## Rational Parking Functions

Matthieu Josuat-Vergès

Tessa Lelièvre-Osswald

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#### Abstract

This is an abstract about Rational Parking Functions



## Chapter 1

## The integer case

### 1.1 Parking Functions

**Definition 1** (Parking Function). A parking function is a sequence  $(a_1, a_2, \ldots, a_n)$  such that its non-decreasing reordering  $(b_1, b_2, \ldots, b_n)$  has  $b_i < i$  for all i. We denote by  $\mathcal{PF}_n$  the set of parking functions of length n.

$$\mathcal{PF} = \bigcup_{n>0} \mathcal{PF}_n$$

.

Example.

$$f_1 = (7, 3, 1, 4, 2, 5, 2) \in \mathcal{PF}_7$$
  
 $f_2 = (7, 3, 1, 4, 2, 5, 4) \notin \mathcal{PF}_7$ 

**Theorem 1.** Let  $pf_n$  be the cardinal of  $\mathcal{PF}_n$ . We have

$$pf_n = (n+1)^{n-1}$$

.

**Example** (n = 1, 2, 3).

- $n = 1 : pf_1 = 1$
- (1)  $n = 2 : pf_2 = 3$

#### Primitive parking functions 1.1.1

**Definition 2** (Primitive). A parking function  $(a_1, a_2, \ldots, a_n)$  is said primitive if it is already in non-decreasing order.

We denote by  $\mathcal{PF'}_n$  the set of primitive parking functions of length n.

$$\mathcal{PF}' = \bigcup_{n>0} \mathcal{PF'}_n$$

Example.

$$f_1 = (1, 2, 2, 3) \in \mathcal{PF'}_4$$
  
 $f_2 = (1, 2, 3, 2) \notin \mathcal{PF'}_4$ , even though  $f_2 \in \mathcal{PF}_4$ 

**Theorem 2.** Let  $pf'_n$  be the cardinal of  $\mathcal{PF'}_n$ . We have

$$pf_n' = \frac{1}{n+1} \binom{2n}{n}$$

which is the n<sup>th</sup> Catalan number.

**Example** (n = 1, 2, 3).

- $\bullet \ n=1 \ : \ pf_1'=1$ (1)
- n = 2 :  $pf'_2 = 2$  (1,1) (1,2)• n = 3 :  $pf'_3 = 5$  (1,1,1) (1,1,2)(1,1,3) (1,2,2) (1,2,3)

#### 1.2 Non-crossing Partitions

**Definition 3** (Non-crossing Partition). A non-crossing partition of a set E is a set partition  $P = \{E_1, E_2, \ldots, E_k\}$  such that if  $a, c \in E_i$ ,  $b, d \in E_j$ , and  $i \neq j$ , then we do not have a < b < c < d, nor a > b > c > d.

We denote by  $\mathcal{NC}_n$  the set of non-crossing partitions of  $\{1, 2, \ldots, n\}$ .

$$\mathcal{NC} = \bigcup_{n>0} \mathcal{NC}_n$$

From this point, we assume that every partition  $P = \{B_1, \ldots, B_l\}$  is sorted such that:

- For each block  $B_i = \{b_1, \ldots, b_k\} \in P, b_1 < \ldots < b_k$
- $min(B_1) < \ldots < min(B_k)$

**Notation.**  $[n] = \{1, 2, ..., n\}$ 

Example (E = [6]).

$$P_1 = \{\{1, 2, 5\}, \{3, 4\}, \{6\}\} \in \mathcal{NC}_6$$
  
$$P_2 = \{\{1, 2, 4\}, \{3, 5\}, \{6\}\} \notin \mathcal{NC}_6$$

**Theorem 3.** Let  $nc_n$  be the cardinal of  $\mathcal{NC}_n$ . We have

$$nc_n = \frac{1}{n+1} \binom{2n}{n}$$

which is again the  $n^{th}$  Catalan number.

