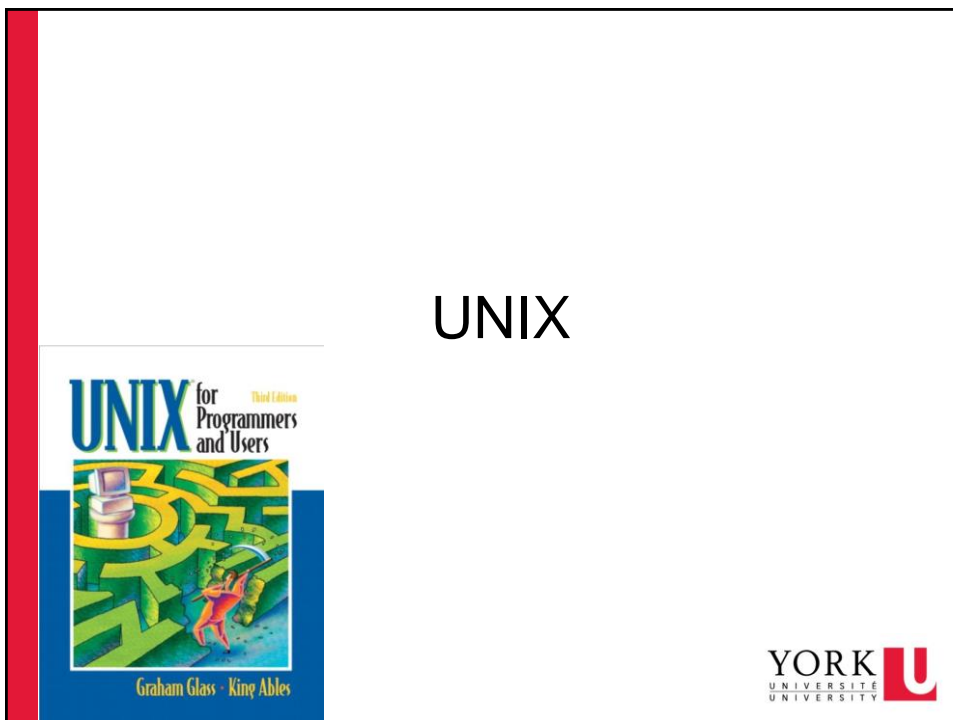




1



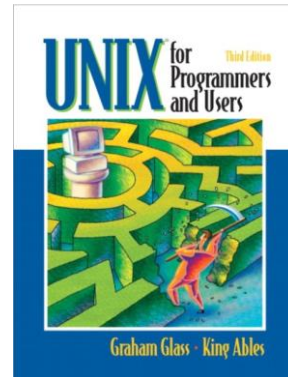
2

Contents

- Overview of UNIX

- Structures
- File systems
 - Pathname
 - Security
- Process:
 - Exit code
 - Pipes

Plan
for
today



- Utilities/commands

- Basic
- Advanced

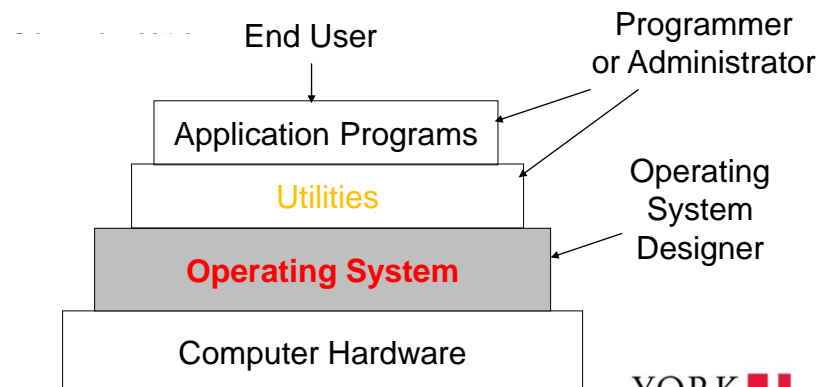
- Shell and shell scripting language



3

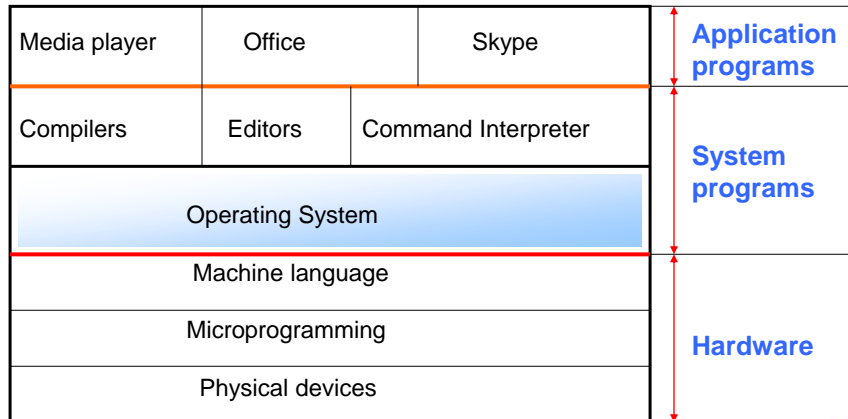
Layers and Views of a Computer System

- Computer Systems



4

Computer Systems



5

5

Operating Systems

- An operating system
 - a program that controls the execution of application programs and acts as an interface between the **user (program) of a computer** and the **computer hardware**.
- An operating system has four major components:
 - process management,
 - memory management
 - the file system
 - input/output
- The most common OS include
 - Windows, MacOS, and UNIX (like).

