

# PAC Checker

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## Abstract

Abstract—Generating and checking proof certificates is important to increase the trust in automated reasoning tools. In recent years formal verification using computer algebra became more important and is heavily used in automated circuit verification. An existing proof format which covers algebraic reasoning and allows efficient proof checking is the practical algebraic calculus. In this development, we present the verified checker Pastèque that is obtained by synthesis via the Refinement Framework.

This is the formalization going with our FMCAD’20 tool presentation [1].

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```

theory Duplicate-Free-Multiset
imports Nested-Multisets-Ordinals.Multiset-More
begin

```

## 1 Duplicate Free Multisets

Duplicate free multisets are isomorphic to finite sets, but it can be useful to reason about duplication to speak about intermediate execution steps in the refinements.

```

lemma distinct-mset-remdups-mset-id:  $\langle \text{distinct-mset } C \implies \text{remdups-mset } C = C \rangle$ 
  by (induction C) auto

```

```

lemma notin-add-mset-remdups-mset:
   $\langle a \notin \# A \implies \text{add-mset } a (\text{remdups-mset } A) = \text{remdups-mset } (\text{add-mset } a A) \rangle$ 
  by auto

```

```

lemma distinct-mset-image-mset:
   $\langle \text{distinct-mset } (\text{image-mset } f (\text{mset } xs)) \longleftrightarrow \text{distinct } (\text{map } f xs) \rangle$ 
  apply (subst mset-map[symmetric])
  apply (subst distinct-mset-mset-distinct)
  ..

```

**lemma** *distinct-image-mset-not-equal*:  
**assumes**  
 $LL': \langle L \neq L' \rangle$  **and**  
 $dist: \langle distinct\text{-}mset\ (image\text{-}mset\ f\ M) \rangle$  **and**  
 $L: \langle L \in\# M \rangle$  **and**  
 $L': \langle L' \in\# M \rangle$  **and**  
 $fLL'[simp]: \langle f\ L = f\ L' \rangle$   
**shows**  $\langle False \rangle$

**proof** –  
**obtain**  $M1$  **where**  $M1: \langle M = add\text{-}mset\ L\ M1 \rangle$   
**using** *multi-member-split*[*OF*  $L$ ] **by** *blast*  
**obtain**  $M2$  **where**  $M2: \langle M1 = add\text{-}mset\ L'\ M2 \rangle$   
**using** *multi-member-split*[*of*  $L'\ M1$ ]  $LL'\ L'$  **unfolding**  $M1$  **by** (*auto simp: add-mset-eq-add-mset*)  
**show**  $False$   
**using**  $dist$  **unfolding**  $M1\ M2$  **by** *auto*

**qed**

**lemma** *distinct-mset-mono*:  $\langle D' \subseteq\# D \implies distinct\text{-}mset\ D \implies distinct\text{-}mset\ D' \rangle$   
**by** (*metis distinct-mset-union subset-mset.le-iff-add*)

**lemma** *distinct-mset-mono-strict*:  $\langle D' \subset\# D \implies distinct\text{-}mset\ D \implies distinct\text{-}mset\ D' \rangle$   
**using** *distinct-mset-mono* **by** *auto*

**lemma** *distinct-set-mset-eq-iff*:  
**assumes**  $\langle distinct\text{-}mset\ M \rangle\ \langle distinct\text{-}mset\ N \rangle$   
**shows**  $\langle set\text{-}mset\ M = set\text{-}mset\ N \longleftrightarrow M = N \rangle$   
**using** *assms distinct-mset-set-mset-ident* **by** *fastforce*

**lemma** *distinct-mset-union2*:  
 $\langle distinct\text{-}mset\ (A + B) \implies distinct\text{-}mset\ B \rangle$   
**using** *distinct-mset-union*[*of*  $B\ A$ ]  
**by** (*auto simp: ac-simps*)

**lemma** *distinct-mset-mset-set*:  $\langle distinct\text{-}mset\ (mset\text{-}set\ A) \rangle$   
**unfolding** *distinct-mset-def count-mset-set-if* **by** (*auto simp: not-in-iff*)

**lemma** *distinct-mset-inter-remdups-mset*:  
**assumes**  $dist: \langle distinct\text{-}mset\ A \rangle$   
**shows**  $\langle A \cap\# remdups\text{-}mset\ B = A \cap\# B \rangle$

**proof** –  
**have** [*simp*]:  $\langle A' \cap\# remove1\text{-}mset\ a\ (remdups\text{-}mset\ Aa) = A' \cap\# Aa \rangle$   
**if**  
 $\langle A' \cap\# remdups\text{-}mset\ Aa = A' \cap\# Aa \rangle$  **and**  
 $\langle a \notin\# A' \rangle$  **and**  
 $\langle a \in\# Aa \rangle$   
**for**  $A'\ Aa :: \langle 'a\ multiset \rangle$  **and**  $a$   
**by** (*metis insert-DiffM inter-add-right1 set-mset-remdups-mset that*)

**show** *?thesis*  
**using**  $dist$   
**apply** (*induction*  $A$ )  
**subgoal** **by** *auto*  
**subgoal** **for**  $a\ A'$   
**apply** (*cases*  $\langle a \in\# B \rangle$ )  
**using** *multi-member-split*[*of*  $a\ \langle B \rangle$ ] *multi-member-split*[*of*  $a\ \langle A \rangle$ ]

```

    by (auto simp: mset-set.insert-remove)
  done
qed

```

**lemma** *finite-mset-set-inter*:

```

  ⟨finite A ⟹ finite B ⟹ mset-set (A ∩ B) = mset-set A ∩# mset-set B⟩
  apply (induction A rule: finite-induct)
  subgoal by auto
  subgoal for a A
    apply (cases ⟨a ∈ B⟩; cases ⟨a ∈# mset-set B⟩)
    using multi-member-split[of a ⟨mset-set B⟩]
    by (auto simp: mset-set.insert-remove)
  done

```

**lemma** *removeAll-notin*:  $\langle a \notin \# A \implies \text{removeAll-mset } a \ A = A \rangle$   
 using *count-inI* by force

**lemma** *same-mset-distinct-iff*:

```

  ⟨mset M = mset M' ⟹ distinct M ⟷ distinct M'⟩
  by (auto simp: distinct-mset-mset-distinct[symmetric] simp del: distinct-mset-mset-distinct)

```

## 1.1 More Lists

**lemma** *in-set-conv-iff*:

```

  ⟨x ∈ set (take n xs) ⟷ (∃ i < n. i < length xs ∧ xs ! i = x)⟩
  apply (induction n)
  subgoal by auto
  subgoal for n
    apply (cases ⟨Suc n < length xs⟩)
    subgoal by (auto simp: take-Suc-conv-app-nth less-Suc-eq dest: in-set-takeD)
    subgoal
      apply (cases ⟨n < length xs⟩)
      subgoal
        apply (auto simp: in-set-conv-nth)
        by (rule-tac x=i in exI; auto; fail)+
      subgoal
        apply (auto simp: take-Suc-conv-app-nth dest: in-set-takeD)
        by (rule-tac x=i in exI; auto; fail)+
      done
    done
  done
done

```

**lemma** *in-set-take-conv-nth*:

```

  ⟨x ∈ set (take n xs) ⟷ (∃ m < min n (length xs). xs ! m = x)⟩
  by (metis in-set-conv-nth length-take min.commute min.strict-boundedE nth-take)

```

**lemma** *in-set-remove1D*:

```

  ⟨a ∈ set (remove1 x xs) ⟹ a ∈ set xs⟩
  by (meson notin-set-remove1)

```

## 1.2 Generic Multiset

**lemma** *mset-drop-upto*:  $\langle \text{mset } (\text{drop } a \ N) = \{ \#N!i. i \in \# \text{ mset-set } \{a..<\text{length } N\} \# \} \rangle$

**proof** (induction N arbitrary: a)

```

  case Nil
  then show ?case by simp

```

```

next
case (Cons c N)
have upt: ⟨{0.. $\text{Suc } (\text{length } N)$ } = insert 0 {1.. $\text{Suc } (\text{length } N)$ ⟩
by auto
then have H: ⟨mset-set {0.. $\text{Suc } (\text{length } N)$ } = add-mset 0 (mset-set {1.. $\text{Suc } (\text{length } N)$ ⟩)
unfolding upt by auto
have mset-case-Suc: ⟨{#case x of 0  $\Rightarrow$  c | Suc x  $\Rightarrow$  N ! x . x  $\in$  # mset-set {Suc a.. $\text{Suc } b$  }#} =
{#N ! (x-1) . x  $\in$  # mset-set {Suc a.. $\text{Suc } b$  }#}⟩ for a b
by (rule image-mset-cong) (auto split: nat.splits)
have Suc-Suc: ⟨{Suc a.. $\text{Suc } b$ } = Suc ‘ {a.. $\text{Suc } b$  }⟩ for a b
by auto
then have mset-set-Suc-Suc: ⟨mset-set {Suc a.. $\text{Suc } b$ } = {#Suc n. n  $\in$  # mset-set {a.. $\text{Suc } b$  }#}⟩ for
a b
unfolding Suc-Suc by (subst image-mset-mset-set[symmetric]) auto
have *: ⟨{#N ! (x-Suc 0) . x  $\in$  # mset-set {Suc a.. $\text{Suc } b$  }#} = {#N ! x . x  $\in$  # mset-set {a.. $\text{Suc } b$  }#}⟩
for a b
by (auto simp add: mset-set-Suc-Suc)
show ?case
apply (cases a)
using Cons[of 0] Cons by (auto simp: nth-Cons drop-Cons H mset-case-Suc *)
qed

```

### 1.3 Other

I believe this should be activated by default, as the set becomes much easier...

```

lemma Collect-eq-comp': ⟨ { (x, y). P x y } O { (c, a). c = f a } = { (x, a). P x (f a) }
by auto

```

end

theory WB-Sort

```

imports Refine-Imperative-HOL.IICF HOL-Library.Rewrite Duplicate-Free-Multiset
begin

```

This a complete copy-paste of the IsaFoL version because sharing is too hard.

Every element between  $lo$  and  $hi$  can be chosen as pivot element.

```

definition choose-pivot :: ⟨('b  $\Rightarrow$  'b  $\Rightarrow$  bool)  $\Rightarrow$  ('a  $\Rightarrow$  'b)  $\Rightarrow$  'a list  $\Rightarrow$  nat  $\Rightarrow$  nat  $\Rightarrow$  nat nres⟩ where
⟨choose-pivot - - lo hi = SPEC(λk. k  $\geq$  lo  $\wedge$  k  $\leq$  hi)⟩

```

The element at index  $p$  partitions the subarray  $lo..hi$ . This means that every element

```

definition isPartition-wrt :: ⟨('b  $\Rightarrow$  'b  $\Rightarrow$  bool)  $\Rightarrow$  'b list  $\Rightarrow$  nat  $\Rightarrow$  nat  $\Rightarrow$  nat  $\Rightarrow$  bool⟩ where
⟨isPartition-wrt R xs lo hi p  $\equiv$  ( $\forall$  i. i  $\geq$  lo  $\wedge$  i < p  $\longrightarrow$  R (xs!i) (xs!p))  $\wedge$  ( $\forall$  j. j > p  $\wedge$  j  $\leq$  hi  $\longrightarrow$ 
R (xs!p) (xs!j))⟩

```

lemma isPartition-wrtI:

```

⟨( $\bigwedge$  i.  $\llbracket$  i  $\geq$  lo; i < p  $\rrbracket \Longrightarrow$  R (xs!i) (xs!p))  $\Longrightarrow$  ( $\bigwedge$  j.  $\llbracket$  j > p; j  $\leq$  hi  $\rrbracket \Longrightarrow$  R (xs!p) (xs!j))  $\Longrightarrow$ 
isPartition-wrt R xs lo hi p⟩
by (simp add: isPartition-wrt-def)

```

definition isPartition :: ⟨'a :: order list  $\Rightarrow$  nat  $\Rightarrow$  nat  $\Rightarrow$  nat  $\Rightarrow$  bool⟩ where

```

⟨isPartition xs lo hi p  $\equiv$  isPartition-wrt ( $\leq$ ) xs lo hi p⟩

```

abbreviation isPartition-map :: ⟨('b  $\Rightarrow$  'b  $\Rightarrow$  bool)  $\Rightarrow$  ('a  $\Rightarrow$  'b)  $\Rightarrow$  'a list  $\Rightarrow$  nat  $\Rightarrow$  nat  $\Rightarrow$  nat  $\Rightarrow$  bool⟩  
where

$\langle \text{isPartition-map } R \ h \ xs \ i \ j \ k \equiv \text{isPartition-wrt } (\lambda a \ b. R \ (h \ a) \ (h \ b)) \ xs \ i \ j \ k \rangle$

**lemma** *isPartition-map-def'*:

$\langle lo \leq p \implies p \leq hi \implies hi < \text{length } xs \implies \text{isPartition-map } R \ h \ xs \ lo \ hi \ p = \text{isPartition-wrt } R \ (\text{map } h \ xs) \ lo \ hi \ p \rangle$

**by** (*auto simp add: isPartition-wrt-def conjI*)

Example: 6 is the pivot element (with index 4);  $7::'a$  is equal to the  $\text{length } xs - 1$ .

**lemma**  $\langle \text{isPartition } [0,5,3,4,6,9,8,10::\text{nat}] \ 0 \ 7 \ 4 \rangle$

**by** (*auto simp add: isPartition-def isPartition-wrt-def nth-Cons'*)

**definition** *sublist* ::  $\langle 'a \ \text{list} \Rightarrow \text{nat} \Rightarrow \text{nat} \Rightarrow 'a \ \text{list} \rangle$  **where**

$\langle \text{sublist } xs \ i \ j \equiv \text{take } (\text{Suc } j - i) \ (\text{drop } i \ xs) \rangle$

**lemma** *take-Suc0*:

$l \neq [] \implies \text{take } (\text{Suc } 0) \ l = [!l0]$

$0 < \text{length } l \implies \text{take } (\text{Suc } 0) \ l = [!l0]$

$\text{Suc } n \leq \text{length } l \implies \text{take } (\text{Suc } 0) \ l = [!l0]$

**by** (*cases l, auto*)<sup>+</sup>

**lemma** *sublist-single*:  $\langle i < \text{length } xs \implies \text{sublist } xs \ i \ i = [xs!i] \rangle$

**by** (*cases xs*) (*auto simp add: sublist-def take-Suc0*)

**lemma** *insert-eq*:  $\langle \text{insert } a \ b = b \cup \{a\} \rangle$

**by** *auto*

**lemma** *sublist-nth*:  $\langle [lo \leq hi; hi < \text{length } xs; k+lo \leq hi] \implies (\text{sublist } xs \ lo \ hi)!k = xs!(lo+k) \rangle$

**by** (*simp add: sublist-def*)

**lemma** *sublist-length*:  $\langle [i \leq j; j < \text{length } xs] \implies \text{length } (\text{sublist } xs \ i \ j) = 1 + j - i \rangle$

**by** (*simp add: sublist-def*)

**lemma** *sublist-not-empty*:  $\langle [i \leq j; j < \text{length } xs; xs \neq []] \implies \text{sublist } xs \ i \ j \neq [] \rangle$

**apply** *simp*

**apply** (*rewrite List.length-greater-0-conv[symmetric]*)

**apply** (*rewrite sublist-length*)

**by** *auto*

**lemma** *sublist-app*:  $\langle [i1 \leq i2; i2 \leq i3] \implies \text{sublist } xs \ i1 \ i2 @ \text{sublist } xs \ (\text{Suc } i2) \ i3 = \text{sublist } xs \ i1 \ i3 \rangle$

**unfolding** *sublist-def*

**by** (*smt Suc-eq-plus1-left Suc-le-mono append.assoc le-SucI le-add-diff-inverse le-trans same-append-eq take-add*)

**definition** *sorted-sublist-wrt* ::  $\langle ('b \Rightarrow 'b \Rightarrow \text{bool}) \Rightarrow 'b \ \text{list} \Rightarrow \text{nat} \Rightarrow \text{nat} \Rightarrow \text{bool} \rangle$  **where**

$\langle \text{sorted-sublist-wrt } R \ xs \ lo \ hi = \text{sorted-wrt } R \ (\text{sublist } xs \ lo \ hi) \rangle$

**definition** *sorted-sublist* ::  $\langle 'a :: \text{linorder} \ \text{list} \Rightarrow \text{nat} \Rightarrow \text{nat} \Rightarrow \text{bool} \rangle$  **where**

$\langle \text{sorted-sublist } xs \ lo \ hi = \text{sorted-sublist-wrt } (\leq) \ xs \ lo \ hi \rangle$

**abbreviation** *sorted-sublist-map* ::  $\langle ('b \Rightarrow 'b \Rightarrow \text{bool}) \Rightarrow ('a \Rightarrow 'b) \Rightarrow 'a \ \text{list} \Rightarrow \text{nat} \Rightarrow \text{nat} \Rightarrow \text{bool} \rangle$

where

$\langle \text{sorted-sublist-map } R \ h \ xs \ lo \ hi \equiv \text{sorted-sublist-wrt } (\lambda a \ b. \ R \ (h \ a) \ (h \ b)) \ xs \ lo \ hi \rangle$

**lemma** *sorted-sublist-map-def'*:

$\langle lo < \text{length } xs \implies \text{sorted-sublist-map } R \ h \ xs \ lo \ hi \equiv \text{sorted-sublist-wrt } R \ (\text{map } h \ xs) \ lo \ hi \rangle$

**apply** (*simp add: sorted-sublist-wrt-def*)

**by** (*simp add: drop-map sorted-wrt-map sublist-def take-map*)

**lemma** *sorted-sublist-wrt-refl*:  $\langle i < \text{length } xs \implies \text{sorted-sublist-wrt } R \ xs \ i \ i \rangle$

**by** (*auto simp add: sorted-sublist-wrt-def sublist-single*)

**lemma** *sorted-sublist-refl*:  $\langle i < \text{length } xs \implies \text{sorted-sublist } xs \ i \ i \rangle$

**by** (*auto simp add: sorted-sublist-def sorted-sublist-wrt-refl*)

**lemma** *sublist-map*:  $\langle \text{sublist } (\text{map } f \ xs) \ i \ j = \text{map } f \ (\text{sublist } xs \ i \ j) \rangle$

**apply** (*auto simp add: sublist-def*)

**by** (*simp add: drop-map take-map*)

**lemma** *take-set*:  $\langle j \leq \text{length } xs \implies x \in \text{set } (\text{take } j \ xs) \equiv (\exists \ k. \ k < j \wedge xs!k = x) \rangle$

**apply** (*induction xs*)

**apply** *simp*

**by** (*meson in-set-conv-iff less-le-trans*)

**lemma** *drop-set*:  $\langle j \leq \text{length } xs \implies x \in \text{set } (\text{drop } j \ xs) \equiv (\exists \ k. \ j \leq k \wedge k < \text{length } xs \wedge xs!k = x) \rangle$

**by** (*smt Misc.in-set-drop-conv-nth*)

**lemma** *sublist-el*:  $\langle i \leq j \implies j < \text{length } xs \implies x \in \text{set } (\text{sublist } xs \ i \ j) \equiv (\exists \ k. \ k < \text{Suc } j - i \wedge xs!(i+k) = x) \rangle$

**by** (*auto simp add: take-set sublist-def*)

**lemma** *sublist-el'*:  $\langle i \leq j \implies j < \text{length } xs \implies x \in \text{set } (\text{sublist } xs \ i \ j) \equiv (\exists \ k. \ i \leq k \wedge k \leq j \wedge xs!k = x) \rangle$

**apply** (*auto simp add: sublist-el*)

**by** (*smt Groups.add-ac(2) le-add1 le-add-diff-inverse less-Suc-eq less-diff-conv nat-less-le order-refl*)

**lemma** *sublist-lt*:  $\langle hi < lo \implies \text{sublist } xs \ lo \ hi = [] \rangle$

**by** (*auto simp add: sublist-def*)

**lemma** *nat-le-eq-or-lt*:  $\langle (a :: \text{nat}) \leq b = (a = b \vee a < b) \rangle$

**by** *linarith*

**lemma** *sorted-sublist-wrt-le*:  $\langle hi \leq lo \implies hi < \text{length } xs \implies \text{sorted-sublist-wrt } R \ xs \ lo \ hi \rangle$

**apply** (*auto simp add: nat-le-eq-or-lt*)

**unfolding** *sorted-sublist-wrt-def*

**subgoal apply** (*rewrite sublist-single*) **by** *auto*

**subgoal by** (*auto simp add: sublist-lt*)

**done**

Elements in a sorted sublists are actually sorted

**lemma** *sorted-sublist-wrt-nth-le*:

**assumes**  $\langle \text{sorted-sublist-wrt } R \ xs \ lo \ hi \rangle$  **and**  $\langle lo \leq hi \rangle$  **and**  $\langle hi < \text{length } xs \rangle$  **and**

$\langle lo \leq i \rangle$  **and**  $\langle i < j \rangle$  **and**  $\langle j \leq hi \rangle$

**shows**  $\langle R \ (xs!i) \ (xs!j) \rangle$

**proof** –

```

have A:  $\langle lo < \text{length } xs \rangle$  using  $\text{assms}(2)$   $\text{assms}(3)$  by  $\text{linarith}$ 
obtain  $i'$  where  $I: \langle i = lo + i' \rangle$  using  $\text{assms}(4)$   $\text{le-Suc-ex}$  by  $\text{auto}$ 
obtain  $j'$  where  $J: \langle j = lo + j' \rangle$  by  $(\text{meson } \text{assms}(4) \text{ assms}(5) \text{ dual-order.trans le-iff-add less-imp-le-nat})$ 
show ?thesis
  using  $\text{assms}(1)$  apply  $(\text{simp add: sorted-sublist-wrt-def } I \ J)$ 
  apply  $(\text{rewrite sublist-nth[symmetric, where } k=i', \text{ where } lo=lo, \text{ where } hi=hi])$ 
  using  $\text{assms}$  apply  $\text{auto}$  subgoal using  $I$  by  $\text{linarith}$ 
  apply  $(\text{rewrite sublist-nth[symmetric, where } k=j', \text{ where } lo=lo, \text{ where } hi=hi])$ 
  using  $\text{assms}$  apply  $\text{auto}$  subgoal using  $J$  by  $\text{linarith}$ 
  apply  $(\text{rule sorted-wrt-nth-less})$ 
  apply  $\text{auto}$ 
  subgoal using  $I \ J$   $\text{nat-add-left-cancel-less}$  by  $\text{blast}$ 
  subgoal apply  $(\text{simp add: sublist-length})$  using  $J$  by  $\text{linarith}$ 
done
qed

```

We can make the assumption  $i < j$  weaker if we have a reflexive relation.

```

lemma sorted-sublist-wrt-nth-le':
  assumes  $\text{ref}: \langle \bigwedge x. R \ x \ x \rangle$ 
  and  $\langle \text{sorted-sublist-wrt } R \ xs \ lo \ hi \rangle$  and  $\langle lo \leq hi \rangle$  and  $\langle hi < \text{length } xs \rangle$ 
  and  $\langle lo \leq i \rangle$  and  $\langle i \leq j \rangle$  and  $\langle j \leq hi \rangle$ 
  shows  $\langle R \ (xs!i) \ (xs!j) \rangle$ 
proof -
  have  $\langle i < j \vee i = j \rangle$  using  $\langle i \leq j \rangle$  by  $\text{linarith}$ 
  then consider (a)  $\langle i < j \rangle$  |
    (b)  $\langle i = j \rangle$  by  $\text{blast}$ 
  then show ?thesis
  proof cases
    case a
    then show ?thesis
      using  $\text{assms}(2-5,7)$   $\text{sorted-sublist-wrt-nth-le}$  by  $\text{blast}$ 
  next
    case b
    then show ?thesis
      by  $(\text{simp add: ref})$ 
  qed
qed

```

```

lemma sorted-sublist-le:  $\langle hi \leq lo \implies hi < \text{length } xs \implies \text{sorted-sublist } xs \ lo \ hi \rangle$ 
  by  $(\text{auto simp add: sorted-sublist-def sorted-sublist-wrt-le})$ 

```

```

lemma sorted-sublist-map-le:  $\langle hi \leq lo \implies hi < \text{length } xs \implies \text{sorted-sublist-map } R \ h \ xs \ lo \ hi \rangle$ 
  by  $(\text{auto simp add: sorted-sublist-wrt-le})$ 

```

```

lemma sublist-cons:  $\langle lo < hi \implies hi < \text{length } xs \implies \text{sublist } xs \ lo \ hi = xs!lo \ \# \ \text{sublist } xs \ (\text{Suc } lo) \ hi \rangle$ 
  apply  $(\text{simp add: sublist-def})$ 
  by  $(\text{metis Cons-nth-drop-Suc Suc-diff-le le-trans less-imp-le-nat not-le take-Suc-Cons})$ 

```

```

lemma sorted-sublist-wrt-cons':

```



```

  ⟨sorted-sublist-wrt R xs (lo+1) hi ⟹ lo ≤ hi ⟹ hi < length xs ⟹ (∀ j. lo < j ∧ j ≤ hi ⟹ R (xs!lo)
  (xs!j)) ⟹ sorted-sublist-wrt R xs lo hi⟩
  apply (simp add: sorted-sublist-wrt-def)
  apply (auto simp add: nat-le-eq-or-lt)
  subgoal by (simp add: sublist-single)
  apply (auto simp add: sublist-cons sublist-el)
  by (metis Suc-lessI ab-semigroup-add-class.add commute less-add-Suc1 less-diff-conv)

```

**lemma** *sorted-sublist-wrt-cons*:

```

  assumes trans: ⟨(∧ x y z. [R x y; R y z] ⟹ R x z)⟩ and
  ⟨sorted-sublist-wrt R xs (lo+1) hi⟩ and
  ⟨lo ≤ hi⟩ and ⟨hi < length xs⟩ and ⟨R (xs!lo) (xs!(lo+1))⟩
  shows ⟨sorted-sublist-wrt R xs lo hi⟩

```

**proof** –

**show** ?thesis

**apply** (rule sorted-sublist-wrt-cons') **using** assms **apply** auto

**subgoal** premises assms' **for** j

**proof** –

**have** A: ⟨j=lo+1 ∨ j>lo+1⟩ **using** assms'(5) **by** linarith

**show** ?thesis

**using** A **proof**

**assume** A: ⟨j=lo+1⟩ **show** ?thesis

**by** (simp add: A assms')

**next**

**assume** A: ⟨j>lo+1⟩ **show** ?thesis

**apply** (rule trans)

**apply** (rule assms(5))

**apply** (rule sorted-sublist-wrt-nth-le[OF assms(2), **where** i=⟨lo+1⟩, **where** j=j])

**subgoal** **using** A assms'(6) **by** linarith

**subgoal** **using** assms'(3) less-imp-diff-less **by** blast

**subgoal** **using** assms'(5) **by** auto

**subgoal** **using** A **by** linarith

**subgoal** **by** (simp add: assms'(6))

**done**

**qed**

**qed**

**done**

**qed**

**lemma** *sorted-sublist-map-cons*:

```

  ⟨(∧ x y z. [R (h x) (h y); R (h y) (h z)] ⟹ R (h x) (h z)) ⟹
  sorted-sublist-map R h xs (lo+1) hi ⟹ lo ≤ hi ⟹ hi < length xs ⟹ R (h (xs!lo)) (h (xs!(lo+1)))
  ⟹ sorted-sublist-map R h xs lo hi⟩
  by (blast intro: sorted-sublist-wrt-cons)

```

**lemma** *sublist-snoc*: ⟨lo < hi ⟹ hi < length xs ⟹ sublist xs lo hi = sublist xs lo (hi-1) @ [xs!hi]⟩

**apply** (simp add: sublist-def)

**proof** –

**assume** a1: lo < hi

**assume** hi < length xs

**then have** take lo xs @ take (Suc hi - lo) (drop lo xs) = (take lo xs @ take (hi - lo) (drop lo xs)) @

[xs ! hi]

**using** a1 **by** (metis (no-types) Suc-diff-le add-Suc-right hd-drop-conv-nth le-add-diff-inverse less-imp-le-nat take-add take-hd-drop)

**then show**  $\text{take } (\text{Suc } hi - lo) (\text{drop } lo \ xs) = \text{take } (hi - lo) (\text{drop } lo \ xs) @ [xs ! hi]$   
**by** *simp*  
**qed**

**lemma** *sorted-sublist-wrt-snoc'*:

$\langle \text{sorted-sublist-wrt } R \ xs \ lo \ (hi-1) \implies lo \leq hi \implies hi < \text{length } xs \implies (\forall j. lo \leq j \wedge j < hi \longrightarrow R \ (xs!j) \ (xs!hi)) \implies \text{sorted-sublist-wrt } R \ xs \ lo \ hi \rangle$   
**apply** (*simp add: sorted-sublist-wrt-def*)  
**apply** (*auto simp add: nat-le-eq-or-lt*)  
**subgoal by** (*simp add: sublist-single*)  
**apply** (*auto simp add: sublist-snoc sublist-el sorted-wrt-append*)  
**by** (*metis ab-semigroup-add-class.add.commute leI less-diff-conv nat-le-eq-or-lt not-add-less1*)

**lemma** *sorted-sublist-wrt-snoc*:

**assumes** *trans*:  $\langle \bigwedge x \ y \ z. \llbracket R \ x \ y; R \ y \ z \rrbracket \implies R \ x \ z \rangle$  **and**  
 $\langle \text{sorted-sublist-wrt } R \ xs \ lo \ (hi-1) \rangle$  **and**  
 $\langle lo \leq hi \rangle$  **and**  $\langle hi < \text{length } xs \rangle$  **and**  $\langle (R \ (xs!(hi-1)) \ (xs!hi)) \rangle$   
**shows**  $\langle \text{sorted-sublist-wrt } R \ xs \ lo \ hi \rangle$

**proof** –

**show** *?thesis*

**apply** (*rule sorted-sublist-wrt-snoc'*) **using** *assms* **apply** *auto*

**subgoal premises** *assms'* **for** *j*

**proof** –

**have** *A*:  $\langle j=hi-1 \vee j < hi-1 \rangle$  **using** *assms'(6)* **by** *linarith*

**show** *?thesis*

**using** *A* **proof**

**assume** *A*:  $\langle j=hi-1 \rangle$  **show** *?thesis*

**by** (*simp add: A assms'*)

**next**

**assume** *A*:  $\langle j < hi-1 \rangle$  **show** *?thesis*

**apply** (*rule trans*)

**apply** (*rule sorted-sublist-wrt-nth-le[OF assms(2), where i=j, where j=hi-1]*)

**prefer** 6

**apply** (*rule assms(5)*)

**apply** *auto*

**subgoal using** *A assms'(5)* **by** *linarith*

**subgoal using** *assms'(3)* *less-imp-diff-less* **by** *blast*

**subgoal using** *assms'(5)* **by** *auto*

**subgoal using** *A* **by** *linarith*

**done**

**qed**

**qed**

**done**

**qed**

**lemma** *sublist-split*:  $\langle lo \leq hi \implies lo < p \implies p < hi \implies hi < \text{length } xs \implies \text{sublist } xs \ lo \ p @ \text{sublist } xs \ (p+1) \ hi = \text{sublist } xs \ lo \ hi \rangle$   
**by** (*simp add: sublist-app*)

**lemma** *sublist-split-part*:  $\langle lo \leq hi \implies lo < p \implies p < hi \implies hi < \text{length } xs \implies \text{sublist } xs \ lo \ (p-1) @ xs!p \# \text{sublist } xs \ (p+1) \ hi = \text{sublist } xs \ lo \ hi \rangle$

**by** (*auto simp add: sublist-split[symmetric] sublist-snoc[where xs=xs,where lo=lo,where hi=p]*)

A property for partitions (we always assume that *R* is transitive.

**lemma** *isPartition-wrt-trans:*

$\langle (\bigwedge x y z. \llbracket R x y; R y z \rrbracket \implies R x z) \implies$   
*isPartition-wrt*  $R$   $xs$   $lo$   $hi$   $p \implies$   
 $(\forall i j. lo \leq i \wedge i < p \wedge p < j \wedge j \leq hi \longrightarrow R (xs!i) (xs!j)) \rangle$   
**by** (*auto simp add: isPartition-wrt-def*)

**lemma** *isPartition-map-trans:*

$\langle (\bigwedge x y z. \llbracket R (h x) (h y); R (h y) (h z) \rrbracket \implies R (h x) (h z)) \implies$   
 $hi < length\ xs \implies$   
*isPartition-map*  $R$   $h$   $xs$   $lo$   $hi$   $p \implies$   
 $(\forall i j. lo \leq i \wedge i < p \wedge p < j \wedge j \leq hi \longrightarrow R (h (xs!i)) (h (xs!j))) \rangle$   
**by** (*auto simp add: isPartition-wrt-def*)

**lemma** *merge-sorted-wrt-partitions-between':*

$\langle lo \leq hi \implies lo < p \implies p < hi \implies hi < length\ xs \implies$   
*isPartition-wrt*  $R$   $xs$   $lo$   $hi$   $p \implies$   
*sorted-sublist-wrt*  $R$   $xs$   $lo$   $(p-1) \implies$  *sorted-sublist-wrt*  $R$   $xs$   $(p+1)$   $hi \implies$   
 $(\forall i j. lo \leq i \wedge i < p \wedge p < j \wedge j \leq hi \longrightarrow R (xs!i) (xs!j)) \implies$   
*sorted-sublist-wrt*  $R$   $xs$   $lo$   $hi \rangle$

**apply** (*auto simp add: isPartition-def isPartition-wrt-def sorted-sublist-def sorted-sublist-wrt-def sublist-map*)  
**apply** (*simp add: sublist-split-part[symmetric]*)  
**apply** (*auto simp add: List.sorted-wrt-append*)  
**subgoal by** (*auto simp add: sublist-el*)  
**subgoal by** (*auto simp add: sublist-el*)  
**subgoal by** (*auto simp add: sublist-el'*)  
**done**

**lemma** *merge-sorted-wrt-partitions-between:*

$\langle (\bigwedge x y z. \llbracket R x y; R y z \rrbracket \implies R x z) \implies$   
*isPartition-wrt*  $R$   $xs$   $lo$   $hi$   $p \implies$   
*sorted-sublist-wrt*  $R$   $xs$   $lo$   $(p-1) \implies$  *sorted-sublist-wrt*  $R$   $xs$   $(p+1)$   $hi \implies$   
 $lo \leq hi \implies hi < length\ xs \implies lo < p \implies p < hi \implies hi < length\ xs \implies$   
*sorted-sublist-wrt*  $R$   $xs$   $lo$   $hi \rangle$   
**by** (*simp add: merge-sorted-wrt-partitions-between' isPartition-wrt-trans*)

The main theorem to merge sorted lists

**lemma** *merge-sorted-wrt-partitions:*

*isPartition-wrt*  $R$   $xs$   $lo$   $hi$   $p \implies$   
*sorted-sublist-wrt*  $R$   $xs$   $lo$   $(p - Suc\ 0) \implies$  *sorted-sublist-wrt*  $R$   $xs$   $(Suc\ p)$   $hi \implies$   
 $lo \leq hi \implies lo \leq p \implies p \leq hi \implies hi < length\ xs \implies$   
 $(\forall i j. lo \leq i \wedge i < p \wedge p < j \wedge j \leq hi \longrightarrow R (xs!i) (xs!j)) \implies$   
*sorted-sublist-wrt*  $R$   $xs$   $lo$   $hi \rangle$

**subgoal premises** *assms*

**proof** —

**have**  $C$ :  $\langle lo=p \wedge p=hi \vee lo=p \wedge p < hi \vee lo < p \wedge p=hi \vee lo < p \wedge p < hi \rangle$

**using** *assms* **by** *linarith*

**show** *?thesis*

**using**  $C$  **apply** *auto*

**subgoal** —  $lo=p=hi$

**apply** (*rule sorted-sublist-wrt-refl*)

**using** *assms* **by** *auto*

**subgoal** —  $lo=p < hi$

**using** *assms* **by** (*simp add: isPartition-def isPartition-wrt-def sorted-sublist-wrt-cons'*)

**subgoal** —  $lo < p=hi$

```

    using assms by (simp add: isPartition-def isPartition-wrt-def sorted-sublist-wrt-snoc')
  subgoal — lo < p < hi
    using assms
    apply (rewrite merge-sorted-wrt-partitions-between'[where p=p])
    by auto
  done
qed
done

```

**theorem** *merge-sorted-map-partitions*:

```

⟨(⋀ x y z. [R (h x) (h y); R (h y) (h z)] ⇒ R (h x) (h z)) ⇒
  isPartition-map R h xs lo hi p ⇒
  sorted-sublist-map R h xs lo (p - Suc 0) ⇒ sorted-sublist-map R h xs (Suc p) hi ⇒
  lo ≤ hi ⇒ lo ≤ p ⇒ p ≤ hi ⇒ hi < length xs ⇒
  sorted-sublist-map R h xs lo hi⟩
apply (rule merge-sorted-wrt-partitions) apply auto
by (simp add: merge-sorted-wrt-partitions isPartition-map-trans)

```

**lemma** *partition-wrt-extend*:

```

⟨isPartition-wrt R xs lo' hi' p ⇒
  hi < length xs ⇒
  lo ≤ lo' ⇒ lo' ≤ hi ⇒ hi' ≤ hi ⇒
  lo' ≤ p ⇒ p ≤ hi' ⇒
  (⋀ i. lo ≤ i ⇒ i < lo' ⇒ R (xs!i) (xs!p)) ⇒
  (⋀ j. hi' < j ⇒ j ≤ hi ⇒ R (xs!p) (xs!j)) ⇒
  isPartition-wrt R xs lo hi p⟩
unfolding isPartition-wrt-def
apply auto
subgoal by (meson not-le)
subgoal by (metis nat-le-eq-or-lt nat-le-linear)
done

```

**lemma** *partition-map-extend*:

```

⟨isPartition-map R h xs lo' hi' p ⇒
  hi < length xs ⇒
  lo ≤ lo' ⇒ lo' ≤ hi ⇒ hi' ≤ hi ⇒
  lo' ≤ p ⇒ p ≤ hi' ⇒
  (⋀ i. lo ≤ i ⇒ i < lo' ⇒ R (h (xs!i)) (h (xs!p))) ⇒
  (⋀ j. hi' < j ⇒ j ≤ hi ⇒ R (h (xs!p)) (h (xs!j))) ⇒
  isPartition-map R h xs lo hi p⟩
by (auto simp add: partition-wrt-extend)

```

**lemma** *isPartition-empty*:

```

⟨(⋀ j. [lo < j; j ≤ hi] ⇒ R (xs ! lo) (xs ! j)) ⇒
  isPartition-wrt R xs lo hi lo⟩
by (auto simp add: isPartition-wrt-def)

```

**lemma** *take-ext*:

```

⟨(∀ i < k. xs ! i = xs' ! i) ⇒
  k < length xs ⇒ k < length xs' ⇒
  take k xs' = take k xs⟩

```

by (simp add: nth-take-lemma)

**lemma** drop-ext':

$\langle (\forall i. i \geq k \wedge i < \text{length } xs \longrightarrow xs!i = xs!i) \implies$   
 $0 < k \implies xs \neq [] \implies \text{— These corner cases will be dealt with in the next lemma}$   
 $\text{length } xs' = \text{length } xs \implies$   
 $\text{drop } k \text{ } xs' = \text{drop } k \text{ } xs \rangle$   
**apply** (rewrite in  $\langle \text{drop } - \sqsupset = - \rangle \text{List.rev-rev-ident[symmetric]}$ )  
**apply** (rewrite in  $\langle - = \text{drop } - \sqsupset \rangle \text{List.rev-rev-ident[symmetric]}$ )  
**apply** (rewrite in  $\langle \sqsupset = - \rangle \text{List.drop-rev}$ )  
**apply** (rewrite in  $\langle - = \sqsupset \rangle \text{List.drop-rev}$ )  
**apply** simp  
**apply** (rule take-ext)  
**by** (auto simp add: nth-rev)

**lemma** drop-ext:

$\langle (\forall i. i \geq k \wedge i < \text{length } xs \longrightarrow xs!i = xs!i) \implies$   
 $\text{length } xs' = \text{length } xs \implies$   
 $\text{drop } k \text{ } xs' = \text{drop } k \text{ } xs \rangle$   
**apply** (cases xs)  
**apply** auto  
**apply** (cases k)  
**subgoal** by (simp add: nth-equalityI)  
**subgoal** **apply** (rule drop-ext') **by** auto  
**done**

**lemma** sublist-ext':

$\langle (\forall i. lo \leq i \wedge i \leq hi \longrightarrow xs!i = xs!i) \implies$   
 $\text{length } xs' = \text{length } xs \implies$   
 $lo \leq hi \implies \text{Suc } hi < \text{length } xs \implies$   
 $\text{sublist } xs' \text{ } lo \text{ } hi = \text{sublist } xs \text{ } lo \text{ } hi \rangle$   
**apply** (simp add: sublist-def)  
**apply** (rule take-ext)  
**by** auto

**lemma** lt-Suc:  $\langle (a < b) = (\text{Suc } a = b \vee \text{Suc } a < b) \rangle$

**by** auto

**lemma** sublist-until-end-eq-drop:  $\langle \text{Suc } hi = \text{length } xs \implies \text{sublist } xs \text{ } lo \text{ } hi = \text{drop } lo \text{ } xs \rangle$

**by** (simp add: sublist-def)

**lemma** sublist-ext:

$\langle (\forall i. lo \leq i \wedge i \leq hi \longrightarrow xs!i = xs!i) \implies$   
 $\text{length } xs' = \text{length } xs \implies$   
 $lo \leq hi \implies hi < \text{length } xs \implies$   
 $\text{sublist } xs' \text{ } lo \text{ } hi = \text{sublist } xs \text{ } lo \text{ } hi \rangle$   
**apply** (auto simp add: lt-Suc[where a=hi])  
**subgoal** **by** (auto simp add: sublist-until-end-eq-drop drop-ext)  
**subgoal** **by** (auto simp add: sublist-ext')  
**done**

**lemma** sorted-wrt-lower-sublist-still-sorted:

**assumes**  $\langle \text{sorted-sublist-wrt } R \text{ } xs \text{ } lo \text{ } (lo' - \text{Suc } 0) \rangle$  **and**

$\langle lo \leq lo' \rangle$  and  $\langle lo' < \text{length } xs \rangle$  and  
 $\langle (\forall i. lo \leq i \wedge i < lo' \longrightarrow xs!i = xs!i) \rangle$  and  $\langle \text{length } xs' = \text{length } xs \rangle$   
**shows**  $\langle \text{sorted-sublist-wrt } R \text{ } xs' \text{ } lo \text{ } (lo' - \text{Suc } 0) \rangle$   
**proof** –  
**have**  $l: \langle lo < lo' - 1 \vee lo \geq lo' - 1 \rangle$   
**by** *linarith*  
**show** *?thesis*  
**using**  $l$  **apply** *auto*  
**subgoal** —  $lo < lo' - 1$   
**apply** (*auto simp add: sorted-sublist-wrt-def*)  
**apply** (*rewrite sublist-ext[where xs=xs]*)  
**using** *assms* **by** (*auto simp add: sorted-sublist-wrt-def*)  
**subgoal** —  $lo \geq lo' - 1$   
**using** *assms* **by** (*auto simp add: sorted-sublist-wrt-le*)  
**done**  
**qed**

**lemma** *sorted-map-lower-sublist-still-sorted*:  
**assumes**  $\langle \text{sorted-sublist-map } R \text{ } h \text{ } xs \text{ } lo \text{ } (lo' - \text{Suc } 0) \rangle$  and  
 $\langle lo \leq lo' \rangle$  and  $\langle lo' < \text{length } xs \rangle$  and  
 $\langle (\forall i. lo \leq i \wedge i < lo' \longrightarrow xs!i = xs!i) \rangle$  and  $\langle \text{length } xs' = \text{length } xs \rangle$   
**shows**  $\langle \text{sorted-sublist-map } R \text{ } h \text{ } xs' \text{ } lo \text{ } (lo' - \text{Suc } 0) \rangle$   
**using** *assms* **by** (*rule sorted-wrt-lower-sublist-still-sorted*)

**lemma** *sorted-wrt-upper-sublist-still-sorted*:  
**assumes**  $\langle \text{sorted-sublist-wrt } R \text{ } xs \text{ } (hi' + 1) \text{ } hi \rangle$  and  
 $\langle lo \leq lo' \rangle$  and  $\langle hi < \text{length } xs \rangle$  and  
 $\langle \forall j. hi' < j \wedge j \leq hi \longrightarrow xs!j = xs!j \rangle$  and  $\langle \text{length } xs' = \text{length } xs \rangle$   
**shows**  $\langle \text{sorted-sublist-wrt } R \text{ } xs' \text{ } (hi' + 1) \text{ } hi \rangle$   
**proof** –  
**have**  $l: \langle hi' + 1 < hi \vee hi' + 1 \geq hi \rangle$   
**by** *linarith*  
**show** *?thesis*  
**using**  $l$  **apply** *auto*  
**subgoal** —  $hi' + 1 < h$   
**apply** (*auto simp add: sorted-sublist-wrt-def*)  
**apply** (*rewrite sublist-ext[where xs=xs]*)  
**using** *assms* **by** (*auto simp add: sorted-sublist-wrt-def*)  
**subgoal** —  $hi \leq hi' + 1$   
**using** *assms* **by** (*auto simp add: sorted-sublist-wrt-le*)  
**done**  
**qed**

**lemma** *sorted-map-upper-sublist-still-sorted*:  
**assumes**  $\langle \text{sorted-sublist-map } R \text{ } h \text{ } xs \text{ } (hi' + 1) \text{ } hi \rangle$  and  
 $\langle lo \leq lo' \rangle$  and  $\langle hi < \text{length } xs \rangle$  and  
 $\langle \forall j. hi' < j \wedge j \leq hi \longrightarrow xs!j = xs!j \rangle$  and  $\langle \text{length } xs' = \text{length } xs \rangle$   
**shows**  $\langle \text{sorted-sublist-map } R \text{ } h \text{ } xs' \text{ } (hi' + 1) \text{ } hi \rangle$   
**using** *assms* **by** (*rule sorted-wrt-upper-sublist-still-sorted*)

The specification of the partition function

**definition** *partition-spec* ::  $\langle ('b \Rightarrow 'b \Rightarrow \text{bool}) \Rightarrow ('a \Rightarrow 'b) \Rightarrow 'a \text{ list} \Rightarrow \text{nat} \Rightarrow \text{nat} \Rightarrow 'a \text{ list} \Rightarrow \text{nat} \Rightarrow \text{bool} \rangle$  **where**  
 $\langle \text{partition-spec } R \text{ } h \text{ } xs \text{ } lo \text{ } hi \text{ } xs' \text{ } p \equiv$   
 $\text{mset } xs' = \text{mset } xs \wedge \text{ — The list is a permutation}$

*isPartition-map*  $R\ h\ xs'\ lo\ hi\ p \wedge$  — We have a valid partition on the resulting list  
 $lo \leq p \wedge p \leq hi \wedge$  — The partition index is in bounds  
 $(\forall\ i.\ i < lo \longrightarrow xs'!i = xs!i) \wedge (\forall\ i.\ hi < i \wedge i < length\ xs' \longrightarrow xs'!i = xs!i)$  — Everything else is unchanged.

**lemma** *in-set-take-conv-nth*:

$\langle x \in set\ (take\ n\ xs) \longleftrightarrow (\exists\ m < min\ n\ (length\ xs). xs\ !\ m = x) \rangle$   
**by** (*metis in-set-conv-nth length-take min.commute min.strict-boundedE nth-take*)

**lemma** *mset-drop-upto*:  $\langle mset\ (drop\ a\ N) = \{\#N!i.\ i \in \# \ mset\ set\ \{a..<length\ N\}\#\} \rangle$

**proof** (*induction N arbitrary: a*)

**case** *Nil*  
**then show** *?case by simp*

**next**

**case** (*Cons c N*)

**have** *upt*:  $\langle \{0..<Suc\ (length\ N)\} = insert\ 0\ \{1..<Suc\ (length\ N)\} \rangle$

**by** *auto*

**then have** *H*:  $\langle mset\ set\ \{0..<Suc\ (length\ N)\} = add\ mset\ 0\ (mset\ set\ \{1..<Suc\ (length\ N)\}) \rangle$

**unfolding** *upt by auto*

**have** *mset-case-Suc*:  $\langle \{\#case\ x\ of\ 0 \Rightarrow c \mid Suc\ x \Rightarrow N\ !\ x.\ x \in \# \ mset\ set\ \{Suc\ a..<Suc\ b\}\#\} = \{\#N\ !\ (x-1) . x \in \# \ mset\ set\ \{Suc\ a..<Suc\ b\}\#\} \rangle$  **for** *a b*

**by** (*rule image-mset-cong*) (*auto split: nat.splits*)

**have** *Suc-Suc*:  $\langle \{Suc\ a..<Suc\ b\} = Suc\ ' \{a..<b\} \rangle$  **for** *a b*

**by** *auto*

**then have** *mset-set-Suc-Suc*:  $\langle mset\ set\ \{Suc\ a..<Suc\ b\} = \{\#Suc\ n.\ n \in \# \ mset\ set\ \{a..<b\}\#\} \rangle$  **for** *a b*

**unfolding** *Suc-Suc by* (*subst image-mset-mset-set[symmetric]*) *auto*

**have** *\**:  $\langle \{\#N\ !\ (x-Suc\ 0) . x \in \# \ mset\ set\ \{Suc\ a..<Suc\ b\}\#\} = \{\#N\ !\ x . x \in \# \ mset\ set\ \{a..<b\}\#\} \rangle$  **for** *a b*

**by** (*auto simp add: mset-set-Suc-Suc multiset.map-comp comp-def*)

**show** *?case*

**apply** (*cases a*)

**using** *Cons[of 0] Cons by* (*auto simp: nth-Cons drop-Cons H mset-case-Suc \**)

**qed**

**lemma** *mathias*:

**assumes**

*Perm*:  $\langle mset\ xs' = mset\ xs \rangle$

**and** *I*:  $\langle lo \leq i \rangle \langle i \leq hi \rangle \langle xs'!i = x \rangle$

**and** *Bounds*:  $\langle hi < length\ xs \rangle$

**and** *Fix*:  $\langle \bigwedge\ i.\ i < lo \implies xs'!i = xs!i \rangle \langle \bigwedge\ j.\ \llbracket hi < j; j < length\ xs \rrbracket \implies xs'!j = xs!j \rangle$

**shows**  $\langle \exists\ j.\ lo \leq j \wedge j \leq hi \wedge xs'!j = x \rangle$

**proof** —

**define** *xs1 xs2 xs3 xs1' xs2' xs3'* **where**

$\langle xs1 = take\ lo\ xs \rangle$  **and**

$\langle xs2 = take\ (Suc\ hi - lo)\ (drop\ lo\ xs) \rangle$  **and**

$\langle xs3 = drop\ (Suc\ hi)\ xs \rangle$  **and**

$\langle xs1' = take\ lo\ xs' \rangle$  **and**

$\langle xs2' = take\ (Suc\ hi - lo)\ (drop\ lo\ xs') \rangle$  **and**

$\langle xs3' = drop\ (Suc\ hi)\ xs' \rangle$

**have** [*simp*]:  $\langle length\ xs' = length\ xs \rangle$

**using** *Perm by* (*auto dest: mset-eq-length*)

**have** [*simp*]:  $\langle mset\ xs1 = mset\ xs1' \rangle$

**using** *Fix(1) unfolding xs1-def xs1'-def*

**by** (*metis Perm le-cases mset-eq-length nth-take-lemma take-all*)

```

have [simp]: ⟨mset xs3 = mset xs3'⟩
  using Fix(2) unfolding xs3-def xs3'-def mset-drop-upto
  by (auto intro: image-mset-cong)
have ⟨xs = xs1 @ xs2 @ xs3⟩ ⟨xs' = xs1' @ xs2' @ xs3'⟩
  using I unfolding xs1-def xs2-def xs3-def xs1'-def xs2'-def xs3'-def
  by (metis append.assoc append-take-drop-id le-SucI le-add-diff-inverse order-trans take-add)+
moreover have ⟨xs ! i = xs2 ! (i - lo)⟩ ⟨i ≥ length xs1⟩
  using I Bounds unfolding xs2-def xs1-def by (auto simp: nth-take min-def)
moreover have ⟨x ∈ set xs2'⟩
  using I Bounds unfolding xs2'-def
  by (auto simp: in-set-take-conv-nth
    intro!: exI[of - ⟨i - lo⟩])
ultimately have ⟨x ∈ set xs2⟩
  using Perm I by (auto dest: mset-eq-setD)
then obtain j where ⟨xs ! (lo + j) = x⟩ ⟨j ≤ hi - lo⟩
  unfolding in-set-conv-nth xs2-def
  by auto
then show ?thesis
  using Bounds I
  by (auto intro: exI[of - ⟨lo+j⟩])
qed

```

If we fix the left and right rest of two permutated lists, then the sublists are also permutations.

But we only need that the sets are equal.

**lemma** *mset-sublist-incl*:

```

assumes Perm: ⟨mset xs' = mset xs⟩
  and Fix: ⟨∧ i. i < lo ⟹ xs ! i = xs ! i⟩ ∧ j. [hi < j; j < length xs] ⟹ xs ! j = xs ! j
  and bounds: ⟨lo ≤ hi⟩ ⟨hi < length xs⟩
shows ⟨set (sublist xs' lo hi) ⊆ set (sublist xs lo hi)⟩
proof
  fix x
  assume ⟨x ∈ set (sublist xs' lo hi)⟩
  then have ⟨∃ i. lo ≤ i ∧ i ≤ hi ∧ xs ! i = x⟩
    by (metis assms(1) bounds(1) bounds(2) size-mset sublist-el')
  then obtain i where I: ⟨lo ≤ i⟩ ⟨i ≤ hi⟩ ⟨xs ! i = x⟩ by blast
  have ⟨∃ j. lo ≤ j ∧ j ≤ hi ∧ xs ! j = x⟩
    using Perm I bounds(2) Fix by (rule mathias, auto)
  then show ⟨x ∈ set (sublist xs lo hi)⟩
    by (simp add: bounds(1) bounds(2) sublist-el')
qed

```

**lemma** *mset-sublist-eq*:

```

assumes ⟨mset xs' = mset xs⟩
  and ⟨∧ i. i < lo ⟹ xs ! i = xs ! i⟩
  and ⟨∧ j. [hi < j; j < length xs] ⟹ xs ! j = xs ! j⟩
  and bounds: ⟨lo ≤ hi⟩ ⟨hi < length xs⟩
shows ⟨set (sublist xs' lo hi) = set (sublist xs lo hi)⟩
proof
  show ⟨set (sublist xs' lo hi) ⊆ set (sublist xs lo hi)⟩
    apply (rule mset-sublist-incl)
    using assms by auto
  show ⟨set (sublist xs lo hi) ⊆ set (sublist xs' lo hi)⟩
    apply (rule mset-sublist-incl)
    by (metis assms size-mset)+

```



qed

Our abstract recursive quicksort procedure. We abstract over a partition procedure.

**definition** *quicksort* ::  $\langle ('b \Rightarrow 'b \Rightarrow \text{bool}) \Rightarrow ('a \Rightarrow 'b) \Rightarrow \text{nat} \times \text{nat} \times 'a \text{ list} \Rightarrow 'a \text{ list nres} \rangle$  **where**  
 $\langle \text{quicksort } R \ h = (\lambda (lo, hi, xs0). \text{ do } \{$   
 $\quad \text{RECT } (\lambda f (lo, hi, xs). \text{ do } \{$   
 $\quad \quad \text{ASSERT}(lo \leq hi \wedge hi < \text{length } xs \wedge \text{mset } xs = \text{mset } xs0);$  — Premise for a partition function  
 $\quad \quad (xs, p) \leftarrow \text{SPEC}(\text{uncurry } (\text{partition-spec } R \ h \ xs \ lo \ hi));$  — Abstract partition function  
 $\quad \quad \text{ASSERT}(\text{mset } xs = \text{mset } xs0);$   
 $\quad \quad xs \leftarrow (\text{if } p-1 \leq lo \text{ then RETURN } xs \text{ else } f \ (lo, p-1, xs));$   
 $\quad \quad \text{ASSERT}(\text{mset } xs = \text{mset } xs0);$   
 $\quad \quad \text{if } hi \leq p+1 \text{ then RETURN } xs \text{ else } f \ (p+1, hi, xs)$   
 $\quad \quad \}) \ (lo, hi, xs0)$   
 $\quad \})$

As premise for quicksor, we only need that the indices are ok.

**definition** *quicksort-pre* ::  $\langle ('b \Rightarrow 'b \Rightarrow \text{bool}) \Rightarrow ('a \Rightarrow 'b) \Rightarrow 'a \text{ list} \Rightarrow \text{nat} \Rightarrow \text{nat} \Rightarrow 'a \text{ list} \Rightarrow \text{bool} \rangle$   
**where**  
 $\langle \text{quicksort-pre } R \ h \ xs0 \ lo \ hi \ xs \equiv lo \leq hi \wedge hi < \text{length } xs \wedge \text{mset } xs = \text{mset } xs0 \rangle$

**definition** *quicksort-post* ::  $\langle ('b \Rightarrow 'b \Rightarrow \text{bool}) \Rightarrow ('a \Rightarrow 'b) \Rightarrow \text{nat} \Rightarrow \text{nat} \Rightarrow 'a \text{ list} \Rightarrow 'a \text{ list} \Rightarrow \text{bool} \rangle$   
**where**  
 $\langle \text{quicksort-post } R \ h \ lo \ hi \ xs \ xs' \equiv$   
 $\quad \text{mset } xs' = \text{mset } xs \wedge$   
 $\quad \text{sorted-sublist-map } R \ h \ xs' \ lo \ hi \wedge$   
 $\quad (\forall i. i < lo \longrightarrow xs!i = xs!i) \wedge$   
 $\quad (\forall j. hi < j \wedge j < \text{length } xs \longrightarrow xs!j = xs!j) \rangle$

Convert Pure to HOL

**lemma** *quicksort-postI*:  
 $\langle \llbracket \text{mset } xs' = \text{mset } xs; \text{sorted-sublist-map } R \ h \ xs' \ lo \ hi; (\bigwedge i. \llbracket i < lo \rrbracket \implies xs!i = xs!i); (\bigwedge j. \llbracket hi < j; j < \text{length } xs \rrbracket \implies xs!j = xs!j) \rrbracket \implies \text{quicksort-post } R \ h \ lo \ hi \ xs \ xs' \rangle$   
**by** (auto simp add: quicksort-post-def)

The first case for the correctness proof of (abstract) quicksort: We assume that we called the partition function, and we have  $p - (1::'a) \leq lo$  and  $hi \leq p + (1::'a)$ .

**lemma** *quicksort-correct-case1*:  
**assumes** *trans*:  $\langle \bigwedge x \ y \ z. \llbracket R \ (h \ x) \ (h \ y); R \ (h \ y) \ (h \ z) \rrbracket \implies R \ (h \ x) \ (h \ z) \rangle$  **and** *lin*:  $\langle \bigwedge x \ y. x \neq y \implies R \ (h \ x) \ (h \ y) \vee R \ (h \ y) \ (h \ x) \rangle$   
**and pre**:  $\langle \text{quicksort-pre } R \ h \ xs0 \ lo \ hi \ xs \rangle$   
**and part**:  $\langle \text{partition-spec } R \ h \ xs \ lo \ hi \ xs' \ p \rangle$   
**and ifs**:  $\langle p-1 \leq lo \rangle \langle hi \leq p+1 \rangle$   
**shows**  $\langle \text{quicksort-post } R \ h \ lo \ hi \ xs \ xs' \rangle$

**proof** —

First boilerplate code step: 'unfold' the HOL definitions in the assumptions and convert them to Pure

**have pre**:  $\langle lo \leq hi \rangle \langle hi < \text{length } xs \rangle$   
**using pre by** (auto simp add: quicksort-pre-def)  
  
**have part**:  $\langle \text{mset } xs' = \text{mset } xs \rangle \text{ True}$   
 $\langle \text{isPartition-map } R \ h \ xs' \ lo \ hi \ p \rangle \langle lo \leq p \rangle \langle p \leq hi \rangle$   
 $\langle \bigwedge i. i < lo \implies xs!i = xs!i \rangle \langle \bigwedge i. \llbracket hi < i; i < \text{length } xs \rrbracket \implies xs!i = xs!i \rangle$   
**using part by** (auto simp add: partition-spec-def)

```

have sorted-lower: ⟨sorted-sublist-map R h xs' lo (p - Suc 0)⟩
proof -
  show ?thesis
  apply (rule sorted-sublist-wrt-le)
  subgoal using ifs(1) by auto
  subgoal using ifs(1) mset-eq-length part(1) pre(1) pre(2) by fastforce
  done
qed

have sorted-upper: ⟨sorted-sublist-map R h xs' (Suc p) hi⟩
proof -
  show ?thesis
  apply (rule sorted-sublist-wrt-le)
  subgoal using ifs(2) by auto
  subgoal using ifs(1) mset-eq-length part(1) pre(1) pre(2) by fastforce
  done
qed

have sorted-middle: ⟨sorted-sublist-map R h xs' lo hi⟩
proof -
  show ?thesis
  apply (rule merge-sorted-map-partitions[where p=p])
  subgoal by (rule trans)
  subgoal by (rule part)
  subgoal by (rule sorted-lower)
  subgoal by (rule sorted-upper)
  subgoal using pre(1) by auto
  subgoal by (simp add: part(4))
  subgoal by (simp add: part(5))
  subgoal by (metis part(1) pre(2) size-mset)
  done
qed

show ?thesis
proof (intro quicksort-postI)
  show ⟨mset xs' = mset xs⟩
  by (simp add: part(1))
next
  show ⟨sorted-sublist-map R h xs' lo hi⟩
  by (rule sorted-middle)
next
  show ⟨ $\bigwedge i. i < lo \implies xs' ! i = xs ! i$ ⟩
  using part(6) by blast
next
  show ⟨ $\bigwedge j. \llbracket hi < j; j < length\ xs \rrbracket \implies xs' ! j = xs ! j$ ⟩
  by (metis part(1) part(7) size-mset)
qed
qed

```

In the second case, we have to show that the precondition still holds for (p+1, hi, x') after the partition.

**lemma** *quicksort-correct-case2*:  
**assumes**

```

    pre: ⟨quicksort-pre R h xs0 lo hi xs⟩
    and part: ⟨partition-spec R h xs lo hi xs' p⟩
    and ifs: ⟨¬ hi ≤ p + 1⟩
    shows ⟨quicksort-pre R h xs0 (Suc p) hi xs'⟩
  proof -

```

First boilerplate code step: 'unfold' the HOL definitions in the assumptions and convert them to Pure

```

    have pre: ⟨lo ≤ hi⟩ ⟨hi < length xs⟩ ⟨mset xs = mset xs0⟩
    using pre by (auto simp add: quicksort-pre-def)
    have part: ⟨mset xs' = mset xs⟩ True
    ⟨isPartition-map R h xs' lo hi p⟩ ⟨lo ≤ p⟩ ⟨p ≤ hi⟩
    ⟨∧ i. i < lo ⟹ xs!i = xs!i⟩ ⟨∧ i. ⟦hi < i; i < length xs⟧ ⟹ xs!i = xs!i⟩
    using part by (auto simp add: partition-spec-def)
    show ?thesis
    unfolding quicksort-pre-def
  proof (intro conjI)
    show ⟨Suc p ≤ hi⟩
    using ifs by linarith
    show ⟨hi < length xs'⟩
    by (metis part(1) pre(2) size-mset)
    show ⟨mset xs' = mset xs0⟩
    using pre(3) part(1) by (auto dest: mset-eq-setD)
  qed
qed

```

**lemma quicksort-post-set:**

```

    assumes ⟨quicksort-post R h lo hi xs xs'⟩
    and bounds: ⟨lo ≤ hi⟩ ⟨hi < length xs⟩
    shows ⟨set (sublist xs' lo hi) = set (sublist xs lo hi)⟩
  proof -
    have ⟨mset xs' = mset xs⟩ ⟨∧ i. i < lo ⟹ xs!i = xs!i⟩ ⟨∧ j. ⟦hi < j; j < length xs⟧ ⟹ xs!j = xs!j⟩
    using assms by (auto simp add: quicksort-post-def)
    then show ?thesis
    using bounds by (rule mset-sublist-eq, auto)
  qed

```

In the third case, we have run quicksort recursively on (p+1, hi, xs') after the partition, with hi ≤ p+1 and p-1 ≤ lo.

**lemma quicksort-correct-case3:**

```

    assumes trans: ⟨∧ x y z. ⟦R (h x) (h y); R (h y) (h z)⟧ ⟹ R (h x) (h z)⟩ and lin: ⟨∧ x y. x ≠ y ⟹
    R (h x) (h y) ∨ R (h y) (h x)⟩
    and pre: ⟨quicksort-pre R h xs0 lo hi xs⟩
    and part: ⟨partition-spec R h xs lo hi xs' p⟩
    and ifs: ⟨p - Suc 0 ≤ lo⟩ ⟨¬ hi ≤ Suc p⟩
    and IH1': ⟨quicksort-post R h (Suc p) hi xs' xs''⟩
    shows ⟨quicksort-post R h lo hi xs xs''⟩
  proof -

```

First boilerplate code step: 'unfold' the HOL definitions in the assumptions and convert them to Pure

```

    have pre: ⟨lo ≤ hi⟩ ⟨hi < length xs⟩ ⟨mset xs = mset xs0⟩
    using pre by (auto simp add: quicksort-pre-def)

```

```

have part: ⟨mset xs' = mset xs⟩ True
  ⟨isPartition-map R h xs' lo hi p⟩ ⟨lo ≤ p⟩ ⟨p ≤ hi⟩
  ⟨∧ i. i < lo ⇒ xs'!i = xs!i⟩ ⟨∧ i. [hi < i; i < length xs] ⇒ xs'!i = xs!i⟩
  using part by (auto simp add: partition-spec-def)
have IH1: ⟨mset xs'' = mset xs'⟩ ⟨sorted-sublist-map R h xs'' (Suc p) hi⟩
  ⟨∧ i. i < Suc p ⇒ xs''!i = xs'!i⟩ ⟨∧ j. [hi < j; j < length xs] ⇒ xs''!j = xs'!j⟩
  using IH1' by (auto simp add: quicksort-post-def)
note IH1-perm = quicksort-post-set[OF IH1]

have still-partition: ⟨isPartition-map R h xs'' lo hi p⟩
proof(intro isPartition-wrtI)
  fix i assume ⟨lo ≤ i⟩ ⟨i < p⟩
  show ⟨R (h (xs''!i)) (h (xs''!p))⟩

```

This holds because this part hasn't changed

```

  using IH1(3) ⟨i < p⟩ ⟨lo ≤ i⟩ isPartition-wrt-def part(3) by fastforce
next
  fix j assume ⟨p < j⟩ ⟨j ≤ hi⟩

```

Obtain the position  $posJ$  where  $xs''!j$  was stored in  $xs'$ .

```

  have ⟨xs''!j ∈ set (sublist xs'' (Suc p) hi)⟩
    by (metis IH1(1) Suc-leI ⟨j ≤ hi⟩ ⟨p < j⟩ less-le-trans mset-eq-length part(1) pre(2) sublist-el')
  then have ⟨xs''!j ∈ set (sublist xs' (Suc p) hi)⟩
    by (metis IH1-perm ifs(2) nat-le-linear part(1) pre(2) size-mset)
  then have ⟨∃ posJ. Suc p ≤ posJ ∧ posJ ≤ hi ∧ xs''!j = xs'!posJ⟩
    by (metis Suc-leI ⟨j ≤ hi⟩ ⟨p < j⟩ less-le-trans part(1) pre(2) size-mset sublist-el')
  then obtain posJ :: nat where PosJ: ⟨Suc p ≤ posJ⟩ ⟨posJ ≤ hi⟩ ⟨xs''!j = xs'!posJ⟩ by blast

  then show ⟨R (h (xs''!p)) (h (xs''!j))⟩
    by (metis IH1(3) Suc-le-lessD isPartition-wrt-def lessI part(3))
qed

```

```

have sorted-lower: ⟨sorted-sublist-map R h xs'' lo (p - Suc 0)⟩
proof -
  show ?thesis
  apply (rule sorted-sublist-wrt-le)
  subgoal by (simp add: ifs(1))
  subgoal using IH1(1) mset-eq-length part(1) part(5) pre(2) by fastforce
  done
qed

```

note sorted-upper = IH1(2)

```

have sorted-middle: ⟨sorted-sublist-map R h xs'' lo hi⟩
proof -
  show ?thesis
  apply (rule merge-sorted-map-partitions[where p=p])
  subgoal by (rule trans)
  subgoal by (rule still-partition)
  subgoal by (rule sorted-lower)
  subgoal by (rule sorted-upper)
  subgoal using pre(1) by auto
  subgoal by (simp add: part(4))
  subgoal by (simp add: part(5))
  subgoal by (metis IH1(1) part(1) pre(2) size-mset)

```

```

done
qed

show ?thesis
proof (intro quicksort-postI)
  show  $\langle mset\ xs'' = mset\ xs \rangle$ 
    using part(1) IH1(1) by auto — I was faster than sledgehammer :-
next
  show  $\langle sorted\text{-}sublist\text{-}map\ R\ h\ xs''\ lo\ hi \rangle$ 
    by (rule sorted-middle)
next
  show  $\langle \bigwedge i. i < lo \implies xs'' ! i = xs ! i \rangle$ 
    using IH1(3) le-SucI part(4) part(6) by auto
next show  $\langle \bigwedge j. hi < j \implies j < length\ xs \implies xs'' ! j = xs ! j \rangle$ 
    by (metis IH1(4) part(1) part(7) size-mset)
qed
qed

```

In the 4th case, we have to show that the premise holds for  $(lo, p - (1::'b), xs')$ , in case  $\neg p - (1::'a) \leq lo$

Analogous to case 2.

**lemma** *quicksort-correct-case4*:

```

assumes
  pre:  $\langle quicksort\text{-}pre\ R\ h\ xs0\ lo\ hi\ xs \rangle$ 
  and part:  $\langle partition\text{-}spec\ R\ h\ xs\ lo\ hi\ xs'\ p \rangle$ 
  and ifs:  $\langle \neg p - Suc\ 0 \leq lo \rangle$ 
shows  $\langle quicksort\text{-}pre\ R\ h\ xs0\ lo\ (p - Suc\ 0)\ xs' \rangle$ 
proof -

```

First boilerplate code step: 'unfold' the HOL definitions in the assumptions and convert them to Pure

```

have pre:  $\langle lo \leq hi \rangle \langle hi < length\ xs \rangle \langle mset\ xs0 = mset\ xs \rangle$ 
  using pre by (auto simp add: quicksort-pre-def)
have part:  $\langle mset\ xs' = mset\ xs \rangle\ True$ 
   $\langle isPartition\text{-}map\ R\ h\ xs'\ lo\ hi\ p \rangle \langle lo \leq p \rangle \langle p \leq hi \rangle$ 
   $\langle \bigwedge i. i < lo \implies xs' ! i = xs ! i \rangle \langle \bigwedge i. \llbracket hi < i; i < length\ xs \rrbracket \implies xs' ! i = xs ! i \rangle$ 
  using part by (auto simp add: partition-spec-def)

show ?thesis
  unfolding quicksort-pre-def
proof (intro conjI)
  show  $\langle lo \leq p - Suc\ 0 \rangle$ 
    using ifs by linarith
  show  $\langle p - Suc\ 0 < length\ xs' \rangle$ 
    using mset-eq-length part(1) part(5) pre(2) by fastforce
  show  $\langle mset\ xs' = mset\ xs0 \rangle$ 
    using pre(3) part(1) by (auto dest: mset-eq-setD)
qed
qed

```

In the 5th case, we have run quicksort recursively on  $(lo, p-1, xs')$ .

**lemma** *quicksort-correct-case5*:

**assumes** *trans*:  $\langle \bigwedge x y z. \llbracket R(h x) (h y); R(h y) (h z) \rrbracket \implies R(h x) (h z) \rangle$  **and** *lin*:  $\langle \bigwedge x y. x \neq y \implies R(h x) (h y) \vee R(h y) (h x) \rangle$   
**and** *pre*:  $\langle \text{quicksort-pre } R \ h \ xs0 \ lo \ hi \ xs \rangle$   
**and** *part*:  $\langle \text{partition-spec } R \ h \ xs \ lo \ hi \ xs' \ p \rangle$   
**and** *ifs*:  $\langle \neg p - Suc \ 0 \leq lo \rangle \langle hi \leq Suc \ p \rangle$   
**and** *IH1'*:  $\langle \text{quicksort-post } R \ h \ lo \ (p - Suc \ 0) \ xs' \ xs'' \rangle$   
**shows**  $\langle \text{quicksort-post } R \ h \ lo \ hi \ xs \ xs'' \rangle$   
**proof** –

First boilerplate code step: 'unfold' the HOL definitions in the assumptions and convert them to Pure

**have** *pre*:  $\langle lo \leq hi \rangle \langle hi < length \ xs \rangle$   
**using** *pre* **by** (*auto simp add: quicksort-pre-def*)  
**have** *part*:  $\langle mset \ xs' = mset \ xs \rangle \text{ True}$   
 $\langle isPartition-map \ R \ h \ xs' \ lo \ hi \ p \rangle \langle lo \leq p \rangle \langle p \leq hi \rangle$   
 $\langle \bigwedge i. i < lo \implies xs'!i = xs!i \rangle \langle \bigwedge i. \llbracket hi < i; i < length \ xs \rrbracket \implies xs'!i = xs!i \rangle$   
**using** *part* **by** (*auto simp add: partition-spec-def*)  
**have** *IH1*:  $\langle mset \ xs'' = mset \ xs' \rangle \langle sorted-sublist-map \ R \ h \ xs'' \ lo \ (p - Suc \ 0) \rangle$   
 $\langle \bigwedge i. i < lo \implies xs''!i = xs'!i \rangle \langle \bigwedge j. \llbracket p - Suc \ 0 < j; j < length \ xs \rrbracket \implies xs''!j = xs'!j \rangle$   
**using** *IH1'* **by** (*auto simp add: quicksort-post-def*)  
**note** *IH1-perm* = *quicksort-post-set*[OF *IH1*]

**have** *still-partition*:  $\langle isPartition-map \ R \ h \ xs'' \ lo \ hi \ p \rangle$   
**proof**(*intro isPartition-wrtI*)  
**fix** *i* **assume**  $\langle lo \leq i \rangle \langle i < p \rangle$

Obtain the position *posI* where  $xs''!i$  was stored in  $xs'$ .

**have**  $\langle xs''!i \in set \ (sublist \ xs'' \ lo \ (p - Suc \ 0)) \rangle$   
**by** (*metis* (*no-types*, *lifting*) *IH1*(1) *Suc-leI* *Suc-pred*  $\langle i < p \rangle \langle lo \leq i \rangle$  *le-less-trans* *less-imp-diff-less* *mset-eq-length* *not-le* *not-less-zero* *part*(1) *part*(5) *pre*(2) *sublist-el'*)  
**then have**  $\langle xs''!i \in set \ (sublist \ xs' \ lo \ (p - Suc \ 0)) \rangle$   
**by** (*metis* *IH1-perm* *ifs*(1) *le-less-trans* *less-imp-diff-less* *mset-eq-length* *nat-le-linear* *part*(1) *part*(5) *pre*(2))  
**then have**  $\langle \exists \ posI. lo \leq posI \wedge posI \leq p - Suc \ 0 \wedge xs''!i = xs'!posI \rangle$   
**proof** – — *sledgehammer*  
**have**  $p - Suc \ 0 < length \ xs$   
**by** (*meson* *diff-le-self* *le-less-trans* *part*(5) *pre*(2))  
**then show** ?thesis  
**by** (*metis* (*no-types*)  $\langle xs''!i \in set \ (sublist \ xs' \ lo \ (p - Suc \ 0)) \rangle$  *ifs*(1) *mset-eq-length* *nat-le-linear* *part*(1) *sublist-el'*)  
**qed**  
**then obtain** *posI* :: nat **where** *PosI*:  $\langle lo \leq posI \rangle \langle posI \leq p - Suc \ 0 \rangle \langle xs''!i = xs'!posI \rangle$  **by** *blast*  
**then show**  $\langle R(h \ (xs''!i)) \ (h \ (xs''!p)) \rangle$   
**by** (*metis* (*no-types*, *lifting*) *IH1*(4)  $\langle i < p \rangle$  *diff-Suc-less* *isPartition-wrt-def* *le-less-trans* *mset-eq-length* *not-le* *not-less-eq* *part*(1) *part*(3) *part*(5) *pre*(2) *zero-less-Suc*)  
**next**  
**fix** *j* **assume**  $\langle p < j \rangle \langle j \leq hi \rangle$   
**then show**  $\langle R(h \ (xs''!p)) \ (h \ (xs''!j)) \rangle$

This holds because this part hasn't changed

**by** (*smt* *IH1*(4) *add-diff-cancel-left'* *add-diff-inverse-nat* *diff-Suc-eq-diff-pred* *diff-le-self* *ifs*(1) *isPartition-wrt-def* *le-less-Suc-eq* *less-le-trans* *mset-eq-length* *nat-less-le* *part*(1) *part*(3) *part*(4) *plus-1-eq-Suc* *pre*(2))  
**qed**

**note** *sorted-lower* = *IH1*(2)

**have** *sorted-upper*:  $\langle \text{sorted-sublist-map } R \ h \ xs'' \ (Suc \ p) \ hi \rangle$

**proof** –

**show** *?thesis*

**apply** (*rule sorted-sublist-wrt-le*)

**subgoal by** (*simp add: ifs*(2))

**subgoal using** *IH1*(1) *mset-eq-length part*(1) *part*(5) *pre*(2) **by** *fastforce*

**done**

**qed**

**have** *sorted-middle*:  $\langle \text{sorted-sublist-map } R \ h \ xs'' \ lo \ hi \rangle$

**proof** –

**show** *?thesis*

**apply** (*rule merge-sorted-map-partitions*[**where** *p=p*])

**subgoal by** (*rule trans*)

**subgoal by** (*rule still-partition*)

**subgoal by** (*rule sorted-lower*)

**subgoal by** (*rule sorted-upper*)

**subgoal using** *pre*(1) **by** *auto*

**subgoal by** (*simp add: part*(4))

**subgoal by** (*simp add: part*(5))

**subgoal by** (*metis IH1*(1) *part*(1) *pre*(2) *size-mset*)

**done**

**qed**

**show** *?thesis*

**proof** (*intro quicksort-postI*)

**show**  $\langle \text{mset } xs'' = \text{mset } xs \rangle$

**by** (*simp add: IH1*(1) *part*(1))

**next**

**show**  $\langle \text{sorted-sublist-map } R \ h \ xs'' \ lo \ hi \rangle$

**by** (*rule sorted-middle*)

**next**

**show**  $\langle \bigwedge i. i < lo \implies xs'' ! i = xs ! i \rangle$

**by** (*simp add: IH1*(3) *part*(6))

**next**

**show**  $\langle \bigwedge j. hi < j \implies j < \text{length } xs \implies xs'' ! j = xs ! j \rangle$

**by** (*metis IH1*(4) *diff-le-self dual-order.strict-trans2 mset-eq-length part*(1) *part*(5) *part*(7))

**qed**

**qed**

In the 6th case, we have run quicksort recursively on (lo, p-1, xs'). We show the precondition on the second call on (p+1, hi, xs'')

**lemma** *quicksort-correct-case6*:

**assumes**

*pre*:  $\langle \text{quicksort-pre } R \ h \ xs0 \ lo \ hi \ xs \rangle$

**and** *part*:  $\langle \text{partition-spec } R \ h \ xs \ lo \ hi \ xs' \ p \rangle$

**and** *ifs*:  $\langle \neg p - Suc \ 0 \leq lo \rangle \langle \neg hi \leq Suc \ p \rangle$

**and** *IH1*:  $\langle \text{quicksort-post } R \ h \ lo \ (p - Suc \ 0) \ xs' \ xs'' \rangle$

**shows**  $\langle \text{quicksort-pre } R \ h \ xs0 \ (Suc \ p) \ hi \ xs'' \rangle$

**proof** –

First boilerplate code step: 'unfold' the HOL definitions in the assumptions and convert them to Pure

```

have pre: ⟨lo ≤ hi⟩ ⟨hi < length xs⟩ ⟨mset xs0 = mset xs⟩
  using pre by (auto simp add: quicksort-pre-def)
have part: ⟨mset xs' = mset xs⟩ True
  ⟨isPartition-map R h xs' lo hi p⟩ ⟨lo ≤ p⟩ ⟨p ≤ hi⟩
  ⟨∧ i. i < lo ⟹ xs!i = xs!i⟩ ⟨∧ i. ⟦hi < i; i < length xs⟧ ⟹ xs!i = xs!i⟩
  using part by (auto simp add: partition-spec-def)
have IH1: ⟨mset xs'' = mset xs'⟩ ⟨sorted-sublist-map R h xs'' lo (p - Suc 0)⟩
  ⟨∧ i. i < lo ⟹ xs''!i = xs'!i⟩ ⟨∧ j. ⟦p - Suc 0 < j; j < length xs'⟧ ⟹ xs''!j = xs'!j⟩
  using IH1 by (auto simp add: quicksort-post-def)

```

**show** ?thesis

**unfolding** quicksort-pre-def

**proof** (intro conjI)

**show** ⟨Suc p ≤ hi⟩

**using** ifs(2) **by** linarith

**show** ⟨hi < length xs''⟩

**using** IH1(1) mset-eq-length part(1) pre(2) **by** fastforce

**show** ⟨mset xs'' = mset xs0⟩

**using** pre(3) part(1) IH1(1) **by** (auto dest: mset-eq-setD)

**qed**

**qed**

In the 7th (and last) case, we have run quicksort recursively on (lo, p-1, xs'). We show the postcondition on the second call on (p+1, hi, xs'')

**lemma** quicksort-correct-case7:

**assumes** trans: ⟨∧ x y z. ⟦R (h x) (h y); R (h y) (h z)⟧ ⟹ R (h x) (h z)⟩ **and** lin: ⟨∧ x y. x ≠ y ⟹ R (h x) (h y) ∨ R (h y) (h x)⟩

**and** pre: ⟨quicksort-pre R h xs0 lo hi xs⟩

**and** part: ⟨partition-spec R h xs lo hi xs' p⟩

**and** ifs: ⟨¬ p - Suc 0 ≤ lo⟩ ⟨¬ hi ≤ Suc p⟩

**and** IH1': ⟨quicksort-post R h lo (p - Suc 0) xs' xs''⟩

**and** IH2': ⟨quicksort-post R h (Suc p) hi xs'' xs'''⟩

**shows** ⟨quicksort-post R h lo hi xs xs'''⟩

**proof** –

First boilerplate code step: 'unfold' the HOL definitions in the assumptions and convert them to Pure

```

have pre: ⟨lo ≤ hi⟩ ⟨hi < length xs⟩
  using pre by (auto simp add: quicksort-pre-def)
have part: ⟨mset xs' = mset xs⟩ True
  ⟨isPartition-map R h xs' lo hi p⟩ ⟨lo ≤ p⟩ ⟨p ≤ hi⟩
  ⟨∧ i. i < lo ⟹ xs!i = xs!i⟩ ⟨∧ i. ⟦hi < i; i < length xs'⟧ ⟹ xs!i = xs!i⟩
  using part by (auto simp add: partition-spec-def)
have IH1: ⟨mset xs'' = mset xs'⟩ ⟨sorted-sublist-map R h xs'' lo (p - Suc 0)⟩
  ⟨∧ i. i < lo ⟹ xs''!i = xs'!i⟩ ⟨∧ j. ⟦p - Suc 0 < j; j < length xs'⟧ ⟹ xs''!j = xs'!j⟩
  using IH1' by (auto simp add: quicksort-post-def)
note IH1-perm = quicksort-post-set[OF IH1]
have IH2: ⟨mset xs''' = mset xs''⟩ ⟨sorted-sublist-map R h xs''' (Suc p) hi⟩
  ⟨∧ i. i < Suc p ⟹ xs'''!i = xs''!i⟩ ⟨∧ j. ⟦hi < j; j < length xs''⟧ ⟹ xs'''!j = xs''!j⟩
  using IH2' by (auto simp add: quicksort-post-def)
note IH2-perm = quicksort-post-set[OF IH2]

```



We still have a partition after the first call (same as in case 5)

```

have still-partition1: ⟨isPartition-map R h xs'' lo hi p⟩
proof(intro isPartition-wrtI)
  fix i assume ⟨lo ≤ i⟩ ⟨i < p⟩

```

Obtain the position  $posI$  where  $xs'' ! i$  was stored in  $xs'$ .