**Example** (n = 1, 2, 3).

- n = 1 :  $nc_1 = 1$  {{1}}
- n = 2 :  $nc_2 = 2$  {{1, 2}} {{1}, {2}}
- n = 3 :  $nc_3 = 5$  {{1,2,3}} {{1},{2,3}} {{1},{2,3}} {{1},{2},{3}}

**Proposition.** This means we can create a bijection between  $\mathcal{PF'}_n$  and  $\mathcal{NC}_n$ .

- $\mathcal{NC}_n \to \mathcal{PF'}_n$ : For each block B in the non-crossing partition, take i = min(B), and  $k_i = size(B)$ .  $k_i = 0$  if i is not the minimum of a block.

  The corresponding parking function is  $\underbrace{(1, \ldots, 1, 2, \ldots, 2, \ldots, n, \ldots, n)}_{k_n}$ .
- $\mathcal{PF'}_n \to \mathcal{NC}_n$ : For each i in [n], if i appears  $n_i$  times in the parking function,  $B_i$  will be of size  $n_i$  with minimum element i. There is a unique set partition  $P = \bigcup_i B_i$  of [n] respecting these conditions that is non-crossing.

Example (n = 6).

$$P = \{\{1, 2, 5\}, \{3, 4\}, \{6\}\}$$
  $f = (1, 1, 1, 3, 3, 6)$ 

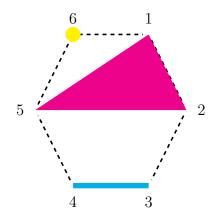
Corollary. A non-crossing partition can be represented by the minimums and sizes of its blocks.

**Example.**  $\{\{1,2,5\},\{3,4\},\{6\}\}\$  can be represented by the following dictionnary:

- 1 : 3
- 3 : 2
- 6:1

A non-crossing partition of [n] can be represented graphically on a regular n-vertices polygon, with vertices labeled from 1 to n clockwise. We then represent each block  $B = \{b_1, \ldots, b_k\}$  by the convex hull of  $\{b_1, \ldots, b_k\}$ .

Example  $(P = \{\{1, 2, 5\}, \{3, 4\}, \{6\}\})$ .



Thus non-crossing meaning the hulls are disjoint.

#### 1.2.1 The non-crossing partitions poset

**Definition 4** (>). We say that P covers Q, written P > Q, if  $\exists B_i, B_j \in P$  such that  $Q = P - \{B_i, B_j\} \cup \{B_i \cup B_j\}$ 

**Example.**  $\{\{1,6\},\{2,3\},\{4,5\}\} \succ \{\{1,2,3,6\},\{4,5\}\}$ 

- $B_i = \{1, 6\}$
- $B_j = \{2, 3\}$

**Proposition.** This covering relation defines the poset of  $\mathcal{NC}_n$ . We denote by  $\mathcal{NCC}_n$  the set of maximal chains in the poset of  $\mathcal{NC}_n$ .

$$\mathcal{NCC} = \bigcup_{n>0} \mathcal{NCC}_n$$

**Remark.** The bottom element of this poset is  $\{\{1,\ldots,n\}\}$ , and the top element is  $\{\{1\},\ldots,\{n\}\}$ .

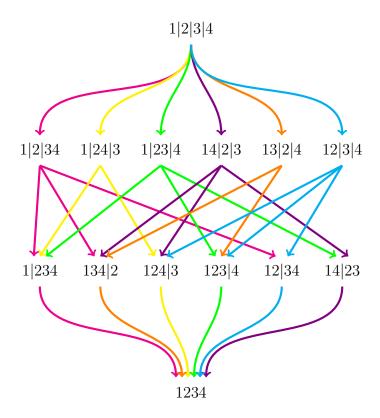
**Theorem 4.** Let  $ncc_n$  be the cardinal of  $NCC_n$ . We have

$$ncc_n = n^{n-2}$$

.

