2D Orthogonal Range Search Mads Ravn, 20071580

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Abstract

▶in English...◀

Resumé

▶in Danish...◀

Acknowledgements

▶...◀

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Introduction

▶Describe primary work In an age where everybody is presented with the possibility of searching through a lot of data, for example ebay.com and bilzonen.dk, we are interested in fast queries with as little overhead space usage as possible. We want to do two-dimensional orthogonal range search in our database, which means we can select two axes on which we can select results between two points on each. We are essentially forming an axis-aligned rectangle and selecting all points which lie within it. ▶Rewrite - Ellis style ■

Orthogonal Range Searching. Orthogonal range searcing is one of the most fundamental and well-studied problems in computational geometry. Even with extensive research over three decades a lot of questions remain. In this thesis we will focus on 2D orthogonal range searching: Given n points from \mathbb{R}^2 we want to insert them into a data structure which will be able to efficiently report which k points lie within a given query range $\mathbb{Q} \subseteq \mathbb{R}^2$. This query can be defined as two corners of a rectangle, the lower left corner and the upper right corner, seeing as the query range is orthogonal to the axes.

RAM, I/O, pointer models Skriv om Word RAM Model sammenlignet med de andre typer her. Når vi pakker bits ned i et word kan vi stadig tilgå det hele da access er constant time og operations er constant time

Rank Space Reduction. Given n points from a universe U, the rank of a given point is defined as the amount of points which precede it in a sorted list. Given two points $a,b \in U$: a < b iff rank(a) < rank(b). Expanding this concept to 2 dimensions we have a set P of n points on a $U \times U$ grid. We compute for the x-rank r_x for each point in P by finding the rank of the x-coordinate amongst all the x-coordinates in P. The y-rank r_y finds the rank of y-coordinate amongst all of the y-coordinates in P. Using rank space reduction on P a new set P^* is constructed where $(x,y) \in P$ is replaced by $(r_x(x), r_y(y)) \in P^*$. Given a range query $q = [x_1, x_2] \times [y_1, y_2]$, a point $(x,y) \in P$ is found within q iff $(r_x(x), r_y(y))$ is found within $q^* = [r_x(x_1), r_x(x_2)] \times [r_y(y_1), r_y(y_2)]$. \triangleright Noget med at deres ordered property remains intact. \triangleleft Computing the set P^*

from P using rank space reduction, P^* is said to be in rank space. While the n points could be represented by $\lg U$ bits in P, they can now be represented by $\lg n$ bits in P^* with $\lg n \ll \lg U$ which saves memory. \blacktriangleright We have essentially created a mapping between ...

Ball Inheritance. Given a perfect binary tree with n leaves and n labelled balls at the root the idea is to distribute the balls from the root to the leaves. The balls at the root are contained in an ordered list and for each ball in a nodes list one of its children is picked to inherit the ball such that both children recieve the same amount of balls. The level of a node is defined to be the height of the node. ightharpoonup rephrase
ightharpoonup Each level of the tree contains the same amount of balls, and at level <math>i each node contains 2^i balls. Eventually each ball reaches a leaf of the tree and each leaf will contain exactly one ball. The identity of a given ball is a node and the index of the ball in that nodes list. Given the identity of a ball at any level, it is possible to follow this identity to its actual coordinates. The goal is to track a balls inheritance from a given node to a leaf and report the identity of the leaf. We call the identity of the leaf, where the actual coordinates reside, the true identity of a ball. When refering to ball inheritance, the words ball or point can be used interchangebly.

Outline.

Notation. The set of integers $\{i, i+1, \ldots, j-1, j\}$ is denoted by [i, j]. When no base is explicitly given logarithm will have base 2. ϵ is an arbitrary small constant. Given an array A, A[i] denotes the entry with index i in A and A[i, j] denotes the subarray containing the entries from i to j in A. A[1..n] denotes an array A of size n with entries 1 to n. Throughout the thesis the successor of x will be meant as the first number which is greater or equal to x - the same applies for predecessor of x. The work will be done under the assumption that no two points will have the same x-coordinate and no two points will have the same x-coordinate. A0 Nå vi lave den antagelse hvis vi beskriver hvorfor og hvordan den kan "rettes" senere?

