

# MATH 340

Clean ver. by Zisheng Ye

Some remarks would appear in Chinese.

They are not that important and ignoring them is totally fine.

This note, however, does not cover all materials in class,

so attending classes is still the best option.

Class Eval: 20% Assignment, 4 out of 5

(20% midterm) Feb. 16

60% (80%) final

Monty Hall Problem

Q: Should we keep our choice or switch?

A: We should switch thus the prob would rise to  $\frac{1}{2}$ .

Secretary Problem

Exist strategy guarantees prob  $> \frac{1}{3}$ .  $\frac{1}{e}$

First pass  $\frac{1}{3}$ , pick the best from now on.

Catalan numbers

$C_n$  - number of ways of walking from  $(0,0)$  to  $(n,n)$  in  $R^2$ .

Only "one up" and "one right" allowed.

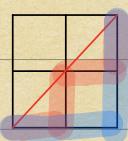
Never go above  $x=y$

Examples:

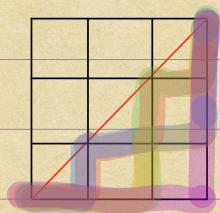
$$C_1 = 1$$



$$C_2 = 2$$



$$C_3 = 5$$



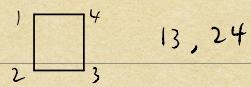
$$C_n = \frac{1}{n+1} \binom{2^n}{n}$$

$C_n$  also counts as triangulations of a labelled  $(n+2)$ -gon.

$$C_1 = 1$$

$$C_2 = 2$$

$$C_3 = 5$$



13, 24



each time there's one way meeting  
at a unique vertex. Hence  $5 \times 1 = 5$ .

Finding the binomial formula:

$$C_1, C_2, \dots, C_n$$

$$C(x) = \sum_{n=1}^{\infty} C_n x^n \xrightarrow{\text{some relations}} C(x) = \frac{1 - \sqrt{1 - 4x}}{2x} \xrightarrow{\text{Taylor series}} C_n = \frac{1}{n+1} \binom{2^n}{n}$$

# Stable Matchings

graphs: model pairwise relations between objects

$n$  Boys  $\{B_1, \dots, B_n\}$  and  $n$  girls  $\{G_1, \dots, G_n\}$ .

A perfect matching is a collection of  $n$  pairs, each consisting of one boy and one girl, s.t. each boy and girl are in exactly one pair.

There are possibly  $n!$  perfect matchings without restrictions.

A perfect matching is a stable matching if there does not exist two pairs  $(B_1, G_1)$   $(B_2, G_2)$  matched s.t.

$$B_1 - G_2 \quad B_2 - G_1$$

Find stable matchings quickly: Boy Proposal Algorithm

- An arbitrary single boy proposes to a girl he likes most and has not proposed.
- The girl accepts if single, or likes him better.
- The algorithm terminates if all boys engaged or every single boy proposed to all the girls.

Thm. 1. BPA always terminates in a stable matching.

- Potentially there are many stable matchings.

2. In BPA no girl ever rejects a valid partner.

- Every boy is matched with his best choice.

Denote boy's best choice as  $G^+(B)$ .

So every boy is matched to  $G^+(B)$ . *reasonable*.

Similarly denote girl's partner she likes least as  $B^-(G)$ .

Lemma. Every girl  $G$  is matched to  $B^-(G)$ .

Proof. Suppose not. i.e.  $G_i \rightarrow B_j \neq B^-(G_i)$

$B^-(G) \xrightarrow{M} G_i$  Stable matchings  $M$  as shown.

Now  $G_i$  prefers  $B_j$ .

$B_j \xrightarrow{M} G_k$  Only when  $G_i > G_k$  would occur a new matching.

Then  $G_k \neq G^+(B_j)$ . Contradiction.

# Basic Graph Theory

1. Definition:  $G = (V, E)$

$V$ : vertices (vertex) 节点

$E$ : edges 节点组合 可理解为连接

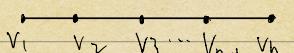
edge  $e = ab$ ,  $a \& b$  are ends of  $e$

$a, b$  incident to  $e$

if  $a, b$  form an edge,  $a, b$  are adjacent / neighbors

2. Standard graph classes:

$K_n$ : complete graph on  $n$  vertices

$P_n$ : path.   $v_1 \& v_n$  are ends

$C_n$ : cycle Similar to  $P_n$ .  $E(C_n) = \{v_1v_2, v_2v_3, \dots, v_{n-1}v_n, v_nv_1\}$

3. Subgraph:

A graph  $H$  is a subgraph of a graph  $G$  if  $V(H) \subseteq V(G)$ ,  $E(H) \subseteq E(G)$

A path/cycle in  $G$  is a subgraph of  $G$ .

