

# Practical and Secure Machine-to-Machine Data Collection Protocol in Smart Grid

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TCIPG  
TRUSTWORTHY CYBER INFRASTRUCTURE FOR THE POWER GRID



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with IEEE CNS 2014

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First International Workshop on Security and Privacy in  
Machine-to-Machine Communications  
(**M2M**Sec'14)

# Outline

- ◆ Background
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  - Smart Grid
  - Smart Grid and M2M Communications
- ◆ Data collection model (PO, DC, MD)
- ◆ Related work
- ◆ Protocol Overview and System Parameters
- ◆ Shared Key Generation
- ◆ Data Collection
- ◆ Preliminary Experimental Results
- ◆ Conclusion

# TCIPG -- ACKnowledgement

- ◆ Trustworthy Cyber Infrastructure for the Power Grid (TCIPG)
- ◆ Takes on the challenges of making the electric power infrastructure **continuously functioning**
  - While enhancing security, reliability, and safety
- ◆ U. of Illinois at Urbana-Champaign, Dartmouth College, WA State U., UC-Davis
- ◆ Funded by the US Department of Energy and Department of Homeland Security

# Smart Grid Background

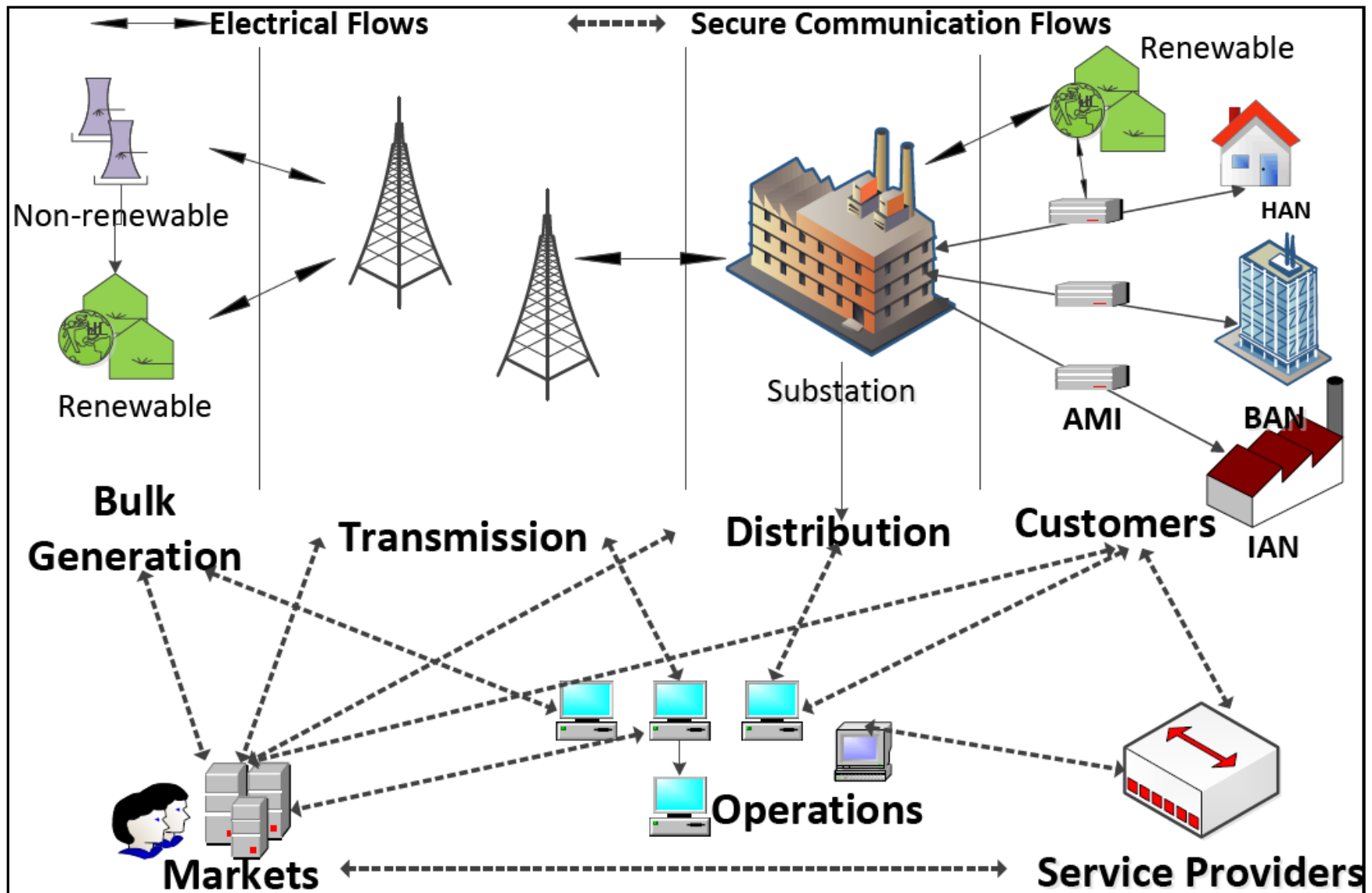
- ◆ Power Grid
  - Largest machine in the world
  - Centralized generation and control
  - Unidirectional power flow (from the grid)
  - Limited info flow (from the edge)
- ◆ Paradigm shift in energy production, transmission, distribution, consumption
- ◆ Key facilitator → **Smart Grid**

# A Rough SG Definition

- ◆ Smart Grid (SG) is a **vision**, and **a system of systems**
- ◆ More specifically, the SG can be regarded as an electric system that uses information, **two-way cyber-secure communication technologies**, and computational intelligence in an integrated fashion across electricity generation, transmission, substations, distribution and consumption to achieve a system that is **clean, safe, secure, reliable, resilient, efficient, and sustainable**.