Part I Theory

Related Work

In this chapter a simplification of the work done by Chan et al. [2] is presented followed by their original idea. The simplification is the primary work of this thesis. Conceptually, the original structure by Chan et al. [2] can be thought of as an extension of the simplified data structure. This way the reader will introduced to the concepts at an incremental level. ightharpoonup rephrase
ightharpoonup The theory behind a kD-tree will also be explained as it is the de facto standard of orthogonal range queries today and will be used to compare the practical results of the simplified model. ightharpoonup rewrite
ightharpoonup

The simplified model has a time complexity of $\mathcal{O}(\lg n)$ which is greather than the time complexity of the original model with $\mathcal{O}(\lg\lg n)$. However, the simplified model is far more simple - both in code and the data structures used. Because there is much more internal communication between the data structures in the original model and much more code to be executed, it is easy to assume \blacktriangleright Hvordan siger man det på engelsk? \blacktriangleleft that the running time constant of the original model far exceeds that of the simplified model, making the simplified faster than the original. \blacktriangleright rephrase \blacktriangleleft

Some sections will start with a *preliminaries* subsection. This subsection will describe some of the auxiliary data structures used in the section. This will make the reader acquinted with the data structures when they are referenced instead of having them explained in the middle of another explanation.

The algorithms mentioned in this chapter are all *output-sensitive*, meaning that their running time depends on the amount of results found.

2.1 kd-trees

The current standard of range reporting is kd-trees. This technique will be used as a reference point when evaluting the results of the primary work in this thesis. With linear space it is an ideal data structure bedst kendt at virke i praksis for range reporting on the RAM.

A kd-tree is constructed recursively: Given n points, the median of the points with respect to x are found. All points which has an x-coordinate larger than the median goes to the right child, while points which has an x-coordinate smaller than the median, and the median point, goes to the left child. At the

next level the points will be divided in a similar fashion, this time using the y-median and the y-coordinates instead. When dividing n points, the median will be chosen as the $\lceil n/2 \rceil$ -th smallest number [1]. Switching back and forth between focusing on x or y is done at each level of the tree and this will be continued until a binary tree has been constructed with n leaves.

The tree with n points can be represented as flat array with n entries. The $\lceil n/2 \rceil$ -th element in the array is the root of the tree. With this in mind, the entire tree can represented using a flat array with n elements. \triangleright Far from done - section needs work and rework \triangleleft

2.2 Simplified Range Searching

▶Nu hvor jeg lige har beskrevet den originale, hvor meget skal jeg så gå i detaljer med hvorfor vi gør tingene? Som f.eks. at have tingene i rank space◀

2.2.1 Preliminaries

Predecessor search using binary search

In order to find the rank space successor or rank space predecessor of a point, a binary search is used on a sorted array of points. This data structure uses $\mathcal{O}(n)$ space and have a query time of $\mathcal{O}(\lg n)$. By finding the first key that is bigger in the array, the index of that key is the rank space successor. By finding the last key that is smaller in the array, the index of that key is the ranks space predecessor.

Succint rank queries

Consider an array A[1..n] with elements from some alphabet Σ . Given an index i in the array, we want to find out how many elements in A[1..i] is equal to A[i]. We call this a rank query. We want to be able to answer the rank query in constant time. In order to do this checkpoints are created. For each character in the alphabet Σ , the checkpoint contains the number of times that character appears in A[1..i], where i is the checkpoint location. Such a checkpoint takes up $\mathcal{O}(\Sigma \lg n)$ space. By placing each checkpoint $\Sigma \lg n$ entries apart of each other, all of the checkpoints use $\mathcal{O}(\frac{n}{\Sigma \lg n} \cdot \Sigma \lg n) = \mathcal{O}(n)$ space.

Furthermore, for each entry in the array A, a smaller sum will be stored. Each entry in A contains a character from the alphabet Σ . At A[i] we store the amount of times the character at A[i] appears since the last checkpoint. This is a smaller number and can be stored using $\mathcal{O}(\lg(\Sigma \lg n))$ bits per entry in A. This is because we only need $\lg x$ bits to store a number which has a maximum value of x-1. Since there is only $\Sigma \lg n$ entries between each checkpoint, this adds up. \blacktriangleright hvorfor passer det kun space bound hvis $\Sigma < \sqrt{\lg n}$?

