2016-06-10

EMSOFT 2016 Submission #83

Title: Exploring the Performance of ROS2

# Dear Reviewers,

We highly appreciate the insightful suggestions and detailed valuable comments on our paper. The suggestions of the reviewers are very helpful for us and the suggestions are now incorporated in the revised paper as follows. We have attached the last paper review and revised paper in our reply letter. In the revised paper, newly added and updated sentences are written in the red colored font so that the reviewers can easily find them. We hope the reviewers will be satisfied with our replies to the comments and the revised paper.

Yours sincerely, Authors

# 1 Response to 1<sup>st</sup> reviewer

# 1.1 Premature ROS2 for evaluation

#### • Comment:

ROS2 is currently still being actively developed (Section 3) and as such, an analysis of the performance at this stage seems a pre-mature. Certain capabilities in ROS2 are still not available and/or do not perform up to expectations. In Section 3.2 the author claims that "DDS is not designed to handle large data", however DDS has API's specifically designed for use with large data packets. While DDS has these API 's for assisting with large packet transfer, they are not yet compatible with ROS2 and therefore the performance drops after the size of packets increases beyond 64KB.

## Our reply:

Thank you very much for your vital comment. It is true that ROS2 in under heavy development and a very rough draft in this stage. However, this paper aims to conduct proof of concept for DDS approach to ROS and clarifies the needs of abstracting DDS API for large packets. Regardless of development stage of ROS2, we consider that understanding each DDS characteristics are meaningful for ROS2 users, which we provide in this paper. What this paper provides is not simple comparison between ROS1 and ROS2 but DDS characteristics through ROS2. ROS2 is the one of sysytems using DDS. We believe the contributions of this paper are meaningful even when ROS2 is under development. To clarify this contributions, we have highlighted that this paper aims to proof of concept and arranged premature points of current ROS2 for future development.

- Updated contributions about proof of concept in "1. INTRODUCTION" section -

Contribution: In this paper, we provide proof of concept for DDS approach to ROS. We clarify the performance of the data transport for ROS1 and ROS2 in various situations. Performance means latencies characteristics, throughput and distributed capability. Focusing on the DDS capabilities, depending on DDS vendor and configuration, we explore and evaluate the potential and constraints from various aspects: latencies, throughput, the number of threads, and memory consumption. From experimental results, we arrange guidelines and what we can do to solve current constrains. To the best of our knowledge, this is the first study to explore ROS2 performance.

- Added sentences about improvements for ROS2 in "3.7 Lessons Learned" section -

Since ROS2 is under development, we have clarified room for improvement of ROS2 performance and capability to maximize DDS potential. First, QoS Policies supposed by ROS2 provide fault tolerance but they are insufficient for real-time processing. ROS2 has to expand the scope of supported QoS Policies. Second, for small embedded system, ROS2 needs a minimum DDS implementation and minimum abstraction layer. For example, we need C API library for ROS2 and a small DDS implementation. ROS2 easily supports them because of its abstraction layer. Third, we also clarify a need of alternative API for large message to manage divided packets. This is critical to handle large message. Abstraction of this will shorten DDS end-to-end latencies and fulfill deficiency of Table 4. Finally, we must tune DDS configurations for ROS2 because there are numerous vendor specific configuration options.

# 1.2 Narrow scope of experiments

### 1. Comment:

The designed experiment only covers latency rates between the two versions of ROS, but there are still other aspects to communications performance. Further research should be conducted to test the throughput, fault tolerance and distributed capabilities of the two.

# Our reply:

Thank you very much for your vital comments. In the revised paper, we have conducted additional evaluations from various aspects. One is throughput evaluations in "3.4 Throughput of ROS1 and ROS2" section. We have clarified throughput characteristics depending on DDS vendors. Another additional evaluation is measurements of latency for a multiple destinations publisher. This is described in "3.3.4 Multiple Destinations Publisher in local cases" section and will be utilized for fault tolerance and distributed capabilities. From this experiment, we can learn fair latency which DDS brings to ROS. In addition, we prepare \*-depth policy and have varied QoS setting by configuring depth option in "3.3.3 Comparison within ROS2" section. The other additional evaluations also expand our performance evaluation. We have conducted measurements for the number of thread in "3.5 Thread of ROS1 and ROS2" and shared library memory consumption in "3.6 Memory consumption of ROS1 and ROS2" section. These experimets provide us insight for embedded systems and distributed capabilities. For precise added sentences, please go on reading following our replies and the revised paper.

#### 2. Comment:

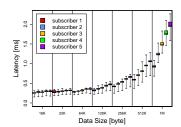
Interacting with multiple devices or experimentation with a real-time application would provide insight into the fault-tolerance and distributed capabilities that DDS brings to ROS.

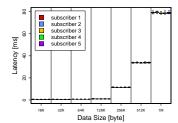
### Our reply:

Thank you for your advice. To consider multiple devices, we have conducted evaluations for a multiple destinations publisher. Much of the information is often shared in real applications such as robots. In our evaluation, we prepare five subscribers and measure their end-to-end latencies. The result provides insight for distribute capabilities. Its precise analysis are described in the following paragraph.

- Added sentences in "3.3.4 Multiple Destinations Publisher in local cases" section -

In this section, we prepare five *subscriber-nodes* and measure latencies of each *node*. Much of information shared in real applications is destinated to multiple destinations. Hence, this evaluation is practical for user. Figure 17 shows latencies of ROS1. We can observe significant differences between *subscriber-nodes*. This means ROS1 schedules *message* publication in order and is not suitable for real-time systems. For example, in 1 MB, subscriber 5 is about twice as mach as subscriber 1. In contrast, ROS2 has small differences as shown in Figure 18. All subscribers' behavior is fair in ROS2. However, ROS2 latencies significantly depends on the number of packets. This is same characteristic we learned from Figure 8. Figure 19 indicates fair latencies and dependency of packets. Although we cannot say that latencies variance of ROS1 is larger than one of ROS2 due to the difference of the scale, Figures 17, 18, and 19 prove ROS2 *message* publication is more fair to multiple subscribes than ROS1 one.





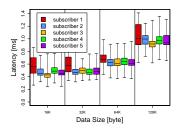


Figure 17: (1-b) ROS1 multiple destinations publisher.

Figure 18: (2-b) ROS2 multiple destinations with Open-Splice reliable policy.

Figure 19: (2-b) ROS2 multiple destinations with Open-Splice reliable policy.

### 3. Comment:

Results from an application would provide insight into how the overhead of DDS scales with increased network load.

# Our reply:

Thank you very much for your thoughtful suggestion. We have additionally conducted evaluation of a multiple destinations publisher for increased network load in "3.3.4 Multiple Destinations Publisher local cases" section. This evaluation also provides insight for the overhead of DDS scales as shown in 18 and 19. However, we did not conduct further evaluations for real-time guarantees with constrained network because QoS Policies supposed by ROS2 are insufficient for real-time processing. After support of real-time QoS, we will conduct above evaluations with configuring QoS variables for real-time systems. We have explicitly described this fact as future work.

- Updated sentences about future work in "5. CONCLUSION" section

In future work, we will evaluate real-time applications such as an autonomous driving vehicle as case studies using ROS2. ... Since ROS2 is under development, we must maximize DDS potential by tuning and abstracting more *QoS Policies* for real-time processing and DDS configurations.

### 4. Comment:

Another possible avenue of research is a more detailed analysis of the effects on performance of varying QoS policy variables such as deadline scheduling and history.

# Our reply:

Thank you for your advice. At present, ROS2 only supports a few QoS Policies. For example, DEADLINE is only calculated by a node's period and is not utilized for scheduling. In this condition, we prepare \*-depth policy and have varied history setting by configuring depth option. This HISTORY QoS Policy provides applications fault tolerance. We have added sentences in "3.3.3 Comparison within ROS2" section and described analysis there.

- Updated sentences in "3.3.3 Comparison within ROS2" section -

In addition, the influence of the *QoS Policy* on end-to-end latencies is evaluated in (2-b) OpenSplice with the reliable policy, best-effort policy, and \*-depth policy. \*-depth policy is prepared for this evaluation and configured by depth as shown in Table 5.

Added sentences about additional QoS in "3.3.3 Comparison within ROS2" section -

Figure 15 shows no differences depending on the depth of \*-depth policy. These QoS policies are different in the number nodes save messages. Although this number influences resources, this does not affect latencies because archiving messages is conducted in every publication.

Table 5: Depth Configurable QoS Policies

|                        | *-depth policy  |
|------------------------|-----------------|
| DEADLINE               | 100 ms          |
| HISTORY                | LAST            |
| $\operatorname{depth}$ | 1, 10, or 100   |
| RELIABILITY            | RELIABLE        |
| DURABILITY             | TRANSIENT_LOCAL |

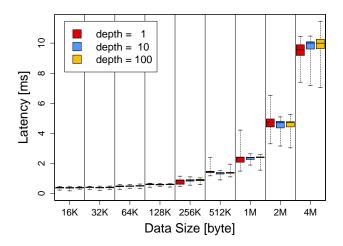


Figure 15: (2-b) Configured \*-depth policy in ROS2 with OpenSplice

#### 5. Comment:

The experiment in section 3.1 should be kept as it is a good evaluation of overhead and is reproducible since it was performed on the loopback interface of the device, but experiments measuring latency and throughput in an application environment would strengthen the paper.

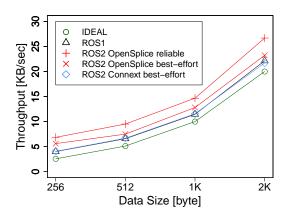
## Our reply:

Thank you for your thoughtful comment. Following your advice, we have additionally conducted a throughput evaluation in Section 3.4. Figure 20 clarifies the overhead data for DDS transaction. Figure 21 shows that throughput is limited by the 100 Mbps Ethernet network and not by DDS.

We also measure each throughput of ROS1 and ROS2 in the remote case. In our one-way message transport experiment, maximum bandwidth of the network is 12.5 MB/sec because we use 100 Mbps Ethernet (100BASE-TX) and Full-Duplex as shown in Table 2. Nodes repeatedly transport each message with 10Hz.

In small data from 256 B to 2 KB, we can observe a constant gap among ROS1, ROS2 with OpenSplice, and ROS2 with Connext from Figure 20. These additional data correspond with RTPS packets for *QoS Policy* and heartbeat. Hence, these gap does not depend on data size. Moreover, Connext throughput is lower than OpenSplice one. This becomes a big impact when users handle many kinds of small data with high Hz and/or network bandwidth is limited.

In large data from 2 KB to 4MB, curves of Figure 21 demonstrate sustainable theoretical throughput. ROS2 and ROS2 is able to utilize all of available bandwidth and similarly behave in this situation. Throughput is limited by the network and not by DDS.



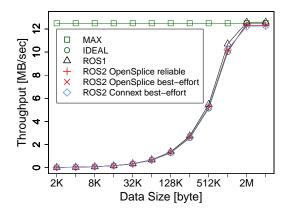


Figure 20: (1-a) and (2-b) remote cases throughput with small data

Figure 21: (1-a) and (2-b) remote cases throughput with large data

# 1.3 Unclear contributions

# 1. Comment:

The contributions of the paper are essentially experimental results, but these results themselves are not sufficient. The authors do not provide any guidelines, lessons learned, or insight that they gained from their experiments. Some examples of interesting questions to be answered from the data collected by the authors include:

## Our reply:

Thank you very much for pointing out our insufficient contributions and suggesting a lot of hint for lessons. To reply this comment and answer following interesting questions, we create "3.7 Lessons Learned" section. In this section, we show guidelines to highlight our contributions from experiments. For precise sentences, please go on reading following our replies and revised paper. We hope you will be satisfied with our replies.