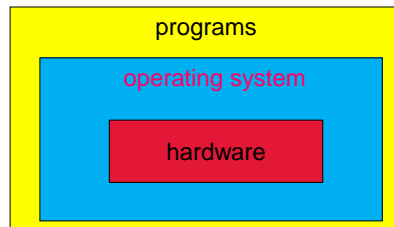


6

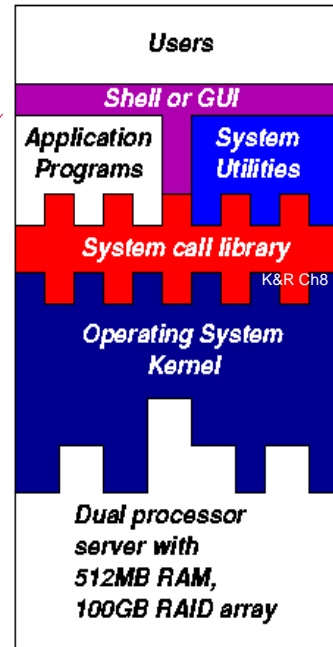
6

Operating systems

- General architecture
 - Kernel**: deal with hardware
 - HW-independent expose to high level by **system call**
 - Utilities**: small, come with OS
 - Shell**: textual command-line interface



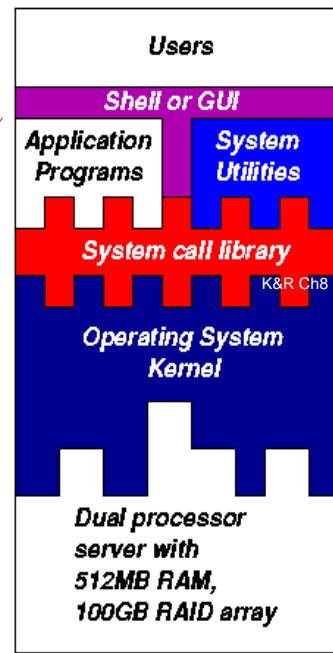
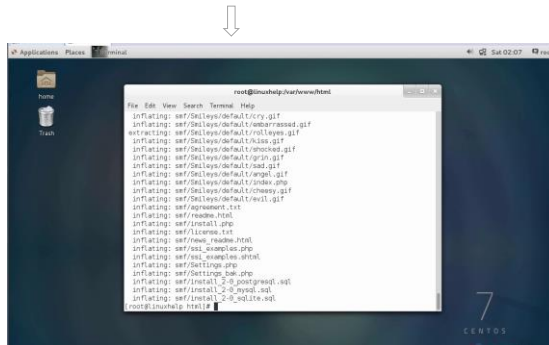
7



7

Operating systems

- General architecture
 - Kernel**: deal with hardware
 - HW-independent expose to high level by **system call**
 - Utilities**: small, come with OS
 - Shell**: textual command-line interface



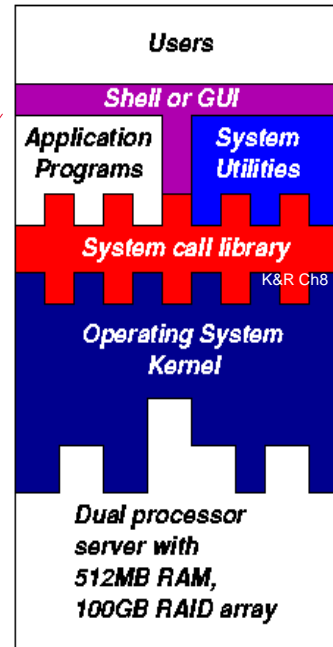
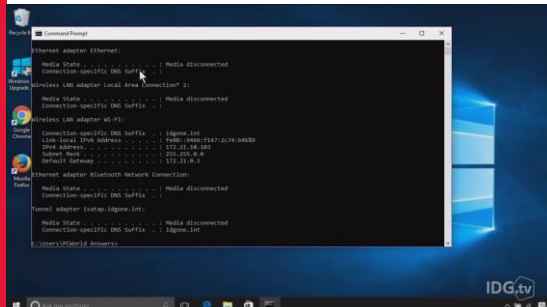
8

Operating systems

- General architecture
 - **Kernel**: deal with hardware
 - HW-independent expose to high level by **system call**
 - **Utilities**: small, come with OS
 - **Shell**: textual command-line interface



MS-DOS,



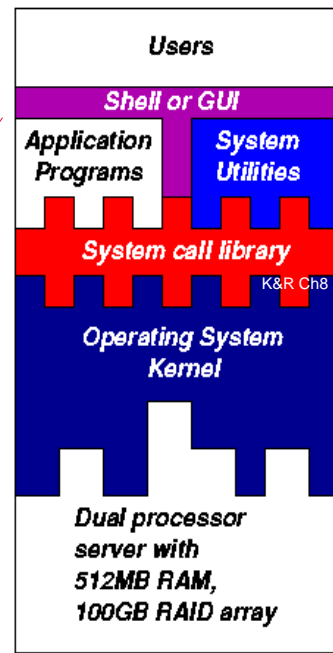
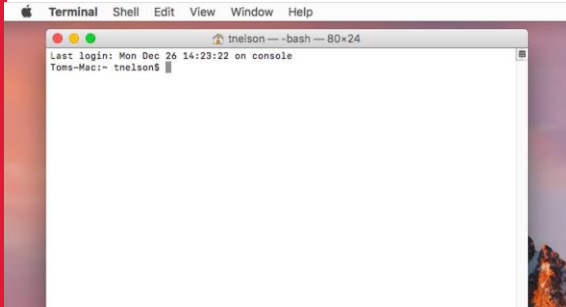
9

Operating systems

- General architecture
 - **Kernel**: deal with hardware
 - HW-independent expose to high level by **system call**
 - **Utilities**: small, come with OS
 - **Shell**: textual command-line interface



MAC terminal

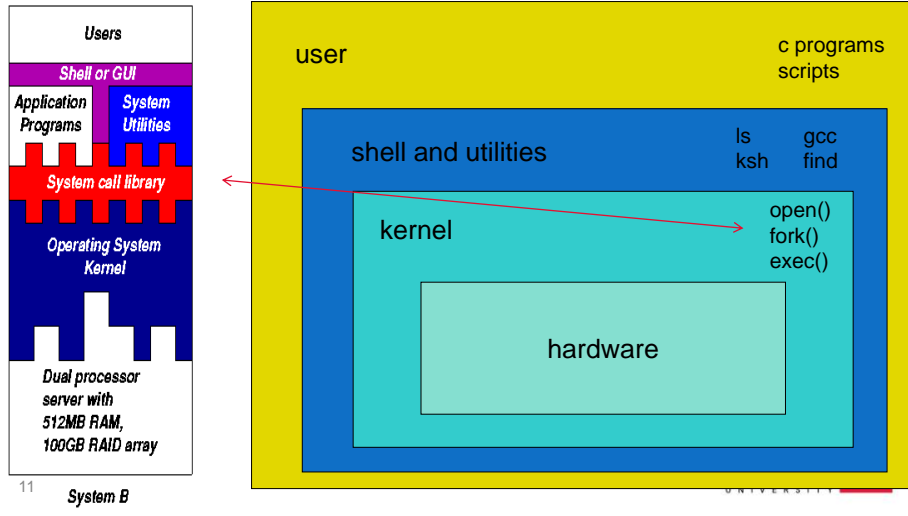


10

Unix System Structure

For your information

Unix is a popular operating system. It is most commonly used in backend applications, on servers, powerful workstations, etc. One of the most well-designed operating systems of its time.

