



# **Evolog - Actions and Modularization in Lazy-Grounding Answer Set Programming**

**DIPLOMARBEIT**

zur Erlangung des akademischen Grades

**Diplom-Ingenieur**

im Rahmen des Studiums

**Logic and Computation**

eingereicht von

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Wien, 1. Juli 2022

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DIPLOMA THESIS

submitted in partial fulfillment of the requirements for the degree of

**Diplom-Ingenieur**

in

**Logic and Computation**

by

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# Danksagung

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# Acknowledgements

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# Kurzfassung

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# Abstract

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# Introduction

## 1.1 Answer Set Programming

Answer Set Programming (ASP) is a formalism for declarative problem solving based on the Stable Model semantics introduced by Gelfond and Lifschitz in 1988 [GL88]. At its core, an ASP program is a collection of conditional rules along the lines of "*if A holds true, then B must also hold*" as well as negative rules, so-called *constraints*, which prohibit certain conditions, e.g. "*If A has property  $p(A)$ , then it cannot have property  $q(A)$* ". Example 1.1.1 demonstrates the basic idea of ASP based on a program for course planning in a (very simplified) school setting.

**Example 1.1.1.** Listing 1.1 shows a knowledge base written in ASP, which encodes facts - things that are always true, such as "*There is a subject called maths*" - and rules, e.g. "*A teacher T that is qualified to teach subject S, can be assigned to teach S*", about a simplified school domain.

Listing 1.1: School planning in ASP

```
1      % The curriculum consists of different courses.
2      subject(german).
3      subject(english).
4      subject(maths).
5      subject(biology).
6      subject(history).
7
8      % Each teacher can teach one or more subjects
9      teacher(bob).
10     can_teach(bob, english).
11     can_teach(bob, maths).
12     teacher(alice).
```

## 1. INTRODUCTION

---

```
13 can_teach(alice, maths).
14 can_teach(alice, history).
15 teacher(claire).
16 can_teach(claire, german).
17 can_teach(claire, history).
18 teacher(joe).
19 can_teach(joe, biology).
20 can_teach(joe, history).
21
22 % Assign subjects to teachers
23 { teaches(T, S) } :- teacher(T), can_teach(T, S).
24 % such that..
25 % Every teacher teaches at least one subject
26 :- teacher(T), not teaches(T, _).
27 % Every subject is taught by exactly one teacher
28 :- subject(S), not teaches(_, S).
29 :- teaches(T1, S), teaches(T2, S), T1 != T2.
```

Evaluating the above program using an *answer set solver*, i.e. an interpreter for the ASP language, yields the following collection of so-called *answer sets*:

- $A_1 = \{teaches(bob, english), teaches(bob, maths), teaches(alice, history), teaches(claire, german), teaches(joe, biology)\}$
- $A_2 = \{teaches(bob, english), teaches(alice, maths), teaches(claire, german), teaches(claire, history), teaches(joe, biology)\}$
- $A_3 = \{teaches(bob, english), teaches(alice, maths), teaches(claire, german), teaches(joe, biology), teaches(joe, history)\}$
- $A_4 = \{teaches(bob, english), teaches(alice, maths), teaches(alice, history), teaches(claire, german), teaches(joe, biology)\}$

Intuitively, each answer set constitutes a valid solution to the problem specified in the program in the sense that, if one adds the propositions from the answer set to the original program, all rules are fulfilled, while no constraint is violated. What sets ASP apart from many other logic programming formalisms is its *multi-model semantics*, i.e. that it can express more than one solution to a problem as well as mutually exclusive solutions.

As Example 1.1.1 illustrates, ASP offers a declarative and concise description language for complex problems, where formulating an imperative algorithm is non-trivial. Since its inception, ASP has been applied in a wide range of applications such as logistics [RGA<sup>+</sup>12] [AJO<sup>+</sup>21], automated music composition [EGPASB20] and even spaceflight [NBG<sup>+</sup>01]. ..

use stuff from "answer set programming at a glance"

First implementations "blabla as example ?? shows, ASP is well suited to all kinds of planning problems... it has successfully been used for ... more software-engineering-like tasks could also benefit from that kind of declarative brevity (hint: parsers, configuration, etc), but for ease of coding ,we don't want to write connectors all day...."

Apart from the established use of ASP as a formalization language for decision- or optimization problems, more recent developments in the field are increasingly targeted toward working with continuous external data in ASP programs. A prominent example from this direction of research is the stream reasoning framework LARS [BDTE18]. Closely related to the concept of processing external data is the notion of actually influencing the outside world, for instance by writing data to a network buffer, from an ASP program, while still preserving declarative semantics. The DLV-extension Acthex [BEFI10] is an example of a system with basic action support. The goal of this thesis is to implement action support in the lazy-grounding solver Alpha [WBB<sup>+</sup>19], along with a basic modularization concept, thus enabling the development of arbitrary programs fully within ASP.

## 1.2 Actions and Modularization in ASP - Motivation

**Example 1.2.1.** Listing 1.2 shows a simple ASP program that parses binary strings and calculates the corresponding decimal numbers. It uses external atoms implemented in Java for basic string operations: The predicate *str\_x\_xs* takes inspiration from list syntax in Haskell and splits off the first character of a given string, e.g *str\_x\_xs*["abc"]("a", "bc"), while *stdlib\_string\_length* and *stdlib\_string\_matches\_regex* test the length of a string and whether it matches some regular expression, respectively.

Listing 1.2: Parsing binary strings in ASP

```

1  encoding_scheme("1", "0", "[01]+").
2
3  % Helper - binstring_intm_decoded is the "internal"
4  % predicate we're using to recursively add up the
5  % bit values
6  binstring_intm_decoded(START_STR, START_STR, 0) :-
7      binstr(START_STR).
8
9  % Handle the case where the current bit is set
10 binstring_intm_decoded(START_STR, CURR_STR, CURR_VALUE) :-
11     binstring_intm_decoded(START_STR, LAST_STR, LAST_VALUE),
12     \&str_x_xs[LAST_STR](HIGH_CODE, CURR_STR),
13     \&stdlib_string_length[LAST_STR](LAST_LEN),
14     CURR_VALUE = LAST_VALUE + 2 ** (LAST_LEN - 1),
15     encoding_scheme(HIGH_CODE, _, REGEX),
16     \&stdlib_string_matches_regex[START_STR, REGEX].

```

```
17
18     % Handle the case where the current bit is not set
19     binstring_intm_decoded(START_STR, CURR_STR, CURR_VALUE) :-
20         binstring_intm_decoded(START_STR, LAST_STR, LAST_VALUE),
21         \&str_x_xs[LAST_STR](LOW_CODE, CURR_STR),
22         \&stdlib_string_length[LAST_STR](LAST_LEN),
23         CURR_VALUE = LAST_VALUE,
24         encoding_scheme(_, LOW_CODE, REGEX),
25         \&stdlib_string_matches_regex[START_STR, REGEX].
26
27     % These are the final values
28     bin_number(BIN, DEC) :-
29         binstring_intm_decoded(BINSTR, "", DEC).
```

The program from Example 1.2.1 is a (very simple) example of a parser component that may be easier to implement in a declarative language than some (typically imperative) general purpose language such as Java or Python. With most current ASP solvers, if one wanted to write such a declarative parsing component, reading of parser inputs (typically from some file or stream) and writing of parser output would have to be done in some other language. Especially in applications where input and output is relatively simple, but parsing and data transformation logic is more involved, it would streamline application development to be able to write the whole application in one language. Furthermore, to re-use code parts - for example some generic parser as exhibited in Example 1.2.1 - one would currently have to keep that code in a separate ASP file and manually avoid conflicts in naming of predicates without any language-level support for code encapsulation and modularization. These considerations lead to the goals laid out in Section 1.3. Section 1.4 gives an overview of the state of the art on action support and approaches to program modularizations in current ASP solving systems. Section 1.5 gives an outline of the rest of the thesis.

