits-of-l } (\text{trail } S) \rangle$ 
    unfolding lits-of-def
    by (metis A image-Un set-mset-mset set-mset-union)
  have  $\langle \text{mset } M' = \text{mset } (\text{trail } S) \rangle$ 
    using that 2 unfolding A total-over-m-alt-def
    apply (case-tac A)
    apply (auto simp: A simple-clss-def distinct-mset-add M' image-Un
      tautology-union mset-inter-empty-set-mset atms-of-def atms-of-s-def
      atm-of-in-atm-of-set-iff-in-set-or-uminus-in-set image-image
      tautology-add-mset)
    by (metis (no-types, lifting) atm-of-in-atm-of-set-iff-in-set-or-uminus-in-set
      lits-of-def subsetCE)
  then show ?thesis
    using 2 by auto
qed
have imp:  $\langle \text{is-improving } (\text{trail } S) (\text{trail } S) S \rangle$ 
  using 1 2 3 4 incl n-d unfolding is-improving-int-def
  by (auto simp: oconflict-opt.simps)

show  $\langle \text{False} \rangle$ 
  using trail-is-improving-Ex-improve[of S, OF - imp] nsip
  by auto
qed
ultimately show ?thesis
  using nsc nsp nsi nsco nso nsp nspr
  by (auto simp: cdcl-bnb.simps)

```

qed

**lemma** *all-struct-init-state-distinct-iff*:

$\langle \text{cdcl}_W\text{-restart-mset.cdcl}_W\text{-all-struct-inv } (\text{abs-state } (\text{init-state } N)) \longleftrightarrow \text{distinct-mset-mset } N \rangle$

**unfolding** *init-state.simps*[*symmetric*]

**by** (*auto simp*: *cdcl<sub>W</sub>-restart-mset.cdcl<sub>W</sub>-all-struct-inv-def*  
*cdcl<sub>W</sub>-restart-mset.distinct-cdcl<sub>W</sub>-state-def*  
*cdcl<sub>W</sub>-restart-mset.no-strange-atm-def*  
*cdcl<sub>W</sub>-restart-mset.cdcl<sub>W</sub>-M-level-inv-def*  
*cdcl<sub>W</sub>-restart-mset.cdcl<sub>W</sub>-conflicting-def*  
*cdcl<sub>W</sub>-restart-mset.cdcl<sub>W</sub>-learned-clause-alt-def*  
*abs-state-def cdcl<sub>W</sub>-restart-mset-state*)

**lemma** *no-step-ocdcl<sub>w</sub>-stgy-no-step-cdcl-bnb-stgy*:

**assumes**  $\langle \text{no-step ocdcl}_w\text{-stgy } S \rangle$  **and**

*inv*:  $\langle \text{cdcl}_W\text{-restart-mset.cdcl}_W\text{-all-struct-inv } (\text{abs-state } S) \rangle$

**shows**  $\langle \text{no-step cdcl-bnb-stgy } S \rangle$

**using** *assms no-step-ocdcl<sub>w</sub>-no-step-cdcl-bnb*[*of S*]

**by** (*auto simp*: *ocdcl<sub>w</sub>-stgy.simps ocdcl<sub>w</sub>.simps cdcl-bnb.simps cdcl-bnb-stgy.simps*  
*dest: ocdcl-conflict-opt-conflict-opt pruning-conflict-opt*)

**lemma** *full-ocdcl<sub>w</sub>-stgy-full-cdcl-bnb-stgy*:

**assumes**  $\langle \text{full ocdcl}_w\text{-stgy } S \text{ } T \rangle$  **and**

*inv*:  $\langle \text{cdcl}_W\text{-restart-mset.cdcl}_W\text{-all-struct-inv } (\text{abs-state } S) \rangle$

**shows**  $\langle \text{full cdcl-bnb-stgy } S \text{ } T \rangle$

**using** *assms rtrancpl-ocdcl<sub>w</sub>-stgy-rtrancpl-cdcl-bnb-stgy*[*of S T*]

*no-step-ocdcl<sub>w</sub>-stgy-no-step-cdcl-bnb-stgy*[*of T*]

**unfolding** *full-def*

**by** (*auto dest*: *rtrancpl-cdcl-bnb-stgy-all-struct-inv*[*OF rtrancpl-cdcl-bnb-stgy-cdcl-bnb*])

**corollary** *full-ocdcl<sub>w</sub>-stgy-no-conflicting-clause-from-init-state*:

**assumes**

*st*:  $\langle \text{full ocdcl}_w\text{-stgy } (\text{init-state } N) \text{ } T \rangle$  **and**

*dist*:  $\langle \text{distinct-mset-mset } N \rangle$

**shows**

$\langle \text{weight } T = \text{None} \implies \text{unsatisfiable } (\text{set-mset } N) \rangle$  **and**

$\langle \text{weight } T \neq \text{None} \implies \text{model-on } (\text{set-mset } (\text{the } (\text{weight } T))) \text{ } N \wedge \text{set-mset } (\text{the } (\text{weight } T)) \models_{sm} N$

$\wedge$

$\langle \text{distinct-mset } (\text{the } (\text{weight } T)) \rangle$  **and**

$\langle \text{distinct-mset } I \implies \text{consistent-interp } (\text{set-mset } I) \implies \text{atms-of } I = \text{atms-of-mm } N \implies \text{set-mset } I \models_{sm} N \implies \text{Found } (\varrho \text{ } I) \geq \varrho' (\text{weight } T) \rangle$

**using** *full-cdcl-bnb-stgy-no-conflicting-clause-from-init-state*[*of N T*,

*OF full-ocdcl<sub>w</sub>-stgy-full-cdcl-bnb-stgy*[*OF st*] *dist*] *dist*

**by** (*auto simp*: *all-struct-init-state-distinct-iff model-on-def*

*dest: multi-member-split*)

**lemma** *wf-ocdcl<sub>w</sub>*:

$\langle \text{wf } \{(T, S). \text{cdcl}_W\text{-restart-mset.cdcl}_W\text{-all-struct-inv } (\text{abs-state } S) \wedge \text{ocdcl}_w \text{ } S \text{ } T\} \rangle$

**by** (*rule wf-subset*[*OF wf-cdcl-bnb2*]) (*auto dest: ocdcl<sub>w</sub>-cdcl-bnb*)

## Calculus with generalised Improve rule

Now a version with the more general improve rule:

**inductive**  $ocdcl_w-p :: \langle 'st \Rightarrow 'st \Rightarrow bool \rangle$  **for**  $S :: 'st$  **where**

$ocdcl\_conflict: conflict\ S\ S' \Longrightarrow ocdcl_w-p\ S\ S' \mid$   
 $ocdcl\_propagate: propagate\ S\ S' \Longrightarrow ocdcl_w-p\ S\ S' \mid$   
 $ocdcl\_improve: improvep\ S\ S' \Longrightarrow ocdcl_w-p\ S\ S' \mid$   
 $ocdcl\_conflict-opt: oconflict-opt\ S\ S' \Longrightarrow ocdcl_w-p\ S\ S' \mid$   
 $ocdcl\_other': ocdcl_W-o\ S\ S' \Longrightarrow ocdcl_w-p\ S\ S' \mid$   
 $ocdcl\_pruning: pruning\ S\ S' \Longrightarrow ocdcl_w-p\ S\ S'$

**inductive**  $ocdcl_w-p-stgy :: \langle 'st \Rightarrow 'st \Rightarrow bool \rangle$  **for**  $S :: 'st$  **where**

$ocdcl_w-p-conflict: conflict\ S\ S' \Longrightarrow ocdcl_w-p-stgy\ S\ S' \mid$   
 $ocdcl_w-p-propagate: propagate\ S\ S' \Longrightarrow ocdcl_w-p-stgy\ S\ S' \mid$   
 $ocdcl_w-p-improve: improvep\ S\ S' \Longrightarrow ocdcl_w-p-stgy\ S\ S' \mid$   
 $ocdcl_w-p-conflict-opt: conflict-opt\ S\ S' \Longrightarrow ocdcl_w-p-stgy\ S\ S' \mid$   
 $ocdcl_w-p-pruning: pruning\ S\ S' \Longrightarrow ocdcl_w-p-stgy\ S\ S' \mid$   
 $ocdcl_w-p-other': ocdcl_W-o\ S\ S' \Longrightarrow no-conflict-prop-impr\ S \Longrightarrow ocdcl_w-p-stgy\ S\ S'$

**lemma**  $ocdcl_w-p-cdcl-bnb$ :

**assumes**  $\langle ocdcl_w-p\ S\ T \rangle$  **and**

$inv: \langle cdcl_W-restart-mset.cdcl_W-all-struct-inv\ (abs-state\ S) \rangle$

**shows**  $\langle cdcl-bnb\ S\ T \rangle$

**using** *assms* **by** (*cases*) (*auto intro: cdcl-bnb.intros dest: pruning-conflict-opt ocdcl-conflict-opt-conflict-opt*)

**lemma**  $ocdcl_w-p-stgy-cdcl-bnb-stgy$ :

**assumes**  $\langle ocdcl_w-p-stgy\ S\ T \rangle$  **and**

$inv: \langle cdcl_W-restart-mset.cdcl_W-all-struct-inv\ (abs-state\ S) \rangle$

**shows**  $\langle cdcl-bnb-stgy\ S\ T \rangle$

**using** *assms* **by** (*cases*) (*auto intro: cdcl-bnb-stgy.intros dest: pruning-conflict-opt*)

**lemma**  $rtrancp-ocdcl_w-p-stgy-rtrancp-cdcl-bnb-stgy$ :

**assumes**  $\langle ocdcl_w-p-stgy^{**}\ S\ T \rangle$  **and**

$inv: \langle cdcl_W-restart-mset.cdcl_W-all-struct-inv\ (abs-state\ S) \rangle$

**shows**  $\langle cdcl-bnb-stgy^{**}\ S\ T \rangle$

**using** *assms*

**by** (*induction rule: rtrancp-induct*)

(*auto dest: rtrancp-cdcl-bnb-stgy-all-struct-inv[OF rtrancp-cdcl-bnb-stgy-cdcl-bnb] ocdcl\_w-p-stgy-cdcl-bnb-stgy*)

**lemma**  $no-step-ocdcl_w-p-no-step-cdcl-bnb$ :

**assumes**  $\langle no-step\ ocdcl_w-p\ S \rangle$  **and**

$inv: \langle cdcl_W-restart-mset.cdcl_W-all-struct-inv\ (abs-state\ S) \rangle$

**shows**  $\langle no-step\ cdcl-bnb\ S \rangle$

**proof** —

**have**

$nsc: \langle no-step\ conflict\ S \rangle$  **and**

$nsp: \langle no-step\ propagate\ S \rangle$  **and**

$nsi: \langle no-step\ improvep\ S \rangle$  **and**

$nsco: \langle no-step\ oconflict-opt\ S \rangle$  **and**

$nso: \langle no-step\ ocdcl_W-o\ S \rangle$  **and**

$nspr: \langle no-step\ pruning\ S \rangle$

**using** *assms(1)* **by** (*auto simp: cdcl-bnb.simps ocdcl\_w-p.simps*)

**have** *alien*:  $\langle cdcl_W-restart-mset.no-strange-atm\ (abs-state\ S) \rangle$  **and**

*lev*:  $\langle cdcl_W-restart-mset.cdcl_W-M-level-inv\ (abs-state\ S) \rangle$

**using** *inv* **unfolding**  $cdcl_W-restart-mset.cdcl_W-all-struct-inv-def$

**by** *fast+*

```

have incl: ⟨atms-of (lit-of '# mset (trail S)) ⊆ atms-of-mm (init-clss S)⟩
  using alien unfolding cdclW-restart-mset.no-strange-atm-def
  by (auto simp: abs-state-def cdclW-restart-mset-state lits-of-def image-image atms-of-def)
have dist: ⟨distinct-mset (lit-of '# mset (trail S))⟩ and
  cons: ⟨consistent-interp (set (map lit-of (trail S)))⟩ and
  tauto: ⟨¬tautology (lit-of '# mset (trail S))⟩ and
  n-d: ⟨no-dup (trail S)⟩
  using lev unfolding cdclW-restart-mset.cdclW-M-level-inv-def
  by (auto simp: abs-state-def cdclW-restart-mset-state lits-of-def image-image atms-of-def
    dest: no-dup-map-lit-of no-dup-distinct no-dup-not-tautology)

have False if ⟨conflict-opt S S'⟩ for S'
proof –
  have [simp]: ⟨conflicting S = None⟩
    using that by (auto simp: conflict-opt.simps)
  have 1: ⟨¬ ρ' (weight S) ≤ Found (ρ (lit-of '# mset (trail S)))⟩
    using nsco
    by (auto simp: is-improving-int-def oconflict-opt.simps)
  have 2: ⟨total-over-m (lits-of-l (trail S)) (set-mset (init-clss S))⟩
proof (rule ccontr)
  assume ⟨¬ ?thesis⟩
  then obtain A where
    ⟨A ∈ atms-of-mm (init-clss S)⟩ and
    ⟨A ∉ atms-of-s (lits-of-l (trail S))⟩
    by (auto simp: total-over-m-def total-over-set-def)
  then show ⟨False⟩
    using decide-rule[of S ⟨Pos A⟩, OF - - - state-eq-ref] nso
    by (auto simp: Decided-Propagated-in-iff-in-lits-of-l ocdclW-o.simps)
  qed
  have 3: ⟨trail S ⊨asm init-clss S⟩
    unfolding true-annots-def
proof clarify
  fix C
  assume C: ⟨C ∈# init-clss S⟩
  have ⟨total-over-m (lits-of-l (trail S)) {C}⟩
    using 2 C by (auto dest!: multi-member-split)
  moreover have ⟨¬ trail S ⊨as CNot C⟩
    using C nsc conflict-rule[of S C, OF - - - state-eq-ref]
    by (auto simp: clauses-def dest!: multi-member-split)
  ultimately show ⟨trail S ⊨a C⟩
    using total-not-CNot[of ⟨lits-of-l (trail S)⟩ C] unfolding true-annots-true-clss true-annot-def
    by auto
  qed
  have 4: ⟨lit-of '# mset (trail S) ∈ simple-clss (atms-of-mm (init-clss S))⟩
    using tauto cons incl dist by (auto simp: simple-clss-def)

have [intro]: ⟨ρ (lit-of '# mset M') = ρ (lit-of '# mset (trail S))⟩
  if
    ⟨lit-of '# mset (trail S) ∈ simple-clss (atms-of-mm (init-clss S))⟩ and
    ⟨atms-of (lit-of '# mset (trail S)) ⊆ atms-of-mm (init-clss S)⟩ and
    ⟨no-dup (trail S)⟩ and
    ⟨total-over-m (lits-of-l M') (set-mset (init-clss S))⟩ and
    incl: ⟨mset (trail S) ⊆# mset M'⟩ and
    ⟨lit-of '# mset M' ∈ simple-clss (atms-of-mm (init-clss S))⟩
  for M' :: ⟨('v literal, 'v literal, 'v literal multiset) annotated-lit list⟩
proof –

```

```

have [simp]:  $\langle \text{lits-of-l } M' = \text{set-mset } (\text{lit-of } \text{'\# mset } M') \rangle$ 
  by (auto simp: lits-of-def)
obtain A where A:  $\langle \text{mset } M' = A + \text{mset } (\text{trail } S) \rangle$ 
  using incl by (auto simp: mset-subset-eq-exists-conv)
have M':  $\langle \text{lits-of-l } M' = \text{lit-of } \text{' set-mset } A \cup \text{lits-of-l } (\text{trail } S) \rangle$ 
  unfolding lits-of-def
  by (metis A image-Un set-mset-mset set-mset-union)
have  $\langle \text{mset } M' = \text{mset } (\text{trail } S) \rangle$ 
  using that 2 unfolding A total-over-m-alt-def
  apply (case-tac A)
  apply (auto simp: A simple-cls-def distinct-mset-add M' image-Un
    tautology-union mset-inter-empty-set-mset atms-of-def atms-of-s-def
    atm-of-in-atm-of-set-iff-in-set-or-uminus-in-set image-image
    tautology-add-mset)
  by (metis (no-types, lifting) atm-of-in-atm-of-set-iff-in-set-or-uminus-in-set
    lits-of-def subsetCE)
then show ?thesis
  using 2 by auto
qed
have imp:  $\langle \text{is-improving } (\text{trail } S) (\text{trail } S) S \rangle$ 
  using 1 2 3 4 incl n-d unfolding is-improving-int-def
  by (auto simp: oconflict-opt.simps)

show  $\langle \text{False} \rangle$ 
  using trail-is-improving-Ex-improve[of S, OF - imp] nsi by auto
qed
then show ?thesis
  using nsc nsp nsi nsco nso nsp nspr
  by (auto simp: cdcl-bnb.simps)
qed

lemma no-step-ocdclw-p-stgy-no-step-cdcl-bnb-stgy:
  assumes  $\langle \text{no-step ocdcl}_w\text{-p-stgy } S \rangle$  and
    inv:  $\langle \text{cdcl}_W\text{-restart-mset.cdcl}_W\text{-all-struct-inv } (\text{abs-state } S) \rangle$ 
  shows  $\langle \text{no-step cdcl-bnb-stgy } S \rangle$ 
  using assms no-step-ocdclw-p-no-step-cdcl-bnb[of S]
  by (auto simp: ocdclw-p-stgy.simps ocdclw-p.simps
    cdcl-bnb.simps cdcl-bnb-stgy.simps)

lemma full-ocdclw-p-stgy-full-cdcl-bnb-stgy:
  assumes  $\langle \text{full ocdcl}_w\text{-p-stgy } S T \rangle$  and
    inv:  $\langle \text{cdcl}_W\text{-restart-mset.cdcl}_W\text{-all-struct-inv } (\text{abs-state } S) \rangle$ 
  shows  $\langle \text{full cdcl-bnb-stgy } S T \rangle$ 
  using assms rtrancp-ocdclw-p-stgy-rtrancp-cdcl-bnb-stgy[of S T]
    no-step-ocdclw-p-stgy-no-step-cdcl-bnb-stgy[of T]
  unfolding full-def
  by (auto dest: rtrancp-cdcl-bnb-stgy-all-struct-inv[OF rtrancp-cdcl-bnb-stgy-cdcl-bnb])

corollary full-ocdclw-p-stgy-no-conflicting-clause-from-init-state:
  assumes
    st:  $\langle \text{full ocdcl}_w\text{-p-stgy } (\text{init-state } N) T \rangle$  and
    dist:  $\langle \text{distinct-mset-mset } N \rangle$ 
  shows
     $\langle \text{weight } T = \text{None} \implies \text{unsatisfiable } (\text{set-mset } N) \rangle$  and
     $\langle \text{weight } T \neq \text{None} \implies \text{model-on } (\text{set-mset } (\text{the } (\text{weight } T))) N \wedge \text{set-mset } (\text{the } (\text{weight } T)) \models_{sm} N$ 
  ∧

```

*distinct-mset (the (weight T))* and  
 $\langle \text{distinct-mset } I \Rightarrow \text{consistent-interp (set-mset } I) \Rightarrow \text{atms-of } I = \text{atms-of-mm } N \Rightarrow \text{set-mset } I \models_{sm} N \Rightarrow \text{Found } (\varrho I) \geq \varrho' (\text{weight } T) \rangle$   
**using** *full-cdcl-bnb-stgy-no-conflicting-clause-from-init-state*[of  $N T$ ,  
*OF full-ocdcl<sub>w</sub>-p-stgy-full-cdcl-bnb-stgy*[*OF st*] *dist*] *dist*  
**by** (*auto simp: all-struct-init-state-distinct-iff model-on-def*  
*dest: multi-member-split*)

**lemma** *cdcl-bnb-stgy-no-smaller-propa:*

$\langle \text{cdcl-bnb-stgy } S T \Rightarrow \text{cdcl}_W\text{-restart-mset.cdcl}_W\text{-all-struct-inv (abs-state } S) \Rightarrow \text{no-smaller-propa } S \Rightarrow \text{no-smaller-propa } T \rangle$

**apply** (*induction rule: cdcl-bnb-stgy.induct*)

**subgoal**

**by** (*auto simp: no-smaller-propa-def propagated-cons-eq-append-decide-cons*  
*conflict.simps propagate.simps improvep.simps conflict-opt.simps*  
*ocdcl<sub>w</sub>-o.simps no-smaller-propa-tl cdcl-bnb-bj.simps*  
*elim!: rulesE*)

**subgoal**

**by** (*auto simp: no-smaller-propa-def propagated-cons-eq-append-decide-cons*  
*conflict.simps propagate.simps improvep.simps conflict-opt.simps*  
*ocdcl<sub>w</sub>-o.simps no-smaller-propa-tl cdcl-bnb-bj.simps*  
*elim!: rulesE*)

**subgoal**

**by** (*auto simp: no-smaller-propa-def propagated-cons-eq-append-decide-cons*  
*conflict.simps propagate.simps improvep.simps conflict-opt.simps*  
*ocdcl<sub>w</sub>-o.simps no-smaller-propa-tl cdcl-bnb-bj.simps*  
*elim!: rulesE*)

**subgoal**

**by** (*auto simp: no-smaller-propa-def propagated-cons-eq-append-decide-cons*  
*conflict.simps propagate.simps improvep.simps conflict-opt.simps*  
*ocdcl<sub>w</sub>-o.simps no-smaller-propa-tl cdcl-bnb-bj.simps*  
*elim!: rulesE*)

**subgoal for**  $T$

**apply** (*cases rule: ocdcl<sub>w</sub>-o.cases, assumption; thin-tac (ocdcl<sub>w</sub>-o  $S T$ )*)

**subgoal**

**using** *decide-no-smaller-step*[of  $S T$ ]  
**unfolding** *no-conflict-prop-impr.simps*  
**by** *auto*

**subgoal**

**apply** (*cases rule: cdcl-bnb-bj.cases, assumption; thin-tac (cdcl-bnb-bj  $S T$ )*)

**subgoal**

**using** *no-smaller-propa-tl*[of  $S T$ ]  
**by** (*auto elim: rulesE*)

**subgoal**

**using** *no-smaller-propa-tl*[of  $S T$ ]  
**by** (*auto elim: rulesE*)

**subgoal**

**using** *backtrackg-no-smaller-propa*[*OF obacktrack-backtrackg, of  $S T$* ]  
**unfolding** *cdcl<sub>w</sub>-restart-mset.cdcl<sub>w</sub>-all-struct-inv-def*  
*cdcl<sub>w</sub>-restart-mset.cdcl<sub>w</sub>-M-level-inv-def*  
*cdcl<sub>w</sub>-restart-mset.cdcl<sub>w</sub>-conflicting-def*  
**by** (*auto elim: obacktrackE*)

**done**

**done**

**done**

**lemma** *rtrancpl-cdcl-bnb-stgy-no-smaller-propa*:  
 $\langle \text{cdcl-bnb-stgy}^{**} S T \implies \text{cdcl}_W\text{-restart-mset.cdcl}_W\text{-all-struct-inv (abs-state } S) \implies$   
 $\text{no-smaller-propa } S \implies \text{no-smaller-propa } T \rangle$   
**by** (*induction rule: rtrancpl-induct*)  
*(use rtrancpl-cdcl-bnb-stgy-all-struct-inv*  
*rtrancpl-cdcl-bnb-stgy-cdcl-bnb in (force intro: cdcl-bnb-stgy-no-smaller-propa))*+

**lemma** *wf-ocdcl<sub>w</sub>-p*:  
 $\langle \text{wf } \{(T, S). \text{cdcl}_W\text{-restart-mset.cdcl}_W\text{-all-struct-inv (abs-state } S) \wedge \text{ocdcl}_w\text{-p } S T\} \rangle$   
**by** (*rule wf-subset[OF wf-cdcl-bnb2]*) (*auto dest: ocdcl<sub>w</sub>-p-cdcl-bnb*)

**end**

**end**

**theory** *CDCL-W-Partial-Encoding*  
**imports** *CDCL-W-Optimal-Model*  
**begin**

### 0.1.2 Encoding of partial SAT into total SAT

As a way to make sure we don't reuse theorems names:

**interpretation** *test: conflict-driven-clause-learning<sub>W</sub>-optimal-weight* **where**  
 $\text{state-eq} = \langle (=) \rangle$  **and**  
 $\text{state} = \text{id}$  **and**  
 $\text{trail} = \langle \lambda(M, N, U, D, W). M \rangle$  **and**  
 $\text{init-clss} = \langle \lambda(M, N, U, D, W). N \rangle$  **and**  
 $\text{learned-clss} = \langle \lambda(M, N, U, D, W). U \rangle$  **and**  
 $\text{conflicting} = \langle \lambda(M, N, U, D, W). D \rangle$  **and**  
 $\text{cons-trail} = \langle \lambda K (M, N, U, D, W). (K \# M, N, U, D, W) \rangle$  **and**  
 $\text{tl-trail} = \langle \lambda(M, N, U, D, W). (\text{tl } M, N, U, D, W) \rangle$  **and**  
 $\text{add-learned-cls} = \langle \lambda C (M, N, U, D, W). (M, N, \text{add-mset } C U, D, W) \rangle$  **and**  
 $\text{remove-cls} = \langle \lambda C (M, N, U, D, W). (M, \text{removeAll-mset } C N, \text{removeAll-mset } C U, D, W) \rangle$  **and**  
 $\text{update-conflicting} = \langle \lambda C (M, N, U, -, W). (M, N, U, C, W) \rangle$  **and**  
 $\text{init-state} = \langle \lambda N. ([], N, \{\#\}, \text{None}, \text{None}, ()) \rangle$  **and**  
 $\varrho = \langle \lambda -. 0 \rangle$  **and**  
 $\text{update-additional-info} = \langle \lambda W (M, N, U, D, -, -). (M, N, U, D, W) \rangle$   
**by** *unfold-locale (auto simp: state<sub>W</sub>-ops.additional-info-def)*

We here formalise the encoding from a formula to another formula from which we will use to derive the optimal partial model.

While the proofs are still inspired by Dominic Zimmer's upcoming bachelor thesis, we now use the dual rail encoding, which is more elegant than the solution found by Christoph to solve the problem.

The intended meaning is the following:

- $\Sigma$  is the set of all variables
- $\Delta\Sigma$  is the set of all variables with a (possibly non-zero) weight: These are the variable that needs to be replaced during encoding, but it does not matter if the weight 0.

**locale** *optimal-encoding-opt = conflict-driven-clause-learning<sub>W</sub>-optimal-weight*  
 $\text{state-eq}$



```

state
— functions for the state:
— access functions:
trail init-clss learned-clss conflicting
— changing state:
cons-trail tl-trail add-learned-cls remove-cls
update-conflicting

— get state:
init-state
 $\varrho$ 
update-additional-info
for
state-eq :: 'st  $\Rightarrow$  'st  $\Rightarrow$  bool (infix ~ 50) and
state :: 'st  $\Rightarrow$  ('v, 'v clause) ann-lits  $\times$  'v clauses  $\times$  'v clauses  $\times$  'v clause option  $\times$ 
      'v clause option  $\times$  'b and
trail :: 'st  $\Rightarrow$  ('v, 'v clause) ann-lits and
init-clss :: 'st  $\Rightarrow$  'v clauses and
learned-clss :: 'st  $\Rightarrow$  'v clauses and
conflicting :: 'st  $\Rightarrow$  'v clause option and

cons-trail :: ('v, 'v clause) ann-lit  $\Rightarrow$  'st  $\Rightarrow$  'st and
tl-trail :: 'st  $\Rightarrow$  'st and
add-learned-cls :: 'v clause  $\Rightarrow$  'st  $\Rightarrow$  'st and
remove-cls :: 'v clause  $\Rightarrow$  'st  $\Rightarrow$  'st and
update-conflicting :: 'v clause option  $\Rightarrow$  'st  $\Rightarrow$  'st and

init-state :: 'v clauses  $\Rightarrow$  'st and
 $\varrho$  :: ('v clause  $\Rightarrow$  'a :: {linorder}) and
update-additional-info :: ('v clause option  $\times$  'b  $\Rightarrow$  'st  $\Rightarrow$  'st) +
fixes  $\Sigma \Delta\Sigma$  :: ('v set) and
new-vars :: ('v  $\Rightarrow$  'v  $\times$  'v)
begin

abbreviation replacement-pos :: ('v  $\Rightarrow$  'v)  $((-)^{\mapsto^1} 100)$  where
  replacement-pos A  $\equiv$  fst (new-vars A)

abbreviation replacement-neg :: ('v  $\Rightarrow$  'v)  $((-)^{\mapsto^0} 100)$  where
  replacement-neg A  $\equiv$  snd (new-vars A)

fun encode-lit where
  encode-lit (Pos A) = (if A  $\in \Delta\Sigma$  then Pos (replacement-pos A) else Pos A) |
  encode-lit (Neg A) = (if A  $\in \Delta\Sigma$  then Pos (replacement-neg A) else Neg A)

lemma encode-lit-alt-def:
  encode-lit A = (if atm-of A  $\in \Delta\Sigma$ 
    then Pos (if is-pos A then replacement-pos (atm-of A) else replacement-neg (atm-of A))
    else A)
by (cases A) auto

definition encode-clause :: ('v clause  $\Rightarrow$  'v clause) where
  encode-clause C = encode-lit '# C'

lemma encode-clause-simp[simp]:
  encode-clause {#} = {#}

```

$\langle \text{encode-clause } (\text{add-mset } A \ C) = \text{add-mset } (\text{encode-lit } A) \ (\text{encode-clause } C) \rangle$   
 $\langle \text{encode-clause } (C + D) = \text{encode-clause } C + \text{encode-clause } D \rangle$   
**by** (auto simp: encode-clause-def)

**definition** *encode-clauses* ::  $\langle 'v \text{ clauses} \Rightarrow 'v \text{ clauses} \rangle$  **where**  
 $\langle \text{encode-clauses } C = \text{encode-clause } \# \ C \rangle$

**lemma** *encode-clauses-simp*[simp]:  
 $\langle \text{encode-clauses } \{ \# \} = \{ \# \} \rangle$   
 $\langle \text{encode-clauses } (\text{add-mset } A \ C) = \text{add-mset } (\text{encode-clause } A) \ (\text{encode-clauses } C) \rangle$   
 $\langle \text{encode-clauses } (C + D) = \text{encode-clauses } C + \text{encode-clauses } D \rangle$   
**by** (auto simp: encode-clauses-def)

**definition** *additional-constraint* ::  $\langle 'v \Rightarrow 'v \text{ clauses} \rangle$  **where**  
 $\langle \text{additional-constraint } A =$   
 $\{ \# \{ \# \text{Neg } (A^{\mapsto 1}), \text{Neg } (A^{\mapsto 0}) \# \} \# \} \rangle$

**definition** *additional-constraints* ::  $\langle 'v \text{ clauses} \rangle$  **where**  
 $\langle \text{additional-constraints} = \bigcup \# (\text{additional-constraint } \# \ (\text{mset-set } \Delta\Sigma)) \rangle$

**definition** *penc* ::  $\langle 'v \text{ clauses} \Rightarrow 'v \text{ clauses} \rangle$  **where**  
 $\langle \text{penc } N = \text{encode-clauses } N + \text{additional-constraints} \rangle$

**lemma** *size-encode-clauses*[simp]:  $\langle \text{size } (\text{encode-clauses } N) = \text{size } N \rangle$   
**by** (auto simp: encode-clauses-def)

**lemma** *size-penc*:  
 $\langle \text{size } (\text{penc } N) = \text{size } N + \text{card } \Delta\Sigma \rangle$   
**by** (auto simp: penc-def additional-constraints-def  
 additional-constraint-def size-Union-mset-image-mset)

**lemma** *atms-of-mm-additional-constraints*:  $\langle \text{finite } \Delta\Sigma \implies$   
 $\text{atms-of-mm } \text{additional-constraints} = \text{replacement-pos } \# \ \Delta\Sigma \cup \text{replacement-neg } \# \ \Delta\Sigma \rangle$   
**by** (auto simp: additional-constraints-def additional-constraint-def atms-of-ms-def)

**lemma** *atms-of-mm-encode-clause-subset*:  
 $\langle \text{atms-of-mm } (\text{encode-clauses } N) \subseteq (\text{atms-of-mm } N - \Delta\Sigma) \cup \text{replacement-pos } \# \ \{ A \in \Delta\Sigma. A \in$   
 $\text{atms-of-mm } N \}$   
 $\cup \text{replacement-neg } \# \ \{ A \in \Delta\Sigma. A \in \text{atms-of-mm } N \} \rangle$   
**by** (auto simp: encode-clauses-def encode-lit-alt-def atms-of-ms-def atms-of-def  
 encode-clause-def split: if-splits  
 dest!: multi-member-split[of - N])

In every meaningful application of the theorem below, we have  $\Delta\Sigma \subseteq \text{atms-of-mm } N$ .

**lemma** *atms-of-mm-penc-subset*:  $\langle \text{finite } \Delta\Sigma \implies$   
 $\text{atms-of-mm } (\text{penc } N) \subseteq \text{atms-of-mm } N \cup \text{replacement-pos } \# \ \Delta\Sigma$   
 $\cup \text{replacement-neg } \# \ \Delta\Sigma \cup \Delta\Sigma \rangle$   
**using** *atms-of-mm-encode-clause-subset*[of N]  
**by** (auto simp: penc-def atms-of-mm-additional-constraints)

**lemma** *atms-of-mm-encode-clause-subset2*:  $\langle \text{finite } \Delta\Sigma \implies \Delta\Sigma \subseteq \text{atms-of-mm } N \implies$   
 $\text{atms-of-mm } N \subseteq \text{atms-of-mm } (\text{encode-clauses } N) \cup \Delta\Sigma \rangle$   
**by** (auto simp: encode-clauses-def encode-lit-alt-def atms-of-ms-def atms-of-def  
 encode-clause-def split: if-splits  
 dest!: multi-member-split[of - N])

**lemma** *atms-of-mm-penc-subset2*:  $\langle \text{finite } \Delta\Sigma \implies \Delta\Sigma \subseteq \text{atms-of-mm } N \implies$   
 $\text{atms-of-mm } (\text{penc } N) = (\text{atms-of-mm } N - \Delta\Sigma) \cup \text{replacement-pos } ' \Delta\Sigma \cup \text{replacement-neg } ' \Delta\Sigma \rangle$   
**using** *atms-of-mm-encode-clause-subset*[of *N*] *atms-of-mm-encode-clause-subset2*[of *N*]  
**by** (*auto simp: penc-def atms-of-mm-additional-constraints*)

**theorem** *card-atms-of-mm-penc*:

**assumes**  $\langle \text{finite } \Delta\Sigma \rangle$  **and**  $\langle \Delta\Sigma \subseteq \text{atms-of-mm } N \rangle$

**shows**  $\langle \text{card } (\text{atms-of-mm } (\text{penc } N)) \leq \text{card } (\text{atms-of-mm } N - \Delta\Sigma) + 2 * \text{card } \Delta\Sigma \rangle$  (**is**  $\langle ?A \leq ?B \rangle$ )

**proof** –

**have**  $\langle ?A = \text{card}$

$((\text{atms-of-mm } N - \Delta\Sigma) \cup \text{replacement-pos } ' \Delta\Sigma \cup$   
 $\text{replacement-neg } ' \Delta\Sigma) \rangle$  (**is**  $\langle - = \text{card } (?W \cup ?X \cup ?Y) \rangle$ )

**using** *arg-cong*[*OF atms-of-mm-penc-subset2*[of *N*], of *card*] *assms card-Un-le*

**by** *auto*

**also have**  $\langle \dots \leq \text{card } (?W \cup ?X) + \text{card } ?Y \rangle$

**using** *card-Un-le*[of  $\langle ?W \cup ?X \rangle$  *?Y*] **by** *auto*

**also have**  $\langle \dots \leq \text{card } ?W + \text{card } ?X + \text{card } ?Y \rangle$

**using** *card-Un-le*[of  $\langle ?W \rangle$  *?X*] **by** *auto*

**also have**  $\langle \dots \leq \text{card } (\text{atms-of-mm } N - \Delta\Sigma) + 2 * \text{card } \Delta\Sigma \rangle$

**using** *card-mono*[of  $\langle \text{atms-of-mm } N \rangle$   $\langle \Delta\Sigma \rangle$ ] *assms*

*card-image-le*[of  $\Delta\Sigma$  *replacement-pos*] *card-image-le*[of  $\Delta\Sigma$  *replacement-neg*]

**by** *auto*

**finally show** *?thesis* .

**qed**

**definition** *postp* ::  $\langle 'v \text{ partial-interp} \Rightarrow 'v \text{ partial-interp} \rangle$  **where**

$\langle \text{postp } I =$

$\{A \in I. \text{atm-of } A \notin \Delta\Sigma \wedge \text{atm-of } A \in \Sigma\} \cup \text{Pos } ' \{A. A \in \Delta\Sigma \wedge \text{Pos } (\text{replacement-pos } A) \in I\}$   
 $\cup \text{Neg } ' \{A. A \in \Delta\Sigma \wedge \text{Pos } (\text{replacement-neg } A) \in I \wedge \text{Pos } (\text{replacement-pos } A) \notin I\}$

**lemma** *preprocess-clss-model-additional-variables2*:

**assumes**

$\langle \text{atm-of } A \in \Sigma - \Delta\Sigma \rangle$

**shows**

$\langle A \in \text{postp } I \iff A \in I \rangle$  (**is** *?A*)

**proof** –

**show** *?A*

**using** *assms*

**by** (*auto simp: postp-def*)

**qed**

**lemma** *encode-clause-iff*:

**assumes**

$\langle \bigwedge A. A \in \Delta\Sigma \implies \text{Pos } A \in I \iff \text{Pos } (\text{replacement-pos } A) \in I \rangle$

$\langle \bigwedge A. A \in \Delta\Sigma \implies \text{Neg } A \in I \iff \text{Pos } (\text{replacement-neg } A) \in I \rangle$

**shows**  $\langle I \models \text{encode-clause } C \iff I \models C \rangle$

**using** *assms*

**apply** (*induction C*)

**subgoal by** *auto*

**subgoal for** *A C*

**by** (*cases A*)

(*auto simp: encode-clause-def encode-lit-alt-def split: if-splits*)

**done**

**lemma** *encode-clauses-iff*:

**assumes**

$\langle \bigwedge A. A \in \Delta\Sigma \implies \text{Pos } A \in I \longleftrightarrow \text{Pos } (\text{replacement-pos } A) \in I \rangle$   
 $\langle \bigwedge A. A \in \Delta\Sigma \implies \text{Neg } A \in I \longleftrightarrow \text{Pos } (\text{replacement-neg } A) \in I \rangle$   
**shows**  $\langle I \models_m \text{encode-clauses } C \longleftrightarrow I \models_m C \rangle$   
**using**  $\text{encode-clause-iff}[OF \text{ assms}]$   
**by**  $(\text{auto simp: encode-clauses-def true-cls-mset-def})$

**definition**  $\Sigma_{add}$  **where**  
 $\langle \Sigma_{add} = \text{replacement-pos } ' \Delta\Sigma \cup \text{replacement-neg } ' \Delta\Sigma \rangle$

**definition**  $\text{upostp} :: \langle 'v \text{ partial-interp} \Rightarrow 'v \text{ partial-interp} \rangle$  **where**

$\langle \text{upostp } I =$   
 $\text{Neg } ' \{A \in \Sigma. A \notin \Delta\Sigma \wedge \text{Pos } A \notin I \wedge \text{Neg } A \notin I\}$   
 $\cup \{A \in I. \text{atm-of } A \in \Sigma \wedge \text{atm-of } A \notin \Delta\Sigma\}$   
 $\cup \text{Pos } ' \text{replacement-pos } ' \{A \in \Delta\Sigma. \text{Pos } A \in I\}$   
 $\cup \text{Neg } ' \text{replacement-pos } ' \{A \in \Delta\Sigma. \text{Pos } A \notin I\}$   
 $\cup \text{Pos } ' \text{replacement-neg } ' \{A \in \Delta\Sigma. \text{Neg } A \in I\}$   
 $\cup \text{Neg } ' \text{replacement-neg } ' \{A \in \Delta\Sigma. \text{Neg } A \notin I\} \rangle$

**lemma**  $\text{atm-of-upostp-subset}$ :

$\langle \text{atm-of } ' (\text{upostp } I) \subseteq$   
 $(\text{atm-of } ' I - \Delta\Sigma) \cup \text{replacement-pos } ' \Delta\Sigma \cup$   
 $\text{replacement-neg } ' \Delta\Sigma \cup \Sigma \rangle$   
**by**  $(\text{auto simp: upostp-def image-Un})$

**inductive**  $\text{odecide} :: \langle 'st \Rightarrow 'st \Rightarrow \text{bool} \rangle$  **where**

$\text{odecide-noweight: } \langle \text{odecide } S \ T \rangle$

**if**

$\langle \text{conflicting } S = \text{None} \rangle$  **and**  
 $\langle \text{undefined-lit } (\text{trail } S) \ L \rangle$  **and**  
 $\langle \text{atm-of } L \in \text{atms-of-mm } (\text{init-clss } S) \rangle$  **and**  
 $\langle T \sim \text{cons-trail } (\text{Decided } L) \ S \rangle$  **and**  
 $\langle \text{atm-of } L \in \Sigma - \Delta\Sigma \rangle \mid$   
 $\text{odecide-replacement-pos: } \langle \text{odecide } S \ T \rangle$

**if**

$\langle \text{conflicting } S = \text{None} \rangle$  **and**  
 $\langle \text{undefined-lit } (\text{trail } S) \ (\text{Pos } (\text{replacement-pos } L)) \rangle$  **and**  
 $\langle T \sim \text{cons-trail } (\text{Decided } (\text{Pos } (\text{replacement-pos } L))) \ S \rangle$  **and**  
 $\langle L \in \Delta\Sigma \rangle \mid$   
 $\text{odecide-replacement-neg: } \langle \text{odecide } S \ T \rangle$

**if**

$\langle \text{conflicting } S = \text{None} \rangle$  **and**  
 $\langle \text{undefined-lit } (\text{trail } S) \ (\text{Pos } (\text{replacement-neg } L)) \rangle$  **and**  
 $\langle T \sim \text{cons-trail } (\text{Decided } (\text{Pos } (\text{replacement-neg } L))) \ S \rangle$  **and**  
 $\langle L \in \Delta\Sigma \rangle$

**inductive-cases**  $\text{odecideE: } \langle \text{odecide } S \ T \rangle$

**definition**  $\text{no-new-lonely-clause} :: \langle 'v \text{ clause} \Rightarrow \text{bool} \rangle$  **where**

$\langle \text{no-new-lonely-clause } C \longleftrightarrow$   
 $(\forall L \in \Delta\Sigma. L \in \text{atms-of } C \longrightarrow$   
 $\text{Neg } (\text{replacement-pos } L) \in \# \ C \vee \text{Neg } (\text{replacement-neg } L) \in \# \ C \vee C \in \# \ \text{additional-constraint } L) \rangle$

**definition**  $\text{lonely-weighted-lit-decided}$  **where**

$\langle \text{lonely-weighted-lit-decided } S \longleftrightarrow$   
 $(\forall L \in \Delta\Sigma. \text{Decided } (\text{Pos } L) \notin \text{set } (\text{trail } S) \wedge \text{Decided } (\text{Neg } L) \notin \text{set } (\text{trail } S)) \rangle$

**end**

**locale** *optimal-encoding* = *optimal-encoding-opt*

*state-eq*

*state*

— functions for the state:

— access functions:

*trail init-clss learned-clss conflicting*

— changing state:

*cons-trail tl-trail add-learned-cls remove-cls*

*update-conflicting*

— get state:

*init-state*

$\varrho$

*update-additional-info*

$\Sigma \Delta\Sigma$

*new-vars*

**for**

*state-eq* ::  $'st \Rightarrow 'st \Rightarrow \text{bool}$  (**infix**  $\sim 50$ ) **and**

*state* ::  $'st \Rightarrow ('v, 'v \text{ clause}) \text{ ann-lits} \times 'v \text{ clauses} \times 'v \text{ clauses} \times 'v \text{ clause option} \times$   
 $'v \text{ clause option} \times 'b$  **and**

*trail* ::  $'st \Rightarrow ('v, 'v \text{ clause}) \text{ ann-lits}$  **and**

*init-clss* ::  $'st \Rightarrow 'v \text{ clauses}$  **and**

*learned-clss* ::  $'st \Rightarrow 'v \text{ clauses}$  **and**

*conflicting* ::  $'st \Rightarrow 'v \text{ clause option}$  **and**

*cons-trail* ::  $('v, 'v \text{ clause}) \text{ ann-lit} \Rightarrow 'st \Rightarrow 'st$  **and**

*tl-trail* ::  $'st \Rightarrow 'st$  **and**

*add-learned-cls* ::  $'v \text{ clause} \Rightarrow 'st \Rightarrow 'st$  **and**

*remove-cls* ::  $'v \text{ clause} \Rightarrow 'st \Rightarrow 'st$  **and**

*update-conflicting* ::  $'v \text{ clause option} \Rightarrow 'st \Rightarrow 'st$  **and**

*init-state* ::  $'v \text{ clauses} \Rightarrow 'st$  **and**

$\varrho$  ::  $\langle 'v \text{ clause} \Rightarrow 'a :: \{\text{linorder}\} \rangle$  **and**

*update-additional-info* ::  $\langle 'v \text{ clause option} \times 'b \Rightarrow 'st \Rightarrow 'st \rangle$  **and**

$\Sigma \Delta\Sigma$  ::  $\langle 'v \text{ set} \rangle$  **and**

*new-vars* ::  $\langle 'v \Rightarrow 'v \times 'v \rangle +$

**assumes**

*finite- $\Sigma$ :*

$\langle \text{finite } \Delta\Sigma \rangle$  **and**

$\Delta\Sigma$ - $\Sigma$ :

$\langle \Delta\Sigma \subseteq \Sigma \rangle$  **and**

*new-vars-pos:*

$\langle A \in \Delta\Sigma \implies \text{replacement-pos } A \notin \Sigma \rangle$  **and**

*new-vars-neg:*

$\langle A \in \Delta\Sigma \implies \text{replacement-neg } A \notin \Sigma \rangle$  **and**

*new-vars-dist:*

$\langle \text{inj-on replacement-pos } \Delta\Sigma \rangle$

$\langle \text{inj-on replacement-neg } \Delta\Sigma \rangle$

$\langle \text{replacement-pos } ' \Delta\Sigma \cap \text{replacement-neg } ' \Delta\Sigma = \{ \} \rangle$  **and**

$\Sigma$ -no-weight:

$\langle \text{atm-of } C \in \Sigma - \Delta\Sigma \implies \varrho (\text{add-mset } C \ M) = \varrho \ M \rangle$

**begin**

**lemma** *new-vars-dist2*:

$\langle A \in \Delta\Sigma \implies B \in \Delta\Sigma \implies A \neq B \implies \text{replacement-pos } A \neq \text{replacement-pos } B \rangle$   
 $\langle A \in \Delta\Sigma \implies B \in \Delta\Sigma \implies A \neq B \implies \text{replacement-neg } A \neq \text{replacement-neg } B \rangle$   
 $\langle A \in \Delta\Sigma \implies B \in \Delta\Sigma \implies \text{replacement-neg } A \neq \text{replacement-pos } B \rangle$   
**using** *new-vars-dist* **unfolding** *inj-on-def* **apply** *blast*  
**using** *new-vars-dist* **unfolding** *inj-on-def* **apply** *blast*  
**using** *new-vars-dist* **unfolding** *inj-on-def* **apply** *blast*  
**done**

**lemma** *consistent-interp-postp*:

$\langle \text{consistent-interp } I \implies \text{consistent-interp } (\text{postp } I) \rangle$   
**by** (*auto simp: consistent-interp-def postp-def uminus-lit-swap*)

The reverse of the previous theorem does not hold due to the filtering on the variables of  $\Delta\Sigma$ .  
 One example of version that holds:

**lemma**

**assumes**  $\langle A \in \Delta\Sigma \rangle$   
**shows**  $\langle \text{consistent-interp } (\text{postp } \{ \text{Pos } A, \text{Neg } A \}) \rangle$  **and**  
 $\langle \neg \text{consistent-interp } \{ \text{Pos } A, \text{Neg } A \} \rangle$   
**using** *assms*  $\Delta\Sigma\text{-}\Sigma$   
**by** (*auto simp: consistent-interp-def postp-def uminus-lit-swap*)

Some more restricted version of the reverse hold, like:

**lemma** *consistent-interp-postp-iff*:

$\langle \text{atm-of } 'I \subseteq \Sigma - \Delta\Sigma \implies \text{consistent-interp } I \longleftrightarrow \text{consistent-interp } (\text{postp } I) \rangle$   
**by** (*auto simp: consistent-interp-def postp-def uminus-lit-swap*)

**lemma** *new-vars-different-iff*[*simp*]:

$\langle A \neq x^{\mapsto 1} \rangle$   
 $\langle A \neq x^{\mapsto 0} \rangle$   
 $\langle x^{\mapsto 1} \neq A \rangle$   
 $\langle x^{\mapsto 0} \neq A \rangle$   
 $\langle A^{\mapsto 0} \neq x^{\mapsto 1} \rangle$   
 $\langle A^{\mapsto 1} \neq x^{\mapsto 0} \rangle$   
 $\langle A^{\mapsto 0} = x^{\mapsto 0} \longleftrightarrow A = x \rangle$   
 $\langle A^{\mapsto 1} = x^{\mapsto 1} \longleftrightarrow A = x \rangle$   
 $\langle (A^{\mapsto 1}) \notin \Sigma \rangle$   
 $\langle (A^{\mapsto 0}) \notin \Sigma \rangle$   
 $\langle (A^{\mapsto 1}) \notin \Delta\Sigma \rangle$   
 $\langle (A^{\mapsto 0}) \notin \Delta\Sigma \rangle$  **if**  $\langle A \in \Delta\Sigma \rangle$   $\langle x \in \Delta\Sigma \rangle$  **for**  $A \ x$   
**using**  $\Delta\Sigma\text{-}\Sigma$  *new-vars-pos*[*of*  $x$ ] *new-vars-pos*[*of*  $A$ ] *new-vars-neg*[*of*  $x$ ] *new-vars-neg*[*of*  $A$ ]  
*new-vars-neg* *new-vars-dist2*[*of*  $A \ x$ ] *new-vars-dist2*[*of*  $x \ A$ ] *that*  
**by** (*cases*  $\langle A = x \rangle$ ; *fastforce simp: comp-def; fail*)**+**

**lemma** *consistent-interp-upostp*:

$\langle \text{consistent-interp } I \implies \text{consistent-interp } (\text{upostp } I) \rangle$   
**using**  $\Delta\Sigma\text{-}\Sigma$   
**by** (*auto simp: consistent-interp-def upostp-def uminus-lit-swap*)

**lemma** *atm-of-upostp-subset2*:

$\langle \text{atm-of } 'I \subseteq \Sigma \implies \text{replacement-pos } ' \Delta\Sigma \cup$

