# Deep Learning Study Notes

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# 1 YOLOv3

### 1.1 dataset.py

```
def parse_annotation(self, annotation):
    line = annotation.split()
    image_path = line[0]
    if not os.path.exists(image_path):
       raise KeyError("%s does not exist ... " % imagparse_annotation
   image = cv2.imread(image_path)
bboxes = np.array([list(map(int, box.split(','))) for box in line[1:]])
    if self.data_aug:
        image, bboxes = self.random_horizontal_flip(np.copy(image), np.copy(bboxes))
        image, bboxes = self.random_crop(np.copy(image), np.copy(bboxes))
       image, bboxes = self.random_translate(np.copy(image), np.copy(bboxes))
   image = cv2.cvtColor(image, cv2.COLOR_BGR2RGB)
   image, bboxes = utils.image_preporcess(np.copy(image), [self.train_input_size, self.
    → train_input_size], np.copy(bboxes))
    return image, bboxes
   line = annotation.split()
    image_path = line[0]
    if not os.path.exists(image_path):
       raise KeyError("%s does not exist ... " % image_path)
    image = cv2.imread(image_path)
   bboxes = np.array([list(map(int, box.split(','))) for box in line[1:]])
    if self.data_aug:
        image, bboxes = self.random_horizontal_flip(np.copy(image), np.copy(bboxes))
        image, bboxes = self.random_crop(np.copy(image), np.copy(bboxes))
        image, bboxes = self.random_translate(np.copy(image), np.copy(bboxes))
   image = cv2.cvtColor(image, cv2.COLOR_BGR2RGB)
    image, bboxes = utils.image_preporcess(np.copy(image), [self.train_input_size, self.

    train_input_size], np.copy(bboxes))

   return image, bboxes
```

This part is straightfoward.

**End** of Function

From the function we can deduce that  $box = (c_x, c_y, width, height)$ , therefore

right\_down = np.minimum(boxes1[..., 2:], boxes2[..., 2:])

```
boxes[...,:2] - boxes[...,2:] *0.5 = [all upper-left corners]
```

and

```
boxes1[..., :2] + boxes1[..., 2:] *0.5 = [all lower-right corners],
```

while concatenating (2,) np-array along the last axis simplify means combing them into one (4,) np-array. Numerically in training boxes1 and boxes2 are like:

Think of the above as entrywise comparisons that give an array of maximum, which yields the coordinates of intersection rectangle for each fixed boxes1 to boxes in boxes2.

```
inter_section = np.maximum(right_down - left_up, 0.0)
```

The entries in inter\_section are the width and height of the intersection, the (broadcasted) np.maximum is just a tricky way to handle empty intersection.

```
inter_area = inter_section[..., 0] * inter_section[..., 1]
union_area = boxes1_area + boxes2_area - inter_area
return inter_area / union_area
```

**End** of Function

```
def preprocess_true_boxes(self, bboxes):
```

Here bboxes are the boxes from annotation file in which each line takes the form:

```
some/directory/hash.jpg 79,537,107,574,0 297,547,318,575,0
```

```
# bbox_xywh is ground truth
bbox_xywh = np.concatenate([(bbox_coor[2:] + bbox_coor[:2]) * 0.5, bbox_coor[2:] - bbox_coor

:[:2]], axis=-1)
# bbox_xywh_scaled is scaled ground truth relative to stride (13, 26, 52, as a unit)
bbox_xywh_scaled = 1.0 * bbox_xywh[np.newaxis, :] / self.strides[:, np.newaxis]
```

Note that bbox\_xywh[np.newaxis, :] is of shape (1, 4) and 1/self.strides[:, np.newaxis] is of shape (3, 1), their multiplication will be conducted by "broadcasting" in numpy, which yields a (3, 4) dimensional numpy array. The product bbox\_xywh\_scaled consists of  $(c_x, c_y, w, h)$  which use "stride" as a unit, so 1 means "1 grid" (recall there are  $13 \times 13$ ,  $26 \times 26$ ,  $52 \times 52$  grids predictions from Darknet backbone).

```
iou = []
exist_positive = False
for i in range(3):
    anchors_xywh = np.zeros((self.anchor_per_scale, 4))
    anchors_xywh[:, 0:2] = np.floor(bbox_xywh_scaled[i, 0:2]).astype(np.int32) + 0.5
    anchors_xywh[:, 2:4] = self.anchors[i]
```

anchors\_xywh essentially moves centers of bbox\_xywh\_scaled to the middle of the grid where the original center lies in, then the anchor boxes' width and height are assigned, replacing the original width, height of bbox\_xywh\_scaled.

```
iou_scale = self.bbox_iou(bbox_xywh_scaled[i][np.newaxis, :], anchors_xywh)
```