# 2. Comment:

Should ROS1 ever be used over ROS2, or do the benefits of ROS2 outweigh the costs?

# Our reply:

Thank you for suggesting a good question. ROS1 has relatively small latency, small overhead throughput, various packages, and rich tools. In contrast, ROS2 is under development and does not abstract some DDS APIs and QoS Policies. However, ROS2 supports some QoS Policies and does not need master-node. This is important in terms of fault tolerance. Moreover, ROS2 will support RTOS and light DDS implementation for real-time embedded systems. We consider these benefits outweigh the cost and recommend the both with ros\_bridge. We have added sentences about this in "3.7 Lessons Learned" section to answer your comment. However, we must note that this paper does not simply compare ROS1 and ROS2. This paper conducts proof of content for DDS approach to ROS and clarify DDS potential.

- Added sentences about benefit of ROS2 in "3.7 Lessons Learned" section

DDS brings supports real-time embedded systems to ROS2. We believe ROS2 outweigh its cost for using DDS. Fault tolerance of DDS is superior because it is able to save past data with *QoS Policy* and does not have a master *node*. DDS guarantees fair latencies as shown in Figure 19. In addition, DDS is able to run on multiple platforms include RTOS and switch DDS implementation as needed. Under RTPS protocol, any ROS2 *nodes* communicate with each other without relation to its platform.

### 3. Comment:

What underlying implementation of DDS should people use, and why, or under what circumstances would one be better than the other?

# Our reply:

Thank you for suggesting a good question. We recommend each DDS implementation depending on circumstances. In the local cases, OpenSplice is superior to others due to its capability and low latency caused by many threads. In the remote cases, Connext is superior because difference of latency is relatively small and we must consider bandwidth. Connext's throughput is minimum as shown in Figure 20. For embedded systems, regarding memory and thread, we consider that FastRTPS is suitable from Tables 6 and 7. We have added theses guidelines in "3.7 Lessons Learned" section to answer this question.

- Added sentences about ROS2 guidelines in "3.7 Lessons Learned" section

DDS supports QoS Policy but there is trade-off of end-to-end latencies and throughput. In the local case, overhead latencies of ROS2 is not trivial. From Section 3.3, the latencies is caused by two data conversions for DDS and DDS transaction. DDS endto-end latencies is constant until message data size is lower than maximum packet size (64 KB) as shown in Figure 9. On the other hand, as one large message is divided into several packets, the latencies sharply increases as show in Figures 10 and 18. Whether message data size is over 64 KB or not is important issue especially in DDS because management of divided packets with QoS Policy needs significant processing time and alternative APIs provided by some vendors. We should understand influence of divided packets and keep in mind this issue when using DDS. While DDS and ROS2 abstraction have overhead latencies, OpenSplice utilizes a lot of threads and processes faster than Connext as shown in Figure 13. This is a reason why we currently should use Open-Splice in the underlying implementation of DDS in the local case. In the remote case, although overhead latencies is trivial, we must consider throughput for bandwidth. As shown in 20, Connext is superior to OpenSplice in terms of throughput. This constant overhead throughput is predictable and exists no matter how small message data size is. It influences especially when many kinds of topic are used with high Hz. We recommend Connext to consider minimum necessary throughput in the remote case.

DDS brings supports real-time embedded systems to ROS2. We believe ROS2 outweigh its cost for using DDS. Fault tolerance of DDS is superior because it is able to save past data with QoS Policy and does not have a master node. DDS guarantees fair latencies as shown in Figure 19. In addition, DDS is able to run on multiple platforms include RTOS and switch DDS implementation as needed. Under RTPS protocol, any ROS2 nodes communicate with each other without relation to its platform. FastRTPS is currently the best DDS implementation for embedded systems in thread and memory as Table 6 indicates, but it is not suitable for small embedded system.

#### 4. Comment:

What can be done to improve the performance of the interface between ROS2 and DDS, or within the DDS implementations themselves?

# Our reply:

Thank you for your thoughtful comment. First, ROS2 must expand the scope of supposed QoS Policies for real-time systems. It is a very important issue for a real-time guarantee. Current QoS Policy is insufficient. Second, ROS2 should abstract alternative APIs such as an asynchronous publisher and flow controller for large data transport. This improves DDS performance and reduces overhead latencies. Finally, there are numerous DDS and vendor specific configuration options which might affect its performance. Tuning these configures for ROS2 is to do in order to improve performance within each DDS implementation. We have added some sentences to clarify above things as followed.

- Added sentences for improvements of ROS2 in "3.7 Lessons Learned" section -

Since ROS2 is under development, we have clarified room for improvement of ROS2 performance and capability to maximize DDS potential. First, QoS Policies supposed by ROS2 provide fault tolerance but they are insufficient for real-time processing. ROS2 has to expand the scope of supported QoS Policies. Second, for small embedded system, ROS2 needs a minimum DDS implementation and minimum abstraction layer. For example, we need C API library for ROS2 and a small DDS implementation. ROS2 easily supports them because of its abstraction layer. Third, we also clarify a need of alternative API for large message to manage divided packets. This is critical to handle large message. Abstraction of this will shorten DDS end-to-end latencies and fulfill deficiency of Table 4. Finally, we must tune DDS configurations for ROS2 because there are numerous vendor specific configuration options.

- Added sentences about improvement of ROS2 in "5. CONCLUSION" section -

Since ROS2 is under development, we must maximize DDS potential by tuning and abstracting more *QoS Policies* for real-time processing and DDS configurations.

#### 5. Comment:

How does the switch to DDS from pure TCP or UDP protocols affect other performance factors in ROS2 systems?

### Our reply:

Thank you for your suggestion. In the submitted paper, we only focused on end-to-end latency affected by DDS. In the reviled paper, we show other performance factors by variable aspects. One additional factor is throughput in "3.4 Throughput of ROS1 and ROS2" section. We discuss throughput affected by DDS and clarify overhead throughput of each DDS implementations. Another factor is the number of thread in "3.6 Memory consumption of ROS1 and ROS2" section. The number of used thread are compared depending on DDS implementations.

# 1.4 Minor points

• Comment: Table 4 - ROS1 experiment says 2c, should be 1c

Our reply: Thank you very much for your careful reading. We have exchanged "2c" and "1c". (in page 5)

• Comment: 3.3.1 ROS1 and ROS2 is much less than the difference between remote and local cases

Our reply: Thank you for pointing out our illegible expression. We have removed "The difference in end-to-end latencies between" and modified the sentence as you proposed. (in Section 3.3.1)

• Comment: 3.3.2 with large data, ROS2 has significant overhead depending on the size of data

Our reply: Thank you for pointing out our unreadable expression. We have changed "Compared to ROS1, with" to "With". (in Section 3.3.2)

• Comment: Figure 12 and 13, invert legend

Our reply: Thank you for your suggestion. We have inverted the legends of Figure 11 and 12 for easy distinguishable difference. (in page 6)

• Comment: Table 5 legend missing

Our reply: Thank you for pointing out our wrong part. We have fulfilled Tabel 5 legend as "Comparison of ROS2 to Related Work". (in page 9)

• Comment: The authors use several implementations of DDS but it is unclear from their figures, which data is for OpenSplice, Connext or FastRTPS

Our reply: I'm sorry to provide unclear figures. We have added explanations for Figures 7, 8, 9, and 10 to clarify what DDS implementation we used. (in page 6)

• Comment: Section 3.3.3 analyses performance for the two DDS frameworks with an explicit assumption regarding the performance of Opensplice vortex. The authors assume that the performance of Opensplice vortex professional edition is the same as the performance of Connext professional edition, but conduct their experiments only with the community edition of Opensplice. For a fair analysis, either the professional or community version should be used for both frameworks.

Our reply: Thank you for pointing out our unfair evaluations. We tried building ROS2 with Vortex OpenSplice, but we could not succeed. Currently, ROS2 does not support OpenSplice Professional Edition. For clear discussion, we added sentence ",but ROS2 does not support this" ("this" means Vortex OpenSplice) in a footnote. (page 4) In addition, after an evaluation of threads, we have changed our view that the performance of Opensplice vortex professional edition is the same as the performance of Connext professional edition. Using OpenSplice, ROS2 has many threads (about 49 threads). Parallelized procession by a lot of threads causes low latency and we assume that Vortex OpenSplice is faster than Connext.

- Added footnote about unspported Vortex in page 4 -

Vortex OpenSplice, i.e., OpenSplice commercial edition, supports shared memory transport, but ROS2 does not supports this. In this paper, OpenSplice DDS Community Edition is used because it is open-source.

# 2 Response to 2<sup>nd</sup> reviewer

### 1. Comment:

The experiments provide good data, but unfortunately the data come only from a high-performance, multi-core system. It will be useful to discuss performance in an embedded device used in robotics with some resource constraints.

# Our reply:

Thank you very much for your thoughtful suggestion. To discuss performance in embedded systems with some resource constrains, we have conducted measurements of thread and memory consumption in newly added Section 3.5 and 3.6. In these sections, we analyze the results of measurements and discuss DDS characteristic. These provide us insight for embedded systems and distributed capabilities.

- Added "3.5 Thread of ROS1 and ROS2" section -

In this section, we measure the number of threads on each *node*. Table 6 shows the result of measurements. Note that the number described in Table 6 depends on DDS configuration including *QoS Policy*. The number does not be fixed by vendors.

First of all, we can observe that ROS2 *node* with OpenSplice has a lot of threads. This may cause parallelized processing and the fact that OpenSplice is much faster than Connext as shown in Figure 13.

Another interesting point is FastRTPS threads. ROS2 node with FastRTPS realizes discovery and serialization, and pub/sub data transport with the same number of ROS1 node threads. This result proves improvement of fault tolerance without additional resources because FastRTPS does not need master-node.

| Table 6: The Number of Thread on ROS1 or ROS2 |                                 |   |    |   |  |  |  |  |
|---|---------------------------------|---|----|---|--|--|--|--|
|   | ROS1 Connext OpenSplice FastRTF |   |    |   |  |  |  |  |
| node  | 5                               | 8 | 49 | 5 |  |  |  |  |
| master-node                                   | 3                               | - | -  | - |  |  |  |  |

Added "3.6 Memory consumption of ROS1 and ROS2" section -

We also measure memory size of shared library object (.so) in ROS1 and ROS2. Shared libraries are libraries that are dynamically loaded by nodes when they start. They are not linked to executable files but they will be vital guidelines for estimation of memory size. We arrange the result in Table 7. In this table, we add up library data size for pub/sub transport. In ROS2, shared libraries are classified into the DDS library and the ROS2 abstraction library. While DDS libraries are provided by each vendor, ROS2 libraries abstract DDS APIs and convert messages for DDS. In Table 7, DDS and ROS2 libraries vary depending on vendors. These library data size tends to increase because its QoS capability and abstraction. For small embedded systems, we need a minimal DDS implementation and light abstraction layer.

Table 7: Memory of .so Files for ROS1 and ROS

|      |            | DDS [KB] | Abstraction [KB] | Total [MB] |  |  |
|------|------------|----------|------------------|------------|--|--|
|      | ROS1       |          | 2,206            |            |  |  |
| -    | Connext    | 11,535   | 9,645            | 21.18      |  |  |
| ROS2 | OpenSplice | 3,837    | 14,117           | 17.95      |  |  |
|      | FastRTPS   | 1,324    | 3,953            | 5.28       |  |  |

#### 2. Comment:

Since the comparison provides evaluations of ROS middleware replacements, considering alternatives (primarily ZMQ + Protobuf) would have made for a compelling case (for or against) the selected DDS approach.