```

  replacement-neg '  $\Delta\Sigma \cup (\Sigma - \Delta\Sigma) \subseteq \text{atm-of } (upostp\ I)$ 
apply (auto simp: upostp-def image-Un image-image)
apply (metis (mono-tags, lifting) imageI literal.sel(1) mem-Collect-eq)
apply (metis (mono-tags, lifting) imageI literal.sel(2) mem-Collect-eq)
done

```

```

lemma  $\Delta\Sigma$ -notin-upost[simp]:
   $\langle y \in \Delta\Sigma \implies \text{Neg } y \notin \text{upostp } I \rangle$ 
   $\langle y \in \Delta\Sigma \implies \text{Pos } y \notin \text{upostp } I \rangle$ 
using  $\Delta\Sigma$ - $\Sigma$  by (auto simp: upostp-def)

```

```

lemma penc-ent-upostp:
  assumes  $\Sigma$ :  $\langle \text{atms-of-mm } N = \Sigma \rangle$  and
    sat:  $\langle I \models_{sm} N \rangle$  and
    cons:  $\langle \text{consistent-interp } I \rangle$  and
    atm:  $\langle \text{atm-of } I \subseteq \text{atms-of-mm } N \rangle$ 
  shows  $\langle \text{upostp } I \models_m \text{penc } N \rangle$ 
proof -
  have [iff]:  $\langle \text{Pos } (A^{\mapsto 0}) \notin I \rangle \langle \text{Pos } (A^{\mapsto 1}) \notin I \rangle$ 
     $\langle \text{Neg } (A^{\mapsto 0}) \notin I \rangle \langle \text{Neg } (A^{\mapsto 1}) \notin I \rangle$  if  $\langle A \in \Delta\Sigma \rangle$  for  $A$ 
    using atm new-vars-neg[of  $A$ ] new-vars-pos[of  $A$ ] that
    unfolding  $\Sigma$  by force+
  have enc:  $\langle \text{upostp } I \models_m \text{encode-clauses } N \rangle$ 
    unfolding true-cls-mset-def
  proof
    fix  $C$ 
    assume  $\langle C \in \# \text{encode-clauses } N \rangle$ 
    then obtain  $C'$  where
       $\langle C' \in \# N \rangle$  and
       $\langle C = \text{encode-clause } C' \rangle$ 
      by (auto simp: encode-clauses-def)
    then obtain  $A$  where
       $\langle A \in \# C' \rangle$  and
       $\langle A \in I \rangle$ 
      using sat
      by (auto simp: true-cls-def
        dest!: multi-member-split[of  $- N$ ])
    moreover have  $\langle \text{atm-of } A \in \Sigma - \Delta\Sigma \vee \text{atm-of } A \in \Delta\Sigma \rangle$ 
      using atm  $\langle A \in I \rangle$  unfolding  $\Sigma$  by blast
    ultimately have  $\langle \text{encode-lit } A \in \text{upostp } I \rangle$ 
      by (auto simp: encode-lit-alt-def upostp-def)
    then show  $\langle \text{upostp } I \models C \rangle$ 
      using  $\langle A \in \# C' \rangle$ 
      unfolding  $\langle C = \text{encode-clause } C' \rangle$ 
      by (auto simp: encode-clause-def dest: multi-member-split)
  qed
  have [iff]:  $\langle \text{Pos } (y^{\mapsto 1}) \notin \text{upostp } I \iff \text{Neg } (y^{\mapsto 1}) \in \text{upostp } I \rangle$ 
     $\langle \text{Pos } (y^{\mapsto 0}) \notin \text{upostp } I \iff \text{Neg } (y^{\mapsto 0}) \in \text{upostp } I \rangle$ 
    if  $\langle y \in \Delta\Sigma \rangle$  for  $y$ 
    using that
    by (cases  $\langle \text{Pos } y \in I \rangle$ ; auto simp: upostp-def image-image; fail)+
  have  $H$ :
     $\langle \text{Neg } (y^{\mapsto 0}) \notin \text{upostp } I \implies \text{Neg } (y^{\mapsto 1}) \in \text{upostp } I \rangle$ 
    if  $\langle y \in \Delta\Sigma \rangle$  for  $y$ 
    using that cons  $\Delta\Sigma$ - $\Sigma$  unfolding upostp-def consistent-interp-def

```

```

  by (cases ⟨Pos y ∈ I⟩) (auto simp: image-image)
have [dest]: ⟨Neg A ∈ upostp I ⟹ Pos A ∉ upostp I⟩
  ⟨Pos A ∈ upostp I ⟹ Neg A ∉ upostp I⟩ for A
  using consistent-interp-upostp[OF cons]
  by (auto simp: consistent-interp-def)

have add: ⟨upostp I ⊨m additional-constraints⟩
  using finite-Σ H
  by (auto simp: additional-constraints-def true-cls-mset-def additional-constraint-def)

show ⟨upostp I ⊨m penc N⟩
  using enc add unfolding penc-def by auto
qed

```

```

lemma satisfiable-penc:
  assumes Σ: ⟨atms-of-mm N = Σ⟩ and
    sat: ⟨satisfiable (set-mset N)⟩
  shows ⟨satisfiable (set-mset (penc N))⟩
  using assms
  apply (subst (asm) satisfiable-def-min)
  apply clarify
  subgoal for I
    using penc-ent-upostp[of N I] consistent-interp-upostp[of I]
    by auto
  done

```

```

lemma penc-ent-postp:
  assumes Σ: ⟨atms-of-mm N = Σ⟩ and
    sat: ⟨I ⊨sm penc N⟩ and
    cons: ⟨consistent-interp I⟩
  shows ⟨postp I ⊨m N⟩
proof -
  have enc: ⟨I ⊨m encode-clauses N⟩ and ⟨I ⊨m additional-constraints⟩
    using sat unfolding penc-def
    by auto
  have [dest]: ⟨Pos (x2↦0) ∈ I ⟹ Neg (x2↦1) ∈ I⟩ if ⟨x2 ∈ ΔΣ⟩ for x2
    using ⟨I ⊨m additional-constraints⟩ that cons
    multi-member-split[of x2 ⟨mset-set ΔΣ⟩] finite-Σ
    unfolding additional-constraints-def additional-constraint-def
    consistent-interp-def
    by (auto simp: true-cls-mset-def)
  have [dest]: ⟨Pos (x2↦0) ∈ I ⟹ Pos (x2↦1) ∉ I⟩ if ⟨x2 ∈ ΔΣ⟩ for x2
    using that cons
    unfolding consistent-interp-def
    by auto

```

```

show ⟨postp I ⊨m N⟩
  unfolding true-cls-mset-def

```

```

proof
  fix C
  assume ⟨C ∈ # N⟩
  then have ⟨I ⊨ encode-clause C⟩
    using enc by (auto dest!: multi-member-split)
  then show ⟨postp I ⊨ C⟩
    unfolding true-cls-def
    using cons finite-Σ sat

```



```

preprocess-clss-model-additional-variables2[of - I]
 $\Sigma \langle C \in \# N \rangle$  in-m-in-literals
apply (auto simp: encode-clause-def postp-def encode-lit-alt-def
  split: if-splits
  dest!: multi-member-split[of - C])
using image-iff apply fastforce
apply (case-tac xa; auto)
apply auto
done

qed
qed

lemma satisfiable-penc-satisfiable:
assumes  $\Sigma: \langle \text{atms-of-mm } N = \Sigma \rangle$  and
  sat:  $\langle \text{satisfiable } (\text{set-mset } (\text{penc } N)) \rangle$ 
shows  $\langle \text{satisfiable } (\text{set-mset } N) \rangle$ 
using assms apply (subst (asm) satisfiable-def)
apply clarify
subgoal for I
  using penc-ent-postp[OF  $\Sigma$ , of I] consistent-interp-postp[of I]
  by auto
done

lemma satisfiable-penc-iff:
assumes  $\Sigma: \langle \text{atms-of-mm } N = \Sigma \rangle$ 
shows  $\langle \text{satisfiable } (\text{set-mset } (\text{penc } N)) \longleftrightarrow \text{satisfiable } (\text{set-mset } N) \rangle$ 
using assms satisfiable-penc satisfiable-penc-satisfiable by blast

abbreviation  $\varrho_e\text{-filter} :: \langle 'v \text{ literal multiset} \Rightarrow 'v \text{ literal multiset} \rangle$  where
 $\langle \varrho_e\text{-filter } M \equiv \{ \#L \in \# \text{ poss } (\text{mset-set } \Delta\Sigma). \text{Pos } (\text{atm-of } L^{\mapsto 1}) \in \# M\# \} +$ 
 $\{ \#L \in \# \text{ negs } (\text{mset-set } \Delta\Sigma). \text{Pos } (\text{atm-of } L^{\mapsto 0}) \in \# M\# \} \rangle$ 

definition  $\varrho_e :: \langle 'v \text{ literal multiset} \Rightarrow 'a :: \{ \text{linorder} \} \rangle$  where
 $\langle \varrho_e M = \varrho (\varrho_e\text{-filter } M) \rangle$ 

lemma  $\varrho_e\text{-mono}$ :  $\langle \text{distinct-mset } B \Longrightarrow A \subseteq \# B \Longrightarrow \varrho_e A \leq \varrho_e B \rangle$ 
unfolding  $\varrho_e\text{-def}$ 
apply (rule  $\varrho\text{-mono}$ )
subgoal
  by (subst distinct-mset-add)
  (auto simp: distinct-image-mset-inj distinct-mset-filter distinct-mset-mset-set inj-on-Pos
    finite- $\Sigma$  mset-inter-empty-set-mset image-mset-mset-set inj-on-Neg)
subgoal
  by (rule subset-mset.add-mono; rule filter-mset-mono-subset) (auto simp: finite- $\Sigma$ )
done

interpretation enc-weight-opt: conflict-driven-clause-learningW-optimal-weight where
  state-eq = state-eq and
  state = state and
  trail = trail and
  init-clss = init-clss and
  learned-clss = learned-clss and
  conflicting = conflicting and
  cons-trail = cons-trail and

```

$tl\text{-}trail = tl\text{-}trail$  **and**  
 $add\text{-}learned\text{-}cls = add\text{-}learned\text{-}cls$  **and**  
 $remove\text{-}cls = remove\text{-}cls$  **and**  
 $update\text{-}conflicting = update\text{-}conflicting$  **and**  
 $init\text{-}state = init\text{-}state$  **and**  
 $\varrho = \varrho_e$  **and**  
 $update\text{-}additional\text{-}info = update\text{-}additional\text{-}info$   
**apply**  $unfold\text{-}locales$   
**subgoal by**  $(rule\ \varrho_e\text{-}mono)$   
**subgoal using**  $update\text{-}additional\text{-}info$  **by**  $fast$   
**subgoal using**  $weight\text{-}init\text{-}state$  **by**  $fast$   
**done**

**lemma**  $\Sigma\text{-no-weight-}\varrho_e$ :  $\langle atm\text{-}of\ C \in \Sigma - \Delta\Sigma \implies \varrho_e\ (add\text{-}mset\ C\ M) = \varrho_e\ M \rangle$   
**using**  $\Sigma\text{-no-weight}[of\ C\ \langle \varrho_e\text{-}filter\ M \rangle]$   
**apply**  $(auto\ simp: \varrho_e\text{-}def\ finite\text{-}\Sigma\ image\text{-}mset\text{-}mset\text{-}set\ inj\text{-}on\text{-}Neg\ inj\text{-}on\text{-}Pos)$   
**by**  $(smt\ Collect\text{-}cong\ image\text{-}iff\ literal.sel(1)\ literal.sel(2)\ new\text{-}vars\text{-}neg\ new\text{-}vars\text{-}pos)$

**lemma**  $\varrho\text{-cancel-notin-}\Delta\Sigma$ :  
 $\langle (\bigwedge x. x \in \# M \implies atm\text{-}of\ x \in \Sigma - \Delta\Sigma) \implies \varrho\ (M + M') = \varrho\ M' \rangle$   
**by**  $(induction\ M)\ (auto\ simp: \Sigma\text{-no-weight})$

**lemma**  $\varrho\text{-mono2}$ :  
 $\langle consistent\text{-}interp\ (set\text{-}mset\ M') \implies distinct\text{-}mset\ M' \implies$   
 $(\bigwedge A. A \in \# M \implies atm\text{-}of\ A \in \Sigma) \implies (\bigwedge A. A \in \# M' \implies atm\text{-}of\ A \in \Sigma) \implies$   
 $\{\#A \in \# M. atm\text{-}of\ A \in \Delta\Sigma\# \} \subseteq \# \{\#A \in \# M'. atm\text{-}of\ A \in \Delta\Sigma\# \} \implies \varrho\ M \leq \varrho\ M' \rangle$   
**apply**  $(subst\ (2)\ multiset\text{-}partition[of\ -\ \langle \lambda A. atm\text{-}of\ A \notin \Delta\Sigma \rangle])$   
**apply**  $(subst\ multiset\text{-}partition[of\ -\ \langle \lambda A. atm\text{-}of\ A \notin \Delta\Sigma \rangle])$   
**apply**  $(subst\ \varrho\text{-cancel-notin-}\Delta\Sigma)$   
**subgoal by**  $auto$   
**apply**  $(subst\ \varrho\text{-cancel-notin-}\Delta\Sigma)$   
**subgoal by**  $auto$   
**by**  $(auto\ intro!: \varrho\text{-mono}\ intro: consistent\text{-}interp\text{-}subset\ intro!: distinct\text{-}mset\text{-}mono[of\ -\ M'])$

**lemma**  $finite\text{-}upostp$ :  $\langle finite\ I \implies finite\ \Sigma \implies finite\ (upostp\ I) \rangle$   
**using**  $finite\text{-}\Sigma\ \Delta\Sigma\text{-}\Sigma$   
**by**  $(auto\ simp: upostp\text{-}def)$

**declare**  $finite\text{-}\Sigma[simp]$

**lemma**  $consistent\text{-}interp\text{-}unionI$ :

$\langle consistent\text{-}interp\ A \implies consistent\text{-}interp\ B \implies (\bigwedge a. a \in A \implies -a \notin B) \implies (\bigwedge a. a \in B \implies -a \notin A) \implies$   
 $consistent\text{-}interp\ (A \cup B) \rangle$   
**by**  $(auto\ simp: consistent\text{-}interp\text{-}def)$

**lemma**  $consistent\text{-}interp\text{-}poss$ :  $\langle consistent\text{-}interp\ (Pos\ 'A) \rangle$  **and**  
 $consistent\text{-}interp\text{-}negs$ :  $\langle consistent\text{-}interp\ (Neg\ 'A) \rangle$   
**by**  $(auto\ simp: consistent\text{-}interp\text{-}def)$

**lemma**  $\varrho_e\text{-}upostp\text{-}\varrho$ :

**assumes**  $[simp]$ :  $\langle finite\ \Sigma \rangle$  **and**  
 $\langle finite\ I \rangle$  **and**  
 $cons$ :  $\langle consistent\text{-}interp\ I \rangle$  **and**  
 $I\text{-}\Sigma$ :  $\langle atm\text{-}of\ 'I \subseteq \Sigma \rangle$   
**shows**  $\langle \varrho_e\ (mset\text{-}set\ (upostp\ I)) = \varrho\ (mset\text{-}set\ I) \rangle$  **(is**  $\langle ?A = ?B \rangle$   
**proof** –

```

have [simp]: ⟨finite I⟩
  using assms by auto
have [simp]: ⟨mset-set
  {x ∈ I.
    atm-of x ∈ Σ ∧
    atm-of x ∉ replacement-pos ‘ ΔΣ ∧
    atm-of x ∉ replacement-neg ‘ ΔΣ} = mset-set I⟩
  using I-Σ by auto
have [simp]: ⟨finite {A ∈ ΔΣ. P A}⟩ for P
  by (rule finite-subset[of - ΔΣ])
  (use ΔΣ-Σ finite-Σ in auto)
have [dest]: ⟨xa ∈ ΔΣ ⇒ Pos (xa↦1) ∈ upostp I ⇒ Pos (xa↦0) ∈ upostp I ⇒ False⟩ for xa
  using cons unfolding penc-def
  by (auto simp: additional-constraint-def additional-constraints-def
    true-cls-mset-def consistent-interp-def upostp-def)
have ⟨?A ≤ ?B⟩
  using assms ΔΣ-Σ apply –
  unfolding ρe-def filter-filter-mset
  apply (rule ρ-mono2)
  subgoal using cons by auto
  subgoal using distinct-mset-mset-set by auto
  subgoal by auto
  subgoal by auto
  apply (rule filter-mset-mono-subset)
  subgoal
    by (subst distinct-subseteq-iff[symmetric])
    (auto simp: upostp-def simp: image-mset-mset-set inj-on-Neg inj-on-Pos
      distinct-mset-add mset-inter-empty-set-mset distinct-mset-mset-set)
  subgoal for x
    by (cases ⟨x ∈ I⟩; cases x) (auto simp: upostp-def)
  done
moreover have ⟨?B ≤ ?A⟩
  using assms ΔΣ-Σ apply –
  unfolding ρe-def filter-filter-mset
  apply (rule ρ-mono2)
  subgoal using cons by (auto intro:
    intro: consistent-interp-subset[of - ⟨Pos ‘ ΔΣ⟩]
    intro: consistent-interp-subset[of - ⟨Neg ‘ ΔΣ⟩]
    intro!: consistent-interp-unionI
    simp: consistent-interp-upostp finite-upostp consistent-interp-poss
      consistent-interp-negs)
  subgoal by (auto
    simp: distinct-mset-mset-set distinct-mset-add image-mset-mset-set inj-on-Pos inj-on-Neg
      mset-inter-empty-set-mset)
  subgoal by auto
  subgoal by auto
  apply (auto simp: image-mset-mset-set inj-on-Neg inj-on-Pos)
  apply (subst distinct-subseteq-iff[symmetric])
  apply (auto simp: distinct-mset-mset-set distinct-mset-add image-mset-mset-set inj-on-Pos inj-on-Neg
    mset-inter-empty-set-mset finite-upostp)
  apply (metis image-eqI literal.exhaust-sel)
  apply (auto simp: upostp-def image-image)
  apply (metis (mono-tags, lifting) imageI literal.collapse(1) literal.collapse(2) mem-Collect-eq)
  apply (metis (mono-tags, lifting) imageI literal.collapse(1) literal.collapse(2) mem-Collect-eq)
  apply (metis (mono-tags, lifting) imageI literal.collapse(1) literal.collapse(2) mem-Collect-eq)
  done

```

**ultimately show** *?thesis*  
**by** *simp*  
**qed**

**lemma** *encode-lit-eq-iff*:  
 $\langle \text{atm-of } x \in \Sigma \implies \text{atm-of } y \in \Sigma \implies \text{encode-lit } x = \text{encode-lit } y \longleftrightarrow x = y \rangle$   
**by** (*cases x; cases y*) (*auto simp: encode-lit-alt-def atm-of-eq-atm-of*)

**lemma** *distinct-mset-encode-clause-iff*:  
 $\langle \text{atms-of } N \subseteq \Sigma \implies \text{distinct-mset } (\text{encode-clause } N) \longleftrightarrow \text{distinct-mset } N \rangle$   
**by** (*induction N*)  
*(auto simp: encode-clause-def encode-lit-eq-iff dest!: multi-member-split)*

**lemma** *distinct-mset-encodes-clause-iff*:  
 $\langle \text{atms-of-mm } N \subseteq \Sigma \implies \text{distinct-mset-mset } (\text{encode-clauses } N) \longleftrightarrow \text{distinct-mset-mset } N \rangle$   
**by** (*induction N*)  
*(auto simp: encode-clauses-def distinct-mset-encode-clause-iff)*

**lemma** *distinct-additional-constraints[simp]*:  
 $\langle \text{distinct-mset-mset additional-constraints} \rangle$   
**by** (*auto simp: additional-constraints-def additional-constraint-def distinct-mset-set-def*)

**lemma** *distinct-mset-penc*:  
 $\langle \text{atms-of-mm } N \subseteq \Sigma \implies \text{distinct-mset-mset } (\text{penc } N) \longleftrightarrow \text{distinct-mset-mset } N \rangle$   
**by** (*auto simp: penc-def distinct-mset-encodes-clause-iff*)

**lemma** *finite-postp*:  $\langle \text{finite } I \implies \text{finite } (\text{postp } I) \rangle$   
**by** (*auto simp: postp-def*)

**theorem** *full-encoding-OCDCL-correctness*:

**assumes**

*st*:  $\langle \text{full enc-weight-opt.cdcl-bnb-stgy } (\text{init-state } (\text{penc } N)) \ T \rangle$  **and**

*dist*:  $\langle \text{distinct-mset-mset } N \rangle$  **and**

*atms*:  $\langle \text{atms-of-mm } N = \Sigma \rangle$

**shows**

$\langle \text{weight } T = \text{None} \implies \text{unsatisfiable } (\text{set-mset } N) \rangle$  **and**

$\langle \text{weight } T \neq \text{None} \implies \text{postp } (\text{set-mset } (\text{the } (\text{weight } T))) \models_{\text{sm}} N \rangle$

$\langle \text{weight } T \neq \text{None} \implies \text{distinct-mset } I \implies \text{consistent-interp } (\text{set-mset } I) \implies$

$\text{atms-of } I \subseteq \text{atms-of-mm } N \implies \text{set-mset } I \models_{\text{sm}} N \implies$

$\varrho \ I \geq \varrho \ (\text{mset-set } (\text{postp } (\text{set-mset } (\text{the } (\text{weight } T)))) \rangle$

$\langle \text{weight } T \neq \text{None} \implies \varrho_e \ (\text{the } (\text{enc-weight-opt.weight } T)) =$

$\varrho \ (\text{mset-set } (\text{postp } (\text{set-mset } (\text{the } (\text{enc-weight-opt.weight } T)))) \rangle$

**proof** –

**let** *?N* =  $\langle \text{penc } N \rangle$

**have**  $\langle \text{distinct-mset-mset } (\text{penc } N) \rangle$

**by** (*subst distinct-mset-penc*)

*(use dist atms in auto)*

**then have**

*unsat*:  $\langle \text{weight } T = \text{None} \implies \text{unsatisfiable } (\text{set-mset } ?N) \rangle$  **and**

*model*:  $\langle \text{weight } T \neq \text{None} \implies \text{consistent-interp } (\text{set-mset } (\text{the } (\text{weight } T))) \wedge$

$\text{atms-of } (\text{the } (\text{weight } T)) \subseteq \text{atms-of-mm } ?N \wedge \text{set-mset } (\text{the } (\text{weight } T)) \models_{\text{sm}} ?N \wedge$

$\text{distinct-mset } (\text{the } (\text{weight } T)) \rangle$  **and**

```

opt:  $\langle \text{distinct-mset } I \implies \text{consistent-interp } (\text{set-mset } I) \implies \text{atms-of } I = \text{atms-of-mm } ?N \implies$ 
 $\text{set-mset } I \models_{sm} ?N \implies \text{Found } (\varrho_e I) \geq \text{enc-weight-opt.}\varrho' (\text{weight } T) \rangle$ 
for  $I$ 
using  $\text{enc-weight-opt.full-cdcl-bnb-stgy-no-conflicting-clause-from-init-state}[of$ 
 $\langle \text{penc } N \rangle T, OF st]$ 
by  $\text{fast+}$ 

show  $\langle \text{unsatisfiable } (\text{set-mset } N) \rangle$  if  $\langle \text{weight } T = \text{None} \rangle$ 
using  $\text{unsat}[OF that] \text{ satisfiable-penc}[OF atms]$  by  $\text{blast}$ 
let  $?K = \langle \text{postp } (\text{set-mset } (\text{the } (\text{weight } T))) \rangle$ 
show  $\langle ?K \models_{sm} N \rangle$  if  $\langle \text{weight } T \neq \text{None} \rangle$ 
using  $\text{penc-ent-postp}[OF atms, of \langle \text{set-mset } (\text{the } (\text{weight } T))) \rangle \text{ model}[OF that]$ 
by  $\text{auto}$ 

assume  $\text{Some: } \langle \text{weight } T \neq \text{None} \rangle$ 
have  $\text{Some': } \langle \text{enc-weight-opt.weight } T \neq \text{None} \rangle$ 
using  $\text{Some}$  by  $\text{auto}$ 
have  $\text{cons-K: } \langle \text{consistent-interp } ?K \rangle$ 
using  $\text{model Some}$  by  $(\text{auto simp: consistent-interp-postp})$ 
define  $J$  where  $\langle J = \text{the } (\text{weight } T) \rangle$ 
then have  $[simp]: \langle \text{weight } T = \text{Some } J \rangle \langle \text{enc-weight-opt.weight } T = \text{Some } J \rangle$ 
using  $\text{Some}$  by  $\text{auto}$ 
have  $\langle \text{set-mset } J \models_{sm} \text{additional-constraints} \rangle$ 
using  $\text{model}$  by  $(\text{auto simp: penc-def})$ 
then have  $H: \langle x \in \Delta\Sigma \implies \text{Neg } (\text{replacement-pos } x) \in \# J \vee \text{Neg } (\text{replacement-neg } x) \in \# J \rangle$  and
 $[dest]: \langle \text{Pos } (xa^{\rightarrow 1}) \in \# J \implies \text{Pos } (xa^{\rightarrow 0}) \in \# J \implies xa \in \Delta\Sigma \implies \text{False} \rangle$  for  $x xa$ 
using  $\text{model}$ 
apply  $(\text{auto simp: additional-constraints-def additional-constraint-def true-clss-def}$ 
 $\text{consistent-interp-def})$ 
by  $(\text{metis uminus-Pos})$ 
have  $\text{cons-f: } \langle \text{consistent-interp } (\text{set-mset } (\varrho_e\text{-filter } (\text{the } (\text{weight } T)))) \rangle$ 
using  $\text{model}$ 
by  $(\text{auto simp: postp-def } \varrho_e\text{-def } \Sigma_{add}\text{-def conj-disj-distribR}$ 
 $\text{consistent-interp-poss}$ 
 $\text{consistent-interp-negs}$ 
 $\text{mset-set-Union intro!: consistent-interp-unionI}$ 
 $\text{intro: consistent-interp-subset distinct-mset-mset-set}$ 
 $\text{consistent-interp-subset}[of - \langle \text{Pos } ' \Delta\Sigma \rangle]$ 
 $\text{consistent-interp-subset}[of - \langle \text{Neg } ' \Delta\Sigma \rangle])$ 
have  $\text{dist-f: } \langle \text{distinct-mset } ((\varrho_e\text{-filter } (\text{the } (\text{weight } T)))) \rangle$ 
using  $\text{model}$ 
by  $(\text{auto simp: postp-def simp: image-mset-mset-set inj-on-Neg inj-on-Pos}$ 
 $\text{distinct-mset-add mset-inter-empty-set-mset distinct-mset-mset-set})$ 

have  $\langle \text{enc-weight-opt.}\varrho' (\text{weight } T) \leq \text{Found } (\varrho (\text{mset-set } ?K)) \rangle$ 
using  $\text{Some'}$ 
apply  $\text{auto}$ 
unfolding  $\varrho_e\text{-def}$ 
apply  $(\text{rule } \varrho\text{-mono2})$ 
subgoal
using  $\text{model Some'}$  by  $(\text{auto simp: finite-postp consistent-interp-postp})$ 
subgoal by  $(\text{auto simp: distinct-mset-mset-set})$ 
subgoal using  $\text{atms dist model}[OF Some] \text{atms } \Delta\Sigma\text{-}\Sigma$  by  $(\text{auto simp: postp-def})$ 
subgoal using  $\text{atms dist model}[OF Some] \text{atms } \Delta\Sigma\text{-}\Sigma$  by  $(\text{auto simp: postp-def})$ 
subgoal
apply  $(\text{subst distinct-subseteq-iff}[symmetric])$ 

```

```

using dist model[OF Some] H
by (force simp: filter-filter-mset consistent-interp-def postp-def
    image-mset-mset-set inj-on-Neg inj-on-Pos finite-postp
    distinct-mset-add mset-inter-empty-set-mset distinct-mset-mset-set
    intro: distinct-mset-mono[of - (the (enc-weight-opt.weight T))])+
done
moreover {
  have  $\langle \varrho \text{ (mset-set ?K)} \leq \varrho_e \text{ (the (weight T))} \rangle$ 
    unfolding  $\varrho_e\text{-def}$ 
    apply (rule  $\varrho\text{-mono2}$ )
    subgoal by (rule cons-f)
    subgoal by (rule dist-f)
    subgoal using atms dist model[OF Some] atms  $\Delta\Sigma\text{-}\Sigma$  by (auto simp: postp-def)
    subgoal using atms dist model[OF Some] atms  $\Delta\Sigma\text{-}\Sigma$  by (auto simp: postp-def)
    subgoal
      by (subst distinct-subseteq-iff[symmetric])
      (auto simp: postp-def simp: image-mset-mset-set inj-on-Neg inj-on-Pos
        distinct-mset-add mset-inter-empty-set-mset distinct-mset-mset-set)
    done
  then have  $\langle \text{Found } (\varrho \text{ (mset-set ?K)}) \leq \text{enc-weight-opt.}\varrho' \text{ (weight T)} \rangle$ 
    using Some by auto
  } note le = this
ultimately show  $\langle \varrho_e \text{ (the (weight T))} = (\varrho \text{ (mset-set ?K)}) \rangle$ 
  using Some' by auto

show  $\langle \varrho I \geq \varrho \text{ (mset-set ?K)} \rangle$ 
if dist:  $\langle \text{distinct-mset } I \rangle$  and
    cons:  $\langle \text{consistent-interp (set-mset } I) \rangle$  and
    atm:  $\langle \text{atms-of } I \subseteq \text{atms-of-mm } N \rangle$  and
    I-N:  $\langle \text{set-mset } I \models_{sm} N \rangle$ 
proof –
  let ?I =  $\langle \text{mset-set (upostp (set-mset } I)) \rangle$ 
  have [simp]:  $\langle \text{finite (upostp (set-mset } I)) \rangle$ 
    by (rule finite-upostp)
    (use atms in auto)
  then have I:  $\langle \text{set-mset ?I} = \text{upostp (set-mset } I) \rangle$ 
    by auto
  have  $\langle \text{set-mset ?I} \models_m ?N \rangle$ 
    unfolding I
    by (rule penc-ent-upostp[OF atms I-N cons])
    (use atm in  $\langle \text{auto dest: multi-member-split} \rangle$ )
  moreover have  $\langle \text{distinct-mset ?I} \rangle$ 
    by (rule distinct-mset-mset-set)
  moreover {
    have A:  $\langle \text{atms-of (mset-set (upostp (set-mset } I)))} = \text{atm-of ' (upostp (set-mset } I)) \rangle$ 
       $\langle \text{atm-of ' set-mset } I = \text{atms-of } I \rangle$ 
      by (auto simp: atms-of-def)
    have  $\langle \text{atms-of ?I} = \text{atms-of-mm ?N} \rangle$ 
      apply (subst atms-of-mm-penc-subset2[OF finite-Σ])
      subgoal using  $\Delta\Sigma\text{-}\Sigma$  atms by auto
      subgoal
        using atm-of-upostp-subset[of  $\langle \text{set-mset } I \rangle$ ] atm-of-upostp-subset2[of  $\langle \text{set-mset } I \rangle$ ] atm
        unfolding atms A
        by (auto simp: upostp-def)
      done
    }
  }
```

