

# Proof Theory

枫聆

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## Basic Logic

### Satisfiability of Sets of Formulas

**Definition 1** If  $v$  is a **valuation**, this is, a mapping from the atoms to the set  $\{t, f\}$ .

**Definition 2** [4] Let  $\Sigma$  denote a set of well-formed formulas and  $t$  a valuation. Define

$$\Sigma^t = \begin{cases} T & \text{if for each } \beta \in \Sigma, \beta^t = T \\ F & \text{otherwise} \end{cases}$$

When  $\Sigma^t = T$ , we say that  $t$  **satisfies**  $\Sigma$ . A set  $\Sigma$  is **satisfiable** iff there is some valuation  $t$  such that  $\Sigma^t = T$ .

**Definition 3** Let  $\Sigma$  be a set of formulas, and let  $\alpha$  be a formula, we say that

1.  $\alpha$  is a **logical consequence** of  $\Sigma$ , or
2.  $\Sigma$  **(semantically) entails**  $\alpha$ , or
3.  $\Sigma \models \alpha$ ,

if and only if for all truth valuations  $t$ , if  $\Sigma^t = T$  then also  $\alpha^t = T$ . We write  $\Sigma \not\models \alpha$  for there exists a truth valuation  $t$  such that  $\Sigma^t = T$  and  $\alpha^t = F$ .

**Annotation 4** For example,  $\Sigma = \{p_1, p_2, \dots, p_n\}$  could be a set of premises and let  $\alpha$  could be the conclusion that we want to derive.

## Natural Deduction

**Remark 5** Natural deduction is a kind of proof calculus in which logical reasoning is expressed by inference rules closely related to the "natural" way of reasoning.

### Judgments and Propositions

**Definition 6** A *judgment* is something we may know, this is, an object of knowledge. A judgment is *evident* if we in fact know it.

**Annotation 7** "A is false" (see classical logic), "A is true at time t" (see temporal logic), "A is necessarily true" or "A is possibly true" (see modal logic), "the program M has type " (see programming languages and type theory), "A is achievable from the available resources" (see linear logic).

### Introduction and Elimination

**Definition 8** Inference rules that introduce a logical connective in the conclusion are known as *introduction rules*. i.e., to conclude " $A$  and  $B$  true" for propositions  $A$  and  $B$ , one requires evidence for " $A$  true" and  $B$  true. As an inference rule:

$$\frac{A \text{ true} \quad B \text{ true}}{A \wedge B \text{ true}} \wedge I$$

Here  $\wedge I$  stands for "conjunction introduction".

**Annotation 9** 实际上面的 inference rule 的 general form 应该是

$$\frac{A \text{ prog} \quad B \text{ prog} \quad A \text{ true} \quad B \text{ true}}{A \wedge B \text{ true}} \wedge I$$

这里才能帮助后面的  $\models$  make sense.

**Definition 10** Inference rules that describe how to deconstruct information about a compound proposition into information about its constituents are elimination rules. i.e., from  $A \wedge B$  true, we can conclude  $A$  true and  $B$  true:

$$\frac{A \wedge B \text{ true}}{A \text{ true}} \wedge E_L \quad \frac{A \wedge B \text{ true}}{B \text{ true}} \wedge E_R$$

**Annotation 11** The meaning of conjunction is determined by its *verifications*.

## Hypothetical Derivations

**Definition 12** A *hypothetical judgment* is  $J_1, \dots, J_n \vdash J$ , where judgments  $J_1, \dots, J_n$  are unproved assumptions, and the judgment  $J$  is the conclusion. A *hypothetical deduction*(derivation) for  $J_1, \dots, J_n \vdash J$  has the form

$$\begin{array}{c} J_1 \quad \cdots \quad J_n \\ \vdots \\ J \end{array}$$

which means  $J$  is derivable from  $J_1, \dots, J_n$ .

**Annotation 13** 上面的  $J_1, \dots, J_n$  都可以替换成关于  $J_i$  的一个 hypothetical derivation.

**Definition 14** In the natural deduction calculus, an assumption is discharged when the conclusion of an inference does not depend on it, although one of the premises of the inference does[1].