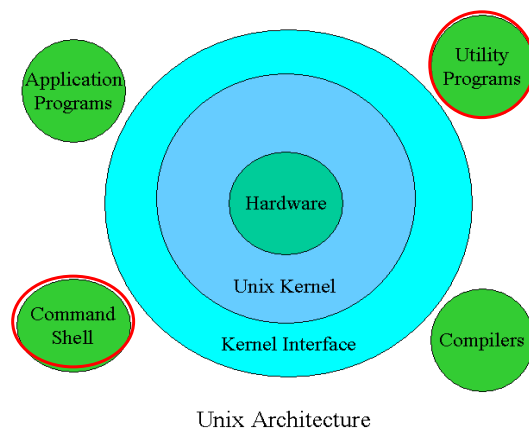


11

Unix System Structure

For your information

- Another picture



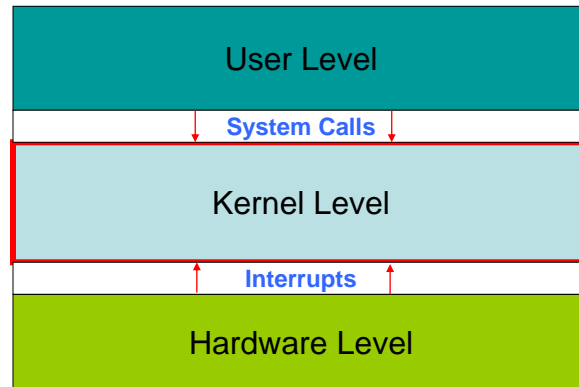
12

12

For your information

Kernel Interactions

- Processes access kernel facilities via [system calls](#)
- Peripherals communicate with the kernel via [hardware interrupts](#)



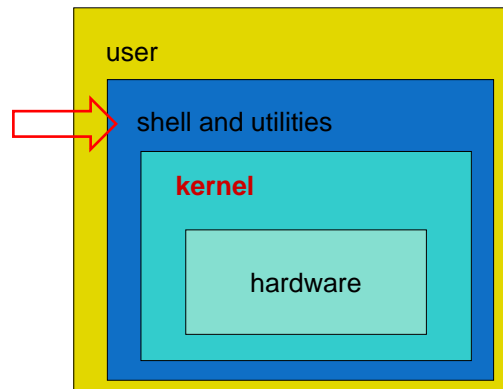
K&R Ch 8

13

13

Shell and Utilities

- The rest of the operating system
- [Focus of the Unix lectures of this course](#)

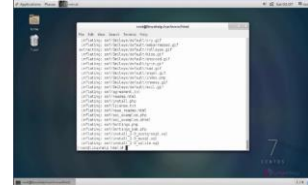


14

14

UNIX Shell (briefly)

- Shell is the (command line) **user interface** to the operating system
 - between you and the raw UNIX OS
 - when you log in, you interactively use the shell (old system)
- Functionality:
 - Execute other programs
 - Manage **files, processes** →



- **Command-line utilities\shell commands** e.g., **cd ls mkdir**
- **Scripting**
 - A set of shell commands that constitute an executable program: **a script**
 - Batch file **.bat** in Windows

```
date  
ls  
.....
```



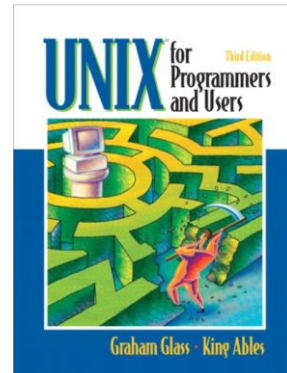
15

15

Contents

- Overview of UNIX
 - Structures
 - **File systems**
 - **Pathname**
 - Security
 - Process:
 - Exit code
 - Pipes
- Utilities/commands
 - Basic
 - Advanced
- Shell and shell scripting language

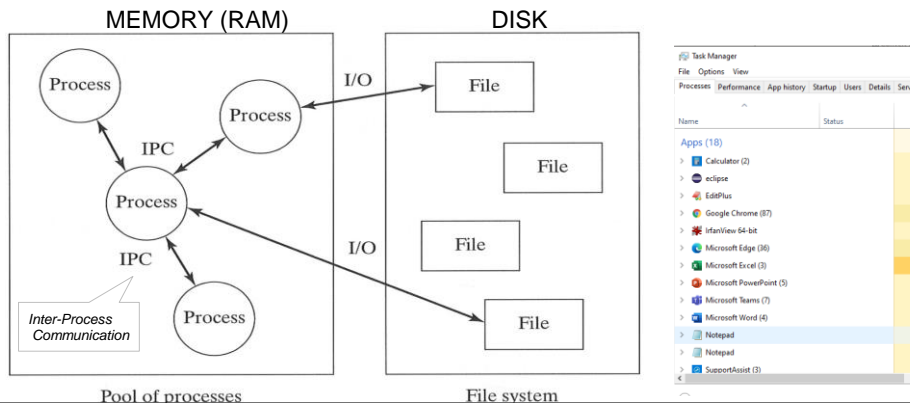
today



16

Files and Processes

- A **file** is a **collection of data** that is usually stored on **DISK**
- When a program is invoked, it is **loaded from DISK into MEMORY**. When a program is running (in **MEMORY**), it is called a **process**.
- Most processes read and write data from files.

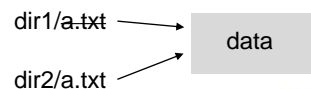


17

Unix File System

- **Files** are just sequences of bytes
- **Directories** are lists of files and other (sub)directories, along with their status:
 - creation date
 - permissions, etc.
- Each directory entry **links (points) to** a file on the disk
 - **moving a file does not actually move any data around.**
 - creates link in new location
 - deletes link in old location

Try to move (cut+paste) a 3G movie, see how quick it is



18

18

File Pathnames

- Two files in different directories can have the same name. We need **pathnames** to differentiate between files with the same names located in different directories.