Source: Fang et al. Smart Grid Survey, 2011, IEEE Comm. Surveys and Tutorials

# NIST Smart Grid Conceptual Model



Based on <http://www.oucor.com/images/content/pathwaytopower.gif>

# Smart Grid and M2M Communications

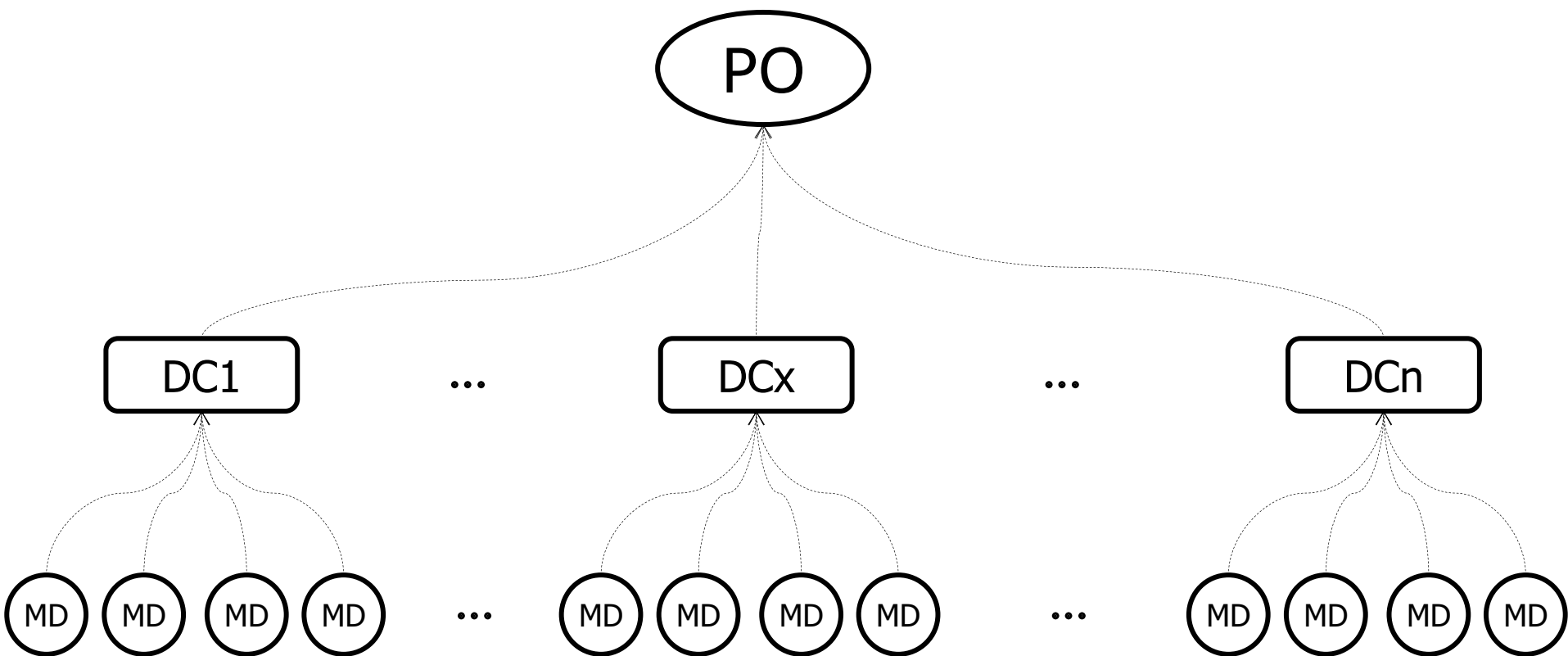
- ◆ Machine-to-Machine → a building block for SG
  - Especially for the wide-scale monitoring and control infrastructure
- ◆ SG → one of the most promising growth areas M2M adoption
  - Smart Cities, Smart Buildings, Vehicle-to-Grid (V2G), Grid-to-Vehicle (G2V) communications, Plug-in Hybrid Electric Vehicles (PHEV)
- ◆ Evolution of power distribution networks calling for sophisticated Energy Management Systems (EMS) for improved efficiency

# Smart Grid M2M Applications

- ◆ Optimal line controls & loss minimization
- ◆ Fault-detection and management
- ◆ Load balancing
- ◆ Real-time state estimation
  - Phasor management units (PMU)
- ◆ AMI (Advanced Metering Infrastructure)
  - Smart meters
- ◆ Facilitate integration of distributed and/or renewable sources
- ◆ Distribution Automation (DA)
- ◆ Various other sensors, measurement devices, pole-top devices, etc.