**Annotation 15** Once the appropriate rules have been completed, these are known as discharged assumptions, and are not included in the pool of assumptions on which the conclusion of the rule depends[3].

**Annotation 16** hypothetical derivation 要求最后的 conclusion 依赖的 pool of assumptions 不是空的.

**Theorem 17** Deduction theorem

$$T, P \vdash Q \iff T \vdash P \rightarrow Q$$

.

**Annotation 18** 在 deduction theorem 中我们注意到第一个 hypothetical judgment 里面的 antecedent  $Q$  被去掉了, 在第二个 hypothetical judgment 的 succedent 里面作为一个 implication 的 antecedent 出现了, 这里我们就可以说 assumption  $Q$  is discharged, 即现在的 conclusion 已经不依赖它了. 那么我们是如何构造 deduction theorem 里面的 implication 的呢? 下面接着看

**Definition 19** (implication) If  $B$  is true under the assumption that  $A$  is true, formally written  $A \supset B$ . The corresponded introduction and elimination rule as follow

$$\frac{\frac{\overline{A \text{ true}}^u \quad \vdots \quad B \text{ true}}{A \supset B \text{ true}} \supset I^u \quad \frac{A \supset B \quad A \text{ true}}{B \text{ true}} \supset E$$

**Annotation 20** Why indexed  $u$  In the introduction rule, the antecedent named  $u$  is discharged in the conclusion. This is a mechanism for delimiting the scope of the hypothesis: its sole reason for existence is to establish " $B \text{ true}$ "; it cannot be used for any other purpose, and in particular, it cannot be used below the introduction.

上面这段话出自 natural deduction 的 wiki, 这个 *uscope* 了 assumption  $A \text{ true}$  的开端, 因为  $A \supset B$  并不依赖  $A \text{ true}$ , 它描述只是 if  $A \text{ true}$  then  $B \text{ true}$ . 同时最后的 introduction rule 会将这个 assumption  $A \text{ true}$  discharged 掉, 表示 scope 在这里已经结束了. 而 implication rule 会将上述 derivation 直接总结得到一个结论, 即

$$A \vdash B \Rightarrow \cdot \vdash A \rightarrow B.$$

**Example 21** Considering the following proof of  $A \supset (B \supset (A \wedge B))$

$$\frac{\frac{\frac{\overline{A \text{ true}}^u \quad \overline{B \text{ true}}^w}{A \wedge B \text{ true}} \wedge I}{B \supset (A \wedge B) \text{ true}} I^w}{A \supset (B \supset (A \wedge B)) \text{ true}} I^u.$$

这个整个 derivation 不是 hypothetical 的, 因为两个 assumptions  $A \text{ true}$  和  $B \text{ true}$  都已经被 discharged, 因此它实际上一个 complete proof!

**Definition 22** (**disjunction**) The elimination rule for disjunction:

$$\frac{\frac{\overline{A \text{ true}}^u \quad \overline{B \text{ true}}^w}{A \vee B \text{ true}} \quad \frac{\begin{array}{c} \vdots \\ C \text{ true} \end{array} \quad \begin{array}{c} \vdots \\ C \text{ true} \end{array}}{C \text{ true}} \vee E^{u,w}$$

both assumption  $u, w$  are discharged at the disjunction elimination rule.

**Definition 23** The falsehood elimination rule:

$$\frac{\perp \text{ true}}{C \text{ true}} \perp E$$

**Annotation 24** falsehood elimination 的意义在哪? 首先你应该主要到一个特殊等价命题  $A \vee \perp = A$ , 从  $\vee$  的 introduction rule 来看这意味  $\perp \text{ true} \vdash A \text{ true}$ , 由于  $A$  是任意的, 因此我们得到了  $\perp \text{ true} \vdash C \text{ true}$ .

## Harmony

**Definition 25** **Local soundness** shows that the elimination rules are not strong: no matter how we apply eliminations rules to the result of an introduction we cannot gain any new information.

**Definition 26** **Local completeness** shows that the elimination rules are not weak: there is always a way to apply elimination rules so that we can reconstitute a proof of the original proposition from the results by apply introduction rules.

