

# Towards Evaluating Creativity in Language

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# Declaration

No portion of the work contained in this document has been submitted in support of an application for a degree or qualification of this or any other university or other institution of learning. All verbatim extracts have been distinguished by quotation marks, and all sources of information have been specifically acknowledged.

Signed:

Date: 2022

# Abstract

An expansion of the title and contraction of the thesis.

# Acknowledgements

Much stuff borrowed from elsewhere.

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# Abbreviations

**GPT** Generative Pre-trained Transformer.

**HPC** High Performance Cluster.

**LLM** Large Language Model.

**MGT** Machine-Generated Text.

**NLP** Natural Language Processing.

**POS** Part of Speech.



## Chapter 1

# Introduction

### 1.1 Inspiration

At the heart of the field of artificial intelligence is the concept of reproducing aspects defining human intelligence through rigorous examination and replication of the mechanisms that drive progress. This is a uniquely multi-faceted problem with a multitude of approaches, each tailored to a very specific aspect or manifestations of intelligence. Naturally, intelligence assumes following a logical pathway to arrive at sensible conclusions that interact with the real world beneficially. This can be viewed through the lens of methodical, defined process that always follows a certain formula. An organism, assumed to be intelligent, might always follow such formulaic actions given a set of prerequisite conditions to accomplish a defined goal. Certain schools of thought theorise that there is such an order in every little action, and such thread of logicity interweaves every law of nature, known or not. Then, follows the question, can we recognize and define such a thread for the ambitious field of creativity? We seek not to properly define or constrain the subject of creativity, rather, we explore markers of what could only be a subset of the very broad field of human creativity.

The subject of the present document is exclusively the study of linguistic creativity. Henceforth, we seek to: confirm prior results of the research of psycholinguistics, affirm that hypotheses and conclusions drawn from them correlate highly with certain manifestations or aspects of creativity, and make firm the subject of creativity, that is, provide tools that may be used for exploration and analysis of specific creative features found in text.

### 1.2 Motivation

Large language models (LLMs) are probabilistic models of language widely used for most tasks in the field of Natural Language Processing (NLP), ranging from machine translation, text classification, sentiment analysis, auto-completion, error correction, or even simple dialogue communication. However, because, fundamentally, LLMs operate on probabilities, a lot of the applications utilizing them tend to struggle with generating logically coherent novel sequences.

Large language models are usually trained on enormous language corpora, mined from books and articles (Gerlach and Font-Clos, 2018), social media posts (Derczynski et al., 2016), or otherwise internet crawls (Gao et al., 2021). Therefore, the underlying assumption would be that, in their attempt to replicate language, they could find some success at least in terms of generating basic structure in creative fields of work such as writing code (Chen et al., 2021) or screenplays (Mirowski et al., 2022), among others.

While LLMs display convincingly human-like text in such areas, we instead intend to examine their ability to produce specifically *creative* text. By its very nature, creativity tends to be a subjective matter, thus, we aim to identify specific aspects of creativity that can be more commonly found within creative text, such as poetry or short stories, as opposed to other less creatively-oriented genres, e.g. news articles or user manuals. Having identified specific linguistic markers within human-written creative texts, we can then begin to evaluate the extent to which LLMs exhibit these properties in generated text. This in turn has the potential to inform techniques to adapt existing text-generation models for applications involving creative language. Therefore, we seek to compile and produce a software package that can efficiently and accurately represent and evaluate linguistic creativity.

### 1.3 Objectives

We set out to investigate specific markers defining or correlating with conventional creativity in language. As some aspects of creativity have been investigated by research disciplines such as the field of psycholinguistics, we seek to filter and compile a set of measures that have been shown to correlate more highly with creative texts in the literature. Following that, we aim to evaluate the extent to which current LLMs can provide insights into capturing creative elements or patterns within writing. Finally, if time allows, we may use these findings to explore how natural language generation can be adapted to produce texts exhibiting more human-like levels of the creative attributes we have discovered. These can be summarised by the following three questions:

- Can psycho-linguistically motivated measures (that is, the explored metrics) successfully characterise creative properties in language?
- Can a machine learning approach be adapted for evaluating creativity in natural language?

For the two questions listed, we develop a suite of benchmarks we shall distribute and report the results of.

### 1.4 Contributions

The contributions of this work are as follows:

[MK: <insert rolling tumbleweed>]

[MK: Insert explanation of the sections]

## Chapter 2

# Related Work

The field of creativity research has been studied for decades, and there are many different approaches to the problem. In this section, we will discuss the different approaches to creativity research, and how they relate to our work. We will also discuss the different approaches to creativity in the context of natural language processing, and how they relate to our work.

### 2.1 Challenge Landscape

Most of the work going on in our minds as we read a given text is unconscious. We automatically parse characters and tokenize the text into words, sentences, paragraphs, and so on. At the same time, we apply tagging to understand actors (subjects and objects), actions (verbs), setting and situation (adverb), and also try to understand the sense a given word is used in, and then we transform the connotations or the sense of words inside our own little mind representation of the words. We also apply sentiment analysis to understand the emotional state of the text (or speech), and we apply phonetic analysis to understand the pronunciation of the words, as we read them out in our minds, e.g. when reading a book. Note that we have constrained the example of autonomous processes to reading, although these subconscious processes happen regardless of whether we read, write, or speak. Fact of the matter is, language is inherently a complex social construct that takes years to learn, even more to master, and more than a lifetime to perfect. Because it is so expressive and hard to grasp, many rules have been invented and applied to constrain or clearly define the boundaries of the language (e.g. English), so that, within a given language speaker group (e.g. the space of English speakers), the biggest common denominator of people may understand what is being said by the others. The rules may additionally have their own rules and exceptions to the rules.

Therefore, there are multiple approaches being applied to natural language processing tasks. The more classical one, and the one that has been applied for the larger part of the developments in the field, has been the rule-based method. The approach seeks to use clearly defined rules to parse and understand the linguistic features of the given text. It is a strong approach, as language has been strongly studied for centuries and the aforementioned rules and constraints have already been applied to it. For languages with few changing features or slowly changing features, it performs acceptably well on most Natural Language Processing (NLP) tasks, and not far off from human speakers. **[expand with 1-2 paragraphs and references pls]**

Another approach or a subclass of the rule-based approach, is the **statistical method** **[MK: this statement is not bs, right?]**. The statistical method seeks to find patterns inside language as a

whole. For example, the word “wind” may appear both as a noun and a verb – that is, the meaning of the word may be ambiguous – but the noun form is much more prevalent. Therefore, in tasks such as part of speech (PoS) tagging, some algorithms prefer to use the most common type of PoS class a given word occurs as, in a sufficiently large corpus of text. We do come back to the PoS tagging example later on. Alternative example of the statistical method being applied would be translation. Previous approaches to machine translation (MTL) included learning frequency of words and phrases occurring together (and how those map to counterparts in the language being translated to). For example:

Finding Nemo is like finding fish in a school of fish.

A naive approach to the translation of this sentence would consider school as its most common (noun) definition - that of place of learning for *humans* and translated the word literally. A more sophisticated approach to translation, applying not just simple rules to translating, would consider the whole phrase “school of fish”, and would have understood that it refers to a countable form of the word fish (relating to a large number of fish), and therefore translated the phrase as a whole to the target language.

The most current approach to many of the challenging NLP tasks (text summarization, machine translation, speech recognition, etc.) is a machine-learning based one. The motivation is multifold: firstly, most of the work that goes on in our minds as we read a given text is unconscious and automatic, just as we do not have to consciously intend to breathe in order to breathe. In much the same way, we rarely consciously make an effort to understand every part of the text, and many of the details behind understanding language are vague and ambiguous (consider how someone would respond if they are asked to explain their thought process behind parsing a given text). Secondly, more in line with the topic of our research, we do not have a good (objective) way to measure the quality of the work. It is subjective and difficult to measure. Not to mention, behaviour – and consequently, consciousness – is something that arises from environment, upbringing, culture, and so on. Yet, value for quality is something that multiple individuals can share – many people can have a sense of appreciation for a novel they read or a speech they heard (of course, usually for slightly different reasons and perceptions) – but there tend to be common elements that people widely enjoy seeing and experiencing.

The intuition of machine learning and deep learning is that you can try to replicate the unconscious logical circuitry going on behind the scenes without having a very solid grasp of the exact logic behind it. Therefore, in fields with few or changing rules, such as linguistics, music, and image processing, the machine learning approach tends to find large success, and has even been shown recently to be able to perform very similarly to humans (Bubeck et al., 2023). All in all, we cannot ignore the potential for machine learning to be applied to the field of creativity, and we explore this potential in our work.

We, therefore, go into detail on some of the methods we utilize in the project, and the various approaches that have been taken in the past.

