# Rational Parking Functions

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#### Abstract

This is an abstract about Rational Parking Functions.

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# Chapter 1

# The integer case

## 1.1 Parking Functions

**Definition 1** (Parking Function). A parking function is a sequence of positive integers  $(a_1, a_2, \ldots, a_n)$  such that its non-decreasing reordering  $(b_1, b_2, \ldots, b_n)$  has  $b_i \leq i$  for all i.

We denote by  $\mathcal{PF}_n$  the set of parking functions of length n.

$$\mathcal{PF} = \bigcup_{n>0} \mathcal{PF}_n$$

.

Example.

$$f_1 = (7, 3, 1, 4, 2, 5, 2) \in \mathcal{PF}_7$$
  
 $f_2 = (7, 3, 1, 4, 2, 5, 4) \notin \mathcal{PF}_7$ 

**Theorem 1.** Let  $pf_n$  be the cardinal of  $\mathcal{PF}_n$ . We have

$$pf_n = (n+1)^{n-1}$$

.

**Example** (n = 1, 2, 3).

• 
$$n = 1$$
 :  $pf_1 = 1$  (1)

- n = 2 :  $pf_2 = 3$  (1,1) (1,2) (2,1)
- n = 3 :  $pf_3 = 16$

$$(1,1,1)$$
  $(1,1,2)$   $(1,1,3)$   $(1,2,1)$   $(1,2,2)$   $(1,2,3)$   $(1,3,1)$ 

$$(1,3,2)$$
  $(2,1,1)$   $(2,1,2)$   $(2,1,3)$   $(2,2,1)$   $(2,3,1)$   $(3,1,1)$ 

(3,1,2) (3,2,1)

#### 1.1.1 Primitive parking functions

**Definition 2** (Primitive). A parking function  $(a_1, a_2, ..., a_n)$  is said primitive if it is already in non-decreasing order.

We denote by  $\mathcal{PF'}_n$  the set of primitive parking functions of length n.

$$\mathcal{PF}' = \bigcup_{n>0} \mathcal{PF'}_n$$

Example.

$$f_1 = (1, 2, 2, 3) \in \mathcal{PF'}_4$$
  
 $f_2 = (1, 2, 3, 2) \notin \mathcal{PF'}_4$ , even though  $f_2 \in \mathcal{PF}_4$ 

**Theorem 2.** Let  $pf'_n$  be the cardinal of  $\mathcal{PF'}_n$ . We have

$$pf_n' = \frac{1}{n+1} \binom{2n}{n}$$

which is the  $n^{th}$  Catalan number Cat(n).

**Example** (n = 1, 2, 3).

• 
$$n = 1$$
 :  $pf'_1 = 1$ 

• 
$$n = 2$$
 :  $pf'_2 = 2$   
(1,1) (1,2)  
•  $n = 3$  :  $pf'_3 = 5$ 

• 
$$n = 3$$
 :  $pf'_3 = 5$   
(1, 1, 1) (1, 1, 2) (1, 1, 3) (1, 2, 2) (1, 2, 3)

### 1.2 Non-crossing Partitions

**Definition 3** (Non-crossing Partition). A non-crossing partition of a totally ordered set E is a set partition  $P = \{E_1, E_2, \ldots, E_k\}$  such that if  $a, c \in E_i$ ,  $b, d \in E_j$ , and  $i \neq j$ , then we do not have a < b < c < d, nor a > b > c > d. We denote by  $\mathcal{NC}_n$  the set of non-crossing partitions of  $\{1, 2, \ldots, n\}$ .

$$\mathcal{NC} = \bigcup_{n>0} \mathcal{NC}_n$$

From this point, we assume that every partition  $P = \{B_1, \ldots, B_l\}$  is sorted such that:

- For each block  $B_i = \{b_1, \ldots, b_k\} \in P, b_1 < \ldots < b_k$
- $min(B_1) < \ldots < min(B_k)$

Notation.  $[n] = \{1, 2, ..., n\}$ 

Example (E = [6]).

$$P_1 = \{\{1, 2, 5\}, \{3, 4\}, \{6\}\} \in \mathcal{NC}_6$$
  
$$P_2 = \{\{1, 2, 4\}, \{3, 5\}, \{6\}\} \notin \mathcal{NC}_6$$

**Theorem 3.** Let  $nc_n$  be the cardinal of  $\mathcal{NC}_n$ . We have

$$nc_n = \frac{1}{n+1} \binom{2n}{n}$$

which is again the  $n^{th}$  Catalan number Cat(n).

**Example** (n = 1, 2, 3).

- n = 1 :  $nc_1 = 1$  {{1}}}
- n = 2 :  $nc_2 = 2$  {{1, 2}} {{1}, {2}}
- n = 3 :  $nc_3 = 5$  {{1,2,3}} {{1},{2,3}} {{1},{2,3}} {{1},{2},{3}}

**Proposition.** This means we can create a bijection between  $\mathcal{PF'}_n$  and  $\mathcal{NC}_n$ .

Proof.

- $\mathcal{NC}_n \to \mathcal{PF'}_n$ : For each block B in the non-crossing partition, take i = min(B), and let  $k_i = size(B)$ .  $k_i = 0$  if i is not the minimum of a block.

