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## **COGNITIVE PRAGMATICS**

### **Lecture 1:**

Two Models of Linguistic Communication: The Code Model and Inferentialism;  
Coding and Mindreading as Cognitive Skills

*What is pragmatics?*

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the *context-dependent aspects* of meaning in communication;  
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→ **indexical reference assignment**

→ **disambiguation**

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B: I have an exam tomorrow.

(3) A: How about going to the cinema tonight?

B: What a lovely weather we are having today.

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          B: I have an exam tomorrow.  
           $\Rightarrow$  B is not going to the cinema tonight.  
           $\rightarrow$  B *rejects* A's *invitation*. [*invitation* and *rejection* as conv. moves]
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→ implied meanings (implicatures)

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→ presuppositions (*background implications*)

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Unlike implicatures, presuppositions survive embedding under negation.

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- indexical reference;
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- implicatures;
- presuppositions;
- conversational moves;
- ...



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This is the branch of linguistics and/or cognitive science that studies *discourse* and *cognitive mechanisms*

underlying the interpretation of context-dependent aspects of meaning, including

- indexical reference,
- disambiguated meanings,
- implicatures,
- presuppositions,
- conversational move,
- ...

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(Bell 1997; Oswald 2022)

(6) Captain:                      The first mate was drunk all day.

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(8) A: Tom is your former student, isn't he?  
Do you think he is a good candidate??

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and his attendance at seminars was regular.



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→ *insinuation or off-record communication motivated by politeness?*

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*Back-door speech acts* (Langton 2018)

*Difficult Exam*

(9) A: Was the exam difficult?

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*Private Sector* (Fraser 2001)

(10) BB: Let me explain to you, Al, how the private sector works, O.K.?

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[!] → ‘Hey, wait a minute’ Test

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Wharton 2003: 464-465

- $\text{code}_1$  = a **(cognitive) system** which pairs a signal with a message, enabling two information-processing systems [ $\rightarrow$  the *sender* and the *receiver*] to communicate, i.e., to exchange messages;
- $\text{code}_2$  = a **collection of rules**, regulations or conventions of the form '*E* means *M*'.

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A MESSAGE IN THE SENDER'S DATA STORE

|  
[encoding]

↓  
A SIGNAL

|  
[decoding]

↓  
A MESSAGE IN THE RECEIVER'S DATA STORE

## *Shannon-Weaver (1949) model of communication*

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<i>An information source</i>	— where the message originates;
<i>a transmitter (encoder)</i>	— which converts the message into a signal;
<i>a communication channel</i>	— the medium through which the signal travels;
<i>receiver (decoder)</i>	— which converts the signal back into the message;
<i>information destination</i>	— the intended recipient of the message;
 <i>noise source</i>	 — potential disturbances that can distort the signal.

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translating a message into a signal → *encoding*

translating the signal back into the message → *decoding*

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- Vervet monkeys' alarm calls;
  - tigers' scratches;
  - peacocks' tails.

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<b>what the signaller perceives</b>		<b><i>signal</i></b>		<b>predator avoidance behaviour</b>
a leopard	→	<b><i>L_!!!</i></b>	→	running into treetops
an eagle	→	<b><i>E_!!!</i></b>	→	looking up
a snake	→	<b><i>S_!!!</i></b>	→	looking down

These calls are *pushmi-pullyu representations* (Millikan 1995); they are *functionally referential*.

## *Vervet monkeys and their alarm calls*

Sound  $L_{!!!}$  of loudness  $X$  at location  $P_1$  at time  $T_1$

*signals*

the presence of a **leopard** of size  $Y$  at location  $P_2$  at time  $T_2$

## *Tigers and their scratches*

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By marking the tree, it defines its territory boundaries to warn other tigers about its presence and avoid conflicts with potential competitors.

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→ The newcomer stands on its hind legs and reaches for the marks left on the trunk by the host.

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→ The tiger's scratch marks are *indices*: signals difficult to fake because of physical limitations on the organism (Green 2009).