```

  have ⟨xs''!i ∈ set (sublist xs'' lo (p−Suc 0))⟩
  by (metis (no-types, lifting) IH1(1) Suc-leI Suc-pred ⟨i < p⟩ ⟨lo ≤ i⟩ le-less-trans less-imp-diff-less
mset-eq-length not-le not-less-zero part(1) part(5) pre(2) sublist-el')
  then have ⟨xs''!i ∈ set (sublist xs' lo (p−Suc 0))⟩
  by (metis IH1-perm ifs(1) le-less-trans less-imp-diff-less mset-eq-length nat-le-linear part(1)
part(5) pre(2))
  then have ⟨∃ posI. lo ≤ posI ∧ posI ≤ p−Suc 0 ∧ xs''!i = xs'!posI⟩
  proof — — sledgehammer
    have p − Suc 0 < length xs
    by (meson diff-le-self le-less-trans part(5) pre(2))
    then show ?thesis
    by (metis (no-types) ⟨xs''!i ∈ set (sublist xs' lo (p − Suc 0))⟩ ifs(1) mset-eq-length nat-le-linear
part(1) sublist-el')
  qed
  then obtain posI :: nat where PosI: ⟨lo ≤ posI⟩ ⟨posI ≤ p−Suc 0⟩ ⟨xs''!i = xs'!posI⟩ by blast
  then show ⟨R (h (xs''!i)) (h (xs''!p))⟩
  by (metis (no-types, lifting) IH1(4) ⟨i < p⟩ diff-Suc-less isPartition-wrt-def le-less-trans mset-eq-length
not-le not-less-eq part(1) part(3) part(5) pre(2) zero-less-Suc)
next
  fix j assume ⟨p < j⟩ ⟨j ≤ hi⟩
  then show ⟨R (h (xs''!p)) (h (xs''!j))⟩

```

This holds because this part hasn't changed

```

  by (smt IH1(4) add-diff-cancel-left' add-diff-inverse-nat diff-Suc-eq-diff-pred diff-le-self ifs(1)
isPartition-wrt-def le-less-Suc-eq less-le-trans mset-eq-length nat-less-le part(1) part(3) part(4) plus-1-eq-Suc
pre(2))
qed

```

We still have a partition after the second call (similar as in case 3)

```

have still-partition2: ⟨isPartition-map R h xs''' lo hi p⟩
proof(intro isPartition-wrtI)
  fix i assume ⟨lo ≤ i⟩ ⟨i < p⟩
  show ⟨R (h (xs'''!i)) (h (xs'''!p))⟩

```

This holds because this part hasn't changed

```

  using IH2(3) ⟨i < p⟩ ⟨lo ≤ i⟩ isPartition-wrt-def still-partition1 by fastforce
next
  fix j assume ⟨p < j⟩ ⟨j ≤ hi⟩

```

Obtain the position  $posJ$  where  $xs''' ! j$  was stored in  $xs''$ .

```

  have ⟨xs'''!j ∈ set (sublist xs''' (Suc p) hi)⟩
  by (metis IH1(1) IH2(1) Suc-leI ⟨j ≤ hi⟩ ⟨p < j⟩ ifs(2) nat-le-linear part(1) pre(2) size-mset
sublist-el')
  then have ⟨xs'''!j ∈ set (sublist xs'' (Suc p) hi)⟩
  by (metis IH1(1) IH2-perm ifs(2) mset-eq-length nat-le-linear part(1) pre(2))
  then have ⟨∃ posJ. Suc p ≤ posJ ∧ posJ ≤ hi ∧ xs'''!j = xs''!posJ⟩
  by (metis IH1(1) ifs(2) mset-eq-length nat-le-linear part(1) pre(2) sublist-el')
  then obtain posJ :: nat where PosJ: ⟨Suc p ≤ posJ⟩ ⟨posJ ≤ hi⟩ ⟨xs'''!j = xs''!posJ⟩ by blast

```

```

then show  $\langle R (h (xs''' ! p)) (h (xs''' ! j)) \rangle$ 
proof — — sledgehammer
  have  $\forall n na as p. (p (as ! na::'a) (as ! posJ) \vee posJ \leq na) \vee \neg isPartition-wrt\ p\ as\ n\ hi\ na$ 
    by (metis (no-types) PosJ(2) isPartition-wrt-def not-less)
  then show ?thesis
    by (metis IH2(3) PosJ(1) PosJ(3) lessI not-less-eq-eq still-partition1)
qed
qed

```

We have that the lower part is sorted after the first recursive call

```

note sorted-lower1 = IH1(2)

```

We show that it is still sorted after the second call.

```

have sorted-lower2:  $\langle sorted-sublist-map\ R\ h\ xs''' \ lo\ (p-Suc\ 0) \rangle$ 
proof —
  show ?thesis
    using sorted-lower1 apply (rule sorted-wrt-lower-sublist-still-sorted)
    subgoal by (rule part)
    subgoal
      using IH1(1) mset-eq-length part(1) part(5) pre(2) by fastforce
    subgoal
      by (simp add: IH2(3))
    subgoal
      by (metis IH2(1) size-mset)
    done
qed

```

The second IH gives us the the upper list is sorted after the second recursive call

```

note sorted-upper2 = IH2(2)

```

Finally, we have to show that the entire list is sorted after the second recursive call.

```

have sorted-middle:  $\langle sorted-sublist-map\ R\ h\ xs''' \ lo\ hi \rangle$ 
proof —
  show ?thesis
    apply (rule merge-sorted-map-partitions[where  $p=p$ ])
    subgoal by (rule trans)
    subgoal by (rule still-partition2)
    subgoal by (rule sorted-lower2)
    subgoal by (rule sorted-upper2)
    subgoal using pre(1) by auto
    subgoal by (simp add: part(4))
    subgoal by (simp add: part(5))
    subgoal by (metis IH1(1) IH2(1) part(1) pre(2) size-mset)
    done
qed

```

```

show ?thesis
proof (intro quicksort-postI)
  show  $\langle mset\ xs''' = mset\ xs \rangle$ 
    by (simp add: IH1(1) IH2(1) part(1))
next
  show  $\langle sorted-sublist-map\ R\ h\ xs''' \ lo\ hi \rangle$ 
    by (rule sorted-middle)
next

```

```

show  $\langle \bigwedge i. i < lo \implies xs''' ! i = xs ! i \rangle$ 
  using IH1(3) IH2(3) part(4) part(6) by auto
next
show  $\langle \bigwedge j. hi < j \implies j < \text{length } xs \implies xs''' ! j = xs ! j \rangle$ 
  by (metis IH1(1) IH1(4) IH2(4) diff-le-self ifs(2) le-SucI less-le-trans nat-le-eq-or-lt not-less
part(1) part(7) size-mset)
qed

```

**qed**

We can now show the correctness of the abstract quicksort procedure, using the refinement framework and the above case lemmas.

**lemma** *quicksort-correct*:

```

assumes trans:  $\langle \bigwedge x y z. \llbracket R(h x) (h y); R(h y) (h z) \rrbracket \implies R(h x) (h z) \rangle$  and lin:  $\langle \bigwedge x y. x \neq y \implies R(h x) (h y) \vee R(h y) (h x) \rangle$ 
and Pre:  $\langle lo0 \leq hi0 \rangle \langle hi0 < \text{length } xs0 \rangle$ 
shows  $\langle \text{quicksort } R h (lo0, hi0, xs0) \leq \Downarrow Id (SPEC(\lambda xs. \text{quicksort-post } R h lo0 hi0 xs0 xs)) \rangle$ 
proof –
have wf:  $\langle wf (\text{measure } (\lambda(lo, hi, xs). Suc hi - lo)) \rangle$ 
by auto
define pre where  $\langle pre = (\lambda(lo, hi, xs). \text{quicksort-pre } R h xs0 lo hi xs) \rangle$ 
define post where  $\langle post = (\lambda(lo, hi, xs). \text{quicksort-post } R h lo hi xs) \rangle$ 
have pre:  $\langle pre (lo0, hi0, xs0) \rangle$ 
unfolding quicksort-pre-def pre-def by (simp add: Pre)

```

We first generalize the goal a over all states.

```

have  $\langle WB\text{-Sort.} \text{quicksort } R h (lo0, hi0, xs0) \leq \Downarrow Id (SPEC (post (lo0, hi0, xs0))) \rangle$ 
unfolding quicksort-def prod.case
apply (rule RECT-rule)
apply (refine-mono)
apply (rule wf)
apply (rule pre)
subgoal premises IH for f x
apply (refine-vcg ASSERT-leI)
unfolding pre-def post-def

```

```

subgoal — First premise (assertion) for partition
  using IH(2) by (simp add: quicksort-pre-def pre-def)
subgoal — Second premise (assertion) for partition
  using IH(2) by (simp add: quicksort-pre-def pre-def)
subgoal
  using IH(2) by (auto simp add: quicksort-pre-def pre-def dest: mset-eq-setD)

```

Termination case:  $p - (1::'c) \leq lo'$  and  $hi' \leq p + (1::'c)$ ; directly show postcondition

```

subgoal unfolding partition-spec-def by (auto dest: mset-eq-setD)
subgoal — Postcondition (after partition)
apply –
using IH(2) unfolding pre-def apply (simp, elim conjE, split prod.splits)
using trans lin apply (rule quicksort-correct-case1) by auto

```

Case  $p - (1::'c) \leq lo'$  and  $hi' < p + (1::'c)$  (Only second recursive call)

```

subgoal
apply (rule IH(1)[THEN order-trans])

```

Show that the invariant holds for the second recursive call

```

subgoal
  using IH(2) unfolding pre-def apply (simp, elim conjE, split prod.splits)
  apply (rule quicksort-correct-case2) by auto

```

Wellfoundedness (easy)

```

subgoal by (auto simp add: quicksort-pre-def partition-spec-def)

```

Show that the postcondition holds

```

subgoal
  apply (simp add: Misc.subset-Collect-conv post-def, intro allI impI, elim conjE)
  using trans lin apply (rule quicksort-correct-case3)
  using IH(2) unfolding pre-def by auto
done

```

Case: At least the first recursive call

```

subgoal
  apply (rule IH(1)[THEN order-trans])

```

Show that the precondition holds for the first recursive call

```

subgoal
  using IH(2) unfolding pre-def post-def apply (simp, elim conjE, split prod.splits) apply auto
  apply (rule quicksort-correct-case4) by auto

```

Wellfoundedness for first recursive call (easy)

```

subgoal by (auto simp add: quicksort-pre-def partition-spec-def)

```

Simplify some refinement suff...

```

apply (simp add: Misc.subset-Collect-conv ASSERT-leI, intro allI impI conjI, elim conjE)
apply (rule ASSERT-leI)
apply (simp-all add: Misc.subset-Collect-conv ASSERT-leI)
subgoal unfolding quicksort-post-def pre-def post-def by (auto dest: mset-eq-setD)

```

Only the first recursive call: show postcondition

```

subgoal
  using trans lin apply (rule quicksort-correct-case5)
  using IH(2) unfolding pre-def post-def by auto

  apply (rule ASSERT-leI)
  subgoal unfolding quicksort-post-def pre-def post-def by (auto dest: mset-eq-setD)

```

Both recursive calls.

```

subgoal
  apply (rule IH(1)[THEN order-trans])

```

Show precondition for second recursive call (after the first call)

```

subgoal
  unfolding pre-def post-def
  apply auto
  apply (rule quicksort-correct-case6)
  using IH(2) unfolding pre-def post-def by auto

```

Wellfoundedness for second recursive call (easy)

```

subgoal by (auto simp add: quicksort-pre-def partition-spec-def)

```

Show that the postcondition holds (after both recursive calls)

```

subgoal
  apply (simp add: Misc.subset-Collect-conv, intro allI impI, elim conjE)
  using trans lin apply (rule quicksort-correct-case7)
  using IH(2) unfolding pre-def post-def by auto
done
done
done
done

```

Finally, apply the generalized lemma to show the thesis.

```

then show ?thesis unfolding post-def by auto
qed

```

**definition** *partition-main-inv* ::  $\langle 'b \Rightarrow 'b \Rightarrow \text{bool} \rangle \Rightarrow \langle 'a \Rightarrow 'b \rangle \Rightarrow \text{nat} \Rightarrow \text{nat} \Rightarrow 'a \text{ list} \Rightarrow (\text{nat} \times \text{nat} \times 'a \text{ list}) \Rightarrow \text{bool}$  **where**

```

   $\langle \text{partition-main-inv } R \ h \ lo \ hi \ xs0 \ p \equiv$ 
     $\text{case } p \text{ of } (i, j, xs) \Rightarrow$ 
       $j < \text{length } xs \wedge j \leq hi \wedge i < \text{length } xs \wedge lo \leq i \wedge i \leq j \wedge \text{mset } xs = \text{mset } xs0 \wedge$ 
       $(\forall k. k \geq lo \wedge k < i \longrightarrow R \ (h \ (xs!k)) \ (h \ (xs!hi))) \wedge$  — All elements from lo to i — (1::'c) are smaller
    than the pivot
       $(\forall k. k \geq i \wedge k < j \longrightarrow R \ (h \ (xs!hi)) \ (h \ (xs!k))) \wedge$  — All elements from i to j — (1::'c) are greater
    than the pivot
       $(\forall k. k < lo \longrightarrow xs!k = xs0!k) \wedge$  — Everything below lo is unchanged
       $(\forall k. k \geq j \wedge k < \text{length } xs \longrightarrow xs!k = xs0!k)$  — All elements from j are unchanged (including
    everything above hi)
   $\rangle$ 

```

The main part of the partition function. The pivot is assumed to be the last element. This is exactly the "Lomuto partition scheme" partition function from Wikipedia.

**definition** *partition-main* ::  $\langle 'b \Rightarrow 'b \Rightarrow \text{bool} \rangle \Rightarrow \langle 'a \Rightarrow 'b \rangle \Rightarrow \text{nat} \Rightarrow \text{nat} \Rightarrow 'a \text{ list} \Rightarrow ('a \text{ list} \times \text{nat}) \text{ nres}$  **where**

```

   $\langle \text{partition-main } R \ h \ lo \ hi \ xs0 = \text{do } \{$ 
     $\text{ASSERT}(hi < \text{length } xs0);$ 
     $\text{pivot} \leftarrow \text{RETURN } (h \ (xs0 ! hi));$ 
     $(i, j, xs) \leftarrow \text{WHILE}_T^{\text{partition-main-inv } R \ h \ lo \ hi \ xs0} \text{ — We loop from } j = lo \text{ to } j = hi - (1::'c).$ 
     $(\lambda(i, j, xs). j < hi)$ 
     $(\lambda(i, j, xs). \text{do } \{$ 
       $\text{ASSERT}(i < \text{length } xs \wedge j < \text{length } xs);$ 
       $\text{if } R \ (h \ (xs!j)) \ \text{pivot}$ 
       $\text{then RETURN } (i+1, j+1, \text{swap } xs \ i \ j)$ 
       $\text{else RETURN } (i, j+1, xs)$ 
     $\})$ 
     $(lo, lo, xs0);$  — i and j are both initialized to lo
     $\text{ASSERT}(i < \text{length } xs \wedge j = hi \wedge lo \leq i \wedge hi < \text{length } xs \wedge \text{mset } xs = \text{mset } xs0);$ 
     $\text{RETURN } (\text{swap } xs \ i \ hi, i)$ 
   $\}$ 

```

**lemma** *partition-main-correct*:

**assumes** *bounds*:  $\langle hi < \text{length } xs \rangle \langle lo \leq hi \rangle$  **and**

*trans*:  $\langle \bigwedge x y z. \llbracket R(h x) (h y); R(h y) (h z) \rrbracket \implies R(h x) (h z) \rangle$  **and** *lin*:  $\langle \bigwedge x y. R(h x) (h y) \vee R(h y) (h x) \rangle$

**shows**  $\langle \text{partition-main } R \ h \ lo \ hi \ xs \leq \text{SPEC}(\lambda(xs', p). \text{mset } xs = \text{mset } xs' \wedge$

$lo \leq p \wedge p \leq hi \wedge \text{isPartition-map } R \ h \ xs' \ lo \ hi \ p \wedge (\forall i. i < lo \longrightarrow xs'!i = xs!i) \wedge (\forall i. hi < i \wedge i < \text{length } xs' \longrightarrow xs'!i = xs!i) \rangle$

**proof** —

**have** *K*:  $\langle b \leq hi - \text{Suc } n \implies n > 0 \implies \text{Suc } n \leq hi \implies \text{Suc } b \leq hi - n \rangle$  **for**  $b \ hi \ n$

**by** *auto*

**have** *L*:  $\langle \sim R(h x) (h y) \implies R(h y) (h x) \rangle$  **for**  $x \ y$  — Corollary of linearity

**using** *assms* **by** *blast*

**have** *M*:  $\langle a < \text{Suc } b \equiv a = b \vee a < b \rangle$  **for**  $a \ b$

**by** *linarith*

**have** *N*:  $\langle (a::\text{nat}) \leq b \equiv a = b \vee a < b \rangle$  **for**  $a \ b$

**by** *arith*

**show** *?thesis*

**unfolding** *partition-main-def choose-pivot-def*

**apply** (*refine-vcg WHILEIT-rule* [**where**  $R = \langle \text{measure}(\lambda(i,j,xs). \text{hi} - j) \rangle$ ])

**subgoal using** *assms* **by** *blast* — We feed our assumption to the assertion

**subgoal by** *auto* — WF

**subgoal** — Invariant holds before the first iteration

**unfolding** *partition-main-inv-def*

**using** *assms* **apply** *simp* **by** *linarith*

**subgoal unfolding** *partition-main-inv-def* **by** *simp*

**subgoal unfolding** *partition-main-inv-def* **by** *simp*

**subgoal**

**unfolding** *partition-main-inv-def*

**apply** (*auto dest: mset-eq-length*)

**done**

**subgoal unfolding** *partition-main-inv-def* **by** (*auto dest: mset-eq-length*)

**subgoal**

**unfolding** *partition-main-inv-def* **apply** (*auto dest: mset-eq-length*)

**by** (*metis L M mset-eq-length nat-le-eq-or-lt*)

**subgoal unfolding** *partition-main-inv-def* **by** *simp* — assertions, etc

**subgoal unfolding** *partition-main-inv-def* **by** *simp*

**subgoal unfolding** *partition-main-inv-def* **by** (*auto dest: mset-eq-length*)

**subgoal unfolding** *partition-main-inv-def* **by** *simp*

**subgoal unfolding** *partition-main-inv-def* **by** (*auto dest: mset-eq-length*)

**subgoal unfolding** *partition-main-inv-def* **by** (*auto dest: mset-eq-length*)

**subgoal unfolding** *partition-main-inv-def* **by** (*auto dest: mset-eq-length*)

**subgoal unfolding** *partition-main-inv-def* **by** *simp*

**subgoal unfolding** *partition-main-inv-def* **by** *simp*

**subgoal** — After the last iteration, we have a partitioning! :-)

**unfolding** *partition-main-inv-def* **by** (*auto simp add: isPartition-wrt-def*)

**subgoal** — And the lower out-of-bounds parts of the list haven't been changed

**unfolding** *partition-main-inv-def* **by** *auto*

**subgoal** — And the upper out-of-bounds parts of the list haven't been changed

**unfolding** *partition-main-inv-def* **by** *auto*

**done**

**qed**

**definition** *partition-between* ::  $\langle 'b \Rightarrow 'b \Rightarrow \text{bool} \rangle \Rightarrow \langle 'a \Rightarrow 'b \rangle \Rightarrow \text{nat} \Rightarrow \text{nat} \Rightarrow 'a \text{ list} \Rightarrow ('a \text{ list} \times \text{nat}) \text{ nres} \rangle$  **where**

```

  partition-between R h lo hi xs0 = do {
    ASSERT(hi < length xs0 ∧ lo ≤ hi);
    k ← choose-pivot R h xs0 lo hi; — choice of pivot
    ASSERT(k < length xs0);
    xs ← RETURN (swap xs0 k hi); — move the pivot to the last position, before we start the actual
loop
    ASSERT(length xs = length xs0);
    partition-main R h lo hi xs
  }

```

**lemma** *partition-between-correct*:

**assumes**  $\langle hi < \text{length } xs \rangle$  **and**  $\langle lo \leq hi \rangle$  **and**  
 $\langle \bigwedge x y z. \llbracket R(h x)(h y); R(h y)(h z) \rrbracket \implies R(h x)(h z) \rangle$  **and**  $\langle \bigwedge x y. R(h x)(h y) \vee R(h y)(h x) \rangle$   
**shows**  $\langle \text{partition-between } R h lo hi xs \leq \text{SPEC}(\text{uncurry } (\text{partition-spec } R h xs lo hi)) \rangle$

**proof** –

**have**  $K: \langle b \leq hi - \text{Suc } n \implies n > 0 \implies \text{Suc } n \leq hi \implies \text{Suc } b \leq hi - n \rangle$  **for**  $b hi n$   
**by** *auto*  
**show** *?thesis*  
**unfolding** *partition-between-def choose-pivot-def*  
**apply** (*refine-vcg partition-main-correct*)  
**using** *assms* **apply** (*auto dest: mset-eq-length simp add: partition-spec-def*)  
**by** (*metis dual-order.strict-trans2 less-imp-not-eq2 mset-eq-length swap-nth*)

**qed**

We use the median of the first, the middle, and the last element.

**definition** *choose-pivot3* **where**

```

  choose-pivot3 R h xs lo (hi::nat) = do {
    ASSERT(lo < length xs);
    ASSERT(hi < length xs);
    let k' = (hi - lo) div 2;
    let k = lo + k';
    ASSERT(k < length xs);
    let start = h (xs ! lo);
    let mid = h (xs ! k);
    let end = h (xs ! hi);
    if (R start mid ∧ R mid end) ∨ (R end mid ∧ R mid start) then RETURN k
    else if (R start end ∧ R end mid) ∨ (R mid end ∧ R end start) then RETURN hi
    else RETURN lo
  }

```

— We only have to show that this procedure yields a valid index between *lo* and *hi*.

**lemma** *choose-pivot3-choose-pivot*:

**assumes**  $\langle lo < \text{length } xs \rangle$   $\langle hi < \text{length } xs \rangle$   $\langle hi \geq lo \rangle$   
**shows**  $\langle \text{choose-pivot3 } R h xs lo hi \leq \Downarrow \text{Id } (\text{choose-pivot } R h xs lo hi) \rangle$   
**unfolding** *choose-pivot3-def choose-pivot-def*  
**using** *assms* **by** (*auto intro!: ASSERT-leI simp: Let-def*)

The refined partion function: We use the above pivot function and fold instead of non-deterministic iteration.

**definition** *partition-between-ref*

::  $\langle 'b \Rightarrow 'b \Rightarrow \text{bool} \rangle \Rightarrow \langle 'a \Rightarrow 'b \rangle \Rightarrow \text{nat} \Rightarrow \text{nat} \Rightarrow 'a \text{ list} \Rightarrow ('a \text{ list} \times \text{nat}) \text{ nres} \rangle$

**where**

```

  ⟨partition-between-ref R h lo hi xs0 = do {
    ASSERT(hi < length xs0 ∧ hi < length xs0 ∧ lo ≤ hi);
    k ← choose-pivot3 R h xs0 lo hi; — choice of pivot
    ASSERT(k < length xs0);
    xs ← RETURN (swap xs0 k hi); — move the pivot to the last position, before we start the actual
loop
    ASSERT(length xs = length xs0);
    partition-main R h lo hi xs
  }⟩

```

**lemma** *partition-main-ref'*:

```

  ⟨partition-main R h lo hi xs
    ≤ ↓ ((λ a b c d. Id) a b c d) (partition-main R h lo hi xs)⟩
by auto

```

**lemma** *Down-id-eq*:

```

  ⟨↓ Id x = x⟩
by auto

```

**lemma** *partition-between-ref-partition-between*:

```

  ⟨partition-between-ref R h lo hi xs ≤ (partition-between R h lo hi xs)⟩

```

**proof** —

```

have swap: ⟨(swap xs k hi, swap xs ka hi) ∈ Id⟩ if ⟨k = ka⟩
for k ka
using that by auto
have [refine0]: ⟨(h (xsa ! hi), h (xsaa ! hi)) ∈ Id⟩
if ⟨(xsa, xsaa) ∈ Id⟩
for xsa xsaa
using that by auto

```

**show** *?thesis*

```

apply (subst (2) Down-id-eq[symmetric])
unfolding partition-between-ref-def
  partition-between-def
  OP-def
apply (refine-vcg choose-pivot3-choose-pivot swap partition-main-correct)
subgoal by auto
subgoal by auto
subgoal by auto
subgoal by auto
subgoal by auto
subgoal by auto
subgoal by auto
subgoal by auto
subgoal by auto
by (auto intro: Refine-Basic.Id-refine dest: mset-eq-length)

```

**qed**

Technical lemma for *sepref*

**lemma** *partition-between-ref-partition-between'*:

```

  ⟨(uncurry2 (partition-between-ref R h), uncurry2 (partition-between R h)) ∈

```



$(\text{nat-rel} \times_r \text{nat-rel}) \times_r \langle \text{Id} \rangle \text{list-rel} \rightarrow_f \langle \langle \text{Id} \rangle \text{list-rel} \times_r \text{nat-rel} \rangle \text{nres-rel}$   
**by** (intro frefI nres-relI)  
 (auto intro: partition-between-ref-partition-between)

Example instantiation for pivot

**definition** choose-pivot3-impl **where**  
 $\langle \text{choose-pivot3-impl} = \text{choose-pivot3 } (\leq) \text{ id} \rangle$

**lemma** partition-between-ref-correct:

**assumes** trans:  $\langle \bigwedge x y z. \llbracket R(h x) (h y); R(h y) (h z) \rrbracket \implies R(h x) (h z) \rangle$  **and** lin:  $\langle \bigwedge x y. R(h x) (h y) \vee R(h y) (h x) \rangle$

**and** bounds:  $\langle hi < \text{length } xs \rangle \langle lo \leq hi \rangle$

**shows**  $\langle \text{partition-between-ref } R h lo hi xs \leq \text{SPEC } (\text{uncurry } (\text{partition-spec } R h xs lo hi)) \rangle$

**proof** –

**show** ?thesis

**apply** (rule partition-between-ref-partition-between[THEN order-trans])

**using** bounds **apply** (rule partition-between-correct[where h=h])

**subgoal by** (rule trans)

**subgoal by** (rule lin)

**done**

**qed**

Refined quicksort algorithm: We use the refined partition function.

**definition** quicksort-ref ::  $\langle \cdot \Rightarrow \cdot \Rightarrow \text{nat} \times \text{nat} \times 'a \text{ list} \Rightarrow 'a \text{ list nres} \rangle$  **where**

$\langle \text{quicksort-ref } R h = (\lambda(lo, hi, xs0). \text{do } \{$

$\text{do } \{$

$\text{RECT } (\lambda f (lo, hi, xs). \text{do } \{$

$\text{ASSERT}(lo \leq hi \wedge hi < \text{length } xs0 \wedge \text{mset } xs = \text{mset } xs0);$

$(xs, p) \leftarrow \text{partition-between-ref } R h lo hi xs$ ; — This is the refined partition function. Note that we need the premises (trans, lin, bounds) here.

$\text{ASSERT}(\text{mset } xs = \text{mset } xs0 \wedge p \geq lo \wedge p < \text{length } xs0);$

$xs \leftarrow (\text{if } p-1 \leq lo \text{ then RETURN } xs \text{ else } f(lo, p-1, xs));$

$\text{ASSERT}(\text{mset } xs = \text{mset } xs0);$

$\text{if } hi \leq p+1 \text{ then RETURN } xs \text{ else } f(p+1, hi, xs)$

$\}) (lo, hi, xs0)$

$\}) \rangle$

**lemma** fref-to-Down-curry2:

$\langle (\text{uncurry2 } f, \text{uncurry2 } g) \in [P]_f A \rightarrow \langle B \rangle \text{nres-rel} \implies$

$(\bigwedge x x' y y' z z'. P((x', y'), z') \implies ((x, y), z), ((x', y'), z')) \in A \implies$   
 $f x y z \leq \Downarrow B(g x' y' z') \rangle$

**unfolding** fref-def uncurry-def nres-rel-def

**by** auto

**lemma** fref-to-Down-curry:

$\langle (f, g) \in [P]_f A \rightarrow \langle B \rangle \text{nres-rel} \implies$

$(\bigwedge x x'. P x' \implies (x, x') \in A \implies$   
 $f x \leq \Downarrow B(g x')) \rangle$

**unfolding** fref-def uncurry-def nres-rel-def

**by** auto

```

lemma quicksort-ref-quicksort:
  assumes bounds:  $\langle hi < length\ xs \rangle \langle lo \leq hi \rangle$  and
    trans:  $\langle \bigwedge x\ y\ z. \llbracket R\ (h\ x)\ (h\ y); R\ (h\ y)\ (h\ z) \rrbracket \implies R\ (h\ x)\ (h\ z) \rangle$  and lin:  $\langle \bigwedge x\ y. R\ (h\ x)\ (h\ y) \vee R\ (h\ y)\ (h\ x) \rangle$ 
  shows  $\langle quicksort\text{-}ref\ R\ h\ x0 \leq \Downarrow Id\ (quicksort\ R\ h\ x0) \rangle$ 
proof -
  have wf:  $\langle wf\ (measure\ (\lambda(lo, hi, xs). Suc\ hi - lo)) \rangle$ 
    by auto
  have pre:  $\langle x0 = x0' \implies (x0, x0') \in Id \times_r Id \times_r \langle Id \rangle list\text{-}rel \rangle$  for  $x0\ x0' :: \langle nat \times nat \times 'b\ list \rangle$ 
    by auto
  have [refine0]:  $\langle (x1e = x1d) \implies (x1e, x1d) \in Id \rangle$  for  $x1e\ x1d :: \langle 'b\ list \rangle$ 
    by auto

  show ?thesis
  unfolding quicksort-def quicksort-ref-def
  apply (refine-vcg pre partition-between-ref-partition-between'[THEN fref-to-Down-curry2])

```

First assertion (premise for partition)

```

subgoal
by auto

```

First assertion (premise for partition)

```

subgoal
by auto
subgoal
by (auto dest: mset-eq-length)
subgoal
by (auto dest: mset-eq-length mset-eq-setD)

```

Correctness of the concrete partition function

```

subgoal
apply (simp, rule partition-between-ref-correct)
subgoal by (rule trans)
subgoal by (rule lin)
subgoal by auto — first premise
subgoal by auto — second premise
done
subgoal
by (auto dest: mset-eq-length mset-eq-setD)
subgoal by (auto simp: partition-spec-def isPartition-wrt-def)
subgoal by (auto simp: partition-spec-def isPartition-wrt-def dest: mset-eq-length)
subgoal
by (auto dest: mset-eq-length mset-eq-setD)
subgoal
by (auto dest: mset-eq-length mset-eq-setD)
subgoal
by (auto dest: mset-eq-length mset-eq-setD)
subgoal
by (auto dest: mset-eq-length mset-eq-setD)

```

```

by simp+

```

qed

— Sort the entire list

**definition** *full-quicksort* **where**

$\langle \text{full-quicksort } R \ h \ xs \equiv \text{if } xs = [] \text{ then RETURN } xs \text{ else quicksort } R \ h \ (0, \text{length } xs - 1, xs) \rangle$

**definition** *full-quicksort-ref* **where**

$\langle \text{full-quicksort-ref } R \ h \ xs \equiv$   
 $\text{if List.null } xs \text{ then RETURN } xs$   
 $\text{else quicksort-ref } R \ h \ (0, \text{length } xs - 1, xs) \rangle$

**definition** *full-quicksort-impl* ::  $\langle \text{nat list} \Rightarrow \text{nat list nres} \rangle$  **where**

$\langle \text{full-quicksort-impl } xs = \text{full-quicksort-ref } (\leq) \ id \ xs \rangle$

**lemma** *full-quicksort-ref-full-quicksort*:

**assumes** *trans*:  $\langle \bigwedge x \ y \ z. \llbracket R \ (h \ x) \ (h \ y); R \ (h \ y) \ (h \ z) \rrbracket \Longrightarrow R \ (h \ x) \ (h \ z) \rangle$  **and** *lin*:  $\langle \bigwedge x \ y. R \ (h \ x) \ (h \ y) \vee R \ (h \ y) \ (h \ x) \rangle$

**shows**  $\langle (\text{full-quicksort-ref } R \ h, \text{full-quicksort } R \ h) \in$   
 $\langle Id \rangle \text{list-rel} \rightarrow_f \langle \langle Id \rangle \text{list-rel} \rangle \text{nres-rel} \rangle$

**proof** –

**show** *?thesis*

**unfolding** *full-quicksort-ref-def full-quicksort-def*

**apply** (*intro frefI nres-relI*)

**apply** (*auto intro! quicksort-ref-quicksort[unfolding Down-id-eq] simp: List.null-def*)

**subgoal by** (*rule trans*)

**subgoal using** *lin* **by** *blast*

**done**

**qed**

**lemma** *sublist-entire*:

$\langle \text{sublist } xs \ 0 \ (\text{length } xs - 1) = xs \rangle$

**by** (*simp add: sublist-def*)

**lemma** *sorted-sublist-wrt-entire*:

**assumes**  $\langle \text{sorted-sublist-wrt } R \ xs \ 0 \ (\text{length } xs - 1) \rangle$

**shows**  $\langle \text{sorted-wrt } R \ xs \rangle$

**proof** –

**have**  $\langle \text{sorted-wrt } R \ (\text{sublist } xs \ 0 \ (\text{length } xs - 1)) \rangle$

**using** *assms* **by** (*simp add: sorted-sublist-wrt-def*)

**then show** *?thesis*

**by** (*metis sublist-entire*)

**qed**

**lemma** *sorted-sublist-map-entire*:

**assumes**  $\langle \text{sorted-sublist-map } R \ h \ xs \ 0 \ (\text{length } xs - 1) \rangle$

**shows**  $\langle \text{sorted-wrt } (\lambda x \ y. R \ (h \ x) \ (h \ y)) \ xs \rangle$

**proof** –

**show** *?thesis*

**using** *assms* **by** (*rule sorted-sublist-wrt-entire*)

**qed**

Final correctness lemma

**theorem** *full-quicksort-correct-sorted*:

**assumes**

*trans*:  $\langle \bigwedge x \ y \ z. \llbracket R \ (h \ x) \ (h \ y); R \ (h \ y) \ (h \ z) \rrbracket \Longrightarrow R \ (h \ x) \ (h \ z) \rangle$  **and** *lin*:  $\langle \bigwedge x \ y. x \neq y \Longrightarrow R \ (h \ x) \ (h \ y) \vee R \ (h \ y) \ (h \ x) \rangle$

```

shows  $\langle \text{full-quicksort } R \ h \ xs \leq \Downarrow \text{Id } (\text{SPEC}(\lambda xs'. \text{mset } xs' = \text{mset } xs \wedge \text{sorted-wrt } (\lambda x \ y. R \ (h \ x) \ (h \ y)) \ xs') \rangle$ 
proof –
  show ?thesis
    unfolding full-quicksort-def
    apply (refine-vcg)
    subgoal by simp — case xs=[]
    subgoal by simp — case xs=[]

    apply (rule quicksort-correct[THEN order-trans])
    subgoal by (rule trans)
    subgoal by (rule lin)
    subgoal by linarith
    subgoal by simp

    apply (simp add: Misc.subset-Collect-conv, intro allI impI conjI)
    subgoal
      by (auto simp add: quicksort-post-def)
    subgoal
      apply (rule sorted-sublist-map-entire)
      by (auto simp add: quicksort-post-def dest: mset-eq-length)
    done
qed

lemma full-quicksort-correct:
  assumes
    trans:  $\langle \bigwedge x \ y \ z. \llbracket R \ (h \ x) \ (h \ y); R \ (h \ y) \ (h \ z) \rrbracket \implies R \ (h \ x) \ (h \ z) \rangle$  and
    lin:  $\langle \bigwedge x \ y. R \ (h \ x) \ (h \ y) \vee R \ (h \ y) \ (h \ x) \rangle$ 
  shows  $\langle \text{full-quicksort } R \ h \ xs \leq \Downarrow \text{Id } (\text{SPEC}(\lambda xs'. \text{mset } xs' = \text{mset } xs)) \rangle$ 
  by (rule order-trans[OF full-quicksort-correct-sorted])
    (use assms in auto)

end

theory More-Loops
imports
  Refine-Monadic.Refine-While
  Refine-Monadic.Refine-Foreach
  HOL-Library.Rewrite
begin

```

## 1.4 More Theorem about Loops

Most theorem below have a counterpart in the Refinement Framework that is weaker (by missing assertions for example that are critical for code generation).

**lemma** *Down-id-eq*:

```

 $\langle \Downarrow \text{Id } x = x \rangle$ 
by auto

```

**lemma** *while-upt-while-direct1*:

```

 $b \geq a \implies$ 
 $do \{$ 
   $(-, \sigma) \leftarrow \text{WHILE}_T \ (\text{FOREACH-cond } c) \ (\lambda x. \text{do } \{ \text{ASSERT } (\text{FOREACH-cond } c \ x); \text{FOREACH-body } f \ x \})$ 
   $([a..<b], \sigma);$ 

```

```

    RETURN  $\sigma$ 
  }  $\leq$  do {
     $(-, \sigma) \leftarrow \text{WHILE}_T (\lambda(i, x). i < b \wedge c \ x) (\lambda(i, x). \text{do } \{\text{ASSERT } (i < b); \sigma' \leftarrow f \ i \ x; \text{RETURN } (i+1, \sigma')\}) (a, \sigma);$ 
    RETURN  $\sigma$ 
  }
  apply (rewrite at  $\langle - \leq \sqsupset \rangle$  Down-id-eq[symmetric])
  apply (refine-vcg WHILET-refine[where  $R = \langle \{((l, x'), (i::\text{nat}, x::'a)). x = x' \wedge i \leq b \wedge i \geq a \wedge l = \text{drop } (i-a) [a..<b]\} \rangle$ ])
  subgoal by auto
  subgoal by (auto simp: FOREACH-cond-def)
  subgoal by (auto simp: FOREACH-body-def intro!: bind-refine[OF Id-refine])
  subgoal by auto
  done

```

**lemma** while-upt-while-direct2:

```

   $b \geq a \implies$ 
  do {
     $(-, \sigma) \leftarrow \text{WHILE}_T (\text{FOREACH-cond } c) (\lambda x. \text{do } \{\text{ASSERT } (\text{FOREACH-cond } c \ x); \text{FOREACH-body } f \ x\})$ 
     $([a..<b], \sigma);$ 
    RETURN  $\sigma$ 
  }  $\geq$  do {
     $(-, \sigma) \leftarrow \text{WHILE}_T (\lambda(i, x). i < b \wedge c \ x) (\lambda(i, x). \text{do } \{\text{ASSERT } (i < b); \sigma' \leftarrow f \ i \ x; \text{RETURN } (i+1, \sigma')\}) (a, \sigma);$ 
    RETURN  $\sigma$ 
  }
  apply (rewrite at  $\langle - \leq \sqsupset \rangle$  Down-id-eq[symmetric])
  apply (refine-vcg WHILET-refine[where  $R = \langle \{((i::\text{nat}, x::'a), (l, x')). x = x' \wedge i \leq b \wedge i \geq a \wedge l = \text{drop } (i-a) [a..<b]\} \rangle$ ])
  subgoal by auto
  subgoal by (auto simp: FOREACH-cond-def)
  subgoal by (auto simp: FOREACH-body-def intro!: bind-refine[OF Id-refine])
  subgoal by (auto simp: FOREACH-body-def intro!: bind-refine[OF Id-refine])
  subgoal by auto
  done

```

**lemma** while-upt-while-direct:

```

   $b \geq a \implies$ 
  do {
     $(-, \sigma) \leftarrow \text{WHILE}_T (\text{FOREACH-cond } c) (\lambda x. \text{do } \{\text{ASSERT } (\text{FOREACH-cond } c \ x); \text{FOREACH-body } f \ x\})$ 
     $([a..<b], \sigma);$ 
    RETURN  $\sigma$ 
  } = do {
     $(-, \sigma) \leftarrow \text{WHILE}_T (\lambda(i, x). i < b \wedge c \ x) (\lambda(i, x). \text{do } \{\text{ASSERT } (i < b); \sigma' \leftarrow f \ i \ x; \text{RETURN } (i+1, \sigma')\}) (a, \sigma);$ 
    RETURN  $\sigma$ 
  }
  using while-upt-while-direct1[of a b] while-upt-while-direct2[of a b]
  unfolding order-class.eq-iff by fast

```

**lemma** while-nfoldli:

```

  do {
     $(-, \sigma) \leftarrow \text{WHILE}_T (\text{FOREACH-cond } c) (\lambda x. \text{do } \{\text{ASSERT } (\text{FOREACH-cond } c \ x); \text{FOREACH-body } f \ x\})$ 

```

```

  f x}) (l,σ);
    RETURN σ
  } ≤ nfoldli l c f σ
  apply (induct l arbitrary: σ)
  apply (subst WHILET-unfold)
  apply (simp add: FOREACH-cond-def)

  apply (subst WHILET-unfold)
  apply (auto
    simp: FOREACH-cond-def FOREACH-body-def
    intro: bind-mono Refine-Basic.bind-mono(1))
done
lemma nfoldli-while: nfoldli l c f σ
  ≤
  (WHILETI
    (FOREACH-cond c) (λx. do {ASSERT (FOREACH-cond c x); FOREACH-body f x})) (l, σ)
  >>=
  (λ(-, σ). RETURN σ))
proof (induct l arbitrary: σ)
  case Nil thus ?case by (subst WHILEIT-unfold) (auto simp: FOREACH-cond-def)
next
  case (Cons x ls)
  show ?case
  proof (cases c σ)
    case False thus ?thesis
      apply (subst WHILEIT-unfold)
      unfolding FOREACH-cond-def
      by simp
  next
    case [simp]: True
    from Cons show ?thesis
      apply (subst WHILEIT-unfold)
      unfolding FOREACH-cond-def FOREACH-body-def
      apply clarsimp
      apply (rule Refine-Basic.bind-mono)
      apply simp-all
      done
  qed
qed

lemma while-eq-nfoldli: do {
  (-,σ) ← WHILET (FOREACH-cond c) (λx. do {ASSERT (FOREACH-cond c x); FOREACH-body
  f x}) (l,σ);
  RETURN σ
} = nfoldli l c f σ
  apply (rule antisym)
  apply (rule while-nfoldli)
  apply (rule order-trans[OF nfoldli-while[where I=λ-. True]])
  apply (simp add: WHILET-def)
done

end

theory PAC-More-Poly
  imports HOL-Library.Poly-Mapping HOL-Algebra.Polynomials Polynomials.MPoly-Type-Class

```

*HOL-Algebra.Module*  
*HOL-Library.Countable-Set*  
**begin**

## 2 Libraries

### 2.1 More Polynomials

Here are more theorems on polynomials. Most of these facts are extremely trivial and should probably be generalised and moved to the Isabelle distribution.

**lemma** *Const<sub>0</sub>-add*:

$\langle \text{Const}_0 (a + b) = \text{Const}_0 a + \text{Const}_0 b \rangle$

**by** *transfer*

(*simp add: Const<sub>0</sub>-def single-add*)

**lemma** *Const-mult*:

$\langle \text{Const} (a * b) = \text{Const} a * \text{Const} b \rangle$

**by** *transfer*

(*simp add: Const<sub>0</sub>-def times-monomial-monomial*)

**lemma** *Const<sub>0</sub>-mult*:

$\langle \text{Const}_0 (a * b) = \text{Const}_0 a * \text{Const}_0 b \rangle$

**by** *transfer*

(*simp add: Const<sub>0</sub>-def times-monomial-monomial*)

**lemma** *Const0[simp]*:

$\langle \text{Const } 0 = 0 \rangle$

**by** *transfer (simp add: Const<sub>0</sub>-def)*

**lemma** (**in**  $-$ ) *Const-uminus[simp]*:

$\langle \text{Const} (-n) = - \text{Const } n \rangle$

**by** *transfer*

(*auto simp: Const<sub>0</sub>-def monomial-uminus*)

**lemma** [*simp*]:  $\langle \text{Const}_0 0 = 0 \rangle$

$\langle \text{MPoly } 0 = 0 \rangle$

**supply** [*show-sorts*]

**by** (*auto simp: Const<sub>0</sub>-def zero-mpoly-def*)

**lemma** *Const-add*:

$\langle \text{Const} (a + b) = \text{Const} a + \text{Const} b \rangle$

**by** *transfer*

(*simp add: Const<sub>0</sub>-def single-add*)

**instance** *mpoly* :: (*comm-semiring-1*) *comm-semiring-1*

**by** *standard*

**lemma** *degree-uminus[simp]*:

$\langle \text{degree} (-A) x' = \text{degree } A x' \rangle$

**by** (*auto simp: degree-def uminus-mpoly.rep-eq*)

**lemma** *degree-sum-notin*:

$\langle x' \notin \text{vars } B \implies \text{degree} (A + B) x' = \text{degree } A x' \rangle$

**apply** (*auto simp: degree-def*)

```

apply (rule arg-cong[of - - Max])
apply (auto simp: plus-mpoly.rep-eq)
apply (smt Poly-Mapping.keys-add UN-I UnE image-iff in-keys-iff subsetD vars-def)
by (smt UN-I add.right-neutral imageI lookup-add not-in-keys-iff-lookup-eq-zero vars-def)

```

**lemma** degree-notin-vars:

```

 $\langle x \notin (\text{vars } B) \implies \text{degree } (B :: 'a :: \{\text{monoid-add}\} \text{ mpoly}) \ x = 0 \rangle$ 
using degree-sum-notin[of x B 0]
by auto

```

**lemma** not-in-vars-coeff0:

```

 $\langle x \notin \text{vars } p \implies \text{MPoly-Type.coeff } p \ (\text{monomial } (\text{Suc } 0) \ x) = 0 \rangle$ 
apply (subst not-not[symmetric])
apply (subst coeef-keys[symmetric])
apply (auto simp: vars-def)
done

```

**lemma** keys-mapping-sum-add:

```

 $\langle \text{finite } A \implies \text{keys } (\text{mapping-of } (\sum v \in A. f \ v)) \subseteq \bigcup (\text{keys } 'f \ \text{mapping-of } 'f \ \text{UNIV}) \rangle$ 
apply (induction A rule: finite-induct)
apply (auto simp add: zero-mpoly.rep-eq plus-mpoly.rep-eq
  keys-plus-ninv-comm-monoid-add)
by (metis (no-types, lifting) Poly-Mapping.keys-add UN-E UnE subset-eq)

```

**lemma** vars-sum-vars-union:

```

fixes f ::  $\langle \text{int mpoly} \Rightarrow \text{int mpoly} \rangle$ 
assumes  $\langle \text{finite } \{v. f \ v \neq 0\} \rangle$ 
shows  $\langle \text{vars } (\sum v \mid f \ v \neq 0. f \ v * v) \subseteq \bigcup (\text{vars } 'f \ \{v. f \ v \neq 0\}) \cup \bigcup (\text{vars } 'f \ 'f \ \{v. f \ v \neq 0\}) \rangle$ 
  (is  $\langle ?A \subseteq ?B \rangle$ )

```

**proof**

```

fix p
assume  $\langle p \in \text{vars } (\sum v \mid f \ v \neq 0. f \ v * v) \rangle$ 
then obtain x where  $\langle x \in \text{keys } (\text{mapping-of } (\sum v \mid f \ v \neq 0. f \ v * v)) \rangle$  and
  p:  $\langle p \in \text{keys } x \rangle$ 
by (auto simp: vars-def times-mpoly.rep-eq simp del: keys-mult)
then have  $\langle x \in (\bigcup x. \text{keys } (\text{mapping-of } (f \ x) * \text{mapping-of } x)) \rangle$ 
  using keys-mapping-sum-add[of  $\langle \{v. f \ v \neq 0\} \rangle$   $\langle \lambda x. f \ x * x \rangle$ ] assms
by (auto simp: vars-def times-mpoly.rep-eq)
then have  $\langle x \in (\bigcup x. \{a+b \mid a \ b. a \in \text{keys } (\text{mapping-of } (f \ x)) \wedge b \in \text{keys } (\text{mapping-of } x)\}) \rangle$ 
  using Union-mono[OF ] keys-mult by fast
then show  $\langle p \in ?B \rangle$ 
  using p apply (auto simp: keys-add)
by (metis (no-types, lifting) Poly-Mapping.keys-add UN-I UnE empty-iff
  in-mono keys-zero vars-def zero-mpoly.rep-eq)

```

**qed**

**lemma** vars-in-right-only:

```

 $x \in \text{vars } q \implies x \notin \text{vars } p \implies x \in \text{vars } (p+q)$ 
apply (auto simp: vars-def keys-def plus-mpoly.rep-eq
  lookup-plus-fun)
by (metis add.left-neutral gr-implies-not0)

```

**lemma** [simp]:

```

 $\langle \text{vars } 0 = \{\} \rangle$ 

```



by (simp add: vars-def zero-mpoly.rep-eq)

**lemma** vars-Un-nointer:

⟨keys (mapping-of p) ∩ keys (mapping-of q) = {} ⟹ vars (p + q) = vars p ∪ vars q⟩  
 apply (auto simp: vars-def)  
 apply (metis (no-types, hide-lams) Poly-Mapping.keys-add UnE in-mono plus-mpoly.rep-eq)  
 apply (smt IntI UN-I add.right-neutral coeff-add coeff-keys empty-iff empty-iff in-keys-iff)  
 apply (smt IntI UN-I add.left-neutral coeff-add coeff-keys empty-iff empty-iff in-keys-iff)  
 done

**lemmas** [simp] = zero-mpoly.rep-eq

**lemma** polynomial-sum-monoms:

fixes p :: ⟨'a :: {comm-monoid-add, cancel-comm-monoid-add} mpoly⟩

shows

⟨p = (∑ x ∈ keys (mapping-of p). MPoly-Type.monom x (MPoly-Type.coeff p x))⟩

⟨keys (mapping-of p) ⊆ I ⟹ finite I ⟹ p = (∑ x ∈ I. MPoly-Type.monom x (MPoly-Type.coeff p x))⟩

**proof** –

**define** J **where** ⟨J ≡ keys (mapping-of p)⟩

**define** a **where** ⟨a x ≡ coeff p x⟩ **for** x

**have** ⟨finite (keys (mapping-of p))⟩

by auto

**have** ⟨p = (∑ x ∈ I. MPoly-Type.monom x (MPoly-Type.coeff p x))⟩

**if** ⟨finite I⟩ **and** ⟨keys (mapping-of p) ⊆ I⟩

**for** I

**using** that

**unfolding** a-def

**proof** (induction I arbitrary: p rule: finite-induct)

**case** empty

**then** **have** ⟨p = 0⟩

**using** empty coeff-all-0 coeff-keys **by** blast

**then** **show** ?case **using** empty **by** (auto simp: zero-mpoly.rep-eq)

**next**

**case** (insert x F) **note** fin = this(1) **and** xF = this(2) **and** IH = this(3) **and**  
 incl = this(4)

**let** ?p = ⟨p - MPoly-Type.monom x (MPoly-Type.coeff p x)⟩

**have** ⟨?p = (∑ xa ∈ F. MPoly-Type.monom xa (MPoly-Type.coeff ?p xa))⟩

**apply** (rule IH)

**using** incl **apply** auto

**by** (smt Diff-iff Diff-insert-absorb add-diff-cancel-right'

remove-term-keys remove-term-sum subsetD xF)

**also** **have** ⟨... = (∑ xa ∈ F. MPoly-Type.monom xa (MPoly-Type.coeff p xa))⟩

**apply** (use xF **in** ⟨auto intro!: sum.cong⟩)

**by** (metis (mono-tags, hide-lams) add-diff-cancel-right' remove-term-coeff

remove-term-sum when-def)

**finally** **show** ?case

**using** xF fin **apply** auto

**by** (metis add.commute add-diff-cancel-right' remove-term-sum)

**qed**

**from** this[of I] this[of J] **show**

⟨p = (∑ x ∈ keys (mapping-of p). MPoly-Type.monom x (MPoly-Type.coeff p x))⟩

⟨keys (mapping-of p) ⊆ I ⟹ finite I ⟹ p = (∑ x ∈ I. MPoly-Type.monom x (MPoly-Type.coeff p x))⟩

by (auto simp: J-def)  
qed

**lemma** vars-mult-monom:

fixes  $p :: \langle \text{int mpoly} \rangle$

shows  $\langle \text{vars } (p * (\text{monom } (\text{monomial } (\text{Suc } 0) \ x') \ 1)) = (\text{if } p = 0 \text{ then } \{\} \text{ else insert } x' (\text{vars } p)) \rangle$

**proof** –

let  $?v = \langle \text{monom } (\text{monomial } (\text{Suc } 0) \ x') \ 1 \rangle$

have

$p: \langle p = (\sum x \in \text{keys } (\text{mapping-of } p). \text{MPoly-Type.monom } x (\text{MPoly-Type.coeff } p \ x)) \rangle$  (is  $\langle - = (\sum x \in ?I. ?f \ x) \rangle$ )

using polynomial-sum-monomials(1)[of  $p$ ].

have  $pv: \langle p * ?v = (\sum x \in ?I. ?f \ x * ?v) \rangle$

by (subst  $p$ ) (auto simp: field-simps sum-distrib-left)

define  $I$  where  $\langle I \equiv ?I \rangle$

have  $\text{in-keysD}: \langle x \in \text{keys } (\text{mapping-of } (\sum x \in I. \text{MPoly-Type.monom } x (h \ x))) \implies x \in I \rangle$

if  $\langle \text{finite } I \rangle$  for  $I$  and  $h :: \langle - \Rightarrow \text{int} \rangle$  and  $x$

using that by (induction rule: finite-induct)

(force simp: monom.rep-eq empty-iff insert-iff keys-single coeff-monom

simp: coeff-keys simp flip: coeff-add

simp del: coeff-add)+

have  $\text{in-keys}: \langle \text{keys } (\text{mapping-of } (\sum x \in I. \text{MPoly-Type.monom } x (h \ x))) = (\bigcup x \in I. (\text{if } h \ x = 0 \text{ then } \{\} \text{ else } \{x\})) \rangle$

if  $\langle \text{finite } I \rangle$  for  $I$  and  $h :: \langle - \Rightarrow \text{int} \rangle$  and  $x$

supply  $\text{in-keysD}[dest]$

using that by (induction rule: finite-induct)

(auto simp: plus-mpoly.rep-eq MPoly-Type-Class.keys-plus-eqI)

have  $H[\text{simp}]: \langle \text{vars } ((\sum x \in I. \text{MPoly-Type.monom } x (h \ x))) = (\bigcup x \in I. (\text{if } h \ x = 0 \text{ then } \{\} \text{ else keys } x)) \rangle$

if  $\langle \text{finite } I \rangle$  for  $I$  and  $h :: \langle - \Rightarrow \text{int} \rangle$

using that by (auto simp: vars-def in-keys)

have  $\text{sums}: \langle (\sum x \in I.$

$\text{MPoly-Type.monom } (x + a') (c \ x)) =$

$(\sum x \in (\lambda x. x + a') \ 'I.$

$\text{MPoly-Type.monom } x (c \ (x - a')))) \rangle$

if  $\langle \text{finite } I \rangle$  for  $I \ a' \ c \ q$

using that apply (induction rule: finite-induct)

subgoal by auto

subgoal

unfolding image-insert by (subst sum.insert) auto

done

have  $\text{non-zero-keysEx}: \langle p \neq 0 \implies \exists a. a \in \text{keys } (\text{mapping-of } p) \rangle$  for  $p :: \langle \text{int mpoly} \rangle$

using mapping-of-inject by (fastforce simp add: ex-in-conv)

have  $\langle \text{finite } I \rangle \langle \text{keys } (\text{mapping-of } p) \subseteq I \rangle$

unfolding I-def by auto

then show

$\langle \text{vars } (p * (\text{monom } (\text{monomial } (\text{Suc } 0) \ x') \ 1)) = (\text{if } p = 0 \text{ then } \{\} \text{ else insert } x' (\text{vars } p)) \rangle$

apply (subst  $pv$ , subst I-def[symmetric], subst mult-monom)

apply (auto simp: mult-monom sums I-def)

using Poly-Mapping.keys-add vars-def apply fastforce

apply (auto dest!: non-zero-keysEx)

```

apply (rule-tac x= ⟨a + monomial (Suc 0) x'⟩ in bexI)
apply (auto simp: coeff-keys)
apply (simp add: in-keys-iff lookup-add)
apply (auto simp: vars-def)
apply (rule-tac x= ⟨xa + monomial (Suc 0) x'⟩ in bexI)
apply (auto simp: coeff-keys)
apply (simp add: in-keys-iff lookup-add)
done
qed

lemma in-mapping-mult-single:
  ⟨x ∈ (λx. lookup x x') ' keys (A * (Var0 x' :: (nat ⇒0 nat) ⇒0 'b :: {monoid-mult, zero-neq-one, semiring-0}))⟩
  ⟷
  x > 0 ∧ x - 1 ∈ (λx. lookup x x') ' keys (A)
apply (auto elim!: in-keys-timesE simp: lookup-add)
apply (auto simp: keys-def lookup-times-monomial-right Var0-def)
apply (metis One-nat-def lookup-single-eq lookup-single-not-eq one-neq-zero)
apply (metis (mono-tags) add-diff-cancel-right' imageI lookup-single-eq lookup-single-not-eq mem-Collect-eq)
apply (subst image-iff)
apply (cases x)
apply simp
apply (rule-tac x= ⟨xa + Poly-Mapping.single x' 1⟩ in bexI)
apply (auto simp: lookup-add)
done

lemma Max-Suc-Suc-Max:
  ⟨finite A ⟹ A ≠ {} ⟹ Max (insert 0 (Suc 'A)) =
    Suc (Max (insert 0 A))⟩
by (induction rule: finite-induct)
  (auto simp: hom-Max-commute)

lemma [simp]:
  ⟨keys (Var0 x' :: ('a ⇒0 nat) ⇒0 'b :: {zero-neq-one}) = {Poly-Mapping.single x' 1}⟩
by (auto simp: Var0-def)

lemma degree-mult-Var:
  ⟨degree (A * Var x') x' = (if A = 0 then 0 else Suc (degree A x'))⟩ for A :: ⟨int mpoly⟩
apply (auto simp: degree-def times-mpoly.rep-eq)
apply (subst arg-cong[of - ⟨insert 0
  (Suc ' (λx. lookup x x') ' keys (mapping-of A))⟩⟩ Max])
apply (auto simp: image-image Var.rep-eq lookup-plus-fun in-mapping-mult-single
  hom-Max-commute)
elim!: in-keys-timesE intro!: Max-Suc-Suc-Max
  split: if-splits[]
apply (subst Max-Suc-Suc-Max)
apply auto
using mapping-of-inject by fastforce

lemma degree-mult-Var':
  ⟨degree (Var x' * A) x' = (if A = 0 then 0 else Suc (degree A x'))⟩ for A :: ⟨int mpoly⟩
by (simp add: degree-mult-Var semiring-normalization-rules(7))

lemma degree-add-max:
  ⟨degree (A + B) x ≤ max (degree A x) (degree B x)⟩

```

**apply** (*auto simp: degree-def plus-mpoly.rep-eq*  
*max-def*  
*dest!: set-rev-mp[OF - Poly-Mapping.keys-add]*)  
**by** (*smt Max-ge dual-order.trans finite-imageI finite-insert finite-keys*  
*image-subset-iff nat-le-linear subset-insertI*)

**lemma** *degree-times-le*:  
 $\langle \text{degree } (A * B) \ x \leq \text{degree } A \ x + \text{degree } B \ x \rangle$   
**by** (*auto simp: degree-def times-mpoly.rep-eq*  
*max-def lookup-add add-mono*  
*dest!: set-rev-mp[OF - Poly-Mapping.keys-add]*  
*elim!: in-keys-timesE*)

**lemma** *monomial-inj*:  
 $\text{monomial } c \ s = \text{monomial } (d::'b::\text{zero-neq-one}) \ t \longleftrightarrow (c = 0 \wedge d = 0) \vee (c = d \wedge s = t)$   
**apply** (*auto simp: monomial-inj Poly-Mapping.single-def*  
*poly-mapping.Abs-poly-mapping-inject when-def*  
*cong: if-cong*  
*split: if-splits*)  
**apply** *metis*  
**apply** *metis*  
**apply** *metis*  
**apply** *metis*  
**done**

**lemma** *MPoly-monomial-power'*:  
 $\langle \text{MPoly } (\text{monomial } 1 \ x') \wedge (n+1) = \text{MPoly } (\text{monomial } (1) \ (((\lambda x. x + x') \wedge n) \ x')) \rangle$   
**by** (*induction n*)  
*(auto simp: times-mpoly.abs-eq mult-single ac-simps)*

**lemma** *MPoly-monomial-power*:  
 $\langle n > 0 \implies \text{MPoly } (\text{monomial } 1 \ x') \wedge (n) = \text{MPoly } (\text{monomial } (1) \ (((\lambda x. x + x') \wedge (n-1)) \ x')) \rangle$   
**using** *MPoly-monomial-power'[of -  $\langle n-1 \rangle$ ]*  
**by** *auto*

**lemma** *vars-uminus[simp]*:  
 $\langle \text{vars } (-p) = \text{vars } p \rangle$   
**by** (*auto simp: vars-def uminus-mpoly.rep-eq*)

**lemma** *coeff-uminus[simp]*:  
 $\langle \text{MPoly-Type.coeff } (-p) \ x = -\text{MPoly-Type.coeff } p \ x \rangle$   
**by** (*auto simp: coeff-def uminus-mpoly.rep-eq*)

**definition** *decrease-key::'a  $\Rightarrow$  ('a  $\Rightarrow_0$  'b::{monoid-add, minus, one})  $\Rightarrow$  ('a  $\Rightarrow_0$  'b) where*  
*decrease-key k0 f = Abs-poly-mapping ( $\lambda k. \text{if } k = k0 \wedge \text{lookup } f \ k \neq 0 \text{ then } \text{lookup } f \ k - 1 \text{ else } \text{lookup } f \ k$ )*

**lemma** *remove-key-lookup*:  
 $\text{lookup } (\text{decrease-key } k0 \ f) \ k = (\text{if } k = k0 \wedge \text{lookup } f \ k \neq 0 \text{ then } \text{lookup } f \ k - 1 \text{ else } \text{lookup } f \ k)$   
**unfolding** *decrease-key-def* **using** *finite-subset* **apply** (*simp add: lookup-Abs-poly-mapping*)  
**apply** (*subst lookup-Abs-poly-mapping*)  
**apply** (*auto intro: finite-subset[of -  $\langle \{x. \text{lookup } f \ x \neq 0 \} \rangle]$* )  
**apply** (*subst lookup-Abs-poly-mapping*)

```

apply (auto intro: finite-subset[of - {x. lookup f x ≠ 0}])
done

lemma polynomial-split-on-var:
  fixes p :: ⟨a :: {comm-monoid-add, cancel-comm-monoid-add, semiring-0, comm-semiring-1} mpoly⟩
  obtains q r where
    ⟨p = monom (monomial (Suc 0) x') 1 * q + r⟩ and
    ⟨x' ∉ vars r⟩
proof -
  have [simp]: ⟨{x ∈ keys (mapping-of p). x' ∈ keys x} ∪
    {x ∈ keys (mapping-of p). x' ∉ keys x} = keys (mapping-of p)⟩
    by auto
  have
    ⟨p = (∑ x ∈ keys (mapping-of p). MPoly-Type.monom x (MPoly-Type.coeff p x))⟩ (is ⟨- = (∑ x ∈ ?I.
    ?f x)⟩)
    using polynomial-sum-monoms(1)[of p] .
  also have ⟨... = (∑ x ∈ {x ∈ ?I. x' ∈ keys x}. ?f x) + (∑ x ∈ {x ∈ ?I. x' ∉ keys x}. ?f x)⟩ (is ⟨- =
    ?pX + ?qX⟩)
    by (subst comm-monoid-add-class.sum.union-disjoint[symmetric]) auto
  finally have 1: ⟨p = ?pX + ?qX⟩ .
  have H: ⟨0 < lookup x x' ⟹ (λk. (if x' = k then Suc 0 else 0) +
    (if k = x' ∧ 0 < lookup x k then lookup x k - 1
    else lookup x k)) = lookup x⟩ for x x'
    by auto
  have H: ⟨x' ∈ keys x ⟹ monomial (Suc 0) x' + Abs-poly-mapping (λk. if k = x' ∧ 0 < lookup x k
    then lookup x k - 1 else lookup x k) = x⟩
    for x and x' :: nat
    apply (simp only: keys-def single.abs-eq)
    apply (subst plus-poly-mapping.abs-eq)
    apply (auto simp: eq-onp-def intro!: finite-subset[of {-. ∧ -}]{x. 0 < lookup x x})
    apply (smt bounded-nat-set-is-finite lessI mem-Collect-eq neq0-conv when-cong when-neq-zero)
    apply (rule finite-subset[of - {x. 0 < lookup x x}])
    by (auto simp: when-def H split: if-splits)

  have [simp]: ⟨x' ∈ keys x ⟹
    MPoly-Type.monom (monomial (Suc 0) x' + decrease-key x' x) n =
    MPoly-Type.monom x n⟩ for x n and x'
    apply (subst mpoly.mapping-of-inject[symmetric], subst poly-mapping.lookup-inject[symmetric])
    unfolding mapping-of-monom lookup-single
    apply (auto intro!: ext simp: decrease-key-def when-def H)
    done
  have pX: ⟨?pX = monom (monomial (Suc 0) x') 1 * (∑ x ∈ {x ∈ ?I. x' ∈ keys x}. MPoly-Type.monom
    (decrease-key x' x) (MPoly-Type.coeff p x))⟩
    (is ⟨- = - * ?pX⟩)
    by (subst sum-distrib-left, subst mult-monom)
    (auto intro!: sum.cong)
  have ⟨x' ∉ vars ?qX⟩
    using vars-setsum[of {x. x ∈ keys (mapping-of p) ∧ x' ∉ keys x}]{?f}]
    by auto (metis (mono-tags, lifting) UN-E mem-Collect-eq subsetD vars-monom-subset)

  then show ?thesis
    using that[of ?pX' ?qX]
    unfolding pX[symmetric] 1[symmetric]
    by blast
qed

```

```

lemma polynomial-split-on-var2:
  fixes p :: ⟨int mpoly⟩
  assumes ⟨x' ∉ vars s⟩
  obtains q r where
    ⟨p = (monom (monomial (Suc 0) x') 1 - s) * q + r⟩ and
    ⟨x' ∉ vars r⟩
proof -
  have eq[simp]: ⟨monom (monomial (Suc 0) x') 1 = Var x'⟩
    by (simp add: Var.abs-eq Var_0-def monom.abs-eq)
  have ⟨∀ m ≤ n. ∀ P::int mpoly. degree P x' < m ⟶ (∃ A B. P = (Var x' - s) * A + B ∧ x' ∉ vars B)⟩ for n
  proof (induction n)
    case 0
    then show ?case by auto
  next
    case (Suc n)
    then have IH: ⟨m ≤ n ⟶ MPoly-Type.degree P x' < m ⟶
      (∃ A B. P = (Var x' - s) * A + B ∧ x' ∉ vars B)⟩ for m P
      by fast
    show ?case
    proof (intro allI impI)
      fix m and P :: ⟨int mpoly⟩
      assume ⟨m ≤ Suc n⟩ and deg: ⟨MPoly-Type.degree P x' < m⟩
      consider
        ⟨m ≤ n⟩ |
        ⟨m = Suc n⟩
      using ⟨m ≤ Suc n⟩ by linarith
      then show ⟨∃ A B. P = (Var x' - s) * A + B ∧ x' ∉ vars B⟩
    proof cases
      case 1
      then show ⟨?thesis⟩
        using Suc deg by blast
    next
      case [simp]: 2
      obtain A B where
        P: ⟨P = Var x' * A + B⟩ and
        ⟨x' ∉ vars B⟩
        using polynomial-split-on-var[of P x'] unfolding eq by blast
      have P': ⟨P = (Var x' - s) * A + (s * A + B)⟩
        by (auto simp: field-simps P)
      have ⟨A = 0 ∨ degree (s * A) x' < degree P x'⟩
        using deg ⟨x' ∉ vars B⟩ ⟨x' ∉ vars s⟩ degree-times-le[of s A x'] deg
        unfolding P
        by (auto simp: degree-sum-notin degree-mult-Var' degree-mult-Var degree-notin-vars
          split: if-splits)
      then obtain A' B' where
        sA: ⟨s * A = (Var x' - s) * A' + B'⟩ and
        ⟨x' ∉ vars B'⟩
        using IH[of ⟨m-1⟩ ⟨s * A⟩] deg apply auto
        by (metis ⟨x' ∉ vars B⟩ add.right-neutral mult-zero-right vars-in-right-only)
      have ⟨P = (Var x' - s) * (A + A') + (B' + B)⟩
        unfolding P' sA by (auto simp: field-simps)
      moreover have ⟨x' ∉ vars (B' + B)⟩

```

```

    using ⟨x' ∉ vars B'⟩ ⟨x' ∉ vars B⟩
    by (meson UnE subset-iff vars-add)
  ultimately show ?thesis
    by fast
qed
qed
qed
then show ?thesis
  using that unfolding eq
  by blast
qed

lemma polynomial-split-on-var-diff-sq2:
  fixes p :: ⟨int mpoly⟩
  obtains q r s where
    ⟨p = monom (monomial (Suc 0) x') 1 * q + r + s * (monom (monomial (Suc 0) x') 1^2 - monom
(monomial (Suc 0) x') 1)⟩ and
    ⟨x' ∉ vars r⟩ and
    ⟨x' ∉ vars q⟩
proof -
  let ?v = ⟨monom (monomial (Suc 0) x') 1 :: int mpoly⟩
  have H: ⟨n < m ⟹ n > 0 ⟹ ∃ q. ?v^n = ?v + q * (?v^2 - ?v)⟩ for n m :: nat
  proof (induction m arbitrary: n)
    case 0
    then show ?case by auto
  next
    case (Suc m n) note IH = this(1-)
    consider
      ⟨n < m⟩ |
      ⟨m = n⟩ ⟨n > 1⟩ |
      ⟨n = 1⟩
    using IH
    by (cases ⟨n < m⟩; cases n) auto
  then show ?case
  proof cases
    case 1
    then show ?thesis using IH by auto
  next
    case 2
    have eq: ⟨?v^n = ((?v :: int mpoly) ^ (n-2)) * (?v^2 - ?v) + ?v^(n-1)⟩
      using 2 by (auto simp: field-simps power-eq-if
ideal.scale-right-diff-distrib)
    obtain q where
      q: ⟨?v^(n-1) = ?v + q * (?v^2 - ?v)⟩
      using IH(1)[of ⟨n-1⟩] 2
      by auto
    show ?thesis
      using q unfolding eq
      by (auto intro!: exI[of - ⟨?v ^ (n - 2) + q⟩ simp: distrib-right])
  next
    case 3
    then show ⟨?thesis⟩
      by auto
  qed
qed
qed

```

