

# Phase-aware audio source separation

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Seminar at the Signal Processing research group - Universität Hamburg  
May 27, 2021

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CNRS, IRIT, Université de Toulouse, France



## Research field

Audio signal processing

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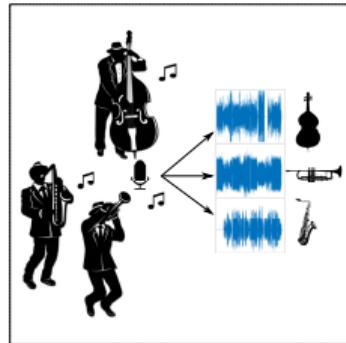
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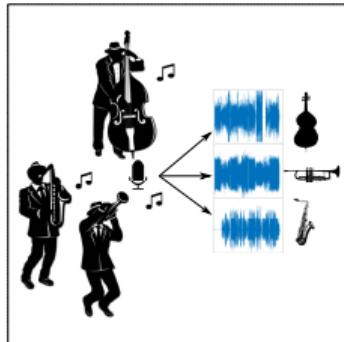
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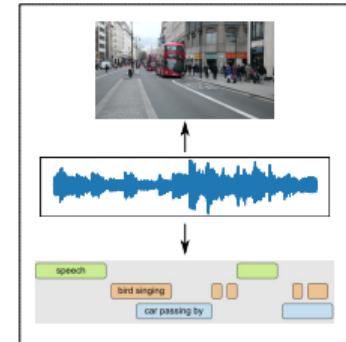
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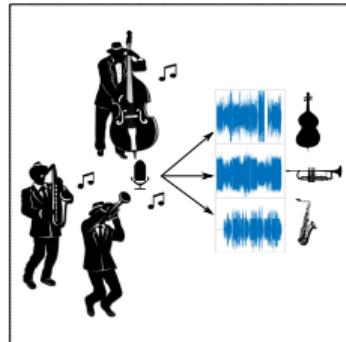
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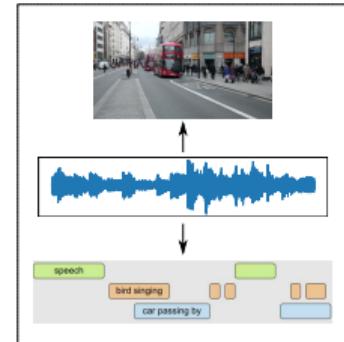


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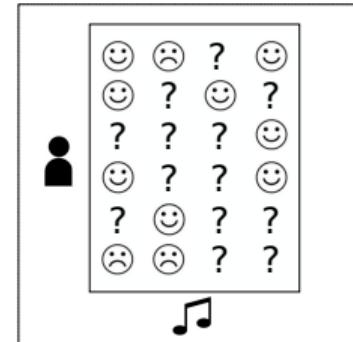
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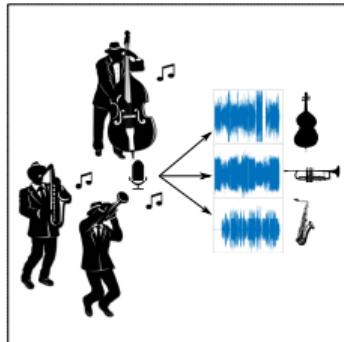
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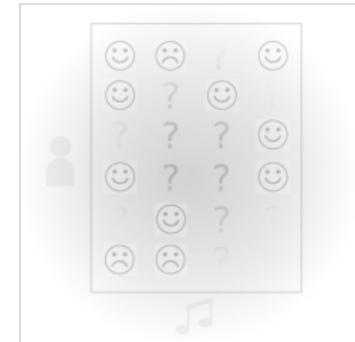
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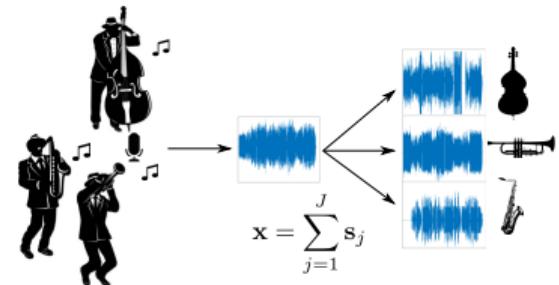
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**Source separation** = recovering the sources from the mixture.

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- ▷ Rhythm analysis (drums vs. harmonic instruments).
- ▷ Time-stretching (transients vs. partials).

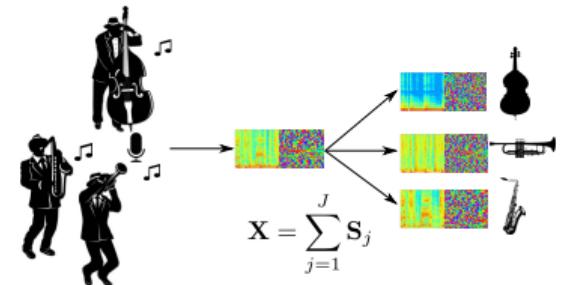


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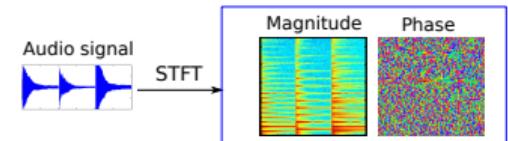
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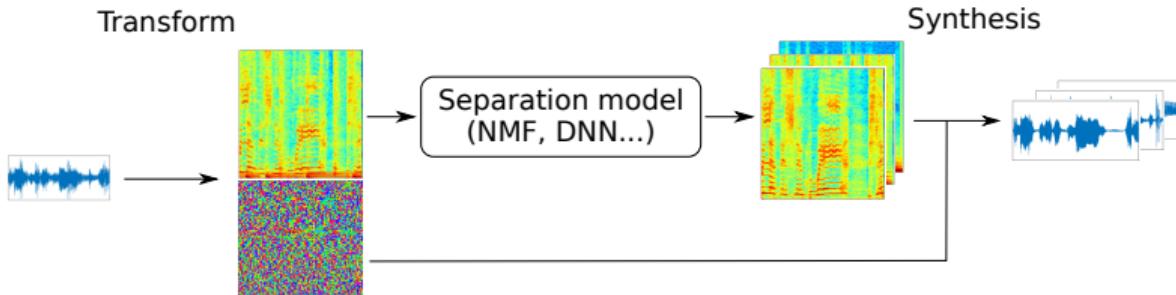
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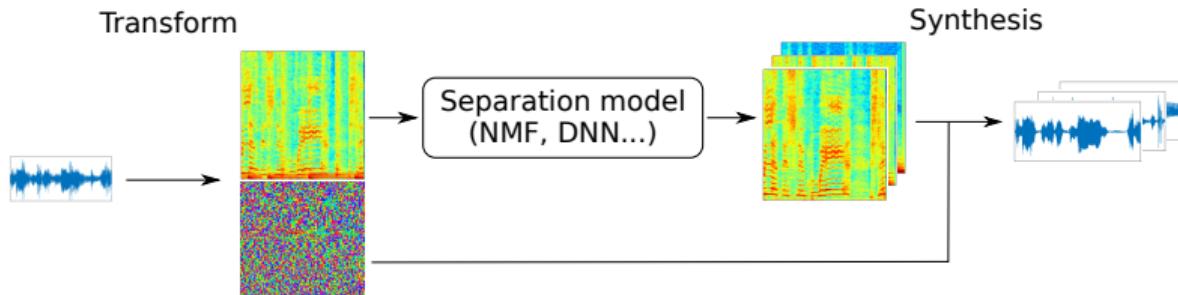
**Time-frequency** separation = acts on the short-time Fourier transform (STFT).



