

# INTRODUCTION

## RECOMMENDED TEXTBOOK

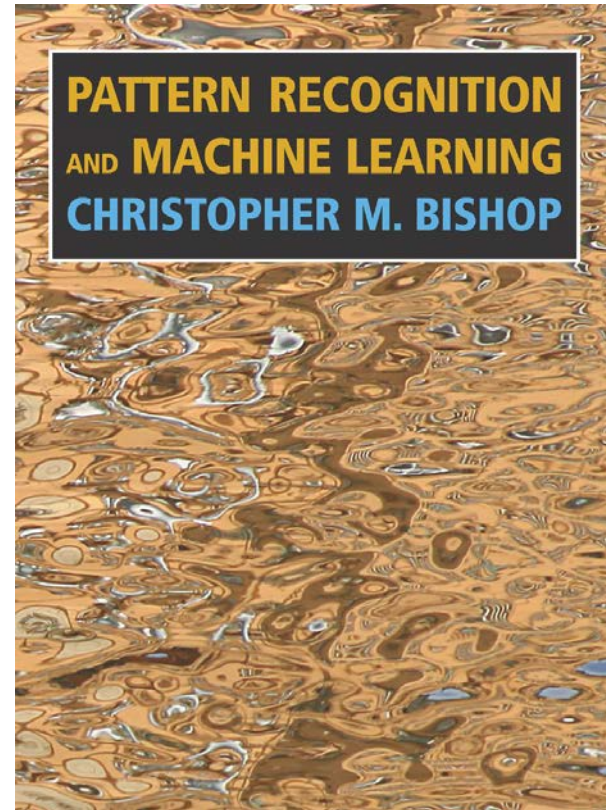
**Title:** Pattern Recognition and Machine Learning

**Author:** Christopher M. Bishop

**Publisher:** Springer

**Year:** 2006

This is the recommended book for the class. It provides much of the fundamentals for the course. It also covers a variety of machine learning (e.g., linear and non-linear classifiers) and model estimation topics (e.g., regression).



# INTRODUCTION

## SUPPLEMENTAL TEXTBOOK

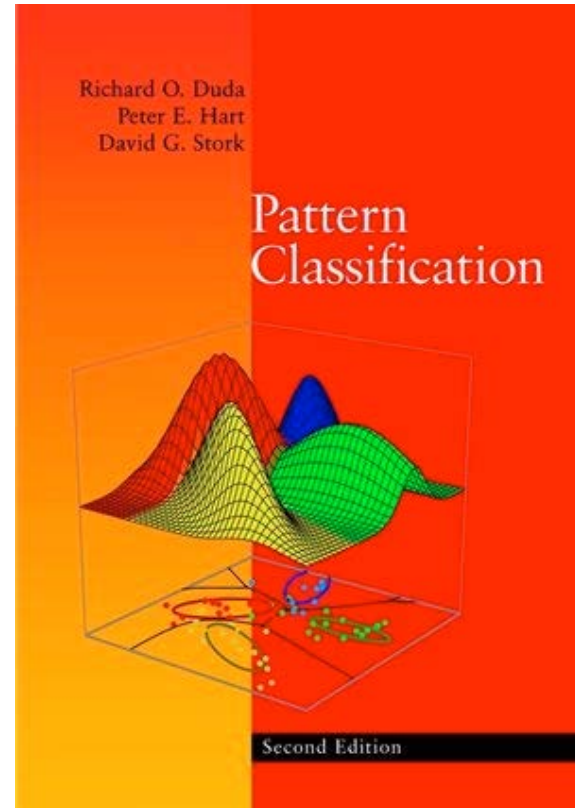
**Title:** Pattern Classification

**Authors:** Richard O. Duda, Peter E. Hart, and  
David G. Stork

**Publisher:** Wiley

**Year:** 2000

This is an excellent alternative and/or supplement to the recommended textbook. It covers many of the same topics. However, it has an increased emphasis on probabilistic models. It also provides an excellent treatment on many pre- and post-processes for machine learning (e.g., resampling, bootstrapping, and classifier combination).