For smaller alphabets, we use another scheme. Checkpoints are still stored at every $\Sigma \lg n$ positions. In addition to this, minor checkpoints are added. These minor checkpoints are added at every $\lg \lg n$ positions and contain amount

of time each character is seen since the last major checkpoint. These minor checkpoints take up $\mathcal{O}(\Sigma \lg \lg n)$ each. In order to answer the rank query, a query to the last major checkpoint and the last minor checkpoint has to be made.

► hvorfor $\sqrt{\lg n}$ og $\sqrt{\lg n} \cdot \lg^2 \lg n$?

2.2.2 Solving the ball-inheritance problem

Consider a perfect binary tree with n leaves. At each level a bit vector A[1..n]is used to indicate which of a node's children a ball is inherited by: If A[i] is 0 means that the ball with identity i in that node was inherited by its left child and 1 means that it was inherited by its right child. Given a node and an identity of a ball we can know calculate the ball's identity in the node it is inherited by. The node can answer the query $rank(k) = \sum_{i \le k} A[i]$. If a ball is inherited by the right child node its new identity at that node is rank(i) because that is how many 1's that preced it in the current node. If a ball is inherited by the left child node the new identity is then i - rank(i). With this information it is possible to traverse down the tree following a ball from any given node to a leaf. There are n balls per level which is represented by a bit vector of size nbits per level. Even though conceptually this bit vector is divided out amongst the nodes of that level, we can interchangely think of it as a bit vector per level or a bit vector per node.▶Rephrase and replace◀ Each level in the tree uses $\mathcal{O}(n)$ bits to store the bit vectors. This adds up to $\mathcal{O}(n \lg n)$ bits, or $\mathcal{O}(n)$ words in all. This trivial solution to the ball-inheritance problem uses $\mathcal{O}(\lg n)$ query time, given that it follows a ball $\mathcal{O}(\lg n)$ steps down to its leaf. The rank function is a constant time query. ▶ henvis til prelim ◄.

A bit vector is an array with entries from the alphabet $\Sigma = \{0, 1\}$, where each entry is used to indicate whether a left or right child has been chosen to inherit a given ball. By expanding the alphabet we can point to the childrens children, $\Sigma = \{0, 1, 2, 3\}$, the childrens childrens children, $\Sigma = \{0, 1, 2, 3, 4, 5, 6, 7\}$, and so forth. Expanding the alphabet will use $\mathcal{O}(n \lg \Sigma)$ bits per level. Storing a pointer from level i to level $i + \Delta$ increases the storage space by Δ bits per ball, but also enables the ball to be inherited by 2^{Δ} descendants. By expanding the alphabet the query time can be lowered since it is possible to take bigger steps down the tree.

Using this concept, we pick B such that $2 \leq B \leq m$, where m is the height of the tree. All levels that are a multiple of B^i expand their alphabet such that the balls reach B^i levels down. If a target level does not exist, the ball points to its leaf. We need at most visit B levels that are multiple of B^i before reaching a level that is multiple of B^{i+1} , making it possible to jump down the tree with bigger and bigger steps.

Storing the expanded alphabets at each level that is multiple of B^i costs B^i bits per ball. The total cost is then $\sum_i^{\lg_B \lg n} \frac{\lg n \lg n}{B^i} \cdot \mathcal{O}(B^i) = \mathcal{O}(\lg n \cdot \lg_B \lg n)$ bits per ball, at all levels. With n balls, this is $\mathcal{O}(n \lg_B \lg n)$ words of space with query time of $\mathcal{O}(B \lg_B \lg n)$. \blacktriangleright **Det er fordi** $\lg_B \lg n$ **er det største** i som **beskriver** $B^i \leq \lg n$

2.2.3 Solving range reporting

Consider a perfect binary tree with n leaves. The root contains n points in 2-d rank space. These n balls are sorted by their y-rank. When distributing the balls for inheritance, a node will give both its children half of its balls: the lower half sorted by the x-rank to its left child and the upper half by x-rank to its right child. The order of the balls in a child node will be the same as the parent node. ightharpoonup rephrase
ightharpoonup The actual coordinates of the balls are only stored at the leaves and we know that x-coordinates of the balls in the leaves are sorted from left to right - smallest to highest. Since the actual coordinate points are only stored once, this data structure uses linear space.

