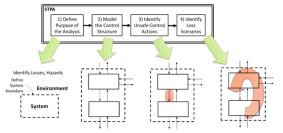
RTS-TK A toolkit to create a Run Time Sentry (RTS) from the STPA-SEC tailored process

Leveraging the System Theoretic Process Analysis from the Kharsansky Satelite Master's Thesis to generate Reference Monitors



Kharsansky, Alan. A systemic approach toward scalable, reliable and safe satellite constellations. Diss. Massachusetts Institute of Technology, 2020. SOURCE

The Kharansky Master's Thesis describes how one might use the STPA process to re-architect a system, specifically a satellite constellation, given a proper STPA analysis. However, often re-architecting a system will require modifications to existing components or existing software. This report describes how one might use an STPA notation tool combined with several open source Formal Methods based tools to create code that prevents or protects against specific failure conditions identified in your STPA analysis

▼ Installation

The following git repo can be provided upon request:

- STPA DOCKER: This is the custom tool developed by MBClark LLC and SCASD Consulting. The tool is a combination of docker containers that serve the jupyter lab application and supporting tools. The tools are provided as government purpose licensed tools.
- STPA_REF: As of this writing OGMA, FRET, and CoPilot have not been directly integrated in STPA_DOCKER. In the next phase of the project, STPA_DOCKER will be incorporated with the tools described in this report. However, the current version of STPA_REF is a series of installation scripts to guide the install of OGMA, FRET, CoPilot and the associated model checkers and compilers required to use these tools

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Currently, the entire system can run on Ubuntu 23.x including the supporting tools.

Step 1 Define the Purpose of the analysis

Kharsansky Environment - complete satellite constellation

Problem:

• Provide a service over a particular area of interest. For each particular type of Satellite application

METHOD (By Means Of):

- Communication: Provide broadband coverage over a specific area of interest
- Asset monitoring and controlling: send and receive data and commands to and from assets over a specific area of interest to a ground network or facility.
- Remote sensing: Obtain scientific or commercial data of the surface or atmosphere of the earth over a specific area of interest
- . GNSS: Provide navigation information (position and velocity) to ground, airborne, or space terminals over a specific area of interest.

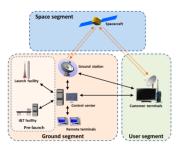


Figure 13 - Sample system overview of a satellite system. Credit: Swpb / CC BY-SA

Step 1.1 Identify the Core Components of the System

This can be derived from your SysML architecture block diagrams. Order the components in order of hierarchy. Physical Blocks with physical stereotypes such as mass, thrust, satellite position or attitude go at the

Logical controllers or sensors that automatically control physical blocks are next in the hierarchy and denoted by AC or Automatic Controller.

Logical controllers that are of a Human or Process stereotype go on the top and are denoted by HC or Human Controller.

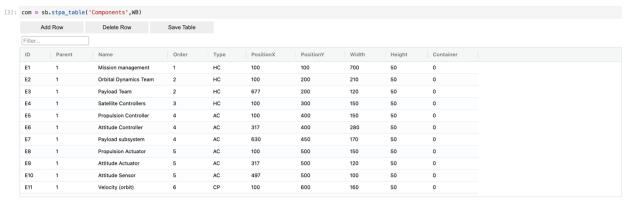
It is important to organize your system components in this way so that you can get a better feel for which component has Authority over the next

```
[1]: ## Run this cell first to import the spec-books library import os
        import os
import sys
code_path = os.path.join(os.path.dirname(os.path.dirname(os.getcwd())),'CODE')
if code_path not in sys.path:
    sys.path.append(code_path)
import spec_books as sb
```

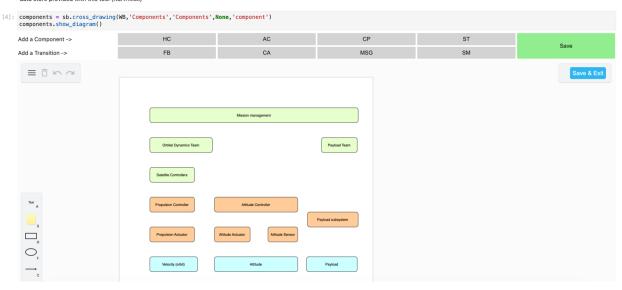
[2]: ## Define the excel workbook that contains the spec-books data WB = 'KSAT.xlsx'