**Example** (The poset of  $\mathcal{NC}_4$ ).

To shorten labels, we represent  $\{\{1\}, \{2,3\}, \{4\}\}\ by\ 1|23|4$ .



There are  $4^2 = 16$  different maximal chains, and  $\frac{1}{5}\binom{8}{4} = \frac{70}{5} = 14$  elements in this poset.

#### 1.2.2 Kreweras complement

**Definition 5** (Associated Permutation). The permutation  $\sigma$  associated to a non-crossing partition has a cycle  $(b_1, \ldots, b_k)$  for each block  $B = \{b_1, \ldots, b_k\}$  of the partition.

**Example.** The permutation associated to  $\{\{1, 2, 5\}, \{3, 4\}, \{6\}\}\$  is  $(1\ 2\ 5)\ (3\ 4)\ (6) = 254316$ .

**Definition 6** (Kreweras Complement). The Kreweras complement K(P) of a non-crossing partition P is defined as follows:

- Let  $\sigma$  be the permutation associated to P
- Let  $\pi$  be the permutation  $(n \ n-1 \ n-2 \ \dots \ 3 \ 2 \ 1) = n123 \dots n-1$
- K(P) is the non-crossing partition associated to  $\pi\sigma$ .

Example  $(P = \{\{1, 2, 5\}, \{3, 4\}, \{6\}\})$ .

- $\sigma = (1\ 2\ 5)\ (3\ 4)\ (6) = 254316$
- $\pi = (6\ 5\ 4\ 3\ 2\ 1) = 612345$
- $\pi \sigma = 143265 = (1) (2 4) (3) (5 6)$
- $K(P) = \{\{1\}, \{2,4\}, \{3\}, \{5,6\}\}$

**Proposition** (Kreweras minimums). Let  $P = \{B_1, \ldots, B_k\}$  be a non-crossing partition. Let  $K(P) = \{B'_1, \ldots, B'_l\}$  be its Kreweras complement. Then

$$\bigcup_{1 \le i \le l} \min(B_i') = B_1 \cup \bigcup_{1 < j \le k} B_i - \max(B_i)$$

Example  $(P = \{\{1, 2, 5\}, \{3, 4\}, \{6\}\})$ .

- $K(P) = \{\{1\}, \{2,4\}, \{3\}, \{5,6\}\}$
- $\bigcup min(B'_i) = \{1, 2, 3, 5\}$
- $B_1 \cup \bigcup B_i max(B_i) = \{1, 2, 5\} \cup \{3, 4\} \{4\} \cup \{6\} \{6\} = \{1, 2, 5\} \cup \{3\} \cup \emptyset = \{1, 2, 3, 5\}$

Notation.  $B_{[i]} = block \ containing \ i.$ 

**Proposition** (Kreweras block sizes). Let  $P = \{B_1, \ldots, B_k\}$  be a non-crossing partition. Let  $K(P) = \{B'_1, \ldots, B'_l\}$  be its Kreweras complement. Then the size of the block  $B'_i$  is defined as follows:

- Let  $m_i$  be the the  $i^{th}$  minimum of K(P)
- Define a transition  $\phi(e)$  as

Let 
$$j = e + 1$$
 (or 1 if  $e = n$ )  

$$\phi(e) = max(B_{[i]})$$

• The size of  $B'_i$  is  $k_{min}$  such that  $k_{min} = min\{k > 0 \mid \phi^k(m_i) \in B_{[m_i]}\}.$ 

Example  $(P = \{\{1, 2, 5\}, \{3, 4\}, \{6\}\})$ .

- $mins = \{1, 2, 3, 5\}$
- $m_1 = 1$   $B_{[1]} = B_1$   $max(B_{[2]} = max(B_1) = 5$ The size for  $m_1$  is 1.
- $m_2$   $B_{[2]} = B_1$   $max(B_{[3]}) = max(B_2) = 4$   $max(B_{[5]}) = max(B_1) = 5$ The size for  $m_2$  is 2.
- $m_3 = 3$   $B_{[3]} = B_2$   $max(B_{[4]}) = max(B_2) = 4$ The size for  $m_3$  is 1.
- $m_4 = 5$   $B_{[5]} = B_1$   $max(B_{[6]}) = max(B_3) = 6$   $max(B_{[1]}) = max(B_1) = 5$ The size for  $m_4$  is 2.