# INTRODUCTION

## SUPPLEMENTAL TEXTBOOK

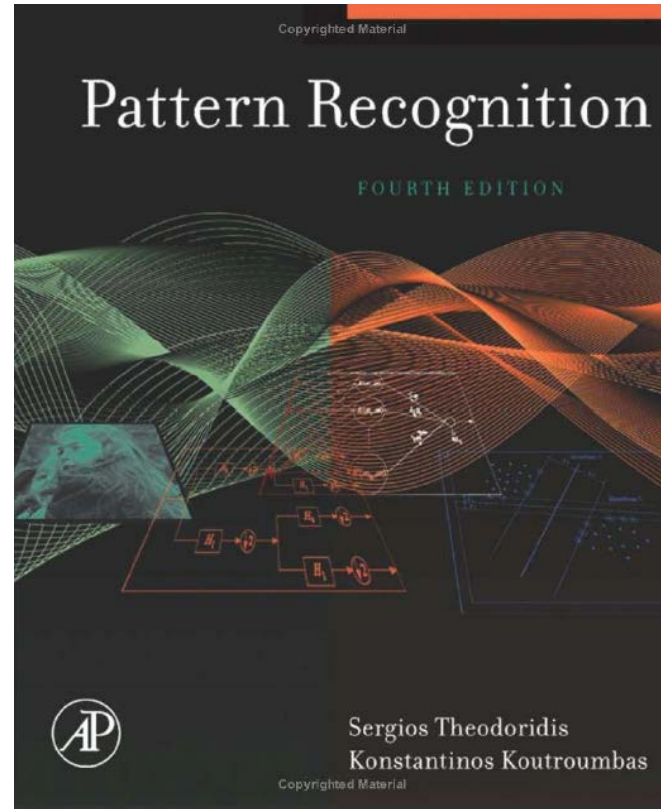
**Title:** Pattern Recognition

**Authors:** Sergios Theodoridis and  
Konstantinos Koutroumbas

**Publisher:** Elsevier

**Year:** 2008

This is an excellent alternative and/or supplement to the recommended textbook. It covers many of the same topics. It has much more emphasis on feature generation and transformation. It also has much more emphasis on unsupervised pattern recognition compared to the previous two books.



There is some common terminology that we will be using throughout:

**Feature, attribute, characteristic:** A measurement made for an object (e.g., length, width, height, color, mass, shape, texture, and frequency).

**Observation, sample, input data, example inputs:** A collection of features that succinctly describe an object (e.g., a human face, handwriting sample, speech pattern). The features are often combined to form a **numerical** or **symbolic feature vector** for each observation.

**Class, category, group:** A set of related observations that all share the same label vector. Labels are usually numerical. They can sometimes be symbolic.

**Mapping:** A transformation by a mathematical function from one domain to another (e.g., a transformation from **feature vectors** to **class label vectors**).

**Machine learning** can be defined as: “the field of study that gives computers the ability to learn without being **explicitly programmed**.” In essence, machine learning explores the study and construction of methods that can **learn** from and make **predictions** about **data**. Such methods work by **building mappings/models** from **example inputs (usually vector-based)** and sometimes **example outputs (usually vector-based)** to make data-driven predictions or decisions.

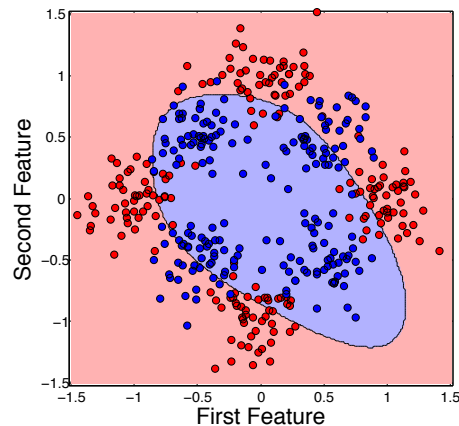
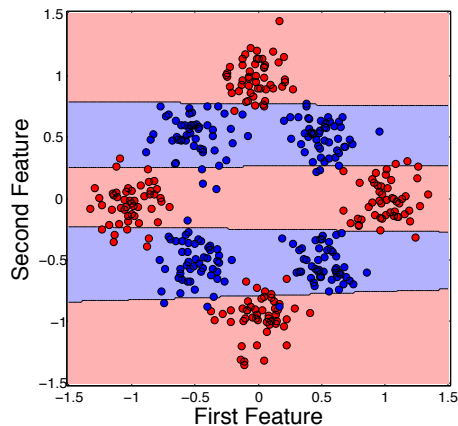
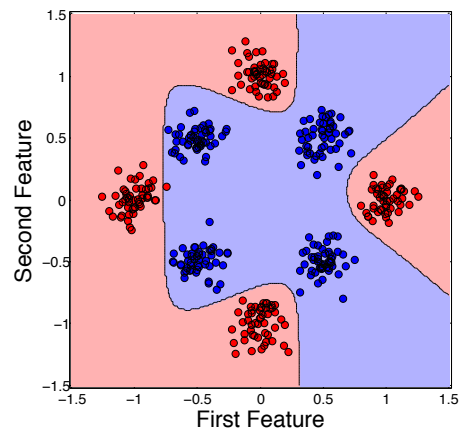
Machine learning can be applied in situations where it is very challenging to manually enumerate all possible rules (e.g., face detection, speech recognition, object classification). It differs from classical **artificial intelligence**, which is predominantly **rule- and logic-based (e.g., decision trees)**.