# Data Collection Model



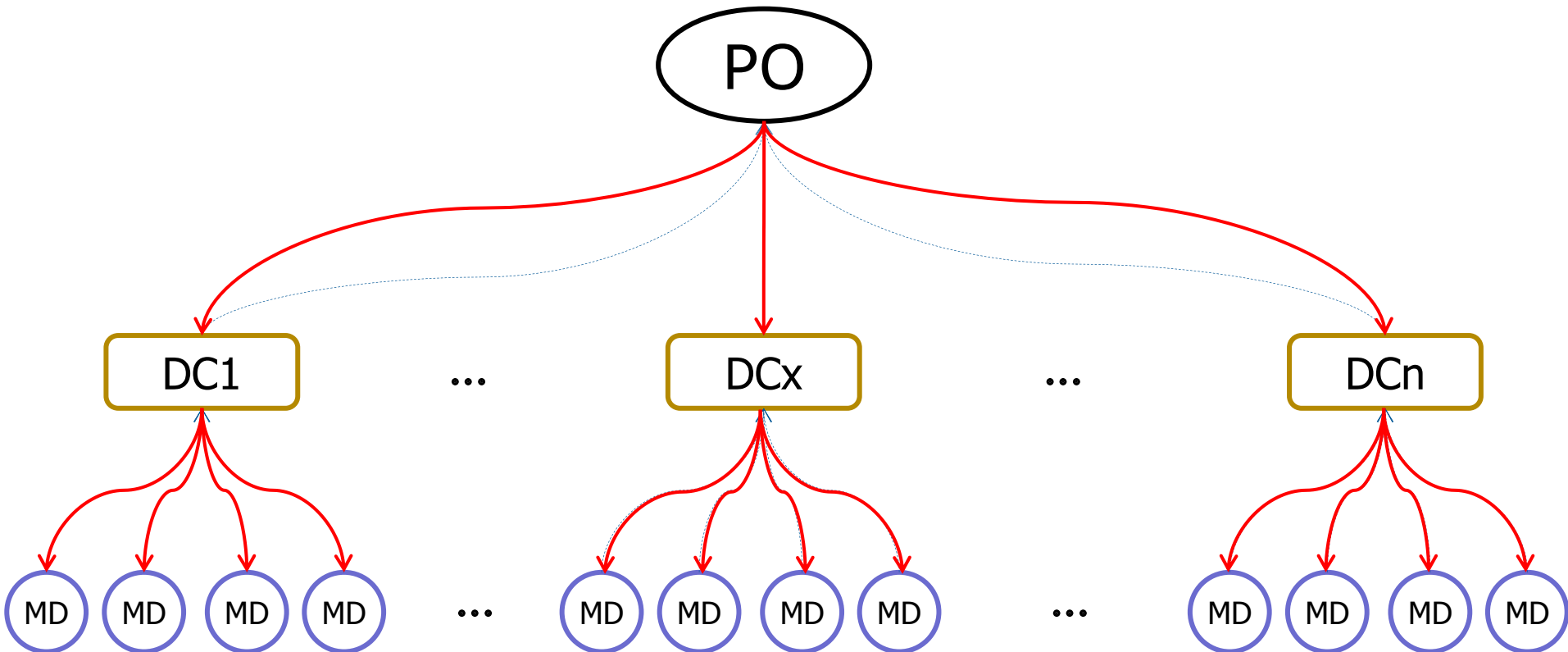
- ◆ Measurement Devices (MD)
- ◆ Data Collectors (DC)
- ◆ Power Operator (PO)

# Related Work

- ◆ End-to-End data protection studied extensively for the Internet
  - TLS/SSL assume abundant power/memory
- ◆ Distributed Network Protocol 3 (DNP3) for SCADA
  - Assumes operating a security perimeter of the operator
- ◆ IEC 61850 does not have such a secure provisioning
- ◆ Overall existing protocols cannot address a hierarchical data collection model where PO and MDs cannot establish direct connection

# System & Protocol Overview

- ◆ PO initiates the data collection process periodically or otherwise



# Protocol specs

- ◆ Goal is to protect against
  - Eavesdropping
  - Impersonation
  - Message tampering
- ◆ PO → Always trustworthy
- ◆ DCs → Honest-but-curious
- ◆ MDs :
  - Trustworthy at the time of installation
  - Can then be attacked
- ◆ Our protocol cannot identify whether data is legit if a legit key is used
  - But, MDs cannot impersonate other MDs