### 2.1.1 Part of Speech Tagging

As we have established, a word may play a different role depending on its position in the sentence, both absolute and relative to the other words. Words that denote objects or persons, we generally

call **nouns**, while words that denote (usually active) actions, we call **verbs**, and so on. Part-of-speech tagging as a task poses the challenge of assigning to the sequence of tokens (we use “tokens” and “words” interchangeably)  $x_1, x_2, \dots, x_n$ , a sequence of tags  $y_1, y_2, \dots, y_n$ , where each token  $x_i$  has a corresponding tag  $y_i$ , making tagging a task of disambiguation, that is, removing ambiguities in text.

The phenomenon of ambiguity is hardly exclusive to the English language, although it is a key problem that we would need to take head-on, as many of the tasks we do want to tackle, rely on POS tagging, in order to avoid needless computations (and in consideration of the limited computing resources for both end-users and ourselves, as we may do larger-scale experiments). Human performance on POS-tagging has been shown to be around 97% accuracy (Manning, 2011) for English texts. We will use this as a base of comparison with automatic algorithms.

Types:	WSJ	Brown
Unambiguous (1 tag)	44,432 (86%)	45,799 (85%)
Ambiguous (2+ tags)	7,025 (14%)	8,050 (15%)
Tokens:		
Unambiguous (1 tag)	577,421 (45%)	384,349 (33%)
Ambiguous (2+ tags)	711,780 (55%)	786,646 (67%)

**Table 2.1:** Tag ambiguity in the Brown and WSJ corpora (Treebank-3 45-tag tagset).  
Adapted from Jurafsky and Martin (2009)

As seen in Table 2.1, many of the words in the tag-annotated corpora are, in fact, unambiguous. That is, the given word appears only as one single *type* of part of speech. What we may miss, however, is the fact that the actual *tokens*, the words, are mostly ambiguous (55% on WSJ and 67% on Brown). For example, *elephant* can only appear as a noun, but the word *back* can appear as either a verb, an adjective, a noun, or an adverb. And *back* is a much more common word than, say, *elephant*. Given this example, we can then proceed to potential solutions.

Generally, the issue is hardly new in the field of text mining, and solutions have been attempted since long ago in the past. The Brown corpus by Francis and Kucera (1979) is a manually annotated for parts of speech corpus of American English texts from variety of genres, and has been widely used as a benchmark for algorithms for POS-tagging – at least ones focusing on the English language.

One baseline metric is the **most frequent class** classifier. This method assigns to each word the most frequent tag it appears as in some training corpus. The metric has been shown to be 92% effective (Jurafsky and Martin, 2009) in correctly classifying the tags of a given text, which is just 5% below human accuracy, as described above. However, we can do better.

Hidden Markov Models are among the more successful ones, as demonstrated by Schütze and Singer (1994); Thede and Harper (1999); Nigam et al. (1999). The idea stems from the fact that the probability of a word  $x_i$  appearing in a given position in a sentence, is dependent on the word that came before it. This is a Markov assumption, as it assumes that the probability of a word appearing in a given position is independent of the words that come after it. The given assumption is a strong one, and it is not always true. However, it is a good starting point, and it has been

shown to be effective in many cases. The

While the HMM is a useful and powerful model, it turns out that HMMs need a number of augmentations to achieve high accuracy, as exemplified by authors such as Goldberg et al. (2008). Brants (2000) demonstrate between 96 and 99% accuracy for HMMs, while Thede and Harper (1999) demonstrate an accuracy between 96-98%, and between 88 and 93% accuracy on various datasets by Goldberg et al. (2008). For example, in POS tagging as in other tasks, we often run into unknown words: proper names and acronyms are created very often, and even new common nouns and verbs enter the language at a surprising rate – the Oxford English Dictionary has added 700 new words to its definitions just in its most recent quarter-yearly update in March (Oxford English Dictionary Editorial, 2023).

Conditional Random Fields (CRFs) have been proposed to deal with the following motivations: the adding of arbitrary features, e.g. based on capitalization or morphology (words starting with capital letters are likely to be proper nouns, words ending with -ed tend to be past tense (VBD or VBN), etc.); knowing the previous or following words (if the previous word is “the”, the current one is not likely to be a verb).

State-of-the-art POS taggers use neural networks behind the hood, being either bidirectional RNNs or Transformers like BERT.

### 2.1.2 Word Sense Disambiguation

[both of these can be included as potential metrics]

### 2.1.3 Sentiment Analysis

### 2.1.4 Named Entity Recognition

### 2.1.5 Phonetic Analysis

### 2.1.6 Natural Language Generation

- top KP sampling
- challenges

## 2.2 Creative Measures

The field of creativity has been broadly studied, initially by psychologists, and more recently by computer scientists. Intuitively, the nature of creativity is a subjective matter, and therefore, it is difficult to define. However, there are some commonalities that can be found in creative works. For example, creativity is often associated with novelty, and is often associated with the ability to generate new ideas. Franceschelli and Musolesi (2022), for example, determine the three factors of creativity as value, novelty, and surprise, and then explore machine learning approaches to measuring creativity. We agree with them, but decide not to limit ourselves to just these three. However, their contributions provide insights we can use in our work. [MK: did we use it - if we did not, delete this sentence]

- Burstiness of verbs and derived nouns: Patterns of language are sometimes ‘bursty’ Pierrehumbert (2012). This paper presents an analysis of text patterns for domain X. measures include XYZ...
-

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## 2.3 Tools

## Chapter 3

# Methodology

In this chapter, we explore the different datasets to be used, the methods for evaluating creativity, and the algorithms for creativity evaluation. We will furthermore discuss the strengths and limitations of the proposed methods and algorithms, including time complexity, memory constraints, and the accuracy of the results. We take an informed approach to the selection of the datasets and the methods for evaluating creativity, and discuss the reasons for our choices in detail, and support them with relevant literature as explored by other researchers in the field.

### 3.1 Datasets

Datasets are vital for the success of any given project in the field of machine learning, and even more so when concerning linguistics. As evidenced by Torralba and Efros (2011), the quality of the data used for training a model has a direct impact on the quality of the results. A model trained on a specific dataset, e.g. a corpus of law documents, can be expected to perform poorly on a dataset of medical documents, as the two domains are inherently different. Thus, we take particular care in planning and selecting the datasets we use. We also consider ease of use and access, as some datasets may require additional processing, others are subject to availability issues (e.g. paid datasets and corpora), and some may be too large to be used in a reasonable amount of time. In this section, we will explore the datasets used in this project, and discuss their strengths and limitations.

#### 3.1.1 Brown Corpus

The Brown Corpus (Francis and Kucera, 1979) is a widely used corpus in the field of computational linguistics, noted for the small variety of genres of literature it contains. The Corpus itself is founded on a compilation of American English literature from the year 1961. It is also small in terms of size, totalling around one million words, at least compared to modern corpora, which we also explore later on. The corpus also suffers from the issue of recency, as the works and language may be outdated for modern speakers of English.

Of interest is the fact that the corpus has been manually tagged for parts of speech, a process that tends to be error-prone. As we will see later on, this fact has implications in terms of the supervised learning algorithms we implement for creativity evaluation. Still, we opt to utilize it primarily for prototyping purposes and drawing preliminary conclusions about the effectiveness of the implemented algorithms, rather than in-depth analysis and publication of results.



### 3.1.2 Project Gutenberg

Project Gutenberg<sup>1</sup> is a large collection of more than 50,000 works available in the public domain. The collection contains literature from various years and various genres and thus is suitable for training and evaluation of the developed benchmarks in the context of creativity study.

As the Project does not offer an easy to process copy of its collection, we turn to the work of Gerlach and Font-Clos (2018). The team developed a catalogue for on-demand download of the entire set of books available on the Project Gutenberg website, intended for use in the study of computational linguistics. The tool avoids the overhead of writing a web-scraper or a manual parser for the downloadable collections of Project Gutenberg books made available by third parties, as well as enables easy synchronization of newly released literature. Instead, we are only required to develop a simple pipeline for the data to be fed into the utilized systems.

### 3.1.3 Hierarchical Neural Story Generation

In their work, Fan et al. (2018) trained a language model for text generation tasks on a dataset comprised of short stories submitted by multiple users given a particular premise (a prompt or a theme) by another user. [MK: Give an example for how one such short story would look like.] The dataset in question is technically referred to a series of posts and comments (threads) to them on the popular social media platform REDDIT, and more tightly, the *subreddit* forum R/WRITING-PROMPTS. The authors of the work Fan et al. (2018) have made the dataset available for public use, and we have used it for the purpose of evaluating the performance of our creativity benchmarks. As described by the authors on their GitHub page<sup>1</sup>, the paper models the first 1000 tokens (words) of each story.

