

## SE3VR11 : Virtual Reality

Professor Paul Sharkey

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Lecture 2 – 21/01/2016

## Course Outline

Split into the following topics:

- **2D/3D Graphics**
  - Object Representations
  - Mathematics involved in 3D Graphics
  - Materials and Texturing
  - Lighting and Shading
  - Rendering Methods
  - Animation
- **3D Modelling Approaches**
- **Scene Graphs and Software**
- **(Distributed Virtual Environments)**

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## Computer Graphics

Computer graphics deals with all aspects of producing an image using a computer

- Imaging - representing 2D images
- Modelling - representing 3D objects
- Rendering - creating an image from models
- Animation - simulating changes over time

It involves

- Hardware
- Software Libraries
- Software Applications

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## Computer Graphics

What hardware is needed to create this image?



- Processing Power
- Storage / Memory
- Input / Output devices

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## Computer Graphics

What software is needed to create this image?



- Libraries to control the hardware
- Generating object data  
(Modelling)
- Mathematical models for lighting and materials  
(Shading)
- Conversion to something that can be displayed  
(Rendering)

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## Computer Graphics

Adding animation and interaction to the graphics...



- Collisions and intersections
- Object manipulation
- Key framing
- Inverse kinematics
- Simulations

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## A Brief History of Computer Graphics

**1950-1960**

Computer graphics goes back to the earliest days of computing

- Strip charts
- Pen plotters
- Simplistic displays from arrays of lights to 'nixie-tubes'



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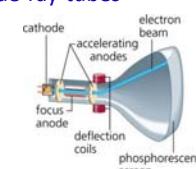
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## A Brief History of Computer Graphics

**1960-1970**

Computer graphics starts improving ...

- Wire-frame graphics
- Dedicated display processors
- Storage Tubes
- Cathode-ray-tubes



- Sketchpad – Ivan Sutherland



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## A Brief History of Computer Graphics

### Ivan Sutherland

#### Ivan Sutherland's PhD thesis at MIT

- Recognition of man and machine interaction
- Sketchpad (predecessor to the GUI)
  - Display image
  - User moves light pen
  - Computer generates new display
  - Loop...

Sutherland created many of the now common algorithms for basic computer graphics

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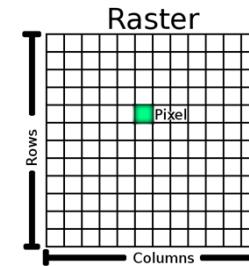
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## A Brief History of Computer Graphics

### 1970-1980

#### Introduction of ...

- Raster Graphics



- Graphics standards start forming
- Workstations and PCs

The acceleration of graphics technology really starts to climb

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## A Brief History of Computer Graphics

### 1980-1990

#### Introduction of ...

- Special purpose hardware – Silicon Graphics



- Industry standards in place
- Graphics over a network – X Window System
- Human Computer Interfaces (HCI)

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## A Brief History of Computer Graphics

### 1990-2000

- OpenGL API (application program interface)
- Computer generated feature length films
- Graphics techniques at hardware levels
  - Texture mapping
  - Blending
  - Stencil Buffers – typically used to add shadows
- More funky SGI



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## A Brief History of Computer Graphics 2000 to present

- Photorealism
- Multi core and multi processors dedicated to graphics
- Programmable pipelines
- Real time ray tracing  
(...nearly)
- and a LOT more



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## Computer Graphics ... what is it good for?

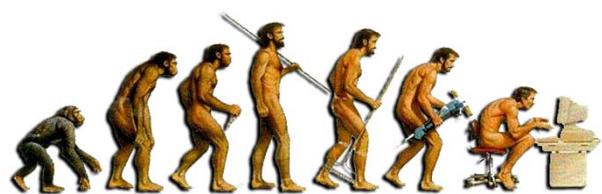
- Entertainment
  - Computer-aided Design (CAD)
  - Scientific Visualisation
  - Training
  - Education
  - E-Commerce
  - Computer Art
  - Virtual Reality
- ■ ■

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## Computer Graphics ... what is it good for?

- ...
- wherever your imagination takes you ...



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## Components for 3D Graphics

### Outline

- Displaying an Image
  - Hardware
  - Systems
  - Colour Representation
- 3D Scene Representation
  - Intro
  - Coordinate Systems
  - 3D Primitives

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## Components for 3D Graphics

### Outline

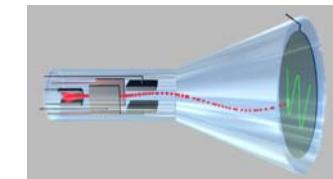
- **Displaying an Image**
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## Components for 3D Graphics Hardware

### CRT – Cathode Ray Tube

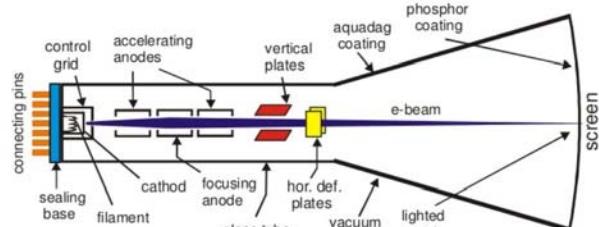


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## Components for 3D Graphics Hardware

### CRT – Cathode Ray Tube

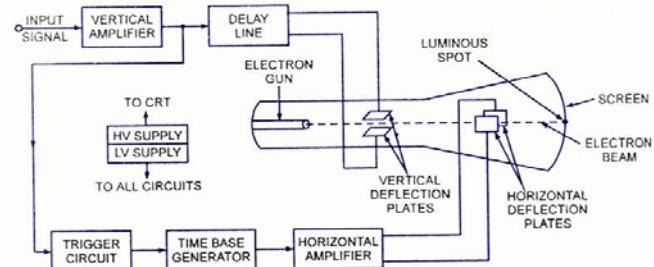


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## Components for 3D Graphics Hardware

### CRT – Cathode Ray Tube

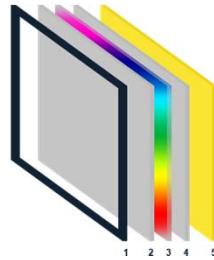


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## Components for 3D Graphics Hardware

### LCD – Liquid Crystal Display



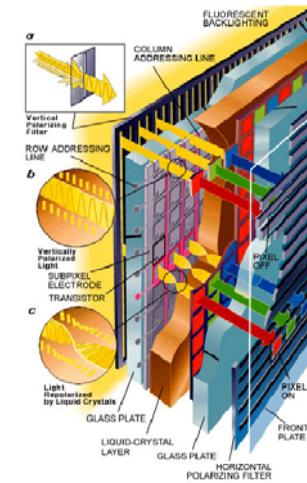
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## Components for 3D Graphics Hardware

### LCD – Liquid Crystal Display

- Compact in depth
- Limited in size
  - size vs cost
  - getting cheaper every day
- Light is polarized
  - stereo cannot be generated using polarizing glasses



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## Components for 3D Graphics Hardware

### AMOLED – Active Matrix Organic Light Emitting Diode Display



- Since 2008 in smart phones
  - low power, low cost
  - Smartphones can now be used in HMDs (see Net Gear)
- Used increasingly in larger screens

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## Components for 3D Graphics Hardware

### DLP – Digital Light Processing Projectors

- Used for back projected systems
  - Used in the Reading CAVE
  - Low cost (very few >£500)
  - Cost = resolution + Lumens
- (LCDs can also be used in projection systems)

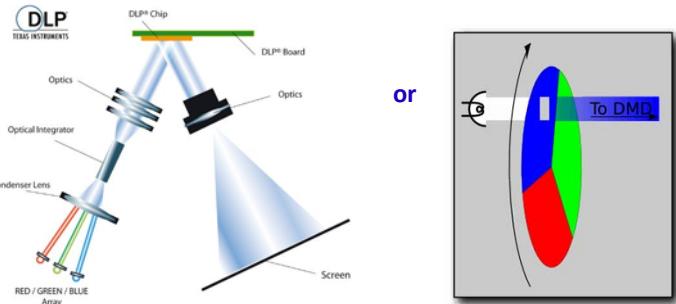


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## Components for 3D Graphics Hardware

### DLP – Digital Light Processing Projectors

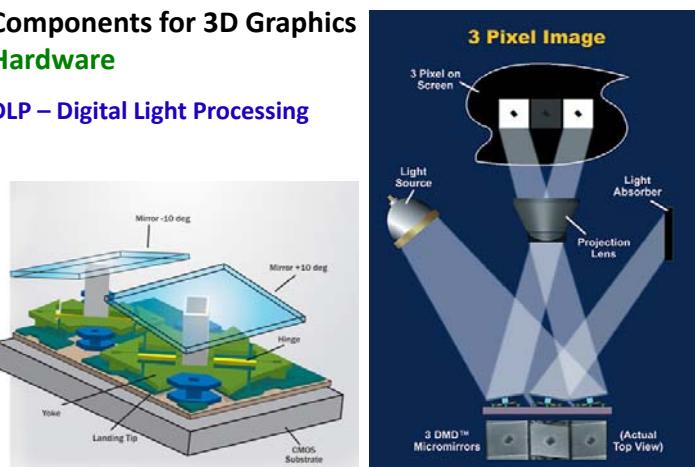


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## Components for 3D Graphics Hardware

### DLP – Digital Light Processing

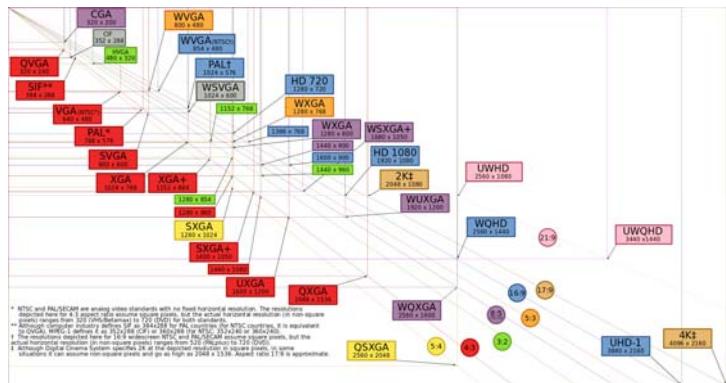


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## Components for 3D Graphics Hardware

### Common Display Resolution Standards



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## Components for 3D Graphics

### Outline

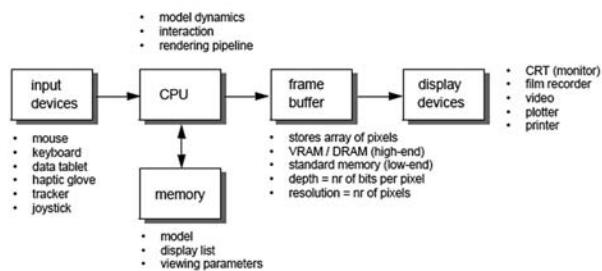
- **Displaying an Image**
  - Hardware
  - Systems
  - Colour Representation
  
- **3D Scene Representation**
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  - 3D Primitives

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## Components for 3D Graphics Systems

### The Frame Buffer

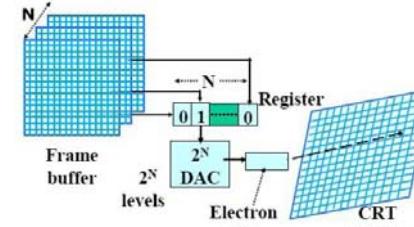


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## Components for 3D Graphics Systems

### The Frame Buffer

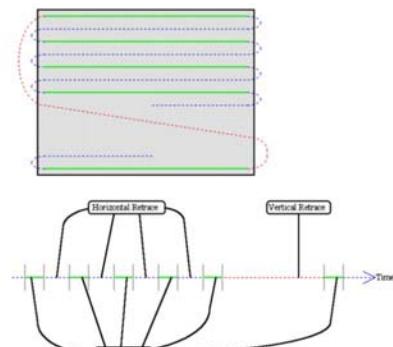
An  $N$ -bit plane gray level frame buffer

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## Components for 3D Graphics Systems

### Screen Refresh

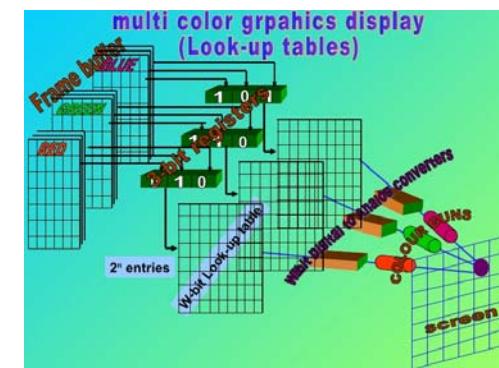


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## Components for 3D Graphics Systems

### Colour Frame Buffer



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## Components for 3D Graphics

### Outline

- **Displaying an Image**
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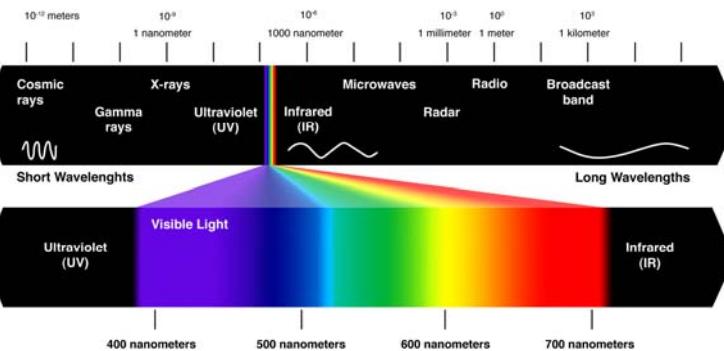
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## Components for 3D Graphics

### Colour Representation

#### The Colour Spectrum



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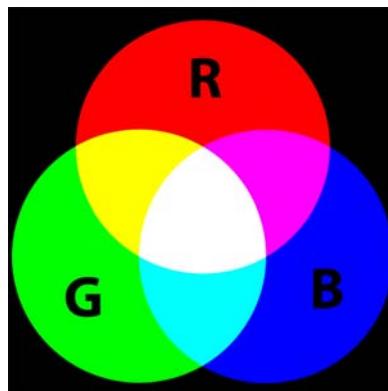
## Components for 3D Graphics

### Colour Representation

#### RGB – Red Green Blue

- Based on Light
- Additive mixing
- Mainly for projecting

R	G	B	Colour
0	0	0	Black
1	1	1	White
1	0	0	Red
0	1	0	Green
0	0	1	Blue
1	1	0	Yellow
0	1	1	Cyan
1	0	1	Magenta



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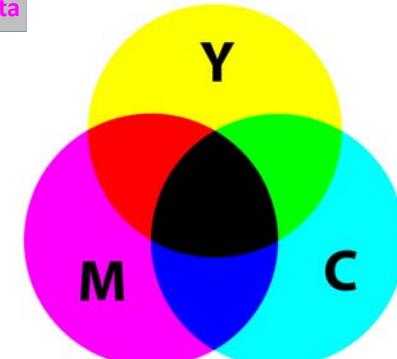
## Components for 3D Graphics

### Colour Representation

#### CYCM – Cyan Yellow Magenta

- Based on Pigment
- Subtractive mixing
- Mainly for printing

C	M	Y	Colour
1	1	1	≈Black
0	0	0	White
0	1	1	Red
1	0	1	Green
1	1	0	Blue
0	0	1	Yellow
1	0	0	Cyan
0	1	0	Magenta



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## Components for 3D Graphics

### Colour Representation

**CYMK – Cyan Yellow Magenta Black**

- K = “key”
- Based on Pigment
- Subtractive mixing
- Mainly used in printing
- Colours typically applied in the order CYMK

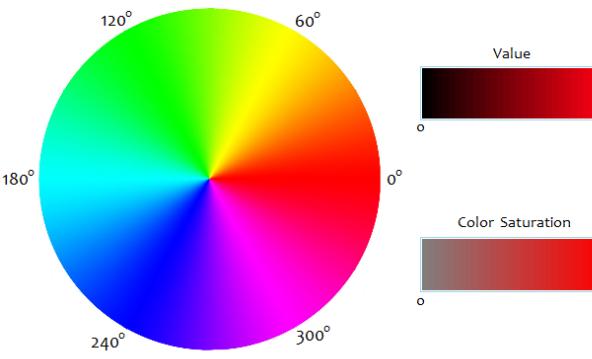


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## Components for 3D Graphics

### Colour Representation

**HSV – Hue Saturation Value**



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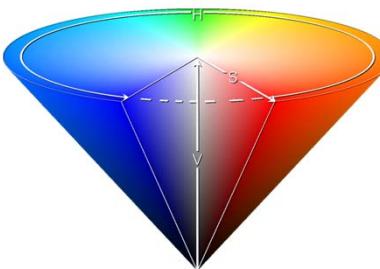
## Components for 3D Graphics

### Colour Representation

**HSV – Hue Saturation Value**

- Based on RGB
- Designed for computer graphics

H	S	V	Colour
*	*	0	Black
*	0	1	White
0	1	1	Red
120	1	1	Green
240	1	1	Blue
*	0	0.5	Grey



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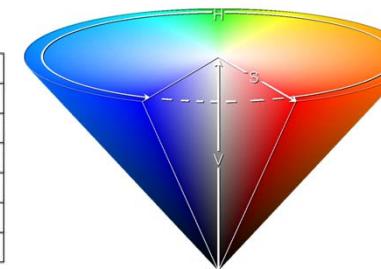
## Components for 3D Graphics

### Colour Representation

**HSV – Hue Saturation Value**

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H	S	V	Colour
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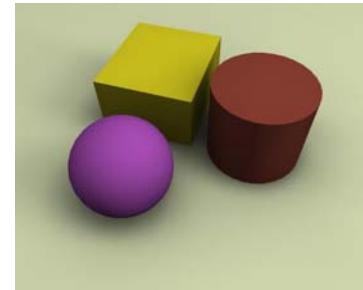
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## 3D Scene Representation

### Intro

#### Representation

- Points
- Lines or line segments
- Polygons
- Surfaces
- Solids
- Voxels
- ...

We will look at some of these in more detail shortly ...

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## Components for 3D Graphics

### Outline

- **Displaying an Image**
  - Hardware
  - Systems
  - Colour Representation
- **3D Scene Representation**
  - Intro
  - **Coordinate Systems**
  - 3D Primitives

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## 3D Scene Representation

### Coordinate Systems

We need some sort of geometric base to form our computations, these come in the form of coordinate systems

- **Cartesian rectilinear system:**

$$(x, y, z)$$

- **Spherical, Polar or Angular system:**

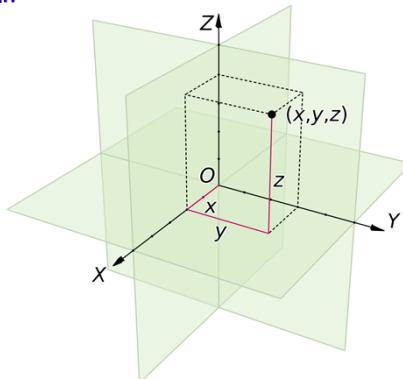
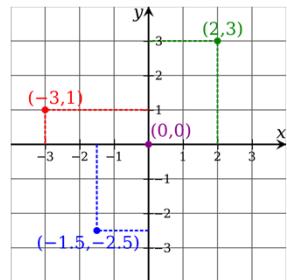
$$(r, \theta, \varphi)$$

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## 3D Scene Representation Coordinate Systems

### Cartesian rectilinear system:

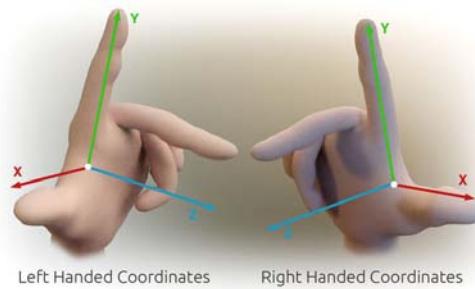


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## 3D Scene Representation Coordinate Systems

### Left Hand Rule vs Right Hand Rule



Left Handed Coordinates

Right Handed Coordinates

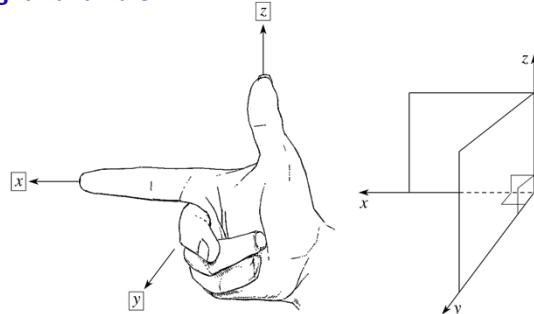
### clockwise rotation vs counter clockwise rotation

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## 3D Scene Representation Coordinate Systems

### Right Hand Rule:

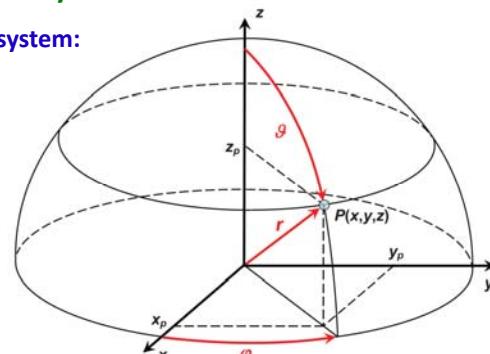


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## 3D Scene Representation Coordinate Systems

### Spherical system:



**Exercises:** How to translate between the two systems?  
How to translate between right/left hand systems?

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## Components for 3D Graphics

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## 3D Scene Representation

### 3D Primitives

#### Points

- A point specifies a location
- Represented by 3 values
- The point has no volume (it is infinitely small)
- Can be expressed as

$$\mathbf{p} = (x, y, z)$$

or

$$\mathbf{p} = \begin{bmatrix} x \\ y \\ z \end{bmatrix}$$

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## 3D Scene Representation

### 3D Primitives

#### Vectors

- Specifies a direction and a magnitude
- Represented by 3 values
- A point can be represented as a vector from (0, 0, 0)
- Commonly used with a unit length (normalised)

$$\underline{\mathbf{V}} = \overline{\mathbf{V}} = (dx, dy, dz) = \langle dx, dy, dz \rangle$$

or

$$\underline{\mathbf{V}} = \overline{\mathbf{V}} = \begin{bmatrix} dx \\ dy \\ dz \end{bmatrix}$$

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## 3D Scene Representation

### 3D Primitives

#### Vector Addition

- Adding

$$\overline{\mathbf{C}} = \overline{\mathbf{A}} + \overline{\mathbf{B}} = (a_x + b_x, a_y + b_y, a_z + b_z)$$

- Subtracting

$$\overline{\mathbf{C}} = \overline{\mathbf{A}} - \overline{\mathbf{B}} = \begin{bmatrix} a_x \\ a_y \\ a_z \end{bmatrix} - \begin{bmatrix} b_x \\ b_y \\ b_z \end{bmatrix} = \begin{bmatrix} a_x - b_x \\ a_y - b_y \\ a_z - b_z \end{bmatrix}$$

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## 3D Scene Representation

### 3D Primitives

#### Vector Normalisation

- Magnitude:  $\|\bar{V}\| = \sqrt{x^2 + y^2 + z^2}$

- When  $\|\bar{V}\| = 1$  the vector is normalised

- To normalise a vector:  $\hat{V} = \frac{\bar{V}}{\|\bar{V}\|}$

- $\hat{V}$  is now of unit length

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## 3D Scene Representation

### 3D Primitives

#### Vector Dot Product (AKA **scalar** product)

- With  $\bar{a} = \langle a_1, a_2, a_3 \rangle$  and  $\bar{b} = \langle b_1, b_2, b_3 \rangle$

- The dot product is given by

$$\bar{a} \cdot \bar{b} = a_1 b_1 + a_2 b_2 + a_3 b_3$$

- The result is a scalar value

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## 3D Scene Representation

### 3D Primitives

#### Angle between two Vectors

- The dot product is also defined as

$$\bar{a} \cdot \bar{b} = \|\bar{a}\| \cdot \|\bar{b}\| \cos \theta$$

- Hence

$$\cos \theta = \frac{\bar{a} \cdot \bar{b}}{\|\bar{a}\| \cdot \|\bar{b}\|}$$

- The result  $\theta$  can be found by taking the inverse  $\cos^{-1}\{\dots\}$

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## 3D Scene Representation

### 3D Primitives

#### Orthogonal Vectors

- Two vectors are orthogonal (i.e. perpendicular) to each other when

$$\bar{a} \cdot \bar{b} = 0$$

- This is easily verified  $\cos \theta = \frac{\bar{a} \cdot \bar{b}}{\|\bar{a}\| \cdot \|\bar{b}\|} = 0$

- Therefore  $\theta = \cos^{-1}\{0\} = 90^\circ$

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## 3D Scene Representation

### 3D Primitives

#### 3D Line

- Given a point  $\bar{p}$  and a direction vector  $\bar{v}$
- Then  $\bar{p}_1$  is another point on the same line

$$\bar{p}_1 = \bar{p} + t\bar{v}$$

where the parameter  $t$  is a scalar

$$(-\infty \leq t \leq \infty)$$

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## 3D Scene Representation

### 3D Primitives

#### Rays

- Given a point  $\bar{p}$  and a direction vector  $\bar{v}$ , and another point on the same line  $\bar{p}_1$

$$\bar{p}_1 = \bar{p} + t\bar{v}$$

- Then, if the parameter  $t$  is restricted to the range

$$(0 \leq t \leq \infty)$$

- the point can be considered to a general point on a ray, originating at  $\bar{p}$  and shining in the direction  $\bar{v}$

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## 3D Scene Representation

### 3D Primitives

#### 3D Line Segment

- Given two points  $\bar{p}_1$  and  $\bar{p}_2$
- Then  $\bar{p}_3$  is another point on the same line between them

$$\bar{p}_3 = \bar{p}_1 + t(\bar{p}_2 - \bar{p}_1)$$

where  $(0 \leq t \leq 1)$

Note that two points can be used to define a direction:

$$\bar{p}_2 - \bar{p}_1 = \bar{v}$$

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## 3D Scene Representation

### 3D Primitives

#### Matrices

$$2 \times 2 \text{ matrix: } \mathbf{M} = \begin{bmatrix} a & b \\ c & d \end{bmatrix}$$

$$3 \times 3 \text{ matrix: } \mathbf{M} = \begin{bmatrix} a_1 & a_2 & a_3 \\ b_1 & b_2 & b_3 \\ c_1 & c_2 & c_3 \end{bmatrix}$$

$$4 \times 4 \text{ matrix: } \mathbf{M} = \begin{bmatrix} a_{00} & a_{01} & a_{02} & a_{03} \\ a_{10} & a_{11} & a_{12} & a_{13} \\ a_{20} & a_{21} & a_{22} & a_{23} \\ a_{30} & a_{31} & a_{32} & a_{33} \end{bmatrix}$$

(note different styles in notation can be used)

## 3D Scene Representation

### 3D Primitives

#### 2x2 Matrix Determinant

$$|\mathbf{M}| = \begin{vmatrix} a & b \\ c & d \end{vmatrix} = ad - bc$$

This will enable us to calculate the vector cross product between two vectors

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## 3D Scene Representation

### 3D Primitives

#### Vector Cross Product $\bar{\mathbf{a}} \times \bar{\mathbf{b}} = \|\bar{\mathbf{a}}\| \cdot \|\bar{\mathbf{b}}\| \hat{\mathbf{n}} \sin \theta$

- The cross product results in a vector
- The cross product can also be found using determinants as

$$\bar{\mathbf{a}} \times \bar{\mathbf{b}} = \begin{bmatrix} c_1 \\ c_2 \\ c_3 \end{bmatrix} \quad c_1 = \begin{vmatrix} a_2 & b_2 \\ a_3 & b_3 \end{vmatrix} \quad c_2 = -\begin{vmatrix} a_1 & b_1 \\ a_3 & b_3 \end{vmatrix} \quad c_3 = \begin{vmatrix} a_1 & b_1 \\ a_2 & b_2 \end{vmatrix}$$

- Note the negative sign in the middle term!

