# Lecture Notes: Loops

## Warm-up

In the last lecture we only covered only a few basic operations available to computers: assignments, conditionals, and numeric comparisons. The usual arithmetic operators are also available, which means that we can write pseudocode like

```
\mathbf{let} \ \mathtt{sum} \leftarrow \mathtt{augend} + \mathtt{addend}
```

Although one line, this is implicitly two instructions: first to add the augend and the addend, second to replace the value of sum with the addition's result. More complicated expressions can encode even more steps, which follow the standard order of operations.

Use this knowledge to factor the following problem and devise an algorithm solving it:

The inputs first\_number, second\_number, and third\_number each hold a number. positive\_count should, after the algorithm runs, hold the number of inputs that are positive.

#### Lesson Overview

In the examples so far, we've always known ahead of time how many subproblems a problem will factor into. But sometimes the number of subproblems depends on the inputs' values, something that none of our current strategies can deal with. Two of the four kinds of factoring are affected: factoring into parallel subproblems and factoring into quotient subproblems.

## Variably Many Parallel Subproblems

Consider this problem, assuming that printing a number is a basic step:

lower\_bound and upper\_bound each hold an integer input. The algorithm should print out all integers that are greater than or equal to lower\_bound as well as less than upper\_bound. current is available as temporary storage.

If we knew lower\_bound and upper\_bound, the factorization would be trivial: there would be (upper\_bound—lower\_bound) subproblems, each of which would be to print one number:

- Printing numbers at least lower\_bound and less than upper\_bound Splits into parallel subproblems:
  - Printing lower\_bound
  - Printing lower\_bound + 1
  - . . .
  - Printing upper\_bound 1

But we don't know these values.

Our first trick will be to introduce new variables, called **loop variables**, that describe all of the ways that the possible subproblems can differ from one another, and then treat these variables as inputs to a common, repeated subproblem called the **loop action**.

In this case, the subproblems can differ only in the number that they ask us to print, so we will use the variable current to store that number, making the factoring look like this:

- Printing numbers at least lower\_bound and less than upper\_bound Splits into parallel subproblems differentiated by current:
  - ⟨Subproblems about computing values for current...⟩
  - Printing current (action)

The obvious next question is how to fill in that placeholder. Fortunately, there's a standard algorithmic pattern, called a **loop**, that we can look at:

- 1. Set the loop variables for the first subproblem (initiation).
- 2. Stop if the loop variables don't describe a subproblem that needs solving (termination).
- 3. Solve the current subproblem (action).
- 4. Update the loop variables for the next subproblem (consecution).
- 5. Go to Step 2.

This tells us that getting current to have the appropriate values involves the subproblems labeled initiation, consecution, and termination.

For our example: the first subproblem is the one where current is lower\_bound, we can advance from one subproblem to the next by incrementing current, and we do not need to solve any subproblems where current is at least upper\_bound. We can fill in the factoring accordingly:

- Printing numbers at least lower\_bound and less than upper\_bound Splits into parallel subproblems differentiated by current:
  - Setting current to lower\_bound (initiation)
  - Setting current to current + 1 (consecution)
  - Checking that current is less than upper\_bound (termination)
  - Printing current (action)

All of these are things we know how to do. Our solution in pseudocode:

```
let current ← lower_bound
while current < upper_bound do
    print current
    let current ← current + 1
end</pre>
```

The pattern

```
while \langle condition... \rangle do | \langle Loop body... \rangle end
```

means that the computer should check whether the condition evaluates to true, running the **loop body** and coming back to check again if so, moving on to the next step if not.

#### Practice

Give a factoring for and pseudocode solving the following problem:

upper\_bound holds a number. The algorithm should print out all integer powers of two that are less than upper\_bound. power\_of\_two is available as temporary storage.

# Variably Many Quotient Subproblems

Now we'll extend our strategy to handle quotient subproblems. For our example, we'll take a problem from the previous lectures, finding the maximum of three numbers, and extend it to finding the maximum of many:

lower\_bound and upper\_bound each hold an integer input. The algorithm should compute the maximum value of a certain function f over the integers between lower\_bound, inclusive, and upper\_bound, exclusive, and store it in maximum. current is available as temporary storage.

(For example, if lower\_bound is -1 and upper\_bound is 2, we want the maximum of f(-1), f(0), and f(1).) If we followed the quotient structure from maximum of three, the factoring would look like this:

- Finding the maximum of f over the integers in [lower\_bound, upper\_bound)

  Splits into quotient subproblems:
  - Finding the maximum of the first zero numbers
  - Finding the maximum of the first one number by finding the maximum of the previous result and  $f(\texttt{lower\_bound} + 1)$
  - Finding the maximum of the first (upper\_bound lower\_bound) numbers
     by finding the maximum of the previous result and f(upper\_bound − 1)

Note that we have to include cases for fewer than two numbers because the difference between upper\_bound and lower\_bound could be anything.