```

have  $H$ :  $\langle n > 0 \implies \exists q. ?v \hat{=} ?v + q * (?v \hat{=} 2 - ?v) \rangle$  for  $n$ 
  using  $H[of\ n\ \langle n+1 \rangle]$ 
  by auto
obtain  $qr :: \langle nat \Rightarrow int\ mpoly \rangle$  where
   $qr$ :  $\langle n > 0 \implies ?v \hat{=} ?v + qr\ n * (?v \hat{=} 2 - ?v) \rangle$  for  $n$ 
  using  $H[of\ ]$ 
  by metis
have  $vn$ :  $\langle (if\ lookup\ x\ x' = 0\ then\ 1\ else\ Var\ x' \hat{=} lookup\ x\ x') =$ 
   $(if\ lookup\ x\ x' = 0\ then\ 1\ else\ ?v) + (if\ lookup\ x\ x' = 0\ then\ 0\ else\ 1) * qr\ (lookup\ x\ x') * (?v \hat{=} 2 - ?v) \rangle$ 
for  $x$ 
  by (simp add: qr[symmetric] Var-def Var0-def monom.abs-eq[symmetric] cong: if-cong)

have  $q$ :  $\langle p = (\sum x \in keys\ (mapping-of\ p).\ MPoly-Type.monom\ x\ (MPoly-Type.coeff\ p\ x)) \rangle$ 
  by (rule polynomial-sum-monoms(1)[of p])
have [simp]:
   $\langle lookup\ x\ x' = 0 \implies$ 
   $Abs-poly-mapping\ (\lambda k. lookup\ x\ k\ when\ k \neq x') = x \rangle$  for  $x$ 
  by (cases x, auto simp: poly-mapping.Abs-poly-mapping-inject)
  (auto intro!: ext simp: when-def)
have [simp]:  $\langle finite\ \{x.\ 0 < (a\ when\ x' = x)\} \rangle$  for  $a :: nat$ 
  by (metis (no-types, lifting) infinite-nat-iff-unbounded less-not-refl lookup-single lookup-single-not-eq
mem-Collect-eq)

have [simp]:  $\langle ((\lambda x. x + monomial\ (Suc\ 0)\ x') \hat{=} (n))$ 
   $(monomial\ (Suc\ 0)\ x') = Abs-poly-mapping\ (\lambda k. (if\ k = x'\ then\ n+1\ else\ 0)) \rangle$  for  $n$ 
  by (induction n)
  (auto simp: single-def Abs-poly-mapping-inject plus-poly-mapping.abs-eq eq-onp-def cong:if-cong)
have [simp]:  $\langle 0 < lookup\ x\ x' \implies$ 
   $Abs-poly-mapping\ (\lambda k. lookup\ x\ k\ when\ k \neq x') +$ 
   $Abs-poly-mapping\ (\lambda k. if\ k = x'\ then\ lookup\ x\ x' - Suc\ 0 + 1\ else\ 0) =$ 
   $x \rangle$  for  $x$ 
  apply (cases x, auto simp: poly-mapping.Abs-poly-mapping-inject plus-poly-mapping.abs-eq eq-onp-def)
  apply (subst plus-poly-mapping.abs-eq)
  apply (auto simp: poly-mapping.Abs-poly-mapping-inject plus-poly-mapping.abs-eq eq-onp-def)
  apply (metis (no-types, lifting) finite-nat-set-iff-bounded less-numeral-extra(3) mem-Collect-eq when-neq-zero
zero-less-iff-neq-zero)
  apply (subst Abs-poly-mapping-inject)
  apply auto
  apply (metis (no-types, lifting) finite-nat-set-iff-bounded less-numeral-extra(3) mem-Collect-eq when-neq-zero
zero-less-iff-neq-zero)
  done
define  $f$  where
   $\langle f\ x = (MPoly-Type.monom\ (remove-key\ x'\ x)\ (MPoly-Type.coeff\ p\ x)) *$ 
   $(if\ lookup\ x\ x' = 0\ then\ 1\ else\ Var\ x' \hat{=} (lookup\ x\ x')) \rangle$  for  $x$ 
have  $f-alt-def$ :  $\langle f\ x = MPoly-Type.monom\ x\ (MPoly-Type.coeff\ p\ x) \rangle$  for  $x$ 
  by (auto simp: f-def monom-def remove-key-def Var-def MPoly-monomial-power Var0-def
mpoly.MPoly-inject monomial-inj times-mpoly.abs-eq
times-mpoly.abs-eq mult-single)
have  $p$ :  $\langle p = (\sum x \in keys\ (mapping-of\ p).$ 
   $MPoly-Type.monom\ (remove-key\ x'\ x)\ (MPoly-Type.coeff\ p\ x) *$ 
   $(if\ lookup\ x\ x' = 0\ then\ 1\ else\ ?v)) +$ 
   $(\sum x \in keys\ (mapping-of\ p).$ 
   $MPoly-Type.monom\ (remove-key\ x'\ x)\ (MPoly-Type.coeff\ p\ x) *$ 
   $(if\ lookup\ x\ x' = 0\ then\ 0$ 
   $else\ 1) * qr\ (lookup\ x\ x')) *$ 

```



```

      (?v2 - ?v)
    (is <- = ?a + ?v2v)
  apply (subst q)
  unfolding f-alt-def[symmetric, abs-def] f-def vn semiring-class.distrib-left
    comm-semiring-1-class.semiring-normalization-rules(18) semiring-0-class.sum-distrib-right
  by (simp add: semiring-class.distrib-left
    sum.distrib)

  have I: <keys (mapping-of p) = {x ∈ keys (mapping-of p). lookup x x' = 0} ∪ {x ∈ keys (mapping-of
    p). lookup x x' ≠ 0}>
  by auto

  have <p = (∑ x | x ∈ keys (mapping-of p) ∧ lookup x x' = 0.
    MPoly-Type.monom x (MPoly-Type.coeff p x)) +
    (∑ x | x ∈ keys (mapping-of p) ∧ lookup x x' ≠ 0.
    MPoly-Type.monom (remove-key x' x) (MPoly-Type.coeff p x)) *
    (MPoly-Type.monom (monomial (Suc 0) x') 1) +
    (∑ x | x ∈ keys (mapping-of p) ∧ lookup x x' ≠ 0.
    MPoly-Type.monom (remove-key x' x) (MPoly-Type.coeff p x) *
    qr (lookup x x')) *
    (?v2 - ?v)>
  (is <p = ?A + ?B * - + ?C * ->)
  unfolding semiring-0-class.sum-distrib-right[of - - <(MPoly-Type.monom (monomial (Suc 0) x') 1)>]
  apply (subst p)
  apply (subst (2) I)
  apply (subst I)
  apply (subst comm-monoid-add-class.sum.union-disjoint)
  apply auto[3]
  apply (subst comm-monoid-add-class.sum.union-disjoint)
  apply auto[3]
  apply (subst (4) sum.cong[OF refl, of - - <λx. MPoly-Type.monom (remove-key x' x) (MPoly-Type.coeff
    p x) *
    qr (lookup x x')>])
  apply (auto; fail)
  apply (subst (3) sum.cong[OF refl, of - - <λx. 0>])
  apply (auto; fail)
  apply (subst (2) sum.cong[OF refl, of - - <λx. MPoly-Type.monom (remove-key x' x) (MPoly-Type.coeff
    p x) *
    (MPoly-Type.monom (monomial (Suc 0) x') 1)>])
  apply (auto; fail)
  apply (subst (1) sum.cong[OF refl, of - - <λx. MPoly-Type.monom x (MPoly-Type.coeff p x)>])
  apply (auto)
  by (smt f-alt-def f-def mult-cancel-left1)

  moreover have <x' ∉ vars ?A>
  using vars-setsum[of <{x ∈ keys (mapping-of p). lookup x x' = 0}>
    <λx. MPoly-Type.monom x (MPoly-Type.coeff p x)>]
  apply auto
  apply (drule set-rev-mp, assumption)
  apply (auto dest!: lookup-eq-zero-in-keys-contradict)
  by (meson lookup-eq-zero-in-keys-contradict subsetD vars-monom-subset)
  moreover have <x' ∉ vars ?B>
  using vars-setsum[of <{x ∈ keys (mapping-of p). lookup x x' ≠ 0}>
    <λx. MPoly-Type.monom (remove-key x' x) (MPoly-Type.coeff p x)>]
  apply auto

```

```

  apply (drule set-rev-mp, assumption)
  apply (auto dest!: lookup-eq-zero-in-keys-contradict)
  apply (drule subsetD[OF vars-monom-subset])
  apply (auto simp: remove-key-keys[symmetric])
done
ultimately show ?thesis apply -
  apply (rule that[of ?B ?A ?C])
  apply (auto simp: ac-simps)
done
qed

```

```

lemma polynomial-decomp-alien-var:
  fixes q A b :: ⟨int mpoly⟩
  assumes
    q: ⟨q = A * (monom (monomial (Suc 0) x') 1) + b⟩ and
    x: ⟨x' ∉ vars q⟩ ⟨x' ∉ vars b⟩
  shows
    ⟨A = 0⟩ and
    ⟨q = b⟩
proof -
  let ?A = ⟨A * (monom (monomial (Suc 0) x') 1)⟩
  have ⟨?A = q - b⟩
    using arg-cong[OF q, of ⟨λa. a - b⟩]
    by auto
  moreover have ⟨x' ∉ vars (q - b)⟩
    using x vars-in-right-only
    by fastforce
  ultimately have ⟨x' ∉ vars (?A)⟩
    by simp
  then have ⟨?A = 0⟩
    by (auto simp: vars-mult-monom split: if-splits)
  then show ⟨A = 0⟩
    apply auto
    by (metis (full-types) empty-iff insert-iff mult-zero-right vars-mult-monom)
  then show ⟨q = b⟩
    using q by auto
qed

```

```

lemma polynomial-decomp-alien-var2:
  fixes q A b :: ⟨int mpoly⟩
  assumes
    q: ⟨q = A * (monom (monomial (Suc 0) x') 1 + p) + b⟩ and
    x: ⟨x' ∉ vars q⟩ ⟨x' ∉ vars b⟩ ⟨x' ∉ vars p⟩
  shows
    ⟨A = 0⟩ and
    ⟨q = b⟩
proof -
  let ?x = ⟨monom (monomial (Suc 0) x') 1⟩
  have x'[simp]: ⟨?x = Var x'⟩
    by (simp add: Var.abs-eq Var_0-def monom.abs-eq)
  have ⟨∃ n Ax A'. A = ?x * Ax + A' ∧ x' ∉ vars A' ∧ degree Ax x' = n⟩
    using polynomial-split-on-var[of A x'] by metis
  from wellorder-class.exists-least-iff[THEN iffD1, OF this] obtain Ax A' n where
    A: ⟨A = Ax * ?x + A'⟩ and
    x': ⟨x' ∉ vars A'⟩ and

```

```

n: ⟨MPoly-Type.degree Ax x' = n⟩ and
H: ⟨ $\bigwedge m$  Ax A'. m < n  $\longrightarrow$ 
    A  $\neq$  Ax * MPoly-Type.monom (monomial (Suc 0) x') 1 + A'  $\vee$ 
    x'  $\in$  vars A'  $\vee$  MPoly-Type.degree Ax x'  $\neq$  m⟩
unfolding wellorder-class.exists-least-iff[of ⟨ $\lambda n.$   $\exists$  Ax A'. A = Ax * ?x + A'  $\wedge$  x'  $\notin$  vars A'  $\wedge$ 
    degree Ax x' = n⟩]
by (auto simp: field-simps)

have ⟨q = (A + Ax * p) * monom (monomial (Suc 0) x') 1 + (p * A' + b)⟩
  unfolding q A by (auto simp: field-simps)
moreover have ⟨x'  $\notin$  vars q⟩ ⟨x'  $\notin$  vars (p * A' + b)⟩
  using x ⟨x'  $\notin$  vars A'⟩ apply (auto elim!: )
  by (smt UnE add.assoc add.commute calculation subset-iff vars-in-right-only vars-mult)
ultimately have ⟨A + Ax * p = 0⟩ ⟨q = p * A' + b⟩
  by (rule polynomial-decomp-alien-var)+

have A': ⟨A' = -Ax * ?x - Ax * p⟩
  using ⟨A + Ax * p = 0⟩ unfolding A
  by (metis (no-types, lifting) add-uminus-conv-diff eq-neg-iff-add-eq-0 minus-add-cancel mult-minus-left)

have ⟨A = - (Ax * p)⟩
  using A unfolding A'
  apply auto
  done

obtain Axx Ax' where
  Ax: ⟨Ax = ?x * Axx + Ax'⟩ and
  ⟨x'  $\notin$  vars Ax'⟩
  using polynomial-split-on-var[of Ax x'] by metis

have ⟨A = ?x * (- Axx * p) + (- Ax' * p)⟩
  unfolding ⟨A = - (Ax * p)⟩ Ax
  by (auto simp: field-simps)

moreover have ⟨x'  $\notin$  vars (-Ax' * p)⟩
  using ⟨x'  $\notin$  vars Ax'⟩ by (metis (no-types, hide-lams) UnE add.right-neutral
    add-minus-cancel assms(4) subsetD vars-in-right-only vars-mult)
moreover have ⟨Axx  $\neq$  0  $\implies$  MPoly-Type.degree (- Axx * p) x' < degree Ax x'⟩
  using degree-times-le[of Axx p x'] x
  by (auto simp: Ax degree-sum-notin ⟨x'  $\notin$  vars Ax'⟩ degree-mult-Var'
    degree-notin-vars)
ultimately have [simp]: ⟨Axx = 0⟩
  using H[of ⟨MPoly-Type.degree (- Axx * p) x'⟩ ⟨- Axx * p⟩ ⟨- Ax' * p⟩]
  by (auto simp: n)
then have [simp]: ⟨Ax' = Ax⟩
  using Ax by auto

show ⟨A = 0⟩
  using A ⟨A = - (Ax * p)⟩ ⟨x'  $\notin$  vars (- Ax' * p)⟩ ⟨x'  $\notin$  vars A'⟩ polynomial-decomp-alien-var(1)
by force
  then show ⟨q = b⟩
  using q by auto
qed

lemma vars-unE: ⟨x  $\in$  vars (a * b)  $\implies$  (x  $\in$  vars a  $\implies$  thesis)  $\implies$  (x  $\in$  vars b  $\implies$  thesis)  $\implies$  thesis

```

**using** *vars-mult*[of *a b*] **by** *auto*

**lemma** *in-keys-minusI1*:

**assumes**  $t \in \text{keys } p$  **and**  $t \notin \text{keys } q$   
**shows**  $t \in \text{keys } (p - q)$   
**using** *assms unfolding in-keys-iff lookup-minus* **by** *simp*

**lemma** *in-keys-minusI2*:

**fixes**  $t :: \langle 'a \rangle$  **and**  $q :: \langle 'a \Rightarrow_0 'b :: \{\text{cancel-comm-monoid-add, group-add}\} \rangle$   
**assumes**  $t \in \text{keys } q$  **and**  $t \notin \text{keys } p$   
**shows**  $t \in \text{keys } (p - q)$   
**using** *assms unfolding in-keys-iff lookup-minus* **by** *simp*

**lemma** *in-vars-addE*:

$\langle x \in \text{vars } (p + q) \Rightarrow (x \in \text{vars } p \Rightarrow \text{thesis}) \Rightarrow (x \in \text{vars } q \Rightarrow \text{thesis}) \Rightarrow \text{thesis} \rangle$   
**by** (*meson UnE in-mono vars-add*)

**lemma** *lookup-monomial-If*:

$\langle \text{lookup } (\text{monomial } v \ k) = (\lambda k'. \text{ if } k = k' \text{ then } v \text{ else } 0) \rangle$   
**by** (*intro ext*)  
*(auto simp: lookup-single-not-eq lookup-single-eq intro!: ext)*

**lemma** *vars-mult-Var*:

$\langle \text{vars } (\text{Var } x * p) = (\text{if } p = 0 \text{ then } \{\} \text{ else insert } x \ (\text{vars } p)) \rangle$  **for**  $p :: \langle \text{int mpoly} \rangle$   
**apply** (*auto simp: vars-def times-mpoly.rep-eq Var.rep-eq elim!: in-keys-timesE*)  
**apply** (*metis add.right-neutral in-keys-iff lookup-add lookup-single-not-eq*)  
**apply** (*auto simp: keys-def lookup-times-monomial-left Var.rep-eq Var<sub>0</sub>-def adds-def*)  
**apply** (*metis (no-types, hide-lams) One-nat-def ab-semigroup-add-class.add commute add-diff-cancel-right' aux lookup-add lookup-single-eq mapping-of-inject neq0-conv one-neq-zero plus-eq-zero-2 zero-mpoly.rep-eq*)  
**by** (*metis ab-semigroup-add-class.add commute add-diff-cancel-left' add-less-same-cancel1 lookup-add neq0-conv not-less0*)

**lemma** *keys-mult-monomial*:

$\langle \text{keys } (\text{monomial } (n :: \text{int}) \ k * \text{mapping-of } a) = (\text{if } n = 0 \text{ then } \{\} \text{ else } ((+) \ k) \ ' \text{keys } (\text{mapping-of } a)) \rangle$

**proof** –

**have** [*simp*]:  $\langle (\sum aa. (\text{if } k = aa \text{ then } n \text{ else } 0) * (\sum q. \text{lookup } (\text{mapping-of } a) \ q \text{ when } k + xa = aa + q)) = (\sum aa. (\text{if } k = aa \text{ then } n * (\sum q. \text{lookup } (\text{mapping-of } a) \ q \text{ when } k + xa = aa + q) \text{ else } 0)) \rangle$   
**for**  $xa$   
**by** (*smt Sum-any.cong mult-not-zero*)

**show** *?thesis*

**apply** (*auto simp: vars-def times-mpoly.rep-eq Const.rep-eq times-poly-mapping.rep-eq Const<sub>0</sub>-def elim!: in-keys-timesE split: if-splits*)

**apply** (*auto simp: lookup-monomial-If prod-fun-def keys-def times-poly-mapping.rep-eq*)

**done**

**qed**

**lemma** *vars-mult-Const*:

$\langle \text{vars } (\text{Const } n * a) = (\text{if } n = 0 \text{ then } \{\} \text{ else vars } a) \rangle$  **for**  $a :: \langle \text{int mpoly} \rangle$

by (auto simp: vars-def times-mpoly.rep-eq Const.rep-eq keys-mult-monomial  
Const<sub>0</sub>-def elim!: in-keys-timesE split: if-splits)

**lemma** coeff-minus: coeff p m - coeff q m = coeff (p-q) m  
by (simp add: coeff-def lookup-minus minus-mpoly.rep-eq)

**lemma** Const-1-eq-1:  $\langle \text{Const } (1 :: \text{int}) = (1 :: \text{int } \text{mpoly}) \rangle$   
by (simp add: Const.abs-eq Const<sub>0</sub>-one one-mpoly.abs-eq)

**lemma** [simp]:  
 $\langle \text{vars } (1 :: \text{int } \text{mpoly}) = \{\} \rangle$   
by (auto simp: vars-def one-mpoly.rep-eq Const-1-eq-1)

## 2.2 More Ideals

**lemma**  
fixes A ::  $\langle ('x \Rightarrow_0 \text{nat}) \Rightarrow_0 'a :: \text{comm-ring-1} \rangle \text{set}$   
assumes  $\langle p \in \text{ideal } A \rangle$   
shows  $\langle p * q \in \text{ideal } A \rangle$   
by (metis assms ideal.span-scale semiring-normalization-rules(7))

The following theorem is very close to *More-Modules.ideal* (insert ?a ?S) = {x.  $\exists k. x - k * ?a \in \text{More-Modules.ideal } ?S$ }, except that it is more useful if we need to take an element of *More-Modules.ideal* (insert a S).

**lemma** ideal-insert':  
 $\langle \text{More-Modules.ideal } (\text{insert } a S) = \{y. \exists x k. y = x + k * a \wedge x \in \text{More-Modules.ideal } S\} \rangle$   
apply (auto simp: ideal.span-insert  
intro: exI[of -  $\langle - - k * a \rangle$ ])  
apply (rule-tac x =  $\langle x - k * a \rangle$  in exI)  
apply auto  
apply (rule-tac x =  $\langle k \rangle$  in exI)  
apply auto  
done

**lemma** ideal-mult-right-in:  
 $\langle a \in \text{ideal } A \implies a * b \in \text{More-Modules.ideal } A \rangle$   
by (metis ideal.span-scale mult.commute)

**lemma** ideal-mult-right-in2:  
 $\langle a \in \text{ideal } A \implies b * a \in \text{More-Modules.ideal } A \rangle$   
by (metis ideal.span-scale)

**lemma** [simp]:  $\langle \text{vars } (\text{Var } x :: 'a :: \{\text{zero-neq-one}\} \text{mpoly}) = \{x\} \rangle$   
by (auto simp: vars-def Var.rep-eq Var<sub>0</sub>-def)

**lemma** vars-minus-Var-subset:  
 $\langle \text{vars } (p' - \text{Var } x :: 'a :: \{\text{ab-group-add,one,zero-neq-one}\} \text{mpoly}) \subseteq \mathcal{V} \implies \text{vars } p' \subseteq \text{insert } x \mathcal{V} \rangle$   
using vars-add[of  $\langle p' - \text{Var } x \rangle \langle \text{Var } x \rangle$ ]  
by auto

**lemma** vars-add-Var-subset:  
 $\langle \text{vars } (p' + \text{Var } x :: 'a :: \{\text{ab-group-add,one,zero-neq-one}\} \text{mpoly}) \subseteq \mathcal{V} \implies \text{vars } p' \subseteq \text{insert } x \mathcal{V} \rangle$   
using vars-add[of  $\langle p' + \text{Var } x \rangle \langle - \text{Var } x \rangle$ ]  
by auto

```

lemma coeff-monomila-in-varsD:
   $\langle \text{coeff } p \text{ (monomial (Suc 0) } x) \neq 0 \implies x \in \text{vars } (p :: \text{int mpoly}) \rangle$ 
  by (auto simp: coeff-def vars-def keys-def
    intro!: exI[of -  $\langle \text{monomial (Suc 0) } x \rangle$ ])

lemma (in  $-$ ) coeff-MPoly-monomila[simp]:
   $\langle \text{Const (MPoly-Type.coeff (MPoly (monomial a m)) m) = Const a} \rangle$ 
  by (metis MPoly-Type.coeff-def lookup-single-eq monom.abs-eq monom.rep-eq)

end

```

```

theory PAC-Specification
  imports PAC-More-Poly
begin

```

### 3 Specification of the PAC checker

#### 3.1 Ideals

```

type-synonym int-poly =  $\langle \text{int mpoly} \rangle$ 
definition polynomial-bool ::  $\langle \text{int-poly set} \rangle$  where
   $\langle \text{polynomial-bool} = (\lambda c. \text{Var } c \wedge 2 - \text{Var } c) \text{ 'UNIV} \rangle$ 

```

```

definition pac-ideal where
   $\langle \text{pac-ideal } A \equiv \text{ideal } (A \cup \text{polynomial-bool}) \rangle$ 

```

```

lemma X2-X-in-pac-ideal:
   $\langle \text{Var } c \wedge 2 - \text{Var } c \in \text{pac-ideal } A \rangle$ 
  unfolding polynomial-bool-def pac-ideal-def
  by (auto intro: ideal.span-base)

```

```

lemma pac-idealI1 [intro]:
   $\langle p \in A \implies p \in \text{pac-ideal } A \rangle$ 
  unfolding pac-ideal-def
  by (auto intro: ideal.span-base)

```

```

lemma pac-idealI2 [intro]:
   $\langle p \in \text{ideal } A \implies p \in \text{pac-ideal } A \rangle$ 
  using ideal.span-subspace-induct pac-ideal-def by blast

```

```

lemma pac-idealI3 [intro]:
   $\langle p \in \text{ideal } A \implies p * q \in \text{pac-ideal } A \rangle$ 
  by (metis ideal.span-scale mult.commute pac-idealI2)

```

```

lemma pac-ideal-Xsq2-iff:
   $\langle \text{Var } c \wedge 2 \in \text{pac-ideal } A \iff \text{Var } c \in \text{pac-ideal } A \rangle$ 
  unfolding pac-ideal-def
  apply (subst (2) ideal.span-add-eq[symmetric, OF X2-X-in-pac-ideal[of  $c$ , unfolded pac-ideal-def]])
  apply auto
  done

```

```

lemma diff-in-polynomial-bool-pac-idealI:
  assumes  $a1: p \in \text{pac-ideal } A$ 
  assumes  $a2: p - p' \in \text{More-Modules.ideal polynomial-bool}$ 

```

**shows**  $\langle p' \in \text{pac-ideal } A \rangle$   
**proof** –  
**have**  $\text{insert } p \text{ polynomial-bool} \subseteq \text{pac-ideal } A$   
**using** *a1* **unfolding** *pac-ideal-def* **by** (*meson ideal.span-superset insert-subset le-sup-iff*)  
**then show** *?thesis*  
**using** *a2* **unfolding** *pac-ideal-def* **by** (*metis (no-types) ideal.eq-span-insert-eq ideal.span-subset-spanI ideal.span-superset insert-subset subsetD*)  
**qed**

**lemma** *diff-in-polynomial-bool-pac-idealI2*:  
**assumes** *a1*:  $p \in A$   
**assumes** *a2*:  $p - p' \in \text{More-Modules.ideal polynomial-bool}$   
**shows**  $\langle p' \in \text{pac-ideal } A \rangle$   
**using** *diff-in-polynomial-bool-pac-idealI[OF - assms(2), of A] assms(1)*  
**by** (*auto simp: ideal.span-base*)

**lemma** *pac-ideal-alt-def*:  
 $\langle \text{pac-ideal } A = \text{ideal } (A \cup \text{ideal polynomial-bool}) \rangle$   
**unfolding** *pac-ideal-def*  
**by** (*meson ideal.span-eq ideal.span-mono ideal.span-superset le-sup-iff subset-trans sup-ge2*)

The equality on ideals is restricted to polynomials whose variable appear in the set of ideals.  
 The function *restrict* sets:

**definition** *restricted-ideal-to* **where**  
 $\langle \text{restricted-ideal-to } B \ A = \{p \in A. \text{vars } p \subseteq B\} \rangle$

**abbreviation** *restricted-ideal-to<sub>I</sub>* **where**  
 $\langle \text{restricted-ideal-to}_I \ B \ A \equiv \text{restricted-ideal-to } B \ (\text{pac-ideal } (\text{set-mset } A)) \rangle$

**abbreviation** *restricted-ideal-to<sub>V</sub>* **where**  
 $\langle \text{restricted-ideal-to}_V \ B \equiv \text{restricted-ideal-to } (\bigcup (\text{vars } \text{'set-mset } B)) \rangle$

**abbreviation** *restricted-ideal-to<sub>V I</sub>* **where**  
 $\langle \text{restricted-ideal-to}_{VI} \ B \ A \equiv \text{restricted-ideal-to } (\bigcup (\text{vars } \text{'set-mset } B)) \ (\text{pac-ideal } (\text{set-mset } A)) \rangle$

**lemma** *restricted-idealI*:  
 $\langle p \in \text{pac-ideal } (\text{set-mset } A) \implies \text{vars } p \subseteq C \implies p \in \text{restricted-ideal-to}_I \ C \ A \rangle$   
**unfolding** *restricted-ideal-to-def*  
**by** *auto*

**lemma** *pac-ideal-insert-already-in*:  
 $\langle pq \in \text{pac-ideal } (\text{set-mset } A) \implies \text{pac-ideal } (\text{insert } pq \ (\text{set-mset } A)) = \text{pac-ideal } (\text{set-mset } A) \rangle$   
**by** (*auto simp: pac-ideal-alt-def ideal.span-insert-idI*)

**lemma** *pac-ideal-add*:  
 $\langle p \in \# \ A \implies q \in \# \ A \implies p + q \in \text{pac-ideal } (\text{set-mset } A) \rangle$   
**by** (*simp add: ideal.span-add ideal.span-base pac-ideal-def*)

**lemma** *pac-ideal-mult*:  
 $\langle p \in \# \ A \implies p * q \in \text{pac-ideal } (\text{set-mset } A) \rangle$   
**by** (*simp add: ideal.span-base pac-idealI3*)

**lemma** *pac-ideal-mono*:  
 $\langle A \subseteq B \implies \text{pac-ideal } A \subseteq \text{pac-ideal } B \rangle$   
**using** *ideal.span-mono[of  $\langle A \cup \cdot \rangle \langle B \cup \cdot \rangle$ ]*

by (auto simp: pac-ideal-def intro: ideal.span-mono)

### 3.2 PAC Format

The PAC format contains three kind of steps:

- add that adds up two polynomials that are known.
- mult that multiply a known polynomial with another one.
- del that removes a polynomial that cannot be reused anymore.

To model the simplification that happens, we add the  $p - p' \in \text{polynomial-bool}$  stating that  $p$  and  $p'$  are equivalent.

**type-synonym**  $\text{pac-st} = \langle (\text{nat set} \times \text{int-poly multiset}) \rangle$

**inductive**  $\text{PAC-Format} :: \langle \text{pac-st} \Rightarrow \text{pac-st} \Rightarrow \text{bool} \rangle$  **where**

**add:**

$\langle \text{PAC-Format } (\mathcal{V}, A) (\mathcal{V}, \text{add-mset } p' A) \rangle$

**if**

$\langle p \in \# A \rangle \langle q \in \# A \rangle$   
 $\langle p + q - p' \in \text{ideal polynomial-bool} \rangle$   
 $\langle \text{vars } p' \subseteq \mathcal{V} \rangle \mid$

**mult:**

$\langle \text{PAC-Format } (\mathcal{V}, A) (\mathcal{V}, \text{add-mset } p' A) \rangle$

**if**

$\langle p \in \# A \rangle$   
 $\langle p * q - p' \in \text{ideal polynomial-bool} \rangle$   
 $\langle \text{vars } p' \subseteq \mathcal{V} \rangle$   
 $\langle \text{vars } q \subseteq \mathcal{V} \rangle \mid$

**del:**

$\langle p \in \# A \implies \text{PAC-Format } (\mathcal{V}, A) (\mathcal{V}, A - \{\#p\}) \rangle \mid$

**extend-pos:**

$\langle \text{PAC-Format } (\mathcal{V}, A) (\mathcal{V} \cup \{x' \in \text{vars } (-\text{Var } x + p'). x' \notin \mathcal{V}\}, \text{add-mset } (-\text{Var } x + p') A) \rangle$

**if**

$\langle (p')^2 - p' \in \text{ideal polynomial-bool} \rangle$   
 $\langle \text{vars } p' \subseteq \mathcal{V} \rangle$   
 $\langle x \notin \mathcal{V} \rangle$

In the PAC format above, we have a technical condition on the normalisation:  $\text{vars } p' \subseteq \text{vars } (p + q)$  is here to ensure that we don't normalise  $0$  to  $(\text{Var } x)^2 - \text{Var } x$  for a new variable  $x$ . This is completely obvious for the normalisation processe we have in mind when we write the specification, but we must add it explicetely because we are too general.

**lemmas**  $\text{PAC-Format-induct-split} =$

$\text{PAC-Format.induct}[\text{split-format}(\text{complete}), \text{of } V A V' A' \text{ for } V A V' A']$

**lemma**  $\text{PAC-Format-induct}[\text{consumes } 1, \text{case-names add mult del ext}]:$

**assumes**

$\langle \text{PAC-Format } (\mathcal{V}, A) (\mathcal{V}', A') \rangle$  **and**

**cases:**

$\langle \bigwedge p q p' A \mathcal{V}. p \in \# A \implies q \in \# A \implies p + q - p' \in \text{ideal polynomial-bool} \implies \text{vars } p' \subseteq \mathcal{V} \implies P \mathcal{V} A \mathcal{V} (\text{add-mset } p' A) \rangle$

$\langle \bigwedge p q p' A \mathcal{V}. p \in \# A \implies p * q - p' \in \text{ideal polynomial-bool} \implies \text{vars } p' \subseteq \mathcal{V} \implies \text{vars } q \subseteq \mathcal{V} \implies P \mathcal{V} A \mathcal{V} (\text{add-mset } p' A) \rangle$



$\langle \bigwedge p \ A \ \mathcal{V}. \ p \in \# \ A \implies P \ \mathcal{V} \ A \ \mathcal{V} \ (A - \{\#p\# \}) \rangle$   
 $\langle \bigwedge p' \ x \ r. \ (p')^{\wedge 2} - (p') \in \text{ideal polynomial-bool} \implies \text{vars } p' \subseteq \mathcal{V} \implies x \notin \mathcal{V} \implies P \ \mathcal{V} \ A \ (\mathcal{V} \cup \{x' \in \text{vars } (p' - \text{Var } x). \ x' \notin \mathcal{V}\}) \ (\text{add-mset } (p' - \text{Var } x) \ A) \rangle$   
**shows**  
 $\langle P \ \mathcal{V} \ A \ \mathcal{V}' \ A' \rangle$   
**using** *assms(1)* **apply** –  
**by** (*induct*  $V \equiv \mathcal{V} \ A \equiv A' \ \mathcal{V}' \ A'$  *rule: PAC-Format-induct-split*)  
*(auto intro: assms(1) cases)*

The theorem below (based on the proof ideal by Manuel Kauers) is the correctness theorem of extensions. Remark that the assumption  $\text{vars } q \subseteq \mathcal{V}$  is only used to show that  $x' \notin \text{vars } q$ .

**lemma** *extensions-are-safe:*

**assumes**  $\langle x' \in \text{vars } p \rangle$  **and**  
 $x': \langle x' \notin \mathcal{V} \rangle$  **and**  
 $\langle \bigcup (\text{vars } \text{'set-mset } A) \subseteq \mathcal{V} \rangle$  **and**  
 $p\text{-x-coeff}: \langle \text{coeff } p \ (\text{monomial } (\text{Suc } 0) \ x') = 1 \rangle$  **and**  
 $\text{vars-q}: \langle \text{vars } q \subseteq \mathcal{V} \rangle$  **and**  
 $q: \langle q \in \text{More-Modules.ideal } (\text{insert } p \ (\text{set-mset } A \cup \text{polynomial-bool})) \rangle$  **and**  
 $\text{leading}: \langle x' \notin \text{vars } (p - \text{Var } x') \rangle$  **and**  
 $\text{diff}: \langle (\text{Var } x' - p)^2 - (\text{Var } x' - p) \in \text{More-Modules.ideal polynomial-bool} \rangle$   
**shows**  
 $\langle q \in \text{More-Modules.ideal } (\text{set-mset } A \cup \text{polynomial-bool}) \rangle$

**proof** –

**define**  $p'$  **where**  $\langle p' \equiv p - \text{Var } x' \rangle$   
**let**  $?v = \langle \text{Var } x' :: \text{int mpoly} \rangle$   
**have**  $p\text{-}p'$ :  $\langle p = ?v + p' \rangle$   
**by** (*auto simp: p'-def*)  
**define**  $q'$  **where**  $\langle q' \equiv \text{Var } x' - p \rangle$   
**have**  $q\text{-}q'$ :  $\langle p = ?v - q' \rangle$   
**by** (*auto simp: q'-def*)  
**have**  $\text{diff}$ :  $\langle q'^{\wedge 2} - q' \in \text{More-Modules.ideal polynomial-bool} \rangle$   
**using** *diff unfolding q-q' by auto*  
  
**have** [*simp*]:  $\langle \text{vars } ((\text{Var } c)^2 - \text{Var } c :: \text{int mpoly}) = \{c\} \rangle$  **for**  $c$   
**apply** (*auto simp: vars-def Var-def Var<sub>0</sub>-def mpoly.MPoly-inverse keys-def lookup-minus-fun lookup-times-monomial-right single.rep-eq split: if-splits*)  
**apply** (*auto simp: vars-def Var-def Var<sub>0</sub>-def mpoly.MPoly-inverse keys-def lookup-minus-fun lookup-times-monomial-right single.rep-eq when-def ac-simps adds-def lookup-plus-fun power2-eq-square times-mpoly.rep-eq minus-mpoly.rep-eq split: if-splits*)  
**apply** (*rule-tac*  $x = \langle (2 :: \text{nat} \Rightarrow_0 \text{nat}) * \text{monomial } (\text{Suc } 0) \ c \rangle$  **in** *exI*)  
**apply** (*auto dest: monomial-0D simp: plus-eq-zero-2 lookup-plus-fun mult-2*)  
**by** (*meson Suc-neq-Zero monomial-0D plus-eq-zero-2*)

**have** *eq*:  $\langle \text{More-Modules.ideal } (\text{insert } p \ (\text{set-mset } A \cup \text{polynomial-bool})) = \text{More-Modules.ideal } (\text{insert } p \ (\text{set-mset } A \cup (\lambda c. \text{Var } c^{\wedge 2} - \text{Var } c) \text{' } \{c. \ c \neq x'\})) \rangle$   
*(is*  $\langle ?A = ?B \rangle$  **is**  $\langle - = \text{More-Modules.ideal } ?\text{trimmed} \rangle$ )

**proof** –

**let**  $?C = \langle \text{insert } p \ (\text{set-mset } A \cup (\lambda c. \text{Var } c^{\wedge 2} - \text{Var } c) \text{' } \{c. \ c \neq x'\}) \rangle$   
**let**  $?D = \langle (\lambda c. \text{Var } c^{\wedge 2} - \text{Var } c) \text{' } \{c. \ c \neq x'\} \rangle$   
**have**  $\text{diff}$ :  $\langle q'^{\wedge 2} - q' \in \text{More-Modules.ideal } ?D \rangle$  **(is**  $\langle ?q \in - \rangle$ )  
**proof** –  
**obtain**  $r \ t$  **where**  
 $q: \langle ?q = (\sum a \in t. \ r \ a * a) \rangle$  **and**

```

fin-t: ⟨finite t⟩ and
t: ⟨t ⊆ polynomial-bool⟩
using diff unfolding ideal.span-explicit
by auto
show ?thesis
proof (cases ⟨?v2 - ?v ∉ t⟩)
case True
then show ⟨?thesis⟩
  using q fin-t t unfolding ideal.span-explicit
  by (auto intro!: exI[of - ⟨t - {?v2 - ?v}⟩] exI[of - r]
      simp: polynomial-bool-def sum-diff1)
next
case False
define t' where t' = t - {?v2 - ?v}
have t-t': ⟨t = insert (?v2 - ?v) t'⟩ and
  notin: ⟨?v2 - ?v ∉ t'⟩ and
  t' ⊆ (λc. Var c ^ 2 - Var c) ' {c. c ≠ x'}
  using False t unfolding t'-def polynomial-bool-def by auto
have mon: ⟨monom (monomial (Suc 0) x') 1 = Var x'⟩
  by (auto simp: coeff-def minus-mpoly.rep-eq Var-def Var0-def monom-def
      times-mpoly.rep-eq lookup-minus lookup-times-monomial-right mpoly.MPoly-inverse)
then have ⟨∀ a. ∃ g h. r a = ?v * g + h ∧ x' ∉ vars h⟩
  using polynomial-split-on-var[of ⟨r -> x'⟩]
  by metis
then obtain g h where
  r: ⟨r a = ?v * g a + h a⟩ and
  x'-h: ⟨x' ∉ vars (h a)⟩ for a
  using polynomial-split-on-var[of ⟨r a⟩ x']
  by metis
have ⟨?q = ((∑ a∈t'. g a * a) + r (?v2 - ?v) * (?v - 1)) * ?v + (∑ a∈t'. h a * a)⟩
  using fin-t notin unfolding t-t' q r
  by (auto simp: field-simps comm-monoid-add-class.sum.distrib
      power2-eq-square ideal.scale-left-commute sum-distrib-left)
moreover have ⟨x' ∉ vars ?q⟩
  by (metis (no-types, hide-lams) Groups.add-ac(2) Un-iff add-diff-cancel-left'
      diff-minus-eq-add in-mono leading q'-def semiring-normalization-rules(29)
      vars-in-right-only vars-mult)
moreover {
  have ⟨x' ∉ (⋃ m∈t' - {?v2 - ?v}. vars (h m * m))⟩
    using fin-t x'-h vars-mult[of ⟨h ->⟩] t ⊆ polynomial-bool
    by (auto simp: polynomial-bool-def t-t' elim!: vars-unE)
  then have ⟨x' ∉ vars (∑ a∈t'. h a * a)⟩
    using vars-setsum[of ⟨t'⟩ ⟨λa. h a * a⟩] fin-t x'-h t notin
    by (auto simp: t-t')
}
ultimately have ⟨?q = (∑ a∈t'. h a * a)⟩
  unfolding mon[symmetric]
  by (rule polynomial-decomp-alien-var(2)[unfolded])
then show ?thesis
  using t fin-t t' ⊆ (λc. Var c ^ 2 - Var c) ' {c. c ≠ x'}
  unfolding ideal.span-explicit t-t'
  by auto
qed
qed
have eq1: ⟨More-Modules.ideal (insert p (set-mset A ∪ polynomial-bool)) =

```

```

    More-Modules.ideal (insert (?v2 - ?v) ?C)
  (is (More-Modules.ideal - = More-Modules.ideal (insert - ?C)))
  by (rule arg-cong[of - - More-Modules.ideal])
    (auto simp: polynomial-bool-def)
moreover have (?v2 - ?v ∈ More-Modules.ideal ?C)
proof -
  have (?v - q' ∈ More-Modules.ideal ?C)
  by (auto simp: q-q' ideal.span-base)
from ideal.span-scale[OF this, of (?v + q' - 1)] have ((?v - q') * (?v + q' - 1) ∈ More-Modules.ideal
?C)
  by (auto simp: field-simps)
moreover have (q'2 - q' ∈ More-Modules.ideal ?C)
  using diff by (smt Un-insert-right ideal.span-mono insert-subset subsetD sup-ge2)
ultimately have ((?v - q') * (?v + q' - 1) + (q'2 - q') ∈ More-Modules.ideal ?C)
  by (rule ideal.span-add)
moreover have (?v2 - ?v = (?v - q') * (?v + q' - 1) + (q'2 - q'))
  by (auto simp: p'-def q-q' field-simps power2-eq-square)
ultimately show ?thesis by simp
qed
ultimately show ?thesis
  using ideal.span-insert-idI by blast
qed

have (n < m ⇒ n > 0 ⇒ ∃ q. ?vn = ?v + q * (?v2 - ?v)) for n m :: nat
proof (induction m arbitrary: n)
  case 0
  then show ?case by auto
next
  case (Suc m n) note IH = this(1-)
  consider
    (n < m) |
    (m = n) (n > 1) |
    (n = 1)
  using IH
  by (cases (n < m); cases n) auto
then show ?case
proof cases
  case 1
  then show ?thesis using IH by auto
next
  case 2
  have eq: (?vn) = ((?v :: int mpoly) ^ (n-2)) * (?v2 - ?v) + ?v(n-1)
  using 2 by (auto simp: field-simps power-eq-if
    ideal.scale-right-diff-distrib)
  obtain q where
    q: (?v(n-1)) = ?v + q * (?v2 - ?v)
  using IH(1)[of (n-1)] 2
  by auto
  show ?thesis
  using q unfolding eq
  by (auto intro!: exI[of - (Var x' ^ (n - 2) + q)] simp: distrib-right)
next
  case 3
  then show (?thesis)
  by auto

```

qed  
qed

obtain  $r\ t$  where  
 $q$ :  $\langle q = (\sum a \in t. r\ a * a) \rangle$  and  
 $fin\text{-}t$ :  $\langle finite\ t \rangle$  and  
 $t$ :  $\langle t \subseteq ?trimmed \rangle$   
 using  $q$  unfolding  $eq$  unfolding  $ideal.span\text{-}explicit$   
 by *auto*

define  $t'$  where  $\langle t' \equiv t - \{p\} \rangle$   
 have  $t'$ :  $\langle t = (if\ p \in t\ then\ insert\ p\ t'\ else\ t') \rangle$  and  
 $t''[simp]$ :  $\langle p \notin t' \rangle$   
 unfolding  $t'\text{-}def$  by *auto*  
 show *?thesis*  
 proof (cases  $\langle r\ p = 0 \vee p \notin t \rangle$ )  
 case *True*  
 have  
 $q$ :  $\langle q = (\sum a \in t'. r\ a * a) \rangle$  and  
 $fin\text{-}t$ :  $\langle finite\ t' \rangle$  and  
 $t$ :  $\langle t' \subseteq set\text{-}mset\ A \cup polynomial\text{-}bool \rangle$   
 using  $q\ fin\text{-}t\ t\ True\ t''$   
 apply (subst (asm)  $t'$ )  
 apply (auto intro:  $sum.cong\ simp: sum.insert\text{-}remove\ t'\text{-}def$ )  
 using  $q\ fin\text{-}t\ t\ True\ t''$   
 apply (auto intro:  $sum.cong\ simp: sum.insert\text{-}remove\ t'\text{-}def\ polynomial\text{-}bool\text{-}def$ )  
 done  
 then show *?thesis*  
 by (auto  $simp: ideal.span\text{-}explicit$ )  
 next  
 case *False*  
 then have  $\langle r\ p \neq 0 \rangle$  and  $\langle p \in t \rangle$   
 by *auto*  
 then have  $t$ :  $\langle t = insert\ p\ t' \rangle$   
 by (auto  $simp: t'\text{-}def$ )

have  $\langle x' \notin vars\ (-\ p') \rangle$   
 using  $leading\ p'\text{-}def\ vars\text{-}in\text{-}right\text{-}only$  by *fastforce*  
 have  $mon$ :  $\langle monom\ (monomial\ (Suc\ 0)\ x')\ 1 = Var\ x' \rangle$   
 by (auto  $simp: coeff\text{-}def\ minus\text{-}mpoly.rep\text{-}eq\ Var\text{-}def\ Var_0\text{-}def\ monom\text{-}def\ times\text{-}mpoly.rep\text{-}eq\ lookup\text{-}minus\ lookup\text{-}times\text{-}monomial\text{-}right\ mpoly.MPoly\text{-}inverse$ )  
 then have  $\langle \forall a. \exists g\ h. r\ a = (?v + p') * g + h \wedge x' \notin vars\ h \rangle$   
 using  $polynomial\text{-}split\text{-}on\text{-}var2[of\ x'\ \langle -p' \rangle \langle r\ \cdot \rangle]\ \langle x' \notin vars\ (-\ p') \rangle$   
 by (*metis*  $diff\text{-}minus\text{-}eq\text{-}add$ )  
 then obtain  $g\ h$  where  
 $r$ :  $\langle r\ a = p * g\ a + h\ a \rangle$  and  
 $x'\text{-}h$ :  $\langle x' \notin vars\ (h\ a) \rangle$  for  $a$   
 using  $polynomial\text{-}split\text{-}on\text{-}var2[of\ x'\ p'\ \langle r\ a \rangle]$  unfolding  $p\text{-}p'[symmetric]$   
 by *metis*

have *ISABLLC-come-on*:  $\langle a * (p * g\ a) = p * (a * g\ a) \rangle$  for  $a$   
 by *auto*  
 have  $q1$ :  $\langle q = p * (\sum a \in t'. g\ a * a) + (\sum a \in t'. h\ a * a) + p * r\ p \rangle$

```

(is (· = - + ?NOx' + ·))
using fin-t t'' unfolding q t ISABLL-come-on r
apply (subst semiring-class.distrib-right)+
apply (auto simp: comm-monoid-add-class.sum.distrib semigroup-mult-class.mult.assoc
  ISABLL-come-on simp flip: semiring-0-class.sum-distrib-right
  semiring-0-class.sum-distrib-left)
by (auto simp: field-simps)
also have (·... = ((∑ a∈t'. g a * a) + r p) * p + (∑ a∈t'. h a * a))
  by (auto simp: field-simps)
finally have q-decomp: (q = ((∑ a∈t'. g a * a) + r p) * p + (∑ a∈t'. h a * a))
  (is (q = ?X * p + ?NOx')).

have [iff]: (monomial (Suc 0) c = 0 - monomial (Suc 0) c = False) for c
  by (metis One-nat-def diff-is-0-eq' le-eq-less-or-eq less-Suc-eq-le monomial-0-iff single-diff zero-neq-one)
have (x ∈ t' ⇒ x' ∈ vars x ⇒ False) for x
  using (t ⊆ ?trimmed) t assms(2,3)
  apply (auto simp: polynomial-bool-def dest!: multi-member-split)
  apply (frule set-rev-mp)
  apply assumption
  apply (auto dest!: multi-member-split)
  done
then have (x' ∉ (⋃ m∈t'. vars (h m * m)))
  using fin-t x'-h vars-mult[of (h ·)]
  by (auto simp: t elim!: vars-unE)
then have (x' ∉ vars ?NOx')
  using vars-setsum[of (t') (λa. h a * a)] fin-t x'-h
  by (auto simp: t)

moreover {
  have (x' ∉ vars p')
    using assms(7)
    unfolding p'-def
    by auto
  then have (x' ∉ vars (h p * p'))
    using vars-mult[of (h p) p'] x'-h
    by auto
}
ultimately have
  (x' ∉ vars q)
  (x' ∉ vars ?NOx')
  (x' ∉ vars p')
  using x' vars-q vars-add[of (h p * p') (∑ a∈t'. h a * a)] x'-h
  leading p'-def
  by auto
then have (?X = 0) and q-decomp: (q = ?NOx')
  unfolding mon[symmetric] p-p'
  using polynomial-decomp-alien-var2[OF q-decomp[unfolded p-p' mon[symmetric]]]
  by auto

then have (r p = (∑ a∈t'. (- g a) * a))
  (is (· = ?CL))
  unfolding add.assoc add-eq-0-iff equation-minus-iff
  by (auto simp: sum-negf ac-simps)

```

```

then have q2:  $\langle q = (\sum_{a \in t'. a * (r \ a - p * g \ a)) \rangle$ 
  using fin-t unfolding q
  apply (auto simp: t r q
    comm-monoid-add-class.sum.distrib[symmetric]
    sum-distrib-left
    sum-distrib-right
    left-diff-distrib
    intro!: sum.cong)
  apply (auto simp: field-simps)
  done
then show  $\langle ?thesis \rangle$ 
  using t fin-t  $\langle t \subseteq ?trimmed \rangle$  unfolding ideal.span-explicit
  by (auto intro!: exI[of - t] exI[of -  $\langle \lambda a. r \ a - p * g \ a \rangle$ ]
    simp: field-simps polynomial-bool-def)
qed
qed

lemma extensions-are-safe-uminus:
  assumes  $\langle x' \in \text{vars } p \rangle$  and
     $x': \langle x' \notin \mathcal{V} \rangle$  and
     $\langle \bigcup (\text{vars } \text{'set-mset } A) \subseteq \mathcal{V} \rangle$  and
     $p\text{-x-coeff}: \langle \text{coeff } p (\text{monomial } (\text{Suc } 0) \ x') = -1 \rangle$  and
     $\text{vars-}q: \langle \text{vars } q \subseteq \mathcal{V} \rangle$  and
     $q: \langle q \in \text{More-Modules.ideal } (\text{insert } p (\text{set-mset } A \cup \text{polynomial-bool})) \rangle$  and
     $\text{leading}: \langle x' \notin \text{vars } (p + \text{Var } x') \rangle$  and
     $\text{diff}: \langle (\text{Var } x' + p)^{\wedge 2} - (\text{Var } x' + p) \in \text{More-Modules.ideal polynomial-bool} \rangle$ 
  shows
     $\langle q \in \text{More-Modules.ideal } (\text{set-mset } A \cup \text{polynomial-bool}) \rangle$ 
proof -
  have  $\langle q \in \text{More-Modules.ideal } (\text{insert } (- \ p) (\text{set-mset } A \cup \text{polynomial-bool})) \rangle$ 
    by (metis ideal.span-breakdown-eq minus-mult-minus q)
  then show  $?thesis$ 
    using extensions-are-safe[of x'  $\langle - \ p \rangle \ \mathcal{V} \ A \ q]$  assms
    using vars-in-right-only by force
qed

```

This is the correctness theorem of a PAC step: no polynomials are added to the ideal.

```

lemma vars-subst-in-left-only:
   $\langle x \notin \text{vars } p \implies x \in \text{vars } (p - \text{Var } x) \rangle$  for  $p :: \langle \text{int mpoly} \rangle$ 
  by (metis One-nat-def Var.abs-eq Var_0-def group-eq-aux in-vars-addE monom.abs-eq mult-numeral-1
    polynomial-decomp-alien-var(1) zero-neq-numeral)

```

```

lemma vars-subst-in-left-only-diff-iff:
   $\langle x \notin \text{vars } p \implies \text{vars } (p - \text{Var } x) = \text{insert } x (\text{vars } p) \rangle$  for  $p :: \langle \text{int mpoly} \rangle$ 
  apply (auto simp: vars-subst-in-left-only)
  apply (metis (no-types, hide-lams) diff-0-right diff-minus-eq-add empty-iff in-vars-addE insert-iff
    keys-single minus-diff-eq
    monom-one mult.right-neutral one-neq-zero single-zero vars-monom-keys vars-mult-Var vars-uminus)
  by (metis add.inverse-inverse diff-minus-eq-add empty-iff insert-iff keys-single minus-diff-eq monom-one
    mult.right-neutral
    one-neq-zero single-zero vars-in-right-only vars-monom-keys vars-mult-Var vars-uminus)

```

```

lemma vars-subst-in-left-only-iff:

```

$\langle x \notin \text{vars } p \implies \text{vars } (p + \text{Var } x) = \text{insert } x (\text{vars } p) \rangle$  **for**  $p :: \langle \text{int mpoly} \rangle$   
**using** *vars-subst-in-left-only-diff-iff*[of  $x \langle -p \rangle$ ]  
**by** (*metis diff-0 diff-diff-add vars-uminus*)

**lemma** *coeff-add-right-notin*:

$\langle x \notin \text{vars } p \implies \text{MPoly-Type.coeff } (\text{Var } x - p) (\text{monomial } (\text{Suc } 0) x) = 1 \rangle$   
**apply** (*auto simp flip: coeff-minus simp: not-in-vars-coeff0*)  
**by** (*simp add: MPoly-Type.coeff-def Var.rep-eq Var\_0-def*)

**lemma** *coeff-add-left-notin*:

$\langle x \notin \text{vars } p \implies \text{MPoly-Type.coeff } (p - \text{Var } x) (\text{monomial } (\text{Suc } 0) x) = -1 \rangle$  **for**  $p :: \langle \text{int mpoly} \rangle$   
**apply** (*auto simp flip: coeff-minus simp: not-in-vars-coeff0*)  
**by** (*simp add: MPoly-Type.coeff-def Var.rep-eq Var\_0-def*)

**lemma** *ideal-insert-polynomial-bool-swap*:  $\langle r - s \in \text{ideal polynomial-bool} \implies$

$\text{More-Modules.ideal } (\text{insert } r (A \cup \text{polynomial-bool})) = \text{More-Modules.ideal } (\text{insert } s (A \cup \text{polynomial-bool})) \rangle$   
**apply** *auto*  
**using** *ideal.eq-span-insert-eq ideal.span-mono sup-ge2* **apply** *blast+*  
**done**

**lemma** *PAC-Format-subset-ideal*:

$\langle \text{PAC-Format } (\mathcal{V}, A) (\mathcal{V}', B) \implies \bigcup (\text{vars } \langle \text{set-mset } A \rangle \subseteq \mathcal{V} \implies$   
 $\text{restricted-ideal-to}_I \mathcal{V} B \subseteq \text{restricted-ideal-to}_I \mathcal{V} A \wedge \mathcal{V} \subseteq \mathcal{V}' \wedge \bigcup (\text{vars } \langle \text{set-mset } B \rangle \subseteq \mathcal{V}') \rangle$   
**unfolding** *restricted-ideal-to-def*  
**apply** (*induction rule:PAC-Format-induct*)  
**subgoal for**  $p \ q \ pq \ A \ \mathcal{V}$   
**using** *vars-add*  
**by** (*force simp: ideal.span-add-eq ideal.span-base pac-ideal-insert-already-in[OF diff-in-polynomial-bool-pac-idealI[of*  
 $\langle p + q \rangle \langle - \rangle pq]$   
*pac-ideal-add*  
*intro!: diff-in-polynomial-bool-pac-idealI[of*  $\langle p + q \rangle \langle - \rangle pq]$   
**subgoal for**  $p \ q \ pq$   
**using** *vars-mult*[of  $p \ q$ ]  
**by** (*force simp: ideal.span-add-eq ideal.span-base pac-ideal-mult*  
*pac-ideal-insert-already-in[OF diff-in-polynomial-bool-pac-idealI[of*  $\langle p * q \rangle \langle - \rangle pq]$   
**subgoal for**  $p \ A$   
**using** *pac-ideal-mono*[of  $\langle \text{set-mset } (A - \{\#p\# \}) \rangle \langle \text{set-mset } A \rangle]$   
**by** (*auto dest: in-diffD*)  
**subgoal for**  $p \ x' \ r'$   
**apply** (*subgoal-tac*  $\langle x' \notin \text{vars } p \rangle$ )  
**using** *extensions-are-safe-uminus*[of  $x' \langle - \text{Var } x' + p \rangle \mathcal{V} \ A]$  **unfolding** *pac-ideal-def*  
**apply** (*auto simp: vars-subst-in-left-only coeff-add-left-notin*)  
**done**  
**done**

In general, if deletions are disallowed, then the stronger  $B = \text{pac-ideal } A$  holds.

**lemma** *restricted-ideal-to-restricted-ideal-to\_ID*:

$\langle \text{restricted-ideal-to } \mathcal{V} (\text{set-mset } A) \subseteq \text{restricted-ideal-to}_I \mathcal{V} A \rangle$   
**by** (*auto simp add: Collect-disj-eq pac-idealI1 restricted-ideal-to-def*)

**lemma** *rtranclp-PAC-Format-subset-ideal*:

$\langle \text{rtranclp PAC-Format } (\mathcal{V}, A) (\mathcal{V}', B) \implies \bigcup (\text{vars } \langle \text{set-mset } A \rangle \subseteq \mathcal{V} \implies$   
 $\text{restricted-ideal-to}_I \mathcal{V} B \subseteq \text{restricted-ideal-to}_I \mathcal{V} A \wedge \mathcal{V} \subseteq \mathcal{V}' \wedge \bigcup (\text{vars } \langle \text{set-mset } B \rangle \subseteq \mathcal{V}') \rangle$   
**apply** (*induction rule:rtranclp-induct[of PAC-Format*  $\langle (-, -) \rangle \langle (-, -) \rangle$ , *split-format(complete)*

```

subgoal
  by (simp add: restricted-ideal-to-restricted-ideal-toID)
subgoal
  apply (drule PAC-Format-subset-ideal)
  apply simp-all
  apply auto
  by (smt Collect-mono-iff mem-Collect-eq restricted-ideal-to-def subset-trans)
done

```

end

```

theory Finite-Map-Multiset
imports HOL-Library.Finite-Map Duplicate-Free-Multiset
begin

```

```

notation image-mset (infixr '# 90)

```

## 4 Finite maps and multisets

### 4.1 Finite sets and multisets

**abbreviation**  $mset\text{-}fset :: \langle 'a\ fset \Rightarrow 'a\ multiset \rangle$  **where**  
 $\langle mset\text{-}fset\ N \equiv mset\text{-}set\ (fset\ N) \rangle$

**definition**  $fset\text{-}mset :: \langle 'a\ multiset \Rightarrow 'a\ fset \rangle$  **where**  
 $\langle fset\text{-}mset\ N \equiv Abs\text{-}fset\ (set\text{-}mset\ N) \rangle$

**lemma**  $fset\text{-}mset\text{-}mset\text{-}fset$ :  $\langle fset\text{-}mset\ (mset\text{-}fset\ N) = N \rangle$   
**by** (auto simp: fset.fset-inverse fset-mset-def)

**lemma**  $mset\text{-}fset\text{-}fset\text{-}mset[simp]$ :  
 $\langle mset\text{-}fset\ (fset\text{-}mset\ N) = remdups\text{-}mset\ N \rangle$   
**by** (auto simp: fset.fset-inverse fset-mset-def Abs-fset-inverse remdups-mset-def)

**lemma**  $in\text{-}mset\text{-}fset\text{-}fmembership[simp]$ :  $\langle x \in\# mset\text{-}fset\ N \longleftrightarrow x \in | N \rangle$   
**by** (auto simp: fmembership.rep-eq)

**lemma**  $in\text{-}fset\text{-}mset\text{-}mset[simp]$ :  $\langle x \in | fset\text{-}mset\ N \longleftrightarrow x \in\# N \rangle$   
**by** (auto simp: fmembership.rep-eq fset-mset-def Abs-fset-inverse)

### 4.2 Finite map and multisets

Roughly the same as *ran* and *dom*, but with duplication in the content (unlike their finite sets counterpart) while still working on finite domains (unlike a function mapping). Remark that *dom-m* (the keys) does not contain duplicates, but we keep for symmetry (and for easier use of multiset operators as in the definition of *ran-m*).

**definition** *dom-m* **where**  
 $\langle dom\text{-}m\ N = mset\text{-}fset\ (fmdom\ N) \rangle$

**definition** *ran-m* **where**  
 $\langle ran\text{-}m\ N = the\ \ '#\ fmlookup\ N\ \ '#\ dom\text{-}m\ N \rangle$

**lemma**  $dom\text{-}m\text{-}fmdrop[simp]$ :  $\langle dom\text{-}m\ (fmdrop\ C\ N) = remove1\text{-}mset\ C\ (dom\text{-}m\ N) \rangle$



**unfolding** *dom-m-def*  
**by** (cases  $\langle C \mid \in \mid \text{fmdom } N \rangle$ )  
(auto simp: mset-set.remove fmember.rep-eq)

**lemma** *dom-m-fmdrop-All*:  $\langle \text{dom-m } (\text{fmdrop } C \ N) = \text{removeAll-mset } C \ (\text{dom-m } N) \rangle$   
**unfolding** *dom-m-def*  
**by** (cases  $\langle C \mid \in \mid \text{fmdom } N \rangle$ )  
(auto simp: mset-set.remove fmember.rep-eq)

**lemma** *dom-m-fmupd[simp]*:  $\langle \text{dom-m } (\text{fmupd } k \ C \ N) = \text{add-mset } k \ (\text{remove1-mset } k \ (\text{dom-m } N)) \rangle$   
**unfolding** *dom-m-def*  
**by** (cases  $\langle k \mid \in \mid \text{fmdom } N \rangle$ )  
(auto simp: mset-set.remove fmember.rep-eq mset-set.insert-remove)

**lemma** *distinct-mset-dom*:  $\langle \text{distinct-mset } (\text{dom-m } N) \rangle$   
**by** (simp add: distinct-mset-mset-set dom-m-def)

**lemma** *in-dom-m-lookup-iff*:  $\langle C \in \# \text{ dom-m } N' \longleftrightarrow \text{fmlookup } N' \ C \neq \text{None} \rangle$   
**by** (auto simp: dom-m-def fmdom.rep-eq fmlookup-dom'-iff)

**lemma** *in-dom-in-ran-m[simp]*:  $\langle i \in \# \text{ dom-m } N \implies \text{the } (\text{fmlookup } N \ i) \in \# \text{ ran-m } N \rangle$   
**by** (auto simp: ran-m-def)

**lemma** *fmupd-same[simp]*:  
 $\langle x1 \in \# \text{ dom-m } x1aa \implies \text{fmupd } x1 \ (\text{the } (\text{fmlookup } x1aa \ x1)) \ x1aa = x1aa \rangle$   
**by** (metis fmap-ext fmupd-lookup in-dom-m-lookup-iff option.collapse)

**lemma** *ran-m-fmempty[simp]*:  $\langle \text{ran-m } \text{fmempty} = \{\#\} \rangle$  **and**  
 $\text{dom-m-fmempty[simp]}$ :  $\langle \text{dom-m } \text{fmempty} = \{\#\} \rangle$   
**by** (auto simp: ran-m-def dom-m-def)

**lemma** *fmrestrict-set-fmupd*:  
 $\langle a \in xs \implies \text{fmrestrict-set } xs \ (\text{fmupd } a \ C \ N) = \text{fmupd } a \ C \ (\text{fmrestrict-set } xs \ N) \rangle$   
 $\langle a \notin xs \implies \text{fmrestrict-set } xs \ (\text{fmupd } a \ C \ N) = \text{fmrestrict-set } xs \ N \rangle$   
**by** (auto simp: fmfilter-alt-defs)

**lemma** *fset-fmdom-fmrestrict-set*:  
 $\langle \text{fset } (\text{fmdom } (\text{fmrestrict-set } xs \ N)) = \text{fset } (\text{fmdom } N) \cap xs \rangle$   
**by** (auto simp: fmfilter-alt-defs)

**lemma** *dom-m-fmrestrict-set*:  $\langle \text{dom-m } (\text{fmrestrict-set } (\text{set } xs) \ N) = \text{mset } xs \cap \# \text{ dom-m } N \rangle$   
**using** *fset-fmdom-fmrestrict-set*[of  $\langle \text{set } xs \rangle \ N$ ] *distinct-mset-dom*[of  $N$ ]  
*distinct-mset-inter-remdups-mset*[of  $\langle \text{mset-fset } (\text{fmdom } N) \rangle \ \langle \text{mset } xs \rangle$ ]  
**by** (auto simp: dom-m-def fset-mset-mset-fset finite-mset-set-inter multiset-inter-commute  
remdups-mset-def)

**lemma** *dom-m-fmrestrict-set'*:  $\langle \text{dom-m } (\text{fmrestrict-set } xs \ N) = \text{mset-set } (xs \cap \text{set-mset } (\text{dom-m } N)) \rangle$   
**using** *fset-fmdom-fmrestrict-set*[of  $\langle xs \rangle \ N$ ] *distinct-mset-dom*[of  $N$ ]  
**by** (auto simp: dom-m-def fset-mset-mset-fset finite-mset-set-inter multiset-inter-commute  
remdups-mset-def)

**lemma** *indom-mI*:  $\langle \text{fmlookup } m \ x = \text{Some } y \implies x \in \# \text{ dom-m } m \rangle$   
**by** (drule fmdomI) (auto simp: dom-m-def fmember.rep-eq)

**lemma** *fmupd-fmdrop-id*:

```

assumes  $\langle k \mid \in \mid \text{fmdom } N' \rangle$ 
shows  $\langle \text{fmupd } k \text{ (the (fmlookup } N' \text{ } k)) \text{ (fmdrop } k \text{ } N') = } N' \rangle$ 
proof -
  have [simp]:  $\langle \text{map-upd } k \text{ (the (fmlookup } N' \text{ } k))$ 
     $(\lambda x. \text{ if } x \neq k \text{ then fmlookup } N' \text{ } x \text{ else None}) =$ 
     $\text{map-upd } k \text{ (the (fmlookup } N' \text{ } k))$ 
     $(\text{fmlookup } N') \rangle$ 
  by (auto intro!: ext simp: map-upd-def)
  have [simp]:  $\langle \text{map-upd } k \text{ (the (fmlookup } N' \text{ } k)) \text{ (fmlookup } N') = \text{fmlookup } N' \rangle$ 
  using assms
  by (auto intro!: ext simp: map-upd-def)
  have [simp]:  $\langle \text{finite (dom } (\lambda x. \text{ if } x = k \text{ then None else fmlookup } N' \text{ } x)) \rangle$ 
  by (subst dom-if) auto
  show ?thesis
  apply (auto simp: fmupd-def fmupd.abs-eq[symmetric])
  unfolding fmlookup-drop
  apply (simp add: fmlookup-inverse)
  done
qed

lemma fm-member-split:  $\langle k \mid \in \mid \text{fmdom } N' \implies \exists N'' v. N' = \text{fmupd } k \text{ } v \text{ } N'' \wedge \text{the (fmlookup } N' \text{ } k) = v$ 
 $\wedge$ 
 $k \mid \notin \mid \text{fmdom } N'' \rangle$ 
by (rule exI[of -  $\langle \text{fmdrop } k \text{ } N' \rangle$ ])
  (auto simp: fmupd-fmdrop-id)

lemma  $\langle \text{fmdrop } k \text{ (fmupd } k \text{ } v \text{ } N'') = \text{fmdrop } k \text{ } N'' \rangle$ 
by (simp add: fmap-ext)

lemma fmap-ext-fmdom:
 $\langle (\text{fmdom } N = \text{fmdom } N') \implies (\bigwedge x. x \mid \in \mid \text{fmdom } N \implies \text{fmlookup } N \text{ } x = \text{fmlookup } N' \text{ } x) \implies$ 
 $N = N' \rangle$ 
by (rule fmap-ext)
  (case-tac  $\langle x \mid \in \mid \text{fmdom } N \rangle$ , auto simp: fmdom-notD)

lemma fmrestrict-set-insert-in:
 $\langle xa \in \text{fset (fmdom } N) \implies$ 
 $\text{fmrestrict-set (insert } xa \text{ } l1) \text{ } N = \text{fmupd } xa \text{ (the (fmlookup } N \text{ } xa)) (fmrestrict-set } l1 \text{ } N) \rangle$ 
apply (rule fmap-ext-fmdom)
apply (auto simp: fset-fmdom-fmrestrict-set fmember.rep-eq notin-fset; fail)[]
apply (auto simp: fmlookup-dom-iff; fail)
done

lemma fmrestrict-set-insert-notin:
 $\langle xa \notin \text{fset (fmdom } N) \implies$ 
 $\text{fmrestrict-set (insert } xa \text{ } l1) \text{ } N = \text{fmrestrict-set } l1 \text{ } N \rangle$ 
by (rule fmap-ext-fmdom)
  (auto simp: fset-fmdom-fmrestrict-set fmember.rep-eq notin-fset)

lemma fmrestrict-set-insert-in-dom-m[simp]:
 $\langle xa \in \# \text{dom-m } N \implies$ 
 $\text{fmrestrict-set (insert } xa \text{ } l1) \text{ } N = \text{fmupd } xa \text{ (the (fmlookup } N \text{ } xa)) (fmrestrict-set } l1 \text{ } N) \rangle$ 
by (simp add: fmrestrict-set-insert-in dom-m-def)

lemma fmrestrict-set-insert-notin-dom-m[simp]:

```

```

  ⟨xa ∉# dom-m N ⟹
    fmrestrict-set (insert xa l1) N = fmrestrict-set l1 N
  by (simp add: fmrestrict-set-insert-notin dom-m-def)

lemma fmlookup-restrict-set-id: ⟨fset (fmdom N) ⊆ A ⟹ fmrestrict-set A N = N⟩
  by (metis fmap-ext fmdom'-alt-def fmdom'-notD fmlookup-restrict-set subset-iff)

lemma fmlookup-restrict-set-id': ⟨set-mset (dom-m N) ⊆ A ⟹ fmrestrict-set A N = N⟩
  by (rule fmlookup-restrict-set-id)
    (auto simp: dom-m-def)

lemma ran-m-mapsto-upd:
  assumes
    NC: ⟨C ∈# dom-m N⟩
  shows ⟨ran-m (fmupd C C' N) =
    add-mset C' (remove1-mset (the (fmlookup N C)) (ran-m N))⟩
proof -
  define N' where
    ⟨N' = fmdrop C N⟩
  have N-N': ⟨dom-m N = add-mset C (dom-m N')⟩
    using NC unfolding N'-def by auto
  have ⟨C ∉# dom-m N'⟩
    using NC distinct-mset-dom[of N] unfolding N-N' by auto
  then show ?thesis
    by (auto simp: N-N' ran-m-def mset-set.insert-remove image-mset-remove1-mset-if
      intro!: image-mset-cong)
qed

lemma ran-m-mapsto-upd-notin:
  assumes NC: ⟨C ∉# dom-m N⟩
  shows ⟨ran-m (fmupd C C' N) = add-mset C' (ran-m N)⟩
  using NC
  by (auto simp: ran-m-def mset-set.insert-remove image-mset-remove1-mset-if
    intro!: image-mset-cong split: if-splits)

lemma image-mset-If-eq-notin:
  ⟨C ∉# A ⟹ {#f (if x = C then a x else b x). x ∈# A #} = {#f (b x). x ∈# A #}⟩
  by (induction A) auto

lemma filter-mset-cong2:
  (⟨∧x. x ∈# M ⟹ f x = g x⟩ ⟹ M = N ⟹ filter-mset f M = filter-mset g N)
  by (hypsubst, rule filter-mset-cong, simp)

lemma ran-m-fmdrop:
  ⟨C ∈# dom-m N ⟹ ran-m (fmdrop C N) = remove1-mset (the (fmlookup N C)) (ran-m N)⟩
  using distinct-mset-dom[of N]
  by (cases (fmlookup N C))
    (auto simp: ran-m-def image-mset-If-eq-notin[of C - ⟨λx. fst (the x)⟩]
      dest!: multi-member-split
      intro!: filter-mset-cong2 image-mset-cong2)

lemma ran-m-fmdrop-notin:
  ⟨C ∉# dom-m N ⟹ ran-m (fmdrop C N) = ran-m N⟩
  using distinct-mset-dom[of N]
  by (auto simp: ran-m-def image-mset-If-eq-notin[of C - ⟨λx. fst (the x)⟩])

```

*dest!:* multi-member-split  
*intro!:* filter-mset-cong2 image-mset-cong2)

**lemma** *ran-m-fmdrop-If*:  
 $\langle \text{ran-m } (\text{fmdrop } C \ N) = (\text{if } C \in \# \text{ dom-m } N \text{ then remove1-mset } (\text{the } (\text{fmlookup } N \ C)) (\text{ran-m } N) \text{ else } \text{ran-m } N) \rangle$   
**using** *distinct-mset-dom*[of *N*]  
**by** (*auto simp: ran-m-def image-mset-If-eq-notin*[of *C* -  $\langle \lambda x. \text{fst } (\text{the } x) \rangle$ ])  
*dest!:* multi-member-split  
*intro!:* filter-mset-cong2 image-mset-cong2)

**lemma** *dom-m-empty-iff*[*iff*]:  
 $\langle \text{dom-m } NU = \{\#\} \longleftrightarrow NU = \text{fmempty} \rangle$   
**by** (*cases* *NU*) (*auto simp: dom-m-def mset-set.insert-remove*)

**end**

**theory** *PAC-Map-Rel*  
**imports**  
*Refine-Imperative-HOL.IICF Finite-Map-Multiset*  
**begin**

## 5 Hash-Map for finite mappings

This function declares hash-maps for  $(^a, ^b)$  *fmap*, that are nicer to use especially here where everything is finite.

**definition** *fmap-rel* **where**  
 $[to-relAPP]:$   
 $\text{fmap-rel } K \ V \equiv \{(m1, m2). (\forall i \ j. i \in | \text{fmdom } m2 \longrightarrow (j, i) \in K \longrightarrow (\text{the } (\text{fmlookup } m1 \ j), \text{the } (\text{fmlookup } m2 \ i)) \in V) \wedge \text{fset } (\text{fmdom } m1) \subseteq \text{Domain } K \wedge \text{fset } (\text{fmdom } m2) \subseteq \text{Range } K \wedge (\forall i \ j. (i, j) \in K \longrightarrow j \in | \text{fmdom } m2 \longleftrightarrow i \in | \text{fmdom } m1))\}$

**lemma** *fmap-rel-alt-def*:  
 $\langle K, V \rangle \text{fmap-rel} \equiv \{(m1, m2). (\forall i \ j. i \in \# \text{ dom-m } m2 \longrightarrow (j, i) \in K \longrightarrow (\text{the } (\text{fmlookup } m1 \ j), \text{the } (\text{fmlookup } m2 \ i)) \in V) \wedge \text{fset } (\text{fmdom } m1) \subseteq \text{Domain } K \wedge \text{fset } (\text{fmdom } m2) \subseteq \text{Range } K \wedge (\forall i \ j. (i, j) \in K \longrightarrow (j \in \# \text{ dom-m } m2) = (i \in \# \text{ dom-m } m1)))\}$

**unfolding** *fmap-rel-def dom-m-def fmempty.rep-eq*  
**by** *auto*

**lemma** *fmap-rel-empty1-simp*[*simp*]:  
 $(\text{fmempty}, m) \in \langle K, V \rangle \text{fmap-rel} \longleftrightarrow m = \text{fmempty}$   
**apply** (*cases*  $\langle \text{fmdom } m = \{\} \rangle$ )  
**apply** (*auto simp: fmap-rel-def*)  
**apply** (*metis fmrestrict-fset-dom fmrestrict-fset-null*)  
**by** (*meson RangeE notin-fset subsetD*)

**lemma** *fmap-rel-empty2-simp*[*simp*]:

```

(m, fmempty) ∈ ⟨K, V⟩fmap-rel ⟷ m = fmempty
apply (cases ⟨fmdom m = {||}⟩)
apply (auto simp: fmap-rel-def)
apply (metis fmrestrict-fset-dom fmrestrict-fset-null)
by (meson DomainE notin-fset subset-iff)

```

**sempref-decl-intf** ('k, 'v) f-map **is** ('k, 'v) fmap

**lemma** [synth-rules]:  $\llbracket \text{INTF-OF-REL } K \text{ TYPE('k); INTF-OF-REL } V \text{ TYPE('v)} \rrbracket$   
 $\implies \text{INTF-OF-REL } (\langle K, V \rangle \text{fmap-rel}) \text{ TYPE}((\text{'k}, \text{'v}) \text{f-map})$  **by** simp

## 5.1 Operations

**sempref-decl-op** fmap-empty: fmempty :: ⟨K, V⟩fmap-rel .

