

# PAC Checker

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## Abstract

Abstract—Generating and checking proof certificates is important to increase the trust in automated reasoning tools. In recent years formal verification using computer algebra became more important and is heavily used in automated circuit verification. An existing proof format which covers algebraic reasoning and allows efficient proof checking is the practical algebraic calculus. In this development, we present the verified checker Pastèque that is obtained by synthesis via the Refinement Framework.

This is the formalization going with our FMCAD’20 tool presentation [1].

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```

theory Duplicate-Free-Multiset
imports Nested-Multisets-Ordinals.Multiset-More
begin

```

## 1 Duplicate Free Multisets

Duplicate free multisets are isomorphic to finite sets, but it can be useful to reason about duplication to speak about intermediate execution steps in the refinements.

```

lemma distinct-mset-remdups-mset-id:  $\langle \text{distinct-mset } C \implies \text{remdups-mset } C = C \rangle$ 
  by (induction C) auto

```

```

lemma notin-add-mset-remdups-mset:
   $\langle a \notin \# A \implies \text{add-mset } a (\text{remdups-mset } A) = \text{remdups-mset } (\text{add-mset } a A) \rangle$ 
  by auto

```

```

lemma distinct-mset-image-mset:
   $\langle \text{distinct-mset } (\text{image-mset } f (\text{mset } xs)) \longleftrightarrow \text{distinct } (\text{map } f xs) \rangle$ 
  apply (subst mset-map[symmetric])
  apply (subst distinct-mset-mset-distinct)
  ..

```

**lemma** *distinct-mset-mono*:  $\langle D' \subseteq\# D \implies \text{distinct-mset } D \implies \text{distinct-mset } D' \rangle$   
**by** (metis *distinct-mset-union subset-mset.le-iff-add*)

**lemma** *distinct-mset-mono-strict*:  $\langle D' \subset\# D \implies \text{distinct-mset } D \implies \text{distinct-mset } D' \rangle$   
**using** *distinct-mset-mono* **by** *auto*

**lemma** *distinct-set-mset-eq-iff*:  
**assumes**  $\langle \text{distinct-mset } M \rangle \langle \text{distinct-mset } N \rangle$   
**shows**  $\langle \text{set-mset } M = \text{set-mset } N \longleftrightarrow M = N \rangle$   
**using** *assms distinct-mset-set-mset-ident* **by** *fastforce*

**lemma** *distinct-mset-union2*:  
 $\langle \text{distinct-mset } (A + B) \implies \text{distinct-mset } B \rangle$   
**using** *distinct-mset-union*[of *B A*]  
**by** (auto *simp: ac-simps*)

**lemma** *distinct-mset-mset-set*:  $\langle \text{distinct-mset } (\text{mset-set } A) \rangle$   
**unfolding** *distinct-mset-def count-mset-set-if* **by** (auto *simp: not-in-iff*)

**lemma** *distinct-mset-inter-remdups-mset*:  
**assumes** *dist*:  $\langle \text{distinct-mset } A \rangle$   
**shows**  $\langle A \cap\# \text{remdups-mset } B = A \cap\# B \rangle$

**proof** –

**have** [*simp*]:  $\langle A' \cap\# \text{remove1-mset } a (\text{remdups-mset } Aa) = A' \cap\# Aa \rangle$   
**if**  
 $\langle A' \cap\# \text{remdups-mset } Aa = A' \cap\# Aa \rangle$  **and**  
 $\langle a \notin\# A' \rangle$  **and**  
 $\langle a \in\# Aa \rangle$   
**for**  $A' Aa :: \langle 'a \text{ multiset} \rangle$  **and**  $a$   
**by** (metis *insert-DiffM inter-add-right1 set-mset-remdups-mset that*)

**show** *?thesis*  
**using** *dist*  
**apply** (*induction A*)  
**subgoal by** *auto*  
**subgoal for**  $a A'$   
**apply** (*cases*  $\langle a \in\# B \rangle$ )  
**using** *multi-member-split*[of  $a \langle B \rangle$ ] *multi-member-split*[of  $a \langle A \rangle$ ]  
**by** (auto *simp: mset-set.insert-remove*)  
**done**

**qed**

**lemma** *finite-mset-set-inter*:  
 $\langle \text{finite } A \implies \text{finite } B \implies \text{mset-set } (A \cap B) = \text{mset-set } A \cap\# \text{mset-set } B \rangle$   
**apply** (*induction A rule: finite-induct*)  
**subgoal by** *auto*  
**subgoal for**  $a A$   
**apply** (*cases*  $\langle a \in B \rangle$ ; *cases*  $\langle a \in\# \text{mset-set } B \rangle$ )  
**using** *multi-member-split*[of  $a \langle \text{mset-set } B \rangle$ ]  
**by** (auto *simp: mset-set.insert-remove*)  
**done**

**lemma** *removeAll-notin*:  $\langle a \notin\# A \implies \text{removeAll-mset } a A = A \rangle$   
**using** *count-inI* **by** *force*

**lemma** *same-mset-distinct-iff*:

$\langle \text{mset } M = \text{mset } M' \implies \text{distinct } M \longleftrightarrow \text{distinct } M' \rangle$

**by** (*auto simp: distinct-mset-mset-distinct[symmetric] simp del: distinct-mset-mset-distinct*)

## 1.1 More Lists

**lemma** *in-set-conv-iff*:

$\langle x \in \text{set } (\text{take } n \text{ } xs) \longleftrightarrow (\exists i < n. i < \text{length } xs \wedge xs ! i = x) \rangle$

**apply** (*induction n*)

**subgoal by** *auto*

**subgoal for** *n*

**apply** (*cases*  $\langle \text{Suc } n < \text{length } xs \rangle$ )

**subgoal by** (*auto simp: take-Suc-conv-app-nth less-Suc-eq dest: in-set-takeD*)

**subgoal**

**apply** (*cases*  $\langle n < \text{length } xs \rangle$ )

**subgoal**

**apply** (*auto simp: in-set-conv-nth*)

**by** (*rule-tac x=i in exI; auto; fail*)<sup>+</sup>

**subgoal**

**apply** (*auto simp: take-Suc-conv-app-nth dest: in-set-takeD*)

**by** (*rule-tac x=i in exI; auto; fail*)<sup>+</sup>

**done**

**done**

**done**

**lemma** *in-set-take-conv-nth*:

$\langle x \in \text{set } (\text{take } n \text{ } xs) \longleftrightarrow (\exists m < \min n (\text{length } xs). xs ! m = x) \rangle$

**by** (*metis in-set-conv-nth length-take min.commute min.strict-boundedE nth-take*)

**lemma** *in-set-remove1D*:

$\langle a \in \text{set } (\text{remove1 } x \text{ } xs) \implies a \in \text{set } xs \rangle$

**by** (*meson notin-set-remove1*)

## 1.2 Generic Multiset

**lemma** *mset-drop-upto*:  $\langle \text{mset } (\text{drop } a \text{ } N) = \{ \#N ! i. i \in \# \text{ mset-set } \{ a..<\text{length } N \} \# \} \rangle$

**proof** (*induction N arbitrary: a*)

**case** *Nil*

**then show** *?case by simp*

**next**

**case** (*Cons c N*)

**have** *upt*:  $\langle \{ 0..<\text{Suc } (\text{length } N) \} = \text{insert } 0 \{ 1..<\text{Suc } (\text{length } N) \} \rangle$

**by** *auto*

**then have** *H*:  $\langle \text{mset-set } \{ 0..<\text{Suc } (\text{length } N) \} = \text{add-mset } 0 (\text{mset-set } \{ 1..<\text{Suc } (\text{length } N) \}) \rangle$

**unfolding** *upt by auto*

**have** *mset-case-Suc*:  $\langle \{ \# \text{case } x \text{ of } 0 \Rightarrow c \mid \text{Suc } x \Rightarrow N ! x . x \in \# \text{ mset-set } \{ \text{Suc } a..<\text{Suc } b \} \# \} = \{ \#N ! (x-1) . x \in \# \text{ mset-set } \{ \text{Suc } a..<\text{Suc } b \} \# \} \rangle \text{ for } a \text{ } b$

**by** (*rule image-mset-cong*) (*auto split: nat.splits*)

**have** *Suc-Suc*:  $\langle \{ \text{Suc } a..<\text{Suc } b \} = \text{Suc } ' \{ a..<b \} \rangle \text{ for } a \text{ } b$

**by** *auto*

**then have** *mset-set-Suc-Suc*:  $\langle \text{mset-set } \{ \text{Suc } a..<\text{Suc } b \} = \{ \# \text{Suc } n. n \in \# \text{ mset-set } \{ a..<b \} \# \} \rangle \text{ for } a \text{ } b$

*a b*

**unfolding** *Suc-Suc by* (*subst image-mset-mset-set[symmetric]*) *auto*

**have** *\**:  $\langle \{ \#N ! (x-\text{Suc } 0) . x \in \# \text{ mset-set } \{ \text{Suc } a..<\text{Suc } b \} \# \} = \{ \#N ! x . x \in \# \text{ mset-set } \{ a..<b \} \# \} \rangle \text{ for } a \text{ } b$

```

    by (auto simp add: mset-set-Suc-Suc)
  show ?case
    apply (cases a)
    using Cons[of 0] Cons by (auto simp: nth-Cons drop-Cons H mset-case-Suc *)
qed

```

### 1.3 Other

I believe this should be added to the simplifier by default...

```

lemma Collect-eq-comp':  $\langle \{(x, y). P\ x\ y\} \ O\ \{(c, a). c = f\ a\} = \{(x, a). P\ x\ (f\ a)\} \rangle$ 
  by auto

end

```

```

theory WB-Sort
imports Refine-Imperative-HOL.IICF HOL-Library.Rewrite Duplicate-Free-Multiset
begin

```

This a complete copy-paste of the IsaFoL version because sharing is too hard.

Every element between  $lo$  and  $hi$  can be chosen as pivot element.

```

definition choose-pivot ::  $\langle ('b \Rightarrow 'b \Rightarrow bool) \Rightarrow ('a \Rightarrow 'b) \Rightarrow 'a\ list \Rightarrow nat \Rightarrow nat \Rightarrow nat\ nres \rangle$  where
   $\langle choose-pivot\ -\ -\ lo\ hi = SPEC(\lambda k. k \geq lo \wedge k \leq hi) \rangle$ 

```

The element at index  $p$  partitions the subarray  $lo..hi$ . This means that every element

```

definition isPartition-wrt ::  $\langle ('b \Rightarrow 'b \Rightarrow bool) \Rightarrow 'b\ list \Rightarrow nat \Rightarrow nat \Rightarrow nat \Rightarrow bool \rangle$  where
   $\langle isPartition-wrt\ R\ xs\ lo\ hi\ p \equiv (\forall\ i. i \geq lo \wedge i < p \longrightarrow R\ (xs!i)\ (xs!p)) \wedge (\forall\ j. j > p \wedge j \leq hi \longrightarrow R\ (xs!p)\ (xs!j)) \rangle$ 

```

```

lemma isPartition-wrtI:
   $\langle (\bigwedge\ i. \llbracket i \geq lo; i < p \rrbracket \Longrightarrow R\ (xs!i)\ (xs!p)) \Longrightarrow (\bigwedge\ j. \llbracket j > p; j \leq hi \rrbracket \Longrightarrow R\ (xs!p)\ (xs!j)) \Longrightarrow$ 
   $isPartition-wrt\ R\ xs\ lo\ hi\ p \rangle$ 
  by (simp add: isPartition-wrt-def)

```

```

definition isPartition ::  $\langle 'a :: order\ list \Rightarrow nat \Rightarrow nat \Rightarrow nat \Rightarrow bool \rangle$  where
   $\langle isPartition\ xs\ lo\ hi\ p \equiv isPartition-wrt\ (\leq)\ xs\ lo\ hi\ p \rangle$ 

```

```

abbreviation isPartition-map ::  $\langle ('b \Rightarrow 'b \Rightarrow bool) \Rightarrow ('a \Rightarrow 'b) \Rightarrow 'a\ list \Rightarrow nat \Rightarrow nat \Rightarrow nat \Rightarrow bool \rangle$ 
where
   $\langle isPartition-map\ R\ h\ xs\ i\ j\ k \equiv isPartition-wrt\ (\lambda a\ b. R\ (h\ a)\ (h\ b))\ xs\ i\ j\ k \rangle$ 

```

```

lemma isPartition-map-def':
   $\langle lo \leq p \Longrightarrow p \leq hi \Longrightarrow hi < length\ xs \Longrightarrow isPartition-map\ R\ h\ xs\ lo\ hi\ p = isPartition-wrt\ R\ (map\ h\ xs)\ lo\ hi\ p \rangle$ 
  by (auto simp add: isPartition-wrt-def conjI)

```

Example: 6 is the pivot element (with index 4); 7::'a is equal to the  $length\ xs - 1$ .

```

lemma  $\langle isPartition\ [0,5,3,4,6,9,8,10::nat]\ 0\ 7\ 4 \rangle$ 
  by (auto simp add: isPartition-def isPartition-wrt-def nth-Cons')

```

```

definition sublist ::  $\langle 'a\ list \Rightarrow nat \Rightarrow nat \Rightarrow 'a\ list \rangle$  where
   $\langle sublist\ xs\ i\ j \equiv take\ (Suc\ j - i)\ (drop\ i\ xs) \rangle$ 

```

**lemma** *take-Suc0*:

$l \neq [] \implies \text{take } (\text{Suc } 0) \ l = [!0]$   
 $0 < \text{length } l \implies \text{take } (\text{Suc } 0) \ l = [!0]$   
 $\text{Suc } n \leq \text{length } l \implies \text{take } (\text{Suc } 0) \ l = [!0]$   
**by** (cases *l*, auto)+

**lemma** *sublist-single*:  $\langle i < \text{length } xs \implies \text{sublist } xs \ i \ i = [xs!i] \rangle$   
**by** (cases *xs*) (auto simp add: sublist-def take-Suc0)

**lemma** *insert-eq*:  $\langle \text{insert } a \ b = b \cup \{a\} \rangle$   
**by** auto

**lemma** *sublist-nth*:  $\langle [lo \leq hi; hi < \text{length } xs; k+lo \leq hi] \implies (\text{sublist } xs \ lo \ hi)!k = xs!(lo+k) \rangle$   
**by** (simp add: sublist-def)

**lemma** *sublist-length*:  $\langle [i \leq j; j < \text{length } xs] \implies \text{length } (\text{sublist } xs \ i \ j) = 1 + j - i \rangle$   
**by** (simp add: sublist-def)

**lemma** *sublist-not-empty*:  $\langle [i \leq j; j < \text{length } xs; xs \neq []] \implies \text{sublist } xs \ i \ j \neq [] \rangle$   
**apply** simp  
**apply** (rewrite List.length-greater-0-conv[symmetric])  
**apply** (rewrite sublist-length)  
**by** auto

**lemma** *sublist-app*:  $\langle [i1 \leq i2; i2 \leq i3] \implies \text{sublist } xs \ i1 \ i2 @ \text{sublist } xs \ (\text{Suc } i2) \ i3 = \text{sublist } xs \ i1 \ i3 \rangle$   
**unfolding** sublist-def  
**by** (smt Suc-eq-plus1-left Suc-le-mono append.assoc le-SucI le-add-diff-inverse le-trans same-append-eq take-add)

**definition** *sorted-sublist-wrt* ::  $\langle ('b \Rightarrow 'b \Rightarrow \text{bool}) \Rightarrow 'b \text{ list} \Rightarrow \text{nat} \Rightarrow \text{nat} \Rightarrow \text{bool} \rangle$  **where**  
 $\langle \text{sorted-sublist-wrt } R \ xs \ lo \ hi = \text{sorted-wrt } R \ (\text{sublist } xs \ lo \ hi) \rangle$

**definition** *sorted-sublist* ::  $\langle 'a :: \text{linorder } list \Rightarrow \text{nat} \Rightarrow \text{nat} \Rightarrow \text{bool} \rangle$  **where**  
 $\langle \text{sorted-sublist } xs \ lo \ hi = \text{sorted-sublist-wrt } (\leq) \ xs \ lo \ hi \rangle$

**abbreviation** *sorted-sublist-map* ::  $\langle ('b \Rightarrow 'b \Rightarrow \text{bool}) \Rightarrow ('a \Rightarrow 'b) \Rightarrow 'a \text{ list} \Rightarrow \text{nat} \Rightarrow \text{nat} \Rightarrow \text{bool} \rangle$   
**where**  
 $\langle \text{sorted-sublist-map } R \ h \ xs \ lo \ hi \equiv \text{sorted-sublist-wrt } (\lambda a \ b. R \ (h \ a) \ (h \ b)) \ xs \ lo \ hi \rangle$

**lemma** *sorted-sublist-map-def'*:  
 $\langle lo < \text{length } xs \implies \text{sorted-sublist-map } R \ h \ xs \ lo \ hi \equiv \text{sorted-sublist-wrt } R \ (\text{map } h \ xs) \ lo \ hi \rangle$   
**apply** (simp add: sorted-sublist-wrt-def)  
**by** (simp add: drop-map sorted-wrt-map sublist-def take-map)

**lemma** *sorted-sublist-wrt-refl*:  $\langle i < \text{length } xs \implies \text{sorted-sublist-wrt } R \ xs \ i \ i \rangle$   
**by** (auto simp add: sorted-sublist-wrt-def sublist-single)

**lemma** *sorted-sublist-refl*:  $\langle i < \text{length } xs \implies \text{sorted-sublist } xs \ i \ i \rangle$   
**by** (auto simp add: sorted-sublist-def sorted-sublist-wrt-refl)

**lemma** *sublist-map*:  $\langle \text{sublist } (\text{map } f \ xs) \ i \ j = \text{map } f \ (\text{sublist } xs \ i \ j) \rangle$

**apply** (*auto simp add: sublist-def*)  
**by** (*simp add: drop-map take-map*)

**lemma** *take-set*:  $\langle j \leq \text{length } xs \implies x \in \text{set } (\text{take } j \text{ } xs) \equiv (\exists k. k < j \wedge xs!k = x) \rangle$   
**apply** (*induction xs*)  
**apply** *simp*  
**by** (*meson in-set-conv-iff less-le-trans*)

**lemma** *drop-set*:  $\langle j \leq \text{length } xs \implies x \in \text{set } (\text{drop } j \text{ } xs) \equiv (\exists k. j \leq k \wedge k < \text{length } xs \wedge xs!k = x) \rangle$   
**by** (*smt Misc.in-set-drop-conv-nth*)

**lemma** *sublist-el*:  $\langle i \leq j \implies j < \text{length } xs \implies x \in \text{set } (\text{sublist } xs \ i \ j) \equiv (\exists k. k < \text{Suc } j - i \wedge xs!(i+k) = x) \rangle$   
**by** (*auto simp add: take-set sublist-def*)

**lemma** *sublist-el'*:  $\langle i \leq j \implies j < \text{length } xs \implies x \in \text{set } (\text{sublist } xs \ i \ j) \equiv (\exists k. i \leq k \wedge k \leq j \wedge xs!k = x) \rangle$   
**apply** (*auto simp add: sublist-el*)  
**by** (*smt Groups.add-ac(2) le-add1 le-add-diff-inverse less-Suc-eq less-diff-conv nat-less-le order-refl*)

**lemma** *sublist-lt*:  $\langle hi < lo \implies \text{sublist } xs \ lo \ hi = [] \rangle$   
**by** (*auto simp add: sublist-def*)

**lemma** *nat-le-eq-or-lt*:  $\langle (a :: \text{nat}) \leq b = (a = b \vee a < b) \rangle$   
**by** *linarith*

**lemma** *sorted-sublist-wrt-le*:  $\langle hi \leq lo \implies hi < \text{length } xs \implies \text{sorted-sublist-wrt } R \text{ } xs \ lo \ hi \rangle$   
**apply** (*auto simp add: nat-le-eq-or-lt*)  
**unfolding** *sorted-sublist-wrt-def*  
**subgoal** **apply** (*rewrite sublist-single*) **by** *auto*  
**subgoal** **by** (*auto simp add: sublist-lt*)  
**done**

Elements in a sorted sublists are actually sorted

**lemma** *sorted-sublist-wrt-nth-le*:

**assumes**  $\langle \text{sorted-sublist-wrt } R \text{ } xs \ lo \ hi \rangle$  **and**  $\langle lo \leq hi \rangle$  **and**  $\langle hi < \text{length } xs \rangle$  **and**  
 $\langle lo \leq i \rangle$  **and**  $\langle i < j \rangle$  **and**  $\langle j \leq hi \rangle$   
**shows**  $\langle R \ (xs!i) \ (xs!j) \rangle$

**proof** –

**have** *A*:  $\langle lo < \text{length } xs \rangle$  **using** *assms(2)* *assms(3)* **by** *linarith*  
**obtain** *i'* **where** *I*:  $\langle i = lo + i' \rangle$  **using** *assms(4)* *le-Suc-ex* **by** *auto*  
**obtain** *j'* **where** *J*:  $\langle j = lo + j' \rangle$  **by** (*meson assms(4) assms(5) dual-order.trans le-iff-add less-imp-le-nat*)  
**show** *?thesis*  
**using** *assms(1)* **apply** (*simp add: sorted-sublist-wrt-def I J*)  
**apply** (*rewrite sublist-nth[symmetric, where k=i', where lo=lo, where hi=hi]*)  
**using** *assms* **apply** *auto* **subgoal** **using** *I* **by** *linarith*  
**apply** (*rewrite sublist-nth[symmetric, where k=j', where lo=lo, where hi=hi]*)  
**using** *assms* **apply** *auto* **subgoal** **using** *J* **by** *linarith*  
**apply** (*rule sorted-wrt-nth-less*)  
**apply** *auto*  
**subgoal** **using** *I J* *nat-add-left-cancel-less* **by** *blast*  
**subgoal** **apply** (*simp add: sublist-length*) **using** *J* **by** *linarith*  
**done**

**qed**

We can make the assumption  $i < j$  weaker if we have a reflexive relation.

```

lemma sorted-sublist-wrt-nth-le':
  assumes ref:  $\langle \bigwedge x. R\ x\ x \rangle$ 
    and  $\langle \text{sorted-sublist-wrt } R\ xs\ lo\ hi \rangle$  and  $\langle lo \leq hi \rangle$  and  $\langle hi < \text{length } xs \rangle$ 
    and  $\langle lo \leq i \rangle$  and  $\langle i \leq j \rangle$  and  $\langle j \leq hi \rangle$ 
  shows  $\langle R\ (xs!i)\ (xs!j) \rangle$ 
proof –
  have  $\langle i < j \vee i = j \rangle$  using  $\langle i \leq j \rangle$  by linarith
  then consider (a)  $\langle i < j \rangle$  |
    (b)  $\langle i = j \rangle$  by blast
  then show ?thesis
proof cases
  case a
  then show ?thesis
    using assms(2–5,7) sorted-sublist-wrt-nth-le by blast
next
  case b
  then show ?thesis
    by (simp add: ref)
qed
qed

```

```

lemma sorted-sublist-le:  $\langle hi \leq lo \implies hi < \text{length } xs \implies \text{sorted-sublist } xs\ lo\ hi \rangle$ 
by (auto simp add: sorted-sublist-def sorted-sublist-wrt-le)

```

```

lemma sorted-sublist-map-le:  $\langle hi \leq lo \implies hi < \text{length } xs \implies \text{sorted-sublist-map } R\ h\ xs\ lo\ hi \rangle$ 
by (auto simp add: sorted-sublist-wrt-le)

```

```

lemma sublist-cons:  $\langle lo < hi \implies hi < \text{length } xs \implies \text{sublist } xs\ lo\ hi = xs!lo \# \text{sublist } xs\ (\text{Suc } lo)\ hi \rangle$ 
apply (simp add: sublist-def)
by (metis Cons-nth-drop-Suc Suc-diff-le le-trans less-imp-le-nat not-le take-Suc-Cons)

```

```

lemma sorted-sublist-wrt-cons':
   $\langle \text{sorted-sublist-wrt } R\ xs\ (lo+1)\ hi \implies lo \leq hi \implies hi < \text{length } xs \implies (\forall j. lo < j \wedge j \leq hi \longrightarrow R\ (xs!lo)\ (xs!j)) \implies \text{sorted-sublist-wrt } R\ xs\ lo\ hi \rangle$ 
apply (simp add: sorted-sublist-wrt-def)
apply (auto simp add: nat-le-eq-or-lt)
subgoal by (simp add: sublist-single)
apply (auto simp add: sublist-cons sublist-el)
by (metis Suc-lessI ab-semigroup-add-class.add commute less-add-Suc1 less-diff-conv)

```

```

lemma sorted-sublist-wrt-cons:
  assumes trans:  $\langle \bigwedge x\ y\ z. \llbracket R\ x\ y; R\ y\ z \rrbracket \implies R\ x\ z \rangle$  and
     $\langle \text{sorted-sublist-wrt } R\ xs\ (lo+1)\ hi \rangle$  and
     $\langle lo \leq hi \rangle$  and  $\langle hi < \text{length } xs \rangle$  and  $\langle R\ (xs!lo)\ (xs!(lo+1)) \rangle$ 
  shows  $\langle \text{sorted-sublist-wrt } R\ xs\ lo\ hi \rangle$ 
proof –
  show ?thesis
    apply (rule sorted-sublist-wrt-cons') using assms apply auto

```



```

subgoal premises assms' for j
proof -
  have A:  $\langle j = lo + 1 \vee j > lo + 1 \rangle$  using assms'(5) by linarith
  show ?thesis
    using A proof
      assume A:  $\langle j = lo + 1 \rangle$  show ?thesis
        by (simp add: A assms')
      next
        assume A:  $\langle j > lo + 1 \rangle$  show ?thesis
          apply (rule trans)
          apply (rule assms(5))
          apply (rule sorted-sublist-wrt-nth-le [OF assms(2), where i =  $\langle lo + 1 \rangle$ , where j = j])
          subgoal using A assms'(6) by linarith
          subgoal using assms'(3) less-imp-diff-less by blast
          subgoal using assms'(5) by auto
          subgoal using A by linarith
          subgoal by (simp add: assms'(6))
          done
        qed
      qed
    done
  qed

```

**lemma** *sorted-sublist-map-cons*:

```

 $\langle (\bigwedge x y z. \llbracket R (h x) (h y); R (h y) (h z) \rrbracket \implies R (h x) (h z)) \implies$ 
   $\text{sorted-sublist-map } R h xs (lo + 1) hi \implies lo \leq hi \implies hi < \text{length } xs \implies R (h (xs!lo)) (h (xs!(lo + 1)))$ 
 $\implies \text{sorted-sublist-map } R h xs lo hi \rangle$ 
  by (blast intro: sorted-sublist-wrt-cons)

```

**lemma** *sublist-snoc*:  $\langle lo < hi \implies hi < \text{length } xs \implies \text{sublist } xs lo hi = \text{sublist } xs lo (hi - 1) @ [xs!hi] \rangle$   
 apply (simp add: *sublist-def*)

**proof** -

```

  assume a1: lo < hi
  assume hi < length xs
  then have take lo xs @ take (Suc hi - lo) (drop lo xs) = (take lo xs @ take (hi - lo) (drop lo xs)) @
    [xs ! hi]
    using a1 by (metis (no-types) Suc-diff-le add-Suc-right hd-drop-conv-nth le-add-diff-inverse less-imp-le-nat
      take-add take-hd-drop)
  then show take (Suc hi - lo) (drop lo xs) = take (hi - lo) (drop lo xs) @ [xs ! hi]
    by simp
  qed

```

**lemma** *sorted-sublist-wrt-snoc'*:

```

 $\langle \text{sorted-sublist-wrt } R xs lo (hi - 1) \implies lo \leq hi \implies hi < \text{length } xs \implies (\forall j. lo \leq j \wedge j < hi \longrightarrow R (xs!j)$ 
 $(xs!hi)) \implies \text{sorted-sublist-wrt } R xs lo hi \rangle$ 
  apply (simp add: sorted-sublist-wrt-def)
  apply (auto simp add: nat-le-eq-or-lt)
  subgoal by (simp add: sublist-single)
  apply (auto simp add: sublist-snoc sublist-el sorted-wrt-append)
  by (metis ab-semigroup-add-class.add commute leI less-diff-conv nat-le-eq-or-lt not-add-less1)

```

**lemma** *sorted-sublist-wrt-snoc*:

assumes *trans*:  $\langle (\bigwedge x y z. \llbracket R x y; R y z \rrbracket \implies R x z) \rangle$  and

```

  ⟨sorted-sublist-wrt R xs lo (hi-1)⟩ and
  ⟨lo ≤ hi⟩ and ⟨hi < length xs⟩ and ⟨(R (xs!(hi-1)) (xs!hi))⟩
shows ⟨sorted-sublist-wrt R xs lo hi⟩
proof -
  show ?thesis
  apply (rule sorted-sublist-wrt-snoc') using assms apply auto
  subgoal premises assms' for j
  proof -
    have A: ⟨j=hi-1 ∨ j<hi-1⟩ using assms'(6) by linarith
    show ?thesis
    using A proof
      assume A: ⟨j=hi-1⟩ show ?thesis
      by (simp add: A assms')
    next
      assume A: ⟨j<hi-1⟩ show ?thesis
      apply (rule trans)
      apply (rule sorted-sublist-wrt-nth-le[OF assms(2), where i=j, where j=⟨hi-1⟩])
      prefer 6
      apply (rule assms(5))
      apply auto
      subgoal using A assms'(5) by linarith
      subgoal using assms'(3) less-imp-diff-less by blast
      subgoal using assms'(5) by auto
      subgoal using A by linarith
    done
  qed
qed
done
qed

```

**lemma** *sublist-split*:  $\langle lo \leq hi \implies lo < p \implies p < hi \implies hi < \text{length } xs \implies \text{sublist } xs \text{ lo } p @ \text{sublist } xs (p+1) \text{ hi} = \text{sublist } xs \text{ lo } hi \rangle$   
 by (simp add: sublist-app)

**lemma** *sublist-split-part*:  $\langle lo \leq hi \implies lo < p \implies p < hi \implies hi < \text{length } xs \implies \text{sublist } xs \text{ lo } (p-1) @ xs!p \# \text{sublist } xs (p+1) \text{ hi} = \text{sublist } xs \text{ lo } hi \rangle$   
 by (auto simp add: sublist-split[symmetric] sublist-snoc[where xs=xs, where lo=lo, where hi=p])

A property for partitions (we always assume that  $R$  is transitive.

**lemma** *isPartition-wrt-trans*:

```

⟨(⋀ x y z. [R x y; R y z] ⟹ R x z) ⟹
  isPartition-wrt R xs lo hi p ⟹
  (∀ i j. lo ≤ i ∧ i < p ∧ p < j ∧ j ≤ hi ⟹ R (xs!i) (xs!j))⟩
by (auto simp add: isPartition-wrt-def)

```

**lemma** *isPartition-map-trans*:

```

⟨(⋀ x y z. [R (h x) (h y); R (h y) (h z)] ⟹ R (h x) (h z)) ⟹
  hi < length xs ⟹
  isPartition-map R h xs lo hi p ⟹
  (∀ i j. lo ≤ i ∧ i < p ∧ p < j ∧ j ≤ hi ⟹ R (h (xs!i)) (h (xs!j)))⟩
by (auto simp add: isPartition-wrt-def)

```

**lemma** *merge-sorted-wrt-partitions-between'*:

```

⟨lo ≤ hi ⟹ lo < p ⟹ p < hi ⟹ hi < length xs ⟹

```

```

  isPartition-wrt R xs lo hi p  $\implies$ 
  sorted-sublist-wrt R xs lo (p-1)  $\implies$  sorted-sublist-wrt R xs (p+1) hi  $\implies$ 
  ( $\forall i j. lo \leq i \wedge i < p \wedge p < j \wedge j \leq hi \longrightarrow R (xs!i) (xs!j)$ )  $\implies$ 
  sorted-sublist-wrt R xs lo hi
apply (auto simp add: isPartition-def isPartition-wrt-def sorted-sublist-def sorted-sublist-wrt-def sublist-map)
apply (simp add: sublist-split-part[symmetric])
apply (auto simp add: List.sorted-wrt-append)
subgoal by (auto simp add: sublist-el)
subgoal by (auto simp add: sublist-el)
subgoal by (auto simp add: sublist-el')
done

```

**lemma** merge-sorted-wrt-partitions-between:

```

 $\langle (\bigwedge x y z. \llbracket R x y; R y z \rrbracket \implies R x z) \implies$ 
  isPartition-wrt R xs lo hi p  $\implies$ 
  sorted-sublist-wrt R xs lo (p-1)  $\implies$  sorted-sublist-wrt R xs (p+1) hi  $\implies$ 
   $lo \leq hi \implies hi < length xs \implies lo < p \implies p < hi \implies hi < length xs \implies$ 
  sorted-sublist-wrt R xs lo hi
by (simp add: merge-sorted-wrt-partitions-between' isPartition-wrt-trans)

```

The main theorem to merge sorted lists

**lemma** merge-sorted-wrt-partitions:

```

 $\langle isPartition-wrt R xs lo hi p \implies$ 
  sorted-sublist-wrt R xs lo (p - Suc 0)  $\implies$  sorted-sublist-wrt R xs (Suc p) hi  $\implies$ 
   $lo \leq hi \implies lo \leq p \implies p \leq hi \implies hi < length xs \implies$ 
  ( $\forall i j. lo \leq i \wedge i < p \wedge p < j \wedge j \leq hi \longrightarrow R (xs!i) (xs!j)$ )  $\implies$ 
  sorted-sublist-wrt R xs lo hi

```

**subgoal premises** *assms*

**proof** —

**have** *C*:  $\langle lo=p \wedge p=hi \vee lo=p \wedge p < hi \vee lo < p \wedge p=hi \vee lo < p \wedge p < hi \rangle$

**using** *assms* **by** *linarith*

**show** *?thesis*

**using** *C* **apply** *auto*

**subgoal** —  $lo=p=hi$

**apply** (rule sorted-sublist-wrt-refl)

**using** *assms* **by** *auto*

**subgoal** —  $lo=p < hi$

**using** *assms* **by** (simp add: isPartition-def isPartition-wrt-def sorted-sublist-wrt-cons')

**subgoal** —  $lo < p=hi$

**using** *assms* **by** (simp add: isPartition-def isPartition-wrt-def sorted-sublist-wrt-snoc')

**subgoal** —  $lo < p < hi$

**using** *assms*

**apply** (rewrite merge-sorted-wrt-partitions-between'[**where**  $p=p$ ])

**by** *auto*

**done**

**qed**

**done**

**theorem** merge-sorted-map-partitions:

```

 $\langle (\bigwedge x y z. \llbracket R (h x) (h y); R (h y) (h z) \rrbracket \implies R (h x) (h z)) \implies$ 
  isPartition-map R h xs lo hi p  $\implies$ 
  sorted-sublist-map R h xs lo (p - Suc 0)  $\implies$  sorted-sublist-map R h xs (Suc p) hi  $\implies$ 
   $lo \leq hi \implies lo \leq p \implies p \leq hi \implies hi < length xs \implies$ 
  sorted-sublist-map R h xs lo hi

```

**apply** (rule merge-sorted-wrt-partitions) **apply** *auto*

by (simp add: merge-sorted-wrt-partitions isPartition-map-trans)

**lemma** partition-wrt-extend:

$\langle isPartition-wrt\ R\ xs\ lo'\ hi'\ p \implies$   
 $hi < length\ xs \implies$   
 $lo \leq lo' \implies lo' \leq hi \implies hi' \leq hi \implies$   
 $lo' \leq p \implies p \leq hi' \implies$   
 $(\bigwedge i. lo \leq i \implies i < lo' \implies R\ (xs!i)\ (xs!p)) \implies$   
 $(\bigwedge j. hi' < j \implies j \leq hi \implies R\ (xs!p)\ (xs!j)) \implies$   
 $isPartition-wrt\ R\ xs\ lo\ hi\ p \rangle$   
**unfolding** isPartition-wrt-def  
**apply** auto  
**subgoal** by (meson not-le)  
**subgoal** by (metis nat-le-eq-or-lt nat-le-linear)  
**done**

**lemma** partition-map-extend:

$\langle isPartition-map\ R\ h\ xs\ lo'\ hi'\ p \implies$   
 $hi < length\ xs \implies$   
 $lo \leq lo' \implies lo' \leq hi \implies hi' \leq hi \implies$   
 $lo' \leq p \implies p \leq hi' \implies$   
 $(\bigwedge i. lo \leq i \implies i < lo' \implies R\ (h\ (xs!i))\ (h\ (xs!p))) \implies$   
 $(\bigwedge j. hi' < j \implies j \leq hi \implies R\ (h\ (xs!p))\ (h\ (xs!j))) \implies$   
 $isPartition-map\ R\ h\ xs\ lo\ hi\ p \rangle$   
**by** (auto simp add: partition-wrt-extend)

**lemma** isPartition-empty:

$\langle (\bigwedge j. \llbracket lo < j; j \leq hi \rrbracket \implies R\ (xs!\ lo)\ (xs!\ j)) \implies$   
 $isPartition-wrt\ R\ xs\ lo\ hi\ lo \rangle$   
**by** (auto simp add: isPartition-wrt-def)

**lemma** take-ext:

$\langle (\forall i < k. xs!i = xs!i) \implies$   
 $k < length\ xs \implies k < length\ xs' \implies$   
 $take\ k\ xs' = take\ k\ xs \rangle$   
**by** (simp add: nth-take-lemma)

**lemma** drop-ext':

$\langle (\forall i. i \geq k \wedge i < length\ xs \longrightarrow xs!i = xs!i) \implies$   
 $0 < k \implies xs \neq [] \implies$  — These corner cases will be dealt with in the next lemma  
 $length\ xs' = length\ xs \implies$   
 $drop\ k\ xs' = drop\ k\ xs \rangle$   
**apply** (rewrite in  $\langle drop - \sqsupset = \cdot \rangle$  List.rev-rev-ident[symmetric])  
**apply** (rewrite in  $\langle \cdot = drop - \sqsupset \rangle$  List.rev-rev-ident[symmetric])  
**apply** (rewrite in  $\langle \sqsupset = \cdot \rangle$  List.drop-rev)  
**apply** (rewrite in  $\langle \cdot = \sqsupset \rangle$  List.drop-rev)  
**apply** simp  
**apply** (rule take-ext)  
**by** (auto simp add: nth-rev)

**lemma** drop-ext:

```

⟨(∀ i. i ≥ k ∧ i < length xs ⟶ xs!i = xs!i) ⟹
  length xs' = length xs ⟹
  drop k xs' = drop k xs⟩
apply (cases xs)
apply auto
apply (cases k)
subgoal by (simp add: nth-equalityI)
subgoal apply (rule drop-ext') by auto
done

```

```

lemma sublist-ext':
  ⟨(∀ i. lo ≤ i ∧ i ≤ hi ⟶ xs!i = xs!i) ⟹
    length xs' = length xs ⟹
    lo ≤ hi ⟹ Suc hi < length xs ⟹
    sublist xs' lo hi = sublist xs lo hi⟩
apply (simp add: sublist-def)
apply (rule take-ext)
by auto

```

```

lemma lt-Suc: ⟨(a < b) = (Suc a = b ∨ Suc a < b)⟩
by auto

```

```

lemma sublist-until-end-eq-drop: ⟨Suc hi = length xs ⟹ sublist xs lo hi = drop lo xs⟩
by (simp add: sublist-def)

```

```

lemma sublist-ext:
  ⟨(∀ i. lo ≤ i ∧ i ≤ hi ⟶ xs!i = xs!i) ⟹
    length xs' = length xs ⟹
    lo ≤ hi ⟹ hi < length xs ⟹
    sublist xs' lo hi = sublist xs lo hi⟩
apply (auto simp add: lt-Suc[where a=hi])
subgoal by (auto simp add: sublist-until-end-eq-drop drop-ext)
subgoal by (auto simp add: sublist-ext')
done

```

```

lemma sorted-wrt-lower-sublist-still-sorted:
  assumes ⟨sorted-sublist-wrt R xs lo (lo' - Suc 0)⟩ and
  ⟨lo ≤ lo'⟩ and ⟨lo' < length xs⟩ and
  ⟨(∀ i. lo ≤ i ∧ i < lo' ⟶ xs!i = xs!i)⟩ and ⟨length xs' = length xs⟩
  shows ⟨sorted-sublist-wrt R xs' lo (lo' - Suc 0)⟩
proof -
  have l: ⟨lo < lo' - 1 ∨ lo ≥ lo' - 1⟩
    by linarith
  show ?thesis
    using l apply auto
  subgoal — lo < lo' - 1
    apply (auto simp add: sorted-sublist-wrt-def)
    apply (rewrite sublist-ext[where xs=xs])
    using assms by (auto simp add: sorted-sublist-wrt-def)
  subgoal — lo ≥ lo' - 1
    using assms by (auto simp add: sorted-sublist-wrt-le)
  done
qed

```

**lemma** *sorted-map-lower-sublist-still-sorted*:  
**assumes**  $\langle \text{sorted-sublist-map } R \ h \ xs \ lo \ (lo' - Suc \ 0) \rangle$  **and**  
 $\langle lo \leq lo' \rangle$  **and**  $\langle lo' < \text{length } xs \rangle$  **and**  
 $\langle (\forall \ i. \ lo \leq i \wedge i < lo' \longrightarrow xs!i = xs!i) \rangle$  **and**  $\langle \text{length } xs' = \text{length } xs \rangle$   
**shows**  $\langle \text{sorted-sublist-map } R \ h \ xs' \ lo \ (lo' - Suc \ 0) \rangle$   
**using** *assms* **by** (rule *sorted-wrt-lower-sublist-still-sorted*)

**lemma** *sorted-wrt-upper-sublist-still-sorted*:  
**assumes**  $\langle \text{sorted-sublist-wrt } R \ xs \ (hi' + 1) \ hi \rangle$  **and**  
 $\langle lo \leq lo' \rangle$  **and**  $\langle hi < \text{length } xs \rangle$  **and**  
 $\langle \forall \ j. \ hi' < j \wedge j \leq hi \longrightarrow xs!j = xs!j \rangle$  **and**  $\langle \text{length } xs' = \text{length } xs \rangle$   
**shows**  $\langle \text{sorted-sublist-wrt } R \ xs' \ (hi' + 1) \ hi \rangle$

**proof** –  
**have**  $l: \langle hi' + 1 < hi \vee hi' + 1 \geq hi \rangle$   
**by** *linarith*  
**show** *?thesis*  
**using**  $l$  **apply** *auto*  
**subgoal** —  $hi' + 1 < h$   
**apply** (*auto simp add: sorted-sublist-wrt-def*)  
**apply** (*rewrite sublist-ext[where xs=xs]*)  
**using** *assms* **by** (*auto simp add: sorted-sublist-wrt-def*)  
**subgoal** —  $hi \leq hi' + 1$   
**using** *assms* **by** (*auto simp add: sorted-sublist-wrt-le*)  
**done**

**qed**

**lemma** *sorted-map-upper-sublist-still-sorted*:  
**assumes**  $\langle \text{sorted-sublist-map } R \ h \ xs \ (hi' + 1) \ hi \rangle$  **and**  
 $\langle lo \leq lo' \rangle$  **and**  $\langle hi < \text{length } xs \rangle$  **and**  
 $\langle \forall \ j. \ hi' < j \wedge j \leq hi \longrightarrow xs!j = xs!j \rangle$  **and**  $\langle \text{length } xs' = \text{length } xs \rangle$   
**shows**  $\langle \text{sorted-sublist-map } R \ h \ xs' \ (hi' + 1) \ hi \rangle$   
**using** *assms* **by** (rule *sorted-wrt-upper-sublist-still-sorted*)

The specification of the partition function

**definition** *partition-spec* ::  $\langle ('b \Rightarrow 'b \Rightarrow \text{bool}) \Rightarrow ('a \Rightarrow 'b) \Rightarrow 'a \text{ list} \Rightarrow \text{nat} \Rightarrow \text{nat} \Rightarrow 'a \text{ list} \Rightarrow \text{nat} \Rightarrow \text{bool} \rangle$  **where**

$\langle \text{partition-spec } R \ h \ xs \ lo \ hi \ xs' \ p \equiv$   
 $\text{mset } xs' = \text{mset } xs \wedge$  — The list is a permutation  
 $\text{isPartition-map } R \ h \ xs' \ lo \ hi \ p \wedge$  — We have a valid partition on the resulting list  
 $lo \leq p \wedge p \leq hi \wedge$  — The partition index is in bounds  
 $(\forall \ i. \ i < lo \longrightarrow xs!i = xs!i) \wedge (\forall \ i. \ hi < i \wedge i < \text{length } xs' \longrightarrow xs!i = xs!i) \rangle$  — Everything else is unchanged.

**lemma** *in-set-take-conv-nth*:  
 $\langle x \in \text{set } (\text{take } n \ xs) \longleftrightarrow (\exists \ m < \min \ n \ (\text{length } xs). \ xs!m = x) \rangle$   
**by** (*metis in-set-conv-nth length-take min commute min.strict-boundedE nth-take*)

**lemma** *mset-drop-upto*:  $\langle \text{mset } (\text{drop } a \ N) = \{\#N!i. \ i \in \# \text{mset-set } \{a..<\text{length } N\}\#\} \rangle$

**proof** (*induction N arbitrary: a*)

**case** *Nil*  
**then show** *?case* **by** *simp*

**next**

**case** (*Cons c N*)  
**have** *upt*:  $\langle \{0..<Suc \ (\text{length } N)\} = \text{insert } 0 \ \{1..<Suc \ (\text{length } N)\} \rangle$   
**by** *auto*

then have  $H: \langle \text{mset-set } \{0..<\text{Suc } (\text{length } N)\} = \text{add-mset } 0 \text{ (mset-set } \{1..<\text{Suc } (\text{length } N)\}) \rangle$   
 unfolding *upt* by *auto*  
 have *mset-case-Suc*:  $\langle \{\# \text{case } x \text{ of } 0 \Rightarrow c \mid \text{Suc } x \Rightarrow N ! x . x \in \# \text{ mset-set } \{\text{Suc } a..<\text{Suc } b\} \# \} = \{\# N ! (x-1) . x \in \# \text{ mset-set } \{\text{Suc } a..<\text{Suc } b\} \# \} \rangle$  for  $a \ b$   
 by (rule *image-mset-cong*) (auto *split*: *nat.splits*)  
 have *Suc-Suc*:  $\langle \{\text{Suc } a..<\text{Suc } b\} = \text{Suc } ' \{\text{Suc } a..<\text{Suc } b\} \rangle$  for  $a \ b$   
 by *auto*  
 then have *mset-set-Suc-Suc*:  $\langle \text{mset-set } \{\text{Suc } a..<\text{Suc } b\} = \{\# \text{Suc } n . n \in \# \text{ mset-set } \{a..<b\} \# \} \rangle$  for  $a \ b$   
 unfolding *Suc-Suc* by (subst *image-mset-mset-set[symmetric]*) *auto*  
 have \*:  $\langle \{\# N ! (x - \text{Suc } 0) . x \in \# \text{ mset-set } \{\text{Suc } a..<\text{Suc } b\} \# \} = \{\# N ! x . x \in \# \text{ mset-set } \{a..<b\} \# \} \rangle$  for  $a \ b$   
 by (auto *simp* *add*: *mset-set-Suc-Suc* *multiset.map-comp* *comp-def*)  
 show ?*case*  
 apply (cases *a*)  
 using *Cons[of 0]* *Cons* by (auto *simp*: *nth-Cons* *drop-Cons* *H* *mset-case-Suc* \*)  
 qed

lemma *mathias*:

assumes

*Perm*:  $\langle \text{mset } xs' = \text{mset } xs \rangle$

and *I*:  $\langle lo \leq i \ \langle i \leq hi \rangle \ \langle xs ! i = x \rangle$

and *Bounds*:  $\langle hi < \text{length } xs \rangle$

and *Fix*:  $\langle \bigwedge i . i < lo \implies xs ! i = xs ! i \ \langle \bigwedge j . \llbracket hi < j ; j < \text{length } xs \rrbracket \implies xs ! j = xs ! j \rangle$

shows  $\langle \exists j . lo \leq j \wedge j \leq hi \wedge xs ! j = x \rangle$

proof –

define *xs1 xs2 xs3 xs1' xs2' xs3'* where

$\langle xs1 = \text{take } lo \ xs \rangle$  and

$\langle xs2 = \text{take } (\text{Suc } hi - lo) \ (\text{drop } lo \ xs) \rangle$  and

$\langle xs3 = \text{drop } (\text{Suc } hi) \ xs \rangle$  and

$\langle xs1' = \text{take } lo \ xs' \rangle$  and

$\langle xs2' = \text{take } (\text{Suc } hi - lo) \ (\text{drop } lo \ xs') \rangle$  and

$\langle xs3' = \text{drop } (\text{Suc } hi) \ xs' \rangle$

have [*simp*]:  $\langle \text{length } xs' = \text{length } xs \rangle$

using *Perm* by (auto *dest*: *mset-eq-length*)

have [*simp*]:  $\langle \text{mset } xs1 = \text{mset } xs1' \rangle$

using *Fix*(1) unfolding *xs1-def* *xs1'-def*

by (metis *Perm* *le-cases* *mset-eq-length* *nth-take-lemma* *take-all*)

have [*simp*]:  $\langle \text{mset } xs3 = \text{mset } xs3' \rangle$

using *Fix*(2) unfolding *xs3-def* *xs3'-def* *mset-drop-upto*

by (auto *intro*: *image-mset-cong*)

have  $\langle xs = xs1 \ @ \ xs2 \ @ \ xs3 \rangle \ \langle xs' = xs1' \ @ \ xs2' \ @ \ xs3' \rangle$

using *I* unfolding *xs1-def* *xs2-def* *xs3-def* *xs1'-def* *xs2'-def* *xs3'-def*

by (metis *append.assoc* *append-take-drop-id* *le-SucI* *le-add-diff-inverse* *order-trans* *take-add*) +

moreover have  $\langle xs ! i = xs2 ! (i - lo) \rangle \ \langle i \geq \text{length } xs1 \rangle$

using *I* *Bounds* unfolding *xs2-def* *xs1-def* by (auto *simp*: *nth-take* *min-def*)

moreover have  $\langle x \in \text{set } xs2' \rangle$

using *I* *Bounds* unfolding *xs2'-def*

by (auto *simp*: *in-set-take-conv-nth*

*intro!*: *exI*[of -  $\langle i - lo \rangle$ ])

ultimately have  $\langle x \in \text{set } xs2 \rangle$

using *Perm* *I* by (auto *dest*: *mset-eq-setD*)

then obtain *j* where  $\langle xs ! (lo + j) = x \rangle \ \langle j \leq hi - lo \rangle$

unfolding *in-set-conv-nth* *xs2-def*

```

    by auto
  then show ?thesis
    using Bounds I
    by (auto intro: exI[of - ⟨lo+j⟩])
qed

```

If we fix the left and right rest of two permutated lists, then the sublists are also permutations.

But we only need that the sets are equal.

**lemma** *mset-sublist-incl*:

```

  assumes Perm: ⟨mset xs' = mset xs⟩
    and Fix: ⟨ $\bigwedge i. i < lo \implies xs!i = xs!i$ ⟩ ⟨ $\bigwedge j. \llbracket hi < j; j < length\ xs \rrbracket \implies xs!j = xs!j$ ⟩
    and bounds: ⟨lo ≤ hi⟩ ⟨hi < length xs⟩
  shows ⟨set (sublist xs' lo hi) ⊆ set (sublist xs lo hi)⟩
proof
  fix x
  assume ⟨x ∈ set (sublist xs' lo hi)⟩
  then have ⟨ $\exists i. lo \leq i \wedge i \leq hi \wedge xs!i = x$ ⟩
    by (metis assms(1) bounds(1) bounds(2) size-mset sublist-el')
  then obtain i where I: ⟨lo ≤ i⟩ ⟨i ≤ hi⟩ ⟨xs!i = x⟩ by blast
  have ⟨ $\exists j. lo \leq j \wedge j \leq hi \wedge xs!j = x$ ⟩
    using Perm I bounds(2) Fix by (rule mathias, auto)
  then show ⟨x ∈ set (sublist xs lo hi)⟩
    by (simp add: bounds(1) bounds(2) sublist-el')
qed

```

**lemma** *mset-sublist-eq*:

```

  assumes ⟨mset xs' = mset xs⟩
    and ⟨ $\bigwedge i. i < lo \implies xs!i = xs!i$ ⟩
    and ⟨ $\bigwedge j. \llbracket hi < j; j < length\ xs \rrbracket \implies xs!j = xs!j$ ⟩
    and bounds: ⟨lo ≤ hi⟩ ⟨hi < length xs⟩
  shows ⟨set (sublist xs' lo hi) = set (sublist xs lo hi)⟩
proof
  show ⟨set (sublist xs' lo hi) ⊆ set (sublist xs lo hi)⟩
    apply (rule mset-sublist-incl)
    using assms by auto
  show ⟨set (sublist xs lo hi) ⊆ set (sublist xs' lo hi)⟩
    apply (rule mset-sublist-incl)
    by (metis assms size-mset)+
qed

```

Our abstract recursive quicksort procedure. We abstract over a partition procedure.

**definition** *quicksort* :: ⟨('b ⇒ 'b ⇒ bool) ⇒ ('a ⇒ 'b) ⇒ nat × nat × 'a list ⇒ 'a list nres⟩ **where**  
 ⟨quicksort R h = (λ(lo,hi,xs0). do {

```

    RECT (λf (lo,hi,xs). do {
      ASSERT(lo ≤ hi ∧ hi < length xs ∧ mset xs = mset xs0); — Premise for a partition function
      (xs, p) ← SPEC(uncurry (partition-spec R h xs lo hi)); — Abstract partition function
      ASSERT(mset xs = mset xs0);
      xs ← (if p-1 ≤ lo then RETURN xs else f (lo, p-1, xs));
      ASSERT(mset xs = mset xs0);
      if hi ≤ p+1 then RETURN xs else f (p+1, hi, xs)
    }) (lo,hi,xs0)
  })

```

As premise for quicksor, we only need that the indices are ok.



**definition** *quicksort-pre* ::  $\langle ('b \Rightarrow 'b \Rightarrow \text{bool}) \Rightarrow ('a \Rightarrow 'b) \Rightarrow 'a \text{ list} \Rightarrow \text{nat} \Rightarrow \text{nat} \Rightarrow 'a \text{ list} \Rightarrow \text{bool} \rangle$   
**where**

$\langle \text{quicksort-pre } R \ h \ xs0 \ lo \ hi \ xs \equiv lo \leq hi \wedge hi < \text{length } xs \wedge \text{mset } xs = \text{mset } xs0 \rangle$

**definition** *quicksort-post* ::  $\langle ('b \Rightarrow 'b \Rightarrow \text{bool}) \Rightarrow ('a \Rightarrow 'b) \Rightarrow \text{nat} \Rightarrow \text{nat} \Rightarrow 'a \text{ list} \Rightarrow 'a \text{ list} \Rightarrow \text{bool} \rangle$   
**where**

$\langle \text{quicksort-post } R \ h \ lo \ hi \ xs \ xs' \equiv$   
 $\text{mset } xs' = \text{mset } xs \wedge$   
 $\text{sorted-sublist-map } R \ h \ xs' \ lo \ hi \wedge$   
 $(\forall i. i < lo \longrightarrow xs!i = xs'i) \wedge$   
 $(\forall j. hi < j \wedge j < \text{length } xs \longrightarrow xs!j = xs'j) \rangle$

Convert Pure to HOL

**lemma** *quicksort-postI*:

$\langle \llbracket \text{mset } xs' = \text{mset } xs; \text{sorted-sublist-map } R \ h \ xs' \ lo \ hi; (\bigwedge i. \llbracket i < lo \rrbracket \Longrightarrow xs!i = xs'i); (\bigwedge j. \llbracket hi < j; j < \text{length } xs \rrbracket \Longrightarrow xs!j = xs'j) \rrbracket \Longrightarrow \text{quicksort-post } R \ h \ lo \ hi \ xs \ xs' \rangle$   
**by** (*auto simp add: quicksort-post-def*)

The first case for the correctness proof of (abstract) quicksort: We assume that we called the partition function, and we have  $p - (1::'a) \leq lo$  and  $hi \leq p + (1::'a)$ .

**lemma** *quicksort-correct-case1*:

**assumes** *trans*:  $\langle \bigwedge x \ y \ z. \llbracket R \ (h \ x) \ (h \ y); R \ (h \ y) \ (h \ z) \rrbracket \Longrightarrow R \ (h \ x) \ (h \ z) \rangle$  **and** *lin*:  $\langle \bigwedge x \ y. x \neq y \Longrightarrow R \ (h \ x) \ (h \ y) \vee R \ (h \ y) \ (h \ x) \rangle$   
**and** *pre*:  $\langle \text{quicksort-pre } R \ h \ xs0 \ lo \ hi \ xs \rangle$   
**and** *part*:  $\langle \text{partition-spec } R \ h \ xs \ lo \ hi \ xs' \ p \rangle$   
**and** *ifs*:  $\langle p-1 \leq lo \rangle \langle hi \leq p+1 \rangle$   
**shows**  $\langle \text{quicksort-post } R \ h \ lo \ hi \ xs \ xs' \rangle$

**proof** –

First boilerplate code step: ‘unfold’ the HOL definitions in the assumptions and convert them to Pure

**have** *pre*:  $\langle lo \leq hi \rangle \langle hi < \text{length } xs \rangle$   
**using** *pre* **by** (*auto simp add: quicksort-pre-def*)

**have** *part*:  $\langle \text{mset } xs' = \text{mset } xs \rangle \text{ True}$   
 $\langle \text{isPartition-map } R \ h \ xs' \ lo \ hi \ p \rangle \langle lo \leq p \rangle \langle p \leq hi \rangle$   
 $\langle \bigwedge i. i < lo \Longrightarrow xs!i = xs'i \rangle \langle \bigwedge i. \llbracket hi < i; i < \text{length } xs \rrbracket \Longrightarrow xs!i = xs'i \rangle$   
**using** *part* **by** (*auto simp add: partition-spec-def*)

**have** *sorted-lower*:  $\langle \text{sorted-sublist-map } R \ h \ xs' \ lo \ (p - \text{Suc } 0) \rangle$

**proof** –

**show** *?thesis*  
**apply** (*rule sorted-sublist-wrt-le*)  
**subgoal using** *ifs(1)* **by** *auto*  
**subgoal using** *ifs(1)* *mset-eq-length* *part(1)* *pre(1)* *pre(2)* **by** *fastforce*  
**done**

**qed**

**have** *sorted-upper*:  $\langle \text{sorted-sublist-map } R \ h \ xs' \ (\text{Suc } p) \ hi \rangle$

**proof** –

**show** *?thesis*  
**apply** (*rule sorted-sublist-wrt-le*)  
**subgoal using** *ifs(2)* **by** *auto*  
**subgoal using** *ifs(1)* *mset-eq-length* *part(1)* *pre(1)* *pre(2)* **by** *fastforce*

```

    done
qed

have sorted-middle: ⟨sorted-sublist-map R h xs' lo hi⟩
proof -
  show ?thesis
    apply (rule merge-sorted-map-partitions[where p=p])
    subgoal by (rule trans)
    subgoal by (rule part)
    subgoal by (rule sorted-lower)
    subgoal by (rule sorted-upper)
    subgoal using pre(1) by auto
    subgoal by (simp add: part(4))
    subgoal by (simp add: part(5))
    subgoal by (metis part(1) pre(2) size-mset)
  done
qed

show ?thesis
proof (intro quicksort-postI)
  show ⟨mset xs' = mset xs⟩
    by (simp add: part(1))
next
  show ⟨sorted-sublist-map R h xs' lo hi⟩
    by (rule sorted-middle)
next
  show ⟨ $\bigwedge i. i < lo \implies xs' ! i = xs ! i$ ⟩
    using part(6) by blast
next
  show ⟨ $\bigwedge j. \llbracket hi < j; j < length\ xs \rrbracket \implies xs' ! j = xs ! j$ ⟩
    by (metis part(1) part(7) size-mset)
qed
qed

```

In the second case, we have to show that the precondition still holds for  $(p+1, hi, x')$  after the partition.

```

lemma quicksort-correct-case2:
  assumes
    pre: ⟨quicksort-pre R h xs0 lo hi xs⟩
    and part: ⟨partition-spec R h xs lo hi xs' p⟩
    and ifs: ⟨ $\neg hi \leq p + 1$ ⟩
  shows ⟨quicksort-pre R h xs0 (Suc p) hi xs'⟩
proof -

```

First boilerplate code step: 'unfold' the HOL definitions in the assumptions and convert them to Pure

```

  have pre: ⟨lo ≤ hi⟩ ⟨hi < length xs⟩ ⟨mset xs = mset xs0⟩
    using pre by (auto simp add: quicksort-pre-def)
  have part: ⟨mset xs' = mset xs⟩ True
    ⟨isPartition-map R h xs' lo hi p⟩ ⟨lo ≤ p⟩ ⟨p ≤ hi⟩
    ⟨ $\bigwedge i. i < lo \implies xs' ! i = xs ! i$ ⟩ ⟨ $\bigwedge i. \llbracket hi < i; i < length\ xs \rrbracket \implies xs' ! i = xs ! i$ ⟩
    using part by (auto simp add: partition-spec-def)
  show ?thesis
    unfolding quicksort-pre-def
  proof (intro conjI)

```

```

show  $\langle \text{Suc } p \leq hi \rangle$ 
  using ifs by linarith
show  $\langle hi < \text{length } xs' \rangle$ 
  by (metis part(1) pre(2) size-mset)
show  $\langle \text{mset } xs' = \text{mset } xs0 \rangle$ 
  using pre(3) part(1) by (auto dest: mset-eq-setD)
qed
qed

```

```

lemma quicksort-post-set:
  assumes  $\langle \text{quicksort-post } R \ h \ lo \ hi \ xs \ xs' \rangle$ 
  and bounds:  $\langle lo \leq hi \rangle \langle hi < \text{length } xs \rangle$ 
  shows  $\langle \text{set } (\text{sublist } xs' \ lo \ hi) = \text{set } (\text{sublist } xs \ lo \ hi) \rangle$ 
proof -
  have  $\langle \text{mset } xs' = \text{mset } xs \rangle \langle \bigwedge i. i < lo \implies xs'!i = xs!i \rangle \langle \bigwedge j. \llbracket hi < j; j < \text{length } xs \rrbracket \implies xs'!j = xs!j \rangle$ 
  using assms by (auto simp add: quicksort-post-def)
  then show ?thesis
  using bounds by (rule mset-sublist-eq, auto)
qed

```

In the third case, we have run quicksort recursively on  $(p+1, hi, xs')$  after the partition, with  $hi \leq p+1$  and  $p-1 \leq lo$ .

```

lemma quicksort-correct-case3:
  assumes trans:  $\langle \bigwedge x \ y \ z. \llbracket R \ (h \ x) \ (h \ y); R \ (h \ y) \ (h \ z) \rrbracket \implies R \ (h \ x) \ (h \ z) \rangle$  and lin:  $\langle \bigwedge x \ y. x \neq y \implies R \ (h \ x) \ (h \ y) \vee R \ (h \ y) \ (h \ x) \rangle$ 
  and pre:  $\langle \text{quicksort-pre } R \ h \ xs0 \ lo \ hi \ xs \rangle$ 
  and part:  $\langle \text{partition-spec } R \ h \ xs \ lo \ hi \ xs' \ p \rangle$ 
  and ifs:  $\langle p - \text{Suc } 0 \leq lo \rangle \langle \neg hi \leq \text{Suc } p \rangle$ 
  and IH1':  $\langle \text{quicksort-post } R \ h \ (\text{Suc } p) \ hi \ xs' \ xs'' \rangle$ 
  shows  $\langle \text{quicksort-post } R \ h \ lo \ hi \ xs \ xs'' \rangle$ 
proof -

```

First boilerplate code step: 'unfold' the HOL definitions in the assumptions and convert them to Pure

```

  have pre:  $\langle lo \leq hi \rangle \langle hi < \text{length } xs \rangle \langle \text{mset } xs = \text{mset } xs0 \rangle$ 
  using pre by (auto simp add: quicksort-pre-def)
  have part:  $\langle \text{mset } xs' = \text{mset } xs \rangle \text{True}$ 
   $\langle \text{isPartition-map } R \ h \ xs' \ lo \ hi \ p \rangle \langle lo \leq p \rangle \langle p \leq hi \rangle$ 
   $\langle \bigwedge i. i < lo \implies xs'!i = xs!i \rangle \langle \bigwedge i. \llbracket hi < i; i < \text{length } xs \rrbracket \implies xs'!i = xs!i \rangle$ 
  using part by (auto simp add: partition-spec-def)
  have IH1:  $\langle \text{mset } xs'' = \text{mset } xs' \rangle \langle \text{sorted-sublist-map } R \ h \ xs'' \ (\text{Suc } p) \ hi \rangle$ 
   $\langle \bigwedge i. i < \text{Suc } p \implies xs''!i = xs'!i \rangle \langle \bigwedge j. \llbracket hi < j; j < \text{length } xs \rrbracket \implies xs''!j = xs'!j \rangle$ 
  using IH1' by (auto simp add: quicksort-post-def)
  note IH1-perm = quicksort-post-set[OF IH1]

  have still-partition:  $\langle \text{isPartition-map } R \ h \ xs'' \ lo \ hi \ p \rangle$ 
proof(intro isPartition-wrtI)
  fix i assume  $\langle lo \leq i \rangle \langle i < p \rangle$ 
  show  $\langle R \ (h \ (xs''!i)) \ (h \ (xs''!p)) \rangle$ 

```

This holds because this part hasn't changed

```

  using IH1(3)  $\langle i < p \rangle \langle lo \leq i \rangle$  isPartition-wrt-def part(3) by fastforce
next

```

**fix**  $j$  **assume**  $\langle p < j \rangle \langle j \leq hi \rangle$

Obtain the position  $posJ$  where  $xs'' ! j$  was stored in  $xs'$ .

**have**  $\langle xs'' ! j \in \text{set } (\text{sublist } xs'' (\text{Suc } p) hi) \rangle$   
**by** (*metis IH1(1) Suc-leI  $\langle j \leq hi \rangle \langle p < j \rangle$  less-le-trans mset-eq-length part(1) pre(2) sublist-el'*)  
**then have**  $\langle xs' ! j \in \text{set } (\text{sublist } xs' (\text{Suc } p) hi) \rangle$   
**by** (*metis IH1-perm ifs(2) nat-le-linear part(1) pre(2) size-mset*)  
**then have**  $\langle \exists posJ. \text{Suc } p \leq posJ \wedge posJ \leq hi \wedge xs'' ! j = xs' ! posJ \rangle$   
**by** (*metis Suc-leI  $\langle j \leq hi \rangle \langle p < j \rangle$  less-le-trans part(1) pre(2) size-mset sublist-el'*)  
**then obtain**  $posJ :: \text{nat}$  **where**  $PosJ: \langle \text{Suc } p \leq posJ \rangle \langle posJ \leq hi \rangle \langle xs'' ! j = xs' ! posJ \rangle$  **by** *blast*

**then show**  $\langle R (h (xs'' ! p)) (h (xs'' ! j)) \rangle$   
**by** (*metis IH1(3) Suc-le-lessD isPartition-wrt-def lessI part(3)*)

**qed**

**have** *sorted-lower*:  $\langle \text{sorted-sublist-map } R h xs'' lo (p - \text{Suc } 0) \rangle$

**proof** —

**show** *?thesis*  
**apply** (*rule sorted-sublist-wrt-le*)  
**subgoal by** (*simp add: ifs(1)*)  
**subgoal using** *IH1(1) mset-eq-length part(1) part(5) pre(2)* **by** *fastforce*  
**done**

**qed**

**note** *sorted-upper* = *IH1(2)*

**have** *sorted-middle*:  $\langle \text{sorted-sublist-map } R h xs'' lo hi \rangle$

**proof** —

**show** *?thesis*  
**apply** (*rule merge-sorted-map-partitions[where p=p]*)  
**subgoal by** (*rule trans*)  
**subgoal by** (*rule still-partition*)  
**subgoal by** (*rule sorted-lower*)  
**subgoal by** (*rule sorted-upper*)  
**subgoal using** *pre(1)* **by** *auto*  
**subgoal by** (*simp add: part(4)*)  
**subgoal by** (*simp add: part(5)*)  
**subgoal by** (*metis IH1(1) part(1) pre(2) size-mset*)  
**done**

**qed**

**show** *?thesis*

**proof** (*intro quicksort-postI*)

**show**  $\langle \text{mset } xs'' = \text{mset } xs \rangle$   
**using** *part(1) IH1(1)* **by** *auto* — I was faster than sledgehammer :-)

**next**

**show**  $\langle \text{sorted-sublist-map } R h xs'' lo hi \rangle$   
**by** (*rule sorted-middle*)

**next**

**show**  $\langle \bigwedge i. i < lo \implies xs'' ! i = xs ! i \rangle$   
**using** *IH1(3) le-SucI part(4) part(6)* **by** *auto*

**next show**  $\langle \bigwedge j. hi < j \implies j < \text{length } xs \implies xs'' ! j = xs ! j \rangle$

**by** (*metis IH1(4) part(1) part(7) size-mset*)

**qed**

qed

In the 4th case, we have to show that the premise holds for  $(lo, p - (1::'b), xs')$ , in case  $\neg p - (1::'a) \leq lo$

Analogous to case 2.

**lemma** *quicksort-correct-case4*:

**assumes**  
     *pre*:  $\langle \text{quicksort-pre } R \ h \ xs0 \ lo \ hi \ xs \rangle$   
     *and part*:  $\langle \text{partition-spec } R \ h \ xs \ lo \ hi \ xs' \ p \rangle$   
     *and ifs*:  $\langle \neg p - \text{Suc } 0 \leq lo \rangle$   
**shows**  $\langle \text{quicksort-pre } R \ h \ xs0 \ lo \ (p - \text{Suc } 0) \ xs' \rangle$   
**proof** –

First boilerplate code step: 'unfold' the HOL definitions in the assumptions and convert them to Pure

**have** *pre*:  $\langle lo \leq hi \rangle \langle hi < \text{length } xs \rangle \langle \text{mset } xs0 = \text{mset } xs \rangle$   
     **using** *pre* **by** (auto simp add: quicksort-pre-def)  
**have** *part*:  $\langle \text{mset } xs' = \text{mset } xs \rangle \text{ True}$   
      $\langle \text{isPartition-map } R \ h \ xs' \ lo \ hi \ p \rangle \langle lo \leq p \rangle \langle p \leq hi \rangle$   
      $\langle \bigwedge i. i < lo \implies xs!i = xs'i \rangle \langle \bigwedge i. \llbracket hi < i; i < \text{length } xs \rrbracket \implies xs!i = xs'i \rangle$   
     **using** *part* **by** (auto simp add: partition-spec-def)

**show** *?thesis*

**unfolding** *quicksort-pre-def*

**proof** (intro conjI)

**show**  $\langle lo \leq p - \text{Suc } 0 \rangle$

**using** *ifs* **by** linarith

**show**  $\langle p - \text{Suc } 0 < \text{length } xs' \rangle$

**using** *mset-eq-length* *part*(1) *part*(5) *pre*(2) **by** fastforce

**show**  $\langle \text{mset } xs' = \text{mset } xs0 \rangle$

**using** *pre*(3) *part*(1) **by** (auto dest: mset-eq-setD)

qed

qed

In the 5th case, we have run quicksort recursively on  $(lo, p-1, xs')$ .

**lemma** *quicksort-correct-case5*:

**assumes** *trans*:  $\langle \bigwedge x \ y \ z. \llbracket R \ (h \ x) \ (h \ y); R \ (h \ y) \ (h \ z) \rrbracket \implies R \ (h \ x) \ (h \ z) \rangle$  **and** *lin*:  $\langle \bigwedge x \ y. x \neq y \implies R \ (h \ x) \ (h \ y) \vee R \ (h \ y) \ (h \ x) \rangle$   
     *and pre*:  $\langle \text{quicksort-pre } R \ h \ xs0 \ lo \ hi \ xs \rangle$   
     *and part*:  $\langle \text{partition-spec } R \ h \ xs \ lo \ hi \ xs' \ p \rangle$   
     *and ifs*:  $\langle \neg p - \text{Suc } 0 \leq lo \rangle \langle hi \leq \text{Suc } p \rangle$   
     *and IH1'*:  $\langle \text{quicksort-post } R \ h \ lo \ (p - \text{Suc } 0) \ xs' \ xs'' \rangle$   
**shows**  $\langle \text{quicksort-post } R \ h \ lo \ hi \ xs \ xs'' \rangle$   
**proof** –

First boilerplate code step: 'unfold' the HOL definitions in the assumptions and convert them to Pure

**have** *pre*:  $\langle lo \leq hi \rangle \langle hi < \text{length } xs \rangle$   
     **using** *pre* **by** (auto simp add: quicksort-pre-def)  
**have** *part*:  $\langle \text{mset } xs' = \text{mset } xs \rangle \text{ True}$   
      $\langle \text{isPartition-map } R \ h \ xs' \ lo \ hi \ p \rangle \langle lo \leq p \rangle \langle p \leq hi \rangle$   
      $\langle \bigwedge i. i < lo \implies xs!i = xs'i \rangle \langle \bigwedge i. \llbracket hi < i; i < \text{length } xs \rrbracket \implies xs!i = xs'i \rangle$   
     **using** *part* **by** (auto simp add: partition-spec-def)

**have** *IH1*:  $\langle \text{mset } xs'' = \text{mset } xs' \rangle \langle \text{sorted-sublist-map } R \text{ h } xs'' \text{ lo } (p - \text{Suc } 0) \rangle$   
 $\langle \bigwedge i. i < \text{lo} \implies xs''!i = xs'!i \rangle \langle \bigwedge j. \llbracket p - \text{Suc } 0 < j; j < \text{length } xs' \rrbracket \implies xs''!j = xs'!j \rangle$   
**using** *IH1'* **by** (*auto simp add: quicksort-post-def*)  
**note** *IH1-perm* = *quicksort-post-set*[*OF IH1*]

**have** *still-partition*:  $\langle \text{isPartition-map } R \text{ h } xs'' \text{ lo } hi \text{ p} \rangle$   
**proof** (*intro isPartition-wrtI*)  
**fix** *i* **assume**  $\langle \text{lo} \leq i \rangle \langle i < p \rangle$

Obtain the position *posI* where  $xs''!i$  was stored in  $xs'$ .

**have**  $\langle xs''!i \in \text{set } (\text{sublist } xs'' \text{ lo } (p - \text{Suc } 0)) \rangle$   
**by** (*metis (no-types, lifting) IH1(1) Suc-leI Suc-pred*  $\langle i < p \rangle \langle \text{lo} \leq i \rangle \text{le-less-trans less-imp-diff-less}$   
 $\text{mset-eq-length not-le not-less-zero part(1) part(5) pre(2) sublist-el'}$ )  
**then have**  $\langle xs''!i \in \text{set } (\text{sublist } xs' \text{ lo } (p - \text{Suc } 0)) \rangle$   
**by** (*metis IH1-perm ifs(1) le-less-trans less-imp-diff-less mset-eq-length nat-le-linear part(1)*  
 $\text{part(5) pre(2)}$ )  
**then have**  $\langle \exists \text{ posI. } \text{lo} \leq \text{posI} \wedge \text{posI} \leq p - \text{Suc } 0 \wedge xs''!i = xs'!\text{posI} \rangle$   
**proof** — — *sledgehammer*  
**have**  $p - \text{Suc } 0 < \text{length } xs$   
**by** (*meson diff-le-self le-less-trans part(5) pre(2)*)  
**then show** *?thesis*  
**by** (*metis (no-types) xs''!i \in \text{set } (\text{sublist } xs' \text{ lo } (p - \text{Suc } 0)) ifs(1) mset-eq-length nat-le-linear*  
 $\text{part(1) sublist-el'}$ )  
**qed**  
**then obtain** *posI* :: *nat* **where** *PosI*:  $\langle \text{lo} \leq \text{posI} \rangle \langle \text{posI} \leq p - \text{Suc } 0 \rangle \langle xs''!i = xs'!\text{posI} \rangle$  **by** *blast*  
**then show**  $\langle R \text{ (h } (xs''!i)) \text{ (h } (xs''!p)) \rangle$   
**by** (*metis (no-types, lifting) IH1(4) i < p diff-Suc-less isPartition-wrt-def le-less-trans mset-eq-length*  
 $\text{not-le not-less-eq part(1) part(3) part(5) pre(2) zero-less-Suc}$ )  
**next**  
**fix** *j* **assume**  $\langle p < j \rangle \langle j \leq hi \rangle$   
**then show**  $\langle R \text{ (h } (xs''!p)) \text{ (h } (xs''!j)) \rangle$

This holds because this part hasn't changed

**by** (*smt IH1(4) add-diff-cancel-left' add-diff-inverse-nat diff-Suc-eq-diff-pred diff-le-self ifs(1)*  
 $\text{isPartition-wrt-def le-less-Suc-eq less-le-trans mset-eq-length nat-less-le part(1) part(3) part(4) plus-1-eq-Suc}$   
 $\text{pre(2)}$ )  
**qed**

**note** *sorted-lower* = *IH1(2)*

**have** *sorted-upper*:  $\langle \text{sorted-sublist-map } R \text{ h } xs'' \text{ (Suc } p) \text{ hi} \rangle$   
**proof** —  
**show** *?thesis*  
**apply** (*rule sorted-sublist-wrt-le*)  
**subgoal by** (*simp add: ifs(2)*)  
**subgoal using** *IH1(1) mset-eq-length part(1) part(5) pre(2)* **by** *fastforce*  
**done**  
**qed**

**have** *sorted-middle*:  $\langle \text{sorted-sublist-map } R \text{ h } xs'' \text{ lo } hi \rangle$   
**proof** —  
**show** *?thesis*

```

    apply (rule merge-sorted-map-partitions[where p=p])
    subgoal by (rule trans)
    subgoal by (rule still-partition)
    subgoal by (rule sorted-lower)
    subgoal by (rule sorted-upper)
    subgoal using pre(1) by auto
    subgoal by (simp add: part(4))
    subgoal by (simp add: part(5))
    subgoal by (metis IH1(1) part(1) pre(2) size-mset)
  done
qed

```

```

show ?thesis
proof (intro quicksort-postI)
  show  $\langle \text{mset } xs'' = \text{mset } xs \rangle$ 
    by (simp add: IH1(1) part(1))
next
  show  $\langle \text{sorted-sublist-map } R \ h \ xs'' \ lo \ hi \rangle$ 
    by (rule sorted-middle)
next
  show  $\langle \bigwedge i. i < lo \implies xs'' ! i = xs ! i \rangle$ 
    by (simp add: IH1(3) part(6))
next
  show  $\langle \bigwedge j. hi < j \implies j < \text{length } xs \implies xs'' ! j = xs ! j \rangle$ 
    by (metis IH1(4) diff-le-self dual-order.strict-trans2 mset-eq-length part(1) part(5) part(7))
qed
qed

```

In the 6th case, we have run quicksort recursively on  $(lo, p-1, xs')$ . We show the precondition on the second call on  $(p+1, hi, xs'')$

```

lemma quicksort-correct-case6:
  assumes
    pre:  $\langle \text{quicksort-pre } R \ h \ xs0 \ lo \ hi \ xs \rangle$ 
    and part:  $\langle \text{partition-spec } R \ h \ xs \ lo \ hi \ xs' \ p \rangle$ 
    and ifs:  $\langle \neg p - \text{Suc } 0 \leq lo \rangle \langle \neg hi \leq \text{Suc } p \rangle$ 
    and IH1:  $\langle \text{quicksort-post } R \ h \ lo \ (p - \text{Suc } 0) \ xs' \ xs'' \rangle$ 
  shows  $\langle \text{quicksort-pre } R \ h \ xs0 \ (\text{Suc } p) \ hi \ xs'' \rangle$ 
proof -

```

First boilerplate code step: 'unfold' the HOL definitions in the assumptions and convert them to Pure

```

  have pre:  $\langle lo \leq hi \rangle \langle hi < \text{length } xs \rangle \langle \text{mset } xs0 = \text{mset } xs \rangle$ 
    using pre by (auto simp add: quicksort-pre-def)
  have part:  $\langle \text{mset } xs' = \text{mset } xs \rangle \text{ True}$ 
     $\langle \text{isPartition-map } R \ h \ xs' \ lo \ hi \ p \rangle \langle lo \leq p \rangle \langle p \leq hi \rangle$ 
     $\langle \bigwedge i. i < lo \implies xs' ! i = xs ! i \rangle \langle \bigwedge i. \llbracket hi < i; i < \text{length } xs \rrbracket \implies xs' ! i = xs ! i \rangle$ 
    using part by (auto simp add: partition-spec-def)
  have IH1:  $\langle \text{mset } xs'' = \text{mset } xs' \rangle \langle \text{sorted-sublist-map } R \ h \ xs'' \ lo \ (p - \text{Suc } 0) \rangle$ 
     $\langle \bigwedge i. i < lo \implies xs'' ! i = xs' ! i \rangle \langle \bigwedge j. \llbracket p - \text{Suc } 0 < j; j < \text{length } xs \rrbracket \implies xs'' ! j = xs' ! j \rangle$ 
    using IH1 by (auto simp add: quicksort-post-def)

  show ?thesis
    unfolding quicksort-pre-def
  proof (intro conjI)

```

```

show ⟨Suc p ≤ hi⟩
  using ifs(2) by linarith
show ⟨hi < length xs'⟩
  using IH1(1) mset-eq-length part(1) pre(2) by fastforce
show ⟨mset xs'' = mset xs0⟩
  using pre(3) part(1) IH1(1) by (auto dest: mset-eq-setD)
qed
qed

```

In the 7th (and last) case, we have run quicksort recursively on (lo, p-1, xs'). We show the postcondition on the second call on (p+1, hi, xs'')

**lemma** *quicksort-correct-case7*:

```

assumes trans: ⟨∧ x y z. [R (h x) (h y); R (h y) (h z)] ⇒ R (h x) (h z)⟩ and lin: ⟨∧ x y. x ≠ y ⇒
R (h x) (h y) ∨ R (h y) (h x)⟩
and pre: ⟨quicksort-pre R h xs0 lo hi xs⟩
and part: ⟨partition-spec R h xs lo hi xs' p⟩
and ifs: ⟨¬ p − Suc 0 ≤ lo⟩ ⟨¬ hi ≤ Suc p⟩
and IH1': ⟨quicksort-post R h lo (p − Suc 0) xs' xs''⟩
and IH2': ⟨quicksort-post R h (Suc p) hi xs'' xs'''⟩
shows ⟨quicksort-post R h lo hi xs xs'''⟩
proof −

```

First boilerplate code step: 'unfold' the HOL definitions in the assumptions and convert them to Pure

```

have pre: ⟨lo ≤ hi⟩ ⟨hi < length xs⟩
  using pre by (auto simp add: quicksort-pre-def)
have part: ⟨mset xs' = mset xs⟩ True
  ⟨isPartition-map R h xs' lo hi p⟩ ⟨lo ≤ p⟩ ⟨p ≤ hi⟩
  ⟨∧ i. i < lo ⇒ xs!i = xs!i⟩ ⟨∧ i. [hi < i; i < length xs] ⇒ xs!i = xs!i⟩
  using part by (auto simp add: partition-spec-def)
have IH1: ⟨mset xs'' = mset xs'⟩ ⟨sorted-sublist-map R h xs'' lo (p − Suc 0)⟩
  ⟨∧ i. i < lo ⇒ xs''!i = xs!i⟩ ⟨∧ j. [p − Suc 0 < j; j < length xs] ⇒ xs''!j = xs!j⟩
  using IH1' by (auto simp add: quicksort-post-def)
note IH1-perm = quicksort-post-set[OF IH1]
have IH2: ⟨mset xs''' = mset xs''⟩ ⟨sorted-sublist-map R h xs''' (Suc p) hi⟩
  ⟨∧ i. i < Suc p ⇒ xs'''!i = xs''!i⟩ ⟨∧ j. [hi < j; j < length xs'] ⇒ xs'''!j = xs''!j⟩
  using IH2' by (auto simp add: quicksort-post-def)
note IH2-perm = quicksort-post-set[OF IH2]

```

We still have a partition after the first call (same as in case 5)

```

have still-partition1: ⟨isPartition-map R h xs'' lo hi p⟩
proof(intro isPartition-wrtI)
  fix i assume ⟨lo ≤ i⟩ ⟨i < p⟩

```

Obtain the position *posI* where *xs'' ! i* was stored in *xs'*.

