Matjhabeng Local Municipality

Communications register

Issued, not due	12
Overdue	1
Received	43
Late response	22
Resolved	15
Not issued	1
Withdrawn	0
Closed	0
Total	56



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Communication #	Description	Management report matter	Admin matter	Date Issued	Date Due	Date Responded	Status	Was response received on time	Resolved, Not resolved or partially resolved	Auditors Response where not resolved	Days Late
1	Requested Information not submitted (General Planning)	х		25-Oct-19	01-Nov-19	04-Nov-19	Received	No	Resolved	Management submitted all the information	:
2	Requested Information relating to internal audit not submitted	х		25-Oct-19	01-Nov-19	01-Nov-19	Received	Yes	Resolved	Management submitted all the information	
3	Annual Financial Statements not submitted within two months after financial year			25-Oct-19	01-Nov-19	01-Nov-19	Received	Yes	Not resolved	Management acknowledges the finding therefore the finding remains	
4	Requested Information relating to Human Resource Management not submitted	х		25-Oct-19	01-Nov-19	01-Nov-19	Received	Yes	Resolved	Management submitted all the information	
5	No Performance agreements and evaluations for employees below sec57	х		25-Oct-19	01-Nov-19	01-Nov-19	Received	Yes	Not resolved	Management acknowledges the finding therefore the finding remains	
6	Requested information RFI 10 not submitted	х		25-Oct-19	01-Nov-19	01-Nov-19	Received	Yes	Partially resolved	Management did not submit ARMs contract	
7	Requested information relating to consequence management not submitted	х		31-Oct-19	06-Nov-19	07-Nov-19	Received	no	Partially resolved	Management did not submit the following: - Any investigation report (for cases reported to SAPS).	
8	Requested cash and bank not submitted	х		25-Oct-19	01-Nov-19	01-Nov-19	Received	Yes	Resolved	Management submitted all the information	
9	AOPO Key performance indicators not defined	Х		14-Nov-19	20-Nov-19	28-Nov-19	Received	no	Not resolved	Management requested a workshop with the Audit team	1
10	AOPO Limitation	Х		04-Nov-19	11-Nov-19	07-Nov-19	Received	Yes	Resolved	Management submitted all the information	
11	Key management qualifications and experience	Х		04-Nov-19	11-Nov-19	12-Nov-19	Received	No	Resolved	Management submitted all the information	Ļ
12	Declaration of interest -Audit committee Declaration of interest - Section 57 Managers and council	X		14-Nov-19 14-Nov-19	20-Nov-19 20-Nov-19	17-Dec-19 18-Nov-19	Received	No	Not resolved Partially	the declaration of interest for the other 3 audit committee members are still outstanding. Council Declaration of interest for 2017/18 still outstanding	- 2
		Х					Received	Yes	resolved	g	
14	No disciplinary boardcommittee for 201819	Х		22-Nov-19	27-Nov-19	28-Nov-19	Received	No	Not resolved	Management agrees with the finding, its yet to appoint a disciplinary board committee	
15	High Level review of the annual financial statements			22-Nov-19	27-Nov-19	28-Nov-19	Received	No	Not resolved	Management submitted their responses	
16	Employee Costs - High level of Vacancy rates	Х		25-Nov-19	02-Dec-19	10-Dec-19	Received	No	Not resolved	Management submitted their responses	
17	Employee Cost There was no Performance agreement for the Municipal Manager and the CFO	Х		25-Nov-19	02-Dec-19	28-Nov-19	Received	Yes	Resolved	Management submitted all the information	<u> </u>
18	No Interview reports for the shortlisted people for interviews	X		25-Nov-19	02-Dec-19	03-Dec-19	Received	No	Resolved	Management submitted all the information	
19 20	Employee costs Executive director position not re-advertised Employee Costs there is no performance appraisals for s57 Employees	X		25-Nov-19 25-Nov-19	02-Dec-19 02-Dec-19	03-Dec-19 10-Dec-19	Received Received	No No	Not resolved	Management submitted all the information Management submitted their responses	-
21	Payables (non- compliance)			25-Nov-19	02-Dec-19	02-Dec-19	Received	Yes	Not resolved	Management submitted all the information	
22	Internal control deficiencies identified during planning	Х		26-Nov-19	03-Dec-19	03-Dec-19	Received	No	Not resolved	Management submitted all the information	
23	Limitation of scope (Capital assets)	Х		04-Dec-19	09-Dec-19	11-Dec-19	Received	No	Resolved	Management submitted all the information	
24	Internal Audit function (Non-Compliance)			04-Dec-19	09-Dec-19	18 Dember 2019	Received	No			#VALUI
25	Information requested not submitted - Contracted Services	Х		04-Dec-19	09-Dec-19	11-Dec-19	Received	No	Not resolved	Management submitted their responses, not all information was submitted	
26	Information requested not submitted - General Expenses	Х		04-Dec-19	09-Dec-19	12-Dec-19	Received	yes	Not resolved	Auditors are still assessing the information submitted	
27	Information requested not submitted - Repairs and Maintenance	Х		04-Dec-19	09-Dec-19	11-Dec-19	Received	No	Partially resolved	there is still outstanding information of 1054868 000011026 : DOOR CLOSER MEDIUM DUTY(TAG)	
28	Information requested not submitted - Additional Contracted Services	Х		04-Dec-19	09-Dec-19	11-Dec-19	Received	yes	Not resolved	Management submitted their responses, not all information was submitted	1
29	Information requested not submitted - Data Requested	Х		04-Dec-19	09-Dec-19	10-Dec-19	Received	No	Not resolved	Management did not submit the information on the RFI	
30	Information requested not submitted - Consultants	Х		04-Dec-19	09-Dec-19	12-Dec-19	Received	No	Not resolved	Management submitted all the information	
31	Information requested not submitted - Employee Cost	Х		04-Dec-19	09-Dec-19	09-Dec-19	Received	Yes	Resolved	Management submitted all the information	
32	Information requested not submitted - Petty Cash	Х		04-Dec-19	09-Dec-19	10-Dec-19	Received	No	Not resolved	Management submitted their responses	
33	Information requested not submitted - Payables	Х		06-Dec-19	10-Dec-19	11-Dec-19	Received	No	Resolved	Management submitted all the information	
34	Information requested not submitted - Going Concern	Х		06-Dec-19	10-Dec-19	12-Dec-19	Received	Yes	Not resolved	Auditors are still assessing the information submitted	
35	Information requested not submitted - VAT	Х		09-Dec-19	12-Dec-19	17-Dec-19	Received	No	Resolved	Management submitted all the information	
36	Subsequent Events not correctly disclosed	X		09-Dec-19	12-Dec-19	12-Dec-19	Received	Yes	Not resolved	Management submitted their responses	
37 38	Consultants Discrepancies identified (Internal Control)	X		09-Dec-19 17-Dec-19	12-Dec-19	18-Dec-19	Received	No	Not resolved Partially	Finding remains.	—
	Information requested not submitted - Deviations	X			20-Dec-19	19-Dec-19		Yes	Mortiolly	Management did not submit all the information	

