

# Quantum spin liquids

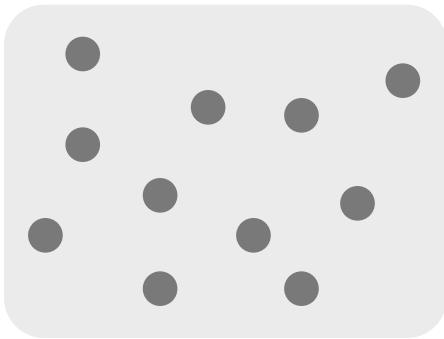
SFB/TR 49 student seminar  
Hamburg, October 2013

**Simon Trebst**  
University of Cologne

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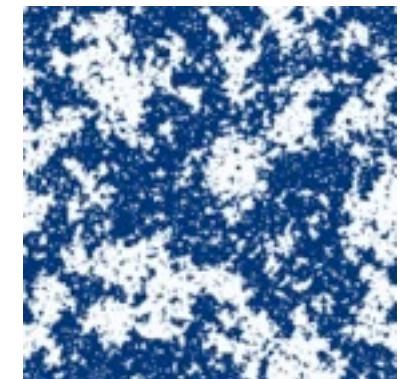
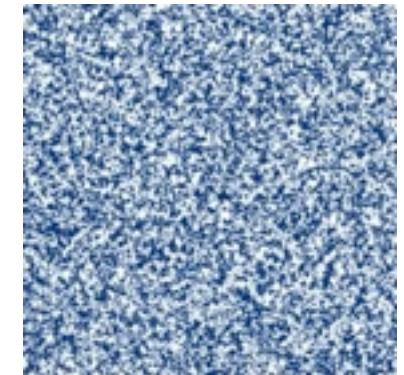
# Motivation – a paradigm

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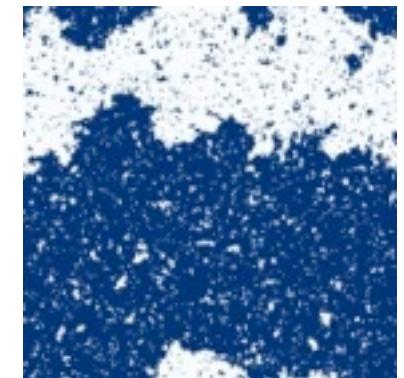
interacting  
**many-body system**

$$\mathcal{H} = - \sum_{\langle ij \rangle} \sigma_i^z \sigma_j^z$$



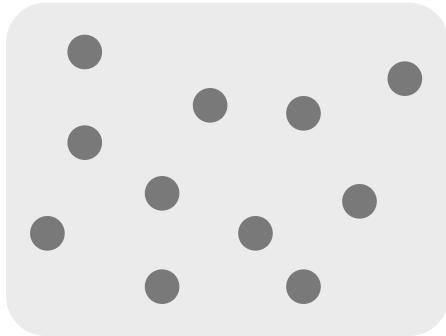
## Spontaneous symmetry breaking

- ground state has **less** symmetry than Hamiltonian
- **local** order parameter
- **phase transition** / Landau-Ginzburg-Wilson theory



# Every rule has an exception

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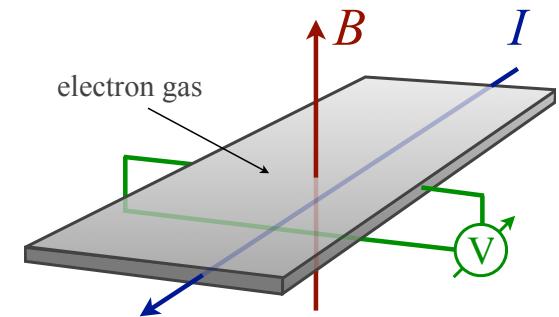
interacting  
**many-body system**

$$\mathcal{H} = \sum_{j=1}^N \left( \frac{1}{2m} \left( \mathbf{p}_j - \frac{e}{c} \mathbf{A}(\mathbf{x}_j) \right)^2 + e \mathbf{A}_0(\mathbf{x}_j) \right)$$

$$+ \sum_{i < j} V(|\mathbf{x}_i - \mathbf{x}_j|)$$



$$\text{tr} \left( A \wedge dA + \frac{2}{3} A \wedge A \wedge A \right)$$



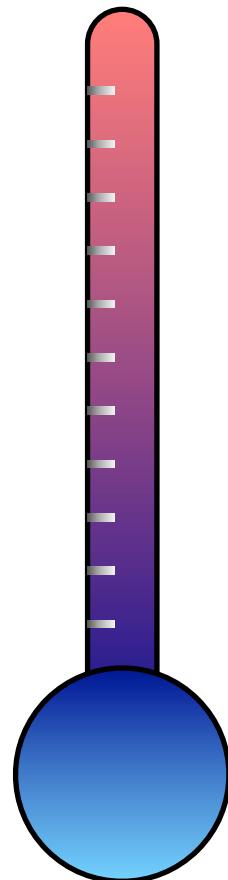
**Sometimes, the exact opposite happens**

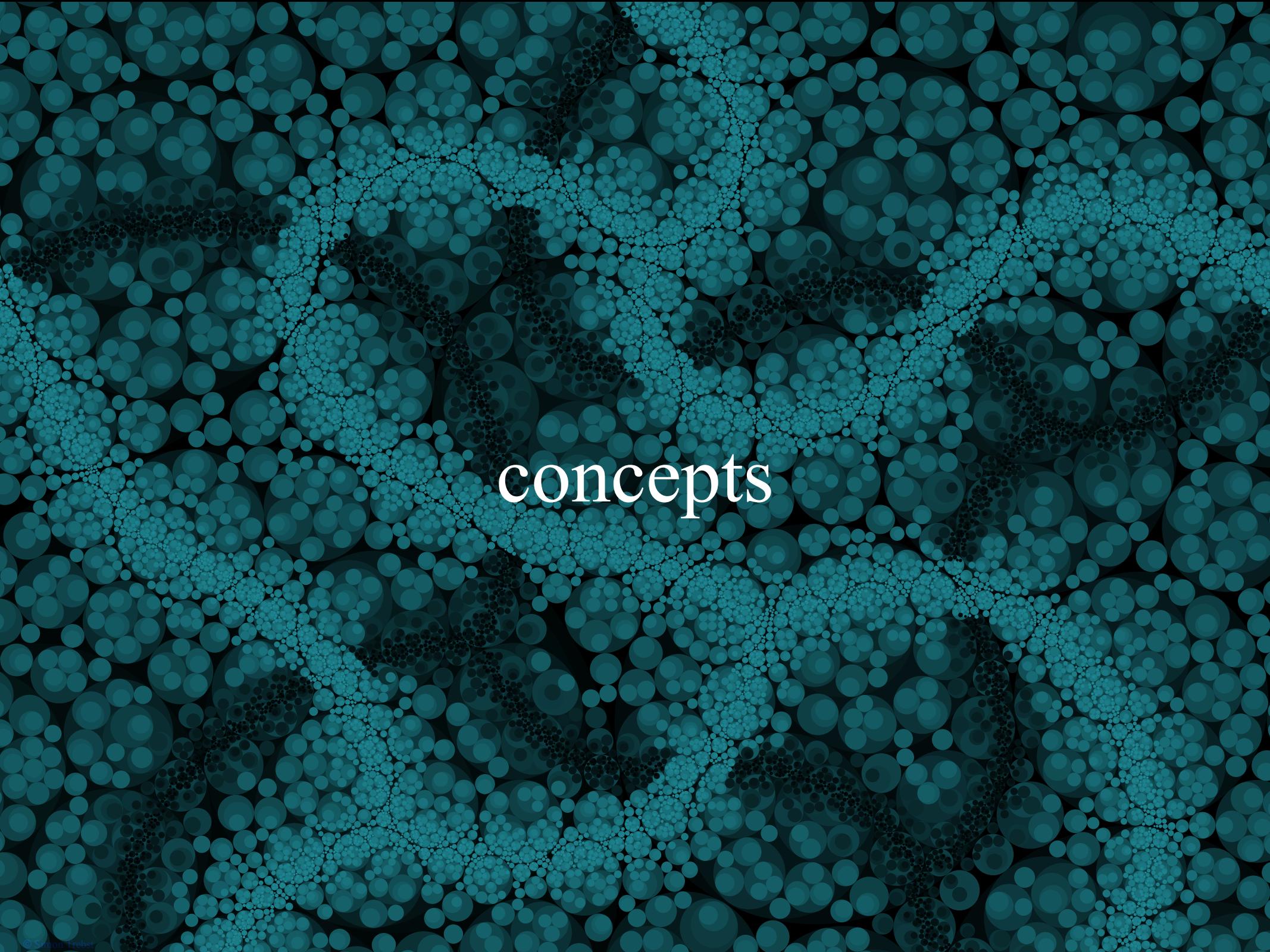
- ground state has **more** symmetry than Hamiltonian
- **non-local** order parameter
- **emergence** of degenerate ground states, exotic statistics, ...

# Topological quantum matter

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- **Spontaneous symmetry breaking**
  - ground state has **less** symmetry than Hamiltonian
  - Landau-Ginzburg-Wilson theory
  - **local** order parameter
- **Topological order**
  - ground state has **more** symmetry than Hamiltonian
  - degenerate ground states
  - **non-local** order parameter

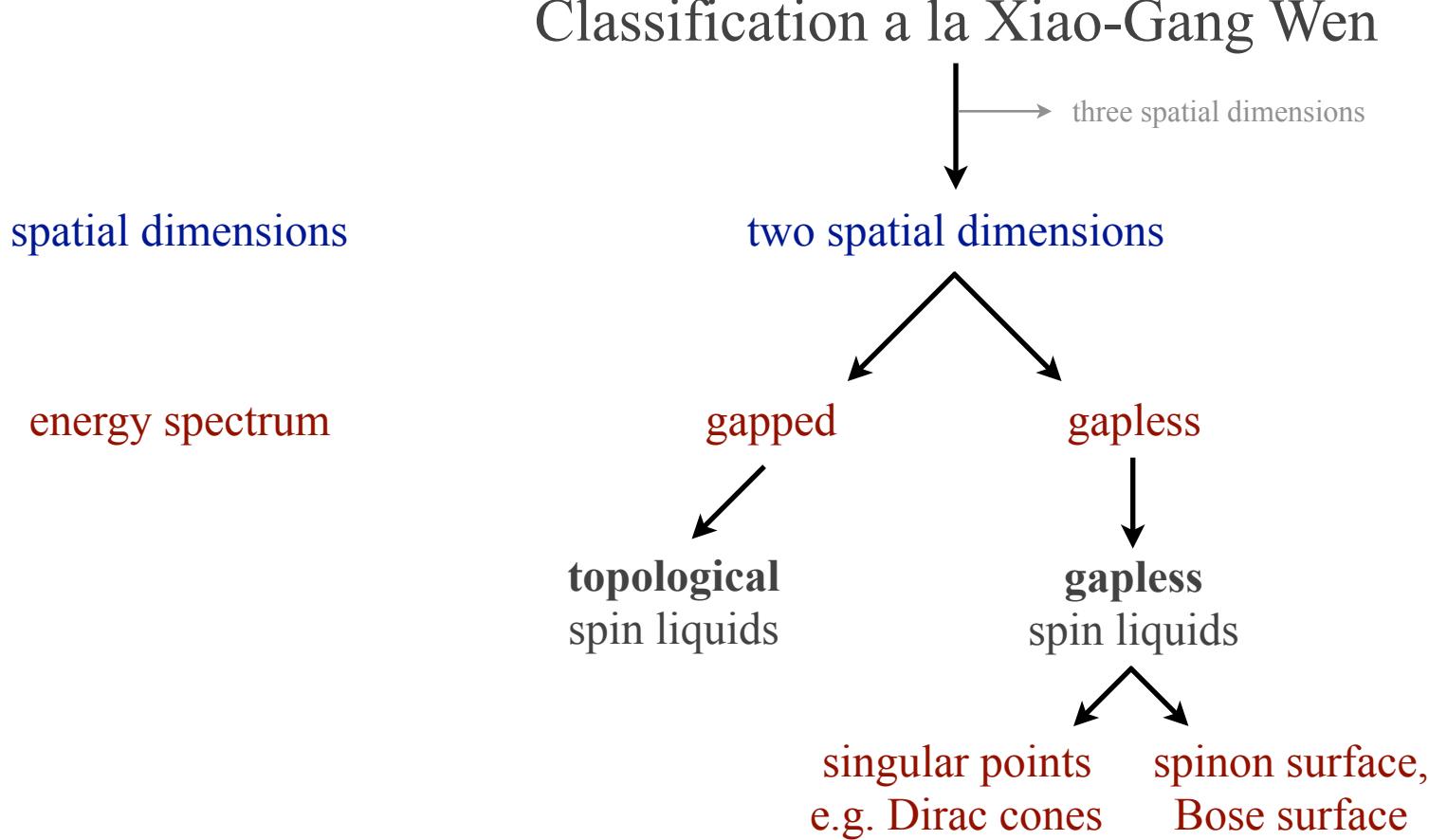




concepts

# Quantum spin liquids

Quantum spin liquids are exotic ground states of frustrated quantum magnets, in which **local moments** are **highly correlated** but **still fluctuate strongly** down to zero temperature.

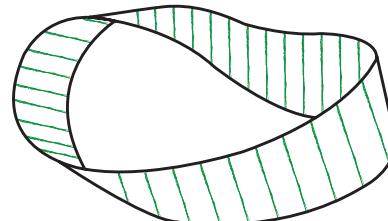
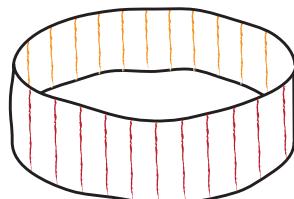


Xiao-Gang Wen  
MIT/Perimeter

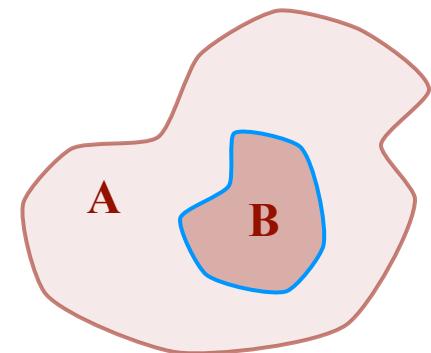
# Topological quantum matter

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- Xiao-Gang Wen: A ground state of a many-body system that *cannot* be fully characterized by a *local* order parameter.
- Often characterized by a variety of non-local “*topological properties*”.



- A topological phase can be positively identified by its *entanglement properties*.