```

sempref-decl-op fmap-is-empty: ( = ) fmempty :: ⟨K, V⟩fmap-rel → bool-rel
apply (rule fref-ncI)
apply parametricity
apply (rule fun-relI; auto)
done

```

**lemma** fmap-rel-fmupd-fmap-rel:

```

⟨(A, B) ∈ ⟨K, R⟩fmap-rel ⟹ (p, p') ∈ K ⟹ (q, q') ∈ R ⟹
  (fmupd p q A, fmupd p' q' B) ∈ ⟨K, R⟩fmap-rel
if single-valued K single-valued (K-1)
using that
unfolding fmap-rel-alt-def
apply (case-tac ⟨p' ∈ # dom-m B⟩)
apply (auto simp add: all-conj-distrib IS-RIGHT-UNIQUED dest!: multi-member-split)
done

```

```

sempref-decl-op fmap-update: fmupd :: K → V → ⟨K, V⟩fmap-rel → ⟨K, V⟩fmap-rel
where single-valued K single-valued (K-1)
apply (rule fref-ncI)
apply parametricity
apply (intro fun-relI)
by (rule fmap-rel-fmupd-fmap-rel)

```

**lemma** fmap-rel-fmdrop-fmap-rel:

```

⟨(A, B) ∈ ⟨K, R⟩fmap-rel ⟹ (p, p') ∈ K ⟹
  (fmdrop p A, fmdrop p' B) ∈ ⟨K, R⟩fmap-rel
if single-valued K single-valued (K-1)
using that
unfolding fmap-rel-alt-def
apply (auto simp add: all-conj-distrib IS-RIGHT-UNIQUED dest!: multi-member-split)
apply (metis dom-m-fmdrop fmlookup-drop in-dom-m-lookup-iff union-single-eq-member)
apply (metis dom-m-fmdrop fmlookup-drop in-dom-m-lookup-iff union-single-eq-member)
by (metis IS-RIGHT-UNIQUED converse.intros dom-m-fmdrop fmlookup-drop in-dom-m-lookup-iff
  union-single-eq-member)+

```

```

sempref-decl-op fmap-delete: fmdrop :: K → ⟨K, V⟩fmap-rel → ⟨K, V⟩fmap-rel
where single-valued K single-valued (K-1)
apply (rule fref-ncI)

```

**apply** *parametricity*  
**by** (*auto simp add: fmap-rel-fmdrop-fmap-rel*)

**lemma** *fmap-rel-nat-the-fmlookup[intro]*:  
 $\langle (A, B) \in \langle S, R \rangle \text{fmap-rel} \implies (p, p') \in S \implies p' \in \# \text{ dom-}m B \implies$   
 $(\text{the } (\text{fmlookup } A \ p), \text{the } (\text{fmlookup } B \ p')) \in R \rangle$   
**by** (*auto simp: fmap-rel-alt-def distinct-mset-dom*)

**lemma** *fmap-rel-in-dom-iff*:  
 $\langle (aa, a'a) \in \langle K, V \rangle \text{fmap-rel} \implies$   
 $(a, a') \in K \implies$   
 $a' \in \# \text{ dom-}m a'a \longleftrightarrow$   
 $a \in \# \text{ dom-}m aa \rangle$   
**unfolding** *fmap-rel-alt-def*  
**by** *auto*

**lemma** *fmap-rel-fmlookup-rel*:  
 $\langle (a, a') \in K \implies (aa, a'a) \in \langle K, V \rangle \text{fmap-rel} \implies$   
 $(\text{fmlookup } aa \ a, \text{fmlookup } a'a \ a') \in \langle V \rangle \text{option-rel} \rangle$   
**using** *fmap-rel-nat-the-fmlookup[of aa a'a K V a a']*  
*fmap-rel-in-dom-iff[of aa a'a K V a a']*  
*in-dom-m-lookup-iff[of a' a'a]*  
*in-dom-m-lookup-iff[of a aa]*  
**by** (*cases*  $\langle a' \in \# \text{ dom-}m a'a \rangle$ )  
*(auto simp del: fmap-rel-nat-the-fmlookup)*

**sempref-decl-op** *fmap-lookup: fmlookup ::  $\langle K, V \rangle \text{fmap-rel} \rightarrow K \rightarrow \langle V \rangle \text{option-rel}$*   
**apply** (*rule fref-ncI*)  
**apply** *parametricity*  
**apply** (*intro fun-relI*)  
**apply** (*rule fmap-rel-fmlookup-rel; assumption*)  
**done**

**lemma** *in-fdom-alt:  $k \in \# \text{ dom-}m m \longleftrightarrow \neg \text{is-None } (\text{fmlookup } m \ k)$*   
**apply** (*auto split: option.split intro: fmdom-notI simp: dom-m-def fmlookup.rep-eq*)  
**apply** (*meson fmdom-notI notin-fset*)  
**using** *notin-fset* **by** *fastforce*

**sempref-decl-op** *fmap-contains-key:  $\lambda k \ m. k \in \# \text{ dom-}m m :: K \rightarrow \langle K, V \rangle \text{fmap-rel} \rightarrow \text{bool-rel}$*   
**unfolding** *in-fdom-alt*  
**apply** (*rule fref-ncI*)  
**apply** *parametricity*  
**apply** (*rule fmap-rel-fmlookup-rel; assumption*)  
**done**

## 5.2 Patterns

**lemma** *pat-fmap-empty[pat-rules]:  $\text{fmempty} \equiv \text{op-fmap-empty}$*  **by** *simp*

**lemma** *pat-map-is-empty[pat-rules]*:  
 $(=) \ \$m\$ \text{fmempty} \equiv \text{op-fmap-is-empty} \$m$   
 $(=) \ \$ \text{fmempty} \$m \equiv \text{op-fmap-is-empty} \$m$   
 $(=) \ \$ (\text{dom-}m \$m) \{ \# \} \equiv \text{op-fmap-is-empty} \$m$   
 $(=) \ \$ \{ \# \} \$ (\text{dom-}m \$m) \equiv \text{op-fmap-is-empty} \$m$   
**unfolding** *atomize-eq*

by (auto dest: sym)

**lemma** *op-map-contains-key*[*pat-rules*]:  
 $(\in\#) \$ k \$ (dom\text{-}m\$m) \equiv op\text{-}fmap\text{-}contains\text{-}key\$'k\$'m$   
 by (auto intro!: eq-reflection)

### 5.3 Mapping to Normal Hashmaps

**abbreviation** *map-of-fmap* ::  $\langle('k \Rightarrow 'v\ option) \Rightarrow ('k, 'v)\ fmap\rangle$  **where**  
 $\langle map\text{-}of\text{-}fmap\ h \equiv Abs\text{-}fmap\ h \rangle$

**definition** *map-fmap-rel* **where**  
 $\langle map\text{-}fmap\text{-}rel = br\ map\text{-}of\text{-}fmap\ (\lambda a. finite\ (dom\ a)) \rangle$

**lemma** *fmdrop-set-None*:  
 $\langle (op\text{-}map\text{-}delete, fmdrop) \in Id \rightarrow map\text{-}fmap\text{-}rel \rightarrow map\text{-}fmap\text{-}rel \rangle$   
**apply** (auto simp: map-fmap-rel-def br-def)  
**apply** (subst fmdrop.abs-eq)  
**apply** (auto simp: eq-onp-def fmap.Abs-fmap-inject  
 map-drop-def map-filter-finite  
 intro!: ext)  
**apply** (auto simp: map-filter-def)  
**done**

**lemma** *map-upd-fmupd*:  
 $\langle (op\text{-}map\text{-}update, fmupd) \in Id \rightarrow Id \rightarrow map\text{-}fmap\text{-}rel \rightarrow map\text{-}fmap\text{-}rel \rangle$   
**apply** (auto simp: map-fmap-rel-def br-def)  
**apply** (subst fmupd.abs-eq)  
**apply** (auto simp: eq-onp-def fmap.Abs-fmap-inject  
 map-drop-def map-filter-finite map-upd-def  
 intro!: ext)  
**done**

Technically *op-map-lookup* has the arguments in the wrong direction.

**definition** *fmlookup'* **where**  
 $[simp]: \langle fmlookup' A\ k = fmlookup\ k\ A \rangle$

**lemma** [*def-pat-rules*]:  
 $\langle (((\in\#) \$ k \$ (dom\text{-}m\$A)) \equiv Not \$ (is\text{-}None \$ (fmlookup' \$ k \$ A))) \rangle$   
**apply** (auto split: option.split simp: dom-m-def)  
**by** (smt domIff fmdom.rep-eq option.disc-eq-case(1))

**lemma** *op-map-lookup-fmlookup*:  
 $\langle (op\text{-}map\text{-}lookup, fmlookup') \in Id \rightarrow map\text{-}fmap\text{-}rel \rightarrow \langle Id \rangle option\text{-}rel \rangle$   
**by** (auto simp: map-fmap-rel-def br-def fmap.Abs-fmap-inverse)

**abbreviation** *hm-fmap-assn* **where**  
 $\langle hm\text{-}fmap\text{-}assn\ K\ V \equiv hr\text{-}comp\ (hm.assn\ K\ V)\ map\text{-}fmap\text{-}rel \rangle$

**lemmas** *fmap-delete-hnr* [*sepref-fr-rules*] =  
 hm.delete-hnr[*FCOMP* fmdrop-set-None]

**lemmas** *fmap-update-hnr* [*sepref-fr-rules*] =  
 hm.update-hnr[*FCOMP* map-upd-fmupd]

**lemmas** *fmap-lookup-hnr* [*sepref-fr-rules*] =  
*hm.lookup-hnr*[*FCOMP op-map-lookup-fmlookup*]

**lemma** *fmemory-empty*:  
 $\langle (\text{uncurry0 } (\text{RETURN } \text{op-map-empty}), \text{uncurry0 } (\text{RETURN } \text{fmemory})) \in \text{unit-rel} \rightarrow_f \langle \text{map-fmap-rel} \rangle \text{nres-rel} \rangle$   
**by** (*auto simp: map-fmap-rel-def br-def fmemory-def frefI nres-relI*)

**lemmas** [*sepref-fr-rules*] =  
*hm.empty-hnr*[*FCOMP fmemory-empty, unfolded op-fmap-empty-def[symmetric]*]

**abbreviation** *iam-fmap-assn* **where**  
 $\langle \text{iam-fmap-assn } K \ V \equiv \text{hr-comp } (\text{iam.assn } K \ V) \ \text{map-fmap-rel} \rangle$

**lemmas** *iam-fmap-delete-hnr* [*sepref-fr-rules*] =  
*iam.delete-hnr*[*FCOMP fmdrop-set-None*]

**lemmas** *iam-ffmap-update-hnr* [*sepref-fr-rules*] =  
*iam.update-hnr*[*FCOMP map-upd-fmupd*]

**lemmas** *iam-ffmap-lookup-hnr* [*sepref-fr-rules*] =  
*iam.lookup-hnr*[*FCOMP op-map-lookup-fmlookup*]

**definition** *op-iam-fmap-empty* **where**  
 $\langle \text{op-iam-fmap-empty} = \text{fmemory} \rangle$

**lemma** *iam-fmemory-empty*:  
 $\langle (\text{uncurry0 } (\text{RETURN } \text{op-map-empty}), \text{uncurry0 } (\text{RETURN } \text{op-iam-fmap-empty})) \in \text{unit-rel} \rightarrow_f \langle \text{map-fmap-rel} \rangle \text{nres-rel} \rangle$   
**by** (*auto simp: map-fmap-rel-def br-def fmemory-def frefI nres-relI op-iam-fmap-empty-def*)

**lemmas** [*sepref-fr-rules*] =  
*iam.empty-hnr*[*FCOMP fmemory-empty, unfolded op-iam-fmap-empty-def[symmetric]*]

**definition** *upper-bound-on-dom* **where**  
 $\langle \text{upper-bound-on-dom } A = \text{SPEC}(\lambda n. \forall i \in \#(\text{dom-m } A). i < n) \rangle$

**lemma** [*sepref-fr-rules*]:  
 $\langle (\text{Array.len}, \text{upper-bound-on-dom}) \in (\text{iam-fmap-assn } \text{nat-assn } V)^k \rightarrow_a \text{nat-assn} \rangle$

**proof** –  
**have** [*simp*]:  $\langle \text{finite } (\text{dom } b) \implies i \in \text{fset } (\text{fmdom } (\text{map-of-fmap } b)) \longleftrightarrow i \in \text{dom } b \rangle$  **for** *i b*  
**by** (*subst fmdom.abs-eq*)  
*(auto simp: eq-onp-def fset.Abs-fset-inverse)*  
**have** 2:  $\langle \text{nat-rel} = \text{the-pure } (\text{nat-assn}) \rangle$  **and**  
3:  $\langle \text{nat-assn} = \text{pure } \text{nat-rel} \rangle$   
**by** *auto*  
**have** [*simp*]:  $\langle \text{the-pure } (\lambda a \ c :: \text{nat}. \uparrow (c = a)) = \text{nat-rel} \rangle$   
**apply** (*subst 2*)  
**apply** (*subst 3*)  
**apply** (*subst pure-def*)  
**apply** *auto*  
**done**



```

have [simp]:  $\langle (iam\text{-}of\text{-}list\ l, b) \in the\text{-}pure\ (\lambda a\ c :: nat.\ \uparrow (c = a)) \rightarrow \langle the\text{-}pure\ V \rangle option\text{-}rel \implies$ 
   $b\ i = Some\ y \implies i < length\ l\ \text{for}\ i\ b\ l\ y$ 
by (auto dest!: fun-relD[of - - - i i] simp: option-rel-def
  iam-of-list-def split: if-splits)
show ?thesis
by seprefto-hoare
  (sep-auto simp: upper-bound-on-dom-def hr-comp-def iam.assn-def map-rel-def
  map-fmap-rel-def is-iam-def br-def dom-m-def)
qed

```

```

lemma fmap-rel-nat-rel-dom-m[simp]:
   $\langle (A, B) \in \langle nat\text{-}rel, R \rangle fmap\text{-}rel \implies dom\text{-}m\ A = dom\text{-}m\ B \rangle$ 
by (subst distinct-set-mset-eq-iff[symmetric])
  (auto simp: fmap-rel-alt-def distinct-mset-dom
  simp del: fmap-rel-nat-the-fmlookup)

```

```

lemma ref-two-step':
   $\langle A \leq B \implies \Downarrow R\ A \leq \Downarrow R\ B \rangle$ 
using ref-two-step by auto

```

**end**

```

theory PAC-Checker-Specification
imports PAC-Specification
  Refine-Imperative-HOL.IICF
  Finite-Map-Multiset
begin

```

## 6 Checker Algorithm

In this level of refinement, we define the first level of the implementation of the checker, both with the specification as on ideals and the first version of the loop.

### 6.1 Specification

```

datatype status =
  is-failed: FAILED |
  is-success: SUCCESS |
  is-found: FOUND

```

```

lemma is-success-alt-def:
   $\langle is\text{-}success\ a \longleftrightarrow a = SUCCESS \rangle$ 
by (cases a) auto

```

```

datatype ('a, 'b, 'lbls) pac-step =
  Add (pac-src1: 'lbls) (pac-src2: 'lbls) (new-id: 'lbls) (pac-res: 'a) |
  Mult (pac-src1: 'lbls) (pac-mult: 'a) (new-id: 'lbls) (pac-res: 'a) |
  Extension (new-id: 'lbls) (new-var: 'b) (pac-res: 'a) |
  Del (pac-src1: 'lbls)

```

```

type-synonym pac-state =  $\langle (nat\ set \times int\text{-}poly\ multiset) \rangle$ 

```

**definition** *PAC-checker-specification*

$\langle \text{int-poly} \Rightarrow \text{int-poly multiset} \Rightarrow (\text{status} \times \text{nat set} \times \text{int-poly multiset}) \text{ nres} \rangle$

**where**

$\langle \text{PAC-checker-specification spec } A = \text{SPEC}(\lambda(b, \mathcal{V}, B).$

$(\neg \text{is-failed } b \longrightarrow \text{restricted-ideal-to}_I (\bigcup (\text{vars } \text{' set-mset } A) \cup \text{vars spec}) B \subseteq \text{restricted-ideal-to}_I$   
 $(\bigcup (\text{vars } \text{' set-mset } A) \cup \text{vars spec}) A) \wedge$   
 $(\text{is-found } b \longrightarrow \text{spec} \in \text{pac-ideal } (\text{set-mset } A))) \rangle$

**definition** *PAC-checker-specification-spec*

$\langle \text{int-poly} \Rightarrow \text{pac-state} \Rightarrow (\text{status} \times \text{pac-state}) \Rightarrow \text{bool} \rangle$

**where**

$\langle \text{PAC-checker-specification-spec spec} = (\lambda(\mathcal{V}, A) (b, B). (\neg \text{is-failed } b \longrightarrow \bigcup (\text{vars } \text{' set-mset } A) \subseteq \mathcal{V}) \wedge$   
 $(\text{is-success } b \longrightarrow \text{PAC-Format}^{**}(\mathcal{V}, A) B) \wedge$   
 $(\text{is-found } b \longrightarrow \text{PAC-Format}^{**}(\mathcal{V}, A) B \wedge \text{spec} \in \text{pac-ideal } (\text{set-mset } A))) \rangle$

**abbreviation** *PAC-checker-specification2*

$\langle \text{int-poly} \Rightarrow (\text{nat set} \times \text{int-poly multiset}) \Rightarrow (\text{status} \times (\text{nat set} \times \text{int-poly multiset})) \text{ nres} \rangle$

**where**

$\langle \text{PAC-checker-specification2 spec } A \equiv \text{SPEC}(\text{PAC-checker-specification-spec spec } A) \rangle$

**definition** *PAC-checker-specification-step-spec*

$\langle \text{pac-state} \Rightarrow \text{int-poly} \Rightarrow \text{pac-state} \Rightarrow (\text{status} \times \text{pac-state}) \Rightarrow \text{bool} \rangle$

**where**

$\langle \text{PAC-checker-specification-step-spec} = (\lambda(\mathcal{V}_0, A_0) \text{ spec } (\mathcal{V}, A) (b, B).$   
 $(\text{is-success } b \longrightarrow$   
 $\bigcup (\text{vars } \text{' set-mset } A_0) \subseteq \mathcal{V}_0 \wedge$   
 $\bigcup (\text{vars } \text{' set-mset } A) \subseteq \mathcal{V} \wedge \text{PAC-Format}^{**}(\mathcal{V}_0, A_0) (\mathcal{V}, A) \wedge \text{PAC-Format}^{**}(\mathcal{V}, A) B) \wedge$   
 $(\text{is-found } b \longrightarrow$   
 $\bigcup (\text{vars } \text{' set-mset } A_0) \subseteq \mathcal{V}_0 \wedge$   
 $\bigcup (\text{vars } \text{' set-mset } A) \subseteq \mathcal{V} \wedge \text{PAC-Format}^{**}(\mathcal{V}_0, A_0) (\mathcal{V}, A) \wedge \text{PAC-Format}^{**}(\mathcal{V}, A) B \wedge$   
 $\text{spec} \in \text{pac-ideal } (\text{set-mset } A_0))) \rangle$

**abbreviation** *PAC-checker-specification-step2*

$\langle \text{pac-state} \Rightarrow \text{int-poly} \Rightarrow \text{pac-state} \Rightarrow (\text{status} \times \text{pac-state}) \text{ nres} \rangle$

**where**

$\langle \text{PAC-checker-specification-step2 } A_0 \text{ spec } A \equiv \text{SPEC}(\text{PAC-checker-specification-step-spec } A_0 \text{ spec } A) \rangle$

**definition** *normalize-poly-spec*  $\langle \cdot \rangle$  **where**

$\langle \text{normalize-poly-spec } p = \text{SPEC } (\lambda r. p - r \in \text{ideal polynomial-bool} \wedge \text{vars } r \subseteq \text{vars } p) \rangle$

**lemma** *normalize-poly-spec-alt-def*:

$\langle \text{normalize-poly-spec } p = \text{SPEC } (\lambda r. r - p \in \text{ideal polynomial-bool} \wedge \text{vars } r \subseteq \text{vars } p) \rangle$

**unfolding** *normalize-poly-spec-def*

**by** (*auto dest: ideal.span-neg*)

**definition** *mult-poly-spec*  $\langle \text{int mpoly} \Rightarrow \text{int mpoly} \Rightarrow \text{int mpoly nres} \rangle$  **where**

$\langle \text{mult-poly-spec } p \ q = \text{SPEC } (\lambda r. p * q - r \in \text{ideal polynomial-bool}) \rangle$

**definition** *check-add*  $\langle (\text{nat}, \text{int mpoly}) \text{ fmap} \Rightarrow \text{nat set} \Rightarrow \text{nat} \Rightarrow \text{nat} \Rightarrow \text{nat} \Rightarrow \text{int mpoly} \Rightarrow \text{bool nres} \rangle$  **where**

$\langle \text{check-add } A \ \mathcal{V} \ p \ q \ i \ r =$

$\text{SPEC}(\lambda b. b \longrightarrow p \in \# \text{ dom-m } A \wedge q \in \# \text{ dom-m } A \wedge i \notin \# \text{ dom-m } A \wedge \text{vars } r \subseteq \mathcal{V} \wedge$

the (fmlookup A p) + the (fmlookup A q) - r ∈ ideal polynomial-bool)

**definition** *check-mult* :: ⟨(nat, int mpoly) fmap ⇒ nat set ⇒ nat ⇒ int mpoly ⇒ nat ⇒ int mpoly ⇒ bool nres⟩ **where**

⟨check-mult A V p q i r =  
SPEC(λb. b → p ∈# dom-m A ∧ i ∉# dom-m A ∧ vars q ⊆ V ∧ vars r ⊆ V ∧  
the (fmlookup A p) \* q - r ∈ ideal polynomial-bool)⟩

**definition** *check-extension* :: ⟨(nat, int mpoly) fmap ⇒ nat set ⇒ nat ⇒ nat ⇒ int mpoly ⇒ (bool) nres⟩ **where**

⟨check-extension A V i v p =  
SPEC(λb. b → (i ∉# dom-m A ∧  
(v ∉ V ∧  
(p + Var v)<sup>2</sup> - (p + Var v) ∈ ideal polynomial-bool ∧  
vars (p + Var v) ⊆ V)))⟩

**fun** *merge-status* **where**

⟨merge-status (FAILED) - = FAILED⟩ |  
⟨merge-status - (FAILED) = FAILED⟩ |  
⟨merge-status FOUND - = FOUND⟩ |  
⟨merge-status - FOUND = FOUND⟩ |  
⟨merge-status - - = SUCCESS⟩

**type-synonym** *fpac-step* = ⟨nat set × (nat, int-poly) fmap⟩

**definition** *check-del* :: ⟨(nat, int mpoly) fmap ⇒ nat ⇒ bool nres⟩ **where**

⟨check-del A p =  
SPEC(λb. b → True)⟩

## 6.2 Algorithm

**definition** *PAC-checker-step*

:: ⟨int-poly ⇒ (status × fpac-step) ⇒ (int-poly, nat, nat) pac-step ⇒  
(status × fpac-step) nres⟩

**where**

⟨PAC-checker-step = (λspec (stat, (V, A)) st. case st of  
Add - - - ⇒  
do {  
r ← normalize-poly-spec (pac-res st);  
eq ← check-add A V (pac-src1 st) (pac-src2 st) (new-id st) r;  
st' ← SPEC(λst'. (¬is-failed st' ∧ is-found st' → r - spec ∈ ideal polynomial-bool));  
if eq  
then RETURN (merge-status stat st',  
V, fmuupd (new-id st) r A)  
else RETURN (FAILED, (V, A))  
}  
| Del - ⇒  
do {  
eq ← check-del A (pac-src1 st);  
if eq  
then RETURN (stat, (V, fmdrop (pac-src1 st) A))  
else RETURN (FAILED, (V, A))  
}  
| Mult - - - ⇒  
do {  
r ← normalize-poly-spec (pac-res st);

```

    q ← normalize-poly-spec (pac-mult st);
    eq ← check-mult A V (pac-src1 st) q (new-id st) r;
    st' ← SPEC(λst'. (¬is-failed st' ∧ is-found st' → r - spec ∈ ideal polynomial-bool));
    if eq
    then RETURN (merge-status stat st',
      V, fmupd (new-id st) r A)
    else RETURN (FAILED, (V, A))
  }
| Extension - - - ⇒
  do {
    r ← normalize-poly-spec (pac-res st - Var (new-var st));
    (eq) ← check-extension A V (new-id st) (new-var st) r;
    if eq
    then do {
      RETURN (stat,
        insert (new-var st) V, fmupd (new-id st) (r) A)}
    else RETURN (FAILED, (V, A))
  }
)

```

**definition** *polys-rel* ::  $\langle (nat, int \text{ mpoly}) \text{ fmap} \times - \rangle \text{ set}$  **where**  
 $\langle polys-rel = \{(A, B). B = (ran-m A)\}$

**definition** *polys-rel-full* ::  $\langle (nat \text{ set} \times (nat, int \text{ mpoly}) \text{ fmap}) \times - \rangle \text{ set}$  **where**  
 $\langle polys-rel-full = \{((V, A), (V', B)). (A, B) \in polys-rel \wedge V = V'\}$

**lemma** *polys-rel-update-remove*:

$\langle x13 \notin \# dom-m A \Rightarrow x11 \in \# dom-m A \Rightarrow x12 \in \# dom-m A \Rightarrow x11 \neq x12 \Rightarrow (A, B) \in polys-rel$   
 $\Rightarrow$

$(fmupd x13 r (fmdrop x11 (fmdrop x12 A)),$   
 $add-mset r B - \{\#the (fmlookup A x11), the (fmlookup A x12)\# \})$   
 $\in polys-rel$

$\langle x13 \notin \# dom-m A \Rightarrow x11 \in \# dom-m A \Rightarrow (A, B) \in polys-rel \Rightarrow$   
 $(fmupd x13 r (fmdrop x11 A), add-mset r B - \{\#the (fmlookup A x11)\# \})$   
 $\in polys-rel$

$\langle x13 \notin \# dom-m A \Rightarrow (A, B) \in polys-rel \Rightarrow$   
 $(fmupd x13 r A, add-mset r B) \in polys-rel$

$\langle x13 \in \# dom-m A \Rightarrow (A, B) \in polys-rel \Rightarrow$   
 $(fmdrop x13 A, remove1-mset (the (fmlookup A x13)) B) \in polys-rel$

**using** *distinct-mset-dom*[of A]

**apply** (auto simp: polys-rel-def ran-m-mapsto-upd ran-m-mapsto-upd-notin  
 ran-m-fmdrop)

**apply** (subst ran-m-mapsto-upd-notin)

**apply** (auto dest: in-diffD dest!: multi-member-split simp: ran-m-fmdrop ran-m-fmdrop-If distinct-mset-remove1-All  
 ran-m-def

add-mset-eq-add-mset removeAll-notin

split: if-splits intro!: image-mset-cong)

**by** (smt count-inI diff-single-trivial fmlookup-drop image-mset-cong2 replicate-mset-0)

**lemma** *polys-rel-in-dom-inD*:

$\langle (A, B) \in polys-rel \Rightarrow$

$x12 \in \# dom-m A \Rightarrow$

$the (fmlookup A x12) \in \# B$

**by** (auto simp: polys-rel-def)

**lemma** *PAC-Format-add-and-remove*:

$\langle r - x14 \in \text{More-Modules.ideal polynomial-bool} \implies$   
 $(A, B) \in \text{polys-rel} \implies$   
 $x12 \in \# \text{ dom-m } A \implies$   
 $x13 \notin \# \text{ dom-m } A \implies$   
 $\text{vars } r \subseteq \mathcal{V} \implies$   
 $2 * \text{the } (\text{fmlookup } A \ x12) - r \in \text{More-Modules.ideal polynomial-bool} \implies$   
 $\text{PAC-Format}^{**} (\mathcal{V}, B) (\mathcal{V}, \text{remove1-mset } (\text{the } (\text{fmlookup } A \ x12)) (\text{add-mset } r \ B)) \rangle$   
 $\langle r - x14 \in \text{More-Modules.ideal polynomial-bool} \implies$   
 $(A, B) \in \text{polys-rel} \implies$   
 $\text{the } (\text{fmlookup } A \ x11) + \text{the } (\text{fmlookup } A \ x12) - r \in \text{More-Modules.ideal polynomial-bool} \implies$   
 $x11 \in \# \text{ dom-m } A \implies$   
 $x12 \in \# \text{ dom-m } A \implies$   
 $\text{vars } r \subseteq \mathcal{V} \implies$   
 $\text{PAC-Format}^{**} (\mathcal{V}, B) (\mathcal{V}, \text{add-mset } r \ B) \rangle$   
 $\langle r - x14 \in \text{More-Modules.ideal polynomial-bool} \implies$   
 $(A, B) \in \text{polys-rel} \implies$   
 $x11 \in \# \text{ dom-m } A \implies$   
 $x12 \in \# \text{ dom-m } A \implies$   
 $\text{the } (\text{fmlookup } A \ x11) + \text{the } (\text{fmlookup } A \ x12) - r \in \text{More-Modules.ideal polynomial-bool} \implies$   
 $\text{vars } r \subseteq \mathcal{V} \implies$   
 $x11 \neq x12 \implies$   
 $\text{PAC-Format}^{**} (\mathcal{V}, B)$   
 $(\mathcal{V}, \text{add-mset } r \ B - \{\# \text{the } (\text{fmlookup } A \ x11), \text{the } (\text{fmlookup } A \ x12) \# \}) \rangle$   
 $\langle (A, B) \in \text{polys-rel} \implies$   
 $r - x34 \in \text{More-Modules.ideal polynomial-bool} \implies$   
 $x11 \in \# \text{ dom-m } A \implies$   
 $\text{the } (\text{fmlookup } A \ x11) * x32 - r \in \text{More-Modules.ideal polynomial-bool} \implies$   
 $\text{vars } x32 \subseteq \mathcal{V} \implies$   
 $\text{vars } r \subseteq \mathcal{V} \implies$   
 $\text{PAC-Format}^{**} (\mathcal{V}, B) (\mathcal{V}, \text{add-mset } r \ B) \rangle$   
 $\langle (A, B) \in \text{polys-rel} \implies$   
 $r - x34 \in \text{More-Modules.ideal polynomial-bool} \implies$   
 $x11 \in \# \text{ dom-m } A \implies$   
 $\text{the } (\text{fmlookup } A \ x11) * x32 - r \in \text{More-Modules.ideal polynomial-bool} \implies$   
 $\text{vars } x32 \subseteq \mathcal{V} \implies$   
 $\text{vars } r \subseteq \mathcal{V} \implies$   
 $\text{PAC-Format}^{**} (\mathcal{V}, B) (\mathcal{V}, \text{remove1-mset } (\text{the } (\text{fmlookup } A \ x11)) (\text{add-mset } r \ B)) \rangle$   
 $\langle (A, B) \in \text{polys-rel} \implies$   
 $x12 \in \# \text{ dom-m } A \implies$   
 $\text{PAC-Format}^{**} (\mathcal{V}, B) (\mathcal{V}, \text{remove1-mset } (\text{the } (\text{fmlookup } A \ x12)) \ B) \rangle$   
 $\langle (A, B) \in \text{polys-rel} \implies$   
 $(p' + \text{Var } x)^2 - (p' + \text{Var } x) \in \text{ideal polynomial-bool} \implies$   
 $x \notin \mathcal{V} \implies$   
 $x \notin \text{vars}(p' + \text{Var } x) \implies$   
 $\text{vars}(p' + \text{Var } x) \subseteq \mathcal{V} \implies$   
 $\text{PAC-Format}^{**} (\mathcal{V}, B)$   
 $(\text{insert } x \ \mathcal{V}, \text{add-mset } p' \ B) \rangle$

**subgoal**

**apply** (rule converse-rtrancp-into-rtrancp)  
**apply** (rule PAC-Format.add[of  $\langle \text{the } (\text{fmlookup } A \ x12) \rangle \ B \ \langle \text{the } (\text{fmlookup } A \ x12) \rangle$ ])  
**apply** (auto dest: polys-rel-in-dom-inD)  
**apply** (rule converse-rtrancp-into-rtrancp)  
**apply** (rule PAC-Format.del[of  $\langle \text{the } (\text{fmlookup } A \ x12) \rangle$ ])  
**apply** (auto dest: polys-rel-in-dom-inD)

```

    done
  subgoal H2
    apply (rule converse-rtrancp-into-rtrancp)
    apply (rule PAC-Format.add[of ⟨the (fmlookup A x11)⟩ B ⟨the (fmlookup A x12)⟩])
    apply (auto dest: polys-rel-in-dom-inD)
    done
  subgoal
    apply (rule rtrancp-trans)
    apply (rule H2; assumption)
    apply (rule converse-rtrancp-into-rtrancp)
    apply (rule PAC-Format.del[of ⟨the (fmlookup A x12)⟩])
    apply (auto dest: polys-rel-in-dom-inD)
    apply (rule converse-rtrancp-into-rtrancp)
    apply (rule PAC-Format.del[of ⟨the (fmlookup A x11)⟩])
    apply (auto dest: polys-rel-in-dom-inD)
    apply (auto simp: polys-rel-def ran-m-def add-mset-eq-add-mset dest!: multi-member-split)
    done
  subgoal H2
    apply (rule converse-rtrancp-into-rtrancp)
    apply (rule PAC-Format.mult[of ⟨the (fmlookup A x11)⟩ B ⟨x32⟩ r])
    apply (auto dest: polys-rel-in-dom-inD)
    done
  subgoal
    apply (rule rtrancp-trans)
    apply (rule H2; assumption)
    apply (rule converse-rtrancp-into-rtrancp)
    apply (rule PAC-Format.del[of ⟨the (fmlookup A x11)⟩])
    apply (auto dest: polys-rel-in-dom-inD)
    done
  subgoal
    apply (rule converse-rtrancp-into-rtrancp)
    apply (rule PAC-Format.del[of ⟨the (fmlookup A x12)⟩ B])
    apply (auto dest: polys-rel-in-dom-inD)
    done
  subgoal
    apply (rule converse-rtrancp-into-rtrancp)
    apply (rule PAC-Format.extend-pos[of ⟨p' + Var x⟩ - x])
    using coeff-monomila-in-varsD[of ⟨p' - Var x⟩ x]
    apply (auto dest: polys-rel-in-dom-inD simp: vars-in-right-only vars-subst-in-left-only)
    apply (subgoal-tac (V ∪ {x' ∈ vars (p'). x' ∉ V} = insert x V))
    apply simp
    using coeff-monomila-in-varsD[of p' x]
    apply (auto dest: vars-add-Var-subset vars-minus-Var-subset polys-rel-in-dom-inD simp: vars-subst-in-left-only-iff)
    using vars-in-right-only vars-subst-in-left-only by force
  done

```

**abbreviation** *status-rel* ::  $\langle (status \times status) \text{ set} \rangle$  **where**  
 $\langle status\text{-rel} \equiv Id \rangle$

**lemma** *is-merge-status*[*simp*]:  
 $\langle is\text{-failed} (merge\text{-status } a \ st') \longleftrightarrow is\text{-failed } a \vee is\text{-failed } st' \rangle$   
 $\langle is\text{-found} (merge\text{-status } a \ st') \longleftrightarrow \neg is\text{-failed } a \wedge \neg is\text{-failed } st' \wedge (is\text{-found } a \vee is\text{-found } st') \rangle$   
 $\langle is\text{-success} (merge\text{-status } a \ st') \longleftrightarrow (is\text{-success } a \wedge is\text{-success } st') \rangle$   
**by** (cases *a*; cases *st'*; auto; fail)+

**lemma** *status-rel-merge-status*:

$\langle (\text{merge-status } a \ b, \text{SUCCESS}) \notin \text{status-rel} \longleftrightarrow$   
 $(a = \text{FAILED}) \vee (b = \text{FAILED}) \vee$   
 $a = \text{FOUND} \vee (b = \text{FOUND}) \rangle$   
**by** (cases a; cases b; auto)

**lemma** *Ex-status-iff*:

$\langle (\exists a. P \ a) \longleftrightarrow P \ \text{SUCCESS} \vee P \ \text{FOUND} \vee (P \ (\text{FAILED})) \rangle$   
**apply** auto  
**apply** (case-tac a; auto)  
**done**

**lemma** *is-failed-alt-def*:

$\langle \text{is-failed } st' \longleftrightarrow \neg \text{is-success } st' \wedge \neg \text{is-found } st' \rangle$   
**by** (cases st') auto

**lemma** *merge-status-eq-iff*[simp]:

$\langle \text{merge-status } a \ \text{SUCCESS} = \text{SUCCESS} \longleftrightarrow a = \text{SUCCESS} \rangle$   
 $\langle \text{merge-status } a \ \text{SUCCESS} = \text{FOUND} \longleftrightarrow a = \text{FOUND} \rangle$   
 $\langle \text{merge-status } \text{SUCCESS } a = \text{SUCCESS} \longleftrightarrow a = \text{SUCCESS} \rangle$   
 $\langle \text{merge-status } \text{SUCCESS } a = \text{FOUND} \longleftrightarrow a = \text{FOUND} \rangle$   
 $\langle \text{merge-status } \text{SUCCESS } a = \text{FAILED} \longleftrightarrow a = \text{FAILED} \rangle$   
 $\langle \text{merge-status } a \ \text{SUCCESS} = \text{FAILED} \longleftrightarrow a = \text{FAILED} \rangle$   
 $\langle \text{merge-status } \text{FOUND } a = \text{FAILED} \longleftrightarrow a = \text{FAILED} \rangle$   
 $\langle \text{merge-status } a \ \text{FOUND} = \text{FAILED} \longleftrightarrow a = \text{FAILED} \rangle$   
 $\langle \text{merge-status } a \ \text{FOUND} = \text{SUCCESS} \longleftrightarrow \text{False} \rangle$   
 $\langle \text{merge-status } a \ b = \text{FOUND} \longleftrightarrow (a = \text{FOUND} \vee b = \text{FOUND}) \wedge (a \neq \text{FAILED} \wedge b \neq \text{FAILED}) \rangle$   
**apply** (cases a; auto; fail)+  
**apply** (cases a; cases b; auto; fail)+  
**done**

**lemma** *fmdrop-irrelevant*:  $\langle x11 \notin \# \text{ dom-m } A \implies \text{fmdrop } x11 \ A = A \rangle$

**by** (simp add: fmap-ext in-dom-m-lookup-iff)

**lemma** *PAC-checker-step-PAC-checker-specification2*:

**fixes**  $a :: \langle \text{status} \rangle$

**assumes**  $AB: \langle (\mathcal{V}, A), (\mathcal{V}_B, B) \rangle \in \text{polys-rel-full} \rangle$  **and**

$\langle \neg \text{is-failed } a \rangle$  **and**

$[simp, intro]: \langle a = \text{FOUND} \implies \text{spec} \in \text{pac-ideal } (\text{set-mset } A_0) \rangle$  **and**

$A_0B: \langle \text{PAC-Format}^{**} (\mathcal{V}_0, A_0) (\mathcal{V}, B) \rangle$  **and**

$\text{spec}_0: \langle \text{vars spec} \subseteq \mathcal{V}_0 \rangle$  **and**

$\text{vars-}A_0: \langle \bigcup (\text{vars } \text{' set-mset } A_0) \subseteq \mathcal{V}_0 \rangle$

**shows**  $\langle \text{PAC-checker-step spec } (a, (\mathcal{V}, A)) \ st \leq \Downarrow (\text{status-rel} \times_r \text{polys-rel-full}) (\text{PAC-checker-specification-step2 } (\mathcal{V}_0, A_0) \ \text{spec } (\mathcal{V}, B)) \rangle$

**proof** –

**have**

$\langle \mathcal{V}_B = \mathcal{V} \rangle$  **and**

$[simp, intro]: \langle (A, B) \in \text{polys-rel} \rangle$

**using**  $AB$

**by** (auto simp: polys-rel-full-def)

**have**  $H1: \langle x12 \in \# \text{ dom-m } A \implies$

$2 * \text{the } (\text{fmllookup } A \ x12) - r \in \text{More-Modules.ideal polynomial-bool} \implies$

$r - \text{spec} \in \text{More-Modules.ideal polynomial-bool} \implies$

$\text{vars spec} \subseteq \text{vars } r \implies$

```

    spec ∈ pac-ideal (set-mset B)› for x12 r
using ⟨(A,B) ∈ polys-rel⟩
    ideal.span-add[OF ideal.span-add[OF ideal.span-neg ideal.span-neg,
      of ⟨the (fmlookup A x12)⟩ - ⟨the (fmlookup A x12)⟩],
      of ⟨set-mset B ∪ polynomial-bool⟩ ⟨2 * the (fmlookup A x12) - r⟩]
unfolding polys-rel-def
apply (subgoal-tac ⟨r ∈ pac-ideal (set-mset B)⟩)
apply (auto dest!: multi-member-split simp: ran-m-def intro: diff-in-polynomial-bool-pac-idealI)
by (metis (no-types, lifting) ab-semigroup-mult-class.mult commute diff-in-polynomial-bool-pac-idealI
    ideal.span-base pac-idealI3 set-image-mset set-mset-add-mset-insert union-single-eq-member)

have H2: ⟨x11 ∈# dom-m A ⟹
  x12 ∈# dom-m A ⟹
  the (fmlookup A x11) + the (fmlookup A x12) - r
  ∈ More-Modules.ideal polynomial-bool ⟹
  r - spec ∈ More-Modules.ideal polynomial-bool ⟹
  spec ∈ pac-ideal (set-mset B)› for x12 r x11
using ⟨(A,B) ∈ polys-rel⟩
    ideal.span-add[OF ideal.span-add[OF ideal.span-neg ideal.span-neg,
      of ⟨the (fmlookup A x11)⟩ - ⟨the (fmlookup A x12)⟩],
      of ⟨set-mset B ∪ polynomial-bool⟩ ⟨the (fmlookup A x11) + the (fmlookup A x12) - r⟩]
unfolding polys-rel-def
apply (subgoal-tac ⟨r ∈ pac-ideal (set-mset B)⟩)
apply (auto dest!: multi-member-split simp: ran-m-def ideal.span-base intro: diff-in-polynomial-bool-pac-idealI)
by (metis (mono-tags, lifting) Un-insert-left diff-diff-eq2 diff-in-polynomial-bool-pac-idealI diff-zero
    ideal.span-diff ideal.span-neg minus-diff-eq pac-idealI1 pac-ideal-def set-image-mset
    set-mset-add-mset-insert union-single-eq-member)

have H3: ⟨x12 ∈# dom-m A ⟹
  the (fmlookup A x12) * q - r ∈ More-Modules.ideal polynomial-bool ⟹
  r - spec ∈ More-Modules.ideal polynomial-bool ⟹
  spec ∈ pac-ideal (set-mset B)› for x12 r q
using ⟨(A,B) ∈ polys-rel⟩
    ideal.span-add[OF ideal.span-add[OF ideal.span-neg ideal.span-neg,
      of ⟨the (fmlookup A x12)⟩ - ⟨the (fmlookup A x12)⟩],
      of ⟨set-mset B ∪ polynomial-bool⟩ ⟨2 * the (fmlookup A x12) - r⟩]
unfolding polys-rel-def
apply (subgoal-tac ⟨r ∈ pac-ideal (set-mset B)⟩)
apply (auto dest!: multi-member-split simp: ran-m-def intro: diff-in-polynomial-bool-pac-idealI)
by (metis (no-types, lifting) ab-semigroup-mult-class.mult commute diff-in-polynomial-bool-pac-idealI
    ideal.span-base pac-idealI3 set-image-mset set-mset-add-mset-insert union-single-eq-member)

have [intro]: ⟨spec ∈ pac-ideal (set-mset B) ⟹ spec ∈ pac-ideal (set-mset A₀)› and
  vars-B: ⟨⋃ (vars ‘ set-mset B) ⊆ V› and
  vars-B: ⟨⋃ (vars ‘ set-mset (ran-m A)) ⊆ V›
    using rtrancp-PAC-Format-subset-ideal[OF A₀B vars-A₀] spec₀ ⟨(A, B) ∈ polys-rel⟩[unfolded
    polys-rel-def, simplified]
    by (smt in-mono mem-Collect-eq restricted-ideal-to-def)+

have eq-successI: ⟨st' ≠ FAILED ⟹
  st' ≠ FOUND ⟹ st' = SUCCESS› for st'
by (cases st') auto
have vars-diff-inv: ⟨vars (Var x2 - r) = vars (r - Var x2 :: int mpoly)› for x2 r
using vars-uminus[of ⟨Var x2 - r⟩]
by (auto simp del: vars-uminus)

```



```

have vars-add-inv:  $\langle \text{vars } (\text{Var } x2 + r) = \text{vars } (r + \text{Var } x2 :: \text{int mpoly}) \rangle$  for  $x2\ r$ 
  unfolding add commute[of  $\langle \text{Var } x2 \rangle\ r$ ] ..

have [iff]:  $\langle a \neq \text{FAILED} \rangle$  and
  [intro]:  $\langle a \neq \text{SUCCESS} \implies a = \text{FOUND} \rangle$  and
  [simp]:  $\langle \text{merge-status } a\ \text{FOUND} = \text{FOUND} \rangle$ 
  using assms(2) by (cases  $a$ ; auto) +
note [[goals-limit=1]]
show ?thesis
unfolding PAC-checker-step-def PAC-checker-specification-step-spec-def
  normalize-poly-spec-alt-def check-mult-def check-add-def
  check-extension-def polys-rel-full-def
apply (cases  $st$ )
apply clarsimp-all
subgoal for  $x11\ x12\ x13\ x14$ 
  apply (refine-vcg lhs-step-If)
  subgoal for  $r\ \text{eqa } st'$ 
    using assms vars-B apply –
    apply (rule RETURN-SPEC-refine)
    apply (rule-tac  $x = \langle (\text{merge-status } a\ st', \mathcal{V}, \text{add-mset } r\ B) \rangle$  in  $exI$ )
    by (auto simp: polys-rel-update-remove ran-m-mapsto-upd-notin
      intro: PAC-Format-add-and-remove H2 dest: rtranclp-PAC-Format-subset-ideal)
  subgoal
    by (rule RETURN-SPEC-refine)
    (auto simp: Ex-status-iff dest: rtranclp-PAC-Format-subset-ideal)
  done
subgoal for  $x11\ x12\ x13\ x14$ 
  apply (refine-vcg lhs-step-If)
  subgoal for  $r\ q\ \text{eqa } st'$ 
    using assms vars-B apply –
    apply (rule RETURN-SPEC-refine)
    apply (rule-tac  $x = \langle (\text{merge-status } a\ st', \mathcal{V}, \text{add-mset } r\ B) \rangle$  in  $exI$ )
    by (auto intro: polys-rel-update-remove intro: PAC-Format-add-and-remove(3-) H3
      dest: rtranclp-PAC-Format-subset-ideal)
  subgoal
    by (rule RETURN-SPEC-refine)
    (auto simp: Ex-status-iff)
  done
subgoal for  $x31\ x32\ x34$ 
  apply (refine-vcg lhs-step-If)
  subgoal for  $r\ x$ 
    using assms vars-B apply –
    apply (rule RETURN-SPEC-refine)
    apply (rule-tac  $x = \langle (a, \text{insert } x32\ \mathcal{V}, \text{add-mset } r\ B) \rangle$  in  $exI$ )
    apply (auto simp: intro!: polys-rel-update-remove PAC-Format-add-and-remove(5-)
      dest: rtranclp-PAC-Format-subset-ideal)
    done
  subgoal
    by (rule RETURN-SPEC-refine)
    (auto simp: Ex-status-iff)
  done
subgoal for  $x11$ 
  unfolding check-del-def
  apply (refine-vcg lhs-step-If)
  subgoal for  $eq$ 

```

```

using assms vars-B apply –
apply (rule RETURN-SPEC-refine)
apply (cases  $\langle x11 \in \# \text{ dom-}m \ A \rangle$ )
subgoal
  apply (rule-tac  $x = \langle (a, \mathcal{V}, \text{remove1-mset } (\text{the } (fmlookup \ A \ x11)) \ B) \rangle \text{ in } exI$ )
  apply (auto simp: polys-rel-update-remove PAC-Format-add-and-remove
    is-failed-def is-success-def is-found-def
    dest!: eq-successI
    split: if-splits
    dest: rtranclp-PAC-Format-subset-ideal
    intro: PAC-Format-add-and-remove H3)
  done
subgoal
  apply (rule-tac  $x = \langle (a, \mathcal{V}, B) \rangle \text{ in } exI$ )
  apply (auto simp: fmdrop-irrelevant
    is-failed-def is-success-def is-found-def
    dest!: eq-successI
    split: if-splits
    dest: rtranclp-PAC-Format-subset-ideal
    intro: PAC-Format-add-and-remove)
  done
done
done
subgoal
  by (rule RETURN-SPEC-refine)
  (auto simp: Ex-status-iff)
done
done
qed

```

**definition** *PAC-checker*

$:: \langle int\text{-}poly \Rightarrow fpac\text{-}step \Rightarrow status \Rightarrow (int\text{-}poly, nat, nat) \text{ pac-step list} \Rightarrow$   
 $(status \times fpac\text{-}step) \text{ nres}$

**where**

```

 $\langle PAC\text{-}checker \text{ spec } A \ b \ st = \text{do } \{$ 
   $(S, -) \leftarrow WHILE_T$ 
   $(\lambda((b :: status, A :: fpac\text{-}step), st). \neg is\text{-}failed \ b \wedge st \neq [])$ 
   $(\lambda((bA), st). \text{do } \{$ 
     $ASSERT(st \neq []);$ 
     $S \leftarrow PAC\text{-}checker\text{-}step \text{ spec } (bA) \ (hd \ st);$ 
     $RETURN \ (S, tl \ st)$ 
   $\})$ 
   $((b, A), st);$ 
 $RETURN \ S$ 
 $\}$ 

```

**lemma** *PAC-checker-specification-spec-trans:*

$\langle PAC\text{-}checker\text{-}specification\text{-}spec \text{ spec } A \ (st, x2) \Longrightarrow$   
 $PAC\text{-}checker\text{-}specification\text{-}step\text{-}spec \ A \ \text{spec } x2 \ (st', x1a) \Longrightarrow$   
 $PAC\text{-}checker\text{-}specification\text{-}spec \ \text{spec } A \ (st', x1a) \rangle$

**unfolding** *PAC-checker-specification-spec-def*

*PAC-checker-specification-step-spec-def*

**apply** *auto*

**using** *is-failed-alt-def* **apply** *blast+*

done

**lemma** *RES-SPEC-eq*:

$\langle RES \ \Phi = SPEC(\lambda P. P \in \Phi) \rangle$

by *auto*

**lemma** *is-failed-is-success-completeD*:

$\langle \neg \text{is-failed } x \implies \neg \text{is-success } x \implies \text{is-found } x \rangle$

by (cases *x*) *auto*

**lemma** *PAC-checker-PAC-checker-specification2*:

$\langle (A, B) \in \text{polys-rel-full} \implies$

$\neg \text{is-failed } a \implies$

$(a = \text{FOUND} \implies \text{spec} \in \text{pac-ideal } (\text{set-mset } (\text{snd } B))) \implies$

$\bigcup (\text{vars } ' \text{set-mset } (\text{ran-m } (\text{snd } A))) \subseteq \text{fst } B \implies$

$\text{vars spec} \subseteq \text{fst } B \implies$

$\text{PAC-checker spec } A \ a \ st \leq \Downarrow (\text{status-rel} \times_r \text{polys-rel-full}) (\text{PAC-checker-specification2 spec } B) \rangle$

**unfolding** *PAC-checker-def conc-fun-RES*

**apply** (*subst RES-SPEC-eq*)

**apply** (*refine-vcg WHILET-rule*[**where**

$I = \langle \lambda((bB), st). bB \in (\text{status-rel} \times_r \text{polys-rel-full})^{-1} \text{ ``$

$\text{Collect } (\text{PAC-checker-specification-spec spec } B) \rangle$

**and**  $R = \langle \text{measure } (\lambda(-, st). \text{Suc } (\text{length } st)) \rangle]$

**subgoal** by *auto*

**subgoal** **apply** (*auto simp: PAC-checker-specification-spec-def*)

**apply** (*cases B; cases A*)

**apply** (*auto simp: polys-rel-def polys-rel-full-def Image-iff*)

**done**

**subgoal** by *auto*

**subgoal**

**apply** *auto*

**apply** (*rule*

*PAC-checker-step-PAC-checker-specification2*[*of* - - - - -  $\langle \text{fst } B \rangle$ , *THEN* *order-trans*])

**apply** *assumption*

**apply** *assumption*

**apply** (*auto intro: PAC-checker-specification-spec-trans simp: conc-fun-RES*)

**apply** (*auto simp: PAC-checker-specification-spec-def polys-rel-full-def polys-rel-def*

*dest: PAC-Format-subset-ideal*

*dest: is-failed-is-success-completeD; fail*) +

**apply** (*auto simp: Image-iff intro: PAC-checker-specification-spec-trans*)

**by** (*metis (mono-tags, lifting) PAC-checker-specification-spec-trans mem-Collect-eq old.prod.case*

*polys-rel-def polys-rel-full-def prod.collapse*)

**subgoal**

**by** *auto*

**done**

**definition** *remap-polys-polynomial-bool* ::  $\langle \text{int mpoly} \Rightarrow \text{nat set} \Rightarrow (\text{nat}, \text{int-poly}) \text{ fmap} \Rightarrow (\text{status} \times \text{fpac-step}) \text{ nres} \rangle$  **where**

$\langle \text{remap-polys-polynomial-bool spec} = (\lambda \mathcal{V} \ A.$

$SPEC(\lambda(st, \mathcal{V}', A'). (\neg \text{is-failed } st \longrightarrow$

$\text{dom-m } A = \text{dom-m } A' \wedge$

$(\forall i \in \# \text{ dom-m } A. \text{ the } (\text{fmlookup } A \ i) - \text{ the } (\text{fmlookup } A' \ i) \in \text{ideal polynomial-bool}) \wedge$

$\bigcup (\text{vars } ' \text{set-mset } (\text{ran-m } A)) \subseteq \mathcal{V}' \wedge$

$\bigcup (\text{vars } ' \text{set-mset } (\text{ran-m } A')) \subseteq \mathcal{V}') \wedge$

$(st = \text{FOUND} \longrightarrow \text{spec} \in \# \text{ ran-m } A')) \rangle$

**definition** *remap-polys-change-all* ::  $\langle \text{int mpolys} \Rightarrow \text{nat set} \Rightarrow (\text{nat}, \text{int-poly}) \text{ fmap} \Rightarrow (\text{status} \times \text{fpac-step}) \text{ nres} \rangle$  **where**

$\langle \text{remap-polys-change-all spec} = (\lambda \mathcal{V} A. \text{SPEC } (\lambda (st, \mathcal{V}', A').$

$(\neg \text{is-failed } st \longrightarrow$   
 $\text{pac-ideal } (\text{set-mset } (\text{ran-m } A)) = \text{pac-ideal } (\text{set-mset } (\text{ran-m } A')) \wedge$   
 $\bigcup (\text{vars } ' \text{ set-mset } (\text{ran-m } A)) \subseteq \mathcal{V}' \wedge$   
 $\bigcup (\text{vars } ' \text{ set-mset } (\text{ran-m } A')) \subseteq \mathcal{V}') \wedge$   
 $(st = \text{FOUND} \longrightarrow \text{spec} \in \# \text{ran-m } A')) \rangle$

**lemma** *fmap-eq-dom-iff*:

$\langle A = A' \longleftrightarrow \text{dom-m } A = \text{dom-m } A' \wedge (\forall i \in \# \text{dom-m } A. \text{the } (\text{fmlookup } A \ i) = \text{the } (\text{fmlookup } A' \ i)) \rangle$

**apply** *auto*

**by** (*metis fmap-ext in-dom-m-lookup-iff option.collapse*)

**lemma** *ideal-remap-incl*:

$\langle \text{finite } A' \implies (\forall a' \in A'. \exists a \in A. a - a' \in B) \implies \text{ideal } (A' \cup B) \subseteq \text{ideal } (A \cup B) \rangle$

**apply** (*induction A' rule: finite-induct*)

**apply** (*auto intro: ideal.span-mono*)

**using** *ideal.span-mono sup-ge2* **apply** *blast*

**proof** –

**fix**  $x :: 'a$  **and**  $F :: 'a \text{ set}$  **and**  $xa :: 'a$  **and**  $a :: 'a$

**assume**  $a1: a \in A$

**assume**  $a2: a - x \in B$

**assume**  $a3: xa \in \text{More-Modules.ideal } (\text{insert } x (F \cup B))$

**assume**  $a4: \text{More-Modules.ideal } (F \cup B) \subseteq \text{More-Modules.ideal } (A \cup B)$

**have**  $x \in \text{More-Modules.ideal } (A \cup B)$

**using**  $a2 \ a1$  **by** (*metis (no-types, lifting) Un-upper1 Un-upper2 add-diff-cancel-left' diff-add-cancel ideal.module-axioms ideal.span-diff in-mono module.span-superset*)

**then show**  $xa \in \text{More-Modules.ideal } (A \cup B)$

**using**  $a4 \ a3$  *ideal.span-insert-subset* **by** *blast*

**qed**

**lemma** *pac-ideal-remap-eq*:

$\langle \text{dom-m } b = \text{dom-m } ba \implies$

$\forall i \in \# \text{dom-m } ba.$

$\text{the } (\text{fmlookup } b \ i) - \text{the } (\text{fmlookup } ba \ i)$

$\in \text{More-Modules.ideal polynomial-bool} \implies$

$\text{pac-ideal } ((\lambda x. \text{the } (\text{fmlookup } b \ x)) ' \text{set-mset } (\text{dom-m } ba)) = \text{pac-ideal } ((\lambda x. \text{the } (\text{fmlookup } ba \ x)) ' \text{set-mset } (\text{dom-m } ba)) \rangle$

**unfolding** *pac-ideal-alt-def*

**apply** *standard*

**subgoal**

**apply** (*rule ideal-remap-incl*)

**apply** (*auto dest!: multi-member-split*

*dest: ideal.span-neg*)

**apply** (*drule ideal.span-neg*)

**apply** *auto*

**done**

**subgoal**

**by** (*rule ideal-remap-incl*)

*(auto dest!: multi-member-split)*

**done**

**lemma** *remap-polys-polynomial-bool-remap-polys-change-all*:

```

⟨remap-polys-polynomial-bool spec  $\mathcal{V}$   $A \leq$  remap-polys-change-all spec  $\mathcal{V}$   $A$ ⟩
unfolding remap-polys-polynomial-bool-def remap-polys-change-all-def
apply (simp add: ideal.span-zero fmap-eq-dom-iff ideal.span-eq)
apply (auto dest: multi-member-split simp: ran-m-def ideal.span-base pac-ideal-remap-eq
  add-mset-eq-add-mset
  eq-commute[of ⟨add-mset -  $\rightarrow$ ⟩ ⟨dom-m ( $A :: (\text{nat}, \text{int mpoly})\text{fmap}$ )⟩ for  $A$ ])
done

```

**definition** *remap-polys* ::  $\langle \text{int mpoly} \Rightarrow \text{nat set} \Rightarrow (\text{nat}, \text{int-poly}) \text{fmap} \Rightarrow (\text{status} \times \text{fpac-step}) \text{nres} \rangle$   
**where**

```

⟨remap-polys spec = ( $\lambda \mathcal{V}$   $A$ . do{
  dom  $\leftarrow$  SPEC( $\lambda \text{dom}$ . set-mset (dom-m  $A$ )  $\subseteq$  dom  $\wedge$  finite dom);

  failed  $\leftarrow$  SPEC( $\lambda :: \text{bool}$ . True);
  if failed
  then do {
    RETURN (FAILED,  $\mathcal{V}$ , fmempty)
  }
  else do {
    ( $b$ ,  $N$ )  $\leftarrow$  FOREACH dom
    ( $\lambda i$  ( $b$ ,  $\mathcal{V}$ ,  $A'$ ).
      if  $i \in \#$  dom-m  $A$ 
      then do {
         $p \leftarrow$  SPEC( $\lambda p$ . the (fmlookup  $A$   $i$ )  $- p \in$  ideal polynomial-bool  $\wedge$  vars  $p \subseteq$  vars (the (fmlookup
        A  $i$ )));
        eq  $\leftarrow$  SPEC( $\lambda \text{eq}$ . eq  $\longrightarrow$   $p = \text{spec}$ );
         $\mathcal{V} \leftarrow$  SPEC( $\lambda \mathcal{V}'$ .  $\mathcal{V} \cup$  vars (the (fmlookup  $A$   $i$ ))  $\subseteq \mathcal{V}'$ );
        RETURN( $b \vee$  eq,  $\mathcal{V}$ , fmu $p$ d  $i$   $p$   $A'$ )
      } else RETURN ( $b$ ,  $\mathcal{V}$ ,  $A'$ ))
    (False,  $\mathcal{V}$ , fmempty);
    RETURN (if  $b$  then FOUND else SUCCESS,  $N$ )
  }
}⟩

```

**lemma** *remap-polys-spec*:

```

⟨remap-polys spec  $\mathcal{V}$   $A \leq$  remap-polys-polynomial-bool spec  $\mathcal{V}$   $A$ ⟩
unfolding remap-polys-def remap-polys-polynomial-bool-def
apply (refine-vcg FOREACH-rule[where
   $I = (\lambda \text{dom}$  ( $b$ ,  $\mathcal{V}$ ,  $A'$ ).
    set-mset (dom-m  $A'$ ) = set-mset (dom-m  $A$ )  $-$  dom  $\wedge$ 
    ( $\forall i \in$  set-mset (dom-m  $A$ )  $-$  dom. the (fmlookup  $A$   $i$ )  $-$  the (fmlookup  $A'$   $i$ )  $\in$  ideal polynomial-bool)
  )
   $\wedge$ 
   $\bigcup (\text{vars } \langle \text{set-mset (ran-m (fmrestrict-set (set-mset (dom-m  $A'$ ))  $A$ ))} \rangle) \subseteq \mathcal{V} \wedge$ 
   $\bigcup (\text{vars } \langle \text{set-mset (ran-m  $A'$ )} \rangle) \subseteq \mathcal{V} \wedge$ 
  ( $b \longrightarrow \text{spec} \in \# \text{ran-m } A'$ )⟩])
subgoal by auto
subgoal by auto
subgoal by auto
subgoal by auto
subgoal by auto
subgoal by auto
subgoal by auto
subgoal
  by auto

```

```

subgoal by auto
subgoal using ideal.span-add by auto
subgoal by auto
subgoal by auto
subgoal by clarsimp auto
subgoal
  supply[[goals-limit=1]]
  by (auto simp add: ran-m-mapsto-upd-notin dom-m-fmrestrict-set' subset-eq)
subgoal
  supply[[goals-limit=1]]
  by (auto simp add: ran-m-mapsto-upd-notin dom-m-fmrestrict-set' subset-eq)
subgoal
  by (auto simp: ran-m-mapsto-upd-notin)
subgoal
  by auto
subgoal
  by auto
subgoal
  by (auto simp add: ran-m-mapsto-upd-notin dom-m-fmrestrict-set' subset-eq)
subgoal
  by (auto simp add: ran-m-mapsto-upd-notin dom-m-fmrestrict-set' subset-eq)
subgoal
  by auto
subgoal
  by (auto simp: distinct-set-mset-eq-iff[symmetric] distinct-mset-dom)
subgoal
  by (auto simp: distinct-set-mset-eq-iff[symmetric] distinct-mset-dom)
subgoal
  by (auto simp add: ran-m-mapsto-upd-notin dom-m-fmrestrict-set' subset-eq
    fmlookup-restrict-set-id')
subgoal
  by (auto simp add: ran-m-mapsto-upd-notin dom-m-fmrestrict-set' subset-eq)
subgoal
  by (auto simp add: ran-m-mapsto-upd-notin dom-m-fmrestrict-set' subset-eq
    fmlookup-restrict-set-id')
done

```

### 6.3 Full Checker

**definition** *full-checker*

$:: \langle \text{int-poly} \Rightarrow (\text{nat}, \text{int-poly}) \text{ fmap} \Rightarrow (\text{int-poly}, \text{nat}, \text{nat}) \text{ pac-step list} \Rightarrow (\text{status} \times -) \text{ nres} \rangle$

**where**

```

⟨full-checker spec0 A pac = do {
  spec ← normalize-poly-spec spec0;
  (st, V, A) ← remap-polys-change-all spec {} A;
  if is-failed st then
    RETURN (st, V, A)
  else do {
    V ← SPEC(λV'. V ∪ vars spec0 ⊆ V');
    PAC-checker spec (V, A) st pac
  }
}⟩

```

**lemma** *restricted-ideal-to-mono:*

$\langle \text{restricted-ideal-to}_I \text{ V } I \subseteq \text{restricted-ideal-to}_I \text{ V}' J \Rightarrow \mathcal{U} \subseteq \mathcal{V} \Rightarrow$

```

restricted-ideal-toI  $\mathcal{U}$   $I \subseteq$  restricted-ideal-toI  $\mathcal{U}$   $J$ 
by (auto simp: restricted-ideal-to-def)

lemma full-checker-spec:
  assumes  $\langle (A, A') \in \text{polys-rel} \rangle$ 
  shows
     $\langle \text{full-checker spec } A \text{ pac} \leq \Downarrow \{((st, G), (st', G')). (st, st') \in \text{status-rel} \wedge$ 
       $(st \neq \text{FAILED} \longrightarrow (G, G') \in \text{polys-rel-full})\}$ 
       $(\text{PAC-checker-specification spec } (A')) \rangle$ 
proof -
  have  $H: \langle \text{set-mset } b \subseteq \text{pac-ideal } (\text{set-mset } (\text{ran-m } A)) \implies$ 
     $x \in \text{pac-ideal } (\text{set-mset } b) \implies x \in \text{pac-ideal } (\text{set-mset } A') \rangle$  for  $b$   $x$ 
  using assms apply (auto simp: polys-rel-def)
  by (metis (no-types, lifting) ideal.span-subset-spanI ideal.span-superset le-sup-iff pac-ideal-alt-def subsetD)
  have 1:  $\langle x \in \{(st, \mathcal{V}', A') \mid$ 
     $(\neg \text{is-failed } st \longrightarrow \text{pac-ideal } (\text{set-mset } (\text{ran-m } x2))) =$ 
     $\text{pac-ideal } (\text{set-mset } (\text{ran-m } A')) \wedge$ 
     $\bigcup (\text{vars } ' \text{ set-mset } (\text{ran-m } ABC)) \subseteq \mathcal{V}' \wedge$ 
     $\bigcup (\text{vars } ' \text{ set-mset } (\text{ran-m } A')) \subseteq \mathcal{V}' \wedge$ 
     $(st = \text{FOUND} \longrightarrow \text{spec } a \in \# \text{ran-m } A') \} \implies$ 
     $x = (st, x') \implies x' = (\mathcal{V}, Aa) \implies ((\mathcal{V}', Aa), \mathcal{V}', \text{ran-m } Aa) \in \text{polys-rel-full} \rangle$  for  $Aa$   $\text{spec } a$   $x2$   $st$   $x$ 
     $\mathcal{V}' \mathcal{V} x' ABC$ 
  by (auto simp: polys-rel-def polys-rel-full-def)
  show ?thesis
  supply [[goals-limit=1]]
  unfolding full-checker-def normalize-poly-spec-def
    PAC-checker-specification-def remap-polys-change-all-def
  apply (refine-vcg PAC-checker-PAC-checker-specification2 [THEN order-trans, of - -]
    lhs-step-If)
  subgoal by (auto simp: is-failed-def RETURN-RES-refine-iff)
  apply (rule 1; assumption)
  subgoal
    using fmap-ext assms by (auto simp: polys-rel-def ran-m-def)
  subgoal
    by auto
  subgoal
    by auto
  subgoal for  $\text{spec } a$   $x1$   $x2$   $x$   $x1a$   $x2a$   $x1b$ 
    apply (rule ref-two-step [OF conc-fun-R-mono])
    apply auto[]
    using assms
  apply (auto simp add: PAC-checker-specification-spec-def conc-fun-RES polys-rel-def polys-rel-full-def
    dest!: rtranclp-PAC-Format-subset-ideal dest: is-failed-is-success-completeD)
    apply (drule restricted-ideal-to-mono [of - - -  $\bigcup (\text{vars } ' \text{ set-mset } (\text{ran-m } A)) \cup \text{vars spec}$ ])
    apply auto[]
    apply auto[]
  apply (metis (no-types, lifting) group-eq-aux ideal.span-add ideal.span-base in-mono pac-ideal-alt-def
    sup.cobounded2)
    apply (smt le-sup-iff restricted-ideal-to-mono subsetD subset-trans sup-ge1 sup-ge2)
    apply (metis (no-types, lifting) cancel-comm-monoid-add-class.diff-cancel diff-add-eq
      diff-in-polynomial-bool-pac-idealI group-eq-aux ideal.span-add-eq2)
    apply (drule restricted-ideal-to-mono [of - - -  $\bigcup (\text{vars } ' \text{ set-mset } (\text{ran-m } A)) \cup \text{vars spec}$ ])
    apply auto[]
    apply auto[]

```

```

    apply (smt le-sup-iff restricted-ideal-to-mono subsetD subset-trans sup-ge1 sup-ge2)
    apply (drule restricted-ideal-to-mono[of - - -  $\langle \bigcup (vars \text{ ' set-mset (ran-m A) ) \cup vars spec \rangle$ ])
    apply auto[]
    apply auto[]
    apply (metis (no-types, lifting) group-eq-aux ideal.span-add ideal.span-base in-mono pac-ideal-alt-def
sup.cobounded2)
    apply (smt le-sup-iff restricted-ideal-to-mono subsetD subset-trans sup-ge1 sup-ge2)
    apply (metis (no-types, lifting) group-eq-aux ideal.span-add ideal.span-base in-mono pac-ideal-alt-def
sup.cobounded2)
  done
done
qed

```

**lemma** *full-checker-spec'*:

**shows**

$\langle (uncurry2 \text{ full-checker}, uncurry2 (\lambda spec A -. PAC-checker-specification spec A)) \in$   
 $(Id \times_r polys-rel) \times_r Id \rightarrow_f \langle \{((st, G), (st', G')). (st, st') \in status-rel \wedge$   
 $(st \neq FAILED \rightarrow (G, G') \in polys-rel-full)\} \rangle nres-rel \rangle$

**using** *full-checker-spec*

**by** (*auto intro! frefI nres-relI*)

**end**

**theory** *PAC-Polynomials*

**imports** *PAC-Specification Finite-Map-Multiset*

**begin**

## 7 Polynomials of strings

Isabelle's definition of polynomials only work with variables of type *nat*. Therefore, we introduce a version that uses strings.

### 7.1 Polynomials and Variables

**lemma** *poly-embed-EX*:

$\langle \exists \varphi. bij (\varphi :: string \Rightarrow nat) \rangle$

**by** (*rule countableE-infinite[of UNIV :: string set]*)

(*auto intro! infinite-UNIV-listI*)

Using a multiset instead of a list has some advantage from an abstract point of view. First, we can have monomials that appear several times and the coefficient can also be zero. Basically, we can represent un-normalised polynomials, which is very useful to talk about intermediate states in our program.

**type-synonym** *term-poly* = *string multiset*

**type-synonym** *mset-polynomial* =

$\langle (term-poly * int) multiset \rangle$

**definition** *normalized-poly* :: *mset-polynomial*  $\Rightarrow$  *bool* **where**

$\langle normalized-poly p \longleftrightarrow$

$distinct-mset (fst \text{ ' \# } p) \wedge$

$0 \notin \# snd \text{ ' \# } p \rangle$

**lemma** *normalized-poly-simps[simp]*:

$\langle normalized-poly \{ \# \} \rangle$



$\langle \text{normalized-poly } (\text{add-mset } t \ p) \longleftrightarrow \text{snd } t \neq 0 \wedge$   
 $\text{fst } t \notin \# \text{fst } \# p \wedge \text{normalized-poly } p \rangle$   
**by** (auto simp: normalized-poly-def)

**lemma** normalized-poly-mono:

$\langle \text{normalized-poly } B \implies A \subseteq \# B \implies \text{normalized-poly } A \rangle$   
**unfolding** normalized-poly-def  
**by** (auto intro: distinct-mset-mono image-mset-subseteq-mono)

**definition** mult-poly-by-monom ::  $\langle \text{term-poly} * \text{int} \Rightarrow \text{mset-polynomial} \Rightarrow \text{mset-polynomial} \rangle$  **where**  
 $\langle \text{mult-poly-by-monom} = (\lambda y s \ q. \text{image-mset } (\lambda x s. (\text{fst } x s + \text{fst } y s, \text{snd } y s * \text{snd } x s)) \ q) \rangle$

**definition** mult-poly-raw ::  $\langle \text{mset-polynomial} \Rightarrow \text{mset-polynomial} \Rightarrow \text{mset-polynomial} \rangle$  **where**

$\langle \text{mult-poly-raw } p \ q =$   
 $(\text{sum-mset } ((\lambda y. \text{mult-poly-by-monom } y \ q) \ \# \ p)) \rangle$

**definition** remove-powers ::  $\langle \text{mset-polynomial} \Rightarrow \text{mset-polynomial} \rangle$  **where**

$\langle \text{remove-powers } x s = \text{image-mset } (\text{apfst remdups-mset}) \ x s \rangle$

**definition** all-vars-mset ::  $\langle \text{mset-polynomial} \Rightarrow \text{string multiset} \rangle$  **where**

$\langle \text{all-vars-mset } p = \bigcup \# (\text{fst } \# p) \rangle$

**abbreviation** all-vars ::  $\langle \text{mset-polynomial} \Rightarrow \text{string set} \rangle$  **where**

$\langle \text{all-vars } p \equiv \text{set-mset } (\text{all-vars-mset } p) \rangle$

**definition** add-to-coefficient ::  $\langle - \Rightarrow \text{mset-polynomial} \Rightarrow \text{mset-polynomial} \rangle$  **where**

$\langle \text{add-to-coefficient} = (\lambda (a, n) \ b. \{ \#(a', -) \in \# \ b. \ a' \neq a \# \} +$   
 $(\text{if } n + \text{sum-mset } (\text{snd } \# \{ \#(a', -) \in \# \ b. \ a' = a \# \}) = 0 \text{ then } \{ \# \}$   
 $\text{else } \{ \#(a, n + \text{sum-mset } (\text{snd } \# \{ \#(a', -) \in \# \ b. \ a' = a \# \})) \# \}) \rangle$

**definition** normalize-poly ::  $\langle \text{mset-polynomial} \Rightarrow \text{mset-polynomial} \rangle$  **where**

$\langle \text{normalize-poly } p = \text{fold-mset } \text{add-to-coefficient } \{ \# \} \ p \rangle$

**lemma** add-to-coefficient-simps:

$\langle n + \text{sum-mset } (\text{snd } \# \{ \#(a', -) \in \# \ b. \ a' = a \# \}) \neq 0 \implies$   
 $\text{add-to-coefficient } (a, n) \ b = \{ \#(a', -) \in \# \ b. \ a' \neq a \# \} +$   
 $\{ \#(a, n + \text{sum-mset } (\text{snd } \# \{ \#(a', -) \in \# \ b. \ a' = a \# \})) \# \} \rangle$   
 $\langle n + \text{sum-mset } (\text{snd } \# \{ \#(a', -) \in \# \ b. \ a' = a \# \}) = 0 \implies$   
 $\text{add-to-coefficient } (a, n) \ b = \{ \#(a', -) \in \# \ b. \ a' \neq a \# \} \rangle$  **and**  
**add-to-coefficient-simps-If:**  
 $\langle \text{add-to-coefficient } (a, n) \ b = \{ \#(a', -) \in \# \ b. \ a' \neq a \# \} +$   
 $(\text{if } n + \text{sum-mset } (\text{snd } \# \{ \#(a', -) \in \# \ b. \ a' = a \# \}) = 0 \text{ then } \{ \# \}$   
 $\text{else } \{ \#(a, n + \text{sum-mset } (\text{snd } \# \{ \#(a', -) \in \# \ b. \ a' = a \# \})) \# \} \rangle$   
**by** (auto simp: add-to-coefficient-def)

**interpretation** comp-fun-commute  $\langle \text{add-to-coefficient} \rangle$

**proof** –

**have** [simp]:

$\langle a \neq aa \implies$   
 $((\text{case } x \text{ of } (a', -) \Rightarrow a' \neq aa) \wedge (\text{case } x \text{ of } (a', -) \Rightarrow a' = a)) \longleftrightarrow$   
 $(\text{case } x \text{ of } (a', -) \Rightarrow a' = a) \rangle$  **for**  $a' \ aa \ a \ x$   
**by** auto

```

show (comp-fun-commute add-to-coefficient)
  unfolding add-to-coefficient-def
  by standard
  (auto intro!: ext simp: filter-filter-mset ac-simps add-eq-0-iff
    intro: filter-mset-cong)
qed

```

```

lemma normalized-poly-normalize-poly[simp]:
  ⟨normalized-poly (normalize-poly p)⟩
  unfolding normalize-poly-def
  apply (induction p)
  subgoal by auto
  subgoal for x p
    by (cases x)
    (auto simp: add-to-coefficient-simps-If
      intro: normalized-poly-mono)
  done

```

## 7.2 Addition

```

inductive add-poly-p :: ⟨mset-polynomial × mset-polynomial × mset-polynomial ⇒ mset-polynomial ×
  mset-polynomial × mset-polynomial ⇒ bool⟩ where
  add-new-coeff-r:
    ⟨add-poly-p (p, add-mset x q, r) (p, q, add-mset x r)⟩ |
  add-new-coeff-l:
    ⟨add-poly-p (add-mset x p, q, r) (p, q, add-mset x r)⟩ |
  add-same-coeff-l:
    ⟨add-poly-p (add-mset (x, n) p, q, add-mset (x, m) r) (p, q, add-mset (x, n + m) r)⟩ |
  add-same-coeff-r:
    ⟨add-poly-p (p, add-mset (x, n) q, add-mset (x, m) r) (p, q, add-mset (x, n + m) r)⟩ |
  rem-0-coeff:
    ⟨add-poly-p (p, q, add-mset (x, 0) r) (p, q, r)⟩

```

```

inductive-cases add-poly-pE: ⟨add-poly-p S T⟩

```

```

lemmas add-poly-p-induct =
  add-poly-p.induct[split-format(complete)]

```

```

lemma add-poly-p-empty-l:
  ⟨add-poly-p** (p, q, r) ({#}, q, p + r)⟩
  apply (induction p arbitrary: r)
  subgoal by auto
  subgoal
    by (metis (no-types, lifting) add-new-coeff-l r-into-rtrancp
      rtrancp-trans union-mset-add-mset-left union-mset-add-mset-right)
  done

```