# General framework

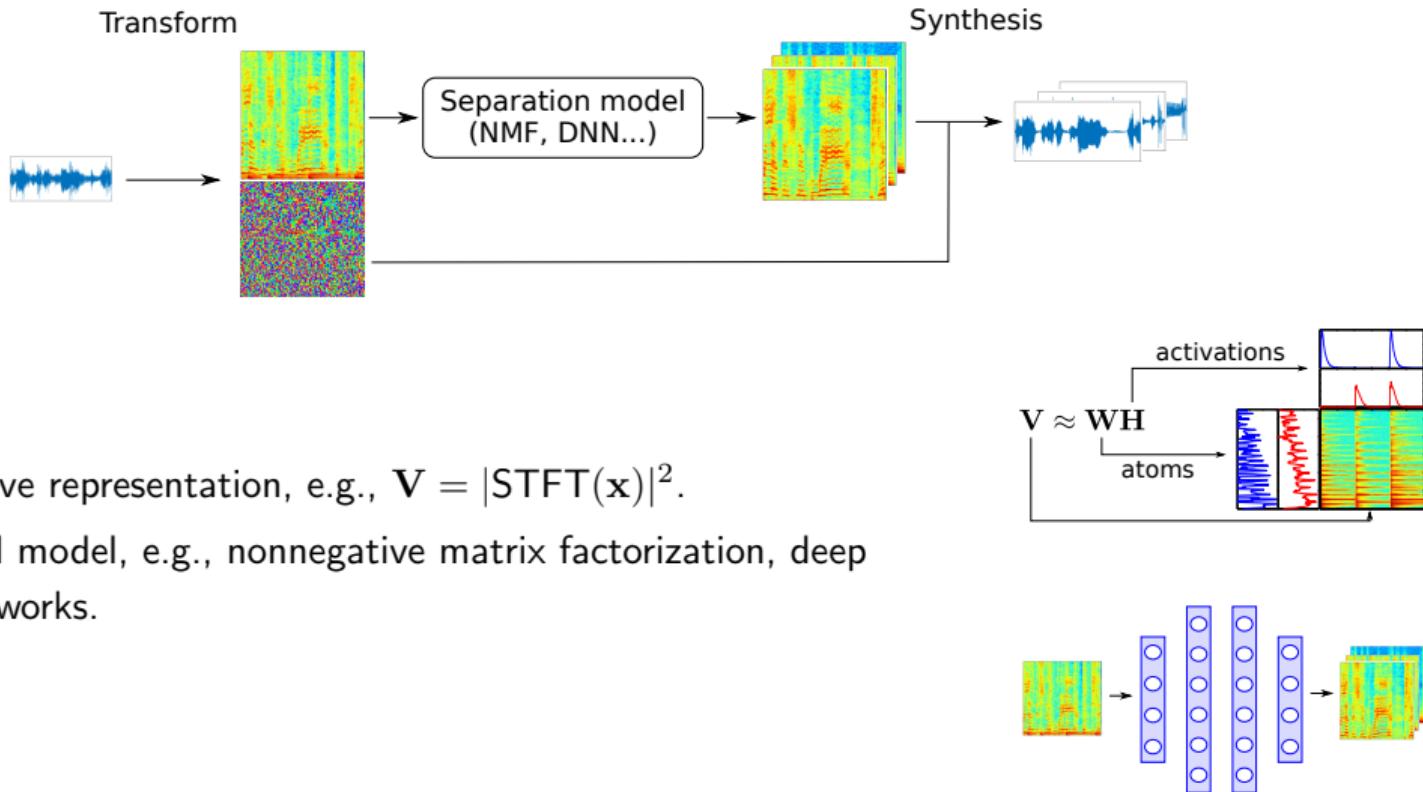


# General framework



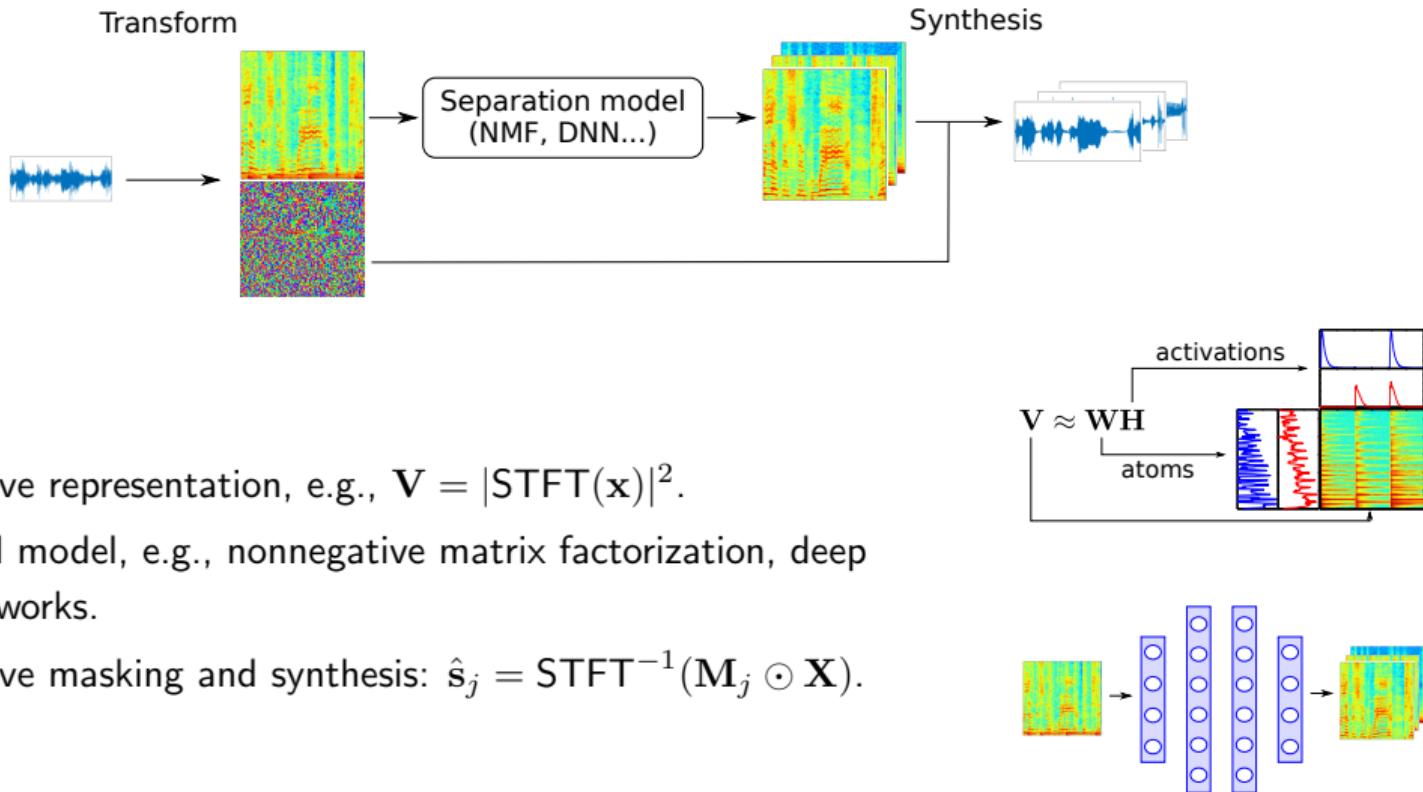
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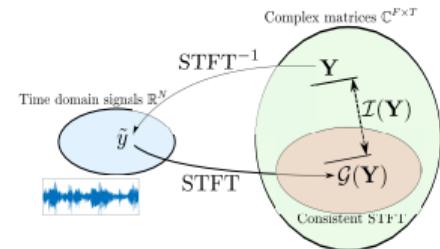


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2. Structured model, e.g., nonnegative matrix factorization, deep neural networks.
3. Nonnegative masking and synthesis:  $\hat{\mathbf{s}}_j = \text{STFT}^{-1}(\mathbf{M}_j \odot \mathbf{X})$ .

# The phase problem

Nonnegative masking:  $\hat{\mathbf{S}}_j = \angle \mathbf{X}$ .