# Knots & edge states

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- Bringing a topological and a conventional state into spatial proximity will result in a *gapless edge state* – literally a *knot* in the wavefunction.
- We know this: “Counterintuitive states”



UK



Ireland



Australia



Japan



China



Hong Kong

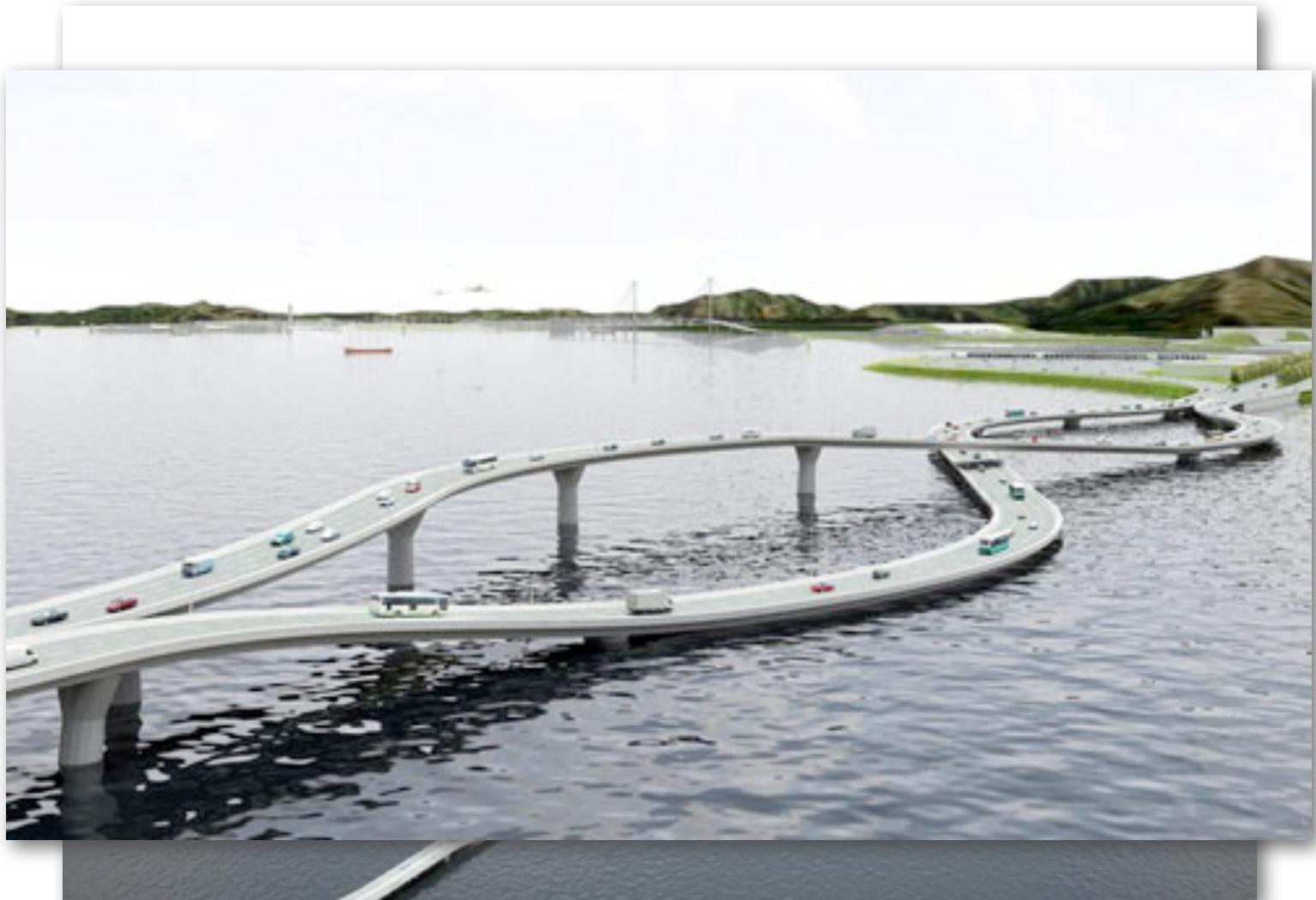
# Knots & edge states

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China



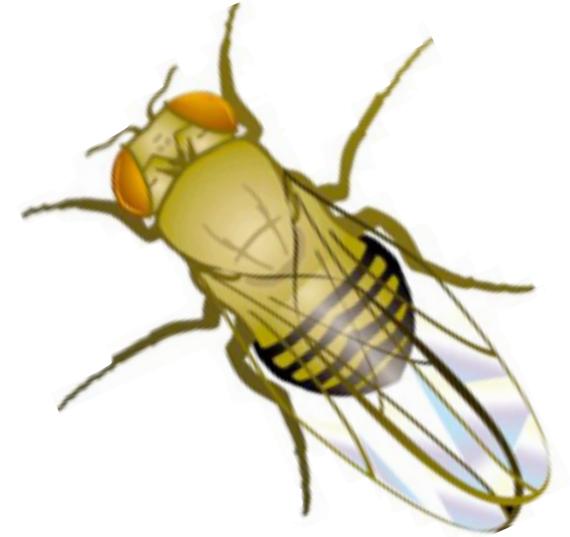
Hong Kong



Flipper bridge

# topological quantum spin liquids

– gapped quantum spin liquids –



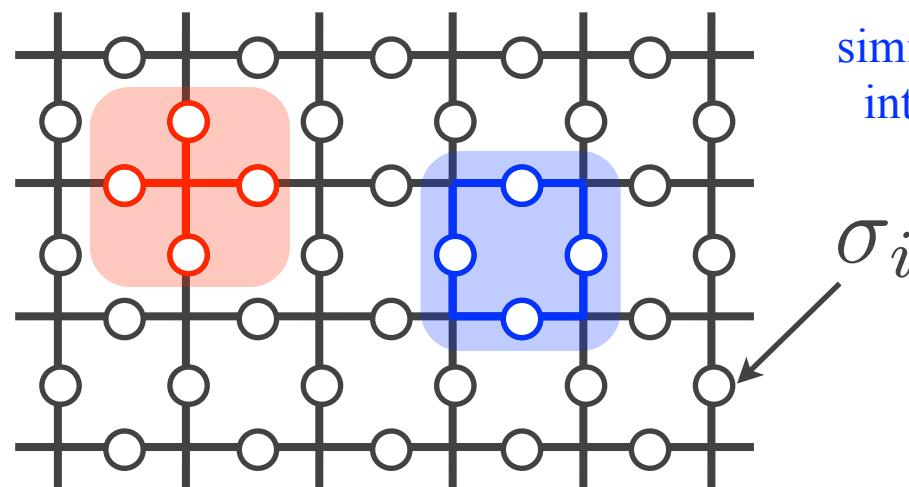
# the toric code

the drosophila  
for lattice models  
of topologically ordered phases

# The toric code

A. Kitaev, Ann. Phys. 303, 2 (2003).

$$H_{\text{TC}} = -A \sum_v \prod_{j \in \text{vertex}(v)} \sigma_j^z - B \sum_p \prod_{j \in \text{plaquette}(p)} \sigma_j^x$$



similar to ring exchange  
introduces frustration

Hamiltonian has only local terms.

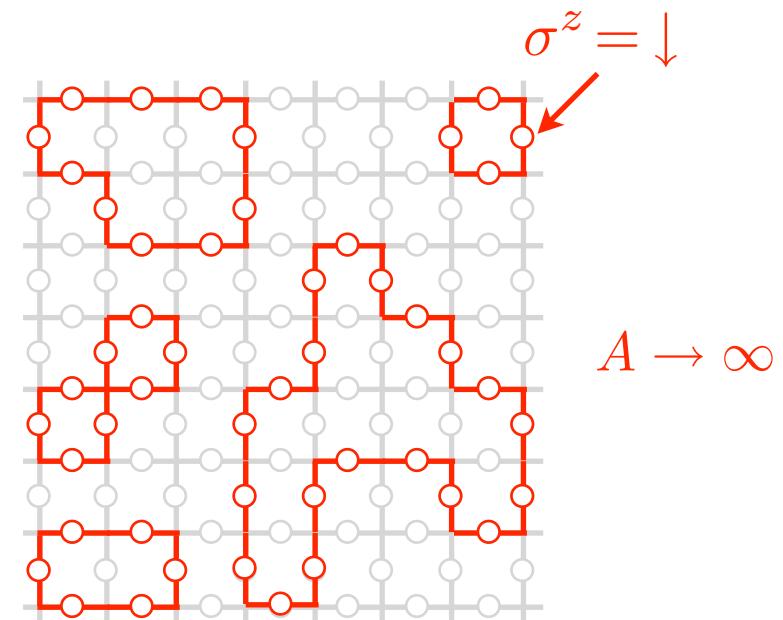
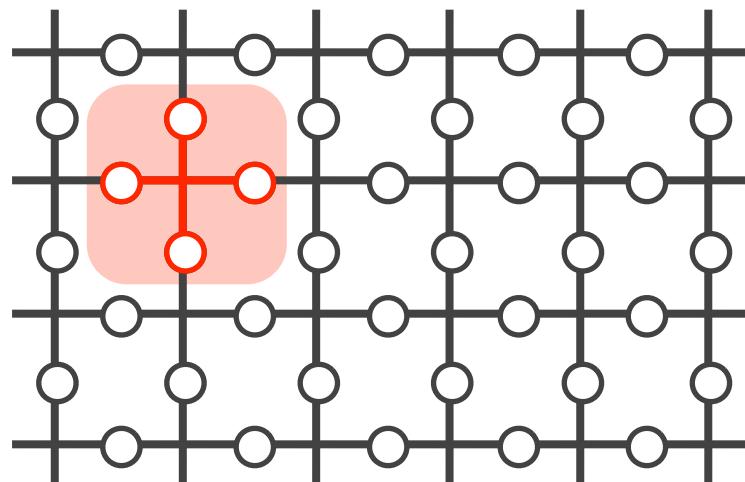
All terms commute  $\rightarrow$  **exact solution!**

# The vertex term

A. Kitaev, Ann. Phys. 303, 2 (2003).

$$H_{\text{TC}} = -A \sum_v \prod_{j \in \text{vertex}(v)} \sigma_j^z - B \sum_p \prod_{j \in \text{plaquette}(p)} \sigma_j^x$$

- is minimized by an **even** number of down-spins around a vertex.
- Replacing down-spins by loop segments maps ground state to closed loops.
- Open ends are (charge) excitations costing energy  $2A$ .

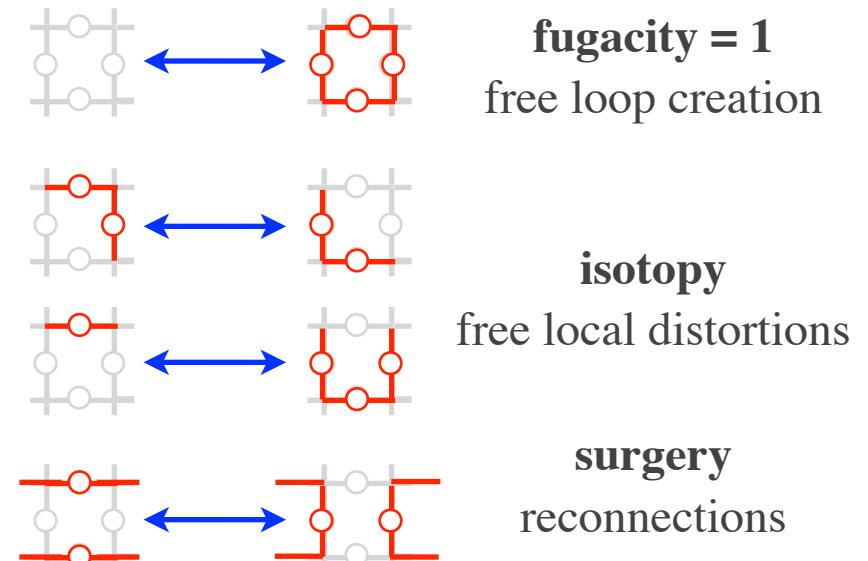
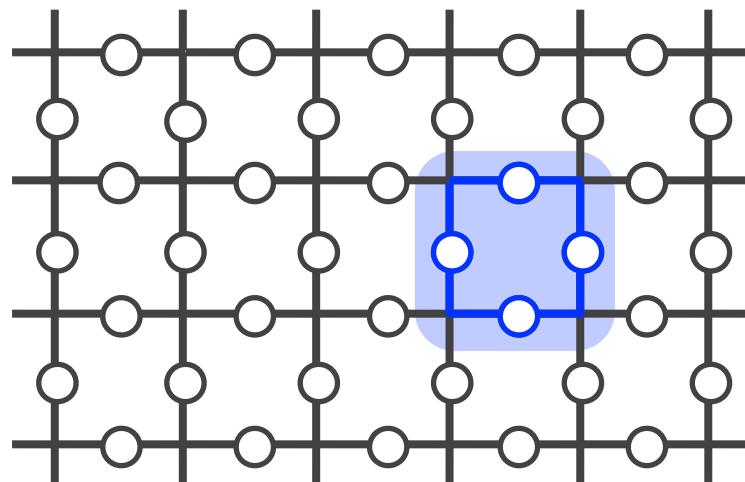


# The plaquette term

A. Kitaev, Ann. Phys. 303, 2 (2003).

$$H_{\text{TC}} = -A \sum_v \prod_{j \in \text{vertex}(v)} \sigma_j^z - B \sum_p \prod_{j \in \text{plaquette}(p)} \sigma_j^x$$

- flips all spins on a plaquette.
- favors equal amplitude superposition of all loop configurations.
- Sign changes upon flip (vortices) cost energy  $2B$ .

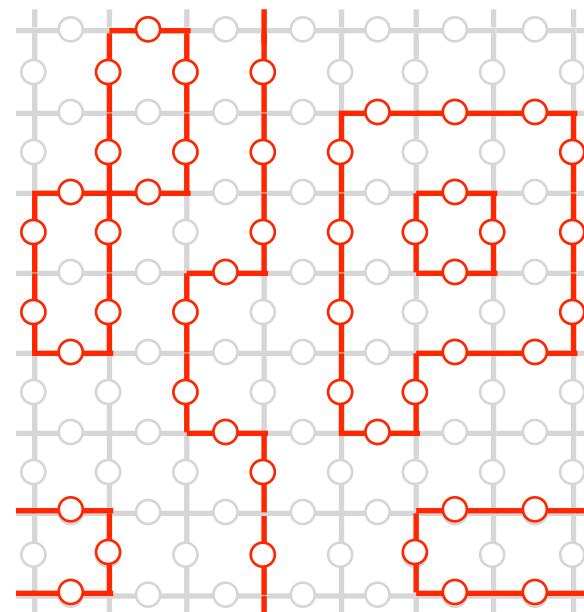
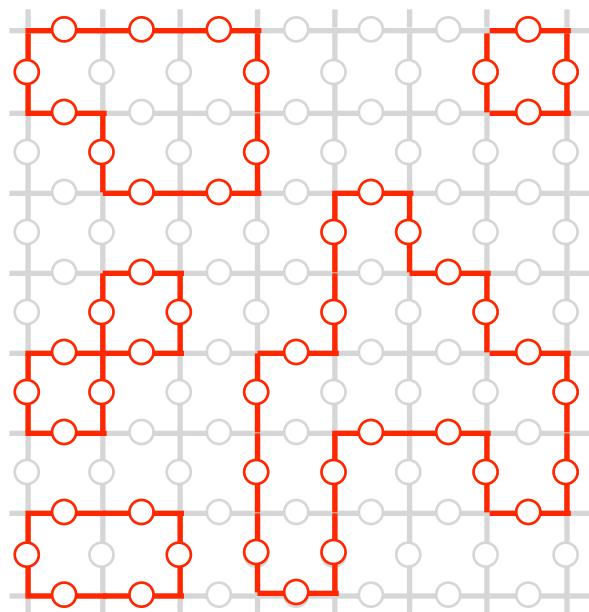


# Toric code – quantum loop gases

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Ground-state manifold is a **quantum loop gas**.

