

Solving recurrence: Substitution: Guess upper bound, solve with induction.

## Algorithms midterm 1

مع الأمل في النجاح

Maha Alkhatim

Topics: Recurrence, Divide and Conquer, Greedy, Dynamic Programming

### \* Asymptotic notation \*

### Divide & Conquer

time complexity:

general recipe:

- $T(n) = \Omega(f(n))$  if  $\exists$  constants  $c > 0$  and  $n_0 > 0$  such that  $T(n) \geq c \cdot f(n) \forall n \geq n_0$
- $T(n) = O(f(n))$  if  $\exists$  constants  $c > 0$  and  $n_0 > 0$  such that  $T(n) \leq c \cdot f(n) \forall n \geq n_0$
- $T(n) = \Theta(f(n))$  if  $\exists$  constants  $c > 0$  and  $n_0 > 0$  such that  $T(n) = c \cdot f(n) \forall n \geq n_0$

1. Divide: break into subproblems that are instance of same problem type
2. Conquer: solve subproblems recursively. if small enough; solve straight forward.
3. Combine: combine solutions cleverly!

$$O(1) < O(\log \log n) < O(\log n) < O((\log n)^c) < O(n^c) < O(n) < O(n \log n) = O(\log n^n) < O(n^2) < O(n^c) < O(n) < O(n!) < O(n!)$$

### Example:

```
def multiply(x, y):
    input: two bit pos x, y into
    out: product
    if n = 1 return xy
    x_L, x_R = leftmost [n/2], rightmost [n/2]
    bits of x
    y_L, y_R = ...
```

### Master Theorem:

$$T(n) = aT(n/b) + O(nd)$$

$a > 0, b > 1, d > 0$ ;  $a$  = # subprobs.

$n/b$  = size of subproblems;

$nd$  = time for combination

$$T(n) = \begin{cases} O(nd) & d > \log_b a \\ O(nd \log n) & d = \log_b a \\ O(n^{\log_b a}) & d < \log_b a \end{cases}$$

$$P_1 = \text{multiply}(x_L, y_L)$$

$$P_2 = \text{multiply}(x_R, y_R)$$

$$P_3 = \text{multiply}(x_L + x_R, y_L + y_R)$$

$$\text{return } P_1 \times 2^{[n/2]} + (P_3 - P_1 - P_2) \times 2^{[n/2]} + P_2$$

### mergeSort: $n \log n$

def mergeSort( $A[1..n]$ ):

if  $n > 1$ :

return merge(mergeSort( $A[1..n/2]$ ), mergeSort( $A[n/2+1..n]$ ))

else:

return  $A$

$\oplus$ : means concatenate

def merge( $x[1..k], y[1..l]$ ):

if  $k = 0$ : return  $y[1..l]$

if  $l = 0$ : return  $x[1..k]$

if  $x[1] \leq y[1]$ :

return  $x[1] \oplus \text{merge}(x[2..k], y[1..l])$

else return  $y[1] \oplus \text{merge}(x[1..k], y[2..l])$

### proof of correctness

use induction for proof of correctness of any divide and conquer algorithm

base case:  $n = 1$ : algo does nothing & correctly sorts

induction: assume alg sort arrays size  $n-1$  correctly. then  $A[1..m]$  and  $A[m+1..n]$  are correctly sorted since size  $\leq n-1$

lemma: Merge. Correctly merges 2 sorted arrays

runtime: merge sort

$$T(n) \leq 2T(n/2) + c \cdot n$$

$$O(n \log n)$$



## Greedy algorithm recipe

make whichever move seems best at moment and not worry too much about future consequences.

build solution piece by piece. choose next piece which offers most obvious and immediate effect.

try with very simple example first. ✗

## Proof of correctness

exchange argument	greedy stays ahead
assume optimal solution $O$ is 'out of order'	$A$ : greedy out sol size $k$ elem
exchange two or out of order elements to get $O'$	$O$ : optimal size $m$ elem
argue cost of $O'$ is smaller than cost of $O$ to get contradiction	$m \leq k$
cut property: cut being partition on vertices to two groups in MST: cut property says safe to add lightest edge in cut	show $F[i, j] \leq F[j, i] \forall 1 \leq i \leq k$ if $m > k$ add $j_{k+1} \rightarrow m$ contradiction

