

# 关于 Maple Algebra 的这一路

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## 目录

<b>1</b>	<b>Logic</b>	<b>2</b>
1.1	Classic Logic . . . . .	2
1.2	Higher-order logic . . . . .	2
1.3	Misc . . . . .	2
<b>2</b>	<b>Language</b>	<b>3</b>
2.1	Standard Semantics . . . . .	3
2.2	Collecting Semantics . . . . .	3
2.3	Language equation and Arden's Rule . . . . .	3
<b>3</b>	<b>Equivalence of Program</b>	<b>4</b>
3.1	Graph Isomorphism . . . . .	4
3.2	Accepted Language Equivalence . . . . .	4
3.3	Bisimulation and Observation Equivalence . . . . .	4
<b>4</b>	<b>Symbolic Execution</b>	<b>8</b>
4.1	Some Reasoning . . . . .	8
4.2	Craig Interpolation . . . . .	10

# Logic

## Classic Logic

**Annotation 1.1.** Double negation 和 excluded middle 是 logical equivalent, 关于它们有两个通俗解释:

- Double negation: 如果你想证明  $P$  为 *true*, 只需要说明  $P$  不是 *false* 即可.
- Excluded middle: 任意一个命题  $P$ , 它不是 *true* 就是 *false*.

## Higher-order logic

**Annotation 1.2.** Second-order logic 在 first-order logic 的基础上, 给其中的谓词也加上了量词. 给谓词加量词意义是什么呢? 谓词实际上可以理解为 variables 的 properties, 所以相当于你给 variable's properties 也加上了量词. 形如:

$$\exists P. P(b)$$

这里的  $P$  可以看做一个谓词变量 (predicate variable). 从语义上来说  $P$  可以理解为集合, 更进一步说就是满足某种 property 的 variables 组成的 set, 所以你对  $P$  施加一个量词也就是在考虑不同的集合, 此时上面的 formula 可以非正式地理解为存在某个集合  $P$  包含  $b$ .

## Misc

**Definition 1.3.** A set of logical connectives is called **functionally complete** if every boolean expression is equivalent to one involving only these connectives.

**Definition 1.4.** 简而言之, 如果任意的 logical formula 都可以只用给定的一些 logical connectives 来构造一个等价的新的 logical formula, 我们就说这些这些 logical connectives 组成的 system 是 functionally complete.

**Example 1.5.** 列举一些在 classic logic 下 functionally complete 的 system:

- $\{\downarrow\}, \{\uparrow\}$ , 其中  $\downarrow$  表示  $A \downarrow B = \neg(A \vee B)$ ,  $\uparrow$  表示  $A \uparrow B = \neg(A \wedge B)$ .
- $\{\vee, \neg\}, \{\wedge, \neg\}$ , etc.
- $\{\wedge, \vee, \rightarrow\}$ , etc.

## Language

### Standard Semantics

**Annotation 2.1.** Operational semantics 关注给定一个 initial state 会得到怎样的 final state, 每一个 CFG's node 对应一个 transfer function  $f : \mathcal{S} \rightarrow \mathcal{S}$

$$\lambda s. (\mu(s), next).$$

其中  $f$  表示对 state  $s$  的 update,  $next$  表示下一个将要进入的 node. 那么 operational semantics 的一个解释过程可以用递归的形式定义:

$$interp = \lambda s. \lambda n. \text{if } isExitNode(n) \text{ then } s \text{ else let } (s', n') = f_n(s) \text{ in } interp\ s' \ n'$$

如果我们希望得到一个准确的定义, 即不希望等式两边都包含  $interp$ , 那么我可以利用一个 trick

$$semantics = fix(\lambda F. \lambda s. \lambda n. \text{if } isExitNode(n) \text{ then } s \text{ else let } (s', n') = f_n(s) \text{ in } F\ s' \ n')$$

你仔细观察一下这个 fixpoint 就是  $interp$  本身.

### Collecting Semantics

**Annotation 2.2.** Collecting semantics 和 operational semantics 不同的是, 每一个 CFG's node 可能对应了一个 set of states, 而不是单一的 state 了. 因为 collecting semantics 从一开始就把所有可能的 initial states 作为一个 set 来考虑. 因此此时的 transfer function 为  $f : \mathcal{P}(\mathcal{S}) \rightarrow \mathcal{P}(\mathcal{S})$ :

$$f_{n \rightarrow m} = \lambda S. \{ s' \mid s \in S \text{ and } f_n(s) = (s', m) \}$$

### Language equation and Arden's Rule

**Theorem 2.3.** The set  $A^* \cdot B$  is the smallest language that is a solution for  $X$  in the linear equation

$$X = A \cdot X + B$$

where  $X, A, B$  are sets of string and  $+$  stands for union of languages. Moreover, If the set  $A$  does not contain the empty word, then the solution is unique.