- ✗ Issues in sound quality when sources overlap in the TF domain.
- ✗ Inconsistency:  $\hat{\mathbf{S}}_j \notin \text{STFT}(\mathbb{R}^N)$ .



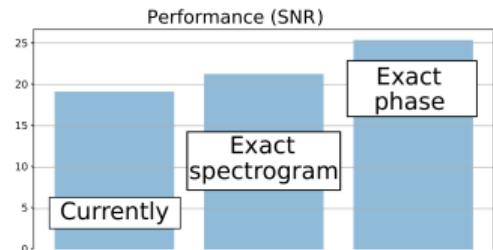
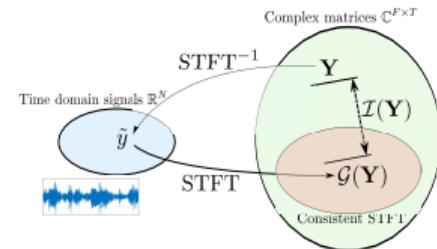
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## The importance of phase

- ▷ Highlighted in NMF-based [ICASSP '15] and recent DNN-based techniques.
- ▷ Given the current state-of-the-art, there is more potential gain for reconstructing the phase than improving magnitude estimation.



## Consistency-based approaches

$$\text{Inconsistency: } \mathcal{I}(\mathbf{Y}) = \|\mathbf{Y} - \text{STFT} \circ \text{STFT}^{-1}(\mathbf{Y})\|^2$$

- ▷ Minimization of  $\mathcal{I}$  with alternating projections [Griffin '84].
- ▷ Extension to multiple-signals mixtures for source separation [Gunawan '10].
- ▷ Combination with Wiener filtering [Le Roux '13].

# Phase recovery

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### My approach

Leveraging **model-based** phase properties in source separation.

Model-based phase recovery

Probabilistic phase modelling

Joint estimation of magnitude and phase

Perspectives

## **Model-based phase recovery**

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## Sinusoidal phase model

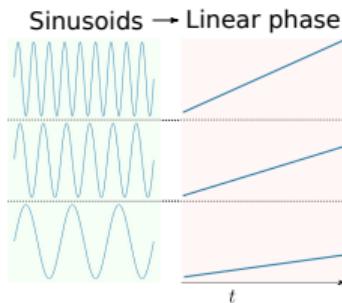
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The STFT phase follows:  $\mu_{f,t} = \mu_{f,t-1} + 2\pi l \nu_{f,t}$

- ▷  $l$  is the hop size of the STFT.
- ▷  $\nu_{f,t} = \nu_p$  for channels  $f$  under the influence of the frequency peak  $p$ .

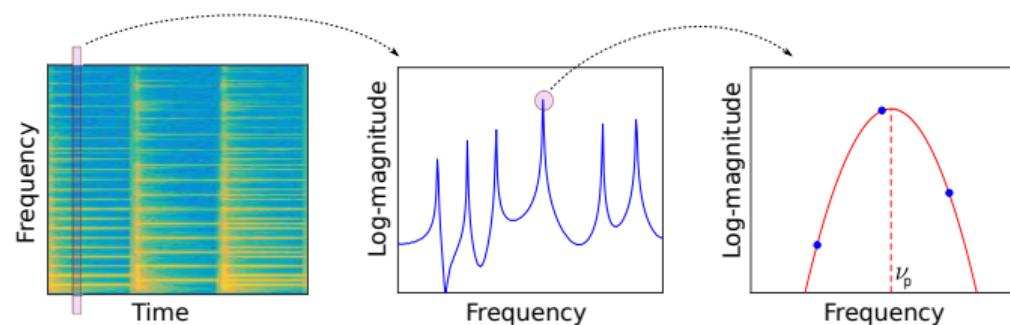
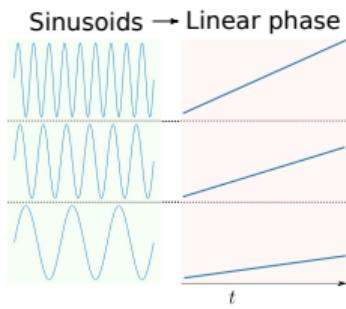


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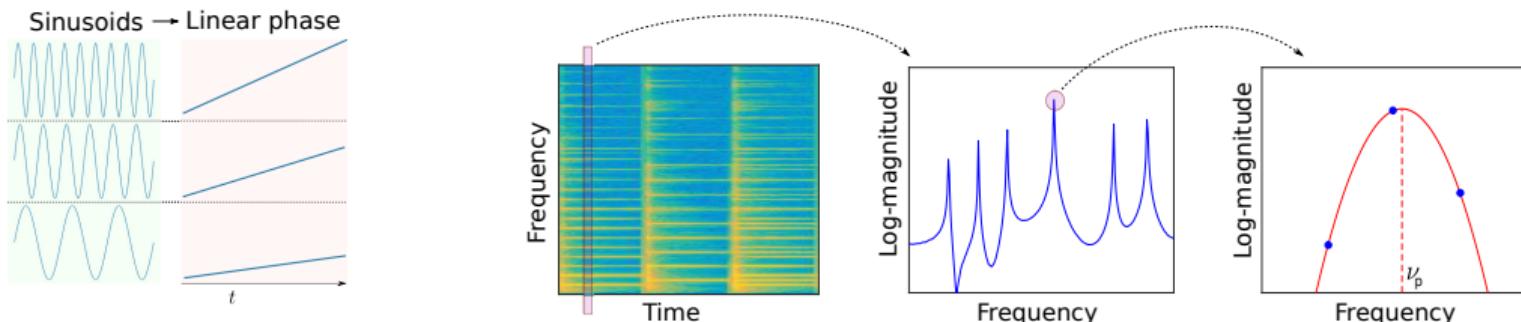


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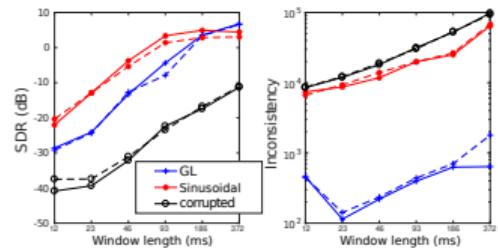


- ✓ Accounting for non-stationary signals.
- ✓ A suitable technique for real-time processing.

# Sinusoidal phase model

Restoration of piano pieces:

- ▷ Better performance than the GL algorithm: a lower inconsistency does not mean a higher SDR.
- ▷ The longer the window, the higher SDR (better frequency resolution), but this does not apply to non-stationary signals.
- ✗ But overall low SDR: error propagates over time frames.



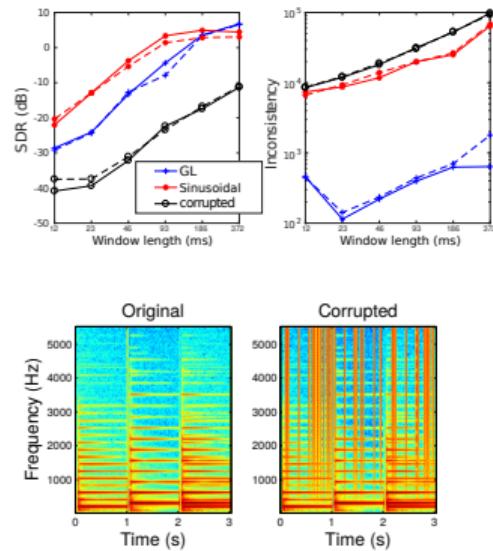
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Applications scenarios

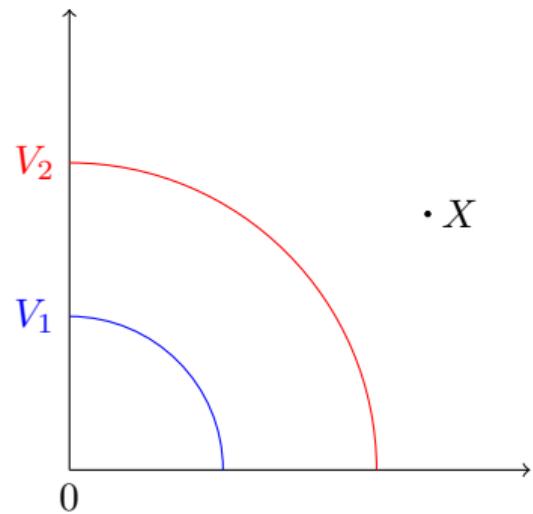
- ▷ Few frames to restore: click removal [EUSIPCO '15].
- ▷ Exploit additional information: source separation.



