

1 International Journal of Computational Intelligence and Applications
 2 Vol. 8, No. 3 (2009) 1–28
 3 © Imperial College Press



5 CELLULAR LEARNING AUTOMATA BASED DYNAMIC 6 CHANNEL ASSIGNMENT ALGORITHMS

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19 A solution to channel assignment problem in cellular networks is self-organizing channel
 20 assignment algorithm with distributed control. In this paper, we propose three cellular
 21 learning automata based dynamic channel assignment algorithms. In the first two
 22 algorithms, no information about the status of channels in the whole network will
 23 be used by cells for channel assignment whereas in the third algorithm, the additional
 24 information regarding status of channels may be gathered and then used by cells in order
 25 to allocate channels. The simulation results show that by using the proposed channel
 26 assignment algorithms the micro-cellular network can self-organize itself. The simulation
 27 results also show that the additional information used by the third algorithm help
 28 the cellular learning automata to find an assignment which results in lower blocking
 29 probability of calls for the network.

30 *Keywords:*

31 1. Introduction

32 With increasing popularity of mobile computing, demand for channels is on the rise.
 33 Since the number of channels allocated to the cellular networks is limited, efficient
 34 management and sharing of channels among numerous users become an important
 35 issue. The limited number of channels means that channels have to be reused as
 36 much as possible in order to support the many thousands of simultaneously calls
 37 that may arise in any typical mobile communication environment. Since channels
 are scarce and expensive resources in cellular networks, then efficient assignment

*This research was in part supported by a grant from Institute for Studies in Theoretical Physics and Mathematics (IPM), Tehran, Iran.

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of channels to support communication sessions is of vital importance. The problem of assignment of channels to communication sessions is known as the *channel assignment problem* and the algorithm, which assigns channels to communication sessions, is called *channel assignment algorithm*. There are many schemes reported in the literature for assigning channels to communication sessions. These schemes can be divided into a number of different categories depending on the comparison basis. For example, when channel assignment algorithms are compared based on the manner in which co channels are separated, they can be classified as *fixed channel assignment* (FCA), *dynamic channel assignment* (DCA), and *hybrid channel assignment* (HCA) schemes.¹ In FCA schemes, a set of channels are permanently assigned to each cell, which can be reused in another cell, at sufficient distance, such that interference is tolerable.² In DCA schemes, there is a global pool of channels from where channels are assigned on demand and the set of channels assigned to a cell varies with time. After a call is completed, the assigned channel is returned to the global pool.^{3,4} In HCA schemes, channels are divided into *fixed* and *dynamic* sets.⁵ Fixed set contains a number of channels that are assigned to cells as in the FCA schemes. The fixed set of a particular cell are assigned only for calls initiated in that cell. Dynamic set is shared among all users in the network to increase flexibility. When a request for service is received by a base station, if there is a free channel in the fixed set then the base station assigns a channel from the fixed set and if all channels in the fixed set are busy, then a channel is assigned from the dynamic set.

In this paper, we present three cellular learning automata based self-organizing channel assignment algorithms. In order to show the feasibility of the proposed algorithms, computer simulations are conducted. The simulation results show that the cellular network can self-organize the assignment of channels by using the proposed channel assignment algorithms. The proposed algorithms have been compared with two existing methods proposed in Refs. 3 and 4. The simulation results show that the proposed algorithms segregate the channels among cells of the network in such a way that the blocking probability will be in an acceptable range. Even though the blocking probability of some of the proposed algorithms are slightly higher than the blocking probability for channel segregation and reinforcement learning algorithms, but they require smaller number of messages to be exchanged among the cells.

The rest of the paper is organized as follows. A brief review of channel assignment algorithms is given in Sec. 2. In Sec. 3, cellular learning automata is presented. Sections 4 and 5 present proposed algorithms and numerical results, respectively, and Sec. 6 concludes the paper.

2. Channel Assignment Algorithms

In cellular mobile networks, as shown in Fig. 1, the total service area of the network is partitioned into smaller areas, called *cells*, each with a *base station* located at its center. A number of base stations are linked to a *mobile switching center* through

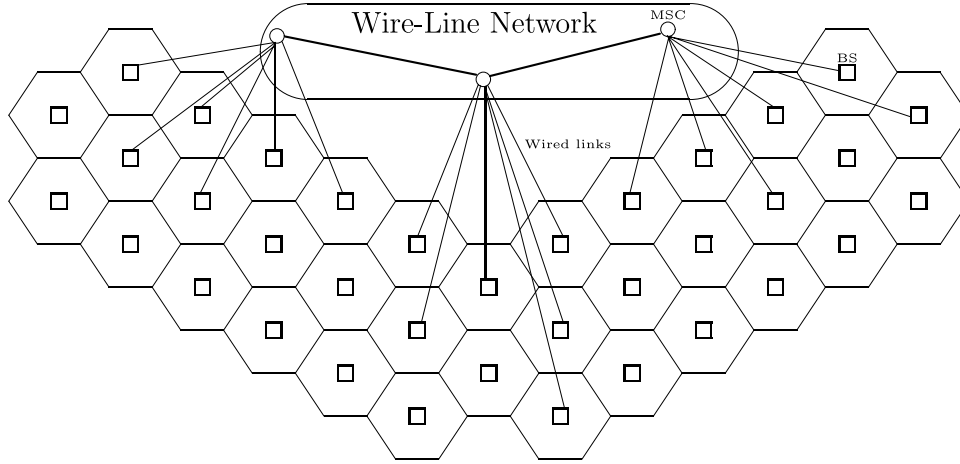


Fig. 1. Model of cellular mobile networks.

wire-line links. The mobile switching centers acts as a gateway of the mobile network to the existing wire-line networks such as *public switched telephone networks*. Mobile users can communicate with other users only by employing the wireless communication via the base station of the cell which they currently occupy. The wireless communication between a mobile user and a base station is done by using a channel. Channels are assigned to mobile users within each cell by the base station of that cell. If a particular channel is used concurrently by more than one communication session in the same cell or in the neighboring cells, the signal of communicating units will interfere with other signals. Such an interference is called *cochannel interference*. However, the same wireless channel can be used to support communicating sessions in geographically separated cells such that their signals do not interfere with each other. Such a reusability of channels is possible due to the loss of the transmitter power. This reusability is known as *frequency reuse* and corresponding cells are called *cochannel cells*. The set of all such cells which use the same channel are referred to as *cochannel sets* and the minimum distance at which channels can be reused with acceptable interference is called *cochannel reuse distance*. The set of all neighboring cells that are in the co channel interference range of each other forms a *cluster*. Thus, at any time, a channel can be used to support at most one communication session in a cluster. There are two other sources for interference: the first is, two different communication session in the same cell or neighboring cells using two adjacent channels, this interference is called *adjacent channel interference*, and the second is, two communication sessions in the same cell using different channels with some range, this interference is called *co-site interference*.

Channels are assigned using a channel assignment algorithm to the mobile users within each cell by the base station and cannot be reused within its cluster.

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1 The channel assignment algorithms can be divided into a number of different cate-
 3 gories depending on the comparison basis. For example, when channel assignment
 5 algorithms are compared based on the manner in which co channels are separated,
 they can be classified as *fixed channel assignment* (FCA), *dynamic channel assign-*
ment (DCA), and *hybrid channel assignment* (HCA) schemes.¹ In the rest of this
 section we review some channel assignment algorithms proposed in the literature.

7 **2.1. Fixed channel assignment algorithms**

The simplest channel assignment is the fixed assignment in which a set of chan-
 9 nels are permanently allocated to each cell, which can be reused in another cell, at
 sufficient distance, such that cochannel interference is tolerable. The simplest FCA
 11 strategy is allocating the same number of channels to every cell. This strategy is
 called *uniform channel allocation* and is useful when load has uniform distribution.
 13 In this case the probability of call blocking in a cell is the same as the call block-
 ing probability of the cellular network.¹ The uniform channel allocation has poor
 15 channel utilization, because the traffic in cellular systems is not uniform and has
 spatial fluctuations. In this case it is appropriate to allocate channels to cells to
 17 match the demands. This strategy is called *nonuniform channel allocation* strat-
 egy. Fixed channel assignment problem can be defined using T-coloring problem,
 19 which is an *NP-Complete* problem.² Hence, channel assignment problem is classi-
 fied as a NP-Complete problem, which means as the size of the problem increases,
 21 the time required to solve the channel assignment problem does not increase in a
 polynomial manner – but in an exponential manner. In order to find the solution
 23 for this problem, several algorithms such as frequency-exhaustive,⁶ requirement-
 exhaustive,⁶ and randomized⁷ algorithms are proposed. Since fixed channel assign-
 25 ment problem is a NP-Complete problem, many heuristic techniques such as *tabu*
search,⁸ *neural networks*,^{9–11} and *genetic algorithms*^{12,13} have been devised for solv-
 27 ing the channel assignment problem.

