

Extinction, Fixation, and Invasion in an Ecological Niche

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Internal defense
performed as a requirement for
the degree of Doctor of Philosophy
4 July 2019

Motivation and Background

Coexistence
and
Extinction of
Competing
Species

M.A.Badali

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Extra Slides

- biodiversity is the number of species in an ecosystem
- biodiversity comes from a balance of species exiting (extinction, fixation) and species entering (invasion, immigration) the system

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- applications:
 - human health (gut microbiome)
 - planet health (conservation)
 - minimal working models
 - coalescent theory

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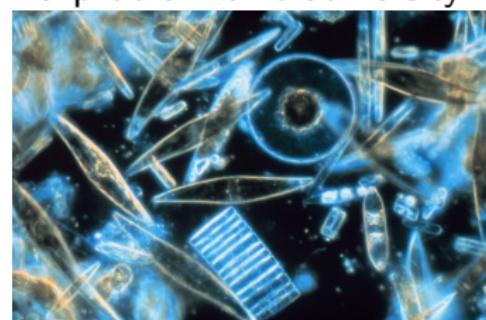
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Paradox of the Plankton
a problem of biodiversity



corp2365, NOAA Corps Collection

Niche Theories

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Competitive Exclusion

- niche apportionment explains abundance distribution
- classic niche theory is Lotka-Volterra/logistic

¹Gause. *Science*, 1934

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Competitive Exclusion

- niche apportionment explains abundance distribution
- classic niche theory is Lotka-Volterra/logistic
- “two species cannot coexist if they share a single [ecological] niche”¹
- species: group with the same birth and death rates
- niche: survivable values of those factors which affect the birth and death rates

¹Gause. *Science*, 1934

Stochastic Analysis

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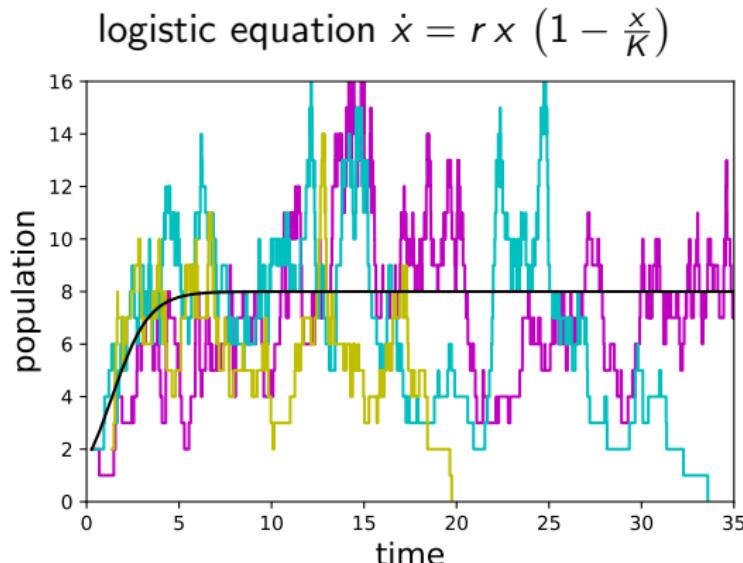
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- demographic stochasticity = fluctuations, noise

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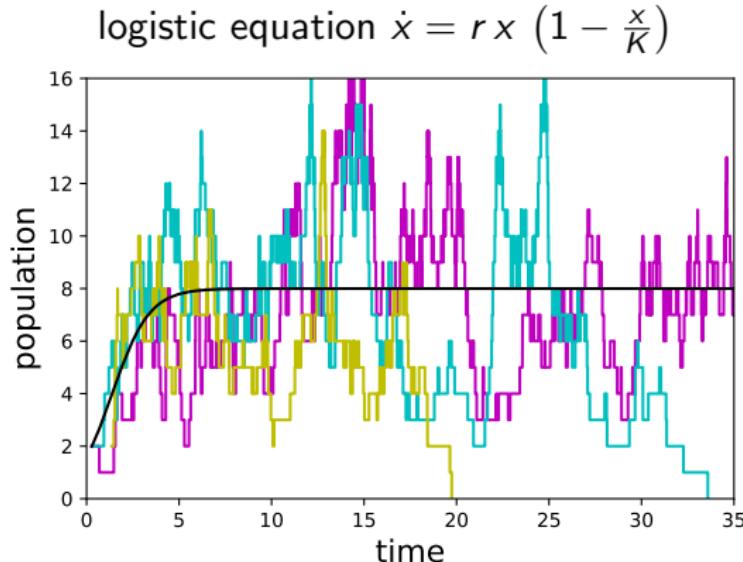
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- demographic stochasticity = fluctuations, noise
- probability of population n : P_n
- mean time to extinction: $\tau \sim e^K$

Neutral Theories

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- better prediction of abundance curves (Hubbell), also allele frequencies (Kimura), fixation (Moran)
- inherently stochastic

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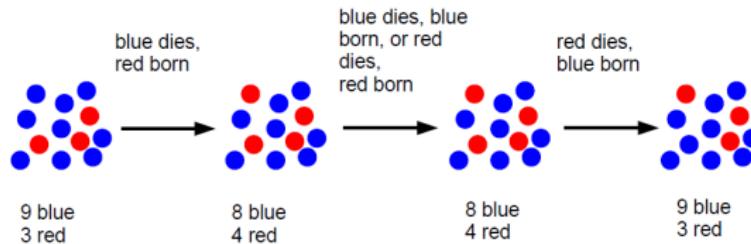
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- better prediction of abundance curves (Hubbell), also allele frequencies (Kimura), fixation (Moran)
- inherently stochastic
- Moran model



- $\tau \sim K$

Structure of Thesis

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Biodiversity comes from a balance of species exiting (extinction, fixation) and species entering (invasion, immigration) the system.

