# YOLOv3 Study Notes

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February 28, 2021

# 1 dataset.py

```
def parse_annotation(self, annotation):
    line = annotation.split()
   image_path = line[0]
   if not os.path.exists(image_path):
       raise KeyError("%s does not exist ... " % imagparse_annotation
       e_path)
   image = cv2.imread(image_path)
   bboxes = np.array([list(map(int, box.split(','))) for box in line[1:]])
   if self.data aug:
        image, bboxes = self.random_horizontal_flip(np.copy(image), np.copy(bboxes))
       image, bboxes = self.random_crop(np.copy(image), np.copy(bboxes))
       image, bboxes = self.random_translate(np.copy(image), np.copy(bboxes))
   image = cv2.cvtColor(image, cv2.COLOR_BGR2RGB)
   image, bboxes = utils.image_preporcess(np.copy(image), [self.train_input_size, self.

    train_input_size], np.copy(bboxes))

   return image, bboxes
   line = annotation.split()
   image_path = line[0]
   if not os.path.exists(image_path):
       raise KeyError("%s does not exist ... " % image_path)
   image = cv2.imread(image_path)
   bboxes = np.array([list(map(int, box.split(','))) for box in line[1:]])
   if self.data_aug:
       image, bboxes = self.random_horizontal_flip(np.copy(image), np.copy(bboxes))
       image, bboxes = self.random_crop(np.copy(image), np.copy(bboxes))
       image, bboxes = self.random_translate(np.copy(image), np.copy(bboxes))
   image = cv2.cvtColor(image, cv2.COLOR_BGR2RGB)
   image, bboxes = utils.image_preporcess(np.copy(image), [self.train_input_size, self.
    train_input_size], np.copy(bboxes))
   return image, bboxes
```

This part is straightfoward.

**End** of Function

To understand preprocess\_true\_boxes, we must first understand:

From the function we can deduce that  $box = (c_x, c_y, width, height)$ , therefore

```
boxes[...,:2] - boxes[...,2:] *0.5 = [all upper-left corners]
```

and

and

```
boxes1[..., :2] + boxes1[..., 2:] *0.5 = [all lower-right corners],
```

while concatenating (2,) np-array along the last axis simply means combing them into one (4,) np-array. Numerically in training boxes1 and boxes2 are like:

[[0.59375 3.78125 0.5 0.625 ]] [[ 0.5 3.5 3.625 2.8125 ] [ 0.5 3.5 4.875 6.1875 ]

3.5

```
left_up = np.maximum(boxes1[..., :2], boxes2[..., :2])
right_down = np.minimum(boxes1[..., 2:], boxes2[..., 2:])
```

11.65625 10.1875 ]].

Think of the above as entrywise comparisons that give an array of maximum, which yields the coordinates of intersection rectangle for each fixed boxes1 to boxes in boxes2.

```
inter_section = np.maximum(right_down - left_up, 0.0)
```

The entries in inter\_section are the width and height of the intersection, the (broadcasted) np.maximum is just a tricky way to handle empty intersection.

```
inter_area = inter_section[..., 0] * inter_section[..., 1]
union_area = boxes1_area + boxes2_area - inter_area
return inter_area / union_area
```

End of Function

```
def preprocess_true_boxes(self, bboxes):
```

Here bboxes are the boxes from annotation file in which each line takes the form:

Γ 0.5

some/directory/hash.jpg 79,537,107,574,0 297,547,318,575,0

```
label = [np.zeros((self.train_output_sizes[i],
                   self.train_output_sizes[i],
                   self.anchor_per_scale,
                   5 + self.num_classes)) for i in range(3)]
bboxes_xywh = [np.zeros((self.max_bbox_per_scale, 4)) for _ in range(3)]
bbox_count = np.zeros((3,))
for bbox in bboxes:
    bbox_coor = bbox[:4]
    bbox_class_ind = bbox[4]
    onehot = np.zeros(self.num_classes, dtype=np.float)
    onehot[bbox_class_ind] = 1.0
    uniform_distribution = np.full(self.num_classes, 1.0 / self.num_classes)
    deta = 0.01
    smooth_onehot = onehot * (1 - deta) + deta * uniform_distribution
    # bbox_xywh is ground truth
    bbox_xywh = np.concatenate([(bbox_coor[2:] + bbox_coor[:2]) * 0.5, bbox_coor[2:] - bbox_coor
\hookrightarrow [:2]], axis=-1)
    # bbox_xywh_scaled is scaled ground truth relative to stride (13, 26, 52, as a unit)
    bbox_xywh_scaled = 1.0 * bbox_xywh[np.newaxis, :] / self.strides[:, np.newaxis]
```

Note that bbox\_xywh[np.newaxis, :] is of shape (1, 4) and 1/self.strides[:, np.newaxis] is of shape (3, 1), their multiplication will be conducted by "broadcasting" in numpy, which yields a (3, 4) dimensional numpy array. The product bbox\_xywh\_scaled consists of  $(c_x, c_y, w, h)$  which use "stride" as a unit, so 1 means "1 grid" (recall there are  $13 \times 13$ ,  $26 \times 26$ ,  $52 \times 52$  grids predictions from Darknet backbone).

```
iou = []
exist_positive = False
for i in range(3):
    anchors_xywh = np.zeros((self.anchor_per_scale, 4))
    anchors_xywh[:, 0:2] = np.floor(bbox_xywh_scaled[i, 0:2]).astype(np.int32) + 0.5
    anchors_xywh[:, 2:4] = self.anchors[i]
```

anchors\_xywh essing boxes with objectiveneentially move centers of bbox\_xywh\_scaled to the middle of the grid that center lies in, then the anchor boxes' width and height are assigned, replacing the original width, height of bbox\_xywh\_scaled.

```
iou_scale = self.bbox_iou(bbox_xywh_scaled[i][np.newaxis, :], anchors_xywh)
```

The presence of np.newaxis is simply because multiplication between (4,) and (3,4) array does not make sense. The additional dimension expand (4,) array into (1,4) array, which is broadcasted and multiplied to (3,4) array to give anothor (3,4) array, and theirfore,  $iou_scale.shape = (3,)$ .

```
iou.append(iou_scale)
iou_mask = iou_scale > 0.3 # a boolean list of length 3

if np.any(iou_mask): # if one of them is True
        xind, yind = np.floor(bbox_xywh_scaled[i, 0:2]).astype(np.int32)
        label[i][yind, xind, iou_mask, :] = 0
        label[i][yind, xind, iou_mask, 0:4] = bbox_xywh
        label[i][yind, xind, iou_mask, 4:5] = 1.0
        label[i][yind, xind, iou_mask, 5:] = smooth_onehot
```

label[i] is initialized at the beginning which is of size

```
train_output_sizes \times train_output_sizes \times 3 \times 85
```

for each i, where train\_output\_sizes = 13,26 or 52.

```
bbox_ind = int(bbox_count[i] % self.max_bbox_per_scale)
bboxes_xywh[i][bbox_ind, :4] = bbox_xywh
```

bboxes\_xywh is initialized (with zeros) at the beginning, bboxes\_xywh.shape = (3, 150, 4).

```
bbox_count[i] += 1
             exist_positive = True
    if not exist_positive:
         best_anchor_ind = np.argmax(np.array(iou).reshape(-1), axis=-1) # flatten and take max
         # best_detect belongs to which "i", namely, best "i"
        best_detect = int(best_anchor_ind / self.anchor_per_scale)
# for this i, which index it is:
         best_anchor = int(best_anchor_ind % self.anchor_per_scale)
         # get the grid point in our 13x13, 26x26, 52x52 grid:
         xind, yind = np.floor(bbox_xywh_scaled[best_detect, 0:2]).astype(np.int32)
        label[best_detect][yind, xind, best_anchor, :] = 0
label[best_detect][yind, xind, best_anchor, 0:4] = bbox_xywh
         label[best_detect][yind, xind, best_anchor, 4:5] = 1.0
        label[best_detect][yind, xind, best_anchor, 5:] = smooth_onehot
         bbox_ind = int(bbox_count[best_detect] % self.max_bbox_per_scale)
         bboxes_xywh[best_detect][bbox_ind, :4] = bbox_xywh
         # assign bbox_xywh into the list instead of append,
         # this is to make sure there are at most 150 boxes within all 3 scales.
         bbox_count[best_detect] += 1
label_sbbox, label_mbbox, label_lbbox = label
sbboxes, mbboxes, lbboxes = bboxes_xywh
return label_sbbox, label_mbbox, label_lbbox, sbboxes, mbboxes, lbboxes
```