#### 1.2.3 Action of $\mathfrak{S}_n$ on $\mathcal{NC}_n$

**Definition 7** (Action of  $\mathfrak{S}_n$ ). The action of  $\mathfrak{S}_n$  on a non-crossing partition  $P = \{B_1, \ldots, B_l\} \in \mathcal{NC}_n$  is defined by:

- For each block  $B_i = \{b_1, \ldots, b_k\}$ :  $\sigma(Bi) = \{\sigma(b_1), \ldots, \sigma(b_k)\}$
- We denote  $\rho = \sigma(P) = {\sigma(B_1), \ldots, \sigma(B_l)}$

Example  $(\sigma = 415362)$ .  $\sigma(\{\{1,6\},\{2,3,5\},\{4\}\}) = \{\{1,5,6\},\{2,4\},\{3\}\}\}$ 

**Remark.** Note that  $\sigma(P)$  is not necessarily non-crossing.

**Definition 8** (Rotation). We define the rotation operator rot of  $P \in \mathcal{NC}_n$  as  $rot(P) = (1 \ 2 \ 3 \dots n)(P) = 23 \dots n1(P)$ . Conversely, we define  $rot^{-1}$  of P as  $rot^{-1}(P) = (n \ n-1 \dots 3 \ 2 \ 1)(P) = n12 \dots n-1(P)$ .

**Remark.**  $K(K(P)) = rot^{-1}(P)$ .

Example  $(P = \{\{1,6\}, \{2,3,5\}, \{4\}\})$ .

- $rot(P) = \{\{1, 2\}, \{3, 4, 6\}, \{5\}\}\$
- $rot^{-1}(P) = \{\{1, 2, 4\}, \{3\}, \{5, 6\}\}$

### 1.3 Non-crossing 2-partitions

**Definition 9** (Non-crossing 2-partition). A non-crossing 2-partition of a set E is a pair  $(P, \sigma)$  where :

- P is a non-crossing partition of E
- $\bullet$   $\sigma$  is a permutation of the elements of E
- For each sorted block  $B_i = \{b_1, \ldots, b_k\} \in P$ , we have  $\sigma(b_i) < \ldots < \sigma(b_k)$

We denote by  $\mathcal{NC}_n^2$  the set of non-crossing 2-partitions of [n].

$$\mathcal{NC}^2 = \bigcup_{n>0} \mathcal{NC}_n^2$$

.

Example 
$$(\mathcal{NC}_6^2)$$
.  $P = \{\{1,6\},\{2,3,5\},\{4\}\}$   $\sigma = 413265$   $\rho = \{\{1,3,6\},\{2\},\{4,5\}\}$ 

**Theorem 5.** Let  $nc_n^2$  be the cardinal of  $\mathcal{NC}_n^2$ . We have

$$nc_n^2 = (n+1)^{n-1}$$

**Example** (n = 1, 2, 3).

• 
$$n=2$$
 :  $nc_2^2=3$    
  $\{\{1\},\{2\}\}$  12  $\rho=P$    
  $\{\{1\},\{2\}\}$  21  $\rho=P$    
  $\{\{1,2\}\}$  12  $\rho=P$ 

$$\bullet \ n = 3 : nc_3^2 = 16$$

$$\{\{1\}, \{2\}, \{3\}\} \}$$

$$\{\{1\}, \{2\}, \{3\}\} \}$$

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$$\{\{1\}, \{2, 3\}\} \} \}$$

$$\{\{1,3\},\{2\}\}$$
 213  $\rho = \{\{1\},\{2,3\}\}$  
$$\{\{1,2,3\}\}$$
 123  $\rho = P$ 