- Extinction - Single Logistic System
- Fixation - Coupled Logistic System/LV
- Invasion - Coupled Logistic System/LV
- Maintenance - Moran with Immigration
- Discussion

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Logistic Equation

deterministic logistic equation $\dot{x} = rx(1 - \frac{x}{K})$

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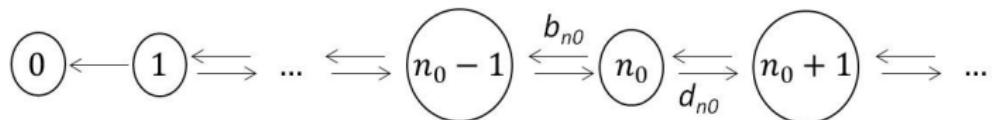
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Logistic Equation

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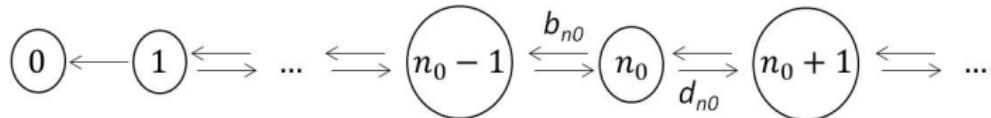
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deterministic logistic equation $\dot{x} = rx \left(1 - \frac{x}{K}\right)$



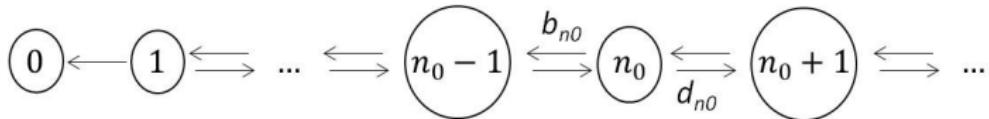
derived from a stochastic model with birth/death rates:

$$b_n = r(1 + \delta)n - \frac{r q}{K} n^2$$

$$d_n = r\delta n + \frac{r(1 - q)}{K} n^2$$

Logistic Equation

deterministic logistic equation $\dot{x} = rx \left(1 - \frac{x}{K}\right)$



derived from a stochastic model with birth/death rates:

$$b_n = r(1 + \delta)n - \frac{r q}{K} n^2$$

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- 4 terms (2nd order in birth/death) so 4 total parameters
- δ gives magnitude of birth or death (rather than their average difference r)
- q shifts intraspecies interactions between reducing birth and increasing death

Mean Time to Extinction

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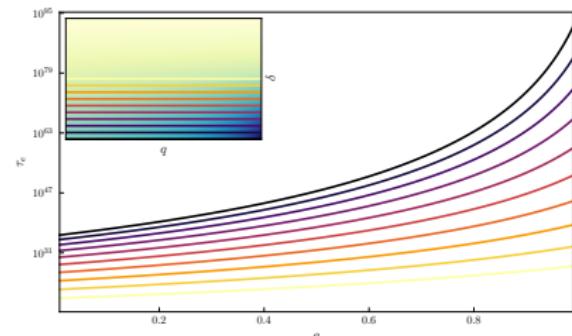
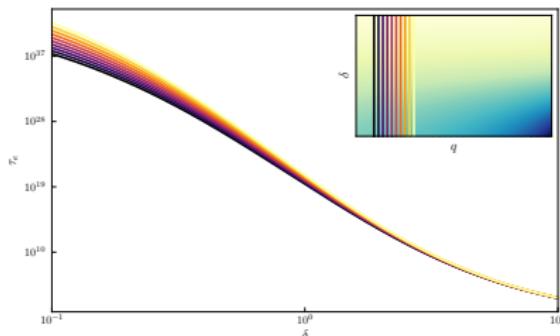
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Mean time to extinction for varying δ and q . Lightness of the line indicates an increase of q or δ in left and right respectively. Carrying capacity $K = 100$. The MTE decreases with increased δ or decreased q .

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Fixation

Coupled Logistic Equations

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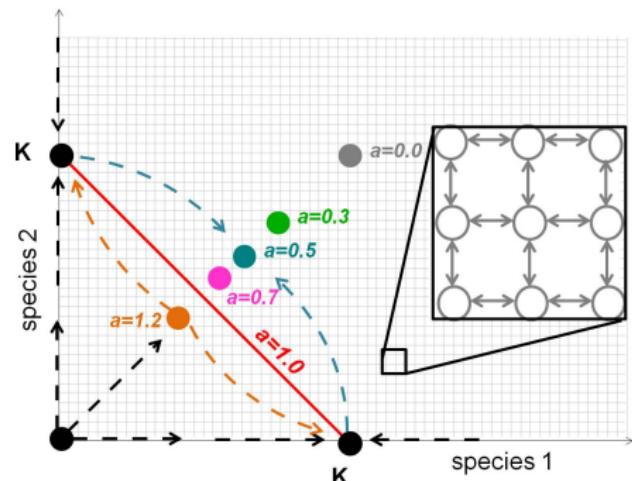
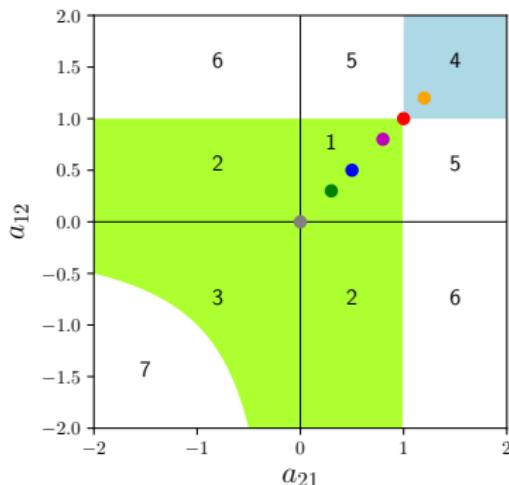
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$$\dot{x}_1 = r_1 x_1 \left(1 - \frac{x_1 + a_{12}x_2}{K_1}\right) \text{ and } \dot{x}_2 = r_2 x_2 \left(1 - \frac{a_{21}x_1 + x_2}{K_2}\right)$$



$$O = (0, 0), A = (0, K_2), B = (K_1, 0), C = \left(\frac{K_1 - a_{12}K_2}{1 - a_{12}a_{21}}, \frac{K_2 - a_{21}K_1}{1 - a_{12}a_{21}}\right)$$

