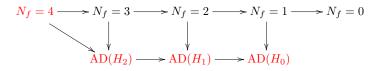


#### Motivation

- Seiberg and Witten determined the exact low-energy solutions of  $\mathcal{N}=2$  supersymmetric gauge theories using 'Seiberg-Witten curves'. [Seiberg, Witten 1994]
- Simplest 4d  $\mathcal{N}=2$  SCFTs were found on the Coulomb branches of SU(2) gauge theories with  $N_f$  fundamentals, based on their Seiberg-Witten geometries. [Argyres, Douglas 1995; Argyres, Plesser, Seiberg, Witten 1996]



#### 5d SCFTs

• Consider a 5d Yang-Mills theory:

$$S = \int d^5x \left( \frac{1}{g_{5d}^2} Tr(F \wedge *F) + \dots \right) .$$

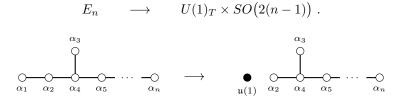
The scaling dimension of the gauge coupling is negative, so action is non-renormalizable:  $[g_{5d}^2] = -1$ .

- ullet UV completion of certain  $\mathcal{N}=1$  theories to strongly coupled SCFTs has been argued to exist in string theory. [Seiberg 1996]
- Various ways to engineer 5d SCFTs: systems of five-branes in IIB [Aharony, Hanany, Kol 1997], or M-theory compactifications on canonical threefold singularities [Witten 1996]:

$$\mathcal{T}_{m{X}}^{5d}$$
 on  $\mathbb{R}^5 \longleftrightarrow \mathsf{M} ext{-theory on } \mathbb{R}^5 imes m{X}$  .

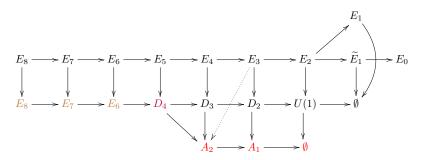
#### 5d SCFTs

- Focus for today will be on the simplest 5d SCFTs the  $E_n$  Seiberg theories. [Seiberg 1996; Morrison, Seiberg 1996]
- These theories admit mass deformations to 5d  $\mathcal{N}=1$  gauge theories in IR, with  $N_f=n-1$  fundamentals, which break the flavour symmetry:



• Are there non-trivial RG flows from 5d  $\mathcal{N}=1$  SCFTs to 4d  $\mathcal{N}=2$  SCFTs? Partial answer given by [Ganor, Morrison, Seiberg 1996]

#### Motivation



- Can we find different RG flows? Seiberg-Witten geometry should be able to tell us! Expect more 'flows' from relation to q-Painleve equations.
   [Bonelli, Del Monte, Tanzini, Grassi ...]
- Here  $E_5 = D_5$ ,  $E_4 = A_4$ ,  $E_3 = A_2 \oplus A_1$ ,  $E_2 = A_1 \oplus \mathfrak{u}(1)$ ,  $E_1 = A_1$ ,  $\widetilde{E}_1 = \mathfrak{u}(1)$  and  $E_0 = \emptyset$ , so more breaking patterns allowed purely from a group theory perspective.

#### Motivation

- Part of motivation has to do with localization and the so called *u*-plane integral. [Moore, Witten 1997; Korpas, Manschot, Nidaiev, Aspman, Furrer...]
- In our setting, more natural to consider the 5d theories on  $\mathbb{R}^4 \times S^1$ , leading to 4d  $\mathcal{N}=2$  KK theories:

$$D_{S^1}\mathcal{T}_{\pmb{X}}^{5d} \text{ on } \mathbb{R}^4 \quad \cong \quad \mathcal{T}_{\pmb{X}}^{5d} \text{ on } \mathbb{R}^4 \times S^1_\beta \ .$$

• We introduce a scale  $\mu=\frac{1}{\beta}$ , so  $D_{S^1}\mathcal{T}_{\boldsymbol{X}}^{5d}$  are not conformal. Can we determine the BPS spectra of these theories? [Eager, Selmani, Walcher, 2016; Banerjee, Longhi, Romo, 2019, 2020; Closset, Del Zotto, 2019; Longhi, 2020; Mozgovoy, Pioline, 2020]

### Outline

- 1 Seiberg-Witten theory and rational elliptic surfaces
- **2** *U*-plane and local mirror symmetry
- 3 Modularity and BPS quivers