### 1.3 Problem Statement

**Triggering actions from programs** Most program flows follow a chain of events, each a consequence of its predecessor, e.g. "If there exists a file A, read it. If reading was successful, do something with the content. If the operation succeeds, write the result to file B". It is highly desirable to be able to write this kind of program in a declarative, logic-based language that can leverage the strengths of ASP for the "business logic" part. Specifically, the proposed action semantics should deliver

- declarative programs, i.e. order in which actions occur in code does not affect semantics,

- actions behaving in a functional fashion, i.e. an action always gives the same result for the same input. Especially, actions have to be idempotent in the sense that, for an ASP rule that is associated with some action, the result of the action never changes, no matter how often the rule fires.
- transparent action execution, i.e. every action that is executed during evaluation of a program must be reflected in an answer set.

**Program Modularization** While not formally connected, triggering actions from programs and modularization (i.e. plugable and re-usable sub-programs), intuitively complement each other in our current high-level design. Introducing a simple, easy-to-use module system is therefore the second goal of this work. It is, however, secondary in priority to definition and prototypical implementation of action support and may be reduced to a technical design draft if required due to time constraints.

**Incremental Evaluation and Lazy Grounding** Experiences from existing systems for ASP application development such as ASAP [SW15] or ACTHEX [BEFI10] show that, in order to achieve the evaluation performance necessary for use in real-world applications, ASP application code needs to be evaluated in an incremental fashion (rather than iteratively re-evaluating the whole program) whenever possible. The lazy-grounding architecture employed by ASP systems such as Alpha [WBB<sup>+</sup>19] offers an intuitive solution.

## 1.4 State of the Art

This work aims to blend action support with modularization in the context of lazy-grounding ASP solving - all three of these areas have seen a substantial amount of research in the past.

Both Clingo [GKKS14] and DLVHEX, through the ACTHEX [BEFI10] extension, offer their own flavours of support for triggering actions from programs. While Clingo does not directly support actions as a dedicated feature - and therefore offers no strictly enforced semantics for this - similar behavior can be achieved using external functions and the reactive solving features first introduced in oClingo [GGKS11]. ACTHEX has thoroughly defined semantics for actions. In the ACTHEX model, answer set search and action execution are separate steps, where executability of actions is only determined after answer sets are calculated. While this gives users a high degree of flexibility in working with actions, it does not directly lend itself to the idea of a general purpose language where program behavior may be influenced by continuous two-way communication between a program and its environment.

With regards to Modularity, i.e. the process of "assembling" an ASP program from smaller building blocks (i.e. modules), a comprehensive semantics for so-called *nonmonotonic modular logic programs* has been introduced in [Kre18] and [DTEFK09]. While it does

Mostly copied  
from proposal for  
now – refine a bit!

not impose any restrictions on language constructs used in modules and recursion within and between modules, it also comes with rather high computational complexity and no easily available implementations so far. A more "lightweight" approach to modularization are *Templates* [IIP<sup>+</sup>04]. As the name implies, this purely syntactic approach aims to define isolated sub-programs that can be generically used to avoid code duplication throughout an application and is conceptually similar to the well-known generics in object-oriented languages such as C++ and Java. Templates are rewritten into regular ASP rules using an "explosion" algorithm which basically "instantiates" the template by generating the needed body atoms (and rules deriving them) wherever templates are used. While easy to implement and flexible, a potential disadvantage of this concept is that - due to its purely syntactical nature - programmers need to be on the watch for potential bugs arising from unintended cyclic dependencies or recursive use of templates (leading to potential non-termination of the explosion algorithm) themselves. Yet another powerful toolset for modular application development is provided by Clingo's *multi-shot-solving* [GKKS19] features. Clingo allows for parameterized sub-programs which are then repeatedly grounded in a process that is conceptually similar to the notion of module instantiation in [OJ08] and solved during solving of the overall program. However, as this "contextual grounding" needs to be programmatically controlled by an external application through Clingo's API, the inherent flexibility and usefulness for incremental solving of this approach is counterweighed by a high level of proficiency with and knowledge of the Clingo system necessary to leverage these capabilities. The concept of *lazy grounding*, i.e. interleaving of the - traditionally sequential - grounding and solving steps present in most prevalent ASP solvers, is relatively new. It has been spearheaded by the GASP [DPDPR09] and ASPERIX [LN09] solvers which avoid calculating the full grounding of an input program by performing semi-naive bottom-up evaluation along the input's topologically sorted (non-ground) dependency graph. While efficient in terms of memory use, this approach cannot stand up to the solving performance of systems like DLV or Clingo which employ their knowledge of all possible ground rules to perform conflict-driven nogood learning (CDNL) as part of their solving algorithm to great effect. Alpha [WBB<sup>+</sup>19], a more recent lazy-grounding solver, aims to bridge this gap in performance by employing CDNL-style solving techniques [Wei17] incrementally on partially ground program parts as part of its central ground-and-solve loop.

Conceptually, the common ingredient linking the - on first glance not directly connected - areas of actions in ASP, program modularization, and lazy grounding is a need for detailed static program analysis prior to solving, be it to detect potentially invalid action sequences, calculate module instantiation orders, or for up-front evaluation of stratified program parts in a lazy-grounding context. In addition, both actions and modularization can greatly benefit from - or even depend on - incremental evaluation facilities of a solver for efficient operation. Since lazy-grounding by its very definition embodies an incremental evaluation approach, it seems only natural to incorporate actions and modularization into a lazy-grounding solver's input language in order to provide ASP programmers with a powerful tool for application development. Alpha, with its good solving performance compared to other lazy-grounding systems, support for a large part

of the current ASP-Core2 language standard, and active development status, appears the natural choice as the technical backbone of this work.

## 1.5 Thesis Roadmap

The core part of this work is the formal specification of the Evolog language in Chapter ??, where we formally define Evolog’s action semantics and modularization concept and make some observations on the relationship between Evolog programs versus regular ASP programs. Chapter ?? gives an overview of how the formal specifications from Chapter ?? were implemented in the Alpha ASP solver, along with some examples of actual programs written in Evolog. Finally, Chapter ?? reflects on the experiences gained in using the implementation from Chapter ?? for hands-on software development. We try to gauge the practical applicability of the implementation, highlight challenges yet to be addressed, and take a look at related work.