## Source separation algorithm

Problem Given target magnitude values  $\mathbf{V}_j$ , solve:

$$\min_{\{\hat{\mathbf{s}}_j\}} \|\mathbf{X} - \sum_{j=1}^J \hat{\mathbf{s}}_j\|^2 \quad \text{s.t.} \quad |\hat{\mathbf{s}}_j| = \mathbf{V}_j$$



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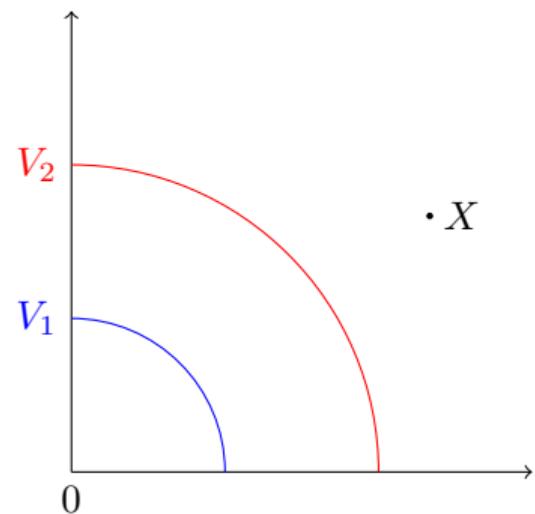
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Majorization-Minimization (MM) algorithm

- ▷ Introduce auxiliary variables  $\mathbf{Y}_j$  s.t.  $\mathbf{X} = \sum_j \mathbf{Y}_j$ .
- ▷ Majorize the loss using the Jensen inequality:

$$\|\mathbf{X} - \sum_{j=1}^J \hat{\mathbf{S}}_j\|^2 \leq \sum_{j=1}^J \frac{\|\mathbf{Y}_j - \hat{\mathbf{S}}_j\|^2}{\lambda_j}$$

- ▷ Incorporate the constraints using Lagrange multipliers, and find a saddle point of the resulting functional.
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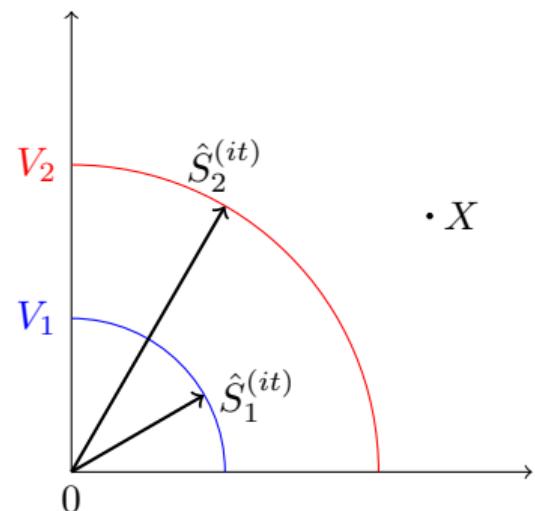
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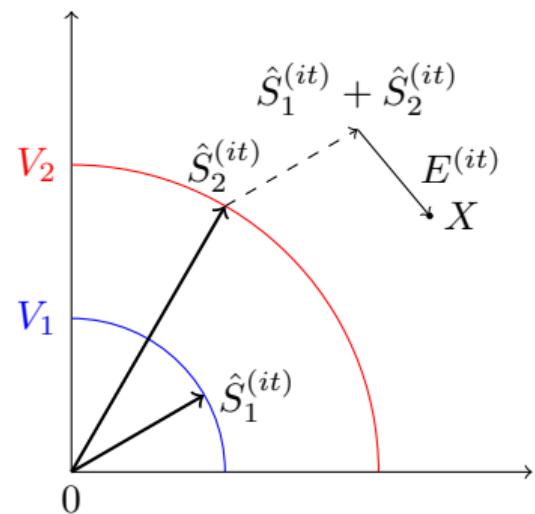
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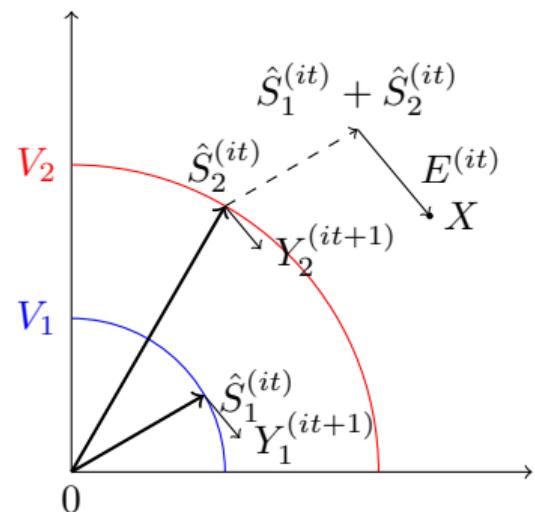
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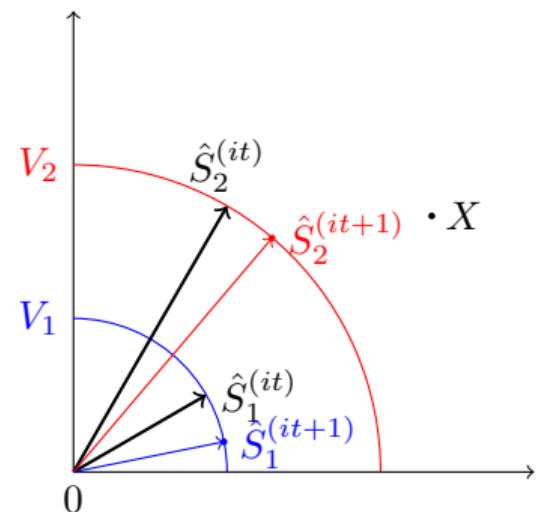
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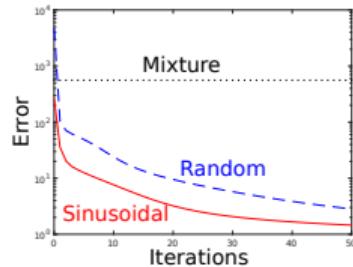
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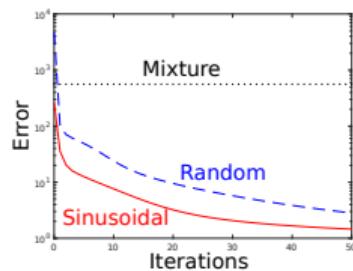


	SDR (dB)	SIR (dB)	SAR (dB)
Mixture	7.5	13.7	8.9
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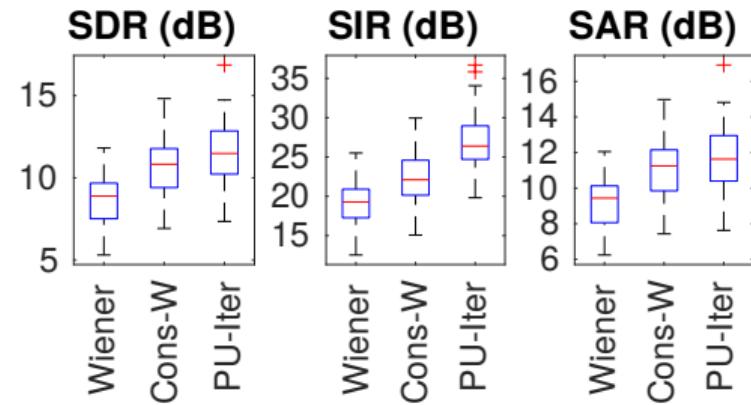
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Comparison with Wiener filters:



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✓ Leveraging the sinusoidal phase model reduces interference between source estimates.