Example from paper of components defined in the first architecture

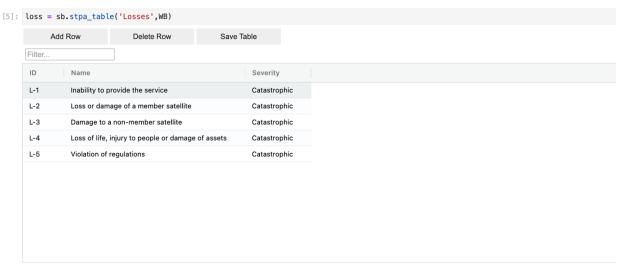
stpa_table creates a javascript table with the structure necessary for both identifying components and then visualizing them as well. HC,AC, and CP designators color code the drawing



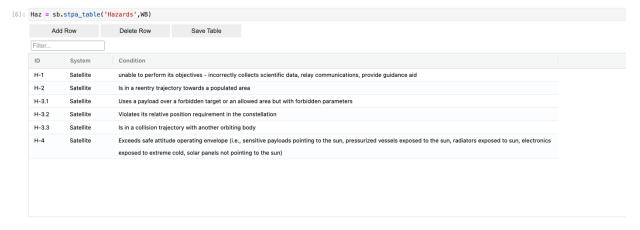
Component_Diagram function creates a Drawio visualization of the components in hiearchical order. More information about the location of each component is provided in the Components table in the sample excel



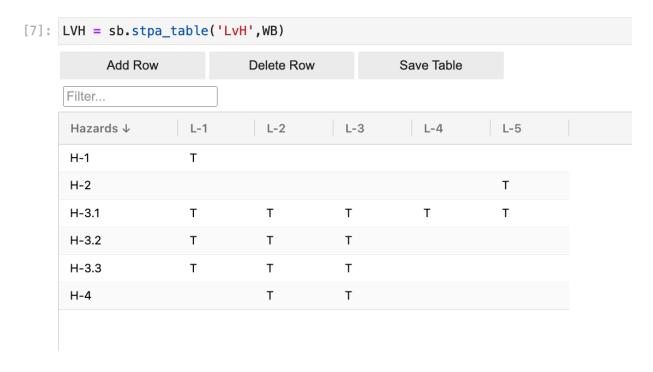
Step 1.2 - Define Losses



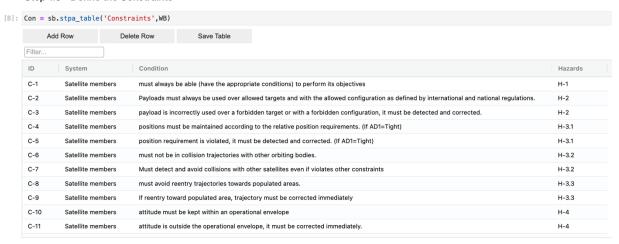
Step 1.3 - Define the Hazards



Step 1.4 - Define the Loss / Hazard Relationship



Step 1.5 - Define the Constraints

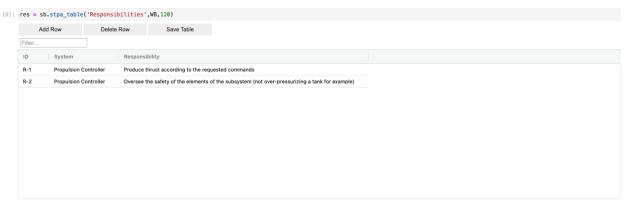


Step 1.6 Define the Responsibilities

In this example we will focus on the Propulsion Controller only. From the text we get the following high level description of the responsibilities (from the constraints) assigned to the Propulsion Controller