- Note that  $\bar{\mathbf{a}} \times \bar{\mathbf{b}} = -\bar{\mathbf{b}} \times \bar{\mathbf{a}}$

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## 3D Scene Representation

### 3D Primitives

#### Vector Cross Product (AKA vector product)

- The cross product between two vectors is defined as  $\bar{\mathbf{a}} \times \bar{\mathbf{b}} = \|\bar{\mathbf{a}}\| \cdot \|\bar{\mathbf{b}}\| \hat{\mathbf{n}} \sin \theta$
- In words, it is ...
  - The magnitude of vector  $\|\bar{\mathbf{a}}\|$
  - multiplied by the magnitude of vector  $\|\bar{\mathbf{b}}\|$
  - multiplied by the sine of the angle between them  $\sin \theta$
  - multiplied by the normal to both vectors  $\hat{\mathbf{n}}$

Importantly, the cross product can be used to find the normal

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## 3D Scene Representation

### 3D Primitives

#### Vector Cross Product $\bar{\mathbf{a}} \times \bar{\mathbf{b}} = \|\bar{\mathbf{a}}\| \cdot \|\bar{\mathbf{b}}\| \hat{\mathbf{n}} \sin \theta$

- The cross product results in a vector
- The cross product can also be found using determinants as

$$\bar{\mathbf{a}} \times \bar{\mathbf{b}} = \begin{bmatrix} c_1 \\ c_2 \\ c_3 \end{bmatrix} \quad c_1 = \begin{vmatrix} a_2 & b_2 \\ a_3 & b_3 \end{vmatrix} \quad c_2 = -\begin{vmatrix} a_1 & b_1 \\ a_3 & b_3 \end{vmatrix} \quad c_3 = \begin{vmatrix} a_1 & b_1 \\ a_2 & b_2 \end{vmatrix}$$

- Note the negative sign in the middle term!

- Note that  $\bar{\mathbf{a}} \times \bar{\mathbf{b}} = -\bar{\mathbf{b}} \times \bar{\mathbf{a}}$

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## 3D Scene Representation

### 3D Primitives

#### Vector Cross Product – Cover up method

- One way to remember how to calculate cross products is using the cover up method

$$\bar{\mathbf{a}} \times \bar{\mathbf{b}} = \begin{bmatrix} c_1 \\ c_2 \\ c_3 \end{bmatrix} = \begin{bmatrix} a_1 \\ a_2 \\ a_3 \end{bmatrix} \times \begin{bmatrix} b_1 \\ b_2 \\ b_3 \end{bmatrix}$$

- To determine any element, cover up that row and calculate the resulting determinant (remembering to apply a negative in row 2)

$$\bar{\mathbf{a}} \times \bar{\mathbf{b}} = \begin{bmatrix} c_1 \\ c_2 \\ c_3 \end{bmatrix} = \begin{bmatrix} a_1 \\ a_2 \\ a_3 \end{bmatrix} \times \begin{bmatrix} b_1 \\ b_2 \\ b_3 \end{bmatrix}$$

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## 3D Scene Representation

### 3D Primitives

#### Vector Cross Product – Cover up method

- One way to remember how to calculate cross products is using the cover up method

$$\bar{a} \times \bar{b} = \begin{bmatrix} c_1 \\ c_2 \\ c_3 \end{bmatrix} = \begin{bmatrix} a_1 \\ a_2 \\ a_3 \end{bmatrix} \times \begin{bmatrix} b_1 \\ b_2 \\ b_3 \end{bmatrix}$$

- To determine any element, cover up that row and calculate the resulting determinant (remembering to apply a negative in row 2)

$$\bar{a} \times \bar{b} = \begin{bmatrix} c_1 \\ c_2 \\ c_3 \end{bmatrix} = \begin{bmatrix} a_1 \\ a_2 \\ a_3 \end{bmatrix} \times \begin{bmatrix} b_1 \\ b_2 \\ b_3 \end{bmatrix} \quad \therefore \quad c_1 = \begin{vmatrix} a_2 & b_2 \\ a_3 & b_3 \end{vmatrix}$$

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## 3D Scene Representation

### 3D Primitives

#### Normal of two vectors

The cross product can be used to find the normal to both vectors

A normal is found by taking the cross product

$$\mathbf{n} = \bar{a} \times \bar{b}$$

A unit direction normal is found by dividing by the magnitude of the resultant cross product

$$\hat{\mathbf{n}} = \frac{\bar{a} \times \bar{b}}{\|\bar{a} \times \bar{b}\|}$$

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## 3D Scene Representation

### 3D Primitives

#### 3D Plane

A plane can be defined in 2 ways:

- Any known point and where the normal vector to the plane is known
- Any three points that are not co-linear
  - if the three points were co-linear then the best they can be used for is to define an infinite number of planes about a common axis

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## 3D Scene Representation

### 3D Primitives

#### 3D Plane – Defined using 1 point and the normal

Given a point  $\mathbf{p}_0$  and a normal vector  $\hat{\mathbf{n}}$  then the general point  $\mathbf{p} = (x, y, z)$  will be on the plane if the following constraint is true

$$(\mathbf{p} - \mathbf{p}_0) \cdot \hat{\mathbf{n}} = 0$$

Proof: If the dot product is equal to zero, then  $\cos \theta = 0$  and the angle must be  $90^\circ$

Therefore the vector  $(\mathbf{p} - \mathbf{p}_0)$  must lie in the plane and so the point  $\mathbf{p}$  must be in the plane.

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## 3D Scene Representation

### 3D Primitives

#### 3D Plane – Defined using 3 non co-linear points

Given 3 points  $\mathbf{p}_0, \mathbf{p}_1, \mathbf{p}_2$  the general point  $\mathbf{p} = (x, y, z)$  will be on the plane if the following constraint is true

$$(\mathbf{p} - \mathbf{p}_0) \cdot \frac{(\mathbf{p}_2 - \mathbf{p}_0) \times (\mathbf{p}_1 - \mathbf{p}_0)}{\|(\mathbf{p}_2 - \mathbf{p}_0) \times (\mathbf{p}_1 - \mathbf{p}_0)\|} = 0$$

Proof: The quotient term is in fact the normal to the plane

Each bracketed term is a vector in the plane and the cross product, which is then normalised, defines the normal  $\hat{\mathbf{n}}$

(The rest of the proof is the same as before)

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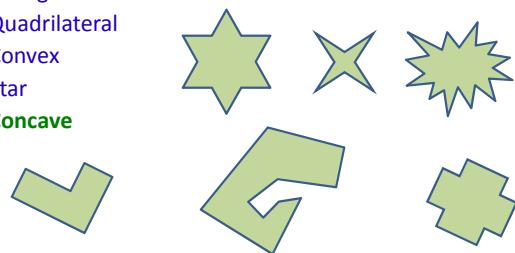
## 3D Scene Representation

### 3D Primitives

#### Bounded Plane or Polygon

- If a plane is bounded we get a polygon and they come in many forms:

- Triangle
- Quadrilateral
- Convex
- Star
- Concave



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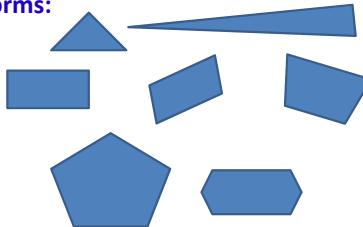
## 3D Scene Representation

### 3D Primitives

#### Bounded Plane or Polygon

- If a plane is bounded we get a polygon and they come in many forms:

- Triangle
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- Convex



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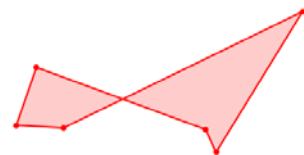
## 3D Scene Representation

### 3D Primitives

#### Bounded Plane or Polygon

- If a plane is bounded we get a polygon and they come in many forms:

- Triangle
- Quadrilateral
- Convex
- Star
- Concave
- Self-intersecting



- If a hole is required, then more than one polygon is required

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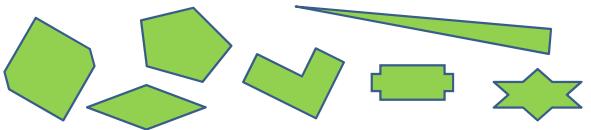
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### 3D Scene Representation

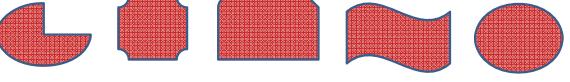
#### 3D Primitives

Polygons or not?

- **Polygons**



- **Not Polygons**



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### 3D Scene Representation

#### 3D Primitives

Matrix Multiplication

**2x2 Example**  $A = \begin{bmatrix} 3 & 5 \\ -1 & 2 \end{bmatrix}$   $B = \begin{bmatrix} -4 & 7 \\ 6 & 1 \end{bmatrix}$

Then  $AB = \begin{bmatrix} 3 & 5 \\ -1 & 2 \end{bmatrix} \begin{bmatrix} -4 & 7 \\ 6 & 1 \end{bmatrix}$

$$= \begin{bmatrix} (3)(-4) + (5)(6) & (3)(7) + (5)(1) \\ (-1)(-4) + (2)(6) & (-1)(7) + (2)(1) \end{bmatrix}$$

$$= \begin{bmatrix} +18 & +26 \\ +16 & -5 \end{bmatrix}$$

**Be sure you are familiar with the process**

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### 3D Scene Representation

#### 3D Primitives

Matrix Multiplication

**3x3 Example**

$$A = \begin{bmatrix} 2 & 3 & -4 \\ 4 & -5 & 6 \\ 8 & -7 & 9 \end{bmatrix} \quad B = \begin{bmatrix} 10 & -3 & -4 \\ 2 & 0 & 1 \\ 12 & 12 & 20 \end{bmatrix}$$

**Show that**  $C = AB = \begin{bmatrix} -22 & -54 & -85 \\ 102 & 60 & 99 \\ 174 & 84 & 141 \end{bmatrix}$

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### 3D Scene Representation

#### 3D Primitives

Matrix Multiplication

**4x4 Example**

$$A = \begin{bmatrix} 1 & 2 & 3 & 4 \\ 5 & 6 & 7 & 8 \\ 9 & 8 & 7 & 6 \\ 5 & 4 & 3 & 2 \end{bmatrix} \quad B = \begin{bmatrix} 1 & -2 & 3 & -4 \\ -5 & 6 & 7 & -8 \\ 10 & 12 & 14 & 16 \\ 0 & 10 & 20 & 18 \end{bmatrix}$$

**Show that**  $C = AB = \begin{bmatrix} 21 & 86 & 139 & 100 \\ 45 & 190 & 315 & 188 \\ 39 & 174 & 301 & 120 \\ 15 & 70 & 125 & 32 \end{bmatrix}$

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**SE3VR11 : Virtual Reality**  
 Professor Paul Sharkey [p.m.sharkey@reading.ac.uk](mailto:p.m.sharkey@reading.ac.uk)



**Lecture 4 – 04/02/2016**

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**3D Scene Representation**  
**3D Primitives**

**Matrix Transforms**

All transformations appropriate for computer graphics can be represented with a single  $4 \times 4$  matrix

$$\mathbf{M} = \begin{bmatrix} a_{00} & a_{01} & a_{02} & a_{03} \\ a_{10} & a_{11} & a_{12} & a_{13} \\ a_{20} & a_{21} & a_{22} & a_{23} \\ a_{30} & a_{31} & a_{32} & a_{33} \end{bmatrix}$$

If a transformation is represented by the matrix  $\mathbf{T}$ , the point  $\mathbf{p}$  can be transformed to the new point  $\mathbf{p}'$  by matrix multiplication:

$$\mathbf{p}' = \mathbf{T}\mathbf{p}$$

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**3D Scene Representation**  
**3D Primitives**

**Matrix Transforms**

Example: If  $\mathbf{T}$  and  $\mathbf{p}$  are given by  $\mathbf{T} = \begin{bmatrix} 1 & 3 & -2 & 5 \\ 4 & 8 & 4 & 4 \\ -1 & 0 & 2 & 1 \\ 0 & 0 & 0 & 1 \end{bmatrix}$   $\mathbf{p} = \begin{bmatrix} 1 \\ 5 \\ 2 \end{bmatrix}$

Find  $\mathbf{p}'$   $\mathbf{p}' = \mathbf{T} \times \mathbf{p} = \begin{bmatrix} 1 & 3 & -2 & 5 \\ 4 & 8 & 4 & 4 \\ -1 & 0 & 2 & 1 \\ 0 & 0 & 0 & 1 \end{bmatrix} \times \begin{bmatrix} 1 \\ 5 \\ 2 \end{bmatrix}$  X

But, we have a problem ...

The number of columns in the matrix DOES NOT equal the number of rows in the vector. The dimensions are not right!

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**3D Scene Representation**  
**3D Primitives**

**Matrix Transforms**

The solution is to augment the point into an homogenous form

$$\mathbf{p} = \begin{bmatrix} 1 \\ 5 \\ 2 \end{bmatrix} \Rightarrow \mathbf{p}_h = \begin{bmatrix} 1 \\ 5 \\ 2 \\ 1 \end{bmatrix}$$

Now

$$\mathbf{p}'_h = \mathbf{T}\mathbf{p}_h = \begin{bmatrix} 1 & 3 & -2 & 5 \\ 4 & 8 & 4 & 4 \\ -1 & 0 & 2 & 1 \\ 0 & 0 & 0 & 1 \end{bmatrix} \begin{bmatrix} 1 \\ 5 \\ 2 \\ 1 \end{bmatrix} = \begin{bmatrix} 17 \\ 56 \\ 4 \\ 1 \end{bmatrix} \Rightarrow \mathbf{p}' = \begin{bmatrix} 17 \\ 56 \\ 4 \end{bmatrix}$$

where the transformed point is found by conversion back from the homogenous form

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**3D Scene Representation****3D Primitives**Matrix Transforms

How do such transformation matrices come about?

Transformation matrices can be used to define:

- Translations
- Rotations
- Scaling
- Skewing or Shearing
- Reflections
- Perspective transforms
- Any combination of the above

Combinations are realised by multiplication of matrices

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$$\mathbf{p}'_h = \mathbf{T} \mathbf{p}_h = \begin{bmatrix} 1 & 3 & -2 & 5 \\ 4 & 8 & 4 & 4 \\ -1 & 0 & 2 & 1 \\ 0 & 0 & 0 & 1 \end{bmatrix} \begin{bmatrix} 1 \\ 5 \\ 2 \\ 1 \end{bmatrix} = \begin{bmatrix} 17 \\ 56 \\ 4 \\ 1 \end{bmatrix}$$

**3D Scene Representation****3D Primitives**Matrix Transforms – Translations

$$\mathbf{T} = \begin{bmatrix} 1 & 0 & 0 & dx \\ 0 & 1 & 0 & dy \\ 0 & 0 & 1 & dz \\ 0 & 0 & 0 & 1 \end{bmatrix}$$

Applied as (eg.)

$$\mathbf{p} = \begin{bmatrix} 1 \\ 5 \\ 2 \end{bmatrix} \Rightarrow \mathbf{p}'_{(h)} = \begin{bmatrix} 1 & 0 & 0 & dx \\ 0 & 1 & 0 & dy \\ 0 & 0 & 1 & dz \\ 0 & 0 & 0 & 1 \end{bmatrix} \begin{bmatrix} 1 \\ 5 \\ 2 \\ 1 \end{bmatrix} \Rightarrow \mathbf{p}' = \begin{bmatrix} 1+dx \\ 5+dy \\ 2+dz \\ 1 \end{bmatrix}$$

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**3D Scene Representation****3D Primitives**Matrix Transforms – Rotations about x

$$\mathbf{T} = \begin{bmatrix} 1 & 0 & 0 & 0 \\ 0 & \cos \theta & -\sin \theta & 0 \\ 0 & \sin \theta & \cos \theta & 0 \\ 0 & 0 & 0 & 1 \end{bmatrix}$$

Applied as (eg.)

$$\mathbf{p} = \begin{bmatrix} 1 \\ 5 \\ 2 \end{bmatrix} \Rightarrow \mathbf{p}'_{(h)} = \begin{bmatrix} 1 & 0 & 0 & 0 \\ 0 & \cos 60 & -\sin 60 & 0 \\ 0 & \sin 60 & \cos 60 & 0 \\ 0 & 0 & 0 & 1 \end{bmatrix} \begin{bmatrix} 1 \\ 5 \\ 2 \\ 1 \end{bmatrix} \Rightarrow \mathbf{p}' = \begin{bmatrix} 1 \\ 0.7680 \\ 5.3301 \\ 1 \end{bmatrix}$$

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**3D Scene Representation****3D Primitives**Matrix Transforms – Rotations about y

$$\mathbf{T} = \begin{bmatrix} \cos \theta & 0 & \sin \theta & 0 \\ 0 & 1 & 0 & 0 \\ -\sin \theta & 0 & \cos \theta & 0 \\ 0 & 0 & 0 & 1 \end{bmatrix}$$

Applied as (eg.)

$$\mathbf{p} = \begin{bmatrix} 1 \\ 5 \\ 2 \end{bmatrix} \Rightarrow \mathbf{p}'_{(h)} = \begin{bmatrix} \cos 60 & 0 & \sin 60 & 0 \\ 0 & 1 & 0 & 0 \\ -\sin 60 & 0 & \cos 60 & 0 \\ 0 & 0 & 0 & 1 \end{bmatrix} \begin{bmatrix} 1 \\ 5 \\ 2 \\ 1 \end{bmatrix} \Rightarrow \mathbf{p}' = \begin{bmatrix} 2.2321 \\ 5 \\ 0.1340 \\ 1 \end{bmatrix}$$

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## 3D Scene Representation

### 3D Primitives

#### Matrix Transforms – Rotations about z

$$\mathbf{T} = \begin{bmatrix} \cos \theta & -\sin \theta & 0 & 0 \\ \sin \theta & \cos \theta & 0 & 0 \\ 0 & 0 & 1 & 0 \\ 0 & 0 & 0 & 1 \end{bmatrix}$$

Applied as (eg.)

$$\mathbf{p} = \begin{bmatrix} 1 \\ 5 \\ 2 \end{bmatrix} \Rightarrow \mathbf{p}'_{(h)} = \begin{bmatrix} \cos 60 & -\sin 60 & 0 & 0 \\ \sin 60 & \cos 60 & 0 & 0 \\ 0 & 0 & 1 & 0 \\ 0 & 0 & 0 & 1 \end{bmatrix} \begin{bmatrix} 1 \\ 5 \\ 2 \\ 1 \end{bmatrix} \Rightarrow \mathbf{p}' = \begin{bmatrix} -3.801 \\ 3.3660 \\ 2 \end{bmatrix}$$

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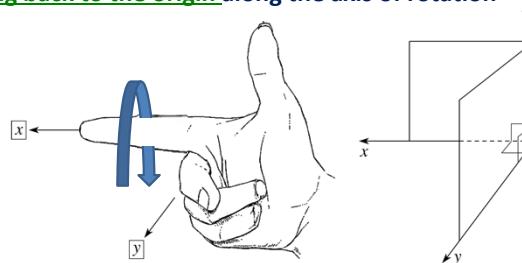
## 3D Scene Representation

### 3D Primitives

#### Matrix Transforms – Rotations general

Rotations are always about the main coordinate axes

Rotations are positive in an anti-clockwise direction when looking back to the origin along the axis of rotation



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## 3D Scene Representation

### 3D Primitives

#### Matrix Transforms – Scaling

$$\mathbf{T} = \begin{bmatrix} S_x & 0 & 0 & 0 \\ 0 & S_y & 0 & 0 \\ 0 & 0 & S_z & 0 \\ 0 & 0 & 0 & 1 \end{bmatrix}$$

Applied as (eg.)

$$\mathbf{p} = \begin{bmatrix} 1 \\ 5 \\ 2 \end{bmatrix} \Rightarrow \mathbf{p}'_{(h)} = \begin{bmatrix} S_x & 0 & 0 & 0 \\ 0 & S_y & 0 & 0 \\ 0 & 0 & S_z & 0 \\ 0 & 0 & 0 & 1 \end{bmatrix} \begin{bmatrix} 1 \\ 5 \\ 2 \\ 1 \end{bmatrix} \Rightarrow \mathbf{p}' = \begin{bmatrix} S_x \\ 5S_y \\ 2S_z \end{bmatrix}$$

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## 3D Scene Representation

### 3D Primitives

#### Matrix Transforms – Shear

$$\mathbf{T} = \begin{bmatrix} 1 & sh_x^y & sh_x^z & 0 \\ sh_y^x & 1 & sh_y^z & 0 \\ sh_z^x & sh_z^y & 1 & 0 \\ 0 & 0 & 0 & 1 \end{bmatrix}$$

Applied as (eg.)

$$\mathbf{p} = \begin{bmatrix} 1 \\ 5 \\ 2 \end{bmatrix} \Rightarrow \mathbf{p}'_{(h)} = \begin{bmatrix} 1 & sh_x^y & sh_x^z & 0 \\ sh_y^x & 1 & sh_y^z & 0 \\ sh_z^x & sh_z^y & 1 & 0 \\ 0 & 0 & 0 & 1 \end{bmatrix} \begin{bmatrix} 1 \\ 5 \\ 2 \\ 1 \end{bmatrix} \Rightarrow \mathbf{p}' = \begin{bmatrix} 1 + 5sh_x^y + 2sh_x^z \\ 5 + sh_y^x + 2sh_y^z \\ 2 + sh_z^x + 5sh_z^y \end{bmatrix}$$

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### 3D Scene Representation

### 3D Primitives

#### Matrix Transforms – Shear

**Examples**

**Shearing**

Here  $sh_x^y = 2$   
and  $sh_y^x = 2$

$$\begin{bmatrix} 1 & sh_x^y & 0 \\ 0 & 1 & 0 \\ 0 & 0 & 1 \end{bmatrix} \quad \begin{bmatrix} 1 & 0 & 0 \\ sh_y^x & 1 & 0 \\ 0 & 0 & 1 \end{bmatrix}$$

The matrices shown here are the equivalent of the top left  $3 \times 3$  block

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### 3D Scene Representation

### 3D Primitives

#### Matrix Transforms – Shear

**Exercise:** Show that applying the following shear matrix

$$\mathbf{T} = \begin{bmatrix} 1 & sh_x^y & 0 & 0 \\ sh_y^x & 1 & 0 & 0 \\ 0 & 0 & 1 & 0 \\ 0 & 0 & 0 & 1 \end{bmatrix}$$

results in a very different outcome to applying one shear after another

$$\mathbf{T} = \mathbf{T}_1 \mathbf{T}_2 = \begin{bmatrix} 1 & sh_x^y & 0 & 0 \\ 0 & 1 & 0 & 0 \\ 0 & 0 & 1 & 0 \\ 0 & 0 & 0 & 1 \end{bmatrix} \begin{bmatrix} 1 & 0 & 0 & 0 \\ sh_y^x & 1 & 0 & 0 \\ 0 & 0 & 1 & 0 \\ 0 & 0 & 0 & 1 \end{bmatrix}$$

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### 3D Scene Representation

### 3D Primitives

#### Matrix Transforms – Shear

$$\mathbf{T} = \begin{bmatrix} 1 & sh_x^y & 0 & 0 \\ sh_y^x & 1 & 0 & 0 \\ 0 & 0 & 1 & 0 \\ 0 & 0 & 0 & 1 \end{bmatrix}$$

**Mathematically:**

$$\mathbf{T} = \mathbf{T}_1 \mathbf{T}_2 = \begin{bmatrix} 1 & sh_x^y & 0 & 0 \\ 0 & 1 & 0 & 0 \\ 0 & 0 & 1 & 0 \\ 0 & 0 & 0 & 1 \end{bmatrix} \begin{bmatrix} 1 & 0 & 0 & 0 \\ sh_y^x & 1 & 0 & 0 \\ 0 & 0 & 1 & 0 \\ 0 & 0 & 0 & 1 \end{bmatrix} = \begin{bmatrix} 1 + (sh_x^y)(sh_y^x) & sh_x^y & 0 & 0 \\ sh_y^x & 1 & 0 & 0 \\ 0 & 0 & 1 & 0 \\ 0 & 0 & 0 & 1 \end{bmatrix}$$