# CANONICAL PROBLEMS

## SUPERVISED LEARNING

There are **four canonical problems** in machine learning. Many types of applications are instances of the first problem: **supervised learning**. Supervised learning involves inferring an **input-output mapping** from **labeled training observations (usually vectors)** and **desired responses (usually vectors)**.

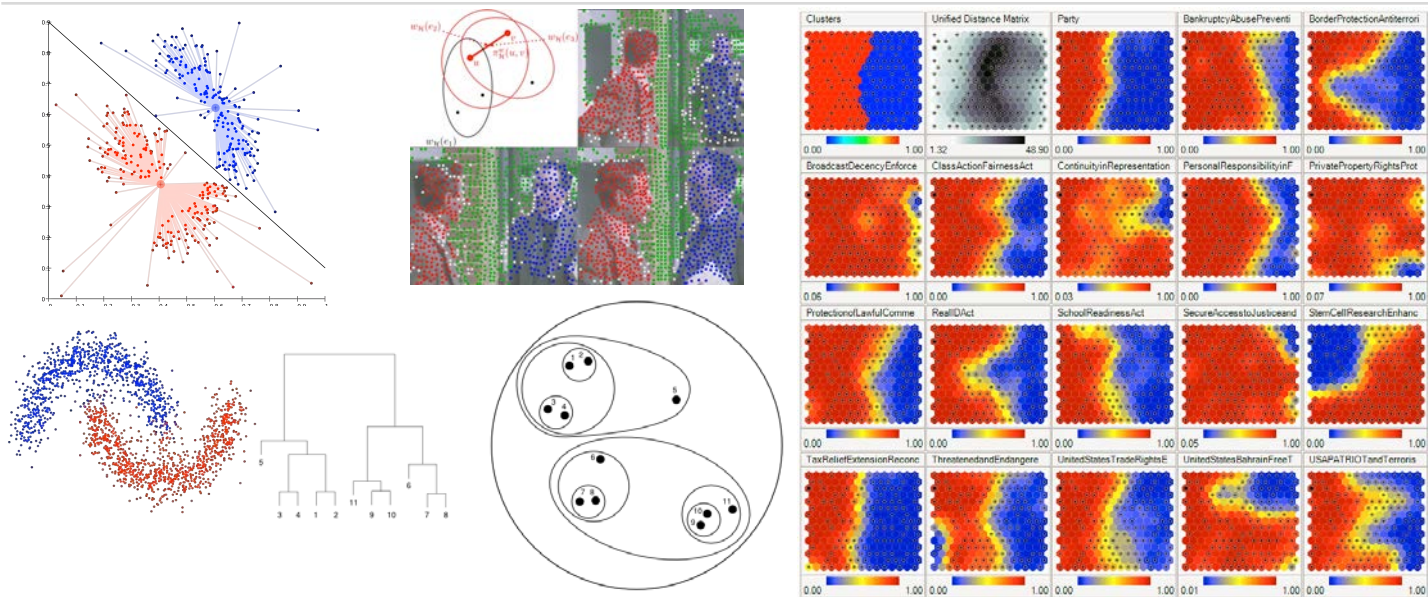
The most common type of supervised learning is **classification**: want to **discriminate** between **observations of different classes/categories**. We will cover both linear (e.g., linear discriminant analysis) and non-linear classification methods (e.g., neural networks and support vector machines).