## Onsets phase

Onsets play an important perceptual role and initialize the sinusoidal model.

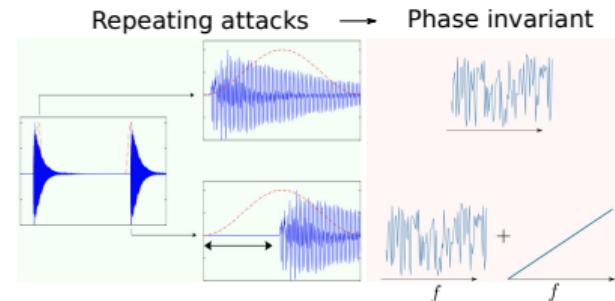
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Model of repeated audio events [WASPAA '15]

- ▷ From one onset frame to another, an audio event is the same up to scaling and delay.
- ▷ Consequence on the phase:

$$\mu_{f,t} = \underbrace{\psi_f}_{\text{invariant}} + \underbrace{\eta_t f}_{\text{offset}}$$



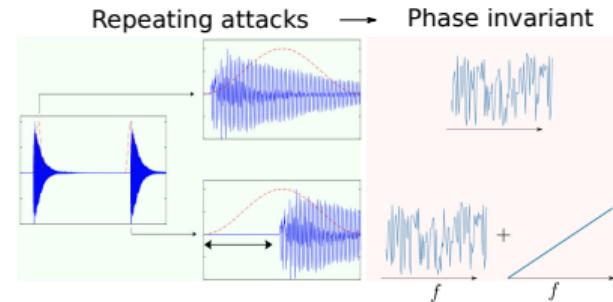
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## Incorporation in a mixture model

- ▷ Estimation with coordinate descent or MM.
- ▷ Slight improvement over using the mixture's phase.

## How to re-introduce consistency?

A first (naive) approach in the STFT domain:

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Time-domain formulation

$$\min_{\{\hat{\mathbf{s}}_j\}} \sum_j \underbrace{\left\| |\text{STFT}(\hat{\mathbf{s}}_j)| - \mathbf{V}_j \right\|^2}_{\text{magnitude mismatch}} \quad \text{s.t.} \quad \underbrace{\sum_j \hat{\mathbf{s}}_j = \mathbf{x}}_{\text{mixing}}$$

# How to re-introduce consistency?

A first (naive) approach in the STFT domain:

$$\min_{\{\hat{\mathbf{S}}_j\}} \underbrace{\left\| \mathbf{X} - \sum_{j=1}^J \hat{\mathbf{S}}_j \right\|^2}_{\text{mixing}} \quad \text{s.t.} \quad \underbrace{|\hat{\mathbf{S}}_j| = \mathbf{V}_j}_{\text{target magnitude}} \quad \text{and} \quad \underbrace{\mathcal{I}(\hat{\mathbf{S}}_j) = 0}_{\text{consistency}}$$

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▷ Optimization with MM: the MISI algorithm, but convergence-guaranteed [Wang '19], [SPL '20].

## MISI overview

On top of initial estimates  $\hat{\mathbf{s}}_j$ , iterate the following:

STFT

$$\hat{\mathbf{S}}_j = \text{STFT}(\hat{\mathbf{s}}_j)$$

Magnitude modification

$$\mathbf{Y}_j = \mathbf{V}_j \odot \frac{\hat{\mathbf{S}}_j}{|\hat{\mathbf{S}}_j|}$$

Inverse STFT

$$\mathbf{y}_j = \text{iSTFT}(\mathbf{Y}_j)$$

Mixing

$$\hat{\mathbf{s}}_j = \mathbf{y}_j + \frac{1}{J} \left( \mathbf{x} - \sum_{i=1}^J \mathbf{y}_i \right)$$

- ▷ Extends the Griffin-Lim algorithm to multiple sources in mixture models.
- ✗ Offline processing, not applicable in real-time.

## Online MISI

**Problem:** MISI involves the inverse STFT, which does not operate online:

$$\hat{\mathbf{s}}_j(n) = \sum_{k=0}^{T-1} \mathbf{s}'_{j,k}(n - tl) \quad \text{with} \quad \mathbf{s}'_{j,k} = \text{iDFT}(\hat{\mathbf{S}}_{j,k}) \odot \mathbf{w}$$

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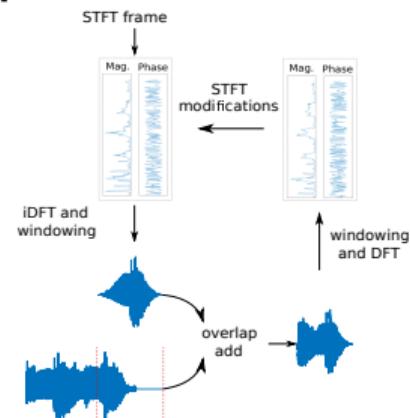
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- ▷ Only use  $K$  look-ahead future frames: allows for real-time processing and alternative initialization (e.g., sinusoidal phase).



# Alternative divergences

## Problem setting

- ▷ MISI relies on the Euclidean distance: not the most appropriate in audio.
- ▷ Popular alternatives: the beta-divergences (e.g., Kullback-Leibler, Itakura-Saito).

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## Phase retrieval with beta-divergences

$$\min_{\{\hat{\mathbf{s}}_j\}} \sum_j D_\beta(|\text{STFT}(\hat{\mathbf{s}}_j)|, \mathbf{V}_j) \quad \text{s.t.} \quad \sum_j \hat{\mathbf{s}}_j = \mathbf{x}$$

- ▷ Optimization with accelerated gradient descent or ADMM.
- ▷ First for single-signal [Vial '21], then extended to multiple-signals [ICASSP '21].
- ▷ Experimentally: alternative divergences (e.g., KL) > Euclidean.

## **Probabilistic phase modelling**

---

# Probabilistic framework

## Why?

- ▷ Modeling uncertainty.
- ▷ Incorporating prior information.
- ▷ Obtaining estimators with nice statistical properties.
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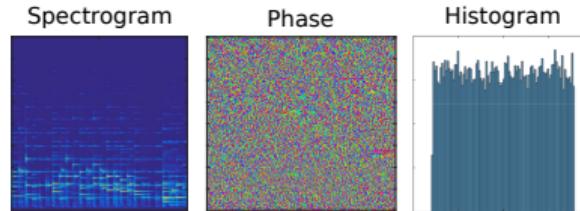
$\Rightarrow$  Phase-unaware estimators.

## My approach

A phase-aware probabilistic framework for source separation.

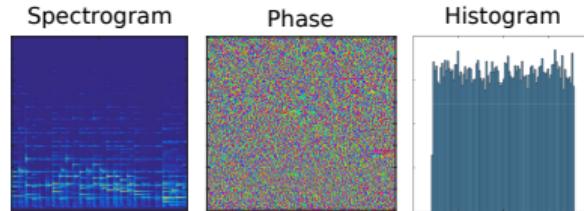
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A simple example (piano piece), where the phase appears uniformly-distributed.