Seiberg-Witten theory and rational elliptic surfaces

### 4d $\mathcal{N}=2$ SYM

• Classical vacua of 4d  $\mathcal{N}=2$  given by vanishing of scalar potential:

$$V = \frac{1}{2} \text{Tr} \left[ \overline{\phi}, \phi \right]^2 \stackrel{!}{=} 0 \ .$$

• In such vacua, the scalar field  $\phi$  belongs to the Cartan subalgebra  $\mathfrak h$  of G, e.g. for G=SU(2):

$$\phi = \begin{pmatrix} a & 0 \\ 0 & -a \end{pmatrix}, \qquad a \in \mathbb{C} .$$

ullet The VEV of  $\phi$  breaks the gauge group to  $SU(2)\longrightarrow U(1)$  by Higgs mechanism, leading to a U(1) LEET. This moduli space is the *Coulomb Branch*, being parametrized by the gauge invariant operator:

$$u = \operatorname{Tr} \phi^2 = 2a^2 (+ \dots) .$$



### Coulomb Branch

• The LEEA is obtained by integrating out the massive degrees of freedom, with the Coulomb branch having a special-Kähler structure:

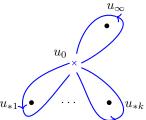
$$\tau(a) = \frac{\partial^2 \mathcal{F}}{\partial a^2} = \frac{\partial a_D}{\partial a} , \qquad a_D = \frac{\partial \mathcal{F}}{\partial a} .$$

•  $SL(2,\mathbb{Z})$  duality of U(1) LEET acts on  $\tau$  or, equivalently, on  $(a_D,a)$ :

$$\tau \to \gamma \tau$$
,  $\begin{pmatrix} a_D \\ a \end{pmatrix} \to \gamma \begin{pmatrix} a_D \\ a \end{pmatrix}$ ,  $\gamma \in \mathrm{SL}(2,\mathbb{Z})$ .

• LEEA breaks down where states become massless, leading to u-plane singularities. Due to  $\mathrm{SL}(2,\mathbb{Z})$  duality, paths around singularities induce monodromies:  $u_{\infty}$ 

$$\prod_{\mathsf{sing}} \mathbb{M}_* = 1 \ .$$



### Seiberg-Witten solution

ullet Seiberg and Witten proposed that au should be interpreted as the complex structure modulus of an elliptic curve, such that:

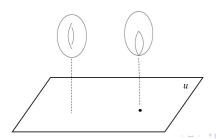
$$a = \oint_A \lambda_{SW} , \qquad a_D = \oint_B \lambda_{SW} .$$

• One can generally bring the curves to Weierstrass form:

$$y^2 = 4x^3 - g_2(u)x - g_3(u) ,$$

with the singularities given be the zeroes of the discriminant locus:

$$\Delta(u) = g_2(u)^3 - 27g_3(u)^2 .$$



## Elliptic Surfaces

Definition. An elliptic surface is a genus one fibration  $f: S \to C$  from a smooth projective surface S to a smooth projective curve C, with a section  $\sigma_0: C \to S$ .

ullet All but finitely many fibres  $F_v$  are smooth genus one curves. The *singular* fibers can be resolved through blow-ups:

$$F_v = \sum_{i=0}^{m_v - 1} \mu_{v,i} \Theta_{v,i} ,$$

where  $m_v$  is the number of (distinct) irreducible components,  $\Theta_{v,i}$  the irreducible components and  $\mu_{v,i}$  the multiplicity of  $\Theta_{v,i}$ .

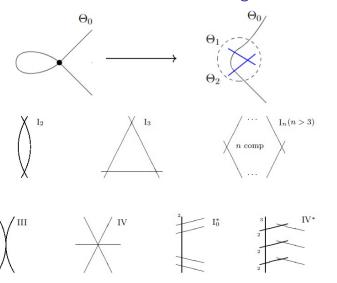
• The component denoted by  $\Theta_{v,0}$  is the unique component of  $F_v$  which intersects the zero section  $[\sigma_0]$ .