  The corresponding parking function is  $\underbrace{(1, \ldots, 1, 2, \ldots, 2, \ldots, n, \ldots, n)}_{k_1}$ .
- $\mathcal{PF'}_n \to \mathcal{NC}_n$ : For each i in [n], if i appears  $n_i$  times in the parking function,  $B_i$  will be of size  $n_i$  with minimum element i. There is a unique set partition  $P = \bigcup_i B_i$  of [n] respecting these conditions that is non-crossing: for each minimum i in decreasing order, add the  $n_i$  first free elements of  $[i+1,i+2,\ldots,n,1,\ldots,i-1]$  to  $B_i$ .

Example (n = 6).

$$P = \{\{1, 2, 5\}, \{3, 4\}, \{6\}\}$$
  $f = (1, 1, 1, 3, 3, 6)$ 

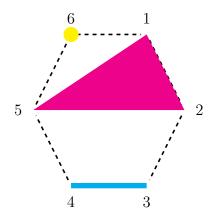
Corollary. A non-crossing partition can be represented by the minimums and sizes of its blocks.

**Example.**  $\{\{1,2,5\},\{3,4\},\{6\}\}\$  can be represented by the following dictionnary:

- 1 : 3
- 3 : 2
- 6:1

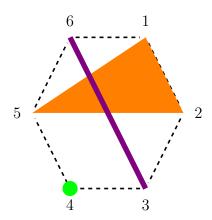
A non-crossing partition of [n] can be represented graphically on a regular n-vertices polygon, with vertices labeled from 1 to n clockwise. We then represent each block  $B = \{b_1, \ldots, b_k\}$  by the convex hull of  $\{b_1, \ldots, b_k\}$ .

Example  $(P = \{\{1, 2, 5\}, \{3, 4\}, \{6\}\})$ .



Thus non-crossing meaning the hulls are disjoint.

**Example** (Counter-example :  $P = \{\{1, 5, 2\}, \{3, 6\}, \{4\}\}\}$ ).



This partition is not non-crossing, as the convex hulls of  $\{1,2,5\}$  and  $\{3,6\}$  are not disjoint.

#### 1.2.1 The non-crossing partitions poset

**Definition 4** ( $\succ$ ). We say that P covers Q, written  $P \succ Q$ , if  $\exists B_i, B_j \in P$  such that  $Q = P - \{B_i, B_j\} \cup \{B_i \cup B_j\}$ 

Example. 
$$\{\{1,6\},\{2,3\},\{4,5\}\} \succ \{\{1,2,3,6\},\{4,5\}\}$$
 •  $B_i = \{1,6\}$ 

• 
$$B_j = \{2, 3\}$$

**Proposition.** This covering relation defines the poset of  $\mathcal{NC}_n$ . We denote by  $\mathcal{NCC}_n$  the set of maximal chains in the poset of  $\mathcal{NC}_n$ .

$$\mathcal{NCC} = \bigcup_{n>0} \mathcal{NCC}_n$$

**Remark.** The bottom element of this poset is  $\{\{1,\ldots,n\}\}$ , and the top element is  $\{\{1\},\ldots,\{n\}\}$ .

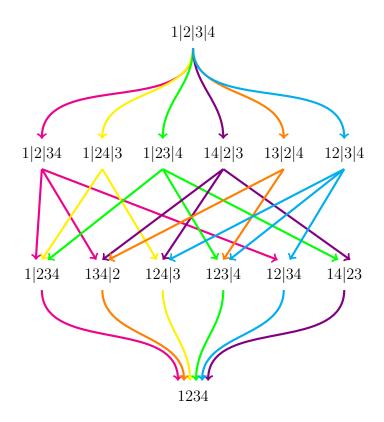
**Theorem 4.** Let  $ncc_n$  be the cardinal of  $\mathcal{NCC}_n$ . We have

$$ncc_n = n^{n-2}$$

.

**Example** (The poset of  $\mathcal{NC}_4$ ).

To shorten labels, we represent  $\{\{1\}, \{2,3\}, \{4\}\}$  by 1|23|4.



There are  $4^2 = 16$  different maximal chains, and  $\frac{1}{5} {8 \choose 4} = \frac{70}{5} = 14$  elements in this poset.

#### 1.2.2 Kreweras complement

**Definition 5** (Associated Permutation). The permutation  $\sigma$  associated to a non-crossing partition has a cycle  $(b_1, \ldots, b_k)$  for each block  $B = \{b_1, \ldots, b_k\}$  of the partition.

**Example.** The permutation associated to  $\{\{1, 2, 5\}, \{3, 4\}, \{6\}\}\$  is  $(1\ 2\ 5)\ (3\ 4)\ (6) = 254316$ .

**Definition 6** (Kreweras Complement). The Kreweras complement K(P) of a non-crossing partition P is defined as follows:

- ullet Let  $\sigma$  be the permutation associated to P
- Let  $\pi$  be the permutation  $(n \ n-1 \ n-2 \ \dots \ 3 \ 2 \ 1) = n123 \dots n-1$
- K(P) is the non-crossing partition associated to  $\pi\sigma$ .

Example  $(P = \{\{1, 2, 5\}, \{3, 4\}, \{6\}\})$ .