The presence of np.newaxis is simply because multiplication between (4,) and (3,4) array does not make sense. The additional dimension expand (4,) array into (1,4) array, which is broadcasted and multiplied to (3,4) array to give anothour (3,4) array, and theirfore,  $iou_scale.shape = (3,)$ .

```
iou.append(iou_scale)
iou_mask = iou_scale > 0.3 # a boolean list of length 3

if np.any(iou_mask): # if one of them is True
        xind, yind = np.floor(bbox_xywh_scaled[i, 0:2]).astype(np.int32)
        label[i][yind, xind, iou_mask, :] = 0
        label[i][yind, xind, iou_mask, 0:4] = bbox_xywh
        label[i][yind, xind, iou_mask, 4:5] = 1.0
        label[i][yind, xind, iou_mask, 5:] = smooth_onehot
```

label[i] is initialized at the beginning which is of size

```
\verb|train_output_sizes| \times \verb|train_output_sizes| \times 3 \times 85
```

for each i, where train\_output\_sizes = 13,26 or 52.

```
bbox_ind = int(bbox_count[i] % self.max_bbox_per_scale)
bboxes_xywh[i][bbox_ind, :4] = bbox_xywh
```

bboxes\_xywh is initialized (with zeros) at the beginning, bboxes\_xywh.shape = (3, 150, 4).

```
bbox_count[i] += 1
             exist_positive = True
    if not exist positive:
        best_anchor_ind = np.argmax(np.array(iou).reshape(-1), axis=-1) # flatten and take max
        # best_detect belongs to which "i", namely, best "i"
best_detect = int(best_anchor_ind / self.anchor_per_scale)
        # for this i, which index it is:
        best_anchor = int(best_anchor_ind % self.anchor_per_scale)
        # get the grid point in our 13x13, 26x26, 52x52 grid:
        xind, yind = np.floor(bbox_xywh_scaled[best_detect, 0:2]).astype(np.int32)
        label[best_detect][yind, xind, best_anchor, :] = 0
        label[best_detect][yind, xind, best_anchor, 0:4] = bbox_xywh
        label[best_detect][yind, xind, best_anchor, 4:5] = 1.0
        label[best_detect][yind, xind, best_anchor, 5:] = smooth_onehot
        bbox_ind = int(bbox_count[best_detect] % self.max_bbox_per_scale)
        bboxes_xywh[best_detect][bbox_ind, :4] = bbox_xywh
        # assign bbox_xywh into the list instead of append,
        # this is to make sure there are at most 150 boxes within all 3 scales.
        bbox_count[best_detect] += 1
label_sbbox, label_mbbox, label_lbbox = label
sbboxes, mbboxes, lbboxes = bboxes_xywh
return label_sbbox, label_mbbox, label_lbbox, sbboxes, mbboxes, lbboxes
```

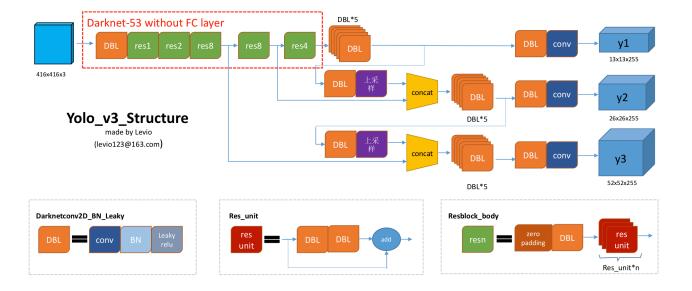


Figure 1: Structure of YOLOv3

In short,

sbboxes, mbboxes, lbboxe

are just ground truth bounding boxes (center, width and height), while

label\_sbbox, label\_mbbox, label\_lbbox

are ground truth bounding boxes with objectiveness and probabilities of each grid.

