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**B-KUL-H04X3A: Control Theory**

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# Assignment 1: Identification of the Cart

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# 1 Discrete-time model structure selection

We assume that (i) the same voltage is applied to both motors, (ii) no wheel slip occurs, (iii) only longitudinal motion (no turning) is considered, and (iv) the cart mass and ground friction are lumped into the wheel inertia and viscous friction, respectively. Under these assumptions, the lumped-parameter model follows from the balance of angular momentum of the rotor and the conservation of electric charge in the armature circuit.

Taking the Laplace transform of these equations (see Figure 6 in Appendix B) and solving for  $\dot{\Theta}_m(s)/V_a(s)$  yields the following transfer function:

$$H(s) = \frac{\dot{\Theta}_m(s)}{V_a(s)} = \frac{K}{(J_ms + b)(L_as + R_a) + K^2} \quad (1)$$

Symbol	Description
$\dot{\Theta}_m(s)$	Laplace transform of the angular velocity $\dot{\theta}_m$ [rad/s]
$V_a(s)$	Laplace transform of the applied voltage $v_a$ [V]
$J_m$	moment of inertia of the rotor [kg m <sup>2</sup> ]
$b$	damping coefficient (viscous friction) [N m s/rad]
$K$	motor torque and back EMF constant [N m/A] or [V s/rad]
$L_a$	armature inductance [H]
$R_a$	armature resistance [ $\Omega$ ]

This continuous-time transfer function relates the input voltage  $v_a(t)$  to the rotational speed of the motor shaft  $\dot{\theta}_m(t)$ . We can represent the transfer function with generic coefficients since the exact values of the physical constants are unknown and will be estimated later. Therefore,  $H(s)$  becomes:

$$H(s) = \frac{\dot{\Theta}_m(s)}{V_a(s)} = \frac{a}{bs^2 + cs + d} \quad (2)$$

## 1.1 Discrete-time transfer function

The discrete-time transfer function with computational delay is:

$$H(z) = \frac{b_1z + b_2}{z^3 + a_1z^2 + a_2z} \quad (3)$$

This is a third-order model with numerator order 1, denominator order 3, and relative order 2 (indicating two delays: one from motor dynamics and one from microOS latency).

## 1.2 Model derivation

To obtain a discrete-time model, the continuous-time system

$$H(s) = \frac{a}{bs^2 + cs + d}$$

is sampled with sampling period  $T_s$  under a zero-order hold (ZOH) on the input. The ZOH assumption implies that the input voltage is piecewise constant on each sampling interval  $[kT_s, (k+1)T_s)$ . For a strictly proper second-order transfer function, ZOH discretization yields a second-order discrete-time transfer function

$$H_0(z) = \frac{b_1z + b_0}{z^2 + a_1z + a_0}, \quad (4)$$

with coefficients  $a_0, a_1, b_0, b_1$  that follow from the sampled state-space dynamics

$$x((k+1)T_s) = e^{AT_s}x(kT_s) + \int_0^{T_s} e^{A\tau} B d\tau u(kT_s),$$

with  $y(kT_s) = Cx(kT_s)$ , and then forming the corresponding transfer function.

The computational latency of the microOS introduces an additional one-sample delay between the computed control signal and the applied motor voltage. In the  $z$ -domain this corresponds to a multiplicative factor  $z^{-1}$ , so the overall discrete-time transfer function becomes

$$H(z) = z^{-1}H_0(z) = \frac{b_1z + b_2}{z^3 + a_1z^2 + a_2z}, \quad (5)$$

During identification these four parameters are estimated directly from the measured input-output data, so no explicit mapping back to the continuous-time coefficients is required.

### 1.3 Model structure motivation

We consider two candidate model structures: (i) the full third-order model above, which accounts for armature inductance, and (ii) a reduced second-order model obtained by neglecting the armature inductance  $L_a$ , leading to

$$H(z) = \frac{b_1}{z^2 + a_1z},$$

with only two parameters.

## 2 Model parameters estimation

We estimate the parameters of the third-order discrete-time transfer function  $H(z) = \frac{b_1z + b_2}{z^3 + a_1z^2 + a_2z}$  using linear least squares. The matrix formulation of the parameter estimation problem of the second-order model is presented in Appendix A.

