



Eidgenössische Technische Hochschule Zürich
Swiss Federal Institute of Technology Zurich

Lecture with Computer Exercises:
Modelling and Simulating Social Systems with MATLAB

Project Report

Modeling of a passenger ship evacuation

Manuela Eugster, Andreas Reber, Raphael Brechbuehler,
Fabian Schmid

Zurich
December 12, 2012

Agreement for free-download

We hereby agree to make our source code for this project freely available for download from the web pages of the SOMS chair. Furthermore, we assure that all source code is written by ourselves and is not violating any copyright restrictions.

Manuela Eugster

Andreas Reber

Raphael Brechbuehler

Fabian Schmid



Eidgenössische Technische Hochschule Zürich
Swiss Federal Institute of Technology Zurich

Declaration of Originality

This sheet must be signed and enclosed with every piece of written work submitted at ETH.

I hereby declare that the written work I have submitted entitled

Modeling of a ship evacuation

is original work which I alone have authored and which is written in my own words.*

Author(s)

Last name

Eugster

Brechbuehler

Reber

Schmid

First name

Manuela

Raphael

Andreas

Fabian

Supervising lecturer

Last name

Balietti

Donnay

First name

Stefano

Karsten

With the signature I declare that I have been informed regarding normal academic citation rules and that I have read and understood the information on 'Citation etiquette' (http://www.ethz.ch/students/exams/plagiarism_s_en.pdf). The citation conventions usual to the discipline in question here have been respected.

The above written work may be tested electronically for plagiarism.

Zurich, 20.11.2012

Place and date

Signature

2. Brechbuehler
A. Reber
F. Schmid
M. Eugster

*Co-authored work: The signatures of all authors are required. Each signature attests to the originality of the entire piece of written work in its final form.

Print form

Contents

1	Abstract	6
2	Individual contributions	6
3	Introduction and Motivations	7
3.1	Introduction	7
3.2	Motivation	7
3.3	Fundamental Questions	7
4	Description of the model	9
4.1	Social force model	9
4.2	Ship structure	10
5	Implementation	11
5.1	Basic code	11
5.2	Code adjustments	11
5.2.1	Exits	11
5.2.2	Different exits	12
5.2.3	Closing exits during simulation	13
5.2.4	Controlled evacuation	14
5.3	Ship Decks	16
5.4	Simulation output	16
5.5	Parameters	16
6	Simulation Results and Discussion	17
6.1	Expected Results	17
6.2	Simulation Results	17
6.2.1	Standard ship	17
6.2.2	Modified room disposition	17
6.2.3	Modified rescueboat size	18
6.2.4	Controlled outputs	19
6.2.5	Crew command	19
6.3	Comparison	19
6.3.1	Standard - Modified room disposition	19
6.3.2	Standard - Modified rescueboat size	20
6.3.3	Standard - Controlles outputs	20
6.3.4	Standard - Crew command	20
6.4	Discussion	20

7	Summary and Outlook	20
7.1	Summary	20
7.2	Outlook	20
8	References	20
9	Appendix	21

1 Abstract

2 Individual contributions

The whole project was completed as a team. For sure we took into consideration all the personal backgrounds and knowledge. That is the reason why Raphael and Manuela focused on implenting the computer code. Whereas Andreas and Fabian concentrated on providing background information, compared the results with the reality and doing its verification.

3 Introduction and Motivations

3.1 Introduction

The evacuation of a passenger liner due to fire, sinking or other issues leads to several problems. A large amount of passengers try to save their lives and get to a rescue boat. Narrow and branched floors, smoke, inflowing water, the absence of illumination, rude passengers and so forth can make the evacuation difficult and reduce the number of survivors. There are a lot of norms how to minimize the harm of such an evacuation. For example there are rules on the number of rescue boats dependent on the amount of passengers [4]. With dry runs the staff is prepared for the case of emergency et cetera. In real life ship corridor reproduction, the behavior of distressed people is studied. Another approach is to model such ship evacuations numerically on the computer. As an example the software maritimeEXODUS by a development team from the University of Greenwich is a PC based evacuation and pedestrian dynamics model that is capable of simulating individual people, behaviour and vessel details. The model includes aspects of people-people, people-structure and people-environment interaction. It is capable of simulating thousands of people in very large ship geometries and can incorporate interaction with fire hazard data such as smoke, heat and toxic gases and angle of heel [7]. Our approach is similarly to model a passenger ship with a common geometrical outline and ground view. In an optimization process we will thereafter look for an ideal ground view, rescue boat distribution and their size to minimize the time needed for evacuation. Finally we will make a statement on possible improvements.