```

lemma add-poly-p-empty-r:
  ⟨add-poly-p** (p, q, r) (p, {#}, q + r)⟩
  apply (induction q arbitrary: r)
  subgoal by auto
  subgoal
    by (metis (no-types, lifting) add-new-coeff-r r-into-rtrancp
      rtrancp-trans union-mset-add-mset-left union-mset-add-mset-right)
  done

```

**lemma** *add-poly-p-sym*:

$\langle \text{add-poly-p } (p, q, r) \ (p', q', r') \longleftrightarrow \text{add-poly-p } (q, p, r) \ (q', p', r') \rangle$

**apply** (rule *iffI*)

**subgoal**

**by** (cases rule: *add-poly-p.cases*, assumption)

(auto intro: *add-poly-p.intros*)

**subgoal**

**by** (cases rule: *add-poly-p.cases*, assumption)

(auto intro: *add-poly-p.intros*)

**done**

**lemma** *wf-if-measure-in-wf*:

$\langle \text{wf } R \implies (\bigwedge a \ b. (a, b) \in S \implies (\nu \ a, \nu \ b) \in R) \implies \text{wf } S \rangle$

**by** (metis *in-inv-image wfE-min wfI-min wf-inv-image*)

**lemma** *lexn-n*:

$\langle n > 0 \implies (x \# xs, y \# ys) \in \text{lexn } r \ n \longleftrightarrow$

$(\text{length } xs = n-1 \wedge \text{length } ys = n-1) \wedge ((x, y) \in r \vee (x = y \wedge (xs, ys) \in \text{lexn } r \ (n-1))) \rangle$

**apply** (cases *n*)

**apply** *simp*

**by** (auto simp: *map-prod-def image-iff lex-prod-def*)

**lemma** *wf-add-poly-p*:

$\langle \text{wf } \{(x, y). \text{add-poly-p } y \ x\} \rangle$

**by** (rule *wf-if-measure-in-wf*[**where**  $R = \langle \text{lexn less-than } 3 \rangle$  **and**

$\nu = \langle \lambda(a,b,c). [\text{size } a, \text{size } b, \text{size } c] \rangle$ ])

(auto simp: *add-poly-p.simps wf-lexn*

*simp: lexn-n simp del: lexn.simps(2)*)

**lemma** *mult-poly-by-monom-simps*[*simp*]:

$\langle \text{mult-poly-by-monom } t \ \{\#\} = \{\#\} \rangle$

$\langle \text{mult-poly-by-monom } t \ (ps + qs) = \text{mult-poly-by-monom } t \ ps + \text{mult-poly-by-monom } t \ qs \rangle$

$\langle \text{mult-poly-by-monom } a \ (\text{add-mset } p \ ps) = \text{add-mset } (\text{fst } a + \text{fst } p, \text{snd } a * \text{snd } p) \ (\text{mult-poly-by-monom } a \ ps) \rangle$

**proof** –

**interpret** *comp-fun-commute*  $\langle (\lambda xs. \text{add-mset } (xs + t)) \rangle$  **for** *t*

**by** *standard auto*

**show**

$\langle \text{mult-poly-by-monom } t \ (ps + qs) = \text{mult-poly-by-monom } t \ ps + \text{mult-poly-by-monom } t \ qs \rangle$  **for** *t*

**by** (*induction ps*)

(auto simp: *mult-poly-by-monom-def*)

**show**

$\langle \text{mult-poly-by-monom } a \ (\text{add-mset } p \ ps) = \text{add-mset } (\text{fst } a + \text{fst } p, \text{snd } a * \text{snd } p) \ (\text{mult-poly-by-monom } a \ ps) \rangle$

$\langle \text{mult-poly-by-monom } t \ \{\#\} = \{\#\} \rangle$  **for** *t*

**by** (auto simp: *mult-poly-by-monom-def*)

**qed**

**inductive** *mult-poly-p* ::  $\langle \text{mset-polynomial} \Rightarrow \text{mset-polynomial} \times \text{mset-polynomial} \Rightarrow \text{mset-polynomial} \times \text{mset-polynomial} \Rightarrow \text{bool} \rangle$

**for** *q* :: *mset-polynomial* **where**

*mult-step*:

$\langle \text{mult-poly-p } q \ (\text{add-mset } (xs, n) \ p, r) \ (p, (\lambda(ys, m). (\text{remdups-mset } (xs + ys), n * m))) \ \{\# \ q + r) \rangle$

**lemmas** *mult-poly-p-induct* = *mult-poly-p.induct*[*split-format*(*complete*)]

### 7.3 Normalisation

**inductive** *normalize-poly-p* ::  $\langle \text{mset-polynomial} \Rightarrow \text{mset-polynomial} \Rightarrow \text{bool} \rangle$  **where**  
*rem-0-coeff*[*simp*, *intro*]:  
 $\langle \text{normalize-poly-p } p \ q \Rightarrow \text{normalize-poly-p } (\text{add-mset } (xs, 0) \ p) \ q \rangle \mid$   
*merge-dup-coeff*[*simp*, *intro*]:  
 $\langle \text{normalize-poly-p } p \ q \Rightarrow \text{normalize-poly-p } (\text{add-mset } (xs, m) \ (\text{add-mset } (xs, n) \ p)) \ (\text{add-mset } (xs, m + n) \ q) \rangle \mid$   
*same*[*simp*, *intro*]:  
 $\langle \text{normalize-poly-p } p \ p \rangle \mid$   
*keep-coeff*[*simp*, *intro*]:  
 $\langle \text{normalize-poly-p } p \ q \Rightarrow \text{normalize-poly-p } (\text{add-mset } x \ p) \ (\text{add-mset } x \ q) \rangle$

### 7.4 Correctness

This locale maps string polynomials to real polynomials.

**locale** *poly-embed* =  
**fixes**  $\varphi :: \langle \text{string} \Rightarrow \text{nat} \rangle$   
**assumes**  $\varphi\text{-inj} :: \langle \text{inj } \varphi \rangle$   
**begin**

**definition** *poly-of-vars* ::  $\text{term-poly} \Rightarrow ('a :: \{\text{comm-semiring-1}\}) \text{ mpoly}$  **where**  
 $\langle \text{poly-of-vars } xs = \text{fold-mset } (\lambda a \ b. \text{Var } (\varphi \ a) * b) \ (1 :: 'a \text{ mpoly}) \ xs \rangle$

**lemma** *poly-of-vars-simps*[*simp*]:

**shows**

$\langle \text{poly-of-vars } (\text{add-mset } x \ xs) = \text{Var } (\varphi \ x) * (\text{poly-of-vars } xs :: ('a :: \{\text{comm-semiring-1}\}) \text{ mpoly}) \rangle$  (**is** ?A) **and**  
 $\langle \text{poly-of-vars } (xs + ys) = \text{poly-of-vars } xs * (\text{poly-of-vars } ys :: ('a :: \{\text{comm-semiring-1}\}) \text{ mpoly}) \rangle$  (**is** ?B)

**proof** –

**interpret** *comp-fun-commute*  $\langle (\lambda a \ b. (b :: 'a :: \{\text{comm-semiring-1}\}) \text{ mpoly}) * \text{Var } (\varphi \ a) \rangle$   
**by** *standard*  
 $(\text{auto simp: algebra-simps ac-simps Var-def times-monomial-monomial intro!: ext})$

**show** ?A

**by**  $(\text{auto simp: poly-of-vars-def comp-fun-commute-axioms fold-mset-fusion ac-simps})$

**show** ?B

**apply**  $(\text{auto simp: poly-of-vars-def ac-simps})$   
**by**  $(\text{simp add: local.comp-fun-commute-axioms local.fold-mset-fusion semiring-normalization-rules(18)})$

**qed**

**definition** *mononom-of-vars* **where**

$\langle \text{mononom-of-vars} \equiv (\lambda(xs, n). (+) (\text{Const } n * \text{poly-of-vars } xs)) \rangle$

**interpretation** *comp-fun-commute*  $\langle \text{mononom-of-vars} \rangle$

**by** *standard*

$(\text{auto simp: algebra-simps ac-simps mononom-of-vars-def Var-def times-monomial-monomial intro!: ext})$

**lemma** [simp]:  
 $\langle \text{poly-of-vars } \{\#\} = 1 \rangle$   
**by** (auto simp: poly-of-vars-def)

**lemma** mononom-of-vars-add[simp]:  
 $\langle \text{NO-MATCH } 0 \ b \implies \text{mononom-of-vars } xs \ b = \text{Const } (\text{snd } xs) * \text{poly-of-vars } (\text{fst } xs) + b \rangle$   
**by** (cases xs)  
(auto simp: ac-simps mononom-of-vars-def)

**definition** polynomial-of-mset ::  $\langle \text{mset-polynomial} \Rightarrow \rightarrow \rangle$  **where**  
 $\langle \text{polynomial-of-mset } p = \text{sum-mset } (\text{mononom-of-vars } \{\#\ p\} \ 0) \rangle$

**lemma** polynomial-of-mset-append[simp]:  
 $\langle \text{polynomial-of-mset } (xs + ys) = \text{polynomial-of-mset } xs + \text{polynomial-of-mset } ys \rangle$   
**by** (auto simp: ac-simps Const-def polynomial-of-mset-def)

**lemma** polynomial-of-mset-Cons[simp]:  
 $\langle \text{polynomial-of-mset } (\text{add-mset } x \ ys) = \text{Const } (\text{snd } x) * \text{poly-of-vars } (\text{fst } x) + \text{polynomial-of-mset } ys \rangle$   
**by** (cases x)  
(auto simp: ac-simps polynomial-of-mset-def mononom-of-vars-def)

**lemma** polynomial-of-mset-empty[simp]:  
 $\langle \text{polynomial-of-mset } \{\#\} = 0 \rangle$   
**by** (auto simp: polynomial-of-mset-def)

**lemma** polynomial-of-mset-mult-poly-by-monom[simp]:  
 $\langle \text{polynomial-of-mset } (\text{mult-poly-by-monom } x \ ys) =$   
 $(\text{Const } (\text{snd } x) * \text{poly-of-vars } (\text{fst } x) * \text{polynomial-of-mset } ys) \rangle$   
**by** (induction ys)  
(auto simp: Const-mult algebra-simps)

**lemma** polynomial-of-mset-mult-poly-raw[simp]:  
 $\langle \text{polynomial-of-mset } (\text{mult-poly-raw } xs \ ys) = \text{polynomial-of-mset } xs * \text{polynomial-of-mset } ys \rangle$   
**unfolding** mult-poly-raw-def  
**by** (induction xs arbitrary: ys)  
(auto simp: Const-mult algebra-simps)

**lemma** polynomial-of-mset-uminus:  
 $\langle \text{polynomial-of-mset } \{\#\text{case } x \text{ of } (a, b) \Rightarrow (a, - b). \ x \in \#\ za\#\} =$   
 $- \text{polynomial-of-mset } za \rangle$   
**by** (induction za)  
auto

**lemma** X2-X-polynomial-bool-mult-in:  
 $\langle \text{Var } (x1) * (\text{Var } (x1) * p) - \text{Var } (x1) * p \in \text{More-Modules.ideal polynomial-bool} \rangle$   
**using** ideal-mult-right-in[OF X2-X-in-pac-ideal[of x1  $\langle \{\#\} \rangle$ , unfolded pac-ideal-def], of p]  
**by** (auto simp: right-diff-distrib ac-simps power2-eq-square)

**lemma** polynomial-of-list-remove-powers-polynomial-bool:  
 $\langle (\text{polynomial-of-mset } xs) - \text{polynomial-of-mset } (\text{remove-powers } xs) \in \text{ideal polynomial-bool} \rangle$   
**proof** (induction xs)  
**case** empty

```

then show ⟨?case⟩ by (auto simp: remove-powers-def ideal.span-zero)
next
case (add x xs)
have H1: ⟨x1 ∈# x2 ⟹
  Var (φ x1) * poly-of-vars x2 - p ∈ More-Modules.ideal polynomial-bool ⟷
  poly-of-vars x2 - p ∈ More-Modules.ideal polynomial-bool
  ⟩ for x1 x2 p
apply (subst (2) ideal.span-add-eq[symmetric,
  of ⟨Var (φ x1) * poly-of-vars x2 - poly-of-vars x2⟩])
apply (drule multi-member-split)
apply (auto simp: X2-X-polynomial-bool-mult-in)
done

have diff: ⟨poly-of-vars (x) - poly-of-vars (remdups-mset (x)) ∈ ideal polynomial-bool⟩ for x
  apply (induction x)
  apply (auto simp: remove-powers-def ideal.span-zero H1)
  apply (metis ideal.span-scale right-diff-distrib)
  done
show ?case
  using add
  apply (cases x)
  subgoal for ys y
    using ideal-mult-right-in2[OF diff, of ⟨poly-of-vars ys⟩ ys]
    apply (auto simp: remove-powers-def right-diff-distrib
      ideal.span-diff ideal.span-add field-simps)
    by (metis add-diff-add diff ideal.scale-right-diff-distrib ideal.span-add ideal.span-scale)
  done
qed

```

**lemma** *add-poly-p-polynomial-of-mset:*

```

⟨add-poly-p (p, q, r) (p', q', r') ⟹
  polynomial-of-mset r + (polynomial-of-mset p + polynomial-of-mset q) =
  polynomial-of-mset r' + (polynomial-of-mset p' + polynomial-of-mset q')
  apply (induction rule: add-poly-p-induct)
  subgoal
    by auto
  subgoal
    by auto
  subgoal
    by (auto simp: algebra-simps Const-add)
  subgoal
    by (auto simp: algebra-simps Const-add)
  subgoal
    by (auto simp: algebra-simps Const-add)
  done

```

**lemma** *rtrancp-add-poly-p-polynomial-of-mset:*

```

⟨add-poly-p** (p, q, r) (p', q', r') ⟹
  polynomial-of-mset r + (polynomial-of-mset p + polynomial-of-mset q) =
  polynomial-of-mset r' + (polynomial-of-mset p' + polynomial-of-mset q')
  by (induction rule: rtrancp-induct[of add-poly-p ⟨(-, -, -)⟩ ⟨(-, -, -)⟩, split-format(complete), of for r])
    (auto dest: add-poly-p-polynomial-of-mset)

```

**lemma** *rtrancp-add-poly-p-polynomial-of-mset-full:*

$\langle \text{add-poly-p}^{**} (p, q, \{\#\}) (\{\#\}, \{\#\}, r') \Rightarrow$   
 $\text{polynomial-of-mset } r' = (\text{polynomial-of-mset } p + \text{polynomial-of-mset } q) \rangle$   
**by** (drule rtrancp-add-poly-p-polynomial-of-mset)  
(auto simp: ac-simps add-eq-0-iff)

**lemma** *poly-of-vars-remdups-mset*:  
 $\langle \text{poly-of-vars } (\text{remdups-mset } (xs)) - (\text{poly-of-vars } xs) \rangle$   
 $\in \text{More-Modules.ideal polynomial-bool}$   
**apply** (induction xs)  
**apply** (auto dest!: simp: ideal.span-zero dest!: )  
**apply** (drule multi-member-split)  
**apply** auto  
**apply** (drule multi-member-split)  
**apply** (smt X2-X-polynomial-bool-mult-in diff-add-cancel diff-diff-eq2 ideal.span-diff)  
**apply** (smt X2-X-polynomial-bool-mult-in diff-add-eq group-eq-aux ideal.span-add-eq)  
**by** (metis ideal.span-scale right-diff-distrib)

**lemma** *polynomial-of-mset-mult-map*:  
 $\langle \text{polynomial-of-mset } \{ \# \text{case } x \text{ of } (ys, n) \Rightarrow (\text{remdups-mset } (ys + xs), n * m). x \in \# q \# \} -$   
 $\text{Const } m * (\text{poly-of-vars } xs * \text{polynomial-of-mset } q) \rangle$   
 $\in \text{More-Modules.ideal polynomial-bool}$   
(is  $\langle ?P q \in \cdot \rangle$ )  
**proof** (induction q)  
**case** empty  
**then show** ?case **by** (auto simp: algebra-simps ideal.span-zero)  
**next**  
**case** (add x q)  
**then have** uP:  $\langle - ?P q \in \text{More-Modules.ideal polynomial-bool} \rangle$   
**using** ideal.span-neg **by** blast  
**show** ?case  
**apply** (subst ideal.span-add-eq2[symmetric, OF uP])  
**apply** (cases x)  
**apply** (auto simp: field-simps Const-mult)  
**by** (metis ideal.span-scale poly-of-vars-remdups-mset  
poly-of-vars-simps(2) right-diff-distrib)  
**qed**

**lemma** *mult-poly-p-mult-ideal*:  
 $\langle \text{mult-poly-p } q (p, r) (p', r') \Rightarrow$   
 $(\text{polynomial-of-mset } p' * \text{polynomial-of-mset } q + \text{polynomial-of-mset } r') - (\text{polynomial-of-mset } p * \text{polynomial-of-mset } q + \text{polynomial-of-mset } r) \rangle$   
 $\in \text{ideal polynomial-bool}$   
**proof** (induction rule: mult-poly-p-induct)  
**case** (mult-step xs n p r)  
**show** ?case  
**by** (auto simp: algebra-simps polynomial-of-mset-mult-map)  
**qed**

**lemma** *rtrancp-mult-poly-p-mult-ideal*:  
 $\langle (\text{mult-poly-p } q)^{**} (p, r) (p', r') \Rightarrow$   
 $(\text{polynomial-of-mset } p' * \text{polynomial-of-mset } q + \text{polynomial-of-mset } r') - (\text{polynomial-of-mset } p * \text{polynomial-of-mset } q + \text{polynomial-of-mset } r) \rangle$   
 $\in \text{ideal polynomial-bool}$   
**apply** (induction p' r' rule: rtrancp-induct[of  $\langle \text{mult-poly-p } q \rangle \langle (p, r) \rangle \langle (p', q') \rangle$  **for**  $p' q'$ , split-format(complete)])

```

subgoal
  by (auto simp: ideal.span-zero)
subgoal for a b aa ba
  apply (drule mult-poly-p-mult-ideal)
  apply (drule ideal.span-add)
  apply assumption
  apply (auto simp: group-add-class.diff-add-eq-diff-diff-swap
    add.assoc add.inverse-distrib-swap ac-simps
    simp flip: ab-group-add-class.ab-diff-conv-add-uminus)
  by (metis (no-types, hide-lams) ab-group-add-class.ab-diff-conv-add-uminus
    ab-semigroup-add-class.add commute add.assoc add.inverse-distrib-swap)
done

```

```

lemma rtrancp-mult-poly-p-mult-ideal-final:
   $\langle (mult\text{-}poly\text{-}p\ q)^{**} (p, \{\#\}) (\{\#\}, r) \implies$ 
   $(polynomial\text{-}of\text{-}mset\ r) - (polynomial\text{-}of\text{-}mset\ p * polynomial\text{-}of\text{-}mset\ q)$ 
   $\in ideal\ polynomial\text{-}bool \rangle$ 
  by (drule rtrancp-mult-poly-p-mult-ideal) auto

```

```

lemma normalize-poly-p-poly-of-mset:
   $\langle normalize\text{-}poly\text{-}p\ p\ q \implies polynomial\text{-}of\text{-}mset\ p = polynomial\text{-}of\text{-}mset\ q \rangle$ 
  apply (induction rule: normalize-poly-p.induct)
  apply (auto simp: Const-add algebra-simps)
done

```

```

lemma rtrancp-normalize-poly-p-poly-of-mset:
   $\langle normalize\text{-}poly\text{-}p^{**}\ p\ q \implies polynomial\text{-}of\text{-}mset\ p = polynomial\text{-}of\text{-}mset\ q \rangle$ 
  by (induction rule: rtrancp-induct)
  (auto simp: normalize-poly-p-poly-of-mset)

```

end

It would be nice to have the property in the other direction too, but this requires a deep dive into the definitions of polynomials.

```

locale poly-embed-bij = poly-embed +
  fixes V N
  assumes  $\varphi\text{-bij}$ :  $\langle bij\text{-}betw\ \varphi\ V\ N \rangle$ 
begin

```

```

definition  $\varphi'$  ::  $\langle nat \Rightarrow string \rangle$  where
   $\langle \varphi' = the\text{-}inv\text{-}into\ V\ \varphi \rangle$ 

```

```

lemma  $\varphi'\text{-}\varphi[simp]$ :
   $\langle x \in V \implies \varphi'(\varphi\ x) = x \rangle$ 
  using  $\varphi\text{-bij}$  unfolding  $\varphi'\text{-def}$ 
  by (meson bij-betw-imp-inj-on the-inv-into-f-f)

```

```

lemma  $\varphi\text{-}\varphi'[simp]$ :
   $\langle x \in N \implies \varphi(\varphi'\ x) = x \rangle$ 
  using  $\varphi\text{-bij}$  unfolding  $\varphi'\text{-def}$ 
  by (meson f-the-inv-into-f-bij-betw)

```

end



end

```
theory PAC-Polynomials-Term
  imports PAC-Polynomials
    Refine-Imperative-HOL.IICF
begin
```

## 8 Terms

We define some helper functions.

### 8.1 Ordering

**lemma** *fref-to-Down-curry-left*:

**fixes**  $f :: \langle 'a \Rightarrow 'b \Rightarrow 'c \text{ nres} \rangle$  **and**  
 $A :: (\langle 'a \times 'b \rangle \times 'd) \text{ set}$

**shows**

$\langle (uncurry f, g) \in [P]_f A \rightarrow \langle B \rangle \text{nres-rel} \implies$   
 $(\bigwedge a b x'. P x' \implies ((a, b), x') \in A \implies f a b \leq \Downarrow B (g x')) \rangle$

**unfolding** *fref-def uncurry-def nres-rel-def*

**by** *auto*

**lemma** *fref-to-Down-curry-right*:

**fixes**  $g :: \langle 'a \Rightarrow 'b \Rightarrow 'c \text{ nres} \rangle$  **and**  $f :: \langle 'd \Rightarrow - \text{ nres} \rangle$  **and**  
 $A :: (\langle 'd \times ('a \times 'b) \rangle) \text{ set}$

**shows**

$\langle (f, uncurry g) \in [P]_f A \rightarrow \langle B \rangle \text{nres-rel} \implies$   
 $(\bigwedge a b x'. P (a, b) \implies (x', (a, b)) \in A \implies f x' \leq \Downarrow B (g a b)) \rangle$

**unfolding** *fref-def uncurry-def nres-rel-def*

**by** *auto*

**type-synonym** *term-poly-list* =  $\langle \text{string list} \rangle$

**type-synonym** *llist-polynomial* =  $\langle (term-poly-list \times int) \text{ list} \rangle$

We instantiate the characters with typeclass *linorder* to be able to talk about sorted and so on.

**definition** *less-eq-char* ::  $\langle \text{char} \Rightarrow \text{char} \Rightarrow \text{bool} \rangle$  **where**

$\langle \text{less-eq-char } c \ d = (((\text{of-char } c) :: \text{nat}) \leq \text{of-char } d) \rangle$

**definition** *less-char* ::  $\langle \text{char} \Rightarrow \text{char} \Rightarrow \text{bool} \rangle$  **where**

$\langle \text{less-char } c \ d = (((\text{of-char } c) :: \text{nat}) < \text{of-char } d) \rangle$

**global-interpretation** *char*: *linorder less-eq-char less-char*

**using** *linorder-char*

**unfolding** *linorder-class-def class.linorder-def*

*less-eq-char-def[symmetric] less-char-def[symmetric]*

*class.order-def order-class-def*

*class.preorder-def preorder-class-def*

*ord-class-def*

**apply** *auto*

**done**

**abbreviation** *less-than-char* ::  $\langle (\text{char} \times \text{char}) \text{ set} \rangle$  **where**

$\langle \text{less-than-char} \equiv \text{p2rel less-char} \rangle$

**lemma** *less-than-char-def*:  
 $\langle (x, y) \in \text{less-than-char} \longleftrightarrow \text{less-char } x \ y \rangle$   
**by** (*auto simp: p2rel-def*)

**lemma** *trans-less-than-char[simp]*:  
 $\langle \text{trans less-than-char} \rangle$  **and**  
*irrefl-less-than-char*:  
 $\langle \text{irrefl less-than-char} \rangle$  **and**  
*antisym-less-than-char*:  
 $\langle \text{antisym less-than-char} \rangle$   
**by** (*auto simp: less-than-char-def trans-def irrefl-def antisym-def*)

## 8.2 Polynomials

**definition** *var-order-rel* ::  $\langle (\text{string} \times \text{string}) \text{ set} \rangle$  **where**  
 $\langle \text{var-order-rel} \equiv \text{lexord less-than-char} \rangle$

**abbreviation** *var-order* ::  $\langle \text{string} \Rightarrow \text{string} \Rightarrow \text{bool} \rangle$  **where**  
 $\langle \text{var-order} \equiv \text{rel2p var-order-rel} \rangle$

**abbreviation** *term-order-rel* ::  $\langle (\text{term-poly-list} \times \text{term-poly-list}) \text{ set} \rangle$  **where**  
 $\langle \text{term-order-rel} \equiv \text{lexord var-order-rel} \rangle$

**abbreviation** *term-order* ::  $\langle \text{term-poly-list} \Rightarrow \text{term-poly-list} \Rightarrow \text{bool} \rangle$  **where**  
 $\langle \text{term-order} \equiv \text{rel2p term-order-rel} \rangle$

**definition** *term-poly-list-rel* ::  $\langle (\text{term-poly-list} \times \text{term-poly}) \text{ set} \rangle$  **where**  
 $\langle \text{term-poly-list-rel} = \{ (xs, ys). \}$   
 $\quad ys = \text{mset } xs \wedge$   
 $\quad \text{distinct } xs \wedge$   
 $\quad \text{sorted-wrt } (\text{rel2p var-order-rel}) \ xs \rangle$

**definition** *unsorted-term-poly-list-rel* ::  $\langle (\text{term-poly-list} \times \text{term-poly}) \text{ set} \rangle$  **where**  
 $\langle \text{unsorted-term-poly-list-rel} = \{ (xs, ys). \}$   
 $\quad ys = \text{mset } xs \wedge \text{distinct } xs \rangle$

**definition** *poly-list-rel* ::  $\langle (- \Rightarrow ((\text{'a} \times \text{int}) \text{ list} \times \text{mset-polynomial}) \text{ set}) \rangle$  **where**  
 $\langle \text{poly-list-rel } R = \{ (xs, ys). \}$   
 $\quad (xs, ys) \in \langle R \times_r \text{int-rel} \rangle \text{list-rel } O \text{list-mset-rel} \wedge$   
 $\quad 0 \notin \# \text{snd } \# \text{'# } ys \rangle$

**definition** *sorted-poly-list-rel-wrt* ::  $\langle (\text{'a} \Rightarrow \text{'a} \Rightarrow \text{bool}) \Rightarrow (\text{'a} \times \text{string multiset}) \text{ set} \Rightarrow ((\text{'a} \times \text{int}) \text{ list} \times \text{mset-polynomial}) \text{ set} \rangle$  **where**  
 $\langle \text{sorted-poly-list-rel-wrt } S \ R = \{ (xs, ys). \}$   
 $\quad (xs, ys) \in \langle R \times_r \text{int-rel} \rangle \text{list-rel } O \text{list-mset-rel} \wedge$   
 $\quad \text{sorted-wrt } S \ (\text{map fst } xs) \wedge$   
 $\quad \text{distinct } (\text{map fst } xs) \wedge$   
 $\quad 0 \notin \# \text{snd } \# \text{'# } ys \rangle$

**abbreviation** *sorted-poly-list-rel* **where**  
 $\langle \text{sorted-poly-list-rel } R \equiv \text{sorted-poly-list-rel-wrt } R \ \text{term-poly-list-rel} \rangle$

**abbreviation** *sorted-poly-rel* **where**  
 $\langle \text{sorted-poly-rel} \equiv \text{sorted-poly-list-rel term-order} \rangle$

**definition** *sorted-repeat-poly-list-rel-wrt* ::  $\langle ('a \Rightarrow 'a \Rightarrow \text{bool})$   
 $\Rightarrow ('a \times \text{string multiset}) \text{ set} \Rightarrow (('a \times \text{int}) \text{ list} \times \text{mset-polynomial}) \text{ set} \rangle$  **where**  
 $\langle \text{sorted-repeat-poly-list-rel-wrt } S \ R = \{(xs, ys). \}$   
 $(xs, ys) \in \langle R \times_r \text{int-rel} \rangle \text{list-rel } O \text{ list-mset-rel} \wedge$   
 $\text{sorted-wrt } S (\text{map fst } xs) \wedge$   
 $0 \notin \# \text{snd } \# \text{ys} \rangle$

**abbreviation** *sorted-repeat-poly-list-rel* **where**  
 $\langle \text{sorted-repeat-poly-list-rel } R \equiv \text{sorted-repeat-poly-list-rel-wrt } R \text{ term-poly-list-rel} \rangle$

**abbreviation** *sorted-repeat-poly-rel* **where**  
 $\langle \text{sorted-repeat-poly-rel} \equiv \text{sorted-repeat-poly-list-rel } (\text{rel2p } (\text{Id} \cup \text{lexord var-order-rel})) \rangle$

**abbreviation** *unsorted-poly-rel* **where**  
 $\langle \text{unsorted-poly-rel} \equiv \text{poly-list-rel term-poly-list-rel} \rangle$

**lemma** *sorted-poly-list-rel-empty-l[simp]*:  
 $\langle ([], s') \in \text{sorted-poly-list-rel-wrt } S \ T \longleftrightarrow s' = \{\#\} \rangle$   
**by** (cases  $s'$ )  
(auto simp: sorted-poly-list-rel-wrt-def list-mset-rel-def br-def)

**definition** *fully-unsorted-poly-list-rel* ::  $\langle ('a \times \text{int}) \text{ list} \times \text{mset-polynomial} \rangle \text{ set} \rangle$  **where**  
 $\langle \text{fully-unsorted-poly-list-rel } R = \{(xs, ys). \}$   
 $(xs, ys) \in \langle R \times_r \text{int-rel} \rangle \text{list-rel } O \text{ list-mset-rel} \rangle$

**abbreviation** *fully-unsorted-poly-rel* **where**  
 $\langle \text{fully-unsorted-poly-rel} \equiv \text{fully-unsorted-poly-list-rel unsorted-term-poly-list-rel} \rangle$

**lemma** *fully-unsorted-poly-list-rel-empty-iff[simp]*:  
 $\langle (p, \{\#\}) \in \text{fully-unsorted-poly-list-rel } R \longleftrightarrow p = [] \rangle$   
 $\langle ([], p') \in \text{fully-unsorted-poly-list-rel } R \longleftrightarrow p' = \{\#\} \rangle$   
**by** (auto simp: fully-unsorted-poly-list-rel-def list-mset-rel-def br-def)

**definition** *poly-list-rel-with0* ::  $\langle ('a \times \text{int}) \text{ list} \times \text{mset-polynomial} \rangle \text{ set} \rangle$  **where**  
 $\langle \text{poly-list-rel-with0 } R = \{(xs, ys). \}$   
 $(xs, ys) \in \langle R \times_r \text{int-rel} \rangle \text{list-rel } O \text{ list-mset-rel} \rangle$

**abbreviation** *unsorted-poly-rel-with0* **where**  
 $\langle \text{unsorted-poly-rel-with0} \equiv \text{poly-list-rel-with0 term-poly-list-rel} \rangle$

**lemma** *poly-list-rel-with0-empty-iff[simp]*:  
 $\langle (p, \{\#\}) \in \text{poly-list-rel-with0 } R \longleftrightarrow p = [] \rangle$   
 $\langle ([], p') \in \text{poly-list-rel-with0 } R \longleftrightarrow p' = \{\#\} \rangle$   
**by** (auto simp: poly-list-rel-with0-def list-mset-rel-def br-def)

**definition** *sorted-repeat-poly-list-rel-with0-wrt* ::  $\langle ('a \Rightarrow 'a \Rightarrow \text{bool})$   
 $\Rightarrow ('a \times \text{string multiset}) \text{ set} \Rightarrow (('a \times \text{int}) \text{ list} \times \text{mset-polynomial}) \text{ set} \rangle$  **where**  
 $\langle \text{sorted-repeat-poly-list-rel-with0-wrt } S \ R = \{(xs, ys). \}$   
 $(xs, ys) \in \langle R \times_r \text{int-rel} \rangle \text{list-rel } O \text{ list-mset-rel} \wedge$   
 $\text{sorted-wrt } S (\text{map fst } xs) \rangle$

**abbreviation** *sorted-repeat-poly-list-rel-with0* **where**

$\langle \text{sorted-repeat-poly-list-rel-with0 } R \equiv \text{sorted-repeat-poly-list-rel-with0-wrt } R \text{ term-poly-list-rel} \rangle$

**abbreviation** *sorted-repeat-poly-rel-with0* **where**

$\langle \text{sorted-repeat-poly-rel-with0} \equiv \text{sorted-repeat-poly-list-rel-with0 } (\text{rel2p } (Id \cup \text{lexord var-order-rel})) \rangle$

**lemma** *term-poly-list-relD*:

$\langle (xs, ys) \in \text{term-poly-list-rel} \implies \text{distinct } xs \rangle$

$\langle (xs, ys) \in \text{term-poly-list-rel} \implies ys = \text{mset } xs \rangle$

$\langle (xs, ys) \in \text{term-poly-list-rel} \implies \text{sorted-wrt } (\text{rel2p var-order-rel}) \text{ } xs \rangle$

$\langle (xs, ys) \in \text{term-poly-list-rel} \implies \text{sorted-wrt } (\text{rel2p } (Id \cup \text{var-order-rel})) \text{ } xs \rangle$

**apply** (*auto simp: term-poly-list-rel-def; fail*)+

**by** (*metis (mono-tags, lifting) CollectD UnI2 rel2p-def sorted-wrt-mono-rel split-conv term-poly-list-rel-def*)

**end**

**theory** *PAC-Polynomials-Operations*

**imports** *PAC-Polynomials-Term PAC-Checker-Specification*

**begin**

## 9 Polynomialss as Lists

### 9.1 Addition

In this section, we refine the polynomials to list. These lists will be used in our checker to represent the polynomials and execute operations.

There is one *key* difference between the list representation and the usual representation: in the former, coefficients can be zero and monomials can appear several times. This makes it easier to reason on intermediate representation where this has not yet been sanitized.

**fun** *add-poly-l'* ::  $\langle \text{l-list-polynomial} \times \text{l-list-polynomial} \Rightarrow \text{l-list-polynomial} \rangle$  **where**

$\langle \text{add-poly-l}' (p, []) = p \mid$

$\langle \text{add-poly-l}' ([], q) = q \mid$

$\langle \text{add-poly-l}' ((xs, n) \# p, (ys, m) \# q) =$

$\quad (\text{if } xs = ys \text{ then if } n + m = 0 \text{ then add-poly-l}' (p, q) \text{ else}$

$\quad \text{let } pq = \text{add-poly-l}' (p, q) \text{ in}$

$\quad ((xs, n + m) \# pq)$

$\text{else if } (xs, ys) \in \text{term-order-rel}$

$\text{then}$

$\quad \text{let } pq = \text{add-poly-l}' (p, (ys, m) \# q) \text{ in}$

$\quad ((xs, n) \# pq)$

$\text{else}$

$\quad \text{let } pq = \text{add-poly-l}' ((xs, n) \# p, q) \text{ in}$

$\quad ((ys, m) \# pq)$

$\rangle$

**definition** *add-poly-l* ::  $\langle \text{l-list-polynomial} \times \text{l-list-polynomial} \Rightarrow \text{l-list-polynomial nres} \rangle$  **where**

$\langle \text{add-poly-l} = \text{REC}_T$

$\quad (\lambda \text{add-poly-l } (p, q).$

$\quad \text{case } (p, q) \text{ of}$

$\quad (p, []) \Rightarrow \text{RETURN } p$

$\mid ([], q) \Rightarrow \text{RETURN } q$

$\mid ((xs, n) \# p, (ys, m) \# q) \Rightarrow$

$\quad (\text{if } xs = ys \text{ then if } n + m = 0 \text{ then add-poly-l } (p, q) \text{ else}$

```

do {
  pq ← add-poly-l (p, q);
  RETURN ((xs, n + m) # pq)
}
else if (xs, ys) ∈ term-order-rel
then do {
  pq ← add-poly-l (p, (ys, m) # q);
  RETURN ((xs, n) # pq)
}
else do {
  pq ← add-poly-l ((xs, n) # p, q);
  RETURN ((ys, m) # pq)
}
})))

```

**definition** *nonzero-coeffs* where

$\langle \text{nonzero-coeffs } a \longleftrightarrow 0 \notin \# \text{ snd } a \rangle$

**lemma** *nonzero-coeffs-simps*[simp]:

$\langle \text{nonzero-coeffs } \{ \# \} \rangle$   
 $\langle \text{nonzero-coeffs } (\text{add-mset } (xs, n) a) \longleftrightarrow \text{nonzero-coeffs } a \wedge n \neq 0 \rangle$   
**by** (auto simp: nonzero-coeffs-def)

**lemma** *nonzero-coeffsD*:

$\langle \text{nonzero-coeffs } a \implies (x, n) \in \# a \implies n \neq 0 \rangle$   
**by** (auto simp: nonzero-coeffs-def)

**lemma** *sorted-poly-list-rel-ConsD*:

$\langle ((ys, n) \# p, a) \in \text{sorted-poly-list-rel } S \implies (p, \text{remove1-mset } (\text{mset } ys, n) a) \in \text{sorted-poly-list-rel } S$   
 $\wedge$   
 $(\text{mset } ys, n) \in \# a \wedge (\forall x \in \text{set } p. S \text{ } ys \text{ } (\text{fst } x)) \wedge \text{sorted-wrt } (\text{rel2p var-order-rel}) \text{ } ys \wedge$   
 $\text{distinct } ys \wedge ys \notin \text{set } (\text{map fst } p) \wedge n \neq 0 \wedge \text{nonzero-coeffs } a \rangle$   
**unfolding** sorted-poly-list-rel-wrt-def prod.case mem-Collect-eq  
list-rel-def  
**apply** (clarsimp)  
**apply** (subst (asm) list.rel-sel)  
**apply** (intro conjI)  
**apply** (rename-tac y, rule-tac b = ⟨tl y⟩ in relcompI)  
**apply** (auto simp: sorted-poly-list-rel-wrt-def list-mset-rel-def br-def  
list.tl-def term-poly-list-rel-def nonzero-coeffs-def split: list.splits)  
**done**

**lemma** *sorted-poly-list-rel-Cons-iff*:

$\langle ((ys, n) \# p, a) \in \text{sorted-poly-list-rel } S \longleftrightarrow (p, \text{remove1-mset } (\text{mset } ys, n) a) \in \text{sorted-poly-list-rel } S$   
 $\wedge$   
 $(\text{mset } ys, n) \in \# a \wedge (\forall x \in \text{set } p. S \text{ } ys \text{ } (\text{fst } x)) \wedge \text{sorted-wrt } (\text{rel2p var-order-rel}) \text{ } ys \wedge$   
 $\text{distinct } ys \wedge ys \notin \text{set } (\text{map fst } p) \wedge n \neq 0 \wedge \text{nonzero-coeffs } a \rangle$   
**apply** (rule iffI)  
**subgoal**  
**by** (auto dest!: sorted-poly-list-rel-ConsD)  
**subgoal**  
**unfolding** sorted-poly-list-rel-wrt-def prod.case mem-Collect-eq  
list-rel-def  
**apply** (clarsimp)  
**apply** (intro conjI)  
**apply** (rename-tac y; rule-tac b = ⟨mset ys, n⟩ # y in relcompI)

```

by (auto simp: sorted-poly-list-rel-wrt-def list-mset-rel-def br-def
    term-poly-list-rel-def add-mset-eq-add-mset eq-commute[of - (mset -)]
    nonzero-coeffs-def
    dest!: multi-member-split)
done

```

**lemma** *sorted-repeat-poly-list-rel-ConsD*:

$\langle (ys, n) \# p, a \rangle \in \text{sorted-repeat-poly-list-rel } S \implies (p, \text{remove1-mset } (\text{mset } ys, n) a) \in \text{sorted-repeat-poly-list-rel } S \wedge$

$(\text{mset } ys, n) \in \# a \wedge (\forall x \in \text{set } p. S \text{ } ys \text{ } (\text{fst } x)) \wedge \text{sorted-wrt } (\text{rel2p var-order-rel}) \text{ } ys \wedge$   
 $\text{distinct } ys \wedge n \neq 0 \wedge \text{nonzero-coeffs } a$

**unfolding** *sorted-repeat-poly-list-rel-wrt-def prod.case mem-Collect-eq list-rel-def*

**apply** (*clarsimp*)

**apply** (*subst (asm) list.rel-sel*)

**apply** (*intro conjI*)

**apply** (*rename-tac y, rule-tac b = (tl y) in relcompI*)

**apply** (*auto simp: sorted-poly-list-rel-wrt-def list-mset-rel-def br-def*  
*list.tl-def term-poly-list-rel-def nonzero-coeffs-def split: list.splits*)

**done**

**lemma** *sorted-repeat-poly-list-rel-Cons-iff*:

$\langle (ys, n) \# p, a \rangle \in \text{sorted-repeat-poly-list-rel } S \iff (p, \text{remove1-mset } (\text{mset } ys, n) a) \in \text{sorted-repeat-poly-list-rel } S \wedge$

$(\text{mset } ys, n) \in \# a \wedge (\forall x \in \text{set } p. S \text{ } ys \text{ } (\text{fst } x)) \wedge \text{sorted-wrt } (\text{rel2p var-order-rel}) \text{ } ys \wedge$   
 $\text{distinct } ys \wedge n \neq 0 \wedge \text{nonzero-coeffs } a$

**apply** (*rule iffI*)

**subgoal**

**by** (*auto dest!: sorted-repeat-poly-list-rel-ConsD*)

**subgoal**

**unfolding** *sorted-repeat-poly-list-rel-wrt-def prod.case mem-Collect-eq list-rel-def*

**apply** (*clarsimp*)

**apply** (*intro conjI*)

**apply** (*rename-tac y, rule-tac b = (mset ys, n) # y in relcompI*)

**by** (*auto simp: sorted-repeat-poly-list-rel-wrt-def list-mset-rel-def br-def*  
*term-poly-list-rel-def add-mset-eq-add-mset eq-commute[of - (mset -)]*  
*nonzero-coeffs-def*  
*dest!: multi-member-split*)

**done**

**lemma** *add-poly-p-add-mset-sum-0*:

$\langle n + m = 0 \implies \text{add-poly-p}^{**} (A, Aa, \{\#\}) (\{\#\}, \{\#\}, r) \implies$   
 $\text{add-poly-p}^{**}$

$(\text{add-mset } (\text{mset } ys, n) A, \text{add-mset } (\text{mset } ys, m) Aa, \{\#\})$   
 $(\{\#\}, \{\#\}, r) \rangle$

**apply** (*rule converse-rtranclp-into-rtranclp*)

**apply** (*rule add-poly-p.add-new-coeff-r*)

**apply** (*rule converse-rtranclp-into-rtranclp*)

**apply** (*rule add-poly-p.add-same-coeff-l*)

**apply** (*rule converse-rtranclp-into-rtranclp*)

**apply** (*auto intro: add-poly-p.rem-0-coeff*)

done

**lemma** *monoms-add-poly-l'D*:

$\langle (aa, ba) \in \text{set } (\text{add-poly-l}' x) \implies aa \in \text{fst } \text{'set } (\text{fst } x) \vee aa \in \text{fst } \text{'set } (\text{snd } x) \rangle$   
**by** (*induction x rule: add-poly-l'.induct*)  
*(auto split: if-splits)*

**lemma** *add-poly-p-add-to-result*:

$\langle \text{add-poly-p}^{**} (A, B, r) (A', B', r') \implies$   
 $\text{add-poly-p}^{**}$   
 $(A, B, p + r) (A', B', p + r') \rangle$

**apply** (*induction rule: rtranclp-induct[of add-poly-p  $\langle (-, -, -) \rangle \langle (-, -, -) \rangle$ , split-format(complete), of for r]*)

**subgoal by** *auto*

**by** (*elim add-poly-pE*)

*(metis (no-types, lifting) Pair-inject add-poly-p.intros rtranclp.simps union-mset-add-mset-right)+*

**lemma** *add-poly-p-add-mset-comb*:

$\langle \text{add-poly-p}^{**} (A, Aa, \{\#\}) (\{\#\}, \{\#\}, r) \implies$   
 $\text{add-poly-p}^{**}$   
 $(\text{add-mset } (xs, n) A, Aa, \{\#\})$   
 $(\{\#\}, \{\#\}, \text{add-mset } (xs, n) r) \rangle$

**apply** (*rule converse-rtranclp-into-rtranclp*)

**apply** (*rule add-poly-p.add-new-coeff-l*)

**using** *add-poly-p-add-to-result[of A Aa  $\langle \{\#\} \rangle \langle \{\#\} \rangle \langle \{\#\} \rangle r \langle \{\#(xs, n)\# \} \rangle]$*

**by** *auto*

**lemma** *add-poly-p-add-mset-comb2*:

$\langle \text{add-poly-p}^{**} (A, Aa, \{\#\}) (\{\#\}, \{\#\}, r) \implies$   
 $\text{add-poly-p}^{**}$   
 $(\text{add-mset } (ys, n) A, \text{add-mset } (ys, m) Aa, \{\#\})$   
 $(\{\#\}, \{\#\}, \text{add-mset } (ys, n + m) r) \rangle$

**apply** (*rule converse-rtranclp-into-rtranclp*)

**apply** (*rule add-poly-p.add-new-coeff-r*)

**apply** (*rule converse-rtranclp-into-rtranclp*)

**apply** (*rule add-poly-p.add-same-coeff-l*)

**using** *add-poly-p-add-to-result[of A Aa  $\langle \{\#\} \rangle \langle \{\#\} \rangle \langle \{\#\} \rangle r \langle \{\#(ys, n+m)\# \} \rangle]$*

**by** *auto*

**lemma** *add-poly-p-add-mset-comb3*:

$\langle \text{add-poly-p}^{**} (A, Aa, \{\#\}) (\{\#\}, \{\#\}, r) \implies$   
 $\text{add-poly-p}^{**}$   
 $(A, \text{add-mset } (ys, m) Aa, \{\#\})$   
 $(\{\#\}, \{\#\}, \text{add-mset } (ys, m) r) \rangle$

**apply** (*rule converse-rtranclp-into-rtranclp*)

**apply** (*rule add-poly-p.add-new-coeff-r*)

**using** *add-poly-p-add-to-result[of A Aa  $\langle \{\#\} \rangle \langle \{\#\} \rangle \langle \{\#\} \rangle r \langle \{\#(ys, m)\# \} \rangle]$*

**by** *auto*

**lemma** *total-on-lexord*:

$\langle \text{Relation.total-on UNIV } R \implies \text{Relation.total-on UNIV } (\text{lexord } R) \rangle$

**apply** (*auto simp: Relation.total-on-def*)

**by** (*meson lexord-linear*)

**lemma** *antisym-lexord*:

⟨*antisym* *R* ⟹ *irrefl* *R* ⟹ *antisym* (*lexord* *R*)⟩  
**by** (*auto simp*: *antisym-def lexord-def irrefl-def*  
*elim*!: *list-match-lel-lel*)

**lemma** *less-than-char-linear*:

⟨(*a*, *b*) ∈ *less-than-char* ∨  
*a* = *b* ∨ (*b*, *a*) ∈ *less-than-char*)⟩  
**by** (*auto simp*: *less-than-char-def*)

**lemma** *total-on-lexord-less-than-char-linear*:

⟨*xs* ≠ *ys* ⟹ (*xs*, *ys*) ∉ *lexord* (*lexord less-than-char*) ⟷  
(*ys*, *xs*) ∈ *lexord* (*lexord less-than-char*)⟩  
**using** *lexord-linear*[*of* ⟨*lexord less-than-char*⟩ *xs ys*]  
**using** *lexord-linear*[*of* ⟨*less-than-char*⟩] *less-than-char-linear*  
**using** *lexord-irrefl*[*OF irrefl-less-than-char*]  
*antisym-lexord*[*OF antisym-lexord*[*OF antisym-less-than-char irrefl-less-than-char*]]  
**apply** (*auto simp*: *antisym-def Relation.total-on-def*)  
**done**

**lemma** *sorted-poly-list-rel-nonzeroD*:

⟨(*p*, *r*) ∈ *sorted-poly-list-rel term-order* ⟹  
*nonzero-coeffs* (*r*)⟩  
⟨(*p*, *r*) ∈ *sorted-poly-list-rel* (*rel2p* (*lexord* (*lexord less-than-char*))) ⟹  
*nonzero-coeffs* (*r*)⟩  
**by** (*auto simp*: *sorted-poly-list-rel-wrt-def nonzero-coeffs-def*)

**lemma** *add-poly-l'-add-poly-p*:

**assumes** ⟨(*pq*, *pq'*) ∈ *sorted-poly-rel* ×<sub>*r*</sub> *sorted-poly-rel*⟩  
**shows** ⟨∃ *r*. (*add-poly-l'* *pq*, *r*) ∈ *sorted-poly-rel* ∧  
*add-poly-p*\*\* (*fst pq'*, *snd pq'*, {#}) ({#}, {#}, *r*)⟩  
**supply** [[*goals-limit*=1]]  
**using** *assms*  
**apply** (*induction* ⟨*pq*⟩ *arbitrary*: *pq'* *rule*: *add-poly-l'.induct*)  
**subgoal for** *p pq'*  
**using** *add-poly-p-empty-l*[*of* ⟨*fst pq'*⟩ {#} {#}]  
**by** (*cases pq'*) (*auto intro*!: *exI*[*of* - ⟨*fst pq'*⟩])  
**subgoal for** *x p pq'*  
**using** *add-poly-p-empty-r*[*of* {#} ⟨*snd pq'*⟩ {#}]  
**by** (*cases pq'*) (*auto intro*!: *exI*[*of* - ⟨*snd pq'*⟩])  
**subgoal premises** *p* **for** *xs n p ys m q pq'*  
**apply** (*cases pq'*) — Isabelle does a completely stupid case distinction here  
**apply** (*cases* ⟨*xs* = *ys*⟩)  
**subgoal**  
**apply** (*cases* ⟨*n* + *m* = 0⟩)  
**subgoal**  
**using** *p(1)*[*of* ⟨(*remove1-mset* (*mset xs*, *n*) (*fst pq'*), *remove1-mset* (*mset ys*, *m*) (*snd pq'*))⟩]  
*p(5-)*  
**apply** (*auto dest*!: *sorted-poly-list-rel-ConsD multi-member-split*  
)  
**using** *add-poly-p-add-mset-sum-0* **by** *blast*  
**subgoal**  
**using** *p(2)*[*of* ⟨(*remove1-mset* (*mset xs*, *n*) (*fst pq'*), *remove1-mset* (*mset ys*, *m*) (*snd pq'*))⟩]  
*p(5-)*



```

apply (auto dest!: sorted-poly-list-rel-ConsD multi-member-split)
apply (rule-tac x = ⟨add-mset (mset ys, n + m) r⟩ in exI)
apply (fastforce dest!: monoms-add-poly-l'D simp: sorted-poly-list-rel-Cons-iff rel2p-def
  sorted-poly-list-rel-nonzeroD var-order-rel-def
  intro: add-poly-p-add-mset-comb2)
done
done
subgoal
apply (cases ⟨(xs, ys) ∈ term-order-rel⟩)
subgoal
  using p(3)[of ⟨(remove1-mset (mset xs, n) (fst pq'), (snd pq'))⟩] p(5-)
  apply (auto dest!: multi-member-split simp: sorted-poly-list-rel-Cons-iff rel2p-def)
  apply (rule-tac x = ⟨add-mset (mset xs, n) r⟩ in exI)
  apply (auto dest!: monoms-add-poly-l'D)
  apply (auto intro: lexord-trans add-poly-p-add-mset-comb simp: lexord-transI var-order-rel-def)
  apply (rule lexord-trans)
  apply assumption
  apply (auto intro: lexord-trans add-poly-p-add-mset-comb simp: lexord-transI
    sorted-poly-list-rel-nonzeroD var-order-rel-def)
  using total-on-lexord-less-than-char-linear by fastforce

subgoal
  using p(4)[of ⟨(fst pq', remove1-mset (mset ys, m) (snd pq'))⟩] p(5-)
  apply (auto dest!: multi-member-split simp: sorted-poly-list-rel-Cons-iff rel2p-def
    var-order-rel-def)
  apply (rule-tac x = ⟨add-mset (mset ys, m) r⟩ in exI)
  apply (auto dest!: monoms-add-poly-l'D
    simp: total-on-lexord-less-than-char-linear)
  apply (auto intro: lexord-trans add-poly-p-add-mset-comb simp: lexord-transI
    total-on-lexord-less-than-char-linear var-order-rel-def)
  apply (rule lexord-trans)
  apply assumption
  apply (auto intro: lexord-trans add-poly-p-add-mset-comb3 simp: lexord-transI
    sorted-poly-list-rel-nonzeroD var-order-rel-def)
  using total-on-lexord-less-than-char-linear by fastforce
done
done
done

```

**lemma** add-poly-l-add-poly:  
 ⟨add-poly-l x = RETURN (add-poly-l' x)⟩  
**unfolding** add-poly-l-def  
**by** (induction x rule: add-poly-l'.induct)  
 (solves ⟨subst RECT-unfold, refine-mono, simp split: list.split⟩)+

**lemma** add-poly-l-spec:  
 ⟨(add-poly-l, uncurry (λp q. SPEC(λr. add-poly-p\*\* (p, q, {#}) ({#}, {#}, r)))) ∈  
 sorted-poly-rel ×<sub>r</sub> sorted-poly-rel →<sub>f</sub> ⟨sorted-poly-rel⟩<sub>nres-rel</sub>⟩  
**unfolding** add-poly-l-add-poly  
**apply** (intro nres-relI frefI)  
**apply** (drule add-poly-l'-add-poly-p)  
**apply** (auto simp: conc-fun-RES)  
**done**

**definition** *sort-poly-spec* ::  $\langle \text{l-list-polynomial} \Rightarrow \text{l-list-polynomial nres} \rangle$  **where**  
 $\langle \text{sort-poly-spec } p =$   
 $\text{SPEC}(\lambda p'. \text{mset } p = \text{mset } p' \wedge \text{sorted-wrt } (\text{rel2p } (\text{Id} \cup \text{term-order-rel})) (\text{map fst } p')) \rangle$

**lemma** *sort-poly-spec-id*:

**assumes**  $\langle (p, p') \in \text{unsorted-poly-rel} \rangle$

**shows**  $\langle \text{sort-poly-spec } p \leq \Downarrow (\text{sorted-repeat-poly-rel}) (\text{RETURN } p') \rangle$

**proof** –

**obtain** *y* **where**

*py*:  $\langle (p, y) \in \langle \text{term-poly-list-rel} \times_r \text{int-rel} \rangle \text{list-rel} \rangle$  **and**

*p'-y*:  $\langle p' = \text{mset } y \rangle$  **and**

*zero*:  $\langle 0 \notin \# \text{snd } p' \rangle$

**using** *assms*

**unfolding** *sort-poly-spec-def poly-list-rel-def sorted-poly-list-rel-wrt-def*

**by** (*auto simp: list-mset-rel-def br-def*)

**then have** [*simp*]:  $\langle \text{length } y = \text{length } p \rangle$

**by** (*auto simp: list-rel-def list-all2-conv-all-nth*)

**have** *H*:  $\langle (x, p') \in$

$\langle \text{term-poly-list-rel} \times_r \text{int-rel} \rangle \text{list-rel } 0 \text{ list-mset-rel} \rangle$

**if** *px*:  $\langle \text{mset } p = \text{mset } x \rangle$  **and**  $\langle \text{sorted-wrt } (\text{rel2p } (\text{Id} \cup \text{lexord var-order-rel})) (\text{map fst } x) \rangle$

**for** *x* ::  $\langle \text{l-list-polynomial} \rangle$

**proof** –

**obtain** *f* **where**

*f*:  $\langle \text{bij-betw } f \{ .. < \text{length } x \} \{ .. < \text{length } p \} \rangle$  **and**

[*simp*]:  $\langle \bigwedge i. i < \text{length } x \implies x ! i = p ! (f i) \rangle$

**using** *px* **apply** – **apply** (*subst (asm)(2) eq-commute*) **unfolding** *mset-eq-perm*

**by** (*auto dest!: permutation-Ex-bij*)

**let** *?y* =  $\langle \text{map } (\lambda i. y ! f i) [0 .. < \text{length } x] \rangle$

**have**  $\langle i < \text{length } y \implies (p ! f i, y ! f i) \in \text{term-poly-list-rel} \times_r \text{int-rel} \rangle$  **for** *i*

**using** *list-all2-nthD*[*of* - *p y*

$\langle f i \rangle$ , *OF py*[*unfolded list-rel-def mem-Collect-eq prod.case*]]

*mset-eq-length*[*OF px*] *f*

**by** (*auto simp: list-rel-def list-all2-conv-all-nth bij-betw-def*)

**then have**  $\langle (x, ?y) \in \langle \text{term-poly-list-rel} \times_r \text{int-rel} \rangle \text{list-rel} \rangle$  **and**

*xy*:  $\langle \text{length } x = \text{length } y \rangle$

**using** *py list-all2-nthD*[*of*  $\langle \text{rel2p } (\text{term-poly-list-rel} \times_r \text{int-rel}) \rangle p y$

$\langle f i \rangle$  **for** *i*, *simplified*] *mset-eq-length*[*OF px*]

**by** (*auto simp: list-rel-def list-all2-conv-all-nth*)

**moreover** {

**have** *f*:  $\langle \text{mset-set } \{ 0 .. < \text{length } x \} = f \# \text{mset-set } \{ 0 .. < \text{length } x \} \rangle$

**using** *f mset-eq-length*[*OF px*]

**by** (*auto simp: bij-betw-def lessThan-atLeast0 image-mset-mset-set*)

**have**  $\langle \text{mset } y = \{ \# y ! f x. x \in \# \text{mset-set } \{ 0 .. < \text{length } x \} \# \} \rangle$

**by** (*subst drop-0[symmetric]*, *subst mset-drop-upto*, *subst xy[symmetric]*, *subst f*)

*auto*

**then have**  $\langle (?y, p') \in \text{list-mset-rel} \rangle$

**by** (*auto simp: list-mset-rel-def br-def p'-y*)

}

**ultimately show** *?thesis*

**by** (*auto intro!: relcompI*[*of* - *?y*])

**qed**

**show** *?thesis*

**using** *zero*

**unfolding** *sort-poly-spec-def poly-list-rel-def sorted-repeat-poly-list-rel-wrt-def*

**by** *refine-rcg* (*auto intro: H*)

qed

## 9.2 Multiplication

**fun** *mult-monoms* ::  $\langle \text{term-poly-list} \Rightarrow \text{term-poly-list} \Rightarrow \text{term-poly-list} \rangle$  **where**

```

   $\langle \text{mult-monoms } p [] = p \rangle \mid$ 
   $\langle \text{mult-monoms } [] p = p \rangle \mid$ 
   $\langle \text{mult-monoms } (x \# p) (y \# q) =$ 
    (if  $x = y$  then  $x \# \text{mult-monoms } p q$ 
     else if  $(x, y) \in \text{var-order-rel}$  then  $x \# \text{mult-monoms } p (y \# q)$ 
     else  $y \# \text{mult-monoms } (x \# p) q \rangle$ 

```

**lemma** *term-poly-list-rel-empty-iff*[simp]:

```

   $\langle [], q' \rangle \in \text{term-poly-list-rel} \iff q' = \{\#\}$ 
  by (auto simp: term-poly-list-rel-def)

```

**lemma** *term-poly-list-rel-Cons-iff*:

```

   $\langle y \# p, p' \rangle \in \text{term-poly-list-rel} \iff$ 
     $(p, \text{remove1-mset } y p') \in \text{term-poly-list-rel} \wedge$ 
     $y \in \# p' \wedge y \notin \text{set } p \wedge y \notin \# \text{remove1-mset } y p' \wedge$ 
     $(\forall x \in \# \text{mset } p. (y, x) \in \text{var-order-rel})$ 
  apply (auto simp: term-poly-list-rel-def rel2p-def dest!: multi-member-split)
  by (metis list.set-intros(1) list-of-mset-exi mset.simps(2) mset-eq-setD)

```

**lemma** *var-order-rel-antisym*[simp]:

```

   $\langle y, y \rangle \notin \text{var-order-rel}$ 
  by (simp add: less-than-char-def lexord-irreflexive var-order-rel-def)

```

**lemma** *term-poly-list-rel-remdups-mset*:

```

   $\langle p, p' \rangle \in \text{term-poly-list-rel} \implies$ 
   $(p, \text{remdups-mset } p') \in \text{term-poly-list-rel}$ 
  by (auto simp: term-poly-list-rel-def distinct-mset-remdups-mset-id simp flip: distinct-mset-mset-distinct)

```

**lemma** *var-notin-notin-mult-monomsD*:

```

   $\langle y \in \text{set } (\text{mult-monoms } p q) \implies y \in \text{set } p \vee y \in \text{set } q \rangle$ 
  by (induction p q arbitrary: p' q' rule: mult-monoms.induct) (auto split: if-splits)

```

**lemma** *term-poly-list-rel-set-mset*:

```

   $\langle p, q \rangle \in \text{term-poly-list-rel} \implies \text{set } p = \text{set-mset } q$ 
  by (auto simp: term-poly-list-rel-def)

```

**lemma** *mult-monoms-spec*:

```

   $\langle (\text{mult-monoms}, (\lambda a b. \text{remdups-mset } (a + b))) \rangle \in \text{term-poly-list-rel} \rightarrow \text{term-poly-list-rel} \rightarrow \text{term-poly-list-rel}$ 
  apply (intro fun-relI)
  apply (rename-tac p p' q q')
  subgoal for  $p p' q q'$ 
    apply (induction p q arbitrary: p' q' rule: mult-monoms.induct)
    subgoal by (auto simp: term-poly-list-rel-Cons-iff rel2p-def term-poly-list-rel-remdups-mset)
    subgoal for  $x p p' q'$ 
      by (auto simp: term-poly-list-rel-Cons-iff rel2p-def term-poly-list-rel-remdups-mset
        dest!: multi-member-split[of - q'])
    subgoal premises  $p$  for  $x p y q p' q'$ 
      apply (cases  $x = y$ )
      subgoal
        using  $p(1)[\text{of } (\text{remove1-mset } y p') (\text{remove1-mset } y q')] p(4-)$ 
        apply (auto simp: term-poly-list-rel-Cons-iff rel2p-def)

```

```

    dest!: var-notin-notin-mult-monomysD
    dest!: multi-member-split)
  by (metis set-mset-remdups-mset union-iff union-single-eq-member)
apply (cases ⟨(x, y) ∈ var-order-rel⟩)
subgoal
  using p(2)[of ⟨remove1-mset x p'⟩ q'⟩] p(4-)
  apply (auto simp: term-poly-list-rel-Cons-iff
    term-poly-list-rel-set-mset rel2p-def var-order-rel-def
    dest!: multi-member-split[of - p'] multi-member-split[of - q']
    var-notin-notin-mult-monomysD
    split: if-splits)
  apply (meson lexord-cons-cons list.inject total-on-lexord-less-than-char-linear)
  apply (meson lexord-cons-cons list.inject total-on-lexord-less-than-char-linear)
  apply (meson lexord-cons-cons list.inject total-on-lexord-less-than-char-linear)
  using lexord-trans trans-less-than-char var-order-rel-antisym
  unfolding var-order-rel-def apply blast+
done
subgoal
  using p(3)[of ⟨p'⟩ ⟨remove1-mset y q'⟩] p(4-)
  apply (auto simp: term-poly-list-rel-Cons-iff rel2p-def
    term-poly-list-rel-set-mset rel2p-def var-order-rel-antisym
    dest!: multi-member-split[of - p'] multi-member-split[of - q']
    var-notin-notin-mult-monomysD
    split: if-splits)
  using lexord-trans trans-less-than-char var-order-rel-antisym
  unfolding var-order-rel-def apply blast
  apply (meson lexord-cons-cons list.inject total-on-lexord-less-than-char-linear)
  by (meson less-than-char-linear lexord-linear lexord-trans trans-less-than-char)
done
done
done

definition mult-monomials :: ⟨term-poly-list × int ⇒ term-poly-list × int ⇒ term-poly-list × int⟩ where
  ⟨mult-monomials = (λ(x, a) (y, b). (mult-monomys x y, a * b))⟩

definition mult-poly-raw :: ⟨llist-polynomial ⇒ llist-polynomial ⇒ llist-polynomial⟩ where
  ⟨mult-poly-raw p q = foldl (λb x. map (mult-monomials x) q @ b) [] p⟩

fun map-append where
  ⟨map-append f b [] = b⟩ |
  ⟨map-append f b (x # xs) = f x # map-append f b xs⟩

lemma map-append-alt-def:
  ⟨map-append f b xs = map f xs @ b⟩
by (induction f b xs rule: map-append.induct)
  auto

lemma foldl-append-empty:
  ⟨NO-MATCH [] xs ⇒ foldl (λb x. f x @ b) xs p = foldl (λb x. f x @ b) [] p @ xs⟩
apply (induction p arbitrary: xs)
apply simp
by (metis (mono-tags, lifting) NO-MATCH-def append.assoc append-self-conv foldl-Cons)

```

**lemma** *poly-list-rel-empty-iff*[simp]:

$\langle \langle \emptyset, r \rangle \in \text{poly-list-rel } R \iff r = \{\#\} \rangle$

**by** (auto simp: *poly-list-rel-def list-mset-rel-def br-def*)

**lemma** *mult-poly-raw-simp*[simp]:

$\langle \text{mult-poly-raw } \emptyset \ q = \emptyset \rangle$

$\langle \text{mult-poly-raw } (x \# p) \ q = \text{mult-poly-raw } p \ q \ @ \ \text{map } (\text{mult-monomials } x) \ q \rangle$

**subgoal by** (auto simp: *mult-poly-raw-def*)

**subgoal by** (induction p) (auto simp: *mult-poly-raw-def foldl-append-empty*)

**done**

**lemma** *sorted-poly-list-relD*:

$\langle (q, q') \in \text{sorted-poly-list-rel } R \implies q' = (\lambda(a, b). (\text{mset } a, b)) \ \#\ \text{mset } q \rangle$

**apply** (induction q arbitrary: q')

**apply** (auto simp: *sorted-poly-list-rel-wrt-def list-mset-rel-def br-def*

*list-rel-split-right-iff*)

**apply** (subst (asm)(2) *term-poly-list-rel-def*)

**apply** (simp add: *relcomp.relcompI*)

**done**

**lemma** *list-all2-in-set-ExD*:

$\langle \text{list-all2 } R \ p \ q \implies x \in \text{set } p \implies \exists y \in \text{set } q. R \ x \ y \rangle$

**by** (induction p q rule: *list-all2-induct*)

*auto*

**inductive-cases** *mult-poly-p-elim*:  $\langle \text{mult-poly-p } q \ (A, r) \ (B, r') \rangle$

**lemma** *mult-poly-p-add-mset-same*:

$\langle (\text{mult-poly-p } q')^{**} \ (A, r) \ (B, r') \implies (\text{mult-poly-p } q')^{**} \ (\text{add-mset } x \ A, r) \ (\text{add-mset } x \ B, r') \rangle$

**apply** (induction rule: *rtranclp-induct*[of  $\langle \text{mult-poly-p } q' \rangle \langle (p, r) \rangle \langle (p', q') \rangle$  **for**  $p' \ q'$ , *split-format(complete)*])

**apply** (auto elim!: *mult-poly-p-elim intro: mult-poly-p.intros*)

**by** (smt *add-mset-commute mult-step rtranclp.rtrancl-into-rtrancl*)

**lemma** *mult-poly-raw-mult-poly-p*:

**assumes**  $\langle (p, p') \in \text{sorted-poly-rel} \rangle$  **and**  $\langle (q, q') \in \text{sorted-poly-rel} \rangle$

**shows**  $\langle \exists r. (\text{mult-poly-raw } p \ q, r) \in \text{unsorted-poly-rel} \wedge (\text{mult-poly-p } q')^{**} \ (p', \{\#\}) \ (\{\#\}, r) \rangle$

**proof** –

**have** *H*:  $\langle (q, q') \in \text{sorted-poly-list-rel term-order} \implies n < \text{length } q \implies$

*distinct aa*  $\implies \text{sorted-wrt var-order } aa \implies$

$(\text{mult-monoms } aa \ (\text{fst } (q \ ! \ n)),$

$\text{mset } (\text{mult-monoms } aa \ (\text{fst } (q \ ! \ n))))$

$\in \text{term-poly-list-rel} \rangle$  **for** *aa n*

**using** *mult-monoms-spec*[*unfolded fun-rel-def, simplified*] **apply** –

**apply** (*drule bspec*[of - -  $\langle (aa, (\text{mset } aa)) \rangle$ ])

**apply** (auto simp: *term-poly-list-rel-def*)[]

**unfolding** *prod.case sorted-poly-list-rel-wrt-def*

**apply** *clarsimp*

**subgoal for** *y*

**apply** (*drule bspec*[of - -  $\langle (\text{fst } (q \ ! \ n), \text{mset } (\text{fst } (q \ ! \ n))) \rangle$ ])

**apply** (*cases*  $\langle q \ ! \ n \rangle$ ; *cases*  $\langle y \ ! \ n \rangle$ )

**using** *param-nth*[of *n y n q*  $\langle \text{term-poly-list-rel } \times_r \ \text{int-rel} \rangle$ ]

**by** (auto simp: *list-rel-imp-same-length term-poly-list-rel-def*)

**done**

**have** *H'*:  $\langle (q, q') \in \text{sorted-poly-list-rel term-order} \implies$

```

distinct aa  $\implies$  sorted-wrt var-order aa  $\implies$ 
(ab, ba)  $\in$  set q  $\implies$ 
  remdups-mset (mset aa + mset ab) = mset (mult-monoms aa ab) for aa n ab ba
using mult-monoms-spec[unfolded fun-rel-def, simplified] apply -
apply (drule bspec[of - -  $\langle$ (aa, (mset aa)) $\rangle$ ])
apply (auto simp: term-poly-list-rel-def)[]
unfolding prod.case sorted-poly-list-rel-wrt-def
apply clarsimp
subgoal for y
  apply (drule bspec[of - -  $\langle$ (ab, mset ab) $\rangle$ ])
  apply (auto simp: list-rel-imp-same-length term-poly-list-rel-def list-rel-def
    dest: list-all2-in-set-ExD)
done
done

have H:  $\langle$ (q, q')  $\in$  sorted-poly-list-rel term-order  $\implies$ 
  a = (aa, b)  $\implies$ 
  (pq, r)  $\in$  unsorted-poly-rel  $\implies$ 
  p' = add-mset (mset aa, b) A  $\implies$ 
   $\forall x \in$  set p. term-order aa (fst x)  $\implies$ 
  sorted-wrt var-order aa  $\implies$ 
  distinct aa  $\implies$  b  $\neq$  0  $\implies$ 
  ( $\bigwedge$ aaa. (aaa, 0)  $\notin$  # q')  $\implies$ 
  (pq @
    map (mult-monomials (aa, b)) q,
    {#case x of (ys, n)  $\Rightarrow$  (remdups-mset (mset aa + ys), n * b)
     . x  $\in$  # q'#} +
    r)
   $\in$  unsorted-poly-rel for a p p' pq aa b r
apply (auto simp: poly-list-rel-def)
apply (rule-tac b =  $\langle$ y @ map ( $\lambda$ (a,b). (mset a, b)) (map (mult-monomials (aa, b)) q) $\rangle$  in relcompI)
apply (auto simp: list-rel-def list-all2-append list-all2-lengthD H
  list-mset-rel-def br-def mult-monomials-def case-prod-beta intro!: list-all2-all-nthI
  simp: sorted-poly-list-relD)
apply (subst sorted-poly-list-relD[of q q' term-order])
apply (auto simp: case-prod-beta H' intro!: image-mset-cong)
done

show ?thesis
using assms
apply (induction p arbitrary: p')
subgoal
  by auto
subgoal premises p for a p p'
  using p(1)[of  $\langle$ remove1-mset (mset (fst a), snd a) p' $\rangle$ ] p(2-)
  apply (cases a)
  apply (auto simp: sorted-poly-list-rel-Cons-iff
    dest!: multi-member-split)
  apply (rule-tac x =  $\langle$ ( $\lambda$ (ys, n). (remdups-mset (mset (fst a) + ys), n * snd a)) ' $\#$  q' + r' $\rangle$  in exI)
  apply (auto 5 3 intro: mult-poly-p.intros simp: intro!: H
    dest: sorted-poly-list-rel-nonzeroD nonzero-coeffsD)
  apply (rule rtranclp-trans)
  apply (rule mult-poly-p-add-mset-same)
  apply assumption
  apply (rule converse-rtranclp-into-rtranclp)

```

```

    apply (auto intro!: mult-poly-p.intros simp: ac-simps)
  done
done
qed

```

```

fun merge-coeffs :: ⟨llist-polynomial ⇒ llist-polynomial⟩ where
  ⟨merge-coeffs [] = []⟩ |
  ⟨merge-coeffs [(x, n)] = [(x, n)]⟩ |
  ⟨merge-coeffs ((x, n) # (y, m) # p) =
    (if x = y
     then if n + m ≠ 0 then merge-coeffs ((x, n + m) # p) else merge-coeffs p
     else (x, n) # merge-coeffs ((y, m) # p))⟩

abbreviation (in -)mononoms :: ⟨llist-polynomial ⇒ term-poly-list set⟩ where
  ⟨mononoms p ≡ fst 'set p⟩

```

```

lemma fst-normalize-polynomial-subset:
  ⟨mononoms (merge-coeffs p) ⊆ mononoms p⟩
by (induction p rule: merge-coeffs.induct) auto

```

```

lemma fst-normalize-polynomial-subsetD:
  ⟨(a, b) ∈ set (merge-coeffs p) ⇒ a ∈ mononoms p⟩
apply (induction p rule: merge-coeffs.induct)
subgoal
  by auto
subgoal
  by auto
subgoal
  by (auto split: if-splits)
done

```

```

lemma distinct-merge-coeffs:
  assumes ⟨sorted-wrt R (map fst xs)⟩ and ⟨transp R⟩ ⟨antisym R⟩
  shows ⟨distinct (map fst (merge-coeffs xs))⟩
  using assms
  by (induction xs rule: merge-coeffs.induct)
    (auto 5 4 dest: antisymD dest!: fst-normalize-polynomial-subsetD)

```

```

lemma in-set-merge-coeffsD:
  ⟨(a, b) ∈ set (merge-coeffs p) ⇒ ∃ b. (a, b) ∈ set p⟩
  by (auto dest!: fst-normalize-polynomial-subsetD)

```

```

lemma rtrancp-normalize-poly-add-mset:
  ⟨normalize-poly-p** A r ⇒ normalize-poly-p** (add-mset x A) (add-mset x r)⟩
  by (induction rule: rtrancp-induct)
    (auto dest: normalize-poly-p.keep-coeff[of - - x])

```

```

lemma nonzero-coeffs-diff:
  ⟨nonzero-coeffs A ⇒ nonzero-coeffs (A - B)⟩
  by (auto simp: nonzero-coeffs-def dest: in-diffD)

```

```

lemma merge-coeffs-is-normalize-poly-p:

```

$\langle (xs, ys) \in \text{sorted-repeat-poly-rel} \implies \exists r. (\text{merge-coeffs } xs, r) \in \text{sorted-poly-rel} \wedge \text{normalize-poly-p}^{**} ys \rangle$   
 $r)$   
**apply** (*induction*  $xs$  arbitrary:  $ys$  rule: *merge-coeffs.induct*)  
**subgoal by** (*auto simp: sorted-repeat-poly-list-rel-wrt-def sorted-poly-list-rel-wrt-def*)  
**subgoal**  
**by** (*auto simp: sorted-repeat-poly-list-rel-wrt-def sorted-poly-list-rel-wrt-def*)  
**subgoal premises**  $p$  **for**  $xs\ n\ ys\ m\ p\ ysa$   
**apply** (*cases*  $\langle xs = ys \rangle$ , *cases*  $\langle m+n \neq 0 \rangle$ )  
**subgoal**  
**using**  $p(1)$  [*of*  $\langle \text{add-mset } (mset\ ys, m+n)\ ysa - \{\#(mset\ ys, m), (mset\ ys, n)\# \} \rangle$ ]  $p(4-)$   
**apply** (*auto simp: sorted-poly-list-rel-Cons-iff ac-simps add-mset-commute*  
*remove1-mset-add-mset-If nonzero-coeffs-diff sorted-repeat-poly-list-rel-Cons-iff*)  
**apply** (*rule-tac*  $x = \langle r \rangle$  **in**  $exI$ )  
**using** *normalize-poly-p.merge-dup-coeff* [*of*  $\langle ysa - \{\#(mset\ ys, m), (mset\ ys, n)\# \} \rangle \langle ysa - \{\#(mset\ ys, m), (mset\ ys, n)\# \} \rangle \langle mset\ ys \rangle\ m\ n$ ]  
**apply** (*auto dest!: multi-member-split simp del: normalize-poly-p.merge-dup-coeff*)  
**by** (*metis add-mset-commute converse-rtranclp-into-rtranclp*)  
**subgoal**  
**using**  $p(2)$  [*of*  $\langle ysa - \{\#(mset\ ys, m), (mset\ ys, n)\# \} \rangle$ ]  $p(4-)$   
**apply** (*auto simp: sorted-poly-list-rel-Cons-iff ac-simps add-mset-commute*  
*remove1-mset-add-mset-If nonzero-coeffs-diff sorted-repeat-poly-list-rel-Cons-iff*)  
**apply** (*rule-tac*  $x = \langle r \rangle$  **in**  $exI$ )  
**using** *normalize-poly-p.rem-0-coeff* [*of*  $\langle \text{add-mset } (mset\ ys, m+n)\ ysa - \{\#(mset\ ys, m), (mset\ ys, n)\# \} \rangle \langle \text{add-mset } (mset\ ys, m+n)\ ysa - \{\#(mset\ ys, m), (mset\ ys, n)\# \} \rangle \langle mset\ ys \rangle$ ]  
**using** *normalize-poly-p.merge-dup-coeff* [*of*  $\langle ysa - \{\#(mset\ ys, m), (mset\ ys, n)\# \} \rangle \langle ysa - \{\#(mset\ ys, m), (mset\ ys, n)\# \} \rangle \langle mset\ ys \rangle\ m\ n$ ]  
**apply** (*auto intro: normalize-poly-p.intros add-mset-commute add-mset-commute converse-rtranclp-into-rtranclp*  
*dest!: multi-member-split*  
*simp del: normalize-poly-p.rem-0-coeff*  
*simp: add-eq-0-iff2*)  
**by** (*metis (full-types) add.right-inverse converse-rtranclp-into-rtranclp merge-dup-coeff normalize-poly-p.rem-0-coeff*  
*same*)  
**subgoal**  
**using**  $p(3)$  [*of*  $\langle \text{add-mset } (mset\ ys, m)\ ysa - \{\#(mset\ xs, n), (mset\ ys, m)\# \} \rangle$ ]  $p(4-)$   
**apply** (*auto simp: sorted-poly-list-rel-Cons-iff ac-simps add-mset-commute*  
*remove1-mset-add-mset-If sorted-repeat-poly-list-rel-Cons-iff*)  
**apply** (*rule-tac*  $x = \langle \text{add-mset } (mset\ xs, n)\ r \rangle$  **in**  $exI$ )  
**apply** (*auto dest!: in-set-merge-coeffsD*)  
**apply** (*auto intro: normalize-poly-p.intros rtranclp-normalize-poly-add-mset*  
*simp: rel2p-def var-order-rel-def*  
*dest!: multi-member-split*  
*dest: sorted-poly-list-rel-nonzeroD*)  
**using** *total-on-lexord-less-than-char-linear* **apply** *fastforce*  
**using** *total-on-lexord-less-than-char-linear* **apply** *fastforce*  
**done**  
**done**  
**done**

### 9.3 Normalisation

**definition** *normalize-poly* **where**

$\langle \text{normalize-poly } p = \text{do } \{$   
 $\quad p \leftarrow \text{sort-poly-spec } p;$   
 $\quad \text{RETURN } (\text{merge-coeffs } p)$   
 $\} \rangle$

**definition** *sort-coeff*  $:: \langle \text{string list} \Rightarrow \text{string list nres} \rangle$  **where**



$\langle \text{sort-coeff } ys = \text{SPEC}(\lambda xs. \text{mset } xs = \text{mset } ys \wedge \text{sorted-wrt } (\text{rel2p } (\text{Id} \cup \text{var-order-rel})) \text{ } xs) \rangle$

**lemma** *distinct-var-order-Id-var-order*:

$\langle \text{distinct } a \implies \text{sorted-wrt } (\text{rel2p } (\text{Id} \cup \text{var-order-rel})) \text{ } a \implies$   
 $\text{sorted-wrt } \text{var-order } a \rangle$

**by** (*induction*  $a$ ) (*auto simp*: *rel2p-def*)

**definition** *sort-all-coeffs* ::  $\langle \text{llist-polynomial} \Rightarrow \text{llist-polynomial nres} \rangle$  **where**

$\langle \text{sort-all-coeffs } xs = \text{monadic-nfoldli } xs \text{ } (\lambda -. \text{RETURN True}) \text{ } (\lambda(a, n) \text{ } b. \text{do } \{a \leftarrow \text{sort-coeff } a; \text{RETURN } ((a, n) \# b)\}) \text{ } [] \rangle$

**lemma** *sort-all-coeffs-gen*:

**assumes**  $\langle (\forall xs \in \text{mononoms } xs'. \text{sorted-wrt } (\text{rel2p } (\text{var-order-rel})) \text{ } xs) \rangle$  **and**

$\langle \forall x \in \text{mononoms } (xs @ xs'). \text{distinct } x \rangle$

**shows**  $\langle \text{monadic-nfoldli } xs \text{ } (\lambda -. \text{RETURN True}) \text{ } (\lambda(a, n) \text{ } b. \text{do } \{a \leftarrow \text{sort-coeff } a; \text{RETURN } ((a, n) \# b)\}) \text{ } xs' \leq$

$\Downarrow \text{Id } (\text{SPEC}(\lambda ys. \text{map } (\lambda(a, b). (\text{mset } a, b)) (\text{rev } xs @ xs') = \text{map } (\lambda(a, b). (\text{mset } a, b)) (ys) \wedge$   
 $(\forall xs \in \text{mononoms } ys. \text{sorted-wrt } (\text{rel2p } (\text{var-order-rel})) \text{ } xs))) \rangle$

**using** *assms*

**unfolding** *sort-all-coeffs-def sort-coeff-def*

**apply** (*induction*  $xs$  *arbitrary*:  $xs'$ )

**subgoal**

**using** *assms*

**by** *auto*

**subgoal premises**  $p$  **for**  $a \text{ } xs$

**using**  $p(2-)$

**apply** (*cases*  $a$ , *simp only*: *monadic-nfoldli-simp bind-to-let-conv Let-def if-True Refine-Basic.nres-monad3*  
*intro-spec-refine-iff prod.case*)

**apply** (*auto* 5 3 *simp*: *intro-spec-refine-iff image-Un*

*dest*: *same-mset-distinct-iff*

*intro!*:  $p(1)[\text{THEN } \text{order-trans}]$  *distinct-var-order-Id-var-order*)

**apply** (*metis* *UnCI fst-eqD rel2p-def sorted-wrt-mono-rel*)

**done**

**done**

**definition** *shuffle-coefficients* **where**

$\langle \text{shuffle-coefficients } xs = (\text{SPEC}(\lambda ys. \text{map } (\lambda(a, b). (\text{mset } a, b)) (\text{rev } xs) = \text{map } (\lambda(a, b). (\text{mset } a, b))$   
 $ys \wedge$   
 $(\forall xs \in \text{mononoms } ys. \text{sorted-wrt } (\text{rel2p } (\text{var-order-rel})) \text{ } xs))) \rangle$

**lemma** *sort-all-coeffs*:

$\langle \forall x \in \text{mononoms } xs. \text{distinct } x \implies$

$\text{sort-all-coeffs } xs \leq \Downarrow \text{Id } (\text{shuffle-coefficients } xs) \rangle$

**unfolding** *sort-all-coeffs-def shuffle-coefficients-def*

**by** (*rule* *sort-all-coeffs-gen*  $[\text{THEN } \text{order-trans}]$ )

*auto*

**lemma** *unsorted-term-poly-list-rel-mset*:

$\langle (ys, aa) \in \text{unsorted-term-poly-list-rel} \implies \text{mset } ys = aa \rangle$

**by** (*auto simp*: *unsorted-term-poly-list-rel-def*)

**lemma** *RETURN-map-alt-def*:

$\langle \text{RETURN } o \text{ } (\text{map } f) =$

$\text{REC}_T \text{ } (\lambda g \text{ } xs.$

$\text{case } xs \text{ of}$