[MK: How do we use this dataset? You should describe the process of how we use it. ]

### 3.1.4 Discarded Datasets and Corpora

Some datasets were considered, however, discarded due to: not being deemed applicable for the context of the application; general lack of availability of the dataset in a form that is easily accessible for our purposes; simply being infeasible to use due to the size of the dataset and the hardware constraints imposed on the project; or other reasons of similar nature.

The COCA

The Corpus of Contemporary American English (COCA)<sup>2</sup> is a large corpus of American English, containing nearly 1 billion words of text from contemporary sources. It is a collection of texts from a variety of genres, including fiction, non-fiction, and academic writing. The corpus offers a variety of tools for analysis of the data, including a concordance tool, a word frequency list, and a collocation finder. Naturally, many of those tools could be used in the field of statistical creativity analysis that we explore.

The corpus does offer limited access to the full API, as well as free samples of the data, however, the full corpus is not available for free, and the cost of acquiring it is prohibitive for the limitations set forward by the project. Nevertheless, the corpus is a valuable resource for the field of computational linguistics, and we would like to explore it further given less constraints.

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<sup>1</sup><https://www.gutenberg.org/>

<sup>1</sup><https://github.com/facebookresearch/fairseq/blob/main/examples/stories/README.md>

<sup>2</sup><https://www.english-corpora.org/coca/>

## 3.2 WordNet

WordNet(Fellbaum, 1998) is a lexical database of semantic relations between words that links words into semantic relations including synonyms, hyponyms, and meronyms. The synonyms are grouped into synsets (sets of synonyms) with short definitions and usage examples. It can thus be seen as a combination and extension of a dictionary and thesaurus (Wikipedia contributors, 2023).

For our specific use cases, we have identified it as a valuable resource in terms of relational representation of words in semantic space. In the given context, this enables us to traverse a semantic graph for synonyms and related words for the goal of enriching potential similarity between the set of creative parts of speech (i.e., nouns, adjectives, adverbs), which we narrow down our scope to in particular.

### 3.2.1 Numerical representations of semantic tokens

[MK: Potentially move this section to background work] The idea of representing words or lexical tokens as numerical vectors (or even scalars) is hardly new. For example the SimLex-999 dataset (Hill et al., 2015) gives values on a scale from 0 to 10, like the examples below, which range from near-synonyms (vanish, disappear) to pairs that scarcely seem to have anything in common (hole, agreement):

word1	word2	score
vanish	disappear	9.8
hole	agreement	1.2

**Table 3.1:** Example Simlex-999 pairs

Early work on affective meaning by Osgood et al. (1957) found that words varied along three important dimensions of affective meaning:

- valence: the pleasantness of the stimulus
- arousal: the intensity of emotion provoked by the stimulus
- dominance: the degree of control exerted by the stimulus

Osgood et al. (1957) noticed that in using these 3 numbers to represent the meaning of a word, the model was representing each word as a point in a three-dimensional space, a vector whose three dimensions corresponded to the word's rating on the three scales. This revolutionary idea that word meaning could be represented as a point in space (e.g., that part of the meaning of heartbreak can be represented as the point [2.45, 5.65, 3.58]) was the first expression of the vector semantics models that we introduce next. [MK: \*\*You can paraphrase this\*\*]

### Word2Vec

Mikolov et al. (2013) show in their work that words may be represented as dense vectors in  $N$ -dimensional space, and we can perform mathematical operations on them that may yield effective results in terms of word representation.

### Measuring distance in vector representations of semantic tokens

Intuition tells us that the dot product of vectors in  $N$ -dimensional space will grow when the set of vectors has similar values and decrease when the values are not similar. Thus, we can then construct the following metric for semantic similarity between vector representations of words:

$$D(v, w) = v \times w = \sum_{i=1}^N v_i w_i = v_1 w_1 + v_2 w_2 + \dots + v_N w_N$$

The current metric, however, suffers from the problem that vectors of higher dimensions will inevitably be larger than vectors with lower dimensions. Furthermore, embedding vectors for words that occur frequently in text, tend to have high values in more dimensions, that is, they correlate with more words. The proposed solution is to normalize using the **vector length** as defined:

$$|v| = \sqrt{\sum_{i=1}^N v_i^2}$$

Therefore, we obtain the following:

$$\text{Similarity}(v, w) = \frac{v \times w}{|v||w|} = \frac{\sum_{i=1}^N v_i w_i}{\sqrt{\sum_{i=1}^N v_i^2} \sqrt{\sum_{i=1}^N w_i^2}}$$

This product turns out to be the same as the cosine of the angle between two vectors:

$$\frac{a \times b}{|a||b|} = \cos(\theta)$$

Therefore, we will call this metric the **cosine similarity** of two words. As mentioned, the similarity grows for vectors with similar features along the same dimensions. Note the boundaries of said cosine metric: we get  $-1$  for vectors which are polar opposites,  $0$  for orthogonal vectors, and  $1$  for equivalent vectors. Of note is the fact that such learned vector embeddings only have values in the positive ranges, thus, it is impossible to have negative values for the cosine similarity ( $\text{Similarity}(a, b) \in [0, 1]$ ).

Contrary to it, we also identify the metric of **cosine distance** between two vectors, as one minus the similarity of the vectors, or:

$$\text{Distance}(v, w) = 1 - \text{Similarity}(v, w)$$

The cosine distance may prove useful when dealing with minimisation problems as is often the case with machine learning.

## 3.3 Metrics

### 3.3.1 Number of Words

The total number of words in a given piece of text. At first glance, this metric does not impress and is, in fact, exceedingly simple. But that is fine – we do not always need complex metrics. Sometimes, even a trivial metric as this one can inform a lot about the structure of the text. For example, the number of words in a text is directly correlated with the length of the text. This can be useful in determining the complexity of the text, as well as the time it takes to read it. In

some uses, for example, comparing between books and *Twitter* posts, we do not need much more information to recognize that these texts belong to entirely different genres. Such a metric is a good complement to and often used in conjunction with other metrics.

### 3.3.2 Number of Sentences

The number of sentences, similarly to number of words, is a trivial measure for the length of the text. However, it can be used to determine the complexity of the text. For example, a text with a large number of sentences is likely to be more complex than a text with a small number of sentences. This is because a text with a large number of sentences is likely to involve longer intellectual activity. Of course, in light of recent developments in the field of natural language generation, this metric is not particularly useful. However, due to how trivial to implement it is, it can be used in conjunction with other metrics for text classification tasks.

### 3.3.3 Word Length

*“Because even the smallest of words can be the ones to hurt you, or save you.”* –  
Natsuki Takaya

Word length fills in the set of trivial metrics we implement for text benchmarking. The intuition is simple. Given a sufficiently large corpus, the average word length – that is, the number of characters in a word – will converge to a certain number – in English, this number tends to be between 4 and 5. Any deviations, either positive or negative, from this norm can then be used to determine the complexity of the text. For example, a text with a large number of long words is likely to be more complex than a text with a large number of short words. Naturally, words expressing more specific concepts tend to have a longer character length than words we use in general speech and are sometimes ambiguous. This phenomenon is established in English, although the essence may not generalize well for other languages, e.g. Chinese and Japanese, where a single character can generalize to a whole word or a concept as a whole, but given that we are working in the context of the English language, we are not concerned with this issue.

### 3.3.4 Sentence Length

Similar to word length above, the average sentence length is a trivial metric describing the number of characters per sentence. Intuition tells us it will be closely related to the average word length, but also indicative of text features such as complexity and readability. For example, legal documents tend to have longer sentences than, say, newspaper articles. This is because legal documents tend to be more complex and require more time to read and understand. In contrast, newspaper articles tend to be more accessible and are written in a way that is easy to understand.

Writers may also be interested in this metric, as very long sentences are often difficult to read and understand, as the reader may lose track of the subject of the sentence among the many objects, actions and modifiers; not to mention unnecessary punctuation where simply beginning a new sentence would be far more readable... a useful feature like this can pinpoint such writing issues, inform writers where they may cut or simplify their sentences, and in general help them improve their writing style – a feature that is often overlooked in the context of text understanding – this is also the longest sentence in the entire document.

### 3.3.5 Number of Tokens

Completing the set of trivial metrics is the general number of tokens in the text. The metric correlates highly with average sentence length and word length. Rather than counting characters in the sentence or word length, however, we take a look at the number of tokens encountered in the text, usually at the sentence level.

