HW3

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Part 1

Problem 1.

a.

Classifier: $2x_1 + 3x_2 - 4 = 0$

Predicted Labels

$$\begin{aligned} \operatorname{label} &= \operatorname{sign}(w \cdot x + b) \\ \operatorname{Row} &1 : \operatorname{sign}(2(1) + 3(1) - 4) = \operatorname{sign}(1) = 1 \\ \operatorname{Row} &2 : \operatorname{sign}(2(1) + 3(-1) - 4 = \operatorname{sign}(-5) = -1 \\ \operatorname{Row} &3 : \operatorname{sign}(2(0) + 3(0) - 4 = \operatorname{sign}(-4) = -1 \\ \operatorname{Row} &4 : \operatorname{sign}(2(-1) + 3(3) - 4 = \operatorname{sign}(3) = 1 \end{aligned}$$

Actual Label	Predicted Label
1	1
-1	-1
-1	-1
1	1

Margin

$$ext{Margin} = \min \left(rac{(y_i(w \cdot x + b))}{||w||}
ight) = rac{1}{||w||} \ rac{1}{||w||} = rac{1}{\sqrt{2^2 + 3^2}} = rac{1}{\sqrt{13}} pprox .277$$

b

Row 4:
$$sign(2(-1) + 3(-1) - 4 = sign(-9) = -1$$

Actual Label	Predicted Label
1	1
-1	-1
-1	-1
1	1
1	-1

No. Because the last point is misclassified, there is not a margin for this hyperplane.

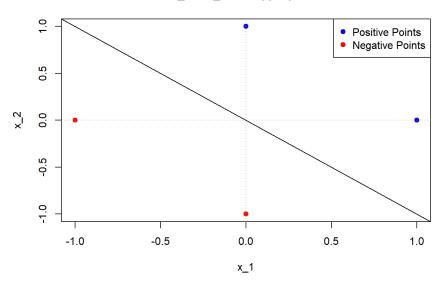
Problem 2.

a.

 $Margin\ of\ a\ dataset\ refers\ to\ the\ largest\ possible\ margin\ achievable\ by\ any\ hyperplane/linear\ classifier\ for\ that\ dataset. The\ hyperplane\ the\ n$

There is a margin for this dataset as each of the points are correctly classified when using the classifier $x_1 + x_2 = 0$. Of the possible margins I

$x_1 + x_2 = 0$ Hyperplane



b.

There is no linear classifier that correctly seperate all the points in this dataset and thus, there no margin for this dataset.

Problem 3.

Mistake Bound Theorem for Perceptron

We are given a training dataset $\{(x_i,y_i)\}_{i=1}^m$ where $x_i\in\mathbb{R}^n$ and $y_i\in\{-1,+1\}$. We assume that there exists a vector $u\in\mathbb{R}^n$ and a positive margin γ such that:

$$y_i(u^Tx_i) \geq \gamma$$
 for all $i = 1, 2, \dots, m$

Our goal is to show that the number of mistakes made by the Perceptron algorithm is bounded by:

$$\frac{\|w^*\|^2}{\gamma^2}$$

where w^* is any vector that can separate the data with margin γ .

Proof

- 1. Initialize the Perceptron algorithm with w=0.
- 2. **Define Mistakes**: Let M be the total number of mistakes made by the Perceptron algorithm. For each mistake, the weight vector w is updated as follows:

$$w = w + y_i x_i$$

After M mistakes, the resulting weight vector w_M can be written as the sum of the updates:

$$w_M = \sum_{j=1}^M y_{i_j} x_{i_j}$$

where i_j represents the index of the example that was misclassified on the j-th mistake.

3. Bounding $w_M \cdot u$:

Since each example satisfies $y_i(u^Tx_i) \geq \gamma$, we have:

$$y_{i_j}(u^Tx_{i_j}) \geq \gamma$$

Thus, the dot product $w_M \cdot u$ can be bounded as follows:

$$w_M \cdot u = \left(\sum_{j=1}^M y_{i_j} x_{i_j}
ight) \cdot u = \sum_{j=1}^M y_{i_j} (u^T x_{i_j}) \geq M \gamma$$

4. Bounding $\|w_M\|$:

On each update, we add $y_i x_i$ to w, so:

$$\|w_{j+1}\|^2 = \|w_j + y_{i_j} x_{i_j}\|^2$$

Expanding this:

$$\|w_{i+1}\|^2 = \|w_i\|^2 + 2y_{i,i}(w_i \cdot x_{i,i}) + \|x_{i,i}\|^2$$

Since w_j misclassifies x_{i_j} , we have $y_{i_j}(w_j \cdot x_{i_j}) \leq 0$. Therefore:

$$||w_{j+1}||^2 \le ||w_j||^2 + ||x_{i_j}||^2$$

Assuming each x_i has a bounded norm $\|x_i\| \leq R$, it follows that:

$$\|w_M\|^2 \leq MR^2$$

5. Combining Inequalities:

From Step 3, we have $w_M \cdot u \geq M\gamma$, and from Step 4, we have $\|w_M\| \leq \sqrt{M}R$. Using the Cauchy-Schwarz inequality, we obtain:

$$w_M \cdot u \leq \|w_M\| \|u\| \leq R \|u\| \sqrt{M}$$

Combining these:

$$M\gamma < R||u||\sqrt{M}$$

6. Solving for M:

Dividing both sides by $\sqrt{M}\gamma$, we get:

$$\sqrt{M} \leq rac{R\|u\|}{\gamma}$$

Squaring both sides gives:

$$M \leq rac{R^2 \|u\|^2}{\gamma^2}$$

Conclusion

The Perceptron algorithm makes at most $\frac{R^2\|u\|^2}{\gamma^2}$ mistakes.