**End** of Function

#### 1.2 yolov3.py

In darknet53 after a bunch of residue modules we get 3 branches route\_1, route\_2 and cov, where

```
route_1.shape = (-1, 52, 52, 256)
route_2.shape = (-1, 26, 26, 512)
conv.shape = (-1, 13, 13, 1024)
```

Each branch then jumps into several stages of feature extractions, the whole process finally gives another 3 branches of undecoded/raw data of features, and they are endowed with the meaning of "grid-based detection" after reshaping into (-1, output\_size, output\_size, 3, 85) dimensional array.

```
def YOLOv3(input_layer):
    route_1, route_2, conv = backbone.darknet53(input_layer)

conv = common.convolutional(conv, (1, 1, 1024, 512))
    conv = common.convolutional(conv, (3, 3, 512, 1024))
    conv = common.convolutional(conv, (1, 1, 1024, 512))
    conv = common.convolutional(conv, (3, 3, 512, 1024))
    conv = common.convolutional(conv, (1, 1, 1024, 512))
```

As padding="same" is being used along the chain of conv nets, there is no spatial dimension change.

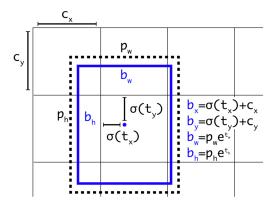
```
conv = common.convolutional(conv, (3, 3, 256, 512))
      conv = common.convolutional(conv, (1, 1, 512, 256))
      conv = common.convolutional(conv, (3, 3, 256, 512))
      conv = common.convolutional(conv, (1, 1, 512, 256))
      conv_mobj_branch = common.convolutional(conv, (3, 3, 256, 512))
      \verb|conv_mbbox| = \verb|common.convolutional(conv_mobj_branch, (1, 1, 512, 3*(NUM_CLASS + 5)), activate = |conv_mbbox| = |conv_mbb
      → False, bn=False)
      conv = common.convolutional(conv, (1, 1, 256, 128))
      conv = common.upsample(conv)
      conv = tf.concat([conv, route_1], axis=-1)
      conv = common.convolutional(conv, (1, 1, 384, 128))
      conv = common.convolutional(conv, (3, 3, 128, 256))
      conv = common.convolutional(conv, (1, 1, 256, 128))
      conv = common.convolutional(conv, (3, 3, 128, 256))
      conv = common.convolutional(conv, (1, 1, 256, 128))
      conv_sobj_branch = common.convolutional(conv, (3, 3, 128, 256))
      conv_sbbox = common.convolutional(conv_sobj_branch, (1, 1, 256, 3*(NUM_CLASS +5)), activate=
      → False, bn=False)
  return [conv_sbbox, conv_mbbox, conv_lbbox]
                                                                                                                                         End of Function
def decode(conv_output, i=0):
      return tensor of shape [batch_size, output_size, output_size, anchor_per_scale, 5 + num_classes]
                   contains (x, y, w, h, score, probability)
conv_output is the output of YOLOv3 (conv_sbbox, conv_mbbox or conv_lbbox).
      conv shape
                                 = tf.shape(conv_output)
      batch size
                                 = conv_shape[0]
      output_size
                                 = conv_shape[1]
      conv_output = tf.reshape(conv_output, (batch_size, output_size, output_size, 3, 5 + NUM_CLASS))
      conv_raw_dxdy = conv_output[:, :, :, 0:2]
      conv_raw_dwdh = conv_output[:, :, :, :, 2:4]
      conv_raw_conf = conv_output[:, :, :, 4:5]
      conv_raw_prob = conv_output[:, :, :, :, 5: ]
      y = tf.tile(tf.range(output_size, dtype=tf.int32)[:, tf.newaxis], [1, output_size])
      x = tf.tile(tf.range(output_size, dtype=tf.int32)[tf.newaxis, :], [output_size, 1])
For example, let's take output_size = 13, then
                                    y = np.tile(np.arange(13)[:, np.newaxis], [1, 13])
and
                                    x = np.tile(np.arange(13)[np.newaxis, :], [13, 1])
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       [11 11 11 11 11 11 11 11 11 11 11 11 11]
       [0 1 2 3 4 5 6 7 8 9 10 11 12]]
```

For x and y we expand dimension again along the last axis (break every single element into a bracketed element) before concatenation:

```
xy_grid = tf.concat([x[:, :, tf.newaxis], y[:, :, tf.newaxis]], axis=-1)
At this point, xy_grid is (13,13,2) dimensional.

xy_grid = tf.tile(xy_grid[tf.newaxis, :, :, tf.newaxis, :], [batch_size, 1, 1, 3, 1])
xy_grid = tf.cast(xy_grid, tf.float32)
```