**Annotation 27** local soundness 告诉你通过 elimination 压缩得到的东西不会比你已经知道的东西强 (not strong), 而 local completeness 告诉你合并通过 elimination 压缩得到的东西会得到全部你知道的信息.

**Definition 28** Given two deduction of same judgment, we use the notion

$$\frac{\mathcal{D}}{A \text{ true}} \Longrightarrow_R \frac{\mathcal{D}'}{A \text{ true}}$$

for the **local reduction** of a deduction  $\mathcal{D}$  to another deduction  $\mathcal{D}'$  of same judgement  $A \text{ true}$ . Similiarly, we have **local expansion**

$$\frac{\mathcal{D}'}{A \text{ true}} \Longrightarrow_E \frac{\mathcal{D}}{A \text{ true}}$$

**Definition 29** (**substitution Principle**) If

$$\frac{\frac{\mathcal{D}}{A \text{ true}} \quad u}{\mathcal{E}} \quad C \text{ true}$$

is a hypothetical proof of  $C \text{ true}$  under the undischarged hypothesis  $A \text{ true}$  labelled  $u$ , and

$$\frac{\mathcal{D}}{A \text{ true}}$$

is a proof of  $A \text{ true}$  then

$$\frac{\frac{\mathcal{D}}{A \text{ true}} \quad u}{\mathcal{E}} \quad C \text{ true}$$

is our notation for substituting  $\mathcal{D}$  for all uses of the hypothesis labelled  $u$  in  $\mathcal{E}$ . This deduction, also sometime written as  $[\mathcal{D}/u]\mathcal{E}$  no longer depends on  $u$ .

**Example 30** If given a elimination rule of disjunction as follow

$$\frac{A \vee B \text{ true}}{A \text{ true}} \vee E_L$$

The rule a little bit stronger, since we would not be able to reduce

$$\frac{\frac{B \text{ true}}{A \vee B \text{ true}} \vee I_R}{A \text{ true}} \vee E_L$$

As u can see it's not local soundness.

## Verifications and Uses

**Definition 31** a verification should be a proof that only analyzes the constituents of a proposition.

**Annotation 32** natural deduction 实际上像 constructive logic 或者 intuitive logic, 不像 classic logic, 例如 Proposition  $A \vee (A \supset B)$  在 classic logic 就是正确的, 因为我们  $A$  和  $B$  都需要给定是 true/false tag, 但是在 natural deduction 里面我们好像没有办法来处理. 更甚, 如果我们要证明一个  $B$  是 accepted in natural deduction, 你可能首先需要证明  $A \supset B$  和  $B$  都是 accepted, 就需要根据其结构 bottom-up 来做 derivation.

**Definition 33** Writing  $A \uparrow$  for the judgment "A has a verification". Naturally, this should mean that  $A$  is true, and that the evidence for that has a special form.

**Definition 34** Writing  $A \downarrow$  for the judgment "A may be used".  $A \downarrow$  should be the case when either  $A$  true is a hypothesis, or  $A$  is deduced from a hypothesis via elimination rules.

**Definition 35** For conjunction.

$$\frac{A \uparrow \quad B \uparrow}{A \wedge B \uparrow} \wedge I \quad \frac{A \wedge B \downarrow}{A \downarrow} \wedge E_L \quad \frac{A \wedge B \downarrow}{B \downarrow} \wedge E_R$$

**Definition 36** For implication

$$\frac{\overline{A \downarrow}^u \quad \vdots \quad \frac{B \uparrow}{A \supset B \uparrow} \supset^u}{A \supset B \downarrow} \supset E \quad \frac{A \supset B \downarrow \quad A \uparrow}{B \downarrow} \supset E$$

implication introduction rule 里面的  $B \uparrow$  表示没看懂, 因为这里的  $B$  显然是来自 elimination 的结果. 为什么 implication elimination 里面需要  $A \uparrow$  呢?

