

CONSENSUS AND IDEOLOGY IN AMERICAN POLITICS*

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The belief that consensus is a prerequisite of democracy has, since deTocqueville, so often been taken for granted that it is refreshing to find the notion now being challenged. Prothro and Grigg,¹ for example, have questioned whether agreement on "fundamentals" actually exists among the electorate, and have furnished data which indicate that it may not. Dahl,² reviewing his study of community decision-makers, has inferred that political stability does not depend upon widespread belief in the superiority of democratic norms and procedures, but only upon their *acceptance*. From the findings turned up by Stouffer,³ and by Prothro and Grigg, he further conjectures that agreement on democratic norms is greater among the politically active and aware—the "political stratum" as he calls them—than among the voters in general. V. O. Key,⁴ going a step further, suggests that the viability of a democracy may depend less upon popular

opinion than upon the activities and values of an "aristocratic" strain whose members are set off from the mass by their political influence, their attention to public affairs, and their active role as society's policy makers. "If so, any assessment of the vitality of a democratic system should rest on an examination of the outlook, the sense of purpose, and the beliefs of this sector of society."

Writers who hold consensus to be necessary to a free society have commonly failed to define it precisely or to specify what it must include. Even Tocqueville⁵ does not go deeply enough into the matter to satisfy these needs. He tells us that a society can exist and, *a fortiori*, prosper only when "the minds of all the citizens [are] rallied and held together by certain predominant ideas; . . . when a great number of men consider a great number of things from the same aspect, when they hold the same opinions upon many subjects, and when the same occurrences suggest the same thoughts and impressions to their minds"—and he follows this pronouncement with a list of general principles he believes Americans hold in common. Elsewhere, he speaks of the "customs" of the American nation (its "habits, opinions, usages, and beliefs") as "the peculiar cause which renders that people able to support a democratic government." But nowhere does he set forth explicitly the nature of the agreement upon which a democratic society presumably depends.

Later commentators have not clarified matters much. Some, like A. Lawrence Lowell,⁶ have avoided Tocqueville's emphasis upon shared ideas, customs, and opinions in favor of the less demanding view that popular govern-

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¹ James W. Prothro and C. W. Grigg, "Fundamental Principles of Democracy: Bases of Agreement and Disagreement," *Journal of Politics*, Vol. 22 (Spring, 1960), pp. 276-94.

² Robert A. Dahl, *Who Governs?* (New Haven, 1961), ch. 28.

³ Samuel A. Stouffer, *Communism, Conformity, and Civil Liberties* (New York, 1955).

⁴ V. O. Key, "Public Opinion and the Decay of Democracy," *Virginia Q. Rev.*, Vol. 37 (Autumn, 1961), pp. 481-94. See also David B. Truman, "The American System in Crisis," *Political Science Quarterly*, Vol. 74 (Dec., 1959), pp. 481-97. John Plamenatz, "Cultural Prerequisites to a Successfully Functioning Democracy: a Symposium," this REVIEW, Vol. 50 (March, 1956), p. 123.

⁵ Alexis deTocqueville, *Democracy in America* (ed. Phillips Bradley, New York, 1945), II, p. 8; I, pp. 392, 322. The difficulty of specifying the values which underly democracy, and on which consensus is presumed to be required, is illustrated in the exchange between Ernest S. Griffith, John Plamenatz, and J. Roland Pennock, cited above, pp. 101-37. The problem of certifying the "fundamentals" of democratic consensus is directly discussed by Pennock, pp. 132-3. See also Peter Bachrach, "Elite Consensus and Democracy," *Journal of Politics*, Vol. 24 (August, 1962), pp. 449-52.

⁶ A. L. Lowell, *Public Opinion and Popular Government* (New York, 1926), p. 9.

ment requires agreement mainly "in regard to the legitimate character of the ruling authority and its right to decide the questions that arise." Consensus, in this view, becomes merely a synonym for legitimacy. Others speak of consensus as a sense of solidarity or social cohesion arising from a common ethos or heritage, which unites men into a community.⁷ Political scientists have most frequently employed the term to designate a state of agreement about the "fundamental values" or "rules of the game" considered essential for constitutional government. Rarely, however, have writers on consensus attempted to state what the fundamentals must include, how extensive the agreement must be, and *who* must agree. Is agreement required among all men or only among certain of them? Among the entire electorate or only those who actively participate in public affairs? Is the same type of consensus essential for all democracies at all times, or is a firmer and more sweeping consensus needed for periods of crisis than for periods of calm, for newer, developing democracies than for older stable ones?

While certain of these questions are beyond the scope of this paper (no one, in any event, has done the systematic historical and comparative research needed to answer them satisfactorily), something might be learned about the relation of ideological consensus to democracy by investigating the subject in at least one major democracy, the United States. In the present paper I wish to explore further some of the questions raised by the writers I have cited and to present research findings on several hypotheses relating to those questions.

I. HYPOTHESES AND DEFINITIONS

We expected the data to furnish support for the following hypotheses, among others:

That the American electorate is often divided on "fundamental" democratic values and procedural "rules of the game" and that its understanding of politics and of political ideas is in any event too rudimentary at present to speak of ideological "consensus" among its members.

That, as Prothro and Grigg report for their samples, the electorate exhibits greater support for general, abstract statements of democratic belief than for their specific applications.

⁷ Cf., for example, Louis Wirth, *Community Life and Social Policy* (Chicago, 1956), pp. 201-3, 381-2. For a critique of "consensus theory" and the several definitions of consensus see Irving L. Horowitz, "Consensus, Conflict, and Cooperation: a Sociological Inventory," *Social Forces*, Vol. 41 (Dec., 1962), pp. 177-188.

That the constituent ideas of American democratic ideology are principally held by the more "articulate" segments of the population, including the political influentials; and that people in these ranks will exhibit a more meaningful and far reaching consensus on democratic and constitutional values than will the general population.

That consensus is far from perfect even among the articulate classes, and will be evidenced on political questions more than on economic ones, on procedural rights more than on public policies, and on freedom more than equality.

That whatever increases the level of political articulateness—education, S.E.S., urban residence, intellectuality, political activity, etc.—strengthens consensus and support for American political ideology and institutions.

Whether a word like ideology can properly be employed in the American context depends, in part, on which of its many connotations one chooses to emphasize. Agreement on the meaning of the term is far from universal, but a tendency can be discerned among contemporary writers to regard ideologies as *systems* of belief that are elaborate, integrated, and coherent, that justify the exercise of power, explain and judge historical events, identify political right and wrong, set forth the interconnections (causal and moral) between politics and other spheres of activity, and furnish guides for action.⁸ While liberal democracy does not fulfill perfectly the terms of this definition, it comes close enough, in my opinion, to be considered an ideology.⁹ The elements of liberal democratic thought are not nearly so vague as they are sometimes made out to be, and their coalescence into a single body of belief is by no means fortuitous. American democratic "ideology" possesses an elaborately defined theory, a body of interrelated assumptions, axioms, and principles, and a set of ideals that serve as

⁸ Cf. Daniel Bell, *The End of Ideology* (Glencoe, 1960), pp. 369-75; Edward Shils, "Ideology and Civility: on the Politics of the Intellectual," *Sewanee Review*, Vol. 66 (Summer, 1958), pp. 450-1; Louis Wirth, *op. cit.*, pp. 202-3.

⁹ A persuasive case for considering liberal democracy as an ideology is made by Bernard Williams, "Democracy and Ideology," *Political Science Quarterly*, Vol. 32 (October-December, 1961), pp. 374-84. The nature of ideology in America and some of the other questions addressed in the present paper are discussed by Robert G. McCloskey, "The American Ideology," in Marian D. Irish (ed.), *Continuing Crisis in American Politics* (Englewood Cliffs, N. J., 1963), pp. 10-25.

guides for action. Its tenets, postulates, sentiments, and values inspired the great revolutions of the seventeenth and eighteenth centuries, and have been repeatedly and explicitly set forth in fundamental documents, such as the Constitution, the Declaration, and the Federalist Papers. They have been restated with remarkable unanimity in the messages of Presidents, in political speeches, in the pronouncements of judges and constitutional commentators, and in the writings of political theorists, historians, and publicists. They are so familiar that we are likely to see them not as a coherent union of ideas and principles embodying a well-defined political tendency, but as a miscellany of slogans and noble sentiments to be trotted out on ceremonial occasions.