```

have ⟨xs''!i ∈ set (sublist xs'' lo (p − Suc 0))⟩
  by (metis (no-types, lifting) IH1(1) Suc-leI Suc-pred ⟨i < p⟩ ⟨lo ≤ i⟩ le-less-trans less-imp-diff-less
mset-eq-length not-le not-less-zero part(1) part(5) pre(2) sublist-el')
then have ⟨xs''!i ∈ set (sublist xs' lo (p − Suc 0))⟩
  by (metis IH1-perm ifs(1) le-less-trans less-imp-diff-less mset-eq-length nat-le-linear part(1)
part(5) pre(2))
then have ⟨∃ posI. lo ≤ posI ∧ posI ≤ p − Suc 0 ∧ xs''!i = xs'!posI⟩
proof − — sledgehammer
  have p − Suc 0 < length xs

```



```

    by (meson diff-le-self le-less-trans part(5) pre(2))
  then show ?thesis
    by (metis (no-types) ⟨xs'' ! i ∈ set (sublist xs' lo (p - Suc 0))⟩ ifs(1) mset-eq-length nat-le-linear
part(1) sublist-el')
  qed
  then obtain posI :: nat where PosI: ⟨lo ≤ posI⟩ ⟨posI ≤ p - Suc 0⟩ ⟨xs'' ! i = xs' ! posI⟩ by blast
  then show ⟨R (h (xs'' ! i)) (h (xs'' ! p))⟩
    by (metis (no-types, lifting) IH1(4) ⟨i < p⟩ diff-Suc-less isPartition-wrt-def le-less-trans mset-eq-length
not-le not-less-eq part(1) part(3) part(5) pre(2) zero-less-Suc)
  next
    fix j assume ⟨p < j⟩ ⟨j ≤ hi⟩
    then show ⟨R (h (xs'' ! p)) (h (xs'' ! j))⟩

```

This holds because this part hasn't changed

```

    by (smt IH1(4) add-diff-cancel-left' add-diff-inverse-nat diff-Suc-eq-diff-pred diff-le-self ifs(1)
isPartition-wrt-def le-less-Suc-eq less-le-trans mset-eq-length nat-less-le part(1) part(3) part(4) plus-1-eq-Suc
pre(2))
  qed

```

We still have a partition after the second call (similar as in case 3)

```

  have still-partition2: ⟨isPartition-map R h xs''' lo hi p⟩
  proof(intro isPartition-wrtI)
    fix i assume ⟨lo ≤ i⟩ ⟨i < p⟩
    show ⟨R (h (xs''' ! i)) (h (xs''' ! p))⟩

```

This holds because this part hasn't changed

```

    using IH2(3) ⟨i < p⟩ ⟨lo ≤ i⟩ isPartition-wrt-def still-partition1 by fastforce
  next
    fix j assume ⟨p < j⟩ ⟨j ≤ hi⟩

```

Obtain the position  $posJ$  where  $xs''' ! j$  was stored in  $xs''$ .

```

  have ⟨xs''' ! j ∈ set (sublist xs''' (Suc p) hi)⟩
  by (metis IH1(1) IH2(1) Suc-leI ⟨j ≤ hi⟩ ⟨p < j⟩ ifs(2) nat-le-linear part(1) pre(2) size-mset
sublist-el')
  then have ⟨xs'' ! j ∈ set (sublist xs'' (Suc p) hi)⟩
  by (metis IH1(1) IH2-perm ifs(2) mset-eq-length nat-le-linear part(1) pre(2))
  then have ⟨∃ posJ. Suc p ≤ posJ ∧ posJ ≤ hi ∧ xs''' ! j = xs'' ! posJ⟩
  by (metis IH1(1) ifs(2) mset-eq-length nat-le-linear part(1) pre(2) sublist-el')
  then obtain posJ :: nat where PosJ: ⟨Suc p ≤ posJ⟩ ⟨posJ ≤ hi⟩ ⟨xs''' ! j = xs'' ! posJ⟩ by blast

  then show ⟨R (h (xs''' ! p)) (h (xs''' ! j))⟩
  proof — — sledgehammer
    have ∀ n na as p. (p (as ! na :: 'a) (as ! posJ) ∨ posJ ≤ na) ∨ ¬ isPartition-wrt p as n hi na
    by (metis (no-types) PosJ(2) isPartition-wrt-def not-less)
    then show ?thesis
    by (metis IH2(3) PosJ(1) PosJ(3) lessI not-less-eq-eq still-partition1)
  qed
  qed

```

We have that the lower part is sorted after the first recursive call

```

  note sorted-lower1 = IH1(2)

```

We show that it is still sorted after the second call.

```

  have sorted-lower2: ⟨sorted-sublist-map R h xs''' lo (p - Suc 0)⟩

```

```

proof –
  show ?thesis
    using sorted-lower1 apply (rule sorted-wrt-lower-sublist-still-sorted)
    subgoal by (rule part)
    subgoal
      using IH1(1) mset-eq-length part(1) part(5) pre(2) by fastforce
    subgoal
      by (simp add: IH2(3))
    subgoal
      by (metis IH2(1) size-mset)
    done
qed

```

The second IH gives us the the upper list is sorted after the second recursive call

```

note sorted-upper2 = IH2(2)

```

Finally, we have to show that the entire list is sorted after the second recursive call.

```

have sorted-middle: ⟨sorted-sublist-map R h xs''' lo hi⟩

```

```

proof –
  show ?thesis
    apply (rule merge-sorted-map-partitions[where p=p])
    subgoal by (rule trans)
    subgoal by (rule still-partition2)
    subgoal by (rule sorted-lower2)
    subgoal by (rule sorted-upper2)
    subgoal using pre(1) by auto
    subgoal by (simp add: part(4))
    subgoal by (simp add: part(5))
    subgoal by (metis IH1(1) IH2(1) part(1) pre(2) size-mset)
    done
qed

```

```

show ?thesis

```

```

proof (intro quicksort-postI)

```

```

  show ⟨mset xs''' = mset xs⟩

```

```

    by (simp add: IH1(1) IH2(1) part(1))

```

```

next

```

```

  show ⟨sorted-sublist-map R h xs''' lo hi⟩

```

```

    by (rule sorted-middle)

```

```

next

```

```

  show ⟨ $\bigwedge i. i < lo \implies xs''' ! i = xs ! i$ ⟩

```

```

    using IH1(3) IH2(3) part(4) part(6) by auto

```

```

next

```

```

  show ⟨ $\bigwedge j. hi < j \implies j < \text{length } xs \implies xs''' ! j = xs ! j$ ⟩

```

```

    by (metis IH1(1) IH1(4) IH2(4) diff-le-self ifs(2) le-SucI less-le-trans nat-le-eq-or-lt not-less
part(1) part(7) size-mset)

```

```

qed

```

```

qed

```

We can now show the correctness of the abstract quicksort procedure, using the refinement framework and the above case lemmas.

**lemma** quicksort-correct:

```

assumes trans: ⟨ $\bigwedge x y z. \llbracket R(h x)(h y); R(h y)(h z) \rrbracket \implies R(h x)(h z)$ ⟩ and lin: ⟨ $\bigwedge x y. x \neq y \implies R(h x)(h y) \vee R(h y)(h x)$ ⟩

```

```

    and Pre:  $\langle lo0 \leq hi0 \rangle \langle hi0 < length\ xs0 \rangle$ 
  shows  $\langle quicksort\ R\ h\ (lo0, hi0, xs0) \leq \Downarrow Id\ (SPEC(\lambda xs. quicksort\ post\ R\ h\ lo0\ hi0\ xs0\ xs)) \rangle$ 
proof -
  have wf:  $\langle wf\ (measure\ (\lambda(lo, hi, xs). Suc\ hi - lo)) \rangle$ 
    by auto
  define pre where  $\langle pre = (\lambda(lo, hi, xs). quicksort\ pre\ R\ h\ xs0\ lo\ hi\ xs) \rangle$ 
  define post where  $\langle post = (\lambda(lo, hi, xs). quicksort\ post\ R\ h\ lo\ hi\ xs) \rangle$ 
  have pre:  $\langle pre\ (lo0, hi0, xs0) \rangle$ 
    unfolding quicksort-pre-def pre-def by (simp add: Pre)

```

We first generalize the goal a over all states.

```

have  $\langle WB\text{-}Sort.quicksort\ R\ h\ (lo0, hi0, xs0) \leq \Downarrow Id\ (SPEC\ (post\ (lo0, hi0, xs0))) \rangle$ 
  unfolding quicksort-def prod.case
  apply (rule RECT-rule)
    apply (refine-mono)
    apply (rule wf)
  apply (rule pre)
  subgoal premises IH for f x
    apply (refine-vcg ASSERT-leI)
    unfolding pre-def post-def

```

```

  subgoal — First premise (assertion) for partition
    using IH(2) by (simp add: quicksort-pre-def pre-def)
  subgoal — Second premise (assertion) for partition
    using IH(2) by (simp add: quicksort-pre-def pre-def)
  subgoal
    using IH(2) by (auto simp add: quicksort-pre-def pre-def dest: mset-eq-setD)

```

Termination case:  $p - (1::'c) \leq lo'$  and  $hi' \leq p + (1::'c)$ ; directly show postcondition

```

  subgoal unfolding partition-spec-def by (auto dest: mset-eq-setD)
  subgoal — Postcondition (after partition)
    apply -
    using IH(2) unfolding pre-def apply (simp, elim conjE, split prod.splits)
    using trans lin apply (rule quicksort-correct-case1) by auto

```

Case  $p - (1::'c) \leq lo'$  and  $hi' < p + (1::'c)$  (Only second recursive call)

```

  subgoal
    apply (rule IH(1)[THEN order-trans])

```

Show that the invariant holds for the second recursive call

```

  subgoal
    using IH(2) unfolding pre-def apply (simp, elim conjE, split prod.splits)
    apply (rule quicksort-correct-case2) by auto

```

Wellfoundedness (easy)

```

  subgoal by (auto simp add: quicksort-pre-def partition-spec-def)

```

Show that the postcondition holds

```

  subgoal
    apply (simp add: Misc.subset-Collect-conv post-def, intro allI impI, elim conjE)
    using trans lin apply (rule quicksort-correct-case3)
    using IH(2) unfolding pre-def by auto
  done

```

Case: At least the first recursive call

```

subgoal
  apply (rule IH(1)[THEN order-trans])

```

Show that the precondition holds for the first recursive call

```

subgoal
  using IH(2) unfolding pre-def post-def apply (simp, elim conjE, split prod.splits) apply auto
  apply (rule quicksort-correct-case4) by auto

```

Wellfoundedness for first recursive call (easy)

```

subgoal by (auto simp add: quicksort-pre-def partition-spec-def)

```

Simplify some refinement suff...

```

apply (simp add: Misc.subset-Collect-conv ASSERT-leI, intro allI impI conjI, elim conjE)
apply (rule ASSERT-leI)
apply (simp-all add: Misc.subset-Collect-conv ASSERT-leI)
subgoal unfolding quicksort-post-def pre-def post-def by (auto dest: mset-eq-setD)

```

Only the first recursive call: show postcondition

```

subgoal
  using trans lin apply (rule quicksort-correct-case5)
  using IH(2) unfolding pre-def post-def by auto

apply (rule ASSERT-leI)
subgoal unfolding quicksort-post-def pre-def post-def by (auto dest: mset-eq-setD)

```

Both recursive calls.

```

subgoal
  apply (rule IH(1)[THEN order-trans])

```

Show precondition for second recursive call (after the first call)

```

subgoal
  unfolding pre-def post-def
  apply auto
  apply (rule quicksort-correct-case6)
  using IH(2) unfolding pre-def post-def by auto

```

Wellfoundedness for second recursive call (easy)

```

subgoal by (auto simp add: quicksort-pre-def partition-spec-def)

```

Show that the postcondition holds (after both recursive calls)

```

subgoal
  apply (simp add: Misc.subset-Collect-conv, intro allI impI, elim conjE)
  using trans lin apply (rule quicksort-correct-case7)
  using IH(2) unfolding pre-def post-def by auto
done
done
done
done

```

Finally, apply the generalized lemma to show the thesis.

```

then show ?thesis unfolding post-def by auto
qed

```

**definition** *partition-main-inv* ::  $\langle ('b \Rightarrow 'b \Rightarrow \text{bool}) \Rightarrow ('a \Rightarrow 'b) \Rightarrow \text{nat} \Rightarrow \text{nat} \Rightarrow 'a \text{ list} \Rightarrow (\text{nat} \times \text{nat} \times 'a \text{ list}) \Rightarrow \text{bool} \rangle$  **where**

$\langle \text{partition-main-inv } R \ h \ lo \ hi \ xs0 \ p \equiv$

$\text{case } p \text{ of } (i, j, xs) \Rightarrow$

$j < \text{length } xs \wedge j \leq hi \wedge i < \text{length } xs \wedge lo \leq i \wedge i \leq j \wedge \text{mset } xs = \text{mset } xs0 \wedge$

$(\forall k. k \geq lo \wedge k < i \longrightarrow R (h (xs!k)) (h (xs!hi))) \wedge$  — All elements from  $lo$  to  $i - (1::'c)$  are smaller than the pivot

$(\forall k. k \geq i \wedge k < j \longrightarrow R (h (xs!hi)) (h (xs!k))) \wedge$  — All elements from  $i$  to  $j - (1::'c)$  are greater than the pivot

$(\forall k. k < lo \longrightarrow xs!k = xs0!k) \wedge$  — Everything below  $lo$  is unchanged

$(\forall k. k \geq j \wedge k < \text{length } xs \longrightarrow xs!k = xs0!k)$  — All elements from  $j$  are unchanged (including everything above  $hi$ )

$\rangle$

The main part of the partition function. The pivot is assumed to be the last element. This is exactly the "Lomuto partition scheme" partition function from Wikipedia.

**definition** *partition-main* ::  $\langle ('b \Rightarrow 'b \Rightarrow \text{bool}) \Rightarrow ('a \Rightarrow 'b) \Rightarrow \text{nat} \Rightarrow \text{nat} \Rightarrow 'a \text{ list} \Rightarrow ('a \text{ list} \times \text{nat}) \text{ nres} \rangle$  **where**

$\langle \text{partition-main } R \ h \ lo \ hi \ xs0 = \text{do } \{$

$\text{ASSERT}(hi < \text{length } xs0);$

$\text{pivot} \leftarrow \text{RETURN } (h (xs0 ! hi));$

$(i, j, xs) \leftarrow \text{WHILE}_T^{\text{partition-main-inv } R \ h \ lo \ hi \ xs0} \text{ — We loop from } j = lo \text{ to } j = hi - (1::'c).$

$(\lambda(i, j, xs). j < hi)$

$(\lambda(i, j, xs). \text{do } \{$

$\text{ASSERT}(i < \text{length } xs \wedge j < \text{length } xs);$

$\text{if } R (h (xs!j)) \text{ pivot}$

$\text{then RETURN } (i+1, j+1, \text{swap } xs \ i \ j)$

$\text{else RETURN } (i, j+1, xs)$

$\})$

$(lo, lo, xs0);$  —  $i$  and  $j$  are both initialized to  $lo$

$\text{ASSERT}(i < \text{length } xs \wedge j = hi \wedge lo \leq i \wedge hi < \text{length } xs \wedge \text{mset } xs = \text{mset } xs0);$

$\text{RETURN } (\text{swap } xs \ i \ hi, i)$

$\}$

**lemma** *partition-main-correct*:

**assumes** *bounds*:  $\langle hi < \text{length } xs \rangle \langle lo \leq hi \rangle$  **and**

*trans*:  $\langle \bigwedge x \ y \ z. \llbracket R (h \ x) (h \ y); R (h \ y) (h \ z) \rrbracket \Longrightarrow R (h \ x) (h \ z) \rangle$  **and** *lin*:  $\langle \bigwedge x \ y. R (h \ x) (h \ y) \vee R (h \ y) (h \ x) \rangle$

**shows**  $\langle \text{partition-main } R \ h \ lo \ hi \ xs \leq \text{SPEC}(\lambda(xs', p). \text{mset } xs = \text{mset } xs' \wedge$

$lo \leq p \wedge p \leq hi \wedge \text{isPartition-map } R \ h \ xs' \ lo \ hi \ p \wedge (\forall i. i < lo \longrightarrow xs'!i = xs!i) \wedge (\forall i. hi < i \wedge i < \text{length } xs' \longrightarrow xs'!i = xs!i) \rangle$

**proof** —

**have**  $K$ :  $\langle b \leq hi - \text{Suc } n \Longrightarrow n > 0 \Longrightarrow \text{Suc } n \leq hi \Longrightarrow \text{Suc } b \leq hi - n \rangle$  **for**  $b \ hi \ n$

**by** *auto*

**have**  $L$ :  $\langle \sim R (h \ x) (h \ y) \Longrightarrow R (h \ y) (h \ x) \rangle$  **for**  $x \ y$  — Corollary of linearity

**using** *assms* **by** *blast*

**have**  $M$ :  $\langle a < \text{Suc } b \equiv a = b \vee a < b \rangle$  **for**  $a \ b$

**by** *linarith*

**have**  $N: \langle (a::nat) \leq b \equiv a = b \vee a < b \rangle$  **for**  $a\ b$   
**by** *arith*

**show** *?thesis*

**unfolding** *partition-main-def choose-pivot-def*

**apply** (*refine-vcg WHILEIT-rule*[**where**  $R = \langle \text{measure}(\lambda(i,j,xs). \text{hi}-j) \rangle$ ])

**subgoal using** *assms* **by** *blast* — We feed our assumption to the assertion

**subgoal by** *auto* — WF

**subgoal** — Invariant holds before the first iteration

**unfolding** *partition-main-inv-def*

**using** *assms* **apply** *simp* **by** *linarith*

**subgoal unfolding** *partition-main-inv-def* **by** *simp*

**subgoal unfolding** *partition-main-inv-def* **by** *simp*

**subgoal**

**unfolding** *partition-main-inv-def*

**apply** (*auto dest: mset-eq-length*)

**done**

**subgoal unfolding** *partition-main-inv-def* **by** (*auto dest: mset-eq-length*)

**subgoal**

**unfolding** *partition-main-inv-def* **apply** (*auto dest: mset-eq-length*)

**by** (*metis L M mset-eq-length nat-le-eq-or-lt*)

**subgoal unfolding** *partition-main-inv-def* **by** *simp* — assertions, etc

**subgoal unfolding** *partition-main-inv-def* **by** *simp*

**subgoal unfolding** *partition-main-inv-def* **by** (*auto dest: mset-eq-length*)

**subgoal unfolding** *partition-main-inv-def* **by** *simp*

**subgoal unfolding** *partition-main-inv-def* **by** (*auto dest: mset-eq-length*)

**subgoal unfolding** *partition-main-inv-def* **by** (*auto dest: mset-eq-length*)

**subgoal unfolding** *partition-main-inv-def* **by** (*auto dest: mset-eq-length*)

**subgoal unfolding** *partition-main-inv-def* **by** *simp*

**subgoal unfolding** *partition-main-inv-def* **by** *simp*

**subgoal** — After the last iteration, we have a partitioning! :-)

**unfolding** *partition-main-inv-def* **by** (*auto simp add: isPartition-wrt-def*)

**subgoal** — And the lower out-of-bounds parts of the list haven't been changed

**unfolding** *partition-main-inv-def* **by** *auto*

**subgoal** — And the upper out-of-bounds parts of the list haven't been changed

**unfolding** *partition-main-inv-def* **by** *auto*

**done**

**qed**

**definition** *partition-between* ::  $\langle ('b \Rightarrow 'b \Rightarrow \text{bool}) \Rightarrow ('a \Rightarrow 'b) \Rightarrow \text{nat} \Rightarrow \text{nat} \Rightarrow 'a \text{ list} \Rightarrow ('a \text{ list} \times \text{nat}) \text{ nres} \rangle$  **where**

$\langle \text{partition-between } R\ h\ lo\ hi\ xs0 = \text{do } \{$

$\text{ASSERT}(hi < \text{length } xs0 \wedge lo \leq hi);$

$k \leftarrow \text{choose-pivot } R\ h\ xs0\ lo\ hi; \text{ — choice of pivot}$

$\text{ASSERT}(k < \text{length } xs0);$

$xs \leftarrow \text{RETURN } (\text{swap } xs0\ k\ hi); \text{ — move the pivot to the last position, before we start the actual}$

$\text{loop}$

$\text{ASSERT}(\text{length } xs = \text{length } xs0);$

$\text{partition-main } R\ h\ lo\ hi\ xs$

$\} \rangle$

**lemma** *partition-between-correct*:

**assumes**  $\langle hi < \text{length } xs \rangle$  **and**  $\langle lo \leq hi \rangle$  **and**

$\langle \bigwedge x y z. \llbracket R(h x) (h y); R(h y) (h z) \rrbracket \implies R(h x) (h z) \rangle$  **and**  $\langle \bigwedge x y. R(h x) (h y) \vee R(h y) (h x) \rangle$

**shows**  $\langle \text{partition-between } R h lo hi xs \leq \text{SPEC}(\text{uncurry } (\text{partition-spec } R h xs lo hi)) \rangle$

**proof** —

**have**  $K: \langle b \leq hi - \text{Suc } n \implies n > 0 \implies \text{Suc } n \leq hi \implies \text{Suc } b \leq hi - n \rangle$  **for**  $b hi n$

**by** *auto*

**show** *?thesis*

**unfolding** *partition-between-def choose-pivot-def*

**apply** (*refine-vcg partition-main-correct*)

**using** *assms* **apply** (*auto dest: mset-eq-length simp add: partition-spec-def*)

**by** (*metis dual-order.strict-trans2 less-imp-not-eq2 mset-eq-length swap-nth*)

**qed**

We use the median of the first, the middle, and the last element.

**definition** *choose-pivot3* **where**

$\langle \text{choose-pivot3 } R h xs lo (hi::nat) = \text{do } \{$

*ASSERT*( $lo < \text{length } xs$ );

*ASSERT*( $hi < \text{length } xs$ );

*let*  $k' = (hi - lo) \text{ div } 2$ ;

*let*  $k = lo + k'$ ;

*ASSERT*( $k < \text{length } xs$ );

*let*  $start = h (xs ! lo)$ ;

*let*  $mid = h (xs ! k)$ ;

*let*  $end = h (xs ! hi)$ ;

*if*  $(R start mid \wedge R mid end) \vee (R end mid \wedge R mid start)$  *then RETURN*  $k$

*else if*  $(R start end \wedge R end mid) \vee (R mid end \wedge R end start)$  *then RETURN*  $hi$

*else RETURN*  $lo$

$\} \rangle$

— We only have to show that this procedure yields a valid index between  $lo$  and  $hi$ .

**lemma** *choose-pivot3-choose-pivot*:

**assumes**  $\langle lo < \text{length } xs \rangle$   $\langle hi < \text{length } xs \rangle$   $\langle hi \geq lo \rangle$

**shows**  $\langle \text{choose-pivot3 } R h xs lo hi \leq \Downarrow \text{Id } (\text{choose-pivot } R h xs lo hi) \rangle$

**unfolding** *choose-pivot3-def choose-pivot-def*

**using** *assms* **by** (*auto intro!: ASSERT-leI simp: Let-def*)

The refined partion function: We use the above pivot function and fold instead of non-deterministic iteration.

**definition** *partition-between-ref*

$:: \langle 'b \Rightarrow 'b \Rightarrow \text{bool} \rangle \Rightarrow \langle 'a \Rightarrow 'b \rangle \Rightarrow \text{nat} \Rightarrow \text{nat} \Rightarrow 'a \text{ list} \Rightarrow ('a \text{ list} \times \text{nat}) \text{ nres} \rangle$

**where**

$\langle \text{partition-between-ref } R h lo hi xs0 = \text{do } \{$

*ASSERT*( $hi < \text{length } xs0 \wedge hi < \text{length } xs0 \wedge lo \leq hi$ );

$k \leftarrow \text{choose-pivot3 } R h xs0 lo hi$ ; — choice of pivot

*ASSERT*( $k < \text{length } xs0$ );

$xs \leftarrow \text{RETURN } (\text{swap } xs0 k hi)$ ; — move the pivot to the last position, before we start the actual

loop

*ASSERT*( $\text{length } xs = \text{length } xs0$ );

*partition-main*  $R h lo hi xs$

$\} \rangle$

**lemma** *partition-main-ref'*:

$\langle \text{partition-main } R h lo hi xs$

$\leq \Downarrow ((\lambda a b c d. Id) a b c d) (partition-main R h lo hi xs)$   
**by** *auto*

**lemma** *Down-id-eq*:

$\langle \Downarrow Id x = x \rangle$

**by** *auto*

**lemma** *partition-between-ref-partition-between*:

$\langle partition-between-ref R h lo hi xs \leq (partition-between R h lo hi xs) \rangle$

**proof** –

**have** *swap*:  $\langle (swap\ xs\ k\ hi, swap\ xs\ ka\ hi) \in Id \rangle$  **if**  $\langle k = ka \rangle$

**for** *k ka*

**using** *that by auto*

**have** [*refine0*]:  $\langle (h\ (xsa\ !\ hi), h\ (xsaa\ !\ hi)) \in Id \rangle$

**if**  $\langle (xsa, xsaa) \in Id \rangle$

**for** *xsa xsaa*

**using** *that by auto*

**show** *?thesis*

**apply** (*subst* (2) *Down-id-eq[symmetric]*)

**unfolding** *partition-between-ref-def*

*partition-between-def*

*OP-def*

**apply** (*refine-vcg choose-pivot3-choose-pivot swap partition-main-correct*)

**subgoal** **by** *auto*

**subgoal** **by** *auto*

**subgoal** **by** *auto*

**subgoal** **by** *auto*

**subgoal** **by** *auto*

**subgoal** **by** *auto*

**subgoal** **by** *auto*

**subgoal** **by** *auto*

**subgoal** **by** *auto*

**by** (*auto intro: Refine-Basic.Id-refine dest: mset-eq-length*)

**qed**

Technical lemma for *sepref*

**lemma** *partition-between-ref-partition-between'*:

$\langle (uncurry2\ (partition-between-ref\ R\ h), uncurry2\ (partition-between\ R\ h)) \in$   
 $(nat-rel \times_r nat-rel) \times_r \langle Id \rangle list-rel \rightarrow_f \langle \langle Id \rangle list-rel \times_r nat-rel \rangle nres-rel \rangle$

**by** (*intro frefI nres-reII*)

(*auto intro: partition-between-ref-partition-between*)

Example instantiation for *pivot*

**definition** *choose-pivot3-impl* **where**

$\langle choose-pivot3-impl = choose-pivot3\ (\leq)\ id \rangle$

**lemma** *partition-between-ref-correct*:

**assumes** *trans*:  $\langle \bigwedge x y z. \llbracket R\ (h\ x)\ (h\ y); R\ (h\ y)\ (h\ z) \rrbracket \implies R\ (h\ x)\ (h\ z) \rangle$  **and** *lin*:  $\langle \bigwedge x y. R\ (h\ x)\ (h\ y) \vee R\ (h\ y)\ (h\ x) \rangle$

**and** *bounds*:  $\langle hi < length\ xs \rangle \langle lo \leq hi \rangle$

**shows**  $\langle partition-between-ref\ R\ h\ lo\ hi\ xs \leq SPEC\ (uncurry\ (partition-spec\ R\ h\ xs\ lo\ hi)) \rangle$



**proof** –

**show** *?thesis*

**apply** (*rule partition-between-ref-partition-between*[*THEN order-trans*])

**using** *bounds* **apply** (*rule partition-between-correct*[**where** *h=h*])

**subgoal** **by** (*rule trans*)

**subgoal** **by** (*rule lin*)

**done**

**qed**

Refined quicksort algorithm: We use the refined partition function.

**definition** *quicksort-ref* ::  $\langle - \Rightarrow - \Rightarrow \text{nat} \times \text{nat} \times 'a \text{ list} \Rightarrow 'a \text{ list nres} \rangle$  **where**

$\langle \text{quicksort-ref } R \ h = (\lambda(lo, hi, xs0).$

*do* {

*RECT* ( $\lambda f \ (lo, hi, xs).$  *do* {

*ASSERT*( $lo \leq hi \wedge hi < \text{length } xs0 \wedge \text{mset } xs = \text{mset } xs0$ );

$(xs, p) \leftarrow \text{partition-between-ref } R \ h \ lo \ hi \ xs$ ; — This is the refined partition function. Note that we need the premises (trans, lin, bounds) here.

*ASSERT*( $\text{mset } xs = \text{mset } xs0 \wedge p \geq lo \wedge p < \text{length } xs0$ );

$xs \leftarrow (\text{if } p-1 \leq lo \text{ then } \text{RETURN } xs \text{ else } f \ (lo, p-1, xs))$ ;

*ASSERT*( $\text{mset } xs = \text{mset } xs0$ );

*if*  $hi \leq p+1$  *then* *RETURN*  $xs$  *else*  $f \ (p+1, hi, xs)$

*}* ( $lo, hi, xs0$ )

*})*

**lemma** *fref-to-Down-curry2*:

$\langle (\text{uncurry2 } f, \text{uncurry2 } g) \in [P]_f \ A \rightarrow \langle B \rangle \text{nres-rel} \implies$

$(\bigwedge x \ x' \ y \ y' \ z \ z'. \ P \ ((x', y'), z') \implies (((x, y), z), ((x', y'), z')) \in A \implies$   
 $f \ x \ y \ z \leq \Downarrow B \ (g \ x' \ y' \ z')) \rangle$

**unfolding** *fref-def uncurry-def nres-rel-def*

**by** *auto*

**lemma** *fref-to-Down-curry*:

$\langle (f, g) \in [P]_f \ A \rightarrow \langle B \rangle \text{nres-rel} \implies$

$(\bigwedge x \ x'. \ P \ x' \implies (x, x') \in A \implies$   
 $f \ x \leq \Downarrow B \ (g \ x')) \rangle$

**unfolding** *fref-def uncurry-def nres-rel-def*

**by** *auto*

**lemma** *quicksort-ref-quicksort*:

**assumes** *bounds*:  $\langle hi < \text{length } xs \ \langle lo \leq hi \rangle$  **and**

*trans*:  $\langle \bigwedge x \ y \ z. \llbracket R \ (h \ x) \ (h \ y); R \ (h \ y) \ (h \ z) \rrbracket \implies R \ (h \ x) \ (h \ z) \rangle$  **and** *lin*:  $\langle \bigwedge x \ y. \ R \ (h \ x) \ (h \ y) \vee R \ (h \ y) \ (h \ x) \rangle$

**shows**  $\langle \text{quicksort-ref } R \ h \ x0 \leq \Downarrow Id \ (\text{quicksort } R \ h \ x0) \rangle$

**proof** –

**have** *wf*:  $\langle wf \ (\text{measure } (\lambda(lo, hi, xs). \text{Suc } hi - lo)) \rangle$

**by** *auto*

**have** *pre*:  $\langle x0 = x0' \implies (x0, x0') \in Id \times_r Id \times_r \langle Id \rangle \text{list-rel} \rangle$  **for**  $x0 \ x0' :: \langle \text{nat} \times \text{nat} \times 'b \text{ list} \rangle$

**by** *auto*

**have** [*refine0*]:  $\langle (x1e = x1d) \implies (x1e, x1d) \in Id \rangle$  **for**  $x1e \ x1d :: \langle 'b \text{ list} \rangle$

**by** *auto*

**show** *?thesis*  
**unfolding** *quicksort-def quicksort-ref-def*  
**apply** (*refine-vcg pre partition-between-ref-partition-between*'[*THEN fref-to-Down-curry2*])

First assertion (premise for partition)

**subgoal**  
**by** *auto*

First assertion (premise for partition)

**subgoal**  
**by** *auto*  
**subgoal**  
**by** (*auto dest: mset-eq-length*)  
**subgoal**  
**by** (*auto dest: mset-eq-length mset-eq-setD*)

Correctness of the concrete partition function

**subgoal**  
**apply** (*simp, rule partition-between-ref-correct*)  
**subgoal by** (*rule trans*)  
**subgoal by** (*rule lin*)  
**subgoal by** *auto* — first premise  
**subgoal by** *auto* — second premise  
**done**  
**subgoal**  
**by** (*auto dest: mset-eq-length mset-eq-setD*)  
**subgoal by** (*auto simp: partition-spec-def isPartition-wrt-def*)  
**subgoal by** (*auto simp: partition-spec-def isPartition-wrt-def dest: mset-eq-length*)  
**subgoal**  
**by** (*auto dest: mset-eq-length mset-eq-setD*)  
**subgoal**  
**by** (*auto dest: mset-eq-length mset-eq-setD*)  
**subgoal**  
**by** (*auto dest: mset-eq-length mset-eq-setD*)  
**subgoal**  
**by** (*auto dest: mset-eq-length mset-eq-setD*)  
**by** *simp+*  
**qed**

— Sort the entire list

**definition** *full-quicksort* **where**

$\langle \text{full-quicksort } R \ h \ xs \equiv \text{if } xs = [] \text{ then RETURN } xs \text{ else quicksort } R \ h \ (0, \text{length } xs - 1, xs) \rangle$

**definition** *full-quicksort-ref* **where**

$\langle \text{full-quicksort-ref } R \ h \ xs \equiv$   
 $\text{if List.null } xs \text{ then RETURN } xs$   
 $\text{else quicksort-ref } R \ h \ (0, \text{length } xs - 1, xs) \rangle$

**definition** *full-quicksort-impl*  $:: \langle \text{nat list} \Rightarrow \text{nat list nres} \rangle$  **where**

$\langle \text{full-quicksort-impl } xs = \text{full-quicksort-ref } (\leq) \ id \ xs \rangle$

**lemma** *full-quicksort-ref-full-quicksort*:

**assumes** *trans*:  $\langle \bigwedge x \ y \ z. \llbracket R \ (h \ x) \ (h \ y); R \ (h \ y) \ (h \ z) \rrbracket \implies R \ (h \ x) \ (h \ z) \rangle$  **and** *lin*:  $\langle \bigwedge x \ y. R \ (h \ x) \ (h \ y) \vee R \ (h \ y) \ (h \ x) \rangle$

```

shows  $\langle \text{full-quicksort-ref } R \ h, \text{full-quicksort } R \ h \rangle \in$ 
 $\langle \text{Id} \rangle \text{list-rel} \rightarrow_f \langle \langle \text{Id} \rangle \text{list-rel} \rangle \text{nres-rel}$ 
proof –
  show ?thesis
    unfolding full-quicksort-ref-def full-quicksort-def
    apply (intro freqI nres-relI)
    apply (auto intro! quicksort-ref-quicksort[unfolded Down-id-eq] simp: List.null-def)
    subgoal by (rule trans)
    subgoal using lin by blast
    done
qed

```

```

lemma sublist-entire:
 $\langle \text{sublist } xs \ 0 \ (\text{length } xs - 1) = xs \rangle$ 
by (simp add: sublist-def)

```

```

lemma sorted-sublist-wrt-entire:
  assumes  $\langle \text{sorted-sublist-wrt } R \ xs \ 0 \ (\text{length } xs - 1) \rangle$ 
  shows  $\langle \text{sorted-wrt } R \ xs \rangle$ 
proof –
  have  $\langle \text{sorted-wrt } R \ (\text{sublist } xs \ 0 \ (\text{length } xs - 1)) \rangle$ 
    using assms by (simp add: sorted-sublist-wrt-def)
  then show ?thesis
    by (metis sublist-entire)
qed

```

```

lemma sorted-sublist-map-entire:
  assumes  $\langle \text{sorted-sublist-map } R \ h \ xs \ 0 \ (\text{length } xs - 1) \rangle$ 
  shows  $\langle \text{sorted-wrt } (\lambda x \ y. R \ (h \ x) \ (h \ y)) \ xs \rangle$ 
proof –
  show ?thesis
    using assms by (rule sorted-sublist-wrt-entire)
qed

```

Final correctness lemma

```

theorem full-quicksort-correct-sorted:
  assumes
    trans:  $\langle \bigwedge x \ y \ z. \llbracket R \ (h \ x) \ (h \ y); R \ (h \ y) \ (h \ z) \rrbracket \implies R \ (h \ x) \ (h \ z) \rangle$  and lin:  $\langle \bigwedge x \ y. x \neq y \implies R \ (h \ x) \ (h \ y) \vee R \ (h \ y) \ (h \ x) \rangle$ 
  shows  $\langle \text{full-quicksort } R \ h \ xs \leq \Downarrow \text{Id} \ (\text{SPEC}(\lambda xs'. \text{mset } xs' = \text{mset } xs \wedge \text{sorted-wrt } (\lambda x \ y. R \ (h \ x) \ (h \ y)) \ xs') \rangle$ 
proof –
  show ?thesis
    unfolding full-quicksort-def
    apply (refine-vcg)
    subgoal by simp — case xs=[]
    subgoal by simp — case xs=[]

    apply (rule quicksort-correct[THEN order-trans])
    subgoal by (rule trans)
    subgoal by (rule lin)
    subgoal by linarith
    subgoal by simp

```

```

apply (simp add: Misc.subset-Collect-conv, intro allI impI conjI)
subgoal
  by (auto simp add: quicksort-post-def)
subgoal
  apply (rule sorted-sublist-map-entire)
  by (auto simp add: quicksort-post-def dest: mset-eq-length)
done
qed

```

**lemma** *full-quicksort-correct*:

```

assumes
  trans:  $\langle \bigwedge x y z. \llbracket R(h x) (h y); R(h y) (h z) \rrbracket \implies R(h x) (h z) \rangle$  and
  lin:  $\langle \bigwedge x y. R(h x) (h y) \vee R(h y) (h x) \rangle$ 
shows  $\langle \text{full-quicksort } R \ h \ xs \leq \Downarrow Id \ (SPEC(\lambda xs'. \text{mset } xs' = \text{mset } xs)) \rangle$ 
by (rule order-trans[OF full-quicksort-correct-sorted])
    (use assms in auto)

```

**end**

**theory** *More-Loops*

**imports**

```

  Refine-Monadic.Refine-While
  Refine-Monadic.Refine-Foreach
  HOL-Library.Rewrite

```

**begin**

## 1.4 More Theorem about Loops

Most theorem below have a counterpart in the Refinement Framework that is weaker (by missing assertions for example that are critical for code generation).

**lemma** *Down-id-eq*:

```

 $\langle \Downarrow Id \ x = x \rangle$ 
by auto

```

**lemma** *while-upt-while-direct1*:

```

 $b \geq a \implies$ 
 $do \{$ 
   $(-, \sigma) \leftarrow WHILE_T \ (FOREACH\text{-}cond \ c) \ (\lambda x. \ do \ \{ ASSERT \ (FOREACH\text{-}cond \ c \ x); \ FOREACH\text{-}body \ f \ x \})$ 
   $([a..<b], \sigma);$ 
   $RETURN \ \sigma$ 
 $\} \leq do \{$ 
   $(-, \sigma) \leftarrow WHILE_T \ (\lambda(i, x). \ i < b \wedge c \ x) \ (\lambda(i, x). \ do \ \{ ASSERT \ (i < b); \ \sigma' \leftarrow f \ i \ x; \ RETURN \ (i+1, \sigma')$ 
 $\}) \ (a, \sigma);$ 
   $RETURN \ \sigma$ 
 $\}$ 
apply (rewrite at  $\langle - \leq \sqsupset \rangle$  Down-id-eq[symmetric])
apply (refine-vcg WHILET-refine[where  $R = \langle \{((l, x'), (i::nat, x::'a)). \ x = x' \wedge i \leq b \wedge i \geq a \wedge$ 
   $l = drop \ (i-a) \ [a..<b]\} \rangle$ ])
subgoal by auto
subgoal by (auto simp: FOREACH-cond-def)
subgoal by (auto simp: FOREACH-body-def intro!: bind-refine[OF Id-refine])
subgoal by auto
done

```

**lemma** *while-upt-while-direct2*:

```

  b ≥ a ⇒
  do {
    (·,σ) ← WHILET (FOREACH-cond c) (λx. do {ASSERT (FOREACH-cond c x); FOREACH-body
  f x})
    ([a..<b],σ);
    RETURN σ
  } ≥ do {
    (·,σ) ← WHILET (λ(i, x). i < b ∧ c x) (λ(i, x). do {ASSERT (i < b); σ' ← f i x; RETURN (i+1,σ')
  }) (a,σ);
    RETURN σ
  }
apply (rewrite at (· ≤ ∇) Down-id-eq[symmetric])
apply (refine-vcg WHILET-refine[where R = {((i::nat, x::'a), (l, x')). x = x' ∧ i ≤ b ∧ i ≥ a ∧
  l = drop (i-a) [a..<b]}])
subgoal by auto
subgoal by (auto simp: FOREACH-cond-def)
subgoal by (auto simp: FOREACH-body-def intro!: bind-refine[OF Id-refine])
subgoal by (auto simp: FOREACH-body-def intro!: bind-refine[OF Id-refine])
subgoal by auto
done

```

**lemma** *while-upt-while-direct*:

```

  b ≥ a ⇒
  do {
    (·,σ) ← WHILET (FOREACH-cond c) (λx. do {ASSERT (FOREACH-cond c x); FOREACH-body
  f x})
    ([a..<b],σ);
    RETURN σ
  } = do {
    (·,σ) ← WHILET (λ(i, x). i < b ∧ c x) (λ(i, x). do {ASSERT (i < b); σ' ← f i x; RETURN (i+1,σ')
  }) (a,σ);
    RETURN σ
  }
using while-upt-while-direct1[of a b] while-upt-while-direct2[of a b]
unfolding order-class.eq-iff by fast

```

**lemma** *while-nfoldli*:

```

  do {
    (·,σ) ← WHILET (FOREACH-cond c) (λx. do {ASSERT (FOREACH-cond c x); FOREACH-body
  f x}) (l,σ);
    RETURN σ
  } ≤ nfoldli l c f σ
apply (induct l arbitrary: σ)
apply (subst WHILET-unfold)
apply (simp add: FOREACH-cond-def)

apply (subst WHILET-unfold)
apply (auto
  simp: FOREACH-cond-def FOREACH-body-def
  intro: bind-mono Refine-Basic.bind-mono(1))
done
lemma nfoldli-while: nfoldli l c f σ
  ≤

```

```

      (WHILETI
        (FOREACH-cond c) (λx. do {ASSERT (FOREACH-cond c x); FOREACH-body f x}) (l, σ)
    >>=
      (λ(-, σ). RETURN σ))
proof (induct l arbitrary: σ)
  case Nil thus ?case by (subst WHILEIT-unfold) (auto simp: FOREACH-cond-def)
next
  case (Cons x ls)
  show ?case
  proof (cases c σ)
    case False thus ?thesis
      apply (subst WHILEIT-unfold)
      unfolding FOREACH-cond-def
      by simp
  next
  case [simp]: True
  from Cons show ?thesis
    apply (subst WHILEIT-unfold)
    unfolding FOREACH-cond-def FOREACH-body-def
    apply clarsimp
    apply (rule Refine-Basic.bind-mono)
    apply simp-all
    done
  qed
qed

lemma while-eq-nfoldli: do {
  (-,σ) ← WHILET (FOREACH-cond c) (λx. do {ASSERT (FOREACH-cond c x); FOREACH-body
f x}) (l,σ);
  RETURN σ
} = nfoldli l c f σ
apply (rule antisym)
apply (rule while-nfoldli)
apply (rule order-trans[OF nfoldli-while[where I=λ-. True]])
apply (simp add: WHILET-def)
done

end

```

```

theory PAC-More-Poly
imports HOL-Library.Poly-Mapping HOL-Algebra.Polynomials Polynomials.MPoly-Type-Class
  HOL-Algebra.Module
  HOL-Library.Countable-Set
begin

```

## 2 Libraries

### 2.1 More Polynomials

Here are more theorems on polynomials. Most of these facts are extremely trivial and should probably be generalised and moved to the Isabelle distribution.

```

lemma Const0-add:
  ⟨Const0 (a + b) = Const0 a + Const0 b⟩
by transfer

```

(simp add: Const<sub>0</sub>-def single-add)

**lemma** Const-mult:

⟨Const (a \* b) = Const a \* Const b⟩

by transfer

(simp add: Const<sub>0</sub>-def times-monomial-monomial)

**lemma** Const<sub>0</sub>-mult:

⟨Const<sub>0</sub> (a \* b) = Const<sub>0</sub> a \* Const<sub>0</sub> b⟩

by transfer

(simp add: Const<sub>0</sub>-def times-monomial-monomial)

**lemma** Const0[simp]:

⟨Const 0 = 0⟩

by transfer (simp add: Const<sub>0</sub>-def)

**lemma** (in -) Const-uminus[simp]:

⟨Const (-n) = - Const n⟩

by transfer

(auto simp: Const<sub>0</sub>-def monomial-uminus)

**lemma** [simp]: ⟨Const<sub>0</sub> 0 = 0⟩

⟨MPoly 0 = 0⟩

supply [[show-sorts]]

by (auto simp: Const<sub>0</sub>-def zero-mpoly-def)

**lemma** Const-add:

⟨Const (a + b) = Const a + Const b⟩

by transfer

(simp add: Const<sub>0</sub>-def single-add)

**instance** mpoly :: (comm-semiring-1) comm-semiring-1

by standard

**lemma** degree-uminus[simp]:

⟨degree (-A) x' = degree A x'⟩

by (auto simp: degree-def uminus-mpoly.rep-eq)

**lemma** degree-sum-notin:

⟨x' ∉ vars B ⟹ degree (A + B) x' = degree A x'⟩

apply (auto simp: degree-def)

apply (rule arg-cong[of - - Max])

apply (auto simp: plus-mpoly.rep-eq)

apply (smt Poly-Mapping.keys-add UN-I UnE image-iff in-keys-iff subsetD vars-def)

by (smt UN-I add.right-neutral imageI lookup-add not-in-keys-iff-lookup-eq-zero vars-def)

**lemma** degree-notin-vars:

⟨x ∉ (vars B) ⟹ degree (B :: 'a :: {monoid-add} mpoly) x = 0⟩

using degree-sum-notin[of x B 0]

by auto

**lemma** not-in-vars-coeff0:

⟨x ∉ vars p ⟹ MPoly-Type.coeff p (monomial (Suc 0) x) = 0⟩

apply (subst not-not[symmetric])

apply (subst coeff-keys[symmetric])

**apply** (*auto simp: vars-def*)  
**done**

**lemma** *keys-mapping-sum-add*:

$\langle \text{finite } A \implies \text{keys } (\text{mapping-of } (\sum v \in A. f v)) \subseteq \bigcup (\text{keys } ' \text{ mapping-of } ' f ' \text{ UNIV}) \rangle$   
**apply** (*induction A rule: finite-induct*)  
**apply** (*auto simp add: zero-mpoly.rep-eq plus-mpoly.rep-eq*  
*keys-plus-ninv-comm-monoid-add*)  
**by** (*metis (no-types, lifting) Poly-Mapping.keys-add UN-E UnE subset-eq*)

**lemma** *vars-sum-vars-union*:

**fixes**  $f :: \langle \text{int mpoly} \Rightarrow \text{int mpoly} \rangle$   
**assumes**  $\langle \text{finite } \{v. f v \neq 0\} \rangle$   
**shows**  $\langle \text{vars } (\sum v \mid f v \neq 0. f v * v) \subseteq \bigcup (\text{vars } ' \{v. f v \neq 0\}) \cup \bigcup (\text{vars } ' f ' \{v. f v \neq 0\}) \rangle$   
**(is**  $\langle ?A \subseteq ?B \rangle$ **)**

**proof**

**fix**  $p$   
**assume**  $\langle p \in \text{vars } (\sum v \mid f v \neq 0. f v * v) \rangle$   
**then obtain**  $x$  **where**  $\langle x \in \text{keys } (\text{mapping-of } (\sum v \mid f v \neq 0. f v * v)) \rangle$  **and**  
 $p: \langle p \in \text{keys } x \rangle$   
**by** (*auto simp: vars-def times-mpoly.rep-eq simp del: keys-mult*)  
**then have**  $\langle x \in (\bigcup x. \text{keys } (\text{mapping-of } (f x) * \text{mapping-of } x)) \rangle$   
**using** *keys-mapping-sum-add*[*of*  $\langle \{v. f v \neq 0\} \rangle \langle \lambda x. f x * x \rangle$ ] *assms*  
**by** (*auto simp: vars-def times-mpoly.rep-eq*)  
**then have**  $\langle x \in (\bigcup x. \{a+b \mid a b. a \in \text{keys } (\text{mapping-of } (f x)) \wedge b \in \text{keys } (\text{mapping-of } x)\}) \rangle$   
**using** *Union-mono[OF ] keys-mult* **by** *fast*  
**then show**  $\langle p \in ?B \rangle$   
**using**  $p$  **apply** (*auto simp: keys-add*)  
**by** (*metis (no-types, lifting) Poly-Mapping.keys-add UN-I UnE empty-iff*  
*in-mono keys-zero vars-def zero-mpoly.rep-eq*)

**qed**

**lemma** *vars-in-right-only*:

$x \in \text{vars } q \implies x \notin \text{vars } p \implies x \in \text{vars } (p+q)$   
**apply** (*auto simp: vars-def keys-def plus-mpoly.rep-eq*  
*lookup-plus-fun*)  
**by** (*metis add.left-neutral gr-implies-not0*)

**lemma** [*simp*]:

$\langle \text{vars } 0 = \{\} \rangle$   
**by** (*simp add: vars-def zero-mpoly.rep-eq*)

**lemma** *vars-Un-nointer*:

$\langle \text{keys } (\text{mapping-of } p) \cap \text{keys } (\text{mapping-of } q) = \{\} \implies \text{vars } (p + q) = \text{vars } p \cup \text{vars } q \rangle$   
**apply** (*auto simp: vars-def*)  
**apply** (*metis (no-types, hide-lams) Poly-Mapping.keys-add UnE in-mono plus-mpoly.rep-eq*)  
**apply** (*smt IntI UN-I add.right-neutral coeff-add coeff-keys empty-iff empty-iff in-keys-iff*)  
**apply** (*smt IntI UN-I add.left-neutral coeff-add coeff-keys empty-iff empty-iff in-keys-iff*)  
**done**

**lemmas** [*simp*] = *zero-mpoly.rep-eq*

**lemma** *polynomial-sum-monoms*:



```

fixes  $p :: \langle 'a :: \{comm-monoid-add, cancel-comm-monoid-add\} \text{ mpoly} \rangle$ 
shows
   $\langle p = (\sum x \in \text{keys } (mapping-of \ p). \text{ MPoly-Type.monom } x \ (\text{MPoly-Type.coeff } p \ x)) \rangle$ 
   $\langle \text{keys } (mapping-of \ p) \subseteq I \implies \text{finite } I \implies p = (\sum x \in I. \text{ MPoly-Type.monom } x \ (\text{MPoly-Type.coeff } p \ x)) \rangle$ 
proof –
  define  $J$  where  $\langle J \equiv \text{keys } (mapping-of \ p) \rangle$ 
  define  $a$  where  $\langle a \ x \equiv \text{coeff } p \ x \rangle$  for  $x$ 
  have  $\langle \text{finite } (\text{keys } (mapping-of \ p)) \rangle$ 
    by auto
  have  $\langle p = (\sum x \in I. \text{ MPoly-Type.monom } x \ (\text{MPoly-Type.coeff } p \ x)) \rangle$ 
    if  $\langle \text{finite } I \rangle$  and  $\langle \text{keys } (mapping-of \ p) \subseteq I \rangle$ 
    for  $I$ 
    using that
    unfolding a-def
  proof (induction I arbitrary: p rule: finite-induct)
    case empty
    then have  $\langle p = 0 \rangle$ 
      using empty coeff-all-0 coeff-keys by blast
    then show  $?case$  using empty by (auto simp: zero-mpoly.rep-eq)
  next
    case (insert x F) note  $\text{fin} = \text{this}(1)$  and  $\text{xF} = \text{this}(2)$  and  $\text{IH} = \text{this}(3)$  and
       $\text{incl} = \text{this}(4)$ 
    let  $?p = \langle p - \text{MPoly-Type.monom } x \ (\text{MPoly-Type.coeff } p \ x) \rangle$ 
    have  $\langle ?p = (\sum x \in F. \text{ MPoly-Type.monom } x \ (\text{MPoly-Type.coeff } ?p \ x)) \rangle$ 
      apply (rule IH)
      using incl apply auto
      by (smt Diff-iff Diff-insert-absorb add-diff-cancel-right'
        remove-term-keys remove-term-sum subsetD xF)
    also have  $\langle \dots = (\sum x \in F. \text{ MPoly-Type.monom } x \ (\text{MPoly-Type.coeff } p \ x)) \rangle$ 
      apply (use xF in (auto intro!: sum.cong))
      by (metis (mono-tags, hide-lams) add-diff-cancel-right' remove-term-coeff
        remove-term-sum when-def)
    finally show  $?case$ 
      using  $\text{xF fin}$  apply auto
      by (metis add.commute add-diff-cancel-right' remove-term-sum)
  qed
from this[of I] this[of J] show
   $\langle p = (\sum x \in \text{keys } (mapping-of \ p). \text{ MPoly-Type.monom } x \ (\text{MPoly-Type.coeff } p \ x)) \rangle$ 
   $\langle \text{keys } (mapping-of \ p) \subseteq I \implies \text{finite } I \implies p = (\sum x \in I. \text{ MPoly-Type.monom } x \ (\text{MPoly-Type.coeff } p \ x)) \rangle$ 
  by (auto simp: J-def)
qed

```

**lemma** *vars-mult-monom:*

```

fixes  $p :: \langle \text{int mpoly} \rangle$ 
shows  $\langle \text{vars } (p * (\text{monom } (\text{monomial } (\text{Suc } 0) \ x') \ 1)) = (\text{if } p = 0 \text{ then } \{\} \text{ else insert } x' \ (\text{vars } p)) \rangle$ 
proof –

```

```

  let  $?v = \langle \text{monom } (\text{monomial } (\text{Suc } 0) \ x') \ 1 \rangle$ 
  have
     $p: \langle p = (\sum x \in \text{keys } (mapping-of \ p). \text{ MPoly-Type.monom } x \ (\text{MPoly-Type.coeff } p \ x)) \rangle$  (is  $\langle \cdot = (\sum x \in ?I. ?f \ x) \rangle$ )
    using polynomial-sum-monoms(1)[of p] .

```

```

have pv:  $\langle p * ?v = (\sum x \in ?I. ?f x * ?v) \rangle$ 
  by (subst p) (auto simp: field-simps sum-distrib-left)
define I where  $\langle I \equiv ?I \rangle$ 
have in-keysD:  $\langle x \in \text{keys } (\text{mapping-of } (\sum x \in I. \text{MPoly-Type.monom } x (h x))) \implies x \in I \rangle$ 
  if  $\langle \text{finite } I \rangle$  for I and  $h :: \langle - \Rightarrow \text{int} \rangle$  and x
  using that by (induction rule: finite-induct)
  (force simp: monom.rep-eq empty-iff insert-iff keys-single coeff-monom
    simp: coeff-keys simp flip: coeff-add
    simp del: coeff-add)+
have in-keys:  $\langle \text{keys } (\text{mapping-of } (\sum x \in I. \text{MPoly-Type.monom } x (h x))) = (\bigcup x \in I. (\text{if } h x = 0 \text{ then } \{\} \text{ else } \text{keys } x)) \rangle$ 
  if  $\langle \text{finite } I \rangle$  for I and  $h :: \langle - \Rightarrow \text{int} \rangle$  and x
  supply in-keysD[dest]
  using that by (induction rule: finite-induct)
  (auto simp: plus-mpoly.rep-eq MPoly-Type-Class.keys-plus-eqI)

have H[simp]:  $\langle \text{vars } ((\sum x \in I. \text{MPoly-Type.monom } x (h x))) = (\bigcup x \in I. (\text{if } h x = 0 \text{ then } \{\} \text{ else } \text{keys } x)) \rangle$ 
  if  $\langle \text{finite } I \rangle$  for I and  $h :: \langle - \Rightarrow \text{int} \rangle$ 
  using that by (auto simp: vars-def in-keys)

have sums:  $\langle (\sum x \in I. \text{MPoly-Type.monom } (x + a') (c x)) = (\sum x \in (\lambda x. x + a')^{-1} I. \text{MPoly-Type.monom } x (c (x - a'))) \rangle$ 
  if  $\langle \text{finite } I \rangle$  for I a' c q
  using that apply (induction rule: finite-induct)
  subgoal by auto
  subgoal
    unfolding image-insert by (subst sum.insert) auto
  done
have non-zero-keysEx:  $\langle p \neq 0 \implies \exists a. a \in \text{keys } (\text{mapping-of } p) \rangle$  for  $p :: \langle \text{int mpoly} \rangle$ 
  using mapping-of-inject by (fastforce simp add: ex-in-conv)
have  $\langle \text{finite } I \rangle \langle \text{keys } (\text{mapping-of } p) \subseteq I \rangle$ 
  unfolding I-def by auto
then show
   $\langle \text{vars } (p * (\text{monom } (\text{monomial } (\text{Suc } 0) x') 1)) = (\text{if } p = 0 \text{ then } \{\} \text{ else } \text{insert } x' (\text{vars } p)) \rangle$ 
  apply (subst pv, subst I-def[symmetric], subst mult-monom)
  apply (auto simp: mult-monom sums I-def)
  using Poly-Mapping.keys-add vars-def apply fastforce
  apply (auto dest!: non-zero-keysEx)
  apply (rule-tac x =  $\langle a + \text{monomial } (\text{Suc } 0) x' \rangle$  in bexI)
  apply (auto simp: coeff-keys)
  apply (simp add: in-keys-iff lookup-add)
  apply (auto simp: vars-def)
  apply (rule-tac x =  $\langle xa + \text{monomial } (\text{Suc } 0) x' \rangle$  in bexI)
  apply (auto simp: coeff-keys)
  apply (simp add: in-keys-iff lookup-add)
  done
qed

lemma in-mapping-mult-single:
   $\langle x \in (\lambda x. \text{lookup } x x')^{-1} \text{keys } (A * (\text{Var}_0 x' :: (\text{nat} \Rightarrow_0 \text{nat}) \Rightarrow_0 'b :: \{\text{monoid-mult, zero-neq-one, semiring-0}\})) \iff x > 0 \wedge x - 1 \in (\lambda x. \text{lookup } x x')^{-1} \text{keys } (A) \rangle$ 

```

```

apply (auto elim!: in-keys-timesE simp: lookup-add)
apply (auto simp: keys-def lookup-times-monomial-right Var0-def)
apply (metis One-nat-def lookup-single-eq lookup-single-not-eq one-neq-zero)
apply (metis (mono-tags) add-diff-cancel-right' imageI lookup-single-eq lookup-single-not-eq mem-Collect-eq)
apply (subst image-iff)
apply (cases x)
apply simp
apply (rule-tac x = ⟨xa + Poly-Mapping.single x' 1⟩ in bexI)
apply (auto simp: lookup-add)
done

```

**lemma** *Max-Suc-Suc-Max*:

```

⟨finite A ⟹ A ≠ {} ⟹ Max (insert 0 (Suc 'A)) =
  Suc (Max (insert 0 A))⟩
by (induction rule: finite-induct)
    (auto simp: hom-Max-commute)

```

**lemma** [*simp*]:

```

⟨keys (Var0 x' :: ('a ⇒0 nat) ⇒0 'b :: {zero-neq-one}) = {Poly-Mapping.single x' 1}⟩
by (auto simp: Var0-def)

```

**lemma** *degree-mult-Var*:

```

⟨degree (A * Var x') x' = (if A = 0 then 0 else Suc (degree A x'))⟩ for A :: ⟨int mpoly⟩
apply (auto simp: degree-def times-mpoly.rep-eq)
apply (subst arg-cong[OF - ⟨insert 0
  (Suc '((λx. lookup x x') 'keys (mapping-of A)))⟩ Max])
apply (auto simp: image-image Var.rep-eq lookup-plus-fun in-mapping-mult-single
  hom-Max-commute)
elim!: in-keys-timesE intro!: Max-Suc-Suc-Max
  split: if-splits[]
apply (subst Max-Suc-Suc-Max)
apply auto
using mapping-of-inject by fastforce

```

**lemma** *degree-mult-Var'*:

```

⟨degree (Var x' * A) x' = (if A = 0 then 0 else Suc (degree A x'))⟩ for A :: ⟨int mpoly⟩
by (simp add: degree-mult-Var semiring-normalization-rules(7))

```

**lemma** *degree-add-max*:

```

⟨degree (A + B) x ≤ max (degree A x) (degree B x)⟩
apply (auto simp: degree-def plus-mpoly.rep-eq
  max-def
  dest!: set-rev-mp[OF - Poly-Mapping.keys-add])
by (smt Max-ge dual-order.trans finite-imageI finite-insert finite-keys
  image-subset-iff nat-le-linear subset-insertI)

```

**lemma** *degree-times-le*:

```

⟨degree (A * B) x ≤ degree A x + degree B x⟩
by (auto simp: degree-def times-mpoly.rep-eq
  max-def lookup-add add-mono
  dest!: set-rev-mp[OF - Poly-Mapping.keys-add]
  elim!: in-keys-timesE)

```

**lemma** *monomial-inj*:

*monomial*  $c\ s = \text{monomial}\ (d::'b::\text{zero-neq-one})\ t \longleftrightarrow (c = 0 \wedge d = 0) \vee (c = d \wedge s = t)$   
**apply** (*auto simp*: *monomial-inj Poly-Mapping.single-def*  
*poly-mapping.Abs-poly-mapping-inject when-def*  
*cong*: *if-cong*  
*split*: *if-splits*)  
**apply** *metis*  
**apply** *metis*  
**apply** *metis*  
**apply** *metis*  
**done**

**lemma** *MPoly-monomial-power'*:

$\langle \text{MPoly}(\text{monomial}\ 1\ x') \wedge (n+1) = \text{MPoly}(\text{monomial}\ (1)\ (((\lambda x. x + x') \wedge n)\ x')) \rangle$   
**by** (*induction n*)  
*(auto simp: times-mpoly.abs-eq mult-single ac-simps)*

**lemma** *MPoly-monomial-power*:

$\langle n > 0 \implies \text{MPoly}(\text{monomial}\ 1\ x') \wedge (n) = \text{MPoly}(\text{monomial}\ (1)\ (((\lambda x. x + x') \wedge (n-1))\ x')) \rangle$   
**using** *MPoly-monomial-power'[of - (n-1)]*  
**by** *auto*

**lemma** *vars-uminus[simp]*:

$\langle \text{vars}(-p) = \text{vars}\ p \rangle$   
**by** (*auto simp: vars-def uminus-mpoly.rep-eq*)

**lemma** *coeff-uminus[simp]*:

$\langle \text{MPoly-Type.coeff}(-p)\ x = -\text{MPoly-Type.coeff}\ p\ x \rangle$   
**by** (*auto simp: coeff-def uminus-mpoly.rep-eq*)

**definition** *decrease-key*:: $'a \Rightarrow ('a \Rightarrow_0 'b::\{\text{monoid-add}, \text{minus}, \text{one}\}) \Rightarrow ('a \Rightarrow_0 'b)$  **where**

*decrease-key*  $k0\ f = \text{Abs-poly-mapping}\ (\lambda k. \text{if } k = k0 \wedge \text{lookup}\ f\ k \neq 0 \text{ then } \text{lookup}\ f\ k - 1 \text{ else } \text{lookup}\ f\ k)$

**lemma** *remove-key-lookup*:

$\text{lookup}(\text{decrease-key}\ k0\ f)\ k = (\text{if } k = k0 \wedge \text{lookup}\ f\ k \neq 0 \text{ then } \text{lookup}\ f\ k - 1 \text{ else } \text{lookup}\ f\ k)$   
**unfolding** *decrease-key-def* **using** *finite-subset* **apply** (*simp add: lookup-Abs-poly-mapping*)  
**apply** (*subst lookup-Abs-poly-mapping*)  
**apply** (*auto intro: finite-subset[of - {x. lookup f x ≠ 0}]*)  
**apply** (*subst lookup-Abs-poly-mapping*)  
**apply** (*auto intro: finite-subset[of - {x. lookup f x ≠ 0}]*)  
**done**

**lemma** *polynomial-split-on-var*:

**fixes**  $p :: \langle 'a :: \{\text{comm-monoid-add}, \text{cancel-comm-monoid-add}, \text{semiring-0}, \text{comm-semiring-1}\} \text{ mpoly} \rangle$   
**obtains**  $q\ r$  **where**  
 $\langle p = \text{monom}(\text{monomial}\ (\text{Suc}\ 0)\ x')\ 1 * q + r \rangle$  **and**  
 $\langle x' \notin \text{vars}\ r \rangle$

**proof** –

**have** [*simp*]:  $\langle x \in \text{keys}(\text{mapping-of}\ p). x' \in \text{keys}\ x \rangle \cup$   
 $\langle x \in \text{keys}(\text{mapping-of}\ p). x' \notin \text{keys}\ x \rangle = \text{keys}(\text{mapping-of}\ p)$   
**by** *auto*

**have**

$\langle p = (\sum x \in \text{keys}(\text{mapping-of}\ p). \text{MPoly-Type.monom}\ x\ (\text{MPoly-Type.coeff}\ p\ x)) \rangle$  (**is**  $\langle - = (\sum x \in ?I.$

```

?f x))
  using polynomial-sum-monoms(1)[of p] .
  also have ⟨... = (∑ x ∈ {x ∈ ?I. x' ∈ keys x}. ?f x) + (∑ x ∈ {x ∈ ?I. x' ∉ keys x}. ?f x)⟩ (is ⟨- =
?pX + ?qX⟩)
  by (subst comm-monoid-add-class.sum.union-disjoint[symmetric]) auto
  finally have 1: ⟨p = ?pX + ?qX⟩ .
  have H: ⟨0 < lookup x x' ⟹ (λk. (if x' = k then Suc 0 else 0) +
    (if k = x' ∧ 0 < lookup x k then lookup x k - 1
    else lookup x k)) = lookup x⟩ for x x'
  by auto
  have H: ⟨x' ∈ keys x ⟹ monomial (Suc 0) x' + Abs-poly-mapping (λk. if k = x' ∧ 0 < lookup x k
then lookup x k - 1 else lookup x k) = x⟩
  for x and x' :: nat
  apply (simp only: keys-def single.abs-eq)
  apply (subst plus-poly-mapping.abs-eq)
  apply (auto simp: eq-onp-def intro!: finite-subset[of ⟨{-. - ∧ -}⟩ ⟨{xa. 0 < lookup x xa}⟩])
  apply (smt bounded-nat-set-is-finite lessI mem-Collect-eq neq0-conv when-cong when-neq-zero)
  apply (rule finite-subset[of - ⟨{xa. 0 < lookup x xa}⟩])
  by (auto simp: when-def H split: if-splits)

  have [simp]: ⟨x' ∈ keys x ⟹
    MPoly-Type.monom (monomial (Suc 0) x' + decrease-key x' x) n =
    MPoly-Type.monom x n⟩ for x n and x'
  apply (subst mpoly.mapping-of-inject[symmetric], subst poly-mapping.lookup-inject[symmetric])
  unfolding mapping-of-monom lookup-single
  apply (auto intro!: ext simp: decrease-key-def when-def H)
  done
  have pX: ⟨?pX = monom (monomial (Suc 0) x') 1 * (∑ x ∈ {x ∈ ?I. x' ∈ keys x}. MPoly-Type.monom
(decrease-key x' x) (MPoly-Type.coeff p x))⟩
  (is ⟨- = - * ?pX'⟩)
  by (subst sum-distrib-left, subst mult-monom)
  (auto intro!: sum.cong)
  have ⟨x' ∉ vars ?qX⟩
  using vars-setsum[of ⟨{x. x ∈ keys (mapping-of p) ∧ x' ∉ keys x}⟩ ⟨?f⟩]
  by auto (metis (mono-tags, lifting) UN-E mem-Collect-eq subsetD vars-monom-subset)

  then show ?thesis
  using that[of ?pX' ?qX]
  unfolding pX[symmetric] 1[symmetric]
  by blast
qed

```

**lemma polynomial-split-on-var2:**

```

  fixes p :: ⟨int mpoly⟩
  assumes ⟨x' ∉ vars s⟩
  obtains q r where
    ⟨p = (monom (monomial (Suc 0) x') 1 - s) * q + r⟩ and
    ⟨x' ∉ vars r⟩
  proof -
    have eq[simp]: ⟨monom (monomial (Suc 0) x') 1 = Var x'⟩
    by (simp add: Var.abs-eq Var_0-def monom.abs-eq)
    have ⟨∀ m ≤ n. ∀ P::int mpoly. degree P x' < m ⟹ (∃ A B. P = (Var x' - s) * A + B ∧ x' ∉ vars
B)⟩ for n
    proof (induction n)

```

```

case 0
then show ?case by auto
next
case (Suc n)
then have IH:  $\langle m \leq n \implies \text{MPoly-Type.degree } P \ x' < m \implies$ 
 $\langle \exists A \ B. P = (\text{Var } x' - s) * A + B \wedge x' \notin \text{vars } B \rangle$  for  $m \ P$ 
by fast
show ?case
proof (intro allI impI)
fix m and P ::  $\langle \text{int mpoly} \rangle$ 
assume  $\langle m \leq \text{Suc } n \rangle$  and deg:  $\langle \text{MPoly-Type.degree } P \ x' < m \rangle$ 
consider
 $\langle m \leq n \rangle \mid$ 
 $\langle m = \text{Suc } n \rangle$ 
using  $\langle m \leq \text{Suc } n \rangle$  by linarith
then show  $\langle \exists A \ B. P = (\text{Var } x' - s) * A + B \wedge x' \notin \text{vars } B \rangle$ 
proof cases
case 1
then show  $\langle ?thesis \rangle$ 
using Suc deg by blast
next
case [simp]: 2
obtain A B where
P:  $\langle P = \text{Var } x' * A + B \rangle$  and
 $\langle x' \notin \text{vars } B \rangle$ 
using polynomial-split-on-var[of P x'] unfolding eq by blast
have P':  $\langle P = (\text{Var } x' - s) * A + (s * A + B) \rangle$ 
by (auto simp: field-simps P)
have  $\langle A = 0 \vee \text{degree } (s * A) \ x' < \text{degree } P \ x' \rangle$ 
using deg  $\langle x' \notin \text{vars } B \rangle$   $\langle x' \notin \text{vars } s \rangle$  degree-times-le[of s A x'] deg
unfolding P
by (auto simp: degree-sum-notin degree-mult-Var' degree-mult-Var degree-notin-vars
split: if-splits)
then obtain A' B' where
sA:  $\langle s * A = (\text{Var } x' - s) * A' + B' \rangle$  and
 $\langle x' \notin \text{vars } B' \rangle$ 
using IH[of  $\langle m-1 \rangle$   $\langle s * A \rangle$ ] deg apply auto
by (metis  $\langle x' \notin \text{vars } B \rangle$  add.right-neutral mult-zero-right vars-in-right-only)
have  $\langle P = (\text{Var } x' - s) * (A + A') + (B' + B) \rangle$ 
unfolding P' sA by (auto simp: field-simps)
moreover have  $\langle x' \notin \text{vars } (B' + B) \rangle$ 
using  $\langle x' \notin \text{vars } B' \rangle$   $\langle x' \notin \text{vars } B \rangle$ 
by (meson UnE subset-iff vars-add)
ultimately show ?thesis
by fast
qed
qed
qed
then show ?thesis
using that unfolding eq
by blast
qed

lemma polynomial-split-on-var-diff-sq2:
fixes p ::  $\langle \text{int mpoly} \rangle$ 

```