Now xy\_grid is (batch\_size, 13, 13, 3, 2) dimensional. Recall that



```
pred_xy = (tf.sigmoid(conv_raw_dxdy) + xy_grid) * STRIDES[i]
pred_wh = (tf.exp(conv_raw_dwdh) * ANCHORS[i]) * STRIDES[i]
pred_xywh = tf.concat([pred_xy, pred_wh], axis=-1)

pred_conf = tf.sigmoid(conv_raw_conf)
pred_prob = tf.sigmoid(conv_raw_prob)

return tf.concat([pred_xywh, pred_conf, pred_prob], axis=-1)
```

Bear in mind that decoded x, y in pred\_xywh denote the center of prediction rectangle, as is the output of the function preprocess\_true\_boxes.

[End of Function]

#### 1.3 yolov3.compute\_loss

```
def bbox_giou(boxes1, boxes2):
    boxes1 = tf.concat([boxes1[..., :2] - boxes1[..., 2:] * 0.5,
                        boxes1[..., :2] + boxes1[..., 2:] * 0.5], axis=-1)
   boxes2 = tf.concat([boxes2[..., :2] - boxes2[..., 2:] * 0.5,
                        boxes2[..., :2] + boxes2[..., 2:] * 0.5], axis=-1)
   boxes1 = tf.concat([tf.minimum(boxes1[..., :2], boxes1[..., 2:]),
   boxes1_area = (boxes1[..., 2] - boxes1[..., 0]) * (boxes1[..., 3] - boxes1[..., 1]) boxes2_area = (boxes2[..., 2] - boxes2[..., 0]) * (boxes2[..., 3] - boxes2[..., 1])
   left\_up = tf.maximum(boxes1[..., :2], boxes2[..., :2])
    right_down = tf.minimum(boxes1[..., 2:], boxes2[..., 2:])
    inter_section = tf.maximum(right_down - left_up, 0.0)
    inter_area = inter_section[..., 0] * inter_section[..., 1]
    union_area = boxes1_area + boxes2_area - inter_area
    iou = inter_area / union_area
   enclose_left_up = tf.minimum(boxes1[..., :2], boxes2[..., :2])
    enclose_right_down = tf.maximum(boxes1[..., 2:], boxes2[..., 2:])
    enclose = tf.maximum(enclose_right_down - enclose_left_up, 0.0)
    enclose_area = enclose[..., 0] * enclose[..., 1]
   giou = iou - 1.0 * (enclose_area - union_area) / enclose_area
  return giou
```

```
def compute_loss(pred, conv, label, bboxes, i=0):
   conv_shape = tf.shape(conv)
batch_size = conv_shape[0]
    output_size = conv_shape[1]
    input_size = STRIDES[i] * output_size
   conv = tf.reshape(conv, (batch_size, output_size, output_size, 3, 5 + NUM_CLASS))
    conv_raw_conf = conv[:, :, :, 4:5]
   conv_raw_prob = conv[:, :, :, 5:]
                 = pred[:, :, :, 0:4]
   pred xvwh
                  = pred[:, :, :, 4:5]
   pred_conf
                  = label[:, :, :, :, 0:4]
   label_xywh
   respond_bbox = label[:, :, :, :, 4:5] # objectiveness
                 = label[:, :, :, :, 5:]
   label_prob
   giou = tf.expand_dims(bbox_giou(pred_xywh, label_xywh), axis=-1)
   input_size = tf.cast(input_size, tf.float32)
   bbox_loss_scale = 2.0 - 1.0 * label_xywh[:, :, :, 2:3] * label_xywh[:, :, :, 3:4] / (
    → input_size ** 2)
   giou_loss = respond_bbox * bbox_loss_scale * (1- giou)
```

Note that for two sets  $U, V \in \mathcal{C}$ , where  $\mathcal{C} \in 2^{\mathbb{R}^2}$ , the function d(U, V) := 1 - giou(U, V) defines a metric, so giou\_loss makes sense.

```
iou = bbox_iou(pred_xywh[:, :, :, :, np.newaxis, :], bboxes[:, np.newaxis, np.newaxis, np. \hookrightarrow newaxis, :, :])
```

bboxes are batched inside Dataset("train").\_\_next\_\_ before passing into compute\_loss (in a while loop until image count reaches batch size). Therefore bboxes.shape = (16, 150, 4), where 150 is the maximal number of anchors (most of them are zeros due to initialization), so we see 3:'s in bboxes.