# Preliminaries

## 2.1 Answer Set Programming

When speaking of ASP, we nowadays mostly refer to the language specified by the ASP-Core2 standard [CFG<sup>+</sup>20]. It uses the *stable model semantics* by Gelfond and Lifschitz [GL88] as a formal basis and enhances it with support for advanced concepts such as disjunctive programs, aggregate literals and weak constraints. This chapter describes the input language supported by the Alpha solver, which will serve as the basis on which we will define the Evolog language.

### 2.1.1 Syntax

**Definition 2.1.1** (Integer numeral). An *integer numeral* in the context of an ASP program is a string matching the regular expression:

$$(-) ? [0-9]^+$$

The set of all valid integer numerals is denoted as *INT*.

**Definition 2.1.2** (Identifier). An *identifier* in the context of an ASP program is a string matching the regular expression:

$$[a-z][a-zA-Z0-9\_]*$$

The set of all valid identifiers is denoted as *ID*.

**Definition 2.1.3** (Variable Name). A *variable name* in the context of an ASP program is a string matching the regular expression:

$$[A-Z][a-zA-Z0-9\_]*$$

The set of all valid variable names is denoted as *VAR*.

**Definition 2.1.4** (Term). A *term* is inductively defined as follows:

- Any *constant*  $c \in (INT \cup ID)$  is a term.
- Any *variable*  $v \in VAR$  is a term.
- Given terms  $t_1, t_2$ , any *arithmetical expression*  $t_1 \oplus t_2$  with  $\oplus \in \{+, -, *, /, **\}$  is a term.
- Given terms  $t_1, t_2$ , any *interval expression*  $t_1 \dots t_2$  is a term.
- For function symbol  $f \in ID$  and argument terms  $t_1, \dots, t_n$ , the *functional expression*  $f(t_1, \dots, t_n)$  is a term.

**Definition 2.1.5** (Subterms). Given a term  $t$ , the set of *subterms* of  $t$ ,  $st(t)$ , is defined as follows:

- If  $t$  is a *constant* or *variable*,  $st(t) = \{t\}$ .
- If  $t$  is an *arithmetical expression*  $t_1 \oplus t_2$ ,  $st(t) = st(t_1) \cup st(t_2)$ .
- If  $t$  is an *interval expression*  $t_1 \dots t_2$ ,  $st(t) = st(t_1) \cup st(t_2)$ .
- If  $t$  is a *functional expression* with argument terms  $t_1, \dots, t_n$ ,  $st(t) = st(t_1) \cup \dots \cup st(t_n)$ .

A term is called *ground* if it is variable-free, i.e. none of its subterms is a variable.

**Definition 2.1.6** (Basic Atom). Given a predicate symbol  $p \in ID$  and argument terms  $t_1, \dots, t_n$ , the expression

$$p(t_1, \dots, t_n)$$

is called a *atom*. An atom is ground if all of its argument terms are ground. A ground atom with predicate  $p$  is called an *instance* of  $p$ .

**Definition 2.1.7** (Comparison Atom). Given terms  $t_1$  and  $t_2$  and comparison operator  $\odot$  where  $\odot \in \{<, \leq, =, \geq, >, \neq\}$ , the expression

$$t_1 \odot t_2$$

is called a *comparison atom*. Syntactically, a comparison atom is a regular atom where the predicate symbol (i.e. comparison operator) is written in infix- rather than prefix-notation.

**Definition 2.1.8** (External Atom). Given an *external predicate name*  $ext$ , *input terms*  $t_1, \dots, t_n$  and *output terms*  $t_{n+1}, \dots, t_m$ , the expression

$$\&ext[t_1, \dots, t_n](t_{n+1}, \dots, t_m)$$

is called an *external atom*. Syntactically, external atoms are regular atoms where  $\&ext$  is the predicate symbol and  $t_1, \dots, t_m$  are argument terms.

**Definition 2.1.9** (Literal). A literal in ASP is an atom  $a$  or ("default"-)negated atom  $\text{not } a$ . Literals wrapping comparison- or external atoms are called *fixed interpretation literals*.

**Definition 2.1.10** (Rule, Program). A *rule* is an expression of form

$$a_H \leftarrow b_1, \dots, b_n.$$

for  $n \geq 0$ , where the *rule head*  $a_H$  is an atom and the *rule body*  $b_1, \dots, b_n$  is a set of literals. An ASP *program* is a set of rules. A rule with an empty body is called a *fact*. A rule is *ground* if both its head atom and all of its body literals are ground. By the same reasoning, a program is ground if all of its rules are ground.

Given a rule  $r$ , we refer to the head of  $r$  as  $h(r)$  and the body of  $r$  as  $b(r)$ . Furthermore,  $b^+(r)$  is used to reference the set of *positive body literals* of  $r$ , while  $b^-(r)$  references the *negative body literals*.

**Definition 2.1.11** (Constraint). A *constraint* is a special form of rule, written as a rule with an empty head, i.e.

$$\leftarrow b_1, \dots, b_n.$$

It is syntactic sugar for

$$q \leftarrow b_1, \dots, b_n, \text{not } q.$$

where  $q$  is a propositional constant not occurring in any other rule in the program.

### 2.1.2 Semantics

**Definition 2.1.12** (Herbrand Universe). The Herbrand Universe  $HU_P$  of a Program  $P$  is the set of all valid terms that can be constructed with respect to Definitions 2.1.1, 2.1.2 and 2.1.4. Note that most papers use stricter definitions of the Herbrand Universe where  $HU_P$  consists only of terms constructible from constants occurring in  $P$ . The broader definition used here is chosen for ease of definition with respect to some of the extensions introduced in Section 3.1.

**Definition 2.1.13** (Herbrand Base). The Herbrand Base  $HB_P$  of a Program  $P$  is the set of all ground atoms that can be constructed from the Herbrand Universe  $HU_P$  according to definition 2.1.6.

**Definition 2.1.14** (Herbrand Interpretation). A Herbrand Interpretation is a special form of first order interpretation where the domain of the interpretation is a Herbrand Universe and terms are the interpretation of a term is the term itself, i.e. the corresponding element of  $HU_P$ . Intuitively, Herbrand Interpretations constitute listings of atoms that are true in a given program. Since the domain of a Herbrand Interpretation is always the Herbrand Universe  $HU_P$ , we only need to give a predicate interpretation for the predicates occurring in a program  $P$  in order to fully specify a Herbrand Interpretation. We can therefore denote Herbrand Interpretations as sets of atoms  $I \subseteq HB_P$ .

### Grounding

Given a program  $P$  containing variables, *grounding* refers to the process of converting  $P$  into a semantically equivalent propositional, i.e. variable-free, program.

**Definition 2.1.15** (Substitution, adapted from [Wei17]). A substitution  $\sigma : VAR \mapsto (ID \cup INT)$  is a mapping from variables to constants. For a atom  $a$ , applying a substitution results in a substituted atom  $a\sigma$  in which variables are replaced according to  $\sigma$ . Substitutions are applied to rules by applying them to every individual atom or literal within the rule. By the same mechanism, we can apply substitutions to programs by applying them to all rules.

**Definition 2.1.16** (Grounding). Given a rule  $r$ , the *grounding* of  $r$ ,  $grnd(r)$ , is a set of substitutions  $S$ , such that the set of ground rules resulting from applying the substitutions in  $S$  is semantically equivalent to  $r$ . In a slight abuse of terminology, *grounding* in this work also refers to the set of ground rules resulting from applying  $S$  as well as the process of finding said set.

