# 14. Threads and Processes - Python in a Nutshell, 3rd Edition

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### **Chapter 14. Threads and Processes**

A *thread* is a flow of control that shares global state (memory) with other threads; all threads appear to execute simultaneously, although they are usually "taking turns" on a single processor/core. Threads are not easy to master, and multithreaded programs are often hard to test, and to debug; however, as covered in "Use Threading, Multiprocessing, or async Programming?", when used appropriately, multithreading may sometimes improve program performance in comparison to traditional "single-threaded" programming. This chapter covers the facilities that Python provides for dealing with threads, including the threading, queue, and concurrent modules.

A *process* is an instance of a running program. The operating system protects processes from one another. Processes that want to communicate must explicitly arrange to do so via *inter-process communication* (IPC) mechanisms. Processes may communicate via files (covered in Chapter 10) and databases (covered in Chapter 11). The general way in which processes communicate using data storage mechanisms, such as files and databases, is that one process writes data, and another process later reads that data back. This chapter covers the Python standard library modules <a href="mailto:subprocess">subprocess</a> and <a href="mailto:multiprocessing">multiprocessing</a>; the process-related parts of the module os, including simple IPC by means of <a href="mailto:pipes">pipes</a>; and a cross-platform IPC mechanism known as <a href="mailto:memory-mapped files">memory-mapped files</a>, which is supplied to Python programs by the module <a href="mailto:mmap">mmap</a>.

Network mechanisms are well suited for IPC, as they work between processes that run on different nodes of a network, not just between ones that run on the same node. multiprocessing supplies some mechanisms that are suitable for IPC over a network; Chapter 17 covers low-level network mechanisms that provide a basis for IPC. Other, higher-level mechanisms, known as distributed computing, such as CORBA, DCOM/COM+, EJB, SOAP, XML-RPC, and .NET, can make IPC easier, whether locally or remotely. We do not cover distributed computing in this book.

# Threads in Python

Python offers multithreading on platforms that support threads, such as Win32, Linux, and other variants of Unix. An action is known as *atomic* when it's guaranteed that no thread switching occurs between the start and the end of the action. In practice, in CPython, operations that *look* atomic (e.g., simple assignments and accesses) mostly *are* atomic, when executed on built-in types (augmented and multiple assignments, however, aren't atomic). Mostly, though, it's *not* a good idea to rely on atomicity. You might be dealing with an instance of a user-coded class rather than of a built-in type, so there might be implicit calls to Python code, making assumptions of atomicity unwarranted. Relying on implementation-dependent atomicity may lock your code into a specific implementation, hampering future upgrades. You're better off using the synchronization facilities covered in the rest of this chapter, rather than relying on atomicity assumptions.

Python offers multithreading in two flavors. An older and lower-level module, \_\_thread (named thread in v2), has low-level functionality and is not recommended for direct use in your code; we do not cover \_\_thread in this book. The higher-level module threading, built on top of \_\_thread, is the recommended one. The key design issue in multithreading systems is how best to coordinate multiple threads. threading supplies several synchronization objects. Alternatively, the queue module is very useful for thread synchronization, as it supplies synchronized, thread-safe queue types, handy for communication and coordination between threads. The package concurrent, covered after multiprocessing, supplies a unified interface for communication and coordination that can be

implemented by pools of either threads or processes.

### The threading Module

The threading module supplies multithreading functionality. The approach of threading is similar to Java's, but locks and conditions are modeled as separate objects (in Java, such functionality is part of every object), and threads cannot be directly controlled from the outside (thus, no priorities, groups, destruction, or stopping). All methods of objects supplied by threading are atomic.

threading supplies classes dealing with threads: Thread, Condition, Event, Lock, RLock, Semaphore, BoundedSemaphore, and Timer (and, in v3 only, Barrier). threading also supplies functions, including:

active\_count()

Returns an int, the number of Thread objects currently alive (not ones that have terminated or not yet started).

current thread current thread()

Returns a Thread object for the calling thread. If the calling thread was not created by threading, current\_thread creates and returns a semi-dummy Thread object with limited functionality.

enumerate enumerate()

Returns a list of all Thread objects currently alive (not ones that have terminated or not yet started).

stack\_size
stack\_size([size])

Returns the stack size, in bytes, used for new threads; 0 means "the system's default." When you pass size, that's what going to be used for new threads created afterward (on platforms that allow setting threads' stack size); acceptable values for size are subject to platform-specific constraints, such as being at least 32768 (or an even higher minimum, on some platforms) and (on some platforms) being a multiple of 4096. Passing size as 0 is always acceptable and means "use the system's default." When you pass a value for size that is not acceptable on the current platform, stack\_size raises a ValueError exception.

### **Thread Objects**

A Thread instance t models a thread. You can pass a function to be used as t's main function as an argument when you create t, or you can subclass Thread and override the run method (you may also override \_\_init\_\_but should not override other methods). t is not yet ready to run when you create it; to make t ready (active), call t.start(). Once t is active, it terminates when its main function ends, either normally or by propagating an exception. A Thread t can be a daemon, meaning that Python can terminate even if t is still active, while a normal (nondaemon) thread keeps Python alive until the thread terminates. The Thread class supplies the following constructor, properties, and methods:

#### Thread

```
class Thread(name=None, target=None, args=(), kwargs={})
```

Always call Thread with named arguments: the number and order of parameters may change in the future, but the parameter names are guaranteed to stay. When you instantiate the class Thread itself, pass target: t.run calls target (\*args, \*\*kwargs). When you extend Thread and override run , don't pass target. In either case, execution doesn't begin until you call t.start(). name is t's name. If name is None, Thread generates a unique name for t. If a subclass T of Thread overrides init , T. init must call Thread. init on self before any other Thread method.

#### daemon t.daemon

daemon is a property, True when t is a daemon (i.e., the process can terminate even when t is still active; such a termination also ends t); otherwise, daemon is False. Initially, t is a daemon if and only if the thread that creates t is a daemon. You can assign to t.daemon only before t.start; assigning to t.daemon sets t to be a daemon if, and only if, you assign a true value.

### is alive

t.is alive()

Returns True when t is active (i.e., when t.start has executed and t.run has not yet terminated). Otherwise, is alive returns False.

#### name

t.name

name is a property returning t's name; assigning name rebinds t's name (name exists to help you debug; name need not be unique among threads).

### join

t.join(timeout=None)

Suspends the calling thread (which must not be t) until t terminates (when t is already terminated, the calling thread does not suspend). timeout is covered in "Timeout parameters". You can call t.join only after t.start. It's OK to call join more than once.

### run

t.run()

run is the method that executes t's main function. Subclasses of Thread can override run. Unless overridden, run calls the target callable passed on t's creation. Do not call t.run directly; calling t .run is the job of t.start!

### start

t.start()

start makes t active and arranges for t.run to execute in a separate thread. You must call t .start only once for any given thread object t; if you call it again, it raises an exception.

### **Thread Synchronization Objects**

The threading module supplies several synchronization primitives, types that let threads communicate and coordinate. Each primitive type has specialized uses.

# You may not need thread synchronization primitives

As long as you avoid having nonqueue global variables that change, and which several threads access, queue (covered in "The queue Module") can often provide all the coordination you need, and so can concurrent (covered in "The concurrent.futures Module"). "Threaded Program Architecture" shows how to use Oueue objects to give your multithreaded programs simple and effective architectures, often without needing any explicit use of

synchronization primitives.

### **Timeout parameters**

The synchronization primitives Condition and Event supply wait methods that accept an optional timeout argument. A Thread object's join method also accepts an optional timeout argument, as do the acquire methods of locks. A timeout argument can be None (the default) to obtain normal blocking behavior (the calling thread suspends and waits until the desired condition is met). When it is not None, a timeout argument is a floating-point value that indicates an interval of time in seconds (timeout can have a fractional part, so it can indicate any time interval, even a very short one). If timeout seconds elapse, the calling thread becomes ready again, even if the desired condition has not been met; in this case, the waiting method returns False (otherwise, the method returns True). timeout lets you design systems that are able to overcome occasional anomalies in a few threads, and thus are more robust. However, using timeout may slow your program down: when that matters, be sure to measure your code's speed accurately.

### Lock and RLock objects

Lock and RLock objects supply the same three methods. Here are the signatures and the semantics for an instance L of Lock:

### acquire L.acquire(blocking=True)

When blocking is True, acquire locks L. When L is already locked, the calling thread suspends and waits until L is unlocked, then locks L. Even when the calling thread was the one that last locked L, it still suspends and waits until another thread releases L. When blocking is False and L is unlocked, acquire locks L and returns True. When blocking is False and L is locked, acquire does not affect L and returns False.

