

# ADS2 — DP2 Exam Notes & Worked Solutions

| Meta               | Value  |
|--------------------|--|
| Title              | ADS2 — Dynamic Programming II (Knapsack & Sequence Alignment)  |
| Date               | 2025-10-18   |
| Author             | Generated from <b>system_prompt</b> + provided PDFs/images   |
| Sources used       | Weekplan <b>weekplan.pdf</b> (pp.1–2); Slides <b>DP2-4x1.pdf</b> (Knapsack & Sequence Alignment); Kleinberg–Tardos <b>§6.4</b> (pp.266–272) and <b>§6.6</b> (pp.278–284); Exercise sheet <b>exercise_6_8.pdf</b> (KT 6.8); images: <i>Pasted image.png</i> , <i>Pasted image (2).png</i> , <i>Pasted image (3).png</i> |
| Week plan filename | weekplan.pdf   |

## General Methodology and Theory

- **DP recipe:** define subproblem → recurrence → base cases → evaluation order → table fill → witness recovery.
- **0/1 Knapsack** (slides-first): subproblem  $OPT(i, w)$  = best value using first  $i$  items within capacity  $w$ . Recurrence (slides): if  $w_i \leq w$  then  $OPT(i, w) = \max(OPT(i-1, w), v_i + OPT(i-1, w-w_i))$ ; else  $OPT(i, w) = OPT(i-1, w)$ . Time/space  $O(nW)$  (pseudo-polynomial).
- **Global sequence alignment** (Needleman–Wunsch, linear gap  $\delta$ ):  $D(i, j) = \min\{\alpha(x_i, y_j) + D(i-1, j-1), \delta + D(i-1, j), \delta + D(i, j-1)\}$  with  $D(i, 0) = i\delta$ ,  $D(0, j) = j\delta$ . Time/space  $O(mn)$ .
- **Design hygiene:** verify with small tables; state tie-breaks for determinism; trace back to produce a certificate.

## Notes

- Slides are primary; textbook variants noted under *Alternative Approach* per exercise.
- Enumeration **must** follow the week plan; images supplement statements only.
- Keep tables concise: include DP values or tracebacks only where it clarifies the witness.

## Coverage Table

| Weekplan ID | Canonical ID        | Title/Label (verbatim)   | Assignment Source | Text Source  | Status              |
|-------------|---------------------|--|-------------------|--|---------------------|
| 1           | KT §6.4 (Knapsack)  | <b>[w] Knapsack</b><br>(items (5,7), (2,6), (3,3), (2,1), W=6)                         | weekplan.pdf p.1  | weekplan.pdf p. 1; slides DP2-4x1 (Knapsack); KT §6.4  | Solved              |
| 2           | KT §6.6 (Alignment) | <b>[w] Sequence alignment</b><br>(APPLE vs PAPE, gap $\Delta=2$ , matrix on {A,E,L,P}) | weekplan.pdf p.1  | weekplan.pdf p. 1; slides DP2-4x1 (Alignment); KT §6.6 | Solved              |
| 3.1         | CSES 1158           | <b>Book Shop 3.1</b><br>— compute max pages  | weekplan.pdf p.2  | slides DP2-4x1 (Knapsack pattern)                      | Solved              |
| 3.2         | CSES 1158           | <b>Book Shop 3.2</b><br>— $O(x)$ space   | weekplan.pdf p.2  | slides DP2-4x1 (1-row DP)                              | Solved              |
| 3.3         | CSES 1158           | <b>Book Shop 3.3</b><br>— implement (site ref)   | weekplan.pdf p.2  | problem statement on CSES; pattern here                | Solved (pseudocode) |
| 4           | —                   | <b>Longest palindrome subsequence</b>  | weekplan.pdf p.2  | standard LPS DP (course canon)                         | Solved              |
| 5           | KT 6.8              | <b>Defending Zion</b> (EMP scheduling)   | weekplan.pdf p.2  | exercise_6_8.pdf                                       | Solved              |