2,6 = parasitism/predation/antagonism, 3,7 = mutualism,

4,5 = competitive exclusion, 1 = (weak) competition

Transition to Neutrality

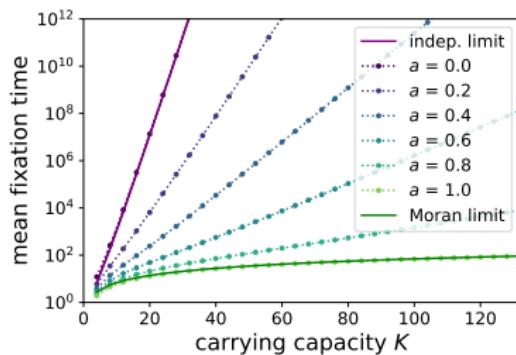
- Recall for niches $\tau \sim e^K$
- $a_{12} = a_{21} = 1$ limit recovers Moran results $\tau \sim K$: **neutral limit**

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- ansatz: $\tau(a, K) = e^{h(a)} K^{g(a)} e^{f(a)K}$

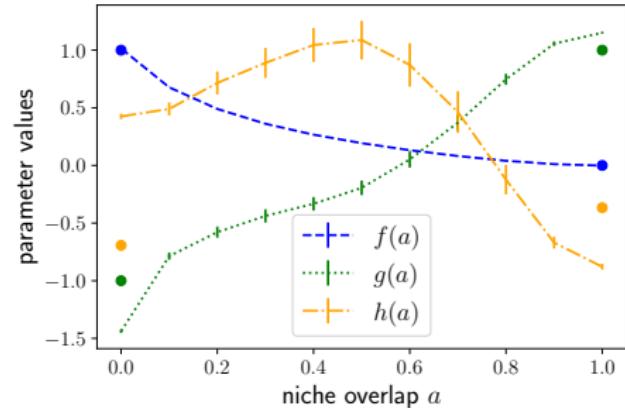
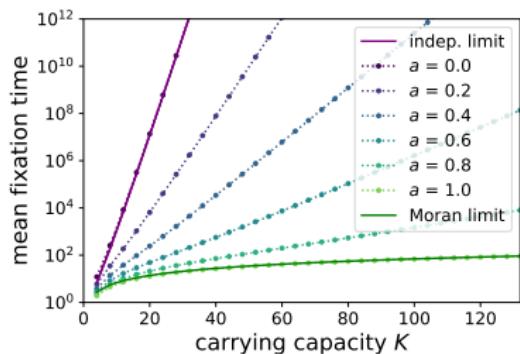
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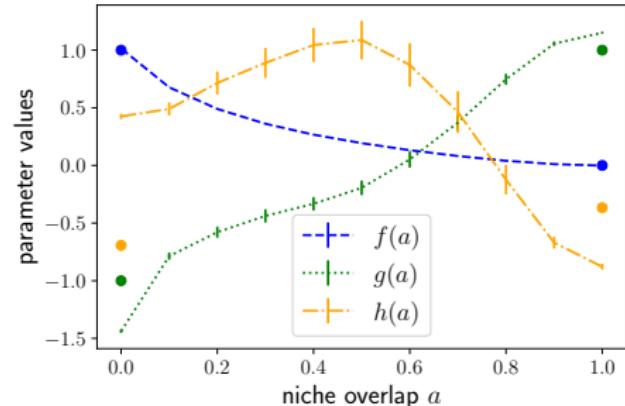
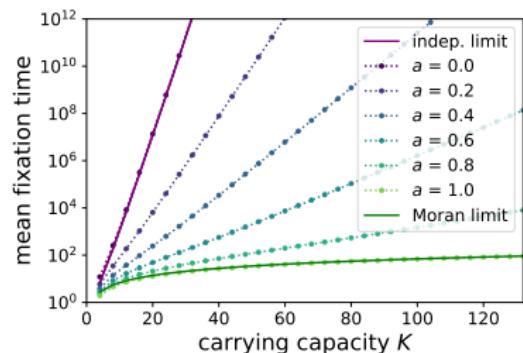
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Transition to Neutrality

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- ansatz: $\tau(a, K) = e^{h(a)} K^{g(a)} e^{f(a)K}$



Effective coexistence except with complete niche overlap!

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Invasion is going from one organism to half the population

- invasion is the other part of maintenance of biodiversity

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Invasion is going from one organism to half the population

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- invasion with a fixed point should be fast (logarithmic)

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Invasion is going from one organism to half the population

- invasion is the other part of maintenance of biodiversity
- invasion with a fixed point should be fast (logarithmic)
- invasion on the line should be slower (linear)
- effects of a and K are not trivial
- invasion attempts characterized by invasion probability E_s , successful invasion time τ_s , and failed invasion time τ_f

Invasion Probability

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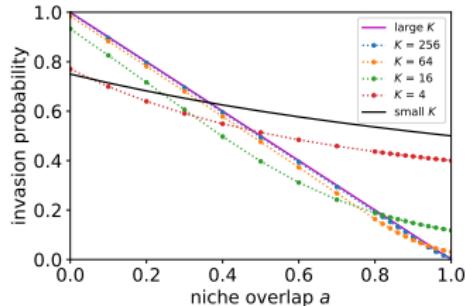
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Invasion probability
approaches $1 - a$.