### locked L.locked()

Returns True when L is locked; otherwise, returns False.

### release L.release()

Unlocks L, which must be locked. When L is locked, any thread may call L.release, not just the thread that locked L. When more than one thread is blocked on L (i.e., has called L.acquire, found L locked, and is waiting for L to be unlocked), release wakes up an arbitrary waiting thread. The thread calling release does not suspend: it stays ready and continues to execute.

The semantics of an RLock object r are often more convenient (except in peculiar architectures where you need other threads to be able to release locks that a different thread has acquired). RLock is a re-entrant lock, meaning that, when r is locked, it keeps track of the owning thread (i.e., the thread that locked it—which, for an RLock, is also the only thread that can release it). The owning thread can call r.acquire again without blocking; r then just increments an internal count. In a similar situation involving a Lock object, the thread would block until some other thread releases the lock. For example, consider the following code snippet:

```
lock = threading.RLock()
global_state = []
def recursive_function(some, args):
    with lock:
# acquires lock, guarantees release at
end
    ...modify global_state...
    if more_changes_needed(global_state):
        recursive_function(other, args)
```

If lock was an instance of threading.Lock, recursive\_function would block its calling thread when it calls itself recursively: the with statement, finding that the lock has already been acquired (even though that was done by the same thread), would block and wait...and wait... With a threading.RLock, no such problem occurs: in this case, since the lock has already been acquired by the same thread, on getting acquired again it just increments its internal count and proceeds.

An RLock object r is unlocked only when it's been released as many times as it has been acquired. An RLock is useful to ensure exclusive access to an object when the object's methods call each other; each method can acquire at the start, and release at the end, the same RLock instance. try/finally (covered in "try/finally") is one way to ensure that a lock is indeed released. A with statement, covered in "The with Statement", is usually better: all locks, conditions, and semaphores are context managers, so an instance of any of these types can be used directly in a with clause to acquire it (implicitly with blocking) and ensure it's released at the end of the with block.

### **Condition objects**

A Condition object c wraps a Lock or RLock object L. The class Condition exposes the following constructor and methods:

### Condition class Condition(lock=None)

Creates and returns a new Condition object c with the lock L set to lock. If lock is None, L is set to a newly created RLock object.

# acquire, release

```
c.acquire(blocking=1) c.release()
```

These methods just call L's corresponding methods. A thread must never call any other method on c unless the thread holds lock L.

### notify, notify\_all

```
c.notify() c.notify all()
```

notify wakes up one of the threads waiting on c. The calling thread must hold L before it calls c .notify(), and notify does not release L. The woken-up thread does not become ready until it can acquire L again. Therefore, the calling thread normally calls release after calling notify. notify\_all is like notify but wakes up all waiting threads, not just one.

### wait

```
c.wait(timeout=None)
```

wait releases L, and then suspends the calling thread until some other thread calls notify or notify\_all on c. The calling thread must hold L before it calls c.wait().timeout is covered in "Timeout parameters". After a thread wakes up, either by notification or timeout, the thread becomes ready when it acquires L again. When wait returns True (meaning it has exited normally, not by timeout), the calling thread always holds L again.

Usually, a Condition object c regulates access to some global state s shared among threads. When a thread must wait for s to change, the thread loops:

Meanwhile, each thread that modifies s calls notify (or notify\_all if it needs to wake up all waiting threads, not just one) each time s changes:

```
with c: do_something_that_modifies_state(s) c.notify() # or, c.notify_all()
# no need to call c.release(), exiting `with` intrinsically
does
```

You always need to acquire and release c around each use of c's methods: doing so via a with statement makes using Condition instances less error-prone.

### **Event objects**

Event objects let any number of threads suspend and wait. All threads waiting on <a href="Event">Event</a> object e become ready when any other thread calls <a href="e.set">e.set</a>(). e has a flag that records whether the event happened; it is initially <a href="False">False</a> when <a href="eiscreated">e.set</a>(). e has a flag that records whether the event happened; it is initially <a href="False">False</a> when <a href="eiscreated">e.set</a> (). e has a flag that records whether the event happened; it is initially <a href="False">False</a> when <a href="eiscreated">e.set</a> () is error-prone. The <a href="Event">Event</a> changes, but brittle for more general use; in particular, relying on calls to <a href="e.clear">e.clear</a> () is error-prone. The <a href="Event">Event</a> class exposes the following methods:

### Semaphore objects

Semaphores (also known as counting semaphores) are a generalization of locks. The state of a Lock can be seen as True or False; the state of a Semaphore s is a number between 0 and some n set when s is created (both bounds included). Semaphores can be useful to manage a fixed pool of resources (e.g., 4 printers or 20 sockets), although it's often more robust to use Queues for such purposes. The class BoundedSemaphore is very similar, but raises ValueError if the state ever becomes higher than the initial value: in many cases, such behavior can be a

useful indicator of a coding bug.

# Semaphore BoundedSemaphore

```
class Semaphore(n=1) class BoundedSemaphore(n=1)
```

Semaphore creates and returns a semaphore object s with the state set to n;

BoundedSemaphore is very similar, except that s.release() raises ValueError if
the state becomes higher than n. A semaphore object s exposes the following methods:

### acquire s.acquire(blocking=True)

When s's state is >0, acquire decrements the state by 1 and returns True . When s's state is 0 and blocking is True, acquire suspends the calling thread and waits until some other thread calls s.release. When s's state is 0 and blocking is False, acquire immediately returns False.

### release s.release()

When s's state is >0, or when the state is 0 but no thread is waiting on s, release increments the state by 1. When s's state is 0 and some threads are waiting on s, release leaves s's state at 0 and wakes up an arbitrary one of the waiting threads. The thread that calls release does not suspend; it remains ready and continues to execute normally.

### **Timer objects**

A <u>Timer</u> object calls a specified callable, in a newly made thread, after a given delay. The class <u>Timer</u> exposes the following constructor and methods:

```
Timer class Timer(interval, callable, args=(), kwargs=
{})
```

Makes an object t, which calls <u>callable</u>, <u>interval</u> seconds after starting (<u>interval</u> is a floating-point number and can include a fractional part).

### cancel cancel()

t.cancel() stops the timer and cancels the execution of its action, as long as t is still waiting (hasn't called its callable yet) when you call cancel.

```
start ()
```

```
t.start() starts t.
```

Timer extends Thread and adds the attributes function, interval, args, and kwargs.

A <u>Timer</u> is "one-shot"—t calls its callable only once. To call <u>callable</u> periodically, every <u>interval</u> seconds, here's a simple recipe:

```
class Periodic(threading.Timer):
 def init (self, interval, callable, args=(), kwargs={}):
   self.callable = callable
   threading. Timer. init (self, interval, self. f, args, kwargs
)
 def f(self, *args, **kwargs):
    Periodic(self.interval, self.callable, args, kwargs).start()
   self.callable(*args, **kwargs)
```

### Barrier objects (v3 only)

A Barrier is a synchronization primitive allowing a certain number of threads to wait until they've all reached a certain point in their execution, before all of them resume. Specifically, when a thread calls b.wait(), it blocks until the specified number of threads have done the same call on b; at that time, all the threads blocked on b are released.

The class Barrier exposes the following constructor, methods, and properties:

#### Barrier

```
class Barrier (num threads, action=None,
timeout=None)
```

action is callable without arguments: if you pass this argument, it executes on any single one of the blocked threads when they are all unblocked. timeout is covered in "Timeout parameters".

#### abort abort()

b. abort () puts Barrier b in the broken state, meaning that any thread currently waiting resumes with a threading. BrokenBarrierException (the same exception also gets raised on any subsequent call to b.wait()). This is an emergency action typically used when a waiting thread is suffering some abnormal termination, to avoid deadlocking the whole program.

### broken

broken

True when b is in the broken state; otherwise, False.

n\_waiting n waiting

Number of threads currently waiting on b.

### parties

parties

The value passed as num threads in the constructor of b.

#### reset

reset()

Returns b to the initial, empty, nonbroken state; any thread currently waiting on b, however, resumes with a threading.BrokenBarrierException.

```
wait wait()
```

The first b.parties-1 threads calling b.wait() block; when the number of threads blocked on b is b.parties-1 and one more thread calls b.wait(), all the threads blocked on b resume. b.wait() returns an int to each resuming thread, all distinct and in range(b.parties), in unspecified order; threads can use this return value to determine which one should do what next (though passing action in the Barrier's constructor is simpler and often sufficient).

threading.Barrier exists only in v3; in v2, you could implement it yourself, as shown, for example, on Stack Overflow.