## Solutions

### Exercise 1 — KT §6.4 — Knapsack

**Assignment Source:** weekplan.pdf p.1. **Text Source:** weekplan.pdf p.1; slides DP2-4x1; KT §6.4.

**Instance:** items  $i_1!(w_1=5, v_1=7), i_2!(2,6), i_3!(3,3), i_4!(2,1); W=6$ .

**Recurrence (slides):** as in *General Methodology*. Base row/col 0.

**DP table (values)**  $w \times w$ :

| i\w | 0 | 1 | 2 | 3 | 4 | 5 | 6 |
|-----|---|---|---|---|---|---|---|
| 0   | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| 1   | 0 | 0 | 0 | 0 | 0 | 7 | 7 |
| 2   | 0 | 0 | 6 | 6 | 6 | 7 | 7 |
| 3   | 0 | 0 | 6 | 6 | 6 | 9 | 9 |
| 4   | 0 | 0 | 6 | 6 | 7 | 9 | 9 |

**Witness (traceback):** from  $(4,6) \rightarrow$  take  $i_3$  (to  $(3,3)$ )  $\rightarrow$  take  $i_2$  (to  $(2,1)$ )  $\rightarrow$  stop.  
**Picked**  $\{i_2, i_3\}$ , weight 5, value 9.

**Pitfalls:** mixing 0/1 with unbounded; forgetting base row/col.

**Variant Drill:** If  $W=7$ , best is  $\{i_1, i_2\}$  with value 13.

**Alternative Approach (KT):** identical recurrence; value/weight emphasis differs.

**Transfer Pattern:** 0/1 knapsack. *Cues:* capacity  $W$ , each item at most once, additive value. *Mapping:* nouns  $\rightarrow (w_i, v_i)$ , limit  $\rightarrow W$ . *Certificate:* subset with total weight  $\leq W$  achieving  $\text{OPT}(n, W)$ . *Anti-cues:* fractional or unlimited copies.

### Pseudocode

```

Algorithm: knapsack_01
Input: n, arrays w[1..n], v[1..n], capacity W
Output: best value and one witness via keep[][]
for c=0..W: dp[0,c] ← 0
for i=1..n:
  for c=0..W:
    dp[i,c] ← dp[i-1,c]
    if w[i] ≤ c and dp[i-1,c-w[i]]+v[i] > dp[i,c]:
      dp[i,c] ← dp[i-1,c-w[i]]+v[i]; keep[i,c] ← true
// traceback from (n,W)
// Time: O(nW); Space: O(nW)

```

✓ **Answer:**  $\text{OPT}=9$  with items  $\{(2,6), (3,3)\}$ .

## Exercise 2 — KT §6.6 — Sequence Alignment

**Assignment Source:** weekplan.pdf p.1. **Text Source:** weekplan.pdf p.1; slides DP2-4x1; KT §6.6.

**Instance:**  $X = \text{APPLE}$  (columns),  $Y = \text{PAPE}$  (rows). Gap  $\delta = 2$ . Penalty matrix over  $\{A, E, L, P\}$  with 0 on diagonal and off-diagonals as in plan.

**Recurrence:** as in *General Methodology*. Init  $D(i,0)=2i$ ,  $D(0,j)=2j$ . Tie-break: diag < up < left.

### DP values (compact):

| i\j | 0 | 1 | 2 | 3 | 4 | 5        |
|-----|---|---|---|---|---|----------|
| 0   | 0 | 2 | 4 | 6 | 8 | 10       |
| 1   | 2 | 1 | 2 | 4 | 6 | 8        |
| 2   | 4 | 2 | 2 | 3 | 5 | 7        |
| 3   | 6 | 4 | 2 | 2 | 4 | 6        |
| 4   | 8 | 6 | 4 | 3 | 4 | <b>4</b> |

### Traceback (one optimum):

X: A P P L E  
Y: P A P - E

Cost:  $1+1+0+2+0=4$ .

**Pitfalls:** swapping X/Y; forgetting linear gap init.

**Variant Drill:** Lower  $\delta$  to 1  $\rightarrow$  cheaper gaps; recompute to expect cost  $\leq 3$ .

**Alternative Approach:** shortest path on grid graph with diagonal weights  $\alpha(\cdot, \cdot)$  and horizontal/vertical  $\delta$ .

**Transfer Pattern:** global alignment (linear gap). *Cues:* substitution matrix + uniform gap. *Mapping:* chars  $\rightarrow$  nodes; operations  $\rightarrow$  DP moves. *Certificate:* paired strings with per-column costs summing to  $D(n, m)$ . *Anti-cues:* local alignment (resets to 0); affine gaps (three matrices).

