

# MODELING AND SIMULATION

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# **MODELING AND SIMULATION**

## **VOLUME 17**

### **Part 3**

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William G. Vogt  
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ON DEVELOPING ALGORITHMS TO SOLVE CERTAIN  
CLASSES OF PROBLEMS USING  
PARALLEL COMPUTERS

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ABSTRACT

This paper proposes a simple approach to writing algorithms for parallel computers. The approach is suitable for those problems for which the input and output can each be expressed as sets of character strings composed of the same symbols. Examples include string reversal, matrix transposition and conversion of infix notation to postfix notation. The basic concept of this approach is finding a function that maps the position of any symbol in an input string into its corresponding position in the output string. Concurrency can be achieved by simultaneous computation of positions of different symbols in the output string using different processors. An introductory classification of problems based on the amount of knowledge necessary to find the function is presented. A set of examples is provided for illustration. Once the output function is determined, it is noted that with enough processors the actual function assignment is an  $O(1)$  operation.

1. Introduction

It is well known that parallelism may be used to increase the speed of computation. This report suggests a simple approach to writing algorithms for parallel computers. The approach is suitable for those problems whose input and output can be expressed as a set of strings of characters over the same symbols. Such problems include string reversal and the transformation of infix notation into postfix notation. The basic concept of the approach is to find a function  $f$  that maps the position of any character in an input string into its corresponding position in the output string. Assuming the output string represents a permutation of the input string, function  $f$  is a bijection from  $N$  to  $N$  where  $N$  is the set of integers from 1 to  $n$ , and  $n$  is the length of the string. This function reflects the algorithm that describes the transformation to be implemented. Parallelism can be obtained by determining the output positions of different symbols at the same time.

As an example, consider the problem of reversing a string of characters. The obvious sequential algorithm has complexity  $O(n)$ . Using function  $f$ , given below, a parallel computer with  $P$  processors can do the reversal in  $|n/P|$  time. This will be an  $O(1)$  operation if  $n$  processors are available.

$$f(a_i) = \begin{cases} n-i+1 & \text{if } 1 \leq i \leq n \\ \text{undefined} & \text{otherwise} \end{cases}$$

$a_i$  is the  $i$ th character in the input string.

In this report we first define the notation and basic premises and then give a set of examples to illustrate the approach. The examples are designed to provide insight into the overall concept rather than provide optimal algorithms.

II. Notation

Let set  $I = \{I_1, I_2, \dots, I_n\}$  where each  $I_i$  is a character string of length  $n_i$  over set  $\Sigma$ . Set  $O = \{O_1, O_2, \dots, O_n\}^P$  where each  $O_i$  is a string of length  $m_i$  over  $\Sigma$ .  $I$  is the input and  $O$  is the output for a given algorithm.  $\Sigma$  is any finite set of symbols. Each symbol may consist of one or more characters. Let  $I_i = a_{i1}a_{i2}\dots a_{in_i}$  and  $O_i = b_{i1}b_{i2}\dots b_{im_i}$ . Also

$$\bigcup_{i=1}^p \bigcup_{j=1}^{n_i} a_{i,j} = \bigcup_{i=1}^q \bigcup_{j=1}^{n_i} \beta_{i,j} \text{ and } (c_1) \quad \begin{matrix} q \\ i=1 \\ j=1 \end{matrix} \quad \begin{matrix} p \\ i=1 \\ j=1 \end{matrix}$$

further define our basic assumptions and terminology:

$$os(a) = \sum_{i=1}^q \sum_{j=1}^{n_i} a_{i,j} \quad \text{Let } l = \sum_{i=1}^p n_i \quad \text{Then } |l| = N.$$

so that each symbol in  $l$  is unique. Of course, in practice, each may only be on the extent that it occurs at a unique position in  $l$  and in  $0$ .)

each  $a \in l$  define:

$$os(a) = 1, \dots, q \times \{1, \dots, \max(n_i)\} \text{ for } 1 \leq i \leq q.$$

$f$  be the function that defines  $outpos(a)$ . Then, in general, the range of  $f$  is:

$$se(f) = \{1, \dots, q\} \times \{1, \dots, \max(n_i)\} \text{ for } 1 \leq i \leq q.$$

required domain of  $f$  may be different for different algorithms. In fact, a variation of the types of problems which may be solved for  $f$  may be developed (See ) based on the amount of information that is required in the domain of  $f$ . The algorithm used to gather this information is called the "information gathering algorithm". Observe, (i.e., an algorithm for a single processor machine) is greater than  $T$ , that is  $S > T + \lceil n/P \rceil T$ . If  $P = n$ , then improvement can be achieved if

algorithm for information gathering must be carefully designed or any potential time from parallel processing may be offset by the cost of  $L$ . In fact, the less on that needs to be gathered, the better.

