

Algorithms Design and Analysis

Lecture 3

Beihang University

2017

Divide and Conquer (Continue)

Example 4. Finding Minimum (最小) and
Maximum (最大)

Background (背景)

Find the lightest (最轻) and heaviest (最重) of n elements using a balance (天平) that allows you to compare the weight of 2 elements.



Minimize (使最小) the number of comparisons.

Max element

Find element with max weight (重量) from $w[0, n-1]$

```
maxElement=0
```

```
for (int  $i = 1$ ;  $i < n$ ;  $i++$ )
```

```
    if ( $w[\text{maxElement}] < w[i]$ )  $\text{maxElement} = i$ ;
```

Number of comparisons (比较次数) is $n-1$.

Obvious method

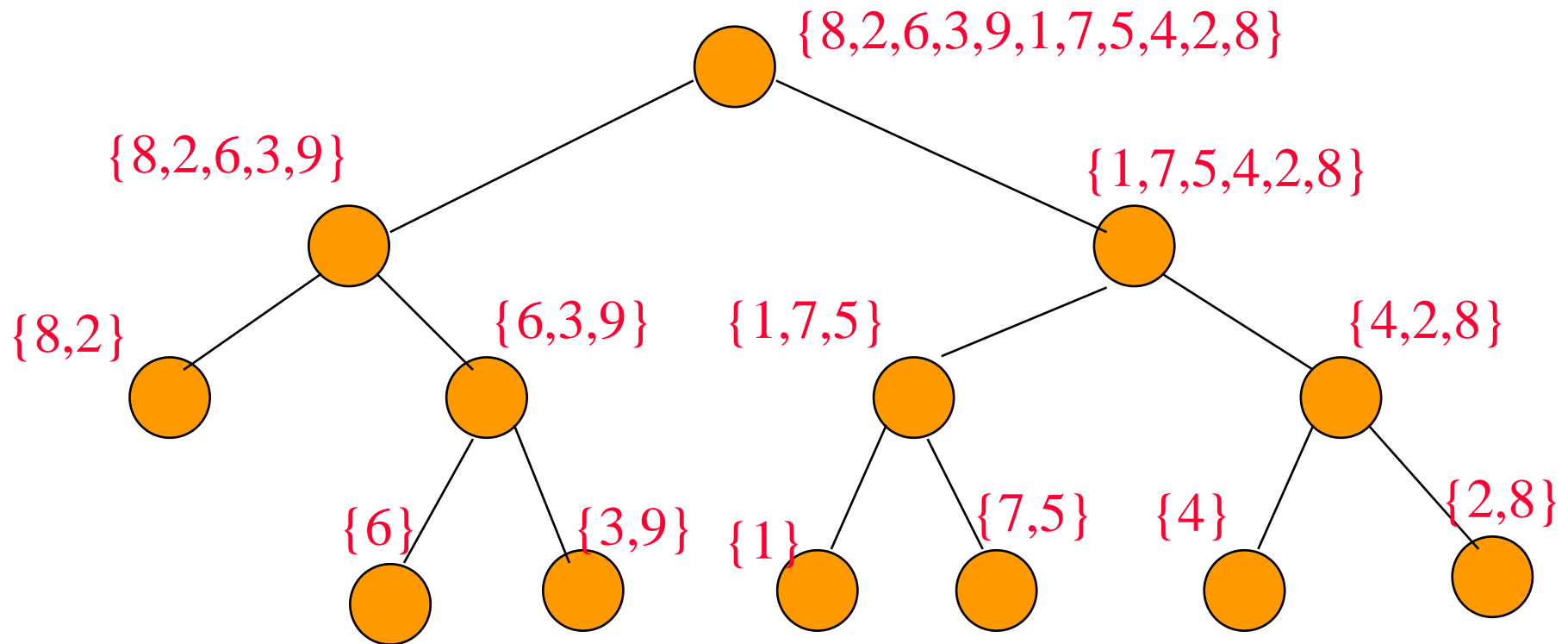
- Find the max of n elements making $n-1$ comparisons.
- Find the min of the remaining $n-1$ elements making $n-2$ comparisons.
- Total number of comparisons is $2n-3$.