**Annotation 2.4.** Arden's rule can be used to help convert some finite automats to regular expressions.

## Equivalence of Program

### Graph Isomorphism

### Accepted Language Equivalence

**Annotation 3.1.** [4] Chapter 1.

### Bisimulation and Observation Equivalence

**Definition 3.2.** A labelled transition system (LTS) is a tuple  $(S, \Lambda, \rightarrow)$  where  $S$  is set of states,  $\Lambda$  is set of labels, and  $\rightarrow$  is relation of labelled transitions (i.e., a subset of  $S \times \Lambda \times S$ ). A  $(p, \alpha, q) \in \rightarrow$  is written as  $p \xrightarrow{\alpha} q$ .

**Annotation 3.3.** **TODO:** categorical semantics:  $F$ -coalgebra

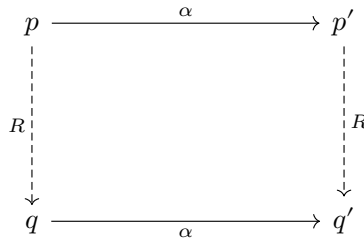
**Definition 3.4.** [1] Let  $T = (S, \Lambda, \rightarrow)$  be a labelled transition system. The set of **traces**  $Tr(s)$ , for  $s \in S$  is the minimal set satisfying

- $\varepsilon \in Tr(s)$ .
- $\alpha \sigma \in Tr(s)$  if  $\{ s' \in S \mid s \xrightarrow{\alpha} s' \text{ and } \sigma \in Tr(s') \}$ .

**Definition 3.5.** Two states  $p, q$  are trace equivalent iff  $Tr(p) = Tr(q)$ .

**Definition 3.6.** (**Simulation**) Given two labelled transition system  $(S_1, \Lambda, \rightarrow_1)$  and  $(S_2, \Lambda, \rightarrow_2)$ , relation  $R \subseteq S_1 \times S_2$  is a simulation iff, for all  $(p, q) \in R$  and  $\alpha \in \Lambda$  satisfies

for any  $p \xrightarrow{\alpha}_1 p'$ , then there exists  $q'$  such that  $q \xrightarrow{\alpha}_2 q'$  and  $(p', q') \in R$



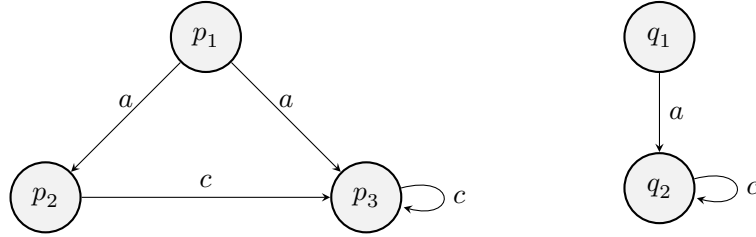
**Definition 3.7.** We say  $q$  simulates  $p$  if there exists a simulation  $R$  includes  $(p, q)$  (i.e.,  $(p, q) \in R$ ), written  $p < q$ .

**Definition 3.8. (Bisimulation)** Given two labelled transition system  $(S_1, \Lambda, \rightarrow_1)$  and  $(S_2, \Lambda, \rightarrow_2)$ , relation  $R \subseteq S_1 \times S_2$  is a bisimulation iff both  $R$  and its converse  $\bar{R}$  are simulations, for all  $(p, q) \in R$  and  $\alpha \in \Lambda$  satisfies