Classification and Examples

consider the following classification of cases for the information required by

$os(a)$  is a function of the input position of  $a$  only. That is

$$outpos(a) = f(inpos(a))$$

: Identity relationship.  $a_{1,2}, \dots, a_n \Rightarrow a_{1,2}, \dots, a_n$

$$f(inpos(a)) = inpos(a)$$

:  $a_{1,2}, \dots, a_n \Rightarrow a_{2,1}, a_{3,2}, \dots, a_{n,n-1}$

$$f(inpos(a)) = \begin{cases} inpos(a)-1 & \text{if } inpos(a) \text{ is odd} \\ inpos(a)-1 & \text{if } inpos(a) \text{ is even} \end{cases}$$

that if we have  $n$  processors, each operating on exactly one of the input symbols,  $s(a), \forall a$ , can be computed in  $O(1)$  time. If we have  $P$  processors, this will

require  $O(n/P)$  time.

#### Case 2

Output(a) is a function of inpos(a) and n only. That is

$$outpos(a) = f(inpos(a), n)$$

Example: String reversal.  $a_1 a_2 \dots a_n \Rightarrow a_n \dots a_1$ .

$$f(inpos(a), n) = n - inpos(a) + 1.$$

As in Case 1, once the information gathering time is complete, this type of function can be calculated in  $O(n/P)$  time when we have  $P$  processors and in  $O(1)$  time when we have  $n$  processors.

#### Case 3

Output(a) is a function of inpos(a) and certain other fixed information. That is

$$outpos(a) = f(inpos(a), t_1, t_2, \dots, t_m).$$

Example: Matrix transposition. We assume that the elements of the matrix are stored in row major order. That is, the elements are stored in lexicographic order by index with the row index as the major key and the column index as the minor key. Using row major order, the two dimensional array  $A[1:l_1, 1:l_2]$  can be interpreted as all rows:  $row_1, row_2, \dots, row_l$  with each row consisting of  $l_2$  elements. One may observe that  $inpos(a)$ , for  $a = A[i,j]$ , is displaced from the base of the array by an amount of  $l_1(i-1) + j$  and, therefore, location  $(A[i,j])$  is given by  $l_1(i-1) + j + \text{base}$ . From the above, we note that  $f(inpos(a), l_1, l_2)$  for  $a = A[i,j]$  is given by:

$$\begin{aligned} i &= \lfloor (inpos(a)-1)/l_1 \rfloor + 1 \\ j &= ((inpos(a)-1) \bmod l_1) + 1 \end{aligned}$$

To compute the transpose,  $A[i,j]$  should be stored in  $A^T[j,i]$  which will be the  $l_2(j-1) + i$  position.

Therefore,

$$\begin{aligned} f(inpos(a), l_1, l_2) &= \lfloor ((inpos(a)-1) \bmod (l_1(l_2-1)/l_1)) + 1 \rfloor \\ &\quad + \lfloor (inpos(a)-1)/l_1 \rfloor + 1 \end{aligned}$$

So, once information gathering is complete, the transpose of a matrix can be computed in  $O(1)$  time if  $l_1 * l_2$  processors are available and in  $O(n/P)$  time when  $P$  processors are available.

#### Case 4

Output(a) is a function of a only. That is

$$outpos(a) = f(a).$$

Example: Input sequence  $a_1 a_2 \dots a_n$  is a random ordering of the integers  $1, \dots, n$ . For the output we want them in sequential order. Then  $outpos(a) = f(a) = a$ .

#### Case 5

Output(a) is a function of the input position of a and of the entire input string. That is

$$outpos(a) = f(inpos(a), l)$$

Example 1: Sorting. The problem of sorting is one of determining a permutation that arranges a set of symbols in a particular order. We can use the following function to compute the output position of a symbol.

that if we have  $n$  processors, each operating on exactly one of the input symbols,  $s(a), \forall a$ , can be computed in  $O(1)$  time. If we have  $P$  processors, this will

$$x_i, f = \begin{cases} n_i + i & \text{for } 1 \leq i \leq n \\ \text{undefined otherwise} \end{cases}$$

is the number of symbols less than the  $i$ th symbol in the input string plus the number of symbols equal to the  $i$ th symbol that precede the  $i$ th symbol in the input string.