```

  []  $\Rightarrow$  RETURN []
  |  $x \# xs \Rightarrow do \{xs \leftarrow g \ x; RETURN (f \ x \# \ xs)\}$ 
unfolding comp-def
apply (subst eq-commute)
apply (intro ext)
apply (induct-tac x)
subgoal
  apply (subst RECT-unfold)
  apply refine-mono
  apply auto
  done
subgoal
  apply (subst RECT-unfold)
  apply refine-mono
  apply auto
  done
done

```

**lemma** fully-unsorted-poly-rel-Cons-iff:

```

 $\langle (ys, n) \# p, a \rangle \in \text{fully-unsorted-poly-rel} \longleftrightarrow$ 
   $\langle p, \text{remove1-mset} (\text{mset } ys, n) \ a \rangle \in \text{fully-unsorted-poly-rel} \wedge$ 
   $\langle \text{mset } ys, n \rangle \in \# \ a \wedge \text{distinct } ys$ 
apply (auto simp: poly-list-rel-def list-rel-split-right-iff list-mset-rel-def br-def
  unsorted-term-poly-list-rel-def
  nonzero-coeffs-def fully-unsorted-poly-list-rel-def dest!: multi-member-split)
apply blast
apply (rule-tac b =  $\langle \text{mset } ys, n \rangle \# y$  in relcompI)
apply auto
done

```

**lemma** map-mset-unsorted-term-poly-list-rel:

```

 $\langle \bigwedge a. a \in \text{mononoms } s \implies \text{distinct } a \rangle \implies \forall x \in \text{mononoms } s. \text{distinct } x \implies$ 
   $\langle \forall xs \in \text{mononoms } s. \text{sorted-wrt } (\text{rel2p } (Id \cup \text{var-order-rel})) \ xs \rangle \implies$ 
   $\langle s, \text{map } (\lambda(a, y). (\text{mset } a, y)) \ s \rangle$ 
   $\in \langle \text{term-poly-list-rel} \times_r \text{int-rel} \rangle \text{list-rel}$ 
by (induction s) (auto simp: term-poly-list-rel-def
  distinct-var-order-Id-var-order)

```

**lemma** list-rel-unsorted-term-poly-list-relD:

```

 $\langle p, y \rangle \in \langle \text{unsorted-term-poly-list-rel} \times_r \text{int-rel} \rangle \text{list-rel} \implies$ 
   $\text{mset } y = (\lambda(a, y). (\text{mset } a, y)) \ ' \# \ \text{mset } p \wedge (\forall x \in \text{mononoms } p. \text{distinct } x)$ 
by (induction p arbitrary: y)
  (auto simp: list-rel-split-right-iff
  unsorted-term-poly-list-rel-def)

```

**lemma** shuffle-terms-distinct-iff:

```

assumes  $\langle \text{map } (\lambda(a, y). (\text{mset } a, y)) \ p = \text{map } (\lambda(a, y). (\text{mset } a, y)) \ s \rangle$ 
shows  $\langle (\forall x \in \text{set } p. \text{distinct } (\text{fst } x)) \longleftrightarrow (\forall x \in \text{set } s. \text{distinct } (\text{fst } x)) \rangle$ 

```

**proof** –

```

have  $\langle \forall x \in \text{set } s. \text{distinct } (\text{fst } x) \rangle$ 
  if  $m: \langle \text{map } (\lambda(a, y). (\text{mset } a, y)) \ p = \text{map } (\lambda(a, y). (\text{mset } a, y)) \ s \rangle$  and
   $\text{dist}: \langle \forall x \in \text{set } p. \text{distinct } (\text{fst } x) \rangle$ 
  for  $s \ p$ 
proof standard+

```

```

fix  $x$ 
assume  $x$ :  $\langle x \in \text{set } s \rangle$ 
obtain  $v\ n$  where  $[simp]$ :  $\langle x = (v, n) \rangle$  by  $(\text{cases } x)$ 
then have  $\langle (mset\ v, n) \in \text{set } (\text{map } (\lambda(a, y). (mset\ a, y))\ p) \rangle$ 
  using  $x$  unfolding  $m$  by  $\text{auto}$ 
then obtain  $v'$  where
   $\langle (v', n) \in \text{set } p \rangle$  and
   $\langle mset\ v' = mset\ v \rangle$ 
  by  $(\text{auto simp: image-iff})$ 
then show  $\langle \text{distinct } (fst\ x) \rangle$ 
  using  $dist$  by  $(metis\ \langle x = (v, n) \rangle\ \text{distinct-mset-mset-distinct}\ fst\ conv)$ 
qed
from  $this[of\ p\ s]\ this[of\ s\ p]$ 
show  $\langle ?thesis \rangle$ 
  unfolding  $assms$ 
  by  $blast$ 
qed

```

**lemma**

```

 $\langle (p, y) \in \langle \text{unsorted-term-poly-list-rel} \times_r \text{int-rel} \rangle \text{list-rel} \implies$ 
   $(a, b) \in \text{set } p \implies \text{distinct } a \rangle$ 
using  $\text{list-rel-unsorted-term-poly-list-relD}$  by  $\text{fastforce}$ 

```

**lemma**  $\text{sort-all-coeffs-unsorted-poly-rel-with0}$ :

```

assumes  $\langle (p, p') \in \text{fully-unsorted-poly-rel} \rangle$ 
shows  $\langle \text{sort-all-coeffs } p \leq \Downarrow (\text{unsorted-poly-rel-with0}) (\text{RETURN } p') \rangle$ 

```

**proof** –

```

have  $\langle (\text{map } (\lambda(a, y). (mset\ a, y))\ (\text{rev } p)) =$ 
   $\text{map } (\lambda(a, y). (mset\ a, y))\ s \longleftrightarrow$ 
   $(\text{map } (\lambda(a, y). (mset\ a, y))\ p) =$ 
   $\text{map } (\lambda(a, y). (mset\ a, y))\ (\text{rev } s) \rangle$  for  $s$ 
apply  $(\text{auto simp flip: rev-map})$ 
by  $(metis\ rev-rev-ident)$ 
show  $?thesis$ 
apply  $(\text{rule } \text{sort-all-coeffs}[THEN\ \text{order-trans}])$ 
using  $assms$ 
apply  $(\text{auto simp: shuffle-coefficients-def poly-list-rel-def}$ 
   $\text{RETURN-def fully-unsorted-poly-list-rel-def list-mset-rel-def}$ 
   $\text{br-def dest: list-rel-unsorted-term-poly-list-relD}$ 
   $\text{intro!: RES-refine})$ 
apply  $(\text{rule-tac } b = \langle \text{map } (\lambda(a, y). (mset\ a, y))\ (\text{rev } p) \rangle \text{ in } \text{relcompI})$ 
apply  $(\text{auto dest: list-rel-unsorted-term-poly-list-relD}$ 
   $\text{simp:})$ 
apply  $(\text{auto simp: mset-map rev-map}$ 
   $\text{dest!: list-rel-unsorted-term-poly-list-relD}$ 
   $\text{intro!: map-mset-unsorted-term-poly-list-rel})$ 
apply  $(\text{force dest: shuffle-terms-distinct-iff}[THEN\ iffD1])$ 
apply  $(\text{force dest: shuffle-terms-distinct-iff}[THEN\ iffD1])$ 
apply  $(metis\ Un-iff\ fst-conv\ rel2p-def\ sorted-wrt-mono-rel)$ 
by  $(metis\ mset-map\ mset-rev)$ 
qed

```

**lemma**  $\text{sort-poly-spec-id'}$ :

```

assumes  $\langle (p, p') \in \text{unsorted-poly-rel-with0} \rangle$ 
shows  $\langle \text{sort-poly-spec } p \leq \Downarrow (\text{sorted-repeat-poly-rel-with0}) (\text{RETURN } p') \rangle$ 

```

**proof** –

**obtain**  $y$  **where**

$py: \langle (p, y) \in \langle \text{term-poly-list-rel} \times_r \text{int-rel} \rangle \text{list-rel} \rangle$  **and**

$p'-y: \langle p' = \text{mset } y \rangle$

**using**  $\text{assms}$

**unfolding**  $\text{fully-unsorted-poly-list-rel-def poly-list-rel-def sorted-poly-list-rel-wrt-def}$

**by**  $(\text{auto simp: list-mset-rel-def br-def})$

**then have**  $[\text{simp}]: \langle \text{length } y = \text{length } p \rangle$

**by**  $(\text{auto simp: list-rel-def list-all2-conv-all-nth})$

**have**  $H: \langle (x, p') \rangle$

$\in \langle \text{term-poly-list-rel} \times_r \text{int-rel} \rangle \text{list-rel } O \text{ list-mset-rel}$

**if**  $px: \langle \text{mset } p = \text{mset } x \rangle$  **and**  $\langle \text{sorted-wrt } (\text{rel2p } (Id \cup \text{lexord var-order-rel})) (\text{map fst } x) \rangle$

**for**  $x :: \langle \text{list-polynomial} \rangle$

**proof** –

**obtain**  $f$  **where**

$f: \langle \text{bij-betw } f \{ .. < \text{length } x \} \{ .. < \text{length } p \} \rangle$  **and**

$[\text{simp}]: \langle \bigwedge i. i < \text{length } x \implies x ! i = p ! (f i) \rangle$

**using**  $px$  **apply** – **apply**  $(\text{subst } (\text{asm})(2) \text{ eq-commute})$  **unfolding**  $\text{mset-eq-perm}$

**by**  $(\text{auto dest!: permutation-Ex-bij})$

**let**  $?y = \langle \text{map } (\lambda i. y ! f i) [0 .. < \text{length } x] \rangle$

**have**  $\langle i < \text{length } y \implies (p ! f i, y ! f i) \in \text{term-poly-list-rel} \times_r \text{int-rel} \rangle$  **for**  $i$

**using**  $\text{list-all2-nthD}[of - p y]$

$\langle f i, OF py[\text{unfolded list-rel-def mem-Collect-eq prod.case}] \rangle$

$\text{mset-eq-length}[OF px] f$

**by**  $(\text{auto simp: list-rel-def list-all2-conv-all-nth bij-betw-def})$

**then have**  $\langle (x, ?y) \in \langle \text{term-poly-list-rel} \times_r \text{int-rel} \rangle \text{list-rel} \rangle$  **and**

$xy: \langle \text{length } x = \text{length } y \rangle$

**using**  $py \text{ list-all2-nthD}[of \langle \text{rel2p } (\text{term-poly-list-rel} \times_r \text{int-rel}) \rangle p y]$

$\langle f i \text{ for } i, \text{simplified} \rangle \text{mset-eq-length}[OF px]$

**by**  $(\text{auto simp: list-rel-def list-all2-conv-all-nth})$

**moreover** {

**have**  $f: \langle \text{mset-set } \{0 .. < \text{length } x\} = f \# \text{mset-set } \{0 .. < \text{length } x\} \rangle$

**using**  $f \text{mset-eq-length}[OF px]$

**by**  $(\text{auto simp: bij-betw-def lessThan-atLeast0 image-mset-mset-set})$

**have**  $\langle \text{mset } y = \{ \# y ! f x. x \in \# \text{mset-set } \{0 .. < \text{length } x\} \# \} \rangle$

**by**  $(\text{subst drop-0}[\text{symmetric}], \text{subst mset-drop-upto}, \text{subst xy}[\text{symmetric}], \text{subst } f)$

$\text{auto}$

**then have**  $\langle (?y, p') \in \text{list-mset-rel} \rangle$

**by**  $(\text{auto simp: list-mset-rel-def br-def } p'-y)$

}

**ultimately show**  $?thesis$

**by**  $(\text{auto intro!: relcompI}[of - ?y])$

**qed**

**show**  $?thesis$

**unfolding**  $\text{sort-poly-spec-def poly-list-rel-def sorted-repeat-poly-list-rel-with0-wrt-def}$

**by**  $\text{refine-rcg } (\text{auto intro: } H)$

**qed**

**fun**  $\text{merge-coeffs0} :: \langle \text{list-polynomial} \Rightarrow \text{list-polynomial} \rangle$  **where**

$\langle \text{merge-coeffs0 } [] = [] \rangle$  |

$\langle \text{merge-coeffs0 } [(xs, n)] = (\text{if } n = 0 \text{ then } [] \text{ else } [(xs, n)]) \rangle$  |

$\langle \text{merge-coeffs0 } ((xs, n) \# (ys, m) \# p) =$

$(\text{if } xs = ys$

$\text{then if } n + m \neq 0 \text{ then merge-coeffs0 } ((xs, n + m) \# p) \text{ else merge-coeffs0 } p$

else if  $n = 0$  then merge-coeffs0  $((ys, m) \# p)$   
 else  $(xs, n) \# \text{merge-coeffs0 } ((ys, m) \# p))$

**lemma** sorted-repeat-poly-list-rel-with0-wrt-ConsD:

$\langle ((ys, n) \# p, a) \in \text{sorted-repeat-poly-list-rel-with0-wrt } S \text{ term-poly-list-rel} \implies$   
 $(p, \text{remove1-mset } (\text{mset } ys, n) a) \in \text{sorted-repeat-poly-list-rel-with0-wrt } S \text{ term-poly-list-rel} \wedge$   
 $(\text{mset } ys, n) \in \# a \wedge (\forall x \in \text{set } p. S \text{ } ys \text{ } (\text{fst } x)) \wedge \text{sorted-wrt } (\text{rel2p var-order-rel}) \text{ } ys \wedge$   
 $\text{distinct } ys \rangle$

**unfolding** sorted-repeat-poly-list-rel-with0-wrt-def prod.case mem-Collect-eq  
 list-rel-def

**apply** (clarsimp)  
**apply** (subst (asm) list.rel-sel)  
**apply** (intro conjI)  
**apply** (rule-tac b =  $\langle \text{tl } y \rangle$  in relcompI)  
**apply** (auto simp: sorted-poly-list-rel-wrt-def list-mset-rel-def br-def)  
**apply** (case-tac  $\langle \text{lead-coeff } y \rangle$ ; case-tac y)  
**apply** (auto simp: term-poly-list-rel-def)  
**apply** (case-tac  $\langle \text{lead-coeff } y \rangle$ ; case-tac y)  
**apply** (auto simp: term-poly-list-rel-def)  
**apply** (case-tac  $\langle \text{lead-coeff } y \rangle$ ; case-tac y)  
**apply** (auto simp: term-poly-list-rel-def)  
**apply** (case-tac  $\langle \text{lead-coeff } y \rangle$ ; case-tac y)  
**apply** (auto simp: term-poly-list-rel-def)  
**done**

**lemma** sorted-repeat-poly-list-rel-with0-wrtl-Cons-iff:

$\langle ((ys, n) \# p, a) \in \text{sorted-repeat-poly-list-rel-with0-wrt } S \text{ term-poly-list-rel} \iff$   
 $(p, \text{remove1-mset } (\text{mset } ys, n) a) \in \text{sorted-repeat-poly-list-rel-with0-wrt } S \text{ term-poly-list-rel} \wedge$   
 $(\text{mset } ys, n) \in \# a \wedge (\forall x \in \text{set } p. S \text{ } ys \text{ } (\text{fst } x)) \wedge \text{sorted-wrt } (\text{rel2p var-order-rel}) \text{ } ys \wedge$   
 $\text{distinct } ys \rangle$

**apply** (rule iffI)

**subgoal**

**by** (auto dest!: sorted-repeat-poly-list-rel-with0-wrt-ConsD)

**subgoal**

**unfolding** sorted-poly-list-rel-wrt-def prod.case mem-Collect-eq  
 list-rel-def sorted-repeat-poly-list-rel-with0-wrt-def

**apply** (clarsimp)

**apply** (rule-tac b =  $\langle (\text{mset } ys, n) \# y \rangle$  in relcompI)

**by** (auto simp: sorted-poly-list-rel-wrt-def list-mset-rel-def br-def  
 term-poly-list-rel-def add-mset-eq-add-mset eq-commute[of -  $\langle \text{mset } - \rangle$ ]  
 nonzero-coeffs-def  
 dest!: multi-member-split)

**done**

**lemma** fst-normalize0-polynomial-subsetD:

$\langle (a, b) \in \text{set } (\text{merge-coeffs0 } p) \implies a \in \text{mononoms } p \rangle$

**apply** (induction p rule: merge-coeffs0.induct)

**subgoal**

**by** auto

**subgoal**

**by** (auto split: if-splits)

**subgoal**

**by** (auto split: if-splits)

**done**

**lemma** *in-set-merge-coeffs0D*:

$\langle (a, b) \in \text{set } (\text{merge-coeffs0 } p) \implies \exists b. (a, b) \in \text{set } p \rangle$   
**by** (*auto dest!: fst-normalize0-polynomial-subsetD*)

**lemma** *merge-coeffs0-is-normalize-poly-p*:

$\langle (xs, ys) \in \text{sorted-repeat-poly-rel-with0} \implies \exists r. (\text{merge-coeffs0 } xs, r) \in \text{sorted-poly-rel} \wedge \text{normalize-poly-p}^{**} \text{ } ys \text{ } r \rangle$

**apply** (*induction xs arbitrary: ys rule: merge-coeffs0.induct*)

**subgoal by** (*auto simp: sorted-repeat-poly-list-rel-wrt-def sorted-poly-list-rel-wrt-def sorted-repeat-poly-list-rel-with0-wrt-def list-mset-rel-def br-def*)

**subgoal for** *xs n ys*

**by** (*force simp: sorted-repeat-poly-list-rel-wrt-def sorted-poly-list-rel-wrt-def sorted-repeat-poly-list-rel-with0-wrt-def list-mset-rel-def br-def list-rel-split-right-iff*)

**subgoal premises** *p for xs n ys m p ysa*

**apply** (*cases ⟨xs = ys⟩, cases ⟨m+n ≠ 0⟩*)

**subgoal**

**using** *p(1)[of ⟨add-mset (mset ys, m+n) ysa - {#(mset ys, m), (mset ys, n)#}⟩] p(5-)*

**apply** (*auto simp: sorted-repeat-poly-list-rel-with0-wrtl-Cons-iff ac-simps add-mset-commute remove1-mset-add-mset-If nonzero-coeffs-diff sorted-repeat-poly-list-rel-Cons-iff*)

**apply** (*auto intro: normalize-poly-p.intros add-mset-commute add-mset-commute converse-rtranclp-into-rtranclp dest!: multi-member-split simp del: normalize-poly-p.merge-dup-coeff*)

**apply** (*rule-tac x = ⟨r⟩ in exI*)

**using** *normalize-poly-p.merge-dup-coeff[of ⟨ysa - {#(mset ys, m), (mset ys, n)#}⟩ ⟨ysa - {#(mset ys, m), (mset ys, n)#}⟩ ⟨mset ys⟩ m n]*

**apply** (*auto intro: normalize-poly-p.intros add-mset-commute add-mset-commute converse-rtranclp-into-rtranclp dest!: multi-member-split simp del: normalize-poly-p.merge-dup-coeff*)

**by** (*metis add-mset-commute converse-rtranclp-into-rtranclp*)

**subgoal**

**using** *p(2)[of ⟨ysa - {#(mset ys, m), (mset ys, n)#}⟩] p(5-)*

**apply** (*auto simp: sorted-repeat-poly-list-rel-with0-wrtl-Cons-iff ac-simps add-mset-commute remove1-mset-add-mset-If nonzero-coeffs-diff sorted-repeat-poly-list-rel-Cons-iff*)

**apply** (*rule-tac x = ⟨r⟩ in exI*)

**using** *normalize-poly-p.rem-0-coeff[of ⟨add-mset (mset ys, m+n) ysa - {#(mset ys, m), (mset ys, n)#}⟩ ⟨add-mset (mset ys, m+n) ysa - {#(mset ys, m), (mset ys, n)#}⟩ ⟨mset ys⟩]*

**using** *normalize-poly-p.merge-dup-coeff[of ⟨ysa - {#(mset ys, m), (mset ys, n)#}⟩ ⟨ysa - {#(mset ys, m), (mset ys, n)#}⟩ ⟨mset ys⟩ m n]*

**apply** (*auto intro: normalize-poly-p.intros add-mset-commute add-mset-commute converse-rtranclp-into-rtranclp dest!: multi-member-split*

*simp del: normalize-poly-p.rem-0-coeff*)

**by** (*metis add-mset-commute converse-rtranclp-into-rtranclp normalize-poly-p.simps*)

**apply** (*cases ⟨n = 0⟩*)

**subgoal**

**using** *p(3)[of ⟨add-mset (mset ys, m) ysa - {#(mset xs, n), (mset ys, m)#}⟩] p(4-)*

**apply** (*auto simp: sorted-repeat-poly-list-rel-with0-wrtl-Cons-iff ac-simps add-mset-commute remove1-mset-add-mset-If sorted-repeat-poly-list-rel-Cons-iff*)

**apply** (*rule-tac x = ⟨r⟩ in exI*)

**apply** (*auto dest!: in-set-merge-coeffsD*)

**apply** (*auto intro: normalize-poly-p.intros rtranclp-normalize-poly-add-mset simp: rel2p-def var-order-rel-def sorted-poly-list-rel-Cons-iff*

*dest!: multi-member-split*)

```

    dest: sorted-poly-list-rel-nonzeroD)
  by (metis converse-rtranclp-into-rtranclp normalize-poly-p.simps)
subgoal
  using p(4)[of ⟨add-mset (mset ys, m) ysa - {#(mset xs, n), (mset ys, m)#}⟩] p(5-)
  apply (auto simp: sorted-repeat-poly-list-rel-with0-wrtl-Cons-iff ac-simps add-mset-commute
    remove1-mset-add-mset-If sorted-repeat-poly-list-rel-Cons-iff)
  apply (rule-tac x = ⟨add-mset (mset xs, n) r⟩ in exI)
  apply (auto dest!: in-set-merge-coeffs0D)
  apply (auto intro: normalize-poly-p.intros rtranclp-normalize-poly-add-mset
    simp: rel2p-def var-order-rel-def sorted-poly-list-rel-Cons-iff
    dest!: multi-member-split
    dest: sorted-poly-list-rel-nonzeroD)
  using in-set-merge-coeffs0D total-on-lexord-less-than-char-linear apply fastforce
  using in-set-merge-coeffs0D total-on-lexord-less-than-char-linear apply fastforce
  done
done
done

definition full-normalize-poly where
  ⟨full-normalize-poly p = do {
    p ← sort-all-coeffs p;
    p ← sort-poly-spec p;
    RETURN (merge-coeffs0 p)
  }⟩

fun sorted-remdups where
  ⟨sorted-remdups (x # y # zs) =
    (if x = y then sorted-remdups (y # zs) else x # sorted-remdups (y # zs))⟩ |
  ⟨sorted-remdups zs = zs⟩

lemma set-sorted-remdups[simp]:
  ⟨set (sorted-remdups xs) = set xs⟩
  by (induction xs rule: sorted-remdups.induct)
  auto

lemma distinct-sorted-remdups:
  ⟨sorted-wrt R xs ⟹ transp R ⟹ Restricted-Predicates.total-on R UNIV ⟹
    antisyp R ⟹ distinct (sorted-remdups xs)⟩
  by (induction xs rule: sorted-remdups.induct)
  (auto dest: antisypD)

lemma full-normalize-poly-normalize-poly-p:
  assumes ⟨(p, p') ∈ fully-unsorted-poly-rel⟩
  shows ⟨full-normalize-poly p ≤  $\Downarrow$  (sorted-poly-rel) (SPEC (λr. normalize-poly-p** p' r))⟩
  (is ⟨?A ≤  $\Downarrow$  ?R ?B⟩)
proof -
  have 1: ⟨?B = do {
    p' ← RETURN p';
    p' ← RETURN p';
    SPEC (λr. normalize-poly-p** p' r)
  }⟩
  by auto
  have [refine0]: ⟨sort-all-coeffs p ≤ SPEC(λp. (p, p') ∈ unsorted-poly-rel-with0)⟩
  by (rule sort-all-coeffs-unsorted-poly-rel-with0[OF assms, THEN order-trans])
  (auto simp: conc-fun-RES RETURN-def)

```

```

have [refine0]:  $\langle \text{sort-poly-spec } p \leq \text{SPEC } (\lambda c. (c, p') \in \text{sorted-repeat-poly-rel-with0}) \rangle$ 
  if  $\langle (p, p') \in \text{unsorted-poly-rel-with0} \rangle$ 
  for  $p \ p'$ 
  by (rule sort-poly-spec-id'[THEN order-trans, OF that])
    (auto simp: conc-fun-RES RETURN-def)
show ?thesis
apply (subst 1)
unfolding full-normalize-poly-def
by (refine-rcg)
  (auto intro!: RES-refine
    dest!: merge-coeffs0-is-normalize-poly-p
    simp: RETURN-def)
qed

```

**definition** *mult-poly-full* ::  $\langle \rightarrow \rangle$  **where**

```

 $\langle \text{mult-poly-full } p \ q = \text{do } \{$ 
   $\text{let } pq = \text{mult-poly-raw } p \ q;$ 
   $\text{normalize-poly } pq$ 
 $\} \rangle$ 

```

**lemma** *normalize-poly-normalize-poly-p*:

**assumes**  $\langle (p, p') \in \text{unsorted-poly-rel} \rangle$   
**shows**  $\langle \text{normalize-poly } p \leq \Downarrow (\text{sorted-poly-rel}) (\text{SPEC } (\lambda r. \text{normalize-poly-p}^{**} p' r)) \rangle$

**proof** –

```

have 1:  $\langle \text{SPEC } (\lambda r. \text{normalize-poly-p}^{**} p' r) = \text{do } \{$ 
   $p' \leftarrow \text{RETURN } p';$ 
   $\text{SPEC } (\lambda r. \text{normalize-poly-p}^{**} p' r)$ 
 $\} \rangle$ 

```

**by** *auto*

**show** ?thesis

**unfolding** *normalize-poly-def*

**apply** (subst 1)

**apply** (refine-rcg sort-poly-spec-id[OF assms]  
 merge-coeffs-is-normalize-poly-p)

**subgoal**

**by** (drule merge-coeffs-is-normalize-poly-p)  
 (auto intro!: RES-refine simp: RETURN-def)

**done**

**qed**

## 9.4 Multiplication and normalisation

**definition** *mult-poly-p'* ::  $\langle \rightarrow \rangle$  **where**

```

 $\langle \text{mult-poly-p}' p' q' = \text{do } \{$ 
   $pq \leftarrow \text{SPEC } (\lambda r. (\text{mult-poly-p } q')^{**} (p', \{\#\}) (\{\#\}, r));$ 
   $\text{SPEC } (\lambda r. \text{normalize-poly-p}^{**} pq r)$ 
 $\} \rangle$ 

```

**lemma** *unsorted-poly-rel-fully-unsorted-poly-rel*:

$\langle \text{unsorted-poly-rel} \subseteq \text{fully-unsorted-poly-rel} \rangle$

**proof** –

**have**  $\langle \text{term-poly-list-rel} \times_r \text{int-rel} \subseteq \text{unsorted-term-poly-list-rel} \times_r \text{int-rel} \rangle$

**by** (auto simp: unsorted-term-poly-list-rel-def term-poly-list-rel-def)

**from** *list-rel-mono*[OF this]

**show** ?thesis

**unfolding** *poly-list-rel-def* *fully-unsorted-poly-list-rel-def*



by (auto simp:)  
qed

**lemma** *mult-poly-full-mult-poly-p'*:  
 assumes  $\langle (p, p') \in \text{sorted-poly-rel} \rangle \langle (q, q') \in \text{sorted-poly-rel} \rangle$   
 shows  $\langle \text{mult-poly-full } p \ q \leq \Downarrow (\text{sorted-poly-rel}) (\text{mult-poly-p'} \ p' \ q') \rangle$   
 unfolding *mult-poly-full-def mult-poly-p'-def*  
 apply (refine-rcg full-normalize-poly-normalize-poly-p  
 normalize-poly-normalize-poly-p)  
 apply (subst RETURN-RES-refine-iff)  
 apply (subst Bex-def)  
 apply (subst mem-Collect-eq)  
 apply (subst conj-commute)  
 apply (rule mult-poly-raw-mult-poly-p[OF assms(1,2)])  
 subgoal  
 by blast  
 done

**definition** *add-poly-spec* ::  $\langle \cdot \rangle$  **where**  
 $\langle \text{add-poly-spec } p \ q = \text{SPEC } (\lambda r. \ p + q - r \in \text{ideal polynomial-bool}) \rangle$

**definition** *add-poly-p'* ::  $\langle \cdot \rangle$  **where**  
 $\langle \text{add-poly-p'} \ p \ q = \text{SPEC}(\lambda r. \ \text{add-poly-p}^{**} \ (p, q, \{\#\}) \ (\{\#\}, \{\#\}, r)) \rangle$

**lemma** *add-poly-l-add-poly-p'*:  
 assumes  $\langle (p, p') \in \text{sorted-poly-rel} \rangle \langle (q, q') \in \text{sorted-poly-rel} \rangle$   
 shows  $\langle \text{add-poly-l } (p, q) \leq \Downarrow (\text{sorted-poly-rel}) (\text{add-poly-p'} \ p' \ q') \rangle$   
 unfolding *add-poly-p'-def*  
 apply (refine-rcg add-poly-l-spec[THEN fref-to-Down-curry-right, THEN order-trans, of - p' q'])  
 subgoal by auto  
 subgoal using assms by auto  
 subgoal  
 by auto  
 done

## 9.5 Correctness

**context** *poly-embed*  
**begin**

**definition** *mset-poly-rel* **where**  
 $\langle \text{mset-poly-rel} = \{(a, b). \ b = \text{polynomial-of-mset } a\} \rangle$

**definition** *var-rel* **where**  
 $\langle \text{var-rel} = \text{br } \varphi \ (\lambda \cdot. \ \text{True}) \rangle$

**lemma** *normalize-poly-p-normalize-poly-spec*:  
 $\langle (p, p') \in \text{mset-poly-rel} \implies$   
 $\text{SPEC } (\lambda r. \ \text{normalize-poly-p}^{**} \ p \ r) \leq \Downarrow \text{mset-poly-rel } (\text{normalize-poly-spec } p') \rangle$   
 by (auto simp: mset-poly-rel-def rtranclp-normalize-poly-p-poly-of-mset ideal.span-zero  
 normalize-poly-spec-def intro!: RES-refine)

**lemma** *mult-poly-p'-mult-poly-spec*:  
 $\langle (p, p') \in \text{mset-poly-rel} \implies (q, q') \in \text{mset-poly-rel} \implies$   
 $\text{mult-poly-p'} \ p \ q \leq \Downarrow \text{mset-poly-rel } (\text{mult-poly-spec } p' \ q') \rangle$

```

unfolding mult-poly-p'-def mult-poly-spec-def
apply refine-rcg
apply (auto simp: mset-poly-rel-def dest!: rtrancpl-mult-poly-p-mult-ideal-final)
apply (intro RES-refine)
apply auto
by (smt cancel-comm-monoid-add-class.diff-cancel diff-diff-add group-eq-aux ideal.span-diff
    rtrancpl-normalize-poly-p-poly-of-mset)

```

```

lemma add-poly-p'-add-poly-spec:
   $\langle (p, p') \in \text{mset-poly-rel} \implies (q, q') \in \text{mset-poly-rel} \implies$ 
   $\text{add-poly-p'} p q \leq \Downarrow \text{mset-poly-rel} (\text{add-poly-spec } p' q') \rangle$ 
unfolding add-poly-p'-def add-poly-spec-def
apply (auto simp: mset-poly-rel-def dest!: rtrancpl-add-poly-p-polynomial-of-mset-full)
apply (intro RES-refine)
apply (auto simp: rtrancpl-add-poly-p-polynomial-of-mset-full ideal.span-zero)
done

end

```

```

definition weak-equality-l ::  $\langle \text{l-list-polynomial} \Rightarrow \text{l-list-polynomial} \Rightarrow \text{bool nres} \rangle$  where
   $\langle \text{weak-equality-l } p \ q = \text{RETURN } (p = q) \rangle$ 

```

```

definition weak-equality ::  $\langle \text{int mpoly} \Rightarrow \text{int mpoly} \Rightarrow \text{bool nres} \rangle$  where
   $\langle \text{weak-equality } p \ q = \text{SPEC } (\lambda r. r \longrightarrow p = q) \rangle$ 

```

```

definition weak-equality-spec ::  $\langle \text{mset-polynomial} \Rightarrow \text{mset-polynomial} \Rightarrow \text{bool nres} \rangle$  where
   $\langle \text{weak-equality-spec } p \ q = \text{SPEC } (\lambda r. r \longrightarrow p = q) \rangle$ 

```

```

lemma term-poly-list-rel-same-rightD:
   $\langle (a, aa) \in \text{term-poly-list-rel} \implies (a, ab) \in \text{term-poly-list-rel} \implies aa = ab \rangle$ 
  by (auto simp: term-poly-list-rel-def)

```

```

lemma list-rel-term-poly-list-rel-same-rightD:
   $\langle (xa, y) \in \langle \text{term-poly-list-rel} \times_r \text{int-rel} \rangle \text{list-rel} \implies$ 
   $(xa, ya) \in \langle \text{term-poly-list-rel} \times_r \text{int-rel} \rangle \text{list-rel} \implies$ 
   $y = ya \rangle$ 
by (induction xa arbitrary: y ya)
  (auto simp: list-rel-split-right-iff
    dest: term-poly-list-rel-same-rightD)

```

```

lemma weak-equality-l-weak-equality-spec:
   $\langle (\text{uncurry weak-equality-l}, \text{uncurry weak-equality-spec}) \in$ 
   $\text{sorted-poly-rel} \times_r \text{sorted-poly-rel} \rightarrow_f \langle \text{bool-rel} \rangle \text{nres-rel} \rangle$ 
by (intro frefl nres-relI)
  (auto simp: weak-equality-l-def weak-equality-spec-def
    sorted-poly-list-rel-wrt-def list-mset-rel-def br-def
    dest: list-rel-term-poly-list-rel-same-rightD)

```

**end**

```

theory PAC-Checker
imports PAC-Polynomials-Operations
  PAC-Checker-Specification

```

```

    PAC-Map-Rel
    Show.Show
    Show.Show-Instances
begin

```

## 10 Executable Checker

In this layer we finally refine the checker to executable code.

### 10.1 Definitions

Compared to the previous layer, we add an error message when an error is discovered. We do not attempt to prove anything on the error message (neither that there really is an error, nor that the error message is correct).

```

Extended error message datatype 'a code-status =
  is-cfailed: CFAILED (the-error: 'a) |
  CSUCCESS |
  is-cfound: CFOUND

```

In the following function, we merge errors. We will never merge an error message with an another error message; hence we do not attempt to concatenate error messages.

```

fun merge-cstatus where
  ⟨merge-cstatus (CFAILED a) - = CFAILED a⟩ |
  ⟨merge-cstatus - (CFAILED a) = CFAILED a⟩ |
  ⟨merge-cstatus CFOUND - = CFOUND⟩ |
  ⟨merge-cstatus - CFOUND = CFOUND⟩ |
  ⟨merge-cstatus - - = CSUCCESS⟩

```

```

definition code-status-status-rel :: ⟨('a code-status × status) set⟩ where
  ⟨code-status-status-rel =
    {(CFOUND, FOUND), (CSUCCESS, SUCCESS)} ∪
    {(CFAILED a, FAILED) | a. True}⟩

```

```

lemma in-code-status-status-rel-iff[simp]:
  ⟨(CFOUND, b) ∈ code-status-status-rel ⟷ b = FOUND⟩
  ⟨(a, FOUND) ∈ code-status-status-rel ⟷ a = CFOUND⟩
  ⟨(CSUCCESS, b) ∈ code-status-status-rel ⟷ b = SUCCESS⟩
  ⟨(a, SUCCESS) ∈ code-status-status-rel ⟷ a = CSUCCESS⟩
  ⟨(a, FAILED) ∈ code-status-status-rel ⟷ is-cfailed a⟩
  ⟨(CFAILED C, b) ∈ code-status-status-rel ⟷ b = FAILED⟩
by (cases a; cases b; auto simp: code-status-status-rel-def; fail)+

```

```

Refinement relation fun pac-step-rel-raw :: ⟨('olbl × 'lbl) set ⇒ ('a × 'b) set ⇒ ('c × 'd) set ⇒
  ('a, 'c, 'olbl) pac-step ⇒ ('b, 'd, 'lbl) pac-step ⇒ bool⟩ where
  ⟨pac-step-rel-raw R1 R2 R3 (Add p1 p2 i r) (Add p1' p2' i' r') ⟷
    (p1, p1') ∈ R1 ∧ (p2, p2') ∈ R2 ∧ (i, i') ∈ R3 ∧
    (r, r') ∈ R4⟩ |
  ⟨pac-step-rel-raw R1 R2 R3 (Mult p1 p2 i r) (Mult p1' p2' i' r') ⟷
    (p1, p1') ∈ R1 ∧ (p2, p2') ∈ R2 ∧ (i, i') ∈ R3 ∧
    (r, r') ∈ R4⟩ |
  ⟨pac-step-rel-raw R1 R2 R3 (Del p1) (Del p1') ⟷
    (p1, p1') ∈ R1⟩ |

```

$\langle \text{pac-step-rel-raw } R1 \ R2 \ R3 \ (\text{Extension } i \ x \ p1) \ (\text{Extension } j \ x' \ p1') \longleftrightarrow$   
 $(i, j) \in R1 \wedge (x, x') \in R3 \wedge (p1, p1') \in R2 \rangle \mid$   
 $\langle \text{pac-step-rel-raw } R1 \ R2 \ R3 \ - \longleftrightarrow \text{False} \rangle$

**fun** *pac-step-rel-assn* ::  $\langle ('olbl \Rightarrow 'lbl \Rightarrow \text{assn}) \Rightarrow ('a \Rightarrow 'b \Rightarrow \text{assn}) \Rightarrow ('c \Rightarrow 'd \Rightarrow \text{assn}) \Rightarrow ('a, 'c, 'olbl)$   
 $\text{pac-step} \Rightarrow ('b, 'd, 'lbl) \text{ pac-step} \Rightarrow \text{assn} \rangle$  **where**  
 $\langle \text{pac-step-rel-assn } R1 \ R2 \ R3 \ (\text{Add } p1 \ p2 \ i \ r) \ (\text{Add } p1' \ p2' \ i' \ r') =$   
 $R1 \ p1 \ p1' * R1 \ p2 \ p2' * R1 \ i \ i' * R2 \ r \ r' \rangle \mid$   
 $\langle \text{pac-step-rel-assn } R1 \ R2 \ R3 \ (\text{Mult } p1 \ p2 \ i \ r) \ (\text{Mult } p1' \ p2' \ i' \ r') =$   
 $R1 \ p1 \ p1' * R2 \ p2 \ p2' * R1 \ i \ i' * R2 \ r \ r' \rangle \mid$   
 $\langle \text{pac-step-rel-assn } R1 \ R2 \ R3 \ (\text{Del } p1) \ (\text{Del } p1') =$   
 $R1 \ p1 \ p1' \rangle \mid$   
 $\langle \text{pac-step-rel-assn } R1 \ R2 \ R3 \ (\text{Extension } i \ x \ p1) \ (\text{Extension } i' \ x' \ p1') =$   
 $R1 \ i \ i' * R3 \ x \ x' * R2 \ p1 \ p1' \rangle \mid$   
 $\langle \text{pac-step-rel-assn } R1 \ R2 \ - \ - = \text{false} \rangle$

**lemma** *pac-step-rel-assn-alt-def*:

$\langle \text{pac-step-rel-assn } R1 \ R2 \ R3 \ x \ y =$   
 $\text{case } (x, y) \text{ of}$   
 $(\text{Add } p1 \ p2 \ i \ r, \text{Add } p1' \ p2' \ i' \ r') \Rightarrow$   
 $R1 \ p1 \ p1' * R1 \ p2 \ p2' * R1 \ i \ i' * R2 \ r \ r'$   
 $\mid (\text{Mult } p1 \ p2 \ i \ r, \text{Mult } p1' \ p2' \ i' \ r') \Rightarrow$   
 $R1 \ p1 \ p1' * R2 \ p2 \ p2' * R1 \ i \ i' * R2 \ r \ r'$   
 $\mid (\text{Del } p1, \text{Del } p1') \Rightarrow R1 \ p1 \ p1'$   
 $\mid (\text{Extension } i \ x \ p1, \text{Extension } i' \ x' \ p1') \Rightarrow R1 \ i \ i' * R3 \ x \ x' * R2 \ p1 \ p1'$   
 $\mid - \Rightarrow \text{false}$   
 $\rangle$   
**by** (*auto split: pac-step.splits*)

**Addition checking** **definition** *error-msg* **where**

$\langle \text{error-msg } i \ \text{msg} = \text{CFAILED } ("s \text{ CHECKING failed at line } " @ \text{show } i @ " \text{ with error } " @ \text{msg}) \rangle$

**definition** *error-msg-notin-dom-err* **where**

$\langle \text{error-msg-notin-dom-err} = " \text{notin domain}" \rangle$

**definition** *error-msg-notin-dom* ::  $\langle \text{nat} \Rightarrow \text{string} \rangle$  **where**

$\langle \text{error-msg-notin-dom } i = \text{show } i @ \text{error-msg-notin-dom-err} \rangle$

**definition** *error-msg-reused-dom* **where**

$\langle \text{error-msg-reused-dom } i = \text{show } i @ " \text{already in domain}" \rangle$

**definition** *error-msg-not-equal-dom* **where**

$\langle \text{error-msg-not-equal-dom } p \ q \ pq \ r = \text{show } p @ " + " @ \text{show } q @ " = " @ \text{show } pq @ " \text{not equal}"$   
 $@ \text{show } r \rangle$

**definition** *check-not-equal-dom-err* ::  $\langle \text{llist-polynomial} \Rightarrow \text{llist-polynomial} \Rightarrow \text{llist-polynomial} \Rightarrow \text{llist-polynomial}$   
 $\Rightarrow \text{string nres} \rangle$  **where**

$\langle \text{check-not-equal-dom-err } p \ q \ pq \ r = \text{SPEC } (\lambda -. \text{True}) \rangle$

**definition** *vars-llist* ::  $\langle \text{llist-polynomial} \Rightarrow \text{string set} \rangle$  **where**

$\langle \text{vars-llist } xs = \bigcup (\text{set } 'fst' \text{ set } xs) \rangle$

**definition** *check-addition-l* ::  $\langle - \Rightarrow - \Rightarrow \text{string set} \Rightarrow \text{nat} \Rightarrow \text{nat} \Rightarrow \text{nat} \Rightarrow \text{llist-polynomial} \Rightarrow \text{string code-status nres} \rangle$  **where**  
 $\langle \text{check-addition-l spec } A \mathcal{V} p q i r = \text{do } \{$   
 let  $b = p \in\# \text{dom-m } A \wedge q \in\# \text{dom-m } A \wedge i \notin\# \text{dom-m } A \wedge \text{vars-llist } r \subseteq \mathcal{V};$   
 if  $\neg b$   
 then *RETURN* (*error-msg*  $i$  ((if  $p \notin\# \text{dom-m } A$  then *error-msg-notin-dom*  $p$  else  $[]$ ) @ (if  $q \notin\# \text{dom-m } A$  then *error-msg-notin-dom*  $p$  else  $[]$ ) @ (if  $i \notin\# \text{dom-m } A$  then *error-msg-reused-dom*  $p$  else  $[]$ )))  
 else do {  
*ASSERT* ( $p \in\# \text{dom-m } A$ );  
 let  $p = \text{the } (\text{fmlookup } A \text{ } p)$ ;  
*ASSERT* ( $q \in\# \text{dom-m } A$ );  
 let  $q = \text{the } (\text{fmlookup } A \text{ } q)$ ;  
 $pq \leftarrow \text{add-poly-l } (p, q)$ ;  
 $b \leftarrow \text{weak-equality-l } pq \text{ } r$ ;  
 $b' \leftarrow \text{weak-equality-l } r \text{ spec}$ ;  
 if  $b$  then (if  $b'$  then *RETURN* *CFOUND* else *RETURN* *CSUCCESS*)  
 else do {  
 $c \leftarrow \text{check-not-equal-dom-err } p \text{ } q \text{ } pq \text{ } r$ ;  
*RETURN* (*error-msg*  $i \text{ } c$ )  
 }  
 }  
 $\rangle$

**Multiplication checking** **definition** *check-mult-l-dom-err* ::  $\langle \text{bool} \Rightarrow \text{nat} \Rightarrow \text{bool} \Rightarrow \text{nat} \Rightarrow \text{string nres} \rangle$  **where**  
 $\langle \text{check-mult-l-dom-err } p \text{ notin } p \text{ i-already } i = \text{SPEC } (\lambda -. \text{True}) \rangle$

**definition** *check-mult-l-mult-err* ::  $\langle \text{llist-polynomial} \Rightarrow \text{llist-polynomial} \Rightarrow \text{llist-polynomial} \Rightarrow \text{llist-polynomial} \Rightarrow \text{string nres} \rangle$  **where**  
 $\langle \text{check-mult-l-mult-err } p \text{ } q \text{ } pq \text{ } r = \text{SPEC } (\lambda -. \text{True}) \rangle$

**definition** *check-mult-l* ::  $\langle - \Rightarrow - \Rightarrow - \Rightarrow \text{nat} \Rightarrow \text{llist-polynomial} \Rightarrow \text{nat} \Rightarrow \text{llist-polynomial} \Rightarrow \text{string code-status nres} \rangle$  **where**  
 $\langle \text{check-mult-l spec } A \mathcal{V} p q i r = \text{do } \{$   
 let  $b = p \in\# \text{dom-m } A \wedge i \notin\# \text{dom-m } A \wedge \text{vars-llist } q \subseteq \mathcal{V} \wedge \text{vars-llist } r \subseteq \mathcal{V};$   
 if  $\neg b$   
 then do {  
 $c \leftarrow \text{check-mult-l-dom-err } (p \notin\# \text{dom-m } A) \text{ } p \text{ } (i \in\# \text{dom-m } A) \text{ } i$ ;  
*RETURN* (*error-msg*  $i \text{ } c$ )  
 }  
 else do {  
*ASSERT* ( $p \in\# \text{dom-m } A$ );  
 let  $p = \text{the } (\text{fmlookup } A \text{ } p)$ ;  
 $pq \leftarrow \text{mult-poly-full } p \text{ } q$ ;  
 $b \leftarrow \text{weak-equality-l } pq \text{ } r$ ;  
 $b' \leftarrow \text{weak-equality-l } r \text{ spec}$ ;  
 if  $b$  then (if  $b'$  then *RETURN* *CFOUND* else *RETURN* *CSUCCESS*) else do {  
 $c \leftarrow \text{check-mult-l-mult-err } p \text{ } q \text{ } pq \text{ } r$ ;  
*RETURN* (*error-msg*  $i \text{ } c$ )  
 }  
 }  
 $\rangle$

}>

**Deletion checking** **definition** *check-del-l* ::  $\langle - \Rightarrow - \Rightarrow \text{nat} \Rightarrow \text{string code-status nres} \rangle$  **where**  
 $\langle \text{check-del-l spec } A \ p = \text{RETURN CSUCCESS} \rangle$

**Extension checking** **definition** *check-extension-l-dom-err* ::  $\langle \text{nat} \Rightarrow \text{string nres} \rangle$  **where**  
 $\langle \text{check-extension-l-dom-err } p = \text{SPEC } (\lambda -. \text{True}) \rangle$

**definition** *check-extension-l-no-new-var-err* ::  $\langle \text{l-list-polynomial} \Rightarrow \text{string nres} \rangle$  **where**  
 $\langle \text{check-extension-l-no-new-var-err } p = \text{SPEC } (\lambda -. \text{True}) \rangle$

**definition** *check-extension-l-new-var-multiple-err* ::  $\langle \text{string} \Rightarrow \text{l-list-polynomial} \Rightarrow \text{string nres} \rangle$  **where**  
 $\langle \text{check-extension-l-new-var-multiple-err } v \ p = \text{SPEC } (\lambda -. \text{True}) \rangle$

**definition** *check-extension-l-side-cond-err*  
 ::  $\langle \text{string} \Rightarrow \text{l-list-polynomial} \Rightarrow \text{l-list-polynomial} \Rightarrow \text{l-list-polynomial} \Rightarrow \text{string nres} \rangle$   
**where**  
 $\langle \text{check-extension-l-side-cond-err } v \ p \ p' \ q = \text{SPEC } (\lambda -. \text{True}) \rangle$

**definition** *check-extension-l*  
 ::  $\langle - \Rightarrow - \Rightarrow \text{string set} \Rightarrow \text{nat} \Rightarrow \text{string} \Rightarrow \text{l-list-polynomial} \Rightarrow (\text{string code-status}) \text{ nres} \rangle$

**where**

$\langle \text{check-extension-l spec } A \ \mathcal{V} \ i \ v \ p = \text{do } \{$   
 $\text{let } b = i \notin \# \text{ dom-m } A \wedge v \notin \mathcal{V} \wedge ([v], -1) \in \text{set } p;$   
 $\text{if } \neg b$   
 $\text{then do } \{$   
 $\text{c} \leftarrow \text{check-extension-l-dom-err } i;$   
 $\text{RETURN } (\text{error-msg } i \ c)$   
 $\} \text{ else do } \{$   
 $\text{let } p' = \text{remove1 } ([v], -1) \ p;$   
 $\text{let } b = \text{vars-l-list } p' \subseteq \mathcal{V};$   
 $\text{if } \neg b$   
 $\text{then do } \{$   
 $\text{c} \leftarrow \text{check-extension-l-new-var-multiple-err } v \ p';$   
 $\text{RETURN } (\text{error-msg } i \ c)$   
 $\}$   
 $\text{else do } \{$   
 $p2 \leftarrow \text{mult-poly-full } p' \ p';$   
 $\text{let } p' = \text{map } (\lambda(a,b). (a, -b)) \ p';$   
 $q \leftarrow \text{add-poly-l } (p2, p');$   
 $eq \leftarrow \text{weak-equality-l } q \ [];$   
 $\text{if } eq \text{ then do } \{$   
 $\text{RETURN } (\text{CSUCCESS})$   
 $\} \text{ else do } \{$   
 $\text{c} \leftarrow \text{check-extension-l-side-cond-err } v \ p \ p' \ q;$   
 $\text{RETURN } (\text{error-msg } i \ c)$   
 $\}$   
 $\}$   
 $\}$   
 $\}$   
 $\rangle$

**lemma** *check-extension-alt-def*:  
 $\langle \text{check-extension } A \ \mathcal{V} \ i \ v \ p \geq \text{do } \{$

```

b ← SPEC( $\lambda b. b \longrightarrow i \notin \# \text{ dom-}m \ A \wedge v \notin \mathcal{V}$ );
if  $\neg b$ 
then RETURN (False)
else do {
   $p' \leftarrow \text{RETURN } (p + \text{Var } v)$ ;
   $b \leftarrow \text{SPEC}(\lambda b. b \longrightarrow \text{vars } p' \subseteq \mathcal{V})$ ;
  if  $\neg b$ 
  then RETURN (False)
  else do {
     $pq \leftarrow \text{mult-poly-spec } p' \ p'$ ;
     $\text{let } p' = - \ p'$ ;
     $p \leftarrow \text{add-poly-spec } pq \ p'$ ;
     $eq \leftarrow \text{weak-equality } p \ 0$ ;
    if  $eq$  then RETURN (True)
    else RETURN (False)
  }
}
}
}
proof –
have [intro]:  $\langle ab \notin \mathcal{V} \implies$ 
   $\text{vars } ba \subseteq \mathcal{V} \implies$ 
   $\text{MPoly-Type.coeff } (ba + \text{Var } ab) (\text{monomial } (\text{Suc } 0) \ ab) = 1 \rangle$  for  $ab \ ba$ 
apply (auto simp flip: coeff-add simp: not-in-vars-coeff0
  Var.abs-eq Var0-def)
apply (subst not-in-vars-coeff0)
apply auto
by (metis MPoly-Type.coeff-def lookup-single-eq monom.abs-eq monom.rep-eq)
have [simp]:  $\langle \text{MPoly-Type.coeff } p (\text{monomial } (\text{Suc } 0) \ ab) = -1 \rangle$ 
if  $\langle \text{vars } (p + \text{Var } ab) \subseteq \mathcal{V} \rangle$ 
   $\langle ab \notin \mathcal{V} \rangle$ 
for  $ab$ 
proof –
define  $q$  where  $\langle q \equiv p + \text{Var } ab \rangle$ 
then have  $p$ :  $\langle p = q - \text{Var } ab \rangle$ 
by auto
show ?thesis
unfolding  $p$ 
apply (auto simp flip: coeff-minus simp: not-in-vars-coeff0
  Var.abs-eq Var0-def)
apply (subst not-in-vars-coeff0)
using that unfolding  $q$ -def[symmetric] apply auto
by (metis MPoly-Type.coeff-def lookup-single-eq monom.abs-eq monom.rep-eq)
qed
have [simp]:  $\langle \text{vars } (p - \text{Var } ab) = \text{vars } (\text{Var } ab - p) \rangle$  for  $ab$ 
using vars-uminus[of  $\langle p - \text{Var } ab \rangle$ ]
by simp
show ?thesis
unfolding check-extension-def
apply (auto 5 5 simp: check-extension-def weak-equality-def
  mult-poly-spec-def field-simps
  add-poly-spec-def power2-eq-square cong: if-cong
  intro!: intro-spec-refine[where  $R = \text{Id}$ , simplified]
  split: option.splits dest: ideal.span-add)
done
qed

```

**lemma** *RES-RES-RETURN-RES*:  $\langle \text{RES } A \ggg (\lambda T. \text{RES } (f \ T)) = \text{RES } (\bigcup (f \ ^\circ A)) \rangle$   
**by** (*auto simp: pw-eq-iff refine-pw-simps*)

**lemma** *check-add-alt-def*:

```

 $\langle \text{check-add } A \ \mathcal{V} \ p \ q \ i \ r \geq$ 
  do {
     $b \leftarrow \text{SPEC}(\lambda b. b \longrightarrow p \in \# \text{dom-}m \ A \wedge q \in \# \text{dom-}m \ A \wedge i \notin \# \text{dom-}m \ A \wedge \text{vars } r \subseteq \mathcal{V});$ 
    if  $\neg b$ 
    then RETURN False
    else do {
      ASSERT ( $p \in \# \text{dom-}m \ A$ );
      let  $p = \text{the } (\text{fmlookup } A \ p)$ ;
      ASSERT ( $q \in \# \text{dom-}m \ A$ );
      let  $q = \text{the } (\text{fmlookup } A \ q)$ ;
       $pq \leftarrow \text{add-poly-spec } p \ q$ ;
       $eq \leftarrow \text{weak-equality } pq \ r$ ;
      RETURN  $eq$ 
    }
  }
 $\rangle$  (is  $\langle - \geq ?A \rangle$ )

```

**proof** –

```

have check-add-alt-def:  $\langle \text{check-add } A \ \mathcal{V} \ p \ q \ i \ r = \text{do } \{$ 
   $b \leftarrow \text{SPEC}(\lambda b. b \longrightarrow p \in \# \text{dom-}m \ A \wedge q \in \# \text{dom-}m \ A \wedge i \notin \# \text{dom-}m \ A \wedge \text{vars } r \subseteq \mathcal{V});$ 
  if  $\neg b$  then  $\text{SPEC}(\lambda b. b \longrightarrow p \in \# \text{dom-}m \ A \wedge q \in \# \text{dom-}m \ A \wedge i \notin \# \text{dom-}m \ A \wedge \text{vars } r \subseteq \mathcal{V} \wedge$ 
     $\text{the } (\text{fmlookup } A \ p) + \text{the } (\text{fmlookup } A \ q) - r \in \text{ideal polynomial-bool})$ 
  else
     $\text{SPEC}(\lambda b. b \longrightarrow p \in \# \text{dom-}m \ A \wedge q \in \# \text{dom-}m \ A \wedge i \notin \# \text{dom-}m \ A \wedge \text{vars } r \subseteq \mathcal{V} \wedge$ 
       $\text{the } (\text{fmlookup } A \ p) + \text{the } (\text{fmlookup } A \ q) - r \in \text{ideal polynomial-bool})\}$ 
 $\rangle$  (is  $\langle - = ?B \rangle$ )
by (auto simp: check-add-def RES-RES-RETURN-RES)
have  $\langle ?A \leq \Downarrow \text{Id } (\text{check-add } A \ \mathcal{V} \ p \ q \ i \ r) \rangle$ 
apply refine-vcg
apply ((auto simp: check-add-alt-def weak-equality-def
  add-poly-spec-def RES-RES-RETURN-RES summarize-ASSERT-conv
  cong: if-cong
  intro!: ideal.span-zero;fail)+)
done
then show ?thesis
unfolding check-add-alt-def[symmetric]
by simp

```

**qed**

**lemma** *check-mult-alt-def*:

```

 $\langle \text{check-mult } A \ \mathcal{V} \ p \ q \ i \ r \geq$ 
  do {
     $b \leftarrow \text{SPEC}(\lambda b. b \longrightarrow p \in \# \text{dom-}m \ A \wedge i \notin \# \text{dom-}m \ A \wedge \text{vars } q \subseteq \mathcal{V} \wedge \text{vars } r \subseteq \mathcal{V});$ 
    if  $\neg b$ 
    then RETURN False
    else do {
      ASSERT ( $p \in \# \text{dom-}m \ A$ );
      let  $p = \text{the } (\text{fmlookup } A \ p)$ ;
       $pq \leftarrow \text{mult-poly-spec } p \ q$ ;
       $p \leftarrow \text{weak-equality } pq \ r$ ;
    }
  }

```



```

    RETURN p
  }
}
unfolding check-mult-def
apply (rule refine-IdD)
by refine-vcg
  (auto simp: check-mult-def weak-equality-def
    mult-poly-spec-def RES-RES-RETURN-RES
    intro!: ideal.span-zero
    exI[of - (the (fmlookup A p) * q)])

primrec insort-key-rel :: ('b  $\Rightarrow$  'b  $\Rightarrow$  bool)  $\Rightarrow$  'b  $\Rightarrow$  'b list  $\Rightarrow$  'b list where
insort-key-rel f x [] = [x] |
insort-key-rel f x (y#ys) =
  (if f x y then (x#y#ys) else y#(insort-key-rel f x ys))

lemma set-insort-key-rel[simp]: (set (insort-key-rel R x xs) = insert x (set xs))
by (induction xs)
  auto

lemma sorted-wrt-insort-key-rel:
  (total-on R (insert x (set xs))  $\Longrightarrow$  transp R  $\Longrightarrow$  reflp R  $\Longrightarrow$ 
    sorted-wrt R xs  $\Longrightarrow$  sorted-wrt R (insort-key-rel R x xs))
apply (induction xs)
apply (auto dest: transpD)
apply (metis Restricted-Predicates.total-on-def in-mono insertI1 reflpD subset-insertI)
by (simp add: Restricted-Predicates.total-on-def)

lemma sorted-wrt-insort-key-rel2:
  (total-on R (insert x (set xs))  $\Longrightarrow$  transp R  $\Longrightarrow$  x  $\notin$  set xs  $\Longrightarrow$ 
    sorted-wrt R xs  $\Longrightarrow$  sorted-wrt R (insort-key-rel R x xs))
apply (induction xs)
apply (auto dest: transpD)
apply (metis Restricted-Predicates.total-on-def in-mono insertI1 subset-insertI)
by (simp add: Restricted-Predicates.total-on-def)

Step checking definition PAC-checker-l-step :: (string code-status  $\times$  string set  $\times$  string  $\Rightarrow$  (l-list-polynomial,
string, nat) pac-step  $\Rightarrow$  nat) where
(PAC-checker-l-step = ( $\lambda$ spec (st',  $\mathcal{V}$ , A) st. case st of
  Add - - -  $\Rightarrow$ 
    do {
      r  $\leftarrow$  full-normalize-poly (pac-res st);
      eq  $\leftarrow$  check-addition-l spec A  $\mathcal{V}$  (pac-src1 st) (pac-src2 st) (new-id st) r;
      let - = eq;
      if  $\neg$ is-cfailed eq
      then RETURN (merge-cstatus st' eq,
         $\mathcal{V}$ , fmdup (new-id st) r A)
      else RETURN (eq,  $\mathcal{V}$ , A)
    }
  | Del -  $\Rightarrow$ 
    do {
      eq  $\leftarrow$  check-del-l spec A (pac-src1 st);
      let - = eq;
      if  $\neg$ is-cfailed eq
      then RETURN (merge-cstatus st' eq,  $\mathcal{V}$ , fmdrop (pac-src1 st) A)
    }

```

```

    else RETURN (eq, V, A)
  }
| Mult - - - =>
  do {
    r ← full-normalize-poly (pac-res st);
    q ← full-normalize-poly (pac-mult st);
    eq ← check-mult-l spec A V (pac-src1 st) q (new-id st) r;
    let - = eq;
    if ¬is-cfailed eq
    then RETURN (merge-cstatus st' eq,
      V, fmuPd (new-id st) r A)
    else RETURN (eq, V, A)
  }
| Extension - - - =>
  do {
    r ← full-normalize-poly (([new-var st], -1) # (pac-res st));
    (eq) ← check-extension-l spec A V (new-id st) (new-var st) r;
    if ¬is-cfailed eq
    then do {
      RETURN (st',
        insert (new-var st) V, fmuPd (new-id st) r A)}
    else RETURN (eq, V, A)
  }
)

```

**lemma** *pac-step-rel-raw-def*:

⟨⟨K, V, R⟩ pac-step-rel-raw = pac-step-rel-raw K V R⟩  
**by** (auto intro!: ext simp: relAPP-def)

**definition** *mononoms-equal-up-to-reorder* **where**

⟨mononoms-equal-up-to-reorder xs ys ⟷  
 map (λ(a, b). (mset a, b)) xs = map (λ(a, b). (mset a, b)) ys⟩

**definition** *normalize-poly-l* **where**

⟨normalize-poly-l p = SPEC (λp'.  
 normalize-poly-p\*\* ((λ(a, b). (mset a, b)) '≠ mset p) ((λ(a, b). (mset a, b)) '≠ mset p') ∧  
 0 ∉ # snd '≠ mset p' ∧  
 sorted-wrt (rel2p (term-order-rel ×<sub>r</sub> int-rel)) p' ∧  
 (∀ x ∈ mononoms p'. sorted-wrt (rel2p var-order-rel) x)⟩

**definition** *remap-polys-l-dom-err* :: ⟨string nres⟩ **where**

⟨remap-polys-l-dom-err = SPEC (λ-. True)⟩

**definition** *remap-polys-l* :: ⟨llist-polynomial ⇒ string set ⇒ (nat, llist-polynomial) fmap ⇒

(- code-status × string set × (nat, llist-polynomial) fmap) nres⟩ **where**

⟨remap-polys-l spec = (λV A. do{  
 dom ← SPEC(λdom. set-mset (dom-m A) ⊆ dom ∧ finite dom);  
 failed ← SPEC(λ-::bool. True);  
 if failed  
 then do {  
 c ← remap-polys-l-dom-err;  
 RETURN (error-msg (0 :: nat) c, V, fmempty)

```

}
else do {
  (b, V, A) ← FOREACH dom
  (λi (b, V, A').
    if i ∈# dom-m A
    then do {
      p ← full-normalize-poly (the (fmlookup A i));
      eq ← weak-equality-l p spec;
      V ← RETURN(V ∪ vars-llist (the (fmlookup A i)));
      RETURN(b ∨ eq, V, fmupd i p A')
    } else RETURN (b, V, A'))
  (False, V, fmempty);
  RETURN (if b then CFOUND else CSUCCESS, V, A)
}})

```

**definition** *PAC-checker-l* **where**

```

⟨PAC-checker-l spec A b st = do {
  (S, -) ← WHILET
  (λ((b, A), n). ¬is-cfailed b ∧ n ≠ [])
  (λ((bA), n). do {
    ASSERT(n ≠ []);
    S ← PAC-checker-l-step spec bA (hd n);
    RETURN (S, tl n)
  })
  ((b, A), st);
  RETURN S
}⟩

```

## 10.2 Correctness

We now enter the locale to reason about polynomials directly.

**context** *poly-embed*

**begin**

**abbreviation** *pac-step-rel* **where**

⟨*pac-step-rel* ≡ *p2rel* ((*Id*, *fully-unsorted-poly-rel* *O* *mset-poly-rel*, *var-rel*) *pac-step-rel-raw*)⟩

**abbreviation** *fmap-polys-rel* **where**

⟨*fmap-polys-rel* ≡ ⟨*nat-rel*, *sorted-poly-rel* *O* *mset-poly-rel*⟩*fmap-rel*⟩

**lemma**

```

⟨normalize-poly-p s0 s ⟹
  (s0, p) ∈ mset-poly-rel ⟹
  (s, p) ∈ mset-poly-rel
by (auto simp: mset-poly-rel-def normalize-poly-p-poly-of-mset)

```

**lemma** *vars-poly-of-vars*:

```

⟨vars (poly-of-vars a :: int mpoly) ⊆ (φ ‘ set-mset a)⟩
by (induction a)
  (auto simp: vars-mult-Var)

```

**lemma** *vars-polynomial-of-mset*:

```

⟨vars (polynomial-of-mset za) ⊆ ⋃ (image φ ‘ (set-mset o fst) ‘ set-mset za)⟩
apply (induction za)
using vars-poly-of-vars

```

by (fastforce elim!: in-vars-addE simp: vars-mult-Const split: if-splits)+

**lemma** *fully-unsorted-poly-rel-vars-subset-vars-llist*:

⟨(A, B) ∈ fully-unsorted-poly-rel O mset-poly-rel ⟹ vars B ⊆ φ ‘ vars-llist A⟩  
 by (auto simp: fully-unsorted-poly-list-rel-def mset-poly-rel-def  
 set-rel-def var-rel-def br-def vars-llist-def list-rel-append2 list-rel-append1  
 list-rel-split-right-iff list-mset-rel-def image-iff  
 unsorted-term-poly-list-rel-def list-rel-split-left-iff  
 dest!: set-rev-mp[OF - vars-polynomial-of-mset] split-list  
 dest: multi-member-split  
 dest: arg-cong[of ⟨mset -⟩ ⟨add-mset - -⟩ set-mset])

**lemma** *fully-unsorted-poly-rel-extend-vars*:

⟨(A, B) ∈ fully-unsorted-poly-rel O mset-poly-rel ⟹  
 (x1c, x1a) ∈ ⟨var-rel⟩set-rel ⟹  
 RETURN (x1c ∪ vars-llist A)  
 ≤ ↓ (⟨var-rel⟩set-rel)  
 (SPEC ((⊆) (x1a ∪ vars (B))))⟩  
 using fully-unsorted-poly-rel-vars-subset-vars-llist[of A B]  
 apply (subst RETURN-RES-refine-iff)  
 apply clarsimp  
 apply (rule exI[of - ⟨x1a ∪ φ ‘ vars-llist A⟩])  
 apply (auto simp: set-rel-def var-rel-def br-def  
 dest: fully-unsorted-poly-rel-vars-subset-vars-llist)  
 done

**lemma** *remap-polys-l-remap-polys*:

assumes  
 AB: ⟨(A, B) ∈ ⟨nat-rel, fully-unsorted-poly-rel O mset-poly-rel⟩fmap-rel⟩ and  
 spec: ⟨(spec, spec') ∈ sorted-poly-rel O mset-poly-rel⟩ and  
 V: ⟨(V, V') ∈ ⟨var-rel⟩set-rel⟩  
 shows ⟨remap-polys-l spec V A ≤  
 ↓(code-status-status-rel ×<sub>r</sub> ⟨var-rel⟩set-rel ×<sub>r</sub> fmap-polys-rel) (remap-polys spec' V' B)⟩  
 (is ⟨- ≤ ↓ ?R -⟩)

**proof** –

have 1: ⟨inj-on id (dom :: nat set)⟩ for dom  
 by auto  
 have H: ⟨x ∈ # dom-m A ⟹  
 (∧ p. (the (fmlookup A x), p) ∈ fully-unsorted-poly-rel ⟹  
 (p, the (fmlookup B x)) ∈ mset-poly-rel ⟹ thesis) ⟹  
 thesis⟩ for x thesis  
 using fmap-rel-nat-the-fmlookup[OF AB, of x x] fmap-rel-nat-rel-dom-m[OF AB] by auto  
 have full-normalize-poly: ⟨full-normalize-poly (the (fmlookup A x))  
 ≤ ↓ (sorted-poly-rel O mset-poly-rel)  
 (SPEC  
 (λ p. the (fmlookup B x') – p ∈ More-Modules.ideal polynomial-bool ∧  
 vars p ⊆ vars (the (fmlookup B x'))))⟩  
 if x-dom: ⟨x ∈ # dom-m A⟩ and ⟨(x, x') ∈ Id⟩ for x x'  
 apply (rule H[OF x-dom])  
 subgoal for p  
 apply (rule full-normalize-poly-normalize-poly-p[THEN order-trans])  
 apply assumption  
 subgoal  
 using that(2) apply –  
 unfolding conc-fun-chain[symmetric]

```

    by (rule ref-two-step', rule RES-refine)
      (auto simp: rtrancp-normalize-poly-p-poly-of-mset
        mset-poly-rel-def ideal.span-zero)
  done
done

have  $H'$ :  $\langle (p, pa) \in \text{sorted-poly-rel } O \text{ mset-poly-rel} \implies$ 
  weak-equality-l  $p \text{ spec} \leq \text{SPEC } (\lambda \text{eqa. eqa} \longrightarrow pa = \text{spec}') \rangle$  for  $p \text{ pa}$ 
using spec apply (auto simp: weak-equality-l-def weak-equality-spec-def
  list-mset-rel-def br-def
  dest: list-rel-term-poly-list-rel-same-rightD sorted-poly-list-relD)
by (metis (mono-tags) mem-Collect-eq mset-poly-rel-def prod.simps(2)
  sorted-poly-list-relD)

have emp:  $\langle (\mathcal{V}, \mathcal{V}') \in \langle \text{var-rel} \rangle \text{set-rel} \implies$ 
   $((\text{False}, \mathcal{V}, \text{fmempty}), \text{False}, \mathcal{V}', \text{fmempty}) \in \text{bool-rel} \times_r \langle \text{var-rel} \rangle \text{set-rel} \times_r \text{fmap-polys-rel} \rangle$  for  $\mathcal{V} \mathcal{V}'$ 
by auto
show ?thesis
using assms
unfolding remap-polys-l-def remap-polys-l-dom-err-def
  remap-polys-def prod.case
apply (refine-rcg full-normalize-poly fmap-rel-fmupd-fmap-rel)
subgoal
  by auto
subgoal
  by auto
subgoal
  by (auto simp: error-msg-def)
apply (rule 1)
subgoal by auto
apply (rule emp)
subgoal
  using  $V$  by auto
subgoal by auto
subgoal by auto
subgoal by (rule  $H'$ )
apply (rule fully-unsorted-poly-rel-extend-vars)
subgoal by (auto intro!: fmap-rel-nat-the-fmlookup)
subgoal by (auto intro!: fmap-rel-fmupd-fmap-rel)
subgoal by (auto intro!: fmap-rel-fmupd-fmap-rel)
subgoal by auto
subgoal by auto
done
qed

lemma fref-to-Down-curry:
 $\langle (\text{uncurry } f, \text{uncurry } g) \in [P]_f A \rightarrow \langle B \rangle \text{nres-rel} \implies$ 
 $(\bigwedge x \ x' \ y \ y'. P \ (x', y') \implies ((x, y), (x', y')) \in A \implies f \ x \ y \leq \Downarrow B \ (g \ x' \ y')) \rangle$ 
unfolding fref-def uncurry-def nres-rel-def
by auto

lemma weak-equality-spec-weak-equality:
 $\langle (p, p') \in \text{mset-poly-rel} \implies$ 
 $(r, r') \in \text{mset-poly-rel} \implies$ 

```

$\text{weak-equality-spec } p \ r \leq \text{weak-equality } p' \ r'$   
**unfolding**  $\text{weak-equality-spec-def weak-equality-def}$   
**by** (*auto simp: mset-poly-rel-def*)

**lemma**  $\text{weak-equality-l-weak-equality-l'}$ [*refine*]:  
 $\langle \text{weak-equality-l } p \ q \leq \Downarrow \text{bool-rel } (\text{weak-equality } p' \ q') \rangle$   
**if**  $\langle (p, p') \in \text{sorted-poly-rel } O \ \text{mset-poly-rel} \rangle$   
 $\langle (q, q') \in \text{sorted-poly-rel } O \ \text{mset-poly-rel} \rangle$   
**for**  $p \ p' \ q \ q'$   
**using** *that*  
**by** (*auto intro!: weak-equality-l-weak-equality-spec*[*THEN fref-to-Down-curry, THEN order-trans*]  
 $\text{ref-two-step'}$   
 $\text{weak-equality-spec-weak-equality}$   
 $\text{simp flip: conc-fun-chain}$ )

**lemma**  $\text{error-msg-ne-SUCCESS}$ [*iff*]:  
 $\langle \text{error-msg } i \ m \neq \text{CSUCCESS} \rangle$   
 $\langle \text{error-msg } i \ m \neq \text{CFOUND} \rangle$   
 $\langle \text{is-cfailed } (\text{error-msg } i \ m) \rangle$   
 $\langle \neg \text{is-cfound } (\text{error-msg } i \ m) \rangle$   
**by** (*auto simp: error-msg-def*)

**lemma**  $\text{sorted-poly-rel-vars-llist}$ :  
 $\langle (r, r') \in \text{sorted-poly-rel } O \ \text{mset-poly-rel} \implies$   
 $\text{vars } r' \subseteq \varphi \ \text{'vars-llist } r \rangle$   
**apply** (*auto simp: mset-poly-rel-def*  
 $\text{set-rel-def var-rel-def br-def vars-llist-def list-rel-append2 list-rel-append1}$   
 $\text{list-rel-split-right-iff list-mset-rel-def image-iff sorted-poly-list-rel-wrt-def}$   
 $\text{dest!: set-rev-mp}[OF \ \text{vars-polynomial-of-mset}]$   
 $\text{dest!: split-list}$ )  
**apply** (*auto dest!: multi-member-split simp: list-rel-append1*  
 $\text{term-poly-list-rel-def eq-commute}[of \ \langle \text{mset } \rightarrow \rangle]$   
 $\text{list-rel-split-right-iff list-rel-append2 list-rel-split-left-iff}$   
 $\text{dest: arg-cong}[of \ \langle \text{mset } \rightarrow \rangle \ \langle \text{add-mset } \rightarrow \rangle \ \text{set-mset}]$ )  
**done**

**lemma**  $\text{check-addition-l-check-add}$ :  
**assumes**  $\langle (A, B) \in \text{fmap-polys-rel} \rangle$  **and**  $\langle (r, r') \in \text{sorted-poly-rel } O \ \text{mset-poly-rel} \rangle$   
 $\langle (p, p') \in \text{Id} \rangle \langle (q, q') \in \text{Id} \rangle \langle (i, i') \in \text{nat-rel} \rangle$   
 $\langle (\mathcal{V}', \mathcal{V}) \in \langle \text{var-rel} \rangle \text{set-rel} \rangle$   
**shows**  
 $\langle \text{check-addition-l spec } A \ \mathcal{V}' \ p \ q \ i \ r \leq \Downarrow \{ (st, b). (\neg \text{is-cfailed } st \longleftrightarrow b) \wedge$   
 $(\text{is-cfound } st \longrightarrow \text{spec} = r) \} (\text{check-add } B \ \mathcal{V} \ p' \ q' \ i' \ r') \rangle$

**proof** –  
**have** [*refine*]:  
 $\langle \text{add-poly-l } (p, q) \leq \Downarrow (\text{sorted-poly-rel } O \ \text{mset-poly-rel}) (\text{add-poly-spec } p' \ q') \rangle$   
**if**  $\langle (p, p') \in \text{sorted-poly-rel } O \ \text{mset-poly-rel} \rangle$   
 $\langle (q, q') \in \text{sorted-poly-rel } O \ \text{mset-poly-rel} \rangle$   
**for**  $p \ p' \ q \ q'$   
**using** *that*  
**by** (*auto intro!: add-poly-l-add-poly-p'*[*THEN order-trans*]  $\text{ref-two-step'}$   
 $\text{add-poly-p'-add-poly-spec}$   
 $\text{simp flip: conc-fun-chain}$ )