Divide and Conquer

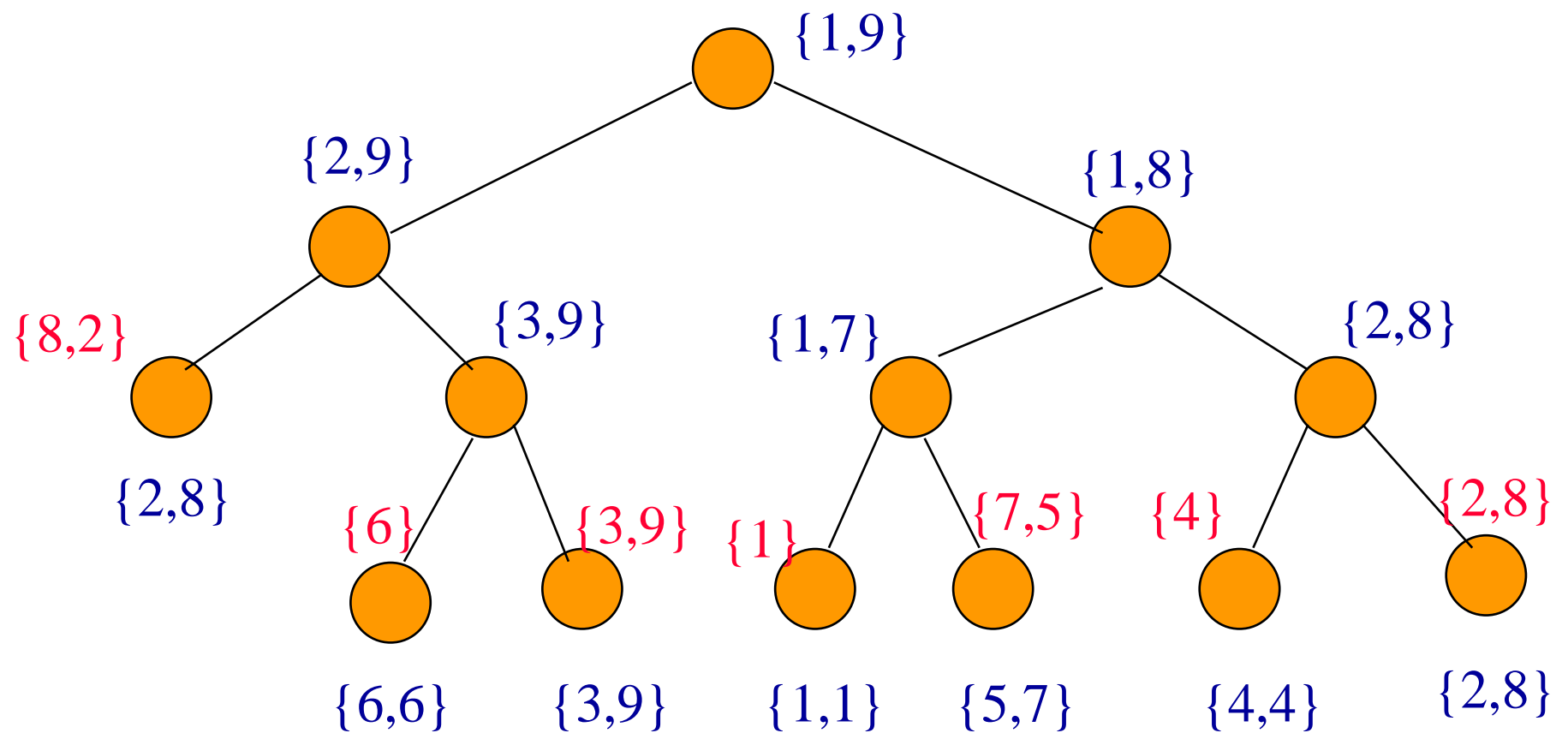
How to combine?

- Find the min and max of $\{3,5,6,2,4,9,3,1\}$.
- Large instance.
- $A = \{3,5,6,2\}$ and $B = \{4,9,3,1\}$.
- $\min(A) = 2$, $\min(B) = 1$.
- $\max(A) = 6$, $\max(B) = 9$.
- $\min\{\min(A), \min(B)\} = 1$.
- $\max\{\max(A), \max(B)\} = 9$.