```

obtains  $q\ r\ s$  where
   $\langle p = \text{monom} (\text{monomial} (\text{Suc } 0) x')\ 1 * q + r + s * (\text{monom} (\text{monomial} (\text{Suc } 0) x')\ 1^2 - \text{monom} (\text{monomial} (\text{Suc } 0) x')\ 1) \rangle$  and
   $\langle x' \notin \text{vars } r \rangle$  and
   $\langle x' \notin \text{vars } q \rangle$ 
proof -
  let  $?v = \langle \text{monom} (\text{monomial} (\text{Suc } 0) x')\ 1 :: \text{int mpoly} \rangle$ 
  have  $H$ :  $\langle n < m \implies n > 0 \implies \exists q. ?v^n = ?v + q * (?v^2 - ?v) \rangle$  for  $n\ m :: \text{nat}$ 
  proof (induction m arbitrary: n)
    case 0
    then show  $?case$  by auto
  next
    case ( $\text{Suc } m\ n$ ) note  $IH = \text{this}(1-)$ 
    consider
       $\langle n < m \rangle \mid$ 
       $\langle m = n \rangle \langle n > 1 \rangle \mid$ 
       $\langle n = 1 \rangle$ 
    using  $IH$ 
    by (cases  $\langle n < m \rangle$ ; cases  $n$ ) auto
  then show  $?case$ 
  proof cases
    case 1
    then show  $?thesis$  using  $IH$  by auto
  next
    case 2
    have  $eq$ :  $\langle ?v^n = ((?v :: \text{int mpoly}) ^ (n-2)) * (?v^2 - ?v) + ?v^{(n-1)} \rangle$ 
    using 2 by (auto simp: field-simps power-eq-if ideal.scale-right-diff-distrib)
    obtain  $q$  where
       $q$ :  $\langle ?v^{(n-1)} = ?v + q * (?v^2 - ?v) \rangle$ 
    using  $IH(1)[\text{of } \langle n-1 \rangle]$  2
    by auto
    show  $?thesis$ 
    using  $q$  unfolding  $eq$ 
    by (auto intro!: exI[of -  $\langle ?v ^ (n-2) + q \rangle$  simp: distrib-right])
  next
    case 3
    then show  $\langle ?thesis \rangle$ 
    by auto
  qed
qed
have  $H$ :  $\langle n > 0 \implies \exists q. ?v^n = ?v + q * (?v^2 - ?v) \rangle$  for  $n$ 
  using  $H[\text{of } n\ \langle n+1 \rangle]$ 
  by auto
obtain  $qr :: \langle \text{nat} \Rightarrow \text{int mpoly} \rangle$  where
   $qr$ :  $\langle n > 0 \implies ?v^n = ?v + qr\ n * (?v^2 - ?v) \rangle$  for  $n$ 
  using  $H[\text{of } ]$ 
  by metis
have  $vn$ :  $\langle (\text{if lookup } x\ x' = 0 \text{ then } 1 \text{ else } \text{Var } x' ^ \text{lookup } x\ x') =$ 
   $(\text{if lookup } x\ x' = 0 \text{ then } 1 \text{ else } ?v) + (\text{if lookup } x\ x' = 0 \text{ then } 0 \text{ else } 1) * qr (\text{lookup } x\ x') * (?v^2 - ?v) \rangle$ 
for  $x$ 
  by (simp add: qr[symmetric] Var-def Var0-def monom.abs-eq[symmetric] cong: if-cong)

have  $q$ :  $\langle p = (\sum x \in \text{keys } (\text{mapping-of } p). \text{MPoly-Type.monom } x (\text{MPoly-Type.coeff } p\ x)) \rangle$ 
  by (rule polynomial-sum-monoms(1)[of p])

```

```

have [simp]:
  ⟨lookup x x' = 0 ⟹
    Abs-poly-mapping (λk. lookup x k when k ≠ x') = x⟩ for x
  by (cases x, auto simp: poly-mapping.Abs-poly-mapping-inject)
    (auto intro!: ext simp: when-def)
have [simp]: ⟨finite {x. 0 < (a when x' = x)}⟩ for a :: nat
  by (metis (no-types, lifting) infinite-nat-iff-unbounded less-not-refl lookup-single lookup-single-not-eq
    mem-Collect-eq)

have [simp]: ⟨((λx. x + monomial (Suc 0) x') ^~ (n))
  (monomial (Suc 0) x') = Abs-poly-mapping (λk. (if k = x' then n+1 else 0))⟩ for n
  by (induction n)
    (auto simp: single-def Abs-poly-mapping-inject plus-poly-mapping.abs-eq eq-onp-def cong:if-cong)
have [simp]: ⟨0 < lookup x x' ⟹
  Abs-poly-mapping (λk. lookup x k when k ≠ x') +
  Abs-poly-mapping (λk. if k = x' then lookup x x' - Suc 0 + 1 else 0) =
  x⟩ for x
  apply (cases x, auto simp: poly-mapping.Abs-poly-mapping-inject plus-poly-mapping.abs-eq eq-onp-def)
  apply (subst plus-poly-mapping.abs-eq)
  apply (auto simp: poly-mapping.Abs-poly-mapping-inject plus-poly-mapping.abs-eq eq-onp-def)
  apply (metis (no-types, lifting) finite-nat-set-iff-bounded less-numeral-extra(3) mem-Collect-eq when-neq-zero
    zero-less-iff-neq-zero)
  apply (subst Abs-poly-mapping-inject)
  apply auto
  apply (metis (no-types, lifting) finite-nat-set-iff-bounded less-numeral-extra(3) mem-Collect-eq when-neq-zero
    zero-less-iff-neq-zero)
  done
define f where
  ⟨f x = (MPoly-Type.monom (remove-key x' x) (MPoly-Type.coeff p x)) *
    (if lookup x x' = 0 then 1 else Var x' ^ (lookup x x'))⟩ for x
have f-alt-def: ⟨f x = MPoly-Type.monom x (MPoly-Type.coeff p x)⟩ for x
  by (auto simp: f-def monom-def remove-key-def Var-def MPoly-monomial-power Var0-def
    mpoly.MPoly-inject monomial-inj times-mpoly.abs-eq
    times-mpoly.abs-eq mult-single)
have p: ⟨p = (∑ x∈keys (mapping-of p).
  MPoly-Type.monom (remove-key x' x) (MPoly-Type.coeff p x) *
  (if lookup x x' = 0 then 1 else ?v)) +
  (∑ x∈keys (mapping-of p).
  MPoly-Type.monom (remove-key x' x) (MPoly-Type.coeff p x) *
  (if lookup x x' = 0 then 0
    else 1) * qr (lookup x x')) *
  (?v2 - ?v)⟩
  (is ⟨- = ?a + ?v2v⟩)
  apply (subst q)
  unfolding f-alt-def[symmetric, abs-def] f-def vn semiring-class.distrib-left
    comm-semiring-1-class.semiring-normalization-rules(18) semiring-0-class.sum-distrib-right
  by (simp add: semiring-class.distrib-left
    sum.distrib)

have I: ⟨keys (mapping-of p) = {x ∈ keys (mapping-of p). lookup x x' = 0} ∪ {x ∈ keys (mapping-of
  p). lookup x x' ≠ 0}⟩
  by auto

have ⟨p = (∑ x | x ∈ keys (mapping-of p) ∧ lookup x x' = 0.
  MPoly-Type.monom x (MPoly-Type.coeff p x)) +

```



```

  (∑ x | x ∈ keys (mapping-of p) ∧ lookup x x' ≠ 0.
    MPoly-Type.monom (remove-key x' x) (MPoly-Type.coeff p x)) *
    (MPoly-Type.monom (monomial (Suc 0) x') 1) +
  (∑ x | x ∈ keys (mapping-of p) ∧ lookup x x' = 0.
    MPoly-Type.monom (remove-key x' x) (MPoly-Type.coeff p x) *
    qr (lookup x x')) *
    (?v2 - ?v)
  (is (p = ?A + ?B * - + ?C * -))
  unfolding semiring-0-class.sum-distrib-right[of - - (MPoly-Type.monom (monomial (Suc 0) x') 1)]
  apply (subst p)
  apply (subst (2) I)
  apply (subst I)
  apply (subst comm-monoid-add-class.sum.union-disjoint)
  apply auto[3]
  apply (subst comm-monoid-add-class.sum.union-disjoint)
  apply auto[3]
  apply (subst (4) sum.cong[OF refl, of - - (λx. MPoly-Type.monom (remove-key x' x) (MPoly-Type.coeff
p x) *
    qr (lookup x x'))])
  apply (auto; fail)
  apply (subst (3) sum.cong[OF refl, of - - (λx. 0)])
  apply (auto; fail)
  apply (subst (2) sum.cong[OF refl, of - - (λx. MPoly-Type.monom (remove-key x' x) (MPoly-Type.coeff
p x) *
    (MPoly-Type.monom (monomial (Suc 0) x') 1))])
  apply (auto; fail)
  apply (subst (1) sum.cong[OF refl, of - - (λx. MPoly-Type.monom x (MPoly-Type.coeff p x))])
  apply (auto)
  by (smt f-alt-def f-def mult-cancel-left1)

moreover have (x' ∉ vars ?A)
  using vars-setsum[of {x ∈ keys (mapping-of p). lookup x x' = 0}]
  (λx. MPoly-Type.monom x (MPoly-Type.coeff p x))
  apply auto
  apply (drule set-rev-mp, assumption)
  apply (auto dest!: lookup-eq-zero-in-keys-contradict)
  by (meson lookup-eq-zero-in-keys-contradict subsetD vars-monom-subset)
moreover have (x' ∉ vars ?B)
  using vars-setsum[of {x ∈ keys (mapping-of p). lookup x x' ≠ 0}]
  (λx. MPoly-Type.monom (remove-key x' x) (MPoly-Type.coeff p x))
  apply auto
  apply (drule set-rev-mp, assumption)
  apply (auto dest!: lookup-eq-zero-in-keys-contradict)
  apply (drule subsetD[OF vars-monom-subset])
  apply (auto simp: remove-key-keys[symmetric])
  done
ultimately show ?thesis apply -
  apply (rule that[of ?B ?A ?C])
  apply (auto simp: ac-simps)
  done
qed

lemma polynomial-decomp-alien-var:
  fixes q A b :: (int mpoly)
  assumes

```

```

  q:  $\langle q = A * (\text{monom } (\text{monomial } (\text{Suc } 0) \ x') \ 1) + b \rangle$  and
  x:  $\langle x' \notin \text{vars } q \rangle \langle x' \notin \text{vars } b \rangle$ 
shows
   $\langle A = 0 \rangle$  and
   $\langle q = b \rangle$ 
proof -
  let ?A =  $\langle A * (\text{monom } (\text{monomial } (\text{Suc } 0) \ x') \ 1) \rangle$ 
  have  $\langle ?A = q - b \rangle$ 
    using arg-cong[OF q, of  $\langle \lambda a. a - b \rangle$ ]
    by auto
  moreover have  $\langle x' \notin \text{vars } (q - b) \rangle$ 
    using x vars-in-right-only
    by fastforce
  ultimately have  $\langle x' \notin \text{vars } (?A) \rangle$ 
    by simp
  then have  $\langle ?A = 0 \rangle$ 
    by (auto simp: vars-mult-monom split: if-splits)
  then show  $\langle A = 0 \rangle$ 
    apply auto
    by (metis (full-types) empty-iff insert-iff mult-zero-right vars-mult-monom)
  then show  $\langle q = b \rangle$ 
    using q by auto
qed

lemma polynomial-decomp-alien-var2:
  fixes q A b ::  $\langle \text{int mpoly} \rangle$ 
  assumes
    q:  $\langle q = A * (\text{monom } (\text{monomial } (\text{Suc } 0) \ x') \ 1 + p) + b \rangle$  and
    x:  $\langle x' \notin \text{vars } q \rangle \langle x' \notin \text{vars } b \rangle \langle x' \notin \text{vars } p \rangle$ 
  shows
     $\langle A = 0 \rangle$  and
     $\langle q = b \rangle$ 
proof -
  let ?x =  $\langle \text{monom } (\text{monomial } (\text{Suc } 0) \ x') \ 1 \rangle$ 
  have x'[simp]:  $\langle ?x = \text{Var } x' \rangle$ 
    by (simp add: Var.abs-eq Var_0-def monom.abs-eq)
  have  $\langle \exists n \ Ax \ A'. A = ?x * Ax + A' \wedge x' \notin \text{vars } A' \wedge \text{degree } Ax \ x' = n \rangle$ 
    using polynomial-split-on-var[of A x'] by metis
  from wellorder-class.exists-least-iff[THEN iffD1, OF this] obtain Ax A' n where
    A:  $\langle A = Ax * ?x + A' \rangle$  and
    x'  $\notin \text{vars } A' \rangle$  and
    n:  $\langle \text{MPoly-Type.degree } Ax \ x' = n \rangle$  and
    H:  $\langle \bigwedge m \ Ax \ A'. m < n \longrightarrow$ 
       $A \neq Ax * \text{MPoly-Type.monom } (\text{monomial } (\text{Suc } 0) \ x') \ 1 + A' \vee$ 
       $x' \in \text{vars } A' \vee \text{MPoly-Type.degree } Ax \ x' \neq m \rangle$ 
  unfolding wellorder-class.exists-least-iff[of  $\langle \lambda n. \exists Ax \ A'. A = Ax * ?x + A' \wedge x' \notin \text{vars } A' \wedge \text{degree } Ax \ x' = n \rangle$ ]
  by (auto simp: field-simps)

  have  $\langle q = (A + Ax * p) * \text{monom } (\text{monomial } (\text{Suc } 0) \ x') \ 1 + (p * A' + b) \rangle$ 
    unfolding q A by (auto simp: field-simps)
  moreover have  $\langle x' \notin \text{vars } q \rangle \langle x' \notin \text{vars } (p * A' + b) \rangle$ 
    using x  $\langle x' \notin \text{vars } A' \rangle$  apply (auto elim!: )
    by (smt UnE add.assoc add.commute calculation subset-iff vars-in-right-only vars-mult)
  ultimately have  $\langle A + Ax * p = 0 \rangle \langle q = p * A' + b \rangle$ 

```

```

by (rule polynomial-decomp-alien-var)+

have A':  $\langle A' = -Ax * ?x - Ax * p \rangle$ 
  using  $\langle A + Ax * p = 0 \rangle$  unfolding A
by (metis (no-types, lifting) add-uminus-conv-diff eq-neg-iff-add-eq-0 minus-add-cancel mult-minus-left)

have  $\langle A = - (Ax * p) \rangle$ 
  using A unfolding A'
  apply auto
  done

obtain Axx Ax' where
  Ax:  $\langle Ax = ?x * Axx + Ax' \rangle$  and
   $\langle x' \notin \text{vars } Ax' \rangle$ 
  using polynomial-split-on-var[of Ax x'] by metis

have  $\langle A = ?x * (- Axx * p) + (- Ax' * p) \rangle$ 
  unfolding  $\langle A = - (Ax * p) \rangle$  Ax
  by (auto simp: field-simps)

moreover have  $\langle x' \notin \text{vars } (-Ax' * p) \rangle$ 
  using  $\langle x' \notin \text{vars } Ax' \rangle$  by (metis (no-types, hide-lams) UnE add.right-neutral
    add-minus-cancel assms(4) subsetD vars-in-right-only vars-mult)
moreover have  $\langle Axx \neq 0 \implies \text{MPoly-Type.degree } (- Axx * p) \ x' < \text{degree } Ax \ x' \rangle$ 
  using degree-times-le[of Axx p x'] x
  by (auto simp: Ax degree-sum-notin  $\langle x' \notin \text{vars } Ax' \rangle$  degree-mult-Var'
    degree-notin-vars)
ultimately have [simp]:  $\langle Axx = 0 \rangle$ 
  using H[of  $\langle \text{MPoly-Type.degree } (- Axx * p) \ x' \rangle \langle - Axx * p \rangle \langle - Ax' * p \rangle$ ]
  by (auto simp: n)
then have [simp]:  $\langle Ax' = Ax \rangle$ 
  using Ax by auto

show  $\langle A = 0 \rangle$ 
  using A  $\langle A = - (Ax * p) \rangle$   $\langle x' \notin \text{vars } (- Ax' * p) \rangle$   $\langle x' \notin \text{vars } Ax' \rangle$  polynomial-decomp-alien-var(1)
by force
  then show  $\langle q = b \rangle$ 
    using q by auto
qed

lemma vars-unE:  $\langle x \in \text{vars } (a * b) \implies (x \in \text{vars } a \implies \text{thesis}) \implies (x \in \text{vars } b \implies \text{thesis}) \implies \text{thesis} \rangle$ 
  using vars-mult[of a b] by auto

lemma in-keys-minusI1:
  assumes  $t \in \text{keys } p$  and  $t \notin \text{keys } q$ 
  shows  $t \in \text{keys } (p - q)$ 
  using assms unfolding in-keys-iff lookup-minus by simp

lemma in-keys-minusI2:
  fixes  $t :: \langle 'a \rangle$  and  $q :: \langle 'a \Rightarrow_0 'b :: \{ \text{cancel-comm-monoid-add, group-add} \} \rangle$ 
  assumes  $t \in \text{keys } q$  and  $t \notin \text{keys } p$ 
  shows  $t \in \text{keys } (p - q)$ 
  using assms unfolding in-keys-iff lookup-minus by simp

```

**lemma** *in-vars-addE*:

$\langle x \in \text{vars } (p + q) \implies (x \in \text{vars } p \implies \text{thesis}) \implies (x \in \text{vars } q \implies \text{thesis}) \implies \text{thesis} \rangle$   
**by** (*meson UnE in-mono vars-add*)

**lemma** *lookup-monomial-If*:

$\langle \text{lookup } (\text{monomial } v \ k) = (\lambda k'. \text{ if } k = k' \text{ then } v \text{ else } 0) \rangle$   
**by** (*intro ext*)  
*(auto simp: lookup-single-not-eq lookup-single-eq intro!: ext)*

**lemma** *vars-mult-Var*:

$\langle \text{vars } (\text{Var } x * p) = (\text{if } p = 0 \text{ then } \{\} \text{ else insert } x \ (\text{vars } p)) \rangle$  **for**  $p :: \langle \text{int mpoly} \rangle$   
**apply** (*auto simp: vars-def times-mpoly.rep-eq Var.rep-eq elim!: in-keys-timesE*)  
**apply** (*metis add.right-neutral in-keys-iff lookup-add lookup-single-not-eq*)  
**apply** (*auto simp: keys-def lookup-times-monomial-left Var.rep-eq Var<sub>0</sub>-def adds-def*)  
**apply** (*metis (no-types, hide-lams) One-nat-def ab-semigroup-add-class.add.commute add-diff-cancel-right' aux lookup-add lookup-single-eq mapping-of-inject neq0-conv one-neq-zero plus-eq-zero-2 zero-mpoly.rep-eq*)  
**by** (*metis ab-semigroup-add-class.add.commute add-diff-cancel-left' add-less-same-cancel1 lookup-add neq0-conv not-less0*)

**lemma** *keys-mult-monomial*:

$\langle \text{keys } (\text{monomial } (n :: \text{int}) \ k * \text{ mapping-of } a) = (\text{if } n = 0 \text{ then } \{\} \text{ else } ((+) \ k) \ ' \text{ keys } (\text{mapping-of } a)) \rangle$

**proof** –

**have** [*simp*]:  $\langle (\sum aa. (\text{if } k = aa \text{ then } n \text{ else } 0) * (\sum q. \text{lookup } (\text{mapping-of } a) \ q \text{ when } k + xa = aa + q)) = (\sum aa. (\text{if } k = aa \text{ then } n * (\sum q. \text{lookup } (\text{mapping-of } a) \ q \text{ when } k + xa = aa + q) \text{ else } 0)) \rangle$   
**for**  $xa$   
**by** (*smt Sum-any.cong mult-not-zero*)

**show** *?thesis*

**apply** (*auto simp: vars-def times-mpoly.rep-eq Const.rep-eq times-poly-mapping.rep-eq Const<sub>0</sub>-def elim!: in-keys-timesE split: if-splits*)

**apply** (*auto simp: lookup-monomial-If prod-fun-def keys-def times-poly-mapping.rep-eq*)

**done**

**qed**

**lemma** *vars-mult-Const*:

$\langle \text{vars } (\text{Const } n * a) = (\text{if } n = 0 \text{ then } \{\} \text{ else vars } a) \rangle$  **for**  $a :: \langle \text{int mpoly} \rangle$   
**by** (*auto simp: vars-def times-mpoly.rep-eq Const.rep-eq keys-mult-monomial Const<sub>0</sub>-def elim!: in-keys-timesE split: if-splits*)

**lemma** *coeff-minus*:  $\text{coeff } p \ m - \text{coeff } q \ m = \text{coeff } (p - q) \ m$

**by** (*simp add: coeff-def lookup-minus minus-mpoly.rep-eq*)

**lemma** *Const-1-eq-1*:  $\langle \text{Const } (1 :: \text{int}) = (1 :: \text{int mpoly}) \rangle$

**by** (*simp add: Const.abs-eq Const<sub>0</sub>-one one-mpoly.abs-eq*)

**lemma** [*simp*]:

$\langle \text{vars } (1 :: \text{int mpoly}) = \{\} \rangle$

**by** (*auto simp: vars-def one-mpoly.rep-eq Const-1-eq-1*)

## 2.2 More Ideals

**lemma**

```
fixes A :: ((('x  $\Rightarrow_0$  nat)  $\Rightarrow_0$  'a::comm-ring-1) set)
assumes <p  $\in$  ideal A>
shows <p * q  $\in$  ideal A>
by (metis assms ideal.span-scale semiring-normalization-rules(7))
```

The following theorem is very close to *More-Modules.ideal* (*insert* ?a ?S) = {x.  $\exists k. x - k * ?a \in \text{More-Modules.ideal } ?S$ }, except that it is more useful if we need to take an element of *More-Modules.ideal* (*insert* a S).

**lemma** *ideal-insert'*:

```
<More-Modules.ideal (insert a S) = {y.  $\exists x k. y = x + k * a \wedge x \in \text{More-Modules.ideal } S$ }>
  apply (auto simp: ideal.span-insert
    intro: exI[of - <- k * a>])
  apply (rule-tac x = <x - k * a> in exI)
  apply auto
  apply (rule-tac x = <k> in exI)
  apply auto
done
```

**lemma** *ideal-mult-right-in*:

```
<a  $\in$  ideal A  $\implies$  a * b  $\in$  More-Modules.ideal A>
by (metis ideal.span-scale mult.commute)
```

**lemma** *ideal-mult-right-in2*:

```
<a  $\in$  ideal A  $\implies$  b * a  $\in$  More-Modules.ideal A>
by (metis ideal.span-scale)
```

**lemma** [*simp*]: <vars (Var x :: 'a :: {zero-neq-one} mpoly) = {x}>

```
by (auto simp: vars-def Var.rep-eq Var_0-def)
```

**lemma** *vars-minus-Var-subset*:

```
<vars (p' - Var x :: 'a :: {ab-group-add,one,zero-neq-one} mpoly)  $\subseteq$  V  $\implies$  vars p'  $\subseteq$  insert x V>
  using vars-add[of <p' - Var x> <Var x>]
  by auto
```

**lemma** *vars-add-Var-subset*:

```
<vars (p' + Var x :: 'a :: {ab-group-add,one,zero-neq-one} mpoly)  $\subseteq$  V  $\implies$  vars p'  $\subseteq$  insert x V>
  using vars-add[of <p' + Var x> <- Var x>]
  by auto
```

**lemma** *coeff-monomila-in-varsD*:

```
<coeff p (monomial (Suc 0) x)  $\neq$  0  $\implies$  x  $\in$  vars (p :: int mpoly)>
  by (auto simp: coeff-def vars-def keys-def
    intro!: exI[of - <monomial (Suc 0) x>])
```

**lemma** (in -) *coeff-MPoly-monomila*[*simp*]:

```
<Const (MPoly-Type.coeff (MPoly (monomial a m)) m) = Const a>
  by (metis MPoly-Type.coeff-def lookup-single-eq monom.abs-eq monom.rep-eq)
```

**end**

**theory** *PAC-Specification*

```
imports PAC-More-Poly
```

begin

### 3 Specification of the PAC checker

#### 3.1 Ideals

**type-synonym** *int-poly* =  $\langle \text{int mpol} \rangle$

**definition** *polynomial-bool* ::  $\langle \text{int-poly set} \rangle$  **where**  
 $\langle \text{polynomial-bool} = (\lambda c. \text{Var } c \wedge 2 - \text{Var } c) \text{ ' UNIV} \rangle$

**definition** *pac-ideal* **where**  
 $\langle \text{pac-ideal } A \equiv \text{ideal } (A \cup \text{polynomial-bool}) \rangle$

**lemma** *X2-X-in-pac-ideal*:  
 $\langle \text{Var } c \wedge 2 - \text{Var } c \in \text{pac-ideal } A \rangle$   
**unfolding** *polynomial-bool-def pac-ideal-def*  
**by** (*auto intro: ideal.span-base*)

**lemma** *pac-idealI1*[*intro*]:  
 $\langle p \in A \implies p \in \text{pac-ideal } A \rangle$   
**unfolding** *pac-ideal-def*  
**by** (*auto intro: ideal.span-base*)

**lemma** *pac-idealI2*[*intro*]:  
 $\langle p \in \text{ideal } A \implies p \in \text{pac-ideal } A \rangle$   
**using** *ideal.span-subspace-induct pac-ideal-def* **by** *blast*

**lemma** *pac-idealI3*[*intro*]:  
 $\langle p \in \text{ideal } A \implies p * q \in \text{pac-ideal } A \rangle$   
**by** (*metis ideal.span-scale mult.commute pac-idealI2*)

**lemma** *pac-ideal-Xsq2-iff*:  
 $\langle \text{Var } c \wedge 2 \in \text{pac-ideal } A \iff \text{Var } c \in \text{pac-ideal } A \rangle$   
**unfolding** *pac-ideal-def*  
**apply** (*subst (2) ideal.span-add-eq[symmetric, OF X2-X-in-pac-ideal[of c, unfolded pac-ideal-def]]*)  
**apply** *auto*  
**done**

**lemma** *diff-in-polynomial-bool-pac-idealI*:  
**assumes** *a1*:  $p \in \text{pac-ideal } A$   
**assumes** *a2*:  $p - p' \in \text{More-Modules.ideal polynomial-bool}$   
**shows**  $\langle p' \in \text{pac-ideal } A \rangle$   
**proof** –  
**have** *insert p polynomial-bool*  $\subseteq$  *pac-ideal A*  
**using** *a1 unfolding pac-ideal-def* **by** (*meson ideal.span-superset insert-subset le-sup-iff*)  
**then show** *?thesis*  
**using** *a2 unfolding pac-ideal-def* **by** (*metis (no-types) ideal.eq-span-insert-eq ideal.span-subset-spanI ideal.span-superset insert-subset subsetD*)  
**qed**

**lemma** *diff-in-polynomial-bool-pac-idealI2*:  
**assumes** *a1*:  $p \in A$   
**assumes** *a2*:  $p - p' \in \text{More-Modules.ideal polynomial-bool}$   
**shows**  $\langle p' \in \text{pac-ideal } A \rangle$   
**using** *diff-in-polynomial-bool-pac-idealI*[*OF - assms(2), of A*] *assms(1)*

**by** (*auto simp: ideal.span-base*)

**lemma** *pac-ideal-alt-def*:

$\langle \text{pac-ideal } A = \text{ideal } (A \cup \text{ideal polynomial-bool}) \rangle$

**unfolding** *pac-ideal-def*

**by** (*meson ideal.span-eq ideal.span-mono ideal.span-superset le-sup-iff subset-trans sup-ge2*)

The equality on ideals is restricted to polynomials whose variable appear in the set of ideals.  
The function restrict sets:

**definition** *restricted-ideal-to* **where**

$\langle \text{restricted-ideal-to } B \ A = \{p \in A. \text{vars } p \subseteq B\} \rangle$

**abbreviation** *restricted-ideal-to<sub>I</sub>* **where**

$\langle \text{restricted-ideal-to}_I \ B \ A \equiv \text{restricted-ideal-to } B \ (\text{pac-ideal } (\text{set-mset } A)) \rangle$

**abbreviation** *restricted-ideal-to<sub>V</sub>* **where**

$\langle \text{restricted-ideal-to}_V \ B \equiv \text{restricted-ideal-to } (\bigcup (\text{vars } \text{'set-mset } B)) \rangle$

**abbreviation** *restricted-ideal-to<sub>V I</sub>* **where**

$\langle \text{restricted-ideal-to}_{V I} \ B \ A \equiv \text{restricted-ideal-to } (\bigcup (\text{vars } \text{'set-mset } B)) \ (\text{pac-ideal } (\text{set-mset } A)) \rangle$

**lemma** *restricted-idealI*:

$\langle p \in \text{pac-ideal } (\text{set-mset } A) \implies \text{vars } p \subseteq C \implies p \in \text{restricted-ideal-to}_I \ C \ A \rangle$

**unfolding** *restricted-ideal-to-def*

**by** *auto*

**lemma** *pac-ideal-insert-already-in*:

$\langle pq \in \text{pac-ideal } (\text{set-mset } A) \implies \text{pac-ideal } (\text{insert } pq \ (\text{set-mset } A)) = \text{pac-ideal } (\text{set-mset } A) \rangle$

**by** (*auto simp: pac-ideal-alt-def ideal.span-insert-idI*)

**lemma** *pac-ideal-add*:

$\langle p \in \# A \implies q \in \# A \implies p + q \in \text{pac-ideal } (\text{set-mset } A) \rangle$

**by** (*simp add: ideal.span-add ideal.span-base pac-ideal-def*)

**lemma** *pac-ideal-mult*:

$\langle p \in \# A \implies p * q \in \text{pac-ideal } (\text{set-mset } A) \rangle$

**by** (*simp add: ideal.span-base pac-idealI3*)

**lemma** *pac-ideal-mono*:

$\langle A \subseteq B \implies \text{pac-ideal } A \subseteq \text{pac-ideal } B \rangle$

**using** *ideal.span-mono[of  $\langle A \cup \cdot \rangle \langle B \cup \cdot \rangle$ ]*

**by** (*auto simp: pac-ideal-def intro: ideal.span-mono*)

### 3.2 PAC Format

The PAC format contains three kind of steps:

- add that adds up two polynomials that are known.
- mult that multiply a known polynomial with another one.
- del that removes a polynomial that cannot be reused anymore.

To model the simplification that happens, we add the  $p - p' \in \text{polynomial-bool}$  stating that  $p$  and  $p'$  are equivalent.

**type-synonym** *pac-st* =  $\langle (\text{nat set} \times \text{int-poly multiset}) \rangle$

**inductive** *PAC-Format* ::  $\langle \text{pac-st} \Rightarrow \text{pac-st} \Rightarrow \text{bool} \rangle$  **where**

*add*:

$\langle \text{PAC-Format } (\mathcal{V}, A) (\mathcal{V}, \text{add-mset } p' A) \rangle$

**if**

$\langle p \in \# A \rangle \langle q \in \# A \rangle$   
 $\langle p+q - p' \in \text{ideal polynomial-bool} \rangle$   
 $\langle \text{vars } p' \subseteq \mathcal{V} \rangle \mid$

*mult*:

$\langle \text{PAC-Format } (\mathcal{V}, A) (\mathcal{V}, \text{add-mset } p' A) \rangle$

**if**

$\langle p \in \# A \rangle$   
 $\langle p*q - p' \in \text{ideal polynomial-bool} \rangle$   
 $\langle \text{vars } p' \subseteq \mathcal{V} \rangle$   
 $\langle \text{vars } q \subseteq \mathcal{V} \rangle \mid$

*del*:

$\langle p \in \# A \implies \text{PAC-Format } (\mathcal{V}, A) (\mathcal{V}, A - \{\#p\# \}) \rangle \mid$

*extend-pos*:

$\langle \text{PAC-Format } (\mathcal{V}, A) (\mathcal{V} \cup \{x' \in \text{vars } (-\text{Var } x + p'). x' \notin \mathcal{V}\}, \text{add-mset } (-\text{Var } x + p') A) \rangle$

**if**

$\langle (p')^2 - p' \in \text{ideal polynomial-bool} \rangle$   
 $\langle \text{vars } p' \subseteq \mathcal{V} \rangle$   
 $\langle x \notin \mathcal{V} \rangle$

In the PAC format above, we have a technical condition on the normalisation:  $\text{vars } p' \subseteq \text{vars } (p + q)$  is here to ensure that we don't normalise  $0$  to  $(\text{Var } x)^2 - \text{Var } x$  for a new variable  $x$ . This is completely obvious for the normalisation processe we have in mind when we write the specification, but we must add it explicetely because we are too general.

**lemmas** *PAC-Format-induct-split* =

*PAC-Format.induct[split-format(complete), of V A V' A' for V A V' A']*

**lemma** *PAC-Format-induct[consumes 1, case-names add mult del ext]*:

**assumes**

$\langle \text{PAC-Format } (\mathcal{V}, A) (\mathcal{V}', A') \rangle$  **and**

*cases*:

$\langle \bigwedge p q p' A \mathcal{V}. p \in \# A \implies q \in \# A \implies p+q - p' \in \text{ideal polynomial-bool} \implies \text{vars } p' \subseteq \mathcal{V} \implies P \mathcal{V} A \mathcal{V} (\text{add-mset } p' A) \rangle$

$\langle \bigwedge p q p' A \mathcal{V}. p \in \# A \implies p*q - p' \in \text{ideal polynomial-bool} \implies \text{vars } p' \subseteq \mathcal{V} \implies \text{vars } q \subseteq \mathcal{V} \implies P \mathcal{V} A \mathcal{V} (\text{add-mset } p' A) \rangle$

$\langle \bigwedge p A \mathcal{V}. p \in \# A \implies P \mathcal{V} A \mathcal{V} (A - \{\#p\# \}) \rangle$

$\langle \bigwedge p' x r.$

$(p')^2 - p' \in \text{ideal polynomial-bool} \implies \text{vars } p' \subseteq \mathcal{V} \implies$

$x \notin \mathcal{V} \implies P \mathcal{V} A (\mathcal{V} \cup \{x' \in \text{vars } (p' - \text{Var } x). x' \notin \mathcal{V}\}) (\text{add-mset } (p' - \text{Var } x) A) \rangle$

**shows**

$\langle P \mathcal{V} A \mathcal{V}' A' \rangle$

**using** *assms(1)* **apply** –

**by** (*induct V $\equiv$ V A $\equiv$ A V' A' rule: PAC-Format-induct-split*)

(*auto intro: assms(1) cases*)

The theorem below (based on the proof ideal by Manuel Kauers) is the correctness theorem of extensions. Remark that the assumption  $\text{vars } q \subseteq \mathcal{V}$  is only used to show that  $x' \notin \text{vars } q$ .

**lemma** *extensions-are-safe*:

**assumes**  $\langle x' \in \text{vars } p \rangle$  **and**

$x': \langle x' \notin \mathcal{V} \rangle$  **and**



```

  ⟨ $\bigcup$  (vars ‘set-mset A)  $\subseteq \mathcal{V}$ ⟩ and
  p-x-coeff: ⟨coeff p (monomial (Suc 0) x') = 1⟩ and
  vars-q: ⟨vars q  $\subseteq \mathcal{V}$ ⟩ and
  q: ⟨q ∈ More-Modules.ideal (insert p (set-mset A ∪ polynomial-bool))⟩ and
  leading: ⟨x'  $\notin$  vars (p - Var x')⟩ and
  diff: ⟨(Var x' - p)2 - (Var x' - p) ∈ More-Modules.ideal polynomial-bool⟩
shows
  ⟨q ∈ More-Modules.ideal (set-mset A ∪ polynomial-bool)⟩
proof -
  define p' where ⟨p'  $\equiv$  p - Var x'⟩
  let ?v = ⟨Var x' :: int mpoly⟩
  have p-p': ⟨p = ?v + p'⟩
    by (auto simp: p'-def)
  define q' where ⟨q'  $\equiv$  Var x' - p⟩
  have q-q': ⟨p = ?v - q'⟩
    by (auto simp: q'-def)
  have diff: ⟨q'^2 - q' ∈ More-Modules.ideal polynomial-bool⟩
    using diff unfolding q-q' by auto

  have [simp]: ⟨vars ((Var c)2 - Var c :: int mpoly) = {c}⟩ for c
  apply (auto simp: vars-def Var-def Var0-def mpoly.MPoly-inverse keys-def lookup-minus-fun
    lookup-times-monomial-right single.rep-eq split: if-splits)
  apply (auto simp: vars-def Var-def Var0-def mpoly.MPoly-inverse keys-def lookup-minus-fun
    lookup-times-monomial-right single.rep-eq when-def ac-simps adds-def lookup-plus-fun
    power2-eq-square times-mpoly.rep-eq minus-mpoly.rep-eq split: if-splits)
  apply (rule-tac x = ⟨(2 :: nat  $\Rightarrow_0$  nat) * monomial (Suc 0) c⟩ in exI)
  apply (auto dest: monomial-0D simp: plus-eq-zero-2 lookup-plus-fun mult-2)
  by (meson Suc-neq-Zero monomial-0D plus-eq-zero-2)

  have eq: ⟨More-Modules.ideal (insert p (set-mset A ∪ polynomial-bool)) =
    More-Modules.ideal (insert p (set-mset A ∪ (λc. Var c ^ 2 - Var c) ‘ {c. c ≠ x'}))⟩
    (is ⟨?A = ?B⟩ is ⟨- = More-Modules.ideal ?trimmed⟩)
  proof -
    let ?C = ⟨insert p (set-mset A ∪ (λc. Var c ^ 2 - Var c) ‘ {c. c ≠ x'})⟩
    let ?D = ⟨(λc. Var c ^ 2 - Var c) ‘ {c. c ≠ x'}⟩
    have diff: ⟨q'^2 - q' ∈ More-Modules.ideal ?D⟩ (is ⟨?q ∈ -⟩)
    proof -
      obtain r t where
        q: ⟨?q = (∑ a ∈ t. r a * a)⟩ and
        fin-t: ⟨finite t⟩ and
        t: ⟨t  $\subseteq$  polynomial-bool⟩
      using diff unfolding ideal.span-explicit
      by auto
      show ?thesis
    proof (cases ⟨?v^2 - ?v  $\notin$  t⟩)
      case True
      then show ⟨?thesis⟩
        using q fin-t t unfolding ideal.span-explicit
        by (auto intro!: exI[of - ⟨t - {?v^2 - ?v}⟩] exI[of - r]
          simp: polynomial-bool-def sum-diff1)
      next
      case False
      define t' where ⟨t' = t - {?v^2 - ?v}⟩
      have t-t': ⟨t = insert (?v^2 - ?v) t'⟩ and

```

```

    notin:  $\langle ?v \wedge 2 - ?v \notin t' \rangle$  and
     $\langle t' \subseteq (\lambda c. \text{Var } c \wedge 2 - \text{Var } c) \text{ ' } \{c. c \neq x'\} \rangle$ 
    using False t unfolding t'-def polynomial-bool-def by auto
  have mon:  $\langle \text{monom } (\text{monomial } (\text{Suc } 0) x') 1 = \text{Var } x' \rangle$ 
  by (auto simp: coeff-def minus-mpoly.rep-eq Var-def Var0-def monom-def
    times-mpoly.rep-eq lookup-minus lookup-times-monomial-right mpoly.MPoly-inverse)
  then have  $\langle \forall a. \exists g h. r a = ?v * g + h \wedge x' \notin \text{vars } h \rangle$ 
  using polynomial-split-on-var[of  $\langle r \rightarrow x' \rangle$ ]
  by metis
  then obtain g h where
    r:  $\langle r a = ?v * g a + h a \rangle$  and
    x'-h:  $\langle x' \notin \text{vars } (h a) \rangle$  for a
  using polynomial-split-on-var[of  $\langle r a \rangle x' \rangle$ ]
  by metis
  have  $\langle ?q = ((\sum a \in t'. g a * a) + r (?v \wedge 2 - ?v) * (?v - 1)) * ?v + (\sum a \in t'. h a * a) \rangle$ 
  using fin-t notin unfolding t-t' q r
  by (auto simp: field-simps comm-monoid-add-class.sum.distrib
    power2-eq-square ideal.scale-left-commute sum-distrib-left)
  moreover have  $\langle x' \notin \text{vars } ?q \rangle$ 
  by (metis (no-types, hide-lams) Groups.add-ac(2) Un-iff add-diff-cancel-left'
    diff-minus-eq-add in-mono leading q'-def semiring-normalization-rules(29)
    vars-in-right-only vars-mult)
  moreover {
    have  $\langle x' \notin (\bigcup m \in t' - \{?v \wedge 2 - ?v\}. \text{vars } (h m * m)) \rangle$ 
    using fin-t x'-h vars-mult[of  $\langle h \rightarrow \rangle$ ]  $\langle t \subseteq \text{polynomial-bool} \rangle$ 
    by (auto simp: polynomial-bool-def t-t' elim!: vars-unE)
    then have  $\langle x' \notin \text{vars } (\sum a \in t'. h a * a) \rangle$ 
    using vars-setsum[of  $\langle t' \rangle \langle \lambda a. h a * a \rangle$ ] fin-t x'-h t notin
    by (auto simp: t-t')
  }
  ultimately have  $\langle ?q = (\sum a \in t'. h a * a) \rangle$ 
  unfolding mon[symmetric]
  by (rule polynomial-decomp-alien-var(2)[unfolded])
  then show ?thesis
  using t fin-t  $\langle t' \subseteq (\lambda c. \text{Var } c \wedge 2 - \text{Var } c) \text{ ' } \{c. c \neq x'\} \rangle$ 
  unfolding ideal.span-explicit t-t'
  by auto
qed
qed
have eq1:  $\langle \text{More-Modules.ideal } (\text{insert } p (\text{set-mset } A \cup \text{polynomial-bool})) = \text{More-Modules.ideal } (\text{insert } (?v \wedge 2 - ?v) ?C) \rangle$ 
(is  $\langle \text{More-Modules.ideal } - = \text{More-Modules.ideal } (\text{insert } - ?C) \rangle$ )
by (rule arg-cong[of - - More-Modules.ideal])
(auto simp: polynomial-bool-def)
moreover have  $\langle ?v \wedge 2 - ?v \in \text{More-Modules.ideal } ?C \rangle$ 
proof -
  have  $\langle ?v - q' \in \text{More-Modules.ideal } ?C \rangle$ 
  by (auto simp: q-q' ideal.span-base)
  from ideal.span-scale[OF this, of  $\langle ?v + q' - 1 \rangle$ ] have  $\langle (?v - q') * (?v + q' - 1) \in \text{More-Modules.ideal } ?C \rangle$ 
  by (auto simp: field-simps)
  moreover have  $\langle q' \wedge 2 - q' \in \text{More-Modules.ideal } ?C \rangle$ 
  using diff by (smt Un-insert-right ideal.span-mono insert-subset subsetD sup-ge2)
  ultimately have  $\langle (?v - q') * (?v + q' - 1) + (q' \wedge 2 - q') \in \text{More-Modules.ideal } ?C \rangle$ 
  by (rule ideal.span-add)

```

```

    moreover have  $\langle ?v^2 - ?v = (?v - q') * (?v + q' - 1) + (q'^2 - q') \rangle$ 
      by (auto simp: p'-def q-q' field-simps power2-eq-square)
    ultimately show  $?thesis$  by simp
qed
ultimately show  $?thesis$ 
  using ideal.span-insert-idI by blast
qed

have  $\langle n < m \implies n > 0 \implies \exists q. ?v^n = ?v + q * (?v^2 - ?v) \rangle$  for  $n\ m :: nat$ 
proof (induction m arbitrary: n)
  case 0
  then show  $?case$  by auto
next
  case (Suc m n) note IH = this(1-)
  consider
     $\langle n < m \rangle \mid$ 
     $\langle m = n \rangle \langle n > 1 \rangle \mid$ 
     $\langle n = 1 \rangle$ 
  using IH
  by (cases  $\langle n < m \rangle$ ; cases  $n$ ) auto
then show  $?case$ 
proof cases
  case 1
  then show  $?thesis$  using IH by auto
next
  case 2
  have eq:  $\langle ?v^n = ((?v :: int\ mpoly) ^ (n-2)) * (?v^2 - ?v) + ?v^{(n-1)} \rangle$ 
    using 2 by (auto simp: field-simps power-eq-if
      ideal.scale-right-diff-distrib)
  obtain q where
     $q: \langle ?v^{(n-1)} = ?v + q * (?v^2 - ?v) \rangle$ 
    using IH(1)[of  $\langle n-1 \rangle$ ] 2
    by auto
  show  $?thesis$ 
    using q unfolding eq
    by (auto intro!: exI[of -  $\langle Var\ x' ^ (n-2) + q \rangle$ ] simp: distrib-right)
next
  case 3
  then show  $\langle ?thesis \rangle$ 
    by auto
qed
qed

obtain r t where
   $q: \langle q = (\sum a \in t. r\ a * a) \rangle$  and
  fin-t:  $\langle finite\ t \rangle$  and
  t:  $\langle t \subseteq ?trimmed \rangle$ 
  using q unfolding eq unfolding ideal.span-explicit
  by auto

define t' where  $\langle t' \equiv t - \{p\} \rangle$ 
have t':  $\langle t = (if\ p \in t\ then\ insert\ p\ t'\ else\ t') \rangle$  and
  t''[simp]:  $\langle p \notin t' \rangle$ 
  unfolding t'-def by auto

```

```

show ?thesis
proof (cases ⟨r p = 0 ∨ p ∉ t⟩)
  case True
  have
    q: ⟨q = (∑ a∈t'. r a * a)⟩ and
    fin-t: ⟨finite t'⟩ and
    t: ⟨t' ⊆ set-mset A ∪ polynomial-bool⟩
  using q fin-t t True t''
  apply (subst (asm) t')
  apply (auto intro: sum.cong simp: sum.insert-remove t'-def)
  using q fin-t t True t''
  apply (auto intro: sum.cong simp: sum.insert-remove t'-def polynomial-bool-def)
  done
  then show ?thesis
  by (auto simp: ideal.span-explicit)
next
  case False
  then have ⟨r p ≠ 0⟩ and ⟨p ∈ t⟩
  by auto
  then have t: ⟨t = insert p t'⟩
  by (auto simp: t'-def)

  have ⟨x' ∉ vars (- p')⟩
  using leading p'-def vars-in-right-only by fastforce
  have mon: ⟨monom (monomial (Suc 0) x') 1 = Var x'⟩
  by (auto simp: coeff-def minus-mpoly.rep-eq Var-def Var0-def monom-def
    times-mpoly.rep-eq lookup-minus lookup-times-monomial-right mpoly.MPoly-inverse)
  then have ⟨∀ a. ∃ g h. r a = (?v + p') * g + h ∧ x' ∉ vars h⟩
  using polynomial-split-on-var2[of x' ⟨-p'⟩ ⟨r -⟩] ⟨x' ∉ vars (- p')⟩
  by (metis diff-minus-eq-add)
  then obtain g h where
    r: ⟨r a = p * g a + h a⟩ and
    x'-h: ⟨x' ∉ vars (h a)⟩ for a
  using polynomial-split-on-var2[of x' p' ⟨r a⟩] unfolding p-p'[symmetric]
  by metis

  have ISABLE-come-on: ⟨a * (p * g a) = p * (a * g a)⟩ for a
  by auto
  have q1: ⟨q = p * (∑ a∈t'. g a * a) + (∑ a∈t'. h a * a) + p * r p⟩
  (is ⟨- = - + ?NOx' + -⟩)
  using fin-t t'' unfolding q t ISABLE-come-on r
  apply (subst semiring-class.distrib-right)+
  apply (auto simp: comm-monoid-add-class.sum.distrib semigroup-mult-class.mult.assoc
    ISABLE-come-on simp flip: semiring-0-class.sum-distrib-right
    semiring-0-class.sum-distrib-left)
  by (auto simp: field-simps)
  also have ⟨... = ((∑ a∈t'. g a * a) + r p) * p + (∑ a∈t'. h a * a)⟩
  by (auto simp: field-simps)
  finally have q-decomp: ⟨q = ((∑ a∈t'. g a * a) + r p) * p + (∑ a∈t'. h a * a)⟩
  (is ⟨q = ?X * p + ?NOx'⟩).

  have [iff]: ⟨monomial (Suc 0) c = 0 - monomial (Suc 0) c = False⟩ for c
  by (metis One-nat-def diff-is-0-eq' le-eq-less-or-eq less-Suc-eq-le monomial-0-iff single-diff zero-neq-one)

```

```

have  $\langle x \in t' \implies x' \in \text{vars } x \implies \text{False} \rangle$  for  $x$ 
  using  $\langle t \subseteq ?\text{trimmed} \rangle$   $t$  assms(2,3)
  apply (auto simp: polynomial-bool-def dest!: multi-member-split)
  apply (frule set-rev-mp)
  apply assumption
  apply (auto dest!: multi-member-split)
  done
then have  $\langle x' \notin (\bigcup_{m \in t'. \text{vars } (h \ m \ * \ m)}) \rangle$ 
  using fin-t x'-h vars-mult[of  $\langle h \ - \rangle$ ]
  by (auto simp: t elim!: vars-unE)
then have  $\langle x' \notin \text{vars } ?NOx' \rangle$ 
  using vars-setsum[of  $\langle t' \rangle$   $\langle \lambda a. h \ a \ * \ a \rangle$ ] fin-t x'-h
  by (auto simp: t)

moreover {
  have  $\langle x' \notin \text{vars } p' \rangle$ 
    using assms(7)
    unfolding p'-def
    by auto
  then have  $\langle x' \notin \text{vars } (h \ p \ * \ p') \rangle$ 
    using vars-mult[of  $\langle h \ p \rangle$   $p'$ ] x'-h
    by auto
}
ultimately have
   $\langle x' \notin \text{vars } q \rangle$ 
   $\langle x' \notin \text{vars } ?NOx' \rangle$ 
   $\langle x' \notin \text{vars } p' \rangle$ 
  using x' vars-q vars-add[of  $\langle h \ p \ * \ p' \rangle$   $\langle \sum_{a \in t'. h \ a \ * \ a} \rangle$ ] x'-h
    leading p'-def
  by auto
then have  $\langle ?X = 0 \rangle$  and q-decomp:  $\langle q = ?NOx' \rangle$ 
  unfolding mon[symmetric] p-p'
  using polynomial-decomp-alien-var2[OF q-decomp[unfolded p-p' mon[symmetric]]]
  by auto

then have  $\langle r \ p = (\sum_{a \in t'. (- \ g \ a) \ * \ a) \rangle$ 
  (is  $\langle - = ?CL \rangle$ )
  unfolding add.assoc add-eq-0-iff equation-minus-iff
  by (auto simp: sum-negf ac-simps)

then have q2:  $\langle q = (\sum_{a \in t'. a \ * \ (r \ a - p \ * \ g \ a)) \rangle$ 
  using fin-t unfolding q
  apply (auto simp: t r q
    comm-monoid-add-class.sum.distrib[symmetric]
    sum-distrib-left
    sum-distrib-right
    left-diff-distrib
    intro!: sum.cong)
  apply (auto simp: field-simps)
  done
then show  $\langle ?thesis \rangle$ 
  using t fin-t  $\langle t \subseteq ?\text{trimmed} \rangle$  unfolding ideal.span-explicit
  by (auto intro!: exI[of - t'] exI[of -  $\langle \lambda a. r \ a - p \ * \ g \ a \rangle$ ]
    simp: field-simps polynomial-bool-def)

```

qed  
qed

**lemma** *extensions-are-safe-uminus*:

**assumes**  $\langle x' \in \text{vars } p \rangle$  **and**  
 $x'$ :  $\langle x' \notin \mathcal{V} \rangle$  **and**  
 $\langle \bigcup (\text{vars } \text{'set-mset } A) \subseteq \mathcal{V} \rangle$  **and**  
 $p$ - $x$ -*coeff*:  $\langle \text{coeff } p (\text{monomial } (\text{Suc } 0) x') = -1 \rangle$  **and**  
 $\text{vars}$ - $q$ :  $\langle \text{vars } q \subseteq \mathcal{V} \rangle$  **and**  
 $q$ :  $\langle q \in \text{More-Modules.ideal } (\text{insert } p (\text{set-mset } A \cup \text{polynomial-bool})) \rangle$  **and**  
*leading*:  $\langle x' \notin \text{vars } (p + \text{Var } x') \rangle$  **and**  
*diff*:  $\langle (\text{Var } x' + p)^2 - (\text{Var } x' + p) \in \text{More-Modules.ideal polynomial-bool} \rangle$   
**shows**  
 $\langle q \in \text{More-Modules.ideal } (\text{set-mset } A \cup \text{polynomial-bool}) \rangle$

**proof** –

**have**  $\langle q \in \text{More-Modules.ideal } (\text{insert } (- p) (\text{set-mset } A \cup \text{polynomial-bool})) \rangle$   
**by** (*metis ideal.span-breakdown-eq minus-mult-minus q*)

**then show** *?thesis*

**using** *extensions-are-safe*[*of*  $x' \langle -p \rangle \mathcal{V} A q$ ] *assms*  
**using** *vars-in-right-only* **by** *force*

qed

This is the correctness theorem of a PAC step: no polynomials are added to the ideal.

**lemma** *vars-subst-in-left-only*:

$\langle x \notin \text{vars } p \implies x \in \text{vars } (p - \text{Var } x) \rangle$  **for**  $p :: \langle \text{int mpoly} \rangle$   
**by** (*metis One-nat-def Var.abs-eq Var<sub>0</sub>-def group-eq-aux in-vars-addE monom.abs-eq mult-numeral-1 polynomial-decomp-alien-var(1) zero-neq-numeral*)

**lemma** *vars-subst-in-left-only-diff-iff*:

$\langle x \notin \text{vars } p \implies \text{vars } (p - \text{Var } x) = \text{insert } x (\text{vars } p) \rangle$  **for**  $p :: \langle \text{int mpoly} \rangle$   
**apply** (*auto simp: vars-subst-in-left-only*)  
**apply** (*metis (no-types, hide-lams) diff-0-right diff-minus-eq-add empty-iff in-vars-addE insert-iff keys-single minus-diff-eq monom-one mult.right-neutral one-neq-zero single-zero vars-monom-keys vars-mult-Var vars-uminus*)  
**by** (*metis add.inverse-inverse diff-minus-eq-add empty-iff insert-iff keys-single minus-diff-eq monom-one mult.right-neutral one-neq-zero single-zero vars-in-right-only vars-monom-keys vars-mult-Var vars-uminus*)

**lemma** *vars-subst-in-left-only-iff*:

$\langle x \notin \text{vars } p \implies \text{vars } (p + \text{Var } x) = \text{insert } x (\text{vars } p) \rangle$  **for**  $p :: \langle \text{int mpoly} \rangle$   
**using** *vars-subst-in-left-only-diff-iff*[*of*  $x \langle -p \rangle$ ]  
**by** (*metis diff-0 diff-diff-add vars-uminus*)

**lemma** *coeff-add-right-notin*:

$\langle x \notin \text{vars } p \implies \text{MPoly-Type.coeff } (\text{Var } x - p) (\text{monomial } (\text{Suc } 0) x) = 1 \rangle$   
**apply** (*auto simp flip: coeff-minus simp: not-in-vars-coeff0*)  
**by** (*simp add: MPoly-Type.coeff-def Var.rep-eq Var<sub>0</sub>-def*)

**lemma** *coeff-add-left-notin*:

$\langle x \notin \text{vars } p \implies \text{MPoly-Type.coeff } (p - \text{Var } x) (\text{monomial } (\text{Suc } 0) x) = -1 \rangle$  **for**  $p :: \langle \text{int mpoly} \rangle$   
**apply** (*auto simp flip: coeff-minus simp: not-in-vars-coeff0*)  
**by** (*simp add: MPoly-Type.coeff-def Var.rep-eq Var<sub>0</sub>-def*)

**lemma** *ideal-insert-polynomial-bool-swap*:  $\langle r - s \in \text{ideal polynomial-bool} \implies$

```

More-Modules.ideal (insert r (A ∪ polynomial-bool)) = More-Modules.ideal (insert s (A ∪ polynomial-bool))
apply auto
using ideal.eq-span-insert-eq ideal.span-mono sup-ge2 apply blast+
done

lemma PAC-Format-subset-ideal:
  ⟨PAC-Format (V, A) (V', B) ⟹ ∪(vars ' set-mset A) ⊆ V ⟹
    restricted-ideal-toI V B ⊆ restricted-ideal-toI V A ∧ V ⊆ V' ∧ ∪(vars ' set-mset B) ⊆ V'⟩
unfolding restricted-ideal-to-def
apply (induction rule:PAC-Format-induct)
subgoal for p q pq A V
  using vars-add
  by (force simp: ideal.span-add-eq ideal.span-base pac-ideal-insert-already-in[OF diff-in-polynomial-bool-pac-idealI[of
    ⟨p + q⟩ (-) pq]]
    pac-ideal-add
    intro!: diff-in-polynomial-bool-pac-idealI[of ⟨p + q⟩ (-) pq])
subgoal for p q pq
  using vars-mult[of p q]
  by (force simp: ideal.span-add-eq ideal.span-base pac-ideal-mult
    pac-ideal-insert-already-in[OF diff-in-polynomial-bool-pac-idealI[of ⟨p*q⟩ (-) pq]])
subgoal for p A
  using pac-ideal-mono[of ⟨set-mset (A - {#p#})⟩ ⟨set-mset A⟩]
  by (auto dest: in-diffD)
subgoal for p x' r'
  apply (subgoal-tac ⟨x' ∉ vars p⟩)
  using extensions-are-safe-uminus[of x' ⟨- Var x' + p⟩ V A] unfolding pac-ideal-def
  apply (auto simp: vars-subst-in-left-only coeff-add-left-notin)
  done
done

```

In general, if deletions are disallowed, then the stronger  $B = \text{pac-ideal } A$  holds.

```

lemma restricted-ideal-to-restricted-ideal-toID:
  ⟨restricted-ideal-to V (set-mset A) ⊆ restricted-ideal-toI V A⟩
  by (auto simp add: Collect-disj-eq pac-idealI1 restricted-ideal-to-def)

```

```

lemma rtranclp-PAC-Format-subset-ideal:
  ⟨rtranclp PAC-Format (V, A) (V', B) ⟹ ∪(vars ' set-mset A) ⊆ V ⟹
    restricted-ideal-toI V B ⊆ restricted-ideal-toI V A ∧ V ⊆ V' ∧ ∪(vars ' set-mset B) ⊆ V'⟩
apply (induction rule:rtranclp-induct[of PAC-Format ⟨(-, -)⟩ ⟨(-, -)⟩, split-format(complete)])
subgoal
  by (simp add: restricted-ideal-to-restricted-ideal-toID)
subgoal
  apply (drule PAC-Format-subset-ideal)
  apply simp-all
  apply auto
  by (smt Collect-mono-iff mem-Collect-eq restricted-ideal-to-def subset-trans)
done

```

**end**

```

theory Finite-Map-Multiset
imports HOL-Library.Finite-Map Duplicate-Free-Multiset
begin

```

**notation** *image-mset* (infixr ‘# 90)

## 4 Finite maps and multisets

### 4.1 Finite sets and multisets

**abbreviation** *mset-fset* ::  $\langle 'a \text{ fset} \Rightarrow 'a \text{ multiset} \rangle$  **where**  
 $\langle \text{mset-fset } N \equiv \text{mset-set } (\text{fset } N) \rangle$

**definition** *fset-mset* ::  $\langle 'a \text{ multiset} \Rightarrow 'a \text{ fset} \rangle$  **where**  
 $\langle \text{fset-mset } N \equiv \text{Abs-fset } (\text{set-mset } N) \rangle$

**lemma** *fset-mset-mset-fset*:  $\langle \text{fset-mset } (\text{mset-fset } N) = N \rangle$   
**by** (*auto simp: fset.fset-inverse fset-mset-def*)

**lemma** *mset-fset-fset-mset[simp]*:  
 $\langle \text{mset-fset } (\text{fset-mset } N) = \text{remdups-mset } N \rangle$   
**by** (*auto simp: fset.fset-inverse fset-mset-def Abs-fset-inverse remdups-mset-def*)

**lemma** *in-mset-fset-fmmember[simp]*:  $\langle x \in\# \text{mset-fset } N \longleftrightarrow x \in | N \rangle$   
**by** (*auto simp: fmmember.rep-eq*)

**lemma** *in-fset-mset-mset[simp]*:  $\langle x \in | \text{fset-mset } N \longleftrightarrow x \in\# N \rangle$   
**by** (*auto simp: fmmember.rep-eq fset-mset-def Abs-fset-inverse*)

### 4.2 Finite map and multisets

Roughly the same as *ran* and *dom*, but with duplication in the content (unlike their finite sets counterpart) while still working on finite domains (unlike a function mapping). Remark that *dom-m* (the keys) does not contain duplicates, but we keep for symmetry (and for easier use of multiset operators as in the definition of *ran-m*).

**definition** *dom-m* **where**  
 $\langle \text{dom-m } N = \text{mset-fset } (\text{fmdom } N) \rangle$

**definition** *ran-m* **where**  
 $\langle \text{ran-m } N = \text{the } \langle \# \text{fmlookup } N \text{ } \# \text{dom-m } N \rangle$

**lemma** *dom-m-fmdrop[simp]*:  $\langle \text{dom-m } (\text{fmdrop } C N) = \text{remove1-mset } C (\text{dom-m } N) \rangle$   
**unfolding** *dom-m-def*  
**by** (*cases*  $\langle C \in | \text{fmdom } N \rangle$ )  
*(auto simp: mset-set.remove fmmember.rep-eq)*

**lemma** *dom-m-fmdrop-All*:  $\langle \text{dom-m } (\text{fmdrop } C N) = \text{removeAll-mset } C (\text{dom-m } N) \rangle$   
**unfolding** *dom-m-def*  
**by** (*cases*  $\langle C \in | \text{fmdom } N \rangle$ )  
*(auto simp: mset-set.remove fmmember.rep-eq)*

**lemma** *dom-m-fmupd[simp]*:  $\langle \text{dom-m } (\text{fmupd } k C N) = \text{add-mset } k (\text{remove1-mset } k (\text{dom-m } N)) \rangle$   
**unfolding** *dom-m-def*  
**by** (*cases*  $\langle k \in | \text{fmdom } N \rangle$ )  
*(auto simp: mset-set.remove fmmember.rep-eq mset-set.insert-remove)*

**lemma** *distinct-mset-dom*:  $\langle \text{distinct-mset } (\text{dom-m } N) \rangle$



by (simp add: distinct-mset-mset-set dom-m-def)

**lemma** in-dom-m-lookup-iff:  $\langle C \in \# \text{ dom-m } N' \longleftrightarrow \text{fmlookup } N' C \neq \text{None} \rangle$   
 by (auto simp: dom-m-def fmdom.rep-eq fmlookup-dom'-iff)

**lemma** in-dom-in-ran-m[simp]:  $\langle i \in \# \text{ dom-m } N \implies \text{the } (\text{fmlookup } N i) \in \# \text{ ran-m } N \rangle$   
 by (auto simp: ran-m-def)

**lemma** fmupd-same[simp]:  
 $\langle x1 \in \# \text{ dom-m } x1aa \implies \text{fmupd } x1 (\text{the } (\text{fmlookup } x1aa x1)) x1aa = x1aa \rangle$   
 by (metis fmap-ext fmupd-lookup in-dom-m-lookup-iff option.collapse)

**lemma** ran-m-fmempty[simp]:  $\langle \text{ran-m fmempty} = \{\#\} \rangle$  and  
 $\text{dom-m-fmempty[simp]: } \langle \text{dom-m fmempty} = \{\#\} \rangle$   
 by (auto simp: ran-m-def dom-m-def)

**lemma** fmrestrict-set-fmupd:  
 $\langle a \in xs \implies \text{fmrestrict-set } xs (\text{fmupd } a C N) = \text{fmupd } a C (\text{fmrestrict-set } xs N) \rangle$   
 $\langle a \notin xs \implies \text{fmrestrict-set } xs (\text{fmupd } a C N) = \text{fmrestrict-set } xs N \rangle$   
 by (auto simp: fmfilter-alt-defs)

**lemma** fset-fmdom-fmrestrict-set:  
 $\langle \text{fset } (\text{fmdom } (\text{fmrestrict-set } xs N)) = \text{fset } (\text{fmdom } N) \cap xs \rangle$   
 by (auto simp: fmfilter-alt-defs)

**lemma** dom-m-fmrestrict-set:  $\langle \text{dom-m } (\text{fmrestrict-set } (\text{set } xs) N) = \text{mset } xs \cap \# \text{ dom-m } N \rangle$   
 using fset-fmdom-fmrestrict-set[of  $\langle \text{set } xs \rangle N$ ] distinct-mset-dom[of  $N$ ]  
 distinct-mset-inter-remdups-mset[of  $\langle \text{mset-fset } (\text{fmdom } N) \rangle \langle \text{mset } xs \rangle]$   
 by (auto simp: dom-m-def fset-mset-mset-fset finite-mset-set-inter multiset-inter-commute  
 remdups-mset-def)

**lemma** dom-m-fmrestrict-set':  $\langle \text{dom-m } (\text{fmrestrict-set } xs N) = \text{mset-set } (xs \cap \text{set-mset } (\text{dom-m } N)) \rangle$   
 using fset-fmdom-fmrestrict-set[of  $\langle xs \rangle N$ ] distinct-mset-dom[of  $N$ ]  
 by (auto simp: dom-m-def fset-mset-mset-fset finite-mset-set-inter multiset-inter-commute  
 remdups-mset-def)

**lemma** indom-mI:  $\langle \text{fmlookup } m x = \text{Some } y \implies x \in \# \text{ dom-m } m \rangle$   
 by (drule fmdomI) (auto simp: dom-m-def fmlookup.rep-eq)

**lemma** fmupd-fmdrop-id:  
 assumes  $\langle k \in \# \text{ fmdom } N' \rangle$   
 shows  $\langle \text{fmupd } k (\text{the } (\text{fmlookup } N' k)) (\text{fmdrop } k N') = N' \rangle$   
**proof** –  
 have [simp]:  $\langle \text{map-upd } k (\text{the } (\text{fmlookup } N' k))$   
 $(\lambda x. \text{if } x \neq k \text{ then } \text{fmlookup } N' x \text{ else None}) =$   
 $\text{map-upd } k (\text{the } (\text{fmlookup } N' k))$   
 $(\text{fmlookup } N') \rangle$   
 by (auto intro!: ext simp: map-upd-def)  
 have [simp]:  $\langle \text{map-upd } k (\text{the } (\text{fmlookup } N' k)) (\text{fmlookup } N') = \text{fmlookup } N' \rangle$   
 using assms  
 by (auto intro!: ext simp: map-upd-def)  
 have [simp]:  $\langle \text{finite } (\text{dom } (\lambda x. \text{if } x = k \text{ then None else } \text{fmlookup } N' x)) \rangle$   
 by (subst dom-if) auto  
 show ?thesis  
 apply (auto simp: fmupd-def fmupd.abs-eq[symmetric])

**unfolding** *fmlookup-drop*  
**apply** (*simp add: fmlookup-inverse*)  
**done**  
**qed**

**lemma** *fm-member-split*:  $\langle k \in \text{fmdom } N' \implies \exists N'' v. N' = \text{fmupd } k \ v \ N'' \wedge \text{the } (\text{fmlookup } N' \ k) = v$   
 $\wedge$   
 $k \notin \text{fmdom } N'' \rangle$   
**by** (*rule exI[of -  $\langle \text{fmdrop } k \ N' \rangle$ ]*)  
*(auto simp: fmupd-fmdrop-id)*

**lemma**  $\langle \text{fmdrop } k \ (\text{fmupd } k \ va \ N'') = \text{fmdrop } k \ N'' \rangle$   
**by** (*simp add: fmap-ext*)

**lemma** *fmap-ext-fmdom*:  
 $\langle (\text{fmdom } N = \text{fmdom } N') \implies (\bigwedge x. x \in \text{fmdom } N \implies \text{fmlookup } N \ x = \text{fmlookup } N' \ x) \implies$   
 $N = N' \rangle$   
**by** (*rule fmap-ext*)  
*(case-tac  $\langle x \in \text{fmdom } N \rangle$ , auto simp: fmdom-notD)*

**lemma** *fmrestrict-set-insert-in*:  
 $\langle xa \in \text{fset } (\text{fmdom } N) \implies$   
 $\text{fmrestrict-set } (\text{insert } xa \ l1) \ N = \text{fmupd } xa \ (\text{the } (\text{fmlookup } N \ xa)) \ (\text{fmrestrict-set } l1 \ N) \rangle$   
**apply** (*rule fmap-ext-fmdom*)  
**apply** (*auto simp: fset-fmdom-fmrestrict-set fmrestrict-set member.rep-eq notin-fset; fail*)  
**apply** (*auto simp: fmlookup-dom-iff; fail*)  
**done**

**lemma** *fmrestrict-set-insert-notin*:  
 $\langle xa \notin \text{fset } (\text{fmdom } N) \implies$   
 $\text{fmrestrict-set } (\text{insert } xa \ l1) \ N = \text{fmrestrict-set } l1 \ N \rangle$   
**by** (*rule fmap-ext-fmdom*)  
*(auto simp: fset-fmdom-fmrestrict-set fmrestrict-set member.rep-eq notin-fset)*

**lemma** *fmrestrict-set-insert-in-dom-m[simp]*:  
 $\langle xa \in \# \text{dom-m } N \implies$   
 $\text{fmrestrict-set } (\text{insert } xa \ l1) \ N = \text{fmupd } xa \ (\text{the } (\text{fmlookup } N \ xa)) \ (\text{fmrestrict-set } l1 \ N) \rangle$   
**by** (*simp add: fmrestrict-set-insert-in dom-m-def*)

**lemma** *fmrestrict-set-insert-notin-dom-m[simp]*:  
 $\langle xa \notin \# \text{dom-m } N \implies$   
 $\text{fmrestrict-set } (\text{insert } xa \ l1) \ N = \text{fmrestrict-set } l1 \ N \rangle$   
**by** (*simp add: fmrestrict-set-insert-notin dom-m-def*)

**lemma** *fmlookup-restrict-set-id*:  $\langle \text{fset } (\text{fmdom } N) \subseteq A \implies \text{fmrestrict-set } A \ N = N \rangle$   
**by** (*metis fmap-ext fmdom'-alt-def fmdom'-notD fmlookup-restrict-set subset-iff*)

**lemma** *fmlookup-restrict-set-id'*:  $\langle \text{set-mset } (\text{dom-m } N) \subseteq A \implies \text{fmrestrict-set } A \ N = N \rangle$   
**by** (*rule fmlookup-restrict-set-id*)  
*(auto simp: dom-m-def)*

**lemma** *ran-m-mapsto-upd*:  
**assumes**  
 $NC: \langle C \in \# \text{dom-m } N \rangle$   
**shows**  $\langle \text{ran-m } (\text{fmupd } C \ C' \ N) =$

$add\text{-}mset\ C'\ (remove1\text{-}mset\ (the\ (fmlookup\ N\ C))\ (ran\text{-}m\ N))\rangle$   
**proof** –  
**define**  $N'$  **where**  
 $\langle N' = fmdrop\ C\ N \rangle$   
**have**  $N\text{-}N'$ :  $\langle dom\text{-}m\ N = add\text{-}mset\ C\ (dom\text{-}m\ N') \rangle$   
**using**  $NC$  **unfolding**  $N'\text{-}def$  **by** *auto*  
**have**  $\langle C \notin\# dom\text{-}m\ N' \rangle$   
**using**  $NC$   $distinct\text{-}mset\text{-}dom[of\ N]$  **unfolding**  $N\text{-}N'$  **by** *auto*  
**then show** *?thesis*  
**by** (*auto simp: N-N' ran-m-def mset-set.insert-remove image-mset-remove1-mset-if*  
*intro!: image-mset-cong*)  
**qed**

**lemma** *ran-m-mapsto-upd-notin*:  
**assumes**  $NC$ :  $\langle C \notin\# dom\text{-}m\ N \rangle$   
**shows**  $\langle ran\text{-}m\ (fmupd\ C\ C'\ N) = add\text{-}mset\ C'\ (ran\text{-}m\ N) \rangle$   
**using**  $NC$   
**by** (*auto simp: ran-m-def mset-set.insert-remove image-mset-remove1-mset-if*  
*intro!: image-mset-cong split: if-splits*)

**lemma** *image-mset-If-eq-notin*:  
 $\langle C \notin\# A \implies \{\#f\ (if\ x = C\ then\ a\ x\ else\ b\ x).\ x \in\# A\# \} = \{\#f(b\ x). x \in\# A\#\} \rangle$   
**by** (*induction A*) *auto*

**lemma** *filter-mset-cong2*:  
 $(\bigwedge x. x \in\# M \implies f\ x = g\ x) \implies M = N \implies filter\text{-}mset\ f\ M = filter\text{-}mset\ g\ N$   
**by** (*hypsubst, rule filter-mset-cong, simp*)

**lemma** *ran-m-fmdrop*:  
 $\langle C \in\# dom\text{-}m\ N \implies ran\text{-}m\ (fmdrop\ C\ N) = remove1\text{-}mset\ (the\ (fmlookup\ N\ C))\ (ran\text{-}m\ N) \rangle$   
**using**  $distinct\text{-}mset\text{-}dom[of\ N]$   
**by** (*cases (fmlookup N C)*)  
*(auto simp: ran-m-def image-mset-If-eq-notin[of C -  $\langle \lambda x. fst\ (the\ x) \rangle]$*   
*dest!: multi-member-split*  
*intro!: filter-mset-cong2 image-mset-cong2)*

**lemma** *ran-m-fmdrop-notin*:  
 $\langle C \notin\# dom\text{-}m\ N \implies ran\text{-}m\ (fmdrop\ C\ N) = ran\text{-}m\ N \rangle$   
**using**  $distinct\text{-}mset\text{-}dom[of\ N]$   
**by** (*auto simp: ran-m-def image-mset-If-eq-notin[of C -  $\langle \lambda x. fst\ (the\ x) \rangle]$*   
*dest!: multi-member-split*  
*intro!: filter-mset-cong2 image-mset-cong2)*

**lemma** *ran-m-fmdrop-If*:  
 $\langle ran\text{-}m\ (fmdrop\ C\ N) = (if\ C \in\# dom\text{-}m\ N\ then\ remove1\text{-}mset\ (the\ (fmlookup\ N\ C))\ (ran\text{-}m\ N)\ else\ ran\text{-}m\ N) \rangle$   
**using**  $distinct\text{-}mset\text{-}dom[of\ N]$   
**by** (*auto simp: ran-m-def image-mset-If-eq-notin[of C -  $\langle \lambda x. fst\ (the\ x) \rangle]$*   
*dest!: multi-member-split*  
*intro!: filter-mset-cong2 image-mset-cong2)*

**lemma** *dom-m-empty-iff[iff]*:  
 $\langle dom\text{-}m\ NU = \{\#\} \iff NU = fmempty \rangle$   
**by** (*cases NU*) (*auto simp: dom-m-def mset-set.insert-remove*)

end

theory *PAC-Map-Rel*

imports

*Refine-Imperative-HOL.IICF Finite-Map-Multiset*

begin

## 5 Hash-Map for finite mappings

This function declares hash-maps for  $(\text{'a}, \text{'b}) \text{ fmap}$ , that are nicer to use especially here where everything is finite.

**definition** *fmap-rel* **where**

[*to-relAPP*]:

$\text{fmap-rel } K \ V \equiv \{(m1, m2).$

$(\forall i \ j. i \in \text{fmdom } m2 \longrightarrow (j, i) \in K \longrightarrow (\text{the } (\text{fmlookup } m1 \ j), \text{the } (\text{fmlookup } m2 \ i)) \in V) \wedge$

$\text{fset } (\text{fmdom } m1) \subseteq \text{Domain } K \wedge \text{fset } (\text{fmdom } m2) \subseteq \text{Range } K \wedge$

$(\forall i \ j. (i, j) \in K \longrightarrow j \in \text{fmdom } m2 \longleftrightarrow i \in \text{fmdom } m1)\}$

**lemma** *fmap-rel-alt-def*:

$\langle \langle K, V \rangle \text{fmap-rel} \equiv$

$\{(m1, m2).$

$(\forall i \ j. i \in \# \text{ dom-m } m2 \longrightarrow$

$(j, i) \in K \longrightarrow (\text{the } (\text{fmlookup } m1 \ j), \text{the } (\text{fmlookup } m2 \ i)) \in V) \wedge$

$\text{fset } (\text{fmdom } m1) \subseteq \text{Domain } K \wedge$

$\text{fset } (\text{fmdom } m2) \subseteq \text{Range } K \wedge$

$(\forall i \ j. (i, j) \in K \longrightarrow (j \in \# \text{ dom-m } m2) = (i \in \# \text{ dom-m } m1))\}$

,

**unfolding** *fmap-rel-def dom-m-def fmempty.rep-eq*

**by** *auto*

**lemma** *fmap-rel-empty1-simp*[*simp*]:

$(\text{fmempty}, m) \in \langle K, V \rangle \text{fmap-rel} \longleftrightarrow m = \text{fmempty}$

**apply** (*cases*  $\langle \text{fmdom } m = \{\} \rangle$ )

**apply** (*auto simp: fmap-rel-def*)

**apply** (*metis fmrestrict-fset-dom fmrestrict-fset-null*)

**by** (*meson RangeE notin-fset subsetD*)

**lemma** *fmap-rel-empty2-simp*[*simp*]:

$(m, \text{fmempty}) \in \langle K, V \rangle \text{fmap-rel} \longleftrightarrow m = \text{fmempty}$

**apply** (*cases*  $\langle \text{fmdom } m = \{\} \rangle$ )

**apply** (*auto simp: fmap-rel-def*)

**apply** (*metis fmrestrict-fset-dom fmrestrict-fset-null*)

**by** (*meson DomainE notin-fset subset-iff*)

**sempref-decl-intf**  $(\text{'k}, \text{'v}) \text{ f-map}$  **is**  $(\text{'k}, \text{'v}) \text{ fmap}$

**lemma** [*synth-rules*]:  $\llbracket \text{INTF-OF-REL } K \text{ TYPE}(\text{'k}); \text{INTF-OF-REL } V \text{ TYPE}(\text{'v}) \rrbracket$

$\implies \text{INTF-OF-REL } (\langle K, V \rangle \text{fmap-rel}) \text{ TYPE}((\text{'k}, \text{'v}) \text{ f-map})$  **by** *simp*

### 5.1 Operations

**sempref-decl-op** *fmap-empty*:  $\text{fmempty} :: \langle K, V \rangle \text{fmap-rel}$  .