Given a range query $q = [x_1, x_2] \times [y_1, y_2]$ the rank successors of x_1 and y_1 and the rank predecessors of x_2 and y_2 are looked up. We know from section $\triangleright \text{ref} \blacktriangleleft$ that a range query can be translated to a rank space query. We call these x_1^*, y_1^*, x_2^* and y_2^* . We use x_1^* and x_2^* to find the lowest common ancestor, $LCA(x_1^*, x_2^*)$, containing all the points between x_1 and x_2 .

In the root we mark the position of y_1^* and y_2^* on the bit vector used to indicate which descendant a balls goes to. This range indicates which balls lie within the range of $[y_1, y_2]$. Going forward we will use i and j to indicate this range in the bit vector of any given node. When a node inherits this range from a parent, the rank function is used to query how many points before i went to this node, and how many points before j went to this node. We refer to section $2.2.2 \, \text{refer}$ to corret place on how to update the positions using the rank query. This works because the points in a node are sorted by their y-rank and we keep track of how many points in a given node falls within the range of $[y_1, y_2]$ updating the range of [i, j] everytime a node inherits balls. $\, \text{Skriv}$ afsnit om $\, \text{cond}$

We know the positions of the leaves containing x_1^* and x_2^* so we can traverse from the LCA down to each of them. Traversing to x_1^* , the first stop is the left child of the LCA. From here, each time a node selects its left child as the path to x_1^* we know that the subtree contained in the right child contains points between x_1 and x_2 . Symmetrically, the same applies when going right from the LCA: Each time a node selects a right child on the path to x_2^* the subtree contained in the left child contains points between x_1 and x_2 . This concept is seen on figure 2.2.

While keeping the y-rank range updated, we travel from the root to the LCA. From here we travel down to both x_1^* and x_2^* . Each time a subtree between x_1^* and x_2^* is visited, know that it if fully included on the x-dimension. We can then look in its bit vector range to determine which of the balls referred to from this node is included in the y-dimension. For all the balls included in the y-dimension, we use the ball-inheritance structure to look up their actual coordinates in $\mathcal{O}(\lg^{\epsilon} n)$ time.

The data structure is utilized as follows:

1. Use a binary seach to find the rank space predecessors and successors of

 x_1, y_1, x_2 and y_2 . At this point the algorithm will terminate if either rank space range is empty.

- 2. From $LCA(x_1^*, x_2^*)$, both x_1^* and x_2^* will be visited. The range y-rank range found in step 1 will be updated from the root to this LCA and updated from the LCA to x_1^* , x_2^* and all the subtrees between them.
- 3. Each time a fully included subtree is visited, we determine which balls falls within the y-range and use the ball-inheritance structure to travel to its leaf. When a leaf is visited, its actual coordinates will be reported back as a result.

The actual coordinates of the points are only stored at the leaves which then takes up $\mathcal{O}(n)$ words of space. The rest of the tree contains $\lg n$ levels of bit vectors of n bits taking $\mathcal{O}(n \lg n)$ bits, $\mathcal{O}(n)$ words. Looking up the rank-space predecessor and successor of x_1, x_2, y_1 and y_2 using a simple binary search at the root requires $\mathcal{O}(n)$ space and $\mathcal{O}(\lg n)$ time. Summing it up, the entire data structure uses $\mathcal{O}(n)$ words of space.

Walking from the root to the LCA requires $\mathcal{O}(\lg n)$ steps. Visiting x_1^* and x_2^* requires $\mathcal{O}(\lg n)$ steps each. Visiting each of the k leaves in the subtrees between x_1^* and x_2^* containing the points which will be reported as a result takes $\mathcal{O}(k \cdot \lg^{\epsilon} n)$ time. \triangleright shorten sentence \triangleleft

This adds up to $\mathcal{O}(\lg n + k \cdot \lg^{\epsilon} n)$ query time to report k points as results. An empty range will be detected by the binary search at the root. If the binary search does not report an empty range, we proceed to use the algorithm.