The propulsion controller implements the lowest level of automation related to controlling the high-speed loops of the propulsion elements through an automated controller. The primary responsibilities are to:

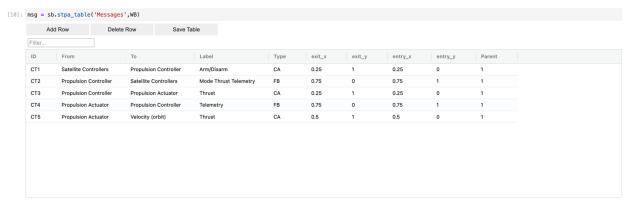
- Produce thrust according to the requested commands by controlling, for example, the pressure of tanks, the amount of electrical power to a Hall effect thruster, and opening or closing of valves.
- Oversee the safety of the elements of the subsystem (not over-pressurizing a tank for example)



Step 2 Model the Control Structure

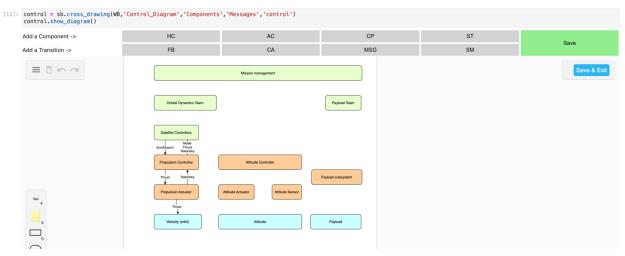
Using the component definitions above, Identify the actions that are taken on and by each component. These actions can be in the form of a Control Action or Command (CA), a Feedback response (FB), or a message from another component that doesn't immediately result in an action (MSG)

In this example, we focused only on the actions that directly influence the Propulsion Controller



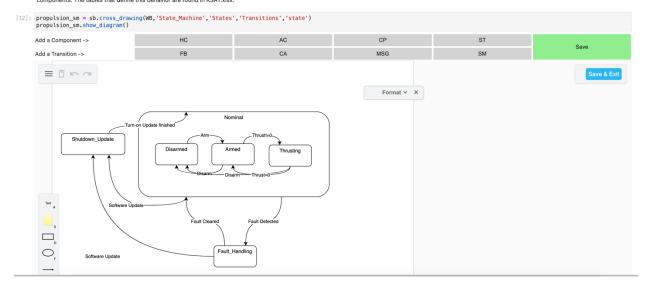
The Control Diagram

The Control_Diagram function relies on two tables, Components and Messages. Both tables in the DATA/KSAT.xisx file have additional columns that specify the orientation, size, and direction of each component and each arrow or action on or from each component



Step 2.2 Identify the High-level operational modes of the Components

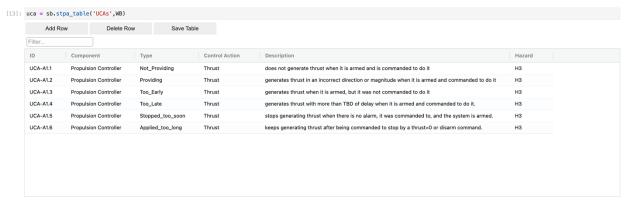
In this example we dove a little deeper into the **Propulsion Actuator** component. Again imagine pulling this information from an existing activity or state diagram that defines the high level behavior of your components. The tables that define this behavior are found in KSAT.xlsx.



Step 3 Identify the Unsafe Control Actions for the Propulsion Controller

From the components, constraints, responsibilities - look at each control action and define the potential unsafe or hazardous conditions that could result by providing, not providing, providing too early, too late, stopping too soon or applying too long.

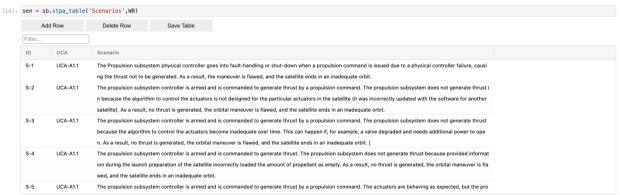
Again, in this example we focused on the the Thrust command in the **Propulsion Controller**



Step 4 Define the Scenarios

Scenarios are intended to be free form text. The javascript table currently does not have rich text capability. However, the next steps of the process will guide you as to how to take the free form text and formalize it.