Dividing Into Smaller Problems



Solve Small Problems and Combine



MaxMin(L)

{

if length(L)=1 or 2, we use at most one comparison.

else {

split (分裂) L into lists L1 and L2, each of $n/2$ elements

(min1, max1) = MaxMin(L1)

(min2, max2) = MaxMin(L2)

return (Min(min1, min2), Max(max1, max2))

}

}

Complexity analysis (Number of Comparisons)

$$T(1)=0, T(2)=1,$$

$$T(n) = 2T(n/2)+2.$$

Assume $n=2^k$, we have

$$T(n)= 2T(n/2)+2$$

$$2T(n/2)= 4T(n/4)+2^2$$

....

$$2^{k-2}T(4)=2^{k-1}T(2)+2^{k-1}$$

$$T(n)= 2^{k-1}+2+2^2+\dots+2^{k-1}=3\times 2^{k-1}-2=3n/2-2.$$

Time	$2n-3$	$3n/2-2$
1 minute	$n=31$	$n=41$
1 hour	$n=1801$	$n=2401$
1 day	$n=43201$	$n=57601$

Assume that one comparison takes one second.

Interpretation (解释) of Divide-and-Conquer

- The working of a divide-and-conquer algorithm can be described (描述) by a tree -- **recursion tree**.
- The algorithm moves down the recursion tree dividing large problems into smaller ones.
- Leaves (叶子) represent small problems.
- The algorithm moves back up the tree combining the results from the subtrees (子树).

A general divide-and-conquer algorithm

- **Step 1:** If the problem size is small, solve this problem directly; otherwise, split the original problem into b sub-problems of size n/a .
- **Step 2:** Recursively solve these b sub-problems by applying (応用) this algorithm.
- **Step 3:** Merge the solutions of the b sub-problems into a solution of the original problem.

Time complexity of the general algorithm

- Time complexity:

$$T(n) = \begin{cases} bT(n/a) + S(n) + M(n) & n \geq c \\ d & n < c \end{cases}$$

where, $S(n)$: time for splitting

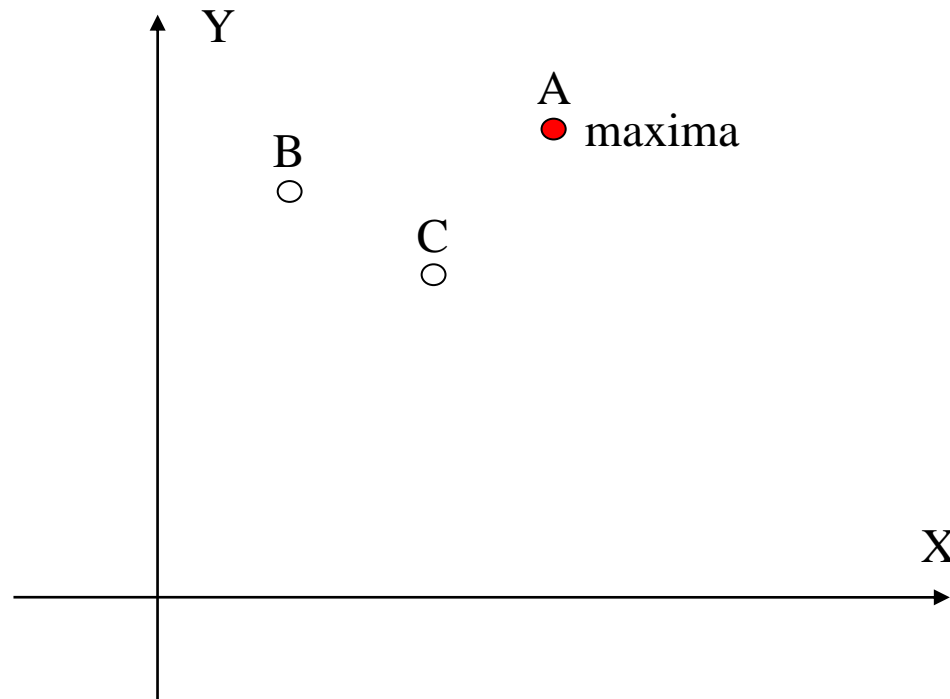
$M(n)$: time for merging

b , c and d are constants

- e.g. Merge-Sort, FastMutiply and MaxMin.