**What is the graphical result for the cube?**

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### 3D Scene Representation

### 3D Primitives

#### Matrix Transforms – Shear

$$\mathbf{T} = \begin{bmatrix} 1 + (sh_x^y)(sh_y^x) & sh_x^y & 0 & 0 \\ sh_y^x & 1 & 0 & 0 \\ 0 & 0 & 1 & 0 \\ 0 & 0 & 0 & 1 \end{bmatrix}$$

**What is the graphical result for the cube?**

$$\begin{bmatrix} 0 \\ 0 \\ 0 \end{bmatrix}, \begin{bmatrix} 0 \\ 1 \\ 0 \end{bmatrix}, \begin{bmatrix} 1 \\ 0 \\ 0 \end{bmatrix}, \begin{bmatrix} 1 \\ 1 \\ 0 \end{bmatrix}$$

**Show that the points are located at:**

$$\begin{bmatrix} 0 \\ 0 \\ 0 \end{bmatrix}, \begin{bmatrix} 2 \\ 1 \\ 0 \end{bmatrix}, \begin{bmatrix} 5 \\ 2 \\ 0 \end{bmatrix}, \begin{bmatrix} 7 \\ 3 \\ 0 \end{bmatrix}$$

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**3D Scene Representation**  
**3D Primitives**  
**Matrix Transforms – Shear**

**What is the graphical result for the cube?**

$$\begin{bmatrix} 0 \\ 0 \\ 0 \end{bmatrix}, \begin{bmatrix} 0 \\ 1 \\ 0 \end{bmatrix}, \begin{bmatrix} 1 \\ 0 \\ 0 \end{bmatrix}, \begin{bmatrix} 1 \\ 1 \\ 0 \end{bmatrix}$$

Show that the points are located at:

$$\begin{bmatrix} 0 \\ 0 \\ 0 \end{bmatrix}, \begin{bmatrix} 2 \\ 1 \\ 0 \end{bmatrix}, \begin{bmatrix} 1 \\ 2 \\ 0 \end{bmatrix}, \begin{bmatrix} 3 \\ 3 \\ 0 \end{bmatrix}$$

**T** = 
$$\begin{bmatrix} 1 & sh_x^y & 0 & 0 \\ sh_y^x & 1 & 0 & 0 \\ 0 & 0 & 1 & 0 \\ 0 & 0 & 0 & 1 \end{bmatrix}$$

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**3D Scene Representation**  
**3D Primitives**  
**Matrix Transforms – Reflections in x-axis**

**Applied as (eg.)**

$$\mathbf{p} = \begin{bmatrix} 1 \\ 5 \\ 2 \end{bmatrix} \Rightarrow \mathbf{p}'_{(h)} = \begin{bmatrix} -1 & 0 & 0 & 0 \\ 0 & 1 & 0 & 0 \\ 0 & 0 & 1 & 0 \\ 0 & 0 & 0 & 1 \end{bmatrix} \begin{bmatrix} 1 \\ 5 \\ 2 \\ 1 \end{bmatrix} \Rightarrow \mathbf{p}' = \begin{bmatrix} -1 \\ 5 \\ 2 \end{bmatrix}$$

**T** = 
$$\begin{bmatrix} -1 & 0 & 0 & 0 \\ 0 & 1 & 0 & 0 \\ 0 & 0 & 1 & 0 \\ 0 & 0 & 0 & 1 \end{bmatrix}$$

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**3D Scene Representation**  
**3D Primitives**  
**Matrix Transforms – Reflections in y-axis**

**Applied as (eg.)**

$$\mathbf{p} = \begin{bmatrix} 1 \\ 5 \\ 2 \end{bmatrix} \Rightarrow \mathbf{p}'_{(h)} = \begin{bmatrix} 1 & 0 & 0 & 0 \\ 0 & -1 & 0 & 0 \\ 0 & 0 & 1 & 0 \\ 0 & 0 & 0 & 1 \end{bmatrix} \begin{bmatrix} 1 \\ 5 \\ 2 \\ 1 \end{bmatrix} \Rightarrow \mathbf{p}' = \begin{bmatrix} 1 \\ -5 \\ 2 \end{bmatrix}$$

**T** = 
$$\begin{bmatrix} 1 & 0 & 0 & 0 \\ 0 & -1 & 0 & 0 \\ 0 & 0 & 1 & 0 \\ 0 & 0 & 0 & 1 \end{bmatrix}$$

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**3D Scene Representation**  
**3D Primitives**  
**Matrix Transforms – Reflections in z-axis**

**Applied as (eg.)**

$$\mathbf{p} = \begin{bmatrix} 1 \\ 5 \\ 2 \end{bmatrix} \Rightarrow \mathbf{p}'_{(h)} = \begin{bmatrix} 1 & 0 & 0 & 0 \\ 0 & 1 & 0 & 0 \\ 0 & 0 & -1 & 0 \\ 0 & 0 & 0 & 1 \end{bmatrix} \begin{bmatrix} 1 \\ 5 \\ 2 \\ 1 \end{bmatrix} \Rightarrow \mathbf{p}' = \begin{bmatrix} 1 \\ 5 \\ -2 \end{bmatrix}$$

**T** = 
$$\begin{bmatrix} 1 & 0 & 0 & 0 \\ 0 & 1 & 0 & 0 \\ 0 & 0 & -1 & 0 \\ 0 & 0 & 0 & 1 \end{bmatrix}$$

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## 3D Scene Representation

### 3D Primitives

#### Matrix Transforms – Combinations

For more complex transformations it is possible to combine multiple transformation matrices in a single matrix

**Example:** Move point  $\mathbf{p}$  10 units along the  $y$ -axis, then rotate it 20 degrees around the  $z$ -axis and then move it -15 units along the  $x$ -axis.

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## 3D Scene Representation

### 3D Primitives

#### Matrix Transforms – Combinations

**Example:** Move point  $\mathbf{p}$  10 units along the  $y$ -axis, rotate it 20 degrees around the  $z$ -axis and then move it -15 units along the  $x$ -axis

$$\mathbf{T}_{trans,y} = \begin{bmatrix} 1 & 0 & 0 & 0 \\ 0 & 1 & 0 & 10 \\ 0 & 0 & 1 & 0 \\ 0 & 0 & 0 & 1 \end{bmatrix} \quad \mathbf{T}_{rot,z} = \begin{bmatrix} \cos 20 & -\sin 20 & 0 & 0 \\ \sin 20 & \cos 20 & 0 & 0 \\ 0 & 0 & 1 & 0 \\ 0 & 0 & 0 & 1 \end{bmatrix} \quad \mathbf{T}_{trans,x} = \begin{bmatrix} 1 & 0 & 0 & -15 \\ 0 & 1 & 0 & 0 \\ 0 & 0 & 1 & 0 \\ 0 & 0 & 0 & 1 \end{bmatrix}$$

$$= \begin{bmatrix} 0.4081 & -0.9129 & 0 & 0 \\ 0.9129 & 0.4081 & 0 & 0 \\ 0 & 0 & 1 & 0 \\ 0 & 0 & 0 & 1 \end{bmatrix}$$

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## 3D Scene Representation

### 3D Primitives

#### Matrix Transforms – Combinations

**Example:** Move point  $\mathbf{p}$  10 units along the  $y$ -axis, rotate it 20 degrees around the  $z$ -axis and then move it -15 units along the  $x$ -axis ...

$$\begin{array}{ll} \mathbf{p}' = \mathbf{T}_{trans,y} \mathbf{p} & (\mathbf{p}' = \mathbf{T}_1 \mathbf{p}) \\ \mathbf{p}'' = \mathbf{T}_{rot,z} \mathbf{p}' & (\mathbf{p}'' = \mathbf{T}_2 \mathbf{p}') \\ \mathbf{p}''' = \mathbf{T}_{trans,x} \mathbf{p}'' & (\mathbf{p}''' = \mathbf{T}_3 \mathbf{p}'') \end{array}$$

This is combined as

$$\mathbf{p}''' = \mathbf{T}_{trans,x} \mathbf{T}_{rot,z} \mathbf{T}_{trans,y} \mathbf{p} \quad (\mathbf{p}''' = \mathbf{T}_3 \mathbf{T}_2 \mathbf{T}_1 \mathbf{p})$$

Note the order of the transforms is from right to left  
This is most important

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## 3D Scene Representation

### 3D Primitives

#### Matrix Transforms – Combinations

The order of matrix multiplication is important!

$$\mathbf{T} \times \mathbf{S} \neq \mathbf{S} \times \mathbf{T}$$

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## 3D Scene Representation

### 3D Primitives

#### Matrix Transforms – Combinations

Example:

$$\mathbf{p}''' = \begin{bmatrix} 1 & 0 & 0 & 0 \\ 0 & 1 & 0 & 10 \\ 0 & 0 & 1 & 0 \\ 0 & 0 & 0 & 1 \end{bmatrix} \begin{bmatrix} 0.4081 & -0.9129 & 0 & 0 \\ 0.9129 & 0.4081 & 0 & 0 \\ 0 & 0 & 1 & 0 \\ 0 & 0 & 0 & 1 \end{bmatrix} \begin{bmatrix} 1 & 0 & 0 & -15 \\ 0 & 1 & 0 & 0 \\ 0 & 0 & 1 & 0 \\ 0 & 0 & 0 & 1 \end{bmatrix} \mathbf{p}$$

$$\mathbf{p}''' = \begin{bmatrix} 0.4081 & -0.9129 & 0 & -6.1215 \\ 0.9129 & 0.4081 & 0 & -3.6935 \\ 0 & 0 & 1 & 0 \\ 0 & 0 & 0 & 1 \end{bmatrix} \mathbf{p} \quad \mathbf{p} = \begin{bmatrix} 1 \\ 5 \\ 2 \end{bmatrix} \Rightarrow \mathbf{p}' = \begin{bmatrix} -10.2779 \\ -0.7401 \\ 2 \end{bmatrix}$$

(the z component is unaffected in this example)

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$$\mathbf{p}''' = \mathbf{T}_{trans,x} \mathbf{T}_{rot,z} \mathbf{T}_{trans,y} \mathbf{p}$$

## 3D Scene Representation

### 3D Primitives

#### Transforming Objects

In the previous example, the transformations were applied to a single point

How is the same transform applied to a complex object?

Consider a cuboid, defined by eight coherent points

$$\mathbf{obj}_{cuboid} = \begin{bmatrix} 2 & 8 & 8 & 2 & 2 & 8 & 8 & 2 \\ 3 & 3 & 3 & 3 & 5 & 5 & 5 & 5 \\ 4 & 4 & -3 & -3 & 4 & 4 & -3 & -3 \\ 1 & 1 & 1 & 1 & 1 & 1 & 1 & 1 \end{bmatrix}$$

(This cuboid is aligned with the major axes – sketch it out!)

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## 3D Scene Representation

### 3D Primitives

#### Transforming Objects

Applying the combined transform requires augmenting the cuboid description to include a fourth row of '1's

$$\mathbf{obj}_{cuboid}(h) = \begin{bmatrix} 2 & 8 & 8 & 2 & 2 & 8 & 8 & 2 \\ 3 & 3 & 3 & 3 & 5 & 5 & 5 & 5 \\ 4 & 4 & -3 & -3 & 4 & 4 & -3 & -3 \\ 1 & 1 & 1 & 1 & 1 & 1 & 1 & 1 \end{bmatrix}$$

The transformed object is

$$\mathbf{obj}'_{cuboid}(h) = \begin{bmatrix} 0.4081 & -0.9129 & 0 & -6.1215 \\ 0.9129 & 0.4081 & 0 & -3.6935 \\ 0 & 0 & 1 & 0 \\ 0 & 0 & 0 & 1 \end{bmatrix} \begin{bmatrix} 2 & 8 & 8 & 2 & 2 & 8 & 8 & 2 \\ 3 & 3 & 3 & 3 & 5 & 5 & 5 & 5 \\ 4 & 4 & -3 & -3 & 4 & 4 & -3 & -3 \\ 1 & 1 & 1 & 1 & 1 & 1 & 1 & 1 \end{bmatrix}$$

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## 3D Scene Representation

### 3D Primitives

#### Transforming Objects

Result:

$$\mathbf{obj}'_{cuboid} = \begin{bmatrix} -8.044 & -5.595 & -5.595 & -8.044 & -9.870 & -7.421 & -7.421 & -9.870 \\ -0.643 & 4.834 & 4.834 & -0.643 & 0.173 & 5.650 & 5.650 & 0.173 \\ 4 & 4 & -3 & -3 & 4 & 4 & -3 & -3 \end{bmatrix}$$

- The ordering of the points is the same as originally defined
- It is clear from the points that there is still a degree of symmetry with the main coordinate axes
- This is expected as the object was only rotated by one primary rotation
- More complex shapes may have hundreds or thousands of vertices

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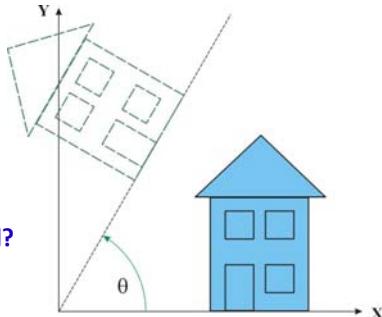
## 3D Scene Representation

### 3D Primitives

Rotating Objects – rotate the following object about z by 60°

This is the result of applying the  $\text{Rot}_{z,60}$  transform.

Was this what was wanted?



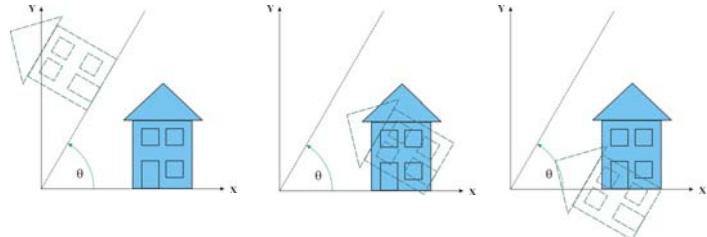
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## 3D Scene Representation

### 3D Primitives

Rotating Objects – rotate the following object about z by 45°



Which is the desired rotation?

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## 3D Scene Representation

### 3D Primitives

#### Rotating Objects

Rotations need to be defined not only by the axis of rotation but also by the point on the object that the rotation axis goes through.

If a point is identified in the object through which the axis of desired rotation is defined, the rotation can be achieved by

- translating this point to the origin of the coordinate system
- affecting the rotation
- translating back to its original location

$$\text{obj}_{\text{rotated}} = \mathbf{T}_{Tx,\text{back}} \mathbf{T}_{\text{Rot},\theta} \mathbf{T}_{Tx,\text{to origin}} \text{obj}_{\text{original}}$$

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## 3D Scene Representation

### 3D Primitives

#### Rotating Objects

- translating this point to the origin of the coordinate system
- affecting the rotation
- translating back to its original location

$$\text{obj}_{\text{rotated}} = \mathbf{T}_{Tx,\text{back}} \mathbf{T}_{\text{Rot},\theta} \mathbf{T}_{Tx,\text{to origin}} \text{obj}_{\text{original}}$$

Note the order of transformation

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## 3D Scene Representation

### 3D Primitives

#### What about Arbitrary Rotations?

A more general rotation can be achieved by defining

- A point  $\mathbf{p}_1$  relative to the object (or within the object)
- A normalised direction vector,  $\mathbf{k}$ , defined by a second point  $\mathbf{p}_2$  relative to point  $\mathbf{p}_1$  and
- The angle  $\theta$  about this axis to rotate

The final rotation is

$$\mathbf{obj}_{rotated} = \mathbf{T}_{Tx,+\mathbf{p}_1} \mathbf{T}_{Rot,\mathbf{k},\theta} \mathbf{T}_{Tx,-\mathbf{p}_1} \mathbf{obj}_{original}$$

where the rotation is defined on the following slides

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$$\mathbf{T}_{Rot,\mathbf{k},\theta}$$

## 3D Scene Representation

### 3D Primitives

#### Equivalent Axis Rotations

An equivalent axes rotation is achieved by aligning the equivalent axis with one of the principal coordinate axes (e.g. the z axis), rotating about that axis, and then putting everything back in its original orientation

(Similar to translating to the origin and back again)

This initial alignment requires two rotations and hence two reverse rotations plus the required rotation in between

This gives **FIVE rotations in total**

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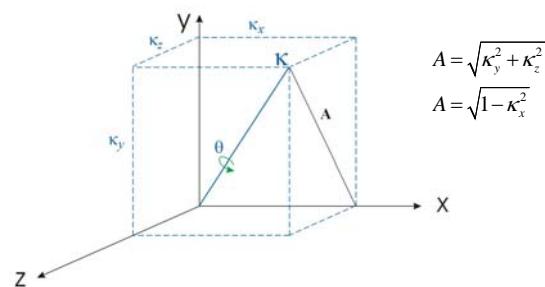
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## 3D Scene Representation

### 3D Primitives

#### Equivalent Axis Rotations

$$\mathbf{T}_{Rot,\mathbf{k},\theta}$$



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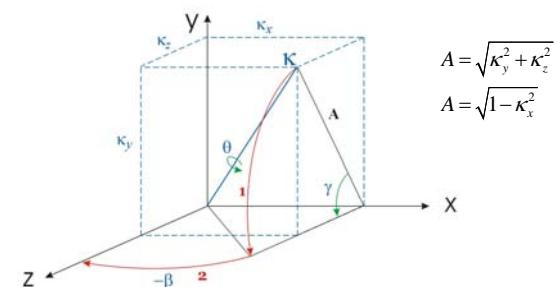
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$$\mathbf{T}_{Rot,\mathbf{k},\theta}$$

## 3D Scene Representation

### 3D Primitives

#### Equivalent Axis Rotations

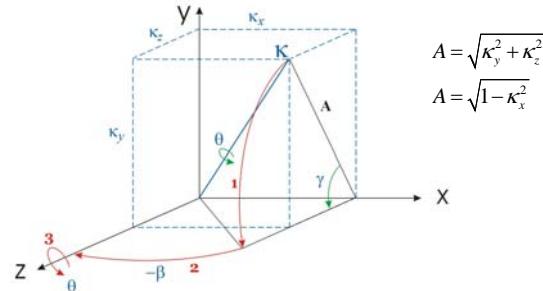


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**3D Scene Representation****3D Primitives****Equivalent Axis Rotations**

$$\mathbf{T}_{Rot, \mathbf{k}, \theta}$$

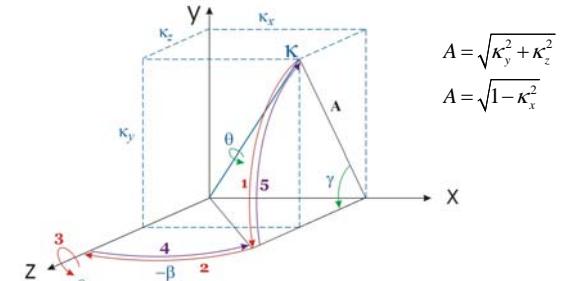


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**3D Scene Representation****3D Primitives****Equivalent Axis Rotations**

$$\mathbf{T}_{Rot, \mathbf{k}, \theta}$$

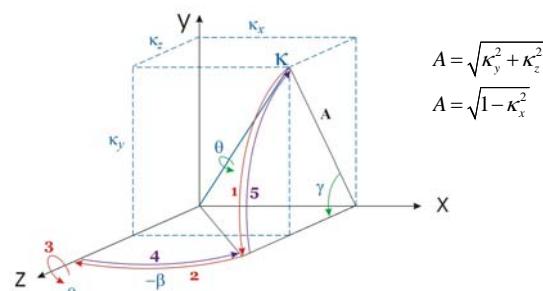


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**3D Scene Representation****3D Primitives****Equivalent Axis Rotations**

$$\mathbf{T}_{Rot, \mathbf{k}, \theta}$$



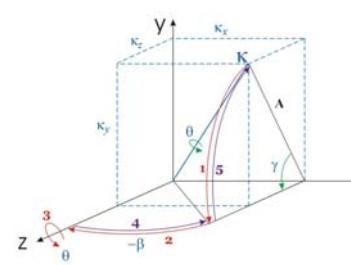
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$$\mathbf{T}_{Rot, \mathbf{k}, \theta} = \mathbf{T}_{Rot, \mathbf{x}, +\gamma} \mathbf{T}_{Rot, \mathbf{y}, -\beta} \mathbf{T}_{Rot, \mathbf{z}, \theta} \mathbf{T}_{Rot, \mathbf{y}, +\beta} \mathbf{T}_{Rot, \mathbf{x}, -\gamma}$$

**3D Scene Representation****3D Primitives****Equivalent Axis Rotations**

$$\mathbf{T}_{Rot, \mathbf{k}, \theta}$$



$$\begin{aligned} A &= \sqrt{K_y^2 + K_z^2} & \sin \beta &= K_x \\ A &= \sqrt{1 - K_x^2} & \cos \beta &= A \\ A \cos \gamma &= K_z & \cos \gamma &= \frac{K_z}{A} \\ A \sin \gamma &= K_y & \sin \gamma &= \frac{K_y}{A} \end{aligned}$$

$$\mathbf{T}_{Rot, \mathbf{k}, \theta} = \mathbf{T}_{Rot, \mathbf{x}, +\gamma} \mathbf{T}_{Rot, \mathbf{y}, -\beta} \mathbf{T}_{Rot, \mathbf{z}, \theta} \mathbf{T}_{Rot, \mathbf{y}, +\beta} \mathbf{T}_{Rot, \mathbf{x}, -\gamma}$$

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**3D Scene Representation****3D Primitives****Equivalent Axis Rotations**

$$\mathbf{T}_{Rot, \mathbf{k}, \theta}$$

An equivalent axes rotation transform is then found as

*lots*

*and lots*

*and lots of algebra later ...*

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**3D Scene Representation****3D Primitives****Equivalent Axis Rotations**

$$\mathbf{T}_{Rot, \mathbf{k}, \theta}$$

An equivalent axes rotation transform is then found as

$$\mathbf{T}_{Rot, \mathbf{k}, \theta} = \begin{bmatrix} xxv + c & xyv - zs & xzv + ys & 0 \\ yxv + zs & yyv + c & yzv - xs & 0 \\ zxv - ys & zyv + xs & zzv + c & 0 \\ 0 & 0 & 0 & 1 \end{bmatrix}$$

where shorthand is used, with

$$s = \sin(\theta) \quad c = \cos(\theta) \quad v = 1 - c$$

and

$$\mathbf{k} = [x, y, z] \quad \|\mathbf{k}\| = 1$$

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## SE3VR11 : Virtual Reality

Professor Paul Sharkey

[p.m.sharkey@reading.ac.uk](mailto:p.m.sharkey@reading.ac.uk)

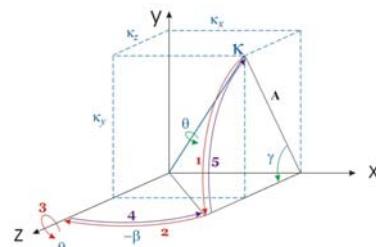
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## 3D Scene Representation

## 3D Primitives

## Equivalent Axis Rotations

 $\mathbf{T}_{Rot, \mathbf{k}, \theta}$ 

$$A = \sqrt{\kappa_y^2 + \kappa_z^2}$$

$$A = \sqrt{1 - \kappa_x^2}$$

$$A \cos \gamma = \kappa_z$$

$$\sin \gamma = \frac{\kappa_y}{A}$$

$$\cos \gamma = \frac{\kappa_z}{A}$$

$$\sin \beta = \kappa_x$$

$$\cos \beta = A$$

$$\mathbf{T}_{Rot, \mathbf{k}, \theta} = \mathbf{T}_{Rot, \mathbf{x}, +\gamma} \mathbf{T}_{Rot, \mathbf{y}, -\beta} \mathbf{T}_{Rot, \mathbf{z}, \theta} \mathbf{T}_{Rot, \mathbf{y}, +\beta} \mathbf{T}_{Rot, \mathbf{x}, -\gamma}$$

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## 3D Scene Representation

## 3D Primitives

 $\mathbf{T}_{Rot, \mathbf{k}, \theta}$ 

## Equivalent Axis Rotations

An equivalent axes rotation is achieved by aligning the equivalent axis with one of the principal coordinate axes (e.g. the z axis), rotating about that axis, and then putting everything back in its original orientation

(Similar to translating to the origin and back again)

This initial alignment requires two rotations and hence two reverse rotations plus the required rotation in between

This gives FIVE rotations in total

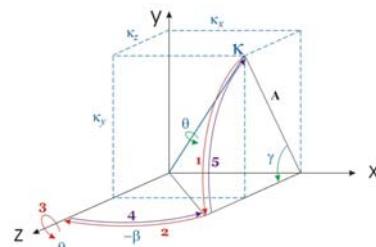
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## 3D Scene Representation

## 3D Primitives

## Equivalent Axis Rotations

 $\mathbf{T}_{Rot, \mathbf{k}, \theta}$ 

$$A = \sqrt{\kappa_y^2 + \kappa_z^2}$$

$$A = \sqrt{1 - \kappa_x^2}$$

$$A \cos \gamma = \kappa_z$$

$$\sin \gamma = \frac{\kappa_y}{A}$$

$$\cos \gamma = \frac{\kappa_z}{A}$$

$$\sin \beta = \kappa_x$$

$$\cos \beta = A$$

## 3D Scene Representation

## 3D Primitives

 $\mathbf{T}_{Rot, \mathbf{k}, \theta}$ 

## Equivalent Axis Rotations

An equivalent axes rotation transform is then found as

$$\mathbf{T}_{Rot, \mathbf{k}, \theta} = \begin{bmatrix} xxv + c & xyv - zs & xzv + ys & 0 \\ yxv + zs & yyv + c & yzv - xs & 0 \\ zxv - ys & zyv + xs & zzv + c & 0 \\ 0 & 0 & 0 & 1 \end{bmatrix}$$

where shorthand is used, with

$$s = \sin(\theta) \quad c = \cos(\theta) \quad v = 1 - c$$

and

$$\mathbf{k} = [x, y, z] \quad \|\mathbf{k}\| = 1$$

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**3D Scene Representation**  
**3D Primitives**  
**Final Transform Set – RECAP**

The final Transform is found as:

1. Translate to origin
2. Rotate about  $\mathbf{k}$  by  $\theta$
3. Translate back to original position

$$\mathbf{T}_{Rot,\mathbf{k},\theta} = \begin{bmatrix} xxv + c & xyv - zs & xzv + ys & 0 \\ yxv + zs & yyv + c & yzv - xs & 0 \\ zxv - ys & zyv + xs & zzv + c & 0 \\ 0 & 0 & 0 & 1 \end{bmatrix}$$

$$\mathbf{obj}_{rotated} = \mathbf{T}_{Tx,back} \mathbf{T}_{Rot,\mathbf{k},\theta} \mathbf{T}_{Tx,to\ origin} \mathbf{obj}_{original}$$

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**3D Scene Representation**  
**3D Primitives – Example**

**Example**

The origin of an object is defined at a position [10,20,30]. It is desired to re-orient the object by rotating it by 150° about an axis defined by the vector pointing from the object origin to another point on the object at [25, 10, 10].