Problem 4

Mistake Bound Theorem

Given that the Mistake Bound Theorem for the Perceptron algorithm states:

$$M \leq rac{||R||^2 ||u||^2}{\gamma^2}$$

where: - R is the maximum norm of any input vector x_i , - $\|u\|$ is the norm of a weight vector u that correctly classifies all inputs with margin γ .

Applying the Bound to Our Disjunction Function

For the function $f(x_1,x_2,\ldots,x_n)=\neg x_1\vee \neg x_2\vee \cdots \vee \neg x_k\vee x_{k+1}\vee \cdots \vee x_{2k}$:

- 1. **Maximum Norm** R: Since each input vector is a Boolean vector ($x_i \in \{0,1\}$), the maximum norm R is \sqrt{n} .
- 2. Weight Vector u: We can construct u to match the function structure, setting:
 - $\circ \ -1$ for the first k positions (for $\neg x_1, \dots, \neg x_k$),
 - $\circ \ +1$ for the next k positions (for x_{k+1},\ldots,x_{2k}),
 - 0 for the remaining entries.

Then, $\|u\|=\sqrt{2k}$.

3. **Margin** γ : Assume a margin of $\gamma=1$ for simplicity.

Calculating the Upper Bound

Substituting these values into the mistake bound formula:

$$M \leq \frac{(\sqrt{n})^2 \cdot (\sqrt{2k})^2}{1^2}$$

This simplifies to:

$$M \le 2nk$$

Conclusion

The Perceptron algorithm will make at most 2nk mistakes when learning this disjunction function.

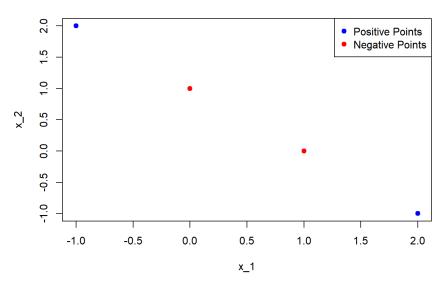
Problem 5

Proof by Contradiction

For a linear classifier to shatter n points, it must correctly classify all possible labels for those points. For 4 points, there are $2^4=16$ possible labels.

Let's consider a 2D classifier, that splits positive and negative points with a hyperplane.

Contradiction Example Graph



In this example, all 4 points are collinear, and thus, there is no linear classifier that can separate these points correctly based on their labels.

Since we cannot achieve all 16 possible labels for 4 collinear points, a linear classifier in a plane cannot shatter 4 distinct collinear points.

Problem 6

The VC Dimension is the maximum number of points that a hypothesis classifier H can shatter.

Each hypothesis classifier is a rectangle, where all the points with positive labels are within the center of the rectangle and all the points with negative labels are outside.

For 1 point: A single point can be classified as positive or negative by placing a rectangle around it or not. So, H can shatter 1 point. For 2 points: We can cover each point individually or neither or both, so H can shatter 2 points. For 3 points: A rectangle can still cover any subset of three points in the plane, so H can shatter 3 points. For 4 points: For any arrangement of 4 points in general position (not in a line or collinear), it is possible to find a rectangle for every subset of points, meaning 4 points can be shattered. For 5 points: However, with 5 points, it becomes impossible to achieve every possible labeling by placing a single rectangle around any subset of the points. For instance, there may be no way to enclose an arbitrary subset of 5 points in a way that matches every possible labeling.

Therefore, the VC dimension VC(H) for the hypothesis class of all rectangles in a 2D plane is 4.

Part 2

a.

• Perceptron Weights: [-1.2333106, -0.7830138, -0.87618231, -0.17396738]

b.

