Multi Layer Perceptron - Project 3

Madhusudan Govindraju University of Florida Email: madhusudangr@ufl.edu

Abstract—This paper gives an introduction to multi layer perceptron and how it works. Analyses the results of a multi layer perceptron. Finally the paper gives an idea on how to construct multi layer perceptron for recognizing handwritten digits with the MNIST dataset. The paper will include assumptions and procedures taken to achieve at the parameters and the structure of the network. The multi layer perceptron uses a set of processing elements to form layers in a neural network. The structure of the neural network depends on the application for which it is being used. An activation function is the final step in the processing element on or off based on the input. The level (or value) of the output depends on the activation function being used.

Index Terms—Rosenblatt's Perceptron, Perceptron, Adaline, Multi Layer Perceptron (MLP), Stochastic gradient descent

I. INTRODUCTION

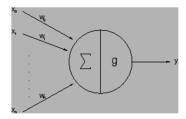


Fig. 1. A Structure of a Neuron

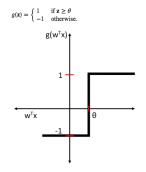
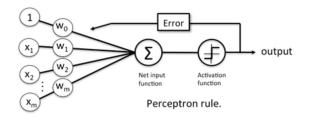


Fig. 2. Threshold Activation Function

The multi layer perceptrons are made up of multiple processing elements the structure of a processing element is shown in the figure 1. In this figure 1 'g' is the activation function. The processing elements of a multi layer perceptron has been built upon the Perceptron model and Adaline as a basis[2] [3] [4]. They both have the same structure of a processing element in a multi layer perceptron. These models



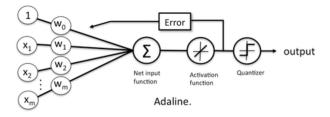


Fig. 3. The Adaline and Perceptron Model - here real activation function in the Adaline is actually the quantizer but for comparison purposes (with perceptron model) the units are named as Activation function & Quantizer

use threshold function as an activation function, hence the outputs(net) of each element is linear. An example of the threshold activation function is available in the figure 2. There are a few differences between the perceptron and the Adaline model. The perceptron uses the linear activation function to give as input to the feedback unit. While in the Adaline model we use an non linear activation function in the training phase to give as an input to the feedback unit, with the final output is still quantized by an linear threshold activation function. We can also refer to the Adaline as the stochastic gradient descent model. The perceptron can also be referred to as the Rosenblatt?s Perceptron model.

$$w_{ij}(n+1) = w_{ij}(n) + \eta f'(net_i(n)) \left(\sum_k e_k(n) f'(net_k(n)) w_{ki}(n) \right) x_j(n)$$

Fig. 4. The equation to update the weights in the back-propagation algorithm

The learning algorithm is a gradient descent technique. Here the optimization problem is to reduce the cost(error) of the system. The algorithm is devised in such a way that the error trickles down to all the processing elements and their respective weights are corrected. Just as in a normal gradient descent algorithm even this algorithm has a constant to control the learning rate. The general equation to change the weighs in a layer is given by the equation in the figure 4

$$f(net) = \begin{cases} 0 & net \le 0 \\ net & net < 0 \end{cases}$$

Fig. 5. Rectified Linear Activation Function, ReLU

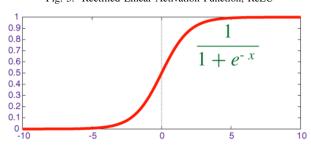


Fig. 6. Sigmoid Activation Function

The multi layer perceptrons use a layer (or multiple layers) of perceptrons except for the fact that they use a non linear activation function such as the hyperbolic sigmoid, hyperbolic tangent function or rectified linear unit activation function(ReLU). In our project we will be using the rectified linear activation function (fig 6). The advantage of using a sigmoid function over a step function is that they are differentiable.

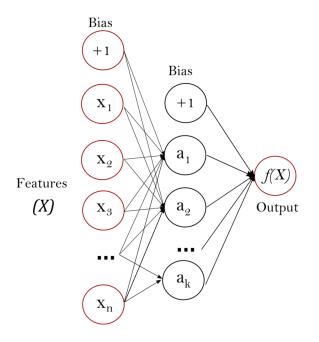


Fig. 7. Multi Layer Perceptron [5]

The basic structure of an MLP is shown in figure 7.

The most common algorithm used to train MLP is the back propogation algorithm and it consists of two steps: feed forward, in this step the data is run through the network and the network's output is calcualted; the second step back propagation, in this step error for processing element in every hidden is calculated and their respective weights are adjusted throughout the network. To update the weights we

TABLE I Data Set Ratio

	Test	Training	Validation
	Set	Set	Set
Number of Samples	10K	50K	10K

use the delta rule(chain rule of partial derivatives). As we use label/information from the data set to train the network this is classified under supervised learning.

II. THE DATA

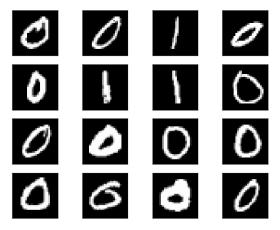


Fig. 8. Sample images of the handwritten digits from the dataset

This paper uses the MNIST [1] dataset for implementing a multi layer perceptron. The dataset consists of 70,000 images each with dimension of 784(28x28 image), with pixel intensities as the contents of the vector. These pixel intensities are in the grayscale range with intensities ranging from zero to 255. Some of the images from the dataset are available in the figure 8. MNIST is a dataset of handwritten digits.

From the figure 8 we can see that the digits are towards the center an the pixels towards the edges have no significant value. These pixels can be avoided by applying an dimensionality reduction algorithm such as PCA to the dataset before feeding it into the network. The other thing that is evident from the images is that the digits are towards the center and some pixels will not align perfectly with the others from the same class hence matching just using the pixel intensities is not going to give us much accuracy. For this we need to look into methods that use only the most discriminating features from the dataset. This is again a PCA problem and can be solved by applying PCA to the dataset before training or testing on it. The MNIST dataset is of the most studied dataset in the machine learning branch. Thus it is commonly used to rank a machine learning algorithm.