- $\sigma = (1\ 2\ 5)\ (3\ 4)\ (6) = 254316$
- $\pi = (6\ 5\ 4\ 3\ 2\ 1) = 612345$
- $\pi \sigma = 143265 = (1) (2 4) (3) (5 6)$
- $K(P) = \{\{1\}, \{2, 4\}, \{3\}, \{5, 6\}\}$

**Proposition** (Kreweras minimums). Let  $P = \{B_1, \ldots, B_k\}$  be a non-crossing partition. Let  $K(P) = \{B'_1, \ldots, B'_l\}$  be its Kreweras complement. Then

$$\bigcup_{1 \le i \le l} \min(B_i') = B_1 \cup \bigcup_{1 < j \le k} B_i - \max(B_i)$$

Example  $(P = \{\{1, 2, 5\}, \{3, 4\}, \{6\}\})$ .

- $K(P) = \{\{1\}, \{2,4\}, \{3\}, \{5,6\}\}$
- $\bigcup min(B'_i) = \{1, 2, 3, 5\}$

•  $B_1 \cup \bigcup B_i - max(B_i) = \{1, 2, 5\} \cup \{3, 4\} - \{4\} \cup \{6\} - \{6\} = \{1, 2, 5\} \cup \{3\} \cup \emptyset = \{1, 2, 3, 5\}$ 

Notation.  $B_{[i]} = block \ containing \ i.$ 

**Proposition** (Kreweras block sizes). Let  $P = \{B_1, \ldots, B_k\}$  be a non-crossing partition. Let  $K(P) = \{B'_1, \ldots, B'_l\}$  be its Kreweras complement. Then the size of the block  $B'_i$  is defined as follows:

- Let  $m_i$  be the the  $i^{th}$  minimum of K(P)
- Define a transition  $\phi(e)$  as  $Let \ j = e + 1 \ (or \ 1 \ if \ e = n)$   $\phi(e) = max(B_{[i]})$
- The size of  $B'_i$  is  $k_{min}$  such that  $k_{min} = min\{k > 0 \mid \phi^k(m_i) \in B_{[m_i]}\}$ .

Example  $(P = \{\{1, 2, 5\}, \{3, 4\}, \{6\}\})$ .

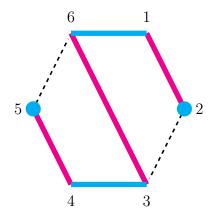
- $mins = \{1, 2, 3, 5\}$
- $m_1 = 1$   $B_{[1]} = B_1$   $max(B_{[2]} = max(B_1) = 5$ The size for  $m_1$  is 1.
- $m_2$   $B_{[2]} = B_1$   $max(B_{[3]}) = max(B_2) = 4$   $max(B_{[5]}) = max(B_1) = 5$ The size for  $m_2$  is 2.
- $m_3 = 3$   $B_{[3]} = B_2$   $max(B_{[4]}) = max(B_2) = 4$ The size for  $m_3$  is 1.

• 
$$m_4 = 5$$
  
 $B_{[5]} = B_1$   
 $max(B_{[6]}) = max(B_3) = 6$   
 $max(B_{[1]}) = max(B_1) = 5$   
The size for  $m_4$  is 2.

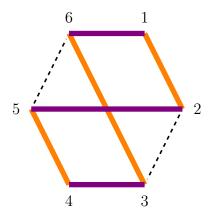
**Definition 7** (Mutually Non-crossing Partitions). 2 partitions P and Q are said mutually non-crossing if:

- P is non-crossing
- Q is non-crossing
- For every block  $B_i$  of P and every block  $B_j$  of Q, if  $a, c \in B_i$  and  $b, d \in B_j$ , then we can not have a < b < c < d, nor a > b > c > d.

Example  $(P = \{\{1,2\}, \{3,6\}, \ \{4,5\}\}, Q = \{\{1,6\}, \{2\}, \{3,4\}, \{5\}\})$ .



**Example** (Counter-example :  $P = \{\{1,2\},\{3,6\},\ \{4,5\}\}, Q = \{\{1,6\},\{2,5\},\{3,4\}\})$ .

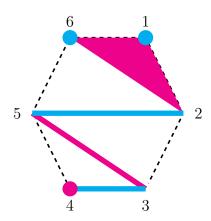


**Remark.** Note that vertices can touch, but the edges of the convex hulls can not cross.

**Proposition.** For any non-crossing partition P, P and K(P) are mutually non-crossing.

Furthermore, K(P) is a densest partition that is mutually non-crossing with P. That is, no partition Q that is mutually non-crossing with P has less blocks than K(P).

**Example**  $(P = \{1, 2, 6\}, \{3, 5\}, \{4\}\})$ .  $Q = \{\{1\}, \{2, 5\}, \{3, 4\}, \{6\}\}$ 

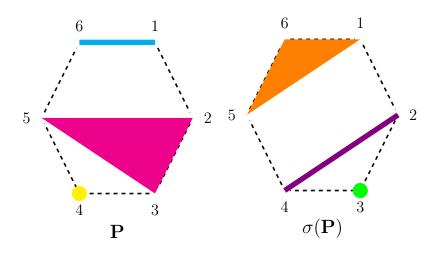


#### 1.2.3 Action of $\mathfrak{S}_n$ on partitions of [n]

**Definition 8** (Action of  $\mathfrak{S}_n$ ). The action of  $\mathfrak{S}_n$  on a partition  $P = \{B_1, \ldots, B_l\}$  of [n] is defined by:

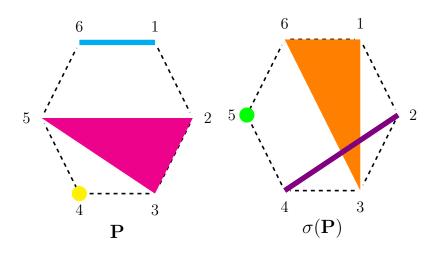
- For each block  $B_i = \{b_1, \dots, b_k\}$ :  $\sigma(Bi) = \{\sigma(b_1), \dots, \sigma(b_k)\}$  When  $P \in \mathcal{NC}_n$ , we denote  $\rho = \sigma(P) = \{\sigma(B_1), \dots, \sigma(B_l)\}$

Example  $(\sigma = 415362, P = \{\{1,6\}, \{2,3,5\}, \{4\}\})$ .  $\sigma(P) = \{\{1,5,6\},\{2,4\},\{3\}\}$ 



**Remark.** Note that  $\mathcal{NC}_n$  is not stable under the action of  $\mathfrak{S}_n$ . That is, even if P is non-crossing,  $\sigma(P)$  is not necessarily non-crossing.

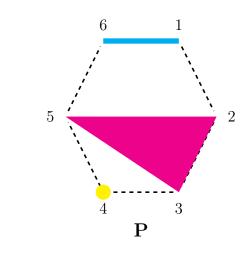
**Example** (Counter-example :  $\sigma = 413562, P = \{\{1,6\}, \{2,3,5\}, \{4\}\}\}$ ).  $\sigma(P) = \{\{1,3,6\},\{2,4\},\{5\}\}$ 

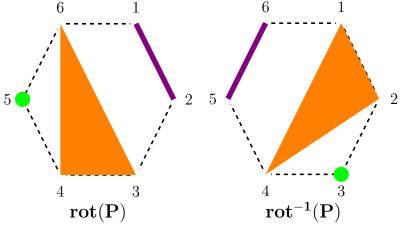


**Definition 9** (Rotation). We define the rotation operator rot of  $P \in \mathcal{NC}_n$  as  $rot(P) = (1\ 2\ 3\ \dots\ n)(P) = 23\dots n1(P)$ . Conversely, we define  $rot^{-1}$  of P as  $rot^{-1}(P) = (n\ n-1\ \dots 3\ 2\ 1)(P) = n12\dots n-1(P)$ .

Example  $(P = \{\{1,6\}, \{2,3,5\}, \{4\}\})$ .

- $rot(P) = \{\{1, 2\}, \{3, 4, 6\}, \{5\}\}\$
- $rot^{-1}(P) = \{\{1, 2, 4\}, \{3\}, \{5, 6\}\}\$





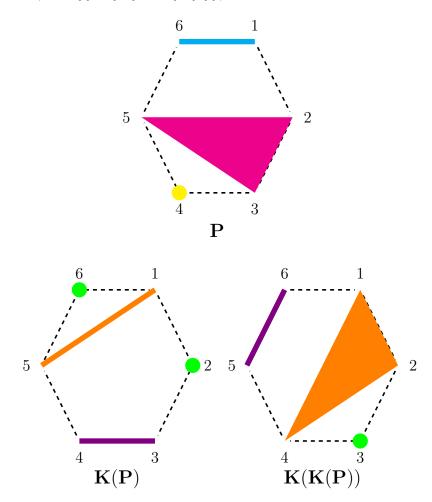
#### Remark.

$$\bullet \ rot(rot^{-1}(P)) = rot^{-1}(rot(P)) = P$$

- rot(P) and  $rot^{-1}(P)$  are always non-crossing partitions.
- If  $P \in \mathcal{NC}_n$ , then  $rot^n(P) = rot^{-n}(P) = P$ .

Proposition.  $K(K(P)) = rot^{-1}(P)$ .

Example  $(P = \{\{1,6\}, \{2,3,5\}, \{4\}\})$ .



## 1.3 Non-crossing 2-partitions

**Definition 10** (Non-crossing 2-partition). A non-crossing 2-partition of a totally ordered set E is a pair  $(P, \sigma)$  where:

- ullet P is a non-crossing partition of E
- $\bullet$   $\sigma$  is a permutation of the elements of E
- For each sorted block  $B_i = \{b_1, \ldots, b_k\} \in P$ , we have  $\sigma(b_i) < \ldots < \sigma(b_k)$

We denote by  $\mathcal{NC}_n^2$  the set of non-crossing 2-partitions of [n].

$$\mathcal{NC}^2 = \bigcup_{n>0} \mathcal{NC}_n^2$$

.

Example 
$$(\mathcal{NC}_6^2)$$
.  $P = \{\{1,6\},\{2,3,5\},\{4\}\}$   $\sigma = 413265$   $\rho = \{\{1,3,6\},\{2\},\{4,5\}\}$ 

**Theorem 5.** Let  $nc_n^2$  be the cardinal of  $\mathcal{NC}_n^2$ . We have

$$nc_n^2 = (n+1)^{n-1}$$

Example (n = 1, 2, 3).

$$\begin{array}{lll} \bullet & n=2 & : & nc_2^2=3 \\ & & & \{\{1\},\{2\}\} & & 12 & & \rho=P \\ & & & \{\{1\},\{2\}\} & & 21 & & \rho=P \\ & & & \{\{1,2\}\} & & 12 & & \rho=P \end{array}$$

**Proposition.** This means we can create a bijection between  $\mathcal{PF}_n$  and  $\mathcal{NC}_n^2$ .

Proof.