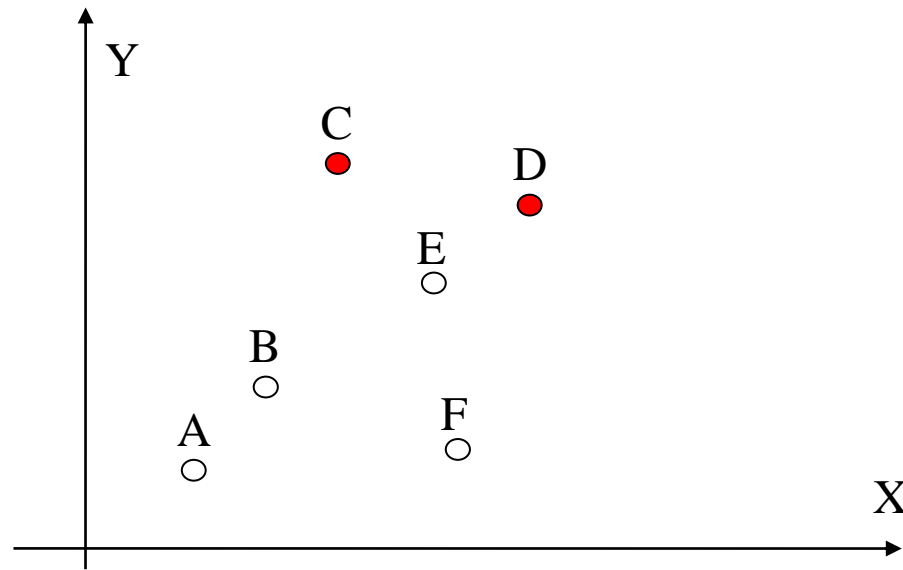
Example 5. 2-D (二维) maxima (极大点)
finding problem

Definition (定义) : A point (x_1, y_1) *dominates* (支配) (x_2, y_2) if $x_1 > x_2$ and $y_1 > y_2$. A point is called a *maxima* if no other point dominates it.



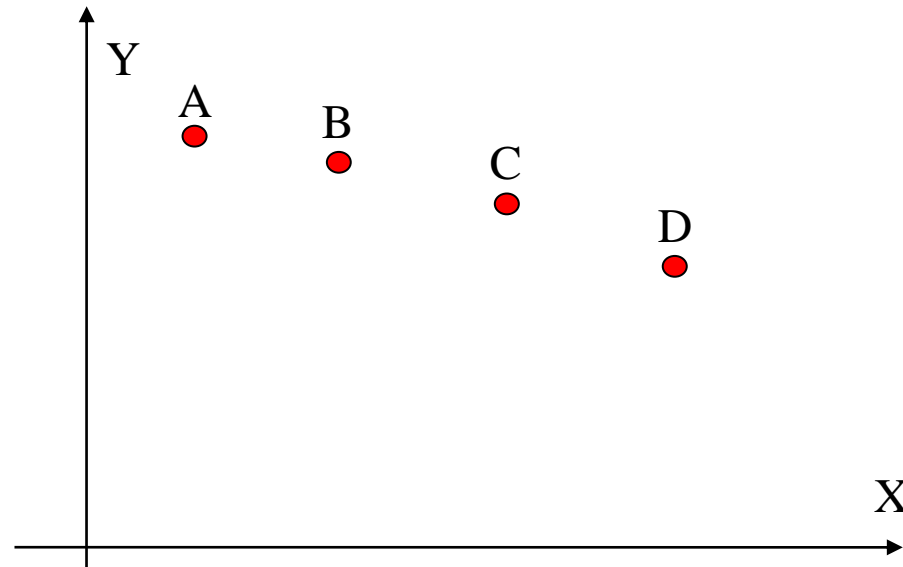
Solution 1 :