The database consists of 70,000 images. We perform random sampling and the first 50000 images are taken as training images , 50,000-60,000 images is taken as validation set and the 60,000 -70,000 are taken as test set. If we don't do random sampling we will loose data, such as the first 60,000 images in the dataset dont have any class 9 images, hence while testing the class 9 images will all be predicted along with the other classes. Random sampling also increases the generality of the system. In case the database has all the classes in order, the system learns(optimizes weights) better for the first class (example class 0) and then when a class 1 object is presented the system juts labels it as a second class rather than finding a way to differentiate the class, this is what happened in the first configuration shown in the appendix.

III. IMPLEMENTATION AND EVALUATION OF THE NETWORK

A. Mini-Batch Size

This neural network makes use of a varient of gradient descent technique to arrive at the optimal weights. It uses the entire batch of input data before updating the weights, also referred to as a batch update. In our case we use stochastic gradient descent algorithm to achieve convergence. In this method we use a mini batch to achieve the convergence much sooner also by using a mini-batch with random sampling we can omit problems of the dataset such as preordering of all samples of the same class together. This increases the generalization of the network. The size of the mini batch varies with application, the best was to achieve at the mini batch size is to do a grid search, but for our case we can run the algorithm multiple number of times with a few set of values for min batch and ball park at a figure. In our case the number of samples in each class(in training set) is approximately 5000, hence choosing a value close to 10,000 is going to have at-least 2 classes (worst case scenario) in 1 loop and hence in one epoch(for entire training set) we would have a good generalization. Hence we ballpark at a mini batch size of 10,000 for our further steps. Also keeping a very small batch size the number of epochs needed to arrive at the optimal point is very large.

B. Processign Elements

Since we know that using one, two, three, and four processing elements in the hidden layer gives us one decision boundary, two separate decision boundaries, enclosed decision boundary and disjoint boundaries respectively. We can understand that the number of processing elements in the hidden layer for our case is going to be more than 4.

Since the digits we are trying to classify are more complex than the cases mentioned above, we are starting one hidden layer with 50 processing elements in the hidden layer. The input layer is the same size of the input that is 784. (The input image of 28x28 is stretched out into one vector of size 784). The output layer is going to have processing elements 10(softmax), one for each class. We get a meagre 26 % accuracy for the test data for 30 epochs. The dataset seems

TABLE II ACCURACY OF THE N/W FOR VARYING NUMBER OF PROCESSING ELEMENTS AND PCA

No. Hidden	Accuracy	Accuracy
Layer PE	w/o PCA	with PCA
50	26	N/A
250	82	92
500	89	95
1000, 100	98	98

to converge quickly hence we are using just 30 epochs for training. More information about this step can be seen in the learning rate graph shown in figure 17

Now we will run the same network with 250 processing elements in the hidden layer, we get the same accuracy of 82%. This suggests that just increasing the number of processing elements in the hidden layer generally increases the accuracy of the network. Similarly for 500 processing elements in the hidden later we get an accuracy of 89%. Increasing the number of processing elements increases the time to train the system as well as gives a better accuracy. From the table II we can also infer that after a certain point the number of hidden layer elements does not make the network better, while we were able to achieve better performance by increasing the number of hidden layers in the network from one to two. This gave us 98% accuracy.

Here the performance of the network has been gauged by its accuracy. The table II gives us an idea of how the accuracy varies with different number of processing elements. Clearly as mentioned above the number of processing elements increase the accuracy increases only till a limit, but after that the increase is very little or none, this can be seen (in table II) when using 250 and 500 elements in the same hidden layer of the network. On increasing the number of hidden layers the accuracy again increases. More details are available in the confusion matrices in the appendix and figures 18 and 17.

C. Learning Rate

For the stochastic gradient descent the learning rate is much smaller than the normal batch update gradient descent algorithm. We continuously validate the network against a validation dataset and update the learning rate after every epoch (or after few epochs). This gives better convergence. We check for values ranging from 0.1 to 1 and see that we get the best convergence at 0.1. We choose learning rate at 0.1 for our further procedures. The easiest way to achieve an optimal learning rate is to choose a suitable constant small value for learning rate such that it gives a stable & smooth convergence. The other reason why we have a smaller learning rate is to complement the high momentum given to the network.

D. PCA

In the next step we try to apply a feature reduction algorithm to remove the redundant pixels in the images which are not required for classification. By applying the PCA to the dataset and taking only 100 best features of the 784 features from

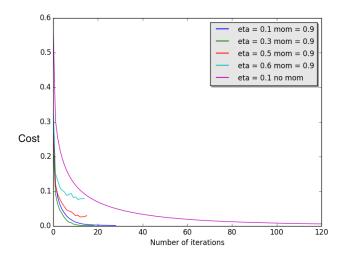


Fig. 9. Learning Rate vs Learning Curve
This graph shows how the learning varies with different learning rates. The
learning rates 0.6 and 0.5 do not converge or converge at sub optimal
positions, while 03 and 0.1 converge at optimal points and 0.1 is more
smooth. The learning with and without momentum is also depicted here

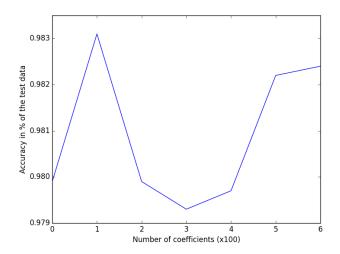


Fig. 10. PCA vs Accuracy

The change in accuracy is very less (approx 0.2% variance), but we get the best performance for top 100 coefficients, hence proving that it is not required to use all 784 pixels for this dataset. The network configurations used were 250 processing elements in hidden layers, 1e-4 regularization constant.

the dataset. The performance increases to 88.40% for 500 processing elements. This gives us an idea that there are a lot of pixel intensities that are not required for classification and can be omitted during the process. A solution for this is provided in [7]. The table II gives us this detail. From the confusion matrices 5,6&7 available in the appendix we can clearly see that one class is not classified properly with just 500 or 250 processing elements. This effect of using direct pixel intensities can be ignored if using a very large network (confusion matrix 8, case 4: 1000,100 hidden layer).