### Stable Model Semantics

**Definition 2.1.17** (Fixed interpretation literals). Fixed interpretation literals, i.e. comparison- and external literals, respectively, are interpreted by means of a program-independent oracle function  $f_O : H_U(P)^* \mapsto \{\top, \perp\}$ , i.e. a fixed interpretation literal with argument terms  $t_1, \dots, t_n$  has the same truth value under all interpretations.

**Definition 2.1.18** (Truth of Atoms and Literals). A positive ground literal  $l$  with atom  $a$  is true w.r.t. a Herbrand Interpretation  $I$ , i.e.  $I \models l$  if

- $a$  is a basic atom contained in  $I$ , i.e.  $a \in I$ ,
- $a$  is a fixed interpretation literal with terms  $t_1, \dots, t_n$  and  $f_O(t_1, \dots, t_n) = \top$ .

For a negative ground literal  $not\ a$ , the reverse holds, i.e.  $I \models not\ a$  if

- $a$  is a basic atom not contained in  $I$ , i.e.  $a \notin I$ ,
- $a$  is a fixed interpretation literal with terms  $t_1, \dots, t_n$  and  $f_O(t_1, \dots, t_n) = \perp$ .

A set of literals  $L$  is true w.r.t. an interpretation  $I$  if  $I \models l$  holds for every literal  $l \in L$ .

**Definition 2.1.19** (Positive Logic Program). A *positive* logic program is a program according to Definition 2.1.10, where all rule bodies are positive, i.e. no rule body contains a negated atom.

**Definition 2.1.20** (Immediate Consequence Operator, adapted from [EIK09]). Given a Herbrand Interpretation  $I$  and a ground positive logic program  $P$ , the immediate consequence operator  $T_P(I)$  defines a monotonic function  $T_P : 2^{HB_P} \mapsto 2^{HB_P}$  such that

$$T_P(I) = \{h(r) \mid r \in P \wedge I \models b(r)\}$$

i.e. the result set of applying  $T_P$  with a Herbrand Interpretation  $I$  contains the heads of all rules whose body is true under  $I$ .

**Definition 2.1.21** (Least Model of positive logic programs). The least model  $LM(P)$  of a (ground) positive logic program  $P$  is the least fixpoint of the  $T_P$  operator of  $P$ , i.e. the set toward which the sequence  $\langle T_P^i \rangle$ , with  $i \geq 0$ ,  $T_P^0 = \emptyset$  and  $T_P^i = T_P(T_P^{i-1})$  for  $i \geq 1$ , converges. The existence of said fixpoint and its characterisation as limit of  $\langle T_P^i \rangle$  follow from the fixpoint theorems of Knaster, Tarski and Kleene, respectively.

**Definition 2.1.22** (Gelfond-Lifschitz Reduct, adapted from [GL88] and [EIK09]). Given a ground ASP program  $P$  and a Herbrand Interpretation  $I$ , the *Gelfond-Lifschitz-Reduct* ("GL-reduct")  $P^I$  of  $P$  with respect to  $I$  is the program obtained by:

- removing from  $P$  all rules  $r$  that are "blocked", i.e.  $I \not\models l$  for some literal  $l \in b^-(r)$
- and removing the negative body of all other rules.

Note that  $P^I$  is a positive logic program.

**Definition 2.1.23** (Answer Set [GL88] [EIK09]). A Herbrand Interpretation  $I$  of an ASP program  $P$  is an *answer set* or *stable model* of  $P$  iff it is the least model  $LM(P^I)$  of the GL-reduct  $P^I$  of  $P$ . We denote the set of Answer Sets of a program  $P$  as  $AS(P)$ .

## 2.2 Lazy-Grounding ASP Solving

### 2.2.1 Two-phased ASP solving

In traditional ASP solving systems such as SModels [SN01], DLV [LPF<sup>+</sup>02] or Clingo [GKK<sup>+</sup>08], grounding an input program and solving the resulting propositional program are distinct sequential steps in the overall solving process. Consequently, in order to obtain answer sets of a program, one has to calculate a grounding for the entire program first, and can only then start the actual solver. Since the grounding of an arbitrary program may be exponentially larger than the nonground program or, in some extreme cases, not even finite, calculating a full grounding is often not feasible, especially for programs where only very few ground rules can actually fire. Lazy-grounding systems like Alpha try to alleviate this by interleaving the grounding- and solving steps and ideally ground only as much of the input programs as is necessary to find all answer sets.

### 2.2.2 Conceptual solving workflow in Alpha

The formal basis of lazy-grounding architectures lies in the notion of a *computation sequence*, i.e. a set of rules firing in a given order in order to get to an interpretation that is an answer set. Definition 2.2.1 formally introduces computation sequences.

**Definition 2.2.1** (Computation Sequence, adapted from [Wei17] and [LN09]). Let  $P$  be an ASP program and  $S = (A_0, \dots, A_\infty)$  a sequence of assignments, i.e. herbrand interpretations denoted by a set of atoms assumed to be true, then  $S$  is called a *computation sequence* iff

- $A_0 = \emptyset$
- $\forall i \geq 1 : A_i \subseteq T_P(A_{i-1})$ , i.e. every  $A_i$  is a consequence of its predecessor in the sequence,
- $\forall i \geq 1 : A_{i-1} \subseteq A_i$ , i.e.  $S$  is monotonic,
- $A_\infty = \bigcup_{i=0}^{\infty} A_i = T_P(A_\infty)$ , i.e.  $S$  converges toward a fixpoint and
- $\forall i \geq 1 : \forall a \in A_i \setminus A_{i-1}, \exists r \in P : h(r) = a \wedge \forall j \geq i - 1 : A_j \models a$ , i.e. applicability of rules is persistent.

$A_\infty$  is an answer set of  $P$  iff  $S$  is a computation sequence. Note that there may exist an arbitrary number of computation sequences leading to the same answer set.

Obviously, computation sequences can be easily found by simple iterative application of the  $T_P$  operator for programs that do not use negation in rules. However, since in general once negation comes into play, solvers may have to retract assignments of atoms ("backtrack"), over the course of the solving process. Lazy-grounding solvers suffer from a performance penalty compared to two-phased systems in that respect. This penalty results from algorithms based on Conflict-driven Nogood Learning (CDNL) [GKS12] achieving higher performance since conflicts occur faster and more nogoods can be learned from them with a full grounding available. A key challenge in designing lazy-grounding systems is therefore identifying classes of programs as well as groups of rules within programs that can be evaluated using simplified deterministic algorithms in order to minimize the number of potential backtracks.

Example of mutually blocking rules!

### Structural Dependency Analysis and Stratified Evaluation

**Definition 2.2.2** (Unification). Let  $l_1, l_2$  be literals. Then a substitution  $\sigma$  is a *unifier* of  $l_1$  and  $l_2$  iff  $l_1\sigma = l_2\sigma$ . Two literals for which a unifier exists are said to be *unifiable*. We use the notation  $l_1 \uplus l_2$  to express that  $l_1$  and  $l_2$  are unifiable.