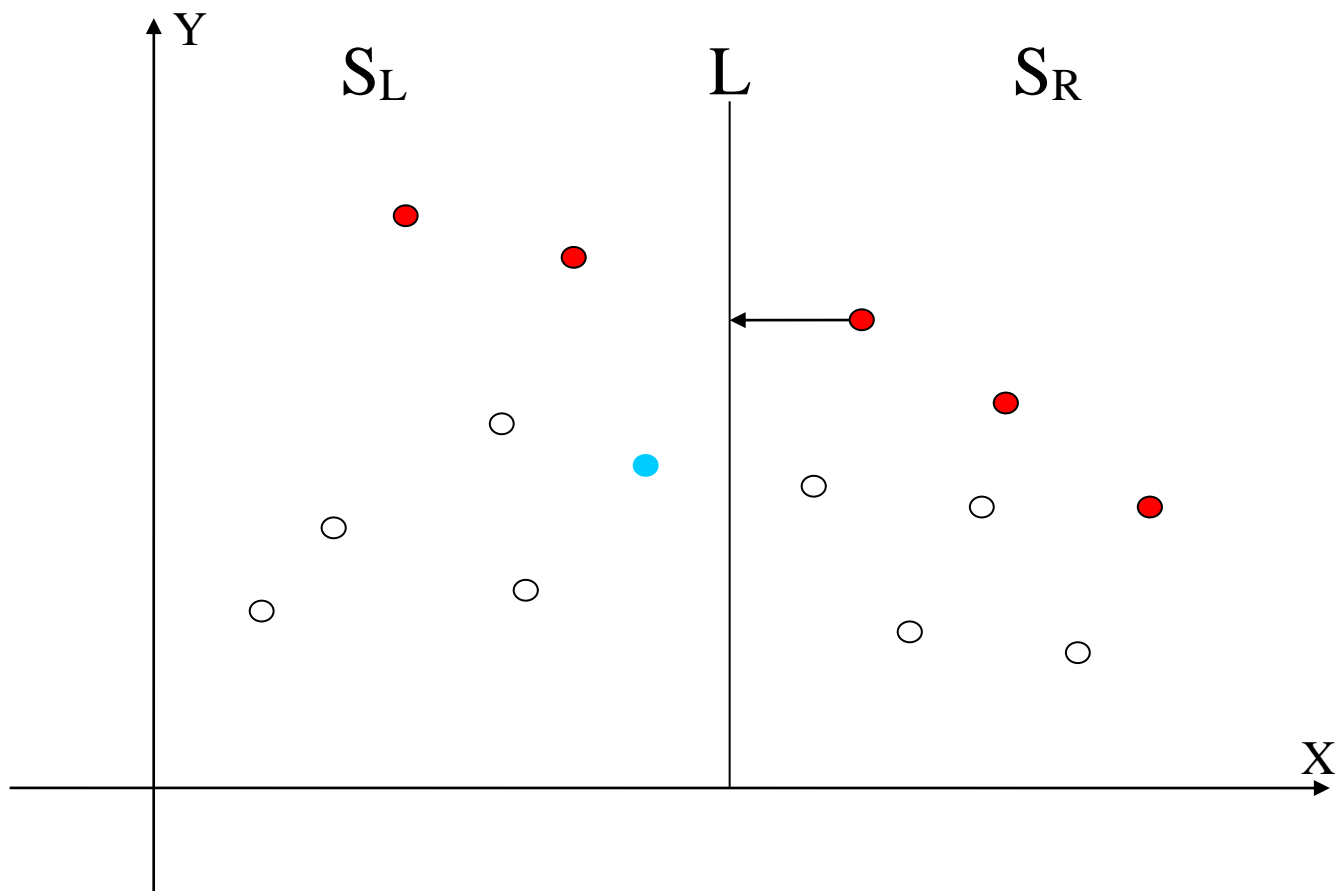
- Compare every pair of points and take it as a competition.
- If point A dominates point B, then A wins the match and B is kicked out.



Complexity analysis: $O(n^2)$.

The worst case occurs if all the points are maximal points.





Solution 2 (Divide and Conquer) :

■ Input: A set of n planar (平面) points.

■ Output: The *maximal* points of S .

Step 1: If S contains only one point, return it as the *maxima*. Otherwise, find a line L perpendicular (垂直) to the X -axis which separates the set of points into two subsets (子集) S_L and S_R , each of which consisting of $n/2$ points.

Step 2: Recursively (递归) find the *maximal* points of S_L and S_R .

Step 3: Find the largest y -value of S_R . Project (投影) the *maximal* points of S_L onto L . Discard (丢弃) each of the maximal points of S_L if its y -value is less than the largest y -value of S_R .

Time complexity analysis: $T(n)$

Step 1: At most $O(n \log n)$. In fact, this can be done in $O(n)$.

Step 2: $2T(n/2)$

Step 3: $O(n)$

So, we have

$$T(n) = 2T(n/2) + O(n).$$

Applying (应用) Master Theorem, we have

$$T(n) = O(n \log n).$$

If

$$T(n) = 2T(n/2) + O(n \log n),$$

then

$$T(n) = O(n \log^2 n)$$

Example 6. Majority (多数) Problem

Problem

Given an array (数组) A of n elements, use “=” test only to find the *majority element* (which appears more than $n/2$ times) in A .