E. Activation Function

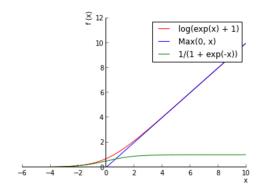


Fig. 11. Comparison of ReLU with sigmoid and tanH Here the Max(0,x) is the ReLU function, log(exp(x)+1) is the hyperbolic tangent and 1/(1+e(-x)) is the sigmoid function. With the increase in the x(in our case this is the output of the PE), the sigmoid has almost zero slope when closer to the one and zero, while hyperbolic tangent has almost zero slope initial cases when the error is close to zero, but for ReLU the value is directly proportional to the x(so slope is greater or never=0 until the error is zero).

Generally we use hyperbolic tangent and the log functions for gradient descent in back propagation algorithms because the derivative can be calculated as a function of the processing element's output, for tanh it is $1-u^2$ and for the logistic function it is u*(1-u). While [9] & [10] say that rectified linear unit(ReLU) is six times faster than the hyperbolic tangent function. In our case using ReLU or hyperbolic tangent gives us very less difference. This is explained in the figure 11 and the comparisons of different activation function for our network is available in figure 19.

The sigmoid function or the logistic function will be more appropriate in cases where we try to model probabilities. while ReLU can used in cases where we need to model positive numbers. In our case we are trying to predict the classes which are real numbers and hence ReLU will be a better choice. This leas us to understand that even the labels we are trying to predict has a small impact on the structure of the neural network we are trying to build. In case we use one hot encoding and provide the class labels as [1,0,0,0,0,0,0,0,0,0] for class 0 and [0,1,0,0,0,0,0,0,0,0] for class 1 and so on hyperbolic tangent should perform better, but checking this beyond the scope of this report and not verified here.

Hence with the figure 11 we prove that ReLU does not have the vanishing gradient problem (sigmoid and tanh are prone to this), and thus provides earlier convergence. and we use that in the other experiments that follow in this report.

F. Momentum

We have previously seen that gradient descent will find the local optimum/minimum and not the global optimum. And that is because the local minimum is much closer to the starting point. There are ways to get over this problem, but we cannot say for a certain that the minimum we obtained is global minimum. One way is to run the network with different

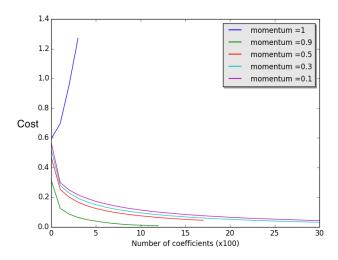


Fig. 12. Momentum vs Learning Curve

This graph depicts how the learning curve varies with the different momentum constants. We get the best performance at 0.9 and the least at 1. For a momentum 1 according to rule the learning stops the earliest, but the learning does not converge, this is the case where the gradient descent passes over the local minima. The accuracies for these curves are available in table III. The network configurations used were 250 processing elements in hidden layer, 1e-4 regularization constant, ReLU activation function, and learning rate eta of 0.1.

TABLE III
MOMENTUM VS ACCURACY

Momentum	Accuracy
Constant	w/o PCA
0.1	98
0.3	97
0.5	98
0.9	98
1	82

starting weights and check if the optimum obtained is local or global. This is highly computationally expensive and we might not arrive at the global solution at all. Another method is to give a momentum to the gradient descent algorithm so that, the gradient will help us jump over the shallow minimum and into the steeper minimum. Thus we are able to achieve a higher accuracy for the same dataset, with the a lesser number of processing elements. The confusion matrices and their respective accuracies are available in 9,10,11,12,13 in the **Results** section, table III, figure 12, figure 18 compare systems with different momentum values.

$$v_{t+1} = \mu v_t - \varepsilon \nabla f(\theta_t)$$

$$\theta_{t+1} = \theta_t + v_{t+1}$$

Fig. 13. Stochastic Gradient Descent - momentum update [8] Here ϵ is the learning rate and should be greater than 0, μ is the momentum and should be between 0 and 1

The equation for updating the gradient descent's momentum

is available in the figure 13. The momentum function adds a fraction of the previous weight to the current weight, so if the gradient keeps changing direction it will smooth out the directions and help achieve the optimal point in lesser iterations. This complements the low learning rate we are using in the network, as the momentum used is 0.9 we are limiting our learning rate to 0.1.

G. Regularization

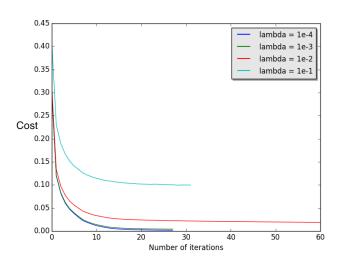


Fig. 14. Regularization Const vs Learning Curve

From the above mentioned cases we can see that the training accuracy is almost 100% this means the network has been memorized the training set. We should apply some generalization technique to make sure the algorithm does not overfit or memorize the training data. This is almost impossible in the case of neural network because we are using large numbers of hidden layer processing elements. If we have more parameters(weights) in the system compared to the number of features in the sample set, we will definitely overfit the training data.