### **Thread Local Storage**

The threading module supplies the class local, which a thread can use to obtain thread-local storage (TLS), also known as per-thread data. An instance L of local has arbitrary named attributes that you can set and get, and stores them in a dictionary L. \_\_dict\_\_ that you can also access. L is fully thread-safe, meaning there is no problem if multiple threads simultaneously set and get attributes on L. Most important, each thread that accesses L sees a disjoint set of attributes, and any changes made in one thread have no effect in other threads. For example:

```
in main thread, setting zop to
import threadingL = threading.local()print('42
                                                                               ') L.zop =
                       in subthread, setting zop to
                                                       ') L.zop = 23 print('
42def targ(): print('23
in subthread, zop is
                         ', L.zop)t = threading.Thread(target=targ)t.start()t.join()
now
       in main thread, zop is
                                                      in main thread, setting zop to
                                  ', L.zop)prints: # 42
print('now
  in subthread, setting zop to
                                   in subthread, zop is now
                                 # 23
in main thread, zop is now
42
```

TLS makes it easier to write code meant to run in multiple threads, since you can use the same namespace (an instance of threading.local) in multiple threads without the separate threads interfering with each other.

### The queue Module

The queue module (named Queue in v2) supplies queue types supporting multithread access, with one main class, two subclasses, and two exception classes:

#### Queue

```
class
Queue( maxsize=0)
```

Queue, the main class for module queue, implements a *First-In, First-Out* (*FIFO*) queue (the item retrieved each time is the one that was added earliest), and is covered in "Methods of Queue Instances".

When maxsize is >0, the new Queue instance q is considered full when q has maxsize items. A thread inserting an item with the block option, when q is full, suspends until another thread extracts an item. When maxsize is <=0, q is never considered full, and is limited in size only by available memory, like normal Python containers.

**LifoQueue** class LifoQueue (maxsize=0)

LifoQueue is a subclass of Queue; the only difference is that LifoQueue is Last-In, First-Out (LIFO), which means the item retrieved each time is the one that was added most recently.

PriorityQueue class

PriorityQueue is a subclass of Queue; the only difference is that PriorityQueue implements a *priority* queue—the item retrieved each time is the smallest one currently in the queue. As there's no *key*= argument, you generally use, as queue items, pairs (*priority*, *payload*), with low values of *priority* meaning earlier retrieval.

**Empty** is the exception that q.get (False) raises when q is empty.

Full is the exception that q.put (x, False) raises when q is full.

### **Methods of Queue Instances**

An instance q of the class Queue (or either of its subclasses) supplies the following methods, all thread-safe and guaranteed to be atomic:

empty q.empty()

Returns True if q is empty; otherwise, False.

full q.full()

Returns True if g is full; otherwise, False.

get, get nowait q.get(block=True, timeout=None)

When block is False, get removes and returns an item from q if one is available; otherwise, get raises Empty. When block is True and timeout is None, get removes and returns an item from q, suspending the calling thread, if need be, until an item is available. When block is True and timeout is not None, timeout must be a number >=0 (which may include a fractional part to specify a fraction of a second), and get waits for no longer than timeout seconds (if no item is yet available by then, get raises Empty). q.get\_nowait() is like q.get(False), which is also like q.get(timeout=0.0). get removes and returns items in the same order as put inserted them (FIFO), if q is a direct instance of Queue itself; LIFO, if q is an instance of LifoQueue; smallest-first, if q is an instance of PriorityQueue.

put, put nowait q.put(item,block=True,timeout=None)

When block is False, put adds item to q if q is not full; otherwise, put raises Full. When block is True and timeout is None, put adds item to q, suspending the calling thread, if need be, until q is not full. When block is True and timeout is not None, timeout must be a number >=0 (which may include a fractional part to specify a fraction of a second), and put waits for no longer than timeout seconds (if q is still full by then, put raises Full). q.put\_nowait(item) is like q.put(item, False), also like q.put(item, timeout=0.0).

qsize q.qsize()

Returns the number of items that are currently in q.

Moreover, q maintains an internal, hidden count of *unfinished tasks*, which starts at zero. Each call to get increments the count by one. To decrement the count by one, when a worker thread has finished processing a task, it calls  $q.task\_done()$ . To synchronize on "all tasks done," call q.join(): join continues the calling thread when the count of unfinished tasks is zero; when the count is nonzero, q.join() blocks the calling thread, and unblocks later, when the count goes to zero.

You don't have to use join and task\_done if you prefer to coordinate threads in other ways, but join and task done do provide a simple, useful approach to coordinate systems of threads using a Queue.

Queue offers a good example of the idiom "It's easier to ask forgiveness than permission" (EAFP), covered in "Error-Checking Strategies". Due to multithreading, each nonmutating method of q (empty, full, qsize) can only be advisory. When some other thread executes and mutates q, things can change between the instant a thread gets the information from a nonmutating method and the very next moment, when the thread acts on the information. Relying on the "look before you leap" (LBYL) idiom is therefore futile, and fiddling with locks to try to fix things is a substantial waste of effort. Just avoid fragile LBYL code, such as:

```
no work to
if q.empty(): print('perform ')else: x = q.get nowait() work on(x)
```

and instead use the simpler and more robust EAFP approach:

```
no work to try: x = q.get_nowait()except queue.Empty: print('perform ')else: work on(x)
```

# The multiprocessing Module

The multiprocessing module supplies functions and classes you can use to code pretty much as you would for multithreading, but distributing work across processes, rather than across threads: the class Process (similar to threading. Thread) and classes for synchronization primitives (BoundedSemaphore, Condition, Event, Lock, RLock, Semaphore, and, in v3 only, Barrier—each similar to the class with the same names in module threading; also, Queue, and JoinableQueue, both similar to queue.Queue). These classes make it easy to take code written to use threading, and make a version that uses multiprocessing instead; you just need to pay attention to the differences we cover in "Differences Between Multiprocessing and Threading".

It's usually best to avoid sharing state among processes: use queues, instead, to explicitly pass messages among them. However, for those occasions in which you do need to share some state, multiprocessing supplies classes to access shared memory (Value and Array), and—more flexibly (including coordination among different computers on a network) though with more overhead—a Process subclass, Manager, designed to hold arbitrary data and let other processes manipulate that data via proxy objects. We cover state sharing in "Sharing State: Classes Value, Array, and Manager".

When you're writing new multiprocessing code, rather than porting code originally written to use threading, you can often use different approaches supplied by multiprocessing. The Pool class, in particular, can often simplify your code. We cover Pool in "Multiprocessing Pool".

Other advanced approaches, based on Connection objects built by the Pipe factory function or wrapped in Client and Listener objects, are, on the other hand, more flexible, but potentially more complex; we do not cover them further in this book. For more thorough coverage of multiprocessing, refer to the online docs and to good third-party online tutorials.

### **Differences Between Multiprocessing and Threading**

You can port code written to use threading into a variant using multiprocessing instead—however, there are differences you must consider.

### Structural differences

All objects that you exchange between processes (for example, via a queue, or an argument to a Process's target function) are serialized via pickle, covered in "The pickle and cPickle Modules". Therefore, you can exchange only objects that can be thus serialized. Moreover, the serialized bytestring cannot exceed about 32 MB (depending on the platform), or else an exception gets raised; therefore, there are limits to the size of objects you can exchange.

Especially in Windows, child processes *must* be able to import as a module the main script that's spawning them. Therefore, be sure to guard all top-level code in the main script (meaning code that must not be executed again by

child processes) with the usual ' main ' idiom, covered in "The Main Program".

If a process is abruptly killed (for example, via a signal) while using a queue, or holding a synchronization primitive, it won't be able to perform proper cleanup on that queue or primitive. As a result, that queue or primitive may get corrupted, causing errors in all other processes trying to use it.

### The Process class

The class multiprocessing. Process is very similar to threading. Thread, but, in addition to all of Thread's attributes and methods, it supplies a few more:

### authkey authkey

The process's authorization key, a bytestring: initialized to random bytes supplied by <code>os.urandom()</code>, but you can reassign it later if you wish. Used in the authorization handshake for advanced uses we do not cover in this book.

