

## Exercise sheet 9

SoSe2025

Prof. Dr. Holger Fröhlich, Jiajun Qiu, Sebastian Schwick, Anna Weller

**Due date: June 10<sup>th</sup>**

### Questions

#### Exercise 1 - Ensemble Learning (13 points)

1. Describe the key strengths of Decision Trees. Explain the disadvantages and a strategy to overcome their limitations. **(2 points)**
2. How does the Gini index help in the process of building a decision tree? Explain what the Gini index measures. What are the minimum and maximum possible values, and what do these values mean? **(2 points)**

Please use the random seed = 0 for the following exercises.

3. Using the *titanic\_survival\_dataset.csv*, train the following (scikit-learn) models using nested cross validation while optimizing a selected number of hyperparameters in the inner loop using grid search, then compute the probabilities of the outcomes:
  - a. Random forest, optimizing the number of estimators **(2 points)**
  - b. Lasso penalized logistic regression **(1 point)**
  - c. Elastic net penalized logistic regression **(1 point)**

**Note:** Using a large parameter grid results in an extended computation time. We advise using a maximum of 5 values per hyperparameter

4. Inform yourself about calibration curves (reliability diagrams).
  - a. Describe how calibration curves can explain your model's performance. **(2 points)**
  - b. Use the predicted probabilities of each model from question 1 to plot a calibration curve, then explain your results. **(3 points)**

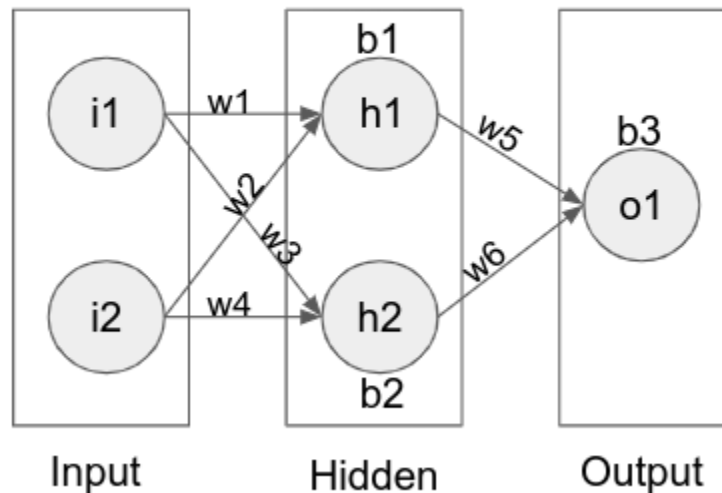
#### Exercise 2 - NN theoretical (12 points)

1. Suppose there is a Multi-Layer Perceptron (MLP) composed of one input layer with 5 neurons, followed by one hidden layer with 20 artificial neurons, and one output layer with 2 artificial neurons. All artificial neurons use the ReLU activation function.
  - a. Deduce the shape of input matrix  $X$ , hidden layer's weight vector  $W_h$ , bias vector  $b_h$  and the shape of the network's output matrix  $Y$ . **(1 point)**
  - b. Write the equation that computes the network's output matrix  $Y$  as a function of  $X$ ,  $W_h$ ,  $b_h$ ,  $W_o$  and  $b_o$ . **(2 points)**

2. Consider the 3 layer neural network with the initial weights as given in Figure 1. Write a simple, self-contained python code to
- Compute the activations  $h_1$ ,  $h_2$ , and the output  $o_1$  using the sigmoid activation function as well as the total Binary Cross Entropy loss for the expected output. **(3 points)**  
**Note:**  $b_1$ ,  $b_2$  and  $b_3$  represent the biases added to their respective units
  - Compute the updates for the weights  $w_1, \dots, w_6$  and bias terms  $b_1, b_2, b_3$  using one step of backpropagation. Assume a learning rate of 1 for the sake of simplicity. **(5 points)**  
**Note:** Remember that a bias term is equivalent to a weighted constant input 1.

You should not use any other library than numpy in your code.

Figure 1



$i_1=0.5$ ,  $i_2=0.8$ ,  
 $w_1=0.3$ ,  $w_2=0.33$ ,  $w_3=0.11$ ,  $w_4=0.9$ ,  $w_5=0.44$ ,  $w_6=0.55$ ,  
 $b_1=0.8$ ,  $b_2=0.1$ ,  $b_3=0.9$ .  
 $h_1$ ,  $h_2$  and  $o_1$  have activation functions as Sigmoid.  
 Expected output = 1

3. What are the principal and unavoidable limitations of the backpropagation (BP) algorithm? **(1 point)**