

3. Principles of QM

Axiomatic principles

State vector axiom: State vector at t is ket $|\psi(t)\rangle$, or $|\psi\rangle$, bra state.

Probability axiom: Given a system in state $|\psi\rangle$, a measurement will find it in state $|\phi\rangle$ with probability amplitude $\langle\phi|\psi\rangle$.

Hermitian operator axiom: Physical observable is represented by a linear and Hermitian operator.

Measurement axiom: Measurement of a physical observable results in eigenvalue of observable. Observable \hat{A} , we have $\hat{A}|a\rangle = a|a\rangle$, where a is eigenvalue and $|a\rangle$ is eigenvector. Measurement of the physical quantity represented by \hat{A} collapses the state $|\psi\rangle$ before measurement into an eigenstate $|a\rangle$ of \hat{A} .

Time evolution axiom: $i\hbar\frac{\partial}{\partial t}|\psi(t)\rangle = \hat{H}|\psi(t)\rangle$, w/o consider x or p .

Vector space

State vector is neither in position nor momentum space.

Basis vectors: $|0\rangle = \begin{bmatrix} 1 \\ 0 \\ \vdots \\ 0 \end{bmatrix}$, $|1\rangle = \begin{bmatrix} 0 \\ 1 \\ \vdots \\ 0 \end{bmatrix}$, $|n\rangle = \begin{bmatrix} 0 \\ 0 \\ \vdots \\ 1 \end{bmatrix}$ (in n th pos).

Linearity: Because the SE is linear, given two states $|\psi_1(t)\rangle$ and $|\psi_2(t)\rangle$, $|\psi(t)\rangle = c_1|\psi_1(t)\rangle + c_2|\psi_2(t)\rangle$ is also a sol. (c 's are complex).

Properties of a vector space

Dual vector space $c|\psi\rangle$ is mapped to $c^* \langle\psi|$. Given a vector, $|\psi\rangle = \begin{bmatrix} \vdots \\ \alpha \\ \vdots \end{bmatrix}$, the

dual vector is $\langle\psi| = [\cdots \quad \alpha^* \quad \cdots]$.

Dual basis vectors are $\langle 0| = [1 \quad 0 \quad \cdots]$, $\langle 1| = [0 \quad 1 \quad \cdots]$.

Inner product: $\langle\phi|\psi\rangle = c$, where c is complex.

$\langle\phi|\psi\rangle = \langle\psi|\phi\rangle^* \rightarrow \langle\psi|\psi\rangle$ is real, positive, and finite for a normalizable ket vector. Can choose $\langle\psi|\psi\rangle = 1$. $\langle\psi_m|\psi_n\rangle = \delta_{mn}$

Operators

A matrix operator \hat{A} acting on a state vector $|\psi\rangle$ transforms it into another state vector $|\phi\rangle$, $\hat{A}|\psi\rangle = |\phi\rangle$. It is linear.

Properties of operators

Hermitian conjugate (Hermitian adjoint) operator in the dual space

Hermitian adjoint operator \hat{A}^\dagger acts on the dual vector $\langle\psi|$ from the right as $\langle\psi|\hat{A}^\dagger$, where $\hat{A}^\dagger = (\hat{A})^T^*$.

$(\hat{A}|\psi\rangle)^\dagger = |\psi\rangle^\dagger \hat{A}^\dagger = \langle\psi|\hat{A}^\dagger$ $\langle\psi| = |\psi\rangle^\dagger$ $\langle\psi|^\dagger = |\psi\rangle$
 $(\hat{A}\hat{B})^\dagger = (\hat{A}\hat{B})^{T*} = (\hat{B}^T \hat{A}^T)^* = \hat{B}^{T*} \hat{A}^{T*} = \hat{B}^\dagger \hat{A}^\dagger$, $(c\hat{A})^\dagger = c^* \hat{A}^\dagger$

Outer product operators: $|\psi\rangle\langle\phi|$ $[|\psi\rangle\langle\phi|]\chi\rangle = |\psi\rangle\langle\phi|\chi\rangle$

Matrix elements of operators

$\langle\phi|\hat{A}|\psi\rangle$ (complex num)

Hermitian equiv to complex conj $\langle\phi|\hat{A}|\psi\rangle^\dagger = \langle\psi|\hat{A}^\dagger|\phi\rangle = \langle\phi|\hat{A}|\psi\rangle^*$

Hermitian operators: $\hat{A}^\dagger = \hat{A}$, so given $\hat{A}|\phi\rangle$ in the vector space, we have

$\langle\psi|\hat{A}^\dagger = \langle\phi|\hat{A}$ in the dual vector space.