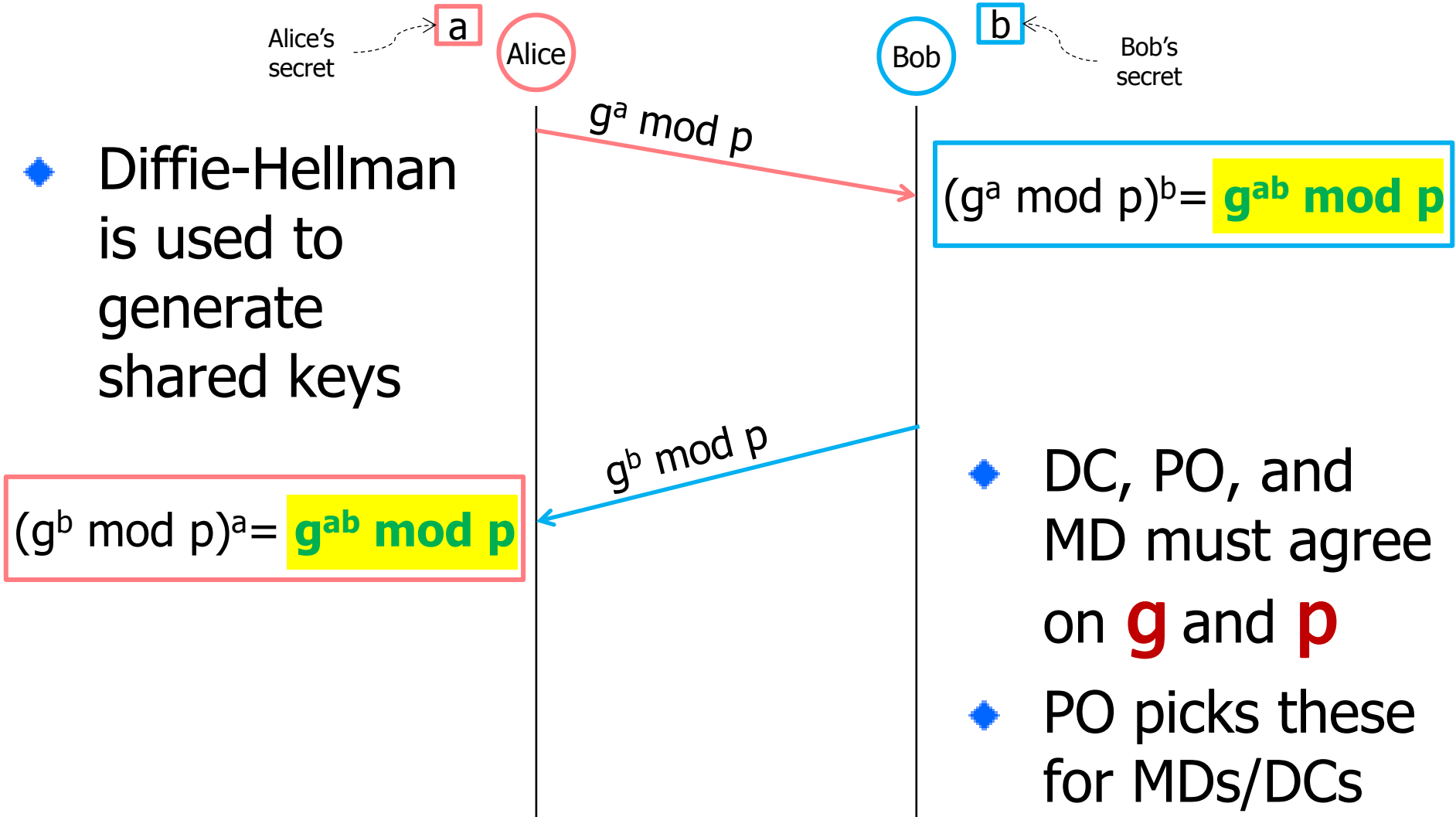
# System Parameters

- ◆ PO, DCs, and MDs are assumed to have been equipped in advance with
  1. Long-term secrets,
  2. A set of system parameters, and
  3. The required cryptographic functions.
- ◆ A DC or MD should have all parameters and functions configured before it is deployed/installed in the field
- ◆ Notation:
  - Public key of node  $A \rightarrow A^+$
  - Private key of node  $A \rightarrow A^-$

# 1. Long-Term Secrets

- ◆ Key server generates private/public keys for all
  - Burned into DCs/MDs before installations
- ◆ PO keeps own as well as keys of all the MDs/DCs
  - PO does not make any keys available to outside
  - Our protocol is secure even if the public keys are known

# 2. System Params. (Diffie-Hellman)



# 3. Cryptographic Functions

- ◆ Encryption, hashing, or signing
  - Needed for authentication, confidentiality, integrity
- ◆ PO selects appropriate cryptographic functions to be pre-installed in DCs/MDs