In short,

sbboxes, mbboxes, lbboxe

are just ground truth bounding boxes (center, width and height), while

label\_sbbox, label\_mbbox, label\_lbbox

are ground truth bounding boxes with objectiveness and probabilities of each grid.

**End** of Function

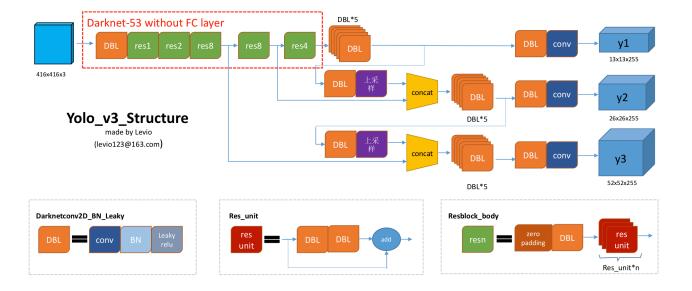


Figure 1: Structure of YOLOv3

# 2 yolov3.py

In darknet53 after a bunch of residue modules we get 3 branches route\_1, route\_2 and cov, where

```
\begin{aligned} &\texttt{route\_1.shape} = (-1, 52, 52, 256) \\ &\texttt{route\_2.shape} = (-1, 26, 26, 512) \\ &\texttt{conv.shape} = (-1, 13, 13, 1024) \end{aligned}
```

Each branch then jumps into several stages of feature extractions, the whole process finally gives another 3 branches of undecoded/raw data of features, and they are endowed with the meaning of "grid-based detection" after reshaping into (-1, output\_size, output\_size, 3, 85) dimensional array.

```
def YOLOv3(input_layer):
    route_1, route_2, conv = backbone.darknet53(input_layer)

    conv = common.convolutional(conv, (1, 1, 1024, 512))
    conv = common.convolutional(conv, (3, 3, 512, 1024))
    conv = common.convolutional(conv, (1, 1, 1024, 512))
    conv = common.convolutional(conv, (3, 3, 512, 1024))
    conv = common.convolutional(conv, (1, 1, 1024, 512))
```

As padding="same" is being used along the chain of conv nets, there is no spatial dimension change.

**End** of Function

conv\_output is the output of YOLOv3 (conv\_sbbox, conv\_mbbox or conv\_lbbox).

For example, let's take  $output_size = 13$ , then

```
y = np.tile(np.arange(13)[:, np.newaxis], [1, 13])
```

and

```
x = np.tile(np.arange(13)[np.newaxis, :], [13, 1])
```

are respectively:

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```

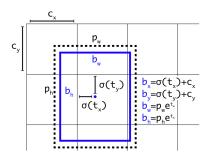
For x and y we expand dimension again along the last axis (break every single element into a bracketed element) before concatenation:

```
xy_grid = tf.concat([x[:, :, tf.newaxis], y[:, :, tf.newaxis]], axis=-1)
```

At this point, xy\_grid is (13, 13, 2) dimensional.

```
xy_grid = tf.tile(xy_grid[tf.newaxis, :, :, tf.newaxis, :], [batch_size, 1, 1, 3, 1])
xy_grid = tf.cast(xy_grid, tf.float32)
```