For example, given (2, 3, 2, 1, 3, 2, 2), then 2 is the majority element because $4 > 7/2$.

- Trivial (平凡) solution: counting (计数) is $O(n^2)$.
- Can't apply any sort algorithm, since only “=” test is allowed.

Divide and Conquer

Majority($A[1, n]$)

if $n=1$, then

 return $A[1]$

else

$m1 = \text{Majority}(A[1, n/2])$

$m2 = \text{Majority}(A[n/2+1, n])$

test if $m1$ or $m2$ is the majority for $A[1, n]$

return majority or no majority.

- Complexity analysis
 - This algorithm uses $T(n) = 2T(n/2) + O(n) = O(n \log n)$ time.
- However, there is a linear time algorithm for the problem.

- Moral (寓意) of the story
 - Divide and conquer may not always give you the best solution.
- Remember
 - Divide-and-conquer works if the interaction (交互) between subproblems is relatively simple. Usually the subproblems do not overlap (重叠).

Paradigm 3:

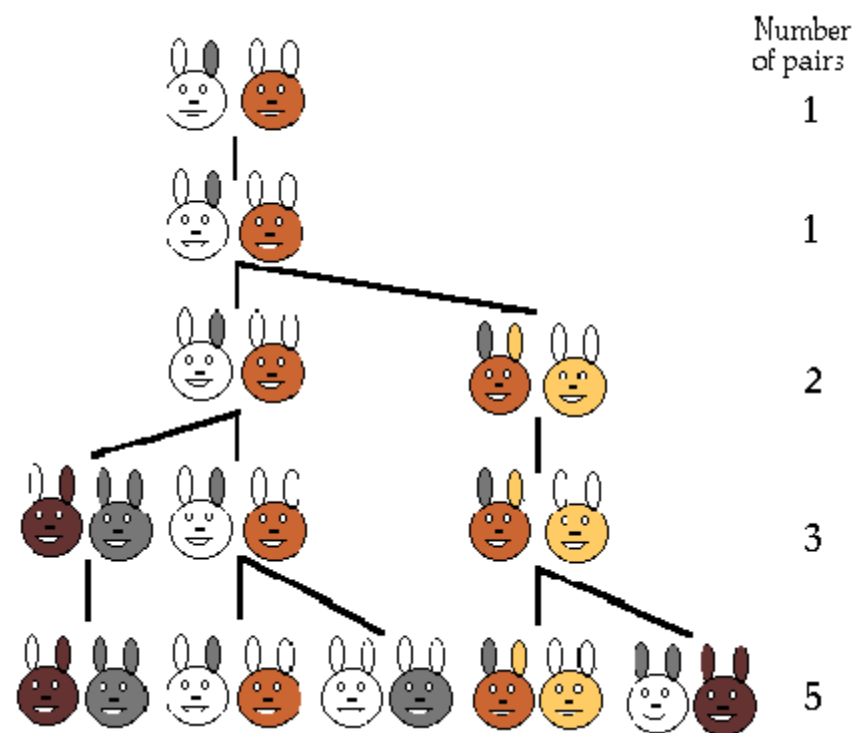
Dynamic (动态) Programming (规划)

Example 1. Fibonacci numbers

Originally (最初) in the year 1202, Fibonacci was presented (提出) with a problem of how quickly the rabbit (兔子) population will grow in ideal (理想) conditions:

We assume (假定):

- ✓ We have two newly-born rabbits (1 male, 1 female)
- ✓ Rabbits produce another pair of newly born rabbits once a month after they are 2 months old
- ✓ They always give birth to twins (1 male, 1 female)
- ✓ They never die and never stop propagating (繁殖)