Since we're talking about non-ground stratification, should we define a nonground  $T_P$  operator! Just simply say we add the rules where all defining rules have already fired in each step

**Definition 2.2.3** (Defining rules). Given an ASP program  $P$  and literal  $l$  of form  $a$  or  $\text{not } a$  with atom  $a$ , the set  $\text{def}(l)$  of *defining rules* of  $l$  is defined as

$$\text{def}(l) = \{r \mid r \in P \wedge h(r) \uplus a\}$$

i.e. all rules in  $P$  whose head is unifiable with  $a$ .

**Definition 2.2.4** (Stratification, adapted from [ABW88]). Given a (non-ground) ASP program  $P$ , a stratification is a partition  $S$  of  $P$  into sub-programs called *strata*  $(P_0, \dots, P_1)$  such that

- $\cup_{i=0}^n P_i = P$ , i.e.  $S$  is total,
- $\forall i \geq 0 : \forall r \in P_i : \forall l \in b^+(r) : \text{def}(l) \subseteq \cup_{j=0}^i P_j$ , i. e. for every positive body literal  $l$  of every rule  $r$ , it holds that all rules defining  $l$  reside in a stratum with lower or equal index to the stratum  $r$  resides in, and
- $\forall i \geq 0 : \forall r \in P_i : \forall l \in b^-(r) : \text{def}(l) \subseteq \cup_{j=0}^{i-1} P_j$ , i. e. for every negative body literal  $l$  of every rule  $r$ , it holds that all rules defining  $l$  reside in a stratum with strictly lower index than the stratum  $r$  resides in.

A program is called *stratified* iff a stratification exists for it.

**Definition 2.2.5** (Stratified Evaluation, adapted from [EIK09] and [ABW88]). Let  $P$  be an ASP program,  $S = (P_0, \dots, P_n)$  a stratification of  $P$  and  $T_{P_i}$  with  $0 \leq i \leq n$  the immediate consequence operator for sub-program  $P_i \in S$  respectively. Then the least model  $LM(P)$  of  $P$  is defined as follows. The sequence  $\langle M_{P_i} \rangle$ ,  $0 \leq i \leq n$  with  $M_{P_0} = \text{lfp}(T_{P_0})$  and  $M_{P_i} = \text{lfp}(T_{P_i \cup M_{S_{i-1}}})$  for all  $1 \leq i \leq n$  defines the least model for each stratum. The least model  $LM(P)$  of program  $P$  is then the least model of the highest stratum  $M_{P_n}$ , i.e. the end of the sequence  $\langle M_{P_i} \rangle$ .

**Definition 2.2.6** (Dependencies). Let  $P$  be an ASP program and  $r \in P$  a rule contained in  $P$ . a rule  $d$  is a *positive dependency* of  $r$ , i.e.  $r \succ_d^+ d$  iff one the following holds:

- $\exists l \in b^+(r) : d \in \text{def}(l)$ , i.e.  $d$  is a defining rule for some positive body literal of  $r$ , or
- $\exists d_1 \in P : r \succ_d^+ d_1 \wedge d_1 \succ_d^+ d$ , i.e. there is some positive dependency  $d_1$  of  $r$  of which  $d$  is a (transitive) positive dependency.

*Negative dependencies* are defined in the same way, i.e. a rule  $d$  is a negative dependency of  $r$ ,  $r \succ_d^- d$  iff

- $\exists l \in b^-(r) : d \in \text{def}(l)$ , i.e.  $d$  is a defining rule for some negative body literal of  $r$ , or
- $\exists d_1 \in P : r \succ_d^- d_1 \wedge d_1 \succ_d^- d$ , i.e. there is some negative dependency  $d_1$  of  $r$  of which  $d$  is a (transitive) negative dependency.

Any positive or negative dependency  $d$  of  $r$  is a *dependency* of  $r$ , i.e.  $r \succ_d d$ . We denote the set of dependencies of a rule as  $D(r) = \{d \mid r \succ_d d\}$  and positive and negative dependencies as  $D^+(r) = \{d \mid r \succ_d^+ d\}$  and  $D^-(r) = \{d \mid r \succ_d^- d\}$  respectively. Any non-transitive dependency of a rule is called a *direct dependency*.

**Definition 2.2.7** (Dependency Graph). Let  $P$  be an ASP program. Then the *dependency graph*  $DG_P = (R, D)$  is a directed graph with vertex set  $R$ , which has one element for each rule in  $P$ , and (dependency-)edge set  $D$  such that

$$D = \{(r_1, r_2, +) \mid r_1, r_2 \in P \wedge r_2 \text{ is direct positive dependency of } r_1\} \\ \cup \{(r_1, r_2, -) \mid r_1, r_2 \in P \wedge r_2 \text{ is direct negative dependency of } r_1\}$$

Edges are represented as 3-tuples where the first two values represent target and destination vertices and the third value indicates the "polarity", i. e. positive ("+") or negative ("-"), of the dependency.

**Definition 2.2.8** (Component Graph). The component graph  $CG_P = (C, D)$  of a program  $P$  is defined as the "condensed" dependency graph, i. e. vertices of  $CG_P$  represent strongly connected components of  $DG_P$ . Each vertex of  $CG_P$  is labelled "+" if the respective strongly connected component is connected only by positive edges, or "-" if there is a negative edge in the component. Edges of  $CG_P$  are those edges of  $DG_P$  that connect vertices from different strongly connected components where double edges resulting from multiple rule-level dependencies of same polarity between components are condensed into single edges.

**Definition 2.2.9** (Splitting Set, adapted from [LT94]). Given a program  $P$ , a set of atoms  $U$  is a *splitting set* of  $P$  if for every rule  $r$ , where  $h(r) \in U$ , also the atoms corresponding to all body literals of  $r$  are in  $U$ . The set of rules corresponding to  $U$ , i.e. the rules defining the atoms in  $U$ , is called *bottom* of  $P$  with respect to  $U$ , denoted as  $B_U(P)$ . Consequently,  $P \setminus B_U(P)$  is called *top* of  $P$ , which is denoted as  $T_U(P)$ .

**Definition 2.2.10** (Common Base Program, adapted from [Lan19]). Given a splitting set  $S$  of program  $P$ , the bottom  $B_S(P)$  is called *common base program*, i.e.  $CBP(P)$  if it is stratified and maximal in the sense that adding any further rule to  $B_S(P)$  would destroy the property of  $B_S(P)$  of being stratified.

Intuitively, a set of rule heads is a splitting set if for every rule head in the set, all rule heads on which it depends are in the set as well. The importance of the notion of a splitting set lies in the fact that answer set computation can be split up using splitting sets: Given a splitting set, we can first calculate all answer sets of the bottom, and then solve the top with respect to each of those answer sets [LT94].

Alpha's evaluation logic makes use of this by evaluating first the maximum stratified bottom (*common base program*, see Definition 2.2.10) using the simplified bottom-up algorithm outlined in Algorithm 2.1 and then only using the - computationally more complex - CDNL-based algorithm for the top part.