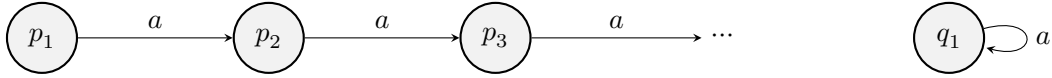
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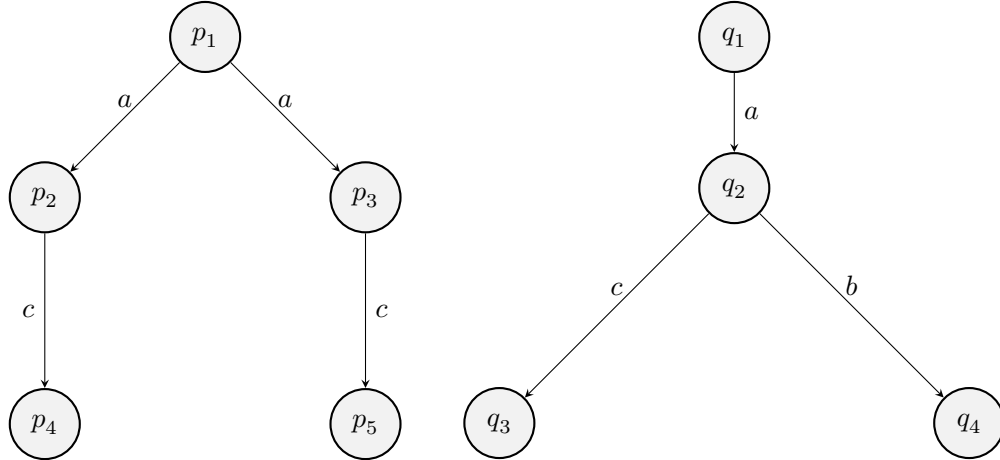
**Example 3.9.** 一些 bisimulation 的例子



关于上面两个 transition system 的 bisimulation 为  $R = \{(p_1, q_1), (p_2, q_2), (p_3, q_2)\}$ . 还有一个比较有点特别的例子



如果关于上图这样 bisimulation  $R$  存在, 那么  $(p_i, q_1) \in R$  for every  $i$ . 再看一个不是 bisimulation 的例子



这里不满足  $(p_3, q_2) \notin R$ .

**Definition 3.10. (Bisimilarity)** Given two states  $p$  and  $q$  in  $S$ ,  $p$  is bisimilar to  $q$ , written  $p \sim q$ , if and only if there is a bisimulation  $R$  such that  $(p, q) \in R$ .

**Definition 3.11.** The bisimilarity relation  $\sim$  is the union of all bisimulations.

**Lemma 3.12.** The bisimulation has some properties:

- The identity relation  $id$  is a bisimulation (with two same LTS).
- The empty relation  $\perp$  is a bisimulation.
- (**closed under union**) The  $\bigcup_{i \in I} R_i$  of a family of bisimulations  $(R_i)_{i \in I}$  is a bisimulation.

**Lemma 3.13.** [2] The bisimilarity relation  $\sim$  is equivalence relation (i.e., reflexivity, symmetry, transitivity).

证明. 其中 reflexivity, symmetry 是比较显然的. Transitivity 稍微麻烦一点, 我们用 relation composition 定义新的 relation  $R_3 = R_1; R_2$ , 此时有  $(p, q) \in R_3$ , 因此只要证明  $R_3$  is bisimulation 足够了. 取任意一个  $(p_1, q_1) \in R_3$ , 那么按照  $R_3$  的定义, 存在  $(p_1, r_1) \in R_1$  和  $(r_1, q_1) \in R_2$ . 由  $p_1 \sim r_1$  那么对于任意的  $p_1 \xrightarrow{\alpha} p'_1$ , 存在  $r_1 \xrightarrow{\alpha} r'_1$  满足  $(p'_1, r'_1) \in R_1$ . 再由  $r_1 \sim q_1$ , 存在  $q_1 \xrightarrow{\alpha} q'_1$  满足  $(r'_1, q'_1) \in R_2$ . 于是按照  $R_3$  的定义也有  $(p'_1, q'_1) \in R_3$ . 再由  $R_2$  is bisimulation, 从  $(r_1, q_1) \in R_2$  按照上述的思路往回证明即可, 最终  $R_3$  is bisimulation.  $\square$

**Definition 3.14.** [3] An LTS is called **deterministic** if for every state  $p$  and action  $\alpha$ , there is at most one state  $q$  such that  $p \xrightarrow{\alpha} q$ .

**Lemma 3.15.** In a deterministic LTS, two states are bisimilar if and only if they are trace equivalent,

$$s_1 \sim s_2 \iff Tr(s_1) = Tr(s_2)$$

证明. 先证  $\Rightarrow$ , 设满足  $s_1 \sim s_2$  ( $(s_1, s_2) \in R$  and  $R$  is bisimulation), 设  $\sigma_{s_1} \in Tr(s_1)$ , 其中  $\sigma_{s_1}$  为 sequence  $(\alpha_i)_{i \in I}$  where  $I$  is a indexed famliy. 由于  $s_1 \sim s_2$ , 那么对于  $s_1 \xrightarrow{\alpha_1} s'_1$ , 存在  $s_2 \xrightarrow{\alpha_1} s'_2$ , 于是  $(s'_1, s'_2) \in R$ , 根据  $\sigma$  长度做 induction 可以证明  $\sigma_{s_1} \in Tr(s_2)$ . 再反过来证明  $\sigma_{s_2} \in Tr(s_1)$  也同样有  $\sigma_{s_2} \in Tr(s_1)$ . 最终  $Tr(s_1) = Tr(s_2)$ .