## Elliptic Surfaces

- If  $F_v$  is irreducible, then it must be either a rational curve with a node (type  $I_1$ ), or a rational curve with a cusp (type II).
- All possible reducible fibers have been classified by Kodaira:

C.I.	1/ )	. 1/	1/ A )		TN AT	
fiber	$ord(g_2)$	$ord(g_3)$	$ord(\Delta)$	$m_v$	$\mathbb{M}_*$	$\mathfrak{g}$
$I_k$	0	0	k	k	$T^k$	$\mathfrak{su}(k)$
$I_k^*$	2	3	k+6	k+5	$-T^k$	$\mathfrak{so}(2k+8)$
$I_0^*$	$\geq 2$	$\geq 3$	6	5	$-\mathbb{I}$	$\mathfrak{so}(8)$
II	$\geq 1$	1	2	1	$(ST)^{-1}$	-
$II^*$	$\geq 4$	5	10	9	(ST)	$E_8$
III	1	$\geq 2$	3	2	$S^{-1}$	$\mathfrak{su}(2)$
$III^*$	3	$\geq 5$	9	8	S	$E_7$
IV	$\geq 2$	2	4	3	$(ST)^{-2}$	$\mathfrak{su}(3)$
$IV^*$	$\geq 3$	4	8	7	$(ST)^2$	$E_6$

## Singular fibres



### Rational Elliptic Surfaces

• An elliptic surface S is *rational* if it is birationally equivalent to  $\mathbb{P}^2$ . In this case, the base curve C is the projective line  $\mathbb{P}^1$ . Can view SW geometry as a one-parameter family of elliptic curves over the u-plane:

$$E \hookrightarrow S \longrightarrow \overline{\mathcal{M}}_{CB} \cong \mathbb{P}^1$$
,

where  $\overline{\mathcal{M}}_{CB}$  is the u-plane with the point at infinity added and the fiber E is the 'Seiberg-Witten' curve.

- The configurations of singular fibres of rational elliptic surfaces have been classified by Persson and Miranda.
- Important constraint:

$$\sum_{\alpha}\operatorname{ord}(\Delta)=12\ .$$

## Fixing the fibre at infinity

- 'UV physics' fixed by fiber at infinity: e.g. for pure SU(2), from one-loop computation:  $\tau \to \tau 4$ , i.e. the monodromy is  $T^{-4}$ , or  $(-T^4)$ , and thus corresponds to an  $I_4^*$  singularity. [Caorsi, Cecotti 2018]
- ullet One can add matter (hypermultiplets) in the fundamental representation of the gauge group, which will lead to  $I_{4-N_f}^*$  singularities. Note that for  $N_f>4$  the theories are IR free:

$$SU(2) N_f$$
 flavours  $\longrightarrow F_{\infty} = I_{4-N_f}^*$ .

• Only one configuration with a  $I_4^*$  singularity in Persson's list, namely:

$$(I_4^*, I_1, I_1)$$
.

### Persson's list

• Consider configurations with one flavour. There are two such configurations with a  $I_{4-N_f}^*=I_3^*$  singularity, namely:

$$(I_3^*, I_1, I_1, I_1)$$
,  $(I_3^*, I_1, II)$ .

ullet How do we interpret physically the type II singularity? 'Zoom in' around this singularity:





ullet This is one of the configurations for which the value of the complex structure parameter au is fixed and, in physical terms, the coupling is pinned at the strongly-coupled value. This corresponds to one of the Argyres-Douglas  $\mathcal{N}=2$  SCFTs.

U-plane and local mirror symmetry

### The U-plane at last

- We established that the fiber at infinity fixes the 4d  $\mathcal{N}=2$  theory: SQCD with  $I_n^*$ , MN with II,III or IV and AD with  $II^*,III^*,IV^*$ . What about the simplest  $I_n$  fibers?
- Consider the  $E_n$  theories on a circle, engineered on  $X = \text{Tot}(\mathcal{K} \to dP_n)$ :

M-theory on 
$$\mathbb{R}^4 imes S^1 imes oldsymbol{X} \longleftrightarrow \ \mathsf{IIA} \ \mathsf{on} \ \mathbb{R}^4 imes oldsymbol{X}$$
 .