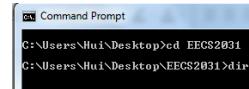
- A **pathname** is a sequence of directory names that leads you through the hierarchy **from a starting directory to a target file**.

- Directory names separated by /

```
gcc /cs/dept/course/2020-21/S/2031A/submit/lab3/eecs12345/lab3A.c
```

```
cat /home/tim/readme.txt
```

- Absolute** or **Relative**



Windows uses \



20

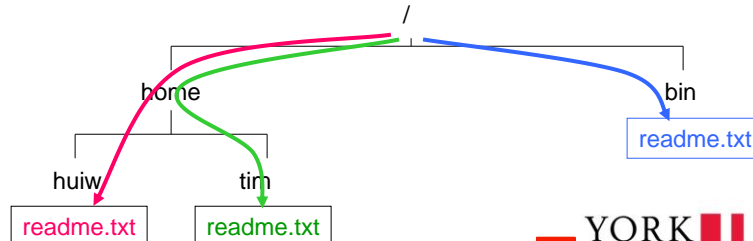
File: **Absolute** Pathnames

- A pathname starts from the **root** directory of file system is often termed an **absolute**, or **full** pathname. / c:\
- Valid from anywhere.

```
cat /home/huiw/readme.txt    ~/readme.txt
```

```
cat /home/tim/readme.txt
```

```
cat /bin/readme.txt
```



21

From anywhere, `cat /home/tim/readme.txt`



21

File: **Relative** Pathnames

- A process may also **unambiguously** specify a file by using a pathname **relative** to its current working directory.
- UNIX file system supports the following **special fields** that may be used when supplying a **relative** pathname:

Field	Meaning
.	current directory
..	parent directory

Same in
DOS

```
cat ./input.txt    cat input.txt
gcc ./a1.c    or   gcc a1.c
22 ./a.out < ../input.txt
cd ..
```



22

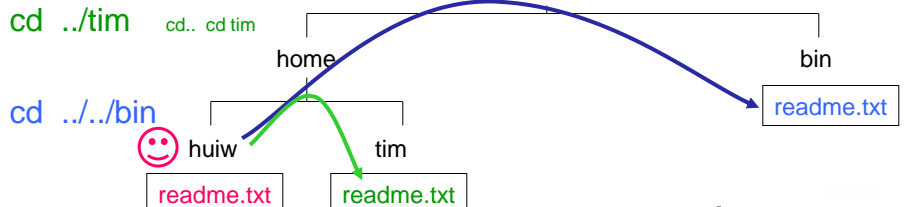
Relative Pathnames

- Relative Pathnames (from **/home/huiwang**)

```
cat readme.txt or cat ./readme.txt
cat ../tim/readme.txt    ../../home/tim/readme.txt    ../../tim/readme.txt
cat ../../bin/readme.txt
rm ../../bin/readme.txt
```



- Not changing dir
- Stay in huiw



23

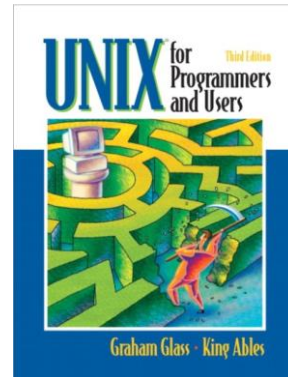
choose wisely `cd ../../../../../../lab1 ???`

23

Contents

- Overview of UNIX
 - Structures
 - File systems
 - Pathname
 - Security
 - Process:
 - Exit code
 - Pipes
- Utilities/commands
 - Basic
 - Advanced
- Shell and shell scripting language

today



File: Unix Security

- Processes and files have an **owner** and may be protected against unauthorized access.
- A set of users can form a **group**. A user can be a member of multiple groups.
- Each user has a **primary (default) group**.
 - Your primary group is 'ugrad'
 - also 'submit' and 'labtest'

```
red 302 % groups
grad submit faculty labtest
red 303 %
```

File and Directory Permissions

- UNIX provides a way to **protect files** based on users and groups.
 - Three types of permissions:
 - **read (r)** process may read contents of file
 - **write (w)** process may write contents of file
 - **execute (x)** process may execute file
 - Three sets/clusters of permissions:
 - permissions for **owner** -rwxr-xr--
 - permissions for **group** -rwxr-xr--
 - permissions for **other** -rwxr-xr--
- 26
- Same types and sets of permissions as for files apply to **directories**:
 - **read** process may read the directory contents (i.e., list files)
 - **write** process may add/remove files in the directory
 - **execute** process may open files in directory or subdirectories

26

File Permissions (Security)

- **File permissions** are the basis for **file security**. They are given in **three clusters**.

\$ **ls -l heart.txt**

- rw- r-x r-- 1 huiwang faculty 213 Jan 31 00:12 heart.txt

User (owner)	Group	Others
r w -	r - x	r - -

← clusters

Each cluster of three letters has the same format:

Read permission	Write permission	Execute permission
r	w	x

e.g., webfile: **others** need to have **r** permission
 submit dir: **group** need to have **w** permission

27

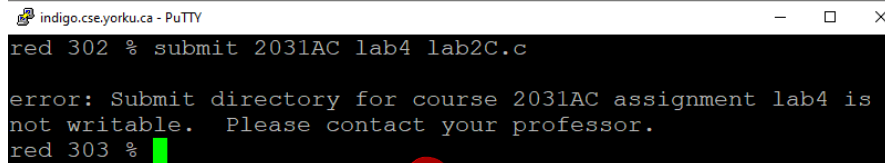
27

Permission examples

webfile: **others** must has **r** permission **-rwxr-x-wx**



submit directory: **group** must has **w** permission **-rwxr-xr--**



28 How to set/change permission?

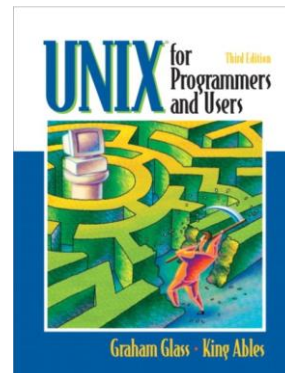


28

Contents

- Overview of UNIX
 - Structures
 - File systems
 - Pathname
 - Security
 - Process:
 - Exit code
 - Pipes
- Utilities/commands
 - Basic
 - Advanced
- Shell and shell scripting language

today



29

Processes

- Each command/utility involves a process
 - `ls`, `cd`, `pwd`, `gedit`, `gcc` ...
 - Unix can execute many processes simultaneously.
- When a process ends, there is a **return value** aka **exit code** associated with the process outcome
 - a non-negative integer. ≥ 0
 - 0 means **success**
 - anything** > 0 represents various kinds of **failure**
 - The return value is passed to the parent process
 - Stored in system variable **`$?`** (Usually used in shell script)

