## **Exercises**

## Chapter 4

- 1. Show that the firing-rate distribution that maximizes the entropy when the firing rate is constrained to lie in the range  $0 \le r \le r_{\text{max}}$  is given by equation 4.22, and that its entropy for a fixed resolution  $\Delta r$  is given by equation 4.23. Use a Lagrange multiplier (see the Mathematical Appendix) to constrain the integral of p[r] to one.
- 2. Show that the firing-rate distribution that maximizes the entropy when the mean of the firing rate is held fixed is an exponential, and compute its entropy for a fixed resolution  $\Delta r$ . Assume that the firing rate can fall anywhere in the range from 0 to  $\infty$ . Use Lagrange multipliers (see the Mathematical Appendix) to constrain the integral of p[r] to 1 and the integral of p[r]r to the fixed average firing rate.
- 3. Show that the distribution that maximizes the entropy when the mean and variance of the firing rate are held fixed is a Gaussian, and compute its entropy for a fixed resolution  $\Delta r$ . To simplify the mathematics, allow the firing rate to take any value between  $-\infty$  and  $+\infty$ . Use Lagrange multipliers (see the Mathematical Appendix) to constrain the integral of p[r] to 1, the integral of p[r]r to the fixed average firing rate  $\langle r \rangle$ , and the integral of  $p[r](r \langle r \rangle)^2$  to the fixed variance.
- 4. Using Fourier transforms, solve equation 4.37, using equation 4.36, to obtain the result of equation 4.42.
- 5. Suppose the filter  $L_s(\vec{a})$  has a correlation function that satisfies equation 4.37. Consider a new filter constructed in terms of this old one by writing

$$L'_{\rm s}(\vec{a}) = \int \! d\vec{c} \, U(\vec{a}, \vec{c}) L_{\rm s}(\vec{c}) \,. \tag{1}$$

Show that if  $U(\vec{a}, \vec{c})$  satisfies the condition of an orthogonal transformation,

$$\int d\vec{c} \, U(\vec{a}, \vec{c}) \, U(\vec{b}, \vec{c}) = \delta(\vec{a} - \vec{b}) \,, \tag{2}$$

the correlation function for this new filter also satisfies equation 4.37.

6. Consider a stimulus  $s_{\rm r}=s_{\rm s}+\eta$  that is given by the sum of a true stimulus  $s_{\rm s}$  and a noise term  $\eta$ . Values of the true stimulus  $s_{\rm s}$  are drawn from a Gaussian distribution with mean 0 and variance  $Q_{\rm ss}$ . Values of the noise term  $\eta$  are also obtained from a Gaussian distribution, with mean 0 and variance  $Q_{\eta\eta}$ . The two terms  $\eta$  and  $s_{\rm s}$  are independent of each other. Using the formula for the continuous entropy of a Gaussian random variable calculated in problem 3, calculate the mutual information between  $s_{\rm r}$  and  $s_{\rm s}$ .

- 7. Consider a multivariate signal  $s_s$  drawn from a Gaussian distribution with mean 0 and covariance matrix  $Q_{ss}$ . Compute the continuous entropy of s in terms of the eigenvalues of  $Q_{ss}$ , up to the usual resolution term for a continuous entropy.
- 8. Suppose that a stimulus at one point on the retina, and at a given time,  $s_r = s_s + \eta$ , is the sum of a true stimulus  $s_s$  and a noise term  $\eta$ , as in exercise 6. Model the retinal processing at this particular location as producing a signal at the thalamus

$$s_1 = D_{\rm s} s_{\rm r} + \eta_1,$$

where  $D_s$  is a parameter called the transfer constant, and  $\eta_1$  represents an additional, independent source of noise that can be modeled as being drawn from a Gaussian distribution with mean 0 and variance  $Q_{\eta_1\eta_1}$ . Calculate the mutual information  $I_1$  between  $s_1$  and  $s_s$  as a function of  $D_s$ . The power of the signal produced by the retina is defined as  $P_r = \langle (D_s s_r)^2 \rangle$ . By maximizing

$$I_1 - kP_r$$

as a function of  $D_s$ , find the transfer constant that maximizes the mutual information for a given value of k (with k > 0), a parameter that controls the trade-off between information and power. What happens when  $Q_{ss}$ , describing the visual signal, gets much smaller than  $Q_{\eta\eta}$ ? (Based on a problem from Dawei Dong.)

9. Consider two independent inputs s and s' drawn from Gaussian distributions with means 0 and with different variances  $Q_{ss}$  and  $Q_{s's'}$ . These generate two thalamic signals, as in exercise 8.

$$s_1 = D_s s + \eta$$
 and  $s'_1 = D_{s'} s' + \eta'$ ,

defined by two separate transfer constants,  $D_s$  and  $D_{s'}$ , and two independent noise terms with variances  $Q_{\eta\eta}$  and  $Q_{\eta'\eta'}$ . Find the transfer constants that maximize the total mutual information  $I_l + I'_l$  for a fixed total power  $P_r + P'_r$ , where the non-primes and primes denote the information and power for  $s_l$  and  $s'_l$ , respectively.