Find the transform that implements the above.

$$\mathbf{T}_l = \mathbf{T}_{Tx,back} \mathbf{T}_{Rot,\mathbf{k},\theta} \mathbf{T}_{Tx,to\ origin}$$

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**3D Scene Representation**  
**3D Primitives – Example**

**Example**

$$\mathbf{T}_l = \mathbf{T}_{Tx,back} \mathbf{T}_{Rot,\mathbf{k},\theta} \mathbf{T}_{Tx,to\ origin}$$

$$\mathbf{T}_{Tx,to\ origin} = \begin{bmatrix} 1 & 0 & 0 & -10 \\ 0 & 1 & 0 & -20 \\ 0 & 0 & 1 & -30 \\ 0 & 0 & 0 & 1 \end{bmatrix}$$

$$\mathbf{T}_{Tx,back} = \begin{bmatrix} 1 & 0 & 0 & +10 \\ 0 & 1 & 0 & +20 \\ 0 & 0 & 1 & +30 \\ 0 & 0 & 0 & 1 \end{bmatrix}$$

The rotation matrix is

$$\mathbf{T}_{Rot,\mathbf{k},150} = \begin{bmatrix} xxv + c & xyv - zs & xzv + ys & 0 \\ yxv + zs & yyv + c & yzv - xs & 0 \\ zxv - ys & zyv + xs & zzv + c & 0 \\ 0 & 0 & 0 & 1 \end{bmatrix}$$

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**3D Scene Representation**  
**3D Primitives – Example**

**Example**

The direction vector is found as

$$\mathbf{v}_{01} = \begin{bmatrix} 25 \\ 10 \\ 10 \end{bmatrix} - \begin{bmatrix} 10 \\ 20 \\ 30 \end{bmatrix} = \begin{bmatrix} 15 \\ -10 \\ -20 \end{bmatrix}$$
/

$$\mathbf{k} = \begin{bmatrix} 15 \\ -10 \\ -20 \end{bmatrix} / \sqrt{15^2 + 10^2 + 20^2} = \begin{bmatrix} 0.5571 \\ -0.3714 \\ -0.7428 \end{bmatrix}$$

Check that the direction vector is of unit length

$$|\mathbf{k}| = \sqrt{(0.5571)^2 + (-0.3714)^2 + (-0.7428)^2} = 1.000025...$$

OK to the 4DP retained in this illustration

**Programmes should maintain much higher accuracy than 4DP**

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### 3D Scene Representation

#### 3D Primitives – Example

##### Example

Equivalent axis rotation,  $\theta = 150^\circ$

$$s = \sin(\theta) = 0.5 \quad c = \cos(\theta) = -0.866 \quad v = 1 - c = 0.1340$$

$$\mathbf{T}_{Rot,\mathbf{k},150} = \begin{bmatrix} 0.5571 & -0.3714 & -0.7428 \\ -0.2869 & -0.0147 & -0.9578 & 0 \\ -0.7575 & -0.6086 & 0.2362 & 0 \\ -0.5865 & 0.7933 & 0.1635 & 0 \\ 0 & 0 & 0 & 1 \end{bmatrix}$$

Check that resulting matrix is a true rotation

$$\mathbf{R} \times \mathbf{R}^T = \mathbf{I}_3$$

(The inverse of a rotation matrix is the transpose of the  $3 \times 3$  rotation matrix). This is true to  $\sim 4DP$  here

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$$\mathbf{k} = \begin{bmatrix} x \\ y \\ z \end{bmatrix} = \begin{bmatrix} 0.5571 \\ -0.3714 \\ -0.7428 \end{bmatrix}$$

$$\mathbf{T}_l = \mathbf{T}_{Tx,back} \mathbf{T}_{Rot,\mathbf{k},\theta} \mathbf{T}_{Tx,to\ origin}$$

### 3D Scene Representation

#### 3D Primitives – Example

##### Example

The final transform is

$$\begin{aligned} \mathbf{T}_l &= \begin{bmatrix} 1 & 0 & 0 & +10 \\ 0 & 1 & 0 & +20 \\ 0 & 0 & 1 & +30 \\ 0 & 0 & 0 & 1 \end{bmatrix} \begin{bmatrix} -0.2869 & -0.0147 & -0.9578 & 0 \\ -0.7575 & -0.6086 & 0.2362 & 0 \\ -0.5865 & 0.7933 & 0.1635 & 0 \\ 0 & 0 & 0 & 1 \end{bmatrix} \begin{bmatrix} 1 & 0 & 0 & -10 \\ 0 & 1 & 0 & -20 \\ 0 & 0 & 1 & -30 \\ 0 & 0 & 0 & 1 \end{bmatrix} \\ &= \begin{bmatrix} -0.2869 & -0.0147 & -0.9578 & 41.8981 \\ -0.7575 & -0.6086 & 0.2362 & 32.6608 \\ -0.5865 & 0.7933 & 0.1635 & 15.0932 \\ 0 & 0 & 0 & 1 \end{bmatrix} \end{aligned}$$

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### 3D Scene Representation

#### 3D Primitives – Example

##### Example

The final transform is

$$= \begin{bmatrix} -0.2869 & -0.0147 & -0.9578 & 41.8981 \\ -0.7575 & -0.6086 & 0.2362 & 32.6608 \\ -0.5865 & 0.7933 & 0.1635 & 15.0932 \\ 0 & 0 & 0 & 1 \end{bmatrix}$$

The top left  **$3 \times 3$  matrix** represents the compound rotation of the whole object

The top right  **$1 \times 3$  matrix** represents the additional translation of all points on the object

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$$\mathbf{T}_l = \mathbf{T}_{Tx,back} \mathbf{T}_{Rot,\mathbf{k},\theta} \mathbf{T}_{Tx,to\ origin}$$

### 3D Scene Representation

#### 3D Primitives – Example

##### Example

Note that the two points that define the axis of rotation are

- The origin at a position [10,20,30]
- The second point at [25, 10, 10]

Neither points (or indeed any points along the axis) should be changed by application of the compound transformation

- This is easily verified (e.g. Matlab)
- This is also a good test to ensure your code is working correctly

(Also easily seen that off-axis points are transformed as required)

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## Remaining topics

- **Representation**
  - Planar Surfaces
  - Constructive Solid Geometry
  - Solids/Voxel modelling
- **Camera Models/Scene Views**
  - Perspective
- **Shading and Lighting**
  - Shading models
- **(Distributed VR)**
  - (Perception Filters)

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## Representation

An scene can contain different type of objects (clouds, trees, stones, buildings, furniture etc.). For all of them, a wide variety of representation models are available

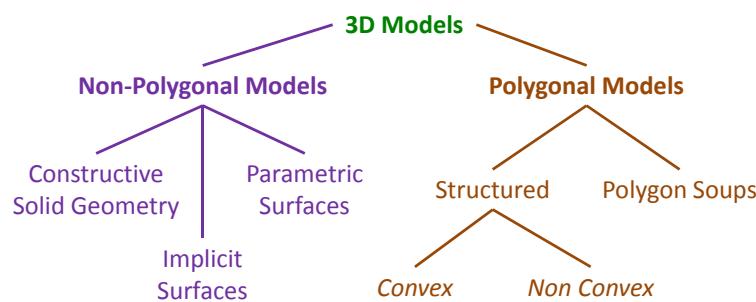
- **Polygonal surfaces and quadrics**
- **Spline surfaces**
- **Solid modeling**
- **Volumetric models**
- **Procedural models (fractals, particle systems,...)**
- **Physic based modeling**

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## Representation

There are lots of methods of representing a surface and each has advantages/disadvantages



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## Planar Surface Modelling

### *Polygonal Modelling*

- **Polygon mesh:** vertex, edges and polygon collection where each edge is shared by two polygons as maximum
  - vertex: point with coordinates (x,y,z)
  - edge: line segment that joins two vertices
  - polygon: closed sequence of edges
- **Different type of representation that can be used at the same time in the same application**
  - Explicit
  - Pointers to list of vertices
  - Pointers to list of edges
- **Criteria to evaluate different representations:**
  - Time
  - Space
  - Topological Information



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## Planar Surface Modelling

### Polygonal Modelling

#### Explicit Representation

- Each polygon is represented by a list of vertex coordinates

$$P_1 = \{(x_1, y_1, z_1), \dots, (x_n, y_n, z_n)\}$$

$$P_2 = \{(x_3, y_3, z_3), \dots, (x_m, y_m, z_m)\}$$

- Vertices are stored in order (clockwise or counter-clockwise)

- Shared vertices are duplicated

- There is no explicit representation for shared vertices and edges

#### Advantages

- Efficient representation for individual polygons

#### Disadvantages

- High storage cost
- In order to move a vertex, it is necessary to traverse all the polygons
- If the edges are drawn, the shared ones are drawn twice

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## Planar Surface Modelling

### Polygonal Modelling

#### Pointers to list of vertices

- Each vertex is stored once in a list

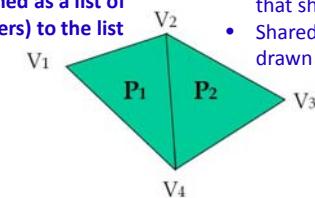
$$V = \{V_1, V_2, \dots, V_n\}$$

$$= \{(x_1, y_1, z_1), \dots, (x_n, y_n, z_n)\}$$

- A polygon is defined as a list of indexes (or pointers) to the list of vertices

$$P_1 = \{V_1, V_3, V_4\}$$

$$P_2 = \{V_4, V_2, V_3\}$$



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## Planar Surface Modelling

### Polygonal Modelling

#### Pointers to list of edges

- Again, a list of vertices
- A polygon is defined as a list of indexes to the list of edges
- Each edge points to two vertices and to the polygons it belongs to

$$E_1 = \{V_1, V_2, P_1, \lambda\}$$

$$E_2 = \{V_2, V_3, P_2, \lambda\}$$

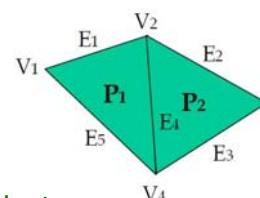
$$E_3 = \{V_3, V_4, P_2, \lambda\}$$

$$E_4 = \{V_4, V_2, P_1, P_2\}$$

$$E_5 = \{V_4, V_1, P_1, \lambda\}$$

$$P_1 = \{E_1, E_4, E_5\}$$

$$P_2 = \{E_2, E_3, E_4\}$$



#### Advantages

- Each vertex is stored just once
- The shared edges are drawn just once
- Coordinates of vertices can be easily changed

#### Disadvantages

- Difficult to determine which edges share a vertex

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## Planar Surface Modelling

### Polygonal Meshes

#### Types of Polygonal Meshes

##### Triangle Strip

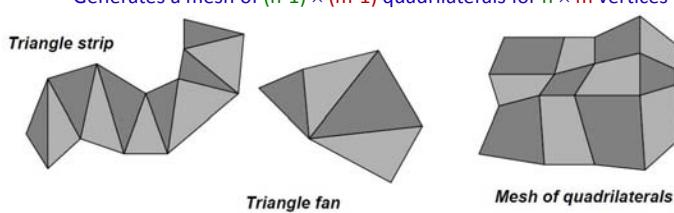
- For  $n$  vertices, produces  $(n-2)$  connected triangles

##### Triangle Fan

- For  $n$  vertices, produces  $(n-2)$  connected triangles

##### Mesh of Quadrilaterals

- Generates a mesh of  $(n-1) \times (m-1)$  quadrilaterals for  $n \times m$  vertices



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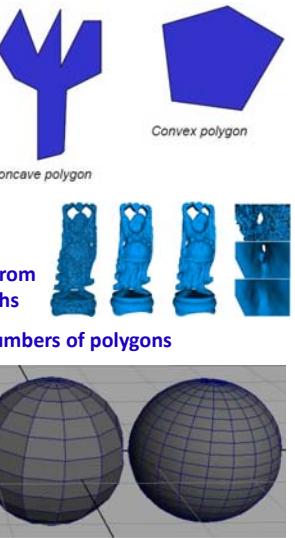
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## Planar Surface Modelling

### Polygonal Modelling

**Characteristics**

- Comprise any number of polygons, usually triangles or quadrilaterals (quads)
- Concave vs Convex Shapes
- Varying levels of topological information, from none (soups) to complex connectivity graphs
- Not great for smooth curves, need huge numbers of polygons



Concave polygon      Convex polygon

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## Planar Surface Modelling

### Polygonal Modelling (Convex)

**Convex Polygons**

- Can speed up interference tests for Collision Detection if the topology has known properties
- Convex objects are much faster to test
- An object is convex *iff* (if and only if) "A line segment between any two points on an object is on or within its boundary"
- Two convex objects can only ever touch at one point or in one plane – very useful



Non-Convex      Convex

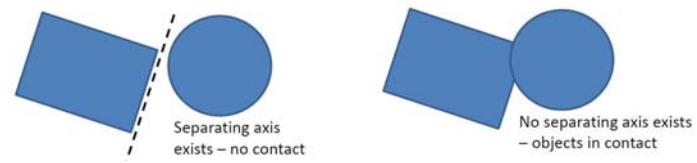
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## Planar Surface Modelling

### Polygonal Modelling (Convex)

**Convex Polygons**

- If two objects are convex, testing for collision involves finding a plane where all points of object A lie on one side and all points of object B lie on the other
- Known as the Separating Axis Theorem (SAT)
- Many highly optimised algorithms for convex Collision Detection exist



Separating axis exists – no contact      No separating axis exists – objects in contact

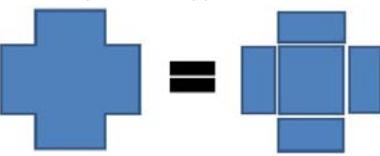
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## Planar Surface Modelling

### Polygonal Modelling (Non-Convex)

**Non-Convex Polygons**

- Non-convex models much harder
- Can chop up non-convex models into convex pieces (convex decomposition)
- Heuristic search methods can result in incorrect results
- 'Brute-Force' methods test every polygon against every other polygon – which is slow
- Can make use of geometric coherence to speed up element by element approaches



No Separating axis exists – but still no contact

Chopping up complex objects into convex parts greatly speeds up collision detection

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## Geometric Coherence

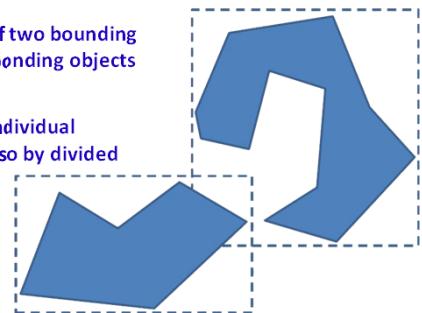
- As models become populated with geometric shapes, the geometric coherence of the model needs to be maintained by avoiding overlapping of objects
  - During run-time, this coherence needs to continually tested as objects move or are moved within the environment
- To minimise the number of pair-wise tests a collision detection pass is usually divided into two phases: **Broad** and **Narrow**

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## Geometric Coherence

- In a **broad phase** pass only the bounding boxes of objects are tested
- A bounding box is the smallest box which fits all the geometry of an object within it. Can also use other convex shapes as bounding volumes
- In a **narrow-phase** pass only if two bounding boxes overlap are the corresponding objects tested
- Objects comprised of many individual elements like polygons can also be divided into a tree of bounding boxes



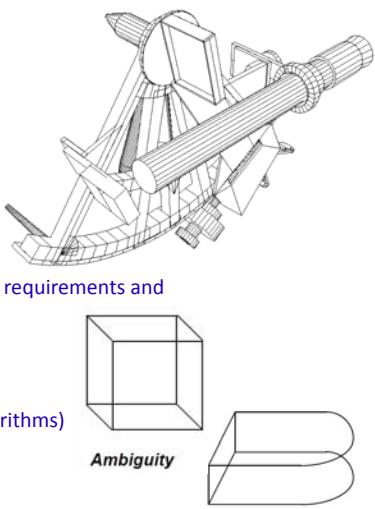
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## Planar Surface Modelling

### Wireframe Modelling

- **Elements:**
  - points, lines, **arcs** and **circles**, **conic** and **curves**
- **Advantages:**
  - easy to build, low memory requirements and storage
- **Disadvantages:**
  - ambiguous representation (hidden-lines removal algorithms)
  - lack of visual coherence (line-inclusion algorithms)



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## SE3VR11 : Virtual Reality

Professor Paul Sharkey

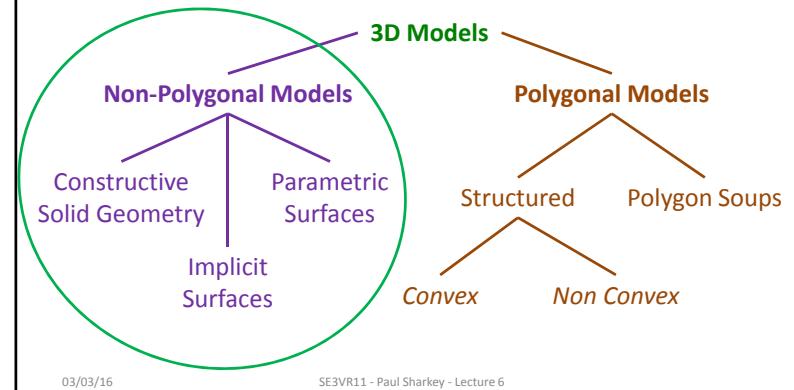
[p.m.sharkey@reading.ac.uk](mailto:p.m.sharkey@reading.ac.uk)



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## Representation

There are lots of methods of representing a surface and each has advantages/disadvantages



## Non-Polygonal Models – Implicit Surfaces

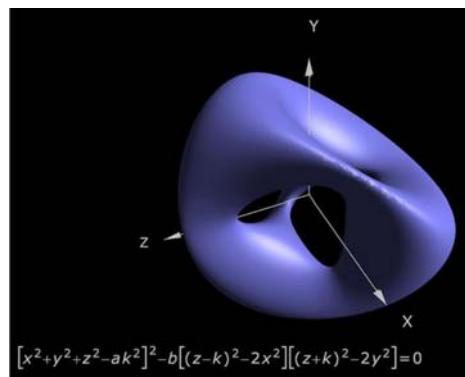
- Non-polygonal techniques result in smoother, less memory consuming shape representations
- In general distance and intersection computation is much more difficult
- An implicit surface is defined by the locus of points at  $f(x,y,z)=0$  for a function which is smooth and has a non zero gradient at any point
- i.e. a sphere is a function in x, y and z such that:  $(x-a)^2+(y-b)^2+(z-c)^2-r^2=0$
- If, when you input a coordinate in 3D space into the above equation the result is negative then it's inside the sphere, if it's positive then it lies outside
- If an implicit surface is defined by a low degree polynomial then collision detection is often simple. Higher degree functions are much more complicated

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## Non-Polygonal Models – Implicit Surfaces

- Implicit Surface Example



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## Non-Polygonal Models – Parametric Surfaces

- Mapping a 2D planar shape into 3D coordinates forms a parametric surface
- A parametric surface need not be a topological or closed surface – unlike implicit surfaces
- Generally used to define a surface boundary rather than a complete object
- Hence, parametric objects are usually made up of many surface 'patches'
- A specific class known as Non-Uniform Rational B-Splines (NURBS) are widely used
- Finding the intersection between two parametric curves is not trivial and usually only known to a finite level of precision based on the processing time available
- Often not suitable for real-time simulation and often tessellated into polygons before performing collision detection

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## Non-Polygonal Models – Parametric Surfaces

- Parametric Surface Example



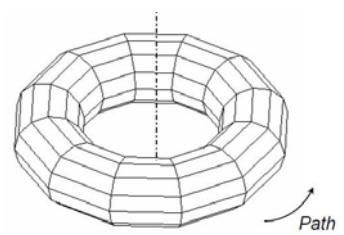
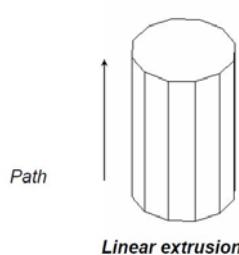
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## Solid Modelling

### Extrusion

- Extrusion
  - Useful representation in order to build object with rotational or translational symmetries and others
  - Objects are defined with a 2D primitive and a path in 3D space



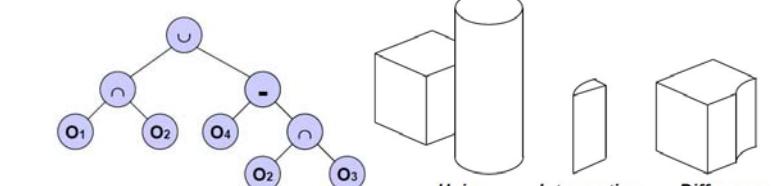
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## Solid Modelling

### Constructive Solid Geometry (CGS)

- A new solid is obtained by applying union, intersection and difference operations over two initial solids
- There is an initial set of primitives (blocks, cones, cylinders, spheres, revolution surfaces, ...)
- Objects designed with this method are represented with a binary tree



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## Solid Modelling

### Constructive Solid Geometry (CGS)

- Copies or “instances” of primitive shapes are created
  - Instances can be scaled, rotated, reflected, skewed
- A complete solid model is generated by combining “instances” using set specific, Boolean logic operations
- The Valid Set of Logical Operations include
  - Union
  - Intersection
  - Difference
- The resulting object can be further scaled, reflected, etc
- Or stored as a new primitive

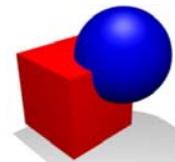
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## Solid Modelling

### Constructive Solid Geometry (CGS)

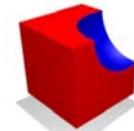
- Union ( $\cup$ ) ... Intersection ( $\cap$ ) ... and Difference ( $-$ )



Union

 $\cup$   
Logical OR


Intersection

 $\cap$   
Logical AND


Difference

 $-$   
Logical NOT

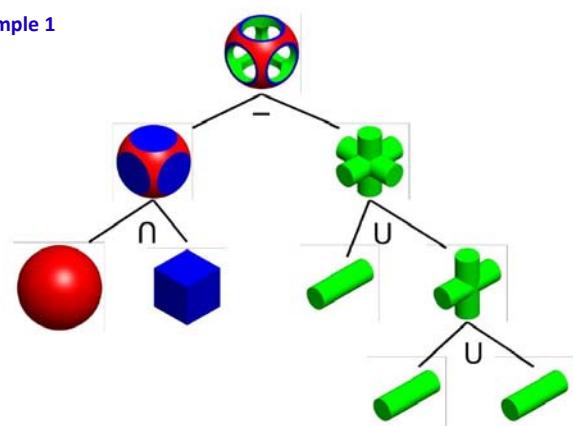
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## Solid Modelling

### Constructive Solid Geometry (CGS)

- Example 1



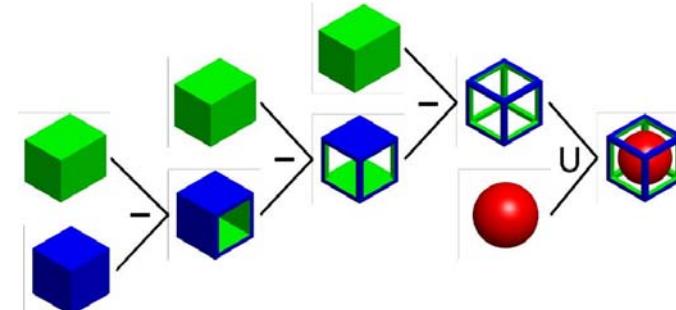
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## Solid Modelling

### Constructive Solid Geometry (CGS)

- Example 2



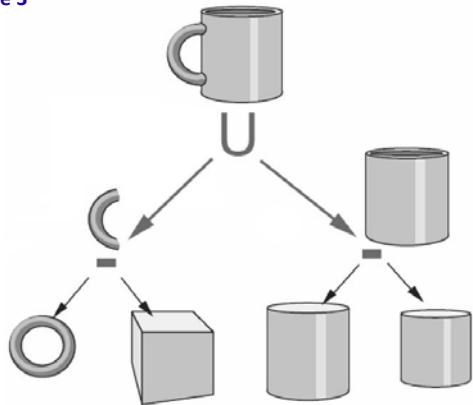
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## Solid Modelling

### *Constructive Solid Geometry (CSG)*

- Example 3



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## Solid Modelling

### *Applications of Constructive Solid Geometry (CSG)*

- Constructive solid geometry has a number of practical uses:
  - Where simple geometric objects are desired
  - Where mathematical accuracy is important
  - Many graphics engines, such as the Unreal engine, Hammer and Torque Game Engine use CSG
  - There are also libraries that add CSG to Unity
- CSG is popular because a modeller can use a set of relatively simple objects to create very complicated geometry
- One of the advantages of CSG is that you can easily assure that objects are "solid" or water-tight if all of the primitive shapes are water-tight, which can be important for some manufacturing or engineering computation applications

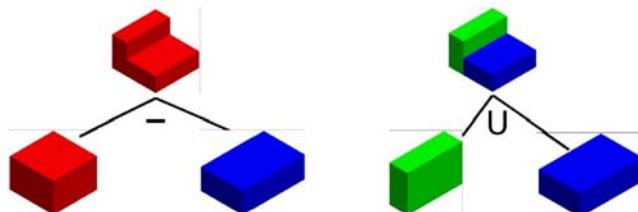
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## Solid Modelling

### *CGS Workflow*

- More than one procedure can be used to arrive at the same geometry



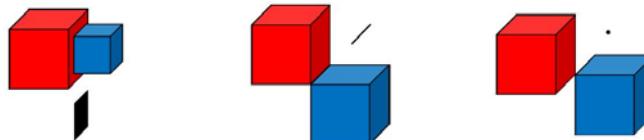
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## Solid Modelling

### *CGS Hazards*

- Boolean operation:
  - Are intuitive to the user
  - Are easy to use and understand
  - Provide for the rapid manipulation of large amounts of data
- Because of this, many non-CSG systems also use Boolean operations!
- When using Boolean operations, be careful to avoid situations that do not result in a valid solid object, e.g.