Although scholars or Supreme Court justices might argue over fine points of interpretation, they would uniformly recognize as elements of American democratic ideology such concepts as consent, accountability, limited or constitutional government, representation, majority rule, minority rights, the principle of political opposition, freedom of thought, speech, press, and assembly, equality of opportunity, religious toleration, equality before the law, the rights of juridical defense, and individual self-determination over a broad range of personal affairs. How widely such elements of American liberal democracy are approved, by whom and with what measure of understanding, is another question—indeed, it is the central question to be addressed in this paper. But that they form an integrated body of ideas which has become part of the American inheritance seems scarcely open to debate.¹⁰

The term consensus will be employed in this paper to designate a state of agreement concerning the aforementioned values. It has principally to do with shared beliefs and not with feelings of solidarity, the willingness to live together, to obey the laws, or to accept the existing government as legitimate. Nor does it refer to an abstract or universal state of mind,

but to a measurable state of concurrence around values that can be specified. Consensus exists in degree and can be expressed in quantitative form. No one, of course, can say how close one must come to unanimity before consensus is achieved, for the cutting point, as with any continuous variable, is arbitrary. Still, the term in ordinary usage has been reserved for fairly substantial measures of correspondence, and we shall take as a minimal requirement for consensus a level of agreement reaching 75 per cent. This figure, while also arbitrary, recommends itself by being realistically modest (falling as it does midway between a bare majority and unanimity), and by having been designated in this country and elsewhere as the extraordinary majority required for certain constitutional purposes.

Since I shall in subsequent pages frequently (and interchangeably) employ such terms as the "articulate minority," the "political class," the "political elite," the "political influentials," and the "political stratum," I should also clarify what they are intended to signify. I mean them to refer to those people who occupy themselves with public affairs to an unusual degree, such as government officials, elected office holders, active party members, publicists, officers of voluntary associations, and opinion leaders. The terms do not apply to any definable social class in the usual sense, nor to a particular status group or profession. Although the people they designate can be distinguished from other citizens by their activity and concerns, they are in no sense a community; they do not act as a body, and they do not necessarily possess identical or even harmonious interests. "Articulates" or "influentials" can be found scattered throughout the society, at all income levels, in all classes, occupations, ethnic groups, and communities, although some segments of the population will doubtless yield a higher proportion of them than others. I scarcely need to add that the line between the "articulates" and the rest of the population cannot always be sharply drawn, for the qualities that distinguish them vary in form and degree and no single criterion of classification will satisfy every contingency.

The data for the present inquiry have been taken from a national study of political actives and supporters carried out in 1957-58. I have in a previous paper described the procedures of that study in some detail,¹¹ and will not trouble to repeat that description here. Per-

¹⁰ See Gunnar Myrdal, *An American Dilemma: The Negro Problem and American Democracy* (New York, 1944), ch. 1. For a comprehensive review of the American value system and evidence concerning its stability over time, see Clyde Kluckhohn, "Have There Been Discernible Shifts in American Values during the Past Generation?" in E. E. Morison (ed.), *The American Style: Essays in Value and Performance* (New York, 1958), pp. 145-217. Kluckhohn concludes (p. 152) that despite some changes, the American value system has been "remarkably stable" since the 18th century and remains "highly influential in the life of the United States."

¹¹ Herbert McClosky, Paul J. Hoffmann, and Rosemary O'Hara, "Issue Conflict and Consensus Among Party Leaders and Followers," this REVIEW, Vol. 44 (June, 1960), pp. 406-27.

haps it will suffice for present purposes merely to note the following: national surveys were carried out on two separate samples, the first a sample of over 3,000 political "actives" or "leaders" drawn from the delegates and alternates who had attended the Democratic and Republican conventions of 1956; the second a representative national sample of approximately 1,500 adults in the general population drawn by the American Institute of Public Opinion (Gallup Poll). Gallup interviewers also delivered and introduced the questionnaire to all respondents, discussed its contents with them, and furnished both oral and written instructions for its self-administration and completion. (For sample characteristics, see Appendix B.)

The party actives may be considered an especially pure sample of the "political stratum," for every person in the sample has marked himself off from the average citizen by his greater political involvement. Although the general population sample may be regarded as a sample of "inarticulates," to be compared with the sample of leaders, there are within it, of course, many persons who by virtue of education, profession, organizational activities, etc. can be classified as "articulates." We shall for certain purposes consider them in this light in order to provide further tests for our hypotheses.

Both samples received the same questionnaire—a lengthy instrument containing questions on personal background, political experience, values, attitudes, opinions, political and economic orientation, party outlooks, and personality characteristics. Many of the questions were direct inquiries in the standard form, but most were single sentence "items" with which the respondent was compelled to express his agreement or disagreement. While each of these items can stand alone and be regarded in its own right as an indicator of a person's opinions or attitudes, each of them is simultaneously an integral element of one of the 47 "scales" that was expressly fashioned to afford a more refined and reliable assessment of the attitude and personality predispositions of every respondent. Each of the scales (averaging approximately nine items) has been independently validated either by empirical validation procedures employing appropriate criterion groups, or by a modified Guttman reproducibility procedure (supplemented, in some instances, by a "face validity" procedure utilizing item ratings by experts).

Data on the scale scores are presented in Table IV and are to be distinguished from the "percentage agree" scores for individual items presented in the remaining tables.

II. FINDINGS

"Rules of the game" and democratic values. Although the so-called "rules of the game" are often separated from other democratic values, the distinction is to some extent arbitrary. One might, for example, reasonably regard as "rules of the game" many of the norms governing free speech, press, social and political equality political toleration, and the enforcement of justice. For convenience, nevertheless, we shall treat separately those responses that stand out from the general body of democratic attitudes by their particular emphasis upon fair play, respect for legal procedures, and consideration for the rights of others. A sample of items expressing these values is presented in Table I.

The responses to these items show plainly that while a majority of the electorate support the "rules of the game," approval of such values is significantly greater and more uniform among the influentials. The latter have achieved consensus (as we have defined it) on eight of the twelve items and near consensus on three of the remaining four items. The electorate, by contrast, does not meet the criterion for consensus on a single item.

Although the scales (as distinguished from individual items) cannot appropriately be used to measure *consensus*, comparison of the scores on those scales which most nearly embody the "rules of the game" furnishes additional evidence that the political class responds to such norms more favorably than does the electorate. The proportion scoring high¹² on a scale of "faith in direct action" (a scale measuring the inclination to take the law into one's own hands) is 26.1 per cent for the active political minority and 42.5 per cent for the general population. On a scale assessing the willingness to flout the rules of political integrity, the proportions scoring high are 12.2 per cent and 30.6 per cent respectively. On "totalitarianism," a scale measuring the readiness to subordinate the rights of others to the pursuit of some collective political purpose, only 9.7 per cent of the political actives score high compared with 33.8 per cent of the general population.

These and other results which could be cited support the claim advanced by earlier investi-

¹² "High" refers to a score made by the upper third of the popular distribution on the scale in question. For example, in the case of the "political indulgence" scale approximately one-third (actually 30.6%) received scores of five or above. Hence, anyone making a score of five or above on this scale is considered to have scored high on "political indulgence." "Low" refers to scores made by the lower third of the distribution.

TABLE I. POLITICAL INFLUENTIALS VS. THE ELECTORATE: RESPONSE TO ITEMS EXPRESSING "RULES OF THE GAME"*

Items	Political Influentials (N = 3020) % Agree	General Electorate (N = 1484) % Agree
There are times when it almost seems better for the people to take the law into their own hands rather than wait for the machinery of government to act.	13.3	26.9
The majority has the right to abolish minorities if it wants to.	6.8	28.4
We might as well make up our minds that in order to make the world better a lot of innocent people will have to suffer.	27.2	41.6
If congressional committees stuck strictly to the rules and gave every witness his rights, they would never succeed in exposing the many dangerous subversives they have turned up.	24.7	47.4
I don't mind a politician's methods if he manages to get the right things done.	25.6	42.4
Almost any unfairness or brutality may have to be justified when some great purpose is being carried out.	13.3	32.8
Politicians have to cut a few corners if they are going to get anywhere.	29.4	43.2
People ought to be allowed to vote even if they can't do so intelligently.	65.6	47.6
To bring about great changes for the benefit of mankind often requires cruelty and even ruthlessness.	19.4	31.3
Very few politicians have clean records, so why get excited about the mudslinging that sometimes goes on?	14.8	38.1
It is all right to get around the law if you don't actually break it.	21.2	30.2
The true American way of life is disappearing so fast that we may have to use force to save it.	12.8	34.6

* Since respondents were forced to make a choice on each item, the number of omitted or "don't know" responses was, on the average, fewer than one percent, and thus has little influence on the direction or magnitude of the results reported in this and subsequent tables.

gators like Prothro and Grigg, and Hyman and Sheatsley,¹³ that a large proportion of the electorate has failed to grasp certain of the underlying ideas and principles on which the American political system rests. Endorsement of these ideas is not unanimous among the political elite either, but is in every instance greater than that exhibited by the masses.

¹³ Prothro and Grigg, *loc. cit.*; Herbert Hyman and Paul B. Sheatsley, "The Current Status of American Public Opinion," in Daniel Katz *et al.* (eds.), *Public Opinion and Propaganda* (New York, 1954), pp. 33-48.