- L2 Regularization term: Applying L2 regularization term is another method of preventing overfitting the data, but still since the number of processing elements is huge in this case, we cant help overfitting the training data and hence other generalization measures should also be applied. This L2 regularization penalizes large weights
- Randomizing Initial weights: Each back propagation training algorithm starts with different initial weights and biases and hence arriving at different solutions each time we run the algorithm. Hence its bet practice to run the network multiple number of times are choose the one which gives the best performance. This process will help in generalization.
- Early Stop: Another method is the early stop method. In this method we continuously check for the error of the validation set. When the network begins to memorize the training set, the error of the validation set will rise. This

will be the right time to stop training the network. (figure 16)

Instead of implementing a grid search for the L2 regularization parameter we use heuristic methods to ball park at a good L2 regularization parameter. The cost obtained for for different L2 parameters are given in detail in the appendix. The different L2 parameters used are 1(default/initial condition), $1e^{-4}$, $1e^{-3}$ &0.1. We find that we get the best performance for $1e^{-4}$. (figure 14)

Reasons for choosing the above mentioned L2 parameters: we can see than the learning curve is not smooth for the system with no regularization parameter, there is a sudden initial drop in the learning curve, hence we need a very small regularization parameter value to stop the initial drop of the learning curve of the un-regularized system. Hence obtaining a much smoother learning curve. Smooth learning curve results in better generalization of the training data.

H. Dropout

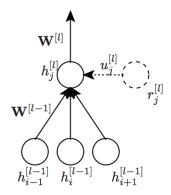


Fig. 15. An illustration of dropout [11]

In all the above mentioned cases the training set performance in the network yeilded perfect 100% accuracy. This means that we have overfitted the network, even though we have applied regularization terms, we get a near perfect accuracy or perfect confusion matrix for the training dataset (refer to confusion matrix in result 14 in **Results** section). Dropout is a technique where we add a stochastic processing element to a normal processing element in the hidden layer of a network [11]. An illustration for dropout is available in figure 15. The activation function with a dropout changes to

$$h_{j}^{[l]} = \phi \left(\sum_{i} h_{i}^{[l-1]} w_{ij}^{[l-1]} + r_{j}^{[l]} u_{j}^{[l]}
ight),$$

where h_i^{l-1} w_{ij}^{l-1} is the i-th hidden layer neuron in the (l-1)th hidden layer and the edge weight between $h_i^{l-1} \& h_j^l$ and σ is any nonlinear activation function. The confusion matrix obtained by using a dropout in the network shows near 100% accuracy(not 100%) hence making the network more generalized, in our toy MNIST dataset, using the test dataset gives us 98% accuracy easily but that would not be possible in

real scenarios. The two confusion matrices for training dataset with and without dropout are available in the 14th and 15 result in **Results** section. We can see that even with the accuracy of the training set dropping to 99% we achieve an 98% accuracy as the other case without dropout implemented, again proving that dropout increases the performance of the system

IV. CONCLUSION

- 1) The confusion matrices for all the above mentioned configurations are available in the **Results** section.
- 2) One of the issues observed with MLP is the long time it takes in the training phase. The convergence and the learning time is the main issue, if the regularization parameters are not chosen properly.
- 3) Increasing the number of hidden layer elements increases the accuracy of the network
- 4) Using more processing elements increases the time taken to converge at the optimal point. The other confusion matrices show how worse the predictions are especially for the case (result 1) where we don't use any momentum and 50 Processing elements. The elements are clearly not enough to classify the digits and hence almost every one of them being classified into the one class. Result 1,2,3,4 show the increase in accuracy with the increase in the number of processing elements. (figure 18)
- 5) Pixel intensities are not the best features, a simple PCA increases the accuracy by approx10%. By using a set of images created from the training images by shifting the pixels of the original image, as the training data [7], we will be able to overcome this effect of pixel intensity. The results 1,2,3,4 show the results of using PCA and the results 5,6,7,&8 show the results of the network without using PCA.
 - The effect of using PCA is visualized in the confusion matrices in result 12 and 14. The network identifies class 2,4 and 9 more accurately with PCA.
- 6) Choosing the appropriate learning rate lets the network learn the training data clearly. It eliminates the sudden drop in the MSE error during the initial learning phase thus, making the network more generalized.(figure 9)
- Logistic activation function takes a longer time to converge, but if we use the hyperbolic tangent or the ReLU function as the activation function the network converges to the optimal point sooner (figure 19)
- 8) Adding the regularization factor increases the number of epochs required to converge but gives a smooth learning curve, a smooth learning curve corresponds to better generalization. The variation in accuracy with different regularization constants is available in the graph in figure 14
- 9) When early stop is used, the system stops when it begins to memorize the training data set. The change in accuracy is very less, but the graph which depicts the iteration to stop early is very clear and shows us in which iteration the network begins to memorize the training data. The

TABLE IV
COMPLETE LIST OF CONFIGURATIONS AND THEIR COMPARISONS

	Without PCA	With PCA	Activation Function (ReLU)	Activation Function (TanH)	Activation Function (Sigmoid)	Learning Rate (Worst) eta = \$0.6	Learning Rate (Best) eta = 0.1	(Worst) lambda = 0.1	(Best) lamda = 1e-4	Momentum (Worst) M =0	Momentum (Best) M=0.9
Accuracy (%)	82	92	98.12	98.10	97.99	96.15	98.02	96.85	98.12	92	98
Iterations	120	120	30	36	93	11	30	32	30	120	12

The best configuration is , Hidden Layer 250 , ReLU Activation Function, Learning Rate - 0.1 Regularization factor = 0.1, with momentum of 0.9 and no Early Stop. Every column was populated by keeping the others columns with the above mentioned configuration, except for the PCA columns which were populated without momentum(normal SGD). This table gives us an overview of the network's performance for the different configurations. ReL U activation function converges faster than the others. The system with PCA has more accuracy for the same 250 units in hidden layer. Learning with SGDwithMomentum converges way faster compared to the others.