Now xy\_grid is (batch\_size, 13, 13, 3, 2) dimensional. Recall that



```
pred_xy = (tf.sigmoid(conv_raw_dxdy) + xy_grid) * STRIDES[i]
pred_wh = (tf.exp(conv_raw_dwdh) * ANCHORS[i]) * STRIDES[i]
pred_xywh = tf.concat([pred_xy, pred_wh], axis=-1)

pred_conf = tf.sigmoid(conv_raw_conf)
pred_prob = tf.sigmoid(conv_raw_prob)

return tf.concat([pred_xywh, pred_conf, pred_prob], axis=-1)
```

Bear in mind that decoded x, y in pred\_xywh denote the center of prediction rectangle, as is the output of the function preprocess\_true\_boxes.

[End of Function]

### 3 yolov3.compute loss

```
def bbox_giou(boxes1, boxes2):
    boxes1 = tf.concat([boxes1[..., :2] - boxes1[..., 2:] * 0.5,
                        boxes1[..., :2] + boxes1[..., 2:] * 0.5], axis=-1)
    boxes2 = tf.concat([boxes2[..., :2] - boxes2[..., 2:] * 0.5,
                        boxes2[..., :2] + boxes2[..., 2:] * 0.5], axis=-1)
   {\tt boxes1 = tf.concat([tf.minimum(boxes1[..., :2], boxes1[..., 2:]),}
   boxes1_area = (boxes1[..., 2] - boxes1[..., 0]) * (boxes1[..., 3] - boxes1[..., 1]) boxes2_area = (boxes2[..., 2] - boxes2[..., 0]) * (boxes2[..., 3] - boxes2[..., 1])
   left\_up = tf.maximum(boxes1[..., :2], boxes2[..., :2])
    right_down = tf.minimum(boxes1[..., 2:], boxes2[..., 2:])
    inter_section = tf.maximum(right_down - left_up, 0.0)
    inter_area = inter_section[..., 0] * inter_section[..., 1]
    union_area = boxes1_area + boxes2_area - inter_area
    iou = inter_area / union_area
    enclose_left_up = tf.minimum(boxes1[..., :2], boxes2[..., :2])
    enclose_right_down = tf.maximum(boxes1[..., 2:], boxes2[..., 2:])
    enclose = tf.maximum(enclose_right_down - enclose_left_up, 0.0)
    enclose_area = enclose[..., 0] * enclose[..., 1]
    giou = iou - 1.0 * (enclose_area - union_area) / enclose_area
   return giou
```

```
def compute_loss(pred, conv, label, bboxes, i=0):
    conv_shape = tf.shape(conv)
    batch_size = conv_shape[0]
    output_size = conv_shape[1]
    input_size = STRIDES[i] * output_size
```

Note that for two sets  $U, V \in \mathcal{C}$ , where  $\mathcal{C} \in 2^{\mathbb{R}^2}$ , the function d(U, V) := 1 - giou(U, V) defines a metric, so giou\_loss makes sense.

```
iou = bbox_iou(pred_xywh[:, :, :, np.newaxis, :], bboxes[:, np.newaxis, np.newaxis, np. \hookrightarrow newaxis, :, :])
```

bboxes are batched inside Dataset("train").\_\_next\_\_ before passing into compute\_loss (in a while loop until image count reaches batch size). Therefore bboxes.shape = (16, 150, 4), where 150 is the maximal number of anchors (most of them are zeros due to initialization), so we see 3: 's in bboxes.