Consider UCA-A1.1: from Pages 127-128



Step 4.1 Refine and Formalize the Scenarios

In order to use STPA to generate machine readable Reference Monitors that protect a system from potential hazardous control actions, the scenarios must be formalized. Simply, this means applying the ontology defined above in the Control Diagram, the State Diagram, and the UCAs to the textual description. This also allows us to identify any additional "internal" variables that might guide decisions of the Propulsion Controller.

In each scenario, highlight the any states or modes, component or subsystem of interest, and any possible variables:

Legend

- Mode or State
- Conditions
- Component
- Timing
- · Response, Result, or Hazard

Scenario 1:

The Propulsion Controller goes into fault-handling or shut-down mode when a Thrust command is issued due to a Propulsion_Actuator_Fault, causing the Thrust not to be generated. As a result, the maneuver is flawed, and the satellite ends in an inadequate orbit.

Scenario 2

The Propulsion Controller is armed, and is commanded to generate Thrust The Propulsion Actuator does not generate thrust because the algorithm is not designed for the particular Propulsion Actuator in the satellite (it was incorrectly updated with the software for another satellite - i.e. Actuator_Miss_Match_Fault). As a result, no thrust is generated, the maneuver is flawed, and the satellite ends in an inadequate orbit.

Step 5 Design a Reference Monitor from Scenarios

Step 5.1 Create Requirements State Machine Language Table

Consider the Propulsion Controller State Machine provided earlier. This can be translated into the Requirements State Machine Language

[17]: propulsion_sm.show_diagram()

GridspecLayout(children=(Label(value='Add a Component ->', layout=Layout(grid_area='widget001', height='auto',...
HBox(children=(Diagram(cell_ids=('0', '1', '54', '51', '52', '53', 'T1', 'T2', 'T3', 'T4', 'T5', 'S5', 'S6', '_...

A STATE MACHINE or STATECHART can be translated to plain english in the form of scenarios. For example, the Propulsion Controller State Chart has 6 states, three parent states and three child states, and has 10 translitions. Consider the translition from the **ARMED** to **THRUSTING** states.

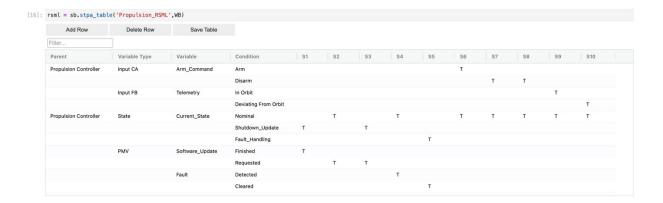
LEGEND

- Mode or State
- Conditions
- Component
- Timing
- Response, Result, or Hazard

Propulsion Controller State Chart Scenario transitioning from ARMED to THRUSTING:

If the Propulsion Controller receives telemetry feedback that the Satellite is Deviating from Orbit AND is in the Nominal:Armed state THEN the next state SHALL be the Nominal:Thrusting AND the Thrust Control Action output will be generated.

The Requirements State Machine Language (RSML) is a method to translate a statechart into a tabular form amenable to both data entry and analysis. The columns S1 - S10 represent traces or conditions from input to output of the behavior of the Propulsion Controller StateChart. S1-S10 represent the logic of the statechart in disjunctive normal form. Meaning, the rows represend AND conditions and the columns represent OR conditions.



Step 5.2 Add Scenarios the RSML Table

Now that we have an RSML table that represents the behavior of the current design, we can evaluate the unsafe control actions and identify where in the system these actions could occur.

For the purposes of this demonstration, we will translate UCA A1.1 - Scenario 2 (page 127).