#### exitcode exitcode

None when the process has not exited yet; otherwise, the process's exit code: an int, 0 for success, >0 for failure, <0 when the process was killed.

### pid pid

None when the process has not started yet; otherwise, the process's identifier as set by the operating system.

### terminate terminate()

Kills the process (without giving it a chance to execute termination code, such as cleanup of queues and synchronization primitives; beware of the likelihood of causing errors when the process is using a queue or holding a primitive).

### Differences in queues

The class multiprocessing. Queue is very similar to queue. Queue, except that an instance q of multiprocessing. Queue does not supply the methods join and task done. When methods of q raise

exceptions due to time-outs, they raise instances of queue. Empty or queue. Full. multiprocessing has no equivalents to queue's LifoQueue and PriorityQueue classes.

The class multiprocessing. JoinableQueue does supply the methods join and task\_done, but with a semantic difference compared to queue. Queue: with an instance q of multiprocessing. JoinableQueue, the process that calls q.get must call q.task\_done when it's done processing that unit of work (it's not optional, as it would be when using queue. Queue).

All objects you put in multiprocessing queues must be serializable by pickle. There may be a small delay between the time you execute q.put and the time the object is available from q.get. Lastly, remember that an abrupt exit (crash or signal) of a process using q may leave q unusable for any other process.

### Differences in synchronization primitives

In the multiprocessing module, the acquire method of the synchronization primitive classes

BoundedSemaphore, Lock, RLock, and Semaphore has the signature

acquire (block=True, timeout=None); timeout's semantics are covered in "Timeout parameters".

### Sharing State: Classes Value, Array, and Manager

To use shared memory to hold a single primitive value in common among two or more processes, multiprocessing supplies the class Value; for a fixed-length array of primitive values, the class Array. For more flexibility (including nonprimitive values, and "sharing" among different systems joined by a network but sharing no memory) at the cost of higher overhead, multiprocessing supplies the class Manager, which is a subclass of Process.

### The Value class

The constructor for the class Value has the signature:

typecode is a string defining the primitive type of the value, just like for module array, as covered in Table 15-3. (Alternatively, typecode can be a type from the module ctypes, mentioned in "ctypes", but this is rarely necessary.) args is passed on to the type's constructor: therefore, args is either absent (in which case the primitive is initialized as per its default, typically 0) or a single value, which is used to initialize the primitive.

When lock is True (the default), Value makes and uses a new lock to guard the instance. Alternatively, you can pass as lock an existing Lock or RLock instance. You can even pass lock=False, but that is rarely advisable: when you do, the instance is not guarded (thus, it is not synchronized among processes) and is missing the method get\_lock. If you do pass lock, you *must* pass it as a named argument, using lock=something.

An instance v of the class Value supplies the following attributes and methods:

```
get_lock get_lock()
```

Returns (but neither acquires nor releases) the lock guarding v.

### value value

A read/write attribute, used to set and get v's underlying primitive value.

To ensure atomicity of operations on v's underlying primitive value, guard the operation in a with  $v.get_lock()$ : statement. A typical example of such usage might be for augmented assignment, as in:

```
with v.get_lock():
    v.value += 1
```

If any other process does an unguarded operation on that same primitive value, however, even an atomic one such v.value = 0 as a simple assignment like x , "all bets are off": the guarded operation and the unguarded one can get your system into a race condition. Play it safe: if any operation at all on v.value is not atomic (and thus needs to be guarded by being within a with  $v.get_lock()$ : block), guard all operations on v.value by placing them within such blocks.

### The Array class

The constructor for the class Array has the signature:

```
Array Array(typecode, size_or_initializer, lock=True)
```

A fixed-length array of primitive values (all items being of the same primitive type).

typecode is a string defining the primitive type of the value, just like for the module array, as covered in Table 15-3. (Alternatively, typecode can be a type from the module ctypes, mentioned in "ctypes", but this is rarely necessary.) size\_or\_initializer can be an iterable, used to initialize the array; alternatively, it can be an integer, used as the length of the array (in this case, each item of the array is initialized to 0).

When lock is True (the default), Array makes and uses a new lock to guard the instance. Alternatively, you can pass as lock an existing Lock or RLock instance. You can even pass lock=False, but that is rarely advisable: when you do, the instance is not guarded (thus it is not synchronized among processes) and is missing the method get\_lock. If you do pass lock, you *must* pass it as a named argument, using lock=something.

An instance a of the class Array supplies the following method:

```
get lock get lock()
```

Returns (but neither acquires nor releases) the lock guarding a.

a is accessed by indexing and slicing, and modified by assigning to an indexing or to a slice. a is fixed-length: therefore, when you assign to a slice, you must assign an iterable of the same length as the slice you're assigning to. a is also iterable.

In the special case where a was built with typecode 'c', you can also access a.value to get a's contents as a bytestring, and you can assign to a.value any bytestring no longer than len(a). When s is a bytestring with

```
a.value = a[:len(s)+1] = len(s) < len(a), s means s+b' \setminus 0'; this mirrors the representation of char
```

strings in the C language, terminated with a 0 byte. For example:

```
four score and

a = multiprocessing.Array('c', b'seven ')a.value = b'five'print(a.value)

b'five\x00score and

# prints b'five'print(a[:]) # prints seven'
```

### The Manager class

multiprocessing. Manager is a subclass of multiprocessing. Process, with the same methods and attributes. In addition, it supplies methods to build an instance of any of the multiprocessing synchronization primitives, plus Queue, dict, list, and Namespace, the latter being a class that just lets you set and get arbitrary named attributes. Each of the methods has the name of the class whose instances it builds, and returns a *proxy* to such an instance, which any process can use to call methods (including special methods, such as indexing of instances of dict or list) on the instance held in the manager process.

Proxy objects pass most operators, and accesses to methods and attributes, on to the instance they proxy for; however, they don't pass on *comparison* operators—if you need a comparison, you need to take a local copy of the proxied object. For example:

```
p = some\_manager.list()p[:] = [1, 2, 3]print(p == [1, 2, 3]) # prints False, as it compares with pprint(list(p) == [1, 2, 3]) # prints True, as it compares with copy
```

The constructor of Manager takes no arguments. There are advanced ways to customize Manager subclasses to allow connections from unrelated processes (including ones on different computers connected via a network) and to supply a different set of building methods, but we do not cover them in this book. Rather, one simple, often-sufficient approach to using Manager is to explicitly transfer to other processes the proxies it produces, typically via queues, or as arguments to a Process's target function.

For example, suppose there's a long-running, CPU-bound function **f** that, given a string as an argument, eventually returns a corresponding result; given a **set** of strings, we want to produce a **dict** with the strings as keys and the corresponding results as values. To be able to follow on which processes **f** runs, we also **print** the process ID just before calling **f**. Here's one way to do it:

### Example 14-1.

```
import multiprocessing as mp
def f(s):
"""Run a long time, and eventually return a
result."""
    import time, random
                                   # simulate
    time.sleep(random.random()*2) slowness
                # some computation or
    return s+s other
def runner(s, d):
    print(os.getpid())
    d[s] = f(s)
def make dict(set of strings):
    mgr = mp.Manager()
    d = mgr.dict()
    workers = []
    for s in set of strings:
        p = mp.Process(target=runner, args=(s, d))
        p.start()
        workers.append(p)
    for p in workers:
        p.join()
    return dict(d)
```

### **Multiprocessing Pool**

In real life, beware of creating an unbounded number of worker processes, as we just did in <a href="Example 14-1">Example 14-1</a>. Performance benefits accrue only up to the number of cores in your machine (available by calling <a href="multiprocessing.cpu\_count">multiprocessing.cpu\_count</a> ()), or a number just below or just above this, depending on such minutiae as your platform and other load on your computer. Making more worker processes than such an optimal number incurs substantial extra overhead to no good purpose.

As a consequence, it's a common design pattern to start a *pool* with a limited number of worker processes, and farm out work to them. The class <u>multiprocessing.Pool</u> handles the orchestration of this design pattern on your behalf.

### The Pool class

The constructor for the class Pool has the signature:

```
Pool Pool (processes=None, initializer=None, initargs=(),
     maxtasksperchild=None)
```

Builds and returns an instance p of Pool. processes is the number of processes in the pool; it defaults to the value returned by cpu count (). When initializer is not None, it's a function, called at the start of each process in the pool, with initargs as arguments, like initializer(\*initargs).

When maxtasksperchild is not None, it's the maximum number of tasks executed in each process in the pool. When a process in the pool has executed that many tasks, it terminates, and a new process starts and joins the pool. When maxtasksperchild is None (the default), processes live as long as the pool.