**Proposition.** This means we can create a bijection between  $\mathcal{PF}_n$  and  $\mathcal{NC}_n^2$ 

•  $\mathcal{PF}_n \to \mathcal{NC}_n^2$ : Let  $f = (a_1, \ldots, a_n) \in \mathcal{PF}_n$  be our parking function. For  $i \in \{1, \ldots, n\}$ , we define:

 $l_i$ : the number of occurences of i in f.

$$im_i: \{j \mid a_j = i\}$$

The corresponding non-crossing partition will have the following constraints:

For each  $i \in \{1, ..., n\}$ , if  $l_i > 0$ , then there is a block  $B_{[i]}$  of length  $l_i$  with minimum element i.

$$\sigma(B_{[i]}) = im_i$$

There is a unique set partition  $P = \bigcup_{i} B_{[i]}$  of [n] and a unique permutation  $\sigma$  respecting these conditions such that  $(P, \sigma) \in \mathcal{NC}_n^2$ .

•  $\mathcal{NC}_n^2 \to \mathcal{PF}_n$ : Let  $(P, \sigma)$  with  $P = \{B_1, \dots, B_l\}$  be our non-crossing 2-partition. For each block  $B_i = \{b_1, \dots, b_k\} \in P$ :

$$m_i = min(B_i) = b_1$$
  
 $pos_i = \sigma(B_i)$ 

For each  $j \in pos_i$ , we define  $a_j = m_i$ The corresponding parking function is  $(a_1, \ldots, a_n)$ .

Example (n = 8).

$$P = \{\{1, 2, 5\}, \{3, 4\}, \{6, 8\}, \{7\}\}\}$$

$$\sigma = 36187245$$

$$f = (3, 6, 1, 7, 6, 1, 1, 3)$$

#### 1.3.1 The non-crossing 2-partitions poset

**Definition 10** ( $\succ^2$ ). We say that  $(P, \sigma)$  covers  $(Q, \tau)$ , written  $(P, \sigma) \succ^2 (Q, \tau)$ , if  $\exists B_i, B_j \in P$  such that

- $Q = P \{B_i, B_j\} \cup \{B_i \cup B_j\}$
- $l \neq i, jb \in B_l \rightarrow \tau(b) = \sigma(b)$
- Let  $B_i \cup B_j = \{b_1, \dots, b_k\}$ :  $\tau(B_i \cup B_j) = \sigma(B_i \cup B_j)$  $\tau(b_1) < \dots < \tau(b_k)$

#### Example.

- $P = \{\{1,6\}, \{2,3\}, \{4\}, \{5\}\}$
- $\sigma = 236154$
- $\bullet \ Q = \{\{1,6\},\{2,3,5\},\{4\}\}$
- $\tau = 235164$
- $\bullet \ (P,\sigma) \succ^2 (Q,\tau)$
- $(P, \sigma) \not\succ^2 (Q, \sigma)$ , because  $\sigma(\{2, 3, 5\}) = \{3, 6, 5\}$  is not orderagenta.

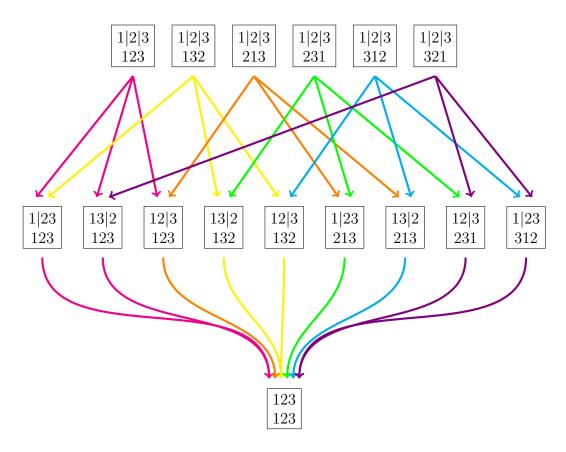
**Proposition.** This covering relation defines the poset of  $\mathcal{NC}_n^2$ .