- graph in figure 16 shows us the network's learning curve with early stop.
- 10) Comparing the stochastic gradient descent(SGD) with SGD momentum: Using momentum lets us achieve the global optimum. Without the SGD momentum factor we achieve only 92% accuracy, on including the momentum it enables the gradient descent to travel over the local minima and find the global minima at 98% accuracy. This enables us to use lesser processing elements and achieve better accuracy. With momentum the learning curve converges sooner than the network without momentum.
- able in result 14 in the **Results** section. Almost every digit is correctly classified, very few are misclassified and that is negligible. The accuracy we get is 98%. Which is same as the accuracy mentioned in the original paper [1]. The best configuration for the network for our dataset if 250 elements in the first hidden layer, regularization constant(lambda) = 1e-4, learning rate(eta)=0.1, with momentum =0.9, with 100 best coefficients from PCA. We can notice that even when we use early stopping, the network has memorized the training data. and the accuracy for the training data is 100%
- 12) Training with dropout reduces the overfitting (accuracy of training data reduces), increases the test data accuracy(same test accuracy with decrease in training data accuracy) [result 14 and 15]

REFERENCES

- Y. LeCun, L. Bottou, Y. Bengio, and P. Haffner. "Gradient-based learning applied to document recognition." Proceedings of the IEEE, 86(11):2278-2324. November 1998
- [2] The perceptron: A probabilistic model for information storage and organization in the brain. Rosenblatt, F. Psychological Review, Vol 65(6), Nov 1958, 386-408.
- [3] "A Practical Guide to Neural Nets", by Marilyn McCord Nelson and W. T. Illingworth
- [4] Gail A Carpenter, Neural network models for pattern recognition and associative memory, Neural Networks, Volume 2, Issue 4, 1989, Pages 243-257, ISSN 0893-6080,
- [5] Figure source : http : //scikit $learn.org/stable/modules/neural_networks_supervised.html$
- [6] Frank Bauer, Mark A. Lukas, Comparingparameter choice methods for regularization of ill-posed problems, Mathematics and Computers in Simulation, Volume 81, Issue 9, May 2011, Pages 1795-1841, ISSN 0378-4754

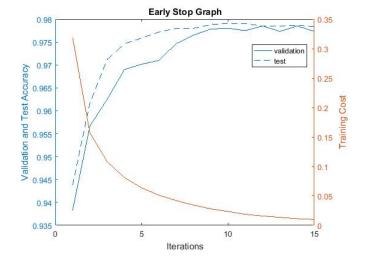


Fig. 16. Implementing Early Stop

This graph depicts one method to increase the generalization of the network. During the training of the network in each epoch we check the accuracy of the validation network, and plotting the graph of the validation accuracy against the learning curve, we can check that after 13 iterations the accuracy of the validation set stops increasing and in-fact drops a bit hence this is the actual point where we have to stop the training iteration. After this point we can say that the network starts to memorize the training data. Hence stopping the training at iteration 13 will keep the network more generalized.

- [7] Christian Szegedy and Wojciech Zaremba and Ilya Sutskever and Joan Bruna and Dumitru Erhan and Ian J. Goodfellow and Rob Fergus, "Intriguing properties of neural networks"
- [8] On the importance of initialization and momentum in deep learning Ilya Sutskever1 James Martens George Dahl Geo?rey Hinton
- [9] Rectified Linear Units Improve Restricted Boltzmann Machines, Vinod Nair Geoffrey E. Hinton
- [10] ImageNet Classification with Deep Convolutional Neural Networks,. Alex Krizhevsky, Ilya Sutskever, Geoffrey E. Hinton
- [11] "Understanding Dropout", Pierre Baldi, Peter Sadowski; Understanding Dropout: Training Multi-Layer Perceptrons with Auxiliary Independent Stochastic Neurons KyungHyun Cho

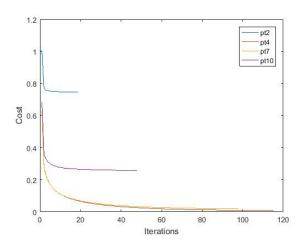


Fig. 17. Learning Curve for 50 Elements in the Hidden Layer **Legend:**

pt2 = hl-50 regularization-1 logistic momentum-0.9 learningRate = 0.1 pt4 = hl-50 regularization-1e-4 logistic momentum-0.9 learningRate = 0.1 pt7 = hl-50 regularization-1e-3 logistic momentum-0.9 learningRate = 0.1 pt10 = hl-50 regularization-0.1 logistic momentum-0.9 learningRate = 0.1

From this graph, the things we can infer the following:

- The Error remain too high even for the best configuration from the above
- From this graph we can easily finalize on the regularization coefficient to 1e-4
- Also we can tell that the error is too high and hence this 50 elements in the hidden layer is clearly not enough

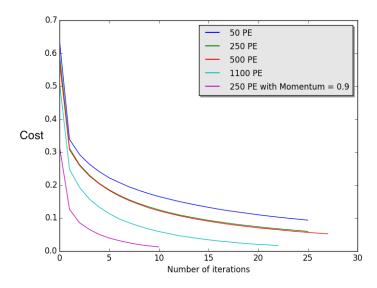


Fig. 18. Learning Curves vs No. of Processing Elements From the earlier graph (figure 17) we can clearly see that 50 hidden elements is not enough. This graph shows the performance of the network with different number of hidden layer processing elements. The higher the number of processing elements the better is the performance. But we are able to achieve that best performance with a momentum with lesser number of processing elements. From the graph we can infer the following: Large number of processing elements will increase the accuracy and take care of the irregularities in the data, but some amount of data preprocessing and adding the effect of momentum along with the general stochastic gradient descent gives us better performance with lesser number of processing elements. Lesser number of processing elements \propto lesser training time \propto lesser number of iterations.

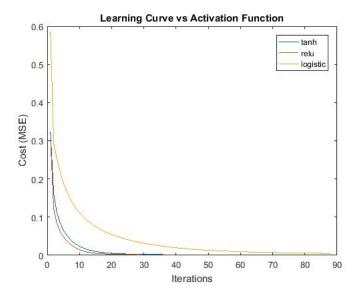


Fig. 19. Various Activation Functions for the Training Dataset The systems different training performance for the different activation functions are mentioned in the graph above. The ReLU performs the best with the fastest convergence. The optimal point's error rate is the same for any activation function. Choosing logistic(sigmoid) activation function takes the longest to converge but if it had given a lot lesser optimal error it would have been the best. But here clearly theReLU works best. We will be using hyperbolic tangent and ReLU activation function for the other experiments in this report.