Distinct Weight Vectors and their Counts: Weight Vector: [-0.080094 -0.0091874 -0.027242 -0.032362], Bias: -0.02, Count: 6 Weight Vector: [-0.059292 -0.1971614 -0.0444416 0.07431], Bias: -0.04, Count: 1 Weight Vector: [-0.128586 -0.1188174 -0.1239336 0.0670862], Bias: -0.06, Count: 3 Weight Vector: [-0.169644 -0.0420474 -0.1398424 0.0428102], Bias: -0.03999999999999999, Count: 3 Weight Vector: [-0.235028 -0.2968594 0.1713036 0.0399738], Bias: -0.01999999999999999999, Count: 7 Weight Vector: [-0.313436 -0.2154134 0.166568 -0.0023282], Bias: 6.938893903907228e-18, Count: 5 Weight Vector: [-0.38277 -0.1339654 0.080804 -0.0331642], Bias: -0.01999999999999, Count: 9 Weight Vector: [-0.3804134 -0.1023874 -0.079796 -0.03260358], Bias: -0.03999999999999, Count: 10 Weight Vector: [-0.3514114 -0.0302534 -0.16091 -0.06453558], Bias: -0.01999999999999, Count: 3 Weight Vector: [-0.2984534 -0.2330014 -0.13429 0.04487842], Bias: -0.03999999999999999, Count: 3 Weight Vector: [-0.296135 -0.1685634 -0.202894 -0.01203558], Bias: -0.019999999999999, Count: 8 Weight Vector: [-0.3092884 -0.2245994 -0.128664 0.00791222], Bias: 6.938893903907228e-18, Count: 1 Weight Vector: [-0.3068632 -0.22013 -0.1381294 0.02731702], Bias: 0.020000000000000000, Count: 2 Weight Vector: [-0.3166794 -0.163226 -0.2110014 -0.03469098], Bias: 0.040000000000000000, Count: 10 Weight Vector: [-0.2727934 -0.07222 -0.3105214 -0.08919898], Bias: 0.0600000000000000, Count: 2 Weight

Vector: [-0.3532294 -0.2383 -0.0594214 -0.11939698], Bias: 0.0800000000000000, Count: 3 Weight Vector: [-0.351023 -0.198818 -0.1267574 -0.13244878], Bias: 0.1000000000000000000, Count: 20 Weight Vector: [-0.339013 -0.160164 -0.1925334 -0.13893178], Bias: 0.120000000000000, Count: 34 Weight Vector: [-0.285035 -0.404132 -0.2060656 0.03203222], Bias: 0.10000000000000000, Count: 1 Weight Vector: [-0.2742478 -0.326244 -0.3023976 -0.05480378], Bias: 0.1200000000000002, Count: 9 Weight Vector: [-0.3982538 -0.152632 -0.30221491 -0.12886378], Bias: 0.14, Count: 6 Weight Vector: [-0.40207 -0.335226 -0.22771491 -0.01241578], Bias: 0.12000000000000001, Count: 6 Weight Vector: [-0.532238 -0.159834 -0.22307671 -0.09115578], Bias: 0.14, Count: 12 Weight Vector: [-0.505872 -0.1218 -0.28929871 -0.08985436], Bias: 0.16, Count: 9 Weight Vector: [-0.5093312 -0.145432 -0.26166271 -0.07518236], Bias: 0.18, Count: 8 Weight Vector: [-0.4563732 -0.34818 -0.23504271 0.03423164], Bias: 0.16, Count: 3 Weight Vector: [-0.4370316 -0.271328 -0.33367071 -0.04841436], Bias: 0.18, Count: 1 Weight Vector: [-0.4676756 -0.37326 -0.20011271 -0.04491476], Bias: 0.1999999999999, Count: 11 Weight Vector: [-0.4742604 -0.284156 -0.29154871 -0.02513876], Bias: 0.18, Count: 9 Weight Vector: [-0.466733 -0.3006276 -0.27584011 -0.01023396], Bias: 0.199999999999, Count: 4 Weight Vector: [-0.4555452 -0.3068356 -0.27217871 -0.00130336], Bias: 0.21999999999999, Count: 31 Weight Vector: [-0.4659352 -0.2415696 -0.33396871 0.01839464], Bias: 0.1999999999999, Count: 13 Weight Vector: [-0.5287812 -0.5022996 -0.02042271 0.00516164], Bias: 0.2199999999999999, Count: 2 Weight Vector: [-0.5632952 -0.4129056 -0.18486071 0.04130764], Bias: 0.1999999999999, Count: 6 Weight Vector: [-0.5818892 -0.3369636 -0.27771871 0.04722164], Bias: 0.18, Count: 17 Weight Vector: [-0.5695588 -0.2590756 -0.37226871 -0.04067436], Bias: 0.19999999999999, Count: 8 Weight Vector: [-0.5359608 -0.1749396 -0.46306471 -0.08853636], Bias: 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-0.87618231 -0.17396738], Bias: 1.080000000000005, Count: 36 Average Test Error: 0.014028056112224463

0.92000000000005, Count: 5 Weight Vector: [-1.1493244 -0.5700498 -0.89035631 -0.16214578], Bias: 0.900000000000005, Count: 22 Weight

C.

Learned Weight Vector: [-0.84370628 -0.54960948 -0.53990212 -0.21135024] Average Test Error: 0.018036072144288595 **ANSWER:** Compared to the Voted Perceptron model in part b, the weights are much closer to 0 and the test error was slighly larger, but the two models generally had the same performance.

d.

Comparing all three of these models, the Averaged and Voted models performed relatively the same and had a small test error, meaning they captured the pattern in the data well. Both were way better than the Standard model, which was too simple and could not correctly classify all points in the test data.