Finally

 $pred_xywh.shape = (16, 13, 13, 3, 150, 4) = bboxes.shape$ 

and

$$iou.shape = (16, 13, 13, 3, 150)$$

where computation gets rid of the last dimension. bboxes is copied to every grid for computation because from original paper:

"the confidence prediction represents the IOU between the predicted box and any ground truth box"

```
max_iou = tf.expand_dims(tf.reduce_max(iou, axis=-1), axis=-1)
respond_bgd = (1.0 - respond_bbox) * tf.cast( max_iou < IOU_LOSS_THRESH, tf.float32 )</pre>
```

In the internet some people call IOU\_LOSS\_THRESH as ignore\_thresh. respond\_bgd determines whether to penalize a prediction

- that overlaps too few with ground truth anchors (i.e., detected wrong location) and
- that makes false positive error.

```
conf_focal = tf.pow(respond_bbox - pred_conf, 2)
```

The concept of focal loss with  $\gamma = 2$  was introduced in [3], which down-weights the loss contributed by well-classificed (high confidence) examples.

```
conf_loss = conf_focal *
(
    respond_bbox * tf.nn.sigmoid_cross_entropy_with_logits(labels=respond_bbox, logits=
    conv_raw_conf)
    +
    respond_bgd * tf.nn.sigmoid_cross_entropy_with_logits(labels=respond_bbox, logits=
    conv_raw_conf)
)
```

Where tf.nn.sigmoid\_cross\_entropy\_with\_logits(labels=z, logits=x) is

```
z * -log(sigmoid(x)) + (1 - z) * -log(1 - sigmoid(x)),
```

therefore x has to be a raw prediction data.

**End** of Function

#### 1.4 train.py

Recap of Customized Training Loop with GradientTape. Apart from predefined loss functions (such as categorical\_crossentropy for classfication, mse for regression, etc), it is ocassional to come across non-standard loss functions from other repository with the use of tf.GradientTape.

Such implementation usually create 4 components:

- Component 1. The model architecture
- Component 2. The loss function used when computing the model loss
- **Component 3.** The **optimizer** used to update the model weights

Component 4. The step function that encapsulates the forward and backward pass of the network

Now the code below is self-explanatory:

```
def train_step(image_data, target, epoch):
    # image_data = batch of images
    with tf.GradientTape() as tape:
        pred_result = model(image_data, training=True)
        giou_loss = conf_loss = prob_loss = 0
        # optimizing process
        for i in range(3):
            conv, pred = pred_result[i*2], pred_result[i*2+1]
batch_label, batch_bboxes = target[i]
            loss_items = compute_loss(pred, conv, batch_label, batch_bboxes, i)
             giou_loss += loss_items[0]
             conf_loss += loss_items[1]
             prob_loss += loss_items[2]
        total_loss = giou_loss + conf_loss + prob_loss
        gradients = tape.gradient(total_loss, model.trainable_variables)
        optimizer.apply_gradients(zip(gradients, model.trainable_variables))
        # update learning rate
        global_steps.assign_add(1)
        if global_steps < warmup_steps:</pre>
            lr = global_steps / warmup_steps * cfg.TRAIN.LR_INIT
        else:
            lr = cfg.TRAIN.LR_END + 0.5 * (cfg.TRAIN.LR_INIT - cfg.TRAIN.LR_END) * (
                 (1 + tf.cos((global_steps - warmup_steps) / (total_steps - warmup_steps) * np.pi))
             )
        optimizer.lr.assign(lr.numpy())
        # writing summary data
        with writer.as_default():
             tf.summary.scalar("lr", optimizer.lr, step=global_steps)
             tf.summary.scalar("loss/total_loss", total_loss, step=global_steps)
             tf.summary.scalar("loss/giou_loss", giou_loss, step=global_steps)
             tf.summary.scalar("loss/conf_loss", conf_loss, step=global_steps)
tf.summary.scalar("loss/prob_loss", prob_loss, step=global_steps)
        writer.flush()
for epoch in range(cfg.TRAIN.EPOCHS):
```

```
for index, (image_data, target) in enumerate(trainset):
    train_step(image_data, target, epoch)

model.save_weights("./checkpoints/yolov3-{}-{}.h5".format(cfg.WEIGHT_NAME_TO_SAVE, epoch))
```

## 2 RNN, LSTM and GRU

#### 2.1 RNN

#### References

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