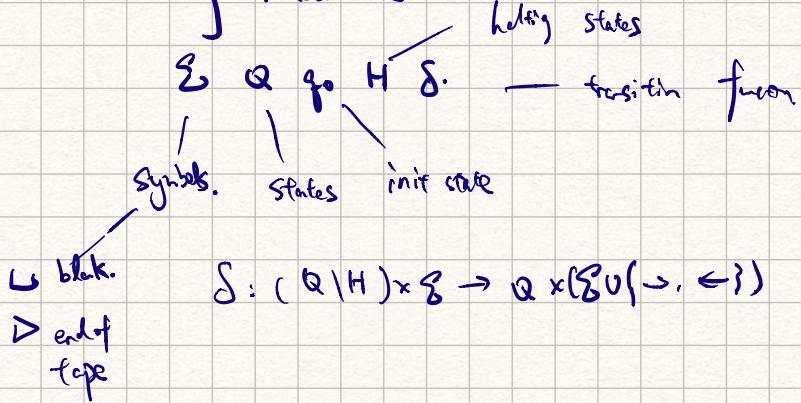


Turing Machine



decidable / recognisable

Formal Logique.

give alphabets Σ .

formal language $L = \Sigma^*$

if $x_L(x) = \begin{cases} 1 & \text{if } x \in L \\ 0 & \text{otherwise} \end{cases}$

M accepts input $x \in \Sigma^*$ if halts in set Yes No.
haltig state

M rejects x if it halts in N.

M decides L if When $x \in L$, M acc x.
 $x \notin L$ M rej. x.

M recognise L if When $x \in L$, M halts
 $x \notin L$, M doesn't halt

Decidable = Recursive = Computable.

Recognisable = Recursively Enumerable = Computationally Enumerable.

The Chomsky Hierarchy.

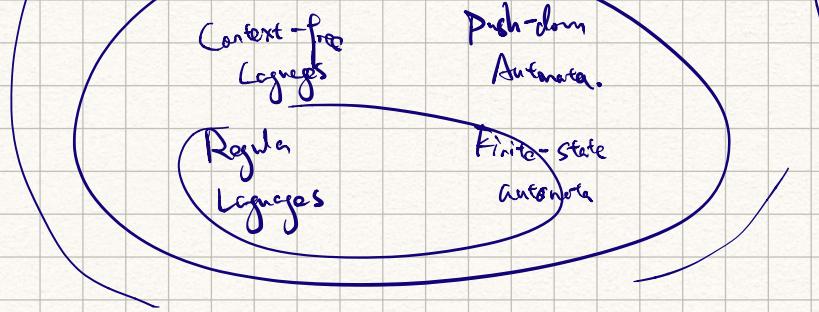
Recognisable

TM.

Logique

Context Sensitive
Logique

Linear-banded
Automea



Church-Turing Thesis.

Computable \Leftrightarrow Computable
By a TM.

TM is equivalent in computability to

- "enhanced" TM.
- register machines
- Python, Java, C.
- classical computers
- quantum computers

Turing Machine with multiple tapes = TM.

only difference lies in δ transition.

$$\delta: (Q \cup H) \times \underbrace{\Sigma^k}_{\Sigma} \rightarrow Q \times (\Sigma \cup \{ \rightarrow, \leftarrow \})^k$$

Turing machine with two way infinite tapes = TM

BUILD A M with two one-way infinite tapes

Non-deterministic TM.

$$\delta: (Q \cup H) \times \Sigma \times Q \times (\Sigma \cup \{ \rightarrow, \leftarrow \})$$

= TM.

Closure properties.

L^- of L is Σ^* / L .

$L_1, L_2 = \{ yz \mid y \in L_1 \text{ and } z \in L_2 \}$

Decidable Languages are closure

under

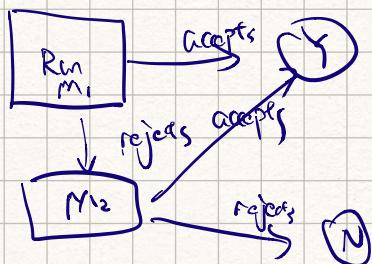
Complementatn. 补集.