```

moreover have  $\langle \text{cons}' : \langle \text{consistent-interp } (\text{set-mset } ?I) \rangle$ 
  using  $\text{cons}$  unfolding  $I$  by  $(\text{rule } \text{consistent-interp-upostp})$ 
ultimately have  $\langle \text{Found } (\varrho_e ?I) \geq \text{enc-weight-opt.}\varrho' (\text{weight } T) \rangle$ 
  using  $\text{opt}[of ?I]$  by  $\text{auto}$ 
moreover {
  have  $\langle \varrho_e ?I = \varrho (\text{mset-set } (\text{set-mset } I)) \rangle$ 
    by  $(\text{rule } \varrho_e\text{-upostp-}\varrho)$ 
     $(\text{use } \Delta\Sigma\text{-}\Sigma \text{ atms atm cons in } \langle \text{auto dest: multi-member-split} \rangle)$ 
  then have  $\langle \varrho_e ?I = \varrho I \rangle$ 
    by  $(\text{subst } (\text{asm}) \text{ distinct-mset-set-mset-ident})$ 
     $(\text{use atms dist in auto})$ 
}
ultimately have  $\langle \text{Found } (\varrho I) \geq \text{enc-weight-opt.}\varrho' (\text{weight } T) \rangle$ 
  using  $\text{Some}'$ 
  by  $\text{auto}$ 
moreover {
  have  $\langle \varrho_e (\text{mset-set } ?K) \leq \varrho_e (\text{mset-set } (\text{set-mset } (\text{the } (\text{weight } T)))) \rangle$ 
    unfolding  $\varrho_e\text{-def}$ 
    apply  $(\text{rule } \varrho\text{-mono2})$ 
    subgoal using  $\text{cons-f}$  by  $\text{auto}$ 
    subgoal using  $\text{dist-f}$  by  $\text{auto}$ 
    subgoal using  $\text{atms dist model}[OF \text{ Some}] \text{ atms } \Delta\Sigma\text{-}\Sigma$  by  $(\text{auto simp: postp-def})$ 
    subgoal using  $\text{atms dist model}[OF \text{ Some}] \text{ atms } \Delta\Sigma\text{-}\Sigma$  by  $(\text{auto simp: postp-def})$ 
    subgoal
      by  $(\text{subst } \text{distinct-subseteq-iff}[\text{symmetric}])$ 
       $(\text{auto simp: postp-def simp: image-mset-mset-set inj-on-Neg inj-on-Pos}$ 
         $\text{distinct-mset-add mset-inter-empty-set-mset distinct-mset-mset-set})$ 
    done
  then have  $\langle \text{Found } (\varrho_e (\text{mset-set } ?K)) \leq \text{enc-weight-opt.}\varrho' (\text{weight } T) \rangle$ 
    apply  $(\text{subst } (\text{asm}) \text{ distinct-mset-set-mset-ident})$ 
    apply  $(\text{use atms dist model}[OF \text{ Some}] \text{ in auto; fail})[]$ 
    using  $\text{Some}'$  by  $\text{auto}$ 
}
moreover have  $\langle \varrho_e (\text{mset-set } ?K) \leq \varrho (\text{mset-set } ?K) \rangle$ 
  unfolding  $\varrho_e\text{-def}$ 
  apply  $(\text{rule } \varrho\text{-mono2})$ 
  subgoal
    using  $\text{model } \text{Some}'$  by  $(\text{auto simp: finite-postp consistent-interp-postp})$ 
  subgoal by  $(\text{auto simp: distinct-mset-mset-set})$ 
  subgoal using  $\text{atms dist model}[OF \text{ Some}] \text{ atms } \Delta\Sigma\text{-}\Sigma$  by  $(\text{auto simp: postp-def})$ 
  subgoal using  $\text{atms dist model}[OF \text{ Some}] \text{ atms } \Delta\Sigma\text{-}\Sigma$  by  $(\text{auto simp: postp-def})$ 
  subgoal
    by  $(\text{subst } \text{distinct-subseteq-iff}[\text{symmetric}])$ 
     $(\text{auto simp: postp-def simp: image-mset-mset-set inj-on-Neg inj-on-Pos}$ 
       $\text{distinct-mset-add mset-inter-empty-set-mset distinct-mset-mset-set})$ 
  done
ultimately show  $?thesis$ 
  using  $\text{Some}' \text{ le}$  by  $\text{auto}$ 
qed
qed

```

**inductive**  $\text{ocdcl}_W\text{-o-r} :: 'st \Rightarrow 'st \Rightarrow \text{bool}$  **for**  $S :: 'st$  **where**  
 $\text{decide: } \text{odecide } S \ S' \Longrightarrow \text{ocdcl}_W\text{-o-r } S \ S' \mid$   
 $\text{bj: } \text{enc-weight-opt.cdcl-bnb-bj } S \ S' \Longrightarrow \text{ocdcl}_W\text{-o-r } S \ S'$

**inductive** *cdcl-bnb-r* ::  $\langle 'st \Rightarrow 'st \Rightarrow bool \rangle$  **for** *S* ::  $'st$  **where**  
*cdcl-conflict*:  $conflict\ S\ S' \Longrightarrow cdcl-bnb-r\ S\ S' \mid$   
*cdcl-propagate*:  $propagate\ S\ S' \Longrightarrow cdcl-bnb-r\ S\ S' \mid$   
*cdcl-improve*:  $enc-weight-opt.improvep\ S\ S' \Longrightarrow cdcl-bnb-r\ S\ S' \mid$   
*cdcl-conflict-opt*:  $enc-weight-opt.conflict-opt\ S\ S' \Longrightarrow cdcl-bnb-r\ S\ S' \mid$   
*cdcl-o'*:  $ocdcl_W-o-r\ S\ S' \Longrightarrow cdcl-bnb-r\ S\ S'$

**inductive** *cdcl-bnb-r-stgy* ::  $\langle 'st \Rightarrow 'st \Rightarrow bool \rangle$  **for** *S* ::  $'st$  **where**  
*cdcl-bnb-r-conflict*:  $conflict\ S\ S' \Longrightarrow cdcl-bnb-r-stgy\ S\ S' \mid$   
*cdcl-bnb-r-propagate*:  $propagate\ S\ S' \Longrightarrow cdcl-bnb-r-stgy\ S\ S' \mid$   
*cdcl-bnb-r-improve*:  $enc-weight-opt.improvep\ S\ S' \Longrightarrow cdcl-bnb-r-stgy\ S\ S' \mid$   
*cdcl-bnb-r-conflict-opt*:  $enc-weight-opt.conflict-opt\ S\ S' \Longrightarrow cdcl-bnb-r-stgy\ S\ S' \mid$   
*cdcl-bnb-r-other'*:  $ocdcl_W-o-r\ S\ S' \Longrightarrow no-conflict-prop-impr\ S \Longrightarrow cdcl-bnb-r-stgy\ S\ S'$

**lemma** *ocdcl\_W-o-r-cases*[*consumes 1, case-names odecode obacktrack skip resolve*]:  
**assumes**  
 $\langle ocdcl_W-o-r\ S\ T \rangle$   
 $\langle odecode\ S\ T \Longrightarrow P\ T \rangle$   
 $\langle enc-weight-opt.obacktrack\ S\ T \Longrightarrow P\ T \rangle$   
 $\langle skip\ S\ T \Longrightarrow P\ T \rangle$   
 $\langle resolve\ S\ T \Longrightarrow P\ T \rangle$   
**shows**  $\langle P\ T \rangle$   
**using** *assms* **by** (*auto simp: ocdcl\_W-o-r.simps enc-weight-opt.cdcl-bnb-bj.simps*)

**context**  
**fixes** *S* ::  $'st$   
**assumes**  $S-\Sigma$ :  $\langle atms-of-mm\ (init-clss\ S) = (\Sigma - \Delta\Sigma) \cup replacement-pos\ ' \Delta\Sigma \cup replacement-neg\ ' \Delta\Sigma \rangle$   
**begin**

**lemma** *odecide-decide*:  
 $\langle odecode\ S\ T \Longrightarrow decide\ S\ T \rangle$   
**apply** (*elim odecodeE*)  
**subgoal for** *L*  
**by** (*rule decide.intros*[*of S*  $\langle L \rangle$ ]) *auto*  
**subgoal for** *L*  
**by** (*rule decide.intros*[*of S*  $\langle Pos\ (L^{\mapsto 1}) \rangle$ ]) (*use S-Σ ΔΣ-Σ in auto*)  
**subgoal for** *L*  
**by** (*rule decide.intros*[*of S*  $\langle Pos\ (L^{\mapsto 0}) \rangle$ ]) (*use S-Σ ΔΣ-Σ in auto*)  
**done**

**lemma** *ocdcl\_W-o-r-ocdcl\_W-o*:  
 $\langle ocdcl_W-o-r\ S\ T \Longrightarrow enc-weight-opt.ocdcl_W-o\ S\ T \rangle$   
**using**  $S-\Sigma$  **by** (*auto simp: ocdcl\_W-o-r.simps enc-weight-opt.ocdcl\_W-o.simps*  
*dest: odecode-decide*)

**lemma** *cdcl-bnb-r-cdcl-bnb*:  
 $\langle cdcl-bnb-r\ S\ T \Longrightarrow enc-weight-opt.cdcl-bnb\ S\ T \rangle$   
**using**  $S-\Sigma$  **by** (*auto simp: cdcl-bnb-r.simps enc-weight-opt.cdcl-bnb.simps*  
*dest: ocdcl\_W-o-r-ocdcl\_W-o*)

**lemma** *cdcl-bnb-r-stgy-cdcl-bnb-stgy*:  
 $\langle cdcl-bnb-r-stgy\ S\ T \Longrightarrow enc-weight-opt.cdcl-bnb-stgy\ S\ T \rangle$   
**using**  $S-\Sigma$  **by** (*auto simp: cdcl-bnb-r-stgy.simps enc-weight-opt.cdcl-bnb-stgy.simps*  
*dest: ocdcl\_W-o-r-ocdcl\_W-o*)



end

context

fixes  $S :: 'st$

assumes  $S\text{-}\Sigma: \langle \text{atms-of-mm } (\text{init-clss } S) = (\Sigma - \Delta\Sigma) \cup \text{replacement-pos } ' \Delta\Sigma$   
 $\cup \text{replacement-neg } ' \Delta\Sigma \rangle$

begin

lemma *rtrancpl-cdcl-bnb-r-cdcl-bnb*:

$\langle \text{cdcl-bnb-r}^{**} S T \implies \text{enc-weight-opt.cdcl-bnb}^{**} S T \rangle$

apply (induction rule: *rtrancpl-induct*)

subgoal by *auto*

subgoal for  $T U$

using  $S\text{-}\Sigma$  *enc-weight-opt.rtrancpl-cdcl-bnb-no-more-init-clss*[of  $S T$ ]

by(*auto dest: cdcl-bnb-r-cdcl-bnb*)

done

lemma *rtrancpl-cdcl-bnb-r-stgy-cdcl-bnb-stgy*:

$\langle \text{cdcl-bnb-r-stgy}^{**} S T \implies \text{enc-weight-opt.cdcl-bnb-stgy}^{**} S T \rangle$

apply (induction rule: *rtrancpl-induct*)

subgoal by *auto*

subgoal for  $T U$

using  $S\text{-}\Sigma$

*enc-weight-opt.rtrancpl-cdcl-bnb-no-more-init-clss*[of  $S T$ ,  
 $OF$  *enc-weight-opt.rtrancpl-cdcl-bnb-stgy-cdcl-bnb*]

by (*auto dest: cdcl-bnb-r-stgy-cdcl-bnb-stgy*)

done

lemma *rtrancpl-cdcl-bnb-r-all-struct-inv*:

$\langle \text{cdcl-bnb-r}^{**} S T \implies$

*cdcl<sub>W</sub>-restart-mset.cdcl<sub>W</sub>-all-struct-inv* (*enc-weight-opt.abs-state*  $S$ )  $\implies$   
*cdcl<sub>W</sub>-restart-mset.cdcl<sub>W</sub>-all-struct-inv* (*enc-weight-opt.abs-state*  $T$ )  $\rangle$

using *rtrancpl-cdcl-bnb-r-cdcl-bnb*[of  $T$ ]

*enc-weight-opt.rtrancpl-cdcl-bnb-stgy-all-struct-inv* by *blast*

lemma *rtrancpl-cdcl-bnb-r-stgy-all-struct-inv*:

$\langle \text{cdcl-bnb-r-stgy}^{**} S T \implies$

*cdcl<sub>W</sub>-restart-mset.cdcl<sub>W</sub>-all-struct-inv* (*enc-weight-opt.abs-state*  $S$ )  $\implies$   
*cdcl<sub>W</sub>-restart-mset.cdcl<sub>W</sub>-all-struct-inv* (*enc-weight-opt.abs-state*  $T$ )  $\rangle$

using *rtrancpl-cdcl-bnb-r-stgy-cdcl-bnb-stgy*[of  $T$ ]

*enc-weight-opt.rtrancpl-cdcl-bnb-stgy-all-struct-inv*[of  $S T$ ]

*enc-weight-opt.rtrancpl-cdcl-bnb-stgy-cdcl-bnb*[of  $S T$ ]

by *auto*

end

lemma *total-entails-iff-no-conflict*:

assumes  $\langle \text{atms-of-mm } N \subseteq \text{atm-of } ' I \rangle$  and  $\langle \text{consistent-interp } I \rangle$

shows  $\langle I \models_{sm} N \longleftrightarrow (\forall C \in \# N. \neg I \models_s C \text{Not } C) \rangle$

apply *rule*

subgoal

using *assms* by (*auto dest!:: multi-member-split*  
*simp: consistent-CNot-not*)

**subgoal**

**by** (*smt* *assms*(1) *atms-of-atms-of-ms-mono* *atms-of-ms-CNot-atms-of*  
*atms-of-ms-insert* *atms-of-ms-mono* *atms-of-s-def* *empty-iff*  
*subset-iff* *sup.orderE* *total-not-true-cls-true-clss-CNot*  
*total-over-m-alt-def* *true-clss-def*)

**done**

**lemma** *no-step-cdcl-bnb-r-stgy-no-step-cdcl-bnb-stgy*:

**assumes**

*N*:  $\langle \text{init-clss } S = \text{penc } N \rangle$  **and**

$\Sigma$ :  $\langle \text{atms-of-mm } N = \Sigma \rangle$  **and**

*n-d*:  $\langle \text{no-dup } (\text{trail } S) \rangle$  **and**

*tr-alien*:  $\langle \text{atm-of } \text{'lits-of-l } (\text{trail } S) \subseteq \Sigma \cup \text{replacement-pos } \text{' } \Delta\Sigma \cup \text{replacement-neg } \text{' } \Delta\Sigma \rangle$

**shows**

$\langle \text{no-step cdcl-bnb-r-stgy } S \longleftrightarrow \text{no-step enc-weight-opt.cdcl-bnb-stgy } S \rangle$  (**is**  $\langle ?A \longleftrightarrow ?B \rangle$ )

**proof**

**assume**  $?B$

**then show**  $\langle ?A \rangle$

**using** *N* *cdcl-bnb-r-stgy-cdcl-bnb-stgy*[of *S*] *atms-of-mm-encode-clause-subset*[of *N*]

*atms-of-mm-encode-clause-subset2*[of *N*] *finite-Σ*  $\Delta\Sigma$ - $\Sigma$

*atms-of-mm-penc-subset2*[of *N*]

**by** (*auto simp*:  $\Sigma$ )

**next**

**assume**  $?A$

**then have**

*nsd*:  $\langle \text{no-step odecide } S \rangle$  **and**

*nsp*:  $\langle \text{no-step propagate } S \rangle$  **and**

*nsc*:  $\langle \text{no-step conflict } S \rangle$  **and**

*nsi*:  $\langle \text{no-step enc-weight-opt.improvep } S \rangle$  **and**

*nsco*:  $\langle \text{no-step enc-weight-opt.conflict-opt } S \rangle$

**by** (*auto simp*: *cdcl-bnb-r-stgy.simps* *ocdcl<sub>W</sub>-o-r.simps*)

**have**

*nsi'*:  $\langle \bigwedge M'. \text{conflicting } S = \text{None} \implies \neg \text{enc-weight-opt.is-improving } (\text{trail } S) M' S \rangle$  **and**

*nsco'*:  $\langle \text{conflicting } S = \text{None} \implies \text{negate-ann-lits } (\text{trail } S) \notin \# \text{enc-weight-opt.conflicting-clss } S \rangle$

**using** *nsi* *nsco* **unfolding** *enc-weight-opt.improvep.simps* *enc-weight-opt.conflict-opt.simps*

**by** *auto*

**have** *N-Σ*:  $\langle \text{atms-of-mm } (\text{penc } N) =$

$(\Sigma - \Delta\Sigma) \cup \text{replacement-pos } \text{' } \Delta\Sigma \cup \text{replacement-neg } \text{' } \Delta\Sigma \rangle$

**using** *N*  $\Sigma$  *cdcl-bnb-r-stgy-cdcl-bnb-stgy*[of *S*] *atms-of-mm-encode-clause-subset*[of *N*]

*atms-of-mm-encode-clause-subset2*[of *N*] *finite-Σ*  $\Delta\Sigma$ - $\Sigma$

*atms-of-mm-penc-subset2*[of *N*]

**by** *auto*

**have** *False* **if** *dec*:  $\langle \text{decide } S T \rangle$  **for** *T*

**proof** –

**obtain** *L* **where**

[*simp*]:  $\langle \text{conflicting } S = \text{None} \rangle$  **and**

*undef*:  $\langle \text{undefined-lit } (\text{trail } S) L \rangle$  **and**

*L*:  $\langle \text{atm-of } L \in \text{atms-of-mm } (\text{init-clss } S) \rangle$  **and**

*T*:  $\langle T \sim \text{cons-trail } (\text{Decided } L) S \rangle$

**using** *dec* **unfolding** *decide.simps*

**by** *auto*

**have** 1:  $\langle \text{atm-of } L \notin \Sigma - \Delta\Sigma \rangle$

**using** *nsd* *L* *undef* **by** (*fastforce simp*: *odecide.simps* *N*  $\Sigma$ )

**have** 2: *False* **if** *L*:  $\langle \text{atm-of } L \in \text{replacement-pos } \text{' } \Delta\Sigma \cup$

$\text{replacement-neg } \text{' } \Delta\Sigma \rangle$

**proof** –

```

obtain  $A$  where
   $\langle A \in \Delta\Sigma \rangle$  and
   $\langle \text{atm-of } L = \text{replacement-pos } A \vee \text{atm-of } L = \text{replacement-neg } A \rangle$  and
   $\langle A \in \Sigma \rangle$ 
  using  $L \Delta\Sigma\text{-}\Sigma$  by auto
then show False
  using nsd  $L$  undef  $T N\Sigma$ 
  using odecide.intros(2-)[of  $S \langle A \rangle$ ]
  unfolding  $N \Sigma$ 
  by (cases  $L$ ) (auto 6 5 simp: defined-lit-Neg-Pos-iff  $\Sigma$ )
qed
have defined-replacement-pos:  $\langle \text{defined-lit } (\text{trail } S) (\text{Pos } (\text{replacement-pos } L)) \rangle$ 
  if  $\langle L \in \Delta\Sigma \rangle$  for  $L$ 
  using nsd that  $\Delta\Sigma\text{-}\Sigma$  odecide.intros(2-)[of  $S \langle L \rangle$ ] by (auto simp: N  $\Sigma$   $N\Sigma$ )
have defined-all:  $\langle \text{defined-lit } (\text{trail } S) L \rangle$ 
  if  $\langle \text{atm-of } L \in \Sigma - \Delta\Sigma \rangle$  for  $L$ 
  using nsd that  $\Delta\Sigma\text{-}\Sigma$  odecide.intros(1)[of  $S \langle L \rangle$ ] by (force simp: N  $\Sigma$   $N\Sigma$ )
have defined-replacement-neg:  $\langle \text{defined-lit } (\text{trail } S) (\text{Pos } (\text{replacement-neg } L)) \rangle$ 
  if  $\langle L \in \Delta\Sigma \rangle$  for  $L$ 
  using nsd that  $\Delta\Sigma\text{-}\Sigma$  odecide.intros(2-)[of  $S \langle L \rangle$ ] by (force simp: N  $\Sigma$   $N\Sigma$ )
have [simp]:  $\langle \{A \in \Delta\Sigma. A \in \Sigma\} = \Delta\Sigma \rangle$ 
  using  $\Delta\Sigma\text{-}\Sigma$  by auto
have atms-tr':  $\langle \Sigma - \Delta\Sigma \cup \text{replacement-pos } \Delta\Sigma \cup \text{replacement-neg } \Delta\Sigma \subseteq$ 
  atm-of ' (lits-of-l (trail  $S$ ))  $\rangle$ 
  using  $N \Sigma$  cdcl-bnb-r-stgy-cdcl-bnb-stgy[of  $S$ ] atms-of-mm-encode-clause-subset[of  $N$ ]
  atms-of-mm-encode-clause-subset2[of  $N$ ] finite-Σ  $\Delta\Sigma\text{-}\Sigma$ 
  defined-replacement-pos defined-replacement-neg defined-all
  unfolding  $N \Sigma N\Sigma$ 
  apply (auto simp: Decided-Propagated-in-iff-in-lits-of-l)
  apply (metis image-eqI literal.sel(1) literal.sel(2) uminus-Pos)
  apply (metis image-eqI literal.sel(1) literal.sel(2))
  apply (metis image-eqI literal.sel(1) literal.sel(2))
  done
then have atms-tr:  $\langle \text{atms-of-mm } (\text{encode-clauses } N) \subseteq \text{atm-of } ' (\text{lits-of-l } (\text{trail } S)) \rangle$ 
  using  $N$  atms-of-mm-encode-clause-subset[of  $N$ ]
  atms-of-mm-encode-clause-subset2[of  $N$ , OF finite-Σ]  $\Delta\Sigma\text{-}\Sigma$ 
  unfolding  $N \Sigma N\Sigma \langle \{A \in \Delta\Sigma. A \in \Sigma\} = \Delta\Sigma \rangle$ 
  by (meson order-trans)
show False
  by (metis  $L N N\Sigma$  atm-lit-of-set-lits-of-l
    atms-tr' defined-lit-map subsetCE undef)
qed
then show  $?B$ 
  using  $\langle ?A \rangle$ 
  by (auto simp: cdcl-bnb-r-stgy.simps enc-weight-opt.cdcl-bnb-stgy.simps
    ocdclW-o-r.simps enc-weight-opt.ocdclW-o.simps)
qed

lemma cdcl-bnb-r-stgy-init-cls:
   $\langle \text{cdcl-bnb-r-stgy } S T \implies \text{init-cls } S = \text{init-cls } T \rangle$ 
  by (auto simp: cdcl-bnb-r-stgy.simps ocdclW-o-r.simps enc-weight-opt.cdcl-bnb-bj.simps
    elim: conflictE propagateE enc-weight-opt.improveE enc-weight-opt.conflict-optE
    odecideE skipE resolveE enc-weight-opt.obacktrackE)

lemma rtrancpl-cdcl-bnb-r-stgy-init-cls:
   $\langle \text{cdcl-bnb-r-stgy}^* S T \implies \text{init-cls } S = \text{init-cls } T \rangle$ 

```

by (induction rule: rtrancpl-induct)(auto simp: dest: cdcl-bnb-r-stgy-init-clss)

lemma [simp]:

⟨enc-weight-opt.abs-state (init-state N) = abs-state (init-state N)⟩  
 by (auto simp: enc-weight-opt.abs-state-def abs-state-def)

corollary

assumes

Σ: ⟨atms-of-mm N = Σ⟩ and dist: ⟨distinct-mset-mset N⟩ and  
 ⟨full cdcl-bnb-r-stgy (init-state (penc N)) T⟩

shows

⟨full enc-weight-opt.cdcl-bnb-stgy (init-state (penc N)) T⟩

proof –

have [simp]: ⟨atms-of-mm (CDCL-W-Abstract-State.init-clss (enc-weight-opt.abs-state T)) =  
 atms-of-mm (init-clss T)⟩

by (auto simp: enc-weight-opt.abs-state-def init-clss.simps)

let ?S = ⟨init-state (penc N)⟩

have

st: ⟨cdcl-bnb-r-stgy\*\* ?S T⟩ and

ns: ⟨no-step cdcl-bnb-r-stgy T⟩

using assms unfolding full-def by metis+

have st': ⟨enc-weight-opt.cdcl-bnb-stgy\*\* ?S T⟩

by (rule rtrancpl-cdcl-bnb-r-stgy-cdcl-bnb-stgy[OF - st])

(use atms-of-mm-penc-subset2[of N] finite-Σ ΔΣ-Σ Σ in auto)

have [simp]:

⟨CDCL-W-Abstract-State.init-clss (abs-state (init-state (penc N))) =  
 (penc N)⟩

by (auto simp: abs-state-def init-clss.simps)

have [iff]: ⟨cdcl<sub>W</sub>-restart-mset.cdcl<sub>W</sub>-all-struct-inv (abs-state ?S)⟩

using dist distinct-mset-penc[of N]

by (auto simp: cdcl<sub>W</sub>-restart-mset.cdcl<sub>W</sub>-all-struct-inv-def

cdcl<sub>W</sub>-restart-mset.distinct-cdcl<sub>W</sub>-state-def Σ

cdcl<sub>W</sub>-restart-mset.cdcl<sub>W</sub>-learned-clause-alt-def)

have ⟨cdcl<sub>W</sub>-restart-mset.cdcl<sub>W</sub>-all-struct-inv (enc-weight-opt.abs-state T)⟩

using enc-weight-opt.rtrancpl-cdcl-bnb-stgy-all-struct-inv[of ?S T]

enc-weight-opt.rtrancpl-cdcl-bnb-stgy-cdcl-bnb[OF st']

by auto

then have alien: ⟨cdcl<sub>W</sub>-restart-mset.no-strange-atm (enc-weight-opt.abs-state T)⟩ and

lev: ⟨cdcl<sub>W</sub>-restart-mset.cdcl<sub>W</sub>-M-level-inv (enc-weight-opt.abs-state T)⟩

unfolding cdcl<sub>W</sub>-restart-mset.cdcl<sub>W</sub>-all-struct-inv-def

by fast+

have [simp]: ⟨init-clss T = penc N⟩

using rtrancpl-cdcl-bnb-r-stgy-init-clss[OF st] by auto

have ⟨no-step enc-weight-opt.cdcl-bnb-stgy T⟩

by (rule no-step-cdcl-bnb-r-stgy-no-step-cdcl-bnb-stgy[THEN iffD1, of - N, OF - - - ns])

(use alien atms-of-mm-penc-subset2[of N] finite-Σ ΔΣ-Σ lev

in ⟨auto simp: cdcl<sub>W</sub>-restart-mset.no-strange-atm-def Σ

cdcl<sub>W</sub>-restart-mset.cdcl<sub>W</sub>-M-level-inv-def⟩)

then show ⟨full enc-weight-opt.cdcl-bnb-stgy (init-state (penc N)) T⟩

using st' unfolding full-def

by auto

qed

lemma Neg-in-lits-of-l-definedD:

⟨Neg A ∈ lits-of-l M ⟹ defined-lit M (Pos A)⟩

by (simp add: Decided-Propagated-in-iff-in-lits-of-l)

**lemma** *propagation-one-lit-of-same-lvl*:

**assumes**

$\langle \text{cdcl}_W\text{-restart-mset.cdcl}_W\text{-all-struct-inv (abs-state } S) \rangle$  **and**

$\langle \text{no-smaller-propa } S \rangle$  **and**

$\langle \text{Propagated } L \ E \in \text{set (trail } S) \rangle$  **and**

$\text{rea: } \langle \text{reasons-in-clauses } S \rangle$  **and**

$\text{nempty: } \langle E - \{\#L\# \} \neq \{\#\} \rangle$

**shows**

$\langle \exists L' \in \# \ E - \{\#L\# \}. \text{get-level (trail } S) \ L = \text{get-level (trail } S) \ L' \rangle$

**proof** (rule ccontr)

**assume**  $H: \langle \neg ?thesis \rangle$

**have**  $\text{ns: } \langle \bigwedge M \ K \ M' \ D \ L. \text{trail } S = M' @ \text{Decided } K \ \# \ M \implies$

$D + \{\#L\# \} \in \# \ \text{clauses } S \implies \text{undefined-lit } M \ L \implies \neg M \models_{\text{as}} \text{CNot } D \rangle$  **and**

$\text{n-d: } \langle \text{no-dup (trail } S) \rangle$

**using** *assms unfolding no-smaller-propa-def*

*cdcl<sub>W</sub>-restart-mset.cdcl<sub>W</sub>-all-struct-inv-def*

*cdcl<sub>W</sub>-restart-mset.cdcl<sub>W</sub>-M-level-inv-def*

**by** *auto*

**obtain**  $M1 \ M2$  **where**  $M2: \langle \text{trail } S = M2 @ \text{Propagated } L \ E \ \# \ M1 \rangle$

**using** *assms by (auto dest!: split-list)*

**have**  $\langle \bigwedge L \ \text{mark } a \ b. \text{a } @ \text{Propagated } L \ \text{mark } \# \ b = \text{trail } S \implies$

$b \models_{\text{as}} \text{CNot (remove1-mset } L \ \text{mark}) \wedge L \in \# \ \text{mark} \rangle$  **and**

$\langle \text{set (get-all-mark-of-propagated (trail } S)) \subseteq \text{set-mset (clauses } S) \rangle$

**using** *assms unfolding cdcl<sub>W</sub>-restart-mset.cdcl<sub>W</sub>-all-struct-inv-def*

*cdcl<sub>W</sub>-restart-mset.cdcl<sub>W</sub>-conflicting-def*

*reasons-in-clauses-def*

**by** *auto*

**from**  $\text{this}(1)[\text{OF } M2[\text{symmetric}]] \ \text{this}(2)$

**have**  $\langle M1 \models_{\text{as}} \text{CNot (remove1-mset } L \ E) \rangle$  **and**  $\langle L \in \# \ E \rangle$  **and**  $\langle E \in \# \ \text{clauses } S \rangle$

**by** *(auto simp: M2)*

**then have** *lev-le*:

$\langle L' \in \# \ E - \{\#L\# \} \implies \text{get-level (trail } S) \ L > \text{get-level (trail } S) \ L' \rangle$  **and**

$\langle \text{trail } S \models_{\text{as}} \text{CNot (remove1-mset } L \ E) \rangle$  **for**  $L'$

**using**  $H \ \text{n-d defined-lit-no-dupD}(1)[\text{of } M1 - M2]$

*count-decided-ge-get-level[of M1 L]*

**by** *(auto simp: M2 get-level-append-if get-level-cons-if*

*Decided-Propagated-in-iff-in-lits-of-l atm-of-eq-atm-of*

*true-annots-append-l*

*dest!: multi-member-split)*

**define**  $i$  **where**  $\langle i = \text{get-level (trail } S) \ L - 1 \rangle$

**have**  $\langle i < \text{local.backtrack-lvl } S \rangle$  **and**  $\langle \text{get-level (trail } S) \ L \geq 1 \rangle$

$\langle \text{get-level (trail } S) \ L > i \rangle$  **and**

$i2: \langle \text{get-level (trail } S) \ L = \text{Suc } i \rangle$

**using** *lev-le nempty count-decided-ge-get-level[of (trail S) L] i-def*

**by** *(cases (E - {\#L\#}); force)+*

**from** *backtrack-ex-decomp[OF n-d this(1)]* **obtain**  $M3 \ M4 \ K$  **where**

*decomp: (Decided K # M3, M4) ∈ set (get-all-ann-decomposition (trail S))* **and**

*lev-K: get-level (trail S) K = Suc i*

**by** *blast*

**then obtain**  $M5$  **where**

$\text{tr: } \langle \text{trail } S = (M5 @ M4) @ \text{Decided } K \ \# \ M3 \rangle$

```

  by auto
define M4' where  $\langle M4' = M5 @ M4 \rangle$ 
have  $\langle \text{undefined-lit } M3 \ L \rangle$ 
  using n-d  $\langle \text{get-level } (\text{trail } S) \ L > i \rangle \text{ lev-}K$ 
  count-decided-ge-get-level[ $\text{of } M3 \ L$ ] unfolding  $\text{tr } M4' \text{-def[symmetric]}$ 
  by (auto simp: get-level-append-if get-level-cons-if
    atm-of-eq-atm-of
    split: if-splits dest: defined-lit-no-dupD)
moreover have  $\langle M3 \models_{\text{as}} \text{CNot } (\text{remove1-mset } L \ E) \rangle$ 
  using  $\langle \text{trail } S \models_{\text{as}} \text{CNot } (\text{remove1-mset } L \ E) \rangle \text{ lev-}K \ n\text{-d}$ 
  unfolding true-annots-def true-annot-def
  apply clarsimp
  subgoal for  $L'$ 
    using  $\text{lev-le[of } \langle \neg L' \rangle \ \text{lev-le[of } \langle L' \rangle \ \text{lev-}K$ 
    unfolding i2
    unfolding  $\text{tr } M4' \text{-def[symmetric]}$ 
    by (auto simp: get-level-append-if get-level-cons-if
      atm-of-eq-atm-of if-distrib if-distribR Decided-Propagated-in-iff-in-lits-of-l
      split: if-splits dest: defined-lit-no-dupD
      dest!: multi-member-split)
  done
ultimately show False
  using ns[ $\text{OF } \text{tr}, \text{ of } \langle \text{remove1-mset } L \ E \rangle \ L \rangle \langle E \in \# \text{ clauses } S \rangle \langle L \in \# \ E \rangle$ ]
  by auto
qed

```

**lemma** simple-backtrack-obacktrack:

```

 $\langle \text{simple-backtrack } S \ T \implies \text{cdcl}_W\text{-restart-mset.cdcl}_W\text{-all-struct-inv } (\text{enc-weight-opt.abs-state } S) \implies$ 
 $\text{enc-weight-opt.obacktrack } S \ T \rangle$ 
unfolding  $\text{cdcl}_W\text{-restart-mset.cdcl}_W\text{-all-struct-inv-def}$ 
 $\text{cdcl}_W\text{-restart-mset.cdcl}_W\text{-conflicting-def}$ 
 $\text{cdcl}_W\text{-restart-mset.cdcl}_W\text{-learned-clause-alt-def}$ 
apply (auto simp: simple-backtrack.simps
  enc-weight-opt.obacktrack.simps)
apply (rule-tac  $x=L$  in  $exI$ )
apply (rule-tac  $x=D$  in  $exI$ )
apply auto
apply (rule-tac  $x=K$  in  $exI$ )
apply (rule-tac  $x=M1$  in  $exI$ )
apply auto
apply (rule-tac  $x=D$  in  $exI$ )
apply (auto simp:)
done

```

**end**

**interpretation** test-real: optimal-encoding-opt **where**

```

state-eq =  $\langle (=) \rangle$  and
state =  $\text{id}$  and
trail =  $\langle \lambda(M, N, U, D, W). \ M \rangle$  and
init-clss =  $\langle \lambda(M, N, U, D, W). \ N \rangle$  and
learned-clss =  $\langle \lambda(M, N, U, D, W). \ U \rangle$  and
conflicting =  $\langle \lambda(M, N, U, D, W). \ D \rangle$  and
cons-trail =  $\langle \lambda K \ (M, N, U, D, W). \ (K \ \# \ M, N, U, D, W) \rangle$  and
tl-trail =  $\langle \lambda(M, N, U, D, W). \ (\text{tl } M, N, U, D, W) \rangle$  and

```

$add\_learned\_cls = \langle \lambda C (M, N, U, D, W). (M, N, add\_mset\ C\ U, D, W) \rangle$  **and**  
 $remove\_cls = \langle \lambda C (M, N, U, D, W). (M, removeAll\_mset\ C\ N, removeAll\_mset\ C\ U, D, W) \rangle$  **and**  
 $update\_conflicting = \langle \lambda C (M, N, U, -, W). (M, N, U, C, W) \rangle$  **and**  
 $init\_state = \langle \lambda N. ([], N, \{\#\}, None, None, ()) \rangle$  **and**  
 $\varrho = \langle \lambda -. (0::real) \rangle$  **and**  
 $update\_additional\_info = \langle \lambda W (M, N, U, D, -, -). (M, N, U, D, W) \rangle$  **and**  
 $\Sigma = \langle \{1..(100::nat)\} \rangle$  **and**  
 $\Delta\Sigma = \langle \{1..(50::nat)\} \rangle$  **and**  
 $new\_vars = \langle \lambda n. (200 + 2*n, 200 + 2*n+1) \rangle$   
**by** *unfold-locales*

**lemma** *mult3-inj*:

$\langle 2 * A = Suc\ (2 * Aa) \longleftrightarrow False \rangle$  **for**  $A\ Aa::nat$   
**by** *presburger+*

**interpretation** *test-real: optimal-encoding where*

$state\_eq = \langle (=) \rangle$  **and**  
 $state = id$  **and**  
 $trail = \langle \lambda (M, N, U, D, W). M \rangle$  **and**  
 $init\_clss = \langle \lambda (M, N, U, D, W). N \rangle$  **and**  
 $learned\_clss = \langle \lambda (M, N, U, D, W). U \rangle$  **and**  
 $conflicting = \langle \lambda (M, N, U, D, W). D \rangle$  **and**  
 $cons\_trail = \langle \lambda K (M, N, U, D, W). (K \# M, N, U, D, W) \rangle$  **and**  
 $tl\_trail = \langle \lambda (M, N, U, D, W). (tl\ M, N, U, D, W) \rangle$  **and**  
 $add\_learned\_cls = \langle \lambda C (M, N, U, D, W). (M, N, add\_mset\ C\ U, D, W) \rangle$  **and**  
 $remove\_cls = \langle \lambda C (M, N, U, D, W). (M, removeAll\_mset\ C\ N, removeAll\_mset\ C\ U, D, W) \rangle$  **and**  
 $update\_conflicting = \langle \lambda C (M, N, U, -, W). (M, N, U, C, W) \rangle$  **and**  
 $init\_state = \langle \lambda N. ([], N, \{\#\}, None, None, ()) \rangle$  **and**  
 $\varrho = \langle \lambda -. (0::real) \rangle$  **and**  
 $update\_additional\_info = \langle \lambda W (M, N, U, D, -, -). (M, N, U, D, W) \rangle$  **and**  
 $\Sigma = \langle \{1..(100::nat)\} \rangle$  **and**  
 $\Delta\Sigma = \langle \{1..(50::nat)\} \rangle$  **and**  
 $new\_vars = \langle \lambda n. (200 + 2*n, 200 + 2*n+1) \rangle$   
**by** *unfold-locales (auto simp: inj-on-def mult3-inj)*

**interpretation** *test-nat: optimal-encoding-opt where*

$state\_eq = \langle (=) \rangle$  **and**  
 $state = id$  **and**  
 $trail = \langle \lambda (M, N, U, D, W). M \rangle$  **and**  
 $init\_clss = \langle \lambda (M, N, U, D, W). N \rangle$  **and**  
 $learned\_clss = \langle \lambda (M, N, U, D, W). U \rangle$  **and**  
 $conflicting = \langle \lambda (M, N, U, D, W). D \rangle$  **and**  
 $cons\_trail = \langle \lambda K (M, N, U, D, W). (K \# M, N, U, D, W) \rangle$  **and**  
 $tl\_trail = \langle \lambda (M, N, U, D, W). (tl\ M, N, U, D, W) \rangle$  **and**  
 $add\_learned\_cls = \langle \lambda C (M, N, U, D, W). (M, N, add\_mset\ C\ U, D, W) \rangle$  **and**  
 $remove\_cls = \langle \lambda C (M, N, U, D, W). (M, removeAll\_mset\ C\ N, removeAll\_mset\ C\ U, D, W) \rangle$  **and**  
 $update\_conflicting = \langle \lambda C (M, N, U, -, W). (M, N, U, C, W) \rangle$  **and**  
 $init\_state = \langle \lambda N. ([], N, \{\#\}, None, None, ()) \rangle$  **and**  
 $\varrho = \langle \lambda -. (0::nat) \rangle$  **and**  
 $update\_additional\_info = \langle \lambda W (M, N, U, D, -, -). (M, N, U, D, W) \rangle$  **and**  
 $\Sigma = \langle \{1..(100::nat)\} \rangle$  **and**  
 $\Delta\Sigma = \langle \{1..(50::nat)\} \rangle$  **and**  
 $new\_vars = \langle \lambda n. (200 + 2*n, 200 + 2*n+1) \rangle$   
**by** *unfold-locales*

**interpretation** *test-nat: optimal-encoding where*

```

state-eq = ⟨(=)⟩ and
state = id and
trail = ⟨λ(M, N, U, D, W). M⟩ and
init-clss = ⟨λ(M, N, U, D, W). N⟩ and
learned-clss = ⟨λ(M, N, U, D, W). U⟩ and
conflicting = ⟨λ(M, N, U, D, W). D⟩ and
cons-trail = ⟨λK (M, N, U, D, W). (K # M, N, U, D, W)⟩ and
tl-trail = ⟨λ(M, N, U, D, W). (tl M, N, U, D, W)⟩ and
add-learned-clss = ⟨λC (M, N, U, D, W). (M, N, add-mset C U, D, W)⟩ and
remove-clss = ⟨λC (M, N, U, D, W). (M, removeAll-mset C N, removeAll-mset C U, D, W)⟩ and
update-conflicting = ⟨λC (M, N, U, -, W). (M, N, U, C, W)⟩ and
init-state = ⟨λN. ([], N, {#}, None, None, ())⟩ and
ρ = ⟨λ-. (0::nat)⟩ and
update-additional-info = ⟨λW (M, N, U, D, -, -). (M, N, U, D, W)⟩ and
Σ = ⟨{1..(100::nat)}⟩ and
ΔΣ = ⟨{1..(50::nat)}⟩ and
new-vars = ⟨λn. (200 + 2*n, 200 + 2*n+1)⟩
by unfold-locales (auto simp: inj-on-def mult3-inj)

```

```

end
theory CDCL-W-MaxSAT
imports CDCL-W-Optimal-Model
begin

```

### 0.1.3 Partial MAX-SAT

**definition** *weight-on-clauses* **where**

```

⟨weight-on-clauses NS ρ I = (Σ C ∈ # (filter-mset (λC. I ⊨ C) NS). ρ C)⟩

```

**definition** *atms-exactly-m* :: ⟨'v partial-interp ⇒ 'v clauses ⇒ bool⟩ **where**

```

⟨atms-exactly-m I N ⟷
total-over-m I (set-mset N) ∧
atms-of-s I ⊆ atms-of-mm N⟩

```

Partial in the name refers to the fact that not all clauses are soft clauses, not to the fact that we consider partial models.

**inductive** *partial-max-sat* :: ⟨'v clauses ⇒ 'v clauses ⇒ ('v clause ⇒ nat) ⇒

```

'v partial-interp option ⇒ bool⟩ where

```

*partial-max-sat*:

```

⟨partial-max-sat NH NS ρ (Some I)⟩

```

**if**

```

⟨I ⊨sm NH⟩ and

```

```

⟨atms-exactly-m I ((NH + NS))⟩ and

```

```

⟨consistent-interp I⟩ and

```

```

⟨⋀ I'. consistent-interp I' ⇒ atms-exactly-m I' (NH + NS) ⇒ I' ⊨sm NH ⇒

```

```

weight-on-clauses NS ρ I' ≤ weight-on-clauses NS ρ I |

```

*partial-max-unsat*:

```

⟨partial-max-sat NH NS ρ None⟩

```

**if**

```

⟨unsatisfiable (set-mset NH)⟩

```

**inductive** *partial-min-sat* :: ⟨'v clauses ⇒ 'v clauses ⇒ ('v clause ⇒ nat) ⇒

```

'v partial-interp option ⇒ bool⟩ where

```

*partial-min-sat*:

```

⟨partial-min-sat NH NS ρ (Some I)⟩

```



**if**  
 $\langle I \models_{sm} N_H \rangle$  **and**  
 $\langle \text{atms-exactly-m } I (N_H + N_S) \rangle$  **and**  
 $\langle \text{consistent-interp } I \rangle$  **and**  
 $\langle \bigwedge I'. \text{consistent-interp } I' \implies \text{atms-exactly-m } I' (N_H + N_S) \implies I' \models_{sm} N_H \implies$   
 $\text{weight-on-clauses } N_S \varrho I' \geq \text{weight-on-clauses } N_S \varrho I \rangle \mid$   
*partial-min-unsat:*  
 $\langle \text{partial-min-sat } N_H N_S \varrho \text{None} \rangle$   
**if**  
 $\langle \text{unsatisfiable } (\text{set-mset } N_H) \rangle$

**lemma** *atms-exactly-m-finite:*

**assumes**  $\langle \text{atms-exactly-m } I N \rangle$

**shows**  $\langle \text{finite } I \rangle$

**proof** –

**have**  $\langle I \subseteq \text{Pos } '(\text{atms-of-mm } N) \cup \text{Neg } '(\text{atms-of-mm } N) \rangle$

**using** *assms* **by** (*force simp: total-over-m-def atms-exactly-m-def lit-in-set-iff-atm*  
*atms-of-s-def*)

**from** *finite-subset[OF this]* **show** *?thesis* **by** *auto*

**qed**

**lemma**

**fixes**  $N_H :: \langle 'v \text{ clauses} \rangle$

**assumes**  $\langle \text{satisfiable } (\text{set-mset } N_H) \rangle$

**shows** *sat-partial-max-sat:*  $\langle \exists I. \text{partial-max-sat } N_H N_S \varrho (\text{Some } I) \rangle$  **and**

*sat-partial-min-sat:*  $\langle \exists I. \text{partial-min-sat } N_H N_S \varrho (\text{Some } I) \rangle$

**proof** –

**let**  $?Is = \langle \{I. \text{atms-exactly-m } I ((N_H + N_S)) \wedge \text{consistent-interp } I \wedge$   
 $I \models_{sm} N_H \} \rangle$

**let**  $?Is' = \langle \{I. \text{atms-exactly-m } I ((N_H + N_S)) \wedge \text{consistent-interp } I \wedge$   
 $I \models_{sm} N_H \wedge \text{finite } I \} \rangle$

**have**  $Is: \langle ?Is = ?Is' \rangle$

**by** (*auto simp: atms-of-s-def atms-exactly-m-finite*)

**have**  $\langle ?Is' \subseteq \text{set-mset } ' \text{simple-clss } (\text{atms-of-mm } (N_H + N_S)) \rangle$

**apply** *rule*

**unfolding** *image-iff*

**by** (*rule-tac x = \langle \text{mset-set } x \rangle* **in** *bestI*)

(*auto simp: simple-clss-def atms-exactly-m-def image-iff*  
*atms-of-s-def atms-of-def distinct-mset-mset-set consistent-interp-tautology-mset-set*)

**from** *finite-subset[OF this]* **have**  $fin: \langle \text{finite } ?Is \rangle$  **unfolding**  $Is$

**by** (*auto simp: simple-clss-finite*)

**then have**  $fin': \langle \text{finite } (\text{weight-on-clauses } N_S \varrho ' ?Is) \rangle$

**by** *auto*

**define**  $\varrho I$  **where**

$\langle \varrho I = \text{Min } (\text{weight-on-clauses } N_S \varrho ' ?Is) \rangle$

**have** *nempty:*  $\langle ?Is \neq \{\} \rangle$

**proof** –

**obtain**  $I$  **where**  $I:$

$\langle \text{total-over-m } I (\text{set-mset } N_H) \rangle$

$\langle I \models_{sm} N_H \rangle$

$\langle \text{consistent-interp } I \rangle$

$\langle \text{atms-of-s } I \subseteq \text{atms-of-mm } N_H \rangle$

**using** *assms* **unfolding** *satisfiable-def-min atms-exactly-m-def*

**by** (*auto simp: atms-of-s-def atm-of-def total-over-m-def*)

**let**  $?I = \langle I \cup \text{Pos } ' \{x \in \text{atms-of-mm } N_S. x \notin \text{atm-of } ' I \} \rangle$

```

have ⟨?I ∈ ?Is⟩
  using I
  by (auto simp: atms-exactly-m-def total-over-m-alt-def image-iff
      lit-in-set-iff-atm)
      (auto simp: consistent-interp-def uminus-lit-swap)
then show ?thesis
  by blast
qed
have ⟨ρI ∈ weight-on-clauses NS ρ ‘ ?Is⟩
  unfolding ρI-def
  by (rule Min-in[OF fin']) (use nempty in auto)
then obtain I :: ⟨'v partial-interp⟩ where
  ⟨weight-on-clauses NS ρ I = ρI⟩ and
  ⟨I ∈ ?Is⟩
  by blast
then have H: ⟨consistent-interp I' ⇒ atms-exactly-m I' (NH + NS) ⇒ I' ⊨sm NH ⇒
  weight-on-clauses NS ρ I' ≥ weight-on-clauses NS ρ I⟩ for I'
  using Min-le[OF fin', of ⟨weight-on-clauses NS ρ I'⟩]
  unfolding ρI-def[symmetric]
  by auto
then have ⟨partial-min-sat NH NS ρ (Some I)⟩
  apply –
  by (rule partial-min-sat)
      (use fin ⟨I ∈ ?Is⟩ in ⟨auto simp: atms-exactly-m-finite⟩)
then show ⟨∃ I. partial-min-sat NH NS ρ (Some I)⟩
  by fast

define ρI where
  ρI = Max (weight-on-clauses NS ρ ‘ ?Is)
have ⟨ρI ∈ weight-on-clauses NS ρ ‘ ?Is⟩
  unfolding ρI-def
  by (rule Max-in[OF fin']) (use nempty in auto)
then obtain I :: ⟨'v partial-interp⟩ where
  ⟨weight-on-clauses NS ρ I = ρI⟩ and
  ⟨I ∈ ?Is⟩
  by blast
then have H: ⟨consistent-interp I' ⇒ atms-exactly-m I' (NH + NS) ⇒ I' ⊨m NH ⇒
  weight-on-clauses NS ρ I' ≤ weight-on-clauses NS ρ I⟩ for I'
  using Max-ge[OF fin', of ⟨weight-on-clauses NS ρ I'⟩]
  unfolding ρI-def[symmetric]
  by auto
then have ⟨partial-max-sat NH NS ρ (Some I)⟩
  apply –
  by (rule partial-max-sat)
      (use fin ⟨I ∈ ?Is⟩ in ⟨auto simp: atms-exactly-m-finite
          consistent-interp-tautology-mset-set⟩)
then show ⟨∃ I. partial-max-sat NH NS ρ (Some I)⟩
  by fast
qed

inductive weight-sat
  :: ⟨'v clauses ⇒ ('v literal multiset ⇒ 'a :: linorder) ⇒
    'v literal multiset option ⇒ bool⟩
where
  weight-sat:
  ⟨weight-sat N ρ (Some I)⟩

```

if

$\langle \text{set-mset } I \models_{sm} N \rangle$  and  
 $\langle \text{atms-exactly-m } (\text{set-mset } I) \ N \rangle$  and  
 $\langle \text{consistent-interp } (\text{set-mset } I) \rangle$  and  
 $\langle \text{distinct-mset } I \rangle$   
 $\langle \bigwedge I'. \text{consistent-interp } (\text{set-mset } I') \implies \text{atms-exactly-m } (\text{set-mset } I') \ N \implies \text{distinct-mset } I' \implies$   
 $\text{set-mset } I' \models_{sm} N \implies \varrho \ I' \geq \varrho \ I \rangle \mid$   
*partial-max-unsat:*  
 $\langle \text{weight-sat } N \ \varrho \ \text{None} \rangle$

if

$\langle \text{unsatisfiable } (\text{set-mset } N) \rangle$

**lemma** *partial-max-sat-is-weight-sat:*

**fixes** *additional-atm* ::  $\langle 'v \text{ clause} \Rightarrow 'v \rangle$  and

$\varrho$  ::  $\langle 'v \text{ clause} \Rightarrow \text{nat} \rangle$  and

$N_S$  ::  $\langle 'v \text{ clauses} \rangle$

**defines**

$\langle \varrho' \equiv (\lambda C. \text{sum-mset}$   
 $((\lambda L. \text{if } L \in \text{Pos } ' \text{additional-atm } ' \text{set-mset } N_S$   
 $\text{then count } N_S \ (\text{SOME } C. L = \text{Pos } (\text{additional-atm } C) \wedge C \in \# \ N_S)$   
 $* \varrho \ (\text{SOME } C. L = \text{Pos } (\text{additional-atm } C) \wedge C \in \# \ N_S)$   
 $\text{else } 0) \ ' \# \ C) \rangle$

**assumes**

$\text{add}: \langle \bigwedge C. C \in \# \ N_S \implies \text{additional-atm } C \notin \text{atms-of-mm } (N_H + N_S) \rangle$   
 $\langle \bigwedge C \ D. C \in \# \ N_S \implies D \in \# \ N_S \implies \text{additional-atm } C = \text{additional-atm } D \iff C = D \rangle$  and  
 $w: \langle \text{weight-sat } (N_H + (\lambda C. \text{add-mset } (\text{Pos } (\text{additional-atm } C)) \ C) \ ' \# \ N_S) \ \varrho' \ (\text{Some } I) \rangle$

**shows**

$\langle \text{partial-max-sat } N_H \ N_S \ \varrho \ (\text{Some } \{L \in \text{set-mset } I. \text{atm-of } L \in \text{atms-of-mm } (N_H + N_S)\}) \rangle$

**proof** –

**define**  $N$  **where**  $\langle N \equiv N_H + (\lambda C. \text{add-mset } (\text{Pos } (\text{additional-atm } C)) \ C) \ ' \# \ N_S \rangle$

**define**  $\text{cl-of}$  **where**  $\langle \text{cl-of } L = (\text{SOME } C. L = \text{Pos } (\text{additional-atm } C) \wedge C \in \# \ N_S) \rangle$  **for**  $L$

**from**  $w$

**have**

$\text{ent}: \langle \text{set-mset } I \models_{sm} N \rangle$  and  
 $\text{bi}: \langle \text{atms-exactly-m } (\text{set-mset } I) \ N \rangle$  and  
 $\text{cons}: \langle \text{consistent-interp } (\text{set-mset } I) \rangle$  and  
 $\text{dist}: \langle \text{distinct-mset } I \rangle$  and  
 $\text{weight}: \langle \bigwedge I'. \text{consistent-interp } (\text{set-mset } I') \implies \text{atms-exactly-m } (\text{set-mset } I') \ N \implies$   
 $\text{distinct-mset } I' \implies \text{set-mset } I' \models_{sm} N \implies \varrho' \ I' \geq \varrho' \ I \rangle$

**unfolding**  $N\text{-def}[\text{symmetric}]$

**by**  $(\text{auto simp: weight-sat.simps})$

**let**  $?I = \langle \{L. L \in \# \ I \wedge \text{atm-of } L \in \text{atms-of-mm } (N_H + N_S)\} \rangle$

**have**  $\text{ent}' : \langle \text{set-mset } I \models_{sm} N_H \rangle$

**using**  $\text{ent}$  **unfolding**  $\text{true-clss-restrict}$

**by**  $(\text{auto simp: } N\text{-def})$

**then have**  $\text{ent}' : \langle ?I \models_{sm} N_H \rangle$

**apply**  $(\text{subst } (\text{asm}) \ \text{true-clss-restrict}[\text{symmetric}])$

**apply**  $(\text{rule } \text{true-clss-mono-left}, \text{assumption})$

**apply**  $\text{auto}$

**done**

**have**  $[\text{simp}]: \langle \text{atms-of-ms } ((\lambda C. \text{add-mset } (\text{Pos } (\text{additional-atm } C)) \ C) \ ' \text{set-mset } N_S) =$

$\text{additional-atm } ' \text{set-mset } N_S \cup \text{atms-of-ms } (\text{set-mset } N_S) \rangle$

**by**  $(\text{auto simp: atms-of-ms-def})$

**have**  $\text{bi}' : \langle \text{atms-exactly-m } ?I \ (N_H + N_S) \rangle$

**using**  $\text{bi}$

**by**  $(\text{auto simp: atms-exactly-m-def total-over-m-def total-over-set-def})$

```

    atms-of-s-def N-def)
  have cons': (consistent-interp ?I)
    using cons by (auto simp: consistent-interp-def)
  have [simp]: (cl-of (Pos (additional-atm xb)) = xb)
    if (xb ∈# NS) for xb
    using someI[of (λC. additional-atm xb = additional-atm C) xb] add that
    unfolding cl-of-def
  by auto

let ?I = {L. L ∈# I ∧ atm-of L ∈ atms-of-mm (NH + NS)} ∪ Pos 'additional-atm' {C ∈ set-mset
NS. ¬set-mset I ⊨ C}
  ∪ Neg 'additional-atm' {C ∈ set-mset NS. set-mset I ⊨ C}
  have (consistent-interp ?I)
    using cons add by (auto simp: consistent-interp-def
      atms-exactly-m-def uminus-lit-swap
      dest: add)
  moreover have (atms-exactly-m ?I N)
    using bi
    by (auto simp: N-def atms-exactly-m-def total-over-m-def
      total-over-set-def image-image)
  moreover have (?I ⊨sm N)
    using ent by (auto simp: N-def true-cls-def image-image
      atm-of-lit-in-atms-of true-cls-def
      dest!: multi-member-split)
  moreover have (set-mset (mset-set ?I) = ?I) and fin: (finite ?I)
    by (auto simp: atms-exactly-m-finite)
  moreover have (distinct-mset (mset-set ?I))
    by (auto simp: distinct-mset-mset-set)
  ultimately have (ρ' (mset-set ?I) ≥ ρ' I)
    using weight[of (mset-set ?I)]
    by argo
  moreover have (ρ' (mset-set ?I) ≤ ρ' I)
    using ent
    by (auto simp: ρ'-def sum-mset-inter-restrict[symmetric] mset-set-subset-iff N-def
      intro!: sum-image-mset-mono
      dest!: multi-member-split)
  ultimately have I-I: (ρ' (mset-set ?I) = ρ' I)
    by linarith

have min: (weight-on-clauses NS ρ I'
  ≤ weight-on-clauses NS ρ {L. L ∈# I ∧ atm-of L ∈ atms-of-mm (NH + NS)})
  if
    cons: (consistent-interp I') and
    bit: (atms-exactly-m I' (NH + NS)) and
    I': (I' ⊨sm NH)
  for I'
proof -
  let ?I' = (I' ∪ Pos 'additional-atm' {C ∈ set-mset NS. ¬I' ⊨ C}
    ∪ Neg 'additional-atm' {C ∈ set-mset NS. I' ⊨ C})
  have (consistent-interp ?I')
    using cons bit add by (auto simp: consistent-interp-def
      atms-exactly-m-def uminus-lit-swap
      dest: add)
  moreover have (atms-exactly-m ?I' N)
    using bit
    by (auto simp: N-def atms-exactly-m-def total-over-m-def)

```

```

    total-over-set-def image-image)
  moreover have  $\langle ?I' \models_{sm} N \rangle$ 
    using  $I'$  by (auto simp: N-def true-cls-def image-image
      dest!: multi-member-split)
  moreover have  $\langle \text{set-mset } (mset\text{-set } ?I') = ?I' \rangle$  and  $\text{fin: } \langle \text{finite } ?I' \rangle$ 
    using  $bit$  by (auto simp: atms-exactly-m-finite)
  moreover have  $\langle \text{distinct-mset } (mset\text{-set } ?I') \rangle$ 
    by (auto simp: distinct-mset-mset-set)
  ultimately have  $I'-I: \langle \varrho' (mset\text{-set } ?I') \geq \varrho' I \rangle$ 
    using  $\text{weight[of } \langle mset\text{-set } ?I' \rangle]$ 
    by argo
  have  $\text{inj: } \langle \text{inj-on cl-of } (I' \cap (\lambda x. \text{Pos } (\text{additional-atm } x)) \text{ 'set-mset } N_S) \rangle$  for  $I'$ 
    using  $\text{add}$  by (auto simp: inj-on-def)

  have  $\text{we: } \langle \text{weight-on-clauses } N_S \varrho I' = \text{sum-mset } (\varrho \text{ '# } N_S) -$ 
     $\text{sum-mset } (\varrho \text{ '# filter-mset } (\text{Not } \circ (\models) I') N_S) \rangle$  for  $I'$ 
    unfolding  $\text{weight-on-clauses-def}$ 
    apply (subst (3)  $\text{multiset-partition[of - } \langle (\models) I' \rangle]$ )
    unfolding  $\text{image-mset-union sum-mset.union}$ 
    by (auto simp: comp-def)
  have  $H: \langle \text{sum-mset}$ 
     $(\varrho \text{ '#}$ 
     $\text{filter-mset } (\text{Not } \circ (\models) \{L. L \in \# I \wedge \text{atm-of } L \in \text{atms-of-mm } (N_H + N_S)\})$ 
     $N_S) = \varrho' I \rangle$ 
    unfolding  $I-I[\text{symmetric}]$  unfolding  $\varrho'\text{-def cl-of-def}[\text{symmetric}]$ 
     $\text{sum-mset-sum-count if-distrib}$ 
    apply (auto simp:  $\text{sum-mset-sum-count image-image simp flip: sum.inter-restrict}$ 
       $\text{cong: if-cong}$ )
    apply (subst  $\text{comm-monoid-add-class.sum.reindex-cong}[\text{symmetric, of cl-of, OF - refl}]$ )
    apply (( $\text{use inj in auto; fail}$ )+)[2]
    apply (rule  $\text{sum.cong}$ )
    apply auto[]
    using  $\text{inj[of } \langle \text{set-mset } I \rangle \langle \text{set-mset } I \models_{sm} N \rangle \text{ assms}(2)$ 
    apply (auto dest!:  $\text{multi-member-split simp: N-def image-Int}$ 
       $\text{atm-of-lit-in-atms-of true-cls-def}$ )[]
    using  $\text{add}$  apply (auto simp:  $\text{true-cls-def}$ )
    done
  have  $\langle (\sum x \in (I' \cup (\lambda x. \text{Pos } (\text{additional-atm } x)) \text{ ' } \{C. C \in \# N_S \wedge \neg I' \models C\} \cup$ 
     $(\lambda x. \text{Neg } (\text{additional-atm } x)) \text{ ' } \{C. C \in \# N_S \wedge I' \models C\}) \cap$ 
     $(\lambda x. \text{Pos } (\text{additional-atm } x)) \text{ ' set-mset } N_S.$ 
     $\text{count } N_S (\text{cl-of } x) * \varrho (\text{cl-of } x))$ 
     $\leq (\sum A \in \{a. a \in \# N_S \wedge \neg I' \models a\}. \text{count } N_S A * \varrho A) \rangle$ 
    apply (subst  $\text{comm-monoid-add-class.sum.reindex-cong}[\text{symmetric, of cl-of, OF - refl}]$ )
    apply (( $\text{use inj in auto; fail}$ )+)[2]
    apply (rule  $\text{ordered-comm-monoid-add-class.sum-mono2}$ )
    using  $\text{that add}$  by (auto dest:  $\text{simp: N-def}$ 
       $\text{atms-exactly-m-def}$ )
  then have  $\langle \text{sum-mset } (\varrho \text{ '# filter-mset } (\text{Not } \circ (\models) I') N_S) \geq \varrho' (mset\text{-set } ?I') \rangle$ 
    using  $\text{fin}$  unfolding  $\text{cl-of-def}[\text{symmetric}]$   $\varrho'\text{-def}$ 
    by (auto simp:  $\varrho'\text{-def}$ 
       $\text{simp add: sum-mset-sum-count image-image simp flip: sum.inter-restrict}$ )
  then have  $\langle \varrho' I \leq \text{sum-mset } (\varrho \text{ '# filter-mset } (\text{Not } \circ (\models) I') N_S) \rangle$ 
    using  $I'-I$  by auto
  then show  $?thesis$ 
    unfolding  $\text{we } H I-I$  apply -
    by auto

```

qed

show ?thesis

apply (rule partial-max-sat.intros)

subgoal using ent' by auto

subgoal using bi' by fast

subgoal using cons' by fast

subgoal for I'

by (rule min)

done

qed

lemma sum-mset-cong:

$\langle (\bigwedge a. a \in \# A \implies f a = g a) \implies (\sum a \in \# A. f a) = (\sum a \in \# A. g a) \rangle$

by (induction A) auto

lemma partial-max-sat-is-weight-sat-distinct:

fixes additional-atm :: 'v clause  $\Rightarrow$  'v and

$\varrho :: \langle 'v \text{ clause} \Rightarrow \text{nat} \rangle$  and

$N_S :: \langle 'v \text{ clauses} \rangle$

defines

$\langle \varrho' \equiv (\lambda C. \text{sum-mset}$   
 $((\lambda L. \text{if } L \in \text{Pos } \langle \text{additional-atm } \langle \text{set-mset } N_S$   
 $\text{then } \varrho (\text{SOME } C. L = \text{Pos } (\text{additional-atm } C) \wedge C \in \# N_S)$   
 $\text{else } 0) \langle \# C \rangle)) \rangle$

assumes

$\langle \text{distinct-mset } N_S \rangle$  and — This is implicit on paper

add:  $\langle \bigwedge C. C \in \# N_S \implies \text{additional-atm } C \notin \text{atms-of-mm } (N_H + N_S) \rangle$

$\langle \bigwedge C D. C \in \# N_S \implies D \in \# N_S \implies \text{additional-atm } C = \text{additional-atm } D \longleftrightarrow C = D \rangle$  and

w:  $\langle \text{weight-sat } (N_H + (\lambda C. \text{add-mset } (\text{Pos } (\text{additional-atm } C)) C) \langle \# N_S \rangle \varrho' (\text{Some } I)) \rangle$

shows

$\langle \text{partial-max-sat } N_H N_S \varrho (\text{Some } \{L \in \text{set-mset } I. \text{atm-of } L \in \text{atms-of-mm } (N_H + N_S)\}) \rangle$

proof —

define cl-of where  $\langle \text{cl-of } L = (\text{SOME } C. L = \text{Pos } (\text{additional-atm } C) \wedge C \in \# N_S) \rangle$  for L

have [simp]:  $\langle \text{cl-of } (\text{Pos } (\text{additional-atm } xb)) = xb \rangle$

if  $\langle xb \in \# N_S \rangle$  for xb

using someI[of  $\langle \lambda C. \text{additional-atm } xb = \text{additional-atm } C \rangle xb$ ] add that

unfolding cl-of-def

by auto

have  $\varrho'$ :  $\langle \varrho' = (\lambda C. \sum L \in \# C. \text{if } L \in \text{Pos } \langle \text{additional-atm } \langle \text{set-mset } N_S$

$\text{then count } N_S$

$(\text{SOME } C. L = \text{Pos } (\text{additional-atm } C) \wedge C \in \# N_S) *$

$\varrho (\text{SOME } C. L = \text{Pos } (\text{additional-atm } C) \wedge C \in \# N_S)$

$\text{else } 0) \rangle$

unfolding cl-of-def[symmetric]  $\varrho'$ -def

using assms(2,4) by (auto intro!: ext sum-mset-cong simp:  $\varrho'$ -def not-in-iff dest!: multi-member-split)

show ?thesis

apply (rule partial-max-sat-is-weight-sat[where additional-atm=additional-atm])

subgoal by (rule assms(3))

subgoal by (rule assms(4))

subgoal unfolding  $\varrho'$ [symmetric] by (rule assms(5))

done

qed

lemma atms-exactly-m-alt-def:

$\langle \text{atms-exactly-m } (\text{set-mset } y) N \longleftrightarrow \text{atms-of } y \subseteq \text{atms-of-mm } N \wedge$

```

    total-over-m (set-mset y) (set-mset N)
  by (auto simp: atms-exactly-m-def atms-of-s-def atms-of-def
    atms-of-ms-def dest!: multi-member-split)

lemma atms-exactly-m-alt-def2:
  ⟨atms-exactly-m (set-mset y) N ⟷ atms-of y = atms-of-mm N⟩
  by (metis atms-of-def atms-of-s-def atms-exactly-m-alt-def equalityI order-refl total-over-m-def
    total-over-set-alt-def)

lemma (in conflict-driven-clause-learningW-optimal-weight) full-cdcl-bnb-stgy-weight-sat:
  ⟨full cdcl-bnb-stgy (init-state N) T ⟹ distinct-mset-mset N ⟹ weight-sat N 0 (weight T)⟩
  using full-cdcl-bnb-stgy-no-conflicting-clause-from-init-state[of N T]
  apply (cases ⟨weight T = None⟩)
  subgoal
    by (auto intro!: weight-sat.intros(2))
  subgoal premises p
    using p(1-4,6)
    apply (clarsimp simp only:)
    apply (rule weight-sat.intros(1))
    subgoal by auto
    subgoal by (auto simp: atms-exactly-m-alt-def)
    subgoal by auto
    subgoal by auto
    subgoal for J I'
      using p(5)[of I'] by (auto simp: atms-exactly-m-alt-def2)
    done
  done
end

theory CDCL-W-Partial-Optimal-Model
  imports CDCL-W-Partial-Encoding
begin
lemma isabelle-should-do-that-automatically: ⟨Suc (a - Suc 0) = a ⟷ a ≥ 1⟩
  by auto

lemma (in conflict-driven-clause-learningW-optimal-weight)
  conflict-opt-state-eq-compatible:
  ⟨conflict-opt S T ⟹ S ∼ S' ⟹ T ∼ T' ⟹ conflict-opt S' T'⟩
  using state-eq-trans[of T' T]
  ⟨update-conflicting (Some (negate-ann-lits (trail S'))) S⟩]
  using state-eq-trans[of T]
  ⟨update-conflicting (Some (negate-ann-lits (trail S'))) S⟩
  ⟨update-conflicting (Some (negate-ann-lits (trail S'))) S'⟩]
  update-conflicting-state-eq[of S S' ⟨Some {#}⟩]
  apply (auto simp: conflict-opt.simps state-eq-sym)
  using reduce-trail-to-state-eq state-eq-trans update-conflicting-state-eq by blast

context optimal-encoding
begin

definition base-atm :: ⟨'v ⇒ 'v⟩ where
  ⟨base-atm L = (if L ∈ Σ - ΔΣ then L else
    if L ∈ replacement-neg ' ΔΣ then (SOME K. (K ∈ ΔΣ ∧ L = replacement-neg K))
    else (SOME K. (K ∈ ΔΣ ∧ L = replacement-pos K)))⟩

```

**lemma** *normalize-lit-Some-simp*[simp]:  $\langle (SOME\ K.\ K \in \Delta\Sigma \wedge (L^{\mapsto 0} = K^{\mapsto 0})) = L \rangle$  if  $\langle L \in \Delta\Sigma \rangle$  for  $K$   
**by** (rule *some1-equality*) (use that **in** *auto*)

**lemma** *base-atm-simps1*[simp]:  
 $\langle L \in \Sigma \implies L \notin \Delta\Sigma \implies \text{base-atm } L = L \rangle$   
**by** (auto simp: *base-atm-def*)

**lemma** *base-atm-simps2*[simp]:  
 $\langle L \in (\Sigma - \Delta\Sigma) \cup \text{replacement-neg } ' \Delta\Sigma \cup \text{replacement-pos } ' \Delta\Sigma \implies$   
 $K \in \Sigma \implies K \notin \Delta\Sigma \implies L \in \Sigma \implies K = \text{base-atm } L \longleftrightarrow L = K \rangle$   
**by** (auto simp: *base-atm-def*)

**lemma** *base-atm-simps3*[simp]:  
 $\langle L \in \Sigma - \Delta\Sigma \implies \text{base-atm } L \in \Sigma \rangle$   
 $\langle L \in \text{replacement-neg } ' \Delta\Sigma \cup \text{replacement-pos } ' \Delta\Sigma \implies \text{base-atm } L \in \Delta\Sigma \rangle$   
**apply** (auto simp: *base-atm-def*)  
**by** (metis (*mono-tags*, *lifting*) *tft-some*)

**lemma** *base-atm-simps4*[simp]:  
 $\langle L \in \Delta\Sigma \implies \text{base-atm } (\text{replacement-pos } L) = L \rangle$   
 $\langle L \in \Delta\Sigma \implies \text{base-atm } (\text{replacement-neg } L) = L \rangle$   
**by** (auto simp: *base-atm-def*)

**fun** *normalize-lit* ::  $\langle 'v \text{ literal} \Rightarrow 'v \text{ literal} \rangle$  **where**  
 $\langle \text{normalize-lit } (\text{Pos } L) =$   
 $(\text{if } L \in \text{replacement-neg } ' \Delta\Sigma$   
 $\text{then Neg } (\text{replacement-pos } (SOME\ K.\ (K \in \Delta\Sigma \wedge L = \text{replacement-neg } K)))$   
 $\text{else Pos } L) \rangle \mid$   
 $\langle \text{normalize-lit } (\text{Neg } L) =$   
 $(\text{if } L \in \text{replacement-neg } ' \Delta\Sigma$   
 $\text{then Pos } (\text{replacement-pos } (SOME\ K.\ K \in \Delta\Sigma \wedge L = \text{replacement-neg } K))$   
 $\text{else Neg } L) \rangle$

**abbreviation** *normalize-clause* ::  $\langle 'v \text{ clause} \Rightarrow 'v \text{ clause} \rangle$  **where**  
 $\langle \text{normalize-clause } C \equiv \text{normalize-lit } ' \# C \rangle$

**lemma** *normalize-lit*[simp]:  
 $\langle L \in \Sigma - \Delta\Sigma \implies \text{normalize-lit } (\text{Pos } L) = (\text{Pos } L) \rangle$   
 $\langle L \in \Sigma - \Delta\Sigma \implies \text{normalize-lit } (\text{Neg } L) = (\text{Neg } L) \rangle$   
 $\langle L \in \Delta\Sigma \implies \text{normalize-lit } (\text{Pos } (\text{replacement-neg } L)) = \text{Neg } (\text{replacement-pos } L) \rangle$   
 $\langle L \in \Delta\Sigma \implies \text{normalize-lit } (\text{Neg } (\text{replacement-neg } L)) = \text{Pos } (\text{replacement-pos } L) \rangle$   
**by** *auto*

**definition** *all-clauses-literals* ::  $\langle 'v \text{ list} \rangle$  **where**  
 $\langle \text{all-clauses-literals} =$   
 $(SOME\ xs.\ \text{mset } xs = \text{mset-set } ((\Sigma - \Delta\Sigma) \cup \text{replacement-neg } ' \Delta\Sigma \cup \text{replacement-pos } ' \Delta\Sigma)) \rangle$

**datatype** (in  $-$ )  $'c$  *search-depth* =  
 $\text{sd-is-zero: } SD\text{-ZERO } (\text{the-search-depth: } 'c) \mid$   
 $\text{sd-is-one: } SD\text{-ONE } (\text{the-search-depth: } 'c) \mid$



*sd-is-two*: *SD-TWO* (*the-search-depth*: 'c)

**abbreviation** (*in*  $-$ ) *un-hide-sd* ::  $\langle 'a \text{ search-depth list} \Rightarrow 'a \text{ list} \rangle$  **where**  
 $\langle \text{un-hide-sd} \equiv \text{map the-search-depth} \rangle$

**fun** *nat-of-search-depth* ::  $\langle 'c \text{ search-depth} \Rightarrow \text{nat} \rangle$  **where**  
 $\langle \text{nat-of-search-depth } (\text{SD-ZERO } -) = 0 \rangle \mid$   
 $\langle \text{nat-of-search-depth } (\text{SD-ONE } -) = 1 \rangle \mid$   
 $\langle \text{nat-of-search-depth } (\text{SD-TWO } -) = 2 \rangle$

**definition** *opposite-var* **where**

$\langle \text{opposite-var } L = (\text{if } L \in \text{replacement-pos } ' \Delta \Sigma \text{ then replacement-neg (base-atm } L) \\ \text{else replacement-pos (base-atm } L)) \rangle$

**lemma** *opposite-var-replacement-if*[*simp*]:

$\langle L \in (\text{replacement-neg } ' \Delta \Sigma \cup \text{replacement-pos } ' \Delta \Sigma) \Rightarrow A \in \Delta \Sigma \Rightarrow \\ \text{opposite-var } L = \text{replacement-pos } A \longleftrightarrow L = \text{replacement-neg } A \rangle$   
 $\langle L \in (\text{replacement-neg } ' \Delta \Sigma \cup \text{replacement-pos } ' \Delta \Sigma) \Rightarrow A \in \Delta \Sigma \Rightarrow \\ \text{opposite-var } L = \text{replacement-neg } A \longleftrightarrow L = \text{replacement-pos } A \rangle$   
 $\langle A \in \Delta \Sigma \Rightarrow \text{opposite-var } (\text{replacement-pos } A) = \text{replacement-neg } A \rangle$   
 $\langle A \in \Delta \Sigma \Rightarrow \text{opposite-var } (\text{replacement-neg } A) = \text{replacement-pos } A \rangle$   
**by** (*auto simp: opposite-var-def*)

**context**

**assumes** [*simp*]:  $\langle \text{finite } \Sigma \rangle$

**begin**

**lemma** *all-clauses-literals*:

$\langle \text{mset all-clauses-literals} = \text{mset-set } ((\Sigma - \Delta \Sigma) \cup \text{replacement-neg } ' \Delta \Sigma \cup \text{replacement-pos } ' \Delta \Sigma) \rangle$   
 $\langle \text{distinct all-clauses-literals} \rangle$   
 $\langle \text{set all-clauses-literals} = ((\Sigma - \Delta \Sigma) \cup \text{replacement-neg } ' \Delta \Sigma \cup \text{replacement-pos } ' \Delta \Sigma) \rangle$

**proof**  $-$

**let**  $?A = \langle \text{mset-set } ((\Sigma - \Delta \Sigma) \cup \text{replacement-neg } ' \Delta \Sigma \cup \\ \text{replacement-pos } ' \Delta \Sigma) \rangle$

**show** 1:  $\langle \text{mset all-clauses-literals} = ?A \rangle$

**using** *someI*[*of*  $\langle \lambda xs. \text{mset } xs = ?A \rangle$ ]

*finite- $\Sigma$  ex-mset*[*of*  $?A$ ]

**unfolding** *all-clauses-literals-def*[*symmetric*]

**by** *metis*

**show** 2:  $\langle \text{distinct all-clauses-literals} \rangle$

**using** *someI*[*of*  $\langle \lambda xs. \text{mset } xs = ?A \rangle$ ]

*finite- $\Sigma$  ex-mset*[*of*  $?A$ ]

**unfolding** *all-clauses-literals-def*[*symmetric*]

**by** (*metis distinct-mset-mset-set distinct-mset-mset-distinct*)

**show** 3:  $\langle \text{set all-clauses-literals} = ((\Sigma - \Delta \Sigma) \cup \text{replacement-neg } ' \Delta \Sigma \cup \text{replacement-pos } ' \Delta \Sigma) \rangle$

**using** *arg-cong*[*OF* 1, *of set-mset*] *finite- $\Sigma$*

**by** *simp*

**qed**

**definition** *unset-literals-in- $\Sigma$*  **where**

$\langle \text{unset-literals-in-}\Sigma \text{ } M \text{ } L \longleftrightarrow \text{undefined-lit } M \text{ } (\text{Pos } L) \wedge L \in \Sigma - \Delta \Sigma \rangle$

**definition** *full-unset-literals-in- $\Delta \Sigma$*  **where**

$\langle \text{full-unset-literals-in-}\Delta \Sigma \text{ } M \text{ } L \longleftrightarrow$

$\text{undefined-lit } M \text{ } (\text{Pos } L) \wedge L \notin \Sigma - \Delta \Sigma \wedge \text{undefined-lit } M \text{ } (\text{Pos } (\text{opposite-var } L)) \wedge$

$L \in \text{replacement-pos } \langle \Delta\Sigma \rangle$

**definition** *full-unset-literals-in- $\Delta\Sigma'$*  where

$\langle \text{full-unset-literals-in-}\Delta\Sigma' \ M \ L \longleftrightarrow$   
 $\text{undefined-lit } M \ (\text{Pos } L) \wedge L \notin \Sigma - \Delta\Sigma \wedge \text{undefined-lit } M \ (\text{Pos } (\text{opposite-var } L)) \wedge$   
 $L \in \text{replacement-neg } \langle \Delta\Sigma \rangle$

**definition** *half-unset-literals-in- $\Delta\Sigma$*  where

$\langle \text{half-unset-literals-in-}\Delta\Sigma \ M \ L \longleftrightarrow$   
 $\text{undefined-lit } M \ (\text{Pos } L) \wedge L \notin \Sigma - \Delta\Sigma \wedge \text{defined-lit } M \ (\text{Pos } (\text{opposite-var } L)) \rangle$

**definition** *sorted-unadded-literals* ::  $\langle ('v, 'v \text{ clause}) \text{ ann-lits} \Rightarrow 'v \text{ list} \rangle$  where

$\langle \text{sorted-unadded-literals } M =$   
 $(\text{let}$   
 $\ M0 = \text{filter } (\text{full-unset-literals-in-}\Delta\Sigma' \ M) \ \text{all-clauses-literals};$   
 $\ \text{--- weight is 0}$   
 $\ M1 = \text{filter } (\text{unset-literals-in-}\Sigma \ M) \ \text{all-clauses-literals};$   
 $\ \text{--- weight is 2}$   
 $\ M2 = \text{filter } (\text{full-unset-literals-in-}\Delta\Sigma \ M) \ \text{all-clauses-literals};$   
 $\ \text{--- weight is 2}$   
 $\ M3 = \text{filter } (\text{half-unset-literals-in-}\Delta\Sigma \ M) \ \text{all-clauses-literals}$   
 $\ \text{--- weight is 1}$   
 $\text{in}$   
 $\ M0 \ @ \ M3 \ @ \ M1 \ @ \ M2) \rangle$

**definition** *complete-trail* ::  $\langle ('v, 'v \text{ clause}) \text{ ann-lits} \Rightarrow ('v, 'v \text{ clause}) \text{ ann-lits} \rangle$  where

$\langle \text{complete-trail } M =$   
 $(\text{map } (\text{Decided } o \ \text{Pos}) \ (\text{sorted-unadded-literals } M) \ @ \ M) \rangle$

**lemma** *in-sorted-unadded-literals-undefD*:

$\langle \text{atm-of } (\text{lit-of } l) \in \text{set } (\text{sorted-unadded-literals } M) \implies l \notin \text{set } M \rangle$   
 $\langle \text{atm-of } (l') \in \text{set } (\text{sorted-unadded-literals } M) \implies \text{undefined-lit } M \ l' \rangle$   
 $\langle xa \in \text{set } (\text{sorted-unadded-literals } M) \implies \text{lit-of } x = \text{Neg } xa \implies x \notin \text{set } M \rangle$  and  
 $\text{set-sorted-unadded-literals}[\text{simp}]$ :  
 $\langle \text{set } (\text{sorted-unadded-literals } M) =$   
 $\ \text{Set.filter } (\lambda L. \text{undefined-lit } M \ (\text{Pos } L)) \ (\text{set all-clauses-literals}) \rangle$   
**by**  $(\text{auto simp: sorted-unadded-literals-def undefined-notin all-clauses-literals(1,2)}$   
 $\text{defined-lit-Neg-Pos-iff half-unset-literals-in-}\Delta\Sigma\text{-def full-unset-literals-in-}\Delta\Sigma\text{-def}$   
 $\text{unset-literals-in-}\Sigma\text{-def Let-def full-unset-literals-in-}\Delta\Sigma'\text{-def}$   
 $\text{all-clauses-literals(3)})$

**lemma**  $[\text{simp}]$ :

$\langle \text{full-unset-literals-in-}\Delta\Sigma \ [] = (\lambda L. L \in \text{replacement-pos } \langle \Delta\Sigma \rangle) \rangle$   
 $\langle \text{full-unset-literals-in-}\Delta\Sigma' \ [] = (\lambda L. L \in \text{replacement-neg } \langle \Delta\Sigma \rangle) \rangle$   
 $\langle \text{half-unset-literals-in-}\Delta\Sigma \ [] = (\lambda L. \text{False}) \rangle$   
 $\langle \text{unset-literals-in-}\Sigma \ [] = (\lambda L. L \in \Sigma - \Delta\Sigma) \rangle$   
**by**  $(\text{auto simp: full-unset-literals-in-}\Delta\Sigma\text{-def}$   
 $\text{unset-literals-in-}\Sigma\text{-def full-unset-literals-in-}\Delta\Sigma'\text{-def}$   
 $\text{half-unset-literals-in-}\Delta\Sigma\text{-def intro!: ext})$

**lemma** *filter-disjount-union*:

$\langle (\bigwedge x. x \in \text{set } xs \implies P \ x \implies \neg Q \ x) \implies$   
 $\text{length } (\text{filter } P \ xs) + \text{length } (\text{filter } Q \ xs) =$   
 $\text{length } (\text{filter } (\lambda x. P \ x \vee Q \ x) \ xs) \rangle$

**by**  $(\text{induction } xs) \text{ auto}$

**lemma** *length-sorted-unadded-literals-empty* $[\text{simp}]$ :

```

⟨length (sorted-unadded-literals []) = length all-clauses-literals⟩
apply (auto simp: sorted-unadded-literals-def sum-length-filter-compl
  Let-def ac-simps filter-disjount-union)
apply (subst filter-disjount-union)
apply auto
apply (subst filter-disjount-union)
apply auto
by (metis (no-types, lifting) Diff-iff UnE all-clauses-literals(3) filter-True)

lemma sorted-unadded-literals-Cons-notin-all-clauses-literals[simp]:
assumes
  ⟨atm-of (lit-of K) ∉ set all-clauses-literals⟩
shows
  ⟨sorted-unadded-literals (K # M) = sorted-unadded-literals M⟩
proof –
have [simp]: ⟨filter (full-unset-literals-in-ΔΣ' (K # M))
  all-clauses-literals =
  filter (full-unset-literals-in-ΔΣ' M)
  all-clauses-literals⟩
  ⟨filter (full-unset-literals-in-ΔΣ (K # M))
  all-clauses-literals =
  filter (full-unset-literals-in-ΔΣ M)
  all-clauses-literals⟩
  ⟨filter (half-unset-literals-in-ΔΣ (K # M))
  all-clauses-literals =
  filter (half-unset-literals-in-ΔΣ M)
  all-clauses-literals⟩
  ⟨filter (unset-literals-in-Σ (K # M)) all-clauses-literals =
  filter (unset-literals-in-Σ M) all-clauses-literals⟩
using assms unfolding full-unset-literals-in-ΔΣ'-def full-unset-literals-in-ΔΣ-def
  half-unset-literals-in-ΔΣ-def unset-literals-in-Σ-def
by (auto simp: sorted-unadded-literals-def undefined-notin all-clauses-literals(1,2)
  defined-lit-Neg-Pos-iff all-clauses-literals(3) defined-lit-cons
  intro!: ext filter-cong)

show ?thesis
by (auto simp: undefined-notin all-clauses-literals(1,2)
  defined-lit-Neg-Pos-iff all-clauses-literals(3) sorted-unadded-literals-def)
qed

```