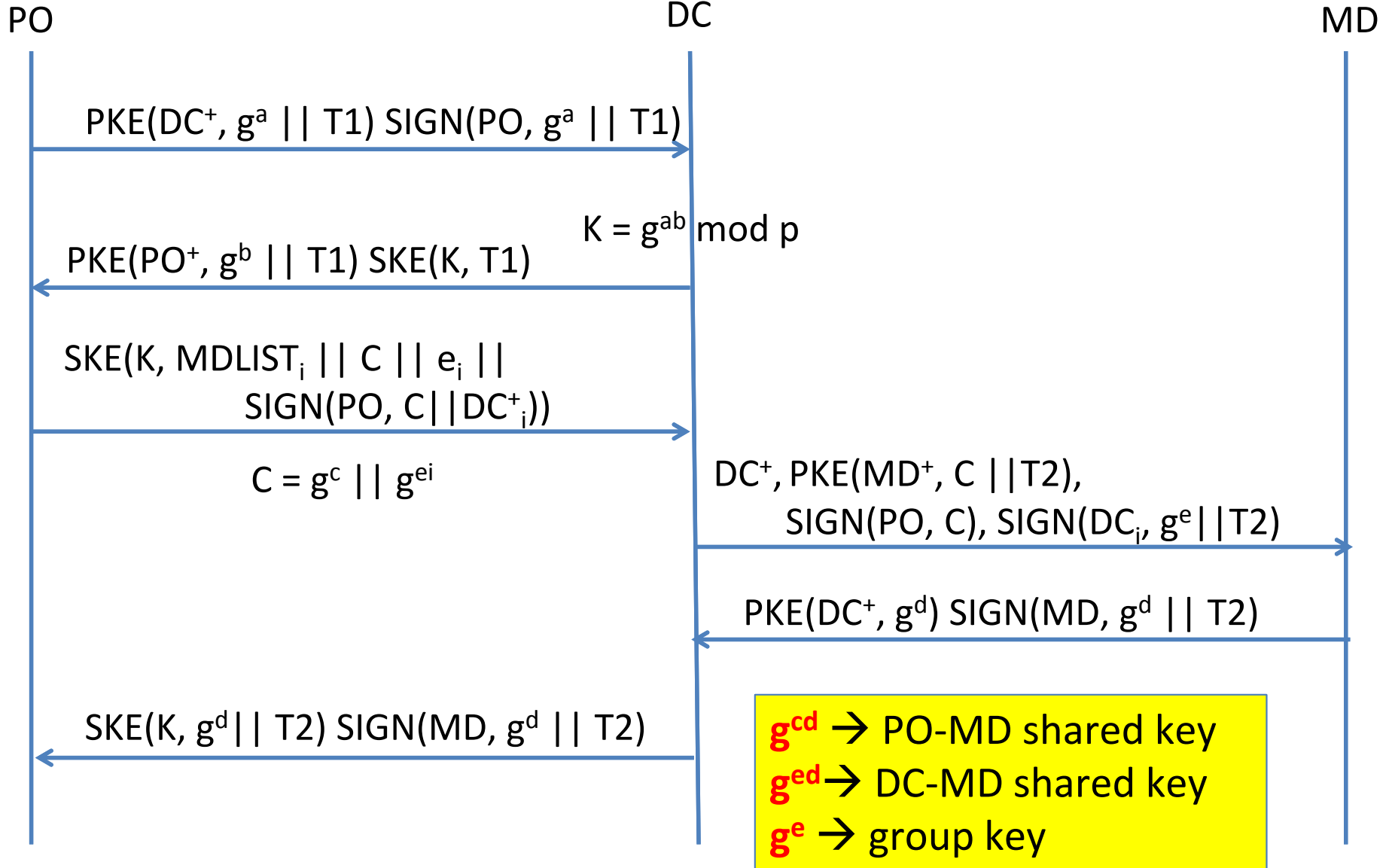
| Name                | Description                                    |
|---------------------|--|
| <b>PKE(K, M)</b>    | apply public key encryption on M using K       |
| <b>SKE(K, M)</b>    | apply symmetric key encryption on M using K    |
| <b>SIGN(A, M)</b>   | The signature of M by A (created using $A^-$ ) |
| <b>HASH(K, M)</b>   | compute the keyed-hash of M using key K        |
| <b>GENKEY(X, Y)</b> | generate a key based on X and Y                |