UCA A1.1 - Scenario 2:

The Propulsion Controller is armed, and is commanded to generate Thrust The Propulsion Actuator does not generate thrust because the algorithm is not designed for the particular Propulsion Actuator in the satellite (it was incorrectly updated with the software for another satellite - i.e. Actuator_Miss_Match_Fault). As a result, no thrust is generated, the maneuver is flawed, and the satellite ends in an inadequate orbit.

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Hopefully it can be seen already that there is a descrepancy in the State Machine model of the the Propulsion Controller and the following scenario. Namely, there is no command input to the Propulsion Controller to generate thrust. Also, there is no feedback to the propulsion controller that there is an Actuator miss match. We will modify the scenario slightly based on RSML-S10:

Revised UCA A1.1 - Scenario 2 (RSML S11)

If the Propulsion Controller is in the Nominal:Armed state

AND

receives telemetry feedback that the Satellite is Deviating from Orbit ***Changed to represent telemetry feedback as the thrust command

AND

the Propulsion Controller provides the Thrust Control Action

AND

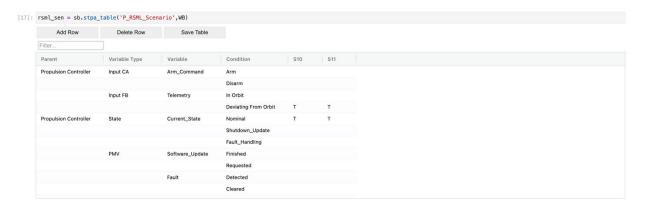
Propulsion Actuator does not generate Thrust because the algorithm is not designed for the particular in the satellite (it was incorrectly updated with the software for another satellite - i.e. Actuator_Miss_Match_Fault).

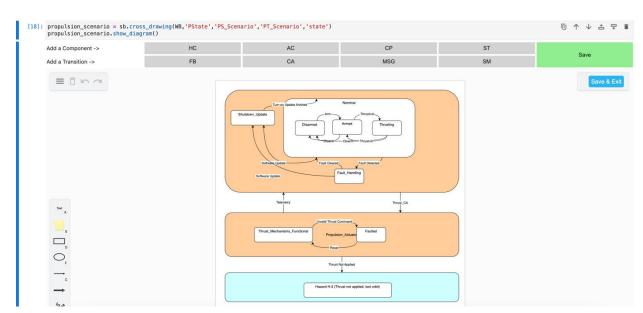
THEN

no Thrust is generated

AND

The Hazard the maneuver is flawed, and the satellite ends in an inadequate orbit occurs





Step 5.3 Defining a new Responsibility

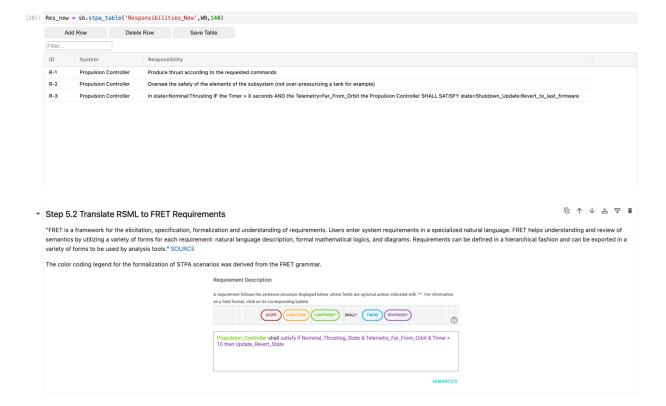
Once the hazard has been identified, a potentially a new constraint and a new responsibility can be added. Consider the option that a timer is used in conjunction with the telemetry error. This would introduce two new internal variables. For simplicity, consider refining the current telemetry variable to have three possible values rather than just two. This is simplier than a real valued variable and is an abstraction of the actual control system to illustrate the point. However, often these abstractions can be implemented in hardware.

Consider the Telemetry input to have the values [On Orbit, Near Orbit, Far from Orbit]. This provides a relative scale of error of the satellite vs its planned orbit.