Opposite to C



```
sh-4.2$ pwd
/cv/home/huiwang
sh-4.2$ echo $?
0
sh-4.2$ date
Sat Mar 30 09:15:52 EDT 2019
sh-4.2$ echo $?
0
sh-4.2$
```



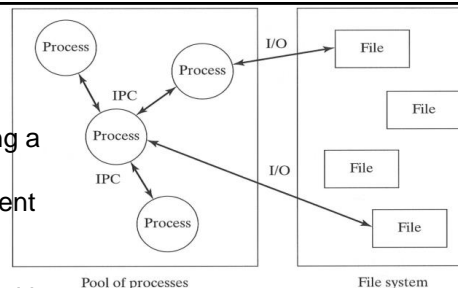
```
sh-4.2$ cd xxx
sh: cd: xxx: No such file or directory
sh-4.2$ echo $?
1
sh-4.2$ ls xxx
ls: cannot access xxx: No such file or directory
sh-4.2$ echo $?
2
sh-4.2$
```



30

Process: Communication

- Processes can communicate using a number of means:
 - passing arguments, environment
 - **read/write regular disk files**
 - **exit values** `$?`
 - inter-process communication with shared queues, memory and semaphores
 - signals
 - **pipes**
 - **sockets**



A **pipe** is a **one-way** medium-speed data channel that allows **two processes on the same machine** to talk.

- If the processes are on **different machines** connected by a network, then a mechanism called a **"socket"** may be used instead. A **socket** is a **two-way** high-speed data channel.

31

31

Socket communication (on different machine)

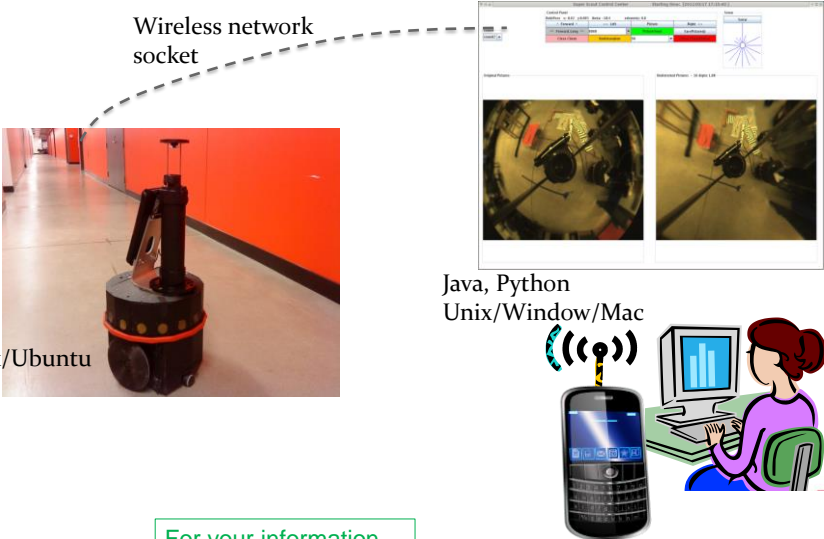
Wireless network socket

C
Unix/Ubuntu

Java, Python
Unix/Window/Mac

32

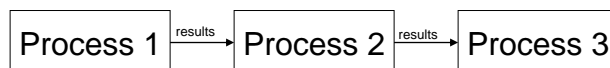
For your information



32

Process communication: Unix Pipes

- A special mechanism called a “pipe” built into the heart of UNIX to support cascading utilities.
- A pipe allows a user to specify that the output of one process is to be used as the input to another process.
- Two or more processes may be connected in this fashion, resulting in a “pipeline” of data flowing from the first process through to the last.

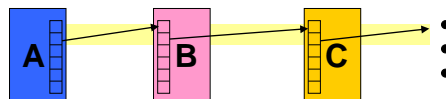
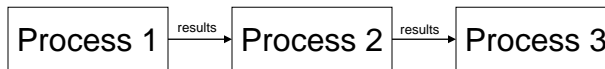


33

33

Process communication: Unix Pipes

- The nice thing about pipelines is that many problems can be solved by such an arrangement of processes.
- Each process in the pipeline performs a set of operations upon the data and then **passes the results** on to the next process for further processing.



34

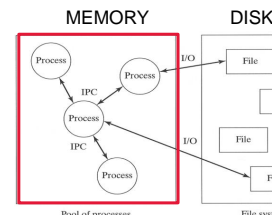
34

Pipeline Example

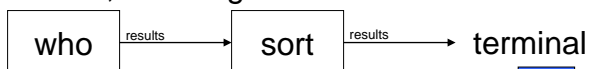
- A utility called **who** outputs an **unsorted list** of current users. Another utility called **sort** outputs a **sorted version of its input**.

\$ **who**

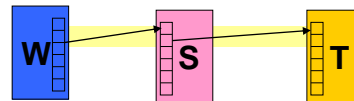
\$ **sort input.txt** or **sort < input.txt**



- These two utilities may be connected together with a “pipe” so that the output from **who** passes directly into **sort**, resulting in a sorted list of users. **|** does this job.



\$ **who | sort**

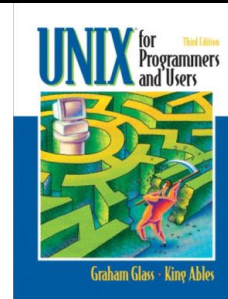


35

35

Contents

- Overview of UNIX
 - Structures
 - File systems
 - absolute vs relative pathname `.././input.txt`
 - security `-rwx--x--x`
 - Process:
 - has return value `0` (success) or `> 0` (something wrong)
 - communication: **pipes** `who | sort | ls | more`
 - Extra readings `today`
- Utilities/commands
 - Basic
 - advanced



- Shell and shell scripting language



38

Unix Versions (extra reading)

For your information

- UNIX is a fairly standard operating system, with two main versions that are slowly merging into one.
- UNIX was created in Bell Laboratories and evolved from that into what is currently known as "System V" UNIX.
- The university of California at Berkeley obtained a copy of UNIX early on in its development and spawned another major version, known as BSD (Berkeley Standard Distribution) UNIX.
- **UNIX international**
 - AT&T, Sun Microsystems, --> System V Release 4.
- **Open Software Foundation**
 - IBM, Digital Equipment Corporation, Hewlett-Packard --> BSD UNIX, called OSF/1.