### 2.1 Recursion expression and error criterion

The difference equation for the third-order model is:

$$\dot{\theta}[k] = -a_1\dot{\theta}[k-1] - a_2\dot{\theta}[k-2] + b_1v[k-2] + b_2v[k-3] \quad (6)$$

This can be written in compact form as  $\dot{\theta}[k] = \boldsymbol{\theta}^T \boldsymbol{\phi}[k]$  with parameter vector and regression vector:

$$\boldsymbol{\theta} = \begin{bmatrix} a_1 \\ a_2 \\ b_1 \\ b_2 \end{bmatrix}, \quad \boldsymbol{\phi}[k] = \begin{bmatrix} -\dot{\theta}[k-1] \\ -\dot{\theta}[k-2] \\ v[k-2] \\ v[k-3] \end{bmatrix} \quad (7)$$

For the simplified second-order model, the recursion reduces to:

$$\dot{\theta}[k] = -a_1\dot{\theta}[k-1] + b_1v[k-2] \quad (8)$$

with corresponding parameter and regression vectors

$$\boldsymbol{\theta}_{\text{simp}} = \begin{bmatrix} a_1 \\ b_1 \end{bmatrix}, \quad \boldsymbol{\phi}_{\text{simp}}[k] = \begin{bmatrix} -\dot{\theta}[k-1] \\ v[k-2] \end{bmatrix}. \quad (9)$$

The least squares criterion minimizes the sum of squared prediction errors:

$$V_N(\boldsymbol{\theta}) = \sum_{k=1}^N \frac{1}{2} [\dot{\theta}[k] - \boldsymbol{\phi}^T[k]\boldsymbol{\theta}]^2 \quad (10)$$

## 2.2 Matrix formulation

For  $N$  measurements with maximum delay of 3 samples, the output vector (starting from  $k = 4$ ) and regression matrix are:

$$\mathbf{y} = \begin{bmatrix} \dot{\theta}[4] \\ \dot{\theta}[5] \\ \vdots \\ \dot{\theta}[N] \end{bmatrix}, \quad \Phi = \begin{bmatrix} -\dot{\theta}[3] & -\dot{\theta}[2] & v[2] & v[1] \\ -\dot{\theta}[4] & -\dot{\theta}[3] & v[3] & v[2] \\ \vdots & \vdots & \vdots & \vdots \\ -\dot{\theta}[N-1] & -\dot{\theta}[N-2] & v[N-2] & v[N-3] \end{bmatrix} \quad (11)$$

The least squares solution is:

$$\hat{\boldsymbol{\theta}}_N^{LS} = [\Phi^T \Phi]^{-1} \Phi^T \mathbf{y} = \Phi^+ \mathbf{y} \quad (12)$$

where  $\Phi^+$  is the Moore-Penrose pseudo-inverse.

## 3 Identification of the cart by exciting the motors while the cart is on the ground

### 3.1 Excitation signal selection

#### 3.1.1 Motivation for excitation signal

A periodic piecewise-constant multilevel excitation signal is used for system identification. Such signals are persistently exciting, cover multiple operating points, and generate sufficiently rich frequency content for reliable parameter estimation. The input alternates between four nonzero voltage levels (+6 V +5 V −6 V −5 V) and zero-voltage plateaus so that both transient and steady-state responses are observable. The use of multiple amplitudes facilitates verification of approximate linearity, guarantees input levels high enough to overcome static friction and excites the dominant modes of the motor-cart dynamics.

#### 3.1.2 Excitation signal design and implementation

The excitation has a period of 14 s with the sequence

+6 V (0–2 s), 0 V (2–3 s), +5 V (3–5 s), 0 V (5–7 s), −6 V (7–9 s), 0 V (9–10 s), −5 V (10–12 s), 0 V (12–14 s).

The signal is sampled at  $f_s = 100$  Hz and applied for two full periods (total duration 28 s), as shown in Figure 1. Repetition of the cycle increases the effective SNR via averaging over periods, yields a data record of sufficient length for parameter estimation and permits an empirical assessment of measurement noise by comparing responses to identical input segments.