### Our reply:

Thank you very much for your good insight. Although these alternatives such as ZMQ + Protobuf should be evaluated, ROS2 firstly accepts DDS approach and only supports several DDS implementations. ROS2 does not support ZMQ + Protobuf. To highlight this fact, we have added "Currently ROS2 only supports some DDS implementations.". (in page 1) Since ROS2 currently does not support ZMQ+Protobuf, this paper focuses evaluation of DDS approach to ROS and conducts its proof of concept.

- Added footnote for unsupported ZMQ + Protobuf in page 1 -

Currently ROS2 only supports some DDS implementations.

# 3. Comment:

Additionally, further evaluations of the QoS policies would have been beneficial, since 100 ms deadline is rather meaningless for the message sizes, processor speeds, and network capacities used in the experimental evaluation.

# Our reply:

Thank you for your thoughtful comment. At present, ROS2 only supports a few QoS Policies. For example, DEADLINE is only calculated by a node's period and is not utilized for scheduling. In this condition, we prepare \*-depth policy and have varied history setting by configuring depth option. This HISTORY QoS Policy provides applications fault tolerance. We have added sentences in "3.3.3 Comparison within ROS2" section and described analysis there.

- Updated sentences in "3.3.3 Comparison within ROS2" section -

In addition, the influence of the *QoS Policy* on end-to-end latencies is evaluated in (2-b) OpenSplice with the reliable policy, best-effort policy, and \*-depth policy. \*-depth policy is prepared for this evaluation and configured by depth as shown in Table 5. Figure 14 shows differences in latencies depending on the reliable policy and best-effort policy.

- Added sentences about additional QoS in "3.3.3 Comparison within ROS2" section -

Figure 15 shows no differences depending on the depth of \*-depth policy. These QoS policies are different in the number nodes save messages. Although this number influences resources, this does not affect latencies because archiving messages is conducted in every publication.

Table 5: Depth Configurable QoS Policies

|                        | *-depth policy  |
|------------------------|-----------------|
| DEADLINE               | 100 ms          |
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| $\operatorname{depth}$ | 1, 10, or 100   |
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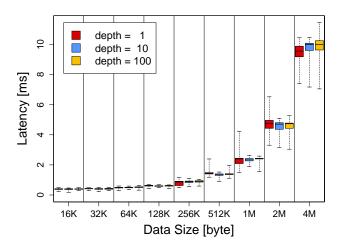


Figure 15: (2-b) Configured \*-depth policy in ROS2 with OpenSplice

# 4. Comment:

Finally, evaluation of performance of the middleware options under more constrained network resources would have been beneficial as well.

# Our reply:

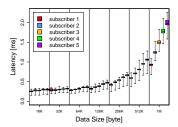
Thank you very much for your thoughtful comment. We have additionally conducted evaluation of a multiple destinations publisher for increased network load in "3.3.4 Multiple Destinations Publisher local cases" section. This evaluation also provides insight for the overhead of DDS scales as shown in 18 and 19. However, we did not conduct further evaluations for real-time guarantees with constrained network because QoS Policies supposed by ROS2 are insufficient for real-time processing. After support of real-time QoS, we will conduct above evaluations with configuring QoS variables for real-time systems. We have explicitly described this fact as future work.

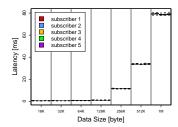
- Updated sentences about future work in "5. CONCLUSION" section

Since ROS2 is under development, we must maximize DDS potential by tuning and abstracting more *QoS Policies* for real-time processing and DDS configurations.

- Added sentences in "3.3.4 Multiple Destinations Publisher in local cases" section

In this section, we prepare five *subscriber-nodes* and measure latencies of each *node*. Much of information shared in real applications is destinated to multiple destinations. Hence, this evaluation is practical for user. Figure 17 shows latencies of ROS1. We can observe significant differences between *subscriber-nodes*. This means ROS1 schedules *message* publication in order and is not suitable for real-time systems. For example, in 1 MB, subscriber 5 is about twice as mach as subscriber 1. In contrast, ROS2 has small differences as shown in Figure 18. All subscribers' behavior is fair in ROS2. However, ROS2 latencies significantly depends on the number of packets. This is same characteristic we learned from Figure 8. Figure 19 indicates fair latencies and dependency of packets. Although we cannot say that latencies variance of ROS1 is larger than one of ROS2 due to the difference of the scale, Figures 17, 18, and 19 prove ROS2 *message* publication is more fair to multiple subscribes than ROS1 one.





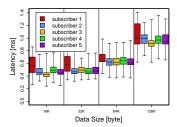


Figure 17: (1-b) ROS1 multiple destinations publisher.

Figure 18: (2-b) ROS2 multiple destinations with Open-Splice reliable policy.

Figure 19: (2-b) ROS2 multiple destinations with Open-Splice reliable policy.

# 3 Response to 3<sup>rd</sup> reviewer

#### 1. Comment:

Despite this, the title and contributions are not clear, particularly, performance is a broad term and the authors mostly present results on message transmission. The contribution should be stated more explicitly. While it is true that other practical considerations are explained, they are not properly highlighted.

# Our reply:

Thank you very much for your critical comment. To expand the scope of experiments, we have conducted additional evaluations. In the revised paper, we have conducted additional evaluations from various aspects. One is throughput evaluations in "3.4 Throughput of ROS1 and ROS2" section. We have clarified throughput characteristics depending on DDS vendors. Another additional evaluation is measurements of latency for a multiple destinations publisher. This is described in "3.3.4 Multiple Destinations Publisher in local cases" section and will be utilized for fault tolerance and distributed capabilities. From this experiment, we can learn fair latency which DDS brings to ROS. In addition, we prepare \*-depth policy and have varied QoS setting by configuring depth option in "3.3.3 Comparison within ROS2" section. The other additional evaluations also expand our performance evaluation. We have conducted measurements for the number of thread in "3.5 Thread of ROS1 and ROS2" and shared library memory consumption in "3.6 Memory consumption of ROS1 and ROS2" section. These experimets provide us insight for embedded systems and distributed capabilities. We consider that these broad evaluations and analysis represent the performance of ROS2 after revision.

In addition, we have created new section "3.7 Lessons Learned" to clarify our contributions and practical considerations. In this section, we explicitly show guidelines to highlight our contributions from experiments in this paper. This lessons will be variable and should be shared among ROS users. For precise added sentences and analysis, please go on reading following our replies and the revised paper.

#### 2. Comment:

One of the most promising improvements of ROS2 wrt ROS is the lack of a single point of failure (master). This should probably be highlighted!

# Our reply:

Thank you very much for pointing out a vital issue. This is important for fault tolerance and significant benefit of using DDS. To highlight this point, we have added several sentences.

- Added sentences in "2.1 Robot Operating System (ROS)" section

In addition, due to use of DDS, ROS2 does not need a master process. This is a import point in terms of fault tolerance.

- Added sentences in "3.7 Lesson Learned" section

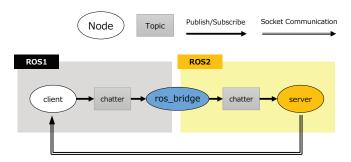
We believe ROS2 outweigh its cost for using DDS. Fault tolerance of DDS is superior because it is able to save past data with *QoS Policy* and does not have a master *node*.

#### 3. Comment:

How exactly are the end-to-end delays (one way transmission) measured by the same computer? Please explain the process.

# Our reply:

Thank you for pointing out our lack of explanation. In the remote cases, to avoid time synchronization issues, the experiment adopts simple socket communication that routes through neither ROS1 nor ROS2. Machine1 transmits data through ROS1 or ROS2, and receives short data through socket communication. In the adopted method, evaluation halts when messages do not reach a subscriber-node in the cases with, for example, the best-effort policy, because a publisher-node must wait until a subscriber-node replies during each publish event. We estimate end-to-end latencies by subtracting preliminarily evaluated socket communication time. Using socket communication, the communication latencies between ROS1 and ROS2 can be evaluated respectively. However, dividing round-trip latency in half cannot evaluate them and does not be used for this evaluation. The following figure shows the node-graph for evaluation of communication from ROS1 to ROS2 with socket communication and a ros\_bridge in remote cases. For page constraint, we could not have added the above explanation and following figure. Hence, we make source code for evaluation open and have added its url to references of our paper.



Evaluation method for remote cases with ros\_bridge.

· Added references for source code in "6. REFERENCES" section

- [5] Source code using ROS1 evaluations. https://github.com/m-yuya/ros1\_evaluation.
- [6] Source code using ROS2 evaluations. https://github.com/m-yuya/ros2\_evaluation.

### 4. Comment:

In table 4: Why is there 'none' in the ROS2 best effort policy? Since there is no backlog saved on the nodes, all late joining nodes will suffer from the same problem as ROS nodes.

# Our reply:

Thank you for pointing out our lack of explanation. In best-effort policy, "none" means there is no inital loss when a subscriber-node is launched before a publisher-node begins to send messages. As you explained, a node with best-effort policy does not have backlog and there will be a lot of message loss for lata-joining nodes. In Table 3, "Inital loss" means whether there is message loss or not for pre-joining nodes. "Inital loss" in not for late-joining nodes. After receiving your comment, we have modified sentences in the beginning of "3.2 Capabilities of ROS1 and ROS2" section to make it easy to understand.

- Added sentence in "3.2 Capabilities of ROS1 and ROS2" section -

In best-effort policy, a subscriber-node must be launched before a *publisher-node* begins to send *messages* for "Initial loss" none.

#### 5. Comment:

A major feature of ROS2, is to give Real Time guarantees on message deliveries, however, this is not compared. Specifically, when message loads are increased, a comparison would be welcomed.

# Our reply:

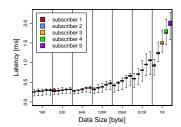
Thank you very much for your thoughtful suggestion. We have additionally conducted evaluation of a multiple destinations publisher for increased network load in "3.3.4 Multiple Destinations Publisher local cases" section. This evaluation also provides insight for the overhead of DDS scales as shown in 18 and 19. However, we did not conduct further evaluations for real-time guarantees with constrained network because QoS Policies supposed by ROS2 are insufficient for real-time processing. After support of real-time QoS, we will conduct above evaluations with configuring QoS variables for real-time systems. We have explicitly described this fact as future work.

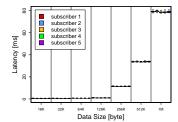
- Updated sentences about future work in "5. CONCLUSION" section

In future work, we will evaluate real-time applications such as an autonomous driving vehicle as case studies using ROS2. ... Since ROS2 is under development, we must maximize DDS potential by tuning and abstracting more *QoS Policies* for real-time processing and DDS configurations.

· Added sentences in "3.3.4 Multiple Destinations Publisher in local cases" section

In this section, we prepare five subscriber-nodes and measure latencies of each node. Much of information shared in real applications is destinated to multiple destinations. Hence, this evaluation is practical for user. Figure 17 shows latencies of ROS1. We can observe significant differences between subscriber-nodes. This means ROS1 schedules message publication in order and is not suitable for real-time systems. For example, in 1 MB, subscriber 5 is about twice as mach as subscriber 1. In contrast, ROS2 has small differences as shown in Figure 18. All subscribers' behavior is fair in ROS2. However, ROS2 latencies significantly depends on the number of packets. This is same characteristic we learned from Figure 8. Figure 19 indicates fair latencies and dependency of packets. Although we cannot say that latencies variance of ROS1 is larger than one of ROS2 due to the difference of the scale, Figures 17, 18, and 19 prove ROS2 message publication is more fair to multiple subscribes than ROS1 one.





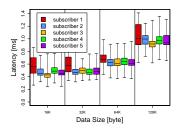


Figure 17: (1-b) ROS1 multiple destinations publisher.

Figure 18: (2-b) ROS2 multiple destinations with Open-Splice reliable policy.

Figure 19: (2-b) ROS2 multiple destinations with Open-Splice reliable policy.