```

sepref-decl-op fmap-is-empty: (=) fmempty ::  $\langle K, V \rangle \text{fmap-rel} \rightarrow \text{bool-rel}$ 
  apply (rule fref-ncI)
  apply parametricity
  apply (rule fun-relI; auto)
  done

```

```

lemma fmap-rel-fmupd-fmap-rel:
   $\langle (A, B) \in \langle K, R \rangle \text{fmap-rel} \implies (p, p') \in K \implies (q, q') \in R \implies$ 
   $(\text{fmupd } p \ q \ A, \text{fmupd } p' \ q' \ B) \in \langle K, R \rangle \text{fmap-rel} \rangle$ 
  if single-valued  $K$  single-valued  $(K^{-1})$ 
  using that
  unfolding fmap-rel-alt-def
  apply (case-tac  $\langle p' \in \# \text{ dom-m } B \rangle$ )
  apply (auto simp add: all-conj-distrib IS-RIGHT-UNIQUED dest!: multi-member-split)
  done

```

```

sepref-decl-op fmap-update: fmupd ::  $K \rightarrow V \rightarrow \langle K, V \rangle \text{fmap-rel} \rightarrow \langle K, V \rangle \text{fmap-rel}$ 
  where single-valued  $K$  single-valued  $(K^{-1})$ 
  apply (rule fref-ncI)
  apply parametricity
  apply (intro fun-relI)
  by (rule fmap-rel-fmupd-fmap-rel)

```

```

lemma fmap-rel-fmdrop-fmap-rel:
   $\langle (A, B) \in \langle K, R \rangle \text{fmap-rel} \implies (p, p') \in K \implies$ 
   $(\text{fmdrop } p \ A, \text{fmdrop } p' \ B) \in \langle K, R \rangle \text{fmap-rel} \rangle$ 
  if single-valued  $K$  single-valued  $(K^{-1})$ 
  using that
  unfolding fmap-rel-alt-def
  apply (auto simp add: all-conj-distrib IS-RIGHT-UNIQUED dest!: multi-member-split)
  apply (metis dom-m-fmdrop fmlookup-drop in-dom-m-lookup-iff union-single-eq-member)
  apply (metis dom-m-fmdrop fmlookup-drop in-dom-m-lookup-iff union-single-eq-member)
  by (metis IS-RIGHT-UNIQUED converse.intros dom-m-fmdrop fmlookup-drop in-dom-m-lookup-iff
  union-single-eq-member)+

```

```

sepref-decl-op fmap-delete: fmdrop ::  $K \rightarrow \langle K, V \rangle \text{fmap-rel} \rightarrow \langle K, V \rangle \text{fmap-rel}$ 
  where single-valued  $K$  single-valued  $(K^{-1})$ 
  apply (rule fref-ncI)
  apply parametricity
  by (auto simp add: fmap-rel-fmdrop-fmap-rel)

```

```

lemma fmap-rel-nat-the-fmlookup[intro]:
   $\langle (A, B) \in \langle S, R \rangle \text{fmap-rel} \implies (p, p') \in S \implies p' \in \# \text{ dom-m } B \implies$ 
   $(\text{the } (\text{fmlookup } A \ p), \text{the } (\text{fmlookup } B \ p')) \in R \rangle$ 
  by (auto simp: fmap-rel-alt-def distinct-mset-dom)

```

```

lemma fmap-rel-in-dom-iff:
   $\langle (aa, a'a) \in \langle K, V \rangle \text{fmap-rel} \implies$ 
   $(a, a') \in K \implies$ 
   $a' \in \# \text{ dom-m } a'a \longleftrightarrow$ 
   $a \in \# \text{ dom-m } aa \rangle$ 
  unfolding fmap-rel-alt-def

```

by auto

**lemma** *fmap-rel-fmlookup-rel*:

$\langle (a, a') \in K \implies (aa, a'a) \in \langle K, V \rangle \text{fmap-rel} \implies$   
 $(\text{fmlookup } aa \ a, \text{fmlookup } a'a \ a') \in \langle V \rangle \text{option-rel} \rangle$   
**using** *fmap-rel-nat-the-fmlookup*[of aa a'a K V a a']  
*fmap-rel-in-dom-iff*[of aa a'a K V a a']  
*in-dom-m-lookup-iff*[of a' a'a]  
*in-dom-m-lookup-iff*[of a aa]  
**by** (cases  $\langle a' \in \# \text{dom-m } a'a \rangle$ )  
(auto simp del: *fmap-rel-nat-the-fmlookup*)

**sempref-decl-op** *fmap-lookup*: *fmlookup* ::  $\langle K, V \rangle \text{fmap-rel} \rightarrow K \rightarrow \langle V \rangle \text{option-rel}$

**apply** (rule *fref-ncI*)  
**apply** *parametricity*  
**apply** (intro *fun-relI*)  
**apply** (rule *fmap-rel-fmlookup-rel*; *assumption*)  
**done**

**lemma** *in-fdom-alt*:  $k \in \# \text{dom-m } m \longleftrightarrow \neg \text{is-None } (\text{fmlookup } m \ k)$

**apply** (auto split: *option.split* intro: *fmdom-notI* simp: *dom-m-def* *fmember.rep-eq*)  
**apply** (meson *fmdom-notI* *notin-fset*)  
**using** *notin-fset* **by** *fastforce*

**sempref-decl-op** *fmap-contains-key*:  $\lambda k \ m. \ k \in \# \text{dom-m } m :: K \rightarrow \langle K, V \rangle \text{fmap-rel} \rightarrow \text{bool-rel}$

**unfolding** *in-fdom-alt*  
**apply** (rule *fref-ncI*)  
**apply** *parametricity*  
**apply** (rule *fmap-rel-fmlookup-rel*; *assumption*)  
**done**

## 5.2 Patterns

**lemma** *pat-fmap-empty*[*pat-rules*]: *fmempty*  $\equiv$  *op-fmap-empty* **by** *simp*

**lemma** *pat-map-is-empty*[*pat-rules*]:

$(=) \ \$m\$ \text{fmempty} \equiv \text{op-fmap-is-empty} \$m$   
 $(=) \ \$ \text{fmempty} \$m \equiv \text{op-fmap-is-empty} \$m$   
 $(=) \ \$(\text{dom-m} \$m) \{ \# \} \equiv \text{op-fmap-is-empty} \$m$   
 $(=) \ \$ \{ \# \} \$(\text{dom-m} \$m) \equiv \text{op-fmap-is-empty} \$m$   
**unfolding** *atomize-eq*  
**by** (auto dest: *sym*)

**lemma** *op-map-contains-key*[*pat-rules*]:

$(\in \#) \ \$ \ k \ \$ \ (\text{dom-m} \$m) \equiv \text{op-fmap-contains-key} \$'k \$'m$   
**by** (auto intro!: *eq-reflection*)

## 5.3 Mapping to Normal Hashmaps

**abbreviation** *map-of-fmap* ::  $\langle ('k \Rightarrow 'v \text{option}) \Rightarrow ('k, 'v) \text{fmap} \rangle$  **where**  
 $\langle \text{map-of-fmap } h \equiv \text{Abs-fmap } h \rangle$

**definition** *map-fmap-rel* **where**

$\langle \text{map-fmap-rel} = \text{br } \text{map-of-fmap } (\lambda a. \text{finite } (\text{dom } a)) \rangle$

**lemma** *fmdrop-set-None*:  
 $\langle (op\text{-}map\text{-}delete, fmdrop) \in Id \rightarrow map\text{-}fmap\text{-}rel \rightarrow map\text{-}fmap\text{-}rel \rangle$   
**apply** (*auto simp*: *map-fmap-rel-def br-def*)  
**apply** (*subst fmdrop.abs-eq*)  
**apply** (*auto simp*: *eq-onp-def fmap.Abs-fmap-inject*  
*map-drop-def map-filter-finite*  
*intro!*: *ext*)  
**apply** (*auto simp*: *map-filter-def*)  
**done**

**lemma** *map-upd-fmupd*:  
 $\langle (op\text{-}map\text{-}update, fmupd) \in Id \rightarrow Id \rightarrow map\text{-}fmap\text{-}rel \rightarrow map\text{-}fmap\text{-}rel \rangle$   
**apply** (*auto simp*: *map-fmap-rel-def br-def*)  
**apply** (*subst fmupd.abs-eq*)  
**apply** (*auto simp*: *eq-onp-def fmap.Abs-fmap-inject*  
*map-drop-def map-filter-finite map-upd-def*  
*intro!*: *ext*)  
**done**

Technically *op-map-lookup* has the arguments in the wrong direction.

**definition** *fmlookup'* **where**  
 $[simp]: \langle fmlookup' A k = fmlookup k A \rangle$

**lemma** [*def-pat-rules*]:  
 $\langle ((\in \#) \$ k \$ (dom\text{-}m \$ A)) \equiv Not \$ (is\text{-}None \$ (fmlookup' \$ k \$ A)) \rangle$   
**apply** (*auto split*: *option.split simp*: *dom-m-def*)  
**by** (*smt domIff fmdom.rep-eq option.disc-eq-case*(1))

**lemma** *op-map-lookup-fmlookup*:  
 $\langle (op\text{-}map\text{-}lookup, fmlookup') \in Id \rightarrow map\text{-}fmap\text{-}rel \rightarrow \langle Id \rangle option\text{-}rel \rangle$   
**by** (*auto simp*: *map-fmap-rel-def br-def fmap.Abs-fmap-inverse*)

**abbreviation** *hm-fmap-assn* **where**  
 $\langle hm\text{-}fmap\text{-}assn K V \equiv hr\text{-}comp (hm.assn K V) map\text{-}fmap\text{-}rel \rangle$

**lemmas** *fmap-delete-hnr* [*sepref-fr-rules*] =  
*hm.delete-hnr*[*FCOMP fmdrop-set-None*]

**lemmas** *fmap-update-hnr* [*sepref-fr-rules*] =  
*hm.update-hnr*[*FCOMP map-upd-fmupd*]

**lemmas** *fmap-lookup-hnr* [*sepref-fr-rules*] =  
*hm.lookup-hnr*[*FCOMP op-map-lookup-fmlookup*]

**lemma** *fmempty-empty*:  
 $\langle (uncurry0 (RETURN op\text{-}map\text{-}empty), uncurry0 (RETURN fmempty)) \in unit\text{-}rel \rightarrow_f \langle map\text{-}fmap\text{-}rel \rangle nres\text{-}rel \rangle$   
**by** (*auto simp*: *map-fmap-rel-def br-def fmempty-def frefI nres-relI*)

**lemmas** [*sepref-fr-rules*] =  
*hm.empty-hnr*[*FCOMP fmempty-empty, unfolded op-fmap-empty-def*[*symmetric*]]

**abbreviation** *iam-fmap-assn* **where**

$\langle \text{iam-fmap-assn } K \ V \equiv \text{hr-comp } (\text{iam.assn } K \ V) \ \text{map-fmap-rel} \rangle$

**lemmas** *iam-fmap-delete-hnr* [*sepref-fr-rules*] =  
*iam.delete-hnr*[*FCOMP fmdrop-set-None*]

**lemmas** *iam-ffmap-update-hnr* [*sepref-fr-rules*] =  
*iam.update-hnr*[*FCOMP map-upd-fmupd*]

**lemmas** *iam-ffmap-lookup-hnr* [*sepref-fr-rules*] =  
*iam.lookup-hnr*[*FCOMP op-map-lookup-fmlookup*]

**definition** *op-iam-fmap-empty* **where**

$\langle \text{op-iam-fmap-empty} = \text{fmempty} \rangle$

**lemma** *iam-fmempty-empty*:

$\langle (\text{uncurry0 } (\text{RETURN } \text{op-map-empty}), \text{uncurry0 } (\text{RETURN } \text{op-iam-fmap-empty})) \in \text{unit-rel} \rightarrow_f \langle \text{map-fmap-rel} \rangle \text{nres-rel} \rangle$   
**by** (*auto simp: map-fmap-rel-def br-def fmempty-def frefI nres-relI op-iam-fmap-empty-def*)

**lemmas** [*sepref-fr-rules*] =  
*iam.empty-hnr*[*FCOMP fmempty-empty, unfolded op-iam-fmap-empty-def[symmetric]*]

**definition** *upper-bound-on-dom* **where**

$\langle \text{upper-bound-on-dom } A = \text{SPEC}(\lambda n. \forall i \in \#(\text{dom-m } A). i < n) \rangle$

**lemma** [*sepref-fr-rules*]:

$\langle ((\text{Array.len}), \text{upper-bound-on-dom}) \in (\text{iam-fmap-assn } \text{nat-assn } V)^k \rightarrow_a \text{nat-assn} \rangle$

**proof** –

**have** [*simp*]:  $\langle \text{finite } (\text{dom } b) \implies i \in \text{fset } (\text{fmdom } (\text{map-of-fmap } b)) \iff i \in \text{dom } b \rangle$  **for** *i b*  
**by** (*subst fmdom.abs-eq*)

(*auto simp: eq-onp-def fset.Abs-fset-inverse*)

**have** 2:  $\langle \text{nat-rel} = \text{the-pure } (\text{nat-assn}) \rangle$  **and**

3:  $\langle \text{nat-assn} = \text{pure } \text{nat-rel} \rangle$

**by** *auto*

**have** [*simp*]:  $\langle \text{the-pure } (\lambda a \ c :: \text{nat}. \uparrow (c = a)) = \text{nat-rel} \rangle$

**apply** (*subst 2*)

**apply** (*subst 3*)

**apply** (*subst pure-def*)

**apply** *auto*

**done**

**have** [*simp*]:  $\langle (\text{iam-of-list } l, b) \in \text{the-pure } (\lambda a \ c :: \text{nat}. \uparrow (c = a)) \rightarrow \langle \text{the-pure } V \rangle \text{option-rel} \implies b \ i = \text{Some } y \implies i < \text{length } l \rangle \text{ for } i \ b \ l \ y$

**by** (*auto dest!: fun-relD[of - - - i i] simp: option-rel-def*)

*iam-of-list-def split: if-splits*)

**show** ?thesis

**by** *sepref-to-hoare*

(*sep-auto simp: upper-bound-on-dom-def hr-comp-def iam.assn-def map-rel-def map-fmap-rel-def is-iam-def br-def dom-m-def*)

**qed**

**lemma** *fmap-rel-nat-rel-dom-m*[*simp*]:



```

⟨(A, B) ∈ ⟨nat-rel, R⟩fmap-rel ⇒ dom-m A = dom-m B⟩
by (subst distinct-set-mset-eq-iff[symmetric])
  (auto simp: fmap-rel-alt-def distinct-mset-dom
    simp del: fmap-rel-nat-the-fmlookup)

```

```

lemma ref-two-step':
  ⟨A ≤ B ⇒ ⟦ R A ≤ ⟦ R B ⟧ ⟩
  using ref-two-step by auto

```

end

```

theory PAC-Checker-Specification
  imports PAC-Specification
    Refine-Imperative-HOL.IICF
    Finite-Map-Multiset
begin

```

## 6 Checker Algorithm

In this level of refinement, we define the first level of the implementation of the checker, both with the specification as on ideals and the first version of the loop.

### 6.1 Specification

```

datatype status =
  is-failed: FAILED |
  is-success: SUCCESS |
  is-found: FOUND

```

```

lemma is-success-alt-def:
  ⟨is-success a ⟷ a = SUCCESS⟩
  by (cases a) auto

```

```

datatype ('a, 'b, 'lbs) pac-step =
  Add (pac-src1: 'lbs) (pac-src2: 'lbs) (new-id: 'lbs) (pac-res: 'a) |
  Mult (pac-src1: 'lbs) (pac-mult: 'a) (new-id: 'lbs) (pac-res: 'a) |
  Extension (new-id: 'lbs) (new-var: 'b) (pac-res: 'a) |
  Del (pac-src1: 'lbs)

```

```

type-synonym pac-state = ⟨(nat set × int-poly multiset)⟩

```

**definition** PAC-checker-specification

```

:: ⟨int-poly ⇒ int-poly multiset ⇒ (status × nat set × int-poly multiset) nres⟩

```

**where**

```

⟨PAC-checker-specification spec A = SPEC(λ(b, V, B).

```

```

  (¬is-failed b ⟶ restricted-ideal-to_I (⋃(vars ' set-mset A) ∪ vars spec) B ⊆ restricted-ideal-to_I
  (⋃(vars ' set-mset A) ∪ vars spec) A) ∧
  (is-found b ⟶ spec ∈ pac-ideal (set-mset A)))⟩

```

**definition** PAC-checker-specification-spec

```

:: ⟨int-poly ⇒ pac-state ⇒ (status × pac-state) ⇒ bool⟩

```

**where**

```

⟨PAC-checker-specification-spec spec = (λ(V, A) (b, B). (¬is-failed b ⟶ ⋃(vars ' set-mset A) ⊆ V) ∧

```

$(is-success\ b \longrightarrow PAC-Format^{**}(\mathcal{V}, A)\ B) \wedge$   
 $(is-found\ b \longrightarrow PAC-Format^{**}(\mathcal{V}, A)\ B \wedge spec \in pac-ideal\ (set-mset\ A)))$

**abbreviation** *PAC-checker-specification2*

$:: \langle int-poly \Rightarrow (nat\ set \times int-poly\ multiset) \Rightarrow (status \times (nat\ set \times int-poly\ multiset))\ nres \rangle$

**where**

$\langle PAC-checker-specification2\ spec\ A \equiv SPEC(PAC-checker-specification-spec\ spec\ A) \rangle$

**definition** *PAC-checker-specification-step-spec*

$:: \langle pac-state \Rightarrow int-poly \Rightarrow pac-state \Rightarrow (status \times pac-state) \Rightarrow bool \rangle$

**where**

$\langle PAC-checker-specification-step-spec = (\lambda(\mathcal{V}_0, A_0)\ spec\ (\mathcal{V}, A)\ (b, B)).$

$(is-success\ b \longrightarrow$

$\bigcup (vars\ 'set-mset\ A_0) \subseteq \mathcal{V}_0 \wedge$

$\bigcup (vars\ 'set-mset\ A) \subseteq \mathcal{V} \wedge PAC-Format^{**}(\mathcal{V}_0, A_0)\ (\mathcal{V}, A) \wedge PAC-Format^{**}(\mathcal{V}, A)\ B) \wedge$

$(is-found\ b \longrightarrow$

$\bigcup (vars\ 'set-mset\ A_0) \subseteq \mathcal{V}_0 \wedge$

$\bigcup (vars\ 'set-mset\ A) \subseteq \mathcal{V} \wedge PAC-Format^{**}(\mathcal{V}_0, A_0)\ (\mathcal{V}, A) \wedge PAC-Format^{**}(\mathcal{V}, A)\ B \wedge$

$spec \in pac-ideal\ (set-mset\ A_0))) \rangle$

**abbreviation** *PAC-checker-specification-step2*

$:: \langle pac-state \Rightarrow int-poly \Rightarrow pac-state \Rightarrow (status \times pac-state)\ nres \rangle$

**where**

$\langle PAC-checker-specification-step2\ A_0\ spec\ A \equiv SPEC(PAC-checker-specification-step-spec\ A_0\ spec\ A) \rangle$

**definition** *normalize-poly-spec*  $:: \langle - \rangle$  **where**

$\langle normalize-poly-spec\ p = SPEC\ (\lambda r. p - r \in ideal\ polynomial-bool \wedge vars\ r \subseteq vars\ p) \rangle$

**lemma** *normalize-poly-spec-alt-def*:

$\langle normalize-poly-spec\ p = SPEC\ (\lambda r. r - p \in ideal\ polynomial-bool \wedge vars\ r \subseteq vars\ p) \rangle$

**unfolding** *normalize-poly-spec-def*

**by** (*auto dest: ideal.span-neg*)

**definition** *mult-poly-spec*  $:: \langle int\ mpoly \Rightarrow int\ mpoly \Rightarrow int\ mpoly\ nres \rangle$  **where**

$\langle mult-poly-spec\ p\ q = SPEC\ (\lambda r. p * q - r \in ideal\ polynomial-bool) \rangle$

**definition** *check-add*  $:: \langle (nat, int\ mpoly)\ fmap \Rightarrow nat\ set \Rightarrow nat \Rightarrow nat \Rightarrow nat \Rightarrow int\ mpoly \Rightarrow bool\ nres \rangle$  **where**

$\langle check-add\ A\ \mathcal{V}\ p\ q\ i\ r =$

$SPEC(\lambda b. b \longrightarrow p \in \# dom-m\ A \wedge q \in \# dom-m\ A \wedge i \notin \# dom-m\ A \wedge vars\ r \subseteq \mathcal{V} \wedge$

$the\ (fmlookup\ A\ p) + the\ (fmlookup\ A\ q) - r \in ideal\ polynomial-bool) \rangle$

**definition** *check-mult*  $:: \langle (nat, int\ mpoly)\ fmap \Rightarrow nat\ set \Rightarrow nat \Rightarrow int\ mpoly \Rightarrow nat \Rightarrow int\ mpoly \Rightarrow bool\ nres \rangle$  **where**

$\langle check-mult\ A\ \mathcal{V}\ p\ q\ i\ r =$

$SPEC(\lambda b. b \longrightarrow p \in \# dom-m\ A \wedge i \notin \# dom-m\ A \wedge vars\ q \subseteq \mathcal{V} \wedge vars\ r \subseteq \mathcal{V} \wedge$

$the\ (fmlookup\ A\ p) * q - r \in ideal\ polynomial-bool) \rangle$

**definition** *check-extension*  $:: \langle (nat, int\ mpoly)\ fmap \Rightarrow nat\ set \Rightarrow nat \Rightarrow nat \Rightarrow int\ mpoly \Rightarrow (bool\ nres) \rangle$  **where**

$\langle check-extension\ A\ \mathcal{V}\ i\ v\ p =$

$SPEC(\lambda b. b \longrightarrow (i \notin \# dom-m\ A \wedge$

$(v \notin \mathcal{V} \wedge$

$$(p + \text{Var } v)^2 - (p + \text{Var } v) \in \text{ideal polynomial-bool} \wedge \\ \text{vars } (p + \text{Var } v) \subseteq \mathcal{V}))\rangle$$

**fun** *merge-status* **where**

$\langle \text{merge-status } (\text{FAILED}) - = \text{FAILED} \rangle \mid$   
 $\langle \text{merge-status } - (\text{FAILED}) = \text{FAILED} \rangle \mid$   
 $\langle \text{merge-status } \text{FOUND} - = \text{FOUND} \rangle \mid$   
 $\langle \text{merge-status } - \text{FOUND} = \text{FOUND} \rangle \mid$   
 $\langle \text{merge-status } - - = \text{SUCCESS} \rangle$

**type-synonym** *fpac-step* =  $\langle \text{nat set} \times (\text{nat}, \text{int-poly}) \text{ fmap} \rangle$

**definition** *check-del* ::  $\langle (\text{nat}, \text{int mpoly}) \text{ fmap} \Rightarrow \text{nat} \Rightarrow \text{bool nres} \rangle$  **where**

$\langle \text{check-del } A \text{ } p =$   
 $\text{SPEC}(\lambda b. b \longrightarrow \text{True}) \rangle$

## 6.2 Algorithm

**definition** *PAC-checker-step*

::  $\langle \text{int-poly} \Rightarrow (\text{status} \times \text{fpac-step}) \Rightarrow (\text{int-poly}, \text{nat}, \text{nat}) \text{ pac-step} \Rightarrow$   
 $(\text{status} \times \text{fpac-step}) \text{ nres} \rangle$

**where**

$\langle \text{PAC-checker-step} = (\lambda \text{spec } (\text{stat}, (\mathcal{V}, A)) \text{ st. case st of}$   
 $\text{Add } - - - - \Rightarrow$   
 $\text{do } \{$   
 $\quad r \leftarrow \text{normalize-poly-spec } (\text{pac-res st});$   
 $\quad \text{eq} \leftarrow \text{check-add } A \mathcal{V} (\text{pac-src1 st}) (\text{pac-src2 st}) (\text{new-id st}) r;$   
 $\quad \text{st}' \leftarrow \text{SPEC}(\lambda \text{st}'. (\neg \text{is-failed st}' \wedge \text{is-found st}' \longrightarrow r - \text{spec} \in \text{ideal polynomial-bool}));$   
 $\quad \text{if eq}$   
 $\quad \text{then RETURN } (\text{merge-status stat st}',$   
 $\quad \quad \mathcal{V}, \text{fmupd } (\text{new-id st}) r A)$   
 $\quad \text{else RETURN } (\text{FAILED}, (\mathcal{V}, A))$   
 $\quad \}$   
 $\mid \text{Del } - \Rightarrow$   
 $\text{do } \{$   
 $\quad \text{eq} \leftarrow \text{check-del } A (\text{pac-src1 st});$   
 $\quad \text{if eq}$   
 $\quad \text{then RETURN } (\text{stat}, (\mathcal{V}, \text{fmdrop } (\text{pac-src1 st}) A))$   
 $\quad \text{else RETURN } (\text{FAILED}, (\mathcal{V}, A))$   
 $\quad \}$   
 $\mid \text{Mult } - - - - \Rightarrow$   
 $\text{do } \{$   
 $\quad r \leftarrow \text{normalize-poly-spec } (\text{pac-res st});$   
 $\quad q \leftarrow \text{normalize-poly-spec } (\text{pac-mult st});$   
 $\quad \text{eq} \leftarrow \text{check-mult } A \mathcal{V} (\text{pac-src1 st}) q (\text{new-id st}) r;$   
 $\quad \text{st}' \leftarrow \text{SPEC}(\lambda \text{st}'. (\neg \text{is-failed st}' \wedge \text{is-found st}' \longrightarrow r - \text{spec} \in \text{ideal polynomial-bool}));$   
 $\quad \text{if eq}$   
 $\quad \text{then RETURN } (\text{merge-status stat st}',$   
 $\quad \quad \mathcal{V}, \text{fmupd } (\text{new-id st}) r A)$   
 $\quad \text{else RETURN } (\text{FAILED}, (\mathcal{V}, A))$   
 $\quad \}$   
 $\mid \text{Extension } - - - \Rightarrow$   
 $\text{do } \{$   
 $\quad r \leftarrow \text{normalize-poly-spec } (\text{pac-res st} - \text{Var } (\text{new-var st}));$   
 $\quad (\text{eq}) \leftarrow \text{check-extension } A \mathcal{V} (\text{new-id st}) (\text{new-var st}) r;$   
 $\quad \text{if eq}$

```

    then do {
      RETURN (stat,
        insert (new-var st) V, fmap (new-id st) (r) A)}
    else RETURN (FAILED, (V, A))
  }
)»

```

**definition** *polys-rel* ::  $\langle (nat, int \text{ mpoly}) \text{ fmap} \times - \rangle \text{ set}$  **where**  
 $\langle polys-rel = \{(A, B). B = (ran-m A)\} \rangle$

**definition** *polys-rel-full* ::  $\langle (nat \text{ set} \times (nat, int \text{ mpoly}) \text{ fmap}) \times - \rangle \text{ set}$  **where**  
 $\langle polys-rel-full = \{((V, A), (V', B)). (A, B) \in polys-rel \wedge V = V'\} \rangle$

**lemma** *polys-rel-update-remove*:

$\langle x13 \notin \# dom-m A \implies x11 \in \# dom-m A \implies x12 \in \# dom-m A \implies x11 \neq x12 \implies (A, B) \in polys-rel \implies$

$(fmap x13 r (fmdrop x11 (fmdrop x12 A)),$   
 $add-mset r B - \{\#the (fmlookup A x11), the (fmlookup A x12)\#\})$   
 $\in polys-rel \rangle$

$\langle x13 \notin \# dom-m A \implies x11 \in \# dom-m A \implies (A, B) \in polys-rel \implies$   
 $(fmap x13 r (fmdrop x11 A), add-mset r B - \{\#the (fmlookup A x11)\#\})$   
 $\in polys-rel \rangle$

$\langle x13 \notin \# dom-m A \implies (A, B) \in polys-rel \implies$   
 $(fmap x13 r A, add-mset r B) \in polys-rel \rangle$

$\langle x13 \in \# dom-m A \implies (A, B) \in polys-rel \implies$   
 $(fmdrop x13 A, remove1-mset (the (fmlookup A x13)) B) \in polys-rel \rangle$

**using** *distinct-mset-dom*[of A]

**apply** (auto simp: polys-rel-def ran-m-mapsto-upd ran-m-mapsto-upd-notin  
 ran-m-fmdrop)

**apply** (subst ran-m-mapsto-upd-notin)

**apply** (auto dest: in-diffD dest!: multi-member-split simp: ran-m-fmdrop ran-m-fmdrop-If distinct-mset-remove1-All  
 ran-m-def

*add-mset-eq-add-mset removeAll-notin*

*split: if-splits intro!: image-mset-cong*)

**by** (smt count-inI diff-single-trivial fmlookup-drop image-mset-cong2 replicate-mset-0)

**lemma** *polys-rel-in-dom-inD*:

$\langle (A, B) \in polys-rel \implies$   
 $x12 \in \# dom-m A \implies$   
 $the (fmlookup A x12) \in \# B \rangle$

**by** (auto simp: polys-rel-def)

**lemma** *PAC-Format-add-and-remove*:

$\langle r - x14 \in \text{More-Modules.ideal polynomial-bool} \implies$

$(A, B) \in polys-rel \implies$

$x12 \in \# dom-m A \implies$

$x13 \notin \# dom-m A \implies$

$vars r \subseteq V \implies$

$2 * the (fmlookup A x12) - r \in \text{More-Modules.ideal polynomial-bool} \implies$

$PAC-Format^{**} (V, B) (V, remove1-mset (the (fmlookup A x12)) (add-mset r B)) \rangle$

$\langle r - x14 \in \text{More-Modules.ideal polynomial-bool} \implies$

$(A, B) \in polys-rel \implies$

$the (fmlookup A x11) + the (fmlookup A x12) - r \in \text{More-Modules.ideal polynomial-bool} \implies$

$x11 \in \# dom-m A \implies$

$x12 \in \# dom-m A \implies$

```

vars r ⊆ V ⇒
PAC-Format** (V, B) (V, add-mset r B)
⟨r - x14 ∈ More-Modules.ideal polynomial-bool ⇒
(A, B) ∈ polys-rel ⇒
x11 ∈# dom-m A ⇒
x12 ∈# dom-m A ⇒
the (fmlookup A x11) + the (fmlookup A x12) - r ∈ More-Modules.ideal polynomial-bool ⇒
vars r ⊆ V ⇒
x11 ≠ x12 ⇒
PAC-Format** (V, B)
(V, add-mset r B - {#the (fmlookup A x11), the (fmlookup A x12)#})
⟨(A, B) ∈ polys-rel ⇒
r - x34 ∈ More-Modules.ideal polynomial-bool ⇒
x11 ∈# dom-m A ⇒
the (fmlookup A x11) * x32 - r ∈ More-Modules.ideal polynomial-bool ⇒
vars x32 ⊆ V ⇒
vars r ⊆ V ⇒
PAC-Format** (V, B) (V, add-mset r B)
⟨(A, B) ∈ polys-rel ⇒
r - x34 ∈ More-Modules.ideal polynomial-bool ⇒
x11 ∈# dom-m A ⇒
the (fmlookup A x11) * x32 - r ∈ More-Modules.ideal polynomial-bool ⇒
vars x32 ⊆ V ⇒
vars r ⊆ V ⇒
PAC-Format** (V, B) (V, remove1-mset (the (fmlookup A x11)) (add-mset r B))
⟨(A, B) ∈ polys-rel ⇒
x12 ∈# dom-m A ⇒
PAC-Format** (V, B) (V, remove1-mset (the (fmlookup A x12)) B)
⟨(A, B) ∈ polys-rel ⇒
(p' + Var x)2 - (p' + Var x) ∈ ideal polynomial-bool ⇒
x ∉ V ⇒
x ∉ vars(p' + Var x) ⇒
vars(p' + Var x) ⊆ V ⇒
PAC-Format** (V, B)
(insert x V, add-mset p' B)
subgoal
  apply (rule converse-rtranclp-into-rtranclp)
  apply (rule PAC-Format.add[of ⟨the (fmlookup A x12)⟩ B ⟨the (fmlookup A x12)⟩])
  apply (auto dest: polys-rel-in-dom-inD)
  apply (rule converse-rtranclp-into-rtranclp)
  apply (rule PAC-Format.del[of ⟨the (fmlookup A x12)⟩])
  apply (auto dest: polys-rel-in-dom-inD)
done
subgoal H2
  apply (rule converse-rtranclp-into-rtranclp)
  apply (rule PAC-Format.add[of ⟨the (fmlookup A x11)⟩ B ⟨the (fmlookup A x12)⟩])
  apply (auto dest: polys-rel-in-dom-inD)
done
subgoal
  apply (rule rtranclp-trans)
  apply (rule H2; assumption)
  apply (rule converse-rtranclp-into-rtranclp)
  apply (rule PAC-Format.del[of ⟨the (fmlookup A x12)⟩])
  apply (auto dest: polys-rel-in-dom-inD)
  apply (rule converse-rtranclp-into-rtranclp)

```

```

  apply (rule PAC-Format.del[of ⟨the (fmlookup A x11)⟩])
  apply (auto dest: polys-rel-in-dom-inD)
  apply (auto simp: polys-rel-def ran-m-def add-mset-eq-add-mset dest!: multi-member-split)
done
subgoal H2
  apply (rule converse-rtrancp-into-rtrancp)
  apply (rule PAC-Format.mult[of ⟨the (fmlookup A x11)⟩ B ⟨x32⟩ r])
  apply (auto dest: polys-rel-in-dom-inD)
done
subgoal
  apply (rule rtrancp-trans)
  apply (rule H2; assumption)
  apply (rule converse-rtrancp-into-rtrancp)
  apply (rule PAC-Format.del[of ⟨the (fmlookup A x11)⟩])
  apply (auto dest: polys-rel-in-dom-inD)
done
subgoal
  apply (rule converse-rtrancp-into-rtrancp)
  apply (rule PAC-Format.del[of ⟨the (fmlookup A x12)⟩ B])
  apply (auto dest: polys-rel-in-dom-inD)
done
subgoal
  apply (rule converse-rtrancp-into-rtrancp)
  apply (rule PAC-Format.extend-pos[of ⟨p' + Var x⟩ - x])
  using coeff-monomila-in-varsD[of ⟨p' - Var x⟩ x]
  apply (auto dest: polys-rel-in-dom-inD simp: vars-in-right-only vars-subst-in-left-only)
  apply (subgoal-tac ⟨V ∪ {x' ∈ vars (p'). x' ∉ V} = insert x V⟩)
  apply simp
  using coeff-monomila-in-varsD[of p' x]
  apply (auto dest: vars-add-Var-subset vars-minus-Var-subset polys-rel-in-dom-inD simp: vars-subst-in-left-only-iff)
  using vars-in-right-only vars-subst-in-left-only by force
done

```

**abbreviation** *status-rel* :: ⟨(status × status) set⟩ **where**  
 ⟨*status-rel* ≡ Id⟩

**lemma** *is-merge-status*[*simp*]:  
 ⟨*is-failed* (merge-status a st') ⟷ *is-failed* a ∨ *is-failed* st'⟩  
 ⟨*is-found* (merge-status a st') ⟷ ¬*is-failed* a ∧ ¬*is-failed* st' ∧ (*is-found* a ∨ *is-found* st')⟩  
 ⟨*is-success* (merge-status a st') ⟷ (*is-success* a ∧ *is-success* st')⟩  
**by** (cases a; cases st'; auto; fail)+

**lemma** *status-rel-merge-status*:  
 ⟨(merge-status a b, SUCCESS) ∉ *status-rel* ⟷  
 (a = FAILED) ∨ (b = FAILED) ∨  
 a = FOUND ∨ (b = FOUND)⟩  
**by** (cases a; cases b; auto)

**lemma** *Ex-status-iff*:  
 ⟨(∃ a. P a) ⟷ P SUCCESS ∨ P FOUND ∨ (P (FAILED))⟩  
**apply** auto  
**apply** (case-tac a; auto)  
**done**

**lemma** *is-failed-alt-def*:

$\langle \text{is-failed } st' \longleftrightarrow \neg \text{is-success } st' \wedge \neg \text{is-found } st' \rangle$   
**by** (cases  $st'$ ) auto

**lemma** *merge-status-eq-iff*[simp]:

$\langle \text{merge-status } a \text{ SUCCESS} = \text{SUCCESS} \longleftrightarrow a = \text{SUCCESS} \rangle$   
 $\langle \text{merge-status } a \text{ SUCCESS} = \text{FOUND} \longleftrightarrow a = \text{FOUND} \rangle$   
 $\langle \text{merge-status } \text{SUCCESS } a = \text{SUCCESS} \longleftrightarrow a = \text{SUCCESS} \rangle$   
 $\langle \text{merge-status } \text{SUCCESS } a = \text{FOUND} \longleftrightarrow a = \text{FOUND} \rangle$   
 $\langle \text{merge-status } \text{SUCCESS } a = \text{FAILED} \longleftrightarrow a = \text{FAILED} \rangle$   
 $\langle \text{merge-status } a \text{ SUCCESS} = \text{FAILED} \longleftrightarrow a = \text{FAILED} \rangle$   
 $\langle \text{merge-status } \text{FOUND } a = \text{FAILED} \longleftrightarrow a = \text{FAILED} \rangle$   
 $\langle \text{merge-status } a \text{ FOUND} = \text{FAILED} \longleftrightarrow a = \text{FAILED} \rangle$   
 $\langle \text{merge-status } a \text{ FOUND} = \text{SUCCESS} \longleftrightarrow \text{False} \rangle$   
 $\langle \text{merge-status } a \text{ } b = \text{FOUND} \longleftrightarrow (a = \text{FOUND} \vee b = \text{FOUND}) \wedge (a \neq \text{FAILED} \wedge b \neq \text{FAILED}) \rangle$   
**apply** (cases  $a$ ; auto; fail)+  
**apply** (cases  $a$ ; cases  $b$ ; auto; fail)+  
**done**

**lemma** *fmdrop-irrelevant*:  $\langle x11 \notin \# \text{ dom-m } A \implies \text{fmdrop } x11 \text{ } A = A \rangle$

**by** (simp add: fmap-ext in-dom-m-lookup-iff)

**lemma** *PAC-checker-step-PAC-checker-specification2*:

**fixes**  $a :: \langle \text{status} \rangle$

**assumes**  $AB: \langle (\mathcal{V}, A), (\mathcal{V}_B, B) \rangle \in \text{polys-rel-full} \rangle$  **and**

$\langle \neg \text{is-failed } a \rangle$  **and**

[simp, intro]:  $\langle a = \text{FOUND} \implies \text{spec} \in \text{pac-ideal } (\text{set-mset } A_0) \rangle$  **and**

$A_0B: \langle \text{PAC-Format}^{**} (\mathcal{V}_0, A_0) (\mathcal{V}, B) \rangle$  **and**

$\text{spec}_0: \langle \text{vars spec} \subseteq \mathcal{V}_0 \rangle$  **and**

$\text{vars-}A_0: \langle \bigcup (\text{vars } \text{' set-mset } A_0) \subseteq \mathcal{V}_0 \rangle$

**shows**  $\langle \text{PAC-checker-step spec } (a, (\mathcal{V}, A)) \text{ st} \leq \Downarrow (\text{status-rel } \times_r \text{ polys-rel-full}) (\text{PAC-checker-specification-step2 } (\mathcal{V}_0, A_0) \text{ spec } (\mathcal{V}, B)) \rangle$

**proof** –

**have**

$\langle \mathcal{V}_B = \mathcal{V} \rangle$  **and**

[simp, intro]:  $\langle (A, B) \in \text{polys-rel} \rangle$

**using**  $AB$

**by** (auto simp: polys-rel-full-def)

**have**  $H1: \langle x12 \in \# \text{ dom-m } A \implies$

$2 * \text{the } (\text{fmlookup } A \text{ } x12) - r \in \text{More-Modules.ideal polynomial-bool} \implies$

$r - \text{spec} \in \text{More-Modules.ideal polynomial-bool} \implies$

$\text{vars spec} \subseteq \text{vars } r \implies$

$\text{spec} \in \text{pac-ideal } (\text{set-mset } B) \rangle$  **for**  $x12 \text{ } r$

**using**  $\langle (A, B) \in \text{polys-rel} \rangle$

$\text{ideal.span-add}[OF \text{ ideal.span-add}[OF \text{ ideal.span-neg } \text{ideal.span-neg},$

$\text{of } (\text{the } (\text{fmlookup } A \text{ } x12)) - (\text{the } (\text{fmlookup } A \text{ } x12))],$

$\text{of } (\text{set-mset } B \cup \text{polynomial-bool}) \langle 2 * \text{the } (\text{fmlookup } A \text{ } x12) - r \rangle]$

**unfolding**  $\text{polys-rel-def}$

**apply** (subgoal-tac  $\langle r \in \text{pac-ideal } (\text{set-mset } B) \rangle$ )

**apply** (auto dest!: multi-member-split simp: ran-m-def intro: diff-in-polynomial-bool-pac-idealI)

**by** (metis (no-types, lifting) ab-semigroup-mult-class.mult commute diff-in-polynomial-bool-pac-idealI  
 $\text{ideal.span-base pac-idealI3 set-image-mset set-mset-add-mset-insert union-single-eq-member}$ )

**have**  $H2: \langle x11 \in \# \text{ dom-m } A \implies$

$x12 \in \# \text{ dom-m } A \implies$

```

    the (fmlookup A x11) + the (fmlookup A x12) - r
    ∈ More-Modules.ideal polynomial-bool ⇒
    r - spec ∈ More-Modules.ideal polynomial-bool ⇒
    spec ∈ pac-ideal (set-mset B) for x12 r x11
using (A,B) ∈ polys-rel
    ideal.span-add[OF ideal.span-add[OF ideal.span-neg ideal.span-neg,
      of (the (fmlookup A x11)) - (the (fmlookup A x12))],
      of (set-mset B ∪ polynomial-bool) (the (fmlookup A x11) + the (fmlookup A x12) - r)]
unfolding polys-rel-def
apply (subgoal-tac (r ∈ pac-ideal (set-mset B)))
apply (auto dest!: multi-member-split simp: ran-m-def ideal.span-base intro: diff-in-polynomial-bool-pac-idealI)
by (metis (mono-tags, lifting) Un-insert-left diff-diff-eq2 diff-in-polynomial-bool-pac-idealI diff-zero
    ideal.span-diff ideal.span-neg minus-diff-eq pac-idealI1 pac-ideal-def set-image-mset
    set-mset-add-mset-insert union-single-eq-member)

have H3: (x12 ∈# dom-m A ⇒
    the (fmlookup A x12) * q - r ∈ More-Modules.ideal polynomial-bool ⇒
    r - spec ∈ More-Modules.ideal polynomial-bool ⇒
    spec ∈ pac-ideal (set-mset B) for x12 r q)
using (A,B) ∈ polys-rel
    ideal.span-add[OF ideal.span-add[OF ideal.span-neg ideal.span-neg,
      of (the (fmlookup A x12)) - (the (fmlookup A x12))],
      of (set-mset B ∪ polynomial-bool) (2 * the (fmlookup A x12) - r)]
unfolding polys-rel-def
apply (subgoal-tac (r ∈ pac-ideal (set-mset B)))
apply (auto dest!: multi-member-split simp: ran-m-def intro: diff-in-polynomial-bool-pac-idealI)
by (metis (no-types, lifting) ab-semigroup-mult-class.mult commute diff-in-polynomial-bool-pac-idealI
    ideal.span-base pac-idealI3 set-image-mset set-mset-add-mset-insert union-single-eq-member)

have [intro]: (spec ∈ pac-ideal (set-mset B) ⇒ spec ∈ pac-ideal (set-mset A0)) and
    vars-B: (⋃ (vars 'set-mset B) ⊆ V) and
    vars-B: (⋃ (vars 'set-mset (ran-m A)) ⊆ V)
    using rtrancp-PAC-Format-subset-ideal[OF A0B vars-A0] spec0 (A, B) ∈ polys-rel [unfolded
    polys-rel-def, simplified]
    by (smt in-mono mem-Collect-eq restricted-ideal-to-def)+

have eq-successI: (st' ≠ FAILED ⇒
    st' ≠ FOUND ⇒ st' = SUCCESS) for st'
by (cases st') auto
have vars-diff-inv: (vars (Var x2 - r) = vars (r - Var x2 :: int mpoly)) for x2 r
    using vars-uminus[of (Var x2 - r)]
    by (auto simp del: vars-uminus)
have vars-add-inv: (vars (Var x2 + r) = vars (r + Var x2 :: int mpoly)) for x2 r
    unfolding add.commute[of (Var x2) r] ..

have [iff]: (a ≠ FAILED) and
    [intro]: (a ≠ SUCCESS ⇒ a = FOUND) and
    [simp]: (merge-status a FOUND = FOUND)
    using assms(2) by (cases a; auto)+
note [[goals-limit=1]]
show ?thesis
    unfolding PAC-checker-step-def PAC-checker-specification-step-spec-def
    normalize-poly-spec-alt-def check-mult-def check-add-def
    check-extension-def polys-rel-full-def
    apply (cases st)

```



```

apply clarsimp-all
subgoal for x11 x12 x13 x14
  apply (refine-vcg lhs-step-If)
  subgoal for r eqa st'
    using assms vars-B apply –
    apply (rule RETURN-SPEC-refine)
    apply (rule-tac x = ⟨(merge-status a st',  $\mathcal{V}$ , add-mset r B)⟩ in exI)
    by (auto simp: polys-rel-update-remove ran-m-mapsto-upd-notin
      intro: PAC-Format-add-and-remove H2 dest: rtranclp-PAC-Format-subset-ideal)
  subgoal
    by (rule RETURN-SPEC-refine)
    (auto simp: Ex-status-iff dest: rtranclp-PAC-Format-subset-ideal)
  done
subgoal for x11 x12 x13 x14
  apply (refine-vcg lhs-step-If)
  subgoal for r q eqa st'
    using assms vars-B apply –
    apply (rule RETURN-SPEC-refine)
    apply (rule-tac x = ⟨(merge-status a st',  $\mathcal{V}$ , add-mset r B)⟩ in exI)
    by (auto intro: polys-rel-update-remove intro: PAC-Format-add-and-remove(3–) H3
      dest: rtranclp-PAC-Format-subset-ideal)
  subgoal
    by (rule RETURN-SPEC-refine)
    (auto simp: Ex-status-iff)
  done
subgoal for x31 x32 x34
  apply (refine-vcg lhs-step-If)
  subgoal for r x
    using assms vars-B apply –
    apply (rule RETURN-SPEC-refine)
    apply (rule-tac x = ⟨(a, insert x32  $\mathcal{V}$ , add-mset r B)⟩ in exI)
    apply (auto simp: intro!: polys-rel-update-remove PAC-Format-add-and-remove(5–)
      dest: rtranclp-PAC-Format-subset-ideal)
    done
  subgoal
    by (rule RETURN-SPEC-refine)
    (auto simp: Ex-status-iff)
  done
subgoal for x11
  unfolding check-del-def
  apply (refine-vcg lhs-step-If)
  subgoal for eq
    using assms vars-B apply –
    apply (rule RETURN-SPEC-refine)
    apply (cases ⟨x11 ∈# dom-m A⟩)
    subgoal
      apply (rule-tac x = ⟨(a,  $\mathcal{V}$ , remove1-mset (the (fmlookup A x11)) B)⟩ in exI)
      apply (auto simp: polys-rel-update-remove PAC-Format-add-and-remove
        is-failed-def is-success-def is-found-def
        dest!: eq-successI
        split: if-splits
        dest: rtranclp-PAC-Format-subset-ideal
        intro: PAC-Format-add-and-remove H3)
      done
    subgoal

```

```

apply (rule-tac  $x = \langle (a, \mathcal{V}, B) \rangle$  in  $exI$ )
apply (auto simp: fmdrop-irrelevant
  is-failed-def is-success-def is-found-def
  dest!: eq-successI
  split: if-splits
  dest: rtrancp-PAC-Format-subset-ideal
  intro: PAC-Format-add-and-remove)
done
done
subgoal
  by (rule RETURN-SPEC-refine)
  (auto simp: Ex-status-iff)
done
done
qed

```

**definition** *PAC-checker*

$\langle \text{int-poly} \Rightarrow \text{fpac-step} \Rightarrow \text{status} \Rightarrow (\text{int-poly}, \text{nat}, \text{nat}) \text{ pac-step list} \Rightarrow$   
 $(\text{status} \times \text{fpac-step}) \text{ nres} \rangle$

**where**

```

 $\langle \text{PAC-checker spec } A \text{ } b \text{ } st = \text{do} \{$ 
   $(S, -) \leftarrow \text{WHILE}_T$ 
   $(\lambda((b :: \text{status}, A :: \text{fpac-step}), st). \neg \text{is-failed } b \wedge st \neq [])$ 
   $(\lambda((bA), st). \text{do} \{$ 
     $\text{ASSERT}(st \neq []);$ 
     $S \leftarrow \text{PAC-checker-step spec } (bA) (\text{hd } st);$ 
     $\text{RETURN } (S, \text{tl } st)$ 
   $\})$ 
   $((b, A), st);$ 
 $\text{RETURN } S$ 
 $\} \rangle$ 

```

**lemma** *PAC-checker-specification-spec-trans:*

$\langle \text{PAC-checker-specification-spec spec } A \text{ } (st, x2) \Rightarrow$   
 $\text{PAC-checker-specification-step-spec } A \text{ spec } x2 \text{ } (st', x1a) \Rightarrow$   
 $\text{PAC-checker-specification-spec spec } A \text{ } (st', x1a) \rangle$

**unfolding** *PAC-checker-specification-spec-def*

*PAC-checker-specification-step-spec-def*

**apply** *auto*

**using** *is-failed-alt-def* **apply** *blast+*

**done**

**lemma** *RES-SPEC-eq:*

$\langle \text{RES } \Phi = \text{SPEC}(\lambda P. P \in \Phi) \rangle$

**by** *auto*

**lemma** *is-failed-is-success-completeD:*

$\langle \neg \text{is-failed } x \Rightarrow \neg \text{is-success } x \Rightarrow \text{is-found } x \rangle$

**by** (cases  $x$ ) *auto*

**lemma** *PAC-checker-PAC-checker-specification2:*

$\langle (A, B) \in \text{polys-rel-full} \Rightarrow$

$\neg \text{is-failed } a \Rightarrow$

$(a = \text{FOUND} \implies \text{spec} \in \text{pac-ideal} (\text{set-mset} (\text{snd } B))) \implies$   
 $\bigcup (\text{vars } ' \text{ set-mset } (\text{ran-m } (\text{snd } A))) \subseteq \text{fst } B \implies$   
 $\text{vars spec} \subseteq \text{fst } B \implies$   
 $\text{PAC-checker spec } A \text{ a st} \leq \Downarrow (\text{status-rel} \times_r \text{polys-rel-full}) (\text{PAC-checker-specification2 spec } B)$   
**unfolding**  $\text{PAC-checker-def conc-fun-RES}$   
**apply**  $(\text{subst RES-SPEC-eq})$   
**apply**  $(\text{refine-vcg WHILET-rule}[\text{where}$   
 $I = \langle \lambda((bB), st). bB \in (\text{status-rel} \times_r \text{polys-rel-full})^{-1} \text{ ``}$   
 $\text{Collect } (\text{PAC-checker-specification-spec spec } B)$   
 $\text{and } R = \langle \text{measure } (\lambda(-, st). \text{Suc } (\text{length } st)) \rangle])$   
**subgoal by auto**  
**subgoal apply**  $(\text{auto simp: PAC-checker-specification-spec-def})$   
**apply**  $(\text{cases } B; \text{cases } A)$   
**apply**  $(\text{auto simp: polys-rel-def polys-rel-full-def Image-iff})$   
**done**  
**subgoal by auto**  
**subgoal**  
**apply auto**  
**apply**  $(\text{rule}$   
 $\text{PAC-checker-step-PAC-checker-specification2}[\text{of } - - - - - \langle \text{fst } B \rangle, \text{ THEN order-trans}])$   
**apply assumption**  
**apply assumption**  
**apply**  $(\text{auto intro: PAC-checker-specification-spec-trans simp: conc-fun-RES})$   
**apply**  $(\text{auto simp: PAC-checker-specification-spec-def polys-rel-full-def polys-rel-def}$   
 $\text{dest: PAC-Format-subset-ideal}$   
 $\text{dest: is-failed-is-success-completeD; fail})+$   
**apply**  $(\text{auto simp: Image-iff intro: PAC-checker-specification-spec-trans})$   
**by**  $(\text{metis } (\text{mono-tags, lifting}) \text{ PAC-checker-specification-spec-trans mem-Collect-eq old.prod.case}$   
 $\text{polys-rel-def polys-rel-full-def prod.collapse})$   
**subgoal**  
**by auto**  
**done**

**definition**  $\text{remap-polys-polynomial-bool} :: \langle \text{int mpolys} \Rightarrow \text{nat set} \Rightarrow (\text{nat}, \text{int-poly}) \text{ fmap} \Rightarrow (\text{status} \times \text{fpac-step}) \text{ nres} \rangle$  **where**

$\langle \text{remap-polys-polynomial-bool spec} = (\lambda \mathcal{V} A.$   
 $\text{SPEC}(\lambda(st, \mathcal{V}', A'). (\neg \text{is-failed } st \longrightarrow$   
 $\text{dom-m } A = \text{dom-m } A' \wedge$   
 $(\forall i \in \# \text{ dom-m } A. \text{ the } (\text{fmlookup } A \ i) - \text{ the } (\text{fmlookup } A' \ i) \in \text{ideal polynomial-bool}) \wedge$   
 $\bigcup (\text{vars } ' \text{ set-mset } (\text{ran-m } A)) \subseteq \mathcal{V}' \wedge$   
 $\bigcup (\text{vars } ' \text{ set-mset } (\text{ran-m } A')) \subseteq \mathcal{V}') \wedge$   
 $(st = \text{FOUND} \longrightarrow \text{spec} \in \# \text{ ran-m } A')) \rangle$

**definition**  $\text{remap-polys-change-all} :: \langle \text{int mpolys} \Rightarrow \text{nat set} \Rightarrow (\text{nat}, \text{int-poly}) \text{ fmap} \Rightarrow (\text{status} \times \text{fpac-step}) \text{ nres} \rangle$  **where**

$\langle \text{remap-polys-change-all spec} = (\lambda \mathcal{V} A. \text{SPEC } (\lambda(st, \mathcal{V}', A').$   
 $(\neg \text{is-failed } st \longrightarrow$   
 $\text{pac-ideal } (\text{set-mset } (\text{ran-m } A)) = \text{pac-ideal } (\text{set-mset } (\text{ran-m } A')) \wedge$   
 $\bigcup (\text{vars } ' \text{ set-mset } (\text{ran-m } A)) \subseteq \mathcal{V}' \wedge$   
 $\bigcup (\text{vars } ' \text{ set-mset } (\text{ran-m } A')) \subseteq \mathcal{V}') \wedge$   
 $(st = \text{FOUND} \longrightarrow \text{spec} \in \# \text{ ran-m } A')) \rangle$

**lemma**  $\text{fmap-eq-dom-iff}$ :

$\langle A = A' \longleftrightarrow \text{dom-m } A = \text{dom-m } A' \wedge (\forall i \in \# \text{ dom-m } A. \text{ the } (\text{fmlookup } A \ i) = \text{ the } (\text{fmlookup } A' \ i)) \rangle$   
**apply auto**

by (metis fmap-ext in-dom-m-lookup-iff option.collapse)

**lemma** *ideal-remap-incl*:

$\langle \text{finite } A' \implies (\forall a' \in A'. \exists a \in A. a - a' \in B) \implies \text{ideal } (A' \cup B) \subseteq \text{ideal } (A \cup B) \rangle$

apply (induction A' rule: finite-induct)

apply (auto intro: ideal.span-mono)

using ideal.span-mono sup-ge2 apply blast

**proof** –

fix x :: 'a and F :: 'a set and xa :: 'a and a :: 'a

assume a1: a ∈ A

assume a2: a – x ∈ B

assume a3: xa ∈ More-Modules.ideal (insert x (F ∪ B))

assume a4: More-Modules.ideal (F ∪ B) ⊆ More-Modules.ideal (A ∪ B)

have x ∈ More-Modules.ideal (A ∪ B)

using a2 a1 by (metis (no-types, lifting) Un-upper1 Un-upper2 add-diff-cancel-left' diff-add-cancel ideal.module-axioms ideal.span-diff in-mono module.span-superset)

then show xa ∈ More-Modules.ideal (A ∪ B)

using a4 a3 ideal.span-insert-subset by blast

qed

**lemma** *pac-ideal-remap-eq*:

$\langle \text{dom-m } b = \text{dom-m } ba \implies$

$\forall i \in \# \text{dom-m } ba.$

$\text{the } (\text{fmlookup } b \ i) - \text{the } (\text{fmlookup } ba \ i)$

$\in \text{More-Modules.ideal polynomial-bool} \implies$

$\text{pac-ideal } ((\lambda x. \text{the } (\text{fmlookup } b \ x)) \text{ 'set-mset } (\text{dom-m } ba)) = \text{pac-ideal } ((\lambda x. \text{the } (\text{fmlookup } ba \ x)) \text{ '}$

$\text{set-mset } (\text{dom-m } ba)) \rangle$

unfolding pac-ideal-alt-def

apply standard

subgoal

apply (rule ideal-remap-incl)

apply (auto dest!: multi-member-split

dest: ideal.span-neg)

apply (drule ideal.span-neg)

apply auto

done

subgoal

by (rule ideal-remap-incl)

(auto dest!: multi-member-split)

done

**lemma** *remap-polys-polynomial-bool-remap-polys-change-all*:

$\langle \text{remap-polys-polynomial-bool spec } \mathcal{V} \ A \leq \text{remap-polys-change-all spec } \mathcal{V} \ A \rangle$

unfolding remap-polys-polynomial-bool-def remap-polys-change-all-def

apply (simp add: ideal.span-zero fmap-eq-dom-iff ideal.span-eq)

apply (auto dest: multi-member-split simp: ran-m-def ideal.span-base pac-ideal-remap-eq

add-mset-eq-add-mset

eq-commute[of (add-mset - -) (dom-m (A :: (nat, int mpoly)fmap)) for A])

done

**definition** *remap-polys* ::  $\langle \text{int mpoly} \Rightarrow \text{nat set} \Rightarrow (\text{nat, int-poly}) \text{ fmap} \Rightarrow (\text{status} \times \text{fpac-step}) \text{ nres} \rangle$

where

$\langle \text{remap-polys spec} = (\lambda \mathcal{V} \ A. \text{do}\{$

$\text{dom} \leftarrow \text{SPEC}(\lambda \text{dom}. \text{set-mset } (\text{dom-m } A) \subseteq \text{dom} \wedge \text{finite dom});$

```

failed ← SPEC(λ::bool. True);
if failed
then do {
  RETURN (FAILED, V, fmempty)
}
else do {
  (b, N) ← FOREACH dom
  (λi (b, V, A').
    if i ∈# dom-m A
    then do {
      p ← SPEC(λp. the (fmlookup A i) – p ∈ ideal polynomial-bool ∧ vars p ⊆ vars (the (fmlookup
A i)));
      eq ← SPEC(λeq. eq → p = spec);
      V ← SPEC(λV'. V ∪ vars (the (fmlookup A i)) ⊆ V');
      RETURN(b ∨ eq, V, fmupd i p A')
    } else RETURN (b, V, A'))
  (False, V, fmempty);
  RETURN (if b then FOUND else SUCCESS, N)
}
})

```

**lemma** *remap-polys-spec:*

```

⟨remap-polys spec V A ≤ remap-polys-polynomial-bool spec V A⟩
unfolding remap-polys-def remap-polys-polynomial-bool-def
apply (refine-vcg FOREACH-rule[where
  I = ⟨λdom (b, V, A').
    set-mset (dom-m A') = set-mset (dom-m A) – dom ∧
    (∀ i ∈ set-mset (dom-m A) – dom. the (fmlookup A i) – the (fmlookup A' i) ∈ ideal polynomial-bool)
  ∧
    ⋃ (vars ‘ set-mset (ran-m (fmrestrict-set (set-mset (dom-m A')) A))) ⊆ V ∧
    ⋃ (vars ‘ set-mset (ran-m A')) ⊆ V ∧
    (b → spec ∈# ran-m A'))])
subgoal by auto
subgoal by auto
subgoal by auto
subgoal by auto
subgoal by auto
subgoal by auto
subgoal by auto
subgoal
  by auto
subgoal by auto
subgoal using ideal.span-add by auto
subgoal by auto
subgoal by auto
subgoal by clarsimp auto
subgoal
  supply[[goals-limit=1]]
  by (auto simp add: ran-m-mapsto-upd-notin dom-m-fmrestrict-set' subset-eq)
subgoal
  supply[[goals-limit=1]]
  by (auto simp add: ran-m-mapsto-upd-notin dom-m-fmrestrict-set' subset-eq)
subgoal
  by (auto simp: ran-m-mapsto-upd-notin)

```

```

subgoal
  by auto
subgoal
  by auto
subgoal
  by (auto simp add: ran-m-mapsto-upd-notin dom-m-fmrestrict-set' subset-eq)
subgoal
  by (auto simp add: ran-m-mapsto-upd-notin dom-m-fmrestrict-set' subset-eq)
subgoal
  by auto
subgoal
  by (auto simp: distinct-set-mset-eq-iff[symmetric] distinct-mset-dom)
subgoal
  by (auto simp: distinct-set-mset-eq-iff[symmetric] distinct-mset-dom)
subgoal
  by (auto simp add: ran-m-mapsto-upd-notin dom-m-fmrestrict-set' subset-eq
    fmlookup-restrict-set-id')
subgoal
  by (auto simp add: ran-m-mapsto-upd-notin dom-m-fmrestrict-set' subset-eq)
subgoal
  by (auto simp add: ran-m-mapsto-upd-notin dom-m-fmrestrict-set' subset-eq
    fmlookup-restrict-set-id')
done

```

### 6.3 Full Checker

**definition** *full-checker*

$:: \langle \text{int-poly} \Rightarrow (\text{nat}, \text{int-poly}) \text{ fmap} \Rightarrow (\text{int-poly}, \text{nat}, \text{nat}) \text{ pac-step list} \Rightarrow (\text{status} \times -) \text{ nres} \rangle$

**where**

```

⟨full-checker spec0 A pac = do {
  spec ← normalize-poly-spec spec0;
  (st, V, A) ← remap-polys-change-all spec {} A;
  if is-failed st then
    RETURN (st, V, A)
  else do {
    V ← SPEC(λV'. V ∪ vars spec0 ⊆ V');
    PAC-checker spec (V, A) st pac
  }
}⟩

```

**lemma** *restricted-ideal-to-mono:*

$\langle \text{restricted-ideal-to}_I \mathcal{V} I \subseteq \text{restricted-ideal-to}_I \mathcal{V}' J \implies$   
 $\mathcal{U} \subseteq \mathcal{V} \implies$   
 $\text{restricted-ideal-to}_I \mathcal{U} I \subseteq \text{restricted-ideal-to}_I \mathcal{U} J \rangle$   
**by** (auto simp: restricted-ideal-to-def)

**lemma** *full-checker-spec:*

**assumes**  $\langle (A, A') \in \text{polys-rel} \rangle$

**shows**

$\langle \text{full-checker spec } A \text{ pac} \leq \Downarrow \{((st, G), (st', G')). (st, st') \in \text{status-rel} \wedge$   
 $(st \neq \text{FAILED} \longrightarrow (G, G') \in \text{polys-rel-full})\}$   
 $(\text{PAC-checker-specification spec } (A')) \rangle$

**proof** –

**have**  $H: \langle \text{set-mset } b \subseteq \text{pac-ideal } (\text{set-mset } (\text{ran-m } A)) \implies$   
 $x \in \text{pac-ideal } (\text{set-mset } b) \implies x \in \text{pac-ideal } (\text{set-mset } A') \rangle$  **for**  $b \ x$   
**using** *assms* **apply** (auto simp: polys-rel-def)

```

by (metis (no-types, lifting) ideal.span-subset-spanI ideal.span-superset le-sup-iff pac-ideal-alt-def sub-
setD)
have 1: (x ∈ {(st, V', A')}.
  (¬ is-failed st → pac-ideal (set-mset (ran-m x2)) =
    pac-ideal (set-mset (ran-m A')) ∧
    ⋃ (vars ' set-mset (ran-m ABC)) ⊆ V' ∧
    ⋃ (vars ' set-mset (ran-m A')) ⊆ V') ∧
    (st = FOUND → specA ∈# ran-m A')) ⇒
    x = (st, x') ⇒ x' = (V, Aa) ⇒ ((V', Aa), V', ran-m Aa) ∈ polys-rel-full) for Aa specA x2 st x
V' V x' ABC
by (auto simp: polys-rel-def polys-rel-full-def)
show ?thesis
supply[[goals-limit=1]]
unfolding full-checker-def normalize-poly-spec-def
  PAC-checker-specification-def remap-polys-change-all-def
apply (refine-vcg PAC-checker-PAC-checker-specification2[THEN order-trans, of - -]
  lhs-step-If)
subgoal by (auto simp: is-failed-def RETURN-RES-refine-iff)
apply (rule 1; assumption)
subgoal
  using fmap-ext assms by (auto simp: polys-rel-def ran-m-def)
subgoal
  by auto
subgoal
  by auto
subgoal for specA x1 x2 x x1a x2a x1b
  apply (rule ref-two-step[OF conc-fun-R-mono])
  apply auto[]
  using assms
  apply (auto simp add: PAC-checker-specification-spec-def conc-fun-RES polys-rel-def polys-rel-full-def
    dest!: rtranclp-PAC-Format-subset-ideal dest: is-failed-is-success-completeD)
  apply (drule restricted-ideal-to-mono[of - - - ⋃ (vars ' set-mset (ran-m A)) ∪ vars spec])
  apply auto[]
  apply auto[]
  apply (metis (no-types, lifting) group-eq-aux ideal.span-add ideal.span-base in-mono pac-ideal-alt-def
    sup.cobounded2)
  apply (smt le-sup-iff restricted-ideal-to-mono subsetD subset-trans sup-ge1 sup-ge2)
  apply (metis (no-types, lifting) cancel-comm-monoid-add-class.diff-cancel diff-add-eq
    diff-in-polynomial-bool-pac-idealI group-eq-aux ideal.span-add-eq2)
  apply (drule restricted-ideal-to-mono[of - - - ⋃ (vars ' set-mset (ran-m A)) ∪ vars spec])
  apply auto[]
  apply auto[]
  apply (smt le-sup-iff restricted-ideal-to-mono subsetD subset-trans sup-ge1 sup-ge2)
  apply (drule restricted-ideal-to-mono[of - - - ⋃ (vars ' set-mset (ran-m A)) ∪ vars spec])
  apply auto[]
  apply auto[]
  apply (metis (no-types, lifting) group-eq-aux ideal.span-add ideal.span-base in-mono pac-ideal-alt-def
    sup.cobounded2)
  apply (smt le-sup-iff restricted-ideal-to-mono subsetD subset-trans sup-ge1 sup-ge2)
  apply (metis (no-types, lifting) group-eq-aux ideal.span-add ideal.span-base in-mono pac-ideal-alt-def
    sup.cobounded2)
  done
done
qed

```

```

lemma full-checker-spec':
  shows
     $\langle (\text{uncurry2 } \text{full-checker}, \text{uncurry2 } (\lambda \text{spec } A -. \text{PAC-checker-specification spec } A)) \in$ 
     $(\text{Id} \times_r \text{polys-rel}) \times_r \text{Id} \rightarrow_f \{ \langle (st, G), (st', G') \rangle. (st, st') \in \text{status-rel} \wedge$ 
     $(st \neq \text{FAILED} \longrightarrow (G, G') \in \text{polys-rel-full}) \} \rangle \text{nres-rel}$ 
  using full-checker-spec
  by (auto intro!: frefI nres-relI)

end
theory PAC-Polynomials
  imports PAC-Specification Finite-Map-Multiset
begin

```

## 7 Polynomials of strings

Isabelle's definition of polynomials only work with variables of type *nat*. Therefore, we introduce a version that uses strings.

### 7.1 Polynomials and Variables

```

lemma poly-embed-EX:
   $\langle \exists \varphi. \text{bij } (\varphi :: \text{string} \Rightarrow \text{nat}) \rangle$ 
  by (rule countableE-infinite[of  $\langle \text{UNIV} :: \text{string set} \rangle$ ])
  (auto intro!: infinite-UNIV-listI)

```

Using a multiset instead of a list has some advantage from an abstract point of view. First, we can have monomials that appear several times and the coefficient can also be zero. Basically, we can represent un-normalised polynomials, which is very useful to talk about intermediate states in our program.

```

type-synonym term-poly =  $\langle \text{string multiset} \rangle$ 
type-synonym mset-polynomial =
   $\langle (\text{term-poly} * \text{int}) \text{ multiset} \rangle$ 

```

```

definition normalized-poly ::  $\langle \text{mset-polynomial} \Rightarrow \text{bool} \rangle$  where
   $\langle \text{normalized-poly } p \longleftrightarrow$ 
     $\text{distinct-mset } (\text{fst } \# p) \wedge$ 
     $0 \notin \# \text{snd } \# p \rangle$ 

```

```

lemma normalized-poly-simps[simp]:
   $\langle \text{normalized-poly } \{ \# \} \rangle$ 
   $\langle \text{normalized-poly } (\text{add-mset } t \ p) \longleftrightarrow \text{snd } t \neq 0 \wedge$ 
   $\text{fst } t \notin \# \text{fst } \# p \wedge \text{normalized-poly } p \rangle$ 
  by (auto simp: normalized-poly-def)

```

```

lemma normalized-poly-mono:
   $\langle \text{normalized-poly } B \Longrightarrow A \subseteq \# B \Longrightarrow \text{normalized-poly } A \rangle$ 
  unfolding normalized-poly-def
  by (auto intro: distinct-mset-mono image-mset-subseteq-mono)

```

```

definition mult-poly-by-monom ::  $\langle \text{term-poly} * \text{int} \Rightarrow \text{mset-polynomial} \Rightarrow \text{mset-polynomial} \rangle$  where
   $\langle \text{mult-poly-by-monom} = (\lambda \text{ys } q. \text{image-mset } (\lambda \text{xs}. (\text{fst } \text{xs} + \text{fst } \text{ys}, \text{snd } \text{ys} * \text{snd } \text{xs})) \ q) \rangle$ 

```



**definition** *mult-poly-raw* ::  $\langle \text{mset-polynomial} \Rightarrow \text{mset-polynomial} \Rightarrow \text{mset-polynomial} \rangle$  **where**  
 $\langle \text{mult-poly-raw } p \ q =$   
 $\quad (\text{sum-mset } ((\lambda y. \text{mult-poly-by-monom } y \ q) \ \# \ p)) \rangle$

**definition** *remove-powers* ::  $\langle \text{mset-polynomial} \Rightarrow \text{mset-polynomial} \rangle$  **where**  
 $\langle \text{remove-powers } xs = \text{image-mset } (\text{apfst remdups-mset}) \ xs \rangle$

**definition** *all-vars-mset* ::  $\langle \text{mset-polynomial} \Rightarrow \text{string multiset} \rangle$  **where**  
 $\langle \text{all-vars-mset } p = \bigcup \# (\text{fst } \# \ p) \rangle$

**abbreviation** *all-vars* ::  $\langle \text{mset-polynomial} \Rightarrow \text{string set} \rangle$  **where**  
 $\langle \text{all-vars } p \equiv \text{set-mset } (\text{all-vars-mset } p) \rangle$

**definition** *add-to-coefficient* ::  $\langle - \Rightarrow \text{mset-polynomial} \Rightarrow \text{mset-polynomial} \rangle$  **where**  
 $\langle \text{add-to-coefficient} = (\lambda (a, n) \ b. \{ \#(a', -) \in \# \ b. \ a' \neq a \# \} +$   
 $\quad (\text{if } n + \text{sum-mset } (\text{snd } \# \{ \#(a', -) \in \# \ b. \ a' = a \# \}) = 0 \text{ then } \{ \# \}$   
 $\quad \text{else } \{ \#(a, n + \text{sum-mset } (\text{snd } \# \{ \#(a', -) \in \# \ b. \ a' = a \# \})) \# \}) \rangle$

**definition** *normalize-poly* ::  $\langle \text{mset-polynomial} \Rightarrow \text{mset-polynomial} \rangle$  **where**  
 $\langle \text{normalize-poly } p = \text{fold-mset } \text{add-to-coefficient } \{ \# \} \ p \rangle$

**lemma** *add-to-coefficient-simps*:

$\langle n + \text{sum-mset } (\text{snd } \# \{ \#(a', -) \in \# \ b. \ a' = a \# \}) \neq 0 \implies$   
 $\quad \text{add-to-coefficient } (a, n) \ b = \{ \#(a', -) \in \# \ b. \ a' \neq a \# \} +$   
 $\quad \{ \#(a, n + \text{sum-mset } (\text{snd } \# \{ \#(a', -) \in \# \ b. \ a' = a \# \})) \# \} \rangle$   
 $\langle n + \text{sum-mset } (\text{snd } \# \{ \#(a', -) \in \# \ b. \ a' = a \# \}) = 0 \implies$   
 $\quad \text{add-to-coefficient } (a, n) \ b = \{ \#(a', -) \in \# \ b. \ a' \neq a \# \} \rangle$  **and**  
*add-to-coefficient-simps-If*:  
 $\langle \text{add-to-coefficient } (a, n) \ b = \{ \#(a', -) \in \# \ b. \ a' \neq a \# \} +$   
 $\quad (\text{if } n + \text{sum-mset } (\text{snd } \# \{ \#(a', -) \in \# \ b. \ a' = a \# \}) = 0 \text{ then } \{ \# \}$   
 $\quad \text{else } \{ \#(a, n + \text{sum-mset } (\text{snd } \# \{ \#(a', -) \in \# \ b. \ a' = a \# \})) \# \}) \rangle$   
**by** (*auto simp: add-to-coefficient-def*)

**interpretation** *comp-fun-commute*  $\langle \text{add-to-coefficient} \rangle$

**proof** –

**have** [*simp*]:  
 $\langle a \neq aa \implies$   
 $\quad ((\text{case } x \text{ of } (a', -) \Rightarrow a' \neq aa) \wedge (\text{case } x \text{ of } (a', -) \Rightarrow a' = a)) \longleftrightarrow$   
 $\quad (\text{case } x \text{ of } (a', -) \Rightarrow a' = a) \rangle$  **for**  $a' \ aa \ a \ x$   
**by** *auto*  
**show**  $\langle \text{comp-fun-commute } \text{add-to-coefficient} \rangle$   
**unfolding** *add-to-coefficient-def*  
**by** *standard*  
 $(\text{auto intro!: ext simp: filter-filter-mset ac-simps add-eq-0-iff}$   
 $\quad \text{intro: filter-mset-cong})$

**qed**

**lemma** *normalized-poly-normalize-poly*[*simp*]:

$\langle \text{normalized-poly } (\text{normalize-poly } p) \rangle$   
**unfolding** *normalize-poly-def*  
**apply** (*induction p*)  
**subgoal by** *auto*  
**subgoal for**  $x \ p$

```

by (cases x)
  (auto simp: add-to-coefficient-simps-If
    intro: normalized-poly-mono)
done

```

## 7.2 Addition

**inductive** *add-poly-p* ::  $\langle \text{mset-polynomial} \times \text{mset-polynomial} \times \text{mset-polynomial} \Rightarrow \text{mset-polynomial} \times \text{mset-polynomial} \times \text{mset-polynomial} \Rightarrow \text{bool} \rangle$  **where**

*add-new-coeff-r*:

$\langle \text{add-poly-p } (p, \text{add-mset } x \ q, r) \ (p, q, \text{add-mset } x \ r) \rangle \mid$

*add-new-coeff-l*:

$\langle \text{add-poly-p } (\text{add-mset } x \ p, q, r) \ (p, q, \text{add-mset } x \ r) \rangle \mid$

*add-same-coeff-l*:

$\langle \text{add-poly-p } (\text{add-mset } (x, n) \ p, q, \text{add-mset } (x, m) \ r) \ (p, q, \text{add-mset } (x, n + m) \ r) \rangle \mid$

*add-same-coeff-r*:

$\langle \text{add-poly-p } (p, \text{add-mset } (x, n) \ q, \text{add-mset } (x, m) \ r) \ (p, q, \text{add-mset } (x, n + m) \ r) \rangle \mid$

*rem-0-coeff*:

$\langle \text{add-poly-p } (p, q, \text{add-mset } (x, 0) \ r) \ (p, q, r) \rangle$

**inductive-cases** *add-poly-pE*:  $\langle \text{add-poly-p } S \ T \rangle$

**lemmas** *add-poly-p-induct* =

*add-poly-p.induct*[*split-format*(*complete*)]

**lemma** *add-poly-p-empty-l*:

$\langle \text{add-poly-p}^{**} (p, q, r) \ (\{\#\}, q, p + r) \rangle$

**apply** (*induction p arbitrary: r*)

**subgoal by auto**

**subgoal**

**by** (*metis* (*no-types, lifting*) *add-new-coeff-l r-into-rtrancp*  
*rtrancp-trans union-mset-add-mset-left union-mset-add-mset-right*)

**done**

**lemma** *add-poly-p-empty-r*:

$\langle \text{add-poly-p}^{**} (p, q, r) \ (p, \{\#\}, q + r) \rangle$

**apply** (*induction q arbitrary: r*)

**subgoal by auto**

**subgoal**

**by** (*metis* (*no-types, lifting*) *add-new-coeff-r r-into-rtrancp*  
*rtrancp-trans union-mset-add-mset-left union-mset-add-mset-right*)

**done**

**lemma** *add-poly-p-sym*:

$\langle \text{add-poly-p } (p, q, r) \ (p', q', r') \longleftrightarrow \text{add-poly-p } (q, p, r) \ (q', p', r') \rangle$

**apply** (*rule iffI*)

**subgoal**

**by** (*cases rule: add-poly-p.cases, assumption*)  
*(auto intro: add-poly-p.intros)*

**subgoal**

**by** (*cases rule: add-poly-p.cases, assumption*)  
*(auto intro: add-poly-p.intros)*

**done**

**lemma** *wf-if-measure-in-wf*:

$\langle \text{wf } R \implies (\bigwedge a \ b. (a, b) \in S \implies (\nu \ a, \nu \ b) \in R) \implies \text{wf } S \rangle$

by (metis in-inv-image wfE-min wfI-min wf-inv-image)

**lemma** *lexn-n*:

$\langle n > 0 \implies (x \# xs, y \# ys) \in \text{lexn } r \ n \longleftrightarrow$   
 $(\text{length } xs = n-1 \wedge \text{length } ys = n-1) \wedge ((x, y) \in r \vee (x = y \wedge (xs, ys) \in \text{lexn } r \ (n-1))) \rangle$   
**apply** (cases n)  
**apply** simp  
**by** (auto simp: map-prod-def image-iff lex-prod-def)

**lemma** *wf-add-poly-p*:

$\langle \text{wf } \{(x, y). \text{add-poly-p } y \ x\} \rangle$   
**by** (rule wf-if-measure-in-wf[**where**  $R = \langle \text{lexn less-than } 3 \rangle$  **and**  
 $\nu = \langle \lambda(a,b,c). [\text{size } a, \text{size } b, \text{size } c] \rangle$ ])  
(auto simp: add-poly-p.simps wf-lexn  
simp: lexn-n simp del: lexn.simps(2))

**lemma** *mult-poly-by-monom-simps[simp]*:

$\langle \text{mult-poly-by-monom } t \ \{\# \} = \{\# \} \rangle$   
 $\langle \text{mult-poly-by-monom } t \ (ps + qs) = \text{mult-poly-by-monom } t \ ps + \text{mult-poly-by-monom } t \ qs \rangle$   
 $\langle \text{mult-poly-by-monom } a \ (\text{add-mset } p \ ps) = \text{add-mset } (\text{fst } a + \text{fst } p, \text{snd } a * \text{snd } p) \ (\text{mult-poly-by-monom } a \ ps) \rangle$

**proof** –

**interpret** comp-fun-commute  $\langle (\lambda xs. \text{add-mset } (xs + t)) \rangle$  **for**  $t$   
**by** standard auto

**show**

$\langle \text{mult-poly-by-monom } t \ (ps + qs) = \text{mult-poly-by-monom } t \ ps + \text{mult-poly-by-monom } t \ qs \rangle$  **for**  $t$   
**by** (induction ps)  
(auto simp: mult-poly-by-monom-def)

**show**

$\langle \text{mult-poly-by-monom } a \ (\text{add-mset } p \ ps) = \text{add-mset } (\text{fst } a + \text{fst } p, \text{snd } a * \text{snd } p) \ (\text{mult-poly-by-monom } a \ ps) \rangle$

$\langle \text{mult-poly-by-monom } t \ \{\# \} = \{\# \} \rangle$  **for**  $t$

**by** (auto simp: mult-poly-by-monom-def)

**qed**

**inductive** *mult-poly-p* ::  $\langle \text{mset-polynomial} \Rightarrow \text{mset-polynomial} \times \text{mset-polynomial} \Rightarrow \text{mset-polynomial} \times \text{mset-polynomial} \Rightarrow \text{bool} \rangle$

**for**  $q :: \text{mset-polynomial}$  **where**

*mult-step*:

$\langle \text{mult-poly-p } q \ (\text{add-mset } (xs, n) \ p, r) \ (p, (\lambda(ys, m). (\text{remdups-mset } (xs + ys), n * m))) \ \# \ q + r) \rangle$

**lemmas** *mult-poly-p-induct* = *mult-poly-p.induct*[split-format(complete)]

### 7.3 Normalisation

**inductive** *normalize-poly-p* ::  $\langle \text{mset-polynomial} \Rightarrow \text{mset-polynomial} \Rightarrow \text{bool} \rangle$  **where**

*rem-0-coeff*[simp, intro]:

$\langle \text{normalize-poly-p } p \ q \implies \text{normalize-poly-p } (\text{add-mset } (xs, 0) \ p) \ q \mid$

*merge-dup-coeff*[simp, intro]:

$\langle \text{normalize-poly-p } p \ q \implies \text{normalize-poly-p } (\text{add-mset } (xs, m) \ (\text{add-mset } (xs, n) \ p)) \ (\text{add-mset } (xs, m + n) \ q) \rangle \mid$

*same*[simp, intro]:

$\langle \text{normalize-poly-p } p \ p \mid$

*keep-coeff*[simp, intro]:

$\langle \text{normalize-poly-p } p \ q \implies \text{normalize-poly-p } (\text{add-mset } x \ p) \ (\text{add-mset } x \ q) \rangle$

## 7.4 Correctness

This locale maps string polynomials to real polynomials.