Paths in  $K_n$ :

$$k_1 = 1 \quad \text{for } n \geq 2, \quad k_n = \frac{n!}{2} \quad \text{握手 + 不重复}$$

Cycles in  $K_n$ :  $\frac{(n-1)!}{2}$

Degree of a vertex: number of its neighbors

Handshaking Lemma:  $\sum_{v \in V(G)} \deg(v) = 2 |E(G)|$

A connected graph:  $\forall u, v \in V(G)$ , there's a path with ends  $u, v$ .

完全连通

A component  $C$  of graph  $G$ :

A maximal connected subgraph of  $G$ .

最大连通子图

Every vertex of a graph is in a unique connected component.

Let  $\text{comp}(G)$  be number of components of  $G$ .

A forest is a graph with no cycles.

A tree is a connected forest.

**Theorem:** If  $G$  is a forest, then

$$|V(G)| - |E(G)| = \text{comp}(G)$$

If  $G$  is a tree, then 由 tree 向上推更易理解

$$|V(G)| - |E(G)| = 1.$$

每个 vertex 与上一层 edge 对应，  
所以 root 没有对应到。

We say that  $G \setminus e$  is obtained from deleting  $e \in E(G)$ .

If  $V(G \setminus v) = V(G) - \{v\}$ ,

$E(G \setminus v)$  consists of all edges of  $G$  not containing

**Theorem:**

If  $T$  is a tree with  $|V(T)| \geq 2$ ,

then  $T$  has at least two leaves.

If  $T$  has exactly two leaves then it is a path.

**Corollary:**

Let  $G$  be a connected path s.t.

$$\deg(v) \leq 2 \quad \forall v \in V(G)$$

Then  $G$  is a cycle or a path.

### Bipartition:

A partition  $(A, B)$  of  $V(G)$  is a bipartition of  $G$

if every edge of  $G$  has one end in  $A$  and another in  $B$ .

$$A \cap B = \emptyset, A \cup B = V(G)$$

$G$  is bipartite if it has a bipartition.

### Theorem:

A graph is bipartite iff it contains no odd cycle.

# Matchings & vertex covers

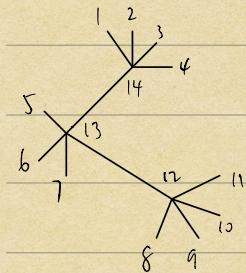
A matching  $M$  in  $G$  is a subset of  $E(G)$  s.t.

every vertex is an end of at most one edge in  $M$ .

$\{ab, ac\}$  X       $\{ab, cd\}$  ✓

The matching number  $\nu(G)$  of  $G$  is the max number of edges in a matching in  $G$ .

Obviously  $\nu(G) \leq \left\lfloor \frac{|V(G)|}{2} \right\rfloor$  所有点尽可能对应，若 odd 则留下一个。



$\nu(G) \leq 3$  since  $X = \{12, 13, 14\}$ ,  
then every edge of  $G$  has an end in  $X$ .

So  $\nu(G) \leq |X| = 3$ .

Such  $X \subseteq V(G)$  is a vertex cover in  $G$ .

## Observation:

Let  $M$  be a matching in  $G$ ,  $X$  be a vertex cover in  $G$ .

Then  $|M| \leq |X|$ .

Obviously  $|M| \leq \nu(G) \leq |X|$ .

The vertex cover number  $\gamma(G)$  is the min number of vertices in a vertex cover of  $G$ .

$$\text{Thus } \nu(G) \leq \gamma(G)$$

For  $K_n$ :

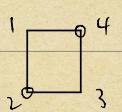
$$\nu(K_5) = 2 \quad \left\lfloor \frac{5}{2} \right\rfloor$$

$$\gamma(K_5) = 4 \quad \text{完全连通}$$

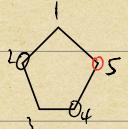
$$\nu(K_n) = \left\lfloor \frac{n}{2} \right\rfloor, \quad \gamma(K_n) = n-1.$$