### 6. Comment:

The authors say that Connext and OpenSplice maximum payload size is 64kB, but this is also the maximum payload of both TCP and UDP messages. Why is this an advantage/disadvantages of this?

# Our reply:

Thank you very much for indicating our unclear explanation. Connext and OpenSplice maximum payload size is officially described as 64KB in [http://www.prismtech.com/vortex/vortex-opensplice/performance] and [http://www.rti.com/products/dds/benchmarks.html]. This is because that 64KB is the maximum payload of IP packet. In DDS, message packets must be sent following QoS. Hence, when large message is divided into several packets, some DDS vendors need users to use alternative APIs and additional processing is needed to manage divided packets with QoS. Whether message is divided or not is critical issue in DDS. In contrast, ROS1 does not handle packets with QoS. ROS1 simply sends packets in order. We have created new section "3.7 Lessons Learned" and explained this issue.

- Added sentences about ROS2 guidelines in "3.7 Lessons Learned" section

DDS supports QoS Policy but there is trade-off of end-to-end latencies and throughput. In the local case, overhead latencies of ROS2 is not trivial. From Section 3.3, the latencies is caused by two data conversions for DDS and DDS transaction. DDS endto-end latencies is constant until message data size is lower than maximum packet size (64 KB) as shown in Figure 9. On the other hand, as one large message is divided into several packets, the latencies sharply increases as show in Figures 10 and 18. Whether message data size is over 64 KB or not is important issue especially in DDS because management of divided packets with QoS Policy needs significant processing time and alternative APIs provided by some vendors. We should understand influence of divided packets and keep in mind this issue when using DDS. While DDS and ROS2 abstraction have overhead latencies, OpenSplice utilizes a lot of threads and processes faster than Connext as shown in Figure 13. This is a reason why we currently should use Open-Splice in the underlying implementation of DDS in the local case. In the remote case, although overhead latencies is trivial, we must consider throughput for bandwidth. As shown in 20, Connext is superior to OpenSplice in terms of throughput. This constant overhead throughput is predictable and exists no matter how small message data size is. It influences especially when many kinds of topic are used with high Hz. We recommend Connext to consider minimum necessary throughput in the remote case.

DDS brings supports real-time embedded systems to ROS2. We believe ROS2 outweigh its cost for using DDS. Fault tolerance of DDS is superior because it is able to save past data with QoS Policy and does not have a master node. DDS guarantees fair latencies as shown in Figure 19. In addition, DDS is able to run on multiple platforms include RTOS and switch DDS implementation as needed. Under RTPS protocol, any ROS2 nodes communicate with each other without relation to its platform. FastRTPS is currently the best DDS implementation for embedded systems in thread and memory as Table 6 indicates, but it is not suitable for small embedded system.

### 7. Comment:

There is no mention whatsoever on which type of network is used to comunicate between two different machines. Is it a full-duplex ethernet connection, an unreliable WiFi connection, or some other network? This is important, since authors claim "Some failures with the best-effort policy are due to frequent message losses caused by non-reliable communications", which is dependent on the actual protocol.

# Our reply:

Thank you for pointing out import issue. We have conducted remote case experiments with Full-Duplex 100BASE-TX 100Mbps Ethernet connection. Two machines are physically connected by LAN cable with a switcher. Thus, message loss occurs by UDP and not by network. To clarify this fact, we add network protocol in Table 2: "Evaluation Environment".

Table 2: Evaluation Environment

|                     |              | Machine1  | Machine2            |  |  |
|---------------------|--------------|---|---------------------|--|--|
| Model number        |              | Intel Core i5 3470  | Intel Core i5 2320  |  |  |
| CPU                 | Frequency    | 3.2 GHz   | $3.00~\mathrm{GHz}$ |  |  |
| CFU                 | Cores        | 4   | 4                   |  |  |
|                     | Threads      | 4   | 4                   |  |  |
|                     | Memory       | 16 GB   | 8 GB                |  |  |
|                     | Network      | 100 Mbps Ethernet / Full-Duplex                           |                     |  |  |
|                     | ROS1         | Indigo  |                     |  |  |
|                     | ROS2         | Cement (alpha3)   |                     |  |  |
| DDS implementations |              | Connext <sup>1</sup> / OpenSplice <sup>2</sup> / FastRTPS |                     |  |  |
| OS                  | Distribution | Ubuntu 14.04  |                     |  |  |
| OS                  | Kernel       | Linux 3.13.0  |                     |  |  |

#### 8. Comment:

What was the message set in each experiment? Only one message per experiment? Or was there any combination of messages in each experiment? If so, the scheduling algorithm should have been explained and the interference analysed.

## Our reply:

Thank you for pointing out our lack of explanation. In every data size, a publisher-node sends 100 messages per experimet with 10 Hz. Each simple string message is transported in order. Using 100 measurements in each data size, we prepare medians and boxplots. To clarify this process, we make source code for evaluation open and have added its url to references of our paper.

- Added references for source code in "6. REFERENCES" section -

- [5] Source code using ROS1 evaluations. https://github.com/m-yuya/ros1\_evaluation.
- [6] Source code using ROS2 evaluations. https://github.com/m-yuya/ros2\_evaluation.

### 9. Comment:

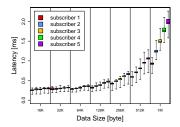
Missing results: Much of the information shared in robots is destined to multiple destinations. A possible improvement (maybe for future work) would be a comparison wrt multidestination message distribution.

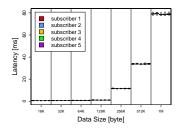
### Our reply:

Thank you for your advice. In the revised paper, we have conducted evaluations for a multiple destinations publisher. In our evaluation, we prepare five subscribers and measure end-to-end latencies. From this experiment, we can learn fair latency which DDS brings to ROS. However, latencies are significantly depending on the number of packets. We consider that this problems will be improved by abstraction of alternative APIs such as an asynchronous publisher and flow controller and/or vendor specific configuration options. This is effective for not only multi-destination but also single-distination. Challenging above problems is our future work because we did not have enough time to do them.

- Added sentences in "3.3.4 Multiple Destinations Publisher in local cases" section -

In this section, we prepare five *subscriber-nodes* and measure latencies of each *node*. Much of information shared in real applications is destinated to multiple destinations. Hence, this evaluation is practical for user. Figure 17 shows latencies of ROS1. We can observe significant differences between *subscriber-nodes*. This means ROS1 schedules *message* publication in order and is not suitable for real-time systems. For example, in 1 MB, subscriber 5 is about twice as mach as subscriber 1. In contrast, ROS2 has small differences as shown in Figure 18. All subscribers' behavior is fair in ROS2. However, ROS2 latencies significantly depends on the number of packets. This is same characteristic we learned from Figure 8. Figure 19 indicates fair latencies and dependency of packets. Although we cannot say that latencies variance of ROS1 is larger than one of ROS2 due to the difference of the scale, Figures 17, 18, and 19 prove ROS2 *message* publication is more fair to multiple subscribes than ROS1 one.





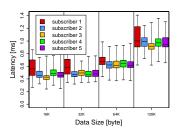


Figure 17: (1-b) ROS1 multiple destinations publisher.

Figure 18: (2-b) ROS2 multiple destinations with Open-Splice reliable policy.

Figure 19: (2-b) ROS2 multiple destinations with Open-Splice reliable policy.

- Updated sentences about future work in "5. CONCLUSION" section

In future work, we will evaluate real-time applications such as an autonomous driving vehicle as case studies using ROS2. Moreover, we have to breakdown DDS processing time and execute ROS2 on RTOS. We also are interested in ROS2 behavior on embedded devices. Since ROS2 is under development, we must maximize DDS potential by tuning and abstracting more *QoS Policies* for real-time processing and DDS configurations.

# **Exploring the Performance of ROS2**

# **ABSTRACT**

Middleware for robotics development must meet demanding requirements in real-time distributed embedded systems. The Robot Operating System (ROS), open-source middleware, has been widely used for robotics applications. However, ROS is not suitable for real-time embedded systems because it does not satisfy real-time requirements and only runs on a few OSs. To address this problem, ROS1 will undergo a significant upgrade to ROS2 by utilizing the Data Distribution Service (DDS). DDS is suitable for real-time distributed embedded systems due to its various transport configurations (e.g., deadline and fault-tolerance) and scalability. ROS2 must convert data for DDS and abstract DDS from its users; however, this incurs additional overhead, which is examined in this study. Transport latencies between ROS2 nodes vary depending on the use cases, data size, configurations, and DDS vendors. We conduct proof of concept for DDS approach to ROS and arrange DDS characteristic and guidelines from various evaluations. By highlighting the DDS capabilities, we explore and evaluate the potential and constraints of DDS and ROS2.

# **Keywords**

robot operating system; data distribution service; quality of service; real-time; embedded; publish/subscribe

# 1. INTRODUCTION

In recent years, real-time distributed embedded systems, such as autonomous driving vehicles, have become increasingly complicated and diverse. Autonomous driving has attracted attention since the November 3, 2007 DARPA Urban Challenge [32]. The Robot Operating System (ROS) [26] is open-source middleware that has undergone rapid development [13] and has been widely used for robotics applications (e.g., autonomous driving systems). The ROS is built almost entirely from scratch and have been maintained by Willow Garage [7] and Open Source Robotics Foundation (OSRF) [2] since 2007. The ROS enhances productivity [14], providing publish/subscribe transport, multiple libraries (e.g.,

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DOI: 10.475/123\_4

OpenCV and the Point Cloud Library (PCL) [3]), and tools to help software developers create robotics applications.

However, the ROS does not satisfy real-time run requirements and only runs on a few OSs. In addition, the ROS cannot guarantee fault-tolerance, deadlines, or process synchronization. Moreover, the ROS requires significant resources (e.g., CPU, memory, network bandwidth, threads, and cores) and can not manage these resources to meet time constraints. Thus, the ROS is not suitable for real-time embedded systems. This critical problem has been considered by many research communities, including ROS developers, and various solutions have been proposed and evaluated [15], [19], [34]. However, these solutions are insufficient to address the ROS's limitations for real-time embedded systems.

To satisfy the needs of the now-broader ROS community, the ROS will undergo a significant upgrade to ROS2 [22]. ROS2 will consider the following new use cases: real-time systems, small embedded platforms (e.g., sensor nodes), non-ideal networks, and cross-platform (e.g., Linux, Windows, Mac, Real-Time OS (RTOS), and no OS). To satisfy the requirements of these new use cases, the existing version of ROS (hereinafter ROS1) will be reconstructed to improve user-interface APIs and incorporate new technologies, such as Data Distribution Service (DDS) [23], [28], Zeroconf, Protocol Buffers, ZeroMQ, Redis, and WebSockets. The ROS1 transport system will be replaced by DDS, an industry-standard real-time communication system and end-to-end middleware. The DDS can provide reliable publish/subscribe transport similar to that of ROS1.

DDS is suitable for real-time embedded systems because of its various transport configurations (e.g., deadline, reliability, and durability) and scalability. DDS meets the requirements of distributed systems for safety, resilience, scalability, fault-tolerance and security. DDS can provide solutions for some real-time environments and some small/embedded systems by reducing library sizes and memory footprints. Developed by different DDS vendors, several implementations of this communication system have been used in mission-critical environments (e.g., trains, aircrafts, ships, dams, and financial systems) and have been verified by NASA and the United States Department of Defense. Several DDS implementations have been evaluated and validated by researchers [35], [30] and DDS vendors. These evaluations indicate that DDS is both reliable and flexible.

Contribution: In this paper, we provide proof of con-

 $<sup>\</sup>overline{\ }^{1}$ Reasons why prior work is insufficient are discussed in Section A

<sup>&</sup>lt;sup>2</sup>Currently ROS2 only supports some DDS implementations.