Matrix elements of a Hermitian operator

$\langle\phi|\hat{A}|\psi\rangle^\dagger = \langle\phi|\hat{A}|\psi\rangle^* = \langle\psi|\hat{A}^\dagger|\phi\rangle = \langle\psi|\hat{A}|\phi\rangle$

Hermitian operator, real expectation vals: $\langle\psi|\hat{A}|\phi\rangle^* = \langle\psi|\hat{A}|\phi\rangle \equiv \langle\hat{A}\rangle$

Same result whether \hat{A} acts to right or left: $\langle\phi|\hat{A}|\psi\rangle = \langle\phi|\hat{A}^\dagger|\psi\rangle$

Eigenvals and eigenvcs of Hermitian operators: $\hat{A}|a_n\rangle = a_n|a_n\rangle$

Normalized eigvecs $\langle a_m|a_n\rangle = \delta_{mn}$. Gram-Schmidt, degenerate evcs.

Completeness of eigenvector of a Hermitian operator Set $|a_n\rangle$ is complete if $\sum_n |\langle a_n|\psi\rangle|^2 = 1$. $\sum_n |\langle a_n\rangle\langle a_n| = 1$ (identity operator)

Continuous spectra of a Hermitian operator

Hermitian operator \hat{A} , $\hat{A}|a\rangle = a|a\rangle$, where a is continuous.

$\int da' \langle a'|\hat{A}|a\rangle = a \int da' \langle a'|a\rangle = \int da' a' \langle a'|a\rangle \rightarrow \langle a'|a\rangle = \delta(a' - a)$

Continuous condition: $\int da|a\rangle\langle a| = 1$

Gram-Schmidt orthogonalization procedure

Eigval (like energy level) is n -fold degenerate: n states w same eigval.

Orthogonal eigenstates \rightarrow no degeneracy.

1. Normalize each state and define $\alpha_i = \frac{\alpha_i}{\sqrt{\langle\alpha_i|\alpha_i\rangle}}$. 2. $|\alpha'_1\rangle = |\alpha_1\rangle$.

3. $|\alpha'_2\rangle = \frac{|\alpha_2\rangle - |\alpha_1\rangle\langle\alpha_1|\alpha_2\rangle}{\sqrt{\langle\alpha_2|\alpha_2\rangle - \langle\alpha_1|\alpha_2\rangle\langle\alpha_2|\alpha_1\rangle}} = \frac{|\alpha_2\rangle - |\alpha_1\rangle\langle\alpha_1|\alpha_2\rangle}{\sqrt{1 - \langle\alpha_1|\alpha_2\rangle\langle\alpha_2|\alpha_1\rangle}}$

4. Subtract components of $|\alpha_3\rangle$ along $|\alpha_1\rangle$ and $|\alpha_2\rangle$, $|\alpha_3\rangle - |\alpha_1\rangle\langle\alpha_1|\alpha_3\rangle - |\alpha_2\rangle\langle\alpha_2|\alpha_3\rangle$, normalize and promote to $|\alpha'_3\rangle$

Position and momentum representation

$\hat{r}|\vec{r}\rangle = \vec{r}|\vec{r}\rangle$ $\langle\vec{r}|\vec{r}\rangle = \delta^3(\vec{r}' - \vec{r})$, $\int d^3\vec{r}' |\vec{r}'\rangle\langle\vec{r}'| = 1$, $\langle\vec{r}'|\hat{r}|\vec{r}\rangle = \vec{r}\delta^3(\vec{r}' - \vec{r})$

$\hat{p}|\vec{p}\rangle = \vec{p}|\vec{p}\rangle$ $\langle\vec{p}|\vec{p}\rangle = \delta^3(\vec{p}' - \vec{p})$, $\int d^3\vec{p}' |\vec{p}'\rangle\langle\vec{p}'| = 1$

State vector $|\psi(t)\rangle$ in position space (scalar): $\langle\vec{r}|\psi(x, t)\rangle \equiv \psi(\vec{r}, t)$

$\langle\psi|\hat{p}|\psi\rangle = \frac{d}{dt}\langle\psi|\vec{r}|\psi\rangle m$

Representation of momentum operator in position space: $\hat{p} = -i\hbar\vec{\nabla}$.