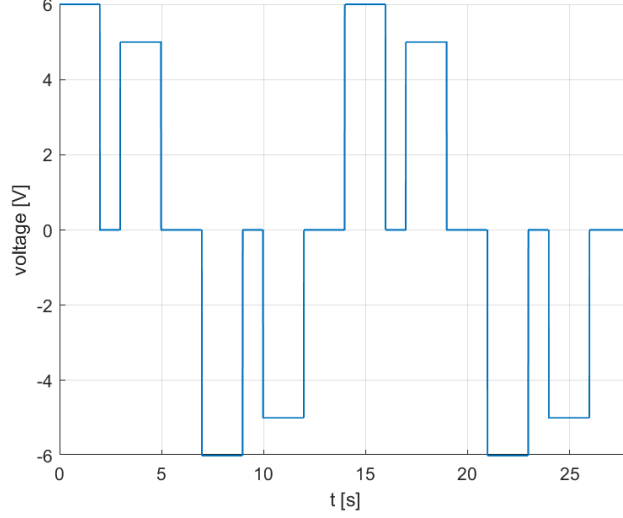


Figure 1: Applied excitation signal over 2 complete periods (28 seconds)

### 3.2 Parameter estimation and model validation for both motors

Using linear least squares estimation, we identified both the third-order model ( $H(z) = \frac{b_1 z + b_2}{z^3 + a_1 z^2 + a_2 z}$ ) and the simplified second-order model ( $H(z) = \frac{b_1}{z^2 + a_1 z}$ ) for motors A and B. The simplified-model parameter vectors are

$$\hat{\theta}_A^{\text{simp}} = \begin{bmatrix} -0.6819 \\ 0.6309 \end{bmatrix}, \quad \hat{\theta}_B^{\text{simp}} = \begin{bmatrix} -0.6806 \\ 0.6488 \end{bmatrix}. \quad (13)$$

With sampling time  $T_s = 0.01$  s, the corresponding discrete-time transfer functions are

$$\hat{H}_A^{\text{simp}}(z) = \frac{0.6309}{z^2 - 0.6819z}, \quad \hat{H}_B^{\text{simp}}(z) = \frac{0.6488}{z^2 - 0.6806z}. \quad (14)$$

The full model estimated parameter vectors are

$$\hat{\theta}_A = \begin{bmatrix} -0.5671 \\ -0.0970 \\ 0.0077 \\ 0.6589 \end{bmatrix}, \quad \hat{\theta}_B = \begin{bmatrix} -0.5762 \\ -0.0881 \\ 0.0081 \\ 0.6741 \end{bmatrix}. \quad (15)$$

The corresponding discrete-time transfer functions are

$$\hat{H}_A(z) = \frac{0.007672z + 0.6589}{z^3 - 0.5671z^2 - 0.09698z}, \quad (16)$$

$$\hat{H}_B(z) = \frac{0.008073z + 0.6741}{z^3 - 0.5762z^2 - 0.08815z}. \quad (17)$$

#### 3.2.1 Measured vs simulated response and model error

Only the results concerning motor A are shown considering redundancy. Figure 2 shows the measured angular velocity compared with the simulated responses for both models, zoomed to clearly reveal differences during transient periods. Figure 3 displays the error (difference) between measurement and simulation for each model.

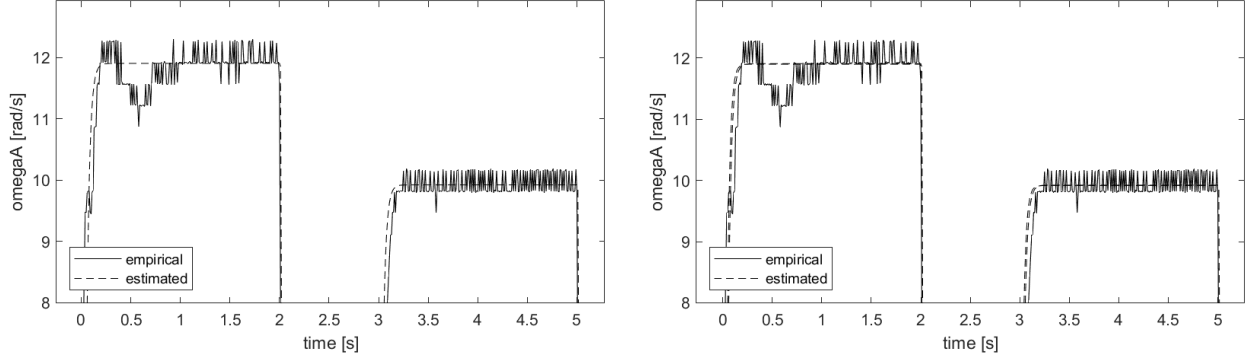


Figure 2: Measured vs simulated responses (left: third-order; right: simplified). Zoomed-in to highlight differences.