The picture changes somewhat when we turn from "rules of the game" to items which in a broad, general way express belief in freedom of speech and opinion. As can be seen from Table II, support for these values is remarkably high for both samples. Both groups, in fact, respond so overwhelmingly to abstract statements about freedom that one is tempted to conclude that for these values, at least, a far-reaching consensus has been achieved.¹⁴ These results

¹⁴ Cf. Robert Lane's report on his "Eastport" sample, in *Political Ideology* (New York, 1962), pp. 461-2

become even more striking when we consider that the items in the table are not mere clichés but statements which in some instances closely paraphrase the arguments developed in Mill's essay, *On Liberty*. We cannot, therefore, dismiss them as mere responses to familiar, abstract sentiments which commit the respondent to nothing in particular.

Still, as can readily be discerned from the items in Table III, previous investigators have been partially correct, at least, in observing that the principles of freedom and democracy are less widely and enthusiastically favored when they are confronted in their specific, or applied, forms.¹⁵ As Dahl remarks, it is a "common tendency of mankind . . . to qualify universals in application while leaving them intact in rhetoric."¹⁶ This observation, of

course, also holds for the political articulates, but to a lesser degree. Not only do they exhibit stronger support for democratic values than does the electorate, but they are also more consistent in applying the general principle to the specific instance.¹⁷ The average citizen has greater difficulty appreciating the importance of certain procedural or juridical rights, especially when he believes the country's internal security is at stake.

Findings which underscore and amplify these conclusions are yielded by a comparison of the scale scores. The data presented in Table IV confirm that the influentials not only register higher scores on all the pro-democratic scales (faith in freedom, faith in democracy, procedural rights, tolerance), but are more likely to reject antidemocratic sentiments as well. Although they are themselves an elite of a sort, they display greater faith in the capacity of the mass of men to govern themselves, they believe more firmly in political equality, and they more often disdain the "extreme" beliefs embodied in the Right Wing, Left Wing, totalitarian, elitist, and authoritarian scales. Their repudiation of anti-democratic attitudes

¹⁵ See Hyman and Sheatsley, *op. cit.*, pp. 40-2; Prothro and Grigg, *op. cit.*

¹⁶ Robert A. Dahl. *loc. cit.* For data on the failure of some people to perceive the relevance of democratic principles for concrete situations see G. D. Wiebe, "The Army-McCarthy Hearings and the Public Conscience," *Public Opinion Quarterly*, Vol. 22 (Winter 1958-59), pp. 490-502.

¹⁷ See also Stouffer, *op. cit.*, ch. 2.

TABLE II. POLITICAL INFLUENTIALS VS. THE ELECTORATE: RESPONSES TO ITEMS EXPRESSING SUPPORT FOR GENERAL STATEMENTS OF FREE SPEECH AND OPINION

Items	Political Influentials (N = 3020)	General Electorate (N = 1484)
	% Agree	
People who hate our way of life should still have a chance to talk and be heard.	86.9	81.8
No matter what a person's political beliefs are, he is entitled to the same legal rights and protections as anyone else.	96.4	94.3
I believe in free speech for all no matter what their views might be.	89.4	88.9
Nobody has a right to tell another person what he should and should not read.	81.4	80.7
You can't really be sure whether an opinion is true or not unless people are free to argue against it.	94.9	90.8
Unless there is freedom for many points of view to be presented, there is little chance that the truth can ever be known.	90.6	85.2
I would not trust any person or group to decide what opinions can be freely expressed and what must be silenced.	79.1	64.6
Freedom of conscience should mean freedom to be an atheist as well as freedom to worship in the church of one's choice.	87.8	77.0

TABLE III. POLITICAL INFLUENTIALS VS. THE ELECTORATE: RESPONSE TO ITEMS EXPRESSING SUPPORT FOR SPECIFIC APPLICATIONS OF FREE SPEECH AND PROCEDURAL RIGHTS

Items	Political Influentials (N = 3020)	General Electorate (N = 1484)
	% Agree	
Freedom does not give anyone the right to teach foreign ideas in our schools.	45.5	56.7
A man oughtn't to be allowed to speak if he doesn't know what he's talking about.	17.3	36.7
A book that contains wrong political views cannot be a good book and does not deserve to be published.	17.9	50.3
When the country is in great danger we may have to force people to testify against themselves even if it violates their rights.	28.5	36.3
No matter what crime a person is accused of, he should never be convicted unless he has been given the right to face and question his accusers.	90.1	88.1
If a person is convicted of a crime by illegal evidence, he should be set free and the evidence thrown out of court.	79.6	66.1
If someone is suspected of treason or other serious crimes, he shouldn't be entitled to be let out on bail.	33.3	68.9
Any person who hides behind the laws when he is questioned about his activities doesn't deserve much consideration.	55.9	75.7
In dealing with dangerous enemies like the Communists, we can't afford to depend on the courts, the laws and their slow and unreliable methods.	7.4	25.5

is by no means unanimous either, but their responses are more uniformly democratic than are those expressed by the electorate.

Equalitarian values. If Americans concur most strongly about liberty in the abstract, they disagree most strongly about equality. Examples of equalitarian values are presented in Table V. Both the political stratum and the public divide sharply on these values, a finding which holds for political, as well as for social and economic equality. Both are torn not only on the empirical question of whether men are *in fact* equal but also on the normative issue of whether they should be *regarded* as equal. Neither comes close to achieving consensus on such questions as the ability of the people to rule themselves, to know their best interests in the long run, to understand the issues, or to pick their own leaders wisely. Support for these equalitarian features of "popular" democracy, however, is greater among the elite than among the masses.

The reverse is true for the values of economic equality. Among the political stratum, indeed,

the weight of opinion is against equality—a result strongly though not exclusively influenced by the pronounced economic conservatism of the Republican leaders in the sample. Support for economic equality is only slightly greater among the electorate. The pattern, furthermore, is extremely spotty, with some policies strongly favored and others as strongly rejected. Thus approval is widespread for public policies (such as social security) that are designed to overcome gross inequalities, but is equally strong for certain features of economic life that promote inequality, such as private enterprise, economic competition, and unlimited pursuit of profit.¹⁸ On social and ethnic equality, both samples are deeply split.

¹⁸ These inferences are drawn not only from the few items presented in Table V, but from data previously reported by H. McClosky, P. J. Hoffmann, and R. O'Hara, *op. cit.*, p. 413; and from the responses to dozens of items in the present study that express attitudes and opinions toward the private enterprise system, taxes, private

In short, both the public and its leaders are uncertain and ambivalent about equality. The reason, I suspect, lies partly in the fact that the egalitarian aspects of democratic theory have been less adequately thought through than other aspects, and partly in the complications connected with the concept itself. One such complication arises from the historical association of democracy with capitalism, a commingling of egalitarian and inegalitarian elements that has never been (and perhaps never can be) perfectly reconciled. Another complication lies in the diffuse and variegated nature of the concept, a result of its application to at least four separate domains: political (*e.g.*, universal suffrage), legal (*e.g.*, equality before the law), economic (*e.g.*, equal distribution of property or opportunity), and moral (*e.g.*, every man's right to be treated as an end and not as a means). Accompanying these are the confu-

sions which result from the common failure to distinguish equality as a *fact* from equality as a *norm*. ("All men are created equal," for example, is taken by some as an empirical statement, by others as a normative one.) Still other complications arise from the differential rewards and opportunities inevitable in any complex society, from the differences in the initial endowment individuals bring into the world, and from the symbolism and fears that so often attend the division of men into ethnic compartments. All these confound the effort to develop a satisfactory theory of democratic equality, and further serve to frustrate the realization of consensus around egalitarian values.

Faith in the political system. Another perspective on the state of ideology and consensus in America may be obtained by observing how people respond to the political system. How do Americans feel about the political and social institutions by which they are ruled? Do they perceive the system as one they can reach and influence? Are they satisfied that it will govern justly and for the common good?

property, profits, socialism, etc. On the whole, little enthusiasm is registered among either the elite or the masses for a drastic revision of the economy or a major redistribution of the wealth.

TABLE IV. POLITICAL INFLUENTIALS VS. THE ELECTORATE: PERCENTAGES SCORING HIGH AND LOW ON DEMOCRATIC AND ANTI-DEMOCRATIC ATTITUDE SCALES*

Scale	Political Influentials (N=3020)	General Electorate (N=1484)	Scale	Political Influentials (N=3020)	General Electorate (N=1484)
	(%s down)			(%s down)	
Faith in Democracy			Elitism		
% High*	40.1	18.5	% High	22.8	38.7
% Low	14.4	29.7	% Low	41.0	22.4
Procedural Rights			Totalitarianism		
% High	58.1	24.1	% High	9.7	33.8
% Low	12.3	31.3	% Low	60.1	28.4
Tolerance			Right Wing		
% High	61.3	43.1	% High	17.5	33.1
% Low	16.4	33.2	% Low	45.3	28.9
Faith in Freedom			Left Wing		
% High	63.0	48.4	% High	6.7	27.8
% Low	17.1	28.4	% Low	68.7	39.3
Ethnocentrism			California F-Scale		
% High	27.5	36.5	% High	14.7	33.5
% Low	46.9	36.3	% Low	48.0	23.5

* For explanation of % High and Low see footnote 12. The middle group has been omitted from this table. Differences between the influentials and the electorate on all the scales in this table are, by Kolmogorov-Smirnov and chi-square tests, statistically significant at or beyond the .01 percent level of significance.