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## Solid Modelling

### CGS Disadvantages

- Only Boolean operations are allowed in the modeling process
- With Boolean operation alone, the range of shapes to be modeled is severely restricted
- It is not possible to construct unusual shapes
- Requires a great deal of computation to derive information on boundary's, faces and edges which is important for interactive display and manipulation of solid objects

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## Solid Modelling

### CGS Example Code

- PovRay – natively uses CSG to create models from code representations
- Example:

```
cylinder { <0,-4,0> <0,4,0> 0.2 pigment { Yellow } }
difference {
  box { <-1.5,-0.5,-0.5> <1.5,0.5,0> 0.1 }
  cylinder { <-0.8,0,-3> <-0.8,0,3> 0.1 }
  cylinder { <0.8,0,-3> <0.8,0,3> 0.1 }
  pigment { Red }
}
```

- PovRay – Persistence of Vision Raytracer – [www.povray.com](http://www.povray.com)
  - Freeware multi-platform ray-tracing package

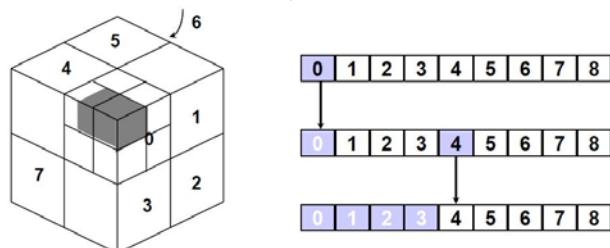
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## Solid Modelling

### Spatial Numbering (Octrees)/Voxel Modelling

- Hierarchical tree structure, in which each node is a region of 3D space
- 3D space regions are divided in octants (cubes), 8 elements are stored in each node of the tree
- Nodes can be of 3 types: white (out the object); black (inside the object)
  - grays (neither inside nor outside)
- Individual elements of the 3D space are called voxels



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## Components for 3D Graphics

### Next Topics

- Representation
  - Surfaces/Solids/Voxels
- Scene Geometry
- Camera Models
  - Projective & Perspective Geometry
  - Ray Tracing
  - Shading
- (Distributed VR)
  - (Perception Filters)

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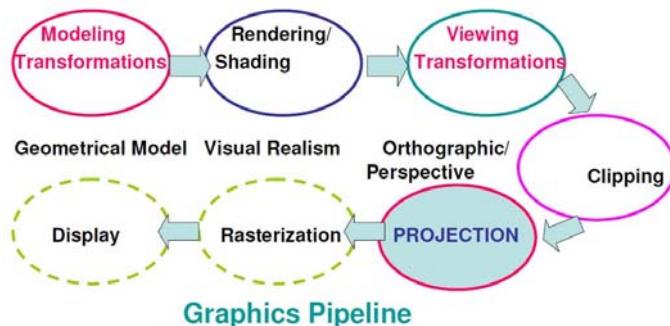
## 3D Viewing and Projective Geometry

- Our eyes collapse 3-D world to 2-D retinal image
  - The brain then has to reconstruct 3D
- In the VR modelling engine, this process occurs by projection
- Projection has two parts:
  - Viewing transformations:
    - camera position and direction
  - Perspective/orthographic transformation:
    - reduces 3-D to 2-D
- Each use homogeneous transformations

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## Projective Geometry *As part of the Graphics Pipeline*



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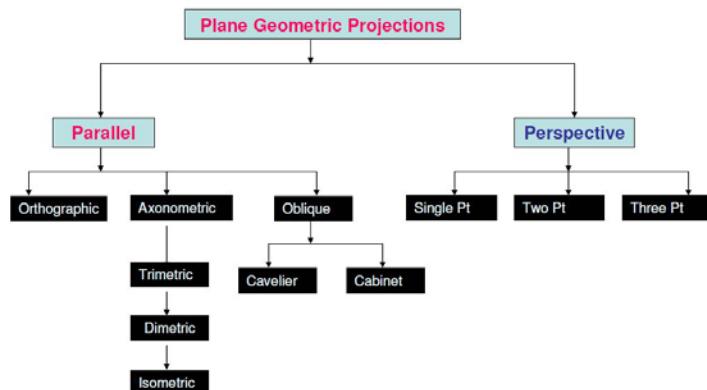
## Projective Geometry

- Projections come in many forms
- Can be generally grouped into two types:
  - Affine or Perspective Projections
- Both affine and perspective transformations are 3-dimensional
- They are transformations from one 3-D space to another
- Viewing 3D transformations (results) on a 2-Dimensional surface(screen) requires projections from 3-Space to 2-Space
- This is known as plane geometric projection

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## Projective Geometry *Projections Classifications*



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## Projective Geometry

### Parallel Projections

- Parallel projection discards the z-coordinate
- Parallel lines from each vertex on the object are extended until they intersect the view plane
- A direction of projection is specified instead of center of projection
- The distance from the center of projection to project plane is infinite

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## Projective Geometry

### Parallel Projections

- The projected vertices are connected by line segments which correspond to connections on the original object
- Parallel projections are less realistic, but they are good for exact measurements
- In this type of projections, parallel lines remain parallel and angles are not preserved
- Such projections are also termed affine projections

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## Projective Geometry

### Orthographic Projections

- In orthographic projection the direction of projection is normal to the projection of the plane
- There are three types of orthographic projections
  - Front Projection
  - Top Projection
  - Side Projection

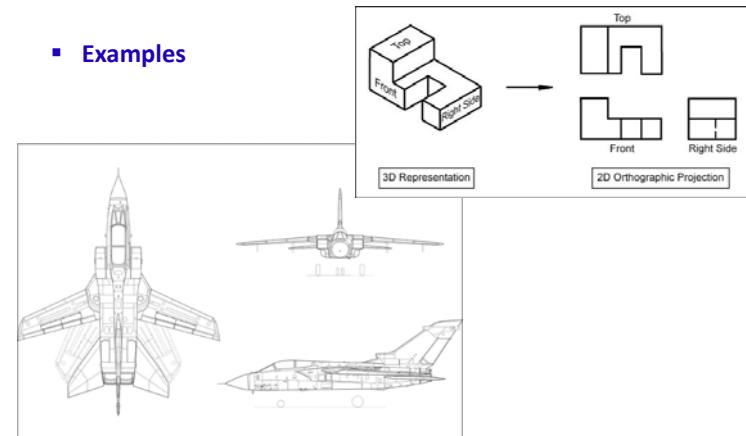
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## Projective Geometry

### Orthographic Projections

- Examples



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## Projective Geometry

### Anoxometric Projections

- Orthographic projections that show more than one side of an object are called **axonometric orthographic projections**
- The most common axonometric projection is an **isometric projection** where the projection plane intersects each coordinate axis in the model coordinate system at an equal distance
- In this projection parallelism of lines are preserved but angles are not preserved

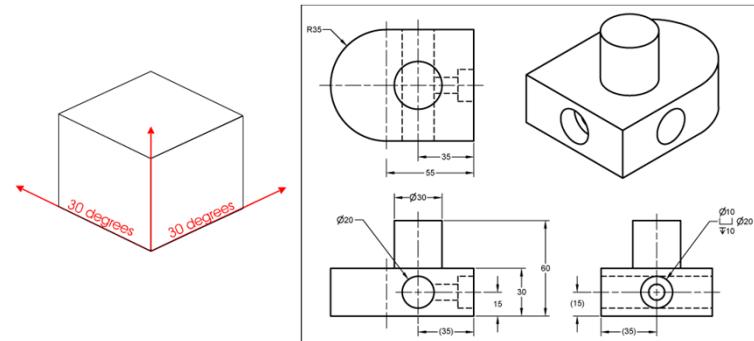
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## Projective Geometry

### Anoxometric Projections

- Examples – isometric projection



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## Projective Geometry

### Oblique Projections

- In **oblique projection** the direction of projection is not normal to the projection of the plane (it is oblique)
- We can view the object better than orthographic projection
- Two types of oblique projections – **Cavalier** and **Cabinet**
- Cavalier projection** makes  $45^\circ$  angle with the projection plane
  - Lines perpendicular to the view plane have the same length as the line itself. The foreshortening factors for all three principal directions are equal.
- Cabinet projection** makes  $63.4^\circ$  angle with the projection plane
  - Lines perpendicular to the viewing surface are projected at  $\frac{1}{2}$  their actual length

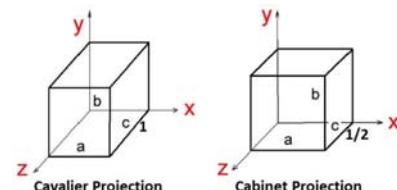
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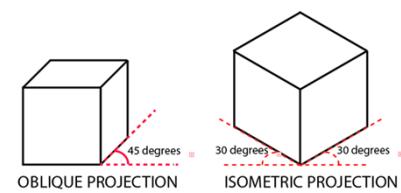
## Projective Geometry

### Oblique Projections

- Example –  
**Cavalier vs Cavalier**



- Example –  
**Oblique vs Isometric**



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## Projective Geometry

### *Perspective Projections*

- In perspective projection the distance from the center of projection to project plane is finite and the size of the object varies inversely with distance which looks more realistic
- The distance and angles are not preserved and parallel lines do not remain parallel
- They all converge at a single point called center of projection
- There are 3 types of perspective projections
  - One point perspective projection is simple to draw
  - Two point perspective projection gives better impression of depth
  - Three point perspective projection is most difficult to draw

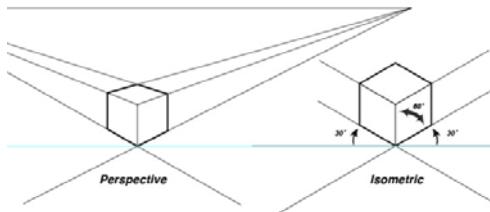
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## Projective Geometry

### *Perspective Projections*

- Perspective vs Isometric



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## SE3VR11 : Virtual Reality

Professor Paul Sharkey

[p.m.sharkey@reading.ac.uk](mailto:p.m.sharkey@reading.ac.uk)



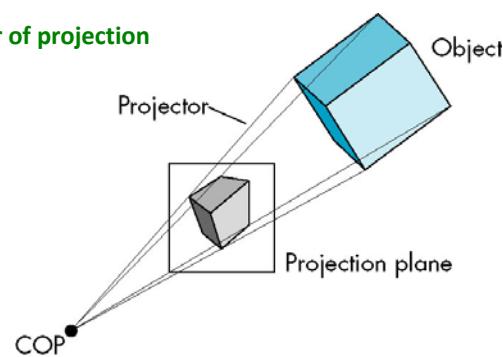
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## Projective Geometry

### *Perspective Projections*

- The center of projection



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## Projective Geometry

### *Perspective Projections*

- In perspective projection the distance from the center of projection to projection plane is finite and the size of the object varies inversely with distance which looks more realistic
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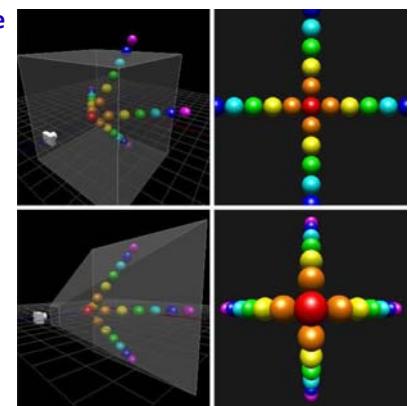
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## Projective Geometry

### *Perspective Projections*

- Images on left: a 3D scene with view volumes
- Images on the right: projections onto a view plane
- Images on the top: parallel projection
- Images on the bottom: perspective projection



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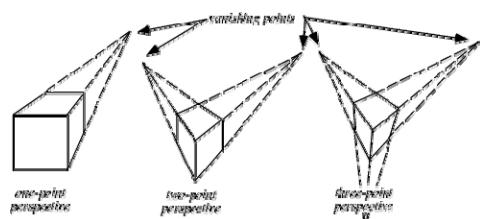
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## Projective Geometry

### Perspective Projections

- The 3 types of perspective projections

- One point perspective projection is simple to draw
- Two point perspective projection gives better impression of depth
- Three point perspective projection is most difficult to draw



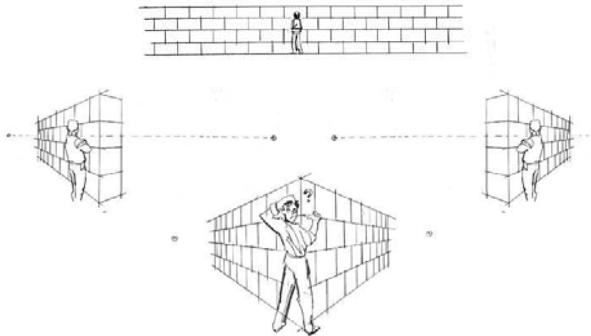
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## Projective Geometry

### Perspective Projections

- The comic book “5-point perspective”



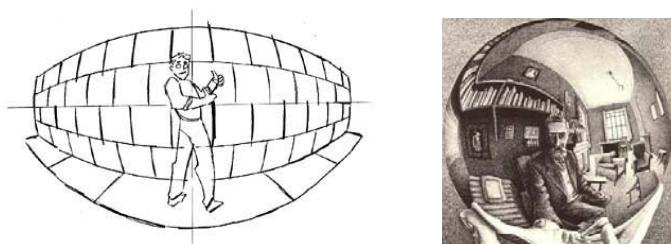
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## Projective Geometry

### Perspective Projections

- The comic book “5-point perspective”



- AKA Curvilinear Perspective
- Is this a realistic presentation?

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## Projective Geometry

### Perspective Projections

- Is 1-point perspective the only true perspective?



- Vanishing points are related to eye direction and change as the eye changes the view direction
- So difficult to argue for even 2-point as a “natural perspective”

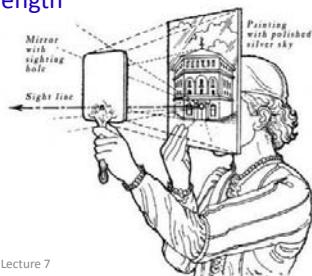
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## Projective Geometry

### Perspective Projections

- For the rendering of objects in 3D space, a planar view has to be generated
- 3D space is projected onto a 2D plane considering external and internal camera parameters
  - position, orientation, focal length



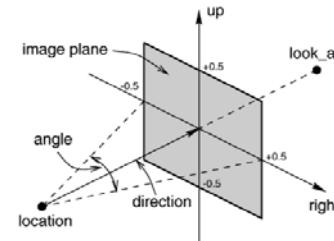
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## Projective Geometry

### Perspective Projections

- To generate a realistic perspective viewpoint, we need to develop the mathematical tools to do so
- In homogeneous notation, 3D projections can be represented with a  $4 \times 4$  transformation matrix



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## Projective Geometry

### 2D Homogenous coordinates

- To understand 3D perspective transforms and projections let us first consider 2D homogenous coordinates
- A 2D point  $(x, y)$  can be manipulated in **translation** and **rotation** (reflection, skew, etc) using  $3 \times 3$  homogenous transformation matrices as

$$\mathbf{p} = \begin{bmatrix} x \\ y \end{bmatrix} \Rightarrow \mathbf{p}_1 = \begin{bmatrix} m_{11} & m_{12} & t_x \\ m_{21} & m_{22} & t_y \\ 0 & 0 & 1 \end{bmatrix} \begin{bmatrix} x \\ y \\ 1 \end{bmatrix} = \begin{bmatrix} x_1 \\ y_1 \\ 1 \end{bmatrix}$$

- This is a 2D version of the 3D case in lectures 4, 5

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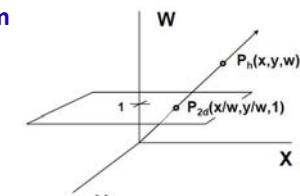
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## Projective Geometry

### 2D Homogenous coordinates

- In homogenous coordinate system the point is represented in a **generalised form**

$$\mathbf{p}_h = \begin{bmatrix} x \\ y \\ w \end{bmatrix}$$



- The 2D planar point is recovered by dividing all terms by the scalar  $w$

$$\mathbf{p}_{2D} = \begin{bmatrix} x/w \\ y/w \\ 1 \end{bmatrix}$$

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## Projective Geometry

### 2D Homogenous coordinates

- In homogenous coordinate system a generalised transformation matrix can then be used

$$\mathbf{p} = \begin{bmatrix} x \\ y \end{bmatrix} \Rightarrow \mathbf{p}_1 = \begin{bmatrix} m_{11} & m_{12} & t_x \\ m_{21} & m_{22} & t_y \\ \rho_x & \rho_y & 1 \end{bmatrix} \begin{bmatrix} x \\ y \\ 1 \end{bmatrix} = \begin{bmatrix} x_1 \\ y_1 \\ w_1 \end{bmatrix}$$

- The two parameters on the bottom row allow for perspective transformation

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## Projective Geometry

### 2D Homogenous coordinates

- Finally, the last value (1, bottom right) can be generalised as  $s$  to allow global scaling
- The most general homogenous transform is then

$$\mathbf{p}_1 = \begin{bmatrix} m_{11} & m_{12} & t_x \\ m_{21} & m_{22} & t_y \\ \rho_x & \rho_y & s \end{bmatrix} \begin{bmatrix} x \\ y \\ 1 \end{bmatrix} = \begin{bmatrix} x_1 \\ y_1 \\ w_1 \end{bmatrix}$$

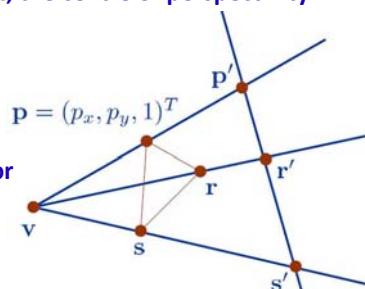
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## Projective Geometry

### 2D Homogenous coordinates

- Consider a point  $p$  being mapped onto a line  $l$  from a viewpoint  $v$  as shown in the diagram
- the point  $v$  is the viewpoint, the centre of perspectivity
- the line  $l$  is the viewline
- the line through  $p$  and  $v$  is the projector
- the point  $p'$  is the intersection of the projector with the line  $l$
- the viewpoint is not on the viewline and  $v \neq p$



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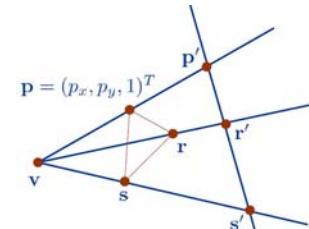
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## Projective Geometry

### 2D Homogenous coordinates

- the point  $v$  is given as

$$\mathbf{v} = (v_x, v_y, v_w)^T$$



- the line  $l$  can be represented as

$$\mathbf{l} = \{ax + by + c = 0\} = (a, b, c)^T$$

- Then the 2D projection matrix can be defined as

$$\mathbf{T} = \mathbf{v} \mathbf{l}^T - (\mathbf{l} \cdot \mathbf{v}) \mathbf{I}_3$$

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### Projective Geometry 2D Homogenous coordinates

- Example

$\mathbf{v} = (d, 0, 1)^T$

$\mathbf{I} = \{x = 0\} = \{1x + 0y + 0 = 0\} = (1, 0, 0)^T$

Then the 2D projection matrix can be defined as

$$\mathbf{T} = \begin{bmatrix} d \\ 0 \\ 1 \end{bmatrix} \begin{bmatrix} 1 & 0 & 0 \end{bmatrix} - \left( \begin{bmatrix} 1 & 0 & 0 \end{bmatrix} \cdot \begin{bmatrix} d \\ 0 \\ 1 \end{bmatrix} \right) \mathbf{I}_3 = \begin{bmatrix} 0 & 0 & 0 \\ 0 & -d & 0 \\ 1 & 0 & -d \end{bmatrix}$$

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### Projective Geometry 2D Homogenous coordinates

- With  $d = -1$

the point  $\mathbf{p} = (1, 2)^T$  is mapped to  $\mathbf{p}' = (0, 1)^T$  as follows:

$$\mathbf{Tp} = \begin{bmatrix} 0 & 0 & 0 \\ 0 & -d & 0 \\ 1 & 0 & -d \end{bmatrix} \mathbf{p} = \begin{bmatrix} 0 & 0 & 0 \\ 0 & -1 & 0 \\ 1 & 0 & -1 \end{bmatrix} \begin{bmatrix} 1 \\ 2 \\ 1 \end{bmatrix} = \begin{bmatrix} 0 \\ 2 \\ 1 \end{bmatrix} \approx \begin{bmatrix} 0 \\ 1 \\ 1 \end{bmatrix}$$

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### Projective Geometry 2D Homogenous coordinates

- The point  $\mathbf{p}$  can be written as

$$\mathbf{p} = \begin{bmatrix} x \\ y \\ w \end{bmatrix} \text{ or } \mathbf{p} = \begin{bmatrix} x' \\ y' \\ 1 \end{bmatrix} \text{ or } \mathbf{p} = k \begin{bmatrix} x \\ y \\ w \end{bmatrix}$$

where  $k$  can be any constant

- Therefore the transform  $k\mathbf{T}$  represents the same transform as  $\mathbf{T}$  because

$$\mathbf{Tp} = \mathbf{T}(k\mathbf{p}) = k\mathbf{Tp} \Rightarrow \mathbf{T} \equiv k\mathbf{T}$$

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### Projective Geometry 2D Homogenous coordinates

With  $k = \frac{-1}{d}$

the 2D projection matrix simplifies to

$$\mathbf{T} = \begin{bmatrix} 0 & 0 & 0 \\ 0 & -d & 0 \\ 1 & 0 & -d \end{bmatrix} \Leftrightarrow \mathbf{T} = \begin{bmatrix} 0 & 0 & 0 \\ 0 & 1 & 0 \\ \frac{-1}{d} & 0 & 1 \end{bmatrix}$$

(As  $d$  tends to infinity this becomes a parallel projection onto the  $x = 0$  line)

$$\mathbf{T} = \begin{bmatrix} 0 & 0 & 0 \\ 0 & 1 & 0 \\ 0 & 0 & 1 \end{bmatrix}$$

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### Projective Geometry

#### 2D Homogenous coordinates

Compare  $\mathbf{T} = \begin{bmatrix} 0 & 0 & 0 \\ 0 & 1 & 0 \\ \frac{-1}{d} & 0 & 1 \end{bmatrix}$  with the general form  $\mathbf{T} = \begin{bmatrix} m_{11} & m_{12} & t_x \\ m_{21} & m_{22} & t_y \\ \rho_x & \rho_y & s \end{bmatrix}$

Clearly the perspective transform parameters are related to the centre of projection, being the (negative) inverse of the COP point coordinates

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### Projective Geometry

#### 2D Homogenous coordinates

“Perspective Transform” or “2D Projection matrix”

- Why use different terms?
  - “2D Projection matrix” (from above)
  - “Perspective Transform”

$\mathbf{T} = \begin{bmatrix} 0 & 0 & 0 \\ 0 & 1 & 0 \\ \frac{-1}{d} & 0 & 1 \end{bmatrix}$

$\mathbf{T} = \begin{bmatrix} 1 & 0 & 0 \\ 0 & 1 & 0 \\ \frac{-1}{d} & 0 & 1 \end{bmatrix}$

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### Projective Geometry

#### 2D Homogenous coordinates

“Perspective Transform” or “2D Projection matrix”

$\mathbf{T} = \begin{bmatrix} 1 & 0 & 0 \\ 0 & 1 & 0 \\ \frac{-1}{d} & 0 & 1 \end{bmatrix}$

$\mathbf{T} = \begin{bmatrix} 0 & 0 & 0 \\ 0 & 1 & 0 \\ \frac{-1}{d} & 0 & 1 \end{bmatrix}$

- The perspective transform (left) will transform the 2D space into another 2D space – there is no loss of information
- The 2D projection (right) projects 2D space onto a 1D line so depth information is lost

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### Projective Geometry

#### 3D Homogenous coordinates

- For the 3D case, instead of a viewline, we need to consider a viewplane
- Everything is calculated in the same way and a  $4 \times 4$  transform results

$\mathbf{p} = (p_x, p_y, p_z, 1)^T$

$\mathbf{v} = (v_x, v_y, v_z, v_w)^T$

$\mathbf{n} = \{ax + by + cz + d = 0\} = (a, b, c, d)^T$

$\mathbf{T} = \mathbf{v}\mathbf{n}^T - (\mathbf{n} \cdot \mathbf{v})\mathbf{I}_4$