Invasion Probability

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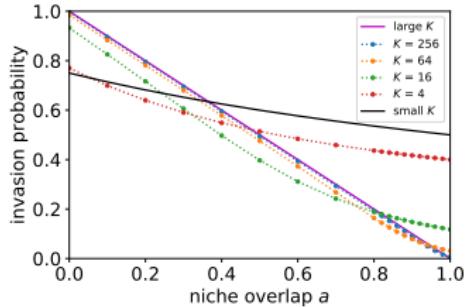
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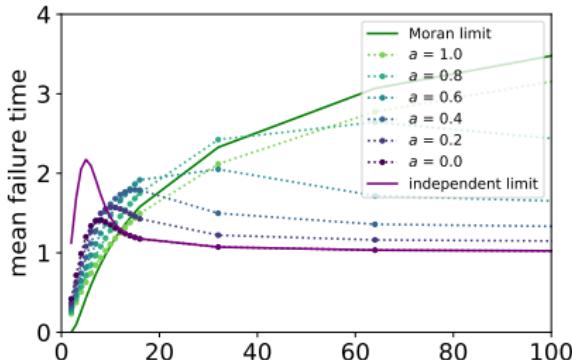
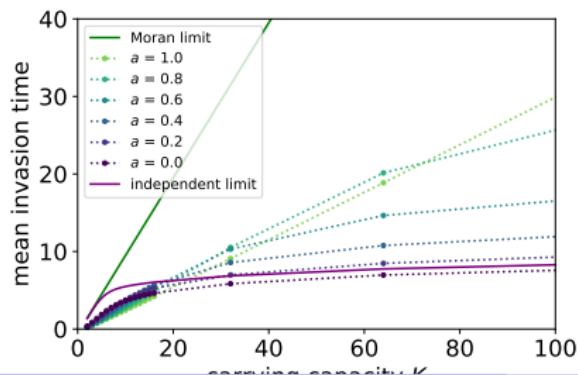
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Invasion probability
approaches $1 - a$.

Successful invasion
goes from logarithmic
to linear in K .



Failed invasion
attempts go
from constant to
logarithmic in K .



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Moran Model with Immigration

Immigration comes from a constant reservoir of focal species fraction $g = n_{\text{reservoir}}/K_{\text{reservoir}}$ at a rate ν . Defining $f = n/K$, we have the following transition rates.

| transition | function | value |
|-----------------------|-------------|---|
| $n \rightarrow n + 1$ | $b(n)$ | $f(1 - f)(1 - \nu) + \nu g(1 - f)$ |
| $n \rightarrow n - 1$ | $d(n)$ | $f(1 - f)(1 - \nu) + \nu(1 - g)f$ |
| $n \rightarrow n$ | $1 - b - d$ | $(f^2 + (1 - f)^2)(1 - \nu) + \nu(gf + (1 - g)(1 - f))$ |

The crucial comparison is between $1/\nu$ and the invasion times previously described.

Steady State Results

Coexistence and Extinction of Competing Species

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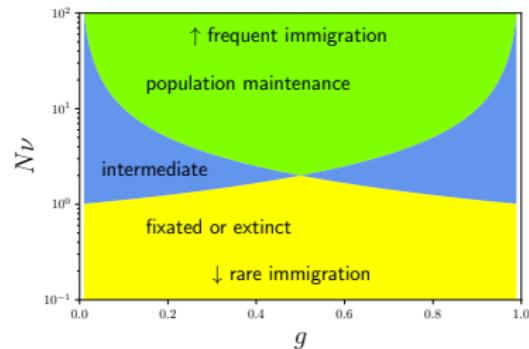
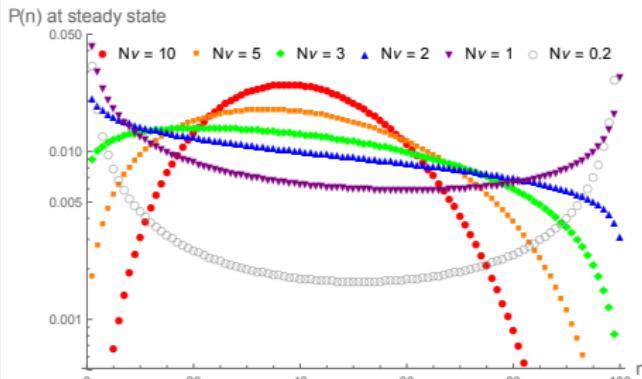
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PDF of stationary Moran process with immigration. Metapopulation focal fraction is $g = 0.4$, local system size $N = 100$, immigration rate ν is given by the colour. For high immigration rate the distribution should be centered near the metapopulation fraction $g N$ whereas for low immigration the system spends most of its time fixated.

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■ microfluidics

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- microfluidics
- plasmids

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- microfluidics
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- nematode gut

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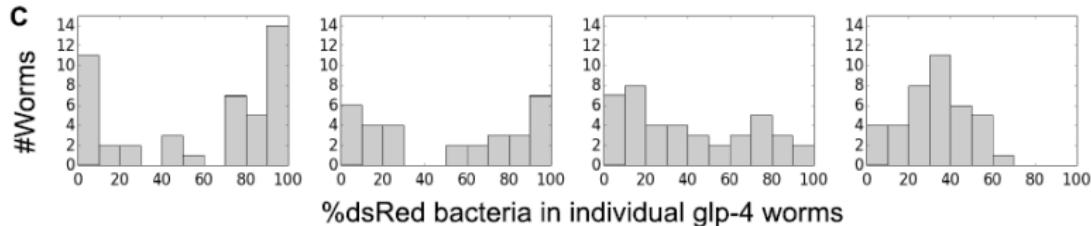
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- microfluidics
- plasmids
- mitochondria
- nematode gut

Vega and Gore, *PLoS Biology*, 2017.



Conclusions

- higher commensurate birth and death rates (*i.e.* higher δ , lower q) leads to faster extinction;

Conclusions

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- higher commensurate birth and death rates (*i.e.* higher δ , lower q) leads to faster extinction;
- two species will effectively coexist unless they have exactly the same niche;

Conclusions

- higher commensurate birth and death rates (*i.e.* higher δ , lower q) leads to faster extinction;
- two species will effectively coexist unless they have exactly the same niche;
- similarly, greater niche overlap leads to longer invasion times, and less likelihood of success of an attempt;

Conclusions

- higher commensurate birth and death rates (*i.e.* higher δ , lower q) leads to faster extinction;
- two species will effectively coexist unless they have exactly the same niche;
- similarly, greater niche overlap leads to longer invasion times, and less likelihood of success of an attempt;
- in Moran model with immigration, a focal species at moderate size if $K\nu > 1/g$;

Conclusions

- higher commensurate birth and death rates (*i.e.* higher δ , lower q) leads to faster extinction;
- two species will effectively coexist unless they have exactly the same niche;
- similarly, greater niche overlap leads to longer invasion times, and less likelihood of success of an attempt;
- in Moran model with immigration, a focal species at moderate size if $K\nu > 1/g$;
- incomplete niche overlap is a niche theory with carrying capacities modified by niche overlaps;
- complete niche overlap (neutrality) on an island with immigration has abundance curve like mainland for species with $g_i > 1/K\nu$; other species are transients.