TABLE V. POLITICAL INFLUENTIALS VS. THE ELECTORATE: RESPONSES TO ITEMS EXPRESSING BELIEF IN EQUALITY

Items	Political Influentials (N = 3020) % Agree	General Electorate (N = 1484) % Agree
<i>Political Equality</i>		
The main trouble with democracy is that most people don't really know what's best for them.	40.8	58.0
Few people really know what is in their own best interest in the long run.	42.6	61.1
"Issues" and "arguments" are beyond the understanding of most voters.	37.5	62.3
Most people don't have enough sense to pick their own leaders wisely.	28.0	47.8
It will always be necessary to have a few strong, able people actually running everything.	42.5	56.2
<i>Social and Ethnic Equality</i>		
We have to teach children that all men are created equal but almost everyone knows that some are better than others.	54.7	58.3
Just as is true of fine race horses, some breeds of people are just naturally better than others.	46.0	46.3
Regardless of what some people say, there are certain races in the world that just wont mix with Americans.	37.2	50.4
When it comes to the things that count most, all races are certainly not equal.	45.3	49.0
The trouble with letting certain minority groups into a nice neighborhood is that they gradually give it their own atmosphere.	49.8	57.7
<i>Economic Equality</i>		
Labor does not get its fair share of what it produces.	20.8	44.8
Every person should have a good house, even if the government has to build it for him.	14.9	28.2
I think the government should give a person work if he can't find another job.	23.5	47.3
The government ought to make sure that everyone has a good standard of living.	34.4	55.9
There will always be poverty, so people might as well get used to the idea.	40.4	59.4

Sample items relating to these questions are contained in Tables VI and VII. An assessment of the responses, however, is confounded by an ambivalence in our tradition. Few will question that Americans are patriotic and loyal, that they accept the political system as legitimate,

and that they are inclined to shy away from radical or extreme movements which aim to alter or to overthrow the constitutional foundations of the system. Yet Americans are also presumed to have a longstanding suspicion of government—a state of mind which some his-

TABLE VI. POLITICAL INFLUENTIALS VS. THE ELECTORATE: RESPONSES TO ITEMS EXPRESSING CYNICISM TOWARD GOVERNMENT AND POLITICS

Items	Political Influentials (N = 3020)	General Electorate (N = 1484)
	% Agree	
Most politicians are looking out for themselves above all else.	36.3	54.3
Both major parties in this country are controlled by the wealthy and are run for their benefit.	7.9	32.1
Many politicians are bought off by some private interest.	43.0	65.3
I avoid dealing with public officials as much as I can	7.8	39.3
Most politicians can be trusted to do what they think is best for the country.	77.1	58.9
I usually have confidence that the government will do what is right.	81.6	89.6
The people who really "run" the country do not even get known to the voters.	40.2	60.5
The laws of this country are supposed to benefit all of us equally, but the fact is that they're almost all "rich-man's laws."	8.4	33.3
No matter what the people think, a few people will always run things anyway.	30.0	53.8
Most politicians don't seem to me to really mean what they say.	24.7	55.1
There is practically no connection between what a politician says and what he will do once he gets elected.	21.4	54.0
A poor man doesn't have the chance he deserves in the law courts.	20.3	42.9
Most political parties care only about winning elections and nothing more.	28.3	46.2
All politics is controlled by political bosses.	15.6	45.9

torians trace back to the depredations of George III and to the habits of self-reliance forced upon our ancestors by frontier life.¹⁹

It is impossible in the present context to

¹⁹ Evidence is accumulating that the distrust of politics, often thought to be peculiar to the United States, is also found in many other countries. In fact, Gabriel Almond and Sidney Verba report in their cross-cultural study of citizenship that political interest is higher in the United States than it is in the four other countries they studied (United Kingdom, West Germany, Italy, and Mexico); and that Americans, if anything, are less negative toward politics than are the citizens of the other countries. See *The Civic Culture* (1963), chs. III-IV.

determine the extent to which the scores contained in these tables signify genuine frustration and political disillusionment and the extent to which they represent familiar and largely ritualistic responses. It is plain, however, that Americans are, verbally at least, both confused and divided in their reactions to the political system. Many feel themselves hopelessly ineffectual politically. Approximately half perceive government and politicians as remote, inaccessible, and largely unresponsive to the electorate's needs or opinions.²⁰ About

²⁰ See also the Michigan data on voters' sense of "political efficacy" in Angus Campbell, Gerald Gurin, and Warren E. Miller, *The Voter Decides* (Evanston, 1954), pp. 187-94.

TABLE VII. POLITICAL INFLUENTIALS VS. THE ELECTORATE: RESPONSES TO ITEMS EXPRESSING A SENSE OF POLITICAL FUTILITY

Items	Political Influentials (N = 3020)	General Electorate (N = 1484)
	% Agree	
It's no use worrying my head about public affairs; I cant do anything about them anyhow.	2.3	20.5
The people who really "run" the country do not even get known to the voters.	40.2	60.5
I feel that my political leaders hardly care what people like myself think or want.	10.9	39.0
Nothing I ever do seems to have any effect upon what happens in politics.	8.4	61.5
Political parties are so big that the average member hasn't got much to say about what goes on.	37.8	67.5
There doesn't seem to be much connection between what I want and what my representative does.	24.0	43.7
It seems to me that whoever you vote for, things go on pretty much the same.	21.1	51.3

the same proportion regard politics as squalid and seamy, as an activity in which the participants habitually practice deception, expediency, and self-aggrandizement. Yet by a curious inconsistency which so frequently frustrates the investigator searching the data for regularities, 89.6 per cent express confidence that the government will do what is right. However strongly they mistrust the men and the procedures through which public policies are fashioned, most voters seem not to be greatly dissatisfied with the outcome. They may be cynical about the operation of the political system, but they do not question its legitimacy.²¹

Although the influentials do not unanimously endorse American political practices either, they are substantially less suspicious and cynical than is the electorate. Indeed, they have achieved consensus or come close to achieving it on most of the items in the two tables. These results are further borne out by the *scale* scores: only 10.1 per cent of the articulates score "high" on the political cynicism scale, as contrasted with 31.3 per cent of the general population; on political suspiciousness the scores are 9.0 per cent high versus 26.7 per cent; on

pessimism they are 12.6 per cent versus 26.7 per cent; and on sense of political futility the influentials score (understandably enough) only 3.1 per cent high compared with 30.2 per cent high for the electorate. The active minority also exhibits a stronger sense of social responsibility than the people do (their respective percentage high scores are 40.7 per cent versus 25.8 per cent) and, as previously noted, they are less tolerant of infractions against ethical political procedures.

Should we not, however, have expected these results as a matter of course, considering that the influentials were selected for study precisely because of their political experience and involvement? Possibly, except that similar (though less pronounced) differences emerge when we distinguish articulates from inarticulates by criteria other than actual political activity. Voters, for example, who have been to college, attained high status occupations or professions, or developed strong intellectual interests are, by a significant margin, also likely to possess more affirmative attitudes toward government, politics, and politicians.²²

²¹ For other data on ambivalent attitudes toward government, see Hyman and Sheatsley, *op. cit.*

²² Similar findings are reported by Robert E. Agger, Marshall N. Goldstein and Stanley A. Pearl, "Political Cynicism: Measurement and Meaning," *Journal of Politics*, Vol. 23 (1961), pp. 477-506.

They display a greater sense of social and political responsibility, are more optimistic, and are less indulgent of shoddy political methods. The political actives who are highly educated exhibit these attitudes even more strongly. Familiarity, it seems, far from breeding contempt, greatly increases respect, hope and support for the nation's political institutions and practices. Inferential support for this generalization is available from the findings turned up by Almond and Verba in all five countries they investigated in their comparative study of citizenship.²³

Coherence and consistency of attitudes. So far we have explored the question of ideology and consensus mainly from the point of view of agreement on particular values. This, however, is a minimum criterion. Before one can say that a class or group or nation has achieved consensus around an ideology, one should be satisfied that they understand its values in a coherent and correct way. It is a poor consensus in which generalities and slogans are merely echoed with little appreciation of their significance. It seemed appropriate, therefore, to compare the influentials and voters concerning their information and understanding, the relation of their opinions to their party preferences, and the consistency of their views on public affairs.

To begin with, the influentials are more likely than the electorate to have opinions on public questions. For example, 28 per cent of the public are unable (though a few may only be *unwilling*) to classify themselves as liberal, middle of the road, or conservative; while only 1.1 per cent of the articulates fail to make this classification. Forty-eight per cent of the voters, compared to 15 per cent of the actives, do not know in which direction they would turn if the parties were reorganized to reflect ideological differences more clearly. Forty-five per cent of the electorate but only 10.2 per cent of the influentials cannot name any issue that divides the parties. By ratios of approximately three or four to one the electorate is less likely to know which level of government they are mainly interested in, whether they prefer their party to control Congress or the presidency, whether they believe in party discipline and

of what type, whether control of the parties should rest at the national or local levels, and so on.