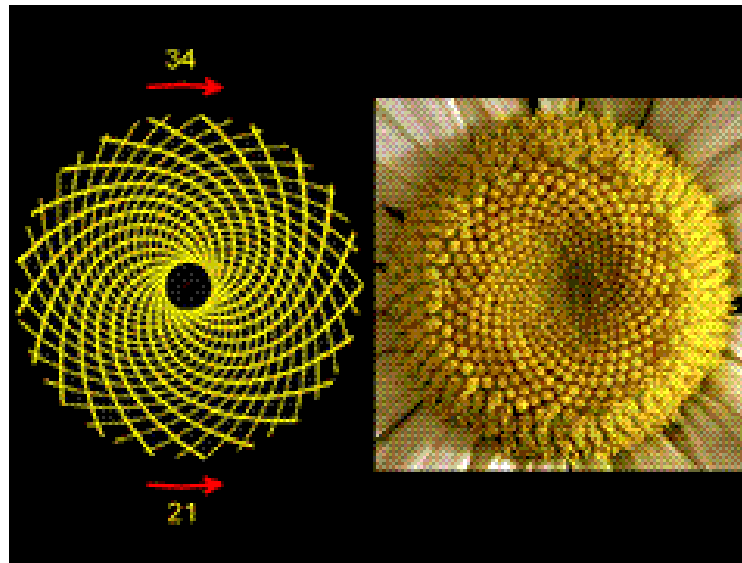


Defined by Recursion

$$F_0 = 0 \quad F_1 = 1$$

$$F_n = F_{n-1} + F_{n-2} \text{ for } n \geq 2$$

$F_2=1$; $F_3=2$; $F_4=3$; $F_5=5$; $F_6=8$; $F_7=13$; $F_8=21$; $F_9=34$; $F_{10}=55$; $F_{11}=89$



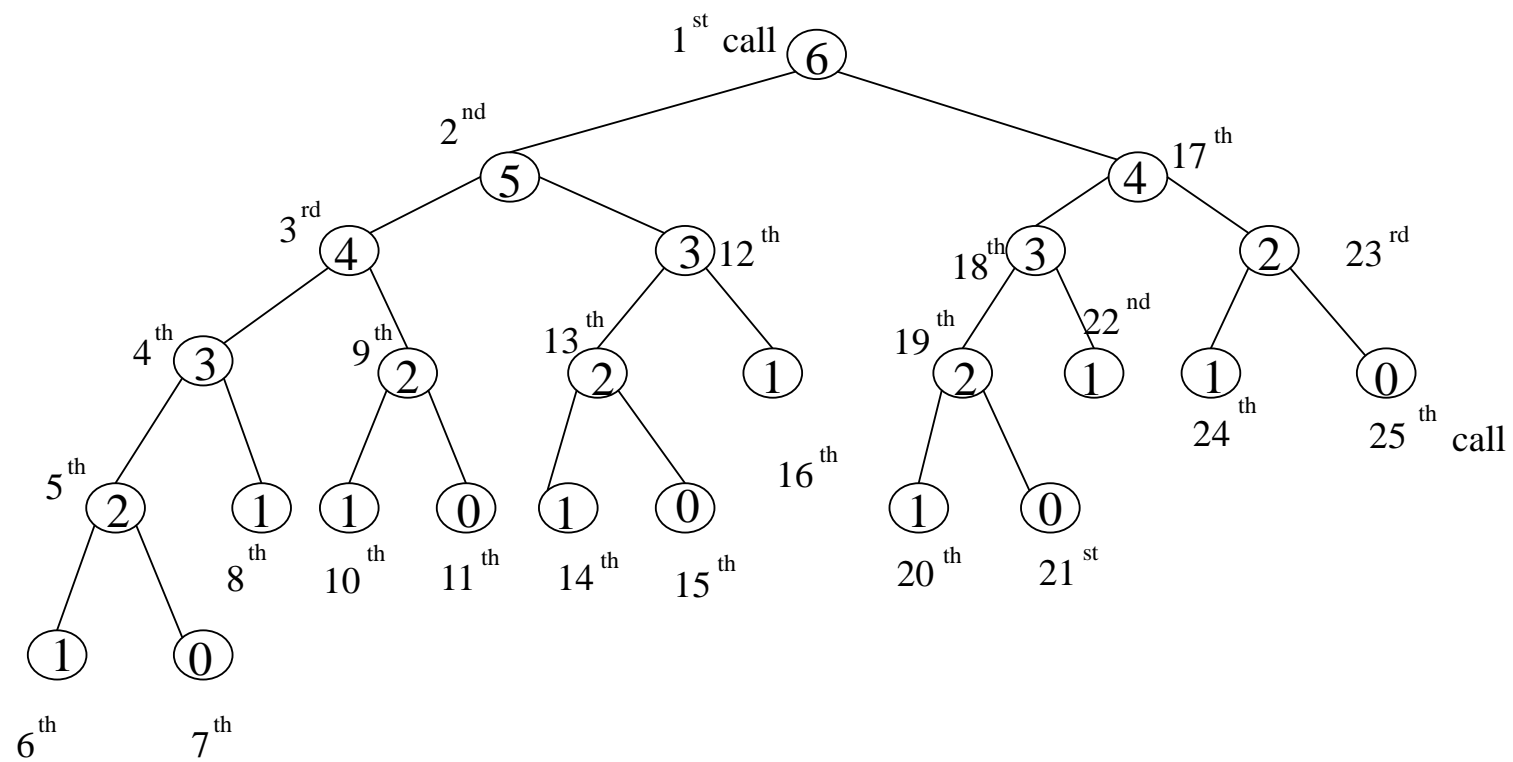
Problem: computing $F(n)$

A naive algorithm

```
F(n) {  
    if (n<2) return n;  
    else return F(n-1)+F(n-2);  
}
```

Very inefficient (效率低): how many calls ?

For example: for $F(6)$?



Complexity analysis:

The number of recursive calls (递归调用) $T(n)$ needed to compute $F(n)$ is

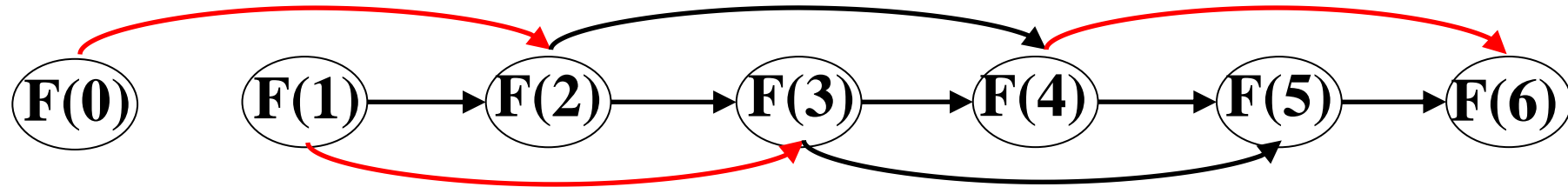
$$T(0)=1$$

$$T(1)=1$$

$$T(n)=T(n-1)+T(n-2)+1 \text{ for } n \geq 2.$$

It can be proved that $T(n)=\Omega(1.6^n)$. So the running time is exponential.

Reverse (反向) graphical order – dynamic programming



Observation (观察): Any subproblem only depends on its two predecessor (前辈) subproblems.

F_0	F_1	F_2	F_3	F_4	F_5	F_6
0	1	1	2	3	5	8

Dynamic Programming Algorithm

```
F( $n$ ) {  
    curr= $n$ , ppred=0, pred=1;  
    for ( $j=2$ ;  $j<n+1$ ;  $j++$ ) {  
        curr=pred+ppred;  
