

# Finite State Transducers

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## 1 Introduction

A finite state machine is a machine which consists of states and transitions and can either be deterministic or non-deterministic. In a deterministic finite state machine each state has exactly one transition for every symbol in the alphabet. A finite state machine can either be a finite state automata (FSA), which accepts strings in the language it models, or a finite state transducer (FST) which converts an input string to an output string using contextual or non-contextual replacement, insertion, or deletion.

FSAs and FSTs can be written using regular expressions and are closed under operations such as concatenation and union. FST is bidirectional and hence input and output can be inverted for the same FST<sup>1</sup>.

A Finite State Transducer (FST) is a finite state machine which transforms an input in one language to an output in another language. FSTs and their variations [17, 18, 15] have been used to model morphology [16, 1, 6, 5, 12, 2, 13, 11, 10] and phonology [14, 1, 8, 19, 3].

There are two types of Finite State Machines that are similar to Finite State Transducers and often categorized as type of FSTs: Moore machines and Mealy machines [9]. The formal definitions are defined in Sections 2 and 3. A Moore machine [21, 4] is a machine where the output only depends on the states, whereas a Mealy machine [20] is machine where the output depends on both the states and the transitions.

A Moore machine can always be transformed to a Mealy machine but not the other way around [9]. Mealy and Moore machines do not have accept states.

The main difference between Mealy machines and FSTs are that FSTs have accept states whereas Mealy machines do not. In addition, Moore and Mealy machines are deterministic whereas FSTs can either be deterministic or nondeterministic.

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<sup>1</sup>if it is non-deterministic it might not always be a one to one correspondence as with non-determinism we can have transitions that have ambiguity

## 2 Moore Machine

A **Moore machine** [21] can be defined formally as a 6-tuple  $(Q, s_0, \Sigma, \Gamma, \delta, \Pi)$  where:

$Q$  : the set of states

$s_0 \in Q$  : the start

$\Sigma$ : the input alphabet

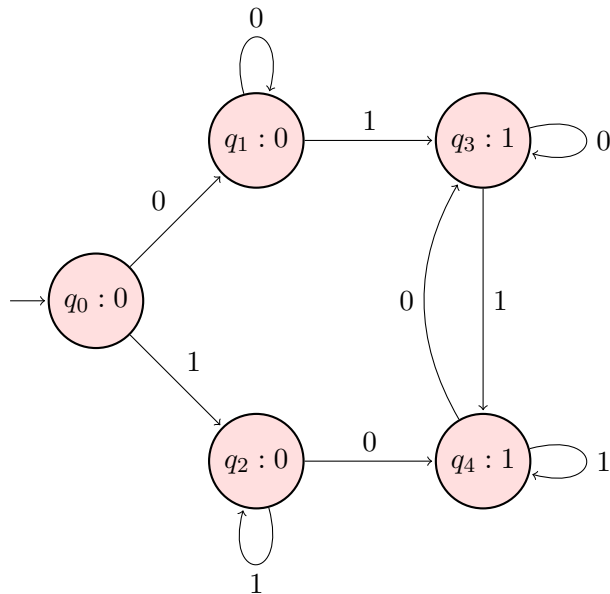
$\Gamma$ : the output alphabet

$\delta : Q \times \Sigma \rightarrow Q$  : transition function from states and  $\Sigma$  to the states

$\Pi : Q \rightarrow \Gamma$  : output function from states to the output alphabet.

### 2.1 Example of a Moore Machine

A Deterministic Moore Machine [7]:



In this example:

$$Q = \{q_1, q_2, q_3, q_4\}$$

$$\Sigma = \{0, 1\}$$

$$\Gamma = \{0, 1\}$$

	State	0	1
$\delta :$	$q_0$	$q_1$	$q_2$
	$q_1$	$q_1$	$q_3$
	$q_2$	$q_4$	$q_2$
	$q_3$	$q_3$	$q_4$
	$q_4$	$q_3$	$q_4$

$$\Pi = \{(q_0, 0), (q_1, 0), (q_2, 0), (q_3, 1), (q_4, 1)\}$$

Lets demonstrate input  $\rightarrow$  output examples on this machine:

11  $\rightarrow$  000

01  $\rightarrow$  001

10  $\rightarrow$  001

00  $\rightarrow$  000

### 3 Mealy Machine Formal Definition

**A Mealy machine**[20] can be formally define as a 6-tuple  $(Q, s_0, \Sigma, \Gamma, \delta, \Pi)$  where:

$Q$  : the set of states

$s_0 \in Q$  : the start state

$\Sigma$  : the input alphabet

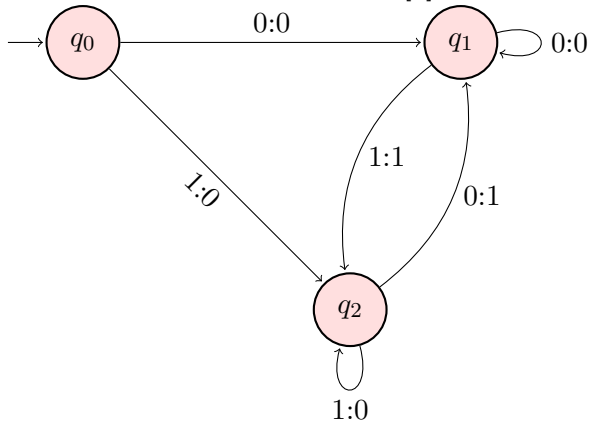
$\Gamma$  : the output alphabet

$\delta : Q \times \Sigma \rightarrow Q$  : transition function from states and  $\Sigma$  to the states

$\Pi : Q \times \Sigma \rightarrow \Gamma$  : output function from states and  $\Sigma$  to the output alphabet

#### 3.1 Example of a Mealy Machine

A Deterministic Mealy Machine [7]:



In this example:

$$Q = \{q_0, q_1, q_2\}$$

$$\Sigma = \{0, 1\}$$

$$\Gamma = \{0, 1\}$$

	State	0	1
$\delta :$	$q_0$	$q_1$	$q_2$
	$q_1$	$q_1$	$q_2$
	$q_2$	$q_1$	$q_2$

	State	0	1
$\Pi =$	$q_0$	0	0
	$q_1$	0	1
	$q_2$	1	0

Lets demonstrate input  $\rightarrow$  output examples on this machine:

11  $\rightarrow$  00

01  $\rightarrow$  01

10  $\rightarrow$  01

00  $\rightarrow$  00

001100  $\rightarrow$  001010

## 4 Finite State Transducer

A **Deterministic Finite State Transducer** (FST) is represented as a 7-tuple  $(Q, s_0, \Sigma, \Gamma, \delta, \Pi, F)$  where:

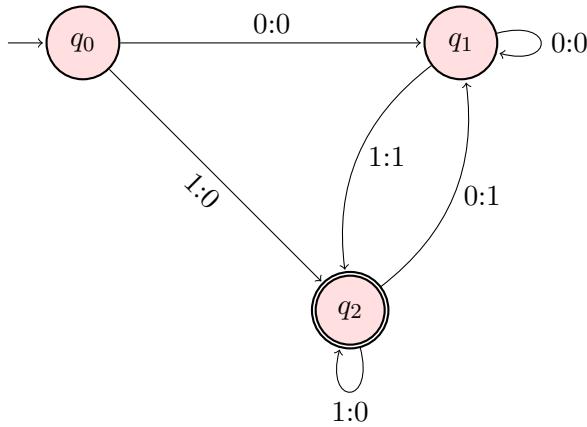
1.  $Q$  : the set of states
2.  $\Sigma$  : the input alphabet
3.  $\Gamma$  : the output alphabet.
4.  $\delta : Q \times \Sigma \rightarrow Q$  : the transition function from states and  $\Sigma$  to the states
5.  $\Pi : Q \times \Sigma \rightarrow \Gamma$  : output function from states and  $\Sigma$  to the output alphabet
6.  $s_0 \in Q$  is the start state.
7.  $F \subseteq Q$  is the set of accept states.

A **Nondeterministic Finite State Transducer** (FST) is represented as a 7-tuple  $(Q, s_0, \Sigma, \Gamma, \delta, \Pi, F)$  where:

1.  $Q$  : the set of states
2.  $\Sigma$  : the input alphabet
3.  $\Gamma$  : the output alphabet.
4.  $\delta : Q \times \Sigma \cup \{\varepsilon\} \rightarrow \mathcal{P}(Q)$  : the transition function from states and  $\Sigma$  to the states
5.  $\Pi : Q \times \Sigma \cup \{\varepsilon\} \rightarrow \Gamma^*$  : output function from states and  $\Sigma$  to the output alphabet
6.  $s_0 \in Q$  is the start state.
7.  $F \subseteq Q$  is the set of accept states.

