## 3. Principles of QM

### **Axiomatic principles**

**State vector axiom:** State vector at t is ket  $\psi(t)$ , or  $|\psi\rangle$ , bra state. **Probability axiom:** Given a system in state  $|\psi\rangle$ , a measurement will find it in state  $|\phi\rangle$  with probability amplitude  $\langle \phi | \psi \rangle$ .

Hermitian operator axiom: Physical observable is represented by a linear and Hermitian operator.

Measurement axiom: Measurement of a physical observable results in eigenvalue of observable. Observable  $\widehat{A}$ , we have  $\widehat{A}|a\rangle = a|a\rangle$ , where a is eigenvalue and  $|a\rangle$  is eigenvector. Measurement of the physical quantity represented by  $\widehat{A}$  collapses the state  $|\psi\rangle$  before measurement into an eigenstate  $|a\rangle$  of  $\widehat{A}$ .

Time evolution axiom:  $i\hbar \frac{\partial}{\partial t} |\psi(t)\rangle = \widehat{H} |\psi(t)\rangle$ , w/o consider x or p.

State vector is neither in position nor momentum space.

Basis vectors: 
$$|0\rangle = \begin{bmatrix} 0\\ 1\\ 0\\ 0 \end{bmatrix}$$
,  $|1\rangle = \begin{bmatrix} 0\\ 1\\ 0\\ 0 \end{bmatrix}$ ,  $|n\rangle = \begin{bmatrix} 0\\ 0\\ 1\\ 1 \end{bmatrix}$  (in  $n$ th pos).

**Linearity**: Because the SE is linear, given two states  $|\psi_1(t)\rangle$  and  $|\psi_2(t)\rangle$ ,  $|\psi(t)\rangle = c_1 |\psi_1(t)\rangle + c_2 |\psi_2(t)\rangle$  is also a sol. (c's are complex).

Properties of a vector space

**Dual vector space** 
$$c|\psi\rangle$$
 is mapped to  $c*\langle\psi|$ . Given a vector,  $|\psi\rangle=\left|\begin{array}{c} : \\ \alpha \\ : \end{array}\right|$  , the

dual vector is 
$$\langle \psi | = \begin{bmatrix} \cdots & \alpha^* & \cdots \end{bmatrix}$$
.

Dual basis vectors are 
$$\langle 0| = \begin{bmatrix} 1 & 0 & \cdots \end{bmatrix}, \cdots, \langle n| \begin{bmatrix} 0 & \cdots & 1 \end{bmatrix}$$
.

Inner product: 
$$\langle \phi | \psi \rangle = c$$
, where  $c$  is complex.

 $\langle \phi | \psi \rangle = \langle \psi | \phi \rangle^* \rightarrow \langle \psi | \psi \rangle$  is real, positive, and finite for a normalizable ket vector. Can choose  $\langle \psi | \psi \rangle = 1$ .  $\langle \psi_m | \psi_n \rangle = \delta_{mn}$ 

# Operators

A matrix operator  $\widehat{A}$  acting on a state vector  $|\psi\rangle$  transforms it into another state vector  $|\phi\rangle$ .  $\widehat{A}|\psi\rangle = |\phi\rangle$ . It is linear.

#### Properties of operators

## Hermitian conjugate (Hermitian adjoint) operator in the dual space

Hermitian adjoint operator  $\widehat{A}^{\dagger}$  acts on the dual vector  $\langle \psi |$  from the right as  $\langle \psi | \widehat{A}^{\dagger} \rangle$ , where  $\widehat{A}^{\dagger} = (\widehat{A})^{T*}$ .