We can start out with the same trick as before, identifying loop variables and unifying subproblems. But, unlike with parallel subproblems, some of our work is already done: the loop variables don't have to record any information already stored in the result variables.

As written, the first value (if any) in our partial maxima is always  $f(lower_bound)$ , but the last value changes. We'll use current to keep track of where we are; the last value will be f(current).

That lets us unify everything except "finding the maximum of the first zero numbers". Quotient subproblems often have one exception like this; it is called the **base case**. The new factoring:

- Finding the maximum of f over the integers in [lower\_bound, upper\_bound)

  Splits into quotient subproblems differentiated by current:
  - (Subproblems about computing values for current...)
  - Finding the maximum of zero numbers (base case)
  - Finding the maximum of  $f(lower\_bound)$  through f(current) by finding the maximum of the previous result and f(current) (action)

And we already know how to compute values for current, so we can fill those parts in.

- Finding the maximum of f over the integers in [lower\_bound, upper\_bound)

  Splits into quotient subproblems differentiated by current:
  - Setting current to lower\_bound (initiation)
  - Setting current to current + 1 (consecution)
  - Checking that current is less than upper\_bound (termination)
  - Finding the maximum of zero numbers (base case)
  - Finding the maximum of  $f(lower\_bound)$  through f(current) by finding the maximum of the previous result and f(current) (action)

Algorithmically, our general strategy is almost the same as the one we had for variably many parallel subproblems. We just need to add a step to handle the base case and then allow termination to consider other variables, like the result variables.

- 0. Set the result variables to appropriate starting values (base case).
- 1. Set the loop variables for the first subproblem (initiation).
- 2. Stop if the loop (or other) variables don't describe a subproblem that needs solving (termination).
- 3. Solve the current subproblem (action).
- 4. Update the loop variables for the next subproblem (consecution).
- 5. Go to Step 2.

Notice that Step 0 does exactly the same thing for the result variables that Step 1 does for the loop variables. So handling the base case is also called result-variable initiation. Likewise, Step 3 does for result variables what Step 4 does for loop variables; with quotient subproblems the loop action is also called result-variable consecution.

- 0. Set the result variables to appropriate starting values (result-variable initiation).
- 1. Set the loop variables for the first subproblem (loop-variable initiation).
- 2. Stop if the loop (or other) variables don't describe a subproblem that needs solving (termination).
- 3. Solve the current subproblem (result-variable consecution).
- 4. Update the loop variables for the next subproblem (loop-variable consecution).
- 5. Go to Step 2.

This strategy gives us pseudocode like the following:

The question mark is there because it might not be immediately obvious what the maximum of zero numbers is. We can work it out by trying the algorithm on a simple problem instance, say, one where lower\_bound is 0 and upper\_bound is 1.

The possible execution traces, depending on whether f(current) > ? is false or true:

- 1. maximum becomes ?.
- 2. current becomes 0.
- 3. current < upper\_bound evaluates to true.
- 4.  $f(\mathtt{current}) > \mathtt{maximum}$  evaluates to false.
- 5. current becomes 1.
- 6. current < upper\_bound evaluates to false.
- 7. The result is ?, not as intended unless f(0) = ?.

- 1. maximum becomes?.
- 2. current becomes 0.
- 3. current < upper\_bound evaluates to true.
- 4. f(current) > maximum evaluates to true.
- 5. maximum becomes f(0).
- 6. current becomes 1.
- 7. current < upper\_bound evaluates to false.
- 8. The result is f(0), as intended.

So either f(current) > ? or f(current) = ? needs to hold for any value of current. What number is less than or equal to all others? Negative infinity:

```
\begin{array}{l} \mathbf{let} \ \mathbf{maximum} \leftarrow -\infty \\ \mathbf{let} \ \mathbf{current} \leftarrow \mathbf{lower\_bound} \\ \mathbf{while} \ \mathbf{current} < \mathbf{upper\_bound} \ \mathbf{do} \\ & | \ \mathbf{if} \ f(\mathbf{current}) > \mathbf{maximum} \ \mathbf{then} \\ & | \ \mathbf{let} \ \mathbf{maximum} \leftarrow f(\mathbf{current}) \\ & | \ \mathbf{end} \\ & | \ \mathbf{let} \ \mathbf{current} \leftarrow \mathbf{current} + 1 \\ \mathbf{end} \\ & | \ \mathbf{end} \\ & | \ \mathbf{end} \\ \end{array}
```

### Homework

See Worksheet 3.