As these and other of our findings suggest, active political involvement heightens one's sense of intellectual order and commitment. This inference is further supported by the data on partisanship. One example may suffice to illustrate the point: when the articulates and the electorate are ranged on a scale assessing their orientation toward 14 current liberal-conservative issues, the political actives tend to bunch up at the extreme ends of the distribution (the Democratic actives at the "liberal" end, the Republican actives at the "conservative" end), while the rank and file supporters of both parties fall more frequently into the middle or conflicted category. The political influentials, in short, display issue orientations that are more partisan and more consistent with their party preferences.

Essentially the same effect is achieved among the general population by increases in education, economic status, or other factors that raise the level of articulateness. College-educated Democrats and Republicans, for example, disagree more sharply on issues than grade school Democrats and Republicans do. Partisan differences are greater between the informed than between the uninformed, between the upper-class supporters of the two parties than between the lower-class supporters, between the "intellectuals" in both parties than between those who rank low on "intellectuality."

Increases in political knowledge or involvement, hence, cause men not so much to waver as to choose sides and to identify more unswervingly with one political tendency or its opposite. Inarticulateness and distance from the sources of political decision increase intellectual uncertainty and evoke political responses that are random rather than systematic. We are thus led by the findings to a pair of conclusions that may at first appear contradictory but that in reality are not: the political class is more united than the electorate on fundamental political values but divides more sharply by party affiliation on the issues which separate the two parties.²⁴ Both facts—the greater consensus in the one instance and the sharper cleavage in the other—testify to its superior ideological sophistication.

Not only are the articulates more partisan, but they are also more consistent in their views. Their responses to a wide range of po-

²³ Almond and Verba, *op cit.*, ch. IV. One can, of course, imagine circumstances, such as political disorganization or revolutionary crises, in which the generalization would not hold—in which, indeed, the political elite might lead the struggle *against* the existing governing institutions. I am speaking, in the present context, of politics under "normal" conditions in established democracies.

²⁴ See also V. O. Key, *Public Opinion and Democracy* (New York, 1961), pp. 51-2.

litical stimuli are to a greater extent intellectually patterned and informed. They are, for example, better able to name reference groups that correspond with their party affiliation and doctrinal orientation: approximately twice as many active Democrats as ordinary Democratic voters name liberal, Democratically oriented organizations as groups they would seek advice from (*e.g.*, trade unions, Farmers Union, etc.); and by equally large or larger ratios they *reject* as sources of advice such conservative or Republican oriented organizations as the NAM, the Farm Bureau, and the Chamber of Commerce. With some variations, similar findings emerge when Republican leaders are compared with Republican voters. If we also take into account the liberal or conservative issue-orientation of the respondents, the differential ability of party leaders and followers to recognize reference groups becomes even more pronounced. Clearly, the political stratum has a better idea than the public has of who its ideological friends and enemies are. The capacity to recognize sympathetic or hostile reference groups is not highly developed among the public at large.

Compared with the influentials, ordinary voters also show up poorly in their ability to classify themselves politically. For example, among Democratic actives who score as "liberals" in their views on issues, 82.2 per cent correctly describe themselves as "liberals," while 16.7 per cent call themselves "middle of the roaders" and only 1.1 per cent misclassify themselves as "conservatives." Among Democratic voters who actually hold liberal views, only 37.0 per cent are able to label themselves correctly. The disparity is less striking between Republican leaders and followers but bears out no less conclusively that most voters lack the sophistication to recognize and label accurately the tendency of their own political views. Even their choice of party is frequently discrepant with their actual ideological views: as we reported in a previous paper,²⁵ not only do Democratic and Republican voters hold fairly similar opinions on issues, but the latter's opinions are closer to the opinions of Democratic leaders than to those of their own leaders.

Data we have gathered on patterns of support for individual political leaders yield similar conclusions: the articulates are far better able than the electorate to select leaders whose political philosophy they share. Often, in fact, voters simultaneously approve of two or more leaders who represent widely different outlooks—for example, Joseph McCarthy and

Dwight D. Eisenhower. In a similar vein, a surprisingly large number of voters simultaneously score high on a Right Wing scale and a liberal issues scale, or hold other "discrepant" outlooks. Such inconsistencies are not unknown among the political actives either, but they are much less frequent. Not only does the public have less information than the political class but it does not succeed as well in sorting out and relating the information it does possess.²⁶

Most of the relationships reported in the foregoing have been tested with education, occupation, and sometimes with other demographic variables controlled, but the introduction of these factors does not change the direction of the findings, although it sometimes affects the magnitude of the scores.

Comparisons of scores for the two samples have also been made with "acquiescent" response-set controlled. Acquiescence affects the results, but does not eliminate the differences reported or alter the direction or significance of the findings. (See Appendix A.)

III. SUMMARY AND DISCUSSION

Several observations can be offered by way of summarizing and commenting upon the data just reported:

1. American politics is widely thought to be innocent of ideology, but this opinion more appropriately describes the electorate than the active political minority. If American ideology is defined as that cluster of axioms, values and beliefs which have given form and substance to American democracy and the Constitution, the political influentials manifest by comparison with ordinary voters a more developed sense of ideology and a firmer grasp of its essentials. This is evidenced in their stronger approval of democratic ideas, their greater tolerance and regard for proper procedures and citizen rights, their superior understanding and acceptance of the "rules of the game," and their more affirmative attitudes toward the political system in general. The electorate displays a substantial measure of unity chiefly in its support of freedom in the abstract; on most other features of democratic belief and practice it is sharply divided.

The political views of the influentials are relatively ordered and coherent. As liberals and conservatives, Democrats and Republicans,

²⁵ McClosky, Hoffmann, and O'Hara, *op. cit.*

²⁶ For other findings on the state of ideological development among the electorate, see Angus Campbell, Philip E. Converse, Warren E. Miller and Donald E. Stokes, *The American Voter* (New York, 1960), chs. 8-10.

they take stands on issues, choose reference groups, and express preferences for leaders that are far more consistent than the attitudes and preferences exhibited by the electorate. The latter's opinions do not entirely lack order but are insufficiently integrated to meet the requirements of an ideology.²⁷ In contrast to the political elite, which tends to be united on basic values but divided on issues by party affiliation (both of which testify to a measure of ideological sophistication), the voters divide on many basic political values and adopt stands on issues with little reference to their party affiliation.

The evidence suggests that it is the articulate classes rather than the public who serve as the major repositories of the public conscience and as the carriers of the Creed. Responsibility for keeping the system going, hence, falls most heavily upon them.²⁸

2. Why should consensus and support for democratic ideology be stronger among the political stratum than among the electorate? The answer plainly has to do with the differences in their political activity, involvement and articulateness.

Some observers complain that Americans have little interest in political ideas because they are exclusively concerned with their own personal affairs. Evidence is becoming available, however, that political apathy and ignorance are also widespread among the populations of other countries and may well be endemic in all societies larger than a city-state. It is difficult to imagine any circumstance, short of war or revolutionary crisis, in which the mass of men will evince more interest in the community's affairs than in their own concerns. This is not because they are selfish, thoughtless, or morally deficient, but because the stimuli they receive from public affairs are relatively remote and intangible. One can scarcely expect ordinary men to respond to them as intensely as they respond to the more palpable stimuli in their

own everyday lives, which impinge upon them directly and in ways they can understand and do something about. The aphorism which holds man to be a political animal may be supportable on normative grounds but is scarcely defensible as a description of reality. Political apathy seems for most men the more "natural" state. Although political matters are in a sense "everyone's concern", it is just as unreasonable to hope that all men will sustain a lively interest in politics as it would be to expect everyone to become addicted to chamber music, electronics, poetry, or baseball. Since many voters lack education, opportunity, or even tangible and compelling reasons for busying themselves with political ideas, they respond to political stimuli (if they respond at all) without much reflection or consistency. Their life-styles, furthermore, tend to perpetuate this state of affairs, for they are likely to associate with people like themselves whose political opinions are no more informed or consistent than their own. As inarticulates, they are also inclined to avoid the very activities by which they might overcome their indifference and develop a more coherent point of view.

Many voters, in addition, feel remote from the centers of political decision and experience an acute sense of political futility. They know the political world only as a bewildering labyrinth of procedures and unceasing turmoil in which it is difficult to distinguish the just from the wicked, the deserving from the undeserving. The political questions about which they are asked to have opinions are complex and thorny; every solution is imperfect and exacts its price; measures that benefit some groups invariably aggrieve others. The principles which govern the political process seem vague, recondite and impossible to relate to actual events. All this obviously deters voters from developing ideologically, from acquiring insights into the subtleties of the democratic process, and from achieving consensus even on fundamental values.