2.2. Dynamic channel assignment algorithms

29 Fixed channel assignment schemes offer negligible computation overhead (channel
 acquisition time) and zero communication overhead, and the details of the prob-
 31 lem are always completely specified in advance. In the real cellular networks, the
 underlying set of base stations, constraints, and the number of channels are fixed
 33 but the demands must be thought of as a sequence of random variables. In these
 cases, FCA schemes are not able to attain high channel efficiency. This observation
 35 was motivated by some researchers to introduce DCA schemes in which there is a
 global pool of channels from where channels are assigned on demand. After a call is
 37 completed, the channel is returned to the global pool. In DCA schemes, a channel
 could be used in any cell provided that interference constraints are satisfied. An
 39 obvious dynamic channel assignment scheme is to consider recalculating the entire
 assignment every time the demand vector changes. This is known as a *maximum*

packing strategy.¹⁴ Maximum packing strategy for dynamic channel assignment in cellular networks is a greedy channel assignment algorithm which specifies that a new call attempt is admitted whenever there is some way of rearranging channels so that every call can be carried; otherwise the call is blocked. Note that there can be other dynamic channel assignment algorithms that permit reassignments but they are different from maximum packing algorithms. Maximum packing algorithms have natural appeal due to better performance in low and moderate traffic and the ability to automatically cope with changing traffic patterns. Although the practical usage of maximum packing is limited because of a possible rearrangement of calls which are in progress done on the global basis. One may think that maximum packing algorithms are optimal and give a tight bound on system performance achievable by any dynamic channel assignment algorithm. Though this is true in some cellular systems such as symmetrical cellular systems with equal loads, but in general it may be true only up to a particular load.¹⁵ Fixed and maximum packing strategies are two extremes, but there is clearly room for a wide range in between. Dynamic channel assignment schemes can be divided into *centralized* and *distributed* schemes based on used control strategy. In centralized DCA schemes, the channels are assigned by a central controller on the basis of a cell's status information while in distributed DCA schemes, each base station allocates channels for its local users. Thus distributed schemes are more attractive for implementation in cellular systems, due to the simplicity of the assignment algorithm in each base station. Below, we briefly describe one centralized and one distributed dynamic channel assignment schemes, which will be compared with the algorithms proposed in this paper.

Singh and Bertsekas have formulated the dynamic channel assignment using dynamic programming and reinforcement learning is used for solving it.⁴ The objective of this formulation is to assign channels to calls which results in minimization of the expected number of blocked calls over an infinite horizon. In their formulation, state transitions occur when a channel becomes free due to call departures or when a call arrives at a given cell or when there is handoff. In this approach, at each instant, the state of system consists of two components: the list of occupied and unoccupied channels at each cell, which is referred to as configuration of cellular system and the list of events (call arrival, call termination, or handoff) that causes the state transition. When a channel becomes free, due to the call departure in a particular cell, channels at a given cell is rearranged. The aim of this rearrangement is to create a more favorable channel packing pattern among neighboring cells.

In *channel segregation* scheme, each base station selects a channel with an acceptable cochannel interference by scanning all channels.³ The scanning order is formed independently for each cell on the basis of a channel selection priority vector p . Each base station keeps the current value of channel selection priority $p(i)$ for channel i and selects a channel with highest priority value. In order to determine cochannel interference, the received power level of the selected channel is measured. If the measured power level is above (below) of a threshold value, the channel is

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1 determined to be busy (idle). If the selected channel is idle, the base station starts
 3 communication using that channel and its priority is increased. If the channel is
 5 busy, the priority of the channel is decreased and the next highest priority channel
 is tried. If all channels are busy, the call is blocked. In the channel segregation
 scheme, the value of the priority vector is updated in the following manner.

$$p(i) = \begin{cases} \frac{p(i)N(i) + 1}{N(i) + 1} & \text{if channel } i \text{ is idle,} \\ \frac{p(i)N(i)}{N(i) + 1} & \text{if channel } i \text{ is busy,} \end{cases} \quad (1)$$

7 where $N(i)$ is the number of times channel i is selected. The simulation results
 9 show that the channel segregation strategy uses channels efficiently and decreases
 11 the number of intra-cell handoffs, that is, the reassignment of channels to avoid
 interference. It also decreases the load of switching system as well as quality of
 degradation during a handoff period.

2.3. Hybrid channel assignment algorithms

13 In hybrid channel assignment algorithms, channels are divided into *fixed* and
 15 *dynamic* sets.⁵ A fixed set contains a number of channels that are assigned to cells
 as in the FCA schemes. The fixed channels of a particular cell are assigned only for
 17 calls initiated in that cell. Dynamic set of channels is shared between all users in
 the network to increase flexibility. When a request for service is received by a base
 station, if there is a free channel in the fixed set then the base station assigns a
 19 channel from the fixed set and if all channels in the fixed set are busy, then a chan-
 nel is assigned from the dynamic set. Several hybrid channel assignment algorithms
 21 such as channel assignment with borrowing and reassignment,¹⁶ borrowing with
 channel ordering,¹⁷ directed retry,¹⁸ borrowing with directional channel locking,¹⁹
 23 ordered channel assignment scheme with rearrangement (ODCA),²⁰ sharing with
 bias,²¹ load balancing with selective borrowing²² and distributed load balancing
 25 with selective borrowing scheme,^{23–25} to mention a few have been proposed in the
 literature.

27 The interested readers may refer to^{1,26} for more details on channel assignment
 algorithm.

29 3. Cellular Learning Automata

31 In this section, we first briefly review cellular automata, learning automata and
 cellular learning automata.

3.1. Cellular automata

33 Cellular automata (CA) are mathematical models for systems consisting of large
 numbers of simple identical components with local interactions. CA are non-linear

1 dynamical systems in which space and time are discrete. They are called *cellular*,
 3 because they are made up of cell-like points in the lattice (or like squares of checker
 boards) and they are called *automata*, because they follow a simple rule.²⁷ The
 5 simple components act together to produce complicated patterns of behavior. They
 are specially suitable for modeling natural systems that can be described as mas-
 7 sive collections of simple objects interacting locally with each other.^{28,29} The cells
 update their states synchronously on discrete steps according to a local rule. The
 9 new state of each cell depends on the previous states of a set of cells, including the
 cell itself, and constitutes its neighborhood.³⁰ The state of all cells in the lattice
 11 are described by a configuration, which can be described as the state of the whole
 lattice. The rule and the initial configuration of the CA specifies the evolution of
 CA and tell how each configuration is changed in one step.

13 3.2. Learning automata

Learning in the learning automata have been studied using the paradigm of an
 15 automaton operating in an unknown random environment. In a simple form, the
 automaton has a finite set of actions to choose from and at each stage, its choice
 17 (action) depends upon its action probability vector (\underline{p}). For each action chosen by
 the automaton, the environment gives a reinforcement signal with a fixed unknown
 19 probability distribution. The automaton then updates its action probability vector
 depending upon the reinforcement signal at that stage, and evolves to the some final
 21 desired behavior. A class of learning automata, called *variable structure learning*
automata, is represented by triple $\langle \beta, \alpha, T \rangle$, where $\beta = \{0, 1\}$ is a set of inputs and
 23 $\beta = 1$ means the chosen action is penalized while $\beta = 0$ means the chosen action is
 rewarded, $\alpha = \{\alpha_1, \alpha_2, \dots, \alpha_r\}$ is a set of actions, and T is a learning algorithm.³¹
 25 The learning algorithm is a recurrence relation and is used to modify the action
 probability vector \underline{p} . Let α_i be the action chosen at time k as a sample realization
 27 from probability distribution $\underline{p}(k)$. In linear reward- ϵ penalty algorithm ($L_{R-\epsilon P}$),
 the action probability vector is updated according to the following rule.

$$29 \quad p_j(k+1) = \begin{cases} p_j(k) + a \times [1 - p_j(k)] & \text{if } i = j \\ p_j(k) - a \times p_j(k) & \text{if } i \neq j \end{cases} \quad (2)$$

when $\beta(k) = 0$ and

$$31 \quad p_j(k+1) = \begin{cases} p_j(k) \times (1 - b) & \text{if } i = j \\ \frac{b}{r-1} + p_j(k)(1 - b) & \text{if } i \neq j, \end{cases} \quad (3)$$

when $\beta(k) = 1$. Parameters $0 < b \ll a < 1$ represent *step lengths* and r is the num-
 33 ber of actions for LA. Parameter $a(b)$ determines the amount of increase (decreases)
 of the action probabilities. The algorithm is called *linear reward penalty* (L_{R-P})
 35 if $a = b$ and called *linear reward inaction* (L_{R-I}) if $b = 0$. Nearly all research
 in the area of learning automata deal with automata having a fixed action set.

1 In some applications, such as CPU job scheduling, learning automata with chang-
 3 ing number of actions is needed. In such automata, the set of available actions at
 5 every instant need only be a subset of the complete set of actions and could change
 instantly. It has been shown that L_{R-I} algorithm with changing number of actions
 is both *absolutely expedient* and ϵ -*optimal*.³²

Learning automata have been used successfully in many applications such as
 7 telephone and data network routing,³³ solving NP-Complete problems,³⁴ capacity
 assignment,³⁵ neural network engineering^{36,37} and cellular networks,³⁸⁻⁴² to men-
 9 tion a few.

