

# Geometric Algorithms – The Convex Hull Problem in 2 & 3 Dimensions

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# Outline

# The Paradigm of Computational Geometry

- A sub-field of algorithm theory involving design and analysis of algorithms with geometric input and output.
- Mostly focuses on the discrete aspect of geometric problem solving.
- Primarily deals with straight or flat objects or simple curves.
- Computational geometry aims to provide basic geometric tools from which application areas can build algorithms.
- It also aims to provides theoretical analytical tools to analyze the performance of these algorithms.



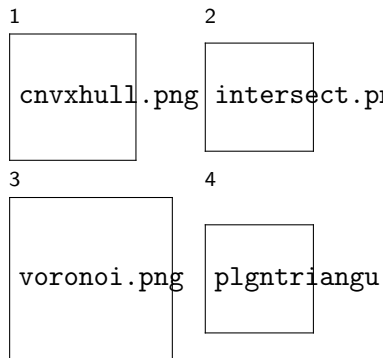
# A Brief History & Timeline

- Geometry has been central to mathematics since the time of the Ancient Greeks and the Ancient Egyptians.
- Euclid's *Elements* had a profound influence on the study of geometry.
- Introduction of coordinates permitted an increase in computational power.
- The term "Computational Geometry" was coined first by Marvin Minsky in his book "Perceptrons" in 1969.
- Multiple application areas have been the incubation bed for this discipline.
- These problems include Euclidean traveling salesman, minimum spanning tree, linear programming etc.



# Famous Problems in Computational Geometry

- 1 Convex Hulls
- 2 Intersections
- 3 Voronoi Diagrams and Delaunay Triangulations
- 4 Triangulation and Partitioning
- 5 Optimization and Linear Programming
- 6 Geometric Search Problems
  - Nearest-neighbor searching
  - Point location



# Basics of Euclidean Geometry

- The main objects considered in computational geometry are sets of points in Euclidean space.
- Sets of points are finite, or at least *finitely specifiable*.
- By  $E^d$ , we denote the *d-dimensional Euclidean space*.
- A  $d$ -tuple  $(x_1, \dots, x_d)$  denotes a point of  $E^d$  where  $x_i \in \mathbb{R}$ .
- A *polygon* in  $E^2$  is defined by a finite set of line segments where each extreme point is shared by exactly two segments called *edges*.
- A *polyhedron* in  $E^3$  is defined by a finite set of plane polygons such that every edge of a polygon is shared by only one other polygon.



# What is a Convex Hull?

- A *convex set* is a subset of Euclidean space where given any two points in the set, the set contains the whole line segment joining them.
- A *convex hull* of a shape is the smallest convex set containing the shape.
- The convex hull can also be defined as the intersection of all convex sets of a given subset of Euclidean space.

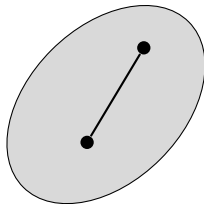


Figure: A convex set

# Formal Definitions

## Definition (Convex Set)

Given  $k$  distinct points  $p_1, p_2, \dots, p_k$  in  $E^d$ , the set of points

$$p = \alpha_1 p_1 + \alpha_2 p_2 + \dots + \alpha_k p_k \quad (\alpha_j \in \mathbb{R}, \alpha_j \geq 0, \alpha_1 + \alpha_2 + \dots + \alpha_k = 1)$$

is the *convex set* generation by  $p_1, p_2, \dots, p_k$ , and  $p$  is a *convex combination* of  $p_1, p_2, \dots, p_k$ .

## Definition

Given an arbitrary subset of  $L$  of points in  $E^d$ , the *convex hull*  $\text{conv}(L)$  of  $L$  is the smallest convex set containing  $L$ .





# Mixing Things

- Imagine you have the following mixtures of substances:

subst.	fract. $A$	fract. $B$
$s_1$	10%	35%
$s_2$	20%	5%
$s_3$	40%	55%

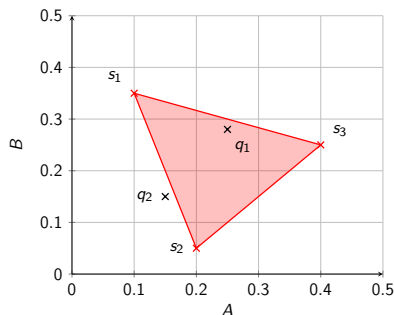
- Using these substances can we create two other substances  $s_{q_1}$  and  $s_{q_2}$ ?

$q_1$	25%	28%
$q_2$	15%	15%



# Mixing Things

- Let's plot these values:



- We can observe that a substance  $q \in \mathbb{R}^2$  can be created using substances in  $S \subset \mathbb{R}^2$  if and only if  $q \in \text{CH}(S)$ .



