

# INVOICE



## BILL TO:

Financial Industry Regulatory Authority  
One Liberty Plaza (165 Broadway)  
Attn: Irene Bobbins or Lena Kayzman 52nd Floor  
New York, NY 10006  
United States of America

## INVOICE NUMBER:

2120013534

## INVOICE DATE:

Dec 19, 2023

## ACCOUNT NUMBER:

1099

## YOUR REFERENCE:

Irene Bobbins

## OUR REFERENCE:

Robert Martino

MPP Fees - December 2023

## BILLING SUMMARY

VAT	0.00 USD
Total Amount Due	1,024,006.11 USD
Total Due By	Jan 18, 2024

## QUESTIONS?

Any remarks regarding this invoice should be made within 14 days to our email:  
omtechinvoice@nasdaq.com

## PAYMENT INSTRUCTIONS:

Bank: SEB Sweden  
IBAN: SE21 5000 0000 0555 4825 0264  
Account: 55548250264  
Swiftaddress: ESSESESS

Please reference your invoice number: **2120013534**

Nasdaq Technology AB  
Tullvaktsvägen 15  
105 78 Stockholm  
Sweden

Company Reg. No. 556314-8138  
Momsnr: SE556314813801

# INVOICE



## BILL TO:

Financial Industry Regulatory Authority  
One Liberty Plaza (165 Broadway)  
Attn: Irene Bobbins or Lena Kayzman 52nd Floor  
New York, NY 10006  
United States of America

INVOICE NUMBER: 2120013534  
INVOICE DATE: Dec 19, 2023  
ACCOUNT NUMBER: 1099  
YOUR REFERENCE: Irene Bobbins  
OUR REFERENCE: Robert Martino

Item	Quantity	Rate	VAT	Total
Schedule 2 Support Year Hosting Fee \$453,333.33 per month.	1.00	453,333.330	0.00	453,333.33
Schedule 2 License (Support Year) Monthly Fee.	1.00	166,666.670	0.00	166,666.67
MPP 2.0 AWS DR Change Request – Monthly Ongoing Hosting of all 4 environments (Dev, QC, NTF, Production). Total \$133,333.33 (\$1.6m per year / 12 months). Approved by Irene Bobbins. BRD CR #26 signed by Ola in 2022.	1.00	133,333.330	0.00	133,333.33
AWS DR Buildout Fee. The BRD CR #26 was signed by Ola Persson in 2022. As agreed with Irene Bobbins the buildout fee of \$653k will be spread out over 7 months ending 5/1/24. Each months charge will be \$93,285.71 (\$653k/7).	1.00	93,285.710	0.00	93,285.71
MPP 2.0 AWS DR Change Request – Hosting NTF & Production environments. Both environments were active on 11/1/2023. This is the fee for the month of November. Total \$66,666.67 (\$1.6m per year / 4 environments / 12 months * 2 environments). Approved by Irene Bobbins. BRD CR #26 signed by Ola in 2022.	1.00	66,666.670	0.00	66,666.67
MPP Extra Resources (Approved Change Request "Other Non-Tech #1 on 8/21/2023). Mark Dean development resource spent 165 hours in November. The fee is \$39,126.45 (165 * \$237.13). Time was spent on Phase 7 (CA Migration). Previous hours Balance 6,835.5 – 165 = Current CR balance 6,670.5.	1.00	39,126.450	0.00	39,126.45
9 of 15 FI MPP 2.0 (CR#2 and CR#12), Carteret Servers fully operational 5/9. Monthly Ongoing \$21,272.76 (\$2,363.64*9). Approved by Irene and Ola.	1.00	21,272.760	0.00	21,272.76
CR TRD #17 - After the 2/25/23 stress test it was decided that the additional 8 ADF WASP Servers would remain live. The estimate for \$20,800 per month ongoing hosting fee was approved by Ola Persson on 3/2/23. *Note - it is assumed that these servers are only required until the entire WASP environment is replaced by Native FIX.	1.00	20,800.000	0.00	20,800.00
6 of 15 FI MPP 2.0 (Other Technology CR#2 and CR#12) Ashburn Servers fully operational Monthly Ongoing Hosting Fee \$14,181.84 (\$2,363.64*6). Approved by Irene and Ola.	1.00	14,181.840	0.00	14,181.84
As agreed with Irene Bobbins on 2/9/2022 4 of the original 9 new MPP 2.0 servers (approved by Ola on 6/28/21) were repurposed as Relay Servers. This is the ongoing hosting fee specific to the 4 servers. \$2,363.64 per server per month. Total incremental monthly fee for all 4 servers = \$9,454.56 (2,363.64 * 4).	1.00	9,454.560	0.00	9,454.56
As per Jira Ticket #XFNR319 and emails with Irene Bobbins the purpose of this monthly support fee is for an approved MPP 2.0 Change Request AWS Port Fees Region 1. The fee is variable and will be assessed one month in arrears. Details of the fee have been sent to Irene Bobbins and Lena Kayzman.	1.00	2,916.750	0.00	2,916.75
CR - SFTI Circuit Project MPP 1.0 [Ticket #XFNR 331] – Ongoing monthly fee of \$1,579.25 (Network \$529.25 + Support of 3 cross connects \$1,050.00). The CR went live 11/9/2020. This new fee will continue in support of MPP 2.0. Details are in the emails with Irene Bobbins and Lena Kayzman.	1.00	1,579.250	0.00	1,579.25