Finally

```
pred_xywh.shape = (16, 13, 13, 3, 150, 4) = bboxes.shape
```

and

$$iou.shape = (16, 13, 13, 3, 150)$$

where computation gets rid of the last dimension. bboxes is copied to every grid for computation because from original paper:

"the confidence prediction represents the IOU between the predicted box and any ground truth box"

```
max_iou = tf.expand_dims(tf.reduce_max(iou, axis=-1), axis=-1)
respond_bgd = (1.0 - respond_bbox) * tf.cast( max_iou < IOU_LOSS_THRESH, tf.float32 )</pre>
```

In the internet some people call IOU\_LOSS\_THRESH as ignore\_thresh. respond\_bgd determines whether to penalize a prediction

- that overlaps too few with ground truth anchors (i.e., detected wrong location) and
- that makes false positive error.

```
conf_focal = tf.pow(respond_bbox - pred_conf, 2)
```

The concept of focal loss with  $\gamma = 2$  was introduced in [3], which down-weights the loss contributed by well-classificed (high confidence) examples.

```
conf_loss = conf_focal *
(
    respond_bbox * tf.nn.sigmoid_cross_entropy_with_logits(labels=respond_bbox, logits=
    conv_raw_conf)
    +
    respond_bgd * tf.nn.sigmoid_cross_entropy_with_logits(labels=respond_bbox, logits=
    conv_raw_conf)
)
```

Where tf.nn.sigmoid\_cross\_entropy\_with\_logits(labels=z, logits=x) is

```
z * -log(sigmoid(x)) + (1 - z) * -log(1 - sigmoid(x)),
```

therefore x has to be a raw prediction data.

**End** of Function

### 4 train.py

```
def train_step(image_data, target, epoch):
    # image_data = batch of images
    with tf.GradientTape() as tape:
        pred_result = model(image_data, training=True)
        giou_loss = conf_loss = prob_loss = 0
        # optimizing process
        for i in range(3):
            conv, pred = pred_result[i*2], pred_result[i*2+1]
            loss_items = compute_loss(pred, conv, *target[i], i)
            giou_loss += loss_items[0]
            conf_loss += loss_items[1]
            prob_loss += loss_items[2]
        total_loss = giou_loss + conf_loss + prob_loss
        gradients = tape.gradient(total_loss, model.trainable_variables)
        optimizer.apply_gradients(zip(gradients, model.trainable_variables))
        # update learning rate
        global_steps.assign_add(1)
        if global_steps < warmup_steps:</pre>
            lr = global_steps / warmup_steps * cfg.TRAIN.LR_INIT
            lr = cfg.TRAIN.LR_END + 0.5 * (cfg.TRAIN.LR_INIT - cfg.TRAIN.LR_END) * (
                 (1 + tf.cos((global_steps - warmup_steps) / (total_steps - warmup_steps) * np.pi))
        optimizer.lr.assign(lr.numpy())
        # writing summary data
        with writer.as_default():
            tf.summary.scalar("lr", optimizer.lr, step=global_steps)
            tf.summary.scalar("loss/total_loss", total_loss, step=global_steps)
            tf.summary.scalar("loss/giou_loss", giou_loss, step=global_steps)
tf.summary.scalar("loss/conf_loss", conf_loss, step=global_steps)
            tf.summary.scalar("loss/prob_loss", prob_loss, step=global_steps)
        writer.flush()
for epoch in range(cfg.TRAIN.EPOCHS):
    for index, (image_data, target) in enumerate(trainset):
        train_step(image_data, target, epoch)
   \verb|model.save_weights("./checkpoints/yolov3-{}-{}.h5".format(cfg.WEIGHT_NAME_TO_SAVE, epoch))| \\
```

#### References

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- [2] YOLOv3 算法的一点理解, https://yunyang1994.gitee.io/2018/12/28/YOLOv3/.

- [3] Tsung-Yi Lin, Priya Goyal, Ross Girshick, Kaiming He and Piotr Dollar, Focal Loss for Dense Object Detection, https://arxiv.org/pdf/1708.02002.pdf?fbclid=IwAR38T65chVOUNPhBDbAExH021\_afC0L6o9PEztpBPBAzqY3dBR8vOGy2qwg.
- [4] Joseph Redmon, Ali Farhadi, YOLOv3: An Incremental Improvement, https://arxiv.org/abs/1804.02767.