$$(\widehat{A}|\psi\rangle)^{\dagger} = |\psi\rangle^{\dagger} \widehat{A}^{\dagger} = \langle\psi|\widehat{A}^{\dagger} \quad \langle\psi| = |\psi\rangle^{\dagger} \quad \langle\psi|^{\dagger} = |\psi\rangle \\ (\widehat{A}\widehat{B})^{\dagger} = (\widehat{A}\widehat{B})^{T*} = (\widehat{B}^T\widehat{A}^T)^* = \widehat{B}^{T*} \widehat{A}^{T*} = \widehat{B}^{\dagger} \widehat{A}^{\dagger}, \quad (c\widehat{A})^{\dagger} = c^* \widehat{A}^{\dagger}$$

Outer product operators:  $|\psi\rangle\langle\phi|$   $[|\psi\rangle\langle\phi|]\chi\rangle = |\psi\rangle\langle\phi|\chi\rangle$ 

Matrix elements of operators

$$\langle \phi | \widehat{A} | \psi \rangle$$
 (complex num)

Hermitian equiv to complex conj  $\langle \phi | \hat{A} | \psi \rangle^{\dagger} = \langle \psi | \hat{A}^{\dagger} | \phi \rangle = \langle \phi | \hat{A} | \psi \rangle^{*}$ 

**Hermitian operators**:  $\widehat{A}^{\dagger} = \widehat{A}$ , so given  $\widehat{A}|\phi\rangle$  in the vector space, we have  $\langle \psi | \widehat{A}^{\dagger} = \langle \phi | \widehat{A} \text{ in the dual vector space.} \rangle$ 

#### Matrix elements of a Hermitian operator

$$\langle \phi | \widehat{A} | \psi \rangle^{\dagger} = \langle \phi | \widehat{A} | \psi \rangle^{*} = \langle \psi | \widehat{A}^{\dagger} | \phi \rangle = \langle \psi | \widehat{A} | \phi \rangle$$

Hermitian operator, real expectation vals: 
$$\langle \psi | \widehat{A} | \phi \rangle^* = \langle \psi | \widehat{A} | \phi \rangle \equiv \langle \widehat{A} \rangle$$

Same result whether  $\widehat{A}$  acts to right or left:  $\langle \phi | \widehat{A} | \psi \rangle = \langle \phi | \widehat{A}^{\dagger} | \psi \rangle$ 

Eigenvals and eigenvecs of Hermitian operators:  $\widehat{A}|a_n\rangle = a_n|a_n\rangle$ 

Normalized eigvecs  $\langle a_m | a_n \rangle = \delta_{mn}$ . Gram-Schmidt, degenerate evec.

Completeness of eigenvector of a Hermitian operator Set  $|a_n\rangle$  is complete if  $\sum_n |\langle a_n | \psi \rangle|^2 = 1$ .  $\sum_n |a_n \rangle \langle a_n| = 1$  (identity operator) Continuous spectra of a Hermitian operator

Hermitian operator  $\widehat{A}$ ,  $\widehat{A}|a\rangle = a|a\rangle$ , where a is continuous.

$$\int da'\langle a'| \widehat{A}|a\rangle = a \int da'\langle a'|a\rangle = \int da'a'\langle a'|a\rangle \rightarrow \langle a'|a\rangle = \delta(a'-a)$$
 Continuous condition: 
$$\int da|a\rangle\langle a| = 1$$

#### Gram-Schmidt orthogonalization procedure

Eigval (like energy level) is n-fold degenerate: n states w same eigval. Orthogonal eigenstates ightarrow no degeneracy.

1. Normalize each state and define  $\alpha_i = \frac{\alpha_i}{\sqrt{\langle a_i | a_i \rangle}}$ . 2.  $|\alpha_1' \rangle = |\alpha_1 \rangle$ .

