"Create a Research Space" (CARS) Model of Research Introductions¹

JOHN SWALES

Sometimes getting through the introduction of a research article can be the most difficult part of reading it. In his CARS model, Swales describes three "moves" that almost all research introductions make. We're providing a summary of Swales's model here as a kind of shorthand to help you in both reading research articles and writing them. Identifying these moves in introductions to the articles you read in this book will help you understand the authors' projects better from the outset. When you write your own papers, making the same moves yourself will help you present your own arguments clearly and convincingly. So read through the summary now, but be sure to return to it often for help in understanding the selections in the rest of the book.

Move 1: Establishing a Territory

In this move, the author sets the context for his or her research, providing necessary background on the topic. This move includes one or more of the following steps:

Step 1: Claiming Centrality

The author asks the discourse community (the audience for the paper) to accept that the research about to be reported is part of a lively, significant, or well-established research area. To claim centrality the author might write:

"Recently there has been a spate of interest in . . ."

"Knowledge of X has great importance for . . ."

This step is used widely across the academic disciplines, though less in the physical sciences than in the social sciences and the humanities.

and/or

Step 2: Making Topic Generalizations

The author makes statements about current knowledge, practices, or phenomena in the field. For example:

- "The properties of X are still not completely understood."
- "X is a common finding in patients with . . ."

and/or

Step 3: Reviewing Previous Items of Research

The author relates what has been found on the topic and who founc example:

- "Both Johnson and Morgan claim that the biographical facts have been misrepresented."
- "Several studies have suggested that . . . (Gordon, 2003; Ratzinger, 2009)."
- "Reading to children early and often seems to have a positive long-term correlation with grades in English courses (Jones, 2002; Strong, 2009)."

In citing the research of others, the author may use *integral citation* (citing the author's name in the sentence, as in the first example above) or *non-integral citation* (citing the author's name in parentheses only, as in the second and third examples above). The use of different types of verbs (e.g., *reporting verbs* such as "shows" or "claims") and verb tenses (past, present perfect, or present) varies across disciplines.

Move 2: Establishing a Niche

In this move, the author argues that there is an open "niche" in the existing research, a space that needs to be filled through additional research. The author can establish a niche in one of four ways:

Counter-claiming

The author refutes or challenges earlier research by making a counter-claim. For example:

"While Jones and Riley believe X method to be accurate, a close examination demonstrates their method to be flawed."

Indicating a Gap

The author demonstrates that earlier research does not sufficiently address all existing questions or problems. For example:

"While existing studies have clearly established X, they have not addressed Y."

Question-raising

The author asks questions about previous research, suggesting that additional research needs to be done. For example:

^{&#}x27;Adapted from John M. Swales's Genre Analysis: English in Academic and Research Settings. Cambridge: Cambridge UP, 1990.

"While Jones and Morgan have established X, these findings raise a number of questions, including . . ."

Continuing a Tradition

The author presents the research as a useful extension of existing research. For example:

"Earlier studies seemed to suggest X. To verify this finding, more work is urgently needed."

Move 3: Occupying a Niche

In this move, the author turns the niche established in Move 2 into the *research* space that he or she will fill; that is, the author demonstrates how he or she will substantiate the counter-claim made, fill the gap identified, answer the question(s) asked, or continue the research tradition. The author makes this move in several steps, described below. The initial step (1A or 1B) is obligatory, though many research articles stop after that step.

Step 1A: Outlining Purposes

The author indicates the main purpose(s) of the current article. For example:

"In this article I argue . . ."

"The present research tries to clarify . . ."

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Step 1B: Announcing Present Research

The author describes the research in the current article. For example:

"This paper describes three separate studies conducted between March 2008 and January 2009."

Step 2: Announcing Principal Findings

The author presents the main conclusions of his or her research. For example:

"The results of the study suggest . . ."

"When we examined X, we discovered . . ."

Step 3: Indicating the Structure of the Research Article

The author previews the organization of the article. For example:

"This paper is structured as follows ..."



Argument as Conversation: The Role of Inquiry in Writing a Researched Argument

Greene, Stuart. "Argument as Conversation: The Role of Inquiry in Writing a Researched Argument." *The Subject Is Research*. Ed. Wendy Bishop and Pavel Zemliansky. Portsmouth, NH: Bovnton/Cook, 2001. 145–64. Print.

STUART GREENE

Framing the Reading

In "Argument as Conversation," Stuart Greene explains how scholarly inquiry is a different kind of research and argument from the kinds we encounter in our everyday lives or (for most of us) in earlier schooling. The principles that Greene discusses—research as *conversational inquiry*, where an *issue* and *situation* contribute to *framing* a problem a particular way and researchers seek not to collect information but to generate new knowledge in *a social process*—are the ideas and activities that drive the entire college or university where you're study-

ing right now. They work in every field where scholarly research is happening, from anthropology to zoology.

In this book, you'll apply these principles specifically in terms of research on writing, literacy, language, communication, and related fields. As Greene suggests in his discussion of context, you'll "weave" your experiences with research that's already been done on questions and issues related to them. The research you do on your own may even offer new insights into long-running questions about these subjects.



Getting Ready to Read

Before you read, do at least one of the following activities:

- Think about how you define *argument*. How is the word used in everyday conversation? What do you think the word means in an academic setting? What's the difference between the two?
- Have a conversation with a classmate on the following topic: How would vou