3.2 Motivation

Even though modern ocean liners are considered to be safe, the latest occasions attested that there is still potential for evacuation and safety improvements [8]. Certainly we know that this science is very advanced and practised since the sinking of the Titanic. Nevertheless knowing that there are still bottlenecks on the ships we are very motivated to detect and eliminate them with our mathematical models.

3.3 Fundamental Questions

To find these bottlenecks we run a mathematical model of a ship structure with several decks and its passengers [6]. After we localised these places we are interested in the answers of the following questions:

How much time can be saved by varying the dependent variables mentioned below:

- How much people can be saved by changing the disposition of the specific room types?
- Where are the bottlenecks during the evacuation? How can they be avoided?

What is the influence of the rescue boats?

- Are small or bigger boats better?
- Where do they have to be positioned?

If we have time to spare we analyse the difference between uncontrolled and controlled passenger flow:

- Is the crew able to prevent chaos in the evacuation process?
- What is the best way to lead the passengers out of the ship?

In addition we are keen to know if our model is a good abstraction of the reality?

4 Description of the model

We base our model on the work done by a group of former "MSSSM" students, by name Hans Hardmeier, Andrin Jenal, Beat Kueng and Felix Thaler [3]. In their work "Modeling Situations of Evacuation in a Multi-level Building" they wrote a computer program in the language C with a MATLAB interface to rapidly simulate the evacuation of multi-level buildings.

4.1 Social force model

Our approach is the social force model described by Helbing, Farkas and Vicsek [2]. In order to observe an escape panic situation and especially bottlenecks, they built up a continuous-space model. In mathematical terms they took Newton's second law and introduced a mix of socio psychological and physical forces for each so called pedestrian.

$$m_i \frac{d\mathbf{v}_i}{dt} = m_i f_D + \sum_{j(\neq i)} f_{ij} + \sum_W f_{iW} \quad (1)$$

Each pedestrian has his own mass and a direction e_i^0 in which he wants to move with a certain velocity v_i^0 . He tries to adapt his own velocity to the wanted velocity with a given characteristic time τ_i .

$$f_D = \frac{v_i^0(t) \mathbf{e}_i^0(t) - \mathbf{v}_i(t)}{\tau_i} \quad (2)$$

There are additionally interaction forces f_{ij} between the pedestrian and other people including an exponential part for the tendency of two pedestrians to stay away from each other. The second and third term are zero if the two pedestrians are not in touch. Elsewise there is a force in tangential direction t and in radial direction away from each other.

$$f_{ij} = \{A_i \exp[(r_{ij} - d_{ij})/B_i] + kg(r_{ij} - d_{ij})\} \mathbf{n}_{ij} + \kappa g(r_{ij} - d_{ij}) \Delta v_{ji}^t \mathbf{t}_{ij} \quad (3)$$

To be able to handle with walls there is another force f_{iW} introduced. Its direction is away from the surrounding walls and the structure is similar to the one for the interaction between pedestrians.

$$f_{iW} = \{A_i \exp[(r_i - d_{iW})/B_i] + kg(r_i - d_{iW})\} \mathbf{n}_{iW} - \kappa g(r_i - d_{iW}) (\mathbf{v}_i \cdot \mathbf{t}_{iW}) \mathbf{t}_{iW} \quad (4)$$

4.2 Ship structure

To apply the force model on a realistic situation a ship has to be implemented as well. Floor plans from the Costa Serena were taken [6]. In order to make strong conclusions we want to keep the following variables independent:

- Number of passengers (4400 agents)
- Overall capacity of the rescue boats (4680 seats)
- Ship size and outer shape

In order to optimize the evacuation time, we change the following dependent variables:

- Stairs and their positions
- Rescue boat size, number and position
- Control of the passenger flow by crew members (e.g. is there staff to lead the passengers and how are they doing it?)