**Example 37**

$$\frac{\frac{\overline{A \wedge B \text{ true}}^u}{A \text{ true}} \wedge E_L}{(A \wedge B) \supset A \text{ true}} \supset I^u$$

**Definition 38** For disjunction

$$\frac{A \uparrow}{A \vee B \uparrow} \vee I_L \quad \frac{B \uparrow}{A \vee B \uparrow} \vee I_R \quad \frac{\overline{A \uparrow}^u \quad \overline{B \downarrow}^w \quad \vdots \quad \frac{A \vee B \downarrow \quad \overline{C \uparrow}^u \quad \overline{C \uparrow}^w}{C \uparrow} \vee E^{u,w}}{C \uparrow} \vee E^{u,w}$$



**Definition 39** For truth and falsehood.

$$\overline{\top \uparrow} \top I \quad \frac{\perp \downarrow}{C \uparrow} \perp E$$

**Annotation 40**  $\perp \downarrow$  signifies a contradiction from our hypotheses.

**Definition 41** For atomic propositions.

$$\frac{P \downarrow}{P \uparrow} \downarrow \uparrow.$$

**Annotation 42** 对于 atomic props, 我们只能对它赋予一个 property, 没有关于它的 verification. 因此上述的规则是在进行一个转换, 只要我们 assumption 了关于它的一个 property, 就默认它已经被 verified.

## Soundness and Completeness of Natural Deduction

**Definition 43** [5] Soundness of natural deduction means that the conclusion of proof is always a logical consequence of the premises. That is

$$\text{If } \Sigma \vdash \alpha, \text{ then } \Sigma \models \alpha.$$

**Definition 44** Completeness of natural deduction means that all logical consequences in propositional logic are provable in natural deduction. That is,

$$\text{If } \Sigma \models \alpha, \text{ then } \Sigma \vdash \alpha.$$

**Annotation 45** 其中  $\Sigma \vdash \alpha$ , 表示存在一个以  $\Sigma$  作为 premise 得到 conclusion 为  $\alpha$  的 proof. 而  $\Sigma \models \alpha$ , 就考虑两端的 proposition 加上 truth-falsehood 了, 即如  $\Sigma^t = \text{True}$  则有  $\alpha^t = \text{True}$ .

对于 soundness 的证明, 我们需要根据  $\alpha$  的结构来做归纳, 而后再考虑赋予其 true/false 来考虑. 这里记录一下对于结构归纳它是怎样对应一般归纳法命题  $P(n)$  结构上, 这里的  $n$  应该对应  $\alpha$  的 bottom-up derivation 里面的 maximum depth of line.

而对于 completeness 的证明, 相对来说会复杂一点. 我们需要下面 3 个 lemma. 有一个疑问不引入 negation 是不是还说明不了 completeness?

**Lemma 46** If  $\Sigma \models \beta$ , then

$$\emptyset \models (\alpha_0 \rightarrow (\alpha_1 \rightarrow (\cdots \rightarrow (\alpha_n \rightarrow \beta) \cdots))).$$

**Lemma 47** For any well-form formula  $\gamma$  containing atoms  $p_1, p_2, \cdots, p_n$  and any valuation  $t$ , we have

1. If  $\gamma^t = \text{True}$  then  $\hat{p}_1, \hat{p}_2, \cdots, \hat{p}_n \vdash \gamma$ ;
2. If  $\gamma^t = \text{False}$  then  $\hat{p}_1, \hat{p}_2, \cdots, \hat{p}_n \vdash \neg \gamma$ ;

where defines  $\hat{p}_i$  as follow

$$\hat{p}_i = \begin{cases} p_i & \text{if } p_i^t = \text{True} \\ \neg p_i & \text{if } p_i^t = \text{False} \end{cases}$$

**Example 48** 若  $\gamma = p \rightarrow q$ , 我们可以构造一个真值表

$p$	$q$	$p \rightarrow q$	Claim
$T$	$T$	$T$	$p, q \vdash p \rightarrow q$
$T$	$F$	$F$	$p, \neg q \vdash \neg(p \rightarrow q)$
$F$	$T$	$T$	$\neg p, q \vdash p \rightarrow q$
$F$	$F$	$T$	$\neg p, \neg q \vdash p \rightarrow q$

那么上面的 claims 是怎么来的呢? 我们可以来分别证明, 对于第一行

$$\frac{\overline{p \text{ true}}^u \quad q \text{ true}}{\frac{q \text{ true}}{p \rightarrow q \text{ true}}^u}$$