3.3. Cellular learning automata

11 Cellular learning automata (CLA) is a mathematical model for dynamical com-
 13 plex systems that consists of a large number of simple components and introduced
 in Ref. 43. The simple components, which have learning capability, act together
 to produce complicated behavioral patterns. A CLA is a CA in which a learning
 15 automaton is assigned to every cell. The learning automaton residing in a partic-
 ular cell determines its state(action) on the basis of its action probability vector.
 17 The CLA has a local rule which collectively concurs with actions selected by the
 neighboring learning automata of any particular learning automaton, and deter-
 19 mines the reinforcement signal to the learning automaton, and residing in a cell.
 The neighboring LAs of any particular LA constitute the local environment of that
 21 cell. The local environment of a cell is nonstationary because the action probability
 vectors of the neighboring LAs vary during evolution of the CLA.⁴⁴ The opera-
 23 tion of the cellular learning automata can be described as follows: At first step,
 the internal state of cells are specified. The state of each cell is determined on the
 25 basis of the action probability vectors of the learning automaton residing in that
 cell. The initial value of this state may be chosen on the basis of past experience
 27 or at random. In the second step, the rule of the cellular learning automata deter-
 mines the reinforcement signal input to the learning automaton residing in the cell.
 29 Finally, each learning automaton updates its action probability vector on the basis
 of the supplied reinforcement signal and the action chosen by the cell. This process
 31 continues until the desired result is obtained.

The CLA can be classified into two groups: *synchronous* and *asynchronous*
 33 CLA.⁴⁵ In synchronous CLA, all cells are synchronized with a global clock and
 executed at the same time. The operation of the synchronous CLA take place as
 35 the following iterations. At iteration k , each LA chooses an action.⁴⁶ Then all LAs
 receive a reinforcement signal, which is produced by the application of the local rule.
 37 The higher value of the reinforcement signal means that the chosen action of LA
 will receive higher reward. In asynchronous CLA (ACLA), LAs in different cells are
 39 activated asynchronously. The operation of ACLA takes place as the following iter-
 ations. At iteration k , the activated LAs choose one of their actions. The activated
 41 automata use their current actions to execute the rule (computing the reinforcement

signal). The actions of neighboring cells of an activated cell are their most recently selected actions. Finally, activated LAs update their action probability vectors and the process repeats.

The CLA can also be classified into *closed CLA* and *open CLA*.⁴⁷ In the former, the action of each cell in the next stage of its evolution only depends on the actions of its local environment (actions of its neighboring LAs) while in the latter, the action of each cell in next stage of its evolution not only depends on the local environment but it also depends on the external environments. In *open cellular learning automata* (OCLA), two types of environments are considered: global environment and exclusive environment. Each OCLA has one global environment that influences all cells and an exclusive environment for each particular cell. The operation of OCLA takes place as iterations of the following steps. At iteration k , each LA chooses one of its actions. The actions of all LAs are applied to their corresponding local environments (neighboring LAs) as well as global environments and their corresponding exclusive environments. Then all LAs receive their reinforcement signal, which is a combination of the responses from local, global and exclusive environments. These responses are combined using the local rule. Finally, all LAs update their action probability vectors based on the received reinforcement signal. Note that the local environment for each LA is nonstationary while global and exclusive environments may be stationary or nonstationary.

The CLA have been used in many applications such as image processing,⁴³ rumor diffusion,^{48–52} modeling of commerce networks,⁵³ channel assignment in cellular networks,⁵⁴ VLSI Placement,⁵⁵ and sensor networks,⁵⁶ to mention a few.

4. The Proposed Dynamic Channel Assignment Algorithms

In this section, we propose three dynamic channel assignment algorithms based on asynchronous cellular learning automata. In the first two algorithms, no information about the status of channels in the whole network will be used by the cells for the channel assignment purpose whereas in the third algorithm we allow additional information regarding status of channels to be gathered and used by the cell in order to allocate channels. The additional information helps the cellular learning automata to find an assignment which results in lower blocking probability for the network.

4.1. CLA based dynamic channel assignment Algorithm I

In this section the first dynamic channel assignment algorithm based on CLA will be proposed. In this algorithm, a network with n cells and m channels is modeled with an ACLA with n cells, where cell v is equipped with m two-actions LA of L_{R-I} type. In each cell v , the k th LA specifies that the k th channel is to be used in this cell or not. The action set of LAs is equal to $\{0, 1\}$, where 1 means the corresponding channel is selected as a candidate channel for the assignment to the

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1 incoming call while 0 means that the corresponding channel is not selected. The
 2 operation of this algorithm can be described as follows: when a call arrives at cell
 3 u , all LAs of this cell are scanned using a sweeping strategy until an interference
 4 free channel is found or all channels are scanned. The sweeping strategy orders
 5 the LAs of a cell for scanning. The sweeping strategies used for this algorithm are:
 6 fixed sweeping, maximum usage sweeping, minimum usage sweeping, and random
 7 sweeping. Let $I_u = (i_1, i_2, \dots, i_m)$ be the scanning order of learning automata of
 8 cell u specified by the sweeping strategy. If an interference-free channel is found,
 9 the incoming call is accepted, a channel is assigned to it, and then the selected
 10 action of the corresponding LA is rewarded; otherwise the call will be blocked. The
 11 `ASSIGNCHANNEL(s)` shown in Procedure 1 is executed by a cell upon the receipt of
 12 a call to assign a channel using a strategy s .

13 In what follows, we study how the proposed algorithm is mapped to an ACLA
 14 with multiple LAs in each cell. The activation probability vector of ACLA is
 15 obtained by taking expectation from product of an n -dimensional vector $\underline{\pi}_1$ and an
 16 $n \times nm$ -dimensional matrix $\underline{\pi}_2$. Vector $\underline{\pi}_1$ is called *cell activation vector* and deter-
 17 mines when a given cell is activated. It is apparent that when a call arrives to a cell i ,
 18 it will be activated, i.e., $\pi_1(i) = 1$. Thus $E[\pi_1(i)] = \frac{1}{\lambda_i}$ for cells $i = 1, 2, \dots, n$, where
 19 λ_i is the call arrival rate for cell i . Matrix $\underline{\pi}_2$ is called learning automata activation
 matrix and determines when an LA in an activated cell is triggered. Elements $\pi(i, j)$

Procedure 1. The channel assignment Procedure I executed by each base station.

```

1: procedure ASSIGNCHANNEL( $s$ )
2:   order LAs using the given sweeping strategy  $s$  and put in list  $L$ .
3:   set  $k \leftarrow 1$ 
4:   set  $found \leftarrow false$ 
5:   while  $k \leq m$  and not found do
6:     LA  $A_{L_k}$  chooses one of its actions, where  $A_{L_k}$  is the  $k^{th}$  LA in list  $L$ .
7:     if selected action is 1 then
8:       if selected channel doesn't interfere with channels used in neighboring
       cells then
9:         assign the channel and reward the action  $\alpha_1$  of  $A_{L_k}$ 
10:        set  $found \leftarrow true$ 
11:      end if
12:    end if
13:    Set  $k \leftarrow k + 1$ 
14:  end while
15:  if not  $found$  then
16:    block the incoming call
17:  end if
18: end procedure

```

1 becomes 1 when the k th LA in cell i is activated, where $k = j - (i - 1)m$. Thus
 3 $E[\pi_2(i, j) | \pi_1(i) = 1]$ equals to the probability of triggering the k th LA in cell i (for
 5 $k = j - (i - 1)m$) given a call arrives at cell i . Vector $\underline{\pi}_1$ is determined by the call
 arrival rate while matrix $\underline{\pi}_2$ is obtained from sweeping strategies, which some of
 them are described below.

Fixed sweep strategy: This strategy scans channels of a typical cell i one by one
 7 in an increasing order of their indices, i.e., $I_i = (1, 2, \dots, m)$. Suppose that a call
 arrives at cell i (for $i = 1, \dots, n$), then $\pi_1(i) = 1$ and the LAs are triggered using
 9 matrix $\underline{\pi}_2$, which is recomputed every time an LA is triggered. The re-computation
 of matrix $\underline{\pi}_2$ is done in the following way. At the first step $\pi_2(i, (i - 1)m + 1)$ is set
 11 to 1, i.e., the first LA is activated. Then the remaining elements of $\underline{\pi}_2$ are computed
 according to the following rule:

$$13 \quad \pi_2(i, j) = \begin{cases} 1 & \text{if } \pi_1(i) = 1 \text{ and } \pi_2(i, j - 1) = 1 \\ 0 & \text{otherwise,} \end{cases} \quad (4)$$

for $j = (i - 1)m + 2, \dots, im$. In other words, in this strategy, LAs in cell i are
 15 triggered sequentially in increasing order of their indices until a channel is found
 for the assignment or all channels are examined.