5 Implementation

As mentioned above our code is based on the work of a previous project. In order to answer our question we had to make several adjustments and adapt it to our simulation model. In this chapter we are going to explain the most significant changes we did. For the exact understanding of the original code and the process of optimization, please refer to the documentation of the previous project group, especially chapter five and nine[3] or our code in the Appendix.

5.1 Basic code

The builders of the code we base on created a flexible model to simulate building structures. The floors can be feed as graphic data into the system where different colors stand for different areas. Black are walls, red stairs up, blue stairs down, green exits and purple agent spawning areas. The data is evaluated in matlab in matrices. Information on parameters such as timestep, simulation time, force characteristics et cetera can be read in using a config file which is evaluated on simulation start. With a fast sweeping algorithm in C a vector field is created for every floor pointing in direction of the shortest way towards stairs and exits. In a loop over all passengers the acting forces on them are calculated and via forward Euler converted into velocities.

$$\mathbf{v}_{i,new} = \mathbf{v}_{i,previous} + \frac{\sum f}{m_i} dt \quad (5)$$

Again with the forward Euler scheme positions are calculated that were reached in a finite timestep.

$$\mathbf{r}_{i,new} = \mathbf{r}_{i,previous} + \mathbf{v}_{i,new} dt \quad (6)$$

5.2 Code adjustments

5.2.1 Exits

Reason:

The first change which was necessary was due to the fact that the exits in our simulation are not simply on the lowest floor.

Assumption:

In the case of an emergency all agents are keen to leave the ship as fast as possible. Therefore in our simulation all passengers above the exits floor are only enabled to move down and passengers lower than the exit floor move upstairs.

Function:

applyForcesAndMove.m

New variables:

To define the floor in which the exits are we introduced a new variable *floor_exit* in the config file.

Modifications:

Since we defined our exit, we are able to easily modify the by splitting the loop, in which we calculate the forces and moves of the agents, in two parts. First we loop over all floors higher than the exit floor in which the agents only are allowed to move down or take an exit. Secondly we do the same for all people in the floors lower than the exit floor where the passengers only can move up or take an exit.

Because of this modification it is not necessary to loop twice over all floors. Consequently our code remains fast and efficient. We also kept the simple concept of vectors out of booleans. Means for each agent there is one number set: If the agent reaches a staircase and therefore changes the floor he is a 1 otherwise he is a 0. The assumption also is a great simplification for the pictures since we do not have to mess with the problem of having overlapping stairs.

5.2.2 Different exits

Reason:

Because the exits model rescueboats, which can only hold a limited number of agents, we were forced to find a way how to differ the exits from each other and to assign a specific number to every exit.

Function:

loadConfig.m

New variables:

- *Exit_count*, to define the number of exit.
- For each exit k: *exit_k_nr*, to define the number of agents it can hold.
- To store how many agents can exit in one specific exit, we introduced a matrix *exit_nr* matrix, where the number of agents that can exit is indicated for each pixel.

Modifications:

We had to make some changes in the decoding of the pictures. The aim was to change as little as possible to the original code. It was clear that we are going to need as many different colors as we have exits, to be able to distinguish them during the simulation. By defining every pixel which is red to value=0, blue value=0 and green value unequal to zero, we can define a lot of different colored exits by using green values from 256 to 256-*exit_count*.

We implemented the matrix *exit_nr* similar to the already existing one *imgexit*, in which we store a count to number the different exits. The number is defined by the green value of the pixel we are at.

```

%make a zeros matrix as big as img_exit
config.exit_nr=zeros(size(config.floor(config.floor_exit).img_exit));
% build the exit_nr matrix
config.exit_nr = config.exit_nr + e*( img_build(:, :, 1) == 0 & img_build(:, :, 2) ==
(256-e) & img_build(:, :, 3) == 0 ) ;

```

Figure 1: Implementation of the exit_nr matrix

5.2.3 Closing exits during simulation

Reason:

To close an exit as soon as it let a specific number of agents in, we have to keep track of the number of agents that already used this exit.