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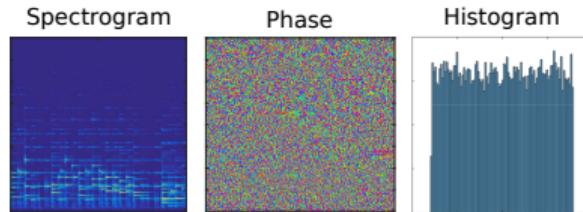
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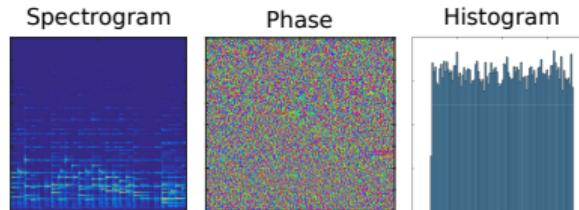
- ▷ The histogram validates an iid assumption on  $\{\phi_{f,t}\}$  :

$$\phi_{f,t} \sim \mathcal{D} \text{ and independent } \rightarrow \mathcal{D} = \mathcal{U}_{[0,2\pi[}$$

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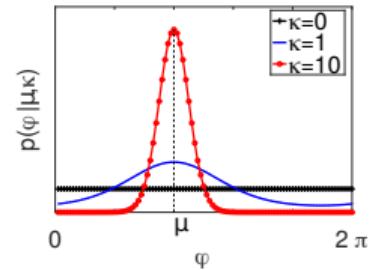
- ▷ This model only conveys a **global** information.

What about the **local structure** of the phase?

# Von Mises phase model

Von Mises distribution  $\phi_{f,t} \sim \mathcal{VM}(\mu_{f,t}, \kappa)$

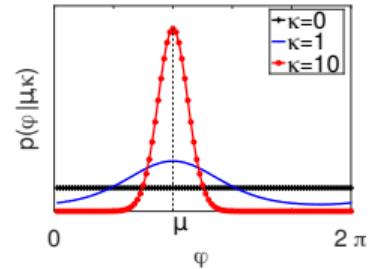
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## Model

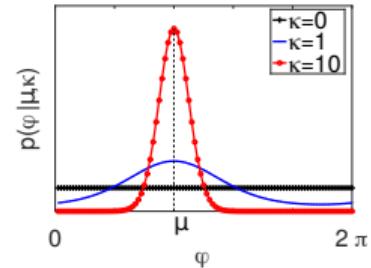
- ▷  $\mu_{f,t}$  given by the sinusoidal phase model.

Distribution	Uniform	VM
iid	$\phi_{f,t} \sim \mathcal{U}_{[0,2\pi[}$	$\phi_{f,t} \sim \mathcal{VM}(\mu_{f,t}, \kappa)$
Local structure	✗	✓

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## Model

- ▷  $\mu_{f,t}$  given by the sinusoidal phase model.
- ▷ Center the phases:  $\psi_{f,t} = \phi_{f,t} - \mu_{f,t}$ .

Distribution	Uniform	VM	Centered VM
iid	$\phi_{f,t} \sim \mathcal{U}_{[0,2\pi[}$	$\phi_{f,t} \sim \mathcal{VM}(\mu_{f,t}, \kappa)$	$\psi_{f,t} \sim \mathcal{VM}(0, \kappa)$
Local structure	✗	✓	✓

# Von Mises phase model

## Model estimation

- ▷ For  $\mu_{f,t}$ : quadratic interpolation (as before).
- ▷ For  $\kappa$ : maximum likelihood:  $\frac{I_1(\kappa)}{I_0(\kappa)} = \frac{1}{FT} \sum_{f,t} \cos(\psi_{ft})$ , solved with fast numerical schemes.

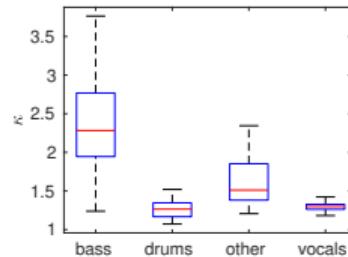
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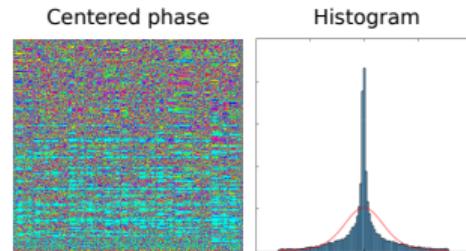
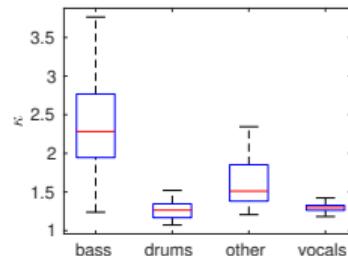
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- ▷ Both uniform and VM models are statistically relevant.
- ▷ They convey different information about the phase (global vs. local).



## Multiple sources model

In each time-frequency bin:

$$x = \sum_{j=1}^J s_j$$

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	Phase-aware	Tractable
Isotropic Gaussian	✗	✓

## Isotropic Gaussian model

- ▷  $s_j \sim \mathcal{N}_{\mathbb{C}}(m_j, \Gamma_j)$  with  $\Gamma_j = \begin{pmatrix} \gamma_j & 0 \\ 0 & \gamma_j \end{pmatrix}$  ( $m_j$ : mean (location) /  $\gamma_j$ : variance (energy)).
- ▷ Equivalently in polar coordinates,  $s_j = r_j e^{i\phi_j}$  with:
  - ▷  $r_j \sim \mathcal{R}(v_j)$  (Rayleigh magnitude).
  - ▷  $\phi_j \sim \mathcal{U}_{[0, 2\pi[}$  (uniform phase).

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Rayleigh + von Mises model: uniform → von Mises: phase-aware

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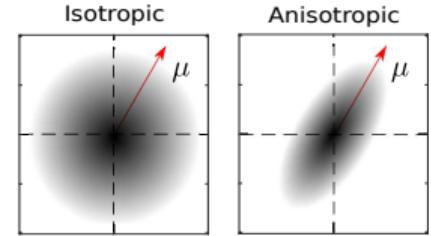
Rayleigh + von Mises model: uniform  $\rightarrow$  von Mises: phase-aware... but not tractable.

# Anisotropic Gaussian model

## Anisotropic sources

$$s_j \sim \mathcal{N}_{\mathbb{C}}(m_j, \Gamma_j) \text{ with } \Gamma_j = \begin{pmatrix} \gamma_j & \textcolor{blue}{c_j} \\ \bar{c}_j & \gamma_j \end{pmatrix}$$