Auditor responded	Date	Management's response to the auditor's conclusion	Date
		conclusion	
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39	Information requested not submitted - Competitive Bidding	Х	18-Dec-19	06-Jan-20	19-Dec-19	Received	Yes	Partially resolved	Management did not submit all the information	0
40	Information requested not submitted - ISA	Х	12-Dec-19	18-Dec-19						C
41	Information requested not submitted - Government Grants	Х	18-Dec-19	06-Jan-20	18-Dec-19	Received	Yes	Resolved	Management submitted all the information	(
42	Information requested not submitted - Quotation	Х	18-Dec-19	06-Jan-20	19-Dec-19	Received	Yes	Partially resolved	Management did not submit all the information	C
43	Information requested not submitted - Follow up on contracted services	Х	18-Dec-19	06-Jan-20	20-Dec-19	Received	Yes	Partially resolved	Management submitted all the information except the follow up on a moon and earth trading and projects.	C
44	Information requested not submitted - Property Rates (Journals)	Х	18-Dec-19	06-Jan-20	20-Dec-19	Received	Yes	Resolved	Management submitted the information	
45	Information requested not submitted - Key projects	Х	18-Dec-19	06-Jan-20		Overdue			Still to be assessed by the Performance auditors	(
46	Revenue- Estimates provided not reliable	Х	07-Jan-20	14-Jan-20		Issued, not due				(
47	Information requested not submited - AOPO	Х	19-Dec-19	07-Jan-20		Closed				(
48	Information requested not submitted - AOPO Portfolio of Evidence	Х	08-Jan-20	14-Jan-20		Issued, not due				0
49		Х				Not issued				(
50	Information requested not submitted -Irregular Expenditure	Х	08-Jan-20	14-Jan-20		Issued, not due				(

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51	Audit Committee not functioning adequately.	Х	08-Jan-20		Issued, not due			0
52	Procurement competitive bidding process not followed	Х	08-Jan-20		Issued, not due			0
53	Transport asset: Assets could not be verified (Limitation of scope)	Х	08-Jan-20		Issued, not due			
54	Infrastructure assets: Asserts could not be verified (Limitation of scope)	Х	08-Jan-20		Issued, not due			
55	Other movable assets: Assets could not be verified (Limitation of scope)	Х	08-Jan-20	15-Jan-20	Issued, not due			- 0
56	Infrastructure asset (additions): Asset could not be verified (Limitattion of scope)	Х	08-Jan-20	15-Jan-20	Issued, not due			
57	Landfill site: Asset could not be verified (Limitation of scope)	Х	08-Jan-20		Issued, not due			
58	Information requested not submitted - employee cost - rental agreements	Х	10-Jan-20		Issued, not due			
59	Information requested not submitted - Rental Agreements	Х	10-Jan-20	17-Jan-20	Issued, not due			
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