An instance p of the class Pool supplies the following methods (all of them must be called only in the process that built instance p):

### apply

```
apply(func, args=(), kwds=
{ } )
```

func (\*args, In an arbitrary one of the worker processes, runs \*\*kwds) , waits for it to finish, and returns func's result.

### apply async

```
apply async(func, args=(), kwds={},
callback=None)
```

func(\*args, , and. In an arbitrary one of the worker processes, starts running \*\*kwds) without waiting for it to finish, immediately returns an AsyncResult (see "The AsyncResult class") instance, which eventually gives func's result, when that result is ready. When callback is not None, it's a function called (in a separate thread in the process that calls apply async), with func's result as the only argument, when that result is ready; callback should execute rapidly, or otherwise it blocks the process. callback may mutate its argument if that argument is mutable; callback's return value is irrelevant.

#### close

close()

No more tasks can be submitted to the pool. Worker processes terminate when they're done with all outstanding tasks.

### imap

```
imap(func, iterable, chunksize=1)
```

Returns an iterator calling func on each item of iterable, in order. chunksize determines how many consecutive items are sent to each process; on a very long iterable, a large chunksize can improve performance. When chunksize is 1 (the default), the returned iterator has a method next (even on v3, where the canonical name of the iterator's method is next ), which optionally accepts a timeout argument (a floating-point value in seconds), and raises multiprocessing. TimeoutError should the result not yet be ready after timeout seconds.

### imap unordered

```
imap unordered(func, iterable, chunksize=1)
```

Same as imap, but the ordering of the results is arbitrary (this can sometimes improve performance, when you don't care about the order the results are iterated on).

join	<pre>join()</pre>
	Waits for all worker processes to exit. You must call close or terminate before you call join.
тар	<pre>map(func, iterable, chunksize=1)</pre>
	Calls func on each item of iterable, in order, in worker processes in the pool; waits for them all to finish, and returns the list of results. chunksize determines how many consecutive items are sent to each process; on a very long iterable, a large chunksize can improve performance.
map_async	<pre>map_async(func, iterable, chunksize=1, callback=None)</pre>
	Arranges for func to be called on each item of iterable in worker processes in the pool; without waiting for any of this to finish, immediately returns an AsyncResult (see "The AsyncResult class") instance, which eventually gives the list of func's results, when that list is ready.
	When callback is not None, it's a function and gets called (in a separate thread in the process that calls map_async) with the list of func's results, in order, as the only argument, when that list is ready; callback should execute rapidly, or otherwise it blocks the process. callback may mutate its list argument; callback's return value is irrelevant.
terminate	terminate()
	Terminates all worker processes immediately, without waiting for them to complete work.

For example, here's a Pool-based approach to perform the same task as in Example 14-1:

```
import multiprocessing as mp
def f(s):
    """Run a long time, and eventually return a
    result."""
    import time, random
                                   # simulate
    time.sleep(random.random()*2) slowness
               # some computation or
    return s+s other
def runner(s):
    print(os.getpid())
    return s, f(s)
def make dict(set of strings):
    with mp.Pool() as pool:
        d = dict(pool.imap unordered(runner, set of strings
) )
        return d
```

### The AsyncResult class

The methods apply async and map async of the class Pool return an instance of the class AsyncResult. An

instance r of the class AsyncResult supplies the following methods:

### get get(timeout=None)

Blocks and returns the result when ready, or re-raises the exception raised while computing the result. When timeout is not None, it's a floating-point value in seconds; get raises multiprocessing. Timeout Error should the result not yet be ready after timeout seconds.

### ready ready()

Does not block; returns True if the result is ready; otherwise, returns False.

### successful successful()

Does not block: returns True if the result is ready and the computation did not raise an exception; returns False if the computation raised an exception. If the result is not yet ready, successful raises AssertionError.

### wait wait(timeout=None)

Blocks and waits until the result is ready. When timeout is not None, it's a float in seconds: wait raises multiprocessing. TimeoutError should the result not yet be ready after timeout seconds.

### The concurrent futures Module

The concurrent package supplies a single module, futures.concurrent.futures is in the standard library pip2 install only in v3; to use it in v2, download and install the backport with futures (or, equivalently, python2 -m pip install futures ).

concurrent.futures supplies two classes, ThreadPoolExecutor (using threads as workers) and ProcessPoolExecutor (using processes as workers), which implement the same abstract interface, Executor. Instantiate either kind of pool by calling the class with one argument, max\_workers, specifying how many threads or processes the pool should contain. You can omit max\_workers to let the system pick the number of workers (except that you have to explicitly specify max\_workers to instantiate ThreadPoolExecutor for the v2 backport, only).

An instance e of an Executor class supports the following methods:

#### 

Returns an iterator it whose items are the results of func called with one argument from each of the iterables, in order (using multiple worker threads or processes to execute func in parallel). When timeout is not None, it's a float number of seconds: should next (it) not produce any result in timeout seconds, raises concurrent.futures.TimeoutError.

In v3 only, you may specify (by name) argument chunksize: ignored for a ThreadPoolExecutor, for a ProcessPoolExecutor it sets how many items of each iterable in iterable are passed to each worker process.

### shutdown shutdown(wait=True)

No more calls to map or submit allowed. When wait is True, shutdown blocks until all pending futures are done; when False, shutdown returns immediately. In either case, the process does not terminate until all pending futures are done.

#### 

func(\*a,

Ensures \*\*k) executes on an arbitrary one of the pool's processes or threads. Does not block, but rather returns a Future instance.

Any instance of an Executor is also a context manager, and therefore suitable for use on a with statement ( \_\_exit\_\_ being like shutdown (wait=True)).

For example, here's a concurrent-based approach to perform the same task as in Example 14-1:

The submit method of an Executor returns a Future instance. A Future instance f supplies the methods described in Table 14-1.

Table 14-1.

add_done_callback	add_done_callback(func)
	Add callable <u>func</u> to <u>f</u> ; <u>func</u> is called, with <u>f</u> as the only argument, when <u>f</u> completes (i.e., is cancelled or finishes).
cancel	cancel()
	Tries cancelling the call; returns False when the call is being executed and cannot be
	cancelled; otherwise, returns True.
cancelled	

done	done()
	Returns True when the call is completed (i.e., is finished or successfully cancelled).
exception	exception(timeout=None)
	Returns the exception raised by the call, or None if the call raised no exception. When timeout is not None, it's a float number of seconds to wait; if the call hasn't completed after timeout seconds, raises concurrent.futures.TimeoutError; if the call is cancelled, raises concurrent.futures.CancelledError.
result	result(timeout=None)
	Returns the call's result. When timeout is not None, it's a float number of seconds; if
	the call hasn't completed after timeout seconds, raises .futures.TimeoutError; if the call is cancelled, raises concurrent.futures.CancelledError.
running	running()
	Returns True when the call is executing and cannot be cancelled.

The concurrent.futures module also supplies two functions:

### as\_completed as\_completed(fs, timeout=None)

Returns an iterator it over the Future instances that are the items of iterator fs. If there are duplicates in fs, each is only yielded once. it yields one completed future at a time, as they complete; if timeout is not None, it's a float number of seconds, and—should it ever happen that no new future can be yielded after timeout seconds from the previous one—as\_completed raises concurrent.futures.Timeout.

wait

```
wait(fs, timeout=None, return when=ALL COMPLETED)
```

Waits for the Future instances that are the items of iterator fs. Returns a named 2-tuple of set s: the first set, named done, contains the futures that completed (meaning that they either finished or were cancelled) before wait returned. The second set, named not\_done, contains yet-uncompleted futures.

timeout, if not None, is a float number of seconds, the maximum time wait lets elapse before returning (when timeout is None, wait returns only when return\_when is satisfied, no matter the elapsed time before that happens).

return\_when controls when, exactly, wait returns; it must be one of three constants supplied by module concurrent.futures:

```
ALL COMPLETED
```

Return when all futures finish or are cancelled

```
FIRST COMPLETED
```

Return when any future finishes or is cancelled

```
FIRST EXCEPTION
```

Return when any future raises an exception; should no future raise an exception, becomes equivalent to ALL COMPLETED

### **Threaded Program Architecture**

A threaded program should always try to arrange for a *single* thread to deal with any given object or subsystem that is external to the program (such as a file, a database, a GUI, or a network connection). Having multiple threads that deal with the same external object is possible, but can often cause gnarly problems.