**Remark.** The bottom element of this poset is  $(\{\{1,\ldots,n\}\},12\ldots n)$ , and the top elements are  $\{(\{\{1\},\ldots,\{n\}\},\sigma)\mid \sigma\in\mathfrak{S}_n\}.$ 

**Example** (The poset of  $\mathcal{NC}_3^2$ ).

To shorten labels, we represent  $(\{\{1,3\},\{2\}\},213)$  by

13|2 213



There are  $4^2 = 16$  elements in this poset.

#### 1.3.2 The parking functions poset

**Definition 11** (Rank). Given  $f = (a_1, ..., a_n) \in \mathcal{PF}_n$ , let

$$b_i = \begin{cases} 1 & \text{if } \exists j \mid a_j = i \\ 0 & \text{otherwise} \end{cases}$$

We define the rank of f, noted rk(f), as

$$\sum_{1 \le i \le n} b_i$$

Example.

$$rk((1,5,4,2,3,3,1)) = 5$$
  
 $rk((4,7,1,1,3,2,2,8)) = 6$ 

**Definition 12** ( $\succ_{pf}$ ). Since  $\mathcal{PF}_n$  and  $\mathcal{NC}_n^2$  are in bijection, we can define a covering relation  $\succ_{pf}$  for  $\mathcal{PF}_n$  as follows:  $f \in \mathcal{PF}_n \succ_{pf} g \in \mathcal{PF}_n$  if and only if:

- $(P, \sigma)$  is the non-crossing 2-partition associated to f
- ullet (Q, au) is the non-crossing 2-partition associated to g
- $(P,\sigma) \succ^2 (Q,\tau)$

Example.

- $P = \{\{1,6\}, \{2,3\}, \{4\}, \{5\}\}$
- $\sigma = 236154$
- $Q = \{\{1,6\}, \{2,3,5\}, \{4\}\}$
- $\tau = 235164$
- $f = (4, 1, 2, 1, 5, 2) \succ_{pf} g = (4, 1, 2, 1, 2, 2)$

**Remark.** If  $f \succ_{pf} g$ , then rk(f) = rk(g) + 1, and there exists i and j such that :

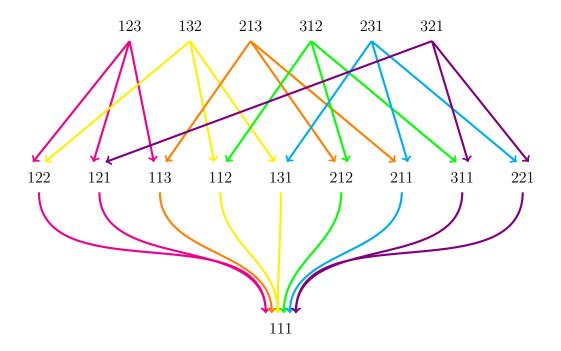
- $\bullet$  i < j
- ullet There is at least 1 occurrence of i in f
- ullet There is at least 1 occurrence of j in f

$$b_k = \begin{cases} i & \text{if } a_k = j \\ a_k & \text{otherwise} \end{cases}$$

**Proposition.** This covering relation defines the poset of  $\mathcal{PF}_n$ .

**Remark.** The bottom element of this poset is  $(\underbrace{1,\ldots,1}_n)$ , and the top elements are the permutations of  $\{1,\ldots,n\}$ .

### Example (The poset of $\mathcal{PF}_3$ ).



### Chapter 2

### The rational case

For the whole chapter, we will consider 2 coprime integers a and b (meaning a and b have 1 as their greatest common divisor).

### 2.1 Rational Parking Functions

**Definition 13** (a, b - Parking Function). An a, b - parking function is a sequence  $(a_1, a_2, \ldots, a_n)$  such that :

- $\bullet$  n=a
- its non-decreasing reordering  $(b_1, b_2, \dots, b_n)$  has  $b_i \leqslant \frac{b}{a}(i-1) + 1$  for all i.