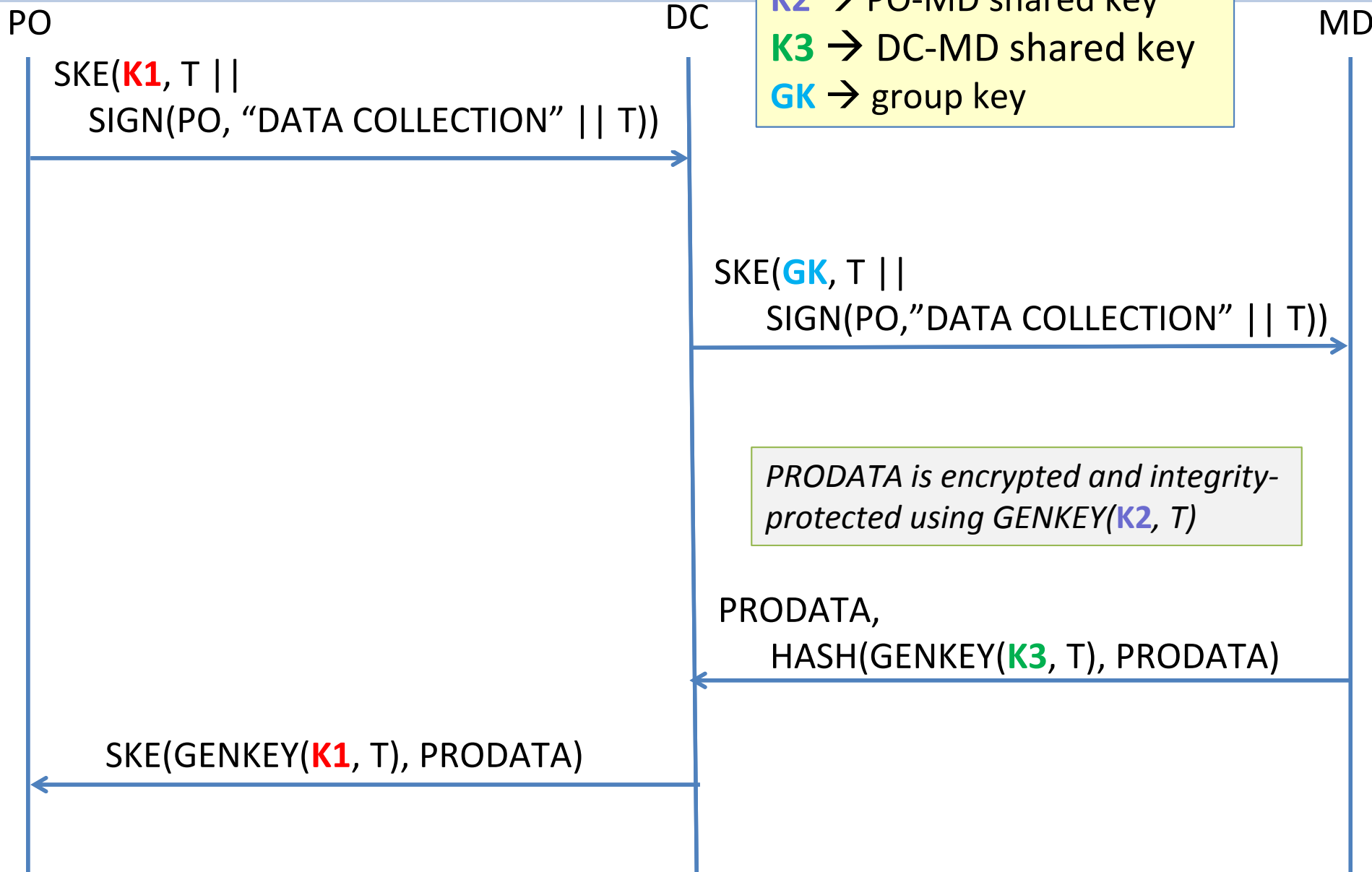
# Protocol Overview

- ◆ Public-key infrastructure is NOT used for data
  - Expensive and not a good practice
- ◆ PO, DC, and MD use long-term secrets to establish shared keys
  - **Pairwise shared key**: A key only known by two parties
    1. PO-MD pairwise shared key for data
    2. DC-MD pairwise shared key (also known by PO)
    3. Group Keys among the PO, a certain DC and its MDs
- ◆ PO initiates the process to generate shared keys using the Diffie-Hellman exchange
  - DH half keys are authenticated using the long-term keys to prevent man-in-the-middle attacks
  - DH is expensive, so timestamps are used to avoid it every time