```

lemma sorted-unadded-literals-cong:
assumes ⟨ $\bigwedge L. L \in \text{set all-clauses-literals} \implies \text{defined-lit } M (\text{Pos } L) = \text{defined-lit } M' (\text{Pos } L)$ ⟩
shows ⟨sorted-unadded-literals M = sorted-unadded-literals M'⟩
proof –
have [simp]: ⟨filter (full-unset-literals-in-ΔΣ' (M))
  all-clauses-literals =
  filter (full-unset-literals-in-ΔΣ' M')
  all-clauses-literals⟩
  ⟨filter (full-unset-literals-in-ΔΣ (M))
  all-clauses-literals =
  filter (full-unset-literals-in-ΔΣ M')
  all-clauses-literals⟩
  ⟨filter (half-unset-literals-in-ΔΣ (M))
  all-clauses-literals =
  filter (half-unset-literals-in-ΔΣ M')
  all-clauses-literals⟩

```

$\langle \text{filter } (\text{unset-literals-in-}\Sigma \text{ } (M)) \text{ all-clauses-literals} =$   
 $\text{filter } (\text{unset-literals-in-}\Sigma \text{ } M') \text{ all-clauses-literals} \rangle$   
**using** *assms unfolding full-unset-literals-in- $\Delta\Sigma'$ -def full-unset-literals-in- $\Delta\Sigma$ -def*  
*half-unset-literals-in- $\Delta\Sigma$ -def unset-literals-in- $\Sigma$ -def*  
**by** (*auto simp: sorted-unadded-literals-def undefined-notin all-clauses-literals(1,2)*  
*defined-lit-Neg-Pos-iff all-clauses-literals(3) defined-lit-cons*  
*intro!: ext filter-cong*)

**show** *?thesis*

**by** (*auto simp: undefined-notin all-clauses-literals(1,2)*  
*defined-lit-Neg-Pos-iff all-clauses-literals(3) sorted-unadded-literals-def*)

**qed**

**lemma** *sorted-unadded-literals-Cons-already-set[simp]:*

**assumes**

$\langle \text{defined-lit } M \text{ (lit-of } K) \rangle$

**shows**

$\langle \text{sorted-unadded-literals } (K \# M) = \text{sorted-unadded-literals } M \rangle$

**by** (*rule sorted-unadded-literals-cong*)

(*use assms in <auto simp: defined-lit-cons>*)

**lemma** *distinct-sorted-unadded-literals[simp]:*

$\langle \text{distinct } (\text{sorted-unadded-literals } M) \rangle$

**unfolding** *half-unset-literals-in- $\Delta\Sigma$ -def*

*full-unset-literals-in- $\Delta\Sigma$ -def unset-literals-in- $\Sigma$ -def*

*sorted-unadded-literals-def*

*full-unset-literals-in- $\Delta\Sigma'$ -def*

**by** (*auto simp: sorted-unadded-literals-def all-clauses-literals(1,2)*)

**lemma** *Collect-req-remove1:*

$\langle \{a \in A. a \neq b \wedge P a\} = (\text{if } P b \text{ then } \text{Set.remove } b \{a \in A. P a\} \text{ else } \{a \in A. P a\}) \rangle$  **and**  
*Collect-req-remove2:*

$\langle \{a \in A. b \neq a \wedge P a\} = (\text{if } P b \text{ then } \text{Set.remove } b \{a \in A. P a\} \text{ else } \{a \in A. P a\}) \rangle$

**by** *auto*

**lemma** *card-remove:*

$\langle \text{card } (\text{Set.remove } a A) = (\text{if } a \in A \text{ then } \text{card } A - 1 \text{ else } \text{card } A) \rangle$

**apply** (*auto simp: Set.remove-def*)

**by** (*metis Diff-empty One-nat-def card-Diff-insert card-infinite empty-iff*  
*finite-Diff-insert gr-implies-not0 neq0-conv zero-less-diff*)

**lemma** *sorted-unadded-literals-cons-in-undef[simp]:*

$\langle \text{undefined-lit } M \text{ (lit-of } K) \implies$

$\text{atm-of } (\text{lit-of } K) \in \text{set all-clauses-literals} \implies$

$\text{Suc } (\text{length } (\text{sorted-unadded-literals } (K \# M))) =$

$\text{length } (\text{sorted-unadded-literals } M) \rangle$

**by** (*auto simp flip: distinct-card simp: Set.filter-def Collect-req-remove2*  
*card-remove isabelle-should-do-that-automatically*  
*card-gt-0-iff simp flip: less-eq-Suc-le*)

**lemma** *no-dup-complete-trail[simp]:*

$\langle \text{no-dup } (\text{complete-trail } M) \longleftrightarrow \text{no-dup } M \rangle$

by (auto simp: complete-trail-def no-dup-def comp-def all-clauses-literals(1,2)  
undefined-notin)

**lemma** *tautology-complete-trail*[simp]:  
 $\langle \text{tautology } (\text{lit-of } \# \text{ mset } (\text{complete-trail } M)) \longleftrightarrow \text{tautology } (\text{lit-of } \# \text{ mset } M) \rangle$   
 by (auto simp: complete-trail-def tautology-decomp' comp-def all-clauses-literals  
 undefined-notin uminus-lit-swap defined-lit-Neg-Pos-iff  
 simp flip: defined-lit-Neg-Pos-iff)

**lemma** *atms-of-complete-trail*:  
 $\langle \text{atms-of } (\text{lit-of } \# \text{ mset } (\text{complete-trail } M)) =$   
 $\text{atms-of } (\text{lit-of } \# \text{ mset } M) \cup (\Sigma - \Delta\Sigma) \cup \text{replacement-neg } \Delta\Sigma \cup \text{replacement-pos } \Delta\Sigma \rangle$   
 by (auto simp add: complete-trail-def all-clauses-literals  
 image-image image-Un atms-of-def defined-lit-map)

**fun** *depth-lit-of* ::  $\langle ('v, -) \text{ ann-lit} \Rightarrow ('v, -) \text{ ann-lit search-depth} \rangle$  **where**  
 $\langle \text{depth-lit-of } (\text{Decided } L) = \text{SD-TWO } (\text{Decided } L) \rangle \mid$   
 $\langle \text{depth-lit-of } (\text{Propagated } L \ C) = \text{SD-ZERO } (\text{Propagated } L \ C) \rangle$

**fun** *depth-lit-of-additional-fst* ::  $\langle ('v, -) \text{ ann-lit} \Rightarrow ('v, -) \text{ ann-lit search-depth} \rangle$  **where**  
 $\langle \text{depth-lit-of-additional-fst } (\text{Decided } L) = \text{SD-ONE } (\text{Decided } L) \rangle \mid$   
 $\langle \text{depth-lit-of-additional-fst } (\text{Propagated } L \ C) = \text{SD-ZERO } (\text{Propagated } L \ C) \rangle$

**fun** *depth-lit-of-additional-snd* ::  $\langle ('v, -) \text{ ann-lit} \Rightarrow ('v, -) \text{ ann-lit search-depth list} \rangle$  **where**  
 $\langle \text{depth-lit-of-additional-snd } (\text{Decided } L) = [\text{SD-ONE } (\text{Decided } L)] \rangle \mid$   
 $\langle \text{depth-lit-of-additional-snd } (\text{Propagated } L \ C) = [] \rangle$

This function is suprisingly complicated to get right. Remember that the last set element is at the beginning of the list

**fun** *remove-dup-information-raw* ::  $\langle ('v, -) \text{ ann-lits} \Rightarrow ('v, -) \text{ ann-lit search-depth list} \rangle$  **where**  
 $\langle \text{remove-dup-information-raw } [] = [] \rangle \mid$   
 $\langle \text{remove-dup-information-raw } (L \# M) =$   
 $(\text{if atm-of } (\text{lit-of } L) \in \Sigma - \Delta\Sigma \text{ then depth-lit-of } L \# \text{ remove-dup-information-raw } M$   
 $\text{else if defined-lit } (M) \text{ (Pos (opposite-var (atm-of (lit-of } L))))$   
 $\text{then if Decided (Pos (opposite-var (atm-of (lit-of } L)))) \in \text{set } (M)$   
 $\text{then remove-dup-information-raw } M$   
 $\text{else depth-lit-of-additional-fst } L \# \text{ remove-dup-information-raw } M$   
 $\text{else depth-lit-of-additional-snd } L \ @ \ \text{remove-dup-information-raw } M) \rangle$

**definition** *remove-dup-information* **where**  
 $\langle \text{remove-dup-information } xs = \text{un-hide-sd } (\text{remove-dup-information-raw } xs) \rangle$

**lemma** [simp]:  $\langle \text{the-search-depth } (\text{depth-lit-of } L) = L \rangle$   
 by (cases L) auto

**lemma** *length-complete-trail*[simp]:  $\langle \text{length } (\text{complete-trail } []) = \text{length all-clauses-literals} \rangle$   
**unfolding** *complete-trail-def*  
 by (auto simp: sum-length-filter-compl)

**lemma** *distinct-count-list-if*:  $\langle \text{distinct } xs \implies \text{count-list } xs \ x = (\text{if } x \in \text{set } xs \text{ then } 1 \text{ else } 0) \rangle$   
 by (induction xs) auto

**lemma** *length-complete-trail-Cons*:  
 $\langle \text{no-dup } (K \# M) \implies$   
 $\text{length } (\text{complete-trail } (K \# M)) =$

(if atm-of (lit-of K) ∈ set all-clauses-literals then 0 else 1) + length (complete-trail M)  
**unfolding** complete-trail-def **by** auto

**lemma** length-complete-trail-eq:

⟨no-dup M ⇒ atm-of ‘ (lits-of-l M) ⊆ set all-clauses-literals ⇒  
length (complete-trail M) = length all-clauses-literals⟩  
**by** (induction M rule: ann-lit-list-induct) (auto simp: length-complete-trail-Cons)

**lemma** in-set-all-clauses-literals-simp[simp]:

⟨atm-of L ∈ Σ − ΔΣ ⇒ atm-of L ∈ set all-clauses-literals⟩  
⟨K ∈ ΔΣ ⇒ replacement-pos K ∈ set all-clauses-literals⟩  
⟨K ∈ ΔΣ ⇒ replacement-neg K ∈ set all-clauses-literals⟩  
**by** (auto simp: all-clauses-literals)

**lemma** [simp]:

⟨remove-dup-information [] = []⟩  
**by** (auto simp: remove-dup-information-def)

**lemma** atm-of-remove-dup-information:

⟨atm-of ‘ (lits-of-l M) ⊆ set all-clauses-literals ⇒  
atm-of ‘ (lits-of-l (remove-dup-information M)) ⊆ set all-clauses-literals⟩  
**unfolding** remove-dup-information-def  
**apply** (induction M rule: ann-lit-list-induct)  
**apply** (auto simp: Decided-Propagated-in-iff-in-lits-of-l lits-of-def image-image)  
**done**

**primrec** remove-dup-information-raw2 :: ⟨(‘v, -) ann-lits ⇒ (‘v, -) ann-lits ⇒

(‘v, -) ann-lit search-depth list) **where**  
⟨remove-dup-information-raw2 M' [] = []⟩ |  
⟨remove-dup-information-raw2 M' (L # M) =  
(if atm-of (lit-of L) ∈ Σ − ΔΣ then depth-lit-of L # remove-dup-information-raw2 M' M  
else if defined-lit (M @ M') (Pos (opposite-var (atm-of (lit-of L))))  
then if Decided (Pos (opposite-var (atm-of (lit-of L)))) ∈ set (M @ M')  
then remove-dup-information-raw2 M' M  
else depth-lit-of-additional-fst L # remove-dup-information-raw2 M' M  
else depth-lit-of-additional-snd L @ remove-dup-information-raw2 M' M)⟩

**lemma** remove-dup-information-raw2-Nil[simp]:

⟨remove-dup-information-raw2 [] M = remove-dup-information-raw M⟩  
**by** (induction M) auto

This can be useful as simp, but I am not certain (yet), because the RHS does not look simpler than the LHS.

**lemma** remove-dup-information-raw-cons:

⟨remove-dup-information-raw (L # M2) =  
remove-dup-information-raw2 M2 [L] @  
remove-dup-information-raw M2⟩  
**by** (auto simp: defined-lit-append)

**lemma** remove-dup-information-raw-append:

⟨remove-dup-information-raw (M1 @ M2) =  
remove-dup-information-raw2 M2 M1 @  
remove-dup-information-raw M2⟩  
**by** (induction M1)

(*auto simp: defined-lit-append*)

**lemma** *remove-dup-information-raw-append2*:  
 ⟨*remove-dup-information-raw2* *M* (*M1* @ *M2*) =  
   *remove-dup-information-raw2* (*M* @ *M2*) *M1* @  
   *remove-dup-information-raw2* *M* *M2*⟩  
**by** (*induction* *M1*)  
 (*auto simp: defined-lit-append*)

**lemma** *remove-dup-information-subset*: ⟨*mset* (*remove-dup-information* *M*)  $\subseteq\#$  *mset* *M*⟩  
**unfolding** *remove-dup-information-def*  
**apply** (*induction* *M* *rule: ann-lit-list-induct*) **apply** *auto*  
**apply** (*metis add-mset-remove-trivial diff-subset-eq-self subset-mset.dual-order.trans*) +  
**done**

**lemma** *no-dup-subsetD*: ⟨*no-dup* *M*  $\implies$  *mset* *M'*  $\subseteq\#$  *mset* *M*  $\implies$  *no-dup* *M'*⟩  
**unfolding** *no-dup-def distinct-mset-mset-distinct[symmetric]* *mset-map*  
**apply** (*drule image-mset-subseteq-mono*[*of - -* ⟨*atm-of o lit-of*⟩])  
**apply** (*drule distinct-mset-mono*)  
**apply** *auto*  
**done**

**lemma** *no-dup-remove-dup-information*:  
 ⟨*no-dup* *M*  $\implies$  *no-dup* (*remove-dup-information* *M*)⟩  
**using** *no-dup-subsetD*[*OF - remove-dup-information-subset*] **by** *blast*

**lemma** *atm-of-complete-trail*:  
 ⟨*atm-of* ‘ (*lits-of-l* *M*)  $\subseteq$  *set all-clauses-literals*  $\implies$   
   *atm-of* ‘ (*lits-of-l* (*complete-trail* *M*)) = *set all-clauses-literals*⟩  
**unfolding** *complete-trail-def* **by** (*auto simp: lits-of-def image-image image-Un defined-lit-map*)

**lemmas** [*simp del*] =  
*remove-dup-information-raw.simps*  
*remove-dup-information-raw2.simps*

**lemmas** [*simp*] =  
*remove-dup-information-raw-append*  
*remove-dup-information-raw-cons*  
*remove-dup-information-raw-append2*

**definition** *truncate-trail* :: ⟨('v, -) *ann-lits*  $\Rightarrow$  -⟩ **where**  
 ⟨*truncate-trail* *M*  $\equiv$   
   (*snd* (*backtrack-split* *M*))⟩

**definition** *ocdcl-score* :: ⟨('v, -) *ann-lits*  $\Rightarrow$  -⟩ **where**  
 ⟨*ocdcl-score* *M* =  
   *rev* (*map nat-of-search-deph* (*remove-dup-information-raw* (*complete-trail* (*truncate-trail* *M*))))⟩

**interpretation** *enc-weight-opt*: *conflict-driven-clause-learning<sub>W</sub>-optimal-weight* **where**  
*state-eq* = *state-eq* **and**  
*state* = *state* **and**  
*trail* = *trail* **and**  
*init-clss* = *init-clss* **and**

*learned-clss* = *learned-clss* **and**  
*conflicting* = *conflicting* **and**  
*cons-trail* = *cons-trail* **and**  
*tl-trail* = *tl-trail* **and**  
*add-learned-cls* = *add-learned-cls* **and**  
*remove-cls* = *remove-cls* **and**  
*update-conflicting* = *update-conflicting* **and**  
*init-state* = *init-state* **and**  
 $\varrho = \varrho_e$  **and**  
*update-additional-info* = *update-additional-info*  
**apply** *unfold-locales*  
**subgoal by** (*rule*  $\varrho_e$ -*mono*)  
**subgoal using** *update-additional-info* **by** *fast*  
**subgoal using** *weight-init-state* **by** *fast*  
**done**

**lemma**

$\langle (a, b) \in \text{lexn less-than } n \implies (b, c) \in \text{lexn less-than } n \vee b = c \implies (a, c) \in \text{lexn less-than } n \rangle$   
 $\langle (a, b) \in \text{lexn less-than } n \implies (b, c) \in \text{lexn less-than } n \vee b = c \implies (a, c) \in \text{lexn less-than } n \rangle$   
**apply** (*auto intro:* )  
**apply** (*meson lexn-transI trans-def trans-less-than*)+  
**done**

**lemma** *truncate-trail-Prop[simp]:*

$\langle \text{truncate-trail } (\text{Propagated } L \ E \ \# \ S) = \text{truncate-trail } (S) \rangle$   
**by** (*auto simp: truncate-trail-def*)

**lemma** *ocdcl-score-Prop[simp]:*

$\langle \text{ocdcl-score } (\text{Propagated } L \ E \ \# \ S) = \text{ocdcl-score } (S) \rangle$   
**by** (*auto simp: ocdcl-score-def truncate-trail-def*)

**lemma** *remove-dup-information-raw2-undefined- $\Sigma$ :*

$\langle \text{distinct } xs \implies$   
 $(\bigwedge L. L \in \text{set } xs \implies \text{undefined-lit } M \ (\text{Pos } L) \implies L \in \Sigma \implies \text{undefined-lit } MM \ (\text{Pos } L)) \implies$   
 $\text{remove-dup-information-raw2 } MM$   
 $(\text{map } (\text{Decided } \circ \text{Pos})$   
 $(\text{filter } (\text{unset-literals-in-}\Sigma \ M)$   
 $xs)) =$   
 $\text{map } (\text{SD-TWO } \circ \text{Decided } \circ \text{Pos})$   
 $(\text{filter } (\text{unset-literals-in-}\Sigma \ M)$   
 $xs))$   
**by** (*induction xs*)  
*(auto simp: remove-dup-information-raw2.simps*  
*unset-literals-in- $\Sigma$ -def)*

**lemma** *defined-lit-map-Decided-pos:*

$\langle \text{defined-lit } (\text{map } (\text{Decided } \circ \text{Pos}) \ M) \ L \longleftrightarrow \text{atm-of } L \in \text{set } M \rangle$   
**by** (*induction M*) (*auto simp: defined-lit-cons*)

**lemma** *remove-dup-information-raw2-full-undefined- $\Sigma$ :*

$\langle \text{distinct } xs \implies \text{set } xs \subseteq \text{set all-clauses-literals} \implies$   
 $(\bigwedge L. L \in \text{set } xs \implies \text{undefined-lit } M \ (\text{Pos } L) \implies L \notin \Sigma - \Delta\Sigma \implies$   
 $\text{undefined-lit } M \ (\text{Pos } (\text{opposite-var } L)) \implies L \in \text{replacement-pos } ' \Delta\Sigma \implies$   
 $\text{undefined-lit } MM \ (\text{Pos } (\text{opposite-var } L))) \implies$   
 $\text{remove-dup-information-raw2 } MM$   
 $(\text{map } (\text{Decided } \circ \text{Pos}))$



```

    (filter (full-unset-literals-in- $\Delta\Sigma$  M)
      xs)) =
map (SD-ONE o Decided o Pos)
  (filter (full-unset-literals-in- $\Delta\Sigma$  M)
    xs)
unfolding all-clauses-literals
apply (induction xs)
subgoal
  by (simp-all add: remove-dup-information-raw2.simps)
subgoal premises p for L xs
  using p(1-3) p(4)[of L] p(4)
  by (clarsimp simp add: remove-dup-information-raw2.simps
    defined-lit-map-Decided-pos
    full-unset-literals-in- $\Delta\Sigma$ -def defined-lit-append)
done

```

**lemma** full-unset-literals-in- $\Delta\Sigma$ -notin[simp]:  
 $\langle La \in \Sigma \implies \text{full-unset-literals-in-}\Delta\Sigma \ M \ La \longleftrightarrow \text{False} \rangle$   
 $\langle La \in \Sigma \implies \text{full-unset-literals-in-}\Delta\Sigma' \ M \ La \longleftrightarrow \text{False} \rangle$   
**apply** (metis (mono-tags) full-unset-literals-in- $\Delta\Sigma$ -def  
 image-iff new-vars-pos)  
**by** (simp add: full-unset-literals-in- $\Delta\Sigma'$ -def image-iff)

**lemma** Decided-in-definedD:  $\langle \text{Decided } K \in \text{set } M \implies \text{defined-lit } M \ K \rangle$   
**by** (simp add: defined-lit-def)

**lemma** full-unset-literals-in- $\Delta\Sigma'$ -full-unset-literals-in- $\Delta\Sigma$ :  
 $\langle L \in \text{replacement-pos } \Delta\Sigma \cup \text{replacement-neg } \Delta\Sigma \implies$   
 $\text{full-unset-literals-in-}\Delta\Sigma' \ M \ (\text{opposite-var } L) \longleftrightarrow \text{full-unset-literals-in-}\Delta\Sigma \ M \ L \rangle$   
**by** (auto simp: full-unset-literals-in- $\Delta\Sigma'$ -def full-unset-literals-in- $\Delta\Sigma$ -def  
 opposite-var-def)

**lemma** remove-dup-information-raw2-full-unset-literals-in- $\Delta\Sigma'$ :  
 $\langle (\bigwedge L. L \in \text{set } (\text{filter } (\text{full-unset-literals-in-}\Delta\Sigma' \ M) \ xs) \implies \text{Decided } (\text{Pos } (\text{opposite-var } L)) \in \text{set } M') \implies$   
 $\text{set } xs \subseteq \text{set all-clauses-literals} \implies$   
 $(\text{remove-dup-information-raw2 } M' \text{ (map (Decided o Pos) (filter (full-unset-literals-in-}\Delta\Sigma' \ (M)) xs))) = [] \rangle$   
**supply** [[goals-limit=1]]  
**apply** (induction xs)  
**subgoal by** (auto simp: remove-dup-information-raw2.simps)  
**subgoal premises p for L xs**  
**using** p  
**by** (force simp add: remove-dup-information-raw2.simps  
 full-unset-literals-in- $\Delta\Sigma'$ -full-unset-literals-in- $\Delta\Sigma$   
 all-clauses-literals  
 defined-lit-map-Decided-pos defined-lit-append image-iff  
 dest: Decided-in-definedD)  
**done**

**lemma**  
**fixes**  $M :: \langle ('v, -) \text{ ann-lits} \rangle$  **and**  $L :: \langle ('v, -) \text{ ann-lit} \rangle$   
**defines**  $\langle n1 \equiv \text{map nat-of-search-deph } (\text{remove-dup-information-raw } (\text{complete-trail } (L \# M))) \rangle$  **and**

```

  ⟨n2 ≡ map nat-of-search-deph (remove-dup-information-raw (complete-trail M))⟩
assumes
  lits: ⟨atm-of ‘ (lits-of-l (L # M)) ⊆ set all-clauses-literals⟩ and
  undef: ⟨undefined-lit M (lit-of L)⟩
shows
  ⟨(rev n1, rev n2) ∈ lern less-than n ∨ n1 = n2⟩
proof –
  show ?thesis
  using lits
  apply (auto simp: n1-def n2-def complete-trail-def prepend-same-lern)
  apply (auto simp: sorted-unadded-literals-def
    remove-dup-information-raw2.simps all-clauses-literals(2) defined-lit-map-Decided-pos
    remove-dup-information-raw2-undefined-Σ)
  subgoal
  apply (subst remove-dup-information-raw2-undefined-Σ)
  apply (simp-all add: all-clauses-literals(2) defined-lit-map-Decided-pos
    remove-dup-information-raw2-undefined-Σ)
  apply (subst remove-dup-information-raw2-full-undefined-Σ)
  apply (auto simp: all-clauses-literals(2))
  apply (subst remove-dup-information-raw2-full-unset-literals-in-ΔΣ')
  apply (auto simp: full-unset-literals-in-ΔΣ'-full-unset-literals-in-ΔΣ)[2]
oops
lemma
  defines ⟨n ≡ card Σ⟩
  assumes
  ⟨init-cls S = penc N⟩ and
  ⟨enc-weight-opt.cdcl-bnb-stgy S T⟩ and
  struct: ⟨cdclW-restart-mset.cdclW-all-struct-inv (enc-weight-opt.abs-state S)⟩ and
  smaller-propa: ⟨no-smaller-propa S⟩ and
  smaller-conf: ⟨cdcl-bnb-stgy-inv S⟩
  shows ⟨(ocdcl-score (trail T), ocdcl-score (trail S)) ∈ lern less-than n ∨
    ocdcl-score (trail T) = ocdcl-score (trail S)⟩
  using assms(3)
proof (cases)
  case cdcl-bnb-conflict
  then show ?thesis by (auto elim!: rulesE)
next
  case cdcl-bnb-propagate
  then show ?thesis
  by (auto elim!: rulesE)
next
  case cdcl-bnb-improve
  then show ?thesis
  by (auto elim!: enc-weight-opt.improveE)
next
  case cdcl-bnb-conflict-opt
  then show ?thesis
  by (auto elim!: enc-weight-opt.conflict-optE)
next
  case cdcl-bnb-other'
  then show ?thesis
proof cases
  case bj
  then show ?thesis
proof cases
  case skip

```

```

    then show ?thesis by (auto elim!: rulesE)
next
  case resolve
  then show ?thesis by (cases ⟨trail S⟩) (auto elim!: rulesE)
next
  case backtrack
  then obtain M1 M2 :: ⟨('v, 'v clause) ann-lits⟩ and K L :: ⟨'v literal⟩ and
    D D' :: ⟨'v clause⟩ where
    confl: ⟨conflicting S = Some (add-mset L D)⟩ and
    decomp: ⟨(Decided K # M1, M2) ∈ set (get-all-ann-decomposition (trail S))⟩ and
    ⟨get-maximum-level (trail S) (add-mset L D') = local.backtrack-lvl S⟩ and
    ⟨get-level (trail S) L = local.backtrack-lvl S⟩ and
    lev-K: ⟨get-level (trail S) K = Suc (get-maximum-level (trail S) D')⟩ and
    D'-D: ⟨D' ⊆# D⟩ and
    ⟨set-mset (clauses S) ∪ set-mset (enc-weight-opt.conflicting-clss S) ⊨p
      add-mset L D'⟩ and
    T: ⟨T ~
      cons-trail (Propagated L (add-mset L D'))
      (reduce-trail-to M1
        (add-learned-cls (add-mset L D') (update-conflicting None S)))
      by (auto simp: enc-weight-opt.obacktrack.simps)
      have
        tr-D: ⟨trail S ⊨as CNot (add-mset L D)⟩ and
        ⟨distinct-mset (add-mset L D)⟩ and
    ⟨cdclW-restart-mset.cdclW-M-level-inv (abs-state S)⟩ and
    n-d: ⟨no-dup (trail S)⟩
      using struct confl
    unfolding cdclW-restart-mset.cdclW-all-struct-inv-def
      cdclW-restart-mset.cdclW-conflicting-def
      cdclW-restart-mset.distinct-cdclW-state-def
      cdclW-restart-mset.cdclW-M-level-inv-def
    by auto
    have tr-D': ⟨trail S ⊨as CNot (add-mset L D')⟩
    using D'-D tr-D
  by (auto simp: true-annots-true-clss-def-iff-negation-in-model)
  have ⟨trail S ⊨as CNot D' ⟹ trail S ⊨as CNot (normalize2 D')⟩
    if ⟨get-maximum-level (trail S) D' < backtrack-lvl S⟩
    for D'
oops
end

```

**interpretation** *enc-weight-opt: conflict-driven-clause-learning<sub>W</sub>-optimal-weight* **where**

```

state-eq = state-eq and
state = state and
trail = trail and
init-clss = init-clss and
learned-clss = learned-clss and
conflicting = conflicting and
cons-trail = cons-trail and
tl-trail = tl-trail and
add-learned-cls = add-learned-cls and
remove-cls = remove-cls and
update-conflicting = update-conflicting and
init-state = init-state and

```

$\varrho = \varrho_e$  and  
 update-additional-info = update-additional-info  
 apply unfold-locales  
 subgoal by (rule  $\varrho_e$ -mono)  
 subgoal using update-additional-info by fast  
 subgoal using weight-init-state by fast  
 done

**inductive** simple-backtrack-conflict-opt ::  $\langle 'st \Rightarrow 'st \Rightarrow bool \rangle$  where

$\langle \text{simple-backtrack-conflict-opt } S \ T \rangle$

if

$\langle \text{backtrack-split } (\text{trail } S) = (M2, \text{Decided } K \ \# \ M1) \rangle$  and  
 $\langle \text{negate-ann-lits } (\text{trail } S) \in \# \ \text{enc-weight-opt.conflicting-clss } S \rangle$  and  
 $\langle \text{conflicting } S = \text{None} \rangle$  and  
 $\langle T \sim \text{cons-trail } (\text{Propagated } (-K) \ (\text{DECO-clause } (\text{trail } S)))$   
 $\ (\text{add-learned-cls } (\text{DECO-clause } (\text{trail } S)) \ (\text{reduce-trail-to } M1 \ S)) \rangle$

**inductive-cases** simple-backtrack-conflict-optE:  $\langle \text{simple-backtrack-conflict-opt } S \ T \rangle$

**lemma** simple-backtrack-conflict-opt-conflict-analysis:

**assumes**  $\langle \text{simple-backtrack-conflict-opt } S \ U \rangle$  and

$\text{inv: } \langle \text{cdcl}_W\text{-restart-mset.cdcl}_W\text{-all-struct-inv } (\text{enc-weight-opt.abs-state } S) \rangle$

**shows**  $\langle \exists T \ T'. \ \text{enc-weight-opt.conflict-opt } S \ T \wedge \text{resolve}^{**} \ T \ T' \wedge$

$\text{enc-weight-opt.obacktrack } T' \ U \rangle$

**using** assms

**proof** (cases rule: simple-backtrack-conflict-opt.cases)

**case** (1  $M2 \ K \ M1$ )

**have** tr:  $\langle \text{trail } S = M2 \ @ \ \text{Decided } K \ \# \ M1 \rangle$

**using** 1 backtrack-split-list-eq[of  $\langle \text{trail } S \rangle$ ]

**by** auto

**let** ?S =  $\langle \text{update-conflicting } (\text{Some } (\text{negate-ann-lits } (\text{trail } S))) \ S \rangle$

**have**  $\langle \text{enc-weight-opt.conflict-opt } S \ ?S \rangle$

**by** (rule enc-weight-opt.conflict-opt.intros[OF 1(2,3)]) auto

**let** ?T =  $\langle \lambda n. \ \text{update-conflicting}$

$\ (\text{Some } (\text{negate-ann-lits } (\text{drop } n \ (\text{trail } S))))$

$\ (\text{reduce-trail-to } (\text{drop } n \ (\text{trail } S)) \ S) \rangle$

**have** proped-M2:  $\langle \text{is-proped } (M2 \ ! \ n) \rangle$  if  $\langle n < \text{length } M2 \rangle$  for  $n$

**using** that 1(1) nth-length-takeWhile[of  $\langle \text{Not } \circ \ \text{is-decided} \rangle \ \langle \text{trail } S \rangle$ ]

length-takeWhile-le[of  $\langle \text{Not } \circ \ \text{is-decided} \rangle \ \langle \text{trail } S \rangle$ ]

**unfolding** backtrack-split-takeWhile-dropWhile

**apply** auto

**by** (metis annotated-lit.exhaust-disc comp-apply nth-mem set-takeWhileD)

**have** is-dec-M2[simp]:  $\langle \text{filter-mset is-decided } (\text{mset } M2) = \{\#\} \rangle$

**using** 1(1) nth-length-takeWhile[of  $\langle \text{Not } \circ \ \text{is-decided} \rangle \ \langle \text{trail } S \rangle$ ]

length-takeWhile-le[of  $\langle \text{Not } \circ \ \text{is-decided} \rangle \ \langle \text{trail } S \rangle$ ]

**unfolding** backtrack-split-takeWhile-dropWhile

**apply** (auto simp: filter-mset-empty-conv)

**by** (metis annotated-lit.exhaust-disc comp-apply nth-mem set-takeWhileD)

**have** n-d:  $\langle \text{no-dup } (\text{trail } S) \rangle$  and

le:  $\langle \text{cdcl}_W\text{-restart-mset.cdcl}_W\text{-conflicting } (\text{enc-weight-opt.abs-state } S) \rangle$  and

dist:  $\langle \text{cdcl}_W\text{-restart-mset.distinct-cdcl}_W\text{-state } (\text{enc-weight-opt.abs-state } S) \rangle$  and

decomp-imp:  $\langle \text{all-decomposition-imposes-m } (\text{clauses } S + (\text{enc-weight-opt.conflicting-clss } S))$

$\ (\text{get-all-ann-decomposition } (\text{trail } S)) \rangle$  and

learned:  $\langle \text{cdcl}_W\text{-restart-mset.cdcl}_W\text{-learned-clause } (\text{enc-weight-opt.abs-state } S) \rangle$

**using** inv

```

unfolding cdclW-restart-mset.cdclW-all-struct-inv-def
  cdclW-restart-mset.cdclW-M-level-inv-def
by auto
then have [simp]:  $\langle K \neq \text{lit-of } (M2 ! n) \rangle$  if  $\langle n < \text{length } M2 \rangle$  for  $n$ 
  using that unfolding tr
  by (auto simp: defined-lit-nth)
have  $n\text{-d-}n$ :  $\langle \text{no-dup } (\text{drop } n \text{ } M2 @ \text{Decided } K \# M1) \rangle$  for  $n$ 
  using  $n\text{-d}$  unfolding tr
  by (subst (asm) append-take-drop-id[symmetric, of - n])
  (auto simp del: append-take-drop-id dest: no-dup-appendD)
have mark-dist:  $\langle \text{distinct-mset } (\text{mark-of } (M2!n)) \rangle$  if  $\langle n < \text{length } M2 \rangle$  for  $n$ 
  using dist that proped-M2[OF that] nth-mem[OF that]
  unfolding cdclW-restart-mset.distinct-cdclW-state-def tr
  by (cases (M2!n) (auto simp: tr))

have [simp]:  $\langle \text{undefined-lit } (\text{drop } n \text{ } M2) \text{ } K \rangle$  for  $n$ 
  using  $n\text{-d}$  defined-lit-mono[of (drop n M2) K M2]
  unfolding tr
  by (auto simp: set-drop-subset)
from this[of 0] have [simp]:  $\langle \text{undefined-lit } M2 \text{ } K \rangle$ 
  by auto
have [simp]:  $\langle \text{count-decided } (\text{drop } n \text{ } M2) = 0 \rangle$  for  $n$ 
  apply (subst count-decided-0-iff)
  using 1(1) nth-length-takeWhile[of (Not o is-decided) (trail S)]
  length-takeWhile-le[of (Not o is-decided) (trail S)]
  unfolding backtrack-split-takeWhile-dropWhile
  by (auto simp: dest!: in-set-dropD set-takeWhileD)
from this[of 0] have [simp]:  $\langle \text{count-decided } M2 = 0 \rangle$  by simp
have proped:  $\langle \bigwedge L \text{ mark } a \text{ } b. \text{ } a @ \text{Propagated } L \text{ mark } \# b = \text{trail } S \longrightarrow$ 
   $b \models_{\text{as}} \text{CNot } (\text{remove1-mset } L \text{ mark}) \wedge L \in \# \text{ mark} \rangle$ 
  using le
  unfolding cdclW-restart-mset.cdclW-conflicting-def
  by auto
have mark:  $\langle \text{drop } (\text{Suc } n) \text{ } M2 @ \text{Decided } K \# M1 \models_{\text{as}}$ 
   $\text{CNot } (\text{mark-of } (M2 ! n) - \text{unmark } (M2 ! n)) \wedge$ 
   $\text{lit-of } (M2 ! n) \in \# \text{ mark-of } (M2 ! n) \rangle$ 
  if  $\langle n < \text{length } M2 \rangle$  for  $n$ 
  using proped-M2[OF that] that
  append-take-drop-id[of n M2, unfolded Cons-nth-drop-Suc[OF that, symmetric]]
  proped[of (take n M2) (lit-of (M2 ! n)) (mark-of (M2 ! n))]
   $\langle \text{drop } (\text{Suc } n) \text{ } M2 @ \text{Decided } K \# M1 \rangle$ 
  unfolding tr by (cases (M2!n) auto)
have confl:  $\langle \text{enc-weight-opt.conflict-opt } S \text{ } ?S \rangle$ 
  by (rule enc-weight-opt.conflict-opt.intros) (use 1 in auto)
have res:  $\langle \text{resolve}^{**} ?S (?T \text{ } n) \rangle$  if  $\langle n \leq \text{length } M2 \rangle$  for  $n$ 
  using that unfolding tr
proof (induction n)
  case 0
  then show ?case
    using get-all-ann-decomposition-backtrack-split[THEN iffD1, OF 1(1)]
    1
    by (cases (get-all-ann-decomposition (trail S)) (auto simp: tr))
next
  case (Suc n)
  have [simp]:  $\langle \neg \text{Suc } (\text{length } M2 - \text{Suc } n) < \text{length } M2 \longleftrightarrow n = 0 \rangle$ 

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using Suc(2) by auto
have [simp]: ⟨reduce-trail-to (drop (Suc 0) M2 @ Decided K # M1) S = tl-trail S⟩
apply (subst reduce-trail-to.simps)
using Suc by (auto simp: tr )
have [simp]: ⟨reduce-trail-to (M2 ! 0 # drop (Suc 0) M2 @ Decided K # M1) S = S⟩
apply (subst reduce-trail-to.simps)
using Suc by (auto simp: tr )
have [simp]: ⟨(Suc (length M1) -
  (length M2 - n + (Suc (length M1) - (n - length M2)))) = 0⟩
  ⟨(Suc (length M2 + length M1) -
  (length M2 - n + (Suc (length M1) - (n - length M2)))) = n⟩
  ⟨length M2 - n + (Suc (length M1) - (n - length M2)) = Suc (length M2 + length M1) - n⟩
using Suc by auto
have [symmetric,simp]: ⟨M2 ! n = Propagated (lit-of (M2 ! n)) (mark-of (M2 ! n))⟩
using Suc proped-M2[of n]
by (cases ⟨M2 ! n⟩) (auto simp: tr trail-reduce-trail-to-drop hd-drop-conv-nth
  intro!: resolve.intros)
have ⟨- lit-of (M2 ! n) ∈# negate-ann-lits (drop n M2 @ Decided K # M1)⟩
using Suc in-set-dropI[of ⟨n⟩] ⟨map (uminus o lit-of) M2⟩ n]
by (simp add: negate-ann-lits-def comp-def drop-map
  del: nth-mem)
moreover have ⟨get-maximum-level (drop n M2 @ Decided K # M1)
  (remove1-mset (- lit-of (M2 ! n)) (negate-ann-lits (drop n M2 @ Decided K # M1))) =
  Suc (count-decided M1)⟩
using Suc(2) count-decided-ge-get-maximum-level[of ⟨drop n M2 @ Decided K # M1⟩
  ⟨(remove1-mset (- lit-of (M2 ! n)) (negate-ann-lits (drop n M2 @ Decided K # M1)))⟩]
by (auto simp: negate-ann-lits-def tr max-def ac-simps
  remove1-mset-add-mset-If get-maximum-level-add-mset
  split: if-splits)
moreover have ⟨lit-of (M2 ! n) ∈# mark-of (M2 ! n)⟩
using mark[of n] Suc by auto
moreover have ⟨(remove1-mset (- lit-of (M2 ! n))
  (negate-ann-lits (drop n M2 @ Decided K # M1))) ∪#
  (mark-of (M2 ! n) - unmark (M2 ! n))) = negate-ann-lits (drop (Suc n) (trail S))⟩
apply (rule distinct-set-mset-eq)
using n-d-n[of n] n-d-n[of ⟨Suc n⟩] no-dup-distinct-mset[OF n-d-n[of n]] Suc
  mark[of n] mark-dist[of n]
by (auto simp: tr Cons-nth-drop-Suc[symmetric, of n]
  entails-CNot-negate-ann-lits
  dest: in-diffD intro: distinct-mset-minus)
moreover { have 1: ⟨(tl-trail
  (reduce-trail-to (drop n M2 @ Decided K # M1) S)) ~
  (reduce-trail-to (drop (Suc n) M2 @ Decided K # M1) S)⟩
apply (subst Cons-nth-drop-Suc[symmetric, of n M2])
subgoal using Suc by (auto simp: tl-trail-update-conflicting)
subgoal
apply (rule state-eq-trans)
apply simp
apply (cases ⟨length (M2 ! n # drop (Suc n) M2 @ Decided K # M1) < length (trail S)⟩)
apply (auto simp: tl-trail-reduce-trail-to-cons tr)
done
done
have ⟨update-conflicting
  (Some (negate-ann-lits (drop (Suc n) M2 @ Decided K # M1)))
  (reduce-trail-to (drop (Suc n) M2 @ Decided K # M1) S) ~
  update-conflicting