2.3 Orthogonal Range Searching

Utilizing the ball-inheritance structure, Chan et al. propose a better solution for orthogonal range search queries. With the same space complexity as the kD-tree, but lower query time. Theorem 2.1 states that for any $2 \le B \le \lg^{\epsilon} n$, we can can solve 2-d orthogonal range reporting in rank space with $\mathcal{O}(n \lg_B \lg n)$ space and $(1+k)\mathcal{O}(B \lg \lg n)$ query time.

In this section some supporting data structures will be introduced. Then we will show how the ball-inheritance is used in conjunction with these data structures to find the points within a search query $q = [x_1, x_2] \times [y_1, y_2]$.

2.3.1 Preliminaries

Range minimum queries

In order to find the smallest element in a range, a succint data structure will be used. This data structure is said to solve the range minimum query problem and will be referred to as RMQ. Consider an array A with n comparable keys, this data structure allows finding the index of the minimum key in the subarray A[i,j]. \triangleright Describe either the cartesian tree or reference 29 \triangleleft . This range minimum data structure has a contant query time. \triangleright Skal jeg indkludere samme reference som i Chan et al. [2] [29]? \triangleleft

Rank space predessecor search

In order to look up the rank space predecessor of a given coordinate, another succint data structure will be used. This data structure has a smaller space complexity than the RMQ, but has a bigger query time. Given a sorted array A[1..n] of ω -bit integers, predecessor search queries in $\mathcal{O}(\lg \omega)$ time is supported using $\mathcal{O}(n \lg \omega)$ space which has oracle access to the entries in the array. Preference \(\bigcirc. The points in rank space of $\mathcal{O}(\lg n)$ bits will use $\mathcal{O}(n \lg \lg n)$ bits per level, with $\omega = \lg n$, and $\mathcal{O}(n \lg \lg \lg n)$ bits in all, which is $\mathcal{O}(n \lg \lg n)$ words.

2.3.2 Solving range reporting

With a solution to the ball-inheritance problem, Chan et al. [2] proposes **Lemma 2.4** if the ball inheritance problem can be solved with space S and query time τ , 2-d range reporting can be solved with space $\mathcal{O}(S+n)$ and query time $\mathcal{O}(\lg \lg n + (1+k)\tau)$.

The ball distribution scheme of this data structure is the same as the simplified range search of section 2.2.2. Having distributed the n points from the root to the leaves, additional data structures are required in order to answer the range queries. For each node in the tree that is a right child a range minimum query structure is added. The indices are the y-rank and the keys are the x-rank that the given node contains. A range maximum query structure is added to the all the nodes which are left children. Each data structure uses $2n + \mathcal{O}(n)$ bits, making it $\mathcal{O}(n)$ bits per level of the tree and $\mathcal{O}(n \lg n)$ bits in all - i.e. $\mathcal{O}(n)$ words of space.

There is still the matter of adding predecessor (and successor) search for the y-rank. For this purpose the data structure described in subsection ightharpoonup ref
ightharpoonup is added to some levels of the tree. The rank space predessecor structure works on an array of the y-ranks, which is already sorted. In order to reduce this to linear space we will only place this predecessor search structure at levels which are multiples of $\lg \lg n$. When using the predecessor search from the lowest common ancestor of x_1^* and x_2^* , $LCA(x_1^*, x_2^*)$, we go up to the closest ancestor to use its search structure. Searching takes $\mathcal{O}(\lg \lg n)$ time plus $\mathcal{O}(1)$ queries to the ball-inheritance structure: using the ball-inheritance structure we walk at most $\lg \lg n$ steps down while translating the ranks of y_1 and y_2 to the right and left child of $LCA(x_1^*, x_2^*)$.