3. 
$$|\alpha_2'\rangle = \frac{|\alpha_2\rangle - |\alpha_1\rangle\langle\alpha_1|\alpha_2\rangle}{\sqrt{\langle\alpha_2|\alpha_2\rangle - \langle\alpha_1|\alpha_2\rangle\langle\alpha_2|\alpha_1\rangle}} = \frac{\sqrt{\langle\alpha_1|\alpha_1\rangle}}{\sqrt{1 - \langle\alpha_1|\alpha_2\rangle\langle\alpha_2|\alpha_1\rangle}}$$

4. Subtract components of  $|\alpha_3\rangle$  along  $|\alpha_1\rangle$  and  $|\alpha_2\rangle$ ,

 $|\alpha_3\rangle - |\alpha_1\rangle\langle\alpha_1|\alpha_3\rangle - |\alpha_2\rangle\langle\alpha_2|\alpha_3\rangle$ , normalize and promote to  $|\alpha_3'\rangle$ . ... Position and momentum representation

State vector  $|\psi(t)\rangle$  in position space (scalar):  $\langle \vec{r}|\psi(x,t)\rangle \equiv \psi(\vec{r},t)$  $\langle \psi | \hat{\vec{p}} | \psi \rangle = \frac{\mathrm{d}}{\mathrm{d}t} \langle \psi | \hat{\vec{r}} | \psi \rangle m$ 

Representation of momentum operator in position space:  $\hat{\vec{p}} = -i\hbar \vec{\nabla} \cdot \langle x|\hat{p}|x'\rangle = -i\hbar \frac{\partial}{\partial x} \delta(x-x') = -i\hbar \frac{\partial}{\partial x} \langle x|x'\rangle.$  $\widehat{p} = -i\hbar \frac{\partial}{\partial x}$  is Hermitian,  $\frac{\partial}{\partial x}$  is not.

$$\langle x|\widehat{p}|p\rangle = p\langle x|p\rangle = -i\hbar \frac{\partial}{\partial x}\langle x|p\rangle. \text{ The solution is } \langle x|p\rangle = \frac{1}{\sqrt{2\pi\hbar}}e^{\frac{i}{\hbar}px}.$$

In 3D, 
$$\langle \vec{r} | \vec{p} \rangle = \frac{1}{(2\pi\hbar)^{3/2}} e^{\frac{i}{\hbar} \vec{p} \vec{r}}$$
.

We can write the normalized wavefunction of definite position in momentum

space,  $\langle p|x\rangle=\langle x|p\rangle^*$ . So,  $\langle p|x\rangle=\frac{1}{\sqrt{2\pi\hbar}}e^{-\frac{i}{\hbar}px}$  (particle moving to the left, or with momentum -p, in the momentum space).

## Operators and wavefunction in position representation

Position and momentum operators in pos space:  $\hat{\vec{r}} = \vec{r}$ ,  $\hat{\vec{p}} = -i\hbar \vec{\nabla}$ .

 $\widehat{r}$  is Hermitian and  $\langle \phi | \widehat{r}^{\dagger} | \psi \rangle = \langle \phi | \widehat{r} | \psi \rangle$ .

$$\widehat{O}(\widehat{r},\widehat{p}) = \widehat{O}(r,-i\hbar \vec{\nabla})$$

The expectation val of the observable should be indep of representation. In state  $\psi(t)$ ,  $\langle \widehat{O} \rangle = \langle \psi(t) | \widehat{O} | \psi(t) \rangle$ .

Insert 
$$\int d^2 \vec{r} |\vec{r}\rangle \langle \vec{r}| = 1$$
 to get  $\langle \hat{O} \rangle = \int d^2 \vec{r} \langle \psi(t) | \vec{r}\rangle \langle \vec{r}| \hat{O} | \psi(t) \rangle$   
 $\psi(\vec{r},t) = \langle \vec{r} | \psi(t) \rangle, \qquad \psi(\vec{r},t)^* = \langle \vec{r} | \psi(t) \rangle^* = \langle \psi(t) | \vec{r} \rangle,$ 