# CANONICAL PROBLEMS

## UNSUPERVISED LEARNING

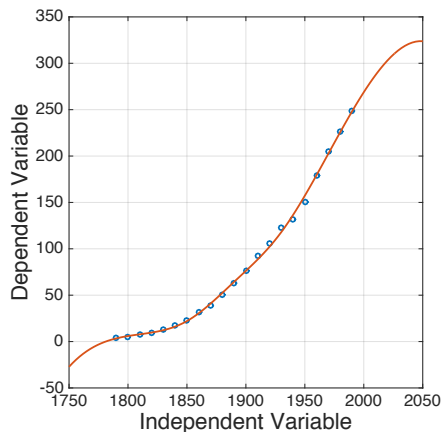
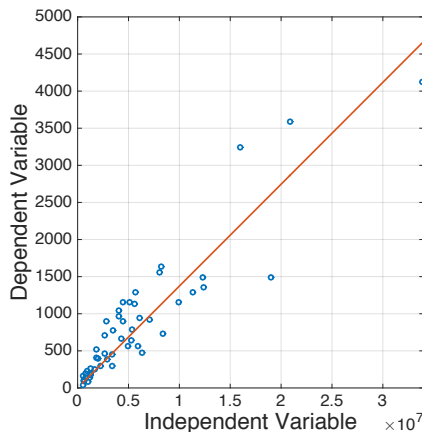


Sometimes the observations are not labeled by class. We would like to understand something about the structure of the observations using prior specifications of what we think the structure should look like (e.g., spheres or curves). This is referred to as [unsupervised learning](#).

We will cover a variety of [clustering](#) methods (e.g., self-organizing maps)

# CANONICAL PROBLEMS

## REGRESSION



For some problems, we will be interested in understanding the relationship between a series of vector observations (**independent variables**) and the corresponding responses (**dependent variable**). These variables could be non-dimensional, temporal, spatial, or even spatio-temporal. We would like to obtain a **linear** or **non-linear model** that provides a good mapping between the two types of variables. This process is known as **regression**.

We will cover linear and non-linear, kernel-based regression approaches.

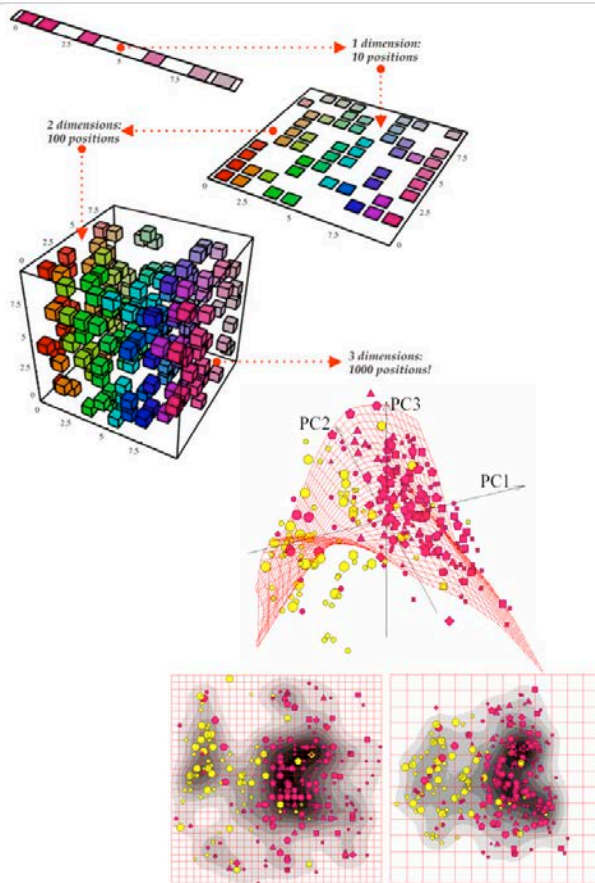


# CANONICAL PROBLEMS

## DIMENSIONALITY REDUCTION

Sometimes the dimensionality of the observations is very high. This means that we might need a **large number** of **model parameters** to accurately map the data. We might also have **high model training times**. A very large number of samples may be needed to overcome this **curse of dimensionality**.

We can use **dimensionality reduction techniques** to remove redundant features from the observations, which partly solves many of these issues. Such techniques **map** the original **observations** to a **lower-dimensional space**, in a **lossy manner**, such that the important attributes of the observations are usually retained. This sometimes retains much of the original observation distributions.