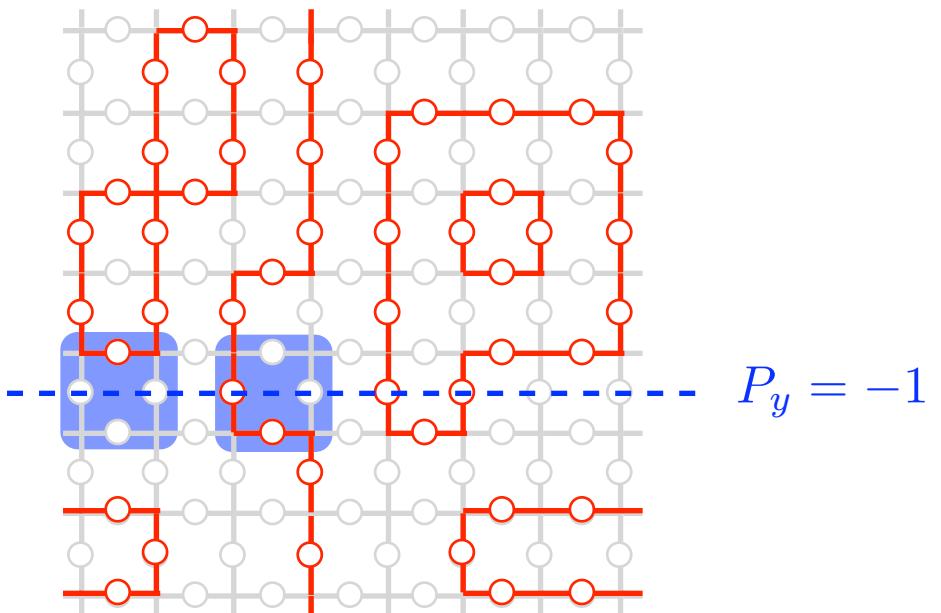
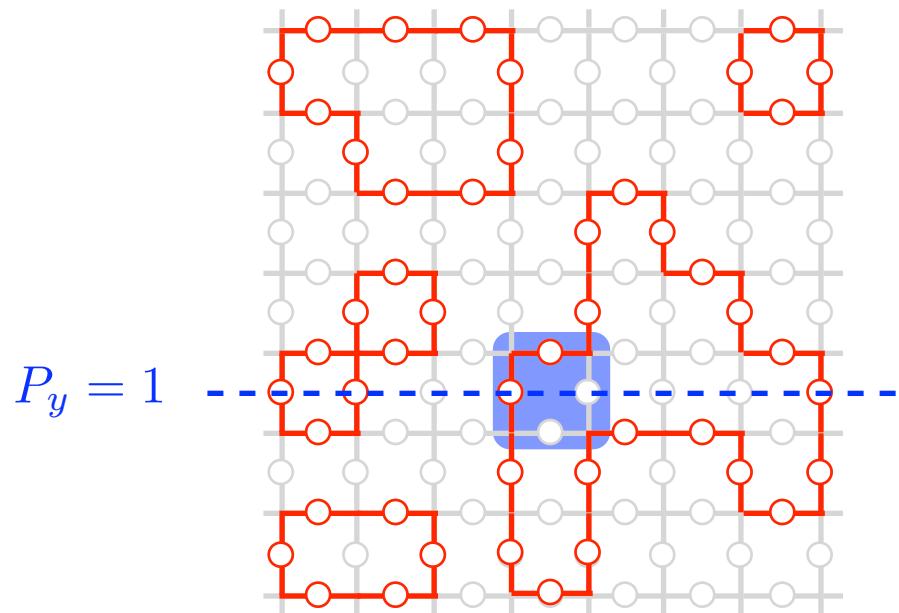


Ground-state wavefunction is **equal superposition** of loop configurations.

# Toric code – quantum loop gases

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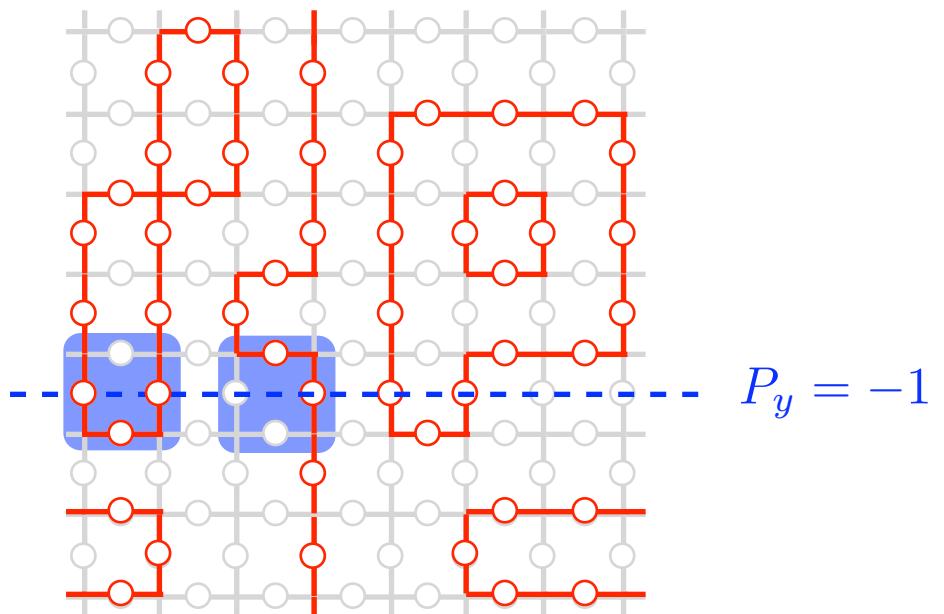
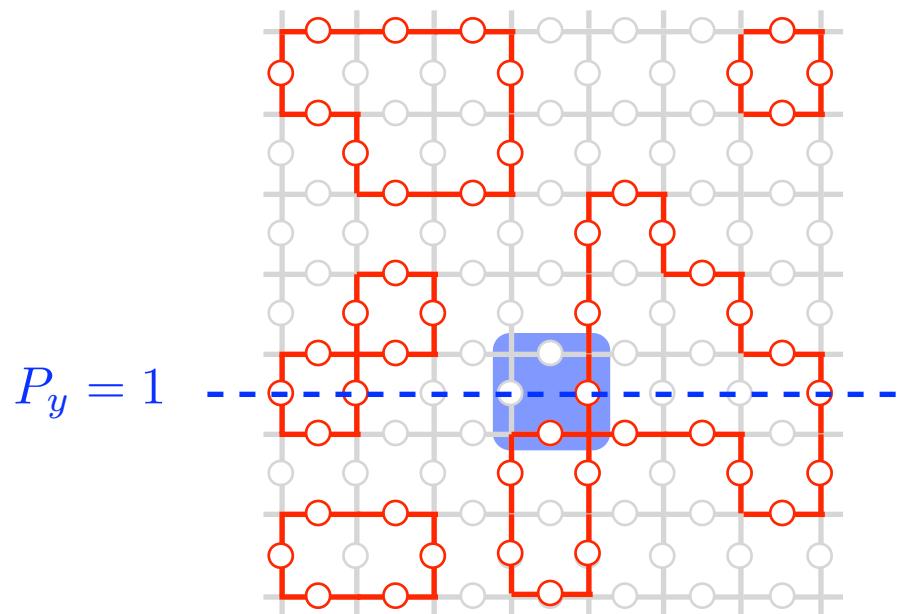


**Topological sectors** defined by winding number parity  $P_{y/x} = \prod_{i \in c_{x/y}} \sigma_i^z$

# Toric code – quantum loop gases

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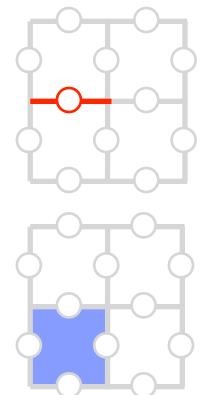
Topological sectors defined by winding number parity  $P_{y/x} = \prod_{i \in c_{x/y}} \sigma_i^z$

# Anyons in the toric code

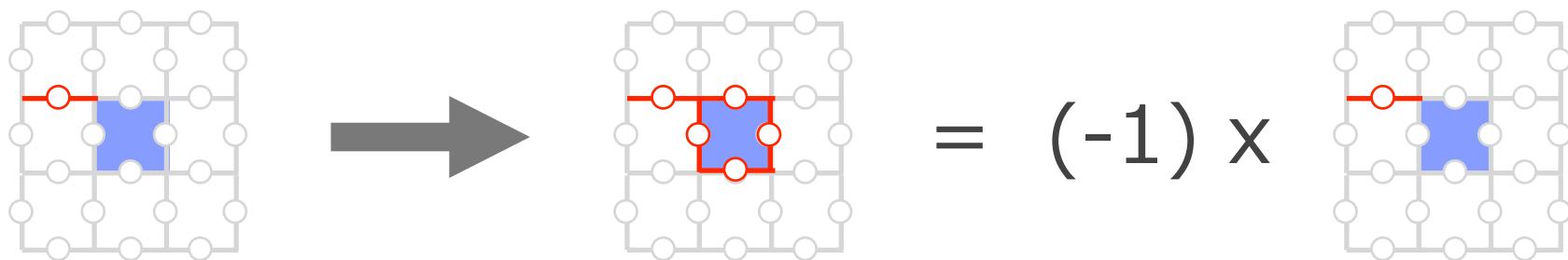
A. Kitaev, Ann. Phys. 303, 2 (2003).

Two types of excitations

- **electric charges**: open loop ends violate vertex constraint
- **magnetic vortices**: plaquettes giving -1 when flipped



We get a minus sign taking one around the other  
electric charges and magnetic vortices are **mutual anyons**



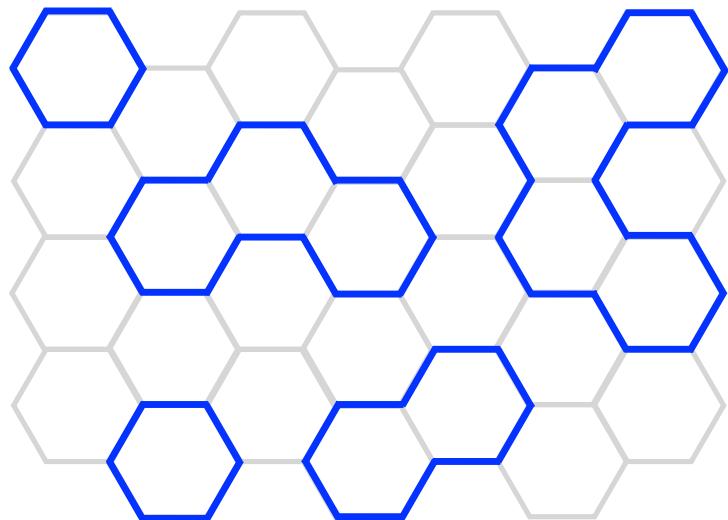
# quantum double models

looking beyond the drosophila

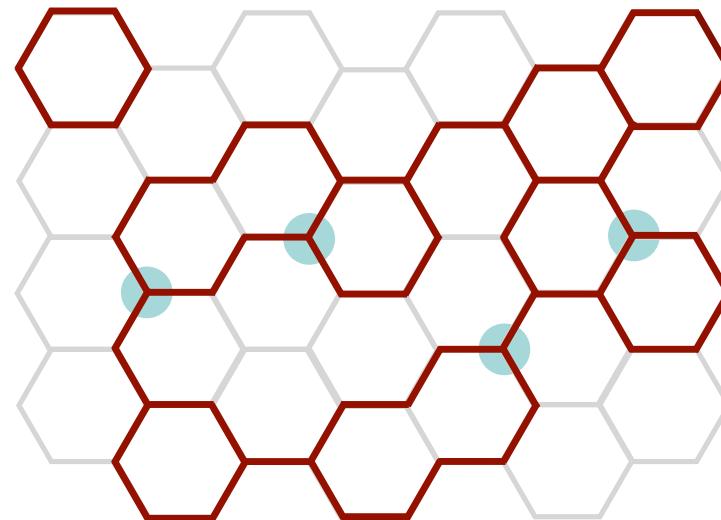


# quantum double models

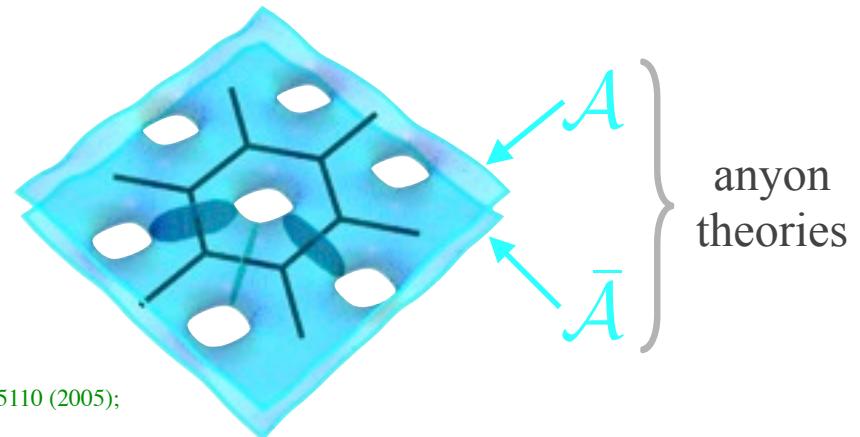
**Quantum double models** form a larger family of lattice models harboring non-trivial topological order, e.g. non-Abelian **string nets**.



loop gas configuration  
(toric code)



string net configuration

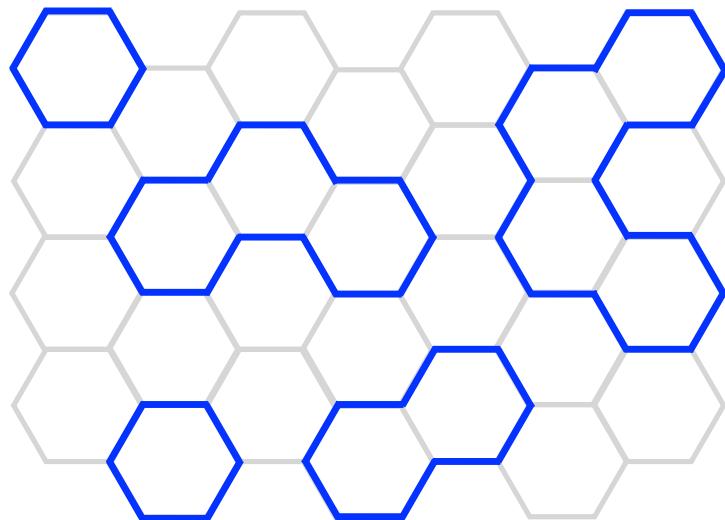


- Quantum double models are generally constructed from an underlying **anyon theory**.
- Key ingredient are so-called **fusion rules** of anyons.

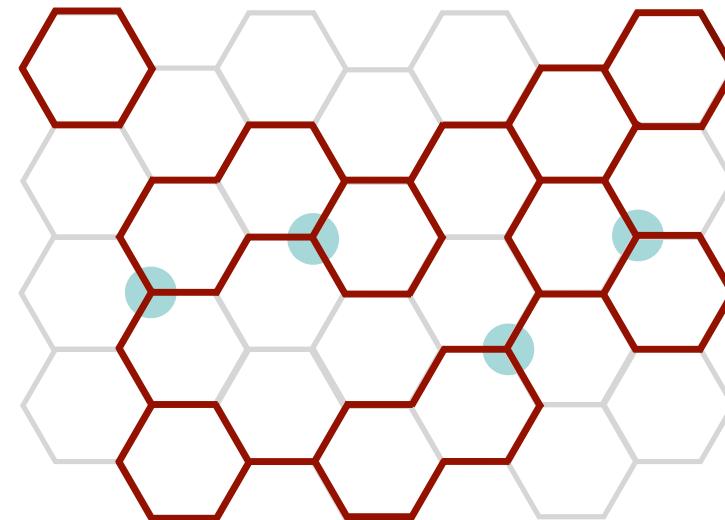
M. Levin and X.-G. Wen, Phys. Rev. B **71**, 045110 (2005);  
C. Gils et al., Nature Physics **5**, 834 (2009).

