

FORM 5-A

Affirmation of Investigator Responsibilities

Investigator:

MIDN 1/c Andrew Toth USN

Title of Research Project:

Crowd redirection using informed leaders

Researchers conducting projects that directly or indirectly involve human subjects or the data about human subjects must comply with all applicable provisions of the USNA policy manual, as well as with all relevant federal, state and local laws, DoD/DON regulations, and SECNAV and USNA instructions.

The Academy's HRPP Policy Manual, requires each investigator on a research project that involves human subjects to acknowledge and accept responsibility for protecting the rights and welfare of the human subjects participating in the activity. The roles and responsibilities of the investigator are explained in Section VII of the Academy's HRPP Policy Manual.

Investigators may not start any research including: data collection activity or solicitation of participants, or expend any funding, regardless of type and source, on a research project that directly or indirectly involves human subjects and/or the data about human subjects until the Academy's IRB review of the research protocol has occurred, the Superintendent's approval of the project has been obtained and the PI has received a project approval number, in writing, from the Academy's HRPP office.

Investigators who fail to comply with the provisions of this policies and procedures manual and/or with all applicable federal, state and local laws, DoD and DON regulations, and USNA instructions that address human subject research may be liable for legal sanctions under criminal or civil statutes, or may face administrative sanctions by the Naval Academy.

As an Investigator I have been informed of the applicable regulations regarding the protection of human subjects.

☐ No
☒ Yes

Conflict of Interest

Federal Guidelines emphasize the importance of assuring there are no conflicts of interest in research projects that could affect the welfare of human subjects. If this study involves or presents a potential conflict of interest, additional information will need to be provided to the IRB.

Conflict of interest is defined as a situation in which significant personal or financial interest may bias, or be perceived by others as biasing, the design, conduct, or reporting of a research study.

Significant financial interest is defined as anything of monetary value held by the investigator, his/her spouse, or dependent children exceeding a threshold of \$5,000. Categories of financial interest include but are not limited to:

- salary or other payments for services (e.g., consulting fees or honoraria);
- equity interests (e.g., stocks, stock options or other ownership interests);
- intellectual property rights (e.g., patents, copyrights and royalties from such rights).

As an Investigator is there a potential conflict of interest financial or personal in regards to this research protocol?

- ☒ No
☐ Yes

If yes, please explain:

Has this potential conflict of interest been disclosed to the PI and management agreed upon?

- ☐ No
☐ Yes
☒ N/A

If yes, please explain:

Andrew Toth

Printed Name

Date

Signature