### 3.3.6 Concreteness

Concreteness is the degree to which a word refers to a tangible object or a concrete idea. For example, the word *apple* is concrete, while the word *time* is abstract. Brysbaert et al. (2014) provide a dataset of concreteness ratings for 40,000 English lemmas (English words and 2,896 two-word expressions (such as “zebra crossing” and “zoom in”), obtained from over four thousand participants by means of a norming study using internet crowdsourcing for data collection). The dataset is based on the concreteness ratings of the four thousand participants, who rated the concreteness of 40,000 words on a scale from 1 to 5. The concreteness of a word is measured on a scale from 1 to 5, where 1 is the most abstract and 5 is the most concrete:

[MK: direct citation of the study, if i need to paraphrase it, probably would delete it]

Some words refer to things or actions in reality, which you can experience directly through one of the five senses. We call these words concrete words. Other words refer to meanings that cannot be experienced directly but which we know because the meanings can be defined by other words. These are abstract words. Still other words fall in-between the two extremes, because we can experience them to some extent and in addition we rely on language to understand them. We want you to indicate how concrete the meaning of each word is for you by using a 5-point rating scale going from abstract to concrete.

The dataset provides norms for the 40,000 words and 2,896 two-word expressions – including mean and standard deviation for each entry.

The intuition of this metric is that a word that is more concrete is more likely to be used in a creative context, as it is easier to imagine and relate to. It not only describes one aspect of the word’s meaning, but authors (and genres, in general), tend to exhibit specific characteristics, such as legal documents being more generally more concrete - one would expect concrete objects and entities to appear more in documents such as the UN Human Rights Charter, or protocols for health standards control, for example.

### 3.3.7 Imageability

Imageability is the degree to which a word evokes a mental image, as described by de Groot (1989). For example, the word *apple* is more imageable than the word *time*. Brysbaert et al. (2014) provide a dataset of imageability ratings for 9,000 English lemmas (English words and 2,896 two-word expressions (such as “zebra crossing” and “zoom in”), obtained from over four thousand participants by means of a norming study using internet crowdsourcing for data collection). The dataset is based on the imageability ratings of the four thousand participants, who rated the imageability of 9,000 words on a scale from 1 to 5. The dataset also contains the number of participants who rated each word, and the standard deviation of the ratings.

### 3.3.8 Frequent Word Usage

*“Separate text from context and all that remains is a con.”*— Stewart Stafford

Word frequency refers to the number of times a given word appears in a given context. Word frequency naturally differs from text to text, and smart word choice in general is an excellent indicator for intellectual linguistic use. The intuition behind selecting this metric is that words that are occurring less frequently in common speech are more likely to be used in a creative context. To give an example by rewording the last sentence, would yield: “The intuition behind identifying this linguistic measure owes to the words’ property of inverse proportionality between frequency and perceived creative or intellectual value.”

As noted, less common words are associated with higher perceived intellectual value. Even more so, the use of less common collocations (words occurring very close in a given context) hints at a higher level of linguistic skill. Of course, simply chaining completely unrelated words together (e.g. “palmarian tobaccophile ephemeron urbarial”) hints not to high intellectual value, but rather to spitting out a random sequence of words. Properly applied in context, though, commonly not associated words can be used to great effect. This is especially true in the case of poetry, where the use of uncommon words and collocations is a common practice, or, for example, in biological contexts, such as medicine and botany, where very precise yet niche namings and conventions are mandated. This type of dissonance between common speech and niche terminology is a common theme in creative writing, and is often used to great effect. For example:

*“When they’d gone the old man turned around to watch the sun’s slow descent. The Boat of Millions of Years, he thought; the boat of the dying sungod Ra, tacking down the western sky to the source of the dark river that runs through the underworld from west to east, through the twelve hours of the night, at the far eastern end of which the boat will tomorrow reappear, bearing a once again youthful, newly reignited sun.”*

– *The Anubis Gates*, Tim Powers

In this context, “boat” is a completely valid and understandable synonym of the word “sun”, yet the word “boat” co-occurring with the word “sun” outside this context is not common, and therefore, we are prompted to believe that this context is more ‘creative’.

We tackle the topic of contextual surprise further on with subsequent metrics, but for now, we focus on the general idea of individual word frequency.

Given a sufficiently large linguistic corpus, we obtain a list of words and their frequency of occurrence. We can then use this list to calculate the frequency of occurrence of a given word in a given text. We can then use this frequency as a metric for the text’s creativity. Choice of corpus is key here, as the corpus should be large enough to contain a wide variety of words, but not specialized enough to inflate the frequency of niche words. For example, a corpus of medical texts would contain a lot of medical terminology, which would inflate the frequency of medical terms, and therefore, would not be a good choice for a general creativity metric, for example in the case of a poetry contest.

For our use case, we opt to use the British National Corpus (BNC) (BNC Consortium, 2007), which is a 100 million word collection of samples of written and spoken language from a wide

range of sources, designed to represent a wide cross-section of British English from the later part of the 20th century, both spoken and written. The BNC is a good choice for our use case, as it is a general corpus, and contains a wide variety of words, but is not specialized enough to inflate the frequency of niche words.

The frequency lists we use are provided by the work of Leech et al. (2014) and are readily available in sheet form for both lemmatized and non-lemmatized words. In our case, we attempt to adhere only to the lemmatized versions in order to have consistency with previous metrics, but also to have normalized results, e.g., although the words ‘am’, ‘is’, ‘are’ are all inflections of the verb ‘to be’, they may have different frequencies and different positions in the list. POS tagging and lemmatization again come into play here, as we need to be able to identify the lemma of a given word in order to find its proper frequency in the list. The frequency lists indicate the words’ frequencies per 100 million tokens. Intuitively, given a varied enough corpus such as the BNC, we expect these numbers to normalize and generalize well for general English. We then use the frequencies for the lemmas and take the logarithm with base 10 of the given frequency like so:

$$\text{freq}(x) = \log_{10}(\text{Frequency}_{BNC\ 1M}(\text{Lemma}(x))) \quad (3.1)$$

Like before, if a word does not appear in the BNC, we discard it and continue. We then calculate the average frequency of the words in the text, and return the metric for interpretation by the end user.

### 3.3.9 Proportion of Parts of Speech

## Chapter 4

# Design

In the following chapter, we introduce concepts behind the design of the developed library and how those will be implemented in the application. We also discuss the technology choices we have made and the reasoning behind them. Furthermore, we take a look at how the application will be structured and how it will be distributed, and how the users will be able to interact with it via a command-line interface, or apply declared methods and classes inside their own applications. Finally, we discuss the delivery of a documentation and a user guide, as well as the testing and validation of the application.

### 4.1 Requirements

In this section, we detail the requirements regarding the application and the library in the following sections. We will also discuss the requirements regarding the documentation and the user guide, as well as the requirements regarding the testing and validation of the application.

#### 4.1.1 Scenarios

We use scenarios to better illustrate the use-cases of the MAD HATTER package. They provide a frame for the functional and non-functional requirements and allow us to nail down the specific requirements and pain points, well in advance of the de facto launch of the package.

1. Evaluating linguistic features associated with creative aspects of language in a given text. Users should be able to clearly present a text and evaluate it against a set of metrics, which will then be presented in a clear and concise manner.
2. Providing methods for interacting, plotting and visualizing the results of the evaluation. Users should be able to easily interact with the results of the evaluation, and plot them in a way that is easy to understand and interpret.
3. Providing a pipeline for batch data analysis to be used in larger-scale linguistic research operations. The users should be able to easily process large amounts of data in a batch manner, and then interact with the results of the evaluation in a way that is easy to understand and interpret.

#### 4.1.2 Functional Requirements

Functional requirements pinpoint the specific tasks that the application needs to be able to perform. They are the most important part of the requirements, as they are the ones that will be used to evaluate the success of the application. We list those below.



**(FR1) The user must be able to evaluate a given text against a set of metrics.**

When fed a text, the system must return evaluation of the text against a set of metrics. The user must be able to specify which metrics they want to use for the evaluation, and the system must be able to return the results of the evaluation in a clear and concise manner.

**(FR2) The user must be able to interact with the results of the evaluation.**

The user must be able to interact with the results of the evaluation in a way that is easy to understand and interpret. The user must be able to plot the results of the evaluation, as well as export them in a format that is easy to use in other applications.

**(FR3) The user must be able to batch-process a large volume of texts.**

The user must be able to batch-process a large volume of texts. The return format of the data must be easy to integrate with other applications, especially in the context of linguistic data analysis.

### 4.1.3 Non-functional Requirements

The package henceforth needs to satisfy a list of viable non-functional requirements, which we will list below.

**(NFR1) The package must be able to be used by users with minimal technical knowledge.**

The package should provide methods that make text analysis viable for users with minimal technical knowledge. Beyond installation, it should be trivial to evaluate and interpret the results of the evaluation. [\[MK: addressed by python!\]](#) The package must also be able to be used with all sorts of texts — from single sentences to full-length books.

**(NFR2) The package must work within limited computing capabilities.**

As above, users should be able to run and evaluate their texts on their personal computers, without the need for specialized hardware. The package should be able to run on a single machine, and should not require any specialized hardware.

**(NFR3) The package must be able to scale to large amounts of data.**

The package should be able to scale to large amounts of data, and should be able to process large amounts of data in a reasonable timeframe.