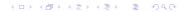
 Similar to usual 4d  $\mathcal{N}=2$  SU(2) gauge theories, we have a low-energy U(1) scalar:

$$a = i(\varphi + iA_5)$$
,  $e^{2\pi iA_5} = e^{\int_{S^1} A}$ ,

and define the gauge invariant order parameter:

$$U = \frac{1}{2} \left( e^{2\pi i a} + e^{-2\pi i a} \right) + \dots .$$

ullet Complexified mass parameters:  $M=e^{2\pi i \mu}$ . [Closset, del Zotto, Saxena 2018]



## Local mirror symmetry

• For toric geometries, SW geometry is encoded in the mirror:

IIA on 
$$\tilde{\boldsymbol{X}} \longleftrightarrow \mathsf{IIB}$$
 on  $\hat{\boldsymbol{Y}}$  ,

where the mirror given by Hori-Vafa construction:

$$E \times \mathbb{C}^* \longrightarrow \hat{\mathbf{Y}} \longrightarrow \mathbb{C} \cong \{W\}$$
,

with:

$$F(w,t;W) = 0,$$
  $v_1v_2 = U - W,$ 

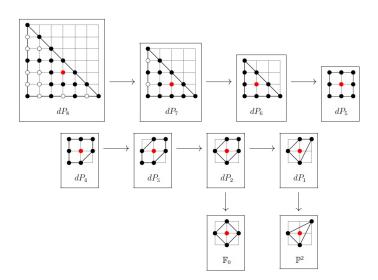
where F(w,t) is the Newton polynomial associated with the toric diagram. Their SW geometry is the mirror curve:

$$F(w,t;U) = 0.$$

• For non-toric del Pezzos ( $n \ge 4$ ), mirror curves were found as limits of the E-string theory SW curve. [Eguchi, Sakai 2002; Ganor, Morrison, Seiberg, Hanany...]



## $E_n$ theories from $dP_n$



## The missing piece

• Computing prepotential from gauge theory perspective for  $D_{S^1}\mathcal{T}_{m{X}}^{5d}$ , one finds monodromy: [Nekrasov 1998]

$$a_D \longrightarrow a_D + (9-n)a + \dots$$

or  $\mathbb{M}_{\infty} = T^{9-n}$ , *i.e.* an  $I_{9-n}$  singularity!

• Look at  $E_3$  theory, i.e.  $\mathbb{M}_{\infty}=T^6$ . In 5d, this is the UV completion of 5d  $\mathcal{N}=1$  SU(2) with  $N_f=2$ , so we have 3 parameters in the SW curve: 2 flavour masses  $M_i$  and the exponentiated gauge coupling  $\lambda$ .



 $(\lambda,M_1,M_2)=(1,1,1)$  is the massless limit. For  $(\lambda,M_1,M_2)=(1,i,-i)$  we get a IV singularity!

## Complete Picture

In the massless limit  $\lambda = 1$ ,  $M_i = 1$ , we get the (bulk) singularities:

$$E_{8} : II^{*} \oplus I_{1} ,$$

$$E_{7} : III^{*} \oplus I_{1} ,$$

$$E_{6} : IV^{*} \oplus I_{1} ,$$

$$D_{5} = E_{5} : I_{1}^{*} \oplus I_{1} ,$$

$$A_{4} = E_{4} : I_{5} \oplus I_{1} \oplus I_{1} ,$$

$$A_{2} \oplus A_{1} = E_{3} : I_{3} \oplus I_{2} \oplus I_{1} ,$$

$$A_{1} \oplus \mathfrak{u}(1) = E_{2} : I_{2} \oplus I_{1} \oplus I_{1} \oplus I_{1} ,$$

$$A_{1} = E_{1} : I_{2} \oplus I_{1} \oplus I_{1} ,$$

$$\mathfrak{u}(1) = \widetilde{E}_{1} : I_{1} \oplus I_{1} \oplus I_{1} ,$$

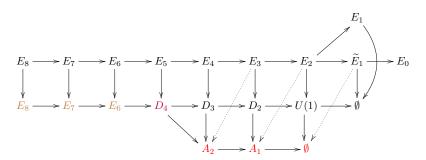
$$\emptyset = E_{0} : I_{1} \oplus I_{1} \oplus I_{1} .$$

Non-abelian flavour symmetry is manifest in the mirror curve. Note that this is the maximal flavour symmetry, as massless limit is the origin of the extended CB, so corresponds to  $S^1$  reduction of the SCFT. [Ganor, Morrison,

### Complete picture

• Since configurations of singular fibers are completely classified, just look for configurations containing a  $I_{9-n}$  fiber for each  $E_n$  theory. Recall:

$$\sum_{v} \operatorname{ord}(\Delta(F_v)) = 12.$$



### Flavour symmetry

ullet Recall mirror threefold is a double fibration over a W-plane, at fixed U and mass parameters: [Hori, Vafa 2002]

$$E \times \mathbb{C}^* \hookrightarrow \hat{\mathbf{Y}} \to \mathbb{C} \cong \{W\}$$
.