        ppred=pred;  
        pred=curr; }  
    return curr;  
}
```

Complexity analysis: $T(n) = \Theta(n)$. So, the running time is linear (线性的).

What is dynamic programming?

- Main original (原始) motivation (动机):
 - ✓ replace an exponential-time computation by a polynomial-time computation
- Splitting problems into sub-problems may be very expensive.
 - ✓ if not controlled correctly, many subproblems will be solved repeatedly
- Dynamic programming:
 - ✓ Basic idea: store (存储) results for subproblems rather than re-computing (重新计算) them.
 - ✓ Applicable (适用于) to problems where a recursive algorithm solves many subproblems repeatedly.

Homework 5

令 $A[1..n]$ 是一个由 n 个数所组成的数组。序列 $A[1], A[2], \dots, A[n]$ 被称为是单模的(unimodal)，当且仅当存在顶点序号 $1 \leq p \leq n$ ，使得数组的元素从 $A[1]$ 、 $A[2]$ 开始到 $A[p]$ 单调增加，而从 $A[p]$ 、 $A[p+1]$ 开始到 $A[n]$ 则单调下降。问题：对于一个给定的单模序列 $A[1], A[2], \dots, A[n]$ ，请找出其顶点序号 p 。设计一个求解此问题的算法并分析其最坏时间复杂性。

Homework 6

设有一个算法Median能在 $O(n)$ 的时间内计算一个数组的中位值(即将数组的元素按大小顺序排列正好位于中间的值)。给定一个有 n 个元素的数组，能否以Median算法为基础设计一个算法，对任意的整数 $1 \leq i \leq n$ ，该算法在 $O(n)$ 的时间内求出数组中第 i 大小的元素。如果能，请给出一个这样的算法并分析其最坏时间复杂性。