M decides L if $x \in L$ accepts x ,
 $x \notin L$ rejects x

Y N
reverse.
reverse

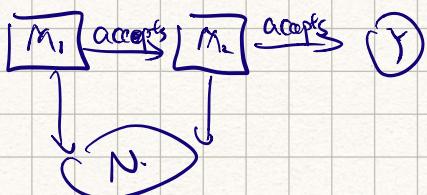
Closure under union.

$L_1 \cup L_2$ is also decidable.



Closure under intersection.

$L_1 \cap L_2$.



Closure under concatenation

$L_1 L_2$

Recognizable L Closure

under concat.
intersection
union

Not complementatn. (could loop forever
for L^-)

Register Machines

Turing machine only access sequentially on tapes.

Now introduce a computation model
- (Unlimited register machines) URM

URM

- infinite registers

R_1, \dots, R_n

- each stores a natural number r_i .

URM compute functions $N^k \rightarrow N$.

If computation halts, output r_i .

URM 4 types of instructions.

- Zero(i) set $R_i \rightarrow 0$
- Successor(i) $+1 \rightarrow R_i$.
- Move(i, m) $R_m = R_i$.
- Jump(a, m, p) Program.
go to I_p when $R_a = R_m$.

Computing with URM.

$$D = I_1 \cdots I_j$$

Eg. with TM.

TM simulates URM.

Tape 1 program counter.

Tape 2 code.

Tape 3 each register, separated by \sqcup

Tape 4-6 cache

1. tape 1 finds next instruction in tape 2

2. Execute inst.

3. Repeat

Each instruction but Jump modifies tape 3.

We need to use the auxiliary tapes

URM simulates TM.

$$\Sigma = \{0, 1, \Delta, U\}.$$

encode them

code(Δ) = 0
code(U) = 1.
code(0) = 2
code(1) = 3

Store the contents as base-4 numbers

$$\begin{array}{cccccc} 1 & \times 4^0 + 0 & \times 4^1 + 2 & \times 4^2 + 0 & \times 4^3 + 3 & \times 4^4 \\ \Delta & U & 0 & U & '1 & \end{array}$$

Set up registers in fractions of M.

Q-IN _i	σ -IN _i	Q-OUT _i	σ -OUT _i
q _{in}	σ_{in}	q _{out}	σ_{out}

OTHER registers

TAPE	HEAP-POS
STM	
STATE	

A WHILE language.

high-level language == TM / URM

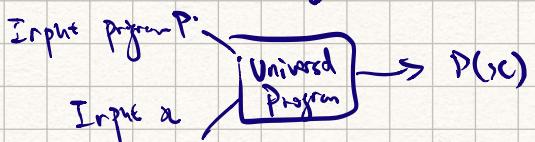
Syntax

$x := 3$.
while $X=Y$ do PROGRAM 1; PROGRAM 2;

$$Z = X + Y$$

= TM

Universal Turing Machine



Generalisation, interpret the program itself
encode

UTM $y(M, x) = M(x)$

Encoding Turing Machine

$Q \Rightarrow q_0 \dots q_n$

$\Sigma \quad \sigma_0 = \cup \quad \sigma_1 = \triangleright \quad \sigma_2 = \rightarrow \quad \sigma_3 = \leftarrow$

$\sigma_4, \sigma_5 \leftarrow \text{---}$

encode them as numbers of 1s

$t = \langle q_i, \sigma_n, q_j, \sigma_m \rangle$

$\text{Code}(t) = \text{code}(q_i) \ 0 \ \text{code}(\sigma_n) \ \dots$

$\text{code}(\sigma) = \text{code}(t_1) \ 0 \ (\text{code}(t_2) \ \dots)$

It's possible TM same type of jobs
different coding
(state difference)

Nonetheless coding 1-1.

two machines different string!

strg $\{s_0, i\}$ decide if it is some code of TM
decide!