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```

(⟦Some (negate-ann-lits (drop (Suc n) M2 @ Decided K # M1)))
(tl-trail
  (update-conflicting (Some (negate-ann-lits (drop n M2 @ Decided K # M1)))
    (reduce-trail-to (drop n M2 @ Decided K # M1) S)))
  apply (rule state-eq-trans)
  prefer 2
  apply (rule update-conflicting-state-eq)
  apply (rule tl-trail-update-conflicting[THEN state-eq-sym[THEN iffD1]])
  apply (subst state-eq-sym)
  apply (subst update-conflicting-update-conflicting)
  apply (rule 1)
  by fast }
ultimately have (⟦resolve (?T n) (?T (n+1))⟧ apply -
  apply (rule resolve.intros[of - (lit-of (M2 ! n)) (mark-of (M2 ! n))])
  using Suc
    get-all-ann-decomposition-backtrack-split[THEN iffD1, OF 1(1)]
    in-get-all-ann-decomposition-trail-update-trail[of (Decided K) M1 (M2) (S)]
  by (auto simp: tr trail-reduce-trail-to-drop hd-drop-conv-nth
    intro!: resolve.intros intro: update-conflicting-state-eq)
then show ?case
  using Suc by (auto simp add: tr)
qed

have (⟦get-maximum-level (Decided K # M1) (DECO-clause M1) = get-maximum-level M1 (DECO-clause
M1)⟧)
  by (rule get-maximum-level-cong)
  (use n-d in (auto simp: tr get-level-cons-if atm-of-eq-atm-of
    DECO-clause-def Decided-Propagated-in-iff-in-lits-of-l lits-of-def))
also have (⟦... = count-decided M1⟧)
  using n-d unfolding tr apply -
  apply (induction M1 rule: ann-lit-list-induct)
  subgoal by auto
  subgoal for L M1'
    apply (subgoal-tac (⟦∀ La ∈ #DECO-clause M1'. get-level (Decided L # M1') La = get-level M1'
La)⟧)
    subgoal
      using count-decided-ge-get-maximum-level[of (M1') (DECO-clause M1')]
      get-maximum-level-cong[of (DECO-clause M1') (Decided L # M1') (M1')]
    by (auto simp: get-maximum-level-add-mset tr atm-of-eq-atm-of
      max-def)
    subgoal
      by (auto simp: DECO-clause-def
        get-level-cons-if atm-of-eq-atm-of Decided-Propagated-in-iff-in-lits-of-l
        lits-of-def)
    done
  subgoal for L C M1'
    apply (subgoal-tac (⟦∀ La ∈ #DECO-clause M1'. get-level (Propagated L C # M1') La = get-level
M1' La)⟧)
    subgoal
      using count-decided-ge-get-maximum-level[of (M1') (DECO-clause M1')]
      get-maximum-level-cong[of (DECO-clause M1') (Propagated L C # M1') (M1')]
    by (auto simp: get-maximum-level-add-mset tr atm-of-eq-atm-of
      max-def)
    subgoal
      by (auto simp: DECO-clause-def
        get-level-cons-if atm-of-eq-atm-of Decided-Propagated-in-iff-in-lits-of-l

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    lits-of-def)
  done
done
finally have max: ⟨get-maximum-level (Decided K # M1) (DECO-clause M1) = count-decided M1⟩ .
have ⟨trail S ⊨as CNot (negate-ann-lits (trail S))⟩
  by (auto simp: true-annots-true-cls-def-iff-negation-in-model
    negate-ann-lits-def lits-of-def)
then have ⟨clauses S + (enc-weight-opt.conflicting-clss S) ⊨pm DECO-clause (trail S)⟩
  unfolding DECO-clause-def apply -
  apply (rule all-decomposition-implies-conflict-DECO-clause[OF decomp-imp,
    of ⟨negate-ann-lits (trail S)⟩])
  using 1
  by auto

have neg: ⟨trail S ⊨as CNot (mset (map (uminus o lit-of) (trail S)))⟩
  by (auto simp: true-annots-true-cls-def-iff-negation-in-model
    lits-of-def)
have ent: ⟨clauses S + enc-weight-opt.conflicting-clss S ⊨pm DECO-clause (trail S)⟩
  unfolding DECO-clause-def
  by (rule all-decomposition-implies-conflict-DECO-clause[OF decomp-imp,
    of ⟨mset (map (uminus o lit-of) (trail S))⟩])
  (use neg 1 in ⟨auto simp: negate-ann-lits-def⟩)
have deco: ⟨DECO-clause (M2 @ Decided K # M1) = add-mset (− K) (DECO-clause M1)⟩
  by (auto simp: DECO-clause-def)
have eg: ⟨reduce-trail-to M1 (reduce-trail-to (Decided K # M1) S) ∼
  reduce-trail-to M1 S⟩
  apply (subst reduce-trail-to-compow-tl-trail-le)
  apply (solves ⟨auto simp: tr⟩)
  apply (subst (3) reduce-trail-to-compow-tl-trail-le)
  apply (solves ⟨auto simp: tr⟩)
  apply (auto simp: tr)
  apply (cases ⟨M2 = []⟩)
  apply (auto simp: reduce-trail-to-compow-tl-trail-le reduce-trail-to-compow-tl-trail-eq tr)
  done

have U: ⟨cons-trail (Propagated (− K) (DECO-clause (M2 @ Decided K # M1)))
  (add-learned-cls (DECO-clause (M2 @ Decided K # M1))
    (reduce-trail-to M1 S)) ∼
  cons-trail (Propagated (− K) (add-mset (− K) (DECO-clause M1)))
  (reduce-trail-to M1
    (add-learned-cls (add-mset (− K) (DECO-clause M1))
      (update-conflicting None
        (update-conflicting (Some (add-mset (− K) (negate-ann-lits M1)))
          (reduce-trail-to (Decided K # M1) S))))))⟩
  unfolding deco
  apply (rule cons-trail-state-eq)
  apply (rule state-eq-trans)
  prefer 2
  apply (rule state-eq-sym[THEN iffD1])
  apply (rule reduce-trail-to-add-learned-cls-state-eq)
  apply (solves ⟨auto simp: tr⟩)
  apply (rule add-learned-cls-state-eq)
  apply (rule state-eq-trans)
  prefer 2
  apply (rule state-eq-sym[THEN iffD1])
  apply (rule reduce-trail-to-update-conflicting-state-eq)

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apply (solves ⟨auto simp: tr⟩)
apply (rule state-eq-trans)
prefer 2
apply (rule state-eq-sym[THEN iffD1])
apply (rule update-conflicting-state-eq)
apply (rule reduce-trail-to-update-conflicting-state-eq)
apply (solves ⟨auto simp: tr⟩)
apply (rule state-eq-trans)
prefer 2
apply (rule state-eq-sym[THEN iffD1])
apply (rule update-conflicting-update-conflicting)
apply (rule eg)
apply (rule state-eq-trans)
prefer 2
apply (rule state-eq-sym[THEN iffD1])
apply (rule update-conflicting-itself)
by (use 1 in auto)

have bt: ⟨enc-weight-opt.obacktrack (?T (length M2)) U⟩
apply (rule enc-weight-opt.obacktrack.intros[of - ⟨¬K⟩ ⟨negate-ann-lits M1⟩ K M1 ⟨[]⟩
  ⟨DECO-clause M1⟩ ⟨count-decided M1⟩])
subgoal by (auto simp: tr)
subgoal by (auto simp: tr)
subgoal by (auto simp: tr)
subgoal
  using count-decided-ge-get-maximum-level[of ⟨Decided K # M1⟩ ⟨DECO-clause M1⟩]
  by (auto simp: tr get-maximum-level-add-mset max-def)
subgoal using max by (auto simp: tr)
subgoal by (auto simp: tr)
subgoal by (auto simp: DECO-clause-def negate-ann-lits-def
  image-mset-subseteq-mono)
subgoal using ent by (auto simp: tr DECO-clause-def)
subgoal
  apply (rule state-eq-trans [OF 1(4)])
  using 1(4) U by (auto simp: tr)
done

show ?thesis
  using confl res[of ⟨length M2⟩, simplified] bt
  by blast
qed

inductive conflict-opt0 :: ⟨'st ⇒ 'st ⇒ bool⟩ where
  ⟨conflict-opt0 S T⟩
if
  ⟨count-decided (trail S) = 0⟩ and
  ⟨negate-ann-lits (trail S) ∈ # enc-weight-opt.conflicting-clss S⟩ and
  ⟨conflicting S = None⟩ and
  ⟨T ∼ update-conflicting (Some {#}) (reduce-trail-to ([] :: ('v, 'v clause) ann-lits) S)⟩

inductive-cases conflict-opt0E: ⟨conflict-opt0 S T⟩

inductive cdcl-dpll-bnb-r :: ⟨'st ⇒ 'st ⇒ bool⟩ for S :: 'st where
  cdcl-conflict: conflict S S' ⇒ cdcl-dpll-bnb-r S S' |
  cdcl-propagate: propagate S S' ⇒ cdcl-dpll-bnb-r S S' |
  cdcl-improve: enc-weight-opt.improvep S S' ⇒ cdcl-dpll-bnb-r S S' |

```

$cdcl\text{-}conflict\text{-}opt0: conflict\text{-}opt0\ S\ S' \implies cdcl\text{-}dpll\text{-}bnb\text{-}r\ S\ S' \mid$   
 $cdcl\text{-}simple\text{-}backtrack\text{-}conflict\text{-}opt:$   
 $\langle simple\text{-}backtrack\text{-}conflict\text{-}opt\ S\ S' \implies cdcl\text{-}dpll\text{-}bnb\text{-}r\ S\ S' \rangle \mid$   
 $cdcl\text{-}o': ocdcl_W\text{-}o\text{-}r\ S\ S' \implies cdcl\text{-}dpll\text{-}bnb\text{-}r\ S\ S'$

**inductive**  $cdcl\text{-}dpll\text{-}bnb\text{-}r\text{-}stgy :: \langle 'st \Rightarrow 'st \Rightarrow bool \rangle$  **for**  $S :: 'st$  **where**  
 $cdcl\text{-}dpll\text{-}bnb\text{-}r\text{-}conflict: conflict\ S\ S' \implies cdcl\text{-}dpll\text{-}bnb\text{-}r\text{-}stgy\ S\ S' \mid$   
 $cdcl\text{-}dpll\text{-}bnb\text{-}r\text{-}propagate: propagate\ S\ S' \implies cdcl\text{-}dpll\text{-}bnb\text{-}r\text{-}stgy\ S\ S' \mid$   
 $cdcl\text{-}dpll\text{-}bnb\text{-}r\text{-}improve: enc\text{-}weight\text{-}opt.improvep\ S\ S' \implies cdcl\text{-}dpll\text{-}bnb\text{-}r\text{-}stgy\ S\ S' \mid$   
 $cdcl\text{-}dpll\text{-}bnb\text{-}r\text{-}conflict\text{-}opt0: conflict\text{-}opt0\ S\ S' \implies cdcl\text{-}dpll\text{-}bnb\text{-}r\text{-}stgy\ S\ S' \mid$   
 $cdcl\text{-}dpll\text{-}bnb\text{-}r\text{-}simple\text{-}backtrack\text{-}conflict\text{-}opt:$   
 $\langle simple\text{-}backtrack\text{-}conflict\text{-}opt\ S\ S' \implies cdcl\text{-}dpll\text{-}bnb\text{-}r\text{-}stgy\ S\ S' \rangle \mid$   
 $cdcl\text{-}dpll\text{-}bnb\text{-}r\text{-}other': ocdcl_W\text{-}o\text{-}r\ S\ S' \implies no\text{-}confl\text{-}prop\text{-}impr\ S \implies cdcl\text{-}dpll\text{-}bnb\text{-}r\text{-}stgy\ S\ S'$

**lemma**  $no\text{-}dup\text{-}dropI:$   
 $\langle no\text{-}dup\ M \implies no\text{-}dup\ (drop\ n\ M) \rangle$   
**by** (cases  $\langle n < length\ M \rangle$ ) (auto simp:  $no\text{-}dup\text{-}def\ drop\text{-}map[symmetric]$ )

**lemma**  $tranclp\text{-}resolve\text{-}state\text{-}eq\text{-}compatible:$   
 $\langle resolve^{++}\ S\ T \implies T \sim T' \implies resolve^{++}\ S\ T' \rangle$   
**apply** (induction arbitrary:  $T'$  rule:  $tranclp\text{-}induct$ )  
**apply** (auto dest:  $resolve\text{-}state\text{-}eq\text{-}compatible$ )  
**by** (metis  $resolve\text{-}state\text{-}eq\text{-}compatible\ state\text{-}eq\text{-}ref\ tranclp\text{-}into\text{-}rtranclp\ tranclp\text{-}unfold\text{-}end$ )

**lemma**  $conflict\text{-}opt0\text{-}state\text{-}eq\text{-}compatible:$   
 $\langle conflict\text{-}opt0\ S\ T \implies S \sim S' \implies T \sim T' \implies conflict\text{-}opt0\ S'\ T' \rangle$   
**using**  $state\text{-}eq\text{-}trans[of\ T'\ T]$   
 $\langle update\text{-}conflicting\ (Some\ \{\#\})\ (reduce\text{-}trail\text{-}to\ ([::('v, 'v\ clause)\ ann\text{-}lits)\ S]) \rangle$   
**using**  $state\text{-}eq\text{-}trans[of\ T]$   
 $\langle update\text{-}conflicting\ (Some\ \{\#\})\ (reduce\text{-}trail\text{-}to\ ([::('v, 'v\ clause)\ ann\text{-}lits)\ S]) \rangle$   
 $\langle update\text{-}conflicting\ (Some\ \{\#\})\ (reduce\text{-}trail\text{-}to\ ([::('v, 'v\ clause)\ ann\text{-}lits)\ S']) \rangle$   
 $update\text{-}conflicting\text{-}state\text{-}eq[of\ S\ S'\ \langle Some\ \{\#\} \rangle]$   
**apply** (auto simp:  $conflict\text{-}opt0.simps\ state\text{-}eq\text{-}sym$ )  
**using**  $reduce\text{-}trail\text{-}to\text{-}state\text{-}eq\ state\text{-}eq\text{-}trans\ update\text{-}conflicting\text{-}state\text{-}eq$  **by** blast

**lemma**  $conflict\text{-}opt0\text{-}conflict\text{-}opt:$   
**assumes**  $\langle conflict\text{-}opt0\ S\ U \rangle$  **and**  
 $inv: \langle cdcl_W\text{-}restart\text{-}mset.cdcl_W\text{-}all\text{-}struct\text{-}inv\ (enc\text{-}weight\text{-}opt.abs\text{-}state\ S) \rangle$   
**shows**  $\langle \exists T. enc\text{-}weight\text{-}opt.conflict\text{-}opt\ S\ T \wedge resolve^{**}\ T\ U \rangle$   
**proof** –  
**have**  
 $I: \langle count\text{-}decided\ (trail\ S) = 0 \rangle$  **and**  
 $neg: \langle negate\text{-}ann\text{-}lits\ (trail\ S) \in \# enc\text{-}weight\text{-}opt.conflicting\text{-}clss\ S \rangle$  **and**  
 $confl: \langle conflicting\ S = None \rangle$  **and**  
 $U: \langle U \sim update\text{-}conflicting\ (Some\ \{\#\})\ (reduce\text{-}trail\text{-}to\ ([::('v, 'v\ clause)\ ann\text{-}lits)\ S]) \rangle$   
**using**  $assms$  **by** (auto elim:  $conflict\text{-}opt0E$ )  
**let**  $?T = \langle update\text{-}conflicting\ (Some\ (negate\text{-}ann\text{-}lits\ (trail\ S)))\ S \rangle$   
**have**  $confl: \langle enc\text{-}weight\text{-}opt.conflict\text{-}opt\ S\ ?T \rangle$   
**using**  $neg\ confl$   
**by** (auto simp:  $enc\text{-}weight\text{-}opt.conflict\text{-}opt.simps$ )  
**let**  $?T = \langle \lambda n. update\text{-}conflicting\ (Some\ (negate\text{-}ann\text{-}lits\ (drop\ n\ (trail\ S))))\ (reduce\text{-}trail\text{-}to\ (drop\ n\ (trail\ S))\ S) \rangle$   
**have**  $proped\text{-}M2: \langle is\text{-}proped\ (trail\ S\ !\ n) \rangle$  **if**  $\langle n < length\ (trail\ S) \rangle$  **for**  $n$

**using** 1 **that by** (auto simp: count-decided-0-iff is-decided-no-proped-iff)  
**have** n-d: ⟨no-dup (trail S)⟩ **and**  
 le: ⟨cdcl<sub>W</sub>-restart-mset.cdcl<sub>W</sub>-conflicting (enc-weight-opt.abs-state S)⟩ **and**  
 dist: ⟨cdcl<sub>W</sub>-restart-mset.distinct-cdcl<sub>W</sub>-state (enc-weight-opt.abs-state S)⟩ **and**  
 decomp-imp: ⟨all-decomposition-implies-m (clauses S + (enc-weight-opt.conflicting-cls S))  
 (get-all-ann-decomposition (trail S))⟩ **and**  
 learned: ⟨cdcl<sub>W</sub>-restart-mset.cdcl<sub>W</sub>-learned-clause (enc-weight-opt.abs-state S)⟩  
**using** inv  
**unfolding** cdcl<sub>W</sub>-restart-mset.cdcl<sub>W</sub>-all-struct-inv-def  
 cdcl<sub>W</sub>-restart-mset.cdcl<sub>W</sub>-M-level-inv-def  
**by** auto  
**have** proped: ⟨ $\bigwedge L$  mark a b.  
 a @ Propagated L mark # b = trail S  $\longrightarrow$   
 b  $\models$ as CNot (remove1-mset L mark)  $\wedge$  L  $\in$  # mark⟩  
**using** le  
**unfolding** cdcl<sub>W</sub>-restart-mset.cdcl<sub>W</sub>-conflicting-def  
**by** auto  
**have** [simp]: ⟨count-decided (drop n (trail S)) = 0⟩ **for** n  
**using** 1 **unfolding** count-decided-0-iff  
**by** (cases ⟨n < length (trail S)⟩) (auto dest: in-set-dropD)  
**have** [simp]: ⟨get-maximum-level (drop n (trail S)) C = 0⟩ **for** n C  
**using** count-decided-ge-get-maximum-level[of ⟨drop n (trail S)⟩ C]  
**by** auto  
**have** mark-dist: ⟨distinct-mset (mark-of (trail S!n))⟩ **if** ⟨n < length (trail S)⟩ **for** n  
**using** dist that proped-M2[OF that] nth-mem[OF that]  
**unfolding** cdcl<sub>W</sub>-restart-mset.distinct-cdcl<sub>W</sub>-state-def  
**by** (cases ⟨trail S!n⟩) auto  
  
**have** res: ⟨resolve (?T n) (?T (Suc n))⟩ **if** ⟨n < length (trail S)⟩ **for** n  
**proof** –  
**define** L and E **where**  
 ⟨L = lit-of (trail S ! n)⟩ **and**  
 ⟨E = mark-of (trail S ! n)⟩  
**have** ⟨hd (drop n (trail S)) = Propagated L E⟩ **and**  
 tr-Sn: ⟨trail S ! n = Propagated L E⟩  
**using** proped-M2[OF that]  
**by** (cases ⟨trail S ! n⟩; auto simp: that hd-drop-conv-nth L-def E-def; fail)+  
**have** ⟨L  $\in$  # E⟩ **and**  
 ent-E: ⟨drop (Suc n) (trail S)  $\models$ as CNot (remove1-mset L E)⟩  
**using** proped[of ⟨take n (trail S)⟩ L E ⟨drop (Suc n) (trail S)⟩]  
 that **unfolding** tr-Sn[symmetric]  
**by** (auto simp: Cons-nth-drop-Suc)  
**have** 1: ⟨negate-ann-lits (drop (Suc n) (trail S)) =  
 (remove1-mset (– L) (negate-ann-lits (drop n (trail S))))  $\cup$  #  
 remove1-mset L E⟩  
**apply** (subst distinct-set-mset-eq-iff[symmetric])  
**subgoal**  
**using** n-d **by** (auto simp: no-dup-dropI)  
**subgoal**  
**using** n-d mark-dist[OF that] **unfolding** tr-Sn  
**by** (auto intro: distinct-mset-mono no-dup-dropI  
 intro!: distinct-mset-minus)  
**subgoal**  
**using** ent-E **unfolding** tr-Sn[symmetric]  
**by** (auto simp: negate-ann-lits-def that  
 Cons-nth-drop-Suc[symmetric] L-def lits-of-def)

```

    true-annots-true-cls-def-iff-negation-in-model
    uminus-lit-swap
    dest!: multi-member-split)
done
have ⟨update-conflicting (Some (negate-ann-lits (drop (Suc n) (trail S))))
  (reduce-trail-to (drop (Suc n) (trail S)) S) ~
  update-conflicting
  (Some
    (remove1-mset (− L) (negate-ann-lits (drop n (trail S))) ∪#
      remove1-mset L E))
  (tl-trail
    (update-conflicting (Some (negate-ann-lits (drop n (trail S))))
      (reduce-trail-to (drop n (trail S)) S)))⟩
unfolding 1[symmetric]
apply (rule state-eq-trans)
prefer 2
apply (rule state-eq-sym[THEN iffD1])
apply (rule update-conflicting-state-eq)
apply (rule tl-trail-update-conflicting)
apply (rule state-eq-trans)
prefer 2
apply (rule state-eq-sym[THEN iffD1])
apply (rule update-conflicting-update-conflicting)
apply (rule state-eq-ref)
apply (rule update-conflicting-state-eq)
using that
by (auto simp: reduce-trail-to-compow-tl-trail funpow-swap1)
moreover have ⟨L ∈# E⟩
  using proped[of ⟨take n (trail S)⟩ L E ⟨drop (Suc n) (trail S)⟩]
  that unfolding tr-Sn[symmetric]
  by (auto simp: Cons-nth-drop-Suc)
moreover have ⟨− L ∈# negate-ann-lits (drop n (trail S))⟩
  by (auto simp: negate-ann-lits-def L-def
    in-set-dropI that)
term ⟨get-maximum-level (drop n (trail S))⟩
ultimately show ?thesis apply −
  by (rule resolve.intros[of − L E])
  (use that in ⟨auto simp: trail-reduce-trail-to-drop
    ⟨hd (drop n (trail S)) = Propagated L E⟩⟩)
qed
have ⟨resolve** (?T 0) (?T n)⟩ if ⟨n ≤ length (trail S)⟩ for n
  using that
  apply (induction n)
  subgoal by auto
  subgoal for n
    using res[of n] by auto
  done
from this[of ⟨length (trail S)⟩] have ⟨resolve** (?T 0) (?T (length (trail S)))⟩
  by auto
moreover have ⟨?T (length (trail S)) ~ U⟩
  apply (rule state-eq-trans)
  prefer 2 apply (rule state-eq-sym[THEN iffD1], rule U)
  by auto
moreover have False if ⟨(?T 0) = (?T (length (trail S)))⟩ and ⟨length (trail S) > 0⟩
  using arg-cong[OF that(1), of conflicting] that(2)
  by (auto simp: negate-ann-lits-def)

```

**ultimately have**  $\langle \text{length } (\text{trail } S) > 0 \longrightarrow \text{resolve}^{**} (?T \ 0) \ U \rangle$   
**using**  $\text{trancpl-resolve-state-eq-compatible}[of \ \langle ?T \ 0 \rangle$   
 $\langle ?T \ (\text{length } (\text{trail } S)) \rangle \ U]$  **by**  $(\text{subst } (\text{asm}) \ \text{rtrancpl-unfold}) \ \text{auto}$   
**then have**  $?thesis$  **if**  $\langle \text{length } (\text{trail } S) > 0 \rangle$   
**using**  $\text{confl}$  **that** **by**  $\text{auto}$   
**moreover have**  $?thesis$  **if**  $\langle \text{length } (\text{trail } S) = 0 \rangle$   
**using**  $\text{that } \text{confl } U$   
 $\text{enc-weight-opt.conflict-opt-state-eq-compatible}[of \ S \ \langle (\text{update-conflicting } (\text{Some } \{\#\}) \ S) \rangle \ S \ U]$   
**by**  $(\text{auto } \text{simp: state-eq-sym})$   
**ultimately show**  $?thesis$   
**by**  $\text{blast}$   
**qed**

**lemma**  $\text{backtrack-split-some-is-decided-then-snd-has-hd2}$ :  
 $\langle \exists l \in \text{set } M. \text{is-decided } l \implies \exists M' \ L' \ M''. \text{backtrack-split } M = (M'', \text{Decided } L' \ \# \ M') \rangle$   
**by**  $(\text{metis } \text{backtrack-split-snd-hd-decided } \text{backtrack-split-some-is-decided-then-snd-has-hd}$   
 $\text{is-decided-def } \text{list.distinct}(1) \ \text{list.sel}(1) \ \text{snd-conv})$

**lemma**  $\text{no-step-conflict-opt0-simple-backtrack-conflict-opt}$ :  
 $\langle \text{no-step conflict-opt0 } S \implies \text{no-step simple-backtrack-conflict-opt } S \implies$   
 $\text{no-step enc-weight-opt.conflict-opt } S \rangle$   
**using**  $\text{backtrack-split-some-is-decided-then-snd-has-hd2}[of \ \langle \text{trail } S \rangle]$   
 $\text{count-decided-0-iff}[of \ \langle \text{trail } S \rangle]$   
**by**  $(\text{fastforce } \text{simp: conflict-opt0.simps simple-backtrack-conflict-opt.simps}$   
 $\text{enc-weight-opt.conflict-opt.simps}$   
 $\text{annotated-lit.is-decided-def})$

**lemma**  $\text{no-step-cdcl-dpll-bnb-r-cdcl-bnb-r}$ :  
**assumes**  $\langle \text{cdcl}_W\text{-restart-mset.cdcl}_W\text{-all-struct-inv } (\text{enc-weight-opt.abs-state } S) \rangle$   
**shows**  
 $\langle \text{no-step cdcl-dpll-bnb-r } S \longleftrightarrow \text{no-step cdcl-bnb-r } S \rangle \ (\text{is } \langle ?A \longleftrightarrow ?B \rangle)$

**proof**

**assume**  $?A$   
**show**  $?B$   
**using**  $\langle ?A \rangle \text{no-step-conflict-opt0-simple-backtrack-conflict-opt}[of \ S]$   
**by**  $(\text{auto } \text{simp: cdcl-bnb-r.simps}$   
 $\text{cdcl-dpll-bnb-r.simps all-conj-distrib})$

**next**

**assume**  $?B$   
**show**  $?A$   
**using**  $\langle ?B \rangle \text{simple-backtrack-conflict-opt-conflict-analysis}[OF \ \text{assms}]$   
**by**  $(\text{auto } \text{simp: cdcl-bnb-r.simps cdcl-dpll-bnb-r.simps all-conj-distrib assms}$   
 $\text{dest!:. conflict-opt0-conflict-opt})$

**qed**

**lemma**  $\text{cdcl-dpll-bnb-r-cdcl-bnb-r}$ :  
**assumes**  $\langle \text{cdcl-dpll-bnb-r } S \ T \rangle$  **and**  
 $\langle \text{cdcl}_W\text{-restart-mset.cdcl}_W\text{-all-struct-inv } (\text{enc-weight-opt.abs-state } S) \rangle$   
**shows**  $\langle \text{cdcl-bnb-r}^{**} \ S \ T \rangle$   
**using**  $\text{assms}$   
**proof**  $(\text{cases rule: cdcl-dpll-bnb-r.cases})$   
**case**  $\text{cdcl-simple-backtrack-conflict-opt}$   
**then obtain**  $S1 \ S2$  **where**  
 $\langle \text{enc-weight-opt.conflict-opt } S \ S1 \rangle$   
 $\langle \text{resolve}^{**} \ S1 \ S2 \rangle$  **and**

```

  ⟨enc-weight-opt.obacktrack S2 T⟩
  using simple-backtrack-conflict-opt-conflict-analysis[OF - assms(2), of T]
  by auto
then have ⟨cdcl-bnb-r S S1⟩
  ⟨cdcl-bnb-r** S1 S2⟩
  ⟨cdcl-bnb-r S2 T⟩
  using mono-rtrancpl[of resolve enc-weight-opt.cdcl-bnb-bj]
    mono-rtrancpl[of enc-weight-opt.cdcl-bnb-bj ocdclW-o-r]
    mono-rtrancpl[of ocdclW-o-r cdcl-bnb-r]
    ocdclW-o-r.intros enc-weight-opt.cdcl-bnb-bj.resolve
    cdcl-bnb-r.intros
    enc-weight-opt.cdcl-bnb-bj.intros
  by (auto 5 4 dest: cdcl-bnb-r.intros conflict-opt0-conflict-opt)
then show ?thesis
  by auto
next
case cdcl-conflict-opt0
then obtain S1 where
  ⟨enc-weight-opt.conflict-opt S S1⟩
  ⟨resolve** S1 T⟩
  using conflict-opt0-conflict-opt[OF - assms(2), of T]
  by auto
then have ⟨cdcl-bnb-r S S1⟩
  ⟨cdcl-bnb-r** S1 T⟩
  using mono-rtrancpl[of resolve enc-weight-opt.cdcl-bnb-bj]
    mono-rtrancpl[of enc-weight-opt.cdcl-bnb-bj ocdclW-o-r]
    mono-rtrancpl[of ocdclW-o-r cdcl-bnb-r]
    ocdclW-o-r.intros enc-weight-opt.cdcl-bnb-bj.resolve
    cdcl-bnb-r.intros
    enc-weight-opt.cdcl-bnb-bj.intros
  by (auto 5 4 dest: cdcl-bnb-r.intros conflict-opt0-conflict-opt)
then show ?thesis
  by auto
qed (auto 5 4 dest: cdcl-bnb-r.intros conflict-opt0-conflict-opt simp: assms)

lemma resolve-no-prop-conf: ⟨resolve S T ⟹ no-step propagate S ∧ no-step conflict S⟩
  by (auto elim!: rulesE)

lemma cdcl-bnb-r-stgy-res:
  ⟨resolve S T ⟹ cdcl-bnb-r-stgy S T⟩
  using enc-weight-opt.cdcl-bnb-bj.resolve[of S T]
    ocdclW-o-r.intros[of S T]
    cdcl-bnb-r-stgy.intros[of S T]
    resolve-no-prop-conf[of S T]
  by (auto 5 4 dest: cdcl-bnb-r-stgy.intros conflict-opt0-conflict-opt)

lemma rtrancpl-cdcl-bnb-r-stgy-res:
  ⟨resolve** S T ⟹ cdcl-bnb-r-stgy** S T⟩
  using mono-rtrancpl[of resolve cdcl-bnb-r-stgy]
    cdcl-bnb-r-stgy-res
  by (auto)

lemma obacktrack-no-prop-conf: ⟨enc-weight-opt.obacktrack S T ⟹ no-step propagate S ∧ no-step conflict S⟩
  by (auto elim!: rulesE enc-weight-opt.obacktrackE)

```

**lemma** *cdcl-bnb-r-stgy-bt*:  
 $\langle \text{enc-weight-opt.obacktrack } S \ T \implies \text{cdcl-bnb-r-stgy } S \ T \rangle$   
**using** *enc-weight-opt.cdcl-bnb-bj.backtrack*[of *S T*]  
*ocdcl<sub>W</sub>-o-r.intros*[of *S T*]  
*cdcl-bnb-r-stgy.intros*[of *S T*]  
*obacktrack-no-prop-confli*[of *S T*]  
**by** (*auto* 5 4 *dest*: *cdcl-bnb-r-stgy.intros conflict-opt0-conflict-opt*)

**lemma** *cdcl-dpll-bnb-r-stgy-cdcl-bnb-r-stgy*:  
**assumes**  $\langle \text{cdcl-dpll-bnb-r-stgy } S \ T \rangle$  **and**  
 $\langle \text{cdcl}_W\text{-restart-mset.cdcl}_W\text{-all-struct-inv } (\text{enc-weight-opt.abs-state } S) \rangle$   
**shows**  $\langle \text{cdcl-bnb-r-stgy}^{**} S \ T \rangle$   
**using** *assms*

**proof** (*cases rule*: *cdcl-dpll-bnb-r-stgy.cases*)  
**case** *cdcl-dpll-bnb-r-simple-backtrack-conflict-opt*  
**then obtain** *S1 S2* **where**  
 $\langle \text{enc-weight-opt.conflict-opt } S \ S1 \rangle$   
 $\langle \text{resolve}^{**} S1 \ S2 \rangle$  **and**  
 $\langle \text{enc-weight-opt.obacktrack } S2 \ T \rangle$   
**using** *simple-backtrack-conflict-opt-conflict-analysis*[*OF* - *assms*(2), of *T*]  
**by** *auto*  
**then have**  $\langle \text{cdcl-bnb-r-stgy } S \ S1 \rangle$   
 $\langle \text{cdcl-bnb-r-stgy}^{**} S1 \ S2 \rangle$   
 $\langle \text{cdcl-bnb-r-stgy } S2 \ T \rangle$   
**using** *enc-weight-opt.cdcl-bnb-bj.resolve*  
**by** (*auto* *dest*: *cdcl-bnb-r-stgy.intros conflict-opt0-conflict-opt*  
*rtrancpl-cdcl-bnb-r-stgy-res cdcl-bnb-r-stgy-bt*)  
**then show** *?thesis*  
**by** *auto*

**next**  
**case** *cdcl-dpll-bnb-r-conflict-opt0*  
**then obtain** *S1* **where**  
 $\langle \text{enc-weight-opt.conflict-opt } S \ S1 \rangle$   
 $\langle \text{resolve}^{**} S1 \ T \rangle$   
**using** *conflict-opt0-conflict-opt*[*OF* - *assms*(2), of *T*]  
**by** *auto*  
**then have**  $\langle \text{cdcl-bnb-r-stgy } S \ S1 \rangle$   
 $\langle \text{cdcl-bnb-r-stgy}^{**} S1 \ T \rangle$   
**using** *enc-weight-opt.cdcl-bnb-bj.resolve*  
**by** (*auto* *dest*: *cdcl-bnb-r-stgy.intros conflict-opt0-conflict-opt*  
*rtrancpl-cdcl-bnb-r-stgy-res cdcl-bnb-r-stgy-bt*)  
**then show** *?thesis*  
**by** *auto*

**qed** (*auto* 5 4 *dest*: *cdcl-bnb-r-stgy.intros conflict-opt0-conflict-opt*)

**lemma** *cdcl-bnb-r-stgy-cdcl-bnb-r*:  
 $\langle \text{cdcl-bnb-r-stgy } S \ T \implies \text{cdcl-bnb-r } S \ T \rangle$   
**by** (*auto simp*: *cdcl-bnb-r-stgy.simps cdcl-bnb-r.simps*)

**lemma** *rtrancpl-cdcl-bnb-r-stgy-cdcl-bnb-r*:  
 $\langle \text{cdcl-bnb-r-stgy}^{**} S \ T \implies \text{cdcl-bnb-r}^{**} S \ T \rangle$   
**by** (*induction rule*: *rtrancpl-induct*)  
(*auto* *dest*: *cdcl-bnb-r-stgy-cdcl-bnb-r*)

**context**  
**fixes** *S* :: '*st*

```

assumes  $S\text{-}\Sigma$ :  $\langle \text{atms-of-mm } (\text{init-clss } S) = \Sigma - \Delta\Sigma \cup \text{replacement-pos } \Delta\Sigma \cup \text{replacement-neg } \Delta\Sigma \rangle$ 
begin
lemma cdcl-dpll-bnb-r-stgy-all-struct-inv:
   $\langle \text{cdcl-dpll-bnb-r-stgy } S \ T \implies$ 
     $\text{cdcl}_W\text{-restart-mset.cdcl}_W\text{-all-struct-inv } (\text{enc-weight-opt.abs-state } S) \implies$ 
     $\text{cdcl}_W\text{-restart-mset.cdcl}_W\text{-all-struct-inv } (\text{enc-weight-opt.abs-state } T) \rangle$ 
using cdcl-dpll-bnb-r-stgy-cdcl-bnb-r-stgy[of  $S \ T$ ]
  rtranclp-cdcl-bnb-r-all-struct-inv[OF  $S\text{-}\Sigma$ ]
  rtranclp-cdcl-bnb-r-stgy-cdcl-bnb-r[of  $S \ T$ ]
by auto

end

lemma cdcl-bnb-r-stgy-cdcl-dpll-bnb-r-stgy:
   $\langle \text{cdcl-bnb-r-stgy } S \ T \implies \exists T. \text{cdcl-dpll-bnb-r-stgy } S \ T \rangle$ 
by (meson cdcl-bnb-r-stgy.simps cdcl-dpll-bnb-r-conflict cdcl-dpll-bnb-r-conflict-opt0
  cdcl-dpll-bnb-r-other' cdcl-dpll-bnb-r-propagate cdcl-dpll-bnb-r-simple-backtrack-conflict-opt
  cdcl-dpll-bnb-r-stgy.intros(3) no-step-conflict-opt0-simple-backtrack-conflict-opt)

context
  fixes  $S :: 'st$ 
  assumes  $S\text{-}\Sigma$ :  $\langle \text{atms-of-mm } (\text{init-clss } S) = \Sigma - \Delta\Sigma \cup \text{replacement-pos } \Delta\Sigma \cup \text{replacement-neg } \Delta\Sigma \rangle$ 
begin

lemma rtranclp-cdcl-dpll-bnb-r-stgy-cdcl-bnb-r:
  assumes  $\langle \text{cdcl-dpll-bnb-r-stgy}^{**} S \ T \rangle$  and
     $\langle \text{cdcl}_W\text{-restart-mset.cdcl}_W\text{-all-struct-inv } (\text{enc-weight-opt.abs-state } S) \rangle$ 
shows  $\langle \text{cdcl-bnb-r-stgy}^{**} S \ T \rangle$ 
using assms
apply (induction rule: rtranclp-induct)
subgoal by auto
subgoal for  $T \ U$ 
  using cdcl-dpll-bnb-r-stgy-cdcl-bnb-r-stgy[of  $T \ U$ ]
  rtranclp-cdcl-bnb-r-all-struct-inv[OF  $S\text{-}\Sigma$ , of  $T$ ]
  rtranclp-cdcl-bnb-r-stgy-cdcl-bnb-r[of  $S \ T$ ]
  by auto
done

lemma rtranclp-cdcl-dpll-bnb-r-stgy-all-struct-inv:
   $\langle \text{cdcl-dpll-bnb-r-stgy}^{**} S \ T \implies$ 
     $\text{cdcl}_W\text{-restart-mset.cdcl}_W\text{-all-struct-inv } (\text{enc-weight-opt.abs-state } S) \implies$ 
     $\text{cdcl}_W\text{-restart-mset.cdcl}_W\text{-all-struct-inv } (\text{enc-weight-opt.abs-state } T) \rangle$ 
using rtranclp-cdcl-dpll-bnb-r-stgy-cdcl-bnb-r[of  $T$ ]
  rtranclp-cdcl-bnb-r-all-struct-inv[OF  $S\text{-}\Sigma$ , of  $T$ ]
  rtranclp-cdcl-bnb-r-stgy-cdcl-bnb-r[of  $S \ T$ ]
by auto

lemma full-cdcl-dpll-bnb-r-stgy-full-cdcl-bnb-r-stgy:
  assumes  $\langle \text{full cdcl-dpll-bnb-r-stgy } S \ T \rangle$  and
     $\langle \text{cdcl}_W\text{-restart-mset.cdcl}_W\text{-all-struct-inv } (\text{enc-weight-opt.abs-state } S) \rangle$ 
shows  $\langle \text{full cdcl-bnb-r-stgy } S \ T \rangle$ 
using no-step-cdcl-dpll-bnb-r-cdcl-bnb-r[of  $T$ ]
  rtranclp-cdcl-dpll-bnb-r-stgy-cdcl-bnb-r[of  $T$ ]
  rtranclp-cdcl-dpll-bnb-r-stgy-all-struct-inv[of  $T$ ] assms
  rtranclp-cdcl-bnb-r-stgy-cdcl-bnb-r[of  $S \ T$ ]
by (auto simp: full-def)

```



*dest: cdcl-bnb-r-stgy-cdcl-bnb-r cdcl-bnb-r-stgy-cdcl-dpll-bnb-r-stgy)*

**end**

**lemma** *replace-pos-neg-not-both-decided-highest-lvl:*

**assumes**

*struct:  $\langle \text{cdcl}_W\text{-restart-mset.cdcl}_W\text{-all-struct-inv } (\text{enc-weight-opt.abs-state } S) \rangle$  and*

*smaller-propa:  $\langle \text{no-smaller-propa } S \rangle$  and*

*smaller-confl:  $\langle \text{no-smaller-confl } S \rangle$  and*

*dec0:  $\langle \text{Pos } (A^{\mapsto 0}) \in \text{lits-of-l } (\text{trail } S) \rangle$  and*

*dec1:  $\langle \text{Pos } (A^{\mapsto 1}) \in \text{lits-of-l } (\text{trail } S) \rangle$  and*

*add:  $\langle \text{additional-constraints } \subseteq \# \text{ init-clss } S \rangle$  and*

*[simp]:  $\langle A \in \Delta\Sigma \rangle$*

**shows**  $\langle \text{get-level } (\text{trail } S) (\text{Pos } (A^{\mapsto 0})) = \text{backtrack-lvl } S \wedge$

$\text{get-level } (\text{trail } S) (\text{Pos } (A^{\mapsto 1})) = \text{backtrack-lvl } S \rangle$

**proof** (rule ccontr)

**assume** *neg:  $\langle \neg ?thesis \rangle$*

**let**  $?L0 = \langle \text{get-level } (\text{trail } S) (\text{Pos } (A^{\mapsto 0})) \rangle$

**let**  $?L1 = \langle \text{get-level } (\text{trail } S) (\text{Pos } (A^{\mapsto 1})) \rangle$

**define** *KL where*  $\langle KL = (\text{if } ?L0 > ?L1 \text{ then } (\text{Pos } (A^{\mapsto 1})) \text{ else } (\text{Pos } (A^{\mapsto 0}))) \rangle$

**define** *KL' where*  $\langle KL' = (\text{if } ?L0 > ?L1 \text{ then } (\text{Pos } (A^{\mapsto 0})) \text{ else } (\text{Pos } (A^{\mapsto 1}))) \rangle$

**then have**  $\langle \text{get-level } (\text{trail } S) KL < \text{backtrack-lvl } S \rangle$  **and**

*le:  $\langle ?L0 < \text{backtrack-lvl } S \vee ?L1 < \text{backtrack-lvl } S \rangle$*

*$\langle ?L0 \leq \text{backtrack-lvl } S \wedge ?L1 \leq \text{backtrack-lvl } S \rangle$*

**using** *neg count-decided-ge-get-level[of  $\langle \text{trail } S \rangle \langle \text{Pos } (A^{\mapsto 0}) \rangle$ ]*

*count-decided-ge-get-level[of  $\langle \text{trail } S \rangle \langle \text{Pos } (A^{\mapsto 1}) \rangle$ ]*

**unfolding** *KL-def*

**by** *force+*

**have**  $\langle KL \in \text{lits-of-l } (\text{trail } S) \rangle$

**using** *dec1 dec0 by (auto simp: KL-def)*

**have** *add:  $\langle \text{additional-constraint } A \subseteq \# \text{ init-clss } S \rangle$*

**using** *add multi-member-split[of  $A \langle \text{mset-set } \Delta\Sigma \rangle$  by (auto simp: additional-constraints-def subset-mset.dual-order.trans)*

**have** *n-d:  $\langle \text{no-dup } (\text{trail } S) \rangle$*

**using** *struct unfolding cdcl<sub>W</sub>-restart-mset.cdcl<sub>W</sub>-all-struct-inv-def*

*cdcl<sub>W</sub>-restart-mset.cdcl<sub>W</sub>-M-level-inv-def*

**by** *auto*

**have** *H:  $\langle \bigwedge M K M' D L. \text{trail } S = M' @ \text{Decided } K \# M \implies$*

*$D + \{\#L\# \} \in \# \text{ additional-constraint } A \implies \text{undefined-lit } M L \implies \neg M \models_{\text{as}} C\text{Not } D \rangle$  and*

*H':  $\langle \bigwedge M K M' D L. \text{trail } S = M' @ \text{Decided } K \# M \implies$*

*$D \in \# \text{ additional-constraint } A \implies \neg M \models_{\text{as}} C\text{Not } D \rangle$*

**using** *smaller-propa add smaller-confl unfolding no-smaller-propa-def no-smaller-confl-def clauses-def*

**by** *auto*

**have** *L1-L0:  $\langle ?L1 = ?L0 \rangle$*

**proof** (rule ccontr)

**assume** *neg:  $\langle ?L1 \neq ?L0 \rangle$*

**define** *i where*  $\langle i \equiv \min ?L1 ?L0 \rangle$

**obtain** *K M1 M2 where*

*decomp:  $\langle (\text{Decided } K \# M1, M2) \in \text{set } (\text{get-all-ann-decomposition } (\text{trail } S)) \rangle$  and*

*$\langle \text{get-level } (\text{trail } S) K = \text{Suc } i \rangle$*

**using** *backtrack-ex-decomp[OF n-d, of i] neg le*