# quantum double models

**Quantum double models** form a larger family of lattice models harboring non-trivial topological order, e.g. non-Abelian **string nets**.



loop gas configuration



string net configuration

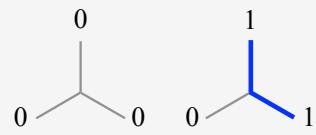
## $\mathbf{Z}_2$ anyon theory

$$0 \times 0 = 0$$

$$0 \times 1 = 1$$

$$1 \times 1 = 0$$

toric code



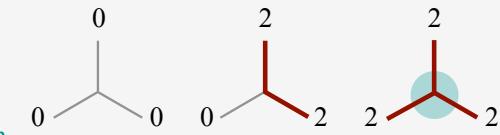
## Fibonacci anyon theory

$$0 \times 0 = 0$$

$$0 \times 2 = 2$$

$$2 \times 2 = 0 + 2$$

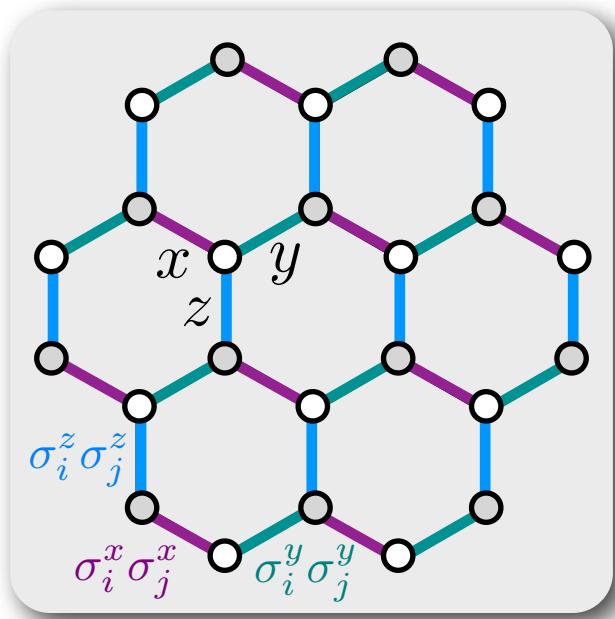
non-Abelian



The background of the slide features a dense, abstract pattern of overlapping circles in various shades of teal and dark grey, creating a organic, cellular, or liquid-like texture.

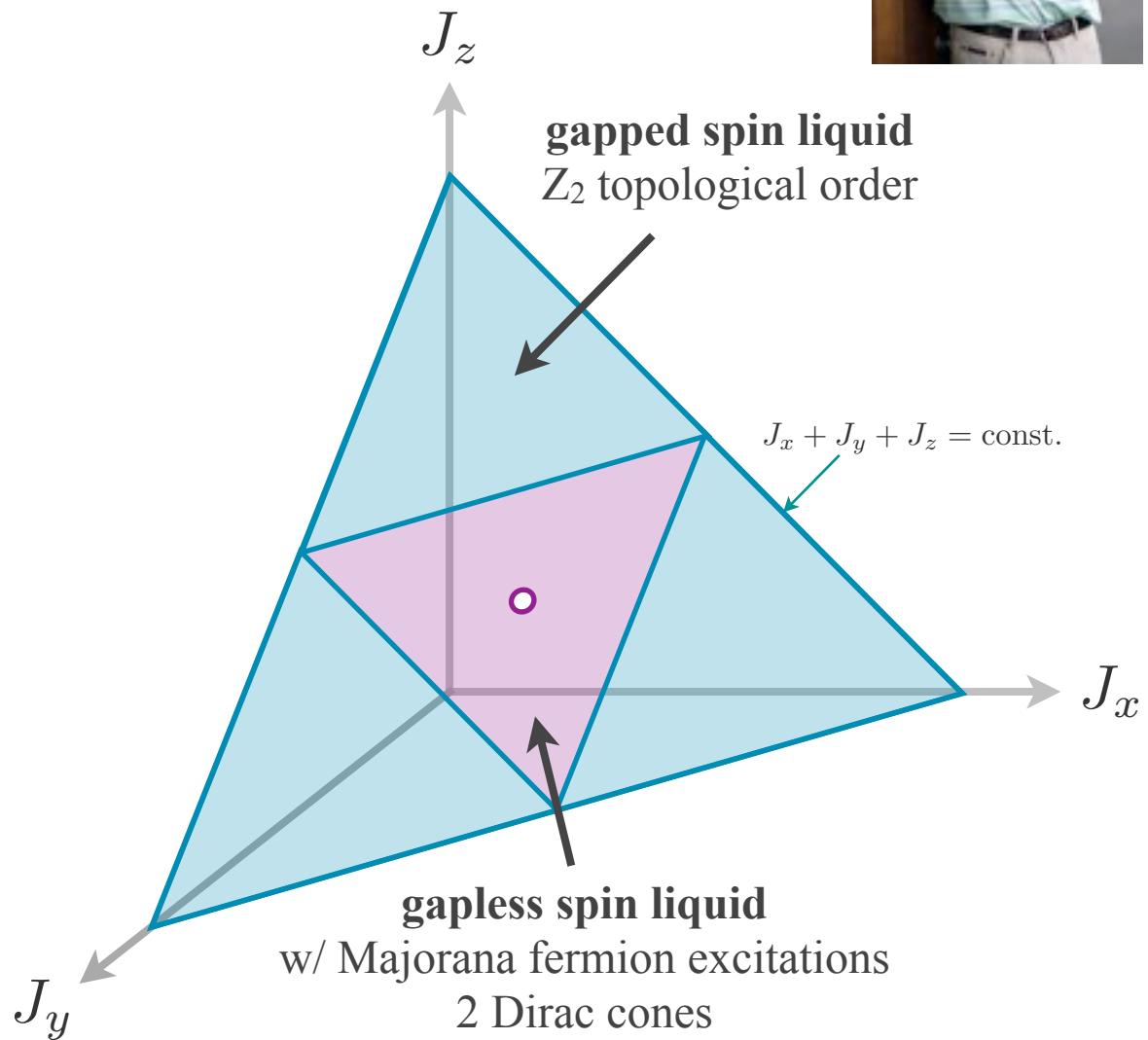
# gapless quantum spin liquids – an example –

# The Kitaev model



$$H_{\text{Kitaev}} = \sum_{\gamma-\text{links}} J_\gamma \sigma_i^\gamma \sigma_j^\gamma$$

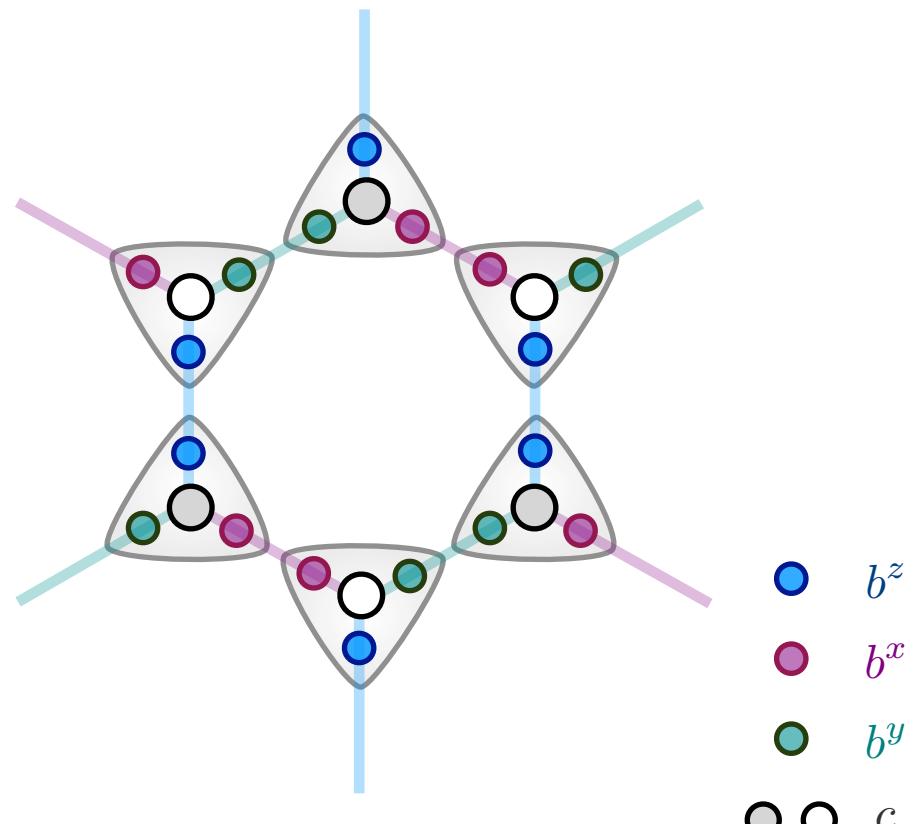
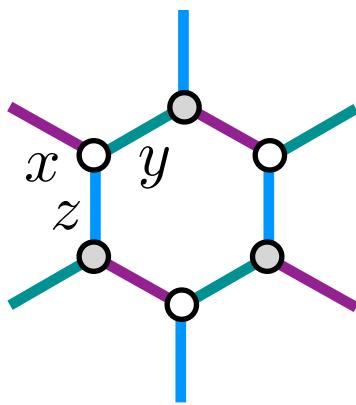
Rare combination of a model  
of fundamental conceptual importance  
and an *exact* analytical solution.



A. Kitaev, Ann. Phys. 321, 2 (2006)

# Solving the Kitaev model

## Step 1: Majorana fermionization



$b^z$

$b^x$

$b^y$

$\bullet \bullet c$

fermions  $a_\uparrow a_\uparrow^\dagger a_\downarrow a_\downarrow^\dagger$

$$b^x = a_\uparrow + a_\downarrow^\dagger$$

$$\sigma^y = i b^y c$$

$$b^y = -i (a_\uparrow - a_\downarrow^\dagger)$$

$$\sigma^x = i b^x c$$

$$b^z = a_\downarrow + a_\uparrow^\dagger$$

$$\sigma^z = i b^z c$$

$$c = -i (a_\downarrow - a_\uparrow^\dagger)$$

Majorana  
fermions

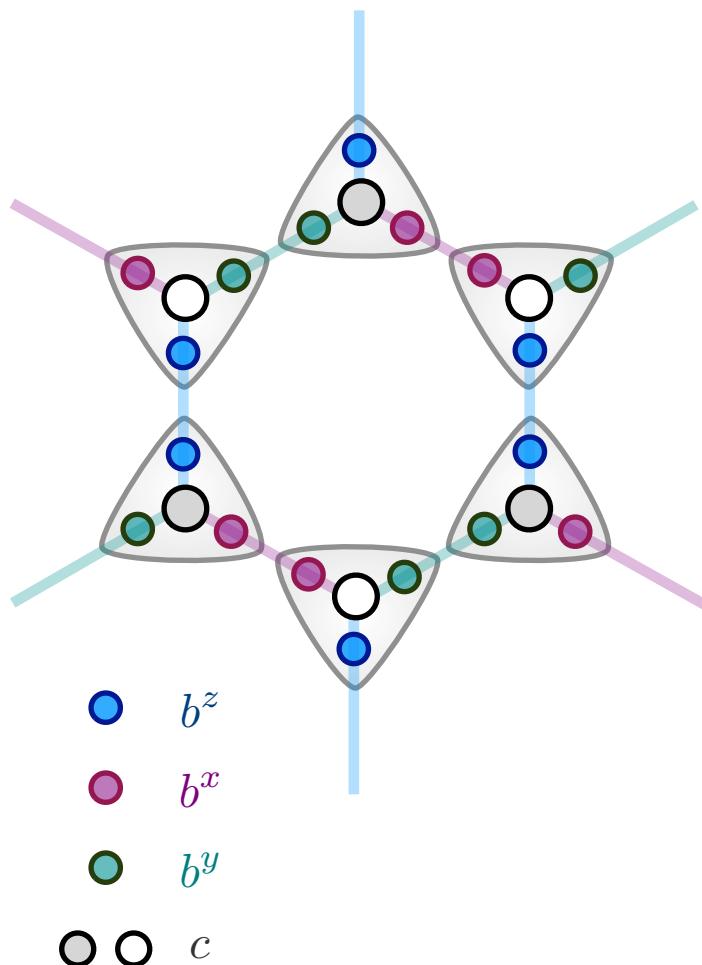
$$D = \underbrace{-i \sigma^x \sigma^y \sigma^z}_{\text{gauge operator}} = b^x b^y b^z c$$

$$[D, \sigma^\gamma] = 0$$

physical subspace  $D = 1$

# Solving the Kitaev model

## Step 2: Diagonalization of Hamiltonian



In the language of Majorana fermions, we now have

$$\sigma_j^\gamma \sigma_k^\gamma = (i b_j^\gamma c_j) (i b_k^\gamma c_k) = -i u_{jk} c_j c_k$$

$$u_{jk} = i b_j^\gamma b_k^\gamma$$

which immediately allows us to write the Hamiltonian as

$$\mathcal{H} = \frac{i}{4} \sum_{\langle jk \rangle} A_{jk} c_j c_k$$

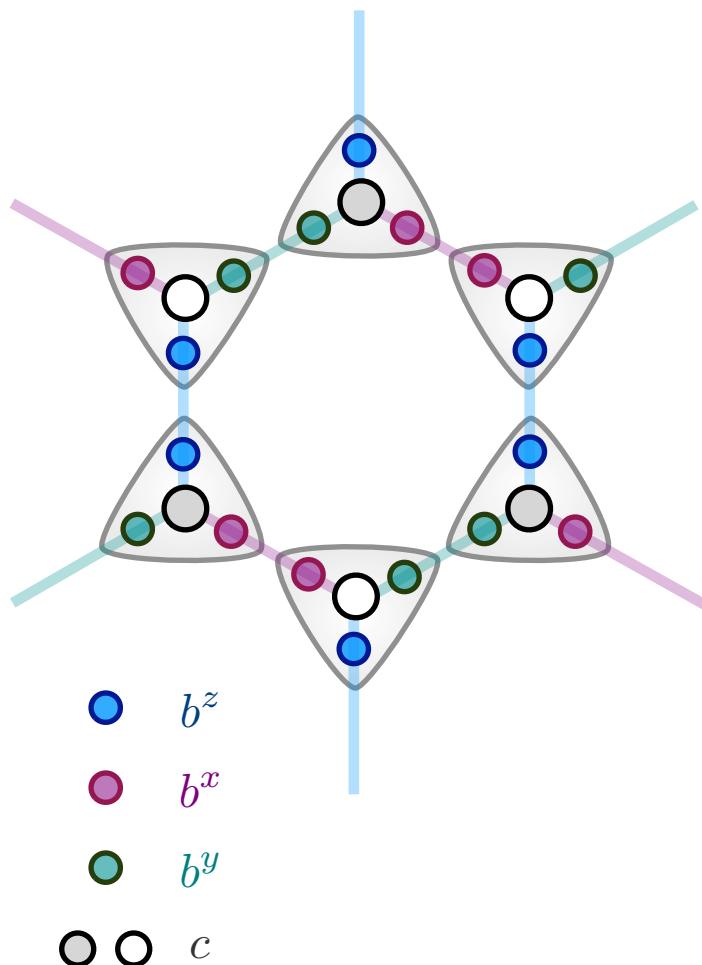
$$A_{jk} = 2 J_\gamma u_{jk}$$

Hamiltonian is skew-symmetric, because

$$u_{jk} = -u_{kj} \longrightarrow A_{jk} = -A_{kj}$$

# Solving the Kitaev model

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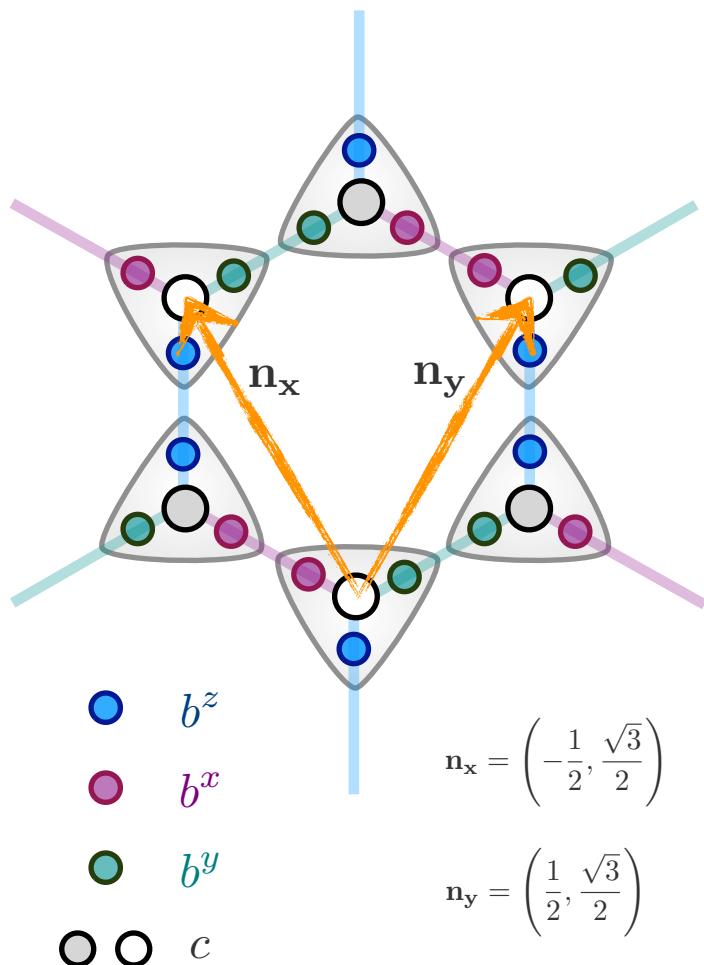
$$u_{jk} = -u_{kj} \longrightarrow A_{jk} = -A_{kj}$$