## 4.1 Examples of Finite State Transducers

### 4.1.1 Deterministic



In this example:

$$Q = \{q_0, q_1, q_2\}$$

$$\Sigma = \{0, 1\}$$

$$\Gamma = \{0, 1\}$$

State			
	0	1	
$\delta :$	$q_0$	$q_1$	$q_2$
	$q_1$	$q_1$	$q_2$
	$q_2$	$q_1$	$q_2$

$$\Pi =$$

State	0	1
$q_0$	0	0
$q_1$	0	1
$q_2$	1	0

$$F = \{q_2\}$$

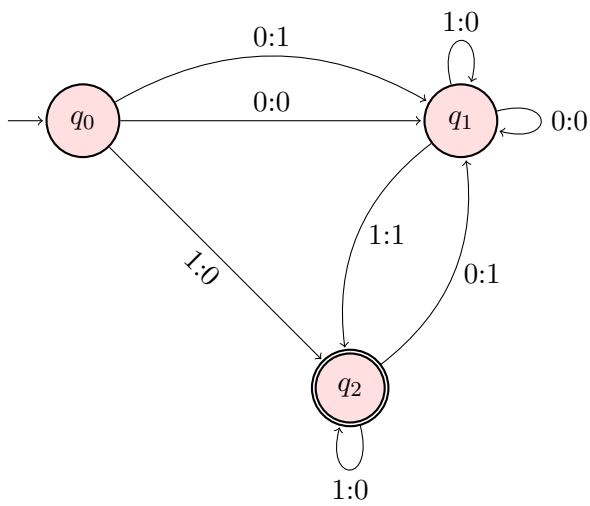
Lets demonstrate input  $\rightarrow$  output examples on this machine:

$$11 \rightarrow 00$$

$$01 \rightarrow 01$$

$$0011001 \rightarrow 0010101$$

#### 4.1.2 Nondeterministic



In this example:

$$Q = \{q_0, q_1, q_2\}$$

$$\Sigma = \{0, 1\}$$

$$\Gamma = \{0, 1\}$$

$$\delta :$$

State	0	1
$q_0$	$\{q_1\}$	$\{q_2\}$
$q_1$	$\{q_1\}$	$\{q_2, q_1\}$
$q_2$	$\{q_1\}$	$\{q_2\}$

$$\Pi =$$

State	0	1
$q_0$	0, 1	0
$q_1$	0	1, 0
$q_2$	1	0

$$F = \{q_2\}$$

Let's demonstrate input  $\rightarrow$  output examples on this machine:

11  $\rightarrow$  00

01  $\rightarrow$  01 or 11

## 4.2 Comparisons with Finite State Automata (FSAs)

- A Finite State Automata recognizes a **regular language**.
- A Finite State Transducer encodes a **regular relation**.

As with regular relations, FSTs are closed under:

- Concatenation
- Union
- Kleene star ( $M^*$ )
- Composition
- Projection (projects to FSAs)
- Inversion

Concatenation, Union, Kleene star constructions of FSTs is the same as that for FSAs an example of Kleene star in in Figure 3.

As with regular relations, FSTs are **NOT** closed under:

- Intersection <sup>2</sup>
- Complementation
- Difference

## 4.3 Illustration of Closure Properties

The definitions and examples below are adapted from <https://core.ac.uk/download/pdf/24059977.pdf>, <https://dsac13-2019.github.io/slides/fst.pdf>, and <https://www.cs.sfu.ca/~anoop/courses/MACM-300-Spring-2006/fst.pdf> which illustrate the closure properties.

### 4.3.1 Composition

Composing an FST  $M_1$  with another FST  $M_2$  <sup>3</sup> produces an FST.

Let  $M_1 = (Q_1, s_0^1, \Sigma_1, \Gamma_1, \delta_1, \Pi_1, F_1)$  and  $M_2 = (Q_2, s_0^2, \Sigma_2, \Gamma_2, \delta_2, \Pi_2, F_2)$   
 $M_3 = M_1 \circ M_2$   $M_3 = (Q_1 \times Q_2, (s_0^1, s_0^2), \Sigma_1, \Gamma_2, F_1 \times F_2, \Pi_3)$   
where  $\Pi_3 = \{((p, q), a, b, (p', q')) \mid \exists c \in \Gamma_1 \cap \Sigma_2 : ((p, a, c, p') \in \Pi_1) \wedge ((q, c, b, q') \in \Pi_2)\}$

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<sup>2</sup>unless they are acyclic and  $\varepsilon$ -free transducers

<sup>3</sup>under the assumption that the output alphabet of  $M_1$  is a subset as the input alphabet of  $M_2$