$\langle x|\hat{p}|x'\rangle = -i\hbar\frac{\partial}{\partial x}\delta(x - x') = -i\hbar\frac{\partial}{\partial x}\langle x|x'\rangle$.

$\hat{p} = -i\hbar\frac{\partial}{\partial x}$ is Hermitian, $\frac{\partial}{\partial x}$ is not.

$\langle x|\hat{p}|p\rangle = p\langle x|p\rangle = -i\hbar\frac{\partial}{\partial x}\langle x|p\rangle$. The solution is $\langle x|p\rangle = \frac{1}{\sqrt{2\pi\hbar}} e^{\frac{i}{\hbar}px}$.

In 3D, $\langle\vec{r}|\vec{p}\rangle = \frac{1}{(2\pi\hbar)^{3/2}} e^{\frac{i}{\hbar}\vec{p}\vec{r}}$.

We can write the normalized wavefunction of definite position in momentum

space, $\langle p|x\rangle = \langle x|p\rangle^*$. So, $\langle p|x\rangle = \frac{1}{\sqrt{2\pi\hbar}} e^{-\frac{i}{\hbar}px}$ (particle moving to the left, or with momentum $-p$, in the momentum space).

Operators and wavefunction in position representation

Position and momentum operators in pos space: $\hat{r} = \vec{r}$, $\hat{p} = -i\hbar\vec{\nabla}$.

\hat{r} is Hermitian and $\langle\phi|\hat{r}^\dagger|\psi\rangle = \langle\phi|\hat{r}|\psi\rangle$.

$\hat{O}(\hat{r}, \hat{p}) = \hat{O}(\vec{r}, -i\hbar\vec{\nabla})$

The expectation val of the observable should be indep of representation. In state $\psi(t)$, $\langle\hat{O}\rangle = \langle\psi(t)|\hat{O}|\psi(t)\rangle$.

Insert $\int d^2\vec{r}' |\vec{r}'\rangle\langle\vec{r}'| = 1$ to get $\langle\hat{O}\rangle = \int d^2\vec{r}' \langle\psi(t)|\vec{r}'\rangle\langle\vec{r}'|\hat{O}|\psi(t)\rangle$

$\psi(\vec{r}, t) = \langle\vec{r}|\psi(t)\rangle$, $\psi(\vec{r}, t)^* = \langle\vec{r}|\psi(t)\rangle^* = \langle\psi(t)|\vec{r}\rangle$,

$\langle\vec{r}|\hat{O}|\psi(t)\rangle = \hat{O}(\vec{r}, -i\hbar\vec{\nabla})\psi(\vec{r}, t)$, $\langle\hat{O}\rangle = \int d^2\vec{r} \psi(\vec{r}, t)^* \hat{O}(\vec{r}, -i\hbar\vec{\nabla})\psi(\vec{r}, t)$

Operators and wavefunction in momentum representation

$\hat{r} = i\hbar\vec{\nabla}_{\vec{p}}$, or in 1D, $\hat{x} = i\hbar\frac{\partial}{\partial p}$, $\hat{p} = \vec{p}$, where $\vec{p}^* = \vec{p}$.

$\hat{O}(\hat{r}, \hat{p}) = \hat{O}(i\hbar\vec{\nabla}_{\vec{p}}, \vec{p})$

$\langle\hat{O}\rangle = \langle\psi(t)|\hat{O}|\psi(t)\rangle \rightarrow \langle\hat{O}\rangle = \int d^2\vec{p} \langle\psi(t)|\vec{p}\rangle\langle\vec{p}|\hat{O}|\psi(t)\rangle$.

$\psi(\vec{p}, t) = \langle\vec{p}|\psi(t)\rangle$, $\psi(\vec{p}, t)^* = \langle\vec{p}|\psi(t)\rangle^* = \langle\psi(t)|\vec{p}\rangle$

$\langle\vec{p}|\hat{O}|\psi(t)\rangle = \hat{O}(i\hbar\vec{\nabla}_{\vec{p}}, \vec{p})\psi(\vec{p}, t)$, $\langle\hat{O}\rangle = \int d^2\vec{p} \psi(\vec{p}, t)^* \hat{O}(i\hbar\vec{\nabla}_{\vec{p}}, \vec{p})\psi(\vec{p}, t)$.