Finally, there is a gauge choice to be made

$u_{jk}$  has eigenvalues  $\pm 1$

# Solving the Kitaev model

## Step 2: Diagonalization of Hamiltonian



The Hamiltonian has turned into a free (Majorana) fermion problem

$$\mathcal{H} = \frac{i}{4} \sum_{\langle jk \rangle} A_{jk} c_j c_k$$

which can readily be diagonalized by Fourier transformation

$$\mathcal{H}(\mathbf{q}) = \frac{i}{2} A(\mathbf{q}) = \begin{pmatrix} 0 & i f(\mathbf{q}) \\ -i f^*(\mathbf{q}) & 0 \end{pmatrix}$$

$$f(\mathbf{q}) = J_x e^{i\mathbf{q} \cdot \mathbf{n}_x} + J_y e^{i\mathbf{q} \cdot \mathbf{n}_y} + J_z$$

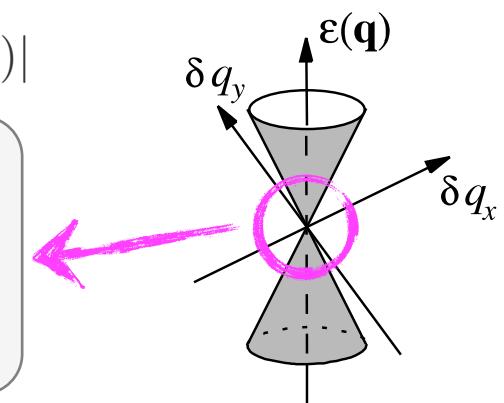
thus yielding a gapless energy spectrum of the form

$$\epsilon(\mathbf{q}) = \pm |f(\mathbf{q})|$$

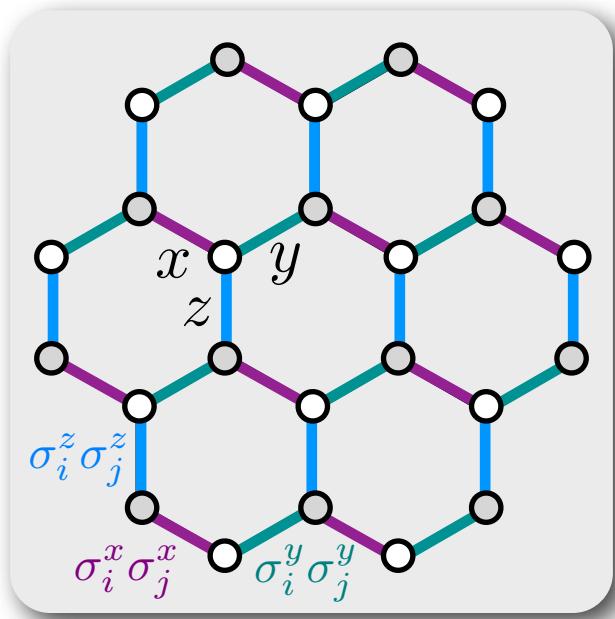
**Dirac cones**

$$\mathbf{q}_1^* = \left( \frac{2\pi}{3}, \frac{2\pi}{\sqrt{3}} \right)$$

$$\mathbf{q}_2^* = \left( -\frac{2\pi}{3}, \frac{2\pi}{\sqrt{3}} \right)$$

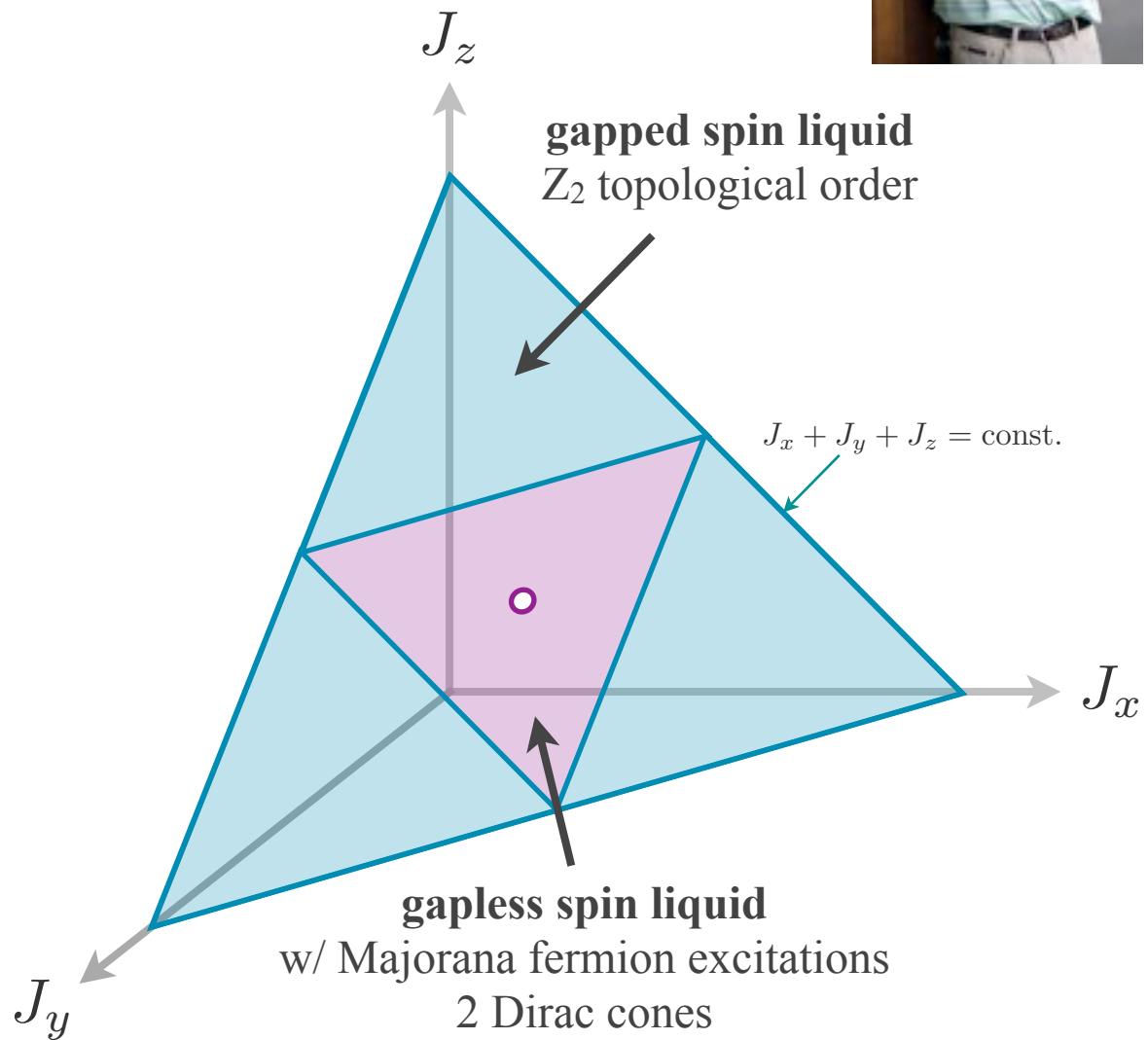


# The Kitaev model

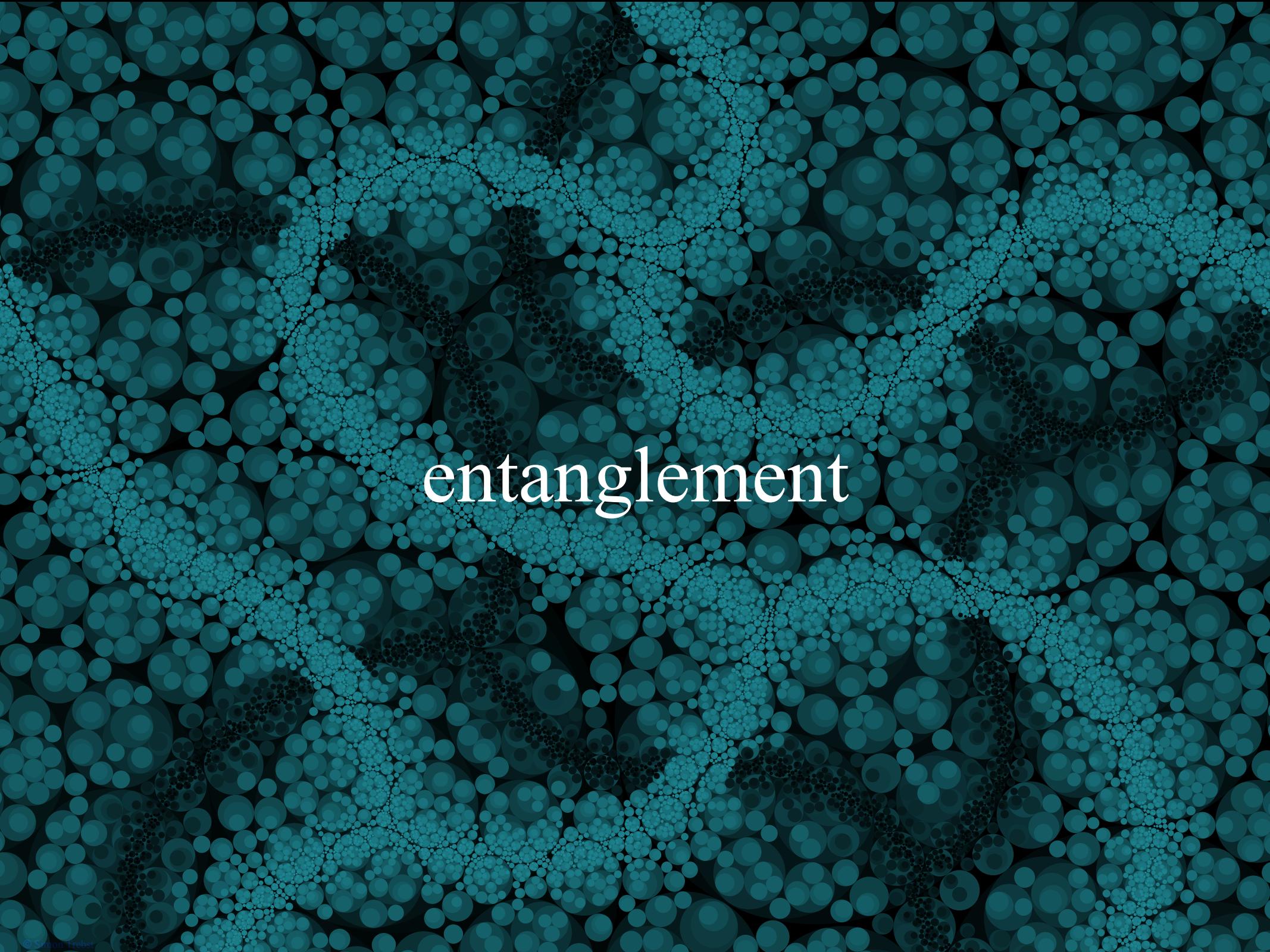


$$H_{\text{Kitaev}} = \sum_{\gamma-\text{links}} J_\gamma \sigma_i^\gamma \sigma_j^\gamma$$

Rare combination of a model  
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A. Kitaev, Ann. Phys. 321, 2 (2006)



entanglement

# Identification of topological order

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Which **observables** can we measure numerically to identify topological order?

- **bulk** properties
  - entanglement entropy & spectrum
  - ground-state degeneracy
- **edge** properties
  - entanglement entropy
  - energy spectra

# **bulk properties**

entanglement entropy

# Entanglement

---

If two quantum mechanical objects are **interwoven** in such a way that their **collective state** cannot be described as a product state we say they are **entangled**.

$$|\psi\rangle = \cos \alpha |\begin{array}{c} \uparrow \\ A \end{array}\rangle |\begin{array}{c} \downarrow \\ B \end{array}\rangle + \sin \alpha |\begin{array}{c} \downarrow \\ A \end{array}\rangle |\begin{array}{c} \uparrow \\ B \end{array}\rangle$$

- Calculate the **reduced density matrix** for one of the two parts

$$\rho_A = |\psi\rangle_A \langle \psi|_A$$

(traced over subsystem B)

$$\rho_A = \cos^2 \alpha |\begin{array}{c} \uparrow \\ A \end{array}\rangle \langle \begin{array}{c} \uparrow \\ A \end{array}| + \sin^2 \alpha |\begin{array}{c} \downarrow \\ A \end{array}\rangle \langle \begin{array}{c} \downarrow \\ A \end{array}|$$

- One quantitative measure of entanglement is the **entanglement entropy**

$$S(\rho_A) = -\text{Tr}(\rho_A \log \rho_A)$$

von Neumann entropy = first Renyi entropy

$$S_n(\rho_A) = \frac{1}{1-n} \ln [\text{Tr}(\rho_A^n)] \quad S_2(\rho_A) = -\ln [\text{Tr}(\rho_A^2)]$$

Renyi entropy second Renyi entropy

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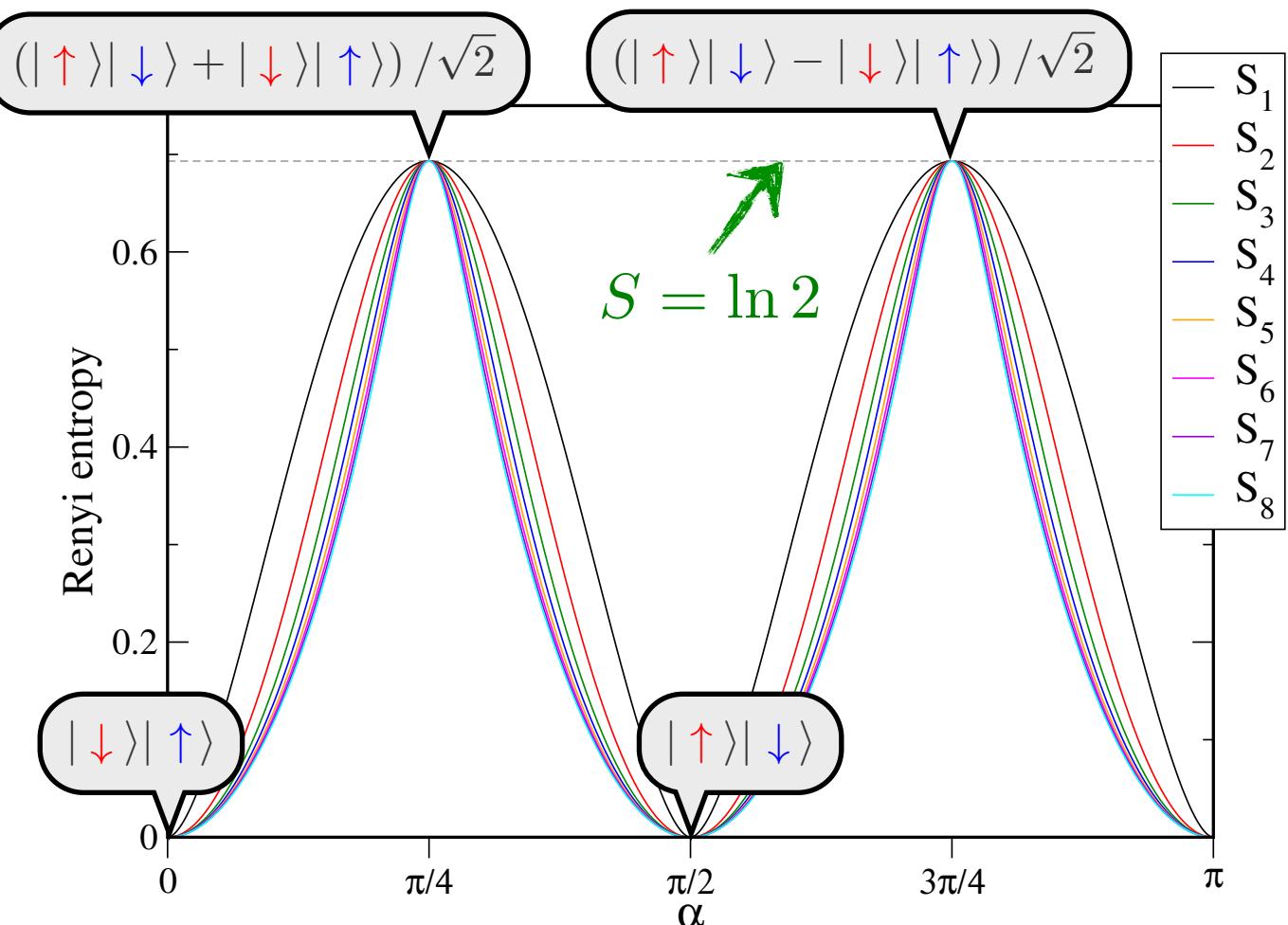
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$$S_2(\rho_A) = -\ln [\text{Tr}(\rho_A^2)]$$

second Renyi entropy

$$S_n(\rho_A) = \frac{1}{1-n} \ln [\text{Tr}(\rho_A^n)]$$

Renyi entropy



# Entanglement

---

- **Entanglement in quantum many-body systems**

- Consider bipartition of system into two parts A and B,  
and calculate the **reduced density matrix** for the two parts

$$\rho_A = |\psi\rangle_A \langle\psi|_A \quad (\text{traced over subsystem B})$$