Although the influentials face some of the same obstacles, they are better able to overcome them. As a group they are distinguished from the mass of the electorate by their above-average education and economic status, their greater political interest and awareness, and their more immediate access to the command posts of community decision. Many of them participate not only in politics but in other public activities as well. This affords them, among other benefits, a more sophisticated understanding of how the society is run and a more intimate association with other men and women who are alert to political ideas and

²⁷ For a similar conclusion on this point, see V. O. Key, *Public Opinion and American Democracy* (New York, 1961), pp. 41, 49. The second chapter of this volume contains an excellent discussion of opinion consensus among the electorate, and touches on a number of the points dealt with in this paper. Evidence on the infrequency of "ideological" thinking among the voters is presented in Campbell, Converse, Miller and Stokes, *op. cit.*, p. 249. By the criteria used the authors were able to classify only 3.5% of the voters as "ideologues" and 12% as "near-ideologues."

²⁸ V. O. Key, "Public Opinion and the Decay of Democracy," *loc cit.*

values. Political concepts and abstractions, alien to the vocabulary of many voters, are for the elite familiar items of everyday discourse.

Consider also that the political stratum is, by almost every social criterion we have examined, more homogeneous than the electorate. This promotes communication among them and increases their chances of converging around a common body of attitudes.²⁹ As Newcomb³⁰ has remarked, "The actual consequences of communication, as well as the intended ones, are consensus-increasing." Among many segments of the general population, however, communication on matters of political belief either occurs not at all or is so random and cacophonous as to have little utility for the reinforcement of political values. If Louis Wirth is correct in observing that "the limits of consensus are marked by the range of effective communication,"³¹ it becomes easier to understand why the active minority achieves consensus more often than the voters do.

Compared with the electorate, whose ordinary members are submerged in an ideological babble of poorly informed and discordant opinions, the members of the political minority inhabit a world in which political ideas are vastly more salient, intellectual consistency is more frequently demanded, attitudes are related to principles, actions are connected to beliefs, "correct" opinions are rewarded and "incorrect" opinions are punished. In addition, as participants in political roles, the actives are compelled (contrary to stereotype) to adopt opinions, to take stands on issues, and to evaluate ideas and events. As *articulates* they are unavoidably exposed to the liberal democratic values which form the main current of our political heritage. The net effect of these influences is to heighten their sensitivity to political ideas and to unite them more firmly behind the values of the American tradition. They may, as a result, be better equipped for the role they are called upon to play in a democracy than the citizens are for *their* role.

The findings furnish little comfort for those who wish to believe that a passion for freedom,

tolerance, justice and other democratic values springs spontaneously from the lower depths of the society, and that the plain, homespun, uninitiated yeoman, worker and farmer are the natural hosts of democratic ideology. The mystique of the simple, unworldly, "natural" democrat has been with us since at least the rise of Christianity, and has been assiduously cultivated by Rousseau, Tolstoy, Marx, and numerous lesser writers and social reformers. Usually, the simpler the man, the lower his station in life, and the greater his objective need for equality, the more we have endowed him with a capacity for understanding democracy. We are thus inclined to give the nod to the farmer over the city man, the unlearned over the educated, the poor man over the man of wealth, the "people" over their leaders, the unsophisticated over the sophisticated. Yet everyone of these intuitive expectations turns out, upon investigation, to be questionable or false. Democratic beliefs and habits are obviously not "natural" but must be learned; and they are learned more slowly by men and women whose lives are circumscribed by apathy, ignorance, provincialism and social or physical distance from the centers of intellectual activity. In the absence of knowledge and experience—as we can readily observe from the fidgety course of growth in the newly emerging nations—the presuppositions and complex obligations of democracy, the rights it grants and the self-restraints it imposes, cannot be quickly comprehended. Even in a highly developed democratic nation like the United States, millions of people continue to possess only the most rudimentary understanding of democratic ideology.

3. While the active political minority affirms the underlying values of democracy more enthusiastically than the people do, consensus among them is far from perfect, and we might well inquire why this is so.

Despite the many forces impelling influentials toward agreement on basic ideological values, counteracting forces are also at work to divide them. Not all influentials are able to comprehend democratic ideas, to apply them to concrete contexts, or to thread their way through the complexities of modern political life. Nor is communication perfect among them either, despite their greater homogeneity. Many things divide them, not least of which are differences in education, conflicting economic and group interests, party competition, factional cleavages and personal political ambitions.

In demonstrating that the influentials are better prepared than the masses to receive and

²⁹ For additional data on the homogeneity of social characteristics and values among American elite groups, see James N. Rosenau, "Consensus-Building in the American National Community: Hypotheses and Supporting Data," *Journal of Politics*, Vol. 24 (November, 1962), pp. 639-661.

³⁰ Theodore M. Newcomb, "The Study of Consensus," in R. K. Merton *et al.* (eds.), *Sociology Today* (New York, 1959), pp. 277-92.

³¹ *Op. cit.*, p. 201.

reflect upon political ideas, we run the risk of overstating the case and of exaggerating their capacity for ideological reasoning. Some members of the political class obviously have no more intellectual concern with politics than the masses do; they are in it for "the game," for personal reasons, or for almost any reason except ideology.

Then, too, while most democratic ideas are in their most general form simple enough for almost all members of the elite to understand, they become considerably more puzzling when one sets out to explicate them, to relate them to each other, or to apply them to concrete cases. Only a few of the complications need to be cited to illustrate the point: several of the ideas, such as equality, are either inherently vague or mean different things in different contexts. Some democratic (or constitutional) values turn out in certain situations to be incompatible with other democratic values (e.g., the majority's right to make and enforce the laws at times clashes with individual rights, such as the right to stand on one's religious conscience). As this suggests, democratic ideas and rules of the game are ordinarily encountered not in pure form or in isolation but in substantive contexts that are bound to influence the ways in which we react to them.³² Many businessmen who consider the regulation of business as an unconstitutional invasion of freedom look upon the regulation of trade unions as a justifiable curb upon lawlessness; trade unionists, needless to say, lean to the opposite view.

Consider, too, what a heavy burden we place upon a man's normal impulses by asking him to submit unconditionally to democratic values and procedures. Compliance with democratic rules of the game often demands an extraordinary measure of forbearance and self-discipline, a willingness to place constraints upon the use of our collective power and to suffer opinions, actions, and groups we regard as repugnant. The need for such self-restraint is for many people intrinsically difficult to comprehend and still more difficult to honor. Small wonder, then, that consensus around democratic values is imperfect, even among the political influentials who are well situated to appreciate their importance.

4. We turn now to the most crucial question suggested by the research findings, namely, what significance must be assigned to the fact

that democratic ideology and consensus are poorly developed among the electorate and only imperfectly realized among the political influentials?

Our first and most obvious conclusion is that, contrary to the familiar claim, a democratic society can survive despite widespread popular misunderstanding and disagreement about basic democratic and constitutional values. The American political system survives and even flourishes under precisely these conditions, and so, we have reason to think, do other viable democracies. What makes this possible is a more conjectural question, though several observations can be offered by way of answering it.

Democratic viability is, to begin with, saved by the fact that those who are most confused about democratic ideas are also likely to be politically apathetic and without significant influence. Their role in the nation's decision process is so small that their "misguided" opinions or non-opinions have little practical consequence for stability. If they contribute little to the vitality of the system, neither are they likely to do much harm. Lipset³³ has pointed out that "apathy undermines consensus," but to this one may add the corollary observation that apathy also furnishes its own partial corrective by keeping the doubters from acting upon their differences. In the United States, at least, their disagreements are *passive* rather than *active*, more the result of political ignorance and indifference than of intellectual conviction or conscious identification with an "alien" political tendency. Most seem not even to be aware of their deviations from the established values. This suggests that there may, after all, be some utility in achieving agreement on large, abstract political sentiments, for it may satisfy men that they share common values when in fact they do not. Not only can this keep conflicts from erupting, but it also permits men who disagree to continue to communicate and thus perhaps to convert their pseudo-consensus on democratic values into a genuine consensus.

I do not mean to suggest, of course, that a nation runs no risks when a large number of its citizens fail to grasp the essential principles on which its constitution is founded. Among Americans, however, the principal danger is not that they will reject democratic ideals in favor

³² For a discussion of this point, see Peter Bachrach, "Elite Consensus and Democracy," *Journal of Politics*, Vol. 24 (August, 1962), pp. 439-52.

³³ Seymour Martin Lipset, *Political Man*, (New York, 1960), p. 27. Chapter I of this volume provides a stimulating and valuable discussion of the relation of conflict and consensus to the operation of democracy.

of some hostile ideology, but that they will fail to understand the very institutions they believe themselves to be defending and may end up undermining rather than safeguarding them. Our research on "McCarthyism," for example, strongly suggests that popular support for the Senator represented less a conscious rejection of American democratic ideals than a misguided effort to defend them. We found few McCarthy supporters who genuinely shared the attitudes and values associated with his name.³⁴

Whether consensus among the influentials is either a necessary or sufficient condition for democratic stability is not really known. Since the influentials act, make public decisions, are more organized, and take political ideas more seriously, agreement among them on constitutional values is widely thought to be essential for viability. At present, however, we do not have enough information (or at least we do not have it in appropriately organized form) to state with satisfactory precision what the actual relation is between elite consensus and democratic stability. Some democratic governments, *e.g.*, Weimar Germany, crumbled when faced with ideological conflicts among their political classes; others, *e.g.*, post-war Italy and France, have until now managed to weather pronounced ideological cleavages. The opinion has long prevailed that consensus is needed to achieve stability, but the converse may be the more correct formulation, *i.e.*, that so long as conditions remain stable, consensus is not required; it becomes essential only when social conditions are disorganized. Consensus may strengthen democratic viability, but its absence in an otherwise stable society need not be fatal or even particularly damaging.

