

# Asymptotic Analysis Project

COT 4400, Fall 2016

September 26, 2016

## 1 Overview

This project asks you to evaluate three sorting algorithms we have discussed in class on various inputs and relate their theoretical run time to their empirical (actual) behavior. These three algorithms are InsertionSort, MergeSort, and QuickSort. In the interests of time, you have been provided with code that implements all three algorithms and can run them on inputs of varying sizes and types.

## 2 Running the provided program

Along with this document, you have been provided with source code and a Makefile for the various sorting algorithms and input types to test in this project. This program will run the specified algorithm (InsertionSort, MergeSort, or QuickSort) on a sorted, random, or constant input array of a given size. I expect that you can use an IDE or the Linux `make` utility in order to compile the code. Once compiled, the program should be run from the command line, as it expects three arguments. If fewer than three arguments are specified, the program will assume default variables for any unspecified arguments.

The first of these three arguments represents the size of the input array. The program only accepts sizes between 1 and 1,000,000,000, inclusive (default 10,000). The second argument represents the sorting algorithm you wish to run. Valid algorithms include InsertionSort, MergeSort, and QuickSort (default MergeSort), or you can abbreviate the algorithms by their first letter ('i,' 'm,', or 'q'). The last argument represents the type of input to

sort. Valid input types are sorted, random, and constant (or ‘s,’ ‘r,’ ‘c’; default ‘r’), where ‘random’ is an unsorted array, ‘sorted’ is a sorted array (in increasing order), and ‘constant’ is an array where every entry is identical.

In order to improve the timing stability, the algorithm runs the requested sort three times and reports the median of the three timing results to you. In order to get the most accurate timing results, there should be no other processes running on the machine at the same time, but this may not always be possible, especially if you are running the program on a lab machine.

## 3 Project report

Your project report should be divided into two parts, Results and Analysis. For the Results section, you will need to prepare a data file describing the performance of your algorithms, and in the Analysis portion, you will need to prepare a table describing their complexity, as well as a response to these results.

### 3.1 Results

Run each of the three sorting algorithms on constant, sorted, and random arrays that are powers of 10. For each of the twelve cases, you should record the following:

1.  $n_{\min}$ : the smallest power of 10 that takes 20 milliseconds or more to run;
2.  $t_{\min}$ : the time to sort an array of size  $n_{\min}$ ;
3.  $n_{\max}$ : the largest power of 10 that takes 10 minutes (600 seconds) or less to run, or 1,000,000,000 if no input took more than 10 minutes;
4.  $t_{\max}$ : the time required to sort  $n_{\max}$  elements.

Note, you may need to ensure that you have sufficient stack space before testing QuickSort to ensure that you do not run out (“stack overflow”). You can run `ulimit -s unlimited` in Linux before executing the algorithm to increase the available stack space. This parameter can also be changed in many IDEs on Mac and Windows; consult the appropriate documentation if this becomes a problem.

If you are having trouble getting good timing results (e.g., one power-of-ten gives good timing results, but the next is too long and the previous too quick), you may try using other input sizes, such as  $3.2 \cdot 10^x$ ,  $1.8 \cdot 10^x$ , or  $5.6 \cdot 10^x$ . You may also use different systems to perform the tests for different algorithm/input combinations, but obviously, you'll want all of the runs for a single experiment to be on the same machine, as a timing ratio from different machines is virtually meaningless.

You should enter your results into a comma-separated value (CSV) file. The CSV file should contain 5 columns and 10 rows. Your first column should label the 9 different experiments, while the first row label the experiment variables ( $n_{\min}$ ,  $t_{\min}$ ,  $n_{\max}$ , and  $t_{\max}$ ). Your row labels should include the algorithm name (InsertionSort, MergeSort, or Quicksort) and input type (Sorted, Random, or Constant). You may abbreviate these labels as I, M, Q, and S, R, C. (For example, MS represents your MergeSort result on a sorted array.) An example table appears below. You may use Excel (or any other software) to prepare your data.

	$n_{\min}$	$t_{\min}$	$n_{\max}$	$t_{\max}$
IC				
IS				
IR				
MC				
MS				
MR				
QC				
QS				
QR				

## 3.2 Analysis

In this section, you will estimate the complexity of the four algorithms by comparing the ratio between  $t_{\min}$  and  $t_{\max}$  to ratios representing the complexity of the algorithm. Specifically, you should compute  $f(n_{\min})/f(n_{\max})$  for  $f_1(n) = n$ ,  $f_2(n) = n \ln(n)$ , and  $f_3(n) = n^2$ . You should round to the nearest integer when computing these ratios. Finally, you should label each experiment according to the ratio  $t_{\max}/t_{\min}$  most resembles.

For example, if one of your experiments resulting in  $n_{\min} = 100$  and  $n_{\max} = 10,000,000$ , your ratios would be  $f_1(n_{\max})/f_1(n_{\min}) = 100,000$ ,  $f_2(n_{\max})/f_2(n_{\min}) = 350,000$ , and  $f_3(n_{\max})/f_3(n_{\min}) = 10,000,000,000$ . You would then label the algorithm based on which of these three ratios  $t_{\max}/t_{\min}$  is most closest to.

As part of your report, you should create a chart that includes the computed ratios as well as the behavior of the algorithm (Linear,  $n \lg n$ , or Quadratic), across all 9 experiments. An example chart appears below:

	$t_{\max}/t_{\min}$	$f_1$ ratio	$f_2$ ratio	$f_3$ ratio	Behavior
IC					
IS					
IR					
MC					
MS					
MR					
QC					
QS					
QR					

You should then write a summary of (1) how your results compare to the theoretical analysis for the three algorithms (below), and (2) why your results make sense or are surprising. You should spend more time explaining your results when they are unusual or unexpected.

	Best-case complexity	Average-case complexity	Worst-case complexity
InsertionSort	$\Omega(n)$	$\Theta(n^2)$	$O(n^2)$
MergeSort	$\Theta(n \lg n)$	$\Theta(n \lg n)$	$\Theta(n \lg n)$
QuickSort	$\Omega(n \lg n)$	$\Theta(n \lg n)$	$O(n^2)$

## 4 Submission

For this project, you should submit a zip archive containing (1) a CSV file containing your results (described in Section 3.1), and (2) your tables and analysis (described in Section 3.2), in PDF format.

**Note:** This is an individual project. You are not allowed to submit work that has been pulled from the Internet, nor work that has been done by your peers. Your submitted materials will be analyzed for plagiarism. Project 1 will be evaluated out of 50 points:

## 5 Grading

Data file containing results    15 points

Table with ratios                15 points

Analysis                          20 points

Requirements for each portion of the grade are described in Sections 3.1 and 3.2.