Maximum usage strategy: In this strategy, the set of LAs in cell i are triggered
 17 in decreasing order of their usage of their corresponding channels until a non-
 19 interfering channel is found. If no channel can be found, then the incoming call
 will be blocked. In other words, in this strategy, LA A_k is triggered in the k th
 21 stage of the activation of cell i if u_k^i is the k th largest element in usage vector
 $\underline{u}^i = \{u_1^i, u_2^i, \dots, u_m^i\}$, where u_k^i is the number of times that channel k is assigned
 23 to calls in cell i .

Minimum usage strategy: In this strategy, the set of LAs in cell i are triggered in
 25 increasing order of their usage of their corresponding channels until a non-interfering
 channel is found. If no channel can be found, then the incoming call will be blocked.
 27 In other words, in this strategy, LA A_k is triggered in the k th stage of the activation
 of cell i if u_k^i is the k th smallest element in usage vector $\underline{u}^i = \{u_1^i, u_2^i, \dots, u_m^i\}$, where
 29 u_k^i is the number of times that channel k is assigned to calls in cell i .

Random sweep strategy: In this strategy, the set of LAs in the cell are triggered
 31 in random order. First a random sequence of indices are generated randomly and
 then the set of LAs are triggered according to this generated order.

33 4.2. CLA based dynamic channel assignment Algorithm II

In this section we propose the second CLA based dynamic channel assignment
 35 algorithm. In this algorithm, we consider a cellular network with n cells and m full
 duplex and interference free channels. In order to assign channels to calls dynam-
 37 ically, we use an ACLA with n cells and one $L_{R-\epsilon P}$ LA in each cell. The neigh-
 borhood and the local rule for ACLA are the same as those given for Algorithm I.

Procedure 2. The channel assignment Procedure II executed by each base station using non-retry strategy.

```

1: procedure ASSIGNCHANNEL
2:   LA chooses one of its actions.
3:   if selected channel doesn't interfere with channels used in neighboring cells
       then
4:     assign the channel and reward the selected action of LA
5:   else
6:     block the incoming call and penalize reward the selected action of LA
7:   end if
8: end procedure

```

1 Each LA has action set $\underline{\alpha} = \{1, 2, \dots, m\}$, where action j corresponds to channel j .
 In this algorithm, when the LA residing in cell i chooses action j , it means that
 3 channel j is a candidate channel for assigning to the incoming call in cell i . The
 following strategies can be used to examine different channels for assignment.

5 **Non-retry strategy:** In this strategy, when a call arrives at a cell, the LA asso-
 ciated to this cell chooses one of its actions. If the channel corresponding to the
 7 chosen action does not interfere with the channels used in neighboring cells, then the
 chosen channel is assigned to the incoming call and the chosen action is rewarded;
 9 otherwise the call is blocked and the chosen action is penalized. The ASSIGNCHAN-
 NEL shown in Procedure 2 is executed by a cell upon the receipt of a call to assign
 11 a channel.

Retry strategy: In this strategy, when a call arrives at a cell, the LA associated
 13 to this cell chooses one of its actions. If the channel corresponding to the chosen
 action does not interfere with the channels used in its neighboring cells, then the
 15 chosen channel is assigned to the incoming call and then it is rewarded; otherwise
 it is penalized. When the base station fails to assign the chosen channel, it retries
 17 in order to choose another channel. The process of retrying repeats until all m
 channels are tested or a non-interfering channel is found for assignment. When the
 19 base station fails to find a channel to assign, the incoming call is blocked. The
 ASSIGNCHANNEL shown in Procedure 3 is executed by a cell upon the receipt of a
 21 call to assign a channel.

4.3. CLA based dynamic channel assignment Algorithm III

23 The results of experiments conducted with Algos. I and II revealed the fact that
 the blocking probability attained by Algos. I and II is not the minimum attainable
 25 blocking probability. In order to alleviate this problem, the third CLA based algo-
 rithm will be proposed. In this algorithm, we allow additional information regarding
 27 channels in the network to be gathered and used by each cell in order to allocate

Procedure 3. The channel assignment Procedure II executed by each base station using retry strategy.

```

1: procedure ASSIGNCHANNEL
2:   set  $k \leftarrow 1$ 
3:   set  $found \leftarrow false$ 
4:   while  $k \leq m$  and not found do
5:     LA chooses one of its actions.
6:     if selected channel doesn't interfere with channels used in neighboring
       cells then
7:       assign the channel and reward the selected action of LA
8:       set  $found \leftarrow true$ 
9:     else
10:      penalize the selected action of LA
11:    end if
12:    Set  $k \leftarrow k + 1$ 
13:  end while
14:  if not  $found$  then
15:    block the incoming call
16:  end if
17: end procedure

```

1 channels. The additional information helps CLA to find an assignment which results in lower blocking probability for the network.

3 In the rest of this section, we explain two strategies for exchanging additional
 5 information: search and update strategies. We call channel assignment algorithms
 7 that use search and update based strategies, *search based algorithm* and *update*
 9 *based algorithm*, respectively. In order to assign channels dynamically in a network
 with n cells and m channels, we use an ACLA with n cells and one L_{R-I} learning
 automaton with varying action set in each cell. Each learning automaton has action
 set $\underline{\alpha} = \{1, 2, \dots, m\}$, where action j corresponds to channel j .

Search based algorithm: In a search based algorithm, when a call arrives at
 11 cell i , the following steps are taken by the base station of the cell. First, the base
 station queries its neighborhoods for their busy channels, if any, and then disables
 13 the actions of its learning automaton corresponding to these channels. Second, the
 learning automaton of cell i chooses one of its enabled actions (channels), if any.
 15 This channel is then assigned to the incoming call and the chosen action is rewarded.
 If the enabled action set is empty, then the incoming call will be blocked. Finally,
 17 the base station of the activated cell enables all of its actions and then waits for the
 arrival of the next call or message. The ASSIGNCHANNEL shown in Procedure 4 is
 19 executed by a cell upon the receipt of a call or a message from neighboring cells.

Procedure 4. The channel assignment Procedure III executed by each base station (Search strategy).

```

1: procedure ASSIGNCHANNEL
2:   if a call arrives then
3:     obtain the list of busy channels in the neighboring cells by sending
       message to them.
4:     disable actions selected by the neighboring learning automata.
5:     if action set of learning automaton is not empty then
6:       LA chooses one channel.  $\triangleright$  This channel is not used in neighboring
       cells.
7:       assign the channel and reward the selected action of LA
8:     else
9:       block the call
10:    end if
11:    enable all channels of LA
12:  end if
13:  if a query message is received from a neighboring cell then
14:    send the list of busy channels to this cell
15:  end if
16: end procedure

```

1 Gathering additional information can be done either using message transmission
2 or using the interference detection hardware. When message transmission is used
3 to gather additional information, it is possible to assign one channel to more than
4 one neighboring cells at the same time. In order to prevent simultaneous usage of
5 one channel by two or more neighboring base stations, a time stamp according to
6 the Lamport's scheme⁵⁷ is attached to each request. A base station which currently
7 searches for a channel defers the respond to any request with a higher time stamp
8 than its own until its own request has been completed.

9 **Update based algorithm:** In this algorithm, when a call arrives at cell i , the
10 following steps are performed by its base station. First, the learning automaton in
11 the cell chooses one of its free channels (enabled actions), say channel j , if any,
12 and then the base station assigns this channel to the incoming call and the chosen
13 channel is rewarded. If the free channel set is empty, then the incoming call will be
14 blocked. Second, when channel j is assigned to the incoming call, the base station
15 of the cell informs the neighboring cells about this assignment. When a base station
16 finds out that a channel is being used in a neighboring cell, it disables the action
17 corresponding to this channel. Finally, when a call is terminated or handed off,
18 its channel, say channel j is released. Then the base station of the cell informs
19 the neighboring cells about this release and action j of all learning automata in

Procedure 5. The channel assignment Procedure III executed by each base station (Update strategy).

```

1: procedure ASSIGNCHANNEL
2:   if a call arrives at the cell then
3:     if action set of LA assigned to the cell is not empty then
4:       LA chooses one action from its enabled actions, let  $\alpha_j$  be the selected
         action.
5:       assign the channel and reward the selected action of LA
6:       send channel  $j$  is busy to neighboring cells
7:     else
8:       block the call
9:     end if
10:  end if
11:  if an update message is received from one of the neighboring cells that
         channel  $j$  is assigned then
12:    disable the action  $\alpha_j$ 
13:  end if
14:  if an update message is received from one of the neighboring cells that
         channel  $j$  is free then
15:    enable the action  $\alpha_j$ 
16:  end if
17:  if a call using channel  $j$  departures from the cell then
18:    enable the action  $\alpha_j$ 
19:    send message channel  $j$  is free to neighboring cells
20:  end if
21: end procedure

```

- 1 the neighboring cells are enabled. The ASSIGNCHANNEL shown in Procedure 5 is
- 2 executed by a cell upon the receipt of a call or a message from neighboring cells.
- 3 The distributed nature of these algorithms and the finite but nondeterminis-
- 4 tic propagation delays of messages between base stations may lead to cochannel
- 5 interference. Such a possibility can be prevented as follows: having a candidate for
- 6 assignment, the base station of the activated cell sends a message to its neighboring
- 7 cells. Only if all base stations in the neighboring cells approve of the assignment
- 8 of the candidate channel, then the channel is assigned; otherwise the base station
- 9 chooses another candidate channel and the process is repeated. To do this, the
- 10 algorithms may use time stamp with its request messages. However, in these algo-
- 11 rithms the request messages are not deferred while waiting for permission to use
- 12 the candidate channel from all base stations in the cluster if it receives a request
- 13 from another base station for the same channel. In this case, it responds with reject
- if the time stamp of this request is greater than the time stamp of its request;

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1 otherwise it responds with grant and aborts its own request. In the case of failing
 2 to acquire one channel; the base station tries to acquire another channel which is
 3 free according to the local information of the activated base station.