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- predator-prey model (centre fixed point)

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- predator-prey model (centre fixed point)
- rock-paper-scissors model (limit cycle)

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- other 3D models (chaos)

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- predator-prey model (centre fixed point)
- rock-paper-scissors model (limit cycle)
- other 3D models (chaos)
- SIR model (epidemics)

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- predator-prey model (centre fixed point)
- rock-paper-scissors model (limit cycle)
- other 3D models (chaos)
- SIR model (epidemics)
- evolving parameters (ecology and evolutionary biology)

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Thank You

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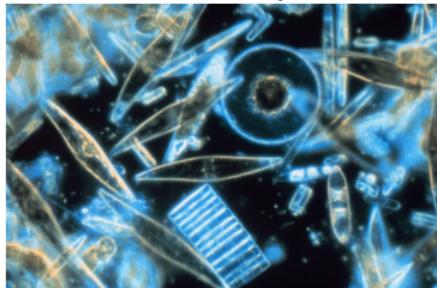
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Paradox of the Plankton - a problem of biodiversity



corp2365, NOAA Corps Collection

- biodiversity is the number of species in an ecosystem

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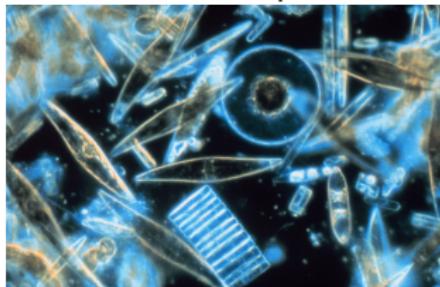
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corp2365, NOAA Corps Collection

- biodiversity is the number of species in an ecosystem
- applications:
 - human health (gut microbiome)²
 - planet health (conservation)
 - minimal working models
 - coalescent theory

²Amor, Ratzke, and Gore. *bioRxiv*, 2019

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- Competitive Exclusion
 - ecological niche

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 - as measured by abundance curve or number of species

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- Niche models vs Neutral models

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- Competitive Exclusion: “two species cannot coexist if they share a single [ecological] niche”³

³Gause. *Science*, 1934

Niche Theories

- Competitive Exclusion: “two species cannot coexist if they share a single [ecological] niche”³
- Lotka-Volterra

$$\begin{aligned}\frac{\dot{x}_1}{r_1 x_1} &= 1 - \frac{(x_1 + a_{12}x_2)}{K_1} \\ \frac{\dot{x}_2}{r_2 x_2} &= 1 - \frac{(a_{21}x_1 + x_2)}{K_2}.\end{aligned}$$

³Gause. *Science*, 1934

Niche Theories

- Competitive Exclusion: “two species cannot coexist if they share a single [ecological] niche”³
- Lotka-Volterra

$$\begin{aligned}\frac{\dot{x}_1}{r_1 x_1} &= 1 - \frac{(x_1 + a_{12}x_2)}{K_1} \\ \frac{\dot{x}_2}{r_2 x_2} &= 1 - \frac{(a_{21}x_1 + x_2)}{K_2}.\end{aligned}$$

- Niche Apportionment

³Gause. *Science*, 1934

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Master equation

$$\frac{dP_n}{dt} = b_{n-1}P_{n-1}(t) + d_{n+1}P_{n+1}(t) - (b_n + d_n)P_n(t).$$

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so MTE given by $\hat{M}\vec{T} = -\vec{1}$

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With a stable fixed point $\tau \sim e^K$ (actually e^K/K)

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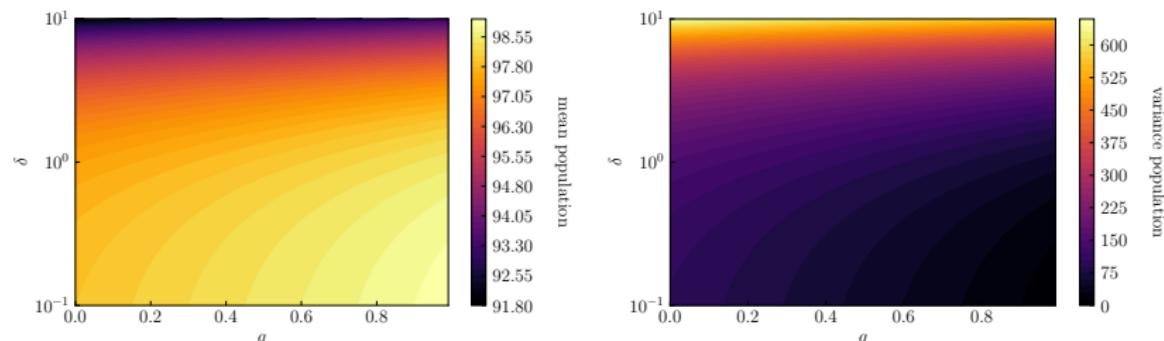
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Characterizing the quasi-stationary probability distribution function for varying δ and q . Lightness indicates an increased mean or variance in left and right respectively. Carrying capacity $K = 100$. The QSD has decreasing mean and increasing variance with increased δ or decreased q .