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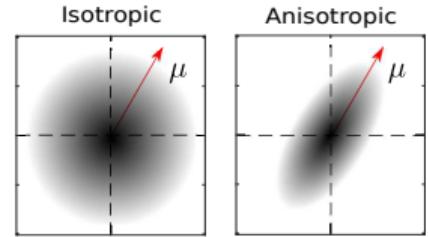
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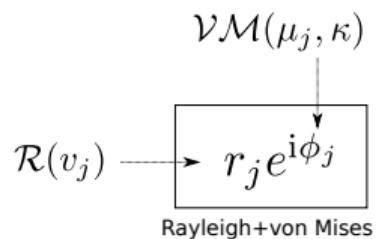
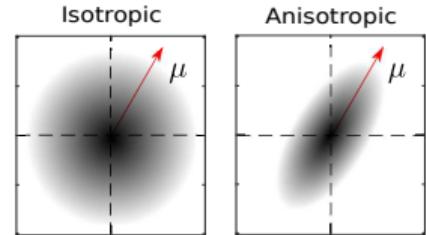
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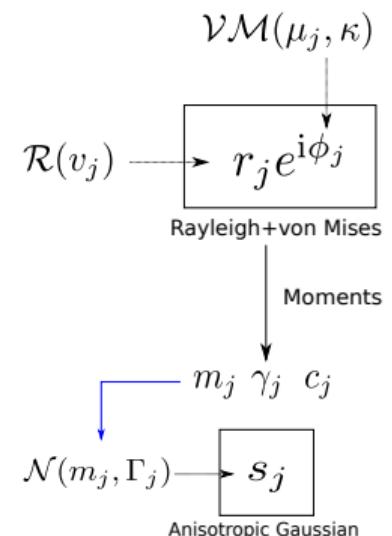
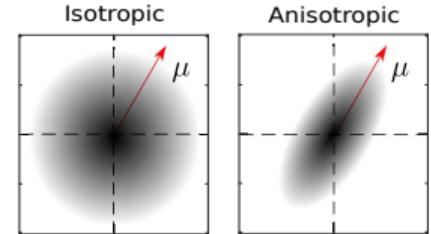
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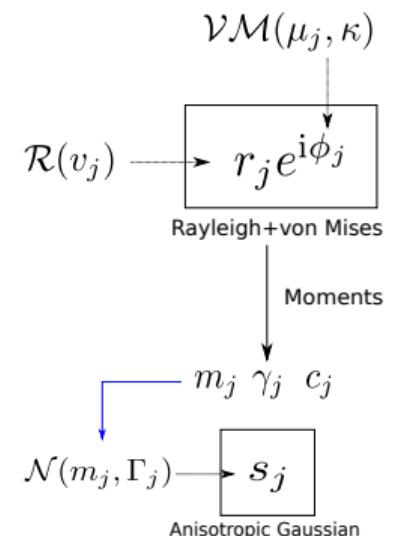
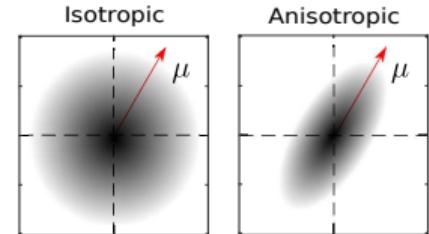
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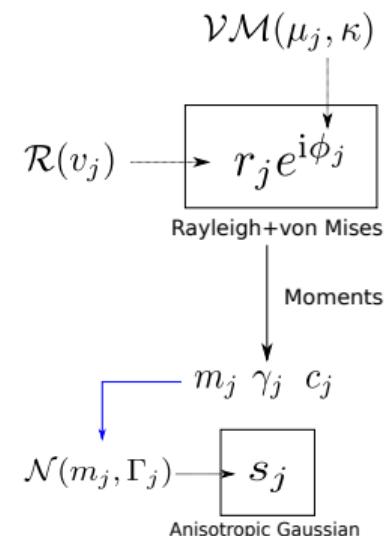
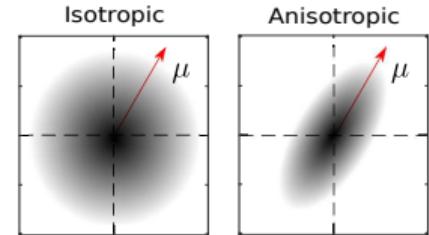
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Anisotropic Gaussian model  
Fully tractable, phase-aware, and interpretable.



# Application to source separation

## Anisotropic Wiener filter [ICASSP '17]

- ▷ Posterior mean of the sources:  $\hat{\mathbf{S}}_j = \mathbb{E}(\mathbf{S}_j | \mathbf{X})$ .
- ▷ Optimal in the MMSE sense, conservative set of estimates.
- ▷ If  $\kappa \rightarrow 0$ , it reduces to the Wiener filter.

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Performance on the DSD100 dataset:

	SDR	SIR	SAR
Wiener	8.5	19.1	9.1
Anisotropic Wiener	<b>9.7</b>	<b>21.9</b>	<b>10.1</b>

- ✓ Including phase information in the filter improves the separation quality.
- ✓ Potential of a phase-aware statistical framework.

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Consistent anisotropic Wiener [WASPAA '17]

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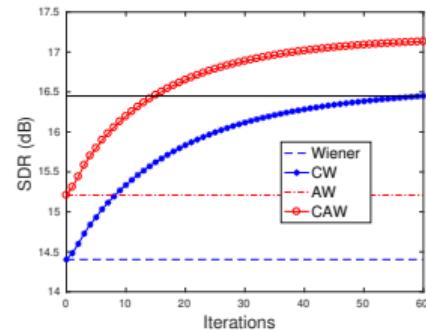
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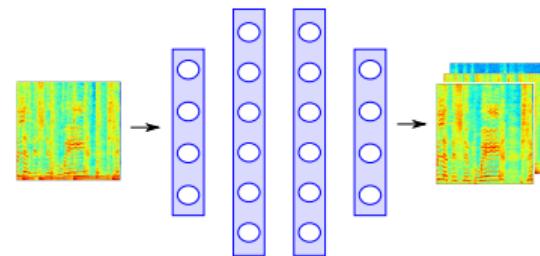
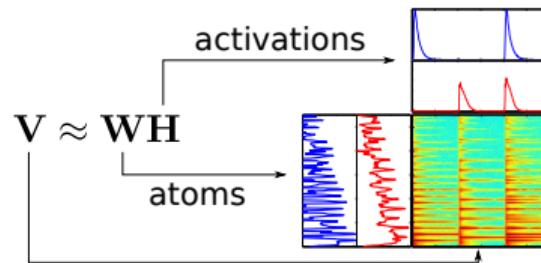
## **Joint estimation of magnitude and phase**

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# Realistic source separation

**Goal:** estimate the magnitude **and** the phase of the sources.

- ▷ Needs an additional spectrogram-like model and estimation technique.



## Approaches

- ▷ Two-stage: first estimate the magnitude, and then recover the phase.
- ▷ One-stage: jointly estimate the magnitude and the phase.

## Two-stage approaches

NMF + phase recovery [the previous papers]

- ▷ Phase recovery induces a slight improvement (interference reduction).

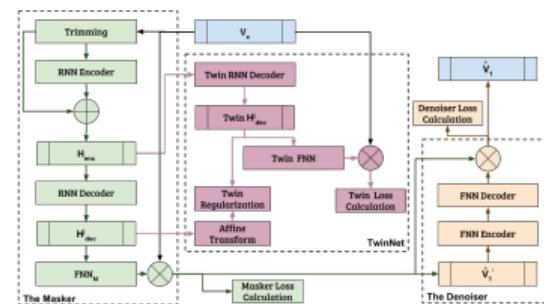
# Two-stage approaches

NMF + phase recovery [the previous papers]

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DNN + phase recovery [Interspeech '18, IWAENC '18]

- ▷ More significant results (DNNs > NMF).
- ▷ Phase recovery makes sense on top of good magnitude estimates.

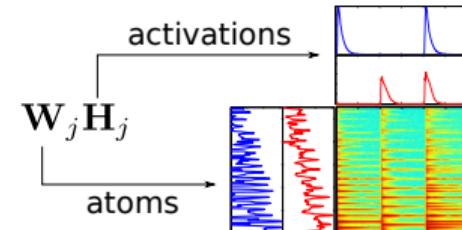


# Complex NMF

NMF-based spectrogram decomposition

$$|\mathbf{X}| \approx \mathbf{WH} = \sum_{j=1}^J \mathbf{W}_j \mathbf{H}_j$$

- ✗ Assumes the additivity of the sources' magnitudes.
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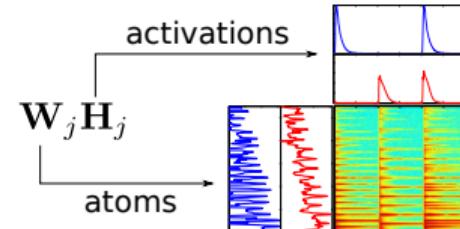


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- ✓ Assumes additivity of the sources, and factorize each source spectrogram.

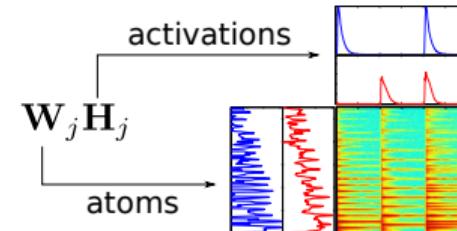
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- ▷ Regularize the phases with model-based properties.
- ▷ Optimization with coordinate descent or MM.