```

locale poly-embed =
  fixes  $\varphi :: \langle \text{string} \Rightarrow \text{nat} \rangle$ 
  assumes  $\varphi\text{-inj} :: \langle \text{inj } \varphi \rangle$ 
begin

```

```

definition poly-of-vars ::  $\text{term-poly} \Rightarrow ('a :: \{\text{comm-semiring-1}\}) \text{ mpoly}$  where
   $\langle \text{poly-of-vars } xs = \text{fold-mset } (\lambda a \ b. \text{Var } (\varphi \ a) * b) \ (1 :: 'a \text{ mpoly}) \ xs \rangle$ 

```

```

lemma poly-of-vars-simps[simp]:

```

```

  shows

```

```

   $\langle \text{poly-of-vars } (\text{add-mset } x \ xs) = \text{Var } (\varphi \ x) * (\text{poly-of-vars } xs :: ('a :: \{\text{comm-semiring-1}\}) \text{ mpoly}) \rangle$  (is
  ?A) and

```

```

   $\langle \text{poly-of-vars } (xs + ys) = \text{poly-of-vars } xs * (\text{poly-of-vars } ys :: ('a :: \{\text{comm-semiring-1}\}) \text{ mpoly}) \rangle$  (is
  ?B)

```

```

proof –

```

```

  interpret comp-fun-commute  $\langle (\lambda a \ b. (b :: 'a :: \{\text{comm-semiring-1}\}) \text{ mpoly}) * \text{Var } (\varphi \ a) \rangle$ 

```

```

    by standard

```

```

    (auto simp: algebra-simps ac-simps

```

```

      Var-def times-monomial-monomial intro!: ext)

```

```

show ?A

```

```

  by (auto simp: poly-of-vars-def comp-fun-commute-axioms fold-mset-fusion
    ac-simps)

```

```

show ?B

```

```

  apply (auto simp: poly-of-vars-def ac-simps)

```

```

  by (simp add: local.comp-fun-commute-axioms local.fold-mset-fusion
    semiring-normalization-rules(18))

```

```

qed

```

```

definition mononom-of-vars where

```

```

   $\langle \text{mononom-of-vars} \equiv (\lambda(xs, n). (+) (\text{Const } n * \text{poly-of-vars } xs)) \rangle$ 

```

```

interpretation comp-fun-commute  $\langle \text{mononom-of-vars} \rangle$ 

```

```

  by standard

```

```

  (auto simp: algebra-simps ac-simps mononom-of-vars-def
    Var-def times-monomial-monomial intro!: ext)

```

```

lemma [simp]:

```

```

   $\langle \text{poly-of-vars } \{\#\} = 1 \rangle$ 

```

```

  by (auto simp: poly-of-vars-def)

```

```

lemma mononom-of-vars-add[simp]:

```

```

   $\langle \text{NO-MATCH } 0 \ b \implies \text{mononom-of-vars } xs \ b = \text{Const } (\text{snd } xs) * \text{poly-of-vars } (\text{fst } xs) + b \rangle$ 

```

```

  by (cases xs)

```

```

    (auto simp: ac-simps mononom-of-vars-def)

```

```

definition polynomial-of-mset ::  $\langle \text{mset-polynomial} \Rightarrow \rightarrow \rangle$  where

```

```

   $\langle \text{polynomial-of-mset } p = \text{sum-mset } (\text{mononom-of-vars } \text{'\# } p) \ 0 \rangle$ 

```

```

lemma polynomial-of-mset-append[simp]:

```

```

   $\langle \text{polynomial-of-mset } (xs + ys) = \text{polynomial-of-mset } xs + \text{polynomial-of-mset } ys \rangle$ 

```

```

by (auto simp: ac-simps Const-def polynomial-of-mset-def)

lemma polynomial-of-mset-Cons[simp]:
  ⟨polynomial-of-mset (add-mset x ys) = Const (snd x) * poly-of-vars (fst x) + polynomial-of-mset ys⟩
by (cases x)
  (auto simp: ac-simps polynomial-of-mset-def mononom-of-vars-def)

lemma polynomial-of-mset-empty[simp]:
  ⟨polynomial-of-mset {#} = 0⟩
by (auto simp: polynomial-of-mset-def)

lemma polynomial-of-mset-mult-poly-by-monom[simp]:
  ⟨polynomial-of-mset (mult-poly-by-monom x ys) =
    (Const (snd x) * poly-of-vars (fst x) * polynomial-of-mset ys)⟩
by (induction ys)
  (auto simp: Const-mult algebra-simps)

lemma polynomial-of-mset-mult-poly-raw[simp]:
  ⟨polynomial-of-mset (mult-poly-raw xs ys) = polynomial-of-mset xs * polynomial-of-mset ys⟩
  unfolding mult-poly-raw-def
by (induction xs arbitrary: ys)
  (auto simp: Const-mult algebra-simps)

lemma polynomial-of-mset-uminus:
  ⟨polynomial-of-mset {#case x of (a, b) ⇒ (a, - b). x ∈# za#} =
    - polynomial-of-mset za⟩
by (induction za)
  auto

lemma X2-X-polynomial-bool-mult-in:
  ⟨Var (x1) * (Var (x1) * p) - Var (x1) * p ∈ More-Modules.ideal polynomial-bool⟩
  using ideal-mult-right-in[OF X2-X-in-pac-ideal[of x1 {#}, unfolded pac-ideal-def], of p]
by (auto simp: right-diff-distrib ac-simps power2-eq-square)

lemma polynomial-of-list-remove-powers-polynomial-bool:
  ⟨(polynomial-of-mset xs) - polynomial-of-mset (remove-powers xs) ∈ ideal polynomial-bool⟩
proof (induction xs)
  case empty
  then show ⟨?case⟩ by (auto simp: remove-powers-def ideal.span-zero)
next
  case (add x xs)
  have H1: ⟨x1 ∈# x2 ⇒
    Var (φ x1) * poly-of-vars x2 - p ∈ More-Modules.ideal polynomial-bool ⟷
    poly-of-vars x2 - p ∈ More-Modules.ideal polynomial-bool
  ⟩ for x1 x2 p
  apply (subst (2) ideal.span-add-eq[symmetric,
    of ⟨Var (φ x1) * poly-of-vars x2 - poly-of-vars x2⟩])
  apply (drule multi-member-split)
  apply (auto simp: X2-X-polynomial-bool-mult-in)
  done

  have diff: ⟨poly-of-vars (x) - poly-of-vars (remdups-mset (x)) ∈ ideal polynomial-bool⟩ for x
  apply (induction x)

```

```

  apply (auto simp: remove-powers-def ideal.span-zero H1)
  apply (metis ideal.span-scale right-diff-distrib)
  done
show ?case
  using add
  apply (cases x)
  subgoal for ys y
    using ideal-mult-right-in2[OF diff, of ⟨poly-of-vars ys⟩ ys]
    apply (auto simp: remove-powers-def right-diff-distrib
      ideal.span-diff ideal.span-add field-simps)
    by (metis add-diff-add diff ideal.scale-right-diff-distrib ideal.span-add ideal.span-scale)
  done
qed

```

**lemma** *add-poly-p-polynomial-of-mset:*

```

⟨add-poly-p (p, q, r) (p', q', r') ⟹
  polynomial-of-mset r + (polynomial-of-mset p + polynomial-of-mset q) =
  polynomial-of-mset r' + (polynomial-of-mset p' + polynomial-of-mset q')
apply (induction rule: add-poly-p-induct)
subgoal
  by auto
subgoal
  by auto
subgoal
  by (auto simp: algebra-simps Const-add)
subgoal
  by (auto simp: algebra-simps Const-add)
subgoal
  by (auto simp: algebra-simps Const-add)
done

```

**lemma** *rtrancp-add-poly-p-polynomial-of-mset:*

```

⟨add-poly-p** (p, q, r) (p', q', r') ⟹
  polynomial-of-mset r + (polynomial-of-mset p + polynomial-of-mset q) =
  polynomial-of-mset r' + (polynomial-of-mset p' + polynomial-of-mset q')
by (induction rule: rtrancp-induct[of add-poly-p ⟨(-, -, -)⟩ ⟨(-, -, -)⟩, split-format(complete), of for r])
  (auto dest: add-poly-p-polynomial-of-mset)

```

**lemma** *rtrancp-add-poly-p-polynomial-of-mset-full:*

```

⟨add-poly-p** (p, q, {#}) ({#}, {#}, r') ⟹
  polynomial-of-mset r' = (polynomial-of-mset p + polynomial-of-mset q)
by (drule rtrancp-add-poly-p-polynomial-of-mset)
  (auto simp: ac-simps add-eq-0-iff)

```

**lemma** *poly-of-vars-remdups-mset:*

```

⟨poly-of-vars (remdups-mset (xs)) - (poly-of-vars xs)
  ∈ More-Modules.ideal polynomial-bool⟩
apply (induction xs)
apply (auto dest!: simp: ideal.span-zero dest!: )
apply (drule multi-member-split)
apply auto
apply (drule multi-member-split)
apply (smt X2-X-polynomial-bool-mult-in diff-add-cancel diff-diff-eq2 ideal.span-diff)
apply (smt X2-X-polynomial-bool-mult-in diff-add-eq group-eq-aux ideal.span-add-eq)

```

```

by (metis ideal.span-scale right-diff-distrib')

lemma polynomial-of-mset-mult-map:
  ⟨polynomial-of-mset
    {#case x of (ys, n) ⇒ (remdups-mset (ys + xs), n * m). x ∈# q#} -
    Const m * (poly-of-vars xs * polynomial-of-mset q)
  ∈ More-Modules.ideal polynomial-bool⟩
  (is ⟨?P q ∈ -⟩)
proof (induction q)
  case empty
  then show ?case by (auto simp: algebra-simps ideal.span-zero)
next
  case (add x q)
  then have uP: ⟨- ?P q ∈ More-Modules.ideal polynomial-bool⟩
    using ideal.span-neg by blast
  show ?case
    apply (subst ideal.span-add-eq2[symmetric, OF uP])
    apply (cases x)
    apply (auto simp: field-simps Const-mult)
    by (metis ideal.span-scale poly-of-vars-remdups-mset
      poly-of-vars-simps(2) right-diff-distrib')
qed

lemma mult-poly-p-mult-ideal:
  ⟨mult-poly-p q (p, r) (p', r') ⇒
    (polynomial-of-mset p' * polynomial-of-mset q + polynomial-of-mset r') - (polynomial-of-mset p *
    polynomial-of-mset q + polynomial-of-mset r)
  ∈ ideal polynomial-bool⟩
proof (induction rule: mult-poly-p-induct)
  case (mult-step xs n p r)
  show ?case
    by (auto simp: algebra-simps polynomial-of-mset-mult-map)
qed

lemma rtrancp-mult-poly-p-mult-ideal:
  ⟨(mult-poly-p q)** (p, r) (p', r') ⇒
    (polynomial-of-mset p' * polynomial-of-mset q + polynomial-of-mset r') - (polynomial-of-mset p *
    polynomial-of-mset q + polynomial-of-mset r)
  ∈ ideal polynomial-bool⟩
  apply (induction p' r' rule: rtrancp-induct[of ⟨mult-poly-p q⟩ ⟨(p, r)⟩ ⟨(p', q')⟩ for p' q', split-format(complete)])
  subgoal
    by (auto simp: ideal.span-zero)
  subgoal for a b aa ba
    apply (drule mult-poly-p-mult-ideal)
    apply (drule ideal.span-add)
    apply assumption
    apply (auto simp: group-add-class.diff-add-eq-diff-diff-swap
      add.assoc add.inverse-distrib-swap ac-simps
      simp flip: ab-group-add-class.ab-diff-conv-add-uminus)
    by (metis (no-types, hide-lams) ab-group-add-class.ab-diff-conv-add-uminus
      ab-semigroup-add-class.add commute add.assoc add.inverse-distrib-swap)
  done

lemma rtrancp-mult-poly-p-mult-ideal-final:
  ⟨(mult-poly-p q)** (p, {#}) ({#}, r) ⇒

```

```

  (polynomial-of-mset r) - (polynomial-of-mset p * polynomial-of-mset q)
  ∈ ideal polynomial-bool
by (drule rtranclp-mult-poly-p-mult-ideal) auto

```

```

lemma normalize-poly-p-poly-of-mset:
  ⟨normalize-poly-p p q ⟹ polynomial-of-mset p = polynomial-of-mset q⟩
apply (induction rule: normalize-poly-p.induct)
apply (auto simp: Const-add algebra-simps)
done

```

```

lemma rtranclp-normalize-poly-p-poly-of-mset:
  ⟨normalize-poly-p** p q ⟹ polynomial-of-mset p = polynomial-of-mset q⟩
by (induction rule: rtranclp-induct)
  (auto simp: normalize-poly-p-poly-of-mset)

```

**end**

It would be nice to have the property in the other direction too, but this requires a deep dive into the definitions of polynomials.

```

locale poly-embed-bij = poly-embed +
  fixes V N
  assumes φ-bij: ⟨bij-betw φ V N⟩
begin

```

```

definition φ' :: ⟨nat ⇒ string⟩ where
  ⟨φ' = the-inv-into V φ⟩

```

```

lemma φ'-φ[simp]:
  ⟨x ∈ V ⟹ φ' (φ x) = x⟩
using φ-bij unfolding φ'-def
by (meson bij-betw-imp-inj-on the-inv-into-f-f)

```

```

lemma φ-φ'[simp]:
  ⟨x ∈ N ⟹ φ (φ' x) = x⟩
using φ-bij unfolding φ'-def
by (meson f-the-inv-into-f-bij-betw)

```

**end**

**end**

```

theory PAC-Polynomials-Term
imports PAC-Polynomials
  Refine-Imperative-HOL.IICF
begin

```

## 8 Terms

We define some helper functions.

### 8.1 Ordering

```

lemma fref-to-Down-curry-left:

```



**fixes**  $f :: \langle 'a \Rightarrow 'b \Rightarrow 'c \text{ nres} \rangle$  **and**

$A :: \langle ('a \times 'b) \times 'd \rangle \text{ set} \rangle$

**shows**

$\langle (\text{uncurry } f, g) \in [P]_f A \rightarrow \langle B \rangle \text{nres-rel} \Rightarrow$

$(\bigwedge a \ b \ x'. P \ x' \Rightarrow ((a, b), x') \in A \Rightarrow f \ a \ b \leq \Downarrow B \ (g \ x')) \rangle$

**unfolding** *fref-def uncurry-def nres-rel-def*

**by** *auto*

**lemma** *fref-to-Down-curry-right*:

**fixes**  $g :: \langle 'a \Rightarrow 'b \Rightarrow 'c \text{ nres} \rangle$  **and**  $f :: \langle 'd \Rightarrow - \text{ nres} \rangle$  **and**

$A :: \langle ('d \times ('a \times 'b)) \rangle \text{ set} \rangle$

**shows**

$\langle (f, \text{uncurry } g) \in [P]_f A \rightarrow \langle B \rangle \text{nres-rel} \Rightarrow$

$(\bigwedge a \ b \ x'. P \ (a, b) \Rightarrow (x', (a, b)) \in A \Rightarrow f \ x' \leq \Downarrow B \ (g \ a \ b)) \rangle$

**unfolding** *fref-def uncurry-def nres-rel-def*

**by** *auto*

**type-synonym** *term-poly-list* =  $\langle \text{string list} \rangle$

**type-synonym** *llist-polynomial* =  $\langle (\text{term-poly-list} \times \text{int}) \text{ list} \rangle$

We instantiate the characters with typeclass *linorder* to be able to talk about sorted and so on.

**definition** *less-eq-char* ::  $\langle \text{char} \Rightarrow \text{char} \Rightarrow \text{bool} \rangle$  **where**

$\langle \text{less-eq-char } c \ d = (((\text{of-char } c) :: \text{nat}) \leq \text{of-char } d) \rangle$

**definition** *less-char* ::  $\langle \text{char} \Rightarrow \text{char} \Rightarrow \text{bool} \rangle$  **where**

$\langle \text{less-char } c \ d = (((\text{of-char } c) :: \text{nat}) < \text{of-char } d) \rangle$

**global-interpretation** *char*: *linorder less-eq-char less-char*

**using** *linorder-char*

**unfolding** *linorder-class-def class.linorder-def*

*less-eq-char-def[symmetric] less-char-def[symmetric]*

*class.order-def order-class-def*

*class.preorder-def preorder-class-def*

*ord-class-def*

**apply** *auto*

**done**

**abbreviation** *less-than-char* ::  $\langle (\text{char} \times \text{char}) \text{ set} \rangle$  **where**

$\langle \text{less-than-char} \equiv \text{p2rel less-char} \rangle$

**lemma** *less-than-char-def*:

$\langle (x, y) \in \text{less-than-char} \longleftrightarrow \text{less-char } x \ y \rangle$

**by** (*auto simp: p2rel-def*)

**lemma** *trans-less-than-char[simp]*:

$\langle \text{trans less-than-char} \rangle$  **and**

*irrefl-less-than-char*:

$\langle \text{irrefl less-than-char} \rangle$  **and**

*antisym-less-than-char*:

$\langle \text{antisym less-than-char} \rangle$

**by** (*auto simp: less-than-char-def trans-def irrefl-def antisym-def*)

## 8.2 Polynomials

**definition** *var-order-rel* ::  $\langle (\text{string} \times \text{string}) \text{ set} \rangle$  **where**

$\langle \text{var-order-rel} \equiv \text{lexord less-than-char} \rangle$

**abbreviation**  $\text{var-order} :: \langle \text{string} \Rightarrow \text{string} \Rightarrow \text{bool} \rangle$  **where**

$\langle \text{var-order} \equiv \text{rel2p var-order-rel} \rangle$

**abbreviation**  $\text{term-order-rel} :: \langle (\text{term-poly-list} \times \text{term-poly-list}) \text{ set} \rangle$  **where**

$\langle \text{term-order-rel} \equiv \text{lexord var-order-rel} \rangle$

**abbreviation**  $\text{term-order} :: \langle \text{term-poly-list} \Rightarrow \text{term-poly-list} \Rightarrow \text{bool} \rangle$  **where**

$\langle \text{term-order} \equiv \text{rel2p term-order-rel} \rangle$

**definition**  $\text{term-poly-list-rel} :: \langle (\text{term-poly-list} \times \text{term-poly}) \text{ set} \rangle$  **where**

$\langle \text{term-poly-list-rel} = \{(xs, ys).$

$ys = \text{mset } xs \wedge$

$\text{distinct } xs \wedge$

$\text{sorted-wrt } (\text{rel2p var-order-rel}) \text{ } xs\} \rangle$

**definition**  $\text{unsorted-term-poly-list-rel} :: \langle (\text{term-poly-list} \times \text{term-poly}) \text{ set} \rangle$  **where**

$\langle \text{unsorted-term-poly-list-rel} = \{(xs, ys).$

$ys = \text{mset } xs \wedge \text{distinct } xs\} \rangle$

**definition**  $\text{poly-list-rel} :: \langle \cdot \Rightarrow ((\text{'a} \times \text{int}) \text{ list} \times \text{mset-polynomial}) \text{ set} \rangle$  **where**

$\langle \text{poly-list-rel } R = \{(xs, ys).$

$(xs, ys) \in \langle R \times_r \text{int-rel} \rangle \text{list-rel } O \text{list-mset-rel} \wedge$

$0 \notin \# \text{snd } \text{'\# } ys\} \rangle$

**definition**  $\text{sorted-poly-list-rel-wrt} :: \langle (\text{'a} \Rightarrow \text{'a} \Rightarrow \text{bool})$

$\Rightarrow (\text{'a} \times \text{string multiset}) \text{ set} \Rightarrow ((\text{'a} \times \text{int}) \text{ list} \times \text{mset-polynomial}) \text{ set} \rangle$  **where**

$\langle \text{sorted-poly-list-rel-wrt } S \text{ } R = \{(xs, ys).$

$(xs, ys) \in \langle R \times_r \text{int-rel} \rangle \text{list-rel } O \text{list-mset-rel} \wedge$

$\text{sorted-wrt } S \text{ } (\text{map fst } xs) \wedge$

$\text{distinct } (\text{map fst } xs) \wedge$

$0 \notin \# \text{snd } \text{'\# } ys\} \rangle$

**abbreviation**  $\text{sorted-poly-list-rel}$  **where**

$\langle \text{sorted-poly-list-rel } R \equiv \text{sorted-poly-list-rel-wrt } R \text{ term-poly-list-rel} \rangle$

**abbreviation**  $\text{sorted-poly-rel}$  **where**

$\langle \text{sorted-poly-rel} \equiv \text{sorted-poly-list-rel term-order} \rangle$

**definition**  $\text{sorted-repeat-poly-list-rel-wrt} :: \langle (\text{'a} \Rightarrow \text{'a} \Rightarrow \text{bool})$

$\Rightarrow (\text{'a} \times \text{string multiset}) \text{ set} \Rightarrow ((\text{'a} \times \text{int}) \text{ list} \times \text{mset-polynomial}) \text{ set} \rangle$  **where**

$\langle \text{sorted-repeat-poly-list-rel-wrt } S \text{ } R = \{(xs, ys).$

$(xs, ys) \in \langle R \times_r \text{int-rel} \rangle \text{list-rel } O \text{list-mset-rel} \wedge$

$\text{sorted-wrt } S \text{ } (\text{map fst } xs) \wedge$

$0 \notin \# \text{snd } \text{'\# } ys\} \rangle$

**abbreviation**  $\text{sorted-repeat-poly-list-rel}$  **where**

$\langle \text{sorted-repeat-poly-list-rel } R \equiv \text{sorted-repeat-poly-list-rel-wrt } R \text{ term-poly-list-rel} \rangle$

**abbreviation**  $\text{sorted-repeat-poly-rel}$  **where**

$\langle \text{sorted-repeat-poly-rel} \equiv \text{sorted-repeat-poly-list-rel } (\text{rel2p } (\text{Id} \cup \text{lexord var-order-rel})) \rangle$

**abbreviation** *unsorted-poly-rel* **where**

$\langle \text{unsorted-poly-rel} \equiv \text{poly-list-rel term-poly-list-rel} \rangle$

**lemma** *sorted-poly-list-rel-empty-l[simp]*:

$\langle ([], s') \in \text{sorted-poly-list-rel-wrt } S \ T \longleftrightarrow s' = \{\#\} \rangle$

**by** (*cases s'*)

(*auto simp: sorted-poly-list-rel-wrt-def list-mset-rel-def br-def*)

**definition** *fully-unsorted-poly-list-rel* ::  $\langle - \Rightarrow (('a \times \text{int}) \text{ list} \times \text{mset-polynomial}) \text{ set} \rangle$  **where**

$\langle \text{fully-unsorted-poly-list-rel } R = \{(xs, ys). \$

$(xs, ys) \in \langle R \times_r \text{int-rel} \rangle \text{list-rel } O \text{ list-mset-rel} \}$

**abbreviation** *fully-unsorted-poly-rel* **where**

$\langle \text{fully-unsorted-poly-rel} \equiv \text{fully-unsorted-poly-list-rel unsorted-term-poly-list-rel} \rangle$

**lemma** *fully-unsorted-poly-list-rel-empty-iff[simp]*:

$\langle (p, \{\#\}) \in \text{fully-unsorted-poly-list-rel } R \longleftrightarrow p = [] \rangle$

$\langle ([], p') \in \text{fully-unsorted-poly-list-rel } R \longleftrightarrow p' = \{\#\} \rangle$

**by** (*auto simp: fully-unsorted-poly-list-rel-def list-mset-rel-def br-def*)

**definition** *poly-list-rel-with0* ::  $\langle - \Rightarrow (('a \times \text{int}) \text{ list} \times \text{mset-polynomial}) \text{ set} \rangle$  **where**

$\langle \text{poly-list-rel-with0 } R = \{(xs, ys). \$

$(xs, ys) \in \langle R \times_r \text{int-rel} \rangle \text{list-rel } O \text{ list-mset-rel} \}$

**abbreviation** *unsorted-poly-rel-with0* **where**

$\langle \text{unsorted-poly-rel-with0} \equiv \text{fully-unsorted-poly-list-rel term-poly-list-rel} \rangle$

**lemma** *poly-list-rel-with0-empty-iff[simp]*:

$\langle (p, \{\#\}) \in \text{poly-list-rel-with0 } R \longleftrightarrow p = [] \rangle$

$\langle ([], p') \in \text{poly-list-rel-with0 } R \longleftrightarrow p' = \{\#\} \rangle$

**by** (*auto simp: poly-list-rel-with0-def list-mset-rel-def br-def*)

**definition** *sorted-repeat-poly-list-rel-with0-wrt* ::  $\langle ('a \Rightarrow 'a \Rightarrow \text{bool}) \Rightarrow ('a \times \text{string multiset}) \text{ set} \Rightarrow (('a \times \text{int}) \text{ list} \times \text{mset-polynomial}) \text{ set} \rangle$  **where**

$\langle \text{sorted-repeat-poly-list-rel-with0-wrt } S \ R = \{(xs, ys). \$

$(xs, ys) \in \langle R \times_r \text{int-rel} \rangle \text{list-rel } O \text{ list-mset-rel} \wedge$

$\text{sorted-wrt } S \ (\text{map fst } xs) \}$

**abbreviation** *sorted-repeat-poly-list-rel-with0* **where**

$\langle \text{sorted-repeat-poly-list-rel-with0 } R \equiv \text{sorted-repeat-poly-list-rel-with0-wrt } R \text{ term-poly-list-rel} \rangle$

**abbreviation** *sorted-repeat-poly-rel-with0* **where**

$\langle \text{sorted-repeat-poly-rel-with0} \equiv \text{sorted-repeat-poly-list-rel-with0 } (\text{rel2p } (\text{Id} \cup \text{lexord var-order-rel})) \rangle$

**lemma** *term-poly-list-relD*:

$\langle (xs, ys) \in \text{term-poly-list-rel} \implies \text{distinct } xs \rangle$

$\langle (xs, ys) \in \text{term-poly-list-rel} \implies ys = \text{mset } xs \rangle$

$\langle (xs, ys) \in \text{term-poly-list-rel} \implies \text{sorted-wrt } (\text{rel2p var-order-rel}) \ xs \rangle$

$\langle (xs, ys) \in \text{term-poly-list-rel} \implies \text{sorted-wrt } (\text{rel2p } (\text{Id} \cup \text{var-order-rel})) \ xs \rangle$

**apply** (*auto simp: term-poly-list-rel-def; fail*) +

**by** (*metis (mono-tags, lifting) CollectD UnI2 rel2p-def sorted-wrt-mono-rel split-conv term-poly-list-rel-def*)

```

end
theory PAC-Polynomials-Operations
  imports PAC-Polynomials-Term PAC-Checker-Specification
begin

```

## 9 Polynomialss as Lists

### 9.1 Addition

In this section, we refine the polynomials to list. These lists will be used in our checker to represent the polynomials and execute operations.

There is one *key* difference between the list representation and the usual representation: in the former, coefficients can be zero and monomials can appear several times. This makes it easier to reason on intermediate representation where this has not yet been sanitized.

**fun** *add-poly-l'* ::  $\langle \text{llist-polynomial} \times \text{llist-polynomial} \Rightarrow \text{llist-polynomial} \rangle$  **where**

```

  <add-poly-l' (p, []) = p |
  <add-poly-l' ([], q) = q |
  <add-poly-l' ((xs, n) # p, (ys, m) # q) =
    (if xs = ys then if n + m = 0 then add-poly-l' (p, q) else
      let pq = add-poly-l' (p, q) in
      ((xs, n + m) # pq)
    else if (xs, ys) ∈ term-order-rel
      then
        let pq = add-poly-l' (p, (ys, m) # q) in
        ((xs, n) # pq)
      else
        let pq = add-poly-l' ((xs, n) # p, q) in
        ((ys, m) # pq)
  >

```

**definition** *add-poly-l* ::  $\langle \text{llist-polynomial} \times \text{llist-polynomial} \Rightarrow \text{llist-polynomial nres} \rangle$  **where**

```

  <add-poly-l = RECT
    (λadd-poly-l (p, q).
      case (p, q) of
        (p, []) ⇒ RETURN p
      | ([], q) ⇒ RETURN q
      | ((xs, n) # p, (ys, m) # q) ⇒
        (if xs = ys then if n + m = 0 then add-poly-l (p, q) else
          do {
            pq ← add-poly-l (p, q);
            RETURN ((xs, n + m) # pq)
          }
        else if (xs, ys) ∈ term-order-rel
          then do {
            pq ← add-poly-l (p, (ys, m) # q);
            RETURN ((xs, n) # pq)
          }
        else do {
          pq ← add-poly-l ((xs, n) # p, q);
          RETURN ((ys, m) # pq)
        }
    ))

```

**definition** *nonzero-coeffs* **where**

$\langle \text{nonzero-coeffs } a \longleftrightarrow 0 \notin \# \text{ snd } \# a \rangle$

**lemma** *nonzero-coeffs-simps*[simp]:

$\langle \text{nonzero-coeffs } \{ \# \} \rangle$

$\langle \text{nonzero-coeffs } (\text{add-mset } (xs, n) a) \longleftrightarrow \text{nonzero-coeffs } a \wedge n \neq 0 \rangle$

**by** (auto simp: nonzero-coeffs-def)

**lemma** *nonzero-coeffsD*:

$\langle \text{nonzero-coeffs } a \implies (x, n) \in \# a \implies n \neq 0 \rangle$

**by** (auto simp: nonzero-coeffs-def)

**lemma** *sorted-poly-list-rel-ConsD*:

$\langle ((ys, n) \# p, a) \in \text{sorted-poly-list-rel } S \implies (p, \text{remove1-mset } (\text{mset } ys, n) a) \in \text{sorted-poly-list-rel } S$

$\wedge$

$(\text{mset } ys, n) \in \# a \wedge (\forall x \in \text{set } p. S \text{ } ys \text{ } (\text{fst } x)) \wedge \text{sorted-wrt } (\text{rel2p var-order-rel}) \text{ } ys \wedge$

$\text{distinct } ys \wedge ys \notin \text{set } (\text{map } \text{fst } p) \wedge n \neq 0 \wedge \text{nonzero-coeffs } a \rangle$

**unfolding** *sorted-poly-list-rel-wrt-def prod.case mem-Collect-eq*

*list-rel-def*

**apply** (*clarsimp*)

**apply** (*subst (asm) list.rel-sel*)

**apply** (*intro conjI*)

**apply** (*rename-tac y, rule-tac b = (tl y) in relcompI*)

**apply** (*auto simp: sorted-poly-list-rel-wrt-def list-mset-rel-def br-def*

*list.tl-def term-poly-list-rel-def nonzero-coeffs-def split: list.splits*)

**done**

**lemma** *sorted-poly-list-rel-Cons-iff*:

$\langle ((ys, n) \# p, a) \in \text{sorted-poly-list-rel } S \longleftrightarrow (p, \text{remove1-mset } (\text{mset } ys, n) a) \in \text{sorted-poly-list-rel } S$

$\wedge$

$(\text{mset } ys, n) \in \# a \wedge (\forall x \in \text{set } p. S \text{ } ys \text{ } (\text{fst } x)) \wedge \text{sorted-wrt } (\text{rel2p var-order-rel}) \text{ } ys \wedge$

$\text{distinct } ys \wedge ys \notin \text{set } (\text{map } \text{fst } p) \wedge n \neq 0 \wedge \text{nonzero-coeffs } a \rangle$

**apply** (*rule iffI*)

**subgoal**

**by** (*auto dest!: sorted-poly-list-rel-ConsD*)

**subgoal**

**unfolding** *sorted-poly-list-rel-wrt-def prod.case mem-Collect-eq*

*list-rel-def*

**apply** (*clarsimp*)

**apply** (*intro conjI*)

**apply** (*rename-tac y; rule-tac b = (mset ys, n) \# y in relcompI*)

**by** (*auto simp: sorted-poly-list-rel-wrt-def list-mset-rel-def br-def*

*term-poly-list-rel-def add-mset-eq-add-mset eq-commute[of - (mset -)]*

*nonzero-coeffs-def*

*dest!: multi-member-split*)

**done**

**lemma** *sorted-repeat-poly-list-rel-ConsD*:

$\langle ((ys, n) \# p, a) \in \text{sorted-repeat-poly-list-rel } S \implies (p, \text{remove1-mset } (\text{mset } ys, n) a) \in \text{sorted-repeat-poly-list-rel } S \wedge$

$(\text{mset } ys, n) \in \# a \wedge (\forall x \in \text{set } p. S \text{ } ys \text{ } (\text{fst } x)) \wedge \text{sorted-wrt } (\text{rel2p var-order-rel}) \text{ } ys \wedge$

$\text{distinct } ys \wedge n \neq 0 \wedge \text{nonzero-coeffs } a \rangle$

**unfolding** *sorted-repeat-poly-list-rel-wrt-def prod.case mem-Collect-eq*

*list-rel-def*

```

apply (clarsimp)
apply (subst (asm) list.rel-sel)
apply (intro conjI)
apply (rename-tac y, rule-tac b = ⟨tl y⟩ in relcompI)
apply (auto simp: sorted-poly-list-rel-wrt-def list-mset-rel-def br-def
  list.tl-def term-poly-list-rel-def nonzero-coeffs-def split: list.splits)
done

```

**lemma** sorted-repeat-poly-list-rel-Cons-iff:

$\langle (ys, n) \# p, a \rangle \in \text{sorted-repeat-poly-list-rel } S \iff (p, \text{remove1-mset } (\text{mset } ys, n) a) \in \text{sorted-repeat-poly-list-rel } S \wedge$

$(\text{mset } ys, n) \in \# a \wedge (\forall x \in \text{set } p. S \text{ } ys \text{ } (\text{fst } x)) \wedge \text{sorted-wrt } (\text{rel2p var-order-rel}) \text{ } ys \wedge$   
 $\text{distinct } ys \wedge n \neq 0 \wedge \text{nonzero-coeffs } a$

```

apply (rule iffI)

```

```

subgoal

```

```

  by (auto dest!: sorted-repeat-poly-list-rel-ConsD)

```

```

subgoal

```

```

  unfolding sorted-repeat-poly-list-rel-wrt-def prod.case mem-Collect-eq
    list-rel-def

```

```

apply (clarsimp)

```

```

apply (intro conjI)

```

```

apply (rename-tac y, rule-tac b = ⟨(mset ys, n) # y⟩ in relcompI)

```

```

by (auto simp: sorted-repeat-poly-list-rel-wrt-def list-mset-rel-def br-def
  term-poly-list-rel-def add-mset-eq-add-mset eq-commute[of - ⟨mset -⟩]
  nonzero-coeffs-def
  dest!: multi-member-split)

```

```

done

```

**lemma** add-poly-p-add-mset-sum-0:

$\langle n + m = 0 \implies \text{add-poly-p}^{**} (A, Aa, \{\#\}) (\{\#\}, \{\#\}, r) \implies$   
 $\text{add-poly-p}^{**}$   
 $(\text{add-mset } (\text{mset } ys, n) A, \text{add-mset } (\text{mset } ys, m) Aa, \{\#\})$   
 $(\{\#\}, \{\#\}, r) \rangle$

```

apply (rule converse-rtranclp-into-rtranclp)

```

```

apply (rule add-poly-p.add-new-coeff-r)

```

```

apply (rule converse-rtranclp-into-rtranclp)

```

```

apply (rule add-poly-p.add-same-coeff-l)

```

```

apply (rule converse-rtranclp-into-rtranclp)

```

```

apply (auto intro: add-poly-p.rem-0-coeff)

```

```

done

```

**lemma** monoms-add-poly-l'D:

$\langle (aa, ba) \in \text{set } (\text{add-poly-l}' x) \implies aa \in \text{fst } \text{'set } (\text{fst } x) \vee aa \in \text{fst } \text{'set } (\text{snd } x) \rangle$

```

by (induction x rule: add-poly-l'.induct)

```

```

  (auto split: if-splits)

```

**lemma** add-poly-p-add-to-result:

$\langle \text{add-poly-p}^{**} (A, B, r) (A', B', r') \implies$   
 $\text{add-poly-p}^{**}$   
 $(A, B, p + r) (A', B', p + r') \rangle$

```

apply (induction rule: rtranclp-induct[of add-poly-p ⟨(-, -, -)⟩ ⟨(-, -, -)⟩, split-format(complete), of for
r])

```

```

subgoal by auto

```

```

by (elim add-poly-pE)

```

(metis (no-types, lifting) Pair-inject add-poly-p.intros rtrancpl.simps union-mset-add-mset-right)+

**lemma** add-poly-p-add-mset-comb:

```

⟨add-poly-p** (A, Aa, {#}) ({#}, {#}, r) ⟹
  add-poly-p**
    (add-mset (xs, n) A, Aa, {#})
    ({#}, {#}, add-mset (xs, n) r)⟩
apply (rule converse-rtrancpl-into-rtrancpl)
apply (rule add-poly-p.add-new-coeff-l)
using add-poly-p-add-to-result[of A Aa ⟨{#}⟩ ⟨{#}⟩ ⟨{#}⟩ r ⟨{#}(xs, n)#⟩]
by auto

```

**lemma** add-poly-p-add-mset-comb2:

```

⟨add-poly-p** (A, Aa, {#}) ({#}, {#}, r) ⟹
  add-poly-p**
    (add-mset (ys, n) A, add-mset (ys, m) Aa, {#})
    ({#}, {#}, add-mset (ys, n + m) r)⟩
apply (rule converse-rtrancpl-into-rtrancpl)
apply (rule add-poly-p.add-new-coeff-r)
apply (rule converse-rtrancpl-into-rtrancpl)
apply (rule add-poly-p.add-same-coeff-l)
using add-poly-p-add-to-result[of A Aa ⟨{#}⟩ ⟨{#}⟩ ⟨{#}⟩ r ⟨{#}(ys, n+m)#⟩]
by auto

```

**lemma** add-poly-p-add-mset-comb3:

```

⟨add-poly-p** (A, Aa, {#}) ({#}, {#}, r) ⟹
  add-poly-p**
    (A, add-mset (ys, m) Aa, {#})
    ({#}, {#}, add-mset (ys, m) r)⟩
apply (rule converse-rtrancpl-into-rtrancpl)
apply (rule add-poly-p.add-new-coeff-r)
using add-poly-p-add-to-result[of A Aa ⟨{#}⟩ ⟨{#}⟩ ⟨{#}⟩ r ⟨{#}(ys, m)#⟩]
by auto

```

**lemma** total-on-lexord:

```

⟨Relation.total-on UNIV R ⟹ Relation.total-on UNIV (lexord R)⟩
apply (auto simp: Relation.total-on-def)
by (meson lexord-linear)

```

**lemma** antisym-lexord:

```

⟨antisym R ⟹ irrefl R ⟹ antisym (lexord R)⟩
by (auto simp: antisym-def lexord-def irrefl-def
  elim!: list-match-lel-lel)

```

**lemma** less-than-char-linear:

```

⟨(a, b) ∈ less-than-char ∨
  a = b ∨ (b, a) ∈ less-than-char⟩
by (auto simp: less-than-char-def)

```

**lemma** total-on-lexord-less-than-char-linear:

```

⟨xs ≠ ys ⟹ (xs, ys) ∉ lexord (lexord less-than-char) ⟷
  (ys, xs) ∈ lexord (lexord less-than-char)⟩
using lexord-linear[of (lexord less-than-char) xs ys]
using lexord-linear[of (less-than-char) less-than-char-linear]

```

```

using lexord-irrefl[OF irrefl-less-than-char]
  antisym-lexord[OF antisym-lexord[OF antisym-less-than-char irrefl-less-than-char]]
apply (auto simp: antisym-def Relation.total-on-def)
done

```

```

lemma sorted-poly-list-rel-nonzeroD:
   $\langle (p, r) \in \text{sorted-poly-list-rel term-order} \implies$ 
    nonzero-coeffs  $\langle r \rangle$ 
   $\langle (p, r) \in \text{sorted-poly-list-rel} (\text{rel2p} (\text{lexord} (\text{lexord less-than-char}))) \implies$ 
    nonzero-coeffs  $\langle r \rangle$ 
  by (auto simp: sorted-poly-list-rel-wrt-def nonzero-coeffs-def)

```

```

lemma add-poly-l'-add-poly-p:
  assumes  $\langle (pq, pq') \in \text{sorted-poly-rel} \times_r \text{sorted-poly-rel} \rangle$ 
  shows  $\exists r. (\text{add-poly-l}' pq, r) \in \text{sorted-poly-rel} \wedge$ 
    add-poly-p** (fst pq', snd pq', {#}) ({#}, {#}, r)
  supply [[goals-limit=1]]
  using assms
  apply (induction  $\langle pq \rangle$  arbitrary: pq' rule: add-poly-l'.induct)
  subgoal for  $p \text{ } pq'$ 
    using add-poly-p-empty-l[of  $\langle \text{fst } pq' \rangle \langle \{ \# \} \rangle \langle \{ \# \} \rangle$ ]
    by (cases pq') (auto intro!: exI[of -  $\langle \text{fst } pq' \rangle$ ])
  subgoal for  $x \text{ } p \text{ } pq'$ 
    using add-poly-p-empty-r[of  $\langle \{ \# \} \rangle \langle \text{snd } pq' \rangle \langle \{ \# \} \rangle$ ]
    by (cases pq') (auto intro!: exI[of -  $\langle \text{snd } pq' \rangle$ ])
  subgoal premises  $p \text{ for } xs \text{ } n \text{ } p \text{ } ys \text{ } m \text{ } q \text{ } pq'$ 
    apply (cases pq') — Isabelle does a completely stupid case distinction here
    apply (cases  $\langle xs = ys \rangle$ )
    subgoal
      apply (cases  $\langle n + m = 0 \rangle$ )
      subgoal
        using p(1)[of  $\langle \text{remove1-mset} (\text{mset } xs, n) (\text{fst } pq'), \text{remove1-mset} (\text{mset } ys, m) (\text{snd } pq') \rangle$ ]
      p(5-)
        apply (auto dest!: sorted-poly-list-rel-ConsD multi-member-split)
        )
      using add-poly-p-add-mset-sum-0 by blast
    subgoal
      using p(2)[of  $\langle \text{remove1-mset} (\text{mset } xs, n) (\text{fst } pq'), \text{remove1-mset} (\text{mset } ys, m) (\text{snd } pq') \rangle$ ]
    p(5-)
      apply (auto dest!: sorted-poly-list-rel-ConsD multi-member-split)
      apply (rule-tac  $x = \langle \text{add-mset} (\text{mset } ys, n + m) r \rangle$  in exI)
      apply (fastforce dest!: monoms-add-poly-l'D simp: sorted-poly-list-rel-Cons-iff rel2p-def
        sorted-poly-list-rel-nonzeroD var-order-rel-def
        intro: add-poly-p-add-mset-comb2)
      done
    done
  done
  subgoal
    apply (cases  $\langle (xs, ys) \in \text{term-order-rel} \rangle$ )
    subgoal
      using p(3)[of  $\langle \text{remove1-mset} (\text{mset } xs, n) (\text{fst } pq'), (\text{snd } pq') \rangle$ ] p(5-)
      apply (auto dest!: multi-member-split simp: sorted-poly-list-rel-Cons-iff rel2p-def)
      apply (rule-tac  $x = \langle \text{add-mset} (\text{mset } xs, n) r \rangle$  in exI)
      apply (auto dest!: monoms-add-poly-l'D)
      apply (auto intro: lexord-trans add-poly-p-add-mset-comb simp: lexord-transI var-order-rel-def)

```



```

apply (rule lexord-trans)
apply assumption
apply (auto intro: lexord-trans add-poly-p-add-mset-comb simp: lexord-transI
  sorted-poly-list-rel-nonzeroD var-order-rel-def)
using total-on-lexord-less-than-char-linear by fastforce

subgoal
  using p(4)[of  $\langle \text{fst } pq', \text{remove1-mset } (\text{mset } ys, m) (\text{snd } pq') \rangle \rangle$ ] p(5-)
  apply (auto dest!: multi-member-split simp: sorted-poly-list-rel-Cons-iff rel2p-def
    var-order-rel-def)
  apply (rule-tac  $x = \langle \text{add-mset } (\text{mset } ys, m) r \rangle$  in exI)
  apply (auto dest!: monoms-add-poly-l'D
    simp: total-on-lexord-less-than-char-linear)
  apply (auto intro: lexord-trans add-poly-p-add-mset-comb simp: lexord-transI
    total-on-lexord-less-than-char-linear var-order-rel-def)
  apply (rule lexord-trans)
  apply assumption
  apply (auto intro: lexord-trans add-poly-p-add-mset-comb3 simp: lexord-transI
    sorted-poly-list-rel-nonzeroD var-order-rel-def)
  using total-on-lexord-less-than-char-linear by fastforce
done
done
done

```

**lemma** add-poly-l-add-poly:  
 $\langle \text{add-poly-l } x = \text{RETURN } (\text{add-poly-l}' x) \rangle$   
**unfolding** add-poly-l-def  
**by** (induction  $x$  rule: add-poly-l'.induct)  
 (solves  $\langle \text{subst RECT-unfold, refine-mono, simp split: list.split} \rangle +$ )

**lemma** add-poly-l-spec:  
 $\langle (\text{add-poly-l}, \text{uncurry } (\lambda p q. \text{SPEC}(\lambda r. \text{add-poly-p}^{**} (p, q, \{\#\}) (\{\#\}, \{\#\}, r)))) \in$   
 $\text{sorted-poly-rel} \times_r \text{sorted-poly-rel} \rightarrow_f \langle \text{sorted-poly-rel} \rangle \text{nres-rel} \rangle$   
**unfolding** add-poly-l-add-poly  
**apply** (intro nres-relI frefI)  
**apply** (drule add-poly-l'-add-poly-p)  
**apply** (auto simp: conc-fun-RES)  
**done**

**definition** sort-poly-spec ::  $\langle \text{llist-polynomial} \Rightarrow \text{llist-polynomial nres} \rangle$  **where**  
 $\langle \text{sort-poly-spec } p =$   
 $\text{SPEC}(\lambda p'. \text{mset } p = \text{mset } p' \wedge \text{sorted-wrt } (\text{rel2p } (\text{Id} \cup \text{term-order-rel})) (\text{map fst } p')) \rangle$

**lemma** sort-poly-spec-id:  
**assumes**  $\langle (p, p') \in \text{unsorted-poly-rel} \rangle$   
**shows**  $\langle \text{sort-poly-spec } p \leq \Downarrow (\text{sorted-repeat-poly-rel}) (\text{RETURN } p') \rangle$   
**proof** –  
**obtain**  $y$  **where**  
 $py: \langle (p, y) \in \langle \text{term-poly-list-rel} \times_r \text{int-rel} \rangle \text{list-rel} \rangle$  **and**  
 $p'-y: \langle p' = \text{mset } y \rangle$  **and**  
 $zero: \langle 0 \notin \# \text{snd } \# p' \rangle$   
**using** assms  
**unfolding** sort-poly-spec-def poly-list-rel-def sorted-poly-list-rel-wrt-def  
**by** (auto simp: list-mset-rel-def br-def)

```

then have [simp]: ⟨length y = length p⟩
  by (auto simp: list-rel-def list-all2-conv-all-nth)
have H: ⟨(x, p′)
  ∈ ⟨term-poly-list-rel ×r int-rel⟩ list-rel O list-mset-rel⟩
  if px: ⟨mset p = mset x⟩ and ⟨sorted-wrt (rel2p (Id ∪ lexord var-order-rel)) (map fst x)⟩
  for x :: ⟨llist-polynomial⟩
proof −
  obtain f where
    f: ⟨bij-betw f {..r int-rel⟩ for i
  using list-all2-nthD[of - p y
    ⟨f i⟩, OF py[unfolded list-rel-def mem-Collect-eq prod.case]]
    mset-eq-length[OF px] f
  by (auto simp: list-rel-def list-all2-conv-all-nth bij-betw-def)
then have ⟨(x, ?y) ∈ ⟨term-poly-list-rel ×r int-rel⟩ list-rel⟩ and
  xy: ⟨length x = length y⟩
  using py list-all2-nthD[of ⟨rel2p (term-poly-list-rel ×r int-rel)⟩ p y
    ⟨f i⟩ for i, simplified] mset-eq-length[OF px]
  by (auto simp: list-rel-def list-all2-conv-all-nth)
moreover {
  have f: ⟨mset-set {0..

```

## 9.2 Multiplication

```

fun mult-monoms :: ⟨term-poly-list ⟹ term-poly-list ⟹ term-poly-list⟩ where
  ⟨mult-monoms p [] = p⟩ |
  ⟨mult-monoms [] p = p⟩ |
  ⟨mult-monoms (x # p) (y # q) =
    (if x = y then x # mult-monoms p q
     else if (x, y) ∈ var-order-rel then x # mult-monoms p (y # q)
     else y # mult-monoms (x # p) q)⟩

```

```

lemma term-poly-list-rel-empty-iff[simp]:
  ⟨([], q′) ∈ term-poly-list-rel ⟷ q′ = {#}⟩
  by (auto simp: term-poly-list-rel-def)

```

**lemma** *term-poly-list-rel-Cons-iff*:

$\langle (y \# p, p') \in \text{term-poly-list-rel} \longleftrightarrow$   
 $(p, \text{remove1-mset } y \ p') \in \text{term-poly-list-rel} \wedge$   
 $y \in \# p' \wedge y \notin \text{set } p \wedge y \notin \# \text{remove1-mset } y \ p' \wedge$   
 $(\forall x \in \# \text{mset } p. (y, x) \in \text{var-order-rel}) \rangle$

**apply** (*auto simp: term-poly-list-rel-def rel2p-def dest!: multi-member-split*)

**by** (*metis list.set-intros(1) list-of-mset-exi mset.simps(2) mset-eq-setD*)

**lemma** *var-order-rel-antisym[simp]*:

$\langle (y, y) \notin \text{var-order-rel} \rangle$

**by** (*simp add: less-than-char-def lexord-irreflexive var-order-rel-def*)

**lemma** *term-poly-list-rel-remdups-mset*:

$\langle (p, p') \in \text{term-poly-list-rel} \implies$   
 $(p, \text{remdups-mset } p') \in \text{term-poly-list-rel} \rangle$

**by** (*auto simp: term-poly-list-rel-def distinct-mset-remdups-mset-id simp flip: distinct-mset-mset-distinct*)

**lemma** *var-notin-notin-mult-monomsD*:

$\langle y \in \text{set } (\text{mult-monoms } p \ q) \implies y \in \text{set } p \vee y \in \text{set } q \rangle$

**by** (*induction p q arbitrary: p' q' rule: mult-monoms.induct*) (*auto split: if-splits*)

**lemma** *term-poly-list-rel-set-mset*:

$\langle (p, q) \in \text{term-poly-list-rel} \implies \text{set } p = \text{set-mset } q \rangle$

**by** (*auto simp: term-poly-list-rel-def*)

**lemma** *mult-monoms-spec*:

$\langle (\text{mult-monoms}, (\lambda a \ b. \text{remdups-mset } (a + b))) \in \text{term-poly-list-rel} \rightarrow \text{term-poly-list-rel} \rightarrow \text{term-poly-list-rel} \rangle$

**apply** (*intro fun-relI*)

**apply** (*rename-tac p p' q q'*)

**subgoal for**  $p \ p' \ q \ q'$

**apply** (*induction p q arbitrary: p' q' rule: mult-monoms.induct*)

**subgoal by** (*auto simp: term-poly-list-rel-Cons-iff rel2p-def term-poly-list-rel-remdups-mset*)

**subgoal for**  $x \ p \ p' \ q'$

**by** (*auto simp: term-poly-list-rel-Cons-iff rel2p-def term-poly-list-rel-remdups-mset*  
*dest!: multi-member-split[of - q']*)

**subgoal premises**  $p$  **for**  $x \ p \ y \ q \ p' \ q'$

**apply** (*cases  $\langle x = y \rangle$* )

**subgoal**

**using**  $p(1)[\text{of } \langle \text{remove1-mset } y \ p' \ \langle \text{remove1-mset } y \ q' \rangle \ p(4 -)$

**apply** (*auto simp: term-poly-list-rel-Cons-iff rel2p-def*

*dest!: var-notin-notin-mult-monomsD*

*dest!: multi-member-split*)

**by** (*metis set-mset-remdups-mset union-iff union-single-eq-member*)

**apply** (*cases  $\langle (x, y) \in \text{var-order-rel} \rangle$* )

**subgoal**

**using**  $p(2)[\text{of } \langle \text{remove1-mset } x \ p' \ \langle q' \rangle \ p(4 -)$

**apply** (*auto simp: term-poly-list-rel-Cons-iff*

*term-poly-list-rel-set-mset rel2p-def var-order-rel-def*

*dest!: multi-member-split[of - p'] multi-member-split[of - q']*

*var-notin-notin-mult-monomsD*

*split: if-splits*)

**apply** (*meson lexord-cons-cons list.inject total-on-lexord-less-than-char-linear*)

**apply** (*meson lexord-cons-cons list.inject total-on-lexord-less-than-char-linear*)

**apply** (*meson lexord-cons-cons list.inject total-on-lexord-less-than-char-linear*)

**using** *lexord-trans trans-less-than-char var-order-rel-antisym*

```

    unfolding var-order-rel-def apply blast+
  done
subgoal
  using p(3)[of ⟨p'⟩ (remove1-mset y q')] p(4-)
  apply (auto simp: term-poly-list-rel-Cons-iff rel2p-def
    term-poly-list-rel-set-mset rel2p-def var-order-rel-antisym
    dest!: multi-member-split[of - p'] multi-member-split[of - q']
    var-notin-notin-mult-monomialsD
    split: if-splits)
  using lexord-trans trans-less-than-char var-order-rel-antisym
  unfolding var-order-rel-def apply blast
  apply (meson lexord-cons-cons list.inject total-on-lexord-less-than-char-linear)
  by (meson less-than-char-linear lexord-linear lexord-trans trans-less-than-char)
done
done
done

```

**definition** *mult-monomials* ::  $\langle \text{term-poly-list} \times \text{int} \Rightarrow \text{term-poly-list} \times \text{int} \Rightarrow \text{term-poly-list} \times \text{int} \rangle$  **where**  
 $\langle \text{mult-monomials} = (\lambda(x, a) (y, b). (\text{mult-monomials } x \ y, a * b)) \rangle$

**definition** *mult-poly-raw* ::  $\langle \text{llist-polynomial} \Rightarrow \text{llist-polynomial} \Rightarrow \text{llist-polynomial} \rangle$  **where**  
 $\langle \text{mult-poly-raw } p \ q = \text{foldl } (\lambda b \ x. \text{map } (\text{mult-monomials } x) \ q \ @ \ b) \ [] \ p \rangle$

**fun** *map-append* **where**  
 $\langle \text{map-append } f \ b \ [] = b \rangle$   
 $\langle \text{map-append } f \ b \ (x \# \ xs) = f \ x \# \ \text{map-append } f \ b \ xs \rangle$

**lemma** *map-append-alt-def*:  
 $\langle \text{map-append } f \ b \ xs = \text{map } f \ xs \ @ \ b \rangle$   
**by** (*induction*  $f \ b \ xs$  *rule*: *map-append.induct*)  
*auto*

**lemma** *foldl-append-empty*:  
 $\langle \text{NO-MATCH } [] \ xs \Longrightarrow \text{foldl } (\lambda b \ x. f \ x \ @ \ b) \ xs \ p = \text{foldl } (\lambda b \ x. f \ x \ @ \ b) \ [] \ p \ @ \ xs \rangle$   
**apply** (*induction*  $p$  *arbitrary*:  $xs$ )  
**apply** *simp*  
**by** (*metis* (*mono-tags*, *lifting*) *NO-MATCH-def* *append.assoc* *append-self-conv* *foldl-Cons*)

**lemma** *poly-list-rel-empty-iff*[*simp*]:  
 $\langle ([], r) \in \text{poly-list-rel } R \longleftrightarrow r = \{\#\} \rangle$   
**by** (*auto simp*: *poly-list-rel-def* *list-mset-rel-def* *br-def*)

**lemma** *mult-poly-raw-simp*[*simp*]:  
 $\langle \text{mult-poly-raw } [] \ q = [] \rangle$   
 $\langle \text{mult-poly-raw } (x \# \ p) \ q = \text{mult-poly-raw } p \ q \ @ \ \text{map } (\text{mult-monomials } x) \ q \rangle$   
**subgoal by** (*auto simp*: *mult-poly-raw-def*)  
**subgoal by** (*induction*  $p$ ) (*auto simp*: *mult-poly-raw-def* *foldl-append-empty*)  
**done**

**lemma** *sorted-poly-list-relD*:  
 $\langle (q, q') \in \text{sorted-poly-list-rel } R \Longrightarrow q' = (\lambda(a, b). (\text{mset } a, b)) \ \#\ \text{mset } q \rangle$   
**apply** (*induction*  $q$  *arbitrary*:  $q'$ )  
**apply** (*auto simp*: *sorted-poly-list-rel-wrt-def* *list-mset-rel-def* *br-def*)

```

    list-rel-split-right-iff)
  apply (subst (asm)(2) term-poly-list-rel-def)
  apply (simp add: relcomp.relcompI)
done

```

**lemma** *list-all2-in-set-ExD*:

```

  ⟨list-all2 R p q ⟹ x ∈ set p ⟹ ∃ y ∈ set q. R x y⟩
  by (induction p q rule: list-all2-induct)
    auto

```

**inductive-cases** *mult-poly-p-elim*:  $\langle \text{mult-poly-p } q \ (A, r) \ (B, r') \rangle$

**lemma** *mult-poly-p-add-mset-same*:

```

  ⟨(mult-poly-p q)** (A, r) (B, r') ⟹ (mult-poly-p q)** (add-mset x A, r) (add-mset x B, r')⟩
  apply (induction rule: rtranclp-induct[of ⟨mult-poly-p q⟩ ⟨(p, r)⟩ ⟨(p', q')⟩ for p' q', split-format(complete)])
  apply (auto elim!: mult-poly-p-elim intro: mult-poly-p.intros)
  by (smt add-mset-commute mult-step rtranclp.rtrancl-into-rtrancl)

```

**lemma** *mult-poly-raw-mult-poly-p*:

```

  assumes ⟨(p, p') ∈ sorted-poly-rel⟩ and ⟨(q, q') ∈ sorted-poly-rel⟩
  shows ∃ r. (mult-poly-raw p q, r) ∈ unsorted-poly-rel ∧ (mult-poly-p q)** (p', {#}) ({#}, r)

```

**proof** –

```

  have H: ⟨(q, q') ∈ sorted-poly-list-rel term-order ⟹ n < length q ⟹
    distinct aa ⟹ sorted-wrt var-order aa ⟹
    (mult-monoms aa (fst (q ! n)),
      mset (mult-monoms aa (fst (q ! n))))
      ∈ term-poly-list-rel for aa n
  using mult-monoms-spec[unfolded fun-rel-def, simplified] apply –
  apply (drule bspec[of - - ⟨(aa, (mset aa))⟩])
  apply (auto simp: term-poly-list-rel-def)[]
  unfolding prod.case sorted-poly-list-rel-wrt-def
  apply clarsimp
  subgoal for y
    apply (drule bspec[of - - ⟨(fst (q ! n), mset (fst (q ! n)))⟩])
    apply (cases ⟨q ! n⟩; cases ⟨y ! n⟩)
    using param-nth[of n y n q ⟨term-poly-list-rel ×r int-rel⟩]
    by (auto simp: list-rel-imp-same-length term-poly-list-rel-def)
  done

```

**have** *H'*:  $\langle (q, q') \in \text{sorted-poly-list-rel term-order} \implies$

```

  distinct aa ⟹ sorted-wrt var-order aa ⟹
  (ab, ba) ∈ set q ⟹
  remdups-mset (mset aa + mset ab) = mset (mult-monoms aa ab) for aa n ab ba
  using mult-monoms-spec[unfolded fun-rel-def, simplified] apply –
  apply (drule bspec[of - - ⟨(aa, (mset aa))⟩])
  apply (auto simp: term-poly-list-rel-def)[]
  unfolding prod.case sorted-poly-list-rel-wrt-def
  apply clarsimp
  subgoal for y
    apply (drule bspec[of - - ⟨(ab, mset ab)⟩])
    apply (auto simp: list-rel-imp-same-length term-poly-list-rel-def list-rel-def
      dest: list-all2-in-set-ExD)
  done
done

```

```

have  $H: \langle (q, q') \in \text{sorted-poly-list-rel term-order} \Rightarrow$ 
   $a = (aa, b) \Rightarrow$ 
   $(pq, r) \in \text{unsorted-poly-rel} \Rightarrow$ 
   $p' = \text{add-mset } (mset\ aa, b)\ A \Rightarrow$ 
   $\forall x \in \text{set } p. \text{term-order } aa\ (\text{fst } x) \Rightarrow$ 
   $\text{sorted-wrt var-order } aa \Rightarrow$ 
   $\text{distinct } aa \Rightarrow b \neq 0 \Rightarrow$ 
   $(\bigwedge aaa. (aaa, 0) \notin \# q') \Rightarrow$ 
   $(pq\ @$ 
     $\text{map } (\text{mult-monomials } (aa, b))\ q,$ 
     $\{\# \text{case } x \text{ of } (ys, n) \Rightarrow (\text{remdups-mset } (mset\ aa + ys), n * b)$ 
     $. x \in \# q'\#\} +$ 
     $r)$ 
   $\in \text{unsorted-poly-rel}\rangle$  for  $a\ p\ p'\ pq\ aa\ b\ r$ 
apply (auto simp: poly-list-rel-def)
apply (rule-tac  $b = \langle y\ @\ \text{map } (\lambda(a,b). (mset\ a, b))\ (\text{map } (\text{mult-monomials } (aa, b))\ q) \rangle$  in relcompI)
apply (auto simp: list-rel-def list-all2-append list-all2-lengthD H
  list-mset-rel-def br-def mult-monomials-def case-prod-beta intro!: list-all2-all-nthI
  simp: sorted-poly-list-relD)
apply (subst sorted-poly-list-relD[of q q' term-order])
apply (auto simp: case-prod-beta H' intro!: image-mset-cong)
done

show ?thesis
using assms
apply (induction p arbitrary: p')
subgoal
  by auto
subgoal premises p for a p p'
  using  $p(1)[\text{of } \langle \text{remove1-mset } (mset\ (\text{fst } a), \text{snd } a)\ p' \rangle]\ p(2-)$ 
  apply (cases a)
  apply (auto simp: sorted-poly-list-rel-Cons-iff
    dest!: multi-member-split)
  apply (rule-tac  $x = \langle (\lambda(ys, n). (\text{remdups-mset } (mset\ (\text{fst } a) + ys), n * \text{snd } a))\ '\# q' + r \rangle$  in exI)
  apply (auto 5 3 intro: mult-poly-p.intros simp: intro!: H
    dest: sorted-poly-list-rel-nonzeroD nonzero-coeffsD)
  apply (rule rtranclp-trans)
  apply (rule mult-poly-p-add-mset-same)
  apply assumption
  apply (rule converse-rtranclp-into-rtranclp)
  apply (auto intro!: mult-poly-p.intros simp: ac-simps)
  done
done
qed

```

```

fun merge-coeffs ::  $\langle \text{llist-polynomial} \Rightarrow \text{llist-polynomial} \rangle$  where
   $\langle \text{merge-coeffs } [] = [] \mid$ 
   $\langle \text{merge-coeffs } [(xs, n)] = [(xs, n)] \mid$ 
   $\langle \text{merge-coeffs } ((xs, n) \# (ys, m) \# p) =$ 
     $(\text{if } xs = ys$ 
       $\text{then if } n + m \neq 0 \text{ then } \text{merge-coeffs } ((xs, n + m) \# p) \text{ else } \text{merge-coeffs } p$ 
       $\text{else } (xs, n) \# \text{merge-coeffs } ((ys, m) \# p)) \rangle$ 

abbreviation (in  $-$ )mononomys ::  $\langle \text{llist-polynomial} \Rightarrow \text{term-poly-list set} \rangle$  where
   $\langle \text{mononomys } p \equiv \text{fst } \text{'set } p \rangle$ 

```

**lemma** *fst-normalize-polynomial-subset*:  
 $\langle \text{mononoms } (\text{merge-coeffs } p) \subseteq \text{mononoms } p \rangle$   
**by** (*induction* *p* *rule*: *merge-coeffs.induct*) *auto*

**lemma** *fst-normalize-polynomial-subsetD*:  
 $\langle (a, b) \in \text{set } (\text{merge-coeffs } p) \implies a \in \text{mononoms } p \rangle$   
**apply** (*induction* *p* *rule*: *merge-coeffs.induct*)  
**subgoal**  
**by** *auto*  
**subgoal**  
**by** *auto*  
**subgoal**  
**by** (*auto split*: *if-splits*)  
**done**

**lemma** *distinct-merge-coeffs*:  
**assumes**  $\langle \text{sorted-wrt } R \text{ (map fst } xs) \rangle$  **and**  $\langle \text{transp } R \rangle \langle \text{antisym } R \rangle$   
**shows**  $\langle \text{distinct (map fst (merge-coeffs } xs)) \rangle$   
**using** *assms*  
**by** (*induction* *xs* *rule*: *merge-coeffs.induct*)  
(*auto* 5 4 *dest*: *antisymD* *dest*!: *fst-normalize-polynomial-subsetD*)

**lemma** *in-set-merge-coeffsD*:  
 $\langle (a, b) \in \text{set } (\text{merge-coeffs } p) \implies \exists b. (a, b) \in \text{set } p \rangle$   
**by** (*auto* *dest*!: *fst-normalize-polynomial-subsetD*)

**lemma** *rtranclp-normalize-poly-add-mset*:  
 $\langle \text{normalize-poly-p}^{**} A \text{ } r \implies \text{normalize-poly-p}^{**} (\text{add-mset } x \text{ } A) (\text{add-mset } x \text{ } r) \rangle$   
**by** (*induction* *rule*: *rtranclp-induct*)  
(*auto* *dest*: *normalize-poly-p.keep-coeff*[*of* - - *x*])

**lemma** *nonzero-coeffs-diff*:  
 $\langle \text{nonzero-coeffs } A \implies \text{nonzero-coeffs } (A - B) \rangle$   
**by** (*auto simp*: *nonzero-coeffs-def* *dest*: *in-diffD*)

**lemma** *merge-coeffs-is-normalize-poly-p*:  
 $\langle (xs, ys) \in \text{sorted-repeat-poly-rel} \implies \exists r. (\text{merge-coeffs } xs, r) \in \text{sorted-poly-rel} \wedge \text{normalize-poly-p}^{**} ys \text{ } r \rangle$   
**apply** (*induction* *xs* *arbitrary*: *ys* *rule*: *merge-coeffs.induct*)  
**subgoal** **by** (*auto simp*: *sorted-repeat-poly-list-rel-wrt-def* *sorted-poly-list-rel-wrt-def*)  
**subgoal**  
**by** (*auto simp*: *sorted-repeat-poly-list-rel-wrt-def* *sorted-poly-list-rel-wrt-def*)  
**subgoal** **premises** *p* **for** *xs n ys m p ysa*  
**apply** (*cases*  $\langle xs = ys \rangle$ , *cases*  $\langle m+n \neq 0 \rangle$ )  
**subgoal**  
**using** *p*(1)[*of*  $\langle \text{add-mset } (\text{mset } ys, m+n) \text{ } ysa - \{\#(\text{mset } ys, m), (\text{mset } ys, n)\# \} \rangle$ ] *p*(4 -  
**apply** (*auto simp*: *sorted-poly-list-rel-Cons-iff* *ac-simps* *add-mset-commute*  
*remove1-mset-add-mset-If* *nonzero-coeffs-diff* *sorted-repeat-poly-list-rel-Cons-iff*)  
**apply** (*rule-tac* *x* =  $\langle r \rangle$  **in** *exI*)  
**using** *normalize-poly-p.merge-dup-coeff*[*of*  $\langle ysa - \{\#(\text{mset } ys, m), (\text{mset } ys, n)\# \} \rangle \langle ysa - \{\#(\text{mset } ys, m), (\text{mset } ys, n)\# \} \rangle \langle \text{mset } ys \rangle m \text{ } n \rangle$

```

  apply (auto dest!: multi-member-split simp del: normalize-poly-p.merge-dup-coeff)
  by (metis add-mset-commute converse-rtranclp-into-rtranclp)
subgoal
  using p(2)[of ⟨ysa - {#(mset ys, m), (mset ys, n)#}⟩] p(4-)
  apply (auto simp: sorted-poly-list-rel-Cons-iff ac-simps add-mset-commute
    remove1-mset-add-mset-If nonzero-coeffs-diff sorted-repeat-poly-list-rel-Cons-iff)
  apply (rule-tac x = ⟨r⟩ in exI)
  using normalize-poly-p.rem-0-coeff[of ⟨add-mset (mset ys, m + n) ysa - {#(mset ys, m), (mset
ys, n)#}⟩ ⟨add-mset (mset ys, m + n) ysa - {#(mset ys, m), (mset ys, n)#}⟩ ⟨mset ys⟩]
  using normalize-poly-p.merge-dup-coeff[of ⟨ysa - {#(mset ys, m), (mset ys, n)#}⟩ ⟨ysa - {#(mset
ys, m), (mset ys, n)#}⟩ ⟨mset ys⟩ m n]
  apply (auto intro: normalize-poly-p.intros add-mset-commute add-mset-commute converse-rtranclp-into-rtranclp
    dest!: multi-member-split
    simp del: normalize-poly-p.rem-0-coeff
    simp: add-eq-0-iff2)
  by (metis (full-types) add.right-inverse converse-rtranclp-into-rtranclp merge-dup-coeff normalize-poly-p.rem-0-coeff
same)
subgoal
  using p(3)[of ⟨add-mset (mset ys, m) ysa - {#(mset xs, n), (mset ys, m)#}⟩] p(4-)
  apply (auto simp: sorted-poly-list-rel-Cons-iff ac-simps add-mset-commute
    remove1-mset-add-mset-If sorted-repeat-poly-list-rel-Cons-iff)
  apply (rule-tac x = ⟨add-mset (mset xs, n) r⟩ in exI)
  apply (auto dest!: in-set-merge-coeffsD)
  apply (auto intro: normalize-poly-p.intros rtranclp-normalize-poly-add-mset
    simp: rel2p-def var-order-rel-def
    dest!: multi-member-split
    dest: sorted-poly-list-rel-nonzeroD)
  using total-on-lexord-less-than-char-linear apply fastforce
  using total-on-lexord-less-than-char-linear apply fastforce
done
done
done

```

### 9.3 Normalisation

**definition** *normalize-poly* **where**

```

⟨normalize-poly p = do {
  p ← sort-poly-spec p;
  RETURN (merge-coeffs p)
}⟩

```

**definition** *sort-coeff* :: ⟨string list ⇒ string list nres⟩ **where**