5. Simulation Results

5 In order to evaluate the proposed algorithms, several computer simulations are con-
 6 ducted and the results are compared with results obtained for channel segregation
 7 algorithm³ and reinforcement learning algorithm.⁴ For all of the experiments, it is
 8 assumed that there are seven base stations, which are organized in a linear array,
 9 shares 5 full duplex and interference free channels. Also the interference constraints
 10 between any pair of cells is represented by an integer, which prescribes the min-
 11 imum gap that must exist between channels assigned to cells in order to avoid
 12 interference. The element $c(i, j)$ of constraint matrix C represents the interference
 13 constraint between cells i and j . Let $d(i, j)$ represents the normalized distance
 14 between the centers of cells i and j , where the distance between centers of adjacent
 15 cells are unity. $c(i, j)$ is defined as follows.

$$c(i, j) = \begin{cases} 1 & \text{if } d(i, j) \leq 2 \\ 0 & \text{otherwise.} \end{cases} \quad (5)$$

17 The elements of matrix C corresponding to pairs of non-interfering cells are defined
 18 to be zero. We assume that the arrival of calls is a Poisson process with rate λ and
 19 channel holding time of calls is exponentially distributed with mean $\mu = 1/3$. We
 20 also assume that no handoff occurs during the channel holding time. The results of
 21 experiments reported in this section are obtained from 120,000 seconds simulations.

5.1. Experiment 1

23 This experiment is conducted to study the average blocking probability of calls for
 24 the network. Figures 2 through 4 compare the average blocking probability of calls
 25 for the proposed algorithms with that of channel segregation algorithm (CS)³ and
 26 reinforcement learning based algorithm (RL).⁴ By carefully inspecting Figs. 2 and 3,
 27 it is apparent that the blocking probability of Algos. I and II are higher than CS
 28 and RL algorithms in low traffic condition. In high traffic conditions the blocking
 29 probabilities of the Algos. I and II are closer to CS and RL algorithms. In low traffic
 30 conditions, the number of times a cell is activated is lower and hence the learning
 31 automata associated to that cell do not receive enough samples from traffic in order
 32 to accurately estimate the traffic parameters. Figure 4 shows that Algo. III has the
 33 same blocking probabilities as CS and RL algorithms at the expense of higher
 34 messaging overhead when compared to Algos. I and II. One reason for decreasing
 35 the blocking probability may be due to the exchange of status information among
 neighboring cells.

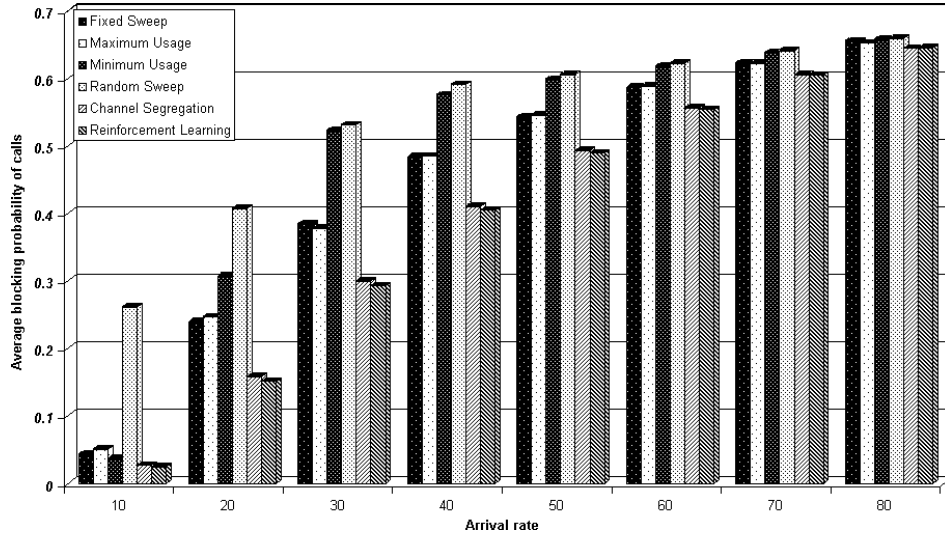


Fig. 2. Average blocking probability for Algo. I.

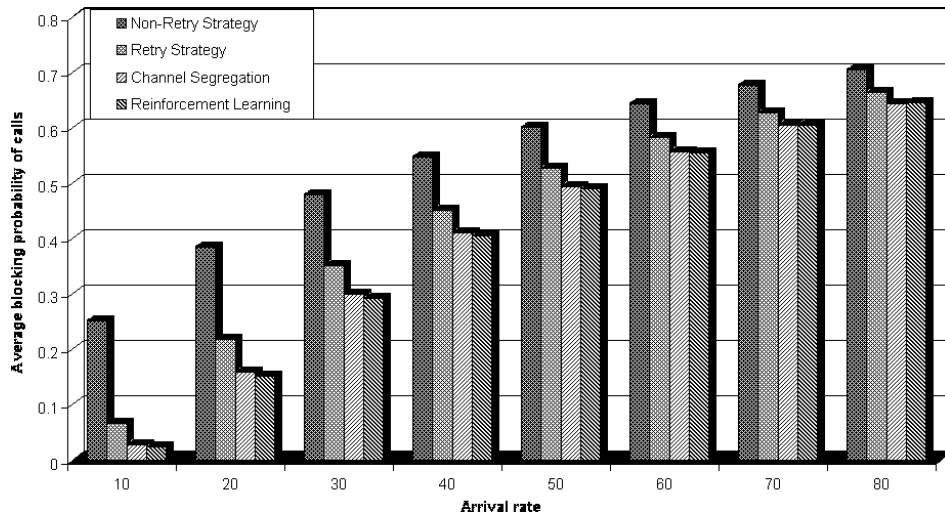


Fig. 3. Average blocking probability for Algo. II.

- 1 Figures 5 through 7 show the evolution of blocking probability of the network
 3 for a typical run for different strategies. These figures show that the blocking prob-
 5 abilities and interference decrease as the learning proceeds. That is, the CLA is
 able to better segregate channels among the cells of the network. These figures also
 show that the average reward of CLA is increasing for all strategies except for the
 minimum usage sweeping strategy.

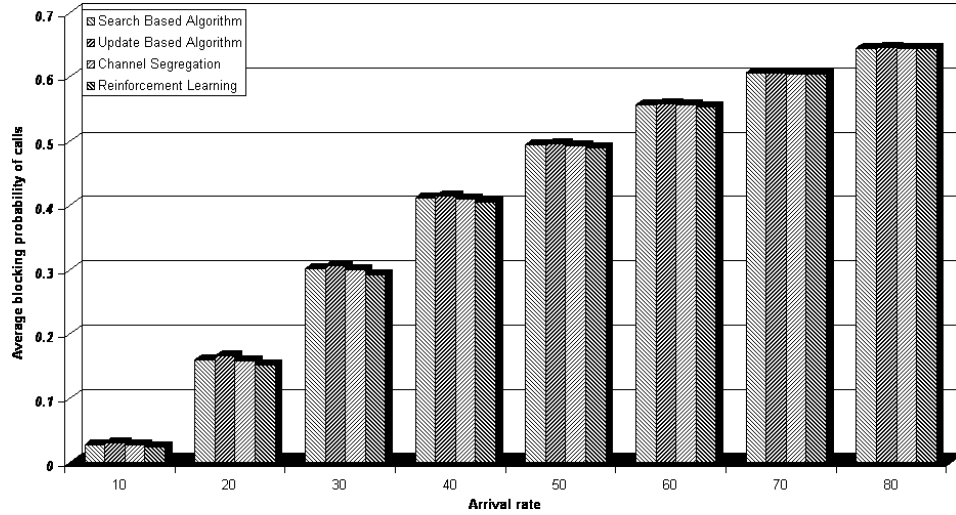
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Fig. 4. Average blocking probability for Algo. III.