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- larger fluctuations lead to shorter MTE: $\tau \approx \frac{1}{d_1 P_1}$

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- larger fluctuations lead to shorter MTE: $\tau \approx \frac{1}{d_1 P_1}$
- $\hat{M} \vec{T} = -\vec{1}$ is equivalent to $\tau(n) = \sum_{i=1}^N \frac{1}{d_i} \prod_{k=1}^{i-1} \frac{b_k}{d_k} + \sum_{j=1}^{n-1} \prod_{l=1}^j \frac{d_l}{b_l} \sum_{i=j+1}^N \frac{1}{d_i} \prod_{k=1}^{i-1} \frac{b_k}{d_k}$

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- Fokker-Planck equation $\partial_t P(x, t) = -\partial_x((b(x) - d(x))P(x, t)) + \frac{1}{2K} \partial_x^2((b(x) + d(x))P(x, t))$
- Gaussian approximation[†] $p(n) = \frac{1}{\sqrt{2\pi\sigma^2}} \exp\left\{-\frac{(n-n^*)^2}{2\sigma^2}\right\}$
with $\sigma^2 = \frac{-(b_n+d_n)|_{n=n^*}}{2\partial_n(b_n-d_n)|_{n=n^*}}$

Mean Time to Extinction

Approximations

- larger fluctuations lead to shorter MTE: $\tau \approx \frac{1}{d_1 P_1}$
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with $\sigma^2 = \frac{-(b_n+d_n)|_{n=n^*}}{2\partial_n(b_n-d_n)|_{n=n^*}}$
 - WKB ansatz $P_n \propto \exp\left\{K \sum_i \frac{1}{K^i} S_i(n)\right\}$
with $S_0(n) = \int_{n=0}^K dn \ln\left(\frac{b_n}{d_n}\right)$ along extinction trajectory

[†]Gaussian approximation was written incorrectly in thesis.

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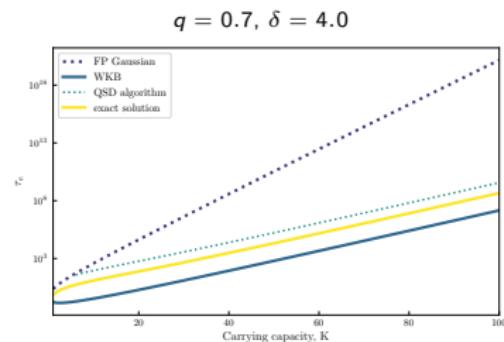
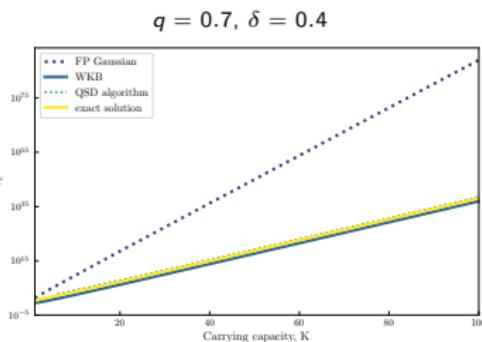
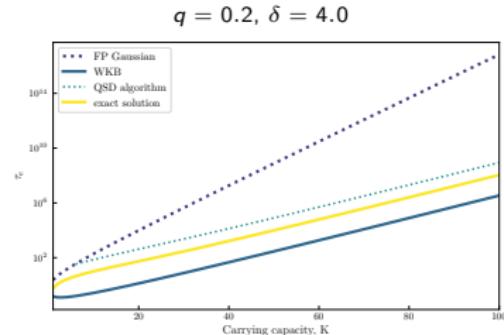
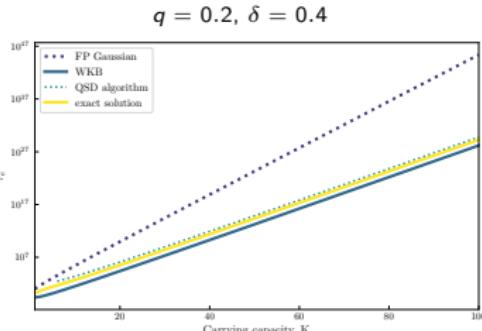
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Approximations of the MTE in various regimes of parameter space. WKB is good for low δ , is otherwise poor as FP.

Coupled Logistic Equations

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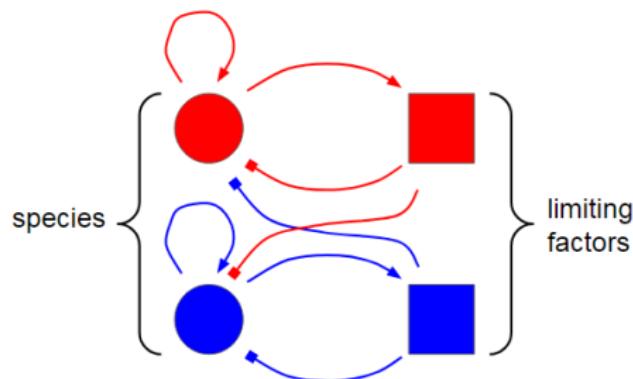
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Each of the two species reproduces (arrows to self) and produces a toxin (arrows to limiting factors) which inhibits its own growth (square-ending lines to self) and the growth of the other (square-ending lines to other colour).

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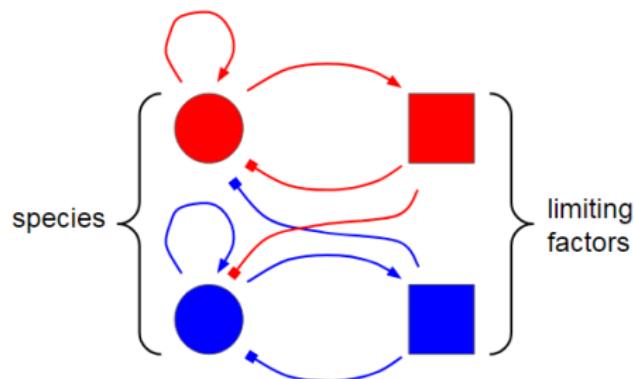
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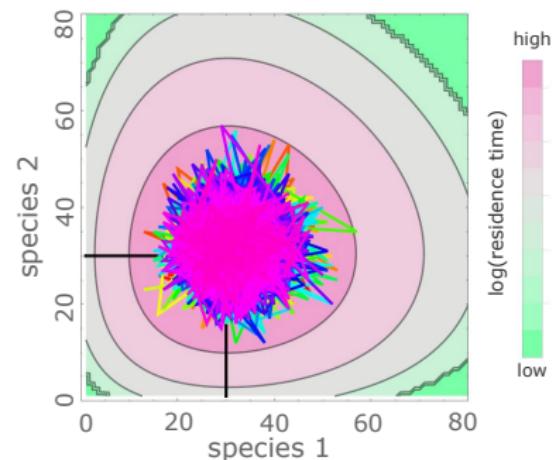
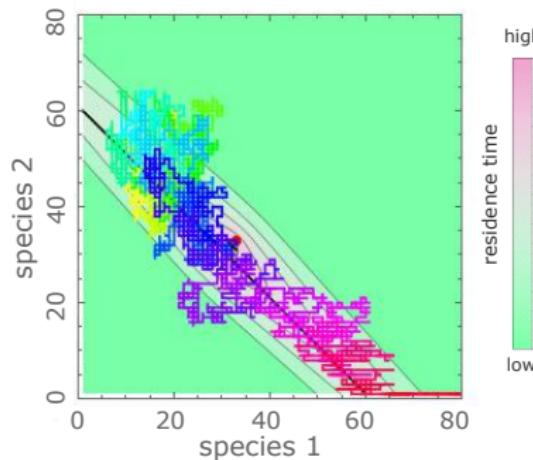