UTM.

Tape1. tape of M

Tape2. $\text{code}(M)$. \leftarrow input of M

Tape3. current state

Prep.

1. figure out 

2. $\text{code}(M)$ on top \triangleright .

3. $\triangleright \sqcup$ $\text{code}(x)$ on tape1..
 \uparrow

4. $\frac{q_0}{\uparrow}$ tape3

Search M for a type where first matches tape3 strg.

found! update tape1. with new value.
Second matches. tape1 symbol.

and its position.

tape } update state.

if M reached a halt state halt

UTM can bootstrap!

Limit of TM

cannot solve by a well-defined procedure

Not by a C++ / Python program

Decided by a TM

~ Solvable

only recognized by a TM

~ Unsolvable
semi-decidable

Not even recognized

~ unsolvable

The halting problem

is recognizable.

UTM, $M(x)$.



enumerate over M .

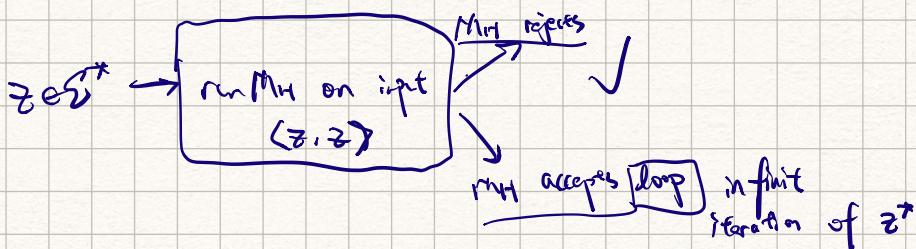
if $M(x)$ halts, then accept

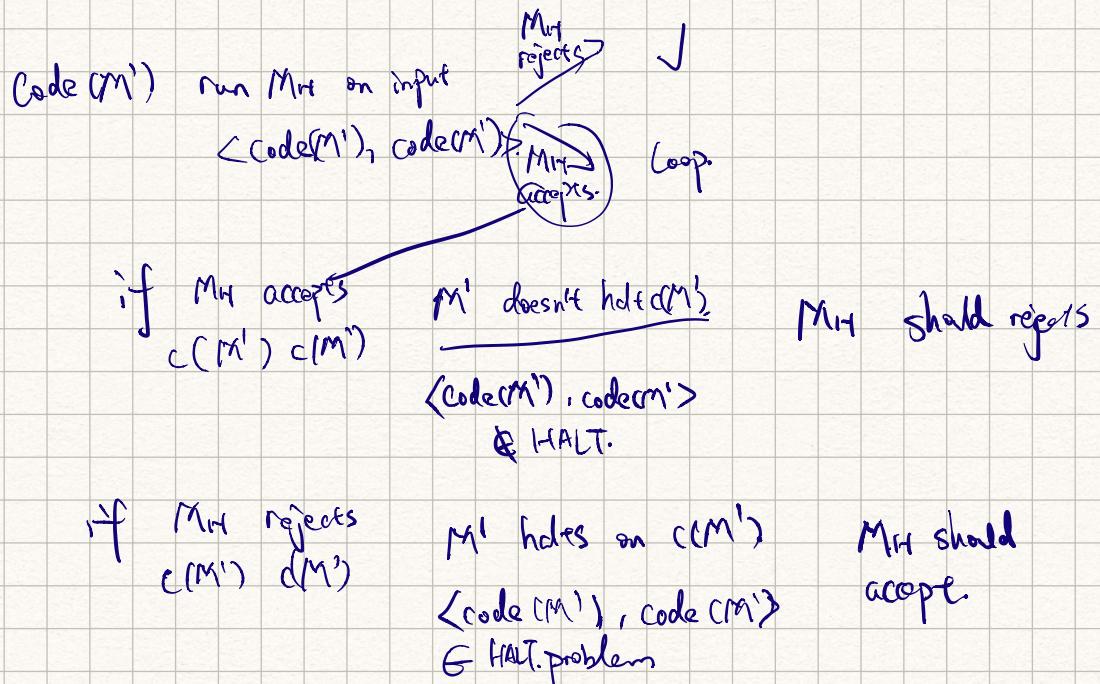
Proof. The halting problem.