感觉有点奇怪, 这里需要用到 vars inference rule, 这里相对于对  $q \vdash p \rightarrow q$  的 weaken premise. 对于第二行

$$\frac{\frac{\overline{p \rightarrow q \text{ true}}^u \quad p \text{ true}}{q} \quad \neg q \text{ true}}{\frac{\perp}{\neg(p \rightarrow q) \text{ true}}^u}$$

对于第三行

$$\frac{\overline{p \text{ true}}^u \quad \neg p \text{ true}}{\frac{\perp}{q \text{ true}}^u \quad p \rightarrow q \text{ true}}^u$$

对于第四行, 和第三行类似. 可以看的出来这个 lemma 非常深刻, 只要将 atoms 调整为在当前 valuation 下都是 true 的命题, 结论再对应调整, 就可以构造一个对应的 proof.

**Lemma 49** For any well-formed formula  $\gamma$ , if  $\emptyset \models \gamma$ , then  $\emptyset \vdash \gamma$ .

**Annotation 50** Lemma 49 一句话概况就是 tautologies are provable. 其证明过程可以用 Lemma 47 来说明. 现在  $\gamma$  是一个 tautology, 那么对于所有的 valuation 都有  $\gamma^t = \text{true}$ , 这有什么用呢? 这里还需要引入另外一种 tautology  $p \vee \neg p$ , 配合 emilination rule of *vee*, 即

$$\frac{\begin{array}{ccccccc} \overline{p_1} & \cdots & \overline{p_n} & & \overline{\neg p_1} & \cdots & \overline{\neg p_n} \\ (p_1 \vee \neg p_1) & (p_2 \vee \neg p_2) & \cdots & (p_n \vee \neg p_n) & \vdots & \cdots & \vdots \\ & & & & \gamma & & \gamma \end{array}}{\gamma}$$

这里需要考虑有  $2^n$  个 cases, 每一个对应一种 valuation, 又因为  $\gamma$  是 tautology, 因此最后的 conclusion 也都是  $\gamma$ .

**Lemma 51** If  $\emptyset \vdash (\alpha_0 \rightarrow (\alpha_1 \rightarrow (\cdots \rightarrow (\alpha_n \rightarrow \beta) \cdots)))$ , then  $\{\alpha_0, \alpha_1, \cdots, \alpha_n\} \vdash \beta$ , that is,  $\Sigma \vdash \beta$ .

## Notational Definition

**Definition 52** A **notational definition** gives the meaning of the general form of a proposition in terms of another proposition whose meaning has already been defined.

**Example 53** We can define logical equivalence, written  $A \equiv B$  as

$$(A \supset B) \wedge (B \supset A).$$

**Example 54** We can define negation  $\neg A$  as

$$\neg A = (A \supset \perp) \implies \frac{A \quad \vdots \quad \perp}{\neg A} \neg I$$

We also can give the introduction rule of falsehood.

$$\frac{\neg A \quad A}{\perp} \perp I$$

so  $\perp$  actually means any contradictions. moreover double negation is coming.

**Annotation 55** notational definition 可以看做用已有的东西构造出一些东西. 与之对应的是我们可以直接符号化的给出某个新的定义, 称之为 symbolic definition.

## Derived Rules of Inference

**Example 56**

$$\frac{A \supset B \text{ true} \quad B \supset C \text{ true}}{A \supset C \text{ true}}$$

is a derived rule of inference. Its derivation is the following:

$$\frac{\frac{B \supset C \text{ true} \quad \frac{A \supset B \text{ true} \quad \overline{A \text{ true}}}{B \text{ true}} \supset E}{C \text{ true}} \supset I^u}{A \supset C \text{ true}} \supset E$$

**Annotation 57** 关于 derivation 的推导这里有一些 strategies 在里面

- 使用 introduction rule 从下至上，即我们想要什么；
- 使用 elimination rule 从上至下，即我们知道什么。

**Example 58** Modus tollens(这玩意不就是逆否命题)

$$\frac{A \rightarrow B \quad \neg B}{\neg A} MT.$$

## Curry-Howard Correspondence

**Definition 59** Curry-Howard correspondence is between the natural deduction and simply-typed  $\lambda$ -calculus at three levels

- propositions are types;
- proofs are programs; and
- simplification of proofs is evaluation of programs.