## INVOICE



## BILL TO:

Financial Industry Regulatory Authority  
One Liberty Plaza (165 Broadway)  
Attn: Irene Bobbins or Lena Kayzman 52nd Floor  
New York, NY 10006  
United States of America

INVOICE NUMBER: 2120013534  
INVOICE DATE: Dec 19, 2023  
ACCOUNT NUMBER: 1099  
YOUR REFERENCE: Irene Bobbins  
OUR REFERENCE: Robert Martino

Item	Quantity	Rate	VAT	Total
As agreed with Irene Bobbins on 1/9/2020 this CR fee will continue monthly as follows; Ongoing Fee to support new ticket Tracking system Jira. The fee will be \$833.33 per month until FINRA decides to retire the old Ticket Tracking system Unfuddle. Then the fee will be reduced to \$715.08 per month. July update - FINRA wants Unfuddle to be retired in July so the new monthly fee is now \$715.08.	1.00	715.080	0.00	715.08
CR #8 Other Technology Changes. Ashburn ongoing monthly fee for support of the New Metro Connect less the fee for the previous cross connect retired in Feb 1, 2023 and replaced by metro connect. Note - the previous cross connect price was factored into the contract pricing. 2/2/23 - Approved by Irene Bobbins. See details below: As of 2/1/2023 the ongoing monthly connection fee for New Metro Connect less credit for the old Cross connect is as follows: New Metro Connect \$833.33. Old Cross Connect (Shutdown) \$(371.32) Net charge to FINRA each month is \$462.01	1.00	462.010	0.00	462.01
As agreed with Irene Bobbins; MPP 2.0 CTA Migration to Pillar Live 11/15/21 with ADF P3 & ADF P4. Invoice annual ongoing \$2,540.40 (Monthly \$211.70).	1.00	211.700	0.00	211.70

SUBTOTAL	1,024,006.11 USD		
VAT	0.00 USD	TAX CODE	0%
TAX NOTE	Export sale of services		
TOTAL AMOUNT DUE	1,024,006.11 USD		
TOTAL DUE BY	Jan 18, 2024		

Nasdaq has the capability to send its invoices as e-invoices. If you are able to receive e-invoices, please send your customer number and e-invoice address to [DL-Basware@nasdaq.com](mailto:DL-Basware@nasdaq.com)

End of Invoice