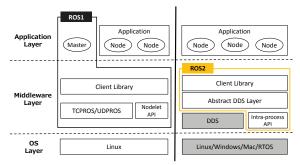


Figure 1: ROS1/ROS2 architecture.

cept for DDS approach to ROS. We clarify the performance of the data transport for ROS1 and ROS2 in various situations. Performance means latencies characteristics, throughput and distributed capability. Focusing on the DDS capabilities, depending on DDS vendor and configuration, we explore and evaluate the potential and constraints from various aspects: latencies, throughput, the number of threads, and memory consumption. From experimental results, we arrange guidelines and what we can do to solve current constrains. To the best of our knowledge, this is the first study to explore ROS2 performance.

**Organization:** The remainder of this paper is organized as follows. Section 2 provides background information and describes the ROS and DDS system models. Section 3 validates experimental situations and evaluates the performance of ROS1 and ROS2 with various configurations. Section 4 discusses related work. Finally, Section 5 concludes the paper and offers suggestions for future work.

### 2. BACKGROUND

In this section, we provide background knowledge. First, we describe the ROS2 system model compared to ROS1, focusing on its communication system. We then review aspects of the ROS, such as the publish/subscribe model. Finally, we describe DDS, which is used as the communication system for real-time systems in ROS2.

## 2.1 Robot Operating System (ROS)

Figure 1 briefly illustrates the system models of ROS1 and ROS2. In the left side of Figure 1, ROS1's implementation includes the communication system, TCPROS/UDPROS. This communication requires a master process (unique in the distributed system) because of the implementation of ROS1. In contrast, as shown in the right side of Figure 1, ROS2 builds upon DDS and contains a DDS abstraction layer. Users do not need to be aware of the DDS APIs due to this abstraction layer. This layer allows ROS2 to have high-level configuration and optimizes the utilization of DDS. In addition, due to use of DDS, ROS2 does not need a master process. This is a import point in terms of fault tolerance.

ROS applications consist of independent computing processes called *nodes*, which promote fault isolation, faster development, modularity, and code reusability. Communication among *nodes* is based on a publish/subscribe model. In this model, *nodes* communicate by passing *messages* via a *topic*. A *message* has a simple data structure (much like C structs) defined by .msg files. *Nodes* identify the content of the *message* by the *topic* name. As a *node* publishes a *message* to a *topic*, another *node* subscribes to the *topic* and utilizes the *message*. For example, as shown in Figure 2, the "Camera" *node* sends *messages* to the "Images"

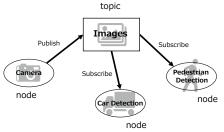


Figure 2: Example of ROS publish/subscribe model.

topic. The messages in the topic are received by the "Car Detection" node and "Pedestrian Detection" node. The publish/subscribe model is designed to be modular at a fine-grained scale and is suitable for distributed systems.

In ROS1, the above communication system is implemented as middleware based on TCPROS and UDPROS using TCP/IP and UDP/IP sockets. When subscriber-nodes and publisher-nodes are launched, they interact with a master-node that collects information and manages all topics, similar to a server. After an XML/Remote Procedure Call (RPC) transaction with the master-node, subscriber-nodes request a connection to publisher-nodes, using an agreed upon connection protocol. Actual data (i.e., a message) is transported directly between nodes. Data does not route through the master. ROS1 realizes a peer-to-peer data transport between nodes.

Optionally, ROS1 provides nodelets, which provide efficient node composition for optimized data transport without TCPROS and UDPROS. A nodelet realizes non-serialized data transport between nodes in the same process by passing a pointer. ROS2 inherits this option as intra-process communication, which addresses some of the fundamental problems with nodelets (e.g., safe memory access).

ROS2 adopts DDS as its communication system. However, as an exception, *intra-process communication* is executed without DDS. DDS is provided by many vendors and has several implementation types. Developers can select appropriate DDS implementations from a variety of DDS vendors.

# 2.2 Data Distribution Service (DDS)

The DDS specification [21] is defined for a publish/subscribe data-distribution system by the Object Management Group (OMG) [1]. The OMG manages the definitions and standardized APIs; however the OMG hides the details of implementation. Several implementations have been developed by different vendors (e.g., RTI [27] and PRISMTECH [24]). DDS supports a wide range of applications, from small embedded systems to large scale systems, such as infrastructures. Note that distributed real-time embedded systems are also supported.

The core of DDS is a Data-Centric Publish-Subscribe (DCPS) model designed to provide efficient data transport between processes even in distributed heterogeneous platforms. The DCPS model creates a "global data space" that can be accessed by any independent applications. DCPS facilitates efficient data distribution. In DDS, each process that publishes or subscribes to data is called a *participant*, which corresponds to a *node* in the ROS. *Participants* can read and write from/to the global data space using a typed interface.

As shown in Figure 3, the DCPS model is constructed of DCPS Entities: DomainParticipant, Publisher, Subscriber,

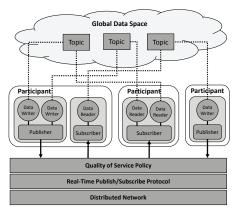


Figure 3: Data-centric publish-subscribe (DCPS) model.

DataWriter, DataReader, and Topic. Each data transport between processes is executed according to a Quality of Service (QoS) Policy.

**DomainParticipant:** A DomainParticipant is a container for following other entities and the entry-point for the service. In DDS, all applications communicate with each other within a *Domain*, which promotes isolation and communication optimization.

**Publisher:** A Publisher is the object responsible for data issuance. Managing one or several Data Writers, the Publisher sends data to one or more Topics.

**Subscriber:** A Subscriber is responsible for receiving published data and making the data available. The Subscriber acts on behalf of one or more DataReaders. According to a Subscriber, a DomainParticipant can receive and dispatch data of different specified types.

**DataWriter:** A DataWriter is an object that must be used by a DomainParticipant to publish data through a Publisher. The DataWriter publishes data of a given type.

**DataReader:** A DataReader is an object that is attached to a Subscriber. Using the DataReader, a DomainParticipant can receive and access data whose type must correspond to that of the DataWriter.

**Topic:** A Topic is used to identify each data-object between a DataWriter and a DataReader. Each Topic is defined by a name and a data type.

QoS Policy: All DCPS Entities have a QoS Policy, which represents their data transport behavior. Each data transaction is configurable at various levels of granularity via many QoS Policy options. In Figure 4, we show an example of DDS data transport following a QoS Policy. The deadline period, depth of history, and communication reliability are configured by a QoS Policy. Table 1 shows the details of the QoS Policy supported by ROS2. In DDS, there are many other QoS Policies [21], which ROS2 should support to extend its capabilities.

In the DCPS model, data of a given type is published from one or several *DataWriters* to a *topic* (its name is unique in the *Domain*). One or more *DataReaders* identify a data-object by *topic* name in order to subscribe to the *topic*. After this transaction, a *DataWriter* connects to a *DataReader* using the Real-Time Publish/Subscribe (RTPS) protocol [20] in distributed systems. The RTPS protocol, the DDS standard protocol, allows DDS implementations from multiple vendors to inter-operate by abstracting and optimizing transport, such as TCP/UDP/IP. The RTPS

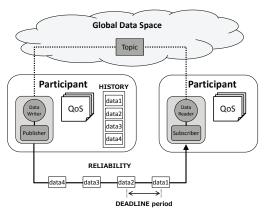


Figure 4: DDS QoS Policy.

Table 1: All QoS Policies of ROS2

| DEADLINE    | A DataWriter and a DataReader must update          |  |  |  |  |  |
|-------------|--|--|--|--|--|--|
|             | data at least once every deadline period.          |  |  |  |  |  |
| HISTORY     | This controls whether the data transport should    |  |  |  |  |  |
|             | deliver only the most recent value, attempt to     |  |  |  |  |  |
|             | deliver all intermediate values, or attempt to de- |  |  |  |  |  |
|             | liver something in between (configurable via the   |  |  |  |  |  |
|             | depth option).                                     |  |  |  |  |  |
| RELIABILITY | In BEST_EFFORT, data transport is executed as      |  |  |  |  |  |
|             | soon as possible. However, some data may be        |  |  |  |  |  |
|             | lost if the network is not robust.                 |  |  |  |  |  |
|             | In Reliable, missed samples are retransmitted.     |  |  |  |  |  |
|             | Therefore, data delivery is guaranteed.            |  |  |  |  |  |
| DURABILITY  | With this policy, the service attempts to keep     |  |  |  |  |  |
|             | several samples so that they can be delivered      |  |  |  |  |  |
|             | to any potential late-joining DataReader. The      |  |  |  |  |  |
|             | number of saved samples depends on HISTORY.        |  |  |  |  |  |
|             | This option has several values, such as VOLATILE   |  |  |  |  |  |
|             | and TRANSIENT_LOCAL.                               |  |  |  |  |  |

protocol is flexible and is defined to take advantage of a QoS Policy. Several vendors use UDP and shared memory transport to communicate. However, in several circumstances, the TCP protocol might be required for discovery and data exchange.

Data transport between a DataWriter and a DataReader is executed in the RTPS protocol according to a QoS Policy. Each DCPS Entity manages data samples according to a unique user-specified QoS Policy. The DCPS middleware is responsible for data transport in distributed systems based on the QoS Policy. Without considering detailed transport implementations, DDS users generate code as a DomainParticipant, including QoS Policies using the DDS APIs. Thus, users can focus solely on their purpose and determine ways to satisfy real-time constraints easily.

#### 3. EVALUATIONS

This section clarifies the capabilities and latencies characteristics of ROS1 and ROS2. At present, ROS2 has been released as an alpha version whose major features are a C++ client library, a build-system and abstraction to a part of the DDS middleware from several vendors. Note that ROS2 is a very rough draft and is currently under heavy development. Therefore, this evaluation attempts to clarify the currently achievable capabilities and latencies characteristics of ROS2.

The following experiments were conducted to evaluate end-to-end latencies for publish/subscribe messaging. The latencies are measured from a publish function on a single *node* until the callback function of another *node* using the hardware and software environment listed in Table 2. The

|              |                 | Machine1  | Machine2           |  |  |
|--------------|-----------------|---|--------------------|--|--|
| Model number |                 | Intel Core i5 3470  | Intel Core i5 2320 |  |  |
| CPU          | Frequency       | 3.2 GHz   | 3.00 GHz           |  |  |
| CFU          | Cores           | 4   | 4                  |  |  |
|              | Threads         | 4   | 4                  |  |  |
|              | Memory          | 16 GB   | 8 GB               |  |  |
|              | Network         | 100 Mbps Ethernet / Full-Duplex                           |                    |  |  |
|              | ROS1            | Indigo  |                    |  |  |
|              | ROS2            | Cement (alpha3)   |                    |  |  |
| DDS i        | implementations | Connext <sup>1</sup> / OpenSplice <sup>2</sup> / FastRTPS |                    |  |  |
| OS           | Distribution    | Ubuntu 14.04  |                    |  |  |
| OS           | Kernel          | Linux 3.13.0  |                    |  |  |

<sup>&</sup>lt;sup>1</sup> RTI Connext DDS Professional [27]

Table 3: QoS Policies for Evaluations

|                        | reliable policy | best-effort policy |
|------------------------|-----------------|--------------------|
| DEADLINE               | 100 ms          | 100 ms             |
| HISTORY                | ALL             | LAST               |
| $\operatorname{depth}$ | _               | 1                  |
| RELIABILITY            | RELIABLE        | BEST_EFFORT        |
| DURABILITY             | TRANSIENT_LOCAL | VOLATILE           |

range of the transferred data size is 256 B to 4 MB because large image data (e.g., 2 MB) and point cloud data (.pcd) are frequently used in ROS applications, such as an autonomous driving system [10]. A string type message is used for this evaluation. In the following experiments, we use two QoS settings, i.e., reliable policy and besteffort policy, as shown in Table 3. In the reliable policy, TRANSIENT\_LOCAL allows a node to keep all messages for late-joining subscriber-nodes, and RELIABLE facilitates reliable communication. In the best-effort policy, nodes do not keep *messages* and communicate unreliably. While each node is executed at 10 Hz, the experiments are repeated up to 4 MB. Boxplots and the medians obtained from 100 measurements for each data size are presented. For precise evaluation methods, we make the source code open in [5] and [6]. We compare three DDS implementations, i.e., Connext [27], OpenSplice [24], and FastRTPS [16]. Connext and OpenSplice are well-known commercial license DDS implementations. Note that Connext also has a research license. Several implementations of OpenSplice and FastRTPS have been released under the LGPL license. By default, Connext uses UDPv4 and shared memory to exchange data. Note that OpenSplice<sup>3</sup> and FastRTPS do not support shared memory data transport. For precise evaluations and realtime requirements, nodes follow SCHED\_FIFO [17] and the mlockall system call. A SCHED\_FIFO process preempts any non-SCHED\_FIFO processes, i.e., processes that use the default Linux scheduling. Using mlockall, a process's virtual address space is fixed in physical RAM, thereby preventing that memory from being paged to the swap area.