Each of the two species reproduces (arrows to self) and produces a toxin (arrows to limiting factors) which inhibits its own growth (square-ending lines to self) and the growth of the other (square-ending lines to other colour). The deterministic coupled logistic equations are

$$\dot{x}_1 = r_1 x_1 \left(1 - \frac{x_1 + a_{12}x_2}{K_1}\right) \text{ and } \dot{x}_2 = r_2 x_2 \left(1 - \frac{a_{21}x_1 + x_2}{K_2}\right)$$

Route to Fixation

$$\text{Residence time } \langle t(s^0) \rangle_s = \int_0^\infty dt P(s, t | s^0, 0) = \hat{M}_{s, s^0}^{-1}$$



The system samples multiple trajectories on its way to fixation.
Left: Complete niche overlap limit, $a = 1$, for $K = 64$.
Right: Independent limit with $a = 0$ and $K = 32$.

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$f(a)$ (exponential dependence of MTE) approaches zero monotonically as niche overlap reaches Moran limit $a = 1$

- only for complete niche overlap will there be no exponential dependence: fixation will be rapid

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- only for complete niche overlap will there be no exponential dependence: fixation will be rapid
- any niche mismatch allows for exponential dependence on K , which is typically large
 - any niche mismatch implies effective coexistence

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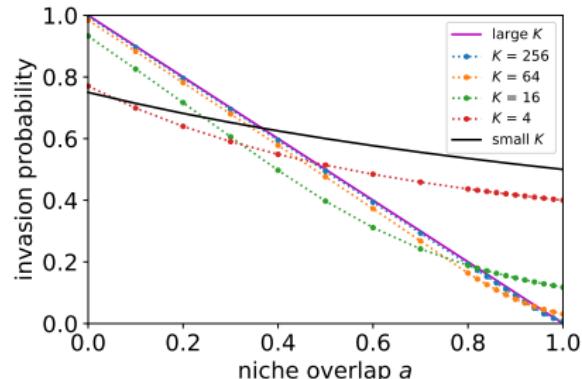
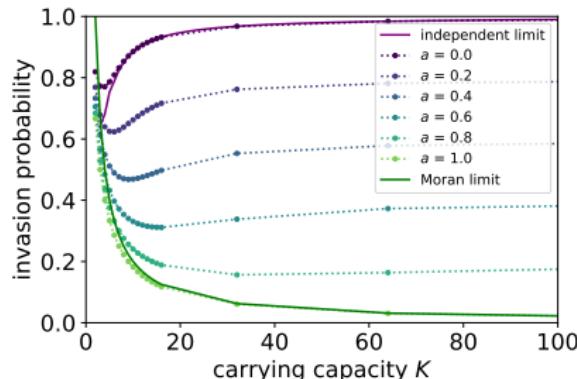
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$f(a)$ (exponential dependence of MTE) approaches zero monotonically as niche overlap reaches Moran limit $a = 1$

- only for complete niche overlap will there be no exponential dependence: fixation will be rapid
- any niche mismatch allows for exponential dependence on K , which is typically large
 - any niche mismatch implies effective coexistence
- small departure from neutrality gives a niche theory

Invasion Probability



Probability of a successful invasion. Left: Numerical results, from $a = 0$ at the top to $a = 1$ at the bottom. The purple solid line is the expected analytical solution in the independent limit. The green solid line is the prediction of the Moran model in the complete niche overlap case. Right: The red data show the results for carrying capacity $K = 4$, and suggest the solid black line $\frac{b_{mut}}{b_{mut} + d_{mut}}$ is an appropriate small carrying capacity limit. Successive lines are at larger system size, and approach the solid magenta line of $1 - d_{mut}/b_{mut} \approx 1 - a$.

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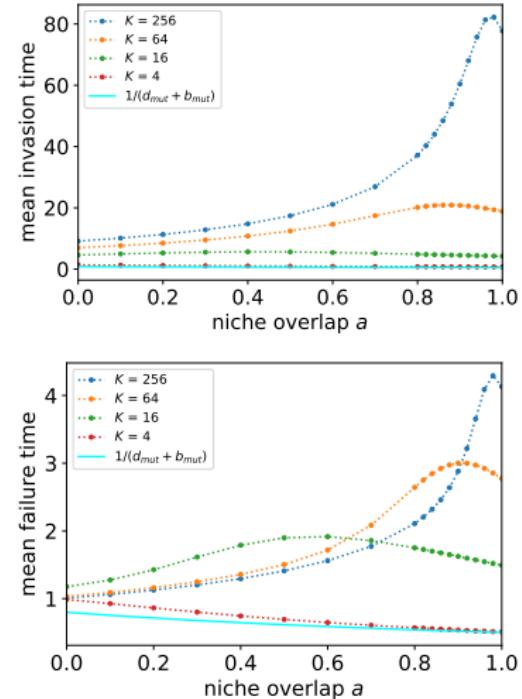
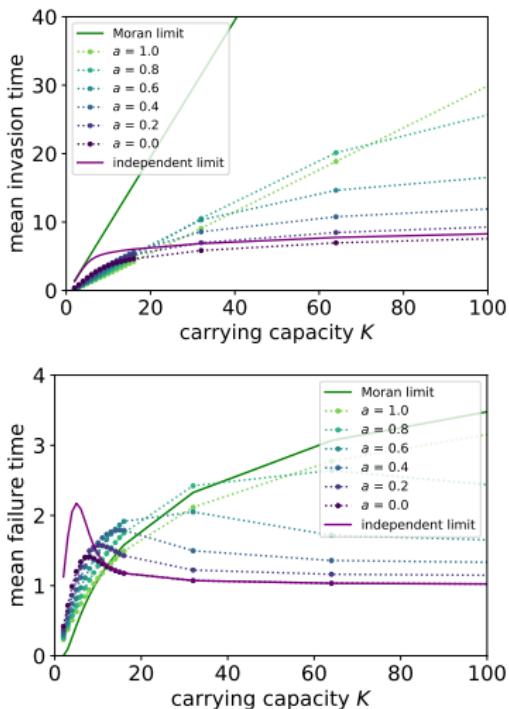
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Mean time of a successful or failed invasion attempt. Left: Mean time vs K . Right: Mean time vs a . Upper: Mean time conditioned on eventual invasion success. Lower: Mean time conditioned on failed attempt.