```

⟨sort-coeff ys = SPEC(λxs. mset xs = mset ys ∧ sorted-wrt (rel2p (Id ∪ var-order-rel)) xs)⟩

```

**lemma** *distinct-var-order-Id-var-order*:

```

⟨distinct a ⇒ sorted-wrt (rel2p (Id ∪ var-order-rel)) a ⇒
  sorted-wrt var-order a⟩
by (induction a) (auto simp: rel2p-def)

```

**definition** *sort-all-coeffs* :: ⟨llist-polynomial ⇒ llist-polynomial nres⟩ **where**

```

⟨sort-all-coeffs xs = monadic-nfoldli xs (λ-. RETURN True) (λ(a, n) b. do {a ← sort-coeff a; RETURN
((a, n) # b)})⟩ []

```

**lemma** *sort-all-coeffs-gen*:

```

assumes ⟨(∀ xs ∈ mononoms xs'. sorted-wrt (rel2p (var-order-rel)) xs)⟩ and
  ⟨∀ x ∈ mononoms (xs @ xs'). distinct x⟩
shows ⟨monadic-nfoldli xs (λ-. RETURN True) (λ(a, n) b. do {a ← sort-coeff a; RETURN ((a, n)

```



```

# b)) xs' ≤
  ↓ Id (SPEC(λys. map (λ(a,b). (mset a, b)) (rev xs @ xs') = map (λ(a,b). (mset a, b)) (ys) ∧
    (∀ xs ∈ mononoms ys. sorted-wrt (rel2p (var-order-rel)) xs)))
using assms
unfolding sort-all-coeffs-def sort-coeff-def
apply (induction xs arbitrary: xs')
subgoal
  using assms
  by auto
subgoal premises p for a xs
  using p(2-)
  apply (cases a, simp only: monadic-nfoldli-simp bind-to-let-conv Let-def if-True Refine-Basic.nres-monad3
    intro-spec-refine-iff prod.case)
  apply (auto 5 3 simp: intro-spec-refine-iff image-Un
    dest: same-mset-distinct-iff
    intro!: p(1)[THEN order-trans] distinct-var-order-Id-var-order)
  apply (metis UnCI fst-eqD rel2p-def sorted-wrt-mono-rel)
  done
done

```

**definition** *shuffle-coefficients* **where**

```

⟨shuffle-coefficients xs = (SPEC(λys. map (λ(a,b). (mset a, b)) (rev xs) = map (λ(a,b). (mset a, b))
ys ∧
  (∀ xs ∈ mononoms ys. sorted-wrt (rel2p (var-order-rel)) xs)))⟩

```

**lemma** *sort-all-coeffs*:

```

⟨∀ x ∈ mononoms xs. distinct x ⟹
sort-all-coeffs xs ≤ ↓ Id (shuffle-coefficients xs)⟩
unfolding sort-all-coeffs-def shuffle-coefficients-def
by (rule sort-all-coeffs-gen[THEN order-trans])
auto

```

**lemma** *unsorted-term-poly-list-rel-mset*:

```

⟨(ys, aa) ∈ unsorted-term-poly-list-rel ⟹ mset ys = aa⟩
by (auto simp: unsorted-term-poly-list-rel-def)

```

**lemma** *RETURN-map-alt-def*:

```

⟨RETURN o (map f) =
  RECT (λg xs.
    case xs of
      [] ⇒ RETURN []
    | x # xs ⇒ do {xs ← g xs; RETURN (f x # xs)})⟩
unfolding comp-def
apply (subst eq-commute)
apply (intro ext)
apply (induct-tac x)
subgoal
  apply (subst RECT-unfold)
  apply refine-mono
  apply auto
  done
subgoal
  apply (subst RECT-unfold)
  apply refine-mono
  apply auto

```

done  
done

**lemma** *fully-unsorted-poly-rel-Cons-iff*:

$\langle (ys, n) \# p, a \rangle \in \text{fully-unsorted-poly-rel} \longleftrightarrow$   
 $\langle p, \text{remove1-mset} (\text{mset } ys, n) a \rangle \in \text{fully-unsorted-poly-rel} \wedge$   
 $\langle \text{mset } ys, n \rangle \in \# a \wedge \text{distinct } ys \rangle$   
**apply** (auto simp: poly-list-rel-def list-rel-split-right-iff list-mset-rel-def br-def  
 unsorted-term-poly-list-rel-def  
 nonzero-coeffs-def fully-unsorted-poly-list-rel-def dest!: multi-member-split)  
**apply** blast  
**apply** (rule-tac b =  $\langle \text{mset } ys, n \rangle \# y$  in relcompI)  
**apply** auto  
done

**lemma** *map-mset-unsorted-term-poly-list-rel*:

$\langle (\bigwedge a. a \in \text{mononoms } s \implies \text{distinct } a) \implies \forall x \in \text{mononoms } s. \text{distinct } x \implies$   
 $(\forall xs \in \text{mononoms } s. \text{sorted-wrt } (\text{rel2p } (\text{Id} \cup \text{var-order-rel})) xs) \implies$   
 $\langle s, \text{map } (\lambda(a, y). (\text{mset } a, y)) s \rangle$   
 $\in \langle \text{term-poly-list-rel} \times_r \text{int-rel} \rangle \text{list-rel} \rangle$   
**by** (induction s) (auto simp: term-poly-list-rel-def  
 distinct-var-order-Id-var-order)

**lemma** *list-rel-unsorted-term-poly-list-relD*:

$\langle (p, y) \in \langle \text{unsorted-term-poly-list-rel} \times_r \text{int-rel} \rangle \text{list-rel} \implies$   
 $\text{mset } y = (\lambda(a, y). (\text{mset } a, y)) \text{'}\# \text{mset } p \wedge (\forall x \in \text{mononoms } p. \text{distinct } x) \rangle$   
**by** (induction p arbitrary: y)  
 (auto simp: list-rel-split-right-iff  
 unsorted-term-poly-list-rel-def)

**lemma** *shuffle-terms-distinct-iff*:

**assumes**  $\langle \text{map } (\lambda(a, y). (\text{mset } a, y)) p = \text{map } (\lambda(a, y). (\text{mset } a, y)) s \rangle$   
**shows**  $\langle (\forall x \in \text{set } p. \text{distinct } (\text{fst } x)) \longleftrightarrow (\forall x \in \text{set } s. \text{distinct } (\text{fst } x)) \rangle$

**proof** –

**have**  $\langle \forall x \in \text{set } s. \text{distinct } (\text{fst } x) \rangle$   
**if** m:  $\langle \text{map } (\lambda(a, y). (\text{mset } a, y)) p = \text{map } (\lambda(a, y). (\text{mset } a, y)) s \rangle$  **and**  
 dist:  $\langle \forall x \in \text{set } p. \text{distinct } (\text{fst } x) \rangle$   
**for** s p  
**proof** standard+  
**fix** x  
**assume** x:  $\langle x \in \text{set } s \rangle$   
**obtain** v n **where** [simp]:  $\langle x = (v, n) \rangle$  **by** (cases x)  
**then have**  $\langle (\text{mset } v, n) \in \text{set } (\text{map } (\lambda(a, y). (\text{mset } a, y)) p) \rangle$   
**using** x **unfolding** m **by** auto  
**then obtain** v' **where**  
 $\langle (v', n) \in \text{set } p \rangle$  **and**  
 $\langle \text{mset } v' = \text{mset } v \rangle$   
**by** (auto simp: image-iff)  
**then show**  $\langle \text{distinct } (\text{fst } x) \rangle$   
**using** dist **by** (metis  $\langle x = (v, n) \rangle$  distinct-mset-mset-distinct fst-conv)  
**qed**  
**from** this[*of* p s] this[*of* s p]  
**show**  $\langle ?thesis \rangle$   
**unfolding** assms

by blast  
qed

lemma

$\langle (p, y) \in \langle \text{unsorted-term-poly-list-rel} \times_r \text{int-rel} \rangle \text{list-rel} \implies$   
 $(a, b) \in \text{set } p \implies \text{distinct } a \rangle$   
 using list-rel-unsorted-term-poly-list-relD by fastforce

lemma sort-all-coeffs-unsorted-poly-rel-with0:

assumes  $\langle (p, p') \in \text{fully-unsorted-poly-rel} \rangle$   
 shows  $\langle \text{sort-all-coeffs } p \leq \Downarrow (\text{unsorted-poly-rel-with0}) (\text{RETURN } p') \rangle$

proof –

have  $\langle (\text{map } (\lambda(a, y). (\text{mset } a, y)) (\text{rev } p)) =$   
 $\text{map } (\lambda(a, y). (\text{mset } a, y)) s \longleftrightarrow$   
 $(\text{map } (\lambda(a, y). (\text{mset } a, y)) p) =$   
 $\text{map } (\lambda(a, y). (\text{mset } a, y)) (\text{rev } s) \rangle$  for s  
 apply (auto simp flip: rev-map)  
 by (metis rev-rev-ident)  
 show ?thesis  
 apply (rule sort-all-coeffs[THEN order-trans])  
 using assms  
 apply (auto simp: shuffle-coefficients-def poly-list-rel-def  
 RETURN-def fully-unsorted-poly-list-rel-def list-mset-rel-def  
 br-def dest: list-rel-unsorted-term-poly-list-relD  
 intro!: RES-refine)  
 apply (rule-tac b=  $\langle \text{map } (\lambda(a, y). (\text{mset } a, y)) (\text{rev } p) \rangle$  in relcompI)  
 apply (auto dest: list-rel-unsorted-term-poly-list-relD  
 simp:)  
 apply (auto simp: mset-map rev-map  
 dest!: list-rel-unsorted-term-poly-list-relD  
 intro!: map-mset-unsorted-term-poly-list-rel)  
 apply (force dest: shuffle-terms-distinct-iff[THEN iffD1])  
 apply (force dest: shuffle-terms-distinct-iff[THEN iffD1])  
 apply (metis Un-iff fst-conv rel2p-def sorted-wrt-mono-rel)  
 by (metis mset-map mset-rev)

qed

lemma sort-poly-spec-id':

assumes  $\langle (p, p') \in \text{unsorted-poly-rel-with0} \rangle$   
 shows  $\langle \text{sort-poly-spec } p \leq \Downarrow (\text{sorted-repeat-poly-rel-with0}) (\text{RETURN } p') \rangle$

proof –

obtain y where  
 py:  $\langle (p, y) \in \langle \text{term-poly-list-rel} \times_r \text{int-rel} \rangle \text{list-rel} \rangle$  and  
 p'-y:  $\langle p' = \text{mset } y \rangle$   
 using assms  
 unfolding fully-unsorted-poly-list-rel-def poly-list-rel-def sorted-poly-list-rel-wrt-def  
 by (auto simp: list-mset-rel-def br-def)  
 then have [simp]:  $\langle \text{length } y = \text{length } p \rangle$   
 by (auto simp: list-rel-def list-all2-conv-all-nth)  
 have H:  $\langle (x, p') \in \langle \text{term-poly-list-rel} \times_r \text{int-rel} \rangle \text{list-rel} \text{ } O \text{ list-mset-rel} \rangle$   
 if px:  $\langle \text{mset } p = \text{mset } x \rangle$  and  $\langle \text{sorted-wrt } (\text{rel2p } (\text{Id} \cup \text{lexord var-order-rel})) (\text{map fst } x) \rangle$   
 for x ::  $\langle \text{list-polynomial} \rangle$   
 proof –  
 obtain f where

```

f: ⟨bij-betw f {.. $\text{length } x$ } {.. $\text{length } p$ }⟩ and
[simp]: ⟨ $\bigwedge i. i < \text{length } x \implies x ! i = p ! (f i)$ ⟩
using px apply – apply (subst (asm)(2) eq-commute) unfolding mset-eq-perm
by (auto dest!: permutation-Ex-bij)
let ?y = ⟨map (λi. y ! f i) [0 ..< length x]⟩
have ⟨i < length y  $\implies$  (p ! f i, y ! f i) ∈ term-poly-list-rel  $\times_r$  int-rel⟩ for i
using list-all2-nthD[of - p y
  ⟨f i, OF py[unfolded list-rel-def mem-Collect-eq prod.case]]
  mset-eq-length[OF px] f
by (auto simp: list-rel-def list-all2-conv-all-nth bij-betw-def)
then have ⟨(x, ?y) ∈ ⟨term-poly-list-rel  $\times_r$  int-rel⟩list-rel⟩ and
xy: ⟨length x = length y⟩
using py list-all2-nthD[of ⟨rel2p (term-poly-list-rel  $\times_r$  int-rel)⟩ p y
  ⟨f i for i, simplified⟩ mset-eq-length[OF px]
by (auto simp: list-rel-def list-all2-conv-all-nth)
moreover {
  have f: ⟨mset-set {0.. $\text{length } x$ } = f ‘# mset-set {0.. $\text{length } x$ }⟩
  using f mset-eq-length[OF px]
  by (auto simp: bij-betw-def lessThan-atLeast0 image-mset-mset-set)
  have ⟨mset y = {#y ! f x. x ∈# mset-set {0.. $\text{length } x$ }#}⟩
  by (subst drop-0[symmetric], subst mset-drop-upto, subst xy[symmetric], subst f)
  auto
  then have ⟨(?y, p') ∈ list-mset-rel⟩
  by (auto simp: list-mset-rel-def br-def p'-y)
}
ultimately show ?thesis
by (auto intro!: relcompI[of - ?y])
qed
show ?thesis
unfolding sort-poly-spec-def poly-list-rel-def sorted-repeat-poly-list-rel-with0-wrt-def
by refine-rcg (auto intro: H)
qed

```

```

fun merge-coeffs0 :: ⟨llist-polynomial  $\Rightarrow$  llist-polynomial⟩ where
  ⟨merge-coeffs0 [] = []⟩ |
  ⟨merge-coeffs0 [(xs, n)] = (if n = 0 then [] else [(xs, n)])⟩ |
  ⟨merge-coeffs0 ((xs, n) # (ys, m) # p) =
    (if xs = ys
      then if n + m  $\neq$  0 then merge-coeffs0 ((xs, n + m) # p) else merge-coeffs0 p
      else if n = 0 then merge-coeffs0 ((ys, m) # p)
      else (xs, n) # merge-coeffs0 ((ys, m) # p))⟩

```

```

lemma sorted-repeat-poly-list-rel-with0-wrt-ConsD:
  ⟨((ys, n) # p, a) ∈ sorted-repeat-poly-list-rel-with0-wrt S term-poly-list-rel  $\implies$ 
    (p, remove1-mset (mset ys, n) a) ∈ sorted-repeat-poly-list-rel-with0-wrt S term-poly-list-rel  $\wedge$ 
    (mset ys, n) ∈# a  $\wedge$  ( $\forall x \in \text{set } p. S \text{ ys } (\text{fst } x)$ )  $\wedge$  sorted-wrt (rel2p var-order-rel) ys  $\wedge$ 
    distinct ys⟩
unfolding sorted-repeat-poly-list-rel-with0-wrt-def prod.case mem-Collect-eq
list-rel-def
apply (clarsimp)
apply (subst (asm) list.rel-sel)
apply (intro conjI)
apply (rule-tac b = ⟨tl y⟩ in relcompI)

```

```

apply (auto simp: sorted-poly-list-rel-wrt-def list-mset-rel-def br-def)
apply (case-tac ⟨lead-coeff y⟩; case-tac y)
apply (auto simp: term-poly-list-rel-def)
apply (case-tac ⟨lead-coeff y⟩; case-tac y)
apply (auto simp: term-poly-list-rel-def)
apply (case-tac ⟨lead-coeff y⟩; case-tac y)
apply (auto simp: term-poly-list-rel-def)
apply (case-tac ⟨lead-coeff y⟩; case-tac y)
apply (auto simp: term-poly-list-rel-def)
done

```

**lemma** *sorted-repeat-poly-list-rel-with0-wrtl-Cons-iff*:

```

⟨(ys, n) # p, a⟩ ∈ sorted-repeat-poly-list-rel-with0-wrt S term-poly-list-rel ⟷
  (p, remove1-mset (mset ys, n) a) ∈ sorted-repeat-poly-list-rel-with0-wrt S term-poly-list-rel ∧
  (mset ys, n) ∈# a ∧ (∀ x ∈ set p. S ys (fst x)) ∧ sorted-wrt (rel2p var-order-rel) ys ∧
  distinct ys
apply (rule iffI)
subgoal
  by (auto dest!: sorted-repeat-poly-list-rel-with0-wrt-ConsD)
subgoal
  unfolding sorted-poly-list-rel-wrt-def prod.case mem-Collect-eq
    list-rel-def sorted-repeat-poly-list-rel-with0-wrt-def
  apply (clarsimp)
  apply (rule-tac b = ⟨(mset ys, n) # y⟩ in relcompI)
  by (auto simp: sorted-poly-list-rel-wrt-def list-mset-rel-def br-def
    term-poly-list-rel-def add-mset-eq-add-mset eq-commute[of - (mset -)]
    nonzero-coeffs-def
    dest!: multi-member-split)
done

```

**lemma** *fst-normalize0-polynomial-subsetD*:

```

⟨(a, b) ∈ set (merge-coeffs0 p) ⟹ a ∈ monoms p
apply (induction p rule: merge-coeffs0.induct)
subgoal
  by auto
subgoal
  by (auto split: if-splits)
subgoal
  by (auto split: if-splits)
done

```

**lemma** *in-set-merge-coeffs0D*:

```

⟨(a, b) ∈ set (merge-coeffs0 p) ⟹ ∃ b. (a, b) ∈ set p
by (auto dest!: fst-normalize0-polynomial-subsetD)

```

**lemma** *merge-coeffs0-is-normalize-poly-p*:

```

⟨(xs, ys) ∈ sorted-repeat-poly-rel-with0 ⟹ ∃ r. (merge-coeffs0 xs, r) ∈ sorted-poly-rel ∧ normalize-poly-p**
  ys r
apply (induction xs arbitrary: ys rule: merge-coeffs0.induct)
subgoal by (auto simp: sorted-repeat-poly-list-rel-wrt-def sorted-poly-list-rel-wrt-def
  sorted-repeat-poly-list-rel-with0-wrt-def list-mset-rel-def br-def)
subgoal for xs n ys
  by (force simp: sorted-repeat-poly-list-rel-wrt-def sorted-poly-list-rel-wrt-def
    sorted-repeat-poly-list-rel-with0-wrt-def list-mset-rel-def br-def)

```

```

    list-rel-split-right-iff)
subgoal premises  $p$  for  $xs\ n\ ys\ m\ p\ ysa$ 
  apply (cases  $\langle xs = ys \rangle$ , cases  $\langle m+n \neq 0 \rangle$ )
subgoal
  using  $p(1)[of\ \langle add\text{-}mset\ (mset\ ys,\ m+n)\ ysa - \{\#(mset\ ys,\ m), (mset\ ys,\ n)\}\rangle]\ p(5-)$ 
  apply (auto simp: sorted-repeat-poly-list-rel-with0-wrtl-Cons-iff ac-simps add-mset-commute
    remove1-mset-add-mset-If nonzero-coeffs-diff sorted-repeat-poly-list-rel-Cons-iff)
  apply (auto intro: normalize-poly-p.intros add-mset-commute add-mset-commute
    converse-rtranclp-into-rtranclp dest!: multi-member-split
    simp del: normalize-poly-p.merge-dup-coeff)
  apply (rule-tac  $x = \langle r \rangle$  in exI)
  using normalize-poly-p.merge-dup-coeff[ $of\ \langle ysa - \{\#(mset\ ys,\ m), (mset\ ys,\ n)\}\rangle\ \langle ysa - \{\#(mset\ ys,\ m), (mset\ ys,\ n)\}\rangle\ \langle mset\ ys \rangle\ m\ n$ ]
  apply (auto intro: normalize-poly-p.intros add-mset-commute add-mset-commute
    converse-rtranclp-into-rtranclp dest!: multi-member-split
    simp del: normalize-poly-p.merge-dup-coeff)
  by (metis add-mset-commute converse-rtranclp-into-rtranclp)
subgoal
  using  $p(2)[of\ \langle ysa - \{\#(mset\ ys,\ m), (mset\ ys,\ n)\}\rangle]\ p(5-)$ 
  apply (auto simp: sorted-repeat-poly-list-rel-with0-wrtl-Cons-iff ac-simps add-mset-commute
    remove1-mset-add-mset-If nonzero-coeffs-diff sorted-repeat-poly-list-rel-Cons-iff)
  apply (rule-tac  $x = \langle r \rangle$  in exI)
  using normalize-poly-p.rem-0-coeff[ $of\ \langle add\text{-}mset\ (mset\ ys,\ m+n)\ ysa - \{\#(mset\ ys,\ m), (mset\ ys,\ n)\}\rangle\ \langle add\text{-}mset\ (mset\ ys,\ m+n)\ ysa - \{\#(mset\ ys,\ m), (mset\ ys,\ n)\}\rangle\ \langle mset\ ys \rangle$ ]
  using normalize-poly-p.merge-dup-coeff[ $of\ \langle ysa - \{\#(mset\ ys,\ m), (mset\ ys,\ n)\}\rangle\ \langle ysa - \{\#(mset\ ys,\ m), (mset\ ys,\ n)\}\rangle\ \langle mset\ ys \rangle\ m\ n$ ]
  apply (auto intro: normalize-poly-p.intros add-mset-commute add-mset-commute converse-rtranclp-into-rtranclp
    dest!: multi-member-split
    simp del: normalize-poly-p.rem-0-coeff)
  by (metis add-mset-commute converse-rtranclp-into-rtranclp normalize-poly-p.simps)
  apply (cases  $\langle n = 0 \rangle$ )
subgoal
  using  $p(3)[of\ \langle add\text{-}mset\ (mset\ ys,\ m)\ ysa - \{\#(mset\ xs,\ n), (mset\ ys,\ m)\}\rangle]\ p(4-)$ 
  apply (auto simp: sorted-repeat-poly-list-rel-with0-wrtl-Cons-iff ac-simps add-mset-commute
    remove1-mset-add-mset-If sorted-repeat-poly-list-rel-Cons-iff)
  apply (rule-tac  $x = \langle r \rangle$  in exI)
  apply (auto dest!: in-set-merge-coeffsD)
  apply (auto intro: normalize-poly-p.intros rtranclp-normalize-poly-add-mset
    simp: rel2p-def var-order-rel-def sorted-poly-list-rel-Cons-iff
    dest!: multi-member-split
    dest: sorted-poly-list-rel-nonzeroD)
  by (metis converse-rtranclp-into-rtranclp normalize-poly-p.simps)
subgoal
  using  $p(4)[of\ \langle add\text{-}mset\ (mset\ ys,\ m)\ ysa - \{\#(mset\ xs,\ n), (mset\ ys,\ m)\}\rangle]\ p(5-)$ 
  apply (auto simp: sorted-repeat-poly-list-rel-with0-wrtl-Cons-iff ac-simps add-mset-commute
    remove1-mset-add-mset-If sorted-repeat-poly-list-rel-Cons-iff)
  apply (rule-tac  $x = \langle add\text{-}mset\ (mset\ xs,\ n)\ r \rangle$  in exI)
  apply (auto dest!: in-set-merge-coeffs0D)
  apply (auto intro: normalize-poly-p.intros rtranclp-normalize-poly-add-mset
    simp: rel2p-def var-order-rel-def sorted-poly-list-rel-Cons-iff
    dest!: multi-member-split
    dest: sorted-poly-list-rel-nonzeroD)
  using in-set-merge-coeffs0D total-on-lexord-less-than-char-linear apply fastforce
  using in-set-merge-coeffs0D total-on-lexord-less-than-char-linear apply fastforce
done

```

```

done
done

definition full-normalize-poly where
  ⟨full-normalize-poly p = do {
    p ← sort-all-coeffs p;
    p ← sort-poly-spec p;
    RETURN (merge-coeffs0 p)
  }⟩

fun sorted-remdups where
  ⟨sorted-remdups (x # y # zs) =
    (if x = y then sorted-remdups (y # zs) else x # sorted-remdups (y # zs))⟩ |
  ⟨sorted-remdups zs = zs⟩

lemma set-sorted-remdups[simp]:
  ⟨set (sorted-remdups xs) = set xs⟩
by (induction xs rule: sorted-remdups.induct)
  auto

lemma distinct-sorted-remdups:
  ⟨sorted-wrt R xs ⟹ transp R ⟹ Restricted-Predicates.total-on R UNIV ⟹
    antisym R ⟹ distinct (sorted-remdups xs)⟩
by (induction xs rule: sorted-remdups.induct)
  (auto dest: antisymD)

lemma full-normalize-poly-normalize-poly-p:
  assumes ⟨(p, p') ∈ fully-unsorted-poly-rel⟩
  shows ⟨full-normalize-poly p ≤ ↓ (sorted-poly-rel) (SPEC (λr. normalize-poly-p** p' r))⟩
  (is ⟨?A ≤ ↓ ?R ?B⟩)
proof –
  have 1: ⟨?B = do {
    p' ← RETURN p';
    p' ← RETURN p';
    SPEC (λr. normalize-poly-p** p' r)
  }⟩
  by auto
  have [refine0]: ⟨sort-all-coeffs p ≤ SPEC(λp. (p, p') ∈ unsorted-poly-rel-with0)⟩
  by (rule sort-all-coeffs-unsorted-poly-rel-with0[OF assms, THEN order-trans])
  (auto simp: conc-fun-RES RETURN-def)
  have [refine0]: ⟨sort-poly-spec p ≤ SPEC (λc. (c, p') ∈ sorted-repeat-poly-rel-with0)⟩
  if ⟨(p, p') ∈ unsorted-poly-rel-with0⟩
  for p p'
  by (rule sort-poly-spec-id'[THEN order-trans, OF that])
  (auto simp: conc-fun-RES RETURN-def)
  show ?thesis
  apply (subst 1)
  unfolding full-normalize-poly-def
  by (refine-rcg)
  (auto intro!: RES-refine
    dest!: merge-coeffs0-is-normalize-poly-p
    simp: RETURN-def)
qed

definition mult-poly-full :: ⟨-⟩ where

```

```

⟨mult-poly-full p q = do {
  let pq = mult-poly-raw p q;
  normalize-poly pq
}⟩

```

**lemma** *normalize-poly-normalize-poly-p*:  
**assumes**  $\langle(p, p') \in \text{unsorted-poly-rel}\rangle$   
**shows**  $\langle\text{normalize-poly } p \leq \Downarrow (\text{sorted-poly-rel}) (\text{SPEC } (\lambda r. \text{normalize-poly-p}^{**} p' r))\rangle$

**proof** –

```

have 1: ⟨SPEC (λr. normalize-poly-p** p' r) = do {
  p' ← RETURN p';
  SPEC (λr. normalize-poly-p** p' r)
}⟩

```

**by** *auto*

**show** *?thesis*

**unfolding** *normalize-poly-def*

**apply** (*subst 1*)

**apply** (*refine-rcg sort-poly-spec-id[OF assms]*  
*merge-coeffs-is-normalize-poly-p*)

**subgoal**

**by** (*drule merge-coeffs-is-normalize-poly-p*)  
*(auto intro!: RES-refine simp: RETURN-def)*

**done**

**qed**

## 9.4 Multiplication and normalisation

**definition** *mult-poly-p' :: ⟨-⟩ where*

```

⟨mult-poly-p' p' q' = do {
  pq ← SPEC(λr. (mult-poly-p q')** (p', {#})) ({#}, r));
  SPEC (λr. normalize-poly-p** pq r)
}⟩

```

**lemma** *unsorted-poly-rel-fully-unsorted-poly-rel*:

$\langle\text{unsorted-poly-rel} \subseteq \text{fully-unsorted-poly-rel}\rangle$

**proof** –

**have**  $\langle\text{term-poly-list-rel} \times_r \text{int-rel} \subseteq \text{unsorted-term-poly-list-rel} \times_r \text{int-rel}\rangle$

**by** (*auto simp: unsorted-term-poly-list-rel-def term-poly-list-rel-def*)

**from** *list-rel-mono[OF this]*

**show** *?thesis*

**unfolding** *poly-list-rel-def fully-unsorted-poly-list-rel-def*

**by** (*auto simp:*)

**qed**

**lemma** *mult-poly-full-mult-poly-p'*:

**assumes**  $\langle(p, p') \in \text{sorted-poly-rel}\rangle \langle(q, q') \in \text{sorted-poly-rel}\rangle$

**shows**  $\langle\text{mult-poly-full } p \leq \Downarrow (\text{sorted-poly-rel}) (\text{mult-poly-p' } p' q')\rangle$

**unfolding** *mult-poly-full-def mult-poly-p'-def*

**apply** (*refine-rcg full-normalize-poly-normalize-poly-p*  
*normalize-poly-normalize-poly-p*)

**apply** (*subst RETURN-RES-refine-iff*)

**apply** (*subst Bex-def*)

**apply** (*subst mem-Collect-eq*)

**apply** (*subst conj-commute*)

**apply** (*rule mult-poly-raw-mult-poly-p[OF assms(1,2)]*)

**subgoal**



by blast  
done

**definition** *add-poly-spec* ::  $\langle \cdot \rangle$  **where**  
 $\langle \text{add-poly-spec } p \ q = \text{SPEC } (\lambda r. \ p + q - r \in \text{ideal polynomial-bool}) \rangle$

**definition** *add-poly-p'* ::  $\langle \cdot \rangle$  **where**  
 $\langle \text{add-poly-p'} \ p \ q = \text{SPEC}(\lambda r. \ \text{add-poly-p}^{**} \ (p, q, \{\#\}) \ (\{\#\}, \{\#\}, r)) \rangle$

**lemma** *add-poly-l-add-poly-p'*:  
**assumes**  $\langle (p, p') \in \text{sorted-poly-rel} \ \langle (q, q') \in \text{sorted-poly-rel} \rangle$   
**shows**  $\langle \text{add-poly-l } (p, q) \leq \Downarrow (\text{sorted-poly-rel}) (\text{add-poly-p'} \ p' \ q') \rangle$   
**unfolding** *add-poly-p'-def*  
**apply** (*refine-rcg add-poly-l-spec [THEN fref-to-Down-curry-right, THEN order-trans, of - p' q']*)  
**subgoal by** *auto*  
**subgoal using** *assms* **by** *auto*  
**subgoal**  
 by *auto*  
**done**

## 9.5 Correctness

**context** *poly-embed*  
**begin**

**definition** *mset-poly-rel* **where**  
 $\langle \text{mset-poly-rel} = \{(a, b). \ b = \text{polynomial-of-mset } a\} \rangle$

**definition** *var-rel* **where**  
 $\langle \text{var-rel} = \text{br } \varphi \ (\lambda -. \ \text{True}) \rangle$

**lemma** *normalize-poly-p-normalize-poly-spec*:  
 $\langle (p, p') \in \text{mset-poly-rel} \implies$   
 $\text{SPEC } (\lambda r. \ \text{normalize-poly-p}^{**} \ p \ r) \leq \Downarrow \text{mset-poly-rel} \ (\text{normalize-poly-spec } p') \rangle$   
**by** (*auto simp: mset-poly-rel-def rtranclp-normalize-poly-p-poly-of-mset ideal.span-zero*  
*normalize-poly-spec-def intro!: RES-refine*)

**lemma** *mult-poly-p'-mult-poly-spec*:  
 $\langle (p, p') \in \text{mset-poly-rel} \implies (q, q') \in \text{mset-poly-rel} \implies$   
 $\text{mult-poly-p'} \ p \ q \leq \Downarrow \text{mset-poly-rel} \ (\text{mult-poly-spec } p' \ q') \rangle$   
**unfolding** *mult-poly-p'-def mult-poly-spec-def*  
**apply** *refine-rcg*  
**apply** (*auto simp: mset-poly-rel-def dest!: rtranclp-mult-poly-p-mult-ideal-final*)  
**apply** (*intro RES-refine*)  
**apply** *auto*  
**by** (*smt cancel-comm-monoid-add-class.diff-cancel diff-diff-add group-eq-aux ideal.span-diff*  
*rtranclp-normalize-poly-p-poly-of-mset*)

**lemma** *add-poly-p'-add-poly-spec*:  
 $\langle (p, p') \in \text{mset-poly-rel} \implies (q, q') \in \text{mset-poly-rel} \implies$   
 $\text{add-poly-p'} \ p \ q \leq \Downarrow \text{mset-poly-rel} \ (\text{add-poly-spec } p' \ q') \rangle$   
**unfolding** *add-poly-p'-def add-poly-spec-def*  
**apply** (*auto simp: mset-poly-rel-def dest!: rtranclp-add-poly-p-polynomial-of-mset-full*)  
**apply** (*intro RES-refine*)

```

apply (auto simp: rtrancp-add-poly-p-polynomial-of-mset-full ideal.span-zero)
done

end

definition weak-equality-l :: ⟨lset-polynomial ⇒ lset-polynomial ⇒ bool nres⟩ where
  ⟨weak-equality-l p q = RETURN (p = q)⟩

definition weak-equality :: ⟨int mpoly ⇒ int mpoly ⇒ bool nres⟩ where
  ⟨weak-equality p q = SPEC (λr. r ⟶ p = q)⟩

definition weak-equality-spec :: ⟨mset-polynomial ⇒ mset-polynomial ⇒ bool nres⟩ where
  ⟨weak-equality-spec p q = SPEC (λr. r ⟶ p = q)⟩

lemma term-poly-list-rel-same-rightD:
  ⟨(a, aa) ∈ term-poly-list-rel ⟹ (a, ab) ∈ term-poly-list-rel ⟹ aa = ab⟩
  by (auto simp: term-poly-list-rel-def)

lemma list-rel-term-poly-list-rel-same-rightD:
  ⟨(xa, y) ∈ ⟨term-poly-list-rel ×r int-rel⟩list-rel ⟹
   (xa, ya) ∈ ⟨term-poly-list-rel ×r int-rel⟩list-rel ⟹
   y = ya⟩
  by (induction xa arbitrary: y ya)
  (auto simp: list-rel-split-right-iff
    dest: term-poly-list-rel-same-rightD)

lemma weak-equality-l-weak-equality-spec:
  ⟨(uncurry weak-equality-l, uncurry weak-equality-spec) ∈
   sorted-poly-rel ×r sorted-poly-rel →f ⟨bool-rel⟩nres-rel⟩
  by (intro frefl nres-relI)
  (auto simp: weak-equality-l-def weak-equality-spec-def
    sorted-poly-list-rel-wrt-def list-mset-rel-def br-def
    dest: list-rel-term-poly-list-rel-same-rightD)

end

theory PAC-Checker
imports PAC-Polynomials-Operations
  PAC-Checker-Specification
  PAC-Map-Rel
  Show.Show
  Show.Show-Instances
begin

```

## 10 Executable Checker

In this layer we finally refine the checker to executable code.

### 10.1 Definitions

Compared to the previous layer, we add an error message when an error is discovered. We do not attempt to prove anything on the error message (neither that there really is an error, nor that the error message is correct).

**Extended error message datatype** *'a code-status* =  
*is-cfailed*: *CFAILED* (*the-error*: *'a*) |  
*CSUCCESS* |  
*is-cfound*: *CFOUND*

In the following function, we merge errors. We will never merge an error message with an another error message; hence we do not attempt to concatenate error messages.

**fun** *merge-cstatus where*  
 $\langle \text{merge-cstatus } (CFAILED\ a) - = CFAILED\ a \rangle |$   
 $\langle \text{merge-cstatus } - (CFAILED\ a) = CFAILED\ a \rangle |$   
 $\langle \text{merge-cstatus } CFOUND - = CFOUND \rangle |$   
 $\langle \text{merge-cstatus } - CFOUND = CFOUND \rangle |$   
 $\langle \text{merge-cstatus } - - = CSUCCESS \rangle$

**definition** *code-status-status-rel* ::  $\langle ('a\ code\ status \times status)\ set \rangle$  **where**  
 $\langle code\ status\ status\ rel =$   
 $\{(CFOUND, FOUND), (CSUCCESS, SUCCESS)\} \cup$   
 $\{(CFAILED\ a, FAILED) \mid a.\ True\} \rangle$

**lemma** *in-code-status-status-rel-iff[simp]*:  
 $\langle (CFOUND, b) \in code\ status\ status\ rel \longleftrightarrow b = FOUND \rangle$   
 $\langle (a, FOUND) \in code\ status\ status\ rel \longleftrightarrow a = CFOUND \rangle$   
 $\langle (CSUCCESS, b) \in code\ status\ status\ rel \longleftrightarrow b = SUCCESS \rangle$   
 $\langle (a, SUCCESS) \in code\ status\ status\ rel \longleftrightarrow a = CSUCCESS \rangle$   
 $\langle (a, FAILED) \in code\ status\ status\ rel \longleftrightarrow is\ cfailed\ a \rangle$   
 $\langle (CFAILED\ C, b) \in code\ status\ status\ rel \longleftrightarrow b = FAILED \rangle$   
**by** (*cases a*; *cases b*; *auto simp: code-status-status-rel-def; fail*)**+**

**Refinement relation** **fun** *pac-step-rel-raw* ::  $\langle ('olbl \times 'lbl)\ set \Rightarrow ('a \times 'b)\ set \Rightarrow ('c \times 'd)\ set \Rightarrow$   
 $('a, 'c, 'olbl)\ pac\ step \Rightarrow ('b, 'd, 'lbl)\ pac\ step \Rightarrow bool \rangle$  **where**  
 $\langle pac\ step\ rel\ raw\ R1\ R2\ R3\ (Add\ p1\ p2\ i\ r)\ (Add\ p1'\ p2'\ i'\ r') \longleftrightarrow$   
 $(p1, p1') \in R1 \wedge (p2, p2') \in R2 \wedge (i, i') \in R3 \wedge$   
 $(r, r') \in R4 \rangle |$   
 $\langle pac\ step\ rel\ raw\ R1\ R2\ R3\ (Mult\ p1\ p2\ i\ r)\ (Mult\ p1'\ p2'\ i'\ r') \longleftrightarrow$   
 $(p1, p1') \in R1 \wedge (p2, p2') \in R2 \wedge (i, i') \in R3 \wedge$   
 $(r, r') \in R4 \rangle |$   
 $\langle pac\ step\ rel\ raw\ R1\ R2\ R3\ (Del\ p1)\ (Del\ p1') \longleftrightarrow$   
 $(p1, p1') \in R1 \rangle |$   
 $\langle pac\ step\ rel\ raw\ R1\ R2\ R3\ (Extension\ i\ x\ p1)\ (Extension\ j\ x'\ p1') \longleftrightarrow$   
 $(i, j) \in R1 \wedge (x, x') \in R2 \wedge (p1, p1') \in R3 \rangle |$   
 $\langle pac\ step\ rel\ raw\ R1\ R2\ R3\ - - \longleftrightarrow False \rangle$

**fun** *pac-step-rel-assn* ::  $\langle ('olbl \Rightarrow 'lbl \Rightarrow assn) \Rightarrow ('a \Rightarrow 'b \Rightarrow assn) \Rightarrow ('c \Rightarrow 'd \Rightarrow assn) \Rightarrow ('a, 'c, 'olbl)$   
 $pac\ step \Rightarrow ('b, 'd, 'lbl)\ pac\ step \Rightarrow assn \rangle$  **where**  
 $\langle pac\ step\ rel\ assn\ R1\ R2\ R3\ (Add\ p1\ p2\ i\ r)\ (Add\ p1'\ p2'\ i'\ r') =$   
 $R1\ p1\ p1' * R2\ p2\ p2' * R3\ i\ i' * R4\ r\ r' \rangle |$   
 $\langle pac\ step\ rel\ assn\ R1\ R2\ R3\ (Mult\ p1\ p2\ i\ r)\ (Mult\ p1'\ p2'\ i'\ r') =$   
 $R1\ p1\ p1' * R2\ p2\ p2' * R3\ i\ i' * R4\ r\ r' \rangle |$   
 $\langle pac\ step\ rel\ assn\ R1\ R2\ R3\ (Del\ p1)\ (Del\ p1') =$   
 $R1\ p1\ p1' \rangle |$   
 $\langle pac\ step\ rel\ assn\ R1\ R2\ R3\ (Extension\ i\ x\ p1)\ (Extension\ i'\ x'\ p1') =$   
 $R1\ i\ i' * R2\ x\ x' * R3\ p1\ p1' \rangle |$   
 $\langle pac\ step\ rel\ assn\ R1\ R2\ - - = false \rangle$

**lemma** *pac-step-rel-assn-alt-def*:

```

⟨pac-step-rel-assn R1 R2 R3 x y = (
  case (x, y) of
    (Add p1 p2 i r, Add p1' p2' i' r') ⇒
      R1 p1 p1' * R1 p2 p2' * R1 i i' * R2 r r'
  | (Mult p1 p2 i r, Mult p1' p2' i' r') ⇒
      R1 p1 p1' * R2 p2 p2' * R1 i i' * R2 r r'
  | (Del p1, Del p1') ⇒ R1 p1 p1'
  | (Extension i x p1, Extension i' x' p1') ⇒ R1 i i' * R3 x x' * R2 p1 p1'
  | - ⇒ false
)⟩
by (auto split: pac-step.splits)

```

**Addition checking** **definition** *error-msg* **where**

```

⟨error-msg i msg = CFAILED ("s CHECKING failed at line " @ show i @ " with error " @ msg)⟩

```

**definition** *error-msg-notin-dom-err* **where**

```

⟨error-msg-notin-dom-err = "notin domain"⟩

```

**definition** *error-msg-notin-dom* :: (nat ⇒ string) **where**

```

⟨error-msg-notin-dom i = show i @ error-msg-notin-dom-err⟩

```

**definition** *error-msg-reused-dom* **where**

```

⟨error-msg-reused-dom i = show i @ "already in domain"⟩

```

**definition** *error-msg-not-equal-dom* **where**

```

⟨error-msg-not-equal-dom p q pq r = show p @ " + " @ show q @ " = " @ show pq @ " not equal"
@ show r⟩

```

**definition** *check-not-equal-dom-err* :: (llist-polynomial ⇒ llist-polynomial ⇒ llist-polynomial ⇒ llist-polynomial ⇒ string nres) **where**

```

⟨check-not-equal-dom-err p q pq r = SPEC (λ-. True)⟩

```

**definition** *vars-llist* :: (llist-polynomial ⇒ string set) **where**

```

⟨vars-llist xs = ⋃ (set 'fst ' set xs)⟩

```

**definition** *check-addition-l* :: (· ⇒ · ⇒ string set ⇒ nat ⇒ nat ⇒ nat ⇒ llist-polynomial ⇒ string code-status nres) **where**

```

⟨check-addition-l spec A V p q i r = do {
  let b = p ∈# dom-m A ∧ q ∈# dom-m A ∧ i ∉# dom-m A ∧ vars-llist r ⊆ V;
  if ¬b
  then RETURN (error-msg i ((if p ∉# dom-m A then error-msg-notin-dom p else []) @ (if q ∉#
dom-m A then error-msg-notin-dom p else [])) @
    (if i ∈# dom-m A then error-msg-reused-dom p else []))
  else do {
    ASSERT (p ∈# dom-m A);
    let p = the (fmlookup A p);
    ASSERT (q ∈# dom-m A);
    let q = the (fmlookup A q);
    pq ← add-poly-l (p, q);

```

```

    b ← weak-equality-l pq r;
    b' ← weak-equality-l r spec;
    if b then (if b' then RETURN CFOUND else RETURN CSUCCESS)
    else do {
      c ← check-not-equal-dom-err p q pq r;
      RETURN (error-msg i c)}
  }
}
```

**Multiplication checking** **definition** *check-mult-l-dom-err* ::  $\langle \text{bool} \Rightarrow \text{nat} \Rightarrow \text{bool} \Rightarrow \text{nat} \Rightarrow \text{string nres} \rangle$  **where**  
 $\langle \text{check-mult-l-dom-err } p \text{ notin } p \text{ i-already } i = \text{SPEC } (\lambda -. \text{True}) \rangle$

**definition** *check-mult-l-mult-err* ::  $\langle \text{l-list-polynomial} \Rightarrow \text{l-list-polynomial} \Rightarrow \text{l-list-polynomial} \Rightarrow \text{l-list-polynomial} \Rightarrow \text{string nres} \rangle$  **where**  
 $\langle \text{check-mult-l-mult-err } p \text{ q pq r} = \text{SPEC } (\lambda -. \text{True}) \rangle$

**definition** *check-mult-l* ::  $\langle - \Rightarrow - \Rightarrow - \Rightarrow \text{nat} \Rightarrow \text{l-list-polynomial} \Rightarrow \text{nat} \Rightarrow \text{l-list-polynomial} \Rightarrow \text{string code-status nres} \rangle$  **where**  
 $\langle \text{check-mult-l spec } A \text{ } \forall p \text{ q } i \text{ r} = \text{do } \{$   
 let  $b = p \in \# \text{ dom-m } A \wedge i \notin \# \text{ dom-m } A \wedge \text{vars-l-list } q \subseteq \mathcal{V} \wedge \text{vars-l-list } r \subseteq \mathcal{V};$   
 if  $\neg b$   
 then do {  
 $c \leftarrow \text{check-mult-l-dom-err } (p \notin \# \text{ dom-m } A) \text{ } p \text{ } (i \in \# \text{ dom-m } A) \text{ } i;$   
 RETURN (error-msg i c)}  
 else do {  
 ASSERT ( $p \in \# \text{ dom-m } A$ );  
 let  $p = \text{the } (\text{fmlookup } A \text{ } p);$   
 $pq \leftarrow \text{mult-poly-full } p \text{ } q;$   
 $b \leftarrow \text{weak-equality-l } pq \text{ } r;$   
 $b' \leftarrow \text{weak-equality-l } r \text{ } \text{spec};$   
 if  $b$  then (if  $b'$  then RETURN CFOUND else RETURN CSUCCESS) else do {  
 $c \leftarrow \text{check-mult-l-mult-err } p \text{ } q \text{ } pq \text{ } r;$   
 RETURN (error-msg i c)}  
 }  
}  
 $\rangle$

**Deletion checking** **definition** *check-del-l* ::  $\langle - \Rightarrow - \Rightarrow \text{nat} \Rightarrow \text{string code-status nres} \rangle$  **where**  
 $\langle \text{check-del-l spec } A \text{ } p = \text{RETURN CSUCCESS} \rangle$

**Extension checking** **definition** *check-extension-l-dom-err* ::  $\langle \text{nat} \Rightarrow \text{string nres} \rangle$  **where**  
 $\langle \text{check-extension-l-dom-err } p = \text{SPEC } (\lambda -. \text{True}) \rangle$

**definition** *check-extension-l-no-new-var-err* ::  $\langle \text{l-list-polynomial} \Rightarrow \text{string nres} \rangle$  **where**  
 $\langle \text{check-extension-l-no-new-var-err } p = \text{SPEC } (\lambda -. \text{True}) \rangle$

**definition** *check-extension-l-new-var-multiple-err* ::  $\langle \text{string} \Rightarrow \text{l-list-polynomial} \Rightarrow \text{string nres} \rangle$  **where**  
 $\langle \text{check-extension-l-new-var-multiple-err } v \text{ } p = \text{SPEC } (\lambda -. \text{True}) \rangle$

**definition** *check-extension-l-side-cond-err*  
 ::  $\langle \text{string} \Rightarrow \text{l-list-polynomial} \Rightarrow \text{l-list-polynomial} \Rightarrow \text{l-list-polynomial} \Rightarrow \text{string nres} \rangle$

**where**

$\langle \text{check-extension-l-side-cond-err } v \ p \ p' \ q = \text{SPEC } (\lambda \cdot. \text{True}) \rangle$

**definition** *check-extension-l*

$:: \langle \cdot \Rightarrow \cdot \Rightarrow \text{string set} \Rightarrow \text{nat} \Rightarrow \text{string} \Rightarrow \text{l-list-polynomial} \Rightarrow (\text{string code-status}) \text{ nres} \rangle$

**where**

```

⟨check-extension-l spec A V i v p = do {
  let b = i ∉ # dom-m A ∧ v ∉ V ∧ ([v], -1) ∈ set p;
  if ¬b
  then do {
    c ← check-extension-l-dom-err i;
    RETURN (error-msg i c)
  } else do {
    let p' = remove1 ([v], -1) p;
    let b = vars-llist p' ⊆ V;
    if ¬b
    then do {
      c ← check-extension-l-new-var-multiple-err v p';
      RETURN (error-msg i c)
    }
    else do {
      p2 ← mult-poly-full p' p';
      let p' = map (λ(a,b). (a, -b)) p';
      q ← add-poly-l (p2, p');
      eq ← weak-equality-l q [];
      if eq then do {
        RETURN (CSUCCESS)
      } else do {
        c ← check-extension-l-side-cond-err v p p' q;
        RETURN (error-msg i c)
      }
    }
  }
}
⟩

```

**lemma** *check-extension-alt-def:*

```

⟨check-extension A V i v p ≥ do {
  b ← SPEC(λb. b ⟶ i ∉ # dom-m A ∧ v ∉ V);
  if ¬b
  then RETURN (False)
  else do {
    p' ← RETURN (p + Var v);
    b ← SPEC(λb. b ⟶ vars p' ⊆ V);
    if ¬b
    then RETURN (False)
    else do {
      pq ← mult-poly-spec p' p';
      let p' = - p';
      p ← add-poly-spec pq p';
      eq ← weak-equality p 0;
      if eq then RETURN(True)
      else RETURN (False)
    }
  }
}
⟩

```

```

    }
  }
proof -
  have [intro]:  $\langle ab \notin \mathcal{V} \implies$ 
    vars  $ba \subseteq \mathcal{V} \implies$ 
     $MPoly\_Type.coeff (ba + Var\ ab) (monomial\ (Suc\ 0)\ ab) = 1 \rangle$  for  $ab\ ba$ 
  apply (auto simp flip: coeff-add simp: not-in-vars-coeff0
    Var.abs-eq Var0-def)
  apply (subst not-in-vars-coeff0)
  apply auto
  by (metis MPoly-Type.coeff-def lookup-single-eq monom.abs-eq monom.rep-eq)
  have [simp]:  $\langle MPoly\_Type.coeff\ p\ (monomial\ (Suc\ 0)\ ab) = -1 \rangle$ 
  if  $\langle vars\ (p + Var\ ab) \subseteq \mathcal{V} \rangle$ 
     $\langle ab \notin \mathcal{V} \rangle$ 
  for  $ab$ 
proof -
  define  $q$  where  $\langle q \equiv p + Var\ ab \rangle$ 
  then have  $p: \langle p = q - Var\ ab \rangle$ 
  by auto
  show ?thesis
  unfolding  $p$ 
  apply (auto simp flip: coeff-minus simp: not-in-vars-coeff0
    Var.abs-eq Var0-def)
  apply (subst not-in-vars-coeff0)
  using that unfolding  $q$ -def[symmetric] apply auto
  by (metis MPoly-Type.coeff-def lookup-single-eq monom.abs-eq monom.rep-eq)
qed
have [simp]:  $\langle vars\ (p - Var\ ab) = vars\ (Var\ ab - p) \rangle$  for  $ab$ 
  using vars-uminus[of  $\langle p - Var\ ab \rangle$ ]
  by simp
show ?thesis
  unfolding check-extension-def
  apply (auto 5 5 simp: check-extension-def weak-equality-def
    mult-poly-spec-def field-simps
    add-poly-spec-def power2-eq-square cong: if-cong
    intro!: intro-spec-refine[where  $R=Id$ , simplified]
    split: option.splits dest: ideal.span-add)
  done
qed

```

**lemma** *RES-RES-RETURN-RES*:  $\langle RES\ A \ggg (\lambda T. RES\ (f\ T)) = RES\ (\bigcup (f\ ` A)) \rangle$   
 by (auto simp: pw-eq-iff refine-pw-simps)

**lemma** *check-add-alt-def*:

```

 $\langle check\_add\ A\ \mathcal{V}\ p\ q\ i\ r \geq$ 
  do {
     $b \leftarrow SPEC(\lambda b. b \longrightarrow p \in \# dom\_m\ A \wedge q \in \# dom\_m\ A \wedge i \notin \# dom\_m\ A \wedge vars\ r \subseteq \mathcal{V});$ 
    if  $\neg b$ 
    then RETURN False
  }
  else do {
    ASSERT  $(p \in \# dom\_m\ A);$ 
    let  $p = the\ (fmlookup\ A\ p);$ 
    ASSERT  $(q \in \# dom\_m\ A);$ 
    let  $q = the\ (fmlookup\ A\ q);$ 

```

```

    pq ← add-poly-spec p q;
    eq ← weak-equality pq r;
    RETURN eq
  }
} (is (· ≥ ?A))
proof -
  have check-add-alt-def: (check-add A ∨ p q i r = do {
    b ← SPEC(λb. b → p ∈# dom-m A ∧ q ∈# dom-m A ∧ i ∉# dom-m A ∧ vars r ⊆ V);
    if ¬b then SPEC(λb. b → p ∈# dom-m A ∧ q ∈# dom-m A ∧ i ∉# dom-m A ∧ vars r ⊆ V ∧
      the (fmlookup A p) + the (fmlookup A q) - r ∈ ideal polynomial-bool)
    else
      SPEC(λb. b → p ∈# dom-m A ∧ q ∈# dom-m A ∧ i ∉# dom-m A ∧ vars r ⊆ V ∧
        the (fmlookup A p) + the (fmlookup A q) - r ∈ ideal polynomial-bool)})
  (is (· = ?B))
  by (auto simp: check-add-def RES-RES-RETURN-RES)
  have (·A ≤ ↓ Id (check-add A ∨ p q i r))
  apply refine-vcg
  apply ((auto simp: check-add-alt-def weak-equality-def
    add-poly-spec-def RES-RES-RETURN-RES summarize-ASSERT-conv
    cong: if-cong
    intro!: ideal.span-zero;fail)+)
  done
  then show ?thesis
  unfolding check-add-alt-def[symmetric]
  by simp
qed

```

```

lemma check-mult-alt-def:
  (check-mult A ∨ p q i r ≥
    do {
      b ← SPEC(λb. b → p ∈# dom-m A ∧ i ∉# dom-m A ∧ vars q ⊆ V ∧ vars r ⊆ V);
      if ¬b
      then RETURN False
      else do {
        ASSERT (p ∈# dom-m A);
        let p = the (fmlookup A p);
        pq ← mult-poly-spec p q;
        p ← weak-equality pq r;
        RETURN p
      }
    })
  unfolding check-mult-def
  apply (rule refine-IdD)
  by refine-vcg
  (auto simp: check-mult-def weak-equality-def
    mult-poly-spec-def RES-RES-RETURN-RES
    intro!: ideal.span-zero
    exI[of - (the (fmlookup A p) * q)])

```

```

primrec insort-key-rel :: ('b ⇒ 'b ⇒ bool) ⇒ 'b ⇒ 'b list ⇒ 'b list where
  insort-key-rel f x [] = [x] |
  insort-key-rel f x (y#ys) =
    (if f x y then (x#y#ys) else y#(insort-key-rel f x ys))

```

```

lemma set-insort-key-rel[simp]: (set (insort-key-rel R x xs) = insert x (set xs))

```



by (induction xs)  
 auto

**lemma** sorted-wrt-insort-key-rel:

$\langle \text{total-on } R (\text{insert } x (\text{set } xs)) \implies \text{transp } R \implies \text{reflp } R \implies$   
 $\text{sorted-wrt } R \text{ } xs \implies \text{sorted-wrt } R (\text{insort-key-rel } R \text{ } x \text{ } xs) \rangle$

apply (induction xs)

apply (auto dest: transpD)

apply (metis Restricted-Predicates.total-on-def in-mono insertI1 reflpD subset-insertI)

by (simp add: Restricted-Predicates.total-on-def)

**lemma** sorted-wrt-insort-key-rel2:

$\langle \text{total-on } R (\text{insert } x (\text{set } xs)) \implies \text{transp } R \implies x \notin \text{set } xs \implies$   
 $\text{sorted-wrt } R \text{ } xs \implies \text{sorted-wrt } R (\text{insort-key-rel } R \text{ } x \text{ } xs) \rangle$

apply (induction xs)

apply (auto dest: transpD)

apply (metis Restricted-Predicates.total-on-def in-mono insertI1 subset-insertI)

by (simp add: Restricted-Predicates.total-on-def)

**Step checking definition** PAC-checker-l-step ::  $\langle - \Rightarrow \text{string code-status} \times \text{string set} \times - \Rightarrow (\text{l-list-polynomial},$   
 $\text{string}, \text{nat}) \text{ pac-step} \Rightarrow - \rangle$  **where**

$\langle \text{PAC-checker-l-step} = (\lambda \text{spec } (st', \mathcal{V}, A) \text{ st. case st of}$

  Add - - - -  $\Rightarrow$

  do {

$r \leftarrow \text{full-normalize-poly } (\text{pac-res } st);$

$eq \leftarrow \text{check-addition-l spec } A \mathcal{V} (\text{pac-src1 } st) (\text{pac-src2 } st) (\text{new-id } st) \text{ } r;$

    let - = eq;

    if  $\neg \text{is-cfailed } eq$

    then RETURN (merge-cstatus st' eq,

$\mathcal{V}, \text{fmupd } (\text{new-id } st) \text{ } r \text{ } A)$

    else RETURN (eq,  $\mathcal{V}, A)$

  }

  | Del -  $\Rightarrow$

  do {

$eq \leftarrow \text{check-del-l spec } A (\text{pac-src1 } st);$

    let - = eq;

    if  $\neg \text{is-cfailed } eq$

    then RETURN (merge-cstatus st' eq,  $\mathcal{V}, \text{fmdrop } (\text{pac-src1 } st) \text{ } A)$

    else RETURN (eq,  $\mathcal{V}, A)$

  }

  | Mult - - - -  $\Rightarrow$

  do {

$r \leftarrow \text{full-normalize-poly } (\text{pac-res } st);$

$q \leftarrow \text{full-normalize-poly } (\text{pac-mult } st);$

$eq \leftarrow \text{check-mult-l spec } A \mathcal{V} (\text{pac-src1 } st) \text{ } q (\text{new-id } st) \text{ } r;$

    let - = eq;

    if  $\neg \text{is-cfailed } eq$

    then RETURN (merge-cstatus st' eq,

$\mathcal{V}, \text{fmupd } (\text{new-id } st) \text{ } r \text{ } A)$

    else RETURN (eq,  $\mathcal{V}, A)$

  }

  | Extension - - -  $\Rightarrow$

  do {

$r \leftarrow \text{full-normalize-poly } ([\text{new-var } st], -1) \# (\text{pac-res } st);$

$(eq) \leftarrow \text{check-extension-l spec } A \mathcal{V} (\text{new-id } st) (\text{new-var } st) \text{ } r;$

```

    if  $\neg$ is-cfailed eq
    then do {
      RETURN (st',
        insert (new-var st)  $\mathcal{V}$ , fmupd (new-id st) r A)}
    else RETURN (eq,  $\mathcal{V}$ , A)
  }
)»

```

**lemma** *pac-step-rel-raw-def*:

```

⟨⟨K, V, R⟩ pac-step-rel-raw = pac-step-rel-raw K V R⟩
by (auto intro!: ext simp: relAPP-def)

```

**definition** *mononoms-equal-up-to-reorder* **where**

```

⟨mononoms-equal-up-to-reorder xs ys  $\longleftrightarrow$ 
  map ( $\lambda(a, b).$  (mset a, b)) xs = map ( $\lambda(a, b).$  (mset a, b)) ys⟩

```

**definition** *normalize-poly-l* **where**

```

⟨normalize-poly-l p = SPEC ( $\lambda p'.$ 
  normalize-poly-p* (( $\lambda(a, b).$  (mset a, b)) '# mset p) (( $\lambda(a, b).$  (mset a, b)) '# mset p')  $\wedge$ 
  0  $\notin$  # snd '# mset p'  $\wedge$ 
  sorted-wrt (rel2p (term-order-rel  $\times_r$  int-rel)) p'  $\wedge$ 
  ( $\forall x \in$  mononoms p'. sorted-wrt (rel2p var-order-rel) x))⟩

```

**definition** *remap-polys-l-dom-err* :: *⟨string nres⟩* **where**

```

⟨remap-polys-l-dom-err = SPEC ( $\lambda-. True$ )⟩

```

**definition** *remap-polys-l* :: *⟨llist-polynomial  $\Rightarrow$  string set  $\Rightarrow$  (nat, llist-polynomial) fmap  $\Rightarrow$*

*(- code-status  $\times$  string set  $\times$  (nat, llist-polynomial) fmap) nres⟩* **where**

```

⟨remap-polys-l spec = ( $\lambda \mathcal{V} A.$  do{
  dom  $\leftarrow$  SPEC( $\lambda dom.$  set-mset (dom-m A)  $\subseteq$  dom  $\wedge$  finite dom);
  failed  $\leftarrow$  SPEC( $\lambda-::bool.$  True);
  if failed
  then do {
    c  $\leftarrow$  remap-polys-l-dom-err;
    RETURN (error-msg (0 :: nat) c,  $\mathcal{V}$ , fmempty)
  }
  else do {
    (b,  $\mathcal{V}$ , A)  $\leftarrow$  FOREACH dom
    ( $\lambda i$  (b,  $\mathcal{V}$ , A').
      if  $i \in$  # dom-m A
      then do {
        p  $\leftarrow$  full-normalize-poly (the (fmlookup A i));
        eq  $\leftarrow$  weak-equality-l p spec;
         $\mathcal{V} \leftarrow$  RETURN( $\mathcal{V} \cup$  vars-llist (the (fmlookup A i)));
        RETURN(b  $\vee$  eq,  $\mathcal{V}$ , fmupd i p A')
      } else RETURN (b,  $\mathcal{V}$ , A'))
    (False,  $\mathcal{V}$ , fmempty);
    RETURN (if b then CFOUND else CSUCCESS,  $\mathcal{V}$ , A)
  })
⟩

```

**definition** *PAC-checker-l* **where**

```

⟨PAC-checker-l spec A b st = do {

```

```

(S, -) ← WHILET
  (λ((b, A), n). ¬is-cfailed b ∧ n ≠ [])
  (λ((bA), n). do {
    ASSERT(n ≠ []);
    S ← PAC-checker-l-step spec bA (hd n);
    RETURN (S, tl n)
  })
  ((b, A), st);
RETURN S
}

```

## 10.2 Correctness

We now enter the locale to reason about polynomials directly.

**context** *poly-embed*  
**begin**

**abbreviation** *pac-step-rel* **where**

$\langle \text{pac-step-rel} \equiv \text{p2rel } (\langle \text{Id}, \text{fully-unsorted-poly-rel } O \text{ mset-poly-rel}, \text{var-rel} \rangle \text{ pac-step-rel-raw}) \rangle$

**abbreviation** *fmap-polys-rel* **where**

$\langle \text{fmap-polys-rel} \equiv \langle \text{nat-rel}, \text{sorted-poly-rel } O \text{ mset-poly-rel} \rangle \text{fmap-rel} \rangle$

**lemma**

$\langle \text{normalize-poly-p } s0 \ s \implies$   
 $(s0, p) \in \text{mset-poly-rel} \implies$   
 $(s, p) \in \text{mset-poly-rel} \rangle$   
**by** (*auto simp: mset-poly-rel-def normalize-poly-p-poly-of-mset*)

**lemma** *vars-poly-of-vars:*

$\langle \text{vars } (\text{poly-of-vars } a :: \text{int mpoly}) \subseteq (\varphi \text{ ' set-mset } a) \rangle$   
**by** (*induction a*)  
*(auto simp: vars-mult-Var)*

**lemma** *vars-polynomial-of-mset:*

$\langle \text{vars } (\text{polynomial-of-mset } za) \subseteq \bigcup (\text{image } \varphi \text{ ' (set-mset } o \text{ fst) ' set-mset } za) \rangle$   
**apply** (*induction za*)  
**using** *vars-poly-of-vars*  
**by** (*fastforce elim!: in-vars-addE simp: vars-mult-Const split: if-splits*) +

**lemma** *fully-unsorted-poly-rel-vars-subset-vars-llist:*

$\langle (A, B) \in \text{fully-unsorted-poly-rel } O \text{ mset-poly-rel} \implies \text{vars } B \subseteq \varphi \text{ ' vars-llist } A \rangle$   
**by** (*auto simp: fully-unsorted-poly-list-rel-def mset-poly-rel-def*  
 $\text{set-rel-def var-rel-def br-def vars-llist-def list-rel-append2 list-rel-append1}$   
 $\text{list-rel-split-right-iff list-mset-rel-def image-iff}$   
 $\text{unsorted-term-poly-list-rel-def list-rel-split-left-iff}$   
 $\text{dest!: set-rev-mp[OF - vars-polynomial-of-mset] split-list}$   
 $\text{dest: multi-member-split}$   
 $\text{dest: arg-cong[of mset -> add-mset -> set-mset]}$ )

**lemma** *fully-unsorted-poly-rel-extend-vars:*

$\langle (A, B) \in \text{fully-unsorted-poly-rel } O \text{ mset-poly-rel} \implies$   
 $(x1c, x1a) \in \langle \text{var-rel} \rangle \text{set-rel} \implies$   
 $\text{RETURN } (x1c \cup \text{vars-llist } A)$   
 $\leq \Downarrow (\langle \text{var-rel} \rangle \text{set-rel})$

```

    (SPEC (( $\subseteq$ ) (x1a  $\cup$  vars (B))))
using fully-unsorted-poly-rel-vars-subset-vars-llist[of A B]
apply (subst RETURN-RES-refine-iff)
apply clarsimp
apply (rule exI[of - (x1a  $\cup$   $\varphi$  'vars-llist A)])
apply (auto simp: set-rel-def var-rel-def br-def
  dest: fully-unsorted-poly-rel-vars-subset-vars-llist)
done

```

**lemma** remap-polys-l-remap-polys:

```

assumes
  AB:  $\langle (A, B) \in \langle \text{nat-rel}, \text{fully-unsorted-poly-rel } O \text{ mset-poly-rel} \rangle \text{fmap-rel} \rangle$  and
  spec:  $\langle (\text{spec}, \text{spec}') \in \text{sorted-poly-rel } O \text{ mset-poly-rel} \rangle$  and
  V:  $\langle (\mathcal{V}, \mathcal{V}') \in \langle \text{var-rel} \rangle \text{set-rel} \rangle$ 
shows  $\langle \text{remap-polys-l spec } \mathcal{V} A \leq$ 
   $\Downarrow (\text{code-status-status-rel } \times_r \langle \text{var-rel} \rangle \text{set-rel } \times_r \text{fmap-polys-rel}) (\text{remap-polys spec}' \mathcal{V}' B) \rangle$ 
(is  $\langle - \leq \Downarrow ?R - \rangle$ 
proof -
have 1:  $\langle \text{inj-on id } (\text{dom} :: \text{nat set}) \rangle$  for dom
by auto
have H:  $\langle x \in \# \text{dom-m } A \implies$ 
   $\langle \bigwedge p. (\text{the } (\text{fmlookup } A \ x), p) \in \text{fully-unsorted-poly-rel} \implies$ 
   $\langle p, \text{the } (\text{fmlookup } B \ x) \rangle \in \text{mset-poly-rel} \implies \text{thesis} \rangle \implies$ 
  thesis  $\rangle$  for x thesis
using fmap-rel-nat-the-fmlookup[OF AB, of x x] fmap-rel-nat-rel-dom-m[OF AB] by auto
have full-normalize-poly:  $\langle \text{full-normalize-poly } (\text{the } (\text{fmlookup } A \ x))$ 
   $\leq \Downarrow (\text{sorted-poly-rel } O \text{ mset-poly-rel})$ 
  (SPEC
     $\langle \lambda p. \text{the } (\text{fmlookup } B \ x') - p \in \text{More-Modules.ideal polynomial-bool} \wedge$ 
     $\text{vars } p \subseteq \text{vars } (\text{the } (\text{fmlookup } B \ x')) \rangle \rangle$ 
if x-dom:  $\langle x \in \# \text{dom-m } A \rangle$  and  $\langle (x, x') \in \text{Id} \rangle$  for x x'
apply (rule H[OF x-dom])
subgoal for p
apply (rule full-normalize-poly-normalize-poly-p[THEN order-trans])
apply assumption
subgoal
using that(2) apply -
unfolding conc-fun-chain[symmetric]
by (rule ref-two-step', rule RES-refine)
  (auto simp: rtranclp-normalize-poly-p-poly-of-mset
    mset-poly-rel-def ideal.span-zero)
done
done

```

```

have H':  $\langle (p, pa) \in \text{sorted-poly-rel } O \text{ mset-poly-rel} \implies$ 
  weak-equality-l p spec  $\leq$  SPEC  $\langle \lambda \text{eqa}. \text{eqa} \longrightarrow pa = \text{spec}' \rangle$  for p pa
using spec apply (auto simp: weak-equality-l-def weak-equality-spec-def
  list-mset-rel-def br-def
  dest: list-rel-term-poly-list-rel-same-rightD sorted-poly-list-relD)
by (metis (mono-tags) mem-Collect-eq mset-poly-rel-def prod.simps(2)
  sorted-poly-list-relD)

```

```

have emp:  $\langle (\mathcal{V}, \mathcal{V}') \in \langle \text{var-rel} \rangle \text{set-rel} \implies$ 
   $\langle (\text{False}, \mathcal{V}, \text{fmempty}), \text{False}, \mathcal{V}', \text{fmempty} \rangle \in \text{bool-rel } \times_r \langle \text{var-rel} \rangle \text{set-rel } \times_r \text{fmap-polys-rel} \rangle$  for  $\mathcal{V} \mathcal{V}'$ 
by auto

```

```

show ?thesis
  using assms
  unfolding remap-polys-l-def remap-polys-l-dom-err-def
    remap-polys-def prod.case
  apply (refine-rec full-normalize-poly fmap-rel-fmupd-fmap-rel)
  subgoal
    by auto
  subgoal
    by auto
  subgoal
    by (auto simp: error-msg-def)
  apply (rule 1)
  subgoal by auto
  apply (rule emp)
  subgoal
    using V by auto
  subgoal by auto
  subgoal by auto
  subgoal by (rule H')
  apply (rule fully-unsorted-poly-rel-extend-vars)
  subgoal by (auto intro!: fmap-rel-nat-the-fmlookup)
  subgoal by (auto intro!: fmap-rel-fmupd-fmap-rel)
  subgoal by (auto intro!: fmap-rel-fmupd-fmap-rel)
  subgoal by auto
  subgoal by auto
done
qed

```

**lemma** *fref-to-Down-curry*:

```

⟨(uncurry f, uncurry g) ∈ [P]f A → ⟨B⟩nres-rel ⟹
  (⋀ x x' y y'. P (x', y') ⟹ ((x, y), (x', y')) ∈ A ⟹ f x y ≤ ↓ B (g x' y'))
unfolding fref-def uncurry-def nres-rel-def
by auto

```

**lemma** *weak-equality-spec-weak-equality*:

```

⟨(p, p') ∈ mset-poly-rel ⟹
  (r, r') ∈ mset-poly-rel ⟹
  weak-equality-spec p r ≤ weak-equality p' r'
unfolding weak-equality-spec-def weak-equality-def
by (auto simp: mset-poly-rel-def)

```

**lemma** *weak-equality-l-weak-equality-l'[refine]*:

```

⟨weak-equality-l p q ≤ ↓ bool-rel (weak-equality p' q')⟩
if ⟨(p, p') ∈ sorted-poly-rel O mset-poly-rel⟩
  ⟨(q, q') ∈ sorted-poly-rel O mset-poly-rel⟩
for p p' q q'
using that
by (auto intro!: weak-equality-l-weak-equality-spec[THEN fref-to-Down-curry, THEN order-trans]
    ref-two-step'
    weak-equality-spec-weak-equality
    simp flip: conc-fun-chain)

```

**lemma** *error-msg-ne-SUCCESS[iff]*:

```

⟨error-msg i m ≠ CSUCCESS⟩
⟨error-msg i m ≠ CFOUND⟩
⟨is-cfailed (error-msg i m)⟩
⟨¬is-cfound (error-msg i m)⟩
by (auto simp: error-msg-def)

```

**lemma** *sorted-poly-rel-vars-llist*:

```

⟨(r, r') ∈ sorted-poly-rel O mset-poly-rel ⟹
  vars r' ⊆ ⋃ ' vars-llist r
apply (auto simp: mset-poly-rel-def
  set-rel-def var-rel-def br-def vars-llist-def list-rel-append2 list-rel-append1
  list-rel-split-right-iff list-mset-rel-def image-iff sorted-poly-list-rel-wrt-def
  dest!: set-rev-mp[OF - vars-polynomial-of-mset]
  dest!: split-list)
apply (auto dest!: multi-member-split simp: list-rel-append1
  term-poly-list-rel-def eq-commute[of - ⟨mset -⟩]
  list-rel-split-right-iff list-rel-append2 list-rel-split-left-iff
  dest: arg-cong[of ⟨mset -⟩ ⟨add-mset - -⟩ set-mset])
done

```

**lemma** *check-addition-l-check-add*:

```

assumes ⟨(A, B) ∈ fmap-polys-rel⟩ and ⟨(r, r') ∈ sorted-poly-rel O mset-poly-rel⟩
  ⟨(p, p') ∈ Id⟩ ⟨(q, q') ∈ Id⟩ ⟨(i, i') ∈ nat-rel⟩
  ⟨(V', V) ∈ ⟨var-rel⟩set-rel⟩
shows
  ⟨check-addition-l spec A V' p q i r ≤ ⋚ {(st, b). (¬is-cfailed st ⟷ b) ∧
    (is-cfound st ⟶ spec = r)} (check-add B V p' q' i' r')⟩

```

**proof** –

```

have [refine]:
  ⟨add-poly-l (p, q) ≤ ⋚ (sorted-poly-rel O mset-poly-rel) (add-poly-spec p' q')⟩
if ⟨(p, p') ∈ sorted-poly-rel O mset-poly-rel⟩
  ⟨(q, q') ∈ sorted-poly-rel O mset-poly-rel⟩
for p p' q q'
using that
by (auto intro!: add-poly-l-add-poly-p'[THEN order-trans] ref-two-step'
  add-poly-p'-add-poly-spec
  simp flip: conc-fun-chain)

```

**show** *?thesis*

```

using assms
unfolding check-addition-l-def
  check-not-equal-dom-err-def apply –
apply (rule order-trans)
defer
apply (rule ref-two-step')
apply (rule check-add-alt-def)
apply refine-rcg
subgoal
  by (drule sorted-poly-rel-vars-llist)
  (auto simp: set-rel-def var-rel-def br-def)
subgoal
  by auto
subgoal
  by auto

```

```

subgoal
  by auto
subgoal
  by auto
subgoal
  by auto
subgoal
  by auto
subgoal
  by (auto simp: weak-equality-l-def bind-RES-RETURN-eq)
done
qed

lemma check-del-l-check-del:
   $\langle (A, B) \in \text{fmap-polys-rel} \implies (x3, x3a) \in \text{Id} \implies \text{check-del-l spec } A \text{ (pac-src1 (Del } x3)) \leq \Downarrow \{ (st, b). (\neg \text{is-cfailed } st \longleftrightarrow b) \wedge (b \longrightarrow st = \text{CSUCCESS}) \} (\text{check-del } B \text{ (pac-src1 (Del } x3a))) \rangle$ 
  unfolding check-del-l-def check-del-def
  by (refine-vcg lhs-step-If RETURN-SPEC-refine)
  (auto simp: fmap-rel-nat-rel-dom-m bind-RES-RETURN-eq)

lemma check-mult-l-check-mult:
  assumes  $\langle (A, B) \in \text{fmap-polys-rel} \rangle$  and  $\langle (r, r') \in \text{sorted-poly-rel } O \text{ mset-poly-rel} \rangle$  and
     $\langle (q, q') \in \text{sorted-poly-rel } O \text{ mset-poly-rel} \rangle$ 
     $\langle (p, p') \in \text{Id} \rangle \langle (i, i') \in \text{nat-rel} \rangle \langle (\mathcal{V}, \mathcal{V}') \in \langle \text{var-rel} \rangle \text{set-rel} \rangle$ 
  shows
     $\langle \text{check-mult-l spec } A \mathcal{V} p q i r \leq \Downarrow \{ (st, b). (\neg \text{is-cfailed } st \longleftrightarrow b) \wedge (\text{is-cfound } st \longrightarrow \text{spec} = r) \} (\text{check-mult } B \mathcal{V}' p' q' i' r') \rangle$ 
  proof -
    have [refine]:
       $\langle \text{mult-poly-full } p q \leq \Downarrow (\text{sorted-poly-rel } O \text{ mset-poly-rel}) (\text{mult-poly-spec } p' q') \rangle$ 
    if  $\langle (p, p') \in \text{sorted-poly-rel } O \text{ mset-poly-rel} \rangle$ 
       $\langle (q, q') \in \text{sorted-poly-rel } O \text{ mset-poly-rel} \rangle$ 
    for  $p p' q q'$ 
    using that
    by (auto intro!: mult-poly-full-mult-poly-p'[THEN order-trans] ref-two-step'
      mult-poly-p'-mult-poly-spec
      simp flip: conc-fun-chain)

  show ?thesis
    using assms
    unfolding check-mult-l-def
      check-mult-l-mult-err-def check-mult-l-dom-err-def apply -
    apply (rule order-trans)
    defer
    apply (rule ref-two-step')
    apply (rule check-mult-alt-def)
    apply refine-rcg
    subgoal
      by (drule sorted-poly-rel-vars-llist)+
      (fastforce simp: set-rel-def var-rel-def br-def)
    subgoal
      by auto
    subgoal
      by auto
    subgoal

```