## *Peacocks and their tails*

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The size of a peacock's tail serves as a reliable indicator of its fitness; a long tail gives its possessor an advantage in sexual selection.

### *Stability of this system?*

→ Peacocks' tails are *handicaps*: signals difficult to fake because of being costly to produce and maintain (Green 2009).

### *In summary*

- The code model has impressive descriptive and explanatory power;
- however, it has certain limits.

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B: I have to pick up my *independent* sister from the airport.



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- (12) A: How about going to the cinema together tomorrow?  
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$\Rightarrow_1$  B will not go to the cinema with A tomorrow.

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- (12) A: How about going to the cinema together tomorrow?  
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$\Rightarrow_2$  B has to pick up B's independent sister from the airport tomorrow.

$\Rightarrow_1$  B will not go to the cinema with A tomorrow.

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- (12) A: How about going to the cinema together tomorrow?  
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[*move*] B *declines* A's invitation.

$\Rightarrow_2$  B has to pick up B's independent sister from the airport **tomorrow**.

$\Rightarrow_1$  **B will not go to the cinema with A tomorrow.**

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$>>$  B has a sister.

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[*irony*] B's sister is not independent. ( $\Rightarrow$  ?)  
B jest disappointed in B's sister. [ $\rightarrow$  expression]

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- The code model fails to account for the *richness of communicated meaning* (Origgi & Sperber 2000),  
i.e., the fact that the number of context-specific meanings that can be communicated by a linguistic form is vast and potentially unlimited.

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i.e., the fact that the number of context-specific meanings that can be communicated by a linguistic form is vast and potentially unlimited.
- These meanings are constituted by the specific intentions of speakers and are inferentially recognized by hearers.
- Human communication consists of *forming, expressing* (→ by speakers), and *inferentially recognizing* (→ by hearers) complex communicative intentions.
- Verbal comprehension involves a combination of *coding* and *mindreading* (Wilson & Sperber 2012).

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→ Overt intentions, i.e., intentions whose fulfilment requires their recognition.  
(Grice 1957; Bach & Harnish 1979; Wilson and Sperber 2012)

*What are communicative intentions?*

“ $S$  means something by utterance  $U$ ”

is equivalent to

“ $S$  intends utterance  $U$  to produce some response  $R$  on the part of  $H$   
by means of getting  $H$  to recognize *this intention*.”

→ Meaning-constituting intentions are *reflexive*.

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In uttering  $U$ ,  $S$  means something if and only if  $S$  intends:

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*Santa Claus* (McGowan 2024)

Within hearing distance of their daughter Nora,  $T$  says to his partner  $U$ :

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*Two Ways to Get a Driver to Stop and Pull Over*

- Standing on the shoulder of the road and waving;
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In uttering  $U$ ,  $S$  **means something** if and only if  $S$ :

- intends ( $i_1$ ) to produce by uttering  $U$  a certain **response  $R$**  on the part of  $H$ ,
- intends ( $i_2$ ) to get  $H$  to recognize ( $i_1$ ),
- intends ( $i_3$ ) that the fulfilment of ( $i_2$ ) function as  $H$ 's reason for his response  $R$ .

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- intends ( $i_1$ ) to produce by uttering  $U$  a certain **response  $R$**  on the part of  $H$ ,
- intends ( $i_2$ ) to get  $H$  to recognize ( $i_1$ ),
- intends ( $i_3$ ) that the fulfilment of ( $i_2$ ) function as  $H$ 's reason for his response  $R$ .

[?]      *What is the role of language in communication?*

*In summary*

## **Girce's model of communication**

- Most human communication is intentional and inferential;
- it consists of forming, expressing ( $\rightarrow S$ ), and inferentially recognizing ( $\rightarrow H$ ) *overt intentions*.

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[?] *What is the role of language in communication?*

- Language conventions ( $\rightarrow \text{code}_2$ ) facilitate communication but are not necessary for it;
- they help us solve **coordination problems** characteristic of communication.