### Pseudocode

```
Algorithm: needleman_wunsch_linear
Input: strings X[1..m], Y[1..n], penalties  $\alpha(\cdot, \cdot)$ , gap  $\delta$ 
Output: cost D[n,m] and an alignment via backpointers
for j=0..m: D[0,j]  $\leftarrow j \cdot \delta$ 
for i=0..n: D[i,0]  $\leftarrow i \cdot \delta$ 
for i=1..n:
  for j=1..m:
    D[i,j]  $\leftarrow \min\{ \alpha(Y[i], X[j]) + D[i-1, j-1], \delta + D[i-1, j], \delta + D[i, j-1] \}$ 
// traceback from (n,m)
// Time: O(mn); Space: O(mn)
```

✓ **Answer:** minimum cost **4** with alignment shown.

### Exercise 3.1 — CSES 1158 — Book Shop (max pages)

**Assignment Source:** weekplan.pdf p.2. **Text Source:** slides DP2-4x1 (knapsack pattern); CSES statement.

**Mapping:** price  $h_i$  → weight; pages  $s_i$  → value; budget  $x$  → capacity. 0/1 knapsack.

**Recurrence:**  $DP(i, c) = \max(DP(i-1, c), s_i + DP(i-1, c-h_i))$  for  $h_i \leq c$ , else  $DP(i, c) = DP(i-1, c)$ .  
Base row 0.

#### Pseudocode

```
Algorithm: book_shop_max_pages
Input: n, price h[1..n], pages s[1..n], budget x
Output: maximum pages
for c=0..x: dp[0,c] ← 0
for i=1..n:
  for c=0..x:
    dp[i,c] ← dp[i-1,c]
    if h[i] ≤ c and dp[i-1,c-h[i]]+s[i] > dp[i,c]:
      dp[i,c] ← dp[i-1,c-h[i]]+s[i]
return dp[n,x]
// Time: O(nx); Space: O(nx)
```

**Pitfalls:** confusing price vs pages; exceeding budget.

✓ **Answer:** Reduces exactly to 0/1 knapsack; table yields optimum pages within budget.

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### Exercise 3.2 — CSES 1158 — Book Shop in $O(x)$ space

**Idea:** 1-row DP scanning capacities **descending** to preserve 0/1 semantics.

#### Pseudocode

```
Algorithm: book_shop_one_row
Input: n, h[1..n], s[1..n], budget x
Output: maximum pages
for c=0..x: dp[c] ← 0
for i=1..n:
  for c=x down to h[i]:
    dp[c] ← max(dp[c], s[i]+dp[c-h[i]])
return dp[x]
// Time: O(nx); Space: O(x)
```

**Why descending?** Prevents reusing the same book multiple times.

✓ **Answer:** Achieves  $O(x)$  space with identical optimal value.

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### Exercise 3.3 — CSES 1158 — Implementation note

**I/O micro-card:** read  $n, x$ ; arrays  $h[1..n], s[1..n]$ ; print  $\text{book\_shop\_one\_row}(\dots)$  result.

**Testing tips:** include edge cases  $x=0$ , a single book equal to  $x$ , and many books with identical prices.

✓ **Answer:** Use the one-row DP above; outputs the maximum total pages.

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### Exercise 4 — Longest Palindrome Subsequence (LPS)

**Assignment Source:** weekplan.pdf p.2.

**Subproblem:**  $L(i, j)$  = length of LPS in substring  $s[i..j]$ .

**Recurrence:** - If  $i > j$ : 0; if  $i = j$ : 1. - If  $s[i] = s[j]$ :  $L(i, j) = 2 + L(i+1, j-1)$ . - Else:  $L(i, j) = \max(L(i+1, j), L(i, j-1))$ .

#### Pseudocode

```
Algorithm: lps_length
Input: string s[1..n]
Output: length of an LPS
for i=1..n: dp[i,i] ← 1
for len=2..n:
  for i=1..n-len+1:
    j ← i+len-1
    if s[i]=s[j]: dp[i,j] ← 2 + (dp[i+1,j-1] if i+1 ≤ j-1 else 0)
    else: dp[i,j] ← max(dp[i+1,j], dp[i,j-1])
return dp[1,n]
// Time:  $O(n^2)$ ; Space:  $O(n^2)$  → can be reduced to  $O(n)$  for length only on diagonals
```

**Correctness sketch:** last pair either matches (use both ends) or not (drop one end). Standard interval-DP.

**Witness:** keep a parent direction to reconstruct one palindrome.

✓ **Answer:** Recurrence and algorithm as above; length in  $O(n^2)$ .