Consider the Scenario: LEGEND

- Mode or State
- Conditions
- Component
- Timing
- Response, Result, or Hazard

In state=Nominal:Thrusting IF the Timer > X seconds AND the Telemetry=Far_From_Orbit the Propulsion Controller SHALL SATISFY state=Shutdown_Update:Revert_to_last_firmware



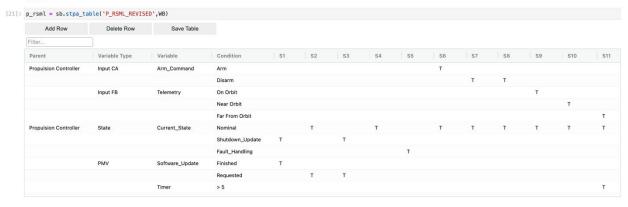
Propulsion_Controller shall satisfy if Nominal_Thrusting_state & Timer > 10 & Telemetry_Far_From_Orbit then Update_Revert_State

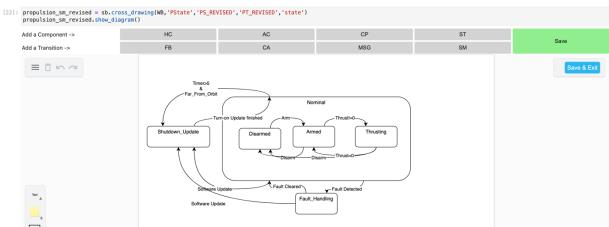
FRET takes the natural language requirement and converts it to Past Time Linear Temperal Logic (PLTL)

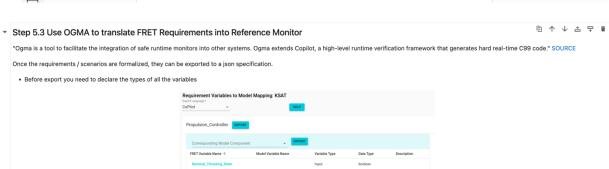
(O (((Nominal_Thrusting_State & Telemetry_Far_From_Orbit) & (Timer > 10)) -> Update_Revert_State))

This can also be translated to RSML and the State Machine views

RSML:







Most of the time, the variable type will be input or output. The c code that is generated is intended to be used in conjunction with other existing code. So, the reference monitor will use the inputs and outputs specified. Only Process Model Variables could be internal depending on how you decide to implement the monitor. **Update Variable** FRET Project FRET Component KSAT Propulsion_Controller Model Component FRET Variable Variable Type* Nominal_Thrusting_State Input Data Type* boolean Description CANCEL

Output of formalized requirement (Propulsion ControllerSpec.ison)

Once you export the file, FRET will ask for a location for a zip. Extract the contents. Each json file in the container will be defined by the component. For example, Propulsion_Controller.

Below is a sample json output:

```
{
    "Propulsion_ControllerSpec":{
    "Internal_variables":[],
    "Other_variables":[],
    "Other_variables":[]

    {"name":"Nominal_Thrusting_State", "type":"bool"},
    {"name":"Telemetry_Far_From_Orbit", "type":"bool"},
    {"name":"Jupdate_Revert_State", "type":"bool"},
    {"name":"Update_Revert_State", "type":"bool"}
},
    "Functions":[],
    "Requirements":[{
    "name":"", "ptILI": "(0 (((Nominal_Thrusting_State & Telemetry_Far_From_Orbit) & (Timer > 10)) -> Update_Revert_State))",
    "CoCoSpecCode": "0((((Nominal_Thrusting_State and Telemetry_Far_From_Orbit) and (Timer > 10)) -> Update_Revert_State))",
    "fretish": "Propulsion_Controller shall satisfy if Nominal_Thrusting_State & Telemetry_Far_From_Orbit & Timer > 10 then Update_Revert_State"}
]}
```

Assuming the tools have been installed, in a terminal type:

 $ogma\ fret-component-spec\ --cocospec\ --fret-file-name\ Propulsion_ControllerSpec.\\ is on\ >\ Propulsion_ControllerSpec.\\ is\ >\ Propulsion_ControllerSp$