## *What are coordination problems?*

- A **coordination problem** occurs when two or more people need to choose the same action or strategy to achieve the best possible outcome, but they have multiple options to choose from.
- The **challenge** is that they must *somehow* agree on which option to pick, even though there's no obvious way to *communicate* or *guarantee* they'll make the same choice.

## *Bert and Ernie in the Shopping Mall*

→ Bert and Ernie lost contact with each other in the shopping mall and want to find each other, that is, meet at the same location.

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<b>B</b> <b>E</b>	<i>car</i>	<i>bookshop</i>	<i>ice-cream shop</i>
<i>car</i>	1 1	0 0	0 0
<i>bookshop</i>	0 0	1 1	0 0
<i>ice-cream shop</i>	0 0	0 0	1 1



## *Lewis Signalling Game*

- Two players ( $\rightarrow$  the *sender* and the *receiver*) must coordinate their actions based on signals sent by the sender.
- The sender chooses a signal to convey a message, and the receiver must interpret it to take the correct action.

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- The **goal** is for both players to achieve a shared understanding and successfully coordinate their behaviour. (→ **a game of perfect common interest**)

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## *Red and Blue Flags*

- Two possible states of the world:
  - the enemy is coming from the land,
  - the enemy is coming from the sea.
- Two corresponding actions:
  - arranging the defence of the wall,
  - arranging the defence of the waterfront.

## *Red and Blue Flags*

<b>S</b>  <b>R</b>	<i>land—red</i> <i>sea—blue</i>	<i>land—blue</i> <i>sea—red</i>
	<b>1</b>	<b>0</b>
<i>red—wall</i> <i>blue—water</i>	<b>1</b>	<b>0</b>
<i>red—water</i> <i>blue—wall</i>	<b>0</b>	<b>1</b>

## *Recall*

- A coordination problem often occur when there are multiple possible equilibria, and players must coordinate on which equilibrium to choose.

## *Conventions by Lewis*

A **convention** is a regularity in behaviour that satisfies the following conditions:

- **Mutual benefit:** The regularity is beneficial to the participants *because* it helps them coordinate their actions effectively.
- **Common knowledge:** The participants know that the regularity exists, and they know that the others know, and so on.
- **Expectation:** Each participant follows the regularity *because* they expect others to do so.

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## *Digression*

common knowledge	<i>versus</i>	shared individual knowledge
mutually shared beliefs	<i>versus</i>	merely shared beliefs
↑ <i>common ground</i>		

## *Gricean communication as a signalling game*

→ Communication consists of forming, expressing (→ signalling), and recognizing complex intentions.

what is signalled: the speaker's intention

the correct action: the hearer's representation of the speaker's intention

## *Gricean communication as a signalling game*

(12) A: How about going to the cinema together tomorrow?

B: I have to pick up my *independent* sister from the airport.

[*irony*] B's sister is not independent. ( $\Rightarrow$  ?)  
B jest disappointed in B's sister. [ $\rightarrow$  expression]

[*move*] B *declines* A's invitation.

$\Rightarrow_2$  B has to pick up B's independent sister from the airport **tomorrow**.

$\Rightarrow_1$  **B will not go to the cinema with A tomorrow.**

[*coded*] B has to pick up B's independent sister from the airport.

$>>$  B has a sister.



## *Gricean communication as a signalling game*

(13) Open the window!

## *Gricean communication as a signalling game*

(13) Open the window!

(13') *I suggest you to* open the window.

(13'') *I allow you to* open the window.

*In summary*

- Verbal comprehension involves a combination of *coding* and *mindreading* (Wilson & Sperber 2012).

*How to test one's ability to read other minds?*

*How to test one's ability to read other minds?*

→ Sally-Annie as a False-Belief Test

**The Scenario:**

- The child is shown a story with two characters, typically named **Sally** and **Annie**.
- Sally has a basket, and Annie has a box.
- Sally places a marble in her basket and then leaves the room.

**The Deception:**

- Annie takes the marble from Sally's basket and places it in her box.

**The Key Question:**

- *When Sally returns, where will she look for the marble?*