•  $\mathcal{PF}_n \to \mathcal{NC}_n^2$ : Let  $f = (a_1, \dots, a_n) \in \mathcal{PF}_n$  be our parking function. For  $i \in \{1, \dots, n\}$ , we define:

 $l_i$ : the number of occurences of i in f.

$$im_i: \{j \mid a_j = i\}$$

The corresponding non-crossing partition will have the following constraints:

For each  $i \in \{1, ..., n\}$ , if  $l_i > 0$ , then there is a block  $B_{[i]}$  of length  $l_i$  with minimum element i.

$$\sigma(B_{[i]}) = im_i$$

There is a unique set partition  $P = \bigcup_{i} B_{[i]}$  of [n] and a unique per-

mutation  $\sigma$  respecting these conditions such that  $(P, \sigma) \in \mathcal{NC}_n^2$ : for each minimum i in decreasing order, add the  $n_i$  first free elements of  $[i+1, i+2, \ldots, n, 1, \ldots, i-1]$  to  $B_i$ .  $\sigma$  is then trivially obtained by the second constraint.

•  $\mathcal{NC}_n^2 \to \mathcal{PF}_n$ : Let  $(P, \sigma)$  with  $P = \{B_1, \dots, B_l\}$  be our non-crossing 2-partition. For each block  $B_i = \{b_1, \dots, b_k\} \in P$ :

$$m_i = min(B_i) = b_1$$
  
 $pos_i = \sigma(B_i)$ 

For each  $j \in pos_i$ , we define  $a_j = m_i$ The corresponding parking function is  $(a_1, \ldots, a_n)$ .

Example (n = 8).

$$P = \{\{1, 2, 5\}, \{3, 4\}, \{6, 8\}, \{7\}\}\}$$

$$\sigma = 36187245$$

$$f = (3, 6, 1, 7, 6, 1, 1, 3)$$

#### 1.3.1 The non-crossing 2-partitions poset

**Definition 11** ( $\succ^2$ ). We say that  $(P, \sigma)$  covers  $(Q, \tau)$ , written  $(P, \sigma) \succ^2 (Q, \tau)$ , if  $\exists B_i, B_j \in P$  such that

- $Q = P \{B_i, B_i\} \cup \{B_i \cup B_i\}$
- $l \neq i, jb \in B_l \rightarrow \tau(b) = \sigma(b)$
- Let  $B_i \cup B_j = \{b_1, \dots, b_k\}$ :  $\tau(B_i \cup B_j) = \sigma(B_i \cup B_j)$  $\tau(b_1) < \dots < \tau(b_k)$

#### Example.

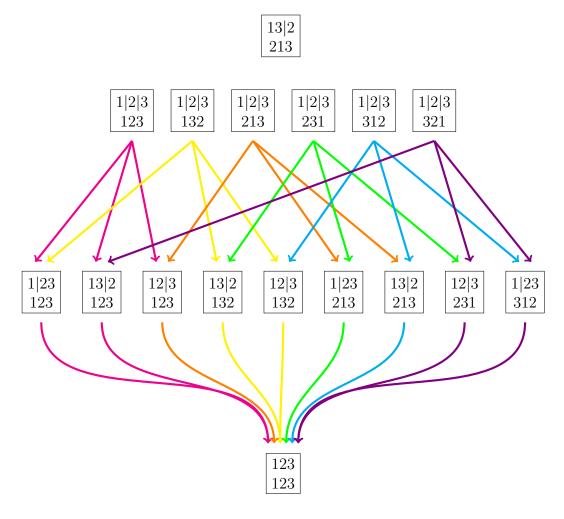
- $P = \{\{1,6\}, \{2,3\}, \{4\}, \{5\}\}$
- $\sigma = 236154$
- $Q = \{\{1,6\}, \{2,3,5\}, \{4\}\}$
- $\tau = 235164$
- $(P,\sigma) \succ^2 (Q,\tau)$
- $(P,\sigma) \not\succ^2 (Q,\sigma)$ , because  $\sigma(\{2,3,5\}) = \{3,6,5\}$  is not orderagenta.

**Proposition.** This covering relation defines the poset of  $\mathcal{NC}_n^2$ .

**Remark.** The bottom element of this poset is  $(\{\{1,\ldots,n\}\},12\ldots n)$ , and the top elements are  $\{(\{\{1\},\ldots,\{n\}\},\sigma)\mid \sigma\in\mathfrak{S}_n\}.$ 

**Example** (The poset of  $\mathcal{NC}_3^2$ ).

To shorten labels, we represent  $(\{\{1,3\},\{2\}\},213)$  by



There are  $4^2 = 16$  elements in this poset.