# What is the Convex Hull Problem?

- The convex hull problem is formally defined as:

Given a set  $S$  of  $N$  points in  $E^d$ , construct its convex hull  $\text{CH}(S)$ .

- Another version of the convex hull problem is:

Given a set  $S$  of  $N$  points in  $E^d$ , identify those that are vertices of  $\text{conv}(S)$ .

- Both versions of the problem have the same asymptotic hardness since one problem can be transformed to the other.



# Convex Hull for Finite Set of Points

- The convex hull for a finite set of points in the plane produces a convex polygon.

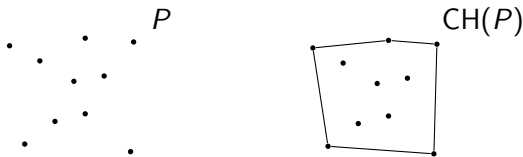


Figure: A point set and its convex hull.

- In 3 dimensions however, the convex hull is not a convex polygon, but instead a convex polyhedron – generalizes to a polytope in higher dimensions.



# Lower Bound on Computational Complexity

## Overview and Approach

- The convex hull problem outputs a polygon – a cyclic enumeration of the boundary vertices.
- We can say that we have to produce a sorting of the vertices. which has a lower bound of  $\Omega(n \log n)$ .
- To find lower bound of convex hull problem, we will reduce the sorting problem to the convex hull problem in  $O(n)$  time, which implies:

### Theorem

*Any algorithm for the convex hull problem requires  $\Omega(n \log n)$  time in the worst case.*



# Lower Bound on Computational Complexity

## Reduction of Sorting to Convex Hull

- Let  $X = \{x_1, \dots, x_n\}$  be the  $n$  values we wish to sort.
- We can "lift" each of these points onto a parabola  $y = x^2$ , by mapping  $x_i$  to the point  $p_i = (x_i, x_i^2)$ .
- $P$  is the resulting set of points – all of which lie on the convex hull.
- The sorted order of points along the lower hull is the same as the sorted order  $X$ .



# Output-Sensitive Algorithms

- Some convex hull algorithms depend both on  $n$  input points, and  $h$  output points.
- Such algorithms are called *output-sensitive* algorithms.
- They may be asymptotically more efficient than  $\Theta(n \log n)$  algorithms when  $h = o(n)$ .
- The lower bound for these algorithms is  $\Omega(n \log h)$  in the planar case.
- Kirkpatrick and Seidel devised an algorithm that achieved this in 1986.
- In 1996, a much simpler algorithm was devised by Chan called Chan's algorithm.



# The General Position Assumption

- Before we look at algorithms, let's look at an important assumption.
- We assume that the points are in *general position*.
- This means that degenerate configurations do not arise in input.
- Point sets in general position maintain general position if perturbed infinitesimally.
- Merely a convenience to avoid dealing with a lot of special cases during algorithm design.





# Convex Hull Algorithms

- There are multiple algorithms that employ different techniques to compute the convex hull of a point set.
- Following are some of these algorithms with their time complexity:

Algorithm	Time Complexity
Jarvis March	$O(nh)$
Graham Scan	$O(n \log n)$
Quickhull	$O(n \log n)$
Chan's Algorithm	$O(n \log h)$

Table: Some algorithms and their complexities.



# Jarvis March

## Algorithm Description & Visualization

- Jarvis march a.k.a the gift wrapping algorithm, was published in 1973 by R. A. Jarvis.
- The algorithm begins with  $i \leftarrow 0$ , and a point  $p_0$  known to be on the convex hull.
- Then it selects the point  $p_{i+1}$  such that all points are to the right of the line segment  $p_i p_{i+1}$ .
- This can be done in  $O(n)$  time by comparing polar angles.
- Let  $i \leftarrow i + 1$ , and repeat until algorithm  $p_h \leftarrow p_0$  again.
- This would yield the convex hull in  $h$  steps.
- [Here is a link to an animation for Jarvis march.](#)



# Jarvis March

## Performance & Time Complexity

- Jarvis march is among the least efficient algorithms for convex hull.
- The algorithm loops  $h$  times and within each iteration, it loops  $n$  times.
- Therefore, the time complexity is  $O(nh)$ .

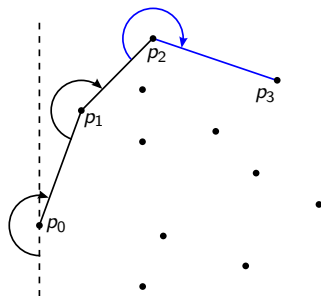


Figure: The Jarvis march procedure.

# Graham Scan

## Algorithm Description & Visualization

- Based on the *incremental construction* technique.
- In this approach, items are added one at a time, and the structure is updated with each insertion.
- First, select the lowest point in the point set called point  $P$ .
- Sort all points cyclically w.r.t point  $P$  and the  $x$ -axis.
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