When your threaded program must deal with some external object, devote a thread to such dealings, using a Queue object from which the external-interfacing thread gets work requests that other threads post. The external-interfacing thread can return results by putting them on one or more other Queue objects. The following example shows how to package this architecture into a general, reusable class, assuming that each unit of work on the external subsystem can be represented by a callable object. (In examples, remember: in v2, the module queue is spelled Queue; the class Queue in that module is spelled with an uppercase Q in both v2 and v3).

```
import threading, queue
class ExternalInterfacing(threading.Thread):
    def init (self, external callable, **kwds):
       threading.Thread. init (self, **kwds)
# could use
`super`
       self.daemon = True
       self.external callable = external callable
        self.work request queue = queue.Queue()
       self.result queue = queue.Queue()
       self.start()
   def request(self, *args, **kwds):
       """called by other threads as external callable would
       be"""
       self.work request queue.put((args,kwds))
       return self.result queue.get()
   def run(self):
       while True:
            a, k = self.work_request_queue.get()
            self.result queue.put(self.external callable(*a, **k))
```

Once some ExternalInterfacing object ei is instantiated, any other thread may call ei.request just as it would call external\_callable without such a mechanism (with or without arguments as appropriate). The advantage of the ExternalInterfacing mechanism is that all calls upon external\_callable are serialized. This means they are performed by just one thread (the thread object bound to ei) in some defined sequential order, without overlap, race conditions (hard-to-debug errors that depend on which thread happens to get there first), or other anomalies that might otherwise result.

If several callables need to be serialized together, you can pass the callable as part of the work request, rather than passing it at the initialization of the class ExternalInterfacing, for greater generality. The following example shows this more general approach:

```
import threading, queue
class Serializer(threading.Thread):
   def init (self, **kwds):
       threading.Thread.__init_ (self, **kwds)
# could use
`super`
       self.daemon = True
        self.work request queue = queue.Queue()
       self.result queue = queue.Queue()
       self.start()
   def apply(self, callable, *args, **kwds):
       """called by other threads as callable would
        self.work request queue.put((callable, args, kwds))
       return self.result queue.get()
   def run(self):
       while True:
            callable, args, kwds = self.work_request_queue.get()
            self.result queue.put(callable(*args, **kwds))
```

Once a Serializer object ser has been instantiated, any other thread may call ser.apply(
external\_callable) just as it would call external\_callable without such a mechanism (with or without
further arguments as appropriate). The Serializer mechanism has the same advantages as

ExternalInterfacing, except that all calls to the same or different callables wrapped by a single ser instance
are now serialized.

The user interface of the whole program is an external subsystem, and thus should be dealt with by a single thread —specifically, the main thread of the program (this is mandatory for some user interface toolkits, and advisable even when not mandatory). A Serializer thread is therefore inappropriate. Rather, the program's main thread should deal only with user-interface issues, and farm out actual work to worker threads that accept work requests on a Queue object and return results on another. A set of worker threads is generally known as a thread pool. As shown in the following example, all worker threads should share a single queue of requests and a single queue of results, since the main thread is the only one to post work requests and harvest results:

```
import threading
class Worker(threading.Thread):
   IDlock = threading.Lock()
   request ID = 0
   def init (self, requests queue, results queue, **kwds):
        threading.Thread.__init__(self, **kwds)
       self.daemon = True
       self.work request queue = requests queue
        self.result queue = results queue
       self.start()
   def perform work(self, callable, *args, **kwds):
"""called by main thread as callable would be, but w/o
return"""
       with self.IDlock:
            Worker.request ID += 1
            self.work request queue.put(
                (Worker.request ID, callable, args, kwds))
            return Worker.request ID
   def run(self):
       while True:
            request ID, callable, a, k = self.work request queue.get()
            self.result queue.put((request ID, callable(*a, **k)))
```

The main thread creates the two queues, and then instantiates worker threads as follows:

```
import queue
requests_queue = queue.Queue()
results_queue = queue.Queue()
for i in range(number_of_workers):
    worker = Worker(requests_queue, results_queue)
```

Whenever the main thread needs to farm out work (execute some callable object that may take substantial elapsed time to produce results), the main thread calls <a href="work.perform\_work">work (callable)</a>, much as it would call callable without such a mechanism (with or without further arguments as appropriate). However, <a href="perform\_work">perform\_work</a> does not return the result of the call. Instead of the results, the main thread gets an <a href="mailto:id">id</a> that identifies the work

request. If the main thread needs the results, it can keep track of that id, since the request's results are tagged with that id when they appear. The advantage of this mechanism is that the main thread does not block waiting for the callable's lengthy execution to complete, but rather becomes ready again at once and can immediately return to its main business of dealing with the user interface.

The main thread must arrange to check the <code>results\_queue</code>, since the result of each work request eventually appears there, tagged with the request's <code>id</code>, when the worker thread that took that request from the queue finishes computing the result. How the main thread arranges to check for both user interface events and the results coming back from worker threads onto the results queue depends on what user interface toolkit is used or—if the user interface is text-based—on the platform on which the program runs.

A widely applicable, though not always optimal, general strategy is for the main thread to *poll* (check the state of the results queue periodically). On most Unix-like platforms, the function alarm of the module signal allows polling. The Tkinter GUI toolkit supplies method after, which is usable for polling. Some toolkits and platforms afford more effective strategies (letting a worker thread alert the main thread when it places some result on the results queue), but there is no generally available, cross-platform, cross-toolkit way to arrange for this. Therefore, the following artificial example ignores user interface events and just simulates work by evaluating random expressions, with random delays, on several worker threads, thus completing the previous example:

```
import random, time
def make work():
    return '{} {} {}'.format(random.randrange(2,10),
        random.choice(('+', '-', '*', '/', '%', '**')),
        random.randrange(2,10))
def slow evaluate (expression string):
    time.sleep(random.randrange(1,5))
    return eval(expression string)
workRequests = {}
def showResults():
    while True:
        try: id, results = results queue.get nowait()
        except queue. Empty: return
        print('Result {}: {} -> {}'.format(
            id, work requests[id], results))
        del work requests[id]
for i in range (10):
    expression string = make work()
    id = worker.perform work(slow evaluate, expression string)
    work requests[id] = expression string
    print('Submitted request {}: {}'.format(id, expression string
) )
    time.sleep(1)
    showResults()
while work requests:
    time.sleep(1)
    showResults()
```

### **Process Environment**

The operating system supplies each process P with an *environment*, a set of variables whose names are strings (most often, by convention, uppercase identifiers) and whose contents are strings. In "Environment Variables", we cover environment variables that affect Python's operations. Operating system shells offer ways to examine and

modify the environment via shell commands and other means mentioned in "Environment Variables".

### Process environments are self-contained

The environment of any process P is determined when P starts. After startup, only P itself can change P's environment. Changes to P's environment affect only P itself: the environment is *not* a means of inter-process communication (IPC). Nothing that P does affects the environment of P's parent process (the process that started P), nor of those of child processes *previously* started from P and now running, nor of processes unrelated to P. Child processes of P normally get a copy of P's environment as their starting environment. In this narrow sense, changes to P's environment do affect child processes that P starts *after* such changes.

The module os supplies the attribute environ, a mapping that represents the current process's environment.

os.environ is initialized from the process environment when Python starts. Changes to os.environ update the current process's environment if the platform supports such updates. Keys and values in os.environ must be strings. On Windows (but not on Unix-like platforms), keys into os.environ are implicitly uppercased. For example, here's how to try to determine which shell or command processor you're running under:

When a Python program changes its environment (e.g., via os.environ['X']='Y'), this does not affect the environment of the shell or command processor that started the program. As already explained—and for all programming languages including Python—changes to a process's environment affect only the process itself, not other processes that are currently running.

### **Running Other Programs**

You can run other programs via functions in the os module or (at a higher and usually preferable level of abstraction) with the <a href="mailto:subprocess">subprocess</a> module.

### Running Other Programs with the os Module

The best way for your program to run other processes is usually with the <u>subprocess</u> module, covered in "The <u>Subprocess Module</u>". However, the <u>os</u> module also offers several ways to do this, which, in some rare cases, may be simpler.

The simplest way to run another program is through the function os.system, although this offers no way to control the external program. The os module also provides a number of functions whose names start with exec. These functions offer fine-grained control. A program run by one of the exec functions replaces the current program (i.e., the Python interpreter) in the same process. In practice, therefore, you use the exec functions mostly on platforms that let a process duplicate itself by fork (i.e., Unix-like platforms). os functions whose names start with spawn and popen offer intermediate simplicity and power: they are cross-platform and not quite as simple as system, but simple and usable enough for many purposes.