The reason why this structure is necessary for the y-ranks and not the x-ranks, is because of the way the points have been distributed in the ball-inheritance tree: From left to right, the leaves have x-rank 1, 2, ...n so we can easily locate a given range in x-dimension, but in order to keep track of the y-dimensional range we need to follow the balls down the ball-inheritance structure. Adding this structure to each $\lg \lg n$ level saves us from going all the way from the root down to the LCA. ightharpoonup

In order to use this new original data structure by Chan et al. [2] to report points in the range of $[x_1, x_2] \times [y_1, y_2]$ we follow these steps:

- 1. We find the rank space successor of x_1 , x_1^* , and the rank space predecessor of x_2 , x_2^* . We use these to find the lowest common ancestor of x_1^* and x_2^* , $LCA(x_1^*, x_2^*)$. This is the lowest node in the tree containing at least all the points between x_1 and x_2 . By knowing x_1^* and x_2^* , finding the lowest common ancestor is a constant time operation. Given that points are in an array, we can use xor as our LCA operation. ightharpoonup
- 2. As in step 1 where we found the rank space of the x-coordinates, we find the rank space coordinates of the y-coordinates, y_1^* and y_2^* , inside the left and right child of $LCA(x_1^*, x_2^*)$. This step is precisely what the rank space predecessor structure mentioned above supports.
- 3. We now descend into the right child of $LCA(x_1^*, x_2^*)$ and use the range minimum query structure to the find the index m (the y-rank) of the point with the smallest x-rank in the range $[y_1^*, y_2^*]$. Solving the ball-inheritance problem, we follow the path to the leaf to find the actual x-coordinate of the point. If the x-coordinate is smaller than x_2 we return the point as a result and recurse into the ranges of $[y_1^*, m-1]$ and $[m+1, y_2^*]$ in order to find more points. When this is done we apply the same concept to the left child of $LCA(x_1^*, x_2^*)$ using the range maximum query to find points above x_1 .

▶Insert figure to conceptually show we are working our way out from the inside◀

The time complexity of step 3 depends on the use of the ball-inheritance structure. The time to traverse this structure is dependent on the improvements made in 2.2.2. An empty range will result in two queries, one query to each child of $LCA(x_1^*, x_2^*)$. In the worst case the amount of queries to the ball-inheritance structure will not exceed twice the number of results reported. Each time a result is found, a recursion is made to both the left and right subrange of that result. If one of the sides constantly fails to find a result, two queries are made for each result found.

Conceptually, $LCA(x_1^*, x_2^*)$ describes a point between x_1 and x_2 . Step 3 selects points that are in the range of $[y_1, y_2]$ moving outwards from the point of $LCA(x_1^*, x_2^*)$, always picking the point closest to $LCA(x_1^*, x_2^*)$ in its decreasing y-range. ightharpoonuprephrase

Going back to Lemma 2.4, we see that the time complexity fits: $\mathcal{O}(\lg \lg n)$ time is used for the predecessor search and $\mathcal{O}((1+k)\tau)$ time is used for walking from the LCA to the leaves solving the ball inheritance problem for the k results.

The three approaches described above all use a number of bits linear to the amount of points. The original search structure by Chan et al. [2] is the fastest with its $(1+k)\mathcal{O}(B\lg\lg n)$ query while kd-trees are the slowest with $\mathcal{O}(\sqrt{n}+k)$ query time.

▶ADD CONSTANT TIME DIFFERENCE TALK◀

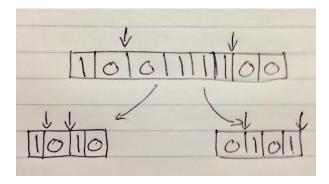


Figure 2.1: When nodes inherit their bit vector ranges from their parent, it can become obvious if the entire subtree is contained within the range of $[y_1, y_2]$ or falls without the range.

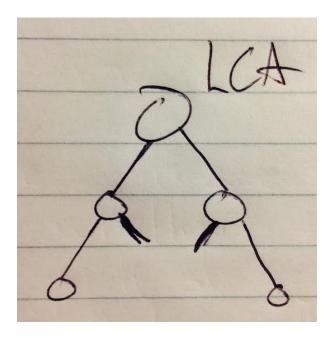


Figure 2.2: Traversing left from the LCA, each right subtree contains x-coordinates between x_1 and x_2 . Traversing right from the LCA the same holds for left subtrees.



Conclusion

▶...◀

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