$i\hbar\frac{\partial}{\partial t}|\psi(t)\rangle = \hat{H}|\psi(t)\rangle$, where $\hat{H} = \frac{\hat{p}^2}{2m} + V(\vec{r}, t)$ becomes

$i\hbar\frac{\partial\psi(\vec{r}, t)}{\partial t} = -\frac{\hbar^2}{2m}\vec{\nabla}^2\psi(\vec{r}, t) + V(\vec{r}, t)\psi(\vec{r}, t)$

Commuting operators

If $[\hat{A}, \hat{B}] = 0$ and the states are nondegenerate, $|\psi\rangle$ is a simultaneous eigenstate of \hat{A} and \hat{B} .

$|\psi\rangle = |ab\rangle$, and $\hat{A}|ab\rangle = a|ab\rangle$, $\hat{B}|ab\rangle = b|ab\rangle$

Non-commuting operators and the general uncertainty principle

$(\Delta A)^2(\Delta B)^2 \geq (\frac{1}{2i}\langle[\hat{A}, \hat{B}]\rangle)^2$

Cannot construct simultaneous eigenstates (which correspond to definite eigenvalues) of non-commuting observables.

Time evolution of expectation value of an operator and Ehrenfest's theorem

Ehrenfest's theorem: how observable \hat{O} 's expectation value in state $|\psi(t)\rangle$

evolves in time, $\frac{d}{dt}\langle\hat{O}\rangle = \langle\frac{\partial\hat{O}}{\partial t}\rangle + \frac{i}{\hbar}\langle[\hat{H}, \hat{O}]\rangle$

For $\hat{O} = \hat{p}$ and a Hamiltonian that is TI, $\frac{d}{dt}\langle\hat{p}\rangle = -\langle\vec{\nabla}V(\vec{r})\rangle$, which is just Newton's Second Law! \rightarrow QM contains all of classical mech.

The simple harmonic oscillator

$\hat{H} = \frac{\hat{p}^2}{2m} + \frac{1}{2}m\omega^2\hat{x}^2$

Raising and lowering operators

Lowering op: $\hat{a} = \sqrt{\frac{m\omega}{2\hbar}}(\hat{x} + \frac{i}{m\omega}\hat{p})$, Raising op: $\hat{a}^\dagger = \sqrt{\frac{m\omega}{2\hbar}}(\hat{x} - \frac{i}{m\omega}\hat{p})$.

$[\hat{a}, \hat{a}^\dagger] = 1$ $\hat{x} = \sqrt{\frac{\hbar}{2m\omega}}(\hat{a}^\dagger + \hat{a})$, $\hat{p} = i\sqrt{\frac{m\omega\hbar}{2}}(\hat{a}^\dagger - \hat{a})$

$\hat{H} = (\hat{N} + \frac{1}{2})\hbar\omega$, where $\hat{N} = \hat{a}^\dagger\hat{a}$. Now \hat{N} is Hermitian, and $\hat{N}|n\rangle = n|n\rangle$

$[\hat{N}, \hat{a}] = -\hat{a}$, $[\hat{N}, \hat{a}^\dagger] = \hat{a}^\dagger$

$\hat{N}(\hat{a}|n\rangle) = (n-1)(\hat{a}|n\rangle)$, $\hat{N}(\hat{a}^\dagger|n\rangle) = (n+1)(\hat{a}^\dagger|n\rangle)$

Normalized number state vectors Energy levels are not degenerate, so

$|n-1\rangle = c_n\hat{a}|n\rangle \rightarrow c_n = \frac{1}{\sqrt{n}} \rightarrow \hat{a}|n\rangle = \sqrt{n}|n-1\rangle$.

$|n+1\rangle = d_n\hat{a}^\dagger|n\rangle \rightarrow d_n = \frac{1}{\sqrt{n+1}} \rightarrow \hat{a}^\dagger|n\rangle = \sqrt{n+1}|n+1\rangle$

Ground state: $|0\rangle$, excited state: $|n\rangle = \frac{(\hat{a}^\dagger)^n}{\sqrt{n!}}|0\rangle$, $n = 0, 1, 2, \dots$

$\langle n'|\hat{x}|n\rangle = \sqrt{\frac{\hbar}{2m\omega}}\langle n'|(\hat{a}^\dagger + \hat{a})|n\rangle = \sqrt{\frac{\hbar}{2m\omega}}(\sqrt{n+1}\delta_{n', n+1} + \sqrt{n}\delta_{n', n-1})$
 $\langle n'|\hat{p}|n\rangle = i\sqrt{\frac{m\omega\hbar}{2}}\langle n'|(\hat{a}^\dagger - \hat{a})|n\rangle = i\sqrt{\frac{m\omega\hbar}{2}}(\sqrt{n+1}\delta_{n', n+1} - \sqrt{n}\delta_{n', n-1})$