The exec and spawn functions run a given executable file, given the executable file's path, arguments to pass to it,

and optionally an environment mapping. The system and popen functions execute a command, which is a string passed to a new instance of the platform's default shell (typically /bin/sh on Unix, cmd.exe on Windows). A command is a more general concept than an executable file, as it can include shell functionality (pipes, redirection, built-in shell commands) using the shell syntax specific to the current platform. os provides the following functions:

execl, execle, execlp, execv, execve, execvp, execvpe

```
execl(path,*argsexecle( path,*argsexeclp( path,*argsexecv(path,args) ) ) ) execve( path,args,envexecvp( path,argsexecvpe(path,args,env)
```

These functions run the executable file (program) indicated by string path, replacing the current program (i.e., the Python interpreter) in the current process. The distinctions encoded in the function names (after the prefix exec) control three aspects of how the new program is found and run:

- Does path have to be a complete path to the program's executable file, or can the function accept a name as the path argument and search for the executable in several directories, as operating system shells do? execlp, execvp, and execvpe can accept a path argument that is just a filename rather than a complete path. In this case, the functions search for an executable file of that name along the directories listed in os.environ['PATH']. The other functions require path to be a complete path to the executable file for the new program.
- Are arguments for the new program accepted as a single sequence argument <a href="mailto:args">args</a> to the function or as separate arguments to the function? Functions whose names start with <a href="mailto:execv">execv</a> take a single argument <a href="mailto:args">argument <a href="mailto:args">argument <a href="mailto:args">arguments</a> to the functions whose names start with <a href="mailto:execv">execv</a> take the new program's arguments as separate arguments (<a href="mailto:execv">execv</a> take the new program's arguments as separate arguments (<a href="mailto:execv">execv</a> take the new program's arguments for the new program).
- Is the new program's environment accepted as an explicit mapping argument env to the function, or is os.environ implicitly used? execle, execve, and execvpe take an argument env that is a mapping to use as the new program's environment (keys and values must be strings), while the other functions use os.environ for this purpose.

Each exec function uses the first item in args as the name under which the new program is told it's running (for example, argv[0] in a C program's main); only args[1:] are arguments proper to the new program.

popen

```
popen (cmd, mode='r', buffering=-1)
```

Runs the string command cmd in a new process P and returns a file-like object f that wraps a pipe to P's standard input or from P's standard output (depending on mode). mode and buffering have the same meaning as for Python's open function, covered in "Creating a "file" Object with io.open". When mode is 'r' (the default), f is read-only and wraps P's standard output. When mode is 'w', f is write-only and wraps P's standard input.

The key difference of f with respect to other file-like objects is the behavior of method f.close.f .close() waits for P to terminate and returns None, as close methods of file-like objects normally do, when P's termination is successful. However, if the operating system associates an integer error code c with P's termination, indicating that P's termination was unsuccessful, f.close() returns c. On Windows systems, c is a signed integer return code from the child process.

```
spawnv,
spawnve
```

spawnv(mode,path,argsspawnve( mode,path,args,env)

These functions run the program indicated by path in a new process P, with the arguments passed as sequence args. spawnve uses mapping env as P's environment (both keys and values must be strings), while spawnv uses os.environ for this purpose. On Unix-like platforms only, there are other variations of os.spawn, corresponding to variations of os.exec, but spawnv and spawnve are the only two that also exist on Windows.

mode must be one of two attributes supplied by the os module: os.P\_WAIT indicates that the calling process waits until the new process terminates, while os.P\_NOWAIT indicates that the calling process continues executing simultaneously with the new process. When mode is os.P\_WAIT, the function returns the termination code c of P: 0 indicates successful termination, c less than 0 indicates P was killed by a signal, and c greater than 0 indicates normal but unsuccessful termination. When mode is os.P\_NOWAIT, the function returns P's process ID (or on Windows, P's process handle). There is no cross-platform way to use P's ID or handle; platform-specific ways (not covered further in this book) include the function os.waitpid on Unix-like platforms and third-party extension package PyWin32 on Windows.

For example, your interactive program can give the user a chance to edit a text file that your program is about to read and use. You must have previously determined the full path to the user's favorite text editor, such as c:\\windows\\notepad.exe on Windows or \//usr/bin/vim on a Unix-like platform. Say that this path string is bound to variable editor and the path of the text file you want to let the user edit is bound to textfile:

```
import os
os.spawnv(os.P WAIT, editor, [editor, textfile])
```

The first item of the argument args is passed to the program being spawned as "the name under which the program is being invoked." Most programs don't look at this, so you can usually place just about any string here. Just in case the editor program does look at this special first argument, passing the same string editor that is used as the second argument to os.spawnv is the simplest and most effective approach.

### system

system(cmd)

Runs the string command cmd in a new process and returns 0 when the new process terminates successfully. When the new process terminates unsuccessfully, system returns an integer error code not equal to 0. (Exactly what error codes may be returned depends on the command you're running: there's no widely accepted standard for this.)

Note that popen is deprecated in v2 (although it was never removed), then reimplemented in v3 as a simple wrapper over subprocess. Popen.

### The Subprocess Module

The subprocess module supplies one very broad class: Popen, which supports many diverse ways for your program to run another program.

### Popen class

```
Popen( args, bufsize=0, executable=None, stdin=None, stdout=None, stderr=None, preexec_fn=None, close_fds=False, shell=False, cwd=None, env=None, universal_newlines=False, startupinfo=None, creationflags=0)
```

Popen starts a subprocess to run a distinct program, and creates and returns an object p, representing that subprocess. The args mandatory argument and the many optional named arguments control details of how the subprocess is to run.

When any exception occurs during the subprocess creation (before the distinct program starts), Popen re-raises that exception in the calling process with the addition of an attribute named child\_traceback, which is the Python traceback object for the subprocess. Such an exception would normally be an instance of OSError (or possibly TypeError or ValueError to indicate that you've passed to Popen an argument that's invalid in type or value).

### What to run, and how: args, executable, shell

args is a sequence (normally a list) of strings: the first item is the path to the program to execute, and the following items, if any, are arguments to pass to the program (args can also be just a string, when you don't need to pass arguments). executable, when not None, overrides args in determining which program to execute. When shell is true, executable specifies which shell to use to run the subprocess; when shell is true and executable is None, the shell used is /bin/sh on Unix-like systems (on Windows, it's os.environ['COMSPEC']).

### Subprocess files: stdin, stdout, stderr, bufsize, universal\_newlines, close\_fds

stdin, stdout, and stderr specify the subprocess's standard input, output, and error files, respectively. Each may be PIPE, which creates a new pipe to/from the subprocess; None, meaning that the subprocess is to use the same file as this ("parent") process; or a file object (or file descriptor) that's already suitably open (for reading, for the standard input; for writing, for the standard output and standard error). stderr may also be STDOUT, meaning that the subprocess's standard error must use the same file as its standard output. bufsize controls the buffering of these files (unless they're already open), with the same semantics as the same argument to the open function covered in "Creating a "file" Object with io.open" (the default, 0, means "unbuffered"). When universal\_newlines is true, stdout and stderr (unless they're already open) are opened in "universal newlines" ('rU') mode, covered in "mode". When close\_fds is true, all other files (apart from standard input, output, and error) are closed in the subprocess before the subprocess's program or shell is executed.

### Other arguments: preexec fn, cwd, env, startupinfo, creationflags

When preexec\_fn is not None, it must be a function or other callable object, and gets called in the subprocess before the subprocess's program or shell is executed (only on Unix-like system, where the call happens after fork and before exec).

When cwd is not None, it must be a string that gives the path to an existing directory; the current directory gets changed to cwd in the subprocess before the subprocess's program or shell is executed.

When env is not None, it must be a mapping (normally a dictionary) with strings as both keys and values, and fully defines the environment for the new process.

startupinfo and creationflags are Windows-only arguments passed to the CreateProcess Win32 API call used to create the subprocess, for Windows-specific purposes (they are not covered further in this book, which focuses on cross-platform uses of Python).

### Attributes of subprocess.Popen instances

An instance p of class Popen supplies the following attributes:

args

(v3 only) Popen's args argument (string or sequence of strings).

pid

The process ID of the subprocess.