We denote by  $\mathcal{PF}_a^b$  the set of a, b - parking functions.

#### Example.

• Ex. 1: 
$$a > b$$

$$a = 7$$

$$b = 3$$
Limits of the non-decreasing reordering of any  $f \in \mathcal{PF}_7^3$ :
$$[1, 1\frac{3}{7}, 1\frac{6}{7}, 2\frac{2}{7}, 2\frac{5}{7}, 3\frac{1}{7}, 3\frac{4}{7}]$$

$$f_1 = (2, 1, 1, 3, 2, 3, 1) \in \mathcal{PF}_7^3$$

$$f_2 = (2, 1, 2, 3, 2, 3, 1) \notin \mathcal{PF}_7^3, \text{ even though } f_2 \in \mathcal{PF}_7$$

• Ex. 2: 
$$a < b$$

$$a = 5$$

$$b = 7$$
Limits of the non-decreasing reordering of any  $f \in \mathcal{PF}_5^7$ :
$$[1, 2\frac{2}{5}, 3\frac{4}{5}, 5\frac{1}{5}, 6\frac{3}{5}]$$

$$f_3 = (6, 3, 5, 1, 2) \in \mathcal{PF}_5^7$$
, even though  $f_3 \notin \mathcal{PF}_5$ 

$$f_4 = (6, 3, 5, 1, 3) \notin \mathcal{PF}_5^7$$

**Theorem 6.** Let  $pf_a^b$  be the cardinal of  $\mathcal{PF}_a^b$ . We have

$$pf_a^b = b^{a-1}$$

**Example** (a = 3, b = 5).

•  $pf_a^b = 25$  •  $Limits: [1, 2\frac{2}{3}, 4\frac{1}{3}]$ 

**Remark.**  $\mathcal{PF}_n^{n+1} = \mathcal{PF}_n$ . In fact, we do have  $b^{a-1} = (n+1)^{n-1}$ .

#### 2.1.1 Rational primitives parking functions

**Definition 14** (Rational Primitive). A rational parking function f is said primitive if it is already in non-decreasing order.

We denote by  $\mathcal{PF'}_a^b$  the set of primitive a, b - parking functions.

Example 
$$(a = 4, b = 3)$$
. Limits:  $[1, 1\frac{3}{4}, 2\frac{1}{2}, 3\frac{1}{4}]$ 

$$f_1 = (1, 1, 2, 2) \in \mathcal{PF'}_4^3$$

$$f_2 = (1, 1, 2, 1) \notin \mathcal{PF'}_4^3, \text{ even though } f_2 \in \mathcal{PF}_4^3.$$

**Theorem 7.** Let  $pf_a^{\prime b}$  be the cardinal of  $\mathcal{PF'}_a^b$ . We have

$$pf_a^{\prime b} = \frac{1}{a+b} \binom{a+b}{b}$$

Example (a = 3, b = 5). •  $pf_a^{\prime b} = 7$  •  $Limits : [1, 2\frac{2}{3}, 4\frac{1}{3}]$ 

$$(1,1,1)$$
  $(1,1,2)$   $(1,1,3)$   $(1,1,4)$   $(1,2,2)$   $(1,2,3)$   $(1,2,4)$ 

**Remark.**  $\mathcal{PF}_{n}^{n+1} = \mathcal{PF}_{n}^{r}$ . In fact, we do have

$$\frac{1}{n+n+1} \binom{n+n+1}{n+1} = \frac{1}{2n+1} \binom{2n+1}{n+1} = \frac{1}{2n+1} \frac{(2n+1)!}{n!(n+1)!}$$
$$= \frac{(2n)!}{n!(n+1)!} = \frac{1}{n+1} \frac{(2n)!}{n!n!} = \frac{1}{n+1} \binom{2n}{n}$$

# Chapter 3

Trees