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## Exercise 5 — KT 6.8 — Defending Zion (EMP scheduling)

**Assignment Source:** weekplan.pdf p.2. **Text Source:** exercise\_6\_8.pdf.

**Model:** arrivals  $x_1..x_n$ ; recharge function  $f(j)$  (power after  $j$  seconds since last use). Using at time  $t$  after  $j$  seconds since previous use destroys  $\min(x_t, f(j))$ .

**Counterexample to greedy (part a):** Let  $x=[0,10,10,0]$  and  $f=[1,3,8]$  (i.e.,  $f(1)=1, f(2)=3, f(3)=8$ ). Greedy "fire at  $t=4$  with smallest  $j$  s.t.  $f(j) \geq x_4$ " fires at  $t=4$  with  $j=1$  (kills 0), then recurses on  $[0,10,10]$ , missing the optimal plan: fire at  $t=3$  (kills 8) and **don't** fire at 4  $\rightarrow$  total 8.

**DP (part b) — slides-style formulation over last-fire time:** - Let  $G[t]$  = best robots destroyed up to time  $t$  **with last activation exactly at  $t$** . - Let  $F[t]$  = best up to  $t$  with last activation at  $j \leq t$  (overall optimum prefix). - Base:  $G[0]=0, F[0]=0$ . - Transition for  $t \geq 1$ :  $G[t] = \max_{0 \leq k < t} (G[k] + \min(x_t, f(t-k)))$  (previous last fire at time  $k$ ; if  $k=0$ , it's the first fire with  $j=t$ ). Then  $F[t] = \max(F[t-1], G[t])$ .

### Pseudocode

```
Algorithm: emp_max_destroyed
Input: n, arrivals x[1..n], recharge f[1..n]
Output: max robots destroyed
G[0] ← 0; F[0] ← 0
for t = 1..n:
    best ← 0
    for k = 0..t-1:
        j ← t - k
        best ← max(best, G[k] + min(x[t], f[j]))
    G[t] ← best
    F[t] ← max(F[t-1], G[t])
return F[n]
// Time: O(n^2); Space: O(n)
```

**Correctness:** last activation time partitions the schedule; the gap length  $j$  is determined; subproblems do not overlap in time.

**Variant Drill:** if  $f$  is nondecreasing and concave, consider Knuth-/divide-&-conquer-style optimizations; otherwise stick to  $O(n^2)$ .

**Transfer Pattern:** segmented scheduling with state = time since last use. *Cues:* recharge curve, "since last" wording. *Mapping:* choose activation times; reward per activation depends on gap. *Certificate:* list of activation timestamps and per-use kills summing to optimum.

✓ **Answer:** DP above returns the maximum robots destroyed; greedy fails.

## Puzzle

**Blind Man's Deck** (10 face-up among 52): take **any 10 cards** as pile A; let the rest be pile B. Flip pile A. Now both piles have the **same** number of face-up cards. *Reason:* if pile A initially had  $k$  face-up, B had  $10-k$ ; flipping A makes exactly  $10-k$  face-up in A.

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## Summary

- **Knapsack:** 0/1 DP with  $OPT(i, w)$ ; pseudo-polynomial  $O(nW)$ ; 1-row  $O(W)$  space when only value is needed.
- **Alignment:** Needleman–Wunsch with linear gaps; initialize borders with cumulative gaps; traceback yields a certified alignment.
- **Book Shop:** direct 0/1 mapping (price  $\rightarrow$  weight, pages  $\rightarrow$  value); descending 1-row DP avoids reuse.
- **LPS:** interval DP over substrings; elegant two-case recurrence.
- **Zion (KT 6.8):** schedule by DP on last-fire time; greedy counterexample;  $O(n^2)$  time,  $O(n)$  space.
- **Notation:**  $W, v_i, w_i, \delta, \alpha(\cdot, \cdot), D(i, j), L(i, j)$ . Always show a witness (subset, alignment, or schedule) to certify optimality.