Checking how many processing elements (with PCA = top 100 coeffs)

```
1) for HL = 50 , lambda = 1e-4 ; relu ; ets = 0.5 ; no momentum
for test set:
0 0 ]]
             0
                0
                   0
                      0
                          0
                             0 9731
[ 0
        1 0 0
    96
                   0 0 0
                             1 1048]
[ 0 0 5 0 0
                   6 0 0 0 9991
[ 0 0 1
            1
               0 1 0 0 0 976]
0 951]
[ 0 0 0 3 0 9 1 0 3 870]
[ 0 12 0 0 0 1 0 0 01017]
[ 0 0 1 0 0 0 0 0 1 1044]
[ 0 0 0 2 0 0 2 0 3 975]
[ 0 0 0 1 0 1 7 0 0 981]]
accuracy = 26%
```

2) for ${\rm HL}=250$, lambda = $1{\rm e}{-4}$; relu ; ets = 0.1 ; no momentum For the test set:

[[975	0	5	0	0	5	7	1	2	14]	
[2	1091	5	30	5	0	0	5	31	4]	
[7	2	906	19	1	0	2	12	13	4]	
[2	1	6	990	1	15	0	4	14	11]	
[0	0	7	0	889	2	3	5	6	43]	
[3	0	0	39	3	826	4	2	13	31]	
[10	1	17	1	12	24	855	0	7	1]	
[4	0	15	53	11	1	0	920	4	20]	
[7	6	18	19	12	15	3	12	847	36]	
[3	2	0	23	32	1	1	27	7	905]]	
Αc	Accuracy = 92%										

3) for HL = 500 , lambda = 1e-4 ; relu ; ets = 0.1 ; no momentum For the test set

[[996	0	3	0	2	5	4	1	5	3]	
[0	1133	9	0	2	1	0	0	19	0]	
[6	2	931	8	5	2	4	5	12	2]	
[1	2	18	933	2	15	0	7	31	3]	
[2	7	4	0	858	0	7	7	4	44]	
[5	2	2	27	3	823	13	1	15	3]	
[4	4	1	1	10	2	930	0	2	1]	
[10	10	11	7	8	3	0	1011	7	14]	
[5	5	6	7	9	8	9	1	923	11]	
[5	2	1	4	28	8	1	23	18	891]]	
Αc	Accuracy = 95										

```
4) for HL = 1000, 100 , lambda = 1e-4 ; relu ; ets = 0.1 ; no momentum
For the test set
[[ 975 0 0
           0 1
                 1 1 0
                           1
                               1]
  0 1128
        2
           0 0
                  0 2
                         2
                           2
                               0]
[
           5
                    0 3
                           4
     1 985
              1
[
   3
                  0
                               2]
                    0 5
  1
     0 6 981
              0 8
                           4
                               11
[
     3 1 0 912 0 4 1
  1
ſ
           3 1 907 9
     0
        3
                        2
                           3
  1
                               4]
[
               1 2 939 0
        0 0
Γ
  4 0
                              0 ]
[ 2 2
        3 1 1 0 0 1028
                          0 1]
[ 3 4
        3 6 1 2 3 0 987
                              4]
        0 4 11 3 0 2 2 98311
 3
      3
[
accuracy=98%
_____
Without using PCA:
5) for HL = 250 , lambda = 1e-4 ; relu ; ets = 0.1 ; no momentum
[[703 0 0 0 0 2 2 0 0 278]
       2 0 8 1 1 1 0 119]
[ 0 1006
[ 0 2 392 1 0 0 1 9 1 597]
        0 701 0
                  7 0 3 3 316]
  1
     0
[
        0 0 355 0 0 0
                           3 643]
  0
Γ
    0
[ 1 0
        0
           11 0 383 0 1 1 480]
0 0
        0 0 0 1 168 0 2 805]
         2 1 0 1 0 831 0 184]
0 1 0 0 0 0 245 729]
0 3 0 0 0 7 1 979]]
[ 2 0 2
       0
[ 0
     1
   2 0
Accuracy = 90%
6)for HL = 250 , lambda = 1e-4 ; relu ; ets = 0.1 ; no momentum
[[618 0 0 0 0 0 386 0 0]
   0 961
        5 0 7 0 0 132
Γ
                           0
                               1]
     1 610
           0 0 0 1 373
  0
                               1]
[
                            1
                          0
       3 643
              0 8 0 393
[
  0
     0
                               01
        0 0 210 0 0 713 0
[
  0
    0
                              46]
  2
     0
        0
           9 0 326 2 553
                           9
                              0]
[
        3
           0 0 0 286 674
                           1
  0
    0
                              0]
[
                           1
     1
                  0 0 1049
[ 1
        2 0 4
                              0 ]
[ 0 2
        1 0 0 1 0 715 255
                              0 ]
```

0 0 1 0 0 969 0 19]]