**(NFR4) The package must be easily configurable.**

The package should be easily configurable, and should allow users to easily change the parameters of the evaluation. The package should also allow users to selectively include metrics to the evaluation.

**(NFR5) The package must be easily extensible.**

The package should be easily extensible, and should allow advanced users to easily add new metrics to the evaluation. The package should also allow users to easily add new methods for interacting with the results of the evaluation.

**(NFR6) The package must be well-documented.**

The package should be well-documented, and should provide a clear and concise user guide, as well as a clear and concise documentation for the package itself. The documentation should be easily accessible and should be easy to understand.

**(NFR7) The package must be issue-proof.**

The package should be well-tested against a set of test cases, and must contain as few bugs and unhandled behaviours as possible. Exceptions and errors, wherever they may occur, must have a clear and concise message, guiding users to the source of the issue.

#### 4.1.4 Concerns

Working with text and developing a package for text analysis results in several concerns we need to address.

**Speed.** Working with text can be *slow*. This is especially true when working with large amounts of text, or when working with large language models. This means that we must take into account the algorithms and data structures we apply, as a single unoptimized algorithm can result in a very slow application. We must also take into account the size of the language models we use, as larger models tend to be slower to process.

**Memory Consumption.** The old adage that *memory is cheap* is not entirely true. While it is true that memory is cheap, it is also true that memory is not free (*and no, we cannot “just download more RAM”*). Furthermore, model accuracy tends to grow with the size of the neural network and the size of the used vocabulary. Naturally, we then need to seek a compromise on the size of the models we use, as we cannot:

1. Feasibly make use of the larger model variants during the research stage of the project, where we aim to process large corpora, evaluate the performance of the algorithms on them and make conclusions about the data. If we do aim to speed up this process, we can benefit from parallel computing — but processing large batches of text in parallel has a non-negligible likelihood of running out of allocated memory even on some High Performance Cluster (HPC) clusters.
2. Expect users to run too large models on their personal computers, as this would result in a very poor user experience and a far reduced space of potential users. We do not plan to hardcode any models (large or small) in the application, however, the provided guides will reference selected smaller-scale pretrained LLMs, which come with the advantage of being more sustainable long-term. Naturally, we will also provide a way for more experienced and more capable organizations or individuals to run larger models with minimal effort.

**Ease of Use.** The target users are not expected to be very technically proficient. This means that we need to provide a way for users to easily interact with the application, and easily interpret the results of the evaluation. We also need to provide a way for users to easily install and use the application, without the need for specialized hardware or software. The application should be potentially viable for instant feedback in the context of writing assistance, and potentially integrable with existing writing tools.

## 4.2 Technology Choices

### 4.2.1 Python

We will be using the Python<sup>1</sup> programming language for the development, prototyping, and release of the system. Python is a mature dynamically-typed interpreted programming language with a

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<sup>1</sup><https://www.python.org/>

rich ecosystem of libraries and frameworks, especially popular with academic staff and data scientists. Python fundamentally lags behind the competition in terms of raw speed and performance, but makes up for it with its ease of use and rich ecosystem. Wherever performance is desired, library developers instead implement core code in a more-performant language, and instead provide bindings for Python, thus making Python a viable choice even in terms of computing-heavy tasks.

Specifically, we use Python version 3.10.X as shipped by the Anaconda software package. We are aware that the most recent stable version of Python 3.11 brings non-negligible optimizations and faster execution speed for some Python scripts, however, in light of the fact that the Anaconda distribution is still shipping Python 3.10.X, and the fact that some packages have not been well-tested with Python 3.11, we opt to use that version for the time being. We will be using the Anaconda distribution as it is a very popular and mature distribution of Python, which is also very easy to install and use. It also comes with a large number of pre-installed packages, which will be very useful for the current developer experience.

### 4.2.2 NumPy

NumPy<sup>2</sup> is a Python library for scientific computing. NumPy provides a variety of highly optimized data structures, as well as a large number of mathematical functions that can be applied to said data structures. It is a very popular library, and is widely used in the Python ecosystem. NumPy boasts a very mature library, with a large community of developers and researchers, leading to a very well-tested and well-documented product. Furthermore, because the core of NumPy is implemented in low-level programming languages, such as the C programming language, the performance of functions using methods in NumPy barely lags behind for math-heavy operations against even the fastest languages available.

NumPy addresses our fundamental need for a performant library for scientific computing. Implementing specific array data structures in NumPy enables us to severely cut down on performance time for math-heavy operations, as well as cut down on memory consumption. NumPy also interfaces easily with PyTorch, another library for high-performance computing, which we will be using for the implementation of the models used in the application.

### 4.2.3 PyTorch

PyTorch is Python library for imperative-style high-performance computing, optimized for parallel operations on the GPU, and particularly applied for deep learning (Paszke et al., 2019). PyTorch is an open-source library with rich documentation, and many deep learning models have been implemented with it. The functions and models available within PyTorch are essential for the implementation of the heavyweight metrics we choose to implement.

Additionally, many of the freely available Large Language Model (LLM) architectures have already been implemented and are publicly available via high-level APIs interacting with the models. Thus, we can avoid implementing or reimplementing the LLM architectures on our own, and instead focus on the applications of the implemented package. Furthermore, any LLMs used in the application will be implemented in PyTorch.

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<sup>2</sup><https://numpy.org/>

### Comparison with TensorFlow

TensorFlow is a Python library for the same purpose as PyTorch – to provide low- and high-level abstractions for efficient parallel computing, optimized for GPU operations, and implementations of deep learning scientific models. Anything one can achieve with PyTorch, they can achieve with TensorFlow, as well. Similarly, most of the common model architectures are also available as high level abstractions implemented in TensorFlow. In general, there are very few key differences between the two.

Ultimately, however, we have opted to use PyTorch over TensorFlow for the following reasons:

1. PyTorch is more popular in the academic community, and is more widely used in research. This means that there is a larger community of developers and researchers working with PyTorch, and a larger number of models implemented in PyTorch.
2. Integration with NumPy. Because key computations in the project will be handled by NumPy, it is important that the library we use for high-performance computing integrates well with NumPy. PyTorch integrates very well with NumPy, and is able to convert NumPy arrays to PyTorch tensors with minimal effort.
3. PyTorch is more “Pythonic” than TensorFlow. This means that PyTorch is more intuitive to use, and the general coding style is more natural – leading to an excellent developer experience.

#### 4.2.4 NLP Frameworks

In this section, we evaluate a variety of available Python libraries for Natural Language Processing (NLP) tasks, and discuss the reasoning behind our choice of the library we will be using in the application.

##### NLTK

NLTK is a key Python library for natural language processing, primarily built for education purposes and managed as an open-source software, built to be relatively modular and lightweight. Commonly used by researchers and students for understanding and implementing algorithms for NLP tasks, it is a relatively popular and mature framework with a healthy extension ecosystem, where contributors are able to write their own modules and share them with the community.

The strengths of NLTK include:

- A large number of modules for a variety of NLP tasks, including tokenization, stemming, tagging, parsing, and so on.
- A large number of corpora and datasets for a variety of NLP tasks, including the Brown Corpus, the Penn Treebank, and so on.

##### TextBlob

TextBlob is an NLP library drawing heavy inspiration from algorithms available in NLTK, providing a higher-level abstraction for common NLP tasks. It is built to be easy to use, and provides a very intuitive API for common NLP tasks. It provides an easy interface for parsing and working

with text on all levels, but it is somewhat lacking in terms of more advanced NLP tasks. Furthermore, development has stagnated and is rarely updated with new features or bug fixes.

### SpaCy

SpaCy is an open-source Python library for advanced natural language processing, designed to be easily applied in production environments and implementing pipelines for enhanced NLP tasks. Whereas NLTK is primarily used for research and education, SpaCy is commonly being applied in industry environments. SpaCy is in active development and enjoys a large community of developers and researchers.

The strengths of SpaCy include:

- pretrained pipelines currently supporting tokenization and training for 70+ languages
- state-of-the-art speed and neural network models for tagging, parsing, named entity recognition, text classification and more
- multi-task learning with pretrained transformers like BERT, as well as a production-ready training system and easy model packaging, deployment and workflow management.

### Comparison between NLTK and SpaCy

We draw a few differences between NLTK and SpaCy in the context of our application. We ignore TextBlob, as it has most of the capabilities of NLTK but seems to have stagnated in development. The two frameworks were compared across the following criteria:

1. **Ease of Use.** Both frameworks are relatively easy to use, but SpaCy is built as an NLP Swiss army-knife not just for production, but all tasks. NLTK, on the other hand, requires some reading and understanding of the underlying algorithms to be used effectively.
2. **Performance.** Both frameworks perform relatively similarly in performance for key algorithms such as tokenization and tagging. A lot of the SpaCy key code has been implemented in Cython, a static compiler for Python providing magnitudes of speed improvements over regular Python, in theory making it faster for certain applications. We do not account for the performance of text processing pipeline modules using neural networks, as we will not be using those in the application. In the limited testing we did, we did not find a significant advantage for the simple use case we had for an NLP framework. Therefore, this criterion is a tie.
3. **Memory Consumption.** SpaCy inherently requires more memory as it does much more than tokenization internally and stores more information about the text. Modules utilizing NLTK algorithms, on the other hand, are more lightweight and can be selected to use less memory. Table 4.1 lists a small-scale experiment we carried out to compare the memory consumption of the two frameworks.