## $\langle \vec{r}|\hat{O}|\psi(t)\rangle = \hat{O}(\vec{r}, -i\hbar\vec{\nabla})\psi(\vec{r}, t), \langle \vec{O}\rangle = \int d^3\vec{r}\psi(\vec{r}, t)^*\vec{O}(\vec{r}, -i\hbar\vec{\nabla})\psi(\vec{r}, t)$ Operators and wavefunction in momentum representation

$$\hat{\vec{r}} = i\hbar \vec{\nabla}_{\vec{p}}$$
, or in 1D,  $\hat{x} = i\hbar \frac{\partial}{\partial n}$ ,  $\hat{\vec{p}} = \vec{p}$ , where  $\vec{p}^* = \vec{p}$ .

$$\begin{array}{l} \widehat{\widehat{O}}\left(\widehat{r},\widehat{\overrightarrow{p}}\right) = \widehat{O}(i\hbar\vec{\nabla}_{\overrightarrow{p}},\overrightarrow{p}) \\ \langle \widehat{O} \rangle = \langle \psi(t) | \widehat{O} | \psi(t) \rangle \rightarrow \langle \widehat{O} \rangle = \int d^2\overrightarrow{p} \langle \psi(t) | \overrightarrow{p} \rangle \langle \overrightarrow{p} | \widehat{O} | \psi(t) \rangle , \\ \psi(\overrightarrow{p},t) = \langle \overrightarrow{p} | \psi(t) \rangle , \qquad \psi(\overrightarrow{p},t)^* = \langle \overrightarrow{p} \psi(t) \rangle^* = \langle \psi(t) | \overrightarrow{p} \rangle \\ \langle \overrightarrow{p} | \widehat{O} | \psi(t) \rangle = \widehat{O}(i\hbar\vec{\nabla}_{\overrightarrow{p}},\overrightarrow{p}), \langle \overrightarrow{O} \rangle = \int d^3\overrightarrow{p} \psi(\overrightarrow{p},t)^* \widehat{O}(i\hbar\vec{\nabla}_{\overrightarrow{p}},\overrightarrow{p}) \psi(\overrightarrow{p},t). \end{array}$$

$$i\hbar\frac{\partial}{\partial t}|\psi(t)\rangle=\widehat{H}|\psi(t)\rangle, \text{ where }\widehat{H}=\frac{\widehat{\vec{p}}^2}{2m}+V(\widehat{\vec{r}},t) \text{ becomes } i\hbar\frac{\partial\psi(\vec{r},t)}{\partial t}=-\frac{\hbar^2}{2m}\nabla^2\psi(\vec{r},t)+V(\vec{r},t)\psi(\vec{r},t)$$

### Commuting operators

If  $[\widehat{A}, \widehat{B}] = 0$  and the states are nondegenerate,  $|\psi\rangle$  is a simultaneous eigenstate of  $\widehat{A}$  and  $\widehat{B}$ .

$$|\psi\rangle = |ab\rangle$$
, and  $\widehat{A}|ab\rangle = a|ab\rangle$ ,  $\widehat{B}|ab\rangle = b|ab\rangle$ 

Non-commuting operators and the general uncertainty principle

$$(\Delta A)^2 (\Delta B)^2 \ge (\frac{1}{2i} \langle [\widehat{A}, \widehat{B}] \rangle)^2$$

Cannot construct simulatneous eigenstates (which correspond to definite eigenvalues) of non-commuting observables.

Time evolution of expectation value of an operator and Ehrenfest's theorem

Ehrenfest's theorem: how observable  $\widehat{O}$ 's expectation value in state  $|\psi(t)\rangle$ 

evolves in time, 
$$\frac{\mathrm{d}}{\mathrm{d}t}\langle \hat{O} \rangle = \langle \frac{\partial \hat{O}}{\partial t} \rangle + \frac{i}{\hbar}\langle [\hat{H},\hat{O}] \rangle$$

For  $\widehat{O}=\widehat{\vec{p}}$  and a Hamiltonian that is TI,  $\frac{\mathrm{d}}{\mathrm{d}t}\langle\widehat{\vec{p}}\rangle=-\langle\vec{\nabla}V(\widehat{\vec{r}})\rangle$ , which is just Newton's Second Law! → QM contains all of classical mech.