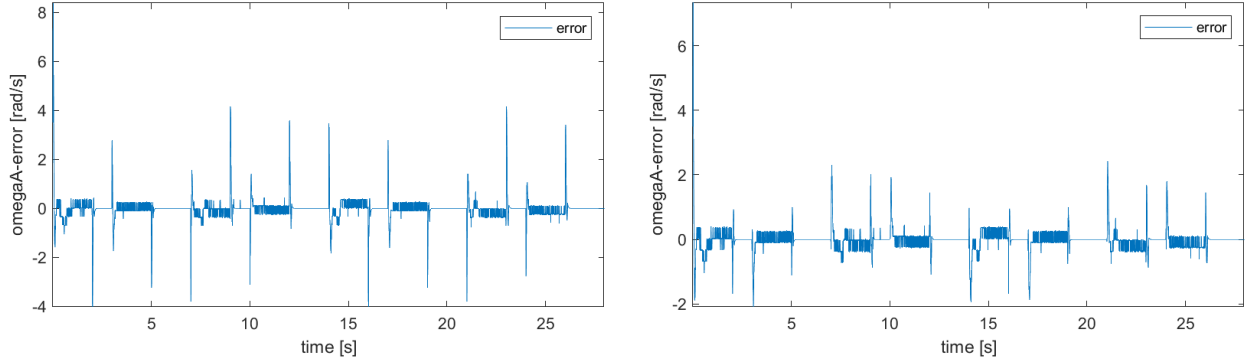


Figure 3: Prediction error (measurement minus simulation). Left: third-order model; right: simplified model.

RMS error full model: 0.5285 rad/s

RMS error simplified model: 0.3723 rad/s

### 3.2.2 Transient and steady-state characteristics

**Transient behavior:** Both models reproduce the measured step-to-step evolution of the angular velocity. The third-order model responds a fraction faster after each voltage transition because the additional pole associated with armature inductance gives a steeper initial slope. The velocity measurements are quantized, so visible level-to-level toggling appears that the continuous models cannot mirror. That discrepancy excites the lightly damped high-frequency mode of the third-order fit and inflates its residuals. The simplified model stays closer to the data, and the RMS prediction errors are 0.5285 rad/s for the third-order model and 0.3723 rad/s for the simplified model.

**Steady-state behavior:** Both simulations settle to the measured steady-state angular velocities within the sensor resolution. The apparent steady-state ripple arises from the same quantization and is absent in the simulated trajectories, yet the mean levels match within negligible error.

Armature inductance therefore has no discernible influence on steady-state gain, and the simplified second-order model is selected for subsequent analysis.

### 3.3 Verification of data filtering to improve identification

#### 3.3.1 Motivation for filtering

Pre-filtering the measured signals before parameter estimation can improve model accuracy by removing high-frequency measurement noise that is not part of the system dynamics. By filtering both signals with the same filter, we maintain the phase relationship while reducing noise contamination in the parameter estimation.

We chose to filter the following signals:

- Input voltage  $v[k]$
- Output angular velocity  $\omega_A[k]$  (and  $\omega_B[k]$  for motor B)

#### 3.3.2 Filter design

A low-pass Butterworth filter was designed to attenuate high-frequency noise while preserving the system dynamics. The filter design was based on frequency analysis of the unfiltered model poles:

1. The discrete poles of the unfiltered model were converted to continuous-time poles using  $p_c = \ln(p_d)/T_s$
2. The imaginary parts of these poles were used to identify the dominant system frequencies
3. The cutoff frequency was selected as  $f_c = 0.90 \times f_{\text{dominant}}$ , where  $f_{\text{dominant}}$  is the frequency corresponding to the complex pole pair

Filter characteristics:

- **Type:** Butterworth low-pass filter
- **Order:** 6
- **Cutoff frequency:** To-do: Insert actual cutoff frequency from MATLAB
- **Implementation:** Zero-phase filtering using `filtfilt` to avoid phase distortion

#### 3.3.3 Parameter estimation with filtered data

After applying the Butterworth filter to both input and output signals, the LLS estimation was repeated. The estimated parameters for motor A with filtered data are:

$$\hat{\theta}_2 = \begin{bmatrix} \hat{a}_1 \\ \hat{a}_2 \\ \hat{b}_1 \\ \hat{b}_2 \end{bmatrix} = \text{To-do: Insert actual values from MATLAB output} \quad (18)$$

The resulting discrete-time transfer function with filtering is:

$$H_2(z) = \frac{\hat{b}_1 z + \hat{b}_2}{z^3 + \hat{a}_1 z^2 + \hat{a}_2 z} \quad (19)$$

#### 3.3.4 Model validation with filtered data

Figure 13 in Appendix B compares the measured angular velocity with the model response using filtered data.

Figure 4: Model validation with filtering: measured vs simulated response for motor A

The continuous-time characteristics for the filtered model:

- Poles: To-do: Insert pole values
- Natural frequency  $\omega_n$ : To-do: Insert value
- Damping ratio  $\zeta$ : To-do: Insert value
- Maximum overshoot  $M_p$ : To-do: Insert value
- Peak time  $t_p$ : To-do: Insert value
- Steady-state gain: To-do: Insert value

Figure 14 in Appendix B shows the step response with the filtered model.

Figure 5: Step response of the discrete-time model (filtered)

### 3.3.5 Comparison and model selection

**Transient and steady-state comparison:** To-do: Compare the transient and steady-state behavior of the filtered vs unfiltered models with measurements.

**Model preference:** To-do: Based on validation results, decide which model (filtered or unfiltered) to continue using and motivate this choice.

**Motor comparison:** To-do: Discuss whether the differences between motor A and motor B models are significant or not, and motivate the final model choice.

## References

Franklin, Powell, E.-N. (2020). *Feedback Control of Dynamic Systems*. Pearson Education Limited.

## A Simplified second-order model (armature inductance neglected)

The simplified discrete-time transfer function neglecting armature inductance  $L_a$  is:

$$H(z) = \frac{b_1}{z^2 + a_1 z} \quad (20)$$

This model has two parameters ( $a_1, b_1$ ) compared to four in the full model.

The recursion expression for the simplified model is given in the main text by (8), with the associated regression vector and least-squares criterion (10). For completeness, we retain the matrix formulation below.

### Matrix formulation

For  $N$  measurements with maximum delay of 2 samples (starting from  $k = 3$ ):

$$\mathbf{y} = \begin{bmatrix} \dot{\theta}[3] \\ \dot{\theta}[4] \\ \vdots \\ \dot{\theta}[N] \end{bmatrix}, \quad \mathbf{\Phi} = \begin{bmatrix} -\dot{\theta}[2] & v[1] \\ -\dot{\theta}[3] & v[2] \\ \vdots & \vdots \\ -\dot{\theta}[N-1] & v[N-2] \end{bmatrix} \quad (21)$$

The least squares solution is:

$$\hat{\boldsymbol{\theta}}_N^{LS} = [\mathbf{\Phi}^T \mathbf{\Phi}]^{-1} \mathbf{\Phi}^T \mathbf{y} = \mathbf{\Phi}^+ \mathbf{y} \quad (22)$$

## B Figures

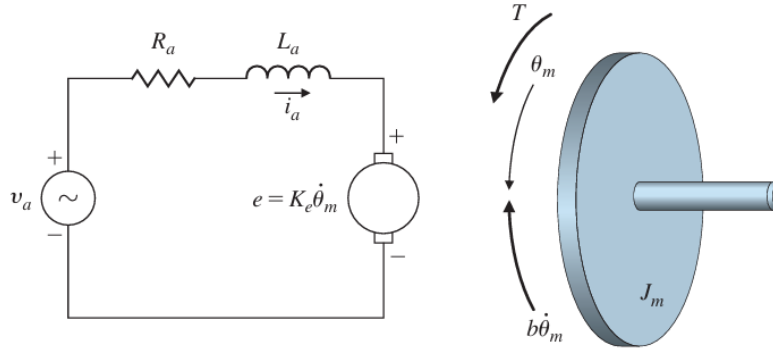


Figure 6: Model of the DC motor Franklin [2020]

Figure 7: Input voltage applied to both DC motors over time

Figure 8: Measured angular velocities for motors A and B

Figure 9: Comparison of signals across periods to assess measurement noise

Figure 10: Model validation without filtering: measured vs simulated response for motor A

Figure 11: Step response of the discrete-time model (unfiltered)

Figure 12: Mean angular velocity for motor A averaged across all measurement periods

Figure 13: Model validation with filtering: measured vs simulated response for motor A

Figure 14: Step response of the discrete-time model (filtered)