---

**Algorithm 2.1:** Procedure *evaluateCommonBaseProgram*

---

**Input** : Stratification  $S$   
**Input** : Program  $P$   
**Output** : partially evaluated program  $P_{eval}$

```
1 Facts  $F = facts(P)$ ;  
2  $n \leftarrow (|S| - 1)$ ;  
3 foreach  $i$  in  $0 \dots n$  do  
4   Program  $grnd(P_{S_i}) = ground(P_{S_i})$ ;  
5   Facts  $F_{old} = \emptyset$ ;  
6   do  
7      $F_{old} = F$ ;  
8      $F \leftarrow pr(T_{grnd(P_{S_i})}(F))$ ;  
9   while  $F_{old} \neq F$ ;  
10   $P \leftarrow P \setminus P_{S_i}$ ;  
11 end  
12 return  $P_{eval} = P \cup F$ ;
```

---



# The Evolog Language

The Evolog language extends (non-disjunctive) ASP as defined in the ASP-Core2 standard [CFG<sup>+</sup>20] with facilities to communicate with and influence the "outside world" (e.g. read and write files, capture user input, etc.) as well as program modularization and reusability features, namely *actions* and *modules*.

## 3.1 Actions in Evolog

Actions allow for an ASP program to encode operations with *side-effects* while maintaining fully declarative semantics. Actions are modelled in a functional style loosely based on the concept of monads as used in Haskell . Intuitively, to maintain declarative semantics, actions need to behave as pure functions, meaning the result of executing an action (i.e. evaluating the respective function) must be reproducible for each input value across all executions. On first glance, this seems to contradict the nature of IO operations, which inherently depend on some state, e.g. the result of evaluating a function *getFileHandle(f)* for a file *f* will be different depending on whether *f* exists, is readable, etc. However, at any given point in time - in other words, in a given state of the world - the operation will have exactly one result (i.e. a file handle or an error will be returned). A possible solution to making state-dependent operations behave as functions is therefore to make the state of the world at the time of evaluation part of the function's input. A function *f(x)* is then turned into *f'(s, x)* where *s* represents a specific world state. The rest of this section deals with formalizing this notion of actions.

cite something here!

### 3.1.1 Syntax

**Definition 3.1.1** (Action Rule, Action Program). An *action rule* *R* is of form

$$a_H : @t_{act} = act_{res} \leftarrow l_1, \dots, l_n.$$

where

Define non-disjunctive ASP-Core2 in detail in preliminaries. Give detailed definition of all "standard ASP" elements referenced here!

- $a_H$  is an atom called *head atom*,
- $t_{act}$  is a functional term called *action term*,
- $act_{res}$  is a term called *(action-)result term*
- and  $l_1, \dots, l_n$  are literals constituting the *body* of  $R$ .

An *action program*  $P$  is a set of (classic ASP-)rules and action rules.

### 3.1.2 Semantics

To properly define the semantics of an action program according to the intuition outlined at the start of this section, we first need to formalize our view of the "outside world" which action rules interact with. We call the world in which we execute a program a *frame* - formally, action programs are always evaluated *with respect to a given frame*. The behavior of actions is specified in terms of *action functions*. The semantics (i.e. interpretations) of action functions in a program are defined by the respective frame.

#### Action Rule Expansion

To get from the practical-minded action syntax from Definition 3.1.1 to the formal representation of an action as a function of some state and an input, we use the helper construct of an action rule's *expansion* to bridge the gap. Intuitively, the expansion of an action rule is a syntactic transformation that results in a more verbose version of the original rule called *application rule* and a second rule only dependent on the application rule called *projection rule*. A (ground) application rule's head atom uniquely identifies the ground instance of the rule that derived it. As one such atom corresponds to one action executed, we call a ground instance of an application rule head in an answer set an *action witness*.

**Definition 3.1.2** (Action Rule Expansion). Given a non-ground action rule  $R$  with head atom  $a_H$ , action term  $f_{act}(i_1, \dots, i_n)$  and body  $B$  consisting of literals  $l_1, \dots, l_m$ , the expansion of  $R$  is a pair of rules consisting of an *application rule*  $R_{app}$  and *projection rule*  $R_{proj}$ .  $R_{app}$  is defined as

$$a_{res}(f_{act}, S, I, f_{act}(S, I)) \leftarrow l_1, \dots, l_n.$$

where  $S$  and  $I$  and function terms called *state-* and *input-*terms, respectively. An action rule's state term has the function symbol *state* and terms  $fn(l_1), \dots, fn(l_m)$ , with the expression  $fn(l)$  for a literal  $l$  denoting a function term representing  $l$ . The (function-)term representation of a literal  $p(t_1, \dots, t_n)$  with predicate symbol  $p$  and terms  $t_1, \dots, t_n$  uses  $p$  as function symbol. For a negated literal *not*  $p(t_1, \dots, t_n)$ , the representing function term is *not*( $p(t_1, \dots, p_n)$ ). The action input term is a "wrapped" version of all arguments of the action term, i.e. for action term  $f_{act}(t_1, \dots, t_n)$ , the corresponding input term is

define (classic ASP) grounding and substitutions in preliminaries

$input(t_1, \dots, t_n)$ . The term  $f_{act}(S, I)$  is called *action application term*. The projection rule  $R_{proj}$  is defined as

$$a_H \leftarrow a_{res}(f_{act}, S, I, v_{res}).$$

where  $a_H$  is the head atom of the initial action rule  $R$  and the (sole) body atom is the action witness derived by  $R_{app}$ , with the application term  $f_{act}(S, I)$  replaced by a variable  $v_{res}$  called *action result variable*.

Looking at the head of an action application rule of format  $a_{res}(f_{act}, S, I, t_{app})$  with action  $f_{act}$ , state term  $S$ , input term  $I$  and application term  $t_{app}$ , the intuitive reading of this atom is "The result of action function  $f_{act}$  applied to state  $S$  and input  $I$  is  $t_{app}$ ", i.e. the action application term  $t_{app}$  is not a regular (uninterpreted) function term as in regular ASP, but an actual function call which is resolved using an interpretation function provided by a *frame* during grounding.

### Grounding of Action Rules

Grounding, in the context of answer set programming, generally refers to the conversion of a program with variables into a semantically equivalent, variable-free, version. Action application terms as introduced in Definition 3.1.2 can be intuitively read as variables, in the sense that they represent the result of applying the respective action function. Consequently, all action application terms are replaced with the respective (ground) result terms defined in the *frame* with respect to which the program is grounded.

**Definition 3.1.3** (Frame). Given an action program  $P$  containing action application terms  $A = \{a_1, \dots, a_n\}$ , a frame  $F$  is an interpretation function such that, for each application term  $f_{act}(S, I) \in A$  where  $S \in H_U(P)^*$  and  $I \in H_U(P)^*$ ,  $F(f_{act}) : H_U(P)^* \times H_U(P)^* \mapsto H_U(P)$ .

**Definition 3.1.4** (Grounding of action rules). Grounding of Evolog rules (and programs) always happens *with respect to a frame*. Given a frame  $F$ , an expanded action rule  $r_a$  and a (grounding) substitution  $\sigma$  over all body variables of application rule  $r_{a_{app}}$ , during grounding, every ground action application term  $t_{app}\sigma$  resulting from applying substitution  $\sigma$  is replaced with its interpretation according to  $F$ .