39

39

(extra reading)

For your information

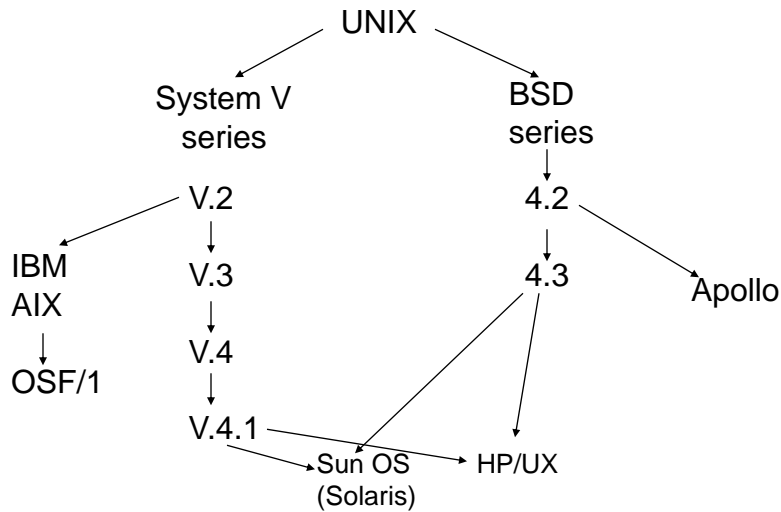


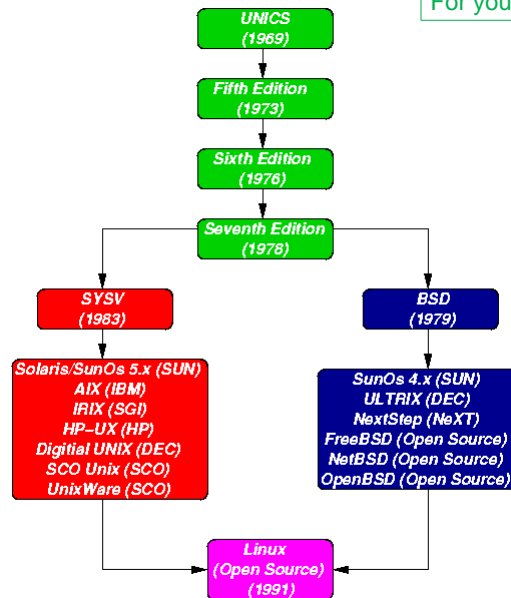
FIGURE 1.6 An abbreviated genealogy of UNIX

40

40

(extra reading)

For your information



41

Come to my office
for a very detailed
poster

41

(extra reading)

For your information

Unix Standards

- Both groups tried to comply with a set of standards set by [the POSIX \(Portable Operating System Interface\)](#) committee
- Most of the best features of BSD UNIX have been rolled into most [System V-based versions of UNIX](#).
- UNIX is mostly [written in the C language](#), which makes it relatively [easy to port to different platforms](#).
- This feature is an important benefit and has contributed a great deal to [the proliferation and success of UNIX](#).

42



42

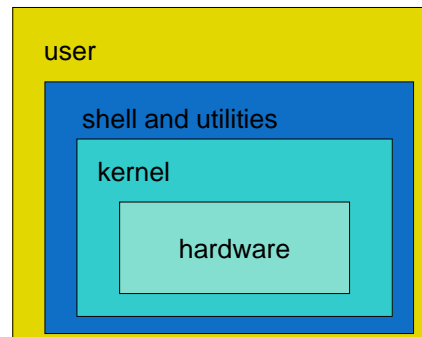
(extra reading)

For your information

Linux as an Unix-like OS

- Reimplementation. Adheres to the same POSIX standard as UNIX
 - Looks and acts alike
 - Debian, Fedora, Red Hat, Ubuntu, SuSE, etc.
- Kernel
- Shells and GUI
 - sh, bash, csh ...
 - KDE GNOME
- System utilities
 - ls, cp, wc, more, grep, awk, sed...
- Application programs
 - Emacs, gcc, xfig, latex, soffice

43



43

(extra reading)

For your information

What is the difference between Linux and Unix?

- Ans 1: Linux is a unix-like kernel. It is open source.
- Ans2: To put it very generically, Linux is an operating system kernel, and UNIX is a certification for operating systems. The UNIX standard evolved from the original Unix system developed at Bell Labs. After Unix System V, it ceased to be developed as a single operating system, and was instead developed by various competing companies, such as Solaris (from Sun Microsystems), AIX (from IBM), HP-UX (from Hewlett-Packard), and IRIX (from Silicon Graphics). UNIX is a specification for baseline interoperability between these systems, even though there are many major architectural differences between them. Linux has never been certified as being a version of UNIX, so it is described as being "Unix-like." A comprehensive list of differences between Linux and "UNIX" isn't possible, because there are several completely different "UNIX" systems.