```

    by (cases ⟨?L1 < ?L0⟩) (auto simp: min-def i-def)
  have ⟨get-level (trail S) KL ≤ i⟩ and ⟨get-level (trail S) KL' > i⟩
    using neg neq le by (auto simp: KL-def KL'-def i-def)
  then have ⟨undefined-lit M1 KL'⟩
    using n-d decomp ⟨get-level (trail S) K = Suc i⟩
      count-decided-ge-get-level[of ⟨M1⟩ KL']
    by (force dest!: get-all-ann-decomposition-exists-prepend
      simp: get-level-append-if get-level-cons-if atm-of-eq-atm-of
dest: defined-lit-no-dupD
split: if-splits)
    moreover have ⟨{#-KL', -KL#} ∈# additional-constraint A⟩
      using neg by (auto simp: additional-constraint-def KL-def KL'-def)
    moreover have ⟨KL ∈ lits-of-l M1⟩
      using ⟨get-level (trail S) KL ≤ i⟩ ⟨get-level (trail S) K = Suc i⟩
        n-d decomp ⟨KL ∈ lits-of-l (trail S)⟩
          count-decided-ge-get-level[of ⟨M1⟩ KL]
      by (auto dest!: get-all-ann-decomposition-exists-prepend
        simp: get-level-append-if get-level-cons-if atm-of-eq-atm-of
dest: defined-lit-no-dupD in-lits-of-l-defined-litD
split: if-splits)
    ultimately show False
      using H[of - K M1 ⟨{#-KL#}⟩ ⟨-KL'⟩] decomp
      by force
qed

obtain K M1 M2 where
  decomp: ⟨(Decided K # M1, M2) ∈ set (get-all-ann-decomposition (trail S))⟩ and
  lev-K: ⟨get-level (trail S) K = Suc ?L1⟩
  using backtrack-ex-decomp[OF n-d, of ?L1] le
  by (cases ⟨?L1 < ?L0⟩) (auto simp: min-def L1-L0)
then obtain M3 where
  M3: ⟨trail S = M3 @ Decided K # M1⟩
  by auto
then have [simp]: ⟨undefined-lit M3 (Pos (A↦1))⟩ ⟨undefined-lit M3 (Pos (A↦0))⟩
  by (solves ⟨use n-d L1-L0 lev-K M3 in auto⟩)
    (solves ⟨use n-d L1-L0[symmetric] lev-K M3 in auto⟩)
then have [simp]: ⟨Pos (A↦0) ∉ lits-of-l M3⟩ ⟨Pos (A↦1) ∉ lits-of-l M3⟩
  using Decided-Propagated-in-iff-in-lits-of-l by blast+
have ⟨Pos (A↦1) ∈ lits-of-l M1⟩ ⟨Pos (A↦0) ∈ lits-of-l M1⟩
  using n-d L1-L0 lev-K dec0 dec1 Decided-Propagated-in-iff-in-lits-of-l
  by (auto dest!: get-all-ann-decomposition-exists-prepend
    simp: M3 get-level-cons-if
split: if-splits)
then show False
  using H'[of M3 K M1 ⟨{#Neg (A↦0), Neg (A↦1)#}⟩]
  by (auto simp: additional-constraint-def M3)
qed

lemma cdcl-dpll-bnb-r-stgy-clauses-mono:
  ⟨cdcl-dpll-bnb-r-stgy S T ⟹ clauses S ⊆# clauses T⟩
  by (cases rule: cdcl-dpll-bnb-r-stgy.cases, assumption)
    (auto elim!: rulesE obacktrackE enc-weight-opt.improveE
      conflict-opt0E simple-backtrack-conflict-optE odecideE
enc-weight-opt.obacktrackE
      simp: ocdclw-o-r.simps enc-weight-opt.cdcl-bnb-bj.simps)

```

**lemma** *rtranclp-cdcl-dpll-bnb-r-stgy-clauses-mono*:  
 $\langle \text{cdcl-dpll-bnb-r-stgy}^{**} S T \implies \text{clauses } S \subseteq \# \text{ clauses } T \rangle$   
**by** (induction rule: *rtranclp-induct*) (auto dest!: *cdcl-dpll-bnb-r-stgy-clauses-mono*)

**lemma** *cdcl-dpll-bnb-r-stgy-init-clss-eq*:  
 $\langle \text{cdcl-dpll-bnb-r-stgy } S T \implies \text{init-clss } S = \text{init-clss } T \rangle$   
**by** (cases rule: *cdcl-dpll-bnb-r-stgy.cases*, assumption)  
(auto elim!: *rulesE obacktrackE enc-weight-opt.improveE*  
*conflict-opt0E simple-backtrack-conflict-optE odecideE*  
*enc-weight-opt.obacktrackE*  
simp: *ocdcl<sub>W</sub>-o-r.simps enc-weight-opt.cdcl-bnb-bj.simps*)

**lemma** *rtranclp-cdcl-dpll-bnb-r-stgy-init-clss-eq*:  
 $\langle \text{cdcl-dpll-bnb-r-stgy}^{**} S T \implies \text{init-clss } S = \text{init-clss } T \rangle$   
**by** (induction rule: *rtranclp-induct*) (auto dest!: *cdcl-dpll-bnb-r-stgy-init-clss-eq*)

**context**  
**fixes**  $S :: 'st$  **and**  $N :: \langle 'v \text{ clauses} \rangle$   
**assumes**  $S \cdot \Sigma$ :  $\langle \text{init-clss } S = \text{penc } N \rangle$   
**begin**

**lemma** *replacement-pos-neg-defined-same-lvl*:  
**assumes**  
*struct*:  $\langle \text{cdcl}_W\text{-restart-mset.cdcl}_W\text{-all-struct-inv } (\text{enc-weight-opt.abs-state } S) \rangle$  **and**  
 $A$ :  $\langle A \in \Delta \Sigma \rangle$  **and**  
*lev*:  $\langle \text{get-level } (\text{trail } S) (\text{Pos } (\text{replacement-pos } A)) < \text{backtrack-lvl } S \rangle$  **and**  
*smaller-propa*:  $\langle \text{no-smaller-propa } S \rangle$  **and**  
*smaller-confl*:  $\langle \text{cdcl-bnb-stgy-inv } S \rangle$   
**shows**  
 $\langle \text{Pos } (\text{replacement-pos } A) \in \text{lits-of-l } (\text{trail } S) \implies$   
 $\text{Neg } (\text{replacement-neg } A) \in \text{lits-of-l } (\text{trail } S) \rangle$   
**proof** –  
**have**  $n\text{-d}$ :  $\langle \text{no-dup } (\text{trail } S) \rangle$   
**using** *struct*  
**unfolding** *cdcl<sub>W</sub>-restart-mset.cdcl<sub>W</sub>-all-struct-inv-def*  
*cdcl<sub>W</sub>-restart-mset.cdcl<sub>W</sub>-M-level-inv-def*  
**by** *auto*  
**have**  $H$ :  $\langle \bigwedge M K M' D L.$   
 $\text{trail } S = M' @ \text{Decided } K \# M \implies$   
 $D + \{\#L\} \in \# \text{ additional-constraint } A \implies \text{undefined-lit } M L \implies \neg M \models_{\text{as}} C\text{Not } D \rangle$  **and**  
 $H'$ :  $\langle \bigwedge M K M' D L.$   
 $\text{trail } S = M' @ \text{Decided } K \# M \implies$   
 $D \in \# \text{ additional-constraint } A \implies \neg M \models_{\text{as}} C\text{Not } D \rangle$   
**using** *smaller-propa S · Σ A smaller-confl* **unfolding** *no-smaller-propa-def clauses-def penc-def*  
*additional-constraints-def cdcl-bnb-stgy-inv-def no-smaller-confl-def* **by** *fastforce+*

**show**  $\langle \text{Neg } (\text{replacement-neg } A) \in \text{lits-of-l } (\text{trail } S) \rangle$   
**if**  $\text{Pos}$ :  $\langle \text{Pos } (\text{replacement-pos } A) \in \text{lits-of-l } (\text{trail } S) \rangle$   
**proof** –

**obtain**  $M1 M2 K$  **where**  
 $\langle \text{trail } S = M2 @ \text{Decided } K \# M1 \rangle$  **and**  
 $\langle \text{Pos } (\text{replacement-pos } A) \in \text{lits-of-l } M1 \rangle$   
**using** *lev n-d Pos* **by** (force dest!: *split-list elim!: is-decided-ex-Decided*  
simp: *lits-of-def count-decided-def filter-empty-conv*)

```

then show  $\langle \text{Neg } (\text{replacement-neg } A) \in \text{lits-of-l } (\text{trail } S) \rangle$ 
using  $H[\text{of } M2 \ K \ M1 \ \langle \{\# \text{Neg } (\text{replacement-pos } A)\# \} \rangle \langle \text{Neg } (\text{replacement-neg } A) \rangle]$ 
 $H'[\text{of } M2 \ K \ M1 \ \langle \{\# \text{Neg } (\text{replacement-pos } A), \text{Neg } (\text{replacement-neg } A)\# \} \rangle]$ 
by (auto simp: additional-constraint-def Decided-Propagated-in-iff-in-lits-of-l)
qed
qed

```

**lemma** *replacement-pos-neg-defined-same-lvl*:

```

assumes
  struct:  $\langle \text{cdcl}_W\text{-restart-mset.cdcl}_W\text{-all-struct-inv } (\text{enc-weight-opt.abs-state } S) \rangle$  and
  A:  $\langle A \in \Delta\Sigma \rangle$  and
  lev:  $\langle \text{get-level } (\text{trail } S) \ (\text{Pos } (\text{replacement-neg } A)) < \text{backtrack-lvl } S \rangle$  and
  smaller-propa:  $\langle \text{no-smaller-propa } S \rangle$  and
  smaller-confl:  $\langle \text{cdcl-bnb-stgy-inv } S \rangle$ 
shows
   $\langle \text{Pos } (\text{replacement-neg } A) \in \text{lits-of-l } (\text{trail } S) \implies$ 
     $\text{Neg } (\text{replacement-pos } A) \in \text{lits-of-l } (\text{trail } S) \rangle$ 
proof –
  have n-d:  $\langle \text{no-dup } (\text{trail } S) \rangle$ 
  using struct
  unfolding cdclW-restart-mset.cdclW-all-struct-inv-def
    cdclW-restart-mset.cdclW-M-level-inv-def
  by auto
  have H:  $\langle \bigwedge M \ K \ M' \ D \ L.$ 
     $\text{trail } S = M' @ \text{Decided } K \# M \implies$ 
     $D + \{\#L\# \} \in \# \text{additional-constraint } A \implies \text{undefined-lit } M \ L \implies \neg M \models_{\text{as}} \text{CNot } D \rangle$  and
    H':  $\langle \bigwedge M \ K \ M' \ D \ L.$ 
     $\text{trail } S = M' @ \text{Decided } K \# M \implies$ 
     $D \in \# \text{additional-constraint } A \implies \neg M \models_{\text{as}} \text{CNot } D \rangle$ 
  using smaller-propa S-Σ A smaller-confl unfolding no-smaller-propa-def clauses-def penc-def
    additional-constraints-def cdcl-bnb-stgy-inv-def no-smaller-confl-def by fastforce+

```

```

show  $\langle \text{Neg } (\text{replacement-pos } A) \in \text{lits-of-l } (\text{trail } S) \rangle$ 
if Pos:  $\langle \text{Pos } (\text{replacement-neg } A) \in \text{lits-of-l } (\text{trail } S) \rangle$ 
proof –
  obtain M1 M2 K where
     $\langle \text{trail } S = M2 @ \text{Decided } K \# M1 \rangle$  and
     $\langle \text{Pos } (\text{replacement-neg } A) \in \text{lits-of-l } M1 \rangle$ 
  using lev n-d Pos by (force dest!: split-list elim!: is-decided-ex-Decided
    simp: lits-of-def count-decided-def filter-empty-conv)
  then show  $\langle \text{Neg } (\text{replacement-pos } A) \in \text{lits-of-l } (\text{trail } S) \rangle$ 
  using  $H[\text{of } M2 \ K \ M1 \ \langle \{\# \text{Neg } (\text{replacement-neg } A)\# \} \rangle \langle \text{Neg } (\text{replacement-pos } A) \rangle]$ 
     $H'[\text{of } M2 \ K \ M1 \ \langle \{\# \text{Neg } (\text{replacement-neg } A), \text{Neg } (\text{replacement-pos } A)\# \} \rangle]$ 
by (auto simp: additional-constraint-def Decided-Propagated-in-iff-in-lits-of-l)
qed
qed
end

```

**definition** *all-new-literals* ::  $\langle 'v \text{ list} \rangle$  **where**

$\langle \text{all-new-literals} = (\text{SOME } xs. \text{mset } xs = \text{mset-set } (\text{replacement-neg } ' \Delta\Sigma \cup \text{replacement-pos } ' \Delta\Sigma)) \rangle$

**lemma** *set-all-new-literals[simp]*:

```

  ⟨set all-new-literals = (replacement-neg ‘  $\Delta\Sigma \cup$  replacement-pos ‘  $\Delta\Sigma$ )⟩
  using finite- $\Sigma$  apply (simp add: all-new-literals-def)
  apply (metis (mono-tags) ex-mset finite-Un finite- $\Sigma$  finite-imageI finite-set-mset-mset-set set-mset-mset
  someI)
  done

```

This function is basically resolving the clause with all the additional clauses  $\{\#Neg (L^{\mapsto 1}), Neg (L^{\mapsto 0})\#$ .

```

fun resolve-with-all-new-literals :: ⟨'v clause  $\Rightarrow$  'v list  $\Rightarrow$  'v clause⟩ where
  ⟨resolve-with-all-new-literals C [] = C⟩ |
  ⟨resolve-with-all-new-literals C (L # Ls) =
    remdups-mset (resolve-with-all-new-literals (if Pos L  $\in$  # C then add-mset (Neg (opposite-var L))
  (removeAll-mset (Pos L) C) else C) Ls)⟩

```

**abbreviation** normalize2 **where**

```

  ⟨normalize2 C  $\equiv$  resolve-with-all-new-literals C all-new-literals⟩

```

**lemma** Neg-in-normalize2[simp]: ⟨Neg L  $\in$  # C  $\Longrightarrow$  Neg L  $\in$  # resolve-with-all-new-literals C xs⟩  
**by** (induction arbitrary: C rule: resolve-with-all-new-literals.induct) auto

**lemma** Pos-in-normalize2D[dest]: ⟨Pos L  $\in$  # resolve-with-all-new-literals C xs  $\Longrightarrow$  Pos L  $\in$  # C⟩  
**by** (induction arbitrary: C rule: resolve-with-all-new-literals.induct) (force split: if-splits)+

**lemma** opposite-var-involutive[simp]:

```

  ⟨L  $\in$  (replacement-neg ‘  $\Delta\Sigma \cup$  replacement-pos ‘  $\Delta\Sigma$ )  $\Longrightarrow$  opposite-var (opposite-var L) = L⟩
  by (auto simp: opposite-var-def)

```

**lemma** Neg-in-resolve-with-all-new-literals-Pos-notin:

```

  ⟨L  $\in$  (replacement-neg ‘  $\Delta\Sigma \cup$  replacement-pos ‘  $\Delta\Sigma$ )  $\Longrightarrow$  set xs  $\subseteq$  (replacement-neg ‘  $\Delta\Sigma \cup$ 
  replacement-pos ‘  $\Delta\Sigma$ )  $\Longrightarrow$ 
    Pos (opposite-var L)  $\notin$  # C  $\Longrightarrow$  Neg L  $\in$  # resolve-with-all-new-literals C xs  $\longleftrightarrow$  Neg L  $\in$  # C⟩
  apply (induction arbitrary: C rule: resolve-with-all-new-literals.induct)
  apply clarsimp+
  subgoal premises p
    using p(2-)
    by (auto simp del: Neg-in-normalize2 simp: eq-commute[of - ⟨opposite-var -⟩])
  done

```

**lemma** Pos-in-normalize2-Neg-notin[simp]:

```

  ⟨L  $\in$  (replacement-neg ‘  $\Delta\Sigma \cup$  replacement-pos ‘  $\Delta\Sigma$ )  $\Longrightarrow$ 
    Pos (opposite-var L)  $\notin$  # C  $\Longrightarrow$  Neg L  $\in$  # normalize2 C  $\longleftrightarrow$  Neg L  $\in$  # C⟩
  by (rule Neg-in-resolve-with-all-new-literals-Pos-notin) (auto)

```

**lemma** all-negation-deleted:

```

  ⟨L  $\in$  set all-new-literals  $\Longrightarrow$  Pos L  $\notin$  # normalize2 C⟩
  apply (induction arbitrary: C rule: resolve-with-all-new-literals.induct)
  subgoal by auto
  subgoal by (auto split: if-splits)
  done

```

**lemma** Pos-in-resolve-with-all-new-literals-iff-already-in-or-negation-in:

```

  ⟨L  $\in$  set all-new-literals  $\Longrightarrow$  set xs  $\subseteq$  (replacement-neg ‘  $\Delta\Sigma \cup$  replacement-pos ‘  $\Delta\Sigma$ )  $\Longrightarrow$  Neg L  $\in$  #
  resolve-with-all-new-literals C xs  $\Longrightarrow$ 
    Neg L  $\in$  # C  $\vee$  Pos (opposite-var L)  $\in$  # C⟩
  apply (induction arbitrary: C rule: resolve-with-all-new-literals.induct)

```

```

subgoal by auto
subgoal premises  $p$  for  $C \text{ La } Ls \text{ Ca}$ 
  using  $p$ 
  by (auto split: if-splits dest: simp: Neg-in-resolve-with-all-new-literals-Pos-notin)
done

```

```

lemma Pos-in-normalize2-iff-already-in-or-negation-in:
   $\langle L \in \text{set all-new-literals} \implies \text{Neg } L \in \# \text{ normalize2 } C \implies$ 
     $\text{Neg } L \in \# C \vee \text{Pos } (\text{opposite-var } L) \in \# C \rangle$ 
  using Pos-in-resolve-with-all-new-literals-iff-already-in-or-negation-in[ $\text{of } L \text{ } \langle \text{all-new-literals} \rangle C$ ]
  by auto

```

This proof makes it hard to measure progress because I currently do not see a way to distinguish between  $\text{add-mset } (A^{\mapsto 1}) C$  and  $\text{add-mset } (A^{\mapsto 1}) (\text{add-mset } (A^{\mapsto 0}) C)$ .

```

lemma
  assumes
     $\langle \text{enc-weight-opt.cdcl-bnb-stgy } S \text{ } T \rangle$  and
     $\text{struct: } \langle \text{cdcl}_W\text{-restart-mset.cdcl}_W\text{-all-struct-inv } (\text{enc-weight-opt.abs-state } S) \rangle$  and
     $\text{dist: } \langle \text{distinct-mset } (\text{normalize-clause } \text{'\# learned-clss } S) \rangle$  and
     $\text{smaller-propa: } \langle \text{no-smaller-propa } S \rangle$  and
     $\text{smaller-conf: } \langle \text{cdcl-bnb-stgy-inv } S \rangle$ 
  shows  $\langle \text{distinct-mset } (\text{remdups-mset } (\text{normalize2 } \text{'\# learned-clss } T)) \rangle$ 
  using assms(1)
proof (cases)
  case cdcl-bnb-conflict
  then show ?thesis using dist by (auto elim!: rulesE)
next
  case cdcl-bnb-propagate
  then show ?thesis using dist by (auto elim!: rulesE)
next
  case cdcl-bnb-improve
  then show ?thesis using dist by (auto elim!: enc-weight-opt.improveE)
next
  case cdcl-bnb-conflict-opt
  then show ?thesis using dist by (auto elim!: enc-weight-opt.conflict-optE)
next
  case cdcl-bnb-other'
  then show ?thesis
proof cases
  case decide
  then show ?thesis using dist by (auto elim!: rulesE)
next
  case bj
  then show ?thesis
proof cases
  case skip
  then show ?thesis using dist by (auto elim!: rulesE)
next
  case resolve
  then show ?thesis using dist by (auto elim!: rulesE)
next
  case backtrack
  then obtain  $M1 \text{ } M2 :: \langle ('v, 'v \text{ clause}) \text{ ann-lits} \rangle$  and  $K \text{ } L :: \langle 'v \text{ literal} \rangle$  and
     $D \text{ } D' :: \langle 'v \text{ clause} \rangle$  where
  conf:  $\langle \text{conflicting } S = \text{Some } (\text{add-mset } L \text{ } D) \rangle$  and
  decomp:  $\langle (\text{Decided } K \text{ } \# \text{ } M1, M2) \in \text{set } (\text{get-all-ann-decomposition } (\text{trail } S)) \rangle$  and

```

```

  ⟨get-maximum-level (trail S) (add-mset L D') = local.backtrack-lvl S⟩ and
  ⟨get-level (trail S) L = local.backtrack-lvl S⟩ and
  lev-K: ⟨get-level (trail S) K = Suc (get-maximum-level (trail S) D')⟩ and
  D'-D: ⟨D' ⊆# D⟩ and
  ⟨set-mset (clauses S) ∪ set-mset (enc-weight-opt.conflicting-clss S) ⊨p
    add-mset L D'⟩ and
  T: ⟨T ~
    cons-trail (Propagated L (add-mset L D'))
    (reduce-trail-to M1
      (add-learned-cls (add-mset L D') (update-conflicting None S)))⟩
    by (auto simp: enc-weight-opt.obacktrack.simps)
  have
    tr-D: ⟨trail S ⊨as CNot (add-mset L D)⟩ and
    ⟨distinct-mset (add-mset L D)⟩ and
  ⟨cdclW-restart-mset.cdclW-M-level-inv (abs-state S)⟩ and
  n-d: ⟨no-dup (trail S)⟩
    using struct confl
  unfolding cdclW-restart-mset.cdclW-all-struct-inv-def
    cdclW-restart-mset.cdclW-conflicting-def
    cdclW-restart-mset.distinct-cdclW-state-def
    cdclW-restart-mset.cdclW-M-level-inv-def
  by auto
  have tr-D': ⟨trail S ⊨as CNot (add-mset L D')⟩
    using D'-D tr-D
  by (auto simp: true-annots-true-clss-def-iff-negation-in-model)
  have ⟨trail S ⊨as CNot D' ⟹ trail S ⊨as CNot (normalize2 D')⟩
    if ⟨get-maximum-level (trail S) D' < backtrack-lvl S⟩
    for D'
oops
find-theorems get-level Pos Neg

end

end
theory CDCL-W-Covering-Models
  imports CDCL-W-Optimal-Model
begin

```

## 0.2 Covering Models

I am only interested in the extension of CDCL to find covering models, not in the required subsequent extraction of the minimal covering models.

**type-synonym** 'v cov = ⟨'v literal multiset multiset⟩

**lemma** true-clss-clss-in-subssuming:

⟨C' ⊆# C ⟹ C' ∈ N ⟹ N ⊨<sub>p</sub> C⟩

by (metis subset-mset.le-iff-add true-clss-clss-in true-clss-clss-mono-r)

**locale** covering-models =

fixes

q :: ⟨'v ⟹ bool⟩

**begin**

**definition** *model-is-dominated* ::  $\langle 'v \text{ literal multiset} \Rightarrow 'v \text{ literal multiset} \Rightarrow \text{bool} \rangle$  **where**  
 $\langle \text{model-is-dominated } M \ M' \longleftrightarrow$   
 $\text{filter-mset } (\lambda L. \text{is-pos } L \wedge \varrho (\text{atm-of } L)) \ M \subseteq \# \text{filter-mset } (\lambda L. \text{is-pos } L \wedge \varrho (\text{atm-of } L)) \ M' \rangle$

**lemma** *model-is-dominated-reft*:  $\langle \text{model-is-dominated } I \ I \rangle$   
**by** (*auto simp: model-is-dominated-def*)

**lemma** *model-is-dominated-trans*:  
 $\langle \text{model-is-dominated } I \ J \Longrightarrow \text{model-is-dominated } J \ K \Longrightarrow \text{model-is-dominated } I \ K \rangle$   
**by** (*auto simp: model-is-dominated-def*)

**definition** *is-dominating* ::  $\langle 'v \text{ literal multiset multiset} \Rightarrow 'v \text{ literal multiset} \Rightarrow \text{bool} \rangle$  **where**  
 $\langle \text{is-dominating } \mathcal{M} \ I \longleftrightarrow (\exists M \in \# \mathcal{M}. \exists J. I \subseteq \# J \wedge \text{model-is-dominated } J \ M) \rangle$

**lemma**  
*is-dominating-in*:  
 $\langle I \in \# \mathcal{M} \Longrightarrow \text{is-dominating } \mathcal{M} \ I \rangle$  **and**  
*is-dominating-mono*:  
 $\langle \text{is-dominating } \mathcal{M} \ I \Longrightarrow \text{set-mset } \mathcal{M} \subseteq \text{set-mset } \mathcal{M}' \Longrightarrow \text{is-dominating } \mathcal{M}' \ I \rangle$  **and**  
*is-dominating-mono-model*:  
 $\langle \text{is-dominating } \mathcal{M} \ I \Longrightarrow I' \subseteq \# I \Longrightarrow \text{is-dominating } \mathcal{M} \ I' \rangle$   
**using** *multiset-filter-mono*[of  $I' \ I \ \langle \lambda L. \text{is-pos } L \wedge \varrho (\text{atm-of } L) \rangle$ ]  
**by** (*auto 5 5 simp: is-dominating-def model-is-dominated-def*  
*dest!: multi-member-split*)

**lemma** *is-dominating-add-mset*:  
 $\langle \text{is-dominating } (\text{add-mset } x \ \mathcal{M}) \ I \longleftrightarrow$   
 $\text{is-dominating } \mathcal{M} \ I \vee (\exists J. I \subseteq \# J \wedge \text{model-is-dominated } J \ x) \rangle$   
**by** (*auto simp: is-dominating-def*)

**definition** *is-improving-int*  
::  $\langle ('v, 'v \text{ clause}) \text{ ann-lits} \Rightarrow ('v, 'v \text{ clause}) \text{ ann-lits} \Rightarrow 'v \text{ clauses} \Rightarrow 'v \text{ cov} \Rightarrow \text{bool} \rangle$   
**where**  
 $\langle \text{is-improving-int } M \ M' \ N \ \mathcal{M} \longleftrightarrow$   
 $M = M' \wedge (\forall I \in \# \mathcal{M}. \neg \text{model-is-dominated } (\text{lit-of } \# \text{mset } M) \ I) \wedge$   
 $\text{total-over-m } (\text{lits-of-l } M) \ (\text{set-mset } N) \wedge$   
 $\text{lit-of } \# \text{mset } M \in \text{simple-clss } (\text{atms-of-mm } N) \wedge$   
 $\text{lit-of } \# \text{mset } M \notin \# \mathcal{M} \wedge$   
 $M \models_{\text{asm}} N \wedge$   
 $\text{no-dup } M \rangle$

This criteria is a bit more general than Weidenbach's version.

**abbreviation** *conflicting-clauses-ent* **where**  
 $\langle \text{conflicting-clauses-ent } N \ \mathcal{M} \equiv$   
 $\{\#p\text{Neg } \{\#L \in \# x. \varrho (\text{atm-of } L)\#\}. \}$   
 $x \in \# \text{filter-mset } (\lambda x. \text{is-dominating } \mathcal{M} \ x \wedge \text{atms-of } x = \text{atms-of-mm } N)$   
 $(\text{mset-set } (\text{simple-clss } (\text{atms-of-mm } N)))\#\} + N \rangle$

**definition** *conflicting-clauses*  
::  $\langle 'v \text{ clauses} \Rightarrow 'v \text{ cov} \Rightarrow 'v \text{ clauses} \rangle$   
**where**  
 $\langle \text{conflicting-clauses } N \ \mathcal{M} =$   
 $\{\#C \in \# \text{mset-set } (\text{simple-clss } (\text{atms-of-mm } N)).$   
 $\text{conflicting-clauses-ent } N \ \mathcal{M} \models_{\text{pm}} C\#\} \rangle$

**lemma** *conflicting-clauses-insert*:



**assumes**  $\langle M \in \text{simple-clss } (\text{atms-of-mm } N) \rangle$  **and**  $\langle \text{atms-of } M = \text{atms-of-mm } N \rangle$   
**shows**  $\langle p\text{Neg } M \in \# \text{ conflicting-clauses } N \text{ (add-mset } M \text{ } w) \rangle$   
**using** *assms true-clss-cls-in-susbsuming*[of  $\langle p\text{Neg } \{\#L \in \# M. \varrho (\text{atm-of } L) \# \} \rangle$   
 $\langle p\text{Neg } M \rangle \langle \text{set-mset } (\text{conflicting-clauses-ent } N \text{ (add-mset } M \text{ } w)) \rangle]$   
*is-dominating-in*  
**by** (*auto simp: conflicting-clauses-def simple-clss-finite*  
*pNeg-def image-mset-subseteq-mono*)

**lemma** *is-dominating-in-conflicting-clauses:*

**assumes**  $\langle \text{is-dominating } \mathcal{M} \text{ } I \rangle$  **and**  
*atm:*  $\langle \text{atms-of-s } (\text{set-mset } I) = \text{atms-of-mm } N \rangle$  **and**  
 $\langle \text{set-mset } I \models_m N \rangle$  **and**  
 $\langle \text{consistent-interp } (\text{set-mset } I) \rangle$  **and**  
 $\langle \neg \text{tautology } I \rangle$  **and**  
 $\langle \text{distinct-mset } I \rangle$

**shows**

$\langle p\text{Neg } I \in \# \text{ conflicting-clauses } N \mathcal{M} \rangle$

**proof** –

**have** *simpI:*  $\langle I \in \text{simple-clss } (\text{atms-of-mm } N) \rangle$   
**using** *assms* **by** (*auto simp: simple-clss-def atms-of-s-def atms-of-def*)  
**obtain**  $I' \text{ } J$  **where**  $\langle J \in \# \mathcal{M} \rangle$  **and**  $\langle \text{model-is-dominated } I' \text{ } J \rangle$  **and**  $\langle I \subseteq \# I' \rangle$   
**using** *assms(1) unfolding is-dominating-def*  
**by** *auto*  
**then have**  $\langle I \in \{x \in \text{simple-clss } (\text{atms-of-mm } N). \langle \text{is-dominating } A \text{ } x \vee (\exists Ja. x \subseteq \# Ja \wedge \text{model-is-dominated } Ja \text{ } J) \rangle \wedge \text{atms-of } x = \text{atms-of-mm } N \} \rangle$   
**using** *assms(1) atm*  
**by** (*auto simp: conflicting-clauses-def simple-clss-finite simpI atms-of-def*  
*pNeg-mono true-clss-cls-in-susbsuming is-dominating-add-mset atms-of-s-def*  
*dest!: multi-member-split*)  
**then show** *?thesis*  
**using** *assms(1)*  
**by** (*auto simp: conflicting-clauses-def simple-clss-finite simpI*  
*pNeg-mono is-dominating-add-mset*  
*dest!: multi-member-split*  
*intro!: true-clss-cls-in-susbsuming[of  $\langle (\lambda x. p\text{Neg } \{\#L \in \# x. \varrho (\text{atm-of } L) \# \}) I \rangle$ ]*)

**qed**

**end**

**locale** *conflict-driven-clause-learning<sub>W</sub>-covering-models* =

*conflict-driven-clause-learning<sub>W</sub>*

*state-eq*

*state*

— functions for the state:

— access functions:

*trail init-clss learned-clss conflicting*

— changing state:

*cons-trail tl-trail add-learned-cls remove-cls*

*update-conflicting*

— get state:

*init-state* +

*covering-models*  $\varrho$

**for**

*state-eq* ::  $'st \Rightarrow 'st \Rightarrow \text{bool}$  (*infix*  $\sim 50$ ) **and**

*state* ::  $'st \Rightarrow ('v, 'v \text{ clause}) \text{ ann-lits} \times 'v \text{ clauses} \times 'v \text{ clauses} \times 'v \text{ clause option} \times$

```

    'v cov × 'b and
    trail :: 'st ⇒ ('v, 'v clause) ann-lits and
    init-clss :: 'st ⇒ 'v clauses and
    learned-clss :: 'st ⇒ 'v clauses and
    conflicting :: 'st ⇒ 'v clause option and

    cons-trail :: ('v, 'v clause) ann-lit ⇒ 'st ⇒ 'st and
    tl-trail :: 'st ⇒ 'st and
    add-learned-clss :: 'v clause ⇒ 'st ⇒ 'st and
    remove-clss :: 'v clause ⇒ 'st ⇒ 'st and
    update-conflicting :: 'v clause option ⇒ 'st ⇒ 'st and
    init-state :: 'v clauses ⇒ 'st and
    q :: ⟨'v ⇒ bool⟩ +
fixes
    update-additional-info :: ⟨'v cov × 'b ⇒ 'st ⇒ 'st⟩
assumes
    update-additional-info:
    ⟨state S = (M, N, U, C, M) ⇒ state (update-additional-info K' S) = (M, N, U, C, K')⟩ and
    weight-init-state:
    ⟨ $\bigwedge N :: 'v \text{ clauses. } \text{fst } (\text{additional-info } (\text{init-state } N)) = \{\#\}$ ⟩
begin

definition update-weight-information :: ⟨('v, 'v clause) ann-lits ⇒ 'st ⇒ 'st⟩ where
    ⟨update-weight-information M S =
      update-additional-info (add-mset (lit-of '# mset M) (fst (additional-info S)), snd (additional-info S)) S⟩

lemma
    trail-update-additional-info[simp]: ⟨trail (update-additional-info w S) = trail S⟩ and
    init-clss-update-additional-info[simp]:
    ⟨init-clss (update-additional-info w S) = init-clss S⟩ and
    learned-clss-update-additional-info[simp]:
    ⟨learned-clss (update-additional-info w S) = learned-clss S⟩ and
    backtrack-lvl-update-additional-info[simp]:
    ⟨backtrack-lvl (update-additional-info w S) = backtrack-lvl S⟩ and
    conflicting-update-additional-info[simp]:
    ⟨conflicting (update-additional-info w S) = conflicting S⟩ and
    clauses-update-additional-info[simp]:
    ⟨clauses (update-additional-info w S) = clauses S⟩
using update-additional-info[of S] unfolding clauses-def
by (subst (asm) state-prop; subst (asm) state-prop; auto; fail)+