Example 3.1.1 demonstrates the expansion of an action rule as well as a compatible example frame for the respective action.

**Example 3.1.1** (Expansion and Frame). Consider following Evolog Program  $P$  which contains an action rule with action  $a$ :

$$\begin{aligned} & p(a). \ q(b). \ r(c). \\ & h(X, R) : @a(X, Z) = R \leftarrow p(X), q(Y), r(Z). \end{aligned}$$

The expansion of  $R$  is:

$$\begin{aligned} a_{res}(a, state(p(X), q(Y), r(Z)), input(X, Z), a(state(p(X), q(Y), r(Z)), input(X, Z))) &\leftarrow \\ &p(X), q(Y), r(Z). \\ h(X, R) &\leftarrow a_{res}(a, state(p(X), q(Y), r(Z)), input(X, Z), R). \end{aligned}$$

Furthermore, consider following frame  $F$ :

$$F(a) = \{a(state(p(a), q(b), r(c)), input(a, c)) \mapsto success(a, c)\}$$

which assigns the result  $success(a, c)$  to the action application term (i.e. function call  $a(state(p(a), q(b), r(c)), input(a, c))$ ).

Then, the ground program  $P_{grnd}$  after action rule expansion is

$$\begin{aligned} &p(a). q(b). r(c). \\ a_{res}(a, state(p(a), q(b), r(c)), input(a, c), success(a, c)) &\leftarrow p(a). q(b). r(c). \\ h(a, success(a, c)) &\leftarrow a_{res}(a, state(p(a), q(b), r(c)), input(a, c), success(a, c)). \end{aligned}$$

The sole model of  $P$  with respect to frame  $F$  is

$$\begin{aligned} M = \{ &p(a), q(b), r(c), \\ &a_{res}(a, state(p(a), q(b), r(c)), input(a, c), success(a, c)) \\ &h(a, success(a, c)) \} \end{aligned}$$

### Evolog Models

Having introduced action rule expansions as well as frames, we now use these to extend the stable model semantics to Evolog programs.

**Definition 3.1.5** (Supportedness of Actions). Let  $r_{app}$  be a non-ground action application rule with head  $H = a_{res}(f_{act}, S, I, f_{act}(S, I))$ ,  $F$  a frame, and  $H_{grnd} = a_{res}(f_{act}, S_{grnd}, I_{grnd}, r)$  a ground instance of  $H$  with  $r$  being an arbitrary ground term. Then,  $H_{grnd}$  is *supported by*  $F$ , if and only if  $F$  contains a mapping of form  $f_{act}(S_{grnd}, I_{grnd}) \mapsto r$ , i.e.  $r$  is a valid result of action function  $f_{act}$  with arguments  $S_{grnd}$  and  $I_{grnd}$  according to frame  $F$ . We call a ground instance of an action rule *supported by a frame* if the head of the corresponding application rule in the rule's expansion is supported by that frame.

**Definition 3.1.6** (Evolog-Reduct). Given a ground Evolog program  $P$ , a frame  $F$  and a set of ground atoms  $A$ , the *Evolog Reduct* of  $P$  with respect to  $F$  and  $A$   $P_F^A$  is obtained from  $P$  as follows:

1. Remove all rules  $r$  from  $P$  that are "blocked", i.e.  $A \not\models l$  for some negative body literal  $l \in b^-(r)$ .

2. Remove all action application rules from  $P$  which are not supported by  $F$ .
3. Remove the negative body from all other rules.

Note that the reduct outlined in Definition 3.1.6 extends the classic GL-reduct (see Definition 2.1.22) just by adding a check on action supportedness.

**Definition 3.1.7** (Evolog Model). A herbrand interpretation  $I$  of an Evolog Program is an *Evolog Model* ("answer set") of an Evolog program  $P$  with respect to a frame  $F$  if and only if it is a minimal classical model of its Evolog-Reduct  $P_F^A$ . We denote the set of Evolog Models of a program  $P$  as  $EM(P)$ .

### 3.1.3 Restrictions on Program Structure

While the action semantics outlined so far addresses the requirements for both declarativity and functionality of actions outlined in the introduction (see 1.3), we haven't yet addressed the demand for transparency, i.e. that every action that is executed must be reflected in an answer set of the respective program. With just the semantics outlined in Section 3.1.2, it would be possible to write programs such as the one shown in Example 3.1.2 where an action rule can fire, but the program is unsatisfiable.

**Example 3.1.2** (Unsatisfiable Program with Side-effects). The program below contains an action rule that can fire (because  $p(a)$  is true), but is also unsatisfiable due to the constraint in the last line.

$$\begin{aligned} & p(a). \\ & q(X) \leftarrow p(X). \\ & act\_done(X, R) : @act(X) = R \leftarrow p(X). \\ & \leftarrow q(X), act\_done(X, \_). \end{aligned}$$

This kind of programs raises some hard problems for implementations - given the contract that every side-effect of (i.e. action executed by) a program must be reported in an answer set, a solver evaluating the program from Example 3.1.2 would have to "retract" action  $act/1$  after finding that the program is unsatisfiable. Since it is generally not possible to "take back" side-effects (e.g. when some message is sent over a network broadcast to an unknown set of recipients), the only practical way to deal with this is to impose some conditions on programs with actions. Definition 3.1.8 details this notion and introduces *transparency* as a necessary condition for an Evolog program to be considered valid.

**Definition 3.1.8** (Action Transparency). An action rule  $r_a$  of an Evolog program  $P$  is *transparent* if, for every expanded ground instance  $gr_a$  it holds that, if  $gr_a$  fires, then the head  $h(gr_{a_{app}})$  of the respective application rule  $gr_{a_{app}}$  is contained in an answer set of  $P$ .

For an Evolog program to be valid, all its action rules must be transparent.

It follows from Definition 3.1.8 that only satisfiable programs can be transparent. Furthermore, note that Definition 3.1.8 aims to be as permissive as possible in terms of program structure. In general, it can not be assumed that transparency of all rules in a program can be guaranteed up-front. Implementations may therefore impose further restrictions on what is considered a valid Evolog program.

It might make sense to introduce the restriction  $P = \text{CBP}(P)$  for a valid program already here (anything more permissive cannot be linted without a theorem prover!)

## 3.2 Program Modularization in Evolog

Modules aim to introduce new ways to re-use and unit-test to ASP code by enabling programmers to put frequently used sub-programs into *modules* which can be used in multiple programs.

Conceptually, an Evolog Module is a special kind of external atom (see 2.1.8) that refers to an ASP solver being called with some program and input.

### 3.2.1 Syntax

Definition 3.2.1 outlines the syntax for an Evolog module, i.e. a reusable sub-program the can be used in other programs.

**Definition 3.2.1** (Module Definition). The following EBNF describes a *module definition* in Evolog.

```

module_definition :
    "#module" ID "(" input_predicate "=>"
                output_predicates ")"
    "{" statements "}";

input_predicate : predicate;
output_predicates :
    ("*" | ("{" predicate ("," predicate)* "}"));
predicate: ID "/" INTEGER;
statements : (fact | classic-rule | constraint)*;

```

In the context of the above grammar,

- ID are identifiers according to Definition 2.1.2,
- INTEGER are integers according to Definition 2.1.1,
- and `classical-rule` refers to a regular, i.e. non-evolog, ASP rule according to Definition 2.1.10

Intuitively, a module definition establishes a name for the sub-program in question, and specifies how input and output are translated to and from the module program. Definition 3.2.2 introduces *module atoms*, i.e. atoms in ASP rules that refer to modules.