Now we are able to define a new TM called M_H .

$(\text{Code } (M, x) \rightarrow M_H \xrightarrow{M \text{ halts on } x} \text{accept}$

$\xrightarrow{x} M \text{ does not halt}$





M'_H doesn't exist

Unrecognizable problem

HALT

Observation |

if L, L' recognizable L is decidable

HALT is recognizable but not decidable.

↑
not closed under complementation

If we recognize L , then we would decide L' .

As L' is undecidable, then we cannot recognize L .

i.e. if $\dots \text{HALT}, \dots \text{---} \text{HALT}$

$\text{HALT} \text{ --- --- --- } \text{HALT}$

Empty tape halting problem

if M_{ETH} exists

MH

on input (y, x) .

if $y \notin \text{code}(M)$ for all M reject.

if $y \in \text{code}(M)$, construct $M_{\text{sim}}(x)$

it enters a loop on any $\$$.

it writes x on tape and
simulate M on x .

use M_{MH} on M_{sim}

mapping-reduction. if a TM converts $x \in L' \rightarrow f(x) \in L$. $L' \leq L$.

$L' \leq L$ and L is decidable. L' is decidable.

$L' \leq L$ and L' is undec. — L is undecidable

if L is decidable L' is not $L' \not\leq L$

Hierarchy of problems.

... Decidable

HALT Undecidable / Recognisable.

HALT Not recognisable / but complement recognisable.

$L \in Q$. Not recognisable complement dec

$\text{HALT} \leq \text{EQ}$
 $\text{HALT} \not\geq \$. \text{HALT}^-$

Decidable.

Other reducibility.

Turing reducibility.

An oracle for L is an answer for $x \in L$?

L' is many reducible to L if we
can decide L' given L 's oracle

mapping reducibility \rightarrow Turing reducibility.

TM language property. P is a function from a set of TMs $\rightarrow \{0, 1\}$.

Property is non-trivial if $T^M(m) \rightarrow P(M)=1$.
 $T^M(m') \rightarrow P(M')=0$

If P is a non-trivial language property, Does M have P is undecidable.

Language Properties

Structural properties M has 13 states.
typically decidable

Cantor's diagonalisation argument

Countably infinite if $\exists f: \mathbb{N} \rightarrow S$
 \downarrow
Set of all Turing machines
recursively enumerable languages

Countably infinite

Uncountably infinite problems that are unrecognisable

Definition 1. tractable problem $O(n^k)$
 p -the algorithm

Definition 2 decision problem $\rightarrow \{0, 1\}$

Polytime reduction.

Definiton 3

A, B problem coded in string with Σ .
M is a deterministic TM.

give an instance of problem A.

$f_M(w)$ exists.

w is a yes/no instance of A

$f_M(w)$ is a yes/no instance of B

A reduces to B

if M terminates in time $O(n^k)$ for some fixed k.
 $n = |w|$. A \rightarrow B in p-time.

$A \leq_p B$ A is no harder than B

Properties of p-time reduction

Reflexive $A \leq_p A$

Transitive $A \leq_p B \leq_p C$.

$A \leq_p C$

Symmetry X.

$A \leq_p B$
 $B \leq_p A$

Example Hamilton Circuit Problem.

HCP \leq_p TSP?

✓

$A \equiv_p B$

G contains a HC.