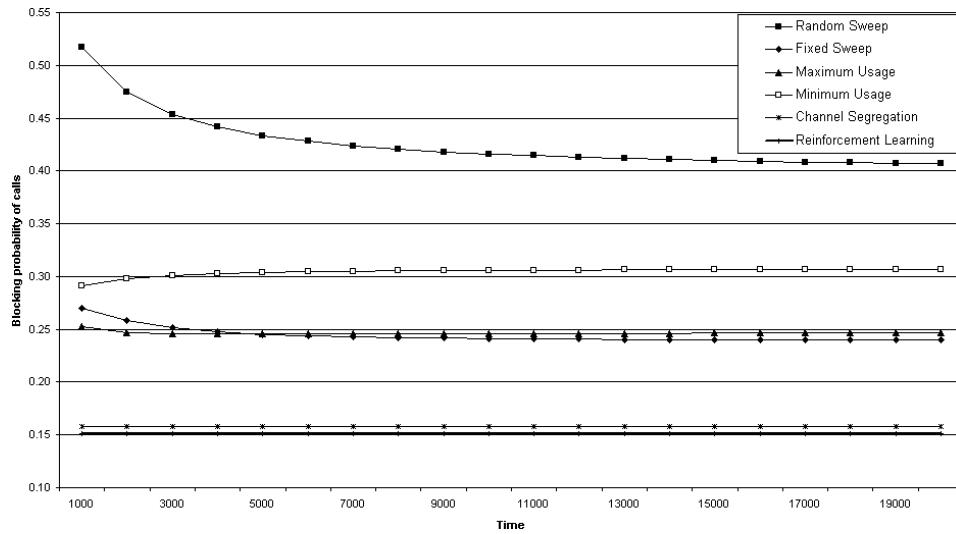


Fig. 5. Evolution of blocking probability for a typical run for Algo. I.

1 5.2. Experiment 2

2 This experiment is conducted to study the cochannel interference. Figures 8 through
 3 10 show the evolution of interferences of the network for a typical run for different
 4 strategies. These figures show that the interference decrease as the learning pro-
 5 ceeds. That is, the CLA segregates channels among the cells of the network. These
 6 figures show that the average reward of CLA is increasing for all strategies except

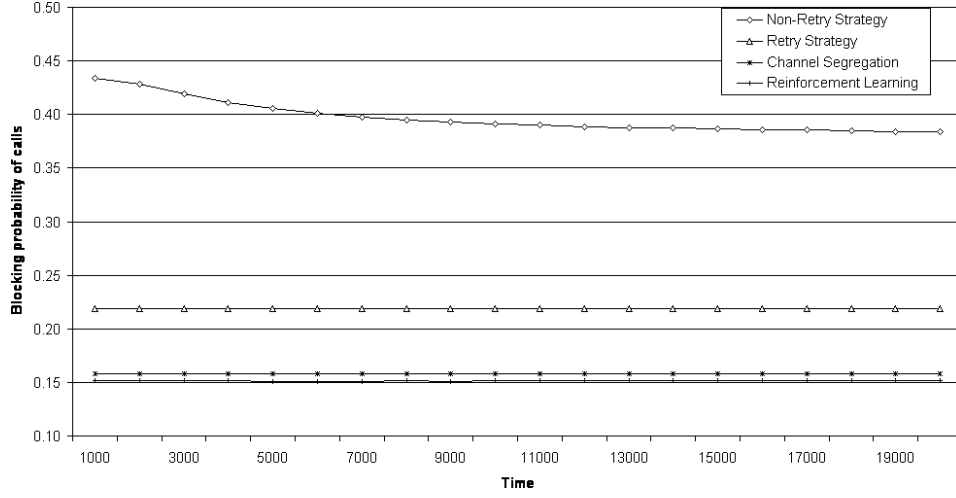


Fig. 6. Evolution of blocking probability for a typical run for Algo. II.

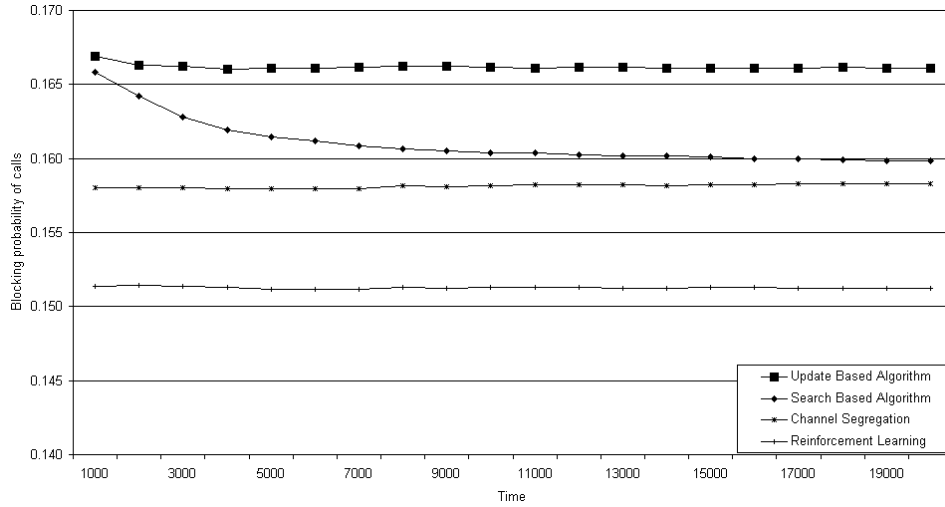


Fig. 7. Evolution of blocking probability for a typical run for Algo. III.

- 1 for the *minimum usage sweeping strategy*. For Algo. II, which uses retry strategy,
 3 they are not shown in these figures.

5.3. Experiment 3

- 5 This experiment is conducted to study the messaging overhead of the proposed algorithms and compare them with CS and RL algorithms. Figures 11 through 13

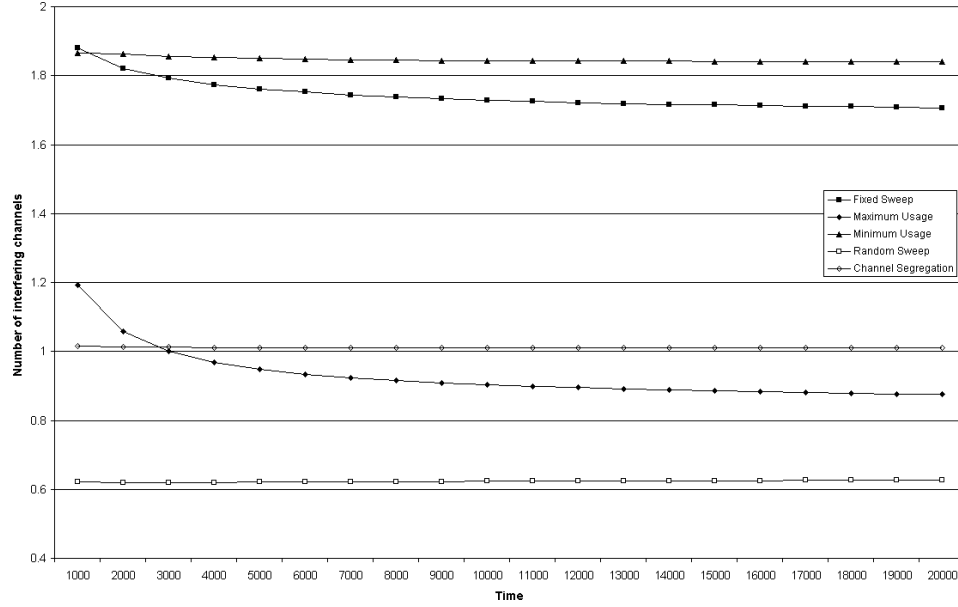
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Fig. 8. Evolution of interference among channels assigned to neighboring cells for Algo. I.

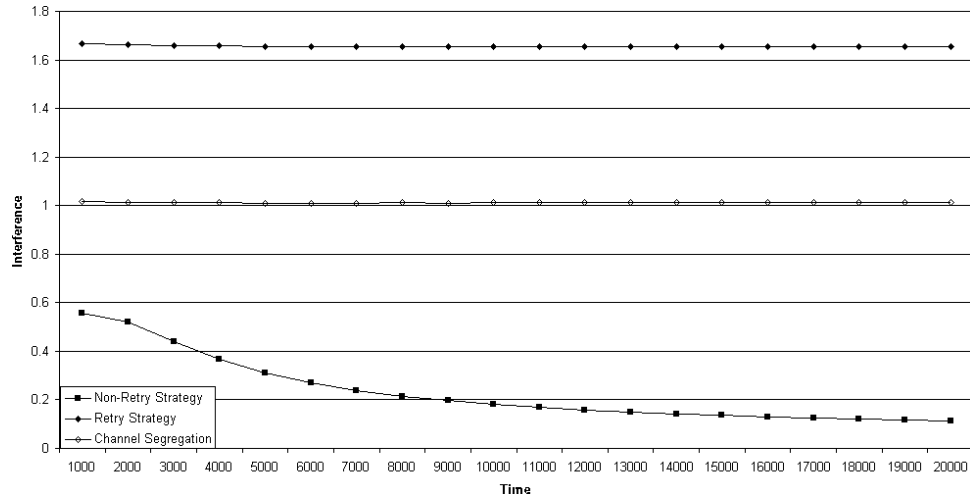


Fig. 9. Evolution of interference among channels assigned to neighboring cells for Algo. II.