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## Projective Geometry

### 3D Homogenous coordinates

- The most general homogenous transform for 3D case is

$$\mathbf{p}_1 = \begin{bmatrix} m_{11} & m_{12} & m_{13} & t_x \\ m_{21} & m_{22} & m_{23} & t_y \\ m_{31} & m_{32} & m_{33} & t_z \\ \rho_x & \rho_y & \rho_z & s \end{bmatrix} \begin{bmatrix} x \\ y \\ z \\ w \end{bmatrix} = \begin{bmatrix} x_1 \\ y_1 \\ z_1 \\ w_1 \end{bmatrix}$$

- Top left 3x3: rotation, shear, reflection, scaling
- Top right 3x1: translation
- Bottom left 1x3: perspective
- Top right 1x1: global scaling

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## Projective Geometry

### 3D Homogenous coordinates

- We can now use this transformation matrix to transform the 3D virtual world model into a (3D) perspective view from any viewpoint coordinates

$$\mathbf{T} = \begin{bmatrix} 1 & 0 & 0 & 0 \\ 0 & 1 & 0 & 0 \\ 0 & 0 & 1 & 0 \\ \rho_x & \rho_y & \rho_z & 1 \end{bmatrix}$$

where the centre of projection is  $\mathbf{p}_{COP} = \begin{bmatrix} -1/\rho_x \\ -1/\rho_y \\ -1/\rho_z \end{bmatrix}$

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## Projective Geometry

### 3D Homogenous coordinates

- It is usual to treat the camera axis as the z axis
- Hence the centre of projection is  $COP = (0, 0, d_z)$
- The perspective projection is onto the  $z = 0$  plane
- The final 3D $\rightarrow$ 2D projection matrix takes the form

$$\mathbf{T}_{projection} = \begin{bmatrix} 1 & 0 & 0 & 0 \\ 0 & 1 & 0 & 0 \\ 0 & 0 & 0 & 0 \\ 0 & 0 & \rho_z & 1 \end{bmatrix} \quad \rho_z = -\frac{1}{d_z}$$

- $d_z$  can also be the focal length of the camera lens

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## Projective Geometry

### 3D Homogenous coordinates

- To find the inverse of a perspective transform, simply negate the COP coordinates

$$\mathbf{T} = \begin{bmatrix} 1 & 0 & 0 & 0 \\ 0 & 1 & 0 & 0 \\ 0 & 0 & 1 & 0 \\ 0 & 0 & \rho_z & 1 \end{bmatrix} \quad \mathbf{T}^{-1} = \begin{bmatrix} 1 & 0 & 0 & 0 \\ 0 & 1 & 0 & 0 \\ 0 & 0 & 1 & 0 \\ 0 & 0 & -\rho_z & 1 \end{bmatrix}$$

- This will be useful later
- Note that this is the inverse of the transform and not the projection
- The inverse of a projection matrix is meaningless as all information on depth has been lost

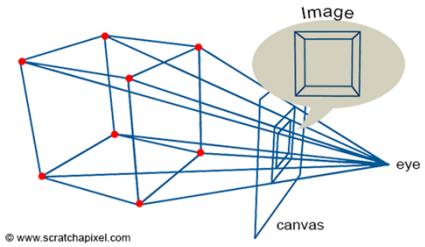
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## Projective Geometry

### *Visualising 3D Perspective Transforms and Projections*

- **Visualising 3D Perspective Transforms and Projections**



**(this is a projection) – more next week**

## SE3VR11 : Virtual Reality

Professor Paul Sharkey

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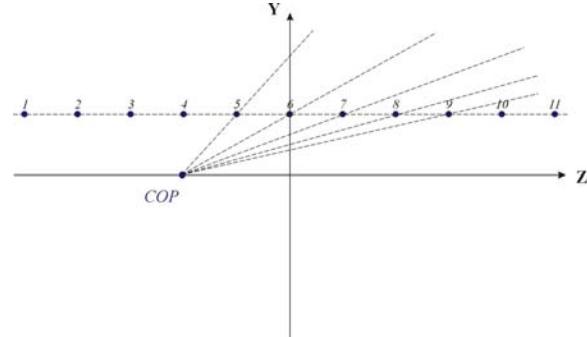


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## Projective Geometry

*Visualising 3D Perspective Transforms and Projections*

- Straight line



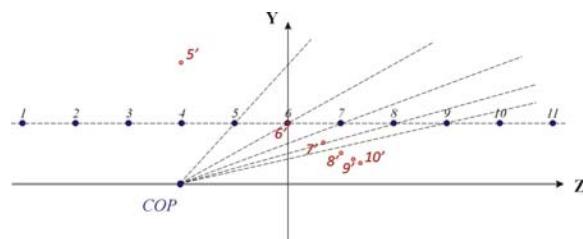
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## Projective Geometry

*Visualising 3D Perspective Transforms and Projections*

- Straight line



- the red points are correctly placed – but obviously do not match those projector lines
- they do, however, appear to be co-linear in themselves

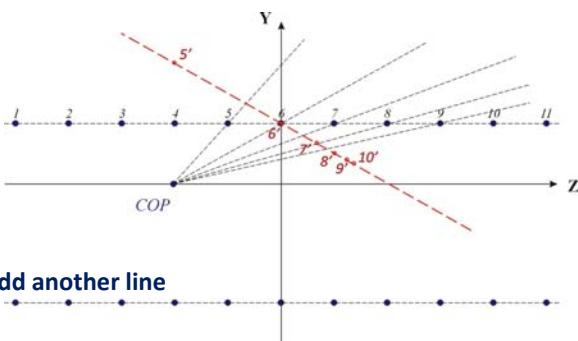
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## Projective Geometry

*Visualising 3D Perspective Transforms and Projections*

- Straight line



- Add another line

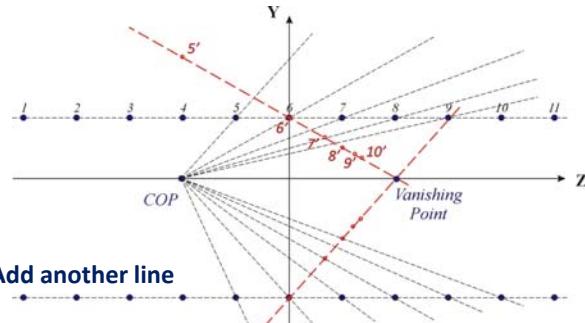
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## Projective Geometry

### Visualising 3D Perspective Transforms and Projections

- Straight line



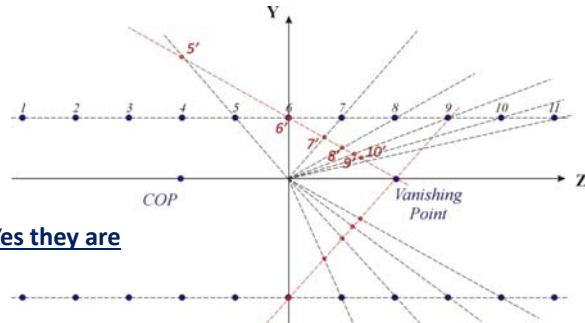
- Add another line

- There is clearly convergence – at the vanishing point
- The vanishing point and the COP are equidistant from the origin

## Projective Geometry

### Visualising 3D Perspective Transforms and Projections

- Are points  $p$  and  $p'$  geometrically related ?



- Yes they are

- The vanishing point represents points at infinity

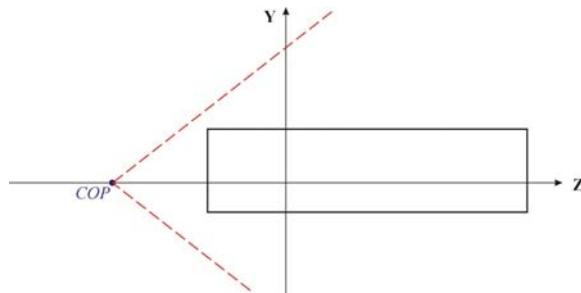
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## Projective Geometry

### Visualising 3D Perspective Transforms and Projections

- Consider a Real World with Camera defined at COP and a single object (a cuboid) within
- The camera has a defined field of view



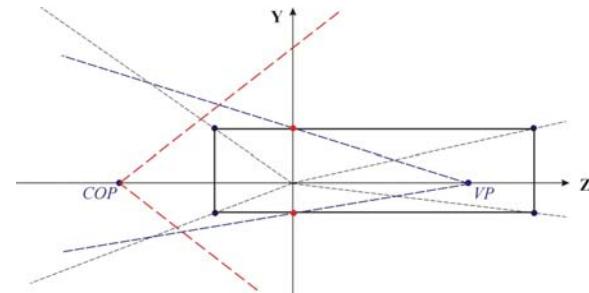
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## Projective Geometry

### Visualising 3D Perspective Transforms and Projections

- The Vanishing Point and points of interest on the object are found
- Radial lines constructed



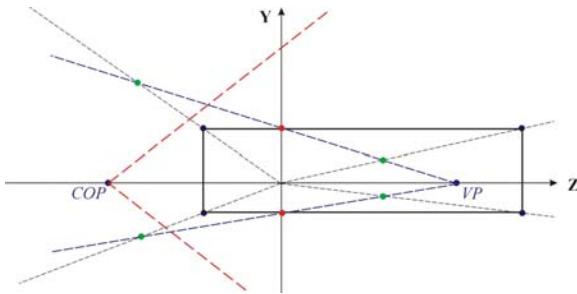
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## Projective Geometry

### Visualising 3D Perspective Transforms and Projections

- Now corresponding corners can be found in the perspective view at the intersection of the radial lines
- And the perspective view of the shape can be determined



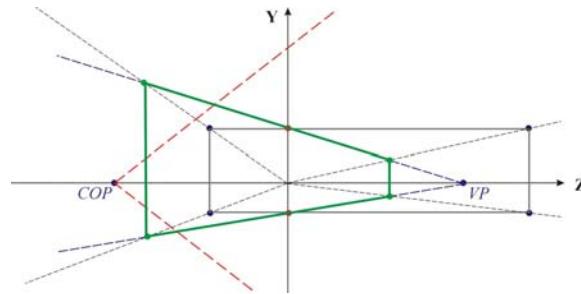
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## Projective Geometry

### Visualising 3D Perspective Transforms and Projections

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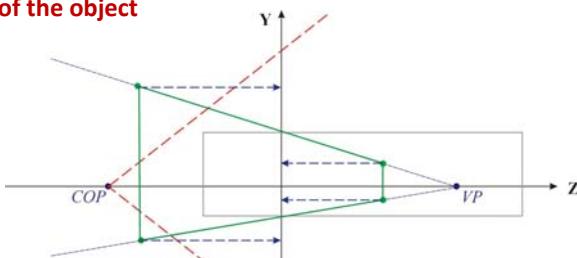
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## Projective Geometry

### Visualising 3D Perspective Transforms and Projections

- A 2D Projected view can be found by projecting the perspective view back onto the  $z = 0$  plane
- All being well, this should correspond to the camera view of the object



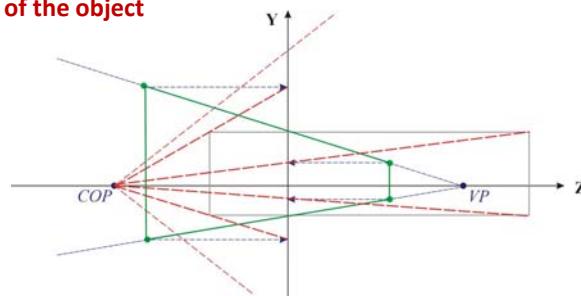
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## Projective Geometry

### Visualising 3D Perspective Transforms and Projections

- A 2D Projected view can be found by projecting the perspective view back onto the  $z = 0$  plane
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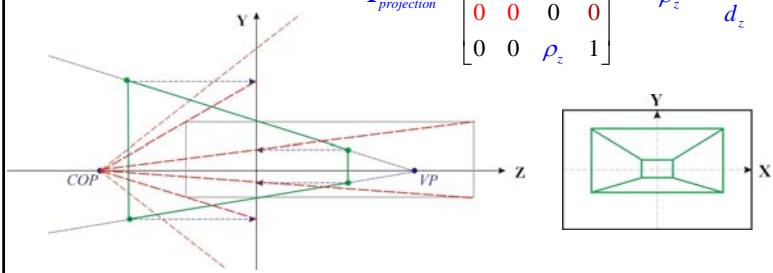
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## Projective Geometry

### Visualising 3D Perspective Transforms and Projections

- The camera view is shown on the right
- All of that can be achieved by applying the projection matrix to the 8 corners of the object

$$T_{\text{projection}} = \begin{bmatrix} 1 & 0 & 0 & 0 \\ 0 & 1 & 0 & 0 \\ 0 & 0 & 0 & 0 \\ 0 & 0 & \rho_z & 1 \end{bmatrix} \quad \rho_z = -\frac{1}{d_z}$$



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## Projective Geometry

### Visualising 3D Perspective Transforms and Projections

- The previous 3D object is defined as

$$OBJ = \begin{bmatrix} -40 & -40 & 40 & 40 & -40 & -40 & 40 & 40 \\ -10 & 25 & -10 & 25 & -10 & 25 & -10 & 25 \\ -20 & -20 & -20 & -20 & 50 & 50 & 50 & 50 \\ 1 & 1 & 1 & 1 & 1 & 1 & 1 & 1 \end{bmatrix}$$

- The Perspective (homogenous) view of the object ( $d = -40$ ) is

$$OBJ_{\text{persp}} = \begin{bmatrix} -40 & -40 & 40 & 40 & -40 & -40 & 40 & 40 \\ -10 & 25 & -10 & 25 & -10 & 25 & -10 & 25 \\ -20 & -20 & -20 & -20 & 50 & 50 & 50 & 50 \\ 0.5 & 0.5 & 0.5 & 0.5 & 2.25 & 2.25 & 2.25 & 2.25 \end{bmatrix}$$

i.e.

$$\underbrace{1 + \frac{-20}{40}}_{=0.5} \quad \underbrace{1 + \frac{50}{40}}_{=2.25}$$

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## Projective Geometry

### Visualising 3D Perspective Transforms and Projections

- The Perspective (homogenous) view of the object ( $d = -40$ ) is

$$OBJ_{\text{persp}} = \begin{bmatrix} -40 & -40 & 40 & 40 & -40 & -40 & 40 & 40 \\ -10 & 25 & -10 & 25 & -10 & 25 & -10 & 25 \\ -20 & -20 & -20 & -20 & 50 & 50 & 50 & 50 \\ 0.5 & 0.5 & 0.5 & 0.5 & 2.25 & 2.25 & 2.25 & 2.25 \end{bmatrix}$$

- Equivalently

$$OBJ_{\text{persp}} = \begin{bmatrix} -80 & -80 & 80 & 80 & -17.78 & -17.78 & 17.78 & 17.78 \\ -20 & 50 & -20 & 50 & -4.44 & 11.11 & -4.44 & 11.11 \\ -40 & -40 & -40 & -40 & 22.22 & 22.22 & 22.22 & 22.22 \\ 1 & 1 & 1 & 1 & 1 & 1 & 1 & 1 \end{bmatrix}$$

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## Projective Geometry

### Visualising 3D Perspective Transforms and Projections

- Perspective 3D object

$$OBJ_{\text{persp}} = \begin{bmatrix} -80 & -80 & 80 & 80 & -17.78 & -17.78 & 17.78 & 17.78 \\ -20 & 50 & -20 & 50 & -4.44 & 11.11 & -4.44 & 11.11 \\ -40 & -40 & -40 & -40 & 22.22 & 22.22 & 22.22 & 22.22 \\ 1 & 1 & 1 & 1 & 1 & 1 & 1 & 1 \end{bmatrix}$$

- Projected Perspective View (Screen)

$$OBJ_{\text{persp}} = \begin{bmatrix} -80 & -80 & 80 & 80 & -17.78 & -17.78 & 17.78 & 17.78 \\ -20 & 50 & -20 & 50 & -4.44 & 11.11 & -4.44 & 11.11 \\ 0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 \\ 1 & 1 & 1 & 1 & 1 & 1 & 1 & 1 \end{bmatrix}$$

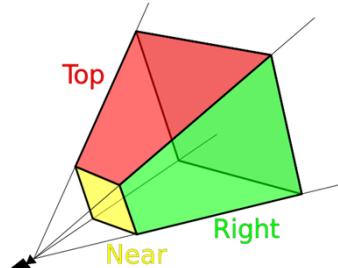
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## Projective Geometry

### Clipping and the View Frustum

- The camera view of a 3D scene is confined to a view frustum
  - A frustum is simply a 3D object truncated by two parallel planes
- A camera has horizontal and vertical fields of view that define a pyramidal shape
- The frustum is found by defining, in addition, a near clipping frame and a far clipping frame



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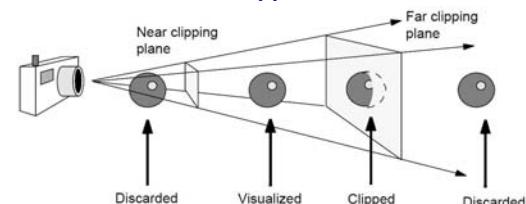
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## Projective Geometry

### Clipping and the View Frustum

#### Why clip?

- Objects that are not within the camera's view do not need to be rendered
- It saves computation to pre-determine these objects and remove them from the list of objects to be processed
- Objects outside the frustum need not be drawn
- Objects that intersect are clipped



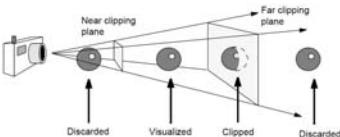
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## Projective Geometry

### Clipping and the View Frustum

#### Why clip near and far planes?

- At the near plane, objects that are too close will obscure the whole model so a preferred interaction is to remove such near objects
- At the far plane, objects are diminished in size (vanishing point effect), and can be obscured by closer scene elements
- Other terms for clipping include frustum culling, occlusion culling, and depth- or "z" clipping
  - z refers to optical direction



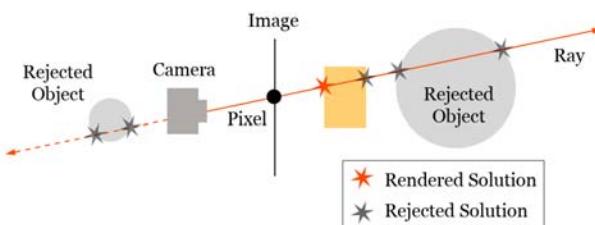
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## Projective Geometry

### Clipping and the View Frustum

#### Occlusion culling



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## Projective Geometry

### Clipping and the View Frustum

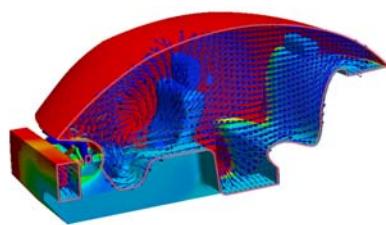
#### Creative clipping

- A clipping plane need not be restricted to the camera parameter
- A general clipping plane can be arbitrarily defined to reveal unusual views of objects and structures



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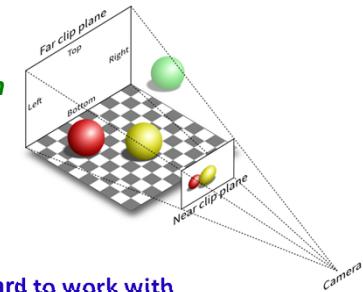


## Projective Geometry

### Clipping and the View Frustum

#### How to clip a view frustum

- A pyramidal frustum is awkward to work with
- Using the perspective transform, the frustum is transformed into a regular cuboid
- As the camera's fields of view and the user defined near and far planes are fixed ... the cuboid so generated as well defined parallel planes in the 3D perspective view
- All objects outside of these delimits can be ignored



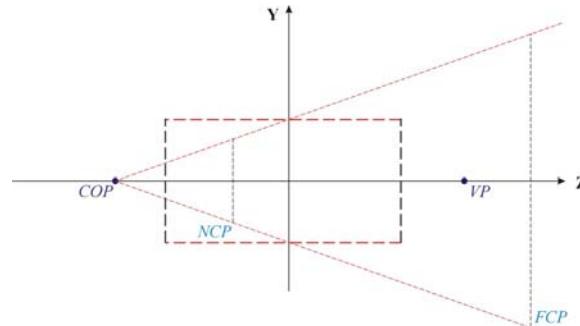
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## Projective Geometry

### Clipping and the View Frustum

- Frustum converts to cuboid under perspective transform



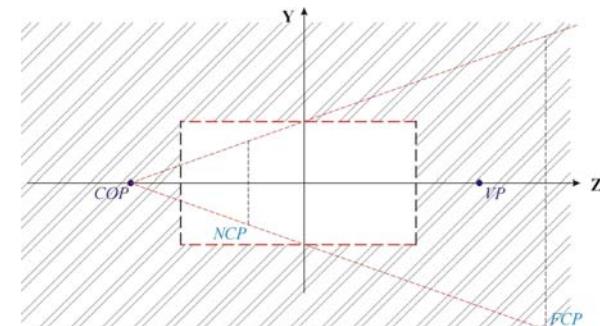
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## Projective Geometry

### Clipping and the View Frustum

- Frustum converts to cuboid under perspective transform



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## Camera/User Motion

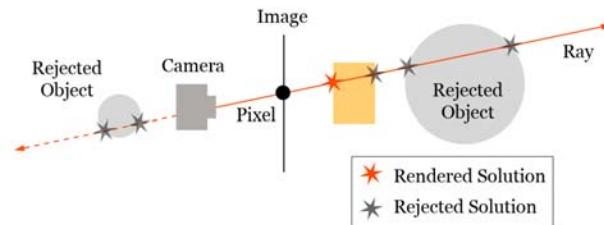
- To achieve this a continuously varying view of the 3D model needs to be “instantaneously” updated as the user moves their viewpoint
- The viewpoint/viewplane can be translated and rotated
- The direction and orientation of the viewpoint needs to be accommodated in the perspective transform
- Rather than move the camera, the 3D model is rotated and translated so that perspective transform is always along the z-axis
- Clearly the use of clipping algorithms optimises this process

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## Ray Casting AKA Ray Tracing

- Ray casting is the use of surface intersection tests to solve a variety of problems in computer graphics or computational geometry
- Here we use it to determine whether or not an object will need to be rendered

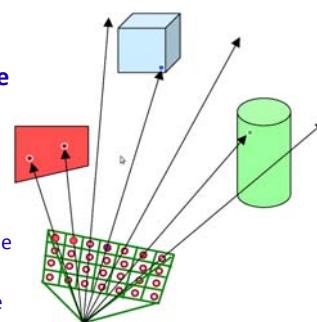


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## Ray Casting AKA Ray Tracing

- The colour of each pixel on the viewing plane depends on the radiance emanating from visible surfaces
- For each sample:
  - Construct a ray from the eye position through the view plane
  - Find first surface intersected by the ray
  - Compute the colour of the sample based on the surface radiance

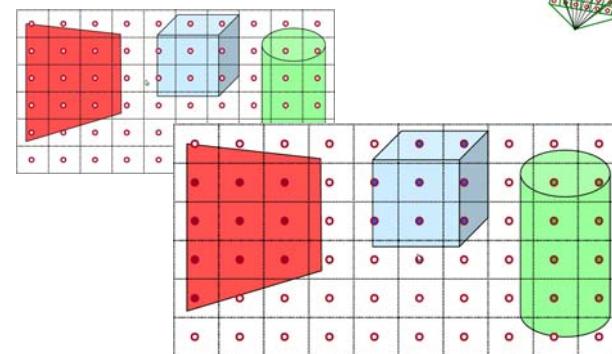


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## Ray Casting AKA Ray Tracing

- The viewplane can be sampled



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## Ray Casting AKA Ray Tracing

- The viewplane can be sampled

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## Ray Casting AKA Ray Tracing

- Different methods of sampling can be applied

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## Bounding Boxes

- Complex objects are expensive to compute collisions on
- Complex objects are expensive to compute collisions on
- Check for intersection with simple shapes first

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## Bounding Boxes

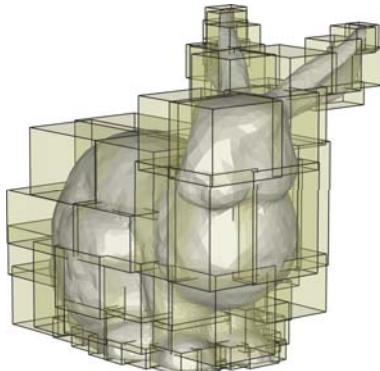
- Bounding “boxes” come in different shapes

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## Bounding Boxes

- Bounding boxes can define sub-elements of objects



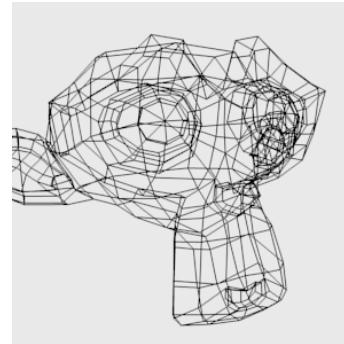
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## Light and Shade

### *Illuminating the Model*

- We can now represent our 3D objects:



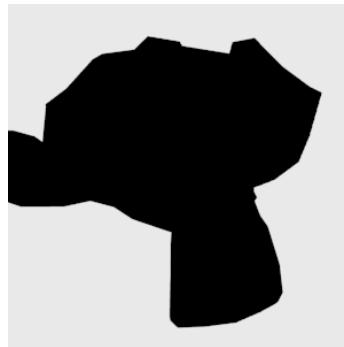
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## Light and Shade

### *Illuminating the Model*

- So far our image looks like this:



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## Light and Shade

### *Illuminating the Model*

- By simulating a light source we are able to change the illumination of the polygons to bring these details back
- To do this we need to derive computer models for:
  - Emission at a light source
  - Scattering at surfaces
  - Reception at the camera
- We need to describe the intensity of the light energy ...
  - leaving a light source
  - arriving at a location/surface
  - from a particular direction
  - with a given wavelength