Make G' , if (m,n) is a edge of G. $G'(m,n) = 1$
if (m,n) is not ... $G'(m,n) = 0$.

(G', σ) is an instance of TSP

Roughly. an dfa solves TSDP \rightarrow solve HCP
 no fast dfa for HCP \rightarrow no fast dfa for TSDP

HPP
 \downarrow
 Path.
 $\text{HCP} \leq_p \text{HPP}$

$\text{HCP} \leq_p \text{DfCP}$
 \downarrow
 $\text{DfCP} \leq_p \text{HCP}$.

P-time equivalence.

$$A \leq_p B \text{ and } B \leq_p A \quad A \equiv_p B.$$

$$\begin{array}{lll} A \equiv_p A & A \equiv_p B \equiv_p C & A \equiv_p B \\ & A \equiv_p C. & B \equiv_p A. \end{array}$$

Complexity class P Problem A is in P if \exists a DTM M that
 M accepts all yes-instances of A.
 rejects all no \sim A
 runs in P-time

9. Non-deterministic TM.

A state can have multiple transitions to next.

- * M accepts w $\in S^*$ if some run halts and succeeds
- * M rejects w if every run halts and fails
- * otherwise fm is undefined on w.

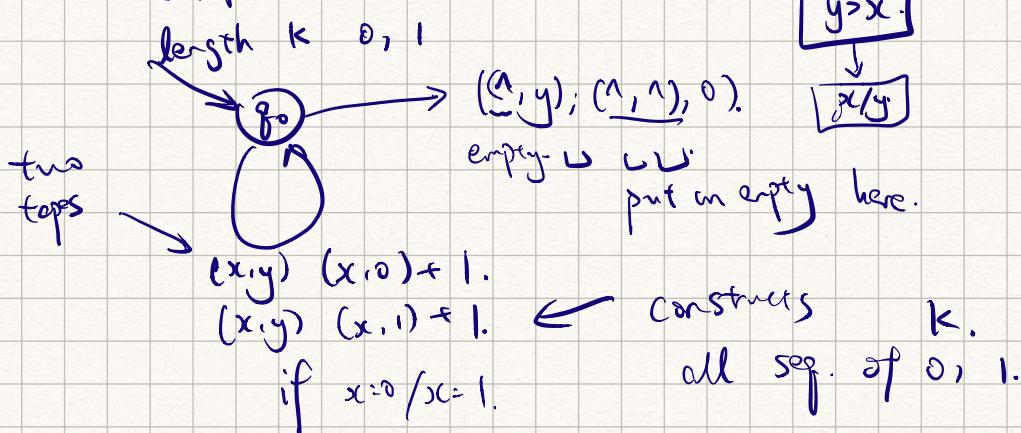
a deterministic TM is a specific DTM where choice ≤ 1.

runtime of a non-deterministic TM.

$$t_M(n) = \begin{cases} \text{max runtime of } M_1 \text{ on any input size } \leq n \\ \infty, \text{ no run} \end{cases}$$

The class NP,
non-deterministic TM in P time
 $O(n^k)$ for a fixed k

Example.



then test if $y < x$ \leftarrow input.

arbitrary string

then test division \swarrow length of input.

The longest possible run is $O(k^2)$

Another Example. HCP belongs to NP.

HCP (V, E)

— pick $v \in V$

path = $[v]$

while $|path| < |V|$.

pick $w \in V \setminus path$, $(w, \text{head}(path)) \in E$.

path = $(w : path)$.

}

If $(v, \text{head}(path)) \in E$, then accept else reject
max runtime $|V|! \rightarrow HCP \in NP$.

Determinism vs. Non-DTMs.

BFS to iterate through non-deterministic TM.

+
↑
steps

then d^t steps for a deterministic TM

P=NP decision problem solved in polytime by a nond. TM.
also can be solved in polytime by
a DTM

If not P ≠ NP.

Complementation.

$\bar{A} \in A$.

yes / no instance reverse.