- One quantitative measure of entanglement  
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$$S(\rho_A) = -\text{Tr}(\rho_A \log \rho_A)$$

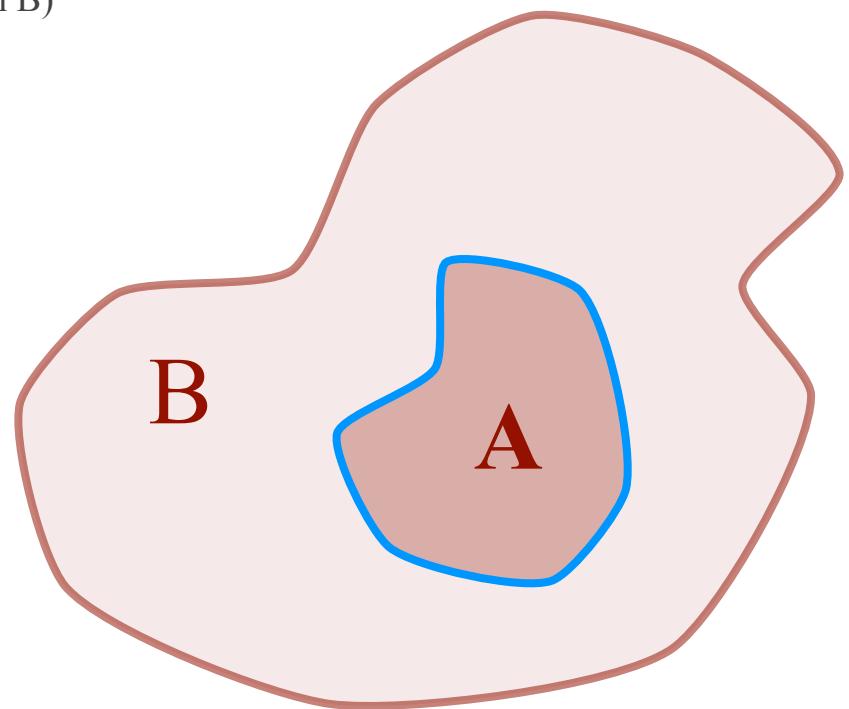
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Renyi entropy

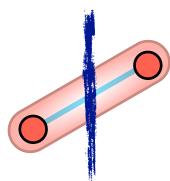
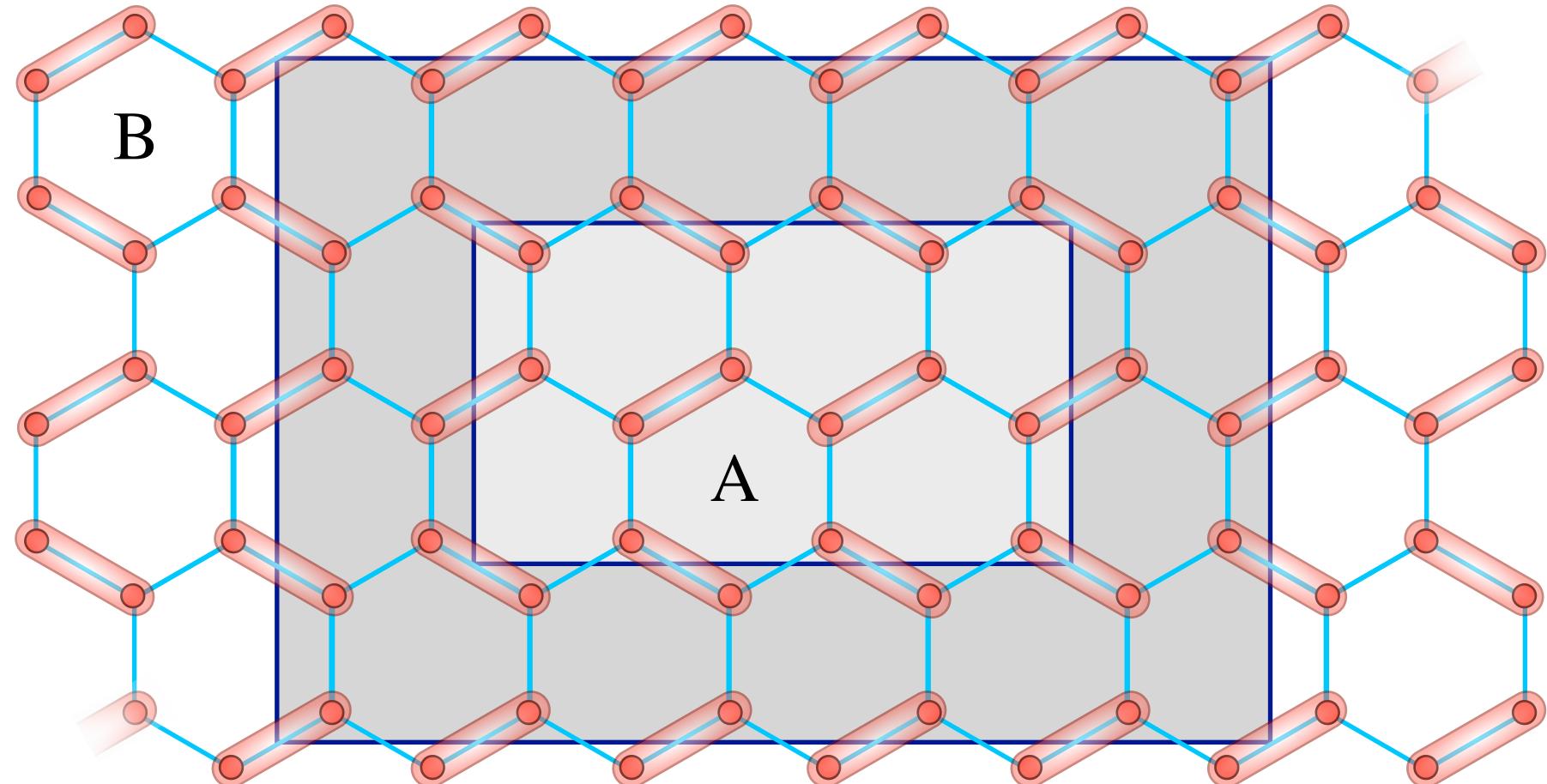
$$S_2(\rho_A) = -\ln [\text{Tr}(\rho_A^2)]$$

second Renyi entropy



# Boundary law

also called area law



$$S = \ln 2$$

Entanglement scales with the length  $L$   
of the boundary of the bipartition

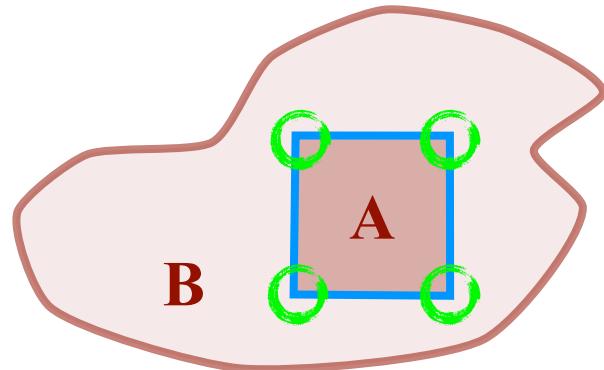
$$S \propto aL$$

# Corrections to the boundary law

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Corrections to the boundary law can arise from

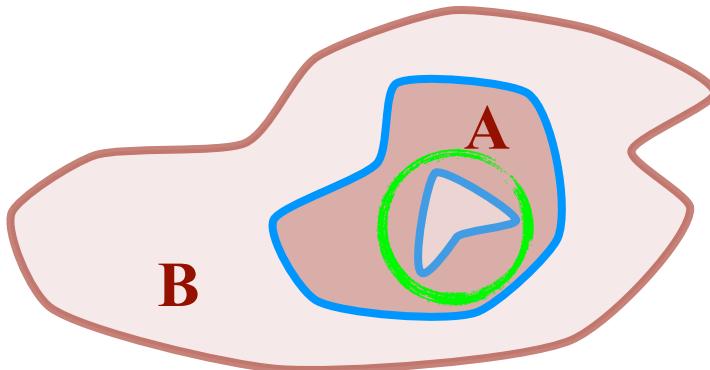
- **geometric aspects** of the bipartition



$$S = aL + b \cdot (\# \text{ of corners})$$

(for 2D gapped state)

- **topological aspects** of the bipartition



$$S = aL - \gamma \cdot (\# \text{ of disconnected parts})$$

(for 2D gapped state)

# Corrections to the boundary law

Corrections to the boundary law  
also originate from the specific  
character of the underlying quantum many-body state!

- topological spin liquids

this talk

$$S = aL - \gamma$$

quantum double models  
Levin-Wen / Kitaev models

- gapless spin liquids
  - gapless modes at singular point in momentum space

$$S = aL + c\gamma(L_x, L_y)$$

Kitaev model  
(honeycomb)

- gapless modes on surface in momentum space

$$S = cL \ln(L)$$

“Bose metals”  
(Motrunich, Sheng & Fisher)

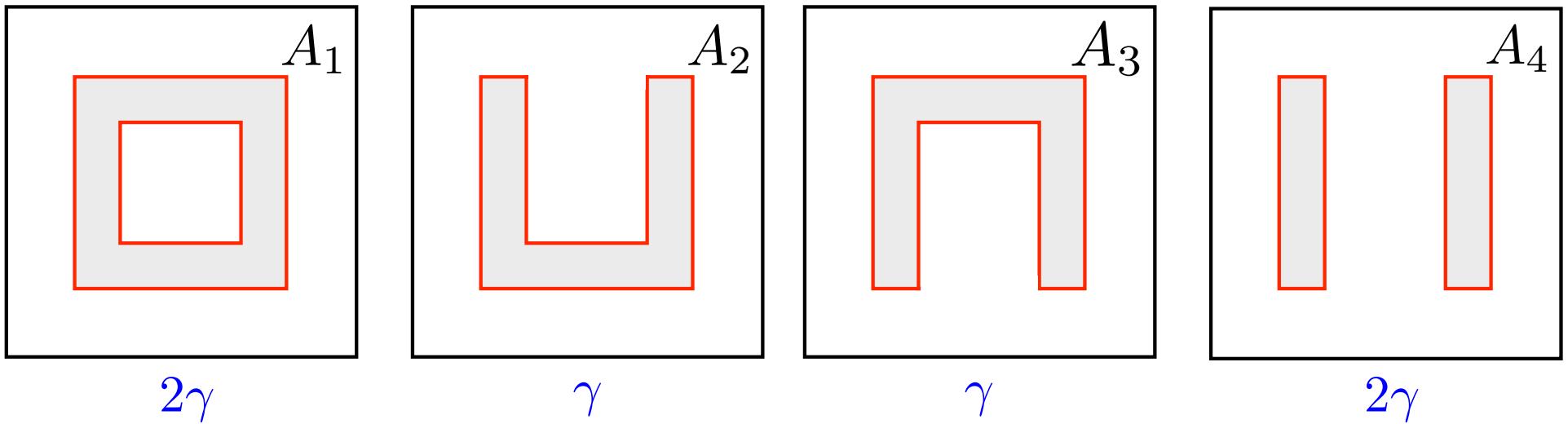
- critical points, conformal critical points, Goldstone modes, ...

$$S_{\text{QCP}} = aL + c\gamma(L_x, L_y) \quad S_{c\text{QCP}} = \mu L + \gamma_{c\text{QCP}} \quad S_G = aL + b \ln(L) + \gamma(L_x, L_y)$$

# Topological entanglement entropy

---

Distilling the **topological correction**  
by using a clever sequence of partitions



$$S = aL + b \cdot (\# \text{ of corners}) - \gamma \cdot (\# \text{ of disconnected parts})$$

$$S_{\text{topo}} = -S_{A_1} + S_{A_2} + S_{A_3} - S_{A_4} = -2\gamma$$

A. Kitaev and J. Preskill, Phys. Rev. Lett. **96**, 110404 (2006);  
M. Levin and X.-G. Wen, Phys. Rev. Lett. **96**, 110405 (2006).

# Topological entanglement entropy

The topological correction is universal.

$$\gamma = \ln \sqrt{\sum_{i=1}^n d_i^2}$$

quantum dimension  
of excitation

Examples:

- toric code (loop gas)

$$\begin{array}{ccccc} 1 & e & m & em \\ \hline d_i & 1 & 1 & 1 & 1 \end{array}$$

$$\gamma = \ln \sqrt{1 + 1 + 1 + 1} = \ln 2 \approx 0.693$$

- Fibonacci theory (string net)

$$\begin{array}{ccc} 1 & \tau \\ \hline d_i & 1 & \phi = \frac{1 + \sqrt{5}}{2} \end{array}$$

$$\gamma = \ln \sqrt{1 + \phi^2} \approx 0.643$$

A. Kitaev and J. Preskill, Phys. Rev. Lett. **96**, 110404 (2006);  
M. Levin and X.-G. Wen, Phys. Rev. Lett. **96**, 110405 (2006).