对于  $\Leftarrow$ , 我们可以用  $Tr(s_1) = Tr(s_2)$  构造一个 bisimulation, 定义 relation  $R$  为

$$Tr(s_1) = Tr(s_2) \iff (s_1, s_2) \in R.$$

只要能证明  $R$  bisimulation 即可. 首先我们来说明在 deterministic 限制下一个比较好性质: 若  $Tr(s_1) = Tr(s_2)$  且当  $s_1 \xrightarrow{\alpha} s'_1, s_2 \xrightarrow{\alpha} s'_2$ , 那么  $Tr(s'_1) = Tr(s'_2)$ . 这样对于任意地  $(s_1, s_2) \in R$ , 它们 accept 相同 action 对应的 transition  $(s'_1, s'_2) \in R$ . 因此  $s_1 \sim s_2$ .  $\square$

**Definition 3.16.** (**Weak Bisimulation**) Given two labelled transition system  $(S_1, \Lambda, \rightarrow_1)$  and  $(S_2, \Lambda, \rightarrow_2)$ , relation  $R \subseteq S_1 \times S_2$  is a bisimulation iff both  $R$  and its converse  $\bar{R}$  are simulations, for all  $(p, q) \in R$  and  $\alpha \in \Lambda \cup \{\tau\}$  satisfies

for any  $p \xrightarrow{\alpha}_1 p'$ , then there exists  $q'$  such that  $q \xrightarrow{\tau^* \alpha \tau^*}_2 q'$  and  $(p', q') \in R$

for any  $q \xrightarrow{\alpha}_2 q'$ , then there exists  $p'$  such that  $p \xrightarrow{\tau^* \alpha \tau^*}_1 p'$  and  $(p', q') \in R$

where  $\rightarrow^*$  is multi-transition.

**Annotation 3.17.** 对于 LTS 的一些想法:

- 如果你想用 transition system 来做 reasoning 可以考虑把它和 Kripke frame 联系起来, 同时要构造一些 modality 来设计方便做 reasoning 的 calculus.
- (*bisimulation proof method*) 对于两个特别的 states 来说, 我们应该如何找到这样 bisimulation 来满足  $(p, q) \in R$ ?
- 对于两个特别的 LTS 来说, 我们怎样以 bisimulation 思考它们是否 equivalent? bisimulation 的最初定义应该叫做 strong bisimulation, 它建立的是一种 strong equivalence, 而 weak bisimulation 建立是一种 observation equivalence.

**Annotation 3.18.** TODO: CCS(calculus of communicating systems)[4] and mCRL2 [3].

# Symbolic Execution

## Some Reasoning

**Example 4.1. Symbolic reachability analysis** 这是来自 [5] 的一个小例子, 我们尝试用 dynamic symbolic execution 做一些 reasoning.

```
1  #define VALVE_KO(status) status == -1
2  #define TOLERANCE 2
3  extern int size;
4  extern int valvesStatus[];
5
6  int getStatusOfValve(int i){
7      if(i < 0 || i >= size){
8          printf ("ERROR");
9          exit(EXIT_FAILURE);
10     }
11     int status = valvesStatus[i];
12     return status;
13 }
14
15 int checkValves(int wait1, int wait2) {
16     int count, i;
17     while(wait1 > 0) wait1--;
18     count = 0, i = 0;
19     while(i < size){
20         int status = getStatusOfValve(i);
21
22         if(VALVE_KO(status)) {
23             count++;
24         }
25         i++;
26     }
27
28     if(count > TOLERANCE)
29         printf ("ALARM");
30 }
31 while(wait2 > 0) wait2--;
32 return count;
```



[5] 提到了一个 symbolic reachability analysis, 它和我们常见的 symbolic execution 是不一样的, 它可以看做给定一个 postcondition 沿着 control flow 往后推. 这种方法在解决一些 branch condition indirectly related to input, 可能会有一些帮助. 例如 L29 所在 branch condition, 它并不是直接依赖 input. 如果我们将这个 while 展开, 那么每次在某条路径上做 symbolic execution 到 L28 时, count 都是一个 concrete value, 如果想尝试在 L28 这里开分支是做不到的.

例如我们想进入 L29 所在的 branch, 那么 one-step induction 如下:

```
// P28 = count > 2
{L28: count > 2}
// Q28 = true
```

可以看到 precondition 是 weakest 的, 后面推导依然保持这个性质. 继续往后推导我们需要尝试得 resolve 掉 L19-L26 的 while, 这里可能就有 infinitely many paths, 例如执行 0, 1, 2, ... 次这个 loop. 顺着这个思路来选择路径往后做 symbolic execution, 路径直到 function entry 为结束.

```
//Path_1: L15-> L16 -> L17 -> L18 -> L19 -> L28

// P18 = count > 2 ∧ i ≥ size ∧ 0 > 2 ∧ 0 > size ≡ false
{L18: count = 0, i = 0; }
// Q18 = count > 2 ∧ i ≥ size
// P19 = count > 2 ∧ i ≥ size
{L19: i ≥ size}
// Q19 = count > 2
// P28 = count > 2
{L28: count > 2}
// Q28 = true
```

在 P<sub>18</sub> 这里得到了一个 contradiction, 这就意味着上面选择的 path 是 infeasible 的, 那么到这里我们就不能往后再继续推理了. 现在我们给用 L<sub>n<sub>a</sub></sub>, L<sub>n<sub>b</sub></sub>, ... 的形式来表示对同一 statement L<sub>n</sub> 的多次执行.

```
//Path_2: ... -> L19b -> L20b -> L22b -> L23b -> L25b -> L19a -> L28

...
// P19b = count > 1 ∧ i = size - 1 ∧ i ≥ 0 ∧ valvesStatus[i] = -1 ∧ i < size
{L19b: i < size}
// Q20b = count > 1 ∧ i = size - 1 ∧ i ≥ 0 ∧ valvesStatus[i] = -1
// P20b = (count > 1 ∧ i ≥ size - 1 ∧ i ≥ 0 ∧ i < size ∧ valvesStatus[i] = -1) ≡
// (count > 1 ∧ i = size - 1 ∧ i ≥ 0 ∧ valvesStatus[i] = -1)
{L20b: int status = getStatusOfValve(i);}
```

```

// Q20b = count > 1 ∧ i ≥ size - 1 ∧ status = -1
// P22b = count > 1 ∧ i ≥ size - 1 ∧ status = -1
{L22b: status == -1}
// Q22b = count > 1 ∧ i ≥ size - 1
// P23b = (count + 1 > 2 ∧ i ≥ size - 1) ≡ (count > 1 ∧ i ≥ size - 1)
{L23b: count++}
// Q23b = count > 2 ∧ i ≥ size - 1
// P25b = (count > 2 ∧ i + 1 ≥ size) ≡ (count > 2 ∧ i ≥ size - 1)
{L25b: i++; }
// Q25b = count > 2 ∧ i ≥ size
// P19a = count > 2 ∧ i ≥ size
{L19a: i >= size}
// Q19a = count > 2
// P28 = count > 2
{L28: count > 2}
// Q28 = true

```

上面就是执行了最后一次循环并且在这次循环中进入了 L23 所在的 branch，主要需要注意一下  $P_{20b}$  这里设计到了 inter-analysis.

## Craig Interpolation

**Definition 4.2.** Let  $P \rightarrow Q$  be a valid first-order formula. A Craig Interpolation for  $P$  and  $Q$  is a formula  $C$  that satisfies the following conditions:

- $P \rightarrow C$  and  $C \rightarrow Q$  are valid.
- All the variables in  $C$  also appear in both  $P$  and  $Q$ .

**Theorem 4.3. (Craig Interpolation Theorem)** Let  $P \rightarrow Q$  be a valid first-order formula, where  $P$  and  $Q$  share at least one variable symbol. Then there exists a formula  $C$  containing only variable symbols in both  $P$  and  $Q$  such that  $P \rightarrow C$  and  $C \rightarrow Q$ .

证明. □

**Annotation 4.4.** satisfiability 形式

**Theorem 4.5.** 依赖 unsat 的线性构造，转换，小

## 参考文献

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- [4] A Calculus of Communicating Systems. Robin Milner.
- [5] Baluda, Mauro, Giovanni Denaro, and Mauro Pezzè. "Bidirectional symbolic analysis for effective branch testing." IEEE Transactions on Software Engineering 42.5 (2015): 403-426