```

show ?thesis
  using assms
  unfolding check-addition-l-def
    check-not-equal-dom-err-def apply -
  apply (rule order-trans)
  defer
  apply (rule ref-two-step')
  apply (rule check-add-alt-def)
  apply refine-rcg
  subgoal
    by (drule sorted-poly-rel-vars-llist)
      (auto simp: set-rel-def var-rel-def br-def)
  subgoal
    by auto
  subgoal
    by auto
  subgoal
    by auto
  subgoal
    by auto
  subgoal
    by auto
  subgoal
    by auto
  subgoal
    by (auto simp: weak-equality-l-def bind-RES-RETURN-eq)
done
qed

lemma check-del-l-check-del:
   $\langle (A, B) \in \text{fmap-polys-rel} \implies (x3, x3a) \in \text{Id} \implies \text{check-del-l spec } A \text{ (pac-src1 (Del } x3)) \leq \Downarrow \{(st, b). (\neg \text{is-cfailed } st \longleftrightarrow b) \wedge (b \longrightarrow st = \text{CSUCCESS})\} (\text{check-del } B \text{ (pac-src1 (Del } x3a))) \rangle$ 
  unfolding check-del-l-def check-del-def
  by (refine-vcg lhs-step-If RETURN-SPEC-refine)
    (auto simp: fmap-rel-nat-rel-dom-m bind-RES-RETURN-eq)

lemma check-mult-l-check-mult:
  assumes  $\langle (A, B) \in \text{fmap-polys-rel} \rangle$  and  $\langle (r, r') \in \text{sorted-poly-rel } O \text{ mset-poly-rel} \rangle$  and
     $\langle (q, q') \in \text{sorted-poly-rel } O \text{ mset-poly-rel} \rangle$ 
     $\langle (p, p') \in \text{Id} \rangle \langle (i, i') \in \text{nat-rel} \rangle \langle (\mathcal{V}, \mathcal{V}') \in \langle \text{var-rel} \rangle \text{set-rel} \rangle$ 
  shows
     $\langle \text{check-mult-l spec } A \mathcal{V} p q i r \leq \Downarrow \{(st, b). (\neg \text{is-cfailed } st \longleftrightarrow b) \wedge (\text{is-cfound } st \longrightarrow \text{spec} = r)\} (\text{check-mult } B \mathcal{V}' p' q' i' r') \rangle$ 
proof -
  have [refine]:
     $\langle \text{mult-poly-full } p q \leq \Downarrow (\text{sorted-poly-rel } O \text{ mset-poly-rel}) (\text{mult-poly-spec } p' q') \rangle$ 
  if  $\langle (p, p') \in \text{sorted-poly-rel } O \text{ mset-poly-rel} \rangle$ 
     $\langle (q, q') \in \text{sorted-poly-rel } O \text{ mset-poly-rel} \rangle$ 
  for  $p p' q q'$ 
  using that
  by (auto intro!: mult-poly-full-mult-poly-p'[THEN order-trans] ref-two-step'
      mult-poly-p'-mult-poly-spec
      simp flip: conc-fun-chain)

```

```

show ?thesis
  using assms
  unfolding check-mult-l-def
    check-mult-l-mult-err-def check-mult-l-dom-err-def apply -
  apply (rule order-trans)
  defer
  apply (rule ref-two-step')
  apply (rule check-mult-alt-def)
  apply refine-rcg
  subgoal
    by (drule sorted-poly-rel-vars-llist)+
      (fastforce simp: set-rel-def var-rel-def br-def)
  subgoal
    by auto
  subgoal
    by auto
  subgoal
    by auto
  subgoal
    by auto
  subgoal
    by (auto simp: weak-equality-l-def bind-RES-RETURN-eq)
  done
qed

lemma normalize-poly-normalize-poly-spec:
  assumes  $\langle (r, t) \in \text{unsorted-poly-rel } O \text{ mset-poly-rel} \rangle$ 
  shows  $\langle \text{normalize-poly } r \leq \Downarrow (\text{sorted-poly-rel } O \text{ mset-poly-rel}) (\text{normalize-poly-spec } t) \rangle$ 
proof -
  obtain  $s$  where
     $rs: \langle (r, s) \in \text{unsorted-poly-rel} \rangle$  and
     $st: \langle (s, t) \in \text{mset-poly-rel} \rangle$ 
  using assms by auto
  show ?thesis
    by (rule normalize-poly-normalize-poly-p[THEN order-trans, OF rs])
      (use  $st$  in  $\langle \text{auto dest!}: r\text{trancp-normalize-poly-p-poly-of-mset}$ 
         $\text{intro!}: \text{ref-two-step}' \text{ RES-refine exI[of - } t]$ 
         $\text{simp}: \text{normalize-poly-spec-def ideal.span-zero mset-poly-rel-def}$ 
         $\text{simp flip}: \text{conc-fun-chain} \rangle$ )
qed

lemma remove1-list-rel:
   $\langle (xs, ys) \in \langle R \rangle \text{ list-rel} \implies$ 
   $(a, b) \in R \implies$ 
   $\text{IS-RIGHT-UNIQUE } R \implies$ 
   $\text{IS-LEFT-UNIQUE } R \implies$ 
   $(\text{remove1 } a \text{ } xs, \text{remove1 } b \text{ } ys) \in \langle R \rangle \text{ list-rel}$ 
  by (induction  $xs \ ys$  rule: list-rel-induct)
    (auto simp: single-valued-def IS-LEFT-UNIQUE-def)

lemma remove1-list-rel2:
   $\langle (xs, ys) \in \langle R \rangle \text{ list-rel} \implies$ 
   $(a, b) \in R \implies$ 

```



```

( $\bigwedge c. (a, c) \in R \implies c = b \implies$ 
 $\bigwedge c. (c, b) \in R \implies c = a \implies$ 
 $(\text{remove1 } a \text{ } xs, \text{remove1 } b \text{ } ys) \in \langle R \rangle \text{list-rel}$ 
apply (induction xs ys rule: list-rel-induct)
apply (simp (no-asm))
by (smt list-rel-simp(4) remove1.simps(2))

```

**lemma** *remove1-sorted-poly-rel-mset-poly-rel:*

**assumes**

$\langle (r, r') \in \text{sorted-poly-rel } O \text{ mset-poly-rel} \rangle$  **and**  
 $\langle ([a], 1) \in \text{set } r \rangle$

**shows**

$\langle (\text{remove1 } ([a], 1) \text{ } r, r' - \text{Var } (\varphi \text{ } a))$   
 $\in \text{sorted-poly-rel } O \text{ mset-poly-rel} \rangle$

**proof** –

**have** [*simp*]:  $\langle ([a], \{\#a\# \}) \in \text{term-poly-list-rel} \rangle$   
 $\langle \bigwedge aa. ([a], aa) \in \text{term-poly-list-rel} \longleftrightarrow aa = \{\#a\# \} \rangle$   
**by** (*auto simp: term-poly-list-rel-def*)

**have** *H*:

$\langle \bigwedge aa. ([a], aa) \in \text{term-poly-list-rel} \implies aa = \{\#a\# \} \rangle$   
 $\langle \bigwedge aa. (aa, \{\#a\# \}) \in \text{term-poly-list-rel} \implies aa = [a] \rangle$   
**by** (*auto simp: single-valued-def IS-LEFT-UNIQUE-def*  
*term-poly-list-rel-def*)

**have** [*simp*]:  $\langle \text{Const } (1 :: \text{int}) = (1 :: \text{int mpoly}) \rangle$   
**by** (*simp add: Const.abs-eq Const<sub>0</sub>-one one-mpoly.abs-eq*)

**have** [*simp*]:  $\langle \text{sorted-wrt term-order } (\text{map fst } r) \implies$   
 $\text{sorted-wrt term-order } (\text{map fst } (\text{remove1 } ([a], 1) \text{ } r)) \rangle$

**by** (*induction r*) *auto*

**have** [*intro*]:  $\langle \text{distinct } (\text{map fst } r) \implies \text{distinct } (\text{map fst } (\text{remove1 } x \text{ } r)) \rangle$  **for** *x*

**by** (*induction r*) (*auto dest: in-set-remove1D*)

**have** [*simp*]:  $\langle (r, ya) \in \langle \text{term-poly-list-rel} \times_r \text{int-rel} \rangle \text{list-rel} \implies$   
 $\text{polynomial-of-mset } (\text{mset } ya) - \text{Var } (\varphi \text{ } a) =$   
 $\text{polynomial-of-mset } (\text{remove1-mset } (\{\#a\# \}, 1) (\text{mset } ya)) \rangle$  **for** *ya*

**using** *assms*

**by** (*auto simp: list-rel-append1 list-rel-split-right-iff*  
*dest!: split-list*)

**show** *?thesis*

**using** *assms*

**apply** (*auto simp: mset-poly-rel-def sorted-poly-list-rel-wrt-def*)

**apply** (*rename-tac ya za, rule-tac b = remove1-mset (\{\#a\# \}, 1) za in relcompI*)

**apply** (*auto*)

**apply** (*rename-tac ya za, rule-tac b = remove1 (\{\#a\# \}, 1) ya in relcompI*)

**by** (*auto intro!: remove1-list-rel2 intro: H*

*simp: list-mset-rel-def br-def in-remove1-mset-neq*)

**qed**

**lemma** *remove1-sorted-poly-rel-mset-poly-rel-minus:*

**assumes**

$\langle (r, r') \in \text{sorted-poly-rel } O \text{ mset-poly-rel} \rangle$  **and**  
 $\langle ([a], -1) \in \text{set } r \rangle$

**shows**

$\langle (\text{remove1 } ([a], -1) \text{ } r, r' + \text{Var } (\varphi \text{ } a))$   
 $\in \text{sorted-poly-rel } O \text{ mset-poly-rel} \rangle$

**proof** –

**have** [simp]:  $\langle ([a], \{\#a\# \}) \in \text{term-poly-list-rel} \rangle$   
 $\langle \bigwedge aa. ([a], aa) \in \text{term-poly-list-rel} \longleftrightarrow aa = \{\#a\# \} \rangle$   
**by** (auto simp: term-poly-list-rel-def)  
**have** H:  
 $\langle \bigwedge aa. ([a], aa) \in \text{term-poly-list-rel} \implies aa = \{\#a\# \} \rangle$   
 $\langle \bigwedge aa. (aa, \{\#a\# \}) \in \text{term-poly-list-rel} \implies aa = [a] \rangle$   
**by** (auto simp: single-valued-def IS-LEFT-UNIQUE-def term-poly-list-rel-def)  
  
**have** [simp]:  $\langle \text{Const } (1 :: \text{int}) = (1 :: \text{int mpoly}) \rangle$   
**by** (simp add: Const.abs-eq Const<sub>0</sub>-one one-mpoly.abs-eq)  
**have** [simp]:  $\langle \text{sorted-wrt term-order } (\text{map fst } r) \implies \text{sorted-wrt term-order } (\text{map fst } (\text{remove1 } ([a], -1) r)) \rangle$   
**by** (induction r) auto  
**have** [intro]:  $\langle \text{distinct } (\text{map fst } r) \implies \text{distinct } (\text{map fst } (\text{remove1 } x r)) \rangle$  **for** x  
**apply** (induction r) **apply** auto  
**by** (meson img-fst in-set-remove1D)  
**have** [simp]:  $\langle (r, ya) \in \langle \text{term-poly-list-rel} \times_r \text{int-rel} \rangle \text{list-rel} \implies \text{polynomial-of-mset } (\text{mset } ya) + \text{Var } (\varphi a) = \text{polynomial-of-mset } (\text{remove1-mset } (\{\#a\# \}, -1) (\text{mset } ya)) \rangle$  **for** ya  
**using** assms  
**by** (auto simp: list-rel-append1 list-rel-split-right-iff dest!: split-list)

**show** ?thesis

**using** assms  
**apply** (auto simp: mset-poly-rel-def sorted-poly-list-rel-wrt-def Collect-eq-comp' dest!: )  
**apply** (rule-tac b =  $\langle \text{remove1-mset } (\{\#a\# \}, -1) za \rangle$  **in** relcompI)  
**apply** (auto)  
**apply** (rule-tac b =  $\langle \text{remove1 } (\{\#a\# \}, -1) ya \rangle$  **in** relcompI)  
**apply** (auto intro!: remove1-list-rel2 intro: H simp: list-mset-rel-def br-def in-remove1-mset-neq)  
**done**

**qed**

**lemma** insert-var-rel-set-rel:

$\langle (\mathcal{V}, \mathcal{V}') \in \langle \text{var-rel} \rangle \text{set-rel} \implies$   
 $(yb, x2) \in \text{var-rel} \implies$   
 $(\text{insert } yb \mathcal{V}, \text{insert } x2 \mathcal{V}') \in \langle \text{var-rel} \rangle \text{set-rel} \rangle$   
**by** (auto simp: var-rel-def set-rel-def)

**lemma** var-rel-set-rel-iff:

$\langle (\mathcal{V}, \mathcal{V}') \in \langle \text{var-rel} \rangle \text{set-rel} \implies$   
 $(yb, x2) \in \text{var-rel} \implies$   
 $yb \in \mathcal{V} \longleftrightarrow x2 \in \mathcal{V}' \rangle$   
**using**  $\varphi\text{-inj}$  inj-eq **by** (fastforce simp: var-rel-def set-rel-def br-def)

**lemma** check-extension-l-check-extension:

**assumes**  $\langle (A, B) \in \text{fmap-polys-rel} \rangle$  **and**  $\langle (r, r') \in \text{sorted-poly-rel } O \text{ mset-poly-rel} \rangle$  **and**  
 $\langle (i, i') \in \text{nat-rel} \rangle \langle (\mathcal{V}, \mathcal{V}') \in \langle \text{var-rel} \rangle \text{set-rel} \rangle \langle (x, x') \in \text{var-rel} \rangle$   
**shows**

```

  ⟨check-extension-l spec A  $\mathcal{V}$  i x r ≤
    ↓{(st), (b)}.
    (¬is-cfailed st  $\longleftrightarrow$  b) ∧
    (is-cfound st  $\longrightarrow$  spec = r)⟩ (check-extension B  $\mathcal{V}$  i' x' r')
proof -
  have ⟨x' =  $\varphi$  x⟩
    using assms(5) by (auto simp: var-rel-def br-def)
  have [refine]:
    ⟨mult-poly-full p q ≤ ↓ (sorted-poly-rel O mset-poly-rel) (mult-poly-spec p' q')⟩
  if ⟨(p, p') ∈ sorted-poly-rel O mset-poly-rel⟩
    ⟨(q, q') ∈ sorted-poly-rel O mset-poly-rel⟩
  for p p' q q'
  using that
  by (auto intro!: mult-poly-full-mult-poly-p'[THEN order-trans] ref-two-step'
      mult-poly-p'-mult-poly-spec
      simp flip: conc-fun-chain)
  have [refine]:
    ⟨add-poly-l (p, q) ≤ ↓ (sorted-poly-rel O mset-poly-rel) (add-poly-spec p' q')⟩
  if ⟨(p, p') ∈ sorted-poly-rel O mset-poly-rel⟩
    ⟨(q, q') ∈ sorted-poly-rel O mset-poly-rel⟩
  for p p' q q'
  using that
  by (auto intro!: add-poly-l-add-poly-p'[THEN order-trans] ref-two-step'
      add-poly-p'-add-poly-spec
      simp flip: conc-fun-chain)

  have [simp]: ⟨(l, l') ∈ (term-poly-list-rel  $\times_r$  int-rel) list-rel  $\implies$ 
    (map ( $\lambda(a, b). (a, - b)$ ) l, map ( $\lambda(a, b). (a, - b)$ ) l')
    ∈ (term-poly-list-rel  $\times_r$  int-rel) list-rel⟩ for l l'
  by (induction l l' rule: list-rel-induct)
    (auto simp: list-mset-rel-def br-def)

  have [intro!]:
    ⟨(x2c, za) ∈ (term-poly-list-rel  $\times_r$  int-rel) list-rel O list-mset-rel  $\implies$ 
    (map ( $\lambda(a, b). (a, - b)$ ) x2c,
      {#case x of (a, b)  $\Rightarrow$  (a, - b). x ∈ # za#})
    ∈ (term-poly-list-rel  $\times_r$  int-rel) list-rel O list-mset-rel⟩ for x2c za
  apply (auto)
  subgoal for y
    apply (induction x2c y rule: list-rel-induct)
    apply (auto simp: list-mset-rel-def br-def)
    apply (rule-tac b = ⟨(aa, - ba) # map ( $\lambda(a, b). (a, - b)$ ) l'⟩ in relcompI)
    by auto
  done
  have [simp]: ⟨( $\lambda x. fst$  (case x of (a, b)  $\Rightarrow$  (a, - b))) = fst⟩
  by (auto intro: ext)

  have uminus: ⟨(x2c, x2a) ∈ sorted-poly-rel O mset-poly-rel  $\implies$ 
    (map ( $\lambda(a, b). (a, - b)$ ) x2c,
      - x2a)
    ∈ sorted-poly-rel O mset-poly-rel⟩ for x2c x2a x1c x1a
  apply (clarsimp simp: sorted-poly-list-rel-wrt-def
    mset-poly-rel-def)
  apply (rule-tac b = ⟨( $\lambda(a, b). (a, - b)$ ) ' # za'⟩ in relcompI)
  by (auto simp: sorted-poly-list-rel-wrt-def)

```

```

    mset-poly-rel-def comp-def polynomial-of-mset-uminus)
have [simp]:  $\langle ([], 0) \in \text{sorted-poly-rel } O \text{ mset-poly-rel} \rangle$ 
  by (auto simp: sorted-poly-list-rel-wrt-def
    mset-poly-rel-def list-mset-rel-def br-def
    intro!: relcompI[of -  $\langle \{ \# \} \rangle$ ])
show ?thesis
  unfolding check-extension-l-def
    check-extension-l-dom-err-def
    check-extension-l-no-new-var-err-def
    check-extension-l-new-var-multiple-err-def
    check-extension-l-side-cond-err-def
  apply (rule order-trans)
  defer
  apply (rule ref-two-step')
  apply (rule check-extension-alt-def)
  apply (refine-vcg )
  subgoal using assms(1,3,4,5)
    by (auto simp: var-rel-set-rel-iff)
  subgoal using assms(1,3,4,5)
    by (auto simp: var-rel-set-rel-iff)
  subgoal by auto
  subgoal by auto
  apply (subst  $\langle x' = \varphi x \rangle$ , rule remove1-sorted-poly-rel-mset-poly-rel-minus)
  subgoal using assms by auto
  subgoal using assms by auto
  subgoal using sorted-poly-rel-vars-llist[of  $\langle r \rangle \langle r' \rangle$ ]
    assms
    by (force simp: set-rel-def var-rel-def br-def
      dest!: sorted-poly-rel-vars-llist)
  subgoal by auto
  subgoal by auto
  subgoal using assms by auto
  apply (rule uminus)
  subgoal using assms by auto
  subgoal using assms by auto
  subgoal using assms by auto
  subgoal using assms by auto
  subgoal using assms by auto
  done
qed

```

```

lemma full-normalize-poly-diff-ideal:
  fixes dom
  assumes  $\langle (p, p') \in \text{fully-unsorted-poly-rel } O \text{ mset-poly-rel} \rangle$ 
  shows
     $\langle \text{full-normalize-poly } p \leq \Downarrow (\text{sorted-poly-rel } O \text{ mset-poly-rel})$ 
       $(\text{normalize-poly-spec } p') \rangle$ 
proof –
  obtain q where
    pq:  $\langle (p, q) \in \text{fully-unsorted-poly-rel} \rangle$  and qp':  $\langle (q, p') \in \text{mset-poly-rel} \rangle$ 
  using assms by auto
  show ?thesis
    unfolding normalize-poly-spec-def

```

**apply** (rule full-normalize-poly-normalize-poly-p[THEN order-trans])  
**apply** (rule pq)  
**unfolding** conc-fun-chain[symmetric]  
**by** (rule ref-two-step', rule RES-refine)  
 (use qp' in ⟨auto dest!: rtrancp-normalize-poly-p-poly-of-mset  
 simp: mset-poly-rel-def ideal.span-zero⟩)  
**qed**

**lemma** insort-key-rel-decomp:

⟨ $\exists ys\ zs.\ xs = ys @ zs \wedge \text{insort-key-rel } R\ x\ xs = ys @ x \# zs$ ⟩  
**apply** (induction xs)  
**apply** (auto 5 3)  
**apply** (rule-tac x = ⟨a # ys⟩ in exI)  
**apply** auto  
**done**

**lemma** list-rel-append-same-length:

⟨length xs = length xs'  $\implies$  (xs @ ys, xs' @ ys')  $\in$  ⟨R⟩list-rel  $\longleftrightarrow$  (xs, xs')  $\in$  ⟨R⟩list-rel  $\wedge$  (ys, ys')  $\in$  ⟨R⟩list-rel⟩  
**by** (auto simp: list-rel-def list-all2-append2 dest: list-all2-lengthD)

**lemma** term-poly-list-rel-list-relD: ⟨(ys, cs)  $\in$  ⟨term-poly-list-rel  $\times_r$  int-rel⟩list-rel  $\implies$

cs = map ( $\lambda(a, y). (mset\ a, y)$ ) ys)  
**by** (induction ys arbitrary: cs)  
 (auto simp: term-poly-list-rel-def list-rel-def list-all2-append list-all2-Cons1 list-all2-Cons2)

**lemma** term-poly-list-rel-single: ⟨([x32], {#x32#})  $\in$  term-poly-list-rel

**by** (auto simp: term-poly-list-rel-def)

**lemma** unsorted-poly-rel-list-rel-list-rel-uminus:

⟨(map ( $\lambda(a, b). (a, - b)$ ) r, yc)  
 $\in$  ⟨unsorted-term-poly-list-rel  $\times_r$  int-rel⟩list-rel  $\implies$   
 (r, map ( $\lambda(a, b). (a, - b)$ ) yc)  
 $\in$  ⟨unsorted-term-poly-list-rel  $\times_r$  int-rel⟩list-rel⟩  
**by** (induction r arbitrary: yc)  
 (auto simp: elim!: list-relE3)

**lemma** mset-poly-rel-minus: ⟨({#(a, b)#}, v')  $\in$  mset-poly-rel  $\implies$

(mset yc, r')  $\in$  mset-poly-rel  $\implies$   
 (r, yc)  
 $\in$  ⟨unsorted-term-poly-list-rel  $\times_r$  int-rel⟩list-rel  $\implies$   
 (add-mset (a, b) (mset yc),  
 v' + r')  
 $\in$  mset-poly-rel)

**by** (induction r arbitrary: r')

(auto simp: mset-poly-rel-def polynomial-of-mset-uminus)

**lemma** fully-unsorted-poly-rel-diff:

⟨([v], v')  $\in$  fully-unsorted-poly-rel  $O$  mset-poly-rel  $\implies$   
 (r, r')  $\in$  fully-unsorted-poly-rel  $O$  mset-poly-rel  $\implies$   
 (v # r,  
 v' + r')  
 $\in$  fully-unsorted-poly-rel  $O$  mset-poly-rel)

**apply** auto

**apply** (rule-tac b = ⟨y + ya⟩ in relcompI)

```

apply (auto simp: fully-unsorted-poly-list-rel-def list-mset-rel-def br-def)
apply (rule-tac b = ⟨yb @ yc⟩ in relcompI)
apply (auto elim!: list-relE3 simp: unsorted-poly-rel-list-rel-list-rel-uminus mset-poly-rel-minus)
done

```

**lemma** *PAC-checker-l-step-PAC-checker-step*:

```

assumes
  ⟨(Ast, Bst) ∈ code-status-status-rel ×r ⟨var-rel⟩set-rel ×r fmap-polys-rel⟩ and
  ⟨(st, st') ∈ pac-step-rel⟩ and
  spec: ⟨(spec, spec') ∈ sorted-poly-rel O mset-poly-rel⟩
shows
  ⟨PAC-checker-l-step spec Ast st ≤ ↓ (code-status-status-rel ×r ⟨var-rel⟩set-rel ×r fmap-polys-rel)
  (PAC-checker-step spec' Bst st')⟩
proof –
obtain A V cst B V' cst' where
  Ast: ⟨Ast = (cst, V, A)⟩ and
  Bst: ⟨Bst = (cst', V', B)⟩ and
  V[intro]: ⟨(V, V') ∈ ⟨var-rel⟩set-rel⟩ and
  AB: ⟨(A, B) ∈ fmap-polys-rel⟩
  ⟨(cst, cst') ∈ code-status-status-rel⟩
using assms(1)
by (cases Ast; cases Bst; auto)
have [refine]: ⟨(r, ra) ∈ sorted-poly-rel O mset-poly-rel ⇒
  (eqa, eqaa)
  ∈ {(st, b). (¬ is-cfailed st ⇔ b) ∧ (is-cfound st ⇒ spec = r)} ⇒
  RETURN eqa
  ≤ ↓ code-status-status-rel
  (SPEC
    (λst'. (¬ is-failed st' ∧
      is-found st' ⇒
      ra – spec' ∈ More-Modules.ideal polynomial-bool)))⟩
for r ra eqa eqaa
using spec
by (cases eqa)
  (auto intro!: RETURN-RES-refine dest!: sorted-poly-list-relD
    simp: mset-poly-rel-def ideal.span-zero)
have [simp]: ⟨(eqa, st'a) ∈ code-status-status-rel ⇒
  (merge-cstatus cst eqa, merge-status cst' st'a)
  ∈ code-status-status-rel⟩ for eqa st'a
using AB
by (cases eqa; cases st'a)
  (auto simp: code-status-status-rel-def)
have [simp]: ⟨(merge-cstatus cst CSUCCESS, cst') ∈ code-status-status-rel⟩
using AB
by (cases st)
  (auto simp: code-status-status-rel-def)
have [simp]: ⟨(x32, x32a) ∈ var-rel ⇒
  ([([x32], -1::int)], -Var x32a) ∈ fully-unsorted-poly-rel O mset-poly-rel⟩ for x32 x32a
by (auto simp: mset-poly-rel-def fully-unsorted-poly-list-rel-def list-mset-rel-def br-def
  unsorted-term-poly-list-rel-def var-rel-def Const-1-eq-1
  intro!: relcompI[of - ⟨#{#{x32#}, -1 :: int}#⟩]
  relcompI[of - ⟨([#{x32#}, -1])#⟩])
have H3: ⟨p – Var a = (-Var a) + p⟩ for p :: ⟨int mpoly⟩ and a
by auto
show ?thesis

```

```

using assms(2)
unfolding PAC-checker-l-step-def PAC-checker-step-def Ast Bst prod.case
apply (cases st; cases st'; simp only: p2rel-def pac-step.case
  pac-step-rel-raw-def mem-Collect-eq prod.case pac-step-rel-raw.simps)
subgoal
  apply (refine-rcg normalize-poly-normalize-poly-spec
    check-mult-l-check-mult check-addition-l-check-add
    full-normalize-poly-diff-ideal)
  subgoal using AB by auto
  subgoal using AB by auto
  subgoal by (auto simp: )
  subgoal by (auto simp: )
  subgoal by (auto simp: )
  subgoal by (auto intro: V)
  apply assumption+
  subgoal
    by (auto simp: code-status-status-rel-def)
  subgoal
    by (auto intro!: fmap-rel-fmupd-fmap-rel
      fmap-rel-fmdrop-fmap-rel AB)
  subgoal using AB by auto
  done
subgoal
  apply (refine-rcg normalize-poly-normalize-poly-spec
    check-mult-l-check-mult check-addition-l-check-add
    full-normalize-poly-diff-ideal[unfolded normalize-poly-spec-def[symmetric]])
  subgoal using AB by auto
  subgoal using AB by auto
  subgoal using AB by (auto simp: )
  subgoal by (auto simp: )
  subgoal by (auto simp: )
  subgoal by (auto simp: )
  apply assumption+
  subgoal
    by (auto simp: code-status-status-rel-def)
  subgoal
    by (auto intro!: fmap-rel-fmupd-fmap-rel
      fmap-rel-fmdrop-fmap-rel AB)
  subgoal using AB by auto
  done
subgoal
  apply (refine-rcg full-normalize-poly-diff-ideal
    check-extension-l-check-extension)
  subgoal using AB by (auto intro!: fully-unsorted-poly-rel-diff[of - (← Var - :: int mpoly), unfolded
H3[symmetric]] simp: comp-def case-prod-beta)
  subgoal using AB by auto
  subgoal using AB by (auto simp: )
  subgoal by (auto simp: )
  subgoal by auto
  subgoal
    by (auto simp: code-status-status-rel-def)
  subgoal
    by (auto simp: AB
      intro!: fmap-rel-fmupd-fmap-rel insert-var-rel-set-rel)
  subgoal

```

```

    by (auto simp: code-status-status-rel-def AB
        code-status.is-cfailed-def)
  done
subgoal
  apply (refine-rcg normalize-poly-normalize-poly-spec
    check-del-l-check-del check-addition-l-check-add
    full-normalize-poly-diff-ideal[unfolded normalize-poly-spec-def[symmetric]])
  subgoal using AB by auto
  subgoal using AB by auto
  subgoal
    by (auto intro!: fmap-rel-fmupd-fmap-rel
        fmap-rel-fmdrop-fmap-rel code-status-status-rel-def AB)
  subgoal
    by (auto intro!: fmap-rel-fmupd-fmap-rel
        fmap-rel-fmdrop-fmap-rel AB)
  done
done
qed

```

**lemma** *code-status-status-rel-discrim-iff*:

$$\langle (x1a, x1c) \in \text{code-status-status-rel} \implies \text{is-cfailed } x1a \longleftrightarrow \text{is-failed } x1c \rangle$$

$$\langle (x1a, x1c) \in \text{code-status-status-rel} \implies \text{is-cfound } x1a \longleftrightarrow \text{is-found } x1c \rangle$$

**by** (cases *x1a*; cases *x1c*; auto; fail)+

**lemma** *PAC-checker-l-PAC-checker*:

```

  assumes
     $\langle (A, B) \in \langle \text{var-rel} \rangle \text{set-rel} \times_r \text{fmap-polys-rel} \rangle$  and
     $\langle (st, st') \in \langle \text{pac-step-rel} \rangle \text{list-rel} \rangle$  and
     $\langle (spec, spec') \in \text{sorted-poly-rel } O \text{ mset-poly-rel} \rangle$  and
     $\langle (b, b') \in \text{code-status-status-rel} \rangle$ 
  shows
     $\langle \text{PAC-checker-l spec } A \text{ } b \text{ } st \leq \Downarrow (\text{code-status-status-rel} \times_r \langle \text{var-rel} \rangle \text{set-rel} \times_r \text{fmap-polys-rel}) (\text{PAC-checker spec' } B \text{ } b' \text{ } st') \rangle$ 
  proof -
    have [refine0]:  $\langle (((b, A), st), (b', B), st') \in ((\text{code-status-status-rel} \times_r \langle \text{var-rel} \rangle \text{set-rel} \times_r \text{fmap-polys-rel}) \times_r \langle \text{pac-step-rel} \rangle \text{list-rel}) \rangle$ 
    using assms by (auto simp: code-status-status-rel-def)
    show ?thesis
      using assms
      unfolding PAC-checker-l-def PAC-checker-def
      apply (refine-rcg PAC-checker-l-step-PAC-checker-step
        WHILEIT-refine[where  $R = \langle ((\text{bool-rel} \times_r \langle \text{var-rel} \rangle \text{set-rel} \times_r \text{fmap-polys-rel}) \times_r \langle \text{pac-step-rel} \rangle \text{list-rel}) \rangle$ ])
      subgoal by (auto simp: code-status-status-rel-discrim-iff)
      subgoal by auto
      subgoal by (auto simp: neq-Nil-conv)
      subgoal by (auto simp: neq-Nil-conv intro!: param-nth)
      subgoal by (auto simp: neq-Nil-conv)
      subgoal by auto
    done
  qed

```

**end**

**lemma** *less-than-char-of-char[code-unfold]*:

$$\langle (x, y) \in \text{less-than-char} \longleftrightarrow (\text{of-char } x :: \text{nat}) < \text{of-char } y \rangle$$



by (auto simp: less-than-char-def less-char-def)

lemmas [code] =  
 add-poly-l'.simps[unfolded var-order-rel-def]

export-code add-poly-l' in SML module-name test

**definition** full-checker-l

:: (llist-polynomial  $\Rightarrow$  (nat, llist-polynomial) fmap  $\Rightarrow$  (-, string, nat) pac-step list  $\Rightarrow$   
 (string code-status  $\times$  -) nres)

**where**

$\langle$ full-checker-l spec A st = do {  
 spec'  $\leftarrow$  full-normalize-poly spec;  
 (b,  $\mathcal{V}$ , A)  $\leftarrow$  remap-polys-l spec' {} A;  
 if is-cfailed b  
 then RETURN (b,  $\mathcal{V}$ , A)  
 else do {  
 let  $\mathcal{V} = \mathcal{V} \cup \text{vars-llist spec}$ ;  
 PAC-checker-l spec' ( $\mathcal{V}$ , A) b st  
 }  
 $\rangle$

**context** poly-embed

**begin**

**term** normalize-poly-spec

**thm** full-normalize-poly-diff-ideal[unfolded normalize-poly-spec-def[symmetric]]

**abbreviation** unsorted-fmap-polys-rel **where**

$\langle$ unsorted-fmap-polys-rel  $\equiv \langle$ nat-rel, fully-unsorted-poly-rel O mset-poly-rel $\rangle$ fmap-rel $\rangle$

**lemma** full-checker-l-full-checker:

**assumes**

$\langle$ (A, B)  $\in$  unsorted-fmap-polys-rel $\rangle$  **and**  
 $\langle$ (st, st')  $\in$  (pac-step-rel)list-rel $\rangle$  **and**  
 $\langle$ (spec, spec')  $\in$  fully-unsorted-poly-rel O mset-poly-rel $\rangle$

**shows**

$\langle$ full-checker-l spec A st  $\leq \Downarrow$  (code-status-status-rel  $\times_r$  (var-rel)set-rel  $\times_r$  fmap-polys-rel) (full-checker spec' B st') $\rangle$

**proof** –

**have** [refine]:

$\langle$ (spec, spec')  $\in$  sorted-poly-rel O mset-poly-rel  $\implies$   
 ( $\mathcal{V}$ ,  $\mathcal{V}'$ )  $\in$  (var-rel)set-rel  $\implies$   
 remap-polys-l spec  $\mathcal{V}$  A  $\leq \Downarrow$  (code-status-status-rel  $\times_r$  (var-rel)set-rel  $\times_r$  fmap-polys-rel)  
 (remap-polys-change-all spec'  $\mathcal{V}'$  B) $\rangle$  **for** spec spec'  $\mathcal{V}$   $\mathcal{V}'$

**apply** (rule remap-polys-l-remap-polys[THEN order-trans, OF assms(1)])

**apply** assumption+

**apply** (rule ref-two-step[OF order.refl])

**apply**(rule remap-polys-spec[THEN order-trans])

**by** (rule remap-polys-polynomial-bool-remap-polys-change-all)

**show** ?thesis

**unfolding** full-checker-l-def full-checker-def

```

apply (refine-rcg remap-polys-l-remap-polys
  full-normalize-poly-diff-ideal[unfolded normalize-poly-spec-def[symmetric]]
  PAC-checker-l-PAC-checker)
subgoal
  using assms(3) .
subgoal by auto
subgoal by (auto simp: is-cfailed-def is-failed-def)
subgoal by auto
apply (rule fully-unsorted-poly-rel-extend-vars)
subgoal using assms(3) .
subgoal by auto
subgoal by auto
subgoal
  using assms(2) by (auto simp: p2rel-def)
subgoal by auto
done
qed

lemma full-checker-l-full-checker':
  ⟨(uncurry2 full-checker-l, uncurry2 full-checker) ∈
  ((fully-unsorted-poly-rel O mset-poly-rel) ×r unsorted-fmap-polys-rel) ×r ⟨pac-step-rel⟩list-rel →f
  ⟨(code-status-status-rel ×r ⟨var-rel⟩set-rel ×r fmap-polys-rel)⟩nres-rel⟩
apply (intro frefI nres-relI)
using full-checker-l-full-checker by force

end

definition remap-polys-l2 :: ⟨l1ist-polynomial ⇒ string set ⇒ (nat, l1ist-polynomial) fmap ⇒ - nres⟩
where
  ⟨remap-polys-l2 spec = (λV A. do{
    n ← upper-bound-on-dom A;
    b ← RETURN (n ≥ 264);
    if b
    then do {
      c ← remap-polys-l-dom-err;
      RETURN (error-msg (0 :: nat) c, V, fmempty)
    }
    else do {
      (b, V, A) ← nfoldli ([0..n]) (λ-. True)
      (λi (b, V, A').
        if i ∈# dom-m A
        then do {
          ASSERT(fmlookup A i ≠ None);
          p ← full-normalize-poly (the (fmlookup A i));
          eq ← weak-equality-l p spec;
          V ← RETURN (V ∪ vars-l1ist (the (fmlookup A i)));
          RETURN(b ∨ eq, V, fmapd i p A')
        } else RETURN (b, V, A')
      )
      (False, V, fmempty);
      RETURN (if b then CFOUND else CSUCCESS, V, A)
    }
  })⟩

```

```

lemma remap-polys-l2-remap-polys-l:
  ⟨remap-polys-l2 spec  $\mathcal{V}$   $A \leq \Downarrow Id$  (remap-polys-l spec  $\mathcal{V}$   $A$ )⟩
proof –
  have [refine]: ⟨ $(A, A') \in Id \implies upper-bound-on-dom\ A$ 
     $\leq \Downarrow \{(n, dom). dom = set\ [0..<n]\}$  (SPEC ( $\lambda dom. set-mset\ (dom-m\ A') \subseteq dom \wedge finite\ dom$ ))⟩ for
   $A\ A'$ 
    unfolding upper-bound-on-dom-def
    apply (rule RES-refine)
    apply (auto simp: upper-bound-on-dom-def)
    done
  have 1: ⟨inj-on id dom⟩ for dom
    by auto
  have 2: ⟨ $x \in \# dom-m\ A \implies$ 
     $x' \in \# dom-m\ A' \implies$ 
     $(x, x') \in nat-rel \implies$ 
     $(A, A') \in Id \implies$ 
    full-normalize-poly (the (fmlookup  $A\ x$ ))
     $\leq \Downarrow Id$ 
    (full-normalize-poly (the (fmlookup  $A'\ x'$ )))⟩
    for  $A\ A'\ x\ x'$ 
    by (auto)
  have 3: ⟨ $(n, dom) \in \{(n, dom). dom = set\ [0..<n]\} \implies$ 
     $([0..<n], dom) \in \langle nat-rel \rangle list-set-rel$  for  $n\ dom$ 
  by (auto simp: list-set-rel-def br-def)
  have 4: ⟨ $(p, q) \in Id \implies$ 
    weak-equality-l  $p\ spec \leq \Downarrow Id$  (weak-equality-l  $q\ spec$ )⟩ for  $p\ q\ spec$ 
    by auto

  have 6: ⟨ $a = b \implies (a, b) \in Id$ ⟩ for  $a\ b$ 
    by auto
  show ?thesis
    unfolding remap-polys-l2-def remap-polys-l-def
    apply (refine-rcg LFO-refine[where  $R = Id \times_r \langle Id \rangle set-rel \times_r Id$ ])
    subgoal by auto
    subgoal by auto
    subgoal by auto
    apply (rule 3)
    subgoal by auto
    subgoal by (simp add: in-dom-m-lookup-iff)
    subgoal by (simp add: in-dom-m-lookup-iff)
    apply (rule 2)
    subgoal by auto
    subgoal by auto
    subgoal by auto
    subgoal by auto
    apply (rule 4; assumption)
    apply (rule 6)
    subgoal by auto
    subgoal by auto
    subgoal by auto
    subgoal by auto
    subgoal by auto
    done
qed

```

end

theory *PAC-Checker-Relation*  
 imports *PAC-Checker WB-Sort Native-Word.Uint64*  
 begin

## 11 Various Refinement Relations

When writing this, it was not possible to share the definition with the IsaSAT version.

**definition** *uint64-nat-rel* ::  $\langle \text{uint64} \times \text{nat} \rangle \text{ set}$  **where**  
 $\langle \text{uint64-nat-rel} = \text{br nat-of-uint64 } (\lambda-. \text{ True}) \rangle$

**abbreviation** *uint64-nat-assn* **where**  
 $\langle \text{uint64-nat-assn} \equiv \text{pure uint64-nat-rel} \rangle$

**instantiation** *uint32* :: *hashable*

**begin**

**definition** *hashcode-uint32* ::  $\langle \text{uint32} \Rightarrow \text{uint32} \rangle$  **where**  
 $\langle \text{hashcode-uint32 } n = n \rangle$

**definition** *def-hashmap-size-uint32* ::  $\langle \text{uint32 itself} \Rightarrow \text{nat} \rangle$  **where**  
 $\langle \text{def-hashmap-size-uint32} = (\lambda-. 16) \rangle$   
 — same as *nat*

**instance**

by standard (simp add: *def-hashmap-size-uint32-def*)

**end**

**instantiation** *uint64* :: *hashable*

**begin**

**definition** *hashcode-uint64* ::  $\langle \text{uint64} \Rightarrow \text{uint32} \rangle$  **where**  
 $\langle \text{hashcode-uint64 } n = (\text{uint32-of-nat } (\text{nat-of-uint64 } ((n) \text{ AND } ((2 :: \text{uint64})^{\wedge} 32 - 1)))) \rangle$

**definition** *def-hashmap-size-uint64* ::  $\langle \text{uint64 itself} \Rightarrow \text{nat} \rangle$  **where**  
 $\langle \text{def-hashmap-size-uint64} = (\lambda-. 16) \rangle$   
 — same as *nat*

**instance**

by standard (simp add: *def-hashmap-size-uint64-def*)

**end**

**lemma** *word-nat-of-uint64-Rep-inject[simp]*:  $\langle \text{nat-of-uint64 } ai = \text{nat-of-uint64 } bi \longleftrightarrow ai = bi \rangle$   
 by transfer *simp*

**instance** *uint64* :: *heap*

by standard (auto simp: *inj-def exI[of - nat-of-uint64]*)

**instance** *uint64* :: *semiring-numeral*

by standard

**lemma** *nat-of-uint64-012[simp]*:  $\langle \text{nat-of-uint64 } 0 = 0 \rangle \langle \text{nat-of-uint64 } 2 = 2 \rangle \langle \text{nat-of-uint64 } 1 = 1 \rangle$   
 by (transfer, auto)+

**definition** *uint64-of-nat-conv* **where**

[*simp*]:  $\langle \text{uint64-of-nat-conv } (x :: \text{nat}) = x \rangle$

**lemma** *less-upper-bintrunc-id*:  $\langle n < 2^{\wedge} b \implies n \geq 0 \implies \text{bintrunc } b \ n = n \rangle$

**unfolding** *uint32-of-nat-def*  
**by** (*simp add: no-bintr-alt1*)

**lemma** *nat-of-uint64-uint64-of-nat-id*:  $\langle n < 2^{64} \implies \text{nat-of-uint64} (\text{uint64-of-nat } n) = n \rangle$   
**unfolding** *uint64-of-nat-def*  
**apply** *simp*  
**apply** *transfer*  
**apply** (*auto simp: unat-def*)  
**apply** *transfer*  
**by** (*auto simp: less-upper-bintrunc-id*)

**lemma** [*sepref-fr-rules*]:  
 $\langle (\text{return } o \text{ uint64-of-nat}, \text{RETURN } o \text{ uint64-of-nat-conv}) \in [\lambda a. a < 2^{64}]_a \text{ nat-assn}^k \rightarrow \text{uint64-nat-assn} \rangle$   
**by** *sepref-to-hoare*  
(*sep-auto simp: uint64-nat-rel-def br-def nat-of-uint64-uint64-of-nat-id*)

**definition** *string-rel* ::  $\langle (\text{String.literal} \times \text{string}) \text{ set} \rangle$  **where**  
 $\langle \text{string-rel} = \{(x, y). y = \text{String.explode } x\} \rangle$

**abbreviation** *string-assn* ::  $\langle \text{string} \Rightarrow \text{String.literal} \Rightarrow \text{assn} \rangle$  **where**  
 $\langle \text{string-assn} \equiv \text{pure string-rel} \rangle$

**lemma** *eq-string-eq*:  
 $\langle ((=), (=)) \in \text{string-rel} \rightarrow \text{string-rel} \rightarrow \text{bool-rel} \rangle$   
**by** (*auto intro!: freI simp: string-rel-def String.less-literal-def less-than-char-def rel2p-def literal.explode-inject*)

**lemmas** *eq-string-eq-hnr* =  
*eq-string-eq[sepref-import-param]*

**definition** *string2-rel* ::  $\langle (\text{string} \times \text{string}) \text{ set} \rangle$  **where**  
 $\langle \text{string2-rel} \equiv \langle \text{Id} \rangle \text{list-rel} \rangle$

**abbreviation** *string2-assn* ::  $\langle \text{string} \Rightarrow \text{string} \Rightarrow \text{assn} \rangle$  **where**  
 $\langle \text{string2-assn} \equiv \text{pure string2-rel} \rangle$

**abbreviation** *monom-rel* **where**  
 $\langle \text{monom-rel} \equiv \langle \text{string-rel} \rangle \text{list-rel} \rangle$

**abbreviation** *monom-assn* **where**  
 $\langle \text{monom-assn} \equiv \text{list-assn string-assn} \rangle$

**abbreviation** *monomial-rel* **where**  
 $\langle \text{monomial-rel} \equiv \text{monom-rel} \times_r \text{int-rel} \rangle$

**abbreviation** *monomial-assn* **where**  
 $\langle \text{monomial-assn} \equiv \text{monom-assn} \times_a \text{int-assn} \rangle$

**abbreviation** *poly-rel* **where**  
 $\langle \text{poly-rel} \equiv \langle \text{monomial-rel} \rangle \text{list-rel} \rangle$

**abbreviation** *poly-assn* **where**  
 $\langle \text{poly-assn} \equiv \text{list-assn monomial-assn} \rangle$

**lemma** *poly-assn-alt-def*:  
 ⟨*poly-assn* = *pure poly-rel*⟩  
**by** (*simp add: list-assn-pure-conv*)

**abbreviation** *polys-assn* **where**  
 ⟨*polys-assn* ≡ *hm-fmap-assn uint64-nat-assn poly-assn*⟩

**lemma** *string-rel-string-assn*:  
 ⟨(↑ ((*c*, *a*) ∈ *string-rel*)) = *string-assn a c*⟩  
**by** (*auto simp: pure-app-eq*)

**lemma** *single-valued-string-rel*:  
 ⟨*single-valued string-rel*⟩  
**by** (*auto simp: single-valued-def string-rel-def*)

**lemma** *IS-LEFT-UNIQUE-string-rel*:  
 ⟨*IS-LEFT-UNIQUE string-rel*⟩  
**by** (*auto simp: IS-LEFT-UNIQUE-def single-valued-def string-rel-def*  
*literal.explode-inject*)

**lemma** *IS-RIGHT-UNIQUE-string-rel*:  
 ⟨*IS-RIGHT-UNIQUE string-rel*⟩  
**by** (*auto simp: single-valued-def string-rel-def*  
*literal.explode-inject*)

**lemma** *single-valued-monom-rel*: ⟨*single-valued monom-rel*⟩  
**by** (*rule list-rel-sv*)  
 (*auto intro!: freI simp: string-rel-def*  
*rel2p-def single-valued-def p2rel-def*)

**lemma** *single-valued-monomial-rel*:  
 ⟨*single-valued monomial-rel*⟩  
**using** *single-valued-monom-rel*  
**by** (*auto intro!: freI simp:*  
*rel2p-def single-valued-def p2rel-def*)

**lemma** *single-valued-monom-rel'*: ⟨*IS-LEFT-UNIQUE monom-rel*⟩  
**unfolding** *IS-LEFT-UNIQUE-def inv-list-rel-eq string2-rel-def*  
**by** (*rule list-rel-sv*) +  
 (*auto intro!: freI simp: string-rel-def*  
*rel2p-def single-valued-def p2rel-def literal.explode-inject*)

**lemma** *single-valued-monomial-rel'*:  
 ⟨*IS-LEFT-UNIQUE monomial-rel*⟩  
**using** *single-valued-monom-rel'*  
**unfolding** *IS-LEFT-UNIQUE-def inv-list-rel-eq*  
**by** (*auto intro!: freI simp:*  
*rel2p-def single-valued-def p2rel-def*)

**lemma** [*safe-constraint-rules*]:  
 ⟨*Sepref-Constraints.CONSTRAINT single-valued string-rel*⟩  
 ⟨*Sepref-Constraints.CONSTRAINT IS-LEFT-UNIQUE string-rel*⟩  
**by** (*auto simp: CONSTRAINT-def single-valued-def*  
*string-rel-def IS-LEFT-UNIQUE-def literal.explode-inject*)

**lemma** *eq-string-monom-hnr*[*sepref-fr-rules*]:  
 $\langle (\text{uncurry } (\text{return } \text{oo } (=)), \text{uncurry } (\text{RETURN } \text{oo } (=))) \in \text{monom-assn}^k *_a \text{monom-assn}^k \rightarrow_a \text{bool-assn} \rangle$   
**using** *single-valued-monom-rel'* *single-valued-monom-rel*  
**unfolding** *list-assn-pure-conv*  
**by** *sepref-to-hoare*  
*(sep-auto simp: list-assn-pure-conv string-rel-string-assn*  
*single-valued-def IS-LEFT-UNIQUE-def*  
*dest!: mod-starD*  
*simp flip: inv-list-rel-eq)*

**definition** *term-order-rel'* **where**  
*[simp]:  $\langle \text{term-order-rel}' x y = ((x, y) \in \text{term-order-rel}) \rangle$*

**lemma** *term-order-rel*[*def-pat-rules*]:  
 $\langle (\in) \$ (x, y) \$ \text{term-order-rel} \equiv \text{term-order-rel}' \$ x \$ y \rangle$   
**by** *auto*

**lemma** *term-order-rel-alt-def*:  
 $\langle \text{term-order-rel} = \text{lexord } (p2rel \text{ char.lexordp}) \rangle$   
**by** *(auto simp: p2rel-def char.lexordp-conv-lexord var-order-rel-def intro!: arg-cong[of - - lexord])*

**instantiation** *char* :: *linorder*

**begin**

**definition** *less-char* **where** [*symmetric*, *simp*]: *less-char* = *PAC-Polynomials-Term.less-char*

**definition** *less-eq-char* **where** [*symmetric*, *simp*]: *less-eq-char* = *PAC-Polynomials-Term.less-eq-char*

**instance**

**apply** *standard*

**using** *char.linorder-axioms*

**by** *(auto simp: class.linorder-def class.order-def class.preorder-def*  
*less-eq-char-def less-than-char-def class.order-axioms-def*  
*class.linorder-axioms-def p2rel-def less-char-def)*

**end**

**instantiation** *list* :: (*linorder*) *linorder*

**begin**

**definition** *less-list* **where** *less-list* = *lexordp (<)*

**definition** *less-eq-list* **where** *less-eq-list* = *lexordp-eq*

**instance**

**apply** *standard*

**apply** *(auto simp: less-list-def less-eq-list-def List.lexordp-def*  
*lexordp-conv-lexord lexordp-into-lexordp-eq lexordp-antisym*  
*antisym-def lexordp-eq-refl lexordp-eq-linear intro: lexordp-eq-trans*  
*dest: lexordp-eq-antisym)*

**apply** *(metis lexordp-antisym lexordp-conv-lexord lexordp-eq-conv-lexord)*

**using** *lexordp-conv-lexord lexordp-conv-lexordp-eq* **apply** *blast*

**done**

**end**

```

lemma term-order-rel'-alt-def-lexord:
   $\langle \text{term-order-rel}' x y = \text{ord-class.lexordp } x y \rangle$  and
  term-order-rel'-alt-def:
     $\langle \text{term-order-rel}' x y \longleftrightarrow x < y \rangle$ 
proof —
  show
     $\langle \text{term-order-rel}' x y = \text{ord-class.lexordp } x y \rangle$ 
     $\langle \text{term-order-rel}' x y \longleftrightarrow x < y \rangle$ 
    unfolding less-than-char-of-char[symmetric, abs-def]
    by (auto simp: lexordp-conv-lexord less-eq-list-def
      less-list-def lexordp-def var-order-rel-def
      rel2p-def term-order-rel-alt-def p2rel-def)
qed

lemma list-rel-list-rel-order-iff:
  assumes  $\langle a, b \rangle \in \langle \text{string-rel} \rangle \text{list-rel}$   $\langle a', b' \rangle \in \langle \text{string-rel} \rangle \text{list-rel}$ 
  shows  $\langle a < a' \longleftrightarrow b < b' \rangle$ 
proof
  have  $H$ :  $\langle a, b \rangle \in \langle \text{string-rel} \rangle \text{list-rel} \implies$ 
     $\langle a, cs \rangle \in \langle \text{string-rel} \rangle \text{list-rel} \implies b = cs$  for  $cs$ 
    using single-valued-monom-rel' IS-RIGHT-UNIQUE-string-rel
    unfolding string2-rel-def
    by (subst (asm) list-rel-sv-iff[symmetric])
    (auto simp: single-valued-def)
  assume  $\langle a < a' \rangle$ 
  then consider
     $u u' \text{ where } \langle a' = a @ u \# u' \rangle$  |
     $u aa v w aaa \text{ where } \langle a = u @ aa \# v \rangle \langle a' = u @ aaa \# w \rangle \langle aa < aaa \rangle$ 
    by (subst (asm) less-list-def)
    (auto simp: lexord-def List.lexordp-def
      list-rel-append1 list-rel-split-right-iff)
  then show  $\langle b < b' \rangle$ 
proof cases
  case 1
    then show  $\langle b < b' \rangle$ 
    using assms
    by (subst less-list-def)
    (auto simp: lexord-def List.lexordp-def
      list-rel-append1 list-rel-split-right-iff dest: H)
  next
    case 2
    then obtain  $u' aa' v' w' aaa'$  where
       $\langle b = u' @ aa' \# v' \rangle \langle b' = u' @ aaa' \# w' \rangle$ 
       $\langle aa, aa' \rangle \in \text{string-rel}$ 
       $\langle aaa, aaa' \rangle \in \text{string-rel}$ 
    using assms
    apply (auto simp: lexord-def List.lexordp-def
      list-rel-append1 list-rel-split-right-iff dest: H)
    by (metis (no-types, hide-lams) H list-rel-append2 list-rel-simp(4))
    with  $\langle aa < aaa \rangle$  have  $\langle aa' < aaa' \rangle$ 
    by (auto simp: string-rel-def less-literal.rep-eq less-list-def
      lexordp-conv-lexord lexordp-def char.lexordp-conv-lexord
      simp flip: lexord-code less-char-def
      PAC-Polynomials-Term.less-char-def)
    then show  $\langle b < b' \rangle$ 

```



```

using  $\langle b = u' @ aa' \# v' \rangle \langle b' = u' @ aaa' \# w' \rangle$ 
by (subst less-list-def)
    (fastforce simp: lexord-def List.lexordp-def
     list-rel-append1 list-rel-split-right-iff)
qed
next
have  $H: \langle (a, b) \in \langle string-rel \rangle list-rel \implies$ 
     $\langle a', b \rangle \in \langle string-rel \rangle list-rel \implies a = a' \rangle$  for  $a \ a' \ b$ 
using single-valued-monom-rel'
by (auto simp: single-valued-def IS-LEFT-UNIQUE-def
     simp flip: inv-list-rel-eq)
assume  $\langle b < b' \rangle$ 
then consider
     $u \ u' \text{ where } \langle b' = b @ u \# u' \rangle \mid$ 
     $u \ aa \ v \ w \ aaa \text{ where } \langle b = u @ aa \# v \rangle \langle b' = u @ aaa \# w \rangle \langle aa < aaa \rangle$ 
by (subst (asm) less-list-def)
    (auto simp: lexord-def List.lexordp-def
     list-rel-append1 list-rel-split-right-iff)
then show  $\langle a < a' \rangle$ 
proof cases
case 1
then show  $\langle a < a' \rangle$ 
using assms
by (subst less-list-def)
    (auto simp: lexord-def List.lexordp-def
     list-rel-append2 list-rel-split-left-iff dest: H)
next
case 2
then obtain  $u' \ aa' \ v' \ w' \ aaa' \text{ where}$ 
     $\langle a = u' @ aa' \# v' \rangle \langle a' = u' @ aaa' \# w' \rangle$ 
     $\langle (aa', aa) \in string-rel \rangle$ 
     $\langle (aaa', aaa) \in string-rel \rangle$ 
using assms
by (auto simp: lexord-def List.lexordp-def
     list-rel-append2 list-rel-split-left-iff dest: H)
with  $\langle aa < aaa \rangle$  have  $\langle aa' < aaa' \rangle$ 
by (auto simp: string-rel-def less-literal.rep-eq less-list-def
     lexordp-conv-lexord lexordp-def char.lexordp-conv-lexord
     simp flip: lexord-code less-char-def
     PAC-Polynomials-Term.less-char-def)
then show  $\langle a < a' \rangle$ 
using  $\langle a = u' @ aa' \# v' \rangle \langle a' = u' @ aaa' \# w' \rangle$ 
by (subst less-list-def)
    (fastforce simp: lexord-def List.lexordp-def
     list-rel-append1 list-rel-split-right-iff)
qed
qed

```

```

lemma string-rel-le[sepref-import-param]:
shows  $\langle ((<), (<)) \in \langle string-rel \rangle list-rel \rightarrow \langle string-rel \rangle list-rel \rightarrow bool-rel \rangle$ 
by (auto intro!: fun-relI simp: list-rel-list-rel-order-iff)

```

```

lemma [sepref-import-param]:

```

```

assumes  $\langle \text{CONSTRAINT IS-LEFT-UNIQUE } R \rangle \ \langle \text{CONSTRAINT IS-RIGHT-UNIQUE } R \rangle$ 
shows  $\langle \text{remove1, remove1} \rangle \in R \rightarrow \langle R \rangle \text{list-rel} \rightarrow \langle R \rangle \text{list-rel}$ 
apply (intro fun-relI)
subgoal premises  $p$  for  $x \ y \ xs \ ys$ 
  using  $p(2) \ p(1) \ \text{assms}$ 
  by (induction  $xs \ ys$  rule: list-rel-induct)
    (auto simp: IS-LEFT-UNIQUE-def single-valued-def)
done

instantiation  $\text{pac-step} :: (\text{heap}, \text{heap}, \text{heap}) \ \text{heap}$ 
begin

instance
proof standard
  obtain  $f :: \langle 'a \Rightarrow \text{nat} \rangle$  where
     $f :: \langle \text{inj } f \rangle$ 
    by blast
  obtain  $g :: \langle \text{nat} \times \text{nat} \times \text{nat} \times \text{nat} \times \text{nat} \Rightarrow \text{nat} \rangle$  where
     $g :: \langle \text{inj } g \rangle$ 
    by blast
  obtain  $h :: \langle 'b \Rightarrow \text{nat} \rangle$  where
     $h :: \langle \text{inj } h \rangle$ 
    by blast
  obtain  $i :: \langle 'c \Rightarrow \text{nat} \rangle$  where
     $i :: \langle \text{inj } i \rangle$ 
    by blast
  have [iff]:  $\langle g \ a = g \ b \longleftrightarrow a = b \rangle \langle h \ a'' = h \ b'' \longleftrightarrow a'' = b'' \rangle \langle f \ a' = f \ b' \longleftrightarrow a' = b' \rangle$ 
     $\langle i \ a''' = i \ b''' \longleftrightarrow a''' = b''' \rangle$  for  $a \ b \ a' \ b' \ a'' \ b'' \ a''' \ b'''$ 
    using  $f \ g \ h \ i$  unfolding inj-def by blast+
  let  $?f = \langle \lambda x :: ('a, 'b, 'c) \ \text{pac-step}. \$ 
     $g \ (\text{case } x \ \text{of}$ 
       $\text{Add } a \ b \ c \ d \Rightarrow (0, i \ a, \ i \ b, \ i \ c, f \ d)$ 
       $| \ \text{Del } a \Rightarrow (1, i \ a, \ 0, \ 0, \ 0)$ 
       $| \ \text{Mult } a \ b \ c \ d \Rightarrow (2, i \ a, f \ b, i \ c, f \ d)$ 
       $| \ \text{Extension } a \ b \ c \Rightarrow (3, i \ a, f \ c, 0, h \ b)) \rangle$ 
    have  $\langle \text{inj } ?f \rangle$ 
    apply (auto simp: inj-def)
    apply (case-tac  $x$ ; case-tac  $y$ )
    apply auto
    done
  then show  $\langle \exists f :: ('a, 'b, 'c) \ \text{pac-step} \Rightarrow \text{nat}. \ \text{inj } f \rangle$ 
    by blast
qed

end

end

theory PAC-Checker-Init
  imports PAC-Checker WB-Sort PAC-Checker-Relation
begin

```

## 12 Initial Normalisation of Polynomials

### 12.1 Sorting

Adapted from the theory *HOL-ex.MergeSort* by Tobias. We did not change much, but we refine it to executable code and try to improve efficiency.

```
fun merge :: -  $\Rightarrow$  'a list  $\Rightarrow$  'a list  $\Rightarrow$  'a list
where
  merge f (x#xs) (y#ys) =
    (if f x y then x # merge f xs (y#ys) else y # merge f (x#xs) ys)
| merge f xs [] = xs
| merge f [] ys = ys
```

```
lemma mset-merge [simp]:
  mset (merge f xs ys) = mset xs + mset ys
by (induct f xs ys rule: merge.induct) (simp-all add: ac-simps)
```

```
lemma set-merge [simp]:
  set (merge f xs ys) = set xs  $\cup$  set ys
by (induct f xs ys rule: merge.induct) auto
```

```
lemma sorted-merge:
  transp f  $\Longrightarrow$  ( $\bigwedge x y. f x y \vee f y x$ )  $\Longrightarrow$ 
    sorted-wrt f (merge f xs ys)  $\longleftrightarrow$  sorted-wrt f xs  $\wedge$  sorted-wrt f ys
apply (induct f xs ys rule: merge.induct)
apply (auto simp add: ball-Un not-le less-le dest: transpD)
apply blast
apply (blast dest: transpD)
done
```

```
fun msort :: -  $\Rightarrow$  'a list  $\Rightarrow$  'a list
where
  msort f [] = []
| msort f [x] = [x]
| msort f xs = merge f
    (msort f (take (size xs div 2) xs))
    (msort f (drop (size xs div 2) xs))
```

```
fun swap-ternary :: ( $\Rightarrow$  nat  $\Rightarrow$  nat  $\Rightarrow$  ('a  $\times$  'a  $\times$  'a)  $\Rightarrow$  ('a  $\times$  'a  $\times$  'a)) where
  swap-ternary f m n =
    (if (m = 0  $\wedge$  n = 1)
      then ( $\lambda(a, b, c). \text{if } f a b \text{ then } (a, b, c)$ 
        else (b,a,c))
    else if (m = 0  $\wedge$  n = 2)
      then ( $\lambda(a, b, c). \text{if } f a c \text{ then } (a, b, c)$ 
        else (c,b,a))
    else if (m = 1  $\wedge$  n = 2)
      then ( $\lambda(a, b, c). \text{if } f b c \text{ then } (a, b, c)$ 
        else (a,c,b))
    else ( $\lambda(a, b, c). (a,b,c)))$ 
```

```
fun msort2 :: -  $\Rightarrow$  'a list  $\Rightarrow$  'a list
where
  msort2 f [] = []
| msort2 f [x] = [x]
```

```

| msort2 f [x,y] = (if f x y then [x,y] else [y,x])
| msort2 f xs = merge f
                    (msort f (take (size xs div 2) xs))
                    (msort f (drop (size xs div 2) xs))

```

```

lemmas [code del] =
  msort2.simps

```

```

declare msort2.simps[simp del]

```

```

lemmas [code] =
  msort2.simps[unfolded swap-ternary.simps, simplified]

```

```

declare msort2.simps[simp]

```

```

lemma msort-msort2:
  fixes xs :: ⟨'a :: linorder list⟩
  shows ⟨msort (≤) xs = msort2 (≤) xs⟩
  apply (induction ⟨(≤) :: 'a ⇒ 'a ⇒ bool⟩ xs rule: msort2.induct)
  apply (auto dest: transpD)
  done

```

```

lemma sorted-msort:
  transp f ⇒ (⋀ x y. f x y ∨ f y x) ⇒
    sorted-wrt f (msort f xs)
  by (induct f xs rule: msort.induct) (simp-all add: sorted-merge)

```

```

lemma mset-msort[simp]:
  mset (msort f xs) = mset xs
  by (induct f xs rule: msort.induct)
    (simp-all, metis append-take-drop-id mset.simps(2) mset-append)

```

## 12.2 Sorting applied to monomials

```

lemma merge-coeffs-alt-def:
  ⟨(RETURN o merge-coeffs) p =
    RECT(λf p.
      (case p of
        [] ⇒ RETURN []
      | [-] => RETURN p
      | ((xs, n) # (ys, m) # p) ⇒
        (if xs = ys
          then if n + m ≠ 0 then f ((xs, n + m) # p) else f p
          else do {p ← f ((ys, m) # p); RETURN ((xs, n) # p)})))
    p)
  apply (induction p rule: merge-coeffs.induct)
  subgoal by (subst RECT-unfold, refine-mono) auto
  subgoal by (subst RECT-unfold, refine-mono) auto
  subgoal for x p y q
    by (subst RECT-unfold, refine-mono)
      (smt case-prod-conv list.simps(5) merge-coeffs.simps(3) nres-monad1
        push-in-let-conv(2))
  done

```

```

lemma hn-invalid-recover:
  ⟨is-pure R ⇒ hn-invalid R = (λx y. R x y * true)⟩
  ⟨is-pure R ⇒ invalid-assn R = (λx y. R x y * true)⟩

```

**by** (auto simp: is-pure-conv invalid-pure-recover hn-ctxt-def intro!: ext)

**lemma** safe-poly-vars:

**shows**

[safe-constraint-rules]:  
 is-pure (poly-assn) **and**  
 [safe-constraint-rules]:  
 is-pure (monom-assn) **and**  
 [safe-constraint-rules]:  
 is-pure (monomial-assn) **and**  
 [safe-constraint-rules]:  
 is-pure string-assn

**by** (auto intro!: pure-prod list-assn-pure simp: prod-assn-pure-conv)

**lemma** invalid-assn-distrib:

$\langle \text{invalid-assn monom-assn} \times_a \text{invalid-assn int-assn} = \text{invalid-assn} (\text{monom-assn} \times_a \text{int-assn}) \rangle$   
**apply** (simp add: invalid-pure-recover hn-invalid-recover  
 safe-constraint-rules)  
**apply** (subst hn-invalid-recover)  
**apply** (rule safe-poly-vars(2))  
**apply** (subst hn-invalid-recover)  
**apply** (rule safe-poly-vars)  
**apply** (auto intro!: ext)  
**done**

**lemma** WTF-RF-recover:

$\langle \text{hn-ctxt} (\text{invalid-assn monom-assn} \times_a \text{invalid-assn int-assn}) \text{ } xb$   
 $x'a \vee_A$   
 $\text{hn-ctxt monomial-assn } xb \text{ } x'a \implies_t$   
 $\text{hn-ctxt} (\text{monomial-assn}) \text{ } xb \text{ } x'a \rangle$   
**by** (smt assn-aci(5) hn-ctxt-def invalid-assn-distrib invalid-pure-recover is-pure-conv  
 merge-thms(4) merge-true-star reorder-enttI safe-poly-vars(3) star-aci(2) star-aci(3))

**lemma** WTF-RF:

$\langle \text{hn-ctxt} (\text{invalid-assn monom-assn} \times_a \text{invalid-assn int-assn}) \text{ } xb \text{ } x'a *$   
 $(\text{hn-invalid poly-assn } la \text{ } l'a * \text{hn-invalid int-assn } a2' \text{ } a2 *$   
 $\text{hn-invalid monom-assn } a1' \text{ } a1 *$   
 $\text{hn-invalid poly-assn } l \text{ } l' *$   
 $\text{hn-invalid monomial-assn } xa \text{ } x' *$   
 $\text{hn-invalid poly-assn } ax \text{ } px) \implies_t$   
 $\text{hn-ctxt} (\text{monomial-assn}) \text{ } xb \text{ } x'a *$   
 $\text{hn-ctxt poly-assn}$   
 $la \text{ } l'a *$   
 $\text{hn-ctxt poly-assn } l \text{ } l' *$   
 $(\text{hn-invalid int-assn } a2' \text{ } a2 *$   
 $\text{hn-invalid monom-assn } a1' \text{ } a1 *$   
 $\text{hn-invalid monomial-assn } xa \text{ } x' *$   
 $\text{hn-invalid poly-assn } ax \text{ } px) \rangle$   
 $\langle \text{hn-ctxt} (\text{invalid-assn monom-assn} \times_a \text{invalid-assn int-assn}) \text{ } xa \text{ } x' *$   
 $(\text{hn-ctxt poly-assn } l \text{ } l' * \text{hn-invalid poly-assn } ax \text{ } px) \implies_t$   
 $\text{hn-ctxt} (\text{monomial-assn}) \text{ } xa \text{ } x' *$   
 $\text{hn-ctxt poly-assn } l \text{ } l' *$   
 $\text{hn-ctxt poly-assn } ax \text{ } px *$   
 $\text{emp} \rangle$   
**by** sepref-dbg-trans-step+

The refinement frameword is completely lost here when synthesizing the constants – it does not understand what is pure (actually everything) and what must be destroyed.