Wavefunctions in position representation

$E_n = (n + \frac{1}{2})\hbar\omega$, $n = 0, 1, 2, \dots$

The stationary wavefunctions of definite energy: $\psi_n(x) = \langle x|n\rangle$

$\langle x'|\hat{a}^\dagger|x''\rangle = \delta(x' - x'')\frac{1}{\sqrt{2\sigma}}(x'' - \sigma^2\frac{\partial}{\partial x''})$, where $\sigma \equiv \sqrt{\frac{\hbar}{m\omega}}$

$\xi = \frac{x}{\sigma}$, $\langle x|n\rangle = \frac{1}{\sqrt{\pi n! 2^n \sigma}}(\xi - \frac{\partial}{\partial \xi})^n e^{-\frac{1}{2}\xi^2}$

$\langle x|0\rangle = (\frac{m\omega}{\pi\hbar})^{1/4} e^{-\frac{m\omega}{2\hbar}x^2}$, $\langle x|1\rangle = \sqrt{2}(\frac{m^3\omega^3}{\pi\hbar^3})^{1/4} x e^{-\frac{m\omega}{2\hbar}x^2}$

Classical simple harmonic oscillator Hamiltonian of a simple harmonic is

$H = \frac{p^2}{2m} + \frac{1}{2}m\omega^2x^2$. $\dot{x} = \frac{\partial H}{\partial p} = \frac{p}{m}$, $\dot{p} = -\frac{\partial H}{\partial x} = -m\omega^2x$

Define $\sqrt{\hbar\omega}\alpha = \sqrt{\frac{m\omega^2}{2}}x + \frac{i}{\sqrt{2m}}p$, so $x = \sqrt{\frac{2\hbar}{m\omega}}\alpha_R$ and $p = \sqrt{2m\hbar\omega}\alpha_I$

Rewrite Hamiltonian, $H = \hbar\omega|\alpha|^2$, $\dot{\alpha} = -i\omega\alpha$. The sol is $\alpha = \alpha_0 e^{-i\omega t}$.

The quantum simple harmonic oscillator and coherent state

Coherent state, superpos of stat states $|n\rangle$: $|\alpha\rangle = e^{-\frac{1}{2}|\alpha|^2} \sum_{n=0}^{\infty} \frac{\alpha^n}{\sqrt{n!}}|n\rangle$

$P(n) = |\langle n|\alpha\rangle|^2 = |\alpha_n|^2 = \frac{\langle n\rangle^n e^{-\langle n\rangle}}{n!}$, where $\langle n\rangle = \langle\alpha|a^\dagger a|\alpha\rangle = |\alpha|^2$.

4. Three-dimensional systems

Three-dimensional infinite square well

$-\frac{\hbar^2}{2m}(\frac{\partial^2}{\partial x^2} + \frac{\partial^2}{\partial y^2} + \frac{\partial^2}{\partial z^2})\phi(x, y, z) = E\psi(x, y, z)$ for $0 \leq x \leq l_x, \dots$

while $\psi(x, y, z) = 0$ outside.

$\psi(x, y, z) = \psi_1(x)\psi_2(y)\psi_3(z)$

The SE then becomes $-\frac{\hbar^2}{2m}\frac{d^2}{dx^2}\psi_1(x) = E_1\psi_1(x), \dots$, where

$E = E_1 + E_2 + E_3$.

$\psi_{n_x n_y n_z}(x, y, z) = \sqrt{\frac{8}{l_x l_y l_z}} \sin\left(\frac{n_x \pi}{l_x} x\right) \sin\left(\frac{n_y \pi}{l_y} y\right) \sin\left(\frac{n_z \pi}{l_z} z\right)$

$E_{n_x n_y n_z} = \frac{\hbar^2 \pi^2}{2m}(\frac{n_x^2}{l_x^2} + \frac{n_y^2}{l_y^2} + \frac{n_z^2}{l_z^2})$, with $n_x, n_y, n_z = 1, 2, \dots$

Wave vector: $\vec{k} = (k_x, k_y, k_z) = (\frac{n_x \pi}{l_x}, \frac{n_y \pi}{l_y}, \frac{n_z \pi}{l_z})$

The Schrödinger equation in spherical coordinates

Orbital angular momentum

Spherical harmonics