# Shared Key Generation

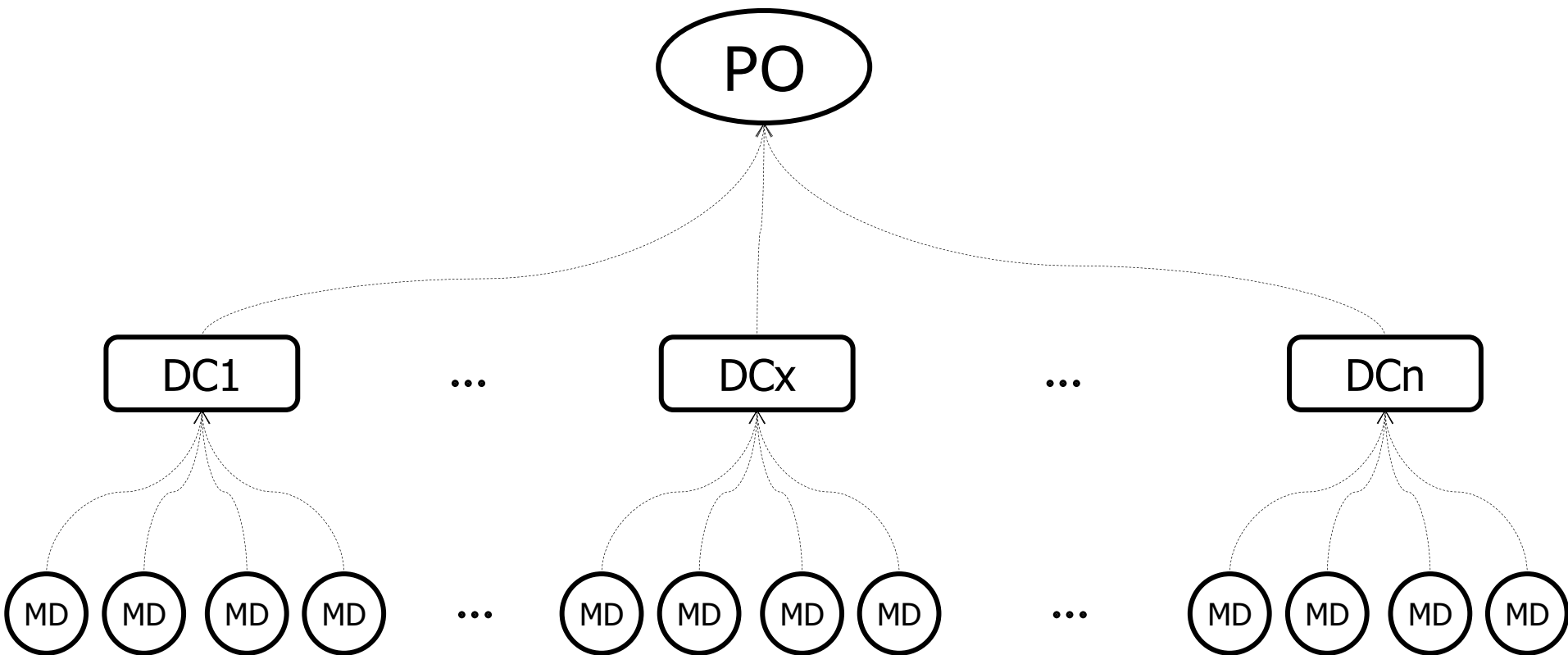


# Data Collection



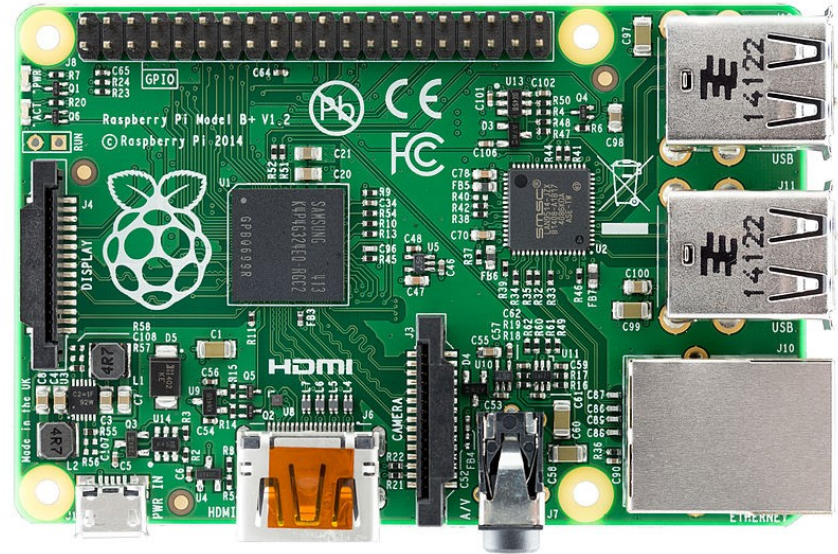
# Preliminary Experimental Results

- ◆ Since the bottleneck of the protocol is on MD side, our focus is on MD-DC part
  - Message generation, communication, and message processing