```

sempref-definition merge-coeffs-impl
  is  $\langle \text{RETURN } o \text{ merge-coeffs} \rangle$ 
  ::  $\langle \text{poly-assn}^d \rightarrow_a \text{poly-assn} \rangle$ 
  supply  $[[\text{goals-limit}=1]]$ 
  unfolding merge-coeffs-alt-def
    HOL-list.fold-custom-empty poly-assn-alt-def
  apply (rewrite in  $\langle \cdot \rangle$  annotate-assn[where  $A = \langle \text{poly-assn} \rangle$ ])
  apply sempref-dbg-preproc
  apply sempref-dbg-cons-init
  apply sempref-dbg-id
  apply sempref-dbg-monadify
  apply sempref-dbg-opt-init
  apply (rule WTF-RF | sempref-dbg-trans-step)+
  apply sempref-dbg-opt
  apply sempref-dbg-cons-solve
  apply sempref-dbg-cons-solve
  apply sempref-dbg-constraints
  done

```

**definition** full-quicksort-poly **where**  
 $\langle \text{full-quicksort-poly} = \text{full-quicksort-ref } (\lambda x y. x = y \vee (x, y) \in \text{term-order-rel}) \text{ fst} \rangle$

**lemma** down-eq-id-list-rel:  $\langle \Downarrow(\langle \text{Id} \rangle \text{list-rel}) x = x \rangle$   
**by** auto

**definition** quicksort-poly::  $\langle \text{nat} \Rightarrow \text{nat} \Rightarrow \text{llist-polynomial} \Rightarrow (\text{llist-polynomial}) \text{ nres} \rangle$  **where**  
 $\langle \text{quicksort-poly } x y z = \text{quicksort-ref } (\leq) \text{ fst } (x, y, z) \rangle$

**term** partition-between-ref

**definition** partition-between-poly ::  $\langle \text{nat} \Rightarrow \text{nat} \Rightarrow \text{llist-polynomial} \Rightarrow (\text{llist-polynomial} \times \text{nat}) \text{ nres} \rangle$   
**where**  
 $\langle \text{partition-between-poly} = \text{partition-between-ref } (\leq) \text{ fst} \rangle$

**definition** partition-main-poly ::  $\langle \text{nat} \Rightarrow \text{nat} \Rightarrow \text{llist-polynomial} \Rightarrow (\text{llist-polynomial} \times \text{nat}) \text{ nres} \rangle$  **where**  
 $\langle \text{partition-main-poly} = \text{partition-main } (\leq) \text{ fst} \rangle$

**lemma** string-list-trans:  
 $\langle (xa :: \text{char list list}, ya) \in \text{lexord } (\text{lexord } \{(x, y). x < y\}) \Rightarrow$   
 $(ya, z) \in \text{lexord } (\text{lexord } \{(x, y). x < y\}) \Rightarrow$   
 $(xa, z) \in \text{lexord } (\text{lexord } \{(x, y). x < y\}) \rangle$   
**by** (smt less-char-def char.less-trans less-than-char-def lexord-partial-trans p2rel-def)

**lemma** full-quicksort-sort-poly-spec:  
 $\langle (\text{full-quicksort-poly}, \text{sort-poly-spec}) \in \langle \text{Id} \rangle \text{list-rel} \rightarrow_f \langle \langle \text{Id} \rangle \text{list-rel} \rangle \text{nres-rel} \rangle$

**proof** –  
**have**  $xs: \langle (xs, xs) \in \langle \text{Id} \rangle \text{list-rel} \rangle$  **and**  $\langle \Downarrow(\langle \text{Id} \rangle \text{list-rel}) x = x \rangle$  **for**  $x \text{ xs}$   
**by** auto  
**show** ?thesis  
**apply** (intro frefI nres-relI)  
**unfolding** full-quicksort-poly-def  
**apply** (rule full-quicksort-ref-full-quicksort[THEN fref-to-Down-curry, THEN order-trans])  
**subgoal**

```

    by (auto simp: rel2p-def var-order-rel-def p2rel-def Relation.total-on-def
        dest: string-list-trans)
  subgoal
    using total-on-lexord-less-than-char-linear[unfolded var-order-rel-def]
    apply (auto simp: rel2p-def var-order-rel-def p2rel-def Relation.total-on-def less-char-def)
    done
  subgoal by fast
    apply (rule xs)
    apply (subst down-eq-id-list-rel)
    unfolding sorted-wrt-map sort-poly-spec-def
    apply (rule full-quicksort-correct-sorted[where R =  $\langle \lambda x y. x = y \vee (x, y) \in \text{term-order-rel} \rangle$  and
        h =  $\langle \text{fst} \rangle$ ,
        THEN order-trans])
  subgoal
    by (auto simp: rel2p-def var-order-rel-def p2rel-def Relation.total-on-def dest: string-list-trans)
  subgoal for x y
    using total-on-lexord-less-than-char-linear[unfolded var-order-rel-def]
    apply (auto simp: rel2p-def var-order-rel-def p2rel-def Relation.total-on-def
        less-char-def)
    done
  subgoal
    by (auto simp: rel2p-def p2rel-def)
  done
qed

```

### 12.3 Lifting to polynomials

**definition** *merge-sort-poly* ::  $\langle \cdot \rangle$  **where**  
 $\langle \text{merge-sort-poly} = \text{msort } (\lambda a b. \text{fst } a \leq \text{fst } b) \rangle$

**definition** *merge-monoms-poly* ::  $\langle \cdot \rangle$  **where**  
 $\langle \text{merge-monoms-poly} = \text{msort } (\leq) \rangle$

**definition** *merge-poly* ::  $\langle \cdot \rangle$  **where**  
 $\langle \text{merge-poly} = \text{merge } (\lambda a b. \text{fst } a \leq \text{fst } b) \rangle$

**definition** *merge-monoms* ::  $\langle \cdot \rangle$  **where**  
 $\langle \text{merge-monoms} = \text{merge } (\leq) \rangle$

**definition** *msort-poly-impl* ::  $\langle (\text{String.literal list} \times \text{int}) \text{ list} \Rightarrow \cdot \rangle$  **where**  
 $\langle \text{msort-poly-impl} = \text{msort } (\lambda a b. \text{fst } a \leq \text{fst } b) \rangle$

**definition** *msort-monoms-impl* ::  $\langle (\text{String.literal list}) \Rightarrow \cdot \rangle$  **where**  
 $\langle \text{msort-monoms-impl} = \text{msort } (\leq) \rangle$

**lemma** *msort-poly-impl-alt-def*:

```

   $\langle \text{msort-poly-impl } xs =$ 
    (case xs of
      []  $\Rightarrow$  []
    | [a]  $\Rightarrow$  [a]
    | [a,b]  $\Rightarrow$  if  $\text{fst } a \leq \text{fst } b$  then [a,b] else [b,a]
    | xs  $\Rightarrow$  merge-poly
      (msort-poly-impl (take ((length xs) div 2) xs))
      (msort-poly-impl (drop ((length xs) div 2) xs)))
  unfolding msort-poly-impl-def
  apply (auto split: list.splits simp: merge-poly-def)

```

done

**lemma** *le-term-order-rel'*:

⟨(≤) = (λx y. x = y ∨ term-order-rel' x y)⟩  
**apply** (intro ext)  
**apply** (auto simp add: less-list-def less-eq-list-def  
lexordp-eq-conv-lexord lexordp-def)  
**using** term-order-rel'-alt-def-lexord term-order-rel'-def **apply** blast  
**using** term-order-rel'-alt-def-lexord term-order-rel'-def **apply** blast  
done

**fun** *lexord-eq* **where**

⟨lexord-eq [] - = True⟩ |  
⟨lexord-eq (x # xs) (y # ys) = (x < y ∨ (x = y ∧ lexord-eq xs ys))⟩ |  
⟨lexord-eq - - = False⟩

**lemma** [*simp*]:

⟨lexord-eq [] [] = True⟩  
⟨lexord-eq (a # b) [] = False⟩  
⟨lexord-eq [] (a # b) = True⟩  
**apply** auto  
done

**lemma** *var-order-rel'*:

⟨(≤) = (λx y. x = y ∨ (x, y) ∈ var-order-rel)⟩  
**by** (intro ext)  
(auto simp add: less-list-def less-eq-list-def  
lexordp-eq-conv-lexord lexordp-def var-order-rel-def  
lexordp-conv-lexord p2rel-def)

**lemma** *var-order-rel''*:

⟨(x, y) ∈ var-order-rel ⟷ x < y⟩  
**by** (metis leD less-than-char-linear lexord-linear neq-iff var-order-rel' var-order-rel-antisym var-order-rel-def)

**lemma** *lexord-eq-alt-def1*:

⟨a ≤ b = lexord-eq a b⟩ **for** a b :: ⟨String.literal list⟩  
**unfolding** le-term-order-rel'  
**apply** (induction a b rule: lexord-eq.induct)  
**apply** (auto simp: var-order-rel'' less-eq-list-def)  
done

**lemma** *lexord-eq-alt-def2*:

⟨(RETURN oo lexord-eq) xs ys =  
RECT (λf (xs, ys).  
case (xs, ys) of  
([], -) ⇒ RETURN True  
| (x # xs, y # ys) ⇒  
if x < y then RETURN True  
else if x = y then f (xs, ys) else RETURN False  
| - ⇒ RETURN False)  
(xs, ys)⟩  
**apply** (subst eq-commute)  
**apply** (induction xs ys rule: lexord-eq.induct)  
**subgoal by** (subst RECT-unfold, refine-mono) auto



```

subgoal by (subst RECT-unfold, refine-mono) auto
subgoal by (subst RECT-unfold, refine-mono) auto
done

```

**definition** *var-order'* **where**

```

[simp]: ⟨var-order' = var-order⟩

```

**lemma** *var-order-rel*[*def-pat-rules*]:

```

⟨(∈)$ (x,y)$var-order-rel ≡ var-order'$x$y⟩
by (auto simp: p2rel-def rel2p-def)

```

**lemma** *var-order-rel-alt-def*:

```

⟨var-order-rel = p2rel char.lexordp⟩
apply (auto simp: p2rel-def char.lexordp-conv-lexord var-order-rel-def)
using char.lexordp-conv-lexord apply auto
done

```

**lemma** *var-order-rel-var-order*:

```

⟨(x, y) ∈ var-order-rel ⟷ var-order x y⟩
by (auto simp: rel2p-def)

```

**lemma** *var-order-string-le*[*sepref-import-param*]:

```

⟨((<), var-order') ∈ string-rel → string-rel → bool-rel⟩
apply (auto intro!: freI simp: string-rel-def String.less-literal-def
  rel2p-def linorder.lexordp-conv-lexord[OF char.linorder-axioms,
  unfolded less-eq-char-def] var-order-rel-def
  p2rel-def
  simp flip: PAC-Polynomials-Term.less-char-def)
using char.lexordp-conv-lexord apply auto
done

```

**lemma** [*sepref-import-param*]:

```

⟨( (≤), (≤) ) ∈ monom-rel → monom-rel → bool-rel⟩
apply (intro fun-relI)
using list-rel-list-rel-order-iff by fastforce

```

**lemma** [*sepref-import-param*]:

```

⟨( (<), (<) ) ∈ string-rel → string-rel → bool-rel⟩
unfolding string-rel-def less-literal.rep-eq less-than-char-def
  less-eq-list-def PAC-Polynomials-Term.less-char-def[symmetric]
apply (intro fun-relI)
apply (auto simp: string-rel-def less-literal.rep-eq PAC-Polynomials-Term.less-char-def
  less-list-def char.lexordp-conv-lexord lexordp-eq-refl
  lexord-code lexordp-eq-conv-lexord less-char-def[abs-def])
apply (metis PAC-Checker-Relation.less-char-def char.lexordp-conv-lexord less-list-def p2rel-def var-order-rel''
  var-order-rel-def)
apply (metis PAC-Checker-Relation.less-char-def char.lexordp-conv-lexord less-list-def p2rel-def var-order-rel''
  var-order-rel-def)
done

```

**lemma** [*sepref-import-param*]:

```

⟨( (≤), (≤) ) ∈ string-rel → string-rel → bool-rel⟩
unfolding string-rel-def less-eq-literal.rep-eq less-than-char-def
  less-eq-list-def PAC-Polynomials-Term.less-char-def[symmetric]

```

```

by (intro fun-relI)
(auto simp: string-rel-def less-eq-literal.rep-eq less-than-char-def
  less-eq-list-def char.lexordp-eq-conv-lexord lexordp-eq-refl
  lexord-code lexordp-eq-conv-lexord
  simp flip: less-char-def[abs-def])

sempref-register lexord-eq
sempref-definition lexord-eq-term
is ⟨uncurry (RETURN oo lexord-eq)⟩
:: ⟨monom-assnk *a monom-assnk →a bool-assn⟩
supply[[goals-limit=1]]
unfolding lexord-eq-alt-def2
by sempref

declare lexord-eq-term.refine[sempref-fr-rules]

lemmas [code del] = msort-poly-impl-def msort-monoms-impl-def
lemmas [code] =
  msort-poly-impl-def[unfolded lexord-eq-alt-def1[abs-def]]
  msort-monoms-impl-def[unfolded msort-msort2]

lemma term-order-rel-trans:
  ⟨
    (a, aa) ∈ term-order-rel ⟹
    (aa, ab) ∈ term-order-rel ⟹ (a, ab) ∈ term-order-rel
  ⟩
by (metis PAC-Checker-Relation.less-char-def p2rel-def string-list-trans var-order-rel-def)

lemma merge-sort-poly-sort-poly-spec:
  ⟨(RETURN o merge-sort-poly, sort-poly-spec) ∈ ⟨Id⟩list-rel →f ⟨⟨Id⟩list-rel⟩nres-rel⟩
unfolding sort-poly-spec-def merge-sort-poly-def
apply (intro frefI nres-relI)
using total-on-lexord-less-than-char-linear var-order-rel-def
by (auto intro!: sorted-msort simp: sorted-wrt-map rel2p-def
  le-term-order-rel' transp-def dest: term-order-rel-trans)

lemma msort-alt-def:
  ⟨RETURN o (msort f) =
    RECT (λg xs.
      case xs of
        [] ⇒ RETURN []
      | [x] ⇒ RETURN [x]
      | - ⇒ do {
        a ← g (take (size xs div 2) xs);
        b ← g (drop (size xs div 2) xs);
        RETURN (merge f a b)}⟩
  ⟩
apply (intro ext)
unfolding comp-def
apply (induct-tac f x rule: msort.induct)
subgoal by (subst RECT-unfold, refine-mono) auto
subgoal by (subst RECT-unfold, refine-mono) auto
subgoal
  by (subst RECT-unfold, refine-mono)
  (smt let-to-bind-conv list.simps(5) msort.simps(3))
done

```

```

lemma monomial-rel-order-map:
   $\langle (x, a, b) \in \text{monomial-rel} \implies$ 
     $(y, aa, bb) \in \text{monomial-rel} \implies$ 
     $\text{fst } x \leq \text{fst } y \iff a \leq aa \rangle$ 
  apply (cases x; cases y)
  apply auto
  using list-rel-list-rel-order-iff by fastforce+

lemma step-rewrite-pure:
  fixes K ::  $\langle 'olbl \times 'lbl \rangle \text{ set}$ 
  shows
     $\langle \text{pure } (p2rel (\langle K, V, R \rangle \text{pac-step-rel-raw})) = \text{pac-step-rel-assn } (\text{pure } K) (\text{pure } V) (\text{pure } R) \rangle$ 
     $\langle \text{monomial-assn} = \text{pure } (\text{monom-rel} \times_r \text{int-rel}) \rangle$  and
  poly-assn-list:
     $\langle \text{poly-assn} = \text{pure } (\langle \text{monom-rel} \times_r \text{int-rel} \rangle \text{list-rel}) \rangle$ 
  subgoal
    apply (intro ext)
    apply (case-tac x; case-tac xa)
    apply (auto simp: relAPP-def p2rel-def pure-def)
    done
  subgoal H
    apply (intro ext)
    apply (case-tac x; case-tac xa)
    by (simp add: list-assn-pure-conv)
  subgoal
    unfolding H
    by (simp add: list-assn-pure-conv relAPP-def)
  done

lemma safe-pac-step-rel-assn[safe-constraint-rules]:
   $\text{is-pure } K \implies \text{is-pure } V \implies \text{is-pure } R \implies \text{is-pure } (\text{pac-step-rel-assn } K V R)$ 
  by (auto simp: step-rewrite-pure(1)[symmetric] is-pure-conv)

lemma merge-poly-merge-poly:
   $\langle (\text{merge-poly}, \text{merge-poly})$ 
   $\in \text{poly-rel} \rightarrow \text{poly-rel} \rightarrow \text{poly-rel} \rangle$ 
  unfolding merge-poly-def
  apply (intro fun-relI)
  subgoal for a a' aa a'a
    apply (induction  $\langle (\lambda(a :: \text{String.literal list} \times \text{int})$ 
       $(b :: \text{String.literal list} \times \text{int}). \text{fst } a \leq \text{fst } b) \rangle$  a aa
      arbitrary: a' a'a
      rule: merge.induct)
    subgoal
      by (auto elim!: list-relE3 list-relE4 list-relE list-relE2
        simp: monomial-rel-order-map)
    subgoal
      by (auto elim!: list-relE3 list-relE)
    subgoal
      by (auto elim!: list-relE3 list-relE4 list-relE list-relE2)
    done
  done

```

**lemmas** [fcomp-norm-unfold] =  
 poly-assn-list[symmetric]  
 step-rewrite-pure(1)

**lemma** merge-poly-merge-poly2:  
 $\langle (a, b) \in \text{poly-rel} \implies (a', b') \in \text{poly-rel} \implies$   
 $(\text{merge-poly } a \ a', \text{ merge-poly } b \ b') \in \text{poly-rel} \rangle$   
**using** merge-poly-merge-poly  
**unfolding** fun-rel-def  
**by** auto

**lemma** list-rel-takeD:  
 $\langle (a, b) \in \langle R \rangle \text{list-rel} \implies (n, n') \in \text{Id} \implies (\text{take } n \ a, \text{ take } n' \ b) \in \langle R \rangle \text{list-rel} \rangle$   
**by** (simp add: list-rel-eq-listrel listrel-iff-nth relAPP-def)

**lemma** list-rel-dropD:  
 $\langle (a, b) \in \langle R \rangle \text{list-rel} \implies (n, n') \in \text{Id} \implies (\text{drop } n \ a, \text{ drop } n' \ b) \in \langle R \rangle \text{list-rel} \rangle$   
**by** (simp add: list-rel-eq-listrel listrel-iff-nth relAPP-def)

**lemma** merge-sort-poly[sepref-import-param]:  
 $\langle (\text{msort-poly-impl}, \text{merge-sort-poly})$   
 $\in \text{poly-rel} \rightarrow \text{poly-rel} \rangle$   
**unfolding** merge-sort-poly-def msort-poly-impl-def  
**apply** (intro fun-relI)  
**subgoal for** a a'  
**apply** (induction  $\langle (\lambda(a :: \text{String.literal list} \times \text{int})$   
 $(b :: \text{String.literal list} \times \text{int}). \text{fst } a \leq \text{fst } b) \rangle a$   
 arbitrary: a'  
 rule: msort.induct)  
**subgoal**  
**by** auto  
**subgoal**  
**by** (auto elim!: list-relE3 list-relE)  
**subgoal premises** p  
**using** p  
**by** (auto elim!: list-relE3 list-relE4 list-relE list-relE2  
 simp: merge-poly-def[symmetric]  
 intro!: list-rel-takeD list-rel-dropD  
 intro!: merge-poly-merge-poly2 p(1)[simplified] p(2)[simplified],  
 auto simp: list-rel-imp-same-length)  
**done**  
**done**

**lemmas** [sepref-fr-rules] = merge-sort-poly[FCOMP merge-sort-poly-sort-poly-spec]

**sepref-definition** partition-main-poly-impl  
**is**  $\langle \text{uncurry2 } \text{partition-main-poly} \rangle$   
 $:: (\text{nat-assn}^k *_a \text{ nat-assn}^k *_a \text{ poly-assn}^k \rightarrow_a \text{ prod-assn } \text{poly-assn } \text{nat-assn})$   
**unfolding** partition-main-poly-def partition-main-def  
 term-order-rel'-def[symmetric]  
 term-order-rel'-alt-def  
 le-term-order-rel'  
**by** sepref

**declare** *partition-main-poly-impl.refine*[sepref-fr-rules]

**sepref-definition** *partition-between-poly-impl*

**is**  $\langle \text{uncurry2 } \text{partition-between-poly} \rangle$   
 $\text{:: } \langle \text{nat-assn}^k *_a \text{ nat-assn}^k *_a \text{ poly-assn}^k \rightarrow_a \text{ prod-assn poly-assn nat-assn} \rangle$   
**unfolding** *partition-between-poly-def* *partition-between-ref-def*  
*partition-main-poly-def*[symmetric]  
**unfolding** *choose-pivot3-def*  
*term-order-rel'-def*[symmetric]  
*term-order-rel'-alt-def* *choose-pivot-def*  
*lexord-eq-alt-def1*  
**by** *sepref*

**declare** *partition-between-poly-impl.refine*[sepref-fr-rules]

**sepref-definition** *quicksort-poly-impl*

**is**  $\langle \text{uncurry2 } \text{quicksort-poly} \rangle$   
 $\text{:: } \langle \text{nat-assn}^k *_a \text{ nat-assn}^k *_a \text{ poly-assn}^k \rightarrow_a \text{ poly-assn} \rangle$   
**unfolding** *partition-main-poly-def* *quicksort-ref-def* *quicksort-poly-def*  
*partition-between-poly-def*[symmetric]  
**by** *sepref*

**lemmas** [sepref-fr-rules] = *quicksort-poly-impl.refine*

**sepref-register** *quicksort-poly*

**sepref-definition** *full-quicksort-poly-impl*

**is**  $\langle \text{full-quicksort-poly} \rangle$   
 $\text{:: } \langle \text{poly-assn}^k \rightarrow_a \text{ poly-assn} \rangle$   
**unfolding** *full-quicksort-poly-def* *full-quicksort-ref-def*  
*quicksort-poly-def*[symmetric]  
*le-term-order-rel'*[symmetric]  
*term-order-rel'-def*[symmetric]  
*List.null-def*  
**by** *sepref*

**lemmas** *sort-poly-spec-hnr* =

*full-quicksort-poly-impl.refine*[FCOMP *full-quicksort-sort-poly-spec*]

**declare** *merge-coeffs-impl.refine*[sepref-fr-rules]

**sepref-definition** *normalize-poly-impl*

**is**  $\langle \text{normalize-poly} \rangle$   
 $\text{:: } \langle \text{poly-assn}^k \rightarrow_a \text{ poly-assn} \rangle$   
**supply** [[goals-limit=1]]  
**unfolding** *normalize-poly-def*  
**by** *sepref*

**declare** *normalize-poly-impl.refine*[sepref-fr-rules]

**definition** *full-quicksort-vars* **where**

$\langle \text{full-quicksort-vars} = \text{full-quicksort-ref } (\lambda x y. x = y \vee (x, y) \in \text{var-order-rel}) \text{ id} \rangle$

**definition** *quicksort-vars*::  $\langle \text{nat} \Rightarrow \text{nat} \Rightarrow \text{string list} \Rightarrow (\text{string list}) \text{ nres} \rangle$  **where**  
 $\langle \text{quicksort-vars } x \ y \ z = \text{quicksort-ref } (\leq) \text{ id } (x, y, z) \rangle$

**definition** *partition-between-vars* ::  $\langle \text{nat} \Rightarrow \text{nat} \Rightarrow \text{string list} \Rightarrow (\text{string list} \times \text{nat}) \text{ nres} \rangle$  **where**  
 $\langle \text{partition-between-vars} = \text{partition-between-ref } (\leq) \text{ id} \rangle$

**definition** *partition-main-vars* ::  $\langle \text{nat} \Rightarrow \text{nat} \Rightarrow \text{string list} \Rightarrow (\text{string list} \times \text{nat}) \text{ nres} \rangle$  **where**  
 $\langle \text{partition-main-vars} = \text{partition-main } (\leq) \text{ id} \rangle$

**lemma** *total-on-lexord-less-than-char-linear2*:  
 $\langle xs \neq ys \implies (xs, ys) \notin \text{lexord } (\text{less-than-char}) \longleftrightarrow$   
 $(ys, xs) \in \text{lexord } \text{less-than-char} \rangle$   
**using** *lexord-linear*[of  $\langle \text{less-than-char} \rangle \ x \ y$ ]  
**using** *lexord-linear*[of  $\langle \text{less-than-char} \rangle$ ] *less-than-char-linear*  
**apply** (auto simp: *Relation.total-on-def*)  
**using** *lexord-irrefl*[OF *irrefl-less-than-char*]  
 $\text{antisym-lexord}$ [OF *antisym-less-than-char* *irrefl-less-than-char*]  
**apply** (auto simp: *antisym-def*)  
**done**

**lemma** *string-trans*:  
 $\langle (xa, ya) \in \text{lexord } \{(x::\text{char}, y::\text{char}). x < y\} \implies$   
 $(ya, z) \in \text{lexord } \{(x::\text{char}, y::\text{char}). x < y\} \implies$   
 $(xa, z) \in \text{lexord } \{(x::\text{char}, y::\text{char}). x < y\} \rangle$   
**by** (smt *less-char-def* *char.less-trans* *less-than-char-def* *lexord-partial-trans* *p2rel-def*)

**lemma** *full-quicksort-sort-vars-spec*:  
 $\langle (\text{full-quicksort-vars}, \text{sort-coeff}) \in \langle \text{Id} \rangle \text{list-rel} \rightarrow_f \langle \langle \text{Id} \rangle \text{list-rel} \rangle \text{nres-rel} \rangle$

**proof** –

**have** *xs*:  $\langle (xs, xs) \in \langle \text{Id} \rangle \text{list-rel} \rangle$  **and**  $\Downarrow (\langle \text{Id} \rangle \text{list-rel}) \ x = x$  **for** *x* *xs*  
**by** *auto*  
**show** *?thesis*  
**apply** (intro *frefI* *nres-relI*)  
**unfolding** *full-quicksort-vars-def*  
**apply** (rule *full-quicksort-ref-full-quicksort*[*THEN* *fref-to-Down-curry*, *THEN* *order-trans*])  
**subgoal**  
**by** (auto simp: *rel2p-def* *var-order-rel-def* *p2rel-def* *Relation.total-on-def*  
 $\text{dest: string-trans}$ )  
**subgoal**  
**using** *total-on-lexord-less-than-char-linear2*[*unfolded* *var-order-rel-def*]  
**apply** (auto simp: *rel2p-def* *var-order-rel-def* *p2rel-def* *Relation.total-on-def* *less-char-def*)  
**done**  
**subgoal by fast**  
**apply** (rule *xs*)  
**apply** (subst *down-eq-id-list-rel*)  
**unfolding** *sorted-wrt-map* *sort-coeff-def*  
**apply** (rule *full-quicksort-correct-sorted*[**where**  $R = \langle (\lambda x \ y. x = y \vee (x, y) \in \text{var-order-rel}) \rangle$  **and**  $h = \langle \text{id} \rangle$ ,  
 $\text{THEN } \text{order-trans}$ ])  
**subgoal**  
**by** (auto simp: *rel2p-def* *var-order-rel-def* *p2rel-def* *Relation.total-on-def*  $\text{dest: string-trans}$ )  
**subgoal for** *x* *y*  
**using** *total-on-lexord-less-than-char-linear2*[*unfolded* *var-order-rel-def*]

```

    by (auto simp: rel2p-def var-order-rel-def p2rel-def Relation.total-on-def
        less-char-def)
  subgoal
    by (auto simp: rel2p-def p2rel-def rel2p-def[abs-def])
  done
qed

```

```

sempref-definition partition-main-vars-impl
  is ⟨uncurry2 partition-main-vars⟩
  :: ⟨nat-assnk *a nat-assnk *a (monom-assn)k →a prod-assn (monom-assn) nat-assn⟩
  unfolding partition-main-vars-def partition-main-def
    var-order-rel-var-order
    var-order'-def[symmetric]
    term-order-rel'-alt-def
    le-term-order-rel'
    id-apply
  by sempref

```

```

declare partition-main-vars-impl.refine[sempref-fr-rules]

```

```

sempref-definition partition-between-vars-impl
  is ⟨uncurry2 partition-between-vars⟩
  :: ⟨nat-assnk *a nat-assnk *a monom-assnk →a prod-assn monom-assn nat-assn⟩
  unfolding partition-between-vars-def partition-between-ref-def
    partition-main-vars-def[symmetric]
  unfolding choose-pivot3-def
    term-order-rel'-def[symmetric]
    term-order-rel'-alt-def choose-pivot-def
    le-term-order-rel' id-apply
  by sempref

```

```

declare partition-between-vars-impl.refine[sempref-fr-rules]

```

```

sempref-definition quicksort-vars-impl
  is ⟨uncurry2 quicksort-vars⟩
  :: ⟨nat-assnk *a nat-assnk *a monom-assnk →a monom-assn⟩
  unfolding partition-main-vars-def quicksort-ref-def quicksort-vars-def
    partition-between-vars-def[symmetric]
  by sempref

```

```

lemmas [sempref-fr-rules] = quicksort-vars-impl.refine

```

```

sempref-register quicksort-vars

```

```

lemma le-var-order-rel:
  ⟨(≤) = (λx y. x = y ∨ (x, y) ∈ var-order-rel)⟩
  by (intro ext)
  (auto simp add: less-list-def less-eq-list-def rel2p-def
    p2rel-def lexordp-conv-lexord p2rel-def var-order-rel-def
    lexordp-eq-conv-lexord lexordp-def)

```

```

sempref-definition full-quicksort-vars-impl
  is ⟨full-quicksort-vars⟩

```

```

:: (monom-assnk →a monom-assn)
unfolding full-quicksort-vars-def full-quicksort-ref-def
  quicksort-vars-def[symmetric]
  le-var-order-rel[symmetric]
  term-order-rel'-def[symmetric]
  List.null-def
by sepref

```

```

lemmas sort-vars-spec-hnr =
  full-quicksort-vars-impl.refine[FCOMP full-quicksort-sort-vars-spec]

```

```

lemma string-rel-order-map:
  ⟨(x, a) ∈ string-rel ⇒
    (y, aa) ∈ string-rel ⇒
    x ≤ y ⇔ a ≤ aa⟩
unfolding string-rel-def less-eq-literal.rep-eq less-than-char-def
  less-eq-list-def PAC-Polynomials-Term.less-char-def[symmetric]
by (auto simp: string-rel-def less-eq-literal.rep-eq less-than-char-def
  less-eq-list-def char.lexordp-eq-conv-lexord lexordp-eq-refl
  lexord-code lexordp-eq-conv-lexord
  simp flip: less-char-def[abs-def])

```

```

lemma merge-monoms-merge-monoms:
  ⟨(merge-monoms, merge-monoms) ∈ monom-rel → monom-rel → monom-rel⟩
unfolding merge-monoms-def
apply (intro fun-relI)
subgoal for a a' aa a'a
apply (induction ⟨(λ(a :: String.literal)
  (b :: String.literal). a ≤ b)⟩ a aa
  arbitrary: a' a'a
  rule: merge.induct)
subgoal
by (auto elim!: list-relE3 list-relE4 list-relE list-relE2
  simp: string-rel-order-map)
subgoal
by (auto elim!: list-relE3 list-relE)
subgoal
by (auto elim!: list-relE3 list-relE4 list-relE list-relE2)
done
done

```

```

lemma merge-monoms-merge-monoms2:
  ⟨(a, b) ∈ monom-rel ⇒ (a', b') ∈ monom-rel ⇒
    (merge-monoms a a', merge-monoms b b') ∈ monom-rel⟩
using merge-monoms-merge-monoms
unfolding fun-rel-def merge-monoms-def
by auto

```

```

lemma msort-monoms-impl:
  ⟨(msort-monoms-impl, merge-monoms-poly)
  ∈ monom-rel → monom-rel⟩
unfolding msort-monoms-impl-def merge-monoms-poly-def
apply (intro fun-relI)

```



```

subgoal for  $a'$ 
apply (induction  $\langle \lambda(a :: \text{String.literal})$ 
   $(b :: \text{String.literal}). a \leq b \rangle a$ 
  arbitrary:  $a'$ 
  rule: msort.induct)
subgoal
  by auto
subgoal
  by (auto elim!: list-relE3 list-relE)
subgoal premises  $p$ 
  using  $p$ 
  by (auto elim!: list-relE3 list-relE4 list-relE list-relE2
    simp: merge-monoms-def[symmetric] intro!: list-rel-takeD list-rel-dropD
    intro!: merge-monoms-merge-monoms2 p(1)[simplified] p(2)[simplified])
    (simp-all add: list-rel-imp-same-length)
  done
done

lemma merge-sort-monoms-sort-monoms-spec:
 $\langle (\text{RETURN } o \text{ merge-monoms-poly}, \text{sort-coeff}) \in \langle \text{Id} \rangle \text{list-rel} \rightarrow_f \langle \langle \text{Id} \rangle \text{list-rel} \rangle \text{nres-rel}$ 
unfolding merge-monoms-poly-def sort-coeff-def
by (intro frefI nres-relI)
  (auto intro!: sorted-msort simp: sorted-wrt-map rel2p-def
    le-term-order-rel' transp-def rel2p-def[abs-def]
    simp flip: le-var-order-rel)

sepref-register sort-coeff
lemma [sepref-fr-rules]:
 $\langle (\text{return } o \text{ msort-monoms-impl}, \text{sort-coeff}) \in \text{monom-assn}^k \rightarrow_a \text{monom-assn} \rangle$ 
using msort-monoms-impl[sepref-param, FCOMP merge-sort-monoms-sort-monoms-spec]
by auto

sepref-definition sort-all-coeffs-impl
is  $\langle \text{sort-all-coeffs} \rangle$ 
 $:: \langle \text{poly-assn}^k \rightarrow_a \text{poly-assn} \rangle$ 
unfolding sort-all-coeffs-def
  HOL-list.fold-custom-empty
by sepref

declare sort-all-coeffs-impl.refine[sepref-fr-rules]

lemma merge-coeffs0-alt-def:
 $\langle (\text{RETURN } o \text{ merge-coeffs0}) p =$ 
   $\text{REC}_T(\lambda f p.$ 
    (case  $p$  of
       $\square \Rightarrow \text{RETURN } \square$ 
       $| [p] \Rightarrow \text{if } \text{snd } p = 0 \text{ then } \text{RETURN } \square \text{ else } \text{RETURN } [p]$ 
       $| ((xs, n) \# (ys, m) \# p) \Rightarrow$ 
        (if  $xs = ys$ 
          then if  $n + m \neq 0$  then  $f((xs, n + m) \# p)$  else  $f p$ 
          else if  $n = 0$  then
            do  $\{p \leftarrow f((ys, m) \# p);$ 
               $\text{RETURN } p\}$ 
            else do  $\{p \leftarrow f((ys, m) \# p);$ 
               $\text{RETURN } ((xs, n) \# p)\})$ 
        )
    )
  )

```

```

    p)
  apply (subst eq-commute)
  apply (induction p rule: merge-coeffs0.induct)
  subgoal by (subst RECT-unfold, refine-mono) auto
  subgoal by (subst RECT-unfold, refine-mono) auto
  subgoal by (subst RECT-unfold, refine-mono) (auto simp: let-to-bind-conv)
done

```

Again, Sepref does not understand what is going here.

```

sepref-definition merge-coeffs0-impl
  is ⟨RETURN o merge-coeffs0⟩
  :: ⟨poly-assnk →a poly-assn⟩
  supply [[goals-limit=1]]
  unfolding merge-coeffs0-alt-def
    HOL-list.fold-custom-empty
  apply sepref-dbg-preproc
  apply sepref-dbg-cons-init
  apply sepref-dbg-id
  apply sepref-dbg-monadify
  apply sepref-dbg-opt-init
  apply (rule WTF-RF | sepref-dbg-trans-step)+
  apply sepref-dbg-opt
  apply sepref-dbg-cons-solve
  apply sepref-dbg-cons-solve
  apply sepref-dbg-constraints
done

```

```

declare merge-coeffs0-impl.refine[sepref-fr-rules]

```

```

sepref-definition fully-normalize-poly-impl
  is ⟨full-normalize-poly⟩
  :: ⟨poly-assnk →a poly-assn⟩
  supply [[goals-limit=1]]
  unfolding full-normalize-poly-def
  by sepref

```

```

declare fully-normalize-poly-impl.refine[sepref-fr-rules]

```

```

end

```

```

theory PAC-Version
  imports Main
begin

```

This code was taken from IsaFoR and adapted to git.

```

local-setup ⟨
  let
    val version = 2020-AFP
  (* trim-line (#1 (Isabelle-System.bash-output (cd $ISAFOL/ && git rev-parse --short HEAD ||
echo unknown))) *)
  in
    Local-Theory.define
      ((binding ⟨version⟩, NoSyn),

```

```

      ((binding (version-def), []), HOLogic.mk-literal version)) #> #2
    end
  >

```

```

declare version-def [code]

```

```

end

```

```

theory PAC-Checker-Synthesis
  imports PAC-Checker WB-Sort PAC-Checker-Relation
    PAC-Checker-Init More-Loops PAC-Version
begin

```

## 13 Code Synthesis of the Complete Checker

We here combine refine the full checker, using the initialisation provided in another file.

```

abbreviation vars-assn where
  (vars-assn  $\equiv$  hs.assn string-assn)

```

```

fun vars-of-monom-in where
  (vars-of-monom-in [] - = True) |
  (vars-of-monom-in (x # xs)  $\mathcal{V}$   $\longleftrightarrow$   $x \in \mathcal{V} \wedge$  vars-of-monom-in xs  $\mathcal{V}$ )

```

```

fun vars-of-poly-in where
  (vars-of-poly-in [] - = True) |
  (vars-of-poly-in ((x, -) # xs)  $\mathcal{V}$   $\longleftrightarrow$  vars-of-monom-in x  $\mathcal{V} \wedge$  vars-of-poly-in xs  $\mathcal{V}$ )

```

```

lemma vars-of-monom-in-alt-def:
  (vars-of-monom-in xs  $\mathcal{V}$   $\longleftrightarrow$  set xs  $\subseteq \mathcal{V}$ )
by (induction xs)
  auto

```

```

lemma vars-llist-alt-def:
  (vars-llist xs  $\subseteq \mathcal{V}$   $\longleftrightarrow$  vars-of-poly-in xs  $\mathcal{V}$ )
by (induction xs)
  (auto simp: vars-llist-def vars-of-monom-in-alt-def)

```

```

lemma vars-of-monom-in-alt-def2:
  (vars-of-monom-in xs  $\mathcal{V}$   $\longleftrightarrow$  fold ( $\lambda x b. b \wedge x \in \mathcal{V}$ ) xs True)
apply (subst foldr-fold[symmetric])
subgoal by auto
subgoal by (induction xs) auto
done

```

```

sepref-definition vars-of-monom-in-impl
  is (uncurry (RETURN oo vars-of-monom-in))
  :: (list-assn string-assn)k *a vars-assnk  $\rightarrow_a$  bool-assn
  unfolding vars-of-monom-in-alt-def2
  by sepref

```

```

declare vars-of-monom-in-impl.refine[sepref-fr-rules]

```

```

lemma vars-of-poly-in-alt-def2:
  (vars-of-poly-in xs  $\mathcal{V}$   $\longleftrightarrow$  fold ( $\lambda(x, -) b. b \wedge$  vars-of-monom-in x  $\mathcal{V}$ ) xs True)

```

```

apply (subst foldr-fold[symmetric])
subgoal by auto
subgoal by (induction xs) auto
done

```

```

sempref-definition vars-of-poly-in-impl
  is ⟨uncurry (RETURN oo vars-of-poly-in)⟩
  :: ⟨(poly-assn)k *a vars-assnk →a bool-assn⟩
  unfolding vars-of-poly-in-alt-def2
  by sempref

```

```

declare vars-of-poly-in-impl.refine[sempref-fr-rules]

```

```

definition union-vars-monom :: ⟨string list ⇒ string set ⇒ string set⟩ where
  ⟨union-vars-monom xs V = fold insert xs V⟩

```

```

definition union-vars-poly :: ⟨llist-polynomial ⇒ string set ⇒ string set⟩ where
  ⟨union-vars-poly xs V = fold (λ(xs, -) V. union-vars-monom xs V) xs V⟩

```

```

lemma union-vars-monom-alt-def:
  ⟨union-vars-monom xs V = V ∪ set xs⟩
  unfolding union-vars-monom-def
  apply (subst foldr-fold[symmetric])
  subgoal for x y
    by (cases x; cases y) auto
  subgoal
    by (induction xs) auto
  done

```

```

lemma union-vars-poly-alt-def:
  ⟨union-vars-poly xs V = V ∪ vars-llist xs⟩
  unfolding union-vars-poly-def
  apply (subst foldr-fold[symmetric])
  subgoal for x y
    by (cases x; cases y)
      (auto simp: union-vars-monom-alt-def)
  subgoal
    by (induction xs)
      (auto simp: vars-llist-def union-vars-monom-alt-def)
  done

```

```

sempref-definition union-vars-monom-impl
  is ⟨uncurry (RETURN oo union-vars-monom)⟩
  :: ⟨monom-assnk *a vars-assnd →a vars-assn⟩
  unfolding union-vars-monom-def
  by sempref

```

```

declare union-vars-monom-impl.refine[sempref-fr-rules]

```

```

sempref-definition union-vars-poly-impl
  is ⟨uncurry (RETURN oo union-vars-poly)⟩
  :: ⟨poly-assnk *a vars-assnd →a vars-assn⟩
  unfolding union-vars-poly-def

```

```

by sepref

declare union-vars-poly-impl.refine[sepref-fr-rules]

hide-const (open) Autoref-Fix-Rel.CONSTRAINT

fun status-assn where
  ⟨status-assn - CSUCCESS CSUCCESS = emp⟩ |
  ⟨status-assn - CFOUND CFOUND = emp⟩ |
  ⟨status-assn R (CFAILED a) (CFAILED b) = R a b⟩ |
  ⟨status-assn - - = false⟩

lemma SUCCESS-hnr[sepref-fr-rules]:
  ⟨(uncurry0 (return CSUCCESS), uncurry0 (RETURN CSUCCESS)) ∈ unit-assnk →a status-assn R⟩
  by (sepref-to-hoare)
  sep-auto

lemma FOUND-hnr[sepref-fr-rules]:
  ⟨(uncurry0 (return CFOUND), uncurry0 (RETURN CFOUND)) ∈ unit-assnk →a status-assn R⟩
  by (sepref-to-hoare)
  sep-auto

lemma is-success-hnr[sepref-fr-rules]:
  ⟨CONSTRAINT is-pure R ⇒
    ((return o is-cfound), (RETURN o is-cfound)) ∈ (status-assn R)k →a bool-assn⟩
  apply (sepref-to-hoare)
  apply (rename-tac xi x; case-tac xi; case-tac x)
  apply sep-auto+
  done

lemma is-cfailed-hnr[sepref-fr-rules]:
  ⟨CONSTRAINT is-pure R ⇒
    ((return o is-cfailed), (RETURN o is-cfailed)) ∈ (status-assn R)k →a bool-assn⟩
  apply (sepref-to-hoare)
  apply (rename-tac xi x; case-tac xi; case-tac x)
  apply sep-auto+
  done

lemma merge-cstatus-hnr[sepref-fr-rules]:
  ⟨CONSTRAINT is-pure R ⇒
    (uncurry (return oo merge-cstatus), uncurry (RETURN oo merge-cstatus)) ∈
      (status-assn R)k *a (status-assn R)k →a status-assn R⟩
  apply (sepref-to-hoare)
  by (case-tac b; case-tac bi; case-tac a; case-tac ai; sep-auto simp: is-pure-conv pure-app-eq)

sepref-definition add-poly-impl
  is ⟨add-poly-l⟩
  :: ⟨(poly-assn ×a poly-assn)k →a poly-assn⟩
  supply [[goals-limit=1]]
  unfolding add-poly-l-def
    HOL-list.fold-custom-empty
    term-order-rel'-def[symmetric]
    term-order-rel'-alt-def
  by sepref

```

**declare** *add-poly-impl.refine*[*sepref-fr-rules*]

**sepref-register** *mult-monomials*

**lemma** *mult-monomials-alt-def*:

```

⟨(RETURN oo mult-monomials) x y = RECT
  (λf (p, q).
    case (p, q) of
      ([], -) ⇒ RETURN q
    | (-, []) ⇒ RETURN p
    | (x # p, y # q) ⇒
      (if x = y then do {
        pq ← f (p, q);
        RETURN (x # pq)}
      else if (x, y) ∈ var-order-rel
      then do {
        pq ← f (p, y # q);
        RETURN (x # pq)}
      else do {
        pq ← f (x # p, q);
        RETURN (y # pq)}))
  (x, y)⟩
apply (subst eq-commute)
apply (induction x y rule: mult-monomials.induct)
subgoal for p
  by (subst RECT-unfold, refine-mono) (auto split: list.splits)
subgoal for p
  by (subst RECT-unfold, refine-mono) (auto split: list.splits)
subgoal for x p y q
  by (subst RECT-unfold, refine-mono) (auto split: list.splits simp: let-to-bind-conv)
done

```

**sepref-definition** *mult-monomials-impl*

```

is ⟨uncurry (RETURN oo mult-monomials)⟩
:: ⟨(monom-assn)k *a (monom-assn)k →a (monom-assn)⟩
supply [[goals-limit=1]]
unfolding mult-poly-raw-def
  HOL-list.fold-custom-empty
  var-order'-def[symmetric]
  term-order-rel'-alt-def
  mult-monomials-alt-def
  var-order-rel-var-order
by sepref

```

**declare** *mult-monomials-impl.refine*[*sepref-fr-rules*]

**sepref-definition** *mult-monomials-impl*

```

is ⟨uncurry (RETURN oo mult-monomials)⟩
:: ⟨(monomial-assn)k *a (monomial-assn)k →a (monomial-assn)⟩
supply [[goals-limit=1]]
unfolding mult-monomials-def
  HOL-list.fold-custom-empty

```

```

term-order-rel'-def[symmetric]
term-order-rel'-alt-def
by sepref

```

```

lemma map-append-alt-def2:
  ⟨(RETURN o (map-append f b)) xs = RECT
    (λg xs. case xs of [] ⇒ RETURN b
      | x # xs ⇒ do {
        y ← g xs;
        RETURN (f x # y)
      }) xs⟩
apply (subst eq-commute)
apply (induction f b xs rule: map-append.induct)
subgoal by (subst RECT-unfold, refine-mono) auto
subgoal by (subst RECT-unfold, refine-mono) auto
done

```

```

definition map-append-poly-mult where
  ⟨map-append-poly-mult x = map-append (mult-monomials x)⟩

```

```

declare mult-monomials-impl.refine[sepref-fr-rules]

```

```

sepref-definition map-append-poly-mult-impl
is ⟨uncurry2 (RETURN ooo map-append-poly-mult)⟩
:: ⟨monomial-assnk *a poly-assnk *a poly-assnk →a poly-assn⟩
unfolding map-append-poly-mult-def
  map-append-alt-def2
by sepref

```

```

declare map-append-poly-mult-impl.refine[sepref-fr-rules]

```

TODO foldl (λl x. l @ [?f x]) [] ?l = map ?f ?l is the worst possible implementation of map!

```

sepref-definition mult-poly-raw-impl
is ⟨uncurry (RETURN oo mult-poly-raw)⟩
:: ⟨poly-assnk *a poly-assnk →a poly-assn⟩
supply [[goals-limit=1]]
supply [[eta-contract = false, show-abbrevs=false]]
unfolding mult-poly-raw-def
  HOL-list.fold-custom-empty
  term-order-rel'-def[symmetric]
  term-order-rel'-alt-def
  foldl-conv-fold
  fold-eq-nfoldli
  map-append-poly-mult-def[symmetric]
  map-append-alt-def[symmetric]
by sepref

```

```

declare mult-poly-raw-impl.refine[sepref-fr-rules]

```

```

sepref-definition mult-poly-impl
is ⟨uncurry mult-poly-full⟩
:: ⟨poly-assnk *a poly-assnk →a poly-assn⟩

```

```

supply [[goals-limit=1]]
unfolding mult-poly-full-def
  HOL-list.fold-custom-empty
  term-order-rel'-def[symmetric]
  term-order-rel'-alt-def
by sepref

declare mult-poly-impl.refine[sepref-fr-rules]

lemma inverse-monomial:
   $\langle \text{monom-rel}^{-1} \times_r \text{int-rel} = (\text{monom-rel} \times_r \text{int-rel})^{-1} \rangle$ 
by (auto)

lemma eq-poly-rel-eq[sepref-import-param]:
   $\langle ((=), (=)) \in \text{poly-rel} \rightarrow \text{poly-rel} \rightarrow \text{bool-rel} \rangle$ 
using list-rel-sv[of  $\langle \text{monomial-rel} \rangle$ , OF single-valued-monomial-rel]
using list-rel-sv[OF single-valued-monomial-rel'[unfolded IS-LEFT-UNIQUE-def inv-list-rel-eq]]
unfolding inv-list-rel-eq[symmetric]
by (auto intro!: frefI simp:
  rel2p-def single-valued-def p2rel-def
  simp del: inv-list-rel-eq)

sepref-definition weak-equality-l-impl
  is  $\langle \text{uncurry weak-equality-l} \rangle$ 
  ::  $\langle \text{poly-assn}^k *_a \text{poly-assn}^k \rightarrow_a \text{bool-assn} \rangle$ 
supply [[goals-limit=1]]
unfolding weak-equality-l-def
by sepref

declare weak-equality-l-impl.refine[sepref-fr-rules]
sepref-register add-poly-l mult-poly-full

abbreviation raw-string-assn ::  $\langle \text{string} \Rightarrow \text{string} \Rightarrow \text{assn} \rangle$  where
   $\langle \text{raw-string-assn} \equiv \text{list-assn id-assn} \rangle$ 

definition show-nat ::  $\langle \text{nat} \Rightarrow \text{string} \rangle$  where
   $\langle \text{show-nat } i = \text{show } i \rangle$ 

lemma [sepref-import-param]:
   $\langle (\text{show-nat}, \text{show-nat}) \in \text{nat-rel} \rightarrow \langle \text{Id} \rangle \text{list-rel} \rangle$ 
by (auto intro: fun-relI)

lemma status-assn-pure-conv:
   $\langle \text{status-assn } (\text{id-assn}) a b = \text{id-assn } a b \rangle$ 
by (cases a; cases b)
  (auto simp: pure-def)

lemma [sepref-fr-rules]:
   $\langle (\text{uncurry3 } (\lambda x y. \text{return } oo (\text{error-msg-not-equal-dom } x y)), \text{uncurry3 check-not-equal-dom-err}) \in$ 
   $\text{poly-assn}^k *_a \text{poly-assn}^k *_a \text{poly-assn}^k *_a \text{poly-assn}^k \rightarrow_a \text{raw-string-assn} \rangle$ 
unfolding show-nat-def[symmetric] list-assn-pure-conv
  prod-assn-pure-conv check-not-equal-dom-err-def
by (sepref-to-hoare; sep-auto simp: error-msg-not-equal-dom-def)

```



**lemma** [sepref-fr-rules]:  
 $\langle (\text{return } o \text{ (error-msg-notin-dom } o \text{ nat-of-uint64)}, \text{RETURN } o \text{ error-msg-notin-dom})$   
 $\in \text{uint64-nat-assn}^k \rightarrow_a \text{raw-string-assn}$   
 $\langle (\text{return } o \text{ (error-msg-reused-dom } o \text{ nat-of-uint64)}, \text{RETURN } o \text{ error-msg-reused-dom})$   
 $\in \text{uint64-nat-assn}^k \rightarrow_a \text{raw-string-assn}$   
 $\langle (\text{uncurry (return oo } (\lambda i. \text{error-msg (nat-of-uint64 } i))), \text{uncurry (RETURN oo error-msg)})$   
 $\in \text{uint64-nat-assn}^k *_a \text{raw-string-assn}^k \rightarrow_a \text{status-assn raw-string-assn}$   
 $\langle (\text{uncurry (return oo error-msg)}, \text{uncurry (RETURN oo error-msg)})$   
 $\in \text{nat-assn}^k *_a \text{raw-string-assn}^k \rightarrow_a \text{status-assn raw-string-assn}$   
**unfolding** error-msg-notin-dom-def list-assn-pure-conv list-rel-id-simp  
**unfolding** status-assn-pure-conv  
**unfolding** show-nat-def[symmetric]  
**by** (sepref-to-hoare; sep-auto simp: uint64-nat-rel-def br-def; fail)+

**sepref-definition** check-addition-l-impl  
**is**  $\langle \text{uncurry6 check-addition-l}$   
 $:: \langle \text{poly-assn}^k *_a \text{polys-assn}^k *_a \text{vars-assn}^k *_a \text{uint64-nat-assn}^k *_a \text{uint64-nat-assn}^k *_a$   
 $\text{uint64-nat-assn}^k *_a \text{poly-assn}^k \rightarrow_a \text{status-assn raw-string-assn}$   
**supply** [[goals-limit=1]]  
**unfolding** mult-poly-full-def  
HOL-list.fold-custom-empty  
term-order-rel'-def[symmetric]  
term-order-rel'-alt-def  
check-addition-l-def  
in-dom-m-lookup-iff  
fmlookup'-def[symmetric]  
vars-llist-alt-def  
**by** sepref

**declare** check-addition-l-impl.refine[sepref-fr-rules]

**sepref-register** check-mult-l-dom-err

**definition** check-mult-l-dom-err-impl **where**  
 $\langle \text{check-mult-l-dom-err-impl } pd \text{ } p \text{ } ia \text{ } i =$   
 $(\text{if } pd \text{ then "The polynomial with id " @ show (nat-of-uint64 } p) \text{ @ " was not found" else ""}) @$   
 $(\text{if } ia \text{ then "The id of the resulting id " @ show (nat-of-uint64 } i) \text{ @ " was already given" else ""})$

**definition** check-mult-l-mult-err-impl **where**  
 $\langle \text{check-mult-l-mult-err-impl } p \text{ } q \text{ } pq \text{ } r =$   
 $\text{"Multiplying " @ show } p \text{ @ " by " @ show } q \text{ @ " gives " @ show } pq \text{ @ " and not " @ show } r$

**lemma** [sepref-fr-rules]:  
 $\langle (\text{uncurry3 } ((\lambda x \text{ } y. \text{return oo (check-mult-l-dom-err-impl } x \text{ } y))),$   
 $\text{uncurry3 (check-mult-l-dom-err)}) \in \text{bool-assn}^k *_a \text{uint64-nat-assn}^k *_a \text{bool-assn}^k *_a \text{uint64-nat-assn}^k$   
 $\rightarrow_a \text{raw-string-assn}$   
**unfolding** check-mult-l-dom-err-def check-mult-l-dom-err-impl-def list-assn-pure-conv  
**apply** sepref-to-hoare  
**apply** sep-auto  
**done**

**lemma** [sepref-fr-rules]:  
 $\langle (\text{uncurry3 } ((\lambda x \text{ } y. \text{return oo (check-mult-l-mult-err-impl } x \text{ } y))),$

```

uncurry3 (check-mult-l-mult-err)) ∈ poly-assnk *a poly-assnk *a poly-assnk *a poly-assnk →a raw-string-assn
unfolding check-mult-l-mult-err-def check-mult-l-mult-err-impl-def list-assn-pure-conv
apply sepref-to-hoare
apply sep-auto
done

```

**sepref-definition** *check-mult-l-impl*

```

is ⟨uncurry6 check-mult-l
  :: ⟨poly-assnk *a polys-assnk *a vars-assnk *a uint64-nat-assnk *a poly-assnk *a uint64-nat-assnk *a
poly-assnk →a status-assn raw-string-assn⟩
supply [[goals-limit=1]]
unfolding check-mult-l-def
  HOL-list.fold-custom-empty
  term-order-rel'-def[symmetric]
  term-order-rel'-alt-def
  in-dom-m-lookup-iff
  fmlookup'-def[symmetric]
  vars-llist-alt-def
by sepref

```

**declare** *check-mult-l-impl.refine*[sepref-fr-rules]

**definition** *check-ext-l-dom-err-impl* :: ⟨uint64 ⇒ -⟩ **where**

```

⟨check-ext-l-dom-err-impl p =
  "There is already a polynomial with index " @ show (nat-of-uint64 p)⟩

```

**lemma** [sepref-fr-rules]:

```

⟨(((return o (check-ext-l-dom-err-impl))),
  (check-extension-l-dom-err)) ∈ uint64-nat-assnk →a raw-string-assn⟩
unfolding check-extension-l-dom-err-def check-ext-l-dom-err-impl-def list-assn-pure-conv
apply sepref-to-hoare
apply sep-auto
done

```

**definition** *check-extension-l-no-new-var-err-impl* :: ⟨- ⇒ -⟩ **where**

```

⟨check-extension-l-no-new-var-err-impl p =
  "No new variable could be found in polynomial " @ show p⟩

```

**lemma** [sepref-fr-rules]:

```

⟨(((return o (check-extension-l-no-new-var-err-impl))),
  (check-extension-l-no-new-var-err)) ∈ poly-assnk →a raw-string-assn⟩
unfolding check-extension-l-no-new-var-err-impl-def check-extension-l-no-new-var-err-def
  list-assn-pure-conv
apply sepref-to-hoare
apply sep-auto
done

```

**definition** *check-extension-l-side-cond-err-impl* :: ⟨- ⇒ -⟩ **where**

```

⟨check-extension-l-side-cond-err-impl v p r s =
  "Error while checking side conditions of extensions polynow, var is " @ show v @
  " polynomial is " @ show p @ "side condition p*p - p = " @ show s @ " and should be 0"⟩

```

**lemma** [sepref-fr-rules]:

```

⟨((uncurry3 (λx y. return oo (check-extension-l-side-cond-err-impl x y))),

```

```

    uncurry3 (check-extension-l-side-cond-err)) ∈ string-assnk *a poly-assnk *a poly-assnk *a poly-assnk
→a raw-string-assn)
unfolding check-extension-l-side-cond-err-impl-def check-extension-l-side-cond-err-def
    list-assn-pure-conv
apply sepref-to-hoare
apply sep-auto
done

```

**definition** *check-extension-l-new-var-multiple-err-impl* ::  $\langle - \Rightarrow - \rangle$  **where**  
 $\langle \text{check-extension-l-new-var-multiple-err-impl } v \text{ } p =$   
*"Error while checking side conditions of extensions polynow, var is "* @ *show v* @  
*" but it either appears at least once in the polynomial or another new variable is created "* @  
*show p* @ *" but should not."* $\rangle$

**lemma** [sepref-fr-rules]:  
 $\langle ((\text{uncurry } (\text{return } oo (\text{check-extension-l-new-var-multiple-err-impl}))) ,$   
 $\text{uncurry } (\text{check-extension-l-new-var-multiple-err})) \in \text{string-assn}^k *_{\text{a}} \text{poly-assn}^k \rightarrow_{\text{a}} \text{raw-string-assn} \rangle$   
**unfolding** check-extension-l-new-var-multiple-err-impl-def  
 check-extension-l-new-var-multiple-err-def  
 list-assn-pure-conv  
**apply** sepref-to-hoare  
**apply** sep-auto  
**done**

**sepref-register** *check-extension-l-dom-err fmlookup'*  
*check-extension-l-side-cond-err check-extension-l-no-new-var-err*  
*check-extension-l-new-var-multiple-err*

**definition** *uminus-poly* ::  $\langle \text{l-list-polynomial} \Rightarrow \text{l-list-polynomial} \rangle$  **where**  
 $\langle \text{uminus-poly } p' = \text{map } (\lambda(a, b). (a, - b)) \text{ } p' \rangle$

**sepref-register** *uminus-poly*  
**lemma** [sepref-import-param]:  
 $\langle (\text{map } (\lambda(a, b). (a, - b)), \text{uminus-poly}) \in \text{poly-rel} \rightarrow \text{poly-rel} \rangle$   
**unfolding** *uminus-poly-def*  
**apply** (intro fun-relI)  
**subgoal for**  $p \text{ } p'$   
**by** (induction  $p \text{ } p'$  rule: list-rel-induct)  
 auto  
**done**

**sepref-register** *vars-of-poly-in*  
*weak-equality-l*

**lemma** [safe-constraint-rules]:  
 $\langle \text{Sepref-Constraints.CONSTRAINT single-valued (the-pure monomial-assn)} \rangle$  **and**  
*single-valued-the-monomial-assn*:  
 $\langle \text{single-valued (the-pure monomial-assn)} \rangle$   
 $\langle \text{single-valued } ((\text{the-pure monomial-assn})^{-1}) \rangle$   
**unfolding** *IS-LEFT-UNIQUE-def[symmetric]*  
**by** (auto simp: step-rewrite-pure single-valued-monomial-rel single-valued-monomial-rel' Sepref-Constraints.CONSTRAINT)

**sepref-definition** *check-extension-l-impl*  
**is**  $\langle \text{uncurry5 } \text{check-extension-l} \rangle$

```

:: ⟨poly-assnk *a polys-assnk *a vars-assnk *a uint64-nat-assnk *a string-assnk *a poly-assnk →a
   status-assn raw-string-assn⟩
supply option.splits[split] single-valued-the-monomial-assn[simp]
supply [[goals-limit=1]]
unfolding
  HOL-list.fold-custom-empty
  term-order-rel'-def[symmetric]
  term-order-rel'-alt-def
  in-dom-m-lookup-iff
  fmlookup'-def[symmetric]
  vars-llist-alt-def
  check-extension-l-def
  not-not
  option.case-eq-if
  uminus-poly-def[symmetric]
  HOL-list.fold-custom-empty
by sepref

```

**declare** *check-extension-l-impl.refine*[sepref-fr-rules]

```

sepref-definition check-del-l-impl
is ⟨uncurry2 check-del-l⟩
:: ⟨poly-assnk *a polys-assnk *a uint64-nat-assnk →a status-assn raw-string-assn⟩
supply [[goals-limit=1]]
unfolding check-del-l-def
  in-dom-m-lookup-iff
  fmlookup'-def[symmetric]
by sepref

```

**lemmas** [sepref-fr-rules] = *check-del-l-impl.refine*

**abbreviation** *pac-step-rel* **where**  
 ⟨*pac-step-rel* ≡ *p2rel* ((*Id*, ⟨*monomial-rel*)*list-rel*, *Id*) *pac-step-rel-raw*)⟩

**sepref-register** *PAC-Polynomials-Operations.normalize-poly*  
*pac-src1 pac-src2 new-id pac-mult case-pac-step check-mult-l*  
*check-addition-l check-del-l check-extension-l*

**lemma** *pac-step-rel-assn-alt-def2*:

```

⟨hn-ctxt (pac-step-rel-assn nat-assn poly-assn id-assn) b bi =
  hn-val
  (p2rel
    ((nat-rel, poly-rel, Id :: (string × -) set) pac-step-rel-raw)) b bi⟩
unfolding poly-assn-list hn-ctxt-def
by (induction nat-assn poly-assn ⟨id-assn :: string ⇒ -⟩ b bi rule: pac-step-rel-assn.induct)
  (auto simp: p2rel-def hn-val-unfold pac-step-rel-raw.simps relAPP-def
    pure-app-eq)

```

**lemma** *is-AddD-import*[sepref-fr-rules]:

```

assumes ⟨CONSTRAINT is-pure K⟩ ⟨CONSTRAINT is-pure V⟩
shows
  ⟨(return o pac-res, RETURN o pac-res) ∈ [λx. is-Add x ∨ is-Mult x ∨ is-Extension x]a
    (pac-step-rel-assn K V R)k → V⟩

```

$\langle \text{return } o \text{ pac-src1}, \text{RETURN } o \text{ pac-src1} \rangle \in [\lambda x. \text{is-Add } x \vee \text{is-Mult } x \vee \text{is-Del } x]_a (\text{pac-step-rel-assn } K \ V \ R)^k \rightarrow K$   
 $\langle \text{return } o \text{ new-id}, \text{RETURN } o \text{ new-id} \rangle \in [\lambda x. \text{is-Add } x \vee \text{is-Mult } x \vee \text{is-Extension } x]_a (\text{pac-step-rel-assn } K \ V \ R)^k \rightarrow K$   
 $\langle \text{return } o \text{ is-Add}, \text{RETURN } o \text{ is-Add} \rangle \in (\text{pac-step-rel-assn } K \ V \ R)^k \rightarrow_a \text{bool-assn}$   
 $\langle \text{return } o \text{ is-Mult}, \text{RETURN } o \text{ is-Mult} \rangle \in (\text{pac-step-rel-assn } K \ V \ R)^k \rightarrow_a \text{bool-assn}$   
 $\langle \text{return } o \text{ is-Del}, \text{RETURN } o \text{ is-Del} \rangle \in (\text{pac-step-rel-assn } K \ V \ R)^k \rightarrow_a \text{bool-assn}$   
 $\langle \text{return } o \text{ is-Extension}, \text{RETURN } o \text{ is-Extension} \rangle \in (\text{pac-step-rel-assn } K \ V \ R)^k \rightarrow_a \text{bool-assn}$   
**using** *assms*  
**by** (*sepref-to-hoare*; *sep-auto simp: pac-step-rel-assn-alt-def is-pure-conv ent-true-drop pure-app-eq split: pac-step.splits; fail*)+

**lemma** [*sepref-fr-rules*]:  
 $\langle \text{CONSTRAINT is-pure } K \implies$   
 $\text{return } o \text{ pac-src2}, \text{RETURN } o \text{ pac-src2} \rangle \in [\lambda x. \text{is-Add } x]_a (\text{pac-step-rel-assn } K \ V \ R)^k \rightarrow K$   
 $\langle \text{CONSTRAINT is-pure } V \implies$   
 $\text{return } o \text{ pac-mult}, \text{RETURN } o \text{ pac-mult} \rangle \in [\lambda x. \text{is-Mult } x]_a (\text{pac-step-rel-assn } K \ V \ R)^k \rightarrow V$   
 $\langle \text{CONSTRAINT is-pure } R \implies$   
 $\text{return } o \text{ new-var}, \text{RETURN } o \text{ new-var} \rangle \in [\lambda x. \text{is-Extension } x]_a (\text{pac-step-rel-assn } K \ V \ R)^k \rightarrow R$   
**by** (*sepref-to-hoare*; *sep-auto simp: pac-step-rel-assn-alt-def is-pure-conv ent-true-drop pure-app-eq split: pac-step.splits; fail*)+

**lemma** *is-Mult-lastI*:  
 $\langle \neg \text{is-Add } b \implies \neg \text{is-Mult } b \implies \neg \text{is-Extension } b \implies \text{is-Del } b \rangle$   
**by** (*cases b*) *auto*

**sepref-register** *is-cfailed is-Del*

**definition** *PAC-checker-l-step'* :: - **where**  
 $\langle \text{PAC-checker-l-step}' a \ b \ c \ d = \text{PAC-checker-l-step } a \ (b, c, d) \rangle$

**lemma** *PAC-checker-l-step-alt-def*:  
 $\langle \text{PAC-checker-l-step } a \ bcd \ e = (\text{let } (b, c, d) = bcd \text{ in } \text{PAC-checker-l-step}' a \ b \ c \ d \ e) \rangle$   
**unfolding** *PAC-checker-l-step'-def* **by** *auto*

**sepref-decl-intf** ('*k*) *acode-status* **is** ('*k*) *code-status*  
**sepref-decl-intf** ('*k*, '*b*, '*lbl*) *apac-step* **is** ('*k*, '*b*, '*lbl*) *pac-step*

**sepref-register** *merge-cstatus full-normalize-poly new-var is-Add*

**lemma** *poly-rel-the-pure*:  
 $\langle \text{poly-rel} = \text{the-pure poly-assn} \rangle$  **and**  
*nat-rel-the-pure*:  
 $\langle \text{nat-rel} = \text{the-pure nat-assn} \rangle$  **and**  
*WTF-RF*:  $\langle \text{pure } (\text{the-pure nat-assn}) = \text{nat-assn} \rangle$   
**unfolding** *poly-assn-list*  
**by** *auto*

**lemma** [*safe-constraint-rules*]:  
 $\langle \text{CONSTRAINT IS-LEFT-UNIQUE uint64-nat-rel} \rangle$  **and**  
*single-valued-uint64-nat-rel*[*safe-constraint-rules*]:  
 $\langle \text{CONSTRAINT single-valued uint64-nat-rel} \rangle$   
**by** (*auto simp: IS-LEFT-UNIQUE-def single-valued-def uint64-nat-rel-def br-def*)

**sepref-definition** *check-step-impl*

```

is  $\langle \text{uncurry4 } \text{PAC-checker-l-step} \rangle$ 
  ::  $\langle \text{poly-assn}^k *_a (\text{status-assn raw-string-assn})^d *_a \text{vars-assn}^d *_a \text{polys-assn}^d *_a (\text{pac-step-rel-assn}$ 
   $(\text{uint64-nat-assn}) \text{poly-assn} (\text{string-assn} :: \text{string} \Rightarrow -))^d \rightarrow_a$ 
   $\text{status-assn raw-string-assn} \times_a \text{vars-assn} \times_a \text{polys-assn} \rangle$ 
supply  $[[\text{goals-limit}=1]]$  is-Mult-lastI[intro] single-valued-uint64-nat-rel[simp]
unfolding PAC-checker-l-step-def PAC-checker-l-step'-def
  pac-step.case-eq-if Let-def
  is-success-alt-def[symmetric]
  uminus-poly-def[symmetric]
  HOL-list.fold-custom-empty
by sepref

```

```

declare check-step-impl.refine[sepref-fr-rules]

```

```

sepref-register PAC-checker-l-step PAC-checker-l-step' fully-normalize-poly-impl

```

**definition** *PAC-checker-l'* **where**

```

 $\langle \text{PAC-checker-l}' p \mathcal{V} A \text{ status steps} = \text{PAC-checker-l } p (\mathcal{V}, A) \text{ status steps} \rangle$ 

```

**lemma** *PAC-checker-l-alt-def*:

```

 $\langle \text{PAC-checker-l } p \mathcal{V} A \text{ status steps} =$ 
   $(\text{let } (\mathcal{V}, A) = \mathcal{V} A \text{ in } \text{PAC-checker-l}' p \mathcal{V} A \text{ status steps}) \rangle$ 

```

**unfolding** *PAC-checker-l'-def* **by** *auto*

**sepref-definition** *PAC-checker-l-impl*

```

is  $\langle \text{uncurry4 } \text{PAC-checker-l}' \rangle$ 
  ::  $\langle \text{poly-assn}^k *_a \text{vars-assn}^d *_a \text{polys-assn}^d *_a (\text{status-assn raw-string-assn})^d *_a$ 
   $(\text{list-assn} (\text{pac-step-rel-assn} (\text{uint64-nat-assn}) \text{poly-assn string-assn}))^k \rightarrow_a$ 
   $\text{status-assn raw-string-assn} \times_a \text{vars-assn} \times_a \text{polys-assn} \rangle$ 
supply  $[[\text{goals-limit}=1]]$  is-Mult-lastI[intro]
unfolding PAC-checker-l-def is-success-alt-def[symmetric] PAC-checker-l-step-alt-def
  nres-bind-let-law[symmetric] PAC-checker-l'-def
apply (subst nres-bind-let-law)
by sepref

```

```

declare PAC-checker-l-impl.refine[sepref-fr-rules]

```

**abbreviation** *polys-assn-input* **where**

```

 $\langle \text{polys-assn-input} \equiv \text{iam-fmap-assn nat-assn poly-assn} \rangle$ 

```

**definition** *remap-polys-l-dom-err-impl* ::  $\langle - \rangle$  **where**

```

 $\langle \text{remap-polys-l-dom-err-impl} =$ 
   $"\text{Error during initialisation. Too many polynomials where provided. If this happens,}" @$ 
   $"\text{please report the example to the authors, because something went wrong during}" @$ 
   $"\text{code generation (code generation to arrays is likely to be broken).}" \rangle$ 

```

**lemma** [*sepref-fr-rules*]:

```

 $\langle ((\text{uncurry0 } (\text{return } (\text{remap-polys-l-dom-err-impl}))) ,$ 
   $\text{uncurry0 } (\text{remap-polys-l-dom-err})) \in \text{unit-assn}^k \rightarrow_a \text{raw-string-assn} \rangle$ 
unfolding remap-polys-l-dom-err-def
  remap-polys-l-dom-err-def
  list-assn-pure-conv
by sepref-to-hoare sep-auto

```

MLton is not able to optimise the calls to *pow*.

**lemma** *pow-2-64*:  $\langle (2::\text{nat}) \wedge 64 = 18446744073709551616 \rangle$   
**by** *auto*

**sempref-register** *upper-bound-on-dom op-fmap-empty*

**sempref-definition** *remap-polys-l-impl*  
**is**  $\langle \text{uncurry2 } \text{remap-polys-l2} \rangle$   
 $:: \langle \text{poly-assn}^k *_a \text{vars-assn}^d *_a \text{polys-assn-input}^d \rightarrow_a$   
 $\text{status-assn raw-string-assn} \times_a \text{vars-assn} \times_a \text{polys-assn} \rangle$   
**supply**  $[[\text{goals-limit}=1]]$  *is-Mult-lastI*[*intro*] *indom-mI*[*dest*]  
**unfolding** *remap-polys-l2-def op-fmap-empty-def*[*symmetric*] *while-eq-nfoldli*[*symmetric*]  
*while-upt-while-direct pow-2-64*  
*in-dom-m-lookup-iff*  
*fmlookup'-def*[*symmetric*]  
*union-vars-poly-alt-def*[*symmetric*]  
**apply** (*rewrite at*  $\langle \text{fmupd} \sqsupset \text{uint64-of-nat-conv-def} \rangle$  [*symmetric*])  
**apply** (*subst while-upt-while-direct*)  
**apply** *simp*  
**apply** (*rewrite at*  $\langle \text{op-fmap-empty} \rangle$  *annotate-assn*[**where**  $A = \langle \text{polys-assn} \rangle$ ])  
**by** *sempref*

**lemma** *remap-polys-l2-remap-polys-l*:  
 $\langle (\text{uncurry2 } \text{remap-polys-l2}, \text{uncurry2 } \text{remap-polys-l}) \in (\text{Id} \times_r \langle \text{Id} \rangle \text{set-rel}) \times_r \text{Id} \rightarrow_f \langle \text{Id} \rangle \text{nres-rel} \rangle$   
**apply** (*intro frefI fun-relI nres-relI*)  
**using** *remap-polys-l2-remap-polys-l* **by** *auto*

**lemma** [*sempref-fr-rules*]:  
 $\langle (\text{uncurry2 } \text{remap-polys-l-impl},$   
 $\text{uncurry2 } \text{remap-polys-l}) \in \text{poly-assn}^k *_a \text{vars-assn}^d *_a \text{polys-assn-input}^d \rightarrow_a$   
 $\text{status-assn raw-string-assn} \times_a \text{vars-assn} \times_a \text{polys-assn} \rangle$   
**using** *hfcomp-tcomp-pre*[*OF remap-polys-l2-remap-polys-l remap-polys-l-impl.refine*]  
**by** (*auto simp: hrp-comp-def hfprod-def*)

**sempref-register** *remap-polys-l*

**sempref-definition** *full-checker-l-impl*  
**is**  $\langle \text{uncurry2 } \text{full-checker-l} \rangle$   
 $:: \langle \text{poly-assn}^k *_a \text{polys-assn-input}^d *_a (\text{list-assn } (\text{pac-step-rel-assn } (\text{uint64-nat-assn}) \text{poly-assn string-assn}))^k$   
 $\rightarrow_a$   
 $\text{status-assn raw-string-assn} \times_a \text{vars-assn} \times_a \text{polys-assn} \rangle$   
**supply**  $[[\text{goals-limit}=1]]$  *is-Mult-lastI*[*intro*]  
**unfolding** *full-checker-l-def hs.fold-custom-empty*  
*union-vars-poly-alt-def*[*symmetric*]  
*PAC-checker-l-alt-def*  
**by** *sempref*

**sempref-definition** *PAC-update-impl*  
**is**  $\langle \text{uncurry2 } (\text{RETURN } \text{ooo } \text{fmupd}) \rangle$   
 $:: \langle \text{nat-assn}^k *_a \text{poly-assn}^k *_a (\text{polys-assn-input})^d \rightarrow_a \text{polys-assn-input} \rangle$   
**unfolding** *comp-def*  
**by** *sempref*

**sempref-definition** *PAC-empty-impl*  
**is**  $\langle \text{uncurry0 } (\text{RETURN } \text{fmempty}) \rangle$   
 $:: \langle \text{unit-assn}^k \rightarrow_a \text{polys-assn-input} \rangle$

**unfolding** *op-iam-fmap-empty-def*[*symmetric*] *pat-fmap-empty*  
**by** *sepref*

**sepref-definition** *empty-vars-impl*  
**is**  $\langle \text{uncurry0 } (\text{RETURN } \{\}) \rangle$   
 $\langle \text{unit-assn}^k \rightarrow_a \text{vars-assn} \rangle$   
**unfolding** *hs.fold-custom-empty*  
**by** *sepref*

This is a hack for performance. There is no need to recheck that that a char is valid when working on chars coming from strings... It is not that important in most cases, but in our case the performance difference is really large.

**definition** *unsafe-asciiis-of-literal* ::  $\langle - \rangle$  **where**  
 $\langle \text{unsafe-asciiis-of-literal } xs = \text{String.asciiis-of-literal } xs \rangle$

**definition** *unsafe-asciiis-of-literal'* ::  $\langle - \rangle$  **where**  
 $\langle \text{simp, symmetric, code} \rangle: \langle \text{unsafe-asciiis-of-literal}' = \text{unsafe-asciiis-of-literal} \rangle$

**code-printing**

**constant** *unsafe-asciiis-of-literal'*  $\rightarrow$   
 $(\text{SML}) \text{ !(List.map (fn c => let val k = Char.ord c in IntInf.fromInt k end) /o String.explode)}$

Now comes the big and ugly and unsafe hack.

Basically, we try to avoid the conversion to IntInf when calculating the hash. The performance gain is roughly 40%, which is a LOT and definitively something we need to do. We are aware that the SML semantic encourages compilers to optimise conversions, but this does not happen here, corroborating our early observation on the verified SAT solver IsaSAT.x

**definition** *raw-explode* **where**  
 $\langle \text{simp} \rangle: \langle \text{raw-explode} = \text{String.explode} \rangle$

**code-printing**

**constant** *raw-explode*  $\rightarrow$   
 $(\text{SML}) \text{ String.explode}$

**definition**  $\langle \text{hashcode-literal}' s \equiv$   
 $\text{foldl } (\lambda h x. h * 33 + \text{uint32-of-int } (\text{of-char } x)) \text{ 5381}$   
 $\langle \text{raw-explode } s \rangle$

**lemmas**  $\langle \text{code} \rangle =$   
 $\text{hashcode-literal-def}[\text{unfolded String.explode-code}$   
 $\text{unsafe-asciiis-of-literal-def}[\text{symmetric}]]$

**definition** *uint32-of-char* **where**  
 $\langle \text{symmetric, code-unfold} \rangle: \langle \text{uint32-of-char } x = \text{uint32-of-int } (\text{int-of-char } x) \rangle$

**code-printing**

**constant** *uint32-of-char*  $\rightarrow$   
 $(\text{SML}) \text{ !(Word32.fromInt /o (Char.ord))}$

**lemma**  $\langle \text{code} \rangle: \langle \text{hashcode } s = \text{hashcode-literal}' s \rangle$   
**unfolding** *hashcode-literal-def* *hashcode-list-def*  
**apply**  $(\text{auto simp: unsafe-asciiis-of-literal-def hashcode-list-def}$   
 $\text{String.asciiis-of-literal-def hashcode-literal-def hashcode-literal'-def})$   
**done**



We do not include

```
export-code PAC-checker-l-impl PAC-update-impl PAC-empty-impl the-error is-cfailed is-cfound
  int-of-integer Del Add Mult nat-of-integer String.implode remap-polys-l-impl
  fully-normalize-poly-impl union-vars-poly-impl empty-vars-impl
  full-checker-l-impl check-step-impl CSUCCESS
  Extension hashcode-literal' version
in SML-imp module-name PAC-Checker
file-prefix checker
```

**compile-generated-files -**

**external-files**

⟨code/parser.sml⟩

⟨code/pasteque.sml⟩

⟨code/pasteque.mlb⟩

**where** ⟨fn dir =>

let

val exec = Generated-Files.execute (Path.append dir (Path.basic code));

val - = exec ⟨rename file⟩ mv checker.ML checker.sml

val - =

exec ⟨Compilation⟩

(File.bash-path **path** ⟨\$ISABELLE-MLTON⟩ ^ ^

—const 'MLton.safe false' —verbose 1 —default—type int64 —output pasteque ^

—codegen native —inline 700 —cc—opt —O3 pasteque.mlb);

in () end)

## 14 Correctness theorem

**context** poly-embed

**begin**

**definition** full-poly-assn **where**

⟨full-poly-assn = hr-comp poly-assn (fully-unsorted-poly-rel O mset-poly-rel)⟩

**definition** full-poly-input-assn **where**

⟨full-poly-input-assn = hr-comp

(hr-comp polys-assn-input

((nat-rel, fully-unsorted-poly-rel O mset-poly-rel) fmap-rel))

polys-rel)⟩

**definition** fully-pac-assn **where**

⟨fully-pac-assn = (list-assn

(hr-comp (pac-step-rel-assn uint64-nat-assn poly-assn string-assn)

(p2rel

((nat-rel,

fully-unsorted-poly-rel O

mset-poly-rel, var-rel) pac-step-rel-raw))))⟩

**definition** code-status-assn **where**

⟨code-status-assn = hr-comp (status-assn raw-string-assn)

code-status-status-rel)⟩

**definition** full-vars-assn **where**

⟨full-vars-assn = hr-comp (hs-assn string-assn)

((var-rel) set-rel)⟩

**lemma** *polys-rel-full-polys-rel*:  
 $\langle polys\text{-}rel\text{-}full = Id \times_r polys\text{-}rel \rangle$   
**by** (*auto simp: polys-rel-full-def*)

**definition** *full-polys-assn* ::  $\langle \rightarrow \rangle$  **where**  
 $\langle full\text{-}polys\text{-}assn = hr\text{-}comp (hr\text{-}comp polys\text{-}assn$   
 $\quad (\langle nat\text{-}rel,$   
 $\quad \quad sorted\text{-}poly\text{-}rel \ O \ mset\text{-}poly\text{-}rel \rangle fmap\text{-}rel))$   
 $\quad polys\text{-}rel \rangle$

Below is the full correctness theorems. It basically states that:

1. assuming that the input polynomials have no duplicate variables

Then:

1. if the checker returns *CFOUND*, the spec is in the ideal and the PAC file is correct
2. if the checker returns *CSUCCESS*, the PAC file is correct (but there is no information on the spec, aka checking failed)
3. if the checker return *CFAILED* *err*, then checking failed (and *err* *might* give you an indication of the error, but the correctness theorem does not say anything about that).

The input parameters are:

4. the specification polynomial represented as a list
5. the input polynomials as hash map (as an array of option polynomial)
6. a representation of the PAC proofs.

**lemma** *PAC-full-correctness*:  
 $\langle (uncurry2 \ full\text{-}checker\text{-}l\text{-}impl,$   
 $\quad uncurry2 (\lambda spec \ A \ -. \ PAC\text{-}checker\text{-}specification \ spec \ A))$   
 $\in (full\text{-}poly\text{-}assn)^k *_a (full\text{-}poly\text{-}input\text{-}assn)^d *_a (fully\text{-}pac\text{-}assn)^k \rightarrow_a hr\text{-}comp$   
 $\quad (code\text{-}status\text{-}assn \times_a full\text{-}vars\text{-}assn \times_a hr\text{-}comp \ polys\text{-}assn$   
 $\quad \quad (\langle nat\text{-}rel, sorted\text{-}poly\text{-}rel \ O \ mset\text{-}poly\text{-}rel \rangle fmap\text{-}rel))$   
 $\quad \{((st, \ G), \ st', \ G').$   
 $\quad \quad st = st' \wedge (st \neq FAILED \longrightarrow (G, \ G') \in Id \times_r polys\text{-}rel))\} \rangle$

**using**

*full-checker-l-impl.refine[FCOMP full-checker-l-full-checker',*  
*FCOMP full-checker-spec',*  
*unfolded full-poly-assn-def[symmetric]*  
*full-poly-input-assn-def[symmetric]*  
*fully-pac-assn-def[symmetric]*  
*code-status-assn-def[symmetric]*  
*full-vars-assn-def[symmetric]*  
*polys-rel-full-polys-rel*  
*hr-comp-prod-conv*  
*full-polys-assn-def[symmetric]]*  
*hr-comp-Id2*  
**by** *auto*

It would be more efficient to move the parsing to Isabelle, as this would be more memory efficient (and also reduce the TCB). But now comes the fun part: It cannot work. A stream (of a file) is consumed by side effects. Assume that this would work. The code could look like:

*Let (read-file file) f*

This code is equal to (in the HOL sense of equality): *let - = read-file file in Let (read-file file) f*

However, as an hypothetical *read-file* changes the underlying stream, we would get the next token. Remark that this is already a weird point of ML compilers. Anyway, I see currently two solutions to this problem:

1. The meta-argument: use it only in the Refinement Framework in a setup where copies are disallowed. Basically, this works because we can express the non-duplication constraints on the type level. However, we cannot forbid people from expressing things directly at the HOL level.
2. On the target language side, model the stream as the stream and the position. Reading takes two arguments. First, the position to read. Second, the stream (and the current position) to read. If the position to read does not match the current position, return an error. This would fit the correctness theorem of the code generation (roughly “if it terminates without exception, the answer is the same”), but it is still unsatisfactory.

**end**

**definition**  $\varphi :: \langle \text{string} \Rightarrow \text{nat} \rangle$  **where**

$\langle \varphi = (\text{SOME } \varphi. \text{bij } \varphi) \rangle$

**lemma** *bij- $\varphi$* :  $\langle \text{bij } \varphi \rangle$

**using** *someI*[*of*  $\langle \lambda \varphi :: \text{string} \Rightarrow \text{nat}. \text{bij } \varphi \rangle$ ]

**unfolding**  $\varphi\text{-def}$ [*symmetric*]

**using** *poly-embed-EX*

**by** *auto*

**global-interpretation** *PAC*: *poly-embed* **where**

$\varphi = \varphi$

**apply** *standard*

**apply** (*use* *bij- $\varphi$*  **in**  $\langle \text{auto simp: } \text{bij-def} \rangle$ )

**done**

The full correctness theorem is  $(\text{uncurry2 full-checker-l-impl}, \text{uncurry2 } (\lambda \text{spec } A -. \text{PAC-checker-specification spec } A)) \in \text{PAC.full-poly-assn}^k *_a \text{PAC.full-poly-input-assn}^d *_a \text{PAC.fully-pac-assn}^k \rightarrow_a \text{hr-comp} (\text{PAC.code-status-assn} \times_a \text{PAC.full-vars-assn} \times_a \text{hr-comp polys-assn } (\langle \text{nat-rel}, \text{sorted-poly-rel } O \text{ PAC.mset-poly-rel} \rangle \text{fmap-rel})) \{((st, G), st', G'). st = st' \wedge (st \neq \text{FAILED} \longrightarrow (G, G') \in \text{Id} \times_r \text{polys-rel})\}$ .

**end**

## References

- [1] D. Kaufmann, M. Fleury, and A. Biere. The proof checkers pacheck and pasteque for the practical algebraic calculus. In O. Strichman and A. Ivrii, editors, *Formal Methods in Computer-Aided Design, FMCAD 2020, September 21-24, 2020*. IEEE, 2020.