# Let's try this on the toric code

Examples: • toric code (loop gas)

	1	e	m	em
$d_i$	1	1	1	1

$$\gamma = \ln \sqrt{1 + 1 + 1 + 1} = \ln 2 \approx 0.693$$

ARTICLES

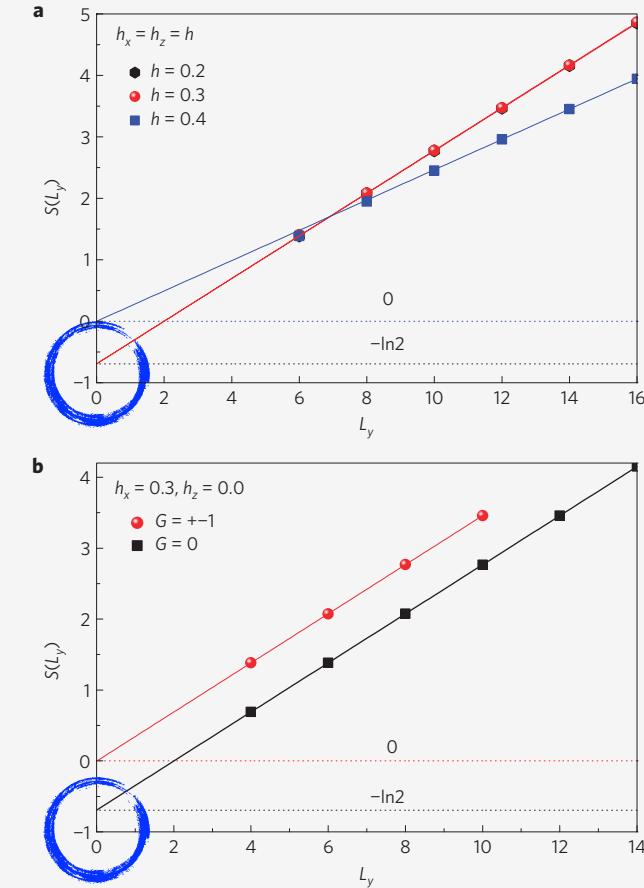
PUBLISHED ONLINE: 11 NOVEMBER 2012 | DOI:10.1038/NPHYS2465

nature  
physics

## Identifying topological order by entanglement entropy

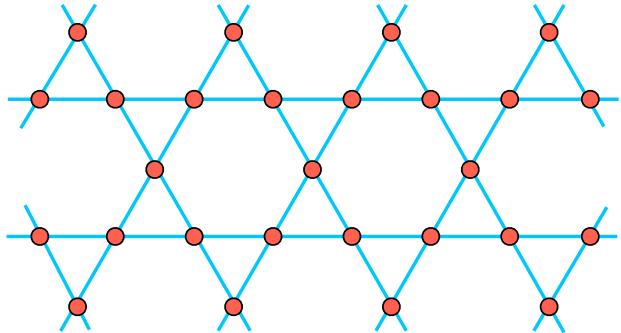
Hong-Chen Jiang<sup>1</sup>, Zhenghan Wang<sup>2</sup> and Leon Balents<sup>1\*</sup>

Nature Physics 8, 902 (2012).



**Figure 2 |** The von Neumann entropy  $S(L_y)$  for the toric-code model in magnetic fields. **a**,  $S(L_y)$  with  $L_y = 4-16$  at  $L_x = \infty$  for symmetric magnetic fields at  $h_x = h_z = h = 0.2, 0.3$  and  $0.4$ . By fitting  $S(L_y) = aL_y - \gamma$ , we get  $\gamma = 0.693(1), 0.691(4)$  and  $0.001(5)$ , respectively. **b**, The pure electric case,  $h_x = 0.3, h_z = 0$ , and comparison of  $S(L_y)$  in the MES obtained in the large  $L_x$  limit (black squares) with that of the absolute ground state from systems of dimensions  $L_x \times L_y = 20 \times 4, 24 \times 6, 24 \times 8, 24 \times 10$  (red circles). Extrapolation shows that the MES has the universal TEE, whereas the absolute ground state has zero TEE.

# Kagomé antiferromagnet



Heisenberg model

$$H = \sum_{\langle i,j \rangle} \vec{S}_i \cdot \vec{S}_j$$

on kagomé lattice

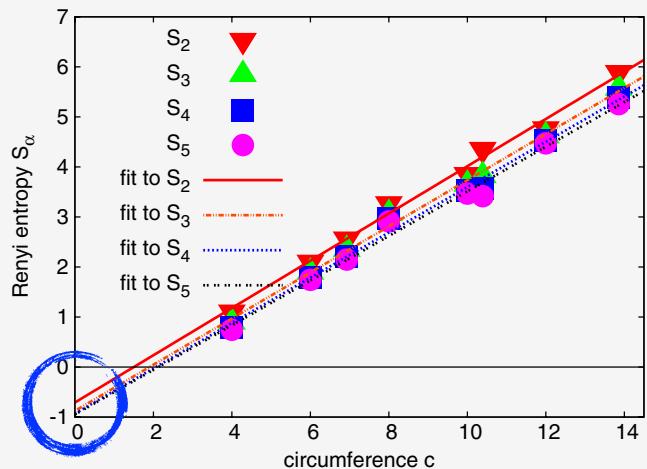
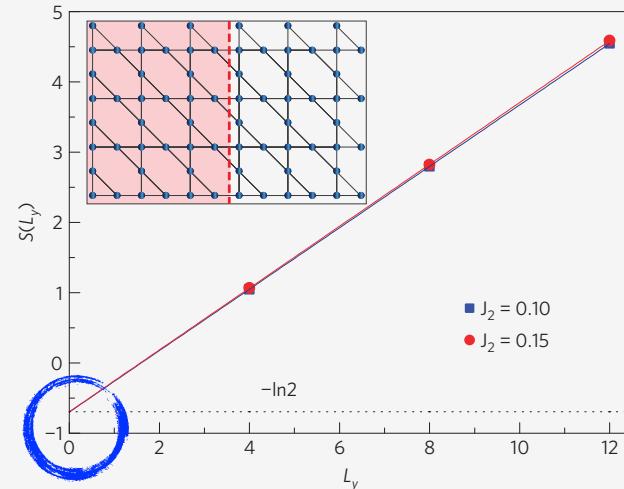


FIG. 6 (color online). Renyi entropies  $S_\alpha$  of infinitely long cylinders for various  $\alpha$  versus circumference  $c$ , extrapolated to  $c = 0$ . The negative intercept is the topological entanglement entropy  $\gamma$ .

S. Depenbrock, I.P. McCulloch, and U. Schollwöck,  
Phys. Rev. Lett. **109**, 067201 (2012).



**Figure 3** | The entanglement entropy  $S(L_y)$  of the kagome  $J_1-J_2$  model in equation (2), with  $L_y = 4-12$  at  $L_x = \infty$ . By fitting  $S(L_y) = aL_y - \gamma$ , we get  $\gamma = 0.698(8)$  at  $J_2 = 0.10$  and  $\gamma = 0.694(6)$  at  $J_2 = 0.15$ . Inset: kagome lattice with  $L_x = 12$  and  $L_y = 8$ .

H.-C. Jiang, Z. Wang, and L. Balents,  
Nature Physics **8**, 902 (2012).

# bulk properties

entanglement spectrum

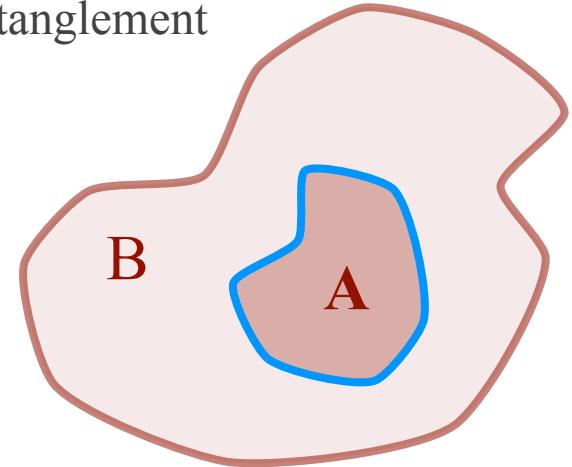
# Entanglement spectrum

- The entanglement entropy is one quantitative measure of entanglement

$$\rho_A = |\psi\rangle_A \langle\psi|_A \quad (\text{traced over subsystem B})$$



$$S(\rho_A) = -\text{Tr}(\rho_A \log \rho_A)$$



- However, **a lot of information** possibly contained in the density matrix **is discarded** in this simple measure.
- The **entanglement spectrum** aims at unraveling some of this information

$$\rho_A = |\psi\rangle_A \langle\psi|_A = \sum_{\alpha} \lambda_{\alpha}^2 |\phi_{\alpha}\rangle_A \langle\phi_{\alpha}|_A \quad (\text{Schmidt decomposition})$$



$$S(\rho_A) = - \sum_{\alpha} \lambda_{\alpha}^2 \ln \lambda_{\alpha}^2$$

$$\lambda_{\alpha} = e^{-\xi_{\alpha}/2}$$

$$\rho_A = e^{-H_E} \quad (\text{entanglement Hamiltonian})$$

“entanglement  
Hamiltonian”

# Entanglement spectrum

H. Li and F.D.M. Haldane, Phys. Rev. Lett. **101**, 010504 (2008).

- The **entanglement spectrum** aims at unraveling some of the information contained in the density matrix

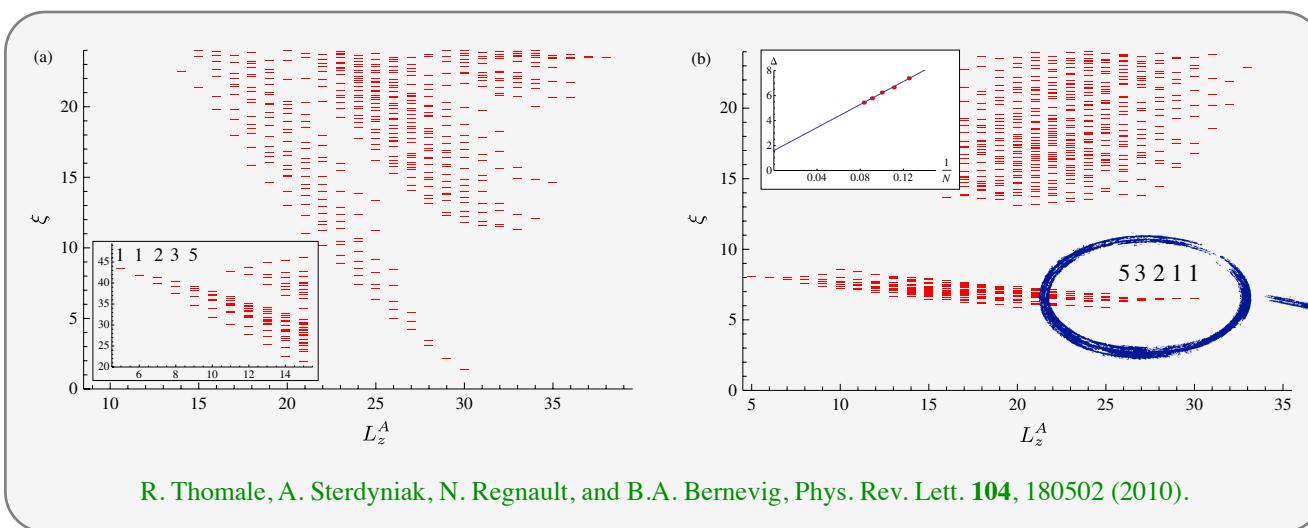
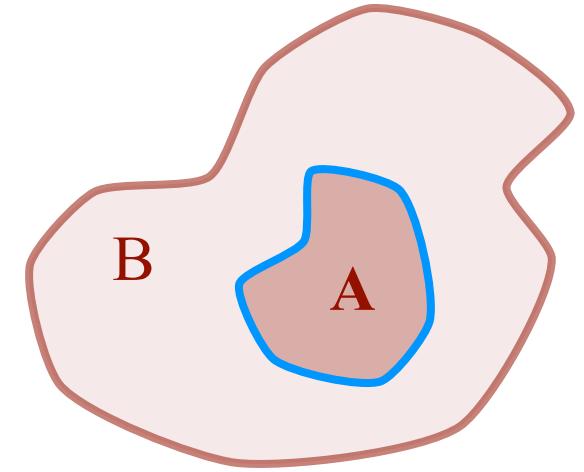
$$\rho_A = |\psi\rangle_A \langle\psi|_A = \sum_{\alpha} \lambda_{\alpha}^2 |\phi_{\alpha}\rangle_A \langle\phi_{\alpha}|_A$$



$$\lambda_{\alpha} = e^{-\xi_{\alpha}/2}$$

$$\rho_A = e^{-H_E}$$

“entanglement Hamiltonian”



counting related to number of modes  
of the gapless edge theory (CFT)

# Entanglement summary

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- The **entanglement entropy** and **entanglement spectrum** are powerful bulk “observables” that allow
  - to **unambiguously distinguish topological order** from conventional order
  - to characterize the type of topological order to a good extent\*

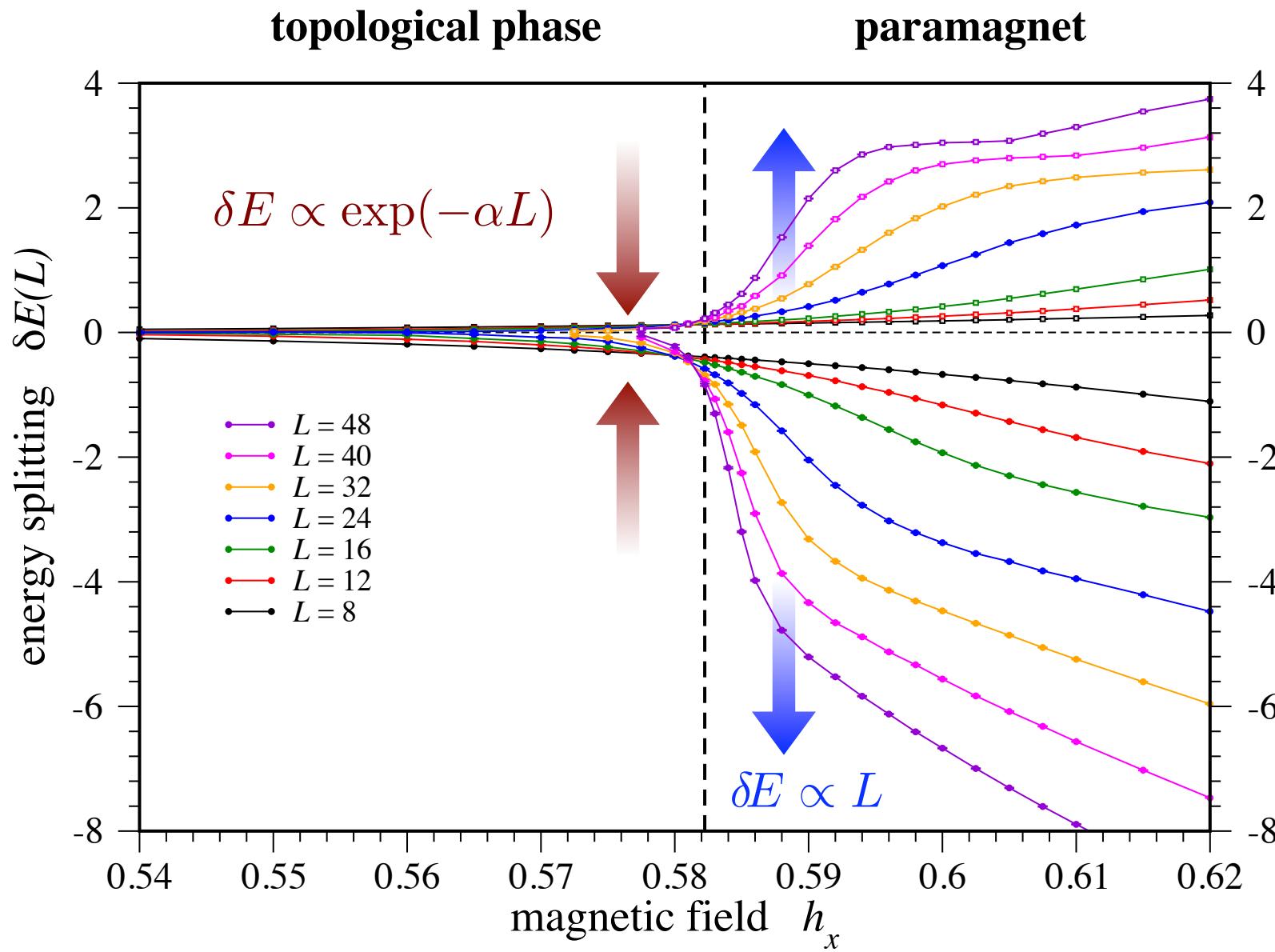
\* in some measures, e.g. the topological entanglement entropy, some unambiguities might remain and require additional work
- The **reduced density matrix** is **readily available** in some numerical methods such as
  - exact diagonalization
  - density matrix renormalization group
$$\rho_A = |\psi\rangle_A \langle\psi|_A$$
- Other numerical techniques have caught up, in particular
  - quantum Monte Carlo → replica trick → Renyi entropies / entanglement entropy  
*You will hear about this in Peter Bröcker’s talk tomorrow morning.*
  - numerical linked cluster expansion → mutual information