For  $C_n$ :

$$\nu(C_n) = \left\lfloor \frac{n}{2} \right\rfloor, \quad \gamma(C_n) = \lceil \frac{n}{2} \rceil$$



$$\gamma(C_4) = 2$$



$$\gamma(C_5) = 3$$

For  $P_n$ :

$$\nu(P_n) = \left\lfloor \frac{n}{2} \right\rfloor, \quad \gamma(P_n) = \left\lfloor \frac{n}{2} \right\rfloor$$

$$\text{e.g. } \begin{array}{c} \bullet \\[-1ex] 1 \end{array} \xrightarrow{\hspace{0.5cm}} \begin{array}{c} \bullet \\[-1ex] 2 \end{array} \xrightarrow{\hspace{0.5cm}} \begin{array}{c} \bullet \\[-1ex] 3 \end{array} \xrightarrow{\hspace{0.5cm}} \begin{array}{c} \bullet \\[-1ex] 4 \end{array} \xrightarrow{\hspace{0.5cm}} \begin{array}{c} \bullet \\[-1ex] 5 \end{array} \quad \gamma(P_5) = 2.$$

Summary:  $\nu(G) \leq \gamma(G)$

Proposition:  $\gamma(G) \leq 2\nu(G)$

$G$	$\nu(G)$	$\gamma(G)$	
$K_n$	$\left\lfloor \frac{n}{2} \right\rfloor$	$n-1$	When it's max matching, $\gamma(G) \leq  X  = 2\nu(G)$
$C_n$	$\left\lfloor \frac{n}{2} \right\rfloor$	$\lceil \frac{n}{2} \rceil$	
$P_n$	$\left\lfloor \frac{n}{2} \right\rfloor$	$\left\lfloor \frac{n}{2} \right\rfloor$	

# König's Theorem

If  $G$  is bipartite, then  $\bar{V}(G) = Z(G)$ .

It is not true.

Applications of König's Theorem:

Let  $M$  be a matrix with 0 and \* entries,

$$\begin{bmatrix} * & 0 & 0 & * & 0 & 0 \\ * & 0 & 0 & 0 & 0 & * \\ 0 & 0 & 0 & * & 0 & * \\ 0 & * & * & 0 & * & * \\ * & 0 & 0 & * & 0 & * \end{bmatrix}$$

\* can be replaced by random real numbers

Let  $t(M)$  be term-rank of  $M$ :

the max rank of a matrix obtained from  $M$   
by replacing \* with real numbers.

Let  $\gamma(M)$  be cover number of  $M$ :

the min number of rows and columns

s.t. their deleting results in an all 0 matrix.

$$\gamma(M) \geq t(M)$$

In the example  $4 \geq \gamma(M) \geq t(M)$

Theorem:

$$\gamma(M) = t(M) \quad \forall M$$

Pf: Let  $G$  be a bipartite graph with bipartition  $(R, C)$

$\uparrow$   
row       $\uparrow$   
                column

A row  $R$  is joined by an edge to a column  $C$

iff the edge (intersection) is \*

Then removing a set of rows & columns in  $X$ . iff  $X$  is a

vertex cover of  $G$ . So  $\tau(G) = \gamma(M)$

By König's Theorem,  $G$  contains a matching  $F$  s.t.

$$|F| = \gamma(M)$$

We want to show  $t(M) \geq \gamma(M) = |F|$

(In term of a matrix  $F$  is a set of stars s.t.

no two of them share a row or a column.)

Replace all entries in  $F$  by one, rest by zero.

Thus  $t(M) \geq |F| \quad \square$

# Perfect Matching

**Def.** A matching  $M$  is **perfect** in graph  $G$  if every vertex of  $G$  is an end of an edge in  $M$ .

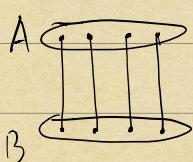
A graph  $G$  contains a perfect matching iff  $|V(G)| = \frac{|V(G)|}{2}$

A graph is **d-regular** if every vertex of  $G$  has degree  $d$ .

e.g.  $C_n$  is 2-regular,  $K_n$  is  $(n-1)$ -regular

**Theorem:** If  $G$  is regular for some  $d > 0$  and bipartite, then  $G$  has a perfect matching.

**Proof:** If  $G$  is  $d$ -regular,  $|E(G)| = d|A|$  as every edge has one end in  $A$ . Similarly  $|E(G)| = d|B|$ .



We need to show  $|V(G)| \geq \frac{|V(G)|}{2}$ . ( $Z(G) \geq \frac{|V(G)|}{2}$ )

Let  $X$  be a vertex cover in  $G$ .