Function:

loadConfig.m and applyForcesAndMove.m

New variables:

exit_left

Modifications:

For this purpose, we defined the matrix exit_left, in which we store the number of agents who can exit for every exit (defined by his number).

```

%make a zeros vector as long as exit_count
config.exit_left = zeros(1,config.exit_count);
%loop over all exits
for e=1:config.exit_count
%build the exit_nr matrix
config.exit_nr = config.exit_nr + e*( img_build(:, :, 1) == 0 & img_build(:, :, 2) ==
(256-e) & img_build(:, :, 3) == 0 ) ;
%build the exit_left matrix
config.exit_left(1,e) = config.(sprintf('exit_%d_nr', e)); save the number of agents the
exit can hold
end

```

Figure 2: Implementation of the exit_left matrix

In the loop where the forces are calculated and the agents moved, (in function

aplyForcesandMove.m) we added a piece of code, which updates the exit_left matrix at every time-step.

First, we get the number of the current exit.

```
%save current exit nr
data.current_exit = data.exit_nr(round(newp(1)), round(newp(2)));
```

Figure 3: Implementation to get the current exit number

Then we update the exit_left matrix by counting down the number of agents allowed to exit by 1.

```
%update exit_left
data.exit_left(1,data.current_exit) = data.exit_left(1,data.exit_nr(round(newp(1)),
round(newp(2)))) - 1;
```

Figure 4: Implementation to update the exit_left

If the allowed number of agents exited the number is 0. Now we have to close the current exit, by changing it into a wall. Therefore we have to update the img_wall matrix.

```
%close exit if there is no more free space
if data.exit_left(1,data.current_exit) < 1
%change current exit to wall
data.floor(data.floor_exit).img_wall = data.floor(data.floor_exit).img_wall == 1 ...
— (data.exit_nr == (data.current_exit));
data.floor(data.floor_exit).img_exit = data.floor(data.floor_exit).img_exit == 1 ...
& (data.exit_nr == (data.current_exit));
```

Figure 5: Implementation to close filled boats

5.2.4 Controlled evacuation

Reason:

With the goal of a faster evacuation, we tried to control the agents to go to specific

exits.

Assumption:

We realised that the biggest problem-zones are the stairs. So, in order to get the agents as fast as possible away from the stairs, once they changed the floor, we decided to split the agents in two groups. One group only reaches one half of the exits and the other group for the others.

Function:

init_agents.m, addDesiredForce.m, initEscapeRoutes_even.m, initEscapeRoutes_odd.m, addDesiredForce.m, initialize.m, initAgents.m, loadConfig.m

New variables:

- *numbr*, each agents gets a number (0 or 1, selected randomly)
- *control_exit*, in the config file you can decide whether or not you want to have controlled exits during your simulation

Modifications:

Essentially, we had to modify the calculation of the force dragging a specific agent to the nearest exit. Therefore we wrote two new functions to init the escape routes.

- *initEscapeRoutes_even.m*, this function only considers the exits which are identified by an even number.

```
temp1=double(mod(data.exit_nr,2)); %matrix in which every number which is even
turns to zero, odd turns to one
temp2=logical((data.floor(i).img_exit)-(temp1));
boundary_data(temp2)=-1; %boundary_data considers only the exits with even num-
bers -- > -1
```

Figure 6: Implementation to init escape routes for even numbers

→ *initEscapeRoutes_.m*, this function only considers the exits which are identified by an odd number

```
temp=logical(mod(data.exit_nr,2)); %matrix in which every number which is even
turns to zero, odd turns to one
boundary_data(temp)=-1; %boundary_data considers only the exits with odd num-
bers
```

Figure 7: Implementation to init escape routes for odd numbers

This as a basis we got two different directions to the next exit.

```
→[data.floor(i).img_dir_x_odd, data.floor(i).img_dir_y_odd]  
→[data.floor(i).img_dir_x_even, data.floor(i).img_dir_y_even]
```

Figure 8: Functions used to get the forces which drag the agents to the nearest exit

The agents are splitted in even-agents and odd-agents defined by the randomly added number (0 or 1).

```
%even agents  
if numbr==0;  
%get direction towards nearest exit  
ex = lerp2(data.floor(fi).img_dir_x_even, p(1), p(2));  
ey = lerp2(data.floor(fi).img_dir_y_even, p(1), p(2));  
e = [ex ey];  
%get force  
Fi = m * (v0*e - v)/data.tau;  
% add force  
data.floor(fi).agents(ai).f = data.floor(fi).agents(ai).f + Fi;  
end
```