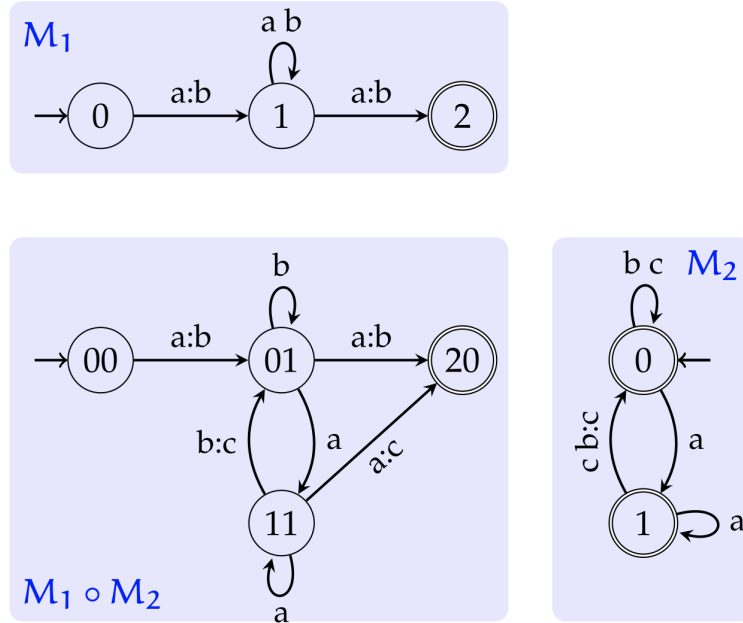


Figure 1: Example of FST composition by <https://dsac13-2019.github.io/slides/fst.pdf>,  $a$  is shorthand for  $a:a$

Figure 1 illustrates an example of composition of two FSTs.

#### 4.3.2 Inversion

Figure 2 illustrates an example of inversion of an FST.

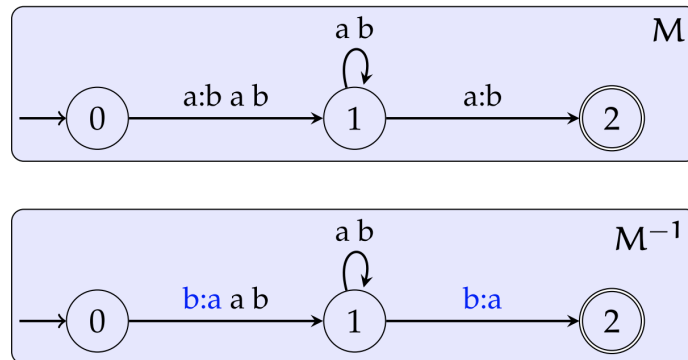


Figure 2: Example of FST inversion by <https://dsac13-2019.github.io/slides/fst.pdf>,  $a$  is shorthand for  $a:a$

#### 4.3.3 Kleene Closure

Figure 3 illustrates an example of Kleene star on an FST.



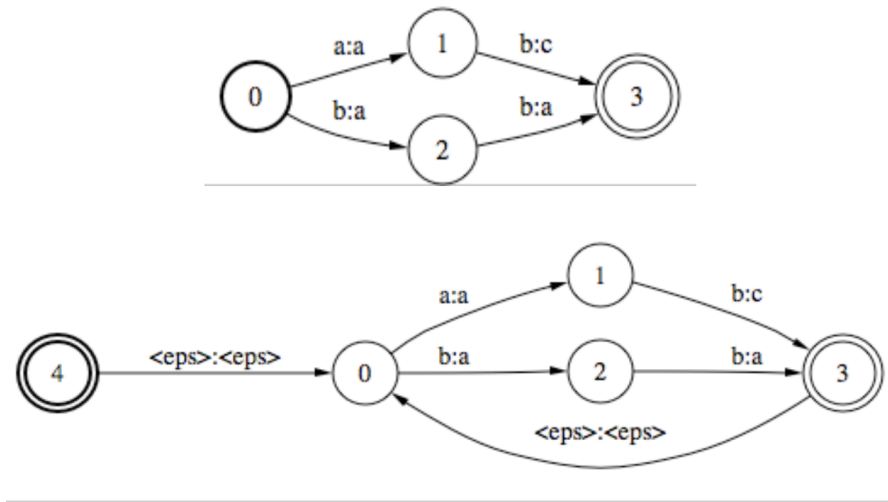


Figure 3: Example of FST Kleene Closure by <https://www.cs.sfu.ca/~anoop/courses/MACM-300-Spring-2006/fst.pdf>

#### 4.3.4 Projection

Figure 4 illustrates an example of a projection on an FST. In projection, we transform an FST to an FSA using either the input alphabet or the output alphabet



Figure 4: Example of FST composition by <https://dsac13-2019.github.io/slides/fst.pdf>

## 5 Live Demo

I show examples of Finite State Transducer implemented for Arabic from [1].

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