0

Accuracy = 82%

[

1

```
For the test set
[[ 353 0
           1
              1
                 0
                   2 1 0 6781
  0 1004
        7
           1
              1
                 1
                    1
                        2
                           4 821
[
           5
                    0
              3
                          8 475]
[
  0
     6 492
                 0
                        4
                   0
                 3
  0
    2
       2 536
              0
                       2 4 467]
[
        0 0 568 2 2 2 0 416]
Γ
  0 0
     1
  0
        1
           0 0 363 4 0
                          8 4791
[
             0 3 144 0
Γ
  0
     0
        0
           0
[ 0 1
        4 0 2
                 2 0 782
                           0 249]
[ 0 2
        0 0 0 0
                   0 0 133 8371
              4 1
                   0 0
                           0 995]]
 0
      2
         0 1
[
Accuracy=89%
8) for HL = 1000,100 , lambda = 1e-4 ; relu ; eta = 0.1 ; no momentum
For the test set
[[1013 1 0
           1
              0
                  1
                     3
                        1
                              0]
                           4
           3 0
  0 1134
         4
                 0
                   1
                        1
                           1
                              01
[
[
   2
     0 946
           3 1
                 1
                    1
                        4
                              21
                       0
                    0
[
  0
     0
       6 998
              1
                11
                           4
                              31
       3
                          1
                       0
[ 0
    1
          0 971
                 0
                    6
                              7]
  1 0 1 6 1 895
                    4 0 1
[
                              1]
           0 1 3 968 0
  2 2
        1
                           2
                              0 ]
[
           1
                 1 0 1031 0
        3
              1
  0
     4
Γ
                              3]
[ 1 2
        2 2 2 1
                   1 1 951
                              21
[ 4
     1
        1
           3 6 1 1
                       5 2 93411
accuracy=98%
_____
With Momentum
_____
9) for HL = 250 , lambda = 1e-4 ; relu ; ets = 0.1 ; momentum = 0.1
test set:
           0
        2
                   2
                       2
[[ 962 0
              1 1
                           1
                              01
[ 0 1134
          0 1 0 2 4
        5
                          1
                              1]
   0
    3 1002
           7
              4
                 0 1
                       4
                           4
                              0]
[
       8 942
              0
                 8
                    0
                        3
                           6
  0
     0
[
                              1]
     3
          0 968
                    3 4
                           2
  2
         1
                 0
                              91
[
           2 0 856
                   4 2
[ 1 0
        1
                              31
  6 0
        2
           0 2 3 973 0
                          0
                             0]
[
        5 3 5 0 0 1038 2
0 10 3 3 4 3 955
        5
0
    2
                              4]
   2 4
                              5]
[
        1 4 7 1 1 8 3 960]]
   2
     0
[
accuracy = 98%
```

7) for HL = 500, lambda = 1e-4; relu; ets = 0.1; no momentum

```
0
                                     6
[[ 980
       0
               2
                          0
                                5
                                          2
                                               1
                                                     01
[
     0 1115
               7
                     1
                          0
                                0
                                     1
                                          4
                                               2
                                                     01
     3
          0
             983
                     3
                          1
                               0
                                     1
                                          8
                                               1
                                                     1]
[
                                          3
 [
     0
          2
                3
                  961
                          0
                                8
                                     0
                                               9
                                                     1]
     2
          4
               2
                    0 917
                               1
                                    4
                                          1
                                               1
                                                    12]
 [
                                     9
     3
          2
               2
                    13
                             885
                                          0
 Γ
                          1
                                               1
     3
                    1
                               7
                                   960
                                          0
                                               0
 [
         1
               1
                          1
                                                     0]
 Γ
     0
          4
                6
                    2
                          5
                               0
                                     1
                                        997
                                               1
                                                     7]
                2
                    11
                          2
                               9
                                     2
                                          2
                                             915
 [
     6
         11
                                                     5]
     3
         7
                    7
                          9
                               6
                                    0
                                          5
                                               0 1024]]
 [
 accuracy=97%
11) for HL = 250 , lambda = 1e-4 ; relu ; ets = 0.1 ; momentum = 0.5
 For the test set
[[ 979
         1
               1
                    1
                          0
                               3
                                     2
                                          0
                                               2
                                                     2]
     1 1143
                3
                     2
                          2
                               0
                                     0
                                          2
                                               1
                                                     11
 [
          3 1030
                          0
                                     5
                                          9
                                               5
     3
                    4
                               1
                                                     11
 [
               6 1014
                          0
                                          3
 [
     0
          0
                                5
                                     0
                                               0
                                                     41
                        941
                               2
 [
     1
          1
               1
                     1
                                     1
                                          1
                                               1
                                                     8]
 [
     1
          1
                2
                     7
                          3 839
                                     3
                                          5
                                               2
                                                     3]
     3
          0
                    1
                               9
                                   912
                                          0
                                               5
 [
               1
                          4
                                                     0]
               7
                               2
                                        995
                                               2
 [
     2
          1
                    0
                          4
                                     0
                                                     5]
                                     2
     1
          2
                0
                    10
                                          3
 ſ
                          1
                                5
                                             943
                  7
                          7
                                             5 973]]
     0
          1
               0
                               6
                                   0
                                         12
 [
 12) for HL = 250 , lambda = 1e-4 ; relu ; ets = 0.1 ; momentum = 0.9
For the test set
                    0
                                    0
[[ 984
              0
                          0
                               1
                                               2
                                                     0]
          2
                                          1
     0 1153
                4
                     0
                          3
                                     3
                                          4
                                               3
                                                     01
 Γ
                               0
             904
                          5
 [
     4
          3
                     8
                               1
                                     3
                                          6
                                               0
                                                     2]
 Γ
     3
          0
               6 1024
                          0
                               9
                                     2
                                          1
                                               6
                                                    61
          0
               1
                    0
                       944
                               0
                                     5
                                          2
                                               0
     1
                                                    11]
 [
                    10
                             866
                                   6
                                          2
                                               3
 [
     1
          0
               1
                          0
                                                    1]
                                               3
          4
                0
                    1
                          0
                               4
                                  938
                                          1
                                                     0]
 [
     4
               2
                     0
                               2
                                   0 1083
                                               5
 [
     ()
          1
                          0
                                                     31
     0
          4
                2
                     2
                          2
                              7
                                     3
                                            962
 [
                                       1
                                                     31
 [
     2
          2
               0
                     3
                          6
                              8
                                    1
                                          9
                                              4 921]]
13) for HL = 250 , lambda = 1e-4 ; relu ; ets = 0.1 ; momentum = 1
For the test set
[[ 902
         0
               4
                     8
                          3
                              11
                                    2
                                          2
                                              7
                                                    14]
                     2
                                     2
 Γ
     0 1086
               6
                          0
                               1
                                          4
                                              13
                                                    5]
                        15
     8
          8
             829
                    33
                              7
                                    17
                                         12
                                              16
[
                                                    4]
     2
          6
              23
                   973
                         0
                              13
                                    1
                                         2
                                              37
 [
                                                    16]
     2
          5
               5
                    1
                        850
                              0
                                    11
                                         14
                                              5
 [
                                                    59]
          3
               2
                             763
                                              22
 Γ
     5
                    68
                         12
                                   4
                                         5
                                                    341
     6
          6
              12
                    4
                         7
                              47
                                   928
                                         1
                                              14
                                                    5]
 [
 [
     6
          9
              10
                    4
                         1
                              3
                                   0
                                        975
                                              9
                                                    36]
              7
                    25
                         5
                              9
                                    1
                                         7
                                            859
 [
     4
         10
                                                    14]
                                              23
     3
          3
               4
                    15
                         33
                               3
                                  2
                                        15
 [
                                                  911]]
accuracy=91%
```