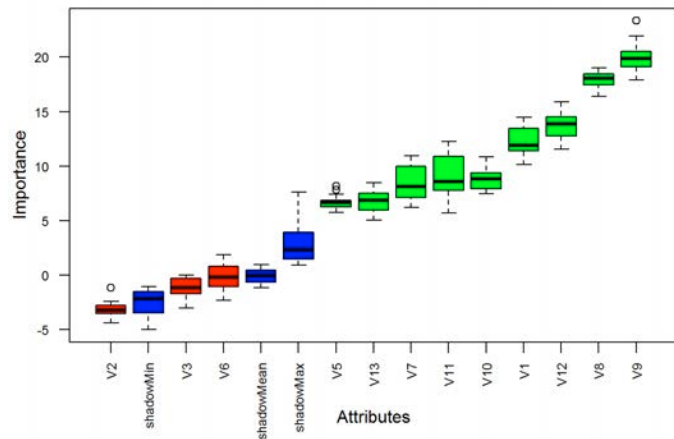
# OTHER PROBLEMS

## FEATURE GENERATION AND SELECTION

An important first step to machine learning is **feature generation**. We have to decide what features are worth measuring to describe an object (**application dependent**). We then have to determine how to reliably, repeatedly, and efficiently measure those features.



Once we have a set of features, we need a way to evaluate their ability to describe the objects and hence produce good models. We want to select as few features as possible to reduce training time, data set sizes, and poor generalization performance. This can be done using **feature selection** techniques.



# MACHINE LEARNING EXAMPLES

## HAND-WRITTEN DIGIT RECOGNITION

**Goal:** Want to develop a system that can determine the hand-written numerical digit from images.

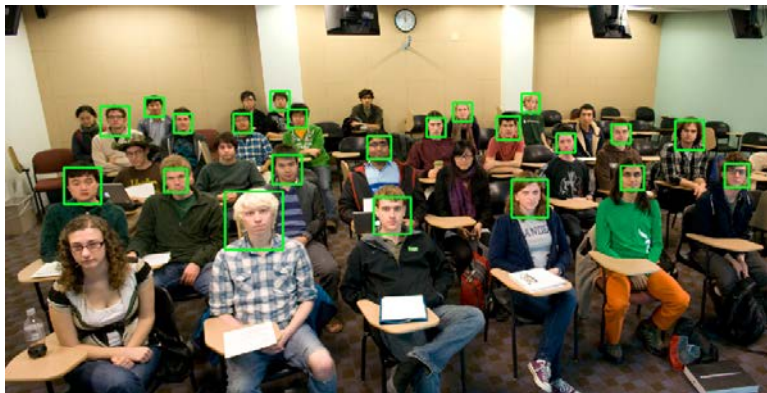
**Data (MNIST) :** Pre-segmented 28x28 pixel images of a single digit. 70k images are provided from different people.

**Process:** Unwrap each image so that it is a vector of size 784x1. Use a set of **training data** (e.g., 40-60k images of different digits written by different people) to learn a **mapping** from that space to the set of integers. Use a set of **testing data** (e.g., 10-20k images, which are not in the training set) to **evaluate** the mapping quality.



# MACHINE LEARNING EXAMPLES

## FACE DETECTION



**Goal:** Want to develop a system that can detect all faces in images. Want to do this for different viewpoints, expressions, poses, and lighting conditions.

**Data (FDDB):** Pre-segmented, variable-sized images of a single face under different conditions. 5,171 images are provided.

**Process:** Unwrap each image so that it is a column. Apply a transformation to reduce the vector dimensionality before learning. Use a set of **training data** (e.g., 3,500 images) to learn a **mapping**. Use a set of **testing data** (e.g., 1,671 images, which are not in the training set) to **evaluate** the mapping.

# MACHINE LEARNING EXAMPLES

## FINGERPRINT RECOGNITION

**Goal:** Want to develop a system that can determine if a query fingerprint matches an existing fingerprint in a database. Want to handle partial prints and different print orientations.