**MST:** min spanning tree  
connected graph with no cycles

**Kruskals:** repeatedly choose edge lightest that does not produce cycle

**(Union-Find)** keep track of disjoint sets of  $n$  elements  $\{x_1, \dots, x_n\}$

- 1)  $\text{makeSet}(x)$ : make a set  $\{x\}$   $O(1)$
- 2)  $\text{Union}(A, B)$ :  $O(1)$
- 3)  $\text{Find}(x)$  return set containing  $x$   $O(\log n)$

Kruskals:	MST	Prim: $(G, w) \leftarrow$ MST
for $u \in V$ : makeSet( $u$ ) $X = \{ \}$ Sort edges by weight for edge $\{u, v\} \in E$ : if $\text{Find}(u) \neq \text{Find}(v)$ : add edge $\{u, v\}$ to $X$ $\text{Union}(u, v)$		for $u$ in $V$ : cost( $u$ ) = $\infty$ prev( $u$ ) = null any node $u_0$ cost( $u_0$ ) = 0 $H = \text{make queue}(V)$ while $H$ not empty: $v = \text{dequeue}(H)$ for $z, w \in E$ : if cost( $z$ ) > $w(v, z)$ : cost( $z$ ) = $w(v, z)$ prev( $z$ ) = $v$ dequeue( $H$ , $z$ )

**Matroid:**  $A \subseteq I$  is a basis if  $A \not\subseteq$  of a set in  $I$  (not a proper subset)

## graphic matroid:

- non-empty -  $\emptyset$  independent  $(V, \emptyset)$  no cycles
- $(V, I)$  acyclic so if  $(V, I')$   $\forall I' \subseteq I$
- exchange: don't create cycles

## Dynamic Programming: Correctness: by induction

identify collection of subproblems and facts.

## Common subproblems: (runtime)

- 1)  $x_1, \dots, x_n$  input subproblem  $x_1, \dots, x_j$   $O(n)$
- 2)  $x_1, \dots, x_n$  input  $y_1, \dots, y_m$  input  $x_1, \dots, x_j$   $O(mn)$   
 $y_1, \dots, y_j$
- 3)  $x_1, \dots, x_n$  sub:  $x_i, \dots, x_j$   $O(n^2)$
- 4) tree input: subproblem choose a node in tree and treat subtree as subproblem.

**track:** choose subproblems such that all vital information is remembered and carried forward.

**Example:** optimal binary search trees. want min total access cost.  $O(n^3)$

optbst( $A, f$ ):  
 $F[1, n] = \text{compute } F(t)$   
 for  $i = 1$  to  $n+1$ :  
 $\text{OPT}[i, i-1] = 0$   
 for  $d = 0$  to  $n-i$ :  
 $\text{OPT}[i, i+d] = F[i, i+d] + \min_{i \leq r \leq i+d} \{ \text{OPT}[i, r-1] + \text{OPT}[r+1, i+d] \}$   
 return  $\text{OPT}[1, n]$

compute  $F(F[1, \dots, n])$ :  
 for  $i$  in range  $(1, n)$ :  
 $F[i, i-1] = 0$   
 for  $j = i$  to  $n$ :  
 $F[i, j] = F[i, j-1] + f[j]$

**Alternating Sign Subseq:**  
 $\text{Sign}(a) = 1$  if  $a > 0$ ,  $0$  if  $a = 0$ ,  $-1$  if  $a < 0$   
 $\text{Ass}(A[1, \dots, n], B[1, \dots, n])$   
 $F[i, j] = 0 \forall i, j$   
 for  $i = 1$  to  $n$ :  
 for  $j = i$  to  $n$ :  
 if  $A[i] = B[j]$  and  $\text{Sign}(A[i]) = 1$ :  
 $F[i, j] = F[i, j-1] + 1$   
 else:  
 $F[i, j] = \max(F[i, j-1], F[i-1, j])$   
 if  $A[i] = B[j]$  and  $\text{Sign}(A[i]) = -1$ :  
 $F[i, j] = \max(F[i, j-1], F[i-1, j]) - 1$   
 else:  
 $F[i, j] = \max(F[i, j-1], F[i-1, j])$   
 return  $\max(F[n, n], F[n, n-1])$