It should also be kept in mind that the existence of intellectual disagreements—even among the influentials—does not necessarily mean that they will be expressed or acted upon. In the United States (and doubtless elsewhere as well), numerous influences are at work to prevent ideological cleavages from assuming an important role in the nation's political life. This is certainly the tendency of such political institutions as federalism, checks and balances, separation of powers, bicameralism, the congressional committee system, the judiciary's practice of accommodating one discrepant law to another, and a system of elections more often

fought around local issues and personalities than around urgent national questions. Our two-party system also functions to disguise or soften the genuine disagreements that distinguish active Democrats from active Republicans. The American social system contributes to the same end, for it is a model of the pluralistic society, a profuse collection of diverse groups, interests and organizations spread over a vast and variegated territory. Consensus in such a society becomes difficult to achieve, but by the same token its absence can also more easily be survived. The complexities of a highly pluralistic social and political order tend to diminish the impact of intellectual differences, to compel compromise, and to discourage the holders of divergent views from crystalizing into intransigent doctrinal camps. Thus it seems, paradoxically enough, that the need for consensus on democratic rules of the game increases as the conflict among competing political tendencies becomes sharper, and declines as their differences become more diffused. Italy, by this reasoning, has greater need of consensus than the United States, but has less chance of achieving it. A democratic nation may wisely prefer the American model to the Italian, though what is ideally desired, as Lipset observes,³⁵ is a balance between cleavage and consensus—the one to give reality and force to the principle of opposition, the other to furnish the secure framework within which that principle might be made continuously effective. Countervailing power within a structure of shared political values would, by this logic, be the optimal condition for the maintenance of a democratic society.

5. But even giving this much weight to consensus may exaggerate the role which intellectual factors play in the attainment of democratic stability. The temptation to assign a controlling influence to the place of ideas in the operation of democracy is very great. Partly this results from our tendency to confuse the textbook model of democracy with the reality and to assume the high order of rationality in the system that the model presupposes (*e.g.*, an alert citizenry aware of its rights and duties, cognizant of the basic rules, exercising consent, enjoying perfect information and choosing governors after carefully weighing their qualifications, deliberating over the issues, etc.). It is not my purpose to ridicule this model but to underscore the observation that it can easily mislead us into placing more weight than the facts warrant upon cognitive elements—upon ideas, values, rational choice, consensus,

³⁴ Herbert McClosky, "McCarthyism: The Myth and the Reality," unpublished paper delivered at the American Psychological Association, New York, September, 1957. See also Wiebe, *loc. cit.*

³⁵ Lipset, *op. cit.*, pp. 21-2.

etc.—as the cementing forces of a democratic society. An *ad hominem* consideration may also be relevant here: as intellectuals and students of politics, we are disposed both by training and sensibility to take political ideas seriously and to assign central importance to them in the operation of the state. We are therefore prone to forget that most people take them less seriously than we do, that they pay little attention to issues, rarely worry about the consistency of their opinions, and spend little or no time thinking about the values, presuppositions, and implications which distinguish one political orientation from another. If the viability of a democracy were to depend upon the satisfaction of these intellectual activities, the prognosis would be very grim indeed.

Research from many different lines of inquiry confirms unequivocally that the role heretofore assigned to ideas and to intellectual processes in general has been greatly exaggerated and cannot adequately explain many political phenomena which, on *a priori* grounds, we have expected them to explain. Witness, for example, the research on the non-rational factors which govern the voting decision, on the effects—or rather the non-effects—of ideology on the loyalty and fighting effectiveness of German and American soldiers, on the differences between the views of party leaders and followers, on the influence of personality on political belief, and on group determinants of perception.³⁶ We now have evidence that patriotism and the strength of one's attachment to a political community need not depend upon one's approval of its intellectual, cultural, or political values. Indeed, our present research clearly confirms that the men and women who express "patriotism" in extreme or chauvinistic form usually have the least knowledge and understanding of American democratic ideals, institutions, and practices.

Abundant anecdotal data from the observation of dictatorial and other nations further

corroborates the conclusion that men may become attached to a party, a community, or a nation by forces that have nothing to do with ideology or consensus. Many of these forces are so commonplace that we often neglect them, for they include family, friends, home, employment, property, religion, ethnic attachments, a common language, and familiar surroundings and customs. These may lack the uplifting power of some political doctrines, but their ability to bind men to a society and its government may nevertheless be great. This observation, of course, is less likely to hold for the intelligentsia than for the inarticulates, but even the political behavior of intellectuals is never governed exclusively by appeals to the mind.

The effect of ideas on democratic viability may also be diminished by the obvious reluctance of most men to press their intellectual differences to the margin and to debate questions that may tear the community apart. So long as no urgent reason arises for bringing such differences to the surface, most men will be satisfied to have them remain dormant. Although there are men and women who are exceptions to this generalization, and who cannot bear to leave basic questions unresolved, they are likely to be few, for both the principles and practices of an "open society" strongly reinforce tolerance for variety, contingency and ambiguity in matters of belief and conscience. As our data on freedom of opinion suggest, few Americans expect everyone to value the same things or to hold identical views on public questions. The tendency to ignore, tolerate, or play down differences helps to create an illusion of consensus which for many purposes can be as serviceable as the reality.³⁷

6. To conclude, as we have in effect, that

³⁶ Cf., for example, Campbell, *et al.*, *op. cit.*; Bernard R. Berelson, Paul F. Lazarsfeld, and William N. McPhee, *Voting* (Chicago, 1954), especially ch. 14; Edward A. Shils and Morris Janowitz, "Cohesion and Disintegration in the German Wehrmacht in World War II," *Public Opinion Quarterly*, Vol. 12 (1948), pp. 280-315; Herbert McClosky, "Conservatism and Personality," this REVIEW, Vol. 52 (March, 1958), pp. 27-45; T. W. Adorno *et al.* *The Authoritarian Personality*, (New York, 1950), ch. XVII; Richard Crutchfield, "Conformity and Character," *American Psychologist*, Vol. 10 (1955), pp. 191-198.

³⁷ Robert G. McCloskey, *loc. cit.*, suggests that the American political tradition is marked by "ambivalence" toward certain of our fundamental values and that this may discourage the achievement of "consensus" in the usual sense. He believes, however, that Americans have learned to live with, and even to ignore, inconsistencies in the value system, in keeping with our "pragmatic spirit." Whether this ability is uniquely American or whether it is characteristic of all "open," democratic societies is a question well worth investigating. It could, conceivably, be a natural outgrowth of democratic ideology itself, no element of which can be conceived and enforced absolutely without infringing other elements. On this last point, see Sidney Hook, *The Paradoxes of Freedom* (Berkeley, 1962), pp. 14-62.

ideological awareness and consensus are overvalued as determinants of democratic viability is not to imply that they are of no importance. While disagreements among Americans on fundamental values have tended to be passive and, owing to apathy and the relative placidity of our politics, easily tolerated; while they do not follow party lines and are rarely insinuated into the party struggle; and while no extremist movement has yet grown large enough to challenge effectively the governing principles of the American Constitution, this happy state of affairs is not permanently guaranteed. Fundamental differences could *become* activated by political and economic crises; party differences could *develop* around fundamental constitutional questions, as they have in France and other democracies; and powerful extremist movements are too familiar a phenomenon of modern political life to take for granted their eternal absence from the American scene.

Obviously a democratic nation also pays a price for an electorate that is weakly developed ideologically. Lacking the intellectual equipment to assess complex political events accurately, the unsophisticated may give support to causes that are contrary to their own or to the national interest. In the name of freedom, democracy, and the Constitution, they may favor a McCarthy, join the John Birch Society, or agitate for the impeachment of a Supreme Court Justice who has worked unstintingly to uphold their constitutional liberties. They may also have difficulty discriminating political integrity from demagoguery, maturity and balanced judgment from fanaticism, honest causes from counterfeits. Our findings on the attitudes shown by ordinary Americans toward "extreme" political beliefs (Left Wing beliefs, Right Wing beliefs, totalitarianism, isolationism, etc.) verify that the possibilities just cited are not merely hypothetical. Those who have the least understanding of American politics subscribe least enthusiastically to its principles, and are most frequently "misled" into attacking constitutional values while acting (as they see it) to defend them.