Then, from the IIB picture:

F-theory on 
$$\mathbb{R}^4 \times \hat{\boldsymbol{Y}} \times T^2$$
,

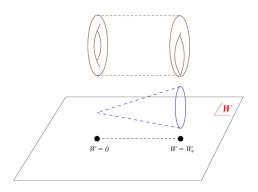
we interpret the elliptic fiber as the axio-dilaton instead of the  $T^2$  factor!

- Non-abelian flavour symmetry algebra is encoded in the Kodaira fibers [Sen, Banks, Douglas, Seiberg, Aspinwall, Fukae, Yamada, Yang ...]
- Fiber at infinity does not contribute to flavour symmetry!

### Local CY Mirror

 $\mathbb{C}^*$  fiber degenerates at W=U , while cycle  $\gamma$  of elliptic fiber degenerates at  $W=W_*.$  BPS states arrise from D3 branes wrapping Lagrangian 3-cycles:

$$\gamma \times S^1_* \longrightarrow S^3_\gamma \longrightarrow \alpha_\gamma$$
.



[Feng, He, Kennaway, Vafa]

## Mordell-Weil Group

- (Rational) elliptic surfaces can be thought of as elliptic curves over function fields E/K, with K=k(U).
- $\bullet$  The K-rational points of E/K form a group (Mordell-Weil group), which, by the celebrated MW theorem, is finitely generated.

$$\Phi = \mathbb{Z}^r \oplus \Phi_{tor} .$$

ullet The K-rational points of E/K are isomorphically mapped to rational sections of the elliptic surface.

## Flavour symmetry

- Claim: Abelian flavour symmetry is  $U(1)^r$ , with r the rank of the MW group. [Morrison, Park, Mayrhofer, Till, Weigand, Cvetic, Lin, Moore, Monnier ...]
- ullet Torsion part of  $\Phi$  restricts global form of the flavour symmetry. Define:

$$\mathcal{Z}^{[1]} = \{ P \in \Phi_{tor} : (P) \text{ intersects } \Theta_{v,0} \text{ for all } F_{v \neq \infty} \}$$
,

and denote by  $\mathcal{F}$  the cokernel of the inclusion map  $\mathcal{Z}^{[1]} \to \Phi_{tor}$ . Thus, we have the short exact sequence:

$$0 \to \mathcal{Z}^{[1]} \to \Phi_{tor} \to \mathcal{F} \to 0$$
.

Claim: The flavour symmetry group of the theory  $\mathcal{T}_{F_{\infty}}$  is given by:

$$G_F = \widetilde{G}_F / \mathcal{F} ,$$

with  $\widetilde{G}_F$  the simply connected group with algebra  $\mathfrak{g}_F$  from Kodaira fibers.



### Flavour symmetry

$\{F_v\}$	$\Phi_{ m tor}$	4d theory	$\mathfrak{g}_F$	$G_F$
$II^*, II$	-	AD $H_0$	-	-
11 ,11		$E_8$ MN	$E_8$	$E_8$
III*, III	$\mathbb{Z}_2$	$AD\ H_1$	$A_1$	$SU(2)/\mathbb{Z}_2$
111 ,111	Z2·2	$E_7$ MN	$E_7$	$E_7/\mathbb{Z}_2$
$IV^*, IV$	$\mathbb{Z}_3$	AD $H_2$	$A_2$	$SU(3)/\mathbb{Z}_3$
10,10	<i>2</i> 43	$E_8$ MN	$E_6$	$E_6/\mathbb{Z}_3$
$I_0^*, I_0^*$	$\mathbb{Z}_2 \times \mathbb{Z}_2$	$SU(2), N_f = 4$	$D_4$	$\operatorname{Spin}(8)/\mathbb{Z}_2 \times \mathbb{Z}_2$

New observation for AD  $H_2$ , others also discussed recently elsewhere.