# 3.1 Experimental Situations and Methods

As shown in Figure 5, various communication situations between nodes in ROS1 and/or ROS2 are evaluated in the following experiments. Whereas ROS1 is used in (1-a), (1-b), and (1-c), ROS2 is used in (2-a), (2-b), and (2-c). In (3-c)

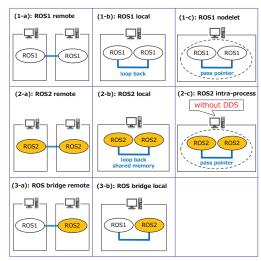


Figure 5: Experimental situations.

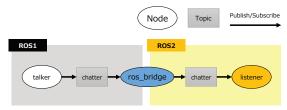


Figure 6: ros\_bridge evaluation in (3-a) and (3-b).

a) and (3-b), ROS1 and ROS2 nodes coexist. Note that the case of (2-c) does not require DDS due to intra-process communication, i.e., shared memory transport. Shared memory transport is used in the (1-c) nodelet and (2-c) intra-process cases. In the experiments, Machine1 is only used in (1-b), (1-c), (2-b), (2-c), and (3-b). End-to-end latencies are measured on the same machine by sending messages between nodes. Messages pass over a local loopback in local cases, i.e., (1-b), (2-b), and (3-b). Otherwise, for communication across the network, Machine1 and Machine2 are used in remote cases, i.e., (1-a), (2-a), and (3-a). They are connected by a local IP network without any other network.

Communication between ROS1 and ROS2 nodes requires a ros\_bridge [31], a bridge-node that converts topics for DDS. The ros\_bridge program has been released by the Open Source Robotics Foundation (OSRF) [2]. A ros\_bridge dynamically marshals several topics for nodes in ROS2. Thus, in (3-a) and (3-b), a ros\_bridge is launched on which ROS2 nodes run. Figure 6 shows the node-graph for evaluation of communication from ROS1 to ROS2. Note that a best-effort policy is the only one used when using a ros\_bridge because a ros\_bridge does not support the RELIABLE policy in the QoS Policy.

# 3.2 Capabilities of ROS1 and ROS2

Table 4 shows whether end-to-end latencies can be measured for each data size with a comment about the causal factors of the experimental results. Table 4 summarizes ROS2's capabilities, and several interesting observations can be made. In the "Initial loss" column, ROS1 fails to obtain initial messages when a node sends messages for the first time even though ROS1 uses TCPROS with small data such as 256 B and subscriber-node is launched before a publisher-node begins to send messages. Although TCPROS is reliable for delivering intermediate messages, it does not support re-

<sup>&</sup>lt;sup>2</sup> OpenSplice DDS Community Edition [24]

<sup>&</sup>lt;sup>3</sup>Vortex OpenSplice [25], i.e., OpenSplice commercial edition, supports shared memory transport, but ROS2 does not support this. In this paper, OpenSplice DDS Community Edition is used because it is open-source.

Table 4: Capabilities of ROS1 and/or ROS2 for each Data Transport

|           | Initial loss   256 [byte]   512 1K · · · * 64K 128K 256K 512K 1M 2M 4M |                |             |      |                       |                       | 43.4                  |                        |                    |                    |                    |                       |                       |                       |
|-----------|--|----------------|-------------|------|-----------------------|-----------------------|-----------------------|------------------------|--------------------|--------------------|--------------------|-----------------------|-----------------------|-----------------------|
|           |  | Initial loss   | 256 [byte]  | 312  | ıĸ                    | <br>04K               | 120K                  | 250K                   | 312K               | 11/1               |                    |                       |                       |                       |
|           |  | (1-a) remote   |             | any  | <b>√</b>              | ✓                     | ✓                     | <br>✓                  | ✓                  | ✓                  | ✓                  | ✓                     | $\triangle^1$         | $\triangle^1$         |
| ROS1      |  | (1-b) local    |             | any  | ✓                     | ✓                     | ✓                     |                        | ✓                  | ✓                  | ✓                  | ✓                     | ✓                     | ✓                     |
|           | (  | 1-c) nodelet   |             | none | ✓                     | ✓                     | ✓                     | <br>✓                  | ✓                  | ✓                  | ✓                  | ✓                     | ✓                     | ✓                     |
|           |  | Connext        | reliable    | none | <b>√</b>              | <b>√</b>              | <b>√</b>              | <br><b>√</b>           | $\blacktriangle^2$ | $\blacktriangle^2$ | $\blacktriangle^2$ | $\blacktriangle^2$    | $\blacktriangle^2$    | <b>▲</b> <sup>2</sup> |
|           |  | Connext        | best-effort | none | ✓                     | ✓                     | ✓                     | <br>✓                  | ✓                  | ✓                  | ✓                  | ✓                     | $\triangle^1$         | $\triangle^1$         |
|           | (2-a) remote   | OpenSplice     | reliable    | none | ✓                     | ✓                     | ✓                     | <br>✓                  | ✓                  | ✓                  | ✓                  | ✓                     | ✓                     | ✓                     |
|           |  | OpenSplice     | best-effort | none | ✓                     | ✓                     | ✓                     | <br>✓                  | $\checkmark$       | ✓                  | ✓                  | ✓                     | $\triangle^1$         | $\triangle^1$         |
|           |  | FastRTPS       |             | none | <b>∆</b> <sup>3</sup> | <b>▲</b> <sup>3</sup> | $\blacktriangle^3$    | <br>$\blacktriangle^3$ | $\blacktriangle^3$ | $\blacktriangle^3$ | $\blacktriangle^3$ | <b>▲</b> <sup>3</sup> | $\blacktriangle^3$    | $\blacktriangle^3$    |
| ROS2      |  | Connext        | reliable    | none | ✓                     | ✓                     | ✓                     | <br>✓                  | $\blacktriangle^2$ | $\blacktriangle^2$ | $\blacktriangle^2$ | $\blacktriangle^2$    | $\blacktriangle^2$    | $\blacktriangle^2$    |
|           |  |                | best-effort | none | ✓                     | ✓                     | ✓                     | <br>✓                  | ✓                  | ✓                  | ✓                  | ✓                     | $\triangle^2$         | $\blacktriangle^1$    |
|           | (2-b) local  | OpenSplice     | reliable    | none | ✓                     | ✓                     | ✓                     | <br>✓                  | ✓                  | ✓                  | ✓                  | ✓                     | ✓                     | ✓                     |
|           | ` ′  |                | best-effort | none | ✓                     | ✓                     | ✓                     | <br>✓                  | ✓                  | ✓                  | ✓                  | ✓                     | $\triangle^2$         | $\triangle^2$         |
|           |  | FastRTPS       |             | none | <b>∆</b> <sup>3</sup> | <b></b>               | <b>▲</b> <sup>3</sup> | <br>$\blacktriangle^3$ | $\blacktriangle^3$ | $\blacktriangle^3$ | $\blacktriangle^3$ | <b></b>               | <b>▲</b> <sup>3</sup> | $\blacktriangle^3$    |
|           | (2-0   | ) intra-proces | ss          | none | ✓                     | ✓                     | ✓                     | <br>✓                  | ✓                  | ✓                  | ✓                  | ✓                     | ✓                     | ✓                     |
|           | (2.)   | Con            | next        | any  | <b>√</b>              | <b>√</b>              | <b>√</b>              | <br><b>√</b>           | <b>√</b>           | <b>√</b>           | <b>√</b>           | <b>√</b>              | $\blacktriangle^1$    | <b>▲</b> <sup>1</sup> |
| DOG1 / O  | (3-a) remote   | OpenS          | Splice      | any  | ✓                     | ✓                     | ✓                     | <br>✓                  | ✓                  | ✓                  | ✓                  | ✓                     | $\triangle^1$         | $\triangle^1$         |
| ROS1 to 2 | (9.1) 11   | Con            | next        | any  | ✓                     | ✓                     | ✓                     | <br>✓                  | ✓                  | ✓                  | ✓                  | ✓                     | $\blacktriangle^1$    | $\blacktriangle^1$    |
|           | (3-b) local  | OpenS          | Splice      | any  | ✓                     | ✓                     | ✓                     | <br>✓                  | ✓                  | ✓                  | ✓                  | ✓                     | ✓                     | ✓                     |
|           | (0 )   | Con            | next        | any  | <b>√</b>              | <b>√</b>              | <b>√</b>              | <br><b>√</b>           | <b>√</b>           | <b>√</b>           | <b>√</b>           | <b>√</b>              | $\blacktriangle^1$    | <b>▲</b> <sup>1</sup> |
| DOCO 4 1  | (3-a) remote   | OpenS          | Splice      | any  | <b>√</b>              | ✓                     | ✓                     | <br>✓                  | ✓                  | $\blacktriangle^1$ | $\blacktriangle^1$ | $\blacktriangle^1$    | $\blacktriangle^1$    | $\blacktriangle^1$    |
| ROS2 to 1 | (0.1) 1  | Com            |             | any  | <b> </b>              | ✓                     | ✓                     | <br>✓                  | ✓                  | ✓                  | ✓                  | ✓                     | $\blacktriangle^1$    | $\blacktriangle^1$    |
| (3-b)     | (3-b) local  | OpenS          |             | any  | <b>√</b>              | ✓                     | ✓                     | <br>✓                  | ✓                  | $\triangle^1$      | $\blacktriangle^1$ | $\blacktriangle^1$    | $\blacktriangle^1$    | $\blacktriangle^1$    |

- \*: same behavior as 1 and 64 KB;  $\checkmark$ : data transport possible;  $\triangle^1$ : possible but missing the deadline;  $\triangle^2$ : data loss possible;
- **△**<sup>2</sup>: impossible with an error message (deficiency of additional configurations for large data);
- △<sup>3</sup>: impossible with an error message (unsupported large data for the DDS implementation)

liable transport of initial messages. This influences ROS2 when using a ros\_bridge. In contrast, ROS2 does not lose initial messages, even when using large data such as 4 MB. This proves the reliability of DDS. In best-effort policy, a subscriber-node must be launched before a publisher-node begins to send messages for "Initial loss" none. On the other hand, with ROS2 reliable policy, a subscriber-node does not have to be launched before a publisher-node starts sending messages. This is attributed to TRANSIENT\_LOCAL in DURABILITY of the QoS Policy. The reliable policy is tuned to provide resilience against late-joining subscriber-nodes. In ROS1, published messages are lost and never recovered. This QoS Policy accelerates fault-tolerance.