```

    by auto
  subgoal
    by auto
  subgoal
    by (auto simp: weak-equality-l-def bind-RES-RETURN-eq)
  done
qed

```

**lemma** *normalize-poly-normalize-poly-spec:*

**assumes**  $\langle (r, t) \in \text{unsorted-poly-rel } O \text{ mset-poly-rel} \rangle$

**shows**

$\langle \text{normalize-poly } r \leq \Downarrow (\text{sorted-poly-rel } O \text{ mset-poly-rel}) (\text{normalize-poly-spec } t) \rangle$

**proof** –

**obtain**  $s$  **where**

$rs: \langle (r, s) \in \text{unsorted-poly-rel} \rangle$  **and**

$st: \langle (s, t) \in \text{mset-poly-rel} \rangle$

**using** *assms* **by** *auto*

**show** *?thesis*

**by** (*rule normalize-poly-normalize-poly-p[THEN order-trans, OF rs]*)

(*use st in (auto dest!: rtranclp-normalize-poly-p-poly-of-mset*

*intro!: ref-two-step' RES-refine exI[of - t]*

*simp: normalize-poly-spec-def ideal.span-zero mset-poly-rel-def*

*simp flip: conc-fun-chain*)

**qed**

**lemma** *remove1-list-rel:*

$\langle (xs, ys) \in \langle R \rangle \text{ list-rel} \implies$

$(a, b) \in R \implies$

*IS-RIGHT-UNIQUE*  $R \implies$

*IS-LEFT-UNIQUE*  $R \implies$

$(\text{remove1 } a \text{ } xs, \text{remove1 } b \text{ } ys) \in \langle R \rangle \text{ list-rel}$

**by** (*induction xs ys rule: list-rel-induct*)

(*auto simp: single-valued-def IS-LEFT-UNIQUE-def*)

**lemma** *remove1-list-rel2:*

$\langle (xs, ys) \in \langle R \rangle \text{ list-rel} \implies$

$(a, b) \in R \implies$

$(\bigwedge c. (a, c) \in R \implies c = b) \implies$

$(\bigwedge c. (c, b) \in R \implies c = a) \implies$

$(\text{remove1 } a \text{ } xs, \text{remove1 } b \text{ } ys) \in \langle R \rangle \text{ list-rel}$

**apply** (*induction xs ys rule: list-rel-induct*)

**apply** (*simp (no-asm)*)

**by** (*smt list-rel-simp(4) remove1.simps(2)*)

**lemma** *remove1-sorted-poly-rel-mset-poly-rel:*

**assumes**

$\langle (r, r') \in \text{sorted-poly-rel } O \text{ mset-poly-rel} \rangle$  **and**

$\langle ([a], 1) \in \text{set } r \rangle$

**shows**

$\langle \text{remove1 } ([a], 1) \text{ } r, r' - \text{Var } (\varphi \text{ } a) \rangle$

$\in \text{sorted-poly-rel } O \text{ mset-poly-rel}$

**proof** –

**have** [*simp*]:  $\langle ([a], \{\#a\# \}) \in \text{term-poly-list-rel} \rangle$

$\langle \bigwedge aa. ([a], aa) \in \text{term-poly-list-rel} \longleftrightarrow aa = \{\#a\# \} \rangle$



```

  by (auto simp: term-poly-list-rel-def)
have H:
  ⟨ $\bigwedge aa. ([a], aa) \in \text{term-poly-list-rel} \implies aa = \{\#a\# \}$ ⟩
  ⟨ $\bigwedge aa. (aa, \{\#a\# \}) \in \text{term-poly-list-rel} \implies aa = [a]$ ⟩
  by (auto simp: single-valued-def IS-LEFT-UNIQUE-def
    term-poly-list-rel-def)

have [simp]: ⟨ $\text{Const } (1 :: \text{int}) = (1 :: \text{int } \text{mpoly})$ ⟩
  by (simp add: Const.abs-eq Const0-one one-mpoly.abs-eq)
have [simp]: ⟨ $\text{sorted-wrt term-order } (\text{map fst } r) \implies$ 
   $\text{sorted-wrt term-order } (\text{map fst } (\text{remove1 } ([a], 1) r))$ ⟩
  by (induction r) auto
have [intro]: ⟨ $\text{distinct } (\text{map fst } r) \implies \text{distinct } (\text{map fst } (\text{remove1 } x r))$ ⟩ for x
  by (induction r) (auto dest: in-set-remove1D)
have [simp]: ⟨ $(r, ya) \in (\text{term-poly-list-rel } \times_r \text{ int-rel}) \text{list-rel} \implies$ 
   $\text{polynomial-of-mset } (\text{mset } ya) - \text{Var } (\varphi a) =$ 
   $\text{polynomial-of-mset } (\text{remove1-mset } (\{\#a\# \}, 1) (\text{mset } ya))$ ⟩ for ya
using assms
  by (auto simp: list-rel-append1 list-rel-split-right-iff
    dest!: split-list)

show ?thesis
  using assms
  apply (auto simp: mset-poly-rel-def sorted-poly-list-rel-wrt-def)
  apply (rename-tac ya za, rule-tac b = ⟨ $\text{remove1-mset } (\{\#a\# \}, 1) za$ ⟩ in relcompI)
  apply (auto)
  apply (rename-tac ya za, rule-tac b = ⟨ $\text{remove1 } (\{\#a\# \}, 1) ya$ ⟩ in relcompI)
  by (auto intro!: remove1-list-rel2 intro: H
    simp: list-mset-rel-def br-def in-remove1-mset-neq)
qed

lemma remove1-sorted-poly-rel-mset-poly-rel-minus:
  assumes
    ⟨ $(r, r') \in \text{sorted-poly-rel } O \text{ mset-poly-rel}$ ⟩ and
    ⟨ $([a], -1) \in \text{set } r$ ⟩
  shows
    ⟨ $(\text{remove1 } ([a], -1) r, r' + \text{Var } (\varphi a))$ 
       $\in \text{sorted-poly-rel } O \text{ mset-poly-rel}$ ⟩
proof -
  have [simp]: ⟨ $([a], \{\#a\# \}) \in \text{term-poly-list-rel}$ ⟩
    ⟨ $\bigwedge aa. ([a], aa) \in \text{term-poly-list-rel} \longleftrightarrow aa = \{\#a\# \}$ ⟩
    by (auto simp: term-poly-list-rel-def)
  have H:
    ⟨ $\bigwedge aa. ([a], aa) \in \text{term-poly-list-rel} \implies aa = \{\#a\# \}$ ⟩
    ⟨ $\bigwedge aa. (aa, \{\#a\# \}) \in \text{term-poly-list-rel} \implies aa = [a]$ ⟩
    by (auto simp: single-valued-def IS-LEFT-UNIQUE-def
      term-poly-list-rel-def)

  have [simp]: ⟨ $\text{Const } (1 :: \text{int}) = (1 :: \text{int } \text{mpoly})$ ⟩
    by (simp add: Const.abs-eq Const0-one one-mpoly.abs-eq)
  have [simp]: ⟨ $\text{sorted-wrt term-order } (\text{map fst } r) \implies$ 
     $\text{sorted-wrt term-order } (\text{map fst } (\text{remove1 } ([a], -1) r))$ ⟩
    by (induction r) auto
  have [intro]: ⟨ $\text{distinct } (\text{map fst } r) \implies \text{distinct } (\text{map fst } (\text{remove1 } x r))$ ⟩ for x
    apply (induction r) apply auto

```

```

  by (meson img-fst in-set-remove1D)
have [simp]:  $\langle (r, ya) \in \langle \text{term-poly-list-rel} \times_r \text{int-rel} \rangle \text{list-rel} \implies$ 
  polynomial-of-mset (mset ya) + Var ( $\varphi$  a) =
  polynomial-of-mset (remove1-mset ( $\{\#a\}$ , -1) (mset ya)) for ya
using assms
by (auto simp: list-rel-append1 list-rel-split-right-iff
  dest!: split-list)

show ?thesis
using assms
apply (auto simp: mset-poly-rel-def sorted-poly-list-rel-wrt-def
  Collect-eq-comp' dest!: )
apply (rule-tac b =  $\langle \text{remove1-mset} (\{\#a\}, -1) za \rangle$  in relcompI)
apply (auto)
apply (rule-tac b =  $\langle \text{remove1} (\{\#a\}, -1) ya \rangle$  in relcompI)
apply (auto intro!: remove1-list-rel2 intro: H
  simp: list-mset-rel-def br-def in-remove1-mset-neq)
done
qed

```

```

lemma insert-var-rel-set-rel:
 $\langle (\mathcal{V}, \mathcal{V}') \in \langle \text{var-rel} \rangle \text{set-rel} \implies$ 
 $(yb, x2) \in \text{var-rel} \implies$ 
 $(\text{insert } yb \ \mathcal{V}, \text{insert } x2 \ \mathcal{V}') \in \langle \text{var-rel} \rangle \text{set-rel}$ 
by (auto simp: var-rel-def set-rel-def)

```

```

lemma var-rel-set-rel-iff:
 $\langle (\mathcal{V}, \mathcal{V}') \in \langle \text{var-rel} \rangle \text{set-rel} \implies$ 
 $(yb, x2) \in \text{var-rel} \implies$ 
 $yb \in \mathcal{V} \longleftrightarrow x2 \in \mathcal{V}'$ 
using  $\varphi$ -inj inj-eq by (fastforce simp: var-rel-def set-rel-def br-def)

```

```

lemma check-extension-l-check-extension:
assumes  $\langle (A, B) \in \text{fmap-polys-rel} \rangle$  and  $\langle (r, r') \in \text{sorted-poly-rel } O \ \text{mset-poly-rel} \rangle$  and
 $\langle (i, i') \in \text{nat-rel} \rangle \langle (\mathcal{V}, \mathcal{V}') \in \langle \text{var-rel} \rangle \text{set-rel} \rangle \langle (x, x') \in \text{var-rel} \rangle$ 
shows
 $\langle \text{check-extension-l spec } A \ \mathcal{V} \ i \ x \ r \leq$ 
 $\Downarrow \{((st), (b)).$ 
 $(\neg \text{is-cfailed } st \longleftrightarrow b) \wedge$ 
 $(\text{is-cfound } st \longrightarrow \text{spec} = r) \} (\text{check-extension } B \ \mathcal{V}' \ i' \ x' \ r') \rangle$ 

```

```

proof -
have  $\langle x' = \varphi \ x \rangle$ 
using assms(5) by (auto simp: var-rel-def br-def)
have [refine]:
 $\langle \text{mult-poly-full } p \ q \leq \Downarrow (\text{sorted-poly-rel } O \ \text{mset-poly-rel}) (\text{mult-poly-spec } p' \ q') \rangle$ 
if  $\langle (p, p') \in \text{sorted-poly-rel } O \ \text{mset-poly-rel} \rangle$ 
 $\langle (q, q') \in \text{sorted-poly-rel } O \ \text{mset-poly-rel} \rangle$ 
for  $p \ p' \ q \ q'$ 
using that
by (auto intro!: mult-poly-full-mult-poly-p'[THEN order-trans] ref-two-step'
  mult-poly-p'-mult-poly-spec
  simp flip: conc-fun-chain)
have [refine]:

```

```

  <add-poly-l (p, q) ≤ ↓ (sorted-poly-rel O mset-poly-rel) (add-poly-spec p' q')>
  if <(p, p') ∈ sorted-poly-rel O mset-poly-rel>
    <(q, q') ∈ sorted-poly-rel O mset-poly-rel>
  for p p' q q'
  using that
  by (auto intro!: add-poly-l-add-poly-p'[THEN order-trans] ref-two-step'
      add-poly-p'-add-poly-spec
      simp flip: conc-fun-chain)

have [simp]: <(l, l') ∈ (term-poly-list-rel ×r int-rel)list-rel ⇒
  (map (λ(a, b). (a, - b)) l, map (λ(a, b). (a, - b)) l')
  ∈ (term-poly-list-rel ×r int-rel)list-rel> for l l'
  by (induction l l' rule: list-rel-induct)
    (auto simp: list-mset-rel-def br-def)

have [intro!]:
  <(x2c, za) ∈ (term-poly-list-rel ×r int-rel)list-rel O list-mset-rel ⇒
  (map (λ(a, b). (a, - b)) x2c,
   {#case x of (a, b) ⇒ (a, - b). x ∈ # za#})
  ∈ (term-poly-list-rel ×r int-rel)list-rel O list-mset-rel> for x2c za
  apply (auto)
  subgoal for y
    apply (induction x2c y rule: list-rel-induct)
    apply (auto simp: list-mset-rel-def br-def)
    apply (rule-tac b = <(aa, - ba) # map (λ(a, b). (a, - b)) l'> in relcompI)
    by auto
  done
have [simp]: <(λx. fst (case x of (a, b) ⇒ (a, - b))) = fst>
  by (auto intro: ext)

have uminus: <(x2c, x2a) ∈ sorted-poly-rel O mset-poly-rel ⇒
  (map (λ(a, b). (a, - b)) x2c,
   - x2a)
  ∈ sorted-poly-rel O mset-poly-rel> for x2c x2a x1c x1a
  apply (clarsimp simp: sorted-poly-list-rel-wrt-def
      mset-poly-rel-def)
  apply (rule-tac b = <(λ(a, b). (a, - b)) ' # za> in relcompI)
  by (auto simp: sorted-poly-list-rel-wrt-def
      mset-poly-rel-def comp-def polynomial-of-mset-uminus)
have [simp]: <( [], 0) ∈ sorted-poly-rel O mset-poly-rel>
  by (auto simp: sorted-poly-list-rel-wrt-def
      mset-poly-rel-def list-mset-rel-def br-def
      intro!: relcompI[of - <{#}>])
show ?thesis
  unfolding check-extension-l-def
    check-extension-l-dom-err-def
    check-extension-l-no-new-var-err-def
    check-extension-l-new-var-multiple-err-def
    check-extension-l-side-cond-err-def
  apply (rule order-trans)
  defer
  apply (rule ref-two-step')
  apply (rule check-extension-alt-def)
  apply (refine-vcg )
  subgoal using assms(1,3,4,5)

```

```

    by (auto simp: var-rel-set-rel-iff)
  subgoal using assms(1,3,4,5)
    by (auto simp: var-rel-set-rel-iff)
  subgoal by auto
  subgoal by auto
  apply (subst ⟨x' =  $\varphi$  x⟩, rule remove1-sorted-poly-rel-mset-poly-rel-minus)
  subgoal using assms by auto
  subgoal using assms by auto
  subgoal using sorted-poly-rel-vars-llist[of ⟨r⟩ ⟨r'⟩]
    assms
    by (force simp: set-rel-def var-rel-def br-def
      dest!: sorted-poly-rel-vars-llist)
  subgoal by auto
  subgoal by auto
  subgoal using assms by auto
  apply (rule uminus)
  subgoal using assms by auto
  subgoal using assms by auto
  subgoal using assms by auto
  subgoal using assms by auto
  subgoal using assms by auto
  done
qed

```

```

lemma full-normalize-poly-diff-ideal:
  fixes dom
  assumes ⟨(p, p') ∈ fully-unsorted-poly-rel O mset-poly-rel⟩
  shows
    ⟨full-normalize-poly p
      ≤  $\Downarrow$  (sorted-poly-rel O mset-poly-rel)
      (normalize-poly-spec p')⟩
proof -
  obtain q where
    pq: ⟨(p, q) ∈ fully-unsorted-poly-rel⟩ and qp': ⟨(q, p') ∈ mset-poly-rel⟩
  using assms by auto
  show ?thesis
    unfolding normalize-poly-spec-def
    apply (rule full-normalize-poly-normalize-poly-p[THEN order-trans])
    apply (rule pq)
    unfolding conc-fun-chain[symmetric]
    by (rule ref-two-step', rule RES-refine)
      (use qp' in ⟨auto dest!: rtranclp-normalize-poly-p-poly-of-mset
        simp: mset-poly-rel-def ideal.span-zero⟩)
qed

```

```

lemma insort-key-rel-decomp:
  ⟨ $\exists$  ys zs. xs = ys @ zs  $\wedge$  insort-key-rel R x xs = ys @ x # zs⟩
  apply (induction xs)
  apply (auto 5 3)
  apply (rule-tac x = ⟨a # ys⟩ in exI)
  apply auto
  done

```

```

lemma list-rel-append-same-length:

```

$\langle \text{length } xs = \text{length } xs' \implies (xs @ ys, xs' @ ys') \in \langle R \rangle \text{list-rel} \longleftrightarrow (xs, xs') \in \langle R \rangle \text{list-rel} \wedge (ys, ys') \in \langle R \rangle \text{list-rel} \rangle$

**by** (auto simp: list-rel-def list-all2-append2 dest: list-all2-lengthD)

**lemma** term-poly-list-rel-list-relD:  $\langle (ys, cs) \in \langle \text{term-poly-list-rel} \times_r \text{int-rel} \rangle \text{list-rel} \implies cs = \text{map } (\lambda(a, y). (\text{mset } a, y)) \text{ } ys \rangle$

**by** (induction ys arbitrary: cs)

(auto simp: term-poly-list-rel-def list-rel-def list-all2-append list-all2-Cons1 list-all2-Cons2)

**lemma** term-poly-list-rel-single:  $\langle [x32], \{\#x32\# \} \rangle \in \text{term-poly-list-rel}$

**by** (auto simp: term-poly-list-rel-def)

**lemma** unsorted-poly-rel-list-rel-list-rel-uminus:

$\langle (\text{map } (\lambda(a, b). (a, - b)) \text{ } r, yc) \in \langle \text{unsorted-term-poly-list-rel} \times_r \text{int-rel} \rangle \text{list-rel} \implies (r, \text{map } (\lambda(a, b). (a, - b)) \text{ } yc) \in \langle \text{unsorted-term-poly-list-rel} \times_r \text{int-rel} \rangle \text{list-rel} \rangle$

**by** (induction r arbitrary: yc)

(auto simp: elim!: list-relE3)

**lemma** mset-poly-rel-minus:  $\langle (\{\#(a, b)\# \}, v') \in \text{mset-poly-rel} \implies$

$(\text{mset } yc, r') \in \text{mset-poly-rel} \implies (r, yc) \in \langle \text{unsorted-term-poly-list-rel} \times_r \text{int-rel} \rangle \text{list-rel} \implies (\text{add-mset } (a, b) (\text{mset } yc), v' + r') \in \text{mset-poly-rel} \rangle$

**by** (induction r arbitrary: r')

(auto simp: mset-poly-rel-def polynomial-of-mset-uminus)

**lemma** fully-unsorted-poly-rel-diff:

$\langle ([v], v') \in \text{fully-unsorted-poly-rel} \text{ } O \text{ } \text{mset-poly-rel} \implies (r, r') \in \text{fully-unsorted-poly-rel} \text{ } O \text{ } \text{mset-poly-rel} \implies (v \# r, v' + r') \in \text{fully-unsorted-poly-rel} \text{ } O \text{ } \text{mset-poly-rel} \rangle$

**apply** auto

**apply** (rule-tac b =  $\langle y + ya \rangle$  in relcompI)

**apply** (auto simp: fully-unsorted-poly-list-rel-def list-mset-rel-def br-def)

**apply** (rule-tac b =  $\langle yb @ yc \rangle$  in relcompI)

**apply** (auto elim!: list-relE3 simp: unsorted-poly-rel-list-rel-list-rel-uminus mset-poly-rel-minus)

**done**

**lemma** PAC-checker-l-step-PAC-checker-step:

**assumes**

$\langle (Ast, Bst) \in \text{code-status-status-rel} \times_r \langle \text{var-rel} \rangle \text{set-rel} \times_r \text{fmap-polys-rel} \rangle$  **and**

$\langle (st, st') \in \text{pac-step-rel} \rangle$  **and**

$\text{spec}: \langle (\text{spec}, \text{spec}') \in \text{sorted-poly-rel} \text{ } O \text{ } \text{mset-poly-rel} \rangle$

**shows**

$\langle \text{PAC-checker-l-step spec Ast st} \leq \Downarrow (\text{code-status-status-rel} \times_r \langle \text{var-rel} \rangle \text{set-rel} \times_r \text{fmap-polys-rel}) (\text{PAC-checker-step spec}' Bst st') \rangle$

**proof** –

**obtain**  $A \mathcal{V} \text{ } cst \text{ } B \mathcal{V}' \text{ } cst'$  **where**

$Ast: \langle Ast = (cst, \mathcal{V}, A) \rangle$  **and**

$Bst: \langle Bst = (cst', \mathcal{V}', B) \rangle$  **and**

```

 $\mathcal{V}$ [intro]:  $\langle (\mathcal{V}, \mathcal{V}') \in \langle \text{var-rel} \rangle \text{set-rel} \rangle$  and
 $AB$ :  $\langle (A, B) \in \text{fmap-polys-rel} \rangle$ 
   $\langle (cst, cst') \in \text{code-status-status-rel} \rangle$ 
  using assms(1)
  by (cases Ast; cases Bst; auto)
have [refine]:  $\langle (r, ra) \in \text{sorted-poly-rel } O \text{ mset-poly-rel} \implies$ 
   $(eqa, eqaa)$ 
   $\in \{ (st, b). (\neg \text{is-cfailed } st \longleftrightarrow b) \wedge (\text{is-cfound } st \longrightarrow \text{spec} = r) \} \implies$ 
  RETURN eqa
   $\leq \Downarrow \text{code-status-status-rel}$ 
  (SPEC
     $(\lambda st'. (\neg \text{is-failed } st' \wedge$ 
       $\text{is-found } st' \longrightarrow$ 
       $ra - \text{spec}' \in \text{More-Modules.ideal polynomial-bool})))$ 
  for r ra eqa eqaa
  using spec
  by (cases eqa)
    (auto intro!: RETURN-RES-refine dest!: sorted-poly-list-relD
      simp: mset-poly-rel-def ideal.span-zero)
have [simp]:  $\langle (eqa, st'a) \in \text{code-status-status-rel} \implies$ 
   $(\text{merge-cstatus } cst \text{ eqa}, \text{merge-status } cst' \text{ st'a})$ 
   $\in \text{code-status-status-rel} \rangle$  for eqa st'a
  using AB
  by (cases eqa; cases st'a)
    (auto simp: code-status-status-rel-def)
have [simp]:  $\langle (\text{merge-cstatus } cst \text{ CSUCCESS}, cst') \in \text{code-status-status-rel} \rangle$ 
  using AB
  by (cases st)
    (auto simp: code-status-status-rel-def)
have [simp]:  $\langle (x32, x32a) \in \text{var-rel} \implies$ 
   $([[[x32], -1::int]], -\text{Var } x32a) \in \text{fully-unsorted-poly-rel } O \text{ mset-poly-rel} \rangle$  for x32 x32a
  by (auto simp: mset-poly-rel-def fully-unsorted-poly-list-rel-def list-mset-rel-def br-def
    unsorted-term-poly-list-rel-def var-rel-def Const-1-eq-1
    intro!: relcompI[of -  $\langle \{ \#(\{ \#x32 \# \}, -1 :: int) \# \} \rangle$ ]
    relcompI[of -  $\langle [(\{ \#x32 \# \}, -1)] \rangle$ ])
have H3:  $\langle p - \text{Var } a = (-\text{Var } a) + p \rangle$  for p ::  $\langle int \text{ mpoly} \rangle$  and a
  by auto
show ?thesis
  using assms(2)
  unfolding PAC-checker-l-step-def PAC-checker-step-def Ast Bst prod.case
  apply (cases st; cases st'; simp only: p2rel-def pac-step.case
    pac-step-rel-raw-def mem-Collect-eq prod.case pac-step-rel-raw.simps)
  subgoal
    apply (refine-rcg normalize-poly-normalize-poly-spec
      check-mult-l-check-mult check-addition-l-check-add
      full-normalize-poly-diff-ideal)
    subgoal using AB by auto
    subgoal using AB by auto
    subgoal by (auto simp: )
    subgoal by (auto simp: )
    subgoal by (auto simp: )
    subgoal by (auto intro:  $\mathcal{V}$ )
    apply assumption
  subgoal
    by (auto simp: code-status-status-rel-def)

```

```

subgoal
  by (auto intro!: fmap-rel-fmupd-fmap-rel
      fmap-rel-fmdrop-fmap-rel AB)
subgoal using AB by auto
done
subgoal
  apply (refine-rcg normalize-poly-normalize-poly-spec
        check-mult-l-check-mult check-addition-l-check-add
        full-normalize-poly-diff-ideal[unfolded normalize-poly-spec-def[symmetric]])
  subgoal using AB by auto
  subgoal using AB by auto
  subgoal using AB by (auto simp: )
  subgoal by (auto simp: )
  subgoal by (auto simp: )
  subgoal by (auto simp: )
  apply assumption+
  subgoal
    by (auto simp: code-status-status-rel-def)
  subgoal
    by (auto intro!: fmap-rel-fmupd-fmap-rel
        fmap-rel-fmdrop-fmap-rel AB)
  subgoal using AB by auto
  done
subgoal
  apply (refine-rcg full-normalize-poly-diff-ideal
        check-extension-l-check-extension)
  subgoal using AB by (auto intro!: fully-unsorted-poly-rel-diff[of - (← Var - :: int mpoly), unfolded
H3[symmetric]] simp: comp-def case-prod-beta)
  subgoal using AB by auto
  subgoal using AB by (auto simp: )
  subgoal by (auto simp: )
  subgoal by auto
  subgoal
    by (auto simp: code-status-status-rel-def)
  subgoal
    by (auto simp: AB
        intro!: fmap-rel-fmupd-fmap-rel insert-var-rel-set-rel)
  subgoal
    by (auto simp: code-status-status-rel-def AB
        code-status.is-cfailed-def)
  done
subgoal
  apply (refine-rcg normalize-poly-normalize-poly-spec
        check-del-l-check-del check-addition-l-check-add
        full-normalize-poly-diff-ideal[unfolded normalize-poly-spec-def[symmetric]])
  subgoal using AB by auto
  subgoal using AB by auto
  subgoal
    by (auto intro!: fmap-rel-fmupd-fmap-rel
        fmap-rel-fmdrop-fmap-rel code-status-status-rel-def AB)
  subgoal
    by (auto intro!: fmap-rel-fmupd-fmap-rel
        fmap-rel-fmdrop-fmap-rel AB)
  done
done

```

qed

**lemma** *code-status-status-rel-discrim-iff*:

$\langle (x1a, x1c) \in \text{code-status-status-rel} \implies \text{is-cfailed } x1a \longleftrightarrow \text{is-failed } x1c \rangle$   
 $\langle (x1a, x1c) \in \text{code-status-status-rel} \implies \text{is-cfound } x1a \longleftrightarrow \text{is-found } x1c \rangle$   
**by** (cases *x1a*; cases *x1c*; auto; fail)+

**lemma** *PAC-checker-l-PAC-checker*:

**assumes**

$\langle (A, B) \in \langle \text{var-rel} \rangle \text{set-rel} \times_r \text{fmap-polys-rel} \rangle$  **and**  
 $\langle (st, st') \in \langle \text{pac-step-rel} \rangle \text{list-rel} \rangle$  **and**  
 $\langle (spec, spec') \in \text{sorted-poly-rel } O \text{ mset-poly-rel} \rangle$  **and**  
 $\langle (b, b') \in \text{code-status-status-rel} \rangle$

**shows**

$\langle \text{PAC-checker-l spec } A \ b \ st \leq \Downarrow (\text{code-status-status-rel} \times_r \langle \text{var-rel} \rangle \text{set-rel} \times_r \text{fmap-polys-rel}) (\text{PAC-checker spec' } B \ b' \ st') \rangle$

**proof** –

**have** [*refine0*]:  $\langle (((b, A), st), (b', B), st') \in ((\text{code-status-status-rel} \times_r \langle \text{var-rel} \rangle \text{set-rel} \times_r \text{fmap-polys-rel}) \times_r \langle \text{pac-step-rel} \rangle \text{list-rel}) \rangle$

**using** *assms* **by** (auto simp: *code-status-status-rel-def*)

**show** ?thesis

**using** *assms*

**unfolding** *PAC-checker-l-def* *PAC-checker-def*

**apply** (*refine-rcg* *PAC-checker-l-step-PAC-checker-step*

*WHILEIT-refine*[**where**  $R = \langle ((\text{bool-rel} \times_r \langle \text{var-rel} \rangle \text{set-rel} \times_r \text{fmap-polys-rel}) \times_r \langle \text{pac-step-rel} \rangle \text{list-rel}) \rangle$ ])

**subgoal by** (auto simp: *code-status-status-rel-discrim-iff*)

**subgoal by** auto

**subgoal by** (auto simp: *neq-Nil-conv*)

**subgoal by** (auto simp: *neq-Nil-conv intro!*: *param-nth*)

**subgoal by** (auto simp: *neq-Nil-conv*)

**subgoal by** auto

**done**

qed

end

**lemma** *less-than-char-of-char*[*code-unfold*]:

$\langle (x, y) \in \text{less-than-char} \longleftrightarrow (\text{of-char } x :: \text{nat}) < \text{of-char } y \rangle$   
**by** (auto simp: *less-than-char-def* *less-char-def*)

**lemmas** [*code*] =

*add-poly-l'.simps*[*unfolded* *var-order-rel-def*]

**export-code** *add-poly-l'* **in** *SML* **module-name** *test*

**definition** *full-checker-l*

$:: \langle \text{l-list-polynomial} \Rightarrow (\text{nat}, \text{l-list-polynomial}) \text{fmap} \Rightarrow (-, \text{string}, \text{nat}) \text{pac-step list} \Rightarrow (\text{string } \text{code-status} \times -) \text{nres} \rangle$

**where**

$\langle \text{full-checker-l spec } A \ st = \text{do} \{$   
 $\text{spec}' \leftarrow \text{full-normalize-poly spec};$   
 $(b, \mathcal{V}, A) \leftarrow \text{remap-polys-l spec' } \{\} \ A;$   
 $\text{if } \text{is-cfailed } b$   
 $\text{then RETURN } (b, \mathcal{V}, A)$



```

    else do {
      let  $\mathcal{V} = \mathcal{V} \cup \text{vars-llist spec}$ ;
      PAC-checker-l spec' ( $\mathcal{V}$ , A) b st
    }
  }
}

```

**context** *poly-embed*  
**begin**

**term** *normalize-poly-spec*

**thm** *full-normalize-poly-diff-ideal*[*unfolded normalize-poly-spec-def*[*symmetric*]]

**abbreviation** *unsorted-fmap-polys-rel* **where**

$\langle \text{unsorted-fmap-polys-rel} \equiv \langle \text{nat-rel}, \text{fully-unsorted-poly-rel } O \text{ mset-poly-rel} \rangle \text{fmap-rel} \rangle$

**lemma** *full-checker-l-full-checker*:

**assumes**

$\langle (A, B) \in \text{unsorted-fmap-polys-rel} \rangle$  **and**

$\langle (st, st') \in \langle \text{pac-step-rel} \rangle \text{list-rel} \rangle$  **and**

$\langle (spec, spec') \in \text{fully-unsorted-poly-rel } O \text{ mset-poly-rel} \rangle$

**shows**

$\langle \text{full-checker-l spec } A \text{ st} \leq \Downarrow (\text{code-status-status-rel} \times_r \langle \text{var-rel} \rangle \text{set-rel} \times_r \text{fmap-polys-rel}) (\text{full-checker spec' } B \text{ st}') \rangle$

**proof** –

**have** [*refine*]:

$\langle (spec, spec') \in \text{sorted-poly-rel } O \text{ mset-poly-rel} \rangle \implies$

$\langle \mathcal{V}, \mathcal{V}' \rangle \in \langle \text{var-rel} \rangle \text{set-rel} \implies$

$\text{remap-polys-l spec } \mathcal{V} \ A \leq \Downarrow (\text{code-status-status-rel} \times_r \langle \text{var-rel} \rangle \text{set-rel} \times_r \text{fmap-polys-rel})$

$(\text{remap-polys-change-all spec' } \mathcal{V}' \ B) \rangle \text{ for spec spec' } \mathcal{V} \ \mathcal{V}'$

**apply** (rule *remap-polys-l-remap-polys*[*THEN order-trans, OF assms(1)*])

**apply** *assumption+*

**apply** (rule *ref-two-step*[*OF order.refl*])

**apply**(rule *remap-polys-spec*[*THEN order-trans*])

**by** (rule *remap-polys-polynomial-bool-remap-polys-change-all*)

**show** *?thesis*

**unfolding** *full-checker-l-def full-checker-def*

**apply** (*refine-rcg remap-polys-l-remap-polys*

*full-normalize-poly-diff-ideal*[*unfolded normalize-poly-spec-def*[*symmetric*]]

*PAC-checker-l-PAC-checker*)

**subgoal**

**using** *assms(3)* .

**subgoal by** *auto*

**subgoal by** (*auto simp: is-cfailed-def is-failed-def*)

**subgoal by** *auto*

**apply** (rule *fully-unsorted-poly-rel-extend-vars*)

**subgoal using** *assms(3)* .

**subgoal by** *auto*

**subgoal by** *auto*

**subgoal**

**using** *assms(2)* **by** (*auto simp: p2rel-def*)

**subgoal by** *auto*

**done**

**qed**

**lemma** *full-checker-l-full-checker'*:  
 $\langle (\text{uncurry2 full-checker-l, uncurry2 full-checker}) \in$   
 $((\text{fully-unsorted-poly-rel } O \text{ mset-poly-rel}) \times_r \text{unsorted-fmap-polys-rel}) \times_r \langle \text{pac-step-rel} \rangle \text{list-rel} \rightarrow_f$   
 $\langle (\text{code-status-status-rel} \times_r \langle \text{var-rel} \rangle \text{set-rel} \times_r \text{fmap-polys-rel}) \rangle \text{nres-rel}$   
**apply** (intro frefI nres-relI)  
**using** full-checker-l-full-checker **by** force

**end**

**definition** *remap-polys-l2* ::  $\langle \text{l-list-polynomial} \Rightarrow \text{string set} \Rightarrow (\text{nat, l-list-polynomial}) \text{fmap} \Rightarrow - \text{nres} \rangle$   
**where**

$\langle \text{remap-polys-l2 spec} = (\lambda \mathcal{V} A. \text{do} \{$   
 $n \leftarrow \text{upper-bound-on-dom } A;$   
 $b \leftarrow \text{RETURN } (n \geq 2^{64});$   
 $\text{if } b$   
 $\text{then do } \{$   
 $c \leftarrow \text{remap-polys-l-dom-err};$   
 $\text{RETURN } (\text{error-msg } (0 :: \text{nat}) \ c, \mathcal{V}, \text{fmempty})$   
 $\}$   
 $\text{else do } \{$   
 $(b, \mathcal{V}, A) \leftarrow \text{nfoldli } ([0..<n]) \ (\lambda -. \text{True})$   
 $(\lambda i \ (b, \mathcal{V}, A').$   
 $\text{if } i \in \# \text{ dom-m } A$   
 $\text{then do } \{$   
 $\text{ASSERT}(\text{fmlookup } A \ i \neq \text{None});$   
 $p \leftarrow \text{full-normalize-poly } (\text{the } (\text{fmlookup } A \ i));$   
 $\text{eq} \leftarrow \text{weak-equality-l } p \ \text{spec};$   
 $\mathcal{V} \leftarrow \text{RETURN } (\mathcal{V} \cup \text{vars-l-list } (\text{the } (\text{fmlookup } A \ i)));$   
 $\text{RETURN}(b \vee \text{eq}, \mathcal{V}, \text{fmupd } i \ p \ A')$   
 $\}$   $\text{else RETURN } (b, \mathcal{V}, A')$   
 $\}$   
 $(\text{False}, \mathcal{V}, \text{fmempty});$   
 $\text{RETURN } (\text{if } b \text{ then CFOUND else CSUCCESS}, \mathcal{V}, A)$   
 $\}$   
 $\rangle \rangle$

**lemma** *remap-polys-l2-remap-polys-l*:

$\langle \text{remap-polys-l2 spec } \mathcal{V} \ A \leq \Downarrow \text{Id } (\text{remap-polys-l spec } \mathcal{V} \ A) \rangle$

**proof** –

**have** [refine]:  $\langle (A, A') \in \text{Id} \implies \text{upper-bound-on-dom } A$   
 $\leq \Downarrow \{ (n, \text{dom}). \text{dom} = \text{set } [0..<n] \} \ (\text{SPEC } (\lambda \text{dom}. \text{set-mset } (\text{dom-m } A') \subseteq \text{dom} \wedge \text{finite dom})) \rangle$  **for**  
 $A \ A'$

**unfolding** upper-bound-on-dom-def

**apply** (rule RES-refine)

**apply** (auto simp: upper-bound-on-dom-def)

**done**

**have** 1:  $\langle \text{inj-on id dom} \rangle$  **for** dom

**by** auto

**have** 2:  $\langle x \in \# \text{ dom-m } A \implies$

$x' \in \# \text{ dom-m } A' \implies$

$(x, x') \in \text{nat-rel} \implies$

$(A, A') \in \text{Id} \implies$

$\text{full-normalize-poly } (\text{the } (\text{fmlookup } A \ x))$

```

    ≤ ↓ Id
      (full-normalize-poly (the (fmlookup A' x'))))
  for A A' x x'
  by (auto)
have 3: ⟨(n, dom) ∈ {(n, dom). dom = set [0..r ⟨Id⟩set-rel ×r Id⟩])
  subgoal by auto
  subgoal by auto
  subgoal by auto
  apply (rule 3)
  subgoal by auto
  subgoal by (simp add: in-dom-m-lookup-iff)
  subgoal by (simp add: in-dom-m-lookup-iff)
  apply (rule 2)
  subgoal by auto
  subgoal by auto
  subgoal by auto
  subgoal by auto
  apply (rule 4; assumption)
  apply (rule 6)
  subgoal by auto
  subgoal by auto
  subgoal by auto
  subgoal by auto
  subgoal by auto
  done
qed

end

theory PAC-Checker-Relation
  imports PAC-Checker WB-Sort Native-Word.Uint64
begin

```

## 11 Various Refinement Relations

When writing this, it was not possible to share the definition with the IsaSAT version.

**definition** *uint64-nat-rel* ::  $(\text{uint64} \times \text{nat}) \text{ set}$  **where**  
 $\langle \text{uint64-nat-rel} = \text{br nat-of-uint64 } (\lambda\_. \text{True}) \rangle$

**abbreviation** *uint64-nat-assn* **where**  
 $\langle \text{uint64-nat-assn} \equiv \text{pure uint64-nat-rel} \rangle$

**instantiation** *uint32* :: *hashable*

```

begin
definition hashcode-uint32 ::  $\langle \text{uint32} \Rightarrow \text{uint32} \rangle$  where
   $\langle \text{hashcode-uint32 } n = n \rangle$ 

definition def-hashmap-size-uint32 ::  $\langle \text{uint32 itself} \Rightarrow \text{nat} \rangle$  where
   $\langle \text{def-hashmap-size-uint32} = (\lambda -. 16) \rangle$ 
  — same as nat
instance
  by standard (simp add: def-hashmap-size-uint32-def)
end

instantiation uint64 :: hashable
begin
definition hashcode-uint64 ::  $\langle \text{uint64} \Rightarrow \text{uint32} \rangle$  where
   $\langle \text{hashcode-uint64 } n = (\text{uint32-of-nat } (\text{nat-of-uint64 } ((n) \text{ AND } ((2 :: \text{uint64})^{\text{32}} - 1)))) \rangle$ 

definition def-hashmap-size-uint64 ::  $\langle \text{uint64 itself} \Rightarrow \text{nat} \rangle$  where
   $\langle \text{def-hashmap-size-uint64} = (\lambda -. 16) \rangle$ 
  — same as nat
instance
  by standard (simp add: def-hashmap-size-uint64-def)
end

lemma word-nat-of-uint64-Rep-inject[simp]:  $\langle \text{nat-of-uint64 } ai = \text{nat-of-uint64 } bi \longleftrightarrow ai = bi \rangle$ 
  by transfer simp

instance uint64 :: heap
  by standard (auto simp: inj-def exI[of - nat-of-uint64])

instance uint64 :: semiring-numeral
  by standard

lemma nat-of-uint64-012[simp]:  $\langle \text{nat-of-uint64 } 0 = 0 \rangle \langle \text{nat-of-uint64 } 2 = 2 \rangle \langle \text{nat-of-uint64 } 1 = 1 \rangle$ 
  by (transfer, auto)+

definition uint64-of-nat-conv where
  [simp]:  $\langle \text{uint64-of-nat-conv } (x :: \text{nat}) = x \rangle$ 
lemma less-upper-bintrunc-id:  $\langle n < 2^b \implies n \geq 0 \implies \text{bintrunc } b \ n = n \rangle$ 
  unfolding uint32-of-nat-def
  by (simp add: no-bintr-alt1)

lemma nat-of-uint64-uint64-of-nat-id:  $\langle n < 2^{64} \implies \text{nat-of-uint64 } (\text{uint64-of-nat } n) = n \rangle$ 
  unfolding uint64-of-nat-def
  apply simp
  apply transfer
  apply (auto simp: unat-def)
  apply transfer
  by (auto simp: less-upper-bintrunc-id)

lemma [sepref-fr-rules]:
   $\langle (\text{return } o \ \text{uint64-of-nat}, \text{RETURN } o \ \text{uint64-of-nat-conv}) \in [\lambda a. a < 2^{64}]_a \ \text{nat-assn}^k \rightarrow \text{uint64-nat-assn} \rangle$ 
  by sepref-to-hoare
  (sep-auto simp: uint64-nat-rel-def br-def nat-of-uint64-uint64-of-nat-id)

definition string-rel ::  $\langle (\text{String.literal} \times \text{string}) \text{ set} \rangle$  where

```

$\langle \text{string-rel} = \{(x, y). y = \text{String.explode } x\} \rangle$

**abbreviation** *string-assn* ::  $\langle \text{string} \Rightarrow \text{String.literal} \Rightarrow \text{assn} \rangle$  **where**  
 $\langle \text{string-assn} \equiv \text{pure string-rel} \rangle$

**lemma** *eq-string-eq*:

$\langle ((=), (=)) \in \text{string-rel} \rightarrow \text{string-rel} \rightarrow \text{bool-rel} \rangle$

**by** (*auto intro!*: *frefl simp*: *string-rel-def String.less-literal-def*  
*less-than-char-def rel2p-def literal.explode-inject*)

**lemmas** *eq-string-eq-hnr* =

*eq-string-eq*[*sepref-import-param*]

**definition** *string2-rel* ::  $\langle (\text{string} \times \text{string}) \text{ set} \rangle$  **where**

$\langle \text{string2-rel} \equiv \langle \text{Id} \rangle \text{list-rel} \rangle$

**abbreviation** *string2-assn* ::  $\langle \text{string} \Rightarrow \text{string} \Rightarrow \text{assn} \rangle$  **where**

$\langle \text{string2-assn} \equiv \text{pure string2-rel} \rangle$

**abbreviation** *monom-rel* **where**

$\langle \text{monom-rel} \equiv \langle \text{string-rel} \rangle \text{list-rel} \rangle$

**abbreviation** *monom-assn* **where**

$\langle \text{monom-assn} \equiv \text{list-assn string-assn} \rangle$

**abbreviation** *monomial-rel* **where**

$\langle \text{monomial-rel} \equiv \text{monom-rel} \times_r \text{int-rel} \rangle$

**abbreviation** *monomial-assn* **where**

$\langle \text{monomial-assn} \equiv \text{monom-assn} \times_a \text{int-assn} \rangle$

**abbreviation** *poly-rel* **where**

$\langle \text{poly-rel} \equiv \langle \text{monomial-rel} \rangle \text{list-rel} \rangle$

**abbreviation** *poly-assn* **where**

$\langle \text{poly-assn} \equiv \text{list-assn monomial-assn} \rangle$

**lemma** *poly-assn-alt-def*:

$\langle \text{poly-assn} = \text{pure poly-rel} \rangle$

**by** (*simp add*: *list-assn-pure-conv*)

**abbreviation** *polys-assn* **where**

$\langle \text{polys-assn} \equiv \text{hm-fmap-assn uint64-nat-assn poly-assn} \rangle$

**lemma** *string-rel-string-assn*:

$\langle (\uparrow ((c, a) \in \text{string-rel})) = \text{string-assn } a \ c \rangle$

**by** (*auto simp*: *pure-app-eq*)

**lemma** *single-valued-string-rel*:

$\langle \text{single-valued string-rel} \rangle$

**by** (*auto simp*: *single-valued-def string-rel-def*)

**lemma** *IS-LEFT-UNIQUE-string-rel*:

$\langle \text{IS-LEFT-UNIQUE string-rel} \rangle$

**by** (*auto simp: IS-LEFT-UNIQUE-def single-valued-def string-rel-def*  
*literal.explode-inject*)

**lemma** *IS-RIGHT-UNIQUE-string-rel*:  
 $\langle \text{IS-RIGHT-UNIQUE string-rel} \rangle$   
**by** (*auto simp: single-valued-def string-rel-def*  
*literal.explode-inject*)

**lemma** *single-valued-monom-rel*:  $\langle \text{single-valued monom-rel} \rangle$   
**by** (*rule list-rel-sv*)  
(*auto intro!: frefI simp: string-rel-def*  
*rel2p-def single-valued-def p2rel-def*)

**lemma** *single-valued-monomial-rel*:  
 $\langle \text{single-valued monomial-rel} \rangle$   
**using** *single-valued-monom-rel*  
**by** (*auto intro!: frefI simp:*  
*rel2p-def single-valued-def p2rel-def*)

**lemma** *single-valued-monom-rel'*:  $\langle \text{IS-LEFT-UNIQUE monom-rel} \rangle$   
**unfolding** *IS-LEFT-UNIQUE-def inv-list-rel-eq string2-rel-def*  
**by** (*rule list-rel-sv*) +  
(*auto intro!: frefI simp: string-rel-def*  
*rel2p-def single-valued-def p2rel-def literal.explode-inject*)

**lemma** *single-valued-monomial-rel'*:  
 $\langle \text{IS-LEFT-UNIQUE monomial-rel} \rangle$   
**using** *single-valued-monom-rel'*  
**unfolding** *IS-LEFT-UNIQUE-def inv-list-rel-eq*  
**by** (*auto intro!: frefI simp:*  
*rel2p-def single-valued-def p2rel-def*)

**lemma** [*safe-constraint-rules*]:  
 $\langle \text{Sepref-Constraints.CONSTRAINT single-valued string-rel} \rangle$   
 $\langle \text{Sepref-Constraints.CONSTRAINT IS-LEFT-UNIQUE string-rel} \rangle$   
**by** (*auto simp: CONSTRAINT-def single-valued-def*  
*string-rel-def IS-LEFT-UNIQUE-def literal.explode-inject*)

**lemma** *eq-string-monom-hnr*[*sepref-fr-rules*]:  
 $\langle (\text{uncurry (return oo (=))}, \text{uncurry (RETURN oo (=))}) \in \text{monom-assn}^k *_a \text{monom-assn}^k \rightarrow_a \text{bool-assn} \rangle$   
**using** *single-valued-monom-rel'* *single-valued-monom-rel*  
**unfolding** *list-assn-pure-conv*  
**by** *sepref-to-hoare*  
(*sep-auto simp: list-assn-pure-conv string-rel-string-assn*  
*single-valued-def IS-LEFT-UNIQUE-def*  
*dest!: mod-starD*  
*simp flip: inv-list-rel-eq*)

**definition** *term-order-rel'* **where**  
 $\langle \text{simp}: \langle \text{term-order-rel}' x y = ((x, y) \in \text{term-order-rel}) \rangle$

**lemma** *term-order-rel*[*def-pat-rules*]:  
 $\langle (\in) \$ (x, y) \$ \text{term-order-rel} \equiv \text{term-order-rel}' \$ x \$ y \rangle$

```

by auto

lemma term-order-rel-alt-def:
  ⟨term-order-rel = lexord (p2rel char.lexordp)⟩
  by (auto simp: p2rel-def char.lexordp-conv-lexord var-order-rel-def intro!: arg-cong[of - - lexord])

instantiation char :: linorder
begin
  definition less-char where [symmetric, simp]: less-char = PAC-Polynomials-Term.less-char
  definition less-eq-char where [symmetric, simp]: less-eq-char = PAC-Polynomials-Term.less-eq-char
instance
  apply standard
  using char.linorder-axioms
  by (auto simp: class.linorder-def class.order-def class.preorder-def
      less-eq-char-def less-than-char-def class.order-axioms-def
      class.linorder-axioms-def p2rel-def less-char-def)
end

instantiation list :: (linorder) linorder
begin
  definition less-list where less-list = lexordp (<)
  definition less-eq-list where less-eq-list = lexordp-eq

instance
  apply standard
  apply (auto simp: less-list-def less-eq-list-def List.lexordp-def
      lexordp-conv-lexord lexordp-into-lexordp-eq lexordp-antisym
      antisym-def lexordp-eq-refl lexordp-eq-linear intro: lexordp-eq-trans
      dest: lexordp-eq-antisym)
  apply (metis lexordp-antisym lexordp-conv-lexord lexordp-eq-conv-lexord)
  using lexordp-conv-lexord lexordp-conv-lexordp-eq apply blast
done

end

lemma term-order-rel'-alt-def-lexord:
  ⟨term-order-rel' x y = ord-class.lexordp x y⟩ and
  term-order-rel'-alt-def:
  ⟨term-order-rel' x y ⟷ x < y⟩
proof -
  show
    ⟨term-order-rel' x y = ord-class.lexordp x y⟩
    ⟨term-order-rel' x y ⟷ x < y⟩
  unfolding less-than-char-of-char[symmetric, abs-def]
  by (auto simp: lexordp-conv-lexord less-eq-list-def
      less-list-def lexordp-def var-order-rel-def
      rel2p-def term-order-rel-alt-def p2rel-def)
qed

lemma list-rel-list-rel-order-iff:
  assumes ⟨(a, b) ∈ ⟨string-rel⟩list-rel⟩ ⟨(a', b') ∈ ⟨string-rel⟩list-rel⟩
  shows ⟨a < a' ⟷ b < b'⟩

```

**proof**

**have**  $H: \langle a, b \rangle \in \langle \text{string-rel} \rangle \text{list-rel} \implies$   
 $\langle a, cs \rangle \in \langle \text{string-rel} \rangle \text{list-rel} \implies b = cs$  **for**  $cs$   
**using** *single-valued-monom-rel' IS-RIGHT-UNIQUE-string-rel*  
**unfolding** *string2-rel-def*  
**by** (*subst (asm) list-rel-sv-iff[symmetric]*)  
*(auto simp: single-valued-def)*  
**assume**  $\langle a < a' \rangle$   
**then consider**  
 $u \ u' \text{ where } \langle a' = a @ u \# u' \rangle \mid$   
 $u \ aa \ v \ w \ aaa \text{ where } \langle a = u @ aa \# v \rangle \langle a' = u @ aaa \# w \rangle \langle aa < aaa \rangle$   
**by** (*subst (asm) less-list-def*)  
*(auto simp: lexord-def List.lexordp-def*  
*list-rel-append1 list-rel-split-right-iff)*  
**then show**  $\langle b < b' \rangle$   
**proof cases**  
**case 1**  
**then show**  $\langle b < b' \rangle$   
**using** *assms*  
**by** (*subst less-list-def*)  
*(auto simp: lexord-def List.lexordp-def*  
*list-rel-append1 list-rel-split-right-iff dest: H)*  
**next**  
**case 2**  
**then obtain**  $u' \ aa' \ v' \ w' \ aaa' \text{ where}$   
 $\langle b = u' @ aa' \# v' \rangle \langle b' = u' @ aaa' \# w' \rangle$   
 $\langle aa, aa' \rangle \in \text{string-rel}$   
 $\langle aaa, aaa' \rangle \in \text{string-rel}$   
**using** *assms*  
**apply** (*auto simp: lexord-def List.lexordp-def*  
*list-rel-append1 list-rel-split-right-iff dest: H)*  
**by** (*metis (no-types, hide-lams) H list-rel-append2 list-rel-simp(4)*)  
**with**  $\langle aa < aaa \rangle$  **have**  $\langle aa' < aaa' \rangle$   
**by** (*auto simp: string-rel-def less-literal.rep-eq less-list-def*  
*lexordp-conv-lexord lexordp-def char.lexordp-conv-lexord*  
*simp flip: lexord-code less-char-def*  
*PAC-Polynomials-Term.less-char-def)*  
**then show**  $\langle b < b' \rangle$   
**using**  $\langle b = u' @ aa' \# v' \rangle \langle b' = u' @ aaa' \# w' \rangle$   
**by** (*subst less-list-def*)  
*(fastforce simp: lexord-def List.lexordp-def*  
*list-rel-append1 list-rel-split-right-iff)*

**qed**

**next**

**have**  $H: \langle a, b \rangle \in \langle \text{string-rel} \rangle \text{list-rel} \implies$   
 $\langle a', b \rangle \in \langle \text{string-rel} \rangle \text{list-rel} \implies a = a'$  **for**  $a \ a' \ b$   
**using** *single-valued-monom-rel'*  
**by** (*auto simp: single-valued-def IS-LEFT-UNIQUE-def*  
*simp flip: inv-list-rel-eq)*  
**assume**  $\langle b < b' \rangle$   
**then consider**  
 $u \ u' \text{ where } \langle b' = b @ u \# u' \rangle \mid$   
 $u \ aa \ v \ w \ aaa \text{ where } \langle b = u @ aa \# v \rangle \langle b' = u @ aaa \# w \rangle \langle aa < aaa \rangle$   
**by** (*subst (asm) less-list-def*)  
*(auto simp: lexord-def List.lexordp-def*



```

    list-rel-append1 list-rel-split-right-iff)
then show  $\langle a < a' \rangle$ 
proof cases
  case 1
  then show  $\langle a < a' \rangle$ 
    using assms
    by (subst less-list-def)
      (auto simp: lexord-def List.lexordp-def
        list-rel-append2 list-rel-split-left-iff dest: H)
next
  case 2
  then obtain  $u' aa' v' w' aaa'$  where
     $\langle a = u' @ aa' \# v' \rangle \langle a' = u' @ aaa' \# w' \rangle$ 
     $\langle aa', aa \rangle \in \text{string-rel}$ 
     $\langle aaa', aaa \rangle \in \text{string-rel}$ 
    using assms
    by (auto simp: lexord-def List.lexordp-def
      list-rel-append2 list-rel-split-left-iff dest: H)
  with  $\langle aa < aaa \rangle$  have  $\langle aa' < aaa' \rangle$ 
    by (auto simp: string-rel-def less-literal.rep-eq less-list-def
      lexordp-conv-lexord lexordp-def char.lexordp-conv-lexord
      simp flip: lexord-code less-char-def
        PAC-Polynomials-Term.less-char-def)
  then show  $\langle a < a' \rangle$ 
    using  $\langle a = u' @ aa' \# v' \rangle \langle a' = u' @ aaa' \# w' \rangle$ 
    by (subst less-list-def)
      (fastforce simp: lexord-def List.lexordp-def
        list-rel-append1 list-rel-split-right-iff)
qed
qed

```

```

lemma string-rel-le[sepref-import-param]:
  shows  $\langle ((<), (<)) \rangle \in \langle \text{string-rel} \rangle \text{list-rel} \rightarrow \langle \text{string-rel} \rangle \text{list-rel} \rightarrow \text{bool-rel}$ 
  by (auto intro!: fun-relI simp: list-rel-list-rel-order-iff)

```

```

lemma [sepref-import-param]:
  assumes  $\langle \text{CONSTRAINT IS-LEFT-UNIQUE } R \rangle \langle \text{CONSTRAINT IS-RIGHT-UNIQUE } R \rangle$ 
  shows  $\langle (\text{remove1}, \text{remove1}) \rangle \in R \rightarrow \langle R \rangle \text{list-rel} \rightarrow \langle R \rangle \text{list-rel}$ 
  apply (intro fun-relI)
  subgoal premises  $p$  for  $x y xs ys$ 
    using  $p(2) p(1)$  assms
    by (induction  $xs ys$  rule: list-rel-induct)
      (auto simp: IS-LEFT-UNIQUE-def single-valued-def)
  done

```

```

instantiation pac-step :: (heap, heap, heap) heap
begin

```

```

instance
proof standard
  obtain  $f :: \langle 'a \Rightarrow \text{nat} \rangle$  where
     $f: \langle \text{inj } f \rangle$ 
    by blast

```

```

obtain  $g :: \langle \text{nat} \times \text{nat} \times \text{nat} \times \text{nat} \times \text{nat} \Rightarrow \text{nat} \rangle$  where
   $g :: \langle \text{inj } g \rangle$ 
  by blast
obtain  $h :: \langle 'b \Rightarrow \text{nat} \rangle$  where
   $h :: \langle \text{inj } h \rangle$ 
  by blast
obtain  $i :: \langle 'c \Rightarrow \text{nat} \rangle$  where
   $i :: \langle \text{inj } i \rangle$ 
  by blast
have [iff]:  $\langle g \ a = g \ b \longleftrightarrow a = b \rangle \langle h \ a'' = h \ b'' \longleftrightarrow a'' = b'' \rangle \langle f \ a' = f \ b' \longleftrightarrow a' = b' \rangle$ 
   $\langle i \ a''' = i \ b''' \longleftrightarrow a''' = b''' \rangle$  for  $a \ b \ a' \ b' \ a'' \ b'' \ a''' \ b'''$ 
  using  $f \ g \ h \ i$  unfolding inj-def by blast +
let  $?f = \langle \lambda x :: ('a, 'b, 'c) \text{ pac-step} \rangle$ 
   $g \ (\text{case } x \text{ of}$ 
     $\text{Add } a \ b \ c \ d \Rightarrow (0, i \ a, i \ b, i \ c, f \ d)$ 
     $| \text{Del } a \Rightarrow (1, i \ a, 0, 0, 0)$ 
     $| \text{Mult } a \ b \ c \ d \Rightarrow (2, i \ a, f \ b, i \ c, f \ d)$ 
     $| \text{Extension } a \ b \ c \Rightarrow (3, i \ a, f \ c, 0, h \ b)) \rangle$ 
have  $\langle \text{inj } ?f \rangle$ 
  apply (auto simp: inj-def)
  apply (case-tac x; case-tac y)
  apply auto
  done
then show  $\langle \exists f :: ('a, 'b, 'c) \text{ pac-step} \Rightarrow \text{nat}. \text{inj } f \rangle$ 
  by blast
qed

end

end

theory PAC-Checker-Init
imports PAC-Checker WB-Sort PAC-Checker-Relation
begin

```

## 12 Initial Normalisation of Polynomials

### 12.1 Sorting

Adapted from the theory *HOL-ex.MergeSort* by Tobias. We did not change much, but we refine it to executable code and try to improve efficiency.

```

fun merge ::  $- \Rightarrow 'a \text{ list} \Rightarrow 'a \text{ list} \Rightarrow 'a \text{ list}$ 
where
   $\text{merge } f \ (x \# xs) \ (y \# ys) =$ 
     $(\text{if } f \ x \ y \text{ then } x \ \# \ \text{merge } f \ xs \ (y \# ys) \text{ else } y \ \# \ \text{merge } f \ (x \# xs) \ ys)$ 
   $| \text{merge } f \ xs \ [] = xs$ 
   $| \text{merge } f \ [] \ ys = ys$ 

lemma mset-merge [simp]:
   $\text{mset } (\text{merge } f \ xs \ ys) = \text{mset } xs + \text{mset } ys$ 
  by (induct f xs ys rule: merge.induct) (simp-all add: ac-simps)

lemma set-merge [simp]:
   $\text{set } (\text{merge } f \ xs \ ys) = \text{set } xs \cup \text{set } ys$ 

```

```

by (induct f xs ys rule: merge.induct) auto

lemma sorted-merge:
  transp f  $\implies (\bigwedge x y. f x y \vee f y x) \implies$ 
  sorted-wrt f (merge f xs ys)  $\longleftrightarrow$  sorted-wrt f xs  $\wedge$  sorted-wrt f ys
  apply (induct f xs ys rule: merge.induct)
  apply (auto simp add: ball-Un not-le less-le dest: transpD)
  apply blast
  apply (blast dest: transpD)
  done

fun msort ::  $\alpha \Rightarrow 'a \text{ list} \Rightarrow 'a \text{ list}$ 
where
  msort f [] = []
| msort f [x] = [x]
| msort f xs = merge f
  (msort f (take (size xs div 2) xs))
  (msort f (drop (size xs div 2) xs))

fun swap-ternary ::  $\langle \alpha \Rightarrow \text{nat} \Rightarrow \text{nat} \Rightarrow ('a \times 'a \times 'a) \Rightarrow ('a \times 'a \times 'a) \rangle$  where
  swap-ternary f m n =
    (if (m = 0  $\wedge$  n = 1)
     then  $(\lambda(a, b, c). \text{if } f a b \text{ then } (a, b, c) \text{ else } (b, a, c))$ 
     else if (m = 0  $\wedge$  n = 2)
     then  $(\lambda(a, b, c). \text{if } f a c \text{ then } (a, b, c) \text{ else } (c, b, a))$ 
     else if (m = 1  $\wedge$  n = 2)
     then  $(\lambda(a, b, c). \text{if } f b c \text{ then } (a, b, c) \text{ else } (a, c, b))$ 
     else  $(\lambda(a, b, c). (a, b, c)))$ )

fun msort2 ::  $\alpha \Rightarrow 'a \text{ list} \Rightarrow 'a \text{ list}$ 
where
  msort2 f [] = []
| msort2 f [x] = [x]
| msort2 f [x,y] = (if f x y then [x,y] else [y,x])
| msort2 f xs = merge f
  (msort f (take (size xs div 2) xs))
  (msort f (drop (size xs div 2) xs))

lemmas [code del] =
  msort2.simps

declare msort2.simps[simp del]
lemmas [code] =
  msort2.simps[unfolded swap-ternary.simps, simplified]

declare msort2.simps[simp]

lemma msort-msort2:
  fixes xs ::  $\langle 'a :: \text{linorder list} \rangle$ 
  shows  $(\text{msort } (\leq) xs = \text{msort2 } (\leq) xs)$ 
  apply (induction  $\langle (\leq) :: 'a \Rightarrow 'a \Rightarrow \text{bool} \rangle xs$  rule: msort2.induct)
  apply (auto dest: transpD)

```

done

**lemma** *sorted-msort*:

*transp f*  $\implies (\bigwedge x y. f x y \vee f y x) \implies$   
*sorted-wrt f (msort f xs)*

**by** (*induct f xs rule: msort.induct*) (*simp-all add: sorted-merge*)

**lemma** *mset-msort*[*simp*]:

*mset (msort f xs) = mset xs*

**by** (*induct f xs rule: msort.induct*)

(*simp-all, metis append-take-drop-id mset.simps(2) mset-append*)

## 12.2 Sorting applied to monomials

**lemma** *merge-coeffs-alt-def*:

$\langle (RETURN \circ merge-coeffs) p =$

$RECT(\lambda f p.$

(*case p of*

$\square \Rightarrow RETURN \square$

$| [-] \Rightarrow RETURN p$

$| ((xs, n) \# (ys, m) \# p) \Rightarrow$

(*if xs = ys*

*then if n + m  $\neq 0$  then f ((xs, n + m) # p) else f p*

*else do {p  $\leftarrow$  f ((ys, m) # p); RETURN ((xs, n) # p)}))*

$\rangle$

**apply** (*induction p rule: merge-coeffs.induct*)

**subgoal by** (*subst RECT-unfold, refine-mono*) *auto*

**subgoal by** (*subst RECT-unfold, refine-mono*) *auto*

**subgoal for**  $x p y q$

**by** (*subst RECT-unfold, refine-mono*)

(*smt case-prod-conv list.simps(5) merge-coeffs.simps(3) nres-monad1*  
*push-in-let-conv(2)*)

done

**lemma** *hn-invalid-recover*:

$\langle is-pure R \implies hn-invalid R = (\lambda x y. R x y * true) \rangle$

$\langle is-pure R \implies invalid-assn R = (\lambda x y. R x y * true) \rangle$

**by** (*auto simp: is-pure-conv invalid-pure-recover hn-ctxt-def intro!: ext*)

**lemma** *safe-poly-vars*:

**shows**

[*safe-constraint-rules*]:

*is-pure (poly-assn) and*

[*safe-constraint-rules*]:

*is-pure (monom-assn) and*

[*safe-constraint-rules*]:

*is-pure (monomial-assn) and*

[*safe-constraint-rules*]:

*is-pure string-assn*

**by** (*auto intro!: pure-prod list-assn-pure simp: prod-assn-pure-conv*)

**lemma** *invalid-assn-distrib*:

$\langle invalid-assn monom-assn \times_a invalid-assn int-assn = invalid-assn (monom-assn \times_a int-assn) \rangle$

**apply** (*simp add: invalid-pure-recover hn-invalid-recover*

*safe-constraint-rules*)

**apply** (*subst hn-invalid-recover*)

```

apply (rule safe-poly-vars(2))
apply (subst hn-invalid-recover)
apply (rule safe-poly-vars)
apply (auto intro!: ext)
done

```

**lemma** WTF-RF-recover:

```

⟨hn-ctxt (invalid-assn monom-assn  $\times_a$  invalid-assn int-assn) xb
  x'a  $\vee_A$ 
  hn-ctxt monomial-assn xb x'a  $\implies_t$ 
  hn-ctxt (monomial-assn) xb x'a)
by (smt assn-aci(5) hn-ctxt-def invalid-assn-distrib invalid-pure-recover is-pure-conv
  merge-thms(4) merge-true-star reorder-enttI safe-poly-vars(3) star-aci(2) star-aci(3))

```

**lemma** WTF-RF:

```

⟨hn-ctxt (invalid-assn monom-assn  $\times_a$  invalid-assn int-assn) xb x'a *
  (hn-invalid poly-assn la l'a * hn-invalid int-assn a2' a2 *
  hn-invalid monom-assn a1' a1 *
  hn-invalid poly-assn l l' *
  hn-invalid monomial-assn xa x' *
  hn-invalid poly-assn ax px)  $\implies_t$ 
  hn-ctxt (monomial-assn) xb x'a *
  hn-ctxt poly-assn
  la l'a *
  hn-ctxt poly-assn l l' *
  (hn-invalid int-assn a2' a2 *
  hn-invalid monom-assn a1' a1 *
  hn-invalid monomial-assn xa x' *
  hn-invalid poly-assn ax px)
  ⟨hn-ctxt (invalid-assn monom-assn  $\times_a$  invalid-assn int-assn) xa x' *
  (hn-ctxt poly-assn l l' * hn-invalid poly-assn ax px)  $\implies_t$ 
  hn-ctxt (monomial-assn) xa x' *
  hn-ctxt poly-assn l l' *
  hn-ctxt poly-assn ax px *
  emp)
by sepref-dbg-trans-step+

```

The refinement frameword is completely lost here when synthesizing the constants – it does not understant what is pure (actually everything) and what must be destroyed.

**sepref-definition** merge-coeffs-impl

```

is ⟨RETURN o merge-coeffs⟩
:: ⟨poly-assnd  $\rightarrow_a$  poly-assn⟩
supply [[goals-limit=1]]
unfolding merge-coeffs-alt-def
  HOL-list.fold-custom-empty poly-assn-alt-def
apply (rewrite in ⟨ $\cdot$ ⟩ annotate-assn[where A=⟨poly-assn⟩])
apply sepref-dbg-preproc
apply sepref-dbg-cons-init
apply sepref-dbg-id
apply sepref-dbg-monadify
apply sepref-dbg-opt-init
apply (rule WTF-RF | sepref-dbg-trans-step)+
apply sepref-dbg-opt
apply sepref-dbg-cons-solve
apply sepref-dbg-cons-solve

```

**apply** *sepref-dbg-constraints*  
**done**

**definition** *full-quicksort-poly* **where**

$\langle \text{full-quicksort-poly} = \text{full-quicksort-ref } (\lambda x y. x = y \vee (x, y) \in \text{term-order-rel}) \text{ fst} \rangle$

**lemma** *down-eq-id-list-rel*:  $\langle \Downarrow (\langle \text{Id} \rangle \text{list-rel}) x = x \rangle$

**by** *auto*

**definition** *quicksort-poly*::  $\langle \text{nat} \Rightarrow \text{nat} \Rightarrow \text{llist-polynomial} \Rightarrow (\text{llist-polynomial}) \text{ nres} \rangle$  **where**

$\langle \text{quicksort-poly } x y z = \text{quicksort-ref } (\leq) \text{ fst } (x, y, z) \rangle$

**term** *partition-between-ref*

**definition** *partition-between-poly* ::  $\langle \text{nat} \Rightarrow \text{nat} \Rightarrow \text{llist-polynomial} \Rightarrow (\text{llist-polynomial} \times \text{nat}) \text{ nres} \rangle$   
**where**

$\langle \text{partition-between-poly} = \text{partition-between-ref } (\leq) \text{ fst} \rangle$

**definition** *partition-main-poly* ::  $\langle \text{nat} \Rightarrow \text{nat} \Rightarrow \text{llist-polynomial} \Rightarrow (\text{llist-polynomial} \times \text{nat}) \text{ nres} \rangle$  **where**

$\langle \text{partition-main-poly} = \text{partition-main } (\leq) \text{ fst} \rangle$

**lemma** *string-list-trans*:

$\langle (xa :: \text{char list list}, ya) \in \text{lexord } (\text{lexord } \{(x, y). x < y\}) \implies$

$(ya, z) \in \text{lexord } (\text{lexord } \{(x, y). x < y\}) \implies$

$(xa, z) \in \text{lexord } (\text{lexord } \{(x, y). x < y\}) \rangle$

**by** (*smt less-char-def char.less-trans less-than-char-def lexord-partial-trans p2rel-def*)

**lemma** *full-quicksort-sort-poly-spec*:

$\langle (\text{full-quicksort-poly}, \text{sort-poly-spec}) \in \langle \text{Id} \rangle \text{list-rel} \rightarrow_f \langle \langle \text{Id} \rangle \text{list-rel} \rangle \text{nres-rel} \rangle$

**proof** –

**have** *xs*:  $\langle (xs, xs) \in \langle \text{Id} \rangle \text{list-rel} \rangle$  **and**  $\langle \Downarrow (\langle \text{Id} \rangle \text{list-rel}) x = x \rangle$  **for** *x xs*

**by** *auto*

**show** *?thesis*

**apply** (*intro frefI nres-relI*)

**unfolding** *full-quicksort-poly-def*

**apply** (*rule full-quicksort-ref-full-quicksort[THEN fref-to-Down-curry, THEN order-trans]*)

**subgoal**

**by** (*auto simp: rel2p-def var-order-rel-def p2rel-def Relation.total-on-def*  
*dest: string-list-trans*)

**subgoal**

**using** *total-on-lexord-less-than-char-linear[unfolded var-order-rel-def]*

**apply** (*auto simp: rel2p-def var-order-rel-def p2rel-def Relation.total-on-def less-char-def*)

**done**

**subgoal by** *fast*

**apply** (*rule xs*)

**apply** (*subst down-eq-id-list-rel*)

**unfolding** *sorted-wrt-map sort-poly-spec-def*

**apply** (*rule full-quicksort-correct-sorted[where R =  $\langle (\lambda x y. x = y \vee (x, y) \in \text{term-order-rel}) \rangle$  and*

*h =  $\langle \text{fst} \rangle$ ,*

*THEN order-trans]*)

**subgoal**

**by** (*auto simp: rel2p-def var-order-rel-def p2rel-def Relation.total-on-def dest: string-list-trans*)

**subgoal for** *x y*

**using** *total-on-lexord-less-than-char-linear[unfolded var-order-rel-def]*

**apply** (*auto simp: rel2p-def var-order-rel-def p2rel-def Relation.total-on-def*)

```

      less-char-def)
    done
  subgoal
    by (auto simp: rel2p-def p2rel-def)
  done
qed

```

### 12.3 Lifting to polynomials

**definition** *merge-sort-poly* ::  $\langle \cdot \rangle$  **where**  
 $\langle \text{merge-sort-poly} = \text{msort } (\lambda a b. \text{fst } a \leq \text{fst } b) \rangle$

**definition** *merge-monoms-poly* ::  $\langle \cdot \rangle$  **where**  
 $\langle \text{merge-monoms-poly} = \text{msort } (\leq) \rangle$

**definition** *merge-poly* ::  $\langle \cdot \rangle$  **where**  
 $\langle \text{merge-poly} = \text{merge } (\lambda a b. \text{fst } a \leq \text{fst } b) \rangle$

**definition** *merge-monoms* ::  $\langle \cdot \rangle$  **where**  
 $\langle \text{merge-monoms} = \text{merge } (\leq) \rangle$

**definition** *msort-poly-impl* ::  $\langle (\text{String.literal list} \times \text{int}) \text{ list} \Rightarrow \cdot \rangle$  **where**  
 $\langle \text{msort-poly-impl} = \text{msort } (\lambda a b. \text{fst } a \leq \text{fst } b) \rangle$

**definition** *msort-monoms-impl* ::  $\langle (\text{String.literal list}) \Rightarrow \cdot \rangle$  **where**  
 $\langle \text{msort-monoms-impl} = \text{msort } (\leq) \rangle$

**lemma** *msort-poly-impl-alt-def*:

```

  <msort-poly-impl xs =
    (case xs of
      [] => []
    | [a] => [a]
    | [a,b] => if fst a <= fst b then [a,b] else [b,a]
    | xs => merge-poly
      (msort-poly-impl (take ((length xs) div 2) xs))
      (msort-poly-impl (drop ((length xs) div 2) xs)))
  unfolding msort-poly-impl-def
  apply (auto split: list.splits simp: merge-poly-def)
done

```

**lemma** *le-term-order-rel'*:

```

  <(<=>) = (λx y. x = y ∨ term-order-rel' x y)>
  apply (intro ext)
  apply (auto simp add: less-list-def less-eq-list-def
    lexordp-eq-conv-lexord lexordp-def)
  using term-order-rel'-alt-def-lexord term-order-rel'-def apply blast
  using term-order-rel'-alt-def-lexord term-order-rel'-def apply blast
done

```

**fun** *lexord-eq* **where**

```

  <lexord-eq [] - = True> |
  <lexord-eq (x # xs) (y # ys) = (x < y ∨ (x = y ∧ lexord-eq xs ys))> |
  <lexord-eq - - = False>

```

**lemma** [*simp*]:

```

  <lexord-eq [] [] = True>

```

```

⟨lexord-eq (a # b) [] = False⟩
⟨lexord-eq [] (a # b) = True⟩
apply auto
done

```

```

lemma var-order-rel':
  ⟨(≤) = (λx y. x = y ∨ (x,y) ∈ var-order-rel)⟩
by (intro ext)
  (auto simp add: less-list-def less-eq-list-def
    lexordp-eq-conv-lexord lexordp-def var-order-rel-def
    lexordp-conv-lexord p2rel-def)

```

```

lemma var-order-rel'':
  ⟨(x,y) ∈ var-order-rel ⟷ x < y⟩
by (metis leD less-than-char-linear lexord-linear neq-iff var-order-rel' var-order-rel-antisym var-order-rel-def)

```

```

lemma lexord-eq-alt-def1:
  ⟨a ≤ b = lexord-eq a b⟩ for a b :: ⟨String.literal list⟩
unfolding le-term-order-rel'
apply (induction a b rule: lexord-eq.induct)
apply (auto simp: var-order-rel'' less-eq-list-def)
done

```

```

lemma lexord-eq-alt-def2:
  ⟨(RETURN oo lexord-eq) xs ys =
    RECT (λf (xs, ys).
      case (xs, ys) of
        ([], -) ⇒ RETURN True
      | (x # xs, y # ys) ⇒
        if x < y then RETURN True
        else if x = y then f (xs, ys) else RETURN False
      | - ⇒ RETURN False)
    (xs, ys)⟩
apply (subst eq-commute)
apply (induction xs ys rule: lexord-eq.induct)
subgoal by (subst RECT-unfold, refine-mono) auto
subgoal by (subst RECT-unfold, refine-mono) auto
subgoal by (subst RECT-unfold, refine-mono) auto
done

```

```

definition var-order' where
  [simp]: ⟨var-order' = var-order⟩

```

```

lemma var-order-rel[def-pat-rules]:
  ⟨(∈)$(x,y)$var-order-rel ≡ var-order'$(x,y)$⟩
by (auto simp: p2rel-def rel2p-def)

```