Ier and Preparata [1] have shown that each value of  $n_i$  can be determined in time (information gathering time) using  $n \log n$  processors. Once each value of  $n_i$ , the final positions can be computed in  $O(1)$  time using  $n$  processors.

Consider a string of symbols of length  $n$  that consists of symbols belonging to different groups: G1, G2 and G3. We want to write an algorithm that transforms the string into an output string in which all symbols from G1 are placed at the beginning, all from G3 at the end and all from G2 in the middle of the string. The order within each group for output should be the same as their order in the input.  $i_1$  ( $\text{inpos}(a), i_1$ ) may be given by:

$i_1$ : (number of symbols that belong to group G1 and precede the  $i$ th symbol in the input string) + 1

$i_2$ : (number of symbols that belong to group G1) + (number of symbols that belong to group G2 and precede the  $i$ th symbol in the input string) + 1

$i_3$ : (number of symbols that belong to group G1) + (number of symbols that belong to group G2) + (number of symbols that belong to G3 and precede the  $i$ th symbol in the input string) + 1

One needed to compute  $i_1$  can be obtained in  $O(n)$  time with a single sequential pass through the input or by each processor adding 1 to an accumulator from a set of common counters with one accumulator to represent each of the groups of symbols. Once this  $i_1$  is obtained, the position of symbols in the output string can be determined in  $O(n)$  time if  $n$  processors are available.

Transformation of infix notation into postfix notation. A solution to this is given by Dekel and Sahni [2]. In [2], the Shared Memory Model (SMM) is used as a computation model. Using this model of computation it is shown that the proper positions of symbols in the output string can be computed in  $O(n \log^2 n)$  using  $n$  processors. Under conditions C1 and C2 we may assume that the input expression is free of parentheses. We may extend the class of problems being considered to allow for some of the symbols to not be repeated in the output. (Their outpos may be regarded as 0.)

os(a) is a function of  $a$ , of the input position of  $a$ , and of some additional local information concerning  $a$ 's neighbors and their input positions. That is

$$\text{os}(a) = f(a_{i-k_1}, \dots, a_{i+k_2}, \text{inpos}(a_{i-k_1}), \dots, \text{inpos}(a_{i+k_2})) \text{ for } a = a_i \\ \text{and constants } k_1 \text{ and } k_2.$$

The output sequence is to be the symbols of the input sorted in a "local" order. For instance, perhaps the first five input symbols are to be the first five sorted symbols, then the next five etc. Considerations for local sorting complexity are those for general sorting as described in Case 5. In fact, one might regard the general sorting case where the first five input symbols are  $I_1$ , the next five  $I_2$ .

os(a) is a function of  $\text{inpos}(a)$  and of  $\text{outpos}(b)$  for all  $b$  such that  $\text{inpos}(a) \neq \text{inpos}(b)$ . That is

$$\text{os}(a) = f(\text{inpos}(a), \text{outpos}(b)) \quad b \text{ with } \text{inpos}(a) \neq \text{inpos}(b).$$

The output is a random reordering of the input. We cannot assign output index

$j$  to  $a$  unless we are sure that no other input symbol has been assigned the same output index.

For cases of this nature, it appears that it will not be possible to achieve a savings in time through the use of parallelism.

Clearly, these do not provide all the cases that one might consider, however they do provide some insight in this approach.

#### IV. Observations

We can make two general observations.

##### Theorem 1

Given a total of  $n$  input symbols, each of which receives a unique output index  $1, \dots, n$ , there are a total of  $n!$  different functions which can constitute  $\text{outpos}(a)$ .

Since the output is just a permutation of the input, the number of possible functions is the number of permutations of  $n$  symbols taken  $n$  at a time which is  $n!$ .

##### Theorem 2

Once function  $\text{outpos}(a)$  is known, it may be applied in  $O(n/P)$  time where  $P$  processors are available.

This is trivial since  $\text{outpos}(a) = \dots$  which just gives an integer  $1, \dots, n$ . Note that for  $n$  processors this is an  $O(1)$  operation whereas for a single processor it is an  $O(n)$  operation. This alone assures us of faster algorithms through parallelism in cases where the information gathering time is reasonable.

#### V. Conclusion

A simple approach to the development of algorithms for parallel computation is considered. This approach may lead to new and faster algorithms for solving old problems. A general type of problem where the output is a permutation of the input has been examined and several different types of such problems, classified by the amount of information required, have been identified.

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