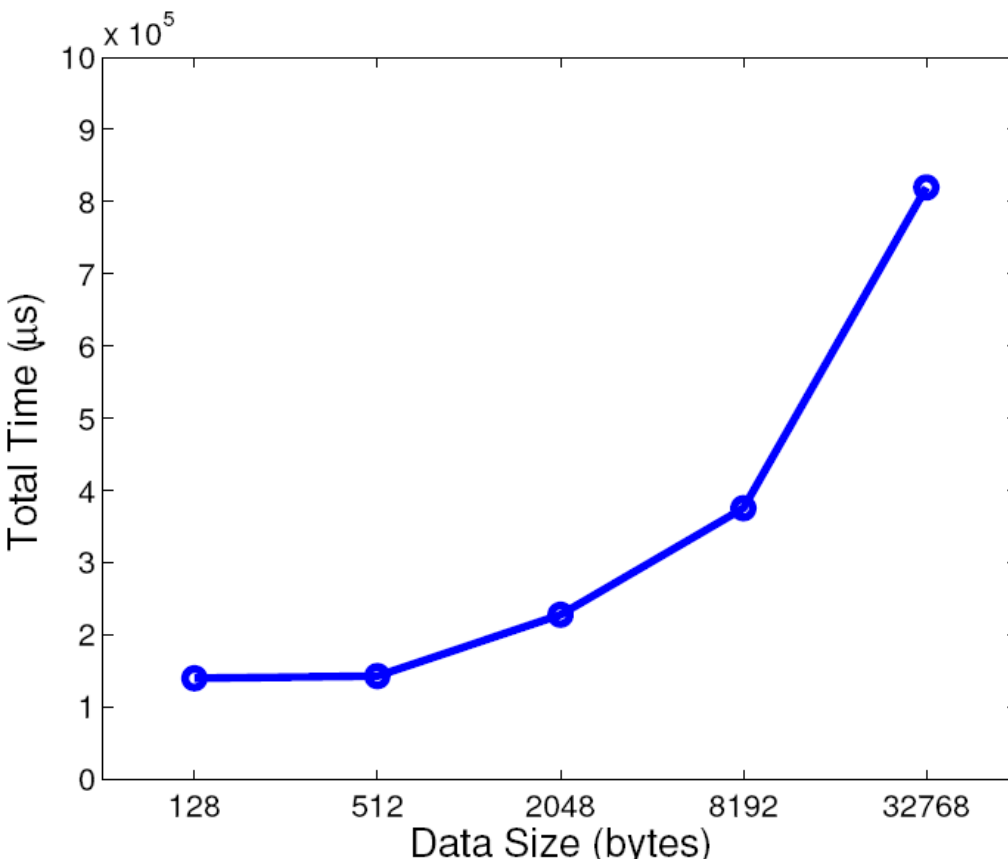


# Preliminary Experimental Results

- ◆ A small testbed of data collection
- ◆ Resource-constrained MDs → by Raspberry Pi
  - Raspberry Pi: Credit-card sized, inexpensive, single-board computers
  - 700MHz Broadcom System-on-a-Chip (SoC)
    - Ⓢ Equivalent to late 1990s Pentium CPUs
  - 256/512 MB RAM
- ◆ 15 MDs
- ◆ DC → laptop

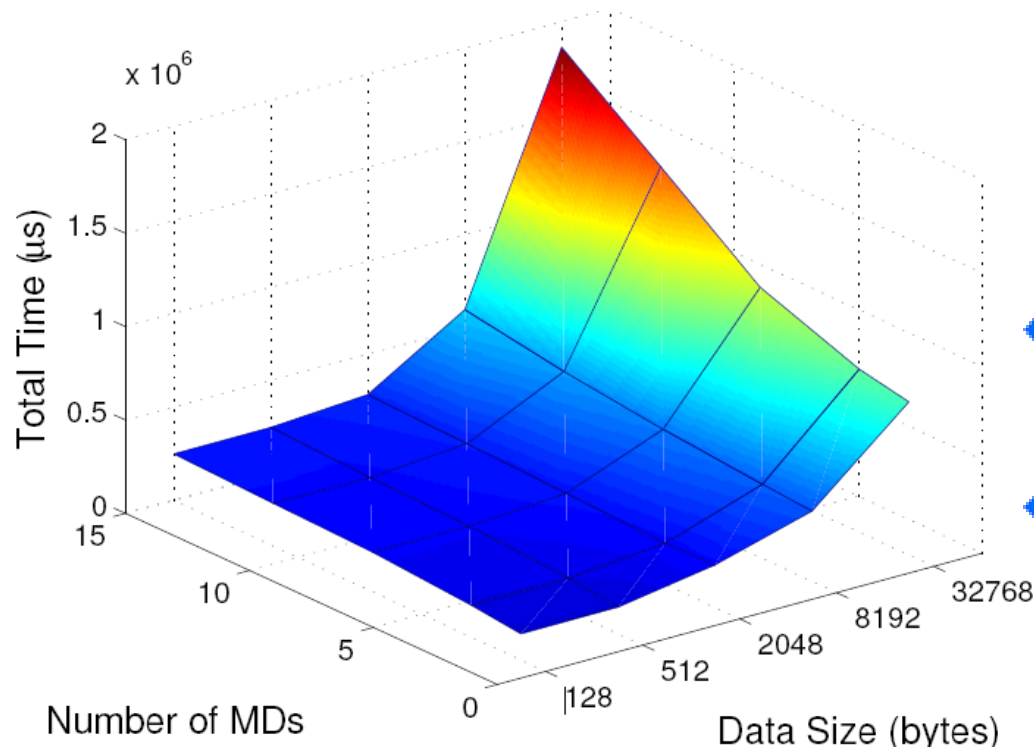


# Time for data collection



- ◆ Total time
  - DC msg generation and transmission to MD
  - MD processing and transmission time
  - DC final processing time
- ◆ DH key size : 1024 bits
- ◆ RSA key size: 3072 bits
- ◆ AES key size: 256 bits

# Collection Time, Size, # of MDs



- ◆ Total data collection time by one DC
  - DH key size : 1024 bits
  - RSA key size: 3072 bits
  - AES key size: 256 bits
- ◆ Total time rises with more MDs and data size
- ◆ Association of MDs with DCs emerges as a critical problem, which we will be studying as part of our future work.

# Conclusion

- ◆ Data collection is critical for the success of the Smart Grid.
- ◆ Vastly increased data calls for mechanisms with security, reliability, and privacy
  - Automation becomes inevitable and thus M2M
- ◆ Proposed: a secure communications protocol data collection in a practical, scalable, and efficient manner under a hierarchical model
- ◆ 3<sup>rd</sup> party service providers enabled as envisioned by NIST's Smart Grid Conceptual Model



# Thank You!

Questions  
or  
Comments?

# Backup Slides...

# Renewable Sources – Strong Push

- ◆ President Obama's **25by25** goal : 25% renewable sources (Wind turbines, solar panel, etc.) by 2025
  - Now < 5%.
- ◆ European Union's ambitious climate and energy targets for 2020
  - These targets, known as the "**20-20-20**" targets, set three key objectives for 2020:
    - ⦿ A 20% reduction in EU greenhouse gas emissions from 1990 levels;
    - ⦿ Raising the share of EU energy consumption produced from renewable resources to 20%;
    - ⦿ A 20% improvement in the EU's energy efficiency.

# SG and M2M Communications???

# Advanced Metering Infrastructure

- ◆ ??????
- ◆ Example scenarios (AMI, sensors measurement devices)