```

lemma var-order-rel-alt-def:
  ⟨var-order-rel = p2rel char.lexordp⟩
apply (auto simp: p2rel-def char.lexordp-conv-lexord var-order-rel-def)
using char.lexordp-conv-lexord apply auto
done

```



**lemma** *var-order-rel-var-order*:  
 $\langle (x, y) \in \text{var-order-rel} \longleftrightarrow \text{var-order } x \ y \rangle$   
**by** (*auto simp: rel2p-def*)

**lemma** *var-order-string-le*[*sepref-import-param*]:  
 $\langle ((<), \text{var-order}') \in \text{string-rel} \rightarrow \text{string-rel} \rightarrow \text{bool-rel} \rangle$   
**apply** (*auto intro!: freI simp: string-rel-def String.less-literal-def*  
*rel2p-def linorder.lexordp-conv-lexord[OF char.linorder-axioms,*  
*unfolded less-eq-char-def] var-order-rel-def*  
*p2rel-def*  
*simp flip: PAC-Polynomials-Term.less-char-def*)  
**using** *char.lexordp-conv-lexord* **apply** *auto*  
**done**

**lemma** [*sepref-import-param*]:  
 $\langle (\leq), (\leq) \rangle \in \text{monom-rel} \rightarrow \text{monom-rel} \rightarrow \text{bool-rel}$   
**apply** (*intro fun-relI*)  
**using** *list-rel-list-rel-order-iff* **by** *fastforce*

**lemma** [*sepref-import-param*]:  
 $\langle (<), (<) \rangle \in \text{string-rel} \rightarrow \text{string-rel} \rightarrow \text{bool-rel}$   
**unfolding** *string-rel-def less-literal.rep-eq less-than-char-def*  
*less-eq-list-def PAC-Polynomials-Term.less-char-def[symmetric]*  
**apply** (*intro fun-relI*)  
**apply** (*auto simp: string-rel-def less-literal.rep-eq PAC-Polynomials-Term.less-char-def*  
*less-list-def char.lexordp-conv-lexord lexordp-eq-refl*  
*lexord-code lexordp-eq-conv-lexord less-char-def[abs-def]*)  
**apply** (*metis PAC-Checker-Relation.less-char-def char.lexordp-conv-lexord less-list-def p2rel-def var-order-rel''*  
*var-order-rel-def*)  
**apply** (*metis PAC-Checker-Relation.less-char-def char.lexordp-conv-lexord less-list-def p2rel-def var-order-rel''*  
*var-order-rel-def*)  
**done**

**lemma** [*sepref-import-param*]:  
 $\langle (\leq), (\leq) \rangle \in \text{string-rel} \rightarrow \text{string-rel} \rightarrow \text{bool-rel}$   
**unfolding** *string-rel-def less-eq-literal.rep-eq less-than-char-def*  
*less-eq-list-def PAC-Polynomials-Term.less-char-def[symmetric]*  
**by** (*intro fun-relI*)  
*(auto simp: string-rel-def less-eq-literal.rep-eq less-than-char-def*  
*less-eq-list-def char.lexordp-eq-conv-lexord lexordp-eq-refl*  
*lexord-code lexordp-eq-conv-lexord*  
*simp flip: less-char-def[abs-def])*

**sepref-register** *lexord-eq*  
**sepref-definition** *lexord-eq-term*  
**is**  $\langle \text{uncurry } (\text{RETURN } \text{oo } \text{lexord-eq}) \rangle$   
 $:: \langle \text{monom-assn}^k *_{\alpha} \text{monom-assn}^k \rightarrow_{\alpha} \text{bool-assn} \rangle$   
**supply**[[*goals-limit=1*]]  
**unfolding** *lexord-eq-alt-def2*  
**by** *sepref*

**declare** *lexord-eq-term.refine*[*sepref-fr-rules*]

**lemmas** [*code del*] = *msort-poly-impl-def msort-monoms-impl-def*

```

lemmas [code] =
  msort-poly-impl-def[unfolded lexord-eq-alt-def1[abs-def]]
  msort-monomys-impl-def[unfolded msort-msort2]

lemma term-order-rel-trans:
  ⟨
    (a, aa) ∈ term-order-rel ⟹
    (aa, ab) ∈ term-order-rel ⟹ (a, ab) ∈ term-order-rel
  ⟩
  by (metis PAC-Checker-Relation.less-char-def p2rel-def string-list-trans var-order-rel-def)

lemma merge-sort-poly-sort-poly-spec:
  ⟨(RETURN o merge-sort-poly, sort-poly-spec) ∈ ⟨Id⟩list-rel →f ⟨⟨Id⟩list-rel⟩nres-rel⟩
  unfolding sort-poly-spec-def merge-sort-poly-def
  apply (intro frefI nres-relI)
  using total-on-lexord-less-than-char-linear var-order-rel-def
  by (auto intro!: sorted-msort simp: sorted-wrt-map rel2p-def
    le-term-order-rel' transp-def dest: term-order-rel-trans)

lemma msort-alt-def:
  ⟨RETURN o (msort f) =
    RECT (λg xs.
      case xs of
        [] ⇒ RETURN []
      | [x] ⇒ RETURN [x]
      | - ⇒ do {
        a ← g (take (size xs div 2) xs);
        b ← g (drop (size xs div 2) xs);
        RETURN (merge f a b)}⟩
  ⟩
  apply (intro ext)
  unfolding comp-def
  apply (induct-tac f x rule: msort.induct)
  subgoal by (subst RECT-unfold, refine-mono) auto
  subgoal by (subst RECT-unfold, refine-mono) auto
  subgoal
    by (subst RECT-unfold, refine-mono)
    (smt let-to-bind-conv list.simps(5) msort.simps(3))
  done

lemma monomial-rel-order-map:
  ⟨(x, a, b) ∈ monomial-rel ⟹
    (y, aa, bb) ∈ monomial-rel ⟹
    fst x ≤ fst y ⟷ a ≤ aa⟩
  apply (cases x; cases y)
  apply auto
  using list-rel-list-rel-order-iff by fastforce+

lemma step-rewrite-pure:
  fixes K :: ⟨('olbl × 'lbl) set⟩
  shows
    ⟨pure (p2rel (⟨K, V, R⟩pac-step-rel-raw)) = pac-step-rel-assn (pure K) (pure V) (pure R)⟩
    ⟨monomial-assn = pure (monom-rel ×r int-rel)⟩ and
  poly-assn-list:
    ⟨poly-assn = pure (⟨monom-rel ×r int-rel⟩list-rel)⟩
  subgoal
    apply (intro ext)

```

```

  apply (case-tac x; case-tac xa)
  apply (auto simp: relAPP-def p2rel-def pure-def)
done
subgoal H
  apply (intro ext)
  apply (case-tac x; case-tac xa)
  by (simp add: list-assn-pure-conv)
subgoal
  unfolding H
  by (simp add: list-assn-pure-conv relAPP-def)
done

```

**lemma** *safe-pac-step-rel-assn[safe-constraint-rules]:*  
 $is\_pure\ K \implies is\_pure\ V \implies is\_pure\ R \implies is\_pure\ (pac\_step\_rel\_assn\ K\ V\ R)$   
 by (auto simp: step-rewrite-pure(1)[symmetric] is-pure-conv)

**lemma** *merge-poly-merge-poly:*  
 $\langle (merge\_poly, merge\_poly) \in poly\_rel \rightarrow poly\_rel \rightarrow poly\_rel \rangle$   
 unfolding merge-poly-def  
 apply (intro fun-relI)  
 subgoal for a a' aa a'a  
 apply (induction  $\langle (\lambda(a :: String.literal\ list \times int) (b :: String.literal\ list \times int). fst\ a \leq fst\ b) \rangle a\ aa$   
 arbitrary: a' a'a  
 rule: merge.induct)  
 subgoal  
 by (auto elim!: list-relE3 list-relE4 list-relE list-relE2  
 simp: monomial-rel-order-map)  
 subgoal  
 by (auto elim!: list-relE3 list-relE)  
 subgoal  
 by (auto elim!: list-relE3 list-relE4 list-relE list-relE2)  
 done  
done

**lemmas** [fcomp-norm-unfold] =  
 poly-assn-list[symmetric]  
 step-rewrite-pure(1)

**lemma** *merge-poly-merge-poly2:*  
 $\langle (a, b) \in poly\_rel \implies (a', b') \in poly\_rel \implies (merge\_poly\ a\ a', merge\_poly\ b\ b') \in poly\_rel \rangle$   
 using merge-poly-merge-poly  
 unfolding fun-rel-def  
 by auto

**lemma** *list-rel-takeD:*  
 $\langle (a, b) \in \langle R \rangle list\_rel \implies (n, n') \in Id \implies (take\ n\ a, take\ n'\ b) \in \langle R \rangle list\_rel \rangle$   
 by (simp add: list-rel-eq-listrel listrel-iff-nth relAPP-def)

**lemma** *list-rel-dropD:*  
 $\langle (a, b) \in \langle R \rangle list\_rel \implies (n, n') \in Id \implies (drop\ n\ a, drop\ n'\ b) \in \langle R \rangle list\_rel \rangle$   
 by (simp add: list-rel-eq-listrel listrel-iff-nth relAPP-def)

```

lemma merge-sort-poly[sepref-import-param]:
  ⟨(msort-poly-impl, merge-sort-poly)
    ∈ poly-rel → poly-rel⟩
  unfolding merge-sort-poly-def msort-poly-impl-def
  apply (intro fun-relI)
  subgoal for a a'
    apply (induction ⟨(λ(a :: String.literal list × int)
      (b :: String.literal list × int). fst a ≤ fst b)⟩ a
      arbitrary: a'
      rule: msort.induct)
    subgoal
      by auto
    subgoal
      by (auto elim!: list-relE3 list-relE)
    subgoal premises p
      using p
      by (auto elim!: list-relE3 list-relE4 list-relE list-relE2
        simp: merge-poly-def[symmetric]
        intro!: list-rel-takeD list-rel-dropD
        intro!: merge-poly-merge-poly2 p(1)[simplified] p(2)[simplified],
        auto simp: list-rel-imp-same-length)
    done
  done

```

**lemmas** [sepref-fr-rules] = merge-sort-poly[FCOMP merge-sort-poly-sort-poly-spec]

```

sepref-definition partition-main-poly-impl
  is ⟨uncurry2 partition-main-poly⟩
  :: ⟨nat-assnk *a nat-assnk *a poly-assnk →a prod-assn poly-assn nat-assn ⟩
  unfolding partition-main-poly-def partition-main-def
    term-order-rel'-def[symmetric]
    term-order-rel'-alt-def
    le-term-order-rel'
  by sepref

```

**declare** partition-main-poly-impl.refine[sepref-fr-rules]

```

sepref-definition partition-between-poly-impl
  is ⟨uncurry2 partition-between-poly⟩
  :: ⟨nat-assnk *a nat-assnk *a poly-assnk →a prod-assn poly-assn nat-assn ⟩
  unfolding partition-between-poly-def partition-between-ref-def
    partition-main-poly-def[symmetric]
  unfolding choose-pivot3-def
    term-order-rel'-def[symmetric]
    term-order-rel'-alt-def choose-pivot-def
    lexord-eq-alt-def1
  by sepref

```

**declare** partition-between-poly-impl.refine[sepref-fr-rules]

```

sepref-definition quicksort-poly-impl
  is ⟨uncurry2 quicksort-poly⟩

```

```

:: (nat-assnk *a nat-assnk *a poly-assnk →a poly-assn)
unfolding partition-main-poly-def quicksort-ref-def quicksort-poly-def
  partition-between-poly-def[symmetric]
by sepref

lemmas [sepref-fr-rules] = quicksort-poly-impl.refine

sepref-register quicksort-poly
sepref-definition full-quicksort-poly-impl
  is ⟨full-quicksort-poly⟩
  :: ⟨poly-assnk →a poly-assn⟩
  unfolding full-quicksort-poly-def full-quicksort-ref-def
    quicksort-poly-def[symmetric]
    le-term-order-rel'[symmetric]
    term-order-rel'-def[symmetric]
    List.null-def
  by sepref

lemmas sort-poly-spec-hnr =
  full-quicksort-poly-impl.refine[FCOMP full-quicksort-sort-poly-spec]

declare merge-coeffs-impl.refine[sepref-fr-rules]

sepref-definition normalize-poly-impl
  is ⟨normalize-poly⟩
  :: ⟨poly-assnk →a poly-assn⟩
  supply [[goals-limit=1]]
  unfolding normalize-poly-def
  by sepref

declare normalize-poly-impl.refine[sepref-fr-rules]

definition full-quicksort-vars where
  ⟨full-quicksort-vars = full-quicksort-ref (λx y. x = y ∨ (x, y) ∈ var-order-rel) id⟩

definition quicksort-vars:: ⟨nat ⇒ nat ⇒ string list ⇒ (string list) nres⟩ where
  ⟨quicksort-vars x y z = quicksort-ref (≤) id (x, y, z)⟩

definition partition-between-vars :: ⟨nat ⇒ nat ⇒ string list ⇒ (string list × nat) nres⟩ where
  ⟨partition-between-vars = partition-between-ref (≤) id⟩

definition partition-main-vars :: ⟨nat ⇒ nat ⇒ string list ⇒ (string list × nat) nres⟩ where
  ⟨partition-main-vars = partition-main (≤) id⟩

lemma total-on-lexord-less-than-char-linear2:
  ⟨xs ≠ ys ⇒ (xs, ys) ∉ lexord (less-than-char) ↔
    (ys, xs) ∈ lexord less-than-char⟩
  using lexord-linear[of ⟨less-than-char⟩ xs ys]
  using lexord-linear[of ⟨less-than-char⟩] less-than-char-linear
  apply (auto simp: Relation.total-on-def)
  using lexord-irrefl[OF irrefl-less-than-char]

```

```

    antisym-lexord[OF antisym-less-than-char irreft-less-than-char]
  apply (auto simp: antisym-def)
done

```

**lemma** *string-trans*:

```

  ⟨(xa, ya) ∈ lexord {(x::char, y::char). x < y} ⟹
  (ya, z) ∈ lexord {(x::char, y::char). x < y} ⟹
  (xa, z) ∈ lexord {(x::char, y::char). x < y} ⟩
by (smt less-char-def char.less-trans less-than-char-def lexord-partial-trans p2rel-def)

```

**lemma** *full-quicksort-sort-vars-spec*:

```

  ⟨(full-quicksort-vars, sort-coeff) ∈ ⟨Id⟩list-rel →f ⟨⟨Id⟩list-rel⟩nres-rel⟩

```

**proof** –

```

  have xs: ⟨(xs, xs) ∈ ⟨Id⟩list-rel⟩ and ⟨⟨Id⟩list-rel⟩ x = x for x xs

```

```

  by auto

```

**show** *?thesis*

```

  apply (intro frefI nres-relI)

```

```

  unfolding full-quicksort-vars-def

```

```

  apply (rule full-quicksort-ref-full-quicksort[THEN fref-to-Down-curry, THEN order-trans])

```

**subgoal**

```

  by (auto simp: rel2p-def var-order-rel-def p2rel-def Relation.total-on-def
    dest: string-trans)

```

**subgoal**

```

  using total-on-lexord-less-than-char-linear2[unfolded var-order-rel-def]

```

```

  apply (auto simp: rel2p-def var-order-rel-def p2rel-def Relation.total-on-def less-char-def)

```

**done**

**subgoal** *by fast*

```

  apply (rule xs)

```

```

  apply (subst down-eq-id-list-rel)

```

```

  unfolding sorted-wrt-map sort-coeff-def

```

```

  apply (rule full-quicksort-correct-sorted[where R = ⟨(λx y. x = y ∨ (x, y) ∈ var-order-rel)⟩ and h
    = ⟨id⟩,

```

```

    THEN order-trans])

```

**subgoal**

```

  by (auto simp: rel2p-def var-order-rel-def p2rel-def Relation.total-on-def dest: string-trans)

```

**subgoal** *for x y*

```

  using total-on-lexord-less-than-char-linear2[unfolded var-order-rel-def]

```

```

  by (auto simp: rel2p-def var-order-rel-def p2rel-def Relation.total-on-def
    less-char-def)

```

**subgoal**

```

  by (auto simp: rel2p-def p2rel-def rel2p-def[abs-def])

```

**done**

**qed**

**sempref-definition** *partition-main-vars-impl*

```

  is ⟨uncurry2 partition-main-vars⟩

```

```

  :: ⟨nat-assnk *a nat-assnk *a (monom-assn)k →a prod-assn (monom-assn) nat-assn⟩

```

**unfolding** *partition-main-vars-def partition-main-def*

```

  var-order-rel-var-order

```

```

  var-order'-def[symmetric]

```

```

  term-order-rel'-alt-def

```

```

  le-term-order-rel'

```

```

  id-apply

```

```

  by sempref

```

**declare** *partition-main-vars-impl.refine*[*sepref-fr-rules*]

**sepref-definition** *partition-between-vars-impl*

**is**  $\langle \text{uncurry2 } \text{partition-between-vars} \rangle$

**::**  $\langle \text{nat-assn}^k *_a \text{ nat-assn}^k *_a \text{ monom-assn}^k \rightarrow_a \text{ prod-assn monom-assn nat-assn} \rangle$

**unfolding** *partition-between-vars-def partition-between-ref-def*

*partition-main-vars-def*[*symmetric*]

**unfolding** *choose-pivot3-def*

*term-order-rel'-def*[*symmetric*]

*term-order-rel'-alt-def choose-pivot-def*

*le-term-order-rel' id-apply*

**by** *sepref*

**declare** *partition-between-vars-impl.refine*[*sepref-fr-rules*]

**sepref-definition** *quicksort-vars-impl*

**is**  $\langle \text{uncurry2 } \text{quicksort-vars} \rangle$

**::**  $\langle \text{nat-assn}^k *_a \text{ nat-assn}^k *_a \text{ monom-assn}^k \rightarrow_a \text{ monom-assn} \rangle$

**unfolding** *partition-main-vars-def quicksort-ref-def quicksort-vars-def*

*partition-between-vars-def*[*symmetric*]

**by** *sepref*

**lemmas** [*sepref-fr-rules*] = *quicksort-vars-impl.refine*

**sepref-register** *quicksort-vars*

**lemma** *le-var-order-rel*:

$\langle (\leq) = (\lambda x y. x = y \vee (x, y) \in \text{var-order-rel}) \rangle$

**by** (*intro ext*)

(*auto simp add: less-list-def less-eq-list-def rel2p-def*

*p2rel-def lexordp-conv-lexord p2rel-def var-order-rel-def*

*lexordp-eq-conv-lexord lexordp-def*)

**sepref-definition** *full-quicksort-vars-impl*

**is**  $\langle \text{full-quicksort-vars} \rangle$

**::**  $\langle \text{monom-assn}^k \rightarrow_a \text{ monom-assn} \rangle$

**unfolding** *full-quicksort-vars-def full-quicksort-ref-def*

*quicksort-vars-def*[*symmetric*]

*le-var-order-rel*[*symmetric*]

*term-order-rel'-def*[*symmetric*]

*List.null-def*

**by** *sepref*

**lemmas** *sort-vars-spec-hnr* =

*full-quicksort-vars-impl.refine*[*FCOMP full-quicksort-sort-vars-spec*]

**lemma** *string-rel-order-map*:

$\langle (x, a) \in \text{string-rel} \implies$

$(y, aa) \in \text{string-rel} \implies$

$x \leq y \iff a \leq aa \rangle$

**unfolding** *string-rel-def less-eq-literal.rep-eq less-than-char-def*

*less-eq-list-def PAC-Polynomials-Term.less-char-def*[*symmetric*]

```

by (auto simp: string-rel-def less-eq-literal.rep-eq less-than-char-def
  less-eq-list-def char.lexordp-eq-conv-lexord lexordp-eq-refl
  lexord-code lexordp-eq-conv-lexord
  simp flip: less-char-def[abs-def])

```

**lemma** *merge-monoms-merge-monoms*:

```

⟨(merge-monoms, merge-monoms) ∈ monom-rel → monom-rel → monom-rel⟩
  unfolding merge-monoms-def
  apply (intro fun-relI)
  subgoal for a a' aa a'a
    apply (induction ⟨(λ(a :: String.literal)
      (b :: String.literal). a ≤ b)⟩ a aa
      arbitrary: a' a'a
      rule: merge.induct)
    subgoal
      by (auto elim!: list-relE3 list-relE4 list-relE list-relE2
        simp: string-rel-order-map)
    subgoal
      by (auto elim!: list-relE3 list-relE)
    subgoal
      by (auto elim!: list-relE3 list-relE4 list-relE list-relE2)
    done
  done
done

```

**lemma** *merge-monoms-merge-monoms2*:

```

⟨(a, b) ∈ monom-rel ⟹ (a', b') ∈ monom-rel ⟹
  (merge-monoms a a', merge-monoms b b') ∈ monom-rel⟩
  using merge-monoms-merge-monoms
  unfolding fun-rel-def merge-monoms-def
  by auto

```

**lemma** *msort-monoms-impl*:

```

⟨(msort-monoms-impl, merge-monoms-poly)
  ∈ monom-rel → monom-rel⟩
  unfolding msort-monoms-impl-def merge-monoms-poly-def
  apply (intro fun-relI)
  subgoal for a a'
    apply (induction ⟨(λ(a :: String.literal)
      (b :: String.literal). a ≤ b)⟩ a
      arbitrary: a'
      rule: msort.induct)
    subgoal
      by auto
    subgoal
      by (auto elim!: list-relE3 list-relE)
    subgoal premises p
      using p
      by (auto elim!: list-relE3 list-relE4 list-relE list-relE2
        simp: merge-monoms-def[symmetric] intro!: list-rel-takeD list-rel-dropD
        intro!: merge-monoms-merge-monoms2 p(1)[simplified] p(2)[simplified])
        (simp-all add: list-rel-imp-same-length)
    done
  done
done

```



```

lemma merge-sort-monoms-sort-monoms-spec:
  ⟨(RETURN o merge-monoms-poly, sort-coeff) ∈ ⟨Id⟩list-rel →f ⟨⟨Id⟩list-rel⟩nres-rel⟩
  unfolding merge-monoms-poly-def sort-coeff-def
  by (intro freqI nres-relI)
    (auto intro!: sorted-msort simp: sorted-wrt-map rel2p-def
      le-term-order-rel' transp-def rel2p-def[abs-def]
      simp flip: le-var-order-rel)

sepref-register sort-coeff
lemma [sepref-fr-rules]:
  ⟨(return o msort-monoms-impl, sort-coeff) ∈ monom-assnk →a monom-assn⟩
  using msort-monoms-impl[sepref-param, FCOMP merge-sort-monoms-sort-monoms-spec]
  by auto

sepref-definition sort-all-coeffs-impl
  is ⟨sort-all-coeffs⟩
  :: ⟨poly-assnk →a poly-assn⟩
  unfolding sort-all-coeffs-def
    HOL-list.fold-custom-empty
  by sepref

declare sort-all-coeffs-impl.refine[sepref-fr-rules]

lemma merge-coeffs0-alt-def:
  ⟨(RETURN o merge-coeffs0) p =
    RECT(λf p.
      (case p of
        [] ⇒ RETURN []
      | [p] => if snd p = 0 then RETURN [] else RETURN [p]
      | ((xs, n) # (ys, m) # p) ⇒
        (if xs = ys
          then if n + m ≠ 0 then f ((xs, n + m) # p) else f p
          else if n = 0 then
            do {p ← f ((ys, m) # p);
              RETURN p}
          else do {p ← f ((ys, m) # p);
              RETURN ((xs, n) # p)})))
    p)
  apply (subst eq-commute)
  apply (induction p rule: merge-coeffs0.induct)
  subgoal by (subst RECT-unfold, refine-mono) auto
  subgoal by (subst RECT-unfold, refine-mono) auto
  subgoal by (subst RECT-unfold, refine-mono) (auto simp: let-to-bind-conv)
  done

```

Again, Sepref does not understand what is going here.

```

sepref-definition merge-coeffs0-impl
  is ⟨RETURN o merge-coeffs0⟩
  :: ⟨poly-assnk →a poly-assn⟩
  supply [[goals-limit=1]]
  unfolding merge-coeffs0-alt-def
    HOL-list.fold-custom-empty
  apply sepref-dbg-preproc
  apply sepref-dbg-cons-init
  apply sepref-dbg-id

```

```

apply sepref-dbg-monadify
apply sepref-dbg-opt-init
apply (rule WTF-RF | sepref-dbg-trans-step)+
apply sepref-dbg-opt
apply sepref-dbg-cons-solve
apply sepref-dbg-cons-solve
apply sepref-dbg-constraints
done

declare merge-coeffs0-impl.refine[sepref-fr-rules]

sepref-definition fully-normalize-poly-impl
  is  $\langle \text{full-normalize-poly} \rangle$ 
  ::  $\langle \text{poly-assn}^k \rightarrow_a \text{poly-assn} \rangle$ 
  supply [[goals-limit=1]]
  unfolding full-normalize-poly-def
  by sepref

declare fully-normalize-poly-impl.refine[sepref-fr-rules]

end

theory PAC-Version
  imports Main
begin

This code was taken from IsaFoR and adapted to git.

local-setup (
  let
    val version = 2020-AFP
  (* trim-line (#1 (Isabelle-System.bash-output (cd $ISAFOL/ && git rev-parse --short HEAD ||
echo unknown))) *)
  in
    Local-Theory.define
      ((binding  $\langle \text{version} \rangle$ , NoSyn),
       ((binding  $\langle \text{version-def} \rangle$ , []), HOLogic.mk-literal version)) #> #2
    end
)

declare version-def [code]

end

theory PAC-Checker-Synthesis
  imports PAC-Checker WB-Sort PAC-Checker-Relation
  PAC-Checker-Init More-Loops PAC-Version
begin

```

## 13 Code Synthesis of the Complete Checker

We here combine refine the full checker, using the initialisation provided in another file.

**abbreviation** *vars-assn* **where**

$\langle \text{vars-assn} \equiv \text{hs.assn string-assn} \rangle$

**fun** *vars-of-monom-in* **where**

$\langle \text{vars-of-monom-in } [] = \text{True} \rangle \mid$

$\langle \text{vars-of-monom-in } (x \# xs) \mathcal{V} \longleftrightarrow x \in \mathcal{V} \wedge \text{vars-of-monom-in } xs \mathcal{V} \rangle$

**fun** *vars-of-poly-in* **where**

$\langle \text{vars-of-poly-in } [] = \text{True} \rangle \mid$

$\langle \text{vars-of-poly-in } ((x, -) \# xs) \mathcal{V} \longleftrightarrow \text{vars-of-monom-in } x \mathcal{V} \wedge \text{vars-of-poly-in } xs \mathcal{V} \rangle$

**lemma** *vars-of-monom-in-alt-def*:

$\langle \text{vars-of-monom-in } xs \mathcal{V} \longleftrightarrow \text{set } xs \subseteq \mathcal{V} \rangle$

**by** (*induction xs*)

*auto*

**lemma** *vars-llist-alt-def*:

$\langle \text{vars-llist } xs \subseteq \mathcal{V} \longleftrightarrow \text{vars-of-poly-in } xs \mathcal{V} \rangle$

**by** (*induction xs*)

(*auto simp: vars-llist-def vars-of-monom-in-alt-def*)

**lemma** *vars-of-monom-in-alt-def2*:

$\langle \text{vars-of-monom-in } xs \mathcal{V} \longleftrightarrow \text{fold } (\lambda x b. b \wedge x \in \mathcal{V}) \ xs \ \text{True} \rangle$

**apply** (*subst foldr-fold[symmetric]*)

**subgoal by** *auto*

**subgoal by** (*induction xs*) *auto*

**done**

**sempref-definition** *vars-of-monom-in-impl*

**is**  $\langle \text{uncurry } (\text{RETURN } \text{oo } \text{vars-of-monom-in}) \rangle$

$:: \langle (\text{list-assn string-assn})^k *_a \text{vars-assn}^k \rightarrow_a \text{bool-assn} \rangle$

**unfolding** *vars-of-monom-in-alt-def2*

**by** *sempref*

**declare** *vars-of-monom-in-impl.refine[sempref-fr-rules]*

**lemma** *vars-of-poly-in-alt-def2*:

$\langle \text{vars-of-poly-in } xs \mathcal{V} \longleftrightarrow \text{fold } (\lambda(x, -) b. b \wedge \text{vars-of-monom-in } x \mathcal{V}) \ xs \ \text{True} \rangle$

**apply** (*subst foldr-fold[symmetric]*)

**subgoal by** *auto*

**subgoal by** (*induction xs*) *auto*

**done**

**sempref-definition** *vars-of-poly-in-impl*

**is**  $\langle \text{uncurry } (\text{RETURN } \text{oo } \text{vars-of-poly-in}) \rangle$

$:: \langle (\text{poly-assn})^k *_a \text{vars-assn}^k \rightarrow_a \text{bool-assn} \rangle$

**unfolding** *vars-of-poly-in-alt-def2*

**by** *sempref*

**declare** *vars-of-poly-in-impl.refine[sempref-fr-rules]*

**definition** *union-vars-monom*  $:: \langle \text{string list} \Rightarrow \text{string set} \Rightarrow \text{string set} \rangle$  **where**

$\langle \text{union-vars-monom } xs \mathcal{V} = \text{fold insert } xs \mathcal{V} \rangle$

**definition** *union-vars-poly* ::  $\langle \text{llist-polynomial} \Rightarrow \text{string set} \Rightarrow \text{string set} \rangle$  **where**  
 $\langle \text{union-vars-poly } xs \ \mathcal{V} = \text{fold } (\lambda(xs, -) \ \mathcal{V}. \text{union-vars-monom } xs \ \mathcal{V}) \ xs \ \mathcal{V} \rangle$

**lemma** *union-vars-monom-alt-def*:  
 $\langle \text{union-vars-monom } xs \ \mathcal{V} = \mathcal{V} \cup \text{set } xs \rangle$   
**unfolding** *union-vars-monom-def*  
**apply** (*subst foldr-fold[symmetric]*)  
**subgoal for**  $x \ y$   
**by** (*cases x; cases y*) *auto*  
**subgoal**  
**by** (*induction xs*) *auto*  
**done**

**lemma** *union-vars-poly-alt-def*:  
 $\langle \text{union-vars-poly } xs \ \mathcal{V} = \mathcal{V} \cup \text{vars-llist } xs \rangle$   
**unfolding** *union-vars-poly-def*  
**apply** (*subst foldr-fold[symmetric]*)  
**subgoal for**  $x \ y$   
**by** (*cases x; cases y*)  
*(auto simp: union-vars-monom-alt-def)*  
**subgoal**  
**by** (*induction xs*)  
*(auto simp: vars-llist-def union-vars-monom-alt-def)*  
**done**

**sempref-definition** *union-vars-monom-impl*  
**is**  $\langle \text{uncurry } (\text{RETURN } oo \text{ union-vars-monom}) \rangle$   
 $:: \langle \text{monom-assn}^k *_a \text{vars-assn}^d \rightarrow_a \text{vars-assn} \rangle$   
**unfolding** *union-vars-monom-def*  
**by** *sempref*

**declare** *union-vars-monom-impl.refine[sempref-fr-rules]*

**sempref-definition** *union-vars-poly-impl*  
**is**  $\langle \text{uncurry } (\text{RETURN } oo \text{ union-vars-poly}) \rangle$   
 $:: \langle \text{poly-assn}^k *_a \text{vars-assn}^d \rightarrow_a \text{vars-assn} \rangle$   
**unfolding** *union-vars-poly-def*  
**by** *sempref*

**declare** *union-vars-poly-impl.refine[sempref-fr-rules]*

**hide-const** (**open**) *Autoref-Fix-Rel.CONSTRAINT*

**fun** *status-assn* **where**  
 $\langle \text{status-assn } - \ \text{CSUCCESS } \text{CSUCCESS} = \text{emp} \rangle \mid$   
 $\langle \text{status-assn } - \ \text{CFOUND } \text{CFOUND} = \text{emp} \rangle \mid$   
 $\langle \text{status-assn } R \ (\text{CFAILED } a) \ (\text{CFAILED } b) = R \ a \ b \rangle \mid$   
 $\langle \text{status-assn } - \ - = \text{false} \rangle$

**lemma** *SUCCESS-hnr[sempref-fr-rules]*:  
 $\langle (\text{uncurry0 } (\text{return } \text{CSUCCESS}), \text{uncurry0 } (\text{RETURN } \text{CSUCCESS})) \in \text{unit-assn}^k \rightarrow_a \text{status-assn } R \rangle$   
**by** (*sempref-to-hoare*)  
*sep-auto*

**lemma** *FOUND-hnr*[*sepref-fr-rules*]:  
 $\langle (\text{uncurry0 } (\text{return } \text{CFOUND}), \text{uncurry0 } (\text{RETURN } \text{CFOUND})) \in \text{unit-assn}^k \rightarrow_a \text{status-assn } R \rangle$   
**by** (*sepref-to-hoare*)  
*sep-auto*

**lemma** *is-success-hnr*[*sepref-fr-rules*]:  
 $\langle \text{CONSTRAINT is-pure } R \Rightarrow$   
 $((\text{return } o \text{ is-cfound}), (\text{RETURN } o \text{ is-cfound})) \in (\text{status-assn } R)^k \rightarrow_a \text{bool-assn} \rangle$   
**apply** (*sepref-to-hoare*)  
**apply** (*rename-tac xi x; case-tac xi; case-tac x*)  
**apply** *sep-auto+*  
**done**

**lemma** *is-cfailed-hnr*[*sepref-fr-rules*]:  
 $\langle \text{CONSTRAINT is-pure } R \Rightarrow$   
 $((\text{return } o \text{ is-cfailed}), (\text{RETURN } o \text{ is-cfailed})) \in (\text{status-assn } R)^k \rightarrow_a \text{bool-assn} \rangle$   
**apply** (*sepref-to-hoare*)  
**apply** (*rename-tac xi x; case-tac xi; case-tac x*)  
**apply** *sep-auto+*  
**done**

**lemma** *merge-cstatus-hnr*[*sepref-fr-rules*]:  
 $\langle \text{CONSTRAINT is-pure } R \Rightarrow$   
 $(\text{uncurry } (\text{return } oo \text{ merge-cstatus}), \text{uncurry } (\text{RETURN } oo \text{ merge-cstatus})) \in$   
 $(\text{status-assn } R)^k *_a (\text{status-assn } R)^k \rightarrow_a \text{status-assn } R \rangle$   
**apply** (*sepref-to-hoare*)  
**by** (*case-tac b; case-tac bi; case-tac a; case-tac ai; sep-auto simp: is-pure-conv pure-app-eq*)

**sepref-definition** *add-poly-impl*  
**is**  $\langle \text{add-poly-l} \rangle$   
 $:: \langle (\text{poly-assn} \times_a \text{poly-assn})^k \rightarrow_a \text{poly-assn} \rangle$   
**supply**  $[[\text{goals-limit}=1]]$   
**unfolding** *add-poly-l-def*  
*HOL-list.fold-custom-empty*  
*term-order-rel'-def[symmetric]*  
*term-order-rel'-alt-def*  
**by** *sepref*

**declare** *add-poly-impl.refine*[*sepref-fr-rules*]

**sepref-register** *mult-monomials*

**lemma** *mult-monoms-alt-def*:  
 $\langle (\text{RETURN } oo \text{ mult-monoms}) x y = \text{REC}_T$   
 $(\lambda f (p, q).$   
 $\text{case } (p, q) \text{ of}$   
 $([], -) \Rightarrow \text{RETURN } q$   
 $| (-, []) \Rightarrow \text{RETURN } p$   
 $| (x \# p, y \# q) \Rightarrow$   
 $(\text{if } x = y \text{ then do } \{$   
 $\quad pq \leftarrow f (p, q);$   
 $\quad \text{RETURN } (x \# pq)\}$   
 $\text{else if } (x, y) \in \text{var-order-rel}$   
 $\text{then do } \{$

```

      pq ← f (p, y # q);
      RETURN (x # pq)}
    else do {
      pq ← f (x # p, q);
      RETURN (y # pq)}))
  (x, y)
apply (subst eq-commute)
apply (induction x y rule: mult-monoms.induct)
subgoal for p
  by (subst RECT-unfold, refine-mono) (auto split: list.splits)
subgoal for p
  by (subst RECT-unfold, refine-mono) (auto split: list.splits)
subgoal for x p y q
  by (subst RECT-unfold, refine-mono) (auto split: list.splits simp: let-to-bind-conv)
done

```

**sempref-definition** *mult-monoms-impl*

```

is ⟨uncurry (RETURN oo mult-monoms)⟩
:: ⟨(monom-assn)k *a (monom-assn)k →a (monom-assn)⟩
supply [[goals-limit=1]]
unfolding mult-poly-raw-def
  HOL-list.fold-custom-empty
  var-order'-def[symmetric]
  term-order-rel'-alt-def
  mult-monoms-alt-def
  var-order-rel-var-order
by sempref

```

**declare** *mult-monoms-impl.refine*[sempref-fr-rules]

**sempref-definition** *mult-monomials-impl*

```

is ⟨uncurry (RETURN oo mult-monomials)⟩
:: ⟨(monomial-assn)k *a (monomial-assn)k →a (monomial-assn)⟩
supply [[goals-limit=1]]
unfolding mult-monomials-def
  HOL-list.fold-custom-empty
  term-order-rel'-def[symmetric]
  term-order-rel'-alt-def
by sempref

```

**lemma** *map-append-alt-def2*:

```

⟨(RETURN o (map-append f b)) xs = RECT
  (λg xs. case xs of [] ⇒ RETURN b
    | x # xs ⇒ do {
      y ← g xs;
      RETURN (f x # y)
    }) xs⟩
apply (subst eq-commute)
apply (induction f b xs rule: map-append.induct)
subgoal by (subst RECT-unfold, refine-mono) auto
subgoal by (subst RECT-unfold, refine-mono) auto
done

```

**definition** *map-append-poly-mult* **where**  
 $\langle \text{map-append-poly-mult } x = \text{map-append } (\text{mult-monomials } x) \rangle$

**declare** *mult-monomials-impl.refine*[*sepref-fr-rules*]

**sepref-definition** *map-append-poly-mult-impl*  
**is**  $\langle \text{uncurry2 } (\text{RETURN } \text{ooo } \text{map-append-poly-mult}) \rangle$   
 $:: \langle \text{monomial-assn}^k *_a \text{poly-assn}^k *_a \text{poly-assn}^k \rightarrow_a \text{poly-assn} \rangle$   
**unfolding** *map-append-poly-mult-def*  
*map-append-alt-def2*  
**by** *sepref*

**declare** *map-append-poly-mult-impl.refine*[*sepref-fr-rules*]

TODO *foldl*  $(\lambda l \ x. \ l \ @ \ [?f \ x]) \ [] \ ?l = \text{map } ?f \ ?l$  is the worst possible implementation of *map*!

**sepref-definition** *mult-poly-raw-impl*  
**is**  $\langle \text{uncurry } (\text{RETURN } \text{oo } \text{mult-poly-raw}) \rangle$   
 $:: \langle \text{poly-assn}^k *_a \text{poly-assn}^k \rightarrow_a \text{poly-assn} \rangle$   
**supply**  $[[\text{goals-limit}=1]]$   
**supply**  $[[\text{eta-contract} = \text{false}, \text{show-abbrevs}=\text{false}]]$   
**unfolding** *mult-poly-raw-def*  
*HOL-list.fold-custom-empty*  
*term-order-rel'-def*[*symmetric*]  
*term-order-rel'-alt-def*  
*foldl-conv-fold*  
*fold-eq-nfoldli*  
*map-append-poly-mult-def*[*symmetric*]  
*map-append-alt-def*[*symmetric*]  
**by** *sepref*

**declare** *mult-poly-raw-impl.refine*[*sepref-fr-rules*]

**sepref-definition** *mult-poly-impl*  
**is**  $\langle \text{uncurry } \text{mult-poly-full} \rangle$   
 $:: \langle \text{poly-assn}^k *_a \text{poly-assn}^k \rightarrow_a \text{poly-assn} \rangle$   
**supply**  $[[\text{goals-limit}=1]]$   
**unfolding** *mult-poly-full-def*  
*HOL-list.fold-custom-empty*  
*term-order-rel'-def*[*symmetric*]  
*term-order-rel'-alt-def*  
**by** *sepref*

**declare** *mult-poly-impl.refine*[*sepref-fr-rules*]

**lemma** *inverse-monomial*:  
 $\langle \text{monom-rel}^{-1} \times_r \text{int-rel} = (\text{monom-rel} \times_r \text{int-rel})^{-1} \rangle$   
**by** (*auto*)

**lemma** *eq-poly-rel-eq*[*sepref-import-param*]:  
 $\langle ((=), (=)) \in \text{poly-rel} \rightarrow \text{poly-rel} \rightarrow \text{bool-rel} \rangle$   
**using** *list-rel-sv*[*of*  $\langle \text{monomial-rel} \rangle$ , *OF* *single-valued-monomial-rel*]  
**using** *list-rel-sv*[*OF* *single-valued-monomial-rel'*[*unfolded IS-LEFT-UNIQUE-def* *inv-list-rel-eq*]]  
**unfolding** *inv-list-rel-eq*[*symmetric*]

by (auto intro!: frefI simp:  
 rel2p-def single-valued-def p2rel-def  
 simp del: inv-list-rel-eq)

**sempref-definition** *weak-equality-l-impl*  
 is  $\langle \text{uncurry weak-equality-l} \rangle$   
 ::  $\langle \text{poly-assn}^k *_a \text{poly-assn}^k \rightarrow_a \text{bool-assn} \rangle$   
 supply  $[[\text{goals-limit}=1]]$   
 unfolding *weak-equality-l-def*  
 by *sempref*

**declare** *weak-equality-l-impl.refine[sempref-fr-rules]*  
**sempref-register** *add-poly-l mult-poly-full*

**abbreviation** *raw-string-assn* ::  $\langle \text{string} \Rightarrow \text{string} \Rightarrow \text{assn} \rangle$  **where**  
 $\langle \text{raw-string-assn} \equiv \text{list-assn id-assn} \rangle$

**definition** *show-nat* ::  $\langle \text{nat} \Rightarrow \text{string} \rangle$  **where**  
 $\langle \text{show-nat } i = \text{show } i \rangle$

**lemma** [*sempref-import-param*]:  
 $\langle (\text{show-nat}, \text{show-nat}) \in \text{nat-rel} \rightarrow \langle \text{Id} \rangle \text{list-rel} \rangle$   
 by (auto intro: fun-relI)

**lemma** *status-assn-pure-conv*:  
 $\langle \text{status-assn (id-assn) } a \ b = \text{id-assn } a \ b \rangle$   
 by (cases a; cases b)  
 (auto simp: pure-def)

**lemma** [*sempref-fr-rules*]:  
 $\langle (\text{uncurry3 } (\lambda x y. \text{return } oo (\text{error-msg-not-equal-dom } x \ y)), \text{uncurry3 check-not-equal-dom-err}) \in$   
 $\text{poly-assn}^k *_a \text{poly-assn}^k *_a \text{poly-assn}^k *_a \text{poly-assn}^k \rightarrow_a \text{raw-string-assn} \rangle$   
 unfolding *show-nat-def[symmetric]* *list-assn-pure-conv*  
*prod-assn-pure-conv* *check-not-equal-dom-err-def*  
 by (*sempref-to-hoare*; *sep-auto simp: error-msg-not-equal-dom-def*)

**lemma** [*sempref-fr-rules*]:  
 $\langle (\text{return } o (\text{error-msg-notin-dom } o \ \text{nat-of-uint64}), \text{RETURN } o \ \text{error-msg-notin-dom})$   
 $\in \text{uint64-nat-assn}^k \rightarrow_a \text{raw-string-assn} \rangle$   
 $\langle (\text{return } o (\text{error-msg-reused-dom } o \ \text{nat-of-uint64}), \text{RETURN } o \ \text{error-msg-reused-dom})$   
 $\in \text{uint64-nat-assn}^k \rightarrow_a \text{raw-string-assn} \rangle$   
 $\langle (\text{uncurry } (\text{return } oo (\lambda i. \text{error-msg } (\text{nat-of-uint64 } i))), \text{uncurry } (\text{RETURN } oo \ \text{error-msg}))$   
 $\in \text{uint64-nat-assn}^k *_a \text{raw-string-assn}^k \rightarrow_a \text{status-assn raw-string-assn} \rangle$   
 $\langle (\text{uncurry } (\text{return } oo \ \text{error-msg}), \text{uncurry } (\text{RETURN } oo \ \text{error-msg}))$   
 $\in \text{nat-assn}^k *_a \text{raw-string-assn}^k \rightarrow_a \text{status-assn raw-string-assn} \rangle$   
 unfolding *error-msg-notin-dom-def* *list-assn-pure-conv* *list-rel-id-simp*  
 unfolding *status-assn-pure-conv*  
 unfolding *show-nat-def[symmetric]*  
 by (*sempref-to-hoare*; *sep-auto simp: uint64-nat-rel-def br-def; fail*) +

**sempref-definition** *check-addition-l-impl*  
 is  $\langle \text{uncurry6 check-addition-l} \rangle$



```

:: ⟨poly-assnk *a polys-assnk *a vars-assnk *a uint64-nat-assnk *a uint64-nat-assnk *a
   uint64-nat-assnk *a poly-assnk →a status-assn raw-string-assn⟩
supply [[goals-limit=1]]
unfolding mult-poly-full-def
  HOL-list.fold-custom-empty
  term-order-rel'-def[symmetric]
  term-order-rel'-alt-def
  check-addition-l-def
  in-dom-m-lookup-iff
  fmlookup'-def[symmetric]
  vars-llist-alt-def
by sepref

declare check-addition-l-impl.refine[sepref-fr-rules]

sepref-register check-mult-l-dom-err

definition check-mult-l-dom-err-impl where
  ⟨check-mult-l-dom-err-impl pd p ia i =
    (if pd then "The polynomial with id " @ show (nat-of-uint64 p) @ " was not found" else "") @
    (if ia then "The id of the resulting id " @ show (nat-of-uint64 i) @ " was already given" else "")⟩

definition check-mult-l-mult-err-impl where
  ⟨check-mult-l-mult-err-impl p q pq r =
    "Multiplying " @ show p @ " by " @ show q @ " gives " @ show pq @ " and not " @ show r⟩

lemma [sepref-fr-rules]:
  ⟨(uncurry3 ((λx y. return oo (check-mult-l-dom-err-impl x y))),
    uncurry3 (check-mult-l-dom-err)) ∈ bool-assnk *a uint64-nat-assnk *a bool-assnk *a uint64-nat-assnk
  →a raw-string-assn⟩
  unfolding check-mult-l-dom-err-def check-mult-l-dom-err-impl-def list-assn-pure-conv
  apply sepref-to-hoare
  apply sep-auto
  done

lemma [sepref-fr-rules]:
  ⟨(uncurry3 ((λx y. return oo (check-mult-l-mult-err-impl x y))),
    uncurry3 (check-mult-l-mult-err)) ∈ poly-assnk *a poly-assnk *a poly-assnk *a poly-assnk →a raw-string-assn⟩
  unfolding check-mult-l-mult-err-def check-mult-l-mult-err-impl-def list-assn-pure-conv
  apply sepref-to-hoare
  apply sep-auto
  done

sepref-definition check-mult-l-impl
  is ⟨uncurry6 check-mult-l⟩
  :: ⟨poly-assnk *a polys-assnk *a vars-assnk *a uint64-nat-assnk *a poly-assnk *a uint64-nat-assnk *a
    poly-assnk →a status-assn raw-string-assn⟩
  supply [[goals-limit=1]]
  unfolding check-mult-l-def
    HOL-list.fold-custom-empty
    term-order-rel'-def[symmetric]
    term-order-rel'-alt-def
    in-dom-m-lookup-iff
    fmlookup'-def[symmetric]
    vars-llist-alt-def

```

by *sepref*

**declare** *check-mult-l-impl.refine*[*sepref-fr-rules*]

**definition** *check-ext-l-dom-err-impl* ::  $\langle \text{uint64} \Rightarrow \cdot \rangle$  **where**  
 $\langle \text{check-ext-l-dom-err-impl } p =$   
*"There is already a polynomial with index "* @ *show* (*nat-of-uint64* *p*) $\rangle$

**lemma** [*sepref-fr-rules*]:  
 $\langle (((\text{return } o \text{ (check-ext-l-dom-err-impl)})),$   
 $(\text{check-extension-l-dom-err})) \in \text{uint64-nat-assn}^k \rightarrow_a \text{raw-string-assn} \rangle$   
**unfolding** *check-extension-l-dom-err-def* *check-ext-l-dom-err-impl-def* *list-assn-pure-conv*  
**apply** *sepref-to-hoare*  
**apply** *sep-auto*  
**done**

**definition** *check-extension-l-no-new-var-err-impl* ::  $\langle \cdot \Rightarrow \cdot \rangle$  **where**  
 $\langle \text{check-extension-l-no-new-var-err-impl } p =$   
*"No new variable could be found in polynomial "* @ *show* *p* $\rangle$

**lemma** [*sepref-fr-rules*]:  
 $\langle (((\text{return } o \text{ (check-extension-l-no-new-var-err-impl)})),$   
 $(\text{check-extension-l-no-new-var-err})) \in \text{poly-assn}^k \rightarrow_a \text{raw-string-assn} \rangle$   
**unfolding** *check-extension-l-no-new-var-err-impl-def* *check-extension-l-no-new-var-err-def*  
*list-assn-pure-conv*  
**apply** *sepref-to-hoare*  
**apply** *sep-auto*  
**done**

**definition** *check-extension-l-side-cond-err-impl* ::  $\langle \cdot \Rightarrow \cdot \rangle$  **where**  
 $\langle \text{check-extension-l-side-cond-err-impl } v \text{ } p \text{ } r \text{ } s =$   
*"Error while checking side conditions of extensions polynow, var is "* @ *show* *v* @  
*" polynomial is "* @ *show* *p* @ *"side condition p\*p - p = "* @ *show* *s* @ *" and should be 0"* $\rangle$

**lemma** [*sepref-fr-rules*]:  
 $\langle ((\text{uncurry3 } (\lambda x y. \text{return } oo \text{ (check-extension-l-side-cond-err-impl } x \text{ } y))),$   
 $\text{uncurry3 } (\text{check-extension-l-side-cond-err})) \in \text{string-assn}^k *_a \text{poly-assn}^k *_a \text{poly-assn}^k *_a \text{poly-assn}^k$   
 $\rightarrow_a \text{raw-string-assn} \rangle$   
**unfolding** *check-extension-l-side-cond-err-impl-def* *check-extension-l-side-cond-err-def*  
*list-assn-pure-conv*  
**apply** *sepref-to-hoare*  
**apply** *sep-auto*  
**done**

**definition** *check-extension-l-new-var-multiple-err-impl* ::  $\langle \cdot \Rightarrow \cdot \rangle$  **where**  
 $\langle \text{check-extension-l-new-var-multiple-err-impl } v \text{ } p =$   
*"Error while checking side conditions of extensions polynow, var is "* @ *show* *v* @  
*" but it either appears at least once in the polynomial or another new variable is created "* @  
*show* *p* @ *" but should not."* $\rangle$

**lemma** [*sepref-fr-rules*]:  
 $\langle ((\text{uncurry } (\text{return } oo \text{ (check-extension-l-new-var-multiple-err-impl)})),$   
 $\text{uncurry } (\text{check-extension-l-new-var-multiple-err})) \in \text{string-assn}^k *_a \text{poly-assn}^k \rightarrow_a \text{raw-string-assn} \rangle$   
**unfolding** *check-extension-l-new-var-multiple-err-impl-def*

```

    check-extension-l-new-var-multiple-err-def
    list-assn-pure-conv
apply sepref-to-hoare
apply sep-auto
done

```

```

sepref-register check-extension-l-dom-err fmlookup'
    check-extension-l-side-cond-err check-extension-l-no-new-var-err
    check-extension-l-new-var-multiple-err

```

**definition** *uminus-poly* ::  $\langle \text{l-list-polynomial} \Rightarrow \text{l-list-polynomial} \rangle$  **where**  
 $\langle \text{uminus-poly } p' = \text{map } (\lambda(a, b). (a, - b)) \ p' \rangle$

```

sepref-register uminus-poly
lemma [sepref-import-param]:
   $\langle (\text{map } (\lambda(a, b). (a, - b)), \text{uminus-poly}) \in \text{poly-rel} \rightarrow \text{poly-rel} \rangle$ 
unfolding uminus-poly-def
apply (intro fun-relI)
subgoal for p p'
  by (induction p p' rule: list-rel-induct)
    auto
done

```

```

sepref-register vars-of-poly-in
    weak-equality-l

```

```

lemma [safe-constraint-rules]:
   $\langle \text{Sepref-Constraints.CONSTRAINT single-valued (the-pure monomial-assn)} \rangle$  and
  single-valued-the-monomial-assn:
     $\langle \text{single-valued (the-pure monomial-assn)} \rangle$ 
     $\langle \text{single-valued } ((\text{the-pure monomial-assn})^{-1}) \rangle$ 
unfolding IS-LEFT-UNIQUE-def[symmetric]
by (auto simp: step-rewrite-pure single-valued-monomial-rel single-valued-monomial-rel' Sepref-Constraints.CONSTRAINT)

```

```

sepref-definition check-extension-l-impl
is  $\langle \text{uncurry5 check-extension-l} \rangle$ 
::  $\langle \text{poly-assn}^k *_a \text{polys-assn}^k *_a \text{vars-assn}^k *_a \text{uint64-nat-assn}^k *_a \text{string-assn}^k *_a \text{poly-assn}^k \rightarrow_a$ 
    status-assn raw-string-assn  $\rangle$ 
supply option.splits[split] single-valued-the-monomial-assn[simp]
supply [[goals-limit=1]]
unfolding
  HOL-list.fold-custom-empty
  term-order-rel'-def[symmetric]
  term-order-rel'-alt-def
  in-dom-m-lookup-iff
  fmlookup'-def[symmetric]
  vars-l-list-alt-def
  check-extension-l-def
  not-not
  option.case-eq-if
  uminus-poly-def[symmetric]
  HOL-list.fold-custom-empty
by sepref

```

**declare** *check-extension-l-impl.refine*[sepref-fr-rules]

**sepref-definition** *check-del-l-impl*

**is**  $\langle \text{uncurry2 } \text{check-del-l} \rangle$   
 $:: \langle \text{poly-assn}^k *_{\text{a}} \text{polys-assn}^k *_{\text{a}} \text{uint64-nat-assn}^k \rightarrow_{\text{a}} \text{status-assn raw-string-assn} \rangle$   
**supply** [[goals-limit=1]]  
**unfolding** *check-del-l-def*  
*in-dom-m-lookup-iff*  
*fmlookup'-def*[symmetric]  
**by** *sepref*

**lemmas** [sepref-fr-rules] = *check-del-l-impl.refine*

**abbreviation** *pac-step-rel* **where**

$\langle \text{pac-step-rel} \equiv \text{p2rel } (\langle \text{Id}, \langle \text{monomial-rel} \rangle \text{list-rel}, \text{Id} \rangle \text{ pac-step-rel-raw}) \rangle$

**sepref-register** *PAC-Polynomials-Operations.normalize-poly*

*pac-src1 pac-src2 new-id pac-mult case-pac-step check-mult-l*  
*check-addition-l check-del-l check-extension-l*

**lemma** *pac-step-rel-assn-alt-def2*:

$\langle \text{hn-ctxt } (\text{pac-step-rel-assn nat-assn poly-assn id-assn}) \text{ b bi} =$   
 $\text{hn-val}$   
 $(\text{p2rel}$   
 $((\text{nat-rel}, \text{poly-rel}, \text{Id} :: (\text{string} \times -) \text{ set}) \text{ pac-step-rel-raw})) \text{ b bi} \rangle$   
**unfolding** *poly-assn-list hn-ctxt-def*  
**by** (*induction nat-assn poly-assn id-assn :: string  $\Rightarrow$   $\rightarrow$  b bi rule: pac-step-rel-assn.induct*)  
*(auto simp: p2rel-def hn-val-unfold pac-step-rel-raw.simps relAPP-def*  
*pure-app-eq)*

**lemma** *is-AddD-import*[sepref-fr-rules]:

**assumes**  $\langle \text{CONSTRAINT is-pure } K \rangle \langle \text{CONSTRAINT is-pure } V \rangle$

**shows**

$\langle (\text{return } o \text{ pac-res}, \text{RETURN } o \text{ pac-res}) \in [\lambda x. \text{is-Add } x \vee \text{is-Mult } x \vee \text{is-Extension } x]_a$   
 $(\text{pac-step-rel-assn } K \text{ V } R)^k \rightarrow V \rangle$   
 $\langle (\text{return } o \text{ pac-src1}, \text{RETURN } o \text{ pac-src1}) \in [\lambda x. \text{is-Add } x \vee \text{is-Mult } x \vee \text{is-Del } x]_a (\text{pac-step-rel-assn}$   
 $K \text{ V } R)^k \rightarrow K \rangle$   
 $\langle (\text{return } o \text{ new-id}, \text{RETURN } o \text{ new-id}) \in [\lambda x. \text{is-Add } x \vee \text{is-Mult } x \vee \text{is-Extension } x]_a (\text{pac-step-rel-assn}$   
 $K \text{ V } R)^k \rightarrow K \rangle$   
 $\langle (\text{return } o \text{ is-Add}, \text{RETURN } o \text{ is-Add}) \in (\text{pac-step-rel-assn } K \text{ V } R)^k \rightarrow_{\text{a}} \text{bool-assn} \rangle$   
 $\langle (\text{return } o \text{ is-Mult}, \text{RETURN } o \text{ is-Mult}) \in (\text{pac-step-rel-assn } K \text{ V } R)^k \rightarrow_{\text{a}} \text{bool-assn} \rangle$   
 $\langle (\text{return } o \text{ is-Del}, \text{RETURN } o \text{ is-Del}) \in (\text{pac-step-rel-assn } K \text{ V } R)^k \rightarrow_{\text{a}} \text{bool-assn} \rangle$   
 $\langle (\text{return } o \text{ is-Extension}, \text{RETURN } o \text{ is-Extension}) \in (\text{pac-step-rel-assn } K \text{ V } R)^k \rightarrow_{\text{a}} \text{bool-assn} \rangle$   
**using** *assms*  
**by** (*sepref-to-hoare; sep-auto simp: pac-step-rel-assn-alt-def is-pure-conv ent-true-drop pure-app-eq*  
*split: pac-step.splits; fail*) $+$

**lemma** [sepref-fr-rules]:

$\langle \text{CONSTRAINT is-pure } K \Rightarrow$   
 $(\text{return } o \text{ pac-src2}, \text{RETURN } o \text{ pac-src2}) \in [\lambda x. \text{is-Add } x]_a (\text{pac-step-rel-assn } K \text{ V } R)^k \rightarrow K \rangle$   
 $\langle \text{CONSTRAINT is-pure } V \Rightarrow$   
 $(\text{return } o \text{ pac-mult}, \text{RETURN } o \text{ pac-mult}) \in [\lambda x. \text{is-Mult } x]_a (\text{pac-step-rel-assn } K \text{ V } R)^k \rightarrow V \rangle$   
 $\langle \text{CONSTRAINT is-pure } R \Rightarrow$

(*return o new-var*, *RETURN o new-var*)  $\in [\lambda x. \text{is-Extension } x]_a (\text{pac-step-rel-assn } K \ V \ R)^k \rightarrow R$   
**by** (*sepref-to-hoare*; *sep-auto simp: pac-step-rel-assn-alt-def is-pure-conv ent-true-drop pure-app-eq*  
*split: pac-step.splits; fail*) $+$

**lemma** *is-Mult-lastI*:

$\langle \neg \text{is-Add } b \implies \neg \text{is-Mult } b \implies \neg \text{is-Extension } b \implies \text{is-Del } b \rangle$   
**by** (*cases b*) *auto*

**sepref-register** *is-cfailed is-Del*

**definition** *PAC-checker-l-step'* :: - **where**

$\langle \text{PAC-checker-l-step}' \ a \ b \ c \ d = \text{PAC-checker-l-step } a \ (b, c, d) \rangle$

**lemma** *PAC-checker-l-step-alt-def*:

$\langle \text{PAC-checker-l-step } a \ bcd \ e = (\text{let } (b, c, d) = bcd \text{ in } \text{PAC-checker-l-step}' \ a \ b \ c \ d \ e) \rangle$   
**unfolding** *PAC-checker-l-step'-def* **by** *auto*

**sepref-decl-intf** (*'k*) *acode-status is ('k) code-status*

**sepref-decl-intf** (*'k, 'b, 'lbl*) *apac-step is ('k, 'b, 'lbl) pac-step*

**sepref-register** *merge-cstatus full-normalize-poly new-var is-Add*

**lemma** *poly-rel-the-pure*:

$\langle \text{poly-rel} = \text{the-pure poly-assn} \rangle$  **and**  
*nat-rel-the-pure*:  
 $\langle \text{nat-rel} = \text{the-pure nat-assn} \rangle$  **and**  
*WTF-RF*:  $\langle \text{pure } (\text{the-pure nat-assn}) = \text{nat-assn} \rangle$   
**unfolding** *poly-assn-list*  
**by** *auto*

**lemma** [*safe-constraint-rules*]:

$\langle \text{CONSTRAINT IS-LEFT-UNIQUE uint64-nat-rel} \rangle$  **and**  
*single-valued-uint64-nat-rel*[*safe-constraint-rules*]:  
 $\langle \text{CONSTRAINT single-valued uint64-nat-rel} \rangle$   
**by** (*auto simp: IS-LEFT-UNIQUE-def single-valued-def uint64-nat-rel-def br-def*)

**sepref-definition** *check-step-impl*

**is**  $\langle \text{uncurry4 } \text{PAC-checker-l-step}' \rangle$   
 $:: \langle \text{poly-assn}^k *_a (\text{status-assn raw-string-assn})^d *_a \text{vars-assn}^d *_a \text{polys-assn}^d *_a (\text{pac-step-rel-assn}$   
 $(\text{uint64-nat-assn}) \text{poly-assn } (\text{string-assn} :: \text{string} \Rightarrow -))^d \rightarrow_a$   
 $\text{status-assn raw-string-assn} \times_a \text{vars-assn} \times_a \text{polys-assn} \rangle$   
**supply** [*goals-limit=1*] *is-Mult-lastI*[*intro*] *single-valued-uint64-nat-rel*[*simp*]  
**unfolding** *PAC-checker-l-step-def PAC-checker-l-step'-def*  
*pac-step.case-eq-if Let-def*  
*is-success-alt-def*[*symmetric*]  
*uminus-poly-def*[*symmetric*]  
*HOL-list.fold-custom-empty*  
**by** *sepref*

**declare** *check-step-impl.refine*[*sepref-fr-rules*]

**sepref-register** *PAC-checker-l-step PAC-checker-l-step' fully-normalize-poly-impl*

**definition** *PAC-checker-l'* **where**

$\langle \text{PAC-checker-l}' p \mathcal{V} A \text{ status steps} = \text{PAC-checker-l } p (\mathcal{V}, A) \text{ status steps} \rangle$

**lemma** *PAC-checker-l-alt-def*:

$\langle \text{PAC-checker-l } p \mathcal{V} A \text{ status steps} =$   
 $(\text{let } (\mathcal{V}, A) = \mathcal{V} A \text{ in PAC-checker-l}' p \mathcal{V} A \text{ status steps}) \rangle$   
**unfolding** *PAC-checker-l'-def* **by** *auto*

**sempref-definition** *PAC-checker-l-impl*

**is**  $\langle \text{uncurry4 PAC-checker-l}' \rangle$   
 $:: \langle \text{poly-assn}^k *_a \text{vars-assn}^d *_a \text{polys-assn}^d *_a (\text{status-assn raw-string-assn})^d *_a$   
 $(\text{list-assn } (\text{pac-step-rel-assn } (\text{uint64-nat-assn}) \text{poly-assn string-assn}))^k \rightarrow_a$   
 $\text{status-assn raw-string-assn} \times_a \text{vars-assn} \times_a \text{polys-assn} \rangle$   
**supply**  $[[\text{goals-limit}=1]]$  *is-Mult-lastI*[*intro*]  
**unfolding** *PAC-checker-l-def is-success-alt-def*[*symmetric*] *PAC-checker-l-step-alt-def*  
 $\text{nres-bind-let-law}$ [*symmetric*] *PAC-checker-l'-def*  
**apply**  $(\text{subst nres-bind-let-law})$   
**by** *sempref*

**declare** *PAC-checker-l-impl.refine*[*sempref-fr-rules*]

**abbreviation** *polys-assn-input* **where**

$\langle \text{polys-assn-input} \equiv \text{iam-fmap-assn nat-assn poly-assn} \rangle$

**definition** *remap-polys-l-dom-err-impl*  $:: (-) \text{ where}$

$\langle \text{remap-polys-l-dom-err-impl} =$   
 $"\text{Error during initialisation. Too many polynomials where provided. If this happens,}" @$   
 $"\text{please report the example to the authors, because something went wrong during}" @$   
 $"\text{code generation (code generation to arrays is likely to be broken).}" \rangle$

**lemma** [*sempref-fr-rules*]:

$\langle ((\text{uncurry0 } (\text{return } (\text{remap-polys-l-dom-err-impl}))),$   
 $\text{uncurry0 } (\text{remap-polys-l-dom-err})) \in \text{unit-assn}^k \rightarrow_a \text{raw-string-assn} \rangle$   
**unfolding** *remap-polys-l-dom-err-def*  
 $\text{remap-polys-l-dom-err-def}$   
 $\text{list-assn-pure-conv}$   
**by** *sempref-to-hoare sep-auto*

MLton is not able to optimise the calls to *pow*.

**lemma** *pow-2-64*:  $\langle (2::\text{nat}) \wedge 64 = 18446744073709551616 \rangle$

**by** *auto*

**sempref-register** *upper-bound-on-dom op-fmap-empty*

**sempref-definition** *remap-polys-l-impl*

**is**  $\langle \text{uncurry2 remap-polys-l2} \rangle$   
 $:: \langle \text{poly-assn}^k *_a \text{vars-assn}^d *_a \text{polys-assn-input}^d \rightarrow_a$   
 $\text{status-assn raw-string-assn} \times_a \text{vars-assn} \times_a \text{polys-assn} \rangle$   
**supply**  $[[\text{goals-limit}=1]]$  *is-Mult-lastI*[*intro*] *indom-mI*[*dest*]  
**unfolding** *remap-polys-l2-def op-fmap-empty-def*[*symmetric*] *while-eq-nfoldli*[*symmetric*]  
 $\text{while-upt-while-direct pow-2-64}$   
 $\text{in-dom-m-lookup-iff}$   
 $\text{fmlookup'-def}$ [*symmetric*]  
 $\text{union-vars-poly-alt-def}$ [*symmetric*]  
**apply**  $(\text{rewrite at } \langle \text{fmupd} \sqcap \rangle \text{uint64-of-nat-conv-def}[\text{symmetric}])$   
**apply**  $(\text{subst while-upt-while-direct})$

```

apply simp
apply (rewrite at ⟨op-fmap-empty⟩ annotate-assn[where A=⟨polys-assn⟩])
by sepref

```

```

lemma remap-polys-l2-remap-polys-l:
  ⟨(uncurry2 remap-polys-l2, uncurry2 remap-polys-l) ∈ (Id ×r ⟨Id⟩set-rel) ×r Id →f ⟨Id⟩nres-rel⟩
apply (intro frefI fun-relI nres-relI)
using remap-polys-l2-remap-polys-l by auto

```

```

lemma [sepref-fr-rules]:
  ⟨(uncurry2 remap-polys-l-impl,
    uncurry2 remap-polys-l) ∈ poly-assnk *a vars-assnd *a polys-assn-inputd →a
    status-assn raw-string-assn ×a vars-assn ×a polys-assn⟩
using hfcomp-tcomp-pre[OF remap-polys-l2-remap-polys-l remap-polys-l-impl.refine]
by (auto simp: hrp-comp-def hfprod-def)

```

```

sepref-register remap-polys-l

```

```

sepref-definition full-checker-l-impl
  is ⟨uncurry2 full-checker-l⟩
  :: ⟨poly-assnk *a polys-assn-inputd *a (list-assn (pac-step-rel-assn (uint64-nat-assn) poly-assn string-assn))k
  →a
    status-assn raw-string-assn ×a vars-assn ×a polys-assn⟩
  supply [[goals-limit=1]] is-Mult-lastI[intro]
  unfolding full-checker-l-def hs.fold-custom-empty
    union-vars-poly-alt-def[symmetric]
    PAC-checker-l-alt-def
  by sepref

```

```

sepref-definition PAC-update-impl
  is ⟨uncurry2 (RETURN ooo fmapd)⟩
  :: ⟨nat-assnk *a poly-assnk *a (polys-assn-input)d →a polys-assn-input⟩
  unfolding comp-def
  by sepref

```

```

sepref-definition PAC-empty-impl
  is ⟨uncurry0 (RETURN fmempty)⟩
  :: ⟨unit-assnk →a polys-assn-input⟩
  unfolding op-iam-fmap-empty-def[symmetric] pat-fmap-empty
  by sepref

```

```

sepref-definition empty-vars-impl
  is ⟨uncurry0 (RETURN { })⟩
  :: ⟨unit-assnk →a vars-assn⟩
  unfolding hs.fold-custom-empty
  by sepref

```

This is a hack for performance. There is no need to recheck that that a char is valid when working on chars coming from strings... It is not that important in most cases, but in our case the preformance difference is really large.

```

definition unsafe-asciis-of-literal :: ⟨-⟩ where
  ⟨unsafe-asciis-of-literal xs = String.asciis-of-literal xs⟩

```

```

definition unsafe-asciis-of-literal' :: ⟨-⟩ where
  [simp, symmetric, code]: ⟨unsafe-asciis-of-literal' = unsafe-asciis-of-literal⟩

```

### code-printing

```
constant unsafe-asciiis-of-literal'  $\rightarrow$   
  (SML)  $!(List.map (fn c => let val k = Char.ord c in IntInf.fromInt k end) /o String.explode)$ 
```

Now comes the big and ugly and unsafe hack.

Basically, we try to avoid the conversion to IntInf when calculating the hash. The performance gain is roughly 40%, which is a LOT and definitively something we need to do. We are aware that the SML semantic encourages compilers to optimise conversions, but this does not happen here, corroborating our early observation on the verified SAT solver IsaSAT.x

### definition raw-explode where

```
[simp]: (raw-explode = String.explode)
```

### code-printing

```
constant raw-explode  $\rightarrow$   
  (SML) String.explode
```

### definition (hashcode-literal' s $\equiv$

```
  foldl ( $\lambda h x. h * 33 + uint32-of-int (of-char x)$ ) 5381  
  (raw-explode s)
```

### lemmas [code] =

```
  hashcode-literal-def[unfolded String.explode-code  
    unsafe-asciiis-of-literal-def[symmetric]]
```

### definition uint32-of-char where

```
[symmetric, code-unfold]: (uint32-of-char x = uint32-of-int (int-of-char x))
```

### code-printing

```
constant uint32-of-char  $\rightarrow$   
  (SML)  $!(Word32.fromInt /o (Char.ord))$ 
```

### lemma [code]: (hashcode s = hashcode-literal' s)

```
unfolding hashcode-literal-def hashcode-list-def
```

```
apply (auto simp: unsafe-asciiis-of-literal-def hashcode-list-def  
  String.asciiis-of-literal-def hashcode-literal-def hashcode-literal'-def)
```

```
done
```

### export-code PAC-checker-l-impl PAC-update-impl PAC-empty-impl the-error is-cfailed is-cfound

```
  int-of-integer Del Add Mult nat-of-integer String.implode remap-polys-l-impl
```

```
  fully-normalize-poly-impl union-vars-poly-impl empty-vars-impl
```

```
  full-checker-l-impl check-step-impl CSUCCESS
```

```
  Extension hashcode-literal' version
```

```
in SML-imp module-name PAC-Checker
```

```
file-prefix checker
```

We compile the checker, but do not test it on an example.

### compile-generated-files -

#### external-files

```
  (code/parser.sml)
```

```
  (code/pasteque.sml)
```

```
  (code/pasteque.mlb)
```

```
where (fn dir =>
```

```
  let
```

```
    val exec = Generated-Files.execute (Path.append dir (Path.basic code));
```



```

val - = exec ⟨rename file⟩ mv checker.ML checker.sml
val - =
  exec ⟨Compilation⟩
    (File.bash-path path ⟨$ISABELLE-MLTON⟩ ^ ^
      -const 'MLton.safe false' -verbose 1 -default-type int64 -output pasteque ^
      -codegen native -inline 700 -cc-opt -O3 pasteque.mlb);
in () end

```

## 14 Correctness theorem

**context** *poly-embed*  
**begin**

**definition** *full-poly-assn* **where**  
 ⟨full-poly-assn = hr-comp poly-assn (fully-unsorted-poly-rel O mset-poly-rel)⟩

**definition** *full-poly-input-assn* **where**  
 ⟨full-poly-input-assn = hr-comp  
 (hr-comp polys-assn-input  
 ((nat-rel, fully-unsorted-poly-rel O mset-poly-rel) fmap-rel))  
 polys-rel⟩

**definition** *fully-pac-assn* **where**  
 ⟨fully-pac-assn = (list-assn  
 (hr-comp (pac-step-rel-assn uint64-nat-assn poly-assn string-assn)  
 (p2rel  
 (⟨nat-rel,  
 fully-unsorted-poly-rel O  
 mset-poly-rel, var-rel⟩ pac-step-rel-raw))))⟩

**definition** *code-status-assn* **where**  
 ⟨code-status-assn = hr-comp (status-assn raw-string-assn)  
 code-status-status-rel⟩

**definition** *full-vars-assn* **where**  
 ⟨full-vars-assn = hr-comp (hs.assn string-assn)  
 ((var-rel) set-rel)⟩

**lemma** *polys-rel-full-polys-rel*:  
 ⟨polys-rel-full = Id ×<sub>r</sub> polys-rel⟩  
**by** (auto simp: polys-rel-full-def)

**definition** *full-polys-assn* :: ⟨-⟩ **where**  
 ⟨full-polys-assn = hr-comp (hr-comp polys-assn  
 ((nat-rel,  
 sorted-poly-rel O mset-poly-rel) fmap-rel))  
 polys-rel⟩

Below is the full correctness theorems. It basically states that:

1. assuming that the input polynomials have no duplicate variables

Then:

1. if the checker returns *CFOUND*, the spec is in the ideal and the PAC file is correct

2. if the checker returns *CSUCCESS*, the PAC file is correct (but there is no information on the spec, aka checking failed)
3. if the checker return *CFAILED err*, then checking failed (and *err might* give you an indication of the error, but the correctness theorem does not say anything about that).

The input parameters are:

4. the specification polynomial represented as a list
5. the input polynomials as hash map (as an array of option polynomial)
6. a representation of the PAC proofs.

**lemma** *PAC-full-correctness*:

$$\begin{aligned} & \langle (\text{uncurry2 } \text{full-checker-l-impl}, \\ & \quad \text{uncurry2 } (\lambda \text{spec } A \text{ -. PAC-checker-specification spec } A)) \\ & \in (\text{full-poly-assn})^k *_a (\text{full-poly-input-assn})^d *_a (\text{fully-pac-assn})^k \rightarrow_a \text{hr-comp} \\ & \quad (\text{code-status-assn} \times_a \text{full-vars-assn} \times_a \text{hr-comp polys-assn} \\ & \quad \quad (\langle \text{nat-rel}, \text{sorted-poly-rel } O \text{ mset-poly-rel} \rangle \text{fmap-rel})) \\ & \quad \{((st, G), st', G'). \\ & \quad \quad st = st' \wedge (st \neq \text{FAILED} \longrightarrow (G, G') \in \text{Id} \times_r \text{polys-rel})\} \rangle \end{aligned}$$

**using**

$$\begin{aligned} & \text{full-checker-l-impl.refine}[\text{FCOMP full-checker-l-full-checker}', \\ & \quad \text{FCOMP full-checker-spec}', \\ & \quad \text{unfolded full-poly-assn-def[symmetric]} \\ & \quad \text{full-poly-input-assn-def[symmetric]} \\ & \quad \text{fully-pac-assn-def[symmetric]} \\ & \quad \text{code-status-assn-def[symmetric]} \\ & \quad \text{full-vars-assn-def[symmetric]} \\ & \quad \text{polys-rel-full-polys-rel} \\ & \quad \text{hr-comp-prod-conv} \\ & \quad \text{full-polys-assn-def[symmetric]}] \\ & \text{hr-comp-Id2} \end{aligned}$$

**by** *auto*

It would be more efficient to move the parsing to Isabelle, as this would be more memory efficient (and also reduce the TCB). But now comes the fun part: It cannot work. A stream (of a file) is consumed by side effects. Assume that this would work. The code could look like:

*Let (read-file file) f*

This code is equal to (in the HOL sense of equality): *let - = read-file file in Let (read-file file) f*  
 However, as an hypothetical *read-file* changes the underlying stream, we would get the next token. Remark that this is already a weird point of ML compilers. Anyway, I see currently two solutions to this problem:

1. The meta-argument: use it only in the Refinement Framework in a setup where copies are disallowed. Basically, this works because we can express the non-duplication constraints on the type level. However, we cannot forbid people from expressing things directly at the HOL level.
2. On the target language side, model the stream as the stream and the position. Reading takes two arguments. First, the position to read. Second, the stream (and the current position) to read. If the position to read does not match the current position, return an error. This would fit the correctness theorem of the code generation (roughly “if it terminates without exception, the answer is the same”), but it is still unsatisfactory.

**end**

**definition**  $\varphi :: \langle \text{string} \Rightarrow \text{nat} \rangle$  **where**  
 $\langle \varphi = (\text{SOME } \varphi. \text{bij } \varphi) \rangle$

**lemma**  $\text{bij-}\varphi$ :  $\langle \text{bij } \varphi \rangle$   
**using**  $\text{someI}[\text{of } \langle \lambda \varphi :: \text{string} \Rightarrow \text{nat}. \text{bij } \varphi \rangle]$   
**unfolding**  $\varphi\text{-def}[\text{symmetric}]$   
**using**  $\text{poly-embed-EX}$   
**by**  $\text{auto}$

**global-interpretation**  $\text{PAC}$ :  $\text{poly-embed}$  **where**

$\varphi = \varphi$   
**apply**  $\text{standard}$   
**apply**  $(\text{use } \text{bij-}\varphi \text{ in } \langle \text{auto simp: bij-def} \rangle)$   
**done**

The full correctness theorem is  $(\text{uncurry2 full-checker-l-impl}, \text{uncurry2 } (\lambda \text{spec } A -. \text{PAC-checker-specification spec } A)) \in \text{PAC.full-poly-assn}^k *_a \text{PAC.full-poly-input-assn}^d *_a \text{PAC.fully-pac-assn}^k \rightarrow_a \text{hr-comp}$   
 $(\text{PAC.code-status-assn} \times_a \text{PAC.full-vars-assn} \times_a \text{hr-comp polys-assn } (\langle \text{nat-rel}, \text{sorted-poly-rel} \rangle$   
 $O \text{ PAC.mset-poly-rel} \rangle \text{fmap-rel})) \{((st, G), st', G'). st = st' \wedge (st \neq \text{FAILED} \longrightarrow (G, G') \in$   
 $\text{Id} \times_r \text{polys-rel})\}$ .

**end**

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## References

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