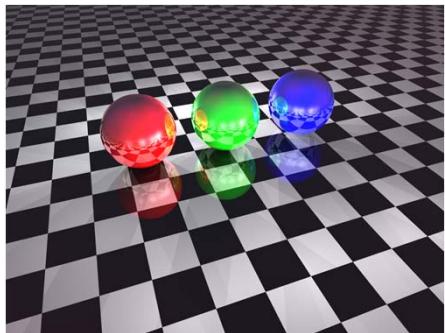
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## Light and Shade

### *Illuminating the Model*

- Ideally we would need to model all light sources radiating off all surfaces



- including reflections ... refractions ... shadows

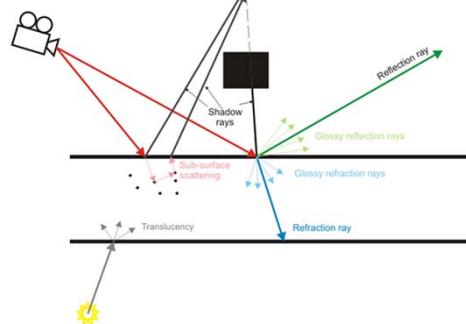
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## Light and Shade

### *Illuminating the Model*

#### General schematic overview



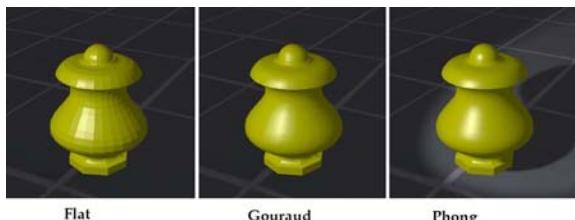
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## Light and Shade

### *Illuminating the Model*

- This requires a huge amount of computational resource
- This is difficult to achieve in practice ("real-time" for VR)
- Simplified models are needed
  - illumination models
  - shading techniques



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## Light Sources

- Most common OpenGL Light Source Models are:
  - Directional Light
  - Point Light
  - Spot Light

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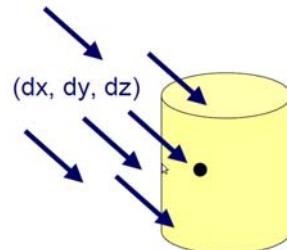
## Light Sources

### Directional Light

- Directional light model is by far the simplest to implement
- Models a light source that is at infinity
- There is no attenuation with distance
- Properties:

- Intensity:  $I$
- Direction:  $(dx; dy; dz)$

$$I_L = I$$



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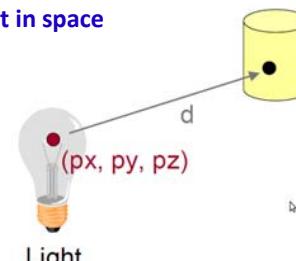
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## Light Sources

### Omni-Directional Point Light

- Omni-Directional point light model is next complex
- Models a light source at a point in space
- Attenuates with distance
- Properties:

- Intensity:  $I$
- Position:  $(px; py; pz)$
- Attenuation over distance
- Constant:  $k_c$
- Linear:  $k_l$
- Quadratic:  $k_q$



$$I_L = \frac{I}{k_c + k_l d + k_q d^2}$$

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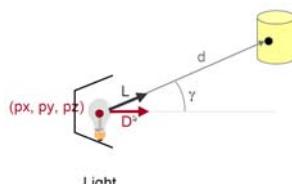
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## Light Sources

### Spot Light

- Spot light directional model is most complex
- Computationally expensive
- Attenuates radially as well as with distance
- Properties:

- Intensity:  $I$
- Position:  $(px; py; pz)$
- Direction:  $D = (dx; dy; dz)$
- Attenuation over distance
- Constant:  $k_c$
- Linear:  $k_l$
- Quadratic:  $k_q$



$$I_L = \frac{I(D,L)}{k_c + k_l d + k_q d^2}$$

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## SE3VR11 : Virtual Reality

Professor Paul Sharkey

[p.m.sharkey@reading.ac.uk](mailto:p.m.sharkey@reading.ac.uk)

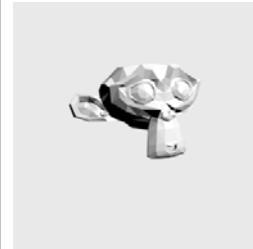


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## Light Sources

### Directional Light

$$I_L = I$$



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### Point Light

$$I_L = \frac{I}{k_c + k_l d + k_q d^2}$$



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### Spot Light

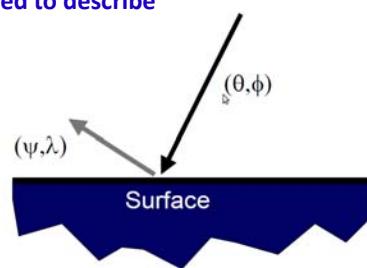
$$I_L = \frac{I(D.L)}{k_c + k_l d + k_q d^2}$$



## Modelling Surface Reflectance

### *Illuminating the Model*

- A mathematical model for computing illumination at a point on a surface is called a Reflectance Model
- The reflectance model is used to describe
  - the amount of incident light energy
  - arriving from a given direction
  - leaving in a new direction
  - with a new wavelength



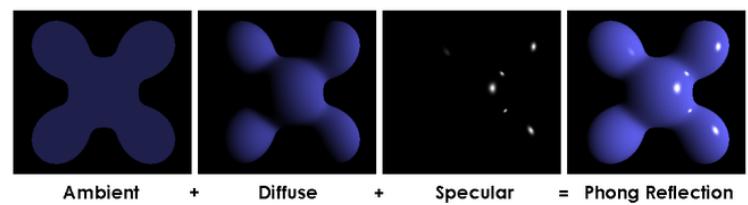
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## Modelling Surface Reflectance

### *OpenGL Reflectance Model – Phong Reflectance Model*

- The OpenGL model is based on a proposal by BT Phong:
  - (Emmissive + )
  - Ambient +
  - Diffuse reflection +
  - Specular reflection = Phong Reflectance



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## Modelling Surface Reflectance

### *OpenGL Reflectance Model – Phong Reflectance Model*

- **Emmissive**

- There are no lights in the scene
- Each polygon is self-luminous
- Emissive lighting can have some interesting effects, such as car headlights or neon signs
- Each polygon has its own colour - constant over its surface
  - Colour is not affected by anything else in the world
  - Colour is not affected by the position or orientation of the polygon in the world
- Very fast to compute, but not very realistic
- Position of viewer is not important
- No 3-D information provided

$$I = I_E$$

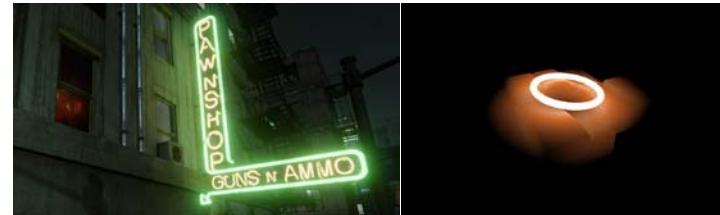
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## Modelling Surface Reflectance

### *OpenGL Reflectance Model – Phong Reflectance Model*

- **Emmissive – Examples**



- **The emissive lighting parts are the objects themselves**  
(not the reflections – see later)

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## Modelling Surface Reflectance

### *OpenGL Reflectance Model – Phong Reflectance Model*

- **Ambient**

- Non-directional light source
  - Simulates light that has been reflected so many times from so many surfaces it appears to come equally from all directions – lacks shadows
- Intensity is constant over polygon's surface
  - Intensity is not affected by anything else in the world (not affected by position or orientation of polygon)
- Position of viewer is not important
- No 3-D information provided
- **$I_A$  is Ambient Light intensity**
- **$K_A$  is object's reflection coefficient**

$$I = I_A K_A$$

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## Modelling Surface Reflectance

### *OpenGL Reflectance Model – Phong Reflectance Model*

- **Ambient – Example**



Accent lighting with Ambient lighting

Only Ambient lighting

(“accent lighting” = directional/point/spot lighting)

Note that the plinth almost disappears without shadows/directional lights

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## Modelling Surface Reflectance

### OpenGL Reflectance Model – Phong Reflectance Model

- Diffuse Reflection

- AKA Lambertian Reflection [JH Lambert, 1760]
  - Can use either a point light or a directional light
- Comes from a specific direction
- Reflects off of dull surfaces
- Light reflected with **equal intensity in all directions**
- Brightness depends on the angle between surface normal (**N**) and the direction to the light source (**L**)
- Position of viewer is not important**

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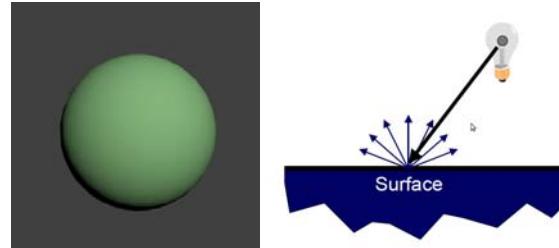
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## Modelling Surface Reflectance

### OpenGL Reflectance Model – Phong Reflectance Model

- Diffuse Reflection

- The surface scatters light equally in all directions



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## Modelling Surface Reflectance

### OpenGL Reflectance Model – Phong Reflectance Model

- Diffuse Reflection Mathematical Model

- $I_p$  is the Point Light Intensity
- $K_d$  is object's diffuse reflection coefficient
- $N$  is normalized surface normal
- $L$  is normalized direction to light source

$$I_d = I_p K_d \cos(\theta) = I_p K_d N \cdot L$$

- The dot product of two unit vectors produces the cosine of the angle between
- Can also add an attenuation of the light over distance

$$I_d = F_{ATT} I_p K_d N \cdot L$$

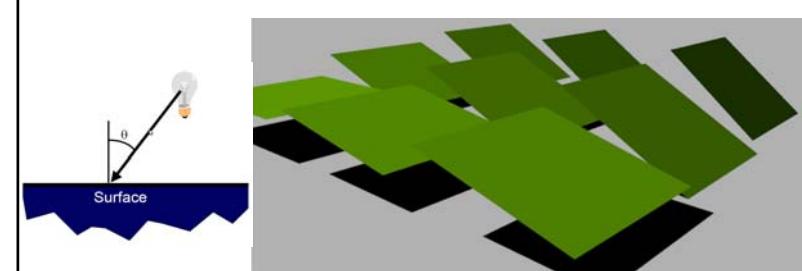
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## Modelling Surface Reflectance

### OpenGL Reflectance Model – Phong Reflectance Model

- Diffuse Reflection Mathematical Model



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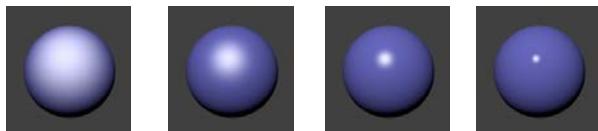
$$I_d = F_{ATT} I_p K_d N \cdot L$$

## Modelling Surface Reflectance

### OpenGL Reflectance Model – Phong Reflectance Model

- **Specular Reflection**

- Reflection off of shiny surfaces – seen as a highlight
- Shiny metal or plastic has high specular component
- Chalk or carpet has very low specular component
- This is called “hardness” in Blender, varies with “n values”



- Position of the viewer IS important in specular reflection

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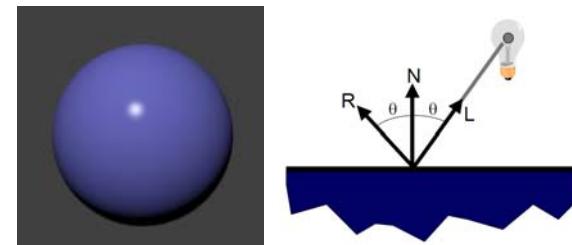
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## Modelling Surface Reflectance

### OpenGL Reflectance Model – Phong Reflectance Model

- **Specular Reflection**

- The specular reflection depends only on the surface normal and the light direction
- $N$  and  $L$  will form a plane,  $R$  will be in that plane by default



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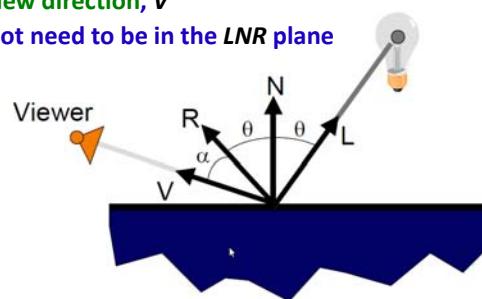
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## Modelling Surface Reflectance

### OpenGL Reflectance Model – Phong Reflectance Model

- **Specular Reflection**

- However, how that reflection is actually seen depends on the view direction,  $V$
- $V$  does not need to be in the  $LNR$  plane



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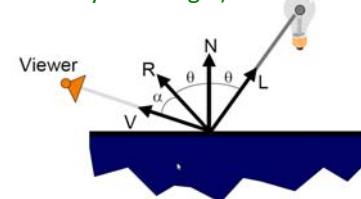
## Modelling Surface Reflectance

### OpenGL Reflectance Model – Phong Reflectance Model

- **Specular Reflection Mathematical Model**

- $I_p$  is the Point Light Intensity
- $n_s$  is object's specular-reflection exponent (higher = sharper fall-off)
- $W(\theta)$  gives specular component of non-specular materials (can be constant or vary with angle)

$$I_s = I_p W(\theta) (V \cdot R)^{n_s}$$



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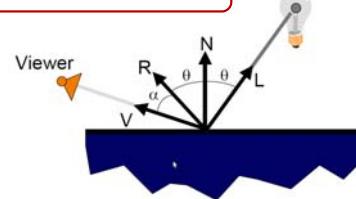
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## Modelling Surface Reflectance

### OpenGL Reflectance Model – Phong Reflectance Model

- Complete Mathematical Model
- It is usual to have a combination of Ambient + Diffuse + Specular Reflections
- Therefore the model becomes

$$I_D = K_A I_A + F_{ATT} I_p K_D (N \cdot L) + I_p W(\theta) (V \cdot R)^{n_s}$$



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## Modelling Surface Reflectance

### OpenGL Reflectance Model – Phong Reflectance Model

- Comparison



Diffuse + Specular



Ambient + Diffuse + Specular

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## Modelling Surface Reflectance

### OpenGL Reflectance Model – Phong Reflectance Model

- Multiple Light Sources

$$I = K_A I_A + \sum_i \left\{ F_{ATT} I_p K_D (N \cdot L_i) + I_p W(\theta) (V \cdot R_i)^{n_s} \right\}$$



- exponentially harder as each specular reflection can be treated as a separate light source in itself

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## Modelling Surface Reflectance

### Calculations

- Calculating Specular and Diffuse Reflections
- To calculate specular and diffuse reflections we need to know:
  - The position of the viewpoint on the surface of the object –  $P$
  - The normal direction vector at that viewpoint –  $N$
  - The view direction vector –  $V$
  - The light source direction vector –  $L$ 
    - Direction Vectors should all be in normalised form
    - Dot products then produce the angle cosine alone
  - Light intensity  $I_p$  and coefficients  $K_D$ ,  $K_p$ ,  $n_s$  and  $W(\theta)$
- Then apply the equations

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## Modelling Surface Reflectance

### Example – Specular Reflection

- Consider the following:

- A point light source at :  $P_L = [200, 300, 500]$
- A viewing point at :  $P_V = [-100, 500, 300]$
- A object surface defined by a plane :

$$4x + 3y + 12z = 1200$$

- Let  $n_s = 15$  and  $W(\theta) = 0.7$

- Find the viewed specular light intensity of a point on the plane defined by  $x = 0$  and  $y = 300$  the viewing in terms of the point light intensity  $I_p$

(the x, y points were guestimates to hopefully give a good specular reflection, i.e. chosen near the x,y midpoints between  $P_L$  and  $P_V$  and taking plane incline into account)

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## Modelling Surface Reflectance

### Example – Specular Reflection

- Consider the plane:

- Surface defined by a plane :  $4x + 3y + 12z = 1200$

- The point is defined by  $x = -30$  and  $y = 300$

- Therefore

$$4(-30) + 3(300) + 12z = 1200$$

- Solving for z, the reflection point of interest is

$$P_R = [-30 \ 300 \ 35]$$

- The normal to the plane by definition is  $[4 \ 3 \ 12]$

- Normalised :  $N = [4 \ 3 \ 12] / \sqrt{169} = [4 \ 3 \ 12] / 13$

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## Modelling Surface Reflectance

### Example – Specular Reflection

- Consider the plane:

- The view direction vector (pointing to the viewer) is

$$V = \begin{bmatrix} -100 \\ 500 \\ 300 \end{bmatrix} - \begin{bmatrix} -30 \\ 300 \\ 35 \end{bmatrix} = \begin{bmatrix} -70 \\ 200 \\ 265 \end{bmatrix} \rightarrow \begin{bmatrix} -0.2063 \\ 0.5894 \\ 0.7810 \end{bmatrix}$$

- The light direction vector (pointing to the light) is

$$L = \begin{bmatrix} 200 \\ 300 \\ 500 \end{bmatrix} - \begin{bmatrix} -30 \\ 300 \\ 35 \end{bmatrix} = \begin{bmatrix} 230 \\ 0 \\ 465 \end{bmatrix} \rightarrow \begin{bmatrix} 0.4434 \\ 0 \\ 0.8963 \end{bmatrix}$$

- Checking  $|V| = 1$  and  $|L| = 1$  confirms no errors

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## Modelling Surface Reflectance

### Example – Specular Reflection

- We need the reflection direction vector  $R$ :

- The Reflection vector is found using the following formula

$$R = 2(L \cdot N)N - L$$

- On Blackboard Slides:

For explanation of reflection vector calculation, see:

<http://tinyurl.com/SE3VR11-reflection>

For explanation of vector projection, see KhanAcademy video:

<http://tinyurl.com/SE3VR11-reflection2>

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## Modelling Surface Reflectance

### Example – Specular Reflection

- We need the reflection direction vector  $R$ :
- The Reflection vector is found using the following formula

$$R = 2(L \cdot N)N - L$$

- Calculated as

$$R = 2 \left( \begin{bmatrix} 0.4434 \\ 0 \\ 0.8963 \end{bmatrix}^T \cdot \begin{bmatrix} 4 \\ 3 \\ 12 \end{bmatrix} / 13 \right) \begin{bmatrix} 4 \\ 3 \\ 12 \end{bmatrix} / 13 - \begin{bmatrix} 0.4434 \\ 0 \\ 0.8963 \end{bmatrix}$$

$$R = \begin{bmatrix} 0.1498 \\ 0.4448 \\ 0.8830 \end{bmatrix}$$

- $|R| = 1$  and directions seem plausible

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## Modelling Surface Reflectance

### Example – Specular Reflection

- The specular formula is now applied:

$$I_s = I_p W(\theta) (V \cdot R)^{n_s}$$

- Hence

$$I_s = I_p 0.7 \left( \begin{bmatrix} -0.2063 \\ 0.5894 \\ 0.7810 \end{bmatrix}^T \begin{bmatrix} 0.1498 \\ 0.4448 \\ 0.8830 \end{bmatrix} \right)^{15}$$

$$= 0.2035 I_p$$

- which is ~20% of the original light intensity

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## Modelling Surface Reflectance

### Example – Specular Reflection – SPHERE

- Try a second object defined by the equation

$$(x-50)^2 + (y-400)^2 + (z+100)^2 = 90,000$$

- This is a sphere with a radius of  $r = 300$  (as  $r^2 = 90,000$ ) and a centre located at

$$P_c = [50 \ 400 \ -100]$$

- Using the same coefficients, what is the specular reflection of a point on the sphere which has a normal

$$N = [0 \ 0 \ 1]$$

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## Modelling Surface Reflectance

### Example – Specular Reflection – SPHERE

- The reflection point is easier to find and is at the point

$$P_r = [50 \ 400 \ +200]$$

- $r = 300$  and  $N = [0 \ 0 \ 1]$

- Calculating the light and view vectors as before, show that

$$V = \dots \rightarrow \begin{bmatrix} -0.7276 \\ 0.4851 \\ 0.4851 \end{bmatrix} \quad L = \dots \rightarrow \begin{bmatrix} 0.4286 \\ -0.2857 \\ 0.8571 \end{bmatrix}$$

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## Modelling Surface Reflectance

### Example – Specular Reflection – SPHERE

- The reflection vector is (as before)

$$R = 2(L \cdot N)N - L$$

- Calculated as

$$R = 2 \begin{bmatrix} 0.4286 \\ -0.2857 \\ 0.8571 \end{bmatrix}^T \begin{bmatrix} 0 \\ 0 \\ 1 \end{bmatrix} \begin{bmatrix} 0 \\ 0 \\ 1 \end{bmatrix} - \begin{bmatrix} 0.4286 \\ -0.2857 \\ 0.8571 \end{bmatrix}$$

$$R = \begin{bmatrix} -0.4286 \\ 0.2857 \\ 0.8571 \end{bmatrix}$$

- Note the symmetry between  $R$  and  $L$

- This is as a result of the symmetrical location of the sphere and the specular point of interest (being a reflection in a plane  $\perp$  to the  $z$  axis)

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## Modelling Surface Reflectance

### Example – Specular Reflection

- The specular formula is now applied (as before):

$$I_s = I_p W(\theta) (V \cdot R)^{n_s}$$

- Hence

$$I_s = I_p 0.7 \begin{pmatrix} -0.7276^T \\ 0.4851 \\ 0.4851 \end{pmatrix} \cdot \begin{pmatrix} -0.4286 \\ 0.2857 \\ 0.8571 \end{pmatrix}^{15} \\ = 0.0812 I_p$$

- i.e. ~8% of the point light intensity !

- What happens if the radius is smaller e.g.  $r = 100$ ?

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## Modelling Surface Reflectance

### OpenGL Reflectance Model – Phong Reflectance Model

$$I = K_A I_A + \sum_i \left\{ F_{ATT} I_{P_i} K_D (N \cdot L_i) + I_{P_i} W(\theta) (V \cdot R_i)^n \right\}$$

- Calculating for each and every directional ray can be very computationally expensive



- Solution is to use shading techniques

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## Shading

### Types of Shading

- Three main types of Shading Models

- Flat Shading
- Gouraud Shading
- Phong Shading

(Not to be confused with [Phong Reflectance Model](#))



Flat



Gouraud



Phong

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## Shading

### Flat Shading

- Flat shading computes lighting values for one normal per polygon
- Uses the resulting color for the entire polygon, resulting in a single flat color for every polygon
- Very fast to compute
- One lighting calculation per face
- The individual polygons can be seen
- OK for polyhedral objects
- Gouraud and Phong shading use the concept of “vertex normals” and interpolation



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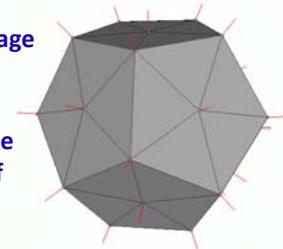
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## Shading

### Vertex Normals

- Vertex Normals

- The “normal” at a vertex is computed by taking the average of the normals of each connecting polygon
- An alternative is to weight the normals based on the area of each face



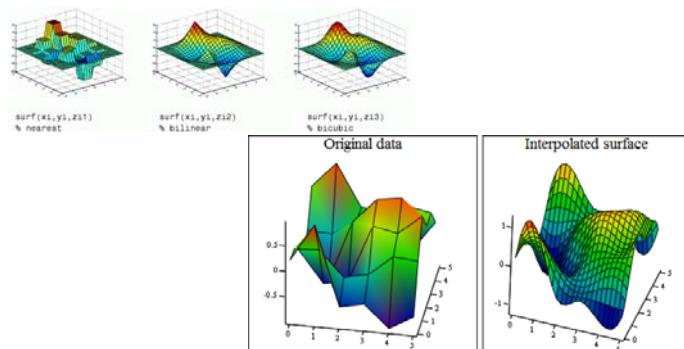
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## Shading

### Interpolation

- Interpolation
  - Shading is achieved by interpolating between values



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## Gouraud Shading

### Interpolation over vertex normals

- Gouraud Shading computes lighting values per vertex and interpolates them over a polygon, i.e. for each fragment on the polygon, the color values from the vertices are interpolated
- Characteristics:
  - will get visible specular highlights on vertices, but you won't see much of the specular highlight if it should be on the inside of a triangle
  - Around the specular highlight, you will see noticeable polygon edges
  - The quality of your specular highlights depends on the number of vertices in your model

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## Gouraud Shading

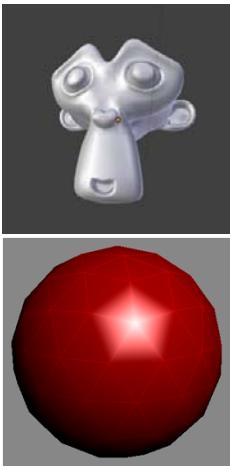
*Interpolation over vertex normals*

- **Characteristics (cont)**

- There is still only one lighting calculation needed per vertex
- Specular highlights will appear to jump from vertex to vertex when moving the model, camera or light source

See animated gif at:

[https://en.wikipedia.org/wiki/Gouraud\\_shading](https://en.wikipedia.org/wiki/Gouraud_shading)



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## Phong Shading

*Interpolation over both vertex and face normals*

- **Phong Shading** interpolates the lighting parameters across the polygon and uses both vertex and face normals in the computation

- **Characteristics:**

- You get good fidelity, round, smooth specular highlights that move smoothly along the surface as the camera model or light moves
- No visible artifacts from the polygon edges
- More computationally expensive
- Approximates surface normals for each point of the polygon

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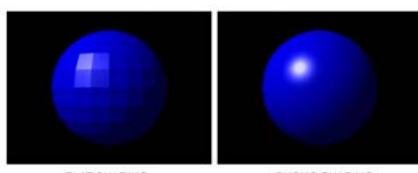
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## Phong Shading

*Interpolation over both vertex and face normals*

- **Characteristics (cont):**

- Approximates surface normals for each point of the polygon
- One lighting calculation per pixel