44



44

(extra reading)

For your information

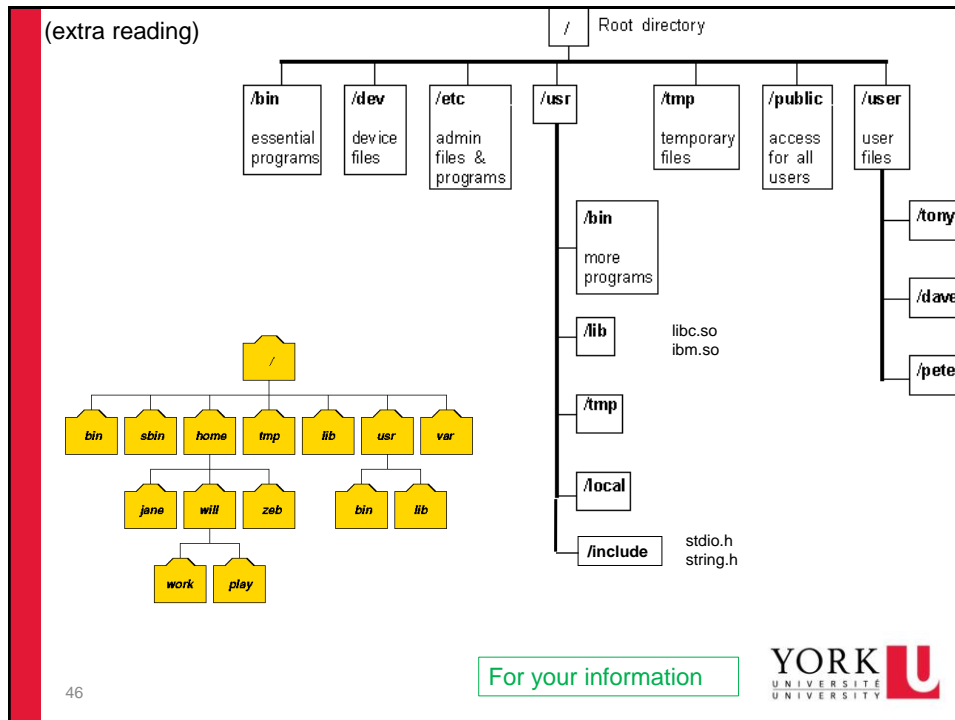
Unix file systems

- Unix expands the usual definition of file to include anything from which data can be taken, sent., including io devices (kb, printer,)
 - In Unix, "Everything is a file"
- Four kinds of files
 - **Ordinary files** (regular files) hold info text/ binary files
 - **Special files** – (device files). Representing physical devices, keyboards, terminals, printers and other peripherals.
 - **Directory files (directories)**. Hold other files and directories
 - **Link (pointer) to files** hardlink, softlink

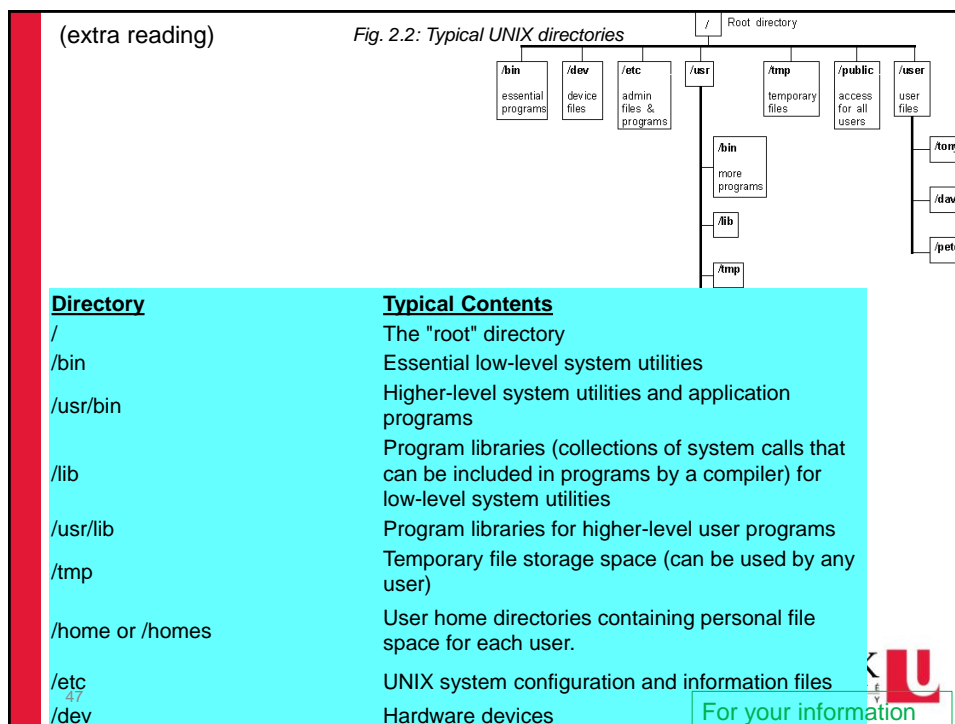
45



45



46



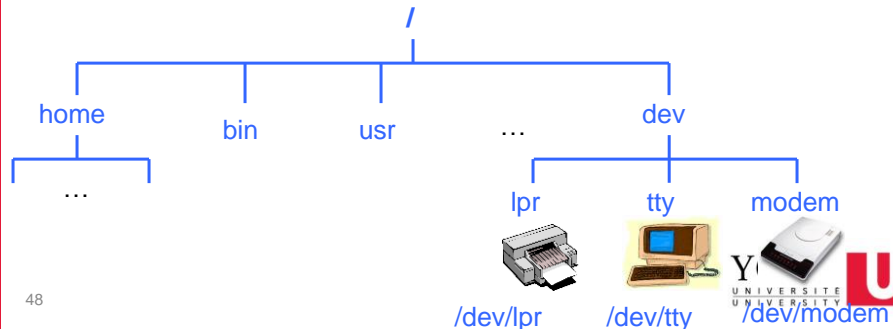
47

(extra reading)

For your information

Devices as Special Files

- Besides files, input and output can go from/to various hardware devices.
- Unix Philosophy: Treat these devices as **special files**!
- Terminals, printers, and other devices are **accessible in the same way as disk-based files**.



48

(extra reading)

For your information

Links

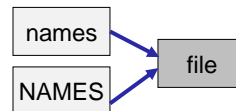
- A **link** is a pointer to a file.
- In fact, in UNIX *all* filenames (directory entry) are just links to a file. Most files only have one link.

```
-rw-r--r--  1 jbond  cs      154 Feb  4 15:00 letter3
-rw-r--r--  1 jbond  cs        64 Feb  4 15:00 names
drwxr-xr-x  1 jbond  cs     512 Feb  4 15:00 secret/
```

- Additional links to a file allow the file to be shared.
- The **ln** command creates new links.

```
$ ln names NAMES
$ ls -l
total 8
-rw-r--r--  2 jbond  cs        64 Feb  6 18:36 NAMES
-rw-r--r--  1 jbond  cs     154 Feb  4 15:00 letter3
-rw-r--r--  2 jbond  cs        64 Feb  4 15:00 names
drwxr-xr-x  1 jbond  cs     512 Feb  4 15:00 secret/
```

Hard link



49

49

Unix Utilities

- Standard UNIX comes complete with **at least 200 small utility programs**, usually including:
 - shells,
 - editors,
 - a C compiler,
 - matching with regular expressions,
 - searching utilities,
 - sorting utilities,
 - software development tools,
 - text-processing tools, etc.