Figure 9: Implementation to randomly split agents to even and odd

5.3 Ship Decks

5.4 Simulation output

5.5 Parameters

6 Simulation Results and Discussion

6.1 Expected Results

- Even though modern ships are quite optimized in regard to evacuation time, they are always a compromise between safety and luxury. Therefore we are convinced to find a superior adjustment of the decks geometries to increase the survival rate.
- Since the rescue boats can not be averaged but are rather concentrated over one or two decks, we consider the staircases as the bottlenecks.
- In alinging this variables we are persuaded of a reduction of the overall evacuation time.
- We suppose that smaller and evenly spread rescue boats combined with a higher quantity will scale the evacuation time down. Certainly there is going to be an optimum in size which we are willing to find.
- By controlling the rescue we assume to detect a huge decrease in evacuation time. Further we have the hypothesis that disorder can be minimized. The crew who is familiar with the decks and the emergency exits is able to guide the passengers in minimum time to the rescue boats.
- There are many parameters we do not model in our simulation. For example fire and smoke, the tilt of the ship or handicapped and petrified passengers are disregarded. By leaving out this details we get a very simplified model. However, by starting the optimization process by data of a nowadays passenger liner [6] we hope to see some real evacuation dynamics in this system and therefore make conclusion on the fundamental questions.

6.2 Simulation Results

6.2.1 Standard ship

As listed above we were interested in the time in which a certain percentage of all agents was evacuated:

Further our standard ship simulation showed the following performance:

6.2.2 Modified room disposition

As we expected the standard simulation revealed that hold-up problems occur because of the staircases. As the flow everywhere else was quite dynamic we abstained

percentage of agents.	10%	50%	90%	99%
evacuation time	69s	272s	468s	614s

Table 1: Standard simulation: Needed time to evacuate a certain percentage of all agents.

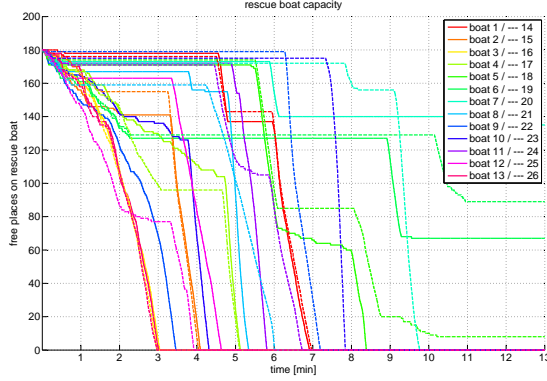


Figure 10: Standard simulation: Boat capacities during simulation

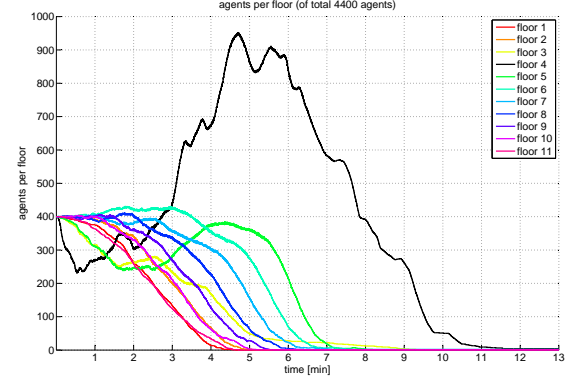


Figure 11: Standard simulation: Number of agents per floor

from adjusting the room disposition but instead we inserted an additional staircase. This simulation yielded the following results:

percentage of agents.	10%	50%	90%	99%
evacuation time	72s	259s	461s	595s

Table 2: Added stairs simulation: Needed time to evacuate a certain percentage of all agents.