That is

Types	Propositions
Unit types (1)	Truth ( $\top$ )
Product type ( $\times$ )	Conjunction ( $\wedge$ )
Union type ( $+$ )	Disjunction ( $\vee$ )
Function type ( $\rightarrow$ )	Implication ( $\supset$ )
Void types (0)	False ( $\perp$ )

Every typing rule has a correspondence with a deduction rule.

**Example 60** The typing derivation of the term  $\lambda a. \lambda b. \langle a, b \rangle$  can be seen as a deduction tree proving  $A \supset B \supset A \wedge B$ .

$$\begin{array}{c}
 \frac{\frac{a : A \in \Gamma \quad \text{var}}{\Gamma \vdash a : A} \quad \frac{b : B \in \Gamma \quad \text{var}}{\Gamma \vdash b : B}}{\Gamma \vdash \langle a, b \rangle : A \times B} \text{pair} \\
 \frac{\Gamma \vdash \lambda y : B. \langle a, y \rangle : B \rightarrow A \times B}{\Gamma \vdash \lambda x : A. \lambda y : B. \langle x, y \rangle : A \rightarrow B \rightarrow A \times B} \text{abs}
 \end{array}
 \iff
 \begin{array}{c}
 \frac{\frac{\overline{A \text{ true}}^u \quad \overline{B \text{ true}}^w}{A \wedge B \text{ true}} \wedge \wedge I}{\frac{B \supset A \wedge B \text{ true}}{A \supset B \supset A \wedge B \text{ true}} \supset I^w} \supset I^u
 \end{array}$$

**Annotation 61** 从上面例子中看的出来, the inference rule of natural deduction 缺点什么, 我也可以给原本每个 inference rule 都加上 the annotation for proof terms. [6] 那么这里  $M : A$  有两种解释:

1.  $M$  is proof term for proposition  $A$ ;
2.  $M$  is a program of type  $A$ .

这样解释 Curry-Howard isomorphism 或许方便一点. 让 proof terms make sense: 我们有”if  $M : A$  then  $A \text{ true}$ ”, 反过来”if  $A \text{ true}$  then  $M : A$ ”. 例如我们可以将 the proof term of  $A \wedge B \text{ true}$  看做一个 pair 包含两个 subterm, 一个关于  $A \text{ true}$  和另一个关于  $B \text{ true}$ .

$$\frac{M : A \quad N : B}{\langle M, N \rangle : A \wedge B} \wedge I$$

那么 the elimination rule of conjunction 对应一个 natural projection.

$$\frac{M : A \wedge B}{\pi_1 M : A} \wedge E_L \quad \frac{M : A \wedge B}{\pi_2 M : B} \wedge E_R$$

**Example 62** 通过 Curry-Howard isomorphism 我们可以将我们想要证明的 judgment 转换到 type system 中, 你会看到非常的便利! 例如

$$(A \supset (B \wedge C)) \supset (A \supset B) \wedge (A \supset C) \text{ true}$$

等价于

$$\lambda x. \langle \lambda y. \pi_1(x y), \lambda y. \pi_2(x y) \rangle : (A \rightarrow B \times C) \rightarrow (A \rightarrow B) \times (A \rightarrow C)$$

一个 implication 被转换成了对应的 abstraction, 此时我们肯定会想如果给一个 false proposition 是不是就转不了? 例如

$$(A \supset B) \supset (B \supset A)$$

显然我们无法在现有 type system 构造出一个合理的 abstraction 使得  $(A \rightarrow B) \rightarrow (B \rightarrow A)$ .

迎面走来的问题是: 给定一个 proposition true, 是否有其他的 term with type 和它对应呢? 显然是有的,

$$\lambda z. \lambda x. \langle \lambda y. \pi_1(x y), \lambda y. \pi_2(x y) \rangle z'$$

那这是不是违反 Curry-Howard isomorphism 了呢? 其实并不是, 这里的对应是指 proof terms 和 deduction of proposition true, 显然 deduction 变了, 对应的 proof terms 也要变.

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