51



51

Heads-up of utilities

indigo.cse.yorku.ca - PuTTY

```
red 310 % date
Thu Nov 12 14:15:29 EST 2020
red 311 % cal 2020
```

2020

January							February							March							
Su	Mo	Tu	We	Th	Fr	Sa	Su	Mo	Tu	We	Th	Fr	Sa	Su	Mo	Tu	We	Th	Fr	Sa	
			1	2	3	4							1		1	2	3	4	5	6	7
5	6	7	8	9	10	11	2	3	4	5	6	7	8	8	9	10	11	12	13	14	
12	13	14	15	16	17	18	9	10	11	12	13	14	15	15	16	17	18	19	20	21	
19	20	21	22	23	24	25	16	17	18	19	20	21	22	22	23	24	25	26	27	28	
26	27	28	29	30	31		23	24	25	26	27	28	29	29	30	31					

April							May							June						
Su	Mo	Tu	We	Th	Fr	Sa	Su	Mo	Tu	We	Th	Fr	Sa	Su	Mo	Tu	We	Th	Fr	Sa
			1	2	3	4							1	2	1	2	3	4	5	6
5	6	7	8	9	10	11	3	4	5	6	7	8	9	7	8	9	10	11	12	13
12	13	14	15	16	17	18	10	11	12	13	14	15	16	14	15	16	17	18	19	20
19	20	21	22	23	24	25	17	18	19	20	21	22	23	21	22	23	24	25	26	27
26	27	28	29	30			24	25	26	27	28	29	30	28	29	30				

July							August							September						
Su	Mo	Tu	We	Th	Fr	Sa	Su	Mo	Tu	We	Th	Fr	Sa	Su	Mo	Tu	We	Th	Fr	Sa
			1	2	3	4							1		1	2	3	4	5	
5	6	7	8	9	10	11	2	3	4	5	6	7	8	6	7	8	9	10	11	12
12	13	14	15	16	17	18	9	10	11	12	13	14	15	13	14	15	16	17	18	19
19	20	21	22	23	24	25	16	17	18	19	20	21	22	20	21	22	23	24	25	26
26	27	28	29	30	31		23	24	25	26	27	28	29	27	28	29	30			

October							November							December						
Su	Mo	Tu	We	Th	Fr	Sa	Su	Mo	Tu	We	Th	Fr	Sa	Su	Mo	Tu	We	Th	Fr	Sa
			1	2	3								1		1	2	3	4	5	
4	5	6	7	8	9	10	8	9	10	11	12	13	14	6	7	8	9	10	11	12
11	12	13	14	15	16	17	15	16	17	18	19	20	21	13	14	15	16	17	18	19
18	19	20	21	22	23	24	22	23	24	25	26	27	28	20	21	22	23	24	25	26
25	26	27	28	29	30	31	29	30						27	28	29	30	31		

indigo.cse.yorku.ca - PuTTY

```
red 316 % cal 2 2020
February 2020
Su Mo Tu We Th Fr Sa
1
2 3 4 5 6 7 8
9 10 11 12 13 14 15
16 17 18 19 20 21 22
23 24 25 26 27 28 29

red 317 % cal 6 1997
June 1997
Su Mo Tu We Th Fr Sa
1 2 3 4 5 6 7
8 9 10 11 12 13 14
15 16 17 18 19 20 21
22 23 24 25 26 27 28
29 30

red 318 %
```

52

Basic utilities/commands

Introduces the following command-line utilities, listed in alphabetical order:

cancel	head	mv
cat	less	newgrp
chgrp	lp	page
chmod	lpr	passwd
chown	lprm	pwd
clear	lpq	rm
cp	lpstat	rmdir
date	ls	stty
file	mail	tail
groups	man	tset
	mkdir	wc
	more	

55



55

Basic utilities/commands

Introduces the following utilities, listed in groups:

General

man
clear
echo
date

Directory

pwd
ls
cd
mkdir
rmdir

File

cat
more less
head tail
cp
mv
rm
wc
file
chmod
chgrp
newgrp
chown

File print

lp
lpr
lprm
lpq
lpstat

56



56

Running a utility/command

- To run a utility, simply enter its name at the prompt and press the Enter key.

- Up/down arrow for history
- Tab key for auto complete



```
$ date          # run the utility.  
Sun Jul 14 20:10:42 EDT 2019  
$_
```

57



57

clear

- clears your screen

`cls` in DOS

echo

- print statement in UNIX/LINUX

- echo \$?
- echo hello

```
sh-4.2$ echo hello  
hello  
sh-4.2$
```

Same in DOS



```
C:\windows\system32\cmd.exe  
C:\Users\huiwang\Desktop>echo hello  
hello  
C:\Users\huiwang\Desktop>echo we are good  
we are good  
C:\Users\huiwang\Desktop>_
```



58

58

man: online help

- All UNIX systems have a utility called **man** (short for **manual page**) that puts this information at your fingertips.
- The manual pages are **on-line copies of the original UNIX documentation**, which is usually divided into eight sections. They contain information about **utilities**, **system calls**, **file formats**, and **shells**.

man [section] word
man -k keyword

- The first usage of **man** **displays the manual entry** associated with **word**. If no section number is specified, the first entry that it finds is displayed.
- The second usage of **man** **displays a list of all the manual entries** that contain **keyword**.

59

59

Organization of the manual pages

- The typical division of topics in manual pages (**sections**) is as follows:

1. Commands and Application Programs.

2. System Calls

3. C Library Functions

4. Special Files

5. File Formats

6. Games

7. Miscellaneous

8. System Administration Utilities

% **man 1 date**

% **man 3 strlen**

60

% **man man**

```
MANUAL SECTIONS
The standard sections of the manual include:

1    User Commands
2    System Calls
3    C Library Functions
4    Devices and Special Files
5    File Formats and Conventions
6    Games et. Al.
7    Miscellaneous
8    System Administration tools and Deamons
```

60