Another interesting observation from Table 4 is that ROS2 has many problems when transporting large data. Many experiments fail in various situations with ROS2; however, we can observe differences in performance between Connext and OpenSplice. These constraints on large data originate from the fact that the maximum payload of Connext and OpenSplice is 64 KB. This is the maximum packet size of IP protocol. Is is hard to maintain divided packets with QoS Policy by default API. Therefore, we consider that DDS is not designed to handle large data. This is important for the analysis of ROS2 performance. For example, FastRTPS does not support large data because it is designed as a lightweight implementation for embedded systems. Even a string of 256 B exceeds the maximum length in FastRTPS. Many DDS vendors do not support publishing large data with reliable connections and common APIs. To send and manage divided packets, such DDS vendors provide an alternate API such as an asynchronous publisher and flow controller, which has not been abstracted from ROS2. In our experiments, Connext with reliable policy yields errors when data are greater than 64 KB. Some failures with the best-effort policy are due to frequent message losses caused by non-reliable communication. When a publishernode fails to transfer data to a subscriber-node frequently, we cannot collect sufficient samples and conduct evaluations.

Several evaluations fail in (3-b) and remote cases, as shown in Table 4. Currently, the above results indicate that ROS2 is not suitable for handling large *messages*.

# 3.3 Latency Characteristics of ROS1 and ROS2

As shown in Figures 7, 8, 9, 10, a tendency of end-to-end latencies characteristics is clarified in each situation shown in Figure 5. In (2-a) and (2-b), ROS2 uses OpenSplice with the reliable policy because ROS1 uses TCPROS, i.e., reliable communication. In (3-a) and (3-b), to evaluate latencies with large data (e.g., 512 KB and 1 MB), Connext with the best-effort policy is used. First, we analyze ROS2 performance compared to ROS1. We then evaluate ROS2 with different DDS implementations and configurations, such as the QoS Policy.

#### 3.3.1 Comparison between remote and local cases

ROS1 and ROS2 is much less than the difference between remote and local cases. Figures 7 and 8 show the medians of the latencies for the remote and local cases. Since the conversion influences from ROS1 to ROS2 and from ROS2 to ROS1 are similar, Figures 7 and 8 contain one-way data. In Figure 7, the behavior of all latencies is constant up to 4 KB. In contrast, the latencies in the remote cases grow sharply from 16 KB, as shown in Figures 7 and 8. This is because ROS1 and ROS2 divide a message into 15 KB packets to transmit data through Ethernet. This difference between the remote and local cases corresponds to the data transmission time between Machine1 and Machine2, which was measured in a preliminary experiment. The preliminary experiment measured transmission time for each data size using ftp or http. This correspondence indicates that the RTPS protocol and data about the QoS Policy have little influence on data transmission time in the network. In addition, all latencies are predictable by measuring the data transmission time.

# 3.3.2 Comparison among local, nodelet, and intraprocess cases

The latencies characteristics differ in the cases of small

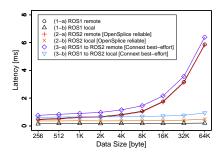
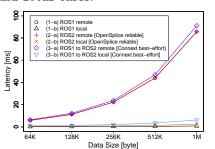


Figure 7: Medians of end-to-end latencies with small data in remote and local cases.



and local cases.

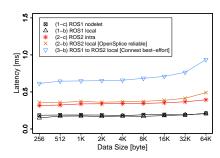


Figure 9: Medians of end-to-end latencies with small data in local, nodelet, and intra-process cases.

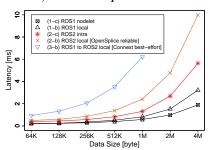


Figure 8: Medians of end-to-end Figure 10: Medians of end-to-end Figure 12: (2-b) best-effort policy nodelet, and intra-process cases.

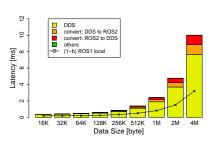
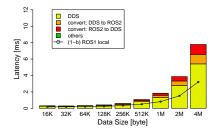


Figure 11: (2-b) reliable policy breakdown of ROS2 latencies with the OpenSplice.



latencies with large data in remote latencies with large data in local, breakdown of ROS2 latencies with the OpenSplice.

and large data. For discussion, we divide the graph into Figures 9 and 10, which show the medians of the end-to-end latencies for local loopback and shared memory transport. This is because whether a message is divided into several packets or not is an import issue to consider end-to-end latencies.

For data size less than 64 KB, a constant overhead with ROS2 is observed, as shown in Figure 9, because DDS requires marshaling various configurations and decisions for the QoS Policy. We observe a trade-off between latencies and the QoS Policy regardless of data size. Although the QoS Policy produces inevitable overhead, the latencies are predictable and small. (3-b) has significant overhead due to the ros\_bridge transaction. In the (3-b) case, a ros\_bridge incurs more overhead to communicate with ROS1 and ROS2.

With large data, ROS2 has significant overhead depending on the size of data, as shown in Figure 10. The overhead of ROS2 in (2-b) is attributed to two factors, i.e., data conversion for DDS and processing DDS. Note that ROS2 in (2-a) and (2-b) must convert messages between ROS2 and DDS twice. One conversion is from ROS2 to DDS, and the other conversion is from DDS to ROS2. Between these conversions, ROS2 calls DDS APIs and passes messages to DDS. Figures 11 and 12 show a breakdown of the end-toend latencies in the (2-b) OpenSplice reliable policy and best-effort policy. We observe that ROS2 requires only conversions and processing of DDS. As shown in Figures 11 and 12, there are nearly no transactions for "others". In addition, note that data size influences both conversions and the DDS processing. Compared to ROS1, the DDS overhead is not constant, and the impact of DDS is notable with large data. As a result, ROS2 has significant overhead with large data, while the impact of DDS depends on the QoS Policy.

Furthermore, the influence of shared memory with large data is observed in Figure 10. As data becomes large, no-

table differences can be observed. However, the influence appears small in Figure 9 because small data hides the impact of shared memory.

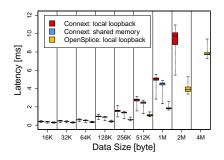
Another interesting observation is that the latencies in the (2-c) intra-process are greater than the latencies in (1-b) despite using shared memory. This result is not due to conversions for DDS and processing of DDS, because intra-process communication does not route through DDS. As ROS2 is in development, that gaps will be closed. Intra-process communication needs to be improved.

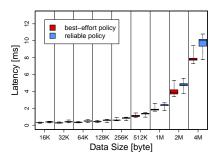
### Comparison within ROS2

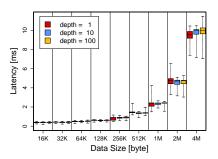
End-to-end latencies with data less than 16 KB exhibit similar performance in (2-b). We discuss performance for data of 16 KB to 4 MB.

A comparison of different DDS implementations in (2-b) is shown in Figure 13. We evaluate OpenSplice and Connext with and without shared memory in (2-b) with the besteffort policy. Despite shared memory, the performance is not significantly better than that of local loopback. This is caused by marshaling of various tools (e.g., logger and observer), even when using shared memory transport. Moreover, OpenSplice is superior to Connext in terms of latency, as shown in Figure 13, because we use Connext DDS Professional, which has much richer features than the OpenSplice DDS Community Edition. We assume that the performance of Vortex OpenSplice is similar to that of OpenSplice DDS Community Edition. However, Vortex OpenSplice needs a commercial license and is not supported by ROS2.

In addition, the influence of the QoS Policy on end-to-end latencies is evaluated in (2-b) OpenSplice with the reliable policy, best-effort policy, and \*-depth policy. \*-depth policy is prepared for this evaluation and configured by depth as shown in Table 5. Figure 14 shows differences in latencies depending on the reliable policy



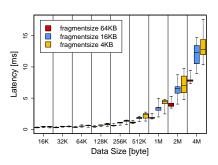


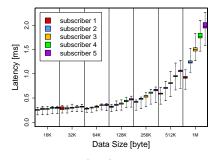


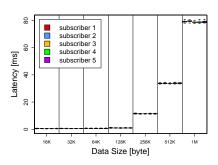
ROS2 with best-effort policy.

Figure 13: (2-b) Different DDS in Figure 14: (2-b) Two QoS policies in ROS2 with OpenSplice.

Figure 15: (2-b) Configured \*-depth policy in ROS2 with OpenSplice.







(2-b) Different frag- Figure 17: ment sizes in ROS2 with Open-destinations publisher. Splice best-effort policy.

(1-b) ROS1 multiple

(2-b) ROS2 multiple Figure 18: destinations with OpenSplice reliable policy.

and best-effort policy. The impact of the QoS Policy is shown in Figures 11 and 12. In this evaluation, the network is ideal, i.e., publisher-nodes resend messages very infrequently. If the network is not ideal, latencies with the reliable policy increase. The differences in RELIABIL-ITY and DURABILITY in the QoS Policy lead to overhead at the cost of reliable communication and resilience against late-joining Subscribers. Figure 15 shows no differences depending on the depth of \*-depth policy. These QoS policies are different in the number nodes save messages. Although this number influences resources, this does not affect latencies because archiving messages is conducted in every

Finally, fragment overhead is measured using OpenSplice in (2-b) by changing the fragment size to the maximum UDP datagram size of 64 KB. A maximum payload for Connext and OpenSplice originates from this UDP datagram size, because dividing large data into several datagrams has significant impact on many implementations of the QoS Policy. As shown in Figure 16, the end-to-end latencies are reduced, as fragment data size increases. With a large fragment size, DDS does not need to split large data into many datagrams, which means fewer system calls and less overhead. In terms of end-to-end latencies, we should preset the fragment size to 64 KB when using large data.

### Multiple Destinations Publisher in local cases

In this section, we prepare five subscriber-nodes and measure latencies of each node. Much of information shared in real applications is destinated to multiple destinations. Hence, this evaluation is practical for user. Figure 17 shows latencies of ROS1. We can observe significant differences between subscriber-nodes. This means ROS1 schedules message publication in order and is not suitable for real-time systems. For example, in 1 MB, subscriber 5 is about twice

as mach as subscriber 1. In contrast, ROS2 has small differences as shown in Figure 18. All subscribers' behavior is fair in ROS2. However, ROS2 latencies significantly depends on the number of packets. This is same characteristic we learned from Figure 8. Figure 19 indicates fair latencies and dependency of packets. Although we cannot say that latencies variance of ROS1 is larger than one of ROS2 due to the difference of the scale, Figures 17, 18, and 19 prove ROS2 message publication is more fair to multiple subscribes than ROS1 one.

# Throughput of ROS1 and ROS2

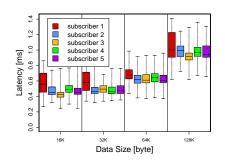
We also measure each throughput of ROS1 and ROS2 in the remote case. In our one-way message transport experiment, maximum bandwidth of the network is 12.5 MB/sec because we use 100 Mbps Ethernet (100BASE-TX) and Full-Duplex as shown in Table 2. Nodes repeatedly transport each message with 10Hz.

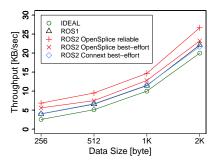
In small data from 256 B to 2 KB, we can observe a constant gap among ROS1, ROS2 with OpenSplice, and ROS2 with Connext from Figure 20. These additional data correspond with RTPS packets for QoS Policy and heartbeat. Hence, these gap does not depend on data size. Moreover, Connext throughput is lower than OpenSplice one. This becomes a big impact when users handle many kinds of small data with high Hz and/or network bandwidth is limited.

In large data from 2 KB to 4MB, curves of Figure 21 demonstrate sustainable theoretical throughput. ROS2 and ROS2 is able to utilize all of available bandwidth and similarly behave in this situation. Throughput is limited by the network and not by DDS.