### 1.3.2 The parking functions poset

**Definition 12** (Rank). Given  $f = (a_1, ..., a_n) \in \mathcal{PF}_n$ , let

$$b_i = \begin{cases} 1 & \text{if } \exists j \mid a_j = i \\ 0 & \text{otherwise} \end{cases}$$

We define the rank of f, noted rk(f), as

$$\sum_{1 \le i \le n} b_i$$

Example.

$$rk((1,5,4,2,3,3,1)) = 5$$
  
 $rk((4,7,1,1,3,2,2,8)) = 6$ 

**Definition 13** ( $\succ_{pf}$ ). Since  $\mathcal{PF}_n$  and  $\mathcal{NC}_n^2$  are in bijection, we can define a covering relation  $\succ_{pf}$  for  $\mathcal{PF}_n$  as follows:  $f \in \mathcal{PF}_n \succ_{pf} g \in \mathcal{PF}_n$  if and only if:

- $(P, \sigma)$  is the non-crossing 2-partition associated to f
- ullet (Q, au) is the non-crossing 2-partition associated to g
- $(P,\sigma) \succ^2 (Q,\tau)$

Example.

- $P = \{\{1,6\}, \{2,3\}, \{4\}, \{5\}\}$
- $\sigma = 236154$
- $Q = \{\{1,6\}, \{2,3,5\}, \{4\}\}$
- $\tau = 235164$
- $f = (4, 1, 2, 1, 5, 2) \succ_{pf} g = (4, 1, 2, 1, 2, 2)$

**Remark.** If  $f \succ_{pf} g$ , then rk(f) = rk(g) + 1, and there exists i and j such that :

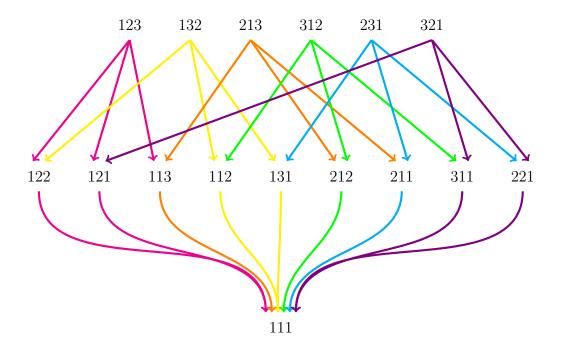
- i < j</li>
- ullet There is at least 1 occurrence of i in f
- ullet There is at least 1 occurrence of j in f

$$b_k = \begin{cases} i & \text{if } a_k = j \\ a_k & \text{otherwise} \end{cases}$$

**Proposition.** This covering relation defines the poset of  $\mathcal{PF}_n$ .

**Remark.** The bottom element of this poset is  $(\underbrace{1,\ldots,1}_n)$ , and the top elements are the permutations of  $\{1,\ldots,n\}$ .

## Example (The poset of $\mathcal{PF}_3$ ).



## Chapter 2

## The rational case

For the whole chapter, we will consider 2 coprime integers a and b (meaning a and b have 1 as their greatest common divisor).

## 2.1 Rational Parking Functions

**Definition 14** (a, b - Parking Function). An a, b - parking function is a sequence  $(a_1, a_2, \ldots, a_n)$  such that :

- $\bullet$  n=a
- its non-decreasing reordering  $(b_1, b_2, \dots, b_n)$  has  $b_i \leqslant \frac{b}{a}(i-1) + 1$  for all i.

We denote by  $\mathcal{PF}_{a,b}$  the set of a, b - parking functions.

#### Example.

• Ex. 1: 
$$a > b$$

$$a = 7$$

$$b = 3$$
Limits of the non-decreasing reordering of any  $f \in \mathcal{PF}_{7,3}$ :
$$[1, 1\frac{3}{7}, 1\frac{6}{7}, 2\frac{2}{7}, 2\frac{5}{7}, 3\frac{1}{7}, 3\frac{4}{7}]$$

$$f_1 = (2, 1, 1, 3, 2, 3, 1) \in \mathcal{PF}_{7,3}$$

$$f_2 = (2, 1, 2, 3, 2, 3, 1) \notin \mathcal{PF}_{7,3}, \text{ even though } f_2 \in \mathcal{PF}_7$$

• Ex. 2: 
$$a < b$$

$$a = 5$$

$$b = 7$$
Limits of the non-decreasing reordering of any  $f \in \mathcal{PF}_{5,7}$ :
$$[1, 2\frac{2}{5}, 3\frac{4}{5}, 5\frac{1}{5}, 6\frac{3}{5}]$$

$$f_3 = (6, 3, 5, 1, 2) \in \mathcal{PF}_{5,7}, \text{ even though } f_3 \notin \mathcal{PF}_5$$

**Theorem 6.** Let  $pf_{a,b}$  be the cardinal of  $\mathcal{PF}_{a,b}$ . We have

 $f_4 = (6, 3, 5, 1, 3) \notin \mathcal{PF}_{5,7}$ 

$$pf_{a,b} = b^{a-1}$$

**Example** (a = 3, b = 5).

• 
$$pf_{a,b} = 25$$
 •  $Limits: [1, 2\frac{2}{3}, 4\frac{1}{3}]$ 

**Remark.**  $\mathcal{PF}_{n,n+1} = \mathcal{PF}_n$ . In fact, we do have  $b^{a-1} = (n+1)^{n-1}$ .

#### 2.1.1 Rational primitive parking functions

**Definition 15** (Rational Primitive). A rational parking function f is said primitive if it is already in non-decreasing order.