## Extending complex NMF to beta-divergences

### Problem

- ▷ NMF can be estimated using a variety of loss functions (e.g., beta-divergences).
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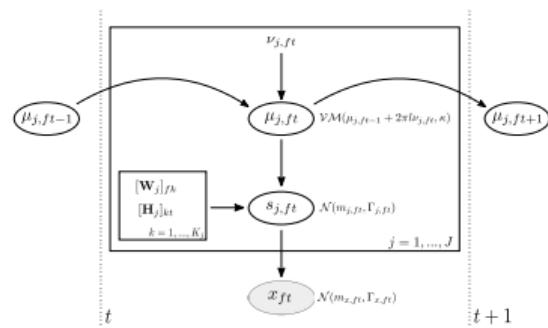
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## Anisotropic Gaussian sources

$$s_j \sim \mathcal{N}_{\mathbb{C}}(m_j, \begin{pmatrix} \gamma_j & c_j \\ \bar{c}_j & \gamma_j \end{pmatrix})$$

- ▷ The moments depend on three parameters.
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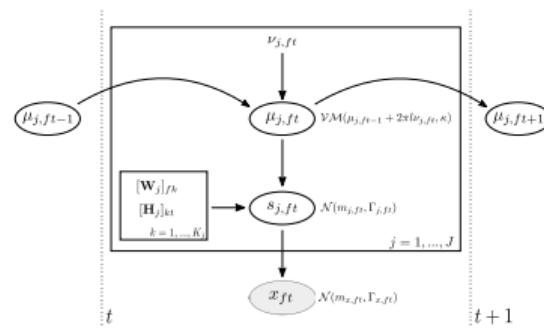
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## Complex ISNMF

- ▷ Estimation with an expectation-maximization algorithm:
  - ▷ E-step: compute the posterior moments.
  - ▷ M-step: minimize some Itakura-Saito divergence to estimate the parameters.
- ▷ Better results than the Euclidean (complex) NMF and the (nonnegative) ISNMF.



## Perspectives

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# From nonnegative to time-domain deep learning



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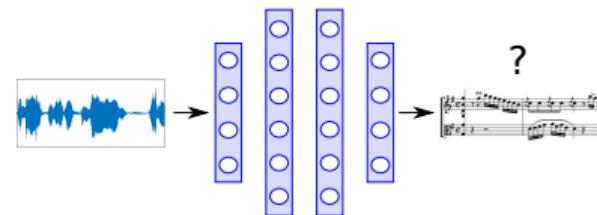


- ✓ Performance in controlled conditions.
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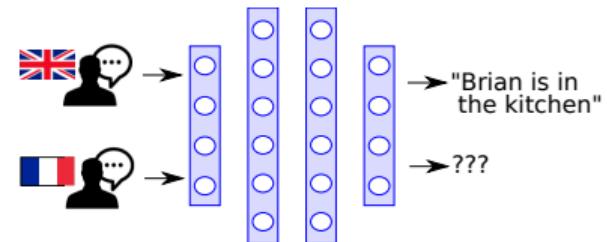
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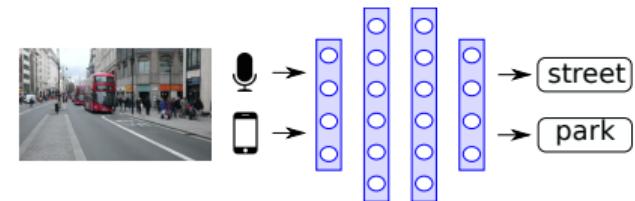
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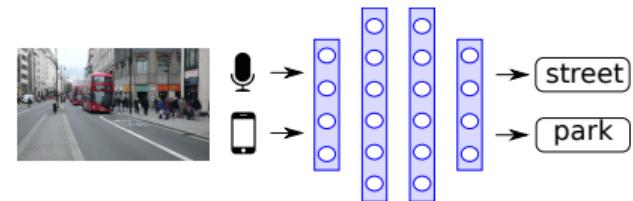
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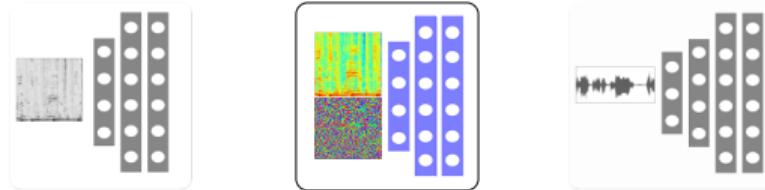
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## Major challenges

- ▷ Complexity and diversity of acoustic scenes: need for **flexible** systems.
- ▷ Energetic impact of deep learning: need for more **data-efficiency** [Strubell '19].

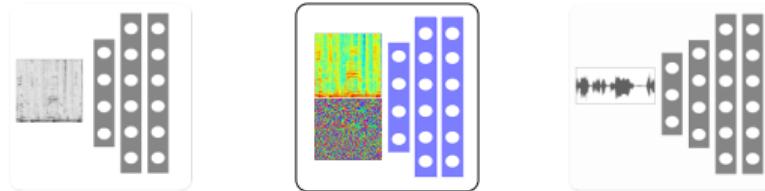
## An alternative



### Complex-domain deep learning

- ✓ Robustness/flexibility of time-frequency processing [Ditter '20].
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- ▷ How to handle phase in deep learning?
- ▷ How to promote robustness in complex-valued systems?
- ▷ How to efficiently use time-domain data?

# Complex-valued networks

## Deep phase processing

- ✓ Generalize phase models from signal analysis with deep learning.

$$\boldsymbol{\mu}_t = \boldsymbol{\mu}_{t-1} + 2\pi l \boldsymbol{\nu}_t \quad \rightarrow \quad \boldsymbol{\mu}_t = \underbrace{\mathcal{R}(\boldsymbol{\nu}_t, \boldsymbol{\mu}_{t-1}, \dots, \boldsymbol{\mu}_{t-\tau})}_{\text{temporal dynamic}} \quad \text{with} \quad \boldsymbol{\nu}_t = \underbrace{\mathcal{C}(|\mathbf{x}|_t)}_{\text{frequency extraction}}$$

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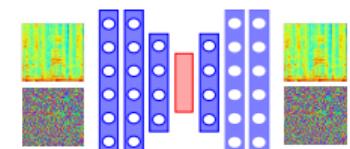
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## Joint magnitude and phase processing.

- ✓ Exploit a polar decomposition for structuring the data.

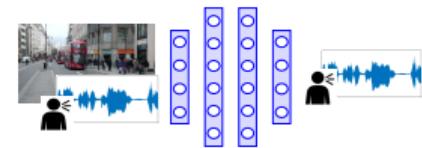
- ▷ Joint latent representation from magnitude and phase.
- ▷ (Variational) anisotropic auto-encoders.



# Complex-valued networks

## Promoting robustness

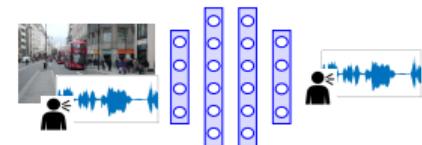
- ▷ Noise-invariance by complex domain adaptation.
- ▷ Reverberation-invariance through leveraging spatial models.



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## Conjunction with time-domain approaches

- ✓ Network design in the complex domain, refine the transform with time-domain training data.
  - ▷ Direct transform: perceptually-motivated filterbanks.
  - ▷ Inverse transform: deep unfolding of phase recovery algorithm.



# Conclusion

## Main messages

- ▷ The room for improvement of phase recovery: more potential gain than with magnitudes.
- ▷ A promising approach: leveraging model-based phase properties.
- ▷ Incorporate phase in deep learning for complex-valued networks: performance and robustness.

 <https://magronp.github.io/>

 <https://github.com/magronp/>