[Closset, Schafer-Nameki, Wang, Del Zotto, García Etxebarria, Hosseini, Bhardwaj, Hubner, Apruzzi, Cordova, Shao, Buican, Nishinaka]

## Higher form symmetries

• Given the previous short exact sequence, it is natural to conjecture:

$$\mathcal{Z}^{[1]}\cong ext{ 1-form symmetry of } \mathcal{T}_{F_{\infty}}$$
 ,

while when  $\Phi_{tor}$  is a non-trivial extension, we have:

$$\Phi_{tor}\cong ext{ 2-group symmetry of } \mathcal{T}_{F_{\infty}}$$
 .

There are not many examples of such theories. In fact, we only have:

$$\begin{array}{ll} \text{4d pure } SU(2): & (I_4^*;I_1,I_1), & \Phi = \mathcal{Z}^{[1]} = \mathbb{Z}_2 \;, \\ & D_{S^1}E_0: & (I_9;I_1,I_1,I_1), & \Phi = \mathcal{Z}^{[1]} = \mathbb{Z}_3 \;, \\ & D_{S^1}E_1: & (I_8;I_2,I_1,I_1), & \Phi = \mathbb{Z}_4 \;, \mathcal{Z}^{[1]} = \mathbb{Z}_2 \;, \\ & & (I_8;I_1,I_1,I_1), & \Phi_{tor} = \mathcal{Z}^{[1]} = \mathbb{Z}_2 \;, \end{array}$$

which agree with known results. [Gaiotto, Kapustin, Seiberg, Willet 2014; Morrison, Schafer-Nameki, Willet 2020; Albertini, Del Zotto, García Etxebarria, Hosseini 2020]

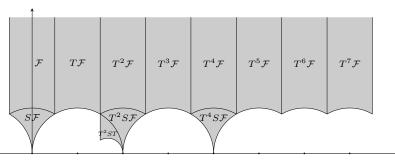
# Modularity and BPS quivers

## Modularity

- In certain cases, the configuration of interest is *modular*. That is, the rational elliptic surface is constructed from the quotient  $\mathbb{H}/\Gamma$  with  $\mathbb{H}$  the upper half-plane and  $\Gamma \in \mathrm{PSL}(2,\mathbb{Z})$ , together with a finite number of points.
- Subgroups of  $\mathrm{PSL}(2,\mathbb{Z})$  have a set of special points: *elliptic points* points with non-trivial stabilizer, and *cusps* points in the  $\mathrm{PSL}(2,\mathbb{Z})$ -orbit of  $\tau=i\infty$ . These points are mapped to the U-plane singularities. [Shioda 1972]
- When RES is modular,  $U=U(\tau)$  is a modular function for  $\Gamma$  and periods are modular forms. Can also map U-plane to upper half-plane isomorphically. [Aspman, Furrer, Manschot 2020-2021; HM, Closset 2021; HM 2022]
- Advantage is that upper-half plane knows about monodromies!

## Example: the massless $E_1$

• For massless  $E_1$ , monodromy group is  $\Gamma^0(8)$ , having four cusps of widths (8; 1, 2, 1), which correspond to fibers  $(I_8; I_1, I_2, I_1)$ .



• Monodromies are easy to read from fundamental domains:

$$\mathbb{M}_{\tau=0} = STS^{-1}$$
,  $\mathbb{M}_{\tau=2} = (T^2S)T^2(T^2S)^{-1}$ ,  $\mathbb{M}_{\tau=4} = (T^4S)T(T^4S)^{-1}$ ,

from which we get the light BPS states:

$$(1,0), 2(-1,2), (1,-4).$$

#### Conclusions and Outlook

- (Rank-one) Seiberg-Witten geometries have a natural interpretation as rational elliptic surfaces, where RG flows are 'trivial'. Non-abelian part of flavour symmetry algebra encoded in singular fibers, while abelian part and global aspects encoded in MW group.
- Classification of 4d  $\mathcal{N}=2$  SCFTs involves theories with *undeformable* singularities. How do we understand these geometries?
- ullet Modularity allows one to determine light BPS states and BPS quivers. Can modularity shed some light on BPS spectra? Can we find the quiver super-potentials from the U-plane?
- Going past modularity: do fundamental domains retain information about monodromies even when configurations are not modular?

Thank you!

### Persson's list

• Consider configurations with one flavour. There are two such configurations with a  $I_{4-N_f}^*=I_3^*$  singularity, namely:

$$(I_3^*, I_1, I_1, I_1)$$
,  $(I_3^*, I_1, II)$ .

• How do we interpret physically the type II singularity? 'Zoom in' around this singularity:



