

# Lattice

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# The Elements of Universal Algebra

## Definition and Examples of Algebras

One of the aims of universal algebra is to extract, whenever possible, the common elements of several seemingly different types of algebraic structures.

**Definition 1.1.** For  $A$  a nonempty set and  $n$  a nonnegative integer we define  $A^0 = \{\emptyset\}$ , and, for  $n > 0$ ,  $A^n$  is the set of  $n$ -tuples of elements from  $A$ . An **n-ary operation** (or function) on  $A$  is any function  $f$  from  $A^n$  to  $A$ ;  $n$  is the **arity** (or rank) of  $f$ . A **finitary operation** is an  $n$ -ary operation, for some  $n$ . The image of  $\langle a_1, \dots, a_n \rangle$  under an  $n$ -ary operation  $f$  is denoted by  $f(a_1, \dots, a_n)$ . An operation  $f$  on  $A$  is called a **nullary operation** (or constant) if its arity is zero; it is completely determined by the image  $f(\emptyset)$  in  $A$  of the only element  $\emptyset$  in  $A^0$ , and as such it is convenient to identify it with the element  $f(\emptyset)$ . Thus a nullary operation is thought of as an element of  $A$ . An operation  $f$  on  $A$  is **unary, binary, or ternary** if its arity is 1, 2, or 3, respectively.

**Definition 1.2.** A **language** (or type) of algebras is a set  $\mathcal{F}$  of function symbols such a nonnegative integer  $n$  is assigned to each member  $f$  of  $\mathcal{F}$ . This integer is called the arity (or rank) of  $f$ , and  $f$  is said to be an  $n$ -ary function symbol. The subset of  $n$ -ary function symbols in  $\mathcal{F}$  is denoted by  $\mathcal{F}_n$ .

**Definition 1.3.** If  $\mathcal{F}$  is a language of algebras then an **algebra**  $\mathbf{A}$  of type  $\mathcal{F}$  is an ordered pair  $\langle A, F \rangle$  where  $A$  is a nonempty set and  $F$  is a family of finitary operations on  $A$  indexed by the language  $\mathcal{F}$  such that corresponding to each  $n$ -ary function symbol  $f$  in  $\mathcal{F}$  there is an  $n$ -ary operation  $f^{\mathbf{A}}$  on  $A$ . The set  $A$  is called the **universe** (全域) (or underlying set) of  $\mathbf{A} = \langle A, F \rangle$ , and the  $f^{\mathbf{A}}$ 's are called the **fundamental operations** (基本运算) of  $\mathbf{A}$ . (In practice we prefer to write just  $f$  for  $f^{\mathbf{A}}$ —this convention creates an ambiguity which seldom causes a problem. However, in this chapter we will be unusually careful.) If  $\mathcal{F}$  is finite, say  $\mathcal{F} = \{f_1, \dots, f_k\}$ , we often write  $\langle A, f_1, \dots, f_k \rangle$  for  $\langle A, F \rangle$ , usually adopting the convention:

$$\text{arity} f_1 \geq \dots \geq \text{arity} f_k.$$

An algebra  $\mathbf{A}$  is **unary** if all of its operations are unary, and it is **mono-unary** if it has just one unary operation.

抽象来说一个 algebra 就是一个集合和一堆 operations 构成的, 在目前已经学到的代数中 operation 的 arity 大多数不会超过 2(够 modern).

**Example 1.4.**  $\mathbf{A}$  is a **groupoid** if it has just one binary operation; this operation is usually denoted by  $+$  or  $\cdot$ , and we write  $a + b$  or  $a \cdot b$  for the image of  $\langle a, b \rangle$  under this operation, and call it the sum or product of  $a$  and  $b$ , respectively.

**Definition 1.5.** An algebra  $\mathbf{A}$  is **finite** if  $|\mathbf{A}|$  is finite, and **trivial** if  $|\mathbf{A}| = 1$ .

**Example 1.6.** Some well-known algebras

- Group
- Semigroup (半群) and Monoid (么半群)
- ...

## Isomorphic Algebras and Subalgebras

**Definition 1.7.** Let  $\mathbf{A}$  and  $\mathbf{B}$  be two algebras of the same type  $\mathcal{F}$ . Then a function  $\alpha: A \rightarrow B$  is an **isomorphism** from  $\mathbf{A}$  to  $\mathbf{B}$  if  $\alpha$  is one-to-one and onto, and for every  $n$ -ary  $f \in \mathcal{F}$ , and for  $a_1, \dots, a_n \in A$ , we have

$$\alpha f^{\mathbf{A}}(a_1, \dots, a_n) = f^{\mathbf{B}}(\alpha a_1, \dots, \alpha a_n).$$

We say  $\mathbf{A}$  is isomorphic to  $B$ , if there is a isomorphism from  $\mathbf{A}$  to  $\mathbf{B}$ .

老样子元素上保持 bijective, 对应的运算结果也保持一致.

**Definition 1.8.** Let  $\mathbf{A}$  and  $\mathbf{B}$  be two algebras of the same type. Then  $\mathbf{B}$  is a **subalgebra** of  $\mathbf{A}$  if  $B \subseteq A$  and every fundamental operation of  $\mathbf{B}$  is the restriction of the corresponding operation of  $\mathbf{A}$ , i.e., for each function symbol  $f$ ,  $f^{\mathbf{B}}$  is  $f^{\mathbf{A}}$  restricted to  $B$ ; we write simply  $\mathbf{B} \leq \mathbf{A}$ .

A **subuniverse** of  $\mathbf{A}$  is a subset  $B$  of  $A$  which is closed under the fundamental operations of  $\mathbf{A}$ , i.e., if  $f$  is a fundamental  $n$ -ary operation of  $\mathbf{A}$  and  $a_1, \dots, a_n \in B$  we would required  $f(a_1, \dots, a_n) \in B$ .

这个 restriction 就是只对定义域做限制的意思, subalgebra 是一种构造新代数的方法, 后面会学到另外几种.

**Definition 1.9.** Let  $\mathbf{A}$  and  $\mathbf{B}$  be of the same type. A function  $\alpha: A \rightarrow B$  is an embedding of  $\mathbf{A}$  into  $\mathbf{B}$  if  $\alpha$  is one-to-one and satisfies

$$\alpha f^{\mathbf{A}}(a_1, \dots, a_n) = f^{\mathbf{B}}(\alpha a_1, \dots, \alpha a_n).$$

Such an  $\alpha$  is also called a monomorphism. We say  $\mathbf{A}$  can be embedded in  $\mathbf{B}$  if there is an embedding of  $\mathbf{A}$  into  $\mathbf{B}$ .

单纯地去掉 surjective.

**Theorem 1.10.** If  $\alpha: A \rightarrow B$  is an embedding, then  $\alpha(A)$  is a subuniverse of  $\mathbf{B}$ .

证明. 我们需要说  $\alpha(A)$  在  $n$ -ary operation 下保持封闭. 因为  $\alpha$  是 embedding, 所以对应一个  $n$ -ary operation  $f$  和  $a_1, \dots, a_n \in A$  有

$$f^{\mathbf{B}}(\alpha a_1, \dots, \alpha a_n) = \alpha f^{\mathbf{A}}(a_1, \dots, a_n) \in \alpha(A).$$

已经证闭. □

## Algebraic Lattices and Subuniverses

这一章阐述 algebraic lattice 出现在 universe algebra 的原因.

**Definition 1.11.** Given an algebra  $\mathbf{A}$  define, for every  $X \subseteq A$ ,

$$\text{Sg}(X) = \bigcap \{ B \mid X \subseteq B \text{ and } B \text{ is a subuniverse of } \mathbf{A} \}.$$

We read  $\text{Sg}(X)$  as "the subuniverse generated by  $X$ ".

**Definition 1.12.** A closure operator  $C$  on the set  $A$  is an algebraic closure operator if for  $X \subseteq A$

$$C(X) = \bigcup \{ C(Y) \mid Y \subseteq X \text{ and } Y \text{ is finite} \}.$$

**Theorem 1.13.** If we are given an algebra  $\mathbf{A}$ , then  $\text{Sg}$  is an algebraic closure operator on  $A$ .

证明. 很明显任意地 subuniverses 交还是一个 subuniverse, 所有的 subuniverses 构成了一个 closure system, 所以  $\text{Sg}$  是一个 closure operator. 对于任意的  $X \subseteq A$  我们定义

$$E(X) = X \cup \{ f(a_1, \dots, a_n) \mid f \text{ is a fundamental } n\text{-ary operation on } A \text{ and } a_1, \dots, a_n \in X \}.$$

然后定义它的  $n$  次复合  $E^n(X)$  为

$$\begin{aligned} E^0(X) &= X \\ E^{n+1}(X) &= E(E^n(X)). \end{aligned}$$

由于  $A$  上所有 fundamental operation 都是 finitary, 且有

$$X \subseteq E(X) \subseteq \dots \subseteq E^n(X).$$

接下来我们来证明下面的式子

$$\text{Sg}(X) = X \cup E(X) \cup E^2(X) \cup \dots.$$

思路是 (1)  $\text{Sg}(X) \subseteq X \cup E(X) \cup E^2(X) \cup \dots$  和 (2)  $X \cup E(X) \cup E^2(X) \cup \dots \subseteq \text{Sg}(X)$ .

(1) 我们从  $X \cup E(X) \cup E^2(X) \cup \dots$  这种构造出发, 实际上这种构造得到的就是包含  $X$  的最小 subuniverse. 考虑它的 bound, 可能存在某个最小的  $k$  使得  $E^{k+1}(E^k(X)) = E^k(X)$ , 那么当  $i > k$  时都有  $E^i(X) = E^k(X)$ , 无疑现在  $E^k(X)$  是一个 subuniverse 并且包含  $X$ , 这其实就说明了  $\text{Sg}(X) \subseteq E^k(X)$ . 如果你不能找到这样的  $k$ , 那么会有若  $i > k$ , 那么  $E^k(X) \subset E^i(X)$ , 但是它是 bound by  $A$ , 且  $\text{Sg}(A) = A$ , 这是显然地.

(2) 任取  $x \in \text{Sg}(X)$ , 我们用  $\{Z_i\}_{i \in I}$  表示所有 such that  $X \subseteq Z$  且  $Z$  是  $\mathbf{A}$  的一个 subuniverse. 那么对任意的  $i$ , 有  $x \in Z_i$ . 那么我们更有

$$\text{Sg}(X) = E^n(Z_1) \cap E^n(Z_2) \cap \dots.$$

对任意的  $n$  成立. 并且我们还有

$$E^n(X) \subseteq E^n(Z_1) \cap E^n(Z_2) \cap \cdots.$$

这是因为每一项都有  $E^n(X) \subseteq E^n(Z_i)$ . 那么我们把  $n$  取遍, 就可以得到

$$X \cup E(X) \cup E^2(X) \cup \cdots \subseteq \text{Sg}(X).$$

综上  $\text{Sg}(X) = X \cup E(X) \cup E^2(X) \cup \cdots$ .

那么对于任意的  $a \in \text{Sg}(X)$ , 都有  $a \in E^n(X)$  其中  $n < \omega$  ( $\omega$  表示无穷远它比任何的自然数都大). 那么存在 finite  $Y \subseteq X$ , 使得  $a \in E^n(X)$ . 这个过程就是把生成  $a$  的哪些元素在  $E^{n-1}(X)$  里面挑出来, 然后循环往复,  $n$  是 finite 的, 所以  $Y$  也是有限的. 这就说明了  $\text{Sg}(X) = \bigcap \text{Sg}(Y_i)$ , 因为每一个  $a$  都可以找到一个 finite  $Y$ .  $\square$

**Corollary 1.14.** If  $\mathbf{A}$  is an algebra then  $\mathcal{L}_{\text{Sg}}$ , the lattice of subuniverses of  $\mathbf{A}$ , is an algebraic lattice.