**Definition 3.2.2** (Module Atom). Given a *module name*  $mod$ , a positive integer  $k$ , *input terms*  $t_1, \dots, t_n$  and *output terms*  $t_{n+1}, \dots, t_m$ , the expression

$$\#mod\{k\}[t_1, \dots, t_n](t_{n+1}, \dots, t_m)$$

is called a *module atom*. Syntactically, module atoms are regular atoms where  $\#mod$  is the predicate symbol and  $t_1, \dots, t_m$  are argument terms. The integer  $k$  is called the *answer set limit*. It denotes the number of answer sets of the module program to return. If  $k$  is omitted, all answer sets are returned.

Example 3.2.1 shows an encoding of the graph 3-coloring problem as an Evolog module.

**Example 3.2.1** (3-Coloring Module). The following module definition encodes the 3-coloring problem for a graph  $G = (V, E)$  as an Evolog module. Note that the module program assumes its input to be a single fact of form `graph(V, E)`, where  $V$  and  $E$  hold the lists of vertices and edges of  $G$ , respectively.

```

1 #module 3col(graph/2 => {col/2}) {
2     % Unwrap input
3     vertex_element(V, TAIL) :- graph(list(V, TAIL), _).
4     vertex_element(V, TAIL) :-
5         vertex_element(_, list(V, TAIL)).
6     vertex(V) :- vertex_element(V, _).
7     edge_element(E, TAIL) :- graph(_, list(E, TAIL)).
8     edge_element(E, TAIL) :-
9         edge_element(_, list(E, TAIL)).
10    edge(V1, V2) :- edge_element(edge(V1, V2), _).
11
12    % Make sure edges are symmetric
13    edge(V2, V1) :- edge(V1, V2).
14
15    % Guess colors
16    red(V) :- vertex(V), not green(V), not blue(V).
17    green(V) :- vertex(V), not red(V), not blue(V).
18    blue(V) :- vertex(V), not red(V), not green(V).
19
20    % Filter invalid guesses
21    :- vertex(V1), vertex(V2),
22        edge(V1, V2), red(V1), red(V2).
23    :- vertex(V1), vertex(V2),
24        edge(V1, V2), green(V1), green(V2).
25    :- vertex(V1), vertex(V2),
26        edge(V1, V2), blue(V1), blue(V2).
27
28    col(V, red) :- red(V).

```

```

29 |         col(V, blue) :- blue(V) .
30 |         col(V, green) :- green(V) .
31 |     }

```

### 3.2.2 Semantics

Intuitively, a module atom is a specialized external atom, and thus follows the general semantics of *fixed interpretation literals* (see 2.1.17). Rather than mapping to some annotated Java Method as is the case with regular external atoms, a module atom is interpreted by calling an ASP solver.

In order to make input terms of a module atom available to the module implementation program as facts, a translation step is necessary. Definition 3.2.3 describes this process in more detail.

**Definition 3.2.3** (Module Input Translation). Given a module definition  $M$  with input predicate  $p$  of arity  $k$  and implementation program  $P_M$  and a ground module atom  $a_m$  referencing  $M$  with input terms  $t_1, \dots, t_k$ , then the ASP program that is solved to interpret  $a_m$  is obtained by adding a fact  $p(t_1, \dots, t_k)$  to  $P_M$ .

Similar to module input translation, it is also necessary, to translate answer sets of an instantiated module program into output terms of the corresponding module atom. Every answer set gets converted into one set of terms, each - together with the inout terms - constituting one ground instance of the module atom. Predicates get converted into function symbols, and atoms become list items. Definition 3.2.4 introduces the notion of a list term, which is used to represent lists of terms in ASP.

**Definition 3.2.4** (List Term). A list term is defined inductively as follows:

- The constant denoting the empty list, *empty\_list* is a list term.
- If  $t$  is a term and  $l$  is a list term, then  $s_{lst}(t, l)$  is a list term.

In the above,  $s_{lst}$  is a reserved function symbol denoting list terms.

**Definition 3.2.5** (Module Output Translation). BLABLABLA

## 3.3 Relationship between Evolog- and Stable Model Semantics

The extensions to the usual ASP programming language described in the previous sections extend the original formalism with a notion of an outside world (through frames in the context of which, actions can be expressed) as well as a grouping mechanism for sub-programs into modules.

**Theorem 3.3.1** (Extension). Every ASP program  $P$  is a valid and semantically equivalent Evolog program in the sense that - for any given frame  $F$ , the Evolog Models of  $P$  are the same as its Answer Sets according to Stable Model Semantics 2.1.23.

*Proof.* First, we assert that every "regular" ASP program  $P$  is also a syntactically valid Evolog program. This follows directly from the definitions - since Evolog only adds syntactic support for actions 3.1.1, but does not restrict regular ASP syntax, it follows that a syntactically correct ASP program is also a syntactically correct Evolog program. Next, we show that for every regular ASP program  $P$  and any frame  $F$ , the Evolog Models of  $P$  are the same as its Stable Models, i.e.  $EM(P) = AS(P)$ :

Given any set of ground Atoms  $A$  from the Herbrand Base  $HB_P$  of  $P$ ,  $P$  is an Answer Set according to Stable Model Semantics if it is a minimal model of the GL-reduct 2.1.22  $P^A$  of  $P$  w.r.t.  $A$ . We recall that  $P^A$  is constructed as follows (see 2.1.22):

- remove from  $P$  all rules  $r$  that are "blocked", i.e.  $A \not\models l$  for some literal  $l \in b^-(r)$
- and remove the negative body of all other rules.

Furthermore, consider how the Evolog-Reduct of  $P$  w.r.t.  $A$  and (any arbitrary) Frame  $F$ ,  $P_F^A$ , is constructed (see 3.1.6):

- Remove all rules  $r$  from  $P$  that are "blocked", i.e.  $A \not\models l$  for some negative body literal  $l \in b^-(r)$ .
- Remove all action application rules from  $P$  which are not supported by  $F$ .
- Remove the negative body from all other rules.

Since  $P$  is a "regular", i.e. non-Evolog, ASP Program it contains no action application rules by definition. It is therefore clear, that for any set answer set candidate  $A$  of  $P$  and any Frame  $F$ , the GL-Reduct  $P^A$  and Evolog-Reduct  $P_F^A$  coincide. Any minimal model of the GL-reduct is therefore also a minimal model of the Evolog-Reduct (and vice-versa) and therefore the Evolog Models of  $P$  are identical with its Stable Models, i.e.  $EM(P) = AS(P)$ .  $\square$



CHAPTER 4

# Evolog Reference Implementation



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# Acronyms

**ASP** Answer Set Programming. 1–4, 7, 9, 11, 13–16, 24, 26

**CDNL** Conflict-driven Nogood Learning. 14, 16



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