**Data (CASIAv5) :** Pre-segmented 328x356 pixel images of a single digit. 20k images are provided from 500 people.

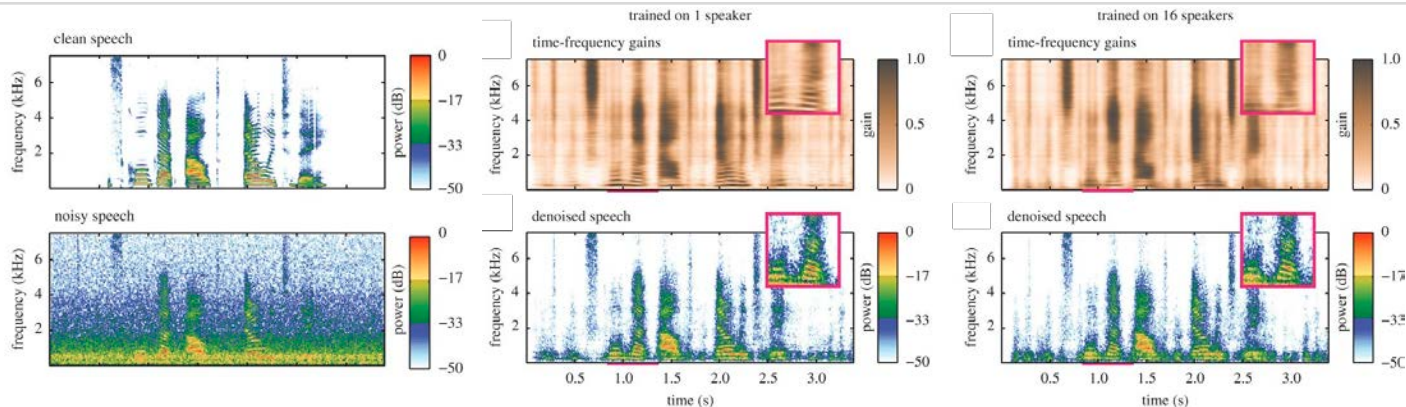
**Process:** Threshold the grayscale values in each image. Look for “interesting” patterns in the fingerprint, called **minutiae points**. Find a **linear** or **non-linear transformation** between sets of minutiae points for pairs of prints. Determine how many minutiae points match, within some tolerance. Evaluate this system on the entire dataset (**no training is needed**).





# MACHINE LEARNING EXAMPLES

## NOISE CANCELLATION



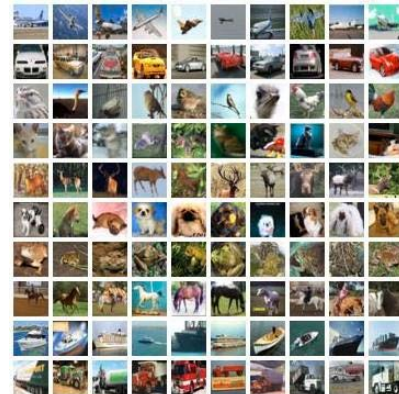
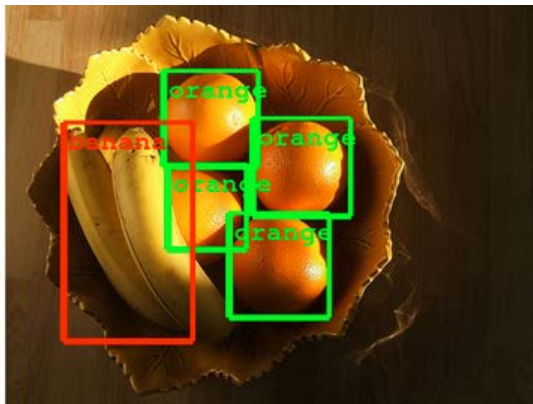
**Goal:** Want to develop a system that can learn a person's speech patterns and filter out ambient background noise using a single microphone (many noise cancelling systems need more than one microphone to work well).

**Data:** Temporally pre-segmented speech segments of varying length under different noise conditions.

**Process:** Learn a set of **linear** or **non-linear filter coefficients** that best transform a person's noisy speech pattern to a corresponding noise-free version. Alternatively, learn a **mapping** for noise conditions that can be universally applied.

# MACHINE LEARNING EXAMPLES

## OBJECT RECOGNITION



**Goal:** Want to develop a system that can recognize objects in images. Want to do this for different camera viewpoints and lighting conditions.

**Data (CIFAR-10):** Pre-segmented 32x32 pixel images of objects under different conditions. 60k images are provided for 10 object classes.

**Process:** Unwrap each image so that it is a vector of size 1024x1. Apply a transformation to reduce the vector dimensionality before learning. Use a set of **training data** (e.g., 30-45k images) to learn a **mapping**. Use a set of **testing data** (e.g., 15-30k images, which are not in the training set) to **evaluate** the mapping.