#### The simple harmonic oscillator

$$\widehat{H} = \frac{\vec{p}^2}{2m} + \frac{1}{2}m\omega^2 \widehat{x}$$

$$\begin{array}{|c|c|} \widehat{H} = \frac{\vec{p}^2}{2m} + \frac{1}{2}m\omega^2\widehat{x}^2 \\ \textbf{Raising and lowering operators} \\ \textbf{Lowering op: } \widehat{a} = \sqrt{\frac{m\omega}{2\hbar}}(\widehat{x} + \frac{i}{m\omega}\widehat{p}), \, \textbf{Raising op: } \widehat{a}^\dagger = \sqrt{\frac{m\omega}{2\hbar}}(\widehat{x} - \frac{i}{m\omega}\widehat{p}). \end{array}$$

$$[\widehat{a}, \widehat{a}^{\dagger}] = 1 \qquad \widehat{x} = \sqrt{\frac{\hbar}{2m\omega}} (\widehat{a}^{\dagger} + \widehat{a}), \ \widehat{p} = i\sqrt{\frac{m\omega\hbar}{2}} (\widehat{a}^{\dagger} - \widehat{a})$$

$$\widehat{H}=(\widehat{N}+rac{1}{2})\hbar\omega$$
, where  $\widehat{N}=\widehat{a}^{\dagger}\widehat{a}$ . Now  $\widehat{N}$  is Hermitian, and  $\widehat{N}|n\rangle=n|n\rangle$   $[\widehat{N},\widehat{a}]=-\widehat{a},\,[\widehat{N},\widehat{a}^{\dagger}]=\widehat{a}^{\dagger}$ 

$$\widehat{N}(\widehat{a}|n\rangle) = (n-1)(\widehat{a}|n\rangle), \ \widehat{N}(\widehat{a}^{\dagger}|n\rangle) = (n+1)(\widehat{a}^{\dagger}|n\rangle)$$

Normalized number state vectors Energy levels are not degenerate, so 
$$|n-1\rangle = c_n \widehat{a} |n\rangle \to c_n = \frac{1}{\sqrt{n}} \to \widehat{a} |n\rangle = \sqrt{n} |n-1\rangle.$$

$$|n+1\rangle = d_n \hat{a}^{\dagger} |n\rangle \rightarrow d_n = \frac{1}{\sqrt{n+1}} \rightarrow \hat{a}^{\dagger} |n\rangle = \sqrt{n+1} |n+1\rangle$$

Ground state: 
$$|0\rangle$$
, excited state:  $|n\rangle=\frac{(\hat{a}^{\dagger})^n}{\sqrt{n!}}|0\rangle$ ,  $n=0,1,2,\dots$ 

$$\begin{split} \langle n'|\hat{x}|n\rangle &= \sqrt{\frac{\hbar}{2m\omega}} \langle n'|(\hat{a}^{\dagger} + \hat{a})|n\rangle = \sqrt{\frac{\hbar}{2m\omega}} (\sqrt{n+1}\delta_{n',n+1} + \sqrt{n}\delta_{n',n-1}) \\ \langle n'|\hat{p}|n\rangle &= i\sqrt{\frac{m\omega\hbar}{2}} \langle n'|(\hat{a}^{\dagger} - \hat{a})|n\rangle = i\sqrt{\frac{m\omega\hbar}{2}} (\sqrt{n+1}\delta_{n',n+1} - \sqrt{n}\delta_{n',n-1}) \end{split}$$

Wavefunctions in position representation

Classical simple harmonic oscillator

The quantum simple harmonic oscillator and coherent state

4. Three-dimensional systems

Three-dimensional infinite square well

The Schrödinger equation in spherical coordinates

Orbital angular momentum

**Spherical harmonics**