We denote by  $\mathcal{PF'}_{a,b}$  the set of primitive  $a,\ b$  - parking functions.

Example 
$$(a = 4, b = 3)$$
. Limits:  $[1, 1\frac{3}{4}, 2\frac{1}{2}, 3\frac{1}{4}]$   
 $f_1 = (1, 1, 2, 2) \in \mathcal{PF'}_{4,3}$   
 $f_2 = (1, 1, 2, 1) \notin \mathcal{PF'}_{4,3}$ , even though  $f_2 \in \mathcal{PF}_{4,3}$ .

**Theorem 7.** Let  $pf'_{a,b}$  be the cardinal of  $\mathcal{PF'}_{a,b}$ . We have

$$pf'_{a,b} = \frac{1}{a+b} \binom{a+b}{b}$$

which is the rational Catalan number Cat(a, b).

**Example** (a = 3, b = 5).

• 
$$pf'_{a,b} = 7$$
 •  $Limits : [1, 2\frac{2}{3}, 4\frac{1}{3}]$ 

$$(1,1,1)$$
  $(1,1,2)$   $(1,1,3)$   $(1,1,4)$   $(1,2,2)$   $(1,2,3)$   $(1,2,4)$ 

**Remark.**  $\mathcal{PF'}_{n,n+1} = \mathcal{PF'}_n$ . In fact, we do have

$$\frac{1}{n+n+1} \binom{n+n+1}{n+1} = \frac{1}{2n+1} \binom{2n+1}{n+1} = \frac{1}{2n+1} \frac{(2n+1)!}{n!(n+1)!}$$
$$= \frac{(2n)!}{n!(n+1)!} = \frac{1}{n+1} \frac{(2n)!}{n!n!} = \frac{1}{n+1} \binom{2n}{n}$$

### 2.2 Rational Non-crossing Partitions

**Definition 16** (a, b - Non-crossing Partition). An a, b - non-crossing partition is TODO

Example. An abncp

Theorem 8. number of abncp

Example. all abncp for some a b

**Proposition.** This means we can create a bijection between  $\mathcal{PF'}_{a,b}$  and  $\mathcal{NC}_{a,b}$ .

Proof.

- $\mathcal{NC}_{a,b} \to \mathcal{PF'}_{a,b}$ :
- $\bullet \ \mathcal{PF'}_{a,b} \to \mathcal{NC}_{a,b} :$

Definition 17. ncab2

Example. some ncab2

Theorem 9. number of ncab2

Example. all ncab2 for some a b

Proposition. bijection

*Proof.* bijection proof

## Chapter 3

## Trees

### 3.1 Parking Trees

**Definition 18** (Parking Trees). A parking tree is defined from a parking function  $f = (a_1, \ldots, a_n) \in \mathcal{PF}_n$  as follows:

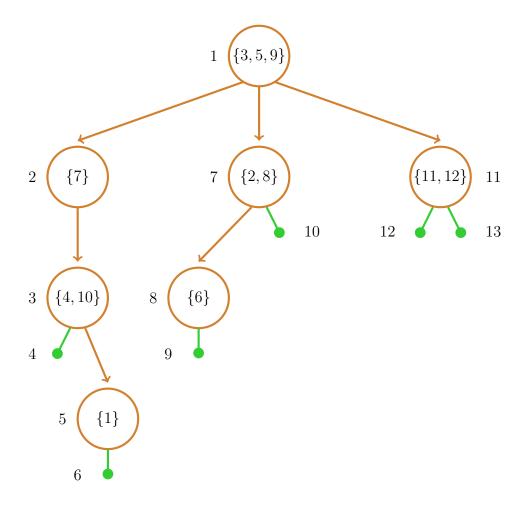
- For  $1 \le i \le n+1$ , we define  $s_i$  as  $\{j \mid a_j = i\}$
- $[s_1, \ldots, s_{n+1}]$  describes the pre-order depth-first traversal of the tree.
- Each node labeled by a set of size k has k children.

**Remark.** The leaves of the tree are those corresponding to an element i such that  $1 \le i \le n+1$ , and i is not in f.

Furthermore, as we will have a total edges by definition, the presence of a node corresponding to n+1 is necessary, even though it will always be empty.

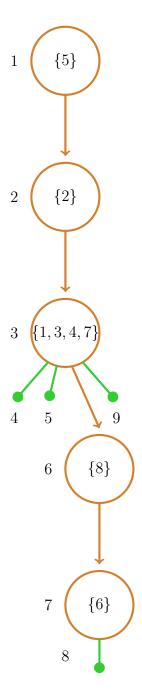
Example (n = 12).

- f = (5, 7, 1, 3, 1, 8, 2, 7, 1, 3, 11, 11)
- Labels:  $[\{3,5,9\},\ \{7\},\ \{4,10\},\ \emptyset,\ \{1\},\ \emptyset,\ \{2,8\},\ \{6\},\ \emptyset,\ \emptyset,\ \{11,12\},\ \emptyset,\emptyset]$



Conversely, by reading the labels of a parking tree depth-first in pre-order, we get the list of positions of each number in the corresponding parking function, thus creating a *bijection*.

Example (From parking tree to parking function).