10) for HL = 250 , lambda = 1e-4 ; relu ; ets = 0.1 ; momentum = 0.3

Best Configuration _____ 14) for HL = 250, lambda = 1e-4; relu, eta = 0.1; momentum 0.9; with PCA Training set score: 1.000000 Test set score: 0.979800 For the training set [[4960 0 0 0 0 0 0 0 0 0] [0 5657 0 0 0 0 0 0 0] 0 0 5028 0 0 0 0 0 ſ 0] [0 0 0 5071 0 0 0 0 0] 0 0 0 0 4849 0 0 0 0 0] [0 0 0 0 4495 0 0 0] [0 0 0 0 0 4900 0 0 0] [0 0 0 0 0 0 0 5176 0 0] [0 0 0 0 0 0 0 0 4906 0] [0 0 0 0 0 0 0 0 4958]] accuracy = 100% For the test set

	. СПС	CCDC								
]]	975	0	1	0	1	1	1	1	3	4]
[0	1107	4	1	1	0	1	5	1	2]
[6	1	960	6	2	1	1	1	4	3]
[0	0	4	1007	1	14	0	0	6	4]
[0	0	3	0	962	1	3	3	2	7]
[3	1	0	9	0	864	7	1	4	3]
[6	1	0	1	1	4	952	0	2	0]
[2	1	3	1	1	0	0	1076	1	11]
[2	5	3	1	2	1	3	1	921	3]
[2	1	0	3	7	0	0	5	0	974]]

precision	recall	f1-score	support	
0.0	0.98	0.99	0.98	987
1.0	0.99	0.99	0.99	1122
2.0	0.98	0.97	0.98	985
3.0	0.98	0.97	0.98	1036
4.0	0.98	0.98	0.98	981
5.0	0.98	0.97	0.97	892
6.0	0.98	0.98	0.98	967
7.0	0.98	0.98	0.98	1096
8.0	0.98	0.98	0.98	942
9.0	0.96	0.98	0.97	992
avg / total	0.98	0.98	0.98	10000

```
15) for HL = 250, lambda = 1e-4; relu, eta = 0.1; momentum 0.9; with PCA; with Dropout
                0
                  0
                            5
[[4910
      0
           1
                        2
                                1
                                    1
                                         1]
[
    0 5659
            0
                0
                    1
                        0
                             0
                                 1
                                    0
                                         0]
                    2
[
    0
       4 4949
                3
                        0
                             0
                                1
                                    12
                                         2]
[
    2
       1
          8 4989
                    1
                        27
                             0
                               6
                                    14
                                        13]
           5 0 4878
                            7
       3
                      0
                                1
                                   0
ſ
                                        231
                                    7
   0
           1
                2 0 4518
                           5
                                0
[
       1
                                         2]
Γ
   5
       2
            1
                0
                    2
                        0 4902
                               0
                                         0]
[ 1 10
           6
                0
                    7
                        0
                          0 5215
                                     4
                                         7]
[ 1 4
                0 1
                        4
                           1 0 4818
                                         91
           0
                           0 11
                0 21
                       8
                                     2 4881]]
[
  1
        1
          precision recall f1-score support
accuracy = 99%
For the test set
          4
[[1013
      1
                0
                    0
                       0
                             3
                                0
                                         1]
                                     1
            5
    0 1054
                0
                    0
                                    3
                       1
                            1
                                1
                                         01
[
        3 970
                2
                                         01
[
    3
                    ()
                        0
                             1
                                4
                                    3
          8 1028
[
   0
        0
                    0
                       16
                           0
                                 8
                                    11
                                         2]
                0 882
[
   0
        1
            2
                        0
                            6
                                 3
                                     2
                                         8]
   3
       1
           0
                4
                   0
                      916
                            6
                                 0
                                    3
                                         2]
[
   5
                0
                        3 960
                               0
                                    3
[
       1
           1
                    0
                                         1]
   0
                                     2
Γ
        3
           6
                0
                    0
                        1
                           0 1005
                                         2]
                  8
                        4
[
   4 0
           0
                0
                             2
                                1 932
                                         41
[ 4
        2
           1
                4
                    6
                       4
                            2
                                 9
                                   2 1032]]
          precision
                    recall f1-score
                                   support
      0.0
              0.98
                       0.99
                               0.99
                                       1023
      1.0
              0.99
                       0.99
                               0.99
                                       1065
      2.0
              0.97
                       0.98
                               0.98
                                        986
      3.0
              0.99
                       0.96
                               0.97
                                       1073
```

0.98

0.97

0.98

0.98

0.97

0.97

0.98

904

935

974

1019

955

1066

10000

4.0

5.0

6.0

7.0

8.0

9.0

avg / total

0.98

0.97

0.98

0.97

0.97

0.98

0.98

0.98

0.98

0.99

0.99

0.98

0.97

0.98