It is unlikely that we will use all capabilities that both of SpaCy and TextBlob provide straight out from the box. We can, therefore, avoid the overhead of the other two frameworks, and instead use NLTK and choose which modules we use selectively. Thus, our NLP framework of choice is NLTK.

Framework	Peak Memory	Increment
SpaCy	5089.13 MiB	4465.29 MiB
NLTK	434.81 MiB	48.75 MiB

**Table 4.1:** We carried out a small experiment to compare the memory consumption of SpaCy and NLTK. We prepared a subset of 1000 book files and only opted to tokenize and tag the words of each, and store both as simple Python lists. We ran the experiment in parallel with multiple ( $n = 16$ ) processes, to simulate a real use case we would have for the frameworks. A Python process running SpaCy, unfortunately, even when stripped down to a minimal pipeline, consumes massive amounts of memory. We can see that the memory consumption of SpaCy is almost 10 times that of NLTK.

## 4.3 Code Style

### 4.3.1 PEP8

We will be using PEP8<sup>3</sup> (van Rossum et al., 2001) as our code style guide. PEP8 is a style guide for Python code, which is maintained by the Python Software Foundation. It is a very popular, and comprehensive style guide, widely used by many Python developers and organizations. It covers a wide range of topics, including naming conventions, indentation, line length, whitespace, comments, “docstrings” (short for documentation strings, or, more specifically, comments that explain the way a given procedure or a class works, inside the code itself), and so on. Furthermore, we encourage the usage of type hints in function definitions as well as the usage of type annotations in docstrings.

### 4.3.2 Docstrings

We use NumPy’s code style guide for documenting classes and functions<sup>4</sup>. Using NumPy’s style guide provides a clear and concise way of documenting the parameters and return values of functions and methods. It also has the added benefit of being familiar with other developers and researchers, as NumPy is a very popular library in the Python ecosystem, therefore potential users will be familiar with IDE-provided Intellisense help pop-ups on function definitions. Finally, it is handy as potential usage of a static documentation generator such as Sphinx<sup>5</sup> is made easier, as Sphinx is able to parse NumPy-style docstrings and generate documentation from them.

### 4.3.3 Linting

As part of the development process, we set up an automated linting service inside the IDE using the Pylint linter<sup>6</sup>. Pylint checks for errors in the code, as well as enforces the PEP8 code style guide. It also provides a variety of other checks, such as checking for unused imports, checking for unused variables, checking for undefined variables, and so on. We also use the Pylance language server<sup>7</sup> for the Visual Studio Code IDE, which provides a variety of other checks, such as type checking, and so on.

<sup>3</sup><https://peps.python.org/pep-0008>

<sup>4</sup><https://numpydoc.readthedocs.io/en/latest/format.html>

<sup>5</sup><https://www.sphinx-doc.org/en/master/>

<sup>6</sup><https://www.pylint.org/>

<sup>7</sup><https://marketplace.visualstudio.com/items?itemName=ms-python.vscode-pylance>

**4.3.4 Testing****4.3.5 Code Review****4.3.6 Version Control**

The outlined project

Git and GitHub

**4.4 Documentation**

We use Sphinx in this household.

**4.4.1 Sphinx****4.4.2 Hosting**

## **Chapter 5**

# **Implementation**



# Chapter 6

## Evaluation

In the context of application, we opt to implement several experiments that give a better understanding of the potential applications of MAD HATTER.

Component	Description
CPU	2.3 GHz Intel Core i9-9800H
RAM	16 GB DDR4 2400 MHz
GPU	Intel UHD Graphics 630 / Radeon Pro 560X
OS	macOS 13.1

**Table 6.1:** Specifications of the computer used for the experiments.

Wherever not explicitly mentioned, assume the specifications listed in Table 6.1.

### 6.1 Experimental Design

In this section, we describe the experiments we conducted to evaluate the performance of MAD HATTER. We start by describing the datasets we used for the experiments. Then, we describe the experiments we conducted and the metrics we used to evaluate the performance of MAD HATTER. Finally, we describe the baselines we used for comparison.

#### 6.1.1 Datasets

Table 6.2 describes the utilized datasets along with their specific application in the experiment. Further descriptions of the datasets can be found at section 3.1.

Experiment	Dataset(s)
Document Class Identification	1. Project Gutenberg (PG) 2. EU DGT-Acquis & Europarl Corpus [NLTK] (Legal) 3. r/WritingPrompts (WP)
Authorship Identification	Project Gutenberg (PG) Up to 30 works from the 1000 most prolific authors
Machine-Generated Text Detection	1. WebText (representing real text) 2. Generated texts from GPT-2 XL-1542M

**Table 6.2:** Listing with the datasets used for the experiments.

[MK: note sometimes that gpt-2 texts are generated from the given training data]

## 6.2 Experiments

We implement three different experiments as a way of evaluating the performance of the application. The first experiment is a document class identification experiment, where we evaluate the performance of MAD HATTER in identifying the class of a document, thus demonstrating that the features we implement are well-defined and enable differentiating between different types of writing. We then move on to evaluating how well the algorithm can differentiate between different writing styles, a task also known as authorship identification. Finally, we follow the logical progression of authorship identification to address a topic that has been gaining traction in recent years, that of machine-generated text detection. This may have further applications in the future, as the field of natural language generation has been steadily growing in the past few years, with the advent of LLM such as GPT-2 (Radford et al., 2019) and GPT-3 (Brown et al., 2020).

### 6.2.1 Document Class Identification

In this experiment, we evaluate the performance of MAD HATTER in identifying the class of a document. The datasets, described in Table 6.2, form the basis of the classes we designate, those being: (conventional) fictional literature (Project Gutenberg / PG), legal texts from the EU DGT-Acquis as well as the Europarlament Corpus distributed with NLTK (Legal / LG), and short-form stories from the subforum WRITINGPROMPTS of the social media platform REDDIT(WP).

#### Setup

Initially, all distinct texts are split into chunks of 100,000 characters (with the trailing chunk on its own). This is done primarily to maximize the potential data points of the dataset, but also to speed up the processing of the algorithm for large texts (for example, the texts in PG dataset are usually long-form full books which have upwards of 600,000 characters, assuming a ratio of 100,000 characters per 60-70 pages of text in traditional font and size). Normally, this may carry a potential for overfitting, as the chunks may not be representative of the whole dataset. However, as the texts are 1) very distinct from each other, and 2) have been shown to not split to more than 6-7 chunks, this is not a concern. The datasets are run through a simple pipeline that generates the features described in Section 3.3. For more flexibility in combining and comparing the datasets for classification, each dataset is separately run through the pipeline. After the features are extracted, each dataset is assigned its respective category. The datasets are then combined and shuffled.

The combined dataset is split into a training, validation, and test set, with a ratio of 80:10:10. The training set is used to train a logistic regression with L2 penalty, which is then used to predict the class of the documents in the test set. As an intermediary step, we run a grid search with the training dataset and the validation dataset in order to find the best parameter for the inverse of regularization strength of the algorithm. The parameter is chosen from the set  $\{\frac{1}{64}, \frac{1}{32}, \frac{1}{16}, \frac{1}{8}, \frac{1}{4}, \frac{1}{2}, 1, 2, 4, 8, 16, 32, 64\}$ . The parameter with the highest accuracy on the validation set is chosen for the final model. The accuracy of the model is then evaluated on the test set.

#### Results

Table 6.3 shows the performance results for the document classification experiment. The results show that MAD HATTER is able to identify the class of a document with a very high accuracy. This is not surprising, as the classes are very distinct from each other, yet it affirms that the implemented features capture well specific characteristics of the text. The results also show that the model is

**Table 6.3:** Performance results for Document Classification

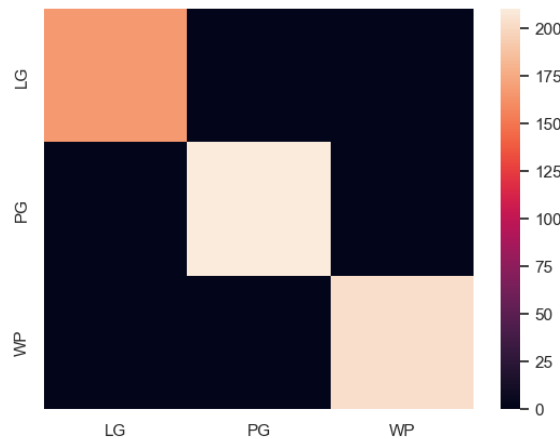
Experiment	Document Classification
Size of Train Set	4686
Train Accuracy	99.827%
Validation Accuracy	99.808%
Test Accuracy	99.827%

not overfitting, as the accuracy on the test set is very similar to the accuracy on the training set.

It should be noted that, despite the size of the training set is relatively small as opposed to other experiments in the field of document classification, the accuracy achieved is remarkably high. This is due to the fact that the features used are very simple and straightforward, and thus do not require a large amount of data to be learned. Furthermore, the algorithm is a step-up in terms of speed from existing baselines such as SVMs and TF-IDF algorithms, which makes it more suitable for large datasets and big scale text analysis. Figure 6.1 shows the confusion matrix for the document classification experiment. As seen, the document is able to distinguish between the classes with an excellent accuracy, precision and recall.

### Discussion

Via the algorithm, the classes have been shown to not only be evidently distinct on their own, but also in terms of the features used. The features used in the experiment are very simple and straightforward, and thus do not require a large amount of data to be learned. Potential applications for document classification may include categorizing documents in a large database or potential dataset. Categorization can possibly be applied for sentiment evaluation for product reviews, social media posts, and so on. We go on to explore other potential uses of the algorithm in the following experiments.



**Figure 6.1:** Confusion Matrix for Document Classification. The rows represent the true labels, while the columns represent the predicted labels.

#### 6.2.2 Authorship Identification

After we have identified that the features are able to distinguish between different classes of documents, we now ask, “Can a machine distinguish between texts from the same class?”

This is a homogenous classification task, where the classes are very similar to each other, and the specific task is to identify the author of a text, given a set of candidate authors. For example, given the text of “Alice in Wonderland”, MAD HATTER’s task is to identify that the author is Lewis Carroll. The task is a natural progression from the document classification task and a more fine-grained existing problem in the field of NLP.

### Setup

We make use of the work done by Gerlach and Font-Clos (2018) to standardize the Project Gutenberg for data exploration and analysis. We filter out for the most prolific 1000 authors in the available non-copyright literature. Furthermore, we randomly sample a maximum of 30 works per author. This is done in order to avoid overfitting for the more prolific authors (number one has more than 300), and even then only the top 200 authors have more than 30. A single chunk of 100,000 characters is then taken from each text and added to a list for processing.

The pipeline is similar to the one listed for document classification. We process all samples and obtain the features described in Section 3.3. The dataset is then split into a training, validation, and test set, with a ratio of 80:10:10. The features are then standardized (subtracting the mean and dividing by the standard deviation for each column). The training set is used to train a logistic regression with L2 penalty, which is then used to predict the author of the documents in the test set. As an intermediary step, we run a grid search with the training dataset and the validation dataset in order to find the best parameter for the inverse of regularization strength of the algorithm. The parameter is chosen from the set  $\{\frac{1}{64}, \frac{1}{32}, \frac{1}{16}, \frac{1}{8}, \frac{1}{4}, \frac{1}{2}, 1, 2, 4, 8, 16, 32, 64\}$ . The parameter with the highest accuracy on the validation set is chosen for the final model. The accuracy of the model is then evaluated on the test set.

Experiment	A. Id. ( $n = 1000$ )	A. Id. ( $n = 50$ )
Size of Data (train/val/test)	17306:962:961	1290:72:72
Accuracy (train/val/test)	26.83%/23.91%/20.19%	55.50%/51.39%/56.94%
Precision	0.229/0.159/0.132	0.528/0.453/0.408
Recall	0.249/0.19/0.164	0.539/0.414/0.529
F1-Score	0.216/0.158/0.134	0.518/0.414/0.431

**Table 6.4:** Performance results for Authorship Identification ( $n = 1000$  and  $n = 50$ ).

### Results

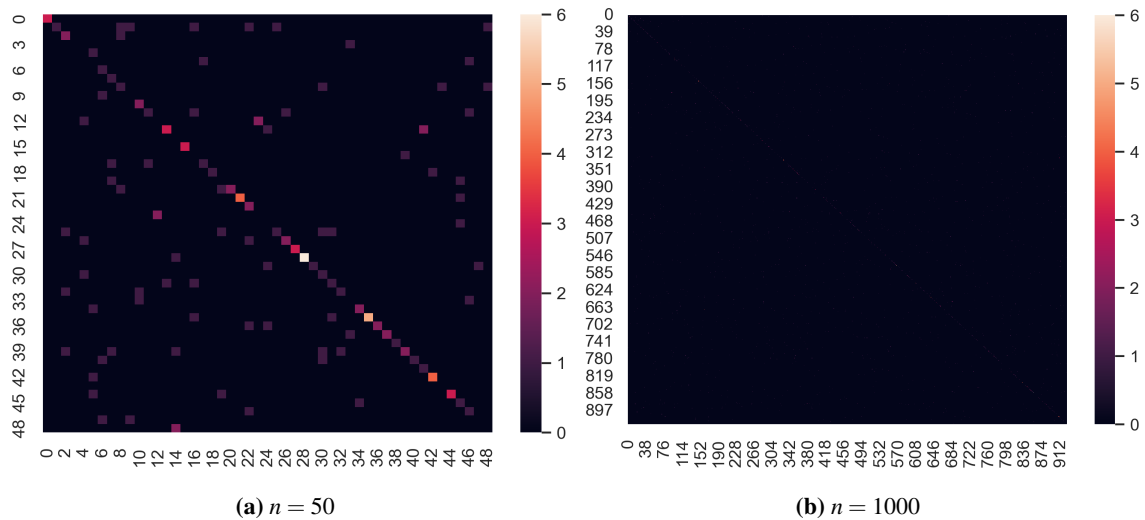
Table 6.4 details the results of the case study for both the 50 authors case and the 1000 authors case. Accuracy, precision, recall and F1 scores are reported. The model is able to distinguish between authors with an accuracy of 55.50% for the 50 authors case, and 26.83% for the 1000 authors case. The results also show that the model is not overfitting, as the accuracy on the test set is similar to the accuracy on the training set. Although not clearly visible, Figure 6.2 shows the confusion matrix for the authorship identification experiment.

Unfortunately, the model struggles to achieve high accuracy for a huge number of authors, in our case the total number being a thousand. However, if we consider a baseline of a simple coin flipping, that is, a model that will randomly assign a label from the available labels (authors) to each work with a probability of  $\frac{1}{1000}$  (for the bigger classification case), we can see that the model

does perform relatively well in distinguishing some features that are similar between authors. The confusion matrix potentially indicates similar features between authors, a characteristic that may be a topic for further research. Note the highest number of correct predictions (6 and 6 out of a maximum of 30) respectively in the middle of the matrix in 6.2a and towards the tail end of the diagonal of 6.2b (around (855,855)). The other values are relatively low, with the highest number of incorrect predictions being 3 and 3, respectively.

The results are, in fact, in line with the results of Qian et al. (2019), who report an accuracy of 69.1% on the Reuters 50\_50 (C50) dataset and 89.2 % on the Gutenberg dataset (for a maximum of 50 authors and 45000 paragraphs of text from their works). The authors used sentence- and article-level GRUs and an article-level LSTM neural network to achieve these results. The authors also provided a baseline accuracy of 12.24% via Gradient Boosting Classifier with 3 features, those being average word length, average sentence length, and Hapax Legomenon ratio (fraction of unique words), 2 of which we used. Given this baseline and the trivial computational complexity of our experiments, we have a reason to believe that we surpass the baseline and the researched features do enable stronger distinction between authors in this classification task.

Potential areas for improvement include the use of more sophisticated features, such as the ones described in ??, as well as the use of more sophisticated models for multiclass classification, such as SVMs, neural networks, or Naive Bayes classifiers. We then move on to the next case study, which is the identification of machine-generated text.



**Figure 6.2:** Confusion Matrices for Authorship Identification. The rows represent the true labels, while the columns represent the predicted labels.

### 6.2.3 Machine-Generated Text Identification

Having tested our hypothesis that the features are able to distinguish between different classes of documents in section 6.2.1, and then identified that the features are able to distinguish between different authors in section 6.2.2, we now move on to the ambitious goal of identifying machine-generated text. LLMs have seen explosive growth in the past few years, and generating human-like text, both grammatically and (somewhat) logically-sound, is now far from a distant dream. However, the ability to generate text indistinguishable from human-written text has raised concerns

about the potential for misuse of such models. Particular issues may arise for example with academic grading, as AI writing tools become more and more prevalent. Fields other than academia may also be affected, such as journalism, where AI writing tools may be used to lazily generate non-proofread articles with the potential to spread misinformation. Of course, the potential for misuse is not limited to the above examples, and there are plenty of uses that malicious agents can come up with, either for personal gain or for the sake of spreading chaos.

Now then, we arrive back at the essence of the problem we are trying to solve: “What defines creativity? What defines human creativity?” The answer to this question is not simple, and it is not the goal of this thesis to answer it in full. However, we can attempt to answer a more specific question: “Can a machine distinguish between human-written text and machine-generated text?”

### Setup

We make use of the WebText dataset (Radford et al., 2019), which is a large dataset of text scraped from the internet and used to train the influential GPT-2 (Generative Pre-trained Transformer), a LLM developed by OpenAI. Texts generated by GPT-2 themselves serve as the basis for the “machine-generated” classification labels and are labelled as Machine-Generated Text (MGT). Samples from the WebText dataset used to train GPT-2 form the basis for the “human-written” classification labels. 20,000 samples are randomly drawn from the set of machine-generated texts, and 20,000 — from the set of human texts.

The dataset is split into a training, validation, and test set, with a ratio of 80:10:10. The training set is used to train a logistic regression with L2 penalty, which is then used to predict the class of the documents in the test set. As an intermediary step, we run a grid search with the training dataset and the validation dataset in order to find the best parameter for the inverse of regularization strength of the algorithm. The parameter is chosen from the set  $\{\frac{1}{64}, \frac{1}{32}, \frac{1}{16}, \frac{1}{8}, \frac{1}{4}, \frac{1}{2}, 1, 2, 4, 8, 16, 32, 64\}$ . The parameter with the highest accuracy on the validation set is chosen for the final model. The accuracy of the model is then evaluated on the test set.

### Results

The model reports an accuracy of 69.7% on the training set, 70.0% on the validation set, and 70.0% on the test set. The results show that the model is not overfitting, as the accuracy on the test set is similar to the accuracy on the training set. Figure 6.3 shows the confusion matrix for the machine-generated text detection experiment. As seen, the document is able to distinguish between the classes with a passable accuracy, precision and recall.

**Table 6.5:** Performance results for MGT Detection

	Split		
	Train	Val	Test
Size of Data	32000	4000	4000
Accuracy	0.697	0.700	0.700
Precision	0.698	0.702	0.700
Recall	0.697	0.700	0.700
F1-Score	0.697	0.700	0.700

0	679	334
1	341	646
	0	1

**Figure 6.3:** Confusion Matrix for MGT Detection. The rows represent the true labels, while the columns represent the predicted labels.

### Discussion

The results displayed by the model show some promise, especially given the trivial nature of the features we studied. Accuracy is higher, could be used to detect machine-generated text with a high degree of certainty. However, the results are not as high as we would like them to be, and there is a lot of room for improvement. As discussed in prior points, potential areas for improvement include the use of more sophisticated features, such as the ones described in ??, as well as the use of more sophisticated models for multiclass classification, such as SVMs, neural networks, or Naive Bayes classifiers.

The authors of GPT-2 provide a baseline of their own <sup>1</sup>, citing 74.31% accuracy for the temperature 1-sampled output of the XL version of the GPT-2 model (1582 billion parameters), and 92.62% for the K40-sampled output of the same model. Again, our results only serve as a demonstration of the accuracy of the MAD HATTER package, rather than a definitive leap in the field of machine-generated text detection. We do look forward, however, to more expansive testing of the package, as well as the implementation of more sophisticated features and models in the future.

#### 6.2.4 Summary

In this section, we have implemented three experiments to evaluate the performance of MAD HATTER. We started by evaluating the performance of MAD HATTER in identifying the class of a document, thus demonstrating that the features we implement are well-defined and enable differentiating between different types of writing. We then moved on to evaluating how well the algorithm can differentiate between different writing styles, a task also known as authorship identification. Finally, we followed the logical progression of authorship identification to address a topic that has been gaining traction in recent years, that of machine-generated text detection. This may have further applications in the future, as the field of natural language generation has been steadily growing in the past few years, with the advent of LLMs such as GPT-2 (Radford et al., 2019) and GPT-3 (Brown et al., 2020).

<sup>1</sup><https://github.com/openai/gpt-2-output-dataset/blob/master/detection.md>

## **Chapter 7**

# **Discussion**

### **7.1 Formal evaluation of the system**

#### **7.1.1 Accomplished goals**

#### **7.1.2 Limitations of the system**



## Appendix A

# User Manual

The following user manual lists and explains the features of the Mad Hatter package. It also provides a guide on how to install and use the package, as well as how to use the command-line interface. The guide assumes that the user **has installed Python 3.7+**, and has access to a terminal.

### A.1 Installation

Run the following command to install the package and its dependencies:

```
pip install madhatter
```

We highly recommend also running NLTK's downloader module in order to have access to all of the features that Mad Hatter provides. To do so, simply run the following command:

```
python -m nltk.downloader all
```

#### A.1.1 Usage

The package provides high-level abstractions for text analysis that can be used with any text. The following example shows how to use the package to analyse a simple text file within Python:

```
from madhatter.benchmark import CreativityBenchmark
```

```
text = "The_quick_brown_fox_jumped_over_the_lazy_dog."  
bench = CreativityBenchmark(text)
```

```
bench.report()
```

```
>>> BookReport(title='unknown', nwords=10, mean_wl=3.7, mean_sl  
=45.0, mean_tokenpersent=10.0, prop_contentwords=0.1,  
mean_conc=4.063333333333335, mean_img=5.359999999999999,  
mean_freq=-1.6792249660842167, prop_pos={'ADJ': 0.2, 'NOUN':  
0.3, 'VERB': 0.1}, surprisal=None, predictability=None)
```

#### A.1.2 Command Line Interface

Mad Hatter is also available as a CLI tool. Simply provide a path to a text file to the CLI, and it will generate a report for that text. Table A.1 lists the available options to the CLI. The CLI must be supplied a filename or a path to the file to be analysed. The following example shows how to use the CLI to generate a report for an arbitrary text file:

```
> python -m madhatter text.txt -p -m 100 -c 20 -t "My_Text"
```

```
BookReport(title='My_Text', nwords=100, mean_wl=3.7, mean_sl
           =45.0, mean_tokenpersent=10.0, prop_contentwords=0.1,
           mean_conc=4.0633333333333335, mean_img=5.359999999999999,
           mean_freq=-1.6792249660842167, prop_pos={'ADJ': 0.2, 'NOUN':
           0.3, 'VERB': 0.1}, surprisal=None, predictability=None)
```

Short	Long Form	Default	Description
-h	--help		Show a help message and exit the program.
-p	--postag		Whether to return a POS tag distribution over the whole text. The option is a flag, so it only needs to be added.
-u	--usellm		Whether to run GPU-intensive LLMs for additional characteristics. The option is a flag, so it only needs to be added.
-m	--maxtokens	-1	Maximum number of predicted words for the heavyweight metrics. Count starts from the beginning of text, -1 to read until the end.
-c	--context	10	Context length for sliding window predictions as part of heavyweight metrics. Longer context for better results, but may potentially result longer compute times.
-t	--title		Optional title to use for the report project. If not supplied, the name of the file supplied is used in the book report.
-d	--tagset	universal	Tagset to use. Default is the universal tagset.

**Table A.1:** Available options to the CLI.

### A.1.3 Advanced Usage

Users are also able to use the package's lower-level functions to create their own custom analysis pipeline or to integrate with other NLP packages such as SpaCy.

```
from madhatter import metrics
from madhatter import benchmark

text = "The_quick_brown_fox_jumped_over_the_lazy_dog."
bench = benchmark.CreativityBenchmark(text)

bench.words
>>> ['The', 'quick', 'brown', 'fox', 'jumped', 'over', 'the',
      'lazy', 'dog', '.']

metrics.imageability(bench.words)
>>> [1.41, 2.45, 3.14, 4.2, 3.4, 3.65, 1.41, 2.42, 4.1, 0.0]
```

## Appendix B

# Maintenance Manual

This should be used to describe the details of your implementation. It should be usable by people wanting to install the program, modify the program, extend the program, or trace bugs in its execution. This is an important part of the documentation, and you should ensure that you include details such as:

- instructions on how to install the system
- instructions on how to compile/build the system
- hardware/software dependencies, including libraries and other packages
- Organisation of system files, including directory structures, location of files within directories, details of any temporary files
- space and memory requirements
- list of source code files, with a summary of their role
- crucial constants, and their location in the code
- the main classes, procedures, methods or data structures
- file pathnames, particularly for accessing files of data values
- directions for future improvements
- bug reports

Again, the Maintenance Manual must be included as an appendix to the main report and will therefore be marked as part of the dissertation. (this is in addition to a copy of the maintenance manual with the code tar file) Here is an example template project report document, and here is a tarfile of the corresponding LaTeX source (on Unix, just type “make”).

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