- 1 show the number of messages transmitted per call among the neighboring cells.
- These figures also show that the proposed algorithms have lower network overheads
- 3 and hence consume lower network resources, because of exchanging a small amount
- status information among neighboring cells.

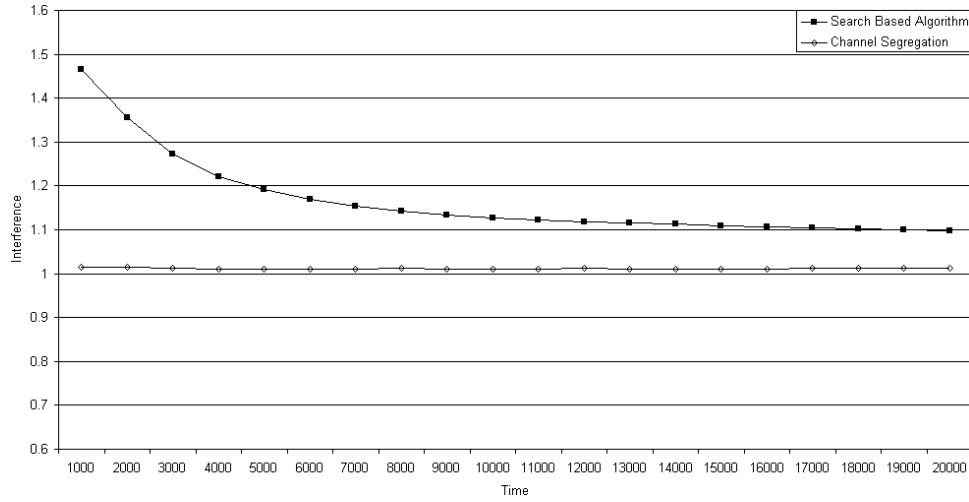


Fig. 10. Evolution of interference among channels assigned to neighboring cells for Algo. III.

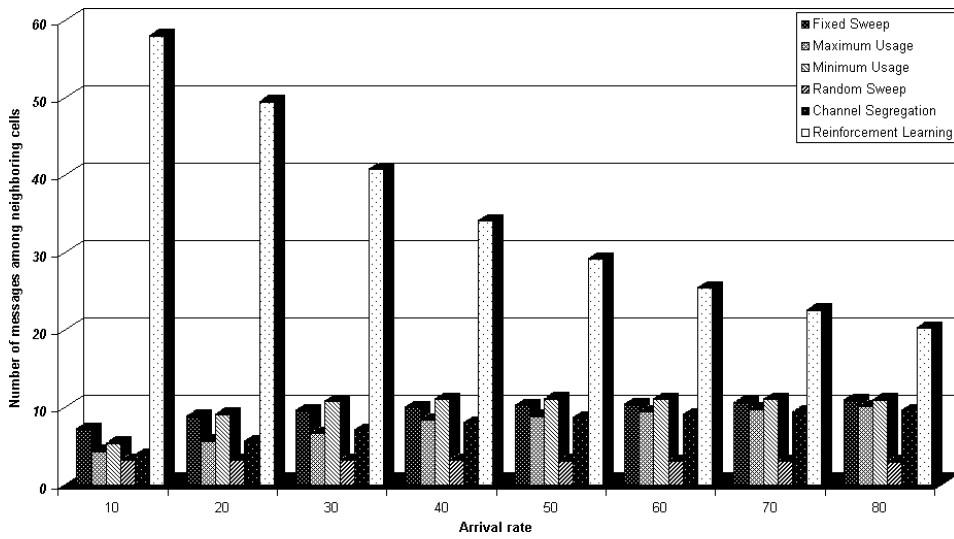


Fig. 11. Number of messages transmitted among neighboring cells for Algo. I.

- 1 Exchange of status messages waste the bandwidth of a wire-line network and
- also increases the response time of the channel assignment algorithm. The over-
- 3 head of message exchange among the neighboring base stations can be eliminated
- by using interference detection hardware in each base station. By using such a
- 5 hardware, each base station can determine used channels in its neighboring cells

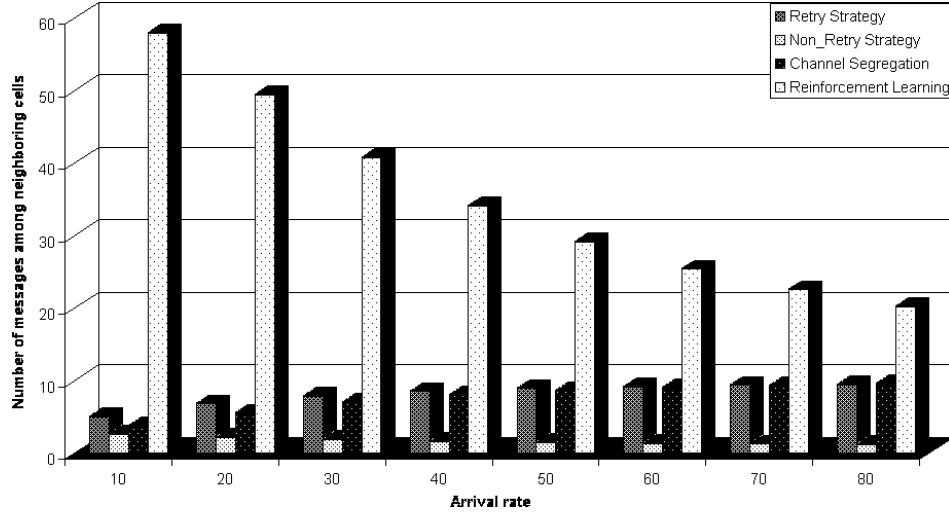


Fig. 12. Number of messages transmitted among neighboring cells for Algo. II.

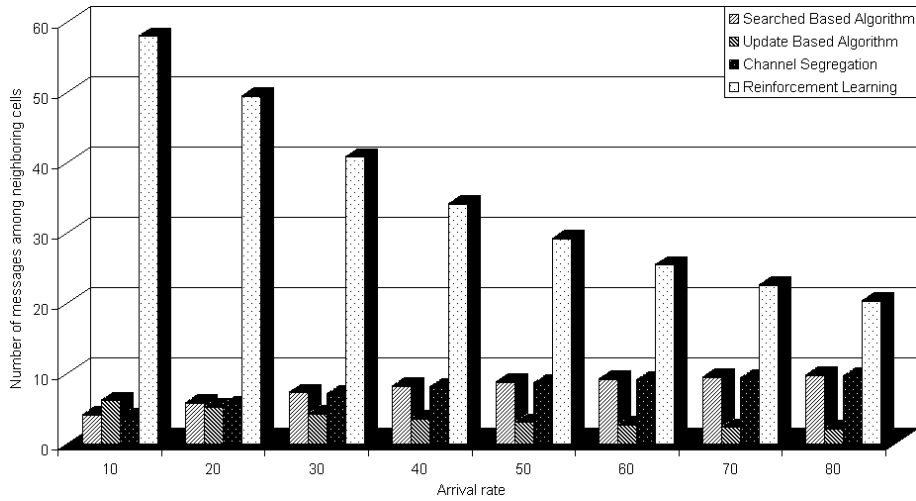


Fig. 13. Number of messages transmitted among neighboring cells for Algo. III.

1 without any message transmission. Using the statistical data of interference detec-
 2 tion, each learning automaton in a given cell learns the interference map among
 3 the base stations. The cochannel interference will never happen if the results of the
 4 interference detection hardware are always correct and there are no change in the
 5 propagation conditions during channel holding time. Interference detection results,
 6 however, are not always correct because of fading phenomenon. Even, if the inter-
 7 ference detection results are correct at the time of observation, the channel may

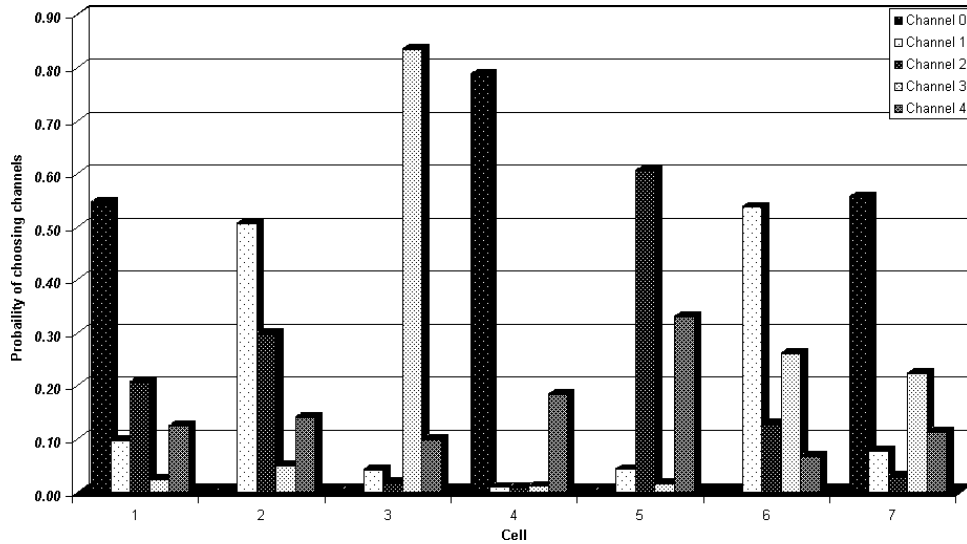


Fig. 14. Probability of assigning different channels to different cells for fixed sweep strategy.