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- we can rationalize most of the behaviour
- some questions remain (why is there a max time for failed attempts, why do probabilities remain intermediate for large K)
- implication is that any invasion attempt (whether successful or not) is faster than fixation times
- comparison of interest is invasion attempt times with immigration rate

Infrequent Immigration

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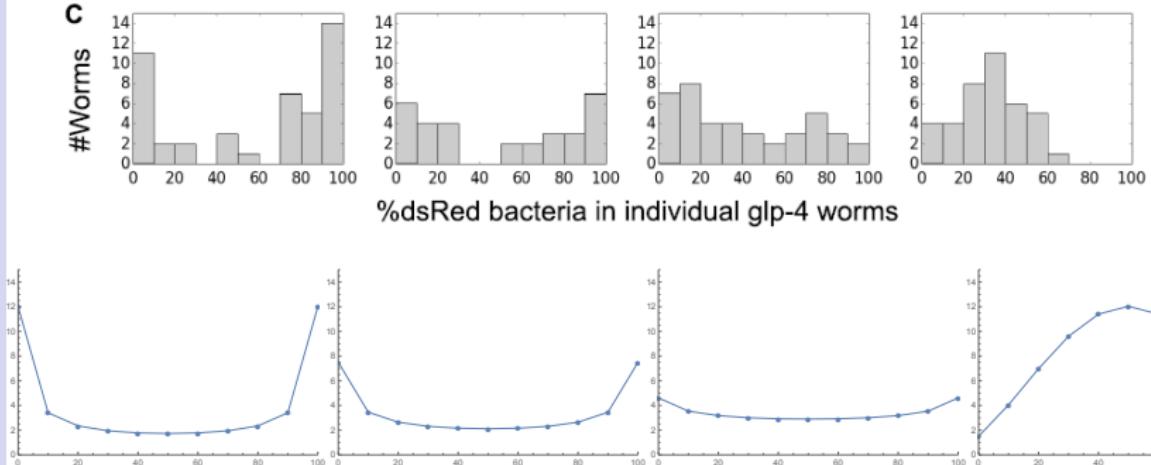
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The model recovers qualitative experimental results.
(See Vega and Gore, *PLoS Biology*, 2017.)

C



First Passage Results

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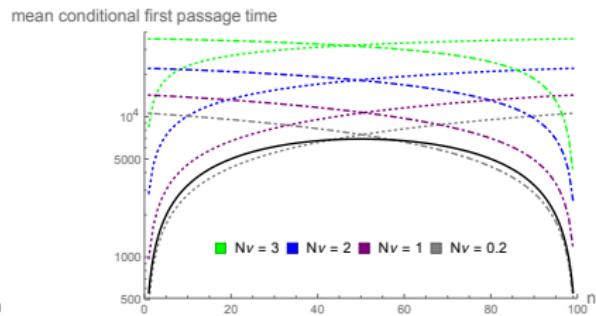
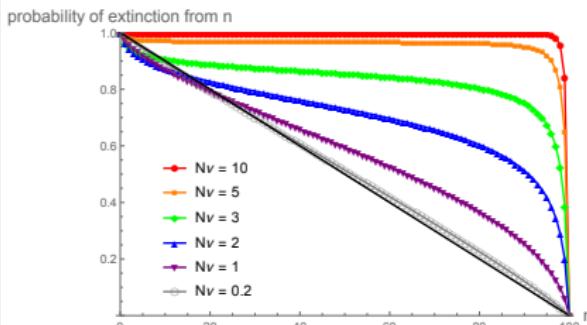
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Probability and conditional times of the focal species reaching temporary extinction before fixation, as a function of initial population. Metapopulation focal fraction is $g = 0.4$, local system size $N = 100$, immigration rate ν is given by the colour. The black line is the regular Moran result without immigration. When the immigrant is mostly not from the focal species ($g < 0.5$) immigration increases the likelihood of the focal species going extinct before fixating. Conditioned first passage times are longer when immigration is more frequent. Rare events take even longer still.

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- when immigration is uncommon
 $(N\nu < \min(1/g, 1/(1-g)))$, focal species either fixated or extinct most of the time
- when immigration is common
 $(N\nu > \max(1/g, 1/(1-g)))$, focal species is maintained at moderate abundance in the system, specifically gN
- immigration increases the times to (temporary) fixation or extinction

Conclusions

- higher commensurate birth and death rates (*i.e.* higher δ , lower q) leads to faster extinction;

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- higher commensurate birth and death rates (*i.e.* higher δ , lower q) leads to faster extinction;
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- two species will effectively coexist unless they have exactly the same niche;
- similarly, greater niche overlap leads to longer invasion times, and less likelihood of success of an attempt;
- in Moran model with immigration, a focal species at moderate size if $K\nu > 1/g$;
- incomplete niche overlap is a niche theory with carrying capacities modified by niche overlaps;
- complete niche overlap (neutralism) on an island with immigration has abundance curve like mainland for species with $g_i > 1/K\nu$; other species are transients.

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- Competitive Exclusion
 - ecological niche

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- Niche models vs Neutral models