- $\bullet \ \ \textit{The labels are} \ [\{5\}, \ \{2\}, \ \{1, 3, 4, 7\}, \ \emptyset, \ \emptyset, \ \{8\}, \ \{6\}, \ \emptyset, \ \emptyset].$
- Thus the corresponding parking function is  $(3,2,3,3,1,7,3,6) \in \mathcal{PF}_8$ .

## 3.2 Rational Parking Trees

**Definition 19** (Rational Parking Trees). A rational parking tree is defined from a rational parking function  $f = (a_1, \ldots, a_a) \in \mathcal{PF}_{a,b}$  as follows:

- For  $1 \le i \le n+1$ , we define the limit  $l_i$  as the integer portion of  $\frac{b}{a}(i-1)+1$ . Let  $l_0=0$ .
- From these limits, we deduce the intervals  $itv_i = ]l_{i-1}, l_i]$  for  $1 \le i \le a+1$ .
- For  $1 \leq i \leq b+1$ , define  $s_i$  as  $\{j \mid a_j = i\}$ .
- $[s_1, \ldots, s_{b+1}]$  describes the pre-order depth-first traversal of the tree.
- Each node labeled by a set of size k has k groups of children, which are defined by the intervals.

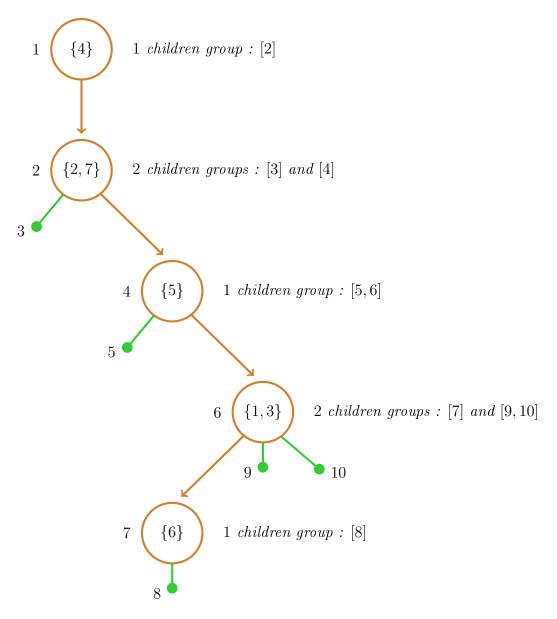
Example (a < b).

- a = 7
- b = 9
- Limits:  $[1, 2\frac{2}{7}, 3\frac{4}{7}, 4\frac{6}{7}, 6\frac{1}{7}, 7\frac{3}{7}, 8\frac{5}{7}, 10]$
- Integral limits: [0, 1, 2, 3, 4, 6, 7, 8, 10]
- Intervals :

$$]0,1]$$
  $]1,2]$   $]2,3]$   $]3,4]$   $]4,6]$   $]6,7]$   $]7,8]$   $]8,10]$ 

• Children groups:

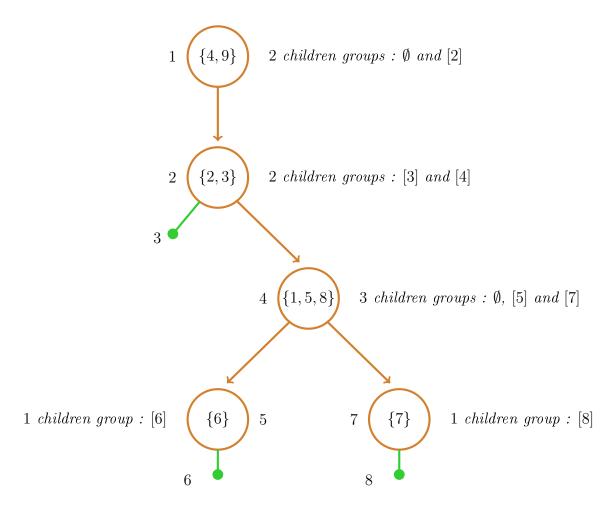
- f = (6, 2, 6, 1, 4, 7, 2)
- Labels:  $\{\{4\}, \{2,7\}, \emptyset, \{5\}, \emptyset, \{1,3\}, \{6\}, \emptyset, \emptyset, \emptyset\}$



Example (a > b).

- a = 9
- *b* = 7
- Limits:  $[1, 1\frac{7}{9}, 2\frac{5}{9}, 3\frac{3}{9}, 4\frac{1}{9}, 4\frac{8}{9}, 5\frac{6}{9}, 6\frac{4}{9}, 7\frac{2}{9}, 8]$

- Integral limits: [0, 1, 1, 2, 3, 4, 4, 5, 6, 7, 8]
- $\bullet$  Intervals:
  - [0,1] [1,1] [1,2] [2,3] [3,4] [4,4] [4,5] [5,6] [6,7] [7,8]
- ullet Children groups :
  - [1]  $\emptyset$  [2] [3] [4]  $\emptyset$  [5] [6] [7] [8]
- f = (4, 2, 2, 1, 4, 5, 7, 4, 1)
- $\bullet \ \textit{Labels} : \{ \{4,9\}, \ \{2,3\}, \ \emptyset, \ \{1,5,8\}, \{6\}, \ \emptyset, \ \{7\}, \ \emptyset \}$



In both cases, the converse direction of the bijection is obtained with the same method as for classical parking trees.