lemma
    trail-update-weight-information[simp]:
    ⟨trail (update-weight-information w S) = trail S⟩ and
    init-clss-update-weight-information[simp]:
    ⟨init-clss (update-weight-information w S) = init-clss S⟩ and
    learned-clss-update-weight-information[simp]:
    ⟨learned-clss (update-weight-information w S) = learned-clss S⟩ and
    backtrack-lvl-update-weight-information[simp]:
    ⟨backtrack-lvl (update-weight-information w S) = backtrack-lvl S⟩ and
    conflicting-update-weight-information[simp]:
    ⟨conflicting (update-weight-information w S) = conflicting S⟩ and
    clauses-update-weight-information[simp]:
    ⟨clauses (update-weight-information w S) = clauses S⟩
using update-additional-info[of S] unfolding update-weight-information-def by auto

```

**definition** *covering* ::  $\langle 'st \Rightarrow 'v \text{ cov} \rangle$  **where**  
 $\langle \text{covering } S = \text{fst } (\text{additional-info } S) \rangle$

**lemma**

*additional-info-update-additional-info*[simp]:  
 $\text{additional-info } (\text{update-additional-info } w \ S) = w$   
**unfolding** *additional-info-def* **using** *update-additional-info*[of *S*]  
**by** (cases  $\langle \text{state } S \rangle$ ; auto; fail)+

**lemma**

*covering-cons-trail2*[simp]:  $\langle \text{covering } (\text{cons-trail } L \ S) = \text{covering } S \rangle$  **and**  
*clss-tl-trail2*[simp]:  $\text{covering } (\text{tl-trail } S) = \text{covering } S$  **and**  
*covering-add-learned-cls-unfolded*:  
 $\text{covering } (\text{add-learned-cls } U \ S) = \text{covering } S$   
**and**  
*covering-update-conflicting2*[simp]:  $\text{covering } (\text{update-conflicting } D \ S) = \text{covering } S$  **and**  
*covering-remove-cls2*[simp]:  
 $\text{covering } (\text{remove-cls } C \ S) = \text{covering } S$  **and**  
*covering-add-learned-cls2*[simp]:  
 $\text{covering } (\text{add-learned-cls } C \ S) = \text{covering } S$  **and**  
*covering-update-covering-information2*[simp]:  
 $\text{covering } (\text{update-weight-information } M \ S) = \text{add-mset } (\text{lit-of } \# \text{ mset } M) (\text{covering } S)$   
**by** (auto simp: *update-weight-information-def* *covering-def*)

**sublocale** *conflict-driven-clause-learning<sub>W</sub>* **where**

*state-eq* = *state-eq* **and**  
*state* = *state* **and**  
*trail* = *trail* **and**  
*init-clss* = *init-clss* **and**  
*learned-clss* = *learned-clss* **and**  
*conflicting* = *conflicting* **and**  
*cons-trail* = *cons-trail* **and**  
*tl-trail* = *tl-trail* **and**  
*add-learned-cls* = *add-learned-cls* **and**  
*remove-cls* = *remove-cls* **and**  
*update-conflicting* = *update-conflicting* **and**  
*init-state* = *init-state*  
**by** *unfold-locales*

**sublocale** *conflict-driven-clause-learning-with-adding-init-clause-cost<sub>W</sub>-no-state*  
**where**

*state* = *state* **and**  
*trail* = *trail* **and**  
*init-clss* = *init-clss* **and**  
*learned-clss* = *learned-clss* **and**  
*conflicting* = *conflicting* **and**  
*cons-trail* = *cons-trail* **and**  
*tl-trail* = *tl-trail* **and**  
*add-learned-cls* = *add-learned-cls* **and**  
*remove-cls* = *remove-cls* **and**  
*update-conflicting* = *update-conflicting* **and**  
*init-state* = *init-state* **and**  
*weight* = *covering* **and**

$update\_weight\_information = update\_weight\_information$  **and**  
 $is\_improving\_int = is\_improving\_int$  **and**  
 $conflicting\_clauses = conflicting\_clauses$   
**by**  $unfold\_locales$

**lemma**  $state\_additional\_info2'$ :

$\langle state\ S = (trail\ S, init\_clss\ S, learned\_clss\ S, conflicting\ S, covering\ S, additional\_info'\ S) \rangle$   
**unfolding**  $additional\_info'\text{-}def$  **by** (cases  $\langle state\ S \rangle$ ; auto simp: state-prop covering-def)

**lemma**  $state\_update\_weight\_information$ :

$\langle state\ S = (M, N, U, C, w, other) \implies$   
 $\exists w'.\ state\ (update\_weight\_information\ T\ S) = (M, N, U, C, w', other) \rangle$   
**unfolding**  $update\_weight\_information\text{-}def$  **by** (cases  $\langle state\ S \rangle$ ; auto simp: state-prop covering-def)

**lemma**  $conflicting\_clss\_incl\_init\_clss$ :

$\langle atms\_of\_mm\ (conflicting\_clss\ S) \subseteq atms\_of\_mm\ (init\_clss\ S) \rangle$   
**unfolding**  $conflicting\_clss\text{-}def$   $conflicting\_clauses\text{-}def$   
**apply** (auto simp: simple-clss-finite)  
**by** (auto simp: simple-clss-def atms-of-ms-def split: if-splits)

**lemma**  $conflict\_clss\_update\_weight\_no\_alien$ :

$\langle atms\_of\_mm\ (conflicting\_clss\ (update\_weight\_information\ M\ S))$   
 $\subseteq atms\_of\_mm\ (init\_clss\ S) \rangle$   
**by** (auto simp: conflicting-clss-def conflicting-clauses-def atms-of-ms-def  
 $cdcl\_w\text{-}restart\text{-}mset\text{-}state$  simple-clss-finite  
 $dest$ : simple-clssE)

**lemma**  $distinct\_mset\_mset\_conflicting\_clss2$ :  $\langle distinct\_mset\_mset\ (conflicting\_clss\ S) \rangle$

**unfolding**  $conflicting\_clss\text{-}def$   $conflicting\_clauses\text{-}def$   $distinct\_mset\_set\text{-}def$   
**apply** (auto simp: simple-clss-finite)  
**by** (auto simp: simple-clss-def)

**lemma**  $total\_over\_m\_atms\_incl$ :

**assumes**  $\langle total\_over\_m\ M\ (set\_mset\ N) \rangle$   
**shows**  
 $\langle x \in atms\_of\_mm\ N \implies x \in atms\_of\_s\ M \rangle$   
**by** (meson assms contra-subsetD total-over-m-alt-def)

**lemma**  $negate\_ann\_lits\_simple\_clss\_iff[iff]$ :

$\langle negate\_ann\_lits\ M \in simple\_clss\ N \longleftrightarrow lit\_of\ \#\ mset\ M \in simple\_clss\ N \rangle$   
**unfolding**  $negate\_ann\_lits\text{-}def$   
**by** (subst uminus-simple-clss-iff[symmetric]) auto

**lemma**  $conflicting\_clss\_update\_weight\_information\_in2$ :

**assumes**  $\langle is\_improving\ M\ M'\ S \rangle$   
**shows**  $\langle negate\_ann\_lits\ M' \in \#\ conflicting\_clss\ (update\_weight\_information\ M'\ S) \rangle$

**proof** –

**have**

$[simp]$ :  $\langle M' = M \rangle$  **and**  
 $\langle \forall I \in \#\ covering\ S.\ \neg model\_is\_dominated\ (lit\_of\ \#\ mset\ M)\ I \rangle$  **and**  
 $tot$ :  $\langle total\_over\_m\ (lits\_of\_l\ M)\ (set\_mset\ (init\_clss\ S)) \rangle$  **and**  
 $simpI$ :  $\langle lit\_of\ \#\ mset\ M \in simple\_clss\ (atms\_of\_mm\ (init\_clss\ S)) \rangle$  **and**  
 $\langle lit\_of\ \#\ mset\ M \notin \#\ covering\ S \rangle$  **and**

$\langle \text{no-dup } M \rangle$  and  
 $\langle M \models_{asm} \text{init-clss } S \rangle$   
**using** *assms unfolding is-improving-int-def* **by** *auto*  
**have**  $\langle \text{pNeg } \{ \#L \in \# \text{ lit-of } \langle \# \text{ mset } M. \varrho \text{ (atm-of } L) \# \} \}$   
 $\in (\lambda x. \text{pNeg } \{ \#L \in \# x. \varrho \text{ (atm-of } L) \# \}) \text{ '}$   
 $\{ x \in \text{simple-clss (atms-of-mm (init-clss } S))}. \text{is-dominating (add-mset (lit-of } \langle \# \text{ mset } M \rangle \text{ (covering } S)) } x \} \rangle$   
**using** *is-dominating-in*[*of*  $\langle \text{lit-of } \langle \# \text{ mset } M \rangle \text{ (add-mset (lit-of } \langle \# \text{ mset } M \rangle \text{ (covering } S))} \rangle$ ]  
**by** (*auto simp: simple-clss-finite multiset-filter-mono2 pNeg-mono*  
*conflicting-clauses-def conflicting-clss-def is-improving-int-def*  
*simpI*)  
**moreover have**  $\langle \text{atms-of (lit-of } \langle \# \text{ mset } M \rangle) = \text{atms-of-mm (init-clss } S) \rangle$   
**using** *tot simpI*  
**by** (*auto simp: simple-clss-finite multiset-filter-mono2 pNeg-mono*  
*conflicting-clauses-def conflicting-clss-def is-improving-int-def*  
*total-over-m-alt-def atms-of-s-def lits-of-def image-image atms-of-def*  
*simple-clss-def*)  
**ultimately have**  $\langle (\exists x. x \in \text{simple-clss (atms-of-mm (init-clss } S))} \wedge$   
 $\text{is-dominating (add-mset (lit-of } \langle \# \text{ mset } M \rangle \text{ (covering } S)) } x \wedge$   
 $\text{atms-of } x = \text{atms-of-mm (init-clss } S) \wedge$   
 $\text{pNeg } \{ \#L \in \# \text{ lit-of } \langle \# \text{ mset } M. \varrho \text{ (atm-of } L) \# \} =$   
 $\text{pNeg } \{ \#L \in \# x. \varrho \text{ (atm-of } L) \# \} \rangle$   
**by** (*auto intro: exI*[*of* -  $\langle \text{lit-of } \langle \# \text{ mset } M \rangle$ ] *simp add: simpI is-dominating-in*)  
**then show** *?thesis*  
**using** *is-dominating-in*  
 $\text{true-clss-cls-in-susbsuming[of } \langle \text{pNeg } \{ \#L \in \# \text{ lit-of } \langle \# \text{ mset } M. \varrho \text{ (atm-of } L) \# \} \rangle$   
 $\langle \text{pNeg (lit-of } \langle \# \text{ mset } M \rangle) \text{ (set-mset (conflicting-clauses-ent$   
 $\text{(init-clss } S) \text{ (covering (update-weight-information } M' S))} \rangle]$   
**by** (*auto simp: simple-clss-finite multiset-filter-mono2 simpI*  
*conflicting-clauses-def conflicting-clss-def pNeg-mono*  
*negate-ann-lits-pNeg-lit-of image-iff image-mset-subseteq-mono*)  
**qed**

**lemma** *is-improving-conflicting-clss-update-weight-information:*  $\langle \text{is-improving } M M' S \implies$   
 $\text{conflicting-clss } S \subseteq \# \text{ conflicting-clss (update-weight-information } M' S) \rangle$   
**by** (*auto simp: is-improving-int-def conflicting-clss-def conflicting-clauses-def*  
*simp: multiset-filter-mono2 le-less true-clss-cls-tautology-iff simple-clss-finite*  
*is-dominating-add-mset filter-disj-eq image-Un*  
*intro!: image-mset-subseteq-mono*  
*intro: true-clss-cls-subsetI*  
*dest: simple-clssE*  
*split: enat.splits*)

**sublocale** *state<sub>W</sub>-no-state*

**where**

$\text{state} = \text{state}$  **and**  
 $\text{trail} = \text{trail}$  **and**  
 $\text{init-clss} = \text{init-clss}$  **and**  
 $\text{learned-clss} = \text{learned-clss}$  **and**  
 $\text{conflicting} = \text{conflicting}$  **and**  
 $\text{cons-trail} = \text{cons-trail}$  **and**  
 $\text{tl-trail} = \text{tl-trail}$  **and**  
 $\text{add-learned-clss} = \text{add-learned-clss}$  **and**  
 $\text{remove-clss} = \text{remove-clss}$  **and**  
 $\text{update-conflicting} = \text{update-conflicting}$  **and**  
 $\text{init-state} = \text{init-state}$

**by** *unfold-locales*

**sublocale** *state<sub>W</sub>-no-state* **where**

*state-eq* = *state-eq* **and**  
*state* = *state* **and**  
*trail* = *trail* **and**  
*init-clss* = *init-clss* **and**  
*learned-clss* = *learned-clss* **and**  
*conflicting* = *conflicting* **and**  
*cons-trail* = *cons-trail* **and**  
*tl-trail* = *tl-trail* **and**  
*add-learned-cl* = *add-learned-cl* **and**  
*remove-cl* = *remove-cl* **and**  
*update-conflicting* = *update-conflicting* **and**  
*init-state* = *init-state*  
**by** *unfold-locales*

**sublocale** *conflict-driven-clause-learning<sub>W</sub>* **where**

*state-eq* = *state-eq* **and**  
*state* = *state* **and**  
*trail* = *trail* **and**  
*init-clss* = *init-clss* **and**  
*learned-clss* = *learned-clss* **and**  
*conflicting* = *conflicting* **and**  
*cons-trail* = *cons-trail* **and**  
*tl-trail* = *tl-trail* **and**  
*add-learned-cl* = *add-learned-cl* **and**  
*remove-cl* = *remove-cl* **and**  
*update-conflicting* = *update-conflicting* **and**  
*init-state* = *init-state*  
**by** *unfold-locales*

**sublocale** *conflict-driven-clause-learning-with-adding-init-clause-cost<sub>W</sub>-ops*  
**where**

*state* = *state* **and**  
*trail* = *trail* **and**  
*init-clss* = *init-clss* **and**  
*learned-clss* = *learned-clss* **and**  
*conflicting* = *conflicting* **and**  
*cons-trail* = *cons-trail* **and**  
*tl-trail* = *tl-trail* **and**  
*add-learned-cl* = *add-learned-cl* **and**  
*remove-cl* = *remove-cl* **and**  
*update-conflicting* = *update-conflicting* **and**  
*init-state* = *init-state* **and**  
*weight* = *covering* **and**  
*update-weight-information* = *update-weight-information* **and**  
*is-improving-int* = *is-improving-int* **and**  
*conflicting-clauses* = *conflicting-clauses*  
**apply** *unfold-locales*  
**subgoal** **by** (*rule state-additional-info2*)  
**subgoal** **by** (*rule state-update-weight-information*)  
**subgoal** **by** (*rule conflicting-clss-incl-init-clss*)  
**subgoal** **by** (*rule distinct-mset-mset-conflicting-clss2*)  
**subgoal** **by** (*rule is-improving-conflicting-clss-update-weight-information*)  
**subgoal** **by** (*rule conflicting-clss-update-weight-information-in2*)

done

**definition** *covering-simple-clss* **where**

$\langle \text{covering-simple-clss } N \ S \longleftrightarrow (\text{set-mset } (\text{covering } S) \subseteq \text{simple-clss } (\text{atms-of-mm } N)) \wedge$   
 $\text{distinct-mset } (\text{covering } S) \wedge$   
 $(\forall M \in \# \text{ covering } S. \text{total-over-m } (\text{set-mset } M) (\text{set-mset } N)) \rangle$

**lemma** *[simp]*:  $\langle \text{covering } (\text{init-state } N) = \{\#\} \rangle$

**by** (*simp add: covering-def weight-init-state*)

**lemma**  $\langle \text{covering-simple-clss } N \ (\text{init-state } N) \rangle$

**by** (*auto simp: covering-simple-clss-def*)

**lemma** *cdcl-bnb-covering-simple-clss*:

$\langle \text{cdcl-bnb } S \ T \implies \text{init-clss } S = N \implies \text{covering-simple-clss } N \ S \implies \text{covering-simple-clss } N \ T \rangle$

**by** (*auto simp: cdcl-bnb.simps covering-simple-clss-def is-improving-int-def*

*model-is-dominated-refl ocdcl<sub>W</sub>-o.simps cdcl-bnb-bj.simps*

*lits-of-def*

*elim!: rulesE improveE conflict-optE obacktrackE*

*dest!: multi-member-split[of -  $\langle \text{covering } S \rangle$ ]*)

**lemma** *rtranclp-cdcl-bnb-covering-simple-clss*:

$\langle \text{cdcl-bnb}^{**} \ S \ T \implies \text{init-clss } S = N \implies \text{covering-simple-clss } N \ S \implies \text{covering-simple-clss } N \ T \rangle$

**by** (*induction rule: rtranclp-induct*)

(*auto simp: cdcl-bnb-covering-simple-clss simp: rtranclp-cdcl-bnb-no-more-init-clss*

*cdcl-bnb-no-more-init-clss*)

**lemma** *wf-cdcl-bnb-fixed*:

$\langle \text{wf } \{(T, S). \text{cdcl}_W\text{-restart-mset.cdcl}_W\text{-all-struct-inv } (\text{abs-state } S) \wedge \text{cdcl-bnb } S \ T$   
 $\wedge \text{covering-simple-clss } N \ S \wedge \text{init-clss } S = N\} \rangle$

**apply** (*rule wf-cdcl-bnb-with-additional-inv[of*

$\langle \text{covering-simple-clss } N \rangle$

$N \text{ id } \{(T, S). (T, S) \in \{(\mathcal{M}', \mathcal{M}). \mathcal{M} \subset \# \mathcal{M}' \wedge \text{distinct-mset } \mathcal{M}'$

$\wedge \text{set-mset } \mathcal{M}' \subseteq \text{simple-clss } (\text{atms-of-mm } N)\}\} \rangle$ )

**subgoal**

**by** (*auto simp: improvep.simps is-improving-int-def covering-simple-clss-def*

*add-mset-eq-add-mset model-is-dominated-refl*

*dest!: multi-member-split*)

**subgoal**

**apply** (*rule wf-bounded-set[of -  $\langle \lambda -. \text{simple-clss } (\text{atms-of-mm } N) \rangle \text{ set-mset}$ ]*)

**apply** (*auto simp: distinct-mset-subset-iff-remdups[symmetric] simple-clss-finite*

*simp flip: remdups-mset-def*)

**by** (*metis distinct-mset-mono distinct-mset-set-mset-ident*)

**subgoal**

**by** (*rule cdcl-bnb-covering-simple-clss*)

done

**lemma** *can-always-improve*:

**assumes**

*ent*:  $\langle \text{trail } S \models \text{asm clauses } S \rangle$  **and**

*total*:  $\langle \text{total-over-m } (\text{lits-of-l } (\text{trail } S)) (\text{set-mset } (\text{clauses } S)) \rangle$  **and**

*n-s*:  $\langle \text{no-step conflict-opt } S \rangle$  **and**

*confl*:  $\langle \text{conflicting } S = \text{None} \rangle$  **and**

*all-struct*:  $\langle \text{cdcl}_W\text{-restart-mset.cdcl}_W\text{-all-struct-inv } (\text{abs-state } S) \rangle$

**shows**  $\langle \text{Ex } (\text{improvep } S) \rangle$

proof –

```

have ⟨cdclW-restart-mset.cdclW-M-level-inv (abs-state S)⟩ and
  alien: ⟨cdclW-restart-mset.no-strange-atm (abs-state S)⟩
using all-struct
unfolding cdclW-restart-mset.cdclW-all-struct-inv-def
by fast+
then have n-d: ⟨no-dup (trail S)⟩
  unfolding cdclW-restart-mset.cdclW-M-level-inv-def
  by auto
have [simp]:
  ⟨atms-of-mm (CDCL-W-Abstract-State.init-clss (abs-state S)) = atms-of-mm (init-clss S)⟩
  unfolding abs-state-def init-clss.simps
  by auto
let ?M = ⟨lit-of ‘# mset (trail S)⟩
have trail-simple: ⟨?M ∈ simple-clss (atms-of-mm (init-clss S))⟩
  using n-d alien
  by (auto simp: simple-clss-def cdclW-restart-mset.no-strange-atm-def
    lits-of-def image-image atms-of-def
    dest: distinct-consistent-interp no-dup-not-tautology
    no-dup-distinct)
then have [simp]: ⟨atms-of ?M = atms-of-mm (init-clss S)⟩
  using total
  by (auto simp: total-over-m-alt-def simple-clss-def atms-of-def image-image
    lits-of-def atms-of-s-def clauses-def)
then have K: ⟨is-dominating (covering S) ?M ⇒ pNeg {#L ∈ # lit-of ‘# mset (trail S). ρ (atm-of
L)#}
  ∈ (λx. pNeg {#L ∈ # x. ρ (atm-of L)#}) ‘
    {x ∈ simple-clss (atms-of-mm (init-clss S)).
      is-dominating (covering S) x ∧
      atms-of x = atms-of-mm (init-clss S)}⟩
  by (auto simp: image-iff trail-simple
    intro!: exI[of - ⟨lit-of ‘# mset (trail S)⟩])
have H: ⟨I ∈ # covering S ⇒
  model-is-dominated ?M I ⇒
  pNeg {#L ∈ # ?M. ρ (atm-of L)#}
  ∈ (λx. pNeg {#L ∈ # x. ρ (atm-of L)#}) ‘
    {x ∈ simple-clss (atms-of-mm (init-clss S)).
      is-dominating (covering S) x}⟩ for I
  using is-dominating-in[of ⟨lit-of ‘# mset M⟩ ⟨add-mset (lit-of ‘# mset M) (covering S)⟩]
  trail-simple
  by (auto 5 5 simp: simple-clss-finite multiset-filter-mono2 pNeg-mono
    conflicting-clauses-def conflicting-clss-def is-improving-int-def
    is-dominating-add-mset filter-disj-eq image-Un
    dest!: multi-member-split)
have ⟨I ∈ # covering S ⇒
  model-is-dominated ?M I ⇒ False⟩ for I
  using n-s confl H[of I] K
  true-clss-cls-in-susbsuming[of ⟨pNeg {#L ∈ # ?M. ρ (atm-of L)#}⟩
  ⟨pNeg ?M⟩ ⟨set-mset (conflicting-clauses-ent
    (init-clss S) (covering S))⟩]
  by (auto simp: conflict-opt.simps simple-clss-finite
    conflicting-clss-def conflicting-clauses-def is-dominating-def
    is-dominating-add-mset filter-disj-eq image-Un pNeg-mono
    multiset-filter-mono2 negate-ann-lits-pNeg-lit-of
    intro: trail-simple)
moreover have False if ⟨lit-of ‘# mset (trail S) ∈ # covering S⟩

```



**using** *n-s confl that trail-simple* **by** (*auto simp: conflict-opt.simps*  
*conflicting-clauses-insert conflicting-clss-def simple-clss-finite*  
*negate-ann-lits-pNeg-lit-of*  
*dest!: multi-member-split*)  
**ultimately have** *imp: ⟨is-improving (trail S) (trail S) S⟩*  
**unfolding** *is-improving-int-def*  
**using** *assms trail-simple n-d* **by** (*auto simp: clauses-def*)  
**show** *?thesis*  
**by** (*rule exI (rule improvep.intros[OF imp confl state-eq-ref])*)  
**qed**

**lemma** *exists-model-with-true-lit-entails-conflicting:*

**assumes**

*L-I: ⟨Pos L ∈ I⟩ and*

*L: ⟨⊑ L⟩ and*

*L-in: ⟨L ∈ atms-of-mm (init-clss S)⟩ and*

*ent: ⟨I ⊨<sub>m</sub> init-clss S⟩ and*

*cons: ⟨consistent-interp I⟩ and*

*total: ⟨total-over-m I (set-mset N)⟩ and*

*no-L: ⟨¬(∃ J ∈ # covering S. Pos L ∈ # J)⟩ and*

*cov: ⟨covering-simple-clss N S⟩ and*

*NS: ⟨atms-of-mm N = atms-of-mm (init-clss S)⟩*

**shows** *⟨I ⊨<sub>m</sub> conflicting-clss S⟩ and*

*⟨I ⊨<sub>m</sub> CDCL-W-Abstract-State.init-clss (abs-state S)⟩*

**proof** –

**show** *⟨I ⊨<sub>m</sub> conflicting-clss S⟩*

**unfolding** *conflicting-clss-def conflicting-clauses-def*

*set-mset-filter true-clss-mset-def*

**proof**

**fix** *C*

**assume** *⟨C ∈ {a. a ∈ # mset-set (simple-clss (atms-of-mm (init-clss S)))} ∧*  
*{#pNeg {#L ∈ # x. ⊑ (atm-of L)#}.}*

*x ∈ # {#x ∈ # mset-set (simple-clss (atms-of-mm (init-clss S)))}.}*

*is-dominating (covering S) x ∧*

*atms-of x = atms-of-mm (init-clss S)#}#} +*

*init-clss S ⊨<sub>pm</sub>*

*a⟩*

**then have** *simp-C: ⟨C ∈ simple-clss (atms-of-mm (init-clss S))⟩ and*

*ent-C: ⟨(λx. pNeg {#L ∈ # x. ⊑ (atm-of L)#}) ‘*

*{x ∈ simple-clss (atms-of-mm (init-clss S))}. is-dominating (covering S) x ∧*

*atms-of x = atms-of-mm (init-clss S)⟩ ∪*

*set-mset (init-clss S) ⊨<sub>p</sub> C⟩*

**by** (*auto simp: simple-clss-finite*)

**have** *tot-I2: ⟨total-over-m I*

*((λx. pNeg {#L ∈ # x. ⊑ (atm-of L)#}) ‘*

*{x ∈ simple-clss (atms-of-mm (init-clss S))}. is-dominating (covering S) x ∧*

*atms-of x = atms-of-mm (init-clss S)⟩ ∪*

*set-mset (init-clss S) ∪*

*{C}⟩ ⟷ total-over-m I (set-mset N)⟩ for I*

**using** *simp-C NS[symmetric]*

**by** (*auto simp: total-over-m-def total-over-set-def*

*simple-clss-def atms-of-ms-def atms-of-def pNeg-def*

*dest!: multi-member-split*)

**have** *⟨I ⊨<sub>s</sub> (λx. pNeg {#L ∈ # x. ⊑ (atm-of L)#}) ‘*

*{x ∈ simple-clss (atms-of-mm (init-clss S))}. is-dominating (covering S) x ∧*

$atms-of\ x = atms-of-mm\ (init-clss\ S)\rangle$   
**unfolding**  $NS[symmetric]$   
 $total-over-m-alt-def\ true-clss-def$   
**proof**  
**fix**  $D$   
**assume**  $\langle D \in (\lambda x. pNeg\ \{\#L \in \# x. \varrho\ (atm-of\ L)\#\}) \ \langle$   
 $\{x \in simple-clss\ (atms-of-mm\ N). is-dominating\ (covering\ S)\ x \wedge$   
 $atms-of\ x = atms-of-mm\ N\}\rangle$   
**then obtain**  $x$  **where**  
 $D: \langle D = pNeg\ \{\#L \in \# x. \varrho\ (atm-of\ L)\#\}\rangle$  **and**  
 $x: \langle x \in simple-clss\ (atms-of-mm\ N)\rangle$  **and**  
 $dom: \langle is-dominating\ (covering\ S)\ x\rangle$  **and**  
 $tot-x: \langle atms-of\ x = atms-of-mm\ N\rangle$   
**by**  $auto$   
**then have**  $\langle L \in atms-of\ x\rangle$   
**using**  $cov\ L-in\ no-L$   
**unfolding**  $NS[symmetric]$   
**by**  $(auto\ simp: true-clss-def\ is-dominating-def\ model-is-dominated-def$   
 $covering-simple-clss-def\ atms-of-def\ pNeg-def\ image-image$   
 $total-over-m-alt-def\ atms-of-s-def$   
 $dest!: multi-member-split)$   
**then have**  $\langle Neg\ L \in \# x\rangle$   
**using**  $no-L\ dom\ L$  **unfolding**  $atm-iff-pos-or-neg-lit$   
**by**  $(auto\ simp: is-dominating-def\ model-is-dominated-def\ insert-subset-eq-iff$   
 $dest!: multi-member-split)$   
**then have**  $\langle Pos\ L \in \# D\rangle$   
**using**  $L$   
**by**  $(auto\ simp: pNeg-def\ image-image\ D\ image-iff$   
 $dest!: multi-member-split)$   
**then show**  $\langle I \models D\rangle$   
**using**  $L-I$  **by**  $(auto\ dest: multi-member-split)$   
**qed**  
**then show**  $\langle I \models C\rangle$   
**using**  $total\ cons\ ent-C\ ent$   
**unfolding**  $true-clss-clss-def\ tot-I2$   
**by**  $auto$   
**qed**  
**then show**  $I-S: \langle I \models_m CDCL-W-Abstract-State.init-clss\ (abs-state\ S)\rangle$   
**using**  $ent$   
**by**  $(auto\ simp: abs-state-def\ init-clss.simps)$   
**qed**

**lemma** *exists-model-with-true-lit-still-model:*

**assumes**  
 $L-I: \langle Pos\ L \in I\rangle$  **and**  
 $L: \langle \varrho\ L\rangle$  **and**  
 $L-in: \langle L \in atms-of-mm\ (init-clss\ S)\rangle$  **and**  
 $ent: \langle I \models_m init-clss\ S\rangle$  **and**  
 $cons: \langle consistent-interp\ I\rangle$  **and**  
 $total: \langle total-over-m\ I\ (set-mset\ N)\rangle$  **and**  
 $cdcl: \langle cdcl-bnb\ S\ T\rangle$  **and**  
 $no-L-T: \langle \neg(\exists J \in \# covering\ T. Pos\ L \in \# J)\rangle$  **and**  
 $cov: \langle covering-simple-clss\ N\ S\rangle$  **and**  
 $NS: \langle atms-of-mm\ N = atms-of-mm\ (init-clss\ S)\rangle$   
**shows**  $\langle I \models_m CDCL-W-Abstract-State.init-clss\ (abs-state\ T)\rangle$   
**proof** –

```

have no-L:  $\langle \neg(\exists J \in \# \text{ covering } S. \text{ Pos } L \in \# J) \rangle$ 
using cdcl no-L-T
by (cases) (auto elim!: rulesE improveE conflict-optE obacktrackE
  simp: ocdclW-o.simps cdcl-bnb-bj.simps)
have I-S:  $\langle I \models_{\text{m}} \text{CDCL-W-Abstract-State.init-clss } (\text{abs-state } S) \rangle$ 
by (rule exists-model-with-true-lit-entails-conflicting[OF assms(1-6) no-L assms(9) NS])
have I-T':  $\langle I \models_{\text{m}} \text{conflicting-clss } (\text{update-weight-information } M' S) \rangle$ 
if T:  $\langle T \sim \text{update-weight-information } M' S \rangle$  for M'
unfolding conflicting-clss-def conflicting-clauses-def
  set-mset-filter true-clss-mset-def
proof
let ?T =  $\langle \text{update-weight-information } M' S \rangle$ 
fix C
assume  $\langle C \in \{a. a \in \# \text{ mset-set } (\text{simple-clss } (\text{atms-of-mm } (\text{init-clss } ?T))) \wedge$ 
   $\{\# \text{pNeg } \{\#L \in \# x. \varrho(\text{atm-of } L)\# \}.$ 
   $x \in \# \{\#x \in \# \text{ mset-set } (\text{simple-clss } (\text{atms-of-mm } (\text{init-clss } ?T)))$ 
   $\text{is-dominating } (\text{covering } ?T) x \wedge$ 
   $\text{atms-of } x = \text{atms-of-mm } (\text{init-clss } ?T)\#\#\} +$ 
   $\text{init-clss } ?T \models_{\text{pm}}$ 
   $a\} \rangle$ 
then have simp-C:  $\langle C \in \text{simple-clss } (\text{atms-of-mm } (\text{init-clss } ?T)) \rangle$  and
  ent-C:  $\langle (\lambda x. \text{pNeg } \{\#L \in \# x. \varrho(\text{atm-of } L)\# \}) ' \langle$ 
   $\{x \in \text{simple-clss } (\text{atms-of-mm } (\text{init-clss } ?T)). \text{is-dominating } (\text{covering } ?T) x \wedge$ 
   $\text{atms-of } x = \text{atms-of-mm } (\text{init-clss } ?T)\} \cup$ 
   $\text{set-mset } (\text{init-clss } ?T) \models_{\text{p}} C \rangle$ 
by (auto simp: simple-clss-finite)
have tot-I2:  $\langle \text{total-over-m } I$ 
   $((\lambda x. \text{pNeg } \{\#L \in \# x. \varrho(\text{atm-of } L)\# \}) ' \langle$ 
   $\{x \in \text{simple-clss } (\text{atms-of-mm } (\text{init-clss } ?T)).$ 
   $\text{is-dominating } (\text{covering } ?T) x \wedge$ 
   $\text{atms-of } x = \text{atms-of-mm } (\text{init-clss } ?T)\} \cup$ 
   $\text{set-mset } (\text{init-clss } ?T) \cup$ 
   $\{C\} \rangle \longleftrightarrow \text{total-over-m } I (\text{set-mset } N) \rangle$  for I
using simp-C NS[symmetric]
by (auto simp: total-over-m-def total-over-set-def
  simple-clss-def atms-of-ms-def atms-of-def pNeg-def
  dest!: multi-member-split)
have H:  $\langle \text{atms-of-mm } (\text{init-clss } (\text{update-weight-information } M' S)) = \text{atms-of-mm } N \rangle$ 
by (auto simp: NS)
have I  $\models_{\text{s}} (\lambda x. \text{pNeg } \{\#L \in \# x. \varrho(\text{atm-of } L)\# \}) ' \langle$ 
   $\{x \in \text{simple-clss } (\text{atms-of-mm } (\text{init-clss } ?T)). \text{is-dominating } (\text{covering } ?T) x \wedge$ 
   $\text{atms-of } x = \text{atms-of-mm } (\text{init-clss } ?T)\} \rangle$ 
unfolding NS[symmetric] H
  total-over-m-alt-def true-clss-def
proof
fix D
assume  $\langle D \in (\lambda x. \text{pNeg } \{\#L \in \# x. \varrho(\text{atm-of } L)\# \}) ' \langle$ 
   $\{x \in \text{simple-clss } (\text{atms-of-mm } N). \text{is-dominating } (\text{covering } ?T) x \wedge$ 
   $\text{atms-of } x = \text{atms-of-mm } N\} \rangle$ 
then obtain x where
  D:  $\langle D = \text{pNeg } \{\#L \in \# x. \varrho(\text{atm-of } L)\# \} \rangle$  and
  x:  $\langle x \in \text{simple-clss } (\text{atms-of-mm } N) \rangle$  and
  dom:  $\langle \text{is-dominating } (\text{covering } ?T) x \rangle$  and
  tot-x:  $\langle \text{atms-of } x = \text{atms-of-mm } N \rangle$ 
by auto
then have  $\langle L \in \text{atms-of } x \rangle$ 

```

```

    using cov L-in no-L
unfolding NS[symmetric]
  by (auto simp: true-clss-def is-dominating-def model-is-dominated-def
    covering-simple-clss-def atms-of-def pNeg-def image-image
    total-over-m-alt-def atms-of-s-def
    dest!: multi-member-split)
  then have  $\langle \text{Neg } L \in \# x \rangle$ 
    using no-L-T dom L T unfolding atm-iff-pos-or-neg-lit
by (auto simp: is-dominating-def model-is-dominated-def insert-subset-eq-iff
  dest!: multi-member-split)
  then have  $\langle \text{Pos } L \in \# D \rangle$ 
    using L
  by (auto simp: pNeg-def image-image D image-iff
    dest!: multi-member-split)
  then show  $\langle I \models D \rangle$ 
    using L-I by (auto dest: multi-member-split)
qed
then show  $\langle I \models C \rangle$ 
  using total cons ent-C ent
  unfolding true-clss-cls-def tot-I2
  by auto
qed
show ?thesis
  using cdcl
proof (cases)
  case cdcl-conflict
  then show ?thesis using I-S by (auto elim!: conflictE)
next
  case cdcl-propagate
  then show ?thesis using I-S by (auto elim!: rulesE)
next
  case cdcl-improve
  show ?thesis
    using I-S cdcl-improve I-T'
  by (auto simp: abs-state-def init-clss.simps
    elim!: improveE)
next
  case cdcl-conflict-opt
  then show ?thesis using I-S by (auto elim!: conflict-optE)
next
  case cdcl-other'
  then show ?thesis using I-S by (auto elim!: rulesE obacktrackE simp: ocdclW-o.simps cdcl-bnb-bj.simps)
qed
qed

```

**lemma** *rtrancp-exists-model-with-true-lit-still-model:*

```

assumes
  L-I:  $\langle \text{Pos } L \in I \rangle$  and
  L:  $\langle \varrho L \rangle$  and
  L-in:  $\langle L \in \text{atms-of-mm } (\text{init-clss } S) \rangle$  and
  ent:  $\langle I \models^m \text{init-clss } S \rangle$  and
  cons:  $\langle \text{consistent-interp } I \rangle$  and
  total:  $\langle \text{total-over-m } I \text{ (set-mset } N) \rangle$  and
  cdcl:  $\langle \text{cdcl-bnb}^{**} S T \rangle$  and
  cov:  $\langle \text{covering-simple-clss } N S \rangle$  and
   $\langle N = \text{init-clss } S \rangle$ 

```

```

shows  $\langle I \models_m \text{CDCL-}W\text{-Abstract-State.init-clss (abs-state } T) \vee (\exists J \in \# \text{ covering } T. \text{Pos } L \in \# J) \rangle$ 
using cdcl assms
apply (induction rule: rtranclp-induct)
subgoal using exists-model-with-true-lit-entails-conflicting[of L I S N]
  by auto
subgoal for  $T \ U$ 
  apply (rule disjCI)
  apply (rule exists-model-with-true-lit-still-model[OF L-I L - - cons total, of T U])
  by (auto dest: rtranclp-cdcl-bnb-no-more-init-clss
    intro: rtranclp-cdcl-bnb-covering-simple-clss cdcl-bnb-covering-simple-clss)
done

lemma is-dominating-nil[simp]:  $\langle \neg \text{is-dominating } \{\#\} \ x \rangle$ 
by (auto simp: is-dominating-def)

lemma atms-of-conflicting-clss-init-state:
 $\langle \text{atms-of-mm (conflicting-clss (init-state } N)) \subseteq \text{atms-of-mm } N \rangle$ 
by (auto simp: conflicting-clss-def conflicting-clauses-def
  atms-of-ms-def simple-clss-finite
  dest!: simple-clssE)

lemma no-step-cdcl-bnb-stgy-empty-conflict2:
assumes
  n-s:  $\langle \text{no-step cdcl-bnb } S \rangle$  and
  all-struct:  $\langle \text{cdcl}_W\text{-restart-mset.cdcl}_W\text{-all-struct-inv (abs-state } S) \rangle$  and
  stgy-inv:  $\langle \text{cdcl-bnb-stgy-inv } S \rangle$ 
shows  $\langle \text{conflicting } S = \text{Some } \{\#\} \rangle$ 
by (rule no-step-cdcl-bnb-stgy-empty-conflict[OF can-always-improve assms])

theorem cdclcm-correctness:
assumes
  full:  $\langle \text{full cdcl-bnb-stgy (init-state } N) \ T \rangle$  and
  dist:  $\langle \text{distinct-mset-mset } N \rangle$ 
shows
 $\langle \text{Pos } L \in I \implies \varrho \ L \implies L \in \text{atms-of-mm } N \implies \text{total-over-m } I \ (\text{set-mset } N) \implies \text{consistent-interp}$ 
 $I \implies I \models_m N \implies$ 
 $\exists J \in \# \text{ covering } T. \text{Pos } L \in \# J \rangle$ 
proof –
let  $?S = \langle \text{init-state } N \rangle$ 
have ns:  $\langle \text{no-step cdcl-bnb-stgy } T \rangle$  and
  st:  $\langle \text{cdcl-bnb-stgy}^{**} ?S \ T \rangle$  and
  st':  $\langle \text{cdcl-bnb}^{**} ?S \ T \rangle$ 
using full unfolding full-def by (auto intro: rtranclp-cdcl-bnb-stgy-cdcl-bnb)
have ns':  $\langle \text{no-step cdcl-bnb } T \rangle$ 
by (meson cdcl-bnb.cases cdcl-bnb-stgy.simps no-conf-prop-impr.elims(3) ns)

have  $\langle \text{distinct-mset } C \rangle$  if  $\langle C \in \# N \rangle$  for  $C$ 
using dist that by (auto simp: distinct-mset-set-def dest: multi-member-split)
then have dist:  $\langle \text{distinct-mset-mset } (N) \rangle$ 
by (auto simp: distinct-mset-set-def)
then have [simp]:  $\langle \text{cdcl}_W\text{-restart-mset.cdcl}_W\text{-all-struct-inv } ([], N, \{\#\}, \text{None}) \rangle$ 
unfolding init-state.simps[symmetric]
by (auto simp: cdcl_W-restart-mset.cdcl_W-all-struct-inv-def)
have [iff]:  $\langle \text{cdcl-bnb-struct-invs } ?S \rangle$ 
using atms-of-conflicting-clss-init-state[of N]

```

```

  by (auto simp: cdcl-bnb-struct-invs-def)
have stgy-inv: ⟨cdcl-bnb-stgy-inv ?S⟩
  by (auto simp: cdcl-bnb-stgy-inv-def conflict-is-false-with-level-def)
have ent: ⟨cdclW-restart-mset.cdclW-learned-clauses-entailed-by-init (abs-state ?S)⟩
  by (auto simp: cdclW-restart-mset.cdclW-learned-clauses-entailed-by-init-def)
have all-struct: ⟨cdclW-restart-mset.cdclW-all-struct-inv (abs-state (init-state N))⟩
  unfolding CDCL-W-Abstract-State.init-state.simps abs-state-def
  by (auto simp: cdclW-restart-mset.cdclW-all-struct-inv-def dist
    cdclW-restart-mset.no-strange-atm-def cdclW-restart-mset-state
    cdclW-restart-mset.cdclW-M-level-inv-def
    cdclW-restart-mset.distinct-cdclW-state-def
    cdclW-restart-mset.cdclW-conflicting-def distinct-mset-mset-conflicting-clss
    cdclW-restart-mset.cdclW-learned-clause-alt-def)
have cdcl: ⟨cdcl-bnb** ?S T⟩
  using st rtrncp-cdcl-bnb-stgy-cdcl-bnb unfolding full-def by blast
have cov: ⟨covering-simple-clss N ?S⟩
  by (auto simp: covering-simple-clss-def)

have struct-T: ⟨cdclW-restart-mset.cdclW-all-struct-inv (abs-state T)⟩
  using rtrncp-cdcl-bnb-stgy-all-struct-inv[OF st' all-struct] .
have stgy-T: ⟨cdcl-bnb-stgy-inv T⟩
  using rtrncp-cdcl-bnb-stgy-stgy-inv[OF st all-struct stgy-inv] .
have confl: ⟨conflicting T = Some {#}⟩
  using no-step-cdcl-bnb-stgy-empty-conflict2[OF ns' struct-T stgy-T] .
have tot-I: ⟨total-over-m I (set-mset (clauses T + conflicting-clss T)) ⟷
  total-over-m I (set-mset (init-clss T + conflicting-clss T))⟩ for I
  using struct-T atms-of-conflicting-clss[of T]
  unfolding cdclW-restart-mset.cdclW-all-struct-inv-def
    cdclW-restart-mset.cdclW-learned-clause-alt-def satisfiable-def
    cdclW-restart-mset.no-strange-atm-def
  by (auto simp: clauses-def satisfiable-def total-over-m-alt-def
    abs-state-def cdclW-restart-mset-state
    cdclW-restart-mset.clauses-def)
have ⟨unsatisfiable (set-mset (clauses T + conflicting-clss T))⟩
  using full-cdcl-bnb-stgy-unsat[OF - full all-struct - stgy-inv]
  by (auto simp: can-always-improve)
have ⟨cdclW-restart-mset.cdclW-learned-clauses-entailed-by-init
  (abs-state T)⟩
  using rtrncp-cdcl-bnb-cdclW-learned-clauses-entailed-by-init[OF st' ent all-struct] .
then have ⟨init-clss T + conflicting-clss T ⊨pm {#}⟩
  using struct-T confl
  unfolding cdclW-restart-mset.cdclW-all-struct-inv-def
    cdclW-restart-mset.cdclW-learned-clause-alt-def
    cdclW-restart-mset.no-strange-atm-def tot-I
    cdclW-restart-mset.cdclW-learned-clauses-entailed-by-init-def
  by (auto simp: clauses-def abs-state-def cdclW-restart-mset-state
    cdclW-restart-mset.clauses-def
    satisfiable-def dest: true-clss-clss-left-right)
then have unsat: ⟨unsatisfiable (set-mset (init-clss T + conflicting-clss T))⟩
  by (auto simp: clauses-def true-clss-clss-def
    satisfiable-def)

assume
  L-I: ⟨Pos L ∈ I⟩ and
  L: ⟨⊑ L⟩ and
  L-N: ⟨L ∈ atms-of-mm N⟩ and

```

```

  tot-I:  $\langle \text{total-over-m } I \text{ (set-mset } N) \rangle$  and
  cons:  $\langle \text{consistent-interp } I \rangle$  and
  I-N:  $\langle I \models_m N \rangle$ 
show  $\langle \text{Multiset.Bex (covering } T) ((\in\#) (Pos\ L)) \rangle$ 
using  $\text{rtrncpl-exists-model-with-true-lit-still-model}[OF\ L-I\ L\ \dots\ \text{cdcl, of } N]\ L-N$ 
  I-N tot-I cons cov unsat
by  $(\text{auto simp: abs-state-def cdcl}_W\text{-restart-mset-state})$ 
qed

end

```

Now we instantiate the previous with  $\lambda\cdot. \text{True}$ : This means that we aim at making all variables that appears at least ones true.

```

global-interpretation cover-all-vars: covering-models  $\langle \lambda\cdot. \text{True} \rangle$ 
.

```

```

context conflict-driven-clause-learningW-covering-models
begin

```

```

interpretation cover-all-vars: conflict-driven-clause-learningW-covering-models where
   $\varrho = \langle \lambda\cdot::'v. \text{True} \rangle$  and
  state = state and
  trail = trail and
  init-clss = init-clss and
  learned-clss = learned-clss and
  conflicting = conflicting and
  cons-trail = cons-trail and
  tl-trail = tl-trail and
  add-learned-cls = add-learned-cls and
  remove-cls = remove-cls and
  update-conflicting = update-conflicting and
  init-state = init-state
by standard

```

```

lemma
 $\langle \text{cover-all-vars.model-is-dominated } M\ M' \longleftrightarrow$ 
   $\text{filter-mset } (\lambda L. \text{is-pos } L)\ M \subseteq\# \text{filter-mset } (\lambda L. \text{is-pos } L)\ M' \rangle$ 
unfolding cover-all-vars.model-is-dominated-def
by auto

```

```

lemma
 $\langle \text{cover-all-vars.conflicting-clauses } N\ \mathcal{M} =$ 
   $\{ \# C \in\# (\text{mset-set } (\text{simple-clss } (\text{atms-of-mm } N)))$ 
   $(pNeg\ 'a$ 
   $\{ a. a \in\# \text{mset-set } (\text{simple-clss } (\text{atms-of-mm } N)) \wedge$ 
   $(\exists M \in\# \mathcal{M}. \exists J. a \subseteq\# J \wedge \text{cover-all-vars.model-is-dominated } J\ M) \wedge$ 
   $\text{atms-of } a = \text{atms-of-mm } N \} \cup$ 
   $\text{set-mset } N) \models_p C\# \} \rangle$ 
unfolding cover-all-vars.conflicting-clauses-def
  cover-all-vars.is-dominating-def
by auto

```

```

theorem cdclcm-correctness-all-vars:
assumes
  full:  $\langle \text{full cover-all-vars.cdcl-bnb-stgy } (\text{init-state } N)\ T \rangle$  and
  dist:  $\langle \text{distinct-mset-mset } N \rangle$ 

```

```

shows
   $\langle \text{Pos } L \in I \implies L \in \text{atms-of-mm } N \implies \text{total-over-m } I \text{ (set-mset } N) \implies \text{consistent-interp } I \implies I \models_m N \implies$ 
     $\exists J \in \# \text{ covering } T. \text{Pos } L \in \# J \rangle$ 
  using cover-all-vars.cdclcm-correctness[OF assms]
  by blast

end

end

```