Since every edge has an end in  $X$ , and every vertex of  $X$  is the end of  $d$  edges,  $|E(G)| \leq d|X|$

$\downarrow$

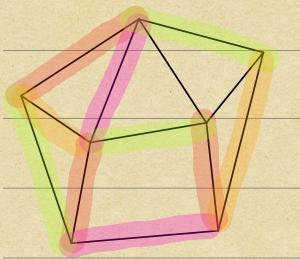
$$\frac{1}{2} \sum_{v \in V(G)} \deg(v) = \frac{1}{2} d |V(G)|. \text{ So } \frac{|V(G)|}{2} \leq |X| \quad \square$$

# Edge Coloring

$c: E(G) \rightarrow \mathbb{N}$  is an edge coloring of  $G$

If  $c(e) \neq c(f)$   $\forall e \neq f \in E(G)$ ,  $e, f$  share an end.

$\chi'(G)$  - edge-chromatic number of  $G$  is the min number of colors needed to color the edges of  $G$ .



4-edge coloring

max degree of vertex in  $G$

Observation:  $\chi'(G) \geq \Delta(G)$

In  $C_3$ ,  $\Delta(C_3) = 2$ ,  $\chi'(C_3) = 3$ .

Theorem: If  $G$  is a  $d$ -regular bipartite graph then  $\chi'(G) = d$ .

Corollary:  $\chi'(G) = \Delta(G)$  for every bipartite graph  $G$ .

## Hall's Theorem

Let  $G$  be a bipartite graph with bipartition  $(A, B)$  then there exists a matching  $M$  in  $G$  which uses all vertices of  $A$  iff  $|N(S)| \geq |S| \quad \forall S \subseteq A$  Hall's condition

Proof: Show if Hall's condition holds then  $V(G) \geq |A|$   
 $\Rightarrow Z(G) \geq |A|$

Let  $X$  be a vertex cover. Need:  $|X| \geq |A|$ .

Let  $S = A - X$ , then  $N(S) \subseteq B \cap X$

$$\begin{aligned}|X| &= |A \cap X| + |B \cap X| \geq |A \cap X| + |N(S)| \\ &\geq |A \cap X| + |S| = |A \cap X| + |A - X| = |A|\end{aligned}$$

## Systems of distinct representatives

Given a collection  $(S_1, S_2, \dots, S_k)$  of finite sets

A system of distinct representatives for this collection  $(x_1, x_2, \dots, x_k)$

s.t.  $x_i \in S_i$  for  $i = 1, 2, \dots, k$

and  $x_i \neq x_j$  for  $i \neq j$ .

### Example.

$$\begin{array}{ll} S_1 = \{2, 4, 5\} & x_1 = 5 \\ S_2 = \{1, 2\} & x_2 = 2 \\ S_3 = \{1, 3\} & x_3 = 1 \\ S_4 = \{2, 3\} & x_4 = 3 \\ S_5 = \{1, 2, 3\} & \text{No s.d.r. since } |S_2 \cup S_3 \cup S_4 \cup S_5| = 3. \end{array} \quad \left. \begin{array}{l} x_1 = 5 \\ x_2 = 2 \\ x_3 = 1 \\ x_4 = 3 \end{array} \right\} \text{s.d.r.}$$

### Theorem:

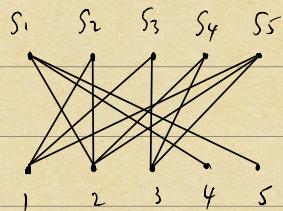
A collection  $(S_1, S_2, \dots, S_k)$  has an s.d.r.

$$\text{iff } \left| \bigcup_{i \in I} S_i \right| \geq |I| \quad \forall I \subseteq \{1, 2, \dots, k\}$$

### Proof.

Form a bipartite graph with bipartition  $(A, B)$ ,

$$A = \{S_1, S_2, \dots, S_k\}, \quad B = \bigcup_{i=1}^k S_i$$



$x \subseteq B$  is joined to  $S_i$  by an edge in  $G$   
iff  $x \in S_i$

A matching covering  $A$  is a collection  $\{x_1, S_1\}, \{x_2, S_2\}, \dots, \{x_k, S_k\}$

s.t.  $x_1, \dots, x_k$  are pairwise distinct.

It exists iff s.d.r. exists.

By Hall's Theorem, it exists iff  $\forall I \subseteq \{1, 2, \dots, k\}$ ,

$$\left| \bigcup_{i \in I} S_i \right| \geq |I|$$