# **bulk properties**

ground-state degeneracy

# Ground-state degeneracy

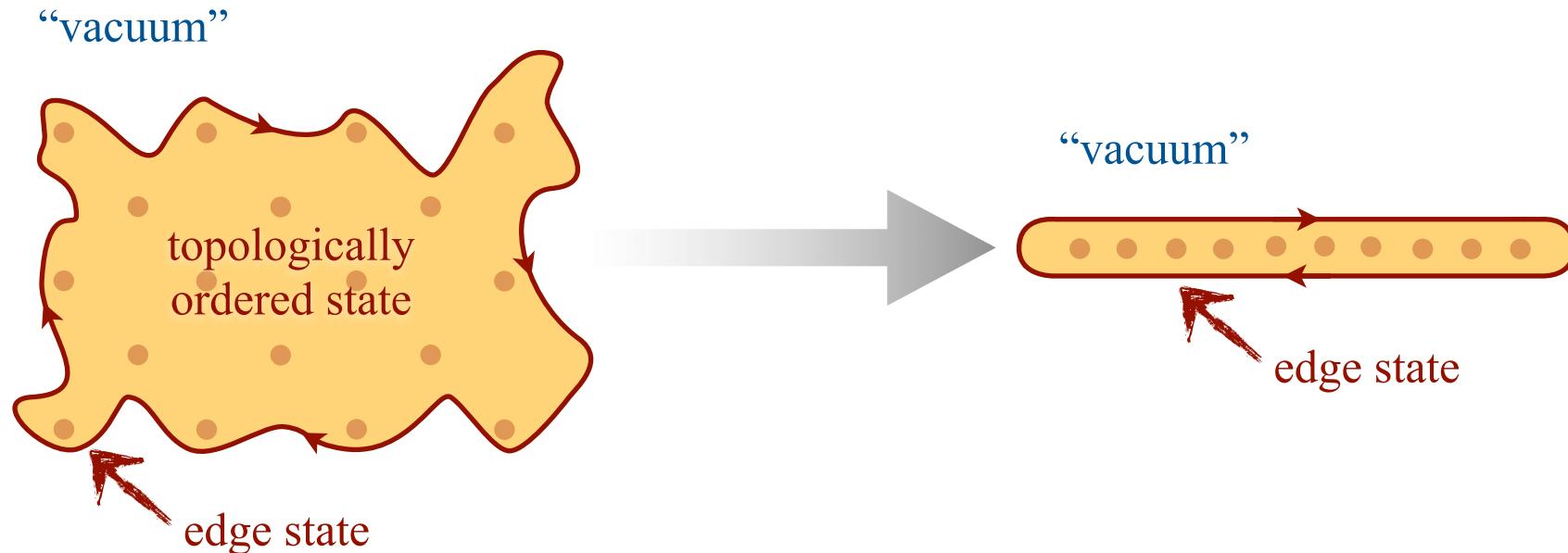
ST *et al.*, Phys. Rev. Lett. **98**, 070602 (2007).



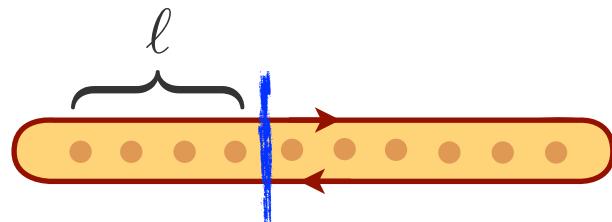
# edge properties

entanglement entropy

# Edge states



- **Edge states** correspond to the **gapless modes** of a critical one-dimensional system, which are typically described by a **conformal field theory** (CFT).
- The conformal field theory can again be identified via entanglement properties



central charge

$$S = \frac{c}{3} \log \left( L \sin \left( \frac{\pi \ell}{L} \right) \right) \xrightarrow{\ell = L/2} S = \frac{c}{3} \log L$$

C. Holzhey et al., Nucl. Phys. B 424, 44 (1994);  
P. Calabrese and J. Cardy, J. Stat. Mech. P06002 (2004).

# Edge states

- Edge states correspond to the gapless modes of a critical one-dimensional system, which are typically described by a conformal field theory (CFT).
- The CFT can be identified via entanglement properties
- Even more information about the CFT reveals itself in the energy spectrum

$$S = \frac{c}{3} \log L$$

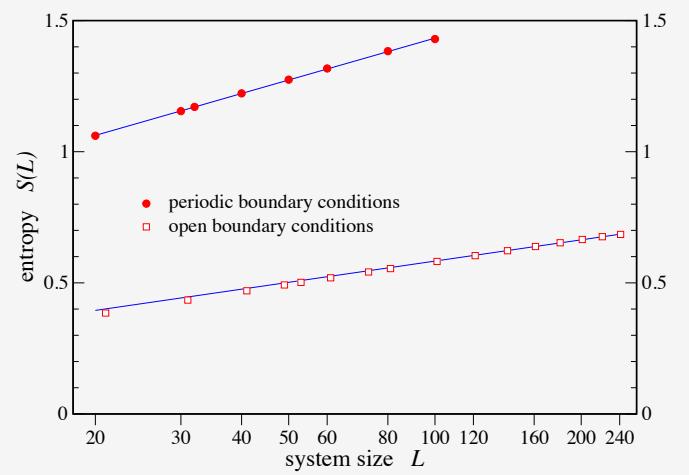


FIG. 2: (Color online) Entropy scaling for interacting Fibonacci anyons arranged along an open (open squares) or periodic chain (closed circles) versus the system size  $L$ .

A. Feiguin et al., Phys. Rev. Lett. **98**, 160409 (2007).

$$E = E_1 L + \frac{2\pi v}{L} \left( -\frac{c}{12} + x_s \right)$$

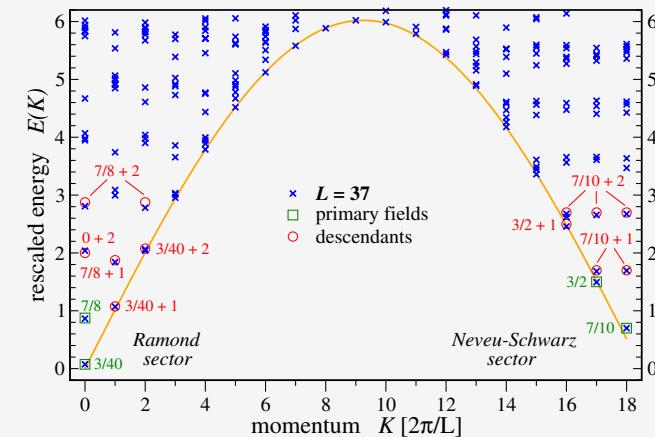
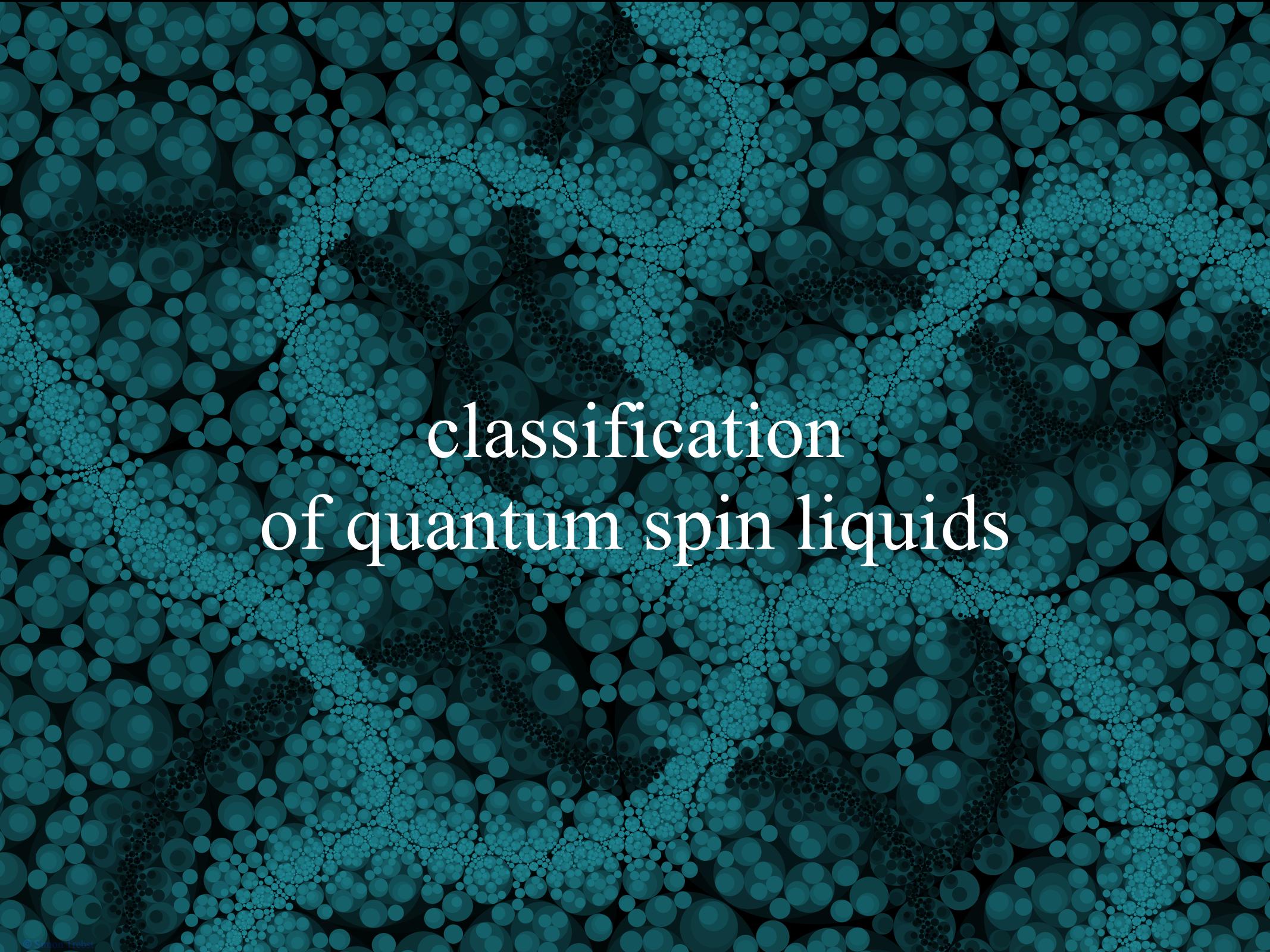


FIG. 3 (color online). Energy spectra for periodic chains of size  $L$ . Energies are rescaled and shifted such that the two lowest eigenvalues match the CFT assignments. Open boxes indicate positions of primary fields of the  $c = \frac{7}{10}$  CFT. Open circles give positions of descendant fields as indicated

A. Feiguin et al., Phys. Rev. Lett. **98**, 160409 (2007).

# We are done!

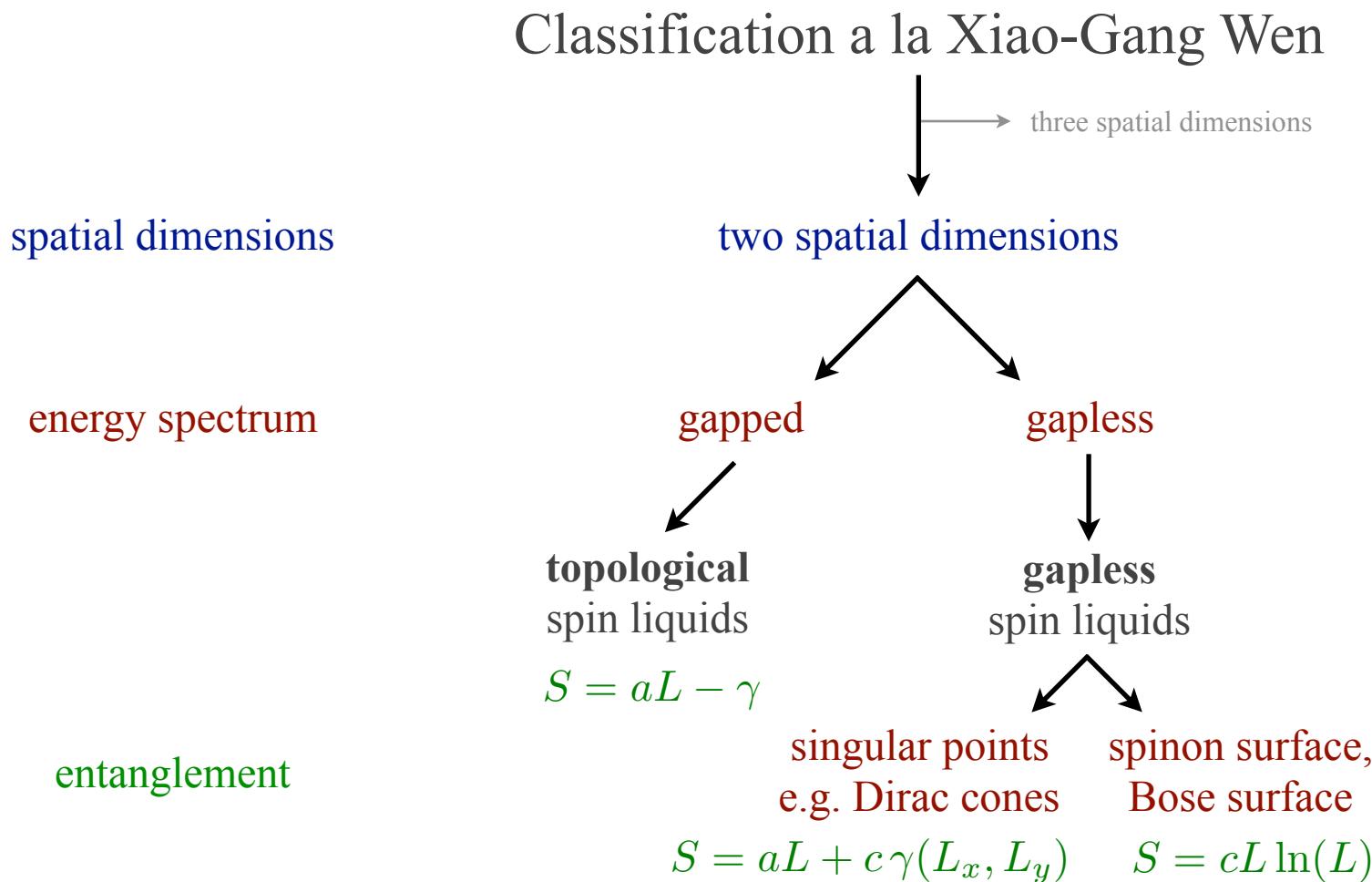
So what did we learn?

The background of the slide features a dense, abstract pattern of overlapping circles in various shades of teal and dark grey, creating a sense of depth and texture.

# classification of quantum spin liquids

# Quantum spin liquids

Quantum spin liquids are exotic ground states of frustrated quantum magnets, in which **local moments** are **highly correlated** but **still fluctuate strongly** down to zero temperature.



# Summary

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- The formation of **quantum spin liquids** in an interacting quantum many-body system is one of the **most fascinating** phenomena in condensed matter physics
- The identification of **topological order or gapless spin liquids** builds on concepts from
  - statistical physics van Neumann & Renyi entropies
  - quantum information theory entanglement
  - mathematical physics boundary laws, anyon theories
- The exploration of topological order is a **rich and quickly evolving research field** – just at its beginning.