If $A \in P$ then $\bar{A} \in P$

Definition 5. $A \in \text{co-K} \Leftrightarrow \bar{A} \in K$. $\bar{A} \in K \Leftrightarrow \bar{\bar{A}} \in \text{co-K}$.

↑
complement class.

Validity problem for prop. logic is co-NP

To test if ϕ FAILS to be valid. is NP

- class P is minimal with ordering \leq_P

If $A \in P$ then $A \leq_P B$

- If $B \in NP$ and $A \leq_P B$ then $A \in NP$

let TM T be a $A \rightarrow B$.

TM M be a nond. TM for B.

T|M solves A.

instance of $A - n$

$$T \sim p(n)$$

$$T: M \rightarrow g(p(n)).$$

total time $p(n) + g(p(n))$ still polynomial

$T: M$ is a non-D TMs. Thus $A \in NP$

NP -Complete.

- hardest problems in NP

$$\underline{A \in NP \text{ and } \forall B \in NP \quad B \leq_p A.}$$

If a decision problem only satisfies

$$\forall B \in NP \quad B \leq_p A. \text{ it's NP-hard.}$$

$$NPC = NP \cap \text{NP-hard.}$$

All NPC problems are p-time equivalent to each other

$$\begin{array}{ccc} A \leq_p B & \xleftarrow{\text{NP problem}} & \text{vice versa.} \\ \uparrow & & \\ \text{P/P problem.} & & \\ A \equiv_p B. & & \end{array}$$

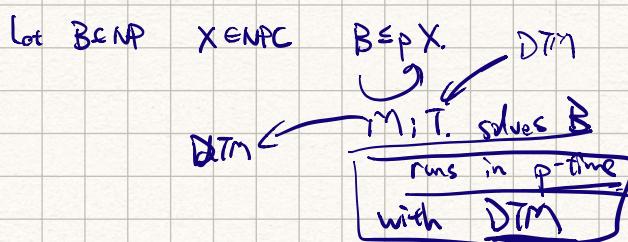
if $P = NP$ NP problems are P-time equivalent.

then A, B are both $\in P$

$A \rightarrow$ p-reduce
use p-time yes/no?

if yes. then. write yes \boxed{B}
no \boxed{B}
p-time

Ex. 11. Let T be a DTM that solve $X \in NPC$.



Hence $P = NP \Leftarrow B$ is \in NP

Proving NP-completeness

Assume PSAT \in NPC. to prove A \in NPC.

prove $A \in$ NP
and $PSAT \leq_p A$.

since $B \leq_p PSAT$ $\leq_p A$

all NP problems.

so $B \leq_p A$
any NP problem.

PSAT. → instance.
operator can be $\vee, \wedge, \Rightarrow$.
prop logic. ↓
 $\phi := p \text{ prop } | \neg \text{form } | \text{ form } \circ \text{ form}$
 ϕ is yes-instance if it's satisfiable.
no — — — not.

CNF-SAT.

↑
conjunctional Normal form.

n-SAT. a special case of CNF-SAT.

each CNF element is composed of n literals

$(p \vee \neg p \vee \neg q) \wedge (p \vee \neg q \vee \neg q)$ — — — nor instance.
3-SAT.

To show $PSAT \leq_p CNF\text{-SAT} \leq_p 3\text{-SAT} \leq_p HCP \leq_p TSP$

$PSAT \leq_p CNF\text{-SAT}$ High level: ϕ has a CNF f

1. eliminate \Rightarrow

2. replace $\neg \neg \phi \rightarrow \phi$

$\neg(\psi \vee \theta) \rightarrow \neg \psi \wedge \theta$ — .

The formula obtained is either literal.

Simple
certain
disjunctions

$$\phi = \alpha \vee \beta$$
$$h(\alpha) = \bigwedge_{i \leq n} C_i$$
$$h(\beta) = \bigcup_{j \leq m} G_j$$

CNF \leq_p 3-SAT