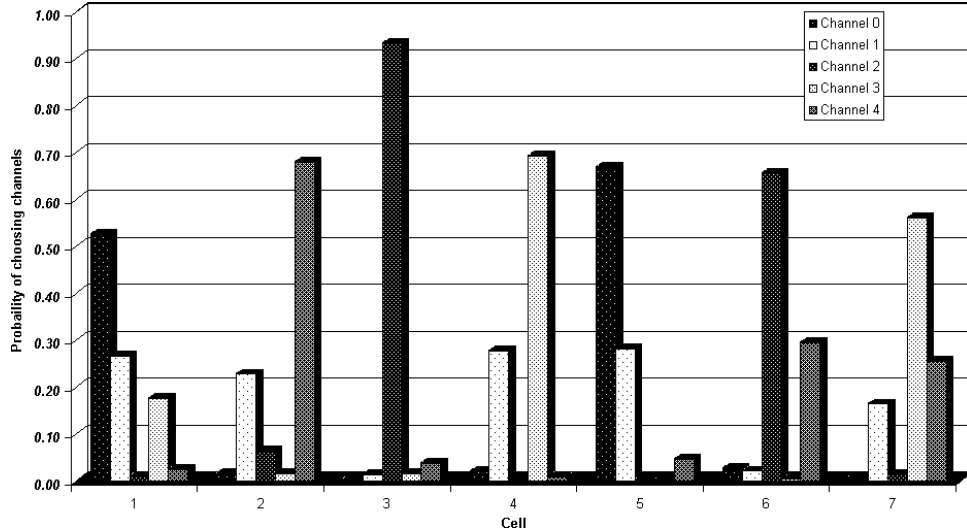


Fig. 15. Probability of assigning different channels to different cells for maximum usage strategy.

- 1 suffer interference from changes in the propagation due to the movement of the
- mobile user. Therefore, it is impossible to perfectly avoid the interference so far,
- 3 as the channel assignment is based on the result of hardware detection hardware.
- There is also another source of interference, which happens when two base stations
- 5 try to use the same channel at the same time since their corresponding interference

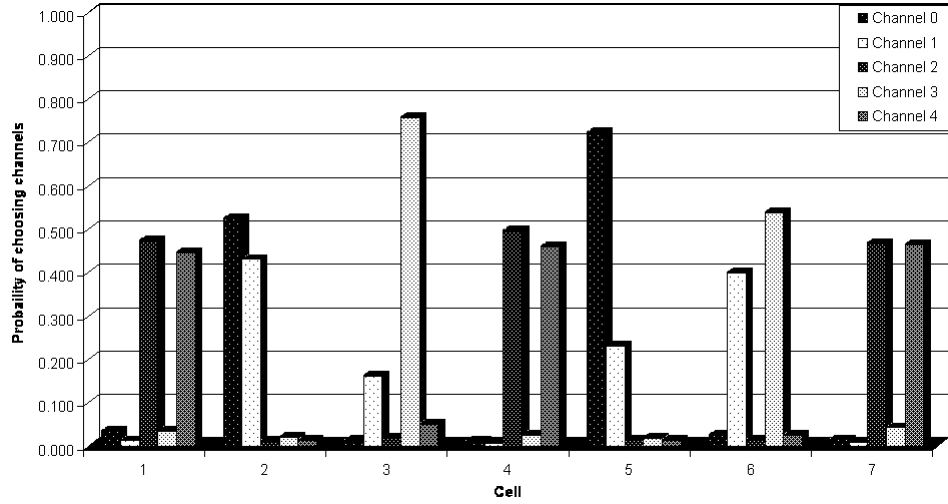


Fig. 16. Probability of assigning different channels to different cells for non-retry strategy.

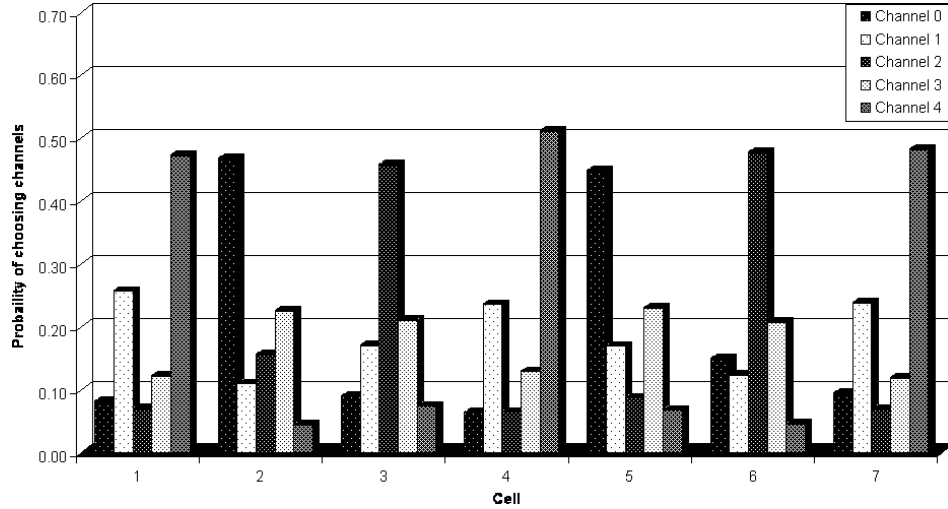


Fig. 17. Probability of assigning different channels to different cells for search based strategy.

- 1 detection results show that this channel is idle. The probability of this phenomenon
- decreases as the learning proceeds. This is because as the learning proceeds, the
- 3 segregation of channels among the cells becomes more definite.

5.4. Experiment 4

- 5 The aim of this experiment is to find out which of the proposed algorithms better
- segregate channels to cells. To do this, we observe the final probability of assigning

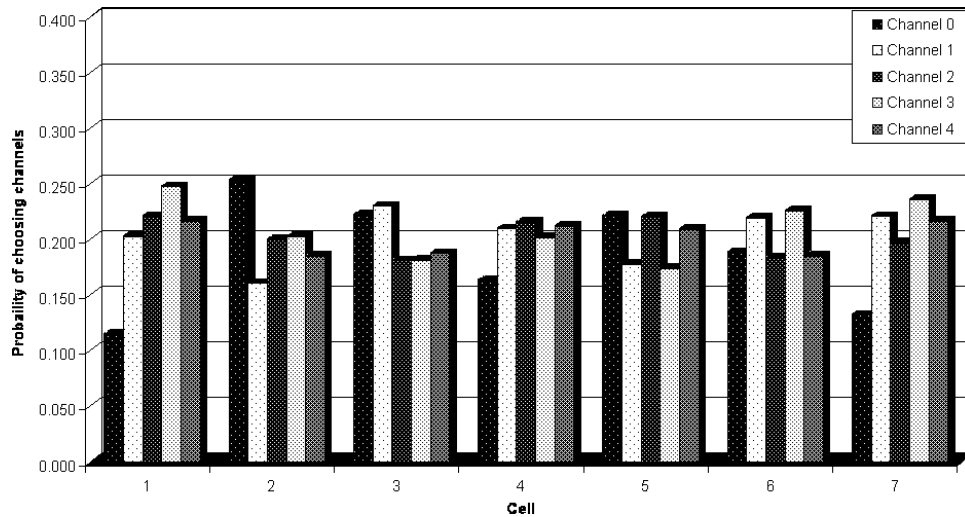


Fig. 18. Probability of assigning different channels to different cells for update based strategy.

different channels to different cells for a typical run. Figures 14 through 18 show the final probability of assigning different channels to different cells. These figures show that Algo. I (when using fixed or maximum usage strategies), Algo. II (when using non-retry strategy), and Algo. III (when using search strategy), are able to segregate different channels to different cells and other algorithms are not able to do this.

6. Conclusions

In this paper, an application of asynchronous cellular learning automata to cellular mobile system was presented and three dynamic channel assignment algorithms were proposed. In order to show the effectiveness of proposed algorithms, which are self-organizing channel assignment algorithms, computer simulations were conducted. Major conclusions that can be drawn from the simulation results are (1) The proposed algorithms have the same blocking probabilities as similar existing algorithms, (2) The interference between channels decreases as the learning process proceeds, that is, the CLA is able to segregate channels among the cells of the network, (3) The proposed algorithms have lower network overheads and hence consume less network resources, (4) Exchanging more status information wastes the network resources but increases the performance of the proposed algorithms.

Acknowledgment

The authors would like to thank the referees for their useful suggestions and comments.

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