There is, however, reason to believe that ideological sophistication and the general acceptance of liberal democratic values are increasing rather than declining in the United States. Extreme ideological politics of the type associated with Marxism, facsimism and other doctrinaire networks of opinion may be waning, as many sociologists believe,³⁸ but the same

observation does not hold for the influence of democratic ideas. On the contrary, democratic ideology in the United States, linked as it is with the articulate classes, gives promise of growing as the articulate class grows. Many developments in recent American life point to an increase in "articulateness": the extraordinary spread of education, rapid social mobility, urbanization, the proliferation of mass media that disseminate public information, the expansion of the middle class, the decline in the size and number of isolated rural groups, the reduction in the proportion of people with submarginal living standards, the incorporation of foreign and minority groups into the culture and their increasing entrance into the professions, and so on. While these developments may on the one side have the effect of reducing the tensions and conflicts on which extreme ideologies feed, they are likely on the other side to beget a more articulate population and a more numerous class of political influentials, committed to liberal democracy and aware of the rights and obligations which attend that commitment.

APPENDIX A. THE EFFECT OF ACQUIESCENCE OR "RESPONSE-SET"

Because responses to scale items are subject to a response-set known as acquiescence, *i.e.*, a tendency to agree (or disagree) with items regardless of what the items say, all procedures in the present study have routinely been run with acquiescence controlled. For this purpose we employed a specially constructed acquiescence scale, consisting of 19 pairs of contradictory or near-contradictory items. Each respondent received an acquiescence score of 0 to 38, depending upon the number of "agree" and "disagree" responses he registered on the scale. For convenience we have divided our sample into those who scored high, middle, or low on acquiescence, and have compared the influentials and electorate within each acquiescence level.

As the accompanying table shows, variations in acquiescence have a powerful effect on the scale scores (and implicitly the item scores) of both the political elite and the public. It is equally plain, nevertheless, that the differences between the two samples on democratic and related ideological values remain large and statistically significant even when acquiescence is held constant; they cannot, therefore, be explained as mere artifacts of response-set. Then, too, although one cannot discern it from these tables, acquiescence functions in the case of some items to reduce rather than to enlarge

³⁸ Cf. Daniel Bell, *The End of Ideology* (Glencoe, 1960), pp. 369-375; S. M. Lipset, *op. cit.*, pp. 403-17; Edward Shils, *loc. cit.*

the "actual" differences between the influentials and the electorate.

The question might also be raised whether we are doing the inquiry a disservice by conceiving acquiescence *entirely* as a response-set which artificially inflates or deflates the scores registered by respondents on certain items or scales. Our research has yielded a vast amount of data on acquiescence which suggests that the tendency to agree or disagree with items indiscriminately reflects personality and cognitive capacities that are strongly associated with the ability to sort out, understand, relate, and internalize norms. Conceivably, therefore, the electorate demonstrates a weaker grasp of democratic and constitutional principles not only because its members are more prone than the influentials to response-set (which means that they say yes to items that happen *in this case* to express undemocratic and "unconstitutional" sentiments), but also because they characteristically lack the habits, skills, or capacities for thinking about these matters in a

coherent and sophisticated way. It appears, in short, that the correlation between acquiescence and the expression of certain poorly valued sentiments results not entirely from the influence of the former upon the latter, but also from the influence upon *both* of a common, underlying, mental state.*

APPENDIX B. CHARACTERISTICS OF THE PAB
GENERAL POPULATION SAMPLE

Because the self-administration and return of questionnaires left with respondents by interviewers represents a somewhat unorthodox sampling procedure, the accompanying table presents a description of the PAB general population sample utilized in this paper. For purposes of comparison, the table also includes

* The full presentation and explication of our findings on acquiescence cannot be accommodated within the limitations of the present paper, and I have put the matter aside for presentation in a separate paper.

TABLE VIII. INFLUENTIALS VS. THE ELECTORATE: PERCENTAGES SCORING HIGH—LOW ON SELECTED SCALES WITH ACQUIESCENT RESPONSE-SET CONTROLLED

	%’s Down					
	Low Acq.		Mid Acq.		High Acq.	
	Infl. N = 1369	Elect. 453	Infl. 1159	Elect. 520	Infl. 492	Elect. 471
Faith in Procedural Rights						
High	70	35	56	28	32	10
Low	6	21	13	27	28	45
Faith in Democracy						
High	50	29	36	21	22	6
Low	8	19	16	28	28	42
Tolerance						
High	66	57	62	48	45	27
Low	13	21	15	29	29	48
Faith in Freedom						
High	64	45	63	50	59	50
Low	17	32	15	27	20	26
Totalitarianism						
High	2	11	9	26	35	63
Low	80	52	54	30	21	5
F-Authoritarianism						
High	4	9	14	24	46	67
Low	70	48	38	22	10	2
Political Cynicism						
High	2	9	10	24	31	60
Low	74	51	47	25	20	6
Elitism						
High	12	21	27	35	45	60
Low	53	37	36	23	20	8

TABLE IX. CHARACTERISTICS OF MCCLOSKEY NATIONAL GENERAL POPULATION
SAMPLE AND SELECTED OTHER SAMPLES

	AIPO Samples ^a (January, 1958)	Michigan SRC Sample ^b (1956 Presidential Election)	McClosky-PAB General Popu- lation Sample (January, 1958)	Others
	(N = 3024)	(N = 1762)	(N = 1484)	
	%	%	%	%
Sex				(1960 Census)
Men	48	44.7	51	48.4
Women	52	55.3	49	51.6
Age (1)				
21-29	16.5		17.3	
30-49	45.5		44.5	
50+	37.0		37.9	
Undesignated	1.0		.3	
Age (2)				
Under 35		30.2	28	
35-44		25.6	23.6	
45-54		19.1	20.6	
55+		25.0	27.7	
Race				
White		91.3	93.1	
Negro		8.3	6.5	
Rural-Urban				(1960 Census)
Urban (over 2500)		68	72.2	71.5
Rural (farm-non farm under 2500)		32	27.8	28.5
Region				PAB (Adjusted)
East	28	25.7	27.7	24.8 ^c
Midwest	32	34.4	35.7	35.7
South	25	26.7	19.7	22.8
West	15	13.3	16.9	16.9

^a The figures for the AIPO sample are averages computed from two national surveys conducted by the Gallup Poll in January, 1958. The information on the characteristics of these samples was supplied by the AIPO in a letter to the author.

^b In most cases, the figures from the Michigan SRC sample are computed from the information supplied in the codebook for Deck 6 of Study 417, September 1956. Urban rural figures are computed from a table in *The American Voter*, p. 454. Criteria for urban-rural are set out on p. 406.

^c Michigan SRC included Md. and W. Va. in the South, while we had classified these states as Eastern. This column shows the PAB figures with Md. and W. Va. classified as Southern. SRC regional figures combine data from 1952 and 1956 (See *The American Voter*, p. 158.)

^d Data on party preference for the AIPO and the national congressional elections of 1958 are taken from a Gallup news release, May 24, 1959.

* Two-party vote only. PAB sample contained 821 Democrats, 623 Republicans, and 40 Independents, Other, and DK's.

TABLE IX (Continued)

	AIPO Samples ^a (January, 1958)	Michigan SRC Sample ^b (1956 Presidential Election)	McClosky-PAB General Popu- lation Sample (January, 1958)	Others
	(N = 3024)	(N = 1762)	(N = 1484)	
Education				
College	15.5	18.7	27.2	
High School	51.5	50.6	51.2	
Grade School	33.0	30.7	21.6	
Religion				
Catholic		21.1	21.9	
Jew		3.2	2.5	
Prot, Other, & NA		75.7	75.6	
Income				
Under 3000		24.3	20.4	
3000-5000		28.8	34.0	
5000-7500		25.6	26.6	
7500-10,000		10.8	9.4	
10,000+		7.6	5.7	
Refuse, NA, Dk		3.6	3.8	
Party Preference				(Actual Congres- sional Vote, November, 1958 ^d)
Democrat	56 ^d	57.1	56.9 ^e	56.6
Republican	44	42.9	43.1	43.4

the characteristics of two AIPO (Gallup) samples, interviewed in January-February, 1958; the September-November sample employed by the Survey Research Center, University of Michigan, in its study of the 1956 presidential election; and, where comparable, census and voting data.

As the figures make plain the PAB sample closely resembles in its social characteristics both the AIPO and Michigan SRC samples. Although some of the differences among the samples doubtless arise from the differences in response rate, other differences may be due to the differences in the time of polling as well as differences in the coding criteria employed.

In only one characteristic does the PAB sample deviate substantially from the other samples, namely, in the overrepresentation of the college-educated strata and the corresponding underrepresentation of the grade school

educated. This, of course, was to be expected, in light of the length and complexity of the PAB questionnaire and the difficulty associated with its self-administration. It should, however, be kept in mind that oversampling the upper educated has tended to flatten rather than to accentuate the differences between the influentials and the electorate reported in this paper. Since the highly educated tend to embrace democratic values and the "rules of the game" more frequently than the less educated do, the item and scale scores we have reported for the general population of voters are doubtless slightly inflated. In other words, the differences in ideological outlook between the political stratum and the electorate are probably even a bit larger than we have reported. Hence, correcting for the educational bias in the sample would strengthen rather than weaken the conclusions of this paper.