#### returncode

None to indicate that the subprocess has not yet exited; otherwise, an integer: 0 for successful termination, >0 for termination with an error code, or <0 if the subprocess was killed by a signal.

stderr, stdin, stdout

When the corresponding argument to <u>Popen</u> was <u>subprocess.PIPE</u>, each of these attributes is a file object wrapping the corresponding pipe; otherwise, each of these attributes is <u>None</u>. Use the <u>communicate</u> method of p, not reading and writing to/from these file objects, to avoid possible deadlocks.

### Methods of subprocess.Popen instances

communicate p.communicate(input=None)

An instance p of class Popen supplies the following methods.

Sends the string input as the subprocess's standard input (when input is not None), then reads the subprocess's standard output and error files into in-memory strings so and se until both files are finished, and finally waits for the subprocess to terminate and returns a pair (two-item tuple) (so, se). In v3, also accepts an optional timeout argument.
 2.2.4

poll p.poll()

Checks if the subprocess has terminated, then returns p.returncode.

wait p.wait()

Waits for the subprocess to terminate, then returns p.returncode. In v3, also accepts an optional timeout argument.

### The mmap Module

The mmap module supplies memory-mapped file objects. An mmap object behaves similarly to a bytestring, so you can often pass an mmap object where a bytestring is expected. However, there are differences:

- An mmap object does not supply the methods of a string object.
- An mmap object is mutable, while string objects are immutable.
- An mmap object also corresponds to an open file and behaves polymorphically to a Python file object (as covered in "File-Like Objects and Polymorphism").

An mmap object m can be indexed or sliced, yielding bytestrings. Since m is mutable, you can also assign to an indexing or slicing of m. However, when you assign to a slice of m, the righthand side of the assignment statement must be a bytestring of exactly the same length as the slice you're assigning to. Therefore, many of the useful tricks available with list slice assignment (covered in "Modifying a list") do not apply to mmap slice assignment.

The mmap module supplies a factory function that is slightly different on Unix-like systems and on Windows:

Creates and returns an mmap object m that maps into memory the first length bytes of the file indicated by file descriptor filedesc must normally be a file descriptor opened for both reading and writing (except, on Unix-like platforms, when the argument prot requests only reading or only writing). (File descriptors are covered in "File Descriptor Operations".) To get an mmap object m for a Python file object f, use m=mmap.mmap(f.fileno(),length). filedesc can be -1 to map anonymous memory.

On Windows, all memory mappings are readable and writable, and shared among processes, so that all processes with a memory mapping on a file can see changes made by other such processes. On Windows only, you can pass a string tagname to give an explicit tag name for the memory mapping. This tag name lets you have several memory mappings on the same file, but this is rarely necessary. Calling mmap with only two arguments has the advantage of keeping your code portable between Windows and Unix-like platforms.

On Unix-like platforms only, you can pass <a href="map.MAP\_PRIVATE">mmap.MAP\_PRIVATE</a> as flags to get a mapping that is private to your process and copy-on-write. <a href="map.MAP\_SHARED">mmap.MAP\_SHARED</a>, the default, gets a mapping that is shared with other processes so that all processes mapping the file can see changes made by one process (same as on Windows). You can pass <a href="map.PROT\_READ">mmap.PROT\_READ</a> as the <a href="map.prot">prot</a> argument to get a mapping that you can only read, not write. Passing <a href="map.PROT\_WRITE">mmap.PROT\_WRITE</a> gets a mapping you can both read and write.

You can pass named argument access, instead of flags and prot (it's an error to pass both access and either or both of the other two arguments). The value for access can be one of ACCESS\_READ (read-only), ACCESS\_WRITE (write-through, the default on Windows), or ACCESS\_COPY (copy-on-write).

You can pass named argument offset to start the mapping after the beginning of the file; offset must be an int, >=0, multiple of ALLOCATIONGRANULARITY (or, on Unix, of PAGESIZE).

### Methods of mmap Objects

An mmap object m supplies the following methods:

close m.close()

Closes the file of m.

#### find

m.find(sub,start=0, end=None)

Returns the lowest i > = start such that sub = = m[i:i+len(sub)] (and i+len(sub)-1 < = end, ng when you pass end). If no such i exists, m. find returns -1. This is the same behavior as the find method of string objects, covered in Table 8-1.

### flush

m.flush([offset,n])

Ensures that all changes made to m also exist on m's file. Until you call m. flush, it's uncertain whether the file reflects the current state of m. You can pass a starting byte offset offset and a byte count n to limit the flushing effect's guarantee to a slice of m. Pass both arguments, or neither: it is an error to call m. flush with exactly one argument.

#### move

m.move(dstoff, srcoff, n)

Like the slice assignment m[dstoff:dstoff+n] = m[srcoff:srcoff+n], but potentially faster. The source and destination slices can overlap. Apart from such potential overlap, move does not affect the source slice (i.e., the move method *copies* bytes but does not *move* them, despite the method's name).

### read

m.read(n)

Reads and returns a string s containing up to n bytes starting from m's file pointer, then advances m's file pointer by len(s). If there are fewer than n bytes between m's file pointer and m's length, returns the bytes available. In particular, if m's file pointer is at the end of m, returns the empty string m'.

### read\_byte

m.read byte()

Returns a byte string of length 1 containing the byte at m's file pointer, then advances m's file pointer by 1. m.read\_byte() is similar to m.read(1). However, if m's file pointer is at the end of m, m .read(1) returns the empty string '', while m.read byte() raises a ValueError exception.

### readline

m.readline()

Reads and returns one line from the file of m, from m's current file pointer up to the next '\n', included (or up to the end of m if there is no '\n'), then advances m's file pointer to point just past the bytes just read. If m's file pointer is at the end of m, readline returns the empty string ''.

### resize

m.resize(n)

Changes the length of m so that len (m) becomes n. Does not affect the size of m's file. m's length and the file's size are independent. To set m's length to be equal to the file's size, call m.resize (m .size()). If m's length is larger than the file's size, m is padded with null bytes ( $\times$ 00).

### rfind

rfind(sub, start=0,
end=None)

Returns the highest i >= start such that sub == m[i:i+len(sub)] (and i+len(sub)-1 <= end, when you pass end). If no such i exists, m.rfind returns -1. This is the same behavior as the rfind method of string objects, covered in Table 8-1.

#### seek m.seek(pos,how=0)

Sets the file pointer of m to the integer byte offset pos. how indicates the reference point (point 0): when how is 0, the reference point is the start of the file; when 1, m's current file pointer; when 2, the end of m. A seek that tries to set m's file pointer to a negative byte offset, or to a positive offset beyond m's length, raises a ValueError exception.

### size m.size()

Returns the length (number of bytes) of the file of m, not the length of m itself. To get the length of m, use len(m).

#### 

Returns the current position of the file pointer of m as a byte offset from the start of m's file.

#### 

Writes the bytes in str into m at the current position of m's file pointer, overwriting the bytes that were there, and then advances m's file pointer by len(str). If there aren't at least len(str) bytes between m's file pointer and the length of m, write raises a ValueError exception.

### write\_byte m.write\_byte(byte)

Writes byte, which must be an int in v3, a single-character bytestring in v2, into mapping m at the current position of m's file pointer, overwriting the byte that was there, and then advances m's file pointer by 1. When x is a single-character bytestring in v2, m.write\_byte(x) is similar to m .write(x). However, if m's file pointer is at the end of m, m.write\_byte(x) silently does nothing, while m.write(x) raises a ValueError exception. Note that this is the reverse of the relationship between read and read\_byte at end-of-file: write and read\_byte raise ValueError, while read and write byte don't.

### **Using mmap Objects for IPC**

The way in which processes communicate using mmap is similar to how IPC uses files: one process writes data and another process later reads the same data back. Since an mmap object rests on an underlying file, you can also have some processes doing I/O directly on the file (as covered in "The io Module"), while others use mmap to access the same file. You can choose between mmap and I/O on file objects on the basis of convenience: the functionality is the same, and performance is roughly equivalent. For example, here is a simple program that uses file I/O to make the contents of a file equal to the last line interactively typed by the user:

And here is another simple program that, when run in the same directory as the former, uses mmap (and the time.sleep function, covered in Table 12-2) to check every second for changes to the file and print out the file's

### new contents:

```
import mmap, os, time
mx = mmap.mmap(os.open('xxx',os.O_RDWR), 1)
last = None
while True:
    mx.resize(mx.size())
    data = mx[:]
    if data != last:
        print(data)
        last = data
    time.sleep(1)
```