6.2.3 Modified rescueboat size

As we could see in the simulations with the standard ship, the rescueboats which are nearest to the stairs are filled first. This behavior is very intuitive. As soon as the nearest lifeboats are full, the agents continue to fill the other lifeboats. The greatest extension of the evacuation time occurs as follows: Towards the end of the

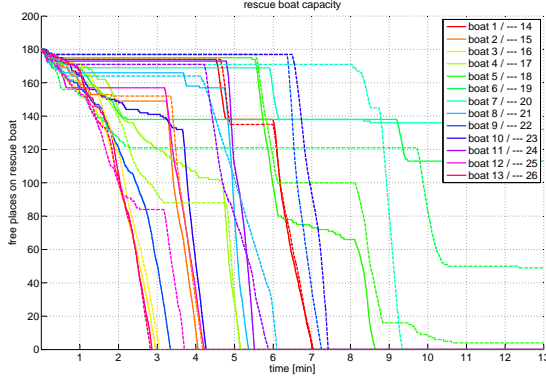


Figure 12: Added stairs simulation: Boat capacities during simulation

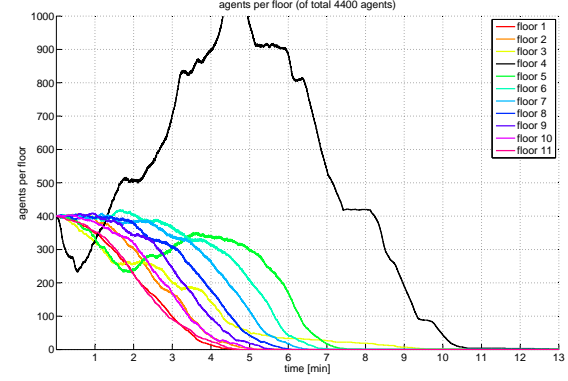


Figure 13: Added stairs simulation: Number of agents per floor

simulation, not all lifeboats are still open. Therefore leftover agents, which walked in the direction of a lifeboat that closed in the meantime, have to cross a big distance to reach a lifeboat with free space.

We tried to avoid this delay by increasing the capacity of the lifeboats near to the stairs and removed some, which are the farthest away for the agents left over towards the end of the evacuation.

percentage of agents.	10%	50%	90%	99%
evacuation time	71.44s	257.12s	419.4s	571.4s

Table 3: Varied boatsize simulation: Needed time to evacuate a certain percentage of all agents.

6.2.4 Controlled outputs

6.2.5 Crew command

6.3 Comparison

6.3.1 Standard - Modified room disposition

The Analysis of the simulation results showed that there is a huge potential in saving evacuation time. By adding just one additional staircase we were able to reduce the

overall evacuation time by almost 20 seconds. Further 50% of all agents entered the exits approximately 13 seconds earlier compared to the standard model. In contrast to that the rescueboat capacity utilisation remains basically the same. Another point which was actually not important for our research is that you get a much higher agent density on the exit floor.

6.3.2 Standard - Modified rescueboat size

As the results above show, there is no significant acceleration in the first part of the evacuation achieved by changing the distribution of the lifeboats. But, there is clearly a difference in second half of the evacuation. The last 10% of agents are evacuated 48.6 seconds faster and the last 1% even 42.6 seconds faster. This decrease in evacuation time is an effect of the reduced time the last agents need to leave the exit-deck.

6.3.3 Standard - Control outputs

6.3.4 Standard - Crew command

6.4 Discussion

7 Summary and Outlook

7.1 Summary

7.2 Outlook

8 References

References

- [1] Helbing, Dirk (1995): Social Force Model for Pedestrians Dynamics.
- [2] Helbling, Dirk et al (2000): Simulating dynamical features of escape panic.
- [3] Hardmeier, Jenal, Kueng, Thaler (2012): Modelling Situations of Evacuation in a Multi-level Building.
- [4] SOLAS (1974): International Convention for the Safety of Life at Sea.
[http://www.imo.org/about/conventions/listofconventions/pages/international-convention-for-the-safety-of-life-at-sea-\(solas\)-1974.aspx](http://www.imo.org/about/conventions/listofconventions/pages/international-convention-for-the-safety-of-life-at-sea-(solas)-1974.aspx)

- [5] SHIP EVACUATION: <http://www.shipevacuation.com/>
- [6] CRUISE DECK PLANS PUBLIC SITE: <http://www.cruisedeckplans.com/DP/Main/decks.php?ship=CostaSerena>
- [7] University of Greenwich (2011): maritimeEXODUS. http://fseg.gre.ac.uk/fire/marine_evac_model.html
- [8] Haverie of Costa Concordia (2012): http://de.wikipedia.org/wiki/Costa_Concordia#Havarie_2012

9 Appendix