# Thread of ROS1 and ROS2

In this section, we measure the number of threads on each node. Table 6 shows the result of measurements. Note that





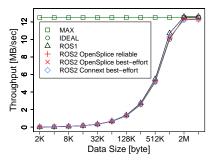


Figure 19: (2-b) ROS2 multiple destinations with OpenSplice reliable policy.

Figure 20: (1-a) and (2-b) remote cases throughput with small data.

Figure 21: (1-a) and (2-b) remote cases throughput with large data.

Table 5: Depth Configurable QoS Policies

|                        | *-depth policy  |
|------------------------|-----------------|
| DEADLINE               | 100 ms          |
| HISTORY                | LAST            |
| $\operatorname{depth}$ | 1, 10, or 100   |
| RELIABILITY            | RELIABLE        |
| DURABILITY             | TRANSIENT_LOCAL |

Table 6: The Number of Thread on ROS1 or ROS2

ROS1 Connext OpenSplice FastRTPS

|             | ROS1 | Connext | OpenSplice | FastRTPS |
|-------------|------|---------|------------|----------|
| node        | 5    | 8       | 49         | 5        |
| master-node | 3    | _       | _          | _        |

the number described in Table 6 depends on DDS configuration including  $QoS\ Policy$ . The number does not be fixed by vendors.

First of all, we can observe that ROS2 *node* with Open-Splice has a lot of threads. This may cause parallelized processing and the fact that Open-Splice is much faster than Connext as shown in Figure 13.

Another interesting point is FastRTPS threads. ROS2 node with FastRTPS realizes discovery and serialization, and pub/sub data transport with the same number of ROS1 node threads. This result proves improvement of fault tolerance without additional resources because FastRTPS does not need master-node.

# 3.6 Memory consumption of ROS1 and ROS2

We also measure memory size of shared library object (.so) in ROS1 and ROS2. Shared libraries are libraries that are dynamically loaded by nodes when they start. They are not linked to executable files but they will be vital guidelines for estimation of memory size. We arrange the result in Table 7. In this table, we add up library data size for pub/sub transport. In ROS2, shared libraries are classified into the DDS library and the ROS2 abstraction library. While DDS libraries are provided by each vendor, ROS2 libraries abstract DDS APIs and convert messages for DDS. In Table 7, DDS and ROS2 libraries vary depending on vendors. These library data size tends to increase because its QoS capability and abstraction. For small embedded systems, we need a minimal DDS implementation and light abstraction layer.

# 3.7 Lessons Learned

So far, we have clarified characteristic of DDS implementations through ROS2 from several standpoints: ROS2 capability, latencies, throughput, the number of threads and memory consumption. We can get insight and guidelines for

Table 7: Memory of .so Files for ROS1 and ROS

|      |            | DDS [KB] | Abstraction [KB] | Total [MB] |
|------|------------|----------|------------------|------------|
| ROS1 |            |          | 2.26             |            |
| ROS2 | Connext    | 11,535   | 9,645            | 21.18      |
|      | OpenSplice | 3,837    | 14,117           | 17.95      |
|      | FastRTPS   | 1,324    | 3,953            | 5.28       |

DDS through ROS2 from experimental results. They will be meaningful for DDS and ROS users.

DDS supports QoS Policy but there is trade-off of end-toend latencies and throughput. In the local case, overhead latencies of ROS2 is not trivial. From Section 3.3, the latencies is caused by two data conversions for DDS and DDS transaction. DDS end-to-end latencies is constant until message data size is lower than maximum packet size (64 KB) as shown in Figure 9. On the other hand, as one large message is divided into several packets, the latencies sharply increases as show in Figures 10 and 18. Whether message data size is over 64 KB or not is important issue especially in DDS because management of divided packets with QoS Policy needs significant processing time and alternative APIs provided by some vendors. We should understand influence of divided packets and keep in mind this issue when using DDS. While DDS and ROS2 abstraction have overhead latencies, OpenSplice utilizes a lot of threads and processes faster than Connext as shown in Figure 13. This is a reason why we currently should use OpenSplice in the underlying implementation of DDS in the local case. In the remote case, although overhead latencies is trivial, we must consider throughput for bandwidth. As shown in 20, Connext is superior to OpenSplice in terms of throughput. This constant overhead throughput is predictable and exists no matter how small message data size is. It influences especially when many kinds of topic are used with high Hz. We recommend Connext to consider minimum necessary throughput in the remote case.

DDS brings supports real-time embedded systems to ROS2. We believe ROS2 outweigh its cost for using DDS. Fault tolerance of DDS is superior because it is able to save past data with *QoS Policy* and does not have a master *node*. DDS guarantees fair latencies as shown in Figure 19. In addition, DDS is able to run on multiple platforms include RTOS and switch DDS implementation as needed. Under RTPS protocol, any ROS2 *nodes* communicate with each other without relation to its platform. FastRTPS is currently the best DDS implementation for embedded systems in thread and memory as Table 6 indicates, but it is not suitable for small embedded system.

Table 8: Comparison of ROS2 to Related Work

|                                | Small<br>Embedded | Real-Time    | Publish/<br>Subscribe | Frequent<br>Update | Open<br>Source | Library<br>and Tools | RTOS         | Mac/<br>Windows | $\mathbf{QoS}$ |
|--------------------------------|-------------------|--------------|-----------------------|--------------------|----------------|----------------------|--------------|-----------------|----------------|
| RTM [8]                        |                   |              |                       |                    | <b>√</b>       | Δ                    |              | <b>√</b>        |                |
| Extended RTC [9]               |                   | ✓            |                       |                    | ✓              |                      |              |                 |                |
| RT-Middleware for VxWorks [18] | ✓                 | $\triangle$  |                       |                    | ✓              | $\triangle$          | $\checkmark$ | ✓               |                |
| RTM-TECS [12]                  | ✓                 | ✓            |                       |                    |                | $\triangle$          | ✓            | ✓               |                |
| rosc [15]                      | ✓                 | Δ            | ✓                     |                    | ✓              |                      | $\checkmark$ |                 |                |
| $\mu ROS [19]$                 | Δ                 | Δ            | $\checkmark$          |                    | $\checkmark$   | ✓                    | $\checkmark$ |                 |                |
| ROS Industrial [4]             | Δ                 |              | ✓                     | ✓                  | ✓              | ✓                    |              |                 |                |
| RT-ROS [34]                    |                   | $\checkmark$ | $\checkmark$          |                    |                |                      | $\checkmark$ |                 |                |
| ROS1 [26]                      |                   |              | ✓                     | ✓                  | ✓              | ✓                    |              |                 |                |
| ROS2 [22]                      | ✓                 | $\checkmark$ | $\checkmark$          | ✓                  | $\checkmark$   | $\triangle$          | $\checkmark$ | ✓               | $\checkmark$   |

Since ROS2 is under development, we have clarified room for improvement of ROS2 performance and capability to maximize DDS potential. First, QoS Policies supposed by ROS2 provide fault tolerance but they are insufficient for real-time processing. ROS2 has to expand the scope of supported QoS Policies. Second, for small embedded system, ROS2 needs a minimum DDS implementation and minimum abstraction layer. For example, we need C API library for ROS2 and a small DDS implementation. ROS2 easily supports them because of its abstraction layer. Third, we also clarify a need of alternative API for large message to manage divided packets. This is critical to handle large message. Abstraction of this will shorten DDS end-to-end latencies and fulfill deficiency of Table 4. Finally, we must tune DDS configurations for ROS2 because there are numerous vendor specific configuration options.

# 4. RELATED WORK

In addition to the ROS, the Robot Technology Middleware (RTM) [8] is well known and widely used for robotics development. In this section, we discuss research related to the ROS and RTM.

RTM: RTM applications consist of Robotic Technology Component (RTC), whose specifications are managed by the OMG [1]. RTM cannot handle hard real-time and embedded systems because it generally uses not real-time CORBA [29] but CORBA [33]. CORBA is an architecture for distributed object computing standardized by the OMG. CORBA manages packets in a FIFO manager and requires significant resources. Unlike DDS, CORBA lacks key quality of service features and performance optimizations for real-time constraints.

Extended RTC: [9] extends RTC for real-time requirements using GIOP packets rather than CORBA packets. The interface of the Extended RTC provides additional options such as priority management and multiple periodic tasks. However, it is difficult to implement Extended RTC in embedded systems because it is based on only an advanced real-time Linux kernel.

RT-Middleware for VxWorks: Using lightweight CORBA and libraries, [18] enables RTM to run on VxWorks, which is an RTOS, and embedded systems. Nonetheless, [18] did not consider real-time requirements. Furthermore, it uses global variables and cannot run on distributed systems.

RTM-TECS: RTM-TOPPERS Embedded Component Systems (TECS) [12] proposes a collaboration framework of two component technologies, i.e., RTM and TECS. TECS [11] has been added to RTM to satisfy real-time processing requirements. [12] adapted RPC and one-way data transport between TECS components and RTC. RTM-TECS enhances the capability for real-time embedded systems.

rosc: rosc [15] is a portable and dependency-free ROS

client library in pure C that supports small embedded systems and any OS. rosc was motivated by a bare-metal, low-memory reference scenario, which ROS2 also targets. While rosc is available as an alpha release, it is in development and has not been updated since 2014.

 $\mu ROS$ :  $\mu ROS$  [19] is a lightweight ROS client that can run on modern 32-bit micro-controllers. Targeting embedded systems, it is implemented in ANSI C and runs on an RTOS, such as ChibiOS.  $\mu ROS$  supports some of the features of ROS and can coexist with ROS1. However, as of 2013, development has ceased.

ROS Industrial: ROS-Industrial [4] is an open-source project that extends the advanced capabilities of ROS software to manufacturing. This library provides industrial developers with the capabilities of ROS for economical robotics research under the business-friendly BSD and Apache 2.0 licenses.

RT-ROS: RT-ROS [34] provides an integrated real-time/non-real-time task execution environment. It is constructed using Linux and the Nuttx Kernel. Using the ROS in an RTOS, applications can benefit from some features of the RTOS; however, this does not mean that the ROS provides options for real-time constrains. To use RT-ROS, it is necessary to modify legacy ROS libraries and nodes. In addition, RT-ROS is not open-source software; therefore, it is developed more slowly than open-source software.

Table 8 briefly summarizes the characteristics of several related methods and compares them to ROS2. ROS1 has more libraries and tools for robotics development than RTM. At present, ROS2 has only a few libraries and packages because it is currently in development. However, by using multiple DDS implementations, ROS2 can run on embedded systems. In addition, by utilizing the capabilities of DDS and RTOSs, ROS2 is designed to overcome real-time constraints and has been developed to be cross-platform. ROS2 inherits and improves the capabilities of ROS1.

# 5. CONCLUSION

This paper has conducted proof of concept for DDS approach to ROS and arranged DDS characteristic, guidelines, and room for improvement. From various experiments, we have clarified the capabilities of the currently available ROS2 and evaluated the performance characteristics of ROS1 and DDS through ROS2 in various situations from several aspects: latencies, throughput, the number of threads, and memory consumption. Furthermore, we have measured the influence of switching DDS implementations and the QoS Policies in ROS2. Understanding each DDS characteristic, we should use a different DDS implementation for different situations. DDS gives ROS2 fault tolerance and flexibility for various platforms. Utilization of DDS is not limited in ROS because ROS2 is one of systems using DDS. Above contributions are valuable for many people.

In future work, we will evaluate real-time applications such as an autonomous driving vehicle [10] as case studies using ROS2. Moreover, we have to breakdown DDS processing time and execute ROS2 on RTOS. We also are interested in ROS2 behavior on embedded devices. Since ROS2 is under development, we must maximize DDS potential by tuning and abstracting more *QoS Policies* for real-time processing and DDS configurations.

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