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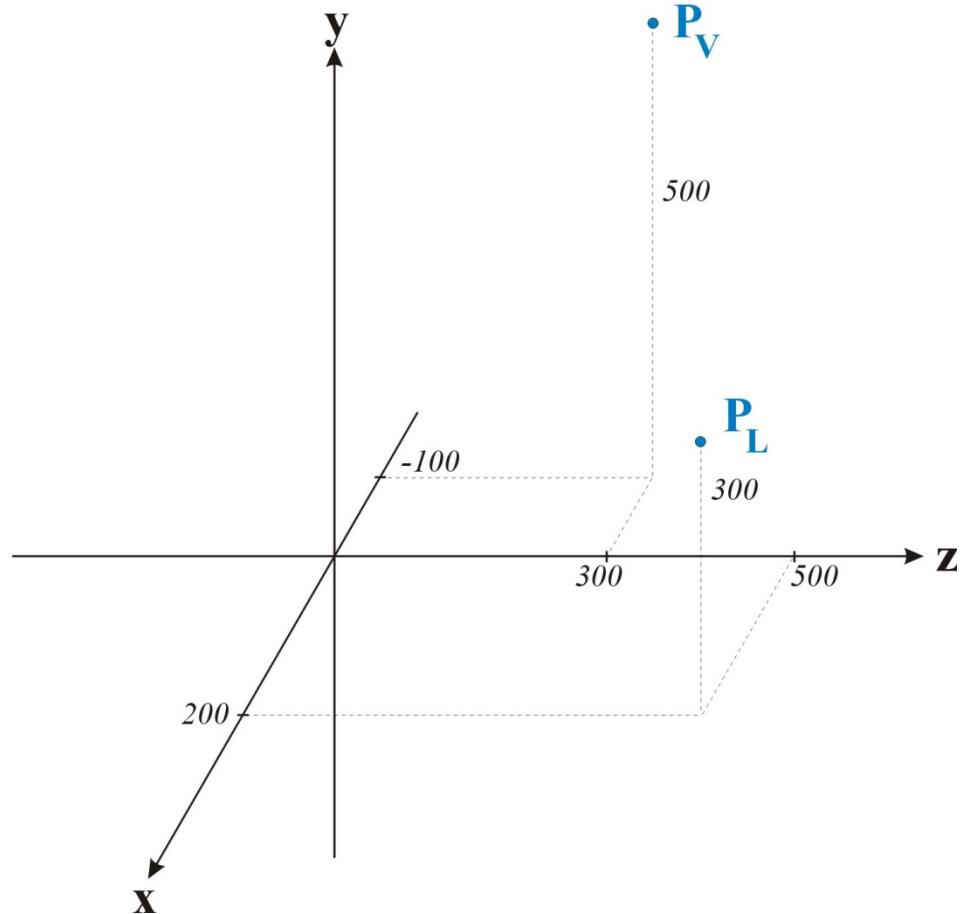
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THE END



## Additional Diagrams for Examples

*View Point and Light Point Locations*

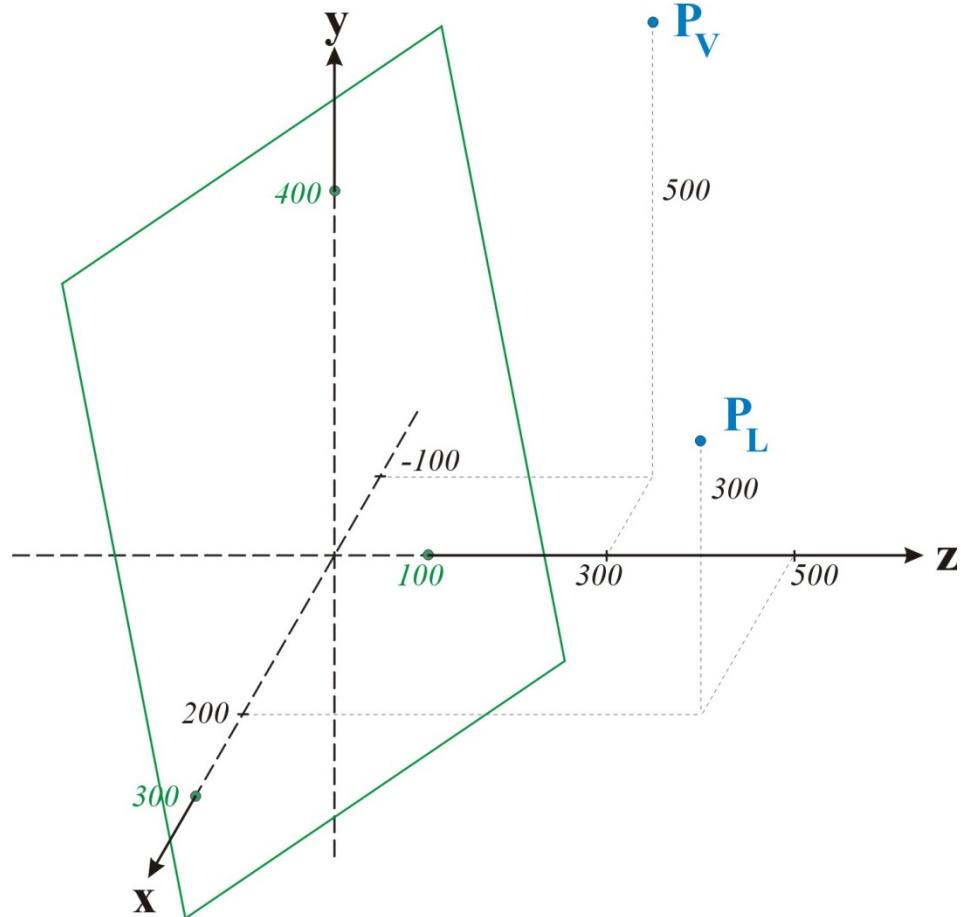


$$P_V = \begin{bmatrix} -100 \\ 500 \\ 300 \end{bmatrix}$$

$$P_L = \begin{bmatrix} 200 \\ 300 \\ 500 \end{bmatrix}$$

## Additional Diagrams for Example #1

### *Planar object (reflection surface)*



- Planar Surface defined as

$$4x + 3y + 12z = 1200$$

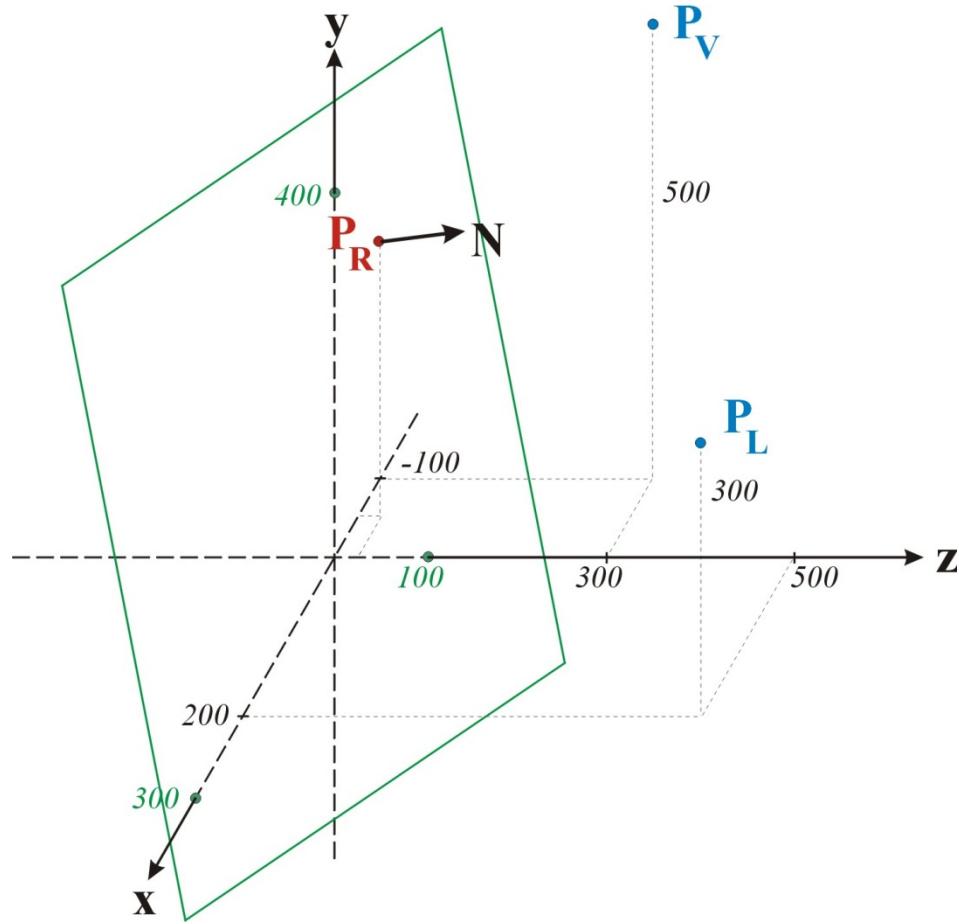
- Intercepts can be easily found as

- $x = 300$
- $y = 400$
- $z = 100$

- i.e. sub 0 in for two to find the third intercept

## Additional Diagrams for Example #1

### *Planar object (reflection surface)*



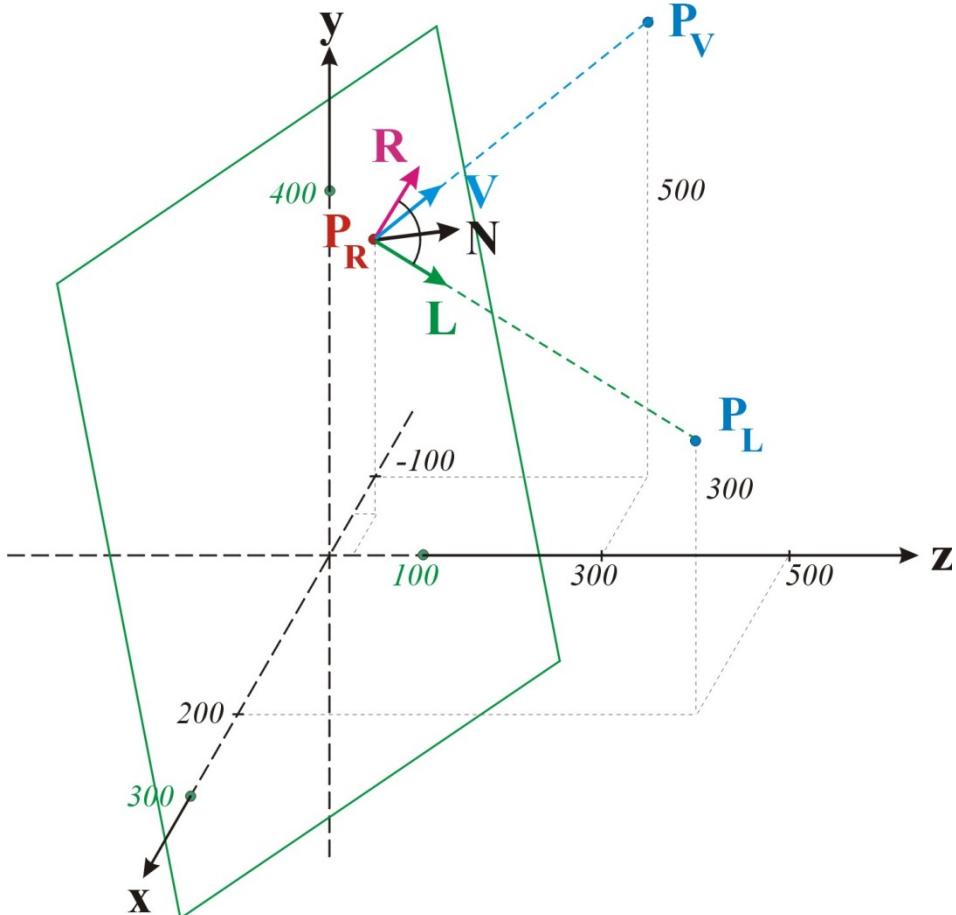
- With a point defined as
  - $x = 30$
  - $y = 300$
- the z value can be found
  - $z = 35$
- Normal is found from the plane equation

$$N = [4 \quad 3 \quad 12]/13$$

(i.e. normalised)

## Additional Diagrams for Example #1

*Planar object (reflection surface)*



■ Hence

$$V = \begin{bmatrix} -0.2063 \\ 0.5894 \\ 0.7810 \end{bmatrix}$$

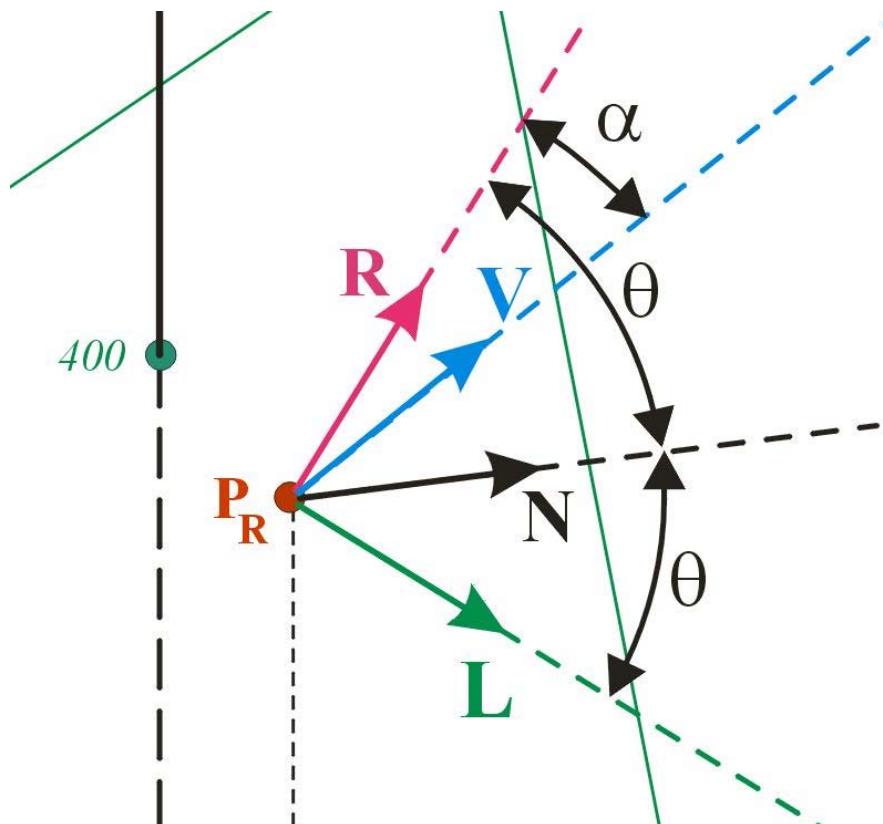
$$L = \begin{bmatrix} 0.4434 \\ 0 \\ 0.8963 \end{bmatrix}$$

$$R = \begin{bmatrix} 0.1498 \\ 0.4448 \\ 0.8830 \end{bmatrix}$$

- $LNR$  coplanar
- $V$  can be any direction

## Additional Diagrams for Example #1

*Planar object (reflection surface)*



- Hence

$$V = \begin{bmatrix} -0.2063 \\ 0.5894 \\ 0.7810 \end{bmatrix}$$

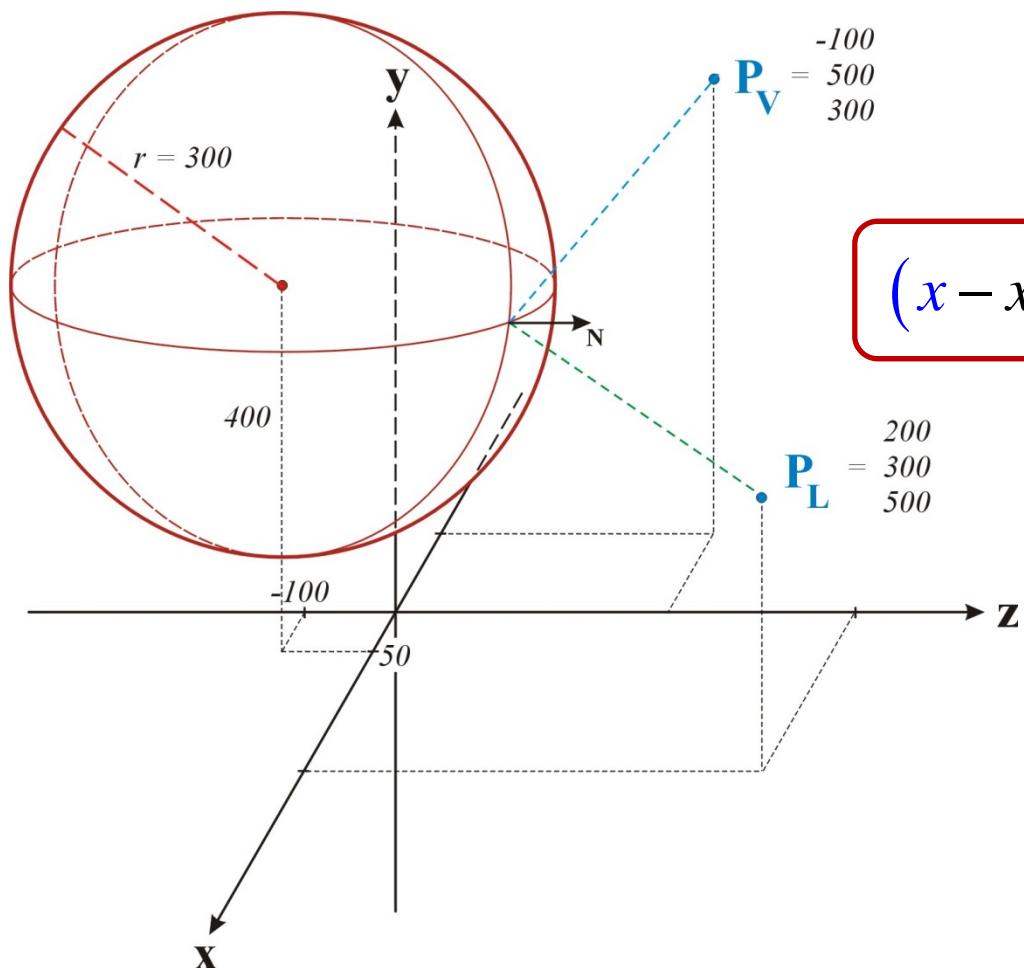
$$L = \begin{bmatrix} 0.4434 \\ 0 \\ 0.8963 \end{bmatrix}$$

$$R = \begin{bmatrix} 0.1498 \\ 0.4448 \\ 0.8830 \end{bmatrix}$$

- $LNR$  coplanar
- $V$  can be any direction

## Additional Diagrams for Example #2

### *Sphere object (reflection surface)*



- The sphere is defined by the equation

$$(x - x_c)^2 + (y - y_c)^2 + (z - z_c)^2 = r^2$$

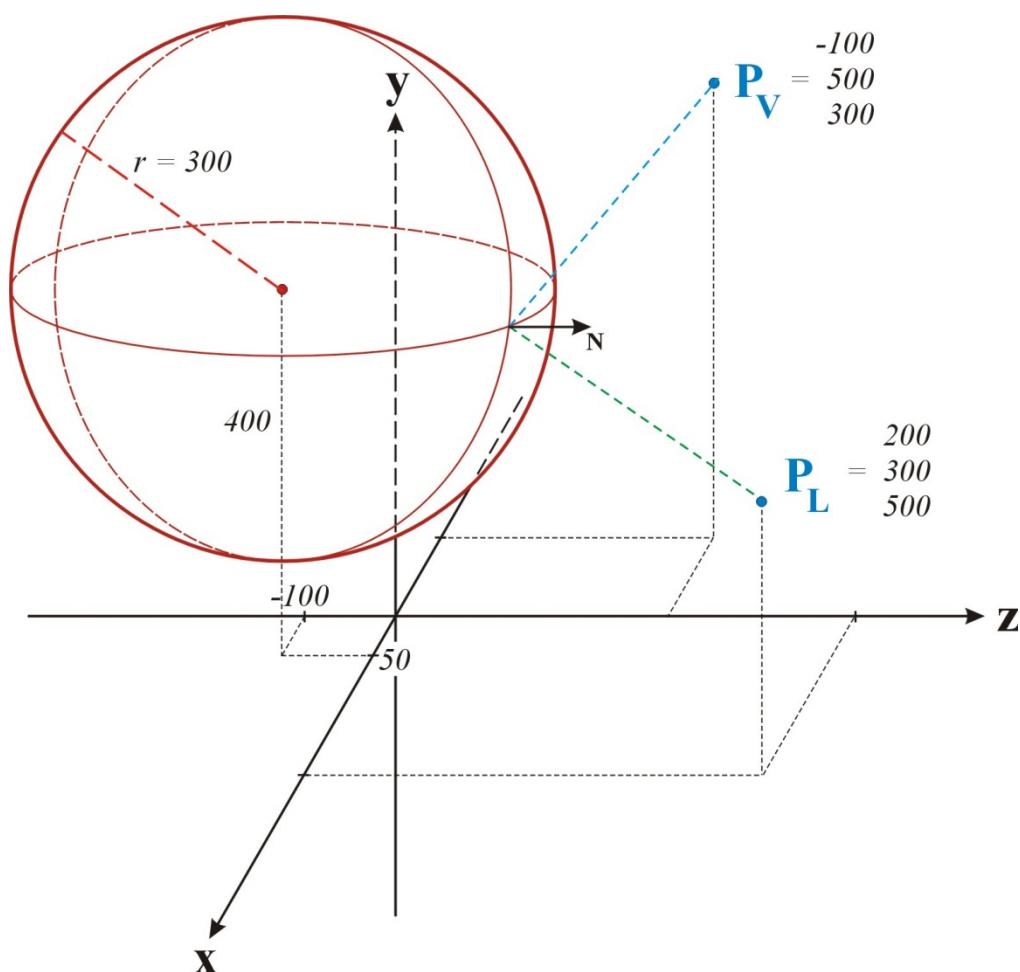
- with

$$P_C = \begin{bmatrix} x_c \\ y_c \\ z_c \end{bmatrix} = \begin{bmatrix} 50 \\ 400 \\ -100 \end{bmatrix}$$

- and  $r = 300$

## Additional Diagrams for Example #2

### *Sphere object (reflection surface)*



- In this example, the normal vector  $N$  of interest is specified as

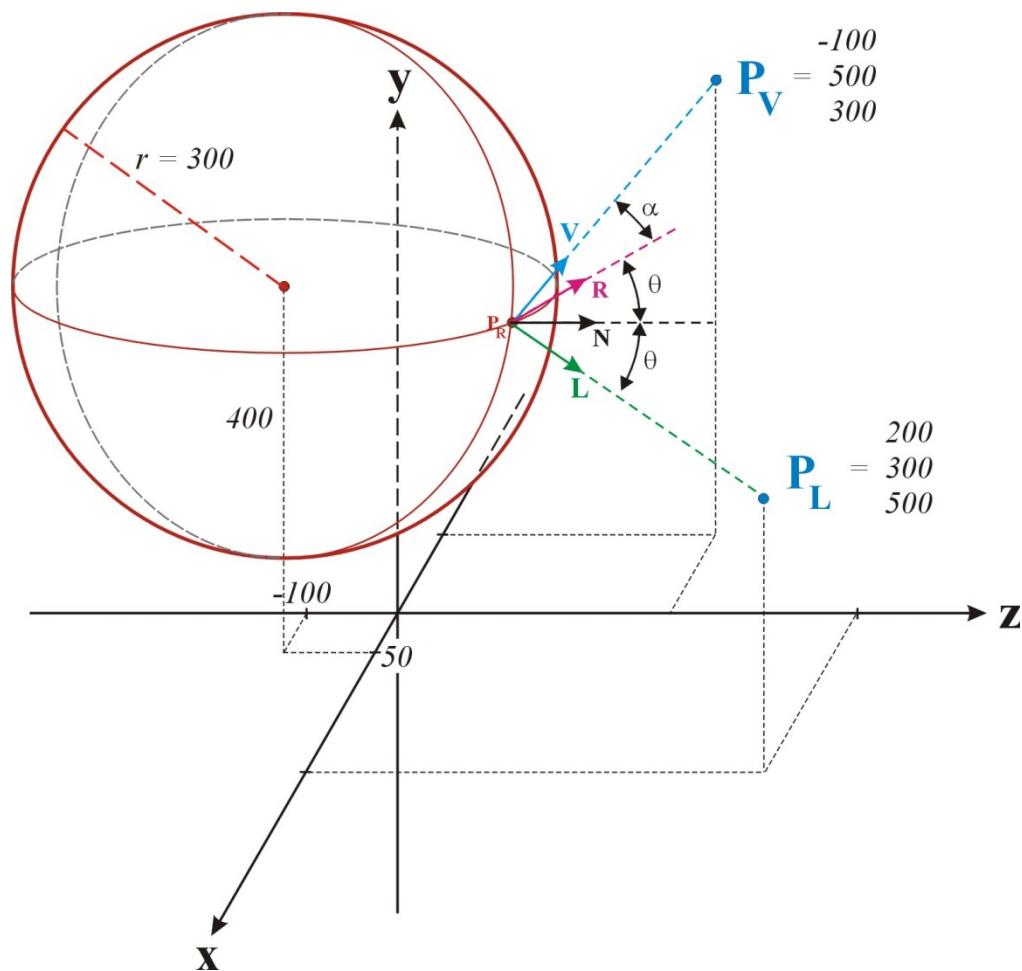
$$N = [0 \ 0 \ 1]$$

- i.e. pointing parallel to the z direction
- This determines the point of interest at

$$P_R = [50 \ 400 \ +200]$$

## Additional Diagrams for Example #2

*Sphere object (reflection surface)*



$$P_R = [50 \quad 400 \quad +200]$$

- As before  $LNR$  are in a plane
- $V$  can be any direction

